

Schedules D3 and D4 were incomplete in our original filing. Those omissions have been corrected here.



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022

OF THE CONDITION AND AFFAIRS OF THE

United Transportation Union Insurance Association

NAIC Group Code00000000NAIC Company Code56413Employer's ID Number23-7131460

Organized under the Laws ofOhio, State of Domicile or Port of EntryOH

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [] Fraternal Benefit Societies [X]

Incorporated/Organized11/16/1970Commenced Business03/10/1971

Statutory Home Office6060 Rockside Woods Blvd N Ste 220Independence, OH, US 44131-7303

Main Administrative Office6060 Rockside Woods Blvd N Ste 220Independence, OH, US 44131-7303800-558-8842

Mail Address6060 Rockside Woods Blvd N Ste 220Independence, OH, US 44131-7303

Primary Location of Books and Records6060 Rockside Woods Blvd N Ste 220Independence, OH, US 44131-7303800-558-8842

Internet Website Addressutuia.org

Statutory Statement ContactJeffery A Becker216-227-5200

OFFICERS

PresidentKenneth L Laugel

TreasurerJeffery A Becker

SecretaryJeffery A Becker

OTHER

DIRECTORS OR TRUSTEES

Jeremy R Ferguson

Stephen J Vamos III

Gregory Hynes

Troy Johnson

Nicholas J Diccico Jr

Richard A Kusnic Sr

Patrick Sullivan

Doyle Turner

State ofOhio

County ofUnited States

SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kenneth L LaugelPresident

Jeffery A BeckerSecretary

Jeffery A BeckerTreasurer

Subscribed and sworn to before me this day of

a. Is this an original filing? Yes [] No [X]

b. If no,

1. State the amendment number.....1

2. Date filed11/29/2022

3. Number of pages attached..... 2

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE United Transportation Union Insurance Association

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
010869-JL-0	ALAMEDA CORRIDOR TRANSN AUTH CALIF REV		.07/01/2022	J.P. MORGAN SECURITIES LLC		.380,000	.380,000	.0	1.E FE
678908-4E-1	OKLAHOMA DEV FIN AUTH REV		.08/19/2022	J.P. MORGAN SECURITIES LLC		.440,000	.440,000	.0	1.A FE
0909999999. Subtotal - Bonds - U.S. Special Revenues						820,000	820,000	0	XXX
04505A-AA-7	ASHTREAD CAPITAL INC		.08/05/2022	STIFEL, NICOLAUS & CO., INC.		.199,060	.200,000	.0	2.C FE
45823T-AL-0	INTACT FINANCIAL CORP	C.....	.09/15/2022	J.P. MORGAN SECURITIES LLC		.230,000	.230,000	.0	1.G FE
581760-AS-4	MCLAREN HEALTH CARE CORP		.08/30/2022	J.P. MORGAN SECURITIES LLC		.209,257	.220,000	.2,937	1.E FE
683342-AJ-6	PCG 2022-B A4 - ABS		.07/13/2022	CITIGROUP GLOBAL MARKETS INC.		.389,995	.390,000	.0	1.A FE
88240T-AC-5	ERCOTT 2022 A3		.06/08/2022	CITIGROUP GLOBAL MARKETS INC.		.295	.0	(295)	1.A FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,028,606	1,040,000	2,642	XXX
2509999997. Total - Bonds - Part 3						1,848,606	1,860,000	2,642	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						1,848,606	1,860,000	2,642	XXX
4509999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	XXX
233203-37-1	DFA INTL CORE EQTY I		.06/30/2022	U.S. Bank	1,109,465	14,567		.0	
922040-10-0	VANGUARD INSTL INDX INST		.09/23/2022	U.S. Bank	110,887	35,270		.0	
922908-64-5	VANGUARD MD-CP 1 ADM		.09/23/2022	U.S. Bank	10,433	2,528		.0	
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						52,365	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						52,365	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						52,365	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						52,365	XXX	0	XXX
6009999999 - Totals						1,900,971	XXX	2,642	XXX

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE United Transportation Union Insurance Association

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
36202D-YY-1	G2 003427 - RMBS		09/01/2022	Paydown		1,907	1,907	1,869	1,874	.0	.32	.0	.32	.0	1,907	.0	.0	.0	.57	08/20/2033	1.A
36225B-4C-9	GN 781719 - RMBS		09/01/2022	Paydown		2,900	2,900	3,049	2,966	.0	(66)	.0	(66)	.0	2,900	.0	.0	.0	.118	02/15/2034	1.A
36290S-5M-9	G2 616552 - RMBS		09/01/2022	Paydown		13,755	13,755	14,735	14,146	.0	(391)	.0	(391)	.0	13,755	.0	.0	.0	.597	08/20/2034	1.A
0109999999. Subtotal - Bonds - U.S. Governments						18,562	18,562	19,653	18,987	0	(425)	0	(425)	0	18,562	0	0	0	.772	XXX	XXX
3128M4-JF-1	FH G02662 - RMBS		09/01/2022	Paydown		2,682	2,682	2,856	2,788	.0	(106)	.0	(106)	.0	2,682	.0	.0	.0	.132	07/01/2034	1.A
3128M7-LX-2	FH G05442 - RMBS		09/01/2022	Paydown		2,303	2,303	2,593	2,432	.0	(129)	.0	(129)	.0	2,303	.0	.0	.0	.131	07/01/2032	1.A
3128M7-VT-0	FH G05726 - RMBS		09/01/2022	Paydown		348	348	370	376	.0	(28)	.0	(28)	.0	348	.0	.0	.0	.12	08/01/2039	1.A
3128M8-3G-7	FH G06799 - RMBS		09/01/2022	Paydown		7,493	7,493	7,671	7,667	.0	(173)	.0	(173)	.0	7,493	.0	.0	.0	.180	11/01/2041	1.A
3128P7-X9-9	FH C91604 - RMBS		09/01/2022	Paydown		22,473	22,473	23,188	22,862	.0	(389)	.0	(389)	.0	22,473	.0	.0	.0	.364	01/01/2033	1.A
31292H-NC-4	FH C01287 - RMBS		09/01/2022	Paydown		903	903	916	913	.0	(10)	.0	(10)	.0	903	.0	.0	.0	.38	01/01/2032	1.A
31292S-AQ-3	FH C09015 - RMBS		09/01/2022	Paydown		10,833	10,833	11,302	11,194	.0	(361)	.0	(361)	.0	10,833	.0	.0	.0	.215	10/01/2042	1.A
31292S-AU-4	FH C09019 - RMBS		09/01/2022	Paydown		11,158	11,158	11,703	11,587	.0	(429)	.0	(429)	.0	11,158	.0	.0	.0	.223	12/01/2042	1.A
31293S-HB-5	FH A88355 - RMBS		09/01/2022	Paydown		1,571	1,571	1,588	1,587	.0	(16)	.0	(16)	.0	1,571	.0	.0	.0	.44	09/01/2039	1.A
312946-N9-3	FH A97616 - RMBS		09/01/2022	Paydown		4,805	4,805	5,241	5,233	.0	(428)	.0	(428)	.0	4,805	.0	.0	.0	.144	03/01/2041	1.A
3132M-K2-6	FH Q11213 - RMBS		09/01/2022	Paydown		13,080	13,080	13,681	13,544	.0	(464)	.0	(464)	.0	13,080	.0	.0	.0	.252	09/01/2042	1.A
3136AE-GQ-4	FNA 2013-M7 A2 - CMBS		09/01/2022	Paydown		247,868	247,868	228,600	245,687	.0	2,181	.0	2,181	.0	247,868	.0	.0	.0	3,934	12/25/2022	1.A
3136AR-TE-8	FNA 2016-M03 A2 - CMBS		09/01/2022	Paydown		41,253	41,253	42,419	41,992	.0	(739)	.0	(739)	.0	41,253	.0	.0	.0	.851	02/25/2026	1.A
31371K-7E-5	FN 254793 - RMBS		09/01/2022	Paydown		2,175	2,175	2,181	2,182	.0	(6)	.0	(6)	.0	2,175	.0	.0	.0	.74	07/01/2033	1.A
31371K-Y7-0	FN 254634 - RMBS		09/01/2022	Paydown		614	614	617	612	.0	.2	.0	.2	.0	614	.0	.0	.0	.22	02/01/2023	1.A
3137B4-HD-1	FHR 4247 AK - CMO/RMBS		09/01/2022	Paydown		14,959	14,959	16,436	15,401	.0	(442)	.0	(442)	.0	14,959	.0	.0	.0	.444	12/15/2042	1.A
31381D-2J-3	FN 458077 - RMBS		09/01/2022	Paydown		2,000	2,000	2,170	2,050	.0	(49)	.0	(49)	.0	2,000	.0	.0	.0	.92	08/01/2027	1.A
31385J-DJ-4	FN 545605 - RMBS		09/01/2022	Paydown		1,606	1,606	1,762	1,671	.0	(65)	.0	(65)	.0	1,606	.0	.0	.0	.73	05/01/2032	1.A
3138EB-PJ-8	FN AK6724 - RMBS		09/01/2022	Paydown		3,487	3,487	3,563	3,550	.0	(63)	.0	(63)	.0	3,487	.0	.0	.0	.68	03/01/2042	1.A
3138LL-MU-6	FN AN7570 - CMBS/RMBS		09/01/2022	Paydown		4,775	4,775	4,957	4,950	.0	(176)	.0	(176)	.0	4,775	.0	.0	.0	.102	02/01/2033	1.A
3138LL-XS-9	FN AN7888 - CMBS/RMBS		09/01/2022	Paydown		2,868	2,868	2,826	2,829	.0	.39	.0	.39	.0	2,868	.0	.0	.0	.64	12/01/2034	1.A
31396Y-S2-9	FNR 2008-26 ZK - CMO/RMBS		09/01/2022	Paydown		12,531	12,531	8,736	12,741	.0	(210)	.0	(210)	.0	12,531	.0	.0	.0	.417	04/25/2038	1.A
31397N-WX-9	FNR 2009-30 Z - CMO/RMBS		09/01/2022	Paydown		54,028	54,028	41,502	57,070	.0	(3,041)	.0	(3,041)	.0	54,028	.0	.0	.0	2,220	05/25/2039	1.A
31402C-U6-7	FN 725205 - RMBS		09/01/2022	Paydown		1,540	1,540	1,540	1,539	.0	.1	.0	.1	.0	1,540	.0	.0	.0	.51	03/01/2034	1.A
31403D-T8-2	FN 745875 - RMBS		09/01/2022	Paydown		1,145	1,145	1,229	1,331	.0	(186)	.0	(186)	.0	1,145	.0	.0	.0	.49	09/01/2036	1.A
31403U-PF-2	FN 758322 - RMBS		09/01/2022	Paydown		526	526	549	547	.0	(21)	.0	(21)	.0	526	.0	.0	.0	.19	12/01/2033	1.A
31404V-TS-7	FN 780061 - RMBS		09/01/2022	Paydown		772	772	772	771	.0	.1	.0	.1	.0	772	.0	.0	.0	.26	05/01/2034	1.A
31408G-Y2-7	FN 851329 - RMBS		09/01/2022	Paydown		179	179	177	177	.0	.2	.0	.2	.0	179	.0	.0	.0	.7	02/01/2036	1.A
3140FY-KX-9	FN BF0309 - RMBS		09/01/2022	Paydown		40,960	40,960	42,905	42,850	.0	(1,890)	.0	(1,890)	.0	40,960	.0	.0	.0	.923	06/01/2042	1.A
31416C-FS-0	FN 995777 - RMBS		09/01/2022	Paydown		7,469	7,469	8,122	7,875	.0	(406)	.0	(406)	.0	7,469	.0	.0	.0	.349	04/01/2033	1.A
31417E-SS-1	FN AB7728 - RMBS		09/01/2022	Paydown		11,255	11,255	11,153	11,181	.0	.74	.0	.74	.0	11,255	.0	.0	.0	.188	01/01/2043	1.A
31417F-ML-9	FN AB8462 - RMBS		09/01/2022	Paydown		9,477	9,477	8,844	9,101	.0	376	.0	376	.0	9,477	.0	.0	.0	.127	01/01/2043	1.A
31417H-CR-3	FN AB9979 - RMBS		09/01/2022	Paydown		4,127	4,127	4,321	4,299	.0	(172)	.0	(172)	.0	4,127	.0	.0	.0	.110	07/01/2043	1.A
31419A-KZ-9	FN AE0311 - RMBS		09/01/2022	Paydown		5,433	5,433	5,438	5,438	.0	(3)	.0	(3)	.0	5,433	.0	.0	.0	.124	08/01/2040	1.A
0909999999. Subtotal - Bonds - U.S. Special Revenues						548,696	548,696	521,928	556,024	0	(7,328)	0	(7,328)	0	548,696	0	0	0	12,069	XXX	XXX
06051G-EU-9	BANK OF AMERICA CORP		07/19/2022	MARKETAXESS CORPORATION		470,291	470,000	474,545	470,551	.0	(294)	.0	(294)	.0	470,257	.0	34	34	.15,898	01/11/2023	1.6 FE
14040H-BF-1	CAPITAL ONE FINANCIAL CORP		08/17/2022	BARCLAYS CAPITAL INC		284,430	285,000	299,649	292,795	.0	(2,073)	.0	(2,073)	.0	290,722	.0	(6,292)	(6,292)	8,728	04/24/2024	2.A FE
25466A-AK-7	DISCOVER BANK		07/19/2022	SERVICES LLC		299,427	300,000	301,632	300,496	.0	(247)	.0	(247)	.0	300,249	.0	(822)	(822)	9,603	02/06/2023	2.B FE
26138E-AW-9	KEURIG DR PEPPER INC		08/17/2022	JANE STREET EXECUTION SERVICES LLC		292,699	295,000	299,761	297,164	.0	(684)	.0	(684)	.0	296,480	.0	(3,781)	(3,781)	6,233	12/15/2023	2.B FE
26441C-AN-5	DUKE ENERGY CORP		08/17/2022	JANE STREET EXECUTION SERVICES LLC		289,475	290,000	298,491	294,068	.0	(1,088)	.0	(1,088)	.0	292,980	.0	(3,505)	(3,505)	9,153	04/15/2024	2.B FE
294751-AV-4	EQABS 2002-3 M1 - RMBS		09/01/2022			791	791	781	791	.0	.0	.0	.0	.0	791	.0	.0	.0	.29	11/25/2032	6. FE
50540R-AV-4	LABORATORY CORPORATION OF AMERICA HOLDIN		08/17/2022	Millennium Advisors		288,897	300,000	299,307	299,593	.0	.86	.0	.86	.0	299,678	.0	(10,781)	(10,781)	4,926	12/01/2024	2.B FE
58013M-FE-9	MCDONALD'S CORP		07/19/2022	GOLDMAN SACHS & CO		300,138	300,000	300,193	300,062	.0	(27)	.0	(27)	.0	300,035	.0	103	103	8,068	04/01/2023	2.A FE
90783W-AA-1	UNP 2006-1 1 - ABS		07/02/2022			68	68	68	66	.0	.2	.0	.2	.0	68	.0	.0	.0	.4	07/02/2030	1.D FE
92857W-BH-2	VODAFONE GROUP PLC	C	08/17/2022	Millennium Advisors		301,401	300,000	297,459	299,022	.0	294	.0	294	.0	299,316	.0	2,085	2,085	12,250	01/16/2024	2.B FE

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE United Transportation Union Insurance Association

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
928668-BE-1	VOLKSWAGEN GROUP OF AMERICA FINANCE LLC		09/20/2022	SMBC NIKKO SECURITIES		288,102	300,000	299,685	299,797	0	42	0	42	0	299,839	0	(11,737)	(11,737)	8,626	05/13/2025	2.A FE
929227-ZC-3	WAMU 2002-AR18 A - CMO/RMBS		09/01/2022	AMERICA, INC. Paydown		191	191	190	191	0	0	0	0	0	191	0	0	0	4	01/25/2033	3.B FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,815,911	2,841,050	2,871,761	2,854,596	0	(3,989)	0	(3,989)	0	2,850,607	0	(34,696)	(34,696)	83,521	XXX	XXX
2509999997. Total - Bonds - Part 4						3,383,168	3,408,308	3,413,342	3,429,607	0	(11,742)	0	(11,742)	0	3,417,864	0	(34,696)	(34,696)	96,363	XXX	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						3,383,168	3,408,308	3,413,342	3,429,607	0	(11,742)	0	(11,742)	0	3,417,864	0	(34,696)	(34,696)	96,363	XXX	XXX
4509999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
5999999999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
6009999999 - Totals						3,383,168	XXX	3,413,342	3,429,607	0	(11,742)	0	(11,742)	0	3,417,864	0	(34,696)	(34,696)	96,363	XXX	XXX