



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT
AS OF MARCH 31, 2022
OF THE CONDITION AND AFFAIRS OF THE
AMERICAN EMPIRE SURPLUS LINES INSURANCE COMPANY

NAIC Group Code 0084 0084 NAIC Company Code 35351 Employer's ID Number 31-0912199
(Current) (Prior)
Organized under the Laws of OH, State of Domicile or Port of Entry OH
Country of Domicile United States of America
Incorporated/Organized 07/15/1977 Commenced Business 12/01/1977
Statutory Home Office 301 E. Fourth Street, Cincinnati, OH, US 45202
(Street and Number) (City or Town, State, Country and Zip Code)
Main Administrative Office 301 E. Fourth Street
(Street and Number)
Cincinnati, OH, US 45202 513-369-5000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)
Mail Address 301 E. Fourth Street, Cincinnati, OH, US 45202
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)
Primary Location of Books and Records 301 E. Fourth Street
(Street and Number)
Cincinnati, OH, US 45202 513-369-5000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)
Internet Website Address www.greatamericaninsurancegroup.com
Statutory Statement Contact Robert James Schwartz, 513-369-5000
(Name) (Area Code) (Telephone Number)
statutoryfilings@gaig.com 513-369-5830
(E-mail Address) (FAX Number)

OFFICERS

President David Lawrence Thompson Jr. # Vice President & Controller Robert James Schwartz
Secretary Matthew David Felvus Vice President & Actuary Lisa Ann Hays

OTHER

| | | |
|----------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| <u>Anthony Joseph Mercurio, Executive Vice President</u> | <u>Michael Eugene Sullivan Jr., Executive Vice President</u> | <u>Sue Ann Erhart, Senior Vice President & General Counsel</u> |
| <u>Aaron Beasy Latto, Senior Vice President</u> | <u>James Louis Muething, Senior Vice President</u> | <u>Carol Prevatt Sipe, Senior Vice President</u> |
| <u>Bruce Robert Smith Jr., Senior Vice President</u> | <u>David John Witzgall, Senior Vice President, Chief Financial Officer & Treasurer</u> | <u>Annette Denise Gardner, Vice President & Assistant Treasurer</u> |
| <u>John William Tholen, Vice President</u> | <u>Magdalena Franziska Kulik Grossman, Chief Compliance Officer</u> | <u>Stephen Charles Beraha, Assistant Vice President & Assistant Secretary</u> |
| <u>Howard Kim Baird, Assistant Treasurer</u> | <u>Robert Jude Zbacnik, Assistant Treasurer</u> | |

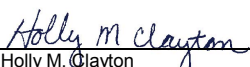
DIRECTORS OR TRUSTEES

| | | |
|-------------------------------------|------------------------------------|--------------------------------|
| <u>Michelle Ann Gillis</u> | <u>Gary John Gruber</u> | <u>Anthony Joseph Mercurio</u> |
| <u>Michael Eugene Sullivan, Jr.</u> | <u>David Lawrence Thompson Jr.</u> | <u>David John Witzgall</u> |

State of Ohio SS:
County of Hamilton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|
|  David Lawrence Thompson, Jr. President |  Matthew David Felvus Secretary |  Robert James Schwartz Vice President & Controller |
|---------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|

Subscribed and sworn to before me this
6th day of May, 2022

Holly M. Clayton
Notary Public State of Ohio
April 28th, 2025

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

