95202202120100100 2021 Document Code: 201

# ANNUAL STATEMENT

## For the Year Ending DECEMBER 31, 2021

OF THE CONDITION AND AFFAIRS OF THE

# SummaCare, Inc.

NAIC Group Code 3259 (Current Period), 3259 (Prior Period) NAIC Company Code 95202 Employer's ID Number 34-1726655

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health[ ] Property/Casualty[ ] Hospital, Medical & Dental Service or Indemnity[ ]
Dental Service Corporation[ ] Vision Service Corporation[ ] Health Maintenance Organization[X]
Other[ ] Is HMO Federally Qualified? Yes[ ] No[ ] N/A[X]

Incorporated/Organized 10/23/1992 Commenced Business 03/01/1993

Statutory Home Office 1200 East Market St. Suite 400 (Street and Number), Akron, OH, 44305 (City or Town, State, Country and Zip Code)

Main Administrative Office 1200 East Market St. Suite 400 (Street and Number)
Akron, OH, 44305 (City or Town, State, Country and Zip Code) (330)996-8410 (Area Code) (Telephone Number)

Mail Address P.O. Box 3620 (Street and Number or P.O. Box), Akron, OH, 44309-3620 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1200 East Market St. Suite 400 (Street and Number)
Akron, OH, 44305 (City or Town, State, Country and Zip Code) (330)996-8410 (Area Code) (Telephone Number)

Internet Website Address SummmaCare.com

Statutory Statement Contact Michael Dennis Weals (Name) (330)996-5112 (Area Code)(Telephone Number)(Extension)
wealsm@summacare.com (E-Mail Address) (Fax Number)

## OFFICERS

| Name | Title |
|---|---|
| Henry Leigh Gerstenberger | Chair |
| Robert Andrew Gerberry | Secretary |
| Keith Thomas Coleman | Treasurer |
| William Carl Epling | President |
| Alan Philip Fehlner | Assistant Treasurer |

## OTHERS

Charles Zonfa M.D., Chief Medical Officer
Anne Armao, VP - Member Experience and Product Development
Stephen Adamson, VP, Chief Operations Officer
Susan Crawford, VP - Sales #
Alan Fehlner, Chief Financial Officer

## DIRECTORS OR TRUSTEES

Lydia Alexander Cook M.D.
Rajiv Vishnu Taliwal M.D.
Henry Leigh Gerstenberger
Caroline Fisher Pearson
George Emerson Strickler #
William Carl Epling
Frank Anthony Carrino
Benjamin Paul Sutton
Russell Floyd Mohawk
Thomas Clifford Deveny M.D.
Mark Joseph Sims

State of Ohio
County of Summit ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| (Signature) | (Signature) | (Signature) |
|---|---|---|
| Alan Philip Fehlner | William Carl Epling | |
| (Printed Name) | (Printed Name) | (Printed Name) |
| 1. | 2. | 3. |
| Chief Financial Officer | President | |
| (Title) | (Title) | (Title) |

Subscribed and sworn to before me this
1st day of March, 2022

a. Is this an original filing? Yes[X] No[ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

(Notary Public Signature)

# SUMMARY INVESTMENT SCHEDULE

| | Gross Investment Holdings | | Admitted Assets as Reported in the Annual Statement | | | |
|---|---|---|---|---|---|---|
| | 1 | 2 | 3 | 4 | 5 | 6 |
| Investment Categories | Amount | Percentage of Column 1 Line 13 | Amount | Securities Lending Reinvested Collateral Amount | Total (Col. 3 + 4) Amount | Percentage of Column 5 Line 13 |
| 1. Long-Term Bonds (Schedule D Part 1): | | | | | | |
| 1.01 U.S. governments | 52,368,491 | 56.416 | 52,368,491 | | 52,368,491 | 56.416 |
| 1.02 All other governments | | | | | | |
| 1.03 U.S. states, territories and possessions, etc. guaranteed | | | | | | |
| 1.04 U.S. political subdivisions of states, territories and possessions, guaranteed | | | | | | |
| 1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed | | | | | | |
| 1.06 Industrial and miscellaneous | | | | | | |
| 1.07 Hybrid securities | | | | | | |
| 1.08 Parent, subsidiaries and affiliates | | | | | | |
| 1.09 SVO identified funds | | | | | | |
| 1.10 Unaffiliated bank loans | | | | | | |
| 1.11 Total long-term bonds | 52,368,491 | 56.416 | 52,368,491 | | 52,368,491 | 56.416 |
| 2. Preferred stocks (Schedule D, Part 2, Section 1): | | | | | | |
| 2.01 Industrial and miscellaneous (Unaffiliated) | | | | | | |
| 2.02 Parent, subsidiaries and affiliates | | | | | | |
| 2.03 Total preferred stocks | | | | | | |
| 3. Common stocks (Schedule D, Part 2, Section 2): | | | | | | |
| 3.01 Industrial and miscellaneous Publicly traded (Unaffiliated) | | | | | | |
| 3.02 Industrial and miscellaneous Other (Unaffiliated) | | | | | | |
| 3.03 Parent, subsidiaries and affiliates Publicly traded | | | | | | |
| 3.04 Parent, subsidiaries and affiliates Other | 37,060,291 | 39.925 | 37,060,292 | | 37,060,292 | 39.925 |
| 3.05 Mutual Funds | | | | | | |
| 3.06 Unit investment trusts | | | | | | |
| 3.07 Closed-end funds | | | | | | |
| 3.08 Total common stocks | 37,060,291 | 39.925 | 37,060,292 | | 37,060,292 | 39.925 |
| 4. Mortgage loans (Schedule B): | | | | | | |
| 4.01 Farm mortgages | | | | | | |
| 4.02 Residential mortgages | | | | | | |
| 4.03 Commercial mortgages | | | | | | |
| 4.04 Mezzanine real estate loans | | | | | | |
| 4.05 Total valuation allowance | | | | | | |
| 4.06 Total mortgages loans | | | | | | |
| 5. Real estate (Schedule A): | | | | | | |
| 5.01 Properties occupied by company | | | | | | |
| 5.02 Properties held for production of income | | | | | | |
| 5.03 Properties held for sale | | | | | | |
| 5.04 Total real estate | | | | | | |
| 6. Cash, cash equivalents and short-term investments: | | | | | | |
| 6.01 Cash (Schedule E, Part 1) | 1,771,259 | 1.908 | 1,771,259 | | 1,771,259 | 1.908 |
| 6.02 Cash equivalents (Schedule E, Part 2) | | | | | | |
| 6.03 Short-term investments (Schedule DA) | 124,056 | 0.134 | 124,056 | | 124,056 | 0.134 |
| 6.04 Total Cash, cash equivalents and short-term investments | 1,895,315 | 2.042 | 1,895,315 | | 1,895,315 | 2.042 |
| 7. Contract loans | | | | | | |
| 8. Derivatives (Schedule DB) | | | | | | |
| 9. Other invested assets (Schedule BA) | 1,501,045 | 1.617 | 1,501,045 | | 1,501,045 | 1.617 |
| 10. Receivables for securities | | | | | | |
| 11. Securities Lending (Schedule DL, Part 1) | | | | XXX | XXX | XXX |
| 12. Other invested assets (Page 2, Line 11) | 1 | 0.000 | 1 | | 1 | 0.000 |
| 13. Total invested assets | 92,825,143 | 100.000 | 92,825,144 | | 92,825,144 | 100.000 |

# SCHEDULE A - VERIFICATION BETWEEN YEARS

## Real Estate

| | | | |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition (Part 2, Column 6) | | |
| | 2.2 Additional investment made after acquisition (Part 2, Column 9) | | |
| 3. | Current year change in encumbrances: | | |
| | 3.1 TOTALS, Part 1, Column 13 | | |
| | 3.2 TOTALS, Part 3, Column 11 | | |
| 4. | TOTAL gain (loss) on disposals, Part 3, Column 18 | | |
| 5. | Deduct amounts received on disposals, Part 3, Column 15 | | |
| 6. | TOTAL foreign exchange change in book/adjusted | | |
| | 6.1 TOTALS, Part 1, Column 15 | | |
| | 6.2 TOTALS, Part 3, Column 13 | | |
| 7. | Deduct current year's other-than-temporary impairment recognized: | | |
| | 7.1 TOTALS, Part 1, Column 12 | | |
| | 7.2 TOTALS, Part 3, Column 10 | | |
| 8. | Deduct current year's depreciation: | | |
| | 8.1 TOTALS, Part 1, Column 11 | | |
| | 8.2 TOTALS, Part 3, Column 9 | | |
| 9. | Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) | | |
| 10. | Deduct total nonadmitted amounts | | |
| 11. | Statement value at end of current period (Lines 9 minus 10) | | |

**NONE**

# SCHEDULE B - VERIFICATION BETWEEN YEARS

## Mortgage Loans

| | | | |
|---|---|---|---|
| 1. | Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition (Part 2, Column 7) | | |
| | 2.2 Additional investment made after acquisition (Part 2, Column 8) | | |
| 3. | Capitalized deferred interest and other: | | |
| | 3.1 TOTALS, Part 1, Column 12 | | |
| | 3.2 TOTALS, Part 3, Column 11 | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease): | | |
| | 5.1 TOTALS, Part 1, Column 9 | | |
| | 5.2 TOTALS, Part 3, Column 8 | | |
| 6. | TOTAL gain (loss) on disposals, Part 3, Column 18 | | |
| 7. | Deduct amounts received on disposals, Part 3, Col | | |
| 8. | Deduct amortization of premium and mortgage inte | | |
| 9. | TOTAL foreign exchange change in book value/rec d interest | | |
| | 9.1 TOTALS, Part 1, Column 13 | | |
| | 9.2 TOTALS, Part 3, Column 13 | | |
| 10. | Deduct current year's other-than-temporary impairment recognized: | | |
| | 10.1 TOTALS, Part 1, Column 11 | | |
| | 10.2 TOTALS, Part 3, Column 10 | | |
| 11. | Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) | | |
| 12. | TOTAL valuation allowance | | |
| 13. | Subtotal (Lines 11 plus 12) | | |
| 14. | Deduct total nonadmitted amounts | | |
| 15. | Statement value of mortgages owned at end of current period (Line 13 minus Line 14) | | |

**NONE**

# SCHEDULE BA - VERIFICATION BETWEEN YEARS

## Other Long-Term Invested Assets

| | | | |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | | 1,501,029 |
| 2. | Cost of acquired: | | |
| | 2.1 Actual cost at time of acquisition (Part 2, Column 8) | | |
| | 2.2 Additional investment made after acquisition (Part 2, Column 9) | | |
| 3. | Capitalized deferred interest and other: | | |
| | 3.1 TOTALS, Part 1, Column 16 | | |
| | 3.2 TOTALS, Part 3, Column 12 | | |
| 4. | Accrual of discount | | |
| 5. | Unrealized valuation increase (decrease): | | |
| | 5.1 TOTALS, Part 1, Column 13 | 16 | |
| | 5.2 TOTALS, Part 3, Column 9 | | 16 |
| 6. | TOTAL gain (loss) on disposals, Part 3, Column 19 | | |
| 7. | Deduct amounts received on disposals, Part 3, Column 16 | | |
| 8. | Deduct amortization of premium and depreciation | | |
| 9. | TOTAL foreign exchange change in book/adjusted carrying value: | | |
| | 9.1 TOTALS, Part 1, Column 17 | | |
| | 9.2 TOTALS, Part 3, Column 14 | | |
| 10. | Deduct current year's other-than-temporary impairment recognized: | | |
| | 10.1 TOTALS, Part 1, Column 15 | | |
| | 10.2 TOTALS, Part 3, Column 11 | | |
| 11. | Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) | | 1,501,045 |
| 12. | Deduct total nonadmitted amounts | | |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | | 1,501,045 |

# SCHEDULE D - VERIFICATION BETWEEN YEARS

## Bonds and Stocks

| | | | |
|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | | 78,504,261 |
| 2. | Cost of bonds and stocks acquired, Part 3, Column 7 | | 8,692,830 |
| 3. | Accrual of Discount | | 19,055 |
| 4. | Unrealized valuation increase (decrease): | | |
| | 4.1 Part 1, Column 12 | | |
| | 4.2 Part 2, Section 1, Column 15 | | |
| | 4.3 Part 2, Section 2, Column 13 | 10,327,760 | |
| | 4.4 Part 4, Column 11 | | 10,327,760 |
| 5. | TOTAL gain (loss) on disposals, Part 4, Column 19 | | |
| 6. | Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 | | 8,000,000 |
| 7. | Deduct amortization of premium | | 115,124 |
| 8. | TOTAL foreign exchange change in book/adjusted carrying value: | | |
| | 8.1 Part 1, Column 15 | | |
| | 8.2 Part 2, Section 1, Column 19 | | |
| | 8.3 Part 2, Section 2, Column 16 | | |
| | 8.4 Part 4, Column 15 | | |
| 9. | Deduct current year's other-than-temporary impairment recognized: | | |
| | 9.1 Part 1, Column 14 | | |
| | 9.2 Part 2, Section 1, Column 17 | | |
| | 9.3 Part 2, Section 2, Column 14 | | |
| | 9.4 Part 4, Column 13 | | |
| 10. | Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Notes 5Q, Line 2 | | |
| 11. | Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) | | 89,428,782 |
| 12. | Deduct total nonadmitted amounts | | |
| 13. | Statement value at end of current period (Line 11 minus Line 12) | | 89,428,782 |

# SCHEDULE D - SUMMARY BY COUNTRY

## Long-Term Bonds and Stocks OWNED December 31 of Current Year

| Description | | | 1<br>Book/Adjusted Carrying Value | 2<br>Fair Value | 3<br>Actual Cost | 4<br>Par Value of Bonds |
|---|---|---|---|---|---|---|
| BONDS | 1. | United States | 52,368,491 | 51,838,066 | 52,524,025 | 51,985,000 |
| Governments | 2. | Canada | | | | |
| (Including all obligations guaranteed | 3. | Other Countries | | | | |
| by governments) | 4. | TOTALS | 52,368,491 | 51,838,066 | 52,524,025 | 51,985,000 |
| U.S. States, Territories and Possessions (Direct and guaranteed) | 5. | TOTALS | | | | |
| U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed) | 6. | TOTALS | | | | |
| U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions | 7. | TOTALS | | | | |
| Industrial and Miscellaneous, | 8. | United States | | | | |
| SVO Identified Funds, Unaffiliated Bank Loans and | 9. | Canada | | | | |
| Hybrid Securities (unaffiliated) | 10. | Other Countries | | | | |
| | 11. | TOTALS | | | | |
| Parent, Subsidiaries and Affiliates | 12. | TOTALS | | | | |
| | 13. | TOTAL Bonds | 52,368,491 | 51,838,066 | 52,524,025 | 51,985,000 |
| PREFERRED STOCKS | 14. | United States | | | | |
| Industrial and Miscellaneous (unaffiliated) | 15. | Canada | | | | |
| | 16. | Other Countries | | | | |
| | 17. | TOTALS | | | | |
| Parent, Subsidiaries and Affiliates | 18. | TOTALS | | | | |
| | 19. | TOTAL Preferred Stocks | | | | |
| COMMON STOCKS | 20. | United States | | | | |
| Industrial and Miscellaneous (unaffiliated) | 21. | Canada | | | | |
| | 22. | Other Countries | | | | |
| | 23. | TOTALS | | | | |
| Parent, Subsidiaries and Affiliates | 24. | TOTALS | 37,060,291 | 37,060,291 | 50,000,000 | |
| | 25. | TOTAL Common Stocks | 37,060,291 | 37,060,291 | 50,000,000 | |
| | 26. | TOTAL Stocks | 37,060,291 | 37,060,291 | 50,000,000 | |
| | 27. | TOTAL Bonds and Stocks | 89,428,782 | 88,898,357 | 102,524,025 | |

# SCHEDULE D - PART 1A - SECTION 1

### Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

| NAIC Designation | 1<br>1 Year or Less | 2<br>Over 1 Year Through 5 Years | 3<br>Over 5 Years Through 10 Years | 4<br>Over 10 Years Through 20 Years | 5<br>Over 20 Years | 6<br>No Maturity Date | 7<br>Total Current Year | 8<br>Column 7 as a % of Line 11.7 | 9<br>Total From Column 7 Prior Year | 10<br>% From Column 8 Prior Year | 11<br>Total Publicly Traded | 12<br>Total Privately Placed (a) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. U.S. Governments | | | | | | | | | | | | |
| 1.1 NAIC 1 | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | |
| 1.2 NAIC 2 | | | | | | XXX | | | | | | |
| 1.3 NAIC 3 | | | | | | XXX | | | | | | |
| 1.4 NAIC 4 | | | | | | XXX | | | | | | |
| 1.5 NAIC 5 | | | | | | XXX | | | | | | |
| 1.6 NAIC 6 | | | | | | XXX | | | | | | |
| 1.7 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | |
| 2. All Other Governments | | | | | | | | | | | | |
| 2.1 NAIC 1 | | | | | | XXX | | | | | | |
| 2.2 NAIC 2 | | | | | | XXX | | | | | | |
| 2.3 NAIC 3 | | | | | | XXX | | | | | | |
| 2.4 NAIC 4 | | | | | | XXX | | | | | | |
| 2.5 NAIC 5 | | | | | | XXX | | | | | | |
| 2.6 NAIC 6 | | | | | | XXX | | | | | | |
| 2.7 TOTALS | | | | | | XXX | | | | | | |
| 3. U.S. States, Territories and Possessions, etc., Guaranteed | | | | | | | | | | | | |
| 3.1 NAIC 1 | | | | | | XXX | | | | | | |
| 3.2 NAIC 2 | | | | | | XXX | | | | | | |
| 3.3 NAIC 3 | | | | | | XXX | | | | | | |
| 3.4 NAIC 4 | | | | | | XXX | | | | | | |
| 3.5 NAIC 5 | | | | | | XXX | | | | | | |
| 3.6 NAIC 6 | | | | | | XXX | | | | | | |
| 3.7 TOTALS | | | | | | XXX | | | | | | |
| 4. U.S. Political Subdivisions of States, Territories & Possessions, Guaranteed | | | | | | | | | | | | |
| 4.1 NAIC 1 | | | | | | XXX | | | | | | |
| 4.2 NAIC 2 | | | | | | XXX | | | | | | |
| 4.3 NAIC 3 | | | | | | XXX | | | | | | |
| 4.4 NAIC 4 | | | | | | XXX | | | | | | |
| 4.5 NAIC 5 | | | | | | XXX | | | | | | |
| 4.6 NAIC 6 | | | | | | XXX | | | | | | |
| 4.7 TOTALS | | | | | | XXX | | | | | | |
| 5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed | | | | | | | | | | | | |
| 5.1 NAIC 1 | | | | | | XXX | | | | | | |
| 5.2 NAIC 2 | | | | | | XXX | | | | | | |
| 5.3 NAIC 3 | | | | | | XXX | | | | | | |
| 5.4 NAIC 4 | | | | | | XXX | | | | | | |
| 5.5 NAIC 5 | | | | | | XXX | | | | | | |
| 5.6 NAIC 6 | | | | | | XXX | | | | | | |
| 5.7 TOTALS | | | | | | XXX | | | | | | |

# SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

| NAIC Designation | 1<br>1 Year or Less | 2<br>Over 1 Year Through 5 Years | 3<br>Over 5 Years Through 10 Years | 4<br>Over 10 Years Through 20 Years | 5<br>Over 20 Years | 6<br>No Maturity Date | 7<br>Total Current Year | 8<br>Column 7 as a % of Line 11.7 | 9<br>Total From Column 7 Prior Year | 10<br>% From Column 8 Prior Year | 11<br>Total Publicly Traded | 12<br>Total Privately Placed (a) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 6. Industrial and Miscellaneous (unaffiliated) | | | | | | | | | | | | |
| 6.1 NAIC 1 | | | | | | XXX | | | | | | |
| 6.2 NAIC 2 | | | | | | XXX | | | | | | |
| 6.3 NAIC 3 | | | | | | XXX | | | | | | |
| 6.4 NAIC 4 | | | | | | XXX | | | | | | |
| 6.5 NAIC 5 | | | | | | XXX | | | | | | |
| 6.6 NAIC 6 | | | | | | XXX | | | | | | |
| 6.7 TOTALS | | | | | | XXX | | | | | | |
| 7. Hybrid Securities | | | | | | | | | | | | |
| 7.1 NAIC 1 | | | | | | XXX | | | | | | |
| 7.2 NAIC 2 | | | | | | XXX | | | | | | |
| 7.3 NAIC 3 | | | | | | XXX | | | | | | |
| 7.4 NAIC 4 | | | | | | XXX | | | | | | |
| 7.5 NAIC 5 | | | | | | XXX | | | | | | |
| 7.6 NAIC 6 | | | | | | XXX | | | | | | |
| 7.7 TOTALS | | | | | | XXX | | | | | | |
| 8. Parent, Subsidiaries and Affiliates | | | | | | | | | | | | |
| 8.1 NAIC 1 | | | | | | XXX | | | | | | |
| 8.2 NAIC 2 | | | | | | XXX | | | | | | |
| 8.3 NAIC 3 | | | | | | XXX | | | | | | |
| 8.4 NAIC 4 | | | | | | XXX | | | | | | |
| 8.5 NAIC 5 | | | | | | XXX | | | | | | |
| 8.6 NAIC 6 | | | | | | XXX | | | | | | |
| 8.7 TOTALS | | | | | | XXX | | | | | | |
| 9. SVO Identified Funds | | | | | | | | | | | | |
| 9.1 NAIC 1 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.2 NAIC 2 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.3 NAIC 3 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.4 NAIC 4 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.5 NAIC 5 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.6 NAIC 6 | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 9.7 TOTALS | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 10. Unaffiliated Bank Loans | | | | | | | | | | | | |
| 10.1 NAIC 1 | | | | | | XXX | | | | | | |
| 10.2 NAIC 2 | | | | | | XXX | | | | | | |
| 10.3 NAIC 3 | | | | | | XXX | | | | | | |
| 10.4 NAIC 4 | | | | | | XXX | | | | | | |
| 10.5 NAIC 5 | | | | | | XXX | | | | | | |
| 10.6 NAIC 6 | | | | | | XXX | | | | | | |
| 10.7 TOTALS | | | | | | XXX | | | | | | |

# SCHEDULE D - PART 1A - SECTION 1 (Continued)

**Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values By Major Types of Issues and NAIC Designations**

| NAIC Designation | 1<br>1 Year or Less | 2<br>Over 1 Year Through 5 Years | 3<br>Over 5 Years Through 10 Years | 4<br>Over 10 Years Through 20 Years | 5<br>Over 20 Years | 6<br>No Maturity Date | 7<br>Total Current Year | 8<br>Column 7 as a % of Line 11.7 | 9<br>Total From Column 7 Prior Year | 10<br>% From Column 8 Prior Year | 11<br>Total Publicly Traded | 12<br>Total Privately Placed (a) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 11. Total Bonds Current Year | | | | | | | | | | | | |
| 11.1 NAIC 1 | (d) 8,975,649 | 26,205,320 | 17,311,578 | | | | 52,492,547 | 100.00 | XXX | XXX | 52,492,547 | |
| 11.2 NAIC 2 | (d) | | | | | | | | XXX | XXX | | |
| 11.3 NAIC 3 | (d) | | | | | | | | XXX | XXX | | |
| 11.4 NAIC 4 | (d) | | | | | | | | XXX | XXX | | |
| 11.5 NAIC 5 | (d) | | | | | | (c) | | XXX | XXX | | |
| 11.6 NAIC 6 | (d) | | | | | | (c) | | XXX | XXX | | |
| 11.7 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | | (b) 52,492,547 | 100.00 | XXX | XXX | 52,492,547 | |
| 11.8 Line 11.7 as a % of Column 7 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | |
| 12. Total Bonds Prior Year | | | | | | | | | | | | |
| 12.1 NAIC 1 | 8,107,135 | 26,262,975 | 17,502,262 | | | | XXX | XXX | 51,872,372 | 100.00 | 51,872,372 | |
| 12.2 NAIC 2 | | | | | | | XXX | XXX | | | | |
| 12.3 NAIC 3 | | | | | | | XXX | XXX | | | | |
| 12.4 NAIC 4 | | | | | | | XXX | XXX | | | | |
| 12.5 NAIC 5 | | | | | | | XXX | XXX | (c) | | | |
| 12.6 NAIC 6 | | | | | | | XXX | XXX | (c) | | | |
| 12.7 TOTALS | 8,107,135 | 26,262,975 | 17,502,262 | | | | XXX | XXX | (b) 51,872,372 | 100.00 | 51,872,372 | |
| 12.8 Line 12.7 as a % of Col. 9 | 15.63 | 50.63 | 33.74 | | | | XXX | XXX | 100.00 | XXX | 100.00 | |
| 13. Total Publicly Traded Bonds | | | | | | | | | | | | |
| 13.1 NAIC 1 | 8,975,649 | 26,205,320 | 17,311,578 | | | | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | XXX |
| 13.2 NAIC 2 | | | | | | | | | | | | XXX |
| 13.3 NAIC 3 | | | | | | | | | | | | XXX |
| 13.4 NAIC 4 | | | | | | | | | | | | XXX |
| 13.5 NAIC 5 | | | | | | | | | | | | XXX |
| 13.6 NAIC 6 | | | | | | | | | | | | XXX |
| 13.7 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | XXX |
| 13.8 Line 13.7 as a % of Col. 7 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | XXX |
| 13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | XXX |
| 14. Total Privately Placed Bonds | | | | | | | | | | | | |
| 14.1 NAIC 1 | | | | | | | | | | | XXX | |
| 14.2 NAIC 2 | | | | | | | | | | | XXX | |
| 14.3 NAIC 3 | | | | | | | | | | | XXX | |
| 14.4 NAIC 4 | | | | | | | | | | | XXX | |
| 14.5 NAIC 5 | | | | | | | | | | | XXX | |
| 14.6 NAIC 6 | | | | | | | | | | | XXX | |
| 14.7 TOTALS | | | | | | | | | | | XXX | |
| 14.8 Line 14.7 as a % of Col. 7 | | | | | | | | XXX | XXX | XXX | XXX | |
| 14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11 | | | | | | | | XXX | XXX | XXX | XXX | |

(a) Includes $...............0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
(b) Includes $...............0 current year of bonds with Z designations, $...............0 prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class is under regulatory review.
(c) Includes $...............0 current year, $...............0 prior year of bonds with 5GI designations and $...............0 current year, $...............0 prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
(d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 $.........124,056; NAIC 2 $...............0; NAIC 3 $...............0; NAIC 4 $...............0; NAIC 5 $...............0; NAIC 6 $...............0.

# SCHEDULE D - PART 1A - SECTION 2

**Maturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carrying Values by Major Type and Subtype of Issues**

| Distribution by Type | 1<br>1 Year or Less | 2<br>Over 1 Year Through 5 Years | 3<br>Over 5 Years Through 10 Years | 4<br>Over 10 Years Through 20 Years | 5<br>Over 20 Years | 6<br>No Maturity Date | 7<br>Total Current Year | 8<br>Column 7 as a % of Line 11.08 | 9<br>Total From Column 7 Prior Year | 10<br>% From Column 8 Prior Year | 11<br>Total Publicly Traded | 12<br>Total Privately Placed |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. U.S. Governments | | | | | | | | | | | | |
| 1.01 Issuer Obligations | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | |
| 1.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 1.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 1.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 1.05 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | |
| 2. All Other Governments | | | | | | | | | | | | |
| 2.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 2.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 2.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 2.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 2.05 TOTALS | | | | | | XXX | | | | | | |
| 3. U.S. States, Territories and Possessions, Guaranteed | | | | | | | | | | | | |
| 3.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 3.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 3.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 3.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 3.05 TOTALS | | | | | | XXX | | | | | | |
| 4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed | | | | | | | | | | | | |
| 4.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 4.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 4.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 4.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 4.05 TOTALS | | | | | | XXX | | | | | | |
| 5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed | | | | | | | | | | | | |
| 5.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 5.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 5.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 5.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 5.05 TOTALS | | | | | | XXX | | | | | | |
| 6. Industrial and Miscellaneous | | | | | | | | | | | | |
| 6.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 6.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 6.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 6.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 6.05 TOTALS | | | | | | XXX | | | | | | |
| 7. Hybrid Securities | | | | | | | | | | | | |
| 7.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 7.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 7.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 7.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 7.05 TOTALS | | | | | | XXX | | | | | | |
| 8. Parent, Subsidiaries and Affiliates | | | | | | | | | | | | |
| 8.01 Issuer Obligations | | | | | | XXX | | | | | | |
| 8.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 8.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | |
| 8.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | |
| 8.05 Affiliated Bank Loans - Issued | | | | | | XXX | | | | | | |
| 8.06 Affiliated Bank Loans - Acquired | | | | | | XXX | | | | | | |
| 8.07 TOTALS | | | | | | XXX | | | | | | |

# SCHEDULE D - PART 1A - SECTION 2 (Continued)

## Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

| Distribution by Type | 1<br>1 Year or Less | 2<br>Over 1 Year Through 5 Years | 3<br>Over 5 Years Through 10 Years | 4<br>Over 10 Years Through 20 Years | 5<br>Over 20 Years | 6<br>No Maturity Date | 7<br>Total Current Year | 8<br>Column 7 as a % of Line 11.08 | 9<br>Total From Column 7 Prior Year | 10<br>% From Column 8 Prior Year | 11<br>Total Publicly Traded | 12<br>Total Privately Placed |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 9. SVO Identified Funds | | | | | | | | | | | | |
| 9.01 Exchange Traded Funds - as Identified by the SVO | XXX | XXX | XXX | XXX | XXX | | | | | | | |
| 10. Unaffiliated Bank Loans | | | | | | | | | | | | |
| 10.01 Unaffiliated Bank Loans - Issued | | | | | | XXX | | | | | | |
| 10.02 Unaffiliated Bank Loans - Acquired | | | | | | XXX | | | | | | |
| 10.03 TOTALS | | | | | | XXX | | | | | | |
| 11. Total Bonds Current Year | | | | | | | | | | | | |
| 11.01 Issuer Obligations | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | XXX | XXX | 52,492,547 | |
| 11.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | XXX | XXX | | |
| 11.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | XXX | XXX | | |
| 11.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | XXX | XXX | | |
| 11.05 SVO Identified Funds | XXX | XXX | XXX | XXX | XXX | | | | XXX | XXX | | |
| 11.06 Affiliated Bank Loans | | | | | | XXX | | | XXX | XXX | | |
| 11.07 Unaffiliated Bank Loans | | | | | | XXX | | | XXX | XXX | | |
| 11.08 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | | 52,492,547 | 100.00 | XXX | XXX | 52,492,547 | |
| 11.09 Line 11.08 as a % of Col. 7 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | |
| 12. Total Bonds Prior Year | | | | | | | | | | | | |
| 12.01 Issuer Obligations | 8,107,135 | 26,262,975 | 17,502,262 | | | XXX | XXX | XXX | 51,872,372 | 100.00 | 51,872,372 | |
| 12.02 Residential Mortgage-Backed Securities | | | | | | XXX | XXX | XXX | | | | |
| 12.03 Commercial Mortgage-Backed Securities | | | | | | XXX | XXX | XXX | | | | |
| 12.04 Other Loan-Backed and Structured Securities | | | | | | XXX | XXX | XXX | | | | |
| 12.05 SVO Identified Funds | XXX | XXX | XXX | XXX | XXX | | XXX | XXX | | | | |
| 12.06 Affiliated Bank Loans | | | | | | XXX | XXX | XXX | | | | |
| 12.07 Unaffiliated Bank Loans | | | | | | XXX | XXX | XXX | | | | |
| 12.08 TOTALS | 8,107,135 | 26,262,975 | 17,502,262 | | | | XXX | XXX | 51,872,372 | 100.00 | 51,872,372 | |
| 12.09 Line 12.08 as a % of Col. 9 | 15.63 | 50.63 | 33.74 | | | | XXX | XXX | 100.00 | XXX | 100.00 | |
| 13. Total Publicly Traded Bonds | | | | | | | | | | | | |
| 13.01 Issuer Obligations | 8,975,649 | 26,205,320 | 17,311,578 | | | XXX | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | XXX |
| 13.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | | XXX |
| 13.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | | XXX |
| 13.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | | XXX |
| 13.05 SVO Identified Funds | XXX | XXX | XXX | XXX | XXX | | | | | | | XXX |
| 13.06 Affiliated Bank Loans | | | | | | XXX | | | | | | XXX |
| 13.07 Unaffiliated Bank Loans | | | | | | XXX | | | | | | XXX |
| 13.08 TOTALS | 8,975,649 | 26,205,320 | 17,311,578 | | | | 52,492,547 | 100.00 | 51,872,372 | 100.00 | 52,492,547 | XXX |
| 13.09 Line 13.08 as a % of Col. 7 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | XXX |
| 13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11 | 17.10 | 49.92 | 32.98 | | | | 100.00 | XXX | XXX | XXX | 100.00 | XXX |
| 14. Total Privately Placed Bonds | | | | | | | | | | | | |
| 14.01 Issuer Obligations | | | | | | XXX | | | | | XXX | |
| 14.02 Residential Mortgage-Backed Securities | | | | | | XXX | | | | | XXX | |
| 14.03 Commercial Mortgage-Backed Securities | | | | | | XXX | | | | | XXX | |
| 14.04 Other Loan-Backed and Structured Securities | | | | | | XXX | | | | | XXX | |
| 14.05 SVO Identified Funds | XXX | XXX | XXX | XXX | XXX | | | | | | XXX | |
| 14.06 Affiliated Bank Loans | | | | | | XXX | | | | | XXX | |
| 14.07 Unaffiliated Bank Loans | | | | | | XXX | | | | | XXX | |
| 14.08 TOTALS | | | | | | | | | | | XXX | |
| 14.09 Line 14.08 as a % of Col. 7 | | | | | | | | XXX | XXX | XXX | XXX | |
| 14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11 | | | | | | | | XXX | XXX | XXX | XXX | |

# SCHEDULE DA - VERIFICATION BETWEEN YEARS

## Short-Term Investments

| | | 1<br>Total | 2<br>Bonds | 3<br>Mortgage Loans | 4<br>Other Short-term Investment Assets (a) | 5<br>Investments in Parent, Subsidiaries and Affiliates |
|---|---|---|---|---|---|---|
| 1. | Book/adjusted carrying value, December 31 of prior year | 100,643 | 100,643 | | | |
| 2. | Cost of short-term investments acquired | 23,413 | 23,413 | | | |
| 3. | Accrual of discount | | | | | |
| 4. | Unrealized valuation increase (decrease) | | | | | |
| 5. | TOTAL gain (loss) on disposals | | | | | |
| 6. | Deduct consideration received on disposals | | | | | |
| 7. | Deduct amortization of premium | | | | | |
| 8. | TOTAL foreign exchange change in book/adjusted carrying value | | | | | |
| 9. | Deduct current year's other-than-temporary impairment recognized | | | | | |
| 10. | Book adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) | 124,056 | 124,056 | | | |
| 11. | Deduct total nonadmitted amounts | | | | | |
| 12. | Statement value at end of current period (Line 10 minus Line 11) | 124,056 | 124,056 | | | |

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

**SI11 Schedule DB Part A Verification . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . NONE**

**SI11 Schedule DB Part B Verification . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . NONE**

**SI12 Schedule DB Part C Sn 1 - Rep. (Syn Asset) Transactions . . . . . . . . . . . . . . . . . NONE**

**SI13 Schedule DB Part C Sn 2 - Rep. (Syn Asset) Transactions . . . . . . . . . . . . . . . . . NONE**

**SI14 Schedule DB Verification . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . NONE**

**SI15 Schedule E - Part 2 - Verification . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . NONE**

# SCHEDULE BA - PART 1

## Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

| 1 | 2 | 3 | Location | | 6 | 7 | 8 | 9 | 10 | 11 | 12 | Change in Book/Adjusted Carrying Value | | | | | 18 | 19 | 20 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | 4 | 5 | | | | | | | | 13 | 14 | 15 | 16 | 17 | | | |
| CUSIP Identification | Name or Description | Code | City | State | Name of Vendor or General Partner | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol | Date Originally Acquired | Type and Strategy | Actual Cost | Fair Value | Book/ Adjusted Carrying Value Less Encumbrances | Unrealized Valuation Increase (Decrease) | Current Year's (Depreciation) or (Amortization)/ Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Capitalized Deferred Interest and Other | Total Foreign Exchange Change in B./A. C. V. | Investment Income | Commitment for Additional Investment | Percentage of Ownership |
| **Joint Venture - Common Stocks - Affiliated** | | | | | | | | | | | | | | | | | | | |
| | SummaCare of Michigan Inc | | Akron | OH | SummaCare Inc | 1.A | 04/16/2020 | 1 | 1,500,000 | 1,501,045 | | 16 | | | | | | | |
| 2099999 Subtotal - Joint Venture - Common Stocks - Affiliated | | | | | | | | | 1,500,000 | 1,501,045 | | 16 | | | | | | | XXX |
| 4999999 Total - Affiliated | | | | | | | | | 1,500,000 | 1,501,045 | | 16 | | | | | | | XXX |
| 5099999 Totals | | | | | | | | | 1,500,000 | 1,501,045 | | 16 | | | | | | | XXX |

1. Line Number Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

| Line Number | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1A | 1A | | 1B | | 1C | | 1D | | 1E | | 1F | | 1G | |
| 1B | 2A | | 2B | | 2C | | | | | | | | | |
| 1C | 3A | | 3B | | 3C | | | | | | | | | |
| 1D | 4A | | 4B | | 4C | | | | | | | | | |
| 1E | 5A | | 5B | | 5C | | | | | | | | | |
| 1F | 6 | | | | | | | | | | | | | |

**E08 Schedule BA Part 2 - Long-Term Invested Assets Acquired . . . . . . . . . . . . . . . . NONE**

**E09 Schedule BA Part 3 - Long-Term Invested Assets Disposed . . . . . . . . . . . . . . . NONE**

# SCHEDULE D - PART 1

## Showing all Long-Term BONDS Owned December 31 of Current Year

| 1 | 2 | Codes | | | 6 | 7 | Fair Value | | 10 | 11 | Change in Book Adjusted Carrying Value | | | | Interest | | | | | Dates | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 3 | 4 | 5 | | | 8 | 9 | | | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
| CUSIP Identification | Description | Code | FOREIGN | Bond CHAR | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol | Actual Cost | Rate Used to Obtain Fair Value | Fair Value | Par Value | Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amortization)/ Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Foreign Exchange Change in B./A.C.V. | Rate of | Effective Rate of Interest | When Paid | Admitted Amount Due and Accrued | Amount Received During Year | Acquired | Stated Contractual Maturity Date |
| **U.S. Governments - Issuer Obligations** | | | | | | | | | | | | | | | | | | | | | |
| 9128283C2 | UNITED STATES TREASURY | | | | 1.A | 997,148 | 101.3438 | 1,013,438 | 1,000,000 | 999,501 | | 591 | | | 2.000 | 2.061 | AO | 3,425 | 20,000 | 11/27/2017 | 10/31/2022 |
| 9128283D0 | UNITED STATES TREASURY | | | | 1.A | 1,001,406 | 103.6250 | 1,036,250 | 1,000,000 | 1,000,604 | | (205) | | | 2.250 | 2.228 | AO | 3,854 | 22,500 | 11/27/2017 | 10/31/2024 |
| 9128283J7 | UNITED STATES TREASURY | | | | 1.A | 1,023,086 | 103.3125 | 1,033,125 | 1,000,000 | 1,013,645 | | (4,538) | | | 2.125 | 1.643 | MN | 1,868 | 21,250 | 11/25/2019 | 11/30/2024 |
| 9128286L9 | UNITED STATES TREASURY | | | | 1.A | 1,003,672 | 104.2813 | 1,042,813 | 1,000,000 | 1,002,346 | | (522) | | | 2.250 | 2.192 | MS | 5,749 | 22,500 | 05/23/2019 | 03/31/2026 |
| 9128286R6 | UNITED STATES TREASURY | | | | 1.A | 1,038,086 | 103.2656 | 1,032,656 | 1,000,000 | 1,036,250 | | (1,836) | | | 2.250 | 0.678 | AO | 3,854 | | 11/18/2021 | 04/30/2024 |
| 9128286U9 | UNITED STATES TREASURY | | | | 1.A | 1,001,367 | 100.7188 | 1,007,188 | 1,000,000 | 1,000,175 | | (466) | | | 2.125 | 2.077 | MN | 2,759 | 21,250 | 05/23/2019 | 05/15/2022 |
| 9128286Z8 | UNITED STATES TREASURY | | | | 1.A | 999,180 | 102.1719 | 1,021,719 | 1,000,000 | 999,581 | | 163 | | | 1.750 | 1.767 | JD | 48 | 17,500 | 06/27/2019 | 06/30/2024 |
| 912828D56 | UNITED STATES TREASURY | | | | 1.A | 398,172 | 103.8125 | 415,250 | 400,000 | 399,088 | | 333 | | | 2.375 | 2.465 | FA | 3,588 | 9,500 | 03/14/2019 | 08/15/2024 |
| 912828K74 | UNITED STATES TREASURY | | | | 1.A | 508,750 | 103.1094 | 515,547 | 500,000 | 505,785 | | (1,537) | | | 2.000 | 1.669 | FA | 3,777 | 10,000 | 01/17/2020 | 08/15/2025 |
| 912828M56 | UNITED STATES TREASURY | | | | 1.A | 997,188 | 104.1563 | 1,041,563 | 1,000,000 | 998,572 | | 349 | | | 2.250 | 2.289 | MN | 2,921 | 22,500 | 11/27/2017 | 11/15/2025 |
| 912828N30 | UNITED STATES TREASURY | | | | 1.A | 599,565 | 101.6719 | 610,031 | 600,000 | 599,911 | | 87 | | | 2.125 | 2.140 | JD | 35 | 12,750 | 12/08/2017 | 12/31/2022 |
| 912828P46 | UNITED STATES TREASURY | | | | 1.A | 3,217,266 | 101.7031 | 3,051,094 | 3,000,000 | 3,161,900 | | (38,968) | | | 1.625 | 0.307 | FA | 18,414 | 48,750 | 07/29/2020 | 02/15/2026 |
| 912828P79 | UNITED STATES TREASURY | | | | 1.A | 996,328 | 101.1719 | 1,011,719 | 1,000,000 | 998,675 | | 1,123 | | | 1.500 | 1.616 | FA | 5,097 | 15,000 | 11/25/2019 | 02/28/2023 |
| 912828R36 | UNITED STATES TREASURY | | | | 1.A | 2,148,281 | 101.6719 | 2,033,438 | 2,000,000 | 2,112,116 | | (25,428) | | | 1.625 | 0.332 | MN | 4,220 | 32,500 | 07/29/2020 | 05/15/2026 |
| 912828R69 | UNITED STATES TREASURY | | | | 1.A | 1,000,391 | 101.4844 | 1,014,844 | 1,000,000 | 1,000,160 | | (111) | | | 1.625 | 1.613 | MN | 1,429 | 16,250 | 11/25/2019 | 05/31/2023 |
| 912828SF8 | UNITED STATES TREASURY | | | | 1.A | 1,500,000 | 100.2188 | 1,503,281 | 1,500,000 | 1,500,000 | | | | | 2.000 | 2.000 | FA | 11,332 | 30,000 | 02/21/2012 | 02/15/2022 |
| 912828SV3 | UNITED STATES TREASURY | | | | 1.A | 3,039,508 | 100.5781 | 3,017,344 | 3,000,000 | 3,002,289 | | (6,132) | | | 1.750 | 1.542 | MN | 6,816 | 52,500 | 07/21/2016 | 05/15/2022 |
| 912828T26 | UNITED STATES TREASURY | | | | 1.A | 976,304 | 101.2031 | 996,851 | 985,000 | 980,991 | | 2,248 | | | 1.375 | 1.613 | MS | 3,460 | 13,544 | 11/25/2019 | 09/30/2023 |
| 912828T91 | UNITED STATES TREASURY | | | | 1.A | 250,215 | 101.6875 | 254,219 | 250,000 | 250,107 | | (57) | | | 1.625 | 1.601 | AO | 696 | 4,063 | 01/17/2020 | 10/31/2023 |
| 912828TJ9 | UNITED STATES TREASURY | | | | 1.A | 1,490,617 | 100.8438 | 1,512,656 | 1,500,000 | 1,499,367 | | 1,004 | | | 1.625 | 1.694 | FA | 9,207 | 24,375 | 10/17/2012 | 08/15/2022 |
| 912828U57 | UNITED STATES TREASURY | | | | 1.A | 998,672 | 102.6719 | 1,026,719 | 1,000,000 | 999,559 | | 224 | | | 2.125 | 2.149 | MN | 1,868 | 21,250 | 11/27/2017 | 11/30/2023 |
| 912828W48 | UNITED STATES TREASURY | | | | 1.A | 1,020,156 | 102.8750 | 1,028,750 | 1,000,000 | 1,010,397 | | (4,691) | | | 2.125 | 1.633 | FA | 7,220 | 21,250 | 11/25/2019 | 02/29/2024 |
| 912828XQ8 | UNITED STATES TREASURY | | | | 1.A | 253,750 | 101.0313 | 252,578 | 250,000 | 250,351 | | (595) | | | 2.000 | 1.756 | JJ | 2,092 | 5,000 | 01/13/2016 | 07/31/2022 |
| 912828Y87 | UNITED STATES TREASURY | | | | 1.A | 1,005,117 | 102.2344 | 1,022,344 | 1,000,000 | 1,002,876 | | (1,082) | | | 1.750 | 1.636 | JJ | 7,323 | 17,500 | 11/25/2019 | 07/31/2024 |
| 912828YB0 | UNITED STATES TREASURY | | | | 1.A | 1,563,826 | 101.4219 | 1,445,262 | 1,425,000 | 1,542,433 | | (15,064) | | | 1.625 | 0.521 | FA | 8,747 | 23,156 | 07/29/2020 | 08/15/2029 |
| 912828YE4 | UNITED STATES TREASURY | | | | 1.A | 256,660 | 100.9063 | 252,266 | 250,000 | 255,322 | | (1,338) | | | 1.250 | 0.444 | FA | 1,062 | 1,563 | 04/29/2021 | 08/31/2024 |
| 912828YX2 | UNITED STATES TREASURY | | | | 1.A | 250,107 | 102.3281 | 255,820 | 250,000 | 250,079 | | (15) | | | 1.750 | 1.743 | JD | 12 | 4,375 | 01/17/2020 | 12/31/2026 |
| 912828Z94 | UNITED STATES TREASURY | | | | 1.A | 994,766 | 100.4844 | 1,004,844 | 1,000,000 | 995,206 | | 440 | | | 1.500 | 1.563 | FA | 5,666 | 7,500 | 03/17/2021 | 02/15/2030 |
| 912828ZE3 | UNITED STATES TREASURY | | | | 1.A | 2,028,828 | 96.6563 | 1,933,125 | 2,000,000 | 2,022,735 | | (4,281) | | | 0.625 | 0.406 | MS | 3,194 | 12,500 | 07/29/2020 | 03/31/2027 |
| 91282CAB7 | UNITED STATES TREASURY | | | | 1.A | 1,999,766 | 96.9844 | 1,939,688 | 2,000,000 | 1,999,832 | | 47 | | | 0.250 | 0.252 | JJ | 2,092 | 5,000 | 07/29/2020 | 07/31/2025 |
| 91282CAD3 | UNITED STATES TREASURY | | | | 1.A | 3,984,844 | 94.9844 | 3,799,375 | 4,000,000 | 3,987,878 | | 2,141 | | | 0.375 | 0.430 | JJ | 6,277 | 15,000 | 07/29/2020 | 07/31/2027 |
| 91282CAH4 | UNITED STATES TREASURY | | | | 1.A | 745,107 | 95.4063 | 715,547 | 750,000 | 745,934 | | 704 | | | 0.500 | 0.598 | FA | 1,274 | 3,750 | 10/29/2020 | 08/31/2027 |
| 91282CAL5 | UNITED STATES TREASURY | | | | 1.A | 2,222,930 | 94.6563 | 2,129,766 | 2,250,000 | 2,227,458 | | 3,852 | | | 0.375 | 0.552 | MS | 2,156 | 8,438 | 10/28/2020 | 09/30/2027 |
| 91282CAM3 | UNITED STATES TREASURY | | | | 1.A | 2,240,947 | 96.8125 | 2,178,281 | 2,250,000 | 2,243,098 | | 1,829 | | | 0.250 | 0.333 | MS | 1,437 | 5,625 | 10/28/2020 | 09/30/2025 |
| 91282CAU5 | UNITED STATES TREASURY | | | | 1.A | 371,748 | 95.1875 | 356,953 | 375,000 | 372,261 | | 460 | | | 0.500 | 0.628 | AO | 321 | 1,875 | 11/19/2020 | 10/31/2027 |
| 91282CBA8 | UNITED STATES TREASURY | | | | 1.A | 1,996,953 | 98.8438 | 1,976,875 | 2,000,000 | 1,998,013 | | 1,015 | | | 0.125 | 0.176 | JD | 117 | 2,500 | 12/15/2020 | 12/15/2023 |
| 91282CBS9 | UNITED STATES TREASURY | | | | 1.A | 992,266 | 99.1250 | 991,250 | 1,000,000 | 993,053 | | 788 | | | 1.250 | 1.366 | MS | 3,194 | 6,250 | 04/01/2021 | 03/31/2028 |
| 91282CCH2 | UNITED STATES TREASURY | | | | 1.A | 2,774,232 | 98.9844 | 2,722,070 | 2,750,000 | 2,773,065 | | (1,168) | | | 1.250 | 1.116 | JD | 95 | 17,188 | 08/27/2021 | 06/30/2028 |
| 91282CCJ8 | UNITED STATES TREASURY | | | | 1.A | 985,977 | 98.4063 | 984,063 | 1,000,000 | 986,325 | | 348 | | | 0.875 | 1.188 | JD | 24 | 4,375 | 11/18/2021 | 06/30/2026 |
| 91282CCR0 | UNITED STATES TREASURY | | | | 1.A | 971,133 | 97.3438 | 973,438 | 1,000,000 | 971,616 | | 483 | | | 1.000 | 1.454 | JJ | 4,185 | | 11/18/2021 | 07/31/2028 |
| 91282CCS8 | UNITED STATES TREASURY | | | | 1.A | 679,711 | 97.7188 | 684,030 | 700,000 | 679,939 | | 228 | | | 1.250 | 1.572 | FA | 3,305 | | 11/18/2021 | 08/15/2031 |
| 0199999 Subtotal - U.S. Governments - Issuer Obligations | | | | | | 52,524,025 | XXX | 51,838,066 | 51,985,000 | 52,368,491 | | (89,576) | | | XXX | XXX | XXX | 154,209 | 600,825 | XXX | XXX |
| 0599999 Subtotal - U.S. Governments | | | | | | 52,524,025 | XXX | 51,838,066 | 51,985,000 | 52,368,491 | | (89,576) | | | XXX | XXX | XXX | 154,209 | 600,825 | XXX | XXX |
| 7699999 Subtotals - Issuer Obligations | | | | | | 52,524,025 | XXX | 51,838,066 | 51,985,000 | 52,368,491 | | (89,576) | | | XXX | XXX | XXX | 154,209 | 600,825 | XXX | XXX |
| 8399999 Grand Total - Bonds | | | | | | 52,524,025 | XXX | 51,838,066 | 51,985,000 | 52,368,491 | | (89,576) | | | XXX | XXX | XXX | 154,209 | 600,825 | XXX | XXX |

1. Line

| Number | Book/Adjusted Carrying Value by NAIC Designation Category Footnote: | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1A | 1A | 52,368,491 | 1B | | 1C | | 1D | | 1E | | 1F | | 1G |
| 1B | 2A | | 2B | | 2C | | | | | | | | |
| 1C | 3A | | 3B | | 3C | | | | | | | | |
| 1D | 4A | | 4B | | 4C | | | | | | | | |
| 1E | 5A | | 5B | | 5C | | | | | | | | |
| 1F | 6 | | | | | | | | | | | | |

# SCHEDULE D - PART 2 - SECTION 1

## Showing all PREFERRED STOCKS Owned December 31 of Current Year

| 1 | 2 | Codes | | 5 | 6 | 7 | 8 | Fair Value | | 11 | Dividends | | | Change in Book/Adjusted Carrying Value | | | | | 20 | 21 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 3 | 4 | | | | | 9 | 10 | | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | | |
| CUSIP Identification | Description | Code | For-eign | Number of Shares | Par Value Per Share | Rate Per Share | Book/Adjusted Carrying Value | Rate Per Share Used to Obtain Fair Value | Fair Value | Actual Cost | Declared but Unpaid | Amount Received During Year | Nonadmitted Declared But Unpaid | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amortization) Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (15+16-17) | Total Foreign Exchange Change in B./A.C.V. | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol | Date Acquired |
| 8999999 Total Preferred Stocks | | | | | | | | XXX | | | | | | | | | | | XXX | XXX |

NONE

1\. Line Number Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1A | 1A | | 1B | | 1C | | 1D | | 1E | | 1F | | 1G | |
| 1B | 2A | | 2B | | 2C | | | | | | | | | |
| 1C | 3A | | 3B | | 3C | | | | | | | | | |
| 1D | 4A | | 4B | | 4C | | | | | | | | | |
| 1E | 5A | | 5B | | 5C | | | | | | | | | |
| 1F | 6 | | | | | | | | | | | | | |

# SCHEDULE D - PART 2 - SECTION 2

## Showing All COMMON STOCKS Owned December 31 of Current Year

| 1 | 2 | Codes | | 5 | 6 | Fair Value | | 9 | Dividends | | | Change in Book/Adjusted Carrying Value | | | | 17 | 18 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | 3 | 4 | | | 7 | 8 | | 10 | 11 | 12 | 13 | 14 | 15 | 16 | | |
| CUSIP Identification | Description | Code | Foreign | Number of Shares | Book/Adjusted Carrying Value | Rate per Share Used to Obtain Fair Value | Fair Value | Actual Cost | Declared but Unpaid | Amount Received During Year | Nonadmitted Declared But Unpaid | Unrealized Valuation Increase/ (Decrease) | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (Col. 13-14) | Total Foreign Exchange Change in B./A.C.V. | Date Acquired | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| **Parent, Subsidiaries and Affiliates Other** | | | | | | | | | | | | | | | | | |
| | Summa Insurance Company | | | 606,563.000 | 37,060,291 | 35.220 | 37,060,291 | 50,000,000 | | | | 10,327,760 | | 10,327,760 | | 09/01/2014 | |
| 9399999 Subtotal - Parent, Subsidiaries and Affiliates Other | | | | | 37,060,291 | XXX | 37,060,291 | 50,000,000 | | | | 10,327,760 | | 10,327,760 | | XXX | XXX |
| 9799999 Total Common Stocks | | | | | 37,060,291 | XXX | 37,060,291 | 50,000,000 | | | | 10,327,760 | | 10,327,760 | | XXX | XXX |
| 9899999 Total Preferred and Common Stocks | | | | | 37,060,291 | XXX | 37,060,291 | 50,000,000 | | | | 10,327,760 | | 10,327,760 | | XXX | XXX |

1. Line Number Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

| Line Number | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1A | 1A | | 1B | | 1C | | 1D | | 1E | | 1F | | 1G | |
| 1B | 2A | | 2B | | 2C | | | | | | | | | |
| 1C | 3A | | 3B | | 3C | | | | | | | | | |
| 1D | 4A | | 4B | | 4C | | | | | | | | | |
| 1E | 5A | | 5B | | 5C | | | | | | | | | |
| 1F | 6 | | | | | | | | | | | | | |

# SCHEDULE D - PART 3

## Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Foreign | 4<br>Date Acquired | 5<br>Name of Vendor | 6<br>Number of Shares of Stock | 7<br>Actual Cost | 8<br>Par Value | 9<br>Paid for Accrued Interest and Dividends |
|---|---|---|---|---|---|---|---|---|
| **Bonds - U.S. Governments** | | | | | | | | |
| 9128286R6 | UNITED STATES TREASURY | | 11/18/2021 | CITIGROUP GLOBAL MARKETS, INC | XXX | 1,038,086 | 1,000,000 | 1,181 |
| 912828YE4 | UNITED STATES TREASURY | | 04/29/2021 | WELLS FARGO SECURITIES LLC | XXX | 256,660 | 250,000 | 527 |
| 912828Z94 | UNITED STATES TREASURY | | 03/17/2021 | MORGAN STANLEY & CO., INC. | XXX | 994,766 | 1,000,000 | 1,285 |
| 91282CBS9 | UNITED STATES TREASURY | | 04/01/2021 | BARCLAYS CAPITAL INC FIXED INC | XXX | 992,266 | 1,000,000 | 171 |
| 91282CCH2 | UNITED STATES TREASURY | | 08/27/2021 | BONY/TORONTO DOMINION SECURITIES INC | XXX | 2,774,232 | 2,750,000 | 5,429 |
| 91282CCJ8 | UNITED STATES TREASURY | | 11/18/2021 | CITIGROUP GLOBAL MARKETS, INC | XXX | 985,977 | 1,000,000 | 3,376 |
| 91282CCR0 | UNITED STATES TREASURY | | 11/18/2021 | WELLS FARGO SECURITIES, LLC | XXX | 971,133 | 1,000,000 | 3,016 |
| 91282CCS8 | UNITED STATES TREASURY | | 11/18/2021 | RBC CAPITAL MARKETS | XXX | 679,711 | 700,000 | 2,283 |
| 0599999 Subtotal - Bonds - U.S. Governments | | | | | | 8,692,830 | 8,700,000 | 17,267 |
| 8399997 Subtotal - Bonds - Part 3 | | | | | | 8,692,830 | 8,700,000 | 17,267 |
| 8399998 Summary item from Part 5 for Bonds | | | | | | | | |
| 8399999 Subtotal - Bonds | | | | | | 8,692,830 | 8,700,000 | 17,267 |
| 9999999 Totals | | | | | | 8,692,830 | XXX | 17,267 |

# SCHEDULE D - PART 4

## Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value | | | | | 16 | 17 | 18 | 19 | 20 | 21 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | |
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year (Amortization)/ Accretion) | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (Cols. 11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest/ Stock Dividends Received During Year | Stated Contractual Maturity Date |
| **Bonds - U.S. Governments** | | | | | | | | | | | | | | | | | | | | |
| 9128286D7 | UNITED STATES TREASURY | | 03/01/2021 | UNITED STATES TREASURY | XXX | 1,000,000 | 1,000,000 | 1,005,625 | 1,000,518 | | (518) | | (518) | | 1,000,000 | | | | 12,500 | 02/28/2021 |
| 912828C57 | UNITED STATES TREASURY | | 03/31/2021 | UNITED STATES TREASURY | XXX | 1,000,000 | 1,000,000 | 1,029,609 | 1,001,444 | | (1,444) | | (1,444) | | 1,000,000 | | | | 11,250 | 03/31/2021 |
| 912828F21 | UNITED STATES TREASURY | | 09/30/2021 | UNITED STATES TREASURY | XXX | 1,000,000 | 1,000,000 | 1,005,742 | 1,001,149 | | (1,149) | | (1,149) | | 1,000,000 | | | | 21,250 | 09/30/2021 |
| 912828F96 | UNITED STATES TREASURY | | 10/31/2021 | UNITED STATES TREASURY | XXX | 1,000,000 | 1,000,000 | 1,012,266 | 1,001,832 | | (1,832) | | (1,832) | | 1,000,000 | | | | 20,000 | 10/31/2021 |
| 912828RC6 | UNITED STATES TREASURY | | 08/15/2021 | UNITED STATES TREASURY | XXX | 1,500,000 | 1,500,000 | 1,491,328 | 1,499,403 | | 597 | | 597 | | 1,500,000 | | | | 31,875 | 08/15/2021 |
| 912828RR3 | UNITED STATES TREASURY | | 11/15/2021 | UNITED STATES TREASURY | XXX | 1,500,000 | 1,500,000 | 1,522,500 | 1,502,146 | | (2,146) | | (2,146) | | 1,500,000 | | | | 30,000 | 11/15/2021 |
| 912828S27 | UNITED STATES TREASURY | | 06/29/2021 | UNITED STATES TREASURY | XXX | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | | | | | 1,000,000 | | | | 5,625 | 06/30/2021 |
| 0599999 Subtotal - Bonds - U.S. Governments | | | | | | 8,000,000 | 8,000,000 | 8,067,070 | 8,006,492 | | (6,492) | | (6,492) | | 8,000,000 | | | | 132,500 | XXX |
| 8399997 Subtotal - Bonds - Part 4 | | | | | | 8,000,000 | 8,000,000 | 8,067,070 | 8,006,492 | | (6,492) | | (6,492) | | 8,000,000 | | | | 132,500 | XXX |
| 8399998 Summary Item from Part 5 for Bonds | | | | | | | | | | | | | | | | | | | | XXX |
| 8399999 Subtotal - Bonds | | | | | | 8,000,000 | 8,000,000 | 8,067,070 | 8,006,492 | | (6,492) | | (6,492) | | 8,000,000 | | | | 132,500 | XXX |
| 9999999 Totals | | | | | | 8,000,000 | XXX | 8,067,070 | 8,006,492 | | (6,492) | | (6,492) | | 8,000,000 | | | | 132,500 | XXX |

# SCHEDULE D - PART 5

## Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | Change in Book/Adjusted Carrying Value | | | | | 17 | 18 | 19 | 20 | 21 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | 12 | 13 | 14 | 15 | 16 | | | | | |
| CUSIP Identification | Description | FOREIGN | Date Acquired | Name of Vendor | Disposal Date | Name of Purchaser | Par Value (Bonds) or Number of Shares (Stock) | Actual Cost | Consideration | Book/ Adjusted Carrying Value at Disposal | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amortization)/ Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (Col. 12+13-14) | Total Foreign Exchange Change in B./A.C.V. | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Interest and Dividends Received During Year | Paid for Accrued Interest and Dividends |
| NONE | | | | | | | | | | | | | | | | | | | | |
| 9999999 Totals | | | | | | | | | | | | | | | | | | | | |

# SCHEDULE D - PART 6 - SECTION 1

## Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | Stock of Such Company Owned by Insurer on Statement Date | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | 10 | 11 |
| CUSIP Identification | Description Name of Subsidiary, Controlled or Affiliated Company | Foreign | NAIC Company Code | ID Number | NAIC Valuation Method | Book/Adjusted Carrying Value | Total Amount of Goodwill Included in Book/Adjusted Carrying Value | Nonadmitted Amount | Number of Shares | % of Outstanding |
| **Common Stocks - U.S. Health Entity** | | | | | | | | | | |
| | Summa Insurance Company | | 10649 | 341809108 | 2ciB1Z | 37,060,291 | | | | |
| 1399999 Subtotal - Common Stocks - U.S. Health Entity | | | | | | 37,060,291 | | | XXX | XXX |
| **Common Stocks - Alien Insurer** | | | | | | | | | | |
| | | | | | | | | | | |
| 1499999 Subtotal - Common Stocks - Alien Insurer | | | | | | | | | XXX | XXX |
| 1899999 Subtotal - Common Stocks | | | | | | 37,060,291 | | | XXX | XXX |
| 1999999 Total - Preferred and Common Stocks | | | | | | 37,060,291 | | | XXX | XXX |

1. Total amount of goodwill nonadmitted $..............0.

# SCHEDULE D - PART 6 - SECTION 2

| 1 | 2 | 3 | 4 | Stock in Lower-Tier Company Owned Indirectly by Insurer on Statement Date | |
|---|---|---|---|---|---|
| | | | | 5 | 6 |
| CUSIP Identification | Name of Lower-Tier Company | Name of Company Listed in Section 1 Which Controls Lower-Tier Company | Total Amount of Goodwill Included in Amount Shown in Column 8, Section 1 | Number of Shares | % of Outstanding |
| | | NONE | | | |
| 0399999 Total - Preferred and Common Stocks | | | | XXX | XXX |

# SCHEDULE DA - PART 1

## Showing all SHORT-TERM INVESTMENTS Owned December 31 of Current Year

| 1 | Codes | | 4 | 5 | 6 | 7 | Change in Book/Adjusted Carrying Value | | | | 12 | 13 | Interest | | | | | | 20 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | 2 | 3 | | | | | 8 | 9 | 10 | 11 | | | 14 | 15 | 16 | 17 | 18 | 19 | |
| Description | Code | For-eign | Date Acquired | Name of Vendor | Maturity Date | Book/ Adjusted Carrying Value | Unrealized Valuation Increase/ (Decrease) | Current Year's (Amortization)/ Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Foreign Exchange Change in B./A.C.V. | Par Value | Actual Cost | Amount Due and Accrued Dec. 31 of Current Year on Bond Not in Default | Non-Admitted Due and Accrued | Rate of | Effective Rate of | When Paid | Amount Received During Year | Paid For Accrued Interest |
| **Bonds - U.S. Governments - Issuer Obligations** | | | | | | | | | | | | | | | | | | | |
| Federated Government Obligations | | | 12/31/2021 | Huntington Bank | 01/01/2022 | 124,056 | | | | | 124,056 | 124,056 | | | 0.030 | 0.030 | MON | 215 | |
| 0199999 Subtotal - Bonds - U.S. Governments - Issuer Obligations | | | | | | 124,056 | | | | | 124,056 | 124,056 | | | XXX | XXX | XXX | 215 | |
| 0599999 Subtotal - Bonds - U.S. Governments | | | | | | 124,056 | | | | | 124,056 | 124,056 | | | XXX | XXX | XXX | 215 | |
| 6599999 Subtotal - Bonds - Unaffiliated Bank Loans | | | | | | | | | | | | | | | XXX | XXX | XXX | | |
| 7699999 Subtotal - Bonds - Issuer Obligations | | | | | | 124,056 | | | | | 124,056 | 124,056 | | | XXX | XXX | XXX | 215 | |
| 8399999 Total Bonds | | | | | | 124,056 | | | | | 124,056 | 124,056 | | | XXX | XXX | XXX | 215 | |
| 8699999 Total - Parent, Subsidiaries and Affiliates | | | | | | | | | | | XXX | | | | XXX | XXX | XXX | | |
| 9199999 Total Short-Term Investments | | | | | | 124,056 | | | | | XXX | 124,056 | | | XXX | XXX | XXX | 215 | |

1. Line Number Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

| Line Number | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1A | 1A | 124,056 | 1B | | 1C | | 1D | | 1E | | 1F | | 1G | |
| 1B | 2A | | 2B | | 2C | | | | | | | | | |
| 1C | 3A | | 3B | | 3C | | | | | | | | | |
| 1D | 4A | | 4B | | 4C | | | | | | | | | |
| 1E | 5A | | 5B | | 5C | | | | | | | | | |
| 1F | 6 | | | | | | | | | | | | | |

**E18 Schedule DB - Part A Sn 1 Opt/Cap/Floors/Collars/Swaps/Forwards Open . . . . NONE**

**E19 Schedule DB - Part A Sn 2 Opt/Cap/Floors/Collars/Swaps/Forwards Term. . . . NONE**

**E20 Schedule DB - Part B Sn 1 Futures Contracts Open . . . . . . . . . . . . . . . . . . . . . . NONE**

**E21 Schedule DB - Part B Sn 2 Futures Contracts Terminated . . . . . . . . . . . . . . . . . NONE**

**E22 Schedule DB - Part D Sn 1 Counterparty Exposure for Derivative Instruments . NONE**

**E23 Schedule DB - Part D Sn 2 - Collateral Pledged By Reporting Entity . . . . . . . . . NONE**

**E23 Schedule DB - Part D Sn 2 - Collateral Pledged To Reporting Entity . . . . . . . . . NONE**

**E24 Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees . . . . NONE**

**E25 Schedule DL - Part 1 - Securities Lending Collateral Assets . . . . . . . . . . . . . . . . NONE**

**E26 Schedule DL - Part 2 - Securities Lending Collateral Assets . . . . . . . . . . . . . . . . NONE**

# SCHEDULE E - PART 1 - CASH

| 1 Depository | | | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Year | 5 Amount of Interest Accrued December 31 of Current Year | 6 Balance | 7 * |
|---|---|---|---|---|---|---|---|---|
| **open depositories** | | | | | | | | |
| Huntington - General Operating | Akron, Ohio | | | | | | 611,992 | X X X |
| SummaCare - Petty Cash | Akron, Ohio | | | | | | 290 | X X X |
| Huntington - Goodyear | Akron, Ohio | | | | | | 5,059 | X X X |
| Huntington - SHS | Akron, Ohio | | | | | | 1,153,918 | X X X |
| 0199998 Deposits in ...............0 depositories that do not exceed the allowable limit in any one depository (See Instructions) - open depositories | | | | X X X | | | | X X X |
| 0199999 Totals - Open Depositories | | | | X X X | | | 1,771,259 | X X X |
| 0299998 Deposits in ...............0 depositories that do not exceed the allowable limit in any one depository (See Instructions) - suspended depositories | | | | X X X | | | | X X X |
| 0299999 Totals - Suspended Depositories | | | | X X X | | | | X X X |
| 0399999 Total Cash On Deposit | | | | X X X | | | 1,771,259 | X X X |
| 0499999 Cash in Company's Office | | | | X X X | X X X | X X X | | X X X |
| 0599999 Total Cash | | | | X X X | | | 1,771,259 | X X X |

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 1. January | 7,004,061 | 4. April | 28,928,886 | 7. July | 34,178,115 | 10. October | 3,225,011 |
| 2. February | 9,730,363 | 5. May | 4,851,714 | 8. August | 10,185,385 | 11. November | 4,398,952 |
| 3. March | 10,399,679 | 6. June | 9,594,119 | 9. September | 6,465,167 | 12. December | 1,771,259 |

# SCHEDULE E - PART 2 - CASH EQUIVALENTS

## Show Investments Owned December 31 of Current Year

| 1<br>CUSIP | 2<br>Description | 3<br>Code | 4<br>Date Acquired | 5<br>Rate of Interest | 6<br>Maturity Date | 7<br>Book/Adjusted Carrying Value | 8<br>Amount of Interest Due & Accrued | 9<br>Amount Received During Year |
|---|---|---|---|---|---|---|---|---|
| 9999999 Total Cash Equivalents | | | | | | | | |

NONE

1. Line Number — Book/Adjusted Carrying Value by NAIC Designation Category Footnote:

| Line Number | | | | | | | |
|---|---|---|---|---|---|---|---|
| 1A | 1A | 1B | 1C | 1D | 1E | 1F | 1G |
| 1B | 2A | 2B | 2C | | | | |
| 1C | 3A | 3B | 3C | | | | |
| 1D | 4A | 4B | 4C | | | | |
| 1E | 5A | 5B | 5C | | | | |
| 1F | 6 | | | | | | |

# SCHEDULE E - PART 3 - SPECIAL DEPOSITS

| | 1 | 2 | Deposits For the Benefit of All Policyholders | | All Other Special Deposits | |
|---|---|---|---|---|---|---|
| | | | 3 | 4 | 5 | 6 |
| States, Etc. | Type of Deposit | Purpose of Deposit | Book/Adjusted Carrying Value | Fair Value | Book/Adjusted Carrying Value | Fair Value |
| 1. Alabama (AL) | | | | | | |
| 2. Alaska (AK) | | | | | | |
| 3. Arizona (AZ) | | | | | | |
| 4. Arkansas (AR) | | | | | | |
| 5. California (CA) | | | | | | |
| 6. Colorado (CO) | | | | | | |
| 7. Connecticut (CT) | | | | | | |
| 8. Delaware (DE) | | | | | | |
| 9. District of Columbia (DC) | | | | | | |
| 10. Florida (FL) | | | | | | |
| 11. Georgia (GA) | | | | | | |
| 12. Hawaii (HI) | | | | | | |
| 13. Idaho (ID) | | | | | | |
| 14. Illinois (IL) | | | | | | |
| 15. Indiana (IN) | | | | | | |
| 16. Iowa (IA) | | | | | | |
| 17. Kansas (KS) | | | | | | |
| 18. Kentucky (KY) | | | | | | |
| 19. Louisiana (LA) | | | | | | |
| 20. Maine (ME) | | | | | | |
| 21. Maryland (MD) | | | | | | |
| 22. Massachusetts (MA) | | | | | | |
| 23. Michigan (MI) | | | | | | |
| 24. Minnesota (MN) | | | | | | |
| 25. Mississippi (MS) | | | | | | |
| 26. Missouri (MO) | | | | | | |
| 27. Montana (MT) | | | | | | |
| 28. Nebraska (NE) | | | | | | |
| 29. Nevada (NV) | | | | | | |
| 30. New Hampshire (NH) | | | | | | |
| 31. New Jersey (NJ) | | | | | | |
| 32. New Mexico (NM) | | | | | | |
| 33. New York (NY) | | | | | | |
| 34. North Carolina (NC) | | | | | | |
| 35. North Dakota (ND) | | | | | | |
| 36. Ohio (OH) | B | OH RSD INS CODE 1751.27 | 416,018 | 432,180 | | |
| 37. Oklahoma (OK) | | | | | | |
| 38. Oregon (OR) | | | | | | |
| 39. Pennsylvania (PA) | | | | | | |
| 40. Rhode Island (RI) | | | | | | |
| 41. South Carolina (SC) | | | | | | |
| 42. South Dakota (SD) | | | | | | |
| 43. Tennessee (TN) | | | | | | |
| 44. Texas (TX) | | | | | | |
| 45. Utah (UT) | | | | | | |
| 46. Vermont (VT) | | | | | | |
| 47. Virginia (VA) | | | | | | |
| 48. Washington (WA) | | | | | | |
| 49. West Virginia (WV) | | | | | | |
| 50. Wisconsin (WI) | | | | | | |
| 51. Wyoming (WY) | | | | | | |
| 52. American Samoa (AS) | | | | | | |
| 53. Guam (GU) | | | | | | |
| 54. Puerto Rico (PR) | | | | | | |
| 55. U.S. Virgin Islands (VI) | | | | | | |
| 56. Northern Mariana Islands (MP) | | | | | | |
| 57. Canada (CAN) | | | | | | |
| 58. Aggregate Alien and Other (OT) | XXX | XXX | | | | |
| 59. TOTAL | XXX | XXX | 416,018 | 432,180 | | |
| **DETAILS OF WRITE-INS** | | | | | | |
| 5801. | | | | | | |
| 5802. | | | | | | |
| 5803. | | | | | | |
| 5898. Summary of remaining write-ins for Line 58 from overflow page | XXX | XXX | | | | |
| 5899. TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above) | XXX | XXX | | | | |