



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2023

OF THE CONDITION AND AFFAIRS OF THE

Old Guard Insurance Company

NAIC Group Code 0228 (Current) 0228 (Prior) NAIC Company Code 17558 Employer's ID Number 23-0929640

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/09/1896 Commenced Business 12/09/1896

Statutory Home Office One Park Circle (Street and Number), Westfield Center, OH, US 44251-5001 (City or Town, State, Country and Zip Code)

Main Administrative Office One Park Circle (Street and Number), Westfield Center, OH, US 44251-5001 (City or Town, State, Country and Zip Code), 330-887-0101 (Area Code) (Telephone Number)

Mail Address P.O. Box 5001 (Street and Number or P.O. Box), Westfield Center, OH, US 44251-5001 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records One Park Circle (Street and Number), Westfield Center, OH, US 44251-5001 (City or Town, State, Country and Zip Code), 330-887-0101 (Area Code) (Telephone Number)

Internet Website Address www.westfieldgrp.com

Statutory Statement Contact Michelle Lynne Manzagol (Name), 330-887-0101 (Area Code) (Telephone Number), FinancialReporting@westfieldgrp.com (E-mail Address), 330-887-4415 (FAX Number)

OFFICERS

President, CEO, and Board Chair Edward James Largent III

Chief Financial Officer and Treasurer Joseph Christian Kohmann

Chief Legal Officer and Secretary Frank Anthony Carrino

OTHER

Kathleen Rose Golovan, Chief Operations Officer

John Andrew Kuhn, President, Westfield Specialty

Kristine Lynn Neate, Chief of Staff

Jennifer Constantine Palmieri, Chief People Officer

Stuart Wayne Rosenberg, Chief Innov and Strategy Off

DIRECTORS OR TRUSTEES

Barbara Marie Bufkin

Cheryl Lila Carlisle

David Preston Hollander

Michael Tufts Jeans

John Patrick Lanigan Jr

Edward James Largent III

Craig David Pfeiffer

Billie Kay Rawot

John Lewis Watson

State of Ohio

County of Medina

SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Edward James Largent III  
President, CEO, and Board Chair

Joseph Christian Kohmann  
Chief Financial Officer and Treasurer

Frank Anthony Carrino  
Chief Legal Officer and Secretary

Subscribed and sworn to before me this 15th day of October, 2023

a. Is this an original filing? Yes [ X ] No [ ]

b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

ASSETS

|   | Current Statement Date |                         |   | 4<br>December 31<br>Prior Year Net<br>Admitted Assets |
|---|------------------------|-------------------------|---|---|
|   | 1<br>Assets            | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) |   |
| 1. Bonds .....  | 416,401,303            | 0                       | 416,401,303                               | 413,047,842   |
| 2. Stocks:  |                        |                         |   |   |
| 2.1 Preferred stocks .....  | 0                      | 0                       | 0   | 0   |
| 2.2 Common stocks .....   | 73,897,693             | 0                       | 73,897,693                                | 76,132,110  |
| 3. Mortgage loans on real estate:   |                        |                         |   |   |
| 3.1 First liens .....   | 0                      | 0                       | 0   | 0   |
| 3.2 Other than first liens.....   | 0                      | 0                       | 0   | 0   |
| 4. Real estate:   |                        |                         |   |   |
| 4.1 Properties occupied by the company (less \$ .....0<br>encumbrances) .....   | 0                      | 0                       | 0   | 0   |
| 4.2 Properties held for the production of income (less<br>\$ .....0 encumbrances) .....   | 0                      | 0                       | 0   | 0   |
| 4.3 Properties held for sale (less \$ .....0<br>encumbrances) .....   | 0                      | 0                       | 0   | 0   |
| 5. Cash (\$ .....0 ), cash equivalents<br>(\$ ..... 2,130,718 ) and short-term<br>investments (\$ .....0 ) .....  | 2,130,718              | 0                       | 2,130,718                                 | 8,629,643   |
| 6. Contract loans (including \$ .....0 premium notes) .....   | 0                      | 0                       | 0   | 0   |
| 7. Derivatives .....  | 0                      | 0                       | 0   | 0   |
| 8. Other invested assets .....  | 0                      | 0                       | 0   | 0   |
| 9. Receivables for securities .....   | 0                      | 0                       | 0   | 0   |
| 10. Securities lending reinvested collateral assets .....   | 0                      | 0                       | 0   | 0   |
| 11. Aggregate write-ins for invested assets .....   | 0                      | 0                       | 0   | 0   |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) .....   | 492,429,714            | 0                       | 492,429,714                               | 497,809,595   |
| 13. Title plants less \$ .....0 charged off (for Title insurers<br>only) .....  | 0                      | 0                       | 0   | 0   |
| 14. Investment income due and accrued .....   | 3,757,456              | 0                       | 3,757,456                                 | 4,203,479   |
| 15. Premiums and considerations:  |                        |                         |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection .....  | 16,404,756             | 1,823,218               | 14,581,538                                | 14,363,921  |
| 15.2 Deferred premiums, agents' balances and installments booked but<br>deferred and not yet due (including \$ ..... 153,851<br>earned but unbilled premiums) ..... | 63,851,665             | 15,386                  | 63,836,279                                | 53,634,554  |
| 15.3 Accrued retrospective premiums (\$ .....0 ) and<br>contracts subject to redetermination (\$ .....0 ) .....   | 0                      | 0                       | 0   | 0   |
| 16. Reinsurance:  |                        |                         |   |   |
| 16.1 Amounts recoverable from reinsurers .....  | 0                      | 0                       | 0   | 0   |
| 16.2 Funds held by or deposited with reinsured companies .....  | 0                      | 0                       | 0   | 0   |
| 16.3 Other amounts receivable under reinsurance contracts .....   | 0                      | 0                       | 0   | 0   |
| 17. Amounts receivable relating to uninsured plans .....  | 0                      | 0                       | 0   | 0   |
| 18.1 Current federal and foreign income tax recoverable and interest thereon ....   | 0                      | 0                       | 0   | 147,191   |
| 18.2 Net deferred tax asset .....   | 774,083                | 0                       | 774,083                                   | 229,761   |
| 19. Guaranty funds receivable or on deposit .....   | 0                      | 0                       | 0   | 0   |
| 20. Electronic data processing equipment and software .....   | 0                      | 0                       | 0   | 0   |
| 21. Furniture and equipment, including health care delivery assets<br>(\$ .....0 ) .....  | 0                      | 0                       | 0   | 0   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates .....  | 0                      | 0                       | 0   | 0   |
| 23. Receivables from parent, subsidiaries and affiliates .....  | 4,471,602              | 0                       | 4,471,602                                 | 1,618,248   |
| 24. Health care (\$ .....0 ) and other amounts receivable .....   | 0                      | 0                       | 0   | 0   |
| 25. Aggregate write-ins for other than invested assets .....  | 0                      | 0                       | 0   | 0   |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and<br>Protected Cell Accounts (Lines 12 to 25) .....   | 581,689,276            | 1,838,604               | 579,850,672                               | 572,006,749   |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell<br>Accounts .....  | 0                      | 0                       | 0   | 0   |
| 28. Total (Lines 26 and 27)   | 581,689,276            | 1,838,604               | 579,850,672                               | 572,006,749   |
| DETAILS OF WRITE-INS  |                        |                         |   |   |
| 1101. ....  |                        |                         |   |   |
| 1102. ....  |                        |                         |   |   |
| 1103. ....  |                        |                         |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page .....   | 0                      | 0                       | 0   | 0   |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)   | 0                      | 0                       | 0   | 0   |
| 2501. ....  |                        |                         |   |   |
| 2502. ....  |                        |                         |   |   |
| 2503. ....  |                        |                         |   |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....   | 0                      | 0                       | 0   | 0   |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)   | 0                      | 0                       | 0   | 0   |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

|  | 1<br>Current<br>Statement Date | 2<br>December 31,<br>Prior Year |
|--|--------------------------------|---------------------------------|
| 1. Losses (current accident year \$ 59,008,900 )   | 147,786,658                    | 139,665,144                     |
| 2. Reinsurance payable on paid losses and loss adjustment expenses   | 0                              | 0                               |
| 3. Loss adjustment expenses  | 35,499,081                     | 34,782,610                      |
| 4. Commissions payable, contingent commissions and other similar charges   | 12,166,382                     | 11,575,442                      |
| 5. Other expenses (excluding taxes, licenses and fees)   | 10,213,832                     | 11,288,410                      |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes)   | 2,046,130                      | 2,322,331                       |
| 7.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses))   | 1,197,661                      | 0                               |
| 7.2 Net deferred tax liability   | 0                              | 0                               |
| 8. Borrowed money \$ 0 and interest thereon \$ 0   | 0                              | 0                               |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 81,478,911 and including warranty reserves of \$ 0 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act) | 122,062,277                    | 102,050,568                     |
| 10. Advance premium  | 0                              | 0                               |
| 11. Dividends declared and unpaid:   |                                |                                 |
| 11.1 Stockholders  | 0                              | 0                               |
| 11.2 Policyholders   | 0                              | 0                               |
| 12. Ceded reinsurance premiums payable (net of ceding commissions)   | 4,920,116                      | 5,237,291                       |
| 13. Funds held by company under reinsurance treaties   | 0                              | 0                               |
| 14. Amounts withheld or retained by company for account of others  | 0                              | 0                               |
| 15. Remittances and items not allocated  | 0                              | 0                               |
| 16. Provision for reinsurance (including \$ 0 certified)   | 0                              | 0                               |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates  | 0                              | 0                               |
| 18. Drafts outstanding   | 0                              | 0                               |
| 19. Payable to parent, subsidiaries and affiliates   | 0                              | 0                               |
| 20. Derivatives  | 0                              | 0                               |
| 21. Payable for securities   | 0                              | 0                               |
| 22. Payable for securities lending   | 0                              | 0                               |
| 23. Liability for amounts held under uninsured plans   | 0                              | 0                               |
| 24. Capital notes \$ 0 and interest thereon \$ 0   | 0                              | 0                               |
| 25. Aggregate write-ins for liabilities  | 0                              | 0                               |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)  | 335,892,137                    | 306,921,796                     |
| 27. Protected cell liabilities   | 0                              | 0                               |
| 28. Total liabilities (Lines 26 and 27)  | 335,892,137                    | 306,921,796                     |
| 29. Aggregate write-ins for special surplus funds  | 0                              | 0                               |
| 30. Common capital stock   | 2,500,000                      | 2,500,000                       |
| 31. Preferred capital stock  | 0                              | 0                               |
| 32. Aggregate write-ins for other than special surplus funds   | 0                              | 0                               |
| 33. Surplus notes  | 0                              | 0                               |
| 34. Gross paid in and contributed surplus  | 31,870,941                     | 31,870,941                      |
| 35. Unassigned funds (surplus)   | 209,587,594                    | 230,714,012                     |
| 36. Less treasury stock, at cost:  |                                |                                 |
| 36.1 0 shares common (value included in Line 30 \$ 0 )   | 0                              | 0                               |
| 36.2 0 shares preferred (value included in Line 31 \$ 0 )  | 0                              | 0                               |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36)   | 243,958,535                    | 265,084,953                     |
| 38. Totals (Page 2, Line 28, Col. 3)   | 579,850,672                    | 572,006,749                     |
| DETAILS OF WRITE-INS   |                                |                                 |
| 2501.  |                                |                                 |
| 2502.  |                                |                                 |
| 2503.  |                                |                                 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page  | 0                              | 0                               |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)  | 0                              | 0                               |
| 2901.  |                                |                                 |
| 2902.  |                                |                                 |
| 2903.  |                                |                                 |
| 2998. Summary of remaining write-ins for Line 29 from overflow page  | 0                              | 0                               |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)  | 0                              | 0                               |
| 3201.  |                                |                                 |
| 3202.  |                                |                                 |
| 3203.  |                                |                                 |
| 3298. Summary of remaining write-ins for Line 32 from overflow page  | 0                              | 0                               |
| 3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)  | 0                              | 0                               |

STATEMENT OF INCOME

|   | 1<br>Current<br>Year to Date | 2<br>Prior Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME   |                              |                            |                                      |
| 1. Premiums earned:   |                              |                            |                                      |
| 1.1 Direct (written \$ .....121,381,445 ) .....   | 103,786,417                  | 43,786,178                 | 70,272,560                           |
| 1.2 Assumed (written \$ .....179,178,048 ) .....  | 159,120,968                  | 136,978,960                | 186,067,615                          |
| 1.3 Ceded (written \$ .....121,651,697 ) .....  | 104,011,299                  | 43,867,543                 | 70,361,184                           |
| 1.4 Net (written \$ .....178,907,796 ) .....  | 158,896,086                  | 136,897,595                | 185,978,991                          |
| DEDUCTIONS:   |                              |                            |                                      |
| 2. Losses incurred (current accident year \$ .....117,415,777 ):  |                              |                            |                                      |
| 2.1 Direct .....  | 83,549,035                   | 26,654,337                 | 49,191,751                           |
| 2.2 Assumed .....   | 108,938,418                  | 89,959,672                 | 122,396,658                          |
| 2.3 Ceded .....   | 83,694,306                   | 26,699,465                 | 49,232,369                           |
| 2.4 Net .....   | 108,793,147                  | 89,914,544                 | 122,356,040                          |
| 3. Loss adjustment expenses incurred .....  | 15,203,017                   | 12,487,427                 | 17,335,191                           |
| 4. Other underwriting expenses incurred .....   | 57,721,424                   | 49,914,081                 | 67,262,313                           |
| 5. Aggregate write-ins for underwriting deductions .....  | 0                            | 0                          | 0                                    |
| 6. Total underwriting deductions (Lines 2 through 5) .....  | 181,717,588                  | 152,316,052                | 206,953,544                          |
| 7. Net income of protected cells .....  | 0                            | 0                          | 0                                    |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7) .....  | (22,821,502)                 | (15,418,457)               | (20,974,553)                         |
| INVESTMENT INCOME   |                              |                            |                                      |
| 9. Net investment income earned .....   | 11,435,041                   | 11,027,371                 | 14,747,986                           |
| 10. Net realized capital gains (losses) less capital gains tax of \$ .....475,321 .....   | 1,846,306                    | 8,814,288                  | 10,113,633                           |
| 11. Net investment gain (loss) (Lines 9 + 10) .....   | 13,281,347                   | 19,841,659                 | 24,861,619                           |
| OTHER INCOME  |                              |                            |                                      |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ .....313,937 amount charged off \$ .....633,381 ) .....              | (319,444)                    | (219,725)                  | (375,368)                            |
| 13. Finance and service charges not included in premiums .....  | 457,329                      | 304,183                    | 434,070                              |
| 14. Aggregate write-ins for miscellaneous income .....  | 14                           | 6                          | 7                                    |
| 15. Total other income (Lines 12 through 14) .....  | 137,899                      | 84,464                     | 58,709                               |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) .....     | (9,402,256)                  | 4,507,666                  | 3,945,775                            |
| 17. Dividends to policyholders .....  | 2,106                        | 0                          | 0                                    |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) ..... | (9,404,362)                  | 4,507,666                  | 3,945,775                            |
| 19. Federal and foreign income taxes incurred .....   | (1,725,488)                  | (597,366)                  | (701,556)                            |
| 20. Net income (Line 18 minus Line 19)(to Line 22) .....  | (7,678,874)                  | 5,105,032                  | 4,647,331                            |
| CAPITAL AND SURPLUS ACCOUNT   |                              |                            |                                      |
| 21. Surplus as regards policyholders, December 31 prior year .....  | 265,084,953                  | 278,941,243                | 278,941,243                          |
| 22. Net income (from Line 20) .....   | (7,678,874)                  | 5,105,032                  | 4,647,331                            |
| 23. Net transfers (to) from Protected Cell accounts .....   | 0                            | 0                          | 0                                    |
| 24. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....255,252 .....   | 902,040                      | (24,200,702)               | (19,030,457)                         |
| 25. Change in net unrealized foreign exchange capital gain (loss) .....   | 0                            | 0                          | 0                                    |
| 26. Change in net deferred income tax .....   | 799,574                      | 559,074                    | 1,029,379                            |
| 27. Change in nonadmitted assets .....  | (149,158)                    | (231,911)                  | (502,543)                            |
| 28. Change in provision for reinsurance .....   | 0                            | 0                          | 0                                    |
| 29. Change in surplus notes .....   | 0                            | 0                          | 0                                    |
| 30. Surplus (contributed to) withdrawn from protected cells .....   | 0                            | 0                          | 0                                    |
| 31. Cumulative effect of changes in accounting principles .....   | 0                            | 0                          | 0                                    |
| 32. Capital changes:  |                              |                            |                                      |
| 32.1 Paid in .....  | 0                            | 0                          | 0                                    |
| 32.2 Transferred from surplus (Stock Dividend) .....  | 0                            | 0                          | 0                                    |
| 32.3 Transferred to surplus .....   | 0                            | 0                          | 0                                    |
| 33. Surplus adjustments:  |                              |                            |                                      |
| 33.1 Paid in .....  | 0                            | 0                          | 0                                    |
| 33.2 Transferred to capital (Stock Dividend) .....  | 0                            | 0                          | 0                                    |
| 33.3 Transferred from capital .....   | 0                            | 0                          | 0                                    |
| 34. Net remittances from or (to) Home Office .....  | 0                            | 0                          | 0                                    |
| 35. Dividends to stockholders .....   | (15,000,000)                 | 0                          | 0                                    |
| 36. Change in treasury stock .....  | 0                            | 0                          | 0                                    |
| 37. Aggregate write-ins for gains and losses in surplus .....   | 0                            | 0                          | 0                                    |
| 38. Change in surplus as regards policyholders (Lines 22 through 37).....   | (21,126,418)                 | (18,768,507)               | (13,856,290)                         |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)   | 243,958,535                  | 260,172,736                | 265,084,953                          |
| DETAILS OF WRITE-INS  |                              |                            |                                      |
| 0501. ....  |                              |                            |                                      |
| 0502. ....  |                              |                            |                                      |
| 0503. ....  |                              |                            |                                      |
| 0598. Summary of remaining write-ins for Line 5 from overflow page .....  | 0                            | 0                          | 0                                    |
| 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) .....  | 0                            | 0                          | 0                                    |
| 1401. Net other interest income (expense) .....   | 14                           | 6                          | 7                                    |
| 1402. ....  |                              |                            |                                      |
| 1403. ....  |                              |                            |                                      |
| 1498. Summary of remaining write-ins for Line 14 from overflow page .....   | 0                            | 0                          | 0                                    |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....   | 14                           | 6                          | 7                                    |
| 3701. ....  |                              |                            |                                      |
| 3702. ....  |                              |                            |                                      |
| 3703. ....  |                              |                            |                                      |
| 3798. Summary of remaining write-ins for Line 37 from overflow page .....   | 0                            | 0                          | 0                                    |
| 3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above) .....   | 0                            | 0                          | 0                                    |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

CASH FLOW

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| <b>Cash from Operations</b>   |                              |                            |                                      |
| 1. Premiums collected net of reinsurance .....  | 168,022,121                  | 143,529,749                | 195,102,953                          |
| 2. Net investment income .....  | 13,390,728                   | 13,132,436                 | 17,179,858                           |
| 3. Miscellaneous income .....   | 137,898                      | 84,464                     | 58,709                               |
| 4. Total (Lines 1 to 3) .....   | 181,550,747                  | 156,746,649                | 212,341,520                          |
| 5. Benefit and loss related payments .....  | 100,671,633                  | 78,633,502                 | 110,338,761                          |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                                | 0                            | 0                          | 0                                    |
| 7. Commissions, expenses paid and aggregate write-ins for deductions .....  | 72,929,413                   | 64,504,461                 | 82,921,881                           |
| 8. Dividends paid to policyholders .....  | 2,106                        | 0                          | 0                                    |
| 9. Federal and foreign income taxes paid (recovered) net of \$ .....475,321 tax on capital<br>gains (losses) .....          | (2,595,019)                  | 2,700,375                  | 2,273,015                            |
| 10. Total (Lines 5 through 9) .....   | 171,008,133                  | 145,838,338                | 195,533,657                          |
| 11. Net cash from operations (Line 4 minus Line 10) .....   | 10,542,614                   | 10,908,311                 | 16,807,863                           |
| <b>Cash from Investments</b>  |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:  |                              |                            |                                      |
| 12.1 Bonds .....  | 17,584,395                   | 12,888,953                 | 13,111,424                           |
| 12.2 Stocks .....   | 16,824,390                   | 17,500,574                 | 20,984,242                           |
| 12.3 Mortgage loans .....   | 0                            | 0                          | 0                                    |
| 12.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 12.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....                                       | 0                            | 0                          | 0                                    |
| 12.7 Miscellaneous proceeds .....   | 0                            | 0                          | 0                                    |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) .....   | 34,408,785                   | 30,389,527                 | 34,095,666                           |
| 13. Cost of investments acquired (long-term only):  |                              |                            |                                      |
| 13.1 Bonds .....  | 22,485,916                   | 40,332,869                 | 42,747,551                           |
| 13.2 Stocks .....   | 11,111,054                   | 2,846,429                  | 2,846,429                            |
| 13.3 Mortgage loans .....   | 0                            | 0                          | 0                                    |
| 13.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 13.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 13.6 Miscellaneous applications .....   | 0                            | 0                          | 0                                    |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) .....  | 33,596,970                   | 43,179,298                 | 45,593,980                           |
| 14. Net increase (or decrease) in contract loans and premium notes .....  | 0                            | 0                          | 0                                    |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....   | 811,815                      | (12,789,771)               | (11,498,314)                         |
| <b>Cash from Financing and Miscellaneous Sources</b>  |                              |                            |                                      |
| 16. Cash provided (applied):  |                              |                            |                                      |
| 16.1 Surplus notes, capital notes .....   | 0                            | 0                          | 0                                    |
| 16.2 Capital and paid in surplus, less treasury stock .....   | 0                            | 0                          | 0                                    |
| 16.3 Borrowed funds .....   | 0                            | 0                          | 0                                    |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities .....   | 0                            | 0                          | 0                                    |
| 16.5 Dividends to stockholders .....  | 15,000,000                   | 0                          | 0                                    |
| 16.6 Other cash provided (applied) .....  | (2,853,354)                  | 471,402                    | (30,446)                             |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5<br>plus Line 16.6) ..... | (17,853,354)                 | 471,402                    | (30,446)                             |
| <b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>  |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .                       | (6,498,925)                  | (1,410,058)                | 5,279,103                            |
| 19. Cash, cash equivalents and short-term investments:  |                              |                            |                                      |
| 19.1 Beginning of year .....  | 8,629,643                    | 3,350,540                  | 3,350,540                            |
| 19.2 End of period (Line 18 plus Line 19.1)   | 2,130,718                    | 1,940,482                  | 8,629,643                            |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|   |           |   |   |
|---|-----------|---|---|
| 20.0001. Exchange of equity investment to equity investment ..... | 2,566,200 | 0 | 0 |
|---|-----------|---|---|

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices  
The financial statements of Old Guard Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices (SAP) prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The Company has not implemented any prescribed or permitted accounting practices by the State of Ohio that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

|   | SSAP # | F/S<br>Page | F/S<br>Line # | 9/30/2023 |             | 12/31/2022 |             |
|---|--------|-------------|---------------|-----------|-------------|------------|-------------|
| NET INCOME  |        |             |               |           |             |            |             |
| (1) State basis (Page 4, Line 20, Columns 1 & 3)                                  | XXX    | XXX         | XXX           | \$        | (7,678,874) | \$         | 4,647,331   |
| (2) State Prescribed Practices that are an increase/<br>(decrease) from NAIC SAP: |        |             |               |           |             |            |             |
| (3) State Permitted Practices that are an increase/(decrease)<br>from NAIC SAP:   |        |             |               |           |             |            |             |
| (4) NAIC SAP (1-2-3=4)  | XXX    | XXX         | XXX           | \$        | (7,678,874) | \$         | 4,647,331   |
| SURPLUS   |        |             |               |           |             |            |             |
| (5) State basis (Page 3, Line 37, Columns 1 & 2)                                  | XXX    | XXX         | XXX           | \$        | 243,958,535 | \$         | 265,084,953 |
| (6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:     |        |             |               |           |             |            |             |
| (7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:      |        |             |               |           |             |            |             |
| (8) NAIC SAP (5-6-7=8)  | XXX    | XXX         | XXX           | \$        | 243,958,535 | \$         | 265,084,953 |

B. Use of Estimates in the Preparation of the Financial Statements  
No significant changes

C. Accounting Policy  
Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

(1) No significant changes  
(2) Bonds not backed by other loans are stated at amortized cost using the scientific interest method per SSAP No.26.  
(3-5) No significant changes  
(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities. If a security has been written down due to an other-than-temporary impairment, the prospective adjustment method is used subsequent to the loss recognition in accordance with SSAP No.43R.  
(7-13) No significant changes

D. Going Concern  
Management continuously monitors the Company's financial results and compliance with regulatory requirements and finds no reason to expect the Company to not continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors  
Not applicable

NOTE 3 Business Combinations and Goodwill  
Not applicable

NOTE 4 Discontinued Operations  
Not applicable

NOTE 5 Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans  
The Company does not invest in mortgage loans. No mezzanine real estate loans are held.

B. Debt Restructuring  
The Company is not a creditor for any loans that have been restructured.

C. Reverse Mortgages  
Not applicable

D. Loan-Backed Securities  
(1) Prepayment assumptions for single class and multi class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates. The Company used Interactive Data Corp. in determining the market value of its loan-backed securities.

(2-3) No other-than-temporary impairments have been recognized on loan-backed securities.

NOTES TO FINANCIAL STATEMENTS

- (4)

Impaired loan-backed securities for which an other-than-temporary impairment has not been recognized as of September 30, 2023 are summarized below:

a) The aggregate amount of unrealized losses:

1. Less than 12 Months

\$ (57,256)

2. 12 Months or Longer

\$ (1,032,234)

b)The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months

\$ 2,206,266

2. 12 Months or Longer

\$ 5,573,224
- (5)

In concluding that the impairments are not other-than-temporary, the Company has considered the following general categories of information:

a. Length of time and extent to which the fair value has been less than cost

b. Issuer credit quality

c. Industry sector considerations

d. General interest rate environment

e. Probability of collecting future cash flows

E.

Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F.

Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

G.

Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H.

Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I.

Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

J.

Real Estate

Not applicable

K.

Low Income Housing tax Credits (LIHTC)

Not applicable

L.

Restricted Assets

No significant changes

M.

Working Capital Finance Investments

Not applicable

N.

Offsetting and Netting of Assets and Liabilities

Not applicable

O.

5GI Securities

Not applicable

P.

Short Sales

Not applicable

Q.

Prepayment Penalty and Acceleration Fees

Not applicable

R.

Reporting Entity's Share of Cash Pool by Asset Type

Not applicable
- NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

Not applicable
- NOTE 7 Investment Income

Not applicable
- NOTE 8 Derivative Instruments

Not applicable
- NOTE 9 Income Taxes
- A. The components of the net deferred tax asset/(liability) at the end of current period are as follows:

1.
- |  | As of End of Current Period |                |                       | 12/31/2022   |                |                       | Change                   |                         |                       |
|--|-----------------------------|----------------|-----------------------|--------------|----------------|-----------------------|--------------------------|-------------------------|-----------------------|
|  | (1)                         | (2)            | (3)                   | (4)          | (5)            | (6)                   | (7)                      | (8)                     | (9)                   |
|  | Ordinary                    | Capital        | (Col. 1 + 2)<br>Total | Ordinary     | Capital        | (Col. 4 + 5)<br>Total | (Col. 1 - 4)<br>Ordinary | (Col. 2 - 5)<br>Capital | (Col. 7 + 8)<br>Total |
| (a) Gross Deferred Tax Assets  | \$ 8,516,461                | \$ 1,515,598   | \$ 10,032,059         | \$ 7,764,910 | \$ 1,540,176   | \$ 9,305,086          | \$ 751,551               | \$ (24,578)             | \$ 726,973            |
| (b) Statutory Valuation Allowance Adjustment                               | \$ -                        | \$ -           | \$ -                  | \$ -         | \$ -           | \$ -                  | \$ -                     | \$ -                    | \$ -                  |
| (c) Adjusted Gross Deferred Tax Assets (1a - 1b)                           | \$ 8,516,461                | \$ 1,515,598   | \$ 10,032,059         | \$ 7,764,910 | \$ 1,540,176   | \$ 9,305,086          | \$ 751,551               | \$ (24,578)             | \$ 726,973            |
| (d) Deferred Tax Assets Nonadmitted  | \$ -                        | \$ -           | \$ -                  | \$ -         | \$ -           | \$ -                  | \$ -                     | \$ -                    | \$ -                  |
| (e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)                     | \$ 8,516,461                | \$ 1,515,598   | \$ 10,032,059         | \$ 7,764,910 | \$ 1,540,176   | \$ 9,305,086          | \$ 751,551               | \$ (24,578)             | \$ 726,973            |
| (f) Deferred Tax Liabilities   | \$ 286,283                  | \$ 8,971,693   | \$ 9,257,976          | \$ 359,534   | \$ 8,715,791   | \$ 9,075,325          | \$ (73,251)              | \$ 255,902              | \$ 182,651            |
| (g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f) | \$ 8,230,178                | \$ (7,456,095) | \$ 774,083            | \$ 7,405,376 | \$ (7,175,615) | \$ 229,761            | \$ 824,802               | \$ (280,480)            | \$ 544,322            |
2.
- |  | As of End of Current Period |         |                       | 12/31/2022 |         |                       | Change                   |                         |                       |
|--|-----------------------------|---------|-----------------------|------------|---------|-----------------------|--------------------------|-------------------------|-----------------------|
|  | (1)                         | (2)     | (3)                   | (4)        | (5)     | (6)                   | (7)                      | (8)                     | (9)                   |
|  | Ordinary                    | Capital | (Col. 1 + 2)<br>Total | Ordinary   | Capital | (Col. 4 + 5)<br>Total | (Col. 1 - 4)<br>Ordinary | (Col. 2 - 5)<br>Capital | (Col. 7 + 8)<br>Total |
- 6.1

NOTES TO FINANCIAL STATEMENTS

|  |              |              |              |              |              |              |               |             |               |
|--|--------------|--------------|--------------|--------------|--------------|--------------|---------------|-------------|---------------|
| Admission Calculation Components<br>SSAP No. 101<br>(a) Federal Income Taxes Paid In Prior<br>Years Recoverable Through Loss<br>Carrybacks   | \$ -         | \$ 431,159   | \$ 431,159   | \$ 1,137,066 | \$ 392,180   | \$ 1,529,246 | \$(1,137,066) | \$ 38,979   | \$(1,098,087) |
| (b) Adjusted Gross Deferred Tax<br>Assets Expected To Be Realized<br>(Excluding The Amount Of Deferred<br>Tax Assets From 2(a) above) After<br>Application of the Threshold Limitation.<br>(The Lesser of 2(b)1 and 2(b)2 Below) | \$ 5,184,688 | \$ -         | \$ 5,184,688 | \$ 4,588,035 | \$ -         | \$ 4,588,035 | \$ 596,653    | \$ -        | \$ 596,653    |
| 1. Adjusted Gross Deferred Tax<br>Assets Expected to be Realized<br>Following the Balance Sheet Date.  | \$ 5,184,688 | \$ -         | \$ 5,184,688 | \$ 4,588,035 | \$ -         | \$ 4,588,035 | \$ 596,653    | \$ -        | \$ 596,653    |
| 2. Adjusted Gross Deferred Tax<br>Assets Allowed per Limitation<br>Threshold.  | XXX          | XXX          | \$36,593,780 | XXX          | XXX          | \$39,762,743 | XXX           | XXX         | \$(3,168,963) |
| (c) Adjusted Gross Deferred Tax Assets<br>(Excluding The Amount Of Deferred Tax<br>Assets From 2(a) and 2(b) above)<br>Offset by Gross Deferred Tax Liabilities.   | \$ 3,331,773 | \$ 1,084,439 | \$ 4,416,212 | \$ 2,039,809 | \$ 1,147,996 | \$ 3,187,805 | \$ 1,291,964  | \$ (63,557) | \$ 1,228,407  |
| (d) Deferred Tax Assets Admitted as the result<br>of application of SSAP No. 101. Total (2(a) +<br>2(b) + 2(c))  | \$ 8,516,461 | \$ 1,515,598 | \$10,032,059 | \$ 7,764,910 | \$ 1,540,176 | \$ 9,305,086 | \$ 751,551    | \$ (24,578) | \$ 726,973    |

3.

|   |                |                |
|---|----------------|----------------|
|   | 2023           | 2022           |
| a. Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.                                  | 1046.263%      | 1136.867%      |
| b. Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And<br>Threshold Limitation In 2(b)2 Above. | \$ 243,958,535 | \$ 265,084,953 |

4.

|   | As of End of Current Period |              | 12/31/2022   |              | Change                   |                         |
|---|-----------------------------|--------------|--------------|--------------|--------------------------|-------------------------|
|   | (1)                         | (2)          | (3)          | (4)          | (5)                      | (6)                     |
|   | Ordinary                    | Capital      | Ordinary     | Capital      | (Col. 1 - 3)<br>Ordinary | (Col. 2 - 4)<br>Capital |
| Impact of Tax Planning Strategies:  |                             |              |              |              |                          |                         |
| (a) Determination of adjusted gross deferred tax<br>assets and net admitted deferred tax assets, by tax<br>character as a percentage. |                             |              |              |              |                          |                         |
| 1. Adjusted Gross DTAs amount from<br>Note 9A1(c)   | \$ 8,516,461                | \$ 1,515,598 | \$ 7,764,910 | \$ 1,540,176 | \$ 751,551               | \$ (24,578)             |
| 2. Percentage of adjusted gross DTAs by tax<br>character attributable to the impact of tax<br>planning strategies                     | 0.000%                      | 0.000%       | 0.000%       | 0.000%       | 0.000%                   | 0.000%                  |
| 3. Net Admitted Adjusted Gross DTAs amount<br>from Note 9A1(e)  | \$ 8,516,461                | \$ 1,515,598 | \$ 7,764,910 | \$ 1,540,176 | \$ 751,551               | \$ (24,578)             |
| 4. Percentage of net admitted adjusted<br>gross DTAs by tax character admitted<br>because of the impact of tax planning<br>strategies | 0.000%                      | 0.000%       | 0.000%       | 0.000%       | 0.000%                   | 0.000%                  |

b. Do the Company's tax-planning strategies include the use of reinsurance? Yes [ ] No [X]

B. Deferred Tax Liabilities Not Recognized

1. The types of temporary differences for which a DTL has not been recognized and the types of events that would cause those temporary differences to become taxable are: There are no temporary differences for which deferred tax liabilities are not recorded.
2. The cumulative amount of each type of temporary difference is: Not Applicable
3. The amount of the unrecognized DTL for temporary differences related to investments in foreign subsidiaries and foreign corporate joint ventures that are essentially permanent in duration, if determination of that liability is practicable, or a statement that determination is not practicable are: Not Applicable
4. The amount of the DTL for temporary differences other than those in item (3) above that is not recognized is: Not Applicable

C. Current income taxes incurred consist of the following major components:

|   | (1)<br>As of End of<br>Current Period | (2)<br>12/31/2022 | (3)<br>(Col. 1 - 2)<br>Change |
|---|---------------------------------------|-------------------|-------------------------------|
| 1. Current Income Tax                                       |                                       |                   |                               |
| (a) Federal   | \$ (1,253,982)                        | \$ 1,938,948      | \$ (3,192,930)                |
| (b) Foreign   | \$ 3,815                              | \$ 1,134          | \$ 2,681                      |
| (c) Subtotal (1a+1b)  | \$ (1,250,167)                        | \$ 1,940,082      | \$ (3,190,249)                |
| (d) Federal income tax on net capital gains                 | \$ (475,321)                          | \$ (2,677,236)    | \$ 2,201,915                  |
| (e) Utilization of capital loss carry-forwards              | \$ -                                  | \$ -              | \$ -                          |
| (f) Other   | \$ -                                  | \$ 35,598         | \$ (35,598)                   |
| (g) Federal and foreign income taxes incurred (1c+1d+1e+1f) | \$ (1,725,488)                        | \$ (701,556)      | \$ (1,023,932)                |
| 2. Deferred Tax Assets:                                     |                                       |                   |                               |
| (a) Ordinary:   |                                       |                   |                               |
| (1) Discounting of unpaid losses                            | \$ 1,378,191                          | \$ 1,380,081      | \$ (1,890)                    |
| (2) Unearned premium reserve                                | \$ 4,740,860                          | \$ 4,110,492      | \$ 630,368                    |
| (3) Policyholder reserves                                   | \$ -                                  | \$ -              | \$ -                          |
| (4) Investments   | \$ 3,255                              | \$ 3,255          | \$ -                          |
| (5) Deferred acquisition costs                              | \$ -                                  | \$ -              | \$ -                          |
| (6) Policyholder dividends accrual                          | \$ -                                  | \$ -              | \$ -                          |
| (7) Fixed assets  | \$ 85,186                             | \$ 85,186         | \$ -                          |
| (8) Compensation and benefits accrual                       | \$ -                                  | \$ -              | \$ -                          |
| (9) Pension accrual   | \$ 1,146,954                          | \$ 1,144,105      | \$ 2,849                      |
| (10) Receivables - nonadmitted                              | \$ -                                  | \$ -              | \$ -                          |
| (11) Net operating loss carry-forward                       | \$ -                                  | \$ -              | \$ -                          |
| (12) Tax credit carry-forward                               | \$ -                                  | \$ -              | \$ -                          |
| (13) Other  | \$ 1,162,015                          | \$ 1,041,791      | \$ 120,224                    |
| (99) Subtotal (sum of 2a1 through 2a13)                     | \$ 8,516,461                          | \$ 7,764,910      | \$ 751,551                    |
| (b) Statutory valuation allowance adjustment                | \$ -                                  | \$ -              | \$ -                          |



NOTES TO FINANCIAL STATEMENTS

|  |    |            |    |           |    |          |
|--|----|------------|----|-----------|----|----------|
| (c) Nonadmitted  | \$ | -          | \$ | -         | \$ | -        |
| (d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c) | \$ | 8,516,461  | \$ | 7,764,910 | \$ | 751,551  |
| (e) Capital:   |    |            |    |           |    |          |
| (1) Investments  | \$ | 1,204,932  | \$ | 1,275,552 | \$ | (70,620) |
| (2) Net capital loss carry-forward                         | \$ | -          | \$ | -         | \$ | -        |
| (3) Real estate  | \$ | -          | \$ | -         | \$ | -        |
| (4) Other  | \$ | 310,666    | \$ | 264,624   | \$ | 46,042   |
| (99) Subtotal (2e1+2e2+2e3+2e4)                            | \$ | 1,515,598  | \$ | 1,540,176 | \$ | (24,578) |
| (f) Statutory valuation allowance adjustment               | \$ | -          | \$ | -         | \$ | -        |
| (g) Nonadmitted  | \$ | -          | \$ | -         | \$ | -        |
| (h) Admitted capital deferred tax assets (2e99 - 2f - 2g)  | \$ | 1,515,598  | \$ | 1,540,176 | \$ | (24,578) |
| (i) Admitted deferred tax assets (2d + 2h)                 | \$ | 10,032,059 | \$ | 9,305,086 | \$ | 726,973  |
| 3. Deferred Tax Liabilities:                               |    |            |    |           |    |          |
| (a) Ordinary:  |    |            |    |           |    |          |
| (1) Investments  | \$ | 12,335     | \$ | 19,167    | \$ | (6,832)  |
| (2) Fixed assets   | \$ | -          | \$ | -         | \$ | -        |
| (3) Deferred and uncollected premium                       | \$ | 36,431     | \$ | 25,185    | \$ | 11,246   |
| (4) Policyholder reserves                                  | \$ | -          | \$ | -         | \$ | -        |
| (5) Other  | \$ | 237,517    | \$ | 315,182   | \$ | (77,665) |
| (99) Subtotal (3a1+3a2+3a3+3a4+3a5)                        | \$ | 286,283    | \$ | 359,534   | \$ | (73,251) |
| (b) Capital:   |    |            |    |           |    |          |
| (1) Investments  | \$ | 1,150,882  | \$ | 1,150,232 | \$ | 650      |
| (2) Real estate  | \$ | -          | \$ | -         | \$ | -        |
| (3) Other  | \$ | 7,820,811  | \$ | 7,565,559 | \$ | 255,252  |
| (99) Subtotal (3b1+3b2+3b3)                                | \$ | 8,971,693  | \$ | 8,715,791 | \$ | 255,902  |
| (c) Deferred tax liabilities (3a99 + 3b99)                 | \$ | 9,257,976  | \$ | 9,075,325 | \$ | 182,651  |
| 4. Net deferred tax assets/liabilities (2i - 3c)           | \$ | 774,083    | \$ | 229,761   | \$ | 544,322  |

D. Reconciliation of Federal Income Tax Rate to Actual Effective Rate Among the more significant book to tax adjustments were the following:

|   | Amount         | Effective Tax Rate % |
|---|----------------|----------------------|
| Permanent Differences:                    |                |                      |
| Provision computed at statutory rate      | \$ (1,875,099) | 21.00%               |
| Change in Non-Admitted Assets             | \$ -           | 0.00%                |
| Proration of tax exempt investment income | \$ 60,502      | -0.70%               |
| Tax exempt income deduction               | \$ (132,686)   | 1.50%                |
| Dividends received deduction              | \$ (109,320)   | 1.20%                |
| Disallowed travel and entertainment       | \$ 61,607      | -0.70%               |
| Other permanent differences               | \$ (8,704)     | 0.10%                |
| Temporary Differences:                    |                |                      |
| Total ordinary DTA's                      | \$ 751,550     | -8.40%               |
| Total ordinary DTL's                      | \$ 73,253      | -0.80%               |
| Total capital DTA's                       | \$ (70,620)    | 0.80%                |
| Total capital DTL's                       | \$ (650)       | 0.00%                |
| Other:                                    |                |                      |
| Statutory valuation allowance             | \$ -           | 0.00%                |
| Accrual adjustment - prior year           | \$ -           | 0.00%                |
| Other                                     | \$ -           | 0.00%                |
| Totals                                    | \$ (1,250,167) | 14.00%               |
| Federal and foreign income taxes incurred | \$ (1,725,488) | 19.30%               |
| Realized capital gains (losses) tax       | \$ 475,321     | -5.30%               |
| Change in net deferred income taxes       | \$ (753,533)   | 8.40%                |
| Total statutory income taxes              | \$ (2,003,700) | 22.40%               |

E. Operating Loss Carryforwards and Income Taxes Available for Recoupment

1. The amounts, origination dates and expiration dates of operating loss and tax credit carry forwards available for tax purposes:

| Description (Operating Loss or Tax Credit Carry Forward) | Amounts | Origination Dates | Expiration Dates |
|--|---------|-------------------|------------------|
| AMT Credit Carryforward                                  | \$ -    | N/A               | N/A              |
| R&D Credit Carryforward                                  | \$ -    | N/A               | N/A              |
| Foreign Tax Credit Carryforward                          | \$ -    | N/A               | N/A              |

2. The following is income tax expense for the current year and each preceding years that is available for recoupment in the event of future net losses:

| Year       | Amounts      |
|------------|--------------|
| 9/30/2023  | \$ -         |
| 12/31/2022 | \$ 2,677,236 |
| 12/31/2021 | \$ 3,771,389 |

3. The Company's aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Service Code. The Company did not have any protective tax deposits under Section 6603 of the Internal Revenue Service Code.

F. Consolidated Federal Income Tax Return

1. The Company's federal income tax return is consolidated with the following entities:  
Ohio Farmers Insurance Company (parent company)  
Westfield Insurance Company  
Westfield National Insurance Company

NOTES TO FINANCIAL STATEMENTS

American Select Insurance Company  
Westfield Champion Insurance Company  
Westfield Premier Insurance Company  
Westfield Select Insurance Company  
Westfield Specialty Insurance Company  
Westfield Superior Insurance Company  
Westfield Touchstone Insurance Company  
Westfield Management Company  
Westfield Services, Inc.  
Westfield Bancorp, Inc.  
Westfield Credit Corp.  
Westfield Bank, FSB  
Westfield Specialty, Inc.

2. The manner in which the Board of Directors sets forth for allocating the consolidated federal income tax:  
Each company in the consolidation has agreed to share any tax or recovery of tax based on their individual taxable income or loss. Each company's current taxable income or loss will be adjusted by any prior taxable income or loss which can be carried forward to the current year.
- G. Federal or Foreign Federal Income Tax Loss Contingencies:  
None
- H. Repatriation Transition Tax (RTT)  
RTT owed under the TCJA  
1a Has the entity fully remitted the RTT? Yes  
1b If yes, list the amount of the RTT paid \$ -  
If no, list the future installments to satisfy the RTT:

|               |    |   |
|---------------|----|---|
| Installment 1 | \$ | - |
| Installment 2 | \$ | - |
| Installment 3 | \$ | - |
| Installment 4 | \$ | - |
| Installment 5 | \$ | - |
| Installment 6 | \$ | - |
| Installment 7 | \$ | - |
| Installment 8 | \$ | - |
| Total         | \$ | - |
- I. Alternative Minimum Tax (AMT) Credit  
Was the AMT Credit recognized as a current year recoverable or Deferred Tax Asset (DTA)? DTA  

|   | Amount |
|---|--------|
| (1) Gross AMT Credit Recognized as:                     |        |
| a. Current year recoverable                             | \$ -   |
| b. Deferred tax asset (DTA)                             | \$ -   |
| (2) Beginning Balance of AMT Credit Carryforward        | \$ -   |
| (3) Amounts Recovered                                   | \$ -   |
| (4) Adjustments   | \$ -   |
| (5) Ending Balance of AMT Credit Carryforward (5=2-3-4) | \$ -   |
| (6) Reduction for Sequestration                         | \$ -   |
| (7) Nonadmitted by Reporting Entity                     | \$ -   |
| (8) Reporting Entity Ending Balance (8=5-6-7)           | \$ -   |
- NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties
- A. The Company is owned and operated by its parent company, Ohio Farmers Insurance Company.
- B. On August 16, 2023, the Company paid a common stock dividend of \$15,000,000 to its parent, Ohio Farmers Insurance Company.
- C. Transactions with related party who are not reported on Schedule Y  
Not applicable
- D. Affiliated balances due to the Company at 9/30/2023 and 12/31/2022 respectively were:

|                                | 9/30/2023    | 12/31/2022   |
|--------------------------------|--------------|--------------|
| Ohio Farmers Insurance Company | \$ 4,471,602 | \$ 1,618,248 |
| Affiliated Receivable          | \$ 4,471,602 | \$ 1,618,248 |

Every ninety (90) days the affiliated balances are reviewed and settled in either cash or the transfer of securities.
- E. Material Management or Service Contracts and Cost-Sharing Arrangements  
No significant changes
- F. Guarantees or Undertakings  
No significant changes
- G. Nature of the Control Relationship  
The Company is owned and operated by its parent company, Ohio Farmers Insurance Company.
- H. Amount Deducted from the Value of Upstream Intermediate Entity or Ultimate Parent Owned  
Not applicable
- I. Investments in SCA that Exceed 10% of Admitted Assets  
No significant changes
- J. Investments in Impaired SCAs  
Not applicable
- K. Investment in Foreign Insurance Subsidiary  
Not applicable

NOTES TO FINANCIAL STATEMENTS

- L. Investment in Downstream Noninsurance Holding Company  
Not applicable
- M. All SCA Investments  
Not applicable
- N. Investment in Insurance SCAs  
Not applicable
- O. SCA or SSAP 48 Entity Loss Tracking  
Not applicable

NOTE 11 Debt

- A. Debt, Including Capital Notes  
Not applicable
- B. FHLB (Federal Home Loan Bank) Agreements  
Not applicable

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan  
Not applicable
- B. Investment Policies and Strategies  
Not applicable
- C. Fair Value of Each Class of Plan Assets  
Not applicable
- D. Basis Used to Determine Expected Long-Term Rate-of-Return  
Not applicable
- E. Defined Contribution Plan  
No significant changes
- F. Multiemployer Plans  
Not applicable
- G. Consolidated/Holding Company Plans  
Not applicable
- H. Postemployment Benefits and Compensated Absences  
Not applicable
- I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)  
Not applicable

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant changes

NOTE 14 Liabilities, Contingencies and Assessments

- A. Contingent Commitments  
Not applicable
- B. Assessments  
No significant changes
- C. Gain Contingencies  
Not applicable
- D. Claims related extra contractual obligations and bad faith losses stemming from lawsuits  
Not applicable
- E. Product Warranties  
Not applicable
- F. Joint and Several Liabilities  
Not applicable
- G. All Other Contingencies  
Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. There are no contingent liabilities arising from litigation.

There has been no significant change in the collectability of the Company's accounts receivable for Agents Balances or Uncollected Premiums.

NOTE 15 Leases

No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

Not applicable

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales  
The Company has not sold or transferred any receivables to any other parties.

NOTES TO FINANCIAL STATEMENTS

- B. Transfer and Servicing of Financial Assets  
Not applicable
- C. Wash Sales  
Not applicable

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans  
Not applicable

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators  
Not applicable

NOTE 20 Fair Value Measurements  
A. Fair Value Measurements

For assets that are measured and reported at fair value or net asset value (NAV) in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements are as follows:

Level 1 - Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.

Level 2 - Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads and yield curves.

Level 3 - Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

The Company has no liabilities that are measured at fair value in the statement of financial position.

(1) Fair Value Measurements at Reporting Date

| Description for each class of asset or liability                 | (Level 1)     | (Level 2)    | (Level 3) | Net Asset Value (NAV) | Total         |
|--|---------------|--------------|-----------|-----------------------|---------------|
| a. Assets at fair value  |               |              |           |                       |               |
| CS - Industrial and Miscellaneous - Unaffiliated Publicly Traded | \$ 70,532,198 | \$ -         | \$ -      | \$ -                  | \$ 70,532,198 |
| CS - Exchange Traded Funds                                       | \$ 3,365,495  | \$ -         | \$ -      | \$ -                  | \$ 3,365,495  |
| CE - Money Market Mutual Funds                                   | \$ -          | \$ 2,130,718 | \$ -      | \$ -                  | \$ 2,130,718  |
| Total assets at fair value/NAV                                   | \$ 73,897,693 | \$ 2,130,718 | \$ -      | \$ -                  | \$ 76,028,411 |

| Description for each class of asset or liability | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total |
|--|-----------|-----------|-----------|-----------------------|-------|
| b. Liabilities at fair value                     |           |           |           |                       |       |
| Total liabilities at fair value                  | \$ -      | \$ -      | \$ -      | \$ -                  | \$ -  |

(2) At September 30, 2023, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3.

(3) The Company's policy for determining when transfers between levels is required is based upon change in the inputs used to determine fair value measurement. If an input changes, the Company evaluates the new input(s) and makes the determination whether or not a transfer between levels is appropriate. If an asset or liability is transferred between levels, it is the Company's policy to record the transfer as of the beginning of the quarter in which the transfer occurs. The Company held no assets or liabilities categorized as Level 1, 2 or 3 during the reporting period that were transferred into or out of the level categorization held at January 1, 2023.

(4) As of September 30, 2023, the Company held money market mutual funds, which were reported at fair value and were classified as Level 2. As of September 30, 2023, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3. Historically, fair values in the Level 2 category are provided by independent pricing services. Where independent pricing services provide fair values, the Company has obtained an understanding of the methods, models and inputs used in pricing and has controls in place to validate that amounts provided represent current fair values. Estimated fair values of investments categorized as Level 3 generally include inputs for which no readily observable inputs are available and require management judgment.

(5) As of September 30, 2023, the Company had no holdings classified as either a derivative asset or liability.

- B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not required
- C. Fair Value Level

The method(s) and significant assumptions used to estimate the fair value of financial instruments are as follows:

Investment Securities - Fair values for bonds, including the aggregate write-ins for invested assets are based on the values prescribed by an independent pricing service or from brokers. For bonds that are not actively traded, estimated fair values are based on values of bonds of comparable yield and credit quality. The fair values for common stocks are based on quoted market prices, where available, which are provided to the Company by an independent pricing service.

Cash Equivalents - Cash equivalents include money market mutual funds, which are reported at fair value. Cash equivalents are short-term, highly liquid investments that are both readily convertible to known amounts of cash, and so near their maturity that they present insignificant risk of changes in value due to change in interest rates.

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1)     | (Level 2)      | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
|------------------------------|----------------------|-----------------|---------------|----------------|-----------|-----------------------|----------------------------------|
| Bonds                        | \$ 359,648,064       | \$ 416,401,303  | \$ 60,561,566 | \$ 299,075,723 | \$ 10,775 | \$ -                  | \$ -                             |
| Common stocks                | \$ 73,897,693        | \$ 73,897,693   | \$ 73,897,693 | \$ -           | \$ -      | \$ -                  | \$ -                             |
| Cash equivalents             | \$ 2,130,718         | \$ 2,130,718    | \$ -          | \$ 2,130,718   | \$ -      | \$ -                  | \$ -                             |

- D. Not Practicable to Estimate Fair Value  
Not applicable

NOTES TO FINANCIAL STATEMENTS

E. NAV Practical Expedient Investments  
Not applicable

NOTE 21 Other Items

- A. Unusual or Infrequent Items  
Not applicable
- B. Troubled Debt Restructuring: Debtors  
Not applicable
- C. Other Disclosures  
Not applicable
- D. Business Interruption Insurance Recoveries  
No significant changes
- E. State Transferable and Non-transferable Tax Credits  
No significant changes
- F. Subprime Mortgage Related Risk Exposure  
No significant changes
- G. Insurance-Linked Securities (ILS) Contracts  
Not applicable
- H. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy  
Not applicable

NOTE 22 Events Subsequent

Subsequent events have been considered through October 15, 2023 for the statutory statements issued as of September 30, 2023. No events or transactions have occurred that would give rise to a Type I or Type II subsequent event.

NOTE 23 Reinsurance

No significant changes

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

Not applicable

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2022 were \$174.4 million. In calendar year 2023, \$52.0 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$114.8 million. Therefore, there has been a \$7.6 million favorable prior-year development from December 31, 2022 to September 30, 2023. The favorable development is principally from decreases in the estimates of loss and loss adjustment expenses for the following lines of business: auto physical damage and commercial multi-peril. This change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The estimates are not affected by prior year loss development on retrospectively rated policies, as the Company does not write this type of policy.

NOTE 26 Intercompany Pooling Arrangements

A.-F. No significant changes

G. Affiliated balances due to the Company at 9/30/2023 and 12/31/2022 respectively were:

|                                 | 9/30/2023    | 12/31/2022   |
|---------------------------------|--------------|--------------|
| Ohio Farmers Insurance Company* | \$ 4,471,602 | \$ 1,618,248 |
| Affiliated Receivable           | \$ 4,471,602 | \$ 1,618,248 |

\*Ohio Farmers Insurance Company is included in the intercompany pooling arrangement

NOTE 27 Structured Settlements

No significant changes

NOTE 28 Health Care Receivables

Not applicable

NOTE 29 Participating Policies

Not applicable

NOTE 30 Premium Deficiency Reserves

No significant changes

NOTE 31 High Deductibles

Not applicable

NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant changes

NOTE 33 Asbestos/Environmental Reserves

No significant changes

NOTES TO FINANCIAL STATEMENTS

NOTE 34   Subscriber Savings Accounts  
Not applicable

NOTE 35   Multiple Peril Crop Insurance  
Not applicable

NOTE 36   Financial Guaranty Insurance  
Not applicable

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]
- 1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☐ ] No [ ☒ ]
- 2.2

If yes, date of change: .....
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ ☒ ] No [ ☐ ]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☐ ] No [ ☒ ]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes. ....
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☐ ] No [ ☒ ]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

Yes [ ☐ ] No [ ☒ ]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

|                |                   |                   |
|----------------|-------------------|-------------------|
| 1              | 2                 | 3                 |
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....

If yes, attach an explanation. ....

Yes [ ☐ ] No [ ☒ ] N/A [ ☐ ]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2017
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2017
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

05/29/2019
- 6.4

By what department or departments?  
Ohio .....
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☒ ] No [ ☐ ] N/A [ ☐ ]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]
- 7.2

If yes, give full information: .....
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☒ ] No [ ☐ ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.  
Ohio Farmers Insurance Company .....
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☒ ] No [ ☐ ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

|                                      |                              |     |     |      |     |
|--------------------------------------|------------------------------|-----|-----|------|-----|
| 1                                    | 2                            | 3   | 4   | 5    | 6   |
| Affiliate Name                       | Location (City, State)       | FRB | OCC | FDIC | SEC |
| Ohio Farmers Insurance Company ..... | Westfield Center, Ohio ..... | YES | NO  | NO   | NO  |
| Westfield Bancorp, Inc. ....         | Westfield Center, Ohio ..... | YES | NO  | NO   | NO  |
| Westfield Bank, FSB .....            | Westfield Center, Ohio ..... | NO  | YES | NO   | NO  |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

GENERAL INTERROGATORIES

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]

9.11

If the response to 9.1 is No, please explain:  
.....

9.2

Has the code of ethics for senior managers been amended? .....

Yes [ ] No [ X ]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

FINANCIAL

10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ X ] No [ ]

10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....\$.....

4,471,602

INVESTMENT

11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]

11.2

If yes, give full and complete information relating thereto:  
.....

12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$.....0

13.

Amount of real estate and mortgages held in short-term investments: .....

\$.....0

14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]

14.2

If yes, please complete the following:

|   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$.....0  | \$.....0   |
| 14.22 Preferred Stock .....   | \$.....0  | \$.....0   |
| 14.23 Common Stock .....  | \$.....0  | \$.....0   |
| 14.24 Short-Term Investments .....  | \$.....0  | \$.....0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$.....0  | \$.....0   |
| 14.26 All Other .....   | \$.....0  | \$.....0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$.....0  | \$.....0   |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$.....0  | \$.....0   |

15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]

15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ ]  
If no, attach a description with this statement.  
.....

16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0

16.2

Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0

16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0



STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address                     |
|---------------------------|--|
| BNY Mellon .....          | 240 Greenwich St, New York, NY 10286 ..... |

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|--------------------|--------------------|---------------------|-------------|
|                    |                    |                     |             |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual                              | 2<br>Affiliation |
|--|------------------|
| Individuals designated by the Chief Investment Officer ..... | I.....           |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1   | 2                          | 3                             | 4               | 5  |
|---|----------------------------|-------------------------------|-----------------|--|
| Central Registration<br>Depository Number | Name of Firm or Individual | Legal Entity Identifier (LEI) | Registered With | Investment<br>Management<br>Agreement<br>(IMA) Filed |
|   |                            |                               |                 |  |

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:  
.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? .....  
If yes, attach an explanation.  
.....

Yes [ ] No [ X ] N/A [ ]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? .....  
If yes, attach an explanation.  
.....

Yes [ ] No [ X ]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled? .....

Yes [ ] No [ X ]
- 3.2

If yes, give full and complete information thereto.  
.....
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves" ) discounted at a rate of interest greater than zero? .....

Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

|                  |                  |               | TOTAL DISCOUNT |            |      |       | DISCOUNT TAKEN DURING PERIOD |            |      |       |
|------------------|------------------|---------------|----------------|------------|------|-------|------------------------------|------------|------|-------|
| 1                | 2                | 3             | 4              | 5          | 6    | 7     | 8                            | 9          | 10   | 11    |
| Line of Business | Maximum Interest | Discount Rate | Unpaid Losses  | Unpaid LAE | IBNR | TOTAL | Unpaid Losses                | Unpaid LAE | IBNR | TOTAL |
| TOTAL            |                  |               | 0              | 0          | 0    | 0     | 0                            | 0          | 0    | 0     |

5.

Operating Percentages:

5.1 A&H loss percent .....0.000 %

5.2 A&H cost containment percent .....0.000 %

5.3 A&H expense percent excluding cost containment expenses .....0.000 %
- 6.1

Do you act as a custodian for health savings accounts? .....

Yes [ ] No [ X ]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....0
- 6.3

Do you act as an administrator for health savings accounts? .....

Yes [ ] No [ X ]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date .....\$.....0
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....

Yes [ X ] No [ ]
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .....

Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

| Current Year to Date - Allocated by States and Territories           |                              |                              |                            |  |                            |                              |                            |
|--|------------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
| States, etc.   | 1<br>Active<br>Status<br>(a) | Direct Premiums Written      |                            | Direct Losses Paid (Deducting Salvage) |                            | Direct Losses Unpaid         |                            |
|  |                              | 2<br>Current Year<br>To Date | 3<br>Prior Year<br>To Date | 4<br>Current Year<br>To Date           | 5<br>Prior Year<br>To Date | 6<br>Current Year<br>To Date | 7<br>Prior Year<br>To Date |
| 1. Alabama .....   | L                            | 33,906                       | 12,880                     | 4,189                                  | 0                          | 11,777                       | 2,268                      |
| 2. Alaska .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 3. Arizona .....   | L                            | 2,712,948                    | 1,415,029                  | 1,125,740                              | 134,797                    | 819,137                      | 315,991                    |
| 4. Arkansas .....  | L                            | 1,111                        | 2,212                      | 0                                      | 0                          | 170                          | 0                          |
| 5. California .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 6. Colorado .....  | L                            | 6,027,243                    | 3,740,350                  | 1,025,550                              | 217,205                    | 2,158,635                    | 604,788                    |
| 7. Connecticut .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 8. Delaware .....  | L                            | 912,078                      | 490,016                    | 246,377                                | 521,769                    | 1,618,645                    | 1,437,268                  |
| 9. District of Columbia .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 10. Florida .....  | L                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 11. Georgia .....  | L                            | 6,435,139                    | 4,244,828                  | 7,392,135                              | 236,926                    | 4,246,452                    | 842,094                    |
| 12. Hawaii .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 13. Idaho .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 14. Illinois .....   | L                            | 14,505,352                   | 11,461,902                 | 13,603,626                             | 2,771,392                  | 11,613,058                   | 4,071,770                  |
| 15. Indiana .....  | L                            | 7,454,269                    | 4,114,389                  | 1,743,912                              | 492,073                    | 3,383,099                    | 1,001,526                  |
| 16. Iowa .....   | L                            | 2,031,167                    | 1,194,899                  | 1,432,766                              | 297,100                    | 1,104,196                    | 393,185                    |
| 17. Kansas .....   | L                            | 4,046                        | 0                          | 0                                      | 0                          | 932                          | 0                          |
| 18. Kentucky .....   | L                            | 4,183,610                    | 2,509,803                  | 1,503,877                              | 89,098                     | 1,680,559                    | 303,182                    |
| 19. Louisiana .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 20. Maine .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 21. Maryland .....   | L                            | 3,922,931                    | 2,254,263                  | 962,468                                | 448,274                    | 2,846,318                    | 508,865                    |
| 22. Massachusetts .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 23. Michigan .....   | L                            | 5,987,467                    | 3,213,962                  | 2,707,969                              | 503,706                    | 5,500,312                    | 494,531                    |
| 24. Minnesota .....  | L                            | 931                          | 0                          | 0                                      | 0                          | 369                          | 0                          |
| 25. Mississippi .....  | L                            | 144                          | 609                        | 0                                      | 0                          | 115                          | 166                        |
| 26. Missouri .....   | L                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 27. Montana .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 28. Nebraska .....   | L                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 29. Nevada .....   | L                            | 2,630                        | 0                          | 0                                      | 0                          | 533                          | 0                          |
| 30. New Hampshire .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 31. New Jersey .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 32. New Mexico .....   | L                            | 3,158,564                    | 1,968,863                  | 1,466,322                              | 275,150                    | 1,330,773                    | 364,115                    |
| 33. New York .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 34. North Carolina .....   | L                            | 3,761,556                    | 2,330,068                  | 685,899                                | 251,559                    | 1,312,452                    | 437,942                    |
| 35. North Dakota .....   | L                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 36. Ohio .....   | L                            | 28,793,031                   | 20,310,625                 | 12,232,216                             | 4,243,793                  | 12,452,170                   | 5,945,033                  |
| 37. Oklahoma .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 38. Oregon .....   | E                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 39. Pennsylvania .....   | L                            | 10,700,938                   | 8,738,393                  | 2,403,534                              | 1,050,102                  | 5,780,955                    | 2,799,715                  |
| 40. Rhode Island .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 41. South Carolina .....   | L                            | 2,991,443                    | 2,124,101                  | 286,343                                | 222,514                    | 1,388,094                    | 414,968                    |
| 42. South Dakota .....   | L                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 43. Tennessee .....  | L                            | 7,675,435                    | 6,125,445                  | 2,901,779                              | 755,632                    | 2,512,758                    | 1,092,344                  |
| 44. Texas .....  | L                            | (907)                        | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 45. Utah .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 46. Vermont .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 47. Virginia .....   | L                            | 2,181,516                    | 1,324,488                  | 423,629                                | 119,885                    | 842,207                      | 289,798                    |
| 48. Washington .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 49. West Virginia .....  | L                            | 6,400,481                    | 4,559,068                  | 3,589,264                              | 197,786                    | 2,179,437                    | 1,411,151                  |
| 50. Wisconsin .....  | L                            | 1,504,416                    | 797,574                    | 1,638,179                              | 15,919                     | 798,554                      | 387,573                    |
| 51. Wyoming .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 52. American Samoa .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 53. Guam .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 54. Puerto Rico .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 55. U.S. Virgin Islands .....  | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 56. Northern Mariana Islands .....                                   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 57. Canada .....   | N                            | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 58. Aggregate Other Alien OT .....                                   | XXX                          | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 59. Totals   | XXX                          | 121,381,445                  | 82,933,767                 | 57,375,774                             | 12,844,680                 | 63,581,707                   | 23,118,273                 |
| DETAILS OF WRITE-INS   |                              |                              |                            |  |                            |                              |                            |
| 58001. ....  | XXX                          |                              |                            |  |                            |                              |                            |
| 58002. ....  | XXX                          |                              |                            |  |                            |                              |                            |
| 58003. ....  | XXX                          |                              |                            |  |                            |                              |                            |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX                          | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)  | XXX                          | 0                            | 0                          | 0                                      | 0                          | 0                            | 0                          |

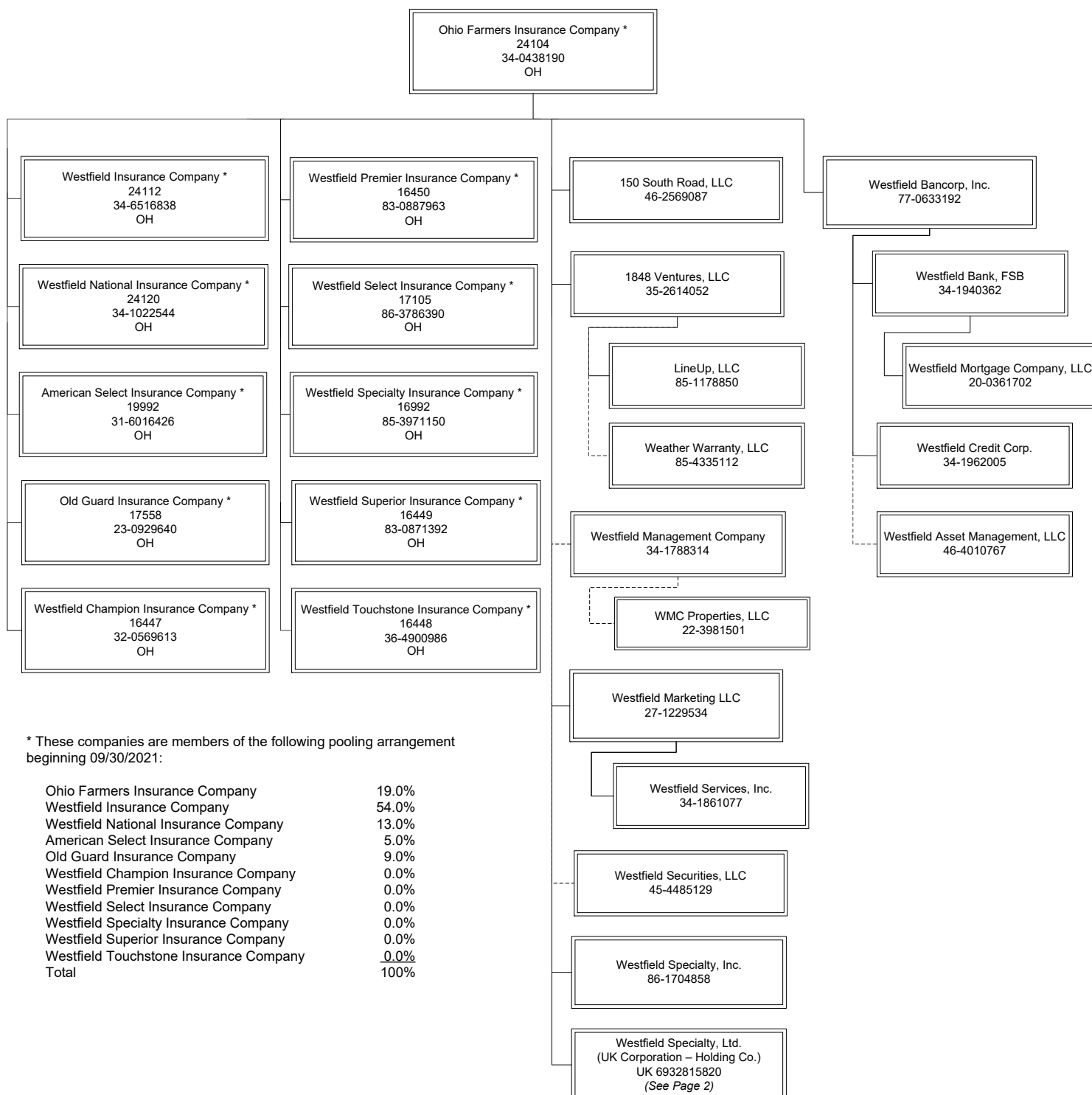
(a) Active Status Counts:

|  |    |   |    |
|--|----|---|----|
| 1. L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....  | 31 | 4. Q - Qualified - Qualified or accredited reinsurer.....   | 0  |
| 2. R - Registered - Non-domiciled RRGs.....  | 0  | 5. D - Domestic Surplus Lines Insurer (DSLl) - Reporting entities authorized to write surplus lines in the state of domicile..... | 0  |
| 3. E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLl)..... | 1  | 6. N - None of the above - Not allowed to write business in the state.....  | 25 |

# STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

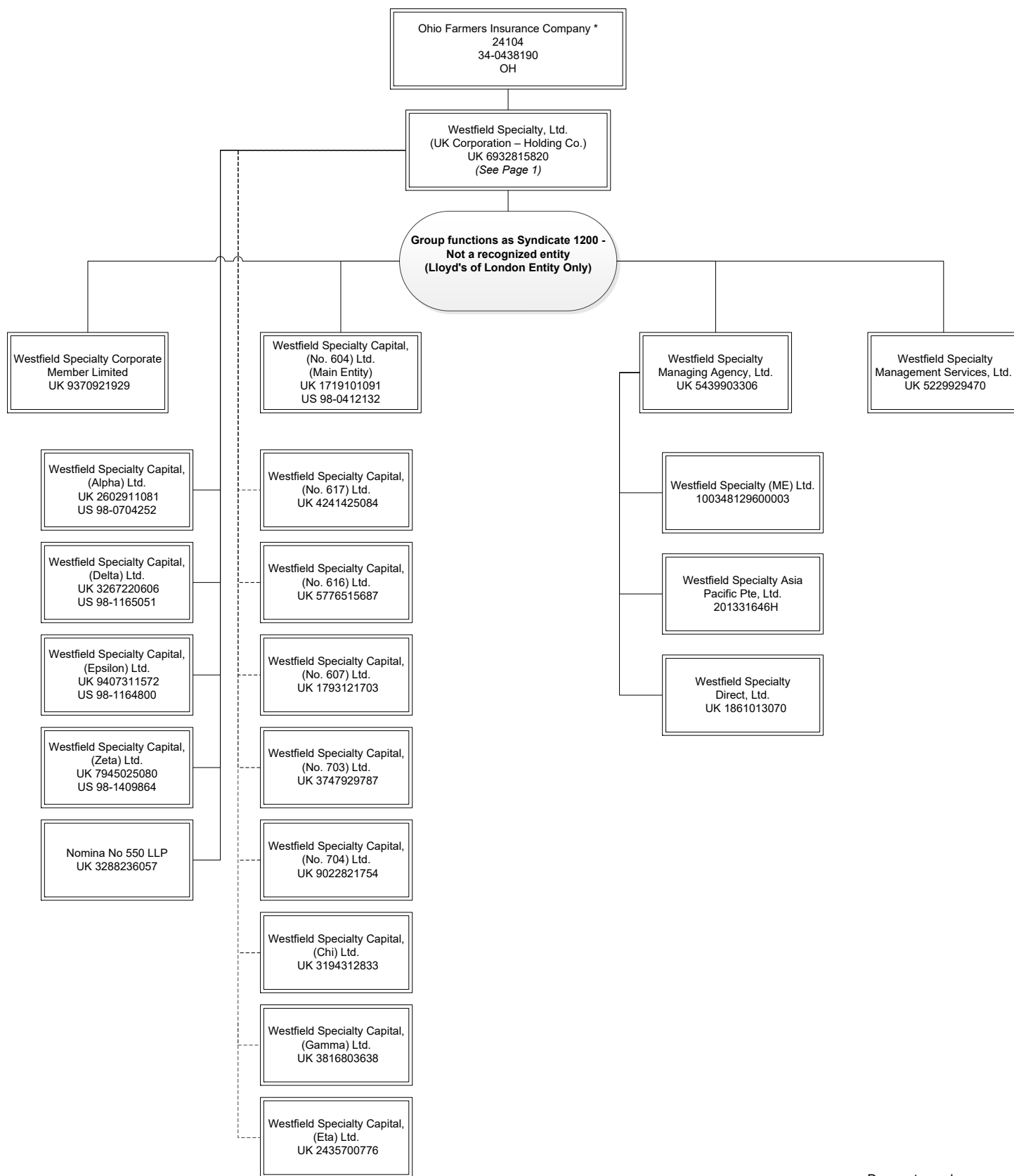
### PART 1 – ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART (cont.)



STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2                 | 3                 | 4          | 5            | 6   | 7  | 8   | 9                     | 10                                | 11   | 12   | 13   | 14   | 15                                   | 16        |
|------------|-------------------|-------------------|------------|--------------|-----|--|---|-----------------------|-----------------------------------|--|--|--|--|--------------------------------------|-----------|
| Group Code | Group Name        | NAIC Company Code | ID Number  | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates   | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Owner-ship Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Yes/No) | *         |
| .0228      | OFIC & Affiliates | 24104             | 34-0438190 | 0            | 0   |  | Ohio Farmers Insurance Company                | ..OH.....             | UDP.....                          | NA   | NA   | 0.000  | NA   | ...NO.....                           | ...1..... |
| .0228      | OFIC & Affiliates | 24112             | 34-6516838 | 0            | 0   |  | Westfield Insurance Company                   | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 24120             | 34-1022544 | 0            | 0   |  | Westfield National Insurance Company          | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 19992             | 31-6016426 | 0            | 0   |  | American Select Insurance Company             | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 17558             | 23-0929640 | 0            | 0   |  | Old Guard Insurance Company                   | ..OH.....             | ..RE.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 16447             | 32-0569613 | 0            | 0   |  | Westfield Champion Insurance Company          | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 16450             | 83-0887963 | 0            | 0   |  | Westfield Premier Insurance Company           | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 17105             | 86-3786390 | 0            | 0   |  | Westfield Select Insurance Company            | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 16992             | 85-3971150 | 0            | 0   |  | Westfield Specialty Insurance Company         | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 16449             | 83-0871392 | 0            | 0   |  | Westfield Superior Insurance Company          | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0228      | OFIC & Affiliates | 16448             | 36-4900986 | 0            | 0   |  | Westfield Touchstone Insurance Company        | ..OH.....             | ..IA.....                         | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 46-2569087 | 0            | 0   |  | 150 South Road, LLC                           | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 35-2614052 | 0            | 0   |  | 1848 Ventures, LLC                            | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 85-1178850 | 0            | 0   |  | LineUp, LLC                                   | ..OH.....             | NIA.....                          | 1848 Ventures, LLC                             | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 85-4335112 | 0            | 0   |  | Weather Warranty, LLC                         | ..OH.....             | NIA.....                          | 1848 Ventures, LLC                             | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 34-1788314 | 0            | 0   |  | Westfield Management Company                  | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 22-3981501 | 0            | 0   |  | WMC Properties, LLC                           | ..OH.....             | NIA.....                          | Westfield Management Company                   | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 27-1229534 | 0            | 0   |  | Westfield Marketing LLC                       | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 34-1861077 | 0            | 0   |  | Westfield Services, Inc.                      | ..OH.....             | NIA.....                          | Westfield Marketing LLC                        | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 45-4485129 | 0            | 0   |  | Westfield Securities, LLC                     | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 86-1704858 | 0            | 0   |  | Westfield Specialty, Inc.                     | ..OH.....             | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 77-0633192 | 0            | 0   |  | Westfield Bancorp, Inc.                       | ..OH.....             | NIA.....                          | Ohio Insurers Insurance Company                | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...YES.....                          | ...0..... |
| .0000      |                   | 00000             | 34-1940362 | 0            | 0   |  | Westfield Bank, FSB                           | ..OH.....             | NIA.....                          | Westfield Bancorp, Inc.                        | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 20-0361702 | 0            | 0   |  | Westfield Mortgage Company, LLC               | ..OH.....             | NIA.....                          | Westfield Bank, FSB                            | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 46-4010767 | 0            | 0   |  | Westfield Asset Management, LLC               | ..OH.....             | NIA.....                          | Westfield Bancorp, Inc.                        | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 34-1962005 | 0            | 0   |  | Westfield Credit Corp.                        | ..OH.....             | NIA.....                          | Westfield Bancorp, Inc.                        | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty, Ltd.                     | ..GBR.....            | NIA.....                          | Ohio Farmers Insurance Company                 | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...YES.....                          | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Corporate Member Limited  |                       |                                   |  |  |  |  |                                      |           |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Management Services, Ltd. | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  |   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Managing Agency, Ltd.     | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty (ME) Ltd.                 | ..ARE.....            | NIA.....                          | Westfield Specialty Managing Agency, Ltd.      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Asia Pacific Pte. Ltd.    | ..SGP.....            | NIA.....                          | Westfield Specialty Managing Agency, Ltd.      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Direct, Ltd.              | ..GBR.....            | NIA.....                          | Westfield Specialty Managing Agency, Ltd.      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 98-0412132 | 0            | 0   |  | Westfield Specialty Capital, (No. 604) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Nomina No 550 LLP                             | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 98-0704252 | 0            | 0   |  | Westfield Specialty Capital, (Alpha) Ltd.     | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 98-1165051 | 0            | 0   |  | Westfield Specialty Capital, (Delta) Ltd.     | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 98-1164800 | 0            | 0   |  | Westfield Specialty Capital, (Epsilon) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             | 98-1409864 | 0            | 0   |  | Westfield Specialty Capital, (Zeta) Ltd.      | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (No. 617) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (No. 616) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (No. 607) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (No. 703) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (No. 704) Ltd.   | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (Chi) Ltd.       | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |
| .0000      |                   | 00000             |            | 0            | 0   |  | Westfield Specialty Capital, (Gamma) Ltd.     | ..GBR.....            | NIA.....                          | Westfield Specialty, Ltd.                      | Ownership.....   | 100.000                                      | Ohio Farmers Insurance Company             | ...NO.....                           | ...0..... |

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2          | 3                 | 4         | 5            | 6       | 7  | 8  | 9                      | 10                                | 11   | 12   | 13   | 14   | 15                                   | 16           |
|------------|------------|-------------------|-----------|--------------|---------|--|--|------------------------|-----------------------------------|--|--|--|--|--------------------------------------|--------------|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK     | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates  | Domi-ciliary Loca-tion | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Owner-ship Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Yes/No) | *            |
| . 0000 ... | .....      | ..... 00000 ..... | .....     | 0 .....      | 0 ..... | .....  | Westfield Specialty Capital, (Eta) Ltd. .... | ..GBR.....             | ..... NIA.....                    | Westfield Specialty, Ltd. ....                 | Ownership.....   | 100.000 ...                                  | Ohio Farmers Insurance Company .....       | .... NO.....                         | .... 0 ..... |

| Asterisk | Explanation   |
|----------|---|
| 1 .....  | No Entity(ies) or Person(s) has control of Ohio Farmers Insurance Company ..... |



PART 1 - LOSS EXPERIENCE

| Line of Business     |   | Current Year to Date           |                                |                                | 4<br>Prior Year to Date<br>Direct Loss<br>Percentage |
|----------------------|---|--------------------------------|--------------------------------|--------------------------------|--|
|                      |   | 1<br>Direct Premiums<br>Earned | 2<br>Direct Losses<br>Incurred | 3<br>Direct Loss<br>Percentage |  |
| 1.                   | Fire .....  | 436,845                        | 13,030                         | 3.0                            | 55.4   |
| 2.1                  | Allied Lines .....  | 890,190                        | 1,020,516                      | 114.6                          | 22.7   |
| 2.2                  | Multiple peril crop .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 2.3                  | Federal flood .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 2.4                  | Private crop .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 2.5                  | Private flood .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 3.                   | Farmowners multiple peril .....                                     | 0                              | (396)                          | 0.0                            | 0.0  |
| 4.                   | Homeowners multiple peril .....                                     | 96,010                         | 11,472                         | 11.9                           | 0.0  |
| 5.1                  | Commercial multiple peril (non-liability portion) .....             | 41,184,481                     | 55,261,409                     | 134.2                          | 53.6   |
| 5.2                  | Commercial multiple peril (liability portion) .....                 | 16,000,326                     | 8,604,991                      | 53.8                           | 84.9   |
| 6.                   | Mortgage guaranty .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 8.                   | Ocean marine .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 9.                   | Inland marine .....   | 4,235,226                      | 1,392,427                      | 32.9                           | 45.7   |
| 10.                  | Financial guaranty .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 11.1                 | Medical professional liability - occurrence .....                   | 0                              | 0                              | 0.0                            | 0.0  |
| 11.2                 | Medical professional liability - claims-made .....                  | 0                              | 0                              | 0.0                            | 0.0  |
| 12.                  | Earthquake .....  | 123,247                        | 0                              | 0.0                            | 0.0  |
| 13.1                 | Comprehensive (hospital and medical) individual .....               | 0                              | 0                              | 0.0                            | 0.0  |
| 13.2                 | Comprehensive (hospital and medical) group .....                    | 0                              | 0                              | 0.0                            | 0.0  |
| 14.                  | Credit accident and health .....                                    | 0                              | 0                              | 0.0                            | 0.0  |
| 15.1                 | Vision only .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 15.2                 | Dental only .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 15.3                 | Disability income .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 15.4                 | Medicare supplement .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 15.5                 | Medicaid Title XIX .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 15.6                 | Medicare Title XVIII .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 15.7                 | Long-term care .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 15.8                 | Federal employees health benefits plan .....                        | 0                              | 0                              | 0.0                            | 0.0  |
| 15.9                 | Other health .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 16.                  | Workers' compensation .....   | 5,914,766                      | 3,244,879                      | 54.9                           | 45.3   |
| 17.1                 | Other liability - occurrence .....                                  | 14,636,134                     | 3,775,142                      | 25.8                           | 31.7   |
| 17.2                 | Other liability - claims-made .....                                 | 200,133                        | 0                              | 0.0                            | (2.7)  |
| 17.3                 | Excess workers' compensation .....                                  | 0                              | 0                              | 0.0                            | 0.0  |
| 18.1                 | Products liability - occurrence .....                               | 169,510                        | 153,651                        | 90.6                           | 31.6   |
| 18.2                 | Products liability - claims-made .....                              | 0                              | 0                              | 0.0                            | 0.0  |
| 19.1                 | Private passenger auto no-fault (personal injury protection) .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 19.2                 | Other private passenger auto liability .....                        | 38,403                         | 34,589                         | 90.1                           | 0.0  |
| 19.3                 | Commercial auto no-fault (personal injury protection) .....         | 185,505                        | 29,090                         | 15.7                           | 37.4   |
| 19.4                 | Other commercial auto liability .....                               | 12,724,043                     | 5,866,849                      | 46.1                           | 97.6   |
| 21.1                 | Private passenger auto physical damage .....                        | 55,959                         | 12,447                         | 22.2                           | 0.0  |
| 21.2                 | Commercial auto physical damage .....                               | 5,250,874                      | 3,507,754                      | 66.8                           | 101.0  |
| 22.                  | Aircraft (all perils) .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 23.                  | Fidelity .....  | 30,885                         | 4,170                          | 13.5                           | 30.7   |
| 24.                  | Surety .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 26.                  | Burglary and theft .....  | 4,709                          | 82                             | 1.7                            | 0.3  |
| 27.                  | Boiler and machinery .....  | 1,609,173                      | 616,933                        | 38.3                           | 24.3   |
| 28.                  | Credit .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 29.                  | International .....   | 0                              | 0                              | 0.0                            | 0.0  |
| 30.                  | Warranty .....  | 0                              | 0                              | 0.0                            | 0.0  |
| 31.                  | Reinsurance - Nonproportional Assumed Property .....                | XXX                            | XXX                            | XXX                            | XXX  |
| 32.                  | Reinsurance - Nonproportional Assumed Liability .....               | XXX                            | XXX                            | XXX                            | XXX  |
| 33.                  | Reinsurance - Nonproportional Assumed Financial Lines .....         | XXX                            | XXX                            | XXX                            | XXX  |
| 34.                  | Aggregate write-ins for other lines of business .....               | 0                              | 0                              | 0.0                            | 0.0  |
| 35.                  | Totals  | 103,786,419                    | 83,549,035                     | 80.5                           | 60.9   |
| DETAILS OF WRITE-INS |   |                                |                                |                                |  |
| 3401.                | .....   |                                |                                |                                |  |
| 3402.                | .....   |                                |                                |                                |  |
| 3403.                | .....   |                                |                                |                                |  |
| 3498.                | Summary of remaining write-ins for Line 34 from overflow page ..... | 0                              | 0                              | 0.0                            | 0.0  |
| 3499.                | Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)           | 0                              | 0                              | 0.0                            | 0.0  |

PART 2 - DIRECT PREMIUMS WRITTEN

| Line of Business     |   | 1<br>Current Quarter | 2<br>Current<br>Year to Date | 3<br>Prior Year<br>Year to Date |
|----------------------|---|----------------------|------------------------------|---------------------------------|
| 1.                   | Fire .....  | 160,180              | 501,457                      | 334,750                         |
| 2.1                  | Allied Lines .....  | 356,605              | 1,062,346                    | 734,478                         |
| 2.2                  | Multiple peril crop .....   | 0                    | 0                            | 0                               |
| 2.3                  | Federal flood .....   | 0                    | 0                            | 0                               |
| 2.4                  | Private crop .....  | 0                    | 0                            | 0                               |
| 2.5                  | Private flood .....   | 0                    | 0                            | 0                               |
| 3.                   | Farmowners multiple peril .....                                     | 0                    | 0                            | 0                               |
| 4.                   | Homeowners multiple peril .....                                     | 890,953              | 894,642                      | 0                               |
| 5.1                  | Commercial multiple peril (non-liability portion) .....             | 17,831,623           | 48,668,881                   | 32,374,173                      |
| 5.2                  | Commercial multiple peril (liability portion) .....                 | 6,334,532            | 17,903,514                   | 13,178,438                      |
| 6.                   | Mortgage guaranty .....   | 0                    | 0                            | 0                               |
| 8.                   | Ocean marine .....  | 0                    | 0                            | 0                               |
| 9.                   | Inland marine .....   | 1,591,821            | 4,833,845                    | 3,382,019                       |
| 10.                  | Financial guaranty .....  | 0                    | 0                            | 0                               |
| 11.1                 | Medical professional liability - occurrence .....                   | 0                    | 0                            | 0                               |
| 11.2                 | Medical professional liability - claims-made .....                  | 0                    | 0                            | 0                               |
| 12.                  | Earthquake .....  | 92,896               | 154,392                      | 101,550                         |
| 13.1                 | Comprehensive (hospital and medical) individual .....               | 0                    | 0                            | 0                               |
| 13.2                 | Comprehensive (hospital and medical) group .....                    | 0                    | 0                            | 0                               |
| 14.                  | Credit accident and health .....                                    | 0                    | 0                            | 0                               |
| 15.1                 | Vision only .....   | 0                    | 0                            | 0                               |
| 15.2                 | Dental only .....   | 0                    | 0                            | 0                               |
| 15.3                 | Disability income .....   | 0                    | 0                            | 0                               |
| 15.4                 | Medicare supplement .....   | 0                    | 0                            | 0                               |
| 15.5                 | Medicaid Title XIX .....  | 0                    | 0                            | 0                               |
| 15.6                 | Medicare Title XVIII .....  | 0                    | 0                            | 0                               |
| 15.7                 | Long-term care .....  | 0                    | 0                            | 0                               |
| 15.8                 | Federal employees health benefits plan .....                        | 0                    | 0                            | 0                               |
| 15.9                 | Other health .....  | 0                    | 0                            | 0                               |
| 16.                  | Workers' compensation .....   | 2,296,139            | 6,032,595                    | 4,851,567                       |
| 17.1                 | Other liability - occurrence .....                                  | 5,439,743            | 17,267,365                   | 12,081,606                      |
| 17.2                 | Other liability - claims-made .....                                 | 74,143               | 241,798                      | 168,623                         |
| 17.3                 | Excess workers' compensation .....                                  | 0                    | 0                            | 0                               |
| 18.1                 | Products liability - occurrence .....                               | 60,089               | 173,427                      | 131,585                         |
| 18.2                 | Products liability - claims-made .....                              | 0                    | 0                            | 0                               |
| 19.1                 | Private passenger auto no-fault (personal injury protection) .....  | 0                    | 0                            | 0                               |
| 19.2                 | Other private passenger auto liability .....                        | 384,548              | 385,236                      | 0                               |
| 19.3                 | Commercial auto no-fault (personal injury protection) .....         | 76,163               | 204,486                      | 105,991                         |
| 19.4                 | Other commercial auto liability .....                               | 4,988,017            | 14,571,422                   | 10,140,945                      |
| 21.1                 | Private passenger auto physical damage .....                        | 540,554              | 541,780                      | 0                               |
| 21.2                 | Commercial auto physical damage .....                               | 2,085,950            | 6,027,430                    | 4,048,587                       |
| 22.                  | Aircraft (all perils) .....   | 0                    | 0                            | 0                               |
| 23.                  | Fidelity .....  | 11,941               | 36,958                       | 22,968                          |
| 24.                  | Surety .....  | 0                    | 0                            | 0                               |
| 26.                  | Burglary and theft .....  | 2,698                | 7,115                        | 5,761                           |
| 27.                  | Boiler and machinery .....  | 701,115              | 1,872,756                    | 1,270,725                       |
| 28.                  | Credit .....  | 0                    | 0                            | 0                               |
| 29.                  | International .....   | 0                    | 0                            | 0                               |
| 30.                  | Warranty .....  | 0                    | 0                            | 0                               |
| 31.                  | Reinsurance - Nonproportional Assumed Property .....                | XXX                  | XXX                          | XXX                             |
| 32.                  | Reinsurance - Nonproportional Assumed Liability .....               | XXX                  | XXX                          | XXX                             |
| 33.                  | Reinsurance - Nonproportional Assumed Financial Lines .....         | XXX                  | XXX                          | XXX                             |
| 34.                  | Aggregate write-ins for other lines of business .....               | 0                    | 0                            | 0                               |
| 35.                  | Totals  | 43,919,710           | 121,381,445                  | 82,933,766                      |
| DETAILS OF WRITE-INS |   |                      |                              |                                 |
| 3401.                | .....   |                      |                              |                                 |
| 3402.                | .....   |                      |                              |                                 |
| 3403.                | .....   |                      |                              |                                 |
| 3498.                | Summary of remaining write-ins for Line 34 from overflow page ..... | 0                    | 0                            | 0                               |
| 3499.                | Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)           | 0                    | 0                            | 0                               |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

|  | 1   | 2   | 3  | 4  | 5  | 6  | 7   | 8  | 9                                    | 10  | 11  | 12   | 13  |                                       |
|--|---|---|--|--|--|--|---|--|--------------------------------------|---|---|--|---|---------------------------------------|
| Years in Which Losses Occurred                     | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1+2) | 2023 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2023 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2023 Loss and LAE Payments (Cols. 4+5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols.7+8+9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12) |                                       |
| 1. 2020 + Prior .....                              | 24,802  | 36,333                                    | 61,135   | 12,139   | 2,935  | 15,074                                       | 14,102  | 1,521  | 29,026                               | 44,648  | 1,439   | (2,852)  | (1,413)   |                                       |
| 2. 2021 .....                                      | 12,678  | 19,513                                    | 32,191   | 6,551  | 1,097  | 7,647  | 7,183   | 764  | 13,165                               | 21,111  | 1,056   | (4,488)  | (3,433)   |                                       |
| 3. Subtotals 2021 + Prior .....                    | 37,480  | 55,846                                    | 93,326   | 18,690   | 4,031  | 22,721                                       | 21,284  | 2,284  | 42,190                               | 65,759  | 2,494   | (7,340)  | (4,846)   |                                       |
| 4. 2022 .....                                      | 22,487  | 58,634                                    | 81,121   | 20,185   | 9,080  | 29,265                                       | 12,496  | 2,637  | 33,916                               | 49,048  | 10,194  | (13,002)   | (2,808)   |                                       |
| 5. Subtotals 2022 + Prior .....                    | 59,967  | 114,480                                   | 174,447  | 38,874   | 13,111   | 51,986                                       | 33,780  | 4,921  | 76,106                               | 114,807                                       | 12,688  | (20,342)   | (7,654)   |                                       |
| 6. 2023 .....                                      | XXX   | XXX                                       | XXX  | XXX  | 63,172   | 63,172                                       | XXX   | 15,315   | 53,163                               | 68,478  | XXX   | XXX  | XXX   |                                       |
| 7. Totals .....                                    | 59,967  | 114,480                                   | 174,447  | 38,874   | 76,284   | 115,158                                      | 33,780  | 20,236   | 129,269                              | 183,286                                       | 12,688  | (20,342)   | (7,654)   |                                       |
| 8. Prior Year-End Surplus As Regards Policyholders | 265,085   |   |  |  |  |  |   |  |                                      |   |   | Col. 11, Line 7 As % of Col. 1 Line 7  | Col. 12, Line 7 As % of Col. 2 Line 7   | Col. 13, Line 7 As % of Col. 3 Line 7 |
|  |   |   |  |  |  |  |   |  |                                      |   |   | 1. 21.2  | 2. (17.8)   | 3. (4.4)                              |
|  |   |   |  |  |  |  |   |  |                                      |   |   | Col. 13, Line 7 As a % of Col. 1 Line 8  |   |                                       |
|  |   |   |  |  |  |  |   |  |                                      |   |   | 4. (2.9)   |   |                                       |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|  | Response |
|--|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....   | NO       |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....   | NO       |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....  | NO       |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....   | YES      |
| AUGUST FILING  |          |
| 5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. .... | N/A      |

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.

Bar Codes:

|  |  |
|--|--|
| 1. Trusteed Surplus Statement [Document Identifier 490]          |   |
| 2. Supplement A to Schedule T [Document Identifier 455]          |  |
| 3. Medicare Part D Coverage Supplement [Document Identifier 365] |  |

**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE A - VERIFICATION

Real Estate

|  | 1            | 2                               |
|--|--------------|---------------------------------|
|  | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                           |              |                                 |
| 2. Cost of acquired:   |              |                                 |
| 2.1 Actual cost at time of acquisition .....   |              |                                 |
| 2.2 Additional investment made after acquisition .....                                     |              |                                 |
| 3. Current year change in encumbrances .....   |              |                                 |
| 4. Total gain (loss) on disposals .....  |              |                                 |
| 5. Deduct amounts received on disposals .....  |              |                                 |
| 6. Total foreign exchange change in book/adjusted carrying value .....                     |              |                                 |
| 7. Deduct current year's other than temporary impairment recognized .....                  |              |                                 |
| 8. Deduct current year's depreciation .....  |              |                                 |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) ..... |              |                                 |
| 10. Deduct total nonadmitted amounts .....   |              |                                 |
| 11. Statement value at end of current period (Line 9 minus Line 10)                        |              |                                 |

SCHEDULE B - VERIFICATION

Mortgage Loans

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....                             |              |                                 |
| 2. Cost of acquired:  |              |                                 |
| 2.1 Actual cost at time of acquisition .....  |              |                                 |
| 2.2 Additional investment made after acquisition .....  |              |                                 |
| 3. Capitalized deferred interest and other .....  |              |                                 |
| 4. Accrual of discount .....  |              |                                 |
| 5. Unrealized valuation increase (decrease) .....   |              |                                 |
| 6. Total gain (loss) on disposals .....   |              |                                 |
| 7. Deduct amounts received on disposals .....   |              |                                 |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees .....                                  |              |                                 |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....                       |              |                                 |
| 10. Deduct current year's other than temporary impairment recognized .....  |              |                                 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |              |                                 |
| 12. Total valuation allowance .....   |              |                                 |
| 13. Subtotal (Line 11 plus Line 12) .....   |              |                                 |
| 14. Deduct total nonadmitted amounts .....  |              |                                 |
| 15. Statement value at end of current period (Line 13 minus Line 14)  |              |                                 |

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

|  | 1            | 2                               |
|--|--------------|---------------------------------|
|  | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                             |              |                                 |
| 2. Cost of acquired:   |              |                                 |
| 2.1 Actual cost at time of acquisition .....   |              |                                 |
| 2.2 Additional investment made after acquisition .....                                       |              |                                 |
| 3. Capitalized deferred interest and other .....   |              |                                 |
| 4. Accrual of discount .....   |              |                                 |
| 5. Unrealized valuation increase (decrease) .....  |              |                                 |
| 6. Total gain (loss) on disposals .....  |              |                                 |
| 7. Deduct amounts received on disposals .....  |              |                                 |
| 8. Deduct amortization of premium and depreciation .....                                     |              |                                 |
| 9. Total foreign exchange change in book/adjusted carrying value .....                       |              |                                 |
| 10. Deduct current year's other than temporary impairment recognized .....                   |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |              |                                 |
| 12. Deduct total nonadmitted amounts .....   |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12)                         |              |                                 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....                      | 489,179,952  | 491,378,027                     |
| 2. Cost of bonds and stocks acquired .....  | 36,163,170   | 45,593,981                      |
| 3. Accrual of discount .....  | 461,302      | 354,637                         |
| 4. Unrealized valuation increase (decrease) .....   | 1,157,291    | (24,077,989)                    |
| 5. Total gain (loss) on disposals .....   | 2,666,969    | 12,790,869                      |
| 6. Deduct consideration for bonds and stocks disposed of .....  | 36,974,985   | 34,095,666                      |
| 7. Deduct amortization of premium .....   | 2,009,361    | 2,763,907                       |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                    | 0            | 0                               |
| 9. Deduct current year's other than temporary impairment recognized .....                                 | 345,342      | 0                               |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees ..... | 0            | 0                               |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....              | 490,298,996  | 489,179,952                     |
| 12. Deduct total nonadmitted amounts .....  | 0            | 0                               |
| 13. Statement value at end of current period (Line 11 minus Line 12)                                      | 490,298,996  | 489,179,952                     |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation                    | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| BONDS                               |   |  |  |  |   |  |   |   |
| 1. NAIC 1 (a) .....                 | 345,436,189   | 22,485,916                                     | 12,220,748                                     | (379,059)  | 343,846,192   | 345,436,189  | 355,322,298   | 349,527,088   |
| 2. NAIC 2 (a) .....                 | 61,132,016  | 0  | 0  | (53,011)   | 63,466,957  | 61,132,016   | 61,079,005  | 63,520,754  |
| 3. NAIC 3 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 4. NAIC 4 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 5. NAIC 5 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 6. NAIC 6 (a) .....                 | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 7. Total Bonds                      | 406,568,205   | 22,485,916                                     | 12,220,748                                     | (432,070)  | 407,313,149   | 406,568,205  | 416,401,303   | 413,047,842   |
| PREFERRED STOCK                     |   |  |  |  |   |  |   |   |
| 8. NAIC 1 .....                     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 9. NAIC 2 .....                     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 10. NAIC 3 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 11. NAIC 4 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 12. NAIC 5 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 13. NAIC 6 .....                    | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 14. Total Preferred Stock .....     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 15. Total Bonds and Preferred Stock | 406,568,205   | 22,485,916                                     | 12,220,748                                     | (432,070)  | 407,313,149   | 406,568,205  | 416,401,303   | 413,047,842   |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....0 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**



SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                          | 8,629,643    | 3,350,540                       |
| 2. Cost of cash equivalents acquired .....  | 17,703,207   | 22,496,457                      |
| 3. Accrual of discount .....  | 0            | 0                               |
| 4. Unrealized valuation increase (decrease) .....   | 0            | 0                               |
| 5. Total gain (loss) on disposals .....   | 0            | 0                               |
| 6. Deduct consideration received on disposals .....                                       | 24,202,132   | 17,217,354                      |
| 7. Deduct amortization of premium .....   | 0            | 0                               |
| 8. Total foreign exchange change in book/adjusted carrying value .....                    | 0            | 0                               |
| 9. Deduct current year's other than temporary impairment recognized .....                 | 0            | 0                               |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) ..... | 2,130,718    | 8,629,643                       |
| 11. Deduct total nonadmitted amounts .....  | 0            | 0                               |
| 12. Statement value at end of current period (Line 10 minus Line 11)                      | 2,130,718    | 8,629,643                       |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1  | 2  | 3       | 4                     | 5                          | 6                               | 7           | 8          | 9   | 10   |
|--|--|---------|-----------------------|----------------------------|---------------------------------|-------------|------------|---|--|
| CUSIP<br>Identification  | Description  | Foreign | Date<br>Acquired      | Name of Vendor             | Number of<br>Shares of<br>Stock | Actual Cost | Par Value  | Paid for Accrued<br>Interest and<br>Dividends | NAIC<br>Designation,<br>NAIC<br>Designation<br>Modifier<br>and<br>SVO<br>Admini-<br>strative<br>Symbol |
| 36179X-5H-5 .....  | GNMA GTD PASS THRU POOL MA8948 5.500% 06/20/53 ..... | .....   | .....07/17/2023 ..... | FHN Financial .....        | .....                           | 1,993,052   | 1,995,547  | 5,793   | 1.A .....  |
| 912828-ZQ-6 .....  | UNITED STATES TREAS NTS 0.625% 05/15/30 .....        | .....   | .....09/06/2023 ..... | Various .....              | .....                           | 17,591,419  | 22,500,000 | 37,152  | 1.A .....  |
| 91282C-DJ-7 .....  | UNITED STATES TREAS NTS 1.375% 11/15/31 .....        | .....   | .....07/18/2023 ..... | StoneX Financial Inc ..... | .....                           | 2,901,445   | 3,500,000  | 8,500   | 1.A .....  |
| 0109999999. Subtotal - Bonds - U.S. Governments  |  |         |                       |                            |                                 | 22,485,916  | 27,995,547 | 51,445  | XXX  |
| 2509999997. Total - Bonds - Part 3   |  |         |                       |                            |                                 | 22,485,916  | 27,995,547 | 51,445  | XXX  |
| 2509999998. Total - Bonds - Part 5   |  |         |                       |                            |                                 | XXX         | XXX        | XXX   | XXX  |
| 2509999999. Total - Bonds  |  |         |                       |                            |                                 | 22,485,916  | 27,995,547 | 51,445  | XXX  |
| 4509999997. Total - Preferred Stocks - Part 3  |  |         |                       |                            |                                 | 0           | XXX        | 0   | XXX  |
| 4509999998. Total - Preferred Stocks - Part 5  |  |         |                       |                            |                                 | XXX         | XXX        | XXX   | XXX  |
| 4509999999. Total - Preferred Stocks   |  |         |                       |                            |                                 | 0           | XXX        | 0   | XXX  |
| 478160-10-4 .....  | JOHNSON & JOHNSON .....                              | .....   | .....09/25/2023 ..... | JP Morgan .....            | 3,500,000                       | 558,304     |            | 0   |  |
| 609207-10-5 .....  | MONDELEZ INTL INC CL A .....                         | .....   | .....09/25/2023 ..... | JP Morgan .....            | 42,500,000                      | 2,980,147   |            | 0   |  |
| 92826C-83-9 .....  | VISA INC COM CLASS A .....                           | .....   | .....09/25/2023 ..... | JP Morgan .....            | 2,000,000                       | 469,836     |            | 0   |  |
| 780259-30-5 .....  | SHELL PLC ADR .....                                  | D.....  | .....09/25/2023 ..... | JP Morgan .....            | 7,000,000                       | 452,322     |            | 0   |  |
| 5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded |  |         |                       |                            |                                 | 4,460,609   | XXX        | 0   | XXX  |
| 5989999997. Total - Common Stocks - Part 3   |  |         |                       |                            |                                 | 4,460,609   | XXX        | 0   | XXX  |
| 5989999998. Total - Common Stocks - Part 5   |  |         |                       |                            |                                 | XXX         | XXX        | XXX   | XXX  |
| 5989999999. Total - Common Stocks  |  |         |                       |                            |                                 | 4,460,609   | XXX        | 0   | XXX  |
| 5999999999. Total - Preferred and Common Stocks  |  |         |                       |                            |                                 | 4,460,609   | XXX        | 0   | XXX  |
| .....  | .....  | .....   | .....                 | .....                      | .....                           | .....       | .....      | .....   | .....  |
| .....  | .....  | .....   | .....                 | .....                      | .....                           | .....       | .....      | .....   | .....  |
| 6009999999 - Totals  |  |         |                       |                            |                                 | 26,946,525  | XXX        | 51,445  | XXX  |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1  | 2  | 3            | 4                | 5                    | 6                               | 7                  | 8         | 9              | 10   | Change In Book/Adjusted Carrying Value             |  |   |   |   | 16  | 17   | 18  | 19                                  | 20  | 21   | 22   |
|--|--|--------------|------------------|----------------------|---------------------------------|--------------------|-----------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|
|  |  |              |                  |                      |                                 |                    |           |                |  | 11   | 12   | 13  | 14  | 15  |   |  |   |                                     |   |  |  |
| CUSIP Identification   | Description  | For-<br>eign | Disposal<br>Date | Name<br>of Purchaser | Number of<br>Shares of<br>Stock | Consid-<br>eration | Par Value | Actual<br>Cost | Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recog-<br>nized | Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(11 + 12 -<br>13) | Total<br>Foreign<br>Exchange<br>Change in<br>Book<br>/Adjusted<br>Carrying<br>Value | Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | Realized<br>Gain<br>(Loss) on<br>Disposal | Total Gain<br>(Loss) on<br>Disposal | Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | Stated<br>Con-<br>tractual<br>Maturity<br>Date | NAIC<br>Desig-<br>nation,<br>NAIC<br>Desig-<br>nation<br>Modifier<br>and<br>SVO<br>Admini-<br>strative<br>Symbol |
| ..36179X-5H-5  | GNMA GTD PASS THRU POOL MA8948 5.500%<br>06/20/53      | .....        | 09/01/2023       | Paydown .....        | .....                           | 9,736              | 9,736     | 9,724          | 0  | 0  | 12   | 0   | 12  | 0   | 9,736   | 0  | 0   | 0                                   | 67  | 06/20/2053                                     | 1.A  |
| ..36202E-3J-6  | GNMA GTD PASS THRU POOL 004401 6.500%<br>03/20/39      | .....        | 09/01/2023       | Paydown .....        | .....                           | 1,919              | 1,919     | 2,028          | 2,002  | 0  | (83)   | 0   | (83)  | 0   | 1,919   | 0  | 0   | 0                                   | 90  | 03/20/2039                                     | 1.A  |
| ..36202E-5K-1  | GNMA GTD PASS THRU POOL 004450 6.500%<br>05/20/39      | .....        | 09/01/2023       | Paydown .....        | .....                           | 415                | 415       | 441            | 438  | 0  | (23)   | 0   | (23)  | 0   | 415   | 0  | 0   | 0                                   | 18  | 05/20/2039                                     | 1.A  |
| ..36202E-PC-7  | GNMA GTD PASS THRU POOL 004019 7.000%<br>08/20/37      | .....        | 09/01/2023       | Paydown .....        | .....                           | 2,653              | 2,653     | 2,756          | 2,728  | 0  | (75)   | 0   | (75)  | 0   | 2,653   | 0  | 0   | 0                                   | 136   | 08/20/2037                                     | 1.A  |
| ..36202E-PP-8  | GNMA GTD PASS THRU POOL 004030 7.000%<br>09/20/37      | .....        | 09/01/2023       | Paydown .....        | .....                           | 428                | 428       | 444            | 440  | 0  | (11)   | 0   | (11)  | 0   | 428   | 0  | 0   | 0                                   | 20  | 09/20/2037                                     | 1.A  |
| ..36202E-SD-2  | GNMA GTD PASS THRU POOL 004116 6.500%<br>04/20/38      | .....        | 09/01/2023       | Paydown .....        | .....                           | 2,632              | 2,632     | 2,745          | 2,728  | 0  | (96)   | 0   | (96)  | 0   | 2,632   | 0  | 0   | 0                                   | 117   | 04/20/2038                                     | 1.A  |
| ..36294S-DJ-3  | GNMA GTD PASS THRU POOL 658105 6.500%<br>09/15/36      | .....        | 09/01/2023       | Paydown .....        | .....                           | 348                | 348       | 357            | 354  | 0  | (5)  | 0   | (5)   | 0   | 348   | 0  | 0   | 0                                   | 15  | 09/15/2036                                     | 1.A  |
| ..36294S-EP-8  | GNMA GTD PASS THRU POOL 658142 7.000%<br>10/15/36      | .....        | 09/01/2023       | Paydown .....        | .....                           | 661                | 661       | 684            | 676  | 0  | (15)   | 0   | (15)  | 0   | 661   | 0  | 0   | 0                                   | 31  | 10/15/2036                                     | 1.A  |
| ..36295H-R9-3  | GNMA GTD PASS THRU POOL 671112 6.500%<br>08/15/37      | .....        | 09/01/2023       | Paydown .....        | .....                           | 52                 | 52        | 54             | 53   | 0  | (1)  | 0   | (1)   | 0   | 52  | 0  | 0   | 0                                   | 2   | 08/15/2037                                     | 1.A  |
| ..36296U-NG-1  | GNMA GTD PASS THRU POOL 701591 6.500%<br>01/15/39      | .....        | 09/01/2023       | Paydown .....        | .....                           | 101                | 101       | 106            | 105  | 0  | (4)  | 0   | (4)   | 0   | 101   | 0  | 0   | 0                                   | 4   | 01/15/2039                                     | 1.A  |
| ..38378G-2Y-3  | GNMA 13 8 B 3.000% 01/20/43                            | .....        | 09/01/2023       | Paydown .....        | .....                           | 5,818              | 5,818     | 5,865          | 5,859  | 0  | (41)   | 0   | (41)  | 0   | 5,818   | 0  | 0   | 0                                   | 121   | 01/20/2043                                     | 1.A  |
| ..38379X-XQ-8  | GNMA 16 101 LN 3.000% 07/20/46                         | .....        | 09/01/2023       | Paydown .....        | .....                           | 22,662             | 22,662    | 23,441         | 23,138   | 0  | (476)  | 0   | (476)   | 0   | 22,662  | 0  | 0   | 0                                   | 463   | 07/20/2046                                     | 1.A  |
| ..912810-EQ-7  | UNITED STATES TREAS BDS 6.250% 08/15/23                | .....        | 08/15/2023       | Maturity .....       | .....                           | 7,350,000          | 7,350,000 | 9,417,172      | 7,468,770  | 0  | (118,770)  | 0   | (118,770)   | 0   | 7,350,000   | 0  | 0   | 0                                   | 459,375   | 08/15/2023                                     | 1.A  |
| 0109999999 Subtotal - Bonds - U.S. Governments                         |  |              |                  |                      |                                 | 7,397,425          | 7,397,425 | 9,465,817      | 7,507,291  | 0  | (119,588)  | 0   | (119,588)   | 0   | 7,397,425   | 0  | 0   | 0                                   | 460,459   | XXX  | XXX  |
| ..748148-PB-3  | QUEBEC PROV CDA DEB 7.500% 07/15/23                    | A.....       | 07/15/2023       | Maturity .....       | .....                           | 3,000,000          | 3,000,000 | 3,869,370      | 3,046,419  | 0  | (46,419)   | 0   | (46,419)  | 0   | 3,000,000   | 0  | 0   | 0                                   | 225,000   | 07/15/2023                                     | 1.D FE   |
| 0309999999 Subtotal - Bonds - All Other Governments                    |  |              |                  |                      |                                 | 3,000,000          | 3,000,000 | 3,869,370      | 3,046,419  | 0  | (46,419)   | 0   | (46,419)  | 0   | 3,000,000   | 0  | 0   | 0                                   | 225,000   | XXX  | XXX  |
| ..677521-HP-4  | OHIO ST TAXABLE BAB SER E GO 4.711%<br>08/01/23        | .....        | 08/01/2023       | Maturity .....       | .....                           | 1,500,000          | 1,500,000 | 1,699,560      | 1,516,009  | 0  | (16,009)   | 0   | (16,009)  | 0   | 1,500,000   | 0  | 0   | 0                                   | 70,665  | 08/01/2023                                     | 1.B FE   |
| 0509999999 Subtotal - Bonds - U.S. States, Territories and Possessions |  |              |                  |                      |                                 | 1,500,000          | 1,500,000 | 1,699,560      | 1,516,009  | 0  | (16,009)   | 0   | (16,009)  | 0   | 1,500,000   | 0  | 0   | 0                                   | 70,665  | XXX  | XXX  |
| ..31287N-CF-3  | FHLMC 30 YR GOLD PC GRP POOL C62770 7.000%<br>01/01/32 | .....        | 09/01/2023       | Paydown .....        | .....                           | 5,502              | 5,502     | 5,807          | 5,739  | 0  | (236)  | 0   | (236)   | 0   | 5,502   | 0  | 0   | 0                                   | 259   | 01/01/2032                                     | 1.A  |
| ..3128M4-AX-1  | FHLMC 30 YR GOLD PC GRP POOL G02422 6.000%<br>12/01/36 | .....        | 09/01/2023       | Paydown .....        | .....                           | 871                | 871       | 928            | 913  | 0  | (42)   | 0   | (42)  | 0   | 871   | 0  | 0   | 0                                   | 34  | 12/01/2036                                     | 1.A  |
| ..3128MJ-2M-1  | FHLMC 30 YR GOLD PC GRP POOL G08779 3.500%<br>09/01/47 | .....        | 09/01/2023       | Paydown .....        | .....                           | 11,888             | 11,888    | 11,818         | 11,820   | 0  | 68   | 0   | 68  | 0   | 11,888  | 0  | 0   | 0                                   | 275   | 09/01/2047                                     | 1.A  |
| ..3128MJ-5Y-2  | FHLMC 30 YR GOLD PC GRP POOL G08862 4.000%<br>02/01/49 | .....        | 09/01/2023       | Paydown .....        | .....                           | 17,227             | 17,227    | 17,641         | 17,620   | 0  | (393)  | 0   | (393)   | 0   | 17,227  | 0  | 0   | 0                                   | 468   | 02/01/2049                                     | 1.A  |
| ..3128MJ-U3-2  | FHLMC 30 YR GOLD PC GRP POOL G08601 4.000%<br>08/01/44 | .....        | 09/01/2023       | Paydown .....        | .....                           | 6,293              | 6,293     | 6,692          | 6,645  | 0  | (352)  | 0   | (352)   | 0   | 6,293   | 0  | 0   | 0                                   | 167   | 08/01/2044                                     | 1.A  |
| ..3128MJ-UV-0  | FHLMC 30 YR GOLD PC GRP POOL G08595 4.000%<br>07/01/44 | .....        | 09/01/2023       | Paydown .....        | .....                           | 18,260             | 18,260    | 19,321         | 19,215   | 0  | (956)  | 0   | (956)   | 0   | 18,260  | 0  | 0   | 0                                   | 483   | 07/01/2044                                     | 1.A  |
| ..3128MJ-V2-3  | FHLMC 30 YR GOLD PC GRP POOL G08632 3.500%<br>03/01/45 | .....        | 09/01/2023       | Paydown .....        | .....                           | 3,126              | 3,126     | 3,282          | 3,265  | 0  | (139)  | 0   | (139)   | 0   | 3,126   | 0  | 0   | 0                                   | 72  | 03/01/2045                                     | 1.A  |
| ..3128MJ-VJ-6  | FHLMC 30 YR GOLD PC GRP POOL G08616 4.000%<br>11/01/44 | .....        | 09/01/2023       | Paydown .....        | .....                           | 4,147              | 4,147     | 4,412          | 4,386  | 0  | (240)  | 0   | (240)   | 0   | 4,147   | 0  | 0   | 0                                   | 110   | 11/01/2044                                     | 1.A  |
| ..3128MJ-WC-0  | FHLMC 30 YR GOLD PC GRP POOL G08642 4.000%<br>05/01/45 | .....        | 09/01/2023       | Paydown .....        | .....                           | 11,799             | 11,799    | 12,512         | 12,435   | 0  | (637)  | 0   | (637)   | 0   | 11,799  | 0  | 0   | 0                                   | 332   | 05/01/2045                                     | 1.A  |
| ..3128MJ-WM-8  | FHLMC 30 YR GOLD PC GRP POOL G08651 4.000%<br>06/01/45 | .....        | 09/01/2023       | Paydown .....        | .....                           | 11,859             | 11,859    | 12,608         | 12,539   | 0  | (680)  | 0   | (680)   | 0   | 11,859  | 0  | 0   | 0                                   | 322   | 06/01/2045                                     | 1.A  |
| ..3128MJ-ZB-9  | FHLMC 30 YR GOLD PC GRP POOL G08737 3.000%<br>11/01/46 | .....        | 09/01/2023       | Paydown .....        | .....                           | 14,090             | 14,090    | 14,204         | 14,192   | 0  | (102)  | 0   | (102)   | 0   | 14,090  | 0  | 0   | 0                                   | 280   | 11/01/2046                                     | 1.A  |

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1  | 2  | 3            | 4                | 5                    | 6                               | 7                  | 8          | 9              | 10   | Change In Book/Adjusted Carrying Value             |  |   |   |   | 16  | 17   | 18  | 19                                  | 20  | 21   | 22   |
|--|--|--------------|------------------|----------------------|---------------------------------|--------------------|------------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|
|  |  |              |                  |                      |                                 |                    |            |                |  | 11   | 12   | 13  | 14  | 15  |   |  |   |                                     |   |  |  |
| CUSIP<br>Ident-<br>ification                         | Description  | For-<br>eign | Disposal<br>Date | Name<br>of Purchaser | Number of<br>Shares of<br>Stock | Consid-<br>eration | Par Value  | Actual<br>Cost | Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recog-<br>nized | Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(11 + 12 -<br>13) | Total<br>Foreign<br>Exchange<br>Change in<br>Book<br>/Adjusted<br>Carrying<br>Value | Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | Realized<br>Gain<br>(Loss) on<br>Disposal | Total Gain<br>(Loss) on<br>Disposal | Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | Stated<br>Con-<br>tractual<br>Maturity<br>Date | NAIC<br>Desig-<br>nation,<br>NAIC<br>Desig-<br>nation<br>Modifier<br>and<br>SVO<br>Admini-<br>strative<br>Symbol |
| ..31292K-4Q-7  | FHLMC 30 YR GOLD PC GRP POOL C03531 4.000% 10/01/40                  |              | 09/01/2023       | Paydown              |                                 | 5.533              | 5.533      | 5.861          | 5.822  | 0  | (288)  | 0   | (288)   | 0   | 5.533   | 0  | 0   | 0                                   | 151   | 10/01/2040                                     | 1.A  |
| ..31292L-HY-4  | FHLMC 30 YR GOLD PC GRP POOL C03847 3.500% 04/01/42                  |              | 09/01/2023       | Paydown              |                                 | 6.664              | 6.664      | 6.832          | 6.804  | 0  | (141)  | 0   | (141)   | 0   | 6.664   | 0  | 0   | 0                                   | 145   | 04/01/2042                                     | 1.A  |
| ..3132HN-DM-8  | FHLMC 30 YR GOLD PC GRP POOL Q11908 3.500% 10/01/42                  |              | 09/01/2023       | Paydown              |                                 | 1.843              | 1.843      | 1.896          | 1.893  | 0  | (50)   | 0   | (50)  | 0   | 1.843   | 0  | 0   | 0                                   | 45  | 10/01/2042                                     | 1.A  |
| ..3132M6-NV-8  | FHLMC 30 YR GOLD PC GRP POOL Q26104 4.000% 05/01/44                  |              | 09/01/2023       | Paydown              |                                 | 1.803              | 1.803      | 1.899          | 1.892  | 0  | (89)   | 0   | (89)  | 0   | 1.803   | 0  | 0   | 0                                   | 48  | 05/01/2044                                     | 1.A  |
| ..3133TL-V5-4  | FHLMC REMIC SER T 18 A3 SEQ 6.609% 08/15/29                          |              | 09/01/2023       | Paydown              |                                 | 297                | 297        | 297            | 297  | 0  | 0  | 0   | 0   | 0   | 297   | 0  | 0   | 0                                   | 14  | 08/15/2029                                     | 1.A  |
| ..31371K-L7-4  | FNMA PASS THRU POOL 254250 7.500% 03/01/32                           |              | 09/01/2023       | Paydown              |                                 | 1,167              | 1,167      | 1,238          | 1,210  | 0  | (42)   | 0   | (42)  | 0   | 1,167   | 0  | 0   | 0                                   | 58  | 03/01/2032                                     | 1.A  |
| ..3138BT-Q6-3  | FNMA PASS THRU POOL 614377 7.000% 02/01/32                           |              | 09/01/2023       | Paydown              |                                 | 391                | 391        | 412            | 407  | 0  | (16)   | 0   | (16)  | 0   | 391   | 0  | 0   | 0                                   | 18  | 02/01/2032                                     | 1.A  |
| ..3138EE-RL-5  | FNMA PASS THRU POOL AK9490 4.000% 04/01/42                           |              | 09/01/2023       | Paydown              |                                 | 2,384              | 2,384      | 2,515          | 2,502  | 0  | (119)  | 0   | (119)   | 0   | 2,384   | 0  | 0   | 0                                   | 67  | 04/01/2042                                     | 1.A  |
| ..3138WG-DN-1  | FNMA PASS THRU POOL AS6408 3.500% 01/01/46                           |              | 09/01/2023       | Paydown              |                                 | 6,573              | 6,573      | 6,736          | 6,727  | 0  | (154)  | 0   | (154)   | 0   | 6,573   | 0  | 0   | 0                                   | 155   | 01/01/2046                                     | 1.A  |
| ..31392M-HL-9  | FHLMC REMIC SER 2465 PG PAC 6.500% 06/15/32                          |              | 09/01/2023       | Paydown              |                                 | 1,680              | 1,680      | 1,722          | 1,704  | 0  | (24)   | 0   | (24)  | 0   | 1,680   | 0  | 0   | 0                                   | 73  | 06/15/2032                                     | 1.A  |
| ..31406D-7A-8  | FNMA PASS THRU POOL 807389 6.500% 08/01/32                           |              | 09/01/2023       | Paydown              |                                 | 437                | 437        | 451            | 448  | 0  | (11)   | 0   | (11)  | 0   | 437   | 0  | 0   | 0                                   | 19  | 08/01/2032                                     | 1.A  |
| ..31409A-X3-8  | FNMA PASS THRU POOL 865698 7.000% 02/01/36                           |              | 09/01/2023       | Paydown              |                                 | 1,132              | 1,132      | 1,165          | 1,152  | 0  | (20)   | 0   | (20)  | 0   | 1,132   | 0  | 0   | 0                                   | 53  | 02/01/2036                                     | 1.A  |
| ..31410G-KU-6  | FNMA PASS THRU POOL 888707 7.500% 10/01/37                           |              | 09/01/2023       | Paydown              |                                 | 2,187              | 2,187      | 2,299          | 2,275  | 0  | (88)   | 0   | (88)  | 0   | 2,187   | 0  | 0   | 0                                   | 109   | 10/01/2037                                     | 1.A  |
| ..31410G-RK-1  | FNMA PASS THRU POOL 888890 6.500% 10/01/37                           |              | 09/01/2023       | Paydown              |                                 | 2,233              | 2,233      | 2,311          | 2,290  | 0  | (57)   | 0   | (57)  | 0   | 2,233   | 0  | 0   | 0                                   | 96  | 10/01/2037                                     | 1.A  |
| ..31410K-CX-0  | FNMA PASS THRU POOL 889386 6.000% 03/01/38                           |              | 09/01/2023       | Paydown              |                                 | 276                | 276        | 299            | 293  | 0  | (17)   | 0   | (17)  | 0   | 276   | 0  | 0   | 0                                   | 12  | 03/01/2038                                     | 1.A  |
| ..31410S-PW-1  | FNMA PASS THRU POOL 896037 7.000% 08/01/36                           |              | 09/01/2023       | Paydown              |                                 | 2,025              | 2,025      | 2,086          | 2,064  | 0  | (39)   | 0   | (39)  | 0   | 2,025   | 0  | 0   | 0                                   | 95  | 08/01/2036                                     | 1.A  |
| ..31410X-B9-6  | FNMA PASS THRU POOL 900164 6.500% 08/01/36                           |              | 09/01/2023       | Paydown              |                                 | 240                | 240        | 245            | 245  | 0  | (5)  | 0   | (5)   | 0   | 240   | 0  | 0   | 0                                   | 10  | 08/01/2036                                     | 1.A  |
| ..31412F-H4-8  | FNMA PASS THRU POOL 923751 7.000% 04/01/37                           |              | 09/01/2023       | Paydown              |                                 | 36                 | 36         | 37             | 37   | 0  | (1)  | 0   | (1)   | 0   | 36  | 0  | 0   | 0                                   | 2   | 04/01/2037                                     | 1.A  |
| ..31412W-A2-4  | FNMA PASS THRU POOL 928925 6.500% 12/01/37                           |              | 09/01/2023       | Paydown              |                                 | 157                | 157        | 163            | 161  | 0  | (4)  | 0   | (4)   | 0   | 157   | 0  | 0   | 0                                   | 7   | 12/01/2037                                     | 1.A  |
| ..31413Q-U3-0  | FNMA PASS THRU POOL 952502 6.500% 09/01/37                           |              | 09/01/2023       | Paydown              |                                 | 1,200              | 1,200      | 1,231          | 1,228  | 0  | (27)   | 0   | (27)  | 0   | 1,200   | 0  | 0   | 0                                   | 52  | 09/01/2037                                     | 1.A  |
| ..491552-UY-9  | KENTUCKY ST TURNPIKE ECON TAXABLE DEV ROAD SER B REV 5.244% 07/01/25 |              | 07/01/2023       | Redemption           | 100.0000                        | 180,000            | 180,000    | 200,255        | 180,000  | 0  | 0  | 0   | 0   | 0   | 180,000   | 0  | 0   | 0                                   | 9,439   | 07/01/2025                                     | 1.D FE ....  |
| 0909999999. Subtotal - Bonds - U.S. Special Revenues |  |              |                  |                      |                                 | 323,320            | 323,320    | 349,175        | 328,220  | 0  | (4,901)  | 0   | (4,901)   | 0   | 323,320   | 0  | 0   | 0                                   | 13,470  | XXX  | XXX  |
| 2509999997. Total - Bonds - Part 4                   |  |              |                  |                      |                                 | 12,220,745         | 12,220,745 | 15,383,922     | 12,397,939   | 0  | (186,917)  | 0   | (186,917)   | 0   | 12,220,745  | 0  | 0   | 0                                   | 769,594   | XXX  | XXX  |
| 2509999998. Total - Bonds - Part 5                   |  |              |                  |                      |                                 | XXX                | XXX        | XXX            | XXX  | XXX  | XXX  | XXX   | XXX   | XXX   | XXX   | XXX  | XXX                                       | XXX                                 | XXX   | XXX  | XXX  |
| 2509999999. Total - Bonds                            |  |              |                  |                      |                                 | 12,220,745         | 12,220,745 | 15,383,922     | 12,397,939   | 0  | (186,917)  | 0   | (186,917)   | 0   | 12,220,745  | 0  | 0   | 0                                   | 769,594   | XXX  | XXX  |
| 4509999997. Total - Preferred Stocks - Part 4        |  |              |                  |                      |                                 | 0                  | XXX        | 0              | 0  | 0  | 0  | 0   | 0   | 0   | 0   | 0  | 0   | 0                                   | 0   | XXX  | XXX  |
| 4509999998. Total - Preferred Stocks - Part 5        |  |              |                  |                      |                                 | XXX                | XXX        | XXX            | XXX  | XXX  | XXX  | XXX   | XXX   | XXX   | XXX   | XXX  | XXX                                       | XXX                                 | XXX   | XXX  | XXX  |

E05.1

STATEMENT AS OF SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1   | 2                       | 3            | 4                | 5                                    | 6                               | 7                  | 8         | 9              | 10   | Change In Book/Adjusted Carrying Value             |  |   |   |   | 16  | 17   | 18  | 19                                  | 20  | 21   | 22   |
|---|-------------------------|--------------|------------------|--------------------------------------|---------------------------------|--------------------|-----------|----------------|--|--|--|---|---|---|---|--|---|-------------------------------------|---|--|--|
|   |                         |              |                  |                                      |                                 |                    |           |                |  | 11   | 12   | 13  | 14  | 15  |   |  |   |                                     |   |  |  |
| CUSIP<br>Ident-<br>ification  | Description             | For-<br>eign | Disposal<br>Date | Name<br>of Purchaser                 | Number of<br>Shares of<br>Stock | Consid-<br>eration | Par Value | Actual<br>Cost | Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current<br>Year's<br>(Amor-<br>tization)/<br>Accretion | Current<br>Year's<br>Other Than<br>Temporary<br>Impairment<br>Recogn-<br>ized | Total<br>Change in<br>Book/<br>Adjusted<br>Carrying<br>Value<br>(11 + 12 -<br>13) | Total<br>Foreign<br>Exchange<br>Change in<br>Book<br>/Adjusted<br>Carrying<br>Value | Book/<br>Adjusted<br>Carrying<br>Value at<br>Disposal<br>Date | Foreign<br>Exchange<br>Gain<br>(Loss) on<br>Disposal | Realized<br>Gain<br>(Loss) on<br>Disposal | Total Gain<br>(Loss) on<br>Disposal | Bond<br>Interest/<br>Stock<br>Dividends<br>Received<br>During<br>Year | Stated<br>Con-<br>tractual<br>Maturity<br>Date | NAIC<br>Desig-<br>nation,<br>NAIC<br>Desig-<br>nation<br>Modifier<br>and<br>SVO<br>Admini-<br>strative<br>Symbol |
| 4509999999. Total - Preferred Stocks  |                         |              |                  |                                      |                                 | 0                  | XXX       | 0              | 0  | 0  | 0  | 0   | 0   | 0   | 0   | 0  | 0   | 0                                   | 0   | XXX  | XXX  |
| ..767204-10-0   | RIO TINTO PLC ADR ..... | C.....       | 08/11/2023       | Strategas Research<br>Partners ..... | 20,000,000                      | 1,217,405          |           | 1,490,762      | 0  | 0  | 0  | 0   | 0   | 0   | 1,490,762   | 0  | (273,357)                                 | (273,357)                           | 80,200  |  |  |
| 5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly<br>Traded |                         |              |                  |                                      |                                 | 1,217,405          | XXX       | 1,490,762      | 0  | 0  | 0  | 0   | 0   | 0   | 1,490,762   | 0  | (273,357)                                 | (273,357)                           | 80,200  | XXX  | XXX  |
| 5989999997. Total - Common Stocks - Part 4  |                         |              |                  |                                      |                                 | 1,217,405          | XXX       | 1,490,762      | 0  | 0  | 0  | 0   | 0   | 0   | 1,490,762   | 0  | (273,357)                                 | (273,357)                           | 80,200  | XXX  | XXX  |
| 5989999998. Total - Common Stocks - Part 5  |                         |              |                  |                                      |                                 | XXX                | XXX       | XXX            | XXX  | XXX  | XXX  | XXX   | XXX   | XXX   | XXX   | XXX  | XXX                                       | XXX                                 | XXX   | XXX  | XXX  |
| 5989999999. Total - Common Stocks   |                         |              |                  |                                      |                                 | 1,217,405          | XXX       | 1,490,762      | 0  | 0  | 0  | 0   | 0   | 0   | 1,490,762   | 0  | (273,357)                                 | (273,357)                           | 80,200  | XXX  | XXX  |
| 5999999999. Total - Preferred and Common Stocks   |                         |              |                  |                                      |                                 | 1,217,405          | XXX       | 1,490,762      | 0  | 0  | 0  | 0   | 0   | 0   | 1,490,762   | 0  | (273,357)                                 | (273,357)                           | 80,200  | XXX  | XXX  |
| 6009999999 - Totals   |                         |              |                  |                                      |                                 | 13,438,150         | XXX       | 16,874,684     | 12,397,939   | 0  | (186,917)  | 0   | (186,917)   | 0   | 13,711,507  | 0  | (273,357)                                 | (273,357)                           | 849,794   | XXX  | XXX  |

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule E - Part 1 - Month End Depository Balances  
**N O N E**

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]





1 7 5 5 8 2 0 2 3 5 0 5 0 0 1 0 3

SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2023 OF THE Old Guard Insurance Company

**DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT**

Year To Date For The Period Ended SEPTEMBER 30, 2023

NAIC Group Code 0228 NAIC Company Code 17558

Company Name Old Guard Insurance Company

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

| 1<br>Direct Written<br>Premium | 2<br>Direct Earned<br>Premium | 3<br>Direct Losses<br>Incurred |
|--------------------------------|-------------------------------|--------------------------------|
| \$ .....0                      | \$ .....0                     | \$ .....0                      |

2. Commercial Multiple Peril (CMP) Packaged Policies

- 2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy?

Yes [ X ] No [ ]
- 2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated?

Yes [ X ] No [ ]
- 2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified:.....

\$ ..... 7,589

2.32 Amount estimated using reasonable assumptions:.....

\$ ..... 0
- 2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies.

\$ ..... 0