



QUARTERLY STATEMENT  
AS OF MARCH 31, 2022  
OF THE CONDITION AND AFFAIRS OF THE  
AultCare Insurance Company

|                                       |                                                                         |                        |                                                                                                          |            |                                                                                          |           |
|---------------------------------------|-------------------------------------------------------------------------|------------------------|----------------------------------------------------------------------------------------------------------|------------|------------------------------------------------------------------------------------------|-----------|
| NAIC Group Code                       | 4805<br>(Current Period)                                                | 4805<br>(Prior Period) | NAIC Company Code                                                                                        | 77216      | Employer's ID Number                                                                     | 341624818 |
| Organized under the Laws of           | Ohio                                                                    |                        | State of Domicile or Port of Entry                                                                       | OH         |                                                                                          |           |
| Country of Domicile                   | United States of America                                                |                        |                                                                                                          |            |                                                                                          |           |
| Licensed as business type:            | Life, Accident & Health[X]<br>Dental Service Corporation[ ]<br>Other[ ] |                        | Property/Casualty[ ]<br>Vision Service Corporation[ ]<br>Is HMO Federally Qualified? Yes[X] No[ ] N/A[ ] |            | Hospital, Medical & Dental Service or Indemnity[ ]<br>Health Maintenance Organization[ ] |           |
| Incorporated/Organized                | 08/15/1989                                                              |                        | Commenced Business                                                                                       | 11/01/1989 |                                                                                          |           |
| Statutory Home Office                 | 2600 Sixth Street SW<br>(Street and Number)                             |                        | Canton, OH, 44710<br>(City or Town, State, Country and Zip Code)                                         |            |                                                                                          |           |
| Main Administrative Office            |                                                                         |                        | 2600 Sixth Street SW<br>(Street and Number)                                                              |            |                                                                                          |           |
|                                       | Canton, OH, 44710<br>(City or Town, State, Country and Zip Code)        |                        | (330)363-4057<br>(Area Code) (Telephone Number)                                                          |            |                                                                                          |           |
| Mail Address                          | 2600 Sixth Street SW<br>(Street and Number or P.O. Box)                 |                        | Canton, OH, 44710<br>(City or Town, State, Country and Zip Code)                                         |            |                                                                                          |           |
| Primary Location of Books and Records |                                                                         |                        | 2600 Sixth Street SW<br>(Street and Number)                                                              |            |                                                                                          |           |
|                                       | Canton, OH, 44710<br>(City or Town, State, Country and Zip Code)        |                        | (330)363-4057<br>(Area Code) (Telephone Number)                                                          |            |                                                                                          |           |
| Internet Web Site Address             | www.aultcare.com                                                        |                        |                                                                                                          |            |                                                                                          |           |
| Statutory Statement Contact           | Jeffrey Alan Scheatzle<br>(Name)                                        |                        | (330)363-4057<br>(Area Code)(Telephone Number)(Extension)                                                |            |                                                                                          |           |
|                                       | jscheatzle@aultcare.com<br>(E-Mail Address)                             |                        | (330)363-5012<br>(Fax Number)                                                                            |            |                                                                                          |           |

OFFICERS

| Name             | Title                    |
|------------------|--------------------------|
| James R. Savage  | President                |
| Joseph J. Feltes | Secretary                |
| Mark D. Wright   | Treasurer                |
| Rick L. Haines   | Executive Vice President |

OTHERS

DIRECTORS OR TRUSTEES

|                            |                            |
|----------------------------|----------------------------|
| Michael E. Hanke           | Gregory A. Haban M.D.      |
| James R. Savage            | Rick L. Haines             |
| Michael A. Rich M.D.       | Mark D. Wright             |
| John B. Humphrey Jr., M.D. | Darryl J. Dillenback       |
| Allen Rovner M.D.          | Joseph J. Feltes Esq.      |
| Mark N. Rose M.D.          | Barbara Hammontree-Bennett |

State of Ohio  
County of Stark ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

|                 |                  |                |
|-----------------|------------------|----------------|
| (Signature)     | (Signature)      | (Signature)    |
| James R. Savage | Joseph J. Feltes | Mark D. Wright |
| (Printed Name)  | (Printed Name)   | (Printed Name) |
| 1.              | 2.               | 3.             |
| President       | Secretary        | Treasurer      |
| (Title)         | (Title)          | (Title)        |

|                                        |                                |              |
|----------------------------------------|--------------------------------|--------------|
| Subscribed and sworn to before me this | a. Is this an original filing? | Yes[X] No[ ] |
| day of , 2022                          | b. If no,                      |              |
|                                        | 1. State the amendment number  |              |
|                                        | 2. Date filed                  |              |
|                                        | 3. Number of pages attached    |              |

(Notary Public Signature)

**DIRECTORS OR TRUSTEES (continued)**

Todd Hawke  
Richard V. Maggiore

John Westerbeck M.D.

ASSETS

|                      |                                                                                                                                                  | Current Statement Date |                    |                                   | 4                                          |
|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--------------------|-----------------------------------|--------------------------------------------|
|                      |                                                                                                                                                  | 1                      | 2                  | 3                                 |                                            |
|                      |                                                                                                                                                  | Assets                 | Nonadmitted Assets | Net Admitted Assets (Cols. 1 - 2) | December 31 Prior Year Net Admitted Assets |
| 1.                   | Bonds .....                                                                                                                                      | 38,371,670             |                    | 38,371,670                        | 41,694,462                                 |
| 2.                   | Stocks:                                                                                                                                          |                        |                    |                                   |                                            |
| 2.1                  | Preferred stocks .....                                                                                                                           |                        |                    |                                   |                                            |
| 2.2                  | Common stocks .....                                                                                                                              | 12,544,415             |                    | 12,544,415                        | 16,375,973                                 |
| 3.                   | Mortgage loans on real estate:                                                                                                                   |                        |                    |                                   |                                            |
| 3.1                  | First liens .....                                                                                                                                |                        |                    |                                   |                                            |
| 3.2                  | Other than first liens .....                                                                                                                     |                        |                    |                                   |                                            |
| 4.                   | Real estate:                                                                                                                                     |                        |                    |                                   |                                            |
| 4.1                  | Properties occupied by the company (less \$.....0 encumbrances) .....                                                                            |                        |                    |                                   |                                            |
| 4.2                  | Properties held for the production of income (less \$.....0 encumbrances) .....                                                                  |                        |                    |                                   |                                            |
| 4.3                  | Properties held for sale (less \$.....0 encumbrances) .....                                                                                      |                        |                    |                                   |                                            |
| 5.                   | Cash (\$.....25,771,547), cash equivalents (\$.....0) and short-term investments (\$.....0) .....                                                | 25,771,547             |                    | 25,771,547                        | 9,845,575                                  |
| 6.                   | Contract loans (including \$.....0 premium notes) .....                                                                                          |                        |                    |                                   |                                            |
| 7.                   | Derivatives .....                                                                                                                                |                        |                    |                                   |                                            |
| 8.                   | Other invested assets .....                                                                                                                      | 12,152,198             |                    | 12,152,198                        | 12,004,661                                 |
| 9.                   | Receivables for securities .....                                                                                                                 |                        |                    |                                   |                                            |
| 10.                  | Securities lending reinvested collateral assets .....                                                                                            |                        |                    |                                   |                                            |
| 11.                  | Aggregate write-ins for invested assets .....                                                                                                    |                        |                    |                                   |                                            |
| 12.                  | Subtotals, cash and invested assets (Lines 1 to 11) .....                                                                                        | 88,839,830             |                    | 88,839,830                        | 79,920,671                                 |
| 13.                  | Title plants less \$.....0 charged off (for Title insurers only) .....                                                                           |                        |                    |                                   |                                            |
| 14.                  | Investment income due and accrued .....                                                                                                          | 255,955                |                    | 255,955                           | 248,310                                    |
| 15.                  | Premiums and considerations:                                                                                                                     |                        |                    |                                   |                                            |
| 15.1                 | Uncollected premiums and agents' balances in the course of collection .....                                                                      | 5,107,769              |                    | 5,107,769                         | 5,877,778                                  |
| 15.2                 | Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) ..... |                        |                    |                                   |                                            |
| 15.3                 | Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....                                              |                        |                    |                                   |                                            |
| 16.                  | Reinsurance:                                                                                                                                     |                        |                    |                                   |                                            |
| 16.1                 | Amounts recoverable from reinsurers .....                                                                                                        | 2,062,856              |                    | 2,062,856                         | 227,626                                    |
| 16.2                 | Funds held by or deposited with reinsured companies .....                                                                                        |                        |                    |                                   |                                            |
| 16.3                 | Other amounts receivable under reinsurance contracts .....                                                                                       |                        |                    |                                   |                                            |
| 17.                  | Amounts receivable relating to uninsured plans .....                                                                                             |                        |                    |                                   |                                            |
| 18.1                 | Current federal and foreign income tax recoverable and interest thereon .....                                                                    |                        |                    |                                   |                                            |
| 18.2                 | Net deferred tax asset .....                                                                                                                     | 942,244                |                    | 942,244                           | 942,244                                    |
| 19.                  | Guaranty funds receivable or on deposit .....                                                                                                    |                        |                    |                                   |                                            |
| 20.                  | Electronic data processing equipment and software .....                                                                                          | 677,775                |                    | 677,775                           | 677,800                                    |
| 21.                  | Furniture and equipment, including health care delivery assets (\$.....0) .....                                                                  |                        |                    |                                   |                                            |
| 22.                  | Net adjustments in assets and liabilities due to foreign exchange rates .....                                                                    |                        |                    |                                   |                                            |
| 23.                  | Receivables from parent, subsidiaries and affiliates .....                                                                                       |                        |                    |                                   |                                            |
| 24.                  | Health care (\$.....4,397,384) and other amounts receivable .....                                                                                | 4,973,552              | 576,167            | 4,397,384                         | 5,368,010                                  |
| 25.                  | Aggregate write-ins for other-than-invested assets .....                                                                                         | 2,697,071              | 2,697,071          |                                   |                                            |
| 26.                  | TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....                                 | 105,557,052            | 3,273,238          | 102,283,814                       | 93,262,439                                 |
| 27.                  | From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                                                                    |                        |                    |                                   |                                            |
| 28.                  | TOTAL (Lines 26 and 27) .....                                                                                                                    | 105,557,052            | 3,273,238          | 102,283,814                       | 93,262,439                                 |
| DETAILS OF WRITE-INS |                                                                                                                                                  |                        |                    |                                   |                                            |
| 1101.                | .....                                                                                                                                            |                        |                    |                                   |                                            |
| 1102.                | .....                                                                                                                                            |                        |                    |                                   |                                            |
| 1103.                | .....                                                                                                                                            |                        |                    |                                   |                                            |
| 1198.                | Summary of remaining write-ins for Line 11 from overflow page .....                                                                              |                        |                    |                                   |                                            |
| 1199.                | TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....                                                                                 |                        |                    |                                   |                                            |
| 2501.                | Prepaid Expenses .....                                                                                                                           | 674,481                | 674,481            |                                   |                                            |
| 2502.                | InterCompany .....                                                                                                                               | 2,022,590              | 2,022,590          |                                   |                                            |
| 2503.                | .....                                                                                                                                            |                        |                    |                                   |                                            |
| 2598.                | Summary of remaining write-ins for Line 25 from overflow page .....                                                                              |                        |                    |                                   |                                            |
| 2599.                | TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....                                                                                 | 2,697,071              | 2,697,071          |                                   |                                            |

**LIABILITIES, CAPITAL AND SURPLUS**

|                      |                                                                                                                                                       | Current Period |                |             | Prior Year |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|----------------|-------------|------------|
|                      |                                                                                                                                                       | 1<br>Covered   | 2<br>Uncovered | 3<br>Total  | 4<br>Total |
| 1.                   | Claims unpaid (less \$.....1,105,700 reinsurance ceded) .....                                                                                         | 29,606,565     |                | 29,606,565  | 28,224,565 |
| 2.                   | Accrued medical incentive pool and bonus amounts .....                                                                                                | 184,177        |                | 184,177     | 147,355    |
| 3.                   | Unpaid claims adjustment expenses .....                                                                                                               | 1,078,133      |                | 1,078,133   | 1,078,133  |
| 4.                   | Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act .....           |                |                |             |            |
| 5.                   | Aggregate life policy reserves .....                                                                                                                  |                |                |             |            |
| 6.                   | Property/casualty unearned premium reserve .....                                                                                                      |                |                |             |            |
| 7.                   | Aggregate health claim reserves .....                                                                                                                 |                |                |             |            |
| 8.                   | Premiums received in advance .....                                                                                                                    | 5,865,420      |                | 5,865,420   | 3,998,859  |
| 9.                   | General expenses due or accrued .....                                                                                                                 | 8,529,038      |                | 8,529,038   | 5,062,876  |
| 10.1                 | Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)) .....                             | 220,593        |                | 220,593     | 130,322    |
| 10.2                 | Net deferred tax liability .....                                                                                                                      |                |                |             |            |
| 11.                  | Ceded reinsurance premiums payable .....                                                                                                              | 4,792,551      |                | 4,792,551   | 2,802,415  |
| 12.                  | Amounts withheld or retained for the account of others .....                                                                                          |                |                |             |            |
| 13.                  | Remittances and items not allocated .....                                                                                                             |                |                |             |            |
| 14.                  | Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current) .....                                          |                |                |             |            |
| 15.                  | Amounts due to parent, subsidiaries and affiliates .....                                                                                              | 2,133,716      |                | 2,133,716   | 2,090,287  |
| 16.                  | Derivatives .....                                                                                                                                     |                |                |             |            |
| 17.                  | Payable for securities .....                                                                                                                          |                |                |             |            |
| 18.                  | Payable for securities lending .....                                                                                                                  |                |                |             |            |
| 19.                  | Funds held under reinsurance treaties (with \$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers) ..... |                |                |             |            |
| 20.                  | Reinsurance in unauthorized and certified (\$.....0) companies .....                                                                                  |                |                |             |            |
| 21.                  | Net adjustments in assets and liabilities due to foreign exchange rates .....                                                                         |                |                |             |            |
| 22.                  | Liability for amounts held under uninsured plans .....                                                                                                |                |                |             |            |
| 23.                  | Aggregate write-ins for other liabilities (including \$.....0 current) .....                                                                          |                |                |             |            |
| 24.                  | Total liabilities (Lines 1 to 23) .....                                                                                                               | 52,410,194     |                | 52,410,194  | 43,534,812 |
| 25.                  | Aggregate write-ins for special surplus funds .....                                                                                                   | X X X          | X X X          |             |            |
| 26.                  | Common capital stock .....                                                                                                                            | X X X          | X X X          | 1,000,000   | 1,000,000  |
| 27.                  | Preferred capital stock .....                                                                                                                         | X X X          | X X X          |             |            |
| 28.                  | Gross paid in and contributed surplus .....                                                                                                           | X X X          | X X X          | 18,387,534  | 18,387,534 |
| 29.                  | Surplus notes .....                                                                                                                                   | X X X          | X X X          |             |            |
| 30.                  | Aggregate write-ins for other-than-special surplus funds .....                                                                                        | X X X          | X X X          |             |            |
| 31.                  | Unassigned funds (surplus) .....                                                                                                                      | X X X          | X X X          | 30,486,087  | 30,340,094 |
| 32.                  | Less treasury stock, at cost:                                                                                                                         |                |                |             |            |
| 32.1                 | .....0 shares common (value included in Line 26 \$.....0) .....                                                                                       | X X X          | X X X          |             |            |
| 32.2                 | .....0 shares preferred (value included in Line 27 \$.....0) .....                                                                                    | X X X          | X X X          |             |            |
| 33.                  | Total capital and surplus (Lines 25 to 31 minus Line 32) .....                                                                                        | X X X          | X X X          | 49,873,620  | 49,727,627 |
| 34.                  | Total Liabilities, capital and surplus (Lines 24 and 33) .....                                                                                        | X X X          | X X X          | 102,283,814 | 93,262,439 |
| DETAILS OF WRITE-INS |                                                                                                                                                       |                |                |             |            |
| 2301.                | .....                                                                                                                                                 |                |                |             |            |
| 2302.                | .....                                                                                                                                                 |                |                |             |            |
| 2303.                | .....                                                                                                                                                 |                |                |             |            |
| 2398.                | Summary of remaining write-ins for Line 23 from overflow page .....                                                                                   |                |                |             |            |
| 2399.                | TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above) .....                                                                                      |                |                |             |            |
| 2501.                | Health Insurance Tax .....                                                                                                                            | X X X          | X X X          |             |            |
| 2502.                | .....                                                                                                                                                 | X X X          | X X X          |             |            |
| 2503.                | .....                                                                                                                                                 | X X X          | X X X          |             |            |
| 2598.                | Summary of remaining write-ins for Line 25 from overflow page .....                                                                                   | X X X          | X X X          |             |            |
| 2599.                | TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....                                                                                      | X X X          | X X X          |             |            |
| 3001.                | .....                                                                                                                                                 | X X X          | X X X          |             |            |
| 3002.                | .....                                                                                                                                                 | X X X          | X X X          |             |            |
| 3003.                | .....                                                                                                                                                 | X X X          | X X X          |             |            |
| 3098.                | Summary of remaining write-ins for Line 30 from overflow page .....                                                                                   | X X X          | X X X          |             |            |
| 3099.                | TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above) .....                                                                                      | X X X          | X X X          |             |            |

STATEMENT OF REVENUE AND EXPENSES

|                              |                                                                                                                                   | Current Year To Date |            | Prior Year To Date | Prior Year Ended December 31 |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------|------------|--------------------|------------------------------|
|                              |                                                                                                                                   | 1<br>Uncovered       | 2<br>Total | 3<br>Total         | 4<br>Total                   |
| 1.                           | Member Months .....                                                                                                               | X X X .....          | 243,839    | 257,050            | 970,990                      |
| 2.                           | Net premium income (including \$.....0 non-health premium income) .....                                                           | X X X .....          | 65,275,987 | 62,339,133         | 254,852,081                  |
| 3.                           | Change in unearned premium reserves and reserve for rate credits .....                                                            | X X X .....          |            |                    |                              |
| 4.                           | Fee-for-service (net of \$.....0 medical expenses) .....                                                                          | X X X .....          |            |                    |                              |
| 5.                           | Risk revenue .....                                                                                                                | X X X .....          |            |                    |                              |
| 6.                           | Aggregate write-ins for other health care related revenues .....                                                                  | X X X .....          |            |                    |                              |
| 7.                           | Aggregate write-ins for other non-health revenues .....                                                                           | X X X .....          |            |                    |                              |
| 8.                           | Total revenues (Lines 2 to 7) .....                                                                                               | X X X .....          | 65,275,987 | 62,339,133         | 254,852,081                  |
| <b>Hospital and Medical:</b> |                                                                                                                                   |                      |            |                    |                              |
| 9.                           | Hospital/medical benefits .....                                                                                                   |                      | 32,730,845 | 28,591,585         | 124,447,090                  |
| 10.                          | Other professional services .....                                                                                                 |                      |            |                    |                              |
| 11.                          | Outside referrals .....                                                                                                           |                      | 4,373,716  | 7,738,243          | 24,257,054                   |
| 12.                          | Emergency room and out-of-area .....                                                                                              |                      | 1,030,335  | 937,435            | 4,249,169                    |
| 13.                          | Prescription drugs .....                                                                                                          |                      | 8,357,951  | 8,864,878          | 36,081,811                   |
| 14.                          | Aggregate write-ins for other hospital and medical .....                                                                          |                      | 12,366,635 | 9,589,162          | 49,182,841                   |
| 15.                          | Incentive pool, withhold adjustments and bonus amounts .....                                                                      |                      | 61,939     | 84,967             | 194,794                      |
| 16.                          | Subtotal (Lines 9 to 15) .....                                                                                                    |                      | 58,921,422 | 55,806,272         | 238,412,759                  |
| <b>Less:</b>                 |                                                                                                                                   |                      |            |                    |                              |
| 17.                          | Net reinsurance recoveries .....                                                                                                  |                      | 3,457,137  | 4,298,235          | 12,978,486                   |
| 18.                          | Total hospital and medical (Lines 16 minus 17) .....                                                                              |                      | 55,464,285 | 51,508,037         | 225,434,273                  |
| 19.                          | Non-health claims (net) .....                                                                                                     |                      |            |                    |                              |
| 20.                          | Claims adjustment expenses, including \$.....1,754,395 cost containment expenses .....                                            |                      | 2,164,238  | 2,086,164          | 8,469,984                    |
| 21.                          | General administrative expenses .....                                                                                             |                      | 8,152,053  | 8,539,590          | 34,599,052                   |
| 22.                          | Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only) .....     |                      |            |                    |                              |
| 23.                          | Total underwriting deductions (Lines 18 through 22) .....                                                                         |                      | 65,780,577 | 62,133,791         | 268,503,310                  |
| 24.                          | Net underwriting gain or (loss) (Lines 8 minus 23) .....                                                                          | X X X .....          | (504,590)  | 205,342            | (13,651,229)                 |
| 25.                          | Net investment income earned .....                                                                                                |                      | 314,654    | 358,894            | 1,173,535                    |
| 26.                          | Net realized capital gains (losses) less capital gains tax of \$.....88,252 .....                                                 |                      | 353,008    | 12,108             | 4,215,678                    |
| 27.                          | Net investment gains or (losses) (Lines 25 plus 26) .....                                                                         |                      | 667,662    | 371,002            | 5,389,213                    |
| 28.                          | Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)] ..... |                      |            |                    |                              |
| 29.                          | Aggregate write-ins for other income or expenses .....                                                                            |                      |            |                    |                              |
| 30.                          | Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....   | X X X .....          | 163,073    | 576,344            | (8,262,017)                  |
| 31.                          | Federal and foreign income taxes incurred .....                                                                                   | X X X .....          | 2,019      | (3,027)            | (923,597)                    |
| 32.                          | Net income (loss) (Lines 30 minus 31) .....                                                                                       | X X X .....          | 161,054    | 579,371            | (7,338,420)                  |
| <b>DETAILS OF WRITE-INS</b>  |                                                                                                                                   |                      |            |                    |                              |
| 0601.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0602.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0603.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0698.                        | Summary of remaining write-ins for Line 6 from overflow page .....                                                                | X X X .....          |            |                    |                              |
| 0699.                        | TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above) .....                                                                   | X X X .....          |            |                    |                              |
| 0701.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0702.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0703.                        | .....                                                                                                                             | X X X .....          |            |                    |                              |
| 0798.                        | Summary of remaining write-ins for Line 7 from overflow page .....                                                                | X X X .....          |            |                    |                              |
| 0799.                        | TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above) .....                                                                   | X X X .....          |            |                    |                              |
| 1401.                        | Reinsurance Claims .....                                                                                                          |                      | 12,366,635 | 9,589,162          | 49,182,841                   |
| 1402.                        | .....                                                                                                                             |                      |            |                    |                              |
| 1403.                        | .....                                                                                                                             |                      |            |                    |                              |
| 1498.                        | Summary of remaining write-ins for Line 14 from overflow page .....                                                               |                      |            |                    |                              |
| 1499.                        | TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) .....                                                                  |                      | 12,366,635 | 9,589,162          | 49,182,841                   |
| 2901.                        | .....                                                                                                                             |                      |            |                    |                              |
| 2902.                        | .....                                                                                                                             |                      |            |                    |                              |
| 2903.                        | .....                                                                                                                             |                      |            |                    |                              |
| 2998.                        | Summary of remaining write-ins for Line 29 from overflow page .....                                                               |                      |            |                    |                              |
| 2999.                        | TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....                                                                  |                      |            |                    |                              |

STATEMENT OF REVENUE AND EXPENSES (Continued)

|                           |                                                                                                  | 1                       | 2                     | 3                                  |
|---------------------------|--------------------------------------------------------------------------------------------------|-------------------------|-----------------------|------------------------------------|
|                           |                                                                                                  | Current Year<br>To Date | Prior Year<br>To Date | Prior Year<br>Ended<br>December 31 |
| CAPITAL & SURPLUS ACCOUNT |                                                                                                  |                         |                       |                                    |
| 33.                       | Capital and surplus prior reporting year .....                                                   | 49,727,627              | 56,504,305            | 56,504,305                         |
| 34.                       | Net income or (loss) from Line 32 .....                                                          | 161,054                 | 579,371               | (7,338,420)                        |
| 35.                       | Change in valuation basis of aggregate policy and claim reserves .....                           |                         |                       |                                    |
| 36.                       | Change in net unrealized capital gains (losses) less capital gains tax of \$.....(268,393) ..... | (998,574)               | 394,259               | 345,097                            |
| 37.                       | Change in net unrealized foreign exchange capital gain or (loss) .....                           |                         |                       |                                    |
| 38.                       | Change in net deferred income tax .....                                                          | (268,393)               | 42,315                | 1,678,893                          |
| 39.                       | Change in nonadmitted assets .....                                                               | 1,251,907               | 1,241,305             | (1,462,248)                        |
| 40.                       | Change in unauthorized and certified reinsurance .....                                           |                         |                       |                                    |
| 41.                       | Change in treasury stock .....                                                                   |                         |                       |                                    |
| 42.                       | Change in surplus notes .....                                                                    |                         |                       |                                    |
| 43.                       | Cumulative effect of changes in accounting principles .....                                      |                         |                       |                                    |
| 44.                       | Capital Changes:                                                                                 |                         |                       |                                    |
| 44.1                      | Paid in .....                                                                                    |                         |                       |                                    |
| 44.2                      | Transferred from surplus (Stock Dividend) .....                                                  |                         |                       |                                    |
| 44.3                      | Transferred to surplus .....                                                                     |                         |                       |                                    |
| 45.                       | Surplus adjustments:                                                                             |                         |                       |                                    |
| 45.1                      | Paid in .....                                                                                    |                         |                       |                                    |
| 45.2                      | Transferred to capital (Stock Dividend) .....                                                    |                         |                       |                                    |
| 45.3                      | Transferred from capital .....                                                                   |                         |                       |                                    |
| 46.                       | Dividends to stockholders .....                                                                  |                         |                       |                                    |
| 47.                       | Aggregate write-ins for gains or (losses) in surplus .....                                       |                         |                       |                                    |
| 48.                       | Net change in capital and surplus (Lines 34 to 47) .....                                         | 145,993                 | 2,257,249             | (6,776,678)                        |
| 49.                       | Capital and surplus end of reporting period (Line 33 plus 48) .....                              | 49,873,620              | 58,761,555            | 49,727,627                         |
| DETAILS OF WRITE-INS      |                                                                                                  |                         |                       |                                    |
| 4701.                     | 0 .....                                                                                          |                         |                       |                                    |
| 4702.                     | 0 .....                                                                                          |                         |                       |                                    |
| 4703.                     | .....                                                                                            |                         |                       |                                    |
| 4798.                     | Summary of remaining write-ins for Line 47 from overflow page .....                              |                         |                       |                                    |
| 4799.                     | TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above) .....                                 |                         |                       |                                    |

|                                                                                    |                                                                                                                 | 1          | 2           | 3           |
|------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|------------|-------------|-------------|
|                                                                                    |                                                                                                                 | Current    | Prior       | Prior       |
|                                                                                    |                                                                                                                 | Year       | Year        | Year Ended  |
|                                                                                    |                                                                                                                 | To Date    | To Date     | December 31 |
| Cash from Operations                                                               |                                                                                                                 |            |             |             |
| 1.                                                                                 | Premiums collected net of reinsurance .....                                                                     | 69,902,693 | 63,826,150  | 254,496,039 |
| 2.                                                                                 | Net investment income .....                                                                                     | 404,437    | 419,652     | 1,439,768   |
| 3.                                                                                 | Miscellaneous income .....                                                                                      |            |             |             |
| 4.                                                                                 | TOTAL (Lines 1 to 3) .....                                                                                      | 70,307,130 | 64,245,802  | 255,935,807 |
| 5.                                                                                 | Benefit and loss related payments .....                                                                         | 53,267,708 | 51,885,629  | 220,579,978 |
| 6.                                                                                 | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                       |            |             |             |
| 7.                                                                                 | Commissions, expenses paid and aggregate write-ins for deductions .....                                         | 6,850,129  | 9,129,719   | 42,422,888  |
| 8.                                                                                 | Dividends paid to policyholders .....                                                                           |            |             |             |
| 9.                                                                                 | Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) .....           |            |             | 1,853,674   |
| 10.                                                                                | TOTAL (Lines 5 through 9) .....                                                                                 | 60,117,837 | 61,015,348  | 264,856,541 |
| 11.                                                                                | Net cash from operations (Line 4 minus Line 10) .....                                                           | 10,189,293 | 3,230,454   | (8,920,734) |
| Cash from Investments                                                              |                                                                                                                 |            |             |             |
| 12.                                                                                | Proceeds from investments sold, matured or repaid:                                                              |            |             |             |
| 12.1                                                                               | Bonds .....                                                                                                     | 4,467,524  | 1,909,758   | 7,512,172   |
| 12.2                                                                               | Stocks .....                                                                                                    | 3,000,000  |             | 2,800,000   |
| 12.3                                                                               | Mortgage loans .....                                                                                            |            |             |             |
| 12.4                                                                               | Real estate .....                                                                                               |            |             |             |
| 12.5                                                                               | Other invested assets .....                                                                                     |            |             | 6,079,054   |
| 12.6                                                                               | Net gains or (losses) on cash, cash equivalents and short-term investments .....                                |            |             |             |
| 12.7                                                                               | Miscellaneous proceeds .....                                                                                    | 248,031    |             | 3,952,110   |
| 12.8                                                                               | TOTAL investment proceeds (Lines 12.1 to 12.7) .....                                                            | 7,715,554  | 1,909,758   | 20,343,336  |
| 13.                                                                                | Cost of investments acquired (long-term only):                                                                  |            |             |             |
| 13.1                                                                               | Bonds .....                                                                                                     | 1,262,323  | 1,770,682   | 14,337,341  |
| 13.2                                                                               | Stocks .....                                                                                                    | 160,789    | 193,010     | 2,017,832   |
| 13.3                                                                               | Mortgage loans .....                                                                                            |            |             |             |
| 13.4                                                                               | Real estate .....                                                                                               |            |             |             |
| 13.5                                                                               | Other invested assets .....                                                                                     |            | 2,565,080   |             |
| 13.6                                                                               | Miscellaneous applications .....                                                                                | 208,765    | 6           |             |
| 13.7                                                                               | TOTAL investments acquired (Lines 13.1 to 13.6) .....                                                           | 1,631,877  | 4,528,778   | 16,355,173  |
| 14.                                                                                | Net increase (or decrease) in contract loans and premium notes .....                                            |            |             |             |
| 15.                                                                                | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....                                         | 6,083,677  | (2,619,020) | 3,988,163   |
| Cash from Financing and Miscellaneous Sources                                      |                                                                                                                 |            |             |             |
| 16.                                                                                | Cash provided (applied):                                                                                        |            |             |             |
| 16.1                                                                               | Surplus notes, capital notes .....                                                                              |            |             |             |
| 16.2                                                                               | Capital and paid in surplus, less treasury stock .....                                                          |            |             |             |
| 16.3                                                                               | Borrowed funds .....                                                                                            |            |             |             |
| 16.4                                                                               | Net deposits on deposit-type contracts and other insurance liabilities .....                                    |            |             |             |
| 16.5                                                                               | Dividends to stockholders .....                                                                                 |            |             |             |
| 16.6                                                                               | Other cash provided (applied) .....                                                                             | (346,998)  | (401,873)   | (39,880)    |
| 17.                                                                                | Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) ..... | (346,998)  | (401,873)   | (39,880)    |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS                |                                                                                                                 |            |             |             |
| 18.                                                                                | Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....           | 15,925,972 | 209,560     | (4,972,451) |
| 19.                                                                                | Cash, cash equivalents and short-term investments:                                                              |            |             |             |
| 19.1                                                                               | Beginning of year .....                                                                                         | 9,845,575  | 14,818,026  | 14,818,026  |
| 19.2                                                                               | End of period (Line 18 plus Line 19.1) .....                                                                    | 25,771,547 | 15,027,586  | 9,845,575   |
| Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions: |                                                                                                                 |            |             |             |
| 20.0001                                                                            | Cumulative effect of changes in accounting principles .....                                                     |            |             |             |

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

|                                                                 | 1          | Comprehensive (Hospital & Medical) |            | 4                   | 5           | 6           | 7                                      | 8                    | 9                  | 10        |
|-----------------------------------------------------------------|------------|------------------------------------|------------|---------------------|-------------|-------------|----------------------------------------|----------------------|--------------------|-----------|
|                                                                 |            | 2                                  | 3          |                     |             |             |                                        |                      |                    |           |
|                                                                 | Total      | Individual                         | Group      | Medicare Supplement | Vision Only | Dental Only | Federal Employees Health Benefits Plan | Title XVIII Medicare | Title XIX Medicaid | Other     |
| Total Members at end of:                                        |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 1. Prior Year .....                                             | 81,846     | 5,200                              | 29,452     | 1,211               |             | 8,028       | 1,211                                  |                      |                    | 36,744    |
| 2. First Quarter .....                                          | 81,170     | 5,364                              | 28,781     | 1,266               |             | 7,810       | 1,100                                  |                      |                    | 36,849    |
| 3. Second Quarter .....                                         |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 4. Third Quarter .....                                          |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 5. Current Year .....                                           |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 6. Current Year Member Months .....                             | 243,839    | 16,169                             | 86,800     | 3,786               |             | 23,550      | 3,336                                  |                      |                    | 110,198   |
| Total Member Ambulatory Encounters for Period:                  |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 7. Physician .....                                              | 39,414     | 6,418                              | 30,604     |                     |             |             | 2,392                                  |                      |                    |           |
| 8. Non-Physician .....                                          | 74,290     | 12,526                             | 56,963     |                     |             |             | 4,801                                  |                      |                    |           |
| 9. Total .....                                                  | 113,704    | 18,944                             | 87,567     |                     |             |             | 7,193                                  |                      |                    |           |
| 10. Hospital Patient Days Incurred .....                        | 3,335      | 511                                | 2,434      |                     |             |             | 390                                    |                      |                    |           |
| 11. Number of Inpatient Admissions .....                        | 558        | 87                                 | 445        |                     |             |             | 26                                     |                      |                    |           |
| 12. Health Premiums Written (a) .....                           | 62,177,756 | 10,216,460                         | 41,596,407 | 662,663             |             | 567,350     | 2,850,349                              |                      |                    | 6,284,527 |
| 13. Life Premiums Direct .....                                  |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 14. Property/Casualty Premiums Written .....                    |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 15. Health Premiums Earned .....                                | 62,177,756 | 10,216,460                         | 41,596,407 | 662,663             |             | 567,350     | 2,850,349                              |                      |                    | 6,284,527 |
| 16. Property/Casualty Premiums Earned .....                     |            |                                    |            |                     |             |             |                                        |                      |                    |           |
| 17. Amount Paid for Provision of Health Care Services .....     | 54,045,463 | 7,275,420                          | 38,237,143 | 609,874             |             | 451,894     | 2,825,513                              |                      |                    | 4,645,619 |
| 18. Amount Incurred for Provision of Health Care Services ..... | 58,921,422 | 8,400,869                          | 40,123,179 | 609,874             |             | 451,894     | 2,981,299                              |                      |                    | 6,354,307 |

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.0.



**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**

| Aging Analysis of Unpaid Claims                                      |             |              |              |               |               |            |
|----------------------------------------------------------------------|-------------|--------------|--------------|---------------|---------------|------------|
| 1                                                                    | 2           | 3            | 4            | 5             | 6             | 7          |
| Account                                                              | 1 - 30 Days | 31 - 60 Days | 61 - 90 Days | 91 - 120 days | Over 120 Days | Total      |
| 0199999 Individually Listed Claims Unpaid .....                      |             |              |              |               |               |            |
| 0299999 Aggregate Accounts Not Individually Listed - Uncovered ..... |             |              |              |               |               |            |
| 0399999 Aggregate Accounts Not Individually Listed - Covered .....   |             |              |              |               |               |            |
| 0499999 Subtotals .....                                              |             |              |              |               |               |            |
| 0599999 Unreported claims and other claim reserves .....             |             |              |              |               |               | 30,712,265 |
| 0699999 Total Amounts Withheld .....                                 |             |              |              |               |               |            |
| 0799999 Total Claims Unpaid .....                                    |             |              |              |               |               | 30,712,265 |
| 0899999 Accrued Medical Incentive Pool And Bonus Amounts .....       |             |              |              |               |               | 184,177    |

**UNDERWRITING AND INVESTMENT EXHIBIT**

**ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE**

| Line<br>of<br>Business |                                                 | Claims<br>Paid Year to Date                                    |                                             | Liability<br>End of<br>Current Quarter         |                                             | 5<br><br>Claims Incurred<br>in Prior Years<br>(Columns 1+3) | 6<br><br>Estimated Claim<br>Reserve and<br>Claim<br>Liability<br>Dec 31 of<br>Prior Year |
|------------------------|-------------------------------------------------|----------------------------------------------------------------|---------------------------------------------|------------------------------------------------|---------------------------------------------|-------------------------------------------------------------|------------------------------------------------------------------------------------------|
|                        |                                                 | 1                                                              | 2                                           | 3                                              | 4                                           |                                                             |                                                                                          |
|                        |                                                 | On<br>Claims Incurred<br>Prior to January 1<br>of Current Year | On<br>Claims Incurred<br>During the<br>Year | On<br>Claims Unpaid<br>Dec 31 of<br>Prior Year | On<br>Claims Incurred<br>During the<br>Year |                                                             |                                                                                          |
| 1.                     | Comprehensive (hospital & medical) .....        | 17,503,830                                                     | 28,141,118                                  | 8,705,617                                      | 16,756,350                                  | 26,209,447                                                  | 24,249,252                                                                               |
| 2.                     | Medicare Supplement .....                       |                                                                | 609,874                                     |                                                |                                             |                                                             |                                                                                          |
| 3.                     | Dental only .....                               | 112,810                                                        | 339,084                                     | 73,123                                         | 112,810                                     | 185,933                                                     | 185,933                                                                                  |
| 4.                     | Vision only .....                               |                                                                |                                             |                                                |                                             |                                                             |                                                                                          |
| 5.                     | Federal Employees Health Benefits Plan .....    | 1,145,173                                                      | 1,680,340                                   |                                                | 931,186                                     | 1,145,173                                                   | 881,900                                                                                  |
| 6.                     | Title XVIII - Medicare .....                    |                                                                |                                             |                                                |                                             |                                                             |                                                                                          |
| 7.                     | Title XIX - Medicaid .....                      |                                                                |                                             |                                                |                                             |                                                             |                                                                                          |
| 8.                     | Other health .....                              | 2,279,507                                                      | 2,366,113                                   | 627,972                                        | 2,399,507                                   | 2,907,479                                                   | 2,907,479                                                                                |
| 9.                     | Health subtotal (Lines 1 to 8) .....            | 21,041,320                                                     | 33,136,529                                  | 9,406,712                                      | 20,199,853                                  | 30,448,032                                                  | 28,224,565                                                                               |
| 10.                    | Healthcare receivables (a) .....                | 304,858                                                        |                                             |                                                |                                             | 304,858                                                     | 147,355                                                                                  |
| 11.                    | Other non-health .....                          |                                                                |                                             |                                                |                                             |                                                             |                                                                                          |
| 12.                    | Medical incentive pools and bonus amounts ..... | 25,117                                                         |                                             | 122,238                                        | 61,939                                      | 147,355                                                     | 147,355                                                                                  |
| 13.                    | Totals (Lines 9 - 10 + 11 + 12) .....           | 20,761,579                                                     | 33,136,529                                  | 9,528,950                                      | 20,261,792                                  | 30,290,529                                                  | 28,224,565                                                                               |

(a) Excludes \$.00 loans or advances to providers not yet expensed.

Notes to Financial Statements

CoSECTION A

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The accompanying financial statements of AultCare Insurance Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners’ (NAIC) Accounting Practices and Procedures manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio.

Note 1A

|                                                                                    | SSAP # | F/S Page # | F/S Line # | 2022         | 2021          |
|------------------------------------------------------------------------------------|--------|------------|------------|--------------|---------------|
| <b>Net Income</b>                                                                  |        |            |            |              |               |
| (1) Company state basis (Page 4, Line 32, Columns 2 & 4)                           | xxx    | xxx        | xxx        | \$161,054    | \$(7,338,419) |
| (2) State prescribed practices that increase/(decrease) NAIC SAP<br>Not Applicable |        |            |            | \$ -         | \$ -          |
| (3) State permitted practices that increase/(decrease) NAIC SAP<br>Not Applicable  |        |            |            | \$ -         | \$ -          |
| (4) NAIC SAP (1-2-3=4)                                                             | xxx    | xxx        | xxx        | \$161,054    | \$(7,338,419) |
| <b>Capital and Surplus</b>                                                         |        |            |            |              |               |
| (5) Company state basis (Page3, Line 33, Columns 3 &4)                             | xxx    | xxx        | xxx        | \$51,018,174 | \$49,727,627  |
| (6) State prescribed practices that increase/(decrease) NAIC SAP<br>Not Applicable |        |            |            | \$ -         | \$ -          |
| (7) State permitted practices that increase/(decrease) NAIC SAP<br>Not Applicable  |        |            |            | \$ -         | \$ -          |
| (8) NAIC SAP (5-6-7=8)                                                             | xxx    | xxx        | xxx        | \$51,018,174 | \$49,727,627  |

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

The preparation of financial statement in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds were stated at amortized cost using the straight-line method.
- (3) Common stock is stated at market value.
- (4) The Company had no preferred stock during the periods reported.
- (5) The Company had no mortgage loans during the periods reported.
- (6) The Company had no loan backed securities during the periods reported.
- (7) The Company has investments in subsidiaries and affiliates with a book adjusted carrying value totaling \$12,674,788. Of this amount, \$78,472 is invested in McKinley Life Agency, Ltd, which is carried at GAAP equity value. In addition, \$2,022,590 is a prepaid expense in AultCare Corporation, which is carried at statutory value. The remaining \$10,573,726 invested in West Tuscarawas Property Management, carried at GAAP equity.
- (8) The Company had no investments in joint ventures, partnerships, or limited liability companies during the periods reported.
- (9) The Company had no derivatives during the periods reported.
- (10) The Company does not utilize anticipated investment income as a factor in the premium deficiency calculation.
- (11) Claims unpaid and claim adjustment expenses include an amount based on individual case estimates and loss reports and an amount based on past experience for losses incurred but not reported (IBNR). Such liabilities are necessarily based on assumptions and estimates. While management believes the amounts to be adequate, the ultimate liabilities may be in excess of or less than the amounts provided. The methods for making such estimates and for establishing the resulting liabilities are continually

Notes to Financial Statements

- reviewed and any adjustments are reflected in the period determined. The Company obtains an estimate of the liabilities for unpaid losses from its independent actuary calculations quarterly.
- (12) The Company has not modified its capitalization policy from the prior period.
- (13) The Company uses current year received pharmacy rebates as a percentage of current year claim expense to estimate current rebate receivable off of the most recent quarter's pharmacy claim expense in accordance with SSAP. 84

D. Going Concern

Management has concluded that there is no substantial doubt of the Company's ability to continue as a going concern.

2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

- A. The Company has moved the assumed reinsurance premiums, claims and allocated expenses of a MEWA from the other health to the Comprehensive category in the annual statement.

3. BUSINESS COMBINATIONS AND GOODWILL

- A. The Company had no business combinations accounted for under the statutory purchase method during the periods reported.
- B. The Company was not part of any merger during the periods reported.
- C. The Company had no assumption of reinsurance during the periods reported.
- D. An impairment loss was not recognized during the periods reported.

4. DISCONTINUED OPERATIONS

- A-D. The Company did not discontinue any operations during the periods reported.

5. INVESTMENTS

- A. The Company had no investment in Mortgage Loan during the periods reported.
- B. The Company had no investments in Restructured Loans during the periods reported.
- C. The Company had no investments in Reverse Mortgages during the periods reported.
- D. The Company had no investments in Loan-Backed Securities during the periods reported.
- E. The Company had no investments in Repurchase Agreements during the periods reported.
- F. The Company had no repurchase agreements accounted for a secured borrowing.
- G. The Company had no reverse repurchase agreements accounted for a secured borrowing.
- H. The Company had no repurchase agreements accounted for as sales.
- I. The Company had no reverse repurchase agreements accounted for as sales
- J. The Company owns \$10,573,726 worth of shares in West Tuscarawas Property Management, an affiliate company that hold certain real estate properties for the production of income.
- K. The Company has no investments in Low-Income Housing Tax Credits.
- L. The Company had no restricted assets.
- M. The Company had no Working Capital Finance Investments during the periods reported.
- N. The Company was not involved in any Offsetting and Netting of Assets and Liabilities during the periods reported.
- O. The Company had no 5\*Securities during the periods reported.
- P. The Company had no short sale securities.
- Q. The Company had no prepayment penalty or acceleration fees.

6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES

- A. The Company had no investments in joint ventures, partnerships or limited liability companies during the periods reported.
- B. The Company had no impaired investments in joint ventures, partnerships or limited liability companies during the periods reported.

7. INVESTMENT INCOME

- A-B. The Company did not exclude any investment income due and accrued during the periods reported.

8. DERIVATIVE INSTRUMENTS

- A-B. The Company did not own derivative financial instruments during the periods reported.

9. INCOME TAXES

- A. The components of the net deferred tax asset/ (liability) at March 31, 2022 are as follows:

| 1. (reported in thousands)       |                                                                      | 3/31/2022 |            |                  | 3/31/2021 |            |                  | Change              |                    |                  |
|----------------------------------|----------------------------------------------------------------------|-----------|------------|------------------|-----------|------------|------------------|---------------------|--------------------|------------------|
|                                  |                                                                      | (1)       | (2)        | (3)              | (4)       | (5)        | (6)              | (7)                 | (8)                | (9)              |
|                                  |                                                                      | Ordinary  | Capital    | Col 1+2<br>Total | Ordinary  | Capital    | Col 4+5<br>Total | Col 1-4<br>Ordinary | Col 2-5<br>Capital | Col 7+8<br>Total |
| (a)                              | Gross Deferred Tax Assets                                            | \$ 1,954  | \$ -       | \$ 1,954         | \$ 641    | \$ -       | \$ 641           | \$ 1,313            | \$ -               | \$ 1,313         |
| (b)                              | Statutory Valuation Allowance Adjustment                             | \$ -      | \$ -       | \$ -             | \$ -      | \$ -       | \$ -             | \$ -                | \$ -               | \$ -             |
| (c)                              | Adjusted Gross Deferred Tax Assets(1a-1b)                            | \$ 1,954  | \$ -       | \$ 1,954         | \$ 641    | \$ -       | \$ 641           | \$ 1,313            | \$ -               | \$ 1,313         |
| (d)                              | Deferred Tax Assets Nonadmitted                                      | \$ -      | \$ -       | \$ -             | \$ -      | \$ -       | \$ -             | \$ -                | \$ -               | \$ -             |
| (e)                              | Subtotal (Net Deferred Tax Assets) (1c-1d)                           | \$ 1,954  | \$ -       | \$ 1,954         | \$ 641    | \$ -       | \$ 641           | \$ 1,313            | \$ -               | \$ 1,313         |
| (f)                              | Deferred Tax Liabilities                                             | \$ -      | \$1,012    | \$1,012          | \$ 6      | \$1,290    | \$ 1,296         | \$ (6)              | \$ (278)           | \$ (248)         |
| (g)                              | Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e-1f) | \$ 1,954  | \$ (1,012) | \$ 942           | \$ 635    | \$ (1,290) | \$ (655)         | \$ 1,319            | \$ 278             | \$ 1,597         |
| 2. (reported in thousands)       |                                                                      | 3/31/2022 |            |                  | 3/31/2021 |            |                  | Change              |                    |                  |
| Admission Calculation Components |                                                                      | (1)       | (2)        | (3)              | (4)       | (5)        | (6)              | (7)                 | (8)                | (9)              |

Notes to Financial Statements

| SSAP No. 101 |                                                                                                                                                                                                               | Ordinary | Capital | Col 1+2<br>Total | Ordinary | Capital | Col 4+5<br>Total | Col 1-4<br>Ordinary | Col 2-5<br>Capital | Col 7+8<br>Total |
|--------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------|------------------|----------|---------|------------------|---------------------|--------------------|------------------|
| (a)          | Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks                                                                                                                                  | \$ -     | \$ -    | \$ -             | \$ -     | \$ -    | \$ -             | \$ -                | \$ -               | \$ -             |
| (b)          | Adjusted Gross Deferred Tax Assets Expected to be Realized (Excluding the Amount of Deferred Tax Assets from 2(a) above) After Application of the Threshold Limitation. (The lessor of 2(b)1 and 2(b)2 Below) | \$ 1,954 | \$ -    | \$ 1,954         | \$ 641   | \$ -    | \$ 641           | \$ 1,313            | \$ -               | \$ 1,313         |

Cont. Note 9 A 2

|                                   |   |                                                                                                                                                    |           |           |               |           |           |               |                  |                 |               |
|-----------------------------------|---|----------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|---------------|-----------|-----------|---------------|------------------|-----------------|---------------|
|                                   | 1 | Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date                                                        | \$ 1,954  | \$ -      | \$ 1,954      | \$ 641    | \$ -      | \$ 641        | \$ 1,313         | \$ -            | \$ 1,313      |
|                                   | 2 | Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold                                                                                | \$ 7,834  | XXX       | \$ 7,834      | \$8,476   | XXX       | \$8,476       | \$ (642)         | XXX             | \$234         |
| (c)                               |   | Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities | \$ -      | \$(1,012) | \$(1,012)     | \$ (6)    | \$(1,290) | \$(1,296)     | \$ 6             | \$ 278          | \$ 284        |
| (d)                               |   | Deferred Tax Assets Admitted as the Result of Application of SSAP No. 101 Total (2(a) + 2(b) + 2(c))                                               | \$ 1,954  | \$(1,012) | \$ 942        | \$ 635    | \$(1,290) | \$ (655)      | \$ 1,319         | \$ 278          | \$ 1,597      |
| 3. (reported in thousands)        |   |                                                                                                                                                    | 2022      |           | 2021          |           |           |               |                  |                 |               |
| (a)                               |   | Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount                                                                 | 409%      |           | 409%          |           |           |               |                  |                 |               |
| (b)                               |   | Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 2(b)2 Above                                   | \$52,226  |           | \$56,504      |           |           |               |                  |                 |               |
| 4. (reported in thousands)        |   |                                                                                                                                                    | 3/31/2022 |           |               | 3/31/2021 |           |               | Change           |                 |               |
| Impact of Tax Planning Strategies |   |                                                                                                                                                    | (1)       | (2)       | (3)           | (4)       | (5)       | (6)           | (7)              | (8)             | (9)           |
|                                   |   |                                                                                                                                                    | Ordinary  | Capital   | Col 1+2 Total | Ordinary  | Capital   | Col 4+5 Total | Col 1-4 Ordinary | Col 2-5 Capital | Col 7+8 Total |
| (a)                               |   | Adjusted Gross DTA's (% of total adjusted gross DTA's)                                                                                             | \$ 1,954  | \$ -      | \$ 1,954      | \$ 641    | \$ -      | \$ 641        | \$ 1,313         | \$ -            | \$ 1,313      |
| (b)                               |   | Net Admitted Adjusted Gross DTA's (% of total net admitted adjusted gross DTA's)                                                                   | \$ 1,954  | \$ -      | \$ 1,954      | \$ -      | \$ -      | \$ -          | \$ 1,954         | \$ -            | \$ 1,954      |
| (c)                               |   | Does the Company's Tax Planning Strategies Include the Use of Reinsurance?                                                                         | Yes       | No X      |               |           |           |               |                  |                 |               |

B. Not Applicable

C. Current Income Taxes Incurred consist of the following major components:

|      |                                                          | (1)<br>3/31/2022 | (2)<br>3/31/2021 | (3)<br>(Col 1-2)<br>Change |
|------|----------------------------------------------------------|------------------|------------------|----------------------------|
| 1.   | Current Income Tax                                       |                  |                  |                            |
| (a)  | Federal                                                  | \$ 90,271        | \$1,853,674      | \$ (1,763,403)             |
| (b)  | Foreign                                                  | \$ -             | \$ -             | \$ -                       |
| (c)  | Subtotal                                                 | \$ 90,271        | \$1,853,674      | \$ (1,763,403)             |
| (d)  | Federal Income Tax on Net Capital Gains                  | \$ -             | \$ -             | \$ -                       |
| (e)  | Utilization of Capital Loss Carry-Forwards               | \$ -             | \$ -             | \$ -                       |
| (f)  | Other                                                    | \$ -             | \$ -             | \$ -                       |
| (g)  | Federal and Foreign Income Taxes Incurred                | \$ 90,271        | \$1,853,674      | \$ (1,763,403)             |
| 2.   | Deferred Tax Assets                                      |                  |                  |                            |
| (a)  | Ordinary                                                 |                  |                  |                            |
| (1)  | Discounting of Unpaid Losses                             | \$ 49,686        | \$ 49,686        | \$ -                       |
| (2)  | Unearned Premium Reserve                                 | \$ 167,961       | \$ 165,156       | \$ 2,805                   |
| (3)  | Policyholder Reserves                                    | \$ 82,986        | \$ 79,667        | \$ 3,319                   |
| (4)  | Investments                                              | \$ 266,543       | \$ 346,349       | \$ (79,806)                |
| (5)  | Deferred Acquisition Costs                               | \$ -             | \$ -             | \$ -                       |
| (6)  | Policyholder Dividends Accrual                           | \$ -             | \$ -             | \$ -                       |
| (7)  | Fixed Assets                                             | \$ -             | \$ -             | \$ -                       |
| (8)  | Compensation and Benefits Accrual                        | \$ -             | \$ -             | \$ -                       |
| (9)  | Pension Accrual                                          | \$ -             | \$ -             | \$ -                       |
| (10) | Receivables – Allowance                                  | \$ -             | \$ -             | \$ -                       |
| (11) | Net Operating Loss Carry-Forward                         | \$ 1,385,128     | \$ -             | \$ 1,385,128               |
| (12) | Tax Credit Carry-Forward                                 | \$ 1,675         | \$ -             | \$ 1,675                   |
| (13) | Other (including items <5% of total ordinary tax assets) | \$ -             | \$ -             | \$ (72,007)                |

Cont. Note 9 C 2

|      |                                                     |              |            |              |
|------|-----------------------------------------------------|--------------|------------|--------------|
| (14) | Subtotal                                            | \$ 1,953,979 | \$ 640,858 | \$ 1,313,121 |
| (b)  | Statutory valuation allowance adjustment            | \$ -         | \$ -       | \$ -         |
| (c)  | Nonadmitted                                         | \$ -         | \$ -       | \$ -         |
| (d)  | Admitted ordinary deferred tax assets (2a14- 2b-2c) | \$ 1,953,979 | \$ 640,858 | \$ 1,313,121 |
| (e)  | Capital                                             |              |            |              |
| (1)  | Net Capital Loss Carry-Forward                      | \$ -         | \$ -       | \$ -         |

Notes to Financial Statements

|       |                                                      |              |              |              |
|-------|------------------------------------------------------|--------------|--------------|--------------|
| (2)   | Subtotal                                             | \$ -         | \$ -         | \$ -         |
| ( f ) | Statutory valuation allowance adjustment             | \$ -         | \$ -         | \$ -         |
| ( g ) | Nonadmitted                                          | \$ -         | \$ -         | \$ -         |
| ( h ) | Admitted capital deferred tax assets (2e2 - 2f - 2g) | \$ -         | \$ -         | \$ -         |
| ( i ) | Admitted deferred tax assets (2d + 2h)               | \$ 1,953,979 | \$ 640,858   | \$ 1,313,121 |
| 3.    | Deferred Tax Liabilities                             |              |              |              |
| ( a ) | Ordinary                                             |              |              |              |
| (1)   | Investments                                          | \$ -         | \$ 5,913     | \$ (5,913)   |
| (2)   | Subtotal                                             | \$ -         | \$ 5,913     | \$ (5,913)   |
| ( b ) | Capital                                              | \$ -         | \$ -         | \$ -         |
| (1)   | Investments                                          | \$ 1,011,735 | \$ 1,290,255 | \$ (278,520) |
| (2)   | Subtotal                                             | \$ 1,011,735 | \$ 1,290,255 | \$ (278,520) |
| ( c ) | Deferred tax liabilities (3a2 + 3b2)                 | \$ 1,011,735 | \$ 1,296,168 | \$ (284,433) |
| 4.    | Net deferred tax assets/liabilities (2i - 3c)        | \$ 942,244   | \$ (655,310) | \$ 1,597,554 |

D. Among the more significant book to tax adjustments were the following:

|                                                |                |                    |
|------------------------------------------------|----------------|--------------------|
|                                                | 3/31/2022      | Effective Tax Rate |
| Provision computed at statutory rate           | \$ (1,335,193) | 18.0%              |
| Change in nonadmitted assets                   | \$ -           | 0.0%               |
| Tax exempt income, net of proration            | \$ -           | 0.0%               |
| Dividends received deduction, net of proration | \$ -           | 0.0%               |
| Nondeductible expenses                         | \$ 42,173      | -0.6%              |
| Elimination of IMR Amortization                | \$ -           | 0.0%               |
| Small Life Insurance Company Deduction         | \$ -           | 0.0%               |
| Prior year under (over) accrual                | \$ (24,095)    | 0.3%               |
| Other                                          | \$ 128,403     | -1.7%              |
| Total statutory income tax expense (benefit)   | \$ (1,188,712) | 16.0%              |
|                                                | 12/31/2021     | Effective Tax Rate |
| Federal and foreign income taxes incurred      | \$ (1,182,799) | 16.0%              |
| Realized capital gains (losses) tax            | \$ -           | 0.0%               |
| Change in net deferred income taxes            | \$ 1,313,121   | -17.7%             |
| Total statutory income tax expense (benefit)   | \$ 130,322     | -1.8%              |

- E. Operating Loss and Tax Credit Carry forwards and Protective Tax Deposits
- (1) At March 31, 2022, the Company had no net operating loss carry forwards available to offset against future taxable income.
- (2) The following are income taxes incurred in the current and prior years that will be available for recoupment in the event of future net losses:
- (a) 2019 \$0
- (b) 2020 \$0
- (c) 2021 \$0
- (3) The Company did not have any deposits admitted under Section 6603 of the Internal Revenue Service Code.
- F. The Company is included in a consolidated federal income tax return with the following affiliates: Aultra Administrative Group; North Central Medical Resources; Aultman Medical Group, Inc.; and MainSite Solutions ASO, LLC.

The Company has a written agreement, approved by the Company’s Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity in which is a party to the consolidation. In the event any federal Tax Benefit Item of the AultCare Companies for any taxable period after they cease being Members of the Consolidated Group is eligible to be carried back to a taxable period while the AultCare Companies were Members of the Consolidated Group, the AultCare Companies shall, where possible, elect to carry such amounts forward to subsequent taxable periods. If the AultCare Companies are required by law to carry back any such federal Tax Benefit Item, the AultCare Companies shall be entitled to a payment at the time and to the extent that such Tax Benefit Item reduces the federal income Tax liability of the Consolidated Group. For purposes of computing the amount of the payment described in this section, one or more federal Tax Benefit Items shall be considered to have reduced the Consolidated Group’s federal income Tax liability in a given taxable period by an amount equal to the difference, if any, between (i) the amount of the Consolidated Group’s federal income Tax liability for the taxable period computed without regard to such federal Tax Benefit Item or Items and (ii) the amount of the Consolidated Group’s federal income Tax liability for the taxable period computed with regard to such federal Tax Benefit Item or Items. For the avoidance of doubt, if the AultCare Companies are required to carry back a federal Tax Benefit Item, such federal Tax Benefit Item shall reduce the Consolidated Group’s federal income Tax liability only after all federal Tax Benefit Items of AultCare Holdings have been applied to reduce the Consolidated Group’s federal income Tax liability in such taxable period. Appropriate reconciliation payments shall be made in the event that it is subsequently determined that a Tax Benefit Item did not reduce the Consolidated Group’s federal income Tax liabilities,

# Notes to Financial Statements

including by reason of any such Tax Benefit Item being subsequently disallowed in whole or in part or by reason of other Tax benefits becoming available.

**10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES**

A, B, C, The Company had the following transactions with affiliates.  
The Company is a party to a Master Services Agreement with Aultman Health Foundation, the ultimate controlling person, under which AHF provides the Company with certain professional management, administration, billing, purchasing, physical plant, and staffing services and support. The Company paid Aultman Health Foundation \$463,124 and \$163,963, respectively, for services provided under the Master Services Agreement as of March 31, 2022 and 2021, respectively.

The Company has a capitation arrangement with Aultman Hospital and has paid \$6,369,023 and \$6,488,707 in capitated claims for its commercial enrollees as of March 31, 2022 and 2021, respectively. The company paid claims to affiliated companies in the amount of \$3,295,363 as of March 31, 2022.

The Company also is a party to a Management and Administrative Services Agreement with AultCare Corporation under which AultCare Corporation provides the Company with certain specified services, including (among others) management and administrative services, provider networking services, marketing and sales services, and office facilities. The Company paid AultCare Corporation \$6,371,958 and \$6,338,949, respectively, for services provided under the Management and Administrative Services Agreement as of March 31, 2022 and 2021, respectively.

D. The Company has an intercompany revolving credit facility in place with the parent company, AultCare Health Insuring Corporation; The Company reported \$2,058,197 and \$1,519,889 as due to the parent company, as of March 31, 2022 and 2021, respectively.

The Company has an amount due to an affiliate, Mckinley Life Agency, Ltd. The company reported \$74,086 and \$57,084 as due to the subsidiary as of March 31, 2022 and 2021, respectively.

The Company has an intercompany revolving credit facility in place with AultCare Corporation, an affiliate that allows AultCare to borrow and repay operating funds. This is nonadmitted due to it being a prepaid expense. AultCare owed \$2,022,590 and \$7,144,134 as of March 31, 2022 and 2021, respectively, under the terms of the agreement.

The Company also has a capitation arrangement with Aultman Hospital for anticipation of future services. As of March 31, 2022, the Company has paid \$2,118,354 Aultman Hospital for these future services.

- E. The Company did not make any guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party which resulted in a material contingent exposure of the Company's assets to any liabilities during the periods reported.
- F. Both Aultman Health Foundation, the ultimate controlling entity, and AultCare Corporation, an affiliate, provided various administrative, marketing, and claims processing services for the Company.
- G. All outstanding shares of the Company were owned by the Company's parent, AultCare Health Insuring Corporation, a not-for-profit corporation domiciled in the State of Ohio.
- H. The Company did not own any shares, directly or indirectly, of an upstream intermediate entity or ultimate parent during the periods reported.
- I. The Company did not have an investment in an SCA entity that exceeds 10% of admitted assets of the insurer.
- J. The Company did not realize any impairment write down for its investments in Subsidiary, Controlled or Affiliated Companies during the statement period.
- K. The Company does not have an investment in a foreign insurance subsidiary.
- L. The Company did not hold an investment in a downstream non insurance holding company during the periods reported.
- M. The Company did not hold any other SCA Investments.
- N. The Company did not hold any insurance SCA investments that departed from NAIC statutory accounting practices and procedures during the periods reported.
- O. The company did not have any SCA losses.

**11. DEBT**

A-B. The Company did not have any debt including capital notes.

**12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS**

- A-D. The Company had no defined benefit plans.
- E. The Company participates in a defined contribution plan sponsored by Aultman Health Foundation. Contributions of 3% of each employee's compensation are made each year to the plan. The Company's contributions for the defined contribution plan were \$90,344 and \$120,924 as of March 31, 2022 and 2021 respectively.
- F-I. The Company had no multiemployer plans, consolidated company plans, post-employment plans, compensated absences, or postretirement benefit plans during the periods reported.

**13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS**

**Notes to Financial Statements**

- (1)

The Company has \$1,000,000 in common stock outstanding, which is owned by its direct parent, AultCare Health Insuring Corporation.
- (2)

The Company had no preferred stock outstanding.
- (3)

Without prior approval of its domiciliary commissioner, dividends to shareholders are limited by the laws of the Company's state of incorporation, Ohio, to an amount that is based on restrictions relating to statutory surplus and net income.
- (4)

The Company paid \$0 dividends in 2021 to AultCare Health Insurance Company.
- (5)

There were no restrictions placed on the Company’s profits that may be paid as ordinary dividends to stock holders.
- (6)

There were no restrictions placed on the Company's surplus.
- (7)

The Total Amount of advances to surplus not repaid is \$0.
- (8)

The Amounts of stock held by the Company, including stock of affiliated companies, for special purposes was:  
For conversion of preferred stock: 0 shares.  
A. For employee stock options: 0 shares.  
B. For stock purchase warrants: 0 shares.
- (9)

The Company did not have changes in the balances of any special surplus funds.
- (10)

The Company did not have any surplus funds represented that were reduced
- (11)

The Company had no outstanding surplus debentures or similar obligations during the periods reported.
- (12)

The Company had no restatements due to quasi-reorganizations during the periods reported.
- (13)

The Company was not involved in a quasi-reorganization during the periods reported.

**14. CONTINGENCIES**

- A.

The Company is not aware of any material contingent commitments.
- B.

The Company is subject to the Ohio Life and Health Insurance Guaranty and does not know of any assessments that could have a material financial effect.
- C.

The Company has a gain contingency of \$725,000 that is being held in an escrow account not controlled by the Company. The gain is from the sale of RNxt, an investment initiated by AultCare Insurance Company in 2019 and sold July 2021. The funds are due to be released in January 2023 upon the attainment of certain conditions outlined in the sales contract.
- D-F.

Contingent liabilities arising from litigation, income taxes and other matters were not considered material in relation to the financial position of the Company.

**15. LEASES**

- A.

Lessee Operating Lease  
(1) The Company has no operating leases. Corporate office facilities expense is covered through the management service agreement with AultCare Corporation in Note 10 above.  
  
(2) At January 1, 2022, the minimum aggregate rental commitments are as follows:

|    | Year Ending December 31 | Operating Leases |
|----|-------------------------|------------------|
| 1. | 2022                    | \$280,841        |
| 2. | 2023                    | \$280,841        |
| 3. | 2024                    | \$280,841        |
| 4. | 2025                    | \$23,403         |

- B.

Leasing is not a significant part of the lessor’s business activities in terms of revenue, net income, or assets.

**16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK**

- A.

The Company had no financial instruments with off-balance sheet risk during the periods reported.

**17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES**

- A.

The Company had no transfers of receivables reported as sales.
- B.

The Company had no transfers of financial assets.
- C.

The Company had no wash sales.

**18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS**

- A-C.

The Company had no Uninsured A&H Plans or Uninsured Portion of Partially Insured Plans during the periods reported.

**19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS**

- A.

The Company had no direct premium written or produced by managing general agents or third party administrators during the periods reported.

**20. FAIR VALUE MEASUREMENT**

- A.

The Company has assets that are measured at fair value on a recurring basis.

| Fair Value Measurements at Reporting Date |                  |                  |                  |              |
|-------------------------------------------|------------------|------------------|------------------|--------------|
| (1)<br>Description                        | (2)<br>(Level 1) | (3)<br>(Level 2) | (4)<br>(Level 3) | (5)<br>Total |



Notes to Financial Statements

(1)

|                            |               |      |      |               |
|----------------------------|---------------|------|------|---------------|
| a. Assets at fair value    |               |      |      |               |
| Common Stock               | \$ 12,544,415 | \$ - | \$ - | \$ 12,544,415 |
| Total assets at fair value | \$ 12,544,415 | \$ - | \$ - | \$ 12,544,415 |

- (2) The Company had no assets with fair value measurements using significant unobservable inputs.
- (3) The Company uses the valuation technique that is based on the quoted prices in the active markets.
- (4) The Company has no Level 2 or Level 3 assets.
- (5) The Company does not have any derivative assets or liabilities.

B. The Company does not have any other fair value assets to disclose.

C. Fair Value Measurement

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1)    | (Level 2)    | (Level 3) | Not Practicable (Carrying Value) |
|------------------------------|----------------------|-----------------|--------------|--------------|-----------|----------------------------------|
| Bonds                        | \$16,828,145         | \$17,328,724    |              | \$16,828,145 |           |                                  |
| Industrial and Misc.         | \$20,240,930         | \$21,042,946    |              | \$20,240,930 |           |                                  |
| Common Stock                 | \$12,544,415         | \$12,544,415    | \$12,544,415 |              |           |                                  |

D. The Company had no fair value instruments or classes of financial instruments where it was not practible to estimate the fair value at either March 31, 2022, or 2021.

E. The Company had no fair value instruments or classes of financial instruments where it was not practible to estimate the fair value at either March 31, 2022, or 2021.

21. OTHER ITEMS

- A. The Company did not experience an unusual or infrequent item that resulted in a gain or loss.
- B. The Company had no troubled debt to restructure.
- C. There are no other applicable disclosures.
- D. The Company did not have any Business Interruption Insurance Recoveries.
- E. The Company did not have any State Transferable Tax Credits.
- F. The Company had no Subprime Mortgage Related Risk Exposure.
- G. The Company had no retained assets.
- H. The Company has no Insurance Linked Securities.

22. EVENTS SUBSEQUENT

- A. As of May 15, 2022, there have been no Type I events subsequent to March 31, 2022, which would have a material effect on the financial condition of the Company or on the financial statement issued May 15, 2022.
- B. As of May 15, 2022, there have been no Type II events subsequent to March 31, 2022, which would have a material effect on the financial condition of the Company or on the financial statement issued May 15, 2022.

23. REINSURANCE

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the company?  
Yes ( ) No (X)
- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor or any other person not primarily engaged in the insurance business?  
Yes ( ) No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?  
Yes ( ) No (X)
- (2) Does the company have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts which, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurers exceed the total direct premium collected under the reinsured policies?  
Yes ( ) No (X)

Section 3 – Ceded Reinsurance Report – part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate.  
\$0
- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year this statement, to included policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?  
Yes ( ) No (X)

B. The Company did not write off any uncollectible reinsurance during the periods reported.

C. The Company did not have any commutation of ceded reinsurance during the periods reported.

Notes to Financial Statements

D. The Company did not have a Reinsurer Rating Downgrade or Status Subject to Revocation during the periods reported.

24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION (N/A –TITLE

- A. The Company has a contract with CMS that is subject to redetermination upon audits performed by the Inspector General. CMS adjusts its payments to the Company based on how actual benefit cost varied from estimated benefit costs included in the bid.
- B. The Company records accrued retrospective premiums as an adjustment to earned premiums.
- C. The Company has no group health plans as of March 31, 2022. No other net premiums written by the Company are subject to retrospective rating features.
- D. Not Applicable
- E. Risk Sharing Provisions of the Affordable Care Act
1. Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)? \_\_\_ YES \_\_\_\_\_
2. Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

| Description                                                                                                        |  | Amount      |
|--------------------------------------------------------------------------------------------------------------------|--|-------------|
| a. Permanent ACA Risk Adjustment Program                                                                           |  |             |
| Assets                                                                                                             |  |             |
| 1. Premium adjustments receivable due to ACA Risk Adjustment                                                       |  | \$1,262,518 |
| Liabilities                                                                                                        |  |             |
| 2. Risk adjustment user fees payable for ACA Risk Adjustment                                                       |  | \$0         |
| 3. Premium adjustments payable due to ACA Risk Adjustment                                                          |  | \$0         |
| Operations (Revenue & Expense)                                                                                     |  |             |
| 4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment |  | \$0         |
| 5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)                                           |  | \$0         |
| b. Transitional ACA Reinsurance Program                                                                            |  |             |
| Assets                                                                                                             |  |             |
| 1. Amounts recoverable for claims paid due to ACA Reinsurance                                                      |  | \$0         |
| 2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)                                 |  | \$0         |
| 3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance                            |  | \$0         |
| Liabilities                                                                                                        |  |             |
| 4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium                    |  | \$0         |
| 5. Ceded reinsurance premiums payable due to ACA Reinsurance                                                       |  | \$0         |
| 6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance                            |  | \$0         |
| Operations (Revenue & Expense)                                                                                     |  |             |
| 7. Ceded reinsurance premiums due to ACA Reinsurance                                                               |  | \$0         |
| 8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments                  |  | \$0         |
| 9. ACA Reinsurance contributions – not reported as ceded premium                                                   |  | \$0         |
| c. Temporary ACA Risk Corridors Program                                                                            |  |             |
| Assets                                                                                                             |  |             |
| 1. Accrued retrospective premium due to ACA Risk Corridors                                                         |  | \$0         |
| Liabilities                                                                                                        |  |             |
| 2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors                          |  | \$0         |
| Operations (Revenue & Expense)                                                                                     |  |             |
| 3. Effect of ACA Risk Corridors on net premium income (paid/received)                                              |  | \$0         |
| 4. Effect of ACA Risk Corridors on change in reserves for rate credits                                             |  | \$0         |

3. Roll-forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

|                                                             | Acrued During the Prior Year on Business Written Before December 31 of the Prior Year |           | Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year |           | Differences                                  |                                              | Adjustments            |                        | Ref | Unsettled Balances as of the Reporting Date        |                                                    |
|-------------------------------------------------------------|---------------------------------------------------------------------------------------|-----------|--------------------------------------------------------------------------------------------------|-----------|----------------------------------------------|----------------------------------------------|------------------------|------------------------|-----|----------------------------------------------------|----------------------------------------------------|
|                                                             |                                                                                       |           |                                                                                                  |           | Prior Year Accrued Less Payments (Col 1 - 3) | Prior Year Accrued Less Payments (Col 2 - 4) | To Prior Year Balances | To Prior Year Balances |     | Cumulative Balance from Prior Years (Col 1 – 3 +7) | Cumulative Balance from Prior Years (Col 2 – 4 +8) |
|                                                             | 1                                                                                     | 2         | 3                                                                                                | 4         | 5                                            | 6                                            | 7                      | 8                      |     | 9                                                  | 10                                                 |
|                                                             | Receivable                                                                            | (Payable) | Receivable                                                                                       | (Payable) | Receivable                                   | (Payable)                                    | Receivable             | (Payable)              |     | Receivable                                         | (Payable)                                          |
| a. Permanent ACA Risk Adjustment Program                    |                                                                                       |           |                                                                                                  |           |                                              |                                              |                        |                        |     |                                                    |                                                    |
| 1. Premium adjustments receivable                           | \$1,262,518                                                                           | \$ -      | \$ -                                                                                             | \$ -      | \$1,262,518                                  | \$ -                                         | \$ -                   | \$ -                   | A   | \$1,262,518                                        | \$ -                                               |
| 2. Premium adjustments (payable)                            | \$ -                                                                                  | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | B   | \$ -                                               | \$ -                                               |
| 3. Subtotal ACA Permanent Risk Adjustment Program           | \$1,262,518                                                                           | \$ -      | \$ -                                                                                             | \$ -      | \$1,262,518                                  | \$ -                                         | \$ -                   | \$ -                   |     | \$1,262,518                                        | \$ -                                               |
| b. Transitional ACA Reinsurance Program                     |                                                                                       |           |                                                                                                  |           |                                              |                                              |                        |                        |     |                                                    |                                                    |
| 1. Amounts recoverable for claims paid                      | \$ -                                                                                  | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | C   | \$ -                                               | \$ -                                               |
| 2. Amounts recoverable for claims unpaid (contra liability) | \$ -                                                                                  | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | D   | \$ -                                               | \$ -                                               |
| 3. Amounts receivable relating to                           | \$ -                                                                                  | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | E   | \$ -                                               | \$ -                                               |

Notes to Financial Statements

|                                                                                                 |             |      |      |      |             |      |      |      |   |             |      |  |
|-------------------------------------------------------------------------------------------------|-------------|------|------|------|-------------|------|------|------|---|-------------|------|--|
| uninsured plans                                                                                 |             |      |      |      |             |      |      |      |   |             |      |  |
| 4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - | F | \$ -        | \$ - |  |
| 5. Ceded reinsurance premiums payable                                                           | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - | G | \$ -        | \$ - |  |
| 6. Liability for amounts held under uninsured plans                                             | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - | H | \$ -        | \$ - |  |
| 7. Subtotal ACA Transitional Reinsurance Program                                                | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - |   | \$ -        | \$ - |  |
| c. Temporary ACA Risk Corridors Program                                                         |             |      |      |      |             |      |      |      |   |             |      |  |
| 1. Accrued retrospective premium                                                                | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - | I | \$ -        | \$ - |  |
| 2. Reserve for rate credits or policy experience rating refunds                                 | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - | J | \$ -        | \$ - |  |
| 3. Subtotal ACA Risk Corridors Program                                                          | \$ -        | \$ - | \$ - | \$ - | \$ -        | \$ - | \$ - | \$ - |   | \$ -        | \$ - |  |
| d. Total for ACA Risk Sharing Provisions                                                        | \$1,262,518 | \$ - | \$ - | \$ - | \$1,262,518 | \$ - | \$ - | \$ - |   | \$1,262,518 | \$ - |  |

Explanation of Adjustments

|    |
|----|
| A. |
| B. |
| C. |
| D. |
| E. |
| F. |
| G. |
| H. |
| I. |
| J. |

4.

| Risk Corridors Program Year                                     | Accrued During the Prior Year on Business Written Before December 31 of the Prior Year |           | Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year |           | Differences                                  |                                              | Adjustments            |                        | Ref | Unsettled Balances as of the Reporting Date        |                                                    |
|-----------------------------------------------------------------|----------------------------------------------------------------------------------------|-----------|--------------------------------------------------------------------------------------------------|-----------|----------------------------------------------|----------------------------------------------|------------------------|------------------------|-----|----------------------------------------------------|----------------------------------------------------|
|                                                                 |                                                                                        |           |                                                                                                  |           | Prior Year Accrued Less Payments (Col 1 - 3) | Prior Year Accrued Less Payments (Col 2 - 4) | To Prior Year Balances | To Prior Year Balances |     | Cumulative Balance from Prior Years (Col 1 – 3 +7) | Cumulative Balance from Prior Years (Col 2 – 4 +8) |
|                                                                 | 1                                                                                      | 2         | 3                                                                                                | 4         | 5                                            | 6                                            | 7                      | 8                      |     | 9                                                  | 10                                                 |
|                                                                 | Receivable                                                                             | (Payable) | Receivable                                                                                       | (Payable) | Receivable                                   | (Payable)                                    | Receivable             | (Payable)              |     | Receivable                                         | (Payable)                                          |
| a. 2014                                                         |                                                                                        |           |                                                                                                  |           |                                              |                                              |                        |                        |     |                                                    |                                                    |
| 1. Accrued retrospective premium                                | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | A   | \$ -                                               | \$ -                                               |
| 2. Reserve for rate credits or policy experience rating refunds | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | B   | \$ -                                               | \$ -                                               |
| b. 2015                                                         |                                                                                        |           |                                                                                                  |           |                                              |                                              |                        |                        |     |                                                    |                                                    |
| 1. Accrued retrospective premium                                | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | C   | \$ -                                               | \$ -                                               |
| 2. Reserve for rate credits or policy experience rating refunds | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | D   | \$ -                                               | \$ -                                               |
| c. 2016                                                         |                                                                                        |           |                                                                                                  |           |                                              |                                              |                        |                        |     |                                                    |                                                    |
| 1. Accrued retrospective premium                                | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | E   | \$ -                                               | \$ -                                               |
| 2. Reserve for rate credits or policy experience rating refunds | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   | F   | \$ -                                               | \$ -                                               |
| d. Total for risk corridors                                     | \$ -                                                                                   | \$ -      | \$ -                                                                                             | \$ -      | \$ -                                         | \$ -                                         | \$ -                   | \$ -                   |     | \$ -                                               | \$ -                                               |

Explanation of Adjustments

|    |
|----|
| A. |
| B. |
| C. |
| D. |
| E. |
| F. |

5.

|    |               | (1)<br>Estimated Amount to be Filed or Final Amount Filed with CMS | (2)<br>Non-Accrued Amounts for Impairment or Other Reasons | (3)<br>Amounts received from CMS | (4)<br>Asset Balance (Gross of Non-admissions) (1-2-3) | (5)<br>Non-admitted Amount | (6)<br>Net Admitted Asset (4-5) |
|----|---------------|--------------------------------------------------------------------|------------------------------------------------------------|----------------------------------|--------------------------------------------------------|----------------------------|---------------------------------|
| a. | 2015          | \$129,608                                                          | \$139,327                                                  | \$(9,719)                        | \$ -                                                   | \$ -                       | \$ -                            |
| b. | 2016          | \$ -                                                               | \$ -                                                       | \$ -                             | \$ -                                                   | \$ -                       | \$ -                            |
| c. | 2017          | \$ -                                                               | \$ -                                                       | \$ -                             | \$ -                                                   | \$ -                       | \$ -                            |
| d. | Total (a+b+c) | \$129,608                                                          | \$139,327                                                  | \$(9,719)                        | \$ -                                                   | \$ -                       | \$ -                            |

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

A-B. Reserves as of December 31, 2021 were \$29,999,986. As of March 31, 2022, \$21,041,320 had been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$9,406,712. Therefore, there has been an unfavorable development of \$448,046 since December 31, 2021. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

26. INTERCOMPANY POOLING ARRANGEMENTS

A-G. The Company had no intercompany pooling arrangements.

27. STRUCTURED SETTLEMENTS

A. The Company had no structured settlements.

Notes to Financial Statements

28. HEALTH CARE RECEIVABLES

A. The Company follows the guidance of Statement of Statutory Accounting Principles (SSAP) No. 84 for its pharmacy rebates receivable. Pharmacy rebates receivable consist of estimated amounts and billed amounts. Estimated amounts are related to prescriptions filled during the three months immediately following quarter-end. Billed amounts represent those that have been accepted in writing, but not collected at the time of the reporting date. Being that the company does not confirm billed amounts within two months of the reporting date, only estimated amounts are admitted at the time of quarter-end.

Pharmacy rebates receivable are estimated based on pharmacy claims eligible for rebates reported during the period multiplied by agreed-upon rates. Pharmacy rebates as of the end of each quarter for the years ended December 31, 2021, 2020, and 2019 are as follows:

| Quarter    | Estimated Pharmacy Rebates as Reported on Financial Statements | Pharmacy Rebates as Billed or Otherwise Confirmed | Actual Rebates Received within 90 Days of Billing | Actual Rebates Received within 91 to 180 Days of Billing | Actual Rebates Received More Than 180 Days After Billing |
|------------|----------------------------------------------------------------|---------------------------------------------------|---------------------------------------------------|----------------------------------------------------------|----------------------------------------------------------|
| 3/31/2022  | \$ 2,635,867                                                   | \$ 576,167                                        | \$ -                                              | \$ -                                                     | \$ -                                                     |
|            |                                                                |                                                   |                                                   |                                                          |                                                          |
| 12/31/2021 | \$3,118,726                                                    | \$2,218,526                                       | \$ 3,462,713                                      | \$ -                                                     | \$ -                                                     |
| 9/30/2021  | \$3,311,503                                                    | \$2,017,079                                       | \$3,355,937                                       | \$ -                                                     | \$ -                                                     |
| 6/30/2021  | \$2,805,636                                                    | \$996,571                                         | \$435,338                                         | \$2,582,647                                              | \$ (53,362.77)                                           |
| 3/31/2021  | \$2,233,860                                                    | \$1,883,157                                       | \$2,099,422                                       | \$ -                                                     | \$ 273,853                                               |
|            |                                                                |                                                   |                                                   |                                                          |                                                          |
| 12/31/2020 | \$2,344,874                                                    | \$2,320,053                                       | \$2,642,210                                       | \$ -                                                     | \$ -                                                     |
| 9/30/2020  | \$1,505,289                                                    | \$2,349,527                                       | \$2,519,359                                       | \$ -                                                     | \$ -                                                     |
| 6/30/2020  | \$1,563,070                                                    | \$2,331,239                                       | \$3,012,300                                       | \$ -                                                     | \$ -                                                     |
| 3/31/2020  | \$2,049,672                                                    | \$2,078,152                                       | \$2,276,587                                       | \$ -                                                     | \$ -                                                     |
|            |                                                                |                                                   |                                                   |                                                          |                                                          |
| 12/31/2019 | \$2,399,224                                                    | \$2,096,895                                       | \$2,579,010                                       | \$ -                                                     | \$ -                                                     |
| 9/30/2019  | \$3,818,865                                                    | \$2,120,006                                       | \$ 2,273,795                                      | \$ -                                                     | \$ -                                                     |

B. The Company did not have any risk sharing receivables during the periods reported.

29. PARTICIPATING POLICIES

A. The Company does not have participating policies or policyholder dividends.

30. PREMIUM DEFICIENCY RESERVES

A. The Company does not have Premium Deficiency Reserves.

1. Liability carried for premium deficiency reserves

\$0

2. Date of the most recent evaluation of this liability

12/31/2021

3. Was anticipated investment income utilized in the calculation? (Yes / No)

No

31. ANTICIPATED SALVAGE AND SUBROGATION

A. The Company did not have anticipated salvage and subrogation included as a reduction of loss reserves.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES  
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[ ] No[ ] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[ ] No[X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[ ]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?

Yes[ ] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[ ] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1              | 2                 | 3                 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes[ ] No[ ] N/A[X]
- If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2020
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

04/28/2017
- 6.4 By what department or departments?

Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[ ] No[ ] N/A[X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[ ] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

| 1              | 2                      | 3   | 4   | 5    | 6   |
|----------------|------------------------|-----|-----|------|-----|
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
|                |                        |     |     |      |     |

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes[X] No[ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[ ] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$..... 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$..... 0
13. Amount of real estate and mortgages held in short-term investments:

\$..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[X] No[ ]
- 14.2 If yes, please complete the following:

**GENERAL INTERROGATORIES (Continued)**

|       |                                                                                               | 1                                                 | 2                                                  |
|-------|-----------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------|
|       |                                                                                               | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 | Bonds .....                                                                                   |                                                   |                                                    |
| 14.22 | Preferred Stock .....                                                                         |                                                   |                                                    |
| 14.23 | Common Stock .....                                                                            |                                                   |                                                    |
| 14.24 | Short-Term Investments .....                                                                  |                                                   |                                                    |
| 14.25 | Mortgages Loans on Real Estate .....                                                          |                                                   |                                                    |
| 14.26 | All Other .....                                                                               | 12,004,662                                        | 12,152,198                                         |
| 14.27 | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | 12,004,662                                        | 12,152,198                                         |
| 14.28 | Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       |                                                   |                                                    |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?  
If no, attach a description with this statement.

Yes[ ] No[X]  
Yes[ ] No[ ] N/A[X]

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page

\$ ..... 0  
\$ ..... 0  
\$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes[X] No[ ]

| 1                              | 2                                                              |
|--------------------------------|----------------------------------------------------------------|
| Name of Custodian(s)           | Custodian Address                                              |
| Huntington National Bank ..... | 220 Market Avenue South, Canton, Oh 44702 .....                |
| The Vanguard Group .....       | P.O. Box 2600 Valley Forge, PA 19482 .....                     |
| Richmond Capital .....         | 10800 Midlothian Turnpike, Suite 217, Richmond, VA 23235 ..... |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1       | 2           | 3                       |
|---------|-------------|-------------------------|
| Name(s) | Location(s) | Complete Explanation(s) |
| .....   | .....       | .....                   |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

17.4 If yes, give full and complete information relating thereto:

Yes[ ] No[X]

| 1             | 2             | 3              | 4      |
|---------------|---------------|----------------|--------|
| Old Custodian | New Custodian | Date of Change | Reason |
| .....         | .....         | .....          | .....  |

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1                                                 | 2             |
|---------------------------------------------------|---------------|
| Name of Firm or Individual                        | Affiliation   |
| Clearstead Advisors LLC - Invesment Advisor ..... | ..... U ..... |
| Huntington Bank - Custodial .....                 | ..... U ..... |
| AultCare Investment Committee .....               | ..... A ..... |
| Richmond Capital .....                            | ..... U ..... |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

Yes[ ] No[X]  
Yes[ ] No[X]

| 1                                         | 2                          | 3                                | 4                   | 5                                              |
|-------------------------------------------|----------------------------|----------------------------------|---------------------|------------------------------------------------|
| Central Registration<br>Depository Number | Name of Firm or Individual | Legal Entity<br>Identifier (LEI) | Registered<br>With  | Investment Management<br>Agreement (IMA) Filed |
| 105674 .....                              | Clearstead Advisors LLC .. | 34-1597728 .....                 | SEC-801-33554 ..... | ..... NO .....                                 |
| 104636 .....                              | Richmond Capital .....     | 2549000Y1PM6HPB5PO60 .....       | 801-17534 .....     | ..... DS .....                                 |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

18.2 If no, list exceptions:

Yes[X] No[ ]

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes[ ] No[X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

**GENERAL INTERROGATORIES (Continued)**

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes[ ] No[X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes[ ] No[X]

**GENERAL INTERROGATORIES**

**PART 2 - HEALTH**

|                                                                                                                                                                             |              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| 1. Operating Percentages:                                                                                                                                                   |              |
| 1.1 A&H loss percent                                                                                                                                                        | 89.740%      |
| 1.2 A&H cost containment percent                                                                                                                                            | 2.820%       |
| 1.3 A&H expense percent excluding cost containment expenses                                                                                                                 | 13.110%      |
| 2.1 Do you act as a custodian for health savings accounts?                                                                                                                  | Yes[ ] No[X] |
| 2.2 If yes, please provide the amount of custodial funds held as of the reporting date.                                                                                     | \$..... 0    |
| 2.3 Do you act as an administrator for health savings accounts?                                                                                                             | Yes[ ] No[X] |
| 2.4 If yes, please provide the balance of the funds administered as of the reporting date.                                                                                  | \$..... 0    |
| 3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?                                               | Yes[ ] No[X] |
| 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? | Yes[ ] No[X] |



**SCHEDULE S - CEDED REINSURANCE**  
**Showing All New Reinsurance Treaties - Current Year to Date**

| 1<br>NAIC<br>Company<br>Code | 2<br>ID<br>Number | 3<br>Effective<br>Date | 4<br>Name of Reinsurer | 5<br>Domiciliary<br>Jurisdiction | 6<br>Type of<br>Reinsurance<br>Ceded | 7<br>Type of<br>Business<br>Ceded | 8<br>Type of<br>Reinsurer | 9<br>Certified<br>Reinsurer Rating<br>(1 through 6) | 10<br>Effective Date<br>of Certified<br>Reinsurer Rating |
|------------------------------|-------------------|------------------------|------------------------|----------------------------------|--------------------------------------|-----------------------------------|---------------------------|-----------------------------------------------------|----------------------------------------------------------|
|                              |                   |                        | NONE                   |                                  |                                      |                                   |                           |                                                     |                                                          |
|                              |                   |                        |                        |                                  |                                      |                                   |                           |                                                     |                                                          |

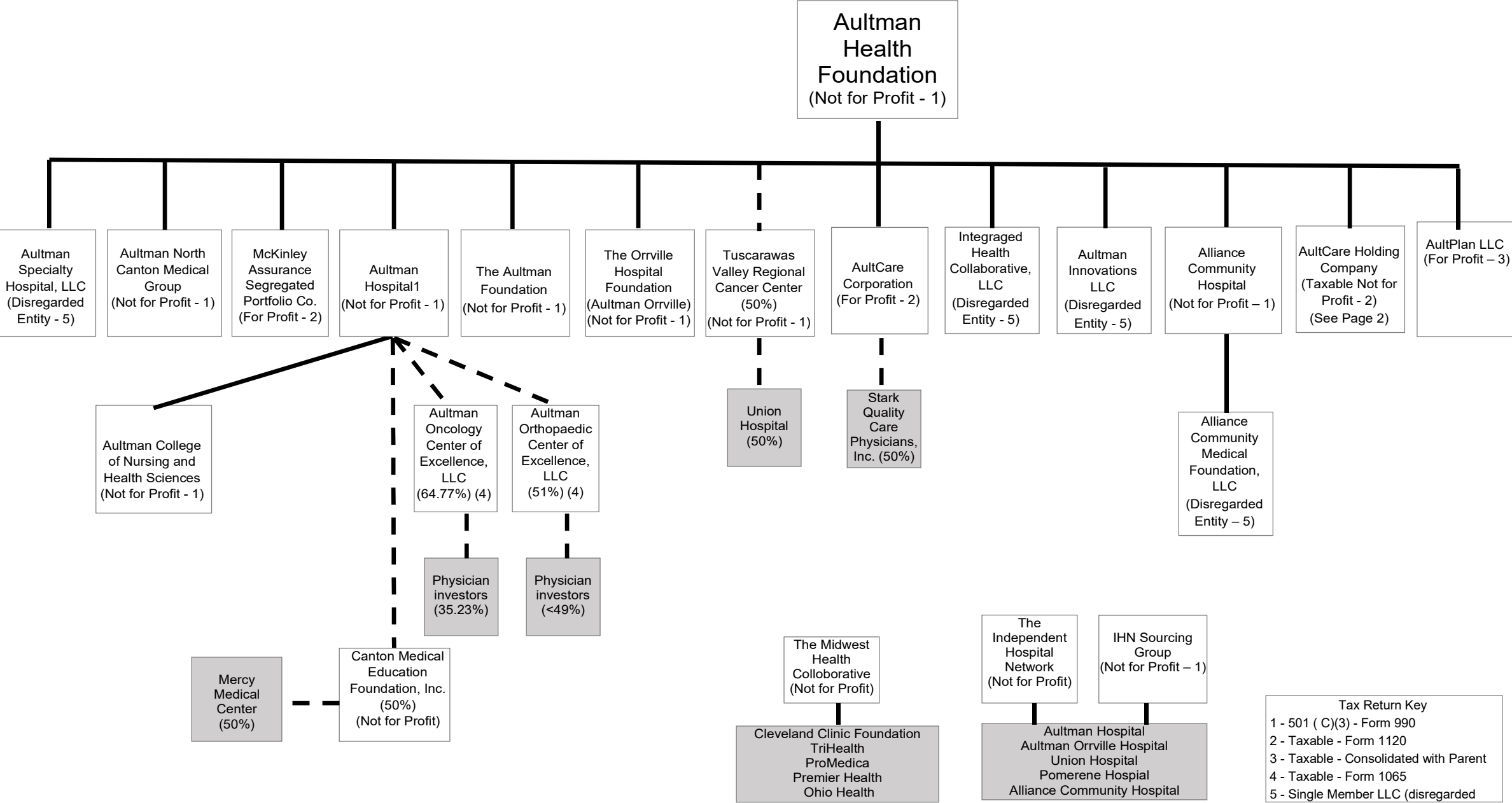
**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**  
**Current Year to Date - Allocated by States and Territories**

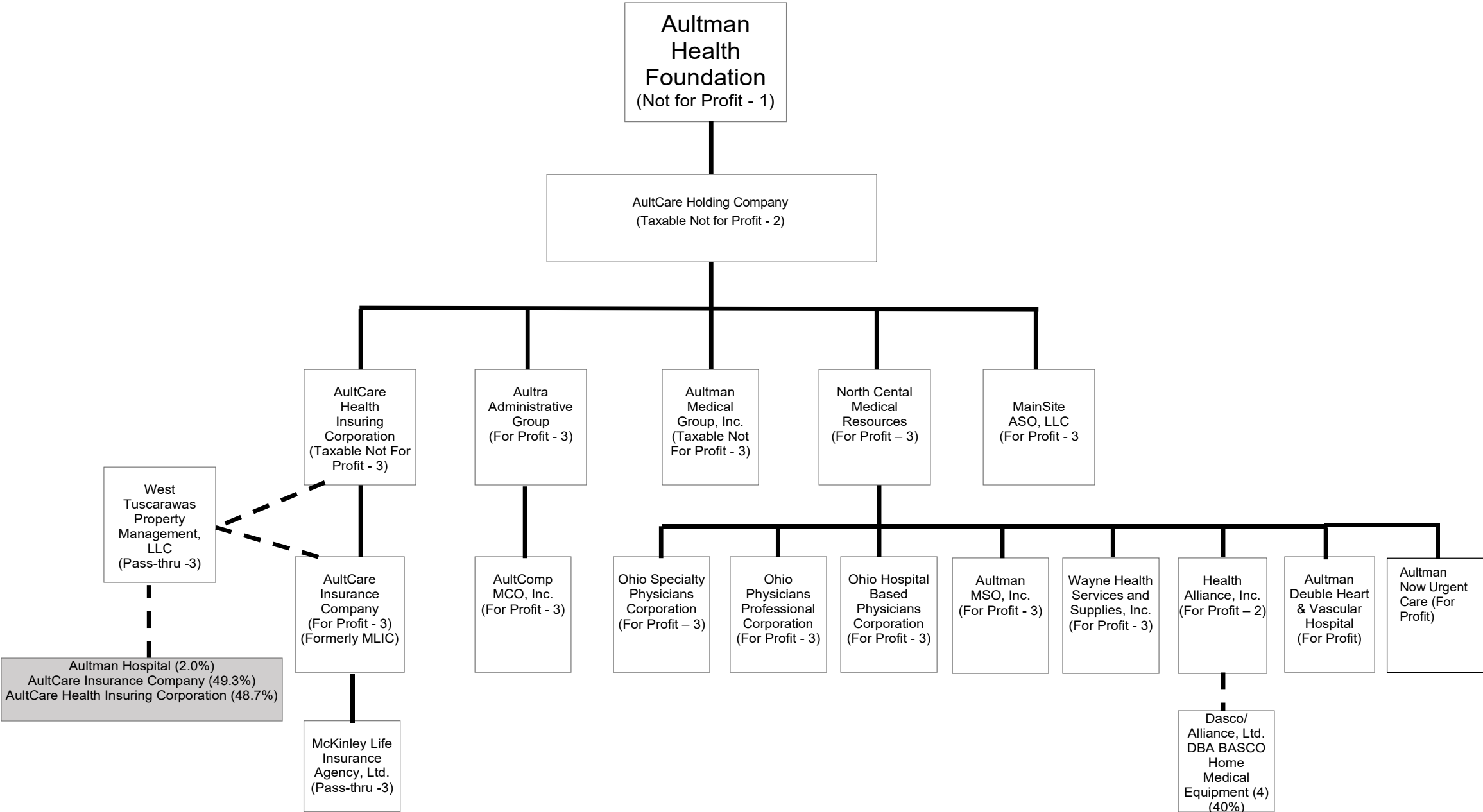
|                      |                                                                     | Direct Business Only |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
|----------------------|---------------------------------------------------------------------|----------------------|------------------------------|----------------------|--------------------|----------------|----------------------------------------------------|----------------------------------------------------|-----------------------------|---------------------------|-------------------------|
|                      |                                                                     | 1                    | 2                            | 3                    | 4                  | 5              | 6                                                  | 7                                                  | 8                           | 9                         | 10                      |
| State, Etc.          |                                                                     | Active Status (a)    | Accident and Health Premiums | Medicare Title XVIII | Medicaid Title XIX | CHIP Title XXI | Federal Employees Health Benefits Program Premiums | Life and Annuity Premiums and Other Considerations | Property/ Casualty Premiums | Total Columns 2 Through 8 | Deposit -Type Contracts |
| 1.                   | Alabama (AL) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 2.                   | Alaska (AK) .....                                                   | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 3.                   | Arizona (AZ) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 4.                   | Arkansas (AR) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 5.                   | California (CA) .....                                               | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 6.                   | Colorado (CO) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 7.                   | Connecticut (CT) .....                                              | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 8.                   | Delaware (DE) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 9.                   | District of Columbia (DC) .....                                     | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 10.                  | Florida (FL) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 11.                  | Georgia (GA) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 12.                  | Hawaii (HI) .....                                                   | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 13.                  | Idaho (ID) .....                                                    | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 14.                  | Illinois (IL) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 15.                  | Indiana (IN) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 16.                  | Iowa (IA) .....                                                     | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 17.                  | Kansas (KS) .....                                                   | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 18.                  | Kentucky (KY) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 19.                  | Louisiana (LA) .....                                                | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 20.                  | Maine (ME) .....                                                    | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 21.                  | Maryland (MD) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 22.                  | Massachusetts (MA) .....                                            | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 23.                  | Michigan (MI) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 24.                  | Minnesota (MN) .....                                                | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 25.                  | Mississippi (MS) .....                                              | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 26.                  | Missouri (MO) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 27.                  | Montana (MT) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 28.                  | Nebraska (NE) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 29.                  | Nevada (NV) .....                                                   | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 30.                  | New Hampshire (NH) .....                                            | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 31.                  | New Jersey (NJ) .....                                               | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 32.                  | New Mexico (NM) .....                                               | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 33.                  | New York (NY) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 34.                  | North Carolina (NC) .....                                           | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 35.                  | North Dakota (ND) .....                                             | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 36.                  | Ohio (OH) .....                                                     | L ..                 | 59,327,407                   |                      |                    |                | 2,850,349                                          |                                                    |                             | 62,177,756                |                         |
| 37.                  | Oklahoma (OK) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 38.                  | Oregon (OR) .....                                                   | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 39.                  | Pennsylvania (PA) .....                                             | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 40.                  | Rhode Island (RI) .....                                             | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 41.                  | South Carolina (SC) .....                                           | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 42.                  | South Dakota (SD) .....                                             | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 43.                  | Tennessee (TN) .....                                                | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 44.                  | Texas (TX) .....                                                    | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 45.                  | Utah (UT) .....                                                     | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 46.                  | Vermont (VT) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 47.                  | Virginia (VA) .....                                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 48.                  | Washington (WA) .....                                               | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 49.                  | West Virginia (WV) .....                                            | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 50.                  | Wisconsin (WI) .....                                                | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 51.                  | Wyoming (WY) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 52.                  | American Samoa (AS) .....                                           | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 53.                  | Guam (GU) .....                                                     | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 54.                  | Puerto Rico (PR) .....                                              | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 55.                  | U.S. Virgin Islands (VI) .....                                      | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 56.                  | Northern Mariana Islands (MP) .....                                 | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 57.                  | Canada (CAN) .....                                                  | N ..                 |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58.                  | Aggregate other alien (OT) .....                                    | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 59.                  | Subtotal .....                                                      | X X X                | 59,327,407                   |                      |                    |                | 2,850,349                                          |                                                    |                             | 62,177,756                |                         |
| 60.                  | Reporting entity contributions for Employee Benefit Plans .....     | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 61.                  | Total (Direct Business) .....                                       | X X X                | 59,327,407                   |                      |                    |                | 2,850,349                                          |                                                    |                             | 62,177,756                |                         |
| DETAILS OF WRITE-INS |                                                                     |                      |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58001.               | .....                                                               | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58002.               | .....                                                               | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58003.               | .....                                                               | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58998.               | Summary of remaining write-ins for Line 58 from overflow page ..... | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |
| 58999.               | TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above) ..... | X X X                |                              |                      |                    |                |                                                    |                                                    |                             |                           |                         |

(a) Active Status Counts:

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG  
E – Eligible - Reporting entities eligible or approved to write surplus lines in the state  
N – None of the above – Not allowed to write business in the state

1 R – Registered - Non-domiciled RRGs  
Q – Qualified - Qualified or accredited reinsurer  
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**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2          | 3                   | 4          | 5            | 6   | 7                                                                      | 8                                                     | 9                        | 10                                     | 11                                                                             | 12                                                                                 | 13                                         | 14                                           | 15                                  | 16      |
|------------|------------|---------------------|------------|--------------|-----|------------------------------------------------------------------------|-------------------------------------------------------|--------------------------|----------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------|-------------------------------------|---------|
| Group Code | Group Name | NAIC Comp- any Code | ID Number  | FEDERAL RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates           | Domic- iliary Loca- tion | Rela- tion- ship to Report- ing Entity | Directly Controlled by (Name of Entity / Person)                               | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies) / Person(s) | Is an SCA Filing Required? (Yes/No) | *       |
| 916        | 4805       | 00000               | 34-1445390 |              |     |                                                                        | Aultman Health Foundation                             | US                       | UIP                                    | Self                                                                           | Board of Directors                                                                 |                                            | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-0714538 |              |     |                                                                        | Aultman Hospital                                      | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 77216               | 34-1624818 |              |     |                                                                        | AultCare Insurance Company                            | US                       | RE                                     | AultCare Health Insuring Corporation                                           | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1488123 |              |     |                                                                        | AultCare Corporation                                  | US                       | IA                                     | Aultman Health Foundation & Stark County Care Physicians, Inc                  | Other                                                                              |                                            | Aultman Health Foundation                    | No                                  | 0000001 |
|            |            | 00000               | 20-0090246 |              |     |                                                                        | West Tuscarawas Property Management, LLC              | US                       | DS                                     | AultCare Insurance Company & AultCare Health Insurance Corp & Aultman Hospital | Ownership                                                                          | 94.0                                       | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1795772 |              |     |                                                                        | McKinley Life Insurance Agency, Ltd.                  | US                       | DS                                     | AultCare Insurance Company                                                     | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 20-4951704 |              |     |                                                                        | Aultra Administrative Group                           | US                       | IA                                     | AultCare Holding Company                                                       | Management                                                                         |                                            | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 27-4379962 |              |     |                                                                        | AultComp MCO, Inc.                                    | US                       | NIA                                    | Aultra Administrative Group                                                    | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1853300 |              |     |                                                                        | Ohio Specialty Physician's Corporation                | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 98-0468384 |              |     |                                                                        | McKinley Assurance Segregated Portfolio Company (SPC) | CYM                      | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 20-1359433 |              |     |                                                                        | Aultman College of Nursing and Health Sciences        | US                       | NIA                                    | Aultman Hospital                                                               | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 31-1509904 |              |     |                                                                        | Aultman MSO, Inc.                                     | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 20-8090459 |              |     |                                                                        | The Aultman Foundation                                | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 31-1509897 |              |     |                                                                        | Ohio Physicians Professional Corporation              | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1610344 |              |     |                                                                        | North Central Medical Resources                       | US                       | NIA                                    | AultCare Holding Company                                                       | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1871647 |              |     |                                                                        | Ohio Hospital Based Physician Corporation             | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 31-1689698 |              |     |                                                                        | Tuscarawas Valley Regional Cancer Center              | US                       | NIA                                    | Other                                                                          | Ownership, Board of Directors                                                      | 50.0                                       | Aultman Health Foundation                    | No                                  | 0000002 |
|            |            | 00000               | 13-4246188 |              |     |                                                                        | Aultman Specialty Hospital, LLC                       | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1243260 |              |     |                                                                        | Canton Medical Education Foundation                   | US                       | NIA                                    | Other                                                                          | Ownership, Board of Directors                                                      | 50.0                                       | Aultman Hospital                             | No                                  | 0000003 |
|            |            | 15461               | 46-3305099 |              |     |                                                                        | AultCare Health Insuring Corporation                  | US                       | UDP                                    | AultCare Holding Company                                                       | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1088530 |              |     |                                                                        | Aultman North Canton Medical                          | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership, Board of Directors                                                      | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-0733138 |              |     |                                                                        | The Orville Hospital Foundation                       | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 45-3166014 |              |     |                                                                        | Aultman Medical Group, Inc                            | US                       | NIA                                    | AultCare Holding Company                                                       | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 47-1165287 |              |     |                                                                        | AultCare Holding Company                              | US                       | UIP                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 47-3587655 |              |     |                                                                        | MainSite ASO, LLC                                     | US                       | NIA                                    | AultCare Holding Company                                                       | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1501390 |              |     |                                                                        | Wayne Health Service and Supplies, Inc                | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 46-4625320 |              |     |                                                                        | Integrated Health Collaborative                       | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 45-4215510 |              |     |                                                                        | Aultman Oncology Center of Excellence                 | US                       | NIA                                    | Other                                                                          | Ownership, Other                                                                   |                                            | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 46-2540184 |              |     |                                                                        | Aultman Orthopedic Center of Excellence               | US                       | NIA                                    | Other                                                                          | Ownership, Other                                                                   |                                            | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 81-1342957 |              |     |                                                                        | The Midwest Health Collaborative                      | US                       | NIA                                    | Other                                                                          | Other                                                                              |                                            | Aultman Health Foundation                    | No                                  | 0000004 |
|            |            | 00000               | 45-1731318 |              |     |                                                                        | IHN Sourcing Group                                    | US                       | NIA                                    | Other                                                                          | Other                                                                              |                                            | AULTman Health Foundation                    | No                                  |         |
|            |            | 00000               | 81-0847842 |              |     |                                                                        | Aultman Innovations, LLC                              | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-0714581 |              |     |                                                                        | Alliance Community Hospital                           | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 26-3646817 |              |     |                                                                        | Alliance Community Medical Foundation                 | US                       | NIA                                    | Alliance Community Hospital                                                    | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1531993 |              |     |                                                                        | Health Alliance, Inc                                  | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 34-1609338 |              |     |                                                                        | Dasco/Alliance, Ltd DBA DASCO Home Medical            | US                       | NIA                                    | Health Alliance, Inc.                                                          | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 84-4874605 |              |     |                                                                        | Aultman Now Urgent Care                               | US                       | NIA                                    | North Central Medical Resources                                                | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 84-2848226 |              |     |                                                                        | Aultman Deuble Heart & Vascualr Hospital              | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |
|            |            | 00000               | 85-1242075 |              |     |                                                                        | AultPlan, LLC                                         | US                       | NIA                                    | Aultman Health Foundation                                                      | Ownership                                                                          | 100.0                                      | Aultman Health Foundation                    | No                                  |         |

| Asterisk | Explanation                                                                                                                                                                                                                |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 0000001  | AultCare Corporation's governance is controlled by Aultman Health Foundation 50% and Stark Quality Care Physicians, Inc 50%, 100% of equity owned by Aultman Health Foundation .....                                       |
| 0000002  | Tuscarawas Valley Regional Cancer Center is controlled by Aultman Health Foundation 50% and a non-insurance affiliate entity Union Hospital 50% .....                                                                      |
| 0000003  | Canton Medical Education Foundation is controlled by Aultman Hospital 50% and a non-insurance affiliate entity Mercy Medical Center 50% .....                                                                              |
| 0000004  | The Midwest Health Collaborative is comprised of Cleveland Clinic Foundation, Tri-Health, ProMedica, Premier Health and Aultman Health Foundation working together to build quality network and pooling of resources. .... |
| 0000005  | Alliance Medical Associates is controlled by Alliance Community Hospital via Share Conrol Agreement .....                                                                                                                  |

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|                                                                                                                                                                                                                                                                                                                                                                           | RESPONSE |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?                                                                                                                                                                                                                                                     | No       |
| AUGUST FILING                                                                                                                                                                                                                                                                                                                                                             |          |
| 2. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. | N/A      |

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



772162022365000012022Document Code: 365

**OVERFLOW PAGE FOR WRITE-INS**



STATEMENT AS OF **March 31, 2022** OF THE **AultCare Insurance Company**

**SCHEDULE A - VERIFICATION**

| Real Estate                                                                                               |              |                                 |
|-----------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                           | 1            | 2                               |
|                                                                                                           | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                                          |              |                                 |
| 2. Cost of acquired:                                                                                      |              |                                 |
| 2.1 Actual cost at time of acquisition .....                                                              |              |                                 |
| 2.2 Additional investment made after acquisition .....                                                    |              |                                 |
| 3. Current year change in encumbrances .....                                                              |              |                                 |
| 4. Total gain (loss) on disposals .....                                                                   |              |                                 |
| 5. Deduct amounts received on disposals .....                                                             |              |                                 |
| 6. Total foreign exchange change in book/adjusted carrying value .....                                    |              |                                 |
| 7. Deduct current year's other-than-temporary impairment recognized .....                                 |              |                                 |
| 8. Deduct current year's depreciation .....                                                               |              |                                 |
| 9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8 ) ..... |              |                                 |
| 10. Deduct total nonadmitted amounts .....                                                                |              |                                 |
| 11. Statement value at end of current period (Line 9 minus Line 10) .....                                 |              |                                 |

**SCHEDULE B - VERIFICATION**

Mortgage Loans

|                                                                                                                                             | 1            | 2                               |
|---------------------------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                                                             | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....                                               |              |                                 |
| 2. Cost of acquired:                                                                                                                        |              |                                 |
| 2.1 Actual cost at time of acquisition .....                                                                                                |              |                                 |
| 2.2 Additional investment made after acquisition .....                                                                                      |              |                                 |
| 3. Capitalized deferred interest and other .....                                                                                            |              |                                 |
| 4. Accrual of discount .....                                                                                                                |              |                                 |
| 5. Unrealized valuation increase (decrease) .....                                                                                           |              |                                 |
| 6. Total gain (loss) on disposals .....                                                                                                     |              |                                 |
| 7. Deduct amounts received on disposals .....                                                                                               |              |                                 |
| 8. Deduct amortization of premium and mortgage interest points .....                                                                        |              |                                 |
| 9. Total foreign exchange change in book value/recorded investment .....                                                                    |              |                                 |
| 10. Deduct current year's other-than-temporary impairment recognized .....                                                                  |              |                                 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) ..... |              |                                 |
| 12. Total valuation allowance .....                                                                                                         |              |                                 |
| 13. Subtotal (Line 11 plus Line 12) .....                                                                                                   |              |                                 |
| 14. Deduct total nonadmitted amounts .....                                                                                                  |              |                                 |
| 15. Statement value at end of current period (Line 13 minus Line 14) .....                                                                  |              |                                 |

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

|                                                                                                                | 1            | 2                               |
|----------------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                                | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                                               | 12,004,661   | 17,931,910                      |
| 2. Cost of acquired:                                                                                           |              |                                 |
| 2.1 Actual cost at time of acquisition .....                                                                   |              |                                 |
| 2.2 Additional investment made after acquisition .....                                                         |              |                                 |
| 3. Capitalized deferred interest and other .....                                                               |              |                                 |
| 4. Accrual of discount .....                                                                                   |              |                                 |
| 5. Unrealized valuation increase (decrease) .....                                                              | 147,536      | 151,805                         |
| 6. Total gain (loss) on disposals .....                                                                        |              |                                 |
| 7. Deduct amounts received on disposals .....                                                                  |              | 6,079,054                       |
| 8. Deduct amortization of premium and depreciation .....                                                       |              |                                 |
| 9. Total foreign exchange change in book/adjusted carrying value .....                                         |              |                                 |
| 10. Deduct current year's other-than-temporary impairment recognized .....                                     |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) ..... | 12,152,198   | 12,004,661                      |
| 12. Deduct total nonadmitted amounts .....                                                                     |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                                     | 12,152,198   | 12,004,661                      |

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

|                                                                                                                | 1            | 2                               |
|----------------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                                | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....                           | 58,070,435   | 50,732,203                      |
| 2. Cost of bonds and stocks acquired .....                                                                     | 1,423,112    | 16,355,173                      |
| 3. Accrual of discount .....                                                                                   | 7,646        | 31,069                          |
| 4. Unrealized valuation increase (decrease) .....                                                              | (1,205,738)  | (95,120)                        |
| 5. Total gain (loss) on disposals .....                                                                        | 193,230      | 1,687,237                       |
| 6. Deduct consideration for bonds and stocks disposed of .....                                                 | 7,467,524    | 10,312,172                      |
| 7. Deduct amortization of premium .....                                                                        | 105,075      | 327,956                         |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                         |              |                                 |
| 9. Deduct current year's other-than-temporary impairment recognized .....                                      |              |                                 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....      |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) ..... | 50,916,086   | 58,070,435                      |
| 12. Deduct total nonadmitted amounts .....                                                                     |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                                     | 50,916,086   | 58,070,435                      |

QSI02

**SCHEDULE D - PART 1B**  
**Showing the Acquisitions, Dispositions and Non-Trading Activity**  
**During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

| NAIC Designation       |                                     | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning of<br>Current Quarter | 2<br>Acquisitions<br>During Current<br>Quarter | 3<br>Dispositions<br>During Current<br>Quarter | 4<br>Non-Trading<br>Activity During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|------------------------|-------------------------------------|-------------------------------------------------------------------------|------------------------------------------------|------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------|------------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------------------------------|
| <b>BONDS</b>           |                                     |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 1.                     | NAIC 1 (a) .....                    | 35,084,678                                                              | 614,554                                        | 3,784,270                                      | 52,603                                                 | 31,967,565                                                      |                                                                  |                                                                 | 35,084,678                                                        |
| 2.                     | NAIC 2 (a) .....                    | 6,812,451                                                               | 647,768                                        | 905,828                                        | (150,286)                                              | 6,404,105                                                       |                                                                  |                                                                 | 6,812,451                                                         |
| 3.                     | NAIC 3 (a) .....                    |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 4.                     | NAIC 4 (a) .....                    |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 5.                     | NAIC 5 (a) .....                    |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 6.                     | NAIC 6 (a) .....                    |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 7.                     | Total Bonds .....                   | 41,897,128                                                              | 1,262,323                                      | 4,690,097                                      | (97,683)                                               | 38,371,670                                                      |                                                                  |                                                                 | 41,897,128                                                        |
| <b>PREFERRED STOCK</b> |                                     |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 8.                     | NAIC 1 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 9.                     | NAIC 2 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 10.                    | NAIC 3 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 11.                    | NAIC 4 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 12.                    | NAIC 5 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 13.                    | NAIC 6 .....                        |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 14.                    | Total Preferred Stock .....         |                                                                         |                                                |                                                |                                                        |                                                                 |                                                                  |                                                                 |                                                                   |
| 15.                    | Total Bonds & Preferred Stock ..... | 41,897,128                                                              | 1,262,323                                      | 4,690,097                                      | (97,683)                                               | 38,371,670                                                      |                                                                  |                                                                 | 41,897,128                                                        |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0;  
NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

|                          | 1<br>Book/Adjusted<br>Carrying Value | 2 | 3<br>Actual<br>Cost | 4<br>Interest Collected<br>Year To Date | 5<br>Paid for Accrued<br>Interest<br>Year To Date |
|--------------------------|--------------------------------------|---|---------------------|-----------------------------------------|---------------------------------------------------|
| 7709999999. Totals ..... |                                      |   |                     |                                         |                                                   |

NONE

SCHEDULE DA - Verification

Short-Term Investments

|                                                                                                           | 1<br>Year To Date | 2<br>Prior Year Ended<br>December 31 |
|-----------------------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year .....                                          | 202,666           | 292,108                              |
| 2. Cost of short-term investments acquired .....                                                          |                   | 202,700                              |
| 3. Accrual of discount .....                                                                              |                   |                                      |
| 4. Unrealized valuation increase (decrease) .....                                                         |                   |                                      |
| 5. Total gain (loss) on disposals .....                                                                   | (740)             | (6)                                  |
| 6. Deduct consideration received on disposals .....                                                       | 201,672           | 290,011                              |
| 7. Deduct amortization of premium .....                                                                   | 254               | 2,126                                |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                    |                   |                                      |
| 9. Deduct current year's other-than-temporary impairment recognized .....                                 |                   |                                      |
| 10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) ..... | 0                 | 202,666                              |
| 11. Deduct total nonadmitted amounts .....                                                                |                   |                                      |
| 12. Statement value at end of current period (Line 10 minus Line 11) .....                                | 0                 | 202,666                              |

**SI04   Schedule DB - Part A Verification   ..... NONE**

**SI04   Schedule DB - Part B Verification   ..... NONE**

**SI05   Schedule DB Part C Section 1   ..... NONE**

**SI06   Schedule DB Part C Section 2   ..... NONE**

**SI07   Schedule DB - Verification   ..... NONE**

**SI08   Schedule E - Verification (Cash Equivalents)   ..... NONE**

**E01   Schedule A Part 2   ..... NONE**

**E01   Schedule A Part 3   ..... NONE**

**E02   Schedule B Part 2   ..... NONE**

**E02   Schedule B Part 3   ..... NONE**

**E03   Schedule BA Part 2   ..... NONE**

**E03   Schedule BA Part 3   ..... NONE**

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1                                                                          | 2                                                                              | 3       | 4             | 5               | 6                         | 7           | 8         | 9                                       | 10                                                                        |
|----------------------------------------------------------------------------|--------------------------------------------------------------------------------|---------|---------------|-----------------|---------------------------|-------------|-----------|-----------------------------------------|---------------------------------------------------------------------------|
| CUSIP Identification                                                       | Description                                                                    | Foreign | Date Acquired | Name of Vendor  | Number of Shares of Stock | Actual Cost | Par Value | Paid for Accrued Interest and Dividends | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| <b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>                 |                                                                                |         |               |                 |                           |             |           |                                         |                                                                           |
| 084670BS6                                                                  | BERKSHIRE HATHAWAY INC 3.125% 03/15/2026                                       |         | 01/12/2022    | HUNTINGTON BANK | X X X                     | 53,003      | 50,000    | 516                                     | 1.C                                                                       |
| 19416QCE8                                                                  | COLGATE PALMOLIVE CO 6.45% 06/16/2028                                          |         | 01/05/2022    | HUNTINGTON BANK | X X X                     | 50,927      | 40,000    | 258                                     | 1.D                                                                       |
| 291011BL7                                                                  | EMERSON ELECTRIC CO 1.8% 10/15/2027                                            |         | 03/04/2022    | HUNTINGTON BANK | X X X                     | 48,349      | 50,000    | 358                                     | 1.F                                                                       |
| 38141GWB6                                                                  | GOLDMAN SACHS GROUP INC 3.85% 01/26/202                                        |         | 01/12/2022    | HUNTINGTON BANK | X X X                     | 159,986     | 150,000   | 2,695                                   | 1.F                                                                       |
| 548661DT1                                                                  | LOWES COMPANIES INC 4% 04/15/2025                                              |         | 01/11/2022    | HUNTINGTON BANK | X X X                     | 269,160     | 250,000   | 2,444                                   | 2.A                                                                       |
| 717081EP4                                                                  | PFIZER INC 3.6% 09/15/2028                                                     |         | 02/23/2022    | HUNTINGTON BANK | X X X                     | 79,607      | 75,000    | 1,200                                   | 1.F                                                                       |
| 743315AY9                                                                  | PROGRESSIVE CORP 2.5% 3/15/2027                                                |         | 03/03/2022    | HUNTINGTON BANK | X X X                     | 170,473     | 170,000   |                                         | 1.F                                                                       |
| 871829BF3                                                                  | SYSCO CORP 3.25% 07/15/2027                                                    |         | 01/11/2022    | HUNTINGTON BANK | X X X                     | 126,443     | 120,000   | 1,928                                   | 2.B                                                                       |
| 87264ABB0                                                                  | T-MOBILE USA 3.5% 04/15/2025                                                   |         | 01/11/2022    | HUNTINGTON BANK | X X X                     | 252,166     | 240,000   | 2,053                                   | 2.C                                                                       |
| 89236TGX7                                                                  | TOYOTA MOTOR CREDIT CORP 3% 04/01/2025                                         |         | 01/13/2022    | HUNTINGTON BANK | X X X                     | 52,212      | 50,000    | 446                                     | 1.E                                                                       |
| 1109999999                                                                 | Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)                 |         |               |                 | X X X                     | 1,262,323   | 1,195,000 | 11,899                                  | X X X                                                                     |
| 2509999997                                                                 | Subtotal - Bonds - Part 3                                                      |         |               |                 | X X X                     | 1,262,323   | 1,195,000 | 11,899                                  | X X X                                                                     |
| 2509999998                                                                 | Summary Item from Part 5 for Bonds (N/A to Quarterly)                          |         |               |                 | X X X                     | X X X       | X X X     | X X X                                   | X X X                                                                     |
| 2509999999                                                                 | Subtotal - Bonds                                                               |         |               |                 | X X X                     | 1,262,323   | 1,195,000 | 11,899                                  | X X X                                                                     |
| 4509999998                                                                 | Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)               |         |               |                 | X X X                     | X X X       | X X X     | X X X                                   | X X X                                                                     |
| 4509999999                                                                 | Subtotal - Preferred Stocks                                                    |         |               |                 | X X X                     |             | X X X     |                                         | X X X                                                                     |
| <b>Common Stocks - Mutual Funds - Designations Not Assigned by the SVO</b> |                                                                                |         |               |                 |                           |             |           |                                         |                                                                           |
| 31428Q739                                                                  | FEDERATED TOTAL BOND RETURN                                                    |         | 01/01/2022    | HUNTINGTON BANK | 4,378.580                 | 48,821      | X X X     |                                         |                                                                           |
| 31428Q739                                                                  | FEDERATED TOTAL BOND RETURN                                                    |         | 01/01/2022    | HUNTINGTON BANK | 1,641.141                 | 18,299      | X X X     |                                         |                                                                           |
| 31428Q739                                                                  | FEDERATED TOTAL BOND RETURN                                                    |         | 01/01/2022    | HUNTINGTON BANK | 1,545.672                 | 17,234      | X X X     |                                         |                                                                           |
| 31428Q739                                                                  | FEDERATED TOTAL BOND RETURN                                                    |         | 03/01/2022    | HUNTINGTON BANK | 1,359.852                 | 14,836      | X X X     |                                         |                                                                           |
| 31428Q739                                                                  | FEDERATED TOTAL BOND RETURN                                                    |         | 03/10/2022    | HUNTINGTON BANK | 1,009.610                 | 10,863      | X X X     |                                         |                                                                           |
| 89354D338                                                                  | TRANSAMERICA INTERNATIONAL EQUITY R6                                           |         | 01/01/2022    | HUNTINGTON BANK | 1,464.608                 | 30,581      | X X X     |                                         |                                                                           |
| 922908801                                                                  | VANGUARD TOTAL MKT IDX INST FUND                                               |         | 03/22/2022    | VANGUARD        | 182.463                   | 20,155      | X X X     |                                         |                                                                           |
| 5329999999                                                                 | Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO |         |               |                 | X X X                     | 160,789     | X X X     |                                         | X X X                                                                     |
| 5989999997                                                                 | Subtotal - Common Stocks - Part 3                                              |         |               |                 | X X X                     | 160,789     | X X X     |                                         | X X X                                                                     |
| 5989999998                                                                 | Summary Item from Part 5 for Common Stocks (N/A to Quarterly)                  |         |               |                 | X X X                     | X X X       | X X X     | X X X                                   | X X X                                                                     |
| 5989999999                                                                 | Subtotal - Common Stocks                                                       |         |               |                 | X X X                     | 160,789     | X X X     |                                         | X X X                                                                     |
| 5999999999                                                                 | Subtotal - Preferred and Common Stocks                                         |         |               |                 | X X X                     | 160,789     | X X X     |                                         | X X X                                                                     |
| 6009999999                                                                 | Total - Bonds, Preferred and Common Stocks                                     |         |               |                 | X X X                     | 1,423,112   | X X X     | 11,899                                  | X X X                                                                     |

**SCHEDULE D - PART 4**  
**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of**  
**During the Current Quarter**

| 1                                                          | 2                                           | 3<br>F<br>o<br>r<br>e<br>i<br>g<br>n | 4                | 5                    | 6                               | 7             | 8            | 9              | 10                                                   | Change in Book/Adjusted Carrying Value             |                                                |                                                            |                                                   |                                                        | 16                                                         | 17                                                | 18                                     | 19                                  | 20                                                              | 21                                        | 22<br>NAIC<br>Designation,<br>NAIC<br>Designation<br>Modifier and<br>SVO Admini-<br>strative Symbol |
|------------------------------------------------------------|---------------------------------------------|--------------------------------------|------------------|----------------------|---------------------------------|---------------|--------------|----------------|------------------------------------------------------|----------------------------------------------------|------------------------------------------------|------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------|----------------------------------------|-------------------------------------|-----------------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                                                            |                                             |                                      |                  |                      |                                 |               |              |                |                                                      | 11                                                 | 12                                             | 13                                                         | 14                                                | 15                                                     |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| CUSIP<br>Identification                                    | Description                                 |                                      | Disposal<br>Date | Name of<br>Purchaser | Number<br>of Shares<br>of Stock | Consideration | Par<br>Value | Actual<br>Cost | Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current Year's<br>(Amortization)/<br>Accretion | Current<br>Year's<br>Temporary<br>Impairment<br>Recognized | Total<br>Change in<br>B./A.C.V.<br>(11 + 12 - 13) | Total<br>Foreign<br>Exchange<br>Change in<br>B./A.C.V. | Book/<br>Adjusted<br>Carrying Value<br>at Disposal<br>Date | Foreign<br>Exchange<br>Gain (Loss)<br>on Disposal | Realized<br>Gain (Loss)<br>on Disposal | Total<br>Gain (Loss)<br>on Disposal | Bond Interest/<br>Stock<br>Dividends<br>Received<br>During Year | Stated<br>Contractual<br>Maturity<br>Date |                                                                                                     |
| <b>Bonds - U.S. Governments</b>                            |                                             |                                      |                  |                      |                                 |               |              |                |                                                      |                                                    |                                                |                                                            |                                                   |                                                        |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| 912828SF8                                                  | U.S. TREASURY NT 2.00%<br>2/15/2022         |                                      | 02/15/2022       | HUNTINGTON BANK      | X X X                           | 250,000       | 250,000      | 249,955        | 250,000                                              |                                                    |                                                |                                                            |                                                   |                                                        | 250,000                                                    |                                                   |                                        |                                     | 2,500                                                           | 02/15/2022                                | 1.A                                                                                                 |
| 912828SF8                                                  | U.S. TREASURY NT 2.00%<br>2/15/2022         |                                      | 02/15/2022       | HUNTINGTON BANK      | X X X                           | 1,000,000     | 1,000,000    | 1,012,578      | 1,000,372                                            | (372)                                              |                                                |                                                            | (372)                                             |                                                        | 1,000,000                                                  |                                                   |                                        |                                     | 10,000                                                          | 02/15/2022                                | 1.A                                                                                                 |
| 912828SF8                                                  | U.S. TREASURY NT 2.00%<br>2/15/2022         |                                      | 02/15/2022       | HUNTINGTON BANK      | X X X                           | 500,000       | 500,000      | 498,184        | 499,911                                              | 89                                                 |                                                |                                                            | 89                                                |                                                        | 500,000                                                    |                                                   |                                        |                                     | 5,000                                                           | 02/15/2022                                | 1.A                                                                                                 |
| 0109999999                                                 | Subtotal - Bonds - U.S. Governments         |                                      |                  |                      | X X X                           | 1,750,000     | 1,750,000    | 1,760,717      | 1,750,283                                            | (283)                                              |                                                |                                                            | (283)                                             |                                                        | 1,750,000                                                  |                                                   |                                        |                                     | 17,500                                                          | X X X                                     | X X X                                                                                               |
| <b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b> |                                             |                                      |                  |                      |                                 |               |              |                |                                                      |                                                    |                                                |                                                            |                                                   |                                                        |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| 023135BX3                                                  | AMAZON.COM INC 1% 05/12/2026                |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 72,622        | 75,000       | 74,852         | 74,869                                               |                                                    | 2                                              |                                                            | 2                                                 |                                                        | 74,871                                                     |                                                   | (2,250)                                | (2,250)                             | 167                                                             | 05/12/2026                                | 1.D                                                                                                 |
| 023135BX3                                                  | AMAZON.COM INC 1% 05/12/2026                |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 121,036       | 125,000      | 125,645        | 125,598                                              | (11)                                               |                                                |                                                            | (11)                                              |                                                        | 125,586                                                    |                                                   | (4,550)                                | (4,550)                             | 278                                                             | 05/12/2026                                | 1.D                                                                                                 |
| 035242AP1                                                  | ANHEUSER-BUSCH 3.65%<br>02/01/2026          |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 186,858       | 175,000      | 188,067        | 187,882                                              | (252)                                              |                                                |                                                            | (252)                                             |                                                        | 187,631                                                    |                                                   | (773)                                  | (773)                               | 3,211                                                           | 02/01/2026                                | 2.A                                                                                                 |
| 037833AK6                                                  | APPLE INC 2.400% 5/3/2023                   |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 40,650        | 40,000       | 39,254         | 39,828                                               | 10                                                 |                                                |                                                            | 10                                                |                                                        | 39,838                                                     |                                                   | 812                                    | 812                                 | 237                                                             | 05/03/2023                                | 1.A                                                                                                 |
| 00206RBN1                                                  | AT&T INC 2.625% 12/01/2022                  |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 126,125       | 125,000      | 128,836        | 127,072                                              | (188)                                              |                                                |                                                            | (188)                                             |                                                        | 126,884                                                    |                                                   | (759)                                  | (759)                               | 556                                                             | 12/01/2022                                | 1.G                                                                                                 |
| 00206RBN1                                                  | AT&T INC 2.625% 12/01/2022                  |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 126,125       | 125,000      | 127,903        | 127,094                                              | (190)                                              |                                                |                                                            | (190)                                             |                                                        | 126,904                                                    |                                                   | (779)                                  | (779)                               | 556                                                             | 12/01/2022                                | 1.G                                                                                                 |
| 05565QCD8                                                  | BP CAPITAL 2.75% 05/10/2023                 |                                      | 03/04/2022       | HUNTINGTON BANK      | X X X                           | 45,461        | 45,000       | 47,419         | 46,291                                               | (166)                                              |                                                |                                                            | (166)                                             |                                                        | 46,125                                                     |                                                   | (664)                                  | (664)                               | 406                                                             | 05/10/2023                                | 1.G                                                                                                 |
| 11135FAN1                                                  | BROADCOM INC 3.459%<br>09/15/2026           |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 52,007        | 50,000       | 55,160         | 54,326                                               | (74)                                               |                                                |                                                            | (74)                                              |                                                        | 54,252                                                     |                                                   | (2,245)                                | (2,245)                             | 144                                                             | 09/15/2026                                | 2.C                                                                                                 |
| 12189LAL5                                                  | BURLINGTON NORTH 3.050%<br>9/1/2022         |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 45,337        | 45,000       | 46,340         | 45,176                                               | (22)                                               |                                                |                                                            | (22)                                              |                                                        | 45,154                                                     |                                                   | 182                                    | 182                                 | 576                                                             | 09/01/2022                                | 1.A                                                                                                 |
| 12189LAL5                                                  | BURLINGTON NORTH 3.050%<br>9/1/2022         |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 15,112        | 15,000       | 15,455         | 15,060                                               | (7)                                                |                                                |                                                            | (7)                                               |                                                        | 15,052                                                     |                                                   | 60                                     | 60                                  | 192                                                             | 09/01/2022                                | 1.A                                                                                                 |
| 133131AT9                                                  | CAMDEN PROPERTY TRUST<br>2.95% 12/15/2022   |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 25,305        | 25,000       | 24,396         | 24,864                                               | 11                                                 |                                                |                                                            | 11                                                |                                                        | 24,875                                                     |                                                   | 429                                    | 429                                 | 96                                                              | 12/15/2022                                | 1.G                                                                                                 |
| 133131AT9                                                  | CAMDEN PROPERTY TRUST<br>2.95% 12/15/2022   |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 23,280        | 23,000       | 23,978         | 23,445                                               | (39)                                               |                                                |                                                            | (39)                                              |                                                        | 23,406                                                     |                                                   | (126)                                  | (126)                               | 89                                                              | 12/15/2022                                | 1.G                                                                                                 |
| 133131AT9                                                  | CAMDEN PROPERTY TRUST<br>2.95% 12/15/2022   |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 15,183        | 15,000       | 15,416         | 15,302                                               | (26)                                               |                                                |                                                            | (26)                                              |                                                        | 15,275                                                     |                                                   | (92)                                   | (92)                                | 37                                                              | 12/15/2022                                | 1.G                                                                                                 |
| 12572QAE5                                                  | CME GROUP INC 3.00% 9/15/2022               |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 45,595        | 45,000       | 46,540         | 45,179                                               | (21)                                               |                                                |                                                            | (21)                                              |                                                        | 45,158                                                     |                                                   | 437                                    | 437                                 | 514                                                             | 09/15/2022                                | 1.A                                                                                                 |
| 12572QAE5                                                  | CME GROUP INC 3.00% 9/15/2022               |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 10,132        | 10,000       | 10,651         | 10,079                                               | (9)                                                |                                                |                                                            | (9)                                               |                                                        | 10,070                                                     |                                                   | 62                                     | 62                                  | 114                                                             | 09/15/2022                                | 1.A                                                                                                 |
| 12572QAE5                                                  | CME GROUP INC 3.00% 9/15/2022               |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 45,595        | 45,000       | 45,900         | 45,140                                               | (16)                                               |                                                |                                                            | (16)                                              |                                                        | 45,124                                                     |                                                   | 472                                    | 472                                 | 514                                                             | 09/15/2022                                | 1.A                                                                                                 |
| 278062AC8                                                  | EATON CORP 2.75% 11/02/2022                 |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 45,362        | 45,000       | 44,912         | 44,978                                               | 4                                                  |                                                |                                                            | 4                                                 |                                                        | 44,982                                                     |                                                   | 380                                    | 380                                 | 430                                                             | 11/02/2022                                | 2.A                                                                                                 |
| 278062AC8                                                  | EATON CORP 2.75% 11/02/2022                 |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 10,080        | 10,000       | 9,636          | 9,917                                                | 17                                                 |                                                |                                                            | 17                                                |                                                        | 9,934                                                      |                                                   | 146                                    | 146                                 | 95                                                              | 11/02/2022                                | 2.A                                                                                                 |
| 278062AC8                                                  | EATON CORP 2.75% 11/02/2022                 |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 70,660        | 70,000       | 67,265         | 69,381                                               | 111                                                |                                                |                                                            | 111                                               |                                                        | 69,492                                                     |                                                   | 1,168                                  | 1,168                               | 623                                                             | 11/02/2022                                | 2.A                                                                                                 |
| 291011BE3                                                  | EMERSON ELECTRIC 2.625%<br>2/15/2023        |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 40,292        | 40,000       | 39,415         | 39,885                                               | 18                                                 |                                                |                                                            | 18                                                |                                                        | 39,902                                                     |                                                   | 390                                    | 390                                 | 589                                                             | 02/15/2023                                | 1.A                                                                                                 |
| 291011BE3                                                  | EMERSON ELECTRIC 2.625%<br>2/15/2023        |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 10,073        | 10,000       | 9,859          | 9,972                                                | 4                                                  |                                                |                                                            | 4                                                 |                                                        | 9,977                                                      |                                                   | 97                                     | 97                                  | 147                                                             | 02/15/2023                                | 1.A                                                                                                 |
| 354613AJ0                                                  | FRANKLIN RES INC 2.8%<br>09/15/2022         |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 35,400        | 35,000       | 34,343         | 34,879                                               | 14                                                 |                                                |                                                            | 14                                                |                                                        | 34,893                                                     |                                                   | 507                                    | 507                                 | 373                                                             | 09/15/2022                                | 1.A                                                                                                 |
| 38141GRD8                                                  | GOLDMAN SACHS GRP INC<br>3.625% 01/22/2023  |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 76,886        | 75,000       | 75,571         | 75,134                                               | (10)                                               |                                                |                                                            | (10)                                              |                                                        | 75,124                                                     |                                                   | 1,762                                  | 1,762                               | 1,435                                                           | 01/22/2023                                | 1.A                                                                                                 |
| 548661DT1                                                  | LOWES COMPANIES INC 4%<br>04/15/2025        |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 106,074       | 100,000      | 107,664        | 107,664                                              | (115)                                              |                                                |                                                            | (115)                                             |                                                        | 107,549                                                    |                                                   | (1,475)                                | (1,475)                             | 200                                                             | 04/15/2025                                | 2.A                                                                                                 |
| 570535AK0                                                  | MARVEL CORP 4.9% 07/01/2022                 |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 126,923       | 125,000      | 133,361        | 127,677                                              | (446)                                              |                                                |                                                            | (446)                                             |                                                        | 127,231                                                    |                                                   | (308)                                  | (308)                               | 3,590                                                           | 07/01/2022                                | 2.B                                                                                                 |
| 570535AK0                                                  | MARVEL CORP 4.9% 07/01/2022                 |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 76,154        | 75,000       | 79,998         | 76,626                                               | (271)                                              |                                                |                                                            | (271)                                             |                                                        | 76,355                                                     |                                                   | (201)                                  | (201)                               | 2,154                                                           | 07/01/2022                                | 2.B                                                                                                 |
| 86787EAV9                                                  | SUNTRUST BANKS SERIES BKNT<br>3.0% 02/02/20 |                                      | 03/03/2022       | HUNTINGTON BANK      | X X X                           | 30,305        | 30,000       | 30,069         | 30,021                                               | (3)                                                |                                                |                                                            | (3)                                               |                                                        | 30,017                                                     |                                                   | 287                                    | 287                                 | 538                                                             | 02/02/2023                                | 1.A                                                                                                 |
| 875127BB7                                                  | TAMPA ELEC CO. 2.6% 9/15/2022               |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 65,385        | 65,000       | 62,259         | 64,511                                               | 57                                                 |                                                |                                                            | 57                                                |                                                        | 64,568                                                     |                                                   | 818                                    | 818                                 | 643                                                             | 09/15/2022                                | 2.A                                                                                                 |
| 883556BM3                                                  | THERMO FISHER SCIENTIFIC INC<br>3.65% 12/15 |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 79,759        | 75,000       | 84,265         | 81,717                                               | (138)                                              |                                                |                                                            | (138)                                             |                                                        | 81,579                                                     |                                                   | (1,820)                                | (1,820)                             | 357                                                             | 12/15/2025                                | 2.A                                                                                                 |
| 883556BM3                                                  | THERMO FISHER SCIENTIFIC INC<br>3.65% 12/15 |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 26,586        | 25,000       | 28,241         | 27,572                                               | (53)                                               |                                                |                                                            | (53)                                              |                                                        | 27,518                                                     |                                                   | (932)                                  | (932)                               | 119                                                             | 12/15/2025                                | 2.A                                                                                                 |
| 912828M56                                                  | U.S. TREASURY N/B 2.25%<br>11/15/2025       |                                      | 01/12/2022       | HUNTINGTON BANK      | X X X                           | 309,792       | 300,000      | 312,728        | 312,656                                              | (95)                                               |                                                |                                                            | (95)                                              |                                                        | 312,561                                                    |                                                   | (2,769)                                | (2,769)                             | 1,113                                                           | 11/15/2025                                | 1.A                                                                                                 |
| 911312AQ9                                                  | UNITED PARCEL SERVICE 2.45%<br>10/1/2022    |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 50,508        | 50,000       | 50,379         | 50,045                                               | (5)                                                |                                                |                                                            | (5)                                               |                                                        | 50,040                                                     |                                                   | 468                                    | 468                                 | 412                                                             | 10/01/2022                                | 1.A                                                                                                 |
| 912828U24                                                  | US TREASURY N/B 2% 11/15/2026               |                                      | 01/11/2022       | HUNTINGTON BANK      | X X X                           | 347,968       | 340,000      | 355,793        | 355,137                                              | (84)                                               |                                                |                                                            | (84)                                              |                                                        | 355,053                                                    |                                                   | (7,085)                                | (7,085)                             | 1,108                                                           | 11/15/2026                                | 1.A                                                                                                 |
| 95000U2B8                                                  | WELLS FARGO & CO INC. 2.65%<br>07/22/2022   |                                      | 02/01/2022       | HUNTINGTON BANK      | X X X                           | 35,309        | 35,000       | 34,689         | 34,959                                               | 6                                                  |                                                |                                                            | 6                                                 |                                                        | 34,965                                                     |                                                   | 343                                    | 343                                 | 485                                                             | 07/22/2022                                | 1.A                                                                                                 |

**SCHEDULE D - PART 4**  
**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of**  
**During the Current Quarter**

| 1                                                                          | 2                                                                                    | 3<br>F<br>o<br>r<br>e<br>i<br>g<br>n | 4                | 5                     | 6                               | 7               | 8               | 9               | 10                                                   | Change in Book/Adjusted Carrying Value             |                                                |                                                            |                                                   |                                                        | 16                                                         | 17                                                | 18                                     | 19                                  | 20                                                              | 21                                        | 22<br>NAIC<br>Designation,<br>NAIC<br>Designation<br>Modifier and<br>SVO Admini-<br>strative Symbol |
|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------------------|------------------|-----------------------|---------------------------------|-----------------|-----------------|-----------------|------------------------------------------------------|----------------------------------------------------|------------------------------------------------|------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------|---------------------------------------------------|----------------------------------------|-------------------------------------|-----------------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------------------------------------|
|                                                                            |                                                                                      |                                      |                  |                       |                                 |                 |                 |                 |                                                      | 11                                                 | 12                                             | 13                                                         | 14                                                | 15                                                     |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| CUSIP<br>Identification                                                    | Description                                                                          |                                      | Disposal<br>Date | Name of<br>Purchaser  | Number<br>of Shares<br>of Stock | Consideration   | Par<br>Value    | Actual<br>Cost  | Prior Year<br>Book/<br>Adjusted<br>Carrying<br>Value | Unrealized<br>Valuation<br>Increase/<br>(Decrease) | Current Year's<br>(Amortization)/<br>Accretion | Current<br>Year's<br>Temporary<br>Impairment<br>Recognized | Total<br>Change in<br>B./A.C.V.<br>(11 + 12 - 13) | Total<br>Foreign<br>Exchange<br>Change in<br>B./A.C.V. | Book/<br>Adjusted<br>Carrying Value<br>at Disposal<br>Date | Foreign<br>Exchange<br>Gain (Loss)<br>on Disposal | Realized<br>Gain (Loss)<br>on Disposal | Total<br>Gain (Loss)<br>on Disposal | Bond Interest/<br>Stock<br>Dividends<br>Received<br>During Year | Stated<br>Contractual<br>Maturity<br>Date |                                                                                                     |
| 960413AT9 ..                                                               | WESTLAKE CHEMICAL CORP 3.6%<br>08/15/2026 .....                                      | ...                                  | 02/01/2022       | HUNTINGTON BANK ..... | ... X X X ...                   | ..... 52,585    | ..... 50,000    | ..... 55,180    | ..... 54,822                                         | .....                                              | ..... (84)                                     | .....                                                      | ..... (84)                                        | .....                                                  | ..... 54,738                                               | .....                                             | ..... (2,153)                          | ..... (2,153)                       | ..... 150                                                       | 08/15/2026                                | .... 2.B ...                                                                                        |
| 1109999999                                                                 | Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) .....                 | ...                                  |                  |                       | ... X X X ...                   | ..... 2,592,524 | ..... 2,538,000 | ..... 2,631,436 | ..... 2,614,756                                      | .....                                              | ..... (2,070)                                  | .....                                                      | ..... (2,070)                                     | .....                                                  | ..... 2,612,686                                            | .....                                             | ..... (20,162)                         | ..... (20,162)                      | ..... 22,247                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| <b>Bonds - Unaffiliated Certificates of Deposit</b>                        |                                                                                      |                                      |                  |                       |                                 |                 |                 |                 |                                                      |                                                    |                                                |                                                            |                                                   |                                                        |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| 320165JD6 ..                                                               | FIRST FARMERS BK & TRUST CD<br>2.65% 02/28 .....                                     | ...                                  | 02/28/2022       | HUNTINGTON BANK ..... | ... X X X ...                   | ..... 125,000   | ..... 125,000   | ..... 125,000   | ..... 125,000                                        | .....                                              | .....                                          | .....                                                      | .....                                             | .....                                                  | ..... 125,000                                              | .....                                             | .....                                  | .....                               | ..... 572                                                       | 02/28/2022                                | .... 1.A ...                                                                                        |
| 2019999999                                                                 | Subtotal - Bonds - Unaffiliated Certificates of Deposit .....                        | ...                                  |                  |                       | ... X X X ...                   | ..... 125,000   | ..... 125,000   | ..... 125,000   | ..... 125,000                                        | .....                                              | .....                                          | .....                                                      | .....                                             | .....                                                  | ..... 125,000                                              | .....                                             | .....                                  | .....                               | ..... 572                                                       | .. X X X .                                | ... X X X ...                                                                                       |
| 2509999997                                                                 | Subtotal - Bonds - Part 4 .....                                                      | ...                                  |                  |                       | ... X X X ...                   | ..... 4,467,524 | ..... 4,413,000 | ..... 4,517,153 | ..... 4,490,039                                      | .....                                              | ..... (2,353)                                  | .....                                                      | ..... (2,353)                                     | .....                                                  | ..... 4,487,686                                            | .....                                             | ..... (20,162)                         | ..... (20,162)                      | ..... 40,318                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 2509999998                                                                 | Summary Item from Part 5 for Bonds (N/A to Quarterly) .....                          | ...                                  |                  |                       | ... X X X ...                   | ... X X X ...   | ... X X X ...   | ... X X X ...   | ... X X X ...                                        | ... X X X ...                                      | ... X X X ...                                  | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                                          | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                          | ... X X X ...                       | ... X X X ...                                                   | ... X X X ...                             | ... X X X ...                                                                                       |
| 2509999999                                                                 | Subtotal - Bonds .....                                                               | ...                                  |                  |                       | ... X X X ...                   | ..... 4,467,524 | ..... 4,413,000 | ..... 4,517,153 | ..... 4,490,039                                      | .....                                              | ..... (2,353)                                  | .....                                                      | ..... (2,353)                                     | .....                                                  | ..... 4,487,686                                            | .....                                             | ..... (20,162)                         | ..... (20,162)                      | ..... 40,318                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 4509999998                                                                 | Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly) .....               | ...                                  |                  |                       | ... X X X ...                   | ... X X X ...   | ... X X X ...   | ... X X X ...   | ... X X X ...                                        | ... X X X ...                                      | ... X X X ...                                  | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                                          | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                          | ... X X X ...                       | ... X X X ...                                                   | ... X X X ...                             | ... X X X ...                                                                                       |
| 4509999999                                                                 | Subtotal - Preferred Stocks .....                                                    | ...                                  |                  |                       | ... X X X ...                   | .....           | ... X X X ...   | .....           | .....                                                | .....                                              | .....                                          | .....                                                      | .....                                             | .....                                                  | .....                                                      | .....                                             | .....                                  | .....                               | .....                                                           | ... X X X .                               | ... X X X ...                                                                                       |
| <b>Common Stocks - Mutual Funds - Designations Not Assigned by the SVO</b> |                                                                                      |                                      |                  |                       |                                 |                 |                 |                 |                                                      |                                                    |                                                |                                                            |                                                   |                                                        |                                                            |                                                   |                                        |                                     |                                                                 |                                           |                                                                                                     |
| 31428Q739 ..                                                               | FEDERATED TOTAL BOND RETURN .....                                                    | ...                                  | 02/07/2022       | HUNTINGTON BANK ..... | .. 207,756.233                  | ..... 2,250,000 | .... X X X ...  | ..... 2,231,921 | ..... 2,316,482                                      | ..... (84,561)                                     | .....                                          | .....                                                      | ..... (84,561)                                    | .....                                                  | ..... 2,231,921                                            | .....                                             | ..... 18,079                           | ..... 18,079                        | ..... 28,215                                                    | .. X X X .                                |                                                                                                     |
| 922908801 ..                                                               | VANGUARD TOTAL MKT IDX INST<br>FUND .....                                            | ...                                  | 02/01/2022       | HUNTINGTON BANK ..... | ..... 6,734.914                 | ..... 750,000   | .... X X X ...  | ..... 554,688   | ..... 791,891                                        | ..... (237,204)                                    | .....                                          | .....                                                      | ..... (237,204)                                   | .....                                                  | ..... 554,688                                              | .....                                             | ..... 195,312                          | ..... 195,312                       | .....                                                           | .. X X X .                                |                                                                                                     |
| 5329999999                                                                 | Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO ..... | ...                                  |                  |                       | ... X X X ...                   | ..... 3,000,000 | ... X X X ...   | ..... 2,786,608 | ..... 3,108,373                                      | ..... (321,765)                                    | .....                                          | .....                                                      | ..... (321,765)                                   | .....                                                  | ..... 2,786,608                                            | .....                                             | ..... 213,392                          | ..... 213,392                       | ..... 28,215                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 5989999997                                                                 | Subtotal - Common Stocks - Part 4 .....                                              | ...                                  |                  |                       | ... X X X ...                   | ..... 3,000,000 | ... X X X ...   | ..... 2,786,608 | ..... 3,108,373                                      | ..... (321,765)                                    | .....                                          | .....                                                      | ..... (321,765)                                   | .....                                                  | ..... 2,786,608                                            | .....                                             | ..... 213,392                          | ..... 213,392                       | ..... 28,215                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 5989999998                                                                 | Summary Item from Part 5 for Common Stocks (N/A to Quarterly) .....                  | ...                                  |                  |                       | ... X X X ...                   | ... X X X ...   | ... X X X ...   | ... X X X ...   | ... X X X ...                                        | ... X X X ...                                      | ... X X X ...                                  | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                                          | ... X X X ...                                              | ... X X X ...                                     | ... X X X ...                          | ... X X X ...                       | ... X X X ...                                                   | ... X X X ...                             | ... X X X ...                                                                                       |
| 5989999999                                                                 | Subtotal - Common Stocks .....                                                       | ...                                  |                  |                       | ... X X X ...                   | ..... 3,000,000 | ... X X X ...   | ..... 2,786,608 | ..... 3,108,373                                      | ..... (321,765)                                    | .....                                          | .....                                                      | ..... (321,765)                                   | .....                                                  | ..... 2,786,608                                            | .....                                             | ..... 213,392                          | ..... 213,392                       | ..... 28,215                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 5999999999                                                                 | Subtotal - Preferred and Common Stocks .....                                         | ...                                  |                  |                       | ... X X X ...                   | ..... 3,000,000 | ... X X X ...   | ..... 2,786,608 | ..... 3,108,373                                      | ..... (321,765)                                    | .....                                          | .....                                                      | ..... (321,765)                                   | .....                                                  | ..... 2,786,608                                            | .....                                             | ..... 213,392                          | ..... 213,392                       | ..... 28,215                                                    | .. X X X .                                | ... X X X ...                                                                                       |
| 6009999999                                                                 | Total - Bonds, Preferred and Common Stocks .....                                     | ...                                  |                  |                       | ... X X X ...                   | ..... 7,467,524 | ... X X X ...   | ..... 7,303,761 | ..... 7,598,412                                      | ..... (321,765)                                    | ..... (2,353)                                  | .....                                                      | ..... (324,118)                                   | .....                                                  | ..... 7,274,294                                            | .....                                             | ..... 193,230                          | ..... 193,230                       | ..... 68,533                                                    | .. X X X .                                | ... X X X ...                                                                                       |

QE05.1



**E06 Schedule DB Part A Section 1 ..... NONE**

**E07 Schedule DB Part B Section 1 ..... NONE**

**E08 Schedule DB Part D Section 1 ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10 Schedule DB Part E ..... NONE**

**E11 Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E12 Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**

**SCHEDULE E - PART 1 - CASH**

**Month End Depository Balances**

| 1                                                                                                                                                            |                    |  | 2     | 3                   | 4                                                                 | 5                                                                   | Book Balance at End of Each Month<br>During Current Quarter |                 |                | 9     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--|-------|---------------------|-------------------------------------------------------------------|---------------------------------------------------------------------|-------------------------------------------------------------|-----------------|----------------|-------|
| Depository                                                                                                                                                   |                    |  | Code  | Rate of<br>Interest | Amount<br>of Interest<br>Received<br>During<br>Current<br>Quarter | Amount of<br>Interest<br>Accrued<br>at Current<br>Statement<br>Date | 6                                                           | 7               | 8              | *     |
|                                                                                                                                                              |                    |  |       |                     |                                                                   |                                                                     | First<br>Month                                              | Second<br>Month | Third<br>Month |       |
| open depositories                                                                                                                                            |                    |  |       |                     |                                                                   |                                                                     |                                                             |                 |                |       |
| Huntington Bank - Trust .....                                                                                                                                | Canton, Ohio ..... |  |       | 0.160               | 33                                                                |                                                                     | 388,132                                                     | 2,222,891       | 2,222,911      | X X X |
| Huntington Bank - Brokered<br>CDs .....                                                                                                                      | Canton, Ohio ..... |  |       | 0.160               | 1                                                                 |                                                                     | 22,454                                                      | 155,521         | 156,415        | X X X |
| Huntington National Bank .....                                                                                                                               | Canton, Ohio ..... |  |       |                     |                                                                   |                                                                     | 8,828,272                                                   | 25,571,608      | 23,300,504     | X X X |
| Huntington Bank - Mutual<br>Funds Cash .....                                                                                                                 | Canton, Ohio ..... |  |       |                     |                                                                   |                                                                     | 708                                                         | 708             | 709            | X X X |
| Huntington Bank - Richmond<br>Capital .....                                                                                                                  | Canton, Ohio ..... |  |       | 16.000              | 19                                                                |                                                                     | 419,893                                                     | 45,036          | 91,009         | X X X |
| 0199998 Deposits in .....0 depositories that do not exceed the<br>allowable limit in any one depository (see Instructions) - open depositories .....         |                    |  | X X X | X X X               |                                                                   |                                                                     |                                                             |                 |                | X X X |
| 0199999 Totals - Open Depositories .....                                                                                                                     |                    |  | X X X | X X X               | 54                                                                |                                                                     | 9,659,459                                                   | 27,995,765      | 25,771,547     | X X X |
| 0299998 Deposits in .....0 depositories that do not exceed the<br>allowable limit in any one depository (see Instructions) - suspended<br>depositories ..... |                    |  | X X X | X X X               |                                                                   |                                                                     |                                                             |                 |                | X X X |
| 0299999 Totals - Suspended Depositories .....                                                                                                                |                    |  | X X X | X X X               |                                                                   |                                                                     |                                                             |                 |                | X X X |
| 0399999 Total Cash On Deposit .....                                                                                                                          |                    |  | X X X | X X X               | 54                                                                |                                                                     | 9,659,459                                                   | 27,995,765      | 25,771,547     | X X X |
| 0499999 Cash in Company's Office .....                                                                                                                       |                    |  | X X X | X X X               | X X X                                                             | X X X                                                               |                                                             |                 |                | X X X |
| 0599999 Total Cash .....                                                                                                                                     |                    |  | X X X | X X X               | 54                                                                |                                                                     | 9,659,459                                                   | 27,995,765      | 25,771,547     | X X X |

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

| 1                                       | 2           | 3    | 4                | 5                   | 6                | 7                               | 8                                      | 9                              |
|-----------------------------------------|-------------|------|------------------|---------------------|------------------|---------------------------------|----------------------------------------|--------------------------------|
| CUSIP                                   | Description | Code | Date<br>Acquired | Rate of<br>Interest | Maturity<br>Date | Book/Adjusted<br>Carrying Value | Amount of<br>Interest<br>Due & Accrued | Amount Received<br>During Year |
| <div>NONE</div>                         |             |      |                  |                     |                  |                                 |                                        |                                |
| 8609999999 Total Cash Equivalents ..... |             |      |                  |                     |                  | .....                           | .....                                  | .....                          |