



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2024
OF THE CONDITION AND AFFAIRS OF

AUGUSTAR LIFE ASSURANCE CORPORATION

NAIC Group Code07040704NAIC Company Code89206Employer's ID Number31-0962495

(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOH

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized06/26/1979Commenced Business08/22/1979

Statutory Home OfficeOne Financial WayCincinnati, OH, US 45242

(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative OfficeOne Financial Way

(Street and Number)

Cincinnati, OH, US 45242513-794-6100

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail AddressPost Office Box 237Cincinnati, OH, US 45201

(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and RecordsOne Financial Way

(Street and Number)

Cincinnati, OH, US 45242513-794-6100-6015

(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website AddressN/A

Statutory Statement ContactAmber Dawn Roberts513-794-6100-6015

(Name)(Area Code) (Telephone Number)

amber_roberts@constellationinsurance.com513-794-4622

(E-mail Address)(FAX Number)

OFFICERS

President & Chief Executive Officer	Anurag Chandra	Treasurer & Chief Corporate Development Officer	Brijendra Singh Grewal #
SVP, Corporate Secretary & LATAM Regional Counsel and Compliance	Carlos Fernando da Costa Almeida de Paiva Nascimento	SVP, Chief Risk Officer & Head of U.S. M&A/Reinsurance	Scott Niel Shepherd #

OTHER

Michael Akker #, Senior Vice President, Chief Operating Officer	Lori Dianne Dashewich #, Senior Vice President, Chief Financial Officer	Marc Ari Bruger #, Managing Director
Jonathan Morton Egol #, Managing Director	Sachin Jain #, Senior Vice President & Chief Investment Officer	Gary Russell Rodmaker #, Managing Director

DIRECTORS OR TRUSTEES

Anurag Chandra	Philippe Francois Charette	Patricia Lynn Guinn
Syed Salman Hasnain #	Westley Vander Thompson	Steven Carl Verney

State ofOhioSS:

County ofButler

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Lori Dianne Dashewich	Carlos Fernando da Costa Almeida de Paiva Nascimento	Scott Niel Shepherd
Senior Vice President, Chief Financial Officer	SVP, Corporate Secretary & LATAM Regional Counsel and Compliance	SVP, Chief Risk Officer & Head of U.S. M&A/Reinsurance

Subscribed and sworn to before me this8thday ofNovember, 2024

a. Is this an original filing?Yes [X] No []

b. If no,

1. State the amendment number

2. Date filed

3. Number of pages attached

Stephanie Coleman
Notary Public
Expires November 24, 2025

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 – 2)	
1. Bonds	1,974,342,714	0	1,974,342,714	2,045,807,828
2. Stocks:				
2.1 Preferred stocks	16,753,600	0	16,753,600	16,711,200
2.2 Common stocks	18,542,533	0	18,542,533	18,325,933
3. Mortgage loans on real estate:				
3.1 First liens	467,042,850	0	467,042,850	463,087,264
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$46,074,619), cash equivalents (\$1,602,031) and short-term investments (\$0)	47,676,650	0	47,676,650	16,000,326
6. Contract loans (including \$0 premium notes)	138,702,229	0	138,702,229	129,952,984
7. Derivatives	7,710,894	0	7,710,894	6,521,487
8. Other invested assets	55,995,406	0	55,995,406	42,770,524
9. Receivables for securities	186,428	0	186,428	756,910
10. Securities lending reinvested collateral assets	39,905,632	0	39,905,632	66,539,878
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	2,766,858,936	0	2,766,858,936	2,806,474,334
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	20,154,855	0	20,154,855	19,888,722
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	12,088,142	0	12,088,142	13,267,841
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	96,920,073	0	96,920,073	103,914,834
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	21,053,272	0	21,053,272	20,002,718
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	11,269,926	0	11,269,926	18,229,969
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	80,992,809	51,845,120	29,147,689	30,979,394
19. Guaranty funds receivable or on deposit	1,991,314	0	1,991,314	1,821,055
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	44,073,399	0	44,073,399	14,867,554
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	1,397,112	0	1,397,112	1,389,769
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	3,056,799,838	51,845,120	3,004,954,718	3,030,836,190
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	298,417,228	0	298,417,228	271,215,599
28. Total (Lines 26 and 27)	3,355,217,066	51,845,120	3,303,371,946	3,302,051,789
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. State taxes recoverable	1,321,739	0	1,321,739	1,321,739
2502. Fund revenue receivable	75,373	0	75,373	68,030
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,397,112	0	1,397,112	1,389,769

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 2,150,639,891 less \$0 included in Line 6.3 (including \$0 Modco Reserve)	2,150,639,891	2,150,514,278
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve)	113,818,069	110,432,868
3. Liability for deposit-type contracts (including \$0 Modco Reserve).....	352,645,271	353,489,743
4. Contract claims:		
4.1 Life	15,000,443	16,586,646
4.2 Accident and health	564,787	670,399
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco)	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco) ...	0	0
6.3 Coupons and similar benefits (including \$0 Modco)	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$489,632 accident and health premiums	1,096,331	1,082,588
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act	0	0
9.3 Other amounts payable on reinsurance, including \$4,467,928 assumed and \$832,577 ceded	5,300,505	13,125,017
9.4 Interest Maintenance Reserve	6,419,807	8,760,132
10. Commissions to agents due or accrued-life and annuity contracts \$2,611,167 , accident and health \$166,670 and deposit-type contract funds \$0	2,777,837	3,606,143
11. Commissions and expense allowances payable on reinsurance assumed	0	0
12. General expenses due or accrued	2,199,245	1,881,717
13. Transfers to Separate Accounts due or accrued (net) (including \$2,173,652 accrued for expense allowances recognized in reserves, net of reinsured allowances)	2,173,652	2,229,272
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	1,249,216	2,017,848
15.1 Current federal and foreign income taxes, including \$34,140 on realized capital gains (losses)	3,964,173	4,086,850
15.2 Net deferred tax liability	0	0
16. Unearned investment income	3,387,678	3,287,870
17. Amounts withheld or retained by reporting entity as agent or trustee	7,560,000	4,154,007
18. Amounts held for agents' account, including \$0 agents' credit balances	0	0
19. Remittances and items not allocated	225,453	63,632
20. Net adjustment in assets and liabilities due to foreign exchange rates	0	0
21. Liability for benefits for employees and agents if not included above	0	0
22. Borrowed money \$0 and interest thereon \$0	0	0
23. Dividends to stockholders declared and unpaid	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	25,769,185	24,061,172
24.02 Reinsurance in unauthorized and certified (\$0) companies	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$722,378) reinsurers	722,378	316,167
24.04 Payable to parent, subsidiaries and affiliates	7,969,956	5,860,559
24.05 Drafts outstanding	0	0
24.06 Liability for amounts held under uninsured plans	0	0
24.07 Funds held under coinsurance	0	0
24.08 Derivatives	0	0
24.09 Payable for securities	0	0
24.10 Payable for securities lending	39,905,632	66,539,878
24.11 Capital notes \$0 and interest thereon \$0	0	0
25. Aggregate write-ins for liabilities	1,139,778	1,377,521
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	2,744,529,287	2,774,144,307
27. From Separate Accounts Statement	298,417,228	271,215,599
28. Total liabilities (Lines 26 and 27)	3,042,946,515	3,045,359,906
29. Common capital stock	9,600,005	9,600,005
30. Preferred capital stock	0	0
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	0	0
33. Gross paid in and contributed surplus	87,976,286	87,976,286
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	162,849,139	159,115,593
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0)	0	0
36.20 shares preferred (value included in Line 30 \$0)	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement)	250,825,425	247,091,879
38. Totals of Lines 29, 30 and 37	260,425,430	256,691,884
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	3,303,371,945	3,302,051,790
DETAILS OF WRITE-INS		
2501. Unclaimed funds	1,139,778	1,377,521
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,139,778	1,377,521
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	134,836,396	157,610,794	199,883,462
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	84,349,991	81,166,994	109,353,537
4. Amortization of Interest Maintenance Reserve (IMR)	2,358,592	3,231,801	4,306,367
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	39,934,824	32,388,855	46,085,488
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	1,061,547	978,952	1,289,982
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	17,674,051	16,642,808	21,957,398
9. Totals (Lines 1 to 8.3)	280,215,401	292,020,204	382,876,234
10. Death benefits	94,285,880	99,881,194	119,726,618
11. Matured endowments (excluding guaranteed annual pure endowments)	0	3,097	8,097
12. Annuity benefits	1,463,137	1,380,075	2,768,451
13. Disability benefits and benefits under accident and health contracts	9,798,492	9,348,312	11,895,804
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	70,902,847	68,098,187	88,744,231
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	8,574,435	6,006,958	8,529,464
18. Payments on supplementary contracts with life contingencies	44,825	47,390	63,039
19. Increase in aggregate reserves for life and accident and health contracts	3,510,814	21,721,353	16,773,822
20. Totals (Lines 10 to 19)	188,580,430	206,486,566	248,509,526
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	22,111,094	23,689,566	30,502,063
22. Commissions and expense allowances on reinsurance assumed	3,572	5,840	7,664
23. General insurance expenses and fraternal expenses	34,248,805	42,167,269	56,459,468
24. Insurance taxes, licenses and fees, excluding federal income taxes	7,712,934	7,631,230	10,056,042
25. Increase in loading on deferred and uncollected premiums	5,176,046	4,111,647	3,377,781
26. Net transfers to or (from) Separate Accounts net of reinsurance	(14,385,607)	(14,780,524)	(17,264,356)
27. Aggregate write-ins for deductions	6,537,666	2,229,995	4,606,522
28. Totals (Lines 20 to 27)	249,984,940	271,541,589	336,254,710
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	30,230,461	20,478,615	46,621,524
30. Dividends to policyholders and refunds to members	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	30,230,461	20,478,615	46,621,524
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	4,237,856	3,865,784	9,286,200
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	25,992,605	16,612,831	37,335,324
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 29,284 (excluding taxes of \$ 4,856 transferred to the IMR)	2,138,101	(711,044)	219,662
35. Net income (Line 33 plus Line 34)	28,130,706	15,901,787	37,554,986
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	256,691,883	237,737,185	237,737,185
37. Net income (Line 35)	28,130,706	15,901,787	37,554,986
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 130,809	1,926,580	1,064,235	2,557,558
39. Change in net unrealized foreign exchange capital gain (loss)	0	0	0
40. Change in net deferred income tax	3,771,299	4,270,320	5,785,191
41. Change in nonadmitted assets	(5,450,177)	(4,916,208)	(3,390,413)
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	(1,708,013)	(2,435,731)	(4,911,626)
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	0	0	0
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	(22,936,849)	(12,179,944)	(18,640,998)
54. Net change in capital and surplus for the year (Lines 37 through 53)	3,733,546	1,704,459	18,954,698
55. Capital and surplus, as of statement date (Lines 36 + 54)	260,425,429	239,441,644	256,691,883
DETAILS OF WRITE-INS			
08.301. C01 Charges	6,839,679	7,315,540	9,693,414
08.302. Surrender Charges	6,091,442	5,268,168	6,910,124
08.303. Reinsurance Ceded Trails	3,253,423	3,453,722	4,590,409
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	1,489,507	605,378	763,451
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	17,674,051	16,642,808	21,957,398
2701. Health Surrender Benefits	1,844,784	1,961,950	3,095,982
2702. Miscellaneous Expense	4,624,117	200,714	1,421,936
2703. Funds withheld miscellaneous expense	68,765	61,331	82,604
2798. Summary of remaining write-ins for Line 27 from overflow page	0	6,000	6,000
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	6,537,666	2,229,995	4,606,522
5301. Prior period adjustment	30,357,248	0	191,019
5302. Deferred Coinsurance Gain	(53,294,097)	(12,179,944)	(18,832,017)
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(22,936,849)	(12,179,944)	(18,640,998)

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	136,769,827	158,328,527	199,456,198
2. Net investment income	84,873,505	81,950,056	109,984,220
3. Miscellaneous income	21,335,898	24,856,270	33,420,139
4. Total (Lines 1 to 3)	242,979,230	265,134,853	342,860,557
5. Benefit and loss related payments	164,603,741	201,398,062	232,649,185
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(14,329,987)	(14,896,239)	(17,799,162)
7. Commissions, expenses paid and aggregate write-ins for deductions	72,470,350	80,351,405	105,736,446
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	4,394,673	11,558,462	15,733,825
10. Total (Lines 5 through 9)	227,138,777	278,411,690	336,320,294
11. Net cash from operations (Line 4 minus Line 10)	15,840,453	(13,276,837)	6,540,263
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	123,697,431	118,917,702	137,347,505
12.2 Stocks	0	0	144,444
12.3 Mortgage loans	35,587,359	32,509,340	42,402,355
12.4 Real estate	0	0	0
12.5 Other invested assets	596,412	832,767	900,414
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	2,437,513	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	162,318,715	152,259,809	180,794,718
13. Cost of investments acquired (long-term only):			
13.1 Bonds	52,847,142	87,828,399	184,233,274
13.2 Stocks	216,600	1,654,900	3,354,900
13.3 Mortgage loans	39,886,786	52,820,000	53,320,000
13.4 Real estate	0	0	0
13.5 Other invested assets	12,403,407	4,099,152	5,898,616
13.6 Miscellaneous applications	0	6,005,583	5,268,429
13.7 Total investments acquired (Lines 13.1 to 13.6)	105,353,935	152,408,034	252,075,219
14. Net increase (or decrease) in contract loans and premium notes	8,749,245	5,424,451	6,802,959
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	48,215,535	(5,572,676)	(78,083,460)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(8,323,890)	28,957,294	61,705,866
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(24,055,774)	(2,496,434)	16,745,346
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(32,379,664)	26,460,860	78,451,212
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .	31,676,324	7,611,347	6,908,015
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	16,000,326	9,092,311	9,092,311
19.2 End of period (Line 18 plus Line 19.1)	47,676,650	16,703,658	16,000,326
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001. Change in securities lending collateral	26,634,246	(10,666,205)	(26,670,254)
20.0002. Amortization of deferred gain on reinsurance agreements	(22,936,849)	(12,179,944)	(18,832,017)
20.0003. Interest credited on deposit-type contracts	(7,479,418)	(4,940,141)	(7,099,200)

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Individual life	255,574,816	288,880,082	376,993,481
2. Group life	0	0	0
3. Individual annuities	20,098	76,641	65,036
4. Group annuities	0	0	0
5. Accident & health	32,077,990	34,440,454	46,230,066
6. Fraternal	0	0	0
7. Other lines of business	0	0	0
8. Subtotal (Lines 1 through 7)	287,672,904	323,397,177	423,288,583
9. Deposit-type contracts	160,000,000	55,512,023	115,512,023
10. Total (Lines 8 and 9)	447,672,904	378,909,200	538,800,606

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of AuguStar Life Assurance Corporation (ALAC or the Company), are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

At September 30, 2024 and December 31, 2023 there were no permitted practices.

	SSAP #	F/S Page	F/S Line #		9/30/2024		12/31/2023
NET INCOME							
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$	28,130,706	\$	37,554,986
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:							
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$	28,130,706	\$	37,554,986
SURPLUS							
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$	260,425,430	\$	256,691,884
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$	260,425,430	\$	256,691,884

C. Accounting Policy

- (2) Basis for Bonds and Amortization Schedule
Bonds not backed by other loans are stated at amortized cost using the modified scientific method.
- (6) Basis for Loan-Backed Securities and Adjustment Methodology
Loan-backed securities are stated at amortized cost. The retrospective adjustment methodology is used for asset-backed, CMO, and Mortgage-backed securities.

D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

NOTE 2 Accounting Changes and Corrections of Errors

The Company's September 30, 2024 financial statements reflect a prior period adjustment relating to the recording of a previously deferred gain on external reinsurance that should have been recognized in prior years. As of December 31, 2023, net income was understated by \$30,357,248. The net impact to 2024 surplus was \$0. The events contributing to the adjustment impact surplus as follows:

Increase in surplus (P4,L5301,C1)	\$30,357,248
Decrease in surplus (P4,L5302,C1)	<u>(\$30,357,248)</u>
	<u><u>\$0</u></u>

NOTE 3 Business Combinations and Goodwill - No significant changes

NOTE 4 Discontinued Operations - No significant changes

NOTE 5 Investments

D. Loan-Backed Securities

- (1) Description of Sources Used to Determine Prepayment Assumptions
Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from broker dealer survey values or internal estimates.
- (2) Securities with Recognized Other-Than-Temporary Impairment - NONE
- (3) Recognized OTTI Securities - NONE
- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):
- a) The aggregate amount of unrealized losses:
- | | |
|------------------------|---------------|
| 1. Less than 12 Months | \$ 785,796 |
| 2. 12 Months or Longer | \$ 13,364,793 |
- b) The aggregate related fair value of securities with unrealized losses:
- | | |
|------------------------|----------------|
| 1. Less than 12 Months | \$ 11,369,703 |
| 2. 12 Months or Longer | \$ 195,678,441 |
- (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary
Cash flow modeling was performed on all of these securities using current and expected market based assumptions which showed that the investor will receive cash flow the percent of value of which is equal to the adjusted statement value. Therefore, any impairment is considered not other-than-temporary.

NOTES TO FINANCIAL STATEMENTS

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received	
b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged	\$ 39,906,347

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - NONE

H. Repurchase Agreements Transactions Accounted for as a Sale - NONE

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - NONE

M. Working Capital Finance Investments - NONE

N. Offsetting and Netting of Assets and Liabilities - NONE

R. Reporting Entity's Share of Cash Pool by Asset Type - NONE

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies - No significant changes

NOTE 7 Investment Income - No significant changes

NOTE 8 Derivative Instruments

A. Derivatives under SSAP No. 86—Derivatives

(8) Total Premium Costs for Contracts - NONE

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees

(2) Recognition of gains/losses and deferred assets and liabilities

a. Scheduled Amortization - NONE

b. Total Deferred Balance - NONE

c. Reconciliation of Amortization - NONE

NOTE 9 Income Taxes - No significant changes

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

D. Amounts Due From or To Related Parties

Constellation Investments, Inc. ("CINV"), formerly Ohio National Investments, Inc., a related party, has agreed to provide the Company certain investment management services and other services effective April 1, 2024. At September 30, 2024, the Company reported \$1,445,494 as amounts due to CINV.

E. Material Management or Service Contracts and Cost-Sharing Arrangements

Refer to Note 10D

NOTE 11 Debt

B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement
The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, and by purchasing FHLB stock, the Company can enter into deposit contracts. The Company had outstanding deposit contracts of \$350,000,000 as of September 30, 2024 and December 31, 2023. The table below indicates the amount of FHLB of Cincinnati stock purchased, collateral pledged, and additional funding capacity available related to the agreement with FHLB of Cincinnati.

(2) FHLB Capital Stock
a. Aggregate Totals

	1	2	3
	Total 2+3	General Account	Separate Accounts
1. Current Year			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 2,641,641	\$ 2,641,641	\$ -
(c) Activity Stock	\$ 15,650,000	\$ 15,650,000	\$ -
(d) Excess Stock	\$ 59	\$ 59	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 18,291,700	\$ 18,291,700	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 350,001,302	XXX	XXX
2. Prior Year-end			
(a) Membership Stock - Class A	\$ -	\$ -	\$ -
(b) Membership Stock - Class B	\$ 2,525,099	\$ 2,525,099	\$ -
(c) Activity Stock	\$ 15,550,000	\$ 15,550,000	\$ -
(d) Excess Stock	\$ -	\$ -	\$ -
(e) Aggregate Total (a+b+c+d)	\$ 18,075,099	\$ 18,075,099	\$ -
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	\$ 350,000,018	XXX	XXX

11B(2)a1(f) should be equal to or greater than 11B(4)a1(d)
11B(2)a2(f) should be equal to or greater than 11B(4)a2(d)

NOTES TO FINANCIAL STATEMENTS

b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

			Eligible for Redemption			
	1	2	3	4	5	6
	Current Year Total (2+3+4+5+6)	Not Eligible for Redemption	Less Than 6 Months	6 Months to Less Than 1 Year	1 to Less Than 3 Years	3 to 5 Years
Membership Stock						
1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Class B	\$ 2,641,641	\$ 2,641,641	\$ -	\$ -	\$ -	\$ -

11B(2)b1 Current Year Total (Column 1) should equal 11B(2)a1(a) Total (Column 1)
11B(2)b2 Current Year Total (Column 1) should equal 11B(2)a1(b) Total (Column 1)

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1	2	3
	Fair Value	Carrying Value	Aggregate Total Borrowing
1. Current Year Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 473,796,653	\$ 505,319,128	\$ 350,000,000
2. Current Year General Account Total Collateral Pledged	\$ 473,796,653	\$ 505,319,128	\$ 350,000,000
3. Current Year Separate Accounts Total Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Total Collateral Pledged	\$ 439,213,297	\$ 463,438,367	\$ 350,000,000

11B(3)a1 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b1 (Columns 1, 2 and 3 respectively)
11B(3)a2 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b2 (Columns 1, 2 and 3 respectively)
11B(3)a3 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b3 (Columns 1, 2 and 3 respectively)
11B(3)a4 (Columns 1, 2 and 3) should be equal to or less than 11B(3)b4 (Columns 1, 2 and 3 respectively)

b. Maximum Amount Pledged During Reporting Period

	1	2	3
	Fair Value	Carrying Value	Amount Borrowed at Time of Maximum Collateral
1. Current Year Total General and Separate Accounts Maximum Collateral Pledged (Lines 2+3)	\$ 473,796,653	\$ 505,319,128	\$ 350,000,000
2. Current Year General Account Maximum Collateral Pledged	\$ 473,796,653	\$ 505,319,128	\$ 350,000,000
3. Current Year Separate Accounts Maximum Collateral Pledged	\$ -	\$ -	\$ -
4. Prior Year-end Total General and Separate Accounts Maximum Collateral Pledged	\$ 439,213,297	\$ 463,438,367	\$ 350,000,000

(4) Borrowing from FHLB

a. Amount as of Reporting Date

	1	2	3	4
	Total 2+3	General Account	Separate Accounts	Funding Agreements Reserves Established
1. Current Year				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
2. Prior Year end				
(a) Debt	\$ -	\$ -	\$ -	XXX
(b) Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000
(c) Other	\$ -	\$ -	\$ -	XXX
(d) Aggregate Total (a+b+c)	\$ 350,000,000	\$ 350,000,000	\$ -	\$ 350,000,000

b. Maximum Amount During Reporting Period (Current Year)

	1	2	3
	Total 2+3	General Account	Separate Accounts
1. Debt	\$ -	\$ -	\$ -
2. Funding Agreements	\$ 350,000,000	\$ 350,000,000	\$ -
3. Other	\$ -	\$ -	\$ -
4. Aggregate Total (1+2+3)	\$ 350,000,000	\$ 350,000,000	\$ -

11B(4)b4 (Columns 1, 2 and 3) should be equal to or greater than 11B(4)a1(d) (Columns 1, 2 and 3 respectively)

c. FHLB - Prepayment Obligations

Does the company have prepayment obligations under the following arrangements (YES/NO)?	
1. Debt	No
2. Funding Agreements	No
3. Other	No

NOTES TO FINANCIAL STATEMENTS

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan
- (4) Components of net periodic benefit cost - NONE

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations - No significant changes

NOTE 14 Liabilities, Contingencies and Assessments

- A. Contingent Commitments
- (2) Detail of other contingent commitments
- The Company has unfunded commitments of mortgage loans in the amount of \$0.
- The Company is an investor in limited partnerships and a limited liability corporations. The Company has unfunded commitments in the amount of \$44,286,819.

NOTE 15 Leases - No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk - No significant changes

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- B. (2) Servicing Assets and Servicing Liabilities - NONE
- (4) (b) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales - NONE
- C. Wash Sales - NONE

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - No significant changes

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - No significant changes

NOTE 20 Fair Value Measurements

- A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash & Cash equivalents	\$ 46,074,619	\$ -	\$ -	\$ 1,602,031	\$ 47,676,650
Securities lending collateral	\$ -	\$ 39,906,347	\$ -	\$ -	\$ 39,906,347
Preferred stock	\$ -	\$ 1,753,600	\$ -	\$ -	\$ 1,753,600
Bonds Industrial and Misc	\$ -	\$ 210,095	\$ -	\$ -	\$ 210,095
Common Stock Industrial and Misc	\$ -	\$ 18,291,700	\$ 250,833	\$ -	\$ 18,542,533
Equity call Options	\$ -	\$ 7,710,894	\$ -	\$ -	\$ 7,710,894
Separate account assets	\$ 298,417,228	\$ -	\$ -	\$ -	\$ 298,417,228
Other Invested Assets - limited parternships	\$ -	\$ -	\$ 25,677,113	\$ -	\$ 25,677,113
Total assets at fair value/NAV	\$ 344,491,847	\$ 67,872,636	\$ 25,927,946	\$ 1,602,031	\$ 439,894,460

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Common Stock Industrial and Misc	\$ 250,833	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 250,833
Other Invested Assets	\$ 17,006,297	\$ -	\$ -	\$ -	\$ 1,123,378	\$ 7,817,003	\$ -	\$ (269,565)	\$ -	\$ 25,677,113
Total Assets	\$ 17,257,130	\$ -	\$ -	\$ -	\$ 1,123,378	\$ 7,817,003	\$ -	\$ (269,565)	\$ -	\$ 25,927,946

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

(3) Policies when Transfers Between Levels are Recognized - NONE

NOTES TO FINANCIAL STATEMENTS

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement
Included in various investment related line items in the statutory financial statements are certain financial instruments carried at fair value. Other financial instruments are periodically measured at fair value, such as when impaired, or for certain bonds and preferred stock when carried at the lower of cost or market.

Fair Value Hierarchy: Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (exit price) in an orderly transaction between market participants at the measurement date. In determining fair value, the Company uses various methods including market, income and cost approaches. The market approach utilizes prices and other relevant information generated by market transactions involving identical or comparable assets and liabilities. The income approach uses discounted cash flows to determine fair value. When applying either approach, the Company maximizes the use of observable inputs and minimizes the use of unobservable inputs. Observable inputs reflect the assumptions market participants would use in valuing a financial instrument based on market data obtained from sources independent of the Company. Unobservable inputs reflect the Company's estimates about the assumptions market participants would use in valuing financial assets and financial liabilities based on the best information available in circumstances.

The Company is required to categorize its assets and liabilities that are carried at estimated fair value on the statutory statements of admitted assets, liabilities, and capital and surplus into a three level hierarchy based on the priority of the inputs to the valuation technique in accordance with SSAP No. 100R, Fair Value Measurements. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure estimated fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement. The levels of the fair value hierarchy are as follows:

- Level 1 – Fair value is based on unadjusted quoted prices for identical assets and liabilities in an active market at the measurement date. The types of assets and liabilities utilizing Level 1 valuations generally include cash and short-term investments, separate account assets and exchange traded derivatives.

- Level 2 – Fair value is based on significant inputs, other than quoted prices included in Level 1 that are observable in active markets or that are derived principally from or corroborated by observable market data through correlation or other means for identical or similar assets and liabilities. The types of assets and liabilities utilizing Level 2 valuations generally include U.S. government agency securities, municipal bonds, foreign government debt, certain corporate debt, asset-backed, mortgage-backed, unaffiliated surplus notes, and private placement securities, derivatives, common stocks, securities lending reinvested collateral and cash equivalent securities.

- Level 3 – Fair value is based on unobservable inputs for the asset or liability for which there is little or no market activity at the measurement date. Unobservable inputs used in the valuation reflect management's best estimate about the assumptions market participants would use to price the asset or liability. The types of assets and liabilities utilizing Level 3 valuations generally include certain corporate debt, asset-backed or mortgage-backed securities, and derivative securities.

(5) Fair Value Disclosures - NONE

B. Fair Value Reporting under SSAP 100R and Other Accounting Pronouncements - NONE

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 1,798,185,462	\$ 1,974,342,714	\$ 4,269,761	\$ 1,737,144,676	\$ 56,771,026	\$ -	\$ -
Cash & Cash equivalents	\$ 47,676,650	\$ 47,676,650	\$ 46,074,619	\$ -	\$ -	\$ 1,602,031	\$ -
Common stock non-affiliate	\$ 18,542,533	\$ 18,542,533	\$ -	\$ 18,291,700	\$ 250,833	\$ -	\$ -
Preferred stock	\$ 15,319,680	\$ 16,753,600	\$ -	\$ 1,753,600	\$ 13,566,080	\$ -	\$ -
Mortgage Loan	\$ 436,773,652	\$ 467,042,850	\$ -	\$ -	\$ 436,773,652	\$ -	\$ -
Securities lending collateral	\$ 39,906,347	\$ 39,905,632	\$ -	\$ 39,906,347	\$ -	\$ -	\$ -
Other Invested Assets - Surplus Notes	\$ 27,525,403	\$ 30,318,293	\$ -	\$ 27,525,403	\$ -	\$ -	\$ -
Other Invested Assets - limited partnerships	\$ 25,677,113	\$ 25,677,113	\$ -	\$ -	\$ 25,677,113	\$ -	\$ -
Derivatives- call options	\$ 7,710,894	\$ 7,710,894	\$ -	\$ 7,710,894	\$ -	\$ -	\$ -
Separate account assets	\$ 298,417,228	\$ 298,417,228	\$ 298,417,228	\$ -	\$ -	\$ -	\$ -
Separate account liabilities	\$ (298,417,228)	\$ (298,417,228)	\$ (298,417,228)	\$ -	\$ -	\$ -	\$ -

D. Not Practicable to Estimate Fair Value - NONE

E. NAV Practical Expedient Investments - NONE

NOTE 21 Other Items - NONE

NOTE 22 Events Subsequent - NONE

NOTE 23 Reinsurance - No significant changes

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination - NONE

E. Risk Sharing Provisions of the Affordable Care Act - NONE

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

Reserves and Loss Adjustment Expenses as of December 31, 2023 were \$86,552,673. As of September 30, 2024, \$9,527,862 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves and Loss Adjustment Expenses remaining for prior years are now \$75,798,799. The decrease is generally the result of the natural progression of a block of disability income claims and the increase or decrease in original estimates as additional information becomes known regarding individual claims.

B. Information about Significant Changes in Methodologies and Assumptions - NONE

NOTE 26 Intercompany Pooling Arrangements - No significant changes

NOTE 27 Structured Settlements - No significant changes

NOTE 28 Health Care Receivables - No significant changes

NOTE 29 Participating Policies - No significant changes

NOTE 30 Premium Deficiency Reserves - No significant changes

NOTE 31 Reserves for Life Contracts and Annuity Contracts - No significant changes

NOTES TO FINANCIAL STATEMENTS

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics - No significant changes

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics - No significant changes

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected - No significant changes

NOTE 35 Separate Accounts - No significant changes

NOTE 36 Loss/Claim Adjustment Expenses - No significant changes

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
Please see Schedule Y
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [] No [X]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [] No [X] N/A []
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2020
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2020
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/10/2022
- 6.4

By what department or departments?
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [] No [] N/A [X]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [X] No []
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
AuguStar Distributors, Inc.	Cincinnati, OH	NO	NO	NO	YES
The O.N. Equity Sales Company	Cincinnati, OH	NO	NO	NO	YES

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []

9.11

If the response to 9.1 is No, please explain:
.....

9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
.....

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:\$.....

44,073,298

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2

If yes, give full and complete information relating thereto:
.....

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....

0

13.

Amount of real estate and mortgages held in short-term investments:

\$.....

0

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$.....0	\$.....0
14.22 Preferred Stock	\$.....0	\$.....0
14.23 Common Stock	\$.....0	\$.....0
14.24 Short-Term Investments	\$.....0	\$.....0
14.25 Mortgage Loans on Real Estate	\$.....0	\$.....0
14.26 All Other	\$.....0	\$.....0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$.....0	\$.....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$.....0	\$.....0

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
If no, attach a description with this statement.
.....

16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$.....

39,906,347

16.2

Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$.....

39,905,631

16.3

Total payable for securities lending reported on the liability page.

\$.....

39,905,632

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank NA	425 Walnut Street, Cincinnati, OH 45202
Federal Home Loan Bank of Cincinnati	221 E 4th ST #600, Cincinnati, OH 45202

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Constellation Investments, Inc.	A.....
.....	

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
.....	Constellation Investments, Inc.	549300L8TNFLUT2NRY25	Securities and Exchange Commission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

0

1.12

Residential Mortgages

\$

19,375,496

1.13

Commercial Mortgages

\$

447,667,354

1.14

Total Mortgages in Good Standing

\$

467,042,850

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

0

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

0

1.32

Residential Mortgages

\$

0

1.33

Commercial Mortgages

\$

0

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

0

1.42

Residential Mortgages

\$

0

1.43

Commercial Mortgages

\$

0

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

467,042,850

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

0

1.62

Residential Mortgages

\$

0

1.63

Commercial Mortgages

\$

0

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

58.400

%

2.2

A&H cost containment percent

0.300

%

2.3

A&H expense percent excluding cost containment expenses

26.700

%

3.1

Do you act as a custodian for health savings accounts?

Yes

[]

No

[X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

0

3.3

Do you act as an administrator for health savings accounts?

Yes

[]

No

[X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

0

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[X]

No

[]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[]

No

[]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[]

No

[]

N/A

[]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[]

No

[]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
			NONE						

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

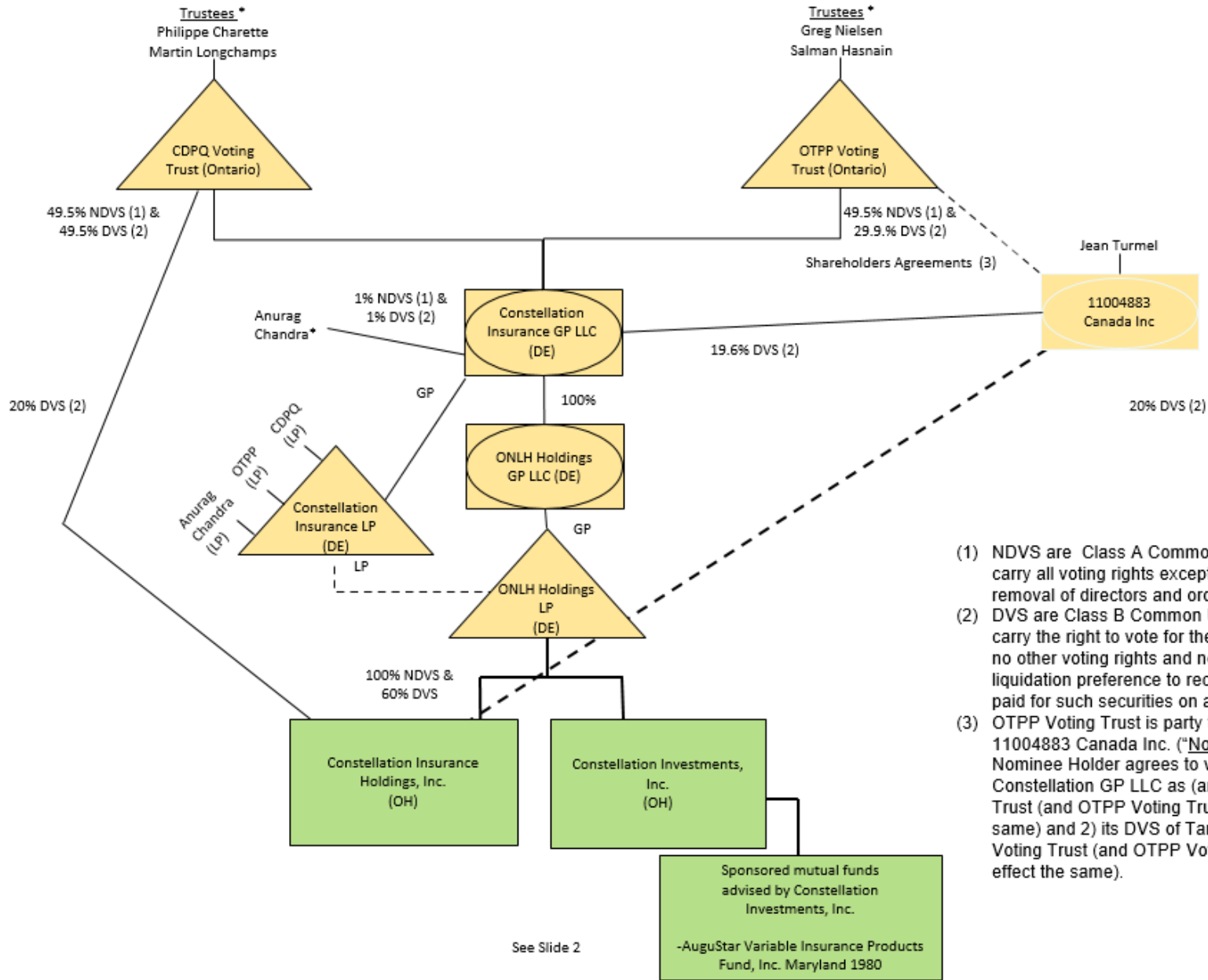
States, Etc.			Direct Business Only					
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
1	Active Status (a)							
1. Alabama	AL	L	3,077,941	180	675,327	0	3,753,448	0
2. Alaska	AK	L	212,272	0	63,611	0	275,883	0
3. Arizona	AZ	L	4,083,960	0	587,732	0	4,671,692	0
4. Arkansas	AR	L	1,766,526	0	219,277	0	1,985,803	0
5. California	CA	L	25,294,022	0	793,564	0	26,087,586	0
6. Colorado	CO	L	6,656,982	0	765,451	0	7,422,433	0
7. Connecticut	CT	L	3,356,857	0	265,758	0	3,622,615	0
8. Delaware	DE	L	465,763	0	58,505	0	524,268	0
9. District of Columbia	DC	L	390,840	0	58,212	0	449,052	0
10. Florida	FL	L	25,441,154	0	4,413,203	0	29,854,357	0
11. Georgia	GA	L	7,439,637	0	690,267	0	8,129,904	0
12. Hawaii	HI	L	107,679	0	56,843	0	164,522	0
13. Idaho	ID	L	2,349,941	0	190,963	0	2,540,904	0
14. Illinois	IL	L	7,182,805	0	984,027	0	8,166,832	0
15. Indiana	IN	L	3,216,574	0	352,946	0	3,569,520	0
16. Iowa	IA	L	3,114,361	0	176,805	0	3,291,166	0
17. Kansas	KS	L	3,805,277	2,000	432,836	0	4,240,113	0
18. Kentucky	KY	L	3,017,019	0	299,429	0	3,316,448	0
19. Louisiana	LA	L	3,113,423	0	503,095	0	3,616,518	0
20. Maine	ME	L	681,820	0	98,667	0	780,487	0
21. Maryland	MD	L	5,679,763	120	619,253	0	6,299,136	0
22. Massachusetts	MA	L	5,301,323	0	685,190	0	5,986,513	0
23. Michigan	MI	L	12,382,245	0	881,604	0	13,263,849	0
24. Minnesota	MN	L	4,425,116	0	368,776	0	4,793,892	0
25. Mississippi	MS	L	1,503,593	0	159,118	0	1,662,711	0
26. Missouri	MO	L	4,371,659	0	574,839	0	4,946,498	0
27. Montana	MT	L	1,614,709	0	117,600	0	1,732,309	0
28. Nebraska	NE	L	2,964,109	0	189,536	0	3,153,645	0
29. Nevada	NV	L	1,298,888	0	205,583	0	1,504,471	0
30. New Hampshire	NH	L	1,472,622	0	190,932	0	1,663,554	0
31. New Jersey	NJ	L	6,945,526	0	1,222,017	0	8,167,543	0
32. New Mexico	NM	L	771,174	0	98,270	0	869,444	0
33. New York	NY	N	576,536	0	397,831	0	974,367	0
34. North Carolina	NC	L	7,848,060	2,495	1,852,716	0	9,703,271	0
35. North Dakota	ND	L	682,471	0	50,913	0	733,384	0
36. Ohio	OH	L	17,952,825	0	1,623,192	0	19,576,017	160,000,000
37. Oklahoma	OK	L	3,535,293	0	440,816	0	3,976,109	0
38. Oregon	OR	L	3,282,082	0	411,354	0	3,693,436	0
39. Pennsylvania	PA	L	9,724,896	15,103	1,345,557	0	11,085,556	0
40. Rhode Island	RI	L	1,466,584	0	103,816	0	1,570,400	0
41. South Carolina	SC	L	3,274,867	0	544,163	0	3,819,030	0
42. South Dakota	SD	L	250,167	0	124,886	0	375,053	0
43. Tennessee	TN	L	9,927,439	0	1,945,118	0	11,872,557	0
44. Texas	TX	L	21,365,665	0	2,438,161	0	23,803,826	0
45. Utah	UT	L	4,917,551	0	492,644	0	5,410,195	0
46. Vermont	VT	L	462,840	0	90,624	0	553,464	0
47. Virginia	VA	L	6,223,496	200	680,432	0	6,904,128	0
48. Washington	WA	L	4,781,554	0	642,072	0	5,423,626	0
49. West Virginia	WV	L	789,872	0	170,810	0	960,682	0
50. Wisconsin	WI	L	3,359,705	0	553,906	0	3,913,611	0
51. Wyoming	WY	L	574,706	0	53,282	0	627,988	0
52. American Samoa	AS	N	0	0	0	0	0	0
53. Guam	GU	N	55,494	0	0	0	55,494	0
54. Puerto Rico	PR	L	3,094,543	0	964,626	0	4,059,169	0
55. U.S. Virgin Islands	VI	N	1,043	0	0	0	1,043	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0
57. Canada	CAN	N	3,248	0	3,711	0	6,959	0
58. Aggregate Other Aliens	OT	XXX	1,326	0	0	0	1,326	0
59. Subtotal	XXX		257,657,843	20,098	31,929,866	0	289,607,807	160,000,000
90. Reporting entity contributions for employee benefits plans	XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX		457,809	0	620,917	0	1,078,726	0
94. Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95. Totals (Direct Business)	XXX		258,115,652	20,098	32,550,783	0	290,686,533	160,000,000
96. Plus Reinsurance Assumed	XXX		0	0	51,520	0	51,520	0
97. Totals (All Business)	XXX		258,115,652	20,098	32,602,303	0	290,738,053	160,000,000
98. Less Reinsurance Ceded	XXX		141,587,472	0	11,302,026	0	152,889,498	0
99. Totals (All Business) less Reinsurance Ceded	XXX		116,528,180	20,098	21,300,277	0	137,848,555	160,000,000
DETAILS OF WRITE-INS								
58001. ZZZ Other Alien	XXX		1,326	0	0	0	1,326	0
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		1,326	0	0	0	1,326	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	51	4. Q - Qualified - Qualified or accredited reinsurer.....	0
2. R - Registered - Non-domiciled RRGs.....	0	5. N - None of the above - Not allowed to write business in the state.....	6
3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0		

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Organizational Chart
(Slide 1 of 2)

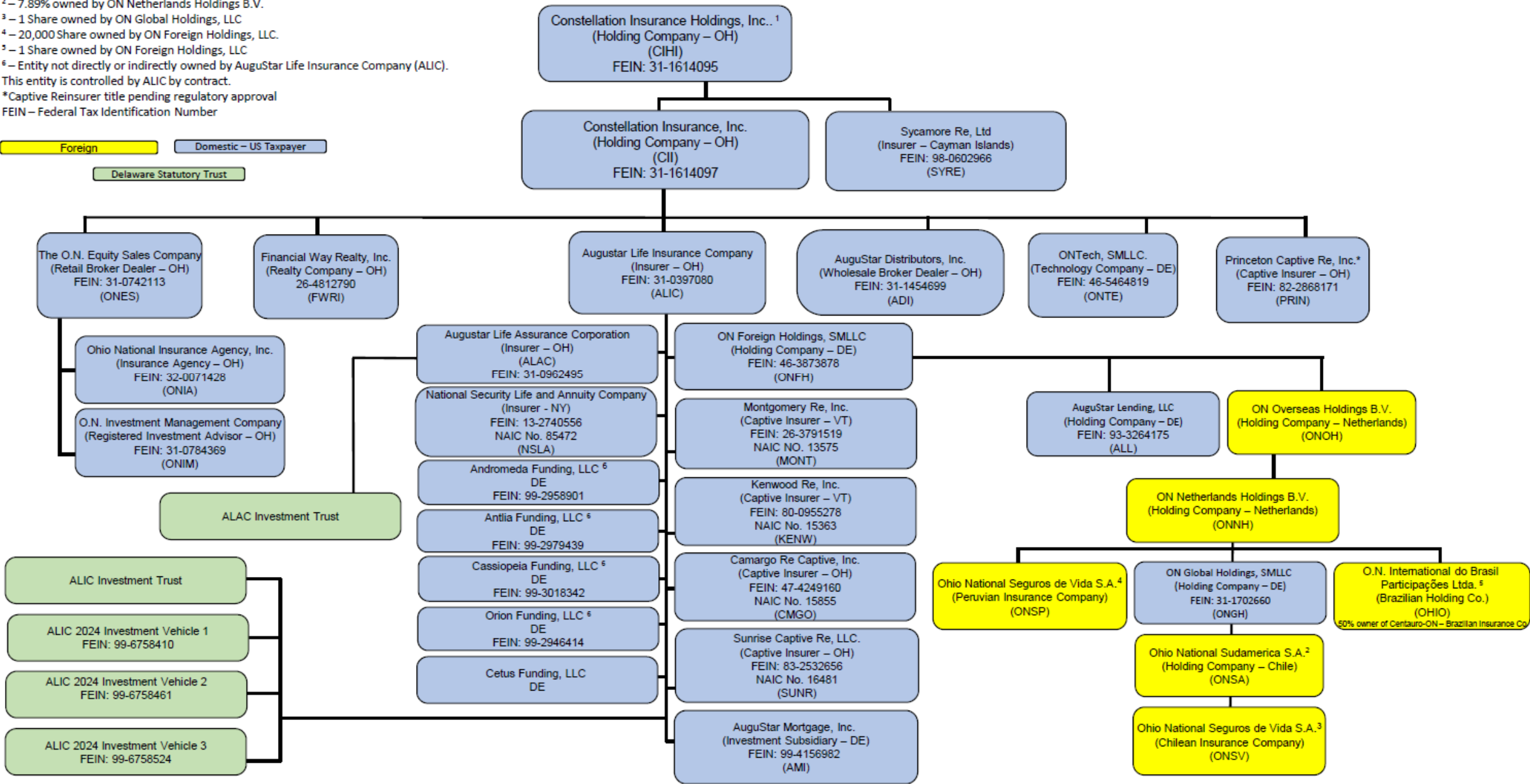


- (1) NDVS are Class A Common Units or Class A Common Stock that carry all voting rights except the right to vote for the election and removal of directors and ordinary economic rights.
- (2) DVS are Class B Common Units or Class B Common Stock that carry the right to vote for the election and removal of directors but no other voting rights and no economic rights (other than a liquidation preference to receive the nominal subscription price paid for such securities on a dissolution).
- (3) OTPP Voting Trust is party to shareholders agreements with 11004883 Canada Inc. ("Nominee Holder") pursuant to which Nominee Holder agrees to vote and transfer 1) its DVS of Constellation GP LLC as (and only as) directed by OTPP Voting Trust (and OTPP Voting Trust has a power of attorney to effect the same) and 2) its DVS of Target as (and only as) directed by OTPP Voting Trust (and OTPP Voting Trust has a power of attorney to effect the same).

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

¹ – 100% of the non-director voting shares and 60% of the director voting shares of ONHI are owned by ONLH Holdings LP, a Delaware Limited Partnership
² – 7.89% owned by ON Netherlands Holdings B.V.
³ – 1 Share owned by ON Global Holdings, LLC
⁴ – 20,000 Share owned by ON Foreign Holdings, LLC.
⁵ – 1 Share owned by ON Foreign Holdings, LLC
⁶ – Entity not directly or indirectly owned by AuguStar Life Insurance Company (ALIC). This entity is controlled by ALIC by contract.
*Captive Reinsurer title pending regulatory approval
FEIN – Federal Tax Identification Number

Constellation Organizational Chart



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	..CAN	UIP		Other	0.000		..NO	1
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ontario Teachers Pension Plan (OTPP) Voting Trust	..CAN	UIP		Other	0.000		..NO	1
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		11004883 Canada Inc.	..CAN	UIP		Other	0.000		..NO	2
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Ownership, Board of Directors, Management	49.500		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Ontario Teachers Pension Plan (OTPP) Voting Trust	Ownership, Board of Directors, Management	29.900		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	11004883 Canada Inc.	Management	19.600		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3510530	0	0		Constellation Insurance GP, LLC	..DE	UIP	Anurag Chandra (Member of Constellation Insurance GP, LLC)	Ownership, Board of Directors, Management	1.000		..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	UIP	Constellation Insurance GP, LLC	Other	0.000	Constellation Insurance GP, LLC	..NO	3
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Anurag Chandra (Member of Constellation Insurance LP, LLC)	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	84-3482603	0	0		Constellation Insurance LP	..DE	OTH	Ontario Teachers Pension Plan (OTPP) Voting Trust	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings GP, LLC	..DE	UIP	Constellation Insurance GP, LLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings LP	..DE	UIP	Constellation Insurance LP	Other	0.000	Constellation Insurance GP, LLC	..NO	4
. 0704	Constellation Insurance Holdings, Inc.	00000	86-3415002	0	0		ONLH Holdings LP	..DE	UIP	Constellation Insurance GP, LLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1454693	0	0		Constellation Investments, Inc.	..OH	NIA	ONLH Holdings LP	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..YES	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	ONLH Holdings LP	Ownership, Board of Directors, Management	60.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	Caisse de dépôt et placement du Québec (CDPQ) Voting Trust	Ownership, Board of Directors, Management	20.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614095	0	0		Constellation Insurance Holdings, Inc.	..OH	UIP	11004883 Canada Inc.	Ownership, Board of Directors, Management	20.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1614097	0	0		Constellation Insurance, Inc.	..OH	UIP	Constellation Insurance Holdings, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	AA-0056843	0	0		Sycamore Re, Ltd.	..CYM	IA	Constellation Insurance Holdings, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance GP, LLC	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	46-5464819	0	0		ON Tech, SMLLC	..DE	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	82-2868171	0	0		Princeton Captive Re, Inc.	..OH	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	26-4812790	0	0		Financial Way Realty, Inc.	..OH	NIA	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	46-3873878	0	0		Ohio National Foreign Holdings, SMLLC	..DE	NIA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0
. 0704	Constellation Insurance Holdings, Inc.	00000	93-3264175	0	0		AuguStar Lending, LLC	..DE	NIA	Ohio National Foreign Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	..NO	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Yes/No)	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi- ciliary Loca- tion	Rela- tion- ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)					*
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		ON Overseas Holding B.V.	..NLD	NIA	Ohio National Foreign Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		ON Netherlands Holdings B.V.	..NLD	NIA	ON Overseas Holding B.V.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ohio National Seguros de Vida S.A.	..PER	IA	ON Netherlands Holdings B.V.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	31-1702660	0	0		ON Global Holdings, SMLLC	..DE	NIA	ON Netherlands Holdings B.V.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ohio National Sudamerica S.A.	..CHL	NIA	ON Global Holdings, SMLLC	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Ohio National Seguros de Vida S.A.	..CHL	IA	Ohio National Sudamerica S.A.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		O.N. International do Brasil Participações Ltda.	..BRA	IA	ON Netherlands Holdings B.V.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	67172	31-0397080	0	0		AuguStar Life Insurance Company	..OH	UDP	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	13575	26-3791519	0	0		Montgomery Re, Inc.	..VT	IA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	15363	80-0955278	0	0		Kenwood Re, Inc	..VT	IA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	15855	47-4249160	0	0		Camargo Re Captive, Inc.	..OH	IA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	16481	83-2532656	0	0		Sunrise Captive Re, LLC	..OH	IA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	89206	31-0962495	0	0		AuguStar Life Assurance Corporation	..OH	RE	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		ALAC Investment Trust	..DE	NIA	AuguStar Life Assurance Corporation	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	85472	13-2740556	0	0		National Security Life and Annuity Company	..NY	IA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2958901	0	0		Andromeda Funding, LLC	..DE	NIA	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2979439	0	0		Antlia Funding, LLC	..DE	NIA	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-3018342	0	0		Cassiopeia Funding, LLC	..DE	NIA	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-2946414	0	0		Orion Funding, LLC	..DE	NIA	AuguStar Life Insurance Company	Contractual Control	0.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		Cetus Funding, LLC	..DE	NIA	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000		0	0		ALIC Investment Trust	..DE	NIA	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-6758410	0	0		ALIC 2024 Investment Vehicle 1	..DE	NIA	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-6758461	0	0		ALIC 2024 Investment Vehicle 2	..DE	NIA	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-6758524	0	0		ALIC 2024 Investment Vehicle 3	..DE	NIA	AuguStar Life Insurance Company	Ownership, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0
. 0704	Constellation Insurance Holdings, Inc.	00000	99-4156982	0	0		AuguStar Mortgage, Inc.	..DE	NIA	AuguStar Life Insurance Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	...NO	...0

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
. 0704	Constellation Insurance Holdings, Inc.	 00000	31-1454699	0	0		AuguStar Distributors, Inc.	.. OH.....	 NIA.....	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	YES.....	 0
. 0704	Constellation Insurance Holdings, Inc.	 00000	31-0742113	0	0		The O.N. Equity Sales Company	.. OH.....	 NIA.....	Constellation Insurance, Inc.	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	YES.....	 0
. 0704	Constellation Insurance Holdings, Inc.	 00000	32-0071428	0	0		Ohio National Insurance Agency, Inc.	.. OH.....	 NIA.....	The O.N. Equity Sales Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	NO.....	 0
. 0704	Constellation Insurance Holdings, Inc.	 00000	31-0784369	0	0		O.N. Investment Management Company	.. OH.....	 NIA.....	The O.N. Equity Sales Company	Ownership, Board of Directors, Management	100.000	Constellation Insurance Holdings, Inc.	NO.....	 0

Asterisk	Explanation
1	Voting Trust
2	Nominee Holder
3	General Partnership
4	Limited Partnership

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	YES
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. In the case of an ongoing statement of exemption, enter "SEE EXPLANATION" and provide as an explanation that the company is utilizing an ongoing statement of exemption.	N/A

AUGUST FILING

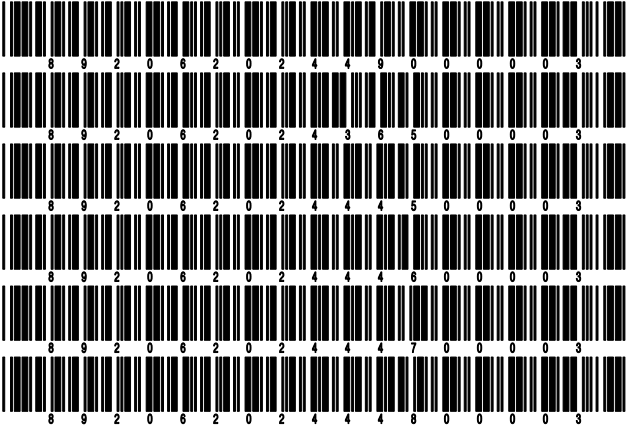
9. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
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Explanation:

1.
2.
3.
4.
5.
6.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]



STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Summary of Operations Line 8.3

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
08.304. Administrative Charges	1,466,554	391,326	537,362
08.305. VUL Gain (Loss)	22,953	214,052	226,089
08.397. Summary of remaining write-ins for Line 8.3 from overflow page	1,489,507	605,378	763,451

Additional Write-ins for Summary of Operations Line 27

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
2704. Fines and Penalties	0	6,000	6,000
2797. Summary of remaining write-ins for Line 27 from overflow page	0	6,000	6,000

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	463,087,261	452,169,616
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	39,386,732	53,320,000
2.2 Additional investment made after acquisition	500,054	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase/(decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	35,587,359	42,402,355
8. Deduct amortization of premium and mortgage interest points and commitment fees	343,841	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	467,042,847	463,087,261
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	467,042,847	463,087,261
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	467,042,847	463,087,261

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	42,770,523	37,712,761
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	4,537,444	664,404
2.2 Additional investment made after acquisition	7,865,963	5,234,212
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	57,479	2,853
5. Unrealized valuation increase/(decrease)	1,434,494	87,656
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	596,412	900,414
8. Deduct amortization of premium and depreciation	74,087	30,949
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	55,995,404	42,770,523
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	55,995,404	42,770,523

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	2,080,844,971	2,034,303,304
2. Cost of bonds and stocks acquired	53,063,742	187,588,174
3. Accrual of discount	996,659	1,006,761
4. Unrealized valuation increase/(decrease)	(33,498)	(28,081)
5. Total gain (loss) on disposals	(209,538)	(2,815,536)
6. Deduct consideration for bonds and stocks disposed of	123,836,427	136,955,707
7. Deduct amortization of premium	1,326,049	1,694,099
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	23,603
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	138,996	(536,242)
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	2,009,638,856	2,080,844,971
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	2,009,638,856	2,080,844,971

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	1,082,542,579	7,532,909	24,693,373	(2,054,468)	1,091,374,391	1,082,542,579	1,063,327,647	1,078,864,470
2. NAIC 2 (a)	882,074,631	5,918,129	18,025,612	20,422	902,857,549	882,074,631	869,987,570	923,155,178
3. NAIC 3 (a)	37,171,481	0	6,624,959	1,759,715	39,749,137	37,171,481	32,306,237	35,526,257
4. NAIC 4 (a)	7,434,118	0	16,345	129,234	5,767,054	7,434,118	7,547,007	5,794,261
5. NAIC 5 (a)	1,007,118	0	0	(296)	250,001	1,007,118	1,006,822	2,201,680
6. NAIC 6 (a)	239,271	0	4,399	(67,437)	260,597	239,271	167,435	265,983
7. Total Bonds	2,010,469,198	13,451,038	49,364,688	(212,830)	2,040,258,729	2,010,469,198	1,974,342,718	2,045,807,829
PREFERRED STOCK								
8. NAIC 1	15,000,000	0	0	0	15,000,000	15,000,000	15,000,000	15,000,000
9. NAIC 2	1,631,200	0	0	122,400	1,711,200	1,631,200	1,753,600	1,711,200
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	16,631,200	0	0	122,400	16,711,200	16,631,200	16,753,600	16,711,200
15. Total Bonds and Preferred Stock	2,027,100,398	13,451,038	49,364,688	(90,430)	2,056,969,929	2,027,100,398	1,991,096,318	2,062,519,029

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Prior Year Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
7709999999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of short-term investments acquired		
3. Accrual of discount		
4. Unrealized valuation increase/(decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)		
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)		

NONE

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	6,521,487
2.	Cost Paid/(Consideration Received) on additions	3,924,683
3.	Unrealized Valuation increase/(decrease)	656,392
4.	SSAP No. 108 adjustments	0
5.	Total gain (loss) on termination recognized	2,400,046
6.	Considerations received/(paid) on terminations	5,791,714
7.	Amortization	0
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	0
9.	Total foreign exchange change in Book/Adjusted Carrying Value	0
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	7,710,894
11.	Deduct nonadmitted assets	0
12.	Statement value at end of current period (Line 10 minus Line 11)	7,710,894

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year plus	
3.25	SSAP No. 108 adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
4.21	Amount used to adjust basis of hedged item	
4.22	Amount recognized	
4.23	SSAP No. 108 adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year	
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	7,710,894
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2)	7,710,894
4.	Part D, Section 1, Column 6	7,710,894
5.	Part D, Section 1, Column 7	0
6.	Total (Line 3 minus Line 4 minus Line 5)	0
		Fair Value Check
7.	Part A, Section 1, Column 16	7,710,894
8.	Part B, Section 1, Column 13	0
9.	Total (Line 7 plus Line 8)	7,710,894
10.	Part D, Section 1, Column 9	7,710,894
11.	Part D, Section 1, Column 10	0
12.	Total (Line 9 minus Line 10 minus Line 11)	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21	0
14.	Part B, Section 1, Column 20	0
15.	Part D, Section 1, Column 12	0
16.	Total (Line 13 plus Line 14 minus Line 15)	0

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of cash equivalents acquired	72,676,471	0
3. Accrual of discount	0	0
4. Unrealized valuation increase/(decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	71,074,440	0
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,602,031	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	1,602,031	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

[illegible]

SCHEDULE B - PART 2

[illegible]

SCHEDULE B - PART 3

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase/ (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other- Than- Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
1425697	NORTHBROOK	IL		09/03/2014	09/27/2024	790,120	0	0	0	0	0	0	747,787	747,787	0	0	0
1825699	LOUISVILLE	KY		09/05/2014	08/12/2024	421,705	0	0	0	0	0	0	326,729	326,729	0	0	0
3425457	CHARLOTTE	NC		07/13/2010	08/15/2024	249,027	0	0	0	0	0	0	160,077	160,077	0	0	0
3625694	BEACHWOOD	OH		08/05/2014	09/03/2024	1,453,679	0	0	0	0	0	0	1,382,480	1,382,480	0	0	0
3625726	CINCINNATI	OH		12/18/2014	08/20/2024	2,117,003	0	0	0	0	0	0	2,017,251	2,017,251	0	0	0
0199999. Mortgages closed by repayment						5,031,533	0	0	0	0	0	0	4,634,323	4,634,323	0	0	0
0125745	HOOVER	AL		03/26/2015		941,198	0	0	0	0	0	0	33,730	33,730	0	0	0
0125943	MOUNTAIN BROOK	AL		09/14/2017		1,870,899	0	0	0	0	0	0	25,282	25,282	0	0	0
0225839	TEMPE	AZ		07/06/2016		1,057,444	0	0	0	0	0	0	30,094	30,094	0	0	0
0325771	PHOENIX	AZ		06/30/2015		775,820	0	0	0	0	0	0	19,136	19,136	0	0	0
0325823	TEMPE	AZ		04/21/2016		2,683,900	0	0	0	0	0	0	26,913	26,913	0	0	0
0325824	PHOENIX	AZ		04/26/2016		572,763	0	0	0	0	0	0	8,996	8,996	0	0	0
0325830	TUCSON	AZ		05/26/2016		1,035,548	0	0	0	0	0	0	40,456	40,456	0	0	0
0325836	PHOENIX	AZ		06/20/2016		4,181,960	0	0	0	0	0	0	41,079	41,079	0	0	0
0325843	TUCSON	AZ		07/22/2016		1,125,714	0	0	0	0	0	0	11,116	11,116	0	0	0
0325904	TUCSON	AZ		05/01/2017		386,246	0	0	0	0	0	0	9,679	9,679	0	0	0
0325940	PHOENIX	AZ		09/12/2017		1,092,943	0	0	0	0	0	0	14,658	14,658	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0325941	PHOENIX	AZ		09/12/2017		901,666	0	0	0	0	0	0	12,093	12,093	0	0	0
0325942	PHOENIX	AZ		09/12/2017		503,535	0	0	0	0	0	0	6,753	6,753	0	0	0
0325957	TUSCON	AZ		11/14/2017		1,097,416	0	0	0	0	0	0	22,222	22,222	0	0	0
0325964	COTTONWOOD	AZ		12/18/2017		3,055,497	0	0	0	0	0	0	66,005	66,005	0	0	0
0326022	CHANDLER	AZ		08/22/2018		2,973,295	0	0	0	0	0	0	35,052	35,052	0	0	0
0326115	SCOTTSDALE	AZ		11/08/2019		3,859,954	0	0	0	0	0	0	30,432	30,432	0	0	0
0326130	TEMPE	AZ		02/19/2020		674,546	0	0	0	0	0	0	12,177	12,177	0	0	0
0326248	TUCSON	AZ		11/29/2021		1,085,819	0	0	0	0	0	0	15,152	15,152	0	0	0
0326274	SCOTTSDALE	AZ		03/08/2022		941,535	0	0	0	0	0	0	9,245	9,245	0	0	0
0326303	PLACENTIA	CA		07/13/2022		2,016,088	0	0	0	0	0	0	16,788	16,788	0	0	0
0326313	ORO VALLEY	AZ		09/28/2022		6,415,719	0	0	0	0	0	0	53,277	53,277	0	0	0
0425997	LITTLE ROCK	AR		05/11/2018		2,144,660	0	0	0	0	0	0	45,718	45,718	0	0	0
0426092	FAYETTEVILLE	AR		07/31/2019		1,192,376	0	0	0	0	0	0	22,511	22,511	0	0	0
0426098	RUSSELLVILLE	AR		07/31/2019		1,780,688	0	0	0	0	0	0	27,215	27,215	0	0	0
0426099	ROGERS	AR		07/31/2019		1,028,351	0	0	0	0	0	0	19,530	19,530	0	0	0
0426100	SPRINGDALE	AR		07/31/2019		1,830,003	0	0	0	0	0	0	33,683	33,683	0	0	0
0525522	DANA POINT	CA		08/19/2011		324,428	0	0	0	0	0	0	28,300	28,300	0	0	0
0525849	DEL MAR	CA		08/30/2016		1,769,561	0	0	0	0	0	0	25,191	25,191	0	0	0
0525863	LOS ANGELES	CA		11/01/2016		2,017,719	0	0	0	0	0	0	29,470	29,470	0	0	0
0525952	SAN YSIDRO	CA		10/27/2017		2,758,820	0	0	0	0	0	0	30,835	30,835	0	0	0
0526174	HAYWARD	CA		02/10/2021		4,710,866	0	0	0	0	0	0	28,461	28,461	0	0	0
0526244	MORENO VALLEY	CA		11/10/2021		2,262,856	0	0	0	0	0	0	44,239	44,239	0	0	0
0526292	MALIBU	CA		06/03/2022		7,251,886	0	0	0	0	0	0	46,289	46,289	0	0	0
0526359	SACRAMENTO	CA		05/01/2023		1,911,579	0	0	0	0	0	0	39,894	39,894	0	0	0
0526391	CERRITOS	CA		02/02/2024		0	0	0	0	0	0	0	16,936	16,936	0	0	0
0625761	GOLDEN	CO		05/22/2015		2,356,237	0	0	0	0	0	0	40,995	40,995	0	0	0
0625917	WESTMINISTER	CO		06/29/2017		844,160	0	0	0	0	0	0	10,394	10,394	0	0	0
0626026	FALCON	CO		09/11/2018		2,606,326	0	0	0	0	0	0	54,196	54,196	0	0	0
0826027	MIDDLETOWN	DE		09/11/2018		1,656,193	0	0	0	0	0	0	33,638	33,638	0	0	0
0926361	WASHINGTON	DC		05/30/2023		4,092,178	0	0	0	0	0	0	30,332	30,332	0	0	0
1025363	CORAL SPRINGS	FL		12/20/2007		204,327	0	0	0	0	0	0	47,423	47,423	0	0	0
1025434	POMPANO BEACH	FL		02/17/2009		610,754	0	0	0	0	0	0	6,787	6,787	0	0	0
1025485	GROVELAND	FL		01/26/2011		951,171	0	0	0	0	0	0	41,075	41,075	0	0	0
1025503	JACKSONVILLE	FL		05/27/2011		356,510	0	0	0	0	0	0	34,399	34,399	0	0	0
1025515	KISSIMEE	FL		07/07/2011		294,480	0	0	0	0	0	0	26,510	26,510	0	0	0
1025528	ORLANDO	FL		09/29/2011		249,672	0	0	0	0	0	0	21,766	21,766	0	0	0
1025581	MARIANNA	FL		10/26/2012		535,874	0	0	0	0	0	0	32,012	32,012	0	0	0
1025647	OCFEE	FL		08/29/2013		547,384	0	0	0	0	0	0	26,616	26,616	0	0	0
1025691	ORLANDO	FL		07/23/2014		881,890	0	0	0	0	0	0	14,417	14,417	0	0	0
1025695	NICEVILLE	FL		08/08/2014		710,154	0	0	0	0	0	0	9,242	9,242	0	0	0
1025698	OCALA	FL		09/03/2014		861,404	0	0	0	0	0	0	31,468	31,468	0	0	0
1025703	NAPLES	FL		09/19/2014		730,875	0	0	0	0	0	0	28,261	28,261	0	0	0
1025740	DORAL	FL		02/26/2015		1,319,196	0	0	0	0	0	0	23,714	23,714	0	0	0
1025784	CLEARWATER	FL		08/19/2015		1,715,865	0	0	0	0	0	0	28,919	28,919	0	0	0
1025817	NAPLES	FL		02/19/2016		3,937,389	0	0	0	0	0	0	50,458	50,458	0	0	0
1025819	PORT ST LUCIE	FL		03/14/2016		146,422	0	0	0	0	0	0	15,323	15,323	0	0	0
1025878	ORLANDO	FL		01/05/2017		2,995,312	0	0	0	0	0	0	42,058	42,058	0	0	0
1025885	SHALIMAR	FL		01/30/2017		2,529,311	0	0	0	0	0	0	44,817	44,817	0	0	0
1025931	TALLAHASSEE	FL		07/28/2017		1,417,042	0	0	0	0	0	0	34,284	34,284	0	0	0
1026111	DEERFIELD BEACH	FL		10/10/2019		4,217,021	0	0	0	0	0	0	48,010	48,010	0	0	0
1125905	SNELLVILLE	GA		05/01/2017		840,459	0	0	0	0	0	0	11,487	11,487	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
1126031	GAINESVILLE	GA		10/25/2018		1,154,742	0	0	0	0	0	0	35,115	35,115	0	0	0
1126042	MACON	GA		12/14/2018		2,139,570	0	0	0	0	0	0	24,349	24,349	0	0	0
1126082	SAVANNAH	GA		06/20/2019		1,475,413	0	0	0	0	0	0	27,931	27,931	0	0	0
1126103	BRUNSWICK	GA		09/06/2019		2,692,740	0	0	0	0	0	0	27,587	27,587	0	0	0
1126129	WOODSTOCK	GA		01/08/2020		3,749,857	0	0	0	0	0	0	100,392	100,392	0	0	0
1126218	BRUNSWICK	GA		07/23/2021		1,831,277	0	0	0	0	0	0	18,078	18,078	0	0	0
1126381	NORCROSS	GA		09/05/2023		2,408,903	0	0	0	0	0	0	17,309	17,309	0	0	0
1126398	ATLANTA	GA		03/26/2024		0	0	0	0	0	0	0	9,242	9,242	0	0	0
1325938	IDAHO FALLS	ID		08/25/2017		1,630,156	0	0	0	0	0	0	39,264	39,264	0	0	0
1425429	LINCOLNWOOD	IL		12/01/2008		993,405	0	0	0	0	0	0	19,593	19,593	0	0	0
1425631	FRANKLIN PARK	IL		06/20/2013		479,687	0	0	0	0	0	0	24,131	24,131	0	0	0
1425632	CHICAGO	IL		06/20/2013		479,687	0	0	0	0	0	0	24,131	24,131	0	0	0
1425650	LAKE ZURICH	IL		09/06/2013		509,061	0	0	0	0	0	0	22,723	22,723	0	0	0
1425697	NORTHBROOK	IL		09/03/2014		0	0	0	0	0	0	0	14,283	14,283	0	0	0
1425704	CICERO	IL		09/25/2014		1,457,237	0	0	0	0	0	0	26,995	26,995	0	0	0
1425860	DEERFIELD	IL		09/29/2016		733,996	0	0	0	0	0	0	11,050	11,050	0	0	0
1425873	NORTHBROOK	IL		12/20/2016		1,768,430	0	0	0	0	0	0	16,569	16,569	0	0	0
1425946	BARRINGTON	IL		09/27/2017		664,664	0	0	0	0	0	0	8,869	8,869	0	0	0
1425953	HINSDALE	IL		10/30/2017		1,749,113	0	0	0	0	0	0	22,630	22,630	0	0	0
1426023	LOMBARD	IL		08/28/2018		2,106,042	0	0	0	0	0	0	24,831	24,831	0	0	0
1426217	BUFFALO GROVE	IL		07/22/2021		1,835,347	0	0	0	0	0	0	18,843	18,843	0	0	0
1426222	FAIRVIEW HEIGHTS	IL		08/03/2021		943,270	0	0	0	0	0	0	6,728	6,728	0	0	0
1525573	GRIFFITH	IN		09/11/2012		660,786	0	0	0	0	0	0	40,346	40,346	0	0	0
1525629	GREENFIELD	IN		06/14/2013		815,934	0	0	0	0	0	0	41,231	41,231	0	0	0
1525696	BLOOMINGTON	IN		08/21/2014		372,503	0	0	0	0	0	0	14,578	14,578	0	0	0
1525783	INDIANAPOLIS	IN		08/04/2015		2,059,828	0	0	0	0	0	0	33,735	33,735	0	0	0
1525807	FORT WAYNE	IN		12/22/2015		497,275	0	0	0	0	0	0	14,832	14,832	0	0	0
1526001	FISHERS	IN		06/15/2018		1,286,495	0	0	0	0	0	0	21,303	21,303	0	0	0
1526076	FISHERS	IN		05/28/2019		3,601,282	0	0	0	0	0	0	26,596	26,596	0	0	0
1526109	FISHERS	IN		09/30/2019		1,370,609	0	0	0	0	0	0	20,748	20,748	0	0	0
1526296	INDIANAPOLIS	IN		06/06/2022		2,668,093	0	0	0	0	0	0	24,580	24,580	0	0	0
1526298	NOBLESVILLE	IN		06/23/2022		778,927	0	0	0	0	0	0	6,774	6,774	0	0	0
1825646	LEXINGTON	KY		08/22/2013		460,604	0	0	0	0	0	0	40,498	40,498	0	0	0
1825699	LOUISVILLE	KY		09/05/2014		0	0	0	0	0	0	0	23,983	23,983	0	0	0
1825780	LEXINGTON	KY		07/28/2015		1,230,284	0	0	0	0	0	0	20,875	20,875	0	0	0
1825822	LEXINGTON	KY		04/07/2016		2,941,693	0	0	0	0	0	0	45,998	45,998	0	0	0
1826193	BELLEVUE	KY		05/13/2021		662,468	0	0	0	0	0	0	7,175	7,175	0	0	0
1826210	BURLINGTON	KY		07/07/2021		1,100,201	0	0	0	0	0	0	11,401	11,401	0	0	0
1826213	LEXINGTON	KY		07/14/2021		1,272,422	0	0	0	0	0	0	8,915	8,915	0	0	0
1826310	LOUISVILLE	KY		08/30/2022		1,826,943	0	0	0	0	0	0	15,509	15,509	0	0	0
1826331	DRY RIDGE	KY		11/17/2022		1,077,800	0	0	0	0	0	0	13,791	13,791	0	0	0
2125749	DISTRICT HEIGHTS	MD		04/30/2015		1,236,556	0	0	0	0	0	0	21,764	21,764	0	0	0
2126003	ELKTON	MD		06/22/2018		1,724,103	0	0	0	0	0	0	25,704	25,704	0	0	0
2126046	GROFTON	MD		12/21/2018		1,258,010	0	0	0	0	0	0	14,162	14,162	0	0	0
2126053	SILVER SPRING	MD		02/21/2019		2,850,593	0	0	0	0	0	0	39,468	39,468	0	0	0
2126355	CLINTON	MD		04/21/2023		3,066,987	0	0	0	0	0	0	14,912	14,912	0	0	0
2225809	BRAINTREE	MA		12/29/2015		1,983,671	0	0	0	0	0	0	31,959	31,959	0	0	0
2226010	CAMBRIDGE	MA		07/13/2018		817,505	0	0	0	0	0	0	9,963	9,963	0	0	0
2325674	CLARKSTON	MI		01/28/2014		474,383	0	0	0	0	0	0	20,763	20,763	0	0	0
2325683	AUBURN HILLS	MI		04/17/2014		341,899	0	0	0	0	0	0	26,105	26,105	0	0	0
2325725	MADISON HEIGHTS	MI		12/17/2014		792,999	0	0	0	0	0	0	24,565	24,565	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
3625627	CENTERVILLE	OH.		06/05/2013		750,627	0	0	0	0	0	0	38,094	38,094	0	0	0
3625645	CINCINNATI	OH.		08/13/2013		887,484	0	0	0	0	0	0	41,797	41,797	0	0	0
3625694	BEACHWOOD	OH.		08/05/2014		0	0	0	0	0	0	0	18,000	18,000	0	0	0
3625726	CINCINNATI	OH.		12/18/2014		0	0	0	0	0	0	0	25,219	25,219	0	0	0
3625827	LORAIN	OH.		04/29/2016		746,571	0	0	0	0	0	0	21,956	21,956	0	0	0
3625923	COLUMBUS	OH.		07/10/2017		1,571,040	0	0	0	0	0	0	20,778	20,778	0	0	0
3625936	NORTH ROYALTON	OH.		08/24/2017		770,718	0	0	0	0	0	0	30,002	30,002	0	0	0
3625970	FAIRBORN	OH.		12/21/2017		749,345	0	0	0	0	0	0	17,274	17,274	0	0	0
3625975	BEACHWOOD	OH.		01/25/2018		1,242,638	0	0	0	0	0	0	28,034	28,034	0	0	0
3625982	OTTAWA	OH.		03/14/2018		622,029	0	0	0	0	0	0	15,828	15,828	0	0	0
3626005	YOUNGSTOWN	OH.		06/26/2018		701,685	0	0	0	0	0	0	14,802	14,802	0	0	0
3626030	GARFIELD HEIGHTS	OH.		10/05/2018		1,218,365	0	0	0	0	0	0	24,677	24,677	0	0	0
3626057	CINCINNATI	OH.		03/11/2019		899,868	0	0	0	0	0	0	12,356	12,356	0	0	0
3626065	MASON	OH.		04/15/2019		1,717,442	0	0	0	0	0	0	58,142	58,142	0	0	0
3626072	BROOK PARK	OH.		04/30/2019		1,609,330	0	0	0	0	0	0	30,707	30,707	0	0	0
3626074	STREETSBORO	OH.		05/02/2019		2,717,197	0	0	0	0	0	0	35,769	35,769	0	0	0
3626206	CARROLLTON	OH.		06/29/2021		1,855,711	0	0	0	0	0	0	41,964	41,964	0	0	0
3626216	CAMBRIDGE	OH.		07/15/2021		1,255,388	0	0	0	0	0	0	27,894	27,894	0	0	0
3626220	CINCINNATI	OH.		07/30/2021		4,340,434	0	0	0	0	0	0	52,546	52,546	0	0	0
3626264	CENTERVILLE	OH.		07/01/2023		8,724,508	0	0	0	0	0	0	90,064	90,064	0	0	0
3626268	GROVE CITY	OH.		01/31/2022		1,356,475	0	0	0	0	0	0	20,667	20,667	0	0	0
3626277	WASHINGTON TOWNSHIP	OH.		03/30/2022		1,563,593	0	0	0	0	0	0	15,259	15,259	0	0	0
3626278	ANDERSON TOWNSHIP	OH.		03/30/2022		2,332,226	0	0	0	0	0	0	28,595	28,595	0	0	0
3626329	CINCINNATI	OH.		11/17/2022		1,025,341	0	0	0	0	0	0	13,120	13,120	0	0	0
3626332	WEST CHESTER	OH.		12/05/2022		1,219,553	0	0	0	0	0	0	8,861	8,861	0	0	0
3626333	CINCINNATI	OH.		12/14/2022		2,457,569	0	0	0	0	0	0	12,309	12,309	0	0	0
3626353	MASON	OH.		04/06/2023		1,807,263	0	0	0	0	0	0	37,394	37,394	0	0	0
3626387	CINCINNATI	OH.		11/01/2023		497,030	0	0	0	0	0	0	9,304	9,304	0	0	0
3626411	WESTLAKE	OH.		07/25/2024		0	0	0	0	0	0	0	4,751	4,751	0	0	0
3725944	TULSA	OK.		09/15/2017		645,698	0	0	0	0	0	0	39,989	39,989	0	0	0
3825553	PORTLAND	OR.		05/07/2012		475,257	0	0	0	0	0	0	15,806	15,806	0	0	0
3825732	SPRINGFIELD	OR.		12/29/2014		1,552,018	0	0	0	0	0	0	27,858	27,858	0	0	0
3925244	CASTLE SHANNON	PA.		06/15/2006		134,583	0	0	0	0	0	0	12,499	12,499	0	0	0
3926281	BRISTOL	PA.		04/18/2022		4,319,989	0	0	0	0	0	0	112,806	112,806	0	0	0
4125536	FORT MILL	SC.		10/31/2011		342,575	0	0	0	0	0	0	28,042	28,042	0	0	0
4125898	CHARLESTON	SC.		03/30/2017		2,190,898	0	0	0	0	0	0	22,919	22,919	0	0	0
4125918	CONWAY	SC.		06/28/2017		1,926,168	0	0	0	0	0	0	26,466	26,466	0	0	0
4225864	BELLE FOURCHE	SD.		11/08/2016		1,484,318	0	0	0	0	0	0	21,902	21,902	0	0	0
4325727	LAKELAND	TN.		12/19/2014		748,817	0	0	0	0	0	0	27,704	27,704	0	0	0
4326034	CHATTANOOGA	TN.		11/27/2018		960,410	0	0	0	0	0	0	11,064	11,064	0	0	0
4326055	CHATTANOOGA	TN.		02/27/2019		2,131,465	0	0	0	0	0	0	16,236	16,236	0	0	0
4326063	CORDOVA	TN.		03/29/2019		1,229,829	0	0	0	0	0	0	23,519	23,519	0	0	0
4326378	CHATTANOOGA	TN.		09/05/2023		1,751,929	0	0	0	0	0	0	12,589	12,589	0	0	0
4326379	CHATTANOOGA	TN.		09/05/2023		2,558,214	0	0	0	0	0	0	18,384	18,384	0	0	0
4326382	LOUTHERIAH	TN.		09/05/2023		1,274,130	0	0	0	0	0	0	9,156	9,156	0	0	0
4326403	CHATTANOOGA	TN.		04/29/2024		0	0	0	0	0	0	0	6,843	6,843	0	0	0
4425228	TEMPLE	TX.		04/06/2006		331,357	0	0	0	0	0	0	33,048	33,048	0	0	0
4425554	PORTLAND	TX.		05/14/2012		525,583	0	0	0	0	0	0	35,568	35,568	0	0	0
4425571	EL PASO	TX.		08/28/2012		614,080	0	0	0	0	0	0	23,714	23,714	0	0	0
4425665	LAREDO	TX.		11/26/2013		159,204	0	0	0	0	0	0	40,041	40,041	0	0	0
4425715	EL PASO	TX.		10/30/2014		672,436	0	0	0	0	0	0	18,187	18,187	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
4425724	FREDERICKSBURG	TX		12/16/2014		530,461	0	0	0	0	0	0	30,602	30,602	0	0	0
4425741	NORTH RICHLAND HILLS	TX		03/13/2015		1,503,134	0	0	0	0	0	0	25,507	25,507	0	0	0
4425774	RED OAK	TX		07/10/2015		1,017,364	0	0	0	0	0	0	11,412	11,412	0	0	0
4425852	DALLAS	TX		09/13/2016		2,150,431	0	0	0	0	0	0	32,884	32,884	0	0	0
4425879	SAN ANTONIO	TX		01/11/2017		1,589,919	0	0	0	0	0	0	22,721	22,721	0	0	0
4425891	EL PASO	TX		03/06/2017		474,334	0	0	0	0	0	0	12,187	12,187	0	0	0
4425894	ROCKWALL	TX		03/21/2017		932,301	0	0	0	0	0	0	8,540	8,540	0	0	0
4425903	VICTORIA	TX		04/27/2017		1,276,991	0	0	0	0	0	0	21,608	21,608	0	0	0
4425907	SAN ANTONIO	TX		05/12/2017		629,579	0	0	0	0	0	0	15,585	15,585	0	0	0
4425914	HORIZON CITY	TX		06/21/2017		1,593,047	0	0	0	0	0	0	29,128	29,128	0	0	0
4425928	EL PASO	TX		07/18/2017		3,877,714	0	0	0	0	0	0	90,660	90,660	0	0	0
4425945	PFLUGERVILLE	TX		09/20/2017		2,343,710	0	0	0	0	0	0	31,361	31,361	0	0	0
4425981	EL PASO	TX		03/09/2018		3,284,472	0	0	0	0	0	0	40,957	40,957	0	0	0
4425984	HOUSTON	TX		03/28/2018		799,815	0	0	0	0	0	0	10,268	10,268	0	0	0
4426060	MCALLEN	TX		03/26/2019		1,790,105	0	0	0	0	0	0	34,489	34,489	0	0	0
4426061	SWEETWATER	TX		03/27/2019		2,313,342	0	0	0	0	0	0	26,744	26,744	0	0	0
4426087	NEW BRAUNFELS	TX		07/15/2019		1,054,363	0	0	0	0	0	0	18,171	18,171	0	0	0
4426106	HOUSTON	TX		09/19/2019		1,313,694	0	0	0	0	0	0	23,283	23,283	0	0	0
4426110	FORT WORTH	TX		10/10/2019		2,901,113	0	0	0	0	0	0	54,347	54,347	0	0	0
4426194	VICTORIA	TX		05/14/2021		3,684,414	0	0	0	0	0	0	33,685	33,685	0	0	0
4426214	SAN ANTONIO	TX		07/15/2021		2,388,817	0	0	0	0	0	0	69,604	69,604	0	0	0
4426251	SAN ANGELO	TX		12/06/2021		1,861,275	0	0	0	0	0	0	19,083	19,083	0	0	0
4426262	EAGLE PASS	TX		12/22/2021		2,307,825	0	0	0	0	0	0	43,682	43,682	0	0	0
4426290	HOUSTON	TX		05/27/2022		964,441	0	0	0	0	0	0	16,846	16,846	0	0	0
4426301	EL PASO	TX		06/28/2022		967,274	0	0	0	0	0	0	6,112	6,112	0	0	0
4426308	CEDAR PARK	TX		08/23/2022		5,674,671	0	0	0	0	0	0	47,871	47,871	0	0	0
4426327	SAN ANTONIO	TX		11/10/2022		4,820,873	0	0	0	0	0	0	26,257	26,257	0	0	0
4426328	ARLINGTON	VA		11/16/2022		4,901,735	0	0	0	0	0	0	26,078	26,078	0	0	0
4426334	EL PASO	TX		12/20/2022		1,277,637	0	0	0	0	0	0	6,481	6,481	0	0	0
4426393	LEANDER	TX		02/12/2024		0	0	0	0	0	0	0	13,404	13,404	0	0	0
4426410	SAN ANTONIO	TX		06/25/2024		0	0	0	0	0	0	0	7,640	7,640	0	0	0
4525637	SALT LAKE CITY	UT		06/27/2013		479,687	0	0	0	0	0	0	24,131	24,131	0	0	0
4526025	SALT LAKE CITY	UT		09/04/2018		1,868,012	0	0	0	0	0	0	21,718	21,718	0	0	0
4526136	OREM	UT		03/30/2020		1,991,110	0	0	0	0	0	0	15,604	15,604	0	0	0
4526345	MURRAY	UT		02/08/2023		1,380,590	0	0	0	0	0	0	6,848	6,848	0	0	0
4720658	GLEN ALLEN	VA		03/13/2019		2,809,206	0	0	0	0	0	0	21,001	21,001	0	0	0
4725546	ROANOKE	VA		02/27/2012		814,116	0	0	0	0	0	0	28,165	28,165	0	0	0
4725706	WOODBRIIDGE	VA		09/30/2014		236,490	0	0	0	0	0	0	71,535	71,535	0	0	0
4725720	MIDLOTHIAN	VA		12/03/2014		697,604	0	0	0	0	0	0	12,406	12,406	0	0	0
4725721	YORKTOWN	VA		12/10/2014		591,801	0	0	0	0	0	0	21,895	21,895	0	0	0
4725960	NORFOLK	VA		11/22/2017		2,357,148	0	0	0	0	0	0	31,318	31,318	0	0	0
4725983	VIRGINIA BEACH	VA		03/16/2018		1,495,204	0	0	0	0	0	0	33,439	33,439	0	0	0
4726029	FARMVILLE	VA		09/27/2018		5,176,765	0	0	0	0	0	0	60,778	60,778	0	0	0
4726162	STERLING	VA		11/12/2020		2,363,904	0	0	0	0	0	0	25,735	25,735	0	0	0
4726180	WINCHESTER	VA		03/25/2021		3,896,301	0	0	0	0	0	0	65,349	65,349	0	0	0
4726196	PORTSMOUTH	VA		05/19/2021		3,097,181	0	0	0	0	0	0	32,403	32,403	0	0	0
4726412	ANNANDALE	VA		07/25/2024		0	0	0	0	0	0	0	3,839	3,839	0	0	0
4825759	RICHLAND	WA		05/21/2015		2,947,143	0	0	0	0	0	0	48,358	48,358	0	0	0
4825775	SILVERDALE	WA		07/13/2015		2,050,982	0	0	0	0	0	0	34,786	34,786	0	0	0
4825838	AUBURN	WA		06/29/2016		1,279,217	0	0	0	0	0	0	12,825	12,825	0	0	0
4825861	TACOMA	WA		10/04/2016		556,264	0	0	0	0	0	0	15,319	15,319	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

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Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
4825916	BELLINGHAM	WA		06/28/2017		2,391,397	0	0	0	0	0	0	32,742	32,742	0	0	0
4826050	BURIEN	WA		02/15/2019		1,522,072	0	0	0	0	0	0	29,219	29,219	0	0	0
4826230	SEATTLE	WA		08/26/2021		3,200,702	0	0	0	0	0	0	23,535	23,535	0	0	0
4826369	SEATTLE	WA		07/12/2023		2,289,857	0	0	0	0	0	0	7,945	7,945	0	0	0
5025890	BURLINGTON	WI		03/03/2017		410,434	0	0	0	0	0	0	17,469	17,469	0	0	0
5026067	ARCADIA	WI		04/17/2019		612,482	0	0	0	0	0	0	12,834	12,834	0	0	0
5026339	MILWAUKEE	WI		01/31/2023		4,042,802	0	0	0	0	0	0	49,791	49,791	0	0	0
5026340	MILWAUKEE	WI		01/31/2023		4,331,568	0	0	0	0	0	0	53,349	53,349	0	0	0
5026342	MILWAUKEE	WI		01/31/2023		6,016,075	0	0	0	0	0	0	74,093	74,093	0	0	0
RES000000064	COLUMBIA	SC		04/02/2024		0	0	0	0	0	0	0	615	615	0	0	0
RES000000073	NORTH STONINGTON	CT		03/26/2024		0	0	(1,891)	0	0	(1,891)	0	616	616	0	0	0
RES000000077	VASS	NC		03/28/2024		0	0	0	0	0	0	0	449	449	0	0	0
RES000000078	SIMPSONVILLE	SC		03/28/2024		0	0	(1,408)	0	0	(1,408)	0	206	206	0	0	0
RES000000079	LAKELAND	FL		03/28/2024		0	0	0	0	0	0	0	798	798	0	0	0
RES000000080	GAINESVILLE	FL		03/26/2024		0	0	(1,455)	0	0	(1,455)	0	249	249	0	0	0
RES000000081	ROCKFORD	IL		03/27/2024		0	0	0	0	0	0	0	205	205	0	0	0
RES000000082	PATERSON	NJ		03/28/2024		0	0	(1,027)	0	0	(1,027)	0	727	727	0	0	0
RES000000083	MANTECA	CA		03/19/2024		0	0	0	0	0	0	0	971	971	0	0	0
RES000000084	YOUNGSVILLE	NC		03/28/2024		0	0	(3,304)	0	0	(3,304)	0	989	989	0	0	0
RES000000085	SUMMERVILLE	SC		03/27/2024		0	0	(2,730)	0	0	(2,730)	0	2,570	2,570	0	0	0
RES000000123	LAKE HARMONY	PA		04/05/2024		0	0	(696)	0	0	(696)	0	662	662	0	0	0
RES000000124	CANTON	MS		04/11/2024		0	0	(1,198)	0	0	(1,198)	0	500	500	0	0	0
RES000000125	WAIKOLOA	HI		04/11/2024		0	0	(5,398)	0	0	(5,398)	0	11,554	11,554	0	0	0
RES000000126	DOVER	DE		03/25/2024		0	0	0	0	0	0	0	382	382	0	0	0
RES000000127	OAKLAND	MD		03/19/2024		0	0	(3,207)	0	0	(3,207)	0	1,715	1,715	0	0	0
RES000000142	PELHAM	GA		04/15/2024		0	0	(666)	0	0	(666)	0	253	253	0	0	0
RES000000143	IRVING	TX		04/15/2024		0	0	(1,432)	0	0	(1,432)	0	546	546	0	0	0
RES000000174	DEER PARK	TX		04/25/2024		0	0	(909)	0	0	(909)	0	324	324	0	0	0
RES000000175	DALLAS	TX		04/23/2024		0	0	(4,535)	0	0	(4,535)	0	910	910	0	0	0
RES000000176	SANTA MARIA	CA		04/23/2024		0	0	(6,579)	0	0	(6,579)	0	938	938	0	0	0
RES000000177	HUBERTUS	WI		04/24/2024		0	0	(4,366)	0	0	(4,366)	0	613	613	0	0	0
RES000000178	LEXINGTON	SC		04/26/2024		0	0	(4,480)	0	0	(4,480)	0	569	569	0	0	0
RES000000189	CHICAGO	IL		05/02/2024		0	0	(102)	0	0	(102)	0	675	675	0	0	0
RES000000190	WALLIS	TX		04/30/2024		0	0	(1,898)	0	0	(1,898)	0	251	251	0	0	0
RES000000191	DENVER	CO		04/19/2024		0	0	(6,454)	0	0	(6,454)	0	997	997	0	0	0
RES000000199	MEMONONEE FALLS	WI		05/06/2024		0	0	(2,632)	0	0	(2,632)	0	235	235	0	0	0
RES000000200	MYRTLE BEACH	SC		05/09/2024		0	0	(2,597)	0	0	(2,597)	0	295	295	0	0	0
RES000000201	CHARLESTON	SC		04/29/2024		0	0	(20,807)	0	0	(20,807)	0	75,988	75,988	0	0	0
RES000000202	DEERFIELD BEACH	FL		05/10/2024		0	0	(3,252)	0	0	(3,252)	0	203	203	0	0	0
RES030000047	PHOENIX	AZ		03/26/2024		0	0	(4,295)	0	0	(4,295)	0	770	770	0	0	0
RES050000027	SAN DIEGO	CA		03/16/2024		0	0	0	0	0	0	0	2,493	2,493	0	0	0
RES050000063	DORAL	FL		04/11/2024		0	0	(8,536)	0	0	(8,536)	0	3,420	3,420	0	0	0
RES060000045	DENVER	CO		03/26/2024		0	0	0	0	0	0	0	890,819	890,819	0	0	0
RES070000022	NORWALK	CT		03/16/2024		0	0	(3,632)	0	0	(3,632)	0	817	817	0	0	0
RES100000015	JACKSONVILLE	FL		02/29/2024		0	0	0	0	0	0	0	1,378	1,378	0	0	0
RES100000017	DAVIE	FL		03/01/2024		0	0	0	0	0	0	0	1,807	1,807	0	0	0
RES100000020	FORT LAUDERDALE	FL		02/28/2024		0	0	0	0	0	0	0	806	806	0	0	0
RES100000024	BOYNTON BEACH	FL		03/16/2024		0	0	(1,233)	0	0	(1,233)	0	435	435	0	0	0
RES100000026	PANAMA CITY BEACH	FL		03/16/2024		0	0	(4,179)	0	0	(4,179)	0	1,082	1,082	0	0	0
RES100000029	ENGLEWOOD	FL		03/16/2024		0	0	(8,403)	0	0	(8,403)	0	2,002	2,002	0	0	0
RES100000046	LAKELAND	FL		03/26/2024		0	0	(2,825)	0	0	(2,825)	0	1,425	1,425	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/Recorded Investment Excluding Accrued Interest on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
RES290000048	LAS VEGAS	NV		03/26/2024		0	0	(3,723)	0	0	(3,723)	0	687	687	0	0	0
RES410000021	CONWAY	SC		03/16/2024		0	0	(376)	0	0	(376)	0	412	412	0	0	0
RES430000023	SEVIERVILLE	TN		03/16/2024		0	0	(2,276)	0	0	(2,276)	0	528	528	0	0	0
RES440000025	CONROE	TX		03/16/2024		0	0	0	0	0	0	0	909	909	0	0	0
RES440000028	SAN MARCOS	TX		03/16/2024		0	0	(1,137)	0	0	(1,137)	0	442	442	0	0	0
RES480000018	SUNNYSIDE	WA		02/29/2024		0	0	0	0	0	0	0	689	689	0	0	0
RES480000019	GIG HARBOR	WA		02/28/2024		0	0	0	0	0	0	0	413	413	0	0	0
0299999. Mortgages with partial repayments						452,515,973	0	(123,636)	0	0	(123,636)	0	9,486,141	9,486,141	0	0	0
0599999 - Totals						457,547,506	0	(123,636)	0	0	(123,636)	0	14,120,464	14,120,464	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
000000-00-0	Andromeda Funding LLC	Wilmington	DE.....	Andromeda Funding LLC		07/16/2024 ...		618,750	1,250,000	0	0	12.500
000000-00-0	Antlia Funding LLC	Wilmington	DE.....	Antlia Funding LLC		08/16/2024 ...		1,250,000	0	0	0	12.500
000000-00-0	Cassiopeia Funding LLC	Wilmington	DE.....	Cassiopeia Funding LLC		07/16/2024 ...		618,750	1,000,000	0	0	12.500
000000-00-0	Orion Funding LLC	Wilmington	DE.....	Orion Funding LLC		08/16/2024 ...		1,250,000	300,000	0	0	12.500
1099999. Non-Registered Private Funds - Bonds - NAIC Designation Not Assigned by the SVO - Affiliated								3,737,500	2,550,000	0	0	XXX
000000-00-0	Ironwood Capital Partners V Feeder LP	Wilmington	DE.....	Ironwood Capital Management V LLC		08/16/2022 ...	1.....	0	20,039	0	299,905	0.313
000000-00-0	PineBridge Private Credit III Parallel LP	Wilmington	DE.....	PineBridge Private Credit III GP LP		09/22/2023 ...	1.....	0	135,738	0	1,109,600	0.200
1799999. Joint Venture Interests - Fixed Income - NAIC Designation Not Assigned by the SVO - Unaffiliated								0	155,777	0	1,409,505	XXX
000000-00-0	The Resolute Fund VI, LP	Wilmington	DE.....	Resolute Fund Partners VI, LP		03/01/2024 ...	1.....	0	1,373,726	0	7,293,408	0.146
1999999. Joint Venture Interests - Common Stock - Unaffiliated								0	1,373,726	0	7,293,408	XXX
6099999. Total - Unaffiliated								0	1,529,503	0	8,702,913	XXX
6199999. Total - Affiliated								3,737,500	2,550,000	0	0	XXX
.....												
6299999 - Totals								3,737,500	4,079,503	0	8,702,913	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP							Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase/ (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recogn- ized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal		Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date													
000000-00-0	PineBridge Private Credit III Parallel LP	Wilmington	DE.....	Capital Distribution	09/22/2023 ...	07/11/2024	22,914	0	0	0	0	0	0	22,914	22,914	0	0	0	0
1799999. Joint Venture Interests - Fixed Income - NAIC Designation Not Assigned by the SVO - Unaffiliated							22,914	0	0	0	0	0	0	22,914	22,914	0	0	0	0
000000-00-0	Blue Owl GP Stakes V US Investors LP (FKA Dyal V US Investors LP)	Newark	DE.....	Capital Distribution	12/31/2021 ...	08/02/2024	132,045	0	0	0	0	0	0	132,045	132,045	0	0	0	0
000000-00-0	Clayton, Dubilier & Rice Fund XII LP			Capital Distribution	02/07/2024 ...	09/30/2024	102,477	0	0	0	0	0	0	102,477	102,477	0	0	0	16,883
000000-00-0	HarbourVest Partners Co-Investment Fund VI LP	Wilmington	DE.....	Capital Distribution	09/30/2022 ...	09/18/2024	12,129	0	0	0	0	0	0	12,129	12,129	0	0	0	1,227
1999999. Joint Venture Interests - Common Stock - Unaffiliated							246,651	0	0	0	0	0	0	246,651	246,651	0	0	0	18,110
6099999. Total - Unaffiliated							269,565	0	0	0	0	0	0	269,565	269,565	0	0	0	18,110

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase/ (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recogn- ized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
6199999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0
.....																			
.....																			
.....																			
.....																			
.....																			
6299999 - Totals								269,565	0	0	0	0	0	269,565	269,565	0	0	0	18,110

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
38384P-QT-0	GNMA 2024-97 HA 4.500% 11/20/51		07/19/2024	BMO Capital Markets Corp		4,874,400	4,994,582	14,359	1.A
0109999999. Subtotal - Bonds - U.S. Governments						4,874,400	4,994,582	14,359	XXX
09261W-AA-2	BLACKROCK DLF IX 2020-1 A-1 6.797% 07/21/30		07/19/2024	Direct		191,406	191,406	0	1.A FE
12511W-AC-0	CCSB Unitranche Partners SPV 7.922% 11/10/27		07/26/2024	J P Morgan & Co		1,827,450	1,827,450	0	1.E PL
225740-AA-7	CRESCENT DIRECT LENDING FD III NOTE 5.000% 01/29/31		09/05/2024	Direct		482,652	482,652	0	2.B PL
225740-AA-7	CRESCENT DIRECT LENDING FD III NOTE 5.000% 01/29/31		08/30/2024	Interest Capitalization		87,540	87,540	0	2.B PL
31614C-AB-2	Fidelity Direct Lending Fund I 8.175% 02/25/27		09/30/2024	J P Morgan & Co		306,667	306,667	0	1.E PL
46331#-AA-7	IRONWOOD CAPITAL PARTNERS V NOTE 5.000% 08/31/36		07/24/2024	Direct		180,355	180,355	0	2.A PL
46331#-AA-7	IRONWOOD CAPITAL PARTNERS V NOTE 5.000% 08/31/36		07/25/2024	Interest Capitalization		17,881	17,881	0	2.A PL
72305*-AA-9	PineBridge Private Credit III Note A 3.250% 08/31/32		09/23/2024	Direct		329,341	329,341	0	1.G PL
72305*-AB-7	PineBridge Private Credit III Note B 4.750% 08/31/32		09/23/2024	Direct		149,701	149,701	0	2.C PL
92778*-AA-5	VA CMWILTH UNIV HEALTH SYS CTL 3.008% 09/15/49		09/15/2024	Interest Capitalization		3,645	3,645	0	1.A
03744A-AA-0	AP ORYX HOLDINGS LTD 6.100% 09/08/43	D.....	09/26/2024	Apollo SMA		5,000,000	5,000,000	0	2.B PL
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,576,638	8,576,638	0	XXX
2509999997. Total - Bonds - Part 3						13,451,038	13,571,220	14,359	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						13,451,038	13,571,220	14,359	XXX
4509999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						0	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	XXX
6009999999 - Totals						13,451,038	XXX	14,359	XXX

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..09261W-AN-4	BLACKROCK DLF IX 2020-1 W 2.500% 07/21/30		07/17/2024	Paydown		16,345	16,345	16,345	16,345	0	0	0	0	0	16,345	0	0	0	311	07/21/2030	4.B FE
..11043X-AA-1	BRITISH AIR 19-1 AA PTT 144A 3.300%		09/15/2024	Redemption 100.0000		17,413	17,413	18,207	17,947	0	(49)	0	(49)	0	17,899	0	(486)	(486)	431	12/15/2032	1.D FE
..12327A-AA-6	BUSINESS JET SECURITIES, LLC 2022-1A A		09/15/2024	Paydown		737,335	737,335	715,330	723,373	0	13,961	0	13,961	0	737,335	0	0	0	22,399	06/15/2037	1.F FE
..12510H-AE-0	4.455% 06/15/37		09/15/2024	Paydown		1,875	1,875	1,874	1,875	0	0	0	0	0	1,875	0	0	0	44	02/15/2050	1.E FE
..12510H-AQ-3	CAPITAL AUTOMOTIVE REIT 2020-1A A5 3.480%		09/16/2024	Paydown		6,250	6,250	6,249	6,249	0	1	0	1	0	6,250	0	0	0	154	03/15/2052	1.E FE
..12511W-AC-0	CAPITAL AUTOMOTIVE REIT 2022-1A A2 3.700%		09/16/2024	Paydown		1,201,447	1,201,447	1,201,447	0	0	0	0	0	0	1,201,447	0	0	0	42,729	11/10/2027	1.E PL
..12511W-AC-0	11/10/27		09/24/2024	Paydown		60,000	60,000	59,986	59,988	0	12	0	12	0	60,000	0	0	0	1,248	01/18/2047	2.B FE
..12565K-AF-4	CL1 FUNDING LLC 2022-1A B1 3.120% 01/18/47		09/18/2024	Paydown		2,327	2,327	2,366	2,343	0	(1)	0	(1)	0	2,342	0	(16)	(16)	46	04/25/2043	1.A
..12646W-AH-7	CREDIT SUISSE COM MTGE TRUST 2013-1VR2 A2		09/01/2024	Paydown		24,726	24,747	20,544	22,836	0	68	0	68	0	22,904	0	1,822	1,822	922	02/25/2035	1.A FM
..12667F-R5-6	5.500% 02/25/35		09/01/2024	Paydown		7,340	7,340	6,574	7,220	0	19	0	19	0	7,240	0	101	101	317	10/25/2034	1.A FM
..12667F-VF-9	COUNTRYWIDE ALTERNATIVE LOAN 2004-36CB 2A3		09/01/2024	Paydown		9,855	9,805	8,853	8,853	0	0	0	0	0	8,853	0	1,002	1,002	377	03/25/2035	1.A FM
..12667F-Y3-3	5.500% 02/25/35		09/01/2024	Paydown		18,154	17,911	12,969	13,595	0	108	0	108	0	13,703	0	4,451	4,451	684	11/25/2035	3.C FM
..12668A-MN-2	COUNTRYWIDE ALTERNATIVE LOAN 2005-49CB A8		09/01/2024	Paydown		0	17	15	10	7	0	0	7	0	17	0	(17)	(17)	1	10/25/2035	1.A FM
..126694-CV-8	10/25/35		08/01/2024	Paydown		0	1	0	0	0	0	0	0	0	0	0	0	0	0	06/25/2035	1.A FM
..12669G-C8-2	COUNTRYWIDE HOME LOANS 2005-13 A8 5.500%		07/01/2024	Paydown		6,045	6,045	6,113	6,080	0	(1)	0	(1)	0	6,080	0	(35)	(35)	141	07/25/2049	1.A
..16159G-AC-3	CHASE MORTGAGE FINANCE CORPORA 2019-ATR2 A3		09/01/2024	Paydown		22,030	22,030	22,599	22,260	0	(11)	0	(11)	0	22,250	0	(219)	(219)	553	02/25/2044	1.A
..16164A-AC-9	3.750% 02/25/44		09/01/2024	Paydown		6,402	6,402	6,112	6,112	0	0	0	0	0	6,112	0	290	290	259	09/25/2035	1.A FM
..172973-2R-9	CITICORP MORTGAGE SECURITIES 2005-6 1A5		09/01/2024	Paydown		589	589	587	587	0	0	0	0	0	587	0	2	2	24	09/25/2037	1.A FM
..17312D-AC-2	CITICORP MORTGAGE SECURITIES 2007-8 1A3		09/01/2024	Paydown		3,433	3,433	3,363	3,392	0	1	0	1	0	3,393	0	40	40	80	10/25/2043	1.A
..17321L-AA-7	3.496% 10/25/43		09/01/2024	Paydown		6,695	6,695	6,723	6,703	0	(1)	0	(1)	0	6,702	0	(6)	(6)	148	06/25/2044	1.A
..17322N-AA-2	CITIGROUP MORTGAGE LOAN TRUST 2014-JL A1		09/01/2024	Paydown		2,265	2,265	2,316	2,281	0	(1)	0	(1)	0	2,279	0	(14)	(14)	66	01/25/2053	1.A
..17323T-AC-4	3.500% 06/25/44		09/01/2024	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	196	04/25/2047	2.C FE
..19260M-AA-4	CITIGROUP MORTGAGE LOAN TRUST 2015-PP2 A		09/01/2024	Paydown		4,598	4,598	4,597	4,598	0	0	0	0	0	4,598	0	0	0	83	10/25/2040	1.A FE
..20267U-AA-7	4.250% 01/25/53		09/01/2024	Paydown		3,733	3,733	3,670	3,670	0	0	0	0	0	3,670	0	64	64	288	10/25/2033	2.C FM
..22541Q-VG-4	COINSTAR FUNDING, LLC 2017-1A A2 5.216%		09/01/2024	Paydown		501,057	501,057	501,057	379,859	0	0	0	0	0	501,057	0	0	0	11,859	02/25/2035	1.A FM
..225458-AY-4	04/25/47		07/25/2024	Paydown		501,057	501,057	501,057	379,859	0	0	0	0	0	501,057	0	0	0	11,859	01/29/2031	2.B PL
..225740-AA-7	COMMONBOND STUDENT LOAN TRUST 2016-B A1		09/25/2024	Paydown		4,598	4,598	4,597	4,598	0	0	0	0	0	4,598	0	0	0	83	10/25/2040	1.A FE
..22541Q-VG-4	CS FIRST BOSTON MORTGAGE 2003-23 1A4		09/01/2024	Paydown		7,904	7,904	7,799	7,849	0	1	0	1	0	7,850	0	53	53	288	10/25/2033	2.C FM
..225458-AY-4	5.500% 02/25/35		09/01/2024	Paydown		3,733	3,733	3,670	3,670	0	0	0	0	0	3,670	0	64	64	140	02/25/2035	1.A FM
..225740-AA-7	CRESCENT DIRECT LENDING FD III NOTE 5.000%		09/05/2024	Redemption 100.0000		501,057	501,057	501,057	379,859	0	0	0	0	0	501,057	0	0	0	11,859	01/29/2031	2.B PL

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..22970*-AA-8	BGS BNSF CTL - Series 2015-1 PT 4.070% 05/15/34		09/15/2024	Redemption 100.0000		53,313	53,313	53,313	53,313	0	0	0	0	0	53,313	0	0	0	1,447	05/15/2034	1.D PL
..22970*-AB-6	BGS BNSF CTL PT Tr Cert Ser 2021 3.200% 05/15/34		09/15/2024	Redemption 100.0000		24,756	24,756	24,926	24,892	0	(9)	0	(9)	0	24,884	0	(128)	(128)	528	05/15/2034	1.D
..24736X-AA-6	DELTA AIRLINES 2015-1 AA 3.625% 07/30/27		07/30/2024	Redemption 100.0000		21,916	21,916	21,916	21,916	0	0	0	0	0	21,916	0	0	0	794	07/30/2027	1.F FE
..24736Y-AA-4	DELTA AIRLINES 2015-1 A 3.875% 07/30/27		07/30/2024	Redemption 100.0000		21,916	21,916	21,916	21,916	0	0	0	0	0	21,916	0	0	0	849	07/30/2027	2.B FE
..26209X-AC-5	DRIVEN BRANDS FUNDING, LLC 2020-2A A2 3.237% 01/20/51		07/20/2024	Paydown		3,750	3,750	3,750	3,750	0	0	0	0	0	3,750	0	0	0	91	01/20/2051	2.C FE
..28924A-AB-7	ELM TRUST 2020-4A A2 2.286% 10/20/29		09/20/2024	Paydown		41,629	41,629	41,629	41,629	0	1	0	1	0	41,629	0	0	0	634	10/20/2029	1.F FE
..29977J-AB-2	EVERBANK MTGE LOAN TRUST 2013-1 A2 2.500% 03/25/43		09/01/2024	Paydown		6,926	6,926	6,967	6,925	0	1	0	1	0	6,926	0	0	0	107	03/25/2043	1.A
..29977K-AA-1	EVERBANK MTGE LOAN TRUST 2013-2 A 3.000% 06/25/43		09/01/2024	Paydown		5,111	5,111	5,035	5,070	0	41	0	41	0	5,111	0	0	0	107	06/25/2043	1.A
..30168A-AD-4	EXETER AUTOMOBILE RECEIVABLES 2022-6A B 6.030% 08/16/27		09/15/2024	Paydown		531,545	531,545	531,516	531,507	0	38	0	38	0	531,545	0	0	0	21,381	08/16/2027	1.C FE
..30288*-AA-8	FLNG LIQUEFACTION 2 LLC SENIOR SECURED NOTES 4.540% 03/31/38		09/30/2024	Redemption 100.0000		23,500	23,500	23,500	23,500	0	0	0	0	0	23,500	0	0	0	1,067	03/31/2038	2.B FE
..3140FX-ED-0	FNMA BF0131 3.500% 08/01/56		09/01/2024	Paydown		23,402	23,402	24,053	24,006	0	(604)	0	(604)	0	23,402	0	0	0	550	08/01/2056	1.A
..33767C-AD-9	FIRSTKEY MORTGAGE TRUST 2015-1 A3 3.500% 03/25/45		09/01/2024	Paydown		3,816	3,816	3,890	3,854	0	(1)	0	(1)	0	3,852	0	(37)	(37)	82	03/25/2045	1.A
..33851K-AC-0	FLAGSTAR MORTGAGE TRUST 2020-2 A2 3.000% 08/25/50		09/01/2024	Paydown		8,540	8,540	8,780	8,699	0	(159)	0	(159)	0	8,540	0	0	0	174	08/25/2050	1.A
..33972P-AA-7	FLNG LIQUEFACTION 2 LLC 144A 4.125% 03/31/38		09/30/2024	Redemption 100.0000		110,000	110,000	110,000	110,000	0	0	0	0	0	110,000	0	0	0	4,538	03/31/2038	2.B FE
..34107@-AA-7	FLORIDA PIPELINE HOLDINGS LLC 2.920% 08/15/38		08/15/2024	Redemption 100.0000		170,417	170,417	170,417	170,417	0	0	0	0	0	170,417	0	0	0	4,976	08/15/2038	2.B PL
..345397-WW-9	FORD MOTOR CREDIT 3.664% 09/08/24		09/08/2024	Maturity		3,500,000	3,500,000	3,500,000	3,500,000	0	0	0	0	0	3,500,000	0	0	0	128,240	09/08/2024	3.A FE
..36157R-D9-3	GE CAPITAL MTG 1999-HE M 6.705% 04/25/29		09/01/2024	Paydown		4,253	4,635	3,945	3,921	447	31	0	478	0	4,399	0	(146)	(146)	192	04/25/2029	6. FM
..37959P-AC-1	GLOBAL SC FINANCE SRL 2020-2A A 2.260% 11/19/40		09/17/2024	Paydown		26,530	26,530	26,520	26,523	0	7	0	7	0	26,530	0	0	0	398	11/19/2040	1.F FE
..39121J-AE-0	GREAT RIVER ENERGY 144A 6.254% 07/01/38		07/01/2024	Redemption 100.0000		63,591	63,591	57,594	60,880	0	215	0	215	0	61,095	0	2,496	2,496	3,977	07/01/2038	1.G FE
..411707-AK-8	CKE RESTAURANTS HOLDINGS INC 2021-1A A2 2.865% 06/20/51		09/20/2024	Paydown		12,500	12,500	12,500	12,500	0	0	0	0	0	12,500	0	0	0	269	06/20/2051	2.B FE
..42770Q-AA-0	HERO FUNDING TRUST 2014-2A A 3.990% 09/21/40		09/20/2024	Paydown		34,684	34,684	34,660	34,670	0	14	0	14	0	34,684	0	0	0	1,384	09/21/2040	1.A FE
..42771T-AA-3	HERO FUNDING TRUST 2015-3A A 4.280% 09/20/41		09/20/2024	Paydown		66,085	66,085	66,080	66,080	0	0	0	0	0	66,081	0	4	4	2,657	09/20/2041	1.A FE
..44040J-AA-6	HORIZON AIRCRAFT FINANCE I LTD 2019-2 A 3.425% 11/15/39		09/15/2024	Paydown		162,527	162,527	162,523	162,521	0	6	0	6	0	162,527	0	0	0	3,458	11/15/2039	2.C FE
..45254T-PM-0	IMPAC SECURED ASSETS OWN OWNER 2004-2 A6 4.826% 08/25/34		09/01/2024	Paydown		0	0	0	0	0	0	0	0	0	0	0	0	0	0	08/25/2034	1.A FM
..45660N-QF-5	RESIDENTIAL ASSET SEC TRUST 2003-A5 A7 5.500% 06/25/33		09/01/2024	Paydown		47,188	47,188	46,553	46,867	0	8	0	8	0	46,875	0	313	313	1,729	06/25/2033	1.A FM
..45783N-AA-5	INSTAR LEASING III LLC 2021-1A A 2.300% 02/15/54		09/15/2024	Paydown		9,446	9,446	9,441	9,443	0	3	0	3	0	9,446	0	0	0	145	02/15/2054	1.F FE
..46331#-AA-7	IRONWOOD CAPITAL PARTNERS V NOTE 5.000% 08/31/36		07/05/2024	Redemption 100.0000		19,007	19,007	19,007	13,632	0	0	0	0	0	19,007	0	0	0	0	08/31/2036	2.A PL

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..46592E-AC-0	JP MORGAN MORTGAGE TRUST 2021-1 A3 2.500% 06/25/51		09/01/2024	Paydown		7,751	7,751	8,066	8,003	0	(252)	0	(252)	0	7,751	0	0	0	134	06/25/2051	1.A
..46616M-AA-8	HENDERSON RECEIVABLES LLC 2010-3A A 3.820% 12/15/48		09/15/2024	Paydown		9,054	9,054	8,997	9,030	0	24	0	24	0	9,054	0	0	0	233	12/15/2048	1.A FE
..46641C-AA-9	JP MORGAN MORTGAGE TRUST 2014-1 1A1 3.804% 01/25/44		09/01/2024	Paydown		1,849	1,849	1,896	1,874	0	(1)	0	(1)	0	1,873	0	(23)	(23)	47	01/25/2044	1.A
..46651B-AC-4	JP MORGAN MORTGAGE TRUST 2019-6 A3 3.500% 12/25/49		09/01/2024	Paydown		9,087	9,087	9,229	9,149	0	(2)	0	(2)	0	9,147	0	(59)	(59)	216	12/25/2049	1.A
..46651N-AA-2	JOL AIR 2019-1 A 3.967% 04/15/44		09/15/2024	Paydown		11,800	11,800	11,800	11,799	0	1	0	1	0	11,800	0	0	0	351	04/15/2044	1.G FE
..46651N-AA-2	JOL AIR 2019-1 A 3.967% 04/15/44		08/15/2024	Paydown		24,000	24,000	24,000	23,999	0	1	0	1	0	24,000	0	0	0	595	04/15/2044	2.A FE
..46651Y-AH-3	JP MORGAN MORTGAGE TRUST 2019-9 A5 3.500% 05/25/50		09/01/2024	Paydown		41,263	41,263	42,400	42,114	0	(851)	0	(851)	0	41,263	0	0	0	913	05/25/2050	1.A
..466710-AA-7	JRD HOLDINGS SECURED TRUST CTL 3.620% 01/15/41		09/15/2024	Redemption 100.0000		1,330	1,330	1,330	1,330	0	0	0	0	0	1,330	0	0	0	32	01/15/2041	2.B
..46673*-AA-7	JRD HOLDINGS SECURED TRUST 2021-2 3.214% 12/15/41		09/15/2024	Redemption 100.0000		23,473	23,473	23,473	23,473	0	0	0	0	0	23,473	0	0	0	503	12/15/2041	2.B
..48255K-AA-4	KKR CORE HOLDING COMPANY LLC SENIOR SECURED NOTES 4.000% 08/12/31		09/27/2024	Various		675,056	675,056	675,056	675,056	0	0	0	0	0	675,056	0	0	0	25,265	08/12/2031	2.B PL
..486606-K#-2	KAYNE ANDERSON MLP INV CO SER KK SR UNSECD NT 3.930% 07/30/24		07/30/2024	Maturity		154,808	154,808	154,808	154,808	0	0	0	0	0	154,808	0	0	0	3,735	07/30/2024	1.A FE
..50543L-AA-0	LABRADOR AVIATION FINANCE LTD 2016-1A A1 4.300% 01/15/42		09/15/2024	Paydown		29,863	29,863	29,283	29,631	0	232	0	232	0	29,863	0	0	0	838	01/15/2042	3.A FE
..54233*-AB-2	LONGTRAIN LEASING III LLC 2015-1A A1 2.980% 01/15/45		06/15/2024			0	0	0	0	0	0	0	0	0	0	0	0	0	391	06/15/2024	1.F PL
..543190-AA-0	LONGTRAIN LEASING III LLC 2015-1A A1 2.980% 01/15/45		09/30/2024	Paydown		1,659,857	1,659,857	1,617,971	1,656,601	0	3,256	0	3,256	0	1,659,857	0	0	0	39,159	01/15/2045	1.F FE
..55400V-AA-9	MVN OWNER TRUST 2022-2A A 6.110% 10/21/41		09/20/2024	Paydown		335,041	335,041	334,988	335,004	0	37	0	37	0	335,041	0	0	0	13,777	10/21/2041	1.A FE
..56540#-AA-3	MAPLELEAF MIDSTREAM INVESTMENT SENIOR NOTE 4.560% 09/30/25		07/05/2024	Redemption 100.0000		36,394	36,394	36,394	36,394	0	0	0	0	0	36,394	0	0	0	1,660	09/30/2025	3.C PL
..590480-AA-6	MESA AIRLINES 2015-1 A 4.750% 07/15/29		07/15/2024	Redemption 100.0000		184,935	184,935	184,935	184,935	0	0	0	0	0	184,935	0	0	0	8,784	07/15/2029	2.B PL
..59549W-AA-1	MID STATE TRUST SERIES 11 A1 4.864% 07/15/38		09/15/2024	Paydown		27,710	27,710	26,255	27,530	0	180	0	180	0	27,710	0	0	0	915	07/15/2038	1.E FE
..62878Y-AA-2	NOTHING BUNDT CAKES 2021-1 A2 2.989% 07/30/51		07/30/2024	Paydown		5,625	5,625	5,625	5,625	0	0	0	0	0	5,625	0	0	0	126	07/30/2051	2.C FE
..629270-AA-6	NHL US FUNDING LP SR SECURED 4.180% 09/29/24		09/29/2024	Maturity		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	66,880	09/29/2024	2.B PL
..629682-AA-3	NADG NNN OPERATING LP 2019-1 A 3.368% 12/28/49		09/28/2024	Paydown		2,500	2,500	2,500	2,500	0	0	0	0	0	2,500	0	0	0	56	12/28/2049	1.F FE
..64016N-AC-1	NEIGHBORLY ISSUER LLC 2022-1A A2 3.695% 01/30/52		07/30/2024	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	139	01/30/2052	2.C FE
..64712#-AB-7	NEW MEXICO GAS INTERMEDIATE INC SR UNSEC SER B 3.640% 07/30/24		07/30/2024	Maturity		4,000,000	4,000,000	4,000,000	4,000,000	0	0	0	0	0	4,000,000	0	0	0	145,600	07/30/2024	2.B
..647550-AA-9	NEW MOUNTAIN GUARDIAN III BDC SENIOR UNSCURED NOTES 3.570% 07/15/25		08/16/2024	Redemption 100.0000		275,710	275,710	275,710	275,710	0	0	0	0	0	275,710	0	0	0	10,690	07/15/2025	2.C PL
..647550-AB-7	NEW MOUNTAIN GUARDIAN III BDC SENIOR UNSCURED NOTES 3.620% 07/15/25		08/16/2024	Redemption 100.0000		91,168	91,168	91,168	91,168	0	0	0	0	0	91,168	0	0	0	3,584	07/15/2025	2.C PL
..64829X-AA-0	NEW RESIDENTIAL MORTGAGE LOAN 2018-5A A1 4.750% 12/25/57		09/01/2024	Paydown		23,330	23,330	23,694	23,452	0	(123)	0	(123)	0	23,330	0	0	0	766	12/25/2057	1.A
..72305*-AA-9	PineBridge Private Credit III Note A 3.250% 08/31/32		07/11/2024	Redemption 100.0000		19,075	19,075	19,075	15,967	0	0	0	0	0	19,075	0	0	0	1,109	08/31/2032	1.G PL

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..72305*-AB-7	PineBridge Private Credit III Note B 4.750% 08/31/32		07/11/2024	Redemption 100.0000		8,671	8,671	8,671	7,259	0	0	0	0	0	8,671	0	0	0	591	08/31/2032	2.C PL
..72703P-AC-7	PLANET FITNESS MASTER ISSUER 2019-1A A2 3.858% 12/05/49		09/05/2024	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	145	12/05/2049	2.B FE
..72703P-AE-3	PLANET FITNESS MASTER ISSUER 2022-1A A211 4.008% 12/05/51		09/05/2024	Paydown		7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	225	12/05/2051	2.B FE
..73316P-HP-8	POPULAR ABS MORTGAGE PASS-THRO 2005-D A5 3.423% 01/25/36		09/01/2024	Paydown		37,472	37,472	35,378	36,864	0	94	0	94	0	36,958	0	514	514	818	01/25/2036	1.A FM
..74160M-BP-6	PRIME MORTGAGE TRUST 2003-2 1A10 5.000% 10/25/33		09/01/2024	Paydown		6,101	6,101	5,832	5,994	0	5	0	5	0	5,999	0	102	102	203	10/25/2033	1.A FM
..74170*-AD-1	PRIME PROPERTY FUND LLC 3.880% 07/30/24		07/30/2024	Maturity		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	77,600	07/30/2024	2.A
..76112B-EN-6	RESIDENTIAL ASSET MTG PRODUCTS 2004-SP3 A14 5.673% 09/25/34		09/01/2024	Paydown		27,305	27,305	27,305	27,200	0	2	0	2	0	27,202	0	104	104	1,045	09/25/2034	1.A FM
..78449G-AB-5	SMB PRIVATE EDUCATION LOAN TRU 2016-B A2A 2.430% 02/17/32		09/15/2024	Paydown		28,328	28,328	28,327	28,327	0	1	0	1	0	28,328	0	0	0	457	02/17/2032	1.A FE
..805564-PA-3	SAXON ASSET SECURITIES TRUST 2003-3 AF5 4.135% 12/25/33		09/01/2024	Paydown		36,253	36,253	36,253	36,253	0	0	0	0	0	36,253	0	0	0	930	12/25/2033	1.A FM
..81745A-AB-3	SEQUOIA MORTGAGE TRUST 2013-5 A2 3.000% 05/25/43		09/01/2024	Paydown		7,232	7,232	7,358	7,324	0	(91)	0	(91)	0	7,232	0	0	0	135	05/25/2043	1.A
..817743-AA-5	SERVPRO MASTER ISSUER, LLC 2019-1A A2 3.882% 10/25/49		07/25/2024	Paydown		7,500	7,500	7,500	7,500	0	0	0	0	0	7,500	0	0	0	218	10/25/2049	2.C FE
..81783R-AA-1	SETTLEMENT FEE FINANCE LLC 2013-1A A 3.980% 01/25/44		07/25/2024	Paydown		55,661	55,661	55,661	55,661	0	0	0	0	0	55,661	0	0	0	1,661	01/25/2044	1.F FE
..822804-AA-8	SHELLPOINT ASSET FUNDING TRUST 2013-1 A1 3.750% 07/25/43		09/01/2024	Paydown		1,351	1,351	1,321	1,335	0	16	0	16	0	1,351	0	0	0	34	07/25/2043	1.A
..82650B-AB-2	SIERRA RECEIVABLES FUNDING CO 2023-2A B 6.280% 04/20/40		09/20/2024	Paydown		131,160	131,160	131,129	131,129	0	30	0	30	0	131,160	0	0	0	5,441	04/20/2040	1.F FE
..826525-AB-3	SIERRA RECEIVABLES FUNDING CO 2020-2A B 2.320% 07/20/37		09/20/2024	Paydown		18,900	18,900	18,895	18,897	0	3	0	3	0	18,900	0	0	0	291	07/20/2037	1.F FE
..826525-AC-1	SIERRA RECEIVABLES FUNDING CO 2020-2A C 3.510% 07/20/37		09/20/2024	Paydown		18,900	18,900	18,898	18,898	0	2	0	2	0	18,900	0	0	0	440	07/20/2037	2.B FE
..83438L-AA-9	SOLRR AIRCRAFT 2021-1 LIMITED 2021-1 A 2.636% 10/15/46		09/15/2024	Paydown		36,281	36,281	36,281	36,280	0	1	0	1	0	36,281	0	0	0	638	10/15/2046	1.E FE
..83546D-AG-3	SONIC CAPITAL LLC 2020-1A A21 3.845% 01/20/50		09/20/2024	Paydown		5,000	5,000	5,000	5,000	0	0	0	0	0	5,000	0	0	0	128	01/20/2050	2.B FE
..86365L-AB-7	STRUCTURED RECEIVABLES FINANCE 2010-B B 7.970% 08/15/36		09/15/2024	Paydown		24,148	24,148	24,918	24,314	0	(166)	0	(166)	0	24,148	0	0	0	1,274	08/15/2036	1.B FE
..88031J-AB-2	TENASKA GEORGIA PARTNERS SENIOR SECURED BOND 9.500% 02/01/30		08/01/2024	Redemption 100.0000		203,131	203,131	213,275	206,140	0	(253)	0	(253)	0	205,888	0	(2,757)	(2,757)	19,297	02/01/2030	2.A FE
..88315L-AE-8	TEXTAINER MARINE CONTAINERS 2020-1A A 2.730% 08/21/45		09/01/2024	Paydown		24,300	24,300	24,296	24,284	0	16	0	16	0	24,300	0	0	0	443	08/21/2045	1.F FE
..88315L-AR-9	TEXTAINER MARINE CONTAINERS 2021-2A B 2.820% 04/20/46		09/20/2024	Paydown		40,000	40,000	39,986	39,989	0	11	0	11	0	40,000	0	0	0	752	04/20/2046	2.B FE
..89255#-AA-9	TRADEMARK ROYALTY 2018-1 LLC 4.920% 07/01/48		09/01/2024	Redemption 100.0000		3,666	3,666	3,666	3,666	0	0	0	0	0	3,666	0	0	0	123	07/01/2048	1.F PL
..89656C-AA-1	TRINITY RAIL LEASING LP 2010-1A A 5.194% 10/16/40		09/16/2024	Paydown		44,714	44,714	44,714	44,714	0	0	0	0	0	44,714	0	0	0	1,569	10/16/2040	1.F FE
..90263B-HE-1	UCFC HOME EQUITY LOAN 1998-D MF2 7.750% 04/15/30		09/01/2024	Paydown		29,947	29,947	26,073	26,073	0	0	0	0	0	26,073	0	3,874	3,874	1,563	04/15/2030	1.A FM
..90932Q-AA-4	UNITED AIR 2014-2 A PTT 3.750% 09/03/26		09/03/2024	Redemption 100.0000		189,432	189,432	189,736	189,517	0	(14)	0	(14)	0	189,503	0	(71)	(71)	7,104	09/03/2026	1.F FE

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
.. 92243R-AC-8	VCP RRL ABS I LTD 2021-1A B 2.848% 10/20/31		07/20/2024	Paydown		169,681	169,681	169,681	169,681	0	0	0	0	0	169,681	0	0	0	3,624	10/20/2031	2.B FE
.. 92257N-AA-4	VELOCITY COMMERCIAL CAPITAL LO 2019-2 A 3.130% 07/25/49		09/01/2024	Paydown		29,746	29,746	29,743	29,696	0	49	0	49	0	29,746	0	0	0	645	07/25/2049	1.A FE
.. 924923-AA-3	VERUS SECURITIZATION TRUST 2022-INV2 A1 6.790% 10/25/67		09/01/2024	Paydown		143,790	143,790	142,328	142,627	0	1,164	0	1,164	0	143,790	0	0	0	6,416	10/25/2067	1.A FE
.. 92838@-AA-1	VISTA RIDGE LLC SENIOR SECURED NOTES 2.570% 10/14/49		09/30/2024	Redemption 100.0000		19,720	19,720	19,720	19,720	0	0	0	0	0	19,720	0	0	0	380	10/14/2049	1.F PL
.. 94354K-AA-8	WAVE USA 2019-1 A 3.597% 09/15/44		09/15/2024	Paydown		38,733	38,733	38,731	38,731	0	2	0	2	0	38,733	0	0	0	926	09/15/2044	2.A FE
.. 95002F-AE-4	WELLS FARGO MORTGAGE BACKED 2019-4 A5 3.500% 09/25/49		09/01/2024	Paydown		83,812	83,812	85,954	85,275	0	(1,463)	0	(1,463)	0	83,812	0	0	0	1,915	09/25/2049	1.A
.. 95002J-AA-4	WELLS FARGO MRTG BACKED SEC 2019-2 A1 4.000% 04/25/49		09/01/2024	Paydown		387	387	394	391	0	(4)	0	(4)	0	387	0	0	0	10	04/25/2049	1.A
.. 95058X-AL-2	WENDYS FUNDING LLC 2021-1A A2II 2.775% 06/15/51		09/15/2024	Paydown		11,254	11,254	11,254	11,254	0	0	0	0	0	11,254	0	0	0	234	06/15/2051	2.B FE
.. 97064F-AA-3	WILLIS ENGINE SECURITIZATION T 2020-A A 3.228% 03/15/45		09/15/2024	Paydown		21,403	21,403	21,403	21,403	0	0	0	0	0	21,403	0	0	0	461	03/15/2045	1.F FE
.. 97064G-AA-1	WILLIS ENGINE SECURITIZATION T 2021-A A 3.104% 05/15/46		09/15/2024	Paydown		34,616	34,616	34,614	34,615	0	2	0	2	0	34,616	0	0	0	669	05/15/2046	1.F FE
.. 97652P-AA-9	WINWATER MORTGAGE LOAN TRUST 2014-1 A1 3.927% 06/27/44		09/01/2024	Paydown		4,642	4,642	4,798	4,719	0	(3)	0	(3)	0	4,716	0	(75)	(75)	121	06/27/2044	1.A
.. 98920M-AA-0	ZAXBY'S FUNDING LLC 2021-1A A2 3.238% 07/30/51		07/30/2024	Paydown		11,250	11,250	11,250	11,250	0	0	0	0	0	11,250	0	0	0	273	07/30/2051	2.B FE
.. 009090-AA-9	AIR CANADA 2015-1A 3.600% 03/15/27	A	09/15/2024	Redemption 100.0000		72,374	72,374	73,459	72,836	0	(95)	0	(95)	0	72,741	0	(368)	(368)	2,605	03/15/2027	1.F FE
.. 05256L-AB-9	AUSTRALIA PACIFIC LNG PROC PTY SENIOR SECURED NOTE 4.820% 09/30/30	D	09/30/2024	Redemption 100.0000		40,000	40,000	40,000	40,000	0	0	0	0	0	40,000	0	0	0	1,928	09/30/2030	2.B FE
.. 05256L-AC-7	AUSTRALIA PACIFIC LNG PROC PTY SENIOR SECURED NOTES 4.850% 09/30/30	D	09/30/2024	Redemption 100.0000		46,667	46,667	46,667	46,667	0	0	0	0	0	46,667	0	0	0	2,263	09/30/2030	2.B FE
.. 05330K-AA-3	AUTO METRO PUERTO RICO AUTOPISTAS LLC 144A 6.750% 06/30/35	C	09/30/2024	Redemption 100.0000		37,500	37,500	37,500	37,500	0	0	0	0	0	37,500	0	0	0	1,898	06/30/2035	2.C FE
.. 06760B-AG-7	BABSON CLO LTD 2017-1A B2 3.642% 07/18/29	D	07/18/2024	Paydown		5,000,000	5,000,000	4,999,913	4,999,259	0	741	0	741	0	5,000,000	0	0	0	136,575	07/18/2029	1.A FE
.. 092913-AQ-5	BLACKROCK ELBERT CLO V, LLC 5A AFR 4.480% 06/15/34	D	09/16/2024	Paydown		749	749	749	749	0	0	0	0	0	749	0	0	0	25	06/15/2034	1.A FE
.. 10948B-BA-2	BRIGHTWOOD CAPITAL MMI CLO LTD. 2020-1A A2R 7.471% 01/15/31	D	07/15/2024	Paydown		311,403	311,403	311,403	311,403	0	0	0	0	0	311,403	0	0	0	17,449	01/15/2031	1.A FE
.. 200447-A*-1	COMISION FEDERAL DE ELECTRICID SENIOR NOTES 4.390% 09/29/36	D	09/29/2024	Redemption 100.0000		300,000	300,000	300,000	300,000	0	0	0	0	0	300,000	0	0	0	13,170	09/29/2036	2.B
.. 38172H-AJ-3	GOLUB CAPITAL PARTNERS CLO LTD 2019-45A B2 4.000% 10/20/31	D	08/23/2024	Paydown		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	67,333	10/20/2031	1.C FE
.. 38178M-AA-5	GOLUB CAPITAL PARTNERS ABS FUN 2019-1 AR 3.100% 07/20/30	D	07/20/2024	Paydown		49,344	49,344	49,344	49,344	0	0	0	0	0	49,344	0	0	0	1,147	07/20/2030	1.F FE
.. 45605P-AM-0	INDUSTRIAL DPR FUNDING LTD 2016-1A 3 5.235% 04/15/26	D	07/15/2024	Redemption 100.0000		77,301	77,301	77,301	77,301	0	0	0	0	0	77,301	0	0	0	3,035	04/15/2026	2.B FE
.. 97988W-AC-5	WOODMONT TRUST 2020-7A A1B 2.274% 01/15/32	C	07/15/2024	Paydown		871,261	871,261	871,261	871,261	0	0	0	0	0	871,261	0	0	0	14,857	01/15/2032	1.A FE
.. A3158#-AC-3	HOER FINANCIAL SERVICES GmbH 3.410% 07/25/24	D	07/25/2024	Maturity		4,000,000	4,000,000	4,000,000	4,000,000	0	0	0	0	0	4,000,000	0	0	0	136,400	07/25/2024	1.G PL
.. N9061@-AC-4	VTTI B.V. SENIOR UNSECURED NOTE 4.970% 12/15/27	D	09/18/2024	Redemption 100.0000		3,094,278	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	207,345	12/15/2027	3.A

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
.P7077@-AF-1	NASSAU AIRPORT DEVELOPMENT CO 7.000% 11/30/33	D.....	09/30/2024	Redemption 100.0000		45,000	45,000	45,000	45,000	0	0	0	0	0	45,000	0	0	0	2,363	11/30/2033	3.C PL
.00458*-AF-6	AQUASURE PTY LTD SERIES 2018A 4.320% 01/12/34	D.....	07/12/2024	Redemption 100.0000		22,352	22,352	22,352	22,352	0	0	0	0	0	22,352	0	0	0	966	01/12/2034	1.G FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						38,826,521	38,732,371	38,627,103	37,366,783	454	21,624	0	22,078	0	38,721,405	0	10,839	10,839	1,427,453	XXX	XXX
2509999997. Total - Bonds - Part 4						49,470,903	49,376,753	49,222,874	47,936,456	454	85,114	0	85,568	0	49,364,693	0	11,933	11,933	1,723,239	XXX	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						49,470,903	49,376,753	49,222,874	47,936,456	454	85,114	0	85,568	0	49,364,693	0	11,933	11,933	1,723,239	XXX	XXX
4509999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999 - Totals						49,470,903	XXX	49,222,874	47,936,456	454	85,114	0	85,568	0	49,364,693	0	11,933	11,933	1,723,239	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
S&P 500 10/15/2024 Strike @ 4689.75 7846SS244	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	10/17/2023	10/15/2024	2,263	10,611,919	4689.75	(500,973)	0	0	(2,447,955)		(2,447,955)	(1,572,471)	0	0	0	0	0/0	
S&P 500 11/15/2024 Strike @ 4882.12 7846SS246	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	11/16/2023	11/15/2024	1,918	9,365,078	4882.12	(313,978)	0	0	(1,761,377)		(1,761,377)	(1,201,017)	0	0	0	0	0/0	
S&P 500 12/13/2024 Strike @ 5134.89 7846SS248	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	12/18/2023	12/13/2024	2,045	10,500,285	5134.89	(339,820)	0	0	(1,436,443)		(1,436,443)	(1,076,176)	0	0	0	0	0/0	
S&P 500 1/15/2025 Strike @ 5207.82 7846SS252	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	01/17/2024	01/15/2025	2,495	12,992,105	5207.82	0	(324,415)	0	(1,672,808)		(1,672,808)	(1,348,394)	0	0	0	0	0/0	
S&P 500 2/14/2025 Strike @ 5487.05 7846SS253	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	02/16/2024	02/14/2025	1,319	7,235,828	5487.05	0	(227,880)	0	(614,654)		(614,654)	(386,774)	0	0	0	0	0/0	
S&P 500 3/14/2025 Strike @ 5603.66 7846SS263	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr EYKN6VOZCB8VD91ULB80	03/18/2024	03/14/2025	1,531	8,581,893	5603.66	0	(299,603)	0	(612,459)		(612,459)	(312,855)	0	0	0	0	0/0	
S&P 500 4/15/2025 Strike @ 5508.66 7846SS281	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	04/16/2024	04/15/2025	1,691	9,313,216	5508.66	0	(352,129)	0	(855,244)		(855,244)	(503,115)	0	0	0	0	0/0	
S&P 500 5/15/2025 Strike @ 5797.57 7846SS282	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr EYKN6VOZCB8VD91ULB80	05/16/2024	05/15/2025	1,299	7,533,594	5797.57	0	(193,266)	0	(419,993)		(419,993)	(226,727)	0	0	0	0	0/0	
S&P 500 6/13/2025 Strike @ 5933.76 7846SS302	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Bank of America Merr EYKN6VOZCB8VD91ULB80	06/18/2024	06/13/2025	1,579	9,372,077	5933.76	0	(308,688)	0	(419,830)		(419,830)	(111,142)	0	0	0	0	0/0	
S&P 500 7/15/2025 Strike @ 6129.98 7846SS303	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	07/16/2024	07/15/2025	1,737	10,649,614	6129.98	0	(347,573)	0	(325,507)		(325,507)	22,066	0	0	0	0	0/0	
S&P 500 8/15/2025 Strike @ 6025.98 7846SS313	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	08/16/2024	08/15/2025	1,690	10,185,473	6025.98	0	(315,656)	0	(454,906)		(454,906)	(139,250)	0	0	0	0	0/0	
S&P 500 9/15/2025 Strike @ 6111.78 7846SS328	Indexed Universal Life	Exhibit 5 ...	Equity/Index	Goldman Sachs W22LR0WP21HZNB6K528	09/17/2024	09/15/2025	1,635	9,990,805	6111.78	0	(314,725)	0	(403,374)		(403,374)	(88,649)	0	0	0	0	0/0	
0649999999. Subtotal - Written Options - Hedging Other - Call Options and Warrants										(1,154,771)	(2,683,934)	0	(11,424,549)	XXX	(11,424,549)	(6,944,504)	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										(1,154,771)	(2,683,934)	0	(11,424,549)	XXX	(11,424,549)	(6,944,504)	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										(1,154,771)	(2,683,934)	0	(11,424,549)	XXX	(11,424,549)	(6,944,504)	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										(1,154,771)	(2,683,934)	0	(11,424,549)	XXX	(11,424,549)	(6,944,504)	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2024 OF AUGUSTAR LIFE ASSURANCE CORPORATION

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										1,410,202	3,924,683	0	7,710,894	XXX	7,710,894	2,162,558	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										1,410,202	3,924,683	0	7,710,894	XXX	7,710,894	2,162,558	0	0	0	0	XXX	XXX

(a)	Code	Description of Hedged Risk(s)
(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART B - SECTION 1

[illegible]

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
Total Net Cash Deposits			

[illegible]

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

SCHEDULE DB - PART D - SECTION 1

[illegible]

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date
(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page (Line 9 for Separate Accounts)
and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0109999999. Total - U.S. Government Bonds				0	0	XXX
0309999999. Total - All Other Government Bonds				0	0	XXX
0509999999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
0709999999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
0909999999. Total - U.S. Special Revenues Bonds				0	0	XXX
063670-DF-9	BANK OF MONTREAL CHICAGO	C.....	1.E FE	500,023	500,000	10/04/2024
06417M-5E-1	BANK OF NOVA SCOTIA HOUS	C.....	1.E FE	500,099	500,000	10/17/2024
89120D-YD-5	TORONTO DOMINION BANK	C.....	1.D FE	500,228	500,000	11/06/2024
CR1016-69-8	JP Morgan Securities LLC RECENT OBFR+40	C.....	1.E FE	500,000	500,000	12/29/2024
CR1727-13-8	BNP Paribas SA RECENT	C.....	1.D FE	1,000,000	1,000,000	11/04/2024
CR2448-69-2	HSBC Securities (USA) Inc RECENT 35 Day	C.....	1.E FE	1,000,000	1,000,000	11/04/2024
CR4824-39-5	Credit Agricole Corporate & Investment	C.....	1.D FE	500,000	500,000	10/07/2024
CR5741-72-1	BofA Securities Inc. RECENT	C.....	1.E FE	1,000,000	1,000,000	11/04/2024
1019999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				5,500,350	5,500,000	XXX
1109999999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				5,500,350	5,500,000	XXX
1309999999. Total - Hybrid Securities				0	0	XXX
1509999999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
1909999999. Subtotal - Unaffiliated Bank Loans				0	0	XXX
2419999999. Total - Issuer Obligations				5,500,350	5,500,000	XXX
2429999999. Total - Residential Mortgage-Backed Securities				0	0	XXX
2439999999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
2449999999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
2459999999. Total - SVO Identified Funds				0	0	XXX
2469999999. Total - Affiliated Bank Loans				0	0	XXX
2479999999. Total - Unaffiliated Bank Loans				0	0	XXX
2489999999. Total - Unaffiliated Certificates of Deposit				0	0	XXX
2509999999. Total Bonds				5,500,350	5,500,000	XXX
4109999999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type) - Industrial and Miscellaneous (Unaffiliated)				0	0	XXX
4409999999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type) - Parent, Subsidiaries and Affiliates				0	0	XXX
4509999999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)				0	0	XXX
5109999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type) - Industrial and Miscellaneous (Unaffiliated)				0	0	XXX
5409999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type) - Mutual Funds				0	0	XXX
5609999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type) - Unit Investment Trusts				0	0	XXX
5809999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type) - Closed-End Funds				0	0	XXX
5979999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type) - Parent, Subsidiaries and Affiliates				0	0	XXX
5989999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type)				0	0	XXX
5999999999. Total - Preferred and Common Stocks				0	0	XXX
125090-0H-4	COP FINANCIAL INC	C.....	1.D	500,240	500,211	01/06/2025
19421M-UG-5	COLLAT CP FLEX CO LLC	C.....	1.E	500,023	500,000	06/17/2025
55607K-LT-1	MACQUARIE BANK LIMITED	C.....	1.E	248,069	247,922	11/27/2024
63254G-C7-8	NATIONAL AUSTRALI BANK L	C.....	1.D	500,104	500,000	12/05/2024
89115D-HE-9	TORONTO DOMINION BANK NY	C.....	1.D	771,200	770,572	01/03/2025
9509999999. Subtotal - Short-Term Invested Assets (Schedule DA type)				2,519,636	2,518,705	XXX
000000-00-0	CASH	C.....		25	25	
9609999999. Subtotal - Cash (Schedule E Part 1 type)				25	25	XXX
03785D-K1-3	APPLE INC	C.....	1.D	499,934	500,000	10/01/2024
0527M0-KA-4	AUTOBAHN FUNDING CO LLC	C.....	1.E	748,991	749,094	10/10/2024
06417J-KH-4	BANK OF NOVA SCOTIA	C.....	1.E	299,317	299,353	10/17/2024
06417M-4M-4	BANK OF NOVA SCOTIA HOUS	C.....	1.E	500,024	500,007	10/02/2024
06741F-K1-4	BARCLAYS US COP	C.....	1.E	499,933	500,000	10/01/2024
12509R-K4-2	COP FINANCIAL INC	C.....	1.D	249,866	249,891	10/04/2024
13606K-K2-1	CANADIAN IMP BK COMM NY	C.....	1.E	750,560	750,549	11/08/2024
13639C-K1-2	CANADIAN NATL RAILWAY	C.....	1.D	499,932	500,000	10/01/2024
20272D-L8-1	COMMONWEALTH BK AUSTRALI	C.....	1.D	497,400	497,308	11/08/2024
2332K0-KN-1	DNB BANK ASA	C.....	1.D	498,534	498,507	10/22/2024
34411G-K4-7	FMS WERTMANAGEMENT	C.....	1.D	499,736	499,785	10/04/2024
44331B-K4-4	HP INC	C.....	2.A	499,727	499,795	10/04/2024
54316T-K3-5	LONGSHIP FUNDING LLC	C.....	1.D	999,598	999,732	10/03/2024
6117P4-KF-6	MONT BLANC CAPITAL CORP	C.....	1.E	498,991	498,979	10/15/2024
63975J-KM-3	NEDERLANDSE WATERSCHAPS	C.....	1.D	498,585	498,586	10/21/2024
65558M-DK-1	NORDEA BANK ABP NEW YORK	C.....	1.D	499,993	500,000	12/04/2024
69372A-K9-2	PACCAR FINANCIAL CORP	C.....	1.E	499,363	499,428	10/09/2024
69448M-KF-0	PACIFIC LIFE SHORT TERM	C.....	1.D	498,995	499,008	10/15/2024
83050P-ST-2	SKANDINAV ENSKILDA BK NY	C.....	1.E	500,031	500,002	10/04/2024
8AMMFO-80-7	GOLDMAN SACHS FIN SQ GOVT	C.....	1.A	2,923,260	2,923,260	
8AMMFO-91-1	DWS GOVT MM SERIES INST	C.....	1.A	3,563,993	3,563,993	
8AMMFO-AM-3	INVESCO GVT & AGCY-INST	C.....	1.A	3,361,691	3,361,691	
92866B-KG-9	VOLKSWAGEN GROUP AMERICA	C.....	2.A	498,863	498,923	10/16/2024
93114E-KF-3	WALMART INC	C.....	1.D	498,999	499,010	10/15/2024
RPEM1T-1L-2	BNP PARIBAS	C.....	1.E	4,000,000	4,000,000	10/01/2024
RPEM1T-1V-0	HSBC SECURITIES USA INC	C.....	1.E	4,000,000	4,000,000	10/01/2024
RPEM1T-2F-4	BOFA SECURITIES INC	C.....	1.E	3,000,000	3,000,000	10/01/2024
9709999999. Subtotal - Cash Equivalents (Schedule E Part 2 type)				31,886,336	31,886,901	XXX
9999999999 - Totals				39,906,347	39,905,631	XXX

General Interrogatories:
1. Total activity for the year Fair Value \$0 Book/Adjusted Carrying Value \$0
2. Average balance for the year Fair Value \$0 Book/Adjusted Carrying Value \$0
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1 \$0 NAIC 2 \$0 NAIC 3 \$0 NAIC 4 \$0 NAIC 5 \$0 NAIC 6 \$0

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
NONE						
999999999 - Totals						XXX

Book/Adjusted Carrying Value \$
Book/Adjusted Carrying Value \$

SCHEDULE E - PART 1 - CASH

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]