

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

**QUARTERLY STATEMENT**

AS OF MARCH 31, 2022  
OF THE CONDITION AND AFFAIRS OF THE

**Dealers Assurance Company**

NAIC Group Code	0315 (Current)	0000 (Prior)	NAIC Company Code	16705	Employer's ID Number	34-6513705
Organized under the Laws of	Ohio		, State of Domicile or Port of Entry		OH	
Country of Domicile	United States of America					
Incorporated/Organized	08/02/1935		Commenced Business	08/02/1935		
Statutory Home Office	41 South High Street Suite 1700 (Street and Number)		Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)			
Main Administrative Office	15920 Addison Road (Street and Number)		800-282-8913 (Area Code) (Telephone Number)			
	Addison, TX, US 75001 (City or Town, State, Country and Zip Code)					
Mail Address	15920 Addison Road (Street and Number or P.O. Box)		Addison, TX, US 75001 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	15920 Addison Road (Street and Number)		800-282-8913 (Area Code) (Telephone Number)			
	Addison, TX, US 75001 (City or Town, State, Country and Zip Code)					
Internet Website Address	www.dealersassurance.com					
Statutory Statement Contact	Steven C. Barrett (Name)		800-282-8913 (Area Code) (Telephone Number)			
	Steven.Barrett@laawg.com (E-mail Address)		972-813-0812 (FAX Number)			

**OFFICERS**

President	Kristen Anne Gruber	Treasurer	Linda Marie Toy
Secretary	Maryann Elizabeth Norwood	Assistant Secretary	Lisa Aileen Kirk

**OTHER**

Michael Lee Stickney, Managing Director	Kirk Alan Borchardt, Executive Vice President	Linda Marie Toy, Vice President
Joshua Robert Padelty, Vice President		

**DIRECTORS OR TRUSTEES**

Warren Van Genderen	Michael Lee Stickney	Douglas Alexander Carrothers
Denis Yves Ricard	Marilyn Rose Froelich	Douglas Curtis Oksendahl
Shelby Land Peavy	Normand Pepin	Yvon Charest
Ludwig Willisch		

State of Texas SS:  
County of McLennan

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen A. Gruber 05/02/2022 12:06 PM  
CDT

Maryann Norwood 05/02/2022 12:08 PM  
CDT

Linda Toy 05/02/2022 12:11 PM  
CDT

05/02/2022 12:11 PM CDT

Kristen Anne Gruber  
President

Maryann Elizabeth Norwood  
Secretary

Linda Marie Toy  
Treasurer

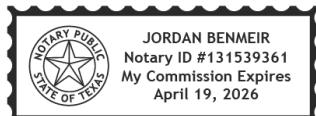
Subscribed and sworn to before me this

2nd day of May, 2022

Jordan Benmeir 05/02/2022 12:13 PM CDT

Jordan Benmeir

a. Is this an original filing? Yes [  ] No [  ]  
 b. If no,  
 1. State the amendment number  
 2. Date filed  
 3. Number of pages attached



Online Notary Public. This notarial act involved the use of online audio/video communication technology.

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	211,502,311		211,502,311	206,684,314
2. Stocks:				
2.1 Preferred stocks .....	1,847,332		1,847,332	1,094,577
2.2 Common stocks .....	20,427,758		20,427,758	13,799,358
3. Mortgage loans on real estate:				
3.1 First liens .....	171,589		171,589	176,498
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	3,661,121		3,661,121	3,692,244
4.2 Properties held for the production of income (less \$ encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ 18,985,990 ), cash equivalents (\$ 14,253,099 ) and short-term investments (\$ 0 ) .....	33,239,089		33,239,089	54,445,853
6. Contract loans (including \$ premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	502,004		502,004	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	271,351,204	0	271,351,204	279,892,844
13. Title plants less \$ charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	1,130,591		1,130,591	1,073,310
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	7,502,220		7,502,220	7,567,317
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....	830,257		830,257	830,257
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	2,844,721	894,474	1,950,247	1,914,879
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	195,153
24. Health care (\$ ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	1,151,946	0	1,151,946	1,234,956
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	284,810,939	894,474	283,916,465	292,708,716
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	284,810,939	894,474	283,916,465	292,708,716
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Accounts Receivable .....	1,151,946		1,151,946	1,234,956
2502. .....			0	0
2503. .....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	1,151,946	0	1,151,946	1,234,956

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 940,243 )	940,243	940,243
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	1,584,869	1,472,308
5. Other expenses (excluding taxes, licenses and fees)	1,809,146	2,600,292
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	2,114,954	2,955,842
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	1,073,436	1,515,540
7.2 Net deferred tax liability	0	0
8. Borrowed money \$ and interest thereon \$	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 373,463,493 and including warranty reserves of \$ 65,224,644 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	78,509,283	75,438,801
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	4,620,989	10,712,310
13. Funds held by company under reinsurance treaties	77,080,950	75,642,550
14. Amounts withheld or retained by company for account of others	5,431,812	6,342,924
15. Remittances and items not allocated	1,149,781	7,365,606
16. Provision for reinsurance (including \$ certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	603,174	223,405
20. Derivatives	0	0
21. Payable for securities	0	1,383,901
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ and interest thereon \$	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	174,918,637	186,593,722
27. Protected cell liabilities	0	0
28. Total liabilities (Lines 26 and 27)	174,918,637	186,593,722
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	4,200,990	4,200,990
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	3,000,000	3,000,000
34. Gross paid in and contributed surplus	9,732,810	9,732,810
35. Unassigned funds (surplus)	92,064,028	89,181,194
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )	0	0
36.2 shares preferred (value included in Line 31 \$ )	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	108,997,828	106,114,994
38. Totals (Page 2, Line 28, Col. 3)	283,916,465	292,708,716
<b>DETAILS OF WRITE-INS</b>		
2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 60,459,385 )	47,461,268	42,942,311	191,679,109
1.2 Assumed (written \$ (2,947) )	515,840	98,959	2,756,699
1.3 Ceded (written \$ 51,728,841 )	42,313,503	38,565,488	174,850,798
1.4 Net (written \$ 8,727,597 )	5,663,605	4,475,782	19,585,010
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 1,324,884 ):			
2.1 Direct	25,845,512	22,564,574	108,614,623
2.2 Assumed	253,447	5,753	1,253,080
2.3 Ceded	25,457,351	21,989,424	105,327,582
2.4 Net	641,608	580,903	4,540,121
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	1,606,235	567,350	4,428,075
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	2,247,843	1,148,253	8,968,196
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	3,415,762	3,327,529	10,616,814
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,360,374	882,847	4,128,563
10. Net realized capital gains (losses) less capital gains tax of \$ (105,588)	(397,214)	204,095	768,702
11. Net investment gain (loss) (Lines 9 + 10)	963,160	1,086,942	4,897,265
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	311,954	(105,352)	(845,390)
15. Total other income (Lines 12 through 14)	311,954	(105,352)	(845,390)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	4,690,876	4,309,119	14,668,689
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	4,690,876	4,309,119	14,668,689
19. Federal and foreign income taxes incurred	1,175,461	1,182,159	3,948,749
20. Net income (Line 18 minus Line 19)(to Line 22)	3,515,415	3,126,960	10,719,940
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	106,114,994	94,181,330	94,181,330
22. Net income (from Line 20)	3,515,415	3,126,960	10,719,940
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (140,269)	(527,680)	(167,453)	536,701
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	112,343	318,199	1,044,427
27. Change in nonadmitted assets	(217,244)	(125,034)	(367,404)
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	2,882,834	3,152,672	11,933,664
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	108,997,828	97,334,002	106,114,994
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)		(747)	0
1402. Admin/Service Fee Income	45,115	46,818	223,716
1403. Interest Expense-Funds held	266,839	(151,423)	(1,069,106)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	311,954	(105,352)	(845,390)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	2,707,863	12,746,107	47,905,340
2. Net investment income .....	1,435,888	971,601	4,762,092
3. Miscellaneous income .....	311,954	(105,352)	(845,390)
4. Total (Lines 1 to 3) .....	4,455,705	13,612,356	51,822,043
5. Benefit and loss related payments .....	641,609	580,903	4,475,768
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,055,570	1,256,446	4,392,737
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	1,511,978	1,086,657	3,733,536
10. Total (Lines 5 through 9) .....	5,209,157	2,924,006	12,602,041
11. Net cash from operations (Line 4 minus Line 10) .....	(753,452)	10,688,350	39,220,002
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	13,352,862	10,529,743	53,609,091
12.2 Stocks .....	4,794,590	3,433,987	13,363,057
12.3 Mortgage loans .....	4,909	4,739	19,092
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	1,383,901
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	18,152,361	13,968,469	68,375,141
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	18,563,380	19,831,175	95,377,590
13.2 Stocks .....	13,125,784	4,475,708	17,821,257
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	1,885,905	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	33,575,069	24,306,883	113,198,847
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(15,422,708)	(10,338,414)	(44,823,707)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(5,030,605)	8,587,783	48,332,308
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(5,030,605)	8,587,783	48,332,308
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(21,206,765)	8,937,719	42,728,603
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	54,445,854	11,717,251	11,717,251
19.2 End of period (Line 18 plus Line 19.1) .....	33,239,089	20,654,970	54,445,854

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## **NOTES TO FINANCIAL STATEMENTS**

### **Note 1 – Summary of Significant Accounting Policies**

#### **A. Accounting policy**

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	<u>SSAP #</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2022</u>	<u>2021</u>
<b><u>Net Income</u></b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	\$ 3,515,415	\$ 10,719,940
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$ 3,515,415</u>	<u>\$ 10,719,940</u>
<b><u>Surplus</u></b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	\$108,997,828	\$106,114,994
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$108,997,828</u>	<u>\$106,114,994</u>

#### **B. Use of Estimates in Preparation of the Financial Statement**

No significant change.

#### **C. Accounting Policy**

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. The Company has one first lien mortgage loan on real estate which are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

#### **D. Going Concern**

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

### **Note 2 – Accounting Changes and Corrections of Errors**

No significant change.

### **Note 3 – Business Combinations and Good Will**

No significant change.

### **Note 4 – Discontinued Operations**

No significant change.

### **Note 5 – Investments**

#### **D. Loan-Backed Securities**

1. Bloomberg is used as a source for the prepayment factors
- 2.-4. Not applicable.
5. No impairments exist other than interest rate related declines classified as temporary.

No significant change in other items.

## NOTES TO FINANCIAL STATEMENTS

### Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

### Note 7 – Investment Income

No significant change.

### Note 8 – Derivative Instruments

The Company does not own derivative instruments.

### Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

	3/31/2022			12/31/2021			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a Gross Deferred Tax Assets - Actual	\$ 3,548,788	\$ -	\$ 3,548,788	\$ 3,424,711	\$ -	\$ 3,424,711	\$ 124,077	\$ -	\$ 124,077
b Statutory Valuation Allowance Adjustments	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Assets	3,548,788	-	3,548,788	3,424,711	-	3,424,711	124,077	-	124,077
d Deferred Tax Assets Nonadmitted	894,474	-	894,474	677,230	-	677,230	217,244	-	217,244
e Subtotal Net Admitted Deferred Tax Asset	2,654,314	2,654,314	2,747,481	2,747,481	(93,167)	(93,167)	-	-	-
f Deferred Tax Liabilities	294,264	409,803	704,067	282,530	550,072	832,602	11,734	(140,269)	(128,535)
g Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$ 2,360,050	\$ (409,803)	\$ 1,950,247	\$ 2,464,951	\$ (550,072)	\$ 1,914,879	\$ (104,901)	\$ 140,269	\$ 35,368

2. Admission calculation components SSAP No. 101:

	3/31/2022			12/31/2021			Change			
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total	
<b>Federal Income Taxes Paid in Prior Years</b>										
a Recoverable through loss carrybacks [3 year Carryback]	\$ 1,304,772	\$ -	\$ 1,304,772	\$ 1,281,193	\$ -	\$ 1,281,193	\$ 23,579	\$ -	\$ 23,579	
b Deferred Tax Assets from 2 (a) After Application of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below)	645,475	645,475	633,686	633,686	11,789	11,789	11,789	11,789	11,789	
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	645,475	-	645,475	633,686	-	633,686	11,789	-	11,789	
2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshold	XXX	XXX	16,057,137	XXX	XXX	15,630,017	XXX	XXX	427,120	
c Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	704,067	-	704,067	832,602	-	832,602	(128,535)	-	(128,535)	
d Deferred Tax Assets Admitted as the result of application of SSAP 101	Total (2(a) + 2(b) + 2 (c ))	\$ 2,654,314	\$ -	\$ 2,654,314	\$ 2,747,481	\$ -	\$ 2,747,481	\$ (93,167)	\$ -	\$ (93,167)

3.

	2022	2021
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a Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount	1138%	1108%
b Amount of Adjusted Capital and Surplus Used to Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	107,047,581	104,200,115

4. Impact of Tax Planning Strategies:

	3/31/2022			12/31/2021			Change		
	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent
a Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	100%	0%	100%	100%	0%	100%	0%	0%	0%
c Does the Company's tax-planning strategies include the use of Reinsurance? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>									

B. Deferred tax liabilities not recognized at March 31, 2022 and December 31, 2021 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

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## NOTES TO FINANCIAL STATEMENTS

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C. The provisions for incurred tax on earnings are as follows:

	3/31/2022	12/31/2021	Change
1. Current Income Tax:			
Federal	\$ 1,175,461	\$ 3,948,749	\$ (2,773,288)
Foreign	-	-	-
Subtotal	1,175,461	3,948,749	(2,773,288)
Federal income tax on net capital gains	(105,588)	204,613	(310,201)
Federal and foreign income taxes incurred	<u>\$ 1,069,873</u>	<u>\$ 4,153,362</u>	<u>\$ (3,083,489)</u>
2. Deferred Tax Assets:			
<u>Ordinary</u>			
Discounting of unpaid losses	\$ 13,822	\$ 13,822	-
Unearned premium reserve	3,227,376	3,168,430	58,946
Investments	117,890	76,079	41,811
Restricted stock expense	189,701	166,381	23,320
Subtotal	3,548,789	3,424,712	124,077
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	894,474	677,230	217,244
Admitted ordinary deferred tax assets	<u>2,654,315</u>	<u>2,747,482</u>	<u>(93,167)</u>
<u>Capital</u>			
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	-	-	-
Admitted capital deferred tax assets	-	-	-
Admitted deferred tax assets	<u>\$ 2,654,315</u>	<u>\$ 2,747,482</u>	<u>\$ (93,167)</u>
Deferred Tax Liabilities:			
<u>Ordinary</u>			
Fixed assets	294,265	282,530	11,735
Subtotal	<u>\$ 294,265</u>	<u>\$ 282,530</u>	<u>\$ 11,735</u>
<u>Capital</u>			
Investments	409,803	550,073	(140,270)
Subtotal	<u>409,803</u>	<u>550,073</u>	<u>(140,270)</u>
Deferred Tax Liabilities	704,068	832,603	(128,535)
Net deferred tax assets/liabilities	<u>\$ 1,950,247</u>	<u>\$ 1,914,879</u>	<u>\$ 35,368</u>

D. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus as of the period ended was comprised of the following:

	3/31/2022	12/31/2021	Change
Adjusted gross deferred tax assets	\$ 3,548,789	\$ 3,424,712	\$ 124,077
Total deferred tax liabilities	704,068	832,603	(128,535)
Net deferred tax assets (liabilities)	<u>2,844,721</u>	<u>2,592,109</u>	<u>252,612</u>
Tax effect of unrealized gains (losses)			(140,269)
Change in net deferred income tax			<u>\$ 112,343</u>

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended March 31, 2022 and December 31, 2021, differed from the amounts computed by applying the federal statutory rate of 21% in 2022 and in 2021 to pretax net gain or loss from operations as a result of the following:

	3/31/2022	12/31/2021
Pretax net income (loss)	\$ 4,585,288	\$ 14,873,303
Provision computed at statutory rate	962,910	3,123,394
Prior period over accrual	-	-
Other	(5,380)	(14,459)
Total	<u>\$ 957,530</u>	<u>\$ 3,108,935</u>
Federal and foreign income taxes incurred	1,175,461	3,948,749
Federal Income tax on net capital gains	(105,588)	204,613
Change in net deferred income taxes	(112,343)	(1,044,427)
Total statutory income tax	<u>\$ 957,530</u>	<u>\$ 3,108,935</u>

E. At March 31, 2022, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

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## NOTES TO FINANCIAL STATEMENTS

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### Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities

No significant change.

### Note 11 – Debt

The Company does not have any outstanding debt.

### Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other

No significant change.

### Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

### Note 14 – Liabilities, Contingencies and Assessments

No significant change.

### Note 15 – Leases

No significant change.

### Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

### Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

### Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

### Note 19 – Direct Premium Written/Produced by Managing General Agents

No significant change.

### Note 20 – Fair Value Measurements

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three level fair value hierarchy as reflected in the tables below. The three level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

## NOTES TO FINANCIAL STATEMENTS

A. Securities measured and reported at fair value as of March 31, 2022:

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value					
Cash Equivalents					
Exempt MM Fund	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
Other MM Fund	14,178,099	-	-	-	14,178,099
Total Cash Equivalent	14,253,099	-	-	-	14,253,099
Bonds					
SVO Identified Funds	5,406,074	-	-	-	5,406,074
Common Stock:					
Indust. & Misc	8,960,956	-	-	-	8,960,956
Mutual Funds	6,557,251	-	-	-	6,557,251
Exchange Traded Funds	4,909,551	-	-	-	4,909,551
Total Common Stock	20,427,758	-	-	-	20,427,758
Preferred Stock:					
Indust. & Misc	1,847,332	-	-	-	1,847,332
Derivative assets	-	-	-	-	-
Separate account assets	-	-	-	-	-
Total assets at fair value	41,934,263	-	-	-	41,934,263
Liabilities at fair value	-	-	-	-	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

B. Other fair value disclosures  
Not applicable.

C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.

At March 31, 2022						
Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 202,364,789	\$ 211,502,311	\$ 30,385,175	\$ 171,979,614	\$ -	\$ -
Preferred Stock	1,847,332	1,847,332	1,847,332	-	-	-
Common Stock	20,427,758	20,427,758	20,427,758	-	-	-
Mortgage loans	-	171,589	-	-	-	171,589
Cash , cash equivalents and short-term investments	33,239,089	33,239,089	33,239,089	-	-	-

At December 31, 2021						
Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 208,385,535	\$ 206,684,314	\$ 29,754,331	\$ 178,631,204	\$ -	\$ -
Preferred Stock	1,094,577	1,094,577	1,094,577	-	-	-
Common Stock	13,799,358	13,799,358	13,799,358	-	-	-
Mortgage loans	-	176,498	-	-	-	176,498
Cash , cash equivalents and short-term investments	54,445,836	54,445,836	54,445,836	-	-	-

D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans				
DAC 002 Mokena, IL	171,589	4%	9/1/2029	See paragraph above

E. No investments are measured at NAV.

### Note 21 – Other Items

No significant change.

### Note 22 – Subsequent Events

No significant change.

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## NOTES TO FINANCIAL STATEMENTS

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### Note 23 – Reinsurance

No significant change.

### Note 24 – Retrospectively Rated Contracts & Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

### Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2021 were \$940,243. As of March 31, 2022, \$256,427 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$683,816 of favorable loss development in prior years.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date; and the balance is settled within 180 days of incurred date.

### Note 26 – Intercompany Pooling Arrangements

Not applicable.

### Note 27 – Structure Settlements

Not applicable.

### Note 28 – Health Care Receivables

Not applicable.

### Note 29 – Participating Policies

Not applicable.

### Note 30 – Premium Deficiency Reserves

No significant change.

### Note 31 – High Deductibles

Not applicable.

### Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses

Not applicable.

### Note 33 – Asbestos/Environmental Reserves

Not applicable.

### Note 34 – Subscriber Savings Accounts

Not applicable.

### Note 35 – Multiple Peril Crop Insurance

Not applicable.

### Note 36 – Financial Guaranty Insurance

The Company does not write financial guaranty insurance.

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 10/02/2019

6.4 By what department or departments?  
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the US. ....	Quebec, Canada .....	NO.....	NO.....	NO.....	NO.....

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....	\$ .....	\$ .....
13. Amount of real estate and mortgages held in short-term investments: .....	\$ .....	\$ .....
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
14.2 If yes, please complete the following:		
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ] N/A [  ]  
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ .....
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ .....
16.3 Total payable for securities lending reported on the liability page. .....	\$ .....

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F.  
 Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	Cincinnati, OH .....
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, VA .....
Citibank, NA .....	New York, NY .....
RBS Citizens, NA .....	Providence, RI .....
Conduent State & Local Solutions .....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....
First National Bankers Bank .....	Little Rock, AR .....
Ameritrade .....	Omaha, NE .....
Umpqua Bank .....	San Diego, CA .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions) .....	A .....
Asset Allocation & MGMT. Co. .....	U .....
Bank of NY Wealth Mgmt. .....	U .....
San Luis Wealth Advisors, LLC .....	U .....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
Exempt .....	Industrial Alliance Investment Management Inc. (make investment decisions) .....	N/A .....	N/A .....	DS .....
109875 .....	Asset Allocation & MGMT. Co. .....	549300DSCHEVIV5W3U963 .....	Securities Exchange Commission .....	NO .....
8275 .....	Bank of NY Wealth Mgmt. .....	N/A .....	Office of Controller of Currency .....	NO .....
309506 .....	San Luis Wealth Advisors, LLC .....	N/A .....	Securities Exchange Commission .....	NO .....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## **SCHEDULE F - CEDED REINSURANCE**

### Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
.00000	AA-7771073	Grade A Plus Reinsurance, Inc. ....	TCA			
.00000	AA-7771072	Grade B Reinsurance, Inc. ....	TCA			
.00000	AA-0058922	Glassparency Reinsurance Company, Ltd. ....	TCA			
.00000	AA-0053297	CFT Reinsurance Company, Ltd. ....	TCA			
.00000	AA-0058922	Glassparency Reinsurance Company, Ltd. ....	TCA			
.00000	AA-7770711	Integrity Family Reinsurance Company, Inc. ....	TCA			
.00000	AA-0056499	Barr Gang Reinsurance Company, Ltd. ....	TCA			
.00000	AA-0056935	Mauney Haair Reinsurance Company, Ltd. ....	TCA			
.00000	AA-0053156	Stonewall Reinsurance Limited ....	TCA			
.00000	AA-0055263	Demontrond Automotive Reinsurance Limited ....	TCA			
.00000	AA-0055353	Demontrond Texas City Reinsurance Limited ....	TCA			
.00000	AA-0055179	Demontrond Warranty Reinsurance Limited ....	TCA			
.00000	AA-0056647	Employees Reinsurance Limited ....	TCA			
.00000	AA-7771182	Badger Valley IV Reins Co Inc ....	NAT			
.00000	AA-7771116	Badger Valley III Reins Co Inc ....	NAT			
.00000	AA-7771160	Blackwater 864 Reins Co Inc ....	NAT			
.00000	AA-7771045	DHCH Reins Co Inc ....	NAT			
.00000	AA-00510078	Gavin Reinsurance Limited ....	TCA			
.00000	AA-7771002	Grandpa Kunes I Reins Co Inc ....	NAT			
.00000	AA-7771003	Grandpa Kunes II Reins Co Inc ....	NAT			
.00000	AA-7771004	Grandpa Kunes III Reins Co Inc ....	NAT			
.00000	AA-7771005	Grandpa Kunes IV Reins Co Inc ....	NAT			
.00000	AA-7771006	Grandpa Kunes V Reins Co Inc ....	NAT			
.00000	AA-7771007	Grandpa Kunes VI Reins Co Inc ....	NAT			
.00000	AA-00510063	Harding-Reeves Reinsurance Limited ....	TCA			
.00000	AA-0059881	HFT Reins Co Inc ....	NAT			
.00000	AA-0059996	JZ Reinsurance Limited ....	TCA			
.00000	AA-7771117	Maize Wolverine Reins Co Inc ....	NAT			
.00000	AA-0059882	Moonfire Reins Co Inc ....	NAT			
.00000	AA-0059741	T & S Future Holding Reinsurance Limited ....	TCA			
.00000	AA-0056297	Vargas Reinsurance Limited ....	TCA			
.00000	AA-0056485	TGB Reinsurance Limited ....	TCA			
.00000	AA-00510038	Maps & Joda Reinsurance Limited ....	NAT			
.00000	AA-7770989	Maxx Reinsurance Company, Ltd. ....	NAT			
.00000	AA-0058884	Ranger Reinsurance Limited ....	NAT			
.00000	AA-7770988	WMC Reinsurance Company, Ltd. ....	NAT			

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

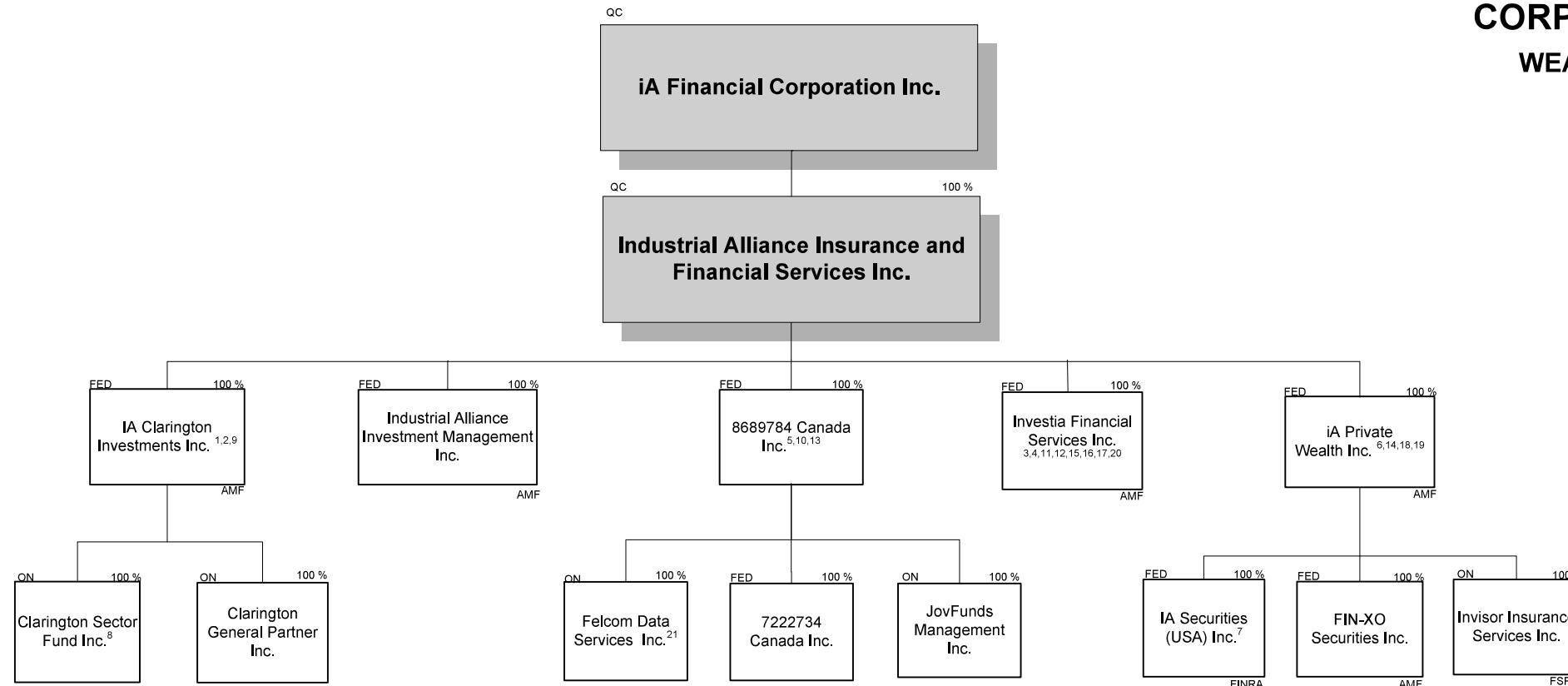
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	953,556	582,403	161,485	212,003	9,558	9,558
2. Alaska .....	AK	59,978	78,904	21,720	20,981		
3. Arizona .....	AZ	179,594	1,494,267	25,751	414,784	17	17
4. Arkansas .....	AR	548,835	1,065,953	118,527	434,801	127,534	127,534
5. California .....	CA	622,217	749,875	63,878	835,091	10,899	10,899
6. Colorado .....	CO	5,632,951	3,075,598	2,233,566	719,900	225,914	225,914
7. Connecticut .....	CT	188,683	40,995	33,199	(109,905)		
8. Delaware .....	DE	474,222	689,551	1,205,015	388,889	269,304	269,304
9. District of Columbia .....	DC	4,428	1,620,708	0	1,026,946		
10. Florida .....	FL	3,288,699	2,687,133	615,419	1,467,862	617,573	617,573
11. Georgia .....	GA	2,046,526	618,119	190,406	236,692	13,536	13,536
12. Hawaii .....	HI	1,474	6,616	0	0		
13. Idaho .....	ID	19,783	47,604	7,764	10,973		
14. Illinois .....	IL	505,996	1,482,120	204,851	538,957	72	72
15. Indiana .....	IN	959,008	960,509	228,867	515,155		
16. Iowa .....	IA	405,215	100,855	97,502	(26,348)		
17. Kansas .....	KS	74,083	138,490	27,069	39,076	14,543	14,543
18. Kentucky .....	KY	337,592	183,736	66,066	109,950	13,028	13,028
19. Louisiana .....	LA	912,499	1,050,656	234,085	394,361	267,883	267,883
20. Maine .....	ME	461,185	485,130	56,459	173,975		
21. Maryland .....	MD	405,291	(306,015)	104,954	(260,568)		
22. Massachusetts .....	MA	201,558	185,348	41,323	72,558		
23. Michigan .....	MI	2,494,326	1,968,328	798,387	1,244,881		
24. Minnesota .....	MN	603,912	546,010	147,941	341,429		
25. Mississippi .....	MS	643,535	932,422	137,455	524,277		
26. Missouri .....	MO	574,912	523,184	102,328	364,210		
27. Montana .....	MT	31,736	35,759	8,662	(7,838)		
28. Nebraska .....	NE	83,726	(15,551)	36,930	(136,627)	50,039	50,039
29. Nevada .....	NV	82,299	1,040	4,913	44,699		
30. New Hampshire .....	NH	70,687	191,932	5,217	218,544		
31. New Jersey .....	NJ	608,118	(483,842)	232,974	(507,807)	1,682	1,682
32. New Mexico .....	NM	628,398	(745,542)	84,207	(44,200)		
33. New York .....	NY	1,418,826	1,603,517	351,002	1,049,802		
34. North Carolina .....	NC	4,259,580	4,869,360	3,325,277	2,764,196	1,023,649	1,023,649
35. North Dakota .....	ND	29,947	(84,342)	7,052	(63,327)		
36. Ohio .....	OH	7,052,028	12,644,411	7,539,966	1,542,014	406,670	406,670
37. Oklahoma .....	OK	173,725	623,986	32,992	296,902	47,788	47,788
38. Oregon .....	OR	442,197	454,009	31,733	222,493		
39. Pennsylvania .....	PA	1,226,561	2,203,539	238,616	862,690	3,405	3,405
40. Rhode Island .....	RI	114,777	265,890	14,873	183,169		
41. South Carolina .....	SC	166,946	673,273	15,142	301,665	149	149
42. South Dakota .....	SD	31,341	30,631	4,220	(9,338)		
43. Tennessee .....	TN	966,297	(658,749)	188,818	(419,786)	11,634	11,634
44. Texas .....	TX	11,708,856	9,890,269	4,624,416	4,779,254	4,851,221	4,851,221
45. Utah .....	UT	69,440	361,898	5,434	160,606		
46. Vermont .....	VT	42,511	(222,169)	8,228	(179,743)		
47. Virginia .....	VA	417,450	(249,500)	95,334	(173,182)		
48. Washington .....	WA	7,547,652	4,044,003	1,929,394	1,328,619	1,002,873	1,002,873
49. West Virginia .....	WV	328,538	699,135	68,543	281,572	17,684	17,684
50. Wisconsin .....	WI	243,315	733,881	64,801	326,170	2,207	2,207
51. Wyoming .....	WY	24,376	77,892	2,754	53,097	17	17
52. American Samoa .....	AS						
53. Guam .....	GU						
54. Puerto Rico .....	PR						
55. U.S. Virgin Islands .....	VI						
56. Northern Mariana Islands .....	MP						
57. Canada .....	CAN						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	60,459,385	57,953,229	25,845,515	22,564,574	8,988,879	8,988,879
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 51      R - Registered - Non-domiciled RRGs..... 0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... 0      Q - Qualified - Qualified or accredited reinsurer..... 0  
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0      N - None of the above - Not allowed to write business in the state ..... 6





<sup>1</sup> Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1<sup>st</sup>, 2009.

<sup>2</sup> Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1<sup>st</sup>, 2011.

<sup>3</sup> Acquisition of National Financial Corporation on July 1<sup>st</sup>, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.

<sup>4</sup> Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1<sup>st</sup>, 2009.

<sup>5</sup> Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1<sup>st</sup>, 2014.

<sup>6</sup> Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1<sup>st</sup>, 2014.

<sup>7</sup> Formerly MGI Securities (USA) Inc.

<sup>8</sup> Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.

<sup>9</sup> Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1<sup>st</sup>, 2015.

<sup>10</sup> Formerly Jovian Capital Corporation.

<sup>11</sup> Acquisition of Les Services financiers Planifax inc. by Investia on January 1<sup>st</sup>, 2016.

<sup>12</sup> Amalgamation between Investia Financial Services and Planifax on January 1<sup>st</sup>, 2016.

<sup>13</sup> Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.

<sup>14</sup> Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1<sup>st</sup>, 2016.

<sup>15</sup> Acquisition of Byrns Holdings Inc. and Christoph Holdings Inc. by Investia Financial Services Inc. on December 1<sup>st</sup>, 2016.

<sup>16</sup> Liquidation of Byrns Holdings Inc., Christoph Holdings Inc., Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15, 2016 and Winding up of Byrns, Christoph, Roybyrns and G.A.P. on December 31<sup>st</sup>, 2016.

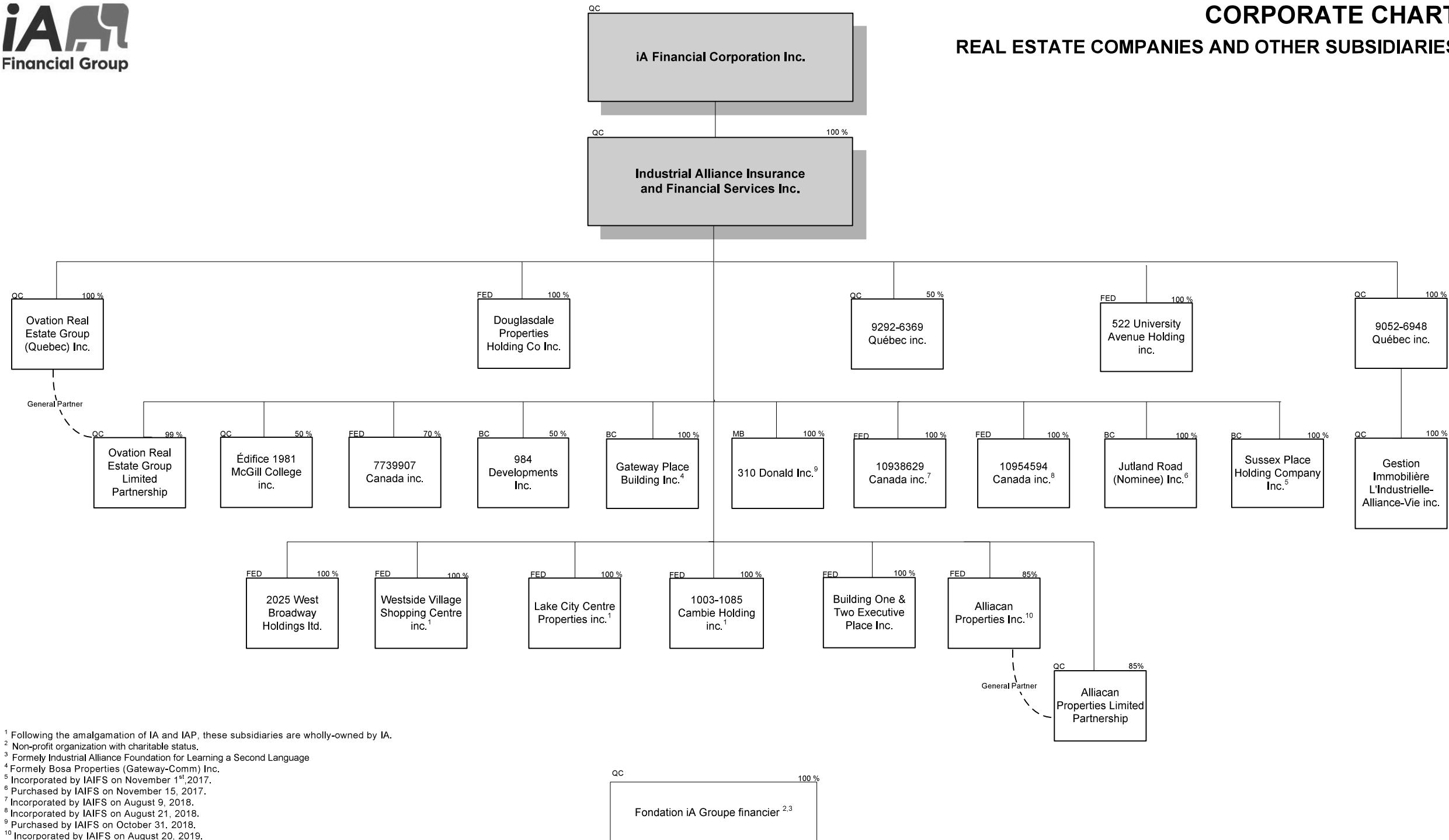
<sup>17</sup> Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.

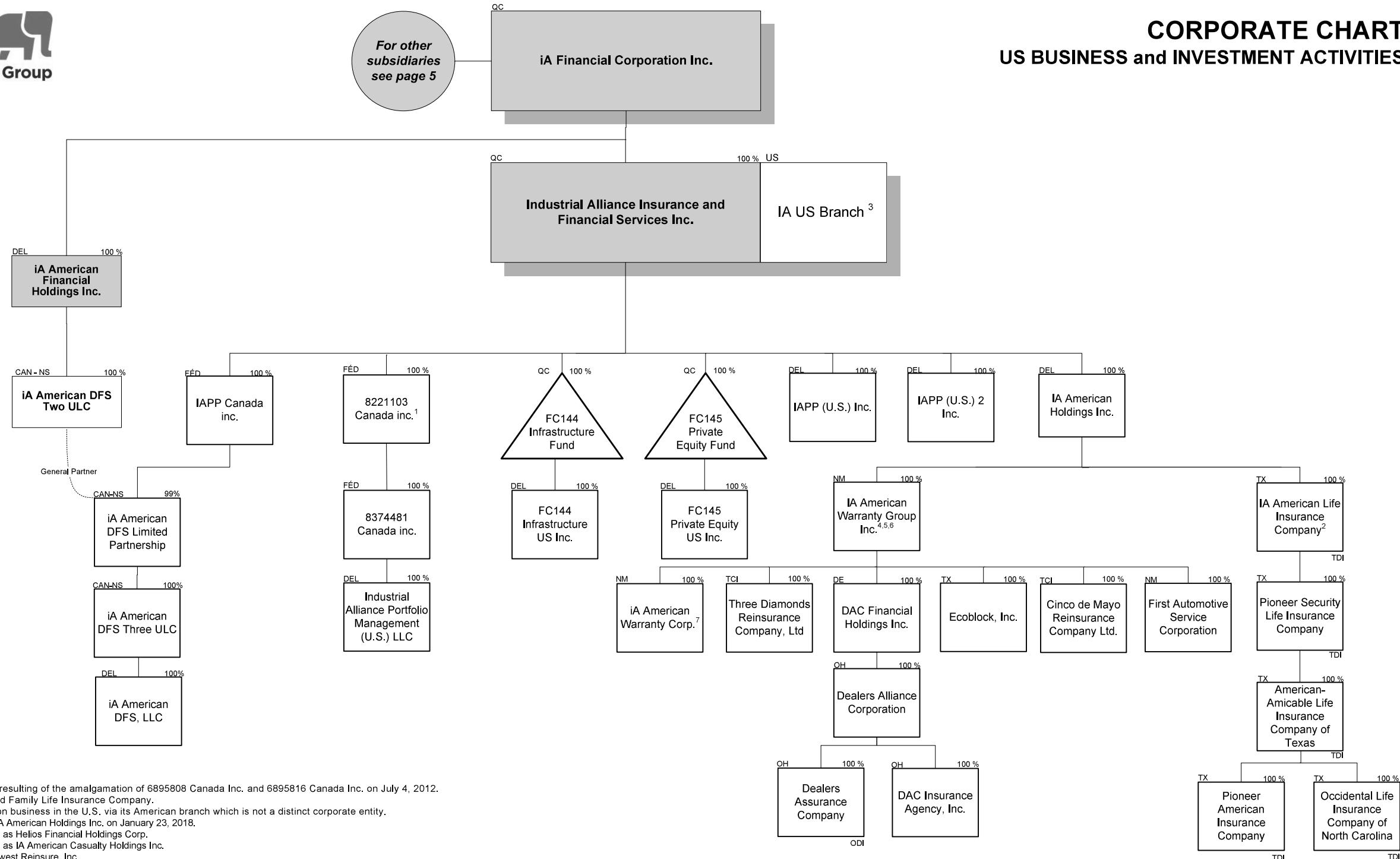
<sup>18</sup> Formerly Industrial Alliance Securities Inc.

<sup>19</sup> Amalgamation of iA Private Wealth Inc., Invisor Financial Inc. and Invisor Management Inc. on April 1<sup>st</sup>, 2021.

<sup>20</sup> Amalgamation of FundEx Investments Inc. and Investia Financial Services Inc. on July 1<sup>st</sup>, 2021.

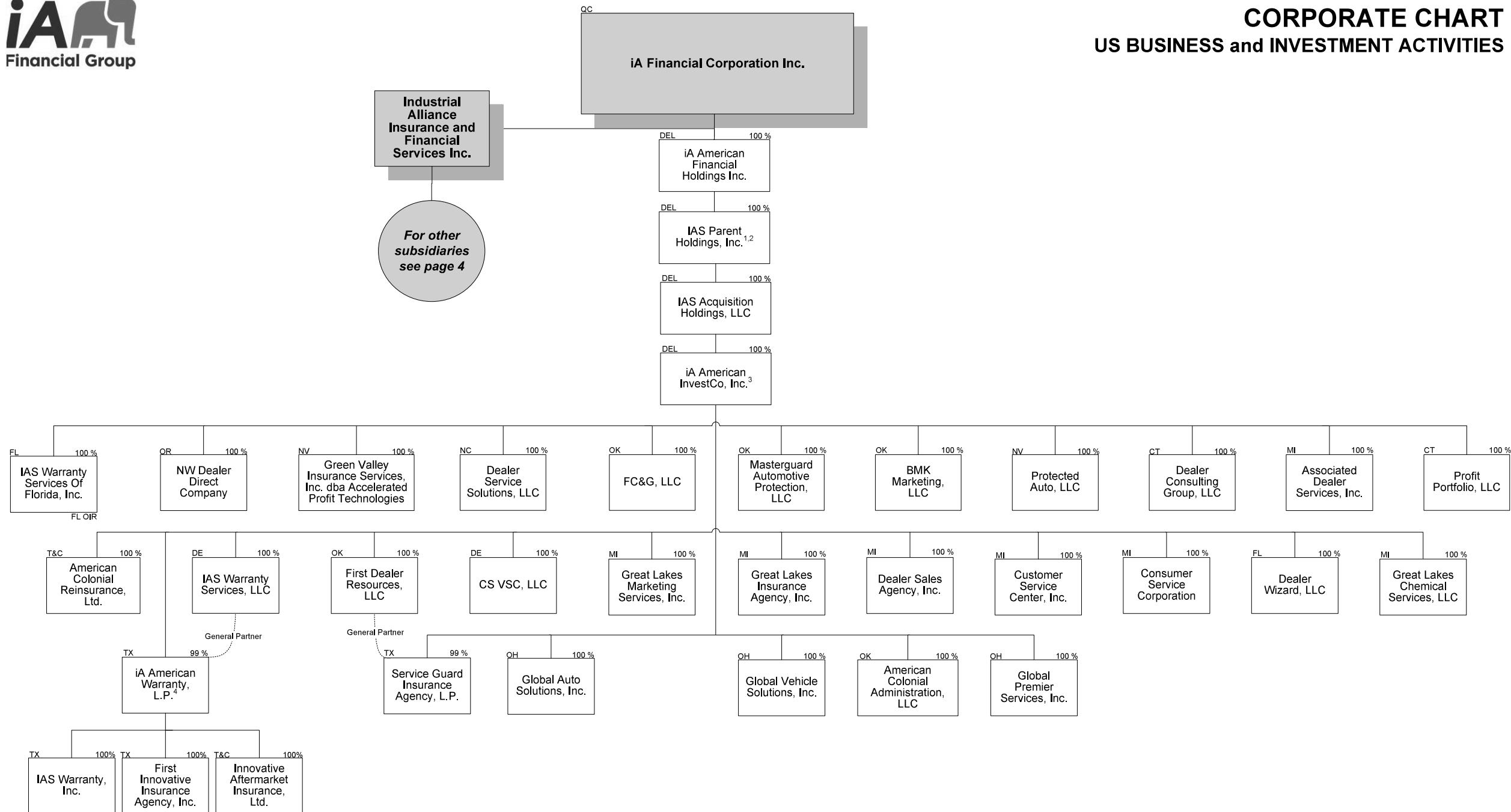
<sup>21</sup> Amalgamation of Felcom Data Services (Québec) Inc. and Felcom Data Services Inc. on January 1<sup>st</sup> 2022.





# CORPORATE CHART

## US BUSINESS and INVESTMENT ACTIVITIES


<sup>1</sup> Acquisition on May 22, 2020.

<sup>2</sup> Amalgamation with IA American Merger Inc. on May 22, 2020.

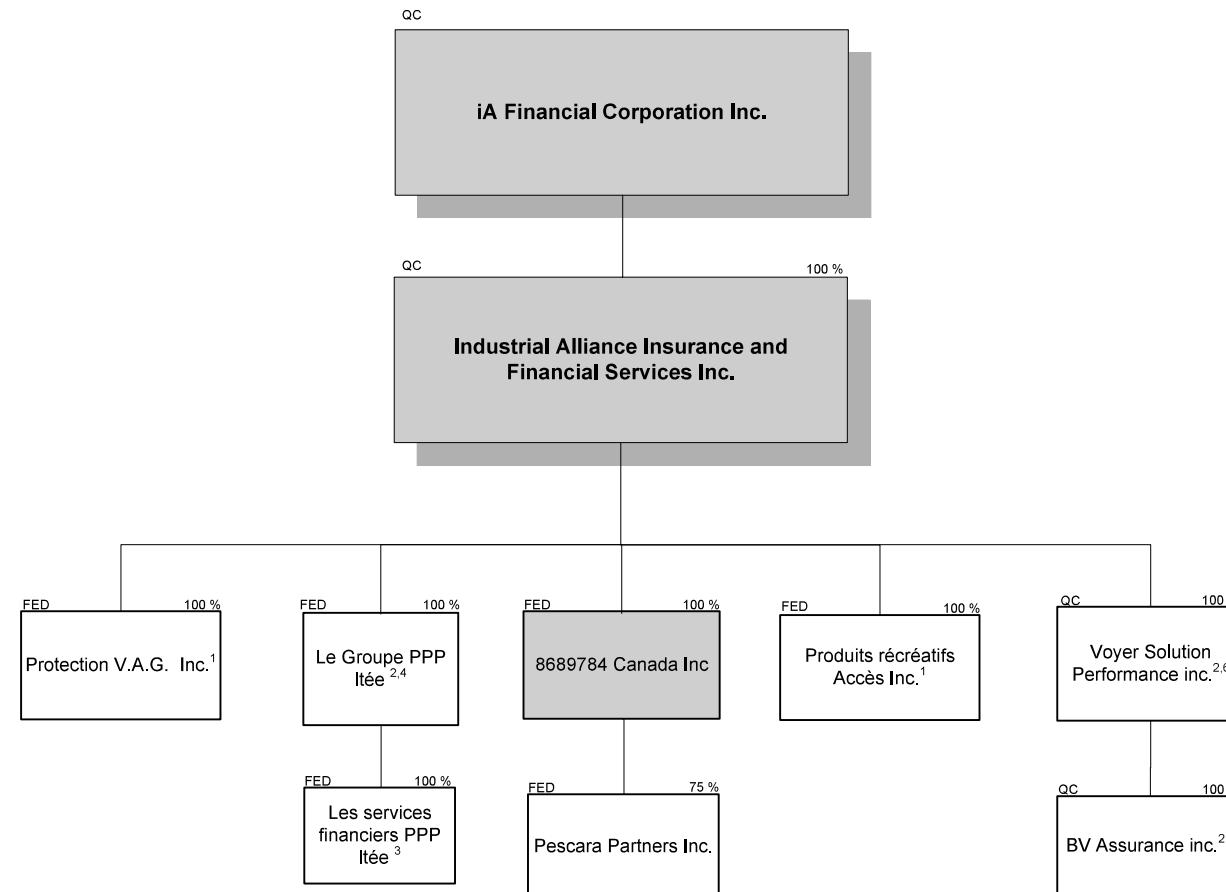
<sup>3</sup> Formerly IAS InvestCo, Inc.

<sup>4</sup> Formerly Innovative Aftermarket Systems L.P.

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company



**CORPORATE CHART**  
**WINDING UP**



11.5

<sup>1</sup> Liquidated in iAIFS on July 1<sup>st</sup>, 2013.

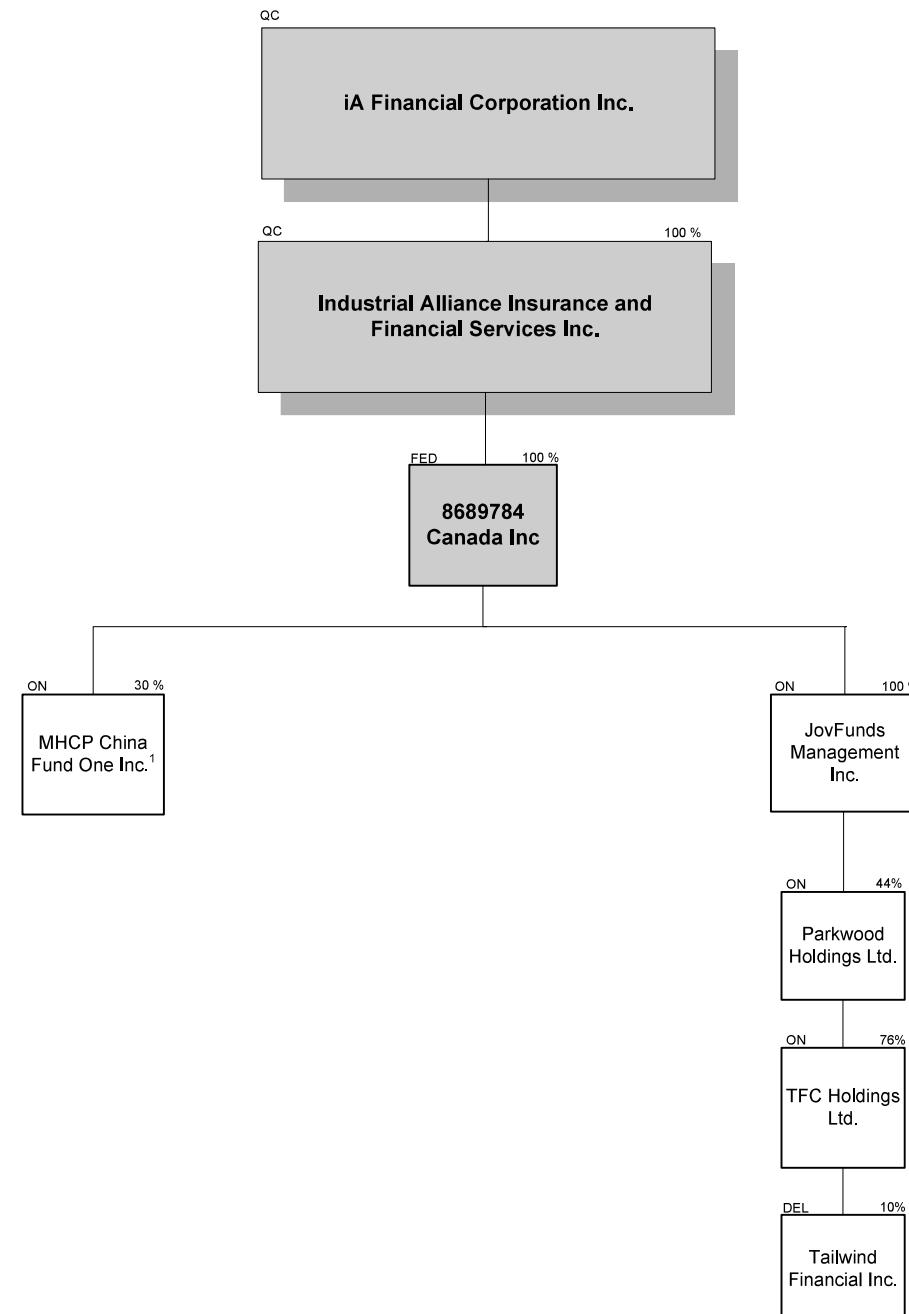
<sup>2</sup> Aquisition of Groupe PPP by iAIFS on November 18, 2016.

<sup>3</sup> Liquidated in Le Groupe PPP Ltée on April 1<sup>st</sup>, 2019.

<sup>4</sup> Liquidated in iAIFS on April 1<sup>st</sup>, 2019.

<sup>5</sup> Liquidated in Voyer Solution Performance inc. on August 2<sup>nd</sup>, 2021.

<sup>6</sup> Liquidated in iAIFS on August 2<sup>nd</sup>, 2021.



<sup>1</sup> Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1<sup>st</sup>, 2018.

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Yes/No)	*
.0315	Industrial Alliance GRP	00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	CAN	UIP	Publicly Traded	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	TX	IA	Industrial Alliance Insurance and Financial Services Inc.			Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	27-2524532				IA American Holdings	DE	UDP	Industrial Alliance Insurance and Financial Services - USB	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	85-0479228				IA American Warranty Group Inc.	NM	UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	20-0833446				DAC Financial Holdings, Inc.	DE	UIP	IA American Warranty Group Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	31-0906655				Dealers Alliance Corp.	OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	16705	34-6513705				Dealers Assurance Company	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	31-0908416				DAC Insurance Agency, Inc.	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	46-2008908				DAC Solutions, Inc.	TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	85-0339432				IA American Warranty Corp	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	98-0231706				Three Diamonds Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	42-1563507				Ecoblock, Inc.	TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	00000	91-2020119				First Automotive Service Corp.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	91693	13-3036472				IA American Life Insurance Company	TX	IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	67946	75-1083342				Pioneer Security Life Insurance Company	TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	68594	74-2179909				American Amicable Life Ins. Co. of Texas	TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	67148	56-0343440				Occidental Life Insurance Co. of North Carolina	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		
.0315	Industrial Alliance GRP	67873	75-0914374				Pioneer American Insurance Company	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.		

Asterisk	Explanation

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....			0.0	0.0
2.1 Allied Lines .....			0.0	0.0
2.2 Multiple peril crop .....			0.0	0.0
2.3 Federal flood .....			0.0	0.0
2.4 Private crop .....			0.0	0.0
2.5 Private flood .....			0.0	0.0
3. Farmowners multiple peril .....			0.0	0.0
4. Homeowners multiple peril .....			0.0	0.0
5. Commercial multiple peril .....			0.0	0.0
6. Mortgage guaranty .....			0.0	0.0
8. Ocean marine .....			0.0	0.0
9. Inland marine .....			0.0	0.0
10. Financial guaranty .....			0.0	0.0
11.1 Medical professional liability - occurrence .....			0.0	0.0
11.2 Medical professional liability - claims-made .....			0.0	0.0
12. Earthquake .....			0.0	0.0
13.1 Comprehensive (hospital and medical) individual .....			0.0	0.0
13.2 Comprehensive (hospital and medical) group .....			0.0	0.0
14. Credit accident and health .....			0.0	0.0
15.1 Vision only .....			0.0	0.0
15.2 Dental only .....			0.0	0.0
15.3 Disability income .....			0.0	0.0
15.4 Medicare supplement .....			0.0	0.0
15.5 Medicaid Title XIX .....			0.0	0.0
15.6 Medicare Title XVIII .....			0.0	0.0
15.7 Long-term care .....			0.0	0.0
15.8 Federal employees health benefits plan .....			0.0	0.0
15.9 Other health .....			0.0	0.0
16. Workers' compensation .....			0.0	0.0
17.1 Other liability - occurrence .....	4,835,061	889,176	18.4	49.3
17.2 Other liability - claims-made .....			0.0	0.0
17.3 Excess workers' compensation .....			0.0	0.0
18.1 Products liability - occurrence .....			0.0	0.0
18.2 Products liability - claims-made .....			0.0	0.0
19.1 Private passenger auto no-fault (personal injury protection) .....			0.0	0.0
19.2 Other private passenger auto liability .....			0.0	0.0
19.3 Commercial auto no-fault (personal injury protection) .....			0.0	0.0
19.4 Other commercial auto liability .....			0.0	0.0
21.1 Private passenger auto physical damage .....			0.0	0.0
21.2 Commercial auto physical damage .....			0.0	0.0
22. Aircraft (all perils) .....			0.0	0.0
23. Fidelity .....			0.0	0.0
24. Surety .....			0.0	0.0
26. Burglary and theft .....			0.0	0.0
27. Boiler and machinery .....			0.0	0.0
28. Credit .....			0.0	0.0
29. International .....			0.0	0.0
30. Warranty .....	42,626,207	24,956,336	58.5	52.8
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35. Totals .....	47,461,268	25,845,512	54.5	52.5
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....	0		
2.1 Allied Lines .....	0		
2.2 Multiple peril crop .....	0		
2.3 Federal flood .....	0		
2.4 Private crop .....	0		
2.5 Private flood .....	0		
3. Farmowners multiple peril .....	0		
4. Homeowners multiple peril .....	0		
5. Commercial multiple peril .....	0		
6. Mortgage guaranty .....	0		
8. Ocean marine .....	0		
9. Inland marine .....	0		
10. Financial guaranty .....	0		
11.1 Medical professional liability - occurrence .....	0		
11.2 Medical professional liability - claims-made .....	0		
12. Earthquake .....	0		
13.1 Comprehensive (hospital and medical) individual .....	0		
13.2 Comprehensive (hospital and medical) group .....	0		
14. Credit accident and health .....	0		
15.1 Vision only .....	0		
15.2 Dental only .....	0		
15.3 Disability income .....	0		
15.4 Medicare supplement .....	0		
15.5 Medicaid Title XIX .....	0		
15.6 Medicare Title XVIII .....	0		
15.7 Long-term care .....	0		
15.8 Federal employees health benefits plan .....	0		
15.9 Other health .....	0		
16. Workers' compensation .....	0		
17.1 Other liability - occurrence .....	6,795,443	6,795,443	4,475,920
17.2 Other liability - claims-made .....	0		
17.3 Excess workers' compensation .....	0		
18.1 Products liability - occurrence .....	0		
18.2 Products liability - claims-made .....	0		
19.1 Private passenger auto no-fault (personal injury protection) .....	0		
19.2 Other private passenger auto liability .....	0		
19.3 Commercial auto no-fault (personal injury protection) .....	0		
19.4 Other commercial auto liability .....	0		
21.1 Private passenger auto physical damage .....	0		
21.2 Commercial auto physical damage .....	0		
22. Aircraft (all perils) .....	0		
23. Fidelity .....	0		
24. Surety .....	0		
26. Burglary and theft .....	0		
27. Boiler and machinery .....	0		
28. Credit .....	0		
29. International .....	0		
30. Warranty .....	53,663,942	53,663,942	53,477,309
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0
35. Totals .....	60,459,385	60,459,385	57,953,229
<b>DETAILS OF WRITE-INS</b>			
3401. ....			
3402. ....			
3403. ....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**PART 3 (000 omitted)**

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2022 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2022 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2022 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2019 + Prior .....			.0			.0				0	0	0	0
2. 2020 .....			.0			.0				0	0	0	0
3. Subtotals 2020 + Prior .....	0	0	0	0	0	0	0	0	0	0	0	0	0
4. 2021 .....		940	940	160	96	256				0	160	(844)	(684)
5. Subtotals 2021 + Prior .....	0	940	940	160	96	256	0	0	0	0	160	(844)	(684)
6. 2022 .....	XXX	XXX	XXX	XXX	385	385	XXX		940	940	XXX	XXX	XXX
7. Totals .....	0	940	940	160	481	641	0	0	940	940	160	(844)	(684)
8. Prior Year-End Surplus As Regards Policyholders		106,115									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (89.8)	3. (72.8)
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. (0.6)

# **SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

#### AUGUST FILING

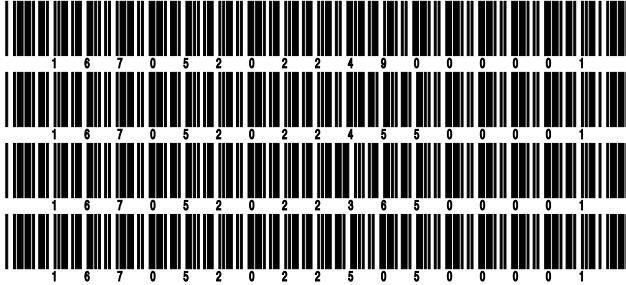
5. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A
---	-----

#### Explanations:

- 1.
- 2.
- 3.
- 4.

#### Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company  
**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,692,245	3,818,465
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	0	0
8. Deduct current year's depreciation	31,124	126,220
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	3,661,121	3,692,245
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	3,661,121	3,692,245

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	176,498	195,590
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	4,909	19,092
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	171,589	176,498
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	171,589	176,498
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	171,589	176,498

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	221,578,249	174,308,237
2. Cost of bonds and stocks acquired	31,689,164	113,198,847
3. Accrual of discount	40,255	162,113
4. Unrealized valuation increase (decrease)	(667,949)	680,180
5. Total gain (loss) on disposals	(502,803)	973,316
6. Deduct consideration for bonds and stocks disposed of	18,213,201	67,195,391
7. Deduct amortization of premium	212,064	772,296
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	65,749	223,243
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10)	233,777,401	221,578,249
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	233,777,401	221,578,249

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	152,850,957	17,135,657	11,249,269	316,776	159,054,121	0	0	152,850,957
2. NAIC 2 (a) .....	53,440,312	1,427,723	2,392,770	(521,574)	51,953,691	0	0	53,440,312
3. NAIC 3 (a) .....	493,281	0	0	1,218	494,500	0	0	493,281
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds .....	206,784,550	18,563,380	13,642,038	(203,580)	211,502,311	0	0	206,784,550
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	433,691	815,451	0	400,757	1,649,899	0	0	433,691
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	660,886	38,955	0	(502,408)	197,433	0	0	660,886
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock .....	1,094,577	854,406	0	(101,651)	1,847,332	0	0	1,094,577
15. Total Bonds and Preferred Stock .....	207,879,127	19,417,785	13,642,038	(305,231)	213,349,643	0	0	207,879,127

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....0 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

SI02

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
7709999999 Totals	0	XXX	0	0	0

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	100,237	0
2. Cost of short-term investments acquired .....	0	303,574
3. Accrual of discount .....	0	
4. Unrealized valuation increase (decrease) .....	0	
5. Total gain (loss) on disposals .....	0	
6. Deduct consideration received on disposals .....	100,000	200,000
7. Deduct amortization of premium .....	237	3,337
8. Total foreign exchange change in book/adjusted carrying value .....	0	
9. Deduct current year's other than temporary impairment recognized .....	0	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	100,237
11. Deduct total nonadmitted amounts .....	0	
12. Statement value at end of current period (Line 10 minus Line 11)	0	100,237

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	24,177,674	1,971,794
2. Cost of cash equivalents acquired	20,565,141	114,777,629
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	30,489,716	92,571,750
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	14,253,099	24,177,674
11. Deduct total nonadmitted amounts	0	0
<b>12. Statement value at end of current period (Line 10 minus Line 11)</b>	<b>14,253,099</b>	<b>24,177,674</b>

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## **SCHEDULE B - PART 2**

## Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

## **SCHEDULE B - PART 3**

## Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	8	9	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9+10+11)	13 Total Foreign Exchange Change in Book Value				
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date												
DAC002	Mokena	IL		08/21/2014	03/31/2022		4,909					0		4,909			0
0299999. Mortgages with partial repayments							4,909	0	0	0	0	0	0	0	4,909	0	0
0599999 - Totals							4,909	0	0	0	0	0	0	0	4,909	0	0

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
38381E-UB-2	GNR 2022-013 AE - CMBS		.01/07/2022	STONEX FINANCIAL INC.	1,475,742	1,500,000		1,668	1.
912828-2D-1	UNITED STATES TREASURY		.03/07/2022	Various	452,892	450,000		2,102	1.A
912828-4N-7	UNITED STATES TREASURY		.03/07/2022	RBC CAPITAL MARKETS, LLC	53,250	50,000		.449	1.A
912828-6T-2	UNITED STATES TREASURY		.03/07/2022	GOLDMAN SACHS & CO, NY	104,223	100,000		.741	1.A
912828-V4-9	UNITED STATES TREASURY		.03/07/2022	BNP PARIBAS SEC BOND, NEW YORK	158,357	144,546		.78	1.A
912828-Y3-3	UNITED STATES TREASURY		.03/07/2022	RBC CAPITAL MARKETS, LLC	100,188	100,000		.546	1.A
912828-Z9-4	UNITED STATES TREASURY		.03/07/2022	RBC CAPITAL MARKETS, LLC	98,250	100,000		.87	1.A
912828-ZJ-2	UNITED STATES TREASURY		.03/07/2022	BNP PARIBAS SEC BOND, NEW YORK	144,291	135,178		.67	1.A
912828-ZO-6	UNITED STATES TREASURY		.03/07/2022	CITADEL SECURITIES LLC	137,273	150,000		.293	1.A
912820-BM-2	UNITED STATES TREASURY		.03/07/2022	Various	441,097	450,000		.212	1.A
91282C-CB-5	UNITED STATES TREASURY		.03/07/2022	WELLS FARGO SECURITIES LLC	98,914	100,000		.507	1.A
<b>0109999999. Subtotal - Bonds - U.S. Governments</b>						3,264,477	3,279,724	6,769	<b>XXX</b>
023135-BZ-8	AMAZON.COM INC		.03/07/2022	Various	293,759	300,000		1,406	1.D FE
055650-DH-8	BP CAPITAL MARKETS PLC	C.	.03/07/2022	MERRILL LYNCH PIERCE FENNER	52,070	50,000		.522	1.F FE
06051G-FS-3	BANK OF AMERICA CORP		.03/07/2022	MERRILL LYNCH PIERCE FENNER	52,084	50,000		.205	1.G FE
06367W-HH-9	BANK OF MONTREAL	C.	.03/07/2022	SANTANDER INVESTMENT SECURITIES	.76,384	.75,000		.234	1.F FE
14041N-FZ-9	COMET 2022-1 A - ABS		.03/23/2022	RBC CAPITAL MARKETS	1,999,849	2,000,000		.0	1.A FE
20030N-CA-7	COMCAST CORP		.03/07/2022	JP MORGAN SECURITIES LLC	51,256	50,000		.105	1.G FE
404280-BS-7	HSBC HOLDINGS PLC	C.	.03/07/2022	JANE STREET EXECUTION SERVICES LLC	50,881	50,000		.609	1.G FE
437076-CB-6	HOME DEPOT INC		.03/07/2022	CITIGROUP GBL MKTS/SALOMON, NEW YORK	49,837	50,000		.540	1.F FE
437076-CN-0	HOME DEPOT INC		.03/24/2022	MORGAN STANLEY & CO LLC	527,159	530,000		.0	1.F FE
55903V-AL-7	MAGALLANES INC		.03/09/2022	JP MORGAN SECURITIES INC.	1,280,000	1,280,000		.0	2.C FE
606822-BN-3	mitsubishi UFJ FINANCIAL GROUP INC	C.	.03/07/2022	SMBC NIKKO SECURITIES	49,264	50,000		.43	1.G FE
665859-AV-6	NORTHERN TRUST CORP		.03/07/2022	HSBC SEC'S INC, NEW YORK	47,529	50,000		.347	1.E FE
75458J-AA-5	RAYSCO 2022 A1 - ABS		.02/04/2022	JEFFERIES & COMPANY INC	1,100,000	1,100,000		.0	1.A FE
81748R-AB-3	SEMT 2020-4 A2 - CMO/RMBS		.01/01/2022	WELLS FARGO BROKERAGE	(25,451)	.0		-(.14)	1.A FE
822582-BZ-4	SHELL INTERNATIONAL FINANCE BV	C.	.03/07/2022	JANE STREET EXECUTION SERVICES LLC	.77,036	.75,000		.846	1.D FE
86562M-AF-7	SUMITOMO MITSUI FINANCIAL GROUP INC	C.	.03/07/2022	CITIGROUP GBL MKTS/SALOMON, NEW YORK	49,932	50,000		.201	1.G FE
872540-4V-1	TJX COMPANIES INC		.03/07/2022	MORGAN STANLEY & CO INC, NY	46,043	50,000		.182	1.F FE
87612E-BE-5	TARGET CORP		.03/07/2022	CITIGROUP GBL MKTS/SALOMON, NEW YORK	102,180	100,000		.1,000	1.F FE
89153V-AQ-2	TOTALENERGIES CAPITAL INTERNATIONAL SA	C.	.03/07/2022	DEUTSCHE B1 SEC'S INC, NY (NWSCUS3)	51,891	50,000		.96	1.E FE
89236T-GT-6	TOYOTA MOTOR CREDIT CORP		.03/07/2022	CITIGROUP GBL MKTS/SALOMON, NEW YORK	49,514	50,000		.65	1.E FE
92348K-AL-7	VZMT 2022-1 A - RMBS		.01/19/2022	MERRILL LYNCH FIXED INCOME	1,249,968	1,250,000		.0	1.A FE
93114Z-ET-6	WALMART INC		.03/07/2022	MERRILL LYNCH PIERCE FENNER	46,888	50,000		.418	1.C FE
95002K-AE-3	WFMBS 2020-1 A5 - CMO/RMBS		.03/01/2022	CITIBANK, N.A.	993,992	951,425		.165	1.A FE
95003B-AE-0	WFMBS 205 A1 - CMO/RMBS	C.	.01/01/2022	WELLS FARGO BROKERAGE	(32,223)	.0		.20	1.A FE
961214-DK-6	WESTPAC BANKING CORP		.03/07/2022	CITIGROUP GBL MKTS/SALOMON, NEW YORK	51,935	50,000		.5	1.D FE
<b>1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						8,291,775	8,311,425	6,953	<b>XXX</b>
464287-17-6	ISHARES: TIPS BD ETF		.02/04/2022	TD AMERITRADE	.0000	849,619		.0	1. Z
464288-62-0	ISHARES: BTP USD IG CP BD		.01/11/2022	TD Ameritrade	.0000	381,700		.0	1. Z
464288-74-7	ISHARES: O-5 TIPS BD ETF		.03/08/2022	DIVIDEND REINVESTMENT	.0000	148,063		.0	1.A
464298-74-7	ISHARES: 0-5 TIPS BD ETF		.03/08/2022	Various	.0000	2,761,598		.0	1.Z
46435G-47-4	ISHARES: FALL ANG USD BD		.03/08/2022	TD AMERITRADE	.0000	1,351,430		.0	1. Z
92206C-40-9	VANGUARD ST CORP BD ETF		.03/08/2022	Various	.0000	147,723		.0	2.A
92206C-40-9	VANGUARD ST CORP BD ETF		.03/08/2022	TD AMERITRADE	.0000	1,022,631		.0	2.Z
92206C-77-1	VANGUARD MTG-BR IDX ETF		.01/11/2022	TD Ameritrade	.0000	344,364		.0	2.Z
<b>1619999999. Subtotal - Bonds - SVO Identified Funds</b>						7,007,128	0	0	<b>XXX</b>
<b>2509999997. Total - Bonds - Part 3</b>						18,563,380	11,591,149	13,722	<b>XXX</b>
<b>2509999998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	<b>XXX</b>
<b>2509999999. Total - Bonds</b>						18,563,380	11,591,149	13,722	<b>XXX</b>
464288-68-7	ISHARES: PREF AND INC SEC		.03/08/2022	DIVIDEND REINVESTMENT	.0000	38,955		.0	4.B
464288-68-7	ISHARES: PREF AND INC SEC		.03/08/2022	TD AMERITRADE	.0000	21,865,000	815,451	.0	4.B
<b>4019999999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred</b>						854,406	XXX	0	<b>XXX</b>
<b>4509999997. Total - Preferred Stocks - Part 3</b>						854,406	XXX	0	<b>XXX</b>

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
<b>4509999998. Total - Preferred Stocks - Part 5</b>									
<b>4509999999. Total - Preferred Stocks</b>									
002824-10-0	ABBOTT LABORATORIES ORD ..		.03/03/2022	Various .....	.144,000	.17,177			0
00287Y-10-9	ABBYIE ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.164,000	.24,155			0
00724F-10-1	ADOBG ORD .....		.03/03/2022	Various .....	.119,000	.58,459			0
007903-10-7	ADVANCED MICRO DEVICES ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.128,000	.14,530			0
02079K-30-5	ALPHABET CL A ORD .....		.03/03/2022	Various .....	.41,000	.109,507			0
023135-10-6	AMAZON COM ORD .....		.03/03/2022	Various .....	.38,000	.114,363			0
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.280,000	.16,803			0
03027X-10-0	AMERICAN TOWER REIT .....		.03/17/2022	Various .....	.99,000	.23,940			0
03076C-10-6	AMERIPRISE FINANCE ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.48,000	.13,756			0
037833-10-0	APPLE ORD .....		.03/17/2022	Various .....	1,481,000	.243,572			0
060505-10-4	BANK OF AMERICA ORD .....		.03/03/2022	Various .....	1,159,000	.53,190			0
084670-70-2	BERKSHIRE HATHAWAY CL B ORD .....		.03/01/2022	TD AMERITRADE .....	.238,000	.74,539			0
101137-10-7	BOSTON SCIENTIFIC ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.260,000	.11,391			0
125720-10-5	CME GROUP CL A ORD .....		.03/03/2022	Various .....	.66,000	.15,751			0
126408-10-3	CSX ORD .....		.03/03/2022	Various .....	.680,000	.23,379			0
126650-10-0	CVS HEALTH ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.136,000	.13,899			0
150870-10-3	CELANESE ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.97,000	.13,527			0
15135B-10-1	CENTENE ORD .....		.03/03/2022	Various .....	.140,000	.11,470			0
16119P-10-8	CHARTER COMMUNICATIONS CL A ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.13,000	.7,483			0
166764-10-0	CHEVRON ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.76,000	.10,868			0
17275R-10-2	CISCO SYSTEMS ORD .....		.01/11/2022	TD Ameritrade .....	.285,000	.17,725			0
191216-10-0	COCA-COLA ORD .....		.03/17/2022	Various .....	.990,000	.60,474			0
20030N-10-1	COMCAST CL A ORD .....		.01/11/2022	TD Ameritrade .....	.438,000	.22,321			0
21037T-10-9	CONSTELLATION ENERGY ORD .....		.03/03/2022	Various .....	.306,667	.10,610			0
22160K-10-5	COSTCO WHOLESALE ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.31,000	.15,959			0
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.52,000	.9,647			0
235851-10-2	DANAHER ORD .....		.03/03/2022	Various .....	.188,000	.52,879			0
23804L-10-3	DATADOG CL A ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.37,000	.5,695			0
244199-10-5	DEERE ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.37,000	.13,305			0
26614N-10-2	DUPONT DE NEMOURS ORD .....		.03/03/2022	Various .....	.860,000	.70,911			0
29444U-70-0	EQUINIX REIT ORD .....		.03/18/2022	Various .....	.32,000	.23,125			0
30161N-10-1	EXELON ORD .....		.03/03/2022	Various .....	.900,000	.25,198			0
30303M-10-2	META PLATFORMS CL A ORD .....		.03/08/2022	Various .....	.195,000	.38,289			0
37045V-10-0	GENERAL MOTORS ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.180,000	.8,170			0
437076-10-2	HOME DEPOT ORD .....		.03/03/2022	Various .....	.183,000	.62,700			0
438516-10-6	HONEYWELL INTERNATIONAL ORD .....		.03/03/2022	Various .....	.66,000	.12,129			0
452308-10-9	ILLINOIS TOOL ORD .....		.03/03/2022	Various .....	.99,000	.21,621			0
458140-10-0	INTEL ORD .....		.03/08/2022	TD AMERITRADE .....	.702,000	.33,603			0
461202-10-3	INTUIT ORD .....		.03/03/2022	Various .....	.135,000	.64,444			0
46625H-10-0	JPMORGAN CHASE ORD .....		.03/08/2022	Various .....	.450,000	.65,814			0
478160-10-4	JOHNSON & JOHNSON ORD .....		.01/11/2022	TD Ameritrade .....	.101,000	.17,247			0
482480-10-0	KLA ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.42,000	.14,605			0
518439-10-4	ESTEE LAUDER CL A ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.36,000	.10,466			0
532457-10-8	ELI LILLY ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.42,000	.10,461			0
538034-10-9	LIVE NATION ENTERTAINMENT ORD .....		.03/03/2022	Various .....	.132,000	.15,829			0
571903-20-2	MARRIOTT INTERNATIONAL CL A ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.105,000	.17,488			0
576360-10-4	MASTERCARD CL A ORD .....		.03/03/2022	Various .....	.274,000	.95,452			0
594918-10-4	MICROSOFT ORD .....		.03/08/2022	Various .....	.431,000	.127,892			0
595112-10-3	MICRON TECHNOLOGY ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.250,000	.22,075			0
617446-44-8	MORGAN STANLEY ORD .....		.03/08/2022	TD AMERITRADE .....	.515,000	.47,953			0
64110L-10-6	NETFLIX ORD .....		.03/03/2022	Various .....	.181,000	.69,427			0
65339F-10-1	NEXTERA ENERGY ORD .....		.03/03/2022	Various .....	.280,000	.22,566			0
654106-10-3	NIKE CL B ORD .....		.03/03/2022	Various .....	.69,000	.9,324			0
67066G-10-4	NVIDIA ORD .....		.03/17/2022	Various .....	.544,000	.130,949			0

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
70450Y-10-3	PAYPAL HOLDINGS ORD .....		.03/03/2022	Various .....	.178,000	.28,829			0
717081-10-3	Pfizer ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.210,000	.9,811			0
723787-10-7	PIONEER NATURAL RESOURCE ORD .....		.03/03/2022	Various .....	.269,000	.60,200			0
742718-10-9	PROCTER & GAMBLE ORD .....		.03/17/2022	Various .....	.626,000	.97,797			0
75513E-10-1	RAYTHEON TECHNOLOGIES ORD .....		.03/03/2022	Various .....	.391,000	.37,339			0
78409V-10-4	SAP GLOBAL ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.37,000	.14,461			0
81762P-10-2	SERVICENOW ORD .....		.03/01/2022	TD AMERITRADE .....	.105,000	.60,194			0
82509L-10-7	SHOPIFY CL A SUB VTG ORD .....	C.	.03/01/2022	TD AMERITRADE .....	.74,000	.57,256			0
828806-10-9	SIMON PROP GRP REIT ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.82,000	.11,261			0
83088M-10-2	SKYWORKS SOLUTIONS ORD .....		.02/24/2022	COWEN AND COMPANY LLC .....	.19,000	.2,588			0
83304A-10-6	SNAP CL A ORD .....		.01/11/2022	TD Ameritrade .....	.424,000	.18,400			0
85223A-10-3	BLOCK CL A ORD .....		.03/03/2022	Various .....	.202,000	.22,176			0
87165B-10-3	SYNCHRONY FINANCIAL ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.330,000	.13,155			0
872590-10-4	T MOBILE US ORD .....		.03/30/2022	Various .....	.509,000	.63,951			0
88160R-10-1	TESLA ORD .....		.03/03/2022	Various .....	.82,000	.74,646			0
88339J-10-5	TRADE DESK CL A ORD .....		.03/03/2022	Various .....	.220,000	.17,775			0
88355E-10-2	TERMO FISHER SCIENTIFIC ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.19,000	.10,348			0
89832Q-10-9	TRUIST FINANCIAL ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.200,000	.11,899			0
91324P-10-2	UNITEDHEALTH GRP ORD .....		.03/03/2022	Various .....	.167,000	.77,985			0
91913Y-10-0	VALERO ENERGY ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.90,000	.7,506			0
92343V-10-4	VERIZON COMMUNICATIONS ORD .....		.03/08/2022	TD AMERITRADE .....	.612,000	.32,952			0
92826C-83-9	VISA CL A ORD .....		.03/01/2022	TD AMERITRADE .....	.353,000	.73,114			0
929089-10-0	VOYA FINANCIAL ORD .....		.03/03/2022	Various .....	.170,000	.10,997			0
949746-10-1	WELLS FARGO ORD .....		.03/01/2022	TD AMERITRADE .....	.593,000	.32,332			0
GO6242-10-4	ATLASSIAN CL A ORD .....	C.	.03/03/2022	COWEN AND COMPANY LLC .....	.46,000	.13,422			0
G1151C-10-1	ACCENTURE CL A ORD .....	C.	.03/03/2022	COWEN AND COMPANY LLC .....	.39,000	.12,296			0
G29183-10-3	EATON ORD .....		.03/03/2022	Various .....	.80,000	.12,126			0
G46188-10-1	HORIZON THERAPEUTICS PUBLIC ORD .....		.03/03/2022	COWEN AND COMPANY LLC .....	.70,000	.6,607			0
G7997R-10-3	SEAGATE TECHNOLOGY HOLDINGS ORD .....	C.	.03/03/2022	Various .....	.716,000	.77,418			0
G8994E-10-3	TRANE TECHNOLOGIES ORD .....	C.	.03/03/2022	COWEN AND COMPANY LLC .....	.46,000	.7,003			0
V7780T-10-3	ROYAL CARIBBEAN GROUP ORD .....		.03/03/2022	EVERCORE GROUP LLC .....	.160,000	.12,260			0
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						3,106,220	XXX		XXX
00141A-58-6	INVESCO FLTG RT ESG Y .....		.01/25/2022	TD Ameritrade .....	.58,684,110	.428,682			0
00141A-58-6	INVESCO FLTG RT ESG Y .....		.03/08/2022	TD AMERITRADE .....	.75,146,670	.543,513			0
02507H-60-1	AMER CENT:FOC DG I .....		.01/11/2022	TD Ameritrade .....	.86,255	.4,693			0
02508J-70-5	AMER CENT:SHT DUR I .....		.01/25/2022	TD Ameritrade .....	.10,358,869	.107,024			0
02508J-70-5	AMER CENT:SHT DUR I .....		.03/08/2022	TD AMERITRADE .....	.40,723,402	.416,709			0
02508J-87-9	AMER CENT:SD ST INC I .....		.01/11/2022	TD Ameritrade .....	.34,929,902	.337,940			0
04314H-61-8	ARTISAN:DEV WORLD ADV .....		.01/11/2022	TD Ameritrade .....	.475,504	.9,924			0
05580W-84-1	BNY MELLON CORE PLUS I .....		.01/25/2022	TD Ameritrade .....	.52,542,027	.556,997			0
05580W-84-1	BNY MELLON CORE PLUS I .....		.03/08/2022	TD AMERITRADE .....	.4,570,740	.47,445			0
091928-86-1	BLACKROCK:MC GRO I .....		.01/11/2022	TD Ameritrade .....	.452,489	.20,024			0
128119-88-0	CALAMOS:MKT NEUT INC I .....		.02/07/2022	TD AMERITRADE .....	.31,823,934	.457,024			0
128119-88-0	CALAMOS:MKT NEUT INC I .....		.03/08/2022	TD AMERITRADE .....	.97,803,076	.1,386,014			0
256210-10-5	DODGE & COX INCOME .....		.01/11/2022	TD Ameritrade .....	.22,608,947	.314,658			0
315807-86-7	FIDELITY ADV BAL INST .....		.01/11/2022	TD Ameritrade .....	.1,873,259	.54,048			0
61744J-86-1	MORGAN STANLEY INST GROWTH I .....		.01/11/2022	TD Ameritrade .....	.69,396	.4,686			0
72201F-49-0	PIMCO:INCOME INST .....		.01/11/2022	TD Ameritrade .....	.5,892,256	.70,024			0
921910-20-4	VANGUARD INTL GRO INV .....		.01/11/2022	TD Ameritrade .....	.239,605	.10,224			0
921910-50-1	VANGUARD INTL GRO ADM .....		.02/15/2022	EXCHANGE .....	.81,339	.13,957			0
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO						4,783,586	XXX		XXX
025072-81-0	AMER CENT:FOCUSED DYN GR .....		.03/01/2022	TD AMERITRADE .....	.3,579,000	.264,600			0
46138J-61-9	INVESCO RUSSEL 1000 DM .....		.03/08/2022	TD AMERITRADE .....	.8,469,000	.405,092			0
464287-15-0	ISHARES:CORE S&P TOT USM .....		.03/08/2022	Various .....	.6,356,000	.608,673			0
464287-23-4	ISHARES:MSCI EM MKTS .....		.03/08/2022	TD AMERITRADE .....	.5,683,000	.267,332			0
464287-50-7	ISHARES:CORE S&P MD-CP .....		.03/01/2022	TD AMERITRADE .....	.576,000	.149,586			0

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
464287-88-7	ISHARES:SP500 GRO		.03/08/2022	TD AMERITRADE	1,933,000	248,799			0
464288-88-5	ISHARES:MSCI EAFE GRO		.02/04/2022	TD AMERITRADE	941,000	96,385			0
464288-69-7	ISHARES:MSCI USA MVF		.01/11/2022	TD Ameritrade	89,000	6,979			0
78463V-10-7	SPDR GOLD		.01/11/2022	TD Ameritrade	41,000	6,972			0
78464A-69-8	SPDR S&P REG BANKING ETF		.01/11/2022	TD Ameritrade	60,000	4,630			0
81369Y-20-9	SEL SECTOR:H CARE SPDR		.03/08/2022	Various	484,000	62,347			0
81369Y-50-6	SEL SECTOR:ENERGY SPDR		.01/11/2022	TD Ameritrade	114,000	7,184			0
81369Y-60-5	SEL SECTOR:FINL. S SPDR		.03/08/2022	Various	2,082,000	76,312			0
81369Y-80-3	SEL SECTOR:TECH SPDR		.03/08/2022	Various	3,864,000	579,134			0
921908-84-4	VANGUARD DIV A I ETF		.03/08/2022	TD AMERITRADE	2,412,000	390,318			0
921908-76-8	VANGUARD TOT I S ETF		.03/08/2022	TD AMERITRADE	5,478,000	318,717			0
921943-85-8	VANGUARD DEV MKT ETF		.03/08/2022	TD AMERITRADE	6,766,000	321,540			0
922908-55-3	VANGUARD RE IDX ETF		.03/01/2022	TD AMERITRADE	2,353,000	239,749			0
922908-62-9	VANGUARD MD-CP I ETF		.03/08/2022	Various	1,418,000	327,226			0
5819999999. Subtotal - Common Stocks - Exchange Traded Funds						4,381,572	XXX	0	XXX
5989999997. Total - Common Stocks - Part 3						12,271,379	XXX	0	XXX
5989999998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
5989999999. Total - Common Stocks						12,271,379	XXX	0	XXX
5999999999. Total - Preferred and Common Stocks						13,125,784	XXX	0	XXX
6009999999 - Totals						31,689,164	XXX	13,722	XXX

**STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company**

## **SCHEDULE D - PART 4**

#### Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22			
										11	12	13	14	15										
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amor-tization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Modification and SVO Administrative Symbol			
.38381E-UB-2	GNR 2022-013 AE - CMBS		.03/01/2022	Paydown		.5,441	.5,441	.5,353	.0	.88	.0	.88	.0	.5,441	.0	.0	.0	.0	.10	.09/16/2054	.A			
.912828-G3-8	UNITED STATES TREASURY		.02/01/2022	Adjustment		.0	.0	.0	.858	.0	.858	.0	.(858)	.0	.0	.0	.0	.0	.0	.11/15/2024	.A			
.912828-SF-8	UNITED STATES TREASURY		.02/15/2022	Maturity @ 100.00		.350,000	.350,000	.354,529	.350,264	.0	.(264)	.0	.350,000	.0	.0	.0	.0	.0	.3,500	.02/15/2022	.A			
.912828-T9-1	UNITED STATES TREASURY		.02/01/2022	Adjustment		.0	.0	.0	.(203)	.0	.203	.0	.0	.0	.0	.0	.0	.0	.0	.10/31/2023	.A			
.912828-V7-2	UNITED STATES TREASURY		.01/31/2022	Maturity @ 100.00		.860,000	.860,000	.858,007	.859,956	.0	.44	.0	.860,000	.0	.0	.0	.0	.0	.223,063	.01/31/2022	.A			
.912828-Z2-9	UNITED STATES TREASURY		.02/01/2022	Adjustment		.0	.0	.0	.(172)	.0	.172	.0	.0	.0	.0	.0	.0	.0	.0	.01/15/2023	.A			
.912828-ZD-5	UNITED STATES TREASURY		.02/07/2022	INTERNATIONAL		.199,023	.200,000	.201,836	.200,756	.0	.37	.0	.37	.0	.200,793	.0	.(1,770)	.(1,770)	.403	.03/15/2023	.A			
.912828-ZG-8	UNITED STATES TREASURY		.03/31/2022	Maturity @ 100.00		.1,150,000	.1,150,000	.1,153,732	.1,150,730	.0	.(730)	.0	.(730)	.0	.1,150,000	.0	.0	.0	.0	.2,156	.03/31/2022	.A		
0109999999. Subtotal - Bonds - U.S. Governments						2,564,464	2,565,441	2,573,457	2,562,189	0	(1,307)	0	(1,307)	0	2,566,234	0	(1,770)	(1,770)	229,132	XXX	XXX			
.3132D-3Z-6	FD SD8016 - RMBS		.03/01/2022	Paydown		.37,666	.37,666	.38,190	.30,027	.0	.7,639	.0	.7,639	.0	.37,666	.0	.0	.0	.0	.0	.12,190	.10/01/2049	.A	
.3132X-C-RY-3	FH G67703 - RMBS		.03/01/2022	Paydown		.24,825	.24,825	.24,484	.26,682	.0	(1,857)	.0	(1,857)	.0	.24,825	.0	.0	.0	.0	.0	.3,193	.04/01/2047	.A	
.31335B-XF-8	FH G61578 - RMBS		.03/01/2022	Paydown		.24,595	.24,595	.25,433	.13,591	.0	.11,004	.0	.11,004	.0	.24,595	.0	.0	.0	.0	.0	.8,541	.08/01/2048	.A	
.3133LB-CR-4	FH RC1880 - RMBS		.03/01/2022	Paydown		.54,280	.54,280	.55,857	.55,777	.0	(1,497)	.0	(1,497)	.0	.54,280	.0	.0	.0	.0	.0	.139	.03/01/2036	.A	
.3137F3-X4-1	FHR 4764 XV - CMO/RMBS		.03/15/2022	Paydown		.154,052	.154,052	.154,100	.153,858	.0	.194	.0	.194	.0	.154,052	.0	.0	.0	.0	.0	.717	.03/15/2031	.A	
.31397S-5N-0	FNR 2011-43 B - CMO/RMBS		.03/01/2022	Paydown		.16,763	.16,763	.16,737	.16,946	.0	(183)	.0	(183)	.0	.16,763	.0	.0	.0	.0	.0	.2,896	.05/25/2031	.A	
.3140J9-CT-6	FN BM4581 - RMBS		.03/01/2022	Paydown		.34,397	.34,397	.35,128	.30,086	.0	.4,311	.0	.4,311	.0	.34,397	.0	.0	.0	.0	.0	.4,956	.09/01/2033	.A	
.3140GQ-AJ-6	FN CAB108 - RMBS		.03/01/2022	Paydown		.62,221	.62,221	.64,671	.64,381	.0	(2,159)	.0	(2,159)	.0	.62,221	.0	.0	.0	.0	.0	.202	.12/01/2050	.A	
.3140X7-7H-6	FN FM4495 - RMBS		.03/01/2022	Paydown		.16,488	.16,488	.17,171	.17,092	.0	(604)	.0	(604)	.0	.16,488	.0	.0	.0	.0	.0	.70	.10/01/2050	.A	
.3140X8-6N-2	FN FM5376 - RMBS		.03/01/2022	Paydown		.40,857	.40,857	.42,773	.42,472	.0	(1,615)	.0	(1,615)	.0	.40,857	.0	.0	.0	.0	.0	.114	.01/01/2036	.A	
.31418D-04-7	FN MA4074 - RMBS		.03/01/2022	Paydown		.69,594	.69,594	.72,062	.67,263	.0	2,331	.0	2,331	.0	.69,594	.0	.0	.0	.0	.0	.782	.07/01/2035	.A	
.31418D-U4-2	FN MA4202 - RMBS		.03/01/2022	Paydown		.34,176	.34,176	.34,758	.34,573	.0	(397)	.0	(397)	.0	.34,176	.0	.0	.0	.0	.0	.73	.12/01/2040	.A	
.31418D-U8-3	FN MA4206 - RMBS		.03/01/2022	Paydown		.51,624	.51,624	.53,717	.53,064	.0	(1,440)	.0	(1,440)	.0	.51,624	.0	.0	.0	.0	.0	.151	.12/01/2035	.A	
.31418D-VB-5	FN MA4209 - RMBS		.03/01/2022	Paydown		.30,253	.30,253	.30,497	.30,497	.0	(244)	.0	(244)	.0	.30,253	.0	.0	.0	.0	.0	.69	.12/01/2050	.A	
0909999999. Subtotal - Bonds - U.S. Special Revenues						651,791	651,792	665,631	636,310	0	15,482	0	15,482	0	651,791	0	0	0	0	0	(31,024)	XXX	XXX	
.02377L-AA-2	AMERICAN AIRLINES 1919-1 PASS THROUGH TR		.02/15/2022	Paydown		.13,572	.13,572	.13,572	.0	.0	.0	.0	.0	.0	.13,572	.0	.0	.0	.0	.0	.0	.213	.08/15/2033	.A
.035242-AP-1	ANHEUSER-BUSCH INBEV FINANCE INC		.02/09/2022	Call @ 100.00		.642,324	.600,000	.587,418	.592,826	.0	.172	.0	.172	.0	.592,998	.0	.7,002	.7,002	.53,761	.02/01/2026	.B			
.14041N-FP-1	COMET 2017-5 A - ABS		.03/28/2022	BARCLAYS CAPITAL		.501,758	.500,000	.505,430	.506,163	.0	.158	.0	.158	.0	.506,320	.0	(4,562)	(4,562)	.1,096	.07/15/2027	.A			
.210717-AB-0	CMRS 2014 A2 - ABS		.02/01/2022	Adjustment		.0	.0	.0	.(87)	.0	.87	.0	.87	.0	.0	.0	.0	.0	.0	.1,895	.11/01/2025	.A		
.254683-BX-2	DCENT 2017-2 A - ABS		.01/15/2022	Various		.1,238,000	.1,238,000	.1,228,388	.1,237,893	.0	.107	.0	.107	.0	.1,238,000	.0	.0	.0	.0	.0	.2,466	.07/15/2024	.A	
.31435S-AA-1	FEDERAL EXPRESS CORPORATION 2020-1 PASS		.02/20/2022	Paydown		.26,736	.26,736	.26,736	.26,736	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.251	.08/20/2035	.D		
.316773-CZ-2	FIFTH THIRD BANCORP		.02/13/2022	Call @ 100.00		.400,000	.400,000	.401,600	.400,056	.0	(54)	.0	(54)	.0	.400,003	.0	(3)	(3)	.5,756	.03/15/2022	.A			
.34531F-AA-1	FORDR 2017-REV1 A - ABS		.02/15/2022	Paydown		.500,000	.500,000	.489,297	.499,609	.0	.391	.0	.391	.0	.500,000	.0	.0	.0	.0	.0	.2,183	.08/15/2028	.A	
.406216-BG-5	HALLIBURTON CO		.02/23/2022	Call @ 100.00		.319,573	.302,000	.302,362	.302,214	.0	(8)	.0	(8)	.0	.302,206	.0	(206)	(206)	.12,501	.11/15/2025	.A			
.58505S-BS-4	MEDTRONIC INC		.02/01/2022	Adjustment		.0	.0	.0	.45	.0	(45)	.0	(45)	.0	.0	.0	.0	.0	.0	.4,883	.03/15/2025	.G		
.65339K-BG-4	NEXTERA ENERGY CAPITAL HOLDINGS INC		.01/01/2022	Call @ 100.00		.2	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.2	.04/01/2024	.A			
.68784C-AE-2	OSCAR 181 A4 - ABS	D	.03/10/2022	Paydown		.166,733	.166,733	.172,621	.169,550	.0	(2,817)	.0	(2,817)	.0	.166,733	.0	.0	.0	.0	.0	.973	.05/12/2025	.A	
.75409K-AA-4	RATE 21HB1 A1 - CMO/RMBS		.03/01/2022	Paydown		.19,815	.19,815	.19,762	.19,763	.0	.52	.0	.52	.0	.19,815	.0	.0	.0	.0	.0	.157	.12/25/2051	.A	
0909999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						MERRILL LYNCH FIXED																		
.78448T-AG-7	SMBC AVIATION CAPITAL FINANCE DAC	C	.03/07/2022	INCOME		.710,670	.750,000	.748,148	.748,223	.0	.65	.0	.65	.0	.748,289	.0	(37,619)	(37,619)	.5,700	.10/15/2026	.G			
.81748R-AB-3	SEMT 2020-4 A2 - CMO/RMBS		.03/01/2022	Paydown		.57,137	.57,137	.57,521	.36	.0	(420)	.0	(420)	.0	.57,137	.0	.0	.0	.0	.0	.187	.11/25/2050	.A	
.857477-BL-6	STATE STREET CORP		.03/30/2022	Call @ 100.00		.240,000	.240,000	.240,000	.0	.0	.0	.0	.0	.0	.240,000	.0	.0	.0	.0	.0	.3,390	.03/30/2023	.F	
.87164D-QS-2	SYNOVUS BANK		.02/10/2022	Call @ 100.00		.500,000	.500,000	.501,475	.500,082	.0	(82)	.0	(82)	.0	.500,000	.0	.0	.0	.0	.0	.5,723	.02/10/2022	.B	
.91824N-AC-6	UIM 211 A3 - CMO/RMBS		.03/01/2022	Paydown		.39,941	.39,941	.40,028	.40,029	.0	(88)	.0	(88)	.0	.39,941	.0	.0	.0	.0	.0	.128	.08/25/2051	.A	
.91913Y-AY-6	VALERO ENERGY CORP		.02/15/2022	Call @ 100.00		.420,852	.415,000	.414,693	.414,793	.0	.7	.0	.7	.0	.414,801	.0	.199	.199	.7,440	.04/15/2025	.B			
.92347Y-AA-2	VZOT 2019-A A1A - RMBS		.03/20/2022	Paydown		.71,429	.71,429	.71,429	.71,428	.0	.1	.0	.1	.0	.71,429	.0	.0	.0	.0	.0	.331	.09/20/2023	.A	
.95002K-AE-3	WFMS 2020-1 A5 - CMO/RMBS		.03/01/2022	Paydown		.0	.0	.0	.0	.0	.0	.0	.0	.0	.39,891	.0	(39,891)	(39,891)	.0	.12/27/2049	.A			
.95003B-AA-0	WFMS 205 A1 - CMO/RMBS		.03/01/2022	Paydown		.85,933	.85,933	.86,578	.85,179	.0	(691)	.0	(691)	.0	.53,710	.0	.32,223	.32,223	.349	.09/26/2050	.A			
1109999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						5,954,474	5,926,296	5,907,043	5,750,750	0	(3,162)	0	(3,162)	0	5,931,580	0	(42,856)	(42,856)	.95,400	XXX	XXX			
.464287-17-6	iSHARES: TIP BD ETF		.02/10/2022	TD AMERITRADE		.0,000	.2,091,350	.0	.2,152,614	.1,315,256	(12,261)	.0	(12,261)	.0	.2,152,614	.0	.0	.0	.0	.0	.6,412	.1, Z		
.464288-62-0	iSHARES: BRD USD 1G CP BD		.03/08/2022	TD AMERITRADE		.0,000	.159,956	.0	.169,191	.167,929	.1,262	.0	.1,262	.0	.169,191	.0	(9,236)	(9,236)	.459	.2, A				
.464288-62-0	iSHARES: BRD USD 1G CP BD		.03/08/2022	TD AMERITRADE		.0,0																		

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value									
.92206C-77-1	VANGUARD MTG-BK IDX ETF		03/08/2022	TD AMERITRADE	0,000	179,290	0	186,485	185,363	1,123	0	0	1,123	0	186,485	0	(7,195)	(7,195)	.450	1.A			
.92206C-77-1	VANGUARD MTG-BK IDX ETF		03/08/2022	TD AMERITRADE	0,000	993,619	0	1,017,645	677,356	3,456	0	0	3,456	0	1,017,645	0	(24,026)	(24,026)	1,993	2. Z			
1619999999. Subtotal - Bonds - SVO Identified Funds						4,247,881	0	4,392,433	2,829,141	(928)	0	0	(928)	0	4,392,433	0	(144,551)	(144,551)	11,987	XXX	XXX		
2509999997. Total - Bonds - Part 4						13,418,611	9,143,529	13,538,564	11,778,388	(928)	11,012	0	10,084	0	13,542,038	0	(189,178)	(189,178)	305,495	XXX	XXX		
2509999998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
2509999999. Total - Bonds						13,418,611	9,143,529	13,538,564	11,778,388	(928)	11,012	0	10,084	0	13,542,038	0	(189,178)	(189,178)	305,495	XXX	XXX		
4509999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
4509999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
4509999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
.00724F-10-1	ADBE ORD		03/08/2022	Various	133,000	62,689	53,005	75,419	(22,414)	0	0	0	(22,414)	0	53,005	0	9,685	9,685	0				
.009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		01/18/2022	BRIDGE TRADING CO.	249,000	72,240	60,296	75,761	(15,465)	0	0	0	(15,465)	0	60,296	0	11,945	11,945	374				
.060505-10-4	BANK OF AMERICA CA ORD		02/04/2022	TD AMERITRADE	190,000	9,121	7,623	8,453	(830)	0	0	0	(830)	0	7,623	0	1,498	1,498	0				
.126650-10-0	CVS HEALTH ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(40)				
.166764-10-0	CHEVRON ORD		01/31/2022	EVERCORE GROUP LLC	233,000	30,633	27,517	27,343	175	0	0	0	175	0	27,517	0	3,116	3,116	0				
.17275R-10-2	CISCO SYSTEMS ORD		03/08/2022	TD AMERITRADE	1,289,000	70,508	75,343	63,877	(6,010)	0	0	0	(6,010)	0	75,343	0	(4,835)	(4,835)	373				
.20030N-10-1	COMCAST CL A ORD		03/08/2022	TD AMERITRADE	1,832,000	85,048	97,604	70,512	5,127	0	0	0	5,127	0	97,604	0	(12,556)	(12,556)	350				
.21037T-10-9	CONSTELLATION ENERGY ORD		02/17/2022	TENDER	0,667	31	26	0	0	0	0	0	0	0	0	0	0	0	0	0			
.235851-10-2	DANAHER ORD		03/08/2022	TD AMERITRADE	97,000	25,545	29,546	31,914	(2,368)	0	0	0	(2,368)	0	29,546	0	(4,001)	(4,001)	20				
.30161N-10-1	EXELON ORD		02/02/2022	EXCHANGE	740,000	26,293	26,293	42,742	(16,449)	0	0	0	(16,449)	0	26,293	0	0	0	0				
.30303M-10-2	META PLATFORMS CL A ORD		02/03/2022	Barclays Capital, Inc.	63,000	14,929	8,845	21,190	(12,345)	0	0	0	(12,345)	0	8,845	0	6,084	6,084	0				
.37045V-10-0	GENERAL MOTORS ORD		03/17/2022	Various	1,300,000	58,549	71,384	65,666	(2,451)	0	0	0	(2,451)	0	71,384	0	(12,835)	(12,835)	0				
.437076-10-2	HOME DEPOT ORD		03/08/2022	Various	143,000	46,114	42,109	53,536	(15,767)	0	0	0	(15,767)	0	42,109	0	4,004	4,004	0				
.461202-10-3	INTUIT ORD		02/22/2022	GOLDMAN SACHS & CO, NY	37,000	17,824	9,362	23,799	(14,437)	0	0	0	(14,437)	0	9,362	0	8,462	8,462	25				
.46625H-10-0	JPMORGAN CHASE ORD		02/04/2022	TD AMERITRADE	21,000	3,161	3,273	3,325	(52)	0	0	0	(52)	0	3,273	0	(112)	(112)	21				
.478160-10-4	JOHNSON & JOHNSON ORD		03/08/2022	TD AMERITRADE	406,000	67,417	67,144	52,347	(2,279)	0	0	0	(2,279)	0	67,144	0	274	274	333				
.482480-10-0	KLA ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(66)				
.53245T-10-8	ELI LILLY ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(56)				
.594918-10-4	MICROSOFT ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(15)				
.617446-44-8	MORGAN STANLEY ORD		03/01/2022	TD AMERITRADE	344,000	33,117	34,530	27,485	294	0	0	0	294	0	34,530	0	(1,413)	(1,413)	241				
.67066G-10-4	NVIDIA ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(7)				
.70450Y-10-3	PAYPAL HOLDINGS ORD		03/01/2022	Various	421,000	48,058	83,707	57,328	3,881	0	0	0	3,881	0	83,707	0	(35,649)	(35,649)	0				
.74586T-10-1	PULTEGROUP ORD		02/07/2022	Various	860,000	42,482	42,160	49,158	(6,997)	0	0	0	(6,997)	0	42,160	0	322	322	129				
.78409V-10-4	S&P GLOBAL ORD		02/01/2022	Adjustment	0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	(27)				
.828806-10-9	SIMON PROP GRP REIT ORD		03/18/2022	JP MORGAN SECURITIES LLC	278,000	36,316	35,771	31,315	(6,806)	0	0	0	(6,806)	0	35,771	0	546	546	459				
.83088M-10-2	SKYWORKS SOLUTIONS ORD		02/25/2022	JP MORGAN SECURITIES LLC	188,000	26,047	24,985	26,219	(3,832)	0	0	0	(3,832)	0	24,985	0	1,062	1,062	95				
.83304A-10-6	SNAP CL A ORD		03/08/2022	TD AMERITRADE	1,504,000	54,403	75,673	51,122	6,453	0	0	0	6,453	0	75,673	0	(21,269)	(21,269)	0				
.88160R-10-1	TESLA ORD		02/25/2022	MERRILL LYNCH, PIERCE, FENNER & SMITH/BAS	18,000	14,434	13,242	11,625	(3,814)	0	0	0	(3,814)	0	13,242	0	1,192	1,192	0				
.88250B-10-4	TEXAS INSTRUMENTS ORD		01/20/2022	BRIDGE TRADING CO.	111,000	19,730	10,627	20,920	(10,293)	0	0	0	(10,293)	0	10,627	0	9,103	9,103	0				
.88339J-10-5	TRADE DESK CL A ORD		03/30/2022	UBS SECURITIES LLC,	220,000	16,080	17,775	0	0	0	0	0	0	0	0	0	17,775	0	(1,695)	(1,695)	0		
.91324P-10-2	UNITEDHEALTH GRP ORD		03/08/2022	TD AMERITRADE	24,000	11,536	9,841	12,051	(2,210)	0	0	0	(2,210)	0	9,841	0	1,695	1,695	(39)				
.925815-10-2	VICOR ORD		02/04/2022	TD AMERITRADE	161,000	14,980	17,312	20,444	(3,132)	0	0	0	(3,132)	0	17,312	0	(2,332)	(2,332)	0				
.949746-10-1	WELLS FARGO ORD		03/08/2022	JP MORGAN SECURITIES LLC	266,000	14,353	12,208	12,763	(554)	0	0	0	(554)	0	12,208	0	2,144	2,144	67				
.G1151C-10-1	ACCENTURE CL A ORD	C.	03/17/2022	JP MORGAN SECURITIES LLC	111,000	35,377	40,528	46,015	(5,487)	0	0	0	(5,487)	0	40,528	0	(5,151)	(5,151)	108				
.G5960L-10-3	MEDTRONIC ORD	C.	02/02/2022	JP MORGAN SECURITIES LLC	201,000	20,717	17,594	20,793	(3,199)	0	0	0	(3,199)	0</td									

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifi- cation and SVO Admini- strative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Amor- tization/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
5019999999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					993,593	XXX	1,020,438	1,022,921	(155,946)	0	0	(155,946)	0	0	1,020,438	0	(26,845)	(26,845)	2,471	XXX	XXX
..00141A-58-6 INVESTO FLTG RT ESG Y			03/08/2022	TD AMERITRADE	674,662	4,813	4,926	4,918	8	0	0	8	0	0	4,926	0	(113)	(113)	30		
..02507H-60-1 AMER CENT:FOC DG I			03/08/2022	TD AMERITRADE	3,441,491	152,785	217,072	195,442	21,629	0	0	21,629	0	0	217,072	0	(64,286)	(64,286)	0		
..02508J-70-5 AMER CENT:SHT DUR I			03/08/2022	TD AMERITRADE	422,512	4,269	4,416	4,381	35	0	0	35	0	0	4,416	0	(148)	(148)	11		
..02508J-87-9 AMER CENT: ST INC I			03/01/2022	TD AMERITRADE	86,871,852	823,353	844,012	504,893	2,775	0	0	2,775	0	0	844,012	0	(20,659)	(20,659)	3,168		
..04314H-61-8 ARTISAN:DEV WORLD ADV			03/08/2022	TD AMERITRADE	1,289,543	20,979	31,878	17,982	3,972	0	0	3,972	0	0	31,878	0	(10,899)	(10,899)	0		
..05580W-84-1 BNY MELLON CORE PLUS I			03/08/2022	TD AMERITRADE	19,565,912	199,302	212,940	196,726	2,780	0	0	2,780	0	0	212,940	0	(13,638)	(13,638)	795		
..05580W-84-1 BNY MELLON CORE PLUS I			02/04/2022	TD AMERITRADE	18,430,342	191,836	200,900	198,126	2,774	0	0	2,774	0	0	200,900	0	(9,064)	(9,064)	396		
..09192B-86-1 BLACKROCK:MGRO I			03/01/2022	TD AMERITRADE	452,489	17,741	20,024	0	0	0	0	0	0	0	20,024	0	(2,283)	(2,283)	0		
..256210-10-5 DODGE & COX INCOME			03/08/2022	TD AMERITRADE	44,861,731	608,477	632,039	319,839	4,456	0	0	4,456	0	0	632,039	0	(23,562)	(23,562)	0		
..256210-10-5 DODGE & COX INCOME			02/04/2022	TD AMERITRADE	23,357,464	319,740	332,836	328,406	4,430	0	0	4,430	0	0	332,836	0	(13,096)	(13,096)	0		
..315807-86-7 FIDELITY ADV BAL INST			02/04/2022	TD AMERITRADE	1,796,194	50,000	48,663	52,646	(3,983)	0	0	(3,983)	0	0	48,663	0	1,337	1,337	0		
..464288-88-5 ISHARES:MSCI EAFE GRO			03/01/2022	TD AMERITRADE	0,000	0	0	0	279	0	0	(279)	0	0	0	0	0	0	0		
..72201F-49-0 PIMCO: INCOME INST			03/08/2022	TD AMERITRADE	32,952,648	370,728	394,574	323,101	1,449	0	0	1,449	0	0	394,574	0	(23,846)	(23,846)	2,850		
..72201F-49-0 PIMCO: INCOME INST			02/04/2022	TD AMERITRADE	27,746,902	324,892	333,891	331,298	2,593	0	0	2,593	0	0	333,891	0	(8,999)	(8,999)	1,348		
..92190B-84-4 VANGUARD DIV A I ETF			02/01/2022	TD AMERITRADE	0,000	0	0	0	556	0	0	(556)	0	0	0	0	0	0	0		
..921910-20-4 VANGUARD INTL GRO INV			02/15/2022	TD AMERITRADE	1,159,317	49,140	62,500	50,790	11,711	0	0	11,711	0	0	62,500	0	(13,360)	(13,360)	0		
..921910-20-4 VANGUARD INTL GRO INV			03/08/2022	TD AMERITRADE	292,224	9,584	15,790	12,802	2,988	0	0	2,988	0	0	15,790	0	(6,206)	(6,206)	0		
..921910-50-1 VANGUARD INTL GRO ADM			03/08/2022	TD AMERITRADE	259,450	27,969	41,807	44,315	3,311	0	0	3,311	0	0	41,807	0	(13,839)	(13,839)	0		
5329999999. Subtotal - Common Stocks - Mutual Funds - Designations Not Assigned by the SVO			3,175,607	XXX	3,398,268	2,556,503	60,091	0	0	60,091	0	0	3,398,268	0	(222,660)	(222,660)	8,598	XXX	XXX		
..02507Z-81-0 AMER CENT:FOCUSED DYN GR			03/08/2022	TD AMERITRADE	1,794,000	122,176	141,715	45,731	1,823	0	0	1,823	0	0	141,715	0	(19,539)	(19,539)	0		
..46138J-61-9 INVESTCO RUSSEL 1000 DM			03/08/2022	TD AMERITRADE	4,788,000	214,753	230,484	42,436	(2,134)	0	0	(2,134)	0	0	230,484	0	(15,732)	(15,732)	0		
..464287-23-4 ISHARES:MSCI EM MKTS			03/10/2022	TD AMERITRADE	209,000	9,039	10,160	0	0	0	0	0	0	0	10,160	0	(1,122)	(1,122)	0		
..464287-88-7 ISHARES:S&P 500 GRO			03/10/2022	TD AMERITRADE	1,064,000	130,151	140,274	47,185	(1,948)	0	0	(1,948)	0	0	140,274	0	(10,123)	(10,123)	0		
..464288-88-5 ISHARES:MSCI EAFE GRO			03/08/2022	TD AMERITRADE	1,205,000	111,296	125,182	38,464	(798)	0	0	(798)	0	0	125,182	0	(13,886)	(13,886)	44		
..464298-69-7 ISHARES:MSCI USA MVF			03/01/2022	TD AMERITRADE	89,000	6,522	6,979	0	0	0	0	0	0	0	6,979	0	(457)	(457)	0		
..46435G-10-2 ISHARES:CONVERTIBLE BOND			03/08/2022	TD AMERITRADE	208,000	16,538	19,679	18,549	1,129	0	0	1,129	0	0	19,679	0	(3,141)	(3,141)	25		
..81369Y-80-3 SEL SECTOR:TECH SPDR			03/10/2022	TD AMERITRADE	11,000	1,605	1,608	0	0	0	0	0	0	0	1,608	0	(2)	(2)	0		
..92190B-84-4 VANGUARD DIV A I ETF			02/01/2022	TD AMERITRADE	69,000	11,190	11,294	11,294	0	0	0	0	0	0	11,294	0	(104)	(104)	0		
..92190B-76-8 VANGUARD TOT I S ETF			03/10/2022	TD AMERITRADE	14,000	797	785	0	0	0	0	0	0	0	785	0	11	11	0		
..921943-85-8 VANGUARD DEV MKT ETF			03/10/2022	TD AMERITRADE	29,000	1,322	1,350	0	0	0	0	0	0	0	1,350	0	(27)	(27)	0		
5819999999. Subtotal - Common Stocks - Exchange Traded Funds			625,390	XXX	689,509	203,661	(1,928)	0	0	(1,928)	0	0	689,509	0	(64,119)	(64,119)	69	XXX	XXX		
5989999997. Total - Common Stocks - Part 4			4,794,590	XXX	5,108,215	3,783,084	(97,784)	0	0	(97,784)	0	0	5,108,215	0	(313,625)	(313,625)	11,138	XXX	XXX		
5989999998. Total - Common Stocks - Part 5			XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
5989999999. Total - Common Stocks			4,794,590	XXX	5,108,215	3,783,084	(97,784)	0	0	(97,784)	0	0	5,108,215	0	(313,625)	(313,625)	11,138	XXX	XXX		
5999999999. Total - Preferred and Common Stocks			4,794,590	XXX	5,108,215	3,783,084	(97,784)	0	0	(97,784)	0	0	5,108,215	0	(313,625)	(313,625)	11,138	XXX	XXX		
6009999999 - Totals			18,213,201	XXX	18,646,779	15,561,472	(98,713)	11,012	0	(87,700)	0	0	18,650,253	0	(502,803)	(502,803)	316,633	XXX	XXX		

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

## STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Fifth Third Bank	Cincinnati, OH	0.000			10,243,655	3,961,042	3,165,071	XXX
Fifth Third Bank	Cincinnati, OH				100,000	100,000	100,000	XXX
Fifth Third Bank	Cincinnati, OH				12,773,835	13,357,776	14,255,612	XXX
Fifth Third Bank	Cincinnati, OH				6,192,080	1,323,619	1,244,687	XXX
								XXX
								XXX
TD Ameritrade		0.000			155,529	1,323,249	9,846	XXX
0199998. Deposits in ...	0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories		0	(4,469)	208,749	210,774	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	29,460,630	20,274,435	18,985,990	XXX
0299998. Deposits in ...	0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories		0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	29,460,630	20,274,435	18,985,990	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
0599999. Total - Cash	XXX	XXX	0	0	29,460,630	20,274,435	18,985,990	XXX

STATEMENT AS OF MARCH 31, 2022 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0109999999. Total - U.S. Government Bonds						0	0	0
0309999999. Total - All Other Government Bonds						0	0	0
0509999999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
0709999999. Total - U.S. Political Subdivisions Bonds						0	0	0
0909999999. Total - U.S. Special Revenues Bonds						0	0	0
1109999999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
1309999999. Total - Hybrid Securities						0	0	0
1509999999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
1909999999. Subtotal - Unaffiliated Bank Loans						0	0	0
2419999999. Total - Issuer Obligations						0	0	0
2429999999. Total - Residential Mortgage-Backed Securities						0	0	0
2439999999. Total - Commercial Mortgage-Backed Securities						0	0	0
2449999999. Total - Other Loan-Backed and Structured Securities						0	0	0
2459999999. Total - SVO Identified Funds						0	0	0
2469999999. Total - Affiliated Bank Loans						0	0	0
2479999999. Total - Unaffiliated Bank Loans						0	0	0
2509999999. Total Bonds						0	0	0
31846V-41-9 .....	FIRST AMER:TRS OBG V	SD...	03/02/2022	.0 080		50,000	1	18
94975H-29-6 .....	ALLSPRING:TRS+ MM I	SD...	03/02/2022	.0 170		25,000	1	1
8209999999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						75,000	3	18
000000-00-0 .....	MMDA12 .....		03/31/2022	.0 013		9,777,480	0	205
60934N-80-7 .....	FEDERATED HIMS GV 0 SVC .....		03/31/2022	.0 010		3,448,640	.82	.5
60934N-80-7 .....	FEDERATED HIMS GV 0 SVC .....	SD...	03/31/2022	.0 010		1,625	0	0
990220-47-7 .....	RBS CITIZENS NA CASH SWEEP	SD...	03/01/2021	.0 000		0	0	0
991061-05-2 .....	CRA (BNY MELLON, N.A., MEMBER FDIC)	SD...	03/31/2022	.0 000		950,354	0	0
8309999999. Subtotal - All Other Money Market Mutual Funds						14,178,099	82	210
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8609999999 - Total Cash Equivalents						14,253,099	85	229