

ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2022

OF THE CONDITION AND AFFAIRS OF THE

NORTH AMERICAN SWISS ALLIANCE

NAIC Group Code..... NAIC Company Code..... 56375..... Employer's ID Number..... 34-0719168.....

(Current)(Prior)

Organized under the Laws of..... OH..... State of Domicile or Port of Entry..... OH.....

Country of Domicile..... US.....

Licensed as business type:..... Fraternal Benefit Societies.....

Incorporated/Organized..... 09/06/1889..... Commenced Business..... 09/06/1889.....

Statutory Home Office..... 26777 Lorain Road, Suite 321..... North Olmsted, OH, US 44070-3225.....

Main Administrative Office..... 26777 Lorain Road, Suite 321.....

..... North Olmsted, OH, US 44070-3225..... 440-777-7114.....

..... (Telephone).....

Mail Address..... 26777 Lorain Road, Suite 321..... North Olmsted, OH, US 44070-3225.....

Primary Location of Books and

Records..... 26777 Lorain Road, Suite 321.....

..... North Olmsted, OH, US 44070-3225..... 440-777-7114.....

..... (Telephone).....

Internet Website Address..... n/a.....

Statutory Statement Contact..... Lynn M. Kosin..... 440-777-7114.....

..... (Telephone).....

..... naswiss@sbcglobal.net.....

..... (E-Mail)..... (Fax).....

OFFICERS

..... Donald P Robison#, President..... John J Jencson#, Vice-President.....

..... Lynn M. Kosin, Secretary/Treasurer.....

OTHER

..... Kevin M. Ruedy, Financial Advisor.....

DIRECTORS OR TRUSTEES

..... Lynn M. Kosin..... Kevin M. Ruedy.....

..... Donald P. Robison..... John J. Jencson.....

..... David E. Stucki..... Michael A Kosin.....

..... Thomas M. Vassallo..... William B Perrine.....

State of

County of SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

x..... x..... x.....

Donald P Robison..... Lynn M. Kosin.....

President..... Secretary/Treasurer.....

Subscribed and sworn to before me

this..... day of.....

.....

a. Is this an original filing? Yes

b. If no:

1. State the amendment number:.....

2. Date filed:.....

3. Number of pages attached:.....

x.....



5 6 3 7 5 2 0 2 2 4 3 0 0 5 1 0 0

DIRECT BUSINESS IN THE STATE OF CALIFORNIA DURING THE YEAR 2022

LIFE INSURANCE

NAIC Group Code: 0000

NAIC Company Code: 56375

			1	2	3	4	5
			Ordinary	Credit Life (Group and Individual)	Group	Industrial	Total
DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS							
1.	Life insurance.....		—				—
2.	Annuity considerations.....						
3.	Deposit-type contract funds.....			XXX		XXX	
4.	Other considerations.....						
5.	Totals (Sum of Lines 1 to 4).....		—				—
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS							
Life insurance:							
6.1	Paid in cash or left on deposit.....						
6.2	Applied to pay renewal premiums.....						
6.3	Applied to provide paid-up additions or shorten the endowment or premium-paying period.....		555				555
6.4	Other.....						
6.5	Totals (Sum of Lines 6.1 to 6.4).....		555				555
Annuities:							
7.1	Paid in cash or left on deposit.....						
7.2	Applied to provide paid-up annuities.....						
7.3	Other.....						
7.4	Totals (Sum of Lines 7.1 to 7.3).....						
8.	Grand Totals (Lines 6.5 + 7.4).....		555				555
DIRECT CLAIMS AND BENEFITS PAID							
9.	Death benefits.....		—				—
10.	Matured endowments.....						
11.	Annuity benefits.....						
12.	Surrender values and withdrawals for life contracts.....		1,133				1,133
13.	Aggregate write-ins for miscellaneous direct claims and benefits paid.....						
14.	All other benefits, except accident and health.....						
15.	Totals.....		1,133				1,133
Details of Write-Ins							
1301.						
1302.						
1303.						
1398.	Summary of remaining write-ins for Line 13 from overflow page.....						
1399.	Totals (Lines 1301 through 1303 + 1398) (Line 13 above).....						

	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No. of Pol. & Certifs.	Amount	No. of Ind. Pol. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No. of Pol. & Certifs.	Amount	No. of Pol. & Certifs.	Amount
DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED										
16.	Unpaid December 31, prior year.....	—								—
17.	Incurred during current year.....	—							—	
Settled during current year:										
18.1	By payment in full.....									
18.2	By payment on compromised claims.....									
18.3	Totals paid.....									
18.4	Reduction by compromise.....									
18.5	Amount rejected.....									
18.6	Total settlements.....									
19.	Unpaid Dec. 31, current year (Lines 16 + 17 - 18.6).....	—	—						—	—
POLICY EXHIBIT										
20.	In force December 31, prior year.....	120	260,270	(a)	No. of Policies				120	260,270
21.	Issued during year.....	—	1,163						—	1,163
22.	Other changes to in force (Net).....	(2)	(5,393)						(2)	(5,393)
23.	In force December 31 of current year.....	118	256,040	(a)					118	256,040

(a) Includes Individual Credit Life Insurance prior year \$, current year \$
Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

			1	2	3	4	5
			Direct Premiums	Direct Premiums Earned	Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	Direct Losses Paid	Direct Losses Incurred
24.	Group policies (b).....						
24.1	Federal Employees Health Benefits Plan premium (b).....						
24.2	Credit (Group and Individual).....						
24.3	Collectively renewable policies/certificates (b).....						
24.4	Medicare Title XVIII exempt from state taxes or fees.....						
Other Individual Policies:							
25.1	Non-cancelable (b).....						
25.2	Guaranteed renewable (b).....						
25.3	Non-renewable for stated reasons only (b).....						
25.4	Other accident only.....						
25.5	All other (b).....						
25.6	Totals (sum of Lines 25.1 to 25.5).....						
26.	Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6).....						

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .

NONE



5 6 3 7 5 2 0 2 2 4 3 0 3 6 1 0 0

DIRECT BUSINESS IN THE STATE OF OHIO DURING THE YEAR 2022

LIFE INSURANCE

NAIC Group Code: 0000

NAIC Company Code: 56375

			1	2	3	4	5
			Ordinary	Credit Life (Group and Individual)	Group	Industrial	Total
DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS							
1.	Life insurance		7,471				7,471
2.	Annuity considerations						
3.	Deposit-type contract funds			XXX		XXX	
4.	Other considerations						
5.	Totals (Sum of Lines 1 to 4)		7,471				7,471
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS							
Life insurance:							
6.1	Paid in cash or left on deposit						
6.2	Applied to pay renewal premiums						
6.3	Applied to provide paid-up additions or shorten the endowment or premium-paying period		7,040				7,040
6.4	Other						
6.5	Totals (Sum of Lines 6.1 to 6.4)		7,040				7,040
Annuities:							
7.1	Paid in cash or left on deposit						
7.2	Applied to provide paid-up annuities						
7.3	Other						
7.4	Totals (Sum of Lines 7.1 to 7.3)						
8.	Grand Totals (Lines 6.5 + 7.4)		7,040				7,040
DIRECT CLAIMS AND BENEFITS PAID							
9.	Death benefits		75,546				75,546
10.	Matured endowments		761				761
11.	Annuity benefits						
12.	Surrender values and withdrawals for life contracts		3,673				3,673
13.	Aggregate write-ins for miscellaneous direct claims and benefits paid						
14.	All other benefits, except accident and health						
15.	Totals		79,980				79,980
Details of Write-Ins							
1301.							
1302.							
1303.							
1398.	Summary of remaining write-ins for Line 13 from overflow page						
1399.	Totals (Lines 1301 through 1303 + 1398) (Line 13 above)						

	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No. of Pol. & Certifs.	Amount	No. of Ind. Pol. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No. of Pol. & Certifs.	Amount	No. of Pol. & Certifs.	Amount
DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED										
16.	Unpaid December 31, prior year	4	4,836						4	4,836
17.	Incurred during current year	39	76,307						39	76,307
Settled during current year:										
18.1	By payment in full	40	78,143						40	78,143
18.2	By payment on compromised claims	—	—						—	—
18.3	Totals paid	40	78,143						40	78,143
18.4	Reduction by compromise	—	—						—	—
18.5	Amount rejected	—	—						—	—
18.6	Total settlements	40	78,143						40	78,143
19.	Unpaid Dec. 31, current year (Lines 16 + 17 - 18.6)	3	3,000						3	3,000
POLICY EXHIBIT										
20.	In force December 31, prior year	1,913	4,460,455	(a)	No. of Policies				1,913	4,460,455
21.	Issued during year	13	62,500						13	62,500
22.	Other changes to in force (Net)	(45)	(84,430)						(45)	(84,430)
23.	In force December 31 of current year	1,881	4,438,525	(a)					1,881	4,438,525

(a) Includes Individual Credit Life Insurance prior year \$, current year \$
Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

			1	2	3	4	5
			Direct Premiums	Direct Premiums Earned	Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	Direct Losses Paid	Direct Losses Incurred
24.	Group policies (b)						
24.1	Federal Employees Health Benefits Plan premium (b)						
24.2	Credit (Group and Individual)						
24.3	Collectively renewable policies/certificates (b)						
24.4	Medicare Title XVIII exempt from state taxes or fees						
Other Individual Policies:							
25.1	Non-cancelable (b)						
25.2	Guaranteed renewable (b)						
25.3	Non-renewable for stated reasons only (b)						
25.4	Other accident only						
25.5	All other (b)						
25.6	Totals (sum of Lines 25.1 to 25.5)						
26.	Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)						

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .

NONE



GRAND TOTAL DURING THE YEAR 2022

LIFE INSURANCE

NAIC Group Code: 0000

NAIC Company Code: 56375

			1	2	3	4	5
			Ordinary	Credit Life (Group and Individual)	Group	Industrial	Total
DIRECT PREMIUMS AND ANNUITY CONSIDERATIONS							
1.	Life insurance		7,471				7,471
2.	Annuity considerations						
3.	Deposit-type contract funds			XXX		XXX	
4.	Other considerations						
5.	Totals (Sum of Lines 1 to 4)		7,471				7,471
DIRECT DIVIDENDS TO POLICYHOLDERS/REFUNDS TO MEMBERS							
Life insurance:							
6.1	Paid in cash or left on deposit						
6.2	Applied to pay renewal premiums						
6.3	Applied to provide paid-up additions or shorten the endowment or premium-paying period		7,595				7,595
6.4	Other						
6.5	Totals (Sum of Lines 6.1 to 6.4)		7,595				7,595
Annuities:							
7.1	Paid in cash or left on deposit						
7.2	Applied to provide paid-up annuities						
7.3	Other						
7.4	Totals (Sum of Lines 7.1 to 7.3)						
8.	Grand Totals (Lines 6.5 + 7.4)		7,595				7,595
DIRECT CLAIMS AND BENEFITS PAID							
9.	Death benefits		75,546				75,546
10.	Matured endowments		761				761
11.	Annuity benefits						
12.	Surrender values and withdrawals for life contracts		4,806				4,806
13.	Aggregate write-ins for miscellaneous direct claims and benefits paid						
14.	All other benefits, except accident and health						
15.	Totals		81,113				81,113
Details of Write-Ins							
1301.							
1302.							
1303.							
1398.	Summary of remaining write-ins for Line 13 from overflow page						
1399.	Totals (Lines 1301 through 1303 + 1398) (Line 13 above)						

	Ordinary		Credit Life (Group and Individual)		Group		Industrial		Total	
	1	2	3	4	5	6	7	8	9	10
	No. of Pol. & Certifs.	Amount	No. of Ind. Pol. & Gr. Certifs.	Amount	No. of Certifs.	Amount	No. of Pol. & Certifs.	Amount	No. of Pol. & Certifs.	Amount
DIRECT DEATH BENEFITS AND MATURED ENDOWMENTS INCURRED										
16.	Unpaid December 31, prior year	4	4,836						4	4,836
17.	Incurred during current year	39	76,307						39	76,307
Settled during current year:										
18.1	By payment in full	40	78,143						40	78,143
18.2	By payment on compromised claims	-							-	
18.3	Totals paid	40	78,143						40	78,143
18.4	Reduction by compromise	-							-	
18.5	Amount rejected	-							-	
18.6	Total settlements	40	78,143						40	78,143
19.	Unpaid Dec. 31, current year (Lines 16 + 17 - 18.6)	3	3,000						3	3,000
POLICY EXHIBIT										
20.	In force December 31, prior year	2,033	4,720,725	(a)	No. of Policies				2,033	4,720,725
21.	Issued during year	13	63,663						13	63,663
22.	Other changes to in force (Net)	(47)	(89,823)						(47)	(89,823)
23.	In force December 31 of current year	1,999	4,694,565	(a)					1,999	4,694,565

(a) Includes Individual Credit Life Insurance prior year \$, current year \$
Includes Group Credit Life Insurance Loans less than or equal to 60 months at issue, prior year \$, current year \$
Loans greater than 60 months at issue BUT NOT GREATER THAN 120 MONTHS prior year \$, current year \$

ACCIDENT AND HEALTH INSURANCE

			1	2	3	4	5
			Direct Premiums	Direct Premiums Earned	Policyholder Dividends Paid, Refunds to Members or Credited on Direct Business	Direct Losses Paid	Direct Losses Incurred
24.	Group policies (b)						
24.1	Federal Employees Health Benefits Plan premium (b)						
24.2	Credit (Group and Individual)						
24.3	Collectively renewable policies/certificates (b)						
24.4	Medicare Title XVIII exempt from state taxes or fees						
Other Individual Policies:							
25.1	Non-cancelable (b)						
25.2	Guaranteed renewable (b)						
25.3	Non-renewable for stated reasons only (b)						
25.4	Other accident only						
25.5	All other (b)						
25.6	Totals (sum of Lines 25.1 to 25.5)						
26.	Totals (Lines 24 + 24.1 + 24.2 + 24.3 + 24.4 + 25.6)						

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products and number of persons insured under indemnity only products .

NONE

FORM FOR CALCULATING THE INTEREST MAINTENANCE RESERVE

INTEREST MAINTENANCE RESERVE

		1
		Amount
1.	Reserve as of December 31, prior year.....	41,054
2.	Current year's realized pre-tax capital gains/(losses) of \$ transferred into the reserve net of taxes of \$ 	
3.	Adjustment for current year's liability gains/(losses) released from the reserve.....	
4.	Balance before reduction for amount transferred to Summary of Operations (Line 1 + Line 2 + Line 3).....	41,054
5.	Current year's amortization released to Summary of Operations (Amortization, Line 1, Column 4).....	5,253
6.	Reserve as of December 31, current year (Line 4 minus Line 5).....	35,800

AMORTIZATION

		1	2	3	4
		Reserve as of December 31, Prior Year	Current Year's Realized Capital Gains/(Losses) Transferred into the Reserve Net of Taxes	Adjustment for Current Year's Liability Gains/ (Losses) Released From the Reserve	Balance Before Reduction for Current Year's Amortization (Cols. 1+2+3)
Year of Amortization					
1.	2022.....	5,253			5,253
2.	2023.....	4,268			4,268
3.	2024.....	4,086			4,086
4.	2025.....	3,776			3,776
5.	2026.....	3,611			3,611
6.	2027.....	3,438			3,438
7.	2028.....	3,287			3,287
8.	2029.....	2,991			2,991
9.	2030.....	2,507			2,507
10.	2031.....	1,718			1,718
11.	2032.....	933			933
12.	2033.....	405			405
13.	2034.....	198			198
14.	2035.....	205			205
15.	2036.....	497			497
16.	2037.....	816			816
17.	2038.....	953			953
18.	2039.....	788			788
19.	2040.....	644			644
20.	2041.....	501			501
21.	2042.....	158			158
22.	2043.....	5			5
23.	2044.....	9			9
24.	2045.....	5			5
25.	2046.....	2			2
26.	2047.....				
27.	2048.....				
28.	2049.....				
29.	2050.....				
30.	2051.....				
31.	2052 and Later.....				
32.	Total (Lines 1 to 31).....	41,054			41,054

ASSET VALUATION RESERVE

	Default Component			Equity Component			7
	1	2	3	4	5	6	
	Other Than Mortgage Loans	Mortgage Loans	Total (Cols. 1 + 2)	Common Stock	Real Estate and Other Invested Assets	Total (Cols. 4 + 5)	Total Amount (Cols. 3 + 6)
1. Reserve as of December 31, prior year.....	41,629		41,629				41,629
2. Realized capital gains/(losses) net of taxes-General Account.....							
3. Realized capital gains/(losses) net of taxes-Separate Accounts.....							
4. Unrealized capital gains/(losses) net of deferred taxes-General Account.....	(12,749)		(12,749)				(12,749)
5. Unrealized capital gains/(losses) net of deferred taxes-Separate Accounts.....							
6. Capital gains credited/(losses charged) to contract benefits, payments or reserves.....							
7. Basic contribution.....	10,380		10,380	—	—	—	10,380
8. Accumulated balances (Lines 1 through 5 - 6 + 7).....	39,260		39,260	—	—	—	39,260
9. Maximum reserve.....	47,787		47,787	—	—	—	47,787
10. Reserve objective.....	29,624		29,624	—	—	—	29,624
11. 20% of (Line 10 - Line 8).....	(1,927)		(1,927)	—	—	—	(1,927)
12. Balance before transfers (Lines 8 + 11).....	37,333		37,333	—	—	—	37,333
13. Transfers.....							
14. Voluntary contribution.....							
15. Adjustment down to maximum/up to zero.....	10,454		10,454				10,454
16. Reserve as of December 31, current year (Lines 12 + 13 + 14 + 15).....	47,787		47,787	—	—	—	47,787

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1 Book / Adjusted Carrying Value	2 Reclassify Related Party Encumbrances	3 Add Third Party Encumbrances	4 Balance for AVR Reserve Calculations (Cols. 1+2+3)	Basic Contribution		Reserve Objective		Maximum Reserve	
							5 Factor	6 Amount (Cols. 4x5)	7 Factor	8 Amount (Cols. 4x7)	9 Factor	10 Amount (Cols. 4x9)
1		LONG-TERM BONDS Exempt Obligations		XXX	XXX		—		—		—	
2.1	1	NAIC Designation Category 1.A	89,722	XXX	XXX	89,722	0.0002	18	0.0007	63	0.0013	117
2.2	1	NAIC Designation Category 1.B	—	XXX	XXX	—	0.0004	—	0.0011	—	0.0023	—
2.3	1	NAIC Designation Category 1.C	123,050	XXX	XXX	123,050	0.0006	74	0.0018	221	0.0035	431
2.4	1	NAIC Designation Category 1.D	38,513	XXX	XXX	38,513	0.0007	27	0.0022	85	0.0044	169
2.5	1	NAIC Designation Category 1.E	101,512	XXX	XXX	101,512	0.0009	91	0.0027	274	0.0055	558
2.6	1	NAIC Designation Category 1.F	207,248	XXX	XXX	207,248	0.0011	228	0.0034	705	0.0068	1,409
2.7	1	NAIC Designation Category 1.G	128,057	XXX	XXX	128,057	0.0014	179	0.0042	538	0.0085	1,088
2.8		Subtotal NAIC 1 (2.1 + 2.2 + 2.3 + 2.4 + 2.5 + 2.6 + 2.7)	688,102	XXX	XXX	688,102	XXX	617	XXX	1,886	XXX	3,773
3.1	2	NAIC Designation Category 2.A	622,400	XXX	XXX	622,400	0.0021	1,307	0.0063	3,921	0.0105	6,535
3.2	2	NAIC Designation Category 2.B	1,015,708	XXX	XXX	1,015,708	0.0025	2,539	0.0076	7,719	0.0127	12,899
3.3	2	NAIC Designation Category 2.C	587,014	XXX	XXX	587,014	0.0036	2,113	0.0108	6,340	0.0180	10,566
3.4	2	Subtotal NAIC 2 (3.1 + 3.2 + 3.3)	2,225,122	XXX	XXX	2,225,122	XXX	5,960	XXX	17,980	XXX	30,001
4.1	3	NAIC Designation Category 3.A	261,516	XXX	XXX	261,516	0.0069	1,804	0.0183	4,786	0.0262	6,852
4.2	3	NAIC Designation Category 3.B	75,647	XXX	XXX	75,647	0.0099	749	0.0264	1,997	0.0377	2,852
4.3	3	NAIC Designation Category 3.C	—	XXX	XXX	—	0.0131	—	0.0350	—	0.0500	—
4.4		Subtotal NAIC 3 (4.1 + 4.2 + 4.3)	337,163	XXX	XXX	337,163	XXX	2,553	XXX	6,783	XXX	9,704
5.1	4	NAIC Designation Category 4.A	—	XXX	XXX	—	0.0184	—	0.0430	—	0.0615	—
5.2	4	NAIC Designation Category 4.B	48,917	XXX	XXX	48,917	0.0238	1,164	0.0555	2,715	0.0793	3,879
5.3	4	NAIC Designation Category 4.C	—	XXX	XXX	—	0.0310	—	0.0724	—	0.1034	—
5.4		Subtotal NAIC 4 (5.1 + 5.2 + 5.3)	48,917	XXX	XXX	48,917	XXX	1,164	XXX	2,715	XXX	3,879
6.1	5	NAIC Designation Category 5.A	—	XXX	XXX	—	0.0472	—	0.0846	—	0.1410	—
6.2	5	NAIC Designation Category 5.B	—	XXX	XXX	—	0.0663	—	0.1188	—	0.1980	—
6.3	5	NAIC Designation Category 5.C	—	XXX	XXX	—	0.0836	—	0.1498	—	0.2496	—
6.4		Subtotal NAIC 5 (6.1 + 6.2 + 6.3)	—	XXX	XXX	—	XXX	—	XXX	—	XXX	—
7	6	NAIC 6	—	XXX	XXX	—	0.0000	—	0.2370	—	0.2370	—
8		Total Unrated Multi-Class Securities Acquired by Conversion		XXX	XXX		XXX		XXX		XXX	
9		Total Long-Term Bonds (Sum of Lines 1+2.8+3.4+4.4+5.4+6.4+7+8)	3,299,304	XXX	XXX	3,299,304	XXX	10,294	XXX	29,364	XXX	47,357
		PREFERRED STOCKS										
10	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
11	2	High Quality	40,639	XXX	XXX	40,639	0.0021	85	0.0064	260	0.0106	431
12	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
13	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
14	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
15	6	In or Near Default		XXX	XXX		0.0000		0.2370		0.2370	
16		Affiliated Life with AVR		XXX	XXX		0.0000		0.0000		0.0000	
17		Total Preferred Stocks (Sum of Lines 10 through 16)	40,639	XXX	XXX	40,639	XXX	85	XXX	260	XXX	431

ASSET VALUATION RESERVE (CONTINUED)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book / Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
SHORT-TERM BONDS												
18		Exempt Obligations		XXX	XXX		—		—		—	
19.1	1	NAIC Designation Category 1.A		XXX	XXX		0.0002		0.0007		0.0013	
19.2	1	NAIC Designation Category 1.B		XXX	XXX		0.0004		0.0011		0.0023	
19.3	1	NAIC Designation Category 1.C		XXX	XXX		0.0006		0.0018		0.0035	
19.4	1	NAIC Designation Category 1.D		XXX	XXX		0.0007		0.0022		0.0044	
19.5	1	NAIC Designation Category 1.E		XXX	XXX		0.0009		0.0027		0.0055	
19.6	1	NAIC Designation Category 1.F		XXX	XXX		0.0011		0.0034		0.0068	
19.7	1	NAIC Designation Category 1.G		XXX	XXX		0.0014		0.0042		0.0085	
19.8		Subtotal NAIC 1 (19.1 + 19.2 + 19.3 + 19.4 + 19.5 + 19.6 + 19.7)		XXX	XXX		XXX		XXX		XXX	
20.1	2	NAIC Designation Category 2.A		XXX	XXX		0.0021		0.0063		0.0105	
20.2	2	NAIC Designation Category 2.B		XXX	XXX		0.0025		0.0076		0.0127	
20.3	2	NAIC Designation Category 2.C		XXX	XXX		0.0036		0.0108		0.0180	
20.4		Subtotal NAIC 2 (20.1 + 20.2 + 20.3)		XXX	XXX		XXX		XXX		XXX	
21.1	3	NAIC Designation Category 3.A		XXX	XXX		0.0069		0.0183		0.0262	
21.2	3	NAIC Designation Category 3.B		XXX	XXX		0.0099		0.0264		0.0377	
21.3	3	NAIC Designation Category 3.C		XXX	XXX		0.0131		0.0350		0.0500	
21.4		Subtotal NAIC 3 (21.1 + 21.2 + 21.3)		XXX	XXX		XXX		XXX		XXX	
22.1	4	NAIC Designation Category 4.A		XXX	XXX		0.0184		0.0430		0.0615	
22.2	4	NAIC Designation Category 4.B		XXX	XXX		0.0238		0.0555		0.0793	
22.3	4	NAIC Designation Category 4.C		XXX	XXX		0.0310		0.0724		0.1034	
22.4		Subtotal NAIC 4 (22.1 + 22.2 + 22.3)		XXX	XXX		XXX		XXX		XXX	
23.1	5	NAIC Designation Category 5.A		XXX	XXX		0.0472		0.0846		0.1410	
23.2	5	NAIC Designation Category 5.B		XXX	XXX		0.0663		0.1188		0.1980	
23.3	5	NAIC Designation Category 5.C		XXX	XXX		0.0836		0.1498		0.2496	
23.4		Subtotal NAIC 5 (23.1 + 23.2 + 23.3)		XXX	XXX		XXX		XXX		XXX	
24	6	NAIC 6		XXX	XXX		—		0.2370		0.2370	
25		Total Short-Term Bonds (18 + 19.8 + 20.4 + 21.4 + 22.4 + 23.4 + 24)		XXX	XXX		XXX		XXX		XXX	
DERIVATIVE INSTRUMENTS												
26		Exchange Traded		XXX	XXX		0.0005		0.0016		0.0033	
27	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
28	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
29	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
30	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
31	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
32	6	In or Near Default		XXX	XXX		—		0.2370		0.2370	
33		Total Derivative Instruments		XXX	XXX		XXX		XXX		XXX	
34		Total (Lines 9+ 17 + 25 + 33)	3,339,943	XXX	XXX	3,339,943	XXX	10,380	XXX	29,624	XXX	47,787

ASSET VALUATION RESERVE (CONTINUED)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
DEFAULT COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book / Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
		MORTGAGE LOANS										
		In Good Standing:										
35		Farm Mortgages – CM1 – Highest Quality			XXX		0.0011		0.0057		0.0074	
36		Farm Mortgages – CM2 – High Quality			XXX		0.0040		0.0114		0.0149	
37		Farm Mortgages – CM3 – Medium Quality			XXX		0.0069		0.0200		0.0257	
38		Farm Mortgages – CM4 – Low Medium Quality			XXX		0.0120		0.0343		0.0428	
39		Farm Mortgages – CM5 – Low Quality			XXX		0.0183		0.0486		0.0628	
40		Residential Mortgages – Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
41		Residential Mortgages – All Other			XXX		0.0015		0.0034		0.0046	
42		Commercial Mortgages – Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
43		Commercial Mortgages – All Other – CM1 – Highest Quality			XXX		0.0011		0.0057		0.0074	
44		Commercial Mortgages – All Other – CM2 – High Quality			XXX		0.0040		0.0114		0.0149	
45		Commercial Mortgages – All Other – CM3 – Medium Quality			XXX		0.0069		0.0200		0.0257	
46		Commercial Mortgages – All Other – CM4 – Low Medium Quality			XXX		0.0120		0.0343		0.0428	
47		Commercial Mortgages – All Other – CM5 – Low Quality			XXX		0.0183		0.0486		0.0628	
		Overdue, Not in Process:										
48		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
49		Residential Mortgages – Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
51		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
52		Commercial Mortgages - All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure:										
53		Farm Mortgages			XXX		–		0.1942		0.1942	
54		Residential Mortgages - Insured or Guaranteed			XXX		–		0.0046		0.0046	
55		Residential Mortgages - All Other			XXX		–		0.0149		0.0149	
56		Commercial Mortgages - Insured or Guaranteed			XXX		–		0.0046		0.0046	
57		Commercial Mortgages - All Other			XXX		–		0.1942		0.1942	
58		Total Schedule B Mortgages (Sum of Lines 35 through 57)			XXX		XXX		XXX		XXX	
59		Schedule DA Mortgages			XXX		0.0034		0.0114		0.0149	
60		Total Mortgage Loans on Real Estate (Lines 58 + 59)			XXX		XXX		XXX		XXX	

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book / Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
COMMON STOCK												
1		Unaffiliated Public		XXX	XXX		—	—	(a)		(a)	
2		Unaffiliated Private		XXX	XXX		—	—	0.1945		0.1945	
3		Federal Home Loan Bank		XXX	XXX		—	—	0.0061		0.0097	
4		Affiliated Life with AVR		XXX	XXX		—	—	—	—	—	—
		Affiliated Investment Subsidiary:										
5		Fixed Income Exempt Obligations					XXX		XXX		XXX	
6		Fixed Income Highest Quality					XXX		XXX		XXX	
7		Fixed Income High Quality					XXX		XXX		XXX	
8		Fixed Income Medium Quality					XXX		XXX		XXX	
9		Fixed Income Low Quality					XXX		XXX		XXX	
10		Fixed Income Lower Quality					XXX		XXX		XXX	
11		Fixed Income In or Near Default					XXX		XXX		XXX	
12		Unaffiliated Common Stock Public					—	—	(a)		(a)	
13		Unaffiliated Common Stock Private					—	—	0.1945		0.1945	
14		Real Estate					(b)		(b)		(b)	
15		Affiliated-Certain Other (See SVO Purposes & Procedures Manual)		XXX	XXX		—	—	0.1580		0.1580	
16		Affiliated - All Other		XXX	XXX		—	—	0.1945		0.1945	
17		Total Common Stock (Sum of Lines 1 through 16)					XXX	—	XXX	—	XXX	—
REAL ESTATE												
18		Home Office Property (General Account only)					—	—	0.0912		0.0912	
19		Investment Properties					—	—	0.0912		0.0912	
20		Properties Acquired in Satisfaction of Debt					—	—	0.1337		0.1337	
21		Total Real Estate (Sum of Lines 18 through 20)					XXX	—	XXX		XXX	
OTHER INVESTED ASSETS INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF BONDS												
22		Exempt Obligations		XXX	XXX		—	—	—	—	—	—
23	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
24	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
25	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
26	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
27	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
28	6	In or Near Default		XXX	XXX		—	—	0.2370		0.2370	
29		Total with Bond Characteristics (Sum of Lines 22 through 28)		XXX	XXX		XXX	—	XXX	—	XXX	—

ASSET VALUATION RESERVE (CONTINUED)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book / Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF PREFERRED STOCKS										
30	1	Highest Quality		XXX	XXX		0.0005		0.0016		0.0033	
31	2	High Quality		XXX	XXX		0.0021		0.0064		0.0106	
32	3	Medium Quality		XXX	XXX		0.0099		0.0263		0.0376	
33	4	Low Quality		XXX	XXX		0.0245		0.0572		0.0817	
34	5	Lower Quality		XXX	XXX		0.0630		0.1128		0.1880	
35	6	In or Near Default		XXX	XXX		—	—	0.2370		0.2370	
36		Affiliated Life with AVR		XXX	XXX		—	—	—	—	—	—
37		Total with Preferred Stock Characteristics (Sum of Lines 30 through 36)		XXX	XXX		XXX	—	XXX	—	XXX	—
		INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF MORTGAGE LOANS										
		In Good Standing Affiliated:										
38		Mortgages - CM1 - Highest Quality			XXX		0.0011		0.0057		0.0074	
39		Mortgages - CM2 - High Quality			XXX		0.0040		0.0114		0.0149	
40		Mortgages - CM3 - Medium Quality			XXX		0.0069		0.0200		0.0257	
41		Mortgages - CM4 - Low Medium Quality			XXX		0.0120		0.0343		0.0428	
42		Mortgages - CM5 - Low Quality			XXX		0.0183		0.0486		0.0628	
43		Residential Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
44		Residential Mortgages - All Other		XXX	XXX		0.0015		0.0034		0.0046	
45		Commercial Mortgages - Insured or Guaranteed			XXX		0.0003		0.0007		0.0011	
		Overdue, Not in Process Affiliated:										
46		Farm Mortgages			XXX		0.0480		0.0868		0.1371	
47		Residential Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
48		Residential Mortgages - All Other			XXX		0.0029		0.0066		0.0103	
49		Commercial Mortgages - Insured or Guaranteed			XXX		0.0006		0.0014		0.0023	
50		Commercial Mortgages -- All Other			XXX		0.0480		0.0868		0.1371	
		In Process of Foreclosure Affiliated:										
51		Farm Mortgages			XXX		—	—	0.1942		0.1942	
52		Residential Mortgages - Insured or Guaranteed			XXX		—	—	0.0046		0.0046	
53		Residential Mortgages - All Other			XXX		—	—	0.0149		0.0149	
54		Commercial Mortgages - Insured or Guaranteed			XXX		—	—	0.0046		0.0046	
55		Commercial Mortgages - All Other			XXX		—	—	0.1942		0.1942	
56		Total Affiliated (Sum of Lines 38 through 55)			XXX		XXX	—	XXX		XXX	
57		Unaffiliated - In Good Standing With Covenants			XXX		(c)		(c)		(c)	
58		Unaffiliated - In Good Standing Defeased With Government Securities			XXX		0.0011		0.0057		0.0074	
59		Unaffiliated - In Good Standing Primarily Senior			XXX		0.0040		0.0114		0.0149	
60		Unaffiliated - In Good Standing All Other			XXX		0.0069		0.0200		0.0257	
61		Unaffiliated - Overdue, Not in Process			XXX		0.0480		0.0868		0.1371	
62		Unaffiliated - In Process of Foreclosure			XXX		—	—	0.1942		0.1942	
63		Total Unaffiliated (Sum of Lines 57 through 62)			XXX		XXX	—	XXX		XXX	
64		Total with Mortgage Loan Characteristics (Lines 56 + 63)			XXX		XXX	—	XXX		XXX	

ASSET VALUATION RESERVE (CONTINUED)
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
EQUITY AND OTHER INVESTED ASSET COMPONENT

Line Number	NAIC Designation	Description	1	2	3	4	Basic Contribution		Reserve Objective		Maximum Reserve	
			Book / Adjusted Carrying Value	Reclassify Related Party Encumbrances	Add Third Party Encumbrances	Balance for AVR Reserve Calculations (Cols. 1+2+3)	5	6	7	8	9	10
							Factor	Amount (Cols. 4x5)	Factor	Amount (Cols. 4x7)	Factor	Amount (Cols. 4x9)
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF COMMON STOCK												
65		Unaffiliated Public.....		XXX	XXX		—	—	(a)		(a)	
66		Unaffiliated Private.....		XXX	XXX		—	—	0.1945		0.1945	
67		Affiliated Life with AVR.....		XXX	XXX		—	—	—		—	
68		Affiliated Certain Other (See SVO Purposes & Procedures Manual).....		XXX	XXX		—	—	0.1580		0.1580	
69		Affiliated Other - All Other.....		XXX	XXX		—	—	0.1945		0.1945	
70		Total with Common Stock Characteristics (Sum of Lines 65 through 69).....		XXX	XXX		XXX	—	XXX		XXX	
INVESTMENTS WITH THE UNDERLYING CHARACTERISTICS OF REAL ESTATE												
71		Home Office Property (General Account only).....					—	—	0.0912		0.0912	
72		Investment Properties.....					—	—	0.0912		0.0912	
73		Properties Acquired in Satisfaction of Debt.....					—	—	0.1337		0.1337	
74		Total with Real Estate Characteristics (Sum of Lines 71 through 73).....					XXX	—	XXX		XXX	
LOW INCOME HOUSING TAX CREDIT INVESTMENTS												
75		Guaranteed Federal Low Income Housing Tax Credit.....					0.0003		0.0006		0.0010	
76		Non-guaranteed Federal Low Income Housing Tax Credit.....					0.0063		0.0120		0.0190	
77		Guaranteed State Low Income Housing Tax Credit.....					0.0003		0.0006		0.0010	
78		Non-guaranteed State Low Income Housing Tax Credit.....					0.0063		0.0120		0.0190	
79		All Other Low Income Housing Tax Credit.....					0.0273		0.0600		0.0975	
80		Total LIHTC (Sum of Lines 75 through 79).....					XXX		XXX		XXX	
RESIDUAL TRANCHES OR INTERESTS												
81		Fixed Income Instruments – Unaffiliated.....		XXX			—	—	0.1580		0.1580	
82		Fixed Income Instruments – Affiliated.....		XXX	XXX		—	—	0.1580		0.1580	
83		Common Stock – Unaffiliated.....		XXX	XXX		—	—	0.1580		0.1580	
84		Common Stock – Affiliated.....		XXX	XXX		—	—	0.1580		0.1580	
85		Preferred Stock – Unaffiliated.....		XXX	XXX		—	—	0.1580		0.1580	
86		Preferred Stock – Affiliated.....		XXX	XXX		—	—	0.1580		0.1580	
87		Real Estate – Unaffiliated.....					—	—	0.1580		0.1580	
88		Real Estate – Affiliated.....					—	—	0.1580		0.1580	
89		Mortgage Loans – Unaffiliated.....		XXX	XXX		—	—	0.1580		0.1580	
90		Mortgage Loans – Affiliated.....		XXX	XXX		—	—	0.1580		0.1580	
91		Other – Unaffiliated.....		XXX	XXX		—	—	0.1580		0.1580	
92		Other – Affiliated.....		XXX	XXX		—	—	0.1580		0.1580	
93		Total Residual Tranches or Interests (Sum of Lines 81 through 92).....					XXX	—	XXX		XXX	
ALL OTHER INVESTMENTS												
94		NAIC 1 Working Capital Finance Investments.....		XXX			—	—	0.0042		0.0042	
95		NAIC 2 Working Capital Finance Investments.....		XXX			—	—	0.0137		0.0137	
96		Other Invested Assets - Schedule BA.....		XXX			—	—	0.1580		0.1580	
97		Other Short-Term Invested Assets - Schedule DA.....		XXX			—	—	0.1580		0.1580	
98		Total All Other (Sum of Lines 94, 95, 96 and 97).....		XXX			XXX	—	XXX		XXX	
99		Total Other Invested Assets - Schedules BA & DA (Sum of Lines 29, 37, 64, 70, 74, 80, 93 and 98).....		XXX	XXX		XXX	—	XXX	—	XXX	—

(a) Times the company's weighted average portfolio beta (Minimum .1215, Maximum .2431).
(b) Determined using same factors and breakdowns used for directly owned real estate.
(c) This will be the factor associated with the risk category determined in the company generated worksheet.

ASSET VALUATION RESERVE
BASIC CONTRIBUTION, RESERVE OBJECTIVE AND MAXIMUM RESERVE CALCULATIONS
REPLICATIONS (SYNTHETIC) ASSETS

1	2	3	4	5	6	7	8	9
RSAT Number	Type	CUSIP (6 digits)	Description of Asset(s)	NAIC Designation or Other Description of Asset	Value of Asset	AVR Basic Contribution	AVR Reserve Objective	AVR Maximum Reserve
0599999 – Totals.....								

NONE

SCHEDULE F

Showing all claims for death losses and all other contract claims resisted or compromised during the year, and
all claims for death losses and all other contract claims resisted December 31 of current year

1	2	3	4	5	6	7	8
Contract Numbers	Claim Numbers	State of Residence of Claimant	Year of Claim for Death or Disability	Amount Claimed	Amount Paid During the Year	Amount Resisted Dec. 31 of Current Year	Why Compromised or Resisted
5399999 – Totals							XXX

NONE

(38) Schedule H - Part 1

NONE

(38) Write-Ins for Line 11

NONE

(39) Schedule H - Part 2 - Reserves and Liabilities

NONE

(39) Schedule H - Part 3 - Test of Prior Year's Claim Reserves and Liabilities

NONE

(39) Schedule H - Part 4 - Reinsurance

NONE

(40) Schedule H - Part 5

NONE

(41) Schedule S - Part 1 - Section 1

NONE

(42) Schedule S - Part 1 - Section 2

NONE

(43) Schedule S - Part 2

NONE

(44) Schedule S - Part 3 - Section 1

NONE

(45) Schedule S - Part 3 - Section 2

NONE

(46) Schedule S - Part 4

NONE

(46) Schedule S - Part 4 - Bank Information

NONE

(47) Schedule S - Part 5

NONE

(47) Schedule S - Part 5 - Bank Information

NONE

(48) Schedule S - Part 6

NONE

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit for Ceded Reinsurance

	1	2	3
	As Reported (net of ceded)	Restatement Adjustments	Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	3,426,689		3,426,689
2. Reinsurance (Line 16)			
3. Premiums and considerations (Line 15)			
4. Net credit for ceded reinsurance	XXX		
5. All other admitted assets (balance)	46,033		46,033
6. Total assets excluding Separate Accounts (Line 26)	3,472,722		3,472,722
7. Separate Account assets (Line 27)			
8. Total assets (Line 28)	3,472,722		3,472,722
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
9. Contract reserves (Lines 1 and 2)	2,578,779		2,578,779
10. Liability for deposit-type contracts (Line 3)			
11. Claim reserves (Line 4)	3,000		3,000
12. Policyholder dividends/member refunds/reserves (Lines 5 through 7)	8,000		8,000
13. Premium & annuity considerations received in advance (Line 8)			
14. Other contract liabilities (Line 9)	35,800		35,800
15. Reinsurance in unauthorized companies (Line 24.02 minus inset amount)			
16. Funds held under reinsurance treaties with unauthorized reinsurers (Line 24.03 minus inset amount)			
17. Reinsurance with Certified Reinsurers (Line 24.02 inset amount)			
18. Funds held under reinsurance treaties with Certified Reinsurers (Line 24.03 inset amount)			
19. All other liabilities (balance)	49,756		49,756
20. Total liabilities excluding Separate Accounts (Line 26)	2,675,335		2,675,335
21. Separate Account liabilities (Line 27)			
22. Total liabilities (Line 28)	2,675,335		2,675,335
23. Capital & surplus (Line 38)	797,387	XXX	797,387
24. Total liabilities, capital & surplus (Line 39)	3,472,722		3,472,722
NET CREDIT FOR CEDED REINSURANCE			
25. Contract reserves		XXX	XXX
26. Claim reserves		XXX	XXX
27. Policyholder dividends/reserves		XXX	XXX
28. Premium & annuity considerations received in advance		XXX	XXX
29. Liability for deposit-type contracts		XXX	XXX
30. Other contract liabilities		XXX	XXX
31. Reinsurance ceded assets		XXX	XXX
32. Other ceded reinsurance recoverables		XXX	XXX
33. Total ceded reinsurance recoverables		XXX	XXX
34. Premiums and considerations		XXX	XXX
35. Reinsurance in unauthorized companies		XXX	XXX
36. Funds held under reinsurance treaties with unauthorized reinsurers		XXX	XXX
37. Reinsurance with Certified Reinsurers		XXX	XXX
38. Funds held under reinsurance treaties with Certified Reinsurers		XXX	XXX
39. Other ceded reinsurance payables/offsets		XXX	XXX
40. Total ceded reinsurance payable/offsets		XXX	XXX
41. Total net credit for ceded reinsurance		XXX	XXX

SCHEDULE T – PART 2
INTERSTATE COMPACT – EXHIBIT OF PREMIUMS WRITTEN
Allocated By States And Territories

			Direct Business Only			
			1	2	3	4
			Life (Group and Individual)	Annuities (Group and Individual)	Disability Income (Group and Individual)	Long-Term Care (Group and Individual)
States, Etc.						
1.	Alabama	AL				
2.	Alaska	AK				
3.	Arizona	AZ				
4.	Arkansas	AR				
5.	California	CA				
6.	Colorado	CO				
7.	Connecticut	CT				
8.	Delaware	DE				
9.	District of Columbia	DC				
10.	Florida	FL				
11.	Georgia	GA				
12.	Hawaii	HI				
13.	Idaho	ID				
14.	Illinois	IL				
15.	Indiana	IN				
16.	Iowa	IA				
17.	Kansas	KS				
18.	Kentucky	KY				
19.	Louisiana	LA				
20.	Maine	ME				
21.	Maryland	MD				
22.	Massachusetts	MA				
23.	Michigan	MI				
24.	Minnesota	MN				
25.	Mississippi	MS				
26.	Missouri	MO				
27.	Montana	MT				
28.	Nebraska	NE				
29.	Nevada	NV				
30.	New Hampshire	NH				
31.	New Jersey	NJ				
32.	New Mexico	NM				
33.	New York	NY				
34.	North Carolina	NC				
35.	North Dakota	ND				
36.	Ohio	OH	7,471			
37.	Oklahoma	OK				
38.	Oregon	OR				
39.	Pennsylvania	PA				
40.	Rhode Island	RI				
41.	South Carolina	SC				
42.	South Dakota	SD				
43.	Tennessee	TN				
44.	Texas	TX				
45.	Utah	UT				
46.	Vermont	VT				
47.	Virginia	VA				
48.	Washington	WA				
49.	West Virginia	WV				
50.	Wisconsin	WI				
51.	Wyoming	WY				
52.	American Samoa	AS				
53.	Guam	GU				
54.	Puerto Rico	PR				
55.	US Virgin Islands	VI				
56.	Northern Mariana Islands	MP				
57.	Canada	CAN				
58.	Aggregate Other Alien	OT				
59.	Totals		7,471			

(53) Schedule Y - Part 1A - Detail of Insurance Holding Company System

NONE

(53) Schedule Y - Part 1A - Explanation

NONE

(54) Schedule Y - Part 2

NONE

(55) Schedule Y - Part 3

NONE

SUPPLEMENTAL EXHIBIT AND SCHEDULE INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of **WAIVED** to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

REQUIRED FILINGS

		Response
March Filing		
1.	Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2.	Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?	YES
3.	Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?	YES
4.	Will an actuarial opinion be filed by March 1?	YES
April Filing		
5.	Will Management’s Discussion and Analysis be filed by April 1?	YES
6.	Will the Life, Health & Annuity Guaranty Association Assessable Premium Exhibit – Parts 1 and 2 be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies)	NO
7.	Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
June Filing		
8.	Will an audited financial report be filed by June 1?	NO
9.	Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	NO

SUPPLEMENTAL FILINGS

The following supplemental reports are required to be filed as part of your statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a “NONE” report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason, enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.


















March Filing		
10.	Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1? (Not applicable to fraternal benefit societies)	NO
11.	Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?	NO
13.	Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
14.	Will the actuarial opinion on non-guaranteed elements as required in interrogatory #3 to Exhibit 5 be filed with the state of domicile and electronically with the NAIC by March 1?	YES
15.	Will the actuarial opinion on X-Factors be filed with the state of domicile and electronically with the NAIC by March 1?	NO
16.	Will the actuarial opinion on Separate Accounts Funding Guaranteed Minimum Benefit be filed with the state of domicile and electronically with the NAIC by March 1?	NO
17.	Will the actuarial opinion on Synthetic Guaranteed Investment Contracts be filed with the state of domicile and electronically with the NAIC by March 1?	NO
18.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
19.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC by March 1?	NO
20.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC by March 1?	NO
21.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
22.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC by March 1?	NO
23.	Will the C-3 RBC Certifications required under C-3 Phase I be filed with the state of domicile and electronically with the NAIC by March 1?	NO
24.	Will the C-3 RBC Certifications required under C-3 Phase II be filed with the state of domicile and electronically with the NAIC by March 1?	NO
25.	Will the Actuarial Certifications Related to Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
26.	Will the actuarial opinion required by the Modified Guaranteed Annuity Model Regulation be filed with the state of domicile and electronically with the NAIC by March 1?	NO
27.	Will the Actuarial Certification regarding the use of 2001 Preferred Class Tables required by the Model Regulation Permitting the Recognition of Preferred Mortality Tables for Use in Determining Minimum Reserve Liabilities be filed with the state of domicile and electronically with the NAIC by March 1?	NO
28.	Will the Workers’ Compensation Carve-Out Supplement be filed by March 1? (Not applicable to fraternal benefit societies)	NO
29.	Will Supplemental Schedule O be filed with the state of domicile and the NAIC by March 1?	NO
30.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?	NO
31.	Will an approval from the reporting entity’s state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
32.	Will an approval from the reporting entity’s state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
33.	Will an approval from the reporting entity’s state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?	NO
34.	Will the VM-20 Reserves Supplement be filed with the state of domicile and the NAIC by March 1?	NO
35.	Will the Health Care Receivables Supplement be filed with the state of domicile and the NAIC by March 1?	NO
April Filing		
36.	Will the confidential Regulatory Asset Adequacy Issues Summary (RAAIS) required by the Valuation Manual be filed with the state of domicile by April 1?	YES
37.	Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO

SUPPLEMENTAL EXHIBIT AND SCHEDULE INTERROGATORIES










	Response
38. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1? (Not applicable to fraternal benefit societies).....	NO.....
39. Will the Accident and Health Policy Experience Exhibit be filed by April 1?.....	NO.....
40. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?.....	NO.....
41. Will the regulator only (non-public) Supplemental Health Care Exhibit's Allocation Report be filed with the state of domicile and the NAIC by April 1?.....	NO.....
42. Will the confidential Actuarial Memorandum required by Actuarial Guideline XXXVIII 8D be filed with the state of domicile by April 30?.....	YES.....
43. Will the Supplemental Term and Universal Life Insurance Reinsurance Exhibit be filed with the state of domicile and the NAIC by April 1?.....	NO.....
44. Will the Variable Annuities Supplement be filed with the state of domicile and the NAIC by April 1?.....	NO.....
45. Will the confidential Executive Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?.....	NO.....
46. Will the confidential Life Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?.....	NO.....
47. Will the confidential Variable Annuities Summary of the PBR Actuarial Report be filed with the state of domicile by April 1?.....	NO.....

August Filing

48. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?.....	YES.....
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Explanation	Barcode
1.	
2.	
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8. EXEMPT	
9. EXEMPT	
10. The data for this supplement is not required to be filed.	
11. The data for this supplement is not required to be filed.	
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SUPPLEMENTAL EXHIBIT AND SCHEDULE INTERROGATORIES

Explanation	Barcode
38. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 2 3 0 0 0 0 0
39. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 2 1 0 0 0 0 0
40. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 2 1 6 0 0 0 0
41. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 2 1 7 0 0 0 0
42.	
43. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 3 4 5 0 0 0 0
44. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 2 8 6 0 0 0 0
45. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 4 5 7 0 0 0 0
46. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 4 5 8 0 0 0 0
47. The data for this supplement is not required to be filed.	 5 6 3 7 5 2 0 2 2 4 5 9 0 0 0 0
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