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PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2022

OF THE CONDITION AND AFFAIRS OF THE

Dealers Assurance Company

NAIC Group Code 0315 (Current) 0000 (Prior) NAIC Company Code 16705 Employer's ID Number 34-6513705

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935

Statutory Home Office 41 South High Street Suite 1700 (Street and Number), Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)

Main Administrative Office 15920 Addison Road (Street and Number)
Addison, TX, US 75001 (City or Town, State, Country and Zip Code), 800-282-8913 (Area Code) (Telephone Number)

Mail Address 15920 Addison Road (Street and Number or P.O. Box), Addison, TX, US 75001 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 15920 Addison Road (Street and Number)
Addison, TX, US 75001 (City or Town, State, Country and Zip Code), 800-282-8913 (Area Code) (Telephone Number)

Internet Website Address www.dealersassurance.com

Statutory Statement Contact Steven C. Barrett (Name), 800-282-8913 (Area Code) (Telephone Number)
Steven.Barrett@iaawg.com (E-mail Address), 972-813-0812 (FAX Number)

OFFICERS

President	Kristen Anne Gruber	Treasurer	Linda Marie Toy
Vice President & Chief U.S. Counsel	Maryann Elizabeth Norwood	Assistant Secretary	Lisa Aileen Kirk

OTHER

Michael Lee Stickney, Managing Director	Kirk Alan Borchardt, Executive Vice President & Chief Legal Counsel	Linda Marie Toy, Vice President & Chief Financial Officer

DIRECTORS OR TRUSTEES

Warren Van Genderen	Michael Lee Stickney	Douglas Alexander Carrothers
Denis Yves Ricard	Marilyn Rose Froelich	Douglas Curtis Oksendahl
Shelby Land Peavy	Normand Pepin	Yvon Charest
Willisch Ludwig #	Emery Sauve #	Loretta Davis #

State of Texas
County of McLennan
SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen A. Gruber — DIGITALLY SIGNED 11/04/2022 09:37 AM CDT	Maryann Norwood — DIGITALLY SIGNED 11/04/2022 09:38 AM CDT	Linda Toy — DIGITALLY SIGNED 11/04/202 CDT
Kristen Anne Gruber President	Maryann Elizabeth Norwood Secretary	Linda Marie Toy Treasurer

Subscribed and sworn to before me this 4th day of November, 2022

Jordan Benmeir — DIGITALLY SIGNED 11/04/2022 09:43 AM CDT

Jordan Benmeir
Lead Legal & Compliance Analyst
04/19/2026

NOTARY PUBLIC STATE OF TEXAS
JORDAN BENMEIR
Notary ID #131539361
My Commission Expires
April 19, 2026

Online Notary Public. This notarial act involved the use of online audio/video communication technology.

a. Is this an original filing? Yes [X] No [ ]
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached

KAG MN LT JB

ASSETS

		Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1.	Bonds	221,176,167		221,176,167	206,684,314
2.	Stocks:				
	2.1 Preferred stocks	2,048,606		2,048,606	1,094,577
	2.2 Common stocks	15,958,027		15,958,027	13,799,358
3.	Mortgage loans on real estate:				
	3.1 First liens			0	176,498
	3.2 Other than first liens			0	0
4.	Real estate:				
	4.1 Properties occupied by the company (less $ .... encumbrances)	3,597,838		3,597,838	3,692,244
	4.2 Properties held for the production of income (less $ .... encumbrances)			0	0
	4.3 Properties held for sale (less $ .... encumbrances)			0	0
5.	Cash ($ 26,071,602), cash equivalents ($ 9,315,728) and short-term investments ($ 22,422,355)	57,809,685		57,809,685	54,445,853
6.	Contract loans (including $ .... premium notes)			0	0
7.	Derivatives			0	0
8.	Other invested assets			0	0
9.	Receivables for securities			0	0
10.	Securities lending reinvested collateral assets			0	0
11.	Aggregate write-ins for invested assets	0	0	0	0
12.	Subtotals, cash and invested assets (Lines 1 to 11)	300,590,323	0	300,590,323	279,892,844
13.	Title plants less $ .... charged off (for Title insurers only)			0	0
14.	Investment income due and accrued	1,315,093		1,315,093	1,073,310
15.	Premiums and considerations:				
	15.1 Uncollected premiums and agents' balances in the course of collection	14,186,677		14,186,677	7,567,317
	15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $ .... earned but unbilled premiums)	839,830		839,830	830,257
	15.3 Accrued retrospective premiums ($ ....) and contracts subject to redetermination ($ ....)			0	0
16.	Reinsurance:				
	16.1 Amounts recoverable from reinsurers			0	0
	16.2 Funds held by or deposited with reinsured companies			0	0
	16.3 Other amounts receivable under reinsurance contracts			0	0
17.	Amounts receivable relating to uninsured plans			0	0
18.1	Current federal and foreign income tax recoverable and interest thereon			0	0
18.2	Net deferred tax asset	4,814,564	2,306,355	2,508,209	1,914,879
19.	Guaranty funds receivable or on deposit			0	0
20.	Electronic data processing equipment and software			0	0
21.	Furniture and equipment, including health care delivery assets ($ ....)			0	0
22.	Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23.	Receivables from parent, subsidiaries and affiliates	7,737		7,737	195,153
24.	Health care ($ ....) and other amounts receivable			0	0
25.	Aggregate write-ins for other than invested assets	1,852,873	0	1,852,873	1,234,956
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	323,607,097	2,306,355	321,300,742	292,708,716
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28.	Total (Lines 26 and 27)	323,607,097	2,306,355	321,300,742	292,708,716
	DETAILS OF WRITE-INS				
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199.	Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501.	Accounts Receivable	1,852,873		1,852,873	1,234,956
2502.				0	0
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,852,873	0	1,852,873	1,234,956

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31, Prior Year
1.	Losses (current accident year $ 940,243)	940,243	940,243
2.	Reinsurance payable on paid losses and loss adjustment expenses		0
3.	Loss adjustment expenses		
4.	Commissions payable, contingent commissions and other similar charges	1,417,247	1,472,308
5.	Other expenses (excluding taxes, licenses and fees)	2,333,641	2,600,292
6.	Taxes, licenses and fees (excluding federal and foreign income taxes)	1,764,556	2,955,842
7.1	Current federal and foreign income taxes (including $ on realized capital gains (losses))	1,787,411	1,515,540
7.2	Net deferred tax liability		
8.	Borrowed money $ and interest thereon $		
9.	Unearned premiums (after deducting unearned premiums for ceded reinsurance of $ 391,814,374 and including warranty reserves of $ 68,919,904 and accrued accident and health experience rating refunds including $ 0 for medical loss ratio rebate per the Public Health Service Act)	90,346,245	75,438,801
10.	Advance premium		
11.	Dividends declared and unpaid:		
	11.1 Stockholders		
	11.2 Policyholders		
12.	Ceded reinsurance premiums payable (net of ceding commissions)	4,998,039	10,712,310
13.	Funds held by company under reinsurance treaties	99,088,191	75,642,550
14.	Amounts withheld or retained by company for account of others	6,220,522	6,342,924
15.	Remittances and items not allocated	473,734	7,365,606
16.	Provision for reinsurance (including $ certified)		0
17.	Net adjustments in assets and liabilities due to foreign exchange rates		
18.	Drafts outstanding		
19.	Payable to parent, subsidiaries and affiliates	510,279	223,405
20.	Derivatives	0	0
21.	Payable for securities		1,383,901
22.	Payable for securities lending		
23.	Liability for amounts held under uninsured plans		
24.	Capital notes $ and interest thereon $		
25.	Aggregate write-ins for liabilities	0	0
26.	Total liabilities excluding protected cell liabilities (Lines 1 through 25)	209,880,108	186,593,722
27.	Protected cell liabilities		
28.	Total liabilities (Lines 26 and 27)	209,880,108	186,593,722
29.	Aggregate write-ins for special surplus funds	0	0
30.	Common capital stock	4,200,990	4,200,990
31.	Preferred capital stock		
32.	Aggregate write-ins for other than special surplus funds	0	0
33.	Surplus notes	3,000,000	3,000,000
34.	Gross paid in and contributed surplus	9,732,810	9,732,810
35.	Unassigned funds (surplus)	94,486,834	89,181,194
36.	Less treasury stock, at cost:		
	36.1 shares common (value included in Line 30 $)		
	36.2 shares preferred (value included in Line 31 $)		
37.	Surplus as regards policyholders (Lines 29 to 35, less 36)	111,420,634	106,114,994
38.	Totals (Page 2, Line 28, Col. 3)	321,300,742	292,708,716
	DETAILS OF WRITE-INS		
2501.			
2502.			
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0
2599.	Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.			
2902.			
2903.			
2998.	Summary of remaining write-ins for Line 29 from overflow page	0	0
2999.	Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.			
3202.			
3203.			
3298.	Summary of remaining write-ins for Line 32 from overflow page	0	0
3299.	Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

		1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
	UNDERWRITING INCOME			
1.	Premiums earned:			
	1.1 Direct (written $ 200,390,779)	165,239,749	143,904,693	191,679,109
	1.2 Assumed (written $ 4,291,432)	1,869,120	2,059,279	2,756,699
	1.3 Ceded (written $ 169,728,900)	147,061,002	132,891,771	174,850,798
	1.4 Net (written $ 34,953,311)	20,047,867	13,072,201	19,585,010
	DEDUCTIONS:			
2.	Losses incurred (current accident year $ 3,776,987):			
	2.1 Direct	91,963,581	80,614,806	108,614,623
	2.2 Assumed	892,098	854,370	1,253,080
	2.3 Ceded	89,491,097	78,350,162	105,327,582
	2.4 Net	3,364,582	3,119,014	4,540,121
3.	Loss adjustment expenses incurred			
4.	Other underwriting expenses incurred	5,510,859	3,737,051	4,428,075
5.	Aggregate write-ins for underwriting deductions	0	0	0
6.	Total underwriting deductions (Lines 2 through 5)	8,875,441	6,856,065	8,968,196
7.	Net income of protected cells			
8.	Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	11,172,426	6,216,136	10,616,814
	INVESTMENT INCOME			
9.	Net investment income earned	3,903,634	3,034,790	4,128,563
10.	Net realized capital gains (losses) less capital gains tax of $ (297,765)	(1,120,162)	471,640	768,702
11.	Net investment gain (loss) (Lines 9 + 10)	2,783,472	3,506,430	4,897,265
	OTHER INCOME			
12.	Net gain or (loss) from agents' or premium balances charged off (amount recovered $ amount charged off $)	0	0	0
13.	Finance and service charges not included in premiums			
14.	Aggregate write-ins for miscellaneous income	267,997	(359,263)	(845,390)
15.	Total other income (Lines 12 through 14)	267,997	(359,263)	(845,390)
16.	Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	14,223,895	9,363,303	14,668,689
17.	Dividends to policyholders			
18.	Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	14,223,895	9,363,303	14,668,689
19.	Federal and foreign income taxes incurred	4,329,909	2,336,993	3,948,749
20.	Net income (Line 18 minus Line 19)(to Line 22)	9,893,986	7,026,310	10,719,940
	CAPITAL AND SURPLUS ACCOUNT			
21.	Surplus as regards policyholders, December 31 prior year	106,114,994	94,181,330	94,181,330
22.	Net income (from Line 20)	9,893,986	7,026,310	10,719,940
23.	Net transfers (to) from Protected Cell accounts			
24.	Change in net unrealized capital gains (losses) less capital gains tax of $ (1,088,152)	(4,093,524)	(164,415)	536,701
25.	Change in net unrealized foreign exchange capital gain (loss)			
26.	Change in net deferred income tax	1,134,303	478,284	1,044,427
27.	Change in nonadmitted assets	(1,629,125)	(124,363)	(367,404)
28.	Change in provision for reinsurance			0
29.	Change in surplus notes			
30.	Surplus (contributed to) withdrawn from protected cells			
31.	Cumulative effect of changes in accounting principles			
32.	Capital changes:			
	32.1 Paid in			
	32.2 Transferred from surplus (Stock Dividend)			
	32.3 Transferred to surplus			
33.	Surplus adjustments:			
	33.1 Paid in	0	0	0
	33.2 Transferred to capital (Stock Dividend)			
	33.3 Transferred from capital			
34.	Net remittances from or (to) Home Office			
35.	Dividends to stockholders			
36.	Change in treasury stock			0
37.	Aggregate write-ins for gains and losses in surplus	0	0	0
38.	Change in surplus as regards policyholders (Lines 22 through 37)	5,305,640	7,215,816	11,933,664
39.	Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	111,420,634	101,397,146	106,114,994
	DETAILS OF WRITE-INS			
0501.				
0502.				
0503.				
0598.	Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599.	Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401.	Misc. Income (Expense)		(5,575)	0
1402.	Admin/Service Fee Income		174,275	223,716
1403.	Interest Expense-Funds held	267,997	(527,963)	(1,069,106)
1498.	Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499.	Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	267,997	(359,263)	(845,390)
3701.				
3702.				
3703.				
3798.	Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799.	Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

CASH FLOW

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
	Cash from Operations			
1.	Premiums collected net of reinsurance	22,612,107	35,547,155	47,905,340
2.	Net investment income	4,267,613	3,412,993	4,762,092
3.	Miscellaneous income	267,995	(359,263)	(845,390)
4.	Total (Lines 1 to 3)	27,147,715	38,600,885	51,822,043
5.	Benefit and loss related payments	3,364,582	3,119,014	4,475,768
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7.	Commissions, expenses paid and aggregate write-ins for deductions	7,026,908	4,207,786	4,392,737
8.	Dividends paid to policyholders	0	0	0
9.	Federal and foreign income taxes paid (recovered) net of $ .... tax on capital gains (losses)	3,760,273	4,299,148	3,733,536
10.	Total (Lines 5 through 9)	14,151,763	11,625,948	12,602,041
11.	Net cash from operations (Line 4 minus Line 10)	12,995,952	26,974,937	39,220,002
	Cash from Investments			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	25,869,926	33,103,065	53,609,091
	12.2 Stocks	13,974,383	10,471,163	13,363,057
	12.3 Mortgage loans	176,498	14,189	19,092
	12.4 Real estate	0	0	0
	12.5 Other invested assets	0	0	0
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
	12.7 Miscellaneous proceeds	0	1,885,379	1,383,901
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	40,020,808	45,473,796	68,375,141
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	41,038,489	67,771,112	95,377,590
	13.2 Stocks	23,518,278	11,135,749	17,821,257
	13.3 Mortgage loans	0	0	0
	13.4 Real estate	0	0	0
	13.5 Other invested assets	0	0	0
	13.6 Miscellaneous applications	1,383,901	0	0
	13.7 Total investments acquired (Lines 13.1 to 13.6)	65,940,668	78,906,862	113,198,847
14.	Net increase (or decrease) in contract loans and premium notes	0	0	0
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(25,919,861)	(33,433,066)	(44,823,707)
	Cash from Financing and Miscellaneous Sources			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes	0	0	0
	16.2 Capital and paid in surplus, less treasury stock	0	0	0
	16.3 Borrowed funds	0	0	0
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
	16.5 Dividends to stockholders	0	0	0
	16.6 Other cash provided (applied)	16,287,740	23,861,096	48,332,308
17.	Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	16,287,740	23,861,096	48,332,308
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	3,363,831	17,402,968	42,728,603
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	54,445,854	11,717,251	11,717,251
	19.2 End of period (Line 18 plus Line 19.1)	57,809,685	29,120,219	54,445,854

Note: Supplemental disclosures of cash flow information for non-cash transactions:

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies

A. Accounting policy

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2022	2021
Net Income					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	$ 9,893,986	$ 10,719,940
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	$ 9,893,986	$ 10,719,940
Surplus					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	$111,420,634	$106,114,994
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				$111,420,634	$106,114,994

B. Use of Estimates in Preparation of the Financial Statement

No significant change.

C. Accounting Policy

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. First lien mortgage loans on real estate are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships, and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount based on past loss experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant change.

Note 3 – Business Combinations and Good Will

No significant change.

Note 4 – Discontinued Operations

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 5 – Investments

D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors

2.-3. Not applicable.

4. Loan-backed and structured securities in unrealized loss positions as of period end, stratified based on length of time continuously in these unrealized positions, are as follows:

a.	The aggregate amount of unrealized losses:		
	1.	Less than 12 Months	3,200,062
	2.	12 Months or Longer	2,166,464
b.	The aggregate related fair value of securities with unrealized losses:		
	1.	Less than 12 Months	32,452,265
	2.	12 Months or Longer	10,218,765

5. No impairments exist other than interest rate related declines classified as temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Repurchase Agreements Transactions Account as Secured Borrowings

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Lending

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

Not applicable

R. Share of Cash Pool by Asset Type

Not applicable

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 – Investment Income

No significant change.

Note 8 – Derivative Instruments

The Company does not own derivative instruments.

Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

1.		9/30/2022			12/31/2021			Change		
		Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a	Gross Deferred Tax Assets - Actual	$4,510,032	$ 538,080	$5,048,112	$3,424,711	$ -	$3,424,711	$1,085,321	$ 538,080	$1,623,401
b	Statutory Valuation Allowance Adjustments	-	-	-	-	-	-	-	-	-
c	Adjusted Gross Deferred Tax Assets	4,510,032	538,080	5,048,112	3,424,711	-	3,424,711	1,085,321	538,080	1,623,401
d	Deferred Tax Assets Nonadmitted	2,306,355	-	2,306,355	677,230	-	677,230	1,629,125	-	1,629,125
e	Subtotal Net Admitted Deferred Tax Asset	2,203,677	538,080	2,741,757	2,747,481		2,747,481	(543,804)	538,080	(5,724)
f	Deferred Tax Liabilities	233,548	-	233,548	282,530	550,072	832,602	(48,982)	(550,072)	(599,054)
g	Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	$1,970,129	$ 538,080	$2,508,209	$2,464,951	$(550,072)	$1,914,879	$ (494,822)	$1,088,152	$ 593,330

NOTES TO FINANCIAL STATEMENTS

2. Admission calculation components SSAP No. 101:

		9/30/2022			12/31/2021			Change		
		Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a	Federal Income Taxes Paid in Prior Years Recoverable through loss carrybacks [3 year Carryback]	$ 1,749,301	$ -	$ 1,749,301	$ 1,281,193	$ -	$ 1,281,193	$ 468,108	$ -	$ 468,108
b	Adjusted Gross Deferred Tax Assets Expected to Be Realized (Excluding the amount of Deferred Tax Assets from 2 (a) After Application of of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below)	758,908		758,908	633,686		633,686	125,222		125,222
	1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	758,908	-	758,908	633,686	-	633,686	125,222	-	125,222
	2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshhold	XXX	XXX	16,600,972	XXX	XXX	15,630,017	XXX	XXX	970,955
c	Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	233,548	-	233,548	832,602	-	832,602	(599,054)	-	(599,054)
d	Deferred Tax Assets Admitted as the result of application of SSAP 101 Total (2(a) + 2(b) + 2 (c))	$ 2,741,757	$ -	$ 2,741,757	$ 2,747,481	$ -	$ 2,747,481	$ (5,724)	$ -	$ (5,724)

3.		2022	2021
a	Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount	1158%	1108%
b	Amount of Adjusted Capital and Surplus Used to Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	$108,912,425	$104,200,115

4. Impact of Tax Planning Strategies:

		6/30/2022			12/31/2021			Change		
		Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent
a	Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	89%	11%	100%	100%	0%	100%	-11%	11%	0%
b	Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	79%	21%	100%	100%	0%	100%	-21%	21%	0%

c Does the Company's tax-planning stragegies include the use of Reinsurance? Yes____X____ No______

B. Deferred tax liabilities not recognized at September 30, 2022 and December 31, 2021 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

NOTES TO FINANCIAL STATEMENTS

C. The provisions for incurred tax on earnings are as follows:

	9/30/2022	12/31/2021	Change
1. Current Income Tax:			
Federal	$ 4,329,909	$ 3,948,749	$ 381,160
Foreign	-	-	-
Subtotal	4,329,909	3,948,749	381,160
Federal income tax on net capital gains	(297,765)	204,613	(502,378)
Federal and foreign income taxes incurred	$ 4,032,144	$ 4,153,362	$ (121,218)
2. Deferred Tax Assets:			
Ordinary			
Discounting of unpaid losses	$ 13,822	$ 13,822	-
Unearned premium reserve	3,794,542	3,168,430	626,112
Investments	247,611	76,079	171,532
Restricted stock expense	236,395	166,381	70,014
Other	217,662	-	217,662
Subtotal	4,510,032	3,424,712	1,085,320
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	2,306,355	677,230	1,629,125
Admitted ordinary deferred tax assets	2,203,677	2,747,482	(543,805)
Capital			
Investments	538,080	-	538,080
Nonadmitted	-	-	-
Admitted capital deferred tax assets	538,080	-	538,080
Admitted deferred tax assets	$ 2,741,757	$ 2,747,482	$ (5,725)
Deferred Tax Liabilities:			
Ordinary			
Fixed assets	233,548	282,530	(48,982)
Subtotal	$ 233,548	$ 282,530	$ (48,982)
Capital			
Investments	-	550,073	(550,073)
Subtotal	-	550,073	(550,073)
Deferred Tax Liabilities	233,548	832,603	(599,055)
Net deferred tax assets/liabilites	$ 2,508,209	$ 1,914,879	$ 593,330

D. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus as of the period ended was comprised of the following:

	9/30/2022	12/31/2021	Change
Adjusted gross deferred tax assets	$ 5,048,112	$ 3,424,712	$ 1,623,400
Total deferred tax liabilities	233,548	832,603	(599,055)
Net deferred tax assets (liabilities)	4,814,564	2,592,109	2,222,455
Tax effect of unrealized gains (losses)			(1,088,152)
Change in net deferred income tax			$ 1,134,303

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended September 30, 2022 and December 31, 2021, differed from the amounts computed by applying the federal statutory rate of 21% in 2022 and in 2021 to pretax net gain or loss from operations as a result of the following:

	9/30/2022	12/31/2021
Pretax net income (loss)	$ 13,926,130	$ 14,873,303
Provision computed at statutory rate	2,924,487	3,123,394
Prior period over accrual	-	-
Other	(26,646)	(14,459)
Total	$ 2,897,841	$ 3,108,935
Federal and foreign income taxes incurred	4,329,909	3,948,749
Federal Income tax on net capital gains	(297,765)	204,613
Change in net deferred income taxes	(1,134,303)	(1,044,427)
Total statutory income tax	$ 2,897,841	$ 3,108,935

E. At September 30, 2022, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

NOTES TO FINANCIAL STATEMENTS

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities

No significant change.

Note 11 – Debt

The Company does not have any outstanding debt.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other

No significant change.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 – Liabilities, Contingencies and Assessments

No significant change.

Note 15 – Leases

No significant change.

Note 16 – Information About Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 – Direct Premium Written/Produced by Managing General Agents

No significant change.

Note 20 – Fair Value Measurements

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three- level fair value hierarchy as reflected in the tables below. The three-level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

NOTES TO FINANCIAL STATEMENTS

A. Securities measured and reported at fair value as of September 30, 2022:

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value					
Cash Equivalents					
Exempt MM Fund	$ 75,091	$ -	$ -	$ -	$ 75,091
Other MM Fund	8,440,790	-	-	-	8,440,790
Total Cash Equivalent	8,515,881	-	-	-	8,515,881
Bonds					
SVO Identified Funds	4,666,019	-	-	-	4,666,019
Common Stock:					
Indust. & Misc	7,703,396	-	-	-	7,703,396
Mutual Funds	2,795,203	-	-	-	2,795,203
Exchange Traded Funds	5,459,427				5,459,427
Total Common Stock	15,958,026	-	-	-	15,958,026
Preferred Stock:					
Indust. & Misc	2,048,606	-	-	-	2,048,606
Derivative assets	-	-	-	-	-
Separate account assets	-	-	-	-	-
					-
Total assets at fair value	31,188,532	-	-	-	31,188,532
Liabilities at fair value	-	-	-	-	-
Total liabilities at fair value	$ -	$ -	$ -	$ -	$ -

B. Other fair value disclosures
Not applicable.

C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three -level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.

At September 30, 2022

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	$ 197,717,350	$ 221,176,167	$ 28,856,175	$ 168,861,174	$ -	$ -
Preferred Stock	2,048,606	2,048,606	2,048,606	-	-	-
Common Stock	15,958,027	15,958,027	15,958,027	-	-	-
Mortgage loans	-	-	-	-	-	-
Cash , cash equivalents and short-term investments	57,809,685	57,809,685	57,809,685	-	-	-

At December 31, 2021

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	$ 208,385,535	$ 206,684,314	$ 29,754,331	$ 178,631,204	$ -	$ -
Preferred Stock	1,094,577	1,094,577	1,094,577	-	-	-
Common Stock	13,799,358	13,799,358	13,799,358	-	-	-
Mortgage loans	-	176,498	-	-	-	176,498
Cash , cash equivalents and short-term investments	54,445,836	54,445,853	54,445,836	-	-	-

D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.

On June 1, 2022, the mortgage loan issued by the Company was paid in full.

E. No investments are measured at NAV.

Note 21 – Other Items

No significant change.

Note 22 – Subsequent Events

No significant change.

Note 23 – Reinsurance

No significant change.

Note 24 – Retrospectively Rated Contracts & Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

NOTES TO FINANCIAL STATEMENTS

Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2021 were $940,243. As of September 30, 2022, $527,837 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in $412,406 of favorable loss development in prior years.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date; and the balance is settled within 180 days of incurred date.

Note 26 – Intercompany Pooling Arrangements

Not applicable.

Note 27 – Structure Settlements

Not applicable.

Note 28 – Health Care Receivables

Not applicable.

Note 29 – Participating Policies

Not applicable.

Note 30 – Premium Deficiency Reserves

No significant change.

Note 31 – High Deductibles

Not applicable.

Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses

Not applicable.

Note 33 – Asbestos/Environmental Reserves

Not applicable.

Note 34 – Subscriber Savings Accounts

Not applicable.

Note 35 – Multiple Peril Crop Insurance

Not applicable.

Note 36 – Financial Guaranty Insurance

The Company does not write financial guaranty insurance.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/02/2019

6.4 By what department or departments?
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the U.S.	Quebec, Canada	NO	NO	NO	NO

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$
14.22	Preferred Stock	$ 0	$
14.23	Common Stock	$ 0	$
14.24	Short-Term Investments	$ 0	$
14.25	Mortgage Loans on Real Estate	$ 0	$
14.26	All Other	$ 0	$
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$	$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 $ 0

16.3 Total payable for securities lending reported on the liability page. $ 0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank	Cincinnati, OH
US Bank	Winston Salem, NC
Wells Fargo Bank	Richmond, VA
Citibank, NA	New York, NY
RBS Citizens, NA	Providence, RI
Conduent State & Local Solutions	Quincy, MA
Bank of New York Mellon	Atlanta, GA
First National Bankers Bank	Little Rock, AR
Ameritrade	Omaha, NE
Umpqua Bank	San Diego, CA

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["…that have access to the investment accounts"; "…handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions)	A
Asset Allocation & MGMT. Co.	U
Bank of NY Wealth Mgmt.	U
San Luis Wealth Advisors, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
Exempt	Industrial Alliance Investment Management Inc. (make investment decisions)	N/A	N/A	DS
109875	Asset Allocation & MGMT. Co.	549300DSCHEVIV5W3U963	Securities Exchange Commission	NO
8275	Bank of NY Wealth Mgmt.	N/A	Office of Controller of Currency	NO
309506	San Luis Wealth Advisors, LLC	N/A	Securities Exchange Commission	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

    Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

    Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The shares were purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]
   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]
   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1 Line of Business	2 Maximum Interest	3 Discount Rate	4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
		TOTAL	0	0	0	0	0	0	0	0

5. Operating Percentages:

   5.1 A&H loss percent %

   5.2 A&H cost containment percent %

   5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date $

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date $

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
00000	AA-7771140	G9 Forward Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-7771139	G10 Forward Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-7771344	Mostamandi Reinsurance Company, Inc.	NAT	Unauthorized		
00000	AA-7771254	Paramount Auto Reinsurance Company, Inc.	NAT	Unauthorized		
00000	AA-7771348	B&B&B Reinsurance Company, Inc.	NAT	Unauthorized		
00000	AA-7771361	Zoomers RV Reinsurance Company, Inc.	NAT	Unauthorized		
00000	AA-00510342	Liberty Ridge Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-00510355	Formula Imports Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-00510369	Atlantic Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-00510370	Auto Zip Reinsurance Company, Inc.	TCA	Unauthorized		
00000	AA-00510371	OBMJGM Reinsurance Company , Inc.	TCA	Unauthorized		
00000	AA-7771430	Zirgin Reinsurance Company , Inc.	NAT	Unauthorized		
00000	AA-0053293	Daylay Reinsurance Company, Inc.	TCA	Unauthorized		

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
States, etc.	Active Status (a)	2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama AL	L	4,071,495	2,735,395	1,433,409	974,228	9,558	9,558
2. Alaska AK	L	166,606	147,375	99,828	60,217		
3. Arizona AZ	L	902,442	660,035	216,937	232,375	17	17
4. Arkansas AR	L	1,862,857	1,541,300	878,418	1,000,672	127,534	127,534
5. California CA	L	2,162,401	2,543,974	607,366	501,725	10,899	10,899
6. Colorado CO	L	17,826,548	13,167,273	6,793,917	2,596,933	225,914	225,914
7. Connecticut CT	L	1,310,696	768,828	306,340	196,144		
8. Delaware DE	L	2,767,997	9,356,414	4,987,311	5,075,811	269,304	269,304
9. District of Columbia DC	L	5,699	0	0			
10. Florida FL	L	13,562,821	12,240,719	4,762,189	4,351,744	617,573	617,573
11. Georgia GA	L	2,219,845	2,559,980	1,035,983	1,380,242	13,536	13,536
12. Hawaii HI	L	4,364		0			
13. Idaho ID	L	76,485	33,405	35,501	1,271	0	0
14. Illinois IL	L	1,950,267	2,843,077	869,294	1,094,454	72	72
15. Indiana IN	L	3,048,385	2,572,663	1,564,318	1,141,046		
16. Iowa IA	L	1,492,619	1,371,232	817,567	474,381		
17. Kansas KS	L	225,019	234,865	132,811	43,276	14,543	14,543
18. Kentucky KY	L	1,201,220	1,127,237	426,043	496,019	13,028	13,028
19. Louisiana LA	L	2,712,015	2,158,027	1,745,668	1,181,131	267,883	267,883
20. Maine ME	L	645,827	960,941	304,434	390,107		
21. Maryland MD	L	1,451,708	1,073,194	619,703	640,591		
22. Massachusetts MA	L	3,409,310	1,586,977	341,043	280,236		
23. Michigan MI	L	9,575,582	7,156,853	5,482,067	4,066,557		
24. Minnesota MN	L	1,652,469	1,704,946	969,998	1,057,779		
25. Mississippi MS	L	1,848,038	1,677,278	980,338	668,646		
26. Missouri MO	L	1,767,027	1,953,319	884,807	861,426		
27. Montana MT	L	84,436	95,594	31,712	34,152	0	0
28. Nebraska NE	L	262,338	321,637	186,912	251,437	50,039	50,039
29. Nevada NV	L	501,527	347,581	58,424	93,501		
30. New Hampshire NH	L	232,609	194,359	51,119	75,544		
31. New Jersey NJ	L	2,436,962	2,210,328	1,462,136	831,751	1,682	1,682
32. New Mexico NM	L	2,212,645	1,519,177	404,301	380,750		
33. New York NY	L	5,680,054	3,066,313	2,413,075	2,193,610		
34. North Carolina NC	L	16,150,680	14,338,494	11,910,633	6,606,514	1,023,649	1,023,649
35. North Dakota ND	L	75,668	93,866	43,311	34,594		
36. Ohio OH	L	21,044,004	42,427,415	13,463,006	11,241,244	406,670	406,670
37. Oklahoma OK	L	622,400	1,579,717	296,628	1,034,911	47,788	47,788
38. Oregon OR	L	1,216,955	397,458	210,152	143,330	0	0
39. Pennsylvania PA	L	4,021,586	4,252,036	1,427,014	1,486,261	3,405	3,405
40. Rhode Island RI	L	421,464	218,588	157,006	105,199		
41. South Carolina SC	L	649,680	386,969	204,695	187,008	149	149
42. South Dakota SD	L	149,576	57,456	44,681	64,049		
43. Tennessee TN	L	3,698,250	2,837,194	1,554,640	1,102,873	11,634	11,634
44. Texas TX	L	34,881,454	36,611,863	12,614,970	17,526,510	4,851,221	4,851,221
45. Utah UT	L	240,528	167,043	27,832	76,616	0	0
46. Vermont VT	L	105,848	100,316	73,384	76,720		
47. Virginia VA	L	1,749,524	1,002,406	595,560	484,418		
48. Washington WA	L	24,031,072	24,734,959	7,387,243	6,906,087	1,002,873	1,002,873
49. West Virginia WV	L	1,064,003	852,124	543,409	352,170	17,684	17,684
50. Wisconsin WI	L	852,236	1,391,807	470,994	528,781	2,207	2,207
51. Wyoming WY	L	85,538	50,965	35,453	29,765	13	13
52. American Samoa AS	N						
53. Guam GU	N						
54. Puerto Rico PR	N						
55. U.S. Virgin Islands VI	N						
56. Northern Mariana Islands MP	N						
57. Canada CAN	N						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	200,390,779	211,430,972	91,963,580	80,614,806	8,988,875	8,988,875
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG 51

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI) 0

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile 0

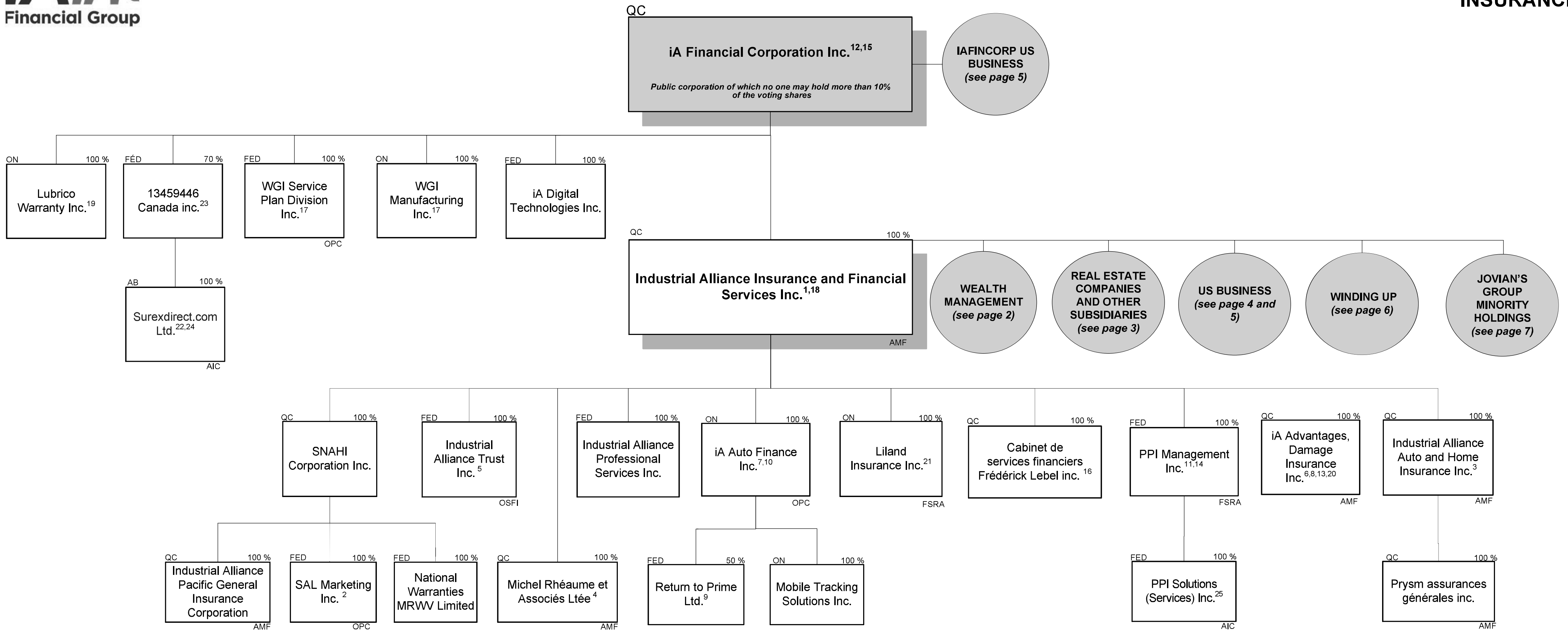
R - Registered - Non-domiciled RRGs 0

Q - Qualified - Qualified or accredited reinsurer 0

N - None of the above - Not allowed to write business in the state 6

CORPORATE CHART

INSURANCE

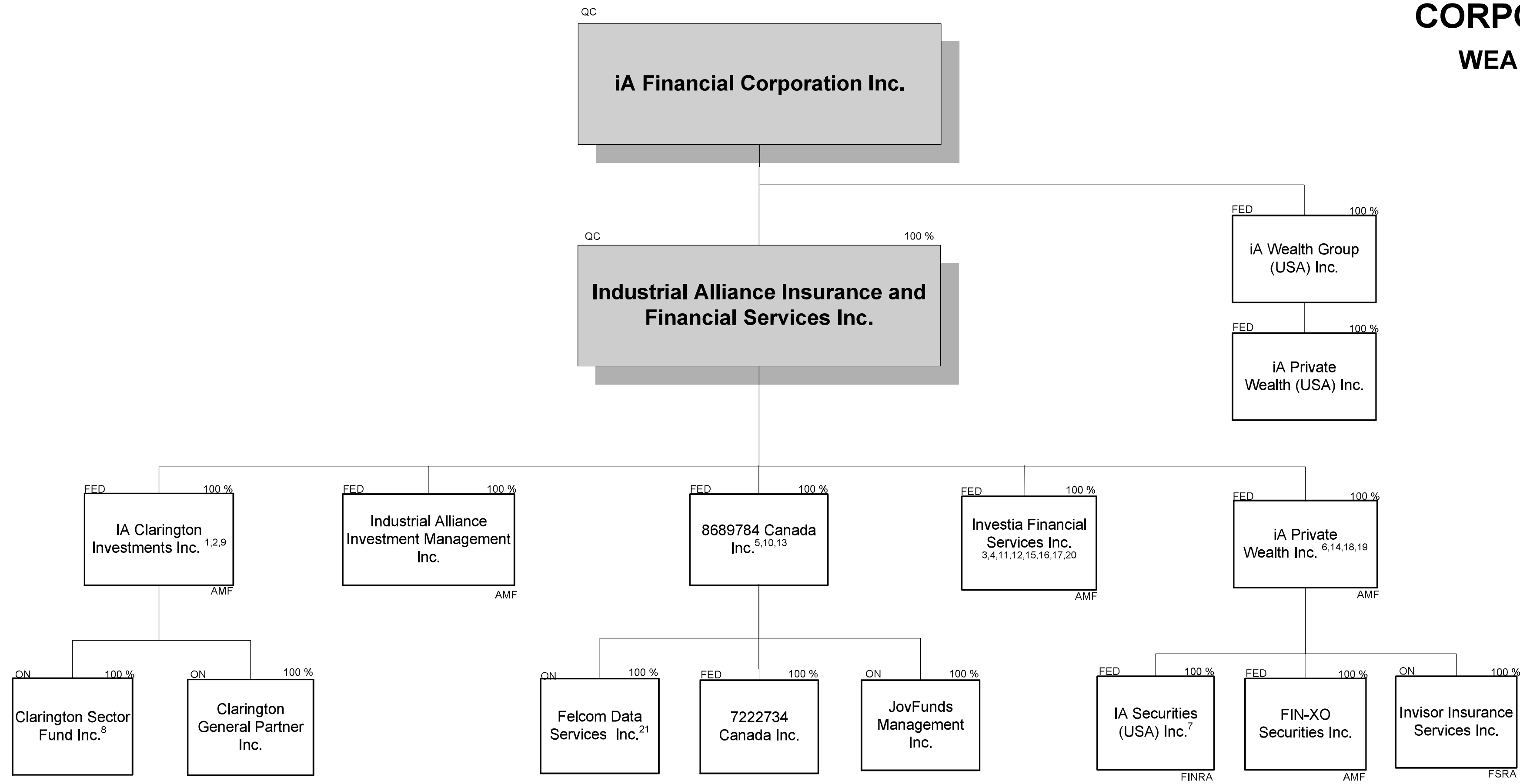


[1] Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (iAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.
[2] Formely Seaboard Marketing Inc.
[3] Formely Industrial Alliance General Insurance Company.
[4] Formerly Société d'Investissements L'Excellence Ltée.
[5] Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.
[6] Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1st, 2014.
[7] Aquisition of CTL Corp. by IAIFS on October 1st, 2015.
[8] Amalgamation of iA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1st, 2017.
[9] Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3rd, 2017.
[10] CTL Corp. changed its name to iA Auto Finance Inc.on June 19, 2017.
[11] Acquisition of PPI Management Inc. by IAIFS on February 26,2018
[12] Incorporated by IAIFS on February 20, 2018.
[13] Amalgamation between iA Avantages, Cabinet d'assurance inc, Premier Plan Assurance inc. et Performa Assurance inc. on January 1st, 2019. The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.
[14] Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1st, 2019. The name of the amalgamated corporation is PPI Management Inc.
[15] January 1st, 2019, effective date of the Arrangement.
[16] Acquisition of Cabinet de services financiers Frédérick Lebel Inc. by IAIFS on August 15, 2019.
[17] Acquisition of WGI Manufacturing Inc. and WGI Services Plan Division Inc. January 1st, 2020.
[18] Amalgamation between Corporation Financière l'Excellence ltée and The Excellence Life Insurance Company on January 1st, 2020.
[19] Acquisition of LWI Holdings Ltd. On January 8, 2020 and amalgamation with Lubrico Warranty Inc.
[20] Formerly iA Avantages, Cabinet d'assurances inc.
[21] Acquisition of Liland Insurance Inc. by IAIFS on October 1st, 2020.
[22] Acquisition of 2132512 Alberta Inc., Surexdirect.com Ltd. and Surexdirect.com (Ontario) Ltd. by iAFinCorp on November 1st, 2021.
[23] Amalgamation of 13604071 Canada inc. and 13459446 Canada inc. on January 1st, 2022.
[24] Amalgamation of Surexdirect.com Ltd and Surexdirect.com (Ontario) Ltd. on January 1st, 2022.
[25] Continuation under the Federal jurisdiction on June 3, 2022.

iA Financial Group

CORPORATE CHART
WEALTH MANAGEMENT

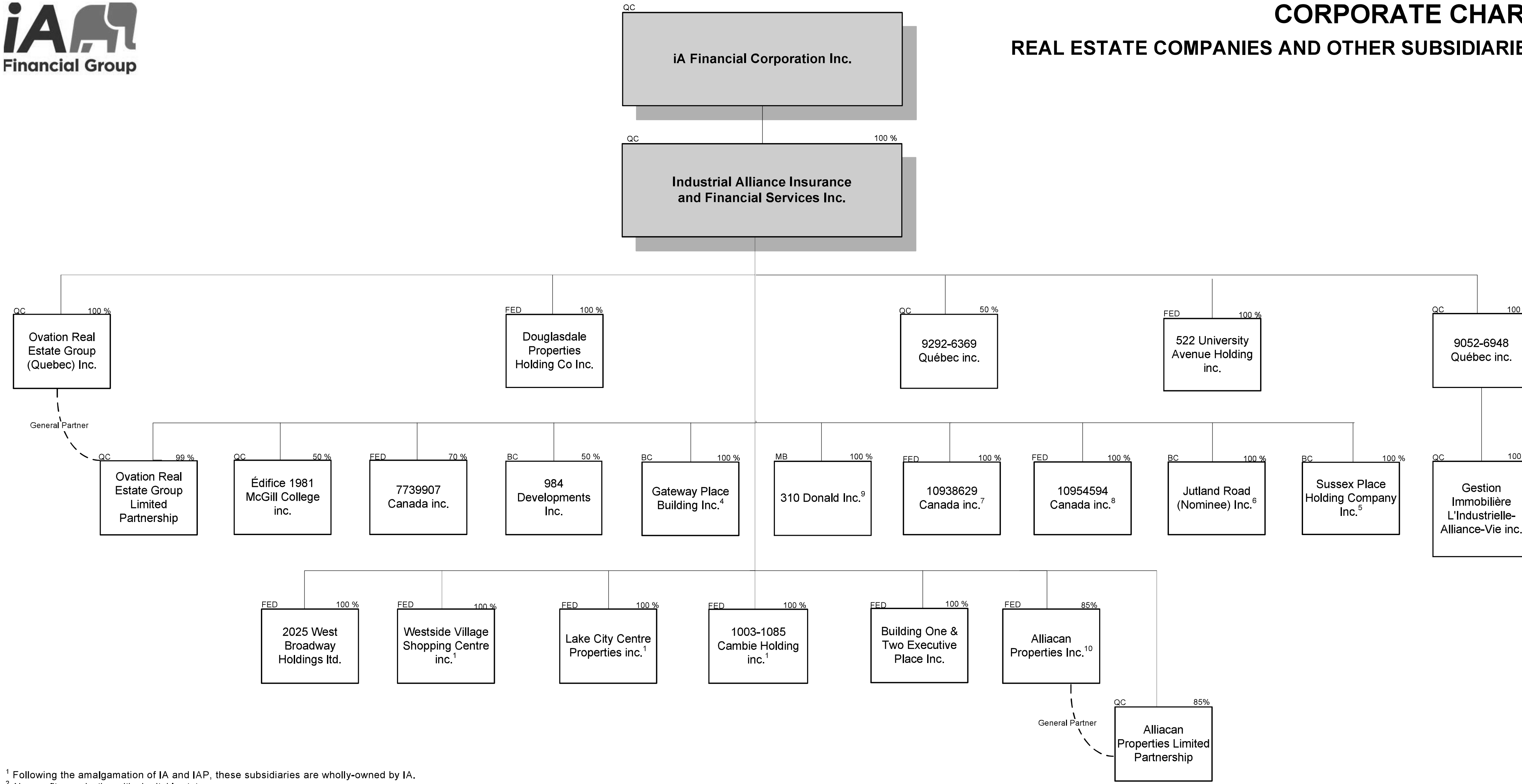
11.1



[1] Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1st, 2009.
[2] Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1st, 2011.
[3] Acquisition of National Financial Corporation on July 1st, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.
[4] Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1st, 2009.
[5] Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1st, 2014.
[6] Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1st, 2014.
[7] Formerly MGI Securities (USA) Inc.
[8] Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.
[9] Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1st, 2015.
[10] Formely Jovian Capital Corporation.
[11] Acquisition of Les Services financiers Planifax inx. by Investia on January 1st, 2016.
[12] Amalgamation between Investia Financial Services and Planifax on January 1st, 2016.
[13] Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.
[14] Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1st, 2016.
[15] Acquisition of Byrns Holdings Inc. and Christeph Holdings Inc. by Investia Financial Services Inc. on December 1st,2016.
[16] Liquidation of Byrns Holdings Inc., Christeph Holdings Inc. Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15 2016 and Winding up of Byrns, Christeph, Roybyrns and G.A.P. on December 31st, 2016.
[17] Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.
[18] Formerly Industrial Alliance Securities Inc.
[19] Amalgamation of iA Private Wealth Inc., Invisor Financial Inc. and Invisor Management Inc. on April 1st, 2021.
[20] Amalgamation of FundEx Investments Inc. and Investia Financial Services Inc. on July 1st, 2021.
[21] Amalgamation of Felcom Data Services (Québec) Inc. and Felcom Data Services Inc. on January 1st 2022.

CORPORATE CHART

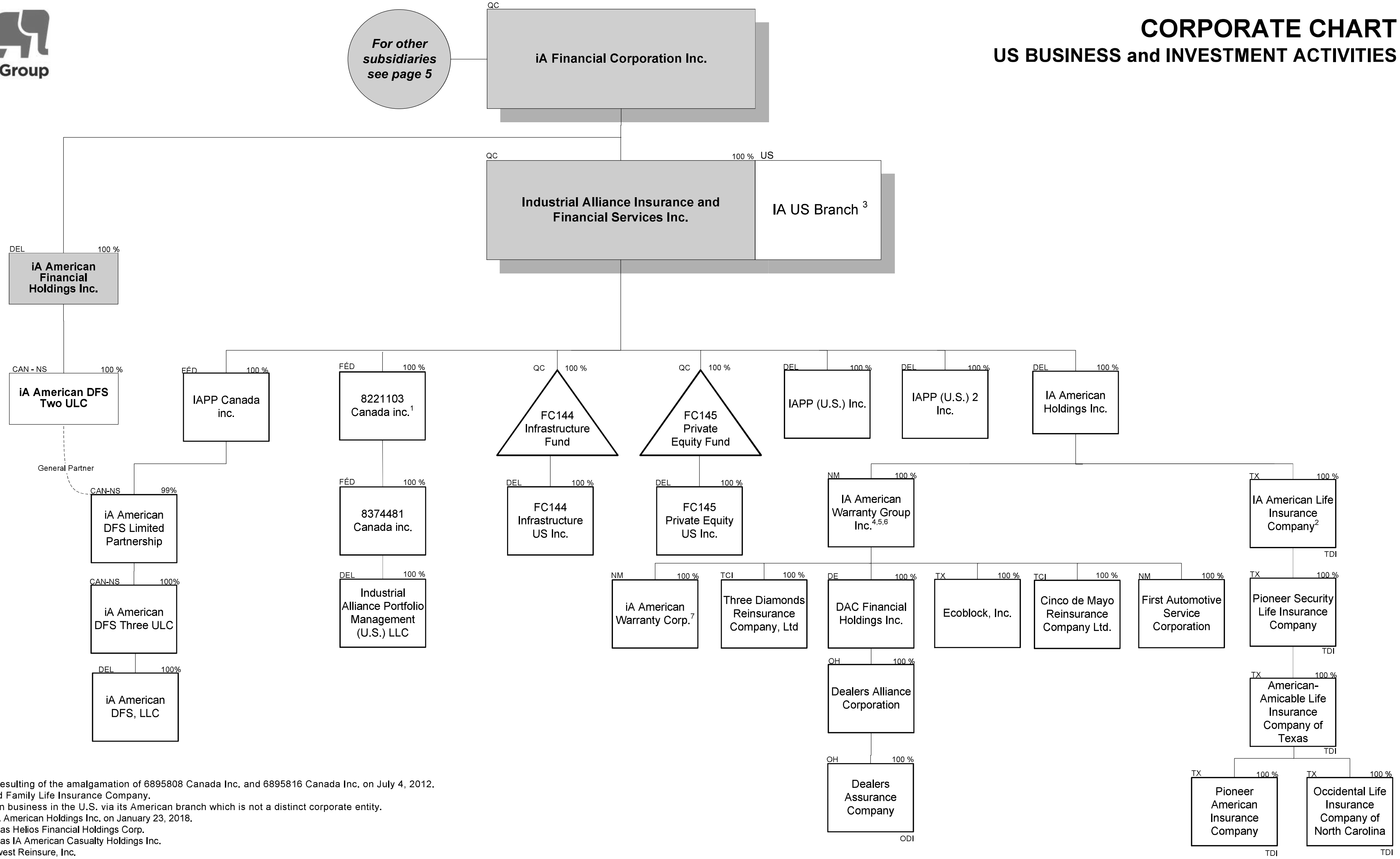
REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES



QC 100 %
Fondation iA Groupe financier [2,3]

[1] Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.
[2] Non-profit organization with charitable status.
[3] Formely Industrial Alliance Foundation for Learning a Second Language
[4] Formely Bosa Properties (Gateway-Comm) Inc.
[5] Incorporated by IAIFS on November 1st,2017.
[6] Purchased by IAIFS on November 15, 2017.
[7] Incorporated by IAIFS on August 9, 2018.
[8] Incorporated by IAIFS on August 21, 2018.
[9] Purchased by IAIFS on October 31, 2018.
[10] Incorporated by IAIFS on August 20, 2019.

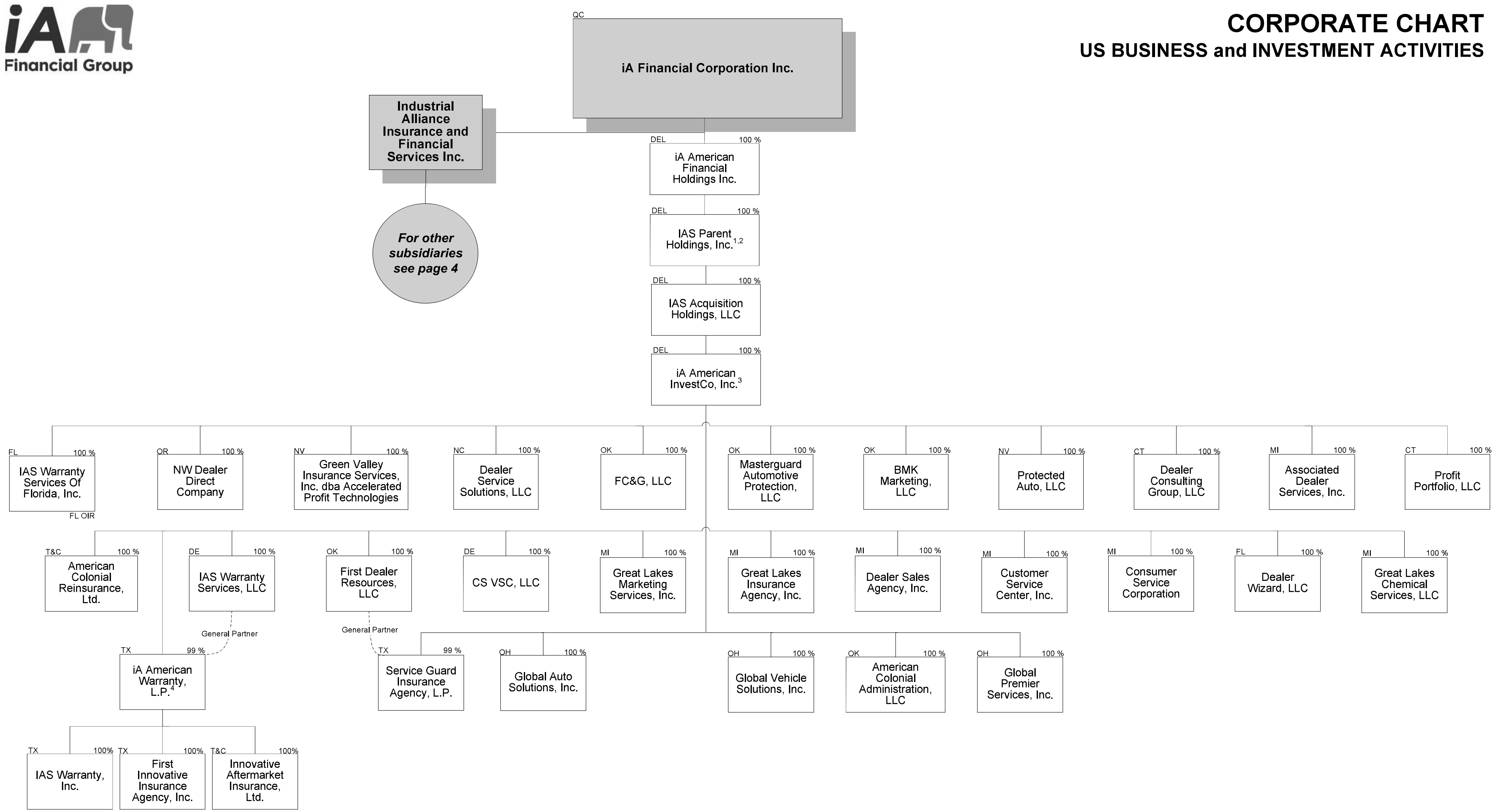
CORPORATE CHART
US BUSINESS and INVESTMENT ACTIVITIES



[1] New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.
[2] Formerly United Family Life Insurance Company.
[3] IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.
[4] Acquisition by IA American Holdings Inc. on January 23, 2018.
[5] Formerly known as Helios Financial Holdings Corp.
[6] Formerly known as IA American Casualty Holdings Inc.
[7] Formerly Southwest Reinsure, Inc.

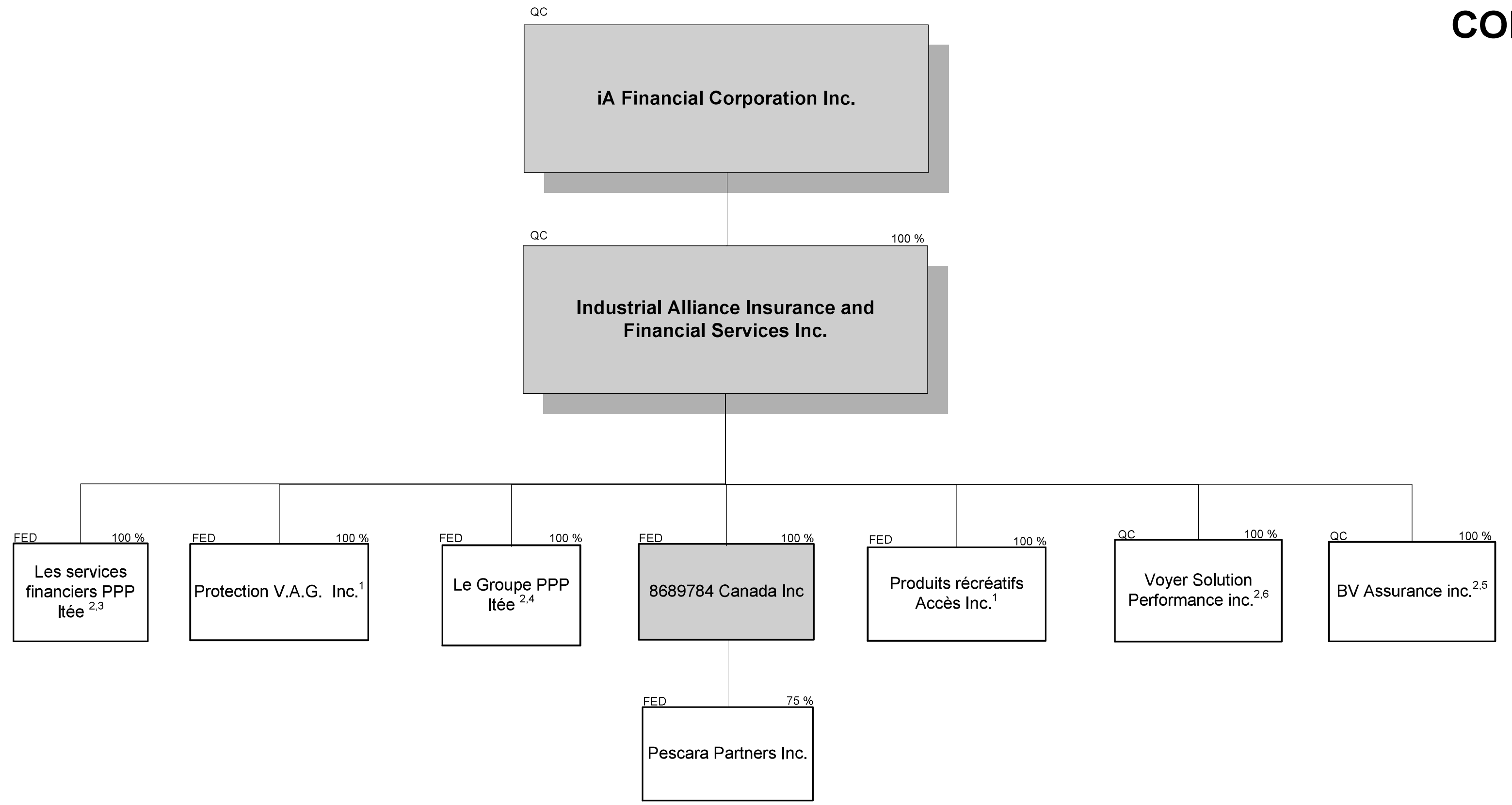
11.4

CORPORATE CHART
US BUSINESS and INVESTMENT ACTIVITIES



[1] Acquisition on May 22, 2020.
[2] Amalgamation with iA American Merger Inc. on May 22, 2020.
[3] Formerly IAS InvestCo, Inc.
[4] Formerly Innovative Aftermarket Systems L.P.

CORPORATE CHART
WINDING UP

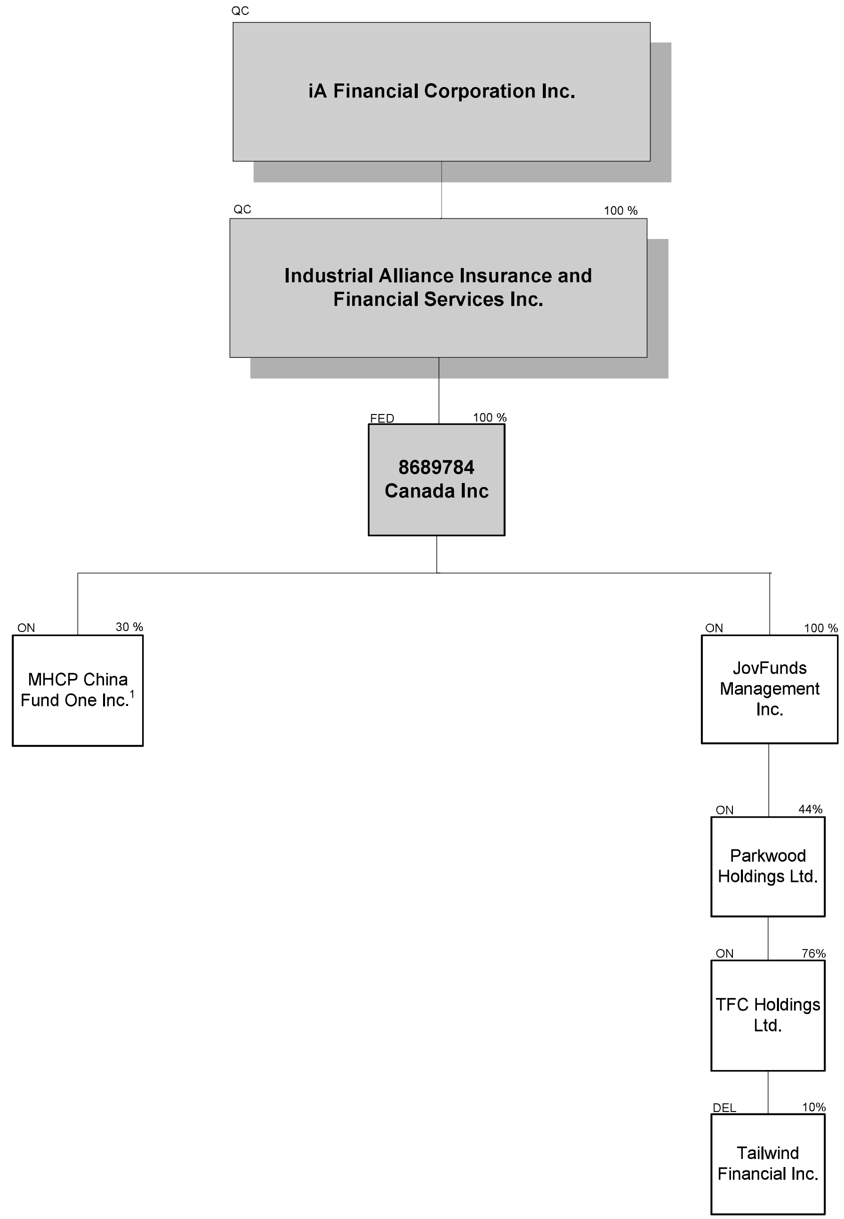


11.5

[1] Liquidated in iAIFS on July 1st, 2013.
[2] Aquisition of Groupe PPP by iAIFS on November 18, 2016.
[3] Liquidated in Le Groupe PPP Ltée on April 1st, 2019.
[4] Liquidated in iAIFS on April 1st, 2019.
[5] Liquidated in Voyer Solution Performance inc. on August 2nd, 2021.
[6] Liquidated in iAIFS on August 2nd, 2021.

CORPORATE CHART

JOVIAN GROUP'S MINORITY HOLDINGS



11.6

[1] Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1st, 2018.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Required? (Yes/No)	16 *
0315	Industrial Alliance GRP	00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	CAN	UIP	Publicly Traded					
0315	Industrial Alliance GRP	14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	TX	IA	Industrial Alliance Insurance and Financial Services Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
0315	Industrial Alliance GRP	00000	27-2524532				IA American Holdings	DE	UDP	Industrial Alliance Insurance and Financial Services - USB	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.		
0315	Industrial Alliance GRP	00000	85-0479228				IA American Warranty Group Inc.	NM	UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.		
0315	Industrial Alliance GRP	00000	20-0833446				DAC Financial Holdings, Inc.	DE	UIP	IA American Warranty Group Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	31-0906655				Dealers Alliance Corp.	OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	16705	34-6513705				Dealers Assurance Company	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	31-0908416				DAC Insurance Agency, Inc.	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	46-2008908				DAC Solutions, Inc.	TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	85-0339432				IA American Warranty Corp	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	98-0231706				Three Diamonds Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	42-1563507				Ecoblock, Inc.	TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	00000	91-2020119				First Automotive Service Corp.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	91693	13-3036472				IA American Life Insurance Company	TX	IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	67946	75-1083342				Pioneer Security Life Insurance Company	TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	68594	74-2179909				American Amicable Life Ins. Co. of Texas	TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	67148	56-0343440				Occidental Life Insurance Co. of North Carolina	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	
0315	Industrial Alliance GRP	67873	75-0914374				Pioneer American Insurance Company	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	NO	

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

	Line of Business	Current Year to Date 1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	4 Prior Year to Date Direct Loss Percentage
1.	Fire			0.0	0.0
2.1	Allied Lines			0.0	
2.2	Multiple peril crop			0.0	
2.3	Federal flood			0.0	
2.4	Private crop			0.0	
2.5	Private flood			0.0	
3.	Farmowners multiple peril			0.0	0.0
4.	Homeowners multiple peril			0.0	0.0
5.	Commercial multiple peril			0.0	0.0
6.	Mortgage guaranty			0.0	0.0
8.	Ocean marine			0.0	0.0
9.	Inland marine			0.0	0.0
10.	Financial guaranty			0.0	0.0
11.1	Medical professional liability - occurrence			0.0	0.0
11.2	Medical professional liability - claims-made			0.0	0.0
12.	Earthquake			0.0	0.0
13.1	Comprehensive (hospital and medical) individual			0.0	
13.2	Comprehensive (hospital and medical) group			0.0	
14.	Credit accident and health			0.0	0.0
15.1	Vision only			0.0	
15.2	Dental only			0.0	
15.3	Disablity income			0.0	
15.4	Medicare supplement			0.0	
15.5	Medicaid Title XIX			0.0	
15.6	Medicare Title XVIII			0.0	
15.7	Long-term care			0.0	
15.8	Federal employees health benefits plan			0.0	
15.9	Other health			0.0	
16.	Workers' compensation			0.0	0.0
17.1	Other liability - occurrence	21,345,267	3,034,390	14.2	61.5
17.2	Other liability - claims-made			0.0	0.0
17.3	Excess workers' compensation			0.0	0.0
18.1	Products liability - occurrence			0.0	0.0
18.2	Products liability - claims-made			0.0	0.0
19.1	Private passenger auto no-fault (personal injury protection)			0.0	
19.2	Other private passenger auto liability			0.0	
19.3	Commercial auto no-fault (personal injury protection)			0.0	
19.4	Other commercial auto liability			0.0	
21.1	Private passenger auto physical damage			0.0	
21.2	Commercial auto physical damage			0.0	
22.	Aircraft (all perils)			0.0	0.0
23.	Fidelity			0.0	0.0
24.	Surety			0.0	0.0
26.	Burglary and theft			0.0	0.0
27.	Boiler and machinery			0.0	0.0
28.	Credit			0.0	0.0
29.	International			0.0	0.0
30.	Warranty	143,894,482	88,929,191	61.8	55.6
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0.0	0.0
35.	Totals	165,239,749	91,963,581	55.7	56.0
	DETAILS OF WRITE-INS				
3401.					
3402.					
3403.					
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

	Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1.	Fire	0		
2.1	Allied Lines	0		
2.2	Multiple peril crop	0		
2.3	Federal flood	0		
2.4	Private crop	0		
2.5	Private flood	0		
3.	Farmowners multiple peril	0		
4.	Homeowners multiple peril	0		
5.	Commercial multiple peril	0		
6.	Mortgage guaranty	0		
8.	Ocean marine	0		
9.	Inland marine	0		
10.	Financial guaranty	0		
11.1	Medical professional liability - occurrence	0		
11.2	Medical professional liability - claims-made	0		
12.	Earthquake	0		
13.1	Comprehensive (hospital and medical) individual	0		
13.2	Comprehensive (hospital and medical) group	0		
14.	Credit accident and health	0		
15.1	Vision only	0		
15.2	Dental only	0		
15.3	Disablity income	0		
15.4	Medicare supplement	0		
15.5	Medicaid Title XIX	0		
15.6	Medicare Title XVIII	0		
15.7	Long-term care	0		
15.8	Federal employees health benefits plan	0		
15.9	Other health	0		
16.	Workers' compensation	0		
17.1	Other liability - occurrence	17,563,744	31,482,563	14,949,306
17.2	Other liability - claims-made	0		
17.3	Excess workers' compensation	0		
18.1	Products liability - occurrence	0		
18.2	Products liability - claims-made	0		
19.1	Private passenger auto no-fault (personal injury protection)	0		
19.2	Other private passenger auto liability	0		
19.3	Commercial auto no-fault (personal injury protection)	0		
19.4	Other commercial auto liability	0		
21.1	Private passenger auto physical damage	0		
21.2	Commercial auto physical damage	0		
22.	Aircraft (all perils)	0		
23.	Fidelity	0		
24.	Surety	0		
26.	Burglary and theft	0		
27.	Boiler and machinery	0		
28.	Credit	0		
29.	International	0		
30.	Warranty	60,519,970	168,908,216	196,481,666
31.	Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business	0	0	0
35.	Totals	78,083,714	200,390,779	211,430,972
	DETAILS OF WRITE-INS			
3401.				
3402.				
3403.				
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2022 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2022 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2022 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2019 + Prior	0	0	0		1	1				0	0	1	1
2. 2020	0	0	0	10		10				0	10	0	10
3. Subtotals 2020 + Prior	0	0	0	10	1	11	0	0	0	0	10	1	11
4. 2021	0	940	940	273	244	517				0	273	(696)	(423)
5. Subtotals 2021 + Prior	0	940	940	283	245	528	0	0	0	0	283	(695)	(412)
6. 2022	XXX	XXX	XXX	XXX	2,837	2,837	XXX		940	940	XXX	XXX	XXX
7. Totals	0	940	940	283	3,082	3,365	0	0	940	940	283	(695)	(412)
8. Prior Year-End Surplus As Regards Policyholders	106,115										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (73.9)	3. (43.8)
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. (0.4)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4.	Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
	AUGUST FILING	
5.	Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanations:

1.

2.

3.

4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490] 1 6 7 0 5 2 0 2 2 4 9 0 0 0 0 0 3
2. Supplement A to Schedule T [Document Identifier 455] 1 6 7 0 5 2 0 2 2 4 5 5 0 0 0 0 3
3. Medicare Part D Coverage Supplement [Document Identifier 365] 1 6 7 0 5 2 0 2 2 3 6 5 0 0 0 0 3
4. Director and Officer Supplement [Document Identifier 505] 1 6 7 0 5 2 0 2 2 5 0 5 0 0 0 0 3

OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	3,692,245	3,818,465
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		0
	2.2 Additional investment made after acquisition		0
3.	Current year change in encumbrances		0
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		0
7.	Deduct current year's other than temporary impairment recognized		0
8.	Deduct current year's depreciation	94,407	126,220
9.	Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	3,597,838	3,692,245
10.	Deduct total nonadmitted amounts		0
11.	Statement value at end of current period (Line 9 minus Line 10)	3,597,838	3,692,245

SCHEDULE B - VERIFICATION

Mortgage Loans

		1 Year to Date	2 Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year	176,498	195,590
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition	0	
	2.2 Additional investment made after acquisition	0	
3.	Capitalized deferred interest and other	0	
4.	Accrual of discount	0	
5.	Unrealized valuation increase (decrease)	0	
6.	Total gain (loss) on disposals	0	
7.	Deduct amounts received on disposals	176,498	19,092
8.	Deduct amortization of premium and mortgage interest points and commitment fees	0	
9.	Total foreign exchange change in book value/recorded investment excluding accrued interest	0	
10.	Deduct current year's other than temporary impairment recognized	0	
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	176,498
12.	Total valuation allowance	0	
13.	Subtotal (Line 11 plus Line 12)	0	176,498
14.	Deduct total nonadmitted amounts	0	
15.	Statement value at end of current period (Line 13 minus Line 14)	0	176,498

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired:		
	2.1 Actual cost at time of acquisition		
	2.2 Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12.	Deduct total nonadmitted amounts		
13.	Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

		1 Year to Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	221,578,249	174,308,237
2.	Cost of bonds and stocks acquired	64,556,767	113,198,847
3.	Accrual of discount	128,124	162,113
4.	Unrealized valuation increase (decrease)	(5,181,676)	680,180
5.	Total gain (loss) on disposals	(1,417,927)	973,316
6.	Deduct consideration for bonds and stocks disposed of	39,957,495	67,195,391
7.	Deduct amortization of premium	636,428	772,296
8.	Total foreign exchange change in book/adjusted carrying value	0	0
9.	Deduct current year's other than temporary impairment recognized	0	0
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees	113,185	223,243
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	239,182,800	221,578,249
12.	Deduct total nonadmitted amounts	0	0
13.	Statement value at end of current period (Line 11 minus Line 12)	239,182,800	221,578,249

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	164,926,557	29,743,647	5,268,175	(502,795)	159,054,121	164,926,557	188,899,235	152,850,957
2. NAIC 2 (a)	55,406,377	3,260,851	3,384,369	215,226	51,953,691	55,406,377	55,498,085	53,440,312
3. NAIC 3 (a)	0	0	0	0	494,500	0	0	493,281
4. NAIC 4 (a)	0	0	0	0	0	0	0	
5. NAIC 5 (a)	0	0	0	0	0	0	0	
6. NAIC 6 (a)	0	0	0	0	0	0	0	
7. Total Bonds	220,332,934	33,004,499	8,652,544	(287,569)	211,502,311	220,332,934	244,397,319	206,784,550
PREFERRED STOCK								
8. NAIC 1	680,287	0	0	(680,287)	1,649,899	680,287	0	433,691
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	1,483,020	259,677	307,843	613,753	197,433	1,483,020	2,048,606	660,886
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	2,163,307	259,677	307,843	(66,534)	1,847,332	2,163,307	2,048,606	1,094,577
15. Total Bonds and Preferred Stock	222,496,241	33,264,175	8,960,388	(354,103)	213,349,643	222,496,241	246,445,925	207,879,127

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 $ 23,222,201 ; NAIC 2 $ 0 ; NAIC 3 $ 0 NAIC 4 $ 0 ; NAIC 5 $ 0 ; NAIC 6 $ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
7709999999 Totals	22,422,355	XXX	22,401,670	36,875	53,932

SCHEDULE DA - VERIFICATION

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	100,237	0
2.	Cost of short-term investments acquired	22,401,670	303,574
3.	Accrual of discount	22,168	
4.	Unrealized valuation increase (decrease)	0	
5.	Total gain (loss) on disposals	0	
6.	Deduct consideration received on disposals	100,000	200,000
7.	Deduct amortization of premium	1,720	3,337
8.	Total foreign exchange change in book/adjusted carrying value	0	
9.	Deduct current year's other than temporary impairment recognized	0	
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	22,422,355	100,237
11.	Deduct total nonadmitted amounts	0	
12.	Statement value at end of current period (Line 10 minus Line 11)	22,422,355	100,237

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	24,177,674	1,971,794
2.	Cost of cash equivalents acquired	68,938,332	114,777,629
3.	Accrual of discount	2,774	
4.	Unrealized valuation increase (decrease)	0	
5.	Total gain (loss) on disposals	0	
6.	Deduct consideration received on disposals	83,803,052	92,571,750
7.	Deduct amortization of premium	0	
8.	Total foreign exchange change in book/adjusted carrying value	0	
9.	Deduct current year's other than temporary impairment recognized	0	
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	9,315,728	24,177,674
11.	Deduct total nonadmitted amounts	0	
12.	Statement value at end of current period (Line 10 minus Line 11)	9,315,728	24,177,674

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
3132DN-DB-6	FH SD0998 - RMBS		09/21/2022	ACADEMY SECURITIES, INC.		1,052,492	1,215,875	2,111	1.A
3133KT-2C-3	FH RB0771 - RMBS		09/29/2022	STONEX FINANCIAL INC.		1,337,475	1,536,775	3,095	1.A
3136AW-7J-0	FNA 2017-M8 A2 - CMBS		06/28/2022	STONEX FINANCIAL INC.		(44,256)	(45,376)	0	1.A
3140XH-4E-4	FN FS2620 - RMBS		09/23/2022	National Alliance Securities Corporation		1,676,217	1,697,973	6,132	1.A
0909999999. Subtotal - Bonds - U.S. Special Revenues						4,021,928	4,405,246	11,337	XXX
010392-FY-9	ALABAMA POWER CO		08/09/2022	MIZUHO SECURITIES		1,248,950	1,250,000	0	1.E FE
031162-DH-0	AMGEN INC		08/15/2022	MERRILL LYNCH FIXED INCOME		284,621	285,000	0	2.A FE
114259-AV-6	BROOKLYN UNION GAS CO		08/03/2022	KEYBANC CAPITAL MARKETS INC		804,176	800,000	0	2.A FE
15089Q-AM-6	CELANESE US HOLDINGS LLC		07/07/2022	Various		1,001,851	1,000,000	0	2.C FE
302520-AC-5	FNB CORP		08/19/2022	MORGAN STANLEY & CO LLC		500,385	500,000	0	2.C FE
46655V-AB-8	JPMMT 228 A2 - CMO/RMBS		07/27/2022	JP MORGAN SECURITIES INC.		986,563	1,000,000	3,500	1.A FE
641062-BC-7	NESTLE HOLDINGS INC		09/06/2022	MERRILL LYNCH FIXED INCOME		1,683,534	1,685,000	0	1.D FE
91159H-JF-8	US BANCORP		07/19/2022	US BANK N.A.		685,000	685,000	0	1.E FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						7,195,080	7,205,000	3,500	XXX
46429B-74-7	ISHARES:0-5 TIPS BD ETF		08/17/2022	TD AMERITRADE	0.000	415,332	0	0	1.A
46431W-50-7	ISHARES:BR SH MAT BD		08/17/2022	TD AMERITRADE	0.000	410,214	0	0	2.A
46641Q-83-7	JPMORGAN:ULTRA-SHORT INC		08/17/2022	TD AMERITRADE	0.000	199,958	0	0	1.G
92206C-40-9	VANGUARD ST CORP BD ETF		08/05/2022	TD AMERITRADE	0.000	259,604	0	0	2.A
1619999999. Subtotal - Bonds - SVO Identified Funds						1,285,108	0	0	XXX
2509999997. Total - Bonds - Part 3						12,502,115	11,610,246	14,837	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
2509999999. Total - Bonds						12,502,115	11,610,246	14,837	XXX
464288-68-7	ISHARES:PREF AND INC SEC		08/05/2022	TD AMERITRADE	7,393.000	259,677	0.00	0	4.B
4019999999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						259,677	XXX	0	XXX
4509999997. Total - Preferred Stocks - Part 3						259,677	XXX	0	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						259,677	XXX	0	XXX
001055-10-2	AFLAC ORD		09/22/2022	TD AMERITRADE	198.000	12,433		0	
00206R-10-2	AT&T ORD		07/22/2022	Various	16,576.000	315,358		0	
00287Y-10-9	ABBVIE ORD		08/05/2022	TD AMERITRADE	4.000	552		0	
00751Y-10-6	ADVANCE AUTO PARTS ORD		09/22/2022	TD AMERITRADE	62.000	12,340		0	
007903-10-7	ADVANCED MICRO DEVICES ORD		08/11/2022	BRIDGE TRADING CO.	110.000	11,081		0	
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		09/22/2022	TD AMERITRADE	75.000	19,521		0	
020002-10-1	ALLSTATE ORD		09/22/2022	TD AMERITRADE	154.000	20,108		0	
02079K-30-5	ALPHABET CL A ORD		09/22/2022	TD AMERITRADE	282.000	31,614		0	
02209S-10-3	ALTRIA GROUP ORD		09/22/2022	TD AMERITRADE	276.000	12,434		0	
023135-10-6	AMAZON COM ORD		09/22/2022	Various	622.000	84,991		0	
02553E-10-6	AMERICAN EAGLE OUTFITTERS ORD		09/22/2022	TD AMERITRADE	955.000	11,953		0	
03027X-10-0	AMERICAN TOWER REIT		08/01/2022	Various	110.000	28,949		0	
031162-10-0	AMGEN ORD		09/22/2022	TD AMERITRADE	81.000	19,902		0	
037833-10-0	APPLE ORD		09/23/2022	Various	164.000	24,825		0	
05722G-10-0	BAKER HUGHES CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	100.000	2,480		0	
060505-10-4	BANK OF AMERICA ORD		09/23/2022	UBS SECURITIES LLC, STAMFORD	410.000	12,906		0	
084670-70-2	BERKSHIRE HATHAWAY CL B ORD		08/05/2022	TD AMERITRADE	2.000	584		0	
086516-10-1	BEST BUY ORD		09/22/2022	TD AMERITRADE	247.000	19,344		0	
09247X-10-1	BLACKROCK ORD		09/22/2022	TD AMERITRADE	27.000	18,867		0	
11135F-10-1	BROADCOM ORD		09/22/2022	TD AMERITRADE	23.000	12,060		0	
117043-10-9	BRUNSWICK ORD		09/22/2022	TD AMERITRADE	243.000	19,574		0	
127097-10-3	COTERRA ENERGY ORD		09/22/2022	TD AMERITRADE	688.000	20,015		0	
128030-20-2	CAL MAINE FOODS ORD		09/22/2022	TD AMERITRADE	223.000	12,475		0	
146229-10-9	CARTERS ORD		09/22/2022	TD AMERITRADE	153.000	12,329		0	
150870-10-3	CELANESE ORD		09/22/2022	TD AMERITRADE	178.000	19,459		0	
166764-10-0	CHEVRON ORD		08/05/2022	TD AMERITRADE	4.000	615		0	
17275R-10-2	CISCO SYSTEMS ORD		09/22/2022	TD AMERITRADE	440.000	19,893		0	

STATEMENT AS OF SEPTEMBER 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
189054-10-9	CLOROX ORD		09/22/2022	TD AMERITRADE	135.000	19,902		0	
194162-10-3	COLGATE PALMOLIVE ORD		09/22/2022	TD AMERITRADE	154.000	12,409		0	
20030N-10-1	COMCAST CL A ORD		09/22/2022	TD AMERITRADE	524.000	19,682		0	
219350-10-5	CORNING ORD		09/22/2022	TD AMERITRADE	347.000	12,073		0	
22160K-10-5	COSTCO WHOLESALE ORD		09/14/2022	JP MORGAN SECURITIES LLC	20.000	10,167		0	
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		08/11/2022	BRIDGE TRADING CO.	63.000	12,735		0	
235851-10-2	DANAHER ORD		08/05/2022	TD AMERITRADE	2.000	580		0	
237194-10-5	DARDEN RESTAURANTS ORD		09/22/2022	TD AMERITRADE	93.000	12,238		0	
25179M-10-3	DEVON ENERGY ORD		09/22/2022	TD AMERITRADE	196.000	12,603		0	
25278X-10-9	DIAMONDBACK ENERGY ORD		09/22/2022	TD AMERITRADE	98.000	12,430		0	
254709-10-8	DISCOVER FINANCIAL SERVICES ORD		09/22/2022	TD AMERITRADE	116.000	12,191		0	
26875P-10-1	EOG RESOURCES ORD		09/22/2022	TD AMERITRADE	109.000	12,483		0	
29977A-10-5	EVERCORE CL A ORD		09/22/2022	TD AMERITRADE	199.000	19,741		0	
30231G-10-2	EXXON MOBIL ORD		08/05/2022	TD AMERITRADE	6.000	532		0	
30303M-10-2	META PLATFORMS CL A ORD		09/22/2022	TD AMERITRADE	93.000	15,179		0	
31428X-10-6	FEDEX ORD		08/05/2022	CREDIT SUISSE, NEW YORK (CSUS)	139.000	32,182		0	
31847R-10-2	FIRST AMERICAN FINANCIAL ORD		09/22/2022	TD AMERITRADE	354.000	19,610		0	
318672-70-6	FIRST BANCORP ORD		09/22/2022	TD AMERITRADE	789.000	12,172		0	
375558-10-3	GILEAD SCIENCES ORD		09/22/2022	TD AMERITRADE	305.000	20,092		0	
410867-10-5	HANOVER INSURANCE GROUP ORD		09/22/2022	TD AMERITRADE	91.000	12,368		0	
437076-10-2	HOME DEPOT ORD		09/22/2022	TD AMERITRADE	48.000	14,616		0	
438516-10-6	HONEYWELL INTERNATIONAL ORD		09/26/2022	EVERCORE GROUP LLC	67.000	11,417		0	
444859-10-2	HUMANA ORD		09/02/2022	CREDIT SUISSE, NEW YORK (CSUS)	84.000	40,744		0	
452308-10-9	ILLINOIS TOOL ORD		09/22/2022	TD AMERITRADE	59.000	12,347		0	
458140-10-0	INTEL ORD		09/22/2022	TD AMERITRADE	590.000	19,746		0	
46625H-10-0	JPMORGAN CHASE ORD		08/05/2022	TD AMERITRADE	6.000	694		0	
478160-10-4	JOHNSON & JOHNSON ORD		08/05/2022	TD AMERITRADE	4.000	684		0	
494368-10-3	KIMBERLY CLARK ORD		09/22/2022	TD AMERITRADE	93.000	12,400		0	
501044-10-1	KROGER ORD		09/22/2022	TD AMERITRADE	415.000	19,851		0	
517834-10-7	LAS VEGAS SANDS ORD		09/23/2022	Various	1,340.000	50,804		0	
526057-10-4	LENNAR CL A ORD		09/22/2022	TD AMERITRADE	146.000	12,290		0	
532457-10-8	ELI LILLY ORD		08/05/2022	TD AMERITRADE	2.000	602		0	
539830-10-9	LOCKHEED MARTIN ORD		09/22/2022	TD AMERITRADE	28.000	12,283		0	
552848-10-3	MGIC INVESTMENT ORD		09/22/2022	TD AMERITRADE	828.000	12,390		0	
556269-10-8	STEVEN MADDEN ORD		09/22/2022	TD AMERITRADE	374.000	12,100		0	
56418H-10-0	MANPOWERGROUP ORD		09/22/2022	TD AMERITRADE	157.000	12,165		0	
573284-10-6	MARTIN MARIETTA MATERIALS ORD		08/31/2022	MERRILL LYNCH, PIERCE, FENNER & SMI/BAS	75.000	26,025		0	
57636Q-10-4	MASTERCARD CL A ORD		09/22/2022	Various	149.000	50,542		0	
580135-10-1	MCDONALD'S ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	162.000	40,995		0	
58933Y-10-5	MERCK & CO. INC.		09/22/2022	TD AMERITRADE	146.000	13,245		0	
594918-10-4	MICROSOFT ORD		09/22/2022	Various	158.000	43,415		0	
595112-10-3	MICRON TECHNOLOGY ORD		07/25/2022	BRIDGE TRADING CO.	200.000	12,089		0	
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		09/22/2022	TD AMERITRADE	311.000	19,926		0	
617446-44-8	MORGAN STANLEY ORD		09/22/2022	TD AMERITRADE	29.000	2,465		0	
629377-50-8	NRG ENERGY ORD		09/22/2022	TD AMERITRADE	293.000	12,459		0	
651639-10-6	NEWMONT ORD		09/22/2022	TD AMERITRADE	276.000	12,271		0	
654106-10-3	NIKE CL B ORD		08/01/2022	Strategas Securities LLC	109.000	12,500		0	
67066G-10-4	NVIDIA ORD		09/22/2022	Various	197.000	33,044		0	
695156-10-9	PACKAGING CORP OF AMERICA ORD		09/22/2022	TD AMERITRADE	144.000	19,562		0	
701094-10-4	PARKER HANNIFIN ORD		09/22/2022	TD AMERITRADE	42.000	12,107		0	
70450Y-10-3	PAYPAL HOLDINGS ORD		09/22/2022	TD AMERITRADE	201.000	19,406		0	
723787-10-7	PIONEER NATURAL RESOURCE ORD		09/22/2022	TD AMERITRADE	54.000	12,549		0	
74144T-10-8	T ROWE PRICE GROUP ORD		09/22/2022	TD AMERITRADE	158.000	19,578		0	
742718-10-9	PROCTER & GAMBLE ORD		09/14/2022	JP MORGAN SECURITIES LLC	43.000	5,972		0	
74736K-10-1	QORVO ORD		08/04/2022	MORGAN STANLEY & CO INC, NY	200.000	21,717		0	
747525-10-3	QUALCOMM ORD		09/23/2022	Various	275.000	37,500		0	
75886F-10-7	REGENERON PHARMACEUTICALS ORD		09/23/2022	BERNSTEIN (SANFORD C)& CO	38.000	26,214		0	

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
770323-10-3	ROBERT HALF INT ORD		09/22/2022	TD AMERITRADE	250.000	19,863		0	
78442P-10-6	SLM ORD		09/22/2022	TD AMERITRADE	785.000	12,328		0	
81762P-10-2	SERVICENOW ORD		09/22/2022	TD AMERITRADE	58.000	26,690		0	
82509L-10-7	SHOPIFY CL A SUB VTG ORD	C	09/22/2022	TD AMERITRADE	542.000	18,954		0	
83088M-10-2	SKYWORKS SOLUTIONS ORD		09/22/2022	TD AMERITRADE	185.000	19,374		0	
831865-20-9	A O SMITH ORD		09/22/2022	TD AMERITRADE	331.000	19,906		0	
833034-10-1	SNAP ON ORD		09/22/2022	TD AMERITRADE	54.000	12,295		0	
857477-10-3	STATE STREET ORD		09/22/2022	TD AMERITRADE	171.000	12,330		0	
860630-10-2	STIFEL FINANCIAL ORD		09/22/2022	TD AMERITRADE	197.000	12,315		0	
872540-10-9	TJX ORD		09/22/2022	TD AMERITRADE	190.000	12,891		0	
872590-10-4	T MOBILE US ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	54.000	7,238		0	
88160R-10-1	TESLA ORD		09/22/2022	TD AMERITRADE	38.000	17,865		0	
882508-10-4	TEXAS INSTRUMENTS ORD		09/22/2022	TD AMERITRADE	112.000	19,272		0	
882681-10-9	TEXAS ROADHOUSE ORD		09/22/2022	TD AMERITRADE	133.000	12,358		0	
885160-10-1	THOR INDUSTRIES ORD		09/22/2022	TD AMERITRADE	139.000	12,212		0	
88579Y-10-1	3M ORD		09/22/2022	TD AMERITRADE	143.000	19,609		0	
892356-10-6	TRACTOR SUPPLY ORD		09/22/2022	TD AMERITRADE	99.000	19,782		0	
907818-10-8	UNION PACIFIC ORD		09/22/2022	TD AMERITRADE	86.000	19,886		0	
911312-10-6	UNITED PARCEL SERVICE CL B ORD		09/22/2022	TD AMERITRADE	62.000	12,212		0	
91324P-10-2	UNITEDHEALTH GRP ORD		09/22/2022	TD AMERITRADE	51.000	27,413		0	
91913Y-10-0	VALERO ENERGY ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	7.000	741		0	
92343V-10-4	VERIZON COMMUNICATIONS ORD		09/22/2022	TD AMERITRADE	5,590.000	254,001		0	
92826C-83-9	VISA CL A ORD		09/22/2022	TD AMERITRADE	139.000	28,449		0	
942622-20-0	WATSCO ORD		09/22/2022	TD AMERITRADE	42.000	12,324		0	
959802-10-9	WESTERN UNION ORD		09/22/2022	TD AMERITRADE	1,260.000	19,577		0	
963320-10-6	WHIRLPOOL ORD		09/22/2022	TD AMERITRADE	74.000	12,207		0	
G1151C-10-1	ACCENTURE CL A ORD	C	08/03/2022	DEUTSCHE BK SECS INC, NY (NWSCUS33)	39.000	12,043		0	
G29183-10-3	EATON ORD	C	09/26/2022	EVERCORE GROUP LLC	69.000	9,232		0	
G5960L-10-3	MEDTRONIC ORD	C	09/22/2022	TD AMERITRADE	215.000	19,739		0	
G7997R-10-3	SEAGATE TECHNOLOGY HOLDINGS ORD	C	07/25/2022	BRIDGE TRADING CO.	160.000	12,303		0	
N53745-10-0	LYONDELLBASELL INDUSTRIES CL A ORD		09/22/2022	TD AMERITRADE	142.000	12,332		0	
N6596X-10-9	NXP SEMICONDUCTORS ORD	C	09/22/2022	TD AMERITRADE	69.000	11,969		0	
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						2,496,461	XXX	0	XXX
02508J-70-5	AMER CENT:SHT DUR I		08/17/2022	TD AMERITRADE	20,242.915	200,024		0	.
05580W-84-1	BNY MELLON CORE PLUS I		08/05/2022	TD AMERITRADE	999.156	9,546		0	.
09260B-38-2	BLACKROCK:STR INC OPP I		08/05/2022	TD AMERITRADE	992.905	9,546		0	.
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						219,116	XXX	0	XXX
37954Y-47-5	GLBL X S&P 500 CC		08/10/2022	TD AMERITRADE	3,000.000	132,027		0	.
37954Y-48-3	GLBL X NASDAQ 100 CC		08/10/2022	TD AMERITRADE	7,000.000	129,429		0	.
37960A-85-9	GLBL X D30 COVERED CALL		08/10/2022	TD AMERITRADE	5,000.000	117,075		0	.
46138J-61-9	INVESCO RUSSEL 1000 DM		08/05/2022	TD AMERITRADE	37.000	1,613		0	.
464287-15-0	ISHARES:CORE S&P TOT USM		08/05/2022	TD AMERITRADE	50.000	4,607		0	.
464287-23-4	ISHARES:MSCI EM MKTS		08/05/2022	TD AMERITRADE	41.000	1,641		0	.
464287-88-7	ISHARES:S&P SC 600 GRO		08/05/2022	TD AMERITRADE	14.000	1,659		0	.
81369Y-20-9	SEL SECTOR:H CARE SPDR		08/05/2022	TD AMERITRADE	15.000	1,971		0	.
81369Y-60-5	SEL SECTOR:FINL S SPDR		08/05/2022	TD AMERITRADE	119.000	4,009		0	.
81369Y-80-3	SEL SECTOR:TECH SPDR		08/05/2022	TD AMERITRADE	29.000	4,264		0	.
921908-84-4	VANGUARD DIV A I ETF		08/05/2022	TD AMERITRADE	43.000	6,617		0	.
922908-62-9	VANGUARD MD-CP I ETF		08/05/2022	TD AMERITRADE	15.000	3,261		0	.
5819999999. Subtotal - Common Stocks - Exchange Traded Funds						408,174	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						3,123,751	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						3,123,751	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						3,383,428	XXX	0	XXX
6009999999 - Totals						15,885,543	XXX	14,837	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
38381E-UB-2	GNR 2022-013 AE - CMBS		09/01/2022	Paydown		8,257	8,257	8,124	0	0	134	0	134	0	8,257	0	0	0	72	09/16/2054	1.A
0109999999. Subtotal - Bonds - U.S. Governments						8,257	8,257	8,124	0	0	134	0	134	0	8,257	0	0	0	72	XXX	XXX
052397-GW-0	AUSTIN TEX		09/01/2022	Maturity @ 100.00		200,000	200,000	226,856	203,785	0	(3,785)	0	(3,785)	0	200,000	0	0	0	10,000	09/01/2022	1.B FE
0709999999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						200,000	200,000	226,856	203,785	0	(3,785)	0	(3,785)	0	200,000	0	0	0	10,000	XXX	XXX
3132DV-3Z-6	FH SD8016 - RMBS		09/01/2022	Paydown		13,101	13,101	13,283	13,411	0	(310)	0	(310)	0	13,101	0	0	0	260	10/01/2049	1.A
3132XC-RY-3	FH G67703 - RMBS		09/01/2022	Paydown		15,996	15,996	15,776	15,820	0	176	0	176	0	15,996	0	0	0	371	04/01/2047	1.A
31335B-XF-8	FH G61578 - RMBS		09/01/2022	Paydown		8,485	8,485	8,774	8,931	0	(446)	0	(446)	0	8,485	0	0	0	242	08/01/2048	1.A
3133L8-CR-4	FH RC1880 - RMBS		09/01/2022	Paydown		68,671	68,672	70,667	70,566	0	(1,894)	0	(1,894)	0	68,671	0	0	0	663	03/01/2036	1.A
3136AW-7J-0	FNA 2017-M8 A2 - CMBS		09/01/2022	Paydown		34,373	34,373	33,524	0	0	849	0	849	0	34,373	0	0	0	169	05/25/2027	1.A
31397S-5N-0	FNR 2011-43 B - CMO/RMBS		09/01/2022	Paydown		15,196	15,196	15,172	15,169	0	27	0	27	0	15,196	0	0	0	349	05/25/2031	1.A
3140J9-CT-6	FN BM4581 - RMBS		09/01/2022	Paydown		17,658	17,658	18,033	18,042	0	(384)	0	(384)	0	17,658	0	0	0	486	09/01/2033	1.A
3140QG-AJ-6	FN CA8108 - RMBS		09/01/2022	Paydown		24,742	24,742	25,716	25,600	0	(859)	0	(859)	0	24,742	0	0	0	325	12/01/2050	1.A
3140X7-7H-6	FN FM4495 - RMBS		09/01/2022	Paydown		33,286	33,286	34,664	34,506	0	(1,220)	0	(1,220)	0	33,286	0	0	0	451	10/01/2050	1.A
3140X8-6N-2	FN FM5376 - RMBS		09/01/2022	Paydown		65,935	65,935	69,026	68,541	0	(2,606)	0	(2,606)	0	65,935	0	0	0	895	01/01/2036	1.A
31418D-Q4-7	FN MA4074 - RMBS		09/01/2022	Paydown		42,952	42,952	44,475	44,630	0	(1,678)	0	(1,678)	0	42,952	0	0	0	570	07/01/2035	1.A
31418D-U4-2	FN MA4202 - RMBS		09/01/2022	Paydown		27,104	27,104	27,566	27,487	0	(383)	0	(383)	0	27,104	0	0	0	268	12/01/2040	1.A
31418D-U8-3	FN MA4206 - RMBS		09/01/2022	Paydown		34,370	34,370	35,764	35,520	0	(1,150)	0	(1,150)	0	34,370	0	0	0	458	12/01/2035	1.A
31418D-VB-5	FN MA4209 - RMBS		09/01/2022	Paydown		25,294	25,294	25,543	25,532	0	(238)	0	(238)	0	25,294	0	0	0	253	12/01/2050	1.A
0909999999. Subtotal - Bonds - U.S. Special Revenues						427,163	427,163	437,983	403,753	0	(10,115)	0	(10,115)	0	427,163	0	0	0	5,759	XXX	XXX
02377L-AA-2	AMERICAN AIRLINES 2019-1 PASS THROUGH TR		08/15/2022	Paydown		13,572	13,572	13,572	13,572	0	0	0	0	0	13,572	0	0	0	428	08/15/2033	2.A FE
10373Q-AL-4	BP CAPITAL MARKETS AMERICA INC		08/24/2022	Call @ 100.00		600,000	600,000	586,146	595,465	0	2,131	0	2,131	0	597,596	0	2,404	2,404	13,017	05/10/2023	1.F FE
126650-BV-1	CVSPAS 2010 CTF - ABS		09/10/2022	Paydown		8,218	8,218	8,668	0	0	(449)	0	(449)	0	8,218	0	0	0	119	01/10/2033	2.B
161571-FQ-2	CHAIT 2012-7 A - ABS		09/15/2022	Various		450,000	450,000	434,443	447,173	0	2,827	0	2,827	0	450,000	0	0	0	7,290	09/16/2024	1.A FE
26444B-AA-2	DUK A A1 - ABS		07/01/2022	Paydown		68,864	68,864	68,864	68,864	0	0	0	0	0	68,864	0	0	0	538	07/01/2030	1.A FE
314353-AA-1	FEDERAL EXPRESS CORPORATION 2020-1 PASS		08/20/2022	Paydown		26,736	26,736	26,736	26,736	0	0	0	0	0	26,736	0	0	0	501	08/20/2035	1.D FE
377372-AL-1	GLAXOSMITHKLINE CAPITAL INC		08/31/2022	Call @ 100.00		250,068	250,000	254,388	253,272	0	(1,583)	0	(1,583)	0	251,689	0	(1,689)	(1,689)	6,772	05/15/2023	1.F FE
41284N-AC-4	HDMOT 2021-A A3 - ABS		09/15/2022	Paydown		156,696	156,696	156,659	156,673	0	23	0	23	0	156,696	0	0	0	386	04/15/2026	1.A FE
46655V-AB-8	JPMMT 228 A2 - CMO/RMBS		09/25/2022	Paydown		22,905	22,905	22,597	0	0	308	0	308	0	22,905	0	0	0	112	01/25/2053	1.A FE
55336V-AQ-3	MPLX LP		09/15/2022	Call @ 100.00		400,000	400,000	393,048	398,149	0	1,070	0	1,070	0	399,219	0	781	781	13,500	03/15/2023	2.B FE
68784C-AE-2	OSCAR 181 A4 - ABS	D	09/10/2022	Paydown		141,525	141,525	146,523	143,916	0	(2,391)	0	(2,391)	0	141,525	0	0	0	3,313	05/12/2025	1.A FE
71710T-AA-6	PCG 2021-A A1 - ABS		07/15/2022	Paydown		103,516	103,516	103,515	103,515	0	1	0	1	0	103,516	0	0	0	1,020	07/15/2031	1.A FE
75409X-AA-4	RATE 21HB1 A1 - CMO/RMBS		09/25/2022	Paydown		14,993	14,993	14,953	14,954	0	39	0	39	0	14,993	0	0	0	269	12/25/2051	1.A FE
81748R-AB-3	SEMT 2020-4 A2 - CMO/RMBS		09/01/2022	Paydown		31,953	31,953	32,168	0	0	(215)	0	(215)	0	31,953	0	0	0	533	11/25/2050	1.A FE
91824N-AC-6	UWM 211 A3 - CMO/RMBS		09/01/2022	Paydown		30,247	30,247	30,313	30,314	0	(67)	0	(67)	0	30,247	0	0	0	527	08/25/2051	1.A FE
91913Y-AY-6	VALERO ENERGY CORP		09/13/2022	TENDER		130,853	135,000	134,900	134,933	0	14	0	14	0	134,946	0	(4,094)	(4,094)	5,926	04/15/2025	2.B FE
92347Y-AA-2	VZOT 2019-A A1A - RMBS		07/21/2022	Paydown		2,526	2,526	2,526	2,526	0	0	0	0	0	2,526	0	0	0	43	09/20/2023	1.A FE
92890N-AU-3	WFRBS 2012-C10 A3 - CMBS		09/01/2022	Paydown		1,203,140	1,203,140	1,217,803	1,216,759	0	(13,619)	0	(13,619)	0	1,203,140	0	0	0	24,606	12/15/2045	1.A FE
95002K-AE-3	WFMBS 2020-1 A5 - CMO/RMBS		09/01/2022	Paydown		22,175	22,175	22,237	0	0	(62)	0	(62)	0	22,175	0	0	0	282	12/27/2049	1.A FE
95003B-AA-0	WFMBS 205 A1 - CMO/RMBS		09/01/2022	Paydown		40,433	40,433	40,736	0	0	(306)	0	(306)	0	40,433	0	0	0	674	09/26/2050	1.A FE
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						3,718,422	3,722,500	3,710,795	3,606,820	0	(12,277)	0	(12,277)	0	3,720,951	0	(2,598)	(2,598)	79,855	XXX	XXX
46429B-65-5	ISHARES:FLOATING RT BOND		09/21/2022	TD AMERITRADE	0.000	466,061	0	467,803	0	0	0	0	0	0	467,803	0	(1,741)	(1,741)	2,610		1.G
46431W-50-7	ISHARES:BR SH MAT BD		09/21/2022	TD AMERITRADE	0.000	902,620	0	904,804	0	0	0	0	0	0	904,804	0	(2,184)	(2,184)	3,461		2.A
46641Q-83-7	JPMORGAN:ULTRA-SHORT INC		09/16/2022	TD AMERITRADE	0.000	199,833	0	199,958	0	0	0	0	0	0	199,958	0	(125)	(125)	329		1.G
92206C-40-9	VANGUARD ST CORP BD ETF		09/21/2022	TD AMERITRADE	0.000	1,846,116	0	1,923,609	0	0	0	0	0	0	1,923,609	0	(77,493)	(77,493)	15,226		2.A
1619999999. Subtotal - Bonds - SVO Identified Funds						3,414,631	0	3,496,174	0	0	0	0	0	0	3,496,174	0	(81,543)	(81,543)	21,626	XXX	XXX
2509999997. Total - Bonds - Part 4						7,768,472	4,357,920	7,879,932	4,214,358	0	(26,043)	0	(26,043)	0	7,852,544	0	(84,141)	(84,141)	117,312	XXX	XXX
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
2509999999. Total - Bonds						7,768,472	4,357,920	7,879,932	4,214,358	0	(26,043)	0	(26,043)	0	7,852,544	0	(84,141)	(84,141)	117,312	XXX	XXX
464288-68-7	ISHARES:PREF AND INC SEC		09/16/2022	TD AMERITRADE	8,501.000	277,913	0.00	307,843	291,900	(23,012)	0	0	(23,012)	0	307,843	0	(29,931)	(29,931)	8,979		4.B
4019999999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						277,913	XXX	307,843	291,900	(23,012)	0	0	(23,012)	0	307,843	0	(29,931)	(29,931)	8,979	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22
										Change In Book/Adjusted Carrying Value											
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
4509999997. Total - Preferred Stocks - Part 4						277,913	XXX	307,843	291,900	(23,012)	0	0	(23,012)	0	307,843	0	(29,931)	(29,931)	8,979	XXX	XXX
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
4509999999. Total - Preferred Stocks						277,913	XXX	307,843	291,900	(23,012)	0	0	(23,012)	0	307,843	0	(29,931)	(29,931)	8,979	XXX	XXX
00206R-10-2	AT&T ORD		08/11/2022	CREDIT SUISSE, NEW YORK (CSUS)	840.000	15,162		16,989	0	0	0	0	0	0	16,989	0	(1,827)	(1,827)	233		
002824-10-0	ABBOTT LABORATORIES ORD		07/25/2022	Various	458.000	50,145		57,783	55,170	(5,394)	0	0	(5,394)	0	57,783	0	(7,638)	(7,638)	615		
00287Y-10-9	ABBVIE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	262.000	38,765		37,265	5,822	(843)	0	0	(843)	0	37,265	0	1,500	1,500	722		
007903-10-7	ADVANCED MICRO DEVICES ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	210.000	18,709		21,614	5,612	(3,095)	0	0	(3,095)	0	21,614	0	(2,906)	(2,906)	0		
02079K-30-5	ALPHABET CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	723.000	79,862		91,879	43,890	(7,681)	0	0	(7,681)	0	91,879	0	(12,017)	(12,017)	0		
02209S-10-3	ALTRIA GROUP ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	390.000	16,786		21,964	0	0	0	0	0	0	21,964	0	(5,178)	(5,178)	351		
023135-10-6	AMAZON COM ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	732.000	90,833		85,474	72,022	(31,091)	0	0	(31,091)	0	85,474	0	5,359	5,359	0		
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	440.000	22,770		25,853	3,980	(90)	0	0	(90)	0	25,853	0	(3,083)	(3,083)	253		
03076C-10-6	AMERIPRISE FINANCE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	78.000	19,291		21,603	4,223	(737)	0	0	(737)	0	21,603	0	(2,312)	(2,312)	93		
037833-10-0	APPLE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	290.000	45,031		21,469	41,907	(29,094)	0	0	(29,094)	0	21,469	0	23,562	23,562	119		
05722G-10-0	BAKER HUGHES CL A ORD		08/04/2022	Various	840.000	20,515		31,513	0	0	0	0	0	0	31,513	0	(10,998)	(10,998)	133		
060505-10-4	BANK OF AMERICA ORD		08/17/2022	Various	739.000	26,041		23,077	10,233	(6,630)	0	0	(6,630)	0	23,077	0	2,965	2,965	162		
11135F-10-1	BROADCOM ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	41.000	21,067		24,213	0	0	0	0	0	0	24,213	0	(3,145)	(3,145)	168		
12572Q-10-5	CME GROUP CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	107.000	21,970		25,127	9,367	9	0	0	9	0	25,127	0	(3,156)	(3,156)	347		
126408-10-3	CSX ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	1,440.000	45,470		41,204	22,560	(9,895)	0	0	(9,895)	0	41,204	0	4,266	4,266	235		
126650-10-0	CVS HEALTH ORD		09/02/2022	Various	630.000	61,801		52,165	45,803	(12,334)	0	0	(12,334)	0	52,165	0	9,636	9,636	950		
150870-10-3	CELANESE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	154.000	17,602		23,082	10,756	(924)	0	0	(924)	0	23,082	0	(5,480)	(5,480)	231		
15135B-10-1	CENTENE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	230.000	20,866		18,310	3,296	(561)	0	0	(561)	0	18,310	0	2,555	2,555	0		
166764-10-0	CHEVRON ORD		08/04/2022	Various	179.000	26,395		23,921	9,036	(602)	0	0	(602)	0	23,921	0	2,474	2,474	327		
191216-10-0	COCA-COLA ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	300.000	18,504		18,827	0	0	0	0	0	0	18,827	0	(323)	(323)	224		
22160K-10-5	COSTCO WHOLESALE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	27.000	14,446		11,062	15,328	(4,266)	0	0	(4,266)	0	11,062	0	3,384	3,384	46		
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	58.000	10,853		13,136	11,466	1,288	0	0	1,288	0	13,136	0	(2,283)	(2,283)	0		
235851-10-2	DANAHER ORD		08/17/2022	Various	117.000	32,980		31,839	4,606	(1,244)	0	0	(1,244)	0	31,839	0	1,141	1,141	48		
244199-10-5	DEERE ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	61.000	19,390		19,501	3,772	(2,264)	0	0	(2,264)	0	19,501	0	(111)	(111)	131		
26614N-10-2	DUPONT DE NEMOURS ORD		08/31/2022	Various	920.000	52,202		74,930	0	0	0	0	0	0	74,930	0	(22,728)	(22,728)	766		
29444U-70-0	EQUINIX REIT ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	17.000	11,214		12,410	0	0	0	0	0	0	12,410	0	(1,196)	(1,196)	53		
30161N-10-1	EXELON ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	300.000	13,163		14,482	0	0	0	0	0	0	14,482	0	(1,319)	(1,319)	71		
30303M-10-2	META PLATFORMS CL A ORD		09/14/2022	Various	123.000	18,958		25,540	0	0	0	0	0	0	25,540	0	(6,582)	(6,582)	0		
31428X-10-6	FEDEX ORD		09/26/2022	EVERCORE GROUP LLC	139.000	19,878		32,182	0	0	0	0	0	0	32,182	0	(12,303)	(12,303)	0		

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
437076-10-2	HOME DEPOT ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	28.000	8,632		6,044	5,810	(4,196)	0	0	(4,196)	0	6,044	0	2,589	2,589	84		
438516-10-6	HONEYWELL INTERNATIONAL ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	110.000	20,040		20,389	4,379	(583)	0	0	(583)	0	20,389	0	(350)	(350)	106		
452308-10-9	ILLINOIS TOOL ORD		08/05/2022	CREDIT SUISSE, NEW YORK (CSUS)	140.000	27,433		29,499	4,442	(1,327)	0	0	(1,327)	0	29,499	0	(2,066)	(2,066)	336		
461202-10-3	INTUIT ORD		08/17/2022	TD AMERITRADE	20.000	9,542		9,776	0	0	0	0	0	0	9,776	0	(233)	(233)	27		
46625H-10-0	JPMORGAN CHASE ORD		08/17/2022	Various	334.000	39,412		44,167	5,542	(1,671)	0	0	(1,671)	0	44,167	0	(4,755)	(4,755)	528		
482480-10-0	KLA ORD		08/11/2022	Various	120.000	43,439		41,058	9,462	(2,494)	0	0	(2,494)	0	41,058	0	2,381	2,381	90		
518439-10-4	ESTEE LAUDER CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	46.000	12,098		14,369	17,029	(2,660)	0	0	(2,660)	0	14,369	0	(2,271)	(2,271)	55		
532457-10-8	ELI LILLY ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	28.000	9,165		7,938	3,867	(176)	0	0	(176)	0	7,938	0	1,227	1,227	27		
538034-10-9	LIVE NATION ENTERTAINMENT ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	560.000	51,702		58,102	45,243	(7,742)	0	0	(7,742)	0	58,102	0	(6,400)	(6,400)	0		
571903-20-2	MARRIOTT INTERNATIONAL CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	346.000	52,886		55,814	34,535	(1,774)	0	0	(1,774)	0	55,814	0	(2,928)	(2,928)	94		
57636Q-10-4	MASTERCARD CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	91.000	31,473		31,584	25,871	(1,066)	0	0	(1,066)	0	31,584	0	(111)	(111)	115		
594918-10-4	MICROSOFT ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	469.000	122,857		122,596	49,103	(19,078)	0	0	(19,078)	0	122,596	0	261	261	330		
595112-10-3	MICRON TECHNOLOGY ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	410.000	25,572		31,620	6,521	(3,276)	0	0	(3,276)	0	31,620	0	(6,048)	(6,048)	86		
65339F-10-1	NEXTERA ENERGY ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	190.000	15,495		15,783	2,801	(358)	0	0	(358)	0	15,783	0	(289)	(289)	162		
654106-10-3	NIKE CL B ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	113.000	12,486		15,750	6,167	(589)	0	0	(589)	0	15,750	0	(3,264)	(3,264)	65		
67066G-10-4	NVIDIA ORD		09/23/2022	Various	430.000	62,951		75,013	55,293	(34,023)	0	0	(34,023)	0	75,013	0	(12,062)	(12,062)	35		
70450Y-10-3	PAYPAL HOLDINGS ORD		07/22/2022	Various	588.000	48,019		62,198	52,048	(23,315)	0	0	(23,315)	0	62,198	0	(14,179)	(14,179)	0		
717081-10-3	PFIZER ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	430.000	22,072		23,618	0	0	0	0	0	0	23,618	0	(1,546)	(1,546)	136		
723787-10-7	PIONEER NATURAL RESOURCE ORD		08/04/2022	Various	264.000	57,171		57,595	15,824	908	0	0	908	0	57,595	0	(424)	(424)	2,587		
742718-10-9	PROCTER & GAMBLE ORD		07/29/2022	Various	201.000	28,285		30,692	0	0	0	0	0	0	30,692	0	(2,407)	(2,407)	367		
74340W-10-3	PROLOGIS REIT		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	150.000	19,135		24,981	0	0	0	0	0	0	24,981	0	(5,846)	(5,846)	119		
744573-10-6	PUBLIC SERVICE ENTERPRISE GROUP ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	170.000	10,190		12,263	0	0	0	0	0	0	12,263	0	(2,073)	(2,073)	92		
74736K-10-1	QORVO ORD		09/23/2022	RBC CAPITAL MARKETS, LLC	200.000	16,237		21,717	0	0	0	0	0	0	21,717	0	(5,480)	(5,480)	0		
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		08/05/2022	Various	760.000	71,406		70,373	24,871	488	0	0	488	0	70,373	0	1,034	1,034	603		
78409V-10-4	S&P GLOBAL ORD		09/23/2022	Various	102.000	35,097		34,899	25,012	(8,620)	0	0	(8,620)	0	34,899	0	198	198	180		
808513-10-5	CHARLES SCHWAB ORD		08/17/2022	TD AMERITRADE	59.000	4,458		3,875	0	0	0	0	0	0	3,875	0	583	583	13		
87165B-10-3	SYNCHRONY FINANCIAL ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	550.000	18,107		20,218	4,639	(1,850)	0	0	(1,850)	0	20,218	0	(2,111)	(2,111)	117		
88160R-10-1	TESLA ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	37.000	31,008		36,688	0	0	0	0	0	0	36,688	0	(5,680)	(5,680)	0		
88339J-10-5	TRADE DESK CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	570.000	27,406		35,511	50,402	(15,915)	0	0	(15,915)	0	35,511	0	(8,105)	(8,105)	0		
883556-10-2	THERMO FISHER SCIENTIFIC ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	31.000	17,581		18,384	14,012	(1,189)	0	0	(1,189)	0	18,384	0	(804)	(804)	22		
89832Q-10-9	TRUIST FINANCIAL ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	330.000	15,929		18,945	7,612	(566)	0	0	(566)	0	18,945	0	(3,016)	(3,016)	221		
91324P-10-2	UNITEDHEALTH GRP ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	99.000	51,929		45,720	8,536	(1,567)	0	0	(1,567)	0	45,720	0	6,208	6,208	276		

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

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CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
91913Y-10-0	VALERO ENERGY ORD		08/04/2022	Various	290.000	31,325		23,822	11,942	(952)	0	0	(952)	0	23,822	0	7,503	7,503	588		
929089-10-0	VOYA FINANCIAL ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	270.000	16,030		17,320	2,652	(336)	0	0	(336)	0	17,320	0	(1,290)	(1,290)	50		
949746-10-1	WELLS FARGO ORD		08/17/2022	TD AMERITRADE	296.000	13,611		13,850	0	0	0	0	0	0	13,850	0	(239)	(239)	141		
98978V-10-3	ZOETIS CL A ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	165.000	29,729		32,849	0	0	0	0	0	0	32,849	0	(3,120)	(3,120)	105		
G06242-10-4	ATLASSIAN CL A ORD	C	07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	105.000	22,261		6,095	40,035	(33,941)	0	0	(33,941)	0	6,095	0	16,166	16,166	0		
G1151C-10-1	ACCENTURE CL A ORD	C	07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	31.000	8,955		11,008	12,851	(1,843)	0	0	(1,843)	0	11,008	0	(2,054)	(2,054)	90		
G29183-10-3	EATON ORD	C	07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	131.000	18,063		18,491	3,975	(1,522)	0	0	(1,522)	0	18,491	0	(428)	(428)	167		
G46188-10-1	HORIZON THERAPEUTICS PUBLIC ORD	C	09/23/2022	Various	450.000	28,403		35,591	37,716	(11,465)	0	0	(11,465)	0	35,591	0	(7,188)	(7,188)	0		
G7997R-10-3	SEAGATE TECHNOLOGY HOLDINGS ORD	C	07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	200.000	15,555		23,271	0	0	0	0	0	0	23,271	0	(7,716)	(7,716)	280		
G8994E-10-3	TRANE TECHNOLOGIES ORD	C	07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	74.000	10,250		9,724	2,626	(1,971)	0	0	(1,971)	0	9,724	0	527	527	65		
V7780T-10-3	ROYAL CARIBBEAN GROUP ORD		07/22/2022	CREDIT SUISSE, NEW YORK (CSUS)	950.000	34,244		69,310	56,137	(2,459)	0	0	(2,459)	0	69,310	0	(35,066)	(35,066)	0		
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						2,118,305	XXX	2,276,920	1,025,805	(302,577)	0	0	(302,577)	0	2,276,920	0	(158,616)	(158,616)	14,635	XXX	XXX
00141A-58-6	INVESCO FLTG RT ESG Y		09/21/2022	TD AMERITRADE	311,351.577	2,146,471		2,260,916	808,036	1,158	0	0	1,158	0	2,260,916	0	(114,445)	(114,445)	53,662		.
02508J-70-5	AMER CENT:SHT DUR I		09/21/2022	TD AMERITRADE	133,327.609	1,308,884		1,372,145	676,043	5,310	0	0	5,310	0	1,372,145	0	(63,261)	(63,261)	13,621		.
05580W-84-1	BNY MELLON CORE PLUS I		09/21/2022	TD AMERITRADE	29,142.418	267,108		316,803	279,238	3,941	0	0	3,941	0	316,803	0	(49,694)	(49,694)	5,843		.
128119-88-0	CALAMOS:MKT NEUT INC I		09/21/2022	TD AMERITRADE	122,930.231	1,685,352		1,748,148	0	0	0	0	0	0	1,748,148	0	(62,797)	(62,797)	4,995		.
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						5,407,815	XXX	5,698,012	1,763,317	10,410	0	0	10,410	0	5,698,012	0	(290,197)	(290,197)	78,121	XXX	XXX
46138J-61-9	INVESCO RUSSEL 1000 DM		08/17/2022	TD AMERITRADE	1,189.000	53,539		55,586	0	0	0	0	0	0	55,586	0	(2,047)	(2,047)	203		.
464287-23-4	ISHARES:MSCI EM MKTS		09/16/2022	TD AMERITRADE	249.000	9,400		10,771	0	0	0	0	0	0	10,771	0	(1,371)	(1,371)	90		.
921943-85-8	VANGUARD DEV MKT ETF		08/17/2022	TD AMERITRADE	1,300.000	55,970		61,835	0	0	0	0	0	0	61,835	0	(5,864)	(5,864)	689		.
922908-62-9	VANGUARD MD-CP I ETF		08/17/2022	TD AMERITRADE	377.000	84,708		86,702	0	0	0	0	0	0	86,702	0	(1,994)	(1,994)	223		.
5819999999. Subtotal - Common Stocks - Exchange Traded Funds						203,617	XXX	214,893	0	0	0	0	0	0	214,893	0	(11,276)	(11,276)	1,204	XXX	XXX
5989999997. Total - Common Stocks - Part 4						7,729,737	XXX	8,189,825	2,789,122	(292,167)	0	0	(292,167)	0	8,189,825	0	(460,088)	(460,088)	93,960	XXX	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						7,729,737	XXX	8,189,825	2,789,122	(292,167)	0	0	(292,167)	0	8,189,825	0	(460,088)	(460,088)	93,960	XXX	XXX
5999999999. Total - Preferred and Common Stocks						8,007,650	XXX	8,497,668	3,081,022	(315,179)	0	0	(315,179)	0	8,497,668	0	(490,019)	(490,019)	102,939	XXX	XXX
6009999999 - Totals						15,776,122	XXX	16,377,600	7,295,380	(315,179)	(26,043)	0	(341,222)	0	16,350,213	0	(574,159)	(574,159)	220,252	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Fifth Third Bank	Cincinnati, OH		0.000	0	0	4,873,375	3,629,566	6,553,086	XXX
Fifth Third Bank	Cincinnati, OH					100,000	100,000	100,000	XXX
Fifth Third Bank	Cincinnati, OH					1,118,592	830,799	569,629	XXX
Umpqua Bank	San Diego, CA					16,598,463	18,090,095	18,835,122	XXX
TD Ameritrade	Omaha, NE					28,692	14,476	13,765	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		XXX	XXX	0	0				XXX
0199999. Totals - Open Depositories		XXX	XXX	0	0	22,719,122	22,664,937	26,071,602	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	0	0	22,719,122	22,664,937	26,071,602	XXX
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash		XXX	XXX	0	0	22,719,122	22,664,937	26,071,602	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	UNITED STATES TREASURY		08/29/2022	0.000	10/04/2022	799,847	0	1,636
0019999999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						799,847	0	1,636
0109999999. Total - U.S. Government Bonds						799,847	0	1,636
0309999999. Total - All Other Government Bonds						0	0	0
0509999999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
0709999999. Total - U.S. Political Subdivisions Bonds						0	0	0
0909999999. Total - U.S. Special Revenues Bonds						0	0	0
1109999999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
1309999999. Total - Hybrid Securities						0	0	0
1509999999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
1909999999. Subtotal - Unaffiliated Bank Loans						0	0	0
2419999999. Total - Issuer Obligations						799,847	0	1,636
2429999999. Total - Residential Mortgage-Backed Securities						0	0	0
2439999999. Total - Commercial Mortgage-Backed Securities						0	0	0
2449999999. Total - Other Loan-Backed and Structured Securities						0	0	0
2459999999. Total - SVO Identified Funds						0	0	0
2469999999. Total - Affiliated Bank Loans						0	0	0
2479999999. Total - Unaffiliated Bank Loans						0	0	0
2509999999. Total Bonds						799,847	0	1,636
31846V-41-9	FIRST AMER:TRS OBG V	SD	09/02/2022	2.720		50,000	94	202
94975H-29-6	ALLSPRING:TRS+ MM I	SD	09/02/2022	2.670		25,090	46	108
8209999999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						75,091	139	311
000000-00-0	RBS CITIZENS NA CASH SWEEP	SD	03/01/2021	0.000		0	0	0
000000-00-0	MMDA12		09/30/2022	0.000		5,915,454	0	805
60934N-80-7	FEDERATED HRMS GV O SVC		09/29/2022	2.610		1,139,672	3,919	65
60934N-80-7	FEDERATED HRMS GV O SVC	SD	09/30/2022	2.610		1,625	21	0
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)		09/30/2022	0.000		1,384,039	0	2,367
8309999999. Subtotal - All Other Money Market Mutual Funds						8,440,790	3,941	3,237
8609999999 - Total Cash Equivalents						9,315,728	4,080	5,183