



Department
of Insurance

Mike DeWine, Governor
Jon Husted, Lt Governor

Judith L. French, Director

Electronic Filing Authenticity Affidavit

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215
614-644-2647 | 614-644-3256 (Fax) | insurance.ohio.gov

Ohio Domestic Insurers Only

Company Name: Alliance of Transylvanian Saxons

NAIC No. 56197

We, the undersigned executive officers of Alliance of Transylvanian Saxons (herein referred to as the "Company"), an insurance company organized under the laws of Ohio, hereby certify that the documents indicated below by an "X" were filed electronically with the National Association of Insurance Commissioners ("NAIC") and that the electronic filing or filings, including ".PDF" filings, are exact copies of the original documents, except for formatting differences due to electronic filing. The original documents are maintained in this Company's office and are available for inspection upon request by the Ohio Department of Insurance for at least five years following the date of filing. An executed, notarized NAIC Annual Statement or Quarterly Statement jurat page or an original, notarized signature page (if this filing relates to a supplemental filing without a jurat page) attesting to the accuracy and authenticity of the corresponding NAIC Annual Statement or Quarterly Statement or supplemental schedule is attached to this Affidavit.

Company Type: ☒ Fraternal ☐ Title ☐ Property & Casualty ☐ Life & Health ☐ Health ☐ Other _____

Applicable documents:

☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Annual Statement Electronic Filing[s]," which include "the annual statement data and all supplements due March 1, per the *Annual Statement instructions*. This includes all detail investment schedules and other supplements for which the Annual Statement Instructions exempt printed detail."

Date of filing with the NAIC: _____ ☐ An original jurat page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Risk-Based Capital Electronic Filing," which "includes all risk-based capital data" due March 1.

Date of filing with the NAIC: _____ ☐ An original, notarized signature page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Supplemental Electronic Filing," which "includes all supplements due April 1, per the *Annual Statement Instructions*."

Date of filing with the NAIC: _____
List of supplemental documents included in this Affidavit: _____

☐ All original notarized signature pages are attached, as applicable.

☐ Original filing. ☐ Amended filing.

☒ The documents referred to in the *General Instructions to the NAIC Checklist* as "Quarterly Statement Electronic Filing," which "includes the complete quarterly statement data" due May 15, August 15, and November 15.

Date of filing with the NAIC: 11/15/2021

☒ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Combined Annual Statement Electronic Filing," which "includes the required pages of the combined annual statement and the combined Insurance Expense Exhibit" due May 1.

Date of filing with the NAIC: _____

☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "June. PDF Filing," which includes "the Audited Financial Statements" due June 1.

Date of filing with the NAIC: _____

☐ Original filing. ☐ Amended filing.

Denise A Crawford 11/15/2021
Signature Date

(Name) Denise A Crawford

(Title)* President

Michael Teutsch, Jr. 11/15/21
Signature Date

(Name) Michael Teutsch, Jr.

(Title)* Treasurer

Monica F Gilles 11/15/2021
Signature Date

(Name) Monica F Gilles

(Title)* Secretary

*Signers must be principal executive officers of the Company (Chairman, President, CEO, CFO, Treasurer, Secretary)

QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2021
OF THE CONDITION AND AFFAIRS OF THE
ALLIANCE OF TRANSYLVANIAN SAXONS

NAIC Group Code 0000... 0000... NAIC Company Code 56197 Employers ID Number 34-0138510
(Current) (Prior)

Organized under the Laws of OH State of Domicile or Port of Entry OH
Country of Domicile US
Licensed as business type: Fraternal Benefit Societies
Incorporated/Organized 08/31/1902
Statutory Home Office 5323 Pearl Road Commenced Business 08/31/1902
Main Administrative Office 5323 Pearl Road Cleveland, OH, US 44129-1597
Cleveland, OH, US 44129-1597 440-842-8442
(Telephone)
Cleveland, OH, US 44129-1597
Mail Address 5323 Pearl Road
Primary Location of Books and 5323 Pearl Road
Records Cleveland, OH, US 44129-1597 440-842-8442
(Telephone)
Internet Website Address http://www.atsaxons.com
Statutory Statement Contact Denise A Crawford 440-842-8442
(Telephone)
office@atsaxons.com 440-842-5442
(E-Mail) (Fax)

OFFICERS
Denise A Crawford, President Michael Teutsch Jr., Treasurer
Monica F Gilles, Secretary Miller & Newberg, Consulting Actuary

OTHER
Robert B Cunningham III, First Vice President Monica M Weber, Second Vice President
Randall B Floyd, Third Vice President

DIRECTORS OR TRUSTEES
Denise A Crawford Robert B Cunningham III
Monica M Weber Randall B Floyd
Monica F Gilles Michael Teutsch Jr.
Michael Bachinger Barbara A Spack
Jacob F Spor Ingrid E Weihs-Ferguson
Margarete I Ziegler

State of Ohio
County of Cuyahoga SS

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Denise A Crawford x *Monica F Gilles* x *Michael Teutsch Jr.*
Denise A Crawford Monica F Gilles Michael Teutsch Jr.
President Secretary Treasurer

Subscribed and sworn to before me
this 15th day of
November 2021

x *Patty A Cunningham*

a. Is this an original filing? Yes

b. If no:

1. State the amendment number: _____

2. Date filed: _____

3. Number of pages attached: _____



PATTY A. CUNNINGHAM
Notary Public, State of Ohio
My Commission Expires
April 29, 2026