



Department
of Insurance

Mike DeWine, Governor
Jon Husted, Lt Governor

Jillian Froment, Director

Electronic Filing Authenticity Affidavit

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215
614-644-2658 | 614-644-3256 (Fax) | insurance.ohio.gov

Ohio Domestic Insurers Only

Company Name: Old Guard Insurance Company

NAIC No. 17558

We, the undersigned executive officers of Old Guard Insurance Company (herein referred to as the "Company"), an insurance company organized under the laws of Ohio, hereby certify that the documents indicated below by an "X" were filed electronically with the National Association of Insurance Commissioners ("NAIC") and that the electronic filing or filings, including ".PDF" filings, are exact copies of the original documents, except for formatting differences due to electronic filing. The original documents are maintained in this Company's office and are available for inspection upon request by the Ohio Department of Insurance for at least five years following the date of filing. An executed, notarized NAIC Annual Statement or Quarterly Statement jurat page or an original, notarized signature page (if this filing relates to a supplemental filing without a jurat page) attesting to the accuracy and authenticity of the corresponding NAIC Annual Statement or Quarterly Statement or supplemental schedule is attached to this Affidavit.

Company Type: ☐ Fraternal ☐ Title ☒ Property & Casualty ☐ Life & Health ☐ Health ☐ Other _____

Applicable documents:

☐ The documents referred to in the *General Instructions* to the NAIC Checklist as "Annual Statement Electronic Filing[s]," which include "the annual statement data and all supplements due March 1, per the *Annual Statement instructions*. This includes all detail investment schedules and other supplements for which the Annual Statement Instructions exempt printed detail."

Date of filing with the NAIC: _____ ☐ An original jurat page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions* to the NAIC Checklist as "Risk-Based Capital Electronic Filing," which "includes all risk-based capital data" due March 1.

Date of filing with the NAIC: _____ ☐ An original, notarized signature page is attached.

☐ Original filing. ☐ Amended filing.

☐ The documents referred to in the *General Instructions* to the NAIC Checklist as "Supplemental Electronic Filing," which "includes all supplements due April 1, per the *Annual Statement Instructions*."

Date of filing with the NAIC: _____

List of supplemental documents included in this Affidavit: _____

☐ All original notarized signature pages are attached, as applicable.

☐ Original filing. ☐ Amended filing.

☒ The documents referred to in the *General Instructions* to the NAIC Checklist as "Quarterly Statement Electronic Filing," which "includes the complete quarterly statement data" due May 15, August 15, and November 15.

Date of filing with the NAIC: 10-25-21

☒ Original filing. ☐ Amended filing.


☐ The documents referred to in the *General Instructions* to the NAIC Checklist as "Combined Annual Statement Electronic Filing," which "includes the required pages of the combined annual statement and the combined Insurance Expense Exhibit" due May 1.

Date of filing with the NAIC: _____

☐ The documents referred to in the *General Instructions* to the NAIC Checklist as "June. PDF Filing," which includes "the Audited Financial Statements" due June 1.

Date of filing with the NAIC: _____

☐ Original filing. ☐ Amended filing.

 10-25-21
Signature Date

 10-25-21
Signature Date

 10-25-21
Signature Date

(Name) Edward J Largent III

(Title)* President, CEO, and Board Chair

(Name) Joseph C Kohmann

(Title)* Chief Financial Officer and Treasurer

(Name) Frank A Carrino

(Title)* Chief Legal Officer and Secretary

*Signers must be principal executive officers of the Company (Chairman, President, CEO, CFO, Treasurer, Secretary)



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021
OF THE CONDITION AND AFFAIRS OF THE

Old Guard Insurance Company

NAIC Group Code 0228 0228 NAIC Company Code 17558 Employer's ID Number 23-0929640
(Current) (Prior)
Organized under the Laws of Ohio, State of Domicile or Port of Entry OH
Country of Domicile United States of America
Incorporated/Organized 12/09/1896 Commenced Business 12/09/1896
Statutory Home Office One Park Circle Westfield Center, OH, US 44251-5001
(Street and Number) (City or Town, State, Country and Zip Code)
Main Administrative Office One Park Circle
(Street and Number)
Westfield Center, OH, US 44251-5001 330-887-0101
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)
Mail Address P.O. Box 5001 Westfield Center, OH, US 44251-5001
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)
Primary Location of Books and Records One Park Circle
(Street and Number)
Westfield Center, OH, US 44251-5001 330-887-0101
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)
Internet Website Address www.westfieldgrp.com
Statutory Statement Contact Jeffrey Scott Gillentine 330-887-0101
(Name) (Area Code) (Telephone Number)
FinancialReporting@westfieldgrp.com 330-887-4415
(E-mail Address) (FAX Number)

OFFICERS

President, CEO, and Board Chair Edward James Largent III Chief Legal Officer and Secretary Frank Anthony Carrino
Chief Financial Officer and Treasurer Joseph Christian Kohmann

OTHER

<u>Robert William Bowers, Natl Clms and Cust Ser Ldr</u>	<u>Jeffrey Scott Gillentine, Controller</u>	<u>Robyn Renee Hahn #, President, Commercial Lines</u>
<u>Mark Anthony Kidd, Mid Market UW and Sales Leader</u>	<u>John Andrew Kuhn #, President, Westfield Specialty</u>	<u>Terry Lee McClaskey Jr, National Personal Lines Leader</u>
<u>James Robert Merz, Chief Actuarial and Analytic Officer</u>	<u>Kristine Lynn Neate, Chief of Staff</u>	<u>Jennifer Constantine Palmieri, Chief People Officer</u>
<u>Tracey Lynn Petkovic, Chief Information Officer</u>	<u>Michael Joseph Prandi #, Chief Operations Officer</u>	<u>Stuart Wayne Rosenberg, Chief Innov and Strategy Offr</u>
<u>David Harold Ruppel, Agribusiness UW and Sales Ldr</u>	<u>Peter Robert Schwanke, Chief Risk Officer</u>	<u>Gary William Stumper, National Surety Leader</u>
<u>Craig David Welsh, Chief Distribution Officer</u>	<u>George Krieg Wiswesser, Chief Investment Officer</u>	

DIRECTORS OR TRUSTEES

<u>Barbara Marie Bufkin #</u>	<u>Cheryl Lila Carlisle</u>	<u>Fariborz Ghadar</u>
<u>Gary Dean Hallman</u>	<u>David Preston Hollander</u>	<u>Michael Tufts Jeans #</u>
<u>John Patrick Lanigan Jr</u>	<u>Edward James Largent III</u>	<u>Craig David Pfeiffer</u>
<u>Billie Kay Rawot</u>	<u>John Lewis Watson</u>	

State of Ohio SS:
County of Medina

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Edward James Largent III Joseph Christian Kohmann Frank Anthony Carrino
President, CEO, and Board Chair Chief Financial Officer and Treasurer Chief Legal Officer and Secretary

Subscribed and sworn to before me this
15th day of October, 2021
Patricia L. Bilnovich

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number
2. Date filed
3. Number of pages attached

