

Office of Risk Assessment  
50 W. Town Street, Ste 300  
Columbus, OH 43215  
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Fax (614) 644-3256  
www.insurance.ohio.gov

## Ohio Department of Insurance

Mike DeWine – Governor  
Jillian Froment – Director



### Electronic Filing Authenticity Affidavit

#### Ohio Domestic Insurers Only

Company Name: Universal Guaranty Life Insurance Company

NAIC No. 70130

We, the undersigned executive officers of Universal Guaranty Life Insurance Company (herein referred to as the "Company"), an insurance company organized under the laws of Ohio, hereby certify that the documents indicated below by an "X" were filed electronically with the National Association of Insurance Commissioners ("NAIC") and that the electronic filing or filings, including ".PDF" filings, are exact copies of the original documents, except for formatting differences due to electronic filing. The original documents are maintained in this Company's office and are available for inspection upon request by the Ohio Department of Insurance for at least five years following the date of filing. An executed, notarized NAIC Annual Statement or Quarterly Statement jurat page or an original, notarized signature page (if this filing relates to a supplemental filing without a jurat page) attesting to the accuracy and authenticity of the corresponding NAIC Annual Statement or Quarterly Statement or supplemental schedule is attached to this Affidavit.

Company Type:  Fraternal  Title  Property & Casualty  Life & Health  Health  Other \_\_\_\_\_

Applicable documents:

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Annual Statement Electronic Filing[s]," which include "the annual statement data and all supplements due March 1, per the *Annual Statement Instructions*. This includes all detail investment schedules and other supplements for which the *Annual Statement Instructions* exempt printed detail." Date of filing with the NAIC: \_\_\_\_\_.  An original jurat page is attached.

Original filing.  Amended filing.

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Risk-Based Capital Electronic Filing," which "includes all risk-based capital data" due March 1. Date of filing with the NAIC: \_\_\_\_\_.  An original, notarized signature page is attached.

Original filing.  Amended filing.

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Supplemental Electronic Filing," which "includes all supplements due April 1, per the *Annual Statement Instructions*." Date of filing with the NAIC: \_\_\_\_\_. List of supplemental documents included in this Affidavit: \_\_\_\_\_.  All original notarized signature pages are attached, as applicable.

Original filing.  Amended filing.

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Quarterly Statement Electronic Filing," which "includes the complete quarterly statement data" due May 15, August 15, and November 15. Date of filing with the NAIC: \_\_\_\_\_.  Original filing.  Amended filing.

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "Combined Annual Statement Electronic Filing," which "includes the required pages of the combined annual statement and the combined Insurance Expense Exhibit" due May 1. Date of filing with the NAIC: \_\_\_\_\_.  
10.616566

The documents referred to in the *General Instructions* to the *NAIC Checklist* as "June. PDF Filing," which includes "the Audited Financial Statements" due June 1. Date of filing with the NAIC: \_\_\_\_\_.  
 Original filing.  Amended filing.

James P. Rousey Date \_\_\_\_\_  
(Name) \_\_\_\_\_  
(Title)\* President \_\_\_\_\_

Theodore C. Miller Date \_\_\_\_\_  
(Name) \_\_\_\_\_  
(Title)\* Secretary/CFO \_\_\_\_\_

Julie A. Abel Date \_\_\_\_\_  
(Name) \_\_\_\_\_  
(Title)\* Treasurer \_\_\_\_\_

Notary Public Amanda H. Hinsford ID: 616566

\*Signers must be principal executive officers of the Company (Chairman, President, CEO, CFO, Treasurer, Secretary)



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

**QUARTERLY STATEMENT**

AS OF JUNE 30, 2022

OF THE CONDITION AND AFFAIRS OF THE

**Universal Guaranty Life Insurance Company**

NAIC Group Code	(Current)	NAIC Company Code	70130	Employer's ID Number	31-0727974
Organized under the Laws of	(Prior)	State of Domicile or Port of Entry	OH		
Country of Domicile	United States of America				
Licensed as business type:	Life, Accident and Health [X] Fraternal Benefit Societies [ ]				
Incorporated/Organized	11/15/1966	Commenced Business	12/31/1966		
Statutory Home Office	65 East State Street, Suite 2100 (Street and Number)	Columbus, OH, US 43215-4260 (City or Town, State, Country and Zip Code)			
Main Administrative Office	111 W Main Street Stanford, KY, US 40484-1253 (Street and Number)	(Area Code) (Telephone Number)			
Mail Address	PO Box 410 (Street and Number or P.O. Box)	Stanford, KY, US 40484-1253 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	111 W Main Street Stanford, KY, US 40484-1253 (Street and Number)	(Area Code) (Telephone Number)			
Internet Website Address	www.utglins.com				
Statutory Statement Contact	Julie Ann Abel (Name) accounting@utglins.com (E-mail Address)	217-241-6344 (Area Code) (Telephone Number) 888-686-6567 (FAX Number)			

**OFFICERS**

President	James Patrick Rousey	Treasurer	Julie Ann Abel
Secretary	Bradley John Betack #		

**OTHER**

Julie Ann Abel, Vice President	Jacob Joncart Andrew, Chief Investment Officer	Michael Keith Borden, Chief Operating Officer
Jesse Thomas Correll, Chairman & CEO	Casey Jonathan Wills, Vice President	Donald Shay Pendygraft, Vice President
Theodore Clayton Miller, Senior Vice President & CFO	Michael Wayne Taylor, Assistant Vice President	Douglas Paul Ditto, Vice President

**DIRECTORS OR TRUSTEES**

Preston Howard Correll	John Michael Cortines	Jesse Thomas Correll
Thomas Francis Darden II	Howard Lape Dayton Jr	Thomas Eugene Hamon
Peter Loyd Ochs	James Patrick Rousey	Gabriel John Molnar
April Rene Chapman		

State of Kentucky  
County of Lincoln SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

James Patrick Rousey  
President

Bradley John Betack  
Secretary

Julie Ann Abel  
Treasurer

Subscribed and sworn to before me this  
11th day of July 2022

a. Is this an original filing? Yes [ X ] No [ ]  
 b. If no,  
 1. State the amendment number.....  
 2. Date filed.....  
 3. Number of pages attached.....

