



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

**QUARTERLY STATEMENT**AS OF JUNE 30, 2024  
OF THE CONDITION AND AFFAIRS OF THE**Utica National Insurance Company of Ohio**NAIC Group Code 0201 0201 NAIC Company Code 13998 Employer's ID Number 27-2764004  
(Current) (Prior)

Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_ OH

Country of Domicile \_\_\_\_\_ United States of America

Incorporated/Organized \_\_\_\_\_ 04/06/2010 Commenced Business \_\_\_\_\_ 12/22/2010

Statutory Home Office \_\_\_\_\_ 2 Easton Oval, Suite 225 Columbus, OH, US 43219  
(Street and Number) (City or Town, State, Country and Zip Code)Main Administrative Office \_\_\_\_\_ 180 Genesee Street  
(Street and Number) New Hartford, NY, US 13413 800-598-8422  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Mail Address \_\_\_\_\_ Post Office Box 530 Utica, NY, US 135030530  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)Primary Location of Books and Records \_\_\_\_\_ 180 Genesee Street  
(Street and Number) New Hartford, NY, US 13413 800-598-8422  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Internet Website Address \_\_\_\_\_ [www.uticanational.com](http://www.uticanational.com)Statutory Statement Contact \_\_\_\_\_ Sean Patrick Walsh 315-734-2745  
(Name) sean.walsh@uticanational.com (Area Code) (Telephone Number)  
(E-mail Address) 315-235-4642 (FAX Number)**OFFICERS**Chairman & CEO \_\_\_\_\_ Richard Patrick Creedon CFO & Treasurer \_\_\_\_\_ Elizabeth Mary Miller  
President & COO \_\_\_\_\_ Kristen Holly Martin Secretary \_\_\_\_\_ Louisa Suzanne Ruffine**OTHER****DIRECTORS OR TRUSTEES**John Martin Anderson \_\_\_\_\_ Jolene Marie Casatelli \_\_\_\_\_ Paul Lewis Cohen \_\_\_\_\_  
Richard Patrick Creedon \_\_\_\_\_ Kristen Holly Martin \_\_\_\_\_ Elizabeth Mary Miller \_\_\_\_\_  
Louisa Suzanne Ruffine \_\_\_\_\_State of \_\_\_\_\_ New York SS:  
County of \_\_\_\_\_ Oneida

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Holly Martin  
President, Chairman & CEOElizabeth Mary Miller  
CFO & TreasurerLouisa Suzanne Ruffine  
SecretarySubscribed and sworn to before me this  
day of \_\_\_\_\_

a. Is this an original filing? .....  
 b. If no,  
   1. State the amendment number.....  
   2. Date filed .....  
   3. Number of pages attached.....

Yes [  ] No [  ]

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	32,367,252		32,367,252	29,015,802
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....				
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ 6,159 ), cash equivalents (\$ 82,093 ) and short-term investments (\$ ) .....	88,252		88,252	333,744
6. Contract loans (including \$ premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....				
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	32,455,504		32,455,504	29,349,546
13. Title plants less \$ charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	184,991		184,991	154,955
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection				
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....				
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	27,230		27,230	13,695
18.2 Net deferred tax asset .....				
19. Guaranty funds receivable or on deposit .....	188		188	
20. Electronic data processing equipment and software .....				
21. Furniture and equipment, including health care delivery assets (\$ ) .....				
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	1,197,032		1,197,032	4,597,935
24. Health care (\$ ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	220,702		220,702	221,730
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	34,085,647		34,085,647	34,337,862
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	34,085,647		34,085,647	34,337,862
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....				
2501. Equities & Deposits in Pools & Associations .....	84,833		84,833	10,048
2502. Miscellaneous Accounts Receivable .....	135,869		135,869	211,682
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	220,702		220,702	221,730

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... ) .....		
2. Reinsurance payable on paid losses and loss adjustment expenses .....		
3. Loss adjustment expenses .....		
4. Commissions payable, contingent commissions and other similar charges .....	2,500,862	4,921,510
5. Other expenses (excluding taxes, licenses and fees) .....	6,796	6,779
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	367,951	
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses)) .....	1,033,504	717,147
7.2 Net deferred tax liability .....	36,022	32,682
8. Borrowed money \$ ..... and interest thereon \$ .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... 47,402,506 and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act) .....		
10. Advance premium .....		
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		
12. Ceded reinsurance premiums payable (net of ceding commissions) .....		
13. Funds held by company under reinsurance treaties .....		
14. Amounts withheld or retained by company for account of others .....	447,756	437,917
15. Remittances and items not allocated .....		
16. Provision for reinsurance (including \$ ..... certified) .....		
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....		
20. Derivatives .....		
21. Payable for securities .....	313,661	
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....		
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	4,706,552	6,116,035
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	4,706,552	6,116,035
29. Aggregate write-ins for special surplus funds .....		
30. Common capital stock .....	4,000,000	4,000,000
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....		
33. Surplus notes .....		
34. Gross paid in and contributed surplus .....	6,229,204	6,229,204
35. Unassigned funds (surplus) .....	19,149,891	17,992,623
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	29,379,095	28,221,827
38. Totals (Page 2, Line 28, Col. 3)	34,085,647	34,337,862
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 51,713,490 )	66,570,715	61,597,966	126,731,444
1.2 Assumed (written \$ 206,145 )	147,632	92,863	177,530
1.3 Ceded (written \$ 51,919,636 )	66,718,348	61,690,830	126,908,974
1.4 Net (written \$ )			
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ ):			
2.1 Direct	29,630,402	31,838,881	60,754,218
2.2 Assumed	163,836	91,259	150,548
2.3 Ceded	29,794,238	31,930,140	60,904,766
2.4 Net			
3. Loss adjustment expenses incurred		5	5
4. Other underwriting expenses incurred	(1,050,041)	(954,855)	(2,629,981)
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	(1,050,041)	(954,850)	(2,629,976)
7. Net income of protected cells			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	1,050,041	954,850	2,629,976
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	546,286	409,871	.847,135
10. Net realized capital gains (losses) less capital gains tax of \$ (27,230)	(105,221)	(25,620)	(61,397)
11. Net investment gain (loss) (Lines 9 + 10)	441,065	384,251	.785,737
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )			
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(447)		
15. Total other income (Lines 12 through 14)	(447)		
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	1,490,660	1,339,101	3,415,713
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	1,490,660	1,339,101	3,415,713
19. Federal and foreign income taxes incurred	330,052	278,864	717,147
20. Net income (Line 18 minus Line 19)(to Line 22)	1,160,608	1,060,237	2,698,566
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	28,221,827	25,532,557	25,532,557
22. Net income (from Line 20)	1,160,608	1,060,237	2,698,566
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$			
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(3,340)	(5,238)	(9,296)
27. Change in nonadmitted assets			
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,157,268	1,054,999	2,689,270
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	29,379,095	26,587,556	28,221,827
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401. Miscellaneous Income	(447)		
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(447)		
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	.....	.....	.....
2. Net investment income .....	504,282	404,880	817,825
3. Miscellaneous income .....	(447)		
4. Total (Lines 1 to 3) .....	503,836	404,880	817,825
5. Benefit and loss related payments .....	.....	.....	.....
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	.....	.....	.....
7. Commissions, expenses paid and aggregate write-ins for deductions .....	1,245,915	3,164,759	(2,781,885)
8. Dividends paid to policyholders .....	.....	.....	.....
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....			648,589
10. Total (Lines 5 through 9) .....	1,245,915	3,164,759	(2,133,296)
11. Net cash from operations (Line 4 minus Line 10) .....	(742,079)	(2,759,879)	2,951,120
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	3,100,541	5,372,061	6,858,673
12.2 Stocks .....	.....	.....	.....
12.3 Mortgage loans .....	.....	.....	.....
12.4 Real estate .....	.....	.....	.....
12.5 Other invested assets .....	.....	.....	.....
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	.....	.....	.....
12.7 Miscellaneous proceeds .....	.....	.....	.....
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	3,100,541	5,372,061	6,858,673
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	6,258,795	6,714,958	9,860,466
13.2 Stocks .....	.....	.....	.....
13.3 Mortgage loans .....	.....	.....	.....
13.4 Real estate .....	.....	.....	.....
13.5 Other invested assets .....	.....	.....	.....
13.6 Miscellaneous applications .....	.....	.....	.....
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	6,258,795	6,714,958	9,860,466
14. Net increase (or decrease) in contract loans and premium notes .....	.....	.....	.....
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(3,158,254)	(1,342,897)	(3,001,793)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	.....	.....	.....
16.2 Capital and paid in surplus, less treasury stock .....	.....	.....	.....
16.3 Borrowed funds .....	.....	.....	.....
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	.....	.....	.....
16.5 Dividends to stockholders .....	.....	.....	.....
16.6 Other cash provided (applied) .....	3,654,841	3,579,516	(227,249)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	3,654,841	3,579,516	(227,249)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(245,492)	(523,260)	(277,922)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	333,744	611,666	611,666
19.2 End of period (Line 18 plus Line 19.1) .....	88,252	88,406	333,744

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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# NOTES TO FINANCIAL STATEMENTS

**NOTE 1 Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

The financial statements of Utica National Insurance Company of Ohio are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company and for determining its solvency under the Ohio Insurance Laws. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The state of Ohio has adopted some practices that differ from NAIC SAP; however, none of those changes would impact the financial results of Utica National Insurance Company of Ohio.

A reconciliation of net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below.

	SSAP #	F/S Page	F/S Line #	2024		2023	
<b>NET INCOME</b>							
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,160,608	\$	2,698,566	
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 1,160,608	\$	2,698,566	
<b>SURPLUS</b>							
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 29,379,095	\$	28,221,827	
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 29,379,095	\$	28,221,827	

**B. Use of Estimates in the Preparation of the Financial Statements**

No change

**C. Accounting Policy**

(1) No change

(2) Bonds not backed by loans are carried at amortized cost using the scientific yield to worst method. Bonds that are defined by the NAIC as non-investment grade (rated 3 through 6) are carried at the lower of amortized cost or fair market value.

(3-5) No change

(6) Loan-backed securities are stated at either amortized cost, or the lower of amortized cost or fair market value if defined by the NAIC as non-investment grade (rated 3 through 6). The prospective adjustment method is used to value all loan-backed securities.

(7-13) No change

**D. Going Concern**

Management's evaluation of the financial condition of the Company did not indicate any going concern issues.

**NOTE 2 Accounting Changes and Corrections of Errors**

No change

**NOTE 3 Business Combinations and Goodwill**

No change

**D. Subcomponents and Calculation of Adjusted Surplus and Total Admitted Goodwill - not applicable****NOTE 4 Discontinued Operations**

No change

**NOTE 5 Investments****A. Mortgage Loans, including Mezzanine Real Estate Loans - not applicable****B. Debt Restructuring - not applicable****C. Reverse Mortgages - not applicable**

## NOTES TO FINANCIAL STATEMENTS

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## D. Loan-Backed Securities

(1) Our asset manager uses a proprietary model for loss assumptions and widely accepted models for prepayment assumptions in valuing mortgage-backed and asset-backed securities with inputs from major third party data providers. The models combine the effects of interest rates, volatility, and pre-payment speeds based on various scenario (Monte Carlo) simulations with resulting effective analytics (spreads, duration, convexity) and cash flows on a monthly basis. Credit sensitive cash flows are calculated using a proprietary model which estimates future loan defaults in terms of timing and severity. Model assumptions are specific to asset class and collateral types and are regularly evaluated and adjusted where appropriate.

(2) OTTI recognized 1st Quarter - not applicable

(3) OTTI by CUSIP - not applicable

(4)

a) The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ 85,871
2. 12 Months or Longer	\$ 2,447,103

b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 8,730,879
2. 12 Months or Longer	\$ 18,380,245

(5) There are a number of factors considered in determining if an other-than-temporary impairment does not exist for an investment, including but not limited to, debt burden, credit rating, sector, liquidity, financial flexibility, company management, expected earnings and cash flow stream, and economic prospects associated with the investment.

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions - not applicable

## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - not applicable

## G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - not applicable

## H. Repurchase Agreements Transactions Accounted for as a Sale - not applicable

## I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - not applicable

## J. Real Estate - not applicable

## K. Low Income Housing tax Credits (LIHTC) - not applicable

## L. Restricted Assets - no change

## M. Working Capital Finance Investments - not applicable

## N. Offsetting and Netting of Assets and Liabilities - not applicable

## O. 5GI Securities- not applicable

## P. Short Sales - not applicable

## Q. Prepayment Penalty and Acceleration Fees - not applicable

## R. Reporting Entity's Share of Cash Pool by Asset Type - not applicable

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**

No change

**NOTE 7 Investment Income**

A. The company does not have any due and accrued investment income that is excluded due to being nonadmitted.

B. Amount excluded - not applicable

C. The gross, nonadmitted and admitted amounts for interest income due and accrued.

Interest Income Due and Accrued	Amount
1. Gross	\$ 184,991
2. Nonadmitted	\$ 184,991
3. Admitted	\$ 184,991

D. The company does not have any aggregate deferred interest.

E. The company does not have any cumulative amounts of paid-in-kind (PIK) interest included in the current principal balance.

**NOTE 8 Derivative Instruments**

A. Derivatives under SSAP No. 86—Derivatives- not applicable  
(9) not applicable

B. Derivatives under SSAP No. 108—Derivative Hedging Variable Annuity Guarantees - not applicable

**NOTE 9 Income Taxes**

No change

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No change

**NOTE 11 Debt**

A. Not applicable

B. FHLB (Federal Home Loan Bank) Agreements - not applicable

## NOTES TO FINANCIAL STATEMENTS

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**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No change

(4) Not applicable

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No change

**NOTE 14 Liabilities, Contingencies and Assessments**

No change

**NOTE 15 Leases**

No change

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No change

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales - not applicable
- B. Transfer and Servicing of Financial Assets - not applicable
- C. Wash Sales - not applicable

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No change

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No change

**NOTE 20 Fair Value Measurements**

- A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

Assets that are reported in the Company's Financial Statements at fair value are categorized into the three-level fair value hierarchy as reflected in the table below. The three levels of the hierarchy are defined as follows:

Pricing Level 1 – Valuations based on unadjusted quoted prices in active markets for identical assets that our pricing sources have the ability to access. This category. Since the valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these securities does not entail a significant amount or degree of judgment.

Pricing Level 2 – Valuations based upon quoted prices for similar assets in active markets, quoted prices for identical or similar assets in inactive markets; or valuations based on models where significant inputs are observable (e.g. interest rates, yield curves, prepayment speeds, default rates, loss severities) or can be corroborated by observable market data.

Pricing Level 3 – Valuations that are derived from techniques in which one or more of the significant inputs are unobservable, including broker quotes which are non-binding.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					\$ -
Money Market Funds	\$ 82,093				\$ 82,093
Total assets at fair value/NAV	\$ 82,093	\$ -	\$ -	\$ -	\$ 82,093

Liabilities at fair value - not applicable.

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy - not applicable.

(3) Policies when Transfers between Levels are recognized - not applicable.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Level 1 investments are comprised of cash and cash sweep accounts. Valuations are based on quoted prices for identical assets in active markets that the Company's third party accounting vendor has the ability to access at the measurement date.

Level 2 investments are comprised of bonds. For these securities, valuations are obtained by the Company's third party accounting vendor from independent pricing services. If prices are not available from the primary or secondary independent pricing source, then close or bid evaluations may be used, or prices are obtained from the Company's third party investment managers. In that instance, observable inputs may include, among other things, contractual cash flows, reported trades, offers, or issuer spreads.

(5) Fair Value Disclosures - not applicable.

- B. Fair Value Reporting under SSAP 100 and Other Accounting Procurments - not applicable.

Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall. The fair values C. are also categorized into the three level hierarchy as described in Note 20A.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 29,865,145	\$ 32,367,252		\$ 29,865,145			
Cash Equivalents and Short Term	\$ 82,093	\$ 82,093	\$ 82,093				

D. Not Practicable to Estimate Fair Value - not applicable.

E. NAV Practical Expedient Investments - not applicable.

**NOTE 21 Other Items**

No change

**NOTE 22 Events Subsequent**

No change

**NOTE 23 Reinsurance**

No change

## NOTES TO FINANCIAL STATEMENTS

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**NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination**

No change

## F. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [ ] No [X]

**NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses**

The Company does not have any loss or loss adjustment expense.

**NOTE 26 Intercompany Pooling Arrangements**

No change

**NOTE 27 Structured Settlements**

No change

**NOTE 28 Health Care Receivables**

No change

**NOTE 29 Participating Policies**

No change

**NOTE 30 Premium Deficiency Reserves**

No change

**NOTE 31 High Deductibles**

No change

**NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No change

**NOTE 33 Asbestos/Environmental Reserves**

No change

**NOTE 34 Subscriber Savings Accounts**

No change

**NOTE 35 Multiple Peril Crop Insurance**

No change

**NOTE 36 Financial Guaranty Insurance**

No change

## B. Schedule of insured financial obligations at the end of the period - not applicable

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
 \_\_\_\_\_

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.  
 \_\_\_\_\_

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2019

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2019

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 06/30/2021

6.4 By what department or departments?  
 Ohio Department of Insurance ..... \_\_\_\_\_

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:  
 \_\_\_\_\_

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
 \_\_\_\_\_

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ X ] No [ ]  
10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$.....1,197,032

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [ X ]  
11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$.....

13. Amount of real estate and mortgages held in short-term investments: ..... \$.....

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ ] No [ X ]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]  
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ ]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....  
 16.2 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ .....  
 16.3 Total payable for securities lending reported on the liability page. .... \$ .....

**STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of New York Mellon .....	One Wall Street, New York, NY .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Conning Asset Management .....	U.....
Wellington Management Company LLP .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107423 .....	Conning Asset Management .....	549300Z0G14KK37BDV40 .....	SEC .....	DS.....
106595 .....	Wellington Management Company LLP .....	549300YHP12TEZNLX41 .....	SEC .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:  
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.  
 .....

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.  
 .....

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.  
 .....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL							

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$.....

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$.....

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	N.					
2. Alaska .....	AK	N.					
3. Arizona .....	AZ	N.					
4. Arkansas .....	AR	N.					
5. California .....	CA	N.					
6. Colorado .....	CO	N.					
7. Connecticut .....	CT	L	76,710	126,374	9,710	64,822	44,689
8. Delaware .....	DE	L					
9. District of Columbia .....	DC	N.					
10. Florida .....	FL	N.					
11. Georgia .....	GA	L	3,702,014	5,556,232	4,556,550	6,113,739	8,312,059
12. Hawaii .....	HI	N.					
13. Idaho .....	ID	N.					
14. Illinois .....	IL	N.					
15. Indiana .....	IN	N.					
16. Iowa .....	IA	N.					
17. Kansas .....	KS	N.					
18. Kentucky .....	KY	N.					
19. Louisiana .....	LA	N.					
20. Maine .....	ME	N.					
21. Maryland .....	MD	L					
22. Massachusetts .....	MA	L	422,109	760,744	85,944	112,553	467,379
23. Michigan .....	MI	N.					
24. Minnesota .....	MN	N.					
25. Mississippi .....	MS	N.					
26. Missouri .....	MO	N.					
27. Montana .....	MT	N.					
28. Nebraska .....	NE	N.					
29. Nevada .....	NV	N.					
30. New Hampshire .....	NH	L	164,256	238,087	72,156	13,137	300,246
31. New Jersey .....	NJ	L	307,739	8,832	14,891		149,580
32. New Mexico .....	NM	N.					33
33. New York .....	NY	L	42,122,972	35,745,131	20,382,974	15,657,693	105,261,273
34. North Carolina .....	NC	L					91,916,092
35. North Dakota .....	ND	N.					
36. Ohio .....	OH	L	105,098	203,452		.98,933	.95,926
37. Oklahoma .....	OK	N.					
38. Oregon .....	OR	N.					
39. Pennsylvania .....	PA	L	4,459,212	4,099,204	1,315,408	1,181,527	7,751,966
40. Rhode Island .....	RI	N.					
41. South Carolina .....	SC	L					
42. South Dakota .....	SD	N.					
43. Tennessee .....	TN	L	353,380	381,828	64,845	165,748	123,288
44. Texas .....	TX	L					
45. Utah .....	UT	N.					
46. Vermont .....	VT	N.					
47. Virginia .....	VA	L					
48. Washington .....	WA	N.					
49. West Virginia .....	WV	N.					
50. Wisconsin .....	WI	N.					
51. Wyoming .....	WY	N.					
52. American Samoa .....	AS	N.					
53. Guam .....	GU	N.					
54. Puerto Rico .....	PR	N.					
55. U.S. Virgin Islands .....	VI	N.					
56. Northern Mariana Islands .....	MP	N.					
57. Canada .....	CAN	N.					
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX	51,713,490	47,119,883	26,502,479	23,408,151	122,506,407	110,530,857
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

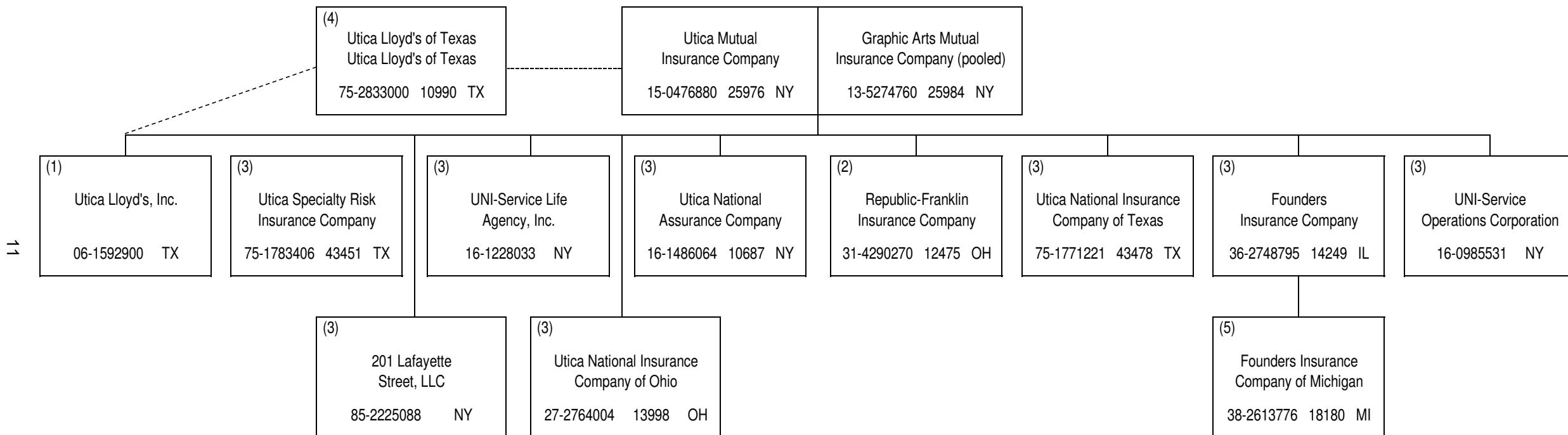
(a) Active Status Counts:

1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 15. Q - Qualified - Qualified or accredited reinsurer.....  
 2. R - Registered - Non-domiciled RRGs..... 5. D - Domestic Surplus Lines Insurer (DSL) - Reporting entities  
 3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state  
 (other than their state of domicile - see DSL)..... 6. N - None of the above - Not allowed to write business in the state... 42

## SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 - ORGANIZATIONAL CHART

## UTICA NATIONAL INSURANCE GROUP ORGANIZATION STRUCTURE JUNE 30, 2024



1. Owned 100% by Utica Mutual Insurance Company; operates as attorney-in-fact for Utica Lloyd's of Texas.
2. Owned 94% by Utica Mutual Insurance Company and 6% by Graphic Arts Mutual Insurance Company.
3. Owned 100% by Utica Mutual Insurance Company.
4. A Texas Lloyd's association of twelve underwriters under sponsorship of the Utica Mutual Insurance Company.
5. Owned 100% by Founders Insurance Company.
6. Shares common management with the group.

(6)  
Utica National Group Foundation, Inc.  
16-1313450 NY

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation
1	Owned 6% by Graphic Arts Mutual Insurance Company.
2	A Texas Lloyd's association of twelve underwriters under the sponsorship of the Utica Mutual Insurance Company.
3	Shares common management with the group.

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....	5,211	33	0.6	19.2
2.1 Allied Lines .....	1,338			
2.2 Multiple peril crop .....				
2.3 Federal flood .....				
2.4 Private crop .....				
2.5 Private flood .....				
3. Farmowners multiple peril .....				
4. Homeowners multiple peril .....				
5.1 Commercial multiple peril (non-liability portion) .....	16,367,542	5,056,111	30.9	80.1
5.2 Commercial multiple peril (liability portion) .....	20,276,619	5,681,073	28.0	43.0
6. Mortgage guaranty .....				
8. Ocean marine .....				
9.1 Inland marine .....		33		49.7
9.2 Pet insurance .....				
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13.1 Comprehensive (hospital and medical) individual .....				
13.2 Comprehensive (hospital and medical) group .....				
14. Credit accident and health .....				
15.1 Vision only .....				
15.2 Dental only .....				
15.3 Disability income .....				
15.4 Medicare supplement .....				
15.5 Medicaid Title XIX .....				
15.6 Medicare Title XVIII .....				
15.7 Long-term care .....				
15.8 Federal employees health benefits plan .....				
15.9 Other health .....				
16. Workers' compensation .....	8,984,237	4,509,907	50.2	57.5
17.1 Other liability - occurrence .....	6,823,093	1,561,483	22.9	(36.4)
17.2 Other liability - claims-made .....	229,374	219,959	95.9	(20.8)
17.3 Excess workers' compensation .....				
18.1 Products liability - occurrence .....		(38)		
18.2 Products liability - claims-made .....				
19.1 Private passenger auto no-fault (personal injury protection) .....				
19.2 Other private passenger auto liability .....				
19.3 Commercial auto no-fault (personal injury protection) .....	486,222	(52,126)	(10.7)	34.8
19.4 Other commercial auto liability .....	10,560,217	11,355,224	107.5	67.9
21.1 Private passenger auto physical damage .....				
21.2 Commercial auto physical damage .....	2,836,863	1,298,742	45.8	80.1
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....		0		
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....				
35. Totals .....	66,570,715	29,630,402	44.5	51.7
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)				

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....		810	622
2.1 Allied Lines .....		1,934	1,179
2.2 Multiple peril crop .....			
2.3 Federal flood .....			
2.4 Private crop .....			
2.5 Private flood .....			
3. Farmowners multiple peril .....			
4. Homeowners multiple peril .....			
5.1 Commercial multiple peril (non-liability portion) .....	4,390,081	7,829,848	8,438,170
5.2 Commercial multiple peril (liability portion) .....	9,155,861	16,093,844	12,719,159
6. Mortgage guaranty .....			
8. Ocean marine .....			
9.1 Inland marine .....			
9.2 Pet insurance .....			
10. Financial guaranty .....			
11.1 Medical professional liability - occurrence .....			
11.2 Medical professional liability - claims-made .....			
12. Earthquake .....			
13.1 Comprehensive (hospital and medical) individual .....			
13.2 Comprehensive (hospital and medical) group .....			
14. Credit accident and health .....			
15.1 Vision only .....			
15.2 Dental only .....			
15.3 Disability income .....			
15.4 Medicare supplement .....			
15.5 Medicaid Title XIX .....			
15.6 Medicare Title XVIII .....			
15.7 Long-term care .....			
15.8 Federal employees health benefits plan .....			
15.9 Other health .....			
16. Workers' compensation .....	4,515,290	10,446,664	11,112,933
17.1 Other liability - occurrence .....	2,615,087	5,056,061	5,008,263
17.2 Other liability - claims-made .....	81,429	116,843	208,810
17.3 Excess workers' compensation .....			
18.1 Products liability - occurrence .....			
18.2 Products liability - claims-made .....			
19.1 Private passenger auto no-fault (personal injury protection) .....			
19.2 Other private passenger auto liability .....			
19.3 Commercial auto no-fault (personal injury protection) .....	218,492	425,654	326,790
19.4 Other commercial auto liability .....	5,245,105	9,625,279	7,423,864
21.1 Private passenger auto physical damage .....			
21.2 Commercial auto physical damage .....	1,186,963	2,116,554	1,880,094
22. Aircraft (all perils) .....			
23. Fidelity .....			
24. Surety .....			
26. Burglary and theft .....			
27. Boiler and machinery .....			
28. Credit .....			
29. International .....			
30. Warranty .....			
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....			
35. Totals .....	27,408,307	51,713,490	47,119,883
<b>DETAILS OF WRITE-INS</b>			
3401. ....			
3402. ....			
3403. ....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....			
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)			

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

### **PART 3 (\$000 OMITTED)**

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES

### AUGUST FILING

5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	YES
---	-----

### Explanations:

- 1.
- 2.
- 3.

### Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



1 3 9 9 8 2 0 2 4 4 9 0 0 0 0 0 2

2. Supplement A to Schedule T [Document Identifier 455]



1 3 9 9 8 2 0 2 4 4 5 5 0 0 0 0 2

3. Medicare Part D Coverage Supplement [Document Identifier 365]



1 3 9 9 8 2 0 2 4 3 6 5 0 0 0 0 2

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase/(decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase/(decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**NONE****SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	29,015,803	26,081,036
2. Cost of bonds and stocks acquired .....	6,258,795	9,860,466
3. Accrual of discount .....	43,832	79,142
4. Unrealized valuation increase/(decrease) .....	(132,451)	(75,091)
5. Total gain (loss) on disposals .....	2,786,880	6,858,670
6. Deduct consideration for bonds and stocks disposed of .....	31,847	71,080
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	32,367,252	29,015,803
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	32,367,252	29,015,803

## STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	30,216,742	404,777	1,757,321	107,049	30,216,742	28,971,247		25,782,369
2. NAIC 2 (a) .....	3,593,843		99,514	(98,325)	3,593,843	3,396,004		3,233,432
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	33,810,585	404,777	1,856,835	8,724	33,810,585	32,367,251		29,015,801
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds and Preferred Stock .....	33,810,585	404,777	1,856,835	8,724	33,810,585	32,367,251		29,015,801

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	326,488	0
2. Cost of cash equivalents acquired .....	7,062,237	12,143,195
3. Accrual of discount .....		
4. Unrealized valuation increase/(decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	7,306,632	11,816,707
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	82,093	326,488
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	82,093	326,488

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
79467B-AR-6 .....	SALES TAX SECURITIZATION CORP .....		04/23/2024 .....	RAYMOND JAMES & ASSO .....	224,777	275,000		3,124	1.D FE .....
0909999999. Subtotal - Bonds - U.S. Special Revenues					224,777	275,000		3,124	XXX
219207-AC-1 .....	CORNELL UNIVERSITY .....		04/04/2024 .....	GOLDMAN SACHS & CO .....	180,000	180,000			1.C FE .....
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					180,000	180,000			XXX
2509999997. Total - Bonds - Part 3					404,777	455,000		3,124	XXX
2509999998. Total - Bonds - Part 5					XXX	XXX	XXX		XXX
2509999999. Total - Bonds					404,777	455,000		3,124	XXX
4509999997. Total - Preferred Stocks - Part 3					XXX				XXX
4509999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX		XXX
4509999999. Total - Preferred Stocks					XXX				XXX
5989999997. Total - Common Stocks - Part 3					XXX				XXX
5989999998. Total - Common Stocks - Part 5					XXX	XXX	XXX		XXX
5989999999. Total - Common Stocks					XXX				XXX
5999999999. Total - Preferred and Common Stocks					XXX				XXX
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
6009999999 - Totals					404,777	XXX		3,124	XXX

## STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Desig- nation Modifi- er and SVO Adminis- trative Symbol	
													11 (11 + 12 - 13)	12	13	14	15 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)								
..36202F-5J-1	GINNIE MAE II POOL		06/01/2024	PAYDOWN		67,070	67,070	66,944	66,946	124			124					67,070				1,635	06/01/2053	1.A ..	
..36202F-M7-8	GINNIE MAE II POOL		06/01/2024	PAYDOWN		505	505	517	517		(12)			(12)					505				8	12/01/2040	1.A ..
..36202F-PF-7	GINNIE MAE II POOL		06/01/2024	PAYDOWN		498	498	511	511		(13)			(13)					498				8	01/01/2041	1.A ..
..36202F-TL-0	GINNIE MAE II POOL		06/01/2024	PAYDOWN		654	654	693	695		(41)			(41)					654				12	01/01/2041	1.A ..
..36202F-UE-4	GINNIE MAE II POOL		06/01/2024	PAYDOWN		415	415	427	427		(12)			(12)					415				7	06/01/2041	1.A ..
..36202F-UF-1	GINNIE MAE II POOL		06/01/2024	PAYDOWN		393	393	415	417		(23)			(23)					393				7	06/01/2041	1.A ..
..36202F-V5-9	GINNIE MAE II POOL		06/01/2024	PAYDOWN		1,587	1,587	1,643	1,642		(56)			(56)					1,587				24	11/01/2041	1.A ..
..3622AC-JJ-0	GINNIE MAE II POOL		06/01/2024	PAYDOWN		2,617	2,617	2,536	2,537		79			79					2,617				60	04/01/2053	1.A ..
..36241L-S3-1	GINNIE MAE I POOL		06/01/2024	PAYDOWN		481	481	496	497		(16)			(16)					481				8	01/01/2041	1.A ..
0109999999. Subtotal - Bonds - U.S. Governments						74,220	74,220	74,182	74,189		30			30					74,220				1,769	XXX	XXX
..3128MJ-2M-3	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		276	276	292	293		(17)			(17)					276				5	04/01/2044	1.A ..
..3128MJ-V2-3	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		197	197	206	210		(13)			(13)					197				3	03/01/2045	1.A ..
..3128MJ-XX-3	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		358	358	369	376		(17)			(17)					358				5	03/01/2046	1.A ..
..3128MJ-Z9-4	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		238	238	251	261		(23)			(23)					238				4	06/01/2047	1.A ..
..3128MJ-ZF-0	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		510	510	502	501		10			10					510				6	01/01/2047	1.A ..
..3128MJ-ZH-6	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		185	185	195	199		(13)			(13)					185				3	01/01/2047	1.A ..
..3128MJ-ZP-8	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		320	320	336	345		(25)			(25)					320				6	02/01/2047	1.A ..
..3128MM-WS-8	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		693	693	710	705		(12)			(12)					693				10	08/01/2032	1.A ..
..31307N-E3-4	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		617	617	647	631		(14)			(14)					617				9	12/01/2028	1.A ..
..3132AD-PF-9	FREDDIE MAC POOL		06/01/2024	PAYDOWN		1,068	1,068	1,144	1,236		(167)			(167)					1,068				22	11/01/2048	1.A ..
..3132DN-H4-8	FREDDIE MAC POOL		06/01/2024	PAYDOWN		10,501	10,501	8,969	9,037		1,464			1,464					10,501				111	01/01/2051	1.A ..
..3132DP-3N-6	FREDDIE MAC POOL		06/01/2024	PAYDOWN		3,343	3,343	3,348	3,348		(5)			(5)					3,343				81	04/01/2053	1.A ..
..3132DQ-GK-6	FREDDIE MAC POOL		06/01/2024	PAYDOWN		4,563	4,563	4,507	4,509		53			53					4,563				110	05/01/2053	1.A ..
..3132DV-4H-5	FREDDIE MAC POOL		06/01/2024	PAYDOWN		1,065	1,065	1,082	1,104		(39)			(39)					1,065				13	11/01/2049	1.A ..
..3132DV-4P-7	FREDDIE MAC POOL		06/01/2024	PAYDOWN		2,614	2,614	2,651	2,693		(80)			(80)					2,614				33	12/01/2049	1.A ..
..3132DW-BH-5	FREDDIE MAC POOL		06/01/2024	PAYDOWN		4,274	4,274	4,272	4,272		2			2					4,274				36	04/01/2051	1.A ..
..3132DW-CP-6	FREDDIE MAC POOL		06/01/2024	PAYDOWN		6,197	6,197	5,650	5,695		502			502					6,197				65	11/01/2051	1.A ..
..3132DW-CT-8	FREDDIE MAC POOL		06/01/2024	PAYDOWN		35,214	35,214	35,330	35,314		(100)			(100)					35,214				297	11/01/2051	1.A ..
..3132DW-DB-3	FREDDIE MAC POOL		06/01/2024	PAYDOWN		7,981	7,981	7,957	7,959		22			22					7,981				137	07/01/2052	1.A ..
..3132DW-ET-6	FREDDIE MAC POOL		06/01/2024	PAYDOWN		13,936	13,936	13,575	13,575		361			361					13,936				115	09/01/2052	1.A ..
..3132DW-FT-5	FREDDIE MAC POOL		06/01/2024	PAYDOWN		9,025	9,025	9,103	9,097		(72)			(72)					9,025				227	12/01/2052	1.A ..
..3132GD-RW-4	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		87	87	90	89		(3)			(3)					87				2	05/01/2041	1.A ..
..3132GK-5A-0	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		32	32	35	35		(2)			(2)					32				1	11/01/2041	1.A ..
..3132M9-2R-4	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		235	235	251	257		(22)			(22)					235				4	10/01/2044	1.A ..
..3132KU-SK-2	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		393	393	413	423		(29)			(29)					393				8	11/01/2047	1.A ..
..31335A-S7-4	FREDDIE MAC GOLD POOL		06/01/2024	PAYDOWN		254	254	271	278		(24)			(24)					254				4	09/01/2045	1.A ..
..31339S-BB-0	FREDDIE MAC POOL		06/01/2024	PAYDOWN		840	840	849	863		(23)			(23)					840				12	09/01/2049	1.A ..
..3133AA-XC-1	FREDDIE MAC POOL		06/01/2024	PAYDOWN		3,271	3,271	2,685	2,704		567			567					3,271				27	10/01/2050	1.A ..
..3133AY-LH-1	FREDDIE MAC POOL		06/01/2024	PAYDOWN		21,602	21,602	18,777	19,003		2,598			2,598					21,602				232	11/01/2051	1.A ..
..3133B9-MK-7	FREDDIE MAC POOL		06/01/2024	PAYDOWN		24,068	24,068	23,932	23,947		121			121					24,068				352	04/01/2052	1.A ..
..3133KJ-7C-7	FREDDIE MAC POOL		06/01/2024	PAYDOWN		6,996	6,996	7,049	7,042		(46)			(46)					6,996				46	02/01/2051	1.A ..
..3133KP-Q6-8	FREDDIE MAC POOL		06/01/2024	PAYDOWN		14,104	14,104	13,901	13,901		203			203					14,104				125	07/01/2052	1.A ..
..3133KR-BZ-6	FREDDIE MAC POOL		06/01/2024	PAYDOWN		11,471	11,471	11,186	11,186		285			285					11,471				63	05/01/2053	1.A ..
..3137FE-UA-6	FREDDIE MAC MULTIFAMILY STRUCTURED PASS		06/01/2024</td																						

## STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation, NAIC Design- ation Modi- fier and SVO Admini- stra- tive Symbol		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value									
..3138EJ-3Y-2	FANNIE MAE POOL		06/01/2024	PAYDOWN		598	.598	628	.636	(38)			(38)								9	11/01/2042	1.A ..
..3138EQ-7L-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		406	.406	436	.442	(36)			(36)								7	06/01/2043	1.A ..
..3138MO-AK-6	FANNIE MAE POOL		06/01/2024	PAYDOWN		824	.824	855	.864	(40)			(40)								14	08/01/2042	1.A ..
..3138MS-EA-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		147	.147	156	.157	(10)			(10)								2	02/01/2042	1.A ..
..3138MS-LP-2	FANNIE MAE POOL		06/01/2024	PAYDOWN		246	.246	256	.256	(10)			(10)								4	08/01/2042	1.A ..
..3138MB-XL-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		861	.861	886	.884	(23)			(23)								13	10/01/2042	1.A ..
..3138WJ-6-SU-1	FANNIE MAE POOL		06/01/2024	PAYDOWN		352	.352	359	.360	(8)			(8)								4	04/01/2043	1.A ..
..3138WJ-BF-6	FANNIE MAE POOL		06/01/2024	PAYDOWN		441	.441	463	.469	(28)			(28)								7	07/01/2043	1.A ..
..3138WJ-AR-6	FANNIE MAE POOL		06/01/2024	PAYDOWN		169	.169	176	.176	(7)			(7)								3	02/01/2044	1.A ..
..3138WJ-LK-9	FANNIE MAE POOL		06/01/2024	PAYDOWN		493	.493	520	.527	(35)			(35)								10	05/01/2044	1.A ..
..3138WJ-NE-8	FANNIE MAE POOL		06/01/2024	PAYDOWN		60	.60	64	.67	(7)			(7)								1	12/01/2044	1.A ..
..3138WJ-6G-9	FANNIE MAE POOL		06/01/2024	PAYDOWN		73	.73	76	.78	(5)			(5)								1	07/01/2045	1.A ..
..3138WJ-KB-4	FANNIE MAE POOL		06/01/2024	PAYDOWN		279	.279	290	.293	(14)			(14)								4	04/01/2045	1.A ..
..3138WJ-KG-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		216	.216	225	.227	(11)			(11)								3	04/01/2045	1.A ..
..3138WJF-NN-2	FANNIE MAE POOL		06/01/2024	PAYDOWN		694	.694	725	.712	(18)			(18)								9	09/01/2030	1.A ..
..3138WJG-BA-1	FANNIE MAE POOL		06/01/2024	PAYDOWN		333	.333	350	.358	(25)			(25)								5	12/01/2045	1.A ..
..3138WJG-BW-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		141	.141	147	.150	(10)			(10)								2	12/01/2045	1.A ..
..3138WJG-DN-1	FANNIE MAE POOL		06/01/2024	PAYDOWN		303	.303	317	.324	(21)			(21)								5	01/01/2046	1.A ..
..3138WJH-H3-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		1,390	.1,390	1,429	.1,419	(29)			(29)								17	11/01/2036	1.A ..
..3138WJ-K5-6	FANNIE MAE POOL		06/01/2024	PAYDOWN		270	.270	269	.269	1			1								3	11/01/2046	1.A ..
..3138WJ-4X-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		986	.986	985	.985	1			1								12	06/01/2032	1.A ..
..3138WJL-C2-7	FANNIE MAE POOL		06/01/2024	PAYDOWN		368	.368	386	.401	(33)			(33)								7	07/01/2047	1.A ..
..3138WJV-X5-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		700	.700	734	.739	(39)			(39)								11	07/01/2043	1.A ..
..3138X4-V9-8	FANNIE MAE POOL		06/01/2024	PAYDOWN		296	.296	308	.313	(17)			(17)								4	08/01/2043	1.A ..
..3138Y6-SS-1	FANNIE MAE POOL		06/01/2024	PAYDOWN		137	.137	144	.146	(9)			(9)								2	10/01/2042	1.A ..
..3140EV-T7-2	FANNIE MAE POOL		06/01/2024	PAYDOWN		1,373	.1,373	1,371	.1,371	1			1								15	07/01/2031	1.A ..
..3140FM-DF-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		513	.513	513	.513												6	12/01/2046	1.A ..
..3140J5-LM-9	FANNIE MAE POOL		06/01/2024	PAYDOWN		973	.973	1,010	.993	(20)			(20)								14	11/01/2031	1.A ..
..3140JB-HC-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		93	.93	96	.99	(6)			(6)								2	04/01/2048	1.A ..
..314007-LE-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		319	.319	335	.347	(28)			(28)								5	09/01/2047	1.A ..
..3140Q8-M9-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		1,422	.1,422	1,449	.1,440	(18)			(18)								19	02/01/2033	1.A ..
..314000-B9-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		8,529	.8,529	8,321		208			208								84	09/01/2052	1.A ..
..3140QR-J8-7	FANNIE MAE POOL		06/01/2024	PAYDOWN		5,280	.5,280	5,231	.5,234	.46			.46								108	02/01/2053	1.A ..
..3140QS-A4-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		1,944	.1,944	1,936	.1,936	8			8							48	05/01/2053	1.A ..	
..3140QS-OC-8	FANNIE MAE POOL		06/01/2024	PAYDOWN		360	.360	360	.360	1			1							9	07/01/2053	1.A ..	
..3140X6-UB-5	FANNIE MAE POOL		06/01/2024	PAYDOWN		3,501	.3,501	3,698	.3,915	(414)			(414)								51	05/01/2048	1.A ..
..3140X6-KF-3	FANNIE MAE POOL		06/01/2024	PAYDOWN		6,753	.6,753	6,979	.6,984	(232)			(232)								61	11/01/2050	1.A ..
..3140XF-AR-2	FANNIE MAE POOL		06/01/2024	PAYDOWN		13,487	.13,487	15,060	.15,069	(1,583)			(1,583)								223	01/01/2050	1.A ..
..3140XK-I2-9	FANNIE MAE POOL		06/01/2024	PAYDOWN		13,970	.13,970	13,622		348			348								125	12/01/2052	1.A ..
..3140XM-UU-8	FANNIE MAE POOL		06/01/2024	PAYDOWN		12,821	.12,821	12,511		310			310								95	10/01/2052	1.A ..
..31417B-7A-9	FANNIE MAE POOL		06/01/2024	PAYDOWN		.51	.51	.54	.56	(6)			(6)								1	06/01/2042	1.A ..
..31417C-S3-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		453	.453	477	.477	(24)			(24)								6	08/01/2042	1.A ..
..31417D-TR-4	FANNIE MAE POOL		06/01/2024	PAYDOWN		354	.354	368	.371	(17)			(17)								5	11/01/2042	1.A ..
..31417G-5A-0	FANNIE MAE POOL		06/01/2024	PAYDOWN		284	.284	298	.301	(17)			(17)								4	07/01/2043	1.A ..
..31418C-E6-7	FANNIE MAE POOL		06/01/2024	PAYDOWN		598	.598	638	.634	(35)			(35)								10	12/01/2036	1.A ..
..31418C-FG-4	FANNIE MAE POOL		06/01/2024	PAYDOWN		714	.714	719	.718	(5)			(5)								9	01/01/2037	1.A ..

## STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Adminis- trative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
..31418C-ND-2	FANNIE MAE POOL		06/01/2024	PAYOUT	305	305	313	319		(13)		(13)	(13)		305				4	08/01/2047	1.A
..31418C-OB-3	FANNIE MAE POOL		06/01/2024	PAYOUT	300	300	311	319		(20)		(20)	(20)		300				5	10/01/2047	1.A
..31418D-BF-8	FANNIE MAE POOL		06/01/2024	PAYOUT	492	492	505	536		(44)		(44)	(44)		492				7	04/01/2049	1.A
..31418D-KJ-0	FANNIE MAE POOL		06/01/2024	PAYOUT	1,970	1,970	1,992	1,998		(28)		(28)	(28)		1,970				21	01/01/2035	1.A
..31418E-AP-5	FANNIE MAE POOL		06/28/2024	VARIOUS	711,828	.826,837	846,313	844,352		(1,287)		(1,287)	(1,287)		843,065		(131,237)	(131,237)	14,163	01/01/2052	1.A
..31418E-LY-4	FANNIE MAE POOL		06/01/2024	PAYOUT	4,936	4,936	4,964	4,962		(26)		(26)	(26)		4,936				114	12/01/2052	1.A
0909999999. Subtotal - Bonds - U.S. Special Revenues						996,863	1,111,872	1,127,229	1,052,945		2,036		2,036		1,128,100		(131,237)	(131,237)	17,640	XXX	XXX
..06051G-FF-1	BANK OF AMERICA CORP		04/01/2024	MATURITY	55,000	55,000	57,096	55,064		(64)		(64)	(64)		55,000				1,100	04/01/2024	1.G FE
..24422E-TT-6	JOHN DEERE CAPITAL CORP		06/24/2024	MATURITY	100,000	100,000	99,809	99,986		14		14	14		100,000				1,325	06/24/2024	1.E FE
..459200-JY-8	INTERNATIONAL BUSINESS MACHINES CORP		05/15/2024	MATURITY	100,000	100,000	101,142	100,090		(90)		(90)	(90)		100,000				1,500	05/15/2024	1.G FE
..46625H-JX-9	JPMORGAN CHASE & CO		05/13/2024	MATURITY	100,000	100,000	99,510	99,977		23		23	23		100,000				1,813	05/13/2024	1.F FE
..84265V-AH-8	SOUTHERN COPPER CORP		06/10/2024	BANC/AMERICA SECUR.L	98,300	100,000	96,780	99,273		241		241	241		99,514		(1,214)	(1,214)	2,454	04/23/2025	2.A FE
..05971K-AD-1	BANCO SANTANDER SA	D	06/27/2024	MATURITY	200,000	200,000	204,034	200,835		(835)		(835)	(835)		200,000				2,706	06/27/2024	1.F FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						653,300	655,000	658,371	655,225		(71)		(71)		654,514		(1,214)	(1,214)	10,898	XXX	XXX
2509999997. Total - Bonds - Part 4						1,724,383	1,841,092	1,859,782	1,782,359		1,355		1,355		1,856,834		(132,451)	(132,451)	30,307	XXX	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX		XXX		XXX		XXX		XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						1,724,383	1,841,092	1,859,782	1,782,359		1,355		1,355		1,856,834		(132,451)	(132,451)	30,307	XXX	XXX
4509999997. Total - Preferred Stocks - Part 4						XXX													XXX	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX		XXX		XXX		XXX		XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						XXX													XXX	XXX	XXX
5989999997. Total - Common Stocks - Part 4						XXX													XXX	XXX	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX		XXX		XXX		XXX		XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						XXX													XXX	XXX	XXX
5999999999. Total - Preferred and Common Stocks						XXX													XXX	XXX	XXX
6009999999 - Totals						1,724,383	XXX	1,859,782	1,782,359		1,355		1,355		1,856,834		(132,451)	(132,451)	30,307	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
BANK OF NEW YORK ..... NEW YORK, NY .....	.....	0.000	.....	.....	10,913	11,522	6,159	XXX.
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	.....	.....	.....	.....	.....	XXX
0199999. Totals - Open Depositories	XXX	XXX	.....	.....	10,913	11,522	6,159	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	.....	.....	.....	.....	.....	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	.....	.....	.....	.....	.....	XXX
0399999. Total Cash on Deposit	XXX	XXX	.....	.....	10,913	11,522	6,159	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	.....	.....	.....	XXX
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
0599999. Total - Cash	XXX	XXX	.....	.....	10,913	11,522	6,159	XXX

STATEMENT AS OF JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

### Show Investments Owned End of Current Quarter

E14



SUPPLEMENT FOR THE QUARTER ENDING JUNE 30, 2024 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended JUNE 30, 2024

NAIC Group Code 0201

NAIC Company Code 13998

Company Name UTICA NATIONAL INSURANCE COMPANY OF OHIO .....

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$ .....	\$ .....	\$ .....

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? ..... Yes [  ] No [  ]

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? ..... Yes [  ] No [  ]

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: ..... \$ ..... 79,493

2.32 Amount estimated using reasonable assumptions: ..... \$ .....

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. ..... \$ .....