



## HEALTH COMPANIES – ASSOCIATION EDITION

**QUARTERLY STATEMENT**  
 AS OF SEPTEMBER 30, 2023  
 OF THE CONDITION AND AFFAIRS OF THE  
**OHIO BANKERS BENEFITS TRUST**

NAIC Group Code.....0000.....0000.....NAIC Company Code.....00000....Employer's ID Number.....31-6172509.....  
 (Current) (Prior)

Organized under the Laws of.....OH.....State of Domicile or Port of Entry.....OH.....  
 Country of Domicile.....US.....

Licensed as business type:.....Life, Accident & Health.....Is HMO Federally Qualified?.....NO.....  
 Incorporated/Organized.....01/01/1997.....Commenced Business.....01/01/1997.....

Statutory Home Office.....4215 WORTH AVENUE, SUITE 300.....COLUMBUS, OH, US 43219.....  
 Main Administrative Office.....4215 WORTH AVENUE, SUITE 300.....COLUMBUS, OH, US 43219.....  
 (Telephone Number)

Mail Address.....4215 WORTH AVENUE, SUITE 300.....COLUMBUS, OH, US 43219.....  
 Primary Location of Books and  
 Records.....4215 WORTH AVENUE, SUITE 300.....COLUMBUS, OH, US 43219.....  
 (Telephone Number)

Internet Website Address.....  
 Statutory Statement Contact.....GAURI AIRI.....614-340-7595.....  
 (Telephone Number)  
 GAIRI@OHIOBANKERSLEAGUE.COM.....614-340-7599.....  
 (E-Mail Address).....(Fax Number)

## OFFICERS

.....Gauri Airi, Executive Director.....

## DIRECTORS OR TRUSTEES

.....DEAN MILLER.....	.....PAUL REED.....
.....TRICIA WALTER.....	.....LEWIS RENOLLET.....
.....JOHN ESSEN.....	.....MARK MASTERS.....

State of .....  
 County of ..... SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DocuSigned by:

x   
 Gauri Airi  
 BECA6750F64748B...

DocuSigned by:

x   
 Chris Zeek  
 39CC6A5AEC984BF...

DocuSigned by:

x   
 Lewis Renollet  
 214A9F56543048B...

Subscribed and sworn to before me  
 11/9/2023  
 this \_\_\_\_\_ day of

a. Is this an original filing? Yes  
 b. If no:  
 1. State the amendment number: \_\_\_\_\_  
 2. Date filed: \_\_\_\_\_  
 3. Number of pages attached: \_\_\_\_\_

DocuSigned by:  
 x   
 Stephen A. Riedel  
 2700DE25A066452...

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	8,090,660		8,090,660	11,764,550
2. Stocks:				
2.1 Preferred stocks.....				
2.2 Common stocks.....	1,538,622		1,538,622	1,968,428
3. Mortgage loans on real estate:				
3.1 First liens.....				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances).....				
4.2 Properties held for the production of income (less \$ encumbrances).....				
4.3 Properties held for sale (less \$ encumbrances).....				
5. Cash (\$ 616,345), cash equivalents (\$ ) and short-term investments (\$ 1,111,815).....	1,728,160		1,728,160	696,399
6. Contract loans (including \$ premium notes).....				
7. Derivatives.....				
8. Other invested assets.....				
9. Receivables for securities.....	100,000		100,000	
10. Securities lending reinvested collateral assets.....				
11. Aggregate write-ins for invested assets.....				
12. Subtotals, cash and invested assets (Lines 1 to 11).....	11,457,442		11,457,442	14,429,377
13. Title plants less \$ charged off (for Title insurers only).....				
14. Investment income due and accrued.....	41,615		41,615	46,520
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	69,604		69,604	33,356
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....				
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ).....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....				
16.2 Funds held by or deposited with reinsured companies.....				
16.3 Other amounts receivable under reinsurance contracts.....				
17. Amounts receivable relating to uninsured plans.....				
18.1 Current federal and foreign income tax recoverable and interest thereon.....				
18.2 Net deferred tax asset.....				
19. Guaranty funds receivable or on deposit.....				
20. Electronic data processing equipment and software.....				
21. Furniture and equipment, including health care delivery assets (\$ ).....				
22. Net adjustment in assets and liabilities due to foreign exchange rates.....				
23. Receivables from parent, subsidiaries and affiliates.....				
24. Health care (\$ 1,067,810) and other amounts receivable.....	1,067,810	590,507	477,303	364,000
25. Aggregate write-ins for other-than-invested assets.....	16,601	16,601	—	—
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	12,653,072	607,108	12,045,964	14,873,253
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....				
28. Total (Lines 26 and 27).....	12,653,072	607,108	12,045,964	14,873,253
<b>Details of Write-Ins</b>				
1101.....				
1102.....				
1103.....				
1198. Summary of remaining write-ins for Line 11 from overflow page.....				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above).....				
2501. Prepaid Assets.....	16,601	16,601	—	—
2502.....				
2503.....				
2598. Summary of remaining write-ins for Line 25 from overflow page.....				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	16,601	16,601	—	—

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded).....	3,084,450		3,084,450	3,076,500
2. Accrued medical incentive pool and bonus amounts.....				
3. Unpaid claims adjustment expenses.....	415,000		415,000	415,000
4. Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public Health Service Act.....	1,121,341		1,121,341	4,485,364
5. Aggregate life policy reserves.....				
6. Property/casualty unearned premium reserve.....				
7. Aggregate health claim reserves.....				
8. Premiums received in advance.....				
9. General expenses due or accrued.....	223,316		223,316	253,063
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses)).....	52,115		52,115	152,004
10.2 Net deferred tax liability.....	66,233		66,233	42,131
11. Ceded reinsurance premiums payable.....				
12. Amounts withheld or retained for the account of others.....	—		—	—
13. Remittances and items not allocated.....				
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current).....				
15. Amounts due to parent, subsidiaries and affiliates.....				
16. Derivatives.....				
17. Payable for securities.....				
18. Payable for securities lending.....				
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers).....				
20. Reinsurance in unauthorized and certified (\$ ) companies.....				
21. Net adjustments in assets and liabilities due to foreign exchange rates.....				
22. Liability for amounts held under uninsured plans.....				
23. Aggregate write-ins for other liabilities (including \$ current).....				
24. Total liabilities (Lines 1 to 23).....	4,962,455		4,962,455	8,424,062
25. Aggregate write-ins for special surplus funds.....	XXX	XXX		
26. Common capital stock.....	XXX	XXX		
27. Preferred capital stock.....	XXX	XXX		
28. Gross paid in and contributed surplus.....	XXX	XXX	1,414,878	1,414,878
29. Surplus notes.....	XXX	XXX		
30. Aggregate write-ins for other-than-special surplus funds.....	XXX	XXX		
31. Unassigned funds (surplus).....	XXX	XXX	5,668,631	5,034,312
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$ ).....	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$ ).....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	7,083,509	6,449,190
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	12,045,964	14,873,252
<b>Details of Write-Ins</b>				
2301.....				
2302.....				
2303.....				
2398. Summary of remaining write-ins for Line 23 from overflow page.....				
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above).....				
2501.....	XXX	XXX		
2502.....	XXX	XXX		
2503.....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above).....	XXX	XXX		
3001.....	XXX	XXX		
3002.....	XXX	XXX		
3003.....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above).....	XXX	XXX		

## STATEMENT OF REVENUE AND EXPENSES

	Current Year to Date		Prior Year To Date	Prior Year Ended December 31
	1	2	3	4
	Uncovered	Total	Total	Total
1. Member Months.....	XXX.....	86,674.....	37,680.....	93,829.....
2. Net premium income (including \$ non-health premium income).....	XXX.....	24,157,714.....	18,216,716.....	25,621,663.....
3. Change in unearned premium reserves and reserve for rate credits.....	XXX.....	.....	.....	.....
4. Fee-for-service (net of \$ medical expenses).....	XXX.....	.....	.....	.....
5. Risk revenue.....	XXX.....	.....	.....	.....
6. Aggregate write-ins for other health care related revenues.....	XXX.....	.....	—	.....
7. Aggregate write-ins for other non-health revenues.....	XXX.....	.....	—	.....
8. Total revenues (Lines 2 to 7).....	XXX.....	24,157,714.....	18,216,716.....	25,621,663.....
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits.....	.....	17,556,159.....	12,490,955.....	20,746,018.....
10. Other professional services.....	.....	2,332,921.....	1,378,119.....	1,802,246.....
11. Outside referrals.....	.....	.....	.....	.....
12. Emergency room and out-of-area.....	.....	1,740,255.....	987,255.....	1,690,221.....
13. Prescription drugs.....	.....	5,232,516.....	4,229,838.....	6,047,603.....
14. Aggregate write-ins for other hospital and medical.....	.....	.....	.....	.....
15. Incentive pool, withhold adjustments and bonus amounts.....	.....	.....	.....	.....
16. Subtotal (Lines 9 to 15).....	.....	26,861,851.....	19,086,167.....	30,286,088.....
<b>Less:</b>				
17. Net reinsurance recoveries.....	.....	1,910,941.....	291,887.....	1,031,504.....
18. Total hospital and medical (Lines 16 minus 17).....	.....	24,950,910.....	18,794,280.....	29,254,584.....
19. Non-health claims (net).....	.....	.....	.....	.....
20. Claims adjustment expenses, including \$1,046,828 cost containment expenses.....	.....	1,622,989.....	1,242,573.....	1,899,936.....
21. General administrative expenses.....	.....	286,565.....	297,708.....	371,036.....
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....	.....	(3,364,023).....	.....	4,485,364.....
23. Total underwriting deductions (Lines 18 through 22).....	.....	23,496,441.....	20,334,561.....	36,010,920.....
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX.....	661,273.....	(2,117,845).....	(10,389,257).....
25. Net investment income earned.....	.....	85,954.....	(468,554).....	158,336.....
26. Net realized capital gains (losses) less capital gains tax of \$3,611.....	.....	14,442.....	114,488.....	54,413.....
27. Net investment gains (losses) (Lines 25 plus 26).....	.....	100,396.....	(354,066).....	212,749.....
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ) (amount charged off \$ )].....	.....	.....	.....	.....
29. Aggregate write-ins for other income or expenses.....	.....	.....	.....	.....
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX.....	761,669.....	(2,471,911).....	(10,176,508).....
31. Federal and foreign income taxes incurred.....	XXX.....	.....	154,367.....	212,137.....
32. Net income (loss) (Lines 30 minus 31).....	XXX.....	761,669.....	(2,626,278).....	(10,388,645).....
<b>Details of Write-Ins</b>				
0601.....	XXX.....	.....	.....	.....
0602.....	XXX.....	.....	.....	.....
0603.....	XXX.....	.....	.....	.....
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX.....	.....	.....	.....
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above).....	XXX.....	.....	—	.....
0701. Prescription rebate revenue.....	XXX.....	.....	—	.....
0702.....	XXX.....	.....	.....	.....
0703.....	XXX.....	.....	.....	.....
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX.....	.....	.....	.....
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above).....	XXX.....	.....	—	.....
1401. Change in IBNR.....	.....	.....	.....	.....
1402.....	.....	.....	.....	.....
1403.....	.....	.....	.....	.....
1498. Summary of remaining write-ins for Line 14 from overflow page.....	.....	.....	.....	.....
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....	.....	.....	.....	.....
2901.....	.....	.....	.....	.....
2902.....	.....	.....	.....	.....
2903.....	.....	.....	.....	.....
2998. Summary of remaining write-ins for Line 29 from overflow page.....	.....	.....	.....	.....
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....	.....	.....	.....	.....

**STATEMENT OF REVENUE AND EXPENSES (CONTINUED)**

CAPITAL & SURPLUS ACCOUNT	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
33. Capital and surplus prior reporting year.....	6,449,190	17,954,928	17,798,646
34. Net income or (loss) from Line 32.....	761,669	(2,626,278)	(10,388,645)
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	120,511		(528,523)
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	(24,102)		(42,131)
39. Change in nonadmitted assets.....	(223,757)		(383,351)
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....	—	—	—
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....			(6,806)
48. Net change in capital and surplus (Lines 34 to 47).....	634,321	(2,626,278)	(11,349,456)
49. Capital and surplus end of reporting period (Line 33 plus 48).....	7,083,511	15,328,650	6,449,190
<b>Details of Write-Ins</b>			
4701. Correction for Accounting Errors.....			(6,806)
4702.....			
4703.....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....			
<b>4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)</b> .....			(6,806)

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	24,121,466	18,143,724	25,466,673
2. Net investment income.....	95,126	(496,022)	170,332
3. Miscellaneous income.....	(339,810)	—	—
4. Total (Lines 1 to 3).....	23,876,782	17,647,702	25,637,005
5. Benefit and loss related payments.....	24,942,960	18,197,430	27,693,754
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	1,939,301	1,154,197	1,870,915
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	103,500	—	73,736
10. Total (Lines 5 through 9).....	26,985,761	19,351,627	29,638,405
11. Net cash from operations (Line 4 minus Line 10).....	(3,108,979)	(1,703,925)	(4,001,400)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	4,872,074	675,193	4,420,677
12.2 Stocks.....	692,484	610,546	746,411
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....	136,321	659,126	86,155
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	—	—	—
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	5,700,879	1,944,865	5,253,243
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	1,338,221	4,031,023	9,377,068
13.2 Stocks.....	124,668	1,022,329	1,203,538
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....	100,000	—	—
13.7 Total investments acquired (Lines 13.1 to 13.6).....	1,562,889	5,053,353	10,580,606
14. Net increase (or decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	4,137,990	(3,108,488)	(5,327,363)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....	—	—	—
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	2,750		(26,157)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	2,750		(26,157)
<b>Reconciliation of Cash, Cash Equivalents and Short-Term Investments</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	1,031,762	(4,812,413)	(9,354,920)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	696,398	10,051,318	10,051,318
19.2 End of period (Line 18 plus Line 19.1).....	1,728,160	5,238,905	696,398

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001.....			
--------------	--	--	--

## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10	11 Disability Income	12 Long-Term Care	13 Other Health	14 Other Non- Health
		2 Individual	3 Group											
<b>Total Members at end of:</b>														
1. Prior Year.....	8,937		5,131			3,806								
2. First Quarter.....	9,618		5,403			4,215								
3. Second Quarter.....	9,670		5,372			4,298								
4. Third Quarter.....	9,561		5,332			4,229								
5. Current Year.....														
6. Current Year Member Months.....	86,674		48,402			38,272								
<b>Total Member Ambulatory Encounters for Period:</b>														
7. Physician.....														
8. Non-Physician.....														
9. Total.....														
10. Hospital Patient Days Incurred.....														
11. Number of Inpatient Admissions.....														
12. Health Premiums Written (a).....	25,697,859		24,663,982			1,033,877								
13. Life Premiums Direct.....														
14. Property/Casualty Premiums Written.....														
15. Health Premiums Earned.....	25,697,859		24,663,982			1,033,877								
16. Property/Casualty Premiums Earned.....														
17. Amount Paid for Provision of Health Care Services.....	26,853,900		25,916,095			937,805								
18. Amount Incurred for Provision of Health Care Services.....	26,861,850		25,931,045			930,805								

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (REPORTED AND UNREPORTED)**

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
0199999 - Individually listed claims unpaid	3,084,450					3,084,450
0499999 - Subtotals	3,084,450					3,084,450
0799999 - Total claims unpaid						3,084,450
0899999 - Accrued medical incentive pool and bonus amounts						

## UNDERWRITING AND INVESTMENT EXHIBIT

## ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) individual						
2. Comprehensive (hospital and medical) group	3,386,074	20,619,080	429,579	2,615,871	3,815,653	3,030,500
3. Medicare Supplement						
4. Dental only	75,821	861,985	3,153	35,847	78,974	46,000
5. Vision only						
6. Federal Employees Health Benefits Plan						
7. Title XVIII – Medicare						
8. Title XIX – Medicaid						
9. Credit A&H						
10. Disability income						
11. Long-term care						
12. Other health						
13. Health subtotal (Lines 1 to 12)	3,461,895	21,481,065	432,732	2,651,718	3,894,627	3,076,500
14. Health care receivables (a)						
15. Other non-health						
16. Medical incentive pools and bonus amounts						
17. Totals (Lines 13-14+15+16)	3,461,895	21,481,065	432,732	2,651,718	3,894,627	3,076,500

(a) Excludes \$ loans or advances to providers not yet expensed.

## Notes to the Financial Statements

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

These financial statement of the Ohio Bankers' Benefit Trust ("OBBT" or the "Arrangement") have been prepared in accordance with the NAIC Annual Statement instructions and Accounting Practices and Procedures Manual, as prescribed by the Ohio Department of Insurance

	SSAP #	F/S Page	F/S Line #	09/30/2023	12/31/2022
Net Income					
(1) State basis (Page 4, Line 32, Columns 2 & 4).....	XXX.....	XXX.....	XXX.....	\$..... 761,669	\$..... (10,388,645)
(2) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(3) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4).....	XXX.....	XXX.....	XXX.....	\$..... 761,669	\$..... (10,388,645)
Surplus					
(5) State basis (Page 3, Line 33, Columns 3 & 4).....	XXX.....	XXX.....	XXX.....	\$..... 7,083,509	\$..... 6,449,190
(6) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(7) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8).....	XXX.....	XXX.....	XXX.....	\$..... 7,083,509	\$..... 6,449,190

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements requires management to make estimates and assumptions that affect amounts reported in the financial statements and accompanying notes. Actual result could differ from those estimates.

#### C. Accounting Policy

##### (1) Basis for Short-Term Investments

Carrying value using straight line amortization, which approximates the scientific (constant yield) method.

##### (2) Basis for Bonds and Amortization Schedule

Carrying value using straight line amortization, which approximates the scientific (constant yield) method.

##### (3) Basis for Common Stocks

Fair Market Value using values provided on monthly bank statements.

##### (4) Preferred stocks - None

##### (5) Mortgage loans - None

##### (6) Loan-backed securities - None

##### (7) Investments in subsidiaries, controlled and affiliated entities - None

##### (8) Investments in joint ventures, partnerships and limited liability companies - None

##### (9) Derivatives - None

##### (10) Management utilizes an actuary to assist with determination of the need for a premium deficiency reserve. The actuary considers a high level overview of the impact of investment income on the overall financials in the calculation.

##### (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses for A&H Contracts

Unpaid claims and claims adjustment expenses represent management's best estimate of the ultimate net cost of all reported and unreported claims, less the estimated amount recoverable from claim overpayments and subrogation. The unpaid claims liability is actuarially estimated based on a review of historical claim payment patterns and claim trends. The estimates are subject to the effects of trends in claim severity and frequency, and a reasonable provision for adverse development has been incorporated in management's best estimate. Although considerable variability is inherent in such estimates, management believes that the amounts reported for unpaid claims and claims adjustment expenses are adequate. The estimates are continually reviewed and adjusted as necessary as experience develops or new information becomes known; such adjustments are included in current operations.

##### (12) Changes in capitalization policy - None

##### (13) Method Used to Estimate Pharmaceutical Rebate Receivables

Management relies on historical pharmaceutical rebates to calculate a reasonable estimate of future receivables.

#### D. Going Concern

The Arrangement has neither the intention nor the need to liquidate or materially curtail the scale of its operations.

### 2. Accounting Changes and Corrections of Errors - None

### 3. Business Combinations and Goodwill - None

### 4. Discontinued Operations - None

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans - None

#### B. Debt Restructuring - None

## Notes to the Financial Statements

### 5. Investments (Continued)

- C. Reverse Mortgages - None
- D. Loan-Backed Securities - None
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- H. Repurchase Agreements Transactions Accounted for as a Sale - None
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - None
- J. Real Estate - None
- K. Low-Income Housing Tax Credits (LIHTC) - None
- L. Restricted Assets - None
- M. Working Capital Finance Investments - None
- N. Offsetting and Netting of Assets and Liabilities - None
- O. 5GI Securities - None
- P. Short Sales - None
- Q. Prepayment Penalty and Acceleration Fees - None
- R. Reporting Entity's Share of Cash Pool by Asset type - None

### 6. Joint Ventures, Partnerships and Limited Liability Companies - None

### 7. Investment Income - None

### 8. Derivative Instruments - None

### 9. Income Taxes

The Arrangement has a tax liability of \$52,115 comprised of \$81,870 federal income tax and \$3,611 capital gains tax less an estimated \$33,366 payment of based on investment income earned.

#### A. Components of the Net Deferred Tax Asset/(Liability)

Net Deferred Tax Liability was calculated by taking the 20% capital gains tax rate and applying it to the unrealized gains on common stocks which totaled \$331,167 as of 9/30/2023.

##### (1) Change between years by tax character

	09/30/2023			12/31/2022			Change				
	(1)		(2)	(4)		(5)	(6)		(7)	(8)	(9)
	Ordinary	Capital	Total (Col 1+2)	Ordinary	Capital	Total (Col 4+5)	Ordinary	Total (Col 1-4)	Capital (Col 2-5)	Total (Col 7+8)	
(a) Gross deferred tax assets	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	
(b) Statutory valuation allowance adjustments											
(c) Adjusted gross deferred tax assets (1a - 1b)											
(d) Deferred tax assets nonadmitted											
(e) Subtotal net admitted deferred tax asset (1c - 1d)	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	
(f) Deferred tax liabilities	66,233		66,233	42,131		42,131	24,102			24,102	
(g) Net admitted deferred tax asset/(net deferred tax liability) (1e - 1f)	\$ (66,233)	\$ (66,233)	\$ (42,131)	\$ (42,131)	\$ (24,102)	\$ (24,102)	\$ (24,102)	\$ (24,102)	\$ (24,102)	\$ (24,102)	

##### (2) Admission calculation components SSAP No. 101 - None

##### (3) Ratio used as basis of admissibility - None

##### (4) Impact of tax-planning strategies - None

#### B. Regarding Deferred Tax Liabilities That Are Not Recognized - None

#### C. Major Components of Current Income Taxes Incurred

	(1)	(2)	(3)
Current income taxes incurred consist of the following major components:	09/30/2023	12/31/2022	Change (1-2)
1. Current Income Tax			
(a) Federal	\$ .....	\$ .....	\$ (212,137)
(b) Foreign			
(c) Subtotal (1a+1b)	\$ .....	\$ .....	\$ (212,137)
(d) Federal income tax on net capital gains		3,611	13,603
(e) Utilization of capital loss carry-forwards			(9,992)
(f) Other			
(g) Federal and foreign income taxes incurred (1c+1d+1e+1f)	\$ 3,611	\$ 225,740	\$ (222,129)

## Notes to the Financial Statements

### 9. Income Taxes (Continued)

	(1) 09/30/2023	(2) 12/31/2022	(3) Change (1-2)
3. Deferred Tax Liabilities			
(a) Ordinary			
(1) Investments .....	\$ 66,233	\$ 42,131	\$ 24,102
(2) Fixed assets .....			
(3) Deferred and uncollected premium .....			
(4) Policyholder reserves .....			
(5) Other .....			
(99) Subtotal (3a1+3a2+3a3+3a4+3a5) .....	\$ 66,233	\$ 42,131	\$ 24,102
(b) Capital			
(1) Investments .....	\$	\$	\$
(2) Real estate .....			
(3) Other .....			
(99) Subtotal (3b1+3b2+3b3) .....	\$	\$	\$
(c) Deferred tax liabilities (3a99 + 3b99) .....	\$ 66,233	\$ 42,131	\$ 24,102
4. Net deferred tax assets/liabilities (2i - 3c) .....	<u>\$ (66,233)</u>	<u>\$ (42,131)</u>	<u>\$ (24,102)</u>

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties - None

### 11. Debt - None

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans - None

### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations - None

### 14. Liabilities, Contingencies and Assessments - None

### 15. Leases - None

### 16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk - None

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities - None

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - None

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - None

### 20. Fair Value Measurements

#### A. Fair Value Measurement

##### (1) Fair value measurements at reporting date

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stock .....	\$ 1,538,622	\$	\$	\$	\$ 1,538,622
Total assets at fair value/NAV .....	<u>\$ 1,538,622</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$ 1,538,622</u>
b. Liabilities at fair value					
Total liabilities at fair value .....	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>

##### (2) Fair value measurements in Level 3 of the fair value hierarchy - None

##### (3) Policy on transfers into and out of Level 3 - None

##### (4) Inputs and techniques used for Level 2 and Level 3 fair values - None

##### (5) Derivatives - None

#### B. Other Fair Value Disclosures - None

#### C. Fair Values for All Financial Instruments by Level 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Short-Term Investments .....	\$ 1,110,550	\$ 1,111,815	\$	\$ 1,110,550	\$	\$	\$
Bonds .....	7,775,265	8,090,660	\$	7,775,265	\$	\$	\$
Common Stock .....	1,538,622	1,538,622	1,538,622	\$	\$	\$	\$

#### D. Not Practicable to Estimate Fair Value - None

#### E. Nature and Risk of Investments Reported at NAV - None

### 21. Other Items - None

### 22. Events Subsequent

Subsequent events have been considered through November 12, 2023 for these statutory financial statements which are to be issued by November 15, 2023.

## Notes to the Financial Statements

### 23. Reinsurance

During 2023, the Arrangement is subject to a stop loss reinsurance agreement with Community Insurance Company for medical and prescription drug coverage. The specific stop loss threshold per covered person is from \$350,000 for 2023. Under the aggregate stop loss contract, the reinsurer pays all medical and prescription drug claims in excess of the minimum aggregate stop loss limit, calculated at \$1,410.20 per employee per month.

#### A. Ceded Reinsurance Report

##### Section 1 – General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?  
 Yes ( )  No (X)

(2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or any other person not primarily engaged in the insurance business?  
 Yes ( )  No (X)

##### Section 2 – Ceded Reinsurance Report – Part A

(1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?  
 Yes ( )  No (X)

(2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?  
 Yes ( )  No (X)

#### B. Uncollectible Reinsurance - None

#### C. Commutation of Reinsurance Reflected in Income and Expenses - None

#### D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation - None

#### E. Reinsurance Credit - None

### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Method Used to Estimate - None

B. Method Used to Record - None

C. Amount and Percent of Net Retrospective Premiums - None

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act - None

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? NO

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year - None

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance - None

(4) Roll-forward of risk corridors asset and liability balances by program benefit year - None

(5) ACA risk corridors receivable as of reporting date - None

### 25. Change in Incurred Claims and Claim Adjustment Expenses - Not Applicable

### 26. Intercompany Pooling Arrangements - None

### 27. Structured Settlements - None

## Notes to the Financial Statements

### 28. Health Care Receivables

#### A. Pharmaceutical Rebate Receivables

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
09/30/2023	\$ 1,067,810	\$ 274,415	\$ 274,415	\$	\$
06/30/2023	1,200,564	311,358	311,358		
03/31/2023	728,200	727,692	276,562	451,129	
12/31/2022	728,000	752,266	260,352	491,914	
09/30/2022	565,980	610,482	231,808	378,674	
06/30/2022	565,980	491,257	206,688	284,569	
03/31/2022	565,980	466,591	204,448		262,143
12/31/2021	565,980	434,773	182,498		252,275
09/30/2021	596,949	375,553	138,270		237,283
06/30/2021	596,949	352,989	139,320		213,669
03/31/2021	596,949	251,661	133,080		118,581
12/31/2020	506,709	18,710	—	—	861,336

#### B. Risk-Sharing Receivables - None

### 29. Participating Policies - None

### 30. Premium Deficiency Reserves

As of December 31, 2022 a third party actuary is predicting a premium deficiency of \$4,485,335 for 2023, of which 25% of this liability has been relieved in each quarter of 2023.

1. Liability carried for premium deficiency reserves: \$
2. Date of the most recent evaluation of this liability:
3. Was anticipated investment income utilized in the calculation?

### 31. Anticipated Salvage and Subrogation - None

**GENERAL INTERROGATORIES**

## PART 1 - COMMON INTERROGATORIES

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?..... NO.....

1.2 If yes, has the report been filed with the domiciliary state?.....

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?..... NO.....

2.2 If yes, date of change:.....

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?..... NO.....  
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?..... NO.....

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group?..... NO.....

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?..... NO.....

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?..... NO.....  
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2021.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2021.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/01/2023.....

6.4 By what department or departments?  
Ohio Department of Insurance.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?..... YES.....

6.6 Have all of the recommendations within the latest financial examination report been complied with?..... YES.....

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?..... NO.....

7.2 If yes, give full information

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... NO.....

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... NO.....

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliates primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?..... YES.....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended?..... NO.....

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers?..... NO.....

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... NO.....  
10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$.....

**GENERAL INTERROGATORIES**  
PART 1 - COMMON INTERROGATORIES

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... NO

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$

13. Amount of real estate and mortgages held in short-term investments: ..... \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... NO

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book / Adjusted Carrying Value	Current Quarter Book / Adjusted Carrying Value
14.21 Bonds.....	\$	\$
14.22 Preferred Stock.....	\$	\$
14.23 Common Stock.....	\$	\$
14.24 Short-Term Investments.....	\$	\$
14.25 Mortgage Loans on Real Estate.....	\$	\$
14.26 All Other.....	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... NO

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$

16.3 Total payable for securities lending reported on the liability page ..... \$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... YES

17.1 For all agreements that comply with the requirements of the *Financial Condition Examiners Handbook*, complete the following:

1	2
Name of Custodian(s)	Custodian Address
HUNTINGTON NATIONAL BANK.....	106 S. MAIN STREET, AKRON, OHIO 44308.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... NO

17.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason
.....	.....	.....	.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [ "...that have access to the investment accounts", "...handle securities" ]

1	2
Name of Firm or Individual	Affiliation
HUNTINGTON NATIONAL BANK - TOBY BLOSSOM.....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... YES

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... YES

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
2305.....	Huntington National Bank.....	2WHM8VNJH63UN140L754.....	OCC.....	NO.....

**GENERAL INTERROGATORIES**

## PART 1 - COMMON INTERROGATORIES

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? ..... YES .....

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... NO .....

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... NO .....

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... NO .....

**GENERAL INTERROGATORIES****PART 2 – HEALTH**

1.	Operating Percentages:	
1.1	A&H loss percent.....	107.600 %
1.2	A&H cost containment percent.....	4.300 %
1.3	A&H expense percent excluding cost containment expenses.....	3.600 %
2.1	Do you act as a custodian for health savings accounts?.....	NO.....
2.2	If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....
2.3	Do you act as an administrator for health savings accounts?.....	NO.....
2.4	If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....
3.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	NO.....
3.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	NO.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>Accident &amp; Health - Non-Affiliates</b>									
10345	31-1440175	01/01/2022	Community Insurance Company DBA Anthem	OH	SSL/G	CMM	Authorized		
10345	31-1440175	01/01/2022	Community Insurance Company DBA Anthem	OH	ASL/G	CMM	Authorized		

**SCHEDULE T – PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Business Only									
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 CHIP Title XXI	6 Federal Employees Health Benefits Program Premiums	7 Life & Annuity Premiums & Other Considerations	8 Property/ Casualty Premiums	9 Total Columns 2 Through 8	10 Deposit-Type Contracts	
1. Alabama	AL	N									
2. Alaska	AK	N									
3. Arizona	AZ	N									
4. Arkansas	AR	N									
5. California	CA	N									
6. Colorado	CO	N									
7. Connecticut	CT	N									
8. Delaware	DE	N									
9. District of Columbia	DC	N									
10. Florida	FL	N									
11. Georgia	GA	N									
12. Hawaii	HI	N									
13. Idaho	ID	N									
14. Illinois	IL	N									
15. Indiana	IN	N									
16. Iowa	IA	N									
17. Kansas	KS	N									
18. Kentucky	KY	N									
19. Louisiana	LA	N									
20. Maine	ME	N									
21. Maryland	MD	N									
22. Massachusetts	MA	N									
23. Michigan	MI	N									
24. Minnesota	MN	N									
25. Mississippi	MS	N									
26. Missouri	MO	N									
27. Montana	MT	N									
28. Nebraska	NE	N									
29. Nevada	NV	N									
30. New Hampshire	NH	N									
31. New Jersey	NJ	N									
32. New Mexico	NM	N									
33. New York	NY	N									
34. North Carolina	NC	N									
35. North Dakota	ND	N									
36. Ohio	OH	L	25,697,859							25,697,859	
37. Oklahoma	OK	N									
38. Oregon	OR	N									
39. Pennsylvania	PA	N									
40. Rhode Island	RI	N									
41. South Carolina	SC	N									
42. South Dakota	SD	N									
43. Tennessee	TN	N									
44. Texas	TX	N									
45. Utah	UT	N									
46. Vermont	VT	N									
47. Virginia	VA	N									
48. Washington	WA	N									
49. West Virginia	WV	N									
50. Wisconsin	WI	N									
51. Wyoming	WY	N									
52. American Samoa	AS	N									
53. Guam	GU	N									
54. Puerto Rico	PR	N									
55. US Virgin Islands	VI	N									
56. Northern Mariana Islands	MP	N									
57. Canada	CAN	N									
58. Aggregate Other Alien	OT	XXX									
59. Subtotal		XXX	25,697,859							25,697,859	
60. Reporting entity contributions for employee benefits plans		XXX									
61. Total (Direct Business)		XXX	25,697,859							25,697,859	
<b>Details of Write-Ins</b>											
58001.		XXX									
58002.		XXX									
58003.		XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX									
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)		XXX									

(a) Active Status Counts

1. L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG  
 2. R – Registered – Non-domiciled RRGs  
 3. E – Eligible - Reporting entities eligible or approved to write surplus lines in the state

1. Q – Qualified - Qualified or accredited reinsurer  
 2. –  
 3. N – None of the above - Not allowed to write business in the state

56

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
PART 1 - ORGANIZATIONAL CHART

---

**Ohio Bankers Benefits Trust**

Employer's ID Number: 31-1306485

NAIC Company Code: N/A

State of Domicile: OH

**SCHEDULE Y**

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Yes/No)	*
<b>NONE</b>															

Asterisk	Explanation

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**August Filing**

2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
---	-----

**EXPLANATION:**

1.	.....
2.	.....

**BARCODES:**

1.	
2.	

**OVERFLOW PAGE FOR WRITE-INS**

**SCHEDULE A – VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book / adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8).....		
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....		

**NONE****SCHEDULE B – VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and comm. fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....		
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book / adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....		
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....		

**NONE****SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	13,732,980	8,894,507
2. Cost of bonds and stocks acquired.....	1,462,889	10,580,606
3. Accrual of discount.....	8,150	15,213
4. Unrealized valuation increase (decrease).....	120,511	(550,573)
5. Total gain (loss) on disposals.....	(118,270)	3,913
6. Deduct consideration for bonds and stocks disposed of.....	5,564,558	5,167,088
7. Deduct amortization of premium.....	12,417	43,598
8. Total foreign exchange change in book / adjusted carrying value.....	–	
9. Deduct current year's other-than-temporary impairment recognized.....	–	
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	9,629,284	13,732,980
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	9,629,284	13,732,980

**SCHEDULE D – PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book / Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book / Adjusted Carrying Value End of First Quarter	6 Book / Adjusted Carrying Value End of Second Quarter	7 Book / Adjusted Carrying Value End of Third Quarter	8 Book / Adjusted Carrying Value December 31 Prior Year
<b>Bonds</b>								
1. NAIC 1 (a).....	9,337,204	1,533,716	1,675,000	6,555	9,252,413	9,337,204	9,202,475	11,960,725
2. NAIC 2 (a).....								
3. NAIC 3 (a).....								
4. NAIC 4 (a).....								
5. NAIC 5 (a).....								
6. NAIC 6 (a).....								
7. Total Bonds.....	9,337,204	1,533,716	1,675,000	6,555	9,252,413	9,337,204	9,202,475	11,960,725
<b>Preferred Stock</b>								
8. NAIC 1.....								
9. NAIC 2.....								
10. NAIC 3.....								
11. NAIC 4.....								
12. NAIC 5.....								
13. NAIC 6.....								
14. Total Preferred Stock.....								
15. Total Bonds & Preferred Stock.....	9,337,204	1,533,716	1,675,000	6,555	9,252,413	9,337,204	9,202,475	11,960,725

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 1,111,815; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book / Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
7709999999 Total.....	1,111,815	XXX			

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	446,175	
2. Cost of short-term investments acquired.....	1,101,957	443,746
3. Accrual of discount.....	19,430	2,429
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	455,747	
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book / adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,111,815	446,175
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,111,815	446,175

(SI-04) Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**NONE**

(SI-04) Schedule DB - Part B - Verification - Futures Contracts

**NONE**

(SI-05) Schedule DB - Part C - Section 1

**NONE**

(SI-06) Schedule DB - Part C - Section 2

**NONE**

(SI-07) Schedule DB - Verification

**NONE**

(SI-08) Schedule E - Part 2 - Verification - Cash Equivalents

**NONE**

(E-01) Schedule A - Part 2

**NONE**

(E-01) Schedule A - Part 3

**NONE**

(E-02) Schedule B - Part 2

**NONE**

(E-02) Schedule B - Part 3

**NONE**

(E-03) Schedule BA - Part 2

**NONE**

(E-03) Schedule BA - Part 3

**NONE**

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
<b>Bonds: U.S. Governments</b>									
91282C-HL-8	US Treasury		07/30/2023	Huntington National Bank	XXX	248,584	250,000	974	
91282C-HN-4	US Treasury		08/17/2023	Huntington National Bank	XXX	199,227	200,000	439	
91282C-GU-9	US Treasury		08/21/2023	Huntington National Bank	XXX	196,219	200,000	3,028	
91282C-FP-1	US Treasury		08/29/2023	Huntington National Bank	XXX	197,063	200,000	3,158	
0109999999 - Bonds: U.S. Governments						841,092	850,000	7,599	XXX
2509999997 - Subtotals - Bonds - Part 3						841,092	850,000	7,599	XXX
2509999998 - Summary Item from Part 5 for Bonds (N/A to Quarterly)									
2509999999 - Subtotals - Bonds						841,092	850,000	7,599	XXX
6009999999 - Totals						841,092	XXX	7,599	XXX

## Quarterly Statement as of September 30, 2023 of the OHIO BANKERS BENEFITS TRUST

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book / Adjusted Carrying Value	Change in Book / Adjusted Carrying Value					16 Book / Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest / Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	
										11 Unrealized Valuation Increase / (Decrease)	12 Current Year's (Amortization) / Accretion	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B. / A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
<b>Bonds: U.S. Governments</b>																						
912828-2D-1	US TREASURY		08/31/2023	Matured	XXX	175,000	175,000	175,000	175,000	—	—	—	—	175,000	—	—	—	—	2,406	05/15/2023		
912828-T2-6	US TREASURY		09/30/2023	Matured	XXX	100,000	100,000	97,466	98,086	1,914	—	1,914	—	100,000	—	—	—	—	688	09/30/2023		
0109999999 - Bonds: U.S. Governments					275,000	275,000	272,466	273,086	1,914	—	1,914	—	275,000	—	—	—	—	3,094	XXX	XXX		
<b>Bonds: Industrial and Miscellaneous (Unaffiliated)</b>																						
83172H-GU-8	SMARTBANK SERIES 0000 MEDIUM TERM CD		07/28/2023	Matured	XXX	250,000	250,000	250,000	250,000	—	—	—	—	250,000	—	—	—	—	411	07/28/2023		
17312Q-R2-7	CITIBANK NA MEDIUM-TERM CD CONTINENTAL BANK UT MEDIUM		08/14/2023	Matured	XXX	100,000	100,000	100,000	100,000	—	—	—	—	100,000	—	—	—	—	3,300	08/14/2023		
17037V-BE-1	TERM CD		08/16/2023	Matured	XXX	200,000	200,000	200,000	200,000	—	—	—	—	200,000	—	—	—	—	2,863	08/16/2023		
70147A-CF-9	PARKSIDE FINL BNK & TR MO MEDIUM-TERM CD		08/23/2023	Matured	XXX	200,000	200,000	200,000	200,000	—	—	—	—	200,000	—	—	—	—	2,863	08/23/2023		
44042T-BQ-6	HORIZON BANK WAVERLY CD		08/29/2023	Matured	XXX	250,000	250,000	250,000	250,000	—	—	—	—	250,000	—	—	—	—	2,829	08/29/2023		
95960N-JV-0	WESTERN STATE BK BANK CD		09/28/2023	Matured	XXX	200,000	200,000	200,000	200,000	—	—	—	—	200,000	—	—	—	—	2,627	09/28/2023		
1109999999 - Bonds: Industrial and Miscellaneous (Unaffiliated)					1,200,000	1,200,000	1,200,000	1,200,000	—	—	—	—	1,200,000	—	—	—	—	14,893	XXX	XXX		
2509999999 - Subtotals - Bonds - Part 4					1,475,000	1,475,000	1,472,466	1,473,086	1,914	—	1,914	—	1,475,000	—	—	—	—	17,987	XXX	XXX		
2509999998 - Summary Item from Part 5 for Bonds (N/A to Quarterly)																						
2509999999 - Subtotals - Bonds					1,475,000	1,475,000	1,472,466	1,473,086	1,914	—	1,914	—	1,475,000	—	—	—	—	17,987	XXX	XXX		
6009999999 - Totals					1,475,000	XXX	1,472,466	1,473,086	1,914	—	1,914	—	1,475,000	—	—	—	—	17,987	XXX	XXX		

(E-06) Schedule DB - Part A - Section 1

**NONE**

(E-06) Schedule DB - Part A - Section 1 - Description of Hedged Risk(s)

**NONE**

(E-06) Schedule DB - Part A - Section 1 - Financial or Economic Impact of The Hedge at the End of the Reporting Period

**NONE**

(E-07) Schedule DB - Part B - Section 1

**NONE**

(E-07) Schedule DB - Part B - Section 1 - Broker Name

**NONE**

(E-07) Schedule DB - Part B - Section 1 - Description of Hedged Risk(s)

**NONE**

(E-07) Schedule DB - Part B - Section 1 - Financial or Economic Impact of The Hedge at the End of the Reporting Period

**NONE**

(E-08) Schedule DB - Part D - Section 1

**NONE**

(E-09) Schedule DB - Part D - Section 2 - Collateral Pledged By Reporting Entity

**NONE**

(E-09) Schedule DB - Part D - Section 2 - Collateral Pledged To Reporting Entity

**NONE**

(E-10) Schedule DB - Part E

**NONE**

(E-11) Schedule DL - Part 1

**NONE**

(E-12) Schedule DL - Part 2

**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Huntington National Bank – 106 South Main St, 5th Floor, Akron, Ohio 44308.....		4.050	4,829	1,196	307,457	855,567	213,507	XXX
LCNB: Operating – PO Box 59, Lebanon, Ohio 45036.....			–	–	75,000	70,275	70,871	XXX
LCNB: Money Market – PO Box 59, Lebanon, Ohio 45036.....		3.750	15,027	–	697,083	367,988	225,354	XXX
LCNB: FSA – PO Box 59, Lebanon, Ohio 45036.....			–	–	104,415	105,573	106,612	XXX
0199998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....								XXX
0199999 – Total Open Depositories.....			19,856	1,196	1,183,955	1,399,403	616,345	XXX
0299998 – Deposits in depositories that do not exceed the allowable limit in any one depository (see Instructions) - Suspended Depositories.....								XXX
0299999 – Total Suspended Depositories.....								XXX
0399999 – Total Cash on Deposit.....			19,856	1,196	1,183,955	1,399,403	616,345	XXX
0499999 – Cash in Company's Office.....			XXX	XXX				XXX
0599999 – Total.....			19,856	1,196	1,183,955	1,399,403	616,345	XXX

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book / Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
8609999999 - Total Cash Equivalents.....								

**NONE**