



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2025
OF THE CONDITION AND AFFAIRS OF

AUGUSTAR LIFE ASSURANCE CORPORATION

NAIC Group Code07040704NAIC Company Code89206Employer's ID Number31-0962495

(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOH

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized06/26/1979Commenced Business08/22/1979

Statutory Home OfficeOne Financial WayCincinnati, OH, US 45242

(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative OfficeOne Financial Way

(Street and Number)

Cincinnati, OH, US 45242513-794-6100

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail AddressPost Office Box 237Cincinnati, OH, US 45201

(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and RecordsOne Financial Way

(Street and Number)

Cincinnati, OH, US 45242513-794-6100-6015

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website AddressN/A

Statutory Statement ContactAmber Dawn Roberts513-794-6100-6015

(Name)(Area Code) (Telephone Number)

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(E-mail Address)(FAX Number)

OFFICERS

President & Chief Executive Officer	Anurag Chandra	Treasurer & Chief Corporate Development Officer	Brijendra Singh Grewal
SVP, Corporate Secretary & LATAM Regional Counsel and Compliance	Carlos Fernando da Costa Almeida de Paiva Nascimento	SVP, Chief Risk Officer & Head of U.S. M&A/Reinsurance	Scott Niel Shepherd

OTHER

Michael Akker, Senior Vice President, Chief Operating Officer	Lori Dianne Dashewich, Senior Vice President, Chief Financial Officer	Marc Ari Bruger, Managing Director
Jonathan Morton Egol, Managing Director	Sachin Jain, Senior Vice President & Chief Investment Officer	

DIRECTORS OR TRUSTEES

Anurag Chandra	Philippe Francois Charette	Patricia Lynn Guinn
Syed Salman Hasnain	Westley Vander Thompson	Steven Carl Verney

State ofOhioSS:

County ofButler

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Lori Dianne Dashewich	Carlos Fernando da Costa Almeida de Paiva Nascimento	Scott Niel Shepherd
Senior Vice President, Chief Financial Officer	SVP, Corporate Secretary & LATAM Regional Counsel and Compliance	SVP, Chief Risk Officer & Head of U.S. M&A/Reinsurance

Subscribed and sworn to before me this10th day ofNovember, 2025

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached

Stephanie Coleman
Notary Public
Expires November 24, 2025

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	1,847,899,564	0	1,847,899,564	1,960,708,750
2. Stocks:				
2.1 Preferred stocks	16,570,400	0	16,570,400	16,552,800
2.2 Common stocks	18,601,900	0	18,601,900	18,601,700
3. Mortgage loans on real estate:				
3.1 First liens	478,110,652	0	478,110,652	522,352,826
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$35,215,378), cash equivalents (\$ 15,204,368) and short-term investments (\$0)	50,419,746	0	50,419,746	41,357,047
6. Contract loans (including \$0 premium notes)	137,665,186	0	137,665,186	139,118,807
7. Derivatives	9,096,883	0	9,096,883	7,369,313
8. Other invested assets	71,954,645	0	71,954,645	51,138,590
9. Receivables for securities	610,942	0	610,942	66,168
10. Securities lending reinvested collateral assets	0	0	0	27,784,426
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	2,630,929,918	0	2,630,929,918	2,785,050,427
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	18,988,244	0	18,988,244	20,389,869
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	10,699,145	0	10,699,145	12,341,956
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	88,404,701	0	88,404,701	94,808,968
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	10,778,520	0	10,778,520	9,458,465
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	5,291,826	0	5,291,826	11,624,972
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	246,912
18.2 Net deferred tax asset	82,362,187	53,112,316	29,249,871	30,374,482
19. Guaranty funds receivable or on deposit	2,270,451	0	2,270,451	2,408,722
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	253,309,294	0	253,309,294	41,432,835
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	835,916	0	835,916	970,662
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	3,103,870,202	53,112,316	3,050,757,886	3,009,108,270
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	313,748,483	0	313,748,483	293,194,763
28. Total (Lines 26 and 27)	3,417,618,685	53,112,316	3,364,506,369	3,302,303,033
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. State taxes recoverable	751,853	0	751,853	891,413
2502. Fund revenue receivable	84,063	0	84,063	79,249
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	835,916	0	835,916	970,662

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 2,153,324,377 less \$0 included in Line 6.3 (including \$0 Modco Reserve)	2,153,324,377	2,150,412,876
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve)	114,023,450	114,366,328
3. Liability for deposit-type contracts (including \$0 Modco Reserve).....	352,391,475	352,716,474
4. Contract claims:		
4.1 Life	28,926,761	20,740,031
4.2 Accident and health	626,246	594,042
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco)	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco) ...	0	0
6.3 Coupons and similar benefits (including \$0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$469,141 accident and health premiums	1,120,633	1,013,829
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$5,153,404 assumed and \$3,578,398 ceded	8,731,802	11,040,192
9.4 Interest Maintenance Reserve	4,048,070	5,625,700
10. Commissions to agents due or accrued-life and annuity contracts \$2,621,912 , accident and health \$167,356 and deposit-type contract funds \$0	2,789,268	3,634,715
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	2,022,703	2,758,042
13. Transfers to Separate Accounts due or accrued (net) (including \$2,012,308 accrued for expense allowances recognized in reserves, net of reinsured allowances)	2,012,308	2,194,417
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	1,884,208	1,232,747
15.1 Current federal and foreign income taxes, including \$63,714 on realized capital gains (losses)	3,215,622	0
15.2 Net deferred tax liability	0	0
16. Unearned investment income	3,279,162	3,210,380
17. Amounts withheld or retained by reporting entity as agent or trustee	8,723,817	8,785,000
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	577,710	613,526
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	25,934,880	26,545,269
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$2,100,373) reinsurers	2,100,373	962,128
24.04 Payable to parent, subsidiaries and affiliates	26,166,986	9,129,383
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	35,000,000	0
24.10 Payable for securities lending	0	27,784,426
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	2,630,133	3,988,994
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,779,529,984	2,747,348,499
27. From Separate Accounts Statement	313,748,484	293,194,764
28. Total liabilities (Lines 26 and 27)	3,093,278,468	3,040,543,263
29. Common capital stock	9,600,005	9,600,005
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	87,976,286	87,976,286
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	173,651,613	164,183,478
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	261,627,899	252,159,764
38. Totals of Lines 29, 30 and 37	271,227,904	261,759,769
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	3,364,506,372	3,302,303,032
DETAILS OF WRITE-INS		
2501. Unclaimed funds	1,350,133	1,638,994
2502. Liability for cash collateral	1,280,000	2,350,000
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,630,133	3,988,994
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	165,405,104	134,836,396	181,936,350
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	84,944,642	84,349,991	112,970,793
4. Amortization of Interest Maintenance Reserve (IMR)	1,330,635	2,358,592	3,146,261
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	29,494,438	39,934,824	47,515,585
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	1,042,243	1,061,547	1,430,991
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	15,218,475	17,674,051	23,079,356
9. Totals (Lines 1 to 8.3)	297,435,537	280,215,401	370,079,336
10. Death benefits	96,740,093	94,285,880	128,753,386
11. Matured endowments (excluding guaranteed annual pure endowments)	113,273	0	18,748
12. Annuity benefits	1,838,189	1,463,137	2,121,598
13. Disability benefits and benefits under accident and health contracts	14,956,544	9,798,492	13,051,507
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	75,309,227	70,902,847	91,806,782
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	8,718,807	8,574,435	12,518,223
18. Payments on supplementary contracts with life contingencies	32,790	44,825	57,152
19. Increase in aggregate reserves for life and accident and health contracts	2,568,622	3,510,814	3,832,058
20. Totals (Lines 10 to 19)	200,277,545	188,580,430	252,159,454
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	32,602,355	22,111,094	34,323,254
22. Commissions and expense allowances on reinsurance assumed	2,668	3,572	4,765
23. General insurance expenses and fraternal expenses	34,470,324	34,248,805	46,017,070
24. Insurance taxes, licenses and fees, excluding federal income taxes	8,782,576	7,712,934	10,181,087
25. Increase in loading on deferred and uncollected premiums	6,350,561	5,176,046	5,727,860
26. Net transfers to or (from) Separate Accounts net of reinsurance	(11,223,022)	(14,385,607)	(19,605,145)
27. Aggregate write-ins for deductions	6,259,412	6,537,666	9,918,796
28. Totals (Lines 20 to 27)	277,522,419	249,984,940	338,727,141
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	19,913,118	30,230,461	31,352,195
30. Dividends to policyholders and refunds to members	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	19,913,118	30,230,461	31,352,195
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	1,376,869	4,237,856	3,850,004
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	18,536,249	25,992,605	27,502,191
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$129,371 (excluding taxes of \$(65,657) transferred to the IMR)	2,374,738	2,138,101	3,001,839
35. Net income (Line 33 plus Line 34)	20,910,987	28,130,706	30,504,030
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	261,759,772	256,691,883	256,691,883
37. Net income (Line 35)	20,910,987	28,130,706	30,504,030
38. Change in net unrealized capital gains (losses) less capital gains tax of \$221,419	3,609,566	1,926,580	2,103,385
39. Change in net unrealized foreign exchange capital gain (loss)	253	0	(198)
40. Change in net deferred income tax	1,182,130	3,771,299	4,017,111
41. Change in nonadmitted assets	(2,000,710)	(5,450,177)	(4,716,663)
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	610,390	(1,708,013)	(2,484,097)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	0	0	0
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	(14,844,483)	(22,936,849)	(24,355,679)
54. Net change in capital and surplus for the year (Lines 37 through 53)	9,468,133	3,733,546	5,067,889
55. Capital and surplus, as of statement date (Lines 36 + 54)	271,227,905	260,425,429	261,759,772
DETAILS OF WRITE-INS			
08.301. C01 Charges	6,690,704	6,839,679	9,086,787
08.302. Surrender Charges	5,075,154	6,091,442	8,080,246
08.303. Reinsurance Ceded Trails	3,133,948	3,253,423	4,315,357
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	318,669	1,489,507	1,596,966
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	15,218,475	17,674,051	23,079,356
2701. Miscellaneous Expense	4,509,202	4,624,117	7,505,207
2702. Health Surrender Benefits	1,659,263	1,844,784	2,317,403
2703. Funds withheld miscellaneous expense	90,947	68,765	96,186
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	6,259,412	6,537,666	9,918,796
5301. Prior period adjustment	0	30,357,248	30,534,639
5302. Deferred Coinsurance Gain	(14,844,483)	(53,294,097)	(54,890,318)
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(14,844,483)	(22,936,849)	(24,355,679)

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	166,161,736	136,769,827	185,275,477
2. Net investment income	87,240,137	84,873,505	112,709,037
3. Miscellaneous income	18,808,453	21,335,898	28,798,627
4. Total (Lines 1 to 3)	272,210,326	242,979,230	326,783,141
5. Benefit and loss related payments	164,833,106	164,603,741	196,539,405
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(11,040,913)	(14,329,987)	(19,570,290)
7. Commissions, expenses paid and aggregate write-ins for deductions	84,454,228	72,470,350	98,915,120
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	(2,021,951)	4,394,673	8,317,138
10. Total (Lines 5 through 9)	236,224,470	227,138,777	284,201,373
11. Net cash from operations (Line 4 minus Line 10)	35,985,856	15,840,453	42,581,768
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	201,011,074	123,697,431	170,274,332
12.2 Stocks	0	0	0
12.3 Mortgage loans	44,101,180	35,587,359	49,587,874
12.4 Real estate	0	0	0
12.5 Other invested assets	25,036,355	596,412	19,746,442
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	2,260,354	2,437,513	3,273,108
12.8 Total investment proceeds (Lines 12.1 to 12.7)	272,408,963	162,318,715	242,881,756
13. Cost of investments acquired (long-term only):			
13.1 Bonds	91,066,108	52,847,142	85,494,953
13.2 Stocks	200	216,600	316,600
13.3 Mortgage loans	338,448	39,886,786	109,114,141
13.4 Real estate	0	0	0
13.5 Other invested assets	6,316,597	12,403,407	25,910,984
13.6 Miscellaneous applications	544,774	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	98,266,127	105,353,935	220,836,678
14. Net increase/(decrease) in contract loans and premium notes	(1,453,621)	8,749,245	9,165,823
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	175,596,457	48,215,535	12,879,254
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(7,965,421)	(8,323,890)	(11,827,345)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(194,554,193)	(24,055,774)	(18,276,956)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(202,519,614)	(32,379,664)	(30,104,301)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	9,062,699	31,676,324	25,356,721
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	41,357,047	16,000,326	16,000,326
19.2 End of period (Line 18 plus Line 19.1)	50,419,746	47,676,650	41,357,047

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Change in securities lending collateral	27,784,426	26,634,246	38,755,452
20.0002. Amortization of deferred gain on reinsurance agreements	(14,844,483)	(22,936,849)	(24,533,070)
20.0003. Interest credited on deposit-type contracts	(7,640,422)	(7,479,418)	(11,054,076)
20.0004. Non-cash consideration on acquisition of bonds	35,000,000	0	0
20.0005. Transfer of bonds to other invested assets – new bond definition	(37,406,900)	0	0
20.0006. Transfer of other invested assets from bonds – new bond definition	37,406,900	0	0

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Individual life	267,418,737	255,574,816	345,779,043
2. Group life	0	0	0
3. Individual annuities	10,788	20,098	23,032
4. Group annuities	0	0	0
5. Accident & health	29,309,074	32,077,990	42,994,519
6. Fraternal	0	0	0
7. Other lines of business	0	0	0
8. Subtotal (Lines 1 through 7)	296,738,599	287,672,904	388,796,594
9. Deposit-type contracts	150,129,709	160,000,000	180,150,000
10. Total (Lines 8 and 9)	446,868,308	447,672,904	568,946,594

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of AuguStar Life Assurance Corporation (ALAC or the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

At September 30, 2025 and December 31, 2024 there were no permitted practices.

	SSAP #	F/S Page	F/S Line #		9/30/2025		12/31/2024
NET INCOME							
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$	20,910,987	\$	30,504,030
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:							
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$	20,910,987	\$	30,504,030
SURPLUS							
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$	271,227,904	\$	261,759,769
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$	271,227,904	\$	261,759,769

C. Accounting Policy

(2) Basis for Bonds and Amortization Schedule

Bonds not backed by other loans are stated at amortized cost using the modified scientific method.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities are stated at amortized cost. The retrospective adjustment methodology is used for asset-backed, CMO, and Mortgage-backed securities.

D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

NOTE 2 Accounting Changes and Corrections of Errors

See Note 21C for a discussion of the Principles-Based Bond Definition adoption.

The Company's December 31, 2024 financial statements reflect a prior period adjustment relating to the recording of expenses identified during the implementation of a new reconciliation software. The events contributing to the overstatement of expenses impact surplus as follows:

General insurance expenses (P4,L23,C1)	\$ (376,232)
Federal and foreign income taxes incurred (excluding taxes on capital gains (P4,L32,C1)	<u>\$ 79,009</u>
Decrease in surplus (P4,L53,C1)	<u><u>\$ (297,223)</u></u>

The Company's December 31, 2024 financial statements reflect a prior period adjustment relating to the amortization of deferred gains on reinsurance contracts. At inception of two reinsurance agreements with external reinsurers, the Company recognized deferred coinsurance gains and amortizes the gains into earnings as profits emerge. Amortization of \$30,357,248 was not recognized in previous years. Amortization and prior period adjustments both run through unassigned surplus so there is no net change to surplus for this correction.

Increase in surplus (P4,L5301,C1)	\$ 30,357,248
Decrease in surplus (P4,L5302,C1)	<u>\$ (30,357,248)</u>
	<u><u>\$ -</u></u>

The Company's December 31, 2024 financial statements reflect a prior period adjustment related to premiums and commission allowances ceded. The events impacted surplus as follows:

Premiums and annuity considerations for life and accident and health contracts (P4,L1,C1)	\$ 573,709
Commission and expense allowances on reinsurance ceded (P4, L6, C1)	\$ 27,066
Federal and foreign income taxes incurred (excluding taxes on capital gains (P4,L32,C1)	<u>\$ (126,162)</u>
Increase in surplus (P4,L53,C1)	<u><u>\$ 474,613</u></u>

NOTE 3 Business Combinations and Goodwill - No significant changes

NOTE 4 Discontinued Operations - No significant changes

NOTE 5 Investments

D. Asset-Backed Securities

(1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from broker dealer survey values or internal estimates.

NOTES TO FINANCIAL STATEMENTS

(2) Securities with Recognized Other-Than-Temporary Impairment - NONE

(3) Recognized OTTI Securities - NONE

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a) The aggregate amount of unrealized losses:	
1. Less than 12 Months	\$ 2,137
2. 12 Months or Longer	\$ 9,222,998
b)The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	\$ 2,270,688
2. 12 Months or Longer	\$ 153,076,450

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary
Cash flow modeling was performed on all of these securities using current and expected market based assumptions which showed that the investor will receive cash flow the percent of value of which is equal to the adjusted statement value. Therefore, any impairment is considered not other-than-temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received	
b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged	\$ -

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE

H. Repurchase Agreements Transactions Accounted for as a Sale - NONE

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - NONE

M. Working Capital Finance Investments - NONE

N. Offsetting and Netting of Assets and Liabilities - NONE

R. Reporting Entity’s Share of Cash Pool by Asset Type - NONE

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies - No significant changes

NOTE 7 Investment Income - No significant changes

NOTE 8 Derivative Instruments

A. Derivatives under SSAP No. 86—Derivatives

(8) Total Premium Costs for Contracts - NONE

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees - NONE

(2) Recognition of gains/losses and deferred assets and liabilities

- a. Scheduled Amortization - NONE
- b. Total Deferred Balance - NONE
- c. Reconciliation of Amortization - NONE

NOTE 9 Income Taxes - No significant changes

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties - No significant changes

NOTE 11 Debt

B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement
The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, and by purchasing FHLB stock, the Company can enter into deposit contracts. The Company had outstanding deposit contracts of \$350,000,000 as of September 30, 2025 and as of December 31, 2024. The table below indicates the amount of FHLB of Cincinnati stock purchased, collateral pledged, and additional funding capacity available related to the agreement with FHLB of Cincinnati.

(2) FHLB Capital Stock
a. Aggregate Totals

	1	2	3
	Total 2+3	General Account	Separate Accounts
1. Current Year			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 2,641,842	\$ 2,641,842	\$ -
(c) Activity Stock	\$ 15,750,000	\$ 15,750,000	\$ -
(d) Excess Stock	\$ 58	\$ 58	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 18,391,900	\$ 18,391,900	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 350,001,279	XXX	XXX

NOTES TO FINANCIAL STATEMENTS

2. Prior Year-end				
(a) Membership Stock - Class A	\$	-	\$	-
(b) Membership Stock - Class B	\$	2,641,641	\$	2,641,641
(c) Activity Stock	\$	15,750,000	\$	15,750,000
(d) Excess Stock	\$	59	\$	59
(e) Aggregate Total (a+b+c+d)	\$	18,391,700	\$	18,391,700
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$	350,001,302	XXX	XXX

11B(2)a1(f) should be equal to or greater than 11B(4)a1(d)
11B(2)a2(f) should be equal to or greater than 11B(4)a2(d)

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

	1	2	Eligible for Redemption			
			3	4	5	6
			Less Than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
	Current Year Total (2+3+4+5+6)	Not Eligible for Redemption				
Membership Stock						
1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Class B	\$ 2,641,842	\$ 2,641,842	\$ -	\$ -	\$ -	\$ -

11B(2)b1 Current Year Total (Column 1) should equal 11B(2)a1(a) Total (Column 1)
11B(2)b2 Current Year Total (Column 1) should equal 11B(2)a1(b) Total (Column 1)

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1	2	3
	Fair Value	Carrying Value	Aggregate Total Borrowing
1. Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 445,121,918	\$ 473,114,755	\$ 350,000,000
2. Current Year General Account Total Collateral Pledged	\$ 445,121,918	\$ 473,114,755	\$ 350,000,000
3. Current Year Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Total Collateral Pledged	\$ 462,632,045	\$ 492,341,905	\$ 350,000,000

11B(3)a1 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b1 (Columns 1, 2 and 3 respectively)
11B(3)a2 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b2 (Columns 1, 2 and 3 respectively)
11B(3)a3 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b3 (Columns 1, 2 and 3 respectively)
11B(3)a4 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b4 (Columns 1, 2 and 3 respectively)

b. Maximum Amount Pledged During Reporting Period

	1	2	3
	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
1. Current Year Total General and Separate Accounts Maximum Collateral Pledged (Lines 2+3)	\$ 471,195,103	\$ 502,779,595	\$ 350,000,000
2. Current Year General Account Maximum Collateral Pledged	\$ 471,195,103	\$ 502,779,595	\$ 350,000,000
3. Current Year Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ 479,607,500	\$ 505,319,128	\$ 350,000,000

(4) Borrowing from FHLB

a. Amount as of Reporting Date

	1	2	3	4
	Total 2+3	General Account	Separate Accounts	Funding Agreements Reserves Established
1. Current Year				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
2. Prior Year end				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000

b. Maximum Amount During Reporting Period (Current Year)

	1	2	3
	Total 2+3	General Account	Separate Accounts
1. Debt	\$ -	\$ -	\$ -
2. Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -
3. Other	\$ -	\$ -	\$ -
4. Aggregate Total (1+2+3)	\$ 350,000,000	\$ 350,000,000	\$ -

11B(4)b4 (Columns 1, 2 and 3) should be equal to or greater than 11B(4)a1(d) (Columns 1, 2 and 3 respectively)

NOTES TO FINANCIAL STATEMENTS

c. FHLB - Prepayment Obligations

	Does the company have prepayment obligations under the following arrangements (YES/NO)?
1. Debt	No
2. Funding Agreements	No
3. Other	No

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan
(4) Components of net periodic benefit cost - NONE

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations - No significant changes

NOTE 14 Liabilities, Contingencies and Assessments

- A. Contingent Commitments
- (1) Total SSAP No. 97, Investments in Subsidiary, Controlled and Affiliated Entities, A Replacement of SSAP No. 88, and SSAP No. 48, Joint Ventures, The Company is an investor in limited partnerships, a limited liability corporation, other BA assets. The Company has committed \$39,500,000 and funded \$20,798,695 to these investments.
- (2) Detail of other contingent commitments
The Company has unfunded commitments of bonds for \$33,887,989 and mortgages of \$0.

NOTE 15 Leases - No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk - No significant changes

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- B. (2) Servicing Assets and Servicing Liabilities - NONE
- (4) (b) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales - NONE
- C. Wash Sales - NONE

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - No significant changes

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - No significant changes

NOTE 20 Fair Value Measurements

- A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash & Cash equivalents	\$ 35,215,378	\$ -	\$ -	\$ 15,204,368	\$ 50,419,746
Preferred stock	\$ -	\$ 1,570,400	\$ -	\$ -	\$ 1,570,400
Bonds Industrial and Misc	\$ -	\$ 77,731	\$ -	\$ -	\$ 77,731
Common Stock Industrial and Misc	\$ -	\$ 18,391,900	\$ 210,000	\$ -	\$ 18,601,900
Equity call Options	\$ -	\$ 9,096,883	\$ -	\$ -	\$ 9,096,883
Separate account assets	\$ 313,748,483	\$ -	\$ -	\$ -	\$ 313,748,483
Other Invested Assets - limited partnerships	\$ -	\$ -	\$ 27,613,725	\$ -	\$ 27,613,725
Total assets at fair value/NAV	\$ 348,963,861	\$ 29,136,914	\$ 27,823,725	\$ 15,204,368	\$ 421,128,868

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Common Stock										
Industrial and Misc	\$ 210,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 210,000
Other Invested Assets	\$ 31,478,303	\$ -	\$ -	\$ -	\$ 668,124	\$ 1,660,304	\$ -	\$ (6,193,006)	\$ -	\$ 27,613,725
Total Assets	\$ 31,688,303	\$ -	\$ -	\$ -	\$ 668,124	\$ 1,660,304	\$ -	\$ (6,193,006)	\$ -	\$ 27,823,725

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

(3) Policies when Transfers Between Levels are Recognized - NONE

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Included in various investment related line items in the statutory financial statements are certain financial instruments carried at fair value. Other financial instruments are periodically measured at fair value, such as when impaired, or for certain bonds and preferred stock when carried at the lower of cost or market.

Fair Value Hierarchy: Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company uses various methods including market, income and cost approaches. The market approach utilizes prices and other relevant information generated by market transactions involving identical or comparable assets and liabilities. The income approach uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company's estimates about the assumptions market participants would use in valuing financial assets and financial liabilities based on the best information available in circumstances.

The Company is required to categorize its assets and liabilities that are carried at estimated fair value on the statutory statements of admitted assets, liabilities, and capital and surplus into a three level hierarchy based on the priority of the inputs to the valuation technique in accordance with SSAP No. 100R, Fair Value Measurements. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure estimated fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement. The levels of the fair value hierarchy are as follows:

- Level 1 – Fair value is based on unadjusted quoted prices for identical assets and liabilities in an active market at the measurement date. The types of assets and liabilities utilizing Level 1 valuations generally include cash and short-term investments, separate account assets and exchange traded derivatives.

- Level 2 – Fair value is based on significant inputs, other than quoted prices included in Level 1 that are observable in active markets or that are derived principally from or corroborated by observable market data through correlation or other means for identical or similar assets and liabilities. The types of assets and liabilities utilizing Level 2 valuations generally include U.S. government agency securities, municipal bonds, foreign government debt, certain corporate debt, asset-backed, mortgage-backed, unaffiliated surplus notes, capital notes, and private placement securities, derivatives, common stocks, securities lending reinvested collateral and cash equivalent securities.

- Level 3 – Fair value is based on unobservable inputs for the asset or liability for which there is little or no market activity at the measurement date. Unobservable inputs used in the valuation reflect management's best estimate about the assumptions market participants would use to price the asset or liability. The types of assets and liabilities utilizing Level 3 valuations generally include certain corporate debt, asset-backed or mortgage-backed securities, and derivative securities.

(5) Fair Value Disclosures - NONE

B. Fair Value Reporting under SSAP 100R and Other Accounting Pronouncements - NONE

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 1,673,896,034	\$ 1,847,899,564	\$ -	\$ 1,548,565,668	\$ 125,330,366	\$ -	\$ -
Cash & Cash equivalents	\$ 50,419,746	\$ 50,419,746	\$ 35,215,378	\$ -	\$ -	\$ 15,204,368	\$ -
Common stock non-affiliate	\$ 18,601,900	\$ 18,601,900	\$ -	\$ 18,391,900	\$ 210,000	\$ -	\$ -
Preferred stock	\$ 15,483,320	\$ 16,570,400	\$ -	\$ 1,570,400	\$ 13,912,920	\$ -	\$ -
Mortgage Loan	\$ 444,098,032	\$ 478,110,652	\$ -	\$ -	\$ 444,098,032	\$ -	\$ -
Other Invested Assets - Surplus Notes	\$ 22,568,610	\$ 25,803,420	\$ -	\$ 22,568,610	\$ -	\$ -	\$ -
Other Invested Assets - capital notes	\$ 18,208,950	\$ 18,537,500	\$ -	\$ 18,208,950	\$ -	\$ -	\$ -
Other Invested Assets - limited partnerships	\$ 27,613,725	\$ 27,613,725	\$ -	\$ -	\$ 27,613,725	\$ -	\$ -
Derivatives- call options	\$ 9,096,883	\$ 9,096,883	\$ -	\$ 9,096,883	\$ -	\$ -	\$ -
Separate account assets	\$ 313,748,483	\$ 313,748,483	\$ 313,748,483	\$ -	\$ -	\$ -	\$ -
Separate account liabilities	\$ (313,748,483)	\$ (313,748,483)	\$ (313,748,483)	\$ -	\$ -	\$ -	\$ -

D. Not Practicable to Estimate Fair Value - NONE

E. NAV Practical Expedient Investments - NONE

NOTE 21 Other Items

C. Other Disclosures

In March of 2024, the NAIC adopted a new definition of a bond (i.e., investments that are eligible for reporting on Schedule D) in its principles-based bond definition project and introduced a new framework under Statutory Accounting Principles. The new bond definition is narrower than the previous guidance and is intended to capture the economic substance of the securities in a portfolio. A bond is defined as a security that represents a creditor relationship whereby there is a fixed schedule for one or more future payments, and which qualifies as either an issuer creditor obligation or asset-backed security. The new NAIC guidance was effective January 1, 2025.

NOTES TO FINANCIAL STATEMENTS

- (1) Aggregate Book Adjusted Carrying Value for all securities reclassified off Schedule D Part 1
The Company reclassified \$37,406,900 in capital notes from Schedule D Part 1 to Schedule BA.
- (2) Aggregate Book Adjusted Carrying Value after transition for all securities reclassified off Schedule D Part 1 that resulted with a change in measurement basis
The Company has \$6,500,650 of capital notes with a NAIC rating of 3 which requires a measurement basis of lower of amortized cost or fair value.
- (3) Aggregate surplus impact for securities reclassified off Schedule D Part 1
The Company had a \$40,745 reduction in book adjusted carrying value of certain NAIC 3 capital notes being lower than amortized cost.

The Company did not elect to account for residuals using the practical expedient and instead will account for residuals using the Allowable Earned Yield method. Residuals are initially reported at cost, or allocated cost. Subsequent to the initial acquisition, residuals are reported at either the lower of amortized cost or fair value under the Allowable Earned Yield method. The Allowable Earned Yield is established at acquisition as the discount rate that equates the initial best estimate of the residual's cash flows to its acquisition cost. Interest income is recorded under the effective yield method using the Allowable Earned Yield, capped by the amount of cash distributions received. Residuals are assessed for other-than-temporary impairment (OTTI) on an ongoing basis, with required assessment any time that fair value is less than the reported value.

NOTE 22 Events Subsequent - NONE

NOTE 23 Reinsurance - No significant changes

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act - NONE

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [] No [X]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year - Not Applicable

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

- A. Change in Incurred Losses and Loss Adjustment Expenses
Reserves and Loss Adjustment Expenses as of December 31, 2024 were \$87,543,256. As of September 30, 2025, \$15,527,693 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves and Loss Adjustment Expenses remaining for prior years are now \$73,063,261. The decrease is generally the result of the natural progression of a block of disability income claims and the increase or decrease in original estimates as additional information becomes known regarding individual claims.
- B. Information about Significant Changes in Methodologies and Assumptions - NONE

NOTE 26 Intercompany Pooling Arrangements - No significant changes

NOTE 27 Structured Settlements - No significant changes

NOTE 28 Health Care Receivables - No significant changes

NOTE 29 Participating Policies - No significant changes

NOTE 30 Premium Deficiency Reserves - No significant changes

NOTE 31 Reserves for Life Contracts and Annuity Contracts - No significant changes

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics - No significant changes

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics - No significant changes

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected - No significant changes

NOTE 35 Separate Accounts - No significant changes

NOTE 36 Loss/Claim Adjustment Expenses - No significant changes

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []

3.3

If the response to 3.2 is yes, provide a brief description of those changes.
On August 1, 2025, the merger between Ohio National Seguros de Vida S.A. and AuguStar Sudamerica S.A. (formerly Ohio National Sudamerica S.A.) became effective.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [] No [X]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [X] N/A []

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2020

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2020

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/10/2022

6.4

By what department or departments?
Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
AuguStar Distributors, Inc.	Cincinnati, OH	NO	NO	NO	YES
The O.N. Equity Sales Company	Cincinnati, OH	NO	NO	NO	YES
Constellation Investments, Inc.	Cincinnati, OH	NO	NO	NO	YES

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
.....
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$.....

253,309,291

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
.....
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....0
13.

Amount of real estate and mortgages held in short-term investments:

\$.....0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$.....0	\$.....0
14.22 Preferred Stock	\$.....0	\$.....0
14.23 Common Stock	\$.....0	\$.....0
14.24 Short-Term Investments	\$.....0	\$.....0
14.25 Mortgage Loans on Real Estate	\$.....0	\$.....0
14.26 All Other	\$.....0	\$.....0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$.....0	\$.....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$.....0	\$.....0
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
If no, attach a description with this statement.
.....
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$0

16.2

Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0

16.3

Total payable for securities lending reported on the liability page.

\$0

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank NA	425 Walnut Street, Cincinnati, OH 45202
Federal Home Loan Bank of Cincinnati	221 E 4th ST #600, Cincinnati, OH 45202
Computershare Corporate Trust	1505 Energy Park Drive, St. Paul, MN 55108

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. This includes both primary and sub-advisors. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Constellation Investments, Inc.	A.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
.....	Constellation Investments, Inc.	549300L8TNFLUT2NRY25	Securities and Exchange Commission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

0

1.12

Residential Mortgages

\$

82,254,685

1.13

Commercial Mortgages

\$

395,855,967

1.14

Total Mortgages in Good Standing

\$

478,110,652

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

0

1.32

Residential Mortgages

\$

0

1.33

Commercial Mortgages

\$

0

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

0

1.42

Residential Mortgages

\$

0

1.43

Commercial Mortgages

\$

0

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

478,110,652

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

0

1.62

Residential Mortgages

\$

0

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

86.200

%

2.2

A&H cost containment percent

0.300

%

2.3

A&H expense percent excluding cost containment expenses

26.800

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[]

No

[X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

0

3.3

Do you act as an administrator for health savings accounts?

Yes

[]

No

[X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

0

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[X]

No

[]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[]

No

[]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[]

No

[]

N/A

[]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[]

No

[]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
			NONE						

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

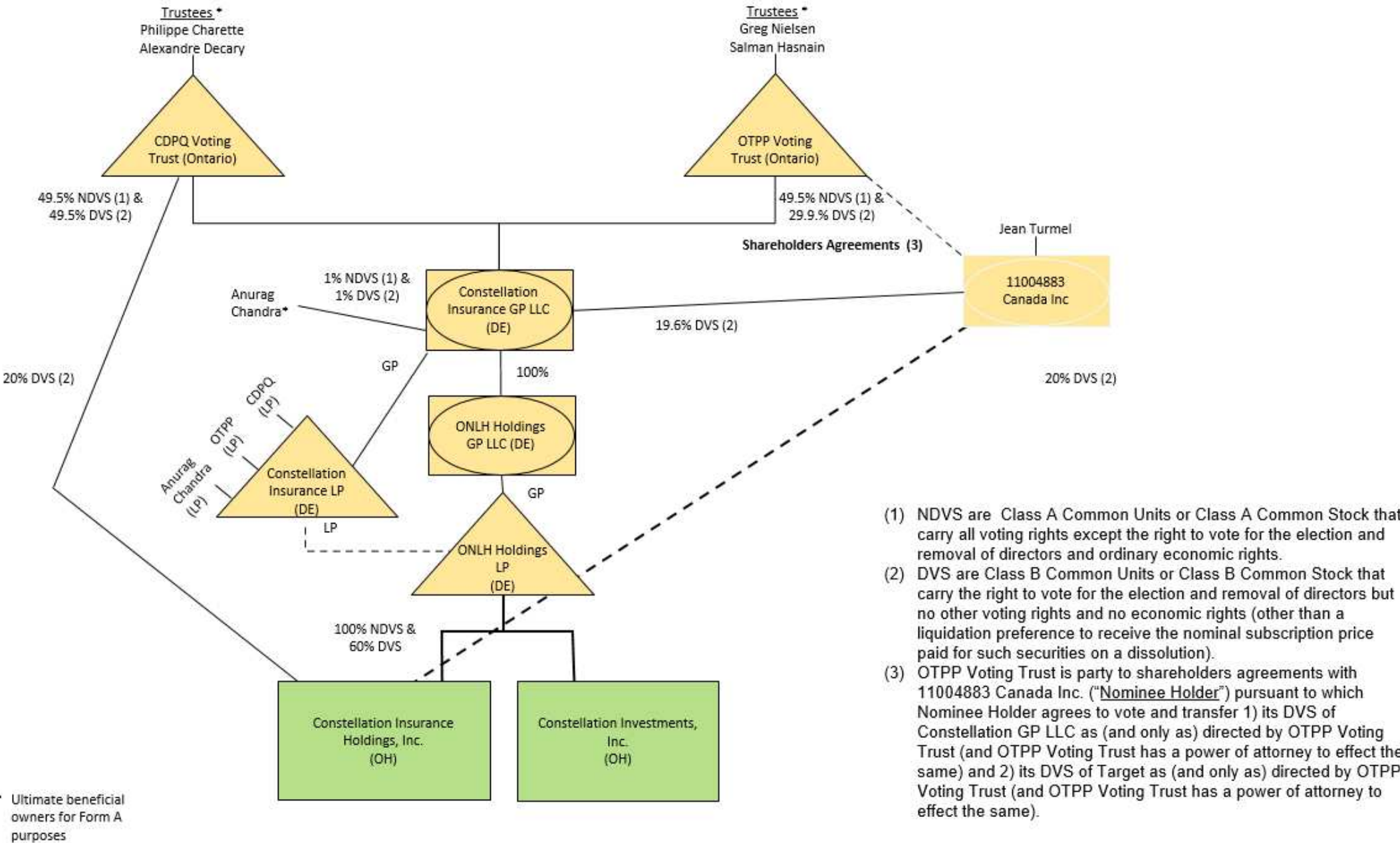
States, Etc.			Direct Business Only					
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
1.	2.	3.	4.	5.	6.	7.	8.	9.
Alabama	AL	L	3,196,678	180	624,199	0	3,821,057	0
Alaska	AK	L	186,116	0	65,416	0	251,532	0
Arizona	AZ	L	4,316,185	0	546,528	0	4,862,713	0
Arkansas	AR	L	2,222,655	0	205,841	0	2,428,496	0
California	CA	L	28,357,898	0	725,958	0	29,083,856	0
Colorado	CO	L	6,473,069	0	761,603	0	7,234,672	0
Connecticut	CT	L	3,345,863	0	253,379	0	3,599,242	0
Delaware	DE	L	445,343	0	60,471	0	505,814	0
District of Columbia	DC	L	331,398	0	52,448	0	383,846	0
Florida	FL	L	24,468,579	0	3,564,363	0	28,032,942	0
Georgia	GA	L	6,982,034	0	639,503	0	7,621,537	0
Hawaii	HI	L	95,278	0	65,043	0	160,321	0
Idaho	ID	L	2,267,807	0	154,661	0	2,422,468	0
Illinois	IL	L	7,782,031	0	906,715	0	8,688,746	0
Indiana	IN	L	2,975,029	0	361,254	0	3,336,283	0
Iowa	IA	L	3,268,878	0	119,644	0	3,388,522	0
Kansas	KS	L	9,819,413	0	401,649	0	10,221,062	0
Kentucky	KY	L	2,900,698	0	314,689	0	3,215,387	0
Louisiana	LA	L	2,867,524	0	479,327	0	3,346,851	0
Maine	ME	L	679,458	0	112,724	0	792,182	0
Maryland	MD	L	5,538,335	120	564,131	0	6,102,586	0
Massachusetts	MA	L	5,106,409	0	620,773	0	5,727,182	0
Michigan	MI	L	8,326,893	0	854,812	0	9,181,705	0
Minnesota	MN	L	4,212,882	0	386,190	0	4,599,072	0
Mississippi	MS	L	1,421,367	0	139,423	0	1,560,790	0
Missouri	MO	L	4,016,343	0	536,397	0	4,552,740	0
Montana	MT	L	1,693,324	0	100,904	0	1,794,228	0
Nebraska	NE	L	7,805,835	0	192,332	0	7,998,167	0
Nevada	NV	L	1,300,974	0	199,516	0	1,500,490	0
New Hampshire	NH	L	1,402,918	0	176,362	0	1,579,280	0
New Jersey	NJ	L	6,675,709	0	1,176,434	0	7,852,143	0
New Mexico	NM	L	678,852	0	89,235	0	768,087	0
New York	NY	N	546,278	0	396,968	0	943,246	0
North Carolina	NC	L	7,527,931	2,495	1,758,559	0	9,288,985	61,616
North Dakota	ND	L	668,675	0	43,928	0	712,603	0
Ohio	OH	L	16,157,097	0	1,486,253	0	17,643,350	150,000,000
Oklahoma	OK	L	3,280,607	0	398,646	0	3,679,253	0
Oregon	OR	L	9,267,065	0	384,938	0	9,652,003	0
Pennsylvania	PA	L	8,793,000	7,693	1,265,421	0	10,066,114	0
Rhode Island	RI	L	1,573,081	0	102,525	0	1,675,606	0
South Carolina	SC	L	3,194,588	0	514,888	0	3,709,476	68,093
South Dakota	SD	L	249,609	0	114,175	0	363,784	0
Tennessee	TN	L	10,010,169	0	1,689,050	0	11,699,219	0
Texas	TX	L	22,116,006	0	2,305,083	0	24,421,089	0
Utah	UT	L	4,728,852	0	484,371	0	5,213,223	0
Vermont	VT	L	408,055	0	86,038	0	494,093	0
Virginia	VA	L	5,966,868	300	669,789	0	6,636,957	0
Washington	WA	L	4,439,598	0	622,267	0	5,061,865	0
West Virginia	WV	L	802,644	0	157,810	0	960,454	0
Wisconsin	WI	L	3,344,392	0	521,194	0	3,865,586	0
Wyoming	WY	L	539,943	0	46,948	0	586,891	0
American Samoa	AS	N	0	0	0	0	0	0
Guam	GU	N	55,494	0	0	0	55,494	0
Puerto Rico	PR	L	3,191,471	0	948,487	0	4,139,958	0
U.S. Virgin Islands	VI	N	994	0	2,040	0	3,034	0
Northern Mariana Islands	MP	N	0	0	0	0	0	0
Canada	CAN	N	3,741	0	4,044	0	7,785	0
Aggregate Other Aliens	OT	XXX	1,326	0	0	0	1,326	0
Subtotal	XXX		268,029,259	10,788	29,455,346	0	297,495,393	150,129,709
Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
Premium or annuity considerations waived under disability or other contract provisions	XXX		367,950	0	678,739	0	1,046,689	0
Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
Totals (Direct Business)	XXX		268,397,209	10,788	30,134,085	0	298,542,082	150,129,709
Plus Reinsurance Assumed	XXX		0	0	34,976	0	34,976	0
Totals (All Business)	XXX		268,397,209	10,788	30,169,061	0	298,577,058	150,129,709
Less Reinsurance Ceded	XXX		121,285,646	0	10,082,986	0	131,368,632	0
Totals (All Business) less Reinsurance Ceded	XXX		147,111,563	10,788	20,086,075	0	167,208,426	150,129,709
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		1,326	0	0	0	1,326	0
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		1,326	0	0	0	1,326	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	51	4. Q - Qualified - Qualified or accredited reinsurer.....	0
2. R - Registered - Non-domiciled RRGs.....	0	5. N - None of the above - Not allowed to write business in the state.....	6
3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0		

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

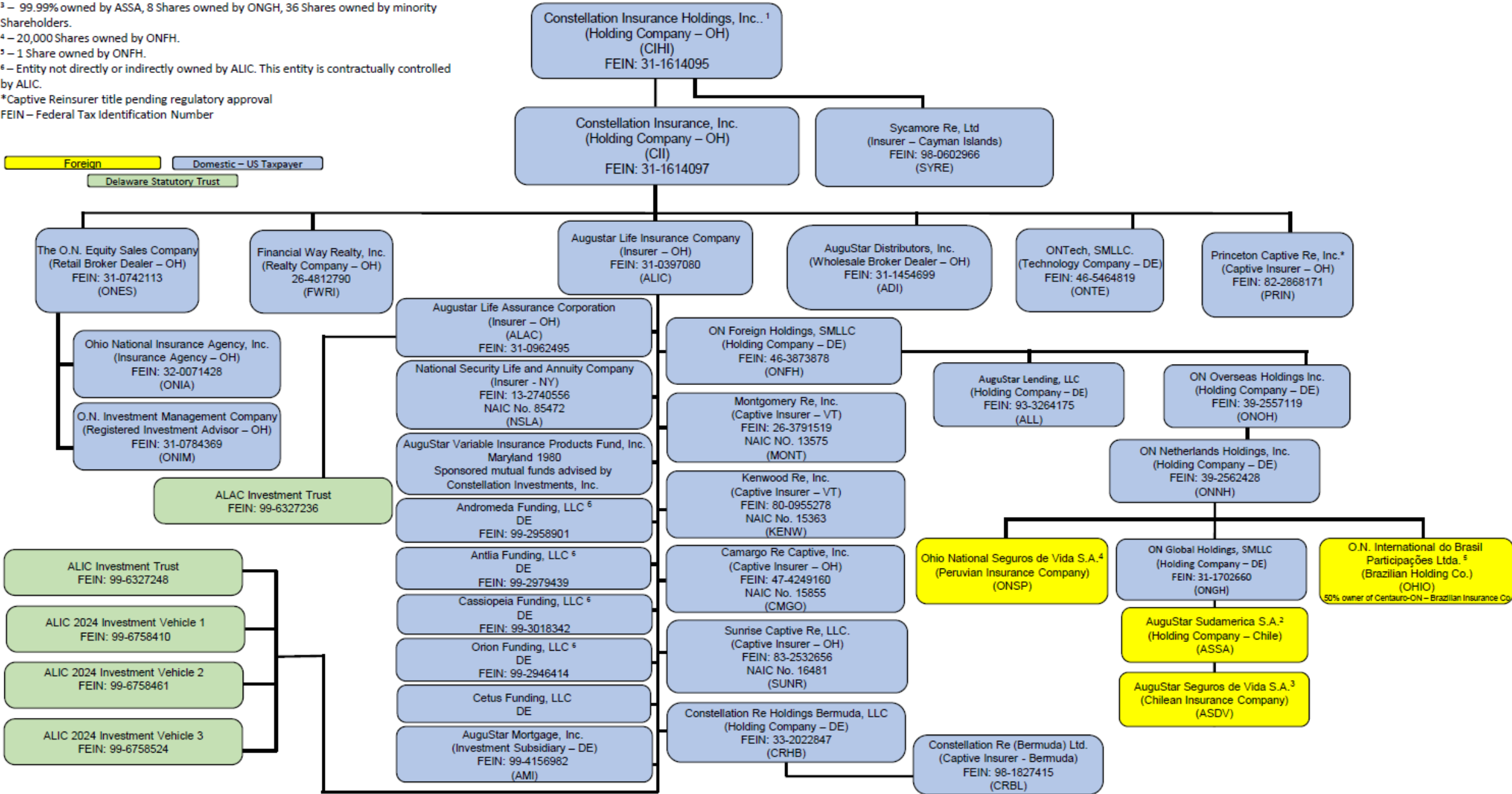
Organizational Chart
(Slide 1 of 2)



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

¹ – 100% of the non-director voting shares and 60% of the director voting shares of ONHI are owned by ONLH Holdings LP, a Delaware Limited Partnership.
² – 7.89% owned by ONNH.
³ – 99.99% owned by ASSA, 8 Shares owned by ONGH, 36 Shares owned by minority Shareholders.
⁴ – 20,000 Shares owned by ONFH.
⁵ – 1 Share owned by ONFH.
⁶ – Entity not directly or indirectly owned by ALIC. This entity is contractually controlled by ALIC.
*Captive Reinsurer title pending regulatory approval
FEIN – Federal Tax Identification Number

Constellation Organizational Chart



SCHEDULE Y
PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Yes/No)	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Rela- tion- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					*
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	..CAN	UIP		Other	0.000		..NO	1
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ontario Teachers Pension Plan (OTPP) Voting Trust	..CAN	UIP		Other	0.000		..NO	1
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		11004883 Canada Inc.	..CAN	UIP		Other	0.000		..NO	2
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Ownership, Board of Directors, Management	49.500		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Ontario Teachers Pension Plan (OTPP) Voting Trust	Ownership, Board of Directors, Management	29.900		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	11004883 Canada Inc.	Ownership, Board of Directors, Management	19.600		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Anurag Chandra (Member of Constellation Insurance GP, LLC)	Ownership, Board of Directors, Management	1.000		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	UIP	Constellation Insurance GP, LLC	Other	0.000	Constellation Insurance GP, LLC	..NO	3
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Anurag Chandra (Member of Constellation Insurance LP, LLC)	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Ontario Teachers Pension Plan (OTPP) Voting Trust	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings GP, LLC	..DE	UIP	Constellation Insurance GP, LLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings LP	..DE	UIP	Constellation Insurance LP	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings LP	..DE	UIP	Constellation Insurance GP, LLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1454693	0	0		Constellation Investments, Inc.	..OH	NIA	ONLH Holdings LP	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..YES	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	ONLH Holdings LP	Ownership, Board of Directors, Management	60.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Ownership, Board of Directors, Management	20.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	11004883 Canada Inc.	Ownership, Board of Directors, Management	20.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614097	0	0		Constellation Insurance, Inc.	..OH	UIP	Constellation Insurance Holdings, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	AA-3770581	0	0		Sycamore Re, Ltd.	..CYM	IA	Constellation Insurance Holdings, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	46-5464819	0	0		ON Tech, SMLLC	..DE	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	82-2868171	0	0		Princeton Captive Re, Inc.	..OH	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	26-4812790	0	0		Financial Way Realty, Inc.	..OH	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	46-3873878	0	0		Ohio National Foreign Holdings, SMLLC	..DE	NIA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	93-3264175	0	0		AuguStar Lending, LLC	..DE	NIA	Ohio National Foreign Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE Y
PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Yes/No)	16 *
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Rela- tion- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					
. 0704	Constellation Insurance Holdings, Inc.	00000	39-2557119	0	0		ON Overseas Holdings Inc.	..DE.....	..NIA.....	Ohio National Foreign Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	39-2562428	0	0		ON Netherlands Holdings Inc.	..DE.....	..NIA.....	ON Overseas Holdings Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ohio National Seguros de Vida S.A.	..PER.....	..IA.....	ON Netherlands Holdings Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1702660	0	0		ON Global Holdings, SMLLC	..DE.....	..NIA.....	ON Netherlands Holdings Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		AuguStar Sudamerica S.A.	..CHL.....	..NIA.....	ON Global Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		AuguStar Seguros de Vida S.A.	..CHL.....	..IA.....	AuguStar Sudamerica S.A.	Ownership, Board of Directors, Management	99.990	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		O.N. International do Brasil Participações Ltda.	..BRA.....	..IA.....	ON Netherlands Holdings Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	67172	31-0397080	0	0		AuguStar Life Insurance Company	..OH.....	..UDP.....	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	13575	26-3791519	0	0		Montgomery Re, Inc.	..VT.....	..IA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	15363	80-0955278	0	0		Kenwood Re, Inc	..VT.....	..IA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	15855	47-4249160	0	0		Camargo Re Captive, Inc.	..OH.....	..IA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	16481	83-2532656	0	0		Sunrise Captive Re, LLC	..OH.....	..IA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Constellation Re Holdings Bermuda, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Constellation Re (Bermuda) Ltd.	..BMU.....	..IA.....	Constellation Re Holdings Bermuda, LLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	89206	31-0962495	0	0		AuguStar Life Assurance Corporation	..OH.....	..RE.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-6327236	0	0		ALAC Investment Trust	..DE.....	..NIA.....	AuguStar Life Assurance Corporation	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	85472	13-2740556	0	0		National Security Life and Annuity Company	..NY.....	..IA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		AuguStar Variable Insurance Products Fund, Inc.	..MD.....	..NIA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2958901	0	0		Andromeda Funding, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2979439	0	0		Antlia Funding, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-3018342	0	0		Cassiopeia Funding, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2946414	0	0		Orion Funding, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Cetus Funding, LLC	..DE.....	..NIA.....	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		ALIC Investment Trust	..DE.....	..NIA.....	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-6758410	0	0		ALIC 2024 Investment Vehicle 1	..DE.....	..NIA.....	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO.....	0

SCHEDULE Y
PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	99-6758461 ..	0	0		ALIC 2024 Investment Vehicle 2	.. DE.....	 NIA.....	AuguStar Life Insurance Company	Ownership, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 NO.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	99-6758524 ..	0	0		ALIC 2024 Investment Vehicle 3	.. DE.....	 NIA.....	AuguStar Life Insurance Company	Ownership, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 NO.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	99-4156982 ..	0	0		AuguStar Mortgage, Inc.	.. DE.....	 NIA.....	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 NO.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	31-1454699 ..	0	0		AuguStar Distributors, Inc.	.. OH.....	 NIA.....	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 YES.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	31-0742113 ..	0	0		The O.N. Equity Sales Company	.. OH.....	 NIA.....	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 YES.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	32-0071428 ..	0	0		Ohio National Insurance Agency, Inc.	.. OH.....	 NIA.....	The O.N. Equity Sales Company	Ownership, Board of Directors, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 NO.....	 0
. 0704 ...	Constellation Insurance Holdings, Inc.	 00000	31-0784369 ..	0	0		O.N. Investment Management Company	.. OH.....	 NIA.....	The O.N. Equity Sales Company	Ownership, Board of Directors, Management	.. 100.000 ...	Constellation Insurance Holdings, Inc.	 NO.....	 0

Asterisk	Explanation
1	Voting Trust
2	Nominee Holder
3	General Partnership
4	Limited Partnership

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption.	N/A

AUGUST FILING

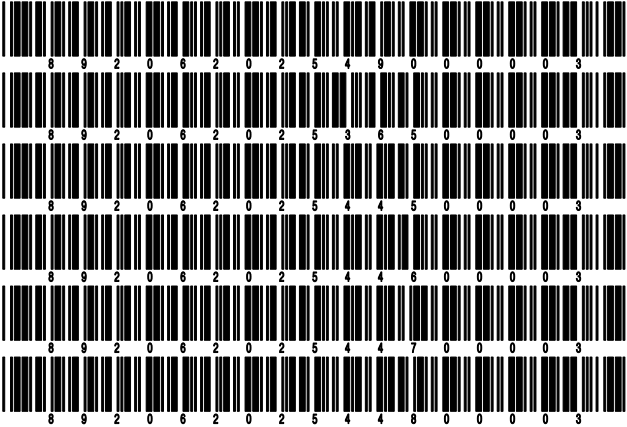
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
--	-----

Explanation:

1.
2.
3.
4.
5.
6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Summary of Operations Line 8.3

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
08.304. Administrative Charges	336,362	1,466,554	1,554,268
08.305. VUL Gain (Loss)	(17,693)	22,953	42,698
08.397. Summary of remaining write-ins for Line 8.3 from overflow page	318,669	1,489,507	1,596,966

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	522,352,823	463,087,261
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	338,448	109,114,141
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	68
5. Unrealized valuation increase/(decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	44,101,180	49,587,874
8. Deduct amortization of premium and mortgage interest points and commitment fees	479,442	260,774
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	478,110,649	522,352,823
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	478,110,649	522,352,823
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	478,110,649	522,352,823

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	51,138,588	42,770,523
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	34,722,525	7,852,309
2.2 Additional investment made after acquisition	9,000,972	18,058,675
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	65,182	3,853
5. Unrealized valuation increase/(decrease)	2,784,034	2,223,997
6. Total gain (loss) on disposals	(629,265)	0
7. Deduct amounts received on disposals	25,043,780	19,746,442
8. Deduct amortization of premium, depreciation and proportional amortization	83,614	24,327
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	71,954,642	51,138,588
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	71,954,642	51,138,588

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,995,863,259	2,080,844,971
2. Cost of bonds and stocks acquired	126,066,308	85,811,553
3. Accrual of discount	939,962	1,718,623
4. Unrealized valuation increase/(decrease)	19,562	(196,428)
5. Total gain (loss) on disposals	(108,453)	(209,985)
6. Deduct consideration for bonds and stocks disposed of	238,981,776	170,483,378
7. Deduct amortization of premium	1,267,176	1,754,324
8. Total foreign exchange change in book/adjusted carrying value	321	(251)
9. Deduct current year's other than temporary impairment recognized	23,937	76,568
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	563,802	209,046
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	1,883,071,872	1,995,863,259
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	1,883,071,872	1,995,863,259

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
ISSUER CREDIT OBLIGATIONS (ICO)								
1. NAIC 1 (a)	754,924,861	1,005,701	17,193,428	4,763,566	764,183,751	754,924,861	743,500,700	774,898,675
2. NAIC 2 (a)	715,342,496	2,399,441	24,370,601	(653,970)	730,875,747	715,342,496	692,717,366	777,747,324
3. NAIC 3 (a)	19,030,150	0	45,000	(4,408,256)	20,356,015	19,030,150	14,576,894	27,471,287
4. NAIC 4 (a)	2,599,177	0	2,598,780	(397)	6,655,351	2,599,177	0	6,708,621
5. NAIC 5 (a)	3,546,448	0	0	23,446	3,523,699	3,546,448	3,569,894	3,501,667
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total ICO	1,495,443,132	3,405,142	44,207,809	(275,611)	1,525,594,563	1,495,443,132	1,454,364,854	1,590,327,574
ASSET-BACKED SECURITIES (ABS)								
8. NAIC 1	267,823,700	77,475,000	31,938,536	4,190,315	292,956,138	267,823,700	317,550,479	294,006,734
9. NAIC 2	78,088,372	1,775,513	4,466,208	(4,000,736)	74,429,013	78,088,372	71,396,941	74,095,354
10. NAIC 3	4,257,842	318,750	31,610	2,181	3,156,755	4,257,842	4,547,163	2,128,256
11. NAIC 4	0	0	0	0	0	0	0	99,074
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	46,103	0	7,202	1,229	48,942	46,103	40,130	51,761
14. Total ABS	350,216,017	79,569,263	36,443,556	192,989	370,590,848	350,216,017	393,534,713	370,381,179
PREFERRED STOCK								
15. NAIC 1	15,000,000	0	0	0	15,000,000	15,000,000	15,000,000	15,000,000
16. NAIC 2	1,460,800	0	0	109,600	1,512,000	1,460,800	1,570,400	1,552,800
17. NAIC 3	0	0	0	0	0	0	0	0
18. NAIC 4	0	0	0	0	0	0	0	0
19. NAIC 5	0	0	0	0	0	0	0	0
20. NAIC 6	0	0	0	0	0	0	0	0
21. Total Preferred Stock	16,460,800	0	0	109,600	16,512,000	16,460,800	16,570,400	16,552,800
22. Total ICO, ABS & Preferred Stock	1,862,119,949	82,974,405	80,651,365	26,978	1,912,697,411	1,862,119,949	1,864,469,967	1,977,261,553

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Prior Year Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of short-term investments acquired		
3. Accrual of discount		
4. Unrealized valuation increase/(decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		

NONE

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	7,369,313
2.	Cost Paid/(Consideration Received) on additions	4,617,439
3.	Unrealized Valuation increase/(decrease)	1,034,814
4.	SSAP No. 108 adjustments	0
5.	Total gain (loss) on termination recognized	2,953,106
6.	Considerations received/(paid) on terminations	6,877,789
7.	Amortization	0
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	0
9.	Total foreign exchange change in Book/Adjusted Carrying Value	0
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	9,096,882
11.	Deduct nonadmitted assets	0
12.	Statement value at end of current period (Line 10 minus Line 11)	9,096,882

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year plus	
3.25	SSAP No. 108 adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
4.21	Amount used to adjust basis of hedged item	
4.22	Amount recognized	
4.23	SSAP No. 108 adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year	
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	9,096,883
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2)	9,096,883
4.	Part D, Section 1, Column 6	9,096,883
5.	Part D, Section 1, Column 7	0
6.	Total (Line 3 minus Line 4 minus Line 5)	0
		Fair Value Check
7.	Part A, Section 1, Column 16	9,096,883
8.	Part B, Section 1, Column 13	0
9.	Total (Line 7 plus Line 8)	9,096,883
10.	Part D, Section 1, Column 9	9,096,883
11.	Part D, Section 1, Column 10	0
12.	Total (Line 9 minus Line 10 minus Line 11)	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21	0
14.	Part B, Section 1, Column 20	0
15.	Part D, Section 1, Column 12	0
16.	Total (Line 13 plus Line 14 minus Line 15)	0

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,275,124	0
2. Cost of cash equivalents acquired	12,575,932	153,592,161
3. Accrual of discount	0	0
4. Unrealized valuation increase/(decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	1,646,689	149,317,037
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	15,204,367	4,275,124
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	15,204,367	4,275,124

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

[illegible]

SCHEDULE B - PART 2

[illegible]

SCHEDULE B - PART 3

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
1425873	NORTHBROOK	IL		12/20/2016	09/23/2025	1,702,488	0	0	0	0	0	0	1,651,219	1,651,219	0	0	0
1425946	BARRINGTON	IL		09/27/2017	07/23/2025	629,386	0	0	0	0	0	0	608,052	608,052	0	0	0
1525783	INDIANAPOLIS	IN		08/04/2015	09/26/2025	1,925,596	0	0	0	0	0	0	1,821,087	1,821,087	0	0	0
2125749	DISTRICT HEIGHTS	MD		04/30/2015	08/28/2025	1,149,942	0	0	0	0	0	0	1,090,186	1,090,186	0	0	0
3626065	MASON	OH		04/15/2019	08/14/2025	1,486,215	0	0	0	0	0	0	1,325,927	1,325,927	0	0	0
3626329	CINCINNATI	OH		11/17/2022	08/20/2025	973,179	0	0	0	0	0	0	936,950	936,950	0	0	0
4425554	PORTLAND	TX		05/14/2012	09/04/2025	384,139	0	0	0	0	0	0	286,048	286,048	0	0	0
4725721	YORKTOWN	VA		12/10/2014	07/14/2025	504,676	0	0	0	0	0	0	452,120	452,120	0	0	0
RES000000082	PATERSON	NJ		03/28/2024	07/15/2025	502,650	0	3,155	0	0	3,155	0	494,347	494,347	0	0	0
RES000000123	LAKE HARMONY	PA		04/05/2024	08/14/2025	238,065	0	2,806	0	0	2,806	0	233,292	233,292	0	0	0
RES000000191	DENVER	CO		04/19/2024	07/15/2025	453,658	0	9,831	0	0	9,831	0	440,542	440,542	0	0	0
RES000000793	MISSION VIEJO	CA		10/23/2024	09/15/2025	1,517,354	0	32,170	0	0	32,170	0	1,468,858	1,468,858	0	0	0
RES480000019	GIG HARBOR	WA		02/28/2024	07/15/2025	167,476	0	3,457	0	0	3,457	0	162,886	162,886	0	0	0
0199999. Mortgages closed by repayment						11,634,825	0	51,419	0	0	51,419	0	10,971,515	10,971,515	0	0	0
0125745	HOOVER	AL		03/26/2015		818,393	0	0	0	0	0	0	35,204	35,204	0	0	0
0125943	MOUNTAIN BROOK	AL		09/14/2017		1,769,951	0	0	0	0	0	0	26,430	26,430	0	0	0
0225839	TEMPE	AZ		07/06/2016		937,680	0	0	0	0	0	0	31,359	31,359	0	0	0
0325771	PHOENIX	AZ		06/30/2015		699,690	0	0	0	0	0	0	19,993	19,993	0	0	0
0325823	TEMPE	AZ		04/21/2016		2,585,911	0	0	0	0	0	0	27,980	27,980	0	0	0
0325824	PHOENIX	AZ		04/26/2016		536,966	0	0	0	0	0	0	9,386	9,386	0	0	0
0325830	TUCSON	AZ		05/26/2016		914,609	0	0	0	0	0	0	42,253	42,253	0	0	0

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STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0325836	PHOENIX	AZ		06/20/2016		4,018,502	0	0	0	0	0	0	42,861	42,861	0	0	0
0325843	TUCSON	AZ		07/22/2016		1,081,476	0	0	0	0	0	0	11,583	11,583	0	0	0
0325904	TUCSON	AZ		05/01/2017		347,749	0	0	0	0	0	0	10,133	10,133	0	0	0
0325940	PHOENIX	AZ		09/12/2017		1,034,637	0	0	0	0	0	0	15,331	15,331	0	0	0
0325941	PHOENIX	AZ		09/12/2017		853,560	0	0	0	0	0	0	12,649	12,649	0	0	0
0325942	PHOENIX	AZ		09/12/2017		476,673	0	0	0	0	0	0	7,063	7,063	0	0	0
0325957	TUCSON	AZ		11/14/2017		1,001,482	0	0	0	0	0	0	31,088	31,088	0	0	0
0325964	COTTONWOOD	AZ		12/18/2017		2,792,941	0	0	0	0	0	0	69,052	69,052	0	0	0
0326022	CHANDLER	AZ		08/22/2018		2,833,955	0	0	0	0	0	0	36,863	36,863	0	0	0
0326115	SCOTTSDALE	AZ		11/08/2019		3,738,810	0	0	0	0	0	0	31,640	31,640	0	0	0
0326130	TEMPE	AZ		02/19/2020		626,078	0	0	0	0	0	0	12,673	12,673	0	0	0
0326248	TUCSON	AZ		11/29/2021		1,025,486	0	0	0	0	0	0	15,723	15,723	0	0	0
0326274	SCOTTSDALE	AZ		03/08/2022		904,720	0	0	0	0	0	0	9,587	9,587	0	0	0
0326303	PLACENTIA	CA		07/13/2022		1,949,350	0	0	0	0	0	0	17,656	17,656	0	0	0
0326313	ORO VALLEY	AZ		09/28/2022		6,203,898	0	0	0	0	0	0	55,970	55,970	0	0	0
0425997	LITTLE ROCK	AR		05/11/2018		1,962,886	0	0	0	0	0	0	48,009	48,009	0	0	0
0426092	FAYETTEVILLE	AR		07/31/2019		1,102,803	0	0	0	0	0	0	23,493	23,493	0	0	0
0426098	RUSSELLVILLE	AR		07/31/2019		1,672,402	0	0	0	0	0	0	28,402	28,402	0	0	0
0426099	ROGERS	AR		07/31/2019		950,642	0	0	0	0	0	0	20,382	20,382	0	0	0
0426100	SPRINGDALE	AR		07/31/2019		1,695,978	0	0	0	0	0	0	35,153	35,153	0	0	0
0525522	DANA POINT	CA		08/19/2011		211,989	0	0	0	0	0	0	29,896	29,896	0	0	0
0525849	DEL MAR	CA		08/30/2016		1,669,398	0	0	0	0	0	0	26,440	26,440	0	0	0
0525863	LOS ANGELES	CA		11/01/2016		1,900,446	0	0	0	0	0	0	30,732	30,732	0	0	0
0525952	SAN YSIDRO	CA		10/27/2017		2,636,141	0	0	0	0	0	0	32,212	32,212	0	0	0
0526174	HAYWARD	CA		02/10/2021		4,597,777	0	0	0	0	0	0	30,041	30,041	0	0	0
0526244	MORENO VALLEY	CA		11/10/2021		2,086,555	0	0	0	0	0	0	45,585	45,585	0	0	0
0526292	MALIBU	CA		06/03/2022		7,067,682	0	0	0	0	0	0	48,267	48,267	0	0	0
0526359	SACRAMENTO	CA		05/01/2023		1,753,101	0	0	0	0	0	0	42,196	42,196	0	0	0
0526391	CERRITOS	CA		02/02/2024		3,949,188	0	0	0	0	0	0	18,031	18,031	0	0	0
0625761	GOLDEN	CO		05/22/2015		2,193,097	0	0	0	0	0	0	42,737	42,737	0	0	0
0625917	WESTMINISTER	CO		06/29/2017		802,821	0	0	0	0	0	0	10,892	10,892	0	0	0
0626026	FALCON	CO		09/11/2018		2,390,799	0	0	0	0	0	0	56,817	56,817	0	0	0
0826027	MIDDLETOWN	DE		09/11/2018		1,522,465	0	0	0	0	0	0	35,363	35,363	0	0	0
0926361	WASHINGTON	DC		05/30/2023		3,971,664	0	0	0	0	0	0	32,043	32,043	0	0	0
1025434	POMPANO BEACH	FL		02/17/2009		586,075	0	0	0	0	0	0	4,751	4,751	0	0	0
1025485	GROVELAND	FL		01/26/2011		788,006	0	0	0	0	0	0	43,456	43,456	0	0	0
1025503	JACKSONVILLE	FL		05/27/2011		219,861	0	0	0	0	0	0	36,384	36,384	0	0	0
1025515	KISSIMEE	FL		07/07/2011		189,181	0	0	0	0	0	0	28,070	28,070	0	0	0
1025528	ORLANDO	FL		09/29/2011		163,194	0	0	0	0	0	0	22,994	22,994	0	0	0
1025581	MARIANNA	FL		10/26/2012		408,591	0	0	0	0	0	0	33,608	33,608	0	0	0
1025647	OCFEE	FL		08/29/2013		441,501	0	0	0	0	0	0	27,825	27,825	0	0	0
1025691	ORLANDO	FL		07/23/2014		824,571	0	0	0	0	0	0	15,147	15,147	0	0	0
1025695	NICEVILLE	FL		08/08/2014		673,414	0	0	0	0	0	0	9,720	9,720	0	0	0
1025698	OCALA	FL		09/03/2014		736,263	0	0	0	0	0	0	32,996	32,996	0	0	0
1025703	NAPLES	FL		09/19/2014		618,052	0	0	0	0	0	0	29,677	29,677	0	0	0
1025740	DORAL	FL		02/26/2015		1,224,816	0	0	0	0	0	0	24,699	24,699	0	0	0
1025784	CLEARWATER	FL		08/18/2015		1,600,793	0	0	0	0	0	0	30,172	30,172	0	0	0
1025817	NAPLES	FL		02/19/2016		3,736,611	0	0	0	0	0	0	52,645	52,645	0	0	0
1025819	PORT ST LUCIE	FL		03/14/2016		85,459	0	0	0	0	0	0	16,007	16,007	0	0	0
1025878	ORLANDO	FL		01/05/2017		2,819,850	0	0	0	0	0	0	43,815	43,815	0	0	0
1025931	TALLAHASSEE	FL		07/28/2017		1,280,678	0	0	0	0	0	0	35,886	35,886	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
1026111	DEERFIELD BEACH	FL		10/10/2019		4,025,960	0	0	0	0	0	0	50,041	50,041	0	0	0
1125905	SNELLVILLE	GA		05/01/2017		794,782	0	0	0	0	0	0	12,054	12,054	0	0	0
1126031	GAINESVILLE	GA		10/25/2018		1,015,288	0	0	0	0	0	0	37,235	37,235	0	0	0
1126042	MACON	GA		12/14/2018		2,042,782	0	0	0	0	0	0	25,619	25,619	0	0	0
1126082	SAVANNAH	GA		06/20/2019		1,364,314	0	0	0	0	0	0	29,228	29,228	0	0	0
1126103	BRUNSWICK	GA		09/06/2019		2,603,549	0	0	0	0	0	0	28,783	28,783	0	0	0
1126129	WOODSTOCK	GA		01/08/2020		3,350,151	0	0	0	0	0	0	104,242	104,242	0	0	0
1126218	BRUNSWICK	GA		07/23/2021		1,772,777	0	0	0	0	0	0	18,721	18,721	0	0	0
1126381	NORCROSS	GA		09/05/2023		2,340,134	0	0	0	0	0	0	18,291	18,291	0	0	0
1126398	ATLANTA	GA		03/26/2024		2,040,293	0	0	0	0	0	0	9,800	9,800	0	0	0
1325938	IDAHO FALLS	ID		08/25/2017		1,473,950	0	0	0	0	0	0	41,026	41,026	0	0	0
1425429	LINCOLNWOOD	IL		12/01/2008		915,511	0	0	0	0	0	0	20,587	20,587	0	0	0
1425631	FRANKLIN PARK	IL		06/20/2013		383,725	0	0	0	0	0	0	25,303	25,303	0	0	0
1425632	CHICAGO	IL		06/20/2013		383,725	0	0	0	0	0	0	25,303	25,303	0	0	0
1425650	LAKE ZURICH	IL		09/06/2013		418,720	0	0	0	0	0	0	23,874	23,874	0	0	0
1425860	DEERFIELD	IL		09/29/2016		690,024	0	0	0	0	0	0	11,529	11,529	0	0	0
1425873	NORTHBROOK	IL		12/20/2016		1,702,488	0	0	0	0	0	0	17,266	17,266	0	0	0
1425946	BARRINGTON	IL		09/27/2017		629,386	0	0	0	0	0	0	3,083	3,083	0	0	0
1425953	HINSDALE	IL		10/30/2017		1,659,100	0	0	0	0	0	0	23,681	23,681	0	0	0
1426023	LOMBARD	IL		08/28/2018		2,007,333	0	0	0	0	0	0	26,114	26,114	0	0	0
1426217	BUFFALO GROVE	IL		07/22/2021		1,760,319	0	0	0	0	0	0	19,552	19,552	0	0	0
1426222	FAIRVIEW HEIGHTS	IL		08/03/2021		916,481	0	0	0	0	0	0	6,985	6,985	0	0	0
1525573	GRIFFITH	IN		09/11/2012		500,391	0	0	0	0	0	0	42,410	42,410	0	0	0
1525783	INDIANAPOLIS	IN		08/04/2015		1,925,596	0	0	0	0	0	0	35,209	35,209	0	0	0
1525807	FORT WAYNE	IN		12/22/2015		438,258	0	0	0	0	0	0	15,479	15,479	0	0	0
1526001	FISHERS	IN		06/15/2018		1,201,791	0	0	0	0	0	0	22,367	22,367	0	0	0
1526076	FISHERS	IN		05/28/2019		3,495,504	0	0	0	0	0	0	27,856	27,856	0	0	0
1526109	FISHERS	IN		09/30/2019		1,288,041	0	0	0	0	0	0	21,628	21,628	0	0	0
1526296	INDIANAPOLIS	IN		06/06/2022		2,570,268	0	0	0	0	0	0	25,607	25,607	0	0	0
1526298	NOBLESVILLE	IN		06/23/2022		751,987	0	0	0	0	0	0	7,098	7,098	0	0	0
1825646	LEXINGTON	KY		08/22/2013		299,489	0	0	0	0	0	0	42,325	42,325	0	0	0
1825780	LEXINGTON	KY		07/28/2015		1,147,222	0	0	0	0	0	0	21,789	21,789	0	0	0
1826193	BELLEVUE	KY		05/13/2021		633,882	0	0	0	0	0	0	7,412	7,412	0	0	0
1826210	BURLINGTON	KY		07/07/2021		1,054,800	0	0	0	0	0	0	11,818	11,818	0	0	0
1826213	LEXINGTON	KY		07/14/2021		1,236,933	0	0	0	0	0	0	9,273	9,273	0	0	0
1826310	LOUISVILLE	KY		08/30/2022		1,765,271	0	0	0	0	0	0	16,268	16,268	0	0	0
1826331	DRY RIDGE	KY		11/17/2022		1,022,968	0	0	0	0	0	0	249,687	249,687	0	0	0
2125749	DISTRICT HEIGHTS	MD		04/30/2015		1,149,942	0	0	0	0	0	0	15,093	15,093	0	0	0
2126003	ELKTON	MD		06/22/2018		1,621,895	0	0	0	0	0	0	26,968	26,968	0	0	0
2126046	CROFTON	MD		12/21/2018		1,206,307	0	0	0	0	0	0	14,928	14,928	0	0	0
2126053	SILVER SPRING	MD		02/21/2019		2,693,662	0	0	0	0	0	0	41,438	41,438	0	0	0
2126355	CLINTON	MD		04/21/2023		3,007,758	0	0	0	0	0	0	15,792	15,792	0	0	0
2225809	BRAINTREE	MA		12/29/2015		1,856,526	0	0	0	0	0	0	33,520	33,520	0	0	0
2226010	CAMBRIDGE	MA		07/13/2018		777,885	0	0	0	0	0	0	10,443	10,443	0	0	0
2325674	CLARKSTON	MI		01/28/2014		391,839	0	0	0	0	0	0	21,825	21,825	0	0	0
2325683	AUBURN HILLS	MI		04/17/2014		238,114	0	0	0	0	0	0	27,436	27,436	0	0	0
2325725	MADISON HEIGHTS	MI		12/17/2014		695,265	0	0	0	0	0	0	25,655	25,655	0	0	0
2325789	SHELBY TOWNSHIP	MI		09/09/2015		1,202,352	0	0	0	0	0	0	22,162	22,162	0	0	0
2325870	YPSILANTE	MI		12/12/2016		644,759	0	0	0	0	0	0	17,266	17,266	0	0	0
2325888	NOVI	MI		02/10/2017		466,877	0	0	0	0	0	0	50,892	50,892	0	0	0
2326085	ROCHESTER HILLS	MI		06/26/2019		4,125,903	0	0	0	0	0	0	100,704	100,704	0	0	0

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Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
2326408	DEARBORN	MI		05/24/2024		1,678,881	0	0	0	0	0	0	11,174	11,174	0	0	0
2425585	EDEN PRAIRIE	MN		11/29/2012		158,556	0	0	0	0	0	0	19,505	19,505	0	0	0
2425812	BUFFALO	MN		01/14/2016		1,527,822	0	0	0	0	0	0	27,165	27,165	0	0	0
2425862	ST. PAUL	MN		12/15/2017		441,282	0	0	0	0	0	0	34,447	34,447	0	0	0
2425966	WEST SAINT PAUL	MN		12/19/2017		825,993	0	0	0	0	0	0	7,552	7,552	0	0	0
2525738	RIDGELAND	MS		02/05/2015		227,129	0	0	0	0	0	0	24,714	24,714	0	0	0
2625831	ST LOUIS	MO		05/27/2016		1,047,528	0	0	0	0	0	0	35,980	35,980	0	0	0
2626219	SAINT CHARLES	MO		07/23/2021		4,051,308	0	0	0	0	0	0	90,299	90,299	0	0	0
2826284	LAS VEGAS	NV		05/11/2022		4,656,925	0	0	0	0	0	0	91,010	91,010	0	0	0
3126236	BERNARDSVILLE	NJ		10/06/2021		837,039	0	0	0	0	0	0	14,306	14,306	0	0	0
3126346	FAIRFIELD	NJ		02/22/2023		2,628,487	0	0	0	0	0	0	14,216	14,216	0	0	0
3126347	FAIRFIELD	NJ		02/22/2023		1,333,567	0	0	0	0	0	0	7,213	7,213	0	0	0
3126348	FAIRFIELD	NJ		02/22/2023		3,788,113	0	0	0	0	0	0	20,488	20,488	0	0	0
3126349	FAIRFIELD	NJ		02/22/2023		3,846,093	0	0	0	0	0	0	20,801	20,801	0	0	0
3126350	FAIRFIELD	NJ		02/22/2023		2,899,054	0	0	0	0	0	0	15,681	15,681	0	0	0
3225728	ALBUQUERQUE	NM		12/19/2014		304,938	0	0	0	0	0	0	27,893	27,893	0	0	0
3225932	ALBUQUERQUE	NM		08/04/2017		1,334,294	0	0	0	0	0	0	31,913	31,913	0	0	0
3226008	PORTALES	NM		07/11/2018		909,445	0	0	0	0	0	0	26,801	26,801	0	0	0
3325853	DUNKIRK	NY		09/15/2016		960,672	0	0	0	0	0	0	16,213	16,213	0	0	0
3325974	MASSAPEQUA	NY		01/25/2018		1,024,835	0	0	0	0	0	0	52,768	52,768	0	0	0
3326015	PORT WASHINGTON	NY		07/31/2018		1,351,663	0	0	0	0	0	0	17,198	17,198	0	0	0
3326043	RONKONKOMA	NY		12/18/2018		484,367	0	0	0	0	0	0	10,865	10,865	0	0	0
3326089	FARMINGDALE	NY		07/30/2019		433,431	0	0	0	0	0	0	9,102	9,102	0	0	0
3326204	HUNTINGTON	NY		06/18/2021		1,857,671	0	0	0	0	0	0	20,880	20,880	0	0	0
3326240	BUFFALO	NY		10/21/2021		5,336,370	0	0	0	0	0	0	41,403	41,403	0	0	0
3326252	HAUPPAUGE	NY		12/08/2021		1,377,116	0	0	0	0	0	0	11,208	11,208	0	0	0
3326261	FARMINGDALE	NY		12/21/2021		1,219,667	0	0	0	0	0	0	21,094	21,094	0	0	0
3326267	SCHUYLERVILLE	NY		01/27/2022		710,494	0	0	0	0	0	0	20,740	20,740	0	0	0
3326269	LONG ISLAND CITY	NY		02/17/2022		3,719,185	0	0	0	0	0	0	27,488	27,488	0	0	0
3326302	FARMINGDALE	NY		07/01/2022		881,810	0	0	0	0	0	0	13,299	13,299	0	0	0
3425582	MONROE	NC		10/26/2012		508,982	0	0	0	0	0	0	41,995	41,995	0	0	0
3425753	GARNER	NC		05/01/2015		558,928	0	0	0	0	0	0	35,166	35,166	0	0	0
3425802	ASHEVILLE	NC		11/23/2015		1,284,727	0	0	0	0	0	0	30,693	30,693	0	0	0
3425806	DURHAM	NC		12/18/2015		700,465	0	0	0	0	0	0	25,918	25,918	0	0	0
3425846	ALBEMARLE	NC		08/11/2016		1,136,353	0	0	0	0	0	0	36,069	36,069	0	0	0
3425881	HUNTERSVILLE	NC		01/19/2017		748,117	0	0	0	0	0	0	13,508	13,508	0	0	0
3425911	WAXHAH	NC		06/07/2017		1,744,541	0	0	0	0	0	0	16,595	16,595	0	0	0
3426036	ASHEVILLE	NC		11/29/2018		1,516,853	0	0	0	0	0	0	34,187	34,187	0	0	0
3426104	CHARLOTTE	NC		09/13/2019		2,201,483	0	0	0	0	0	0	46,696	46,696	0	0	0
3426117	GREENSBORO	NC		11/20/2019		2,569,690	0	0	0	0	0	0	80,228	80,228	0	0	0
3426169	JACKSONVILLE	NC		12/23/2020		999,674	0	0	0	0	0	0	14,914	14,914	0	0	0
3426221	CHARLOTTE	NC		08/03/2021		1,603,863	0	0	0	0	0	0	12,222	12,222	0	0	0
3426257	MORGANTON	NC		12/16/2021		1,526,255	0	0	0	0	0	0	89,220	89,220	0	0	0
3625627	CENTERVILLE	OH		06/05/2013		599,047	0	0	0	0	0	0	39,745	39,745	0	0	0
3625645	CINCINNATI	OH		08/13/2013		721,251	0	0	0	0	0	0	43,782	43,782	0	0	0
3625827	LORAIN	OH		04/29/2016		659,209	0	0	0	0	0	0	22,919	22,919	0	0	0
3625923	COLUMBUS	OH		07/10/2017		1,488,393	0	0	0	0	0	0	21,750	21,750	0	0	0
3625936	NORTH ROYALTON	OH		08/24/2017		651,415	0	0	0	0	0	0	31,471	31,471	0	0	0
3625970	FAIRBORN	OH		12/21/2017		680,620	0	0	0	0	0	0	18,044	18,044	0	0	0
3625975	BEACHWOOD	OH		01/25/2018		1,131,135	0	0	0	0	0	0	29,356	29,356	0	0	0
3625982	OTTAWIA	OH		03/14/2018		559,068	0	0	0	0	0	0	16,555	16,555	0	0	0

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	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
3626005	YOUNGSTOWN	OH.		06/26/2018		642,833	0	0	0	0	0	0	15,543	15,543	0	0	0
3626030	GARFIELD HEIGHTS	OH.		10/05/2018		1,120,244	0	0	0	0	0	0	25,899	25,899	0	0	0
3626057	CINCINNATI	OH.		03/11/2019		850,740	0	0	0	0	0	0	12,972	12,972	0	0	0
3626065	MASON	OH.		04/15/2019		1,486,215	0	0	0	0	0	0	40,543	40,543	0	0	0
3626072	BROOK PARK	OH.		04/30/2019		1,487,223	0	0	0	0	0	0	32,210	32,210	0	0	0
3626074	STREETSBORO	OH.		05/02/2019		2,574,975	0	0	0	0	0	0	37,554	37,554	0	0	0
3626206	CARROLLTON	OH.		06/29/2021		1,688,093	0	0	0	0	0	0	43,456	43,456	0	0	0
3626216	CAMBRIDGE	OH.		07/15/2021		1,144,292	0	0	0	0	0	0	28,886	28,886	0	0	0
3626220	CINCINNATI	OH.		07/30/2021		4,131,195	0	0	0	0	0	0	54,496	54,496	0	0	0
3626264	CENTERVILLE	OH.		07/01/2023		8,365,719	0	0	0	0	0	0	93,081	93,081	0	0	0
3626269	GROVE CITY	OH.		01/31/2022		1,274,167	0	0	0	0	0	0	21,413	21,413	0	0	0
3626277	WASHINGTON TOWNSHIP	OH.		03/30/2022		1,502,835	0	0	0	0	0	0	15,833	15,833	0	0	0
3626278	ANDERSON TOWNSHIP	OH.		03/30/2022		2,218,386	0	0	0	0	0	0	29,716	29,716	0	0	0
3626329	CINCINNATI	OH.		11/17/2022		973,179	0	0	0	0	0	0	9,169	9,169	0	0	0
3626330	MILFORD	OH.		11/17/2022		1,782,083	0	0	0	0	0	0	15,947	15,947	0	0	0
3626332	WEST CHESTER	OH.		12/05/2022		1,184,370	0	0	0	0	0	0	9,409	9,409	0	0	0
3626333	CINCINNATI	OH.		12/14/2022		2,408,677	0	0	0	0	0	0	13,032	13,032	0	0	0
3626353	MASON	OH.		04/06/2023		1,658,748	0	0	0	0	0	0	39,628	39,628	0	0	0
3626387	CINCINNATI	OH.		11/01/2023		460,106	0	0	0	0	0	0	9,927	9,927	0	0	0
3626411	WESTLAKE	OH.		07/25/2024		3,780,832	0	0	0	0	0	0	15,183	15,183	0	0	0
3725944	TULSA	OK.		09/15/2017		486,518	0	0	0	0	0	0	41,598	41,598	0	0	0
3825553	PORTLAND	OR.		05/07/2012		412,449	0	0	0	0	0	0	35,940	35,940	0	0	0
3825732	SPRINGFIELD	OR.		12/29/2014		1,441,192	0	0	0	0	0	0	29,124	29,124	0	0	0
3925244	CASTLE SHANNON	PA.		06/15/2006		84,975	0	0	0	0	0	0	13,322	13,322	0	0	0
3926281	BRISTOL	PA.		04/18/2022		3,870,711	0	0	0	0	0	0	116,818	116,818	0	0	0
4125536	FORT MILL	SC.		10/31/2011		231,160	0	0	0	0	0	0	29,624	29,624	0	0	0
4125898	CHARLESTON	SC.		03/30/2017		2,099,752	0	0	0	0	0	0	24,027	24,027	0	0	0
4125918	CONWAY	SC.		06/28/2017		1,820,888	0	0	0	0	0	0	27,682	27,682	0	0	0
4225864	BELLE FOURCHE	SD.		11/08/2016		1,397,171	0	0	0	0	0	0	22,863	22,863	0	0	0
4325727	LAKELAND	TN.		12/19/2014		638,579	0	0	0	0	0	0	28,905	28,905	0	0	0
4326034	CHATTANOOGA	TN.		11/27/2018		916,428	0	0	0	0	0	0	11,634	11,634	0	0	0
4326055	CHATTANOOGA	TN.		02/27/2019		2,066,896	0	0	0	0	0	0	17,015	17,015	0	0	0
4326063	CORDOVA	TN.		03/29/2019		1,136,324	0	0	0	0	0	0	24,710	24,710	0	0	0
4326378	CHATTANOOGA	TN.		09/05/2023		1,701,911	0	0	0	0	0	0	13,304	13,304	0	0	0
4326379	CHATTANOOGA	TN.		09/05/2023		2,485,174	0	0	0	0	0	0	19,427	19,427	0	0	0
4326382	OOLTHEWAH	TN.		09/05/2023		1,237,752	0	0	0	0	0	0	9,676	9,676	0	0	0
4326403	CHATTANOOGA	TN.		04/29/2024		1,083,948	0	0	0	0	0	0	7,297	7,297	0	0	0
4425228	TEMPLE	TX.		04/06/2006		200,154	0	0	0	0	0	0	35,139	35,139	0	0	0
4425554	PORTLAND	TX.		05/14/2012		384,139	0	0	0	0	0	0	24,814	24,814	0	0	0
4425571	EL PASO	TX.		08/28/2012		519,818	0	0	0	0	0	0	24,959	24,959	0	0	0
4425715	EL PASO	TX.		10/30/2014		600,092	0	0	0	0	0	0	16,957	16,957	0	0	0
4425724	FREDERICKSBURG	TX.		12/16/2014		408,673	0	0	0	0	0	0	31,889	31,889	0	0	0
4425741	NORTH RICHLAND HILLS	TX.		03/13/2015		1,401,662	0	0	0	0	0	0	26,666	26,666	0	0	0
4425774	RED OAK	TX.		07/10/2015		971,956	0	0	0	0	0	0	11,906	11,906	0	0	0
4425879	SAN ANTONIO	TX.		01/11/2017		1,499,501	0	0	0	0	0	0	23,684	23,684	0	0	0
4425891	EL PASO	TX.		03/06/2017		425,839	0	0	0	0	0	0	12,715	12,715	0	0	0
4425903	VICTORIA	TX.		04/27/2017		1,191,070	0	0	0	0	0	0	22,676	22,676	0	0	0
4425907	SAN ANTONIO	TX.		05/12/2017		567,591	0	0	0	0	0	0	16,317	16,317	0	0	0
4425914	HORIZON CITY	TX.		06/21/2017		1,477,199	0	0	0	0	0	0	30,512	30,512	0	0	0
4425928	EL PASO	TX.		07/18/2017		3,517,106	0	0	0	0	0	0	94,891	94,891	0	0	0
4425945	PELLUGERVILLE	TX.		09/20/2017		2,218,964	0	0	0	0	0	0	32,811	32,811	0	0	0

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Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
4425981	EL PASO	TX		03/09/2018		3,121,550	0	0	0	0	0	0	42,845	42,845	0	0	0
4426060	MCALLEN	TX		03/26/2019		1,652,959	0	0	0	0	0	0	36,182	36,182	0	0	0
4426061	SWEETWATER	TX		03/27/2019		2,206,968	0	0	0	0	0	0	28,001	28,001	0	0	0
4426087	NEW BRAUNFELS	TX		07/15/2019		982,126	0	0	0	0	0	0	19,106	19,106	0	0	0
4426106	HOUSTON	TX		09/19/2019		1,089,613	0	0	0	0	0	0	25,422	25,422	0	0	0
4426110	FORT WORTH	TX		10/10/2019		2,684,792	0	0	0	0	0	0	56,562	56,562	0	0	0
4426194	VICTORIA	TX		05/14/2021		3,550,254	0	0	0	0	0	0	34,883	34,883	0	0	0
4426214	SAN ANTONIO	TX		07/15/2021		2,111,577	0	0	0	0	0	0	72,026	72,026	0	0	0
4426251	SAN ANGELO	TX		12/06/2021		1,785,261	0	0	0	0	0	0	19,737	19,737	0	0	0
4426262	EAGLE PASS	TX		12/22/2021		2,133,839	0	0	0	0	0	0	15,028	15,028	0	0	0
4426290	HOUSTON	TX		05/27/2022		897,396	0	0	0	0	0	0	17,550	17,550	0	0	0
4426301	EL PASO	TX		06/28/2022		942,954	0	0	0	0	0	0	6,378	6,378	0	0	0
4426308	CEDAR PARK	TX		08/23/2022		5,484,326	0	0	0	0	0	0	50,246	50,246	0	0	0
4426327	SAN ANTONIO	TX		11/10/2022		4,716,509	0	0	0	0	0	0	27,644	27,644	0	0	0
4426328	ARLINGTON	VA		11/16/2022		4,798,102	0	0	0	0	0	0	27,503	27,503	0	0	0
4426334	EL PASO	TX		12/20/2022		1,251,891	0	0	0	0	0	0	6,856	6,856	0	0	0
4426393	LEANDER	TX		02/12/2024		1,259,784	0	0	0	0	0	0	14,266	14,266	0	0	0
4426410	SAN ANTONIO	TX		06/25/2024		1,980,731	0	0	0	0	0	0	12,260	12,260	0	0	0
4525637	SALT LAKE CITY	UT		06/27/2013		383,725	0	0	0	0	0	0	25,303	25,303	0	0	0
4526025	SALT LAKE CITY	UT		09/04/2018		1,781,685	0	0	0	0	0	0	22,858	22,858	0	0	0
4526136	OREM	UT		03/30/2020		1,928,984	0	0	0	0	0	0	16,199	16,199	0	0	0
4526345	MURRAY	UT		02/08/2023		1,353,388	0	0	0	0	0	0	7,249	7,249	0	0	0
4720658	GLEN ALLEN	VA		03/13/2019		2,725,699	0	0	0	0	0	0	22,034	22,034	0	0	0
4725546	ROANOKE	VA		02/27/2012		702,230	0	0	0	0	0	0	29,791	29,791	0	0	0
4725720	MIDLOTHIAN	VA		12/03/2014		648,263	0	0	0	0	0	0	12,992	12,992	0	0	0
4725721	YORKTOWN	VA		12/10/2014		504,676	0	0	0	0	0	0	7,588	7,588	0	0	0
4725983	VIRGINIA BEACH	VA		03/16/2018		1,362,157	0	0	0	0	0	0	34,908	34,908	0	0	0
4726029	FARMVILLE	VA		09/27/2018		4,935,140	0	0	0	0	0	0	63,888	63,888	0	0	0
4726162	STERLING	VA		11/12/2020		2,261,424	0	0	0	0	0	0	26,683	26,683	0	0	0
4726180	WINCHESTER	VA		03/25/2021		3,635,969	0	0	0	0	0	0	67,538	67,538	0	0	0
4726196	PORTSMOUTH	VA		05/19/2021		2,968,149	0	0	0	0	0	0	33,600	33,600	0	0	0
4726412	ANNANDALE	VA		07/25/2024		2,984,516	0	0	0	0	0	0	12,251	12,251	0	0	0
4825759	RICHLAND	WA		05/21/2015		2,754,721	0	0	0	0	0	0	50,454	50,454	0	0	0
4825775	SILVERDALE	WA		07/13/2015		1,912,572	0	0	0	0	0	0	36,312	36,312	0	0	0
4825838	AUBURN	WA		06/29/2016		1,228,170	0	0	0	0	0	0	13,352	13,352	0	0	0
4825861	TACOMA	WA		10/04/2016		495,289	0	0	0	0	0	0	15,943	15,943	0	0	0
4825916	BELLINGHAM	WA		06/28/2017		2,261,160	0	0	0	0	0	0	34,263	34,263	0	0	0
4826050	BURIEN	WA		02/15/2019		1,405,924	0	0	0	0	0	0	30,739	30,739	0	0	0
4826230	SEATTLE	WA		08/26/2021		3,106,968	0	0	0	0	0	0	24,372	24,372	0	0	0
4826369	SEATTLE	WA		07/12/2023		2,258,291	0	0	0	0	0	0	8,393	8,393	0	0	0
5025890	BURLINGTON	WI		03/03/2017		340,956	0	0	0	0	0	0	18,294	18,294	0	0	0
5026067	ARCADIA	WI		04/17/2019		561,449	0	0	0	0	0	0	13,464	13,464	0	0	0
5026339	MILWAUKEE	WI		01/31/2023		3,844,913	0	0	0	0	0	0	52,458	52,458	0	0	0
5026340	MILWAUKEE	WI		01/31/2023		4,119,538	0	0	0	0	0	0	56,207	56,207	0	0	0
5026342	MILWAUKEE	WI		01/31/2023		5,721,599	0	0	0	0	0	0	78,062	78,062	0	0	0
RES000000064	COLUMBIA	SC		04/02/2024		222,530	0	0	0	0	0	0	656	656	0	0	0
RES000000073	NORTH STONINGTON	CT		03/26/2024		263,336	0	623	0	0	623	0	662	662	0	0	0
RES000000077	VASS	NC		03/28/2024		167,291	0	22	0	0	22	0	480	480	0	0	0
RES000000078	SIMPSONVILLE	SC		01/31/2025		116,958	0	527	0	0	527	0	225	225	0	0	0
RES000000079	LAKELAND	FL		03/28/2024		331,277	0	386	0	0	386	0	857	857	0	0	0
RES000000080	GAINESVILLE	FL		03/26/2024		128,729	0	467	0	0	467	0	270	270	0	0	0

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Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
RES000000081	ROCKFORD	IL		03/27/2024		100,283	0	0	0	0	0	0	222	222	0	0	0
RES000000083	MANTECA	CA		03/19/2024		514,962	0	910	0	0	910	0	1,034	1,034	0	0	0
RES000000084	YOUNGSVILLE	NC		03/28/2024		305,949	0	921	0	0	921	0	725	725	0	0	0
RES000000085	SUMMERVILLE	SC		03/27/2024		354,344	0	749	0	0	749	0	619	619	0	0	0
RES000000123	LAKE HARMONY	PA		04/05/2024		238,065	0	0	0	0	0	0	183	183	0	0	0
RES000000124	CANTON	MS		01/31/2025		311,842	0	2,464	0	0	2,464	0	547	547	0	0	0
RES000000125	WAIKOLOA	HI		04/11/2024		717,418	0	3,097	0	0	3,097	0	1,758	1,758	0	0	0
RES000000126	DOVER	DE		03/25/2024		152,505	0	271	0	0	271	0	414	414	0	0	0
RES000000127	OAKLAND	MD		03/19/2024		664,050	0	722	0	0	722	0	1,837	1,837	0	0	0
RES000000142	PELHAM	GA		01/31/2025		142,715	0	0	0	0	0	0	274	274	0	0	0
RES000000174	DEER PARK	TX		04/25/2024		165,195	0	45	0	0	45	0	351	351	0	0	0
RES000000175	DALLAS	TX		04/23/2024		274,542	0	645	0	0	645	0	1,270	1,270	0	0	0
RES000000176	SANTA MARIA	CA		04/23/2024		453,019	0	1,766	0	0	1,766	0	1,013	1,013	0	0	0
RES000000177	HUBERTUS	WI		04/24/2024		281,102	0	1,082	0	0	1,082	0	559	559	0	0	0
RES000000178	LEXINGTON	SC		04/26/2024		293,819	0	1,314	0	0	1,314	0	617	617	0	0	0
RES000000190	WALL IS	TX		04/30/2024		126,221	0	242	0	0	242	0	272	272	0	0	0
RES000000191	DENVER	CO		04/19/2024		453,658	0	0	0	0	0	0	356	356	0	0	0
RES000000199	MENOMONEE FALLS	WI		05/06/2024		151,936	0	252	0	0	252	0	377	377	0	0	0
RES000000200	MYRTLE BEACH	SC		05/09/2024		164,306	0	283	0	0	283	0	321	321	0	0	0
RES000000201	CHARLESTON	SC		04/29/2024		1,317,433	0	5,667	0	0	5,667	0	4,563	4,563	0	0	0
RES000000202	DEERFIELD BEACH	FL		05/10/2024		167,295	0	505	0	0	505	0	330	330	0	0	0
RES000000389	FORNEY	TX		08/30/2024		261,110	0	459	0	0	459	0	601	601	0	0	0
RES000000447	LONG BEACH	CA		09/10/2024		667,779	0	1,178	0	0	1,178	0	1,558	1,558	0	0	0
RES000000448	RICHARDSON	TX		09/11/2024		257,156	0	1,048	0	0	1,048	0	460	460	0	0	0
RES000000452	NORTH MIAMI	FL		09/17/2024		151,076	0	210	0	0	210	0	1,152	1,152	0	0	0
RES000000541	HIALEAH	FL		09/19/2024		272,452	0	266	0	0	266	0	618	618	0	0	0
RES000000543	SAN ANTONIO	TX		09/23/2024		249,915	0	45	0	0	45	0	736	736	0	0	0
RES000000545	SPRINGTOWN	TX		09/23/2024		118,009	0	208	0	0	208	0	266	266	0	0	0
RES000000546	MORRIS	CT		09/18/2024		423,250	0	750	0	0	750	0	924	924	0	0	0
RES000000548	OAKLAND PARK	FL		09/19/2024		396,708	0	805	0	0	805	0	842	842	0	0	0
RES000000551	SPRING HILL	FL		09/19/2024		103,761	0	162	0	0	162	0	264	264	0	0	0
RES000000553	NEW PORT RICHEY	FL		08/26/2024		192,949	0	297	0	0	297	0	348	348	0	0	0
RES000000554	SAN ANTONIO	TX		09/13/2024		153,643	0	139	0	0	139	0	334	334	0	0	0
RES000000555	KILEEN	TX		09/23/2024		131,641	0	161	0	0	161	0	302	302	0	0	0
RES000000556	RIVIERA BEACH	FL		09/23/2024		1,172,259	0	409	0	0	409	0	2,382	2,382	0	0	0
RES000000558	MARATHON	FL		09/23/2024		949,444	0	2,027	0	0	2,027	0	2,259	2,259	0	0	0
RES000000559	FROSTBURG	MD		09/20/2024		124,673	0	56	0	0	56	0	254	254	0	0	0
RES000000560	KING CITY	CA		01/31/2025		358,390	0	411	0	0	411	0	946	946	0	0	0
RES000000561	LA MESA	CA		09/24/2024		1,182,649	0	1,562	0	0	1,562	0	3,552	3,552	0	0	0
RES000000563	EAGAN	MN		09/25/2024		305,011	0	417	0	0	417	0	719	719	0	0	0
RES000000564	LEHIGH ACRES	FL		09/26/2024		278,689	0	1,033	0	0	1,033	0	541	541	0	0	0
RES000000565	LAS VEGAS	NV		09/27/2024		102,166	0	74	0	0	74	0	258	258	0	0	0
RES000000566	MINOOKA	IL		01/31/2025		102,782	0	139	0	0	139	0	272	272	0	0	0
RES000000567	NAPLES	FL		01/31/2025		469,365	0	812	0	0	812	0	1,167	1,167	0	0	0
RES000000568	SARASOTA	FL		01/31/2025		108,210	0	134	0	0	134	0	362	362	0	0	0
RES000000569	DES PLAINES	IL		09/26/2024		386,661	0	444	0	0	444	0	911	911	0	0	0
RES000000570	JOHNSON CITY	TN		09/27/2024		313,499	0	379	0	0	379	0	643	643	0	0	0
RES000000571	LAREDO	TX		09/30/2024		155,167	0	47	0	0	47	0	467	467	0	0	0
RES000000572	LITTLE RIVER	SC		09/26/2024		220,798	0	214	0	0	214	0	724	724	0	0	0
RES000000573	ENGLEWOOD	NJ		09/30/2024		496,277	0	880	0	0	880	0	1,141	1,141	0	0	0
RES000000574	HILLSBORO BEACH	FL		01/31/2025		143,883	0	138	0	0	138	0	494	494	0	0	0

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	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
RES000000575	ALHAMBRA	CA		09/24/2024		781,179	0	1,754	0	0	1,754	0	1,614	1,614	0	0	0
RES000000576	CHARLOTTE	NC		09/19/2024		208,339	0	400	0	0	400	0	446	446	0	0	0
RES000000577	WEST PALM BEACH	FL		09/24/2024		667,527	0	1,138	0	0	1,138	0	1,634	1,634	0	0	0
RES000000578	TALLAHASSEE	FL		09/18/2024		151,820	0	226	0	0	226	0	336	336	0	0	0
RES000000597	PERRIS	CA		08/27/2024		504,506	0	596	0	0	596	0	1,359	1,359	0	0	0
RES000000598	LONG BEACH	CA		08/25/2024		1,106,542	0	526	0	0	526	0	1,850	1,850	0	0	0
RES000000599	POTOMAC	MD		09/26/2024		555,456	0	805	0	0	805	0	3,542	3,542	0	0	0
RES000000600	CHATTANOOGA	TN		09/30/2024		153,018	0	38	0	0	38	0	473	473	0	0	0
RES000000601	LOS ANGELES	CA		09/25/2024		957,169	0	2,191	0	0	2,191	0	2,521	2,521	0	0	0
RES000000602	CHESTERFIELD	VA		10/03/2024		183,784	0	124	0	0	124	0	50,034	50,034	0	0	0
RES000000603	BEVERLY	NJ		10/04/2024		181,755	0	227	0	0	227	0	449	449	0	0	0
RES000000604	FRESNO	CA		10/03/2024		164,622	0	194	0	0	194	0	430	430	0	0	0
RES000000605	SEGUIN	TX		10/04/2024		665,062	0	897	0	0	897	0	1,700	1,700	0	0	0
RES000000606	DAVIE	FL		10/01/2024		204,913	0	279	0	0	279	0	568	568	0	0	0
RES000000607	WINTERVILLE	NC		09/20/2024		99,625	0	124	0	0	124	0	234	234	0	0	0
RES000000608	CLOVIS	CA		09/12/2024		372,505	0	227	0	0	227	0	861	861	0	0	0
RES000000609	NORTH MYRTLE BEACH	SC		09/27/2024		1,268,117	0	3,401	0	0	3,401	0	2,925	2,925	0	0	0
RES000000610	LAWRENCEVILLE	GA		09/26/2024		258,796	0	272	0	0	272	0	1,326	1,326	0	0	0
RES000000611	NEWPORT	NC		10/04/2024		166,910	0	346	0	0	346	0	410	410	0	0	0
RES000000639	ARRINGTON	TN		10/08/2024		740,702	0	906	0	0	906	0	5,236	5,236	0	0	0
RES000000640	HOUSTON	TX		10/10/2024		182,631	0	373	0	0	373	0	302	302	0	0	0
RES000000641	CHICAGO	IL		10/10/2024		174,787	0	272	0	0	272	0	425	425	0	0	0
RES000000642	FRISCO	TX		10/09/2024		226,653	0	408	0	0	408	0	552	552	0	0	0
RES000000643	PHILADELPHIA	PA		10/07/2024		203,064	0	242	0	0	242	0	465	465	0	0	0
RES000000644	TWENTYNINE PALMS	CA		10/03/2024		154,086	0	143	0	0	143	0	413	413	0	0	0
RES000000645	PALMDALE	CA		10/07/2024		360,140	0	494	0	0	494	0	963	963	0	0	0
RES000000646	SANTA FE	NM		10/11/2024		338,522	0	169	0	0	169	0	849	849	0	0	0
RES000000647	LAKEWOOD	CO		10/10/2024		463,795	0	914	0	0	914	0	1,216	1,216	0	0	0
RES000000648	GOODYEAR	AZ		10/07/2024		350,162	0	696	0	0	696	0	756	756	0	0	0
RES000000700	KIHEI	HI		10/16/2024		1,084,078	0	1,853	0	0	1,853	0	2,574	2,574	0	0	0
RES000000701	RICHMOND HILL	GA		01/31/2025		363,870	0	373	0	0	373	0	953	953	0	0	0
RES000000702	CHARLOTTESVILLE	VA		01/31/2025		356,573	0	860	0	0	860	0	739	739	0	0	0
RES000000703	BOERNE	TX		01/31/2025		253,121	0	347	0	0	347	0	584	584	0	0	0
RES000000704	NAPLES	FL		10/07/2024		1,439,017	0	2,308	0	0	2,308	0	3,872	3,872	0	0	0
RES000000705	ORLANDO	FL		10/16/2024		1,028,317	0	979	0	0	979	0	2,656	2,656	0	0	0
RES000000706	GROVE CITY	OH		01/31/2025		297,793	0	216	0	0	216	0	713	713	0	0	0
RES000000707	LOGANVILLE	GA		01/31/2025		240,305	0	180	0	0	180	0	928	928	0	0	0
RES000000708	KISSIMEE	FL		10/18/2024		464,507	0	238	0	0	238	0	1,172	1,172	0	0	0
RES000000709	HOUSTON	TX		01/31/2025		106,975	0	99	0	0	99	0	275	275	0	0	0
RES000000710	MICHIGAN CITY	IN		10/15/2024		110,359	0	158	0	0	158	0	262	262	0	0	0
RES000000711	JUPITER	FL		01/31/2025		369,838	0	123	0	0	123	0	910	910	0	0	0
RES000000712	LENOIR CITY	TN		10/18/2024		163,968	0	42	0	0	42	0	415	415	0	0	0
RES000000713	SAINT GEORGE ISLAND	FL		10/16/2024		1,061,177	0	1,647	0	0	1,647	0	2,772	2,772	0	0	0
RES000000714	PHILADELPHIA	PA		01/31/2025		187,575	0	179	0	0	179	0	518	518	0	0	0
RES000000715	ELKO	NV		01/31/2025		319,636	0	355	0	0	355	0	788	788	0	0	0
RES000000716	BELLA COLLINA	FL		10/18/2024		1,106,919	0	1,403	0	0	1,403	0	2,837	2,837	0	0	0
RES000000717	COEUR D ALENE	ID		01/31/2025		319,888	0	735	0	0	735	0	677	677	0	0	0
RES000000718	ROCKFORD	IL		01/31/2025		112,272	0	180	0	0	180	0	558	558	0	0	0
RES000000719	DELRAY BEACH	FL		10/17/2024		148,256	0	166	0	0	166	0	399	399	0	0	0
RES000000720	HILLSBORO BEACH	FL		10/11/2024		1,063,449	0	1,829	0	0	1,829	0	2,723	2,723	0	0	0
RES000000721	NAPLES	FL		10/18/2024		198,448	0	(19)	0	0	(19)	0	615	615	0	0	0

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RES000000722	PHILADELPHIA	PA		01/31/2025		189,800	0	185	0	0	185	0	427	427	0	0	0
RES000000723	LAGUNA NIGUEL	CA		10/16/2024		1,670,706	0	2,121	0	0	2,121	0	4,812	4,812	0	0	0
RES000000724	ATLANTA	GA		10/18/2024		584,833	0	1,282	0	0	1,282	0	1,599	1,599	0	0	0
RES000000725	BOERNE	TX		01/31/2025		977,378	0	790	0	0	790	0	2,834	2,834	0	0	0
RES000000726	OKLAHOMA CITY	OK		10/16/2024		168,956	0	158	0	0	158	0	737	737	0	0	0
RES000000727	YORKTOWN	VA		10/21/2024		278,915	0	145	0	0	145	0	809	809	0	0	0
RES000000728	MANOR	TX		10/07/2024		226,694	0	255	0	0	255	0	601	601	0	0	0
RES000000729	SUNSET BEACH	NC		01/31/2025		230,004	0	158	0	0	158	0	523	523	0	0	0
RES000000730	SANTA FE	NM		01/31/2025		263,461	0	202	0	0	202	0	666	666	0	0	0
RES000000755	PHILADELPHIA	PA		01/31/2025		300,365	0	89	0	0	89	0	1,967	1,967	0	0	0
RES000000756	CAPE CORAL	FL		10/22/2024		339,099	0	296	0	0	296	0	15,376	15,376	0	0	0
RES000000757	CASHIERS	NC		10/25/2024		833,297	0	2,127	0	0	2,127	0	2,049	2,049	0	0	0
RES000000758	COLORADO SPRINGS	CO		10/22/2024		143,183	0	147	0	0	147	0	263	263	0	0	0
RES000000759	WESLEY CHAPEL	FL		10/21/2024		284,070	0	3	0	0	3	0	878	878	0	0	0
RES000000760	NORTH MYRTLE BEACH	SC		01/31/2025		336,486	0	735	0	0	735	0	2,347	2,347	0	0	0
RES000000761	CAMDEN WYOMING	DE		01/31/2025		240,812	0	393	0	0	393	0	536	536	0	0	0
RES000000762	ROYSE CITY	TX		10/23/2024		250,200	0	861	0	0	861	0	450	450	0	0	0
RES000000763	CHULA VISTA	CA		10/22/2024		664,631	0	263	0	0	263	0	1,859	1,859	0	0	0
RES000000764	PHILADELPHIA	PA		10/25/2024		121,203	0	216	0	0	216	0	206	206	0	0	0
RES000000765	BOYNTON BEACH	FL		01/31/2025		349,796	0	359	0	0	359	0	1,288	1,288	0	0	0
RES000000766	PENSACOLA	FL		10/22/2024		412,979	0	683	0	0	683	0	986	986	0	0	0
RES000000767	TORRANCE	CA		10/19/2024		1,417,566	0	346	0	0	346	0	5,600	5,600	0	0	0
RES000000768	WESTON	FL		01/31/2025		147,862	0	58	0	0	58	0	8,717	8,717	0	0	0
RES000000769	WHITTIER	CA		10/22/2024		588,440	0	0	0	0	0	0	445	445	0	0	0
RES000000770	HALLANDALE BEACH	FL		01/31/2025		104,400	0	204	0	0	204	0	238	238	0	0	0
RES000000771	PITTSBURG	CA		01/31/2025		325,928	0	291	0	0	291	0	791	791	0	0	0
RES000000772	ORMOND BEACH	FL		11/04/2024		408,457	0	1,287	0	0	1,287	0	885	885	0	0	0
RES000000773	MARATHON	FL		10/29/2024		1,244,931	0	2,014	0	0	2,014	0	2,724	2,724	0	0	0
RES000000774	POTTSTOWN	PA		10/31/2024		256,093	0	250	0	0	250	0	1,111	1,111	0	0	0
RES000000775	WESTWOOD	NJ		10/30/2024		657,683	0	2,551	0	0	2,551	0	1,274	1,274	0	0	0
RES000000776	MIAMI	FL		01/31/2025		180,776	0	63	0	0	63	0	492	492	0	0	0
RES000000777	BLACKWELL	OK		11/01/2024		113,430	0	27	0	0	27	0	348	348	0	0	0
RES000000778	AZLE	TX		10/11/2024		241,234	0	383	0	0	383	0	555	555	0	0	0
RES000000779	MELBOURNE BEACH	FL		10/31/2024		459,138	0	1,591	0	0	1,591	0	983	983	0	0	0
RES000000780	NASHVILLE	TN		10/30/2024		483,529	0	835	0	0	835	0	1,063	1,063	0	0	0
RES000000781	MIRAMAR	FL		01/31/2025		234,395	0	362	0	0	362	0	578	578	0	0	0
RES000000782	COLUMBIA	PA		01/31/2025		151,324	0	86	0	0	86	0	638	638	0	0	0
RES000000783	PETERSBURG	VA		10/28/2024		161,819	0	341	0	0	341	0	306	306	0	0	0
RES000000784	LEESBURG	FL		10/31/2024		180,345	0	136	0	0	136	0	452	452	0	0	0
RES000000785	HASLET	TX		10/29/2024		376,645	0	786	0	0	786	0	889	889	0	0	0
RES000000787	RIVERVIEW	FL		10/30/2024		207,858	0	19	0	0	19	0	502	502	0	0	0
RES000000788	BRADENTON	FL		10/31/2024		246,239	0	239	0	0	239	0	515	515	0	0	0
RES000000789	WATAUGA	TX		10/29/2024		214,209	0	338	0	0	338	0	518	518	0	0	0
RES000000790	LOS ANGELES	CA		10/24/2024		1,099,048	0	2,368	0	0	2,368	0	2,545	2,545	0	0	0
RES000000791	HOUSTON	TX		10/31/2024		377,723	0	639	0	0	639	0	1,905	1,905	0	0	0
RES000000792	CHARLESTON	SC		11/04/2024		1,354,275	0	4,197	0	0	4,197	0	5,894	5,894	0	0	0
RES000000793	MISSION VIEJO	CA		10/23/2024		1,517,354	0	0	0	0	0	0	2,579	2,579	0	0	0
RES000000794	SHELBYVILLE	TN		10/31/2024		250,293	0	(95)	0	0	(95)	0	689	689	0	0	0
RES000000795	FORT MYERS	FL		10/30/2024		905,987	0	546	0	0	546	0	2,030	2,030	0	0	0
RES000000796	LAKELAND	FL		10/31/2024		269,585	0	773	0	0	773	0	590	590	0	0	0
RES000000797	STUART	FL		10/30/2024		502,443	0	312	0	0	312	0	1,243	1,243	0	0	0

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SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
RES000000798	HANAHAN	SC		10/29/2024		153,166	0	115	0	0	115	0	445	445	0	0	0
RES000000799	SEATTLE	WA		10/31/2024		1,976,990	0	3,446	0	0	3,446	0	5,487	5,487	0	0	0
RES000000801	FOUNTAIN INN	SC		10/31/2024		263,904	0	171	0	0	171	0	623	623	0	0	0
RES000000802	CANAL WINCHESTER	OH		11/01/2024		186,932	0	455	0	0	455	0	359	359	0	0	0
RES000000803	WHITTIER	CA		10/30/2024		583,186	0	578	0	0	578	0	1,401	1,401	0	0	0
RES000000804	GREENSBORO	NC		10/21/2024		176,165	0	360	0	0	360	0	1,592	1,592	0	0	0
RES000000805	CONOVER	NC		10/18/2024		223,267	0	440	0	0	440	0	529	529	0	0	0
RES000000806	FORT WORTH	TX		10/28/2024		208,768	0	398	0	0	398	0	488	488	0	0	0
RES000000807	GRAND JUNCTION	MI		11/07/2024		180,911	0	210	0	0	210	0	721	721	0	0	0
RES000000808	PARSONSBURG	MD		11/05/2024		331,380	0	649	0	0	649	0	513	513	0	0	0
RES000000809	SOUTH SALT LAKE	UT		11/06/2024		426,636	0	389	0	0	389	0	986	986	0	0	0
RES000000810	ODESSA	TX		11/06/2024		153,854	0	135	0	0	135	0	410	410	0	0	0
RES000000811	MORGANS POINT RESORT	TX		11/05/2024		259,280	0	462	0	0	462	0	524	524	0	0	0
RES000000812	MARATHON	FL		11/08/2024		242,735	0	49	0	0	49	0	2,684	2,684	0	0	0
RES000000813	ESTERO	FL		11/01/2024		1,718,768	0	2,681	0	0	2,681	0	4,407	4,407	0	0	0
RES000000814	SOUTHWEST RANCHES	FL		11/04/2024		1,106,867	0	1,084	0	0	1,084	0	2,837	2,837	0	0	0
RES000000815	HONOLULU	HI		01/31/2025		614,205	0	674	0	0	674	0	30,008	30,008	0	0	0
RES000000816	WINDHAM	ME		11/08/2024		431,713	0	794	0	0	794	0	985	985	0	0	0
RES000000817	MIAMI	FL		01/31/2025		265,928	0	170	0	0	170	0	753	753	0	0	0
RES000000819	LAKE ELMO	MN		01/31/2025		754,213	0	1,398	0	0	1,398	0	1,444	1,444	0	0	0
RES000000820	BUTLER	PA		11/06/2024		0	0	723	0	0	723	0	670	670	0	0	0
RES000000822	LITTLE RIVER	SC		11/06/2024		163,104	0	179	0	0	179	0	2,376	2,376	0	0	0
RES000000823	FORT MYERS	FL		11/05/2024		676,301	0	1,040	0	0	1,040	0	1,554	1,554	0	0	0
RES030000047	PHOENIX	AZ		03/26/2024		384,971	0	2,009	0	0	2,009	0	834	834	0	0	0
RES050000027	SAN DIEGO	CA		03/16/2024		1,167,696	0	3,371	0	0	3,371	0	2,694	2,694	0	0	0
RES050000063	DORAL	FL		04/11/2024		1,495,866	0	3,738	0	0	3,738	0	3,681	3,681	0	0	0
RES060000045	DENVER	CO		03/26/2024		319,336	0	1,971	0	0	1,971	0	665	665	0	0	0
RES070000022	NORWALK	CT		03/16/2024		350,772	0	889	0	0	889	0	878	878	0	0	0
RES100000015	JACKSONVILLE	FL		02/29/2024		138,314	0	0	0	0	0	0	1,478	1,478	0	0	0
RES100000017	DAVIE	FL		03/01/2024		898,451	0	4,709	0	0	4,709	0	1,957	1,957	0	0	0
RES100000024	BOYNTON BEACH	FL		03/16/2024		172,441	0	312	0	0	312	0	465	465	0	0	0
RES100000026	PANAMA CITY BEACH	FL		01/31/2025		524,374	0	1,033	0	0	1,033	0	1,171	1,171	0	0	0
RES100000029	ENGLEWOOD	FL		01/31/2025		750,411	0	3,946	0	0	3,946	0	1,632	1,632	0	0	0
RES100000046	LAKELAND	FL		01/31/2025		630,501	0	23	0	0	23	0	1,557	1,557	0	0	0
RES290000048	LAS VEGAS	NV		03/26/2024		334,113	0	556	0	0	556	0	743	743	0	0	0
RES410000021	CONWAY	SC		03/16/2024		175,453	0	319	0	0	319	0	443	443	0	0	0
RES430000023	SEVIERVILLE	TN		01/31/2025		294,620	0	99	0	0	99	0	576	576	0	0	0
RES440000025	CONROE	TX		03/16/2024		347,992	0	247	0	0	247	0	972	972	0	0	0
RES440000028	SAN MARCOS	TX		03/16/2024		179,510	0	269	0	0	269	0	474	474	0	0	0
RES480000018	SUNNYSIDE	WA		01/31/2025		227,303	0	759	0	0	759	0	753	753	0	0	0
RES480000019	GIG HARBOR	WA		02/28/2024		167,476	0	0	0	0	0	0	147	147	0	0	0
0299999. Mortgages with partial repayments						511,578,463	0	143,762	0	0	143,762	0	7,839,962	7,839,962	0	0	0
0599999 - Totals						523,213,288	0	195,181	0	0	195,181	0	18,811,478	18,811,478	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner		Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
000000-00-0	Ironwood Capital Partners V Feeder LP	Wilmington	DE.....	Ironwood Capital Management V LLC		08/18/2022 ...		0	26,153	0	180,113	0.000
000000-00-0	PineBridge Private Credit III Parallel LP	Wilmington	DE.....	PineBridge Private Credit III GP LP		09/22/2023 ...		0	121,651	0	766,627	0.000
4699999. Residual Tranches or Interests with Underlying Assets Having Characteristics of Preferred Stock - Unaffiliated								0	147,804	0	946,740	XXX
03453A-AR-4	Andromeda Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Santander		12/20/2024 ...		0	387,500	0	0	12.500
148288-AP-8	Cassiopeia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Santander		12/20/2024 ...		0	375,000	0	0	12.500
685947-AP-8	Orion Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Santander		12/20/2024 ...		0	375,000	0	0	12.500
03719A-AP-8	Antlia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Santander		12/20/2024 ...		0	375,000	0	0	12.500
4999999. Residual Tranches or Interests with Underlying Assets Having Characteristics of Common Stock - Affiliated								0	1,512,500	0	0	XXX
6899999. Total - Unaffiliated								0	147,804	0	946,740	XXX
6999999. Total - Affiliated								0	1,512,500	0	0	XXX
7099999 - Totals								0	1,660,304	0	946,740	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
000000-00-0	HarbourVest Partners Co-Investment Fund VI, LP	Wilmington	DE.....	Capital Distribution	09/30/2022 ...	09/24/2025 ...	15,109	0	0	0	0	0	0	15,109	15,109	0	0	0	0
1999999. Interests in Joint Ventures, Partnerships or Limited Liability Companies (Including Non-Registered Private Funds) - Common Stocks - Unaffiliated							15,109	0	0	0	0	0	0	15,109	15,109	0	0	0	0
316773-DB-3	FIFTH THIRD BANCORP 7.975% Perpet.			Call 100.0000	07/27/2020 ...	09/30/2025 ...	2,000,000	4,240	0	0	0	4,240	0	2,000,000	2,000,000	0	0	0	67,500
58156R-CA-4	METLIFE INC 7.186% Perpet.			Call 100.0000	09/08/2020 ...	09/15/2025 ...	4,000,000	0	0	0	0	0	0	4,000,000	4,000,000	0	0	0	154,000
2999999. Capital Notes - Unaffiliated							6,000,000	4,240	0	0	0	4,240	0	6,000,000	6,000,000	0	0	0	221,500
000000-00-0	PineBridge Private Credit III Parallel LP ..	Wilmington	DE.....	Capital Distribution	09/22/2023 ...	09/11/2025 ...	106,598	0	0	0	0	0	0	106,598	106,598	0	0	0	0
4699999. Residual Tranches or Interests with Underlying Assets Having Characteristics of Preferred Stock - Unaffiliated							106,598	0	0	0	0	0	0	106,598	106,598	0	0	0	0
03453A-AR-4	Andromeda Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Redemption	12/20/2024 ...	07/15/2025 ...	16,801	0	0	0	0	0	0	16,801	16,801	0	0	0	0
03453A-AR-4	Andromeda Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Internal Transfer	12/20/2024 ...	08/08/2025 ...	1,643,541	0	0	0	0	0	0	1,643,541	1,515,038	0	(57,541)	(57,541)	0
148288-AP-8	Cassiopeia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Redemption	12/20/2024 ...	07/15/2025 ...	33,136	0	0	0	0	0	0	33,136	33,136	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
148288-AP-8	Cassiopeia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Internal Transfer	12/20/2024	08/08/2025	1,569,453	0	0	0	0	0	0	1,569,453	1,497,851	0	(23,977)	(23,977)	0
685947-AP-8	Orion Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Redemption	12/20/2024	07/15/2025	9,532	0	0	0	0	0	0	9,532	9,532	0	0	0	0
685947-AP-8	Orion Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Internal Transfer	12/20/2024	08/08/2025	1,580,610	0	0	0	0	0	0	1,580,610	1,515,589	0	(6,897)	(6,897)	0
03719A-AP-8	Antlia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Redemption	12/20/2024	07/15/2025	2,270	0	0	0	0	0	0	2,270	2,270	0	0	0	0
03719A-AP-8	Antlia Funding LLC Subordinated-CLO Note 0.000% 01/15/24			Internal Transfer	12/20/2024	08/08/2025	1,607,495	0	0	0	0	0	0	1,607,495	1,481,081	0	(70,464)	(70,464)	0
4999999. Residual Tranches or Interests with Underlying Assets Having Characteristics of Common Stock - Affiliated							6,462,838	0	0	0	0	0	0	6,462,838	6,071,298	0	(158,879)	(158,879)	0
6899999. Total - Unaffiliated							6,121,707	4,240	0	0	0	4,240	0	6,121,707	6,121,707	0	0	0	221,500
6999999. Total - Affiliated							6,462,838	0	0	0	0	0	0	6,462,838	6,071,298	0	(158,879)	(158,879)	0
7099999 - Totals							12,584,545	4,240	0	0	0	4,240	0	12,584,545	12,193,005	0	(158,879)	(158,879)	221,500

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
037430-AZ-1	APA Corp 4.250% 01/15/30	09/18/2025	Tax Free Exchange		2,049,019	2,000,000	0	2.C FE
0089999999. Subtotal - Issuer Credit Obligations - Corporate Bonds (Unaffiliated)					2,049,019	2,000,000	0	XXX
12511W-AC-0	CCS8 Unitranche Partners SPV 6.881% 11/10/27	08/29/2025	Various		991,622	991,622	0	1.E PL
36805F-AB-3	Gauge Capital III NAV Loan 9.502% 09/16/29	08/20/2025	Hunter Point		116,609	116,609	0	1.G PL
36805F-AB-3	Gauge Capital III NAV Loan 9.502% 09/16/29	09/30/2025	Interest Capitalization		14,079	14,079	0	1.G PL
68636Y-AB-0	Orion Infrastructure Capital NAV Loan 9.476% 02/09/27	08/08/2025	Hunter Point		233,813	233,813	0	2.A PL
0169999999. Subtotal - Issuer Credit Obligations - Bonds Issued from SEC-Registered Business Development Corps, Closed End Funds & REITS (Unaffiliated)					1,356,123	1,356,123	0	XXX
0489999999. Total - Issuer Credit Obligations (Unaffiliated)					3,405,142	3,356,123	0	XXX
0499999999. Total - Issuer Credit Obligations (Affiliated)					0	0	0	XXX
0509999997. Total - Issuer Credit Obligations - Part 3					3,405,142	3,356,123	0	XXX
0509999998. Total - Issuer Credit Obligations - Part 5					XXX	XXX	XXX	XXX
0509999999. Total - Issuer Credit Obligations					3,405,142	3,356,123	0	XXX
08180F-CG-4	BENEFIT STREET PARTNERS CLO LT 2014-IVA AR5 5.575% 10/20/38	09/10/2025	SCOTIABANK		12,000,000	12,000,000	0	1.A FE
08180F-CJ-8	BENEFIT STREET PARTNERS CLO LT 2014-IVA BR5 5.875% 10/20/38	09/10/2025	SCOTIABANK		3,000,000	3,000,000	0	1.C FE
225740-AA-7	CRESCENT DIRECT LENDING FD III NOTE 5.000% 01/29/31	07/11/2025	Direct		424,770	424,770	0	2.B PL
46331F-AA-7	IRONWOOD CAPITAL PARTNERS V NOTE 5.000% 08/31/36	07/23/2025	Direct		235,373	235,373	0	2.A PL
587924-AA-7	MidOcean Credit CLO 2025-21A A1 5.585% 10/20/38	09/17/2025	BNP Paribas		20,000,000	20,000,000	0	1.A Z
72305*-AA-9	PineBridge Private Credit III Note A 7.421% 08/31/32	07/30/2025	Various		216,817	216,817	0	2.B PL
72305*-AB-7	PineBridge Private Credit III Note B 8.671% 08/31/32	07/30/2025	Various		98,553	98,553	0	2.C FE
75887N-BL-2	Regatta VI Funding Ltd. 2016-1A A1R3 5.575% 10/20/38	09/12/2025	Nomura		12,000,000	12,000,000	0	1.A FE
75887N-BQ-1	Regatta VI Funding Ltd. 2016-1A BR3 5.925% 10/20/38	09/12/2025	Nomura		3,000,000	3,000,000	0	1.C FE
94860L-AL-9	Wehle Park CLO Ltd. 2022-1A A1R 5.565% 10/21/38	09/11/2025	Nomura		12,000,000	12,000,000	0	1.G Z
94860L-AQ-8	Wehle Park CLO Ltd. 2022-1A BR 5.925% 10/21/38	09/11/2025	Nomura		3,000,000	3,000,000	0	1.G Z
1099999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Non-Agency - CLOs/CBOs/CDOs (Unaffiliated)					65,975,513	65,975,513	0	XXX
03453A-AF-0	Andromeda Funding LLC Class A CLO Note 5.968% 01/15/38	07/23/2025	Santander		2,275,000	2,275,000	0	1.B PL
03453A-AH-6	Andromeda Funding LLC Class B CLO Note 6.218% 01/15/38	07/23/2025	Santander		575,000	575,000	0	1.D PL
03453A-AK-9	Andromeda Funding LLC Class C CLO Note 6.618% 01/15/38	07/23/2025	Santander		250,000	250,000	0	1.G PL
03453A-AM-5	Andromeda Funding LLC Class D CLO Note 8.318% 01/15/38	07/23/2025	Santander		200,000	200,000	0	2.C PL
03453A-AP-8	Andromeda Funding LLC Class E CLO Note 11.818% 01/15/38	07/23/2025	Santander		75,000	75,000	0	3.C PL
03719A-AD-5	Antlia Funding LLC Class A CLO Note 5.968% 01/15/38	07/23/2025	Santander		2,287,500	2,287,500	0	1.B PL
03719A-AF-0	Antlia Funding LLC Class B CLO Note 6.218% 01/15/38	07/23/2025	Santander		575,000	575,000	0	1.D PL
03719A-AH-6	Antlia Funding LLC Class C CLO Note 6.618% 01/15/38	07/23/2025	Santander		262,500	262,500	0	1.G PL
03719A-AK-9	Antlia Funding LLC Class D CLO Note 8.318% 01/15/38	07/23/2025	Santander		200,000	200,000	0	2.C PL
03719A-AM-5	Antlia Funding LLC Class E CLO Note 11.818% 01/15/38	07/23/2025	Santander		81,250	81,250	0	3.C PL
148288-AD-5	Cassiopeia Funding LLC Class A CLO Note 5.968% 01/15/38	07/23/2025	Santander		2,287,500	2,287,500	0	1.B PL
148288-AF-0	Cassiopeia Funding LLC Class B CLO Note 6.218% 01/15/38	07/23/2025	Santander		575,000	575,000	0	1.D PL
148288-AH-6	Cassiopeia Funding LLC Class C CLO Note 6.618% 01/15/38	07/23/2025	Santander		262,500	262,500	0	1.G PL
148288-AK-9	Cassiopeia Funding LLC Class D CLO Note 8.318% 01/15/38	07/23/2025	Santander		200,000	200,000	0	2.C PL
148288-AM-5	Cassiopeia Funding LLC Class E CLO Note 11.818% 01/15/38	07/23/2025	Santander		81,250	81,250	0	3.C PL
685947-AD-5	Orion Funding LLC Class A CLO Note 5.968% 01/15/38	07/23/2025	Santander		2,287,500	2,287,500	0	1.B PL
685947-AF-0	Orion Funding LLC Class B CLO Note 6.218% 01/15/38	07/23/2025	Santander		575,000	575,000	0	1.D PL
685947-AH-6	Orion Funding LLC Class C CLO Note 6.618% 01/15/38	07/23/2025	Santander		262,500	262,500	0	1.G PL
685947-AK-9	Orion Funding LLC Class D CLO Note 8.318% 01/15/38	07/23/2025	Santander		200,000	200,000	0	2.C PL
685947-AM-5	Orion Funding LLC Class E CLO Note 11.818% 01/15/38	07/23/2025	Santander		81,250	81,250	0	3.C PL
1109999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Non-Agency - CLOs/CBOs/CDOs (Affiliated)					13,593,750	13,593,750	0	XXX
1889999999. Total - Asset-Backed Securities (Unaffiliated)					65,975,513	65,975,513	0	XXX
1899999999. Total - Asset-Backed Securities (Affiliated)					13,593,750	13,593,750	0	XXX
1909999997. Total - Asset-Backed Securities - Part 3					79,569,263	79,569,263	0	XXX
1909999998. Total - Asset-Backed Securities - Part 5					XXX	XXX	XXX	XXX
1909999999. Total - Asset-Backed Securities					79,569,263	79,569,263	0	XXX
2009999999. Total - Issuer Credit Obligations and Asset-Backed Securities					82,974,405	82,925,386	0	XXX
4509999997. Total - Preferred Stocks - Part 3					0	XXX	0	XXX

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
4509999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks					0	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3					0	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks					0	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks					0	XXX	0	XXX
6009999999 - Totals					82,974,405	XXX	0	XXX

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..36235*-AB-7	CANTON LEASE FINANCE TRUST-GSA US GOVT LEASE BACKED CERT 4.730% 06/15/30	09/15/2025	Redemption	100.0000	46,137	46,137	46,137	46,137	0	0	0	0	0	46,137	0	0	0	1,455	06/15/2030	1.A
0019999999. Subtotal - Issuer Credit Obligations - U.S. Government Obligations (Exempt from RBC)					46,137	46,137	46,137	46,137	0	0	0	0	0	46,137	0	0	0	1,455	XXX	XXX
..25477P-NF-8	DIST OF COLUMBIA HSG FIN AGY 2014-A A 3.875% 06/15/45	09/01/2025	Redemption	100.0000	8,790	8,790	8,790	8,790	0	0	0	0	0	8,790	0	0	0	199	06/15/2045	1.B FE
..45201Y-YJ-0	ILLINOIS ST HSG DEV AUTH REV 2012-A 2.625% 12/01/42	09/01/2025	Redemption	100.0000	30,922	30,922	30,922	30,922	0	0	0	0	0	30,922	0	0	0	508	12/01/2042	1.B FE
..47659#-AA-9	JERSEY CITY, NEW JERSEY CTL 3.600% 09/15/52	09/15/2025	Redemption	100.0000	1,257	1,257	1,268	1,266	0	0	0	0	0	1,266	0	(9)	(9)	30	09/15/2052	1.E
..60416T-RS-9	MINNESOTA ST HSG FIN AGY 4.707% 07/01/41	08/01/2025	Redemption	100.0000	20,000	20,000	18,200	18,291	0	34	0	34	0	18,325	0	1,675	1,675	955	07/01/2041	1.B FE
..60416T-WX-2	MINNESOTA ST HSG FIN AGY 5.163% 07/01/40	07/01/2025	Redemption	100.0000	10,000	10,000	10,000	10,000	0	0	0	0	0	10,000	0	0	0	516	07/01/2040	1.B FE
..60416T-WY-0	MINNESOTA ST HSG FIN AGY 5.263% 07/01/45	07/01/2025	Redemption	100.0000	15,000	15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	789	07/01/2045	1.B FE
..60416T-YZ-5	MINNESOTA ST HSG FIN AGY 6.345% 07/01/53	07/01/2025	Redemption	100.0000	15,000	15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	952	07/01/2053	1.B FE
..60636Y-HL-8	MISSOURI ST HSG 2012 SERIES 1 3.723% 07/01/28	07/01/2025	Redemption	100.0000	15,000	15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	558	07/01/2028	1.B FE
..63607V-AB-2	NATIONAL FIN AUTH NH FEDERAL L 3.278% 10/01/37	07/01/2025	Redemption	100.0000	15,000	15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	369	10/01/2037	2.B FE
..646080-UN-9	NEW JERSEY ST HIGHER ED ASSIST STUDENT LOAN 3.250% 12/01/39	07/01/2025	Redemption	100.0000	115,000	115,000	114,317	114,459	0	14	0	14	0	114,473	0	527	527	2,377	12/01/2039	1.B FE
..762323-CH-4	RHODE ISLAND ST STUDENT LOAN A 4.931% 12/01/41	09/01/2025	Redemption	100.0000	120,000	120,000	120,000	120,000	0	0	0	0	0	120,000	0	0	0	4,471	12/01/2041	1.C FE
..88271H-FL-8	TEXAS ST AFFORDABLE HSG CORP 2013A 2.700% 09/01/41	09/01/2025	Redemption	100.0000	15,000	15,000	15,000	15,000	0	0	0	0	0	15,000	0	0	0	360	09/01/2041	1.B FE
..92812V-MA-1	VIRGINIA ST HSG DEV AUTH 3.125% 11/25/39	09/01/2025	Redemption	100.0000	16,757	16,757	16,757	16,757	0	0	0	0	0	16,757	0	0	0	305	11/25/2039	1.A FE
0049999999. Subtotal - Issuer Credit Obligations - Municipal Bonds - General Obligations (Direct and Guaranteed)					397,726	397,726	395,254	395,485	0	48	0	48	0	395,533	0	2,193	2,193	12,389	XXX	XXX
..33803W-AA-7	FISHERS LANE ASSOC LLC US GOVT LEASE BACKED CERT 3.666% 08/05/30	09/05/2025	Redemption	100.0000	99,337	99,337	101,417	99,953	0	(65)	0	(65)	0	99,888	0	(552)	(552)	2,428	08/05/2030	1.A
0059999999. Subtotal - Issuer Credit Obligations - Municipal Bonds - Special Revenues					99,337	99,337	101,417	99,953	0	(65)	0	(65)	0	99,888	0	(552)	(552)	2,428	XXX	XXX
..00182@-AA-6	AZ ROMULUS MI LANDLORD LLC 3.497% 10/31/38	09/30/2025	Redemption	100.0000	28,955	28,955	28,955	28,955	0	0	0	0	0	28,955	0	0	0	675	10/31/2038	1.D
..00184*-AA-6	AZ RANDALL OH LANDLORD LLC 3.610% 03/31/39	09/30/2025	Redemption	100.0000	29,531	29,531	29,531	29,531	0	0	0	0	0	29,531	0	0	0	711	03/31/2039	1.D
..00225#-AA-3	AMZN (GR MI) CTL PASS-THRU TRU CTL - LEASE BACKED NOTES 4.095% 09/30/39	09/30/2025	Redemption	100.0000	18,228	18,228	18,228	18,228	0	0	0	0	0	18,228	0	0	0	498	09/30/2039	1.D
..009098-A*-5	AMAZON FORT WORTH TX LEASE COL 4.494% 11/10/39	09/10/2025	Redemption	100.0000	14,177	14,177	14,177	14,177	0	0	0	0	0	14,177	0	0	0	425	11/10/2039	1.D
..03743Q-AF-5	APA Corp 144A 4.250% 01/15/30	09/18/2025	Tax Free Exchange		2,034,144	2,000,000	2,039,440	0	0	(5,296)	0	(5,296)	0	2,034,144	0	0	0	99,875	01/15/2030	2.C FE
..05590#-AA-9	BPHQ 2017 CTL PASS-THROUGH TRU CTL 3.540% 11/15/32	09/15/2025	Redemption	100.0000	31,100	31,100	31,100	31,100	0	0	0	0	0	31,100	0	0	0	734	11/15/2032	1.F
..10806*-AA-3	BRIDGE INVESTMENT GROUP 5.000% 07/12/32	09/12/2025	Redemption	100.0000	7,301,210	7,000,000	7,000,000	7,000,000	0	0	0	0	0	7,000,000	0	0	0	709,543	07/12/2032	1.G PL
..10806*-AD-7	BRIDGE INVESTMENT GROUP 6.100% 03/29/33	09/12/2025	Redemption	100.0000	3,337,650	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	512,008	03/29/2033	1.G PL
..11043X-AA-1	BRITISH AIR 19-1 AA PTT 144A 3.300% 12/15/32	09/15/2025	Redemption	100.0000	18,547	18,547	19,393	19,053	0	(53)	0	(53)	0	19,001	0	(454)	(454)	459	12/15/2032	1.D FE
..14040H-BJ-3	CAPITAL ONE FINANCIAL CORP 4.200% 10/29/25	09/30/2025	Call	100.0000	1,000,000	1,000,000	999,920	999,992	0	7	0	7	0	999,999	0	1	1	38,617	10/29/2025	2.B FE
..167885-A@-1	CHICAGO PARKING METERS INC SENIOR SECURED NOTE 4.930% 12/30/25	09/30/2025	Redemption	100.0000	3,003,560	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	114,485	12/30/2025	2.B PL
..22970*-AA-8	BGS BNSF CTL - Series 2015-1 PT 4.070% 05/15/34	09/15/2025	Redemption	100.0000	58,052	58,052	58,052	58,052	0	0	0	0	0	58,052	0	0	0	1,576	05/15/2034	1.D PL

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident- ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..22970*-AB-6	BGS BNSF CTL PT Tr Cert Ser 2021 3.200% 05/15/34	09/15/2025	Redemption	100.0000	26,407	26,407	26,589	26,539	0	(9)	0	(9)	0	26,530	0	(123)	(123)	563	05/15/2034	1.D
..24736X-AA-6	DELTA AIRLINES 2015-1 AA 3.625% 07/30/27	07/30/2025	Redemption	100.0000	21,916	21,916	21,916	21,916	0	0	0	0	0	21,916	0	0	0	794	07/30/2027	1.F FE
..24736Y-AA-4	DELTA AIRLINES 2015-1 A 3.875% 07/30/27	07/30/2025	Redemption	100.0000	21,916	21,916	21,916	21,916	0	0	0	0	0	21,916	0	0	0	849	07/30/2027	2.A FE
..30288*-AA-8	FLNG LIQUEFACTION 2 LLC SENIOR SECURED NOTES 4.540% 03/31/38	09/30/2025	Redemption	100.0000	24,200	24,200	24,200	24,200	0	0	0	0	0	24,200	0	0	0	1,099	03/31/2038	2.B FE
..33972P-AA-7	FLNG LIQUEFACTION 2 LLC 144A 4.125% 03/31/38	09/30/2025	Redemption	100.0000	114,000	114,000	114,000	114,000	0	0	0	0	0	114,000	0	0	0	4,703	03/31/2038	2.B FE
..34107@-AA-7	FLORIDA PIPELINE HOLDINGS LLC 2.920% 08/15/38	08/15/2025	Redemption	100.0000	171,967	171,967	171,967	171,967	0	0	0	0	0	171,967	0	0	0	5,021	08/15/2038	2.B PL
..39121J-AE-0	GREAT RIVER ENERGY 144A 6.254% 07/01/38	07/01/2025	Redemption	100.0000	62,779	62,779	56,859	60,226	0	217	0	217	0	60,443	0	2,336	2,336	3,926	07/01/2038	1.G FE
..42824C-AW-9	HEWLETT-PACKARD ENTERPRISE CO 4.900% 10/15/25	09/10/2025	Call	100.0000	1,500,000	1,500,000	1,494,863	1,499,438	0	493	0	493	0	1,499,931	0	69	69	67,782	10/15/2025	2.B FE
..46671@-AA-7	JRD HOLDINGS SECURED TRUST CTL 3.620% 01/15/41	09/15/2025	Redemption	100.0000	1,141	1,141	1,141	1,141	0	0	0	0	0	1,141	0	0	0	28	01/15/2041	2.B
..46673*-AA-7	JRD HOLDINGS SECURED TRUST 2021-2 3.214% 12/15/41	09/15/2025	Redemption	100.0000	25,854	25,854	25,854	25,854	0	0	0	0	0	25,854	0	0	0	554	12/15/2041	2.B
..53621@-AB-2	LION INDUSTRIAL PROPERTIES LP SR UNSEC NOTES 4.200% 09/28/25	09/28/2025	Maturity	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	42,000	09/28/2025	2.A
..56540@-AA-3	MAPLELEAF MIDSTREAM INVESTMENT SENIOR NOTE 4.560% 09/30/25	08/01/2025	Redemption	100.0000	500,003	500,003	500,003	500,003	0	0	0	0	0	500,003	0	0	0	24,451	09/30/2025	4.A PL
..59048@-AA-6	MESA AIRLINES 2015-1 A 4.750% 07/15/29	07/15/2025	Redemption	100.0000	187,544	187,544	187,544	187,544	0	0	0	0	0	187,544	0	0	0	8,908	07/15/2029	1.F PL
..744542-AC-5	PUBLIC SERVICE CO OF NEW MEX 3.850% 08/01/25	08/01/2025	Maturity	2,000,000	2,000,000	2,122,480	2,005,456	2,005,456	0	(5,456)	0	(5,456)	0	2,000,000	0	0	0	77,000	08/01/2025	2.B FE
..87264A-AT-2	T-MOBILE USA INC 5.375% 04/15/27	09/02/2025	Call	100.0000	479,000	479,000	488,580	479,453	0	(453)	0	(453)	0	479,000	0	0	0	22,599	04/15/2027	2.B FE
..88031J-AB-2	TENASKA GEORGIA PARTNERS SENIOR SECURED BOND 9.500% 02/01/30	08/01/2025	Redemption	100.0000	211,262	211,262	221,812	213,941	0	(280)	0	(280)	0	213,661	0	(2,400)	(2,400)	20,070	02/01/2030	2.A FE
..89255@-AA-9	TRADEMARK ROYALTY 2018-1 LLC 4.920% 07/01/48	09/01/2025	Redemption	100.0000	5,862	5,862	5,862	5,862	0	0	0	0	0	5,862	0	0	0	195	07/01/2048	1.F PL
..90932Q-AA-4	UNITED AIR 2014-2 A PTT 3.750% 09/03/26	09/03/2025	Redemption	100.0000	187,894	187,894	188,196	187,947	0	(16)	0	(16)	0	187,931	0	(37)	(37)	7,046	09/03/2026	1.F FE
..92778*-AA-5	VA CMWILTH UNIV HEALTH SYS CTL 3.008% 09/15/49	09/15/2025	Redemption	100.0000	3,497	3,497	3,497	3,497	0	0	0	0	0	3,497	0	0	0	70	09/15/2049	1.A
..92838@-AA-1	VISTA RIDGE LLC SENIOR SECURED NOTES 2.570% 10/14/49	09/30/2025	Redemption	100.0000	20,215	20,215	20,215	20,215	0	0	0	0	0	20,215	0	0	0	390	10/14/2049	1.F PL
..931427-AT-5	WALGREENS BOOTS ALLIANCE INC 4.100% 04/15/50	08/28/2025	Call	87.1160	1,742,320	2,000,000	2,108,780	2,100,404	0	(1,627)	0	(1,627)	0	2,098,778	0	0	0	(285,163)	04/15/2050	4.A FE
..009090-AA-9	AIR CANADA 2015-1A 3.600% 03/15/27	09/15/2025	Redemption	100.0000	72,374	72,374	73,459	72,707	0	(101)	0	(101)	0	72,606	0	(233)	(233)	2,605	03/15/2027	1.F FE
..00774M-AN-5	AERCAP IRELAND CAP/GLOBA 6.500% 07/15/25	07/15/2025	Maturity	3,000,000	3,000,000	3,060,000	3,005,971	3,005,971	0	(5,971)	0	(5,971)	0	3,000,000	0	0	0	195,000	07/15/2025	2.A FE
..03744A-AA-0	AP ORYX HOLDINGS LTD 6.100% 09/08/43	09/08/2025	Redemption	100.0000	141,652	141,652	141,652	141,652	0	0	0	0	0	141,652	0	0	0	8,209	09/08/2043	2.B PL
..05256L-AB-9	AUSTRALIA PACIFIC LNG PROC PTY SENIOR SECURED NOTE 4.820% 09/30/30	09/30/2025	Redemption	100.0000	75,000	75,000	75,000	75,000	0	0	0	0	0	75,000	0	0	0	3,615	09/30/2030	2.B FE
..05256L-AC-7	AUSTRALIA PACIFIC LNG PROC PTY SENIOR SECURED NOTES 4.850% 09/30/30	09/30/2025	Redemption	100.0000	87,500	87,500	87,500	87,500	0	0	0	0	0	87,500	0	0	0	4,244	09/30/2030	2.B FE
..05330K-AA-3	AUTO METRO PUERTO RICO AUTOPISTAS LLC 144A 6.750% 06/30/35	09/30/2025	Redemption	100.0000	33,750	33,750	33,750	33,750	0	0	0	0	0	33,750	0	0	0	1,709	06/30/2035	2.B FE
..200447-AA*-1	COMISION FEDERAL DE ELECTRICID SENIOR NOTES 4.390% 09/29/36	09/29/2025	Redemption	100.0000	300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	13,170	09/29/2036	2.B
..P07707@-AF-1	NASSAU AIRPORT DEVELOPMENT CO 7.000% 11/30/33	09/30/2025	Redemption	100.0000	45,000	45,000	45,000	45,000	0	0	0	0	0	45,000	0	0	0	2,363	11/30/2033	3.A PL
..00458*-AF-6	AQUASURE PTY LTD SERIES 2018A 4.320% 01/12/34	07/12/2025	Redemption	100.0000	22,352	22,352	22,352	22,352	0	0	0	0	0	22,352	0	0	0	966	01/12/2034	1.G FE
0089999999. Subtotal - Issuer Credit Obligations - Corporate Bonds (Unaffiliated)					28,960,559	28,541,675	28,883,783	26,654,539	0	(18,545)	0	(18,545)	0	28,675,436	0	(841)	(841)	1,714,372	XXX	XXX
..31614C-AB-2	Fidelity Direct Lending Fund I 7.142% 02/25/29	09/10/2025	Redemption	100.0000	1,858,928	1,858,928	1,858,928	1,816,993	0	0	0	0	0	1,858,928	0	0	0	110,761	02/25/2029	1.E Z

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident-ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation, NAIC Design-ation Modifier and SVO Admini-strative Symbol
..36805E-AB-6	Gauge Capital II NAV Loan 9.779% 02/09/27	07/08/2025	Redemption 100.0000		46,176	46,176	46,176	29,660	0	0	0	0	0	46,176	0	0	0	147	02/09/2027	2.C FE
..36805F-AB-3	Gauge Capital III NAV Loan 9.502% 09/16/29	08/20/2025	Redemption 100.0000		40,459	40,459	40,459	24,501	0	0	0	0	0	40,459	0	0	0	31	09/16/2029	2.C FE
..49803#-AB-8	KITE REALTY GROUP LP SERIES B SENIOR NOTE 4.470% 09/10/25	09/10/2025	Maturity		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	223,500	09/10/2025	2.B
..68636Y-AB-0	Orion Infrastructure Capital NAV Loan 9.476% 02/09/27	09/30/2025	Redemption 100.0000		17,574	17,574	17,574	11,546	0	0	0	0	0	17,574	0	0	0	5,043	02/09/2027	2.A PL
..68636Y-AB-0	Orion Infrastructure Capital NAV Loan 9.476% 02/09/27	08/06/2025	Redemption 100.0000		27,676	27,676	27,676	23,134	0	0	0	0	0	27,676	0	0	0	10,174	02/09/2027	2.C FE
..74170*-AC-3	PRIME PROPERTY FUND LLC 4.610% 08/22/25	08/22/2025	Maturity		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	46,100	08/22/2025	2.A
..74992*-AF-2	RXR REALTY LLC SENIOR SECURED NOTES 6.750% 07/17/25	07/17/2025	Maturity		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	197,938	07/17/2025	2.B PL
..G4622#-AB-5	HOWARD DE WALDEN ESTATES LTD SENIOR NOTE 5.230% 07/16/25	07/16/2025	Maturity		4,000,000	4,000,000	4,000,000	4,000,000	0	0	0	0	0	4,000,000	0	0	0	209,200	07/16/2025	1.G
0169999999. Subtotal - Issuer Credit Obligations - Bonds Issued from SEC-Registered Business Development Corps, Closed End Funds & REITS (Unaffiliated)					14,990,813	14,990,813	14,990,813	14,905,834	0		0	0	0	14,990,813	0	0	0	802,894	XXX	XXX
0489999999. Total - Issuer Credit Obligations (Unaffiliated)					44,494,572	44,075,688	44,417,404	42,101,948	0	(18,562)	0	(18,562)	0	44,207,807	0	800	800	2,533,538	XXX	XXX
0499999999. Total - Issuer Credit Obligations (Affiliated)					0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
0509999997. Total - Issuer Credit Obligations - Part 4					44,494,572	44,075,688	44,417,404	42,101,948	0	(18,562)	0	(18,562)	0	44,207,807	0	800	800	2,533,538	XXX	XXX
0509999998. Total - Issuer Credit Obligations - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
0509999999. Total - Issuer Credit Obligations					44,494,572	44,075,688	44,417,404	42,101,948	0	(18,562)	0	(18,562)	0	44,207,807	0	800	800	2,533,538	XXX	XXX
..38377G-S7-5	GNMA 2010-89 PD 4.000% 07/20/40	08/01/2025	Paydown		9,262	9,262	9,783	9,263	0	0	0	0	0	9,262	0	0	0	229	07/20/2040	1.A
..38377T-GE-5	GNMA 2011-2 HG 4.000% 01/20/41	09/01/2025	Paydown		26,825	26,825	29,960	27,954	0	(1,129)	0	(1,129)	0	26,825	0	0	0	707	01/20/2041	1.A
..38383H-CD-9	GNMA 2022-205 UA 5.000% 05/20/52	09/01/2025	Paydown		1,383,597	1,383,597	1,361,114	1,368,882	0	14,715	0	14,715	0	1,383,597	0	0	0	46,424	05/20/2052	1.A
..38383V-M5-4	GNMA 2023-35 DA 4.500% 02/20/52	09/01/2025	Paydown		301,225	301,225	282,728	286,712	0	14,513	0	14,513	0	301,225	0	0	0	9,038	02/20/2052	1.A
..38384P-QT-0	GNMA 2024-97 HA 4.500% 11/20/51	09/01/2025	Paydown		171,750	171,750	167,617	168,131	0	3,619	0	3,619	0	171,750	0	0	0	5,069	11/20/2051	1.A
1019999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Guaranteed (Exempt from RBC)					1,892,659	1,892,659	1,851,202	1,860,942	0	31,718	0	31,718	0	1,892,659	0	0	0	61,467	XXX	XXX
..36194S-PD-4	GNMA AU4920 3.020% 09/15/41	09/15/2025	Paydown		34,457	34,457	35,092	34,743	0	(286)	0	(286)	0	34,457	0	0	0	694	09/15/2041	1.A
..36197J-ZL-2	GNMA GN AZ6147 3.940% 03/15/37	09/01/2025	Paydown		56,725	56,725	58,746	57,517	0	(792)	0	(792)	0	56,725	0	0	0	1,490	03/15/2037	1.A
..36230T-B2-7	GNMA 758157 4.550% 05/15/38	08/01/2025	Paydown		39,171	39,171	43,578	41,796	0	(2,624)	0	(2,624)	0	39,171	0	0	0	1,114	05/15/2038	1.A
..36230T-B2-7	GNMA 758157 4.550% 05/15/38	09/01/2025	Paydown		19,705	19,705	21,921	21,025	0	(1,320)	0	(1,320)	0	19,705	0	0	0	672	05/15/2038	1.E FE
..38373M-2F-6	GNMA 2008-80 IO 2.568% 04/16/50	09/01/2025	Paydown		0	0	568	539	0	(539)	0	(539)	0	0	0	0	0	141	04/16/2050	1.A
..38376G-2H-2	GNMA 2011-92 C 3.702% 04/16/52	09/01/2025	Paydown		8,407	8,407	8,246	8,385	0	22	0	22	0	8,407	0	0	0	207	04/16/2052	1.A
..38378N-SJ-0	GNMA 2014-67 DE 3.692% 11/16/46	07/01/2025	Paydown		8,190	8,190	8,135	8,176	0	14	0	14	0	8,190	0	0	0	151	11/16/2046	1.A
..38378Y-VL-2	GNMA 2014-155 VA 3.000% 03/16/37	09/01/2025	Paydown		60,811	60,811	62,217	61,003	0	(192)	0	(192)	0	60,811	0	0	0	1,217	03/16/2037	1.A
1029999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Commercial Mortgage-Backed Securities - Guaranteed (Exempt from RBC)					227,466	227,466	238,503	233,184	0	(5,717)	0	(5,717)	0	227,466	0	0	0	5,686	XXX	XXX
..31368M-OB-7	FANNIE MAE 2022-17 N 3.500% 11/25/48	09/01/2025	Paydown		318,008	318,008	288,865	294,366	0	23,641	0	23,641	0	318,008	0	0	0	7,504	11/25/2048	1.A
..31368M-ZY-7	FANNIE MAE 2022-22 P 4.500% 05/25/51	09/01/2025	Paydown		511,502	511,502	478,494	484,372	0	27,130	0	27,130	0	511,502	0	0	0	15,400	05/25/2051	1.A
..3137H9-G4-5	FREDDIE MAC 5271 GV 5.500% 09/25/33	09/01/2025	Paydown		196,265	196,265	192,463	193,166	0	3,099	0	3,099	0	196,265	0	0	0	7,199	09/25/2033	1.A
..31392D-ZB-7	FNMA 2002-59 ZB 6.000% 09/25/32	09/01/2025	Paydown		18,229	18,229	17,523	18,042	0	187	0	187	0	18,229	0	0	0	718	09/25/2032	1.A
..31392R-WT-4	FHLMC 2492 Z 5.500% 08/15/32	09/01/2025	Paydown		5,260	5,260	4,746	5,157	0	103	0	103	0	5,260	0	0	0	192	08/15/2032	1.A
..31393A-SP-8	FNMA 2003-43 PE 5.500% 05/25/33	09/01/2025	Paydown		16,576	16,576	16,278	16,454	0	122	0	122	0	16,576	0	0	0	572	05/25/2033	1.A
..31393N-4A-4	FHLMC 2589 GM 5.500% 03/15/33	09/01/2025	Paydown		18,084	18,084	17,943	18,020	0	64	0	64	0	18,084	0	0	0	665	03/15/2033	1.A
1039999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Not/Partially Guaranteed (Not Exempt from RBC)					1,083,924	1,083,924	1,016,312	1,029,577	0	54,346	0	54,346	0	1,083,924	0	0	0	32,250	XXX	XXX
..008414-AA-2	AGATE BAY MORTGAGE LOAN TRUST 2013-1 A1 3.500% 07/25/43	09/01/2025	Paydown		7,358	7,358	7,039	7,208	0	4	0	4	0	7,212	0	146	146	166	07/25/2043	1.A FE

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..02660T-BU-6	AMERICAN HOME MORTGAGE INV TR 2004-3 6A5 4.277% 10/25/34	08/01/2025	Paydown		4,064	4,064	3,369	3,369	0	696	0	696	0	4,064	0	0	0	109	10/25/2034	1.A FM
..02660T-BU-6	AMERICAN HOME MORTGAGE INV TR 2004-3 6A5 4.277% 10/25/34	09/01/2025	Paydown		1,704	1,704	1,413	1,413	0	292	0	292	0	1,704	0	0	0	55	10/25/2034	1.A FM
..03464T-AB-5	ANGEL OAK MORTGAGE TRUST 2022-3 A2 4.116% 01/25/67	07/01/2025	Paydown		30,900	30,900	30,241	30,370	0	530	0	530	0	30,900	0	0	0	744	01/25/2067	1.C FE
..03464T-AB-5	ANGEL OAK MORTGAGE TRUST 2022-3 A2 4.116% 01/25/67	09/01/2025	Paydown		28,311	28,311	27,707	27,826	0	485	0	485	0	28,311	0	0	0	821	01/25/2067	1.C FE
..12646W-AH-7	CREDIT SUISSE COM MTGE TRUST 2013-1VR2 A2 3.000% 04/25/43	09/01/2025	Paydown		3,143	3,143	3,195	3,165	0	(1)	0	(1)	0	3,164	0	(21)	(21)	63	04/25/2043	1.A FE
..12667F-R5-6	COUNTRYWIDE ALTERNATIVE LOAN 2004-36CB 2A3 5.500% 02/25/35	08/01/2025	Paydown		6,265	6,205	5,151	5,814	0	12	0	12	0	5,826	0	439	439	217	02/25/2035	1.A FM
..12667F-R5-6	COUNTRYWIDE ALTERNATIVE LOAN 2004-36CB 2A3 5.500% 02/25/35	09/01/2025	Paydown		13,637	9,461	7,854	8,865	0	23	0	23	0	8,888	0	4,749	4,749	707	02/25/2035	1.A FM
..12667F-VF-9	COUNTRYWIDE ALTERNATIVE LOAN 2004-J10 4CB1 6.500% 10/25/34	08/01/2025	Paydown		4,857	4,857	4,350	4,780	0	11	0	11	0	4,790	0	66	66	197	10/25/2034	1.A FM
..12667F-VF-9	COUNTRYWIDE ALTERNATIVE LOAN 2004-J10 4CB1 6.500% 10/25/34	09/01/2025	Paydown		4,279	4,279	3,832	4,211	0	12	0	12	0	4,223	0	56	56	209	10/25/2034	1.A FM
..12667F-Y3-3	COUNTRYWIDE ALTERNATIVE LOAN 2005-3CB 1A13 5.500% 03/25/35	08/01/2025	Paydown		8,832	8,755	7,904	7,904	0	0	0	0	0	7,904	0	928	928	306	03/25/2035	1.A FM
..12667F-Y3-3	COUNTRYWIDE ALTERNATIVE LOAN 2005-3CB 1A13 5.500% 03/25/35	09/01/2025	Paydown		598	(404)	(365)	(365)	0	0	0	0	0	(365)	0	963	963	61	03/25/2035	1.A FM
..12668A-MN-2	COUNTRYWIDE ALTERNATIVE LOAN 2005-49CB A8 5.500% 11/25/35	08/01/2025	Paydown		2,022	1,941	1,326	1,326	0	28	0	28	0	1,354	0	669	669	71	11/25/2035	3.A FM
..12668A-MN-2	COUNTRYWIDE ALTERNATIVE LOAN 2005-49CB A8 5.500% 11/25/35	09/01/2025	Paydown		1,275	1,275	871	871	0	20	0	20	0	892	0	384	384	53	11/25/2035	3.A FM
..126694-CV-8	COUNTRYWIDE HOME LOANS 2005-21 A17 5.500% 10/25/35	08/01/2025	Paydown		1,009	968	844	531	379	2	0	381	0	912	0	97	97	35	10/25/2035	1.A FM
..126694-CV-8	COUNTRYWIDE HOME LOANS 2005-21 A17 5.500% 10/25/35	09/01/2025	Paydown		616	638	556	350	250	2	0	252	0	602	0	14	14	26	10/25/2035	1.A FM
..16159G-AC-3	CHASE MORTGAGE FINANCE CORPORA 2019-ATR2 A3 3.500% 07/25/49	09/01/2025	Paydown		2,044	2,044	2,067	2,057	0	0	0	0	0	2,057	0	(13)	(13)	48	07/25/2049	1.A FE
..16164A-AC-9	CHASE MORTGAGE FINANCE CORPORA 2016-2 M2 3.750% 02/25/44	09/01/2025	Paydown		20,516	20,516	21,046	20,758	0	(8)	0	(8)	0	20,750	0	(234)	(234)	522	02/25/2044	1.A FE
..16165T-AJ-2	CHASEFLEX TRUST 2005-1 2A4 5.500% 02/25/35 10/25/35	07/01/2025	Paydown		39,674	44,221	37,146	41,465	0	105	0	105	0	41,570	0	(1,896)	(1,896)	1,405	02/25/2035	1.A FM
..172973-2R-9	CITICORP MORTGAGE SECURITIES 2005-6 1A5 5.625% 09/25/35	08/01/2025	Paydown		1,579	1,579	1,507	1,507	0	0	0	0	0	1,507	0	71	71	56	09/25/2035	1.A FM
..172973-2R-9	CITICORP MORTGAGE SECURITIES 2005-6 1A5 5.625% 09/25/35	09/01/2025	Paydown		521	521	497	497	0	0	0	0	0	497	0	24	24	22	09/25/2035	1.A FM
..17312D-AC-2	CITICORP MORTGAGE SECURITIES 2007-8 1A3 6.000% 09/25/37	08/01/2025	Paydown		410	410	408	408	0	0	0	0	0	408	0	2	2	15	09/25/2037	1.A FM
..17312D-AC-2	CITICORP MORTGAGE SECURITIES 2007-8 1A3 6.000% 09/25/37	09/01/2025	Paydown		8,280	8,280	8,239	8,243	0	0	0	0	0	8,243	0	37	37	373	09/25/2037	1.A FM
..17321L-AA-7	CITIGROUP MRTGE LOAN TRUST INC 2013-J1 A1 3.499% 10/25/43	09/01/2025	Paydown		8,608	8,608	8,433	8,512	0	2	0	2	0	8,515	0	93	93	187	10/25/2043	1.A FE
..17322N-AA-2	CITIGROUP MORTGAGE LOAN TRUST 2014-JL A1 3.500% 06/25/44	09/01/2025	Paydown		9,663	9,663	9,702	9,664	0	(1)	0	(1)	0	9,663	0	0	0	206	06/25/2044	1.A FE
..17323T-AC-4	CITIGROUP MORTGAGE LOAN TRUST 2015-PP2 A 4.250% 01/25/53	09/01/2025	Paydown		1,931	1,931	1,975	1,941	0	(1)	0	(1)	0	1,940	0	(9)	(9)	53	01/25/2053	1.A FE
..22541Q-VG-4	CS FIRST BOSTON MORTGAGE 2003-23 1A4 5.500% 10/25/33	08/01/2025	Paydown		5,564	5,564	5,491	5,528	0	1	0	1	0	5,529	0	36	36	190	10/25/2033	1.G FM

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident- ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..22541Q-VG-4	CS FIRST BOSTON MORTGAGE 2003-23 1A4 5.500% 10/25/33	09/01/2025	Paydown		2,823	2,823	2,785	2,804	0	1	0	1	0	2,805	0	18	18	116	10/25/2033	1.G FM
..225458-AY-4	CS FIRST BOSTON MORTGAGE SECUR 2005-1 1A23 5.500% 02/25/35	08/01/2025	Paydown		3,088	3,088	3,035	3,035	0	0	0	0	0	3,035	0	53	53	106	02/25/2035	1.A FM
..225458-AY-4	CS FIRST BOSTON MORTGAGE SECUR 2005-1 1A23 5.500% 02/25/35	09/01/2025	Paydown		953	953	937	937	0	0	0	0	0	937	0	16	16	39	02/25/2035	1.A FM
..29977J-AB-2	EVERBANK MTGE LOAN TRUST 2013-1 A2 2.500% 03/25/43	09/01/2025	Paydown		10,427	10,427	10,489	10,444	0	(18)	0	(18)	0	10,427	0	0	0	160	03/25/2043	1.A FE
..29977K-AA-1	EVERBANK MTGE LOAN TRUST 2013-2 A 3.000% 06/25/43	09/01/2025	Paydown		3,436	3,436	3,384	3,409	0	27	0	27	0	3,436	0	0	0	69	06/25/2043	1.A FE
..3140FX-ED-0	FNMA BF0131 3.500% 08/01/56 FIRSTKEY MORTGAGE TRUST 2015-1 A3 3.500%	09/01/2025	Paydown		25,693	25,693	26,408	26,342	0	(648)	0	(648)	0	25,693	0	0	0	622	08/01/2056	1.A
..33767C-AD-9	03/25/45 FLAGSTAR MORTGAGE TRUST 2020-2 A2 3.000%	09/01/2025	Paydown		1,833	1,833	1,869	1,850	0	(1)	0	(1)	0	1,849	0	(16)	(16)	43	03/25/2045	1.A FE
..33851K-AC-0	08/25/50 GE CAPITAL MTG 1999-HE M 6.705% 04/25/29	09/01/2025	Paydown		12,823	12,823	13,184	13,060	0	(237)	0	(237)	0	12,823	0	0	0	253	08/25/2050	1.A FE
..36157R-D9-3	GE CAPITAL MTG 1999-HE M 6.705% 04/25/29 RESIDENTIAL ASSET SEC TRUST 2003-A5 A7	08/01/2025	Paydown		4,942	6,096	5,189	5,285	532	42	0	574	0	5,858	0	(916)	(916)	260	04/25/2029	6. FM
..36157R-D9-3	5.500% 06/25/33 RESIDENTIAL ASSET SEC TRUST 2003-A5 A7	09/01/2025	Paydown		1,397	1,189	1,189	1,211	122	12	0	134	0	1,344	0	53	53	70	04/25/2029	6. FM
..45660N-QF-5	5.500% 06/25/33 RESIDENTIAL ASSET SEC TRUST 2003-A5 A7	08/01/2025	Paydown		14,567	14,567	14,371	14,479	0	2	0	2	0	14,481	0	86	86	501	06/25/2033	1.A FM
..45660N-QF-5	5.500% 06/25/33 JP MORGAN MORTGAGE TRUST 2021-1 A3 2.500%	09/01/2025	Paydown		7,388	7,388	7,289	7,344	0	1	0	1	0	7,345	0	43	43	305	06/25/2033	1.A FM
..46592E-AC-0	06/25/51 JP MORGAN MORTGAGE TRUST 2014-1 1A1 3.802%	09/01/2025	Paydown		11,689	11,689	12,164	12,035	0	(346)	0	(346)	0	11,689	0	0	0	199	06/25/2051	1.A FE
..46641C-AA-9	01/25/44 JP MORGAN MORTGAGE TRUST 2019-6 A3 3.500%	09/01/2025	Paydown		1,860	1,860	1,907	1,880	0	(1)	0	(1)	0	1,879	0	(19)	(19)	47	01/25/2044	1.A FE
..46651B-AC-4	12/25/49 JP MORGAN MORTGAGE TRUST 2019-9 A5 3.500%	09/01/2025	Paydown		4,123	4,123	4,188	4,140	0	(1)	0	(1)	0	4,139	0	(16)	(16)	94	12/25/2049	1.A FE
..46651Y-AH-3	05/25/50 NEW RESIDENTIAL MORTGAGE LOAN 2018-5A A1	09/01/2025	Paydown		34,513	34,513	35,465	35,036	0	(523)	0	(523)	0	34,513	0	0	0	822	05/25/2050	1.A FE
..64829X-AA-0	4.750% 12/25/57 PRIME MORTGAGE TRUST 2003-2 1A10 5.000%	09/01/2025	Paydown		20,188	20,188	20,503	20,263	0	(75)	0	(75)	0	20,188	0	0	0	638	12/25/2057	1.A FE
..74160M-BP-6	10/25/33 PRIME MORTGAGE TRUST 2003-2 1A10 5.000%	08/01/2025	Paydown		3,371	3,371	3,223	3,317	0	3	0	3	0	3,320	0	52	52	105	10/25/2033	1.A FM
..74160M-BP-6	10/25/33 SAXON ASSET SECURITIES TRUST 2003-3 AF5	09/01/2025	Paydown		1,697	1,697	1,622	1,670	0	2	0	2	0	1,671	0	26	26	64	10/25/2033	1.A FM
..805564-PA-3	4.028% 12/25/33 SAXON ASSET SECURITIES TRUST 2003-3 AF5	08/01/2025	Paydown		8,204	8,204	8,204	8,204	0	0	0	0	0	8,204	0	0	0	238	12/25/2033	1.A FM
..805564-PA-3	4.028% 12/25/33 SEQUOIA MORTGAGE TRUST 2013-5 A2 3.000%	09/01/2025	Paydown		11,915	11,915	11,915	11,915	0	0	0	0	0	11,915	0	0	0	405	12/25/2033	1.A FM
..81745A-AB-3	05/25/43 SHELLPOINT ASSET FUNDING TRUST 2013-1 A1	09/01/2025	Paydown		13,156	13,156	13,384	13,296	0	(140)	0	(140)	0	13,156	0	0	0	263	05/25/2043	1.A FE
..822804-AA-8	3.750% 07/25/43 UCFC HOME EQUITY LOAN 1998-D MF2 7.750%	09/01/2025	Paydown		1,872	1,872	1,831	1,851	0	21	0	21	0	1,872	0	0	0	47	07/25/2043	1.A FE
..90263B-HE-1	04/15/30 UCFC HOME EQUITY LOAN 1998-D MF2 7.750%	08/01/2025	Paydown		43,850	43,850	38,177	38,177	0	0	0	0	0	38,177	0	5,673	5,673	2,064	04/15/2030	1.A FM
..90263B-HE-1	04/15/30 VERUS SECURITIZATION TRUST 2022-INV2 A1	09/01/2025	Paydown		12,891	12,891	11,223	11,223	0	0	0	0	0	11,223	0	1,668	1,668	744	04/15/2030	1.A FM
..924923-AA-3	6.790% 10/25/67 VERUS SECURITIZATION TRUST 2022-INV2 A1	07/01/2025	Paydown		39,037	39,037	38,641	38,708	0	330	0	330	0	39,037	0	0	0	1,546	10/25/2067	1.A FE
..924923-AA-3	6.790% 10/25/67 WELLS FARGO MORTGAGE BACKED 2019-4 A5	09/01/2025	Paydown		133,081	133,081	131,728	131,957	0	1,124	0	1,124	0	133,081	0	0	0	6,441	10/25/2067	1.A FE
..95002F-AE-4	3.500% 09/25/49	09/01/2025	Paydown		36,437	36,437	37,369	36,961	0	(524)	0	(524)	0	36,437	0	0	0	821	09/25/2049	1.A FE

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..95002J-AA-4	WELLS FARGO MRTG BACKED SEC 2019-2 A1 4.000% 04/25/49	09/01/2025	Paydown		3,113	3,113	3,168	3,142	0	(29)	0	(29)	0	3,113	0	0	0	83	04/25/2049	1.A FE
..97652P-AA-9	WINWATER MORTGAGE LOAN TRUST 2014-1 A1 3.929% 06/27/44	09/01/2025	Paydown		1,084	1,084	1,120	1,095	0	0	0	0	0	1,094	0	(11)	(11)	28	06/27/2044	1.A FE
1059999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Non-Agency Residential Mortgage-Backed Securities (Unaffiliated)					689,111	689,397	667,759	673,288	1,283	1,236	0	2,519	0	675,801	0	13,311	13,311	24,110	XXX	XXX
..30293W-AE-2	FREMF MORTGAGE TRUST 2015-K50 B 4.013% 08/25/25	08/01/2025	Paydown		4,000,000	4,000,000	3,468,188	3,941,633	0	58,367	0	58,367	0	4,000,000	0	0	0	102,858	08/25/2025	1.B FE
..92257N-AA-4	VELOCITY COMMERCIAL CAPITAL LO 2019-2 A 3.130% 07/25/49	09/01/2025	Paydown		14,438	14,438	14,437	14,415	0	23	0	23	0	14,438	0	0	0	284	07/25/2049	1.A FE
..92259H-AG-2	VELOCITY COMMERCIAL CAPITAL LO 2023-4 M2 9.080% 11/25/53	09/01/2025	Paydown		41,295	41,295	41,294	41,222	0	73	0	73	0	41,295	0	0	0	2,399	11/25/2053	1.G FE
1079999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Non-Agency Commercial Mortgage-Backed Securities (Unaffiliated)					4,055,733	4,055,733	3,523,919	3,997,270	0	58,463	0	58,463	0	4,055,733	0	0	0	105,541	XXX	XXX
..09261W-AA-2	BLACKROCK DLF IX 2020-1 A-1 5.834% 07/21/30	07/17/2025	Paydown		177,143	177,143	177,143	177,143	0	0	0	0	0	177,143	0	0	0	7,957	07/21/2030	1.A FE
..09261W-AN-4	BLACKROCK DLF IX 2020-1 W 2.500% 07/21/30	07/17/2025	Paydown		16,345	16,345	16,345	16,345	0	0	0	0	0	16,345	0	0	0	415	07/21/2030	3.C FE
..092913-AQ-5	BLACKROCK ELBERT CLO V, LLC 5A AFR 4.480% 06/15/34	09/15/2025	Paydown		27,995	27,995	27,995	27,995	0	0	0	0	0	27,995	0	0	0	937	06/15/2034	1.A FE
..10948V-AS-4	BRIGHTWOOD CAPITAL MMI CLO LTD. 2020-1A B2R 7.802% 01/15/31	09/15/2025	Paydown		1,037,669	1,037,669	1,037,669	1,037,669	0	0	0	0	0	1,037,669	0	0	0	64,991	01/15/2031	1.C FE
..22574A-AA-7	CRESCENT DIRECT LENDING FD III NOTE 5.000% 01/29/31	09/19/2025	Redemption	100.0000	1,304,467	1,304,467	1,304,467	1,006,180	0	0	0	0	0	1,304,467	0	0	0	42,238	01/29/2031	2.B PL
..24460X-AL-4	DEERPATH CAPITAL CLO LTD 2021-2A A2 2.950% 01/15/34	08/21/2025	Paydown		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	124,965	01/15/2034	1.A FE
..26252E-AL-3	DRYDEN SENIOR LOAN FUND 2018-70A A2B CLO 2.250% 01/16/32	09/18/2025	Paydown		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	41,625	01/16/2032	1.A FE
..46331#-AA-7	IRONWOOD CAPITAL PARTNERS V NOTE 5.000% 08/31/36	09/30/2025	Redemption	100.0000	235,544	235,544	235,544	162,973	0	0	0	0	0	235,544	0	0	0	8,448	08/31/2036	2.A PL
..46604R-AE-3	IVY HILL MIDDLE MARKET CREDIT 21A C 8.429% 07/18/35	08/18/2025	Paydown		2,750,000	2,750,000	2,750,000	2,750,000	0	0	0	0	0	2,750,000	0	0	0	199,865	07/18/2035	1.F FE
..72305*-AA-9	PineBridge Private Credit III Note A 7.421% 08/31/32	09/11/2025	Redemption	100.0000	175,418	175,418	175,418	129,016	0	0	0	0	0	175,418	0	0	0	8,336	08/31/2032	2.B PL
..72305*-AB-7	PineBridge Private Credit III Note B 8.671% 08/31/32	09/11/2025	Redemption	100.0000	79,736	79,736	79,736	58,661	0	0	0	0	0	79,736	0	0	0	4,534	08/31/2032	2.C FE
..97988M-AN-3	WOODMONT TRUST 2021-8A B2 3.357% 01/18/34	09/01/2025	Paydown		5,000,000	5,000,000	4,999,946	4,999,957	0	43	0	43	0	5,000,000	0	0	0	156,194	01/18/2034	1.C FE
..38178M-AA-5	GOLUB CAPITAL PARTNERS ABS FUN 2019-1 AR 3.100% 07/20/30	07/20/2025	Paydown		154,416	154,416	154,416	154,416	0	0	0	0	0	154,416	0	0	0	3,590	07/20/2030	1.F FE
1099999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Non-Agency - CLOs/CBOs/CDOs (Unaffiliated)					17,958,733	17,958,733	17,958,679	17,520,355	0	43	0	43	0	17,958,733	0	0	0	664,095	XXX	XXX
..00090T-AA-5	ABPCI DIRECT LENDING FUND ABS 2020-1A A 3.199% 12/20/30	07/20/2025	Paydown		42,162	42,162	42,162	42,162	0	0	0	0	0	42,162	0	0	0	1,012	12/20/2030	1.F FE
..038370-AA-0	AQUA FINANCE TRUST 2019A A 3.140% 07/16/40	09/15/2025	Paydown		11,239	11,239	11,237	11,239	0	0	0	0	0	11,239	0	0	0	235	07/16/2040	1.A FE
..038413-AA-8	AQUA FINANCE TRUST 2020-AA A 1.900% 07/17/46	09/17/2025	Paydown		9,838	9,838	9,836	9,838	0	0	0	0	0	9,838	0	0	0	124	07/17/2046	1.A FE
..038413-AB-6	AQUA FINANCE TRUST 2020-AA B 2.790% 07/17/46	09/17/2025	Paydown		58,775	58,775	58,757	58,772	0	4	0	4	0	58,775	0	0	0	1,091	07/17/2046	1.B FE
..038779-AB-0	ARBYS FUNDING LLC 2020-1A A2 3.237% 07/30/50	07/30/2025	Paydown		8,750	8,750	8,750	8,750	0	0	0	0	0	8,750	0	0	0	212	07/30/2050	2.C FE

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									10	11	12	13	14							
CUSIP Ident- ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..12327A-AA-6	BUSINESS JET SECURITIES, LLC 2022-1A A 4.455% 06/15/37	09/15/2025	Paydown		154,174	154,174	149,573	152,123	0	2,051	0	2,051	0	154,174	0	0	0	4,579	06/15/2037	1.F FE
..19260M-AA-4	COINSTAR FUNDING, LLC 2017-1A A2 5.216% 04/25/47	07/25/2025	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	196	04/25/2047	2.C FE
..20267U-AA-7	COMMONBOND STUDENT LOAN TRUST 2016-B A1 2.730% 10/25/40	09/25/2025	Paydown		3,606	3,606	3,605	3,606	0	0	0	0	0	3,606	0	0	0	66	10/25/2040	1.A FE
..20633K-AA-6	TUNES 2022-1A A2 6.500% 01/20/73	07/20/2025	Paydown		4,000,000	4,000,000	3,905,788	3,940,426	0	59,574	0	59,574	0	4,000,000	0	0	0	195,000	01/20/2073	1.F FE
..26209X-AC-5	DRIVEN BRANDS FUNDING, LLC 2020-2A A2 3.237% 01/20/51	07/20/2025	Paydown		3,750	3,750	3,750	3,750	0	0	0	0	0	3,750	0	0	0	91	01/20/2051	2.C FE
..28924A-AB-7	ELM TRUST 2020-4A A2 2.286% 10/20/29	09/20/2025	Paydown		67,654	67,654	67,654	67,653	0	1	0	1	0	67,654	0	0	0	928	10/20/2029	1.F FE
..33843X-AD-1	FLAGSHIP CREDIT AUTO TRUST 2022-4 B 6.780% 10/15/27	09/15/2025	Paydown		501,801	501,801	501,706	501,749	0	52	0	52	0	501,801	0	0	0	25,517	10/15/2027	1.A FE
..411707-AK-8	CKE RESTAURANTS HOLDINGS INC 2021-1A A2 2.865% 06/20/51	09/20/2025	Paydown		12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	269	06/20/2051	2.B FE
..42770Q-AA-0	HERO FUNDING TRUST 2014-2A A 3.990% 09/21/40	09/20/2025	Paydown		12,554	12,554	12,545	12,549	0	5	0	5	0	12,554	0	0	0	501	09/21/2040	1.A FE
..42771T-AA-3	HERO FUNDING TRUST 2015-3A A 4.280% 09/20/41	09/20/2025	Paydown		63,194	63,194	63,190	63,190	0	0	0	0	0	63,190	0	4	4	2,591	09/20/2041	1.A FE
..46616M-AA-8	HENDERSON RECEIVABLES LLC 2010-3A A 3.820% 12/15/48	09/15/2025	Paydown		13,201	13,201	13,118	13,170	0	31	0	31	0	13,201	0	0	0	336	12/15/2048	1.A FE
..55400V-AA-9	MVN OWNER TRUST 2022-2A A 6.110% 10/21/41	09/20/2025	Paydown		170,935	170,935	170,908	170,917	0	18	0	18	0	170,935	0	0	0	6,880	10/21/2041	1.A FE
..57109R-AC-4	MARLETTE FUNDING TRUST 2023-3A C 7.060% 09/15/33	09/15/2025	Paydown		276,065	276,065	276,062	276,045	0	20	0	20	0	276,065	0	0	0	14,618	09/15/2033	1.G FE
..59549W-AA-1	MID STATE TRUST SERIES 11 A1 4.864% 07/15/38	09/15/2025	Paydown		24,371	24,371	23,092	24,276	0	95	0	95	0	24,371	0	0	0	787	07/15/2038	1.E FE
..61033M-AB-0	MONROE CAPITAL INCOME PLUS ABS 2022-1A A 4.050% 03/30/32	07/22/2025	Paydown		145,403	145,403	143,045	144,435	0	968	0	968	0	145,403	0	0	0	4,417	03/30/2032	1.F FE
..62878Y-AA-2	NOTHING BUNDT CAKES 2021-1 A2 2.989% 07/30/51	08/22/2025	Paydown		2,210,625	2,210,625	2,210,625	2,210,625	0	0	0	0	0	2,210,625	0	0	0	51,025	07/30/2051	2.C FE
..64016N-AC-1	NEIGHBORLY ISSUER LLC 2022-1A A2 3.695% 01/30/52	07/30/2025	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	139	01/30/2052	2.B FE
..64469D-WP-2	NEW HAMPSHIRE HOUSING 3.855% 07/01/30	07/01/2025	Redemption	100.0000	10,000	10,000	10,000	10,000	0	0	0	0	0	10,000	0	0	0	386	07/01/2030	1.B FE
..68269P-AA-5	ONEMAIN FINANCIAL ISSUANCE TRU 2022-3A A 5.940% 05/15/34	09/14/2025	Paydown		757,756	757,756	757,703	757,691	0	66	0	66	0	757,756	0	0	0	29,868	05/15/2034	1.A FE
..72703P-AC-7	PLANET FITNESS MASTER ISSUER 2019-1A A2 3.858% 12/05/49	09/05/2025	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	145	12/05/2049	2.B FE
..72703P-AE-3	PLANET FITNESS MASTER ISSUER 2022-1A A211 4.008% 12/05/51	09/05/2025	Paydown		7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	225	12/05/2051	2.B FE
..817743-AA-5	SERVPRO MASTER ISSUER, LLC 2019-1A A2 3.882% 10/25/49	07/25/2025	Paydown		7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	218	10/25/2049	2.C FE
..82650B-AB-2	SIERRA RECEIVABLES FUNDING CO 2023-2A B 6.280% 04/20/40	09/20/2025	Paydown		68,263	68,263	68,247	68,249	0	13	0	13	0	68,263	0	0	0	2,824	04/20/2040	1.F FE
..83546D-AG-3	SONIC CAPITAL LLC 2020-1A A21 3.845% 01/20/50	09/20/2025	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	128	01/20/2050	2.B FE
..86365L-AB-7	STRUCTURED RECEIVABLES FINANCE 2010-B B 7.970% 08/15/36	09/15/2025	Paydown		128,540	128,540	132,638	129,198	0	(657)	0	(657)	0	128,540	0	0	0	7,459	08/15/2036	1.B FE
..92243R-AC-8	VOP RRL ABS I LTD 2021-1A B 2.848% 10/20/31	07/20/2025	Paydown		25,189	25,189	25,189	25,189	0	0	0	0	0	25,189	0	0	0	538	10/20/2031	2.B FE
..92812U-Q4-3	VIRGINIA HOUSING DEV AUTH 2014-A A 3.500% 10/25/37	09/01/2025	Paydown		30,956	30,956	30,956	30,956	0	0	0	0	0	30,956	0	0	0	727	10/25/2037	1.A FE
..93978P-KP-1	WASHINGTON ST HSG FIN COMMN SERIES 2011A 4.750% 07/01/29	07/01/2025	Redemption	100.0000	15,000	15,000	15,131	15,000	0	0	0	0	0	15,000	0	0	0	713	07/01/2029	1.B FE

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Identification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
..95058X-AL-2	WENDY'S FUNDING LLC 2021-1A A211 2.775% 06/15/51	09/15/2025	Paydown		11,254	11,254	11,254	11,254	0	0	0	0	0	11,254	0	0	0	234	06/15/2051	2.B FE
..98920M-AA-0	ZAXBY'S FUNDING LLC 2021-1A A2 3.238% 07/30/51	07/30/2025	Paydown		11,250	11,250	11,250	11,250	0	0	0	0	0	11,250	0	0	0	273	07/30/2051	2.B FE
..45605P-AM-0	INDUSTRIAL DPR FUNDING LTD 2016-1A 3 5.235% 04/15/26	07/15/2025	Redemption 100.0000		81,428	81,428	81,428	81,428	0	0	0	0	0	81,428	0	0	0	3,197	04/15/2026	2.B FE
1119999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Other Financial Asset-Backed Securities - Self-Liquidating (Unaffiliated)					8,965,233	8,965,233	8,866,699	8,902,990	0	62,241	0	62,241	0	8,965,229	0	4	4	357,549	XXX	XXX
..09228Y-AB-8	BLACKBIRD CAPITAL AIRCRAFT 2016-1A A 4.213% 12/16/41	09/15/2025	Paydown		69,591	69,591	69,591	69,591	0	0	0	0	0	69,591	0	0	0	1,835	12/16/2041	1.E FE
..09228Y-AC-6	BLACKBIRD CAPITAL AIRCRAFT 2016-1A B 5.682% 12/16/41	09/15/2025	Paydown		29,564	29,564	29,563	29,564	0	0	0	0	0	29,564	0	0	0	1,015	12/16/2041	2.A FE
..12510H-AE-0	CAPITAL AUTOMOTIVE REIT 2020-1A A5 3.480% 02/15/50	09/15/2025	Paydown		1,875	1,875	1,874	1,875	0	0	0	0	0	1,875	0	0	0	43	02/15/2050	1.E FE
..12510H-AQ-3	CAPITAL AUTOMOTIVE REIT 2022-1A A2 3.700% 03/15/52	09/15/2025	Paydown		6,250	6,250	6,249	6,249	0	1	0	1	0	6,250	0	0	0	154	03/15/2052	1.E FE
..12566X-AF-4	CLI FUNDING LLC 2022-1A B1 3.120% 01/18/47	09/18/2025	Paydown		60,000	60,000	59,986	59,988	0	12	0	12	0	60,000	0	0	0	1,248	01/18/2047	2.B FE
..37959P-AC-1	GLOBAL SC FINANCE SRL 2020-2A A 2.260% 11/19/40	09/17/2025	Paydown		24,492	24,492	24,483	24,486	0	6	0	6	0	24,492	0	0	0	369	11/19/2040	1.F FE
..42806M-BC-2	HERTZ VEHICLE FINANCING LLC 2022-4A B 4.120% 09/25/26	09/25/2025	Paydown		1,000,000	1,000,000	999,866	999,944	0	56	0	56	0	1,000,000	0	0	0	27,467	09/25/2026	1.F FE
..44040J-AA-6	HORIZON AIRCRAFT FINANCE I LTD 2019-2 A 3.425% 11/15/39	09/15/2025	Paydown		64,701	64,701	64,700	64,698	0	3	0	3	0	64,701	0	0	0	1,493	11/15/2039	2.C FE
..45783N-AA-5	INSTAR LEASING I I I LLC 2021-1A A 2.300% 02/15/54	09/15/2025	Paydown		9,747	9,747	9,742	9,745	0	2	0	2	0	9,747	0	0	0	150	02/15/2054	1.F FE
..46651N-AA-2	JOL AIR 2019-1 A 3.967% 04/15/44	09/15/2025	Paydown		23,800	23,800	23,800	23,798	0	2	0	2	0	23,800	0	0	0	668	04/15/2044	1.F FE
..46651N-AA-2	JOL AIR 2019-1 A 3.967% 04/15/44	07/15/2025	Paydown		11,800	11,800	11,800	11,799	0	1	0	1	0	11,800	0	0	0	273	04/15/2044	1.G FE
..50543L-AA-0	LABRADOR AVIATION FINANCE LTD 2016-1A A1 4.300% 01/15/42	09/15/2025	Paydown		13,020	13,020	12,767	12,942	0	78	0	78	0	13,020	0	0	0	374	01/15/2042	3.A FE
..67181D-AM-3	OAK STREET INVESTMENT GRADE NE 2021-2A A4 3.240% 11/20/51	09/20/2025	Paydown		5,313	5,313	5,312	5,312	0	0	0	0	0	5,313	0	0	0	115	11/20/2051	2.A FE
..83438L-AA-9	SOLRR AIRCRAFT 2021-1 LIMITED 2021-1 A 2.636% 10/15/46	09/15/2025	Paydown		50,188	50,188	50,187	50,187	0	2	0	2	0	50,188	0	0	0	851	10/15/2046	1.E FE
..88315L-AE-8	TEXTAINER MARINE CONTAINERS 2020-1A A 2.730% 08/21/45	09/01/2025	Paydown		34,154	34,154	34,148	34,126	0	27	0	27	0	34,154	0	0	0	630	08/21/2045	1.F FE
..88315L-AR-9	TEXTAINER MARINE CONTAINERS 2021-2A B 2.820% 04/20/46	09/20/2025	Paydown		40,000	40,000	39,986	39,990	0	10	0	10	0	40,000	0	0	0	752	04/20/2046	2.B FE
..89656C-AA-1	TRINITY RAIL LEASING LP 2010-1A A 5.194% 10/16/40	09/16/2025	Paydown		26,959	26,959	26,959	26,959	0	0	0	0	0	26,959	0	0	0	947	10/16/2040	1.F FE
..94354K-AA-8	WAVE USA 2019-1 A 3.597% 09/15/44	09/15/2025	Paydown		71,718	71,718	71,715	71,715	0	3	0	3	0	71,718	0	0	0	1,651	09/15/2044	2.A FE
..97064F-AA-3	WILLIS ENGINE SECURITIZATION T 2020-A A 3.228% 03/15/45	09/15/2025	Paydown		21,403	21,403	21,403	21,403	0	1	0	1	0	21,403	0	0	0	461	03/15/2045	1.F FE
..97064G-AA-1	WILLIS ENGINE SECURITIZATION T 2021-A A 3.104% 05/15/46	09/15/2025	Paydown		19,434	19,434	19,433	19,433	0	1	0	1	0	19,434	0	0	0	402	05/15/2046	1.F FE
1719999999. Subtotal - Asset-Backed Securities - Non-Financial Asset-Backed Securities - Full Analysis - Lease-Backed Securities - Full Analysis (Unaffiliated)					1,584,009	1,584,009	1,583,564	1,583,804	0	205	0	205	0	1,584,009	0	0	0	40,898	XXX	XXX
1889999999. Total - Asset-Backed Securities (Unaffiliated)					36,456,868	36,457,154	35,706,637	35,801,410	1,283	202,535	0	203,818	0	36,443,554	0	13,315	13,315	1,291,596	XXX	XXX
1899999999. Total - Asset-Backed Securities (Affiliated)					0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
1909999997. Total - Asset-Backed Securities - Part 4					36,456,868	36,457,154	35,706,637	35,801,410	1,283	202,535	0	203,818	0	36,443,554	0	13,315	13,315	1,291,596	XXX	XXX
1909999998. Total - Asset-Backed Securities - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
1909999999. Total - Asset-Backed Securities					36,456,868	36,457,154	35,706,637	35,801,410	1,283	202,535	0	203,818	0	36,443,554	0	13,315	13,315	1,291,596	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	Change In Book/Adjusted Carrying Value					15	16	17	18	19	20	21
									10	11	12	13	14							
CUSIP Ident- ification	Description	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (10 + 11 - 12)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
2009999999. Total - Issuer Credit Obligations and Asset-Backed Securities					80,951,440	80,532,842	80,124,041	77,903,358	1,283	183,973	0	185,256	0	80,651,361	0	14,115	14,115	3,825,134	XXX	XXX
4509999997. Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997. Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999. Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999 - Totals					80,951,440	XXX	80,124,041	77,903,358	1,283	183,973	0	185,256	0	80,651,361	0	14,115	14,115	3,825,134	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	XXX	XXX		
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
S&P 500 10/15/2025 Strike @ 5815.26	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America	10/16/2024	10/15/2025	1,881	10,939,609	5815.26	954,629	0	0	1,661,830		1,661,830	782,969	0	0	0	0	0	0/0	
7846LS339				Merr																			
S&P 500 11/14/2025 Strike @ 5870.6	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	11/18/2024	11/14/2025	1,832	10,756,172	5870.6	934,427	0	0	1,574,032		1,574,032	729,708	0	0	0	0	0	0/0	
7846LS350				W22LR0WP21HZNB6K528																			
S&P 500 12/15/2025 Strike @ 6051.1	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	12/17/2024	12/15/2025	2,003	12,122,592	6051.1	1,027,729	0	0	1,446,678		1,446,678	705,395	0	0	0	0	0	0/0	
7846LS363				W22LR0WP21HZNB6K528																			
S&P 500 1/15/2026 Strike @ 5949.9	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	01/16/2025	01/15/2026	2,371	14,105,428	5949.9	0	1,175,867	0	2,030,866		2,030,866	854,999	0	0	0	0	0	0/0	
7846LS373				W22LR0WP21HZNB6K528																			
S&P 500 2/13/2026 Strike @ 6114.63	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	02/26/2025	02/13/2026	1,242	7,596,327	6114.63	0	484,210	0	930,491		930,491	446,281	0	0	0	0	0	0/0	
7846LS401				W22LR0WP21HZNB6K528																			
S&P 500 3/13/2026 Strike @ 5638.9	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America	03/18/2025	03/13/2026	1,627	9,174,716	5638.9	0	787,927	0	1,952,761		1,952,761	1,164,835	0	0	0	0	0	0/0	
7846LS420				Merr																			
S&P 500 4/15/2026 Strike @ 5396.6	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	04/16/2025	04/15/2026	1,920	10,360,015	5396.6	0	912,582	0	2,776,155		2,776,155	1,863,573	0	0	0	0	0	0/0	
7846LS468				W22LR0WP21HZNB6K528																			
S&P 500 5/15/2026 Strike @ 5916.93	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	05/16/2025	05/15/2026	1,499	8,867,525	5916.93	0	803,333	0	1,522,315		1,522,315	718,982	0	0	0	0	0	0/0	
7846LS527				W22LR0WP21HZNB6K528																			
S&P 500 6/15/2026 Strike @ 5976.97	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	06/17/2025	06/15/2026	1,630	9,739,592	5976.97	0	888,346	0	1,617,253		1,617,253	728,907	0	0	0	0	0	0/0	
7846LS548				W22LR0WP21HZNB6K528																			
S&P 500 7/15/2026 Strike @ 6243.76	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America	07/16/2025	07/15/2026	1,813	11,317,752	6243.76	0	938,753	0	1,469,254		1,469,254	530,501	0	0	0	0	0	0/0	
7846LS579				Merr																			
S&P 500 8/14/2026 Strike @ 6449.8	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	08/18/2025	08/14/2026	1,665	10,737,692	6449.8	0	880,056	0	1,140,549		1,140,549	260,493	0	0	0	0	0	0/0	
7846LS634				W22LR0WP21HZNB6K528																			
S&P 500 9/15/2026 Strike @ 6615.28	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America	09/16/2025	09/15/2026	1,695	11,211,444	6615.28	0	875,659	0	1,016,387		1,016,387	140,728	0	0	0	0	0	0/0	
7846LS660				Merr																			
0159999999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										2,916,785	7,746,733	0	19,138,572	XXX	19,138,572	8,927,371	0	0	0	0	XXX	XXX	
0219999999. Subtotal - Purchased Options - Hedging Other										2,916,785	7,746,733	0	19,138,572	XXX	19,138,572	8,927,371	0	0	0	0	XXX	XXX	
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0429999999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0439999999. Total Purchased Options - Call Options and Warrants										2,916,785	7,746,733	0	19,138,572	XXX	19,138,572	8,927,371	0	0	0	0	XXX	XXX	
0449999999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0499999999. Total Purchased Options										2,916,785	7,746,733	0	19,138,572	XXX	19,138,572	8,927,371	0	0	0	0	XXX	XXX	
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 10/15/2025 Strike @ 6300.2 7846SS339	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr	10/16/2024	10/15/2025	1,881	11,851,873	6300.2	(413,222)	0	0	(761,345)		(761,345)	(424,093)	0	0	0	0	0/0	
S&P 500 11/14/2025 Strike @ 6363.93 7846SS350	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	11/18/2024	11/14/2025	1,832	11,660,056	6363.93	(400,943)	0	0	(730,296)		(730,296)	(406,541)	0	0	0	0	0/0	
S&P 500 12/15/2025 Strike @ 6579.06 7846SS363	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	12/17/2024	12/15/2025	2,003	13,180,291	6579.06	(421,359)	0	0	(545,444)		(545,444)	(308,888)	0	0	0	0	0/0	
S&P 500 1/15/2026 Strike @ 6472.76 7846SS373	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	01/16/2025	01/15/2026	2,371	15,344,972	6472.76	0	(459,702)	0	(965,544)		(965,544)	(505,841)	0	0	0	0	0/0	
S&P 500 2/13/2026 Strike @ 6658.06 7846SS401	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	02/26/2025	02/13/2026	1,242	8,271,441	6658.06	0	(154,970)	0	(392,377)		(392,377)	(237,406)	0	0	0	0	0/0	
S&P 500 3/13/2026 Strike @ 6165.46 7846SS420	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr	03/18/2025	03/13/2026	1,627	10,031,450	6165.46	0	(325,677)	0	(1,200,059)		(1,200,059)	(874,382)	0	0	0	0	0/0	
S&P 500 4/15/2026 Strike @ 5862.19 7846SS468	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	04/16/2025	04/15/2026	1,920	11,253,822	5862.19	0	(460,754)	0	(1,978,473)		(1,978,473)	(1,517,718)	0	0	0	0	0/0	
S&P 500 5/15/2026 Strike @ 6464.35 7846SS527	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	05/16/2025	05/15/2026	1,499	9,687,927	6464.35	0	(333,545)	0	(861,481)		(861,481)	(527,936)	0	0	0	0	0/0	
S&P 500 6/15/2026 Strike @ 6523.51 7846SS548	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	06/17/2025	06/15/2026	1,630	10,630,190	6523.51	0	(375,830)	0	(919,059)		(919,059)	(543,228)	0	0	0	0	0/0	
S&P 500 7/15/2026 Strike @ 6797.59 7846SS579	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr	07/16/2025	07/15/2026	1,813	12,321,652	6797.59	0	(363,998)	0	(747,089)		(747,089)	(383,091)	0	0	0	0	0/0	
S&P 500 8/14/2026 Strike @ 7036.95 7846SS634	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs	08/18/2025	08/14/2026	1,665	11,715,185	7036.95	0	(336,164)	0	(510,602)		(510,602)	(174,438)	0	0	0	0	0/0	
S&P 500 9/15/2026 Strike @ 7203.25 7846SS660	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr	09/16/2025	09/15/2026	1,695	12,207,924	7203.25	0	(318,653)	0	(429,921)		(429,921)	(111,268)	0	0	0	0	0/0	
0649999999. Subtotal - Written Options - Hedging Other - Call Options and Warrants										(1,235,524)	(3,129,294)	0	(10,041,689)	XXX	(10,041,689)	(6,014,832)	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										(1,235,524)	(3,129,294)	0	(10,041,689)	XXX	(10,041,689)	(6,014,832)	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										(1,235,524)	(3,129,294)	0	(10,041,689)	XXX	(10,041,689)	(6,014,832)	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										(1,235,524)	(3,129,294)	0	(10,041,689)	XXX	(10,041,689)	(6,014,832)	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2025 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amorti-zation)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Refer-ence Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										1,681,261	4,617,439	0	9,096,883	XXX	9,096,883	2,912,539	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										1,681,261	4,617,439	0	9,096,883	XXX	9,096,883	2,912,539	0	0	0	0	XXX	XXX

(a)	Code	Description of Hedged Risk(s)
(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART B - SECTION 1

[illegible]

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
Total Net Cash Deposits			

(a)	Code	Description of Hedged Risk(s)

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART D - SECTION 1

[illegible]

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Restricted Asset Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
US Bank Cincinnati, OH		0.000	0	0	3,814,521	2,272,322	6,886,737	XXX
Key Bank Cincinnati, OH		0.000	0	0	16,804,639	17,545,494	12,544,138	XXX
Fifth Third Bank Cincinnati, OH		0.000	0	0	15,605,110	9,546,294	14,711,493	XXX
Federal Home Loan Bank Cincinnati, OH		0.000	0	0	100,500	100,500	1,072,123	XXX
0199998. Deposits in ... 2 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	2,201	706	887	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	36,326,971	29,465,316	35,215,378	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	36,326,971	29,465,316	35,215,378	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
.....								
.....								
.....								
.....								
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	0	0	36,326,971	29,465,316	35,215,378	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]