



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2025  
OF THE CONDITION AND AFFAIRS OF THE

### Utica National Insurance Company of Ohio

NAIC Group Code 0201 0201 NAIC Company Code 13998 Employer's ID Number 27-2764004  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 04/06/2010 Commenced Business 12/22/2010

Statutory Home Office 2 Easton Oval, Suite 225, Columbus, OH, US 43219  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 180 Genesee Street  
(Street and Number) New Hartford, NY, US 13413, 800-598-8422  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address Post Office Box 530, Utica, NY, US 135030530  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 180 Genesee Street  
(Street and Number) New Hartford, NY, US 13413, 800-598-8422  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.uticanational.com

Statutory Statement Contact Sean Patrick Walsh, 315-734-2745  
(Name) sean.walsh@uticanational.com, 315-235-4642  
(E-mail Address) (FAX Number)

#### OFFICERS

Chairman, President & CEO Kristen Holly Martin Secretary Adam McKernan Kelly #  
CFO & Treasurer Elizabeth Mary Miller

#### OTHER

#### DIRECTORS OR TRUSTEES

|                               |                             |                              |
|-------------------------------|-----------------------------|------------------------------|
| <u>John Martin Anderson</u>   | <u>Lydia Sophia Berez</u>   | <u>Paul Lewis Cohen</u>      |
| <u>William Kristofer King</u> | <u>Kristen Holly Martin</u> | <u>Elizabeth Mary Miller</u> |
| <u>Adam McKernan Kelly #</u>  |                             |                              |

State of New York SS: \_\_\_\_\_  
County of Oneida

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Holly Martin  
Chairman, President & CEO

Elizabeth Mary Miller  
CFO & Treasurer

Adam McKernan Kelly  
Secretary

Subscribed and sworn to before me this  
day of \_\_\_\_\_

a. Is this an original filing? ..... Yes [  ] No [  ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

## ASSETS

|   | Current Statement Date |                         |   | 4<br>December 31<br>Prior Year Net<br>Admitted Assets |
|---|------------------------|-------------------------|---|---|
|   | 1<br>Assets            | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) |   |
| 1. Bonds .....  | 35,633,117             |                         | 35,633,117                                | 34,991,144  |
| 2. Stocks:  |                        |                         |   |   |
| 2.1 Preferred stocks .....  |                        |                         |   |   |
| 2.2 Common stocks .....   |                        |                         |   |   |
| 3. Mortgage loans on real estate:   |                        |                         |   |   |
| 3.1 First liens .....   |                        |                         |   |   |
| 3.2 Other than first liens.....   |                        |                         |   |   |
| 4. Real estate:   |                        |                         |   |   |
| 4.1 Properties occupied by the company (less \$ encumbrances) .....   |                        |                         |   |   |
| 4.2 Properties held for the production of income (less \$ encumbrances) .....   |                        |                         |   |   |
| 4.3 Properties held for sale (less \$ encumbrances) .....   |                        |                         |   |   |
| 5. Cash (\$ 147,132 ), cash equivalents (\$ 730,306 ) and short-term investments (\$ ) .....  | 877,437                |                         | 877,437                                   | 990,414   |
| 6. Contract loans (including \$ premium notes) .....  |                        |                         |   |   |
| 7. Derivatives .....  |                        |                         |   |   |
| 8. Other invested assets .....  |                        |                         |   |   |
| 9. Receivables for securities .....   |                        |                         |   |   |
| 10. Securities lending reinvested collateral assets .....   |                        |                         |   |   |
| 11. Aggregate write-ins for invested assets .....   |                        |                         |   |   |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) .....   | 36,510,554             |                         | 36,510,554                                | 35,981,558  |
| 13. Title plants less \$ charged off (for Title insurers only) .....  |                        |                         |   |   |
| 14. Investment income due and accrued .....   | 236,232                |                         | 236,232                                   | 227,864   |
| 15. Premiums and considerations:  |                        |                         |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection  |                        |                         |   |   |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) ..... |                        |                         |   |   |
| 15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....  |                        |                         |   |   |
| 16. Reinsurance:  |                        |                         |   |   |
| 16.1 Amounts recoverable from reinsurers .....  |                        |                         |   |   |
| 16.2 Funds held by or deposited with reinsured companies .....  |                        |                         |   |   |
| 16.3 Other amounts receivable under reinsurance contracts .....   |                        |                         |   |   |
| 17. Amounts receivable relating to uninsured plans .....  |                        |                         |   |   |
| 18.1 Current federal and foreign income tax recoverable and interest thereon .....  | 107,123                |                         | 107,123                                   | 40,605  |
| 18.2 Net deferred tax asset .....   |                        |                         |   |   |
| 19. Guaranty funds receivable or on deposit .....   | 188                    |                         | 188                                       | 188   |
| 20. Electronic data processing equipment and software .....   |                        |                         |   |   |
| 21. Furniture and equipment, including health care delivery assets (\$ ) .....  |                        |                         |   |   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates .....  |                        |                         |   |   |
| 23. Receivables from parent, subsidiaries and affiliates .....  | 3,407,423              |                         | 3,407,423                                 | 2,703,015   |
| 24. Health care (\$ ) and other amounts receivable .....  |                        |                         |   |   |
| 25. Aggregate write-ins for other than invested assets .....  | 272,330                | 1,926                   | 270,404                                   | 152,532   |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....                            | 40,533,850             | 1,926                   | 40,531,924                                | 39,105,762  |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....   |                        |                         |   |   |
| 28. Total (Lines 26 and 27) .....   | 40,533,850             | 1,926                   | 40,531,924                                | 39,105,762  |
| <b>DETAILS OF WRITE-INS</b>   |                        |                         |   |   |
| 1101. .....   |                        |                         |   |   |
| 1102. .....   |                        |                         |   |   |
| 1103. .....   |                        |                         |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page .....   |                        |                         |   |   |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....   |                        |                         |   |   |
| 2501. Equities & Deposits in Pools & Associations .....   | 9,724                  |                         | 9,724                                     | 12,388  |
| 2502. Miscellaneous Accounts Receivable .....   | 260,679                |                         | 260,679                                   | 140,145   |
| 2503. Prepaid Expense .....   | 1,926                  | 1,926                   |   |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....   |                        |                         |   |   |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....   | 272,330                | 1,926                   | 270,404                                   | 152,532   |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

|  | 1<br>Current<br>Statement Date | 2<br>December 31,<br>Prior Year |
|--|--------------------------------|---------------------------------|
| 1. Losses (current accident year \$ ..... )  |                                |                                 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses   |                                |                                 |
| 3. Loss adjustment expenses  |                                |                                 |
| 4. Commissions payable, contingent commissions and other similar charges   | 4,716,200                      | 6,631,436                       |
| 5. Other expenses (excluding taxes, licenses and fees)   | 5,838                          | 10,147                          |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes)   | 233,037                        |                                 |
| 7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses))   | 1,539,931                      | 831,377                         |
| 7.2 Net deferred tax liability   | 44,564                         | 38,092                          |
| 8. Borrowed money \$ ..... and interest thereon \$ .....   |                                |                                 |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... 84,811,938 and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act) |                                |                                 |
| 10. Advance premium  |                                |                                 |
| 11. Dividends declared and unpaid:   |                                |                                 |
| 11.1 Stockholders  |                                |                                 |
| 11.2 Policyholders   |                                |                                 |
| 12. Ceded reinsurance premiums payable (net of ceding commissions)   |                                |                                 |
| 13. Funds held by company under reinsurance treaties   |                                |                                 |
| 14. Amounts withheld or retained by company for account of others  | 314,176                        | 361,672                         |
| 15. Remittances and items not allocated  |                                |                                 |
| 16. Provision for reinsurance (including \$ ..... certified)   |                                |                                 |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates  |                                |                                 |
| 18. Drafts outstanding   |                                |                                 |
| 19. Payable to parent, subsidiaries and affiliates   |                                |                                 |
| 20. Derivatives  |                                |                                 |
| 21. Payable for securities   | 5,239                          | 5,317                           |
| 22. Payable for securities lending   |                                |                                 |
| 23. Liability for amounts held under uninsured plans   |                                |                                 |
| 24. Capital notes \$ ..... and interest thereon \$ .....   |                                |                                 |
| 25. Aggregate write-ins for liabilities  |                                |                                 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)  | 6,858,986                      | 7,878,041                       |
| 27. Protected cell liabilities   |                                |                                 |
| 28. Total liabilities (Lines 26 and 27)  | 6,858,986                      | 7,878,041                       |
| 29. Aggregate write-ins for special surplus funds  |                                |                                 |
| 30. Common capital stock   | 4,000,000                      | 4,000,000                       |
| 31. Preferred capital stock  |                                |                                 |
| 32. Aggregate write-ins for other than special surplus funds   |                                |                                 |
| 33. Surplus notes  |                                |                                 |
| 34. Gross paid in and contributed surplus  | 6,229,204                      | 6,229,204                       |
| 35. Unassigned funds (surplus)   | 23,443,734                     | 20,998,517                      |
| 36. Less treasury stock, at cost:  |                                |                                 |
| 36.1 ..... shares common (value included in Line 30 \$ ..... )   |                                |                                 |
| 36.2 ..... shares preferred (value included in Line 31 \$ ..... )  |                                |                                 |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36)   | 33,672,938                     | 31,227,721                      |
| 38. Totals (Page 2, Line 28, Col. 3)   | 40,531,924                     | 39,105,762                      |
| <b>DETAILS OF WRITE-INS</b>  |                                |                                 |
| 2501.  |                                |                                 |
| 2502.  |                                |                                 |
| 2503.  |                                |                                 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page  |                                |                                 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)  |                                |                                 |
| 2901.  |                                |                                 |
| 2902.  |                                |                                 |
| 2903.  |                                |                                 |
| 2998. Summary of remaining write-ins for Line 29 from overflow page  |                                |                                 |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)  |                                |                                 |
| 3201.  |                                |                                 |
| 3202.  |                                |                                 |
| 3203.  |                                |                                 |
| 3298. Summary of remaining write-ins for Line 32 from overflow page  |                                |                                 |
| 3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)  |                                |                                 |

**STATEMENT OF INCOME**

|   | 1<br>Current<br>Year to Date | 2<br>Prior Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| <b>UNDERWRITING INCOME</b>  |                              |                            |                                      |
| 1. Premiums earned:   |                              |                            |                                      |
| 1.1 Direct (written \$ 123,543,122 )  | 106,096,719                  | 100,979,282                | 135,651,164                          |
| 1.2 Assumed (written \$ 110,447 )   | 135,113                      | 263,408                    | 290,520                              |
| 1.3 Ceded (written \$ 123,653,569 )   | 106,231,832                  | 101,242,690                | 135,941,684                          |
| 1.4 Net (written \$ )   |                              |                            |                                      |
| <b>DEDUCTIONS:</b>  |                              |                            |                                      |
| 2. Losses incurred (current accident year \$ ):   |                              |                            |                                      |
| 2.1 Direct  | 58,494,707                   | 44,161,785                 | 74,186,431                           |
| 2.2 Assumed   | 114,395                      | 178,776                    | 220,497                              |
| 2.3 Ceded   | 58,609,102                   | 44,340,561                 | 74,406,928                           |
| 2.4 Net   |                              |                            |                                      |
| 3. Loss adjustment expenses incurred  |                              |                            |                                      |
| 4. Other underwriting expenses incurred   | (2,498,646)                  | (2,404,010)                | (2,859,877)                          |
| 5. Aggregate write-ins for underwriting deductions  |                              |                            |                                      |
| 6. Total underwriting deductions (Lines 2 through 5)  | (2,498,646)                  | (2,404,010)                | (2,859,877)                          |
| 7. Net income of protected cells  |                              |                            |                                      |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)  | 2,498,646                    | 2,404,010                  | 2,859,877                            |
| <b>INVESTMENT INCOME</b>  |                              |                            |                                      |
| 9. Net investment income earned   | 1,111,423                    | 835,794                    | 1,163,372                            |
| 10. Net realized capital gains (losses) less capital gains tax of \$ (107,123)  | (426,958)                    | (108,151)                  | (160,459)                            |
| 11. Net investment gain (loss) (Lines 9 + 10)   | 684,465                      | 727,644                    | 1,002,913                            |
| <b>OTHER INCOME</b>   |                              |                            |                                      |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )  |                              |                            |                                      |
| 13. Finance and service charges not included in premiums  |                              |                            |                                      |
| 14. Aggregate write-ins for miscellaneous income  |                              | (447)                      | (447)                                |
| 15. Total other income (Lines 12 through 14)  |                              | (447)                      | (447)                                |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)     | 3,183,111                    | 3,131,207                  | 3,862,344                            |
| 17. Dividends to policyholders  |                              |                            |                                      |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 3,183,111                    | 3,131,207                  | 3,862,344                            |
| 19. Federal and foreign income taxes incurred   | 749,159                      | 672,541                    | 831,377                              |
| 20. Net income (Line 18 minus Line 19)(to Line 22)  | 2,433,952                    | 2,458,666                  | 3,030,967                            |
| <b>CAPITAL AND SURPLUS ACCOUNT</b>  |                              |                            |                                      |
| 21. Surplus as regards policyholders, December 31 prior year  | 31,227,721                   | 28,221,827                 | 28,221,827                           |
| 22. Net income (from Line 20)   | 2,433,952                    | 2,458,666                  | 3,030,967                            |
| 23. Net transfers (to) from Protected Cell accounts   |                              |                            |                                      |
| 24. Change in net unrealized capital gains (losses) less capital gains tax of \$  |                              |                            |                                      |
| 25. Change in net unrealized foreign exchange capital gain (loss)   |                              |                            |                                      |
| 26. Change in net deferred income tax   | (6,472)                      | (5,254)                    | (5,410)                              |
| 27. Change in nonadmitted assets  | 17,737                       |                            | (19,663)                             |
| 28. Change in provision for reinsurance   |                              |                            |                                      |
| 29. Change in surplus notes   |                              |                            |                                      |
| 30. Surplus (contributed to) withdrawn from protected cells   |                              |                            |                                      |
| 31. Cumulative effect of changes in accounting principles   |                              |                            |                                      |
| 32. Capital changes:  |                              |                            |                                      |
| 32.1 Paid in  |                              |                            |                                      |
| 32.2 Transferred from surplus (Stock Dividend)  |                              |                            |                                      |
| 32.3 Transferred to surplus   |                              |                            |                                      |
| 33. Surplus adjustments:  |                              |                            |                                      |
| 33.1 Paid in  |                              |                            |                                      |
| 33.2 Transferred to capital (Stock Dividend)  |                              |                            |                                      |
| 33.3 Transferred from capital   |                              |                            |                                      |
| 34. Net remittances from or (to) Home Office  |                              |                            |                                      |
| 35. Dividends to stockholders   |                              |                            |                                      |
| 36. Change in treasury stock  |                              |                            |                                      |
| 37. Aggregate write-ins for gains and losses in surplus   |                              |                            |                                      |
| 38. Change in surplus as regards policyholders (Lines 22 through 37)  | 2,445,217                    | 2,453,412                  | 3,005,894                            |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)   | 33,672,938                   | 30,675,239                 | 31,227,721                           |
| <b>DETAILS OF WRITE-INS</b>   |                              |                            |                                      |
| 0501.   |                              |                            |                                      |
| 0502.   |                              |                            |                                      |
| 0503.   |                              |                            |                                      |
| 0598. Summary of remaining write-ins for Line 5 from overflow page  |                              |                            |                                      |
| 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)  |                              |                            |                                      |
| 1401. Miscellaneous Income  |                              | (447)                      | (447)                                |
| 1402.   |                              |                            |                                      |
| 1403.   |                              |                            |                                      |
| 1498. Summary of remaining write-ins for Line 14 from overflow page   |                              |                            |                                      |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)   |                              | (447)                      | (447)                                |
| 3701.   |                              |                            |                                      |
| 3702.   |                              |                            |                                      |
| 3703.   |                              |                            |                                      |
| 3798. Summary of remaining write-ins for Line 37 from overflow page   |                              |                            |                                      |
| 3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)   |                              |                            |                                      |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**CASH FLOW**

|  | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| <b>Cash from Operations</b>  |                              |                            |                                      |
| 1. Premiums collected net of reinsurance .....   | (204,140)                    |                            |                                      |
| 2. Net investment income .....   | 1,083,498                    | 771,035                    | 1,066,527                            |
| 3. Miscellaneous income .....  |                              | (447)                      | (447)                                |
| 4. Total (Lines 1 to 3) .....  | 879,358                      | 770,588                    | 1,066,081                            |
| 5. Benefit and loss related payments .....   |                              |                            |                                      |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                             |                              |                            |                                      |
| 7. Commissions, expenses paid and aggregate write-ins for deductions .....   | (849,431)                    | (1,845,482)                | (4,583,221)                          |
| 8. Dividends paid to policyholders .....   |                              |                            |                                      |
| 9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....                 |                              |                            | 703,452                              |
| 10. Total (Lines 5 through 9) .....  | (849,431)                    | (1,845,482)                | (3,879,769)                          |
| 11. Net cash from operations (Line 4 minus Line 10) .....  | 1,728,789                    | 2,616,071                  | 4,945,850                            |
| <b>Cash from Investments</b>   |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:   |                              |                            |                                      |
| 12.1 Bonds .....   | 7,014,048                    | 3,751,813                  | 6,306,573                            |
| 12.2 Stocks .....  |                              |                            |                                      |
| 12.3 Mortgage loans .....  |                              |                            |                                      |
| 12.4 Real estate .....   |                              |                            |                                      |
| 12.5 Other invested assets .....   |                              |                            |                                      |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....                                    |                              |                            |                                      |
| 12.7 Miscellaneous proceeds .....  |                              |                            |                                      |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) .....  | 7,014,048                    | 3,751,813                  | 6,306,573                            |
| 13. Cost of investments acquired (long-term only):   |                              |                            |                                      |
| 13.1 Bonds .....   | 8,174,931                    | 6,929,523                  | 12,450,359                           |
| 13.2 Stocks .....  |                              |                            |                                      |
| 13.3 Mortgage loans .....  |                              |                            |                                      |
| 13.4 Real estate .....   |                              |                            |                                      |
| 13.5 Other invested assets .....   |                              |                            |                                      |
| 13.6 Miscellaneous applications .....  |                              |                            |                                      |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) .....   | 8,174,931                    | 6,929,523                  | 12,450,359                           |
| 14. Net increase/(decrease) in contract loans and premium notes .....  |                              |                            |                                      |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....  | (1,160,883)                  | (3,177,710)                | (6,143,786)                          |
| <b>Cash from Financing and Miscellaneous Sources</b>   |                              |                            |                                      |
| 16. Cash provided (applied):   |                              |                            |                                      |
| 16.1 Surplus notes, capital notes .....  |                              |                            |                                      |
| 16.2 Capital and paid in surplus, less treasury stock .....  |                              |                            |                                      |
| 16.3 Borrowed funds .....  |                              |                            |                                      |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities .....  |                              |                            |                                      |
| 16.5 Dividends to stockholders .....   |                              |                            |                                      |
| 16.6 Other cash provided (applied) .....   | (680,883)                    | 894,945                    | 1,854,605                            |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) ..... | (680,883)                    | 894,945                    | 1,854,605                            |
| <b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>   |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....                | (112,976)                    | 333,306                    | 656,669                              |
| 19. Cash, cash equivalents and short-term investments:   |                              |                            |                                      |
| 19.1 Beginning of year .....   | 990,414                      | 333,744                    | 333,744                              |
| 19.2 End of period (Line 18 plus Line 19.1) .....  | 877,437                      | 667,050                    | 990,414                              |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|--|--|--|--|

## NOTES TO FINANCIAL STATEMENTS

**NOTE 1 Summary of Significant Accounting Policies and Going Concern**
**A. Accounting Practices**

The financial statements of Utica National Insurance Company of Ohio are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Ohio Insurance Laws. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state of Ohio has adopted some practices that differ from NAIC SAP; however, none of those changes would impact the financial results of Utica National Insurance Company of Ohio.

A reconciliation of net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below.

|   | SSAP # | F/S<br>Page | F/S<br>Line # | 2025          | 2024          |
|---|--------|-------------|---------------|---------------|---------------|
| <b>NET INCOME</b>   |        |             |               |               |               |
| (1) State basis (Page 4, Line 20, Columns 1 & 3)                              | XXX    | XXX         | XXX           | \$ 2,433,952  | \$ 3,030,967  |
| (2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP: |        |             |               |               |               |
| (3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:  |        |             |               |               |               |
| (4) NAIC SAP (1-2-3=4)  | XXX    | XXX         | XXX           | \$ 2,433,952  | \$ 3,030,967  |
| <b>SURPLUS</b>  |        |             |               |               |               |
| (5) State basis (Page 3, Line 37, Columns 1 & 2)                              | XXX    | XXX         | XXX           | \$ 33,672,938 | \$ 31,227,721 |
| (6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP: |        |             |               |               |               |
| (7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:  |        |             |               |               |               |
| (8) NAIC SAP (5-6-7=8)  | XXX    | XXX         | XXX           | \$ 33,672,938 | \$ 31,227,721 |

**B. Use of Estimates in the Preparation of the Financial Statements**

No change

**C. Accounting Policy**

(1) No change

(2) Bonds not backed by loans are carried at amortized cost using the scientific yield to worst method. Bonds that are defined by the NAIC as non-investment grade (rated 3 through 6) are carried at the lower of amortized cost or fair market value.

(3-5) No change

(6) Loan-backed securities are stated at either amortized cost, or the lower of amortized cost or fair market value if defined by the NAIC as non-investment grade (rated 3 through 6). The prospective adjustment method is used to value all loan-backed securities.

(7-13) No change

**D. Going Concern**

Management's evaluation of the financial condition of the Company did not indicate any going concern issues.

**NOTE 2 Accounting Changes and Corrections of Errors**

No change

**NOTE 3 Business Combinations and Goodwill**

No change

**D. Subcomponents and Calculation of Adjusted Surplus and Total Admitted Goodwill - not applicable**
**NOTE 4 Discontinued Operations**

No change

**NOTE 5 Investments**
**A. Mortgage Loans, including Mezzanine Real Estate Loans - not applicable**
**B. Debt Restructuring - not applicable**
**C. Reverse Mortgages - not applicable**
**D. Asset-Backed Securities**

(1) EAGLE utilizes the constant-yield (effective interest) method based on projected cash flows to amortize premiums and accrete discounts on asset-backed securities (ABS) in accordance with Statutory Accounting Principles (SAP).

The company has elected to apply a Conditional Prepayment Rate (CPR) of 3% as the prepayment assumption for the calculation of amortization on ABS holdings.

(2) OTTI recognized 3rd Quarter - not applicable

(3) OTTI by CUSIP - not applicable

(4)

a) The aggregate amount of unrealized losses:

|                        |              |
|------------------------|--------------|
| 1. Less than 12 Months | \$ (7,391)   |
| 2. 12 Months or Longer | \$ (498,609) |

b) The aggregate related fair value of securities with unrealized losses:

|                        |              |
|------------------------|--------------|
| 1. Less than 12 Months | \$ 3,515,033 |
| 2. 12 Months or Longer | \$ 4,556,443 |

(5) There are a number of factors considered in determining if an other-than-temporary impairment does not exist for an investment, including but not limited to, debt burden, credit rating, sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

**E. Dollar Repurchase Agreements and/or Securities Lending Transactions - not applicable**

## NOTES TO FINANCIAL STATEMENTS

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- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - not applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - not applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale - not applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - not applicable
- J. Real Estate - not applicable
- K. Investments in Tax Credit Structures (tax credit investments)
  - (1) Not applicable
  - (2) Not applicable
  - (3) Not applicable
  - (4) Not applicable
  - (5) Not applicable
  - (6) Not applicable
  - (7) Not applicable
  - (8) Not applicable
  - (9) Not applicable
- L. Restricted Assets - no change
- M. Working Capital Finance Investments - not applicable
- N. Offsetting and Netting of Assets and Liabilities - not applicable
- O. 5GI Securities - not applicable
- P. Short Sales - not applicable
- Q. Prepayment Penalty and Acceleration Fees - not applicable
- R. Reporting Entity's Share of Cash Pool by Asset Type - not applicable
- S. Aggregate Collateral Loans by Qualifying Investment Collateral - not applicable

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**

No change

**NOTE 7 Investment Income**

- A. Not Applicable
- B. None
- C. The gross, nonadmitted and admitted amounts for interest income due and accrued.

|                | Amount     |
|----------------|------------|
| 1. Gross       | \$ 236,232 |
| 2. Nonadmitted | \$ -       |
| 3. Admitted    | \$ 236,232 |

- D. The aggregate deferred interest - none

- E. The cumulative amounts of paid-in-kind (PIK) interest included in the current principal balance - none

**NOTE 8 Derivative Instruments**

- A. Derivatives under SSAP No. 86—Derivatives
  - (9) Not applicable
- B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees - not applicable

**NOTE 9 Income Taxes**

No change

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No change

**NOTE 11 Debt**

- A. Not applicable
- B. FHLB (Federal Home Loan Bank) Agreements - not applicable

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No change

(4) Not applicable

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No change

**NOTE 14 Liabilities, Contingencies and Assessments**

No change

**NOTE 15 Leases**

No change

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No change

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales - not applicable
- B. Transfer and Servicing of Financial Assets - not applicable
- C. Wash Sales - not applicable

# NOTES TO FINANCIAL STATEMENTS

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**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No change

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No change

**NOTE 20 Fair Value Measurements**

A.

## (1) Fair Value Measurements at Reporting Date

| Description for each class of asset or liability         | (Level 1)  | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total      |
|--|------------|-----------|-----------|-----------------------|------------|
| a. Assets at fair value<br>Short Term - Cash Equivalents | \$ 729,836 |           |           |                       | \$ 729,836 |
| Total assets at fair value/NAV                           | \$ 729,836 | \$ -      | \$ -      | \$ -                  | \$ 729,836 |

Liabilities at fair value - not applicable.

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy - not applicable

(3) Not applicable

(4) The following are the levels of the hierarchy and a brief description of the type of valuation inputs that are used to establish each level:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that our pricing sources have the ability to access. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 – Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

(5) Fair Value Disclosures - not applicable.

B. Not applicable

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

| Type of Financial Instrument  | Aggregate Fair Value | Admitted Assets | (Level 1)  | (Level 2)     | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
|-------------------------------|----------------------|-----------------|------------|---------------|-----------|-----------------------|----------------------------------|
| Bonds                         | \$ 34,713,624        | \$ 35,633,117   |            | \$ 34,713,624 |           |                       |                                  |
| Short Term - Cash Equivalents | \$ 730,306           | \$ 730,306      | \$ 730,306 |               |           |                       |                                  |
| Total                         | \$ 34,786,930        | \$ 36,363,423   | \$ 730,306 | \$ 34,713,624 |           |                       |                                  |

D. Not Practicable to Estimate Fair Value - not applicable.

E. NAV Practical Expedient - not applicable

**NOTE 21 Other Items**

No change

**NOTE 22 Events Subsequent**

No change

**NOTE 23 Reinsurance**

No change

**NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination**

No change

F. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [ ] No [X]

**NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses**

The Company does not have any loss or loss adjustment expense.

**NOTE 26 Intercompany Pooling Arrangements**

No change

**NOTE 27 Structured Settlements**

No change

**NOTE 28 Health Care Receivables**

No change

**NOTE 29 Participating Policies**

No change

**NOTE 30 Premium Deficiency Reserves**

No change

**NOTE 31 High Deductibles**

No change

**NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No change

**NOTE 33 Asbestos/Environmental Reserves**

No change

## **NOTES TO FINANCIAL STATEMENTS**

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**NOTE 34 Subscriber Savings Accounts**

No change

**NOTE 35 Multiple Peril Crop Insurance**

No change

**NOTE 36 Financial Guaranty Insurance**

No change

B. Schedule of insured financial obligations at the end of the period - not applicable

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 \_\_\_\_\_

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---------------------|------------------------|------------------------|
|                     |                        |                        |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.  
 \_\_\_\_\_

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2024

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2019

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 06/30/2021

6.4 By what department or departments?  
 Ohio Department of Insurance ..... \_\_\_\_\_

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:  
 \_\_\_\_\_

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
 \_\_\_\_\_

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1<br>Affiliate Name | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|---------------------|-----------------------------|----------|----------|-----------|----------|
|                     |                             |          |          |           |          |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ X ] No [ ]  
10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$..... 3,407,423

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [ X ]  
11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....

13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [ X ]

14.2 If yes, please complete the following:

|   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ .....   | \$ .....  |
| 14.23 Common Stock .....  | \$ .....   | \$ .....  |
| 14.24 Short-Term Investments .....  | \$ .....   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....   | \$ .....  |
| 14.26 All Other .....   | \$ .....   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....   | \$ .....  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]  
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ ]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....  
16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....  
16.3 Total payable for securities lending reported on the liability page. .... \$ .....

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address              |
|---------------------------|-------------------------------------|
| Bank of New York .....    | One Wall Street, New York, NY ..... |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|--------------------|--------------------|---------------------|-------------|
|                    |                    |                     |             |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. This includes both primary and sub-advisors. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual         | 2<br>Affiliation |
|---|------------------|
| Wellington Management Company LLP ..... | U.....           |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration<br>Depository Number | 2<br>Name of Firm or Individual         | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With | 5<br>Investment<br>Management<br>Agreement<br>(IMA) Filed |
|--|---|------------------------------------|----------------------|---|
| 106595 .....                                   | Wellington Management Company LLP ..... | 549300YHP12TEZNLCX41 .....         | SEC .....            | NO.....   |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:  
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.  
 .....

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.  
 .....

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.  
 .....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

| 1<br>Line of Business | 2<br>Maximum<br>Interest | 3<br>Discount<br>Rate | TOTAL DISCOUNT        |                    |           | DISCOUNT TAKEN DURING PERIOD |                       |                    |            |
|-----------------------|--------------------------|-----------------------|-----------------------|--------------------|-----------|------------------------------|-----------------------|--------------------|------------|
|                       |                          |                       | 4<br>Unpaid<br>Losses | 5<br>Unpaid<br>LAE | 6<br>IBNR | 7<br>TOTAL                   | 8<br>Unpaid<br>Losses | 9<br>Unpaid<br>LAE | 10<br>IBNR |
| TOTAL                 |                          |                       |                       |                    |           |                              |                       |                    |            |

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$.....

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$.....

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

| States, etc.   | 1<br>Active<br>Status<br>(a) | Direct Premiums Written      |                            | Direct Losses Paid (Deducting Salvage) |                            | Direct Losses Unpaid         |                            |
|--|------------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
|  |                              | 2<br>Current Year<br>To Date | 3<br>Prior Year<br>To Date | 4<br>Current Year<br>To Date           | 5<br>Prior Year<br>To Date | 6<br>Current Year<br>To Date | 7<br>Prior Year<br>To Date |
| 1. Alabama .....   | AL                           | N.                           |                            |  |                            |                              |                            |
| 2. Alaska .....  | AK                           | N.                           |                            |  |                            |                              |                            |
| 3. Arizona .....   | AZ                           | N.                           |                            |  |                            |                              |                            |
| 4. Arkansas .....  | AR                           | N.                           |                            |  |                            |                              |                            |
| 5. California .....  | CA                           | N.                           |                            |  |                            |                              |                            |
| 6. Colorado .....  | CO                           | N.                           |                            |  |                            |                              |                            |
| 7. Connecticut .....   | CT                           | L                            | 149,799                    | 109,992                                | 5,287                      | 11,947                       | 178,784                    |
| 8. Delaware .....  | DE                           | L                            |                            |  |                            |                              |                            |
| 9. District of Columbia .....  | DC                           | N.                           |                            |  |                            |                              |                            |
| 10. Florida .....  | FL                           | N.                           |                            |  |                            |                              |                            |
| 11. Georgia .....  | GA                           | L                            | 6,080,206                  | 5,779,329                              | 3,503,205                  | 5,284,134                    | 7,628,502                  |
| 12. Hawaii .....   | HI                           | N.                           |                            |  |                            |                              |                            |
| 13. Idaho .....  | ID                           | N.                           |                            |  |                            |                              |                            |
| 14. Illinois .....   | IL                           | N.                           |                            |  |                            |                              |                            |
| 15. Indiana .....  | IN                           | N.                           |                            |  |                            |                              |                            |
| 16. Iowa .....   | IA                           | N.                           |                            |  |                            |                              |                            |
| 17. Kansas .....   | KS                           | N.                           |                            |  |                            |                              |                            |
| 18. Kentucky .....   | KY                           | N.                           |                            |  |                            |                              |                            |
| 19. Louisiana .....  | LA                           | N.                           |                            |  |                            |                              |                            |
| 20. Maine .....  | ME                           | N.                           |                            |  |                            |                              |                            |
| 21. Maryland .....   | MD                           | L                            |                            |  |                            |                              |                            |
| 22. Massachusetts .....  | MA                           | L                            | 133,458                    | 567,877                                | 345,628                    | 152,493                      | 578,282                    |
| 23. Michigan .....   | MI                           | N.                           |                            |  |                            |                              |                            |
| 24. Minnesota .....  | MN                           | N.                           |                            |  |                            |                              |                            |
| 25. Mississippi .....  | MS                           | N.                           |                            |  |                            |                              |                            |
| 26. Missouri .....   | MO                           | N.                           |                            |  |                            |                              |                            |
| 27. Montana .....  | MT                           | N.                           |                            |  |                            |                              |                            |
| 28. Nebraska .....   | NE                           | N.                           |                            |  |                            |                              |                            |
| 29. Nevada .....   | NV                           | N.                           |                            |  |                            |                              |                            |
| 30. New Hampshire .....  | NH                           | L                            | 243,759                    | 203,708                                | 76,380                     | 98,418                       | 221,504                    |
| 31. New Jersey .....   | NJ                           | L                            | 722,906                    | 464,232                                | 17,961                     | 20,465                       | 118,968                    |
| 32. New Mexico .....   | NM                           | N.                           |                            |  |                            |                              | 138,178                    |
| 33. New York .....   | NY                           | L                            | 107,660,282                | 103,848,128                            | 41,845,621                 | 29,258,478                   | 133,975,658                |
| 34. North Carolina .....   | NC                           | N.                           |                            |  |                            |                              | 107,853,460                |
| 35. North Dakota .....   | ND                           | N.                           |                            |  |                            |                              |                            |
| 36. Ohio .....   | OH                           | L                            | 154,537                    | 146,958                                | 42,500                     |                              | 373,404                    |
| 37. Oklahoma .....   | OK                           | N.                           |                            |  |                            |                              |                            |
| 38. Oregon .....   | OR                           | N.                           |                            |  |                            |                              |                            |
| 39. Pennsylvania .....   | PA                           | L                            | 7,720,530                  | 6,996,085                              | 4,222,973                  | 2,386,043                    | 9,799,122                  |
| 40. Rhode Island .....   | RI                           | N.                           |                            |  |                            |                              | 8,841,199                  |
| 41. South Carolina .....   | SC                           | L                            |                            |  |                            |                              |                            |
| 42. South Dakota .....   | SD                           | N.                           |                            |  |                            |                              |                            |
| 43. Tennessee .....  | TN                           | L                            | 677,646                    | 494,290                                | 81,973                     | .68,776                      | 245,427                    |
| 44. Texas .....  | TX                           | L                            |                            |  |                            |                              | .202,594                   |
| 45. Utah .....   | UT                           | N.                           |                            |  |                            |                              |                            |
| 46. Vermont .....  | VT                           | N.                           |                            |  |                            |                              |                            |
| 47. Virginia .....   | VA                           | L                            |                            |  |                            |                              |                            |
| 48. Washington .....   | WA                           | N.                           |                            |  |                            |                              |                            |
| 49. West Virginia .....  | WV                           | N.                           |                            |  |                            |                              |                            |
| 50. Wisconsin .....  | WI                           | N.                           |                            |  |                            |                              |                            |
| 51. Wyoming .....  | WY                           | N.                           |                            |  |                            |                              |                            |
| 52. American Samoa .....   | AS                           | N.                           |                            |  |                            |                              |                            |
| 53. Guam .....   | GU                           | N.                           |                            |  |                            |                              |                            |
| 54. Puerto Rico .....  | PR                           | N.                           |                            |  |                            |                              |                            |
| 55. U.S. Virgin Islands .....  | VI                           | N.                           |                            |  |                            |                              |                            |
| 56. Northern Mariana<br>Islands .....                                      | MP                           | N.                           |                            |  |                            |                              |                            |
| 57. Canada .....   | CAN                          | N.                           |                            |  |                            |                              |                            |
| 58. Aggregate Other Alien OT   | XXX                          |                              |                            |  |                            |                              |                            |
| 59. Totals   | XXX                          | 123,543,122                  | 118,610,599                | 50,141,528                             | 37,280,755                 | 153,119,650                  | 126,259,514                |
| DETAILS OF WRITE-INS   |                              |                              |                            |  |                            |                              |                            |
| 58001.   | XXX                          |                              |                            |  |                            |                              |                            |
| 58002.   | XXX                          |                              |                            |  |                            |                              |                            |
| 58003.   | XXX                          |                              |                            |  |                            |                              |                            |
| 58998. Summary of remaining<br>write-ins for Line 58 from<br>overflow page | XXX                          |                              |                            |  |                            |                              |                            |
| 58999. Totals (Lines 58001 through<br>58003 plus 58998)(Line 58<br>above)  | XXX                          |                              |                            |  |                            |                              |                            |

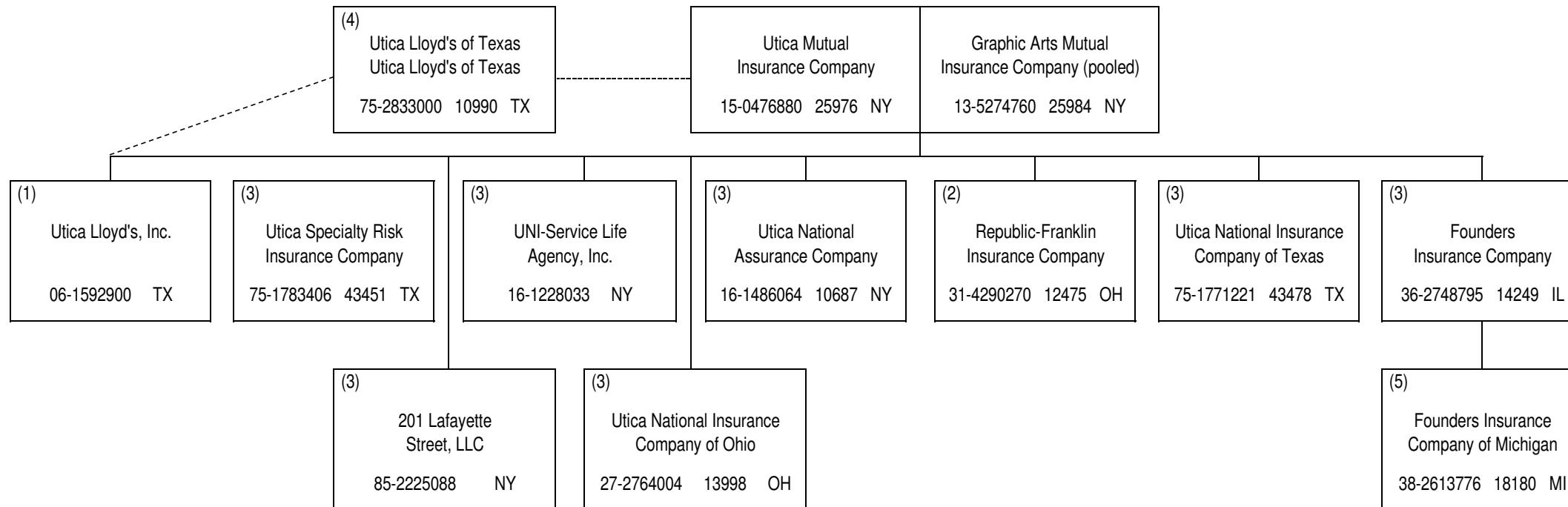
(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....  
 2. R - Registered - Non-domiciled RRGs.....  
 3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state  
 (other than their state of domicile - see DSLI).....  
 4. Q - Qualified - Qualified or accredited reinsurer.....  
 5. D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities  
 authorized to write surplus lines in the state of domicile.....  
 6. N - None of the above - Not allowed to write business in the state... 42

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 - ORGANIZATIONAL CHART**

**UTICA NATIONAL INSURANCE GROUP ORGANIZATION STRUCTURE SEPTEMBER 30TH, 2025**



1. Owned 100% by Utica Mutual Insurance Company; operates as attorney-in-fact for Utica Lloyd's of Texas.
2. Owned 94% by Utica Mutual Insurance Company and 6% by Graphic Arts Mutual Insurance Company.
3. Owned 100% by Utica Mutual Insurance Company.
4. A Texas Lloyd's association of twelve underwriters under sponsorship of the Utica Mutual Insurance Company.
5. Owned 100% by Founders Insurance Company.
6. Shares common management with the group.

(6)  
Utica National Group Foundation, Inc.  
16-1313450 NY

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE Y

## PART 1A - DETAILS OF INSURANCE HOLDING COMPANY SYSTEM

| Asterisk | Explanation  |
|----------|--|
| 1 .....  | Owned 6% by Graphic Arts Mutual Insurance Company .....  |
| 2 .....  | A Texas Lloyd's association of twelve underwriters under the sponsorship of the Utica Mutual Insurance Company ..... |
| 3 .....  | Shares common management with the group .....  |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**PART 1 - LOSS EXPERIENCE**

| Line of Business  | Current Year to Date           |                                |                                | 4<br>Prior Year to Date<br>Direct Loss<br>Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
|   | 1<br>Direct Premiums<br>Earned | 2<br>Direct Losses<br>Incurred | 3<br>Direct Loss<br>Percentage |  |
| 1. Fire .....   | 9,893                          | 2,303                          | 23.3                           | 0.4  |
| 2.1 Allied Lines .....  | 18,067                         |                                |                                |  |
| 2.2 Multiple peril crop .....   |                                |                                |                                |  |
| 2.3 Federal flood .....   |                                |                                |                                |  |
| 2.4 Private crop .....  |                                |                                |                                |  |
| 2.5 Private flood .....   | 892                            |                                |                                |  |
| 3. Farmowners multiple peril .....  |                                |                                |                                |  |
| 4. Homeowners multiple peril .....  |                                |                                |                                |  |
| 5.1 Commercial multiple peril (non-liability portion) .....               | 25,075,243                     | 14,795,256                     | 59.0                           | 33.0   |
| 5.2 Commercial multiple peril (liability portion) .....                   | 33,203,744                     | 18,917,698                     | 57.0                           | 31.2   |
| 6. Mortgage guaranty .....  |                                |                                |                                |  |
| 8. Ocean marine .....   |                                |                                |                                |  |
| 9.1 Inland marine .....   |                                | 642                            |                                |  |
| 9.2 Pet insurance .....   |                                |                                |                                |  |
| 10. Financial guaranty .....  |                                |                                |                                |  |
| 11.1 Medical professional liability - occurrence .....                    |                                |                                |                                |  |
| 11.2 Medical professional liability - claims-made .....                   |                                |                                |                                |  |
| 12. Earthquake .....  | 102                            |                                |                                |  |
| 13.1 Comprehensive (hospital and medical) individual .....                |                                |                                |                                |  |
| 13.2 Comprehensive (hospital and medical) group .....                     |                                |                                |                                |  |
| 14. Credit accident and health .....                                      |                                |                                |                                |  |
| 15.1 Vision only .....  |                                |                                |                                |  |
| 15.2 Dental only .....  |                                |                                |                                |  |
| 15.3 Disability income .....  |                                |                                |                                |  |
| 15.4 Medicare supplement .....  |                                |                                |                                |  |
| 15.5 Medicaid Title XIX .....   |                                |                                |                                |  |
| 15.6 Medicare Title XVIII .....   |                                |                                |                                |  |
| 15.7 Long-term care .....   |                                |                                |                                |  |
| 15.8 Federal employees health benefits plan .....                         |                                |                                |                                |  |
| 15.9 Other health .....   |                                |                                |                                |  |
| 16. Workers' compensation .....   | 13,039,677                     | 4,145,440                      | 31.8                           | 56.4   |
| 17.1 Other liability - occurrence .....                                   | 10,057,097                     | (3,428,138)                    | (34.1)                         | 12.0   |
| 17.2 Other liability - claims-made .....                                  | 716,190                        | 240,838                        | 33.6                           | 78.7   |
| 17.3 Excess workers' compensation .....                                   |                                |                                |                                |  |
| 18.1 Products liability - occurrence .....                                | 7,393                          | 250                            | 3.4                            |  |
| 18.2 Products liability - claims-made .....                               |                                |                                |                                |  |
| 19.1 Private passenger auto no-fault (personal injury protection) .....   |                                |                                |                                |  |
| 19.2 Other private passenger auto liability .....                         |                                |                                |                                |  |
| 19.3 Commercial auto no-fault (personal injury protection) .....          | 883,001                        | 227,763                        | 25.8                           | (6.6)  |
| 19.4 Other commercial auto liability .....                                | 18,421,609                     | 21,730,803                     | 118.0                          | 93.0   |
| 21.1 Private passenger auto physical damage .....                         |                                |                                |                                |  |
| 21.2 Commercial auto physical damage .....                                | 4,663,811                      | 1,861,853                      | 39.9                           | 44.8   |
| 22. Aircraft (all perils) .....   |                                |                                |                                |  |
| 23. Fidelity .....  |                                |                                |                                |  |
| 24. Surety .....  |                                |                                |                                |  |
| 26. Burglary and theft .....  |                                | 0                              |                                |  |
| 27. Boiler and machinery .....  |                                |                                |                                |  |
| 28. Credit .....  |                                |                                |                                |  |
| 29. International .....   |                                |                                |                                |  |
| 30. Warranty .....  |                                |                                |                                |  |
| 31. Reinsurance - Nonproportional Assumed Property .....                  | XXX                            | XXX                            | XXX                            | XXX  |
| 32. Reinsurance - Nonproportional Assumed Liability .....                 | XXX                            | XXX                            | XXX                            | XXX  |
| 33. Reinsurance - Nonproportional Assumed Financial Lines .....           | XXX                            | XXX                            | XXX                            | XXX  |
| 34. Aggregate write-ins for other lines of business .....                 |                                |                                |                                |  |
| 35. Totals .....  | 106,096,719                    | 58,494,707                     | 55.1                           | 43.7   |
| <b>DETAILS OF WRITE-INS</b>   |                                |                                |                                |  |
| 3401. ....  |                                |                                |                                |  |
| 3402. ....  |                                |                                |                                |  |
| 3403. ....  |                                |                                |                                |  |
| 3498. Summary of remaining write-ins for Line 34 from overflow page ..... |                                |                                |                                |  |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)           |                                |                                |                                |  |

**PART 2 - DIRECT PREMIUMS WRITTEN**

| Line of Business  | 1<br>Current Quarter | 2<br>Current<br>Year to Date | 3<br>Prior Year<br>Year to Date |
|---|----------------------|------------------------------|---------------------------------|
| 1. Fire .....   | 16,418               | 17,183                       | 11,270                          |
| 2.1 Allied Lines .....  | 63,777               | 65,340                       | 3,198                           |
| 2.2 Multiple peril crop .....   |                      |                              |                                 |
| 2.3 Federal flood .....   |                      |                              |                                 |
| 2.4 Private crop .....  |                      |                              |                                 |
| 2.5 Private flood .....   | 3,540                | 3,540                        |                                 |
| 3. Farmowners multiple peril .....  |                      |                              |                                 |
| 4. Homeowners multiple peril .....  |                      |                              |                                 |
| 5.1 Commercial multiple peril (non-liability portion) .....               | 24,937,726           | 32,205,377                   | 30,586,051                      |
| 5.2 Commercial multiple peril (liability portion) .....                   | 21,028,118           | 39,363,707                   | 36,191,551                      |
| 6. Mortgage guaranty .....  |                      |                              |                                 |
| 8. Ocean marine .....   |                      |                              |                                 |
| 9.1 Inland marine .....   |                      |                              |                                 |
| 9.2 Pet insurance .....   |                      |                              |                                 |
| 10. Financial guaranty .....  |                      |                              |                                 |
| 11.1 Medical professional liability - occurrence .....                    |                      |                              |                                 |
| 11.2 Medical professional liability - claims-made .....                   |                      |                              |                                 |
| 12. Earthquake .....  | 405                  | 405                          |                                 |
| 13.1 Comprehensive (hospital and medical) individual .....                |                      |                              |                                 |
| 13.2 Comprehensive (hospital and medical) group .....                     |                      |                              |                                 |
| 14. Credit accident and health .....                                      |                      |                              |                                 |
| 15.1 Vision only .....  |                      |                              |                                 |
| 15.2 Dental only .....  |                      |                              |                                 |
| 15.3 Disability income .....  |                      |                              |                                 |
| 15.4 Medicare supplement .....  |                      |                              |                                 |
| 15.5 Medicaid Title XIX .....   |                      |                              |                                 |
| 15.6 Medicare Title XVIII .....   |                      |                              |                                 |
| 15.7 Long-term care .....   |                      |                              |                                 |
| 15.8 Federal employees health benefits plan .....                         |                      |                              |                                 |
| 15.9 Other health .....   |                      |                              |                                 |
| 16. Workers' compensation .....   | 4,299,827            | 14,364,490                   | 13,937,099                      |
| 17.1 Other liability - occurrence .....                                   | 5,763,940            | 11,340,899                   | 10,830,916                      |
| 17.2 Other liability - claims-made .....                                  | 93,744               | 811,346                      | 191,445                         |
| 17.3 Excess workers' compensation .....                                   |                      |                              |                                 |
| 18.1 Products liability - occurrence .....                                |                      | 1,461                        |                                 |
| 18.2 Products liability - claims-made .....                               |                      |                              |                                 |
| 19.1 Private passenger auto no-fault (personal injury protection) .....   |                      |                              |                                 |
| 19.2 Other private passenger auto liability .....                         |                      |                              |                                 |
| 19.3 Commercial auto no-fault (personal injury protection) .....          | 507,444              | 929,026                      | 974,425                         |
| 19.4 Other commercial auto liability .....                                | 10,288,561           | 19,225,544                   | 20,561,354                      |
| 21.1 Private passenger auto physical damage .....                         |                      |                              |                                 |
| 21.2 Commercial auto physical damage .....                                | 3,278,788            | 5,214,804                    | 5,323,289                       |
| 22. Aircraft (all perils) .....   |                      |                              |                                 |
| 23. Fidelity .....  |                      |                              |                                 |
| 24. Surety .....  |                      |                              |                                 |
| 26. Burglary and theft .....  |                      |                              |                                 |
| 27. Boiler and machinery .....  |                      |                              |                                 |
| 28. Credit .....  |                      |                              |                                 |
| 29. International .....   |                      |                              |                                 |
| 30. Warranty .....  |                      |                              |                                 |
| 31. Reinsurance - Nonproportional Assumed Property .....                  | XXX                  | XXX                          | XXX                             |
| 32. Reinsurance - Nonproportional Assumed Liability .....                 | XXX                  | XXX                          | XXX                             |
| 33. Reinsurance - Nonproportional Assumed Financial Lines .....           | XXX                  | XXX                          | XXX                             |
| 34. Aggregate write-ins for other lines of business .....                 |                      |                              |                                 |
| 35. Totals .....  | 70,282,288           | 123,543,122                  | 118,610,599                     |
| <b>DETAILS OF WRITE-INS</b>   |                      |                              |                                 |
| 3401. ....  |                      |                              |                                 |
| 3402. ....  |                      |                              |                                 |
| 3403. ....  |                      |                              |                                 |
| 3498. Summary of remaining write-ins for Line 34 from overflow page ..... |                      |                              |                                 |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)           |                      |                              |                                 |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

### **PART 3 (\$000 OMITTED)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|  | Response |
|--|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?                         | NO       |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?                         | NO       |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?                | NO       |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | YES      |

### AUGUST FILING

|   |     |
|---|-----|
| 5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. | N/A |
|---|-----|

#### Explanations:

- 1.
- 2.
- 3.

#### Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



2. Supplement A to Schedule T [Document Identifier 455]

3. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                           |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition .....   |                   |                                      |
| 2.2 Additional investment made after acquisition .....                                     |                   |                                      |
| 3. Current year change in encumbrances .....   |                   |                                      |
| 4. Total gain (loss) on disposals .....  |                   |                                      |
| 5. Deduct amounts received on disposals .....  |                   |                                      |
| 6. Total foreign exchange change in book/adjusted carrying value .....                     |                   |                                      |
| 7. Deduct current year's other than temporary impairment recognized .....                  |                   |                                      |
| 8. Deduct current year's depreciation .....  |                   |                                      |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) ..... |                   |                                      |
| 10. Deduct total nonadmitted amounts .....   |                   |                                      |
| 11. Statement value at end of current period (Line 9 minus Line 10) .....                  |                   |                                      |

**SCHEDULE B - VERIFICATION**

Mortgage Loans

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....                             |                   |                                      |
| 2. Cost of acquired:  |                   |                                      |
| 2.1 Actual cost at time of acquisition .....  |                   |                                      |
| 2.2 Additional investment made after acquisition .....  |                   |                                      |
| 3. Capitalized deferred interest and other .....  |                   |                                      |
| 4. Accrual of discount .....  |                   |                                      |
| 5. Unrealized valuation increase/(decrease) .....   |                   |                                      |
| 6. Total gain (loss) on disposals .....   |                   |                                      |
| 7. Deduct amounts received on disposals .....   |                   |                                      |
| 8. Deduct amortization of premium and mortgage interest paid and committed fees .....                                     |                   |                                      |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....                       |                   |                                      |
| 10. Deduct current year's other than temporary impairment recognized .....  |                   |                                      |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |                   |                                      |
| 12. Total valuation allowance .....   |                   |                                      |
| 13. Subtotal (Line 11 plus Line 12) .....   |                   |                                      |
| 14. Deduct total nonadmitted amounts .....  |                   |                                      |
| 15. Statement value at end of current period (Line 13 minus Line 14) .....  |                   |                                      |

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|  | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                             |                   |                                      |
| 2. Cost of acquired:   |                   |                                      |
| 2.1 Actual cost at time of acquisition .....   |                   |                                      |
| 2.2 Additional investment made after acquisition .....                                       |                   |                                      |
| 3. Capitalized deferred interest and other .....   |                   |                                      |
| 4. Accrual of discount .....   |                   |                                      |
| 5. Unrealized valuation increase/(decrease) .....  |                   |                                      |
| 6. Total gain (loss) on disposals .....  |                   |                                      |
| 7. Deduct amounts received on disposals .....  |                   |                                      |
| 8. Deduct amortization of premium, depreciation and proportional amortization .....          |                   |                                      |
| 9. Total foreign exchange change in book/adjusted carrying value .....                       |                   |                                      |
| 10. Deduct current year's other than temporary impairment recognized .....                   |                   |                                      |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |                   |                                      |
| 12. Deduct total nonadmitted amounts .....   |                   |                                      |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                   |                   |                                      |

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

|   | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....                      | 34,991,150        | 29,015,803                           |
| 2. Cost of bonds and stocks acquired .....  | 8,174,853         | 12,450,359                           |
| 3. Accrual of discount .....  | 64,931            | 89,857                               |
| 4. Unrealized valuation increase/(decrease) .....   | (534,081)         | (201,064)                            |
| 5. Total gain (loss) on disposals .....   | 7,014,048         | 6,301,256                            |
| 6. Deduct consideration for bonds and stocks disposed of .....  | 49,682            | 62,549                               |
| 7. Deduct amortization of premium .....   |                   |                                      |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                    |                   |                                      |
| 9. Deduct current year's other than temporary impairment recognized .....                                 |                   |                                      |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees ..... |                   |                                      |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....              | 35,633,123        | 34,991,150                           |
| 12. Deduct total nonadmitted amounts .....  |                   |                                      |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                                | 35,633,123        | 34,991,150                           |

## STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation                           | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|--|---|--|--|--|---|--|---|---|
| ISSUER CREDIT OBLIGATIONS (ICO)            |   |  |  |  |   |  |   |   |
| 1. NAIC 1 (a) .....                        | 12,511,407  |  | 100,000  | 170,344  | 11,914,890  | 12,511,407   | 12,581,751  | 11,359,559  |
| 2. NAIC 2 (a) .....                        | 3,449,205   |  | 100,000  | (164,894)  | 4,010,700   | 3,449,205  | 3,184,311   | 4,151,805   |
| 3. NAIC 3 (a) .....                        |   |  |  |  |   |  |   |   |
| 4. NAIC 4 (a) .....                        |   |  |  |  |   |  |   |   |
| 5. NAIC 5 (a) .....                        |   |  |  |  |   |  |   |   |
| 6. NAIC 6 (a) .....                        |   |  |  |  |   |  |   |   |
| 7. Total ICO .....                         | 15,960,612  |  | 200,000  | 5,450  | 15,925,590  | 15,960,612   | 15,766,062  | 15,511,364  |
| ASSET-BACKED SECURITIES (ABS)              |   |  |  |  |   |  |   |   |
| 8. NAIC 1 .....                            | 16,193,260  | 3,959,791                                      | 284,656  | (1,340)  | 22,072,088  | 16,193,260   | 19,867,055  | 19,479,780  |
| 9. NAIC 2 .....                            |   |  |  |  |   |  |   |   |
| 10. NAIC 3 .....                           |   |  |  |  |   |  |   |   |
| 11. NAIC 4 .....                           |   |  |  |  |   |  |   |   |
| 12. NAIC 5 .....                           |   |  |  |  |   |  |   |   |
| 13. NAIC 6 .....                           |   |  |  |  |   |  |   |   |
| 14. Total ABS .....                        | 16,193,260  | 3,959,791                                      | 284,656  | (1,340)  | 22,072,088  | 16,193,260   | 19,867,055  | 19,479,780  |
| PREFERRED STOCK                            |   |  |  |  |   |  |   |   |
| 15. NAIC 1 .....                           |   |  |  |  |   |  |   |   |
| 16. NAIC 2 .....                           |   |  |  |  |   |  |   |   |
| 17. NAIC 3 .....                           |   |  |  |  |   |  |   |   |
| 18. NAIC 4 .....                           |   |  |  |  |   |  |   |   |
| 19. NAIC 5 .....                           |   |  |  |  |   |  |   |   |
| 20. NAIC 6 .....                           |   |  |  |  |   |  |   |   |
| 21. Total Preferred Stock .....            |   |  |  |  |   |  |   |   |
| 22. Total ICO, ABS & Preferred Stock ..... | 32,153,872  | 3,959,791                                      | 484,656  | 4,110  | 37,997,678  | 32,153,872   | 35,633,117  | 34,991,144  |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SI02

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

|   | 1<br>Year To Date | 2<br>Prior Year Ended<br>December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                          | 644,142           | 326,488                              |
| 2. Cost of cash equivalents acquired .....  | 21,037,545        | 13,956,864                           |
| 3. Accrual of discount .....  |                   |                                      |
| 4. Unrealized valuation increase/(decrease) .....   |                   |                                      |
| 5. Total gain (loss) on disposals .....   |                   |                                      |
| 6. Deduct consideration received on disposals .....                                       | 20,951,381        | 13,639,210                           |
| 7. Deduct amortization of premium .....   |                   |                                      |
| 8. Total foreign exchange change in book/adjusted carrying value .....                    |                   |                                      |
| 9. Deduct current year's other than temporary impairment recognized .....                 |                   |                                      |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) ..... | 730,306           | 644,142                              |
| 11. Deduct total nonadmitted amounts .....  |                   |                                      |
| 12. Statement value at end of current period (Line 10 minus Line 11)                      | 730,306           | 644,142                              |

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1<br>CUSIP<br>Identification  | 2<br>Description      | 3<br>Date<br>Acquired      | 4<br>Name of Vendor | 5<br>Number of<br>Shares of<br>Stock | 6<br>Actual Cost | 7<br>Par Value | 8<br>Paid for Accrued<br>Interest and<br>Dividends | 9<br>NAIC<br>Designation,<br>NAIC<br>Designation<br>Modifier<br>and<br>SVO<br>Adminis-<br>trative<br>Symbol |
|---|-----------------------|----------------------------|---------------------|--------------------------------------|------------------|----------------|--|---|
| 0489999999. Total - Issuer Credit Obligations (Unaffiliated)  |                       |                            |                     |                                      |                  |                |  | XXX   |
| 0499999999. Total - Issuer Credit Obligations (Affiliated)  |                       |                            |                     |                                      |                  |                |  | XXX   |
| 0509999997. Total - Issuer Credit Obligations - Part 3  |                       |                            |                     |                                      |                  |                |  | XXX   |
| 0509999998. Total - Issuer Credit Obligations - Part 5  |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 0509999999. Total - Issuer Credit Obligations   |                       |                            |                     |                                      |                  |                |  | XXX   |
| 38383V-5N-4 ..... GOVERNMENT NATIONAL MORTGAGE ASSOCIATION .....  | .....09/23/2025 ..... | MORGAN STANLEY & CO .....  |                     | 1,109,625                            | 1,200,000        | 1,200,000      | 3,333  | 1.A .....   |
| 1019999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Guaranteed (Exempt from RBC)                   |                       |                            |                     | 1,109,625                            | 1,200,000        | 1,200,000      | 3,333  | XXX   |
| 3140XL-E3-8 ..... FANNIE MAE POOL .....   | .....09/04/2025 ..... | G.X. CLARKE AND CO. .....  |                     | 872,061                              | 862,693          | 862,693        | 527  | 1.A .....   |
| 3132DW-KV-4 ..... FREDDIE MAC POOL .....  | .....09/04/2025 ..... | DAIWA CAP MKTS AMERI ..... |                     | 872,542                              | 864,873          | 864,873        | 529  | 1.A .....   |
| 3136B7-ZB-0 ..... FANNIE MAE REMICs .....   | .....09/23/2025 ..... | MORGAN STANLEY & CO .....  |                     | 1,105,563                            | 1,400,000        | 1,400,000      | 2,431  | 1.A .....   |
| 1039999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Not/Partially Guaranteed (Not Exempt from RBC) |                       |                            |                     | 2,850,166                            | 3,127,566        | 3,127,566      | 3,487  | XXX   |
| 1889999999. Total - Asset-Backed Securities (Unaffiliated)  |                       |                            |                     | 3,959,791                            | 4,327,566        | 4,327,566      | 6,820  | XXX   |
| 1899999999. Total - Asset-Backed Securities (Affiliated)  |                       |                            |                     |                                      |                  |                |  | XXX   |
| 1909999997. Total - Asset-Backed Securities - Part 3  |                       |                            |                     | 3,959,791                            | 4,327,566        | 4,327,566      | 6,820  | XXX   |
| 1909999998. Total - Asset-Backed Securities - Part 5  |                       |                            |                     | XXX                                  | XXX              | XXX            | XXX  | XXX   |
| 1909999999. Total - Asset-Backed Securities   |                       |                            |                     | 3,959,791                            | 4,327,566        | 4,327,566      | 6,820  | XXX   |
| 2009999999. Total - Issuer Credit Obligations and Asset-Backed Securities   |                       |                            |                     | 3,959,791                            | 4,327,566        | 4,327,566      | 6,820  | XXX   |
| 4509999997. Total - Preferred Stocks - Part 3   |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 4509999998. Total - Preferred Stocks - Part 5   |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 4509999999. Total - Preferred Stocks  |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 5989999997. Total - Common Stocks - Part 3  |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 5989999998. Total - Common Stocks - Part 5  |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 5989999999. Total - Common Stocks   |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 5999999999. Total - Preferred and Common Stocks   |                       |                            |                     |                                      | XXX              | XXX            | XXX  | XXX   |
| 6009999999 - Totals   |                       |                            |                     | 3,959,791                            | XXX              | XXX            | 6,820  | XXX   |

## STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1<br>CUSIP<br>Ident-<br>ification   | 2<br>Description                          | 3<br>Disposal<br>Date | 4<br>Name<br>of Purchaser | 5<br>Number of<br>Shares of<br>Stock | 6<br>Consid-<br>eration | 7<br>Par Value | 8<br>Actual<br>Cost | 9<br>Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Change In Book/Adjusted Carrying Value                   |  |   |   |   | 15<br>Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total Gain<br>(Loss) on<br>Disposal | 19<br>Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | 20<br>Stated<br>Con-<br>tractual<br>Maturity<br>Date | 21<br>NAIC<br>Design-<br>nation,<br>NAIC<br>Design-<br>nation<br>Modifer<br>and<br>SVO<br>Adminis-<br>trative<br>Symbol |     |
|---|---|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|---|--|--|---|---|---|---|--|---|---|---|--|---|-----|
|   |   |                       |                           |                                      |                         |                |                     |   | 10<br>Unrealized<br>Valuation<br>Increase/(<br>Decrease) | 11<br>Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | 12<br>Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recogn-<br>ized | 13<br>Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(10 + 11 -<br>12) | 14<br>Total<br>Foreign<br>Exchange<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value |   |  |   |   |   |  |   |     |
| ..103730-AB-6   | BP CAPITAL MARKETS AMERICA INC .....      | 09/21/2025            | MATURITY .....            | 100,000                              | 100,000                 | 104,403        | 100,432             | (432)   |  |  | (432)   | (432)   |   | 100,000   |  |   |   | 3,796   | 09/21/2025   | 1.E FE .....  |     |
| ..6539K-B8-8  | NEXTERA ENERGY CAPITAL HOLDINGS INC ..... | 09/01/2025            | MATURITY .....            | 100,000                              | 100,000                 | 99,980         | 99,983              | 17  |  |  | 17  | 17  |   | 100,000   |  |   |   | 5,749   | 09/01/2025   | 2.A FE .....  |     |
| 0089999999. Subtotal - Issuer Credit Obligations - Corporate Bonds (Unaffiliated)   |   |                       |                           | 200,000                              | 200,000                 | 204,383        | 200,415             |   | (415)  |  | (415)   |   |   | 200,000   |  |   |   | 9,545   | XXX  | XXX   |     |
| 0489999999. Total - Issuer Credit Obligations (Unaffiliated)  |   |                       |                           | 200,000                              | 200,000                 | 204,383        | 200,415             |   |  | (415)  |   | (415)   |   | 200,000   |  |   |   | 9,545   | XXX  | XXX   |     |
| 0499999999. Total - Issuer Credit Obligations (Affiliated)  |   |                       |                           |                                      |                         |                |                     |   |  |  |   |   |   |   |  |   |   |   | XXX  | XXX   | XXX |
| 0509999997. Total - Issuer Credit Obligations - Part 4  |   |                       |                           | 200,000                              | 200,000                 | 204,383        | 200,415             |   |  | (415)  |   | (415)   |   | 200,000   |  |   |   | 9,545   | XXX  | XXX   |     |
| 0509999998. Total - Issuer Credit Obligations - Part 5  |   |                       |                           | XXX                                  | XXX                     | XXX            | XXX                 | XXX   |  |  |   |   |   | XXX   | XXX  | XXX   | XXX                                       | XXX   | XXX  | XXX   | XXX |
| 0509999999. Total - Issuer Credit Obligations   |   |                       |                           | 200,000                              | 200,000                 | 204,383        | 200,415             |   |  | (415)  |   | (415)   |   | 200,000   |  |   |   | 9,545   | XXX  | XXX   |     |
| ..36202F-PF-7   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 513                                  | 513                     | 526            | 525                 |   | (12)   |  | (12)  |   |   | 513   |  |   |   | 14  | 01/01/2041   | 1.A .....   |     |
| ..36202F-UE-4   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 308                                  | 308                     | 317            | 316                 |   | (8)  |  | (8)   |   |   | 308   |  |   |   | 8   | 06/01/2041   | 1.A .....   |     |
| ..36241L-S3-1   | GINNIE MAE I POOL .....                   | 09/01/2025            | PAYOUT                    | 234                                  | 234                     | 241            | 240                 |   | (7)  |  | (7)   |   |   | 234   |  |   |   | 6   | 01/01/2041   | 1.A .....   |     |
| ..36202F-V5-9   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 1,797                                | 1,797                   | 1,861          | 1,852               |   | (55)   |  | (55)  |   |   | 1,797   |  |   |   | 42  | 11/01/2041   | 1.A .....   |     |
| ..3622AC-JJ-0   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 3,453                                | 3,453                   | 3,346          | 3,361               |   | 92   |  | 92  |   |   | 3,453   |  |   |   | 132   | 04/01/2053   | 1.A .....   |     |
| ..36202F-UF-0   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 348                                  | 348                     | 368            | 366                 |   | (18)   |  | (18)  |   |   | 348   |  |   |   | 10  | 06/01/2041   | 1.A .....   |     |
| ..36202F-TL-0   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 607                                  | 607                     | 643            | 640                 |   | (33)   |  | (33)  |   |   | 607   |  |   |   | 18  | 05/01/2041   | 1.A .....   |     |
| ..36202F-M7-8   | GINNIE MAE II POOL .....                  | 09/01/2025            | PAYOUT                    | 491                                  | 491                     | 503            | 501                 |   | (10)   |  | (10)  |   |   | 491   |  |   |   | 13  | 12/01/2040   | 1.A .....   |     |
| 1019999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Guaranteed (Exempt from RBC) |   |                       |                           | 7,751                                | 7,751                   | 7,805          | 7,801               |   | (51)   |  | (51)  |   |   | 7,751   |  |   |   | 243   | XXX  | XXX   |     |
| ..3132KU-SK-2   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 731                                  | 731                     | 766            | 778                 |   | (48)   |  | (48)  |   |   | 731   |  |   |   | 20  | 11/01/2047   | 1.A .....   |     |
| ..3132DN-H4-8   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 9,761                                | 9,761                   | 8,337          | 8,507               |   | 1,254  |  | 1,254   |   |   | 9,761   |  |   |   | 164   | 01/01/2051   | 1.A .....   |     |
| ..3132B9-MK-7   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 11,075                               | 11,075                  | 11,013         | 11,026              |   | 49   |  | 49  |   |   | 11,075  |  |   |   | 246   | 04/01/2052   | 1.A .....   |     |
| ..3132DN-CP-6   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 6,395                                | 6,395                   | 5,831          | 5,923               |   | 472  |  | 472   |   |   | 6,395   |  |   |   | 107   | 11/01/2051   | 1.A .....   |     |
| ..3128MJ-V2-3   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 176                                  | 176                     | 184            | 186                 |   | (10)   |  | (10)  |   |   | 176   |  |   |   | 4   | 03/01/2045   | 1.A .....   |     |
| ..31417B-7A-9   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 45                                   | 45                      | 48             | 50                  |   | (4)  |  | (4)   |   |   | 45  |  |   |   | 1   | 06/01/2042   | 1.A .....   |     |
| ..3138WE-KB-4   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 213                                  | 213                     | 222            | 223                 |   | (10)   |  | (10)  |   |   | 213   |  |   |   | 4   | 04/01/2045   | 1.A .....   |     |
| ..3132DN-BH-5   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 4,355                                | 4,355                   | 4,353          | 4,353               |   | 2  |  | 2   |   |   | 4,355   |  |   |   | 58  | 04/01/2051   | 1.A .....   |     |
| ..3132M9-2R-4   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 183                                  | 183                     | 195            | 198                 |   | (15)   |  | (15)  |   |   | 183   |  |   |   | 5   | 10/01/2044   | 1.A .....   |     |
| ..3138E0-7L-0   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 411                                  | 411                     | 440            | 442                 |   | (31)   |  | (31)  |   |   | 411   |  |   |   | 11  | 06/01/2043   | 1.A .....   |     |
| ..3140Q7-LE-5   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 414                                  | 414                     | 435            | 446                 |   | (32)   |  | (32)  |   |   | 414   |  |   |   | 12  | 09/01/2047   | 1.A .....   |     |
| ..3132DV-4H-5   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 1,237                                | 1,237                   | 1,258          | 1,278               |   | (41)   |  | (41)  |   |   | 1,237   |  |   |   | 25  | 11/01/2049   | 1.A .....   |     |
| ..3140XK-NZ-9   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 8,227                                | 8,227                   | 8,022          | 8,033               |   | 194  |  | 194   |   |   | 8,227   |  |   |   | 292   | 12/01/2052   | 1.A .....   |     |
| ..3138WD-NE-8   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 117                                  | 117                     | 126            | 130                 |   | (12)   |  | (12)  |   |   | 117   |  |   |   | 3   | 12/01/2044   | 1.A .....   |     |
| ..3138E7-TV-6   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 864                                  | 864                     | 892            | 867                 |   | (3)  |  | (3)   |   |   | 864   |  |   |   | 17  | 02/01/2027   | 1.A .....   |     |
| ..3138WJ-K5-6   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 617                                  | 617                     | 614            | 614                 |   | 3  |  | 3   |   |   | 617   |  |   |   | 11  | 11/01/2046   | 1.A .....   |     |
| ..3128MJ-ZF-0   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 514                                  | 514                     | 506            | 505                 |   | 9  |  | 9   |   |   | 514   |  |   |   | 10  | 01/01/2047   | 1.A .....   |     |
| ..3132DP-9N-6   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 3,740                                | 3,740                   | 3,746          | 3,745               |   | (5)  |  | (5)   |   |   | 3,740   |  |   |   | 141   | 04/01/2053   | 1.A .....   |     |
| ..31400Q-B9-5   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 14,127                               | 14,127                  | 13,783         | 13,803              |   | 324  |  | 324   |   |   | 14,127  |  |   |   | 457   | 09/01/2052   | 1.A .....   |     |
| ..31307N-E3-4   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 565                                  | 565                     | 593            | 573                 |   | (8)  |  | (8)   |   |   | 565   |  |   |   | 13  | 12/01/2028   | 1.A .....   |     |
| ..3133AA-XC-1   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 2,338                                | 2,338                   | 1,919          | 1,973               |   | 365  |  | 365   |   |   | 2,338   |  |   |   | 31  | 10/01/2050   | 1.A .....   |     |
| ..3138WF-NN-2   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 420                                  | 420                     | 438            | 427                 |   | (7)  |  | (7)   |   |   | 420   |  |   |   | 8   | 09/01/2030   | 1.A .....   |     |
| ..3132D1-FT-5   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 13,107                               | 13,219                  | 13,200         | 13,200              |   | (93)   |  | (93)  |   |   | 13,107  |  |   |   | 521   | 12/01/2052   | 1.A .....   |     |
| ..3128MJ-ZH-6   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 266                                  | 266                     | 280            | 283                 |   | (17)   |  | (17)  |   |   | 266   |  |   |   | 7   | 01/01/2047   | 1.A .....   |     |
| ..3140X6-UB-5   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 3,417                                | 3,417                   | 3,609          | 3,778               |   | (361)  |  | (361)   |   |   | 3,417   |  |   |   | 80  | 05/01/2048   | 1.A .....   |     |
| ..3128MM-WS-8   | FREDDIE MAC GOLD POOL .....               | 09/01/2025            | PAYOUT                    | 812                                  | 812                     | 831            | 823                 |   | (11)   |  | (11)  |   |   | 812   |  |   |   | 19  | 08/01/2032   | 1.A .....   |     |
| ..3133KK-7C-7   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 3,650                                | 3,650                   | 3,678          | 3,672               |   | (22)   |  | (22)  |   |   | 3,650   |  |   |   | 35  | 02/01/2051   | 1.A .....   |     |
| ..3141BC-FG-4   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 765                                  | 765                     | 770            | 769                 |   | (4)  |  | (4)   |   |   | 765   |  |   |   | 15  | 01/01/2037   | 1.A .....   |     |
| ..3132AD-PF-9   | FREDDIE MAC POOL .....                    | 09/01/2025            | PAYOUT                    | 671                                  | 671                     | 719            | 759                 |   | (88)   |  | (88)  |   |   | 671   |  |   |   | 23  | 11/01/2048   | 1.A .....   |     |
| ..3140WJ-FX-8   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 14,416                               | 14,416                  | 14,481         | 14,481              |   | (65)   |  | (65)  |   |   | 14,416  |  |   |   | 327   | 07/01/2053   | 1.A .....   |     |
| ..3141BC-E6-7   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 516                                  | 516                     | 550            | 541                 |   | (25)   |  | (25)  |   |   | 516   |  |   |   | 14  | 12/01/2036   | 1.A .....   |     |
| ..3138Y6-3S-1   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 145                                  | 145                     | 152            | 153                 |   | (8)  |  | (8)   |   |   | 145   |  |   |   | 3   | 01/01/2042   | 1.A .....   |     |
| ..3140U5-LM-9   | FANNIE MAE POOL .....                     | 09/01/2025            | PAYOUT                    | 740                                  | 740                     | 7              |                     |   |  |  |   |   |   |   |  |   |   |   |  |   |     |

## STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1<br>CUSIP<br>Ident-<br>ification | 2<br>Description      | 3<br>Disposal<br>Date | 4<br>Name<br>of Purchaser | 5<br>Number of<br>Shares of<br>Stock | 6<br>Consid-<br>eration | 7<br>Par Value | 8<br>Actual<br>Cost | 9<br>Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Change In Book/Adjusted Carrying Value                   |  |   |   |   | 15<br>Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total Gain<br>(Loss) on<br>Disposal | 19<br>Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | 20<br>Stated<br>Con-<br>tractual<br>Maturity<br>Date | 21<br>NAIC<br>Design-<br>ation,<br>NAIC<br>Design-<br>ation<br>Modifi-<br>cation<br>and<br>SVO<br>Adminis-<br>trative<br>Symbol |        |
|-----------------------------------|-----------------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|---|--|--|---|---|---|---|--|---|---|---|--|---|--------|
|                                   |                       |                       |                           |                                      |                         |                |                     |   | 10<br>Unrealized<br>Valuation<br>Increase/<br>(Decrease) | 11<br>Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | 12<br>Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recogn-<br>ized | 13<br>Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(10 + 11 -<br>12) | 14<br>Total<br>Foreign<br>Exchange<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value |   |  |   |   |   |  |   |        |
| .3140QS-A4-3                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 4,684          | 4,684               | 4,664   | .17  |  |   | .17   |   | 4,684   |  |   |   |   | .164   | 05/01/2053  | 1.A .. |
| .312BM9-2M-3                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 209            | 209                 | 220   |  | (11)   |   | (11)  |   | 209   |  |   |   |   | 5  | 04/01/2044  | 1.A .. |
| .3138X4-V9-8                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 150            | 150                 | 157   | .158   |  | (8)   | (8)   |   | 150   |  |   |   |   | 4  | 08/01/2043  | 1.A .. |
| .31417G-5A-0                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 204            | 204                 | 214   | .215   |  | (11)  | (11)  |   | 204   |  |   |   |   | 5  | 07/01/2043  | 1.A .. |
| .3133KP-06-8                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 17,269         | 17,269              | 17,021  | .17,041  |  | 228   | 228   |   | 17,269  |  |   |   |   | .576   | 07/01/2052  | 1.A .. |
| .3132DV-4P-7                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 2,390          | 2,390               | 2,424   | .2,456   |  | (66)  | (66)  |   | 2,390   |  |   |   |   | .47  | 12/01/2049  | 1.A .. |
| .31418E-LY-4                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 5,739          | 5,739               | 5,772   | .5,766   |  | (27)  | (27)  |   | 5,739   |  |   |   |   | .210   | 12/01/2052  | 1.A .. |
| .3132GK-5A-0                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 34             | 34                  | 37  | .36  |  | (2)   | (2)   |   | 34  |  |   |   |   | 1  | 11/01/2041  | 1.A .. |
| .3138WIL-C2-7                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 427            | 427                 | 447   | .458   |  | (31)  | (31)  |   | 427   |  |   |   |   | .14  | 07/01/2047  | 1.A .. |
| .3133KR-BZ-6                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 9,120          | 9,120               | 8,893   | .8,906   |  | 214   | 214   |   | 9,120   |  |   |   |   | .319   | 05/01/2053  | 1.A .. |
| .3138WIG-DN-3                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 228            | 228                 | 238   | .242   |  | (14)  | (14)  |   | 228   |  |   |   |   | 5  | 11/01/2046  | 1.A .. |
| .3140QB-M9-3                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,692          | 1,692               | 1,724   | .1,708   |  | (16)  | (16)  |   | 1,692   |  |   |   |   | .42  | 02/01/2033  | 1.A .. |
| .3140X8-KF-3                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 4,505          | 4,505               | 4,657   | .4,645   |  | (140)   | (140)   |   | 4,505   |  |   |   |   | .60  | 11/01/2050  | 1.A .. |
| .3132DV-D5-1                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 14,520         | 14,520              | 14,573  | .14,520  |  | (53)  | (53)  |   | 14,520  |  |   |   |   | .318   | 12/01/2054  | 1.A .. |
| .3138WIK-4X-0                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 953            | 953                 | 952   | .952   |  | 1   | 1   |   | 953   |  |   |   |   | .19  | 06/01/2032  | 1.A .. |
| .3140QR-J8-7                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 10,053         | 10,053              | 9,959   | .9,972   |  | .81   | .81   |   | 10,053  |  |   |   |   | .317   | 02/01/2053  | 1.A .. |
| .3132G0-RW-4                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 92             | 92                  | 95  | .95  |  | (3)   | (3)   |   | 92  |  |   |   |   | 3  | 05/01/2041  | 1.A .. |
| .3138MO-AK-6                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 162            | 162                 | 168   | .169   |  | (7)   | (7)   |   | 162   |  |   |   |   | .4   | 08/01/2042  | 1.A .. |
| .3132D0-GK-6                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 2,153          | 2,153               | 2,126   | .2,130   |  | .22   | .22   |   | 2,153   |  |   |   |   | .80  | 05/01/2053  | 1.A .. |
| .3138MS-EA-3                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 439            | 439                 | 439   | .465   |  | (26)  | (26)  |   | 439   |  |   |   |   | .13  | 08/01/2042  | 1.A .. |
| .31417C-S3-0                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 546            | 546                 | 575   | .571   |  | (25)  | (25)  |   | 546   |  |   |   |   | .12  | 08/01/2042  | 1.A .. |
| .3138WIE-KG-3                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 268            | 268                 | 279   | .279   |  | (12)  | (12)  |   | 268   |  |   |   |   | 6  | 04/01/2045  | 1.A .. |
| .3138EJ-J3Y-2                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 334            | 334                 | 351   | .352   |  | (18)  | (18)  |   | 334   |  |   |   |   | .8   | 11/01/2042  | 1.A .. |
| .3138W16-SU-1                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,024          | 1,024               | 1,044   | .1,044   |  | (20)  | (20)  |   | 1,024   |  |   |   |   | .19  | 04/01/2043  | 1.A .. |
| .3140J8-HC-0                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 104            | 104                 | 107   | .110   |  | (6)   | (6)   |   | 104   |  |   |   |   | .3   | 04/01/2048  | 1.A .. |
| .3138MS-LP-2                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 270            | 270                 | 280   | .280   |  | (10)  | (10)  |   | 270   |  |   |   |   | .7   | 08/01/2042  | 1.A .. |
| .3138MB-XL-5                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 296            | 296                 | 305   | .303   |  | (7)   | (7)   |   | 296   |  |   |   |   | .7   | 10/01/2042  | 1.A .. |
| .3140XF-AR-2                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 17,708         | 17,708              | 19,775  | .19,587  |  | (1,878)   | (1,878)   |   | 17,708  |  |   |   |   | .467   | 01/01/2050  | 1.A .. |
| .3138WIG-BW-3                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 480            | 480                 | 502   | .509   |  | (30)  | (30)  |   | 480   |  |   |   |   | .13  | 12/01/2045  | 1.A .. |
| .3138WIV-X5-5                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 538            | 538                 | 565   | .564   |  | (26)  | (26)  |   | 538   |  |   |   |   | .13  | 07/01/2043  | 1.A .. |
| .3138WIB-UK-9                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 68             | 68                  | 72  | .72  |  | (4)   | (4)   |   | 68  |  |   |   |   | 2  | 05/01/2044  | 1.A .. |
| .312BMU-Z9-4                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 258            | 258                 | 272   | .280   |  | (22)  | (22)  |   | 258   |  |   |   |   | .7   | 06/01/2047  | 1.A .. |
| .3138WIB-AR-6                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 152            | 152                 | 158   | .158   |  | (6)   | (6)   |   | 152   |  |   |   |   | .4   | 02/01/2044  | 1.A .. |
| .3132DII-ET-6                     | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 17,364         | 17,364              | 16,913  | .16,945  |  | 418   | 418   |   | 17,364  |  |   |   |   | .577   | 09/01/2052  | 1.A .. |
| .31418C-NB-2                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 332            | 332                 | 340   | .345   |  | (13)  | (13)  |   | 332   |  |   |   |   | .8   | 08/01/2047  | 1.A .. |
| .3140XH-UU-8                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 22,365         | 22,365              | 21,824  | .21,858  |  | 506   | 506   |   | 22,365  |  |   |   |   | .740   | 10/01/2052  | 1.A .. |
| .31418C-OB-3                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 317            | 317                 | 329   | .336   |  | (19)  | (19)  |   | 317   |  |   |   |   | .8   | 10/01/2047  | 1.A .. |
| .3138WIE-6G-9                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 139            | 139                 | 145   | .148   |  | (8)   | (8)   |   | 139   |  |   |   |   | .3   | 07/01/2045  | 1.A .. |
| .3140FM-DF-0                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 617            | 617                 | 617   | .618   |  | (14)  | (14)  |   | 617   |  |   |   |   | .13  | 12/01/2046  | 1.A .. |
| .3140EV-T7-2                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,349          | 1,349               | 1,347   | .1,348   |  | 1   | 1   |   | 1,349   |  |   |   |   | .22  | 07/01/2031  | 1.A .. |
| .31339S-BB-0                      | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 436            | 436                 | 441   | .446   |  | (11)  | (11)  |   | 436   |  |   |   |   | .9   | 09/01/2049  | 1.A .. |
| .3138WIB-BF-6                     | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 282            | 282                 | 296   | .298   |  | (15)  | (15)  |   | 282   |  |   |   |   | .6   | 07/01/2043  | 1.A .. |
| .312BMU-XX-3                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 338            | 338                 | 348   | .352   |  | (15)  | (15)  |   | 338   |  |   |   |   | .8   | 03/01/2046  | 1.A .. |
| .3138WJ-H3-5                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,246          | 1,246               | 1,281   | .1,267   |  | (21)  | (21)  |   | 1,246   |  |   |   |   | .25  | 11/01/2036  | 1.A .. |
| .312BMU-ZP-8                      | FREDDIE MAC GOLD POOL | 09/01/2025            | PAYDOWN                   |                                      |                         | 194            | 194                 | 204   | .208   |  | (14)  | (14)  |   | 194   |  |   |   |   | .5   | 02/01/2047  | 1.A .. |
| .31418D-KJ-0                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,911          | 1,911               | 1,932   | .1,933   |  | (22)  | (22)  |   | 1,911   |  |   |   |   | .32  | 01/01/2035  | 1.A .. |
| .31417D-TR-4                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 508            | 508                 | 528   | .530   |  | (21)  | (21)  |   | 508   |  |   |   |   | .13  | 11/01/2042  | 1.A .. |
| .3140QS-QC-8                      | FANNIE MAE POOL       | 09/01/2025            | PAYDOWN                   |                                      |                         | 1,496          | 1,496               | 1,493   | .1,493   |  | 2   | 2   |   | 1,496   |  |   |   |   | .56  | 07/01/2053  | 1.A .. |
| .3132DII-DB-3                     | FREDDIE MAC POOL      | 09/01/2025            | PAYDOWN                   |                                      |                         | 8,714          | 8,714               | 8,688   | .8,692   |  | 22  |   |   |   |  |   |   |   |  |   |        |

## STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1<br>CUSIP<br>Ident-<br>ification   | 2<br>Description            | 3<br>Disposal<br>Date | 4<br>Name<br>of Purchaser | 5<br>Number<br>of Shares of<br>Stock | 6<br>Consid-<br>eration | 7<br>Par Value | 8<br>Actual<br>Cost | 9<br>Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Change In Book/Adjusted Carrying Value                   |  |   |   |   | 15<br>Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | 16<br>Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | 17<br>Realized<br>Gain<br>(Loss) on<br>Disposal | 18<br>Total Gain<br>(Loss) on<br>Disposal | 19<br>Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | 20<br>Stated<br>Con-<br>tractual<br>Maturity<br>Date | 21<br>NAIC<br>Desig-<br>nation,<br>NAIC<br>Desig-<br>nation<br>Modifier<br>and SVO<br>Adminis-<br>trative<br>Symbol |     |  |
|---|-----------------------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|---|--|--|---|---|---|---|--|---|---|---|--|---|-----|--|
|   |                             |                       |                           |                                      |                         |                |                     |   | 10<br>Unrealized<br>Valuation<br>Increase/<br>(Decrease) | 11<br>Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | 12<br>Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recog-<br>nized | 13<br>Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(10 + 11 -<br>12) | 14<br>Total<br>Foreign<br>Exchange<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value |   |  |   |   |   |  |   |     |  |
| 1039999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Residential Mortgage-Backed Securities - Not/Partially Guaranteed (Not Exempt from RBC) |                             |                       |                           |                                      | 261,034                 | 261,034        | 259,989             | 231,461   |  | 519  |   |   | 519   |   | 261,034  |   |   |   | 7,262  | XXX   | XXX |  |
| .3137FJ-JV-2   FREDDIE MAC MULTIFAMILY STRUCTURED PASS .....  | .09/01/2025 . PAYDOWN ..... |                       |                           |                                      | 4,493                   | 4,493          | 4,583               | 4,509   |  | (15)   |   |   | (15)  |   | 4,493  |   |   |   | 118  | 07/01/2028 . 1.A .....  |     |  |
| 1049999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Agency Commercial Mortgage-Backed Securities - Not/Partially Guaranteed (Not Exempt from RBC)  |                             |                       |                           |                                      | 4,493                   | 4,493          | 4,583               | 4,509   |  | (15)   |   |   | (15)  |   | 4,493  |   |   |   | 118  | XXX   | XXX |  |
| .29375P-AB-6   ENTERPRISE FLEET FINANCING 2024-1 LLC .....  | .09/20/2025 . PAYDOWN ..... |                       |                           |                                      | 4,904                   | 4,904          | 4,903               | 4,903   |  | 1  |   | 1   | 1   |   | 4,904  |   |   |   | 171  | 03/20/2030 . 1.A FE .....   |     |  |
| 1119999999. Subtotal - Asset-Backed Securities - Financial Asset-Backed - Self-Liquidating - Other Financial Asset-Backed Securities - Self-Liquidating (Unaffiliated)                      |                             |                       |                           |                                      | 4,904                   | 4,904          | 4,903               | 4,903   |  |  | 1   |   | 1   |   | 4,904  |   |   |   | 171  | XXX   | XXX |  |
| .78414S-AE-4   SBNA AUTO LEASE TRUST 2024-A .....   | .09/20/2025 . PAYDOWN ..... |                       |                           |                                      | 6,477                   | 6,477          | 6,477               | 6,477   |  |  |   |   |   | 6,477   |  |   |   | 231   | 11/20/2026 . 1.A FE .....                            |   |     |  |
| 1719999999. Subtotal - Asset-Backed Securities - Non-Financial Asset-Backed Securities - Full Analysis - Lease-Backed Securities - Full Analysis (Unaffiliated)                             |                             |                       |                           |                                      | 6,477                   | 6,477          | 6,477               | 6,477   |  |  |   |   |   | 6,477   |  |   |   | 231   | XXX  | XXX   |     |  |
| 1889999999. Total - Asset-Backed Securities (Unaffiliated)  |                             |                       |                           |                                      | 284,659                 | 284,659        | 283,757             | 255,151   |  | 454  |   |   | 454   |   | 284,659  |   |   |   | 8,025  | XXX   | XXX |  |
| 1899999999. Total - Asset-Backed Securities (Affiliated)  |                             |                       |                           |                                      |                         |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 1909999997. Total - Asset-Backed Securities - Part 4  |                             |                       |                           |                                      | 284,659                 | 284,659        | 283,757             | 255,151   |  | 454  |   |   | 454   |   | 284,659  |   |   |   | 8,025  | XXX   | XXX |  |
| 1909999998. Total - Asset-Backed Securities - Part 5  |                             |                       |                           |                                      | XXX                     | XXX            | XXX                 | XXX   |  | XXX  |   |   | XXX   |   | XXX  |   |   |   | 231  | XXX   | XXX |  |
| 1909999999. Total - Asset-Backed Securities   |                             |                       |                           |                                      | 284,659                 | 284,659        | 283,757             | 255,151   |  | 454  |   |   | 454   |   | 284,659  |   |   |   | 8,025  | XXX   | XXX |  |
| 2009999999. Total - Issuer Credit Obligations and Asset-Backed Securities   |                             |                       |                           |                                      | 484,659                 | 484,659        | 488,140             | 455,566   |  | 39   |   |   | 39  |   | 484,659  |   |   |   | 17,570   | XXX   | XXX |  |
| 4509999997. Total - Preferred Stocks - Part 4   |                             |                       |                           |                                      | XXX                     |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 4509999998. Total - Preferred Stocks - Part 5   |                             |                       |                           |                                      | XXX                     | XXX            | XXX                 | XXX   |  | XXX  |   |   | XXX   |   | XXX  |   |   |   | XXX  | XXX   | XXX |  |
| 4509999999. Total - Preferred Stocks  |                             |                       |                           |                                      | XXX                     |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 5989999997. Total - Common Stocks - Part 4  |                             |                       |                           |                                      | XXX                     |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 5989999998. Total - Common Stocks - Part 5  |                             |                       |                           |                                      | XXX                     | XXX            | XXX                 | XXX   |  | XXX  |   |   | XXX   |   | XXX  |   |   |   | XXX  | XXX   | XXX |  |
| 5989999999. Total - Common Stocks   |                             |                       |                           |                                      | XXX                     |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 5999999999. Total - Preferred and Common Stocks   |                             |                       |                           |                                      | XXX                     |                |                     |   |  |  |   |   |   |   |  |   |   |   |  | XXX   | XXX |  |
| 6009999999 - Totals   |                             |                       |                           |                                      | 484,659                 | XXX            | 488,140             | 455,566   |  | 39   |   |   | 39  |   | 484,659  |   |   |   | 17,570   | XXX   | XXX |  |

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

| 1<br>Depository  | 2<br>Restricted<br>Asset<br>Code | 3<br>Rate of<br>Interest | 4<br>Amount of<br>Interest Received<br>During Current<br>Quarter | 5<br>Amount of<br>Interest Accrued<br>at Current<br>Statement Date | Book Balance at End of Each Month<br>During Current Quarter |                   |                  | 9<br>* |
|--|----------------------------------|--------------------------|--|--|---|-------------------|------------------|--------|
|  |                                  |                          |  |  | 6<br>First Month  | 7<br>Second Month | 8<br>Third Month |        |
| BANK OF NEW YORK ..... K MEL .....   |                                  | 0.000                    |  |  | 142,763   | 144,344           | 147,132          | XXX    |
| 0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories      | XXX                              | XXX                      |  |  |   |                   |                  | XXX    |
| 0199999. Totals - Open Depositories  | XXX                              | XXX                      |  |  | 142,763   | 144,344           | 147,132          | XXX    |
| 0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories | XXX                              | XXX                      |  |  |   |                   |                  | XXX    |
| 0299999. Totals - Suspended Depositories   | XXX                              | XXX                      |  |  |   |                   |                  | XXX    |
| 0399999. Total Cash on Deposit   | XXX                              | XXX                      |  |  | 142,763   | 144,344           | 147,132          | XXX    |
| 0499999. Cash in Company's Office  | XXX                              | XXX                      | XXX  | XXX  |   |                   |                  | XXX    |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| .....  | .....                            | .....                    | .....  | .....  | .....   | .....             | .....            | .....  |
| 0599999. Total - Cash  | XXX                              | XXX                      |  |  | 142,763   | 144,344           | 147,132          | XXX    |

STATEMENT AS OF SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

### Show Investments Owned End of Current Quarter



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2025 OF THE UTICA NATIONAL INSURANCE COMPANY  
OF OHIO

## DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended SEPTEMBER 30, 2025

NAIC Group Code 0201

NAIC Company Code 13998

Company Name UTICA NATIONAL INSURANCE COMPANY OF OHIO .....

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

| 1<br>Direct Written<br>Premium | 2<br>Direct Earned<br>Premium | 3<br>Direct Losses<br>Incurred |
|--------------------------------|-------------------------------|--------------------------------|
| \$ ..... 75                    | \$ ..... 56                   | \$ .....                       |

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? ..... Yes [  ] No [  ]

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? ..... Yes [  ] No [  ]

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: ..... \$ ..... 136,486

2.32 Amount estimated using reasonable assumptions: ..... \$ .....

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. ..... \$ .....