



Department
of Insurance

Mike DeWine, Governor
Jon Husted, Lt Governor

Judith L. French, Director

Electronic Filing Authenticity Affidavit

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215
614-644-2647 | 614-644-3256 (Fax) | insurance.ohio.gov

Ohio Domestic Insurers Only

Company Name: AuguStar Life Assurance Corporation

NAIC No. 89206

We, the undersigned executive officers of AuguStar Life Assurance Corporation (herein referred to as the "Company"), an insurance company organized under the laws of Ohio, hereby certify that the documents indicated below by an "X" were filed electronically with the National Association of Insurance Commissioners ("NAIC") and that the electronic filing or filings, including ".PDF" filings, are exact copies of the original documents, except for formatting differences due to electronic filing. The original documents are maintained in this Company's office and are available for inspection upon request by the Ohio Department of Insurance for at least five years following the date of filing. An executed, notarized NAIC Annual Statement or Quarterly Statement jurat page or an original, notarized signature page (if this filing relates to a supplemental filing without a jurat page) attesting to the accuracy and authenticity of the corresponding NAIC Annual Statement or Quarterly Statement or supplemental schedule is attached to this Affidavit.

Company Type: ☐ Fraternal ☐ Title ☐ Property & Casualty ☒ Life & Health ☐ Health ☐ Other _____

Applicable documents:

☐ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Annual Statement Electronic Filing[s]," which include "the annual statement data and all supplements due March 1, per the *Annual Statement instructions*. This includes all detail investment schedules and other supplements for which the Annual Statement Instructions exempt printed detail."

Date of filing with the NAIC: _____. ☐ An original jurat page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Risk-Based Capital Electronic Filing," which "includes all risk-based capital data" due March 1.

Date of filing with the NAIC: _____. ☐ An original, notarized signature page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Supplemental Electronic Filing," which "includes all supplements due April 1, per the *Annual Statement Instructions*."

Date of filing with the NAIC: _____.

List of supplemental documents included in this Affidavit: _____.

☐ All original notarized signature pages are attached, as applicable.

☐ Original filing. ☐ Amended filing.

☒ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Quarterly Statement Electronic Filing," which "includes the complete quarterly statement data" due May 15, August 15, and November 15.

Date of filing with the NAIC: 05/14/2024.

☒ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Combined Annual Statement Electronic Filing," which "includes the required pages of the combined annual statement and the combined Insurance Expense Exhibit" due May 1.

Date of filing with the NAIC: _____.

☐ The documents referred to in the *General Instructions* to the *NAIC Checklist* as "June. PDF Filing," which includes "the Audited Financial Statements" due June 1.

Date of filing with the NAIC: _____.

☐ Original filing. ☐ Amended filing.

Lori Dashewich
Lori Dashewich (May 14, 2024 18:15 EDT)

05/14/2024

Signature

Date

(Name) Lori Dianne Dashewich

(Title)* SVP & Chief Financial Officer

Carlos Paiva
Carlos Paiva (May 14, 2024 15:14 EDT)

05/14/2024

Signature

Date

(Name) Carlos Fernando da Costa Almeida de Paiva Nascimento

(Title)* SVP, Corporate Secretary & LATAM Regional Counsel and Compliance

Scott N Shepherd

05/14/2024

Signature

Date

(Name) Scott Niel Shepherd

(Title)* SVP, Chief Risk Officer & Head of U.S. M&A/Reinsurance

*Signers must be principal executive officers of the Company (Chairman, President, CEO, CFO, Treasurer, Secretary)












ALAC INS7240 - 05-14-2024


Final Audit Report


2024-05-14


Created:	2024-05-14
By:	Stephanie Coleman (Stephanie_Coleman@constellationinsurance.com)
Status:	Signed
Transaction ID:	CBJCHBCAABAAsWqaFyXi54Yu2ICK0eQFrAtld-aKmV7o

"ALAC INS7240 - 05-14-2024" History

-  Document created by Stephanie Coleman (Stephanie_Coleman@constellationinsurance.com)
2024-05-14 - 12:07:52 PM GMT
-  Document emailed to lori_dashewich@constellationinsurance.com for signature
2024-05-14 - 12:07:56 PM GMT
-  Document emailed to carlos_paiva@constellationinsurance.com for signature
2024-05-14 - 12:07:56 PM GMT
-  Document emailed to scott_shepherd@constellationinsurance.com for signature
2024-05-14 - 12:07:56 PM GMT
-  Email viewed by carlos_paiva@constellationinsurance.com
2024-05-14 - 7:14:26 PM GMT
-  Signer carlos_paiva@constellationinsurance.com entered name at signing as Carlos Paiva
2024-05-14 - 7:14:49 PM GMT
-  Document e-signed by Carlos Paiva (carlos_paiva@constellationinsurance.com)
Signature Date: 2024-05-14 - 7:14:51 PM GMT - Time Source: server
-  Email viewed by scott_shepherd@constellationinsurance.com
2024-05-14 - 7:19:53 PM GMT
-  Signer scott_shepherd@constellationinsurance.com entered name at signing as Scott N. Shepherd
2024-05-14 - 7:20:20 PM GMT
-  Document e-signed by Scott N. Shepherd (scott_shepherd@constellationinsurance.com)
Signature Date: 2024-05-14 - 7:20:22 PM GMT - Time Source: server
-  Email viewed by lori_dashewich@constellationinsurance.com
2024-05-14 - 10:14:14 PM GMT

 Signer lori_dashewich@constellationinsurance.com entered name at signing as Lori Dashewich
2024-05-14 - 10:15:18 PM GMT

 Document e-signed by Lori Dashewich (lori_dashewich@constellationinsurance.com)
Signature Date: 2024-05-14 - 10:15:20 PM GMT - Time Source: server

 Agreement completed.
2024-05-14 - 10:15:20 PM GMT