

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2022
OF THE CONDITION AND AFFAIRS OF THE

Dealers Assurance Company

NAIC Group Code 0315 0000 NAIC Company Code 16705 Employer's ID Number 34-6513705Organized under the Laws of Ohio, State of Domicile or Port of Entry OHCountry of Domicile United States of AmericaIncorporated/Organized 08/02/1935 Commenced Business 08/02/1935Statutory Home Office 41 South High Street Suite 1700, Columbus, OH, US 43215
(Street and Number) (City or Town, State, Country and Zip Code)Main Administrative Office 15920 Addison Road
(Street and Number) Addison, TX, US 75001 800-282-8913
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Mail Address 15920 Addison Road, Addison, TX, US 75001
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)Primary Location of Books and Records 15920 Addison Road
(Street and Number) Addison, TX, US 75001 800-282-8913
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Internet Website Address www.dealersassurance.comStatutory Statement Contact Steven Craig Barrett, 800-282-8913
(Name) 972-813-0812
Steven.Barrett@iaawg.com, (FAX Number)
(E-mail Address)

OFFICERS

President Kristen Anne Gruber Treasurer Linda Marie Toy
Secretary Maryann Elizabeth Norwood Assistant Secretary Lisa Aileen Kirk

OTHER

Michael Lee Stickney, Managing Director Kirk Alan Borchardt, Executive Vice President Linda Marie Toy, Vice President
Maryann Elizabeth Norwood, Vice President

| | | |
|----------------------------|------------------------------|-------------------------------------|
| <u>Warren Van Genderen</u> | <u>Michael Lee Stickney</u> | <u>Douglas Alexander Carrothers</u> |
| <u>Denis Yves Ricard</u> | <u>Marilyn Rose Froelich</u> | <u>Douglas Curtis Oksendahl</u> |
| <u>Shelby Land Peavy</u> | <u>Normand Pepin</u> | <u>Yvon Charest</u> |
| <u>Ludwig Willisch</u> | | |

State of Texas SS: McLennan
County of

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

08/01/2022 10:04 AM

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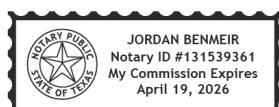
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08/01/2022 10:07 AM
CDTKristen A. GruberMaryann NorwoodLinda ToyKristen Anne Gruber
PresidentMaryann Elizabeth Norwood
SecretaryLinda Marie Toy
TreasurerSubscribed and sworn to before me this
1st day of August, 2022Jordan Benmeir08/01/2022 10:09 AM
CDT

a. Is this an original filing? Yes [] No []
 b. If no,
 1. State the amendment number.....
 2. Date filed
 3. Number of pages attached.....

Jordan Benmeir, Notary Public

Online Notary Public. This notarial act involved the use
of online audio/video communication technology.

KAG MN JF JB

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|---|------------------------|-------------------------|---|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds | 216,837,251 | | 216,837,251 | 206,684,314 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | 2,163,307 | | 2,163,307 | 1,094,577 |
| 2.2 Common stocks | 21,601,007 | | 21,601,007 | 13,799,358 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | 0 | .176,498 |
| 3.2 Other than first liens | | | 0 | 0 |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$ encumbrances) | 3,629,653 | | 3,629,653 | 3,692,244 |
| 4.2 Properties held for the production of income (less \$ encumbrances) | | | 0 | 0 |
| 4.3 Properties held for sale (less \$ encumbrances) | | | 0 | 0 |
| 5. Cash (\$ 22,080,658), cash equivalents (\$ 20,510,190) and short-term investments (\$ 3,496,733) | 46,087,581 | | 46,087,581 | 54,445,853 |
| 6. Contract loans (including \$ premium notes) | | | 0 | 0 |
| 7. Derivatives | | | 0 | 0 |
| 8. Other invested assets | | | 0 | 0 |
| 9. Receivables for securities | | | 0 | 0 |
| 10. Securities lending reinvested collateral assets | | | 0 | 0 |
| 11. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 290,318,799 | 0 | 290,318,799 | 279,892,844 |
| 13. Title plants less \$ charged off (for Title insurers only) | | | 0 | 0 |
| 14. Investment income due and accrued | 1,147,832 | | 1,147,832 | 1,073,310 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | 6,405,261 | | 6,405,261 | 7,567,317 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) | 839,830 | | 839,830 | 830,257 |
| 15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$) | | | 0 | 0 |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | | | 0 | 0 |
| 16.2 Funds held by or deposited with reinsured companies | | | 0 | 0 |
| 16.3 Other amounts receivable under reinsurance contracts | | | 0 | 0 |
| 17. Amounts receivable relating to uninsured plans | | | 0 | 0 |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | | | 0 | 0 |
| 18.2 Net deferred tax asset | 3,941,252 | 1,891,392 | 2,049,860 | 1,914,879 |
| 19. Guaranty funds receivable or on deposit | | | 0 | 0 |
| 20. Electronic data processing equipment and software | | | 0 | 0 |
| 21. Furniture and equipment, including health care delivery assets (\$) | | | 0 | 0 |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | | | 0 | 0 |
| 23. Receivables from parent, subsidiaries and affiliates | 9,737 | | 9,737 | .195,153 |
| 24. Health care (\$) and other amounts receivable | | | 0 | 0 |
| 25. Aggregate write-ins for other than invested assets | 1,452,527 | 0 | 1,452,527 | 1,234,956 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 304,115,238 | 1,891,392 | 302,223,846 | 292,708,716 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | 0 | 0 |
| 28. Total (Lines 26 and 27) | 304,115,238 | 1,891,392 | 302,223,846 | 292,708,716 |
| DETAILS OF WRITE-INS | | | | |
| 1101. | | | | |
| 1102. | | | | |
| 1103. | | | | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. Accounts Receivable | 1,452,527 | | 1,452,527 | 1,234,956 |
| 2502. | | | 0 | 0 |
| 2503. | | | 0 | 0 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 1,452,527 | 0 | 1,452,527 | 1,234,956 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1 Current Statement Date | 2 December 31, Prior Year |
|--|--------------------------------|---------------------------------|
| 1. Losses (current accident year \$ 940,243) | 940,243 | 940,243 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses | 0 | 0 |
| 3. Loss adjustment expenses | 0 | 0 |
| 4. Commissions payable, contingent commissions and other similar charges | 1,524,187 | 1,472,308 |
| 5. Other expenses (excluding taxes, licenses and fees) | 2,060,982 | 2,600,292 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes) | 1,641,382 | 2,955,842 |
| 7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses)) | 820,076 | 1,515,540 |
| 7.2 Net deferred tax liability | 0 | 0 |
| 8. Borrowed money \$ and interest thereon \$ | 0 | 0 |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 380,306,513 and including warranty reserves of \$ 67,956,453 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act) | 80,795,173 | 75,438,801 |
| 10. Advance premium | 0 | 0 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders | 0 | 0 |
| 11.2 Policyholders | 0 | 0 |
| 12. Ceded reinsurance premiums payable (net of ceding commissions) | 2,231,084 | 10,712,310 |
| 13. Funds held by company under reinsurance treaties | 93,550,865 | 75,642,550 |
| 14. Amounts withheld or retained by company for account of others | 7,122,548 | 6,342,924 |
| 15. Remittances and items not allocated | 1,096,991 | 7,365,606 |
| 16. Provision for reinsurance (including \$ certified) | 0 | 0 |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates | 0 | 0 |
| 18. Drafts outstanding | 0 | 0 |
| 19. Payable to parent, subsidiaries and affiliates | 121,040 | 223,405 |
| 20. Derivatives | 0 | 0 |
| 21. Payable for securities | 1,876,731 | 1,383,901 |
| 22. Payable for securities lending | 0 | 0 |
| 23. Liability for amounts held under uninsured plans | 0 | 0 |
| 24. Capital notes \$ and interest thereon \$ | 0 | 0 |
| 25. Aggregate write-ins for liabilities | 0 | 0 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) | 193,781,302 | 186,593,722 |
| 27. Protected cell liabilities | 0 | 0 |
| 28. Total liabilities (Lines 26 and 27) | 193,781,302 | 186,593,722 |
| 29. Aggregate write-ins for special surplus funds | 0 | 0 |
| 30. Common capital stock | 4,200,990 | 4,200,990 |
| 31. Preferred capital stock | 0 | 0 |
| 32. Aggregate write-ins for other than special surplus funds | 0 | 0 |
| 33. Surplus notes | 3,000,000 | 3,000,000 |
| 34. Gross paid in and contributed surplus | 9,732,810 | 9,732,810 |
| 35. Unassigned funds (surplus) | 91,508,744 | 89,181,194 |
| 36. Less treasury stock, at cost: | | |
| 36.1 shares common (value included in Line 30 \$) | 0 | 0 |
| 36.2 shares preferred (value included in Line 31 \$) | 0 | 0 |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36) | 108,442,544 | 106,114,994 |
| 38. Totals (Page 2, Line 28, Col. 3) | 302,223,846 | 292,708,716 |
| DETAILS OF WRITE-INS | | |
| 2501. | 0 | 0 |
| 2502. | 0 | 0 |
| 2503. | 0 | 0 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 0 | 0 |
| 2901. | 0 | 0 |
| 2902. | 0 | 0 |
| 2903. | 0 | 0 |
| 2998. Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | 0 | 0 |
| 3201. | 0 | 0 |
| 3202. | 0 | 0 |
| 3203. | 0 | 0 |
| 3298. Summary of remaining write-ins for Line 32 from overflow page | 0 | 0 |
| 3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above) | 0 | 0 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct (written \$ 122,307,065) | 101,180,822 | 93,683,089 | 191,679,109 |
| 1.2 Assumed (written \$ (5,440)) | 1,002,060 | 1,842,869 | 2,756,699 |
| 1.3 Ceded (written \$ 105,373,750) | 90,611,379 | 85,763,137 | 174,850,798 |
| 1.4 Net (written \$ 16,927,875) | 11,571,503 | 9,762,821 | 19,585,010 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$ 2,210,851): | | | |
| 2.1 Direct | 56,832,623 | 49,300,033 | 108,614,623 |
| 2.2 Assumed | 550,262 | 505,566 | 1,253,080 |
| 2.3 Ceded | 55,617,199 | 48,049,615 | 105,327,582 |
| 2.4 Net | 1,765,686 | 1,755,984 | 4,540,121 |
| 3. Loss adjustment expenses incurred | | | |
| 4. Other underwriting expenses incurred | 2,785,824 | 2,075,506 | 4,428,075 |
| 5. Aggregate write-ins for underwriting deductions | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5) | 4,551,510 | 3,831,490 | 8,968,196 |
| 7. Net income of protected cells | | | |
| 8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7) | 7,019,993 | 5,931,331 | 10,616,814 |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned | 2,453,794 | 1,960,051 | 4,128,563 |
| 10. Net realized capital gains (losses) less capital gains tax of \$ (177,191) | (666,577) | 361,396 | 768,702 |
| 11. Net investment gain (loss) (Lines 9 + 10) | 1,787,217 | 2,321,447 | 4,897,265 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$) | 0 | 0 | 0 |
| 13. Finance and service charges not included in premiums | | | |
| 14. Aggregate write-ins for miscellaneous income | 229,855 | (233,453) | (845,390) |
| 15. Total other income (Lines 12 through 14) | 229,855 | (233,453) | (845,390) |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) | 9,037,065 | 8,019,325 | 14,668,689 |
| 17. Dividends to policyholders | | | |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 9,037,065 | 8,019,325 | 14,668,689 |
| 19. Federal and foreign income taxes incurred | 2,448,614 | 1,847,026 | 3,948,749 |
| 20. Net income (Line 18 minus Line 19)(to Line 22) | 6,588,451 | 6,172,299 | 10,719,940 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year | 106,114,994 | 94,181,330 | 94,181,330 |
| 22. Net income (from Line 20) | 6,588,451 | 6,172,299 | 10,719,940 |
| 23. Net transfers (to) from Protected Cell accounts | | | |
| 24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (923,135) | (3,472,746) | 45,743 | 536,701 |
| 25. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 26. Change in net deferred income tax | 426,007 | 244,972 | 1,044,427 |
| 27. Change in nonadmitted assets | (1,214,162) | (32,421) | (367,404) |
| 28. Change in provision for reinsurance | | | 0 |
| 29. Change in surplus notes | | | |
| 30. Surplus (contributed to) withdrawn from protected cells | | | |
| 31. Cumulative effect of changes in accounting principles | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in | | | |
| 32.2 Transferred from surplus (Stock Dividend) | | | |
| 32.3 Transferred to surplus | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in | 0 | 0 | 0 |
| 33.2 Transferred to capital (Stock Dividend) | | | |
| 33.3 Transferred from capital | | | |
| 34. Net remittances from or (to) Home Office | | | |
| 35. Dividends to stockholders | | | |
| 36. Change in treasury stock | | | 0 |
| 37. Aggregate write-ins for gains and losses in surplus | 0 | 0 | 0 |
| 38. Change in surplus as regards policyholders (Lines 22 through 37) | 2,327,550 | 6,430,593 | 11,933,664 |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) | 108,442,544 | 100,611,923 | 106,114,994 |
| DETAILS OF WRITE-INS | | | |
| 0501. | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) | 0 | 0 | 0 |
| 1401. Misc. Income (Expense) | (4,846) | (4,934) | (6,443) |
| 1402. Admin/Service Fee Income | 104,961 | 101,410 | 223,716 |
| 1403. Interest Expense-Funds held | 129,740 | (329,929) | (1,062,663) |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | 229,855 | (233,453) | (845,390) |
| 3701. | | | |
| 3702. | | | |
| 3703. | | | |
| 3798. Summary of remaining write-ins for Line 37 from overflow page | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above) | 0 | 0 | 0 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
CASH FLOW

| | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| Cash from Operations | | | |
| 1. Premiums collected net of reinsurance | 9,599,132 | 21,382,591 | 47,905,340 |
| 2. Net investment income | 2,785,998 | 2,360,226 | 4,762,092 |
| 3. Miscellaneous income | 229,855 | (233,453) | (845,390) |
| 4. Total (Lines 1 to 3) | 12,614,985 | 23,509,364 | 51,822,043 |
| 5. Benefit and loss related payments | 1,765,686 | 1,755,984 | 4,475,768 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | 0 | 0 | 0 |
| 7. Commissions, expenses paid and aggregate write-ins for deductions | 4,591,846 | 3,418,500 | 4,392,737 |
| 8. Dividends paid to policyholders | 0 | 0 | 0 |
| 9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) | 2,966,890 | 2,777,251 | 3,733,536 |
| 10. Total (Lines 5 through 9) | 9,324,422 | 7,951,735 | 12,602,041 |
| 11. Net cash from operations (Line 4 minus Line 10) | 3,290,563 | 15,557,629 | 39,220,002 |
| Cash from Investments | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds | 18,101,522 | 21,834,147 | 53,609,091 |
| 12.2 Stocks | 5,966,734 | 7,844,183 | 13,363,057 |
| 12.3 Mortgage loans | 176,498 | 9,440 | 19,092 |
| 12.4 Real estate | 0 | 0 | 0 |
| 12.5 Other invested assets | 0 | 0 | 0 |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | 0 | 0 | 0 |
| 12.7 Miscellaneous proceeds | 492,830 | 0 | 1,383,901 |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 24,737,584 | 29,687,769 | 68,375,141 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds | 28,536,374 | 33,449,915 | 95,377,590 |
| 13.2 Stocks | 20,134,850 | 10,004,807 | 17,821,257 |
| 13.3 Mortgage loans | 0 | 0 | 0 |
| 13.4 Real estate | 0 | 0 | 0 |
| 13.5 Other invested assets | 0 | 0 | 0 |
| 13.6 Miscellaneous applications | 0 | 100,743 | 0 |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) | 48,671,225 | 43,555,465 | 113,198,847 |
| 14. Net increase (or decrease) in contract loans and premium notes | 0 | 0 | 0 |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (23,933,641) | (13,867,696) | (44,823,707) |
| Cash from Financing and Miscellaneous Sources | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes | 0 | 0 | 0 |
| 16.2 Capital and paid in surplus, less treasury stock | 0 | 0 | 0 |
| 16.3 Borrowed funds | 0 | 0 | 0 |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities | 0 | 0 | 0 |
| 16.5 Dividends to stockholders | 0 | 0 | 0 |
| 16.6 Other cash provided (applied) | 12,284,804 | 18,205,523 | 48,332,308 |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | 12,284,804 | 18,205,523 | 48,332,308 |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | (8,358,274) | 19,895,456 | 42,728,603 |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year | 54,445,854 | 11,717,251 | 11,717,251 |
| 19.2 End of period (Line 18 plus Line 19.1) | 46,087,581 | 31,612,707 | 54,445,854 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies

A. Accounting policy

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

| | SSAP # | F/S Page | F/S Line # | 2022 | 2021 |
|---|--------|----------|------------|---------------|---------------|
| Net Income | | | | | |
| (1) Company state basis (Page 4, Line 20, Columns 1 & 2) | - | - | - | \$ 6,588,451 | \$ 10,719,940 |
| (2) State Prescribed Practices that (increase)/decrease NAIC SAP | - | - | - | - | - |
| (3) State Permitted Practices that (increase)/decrease NAIC SAP S | - | - | - | - | - |
| (4) NAIC SAP (1 – 2 – 3 = 4) | - | - | - | \$ 6,588,451 | \$ 10,719,940 |
| Surplus | | | | | |
| (5) Company state basis (Page 3, Line 37, Columns 1 & 2) | - | - | - | \$110,219,035 | \$106,114,994 |
| (6) State Prescribed Practices that increase/(decrease) NAIC SAP | - | - | - | - | - |
| (7) State Permitted Practices that increase/(decrease) NAIC SAP | - | - | - | - | - |
| (8) NAIC SAP (1 – 2 – 3 = 4) | | | | \$110,219,035 | \$106,114,994 |

B. Use of Estimates in Preparation of the Financial Statement

No significant change.

C. Accounting Policy

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. The Company has one first lien mortgage loan on real estate which are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant change.

Note 3 – Business Combinations and Good Will

No significant change.

Note 4 – Discontinued Operations

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 5 – Investments

D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors
- 2.-3. Not applicable.
4. Loan-backed and structured securities in unrealized loss positions as of year end, stratified based on length of time continuously in these unrealized positions, are as follows:

| | |
|---|------------|
| a. The aggregate amount of unrealized losses: | |
| 1. Less than 12 Months | 2,336,473 |
| 2. 12 Months or Longer | 1,106,391 |
| b. The aggregate related fair value of securities with unrealized losses: | |
| 1. Less than 12 Months | 30,965,040 |
| 2. 12 Months or Longer | 7,156,772 |

5. No impairments exist other than interest rate related declines classified as temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Repurchase Agreements Transactions Account as Secured Borrowings

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Lending

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

Not applicable

R. Share of Cash Pool by Asset Type

Not applicable

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 – Investment Income

No significant change.

Note 8 – Derivative Instruments

The Company does not own derivative instruments.

Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

| | 6/30/2022 | | | 12/31/2021 | | | Change | | |
|--|--------------|------------|--------------|--------------|--------------|--------------|--------------|------------|------------|
| | Ordinary | Capital | Total | Ordinary | Capital | Total | Ordinary | Capital | Total |
| a Gross Deferred Tax Assets - Actual | \$ 3,808,418 | \$ 373,063 | \$ 4,181,481 | \$ 3,424,711 | \$ - | \$ 3,424,711 | \$ 383,707 | \$ 373,063 | \$ 756,770 |
| b Statutory Valuation Allowance Adjustments | - | - | - | - | - | - | - | - | - |
| c Adjusted Gross Deferred Tax Assets | 3,808,418 | 373,063 | 4,181,481 | 3,424,711 | - | 3,424,711 | 383,707 | 373,063 | 756,770 |
| d Deferred Tax Assets Nonadmitted | 1,891,392 | - | 1,891,392 | 677,230 | - | 677,230 | 1,214,162 | - | 1,214,162 |
| e Subtotal Net Admitted Deferred Tax Asset | 1,917,026 | 373,063 | 2,290,089 | 2,747,481 | - | 2,747,481 | (830,455) | 373,063 | (457,392) |
| f Deferred Tax Liabilities | 240,229 | - | 240,229 | 282,530 | 550,072 | 832,602 | (42,301) | (550,072) | (592,373) |
| g Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) | \$ 1,676,797 | \$ 373,063 | \$ 2,049,860 | \$ 2,464,951 | \$ (550,072) | \$ 1,914,879 | \$ (788,154) | \$ 923,135 | \$ 134,981 |

NOTES TO FINANCIAL STATEMENTS

2. Admission calculation components SSAP No. 101:

| | 6/30/2022 | | | 12/31/2021 | | | Change | | |
|--|--------------|---------|--------------|--------------|---------|--------------|--------------|---------|--------------|
| | Ordinary | Capital | Total | Ordinary | Capital | Total | Ordinary | Capital | Total |
| Federal Income Taxes Paid in Prior Years | | | | | | | | | |
| a Recoverable through loss carrybacks [3 year Carryback] | \$ 1,371,180 | \$ - | \$ 1,371,180 | \$ 1,281,193 | \$ - | \$ 1,281,193 | \$ 89,987 | \$ - | \$ 89,987 |
| Adjusted Gross Deferred Tax Assets Expected to Be Realized (Excluding the amount of Deferred Tax Assets from 2 (a) After Application of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below) | | | | | | | | | |
| 1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date | 678,680 | | 678,680 | 633,686 | | 633,686 | 44,994 | | 44,994 |
| 2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshold | XXX | XXX | 15,943,953 | XXX | XXX | 15,630,017 | XXX | XXX | 313,936 |
| c Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities | 240,229 | - | 240,229 | 832,602 | - | 832,602 | (592,373) | - | (592,373) |
| d Deferred Tax Assets Admitted as the result of application of SSAP 101 | | | | | | | | | |
| Total (2(a) + 2(b) + 2 (c)) | \$ 2,290,089 | \$ - | \$ 2,290,089 | \$ 2,747,481 | \$ - | \$ 2,747,481 | \$ (457,392) | \$ - | \$ (457,392) |

3.

| | 2022 | 2021 |
|--|------|------|
|--|------|------|

| | | |
|---|---------------|---------------|
| a Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount | 1150% | 1108% |
| b Amount of Adjusted Capital and Surplus Used to Determine Recovery Period And Threshold Limitation in 2(b)2 Above. | \$108,169,175 | \$104,200,115 |

4. Impact of Tax Planning Strategies:

| | 6/30/2022 | | | 12/31/2021 | | | Change | | |
|--|------------------|-----------------|---------------|------------------|-----------------|---------------|------------------|-----------------|---------------|
| | Ordinary Percent | Capital Percent | Total Percent | Ordinary Percent | Capital Percent | Total Percent | Ordinary Percent | Capital Percent | Total Percent |
| a Adjusted Gross DTAs (% of Total Adjusted Gross DTAs) | 91% | 9% | 100% | 100% | 0% | 100% | -9% | 9% | 0% |
| b Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs) | 91% | 9% | 100% | 100% | 0% | 100% | -9% | 9% | 0% |
| c Does the Company's tax-planning strategies include the use of Reinsurance? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | | | | | | | | | |

B. Deferred tax liabilities not recognized at June 30, 2022 and December 31, 2021 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

NOTES TO FINANCIAL STATEMENTS

C. The provisions for incurred tax on earnings are as follows:

| | 6/30/2022 | 12/31/2021 | Change |
|---|---------------------|---------------------|-----------------------|
| 1. Current Income Tax: | | | |
| Federal | \$ 2,448,614 | \$ 3,948,749 | \$ (1,500,135) |
| Foreign | - | - | - |
| Subtotal | 2,448,614 | 3,948,749 | (1,500,135) |
| Federal income tax on net capital gains | (177,191) | 204,613 | (381,804) |
| Federal and foreign income taxes incurred | <u>\$ 2,271,423</u> | <u>\$ 4,153,362</u> | <u>\$ (1,881,939)</u> |
| 2. Deferred Tax Assets: | | | |
| <u>Ordinary</u> | | | |
| Discounting of unpaid losses | \$ 13,822 | \$ 13,822 | - |
| Unearned premium reserve | 3,393,397 | 3,168,430 | 224,967 |
| Investments | 188,125 | 76,079 | 112,046 |
| Restricted stock expense | 213,074 | 166,381 | 46,693 |
| Subtotal | 3,808,418 | 3,424,712 | 383,706 |
| Statutory valuation allowance adjustment | - | - | - |
| Nonadmitted | 1,891,392 | 677,230 | 1,214,162 |
| Admitted ordinary deferred tax assets | <u>1,917,026</u> | <u>2,747,482</u> | <u>(830,456)</u> |
| <u>Capital</u> | | | |
| Investments | 373,063 | - | 373,063 |
| Nonadmitted | - | - | - |
| Admitted capital deferred tax assets | 373,063 | - | 373,063 |
| Admitted deferred tax assets | <u>\$ 2,290,089</u> | <u>\$ 2,747,482</u> | <u>\$ (457,393)</u> |
| Deferred Tax Liabilities: | | | |
| <u>Ordinary</u> | | | |
| Fixed assets | 240,229 | 282,530 | (42,301) |
| Subtotal | <u>\$ 240,229</u> | <u>\$ 282,530</u> | <u>\$ (42,301)</u> |
| <u>Capital</u> | | | |
| Investments | - | 550,073 | (550,073) |
| Subtotal | - | 550,073 | (550,073) |
| Deferred Tax Liabilities | 240,229 | 832,603 | (592,374) |
| Net deferred tax assets/liabilities | <u>\$ 2,049,860</u> | <u>\$ 1,914,879</u> | <u>\$ 134,981</u> |

D. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus as of the period ended was comprised of the following:

| | 6/30/2022 | 12/31/2021 | Change |
|---|------------------|------------------|-------------------|
| Adjusted gross deferred tax assets | \$ 4,181,481 | \$ 3,424,712 | \$ 756,769 |
| Total deferred tax liabilities | 240,229 | 832,603 | (592,374) |
| Net deferred tax assets (liabilities) | <u>3,941,252</u> | <u>2,592,109</u> | <u>1,349,143</u> |
| Tax effect of unrealized gains (losses) | | | (923,135) |
| Change in net deferred income tax | | | <u>\$ 426,008</u> |

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended June 30, 2022 and December 31, 2021, differed from the amounts computed by applying the federal statutory rate of 21% in 2022 and in 2021 to pretax net gain or loss from operations as a result of the following:

| | 6/30/2022 | 12/31/2021 |
|---|---------------------|---------------------|
| Pretax net income (loss) | \$ 8,859,874 | \$ 14,873,303 |
| Provision computed at statutory rate | 1,860,574 | 3,123,394 |
| Prior period over accrual | - | - |
| Other | (15,159) | (14,459) |
| Total | <u>\$ 1,845,415</u> | <u>\$ 3,108,935</u> |
| Federal and foreign income taxes incurred | 2,448,614 | 3,948,749 |
| Federal Income tax on net capital gains | (177,191) | 204,613 |
| Change in net deferred income taxes | (426,008) | (1,044,427) |
| Total statutory income tax | <u>\$ 1,845,415</u> | <u>\$ 3,108,935</u> |

E. At June 30, 2022, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

NOTES TO FINANCIAL STATEMENTS

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities

No significant change.

Note 11 – Debt

The Company does not have any outstanding debt.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other

No significant change.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 – Liabilities, Contingencies and Assessments

No significant change.

Note 15 – Leases

No significant change.

Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 – Direct Premium Written/Produced by Managing General Agents

No significant change.

Note 20 – Fair Value Measurements

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three level fair value hierarchy as reflected in the tables below. The three level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

NOTES TO FINANCIAL STATEMENTS

A. Securities measured and reported at fair value as of June 30, 2022:

| Description | Level 1 | Level 2 | Level 3 | Net Asset Value (NAV) | Total |
|---------------------------------|------------|---------|---------|-----------------------|------------|
| Assets at fair value | | | | | |
| Cash Equivalents | | | | | |
| Exempt MM Fund | \$ 75,000 | \$ - | \$ - | \$ - | \$ 75,000 |
| Other MM Fund | 20,435,189 | - | - | - | 20,435,189 |
| Total Cash Equivalent | 20,510,189 | - | - | - | 20,510,189 |
| Bonds | | | | | |
| SVO Identified Funds | 7,033,779 | - | - | - | 7,033,779 |
| Common Stock: | | | | | |
| Indust. & Misc | 7,900,593 | - | - | - | 7,900,593 |
| Mutual Funds | 8,043,187 | - | - | - | 8,043,187 |
| Exchange Traded Funds | 5,657,227 | - | - | - | 5,657,227 |
| Total Common Stock | 21,601,007 | - | - | - | 21,601,007 |
| Preferred Stock: | | | | | |
| Indust. & Misc | 2,163,307 | - | - | - | 2,163,307 |
| Derivative assets | - | - | - | - | - |
| Separate account assets | - | - | - | - | - |
| Total assets at fair value | 51,308,282 | - | - | - | 51,308,282 |
| Liabilities at fair value | - | - | - | - | - |
| Total liabilities at fair value | \$ - | \$ - | \$ - | \$ - | \$ - |

B. Other fair value disclosures
Not applicable.

C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.

| At June 30, 2022 | | | | | | |
|--|----------------------|-----------------|---------------|----------------|---------|----------------------------------|
| Description | Aggregate Fair Value | Admitted Assets | Level 1 | Level 2 | Level 3 | Not Practicable (carrying value) |
| Bonds | \$ 200,975,249 | \$ 216,837,251 | \$ 31,769,598 | \$ 169,205,651 | \$ - | \$ - |
| Preferred Stock | 2,163,307 | 2,163,307 | 2,163,307 | - | - | - |
| Common Stock | 21,601,007 | 21,601,007 | 21,601,007 | - | - | - |
| Mortgage loans | - | - | - | - | - | - |
| Cash , cash equivalents and short-term investments | 46,087,581 | 46,087,581 | 46,087,581 | - | - | - |

| At December 31, 2021 | | | | | | |
|--|----------------------|-----------------|---------------|----------------|---------|----------------------------------|
| Description | Aggregate Fair Value | Admitted Assets | Level 1 | Level 2 | Level 3 | Not Practicable (carrying value) |
| Bonds | \$ 208,385,535 | \$ 206,684,314 | \$ 29,754,331 | \$ 178,631,204 | \$ - | \$ - |
| Preferred Stock | 1,094,577 | 1,094,577 | 1,094,577 | - | - | - |
| Common Stock | 13,799,358 | 13,799,358 | 13,799,358 | - | - | - |
| Mortgage loans | - | 176,498 | - | - | - | 176,498 |
| Cash , cash equivalents and short-term investments | 54,445,836 | 54,445,836 | 54,445,836 | - | - | - |

D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.

On June 1, 2022, the mortgage loan issued by the Company was paid in full.

E. No investments are measured at NAV.

Note 21 – Other Items

No significant change.

Note 22 – Subsequent Events

No significant change.

Note 23 – Reinsurance

No significant change.

Note 24 – Retrospectively Rated Contracts & Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

NOTES TO FINANCIAL STATEMENTS

Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2021 were \$940,243. As of June 30, 2022, \$496,025 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$444,218 of favorable loss development in prior years.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date; and the balance is settled within 180 days of incurred date.

Note 26 – Intercompany Pooling Arrangements

Not applicable.

Note 27 – Structure Settlements

Not applicable.

Note 28 – Health Care Receivables

Not applicable.

Note 29 – Participating Policies

Not applicable.

Note 30 – Premium Deficiency Reserves

No significant change.

Note 31 – High Deductibles

Not applicable.

Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses

Not applicable.

Note 33 – Asbestos/Environmental Reserves

Not applicable.

Note 34 – Subscriber Savings Accounts

Not applicable.

Note 35 – Multiple Peril Crop Insurance

Not applicable.

Note 36 – Financial Guaranty Insurance

The Company does not write financial guaranty insurance.

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|------------------------|------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A [] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/02/2019

6.4 By what department or departments?
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---|-----------------------------|----------|----------|-----------|----------|
| The Securities Affiliates do not operate in the U.S. | Quebec, Canada | NO | NO | NO | NO |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

| | 1 Prior Year-End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|---|---|--|
| 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: | \$ 0 | \$ 0 |
| 13. Amount of real estate and mortgages held in short-term investments: | \$ 0 | \$ 0 |
| 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] | | |
| 14.2 If yes, please complete the following: | | |
| 14.21 Bonds | \$ 0 | \$ 0 |
| 14.22 Preferred Stock | \$ 0 | \$ 0 |
| 14.23 Common Stock | \$ 0 | \$ 0 |
| 14.24 Short-Term Investments | \$ 0 | \$ 0 |
| 14.25 Mortgage Loans on Real Estate | \$ 0 | \$ 0 |
| 14.26 All Other | \$ 0 | \$ 0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ 0 | \$ 0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ 0 | \$ 0 |
| 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] | | |
| 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] N/A [<input type="checkbox"/>] If no, attach a description with this statement. | | |
| 16. For the reporting entity's security lending program, state the amount of the following as of the current statement date: | | |
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. | \$ 0 | |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. | \$ 0 | |
| 16.3 Total payable for securities lending reported on the liability page. | \$ 0 | |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F.
 Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|--|-------------------------|
| Fifth Third Bank | Cincinnati, OH |
| US Bank | Winston Salem, NC |
| Wells Fargo Bank | Richmond, VA |
| Citibank, NA | New York, NY |
| RBS Citizens, NA | Providence, RI |
| Conduent State & Local Solutions | Quincy, MA |
| Bank of New York Mellon | Atlanta, GA |
| First National Bankers Bank | Little Rock, AR |
| Ameritrade | Omaha, NE |
| Umpqua Bank | San Diego, CA |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

17.4 If yes, give full information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1 Name of Firm or Individual | 2 Affiliation |
|--|------------------|
| Industrial Alliance Investment Management Inc. (make investment decisions) | A |
| Asset Allocation & MGMT. Co. | U |
| Bank of NY Wealth Mgmt. | U |
| San Luis Wealth Advisors, LLC | U |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|--|--|------------------------------------|---|---|
| Exempt | Industrial Alliance Investment Management Inc. (make investment decisions) | N/A | N/A | DS |
| 109875 | Asset Allocation & MGMT. Co. | 549300DSCHEVIV5W3U963 | Securities Exchange Commission | NO |
| 8275 | Bank of NY Wealth Mgmt. | N/A | Office of Controller of Currency | NO |
| 309506 | San Luis Wealth Advisors, LLC | N/A | Securities Exchange Commission | NO |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
 Has the reporting entity self-designated PLGI securities? Yes [] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
 a. The shares were purchased prior to January 1, 2019.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 d. The fund only or predominantly holds bonds in its portfolio.
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No []

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Discount Rate | TOTAL DISCOUNT | | | DISCOUNT TAKEN DURING PERIOD | | | | |
|-----------------------|--------------------------|-----------------------|-----------------------|--------------------|-----------|------------------------------|-----------------------|--------------------|------------|-------------|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 TOTAL | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR | 11 TOTAL |
| | | | TOTAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5. Operating Percentages:

5.1 A&H loss percent %

5.2 A&H cost containment percent %

5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

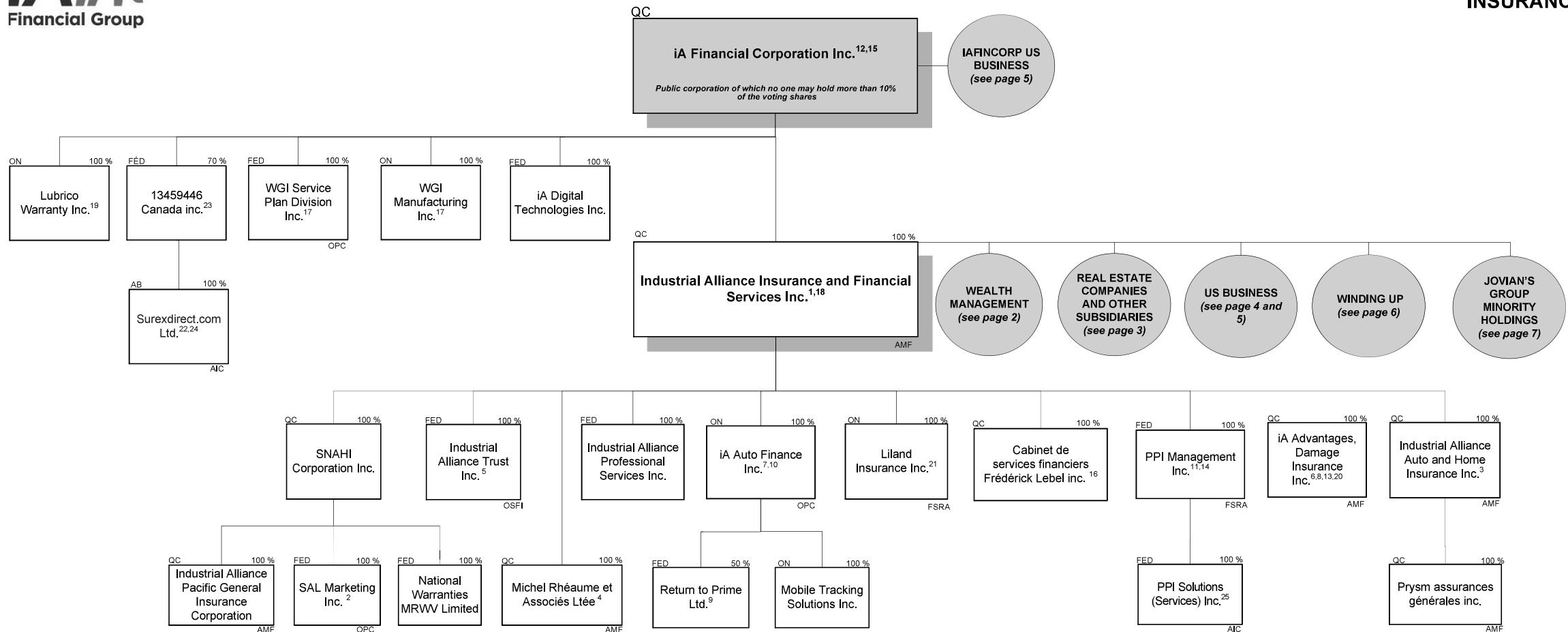
STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| States, etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|--|------------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year To Date | 3 Prior Year To Date | 4 Current Year To Date | 5 Prior Year To Date | 6 Current Year To Date | 7 Prior Year To Date |
| 1. Alabama | AL | 2,106,966 | 1,312,819 | 456,154 | 657,431 | 9,558 | 9,558 |
| 2. Alaska | AK | L | 119,154 | 95,633 | 30,929 | 31,649 | |
| 3. Arizona | AZ | L | 449,571 | 262,889 | 75,655 | 169,766 | 17 |
| 4. Arkansas | AR | L | 1,128,066 | 1,100,838 | 271,273 | 773,632 | 127,534 |
| 5. California | CA | L | 1,289,397 | 1,290,352 | 239,194 | 370,158 | 10,899 |
| 6. Colorado | CO | L | 10,415,986 | 5,715,495 | 3,751,728 | 2,033,912 | 225,914 |
| 7. Connecticut | CT | L | 609,496 | 293,706 | 111,801 | 157,150 | |
| 8. Delaware | DE | L | 2,402,607 | 2,220,232 | 213,340 | 858,653 | 269,304 |
| 9. District of Columbia | DC | L | 3,727 | 0 | | | |
| 10. Florida | FL | L | 7,596,486 | 5,924,416 | 1,798,207 | 2,353,482 | 617,573 |
| 11. Georgia | GA | L | 1,489,709 | 1,335,802 | 3,279,113 | 1,117,667 | 13,536 |
| 12. Hawaii | HI | L | 4,560 | 0 | | | |
| 13. Idaho | ID | L | 43,727 | 33,403 | 15,089 | 1,252 | 0 |
| 14. Illinois | IL | L | 1,046,016 | 1,698,674 | 447,593 | 786,245 | 72 |
| 15. Indiana | IN | L | 1,975,935 | 1,684,988 | 530,164 | 855,135 | |
| 16. Iowa | IA | L | 914,149 | 533,135 | 274,073 | 307,067 | |
| 17. Kansas | KS | L | 146,641 | 165,035 | 48,562 | 33,126 | 14,543 |
| 18. Kentucky | KY | L | 713,853 | 674,990 | 137,847 | 391,600 | 13,028 |
| 19. Louisiana | LA | L | 1,702,780 | 1,488,607 | 503,994 | 870,401 | 267,883 |
| 20. Maine | ME | L | 437,731 | 573,506 | 128,416 | 279,403 | |
| 21. Maryland | MD | L | 934,499 | 627,505 | 199,584 | 454,443 | |
| 22. Massachusetts | MA | L | 1,564,800 | 329,602 | 145,757 | 134,092 | |
| 23. Michigan | MI | L | 5,622,174 | 4,516,165 | 1,743,618 | 2,945,779 | |
| 24. Minnesota | MN | L | 1,109,719 | 1,129,283 | 305,819 | 751,777 | |
| 25. Mississippi | MS | L | 1,269,772 | 1,138,475 | 317,605 | 513,250 | |
| 26. Missouri | MO | L | 1,212,999 | 1,288,984 | 264,857 | 638,117 | |
| 27. Montana | MT | L | 57,738 | 58,395 | 13,131 | 22,233 | 0 |
| 28. Nebraska | NE | L | 175,233 | 111,568 | 55,444 | (25,890) | 50,039 |
| 29. Nevada | NV | L | 192,819 | 32,585 | 14,504 | 57,575 | |
| 30. New Hampshire | NH | L | 146,960 | 131,120 | 14,693 | 59,832 | |
| 31. New Jersey | NJ | L | 1,527,743 | 1,329,601 | 466,222 | 545,980 | 1,682 |
| 32. New Mexico | NM | L | 1,316,988 | 690,390 | 205,104 | 247,538 | |
| 33. New York | NY | L | 2,873,858 | 2,156,294 | 740,185 | 1,580,158 | |
| 34. North Carolina | NC | L | 9,637,052 | 11,185,325 | 7,479,363 | 6,970,464 | 1,023,649 |
| 35. North Dakota | ND | L | 49,862 | 56,773 | 18,341 | 28,935 | |
| 36. Ohio | OH | L | 14,048,587 | 23,597,071 | 17,841,225 | 4,426,746 | 406,670 |
| 37. Oklahoma | OK | L | 354,293 | 542,345 | 94,499 | 174,151 | 47,788 |
| 38. Oregon | OR | L | 770,312 | 195,076 | 34,058 | 100,628 | 0 |
| 39. Pennsylvania | PA | L | 2,642,887 | 3,022,142 | 474,196 | 1,008,145 | 3,405 |
| 40. Rhode Island | RI | L | 280,133 | 136,088 | 41,087 | 78,029 | |
| 41. South Carolina | SC | L | 423,918 | 279,492 | 50,261 | 138,478 | 149 |
| 42. South Dakota | SD | L | 79,905 | 47,664 | 15,095 | 33,448 | |
| 43. Tennessee | TN | L | 2,166,447 | 1,813,260 | 484,326 | 758,856 | 11,634 |
| 44. Texas | TX | L | 21,795,916 | 24,585,784 | 9,270,585 | 10,179,635 | 4,851,221 |
| 45. Utah | UT | L | 153,331 | 47,878 | 11,069 | 24,344 | 0 |
| 46. Vermont | VT | L | 82,309 | 75,927 | 25,916 | 57,422 | |
| 47. Virginia | VA | L | 1,033,728 | 655,427 | 177,349 | 327,399 | |
| 48. Washington | WA | L | 14,932,053 | 21,407,563 | 3,705,198 | 4,385,777 | 1,002,873 |
| 49. West Virginia | WV | L | 686,351 | 592,720 | 166,117 | 246,858 | 17,684 |
| 50. Wisconsin | WI | L | 506,779 | 921,595 | 130,390 | 366,623 | 2,207 |
| 51. Wyoming | WY | L | 61,343 | 35,127 | 13,894 | 21,482 | 13 |
| 52. American Samoa | AS | N | | | | | |
| 53. Guam | GU | N | | | | | |
| 54. Puerto Rico | PR | N | | | | | |
| 55. U.S. Virgin Islands | VI | N | | | | | |
| 56. Northern Mariana Islands | MP | N | | | | | |
| 57. Canada | CAN | N | | | | | |
| 58. Aggregate Other Alien OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. Totals | XXX | 122,307,065 | 129,141,743 | 56,832,624 | 49,300,032 | 8,988,875 | 8,988,875 |
| DETAILS OF WRITE-INS | | | | | | | |
| 58001. | XXX | | | | | | |
| 58002. | XXX | | | | | | |
| 58003. | XXX | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 51 R - Registered - Non-domiciled RRGs..... 0
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0 N - None of the above - Not allowed to write business in the state 6



¹ Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.

² Formerly Seaboard Marketing Inc.

³ Formerly Industrial Alliance General Insurance Company.

⁴ Formerly Société d'Investissement L'Excellence Ltée.

⁵ Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.

⁶ Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1st, 2014.

⁷ Acquisition of CTL Corp. by IAIFS on October 1st, 2015.

⁸ Amalgamation of IA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1st, 2017.

⁹ Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3rd, 2017.

¹⁰ CTL Corp. changed its name to iA Auto Finance Inc. on June 19, 2017.

¹¹ Acquisition of PPI Management Inc. by IAIFS on February 26, 2018

¹² Incorporated by IAIFS on February 20, 2018.

¹³ Amalgamation between iA Avantages, Cabinet d'assurance inc, Premier Plan Assurance inc. et Performa Assurance inc. on January 1st, 2019.

The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.

¹⁴ Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1st, 2019. The name of the amalgamated corporation is PPI Management Inc.

¹⁵ January 1st, 2019, effective date of the Arrangement.

¹⁶ Acquisition of Cabinet de services financiers Frédéric Lebel inc. by IAIFS on August 15, 2019.

¹⁷ Acquisition of WGI Manufacturing Inc. and WGI Services Plan Division Inc. January 1st, 2020.

¹⁸ Amalgamation between Corporation Financière L'Excellence Ltée and The Excellence Life Insurance Company on January 1st, 2020.

¹⁹ Acquisition of LWI Holdings Ltd. On January 8, 2020 and amalgamation with Lubrico Warranty Inc.

²⁰ Formerly iA Avantages, Cabinet d'assurances inc.

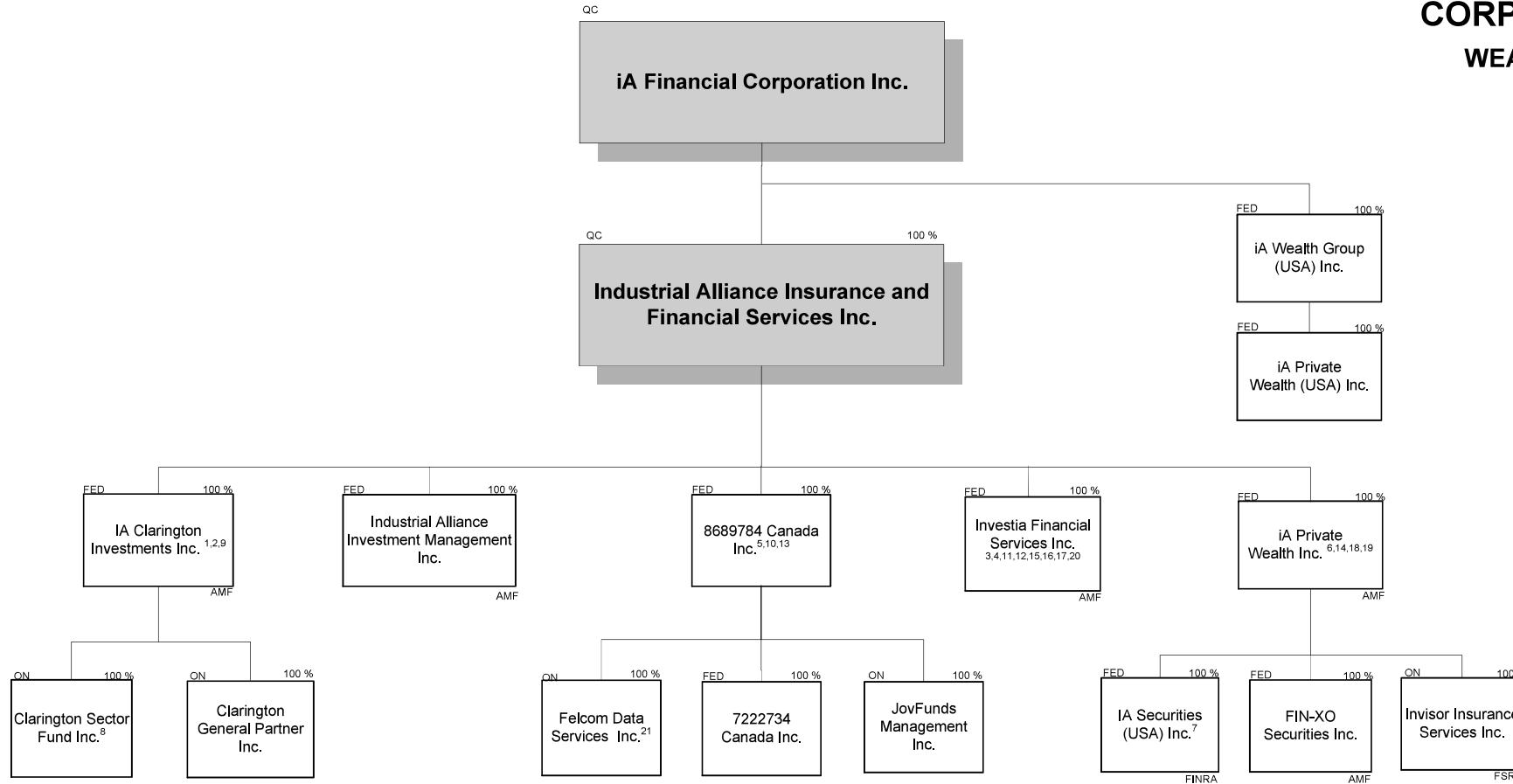
²¹ Acquisition of Liland Insurance Inc. by IAIFS on October 1st, 2020.

²² Acquisition of 2132512 Alberta Inc., Surexdirect.com Ltd. and Surexdirect.com (Ontario) Ltd. by iAFInCorp on November 1st, 2021.

²³ Amalgamation of 13604071 Canada inc. and 13459446 Canada inc. on January 1st, 2022.

²⁴ Amalgamation of Surexdirect.com Ltd and Surexdirect.com (Ontario) Ltd. on January 1st, 2022.

²⁵ Continuation under the Federal jurisdiction on June 3, 2022.



¹ Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1st, 2009.

² Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1st, 2011.

³ Acquisition of National Financial Corporation on July 1st, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.

⁴ Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1st, 2009.

⁵ Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1st, 2014.

⁶ Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1st, 2014.

⁷ Formerly MGI Securities (USA) Inc.

⁸ Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.

⁹ Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1st, 2015.

¹⁰ Formerly Jovian Capital Corporation.

¹¹ Acquisition of Les Services financiers Planifax Inc. by Investia on January 1st, 2016.

¹² Amalgamation between Investia Financial Services and Planifax on January 1st, 2016.

¹³ Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.

¹⁴ Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1st, 2016.

¹⁵ Acquisition of Byrns Holdings Inc. and Christoph Holdings Inc. by Investia Financial Services Inc. on December 1st, 2016.

¹⁶ Liquidation of Byrns Holdings Inc., Christoph Holdings Inc., Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15, 2016 and Winding up of Byrns, Christoph, Roybyrns and G.A.P. on December 31st, 2016.

¹⁷ Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.

¹⁸ Formerly Industrial Alliance Securities Inc.

¹⁹ Amalgamation of IA Private Wealth Inc., Invisor Financial Inc. and Invisor Management Inc. on April 1st, 2021.

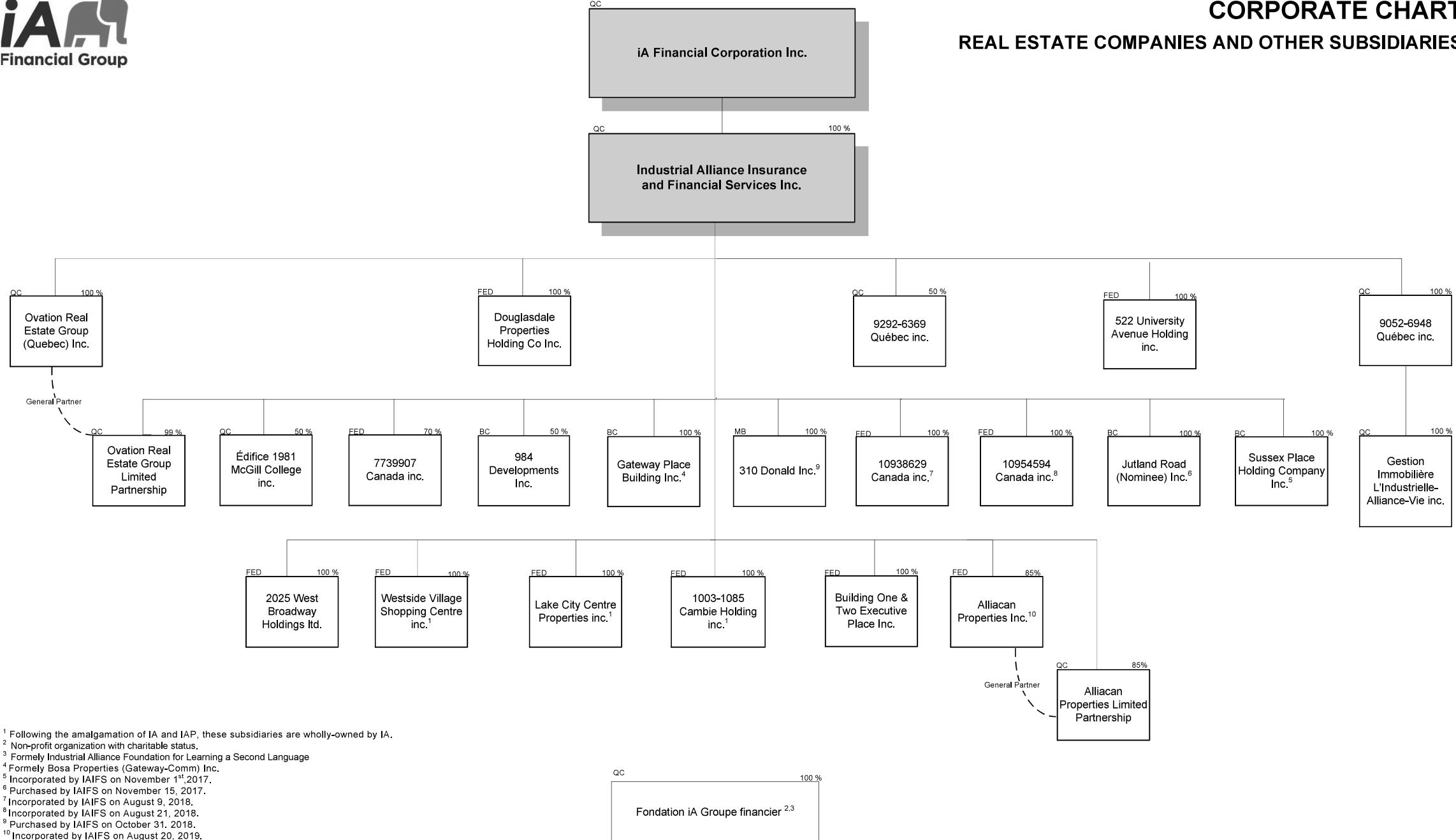
²⁰ Amalgamation of FundEx Investments Inc. and Investia Financial Services Inc. on July 1st, 2021.

²¹ Amalgamation of Felcom Data Services (Québec) Inc. and Felcom Data Services Inc. on January 1st 2022.



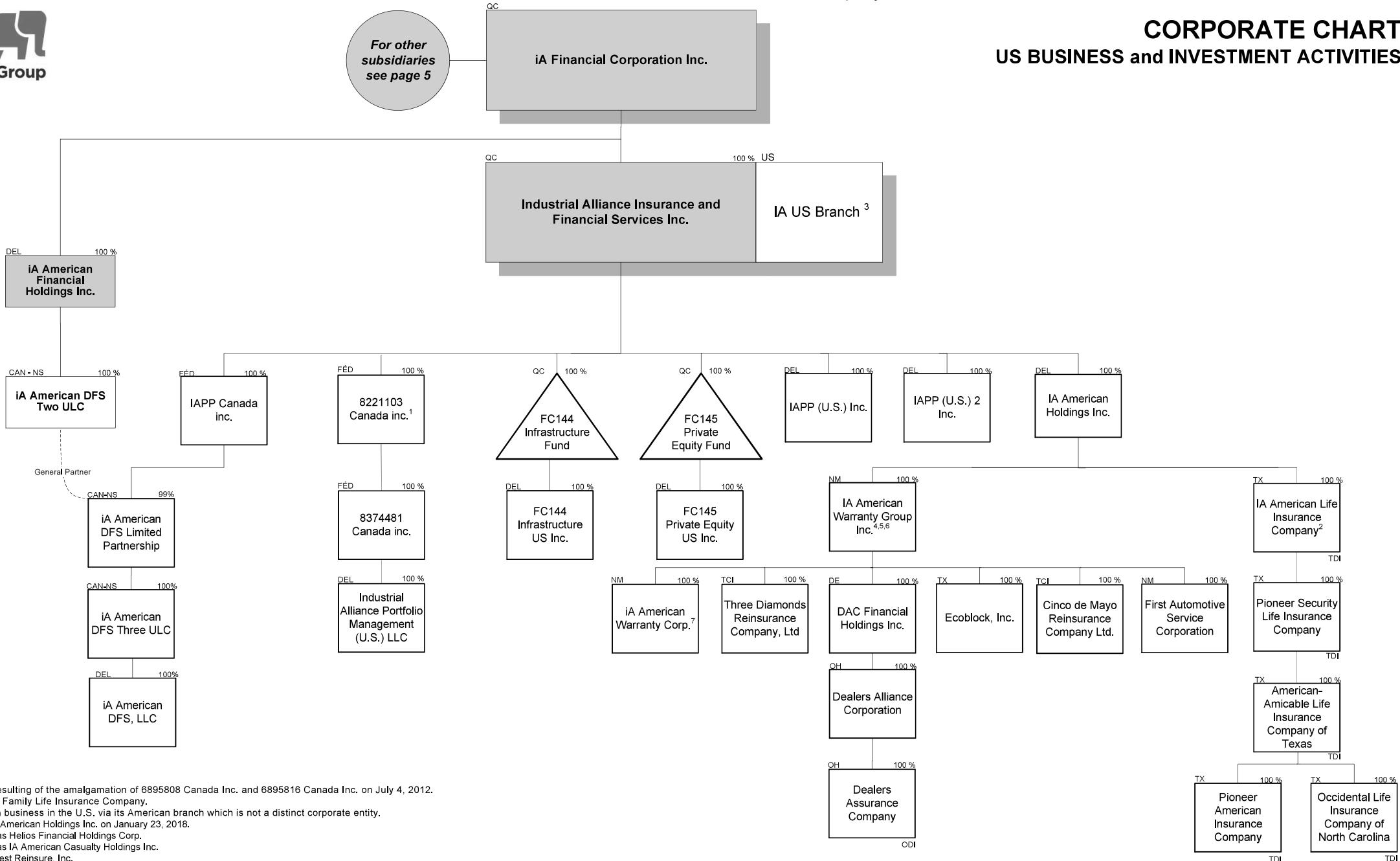
CORPORATE CHART

REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES



CORPORATE CHART

US BUSINESS and INVESTMENT ACTIVITIES



¹ New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.

² Formerly United Family Life Insurance Company.

³ IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.

⁴ Acquisition by IA American Holdings Inc. on January 23, 2018.

⁵Formerly known as Helios Financial Holdings Corp.

⁶Formerly known as IA American Casualty Holdings Inc.

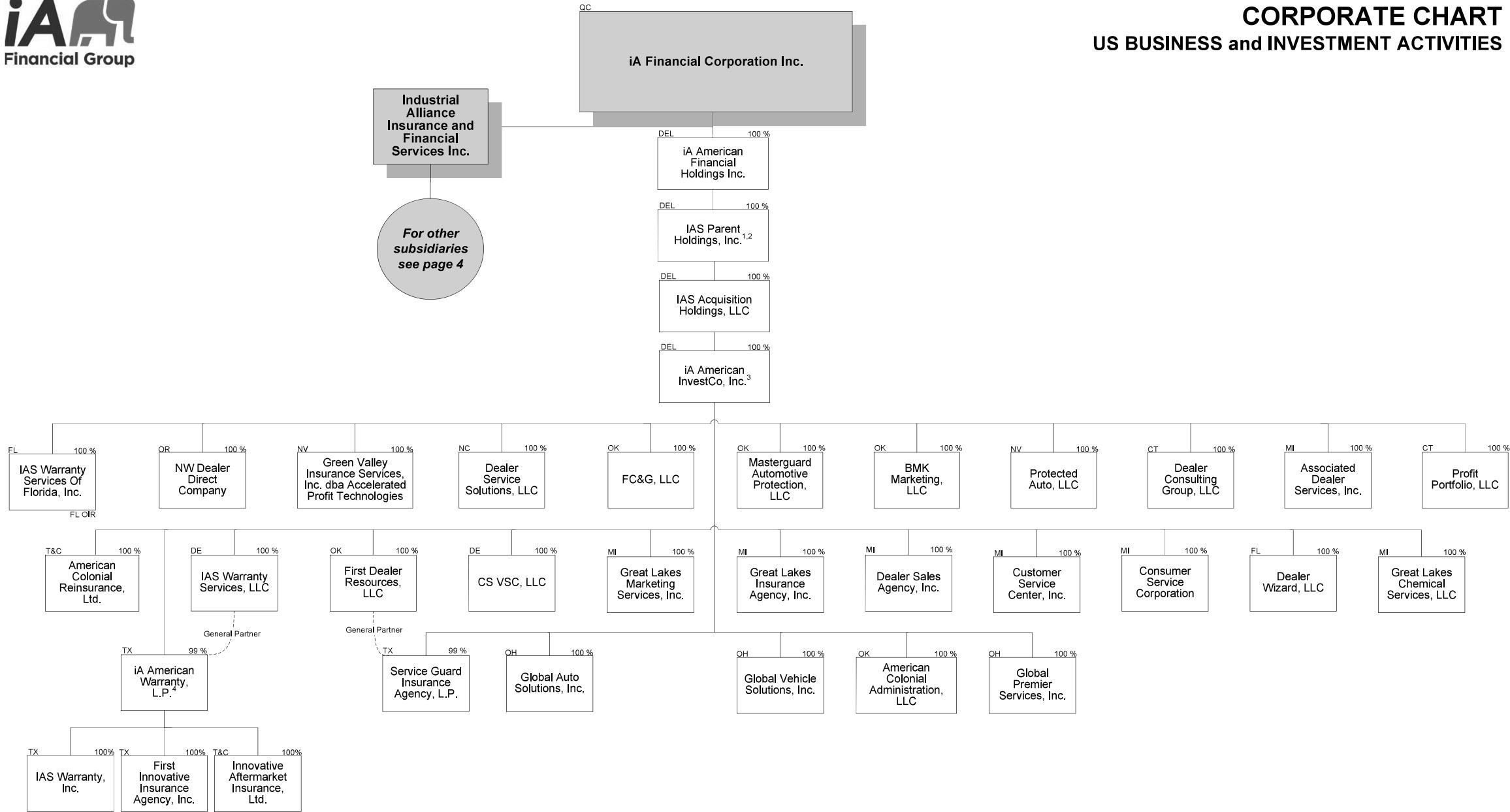
⁷ Formerly Southwest Reinsure, Inc.

Formerly Southwest Remsure, Inc.



STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

CORPORATE CHART
US BUSINESS and INVESTMENT ACTIVITIES



¹ Acquisition on May 22, 2020.

² Amalgamation with iA American Merger Inc. on May 22, 2020.

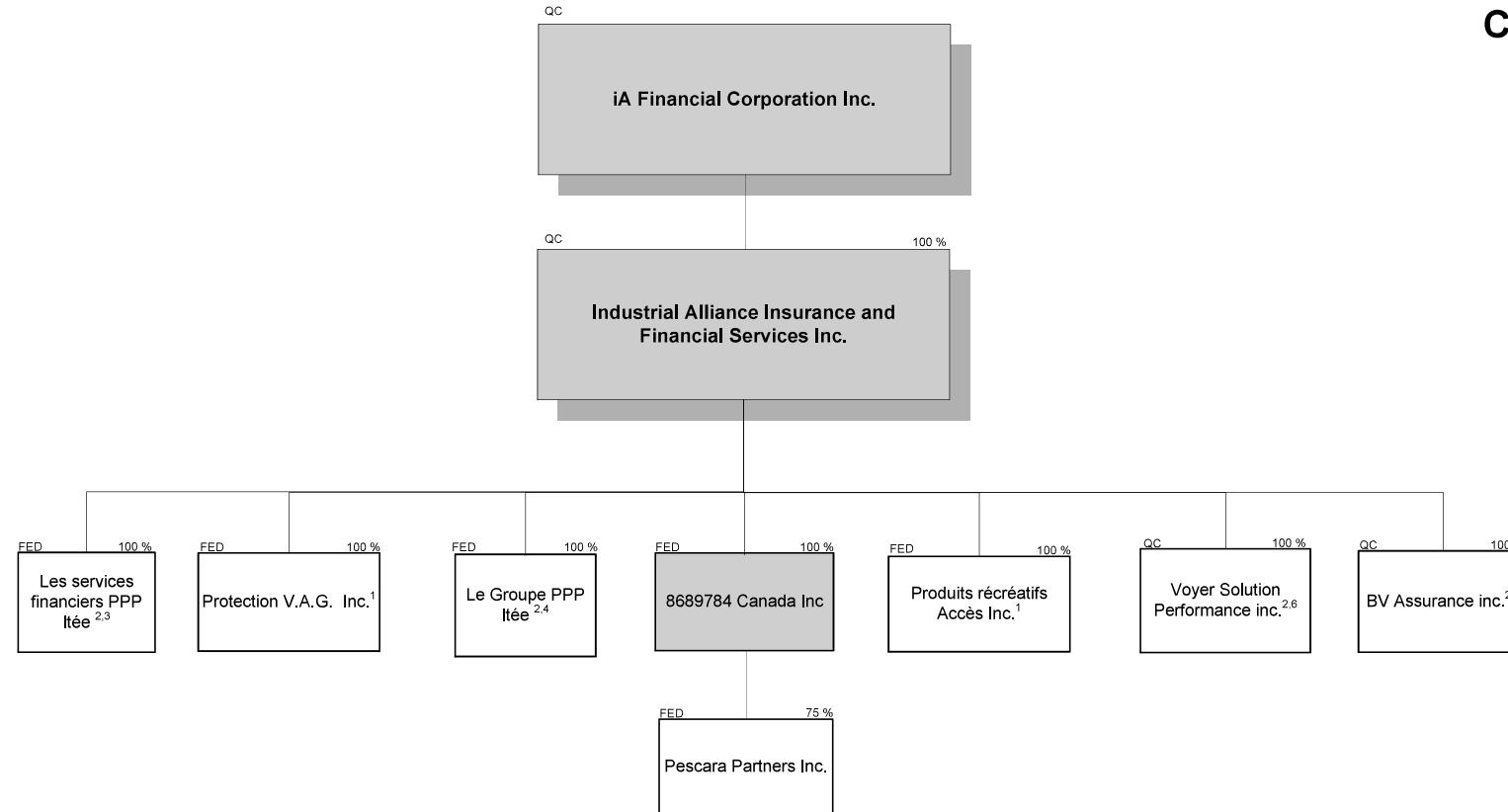
³ Formerly IAS InvestCo, Inc.

⁴ Formerly Innovative Aftermarket Systems L.P.

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company



CORPORATE CHART
WINDING UP



¹ Liquidated in iAIFS on July 1st, 2013.

² Acquisition of Groupe PPP by iAIFS on November 18, 2016.

³ Liquidated in Le Groupe PPP Ltée on April 1st, 2019.

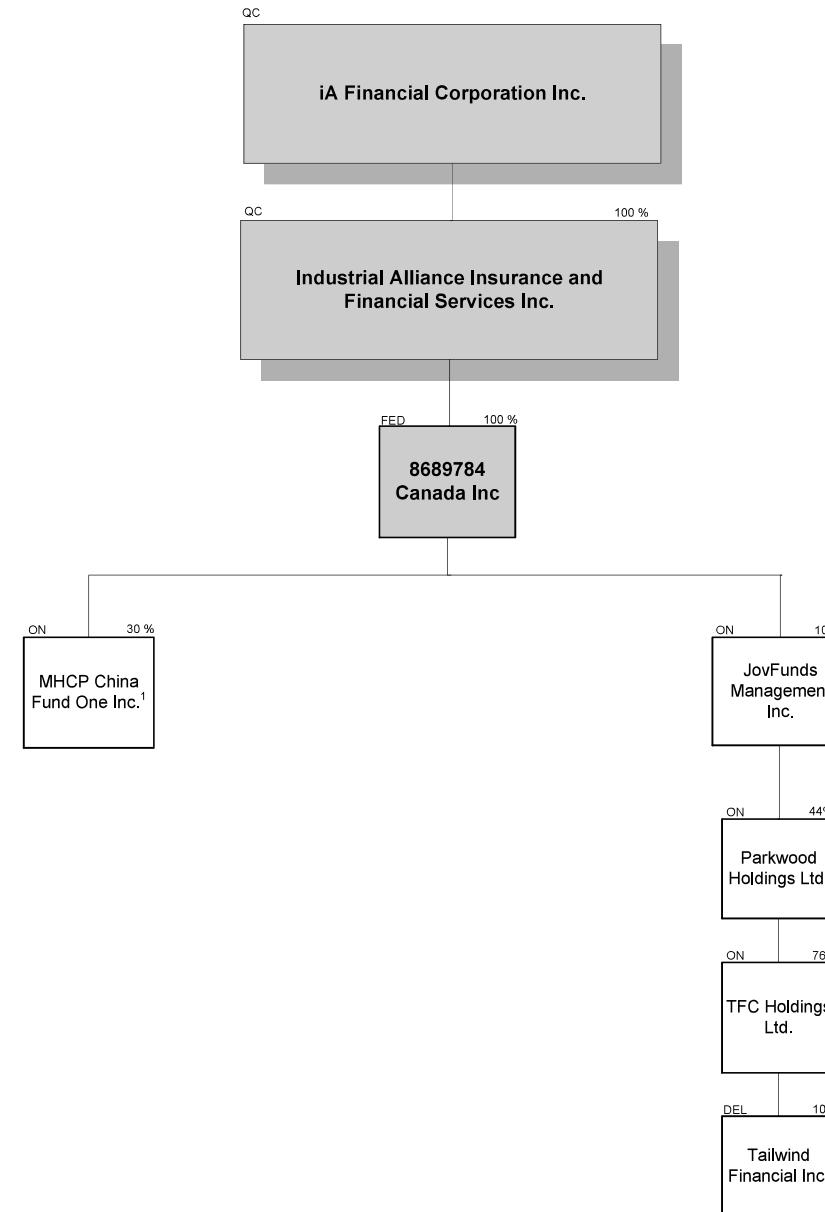
⁴ Liquidated in iAIFS on April 1st, 2019.

⁵ Liquidated in Voyer Solution Performance inc. on August 2nd, 2021.

⁶ Liquidated in iAIFS on August 2nd, 2021.



CORPORATE CHART
JOVIAN GROUP'S MINORITY HOLDINGS



¹ Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1st, 2018.

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|------------|-------------------------|-------------------|------------|--------------|-----|--|--|------------------------|-----------------------------------|--|--|--|--|--------------------------------------|----|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates | Domi-ciliary Loca-tion | Rela-tionship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Owner-ship Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Yes/No) | * |
| .0315 | Industrial Alliance GRP | 00000 | 00-0000000 | | | TSX | Industrial Alliance Insurance and Financial Services Inc. | CAN | UIP | Publicly Traded | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 14406 | 98-0018913 | | | | Industrial Alliance Insurance and Financial Services - USB | TX | IA | Industrial Alliance Insurance and Financial Services Inc. | | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 27-2524532 | | | | IA American Holdings | DE | UDP | Industrial Alliance Insurance and Financial Services - USB | Ownership | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 85-0479228 | | | | IA American Warranty Group Inc. | NM | UIP | IA American Holdings Inc. | Ownership | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 20-0833446 | | | | DAC Financial Holdings, Inc. | DE | UIP | IA American Warranty Group Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 31-0906655 | | | | Dealers Alliance Corp. | OH | UDP | DAC Financial Holdings, Inc | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 16705 | 34-6513705 | | | | Dealers Assurance Company | OH | IA | Dealers Alliance Corp. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 31-0908416 | | | | DAC Insurance Agency, Inc. | OH | IA | Dealers Alliance Corp. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 46-2008908 | | | | DAC Solutions, Inc. | TX | NIA | Dealers Alliance Corp. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 85-0339432 | | | | IA American Warranty Corp | NM | NIA | IA American Casualty Holdings Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 98-0231706 | | | | Three Diamonds Reinsurance Co., LTD | TCA | IA | IA American Casualty Holdings Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 42-1563507 | | | | Ecoblock, Inc. | TX | NIA | IA American Casualty Holdings Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 98-0516902 | | | | Cinco de Mayo Reinsurance Co., LTD | TCA | IA | IA American Casualty Holdings Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 00000 | 91-2020119 | | | | First Automotive Service Corp. | NM | NIA | IA American Casualty Holdings Inc. | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 91693 | 13-3036472 | | | | IA American Life Insurance Company | TX | IA | IA American Holdings Inc. | Ownership | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 67946 | 75-1083342 | | | | Pioneer Security Life Insurance Company | TX | IA | IA American Life Insurance Company | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 68594 | 74-2179909 | | | | American Amicable Life Ins. Co. of Texas | TX | IA | Pioneer Security Life Insurance Company | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 67148 | 56-0343440 | | | | Occidental Life Insurance Co. of North Carolina | TX | IA | American Amicable Life Insurance Co. of Texas | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |
| .0315 | Industrial Alliance GRP | 67873 | 75-0914374 | | | | Pioneer American Insurance Company | TX | IA | American Amicable Life Insurance Co. of Texas | Ownership/Management/Board of Directors | | Industrial Alliance Ins. & Fin. Srv., Inc. | | |

| Asterisk | Explanation |
|----------|-------------|
| | |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
PART 1 - LOSS EXPERIENCE

| Line of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire | | | 0.0 | 0.0 |
| 2.1 Allied Lines | | | 0.0 | 0.0 |
| 2.2 Multiple peril crop | | | 0.0 | 0.0 |
| 2.3 Federal flood | | | 0.0 | 0.0 |
| 2.4 Private crop | | | 0.0 | 0.0 |
| 2.5 Private flood | | | 0.0 | 0.0 |
| 3. Farmowners multiple peril | | | 0.0 | 0.0 |
| 4. Homeowners multiple peril | | | 0.0 | 0.0 |
| 5. Commercial multiple peril | | | 0.0 | 0.0 |
| 6. Mortgage guaranty | | | 0.0 | 0.0 |
| 8. Ocean marine | | | 0.0 | 0.0 |
| 9. Inland marine | | | 0.0 | 0.0 |
| 10. Financial guaranty | | | 0.0 | 0.0 |
| 11.1 Medical professional liability - occurrence | | | 0.0 | 0.0 |
| 11.2 Medical professional liability - claims-made | | | 0.0 | 0.0 |
| 12. Earthquake | | | 0.0 | 0.0 |
| 13.1 Comprehensive (hospital and medical) individual | | | 0.0 | 0.0 |
| 13.2 Comprehensive (hospital and medical) group | | | 0.0 | 0.0 |
| 14. Credit accident and health | | | 0.0 | 0.0 |
| 15.1 Vision only | | | 0.0 | 0.0 |
| 15.2 Dental only | | | 0.0 | 0.0 |
| 15.3 Disability income | | | 0.0 | 0.0 |
| 15.4 Medicare supplement | | | 0.0 | 0.0 |
| 15.5 Medicaid Title XIX | | | 0.0 | 0.0 |
| 15.6 Medicare Title XVIII | | | 0.0 | 0.0 |
| 15.7 Long-term care | | | 0.0 | 0.0 |
| 15.8 Federal employees health benefits plan | | | 0.0 | 0.0 |
| 15.9 Other health | | | 0.0 | 0.0 |
| 16. Workers' compensation | | | 0.0 | 0.0 |
| 17.1 Other liability - occurrence | 9,836,227 | 1,784,237 | 18.1 | 60.8 |
| 17.2 Other liability - claims-made | | | 0.0 | 0.0 |
| 17.3 Excess workers' compensation | | | 0.0 | 0.0 |
| 18.1 Products liability - occurrence | | | 0.0 | 0.0 |
| 18.2 Products liability - claims-made | | | 0.0 | 0.0 |
| 19.1 Private passenger auto no-fault (personal injury protection) | | | 0.0 | 0.0 |
| 19.2 Other private passenger auto liability | | | 0.0 | 0.0 |
| 19.3 Commercial auto no-fault (personal injury protection) | | | 0.0 | 0.0 |
| 19.4 Other commercial auto liability | | | 0.0 | 0.0 |
| 21.1 Private passenger auto physical damage | | | 0.0 | 0.0 |
| 21.2 Commercial auto physical damage | | | 0.0 | 0.0 |
| 22. Aircraft (all perils) | | | 0.0 | 0.0 |
| 23. Fidelity | | | 0.0 | 0.0 |
| 24. Surety | | | 0.0 | 0.0 |
| 26. Burglary and theft | | | 0.0 | 0.0 |
| 27. Boiler and machinery | | | 0.0 | 0.0 |
| 28. Credit | | | 0.0 | 0.0 |
| 29. International | | | 0.0 | 0.0 |
| 30. Warranty | 91,344,595 | 55,048,386 | 60.3 | 52.0 |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX | XXX |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX | XXX |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0.0 | 0.0 |
| 35. Totals | 101,180,822 | 56,832,623 | 56.2 | 52.6 |
| DETAILS OF WRITE-INS | | | | |
| 3401. | | | | |
| 3402. | | | | |
| 3403. | | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0.0 | 0.0 |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | 0 | 0 | 0.0 | 0.0 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
PART 2 - DIRECT PREMIUMS WRITTEN

| Line of Business | 1 Current Quarter | 2 Current Year to Date | 3 Prior Year Year to Date |
|---|----------------------|------------------------------|---------------------------------|
| 1. Fire | 0 | | |
| 2.1 Allied Lines | 0 | | |
| 2.2 Multiple peril crop | 0 | | |
| 2.3 Federal flood | 0 | | |
| 2.4 Private crop | 0 | | |
| 2.5 Private flood | 0 | | |
| 3. Farmowners multiple peril | 0 | | |
| 4. Homeowners multiple peril | 0 | | |
| 5. Commercial multiple peril | 0 | | |
| 6. Mortgage guaranty | 0 | | |
| 8. Ocean marine | 0 | | |
| 9. Inland marine | 0 | | |
| 10. Financial guaranty | 0 | | |
| 11.1 Medical professional liability - occurrence | 0 | | |
| 11.2 Medical professional liability - claims-made | 0 | | |
| 12. Earthquake | 0 | | |
| 13.1 Comprehensive (hospital and medical) individual | 0 | | |
| 13.2 Comprehensive (hospital and medical) group | 0 | | |
| 14. Credit accident and health | 0 | | |
| 15.1 Vision only | 0 | | |
| 15.2 Dental only | 0 | | |
| 15.3 Disability income | 0 | | |
| 15.4 Medicare supplement | 0 | | |
| 15.5 Medicaid Title XIX | 0 | | |
| 15.6 Medicare Title XVIII | 0 | | |
| 15.7 Long-term care | 0 | | |
| 15.8 Federal employees health benefits plan | 0 | | |
| 15.9 Other health | 0 | | |
| 16. Workers' compensation | 0 | | |
| 17.1 Other liability - occurrence | 7,123,376 | 13,918,819 | 9,825,006 |
| 17.2 Other liability - claims-made | 0 | | |
| 17.3 Excess workers' compensation | 0 | | |
| 18.1 Products liability - occurrence | 0 | | |
| 18.2 Products liability - claims-made | 0 | | |
| 19.1 Private passenger auto no-fault (personal injury protection) | 0 | | |
| 19.2 Other private passenger auto liability | 0 | | |
| 19.3 Commercial auto no-fault (personal injury protection) | 0 | | |
| 19.4 Other commercial auto liability | 0 | | |
| 21.1 Private passenger auto physical damage | 0 | | |
| 21.2 Commercial auto physical damage | 0 | | |
| 22. Aircraft (all perils) | 0 | | |
| 23. Fidelity | 0 | | |
| 24. Surety | 0 | | |
| 26. Burglary and theft | 0 | | |
| 27. Boiler and machinery | 0 | | |
| 28. Credit | 0 | | |
| 29. International | 0 | | |
| 30. Warranty | 54,724,304 | 108,388,246 | 119,316,738 |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0 |
| 35. Totals | 61,847,680 | 122,307,065 | 129,141,744 |
| DETAILS OF WRITE-INS | | | |
| 3401. | | | |
| 3402. | | | |
| 3403. | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | 0 | 0 | 0 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| Years in Which Losses Occurred | 1 Prior Year-End Known Case Loss and LAE Reserves | 2 Prior Year-End IBNR Loss and LAE Reserves | 3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2) | 4 2022 Loss and LAE Payments on Claims Reported as of Prior Year-End | 5 2022 Loss and LAE Payments on Claims Unreported as of Prior Year-End | 6 Total 2022 Loss and LAE Payments (Cols. 4+5) | 7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End | 8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End | 9 Q.S. Date IBNR Loss and LAE Reserves | 10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9) | 11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1) | 12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2) | 13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12) |
|--|--|--|---|---|---|---|--|---|---|--|--|--|---|
| 1. 2019 + Prior | 0 | 0 | 0 | 5 | | 5 | | | | 0 | .5 | 0 | 5 |
| 2. 2020 | 0 | 0 | 0 | 19 | | 19 | | | | 0 | 19 | 0 | .19 |
| 3. Subtotals 2020 + Prior | 0 | 0 | 0 | 24 | 0 | .24 | 0 | 0 | 0 | 0 | 24 | 0 | .24 |
| 4. 2021 | 0 | 940 | 940 | 228 | 243 | 471 | | | | 0 | 228 | (697) | (469) |
| 5. Subtotals 2021 + Prior | 0 | 940 | 940 | 252 | 243 | 495 | 0 | 0 | 0 | 0 | 252 | (697) | (445) |
| 6. 2022 | XXX | XXX | XXX | XXX | 1,271 | 1,271 | XXX | | 940 | 940 | XXX | XXX | XXX |
| 7. Totals | 0 | 940 | 940 | 252 | 1,514 | 1,766 | 0 | 0 | 940 | 940 | 252 | (697) | (445) |
| 8. Prior Year-End Surplus As Regards Policyholders | | 106,115 | | | | | | | | | Col. 11, Line 7 As % of Col. 1 Line 7 | Col. 12, Line 7 As % of Col. 2 Line 7 | Col. 13, Line 7 As % of Col. 3 Line 7 |
| | | | | | | | | | | | 1. 0.0 | 2. (74.1) | 3. (47.3) |
| | | | | | | | | | | | | | Col. 13, Line 7 As a % of Col. 1 Line 8 |
| | | | | | | | | | | | | | 4. (0.4) |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

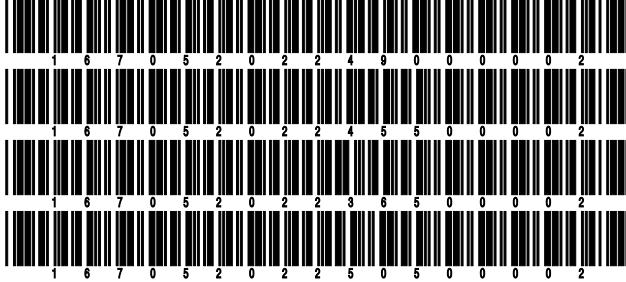
| | Response |
|---|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | NO |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| AUGUST FILING | |
| 5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. | YES |

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 3,692,245 | 3,818,465 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | 0 | 0 |
| 2.2 Additional investment made after acquisition | 0 | 0 |
| 3. Current year change in encumbrances | 0 | 0 |
| 4. Total gain (loss) on disposals | 0 | 0 |
| 5. Deduct amounts received on disposals | 0 | 0 |
| 6. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 7. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 8. Deduct current year's depreciation | 62,592 | 126,220 |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | 3,629,653 | 3,692,245 |
| 10. Deduct total nonadmitted amounts | 0 | 0 |
| 11. Statement value at end of current period (Line 9 minus Line 10) | 3,629,653 | 3,692,245 |

SCHEDULE B - VERIFICATION

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | 176,498 | 195,590 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | 0 | 0 |
| 2.2 Additional investment made after acquisition | 0 | 0 |
| 3. Capitalized deferred interest and other | 0 | 0 |
| 4. Accrual of discount | 0 | 0 |
| 5. Unrealized valuation increase (decrease) | 0 | 0 |
| 6. Total gain (loss) on disposals | 0 | 0 |
| 7. Deduct amounts received on disposals | 176,498 | 19,092 |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees | 0 | 0 |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest | 0 | 0 |
| 10. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 0 | 176,498 |
| 12. Total valuation allowance | 0 | 0 |
| 13. Subtotal (Line 11 plus Line 12) | 0 | 176,498 |
| 14. Deduct total nonadmitted amounts | 0 | 0 |
| 15. Statement value at end of current period (Line 13 minus Line 14) | 0 | 176,498 |

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and depreciation | | |
| 9. Total foreign exchange change in book/adjusted carrying value | | |
| 10. Deduct current year's other than temporary impairment recognized | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | | |

SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 221,578,249 | 174,308,237 |
| 2. Cost of bonds and stocks acquired | 48,671,225 | 113,198,847 |
| 3. Accrual of discount | 84,256 | 162,113 |
| 4. Unrealized valuation increase (decrease) | (4,395,882) | 680,180 |
| 5. Total gain (loss) on disposals | (843,768) | 973,316 |
| 6. Deduct consideration for bonds and stocks disposed of | 24,181,373 | 67,195,391 |
| 7. Deduct amortization of premium | 424,259 | 772,296 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | 0 |
| 9. Deduct current year's other than temporary impairment recognized | 0 | 0 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 113,117 | 223,243 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10) | 240,601,565 | 221,578,249 |
| 12. Deduct total nonadmitted amounts | 0 | 0 |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 240,601,565 | 221,578,249 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|--|--|--|---|--|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 159,054,121 | 10,003,493 | 4,009,473 | (121,583) | 159,054,121 | 164,926,557 | 0 | 152,850,957 |
| 2. NAIC 2 (a) | 51,953,691 | 3,464,124 | 306,713 | 295,275 | 51,953,691 | 55,406,377 | 0 | 53,440,312 |
| 3. NAIC 3 (a) | 494,500 | 0 | 495,599 | 1,099 | 494,500 | 0 | 0 | 493,281 |
| 4. NAIC 4 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5. NAIC 5 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6. NAIC 6 (a) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7. Total Bonds | 211,502,311 | 13,467,617 | 4,811,785 | 174,791 | 211,502,311 | 220,332,934 | 0 | 206,784,550 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | 1,649,899 | 289,565 | 16,055 | (1,243,121) | 1,649,899 | 680,287 | 0 | 433,691 |
| 9. NAIC 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10. NAIC 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11. NAIC 4 | 197,433 | 257,440 | 0 | 1,028,146 | 197,433 | 1,483,020 | 0 | 660,886 |
| 12. NAIC 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13. NAIC 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14. Total Preferred Stock | 1,847,332 | 547,005 | 16,055 | (214,975) | 1,847,332 | 2,163,307 | 0 | 1,094,577 |
| 15. Total Bonds and Preferred Stock | 213,349,643 | 14,014,622 | 4,827,840 | (40,184) | 213,349,643 | 222,496,241 | 0 | 207,879,127 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$3,496,733 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SI02

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE DA - PART 1

Short-Term Investments

| | 1 Book/Adjusted Carrying Value | 2 Par Value | 3 Actual Cost | 4 Interest Collected Year-to-Date | 5 Paid for Accrued Interest Year-to-Date |
|-------------------|--------------------------------------|----------------|------------------|---|---|
| 7709999999 Totals | 3,496,733 | XXX | 3,496,359 | 0 | 18,199 |

SCHEDULE DA - VERIFICATION

Short-Term Investments

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 100,237 | 0 |
| 2. Cost of short-term investments acquired | 3,496,359 | 303,574 |
| 3. Accrual of discount | 374 | |
| 4. Unrealized valuation increase (decrease) | 0 | |
| 5. Total gain (loss) on disposals | 0 | |
| 6. Deduct consideration received on disposals | 100,000 | 200,000 |
| 7. Deduct amortization of premium | 237 | 3,337 |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | |
| 9. Deduct current year's other than temporary impairment recognized | 0 | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 3,496,733 | 100,237 |
| 11. Deduct total nonadmitted amounts | 0 | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 3,496,733 | 100,237 |

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | ..24,177,674 | 1,971,794 |
| 2. Cost of cash equivalents acquired | 46,216,868 | 114,777,629 |
| 3. Accrual of discount | 0 | |
| 4. Unrealized valuation increase (decrease) | 0 | |
| 5. Total gain (loss) on disposals | 0 | |
| 6. Deduct consideration received on disposals | 49,884,352 | 92,571,750 |
| 7. Deduct amortization of premium | 0 | |
| 8. Total foreign exchange change in book/adjusted carrying value | 0 | |
| 9. Deduct current year's other than temporary impairment recognized | 0 | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 20,510,190 | 24,177,674 |
| 11. Deduct total nonadmitted amounts | 0 | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 20,510,190 | 24,177,674 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1 Loan Number | Location | | 4 Loan Type | 5 Date Acquired | 6 Rate of Interest | 7 Actual Cost at Time of Acquisition | 8 Additional Investment Made After Acquisition | 9 Value of Land and Buildings |
|------------------|-----------|------------|----------------|--------------------|-----------------------|---|---|----------------------------------|
| | 2 City | 3 State | | | | | | |
| | | | NON E | | | | | |

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1 | Location | | 4 | 5 | 6 | 7 | Change in Book Value/Recorded Investment | | | | | | 14 | 15 | 16 | 17 | 18 |
|--|----------|-------|-----------|---------------|---------------|---------|---|---|---|--|--|---|--|---------|---------|----|----|
| | 2 | 3 | | | | | Book Value/ Recorded Investment Excluding Accrued Interest Prior Year | 8 | 9 | 10 Current Year's Other-Than-Temporary Impairment Recognized | 11 Capitalized Deferred Interest and Other | 12 Total Change in Book Value (8+9+10+11) | 13 Total Foreign Exchange Change in Book Value | | | | |
| Loan Number | City | State | Loan Type | Date Acquired | Disposal Date | | | | | | | | | | | | |
| DAC002 | Mokena | IL | | 08/21/2014 | 06/01/2022 | 171,589 | | | | | | 0 | | 171,589 | | | 0 |
| 0199999. Mortgages closed by repayment | | | | | | 171,589 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 171,589 | 0 | 0 |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |
| 0599999 - Totals | | | | | | 171,589 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 171,589 | 0 | 0 |

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol |
|---|------------------------------------|--------------|-----------------------|--------------------------------|--------------------------------------|------------------|----------------|--|--|
| 91282C-EG-2 | UNITED STATES TREASURY | | .04/25/2022 | JP MORGAN SECURITIES LLC | 447,188 | .450,000 | | .719 | 1.A FE |
| 91282C-EP-2 | UNITED STATES TREASURY | | .06/06/2022 | BNP PARIBAS SEC BOND, NEW YORK | 147,984 | .150,000 | | .270 | 1.A FE |
| 0109999999. Subtotal - Bonds - U.S. Governments | | | | | 595,172 | 600,000 | | 989 | XXX |
| 3136AW-7J-0 | FNA 2017-M8 A2 - CMBS | | .06/28/2022 | STONEX FINANCIAL INC. | 1,876,731 | 1,924,236 | | 0 | 1.A |
| 0909999999. Subtotal - Bonds - U.S. Special Revenues | | | | | 1,876,731 | 1,924,236 | | 0 | XXX |
| 126650-BV-1 | CVPSAS 2010 CTF - ABS | | .06/02/2022 | JEFFERIES & COMPANY INC. | 504,629 | .478,480 | | .1,995 | 2.B |
| 316773-DE-7 | FIFTH THIRD BANCORP | | .04/20/2022 | GOLDMAN SACHS & CO. INC. | 700,000 | .700,000 | | 0 | 2.A FE |
| 44644M-AD-3 | HUNTINGTON NATIONAL BANK | | .05/06/2022 | MERRILL LYNCH FIXED INCOME | 600,000 | .600,000 | | 0 | 1.G FE |
| 465972-AA-5 | JPMCC 22DATA A - CMBS | | .06/08/2022 | JP MORGAN SECURITIES INC. | 956,756 | 1,000,000 | | 1,632 | 1.A FE |
| 49326E-EL-3 | KEYCORP | | .05/16/2022 | KEYBANC CAPITAL MARKETS INC | 330,000 | .330,000 | | 0 | 2.A FE |
| 617446-8C-6 | MORGAN STANLEY | | .06/06/2022 | MERRILL LYNCH PIERCE FENNER | 252,915 | .250,000 | | 3,750 | 1.G FE |
| 911365-BM-5 | UNITED RENTALS (NORTH AMERICA) INC | | .04/06/2022 | MORGAN STANLEY & CO LLC | 987,500 | 1,000,000 | | 15,312 | 2.C FE |
| 91824N-AC-6 | UIM 211 A3 - CMO/RMBS | | .04/01/2022 | JP MORGAN SECURITIES INC. | (23,427) | 0 | | (15) | 1.A FE |
| 94106L-BS-7 | WASTE MANAGEMENT INC | | .04/28/2022 | DEUTSCHE BANC SECURITIES INC. | 599,100 | .600,000 | | 0 | 2.A FE |
| 95000U-2X-0 | WELLS FARGO & CO | | .04/18/2022 | WELLS FARGO BROKERAGE | 700,000 | .700,000 | | 0 | 1.E FE |
| 95002K-AE-3 | WFMBSS 2020-1 A5 - CMO/RMBS | | .03/01/2022 | CITIBANK, N.A. | (39,891) | 0 | | (7) | 1.A FE |
| 1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | 5,567,582 | 5,658,480 | | 22,667 | XXX |
| 46429B-65-5 | ISHARES:FLOATING RT BOND | | .06/08/2022 | TD AMERITRADE | 0,000 | .71,959 | | 0 | 1.G |
| 46429B-74-7 | ISHARES:0-5 TIPS BD ETF | | .04/27/2022 | TD AMERITRADE | 0,000 | .386,993 | | 0 | 1.A |
| 46429B-74-7 | ISHARES:0-5 TIPS BD ETF | | .06/08/2022 | TD AMERITRADE | 0,000 | .310,354 | | 0 | 1.A |
| 46431W-50-7 | ISHARES:BR SH MAT BD | | .04/27/2022 | TD AMERITRADE | 0,000 | .523,470 | | 0 | 2.A |
| 46431W-50-7 | ISHARES:BR SH MAT BD | | .06/08/2022 | TD AMERITRADE | 0,000 | .71,996 | | 0 | 2.A |
| 92206C-40-9 | VANGUARD ST CORP BD ETF | | .04/27/2022 | TD AMERITRADE | 0,000 | .296,102 | | 0 | 2.A |
| 92206C-40-9 | VANGUARD ST CORP BD ETF | | .06/08/2022 | TD AMERITRADE | 0,000 | .270,898 | | 0 | 2.A |
| 1619999999. Subtotal - Bonds - SVO Identified Funds | | | | | 1,931,772 | 0 | | 0 | XXX |
| 2509999997. Total - Bonds - Part 3 | | | | | 9,971,258 | 8,182,716 | | 23,656 | XXX |
| 2509999998. Total - Bonds - Part 5 | | | | | XXX | XXX | | XXX | XXX |
| 2509999999. Total - Bonds | | | | | 9,971,258 | 8,182,716 | | 23,656 | XXX |
| 46428B-68-7 | ISHARES:PREF AND INC SEC | | .04/27/2022 | TD AMERITRADE | 8,159,000 | .289,565 | 0.00 | 0 | 4.B |
| 46428B-68-7 | ISHARES:PREF AND INC SEC | | .06/08/2022 | TD AMERITRADE | 7,330,000 | .257,440 | 0.00 | 0 | 4.B |
| 4019999999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred | | | | | 547,005 | XXX | | 0 | XXX |
| 4509999997. Total - Preferred Stocks - Part 3 | | | | | 547,005 | XXX | | 0 | XXX |
| 4509999998. Total - Preferred Stocks - Part 5 | | | | | XXX | XXX | | XXX | XXX |
| 4509999999. Total - Preferred Stocks | | | | | 547,005 | XXX | | 0 | XXX |
| 00206R-10-2 | AT&T ORD | | .06/03/2022 | Various | 1,400,000 | .28,274 | | 0 | |
| 002824-10-0 | ABBOTT LABORATORIES ORD | | .06/03/2022 | SIDCO/ITG INC. | 49,000 | .5,721 | | 0 | |
| 00287Y-10-9 | ABBYIE ORD | | .06/03/2022 | SIDCO/ITG INC. | .55,000 | .8,130 | | 0 | |
| 00724F-10-1 | ADOBEE ORD | | .06/08/2022 | TD AMERITRADE | .86,000 | .38,084 | | 0 | |
| 007903-10-7 | ADVANCED MICRO DEVICES ORD | | .06/03/2022 | SIDCO/ITG INC. | .43,000 | .4,568 | | 0 | |
| 02079K-30-5 | ALPHABET CL A ORD | | .06/08/2022 | TD AMERITRADE | .17,000 | .44,230 | | 0 | |
| 02209S-10-3 | ALTRIA GROUP ORD | | .06/03/2022 | Various | 1,460,000 | .80,703 | | 0 | |
| 02313S-10-6 | AMAZON COM ORD | | .06/08/2022 | TD AMERITRADE | .69,000 | .61,510 | | 0 | |
| 026874-78-4 | AMERICAN INTERNATIONAL GROUP ORD | | .06/03/2022 | SIDCO/ITG INC. | .90,000 | .5,160 | | 0 | |
| 03027X-10-0 | AMERICAN TOWER REIT | | .06/03/2022 | SIDCO/ITG INC. | .13,000 | .3,484 | | 0 | |
| 03076C-10-6 | AMERIPRIZE FINANCE ORD | | .06/03/2022 | SIDCO/ITG INC. | .16,000 | .4,360 | | 0 | |
| 03783S-10-0 | APPLE ORD | | .06/08/2022 | TD AMERITRADE | .266,000 | .44,531 | | 0 | |
| 05722G-10-0 | BAKER HUGHES CL A ORD | | .06/03/2022 | Various | 1,780,000 | .61,838 | | 0 | |
| 060505-10-4 | BANK OF AMERICA ORD | | .06/08/2022 | Various | 1,018,000 | .39,704 | | 0 | |
| 084670-70-2 | BERKSHIRE HATHAWAY CL B ORD | | .06/08/2022 | TD AMERITRADE | .110,000 | .37,777 | | 0 | |
| 11135F-10-1 | BROADCOM ORD | | .06/03/2022 | Various | .153,000 | .87,026 | | 0 | |
| 125720-10-5 | CME GROUP CL A ORD | | .06/03/2022 | SIDCO/ITG INC. | .22,000 | .4,434 | | 0 | |
| 126408-10-3 | CSX ORD | | .06/03/2022 | SIDCO/ITG INC. | .160,000 | .5,160 | | 0 | |
| 126650-10-0 | CVS HEALTH ORD | | .06/03/2022 | SIDCO/ITG INC. | .50,000 | .4,796 | | 0 | |
| 150870-10-3 | CELANESE ORD | | .06/03/2022 | SIDCO/ITG INC. | .32,000 | .5,016 | | 0 | |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 | 8 | 9 | 10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol |
|------------------------------|-------------------------------------|--------------|-----------------------|---------------------|--------------------------------------|---------|---|---|--|
| 151358-10-1 | CENTENE ORD | | .06/03/2022 | SIDCO/ITG INC. | .50,000 | 4,106 | | | 0 |
| 166764-10-0 | CHEVRON ORD | | .06/03/2022 | SIDCO/ITG INC. | .26,000 | 4,619 | | | 0 |
| 191216-10-0 | COCA-COLA ORD | | .06/03/2022 | SIDCO/ITG INC. | .90,000 | 5,675 | | | 0 |
| 235851-10-2 | DANAHER ORD | | .06/03/2022 | Various | .107,000 | .31,137 | | | 0 |
| 244199-10-5 | DEERE ORD | | .06/03/2022 | SIDCO/ITG INC. | .13,000 | 4,689 | | | 0 |
| 26614N-10-2 | DUPONT DE NEMOURS ORD | | .06/03/2022 | SIDCO/ITG INC. | .60,000 | 4,019 | | | 0 |
| 29444U-70-0 | EQUINIX REIT ORD | | .06/03/2022 | SIDCO/ITG INC. | .3,000 | 2,052 | | | 0 |
| 30161N-10-1 | EXELON ORD | | .06/03/2022 | Various | .680,000 | .32,572 | | | 0 |
| 30303M-10-2 | META PLATFORMS CL A ORD | | .06/08/2022 | Various | .329,000 | 64,956 | | | 0 |
| 437076-10-2 | HOME DEPOT ORD | | .06/08/2022 | Various | .127,000 | .38,788 | | | 0 |
| 438516-10-6 | HONEYWELL INTERNATIONAL ORD | | .06/03/2022 | SIDCO/ITG INC. | .23,000 | 4,465 | | | 0 |
| 452308-10-9 | ILLINOIS TOOL ORD | | .06/03/2022 | SIDCO/ITG INC. | .23,000 | 4,763 | | | 0 |
| 458140-10-0 | INTEL ORD | | .04/26/2022 | TD AMERITRADE | .64,000 | 2,940 | | | 0 |
| 461202-10-3 | INTUIT ORD | | .04/08/2022 | TD AMERITRADE | .52,000 | 25,207 | | | 0 |
| 46625H-10-0 | JPMORGAN CHASE ORD | | .06/08/2022 | Various | .415,000 | 54,613 | | | 0 |
| 482480-10-0 | KLA ORD | | .06/03/2022 | Various | .56,000 | 19,485 | | | 0 |
| 518439-10-4 | ESTEE LAUDER CL A ORD | | .06/03/2022 | Various | .97,000 | .23,779 | | | 0 |
| 532457-10-8 | ELI LILLY ORD | | .06/03/2022 | SIDCO/ITG INC. | .14,000 | 4,247 | | | 0 |
| 538034-10-9 | LIVE NATION ENTERTAINMENT ORD | | .06/03/2022 | SIDCO/ITG INC. | .50,000 | 4,773 | | | 0 |
| 571903-20-2 | MARRIOTT INTERNATIONAL CL A ORD | | .06/03/2022 | SIDCO/ITG INC. | .32,000 | 5,565 | | | 0 |
| 576360-10-4 | MASTERCARD CL A ORD | | .06/08/2022 | Various | .125,000 | 44,455 | | | 0 |
| 594918-10-4 | MICROSOFT ORD | | .06/08/2022 | Various | .237,000 | 67,655 | | | 0 |
| 595112-10-3 | MICRON TECHNOLOGY ORD | | .06/03/2022 | SIDCO/ITG INC. | .90,000 | 6,301 | | | 0 |
| 617446-44-8 | MORGAN STANLEY ORD | | .04/26/2022 | TD AMERITRADE | .267,000 | .22,314 | | | 0 |
| 64110L-10-6 | NETFLIX ORD | | .04/08/2022 | TD AMERITRADE | .72,000 | .25,933 | | | 0 |
| 65339F-10-1 | NEXTERA ENERGY ORD | | .06/03/2022 | SIDCO/ITG INC. | .40,000 | 3,135 | | | 0 |
| 654106-10-3 | NIKE CL B ORD | | .06/03/2022 | SIDCO/ITG INC. | .24,000 | 2,909 | | | 0 |
| 67066G-10-4 | NVIDIA ORD | | .06/08/2022 | Various | .259,000 | 55,800 | | | 0 |
| 70450Y-10-3 | PAYPAL HOLDINGS ORD | | .06/08/2022 | Various | .473,000 | .45,272 | | | 0 |
| 717081-10-3 | PFIZER ORD | | .06/03/2022 | Various | .430,000 | .23,618 | | | 0 |
| 723787-10-7 | PIONEER NATURAL RESOURCE ORD | | .06/03/2022 | SIDCO/ITG INC. | .37,000 | .10,233 | | | 0 |
| 74340W-10-3 | PROLOGIS REIT | | .06/03/2022 | Various | .150,000 | 24,981 | | | 0 |
| 744573-10-6 | PUBLIC SERVICE ENTERPRISE GROUP ORD | | .06/03/2022 | Various | .970,000 | .68,452 | | | 0 |
| 75513E-10-1 | RAYTHEON TECHNOLOGIES ORD | | .06/03/2022 | SIDCO/ITG INC. | .80,000 | 7,674 | | | 0 |
| 78409V-10-4 | S&P GLOBAL ORD | | .06/03/2022 | SIDCO/ITG INC. | .12,000 | 4,046 | | | 0 |
| 808513-10-5 | CHARLES SCHWAB ORD | | .06/08/2022 | TD AMERITRADE | .223,000 | 14,494 | | | 0 |
| 81762P-10-2 | SERVICENOW ORD | | .06/08/2022 | TD AMERITRADE | .59,000 | .30,568 | | | 0 |
| 82509L-10-7 | SHOPIFY CL A SUB VTG ORD | C. | .06/08/2022 | TD AMERITRADE | .135,000 | .65,531 | | | 0 |
| 87165B-10-3 | SYNCHRONY FINANCIAL ORD | | .06/03/2022 | SIDCO/ITG INC. | .120,000 | 4,274 | | | 0 |
| 872590-10-4 | T MOBILE US ORD | | .06/03/2022 | SIDCO/ITG INC. | .41,000 | 5,583 | | | 0 |
| 88160R-10-1 | TESLA ORD | | .06/08/2022 | Various | .132,000 | 124,787 | | | 0 |
| 88339J-10-5 | TRADE DESK CL A ORD | | .06/03/2022 | SIDCO/ITG INC. | .60,000 | 3,073 | | | 0 |
| 883556-10-2 | HERMO FISHER SCIENTIFIC ORD | | .06/03/2022 | SIDCO/ITG INC. | .6,000 | 3,341 | | | 0 |
| 898320-10-9 | TRUST FINANCIAL ORD | | .06/03/2022 | SIDCO/ITG INC. | .70,000 | 3,450 | | | 0 |
| 91324P-10-2 | UNITEDHEALTH GRP ORD | | .06/08/2022 | Various | .92,000 | .48,122 | | | 0 |
| 91913Y-10-0 | VALERO ENERGY ORD | | .06/03/2022 | SIDCO/ITG INC. | .34,000 | 4,585 | | | 0 |
| 92343V-10-4 | VERIZON COMMUNICATIONS ORD | | .04/26/2022 | TD AMERITRADE | .61,000 | 3,030 | | | 0 |
| 92826C-83-9 | VISA CL A ORD | | .06/08/2022 | TD AMERITRADE | .175,000 | .38,140 | | | 0 |
| 929089-10-0 | VOYA FINANCIAL ORD | | .06/03/2022 | SIDCO/ITG INC. | .60,000 | 4,007 | | | 0 |
| 949746-10-1 | WELLS FARGO ORD | | .06/08/2022 | TD AMERITRADE | .632,000 | .30,196 | | | 0 |
| 98978V-10-3 | ZOETIS CL A ORD | | .06/03/2022 | Various | .165,000 | 32,849 | | | 0 |
| G1151C-10-1 | ACCENTURE CL A ORD | C. | .06/03/2022 | SIDCO/ITG INC. | .6,000 | 1,825 | | | 0 |
| G29183-10-3 | EATON ORD | C. | .06/03/2022 | SIDCO/ITG INC. | .28,000 | 3,912 | | | 0 |
| G46188-10-1 | HORIZON THERAPEUTICS PUBLIC ORD | C. | .06/03/2022 | SIDCO/ITG INC. | .30,000 | 2,733 | | | 0 |
| G7997R-10-3 | SEAGATE TECHNOLOGY HOLDINGS ORD | C. | .06/03/2022 | SIDCO/ITG INC. | .40,000 | 3,298 | | | 0 |
| G8994E-10-3 | TRANE TECHNOLOGIES ORD | C. | .06/03/2022 | SIDCO/ITG INC. | .15,000 | 2,065 | | | 0 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol |
|--|---------------------------|--------------|-----------------------|---------------------|--------------------------------------|------------------|----------------|--|--|
| V7780T-10-3 | ROYAL CARIBBEAN GROUP ORD | | .06/03/2022 | SIDCO/ITG INC. | .60,000 | 3,372 | | 0 | |
| 5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded | | | | | | 1,762,968 | XXX | 0 | XXX |
| 00141A-58-6 | INVECO FLTG RT ESG Y | | .06/08/2022 | TD AMERI TRADE | 75,016,004 | 540,192 | | 0 | |
| 05580W-84-1 | BNY MELLON CORE PLUS I | | .06/08/2022 | TD AMERI TRADE | 54,917,112 | 540,192 | | 0 | |
| 09260B-38-2 | BLACKROCK:STR INC OPP I | | .06/08/2022 | TD AMERI TRADE | 95,644,638 | 935,176 | | 0 | |
| 5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO | | | | | | 2,015,560 | XXX | 0 | XXX |
| 46138J-61-9 | INVESCO RUSSEL 1000 DM | | .06/08/2022 | TD AMERI TRADE | 2,487,000 | 116,987 | | 0 | |
| 464287-15-0 | ISHARES: CORE S&P TOT USM | | .06/08/2022 | TD AMERI TRADE | 3,223,000 | 314,181 | | 0 | |
| 464287-23-4 | ISHARES: MSCI EM MKTS | | .04/27/2022 | TD AMERI TRADE | 1,814,000 | 81,833 | | 0 | |
| 464287-88-7 | ISHARES: S&P SC 600 GRO | | .04/08/2022 | TD AMERI TRADE | .664,000 | .80,671 | | 0 | |
| 464298-65-5 | ISHARES: FLOATING RT BOND | | .05/25/2022 | TD AMERI TRADE | 11,244,000 | 567,774 | | 0 | |
| 81369Y-20-9 | SEL SECTOR:H CARE SPDR | | .06/08/2022 | TD AMERI TRADE | 1,059,000 | 145,868 | | 0 | |
| 81369Y-60-5 | SEL SECTOR:FINL S SPDR | | .06/08/2022 | TD AMERI TRADE | 8,039,000 | 297,311 | | 0 | |
| 81369Y-80-3 | SEL SECTOR:TECH SPDR | | .06/08/2022 | TD AMERI TRADE | 1,761,000 | 263,655 | | 0 | |
| 921908-84-4 | VANGUARD DIV A I ETF | | .06/08/2022 | TD AMERI TRADE | 2,903,000 | 462,458 | | 0 | |
| 921943-85-8 | VANGUARD DEV MKT ETF | | .04/27/2022 | TD AMERI TRADE | 3,468,000 | 164,742 | | 0 | |
| 922908-62-9 | VANGUARD MD-CP I ETF | | .06/08/2022 | TD AMERI TRADE | .807,000 | 188,053 | | 0 | |
| 5819999999. Subtotal - Common Stocks - Exchange Traded Funds | | | | | | 2,683,533 | XXX | 0 | XXX |
| 5989999997. Total - Common Stocks - Part 3 | | | | | | 6,462,061 | XXX | 0 | XXX |
| 5989999998. Total - Common Stocks - Part 5 | | | | | | | XXX | XXX | XXX |
| 5989999999. Total - Common Stocks | | | | | | | 6,462,061 | XXX | XXX |
| 5999999999. Total - Preferred and Common Stocks | | | | | | 7,009,066 | XXX | 0 | XXX |
| 6009999999 - Totals | | | | | | 16,980,324 | XXX | 23,656 | XXX |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | For- eign | 3 Disposal Date | 4 Name of Purchaser | 5 Number of Shares of Stock | 6 Consid- eration | 7 Par Value | 8 Actual Cost | 9 Prior Year Book/ Adjusted Carrying Value | 10 Unrealized Valuation Increase/ (Decrease) | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol | | |
|---|---|--------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|---|--|---|---|---|---|--|---|--|---|---|---|--|--|-------------|--------|
| | | | | | | | | | | | 11 Current Year's Other Than Temporary Impairment Recogn- ized | 12 Current Year's Amor- tization)/ Accretion | 13 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | 14 Total Foreign Exchange Change in Book /Adjusted Carrying Value | 15 Total Change in Book/ Adjusted Carrying Value | | | | | | | | | |
| .38381E-UB-2 | GNR 2022-013 AE - CMBS | | .06/01/2022 | Paydown | | 8,205 | .8,205 | 0 | 0 | 0 | 0 | 133 | 0 | 133 | 0 | 0 | 8,205 | 0 | 0 | 0 | 0 | .41 | .09/16/2054 | 1.A |
| .912828-V7-2 | UNITED STATES TREASURY | | .01/31/2022 | Maturity @ 100.00 | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | (215,000) | .01/31/2022 | 1.A |
| .912828-XW-5 | UNITED STATES TREASURY | | .06/30/2022 | Maturity @ 100.00 | | 450,000 | .450,000 | 462,512 | 453,461 | 0 | 0 | (3,461) | 0 | (3,461) | 0 | 0 | 450,000 | 0 | 0 | 0 | 0 | .3,938 | .01/31/2022 | 1.A |
| 0109999999. Subtotal - Bonds - U.S. Governments | | | | | | 458,205 | 458,205 | 470,584 | 453,461 | 0 | 0 | (3,328) | 0 | (3,328) | 0 | 0 | 458,205 | 0 | 0 | 0 | 0 | (211,321) | XXX | XXX |
| .3132DV-3Z-6 | FH SD8016 - RMBS | | .06/01/2022 | Paydown | | 23,556 | .23,556 | 23,883 | 24,112 | 0 | 0 | (557) | 0 | (557) | 0 | 0 | 23,556 | 0 | 0 | 0 | 0 | .281 | .10/01/2049 | 1.A |
| .3132XK-RY-3 | FH G67703 - RMBS | | .06/01/2022 | Paydown | | 21,078 | .21,078 | 20,788 | 20,846 | 0 | 0 | 233 | 0 | 233 | 0 | 0 | 21,078 | 0 | 0 | 0 | 0 | .304 | .04/01/2047 | 1.A |
| .31335B-XF-8 | FH G61578 - RMBS | | .06/01/2022 | Paydown | | 20,681 | .20,680 | 21,385 | 21,768 | 0 | 0 | (1,088) | 0 | (1,088) | 0 | 0 | 20,681 | 0 | 0 | 0 | 0 | .379 | .08/01/2048 | 1.A |
| .3133L8-CR-4 | FH RC1880 - RMBS | | .06/01/2022 | Paydown | | 66,218 | .66,218 | 68,142 | 68,044 | 0 | 0 | (1,826) | 0 | (1,826) | 0 | 0 | 66,218 | 0 | 0 | 0 | 0 | .398 | .03/01/2036 | 1.A |
| .31397S-5N-0 | FNR 2011-43 B - CMO/RMBS | | .06/01/2022 | Paydown | | 17,972 | .17,972 | 17,944 | 17,940 | 0 | 0 | 31 | 0 | 31 | 0 | 0 | 17,972 | 0 | 0 | 0 | 0 | .267 | .05/25/2031 | 1.A |
| .3140U9-CT-6 | FN BM4581 - RMBS | | .06/01/2022 | Paydown | | 25,951 | .25,951 | 26,503 | 26,516 | 0 | 0 | (564) | 0 | (564) | 0 | 0 | 25,951 | 0 | 0 | 0 | 0 | .419 | .09/01/2033 | 1.A |
| .3140QG-AJ-6 | FN CAB108 - RMBS | | .06/01/2022 | Paydown | | 37,173 | .37,173 | 38,637 | 38,463 | 0 | 0 | (1,290) | 0 | (1,290) | 0 | 0 | 37,173 | 0 | 0 | 0 | 0 | .284 | .12/01/2050 | 1.A |
| .3140X7-7H-6 | FN FM4495 - RMBS | | .06/01/2022 | Paydown | | 33,216 | .33,216 | 34,591 | 34,433 | 0 | 0 | (1,217) | 0 | (1,217) | 0 | 0 | 33,216 | 0 | 0 | 0 | 0 | .298 | .10/01/2050 | 1.A |
| .3140X8-6N-2 | FN FM5376 - RMBS | | .06/01/2022 | Paydown | | 54,695 | .54,695 | 57,259 | 56,857 | 0 | 0 | (2,162) | 0 | (2,162) | 0 | 0 | 54,695 | 0 | 0 | 0 | 0 | .443 | .01/01/2036 | 1.A |
| .31418D-04-4 | FN MA4074 - RMBS | | .06/01/2022 | Paydown | | 54,349 | .54,349 | 56,277 | 56,472 | 0 | 0 | (2,123) | 0 | (2,123) | 0 | 0 | 54,349 | 0 | 0 | 0 | 0 | .447 | .07/01/2035 | 1.A |
| .31418D-U4-2 | FN MA4202 - RMBS | | .06/01/2022 | Paydown | | 30,235 | .30,235 | 30,750 | 30,662 | 0 | 0 | (427) | 0 | (427) | 0 | 0 | 30,235 | 0 | 0 | 0 | 0 | .186 | .12/01/2040 | 1.A |
| .31418D-U8-3 | FN MA4206 - RMBS | | .06/01/2022 | Paydown | | 38,925 | .38,925 | 40,503 | 40,227 | 0 | 0 | (1,302) | 0 | (1,302) | 0 | 0 | 38,925 | 0 | 0 | 0 | 0 | .321 | .12/01/2035 | 1.A |
| .31418D-VB-5 | FN MA4209 - RMBS | | .06/01/2022 | Paydown | | 29,056 | .29,056 | 29,342 | 29,329 | 0 | 0 | (274) | 0 | (274) | 0 | 0 | 29,056 | 0 | 0 | 0 | 0 | .182 | .12/01/2050 | 1.A |
| .88213A-LS-2 | TEXAS A & M UNIV REV | | .05/15/2022 | Maturity @ 100.00 | | 660,000 | .660,000 | 660,000 | 660,000 | 0 | 0 | 0 | 0 | 0 | 0 | 660,000 | 0 | 0 | 0 | 0 | .8,131 | .05/15/2022 | 1.A FE | |
| 0909999999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 1,113,105 | 1,113,104 | 1,126,004 | 1,125,670 | 0 | 0 | (12,565) | 0 | (12,565) | 0 | 0 | 1,113,105 | 0 | 0 | 0 | 0 | 12,338 | XXX | XXX |
| .00037B-AB-8 | ABB FINANCE (A) INC | | .05/08/2022 | Maturity @ 100.00 | | 225,000 | .225,000 | 226,889 | 225,097 | 0 | 0 | (97) | 0 | (97) | 0 | 0 | 225,000 | 0 | 0 | 0 | 0 | .3,234 | .05/08/2022 | 1.G FE |
| .126650-BV-1 | CVPAS 2010 CTF - ABS | | .06/10/2022 | Paydown | | 2,713 | .2,713 | 2,862 | 2,862 | 0 | 0 | (148) | 0 | (148) | 0 | 0 | 2,713 | 0 | 0 | 0 | 0 | .13 | .01/10/2033 | 2.B |
| .20826F-AQ-9 | CONOCOPHILLIPS CO | | .05/11/2022 | Call @ 100.00 | | 738,747 | .700,000 | 835,520 | 809,997 | 0 | 0 | (9,765) | 0 | (9,765) | 0 | 0 | 800,231 | 0 | 0 | (100,231) | (100,231) | .61,462 | .03/15/2026 | 1.G FE |
| .210717-AB-0 | CMRS 2014A A2 - ABS | | .05/01/2022 | Paydown | | 44,250 | .44,250 | 44,305 | 44,278 | 0 | 0 | (28) | 0 | (28) | 0 | 0 | 44,250 | 0 | 0 | 0 | 0 | .655 | .11/01/2025 | 1.A FE |
| .345397-XZ-1 | FORD MOTOR CREDIT COMPANY LLC | | .06/21/2022 | Call @ 100.00 | | 496,750 | .500,000 | 476,565 | 493,281 | 0 | 0 | 2,318 | 0 | 2,318 | 0 | 0 | 495,599 | 0 | 0 | 0 | 0 | .4,401 | .04/01/2023 | 3.A FE |
| .41284N-AC-4 | HDMOT 2021-A A3 - ABS | | .06/15/2022 | Paydown | | 17,018 | .17,018 | 17,018 | 17,015 | 0 | 0 | 3 | 0 | 3 | 0 | 0 | 17,018 | 0 | 0 | 0 | 0 | .31 | .04/15/2026 | 1.A FE |
| .47716A-AB-5 | JETBLUE AIRWAYS CORPORATION 2020-1 PASS | | .05/15/2022 | Paydown | | 16,359 | .16,359 | 16,359 | 17,889 | 0 | 0 | (1,476) | 0 | (1,476) | 0 | 0 | 16,359 | 0 | 0 | 0 | 0 | .327 | .05/15/2034 | 1.F FE |
| .49271V-AH-3 | KEURIG DR PEPPER INC | | .04/22/2022 | Call @ 100.00 | | 313,245 | .304,000 | 304,000 | 304,000 | 0 | 0 | 0 | 0 | 0 | 0 | 304,000 | 0 | 0 | 0 | 0 | .1,193 | .05/25/2025 | 2.B FE | |
| .585055-BS-4 | MEDTRONIC INC | | .06/01/2022 | Call @ 100.00 | | 159,625 | .157,000 | 156,078 | 156,494 | 0 | 0 | 101 | 0 | 101 | 0 | 0 | 156,596 | 0 | 0 | 0 | 0 | .404 | .11/15/2025 | 1.G FE |
| .68784C-AE-2 | OSCAR 181 A1 - ABS | D | .06/10/2022 | Paydown | | 137,940 | .137,940 | 142,811 | 140,270 | 0 | 0 | (2,330) | 0 | (2,330) | 0 | 0 | 137,940 | 0 | 0 | 0 | 0 | .2,008 | .05/12/2025 | 1.A FE |
| .75409X-AA-4 | RATE 21HB1 A1 - CMO/RMBS | | .06/25/2022 | Paydown | | 17,089 | .17,089 | 17,043 | 17,044 | 0 | 0 | .45 | 0 | .45 | 0 | 0 | 17,089 | 0 | 0 | 0 | 0 | .211 | .12/25/2051 | 1.A FE |
| .78433L-AA-4 | SCE RECOVERY FUNDING LLC | | .05/15/2022 | Paydown | | 24,633 | .24,633 | 23,945 | 23,992 | 0 | 0 | 640 | 0 | 640 | 0 | 0 | 24,633 | 0 | 0 | 0 | 0 | .106 | .11/15/2033 | 1.A FE |
| .81748R-AB-3 | SEMT 2020-4 A2 - CMO/RMBS | | .06/01/2022 | Paydown | | 50,442 | .50,442 | 50,781 | 50,781 | 0 | 0 | (339) | 0 | (339) | 0 | 0 | 50,442 | 0 | 0 | 0 | 0 | .485 | .01/25/2050 | 1.A FE |
| .91159J-AA-4 | U.S. BANCORP | | .06/15/2022 | Call @ 100.00 | | 200,000 | .200,000 | 209,308 | 200,764 | 0 | 0 | (764) | 0 | (764) | 0 | 0 | 200,000 | 0 | 0 | 0 | 0 | .5,408 | .07/15/2022 | 1.F FE |
| .91824N-AC-6 | UIMM 211 A3 - CMO/RMBS | | .06/01/2022 | Paydown | | 36,770 | .36,770 | 36,850 | 36,424 | 0 | 0 | (81) | 0 | (81) | 0 | 0 | 36,343 | 0 | 0 | 0 | 0 | .23,427 | .08/25/2051 | 1.A FE |
| .92347Y-AA-2 | VZOT 2019-A A1A - RMBS | | .06/20/2022 | Paydown | | 53,871 | .53,871 | 53,860 | 53,869 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 53,871 | 0 | 0 | 0 | 0 | .646 | .09/20/2023 | 1.A FE |
| .95002K-AA-3 | WFIBS 2020-1 A5 - CMO/RMBS | | .06/01/2022 | Paydown | | 53,032 | .53,032 | 53,182 | 53,182 | 0 | 0 | (149) | 0 | (149) | 0 | 0 | 53,181 | 0 | 0 | 0 | 0 | .39,891 | .12/27/2049 | 1.A FE |
| .95003B-AA-0 | WFIBS 205 A1 - CMO/RMBS | | .06/01/2022 | Paydown | | 36,376 | .36,376 | 36,649 | 36,649 | 0 | 0 | (276) | 0 | (276) | 0 | 0 | 36,37 | | | | | | | |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | 3 For- eign | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consid- eration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Design- ation, NAIC Design- ation Modifier and SVO Adminis- trative Symbol |
|--|---------------------------------|-------------------|-----------------------|---|--------------------------------------|-------------------------|----------------|---------------------|--|--|--|---|---|---|---|--|---|---|---|--|--|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amor- tization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recogn- ized | 14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | 15 Total Foreign Change in Book/ Adjusted Carrying Value | | | | | | | |
| 4509999999. Total - Preferred Stocks | | | | | 14,056 | XXX | 16,055 | 16,482 | (427) | 0 | 0 | 0 | (427) | 0 | 16,055 | 0 | (1,999) | (1,999) | 206 | XXX | XXX |
| .00724F-10-1 | ADODE ORD | | 06/13/2022 | Various | 295,000 | 111,980 | 132,456 | 51,035 | (15,122) | 0 | 0 | 0 | (15,122) | 0 | 132,456 | 0 | (20,476) | (20,476) | 0 | | |
| .02079K-30-5 | ALPHABET CL A ORD | | 05/19/2022 | BRIDGE TRADING CO | 12,000 | 26,862 | 35,254 | 34,764 | 489 | 0 | 0 | 0 | 489 | 0 | 35,254 | 0 | (8,392) | (8,392) | 0 | | |
| .023135-10-6 | AMAZON COM ORD | | 05/03/2022 | CO | 15,000 | 37,347 | 48,575 | 43,346 | (746) | 0 | 0 | 0 | (746) | 0 | 48,575 | 0 | (11,228) | (11,228) | 0 | | |
| .037833-10-2 | APPLE ORD | | 05/19/2022 | Various | 447,000 | 62,338 | 73,785 | 0 | 0 | 0 | 0 | 0 | 0 | 73,785 | 0 | (11,447) | (11,447) | 122 | | | |
| .060505-10-4 | BANK OF AMERICA ORD | | 04/26/2022 | JP MORGAN SECURITIES LLC | 349,000 | 13,556 | 13,301 | 5,294 | (1,856) | 0 | 0 | 0 | (1,856) | 0 | 13,301 | 0 | 256 | 256 | 52 | | |
| .101137-10-7 | BOSTON SCIENTIFIC ORD | | 04/08/2022 | | 1,490,000 | 66,865 | 57,785 | 52,250 | (5,856) | 0 | 0 | 0 | (5,856) | 0 | 57,785 | 0 | 9,080 | 9,080 | 0 | | |
| .16119P-10-8 | CHARTER COMMUNICATIONS CL A ORD | | 04/29/2022 | COWEN AND COMPANY LLC | 77,000 | 32,347 | 46,384 | 41,726 | (2,825) | 0 | 0 | 0 | (2,825) | 0 | 46,384 | 0 | (14,037) | (14,037) | 0 | | |
| .17275R-10-2 | CISCO SYSTEMS ORD | | 04/27/2022 | TD AMERITRADE | 6,000 | 297 | 359 | 127 | (16) | 0 | 0 | 0 | (16) | 0 | 359 | 0 | (62) | (62) | 3 | | |
| .20030N-10-1 | COMCAST CL A ORD | | 04/27/2022 | TD AMERITRADE | 10,000 | 447 | 507 | 151 | 0 | 0 | 0 | 0 | 0 | 507 | 0 | (61) | (61) | 3 | | | |
| .21037T-10-9 | CONSTELLATION ENERGY ORD | | 04/08/2022 | PIPER JAFFRAY & CO | 306,000 | 19,567 | 10,584 | 0 | 0 | 0 | 0 | 0 | 0 | 10,584 | 0 | 8,983 | 8,983 | 35 | | | |
| .22160K-10-5 | COSTCO WHOLESALE ORD | | 05/18/2022 | BRIDGE TRADING CO | 57,000 | 24,465 | 27,896 | 14,760 | (2,823) | 0 | 0 | 0 | (2,823) | 0 | 27,896 | 0 | (3,431) | (3,431) | 72 | | |
| .22788C-10-5 | CROWDSTRIKE HOLDINGS CL A ORD | | 05/12/2022 | Various | 38,000 | 5,272 | 9,730 | 7,781 | 1,950 | 0 | 0 | 0 | 1,950 | 0 | 9,730 | 0 | (4,458) | (4,458) | 0 | | |
| .235851-10-2 | DANAHER ORD | | 04/27/2022 | TD AMERITRADE | 1,000 | 253 | 303 | 0 | 0 | 0 | 0 | 0 | 0 | 303 | 0 | (50) | (50) | 0 | | | |
| .23804L-10-3 | DATADOG CL A ORD | | 05/03/2022 | COWEN AND COMPANY LLC | 211,000 | 24,116 | 32,658 | 30,991 | (4,028) | 0 | 0 | 0 | (4,028) | 0 | 32,658 | 0 | (8,542) | (8,542) | 0 | | |
| .437076-10-2 | HOME DEPOT ORD | | 05/25/2022 | TD AMERITRADE | 13,000 | 3,794 | 5,246 | 4,980 | (123) | 0 | 0 | 0 | (123) | 0 | 5,246 | 0 | (1,452) | (1,452) | 25 | | |
| .461202-10-3 | INTUIT ORD | | 05/06/2022 | BRIDGE TRADING CO | 47,000 | 18,875 | 13,956 | 24,442 | (14,827) | 0 | 0 | 0 | (14,827) | 0 | 13,956 | 0 | 4,918 | 4,918 | 58 | | |
| .478160-10-4 | JOHNSON & JOHNSON ORD | | 04/27/2022 | TD AMERITRADE | 11,000 | 2,040 | 1,804 | 1,711 | (77) | 0 | 0 | 0 | (77) | 0 | 1,804 | 0 | 236 | 236 | 12 | | |
| .576360-10-4 | MASTERCARD CL A ORD | | 04/12/2022 | KEEFE BRUYETTE & WOODS INC. | 36,000 | 12,550 | 13,160 | 719 | (30) | 0 | 0 | 0 | (30) | 0 | 13,160 | 0 | (611) | (611) | 19 | | |
| .64110L-10-6 | NETFLIX ORD | | 04/20/2022 | Various | 388,000 | 86,394 | 157,864 | 81,329 | (18,825) | 0 | 0 | 0 | (18,825) | 0 | 157,864 | 0 | (71,470) | (71,470) | 0 | | |
| .70450Y-10-3 | PAYPAL HOLDINGS ORD | | 04/26/2022 | TD AMERITRADE | 8,000 | 676 | 1,867 | 1,509 | 358 | 0 | 0 | 0 | 358 | 0 | 1,867 | 0 | (1,190) | (1,190) | 0 | | |
| .723787-10-7 | PIONEER NATURAL RESOURCE ORD | | 04/08/2022 | CO | 129,000 | 32,779 | 29,570 | 0 | 0 | 0 | 0 | 0 | 0 | 29,570 | 0 | 3,209 | 3,209 | 265 | | | |
| .742718-10-9 | PROCTER & GAMBLE ORD | | 05/18/2022 | Various | 302,000 | 45,107 | 48,670 | 0 | 0 | 0 | 0 | 0 | 0 | 48,670 | 0 | (3,563) | (3,563) | 276 | | | |
| .81762P-10-2 | SERVICEONE ORD | | 06/13/2022 | TD AMERITRADE | 163,000 | 72,018 | 90,296 | 0 | 0 | 0 | 0 | 0 | 0 | 90,296 | 0 | (18,277) | (18,277) | 0 | | | |
| .828806-10-9 | SIMON PROP GRP REIT ORD | | 04/07/2022 | MERRILL LYNCH, PIERCE, FENNER & SMITH INC | 188,000 | 23,517 | 22,706 | 30,037 | (7,331) | 0 | 0 | 0 | (7,331) | 0 | 22,706 | 0 | 811 | 811 | 310 | | |
| .83304A-10-6 | SNAP CL A ORD | | 04/27/2022 | TD AMERITRADE | 10,000 | 272 | 444 | 141 | 0 | 0 | 0 | 0 | 0 | 444 | 0 | (172) | (172) | 0 | | | |
| .852243-10-3 | BLOCK CL A ORD | | 05/11/2022 | HILLTOP SECURITIES INC | 443,000 | 35,542 | 46,843 | 38,924 | (14,257) | 0 | 0 | 0 | (14,257) | 0 | 46,843 | 0 | (11,302) | (11,302) | 0 | | |
| .949746-10-1 | WELLS FARGO ORD | | 04/26/2022 | TD AMERITRADE | 38,000 | 1,705 | 1,937 | 1,823 | 114 | 0 | 0 | 0 | 114 | 0 | 1,937 | 0 | (232) | (232) | 10 | | |
| .906242-10-4 | ATLASSIAN CL A ORD | | 05/17/2022 | Various | 157,000 | 26,772 | 38,794 | 42,323 | (16,951) | 0 | 0 | 0 | (16,951) | 0 | 38,794 | 0 | (12,022) | (12,022) | 0 | | |
| 5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded | | | | | 787,752 | XXX | 962,734 | 510,165 | (102,784) | 0 | 0 | 0 | (102,784) | 0 | 962,734 | 0 | (174,983) | (174,983) | 1,261 | XXX | XXX |
| .02507H-60-1 | AMER CENT:FOC DG I | | 06/13/2022 | TD AMERITRADE | 86,255 | 3,096 | 4,693 | 0 | 0 | 0 | 0 | 0 | 0 | 4,693 | 0 | (1,597) | (1,597) | 0 | | | |
| .02508J-87-9 | AMER CENT:SD ST INC I | | 04/27/2022 | TD AMERITRADE | 777,336 | 7,197 | 7,620 | 5,957 | 67 | 0 | 0 | 0 | 67 | 0 | 7,620 | 0 | (423) | (423) | 55 | | |
| .05580W-84-1 | BNY MELLON CORE PLUS I | | 04/29/2022 | TD AMERITRADE | 3,350,605 | 32,175 | 36,538 | 36,019 | 519 | 0 | 0 | 0 | 519 | 0 | 36,538 | 0 | (4,362) | (4,362) | 298 | | |
| .61744J-86-1 | MORGAN STANLEY INST GROWTH I | | 06/13/2022 | TD AMERITRADE | 69,396 | 2,084 | 4,686 | 0 | 0 | 0 | 0 | 0 | 0 | 4,686 | 0 | (2,602) | (2,602) | 0 | | | |
| 5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO | | | | | 44,553 | XXX | 53,537 | 41,976 | 586 | 0 | 0 | 0 | 586 | 0 | 53,537 | 0 | (8,984) | (8,984) | 353 | XXX | XXX |
| .025072-81-0 | AMER CENT:FOCUSED DYN GR | | 06/13/2022 | TD AMERITRADE | 3,430,000 | 175,987 | 258,798 | 87,180 | 1,178 | 0 | 0 | 0 | 1,178 | 0 | 258,798 | 0 | (82,811) | (82,811) | 0 | | |
| .46138J-61-9 | INVESTCO RUSSEL 1000 DM | | 04/27/2022 | TD AMERITRADE | 74,000 | 3,398 | 3,622 | 658 | (33) | 0 | 0 | 0 | (33) | 0 | 3,622 | 0 | (224) | (224) | 9 | | |
| .464287-23-4 | ISHARES:MSCI EM MKTS | | 05/25/2022 | TD AMERITRADE | 284,000 | 11,563 | 13,961 | 9,884 | (19) | 0 | 0 | 0 | (19) | 0 | 13,961 | 0 | (2,398) | (2,398) | 5 | | |
| .464288-88-5 | ISHARES:MSCI EAFE GRO | | 04/27/2022 | TD AMERITRADE | 13,000 | 1,146 | 1,383 | 331 | (9) | 0 | 0 | 0 | (9) | 0 | 1,383 | 0 | (237) | (237) | 0 | | |
| .921909-76-8 | VANGUARD TOT I S ETF | | 05/25/2022 | TD AMERITRADE | 1,476,000 | 81,235 | 84,545 | 0 | 0 | 0 | 0 | 0 | 0 | 84,545 | 0 | (3,310) | (3,310) | 148 | | | |
| .921943-85-8 | VANGUARD DEV MKT ETF | | 05/25/2022 | TD AMERITRADE | 138,000 | 6,146 | 6,859 | 7,046 | (187) | 0 | 0 | 0 | (187) | 0 | 6,859 | 0 | (714) | (714) | 11 | | |
| .922908-55-3 | VANGUARD RE IDX ETF | | 05/25/2022 | TD AMERITRADE | 477,000 | 46,308 | 48,491 | 0 | 0 | 0 | 0 | 0 | 0 | 48,491 | 0 | (2,183) | (2,183) | 275 | | | |
| 5819999999. Subtotal - Common Stocks - Exchange Traded Funds | | | | | 325,783 | XXX | 417,659 | 105,100 | 930 | 0 | 0 | 0 | 930 | 0 | 417,659 | 0 | (91,876) | (91,876) | 449 | XXX | XXX |
| 5989999997. Total - Common Stocks - Part 4 | | | | | 1,158,087 | XXX | 1,433,930 | 657,241 | (101,269) | 0 | | | | | | | | | | | |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | 3 For- eign | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consid- eration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain or Loss on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admi- nistrative Symbol |
|---|------------------|-------------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|--|--|---|---|---|---|---|---|--|--|---|--|--|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's Other Than Temporary Impairment Recogn- ized | 13 Current Year's Temporar- y Impairment Accre- tion | 14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | 15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value | | | | | | | |
| 5989999999. Total - Common Stocks | | | | | 1,158,087 | XXX | 1,433,930 | 657,241 | (101,269) | 0 | 0 | (101,269) | 0 | 1,433,930 | 0 | (275,843) | (275,843) | 2,063 | XXX | XXX | |
| 5999999999. Total - Preferred and Common Stocks | | | | | 1,172,143 | XXX | 1,449,985 | 673,722 | (101,695) | 0 | 0 | (101,695) | 0 | 1,449,985 | 0 | (277,842) | (277,842) | 2,269 | XXX | XXX | |
| 6009999999 - Totals | | | | | 5,968,172 | XXX | 6,384,000 | 4,770,214 | (101,695) | (28,240) | 0 | (129,936) | 0 | 6,261,770 | 0 | (340,965) | (340,965) | (86,430) | XXX | XXX | |

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|--|----------------|---|--|--|---|-------------------|------------------|------------|
| | | | | | 6 First Month | 7 Second Month | 8 Third Month | |
| Fifth Third Bank | Cincinnati, OH | 0.000 | .0 | 0 | 3,622,362 | 5,317,809 | 4,173,101 | XXX |
| Fifth Third Bank | Cincinnati, OH | | | | 100,000 | 100,000 | 100,000 | XXX |
| Fifth Third Bank | Cincinnati, OH | | | | 1,409,931 | 1,461,635 | 1,192,746 | XXX |
| Umpqua Bank | San Diego, CA | | | | 14,980,405 | 14,981,677 | 16,598,464 | XXX |
| TD Ameritrade | Omaha, NE | 0.000 | .0 | 0 | 6,912 | 7,441 | 16,347 | XXX |
| 0199998. Deposits in ... | 0 | depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories | XXX | XXX | 0 | 0 | 4,921 | 0 |
| 0199999. Totals - Open Depositories | XXX | XXX | | | 0 | 20,124,531 | 21,868,562 | 22,080,658 |
| 0299998. Deposits in ... | 0 | depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories | XXX | XXX | 0 | 0 | 0 | 0 |
| 0299999. Totals - Suspended Depositories | XXX | XXX | | | 0 | 0 | 0 | 0 |
| 0399999. Total Cash on Deposit | XXX | XXX | | | 0 | 20,124,531 | 21,868,562 | 22,080,658 |
| 0499999. Cash in Company's Office | XXX | XXX | XXX | XXX | 0 | 0 | 0 | 0 |
| 0599999. Total - Cash | XXX | XXX | | | 0 | 20,124,531 | 21,868,562 | 22,080,658 |

STATEMENT AS OF JUNE 30, 2022 OF THE Dealers Assurance Company
SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 CUSIP | 2 Description | 3 Code | 4 Date Acquired | 5 Rate of Interest | 6 Maturity Date | 7 Book/Adjusted Carrying Value | 8 Amount of Interest Due and Accrued | 9 Amount Received During Year |
|--|-------------------------------------|-----------|--------------------|-----------------------|--------------------|--------------------------------------|--|-------------------------------------|
| 0109999999. Total - U.S. Government Bonds | | | | | | 0 | 0 | 0 |
| 0309999999. Total - All Other Government Bonds | | | | | | 0 | 0 | 0 |
| 0509999999. Total - U.S. States, Territories and Possessions Bonds | | | | | | 0 | 0 | 0 |
| 0709999999. Total - U.S. Political Subdivisions Bonds | | | | | | 0 | 0 | 0 |
| 0909999999. Total - U.S. Special Revenues Bonds | | | | | | 0 | 0 | 0 |
| 1109999999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds | | | | | | 0 | 0 | 0 |
| 1309999999. Total - Hybrid Securities | | | | | | 0 | 0 | 0 |
| 1509999999. Total - Parent, Subsidiaries and Affiliates Bonds | | | | | | 0 | 0 | 0 |
| 1909999999. Subtotal - Unaffiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 2419999999. Total - Issuer Obligations | | | | | | 0 | 0 | 0 |
| 2429999999. Total - Residential Mortgage-Backed Securities | | | | | | 0 | 0 | 0 |
| 2439999999. Total - Commercial Mortgage-Backed Securities | | | | | | 0 | 0 | 0 |
| 2449999999. Total - Other Loan-Backed and Structured Securities | | | | | | 0 | 0 | 0 |
| 2459999999. Total - SVO Identified Funds | | | | | | 0 | 0 | 0 |
| 2469999999. Total - Affiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 2479999999. Total - Unaffiliated Bank Loans | | | | | | 0 | 0 | 0 |
| 2509999999. Total Bonds | | | | | | 0 | 0 | 0 |
| 31846V-41-9 | FIRST AMER:TRS OBG V | SD... | 06/02/2022 | | 1,160 | 50,000 | .35 | .42 |
| 94975H-29-6 | ALLSPRING:TRS+ MM I | SD... | 06/02/2022 | | 1,250 | 25,000 | .19 | .18 |
| 8209999999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO | | | | | | 75,000 | 54 | 60 |
| 000000-00-0 | RBS CITIZENS NA CASH SWEET | SD... | 03/01/2021 | | .0,000 | 0 | 0 | 0 |
| 000000-00-0 | MMDA12 | SD... | 06/30/2022 | | .0,000 | 13,494,875 | .0 | .529 |
| 60934N-80-7 | FEDERATED HIMS GV O SVC | SD... | 06/30/2022 | | 1.150 | 4,327,496 | 2,070 | .190 |
| 991061-05-2 | CRA (BNY MELLON, N.A., MEMBER FDIC) | SD... | 06/30/2022 | | .0,000 | 2,612,818 | 0 | .98 |
| 8309999999. Subtotal - All Other Money Market Mutual Funds | | | | | | 20,435,189 | 2,070 | 818 |
| 8609999999 - Total Cash Equivalents | | | | | | 20,510,190 | 2,124 | 878 |