



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021  
OF THE CONDITION AND AFFAIRS OF THE

Mount Carmel Health Plan, Inc.

NAIC Group Code 2838 2838 NAIC Company Code 95655 Employer's ID Number 31-1471229  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 08/07/1996 Commenced Business 04/01/1997

Statutory Home Office 6150 East Broad Street, EE320, Columbus, OH, US 43213  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213, 614-546-3211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320, Columbus, OH, US 43213  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213, 614-546-3211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.medigold.com

Statutory Statement Contact David Lee Vis, 614-546-3211  
(Name) (Area Code) (Telephone Number)  
David.Vis@medigold.com, 614-546-3131  
(E-mail Address) (FAX Number)

OFFICERS

President	<u>John Charles Randolph</u>	Secretary & Treasurer	<u>Joseph Jerome Patrick Jr.</u>
Board Chair	<u>Daniel James Wendorff MD</u>	Vice President & CFO	<u>David Lee Vis #</u>

OTHER

Trisha Anne Whetstone, Assistant Secretary

DIRECTORS OR TRUSTEES

<u>Cynthia Mauro Dellecker</u>	<u>Sister Barbara Ann Hahl, CSC</u>	<u>Lorraine Leigh Lutton</u>
<u>Stephen Michael Lundregan</u>	<u>Joseph Jerome Patrick, Jr</u>	<u>John Charles Randolph</u>
<u>Daniel James Wendorff, MD Chairperson</u>	<u>Todd Daniel Fox</u>	

State of Ohio SS:  
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>John Charles Randolph</u> President & CEO	<u>Joseph Jerome Patrick, Jr.</u> Secretary & Treasurer	<u>David Lee Vis #</u> Vice President & CFO
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Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....11/15/2021  
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	138,453,860		138,453,860	135,869,034
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	114,933,104		114,933,104	100,736,771
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....2,038,284 ), cash equivalents (\$ .....153,653,029 ) and short-term investments (\$ .....63,288,914 ) .....	218,980,226		218,980,226	180,149,421
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	341,037		341,037	109,083
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	472,708,227	0	472,708,227	416,864,309
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	1,025,328		1,025,328	886,910
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	665,418	1,852	663,566	728,204
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	242,682		242,682	58,770
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	1,647,988	1,647,988	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	101,902
24. Health care (\$ .....13,386,522 ) and other amounts receivable .....	13,386,522	192,794	13,193,728	13,069,079
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	489,676,165	1,842,634	487,833,531	431,709,174
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	489,676,165	1,842,634	487,833,531	431,709,174
DETAILS OF WRITE-INS				
1101. ....			0	0
1102. ....			0	0
1103. ....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Miscellaneous receivable .....			0	0
2502. ....			0	0
2503. ....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded) .....	34,664,682		34,664,682	37,558,431
2. Accrued medical incentive pool and bonus amounts .....	40,292,933		40,292,933	28,731,037
3. Unpaid claims adjustment expenses .....	699,368		699,368	688,319
4. Aggregate health policy reserves, including the liability of \$ .....2,500,000 for medical loss ratio rebate per the Public Health Service Act .....	2,500,000		2,500,000	2,500,000
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....	204,226		204,226	119,370
9. General expenses due or accrued .....	6,126,887		6,126,887	6,581,188
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	0
10.2 Net deferred tax liability .....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others.....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....	4,989,130		4,989,130	6,640,467
16. Derivatives .....			0	0
17. Payable for securities .....	3,160,413		3,160,413	61,206
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers).....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....			0	0
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	14,466,886	0	14,466,886	4,673,937
24. Total liabilities (Lines 1 to 23) .....	107,104,525	0	107,104,525	87,553,955
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	0
26. Common capital stock .....	XXX	XXX		
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	47,205,624	43,679,690
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	333,523,382	300,475,529
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	380,729,006	344,155,219
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	487,833,531	431,709,174
DETAILS OF WRITE-INS				
2301. Due to CMS RAPS Delete .....	17,772,026		17,772,026	17,772,026
2302. CMS Premium Receivable Oct MMR .....	(2,114)		(2,114)	(2,848,331)
2303. 2021 MYRA Receivable .....	(4,822,073)		(4,822,073)	(6,686,053)
2398. Summary of remaining write-ins for Line 23 from overflow page .....	1,519,047	0	1,519,047	(3,563,705)
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	14,466,886	0	14,466,886	4,673,937
2501. ....	XXX	XXX		0
2502. ....	XXX	XXX		0
2503. ....	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001. ....	XXX	XXX		0
3002. ....	XXX	XXX		0
3003. ....	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	385,106	393,151	522,027
2. Net premium income ( including \$ ..... non-health premium income).....	XXX	428,515,934	417,410,955	558,283,865
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			0
4. Fee-for-service (net of \$ ..... medical expenses).....	XXX			0
5. Risk revenue .....	XXX			0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	428,515,934	417,410,955	558,283,865
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		191,291,767	162,455,526	228,542,511
10. Other professional services .....		114,583,076	96,887,293	139,561,308
11. Outside referrals .....				0
12. Emergency room and out-of-area .....				0
13. Prescription drugs .....		35,602,973	39,277,507	46,018,656
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....		30,573,604	48,547,087	52,327,946
16. Subtotal (Lines 9 to 15) .....	0	372,051,420	347,167,413	466,450,421
<b>Less:</b>				
17. Net reinsurance recoveries .....		689,574	1,469,064	1,662,373
18. Total hospital and medical (Lines 16 minus 17) .....	0	371,361,846	345,698,349	464,788,048
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ .....6,868,252 cost containment expenses .....		12,550,249	12,895,879	16,595,550
21. General administrative expenses .....		27,017,973	25,370,823	35,701,700
22. Increase in reserves for life and accident and health contracts (including \$ ..... increase in reserves for life only) .....			9,100,963	2,500,000
23. Total underwriting deductions (Lines 18 through 22).....	0	410,930,068	393,066,014	519,585,298
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	17,585,866	24,344,941	38,698,567
25. Net investment income earned .....		2,883,571	3,815,110	5,010,383
26. Net realized capital gains (losses) less capital gains tax of \$ .....		4,192,506	(290,096)	887,521
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	7,076,077	3,525,014	5,897,904
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ..... ) (amount charged off \$ ..... )]. .....				
29. Aggregate write-ins for other income or expenses .....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	24,661,943	27,869,955	44,596,471
31. Federal and foreign income taxes incurred .....	XXX			
32. Net income (loss) (Lines 30 minus 31) .....	XXX	24,661,943	27,869,955	44,596,471
<b>DETAILS OF WRITE-INS</b>				
0601. Intercompany management fees .....	XXX		0	0
0602. ....	XXX		0	0
0603. ....	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	0	0
0701. Other non-health related revenue .....	XXX		0	0
0702. ....	XXX		0	0
0703. ....	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. ....			0	0
1402. ....			0	0
1403. ....			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. Other revenue .....			0	0
2902. Other income .....			0	0
2903. ....			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	0	0	0



STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	344,155,219	289,864,268	289,864,268
34. Net income or (loss) from Line 32 .....	24,661,943	27,869,955	44,596,471
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	7,710,045	799,680	8,156,760
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....			
39. Change in nonadmitted assets .....	675,865	326,922	280,563
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			0
45. Surplus adjustments:			
45.1 Paid in .....	3,525,934	0	1,257,156
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	2
48. Net change in capital & surplus (Lines 34 to 47) .....	36,573,787	28,996,557	54,290,952
49. Capital and surplus end of reporting period (Line 33 plus 48)	380,729,006	318,860,825	344,155,219
DETAILS OF WRITE-INS			
4701. Other gains .....			2
4702. ....			0
4703. ....		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	2

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	428,665,364	426,703,728	560,869,375
2. Net investment income .....	3,071,087	4,032,552	5,292,042
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	431,736,451	430,736,280	566,161,417
5. Benefit and loss related payments .....	362,351,237	346,299,441	459,588,749
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	40,011,474	38,736,504	52,528,353
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	0	0	0
10. Total (Lines 5 through 9) .....	402,362,711	385,035,945	512,117,102
11. Net cash from operations (Line 4 minus Line 10) .....	29,373,740	45,700,335	54,044,315
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	48,732,339	40,173,879	51,077,098
12.2 Stocks .....	6,974,295	13,648,385	19,532,191
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	(5,650)	594	0
12.7 Miscellaneous proceeds .....	3,099,207	105,053	33,612
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	58,800,191	53,927,911	70,642,901
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	51,777,480	57,007,054	47,471,975
13.2 Stocks .....	9,128,044	14,445,622	45,790,876
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	231,954	109,482	69,912
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	61,137,478	71,562,158	93,332,764
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(2,337,287)	(17,634,247)	(22,689,863)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	3,525,934	0	1,257,156
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	8,268,417	12,166,075	(1,181,385)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	11,794,351	12,166,075	75,771
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	38,830,804	40,232,164	31,430,223
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	180,149,421	148,719,198	148,719,198
19.2 End of period (Line 18 plus Line 19.1) .....	218,980,226	188,951,362	180,149,421

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. ....	0	0	0
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1  Total	Comprehensive (Hospital & Medical)		4  Medicare Supplement	5  Vision Only	6  Dental Only	7  Federal Employees Health Benefit Plan	8  Title XVIII Medicare	9  Title XIX Medicaid	10  Other
		2  Individual	3  Group							
Total Members at end of:										
1. Prior Year .....	42,849	0	0	0	0	0	0	42,849	0	0
2. First Quarter .....	43,019							43,019		
3. Second Quarter .....	42,661							42,661		
4. Third Quarter .....	42,309							42,309		
5. Current Year	0									
6. Current Year Member Months	385,106							385,106		
Total Member Ambulatory Encounters for Period:										
7. Physician .....	263,335							263,335		
8. Non-Physician .....	87,778							87,778		
9. Total	351,113	0	0	0	0	0	0	351,113	0	0
10. Hospital Patient Days Incurred	58,631							58,631		
11. Number of Inpatient Admissions	6,821							6,821		
12. Health Premiums Written (a) .....	428,600,790							428,600,790		
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	428,515,934							428,515,934		
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	362,693,700							362,693,700		
18. Amount Incurred for Provision of Health Care Services	372,051,420							372,051,420		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 428,600,790

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

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UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....					0	0
2. Medicare Supplement .....					0	0
3. Dental Only .....					0	0
4. Vision Only .....					0	0
5. Federal Employees Health Benefits Plan .....					0	0
6. Title XVIII - Medicare .....	35,175,692	308,506,300	0	34,664,682	35,175,692	37,558,432
7. Title XIX - Medicaid .....					0	0
8. Other health .....					0	0
9. Health subtotal (Lines 1 to 8) .....	35,175,692	308,506,300	0	34,664,682	35,175,692	37,558,432
10. Healthcare receivables (a) .....					0	0
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	5,724,478	13,287,230	27,724,411	12,568,522	33,448,889	28,731,037
13. Totals (Lines 9-10+11+12)	40,900,170	321,793,530	27,724,411	47,233,204	68,624,581	66,289,469

(a) Excludes \$ loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2021	2020
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4) .....	XXX	XXX	XXX	24,661,943	44,596,471
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4) .....	XXX	XXX	XXX	24,661,943	44,596,471
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4) .....	XXX	XXX	XXX	380,729,006	344,155,219
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8) .....	XXX	XXX	XXX	380,729,006	344,155,219

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during that period. Actual results could differ from those estimates.

#### C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by the government. Premiums received prior to such period are recorded as advanced premiums.

Benefits incurred and loss adjustment expenses include claims payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the Statements of Admitted Assets, Liabilities and Surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximate fair value due to the short-term maturities of the investments.

(2) – (4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds are carried at amortized cost.

The Company regularly evaluates investment securities for impairment. The related investment is written down to its estimated value.

Amortization of bond premium or discount is computed using the effective yield method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) The Company does not have any mortgage loans on real estate investments.

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities, except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

(7) The Company carries its investments in Mount Carmel Health Plan of Idaho, Inc. (MCHP-ID) and Mount Carmel Health Plan of New York, Inc. (MCHP-NY) at their statutory

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

capital plus surplus values.

(8) The Company does not have any joint venture investments.

(9) The Company does not have any derivatives.

(10) - (11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current period and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses, and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using amount of billed rebates provided by its Pharmacy Benefit Manager, adjusted for historical recovery patterns.

### D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

### 2. Accounting Changes and Correction of Errors

None.

### 3. Business Combinations and Goodwill

#### A. Statutory Purchase Method

Not Applicable

#### B. Statutory merger

Not Applicable

#### C. Assumption Reinsurance

Not Applicable

#### D. Impairment Loss

Not Applicable

### 4. Discontinued Operations

A – D Not Applicable

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

#### B. Debt Restructuring

Not Applicable

#### C. Reverse Mortgages

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

D. Loan-Backed Securities

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell .....			0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
c. Total 1st Quarter .....	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell .....			0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
f. Total 2nd Quarter .....	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell .....			0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
i. Total 3rd Quarter .....	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell .....	0	0	0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	0	0	0
l. Total 4th Quarter .....	0	0	0
m. Annual Aggregate Total .....		0	

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than- Temporary Impairment	5 Amortized Cost After Other-Than- Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4)

- a. The aggregate amount of unrealized losses:
1. Less than 12 Months ..... 1,571
2. 12 Months or Longer ..... 0
- b. The aggregate related fair value of securities with unrealized losses:
1. Less than 12 Months ..... 9,646,379
2. 12 Months or Longer ..... 0

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreement Transactions Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

I. Reserve Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable



**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.**

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

L. Restricted Assets

**1. Restricted Assets (Including Pledged)**

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown			0		0	0.000	0.000
b. Collateral held under security lending agreements			0		0	0.000	0.000
c. Subject to repurchase agreements			0		0	0.000	0.000
d. Subject to reverse repurchase agreements			0		0	0.000	0.000
e. Subject to dollar repurchase agreements			0		0	0.000	0.000
f. Subject to dollar reverse repurchase agreements			0		0	0.000	0.000
g. Placed under option contracts			0		0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock			0		0	0.000	0.000
i. FHLB capital stock			0		0	0.000	0.000
j. On deposit with states	473,879	469,172	4,707	0	473,879	0.097	0.097
k. On deposit with other regulatory bodies			0		0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)			0		0	0.000	0.000
m. Pledged as collateral not captured in other categories			0		0	0.000	0.000
n. Other restricted assets			0		0	0.000	0.000
o. Total Restricted Assets	473,879	469,172	4,707	0	473,879	0.097	0.097

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

**2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)**

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)m Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)m Column 5.

**3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)**

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)n Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)n Column 5.

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.**

**(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements**

	1	2	3	4
Collateral Assets	Book/Adjusted Carrying Value (BACV)	Fair Value	% of BACV to Total Assets (Admitted and Nonadmitted)*	% of BACV to Total Admitted Assets **
a. Cash, Cash Equivalents and Short-Term Investments .....			0.000 %	0.000 %
b. Schedule D, Part 1 .....			0.000 %	0.000 %
c. Schedule D, Part 2, Section 1 .....			0.000 %	0.000 %
d. Schedule D, Part 2, Section 2 .....			0.000 %	0.000 %
e. Schedule B .....			0.000 %	0.000 %
f. Schedule A .....			0.000 %	0.000 %
g. Schedule BA, Part 1 .....			0.000 %	0.000 %
h. Schedule DL, Part 1 .....			0.000 %	0.000 %
i. Other .....			0.000 %	0.000 %
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i) .....	0	0	0.000 %	0.000 %

\* Column 1 divided by Asset Page, Line 26 (Column 1)

\*\* Column 1 divided by Asset Page, Line 26 (Column 3)

	1	2
	Amount	% of Liability to Total Liabilities *
k. Recognized Obligation to Return Collateral Asset .....		0.000 %

\* Column 1 divided by Liability Page, Line 24(Column 3)

**M. Working Capital Finance Investments**

Not applicable

**N. Offsetting and Netting of Assets and Liabilities**

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
Due from Centers for Medicare and Medicaid Services .....	22,760,995	22,760,995	0

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			
Due to Centers for Medicare and Medicaid Services .....	22,760,995	8,585,455	14,175,540

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

**O. 5GI Securities**

Not Applicable

**P. Short Sales**

Not Applicable

**Q. Prepayment Penalty and Acceleration Fees**

	General Account
1. Number of CUSIPs .....	1
2. Aggregate Amount of Investment Income .....	43,809

**6. Joint Ventures, Partnerships and Limited Liability Companies**

- A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for investments in Joint Ventures, Partnerships or Limited Liability Companies during the statement periods.

**7. Investment Income**

- A. Due and accrued income was excluded from surplus on the following bases:

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

All investment income due and accrued with amounts that are over 90 days past due.

B. The total amount excluded was \$-0-.

## 8. Derivative Investments

A - B Not Applicable

## 9. Income Taxes

Not Applicable

## 10. Information Concerning Parent, Subsidiaries & Affiliates

A- C. The Company leases the services of certain employees and its office space from Mount Carmel Health System ("MCHS"). Additionally, MCHS also provides certain management and administrative services to the Company. Expenses related to services provided by MCHS were \$3,955,812 on September 30, 2021.

The Company also provides by agreement certain management, administrative, and marketing services to Mount Carmel Health Insurance Company ("MCHIC") and Mount Carmel Health Plan of Idaho, Inc. ("MCHP-ID"). Amount related to services provided by the Company to MCHIC were \$898,917 on September 30, 2021.

In 2018, the Company made a capital contribution of \$2,124,262 to MCHP-ID, a wholly owned subsidiary. A capital contribution of \$502,295 to MCHP-ID was made in first quarter 2021, \$982,634 in second quarter 2021 and \$397,705 in third quarter 2021. As of September 30, 2021, the investment in MCHP-ID is valued at \$2,379,348.

During February 2020, the Company obtained license in New York to write Medicare business and made a \$21,800,795 capital contribution to MCHP of New York. The Company currently plans to start writing business in New York January 1, 2022. As of September 30, 2021, the investment in MCHP of New York is valued at \$22,149,149.

D. The Company owed \$4,989,130 to Mount Carmel Health System (MCHS) and its affiliates as of September 30, 2021.

E. Not Applicable

F. Mount Carmel Health Plan (MCHP), a sister company to MCHIC, has agreed to provide administrative services to MCHIC and to MCHP subsidiary related to the operation of Medicare Advantage products offered by MCHIC.

G. All outstanding shares of the Company are owned by MCHS, a non-profit corporation domiciled in the State of Ohio. In addition, MCHS is sole owner of MCHIC.

H. Not Applicable

I. Not Applicable

J. Not Applicable

K. Not Applicable

L. Not Applicable

## M. All SCA Investments

### (1) Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8b Entities)

SCA Entity	Percentage of SCA Ownership	Gross Amount	Admitted Amount	Nonadmitted Amount
<b>a. SSAP No. 97 8a Entities</b>				
Mount Carmel Health Plan of Idaho, Inc. ....	100.0	2,379,348	2,379,348	0
Mount Carmel Health Plan of New York, Inc. ....	100.0	22,149,149	22,149,149	0
Total SSAP No. 97 8a Entities	XXX	24,528,497	24,528,497	0
<b>b. SSAP No. 97 8b(ii) Entities</b>				
Total SSAP No. 97 8b(ii) Entities	XXX	0	0	0
<b>c. SSAP No. 97 8b(iii) Entities</b>				
Total SSAP No. 97 8b(iii) Entities	XXX	0	0	0
<b>d. SSAP No. 97 8b(iv) Entities</b>				
Total SSAP No. 97 8b(iv) Entities	XXX	0	0	0
<b>e. Total SSAP No. 97 8b Entities (except 8b entities) (b+c+d)</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>f. Aggregate Total (a+ e)</b>	<b>XXX</b>	<b>24,528,497</b>	<b>24,528,497</b>	<b>0</b>

### (2) NAIC Filing Response Information

Not Applicable

## N. Investment in Insurance SCAs

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

O. SCA or SSAP 48 Entity Loss Tracking

Not Applicable

11. Debt – Not Applicable

A. Debt Including Capital Notes

Not Applicable

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits, and Compensated Absences and Other Postretirement Benefit Plans

A. – D. Defined Benefit Plan

Not Applicable

E. Defined Contribution Plans

Not Applicable

F. Multiemployer Plans

Not Applicable

G. Consolidated/Holding Company Plans

Not Applicable

H. Postemployment Benefits and Compensated Absences

Not Applicable

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The company has no shares authorized, issued and outstanding.

(2) The Company has no preferred stock outstanding.

(3) Not Applicable

(4) No dividends were paid by the Company.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The total amount of advances to surplus not repaid is \$-0-.

(8) The Company did not hold stock, including stock of affiliated companies, for special purposes of conversion of preferred stock, employee stock options, or stock purchase warrants.

(9) There were no changes in balances of special surplus funds from the prior year.

(10) The Company did not have unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses.

(11) The Company did not issue surplus debentures or similar obligations during the statement periods.

(12) The Company did not have a restatement due to a prior quasi-reorganization.

(13) The Company did not have any quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable

B. Assessments

Not Applicable

C. Gain Contingencies

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

Not Applicable

- D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

(1)  
Direct

(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits ..... 0

- E. Joint and Several Liabilities

Not Applicable

- F. All Other Contingencies

The Company is subject to various contingencies, including legal and compliance actions and proceedings that arise in the ordinary course of its business. Due to the complex nature of these actions and proceedings, the timing of the ultimate resolution of these matters is uncertain. Based on information received through the submission date of these statutory financial statements, management of the Company, after consultation with legal counsel, does not believe that the ultimate resolution of these matters will have a material adverse effect on the Company's future financial position or results of operations.

The Company is not aware of any other material contingent liabilities as of September 30, 2021.

15. Leases

Not Applicable

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not hold any financial instruments with off-balance sheet risk or concentrations of credit risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

- A. Transfer of Receivables Reported as Sales

Not Applicable

- B. Transfer and Servicing of financial Assets

Not Applicable

- C. Wash Sales

Not Applicable

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

- A. ASO Plans

Not Applicable

- B. ASC Plans

Not Applicable

- C. Medicare or Similarly Structured Cost Based Reimbursement Contract.

Not Applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

20. Fair Value Measurement

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash and cash Equivalents .....	149,041,812	6,649,502	0	0	155,691,314
Common Stock .....	114,933,104	0	0	0	114,933,104
Total assets at fair value/NAV	263,974,916	6,649,502	0	0	270,624,418

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
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**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.**

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	0	0	0	0	0

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Total Assets	0	0	0	0	0	0	0	0	0	0

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	0	0	0	0	0	0	0	0	0	0

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash and Cash Equivalents	155,691,255	155,691,314	149,041,812	6,649,502	0	0	
Common Stock	114,933,104	114,933,104	114,933,104	0	0	0	
Bonds	207,087,907	201,742,774	48,793,265	152,949,509	0	0	

D. Not Practicable to Estimate Fair Value

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mount Carmel Health Plan of Idaho, Inc.	2,379,348			Carrying this common stock at a net equity value ..
Mount Carmel Health Plan of New York, Inc.	22,149,149			Carrying this common stock at a net equity value ..

21. Other Items

A. Extraordinary Item

Not Applicable

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures and Unusual Items

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime-Mortgage-Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-Linked Securities (ILS) Contracts

Not Applicable

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not Applicable

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## 22. Events Subsequent

### Type I – Recognized Subsequent Events:

Subsequent events have been considered through November 12, 2021 for the statutory statement filed on November 15, 2021.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at September 30, 2021, which would have a material effect on its financial condition.

### Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through November 12, 2021 for the statutory statement filed on November 15, 2021.

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at September 30, 2021, but arose after that date, which would have a material effect on its financial condition.

## 23. Reinsurance – Not Applicable

### A. Ceded Reinsurance Report

Not Applicable

### B. Uncollectible Reinsurance

Not Applicable

### C. Communication of Ceded Reinsurance

Not Applicable

### D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable

## 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Primarily during Q2 CY2020, the Company experienced lower Part C utilization as a result of impact of COVID 19 on members willingness and ability to receive medical care. The Company is subject to minimum Medical Loss Ratio (MLR) percentage requirement under ACA legislation that requires health plans to spend 85% of premiums on overall medical care and other qualifying expenses. As of December 31, 2020, the plan prepared a "high level" computation of potential estimated liability for minimum MLR% regulations. Such analysis indicated a potential liability of \$2,500,000 that was recorded as of December 31, 2020. The plan is still carrying the liability as of September 30, 2021. The plan will continue to monitor the liability and will adjust accordingly.

## 25. Change in Incurred Claims and Claim Adjustment Expenses

Not Applicable

## 26. Intercompany Pooling Arrangements

### A. – G. Not Applicable

## 27. Structured Settlements

The Company has no structured statements

## 28. Health Care Receivables

Not Applicable

## 29. Participating Policies

Not Applicable

## 30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves..... 0

2. Date of the most recent evaluation of this liability ..... 12/31/2020

3. Was anticipated investment income utilized in the calculation? ..... Yes [ ] No [X]

## 31. Anticipated Salvage and Subrogation – Not Applicable

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims / losses and reduced such liability by \$-0-.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒

1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☒ No ☐

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☒ No ☐

3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
David Lee Vis was appointed VP of Finance and CFO on 9/27/2021.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  
If yes, complete and file the merger history data file with the NAIC.

Yes ☐ No ☒

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes ☐ No ☒ N/A ☐

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2020

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2017

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/23/2019

6.4

By what department or departments?

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC



GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....0
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ X ] No [ ]
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....0	\$ .....0
14.22 Preferred Stock .....	\$ .....0	\$ .....0
14.23 Common Stock .....	\$ .....24,394,454	\$ .....24,528,497
14.24 Short-Term Investments .....	\$ .....0	\$ .....0
14.25 Mortgage Loans on Real Estate .....	\$ .....0	\$ .....0
14.26 All Other .....	\$ .....0	\$ .....0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....24,394,454	\$ .....24,528,497
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ] N/A [ X ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0

16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0

16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust Corporation .....	50 South La Salle Street Chicago, Illinois 60603 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PNC Capital Advisors, LLC .....	U.....
State Street Global Advisors Trust Company .....	U.....
Wellington Management Company LLP .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ X ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
151829 .....	PNC Capital Advsiors, LLC .....	549300WVB7V480CY3U49 .....	SEC .....	DS .....
30107 .....	State Street Global Advisors Trust Company .....	549300BYW0XNH286YR10 .....	N/A .....	NO.....
00071052 .....	Wellington Management Company LLP .....	549300YHP12TEZNL CX41 .....	SEC .....	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

88.0 %

1.2 A&H cost containment percent

1.0 %

1.3 A&H expense percent excluding cost containment expenses

87.0 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [ ☐ ] No [ ☒ ]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$0
- 2.3

Do you act as an administrator for health savings accounts?

Yes [ ☐ ] No [ ☒ ]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$0
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [ ☒ ] No [ ☐ ]
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [ ☐ ] No [ ☒ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE									

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

		1	Direct Business Only								
States, etc.		Active Status (a)	2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 CHIP Title XXI	6 Federal Employees Health Benefits Program Premiums	7 Life and Annuity Premiums & Other Considerations	8 Property/Casualty Premiums	9 Total Columns 2 Through 8	10 Deposit-Type Contracts
1.	Alabama	AL	N							0	
2.	Alaska	AK	N							0	
3.	Arizona	AZ	N							0	
4.	Arkansas	AR	N							0	
5.	California	CA	N							0	
6.	Colorado	CO	N							0	
7.	Connecticut	CT	N							0	
8.	Delaware	DE	N							0	
9.	District of Columbia	DC	N							0	
10.	Florida	FL	N							0	
11.	Georgia	GA	N							0	
12.	Hawaii	HI	N							0	
13.	Idaho	ID	N							0	
14.	Illinois	IL	N							0	
15.	Indiana	IN	N							0	
16.	Iowa	IA	L	1,544,932						1,544,932	
17.	Kansas	KS	N							0	
18.	Kentucky	KY	N							0	
19.	Louisiana	LA	N							0	
20.	Maine	ME	N							0	
21.	Maryland	MD	N							0	
22.	Massachusetts	MA	N							0	
23.	Michigan	MI	N							0	
24.	Minnesota	MN	N							0	
25.	Mississippi	MS	N							0	
26.	Missouri	MO	N							0	
27.	Montana	MT	N							0	
28.	Nebraska	NE	N							0	
29.	Nevada	NV	N							0	
30.	New Hampshire	NH	N							0	
31.	New Jersey	NJ	N							0	
32.	New Mexico	NM	N							0	
33.	New York	NY	N							0	
34.	North Carolina	NC	N							0	
35.	North Dakota	ND	N							0	
36.	Ohio	OH	L	427,905,044						427,905,044	
37.	Oklahoma	OK	N							0	
38.	Oregon	OR	N							0	
39.	Pennsylvania	PA	N							0	
40.	Rhode Island	RI	N							0	
41.	South Carolina	SC	N							0	
42.	South Dakota	SD	N							0	
43.	Tennessee	TN	N							0	
44.	Texas	TX	N							0	
45.	Utah	UT	N							0	
46.	Vermont	VT	N							0	
47.	Virginia	VA	N							0	
48.	Washington	WA	N							0	
49.	West Virginia	WV	N							0	
50.	Wisconsin	WI	N							0	
51.	Wyoming	WY	N							0	
52.	American Samoa	AS	N							0	
53.	Guam	GU	N							0	
54.	Puerto Rico	PR	N							0	
55.	U.S. Virgin Islands	VI	N							0	
56.	Northern Mariana Islands	MP	N							0	
57.	Canada	CAN	N							0	
58.	Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0	0
59.	Subtotal	XXX	0	429,449,976	0	0	0	0	0	429,449,976	0
60.	Reporting Entity Contributions for Employee Benefit Plans	XXX								0	
61.	Totals (Direct Business)	XXX	0	429,449,976	0	0	0	0	0	429,449,976	0
DETAILS OF WRITE-INS											
58001.		XXX									
58002.		XXX									
58003.		XXX									
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts:  
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....2  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0  
N - None of the above - Not allowed to write business in the state.....55  
R - Registered - Non-domiciled RRGs.....0  
Q - Qualified - Qualified or accredited reinsurer.....0

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Trinity Health Corporation (an Indiana nonprofit); FEIN: 35-1443425 (PARENT CORPORATION)  
 Civica, Inc. (Del Nonprofit Nonstock Corporation); FEIN: 83-1246927 (Trinity has no ownership or membership interest in Civica – only voting rights and rights to appoint BOD members tttendant to our Trinity Health Pharmacy Services, LLC; FEIN: 84-310212 (100% Controlled by Immediate Parent)  
 Trinity Assurance, LTD (Cayman Island) (100% Controlled by Trinity Health Corporation)  
 Michigan Co-Tenancy Laboratory (Tenants in Common Co-Tenancy); Trinity Health Corporation holds a 6.09% Tenants in Common interest; THC together with its subsidiaries holds a 59.97% Tenants in Mercy Care Center; FEIN: 85-3904521 (100% Controlled by Trinity Health Corporation)  
 Premier Health Holdings, LLC; FEIN: 47-2665226 (55.7% Controlled by Trinity Health Corporation; 23.8% by St. Louise Holdings, LLC; 20.50% by Franciscan Missionaries of Our Lady Health System, Inc.)  
 Premier Health Consultants, LLC; FEIN: 20-5972751 (100% Controlled by Premier Health Holdings, LLC)  
 Total Urgent Care, LLC; FEIN: 84-3755134 (100% Controlled by Premier Health Consultants, LLC)  
 Total Urgent Care and Occupational Medicine, L.L.C.; FEIN: 27-1618580 (100% Controlled by Premier Health Consultants, LLC)  
 Lake Charles Urgent Care, L.L.C.; FEIN: 27-2272799 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by MMarque, Inc.)  
 LCUC Family Physicians Urgent Care, LLC; FEIN: 81-3301419 (55% Controlled by Lake Charles Urgent Care, LLC; 45% Controlled by Family Physicians Urgent Care, LLC)  
 Rapides After Hours Clinic, L.L.C.; FEIN: 45-1772383 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Rapides Healthcare System, L.L.C.)  
 Lake Urgent Care Ascension, L.L.C.; FEIN: 35-2463092 (33.34% Controlled by Premier Health Consultants, LLC; 66.66% Controlled by Our Lady of the Lake (trade name of Our Lady of the Lake Lourdes After Hours, L.L.C. FEIN: 20-1367299 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Our Lady of the Lake Regional Medical Center (trade name of Our Lady of the Convenient Care, L.L.C. FEIN: 72-1439481 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Our Lady of the Lake Regional Medical Center (trade name of Our Lady of the St. Joseph's/Candler Urgent Care Centers, LLC; FEIN: 82-4301751 (49% Controlled by Premier Health Consultants, LLC; 51% Controlled by St. Joseph's/Candler Health System, Inc.)  
 LCMC Urgent Care, LLC; FEIN: 30-0951534 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Crescent City Physicians, Inc.)  
 St. Francis Urgent Care, L.L.C.; FEIN: 47-4013731 (50% Controlled by Premier Health Consultants, LLC; 50% Controlled by St. Francis Medical Center, Inc.)  
 Seton Urgent Care, LLC; FEIN: 32-0511311 (This Captive Affiliated JV Entity is 33.33% Controlled by Premier Health Consultants, LLC; 33.33% Controlled HH/Killeen Health System, LLC; 33.33% Freedom Urgent Care PLLC; FEIN: 27-1208614 (100% of Beneficial Ownership of Equity Interests held by Jay McKenna, MD - This Captive Medical Practice is Managed by Premier Health CP Premier Urgent Care JV, LLC; FEIN: 32-0569183 (This Captive Affiliated JV Entity is 50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Cedar Park Health System, L.P.)  
 Family First Express Care, PLLC; FEIN: 84-2395528; (100% of Beneficial Ownership of Equity Interests held by Kevin DiBenedetto, MD - This Captive Medical Practice is Managed by Premier Health East Texas Urgent Care, LLC; FEIN: 84-3750645 (This Captive Affiliated JV Entity is 50% Controlled by Premier Health Consultants, LLC; 50% Controlled by East Texas Urgent Care Holdings, LLC) U East Texas Urgent Care Centers, PLLC; FEIN: 85-0603102; (100% of Beneficial Ownership of Equity Interests held by Kevin DiBenedetto, MD - This Captive Medical Practice is Managed by Topeka Urgent Care, LLC; FEIN: 85-0536501 (This Captive Affiliated JV Entity is 50% Controlled by Premier Health Consultants, LLC; 50% Controlled by Topeka Health System, LLC)  
 UK St. Francis Urgent Care, LLC; FEIN: 85-0732004; (100% of Beneficial Ownership of Equity Interests held by Kevin DiBenedetto, MD - This Captive Medical Practice is Managed by Premier Trinity Health Urgent Care of New England Urgent Care, PLLC; FEIN: 85-3033413; (100% of Beneficial Ownership of Equity Interests held by Kurt Myers, MD - This Captive Medical Practice is Managed by Holy Cross Health Urgent Care, Inc.; FEIN: 86-1216596; (100% of Beneficial Ownership of Equity Interests held by Kevin DiBenedetto, MD - This Captive Medical Practice is Managed by Premier Mercy Health Urgent Care, PLLC; FEIN: 85-4260462; (100% of Beneficial Ownership of Equity Interests held by Kevin DiBenedetto, MD - This Captive Medical Practice is Managed by Premier THPH Urgent Care, LLC; FEIN: 85-2464958 (51% Controlled by Trinity Health Corporation; 49% Controlled by Premier Health Consultants, LLC)  
 THPH Of New England, LLC; FEIN: 85-1888365 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
 THPH of Ft. Lauderdale, LLC; FEIN: 85-4185977 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
 Holy Cross Urgent Care, LLC; FEIN: 85-4026585 (This Captive Medical Practice is 100% Controlled by THPH Urgent Care, LLC and Managed by Premier Health Consultants, LLC)  
 THPH of Columbus, LLC; FEIN: 85-4041862 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
 Mount Carmel Urgent Care, LLC; FEIN: 85-3883823 (This Captive Medical Practice is 100% Controlled by THPH Urgent Care, LLC and Managed by Premier Health Consultants, LLC)  
 THPH of Athens, LLC; FEIN: 86-2848438 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
 Saint Mary's Health Care System Urgent Care, LLC; FEIN: 86-2944408 (This Captive Medical Practice is 100% Controlled by THPH Urgent Care, LLC and Managed by Premier Health Consultants, LLC)  
 THPH of Maryland, LLC; FEIN: 86-2380369 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
 THPH of West Michigan, LLC; FEIN: 85-4334405 (This Captive Affiliated JV Entity is 100% Controlled by THPH Urgent Care, LLC)  
Mount Carmel Health System (Ohio); FEIN: 31-1439334 (100% Controlled by Trinity Health Corporation)  
 Mount Carmel East (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel St. Ann's (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel New Albany Surgical Hospital (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel Grove City (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel Health System Foundation; FEIN: 31-1113966 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan, Inc. (HMO); FEIN: 31-1471229 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan of Idaho, Inc.; FEIN: 83-1422704 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan of New York, Inc.; FEIN: 83-3278543 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Insurance Company (PPO); FEIN: 25-1912781 (100% Controlled by Immediate Parent)  
 Mount Carmel College of Nursing; FEIN: 31-1308555 (100% Controlled by Immediate Parent)  
 Patient Transport Services of Columbus LLC dba Columbus Connection; FEIN: 26-4601285 (50% Controlled by Immediate Parent)  
 OSU/Mount Carmel Health Alliance; FEIN: 31-1654603 (50% Controlled by Immediate Parent)  
 Madison County Community Hospital; FEIN: 31-1657206 (40% Controlled by Immediate Parent)  
 Diley Ridge Medical Center; FEIN: 34-2032340 (70% Controlled by Immediate Parent)  
 Mount Carmel Health Partners, LLC; FEIN: 47-1139205 (100% Controlled by Immediate Parent)  
 Central Ohio Medical Textiles; FEIN: 38-3643188 (50% Controlled by Immediate Parent)  
 SA MOB III LLC; FEIN: 20-1218559 (Mount Carmel Health System holds a 38.14% interest; Mount Carmel HealthProviders, Inc. holds a 6.27% interest)  
 Mount Carmel HealthProviders, Inc. dba Mount Carmel Medical Group; FEIN: 31-1382442 (100% Controlled by Immediate Parent)  
 Note: Entity is also listed above as MCHS also holds a controlling interest %: SA MOB III LLC; FEIN: 20-1218559 (Mount Carmel Health System holds a 38.14% interest; Mount Carmel Health Providers, Inc. holds a 6.27% interest)  
 Mount Carmel HealthProviders Two, LLC; FEIN: 20-1983271 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Providers III, LLC; FEIN: 20-4145781 (100% Controlled by Immediate Parent)  
 Big Run Medical Office Building Limited Partnership; FEIN: 31-1608125 (76.92% Controlled by Immediate Parent)  
 MCHS Big Run Condominium Association; FEIN: 31-1571567 (50% Controlled by Immediate Parent)  
 Taylor Station Surgical Center, LTD; FEIN: 31-1459910 (40% Controlled by Immediate Parent)  
 Columbus Cyberknife, LLC; FEIN: 27-0865251 (35% Controlled by Immediate Parent)  
 New Albany Surgery Center, LLC; FEIN: 45-1617821 (35% Controlled by Immediate Parent)  
 MCE MOB IV Limited Partnership; FEIN: 42-1544707 (49.63% Controlled by Immediate Parent)  
 StAnn's Medical Office Building II Limited Partnership; FEIN: 31-1603660 (46.75% Controlled by Immediate Parent)  
 Mount Carmel East Professional Office Building III Limited Partnership; FEIN: 31-1369473 (27.5% Controlled by Immediate Parent)  
 Medilicent MOB I Limited Partnership; FEIN: 20-4913370 (25% Controlled by Immediate Parent)  
 Eastwind Surgical, LLC; FEIN: 90-0739342 (30.77841% Controlled by Immediate Parent)  
 Health Collaborative of Central Ohio, LLC; FEIN: 46-5603895 (100% Controlled by Immediate Parent)  
 Encompass Health Rehabilitation Hospital of Westerville, LLC dba Mount Carmel Rehabilitation Hospital, an Affiliate of Encompass Health; FEIN: 47-4200156 (20.4% Controlling Interest held by immediate Parent)  
 Orange ASC, Ltd.; FEIN: (50% Controlled by Immediate Parent)  
Holy Cross Health, Inc. (Maryland); FEIN: 52-0738041 (100% Controlled by Trinity Health Corporation)  
 Holy Cross Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Holy Cross Germantown Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Holy Cross Health Network (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Maryland Care Group, Inc.; FEIN: 52-1815313 (100% Controlled by Immediate Parent)  
 Holy Cross Health Foundation, Inc.; FEIN: 20-8428450 (100% Controlled by Immediate Parent)  
 Chesapeake Potomac Regional Cancer Center, LLC; FEIN: 20-3762277 (20% Controlled by Immediate Parent)  
 Doctors' Regional Cancer Center, LLC; FEIN: 20-8889327 (20% Controlled by Immediate Parent)  
 Maryland Care, Inc. d/b/a Maryland Physician Care MCO; FEIN: 22-3476498 (25% Controlled by Immediate Parent)  
 Maryland Care Management, Inc. dba Maryland Physician Care MCO; FEIN: 20-4771530 (25% Controlled by Immediate Parent)  
 The Blue Door Pharmacy, LLC; FEIN: 47-3638756 (25% Controlled by Immediate Parent)  
 Holy Cross Health Centers, LLC; FEIN: 82-2340203 (100% Controlled by Immediate Parent)  
 Holy Cross Health Partners, LLC; FEIN: 82-2391212 (100% Controlled by Immediate Parent)  
 Mercy Health Network, Inc. d/b/a MercyOne FEIN: 42-1478417 (50% Controlled by Trinity Health Corporation; 50% Controlled by CommonSpirit Health (Catholic Health Initiatives) [Iowa/Nebraska])  
 Wellmark Value Health Plan, Inc.; FEIN: 42-1264647 (50% Controlled by Mercy Health Network, Inc.)  
 Mercy Community Hospital Group, LLC; FEIN: 35-2473948 (100% Controlled by Immediate Parent)  
 Central Community Hospital dba MercyOne Elkhader Medical Center; FEIN: 42-0818642 (100% Controlled by Immediate Parent)  
 Wheaton Franciscan Healthcare - Iowa, Inc. dba MercyOne Northeast Iowa; FEIN: 42-1177001 (100% Controlled by MHN)  
 N.E. Iowa Real Estate Investments, Ltd.; FEIN: 42-1207432 (100% Controlled by Immediate Parent)  
 Mercy Hospital of Franciscan Sisters, Inc. dba MercyOne Oshtemo Medical Center; FEIN: 42-1178403 (100% Controlled by Immediate Parent)  
 Covenant Medical Center, Inc. dba MercyOne Waterloo Medical Center; FEIN: 42-1264647 (100% Controlled by Immediate Parent)  
 Covenant Foundation, Inc. dba MercyOne Waterloo Foundation; FEIN: 42-1295784 (100% Controlled by Immediate Parent)  
 Sartori Memorial Hospital, Inc. dba MercyOne Cedar Falls Medical Center; FEIN: 42-0758901 (100% Controlled by Immediate Parent)  
 Sartori Health Care Foundation, Inc. dba MercyOne Cedar Falls Foundation; FEIN: 42-1240996 (100% Controlled by Immediate Parent)

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Mercy Health Services - Iowa, Corp. [Iowa/Nebraska]; FEIN: 31-1373080 (100% Controlled by Trinity Health Corporation; Subject to Mercy Health Network, Inc. JOA)  
Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center; FEIN: 42-1336583 (100% Controlled by Immediate Parent)  
Mercy Healthcare Foundation-Clinton; FEIN: 42-1316126 (Entity is independent of and not controlled by Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center)  
Mercy-Clinton Anesthesia Group, LLC; FEIN: 46-1906752 (100% Controlled by Immediate Parent)  
Clinton Imaging Services, L.L.C.; FEIN: 41-2044739 (65% Controlled by Immediate Parent)  
MercyOne Dyersville Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Dubuque Mercy Health Foundation, Inc. dba MercyOne Dubuque Foundation; FEIN: 26-2227941 (100% Controlled by Immediate Parent)  
Dyersville Health Foundation, Inc. dba MercyOne Dyersville Foundation; FEIN: 20-5383271 (100% Controlled by Immediate Parent)  
Preferred Health Choices, L.L.C.; FEIN: 90-0139311 (50% Controlled by Immediate Parent)  
Health Management Services, L.L.C.; FEIN: 46-1861361 (50% Controlled by MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa Corp.; FEIN: 31-1373080))  
Tri-State Surgery Center, L.L.C.; FEIN: 91-1900559 (100% Controlled by Immediate Parent)  
Medical Associates/Mercy Family Care Network, L.L.C.; FEIN: 42-1478444 (100% Controlled by Immediate Parent)  
Tri-State Occupational Health, L.L.C.; FEIN: 90-1039315 (100% Controlled by Immediate Parent)  
MercyOne New Hampton Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Hospice of North Iowa dba MercyOne North Iowa Hospice; FEIN: 42-1173708 (100% Controlled by Immediate Parent)  
Mercy Medical Center Foundation - North Iowa dba MercyOne North Iowa Foundation; FEIN: 42-1229151 (100% Controlled by Immediate Parent)  
Forest Park Imaging, LLC; FEIN: 13-4365966 (52.89% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Fresenius Kidney Center North Iowa; FEIN: 81-2470407 (20% Controlled by Immediate Parent)  
Surgical Center Building Associates, LLC; FEIN: 31-1373080 (35% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
YMCA and Rehabilitation Center; FEIN: 42-1491491 (50% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Magnetic Resonance Services, LLC; FEIN: 42-1328388 (49% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Mason City Ambulatory Surgery Center, LLC dba Mason City Surgery Center; FEIN: 20-1960348 (51% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Mercy Heart Center Outpatient Services, LLC; FEIN: 13-4237594 (51% Controlled by MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Hawarden Regional Healthcare Clinics, L.L.C.; FEIN: 20-1444339 (50% Controlled by MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Mercy Medical Services, Inc. dba MercyOne Medical Group - Siouxland; FEIN: 42-1283849 (100% Controlled by Immediate Parent)  
Mercy Medical Center - Sioux City Foundation dba MercyOne Siouxland Foundation; FEIN: 14-1880022 (100% Controlled by Immediate Parent)  
Health, Incorporated; FEIN: 31-1712115 (50% Controlled by MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Siouxland Paramedics, Inc.; FEIN: 42-1185707 (100% Controlled by Immediate Parent)  
Siouxland Regional Cancer Center dba June E. Nylen Cancer Center; FEIN: 42-1411233 (100% Controlled by Immediate Parent)  
Hospice of Siouxland; FEIN: 38-3320710 (100% Controlled by Immediate Parent)  
Mercy/USP Health Ventures, L.L.C.; FEIN: 47-1290300 (55.71% Controlled by MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.; FEIN: 31-1373080)  
Siouxland Surgery Center Limited Liability Partnership; FEIN: 46-0423353 (55.54% Controlled by Immediate Parent)  
Oakland Mercy Hospital dba MercyOne Oakland Medical Center; FEIN: 20-8072234 (100% Controlled by Immediate Parent). Hospital is in process of closing - Clinic will remain open.  
Oakland Mercy Hospital Foundation dba MercyOne Oakland Foundation; FEIN: 31-1678345 (100% Controlled by Immediate Parent)  
Baum Harmon Mercy Hospital dba MercyOne Primghar Medical Center; FEIN: 42-1500277 (100% Controlled by Immediate Parent)  
Baum Harmon Mercy Hospital and Clinics Foundation dba MercyOne Primghar Foundation; FEIN: 26-2973307 (100% Controlled by Immediate Parent)  
Saint Joseph Regional Medical Center, Inc. [Indiana]; FEIN: 35-1568821 (100% Controlled by Trinity Health)  
The Foundation of Saint Joseph Regional Medical Center, Inc.; FEIN: 35-1654543 (100% Controlled by Immediate Parent)  
Alick's Home Medical Equipment, Inc.; FEIN: 35-1548294 (13.50% Controlled by Immediate Parent)  
Saint Joseph Regional Medical Center - Health Insurance Services, LLC; FEIN: 46-2814097 (100% Controlled by Immediate Parent)  
Northern Indiana Magnetic Resonance Center, LLP; FEIN: 35-1832912 (25% Controlled by Immediate Parent)  
Select Health Network, Inc.; FEIN: 35-1932210 (50% Controlled by Immediate Parent)  
Michiana Heath Information Network, LLC; FEIN: 35-2050128 (33.33% Controlled by Immediate Parent)  
Edison Lakes, Inc.; FEIN: 35-1783309 (23.84% Controlled by Immediate Parent)  
Edison Lakes ROC, LLC; FEIN: 27-1778694 (30% Controlled by Immediate Parent)  
Saint Joseph Regional Medical Center - South Bend Campus Inc.; FEIN: 35-0868157 (100% Controlled by Immediate Parent)  
Saint Joseph Regional Medical Center - Plymouth Campus, Inc.; FEIN: 35-1142669 (100% Controlled by Immediate Parent)  
SIRMC Holdings, Inc.; FEIN: 47-4763735 (100% Controlled by Immediate Parent)  
Michiana Urgent Care Management, LLC; FEIN: 47-4279865 (40% Controlled by Immediate Parent)  
Saint Alphonsus Health System, Inc. [Idaho/Oregon]; FEIN: 27-1929502 (100% Controlled by Trinity Health)  
Saint Alphonsus Medical Center - Nampa, Inc.; FEIN: 82-0200896 (100% Controlled by Immediate Parent)  
MedNow, Inc.; FEIN: 82-0389927 (100% Controlled by Immediate Parent)  
Saint Alphonsus Medical Center - Nampa Health Foundation, Inc.; FEIN: 26-1737256 (100% Controlled by Immediate Parent)  
Saint Alphonsus Regional Medical Center, Inc.; FEIN: 82-0200895 (100% Controlled by Immediate Parent)  
Saint Alphonsus Regional Medical Center Auxiliary, Inc.; FEIN: 82-6009027 (100% Controlled by Immediate Parent)  
Life Flight Network, LLC; FEIN: 20-5016802 (25% Controlled by Immediate Parent)  
Saint Alphonsus Regional Rehabilitation Hospital, LLC; FEIN: 63-0860407 (49% Controlled by Saint Alphonsus Diversified Care, Inc.)  
Intermountain Medical Imaging, LLC; FEIN: 82-0514422 (50% Controlled by Immediate Parent)  
Saint Alphonsus Caldwell Cancer Treatment Center, L.L.C.; FEIN: 82-0526861 (80% Controlled by Immediate Parent)  
Saint Alphonsus Home Health and Hospice, LLC; FEIN: 20-3942050 (50% Controlled by Immediate Parent)  
Saint Alphonsus Professional Medical Services LLC; FEIN: 46-0500210 (100% Controlled by Immediate Parent)  
Saint Alphonsus Specialty Services, Inc.; FEIN: 26-0553931 (100% Controlled by Immediate Parent)  
Saint Alphonsus Medical Center - Ontario, Inc.; FEIN: 27-1789847 (100% Controlled by Immediate Parent)  
Saint Alphonsus Medical Center Ontario Volunteers; FEIN: 94-3059469 (100% Controlled by Immediate Parent)  
Saint Alphonsus Foundation - Ontario, Inc.; FEIN: 20-2683560 (100% Controlled by Immediate Parent)  
Saint Alphonsus Medical Center - Baker City, Inc.; FEIN: 27-1790052 (100% Controlled by Immediate Parent)  
Saint Alphonsus Foundation - Baker City, Inc.; FEIN: 94-3164869 (100% Controlled by Immediate Parent)  
Eastern Oregon Coordinated Care Organization, LLC; FEIN: (10% Controlled by Saint Alphonsus Health System, Inc.)  
Saint Alphonsus Health Alliance, Inc.; FEIN: 82-0524649 (100% Controlled by Saint Alphonsus Health System, Inc.)  
Summit Health Plan, Inc.; OR INSURANCE DIVISION CORPORATION FORMED 2/14/2020; FEIN: 83-3295864 (10% Controlled by SAHS)  
Trinity Health - Michigan [Michigan]; FEIN: 38-2113393 (100% Controlled by Trinity Health Corporation)  
THRE Services LLC; FEIN: 45-2603654 (100% Controlled by Immediate Parent)  
Joint Venture Hospital Laboratories, L.L.C.; FEIN: (16.67% Controlled by Trinity Health-Michigan)  
Tri-Hospital Emergency Medical Services Corporation; FEIN: 38-2485700 (33.33% Controlled by Immediate Parent)  
Saint Joseph Mercy Health System (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
St. Joseph Mercy Chelsea, Inc. dba St. Joseph Mercy Chelsea Hospital; dba Chelsea Community Hospital, A Member of  
St. Joseph Mercy Hospital, Ann Arbor; (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
Saint Joseph Mercy Livingston Hospital (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
St. Mary Mercy Hospital; Saint Mary Mercy Livonia (Division of and dbas for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
St. Joseph Mercy Oakland (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Mercy Health Saint Mary's (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Saint Mary's Foundation; FEIN: 38-1779602 (100% Controlled by Immediate Parent)

Mercy Hospital Cadillac Foundation; FEIN: 20-3357131 (100% Controlled by Immediate Parent)

Metropolitan Detroit Area Hospital Services, Inc.; FEIN: 38-1958953 (A Michigan Non-Profit Co-Op); Members include Trinity Health Park Central, L.L.C.; FEIN: 38-3006501 (10.55% Controlled by Immediate Parent)

Together Health Network, L.L.C.; FEIN: 47-1573173; (47.5% Controlled by Immediate Parent)

Sixty-Fourth Street, LLC; FEIN: 20-2443646; (53.94% Controlled by Immediate Parent)

Washtenaw/Livingston Medical Control Corporation; FEIN: 38-2843970 (52.5% Controlled by Immediate Parent)

Mission Health Corporation; FEIN: 38-3181557 (50% Controlled by Immediate Parent)

Center for Digestive Care, LLC; FEIN: 03-0447062 (51% Controlled by Immediate Parent)

Huron Arbor Corporation; FEIN: 38-2475644 (100% Controlled by Immediate Parent)

Parkprop, LLC; FEIN: 27-3074736 (100% Controlled by Immediate Parent)

Probiology Therapy Services; FEIN: 20-2020239 (100% Controlled by Immediate Parent)

Advantage Health/ Saint Mary's Medical Group d/b/a Mercy Health Physician Partners; FEIN: 27-2491974 (100% Controlled by Immediate Parent)

Advent Rehabilitation LLC; FEIN: 38-3306673 (50% Controlled by Immediate Parent)

Life Circles; FEIN: 26-0170498 (25.5% Controlled by Immediate Parent)

Woodland Imaging Center, LLC dba Avant Imaging; FEIN: 76-0820959 (51% Controlled by Immediate Parent);

IHA Health Services Corporation; FEIN: 38-3316559 (100% Controlled by Immediate Parent)

Huron Valley CT Center, LLC; FEIN: 61-1408603 (100% Controlled by Immediate Parent)

IHA Affiliation Corp.; FEIN: 38-3188895 (100% Controlled by Immediate Parent)

McAuley Health Partners ACO, LLC; FEIN: 83-0959900 (100% Controlled by Immediate Parent)

IHA Olma Primary Care Risk, LLC; FEIN: 85-3429295 (100% Controlled by IHA Health Services Corporation)

IHA Olma Primary Non Risk, LLC; FEIN: 85-3450897 (100% Controlled by IHA Health Services Corporation)

IHA Olma Specialty Services, LLC; FEIN: 85-3475812 (100% Controlled by IHA Health Services Corporation)

IHA OLMA Specialty 1 LLC; FEIN: 86-2523920 (100% controlled by IHA Health Services Corporation)

IHA OOMA Primary LLC; FEIN: 86-1531584 (100% owned by IHA Health Services Corporation)

IHA WLMA Specialty LLC; FEIN: 86-1513330 (100% controlled by IHA Health Services Corporation)

Catherine McAuley Health Services Corporation; FEIN: 38-2507173 (100% Controlled by Immediate Parent)

Physician Direct Accountable Care Organization LLC; FEIN: 45-5589234 (25% Controlled by Immediate Parent)

Oakland Health Alliance, LLC; FEIN: 82-2021072 (100% Controlled by Immediate Parent)

Southeast Michigan Clinical Network, LLC; FEIN: 47-3856789 (100% Controlled by Immediate Parent)

Frances Warde Medical Laboratory (Trinity Health - Michigan Partnership); FEIN: 38-2648446 (66.6% Controlled by Immediate Parent)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

Northern Michigan Supply Alliance, L.L.C.; FEIN: 38-3453378 (50% Controlled by Immediate Parent)

Mercy Health Partners; FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Mercy Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Hackley Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Lakeshore Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Muskegon Community Health Project; FEIN: 91-1932918 (100% Controlled by Immediate Parent)

Muskegon SC, LLC; FEIN: 20-3244346 (34.88% Controlled by Immediate Parent)

West Shore Professional Building Condominium Association; FEIN: 38-2700166 (96% Controlled by Immediate Parent)

Professional Med Team; FEIN: 38-2638284 (100% Controlled by Immediate Parent)

Mobile Health Resources, L.L.C.; FEIN: 38-3285823 (14.3% Controlled by Immediate Parent)

Mercy Health Clinically Integrated Network, LLC dba Affinia Health Network; FEIN: 47-2070753 (100% Controlled by Immediate Parent)

Affinia Physician Network, LLC; FEIN: 82-2810979 (100% Controlled by Immediate Parent)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Hackley Health Ventures, Inc.; FEIN: 38-2589959 (100% Controlled by Immediate Parent)

Hackley Professional Pharmacy, Inc. dba Mercy Health Partners-Pharmacy Inc.; dba Mercy Health Pharmacy - Lakes; dba Mercy Health Pharmacy - North Muskegon; FEIN: 38-2447870 (100% Controlled by Immediate Parent)

Workplace Health of Grand Haven, Inc.; FEIN: 38-3112035 (80% Controlled by Immediate Parent)

Loyola University Health System (Illinois); FEIN: 36-3342448 (100% Controlled by Trinity Health Corporation)

Loyola Physician Partners ACO, LLC; FEIN: 38-3930598 (100% Controlled by Immediate Parent)

Gottlieb Memorial Hospital; FEIN: 36-2379649 (100% Controlled by Immediate Parent)

Gottlieb/West Towns PHO, Inc.; FEIN: 36-4006263 (50% Controlled by Immediate Parent)

Gottlieb Community Health Services Corporation dba MacNeal Hospital; FEIN: 36-3332852 (100% Controlled by Immediate Parent)

L. Medicine Labs, LLC; FEIN: 37-1878743 (100% Controlled by Immediate Parent)

Primary Care Physicians Center, L.L.C.; FEIN: 36-4038505 (94% Controlled by GCHSC)

Chicago Health System ACO, LLC (Inactive operationally since 2019 due to termination of Medicare Shared Savings Program but remains active with the IL SOS); FEIN: 45-3020116 (100% Controlled by Immediate Parent)

MacNeal Health Providers, Inc. dba Chicago Health System, Inc.; FEIN: 36-3361297 (100% Controlled by GCHSC)

NOMC/MacNeal Radiation Therapy Joint Venture, L.L.C.; FEIN: 20-0812600 (45% Controlled by GCHSC)

Loyola University Medical Center; FEIN: 36-4015560 (100% Controlled by Immediate Parent)

Loyola Ambulatory Centers, LLC; FEIN: 36-4321058 (100% Controlled by Immediate Parent)

Loyola Ambulatory Surgery Center at Oakbrook, L.P.; FEIN: 36-4119522 (49% Controlled by Immediate Parent)

RMLHP Corporation; FEIN: 36-4160869 (50% Controlled by Immediate Parent)

Loyola Medicine Transport LLC; FEIN: 47-4147171 (100% Controlled by Immediate Parent)

Loyola Medical Group, LLC; FEIN: 32-0552496 (100% Controlled by LUMC)

South Campus Partners, Inc.; FEIN: 32-0517854 (49% Controlled by LUMC)

Palos Health Surgery Center, LLC; FEIN: 35-2634975 (48.5% Controlled by Loyola University Medical Center)

Loyola Physician Partners, LLC; FEIN: 37-1756257; (100% Controlled by Immediate Parent)

Mercy Health System of Chicago (Illinois); FEIN: 36-3163327 (100% Controlled by Trinity Health)

Mercy Hospital and Medical Center; FEIN: 36-2170152 (100% Controlled by Immediate Parent). (Assets were sold effective 6/1/2021 but the entity remains).

Mercy Foundation, Inc.; FEIN: 36-3227350 (100% Controlled by Immediate Parent). (Assets were sold effective 6/1/2021 but entity remains).

Saint Agnes Medical Center (California); FEIN: 94-1437713 (100% Controlled by Trinity Health)

Saint Agnes Medical Foundation dba Saint Agnes Care; Saint Agnes Care Center-Northwest; and Saint Agnes Urgent Care; FEIN: 94-2839324 (100% Controlled by Immediate Parent)

Saint Agnes Medical Providers, Inc.; FEIN: 46-1465093 (Sole Shareholder licensed physicians appointed by SAMC - Not Controlled by SAMC)

Central Valley Health Plan, Inc.; FEIN: 61-1846844 (100% Controlled by Immediate Parent)

Central Valley Medical Providers, Inc.; FEIN: (Captive PC - Medical IPA)

California Healthcare Capital Partners, LLC; FEIN: 81-2937390 (33% Controlled by Immediate Parent)

Saint Agnes/USP Surgery Centers, LLC; FEIN: 36-4896811 (50.1% Controlled by Saint Agnes Medical Center)

Renaissance Surgery Center, LLC; FEIN: 20-5977652 (Saint Agnes/USP Surgery Centers, LLC acquired 51% membership interest 9/1/2018)

Saint Agnes/Dignity/USP Surgery Centers, L.L.C.; FEIN: 84-3522377 (50.1% Controlling Interest held by Saint Agnes Medical Center)

Central California Healthcare Holdings, LLC; FEIN: 47-4538833 (71.35% Controlling Interest held by Saint Agnes/Dignity/USP Surgery Centers, L.L.C.)

Sierra Pacific Surgery Center, LLC; FEIN: 95-4810282 (100% Controlled by Central California Healthcare Holdings, LLC)

FSC Hospital, LLC; FEIN: 77-0528014 (100% Controlled by Central California Healthcare Holdings, LLC)

Fresno Surgery Center, L.P.; FEIN: 77-0011624 (75.102212% Controlling Interest held by FSC Hospital, LLC; 24.897788% held by Central California Healthcare Holdings, LLC)

Mercy Medical, A Corporation (Alabama); FEIN: 63-6002215 (100% Controlled by Trinity Health)

Pittsburgh Mercy Health System, Inc. (Pennsylvania); FEIN: 25-1464211 (100% Controlled by Trinity Health)

Mercy Life Center Corporation; FEIN: 25-1604115 (100% Controlled by Immediate Parent)

Living Independence for the Elderly - Pittsburgh, Inc. d/b/a LIFE Pittsburgh; FEIN: 25-1815436 (48% Controlled by Immediate Parent)

McAuley Ministries; FEIN: 94-3436142 (100% Controlled by Immediate Parent)

Bethlehem Haven of Pittsburgh, Inc.; FEIN: 25-1436685 (100% Controlled by Immediate Parent)

BH Venture Mgmt LLC; FEIN: 83-2416426 (100% Controlled by Bethlehem Haven of Pittsburgh, Inc.)

BH Venture One LP; FEIN: 38-4098074 (99% Controlled by Limited Partner Bethlehem Haven of Pittsburgh, Inc. and 1% Controlled by General Partner BH Venture Mgmt, LLC)

Trinity Continuing Care Services (multistate operation - incorporated in Michigan); FEIN: 38-2559656 (100% Controlled by Trinity Health Corporation)

Trinity Senior Services Management, Inc.; FEIN: 37-1572595 (100% Controlled by Trinity Continuing Care Services/Trinity Health)

Holy Cross CareNet, Inc. (Operating Assets Sold 8.31.2018 but Entity Remains); FEIN: 52-1945054 (100% Controlled by Immediate Parent)

Mary Free Bed Sub-Acute Rehabilitation; FEIN: 46-3971740 (50% Controlled by Immediate Parent)

Mercy Services for Aging Nonprofit Housing Corporation; FEIN: 38-2719605 (100% Controlled by Immediate Parent)

Trinity Continuing Care Services - Indiana, Inc.; FEIN: 93-0907047 (100% Controlled by Immediate Parent)

Saint Joseph's Tower Inc.; FEIN: 31-1040468 (100% Controlled by Immediate Parent)



# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Saint Joseph of the Pines, Inc.; FEIN: 56-0694200 (100% Controlled by Immediate Parent)

Mercy Community Health, Inc.; FEIN: 06-1492707 (100% Controlled by Immediate Parent)

Saint Mary Home, Incorporated; FEIN: 06-0646843 (100% Controlled by Immediate Parent)

McAuley Center, Incorporated; FEIN: 06-1058086 (100% Controlled by Immediate Parent)

Mount St. Joseph dba Mount Saint Joseph Residence and Rehabilitation; FEIN: 01-0274998 (100% Controlled by Immediate Parent) Entity's assets were sold effective 7/1/2021 but entity remains

Glacier Hills, Inc.; FEIN: 38-1891500 (100% Controlled by Immediate Parent)

Glacier Hills Foundation; FEIN: 20-8072723 (100% Controlled by Immediate Parent) (MA)

Farren Care Center has ceased operations, but the corporation entity, TCCS-MA, still remains: Trinity Continuing Care Services - Massachusetts dba Farren Care Center. (Per the February 2018 transfer of assets was not transferred, this shell entity remains with THONE; FEIN: 82-4005577 (100% Controlled by Immediate Parent)

Trinity Home Health Services (multistate operation - incorporated in Michigan); FEIN: 38-2621935 (100% Controlled by Trinity Health Corporation)

ASSETS OF THIS ENTITY WERE SOLD 10.31.2017 BUT ENTITY REMAINS - THHS Oakland; FEIN: 38-3320699 (100% Controlled by Immediate Parent)

Hospice of Muskegon County, Inc.; FEIN: 38-2415247 (20% Controlled by Trinity Home Health Services)

Mercy General Health Partners, Amicare Homecare dba North Ottawa at Home; FEIN: 38-3.321856 (100% Controlled by Immediate Parent)

Saint Mary's Amicare Home Healthcare; FEIN: 38-3320700 (100% Controlled by Immediate Parent)

St. Joseph's Health at Home, Inc.; FEIN: 87-1012253 (100% Controlled by Trinity Home Health Services)

Holy Cross Home Health, Inc. (FL dba of Trinity Home Health Services (FEIN: 38-2621935 (100% Controlled by Trinity Home Health Services)

Mercy Home Health; FEIN: 23-1352099 (100% Controlled by Trinity Home Health Services) Per membership transfer effective 7/1/2021 from THMA Region to THHS which is now the Corporate Member

Mercy Home Health Services; FEIN: 23-2325058 (100% Controlled by Immediate Parent) Per membership transfer effective 7/1/2021 from THMA Region to THHS which is now the Corporate Member

Mercy Family Support; FEIN: 23-2325059 (100% Controlled by Immediate Parent) Per membership transfer effective 7/1/2021 from THMA Region to THHS which is now the Corporate Member

Trinity Health PACE; FEIN: 47-3073124 (100% Controlled by Immediate Parent) (multistate operation - incorporated in Michigan)

Saint Joseph PACE Inc.; FEIN: 47-3129127 (100% Controlled by Immediate Parent)

LIFE St. Joseph of the Pines, Inc.; FEIN: 27-2159847 (100% Controlled by Immediate Parent)

Trinity Health LIFE Pennsylvania Inc. dba Mercy LIFE - West Philadelphia; FEIN: 47-5244984 (100% Controlled)

Mercy LIFE of Alabama; FEIN: 27-3163002 (100% Controlled by Immediate Parent)

Mercy LIFE, Inc.; FEIN: 45-3086711 (100% Controlled by Immediate Parent)

LIFE at Lourdes Inc. dba Trinity Health LIFE New Jersey; FEIN: 26-1854750 (100% Controlled by Immediate Parent)

Mercy Life St. Agnes Continuing Care Center; FEIN: 23-2840137 (100% Controlled by Immediate Parent). Membership interest transferred to TH PACE and the name of this entity changed to Mercy Life effective 7/1/2021

LIFE St. Mary; FEIN: 26-2976184 (100% Controlled by Immediate Parent). Membership interest transferred to TH PACE effective 7/1/2021

LIFE at St. Francis Healthcare, Inc.; FEIN: 45-2569214 (100% Controlled by Immediate Parent). Membership interest transferred to TH PACE effective 7/1/2021

Trinity Health ACO, Inc.; FEIN: 47-3794666 (100% Controlled by Trinity Health)

Trinity Integrated Care, LLC; FEIN: 81-2772183 (100% Controlled by Immediate Parent)

Trinity Accountable Care, LLC; FEIN: 81-2780900 (100% Controlled by Immediate Parent)

Trinity Accountable Care II, LLC; FEIN: 84-2508775 (100% Controlled by Immediate Parent)

Trinity Health of the Mid-Atlantic Region (Effective 7.1.19; formerly Mercy Health System of Southeastern Pennsylvania) [Pennsylvania]; FEIN: 23-2212638 (100% Controlled by Trinity Health)

Mercy Health Foundation of Southeastern Pennsylvania; FEIN: 23-2829864 (100% Controlled by Immediate Parent)

Mercy Catholic Medical Center of Southeastern Pennsylvania; FEIN: 23-1352191 (100% Controlled by Immediate Parent)

Mercy Catholic Medical Center - Mercy Fitzgerald Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania effective 3/25/2021); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region) Assets were sold to PHMC WEST on 3/4/2021 but entity remains.

Mercy Catholic Medical Center - Mercy Philadelphia Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

Mercy Suburban Hospital (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-1396763 (100% Controlled by Immediate Parent)

Nazareth Hospital; FEIN: 23-2794121 (100% Controlled by Immediate Parent)

Nazareth Medical Office Building Associates, L.P.; FEIN: 23-2388040 (63.85% Controlled by Immediate Parent)

St. Agnes Continuing Care Center; FEIN: 23-2840137 (100% Controlled by Immediate Parent)

Mercy Accountable Care Network, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)

Mercy Accountable Care, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)

Mercy Health Plan; FEIN: 22-2483605 (100% Controlled by Immediate Parent)

Mercy Home Health Services; FEIN: 23-2325058 (100% Controlled by Immediate Parent)

Mercy Home Health; FEIN: 23-1352099 (100% Controlled by Immediate Parent)

Mercy Family Support; FEIN: 23-2325059 (100% Controlled by Immediate Parent)

Nazareth Physician Services, Inc.; FEIN: 20-3261266 (100% Controlled by Immediate Parent)

N.E. Physician Services, Inc.; FEIN: 23-2497355 (100% Controlled by Immediate Parent)

Mercy Management of Southeastern Pennsylvania; FEIN: 23-2627944 (100% Controlled by Immediate Parent)

Mercy/Manor Partnership (50% Controlled by Immediate Parent); FEIN: 52-1931012

Mercy Eastwick, Inc.; FEIN: 23-2184261 (100% Controlled by Immediate Parent)

St. Mary Medical Center [Pennsylvania]; FEIN: 23-1913910 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

Langhorne Physician Services; FEIN: 23-2571699 (100% Controlled by Immediate Parent)

LIFE St. Mary; FEIN: 26-2976184 (100% Controlled by Immediate Parent)

St. Mary Emergency Medical Services; FEIN: 46-5354512 (100% Controlled by Immediate Parent)

St. Mary Building and Development; FEIN: 46-1827502 (100% Controlled by Immediate Parent)

Langhorne Services, Inc.; FEIN: 23-2625981 (100% Controlled by Immediate Parent)

Langhorne Services II, Inc.; FEIN: 23-3795549 (100% Controlled by Immediate Parent)

Langhorne MOB Partners, L.P.; FEIN: 23-2622772 (42.857% Controlled by Immediate Parent)

The Ambulatory Surgery Center at St. Mary, LLC; FEIN: 23-2871206 (51% Controlled by Immediate Parent)

SMMC MOB II, Limited Partnership; FEIN: 36-4559869 (65.75% Controlled by Immediate Parent)

Quality Health Alliance LLC; FEIN: 46-5686622 (100% Controlled by Immediate Parent)

Quality Health Alliance - ACO LLC; FEIN: 46-5675954 (100% Controlled by Immediate Parent)

Endoscopy Center at St. Mary, LP; FEIN: 20-5253361 (16.349% Controlled by Immediate Parent)

St. Mary Rehabilitation Hospital, LLP; FEIN: 27-3938747 (59% Controlled by SMMC)

Heart Institute of St. Mary, LLC; FEIN: 45-4903701 (10% Controlled by SMMC)

St. Francis Hospital, Inc. [Delaware]; FEIN: 51-0064326 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

LIFE at St. Francis Healthcare, Inc.; FEIN: 45-2569214 (100% Controlled by Immediate Parent)

Per CT Audit, ENTITY IS VOID IN DE AS OF 3/1/2016- WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATED; Franciscan Eldercare Corporation; FEIN: 22-3008680 (100% Controlled by Immediate Parent)

Delaware Care Collaboration ("DCC") LLC; FEIN: 47-4069475 (100% Controlled by Immediate Parent)

St. Mary's Health Care System, Inc. [Georgia] dba St. Mary's Hospital; FEIN: 58-0566223 (100% Controlled by Trinity Health)

St. Mary's Foundation, Inc.; FEIN: 58-2544232 (100% Controlled by Immediate Parent)

St. Mary's Sacred Heart Hospital, Inc. dba HealthWorks; FEIN: 47-3752176 (100% Controlled by Immediate Parent)

Sacred Heart Enterprises, LLC; FEIN: 35-2534772 (100% Controlled by Immediate Parent)

Cobb Enterprises, LLC; FEIN: 20-8356011 (100% Controlled by Immediate Parent)

Good Samaritan Hospital, Inc. dba St. Mary's Good Samaritan Hospital; FEIN: 26-1720984 (100% Controlled by Immediate Parent)

St. Mary's Good Samaritan Foundation, Inc.; FEIN: 31-1660088 (100% Controlled by Immediate Parent)

St. Mary's Medical Group, Inc.; FEIN: 26-1858563 (100% Controlled by Immediate Parent)

St. Mary's Highland Hills, Inc. dba St. Mary's Highland Hills Village and dba Highland Hills Village; FEIN: 02-0576648 (100% Controlled by Immediate Parent)

Athens Residential Properties, LLC; FEIN: Not Issued (100% Controlled by Immediate Parent)

Accountable Care of Georgia, LLC; FEIN: 46-5491058 (100% Controlled by St. Mary's Health Care System, Inc.)

Maxis Health System [Pennsylvania]; FEIN: 91-1940902 (100% Controlled by Trinity Health)

St. Francis Medical Center, a New Jersey Nonprofit Corporation [New Jersey]; FEIN: 22-3431049 (100% Controlled by Maxis Health System [PA] which is 100% Controlled by Trinity Health)

St. Francis Medical Center Foundation, Inc.; FEIN: 52-1025476 (100% Controlled by Immediate Parent)

LIFE St. Francis, a New Jersey Non-Profit Corporation (PACE); FEIN: 22-2797282 (100% Controlled by Immediate Parent)

LifeCare Physicians Professional Corporation (Discontinued Entity but not Dissolved or Merged); Managed and Controlled but not Controlled by St. Francis Medical Center); FEIN: 26-1649038

St. Francis Community Health Services, LLC (Inactive Entity but not Dissolved or Merged); FEIN: 46-1801229 (100% Controlled by Immediate Parent)

Central New Jersey Heart Services, LLC; FEIN: 20-8525458 (59.76% Controlled by St. Francis Medical Center)

St. Francis Medical Associates, P.A.; FEIN: 83-2199054 (100% Controlled by c. James Romano, MD Immediate Parent)

St. Peter's Health Partners [New York]; FEIN: 45-3570715 (100% Controlled by Trinity Health)

United Laboratory Network IPA, LLC; FEIN: 14-1827292 (SPHP holds 16.5% interest; Samaritan Hospital of Troy, New York holds 16.5% interest)

Capital Region LLC d/b/a WeNow; FEIN: 38-4122497; (25% Interest Held by SPHP)

Innovative Health Alliance of New York, LLC (100% Controlled by Immediate Parent); FEIN: 46-5676066

St. Peter's Hospital Foundation, Inc.; FEIN: 22-2262982 (100% Controlled by Immediate Parent)

Manning Medical, PLLC (Nominally Controlled by SPHP Physician in accordance with NY law; SPHP exercises control through an Agreement and Reserve Powers); FEIN: 46-4331512

Albany Advanced Imaging, P.L.L.C. dba St. Peter's Health Partners Imaging (Manning Medical PLLC controls 44.65%; Albany Radiology Partners, PLLC controls 55.35%); FEIN: 14-1813068

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

St. Peter's Health Partners Medical Associates, P.C.; FEIN: 46-1177336 (100% Controlled by Immediate Parent)  
 St. Peter's Hospital of the City of Albany dba St. Peter's Hospital; FEIN: 14-1348692 (100% Controlled by Immediate Parent)  
 Villa Mary Immaculate d/b/a St. Peter's Nursing & Rehabilitation Center; FEIN: 14-1438749 (100% Controlled by Immediate Parent)  
 St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%); FEIN: 46-0463892  
 Everett Road ASC, LLC; FEIN: 83-3542382 (SPHCA holds 20% Interest)  
 St. Peter's Hospital College of Nursing; FEIN: 84-3744949 (100% Controlled by SPHCA)  
 The Community Hospice, Inc.; FEIN: 14-1608921 (100% Controlled by Immediate Parent)  
 The Community Hospice Foundation, Inc.; FEIN: 22-2692940 (100% Controlled by Immediate Parent)  
 Samaritan Hospital of Troy, New York dba Samaritan Hospital; FEIN: 14-1338544 (100% Controlled by Immediate Parent)  
 Samaritan Hospital - St. Mary's Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)  
 Samaritan Hospital - Albany Memorial Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)  
 Alliance for Better Health Care, LLC (JV Samaritan Hospital 20%; Ellis Hospital 20%; Hometown Health 20%; St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%); FEIN: 47-2920659  
 Healthy Alliance IPA, LLC.; FEIN: (100% Controlled by Alliance for Better Health Care, LLC)  
 The Northeast Health Foundation, Inc.; 22-2743478 (100% Controlled by Immediate Parent)  
 PLEASE NOTE: FACILITY CLOSED SEPTEMBER 2020 - BUT ENTITY STILL REMAINS: Samaritan Child Care Center, Inc.; FEIN: 14-1710225 (100% Controlled by Immediate Parent)  
 Sunnyview Hospital and Rehabilitation Center; FEIN: 14-1338386 (100% Controlled by Immediate Parent)  
 Sunnyview Hospital and Rehabilitation Center Foundation, Inc.; FEIN: 22-2505127 (100% Controlled by Immediate Parent)  
 LTC (Eddy), Inc. dba The Eddy; FEIN: 22-2564710 (100% Controlled by Immediate Parent)  
 Our Lady of Mercy Life Center; FEIN: 14-1743506 (100% Controlled by Immediate Parent)  
 The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center; FEIN: 22-2570478 (100% Controlled by Immediate Parent)  
 Capital Region Geriatric Center, Inc. dba Eddy Village Green; FEIN: 14-1701597 (100% Controlled by Immediate Parent)  
 Heritage House Nursing Center, Inc. dba Eddy Heritage House Nursing and Rehabilitation Center; FEIN: 14-1725101 (100% Controlled by Immediate Parent)  
 Senior Care Connection, Inc. dba Eddy Senior Care; FEIN: 14-1708754 (100% Controlled by Immediate Parent)  
 Home Aide Service of Eastern New York, Inc. dba Eddy Visiting Nurse Association; FEIN: 14-1514867 (100% Controlled by Immediate Parent)  
 Beverwyck, Inc. dba Eddy Village Green at Beverwyck; FEIN: 14-1717028 (100% Controlled by Immediate Parent)  
 Glen Eddy, Inc.; FEIN: 14-1794150 (100% Controlled by Immediate Parent)  
 The Glen at Hiland Meadows, Inc.; FEIN: 16-1529639 (50% Controlled by Immediate Parent)  
 Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge; FEIN: 80-0102840 (100% Controlled by Immediate Parent)  
 The Marjorie Doyle Rockwell Center, Inc.; FEIN: 14-1793885 (100% Controlled by Immediate Parent)  
 Beechwood, Inc. dba Eddy Property Services; FEIN: 14-1651563 (100% Controlled by Immediate Parent)  
 Samaritan Medical Office Building, Inc.; FEIN: 14-1607244 (100% Controlled by Immediate Parent)  
 Eddy Licensed Home Care Agency, Inc.; FEIN: 14-1818568 (100% Controlled by Immediate Parent)  
 Empire Home Infusion Service, Inc. dba Northeast Home Medical Equipment; FEIN: 14-1795732 (100% Controlled by Immediate Parent)  
 Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge; FEIN: 14-1756230 (100% Controlled by Immediate Parent)  
St. James Mercy Health System (New York); FEIN: 22-3127184 (100% Controlled by Trinity Health)  
 SJM Properties, Inc.; FEIN: 16-1294991 (100% Controlled by Immediate Parent)  
Catholic Health System, Inc. (JOA - 50% Controlled by Trinity Health) | New York; FEIN: 22-2565278  
 Sisters of Charity Hospital of Buffalo, New York; FEIN: 16-0743187 (100% Controlled by Immediate Parent)  
 Sisters Hospital Foundation, Inc.; FEIN: 22-2283077 (100% Controlled by Immediate Parent)  
 Kenmore Mercy Hospital; FEIN: 16-0762843 (100% Controlled by Immediate Parent)  
 Kenmore Mercy Foundation, Inc.; FEIN: 16-1162971 (100% Controlled by Immediate Parent)  
 KMH Homes, Inc.; FEIN: 16-1387890 (100% Controlled by Immediate Parent; Operationally Inactive)  
 Catholic Health System Continuing Care Foundation; FEIN: 20-0947831 (100% Controlled by Immediate Parent)  
 Mercy Hospital of Buffalo; FEIN: 16-0756336 (100% Controlled by Immediate Parent)  
 Orchard Park Mercy Corp.; FEIN: 16-1470350 (100% Controlled by Immediate Parent)  
 Alsace Abbott Corporation; FEIN: 16-1355092 (100% Controlled by Immediate Parent)  
 Aurora Mercy Corp.; FEIN: 16-1354302 (100% Controlled by Immediate Parent)  
 Mercy Hospital Foundation, Inc.; FEIN: 22-2209721 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Hospital of Niagara Falls; FEIN: 16-1523353 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Hospital Foundation, Inc.; FEIN: 16-1360884 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Child Care Center, Inc.; FEIN: 16-1523352 (100% Controlled by Immediate Parent)  
 The Board of Associates of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 16-1582926 (100% Controlled by Immediate Parent)  
 The St. Francis Guild of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 51-0217790 (100% Controlled by Immediate Parent)  
 Niagara Medicine, P.C.; FEIN: 45-3669525 (Captive PC - CHS does not legally own but does control this entity through a Management Agreement)  
 Assets were sold 4/13/2015 but entity remains with CHS: Nazareth, Inc.; FEIN: 16-0813142 (100% Controlled by Immediate Parent; Operationally Inactive)  
 WNY Catholic Long Term Care, Inc. d/b/a Father Baker Manor (100% Controlled by Immediate Parent); FEIN: 16-1434368  
 Niagara Homemaker Services, Inc.; FEIN: 16-1317960 (100% Controlled by Immediate Parent)  
 Assets were sold 3/7/2016 but entity remains with CHS: St. Vincent's Home for the Aged; FEIN: 16-0743167 (100% Controlled by Immediate Parent; Operationally Inactive)  
 Assets were sold 3/7/2016 but entity still remains with CHS: St. Elizabeth's Home of Lancaster, New York; FEIN: 16-0743154 (100% Controlled by Immediate Parent; Operationally Inactive)  
 McAuley-Seton Home Care Corporation; FEIN: 16-1310062 (100% Controlled by Immediate Parent)  
 St. Francis Geriatric and Healthcare Services, Inc.; FEIN: 16-1523535 (100% Controlled by Immediate Parent)  
 St. Clare Apartments Housing Development Fund Company, Inc. (50% Controlled by Immediate Parent); FEIN: 16-0782647  
 Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.; FEIN: 26-1252884 (100% Controlled by Immediate Parent)  
 Catholic Health System Infusion Pharmacy, Inc.; FEIN: 20-0198518 (100% Controlled by Immediate Parent)  
 Catholic Health Home Respiratory, LLC (50% Controlled by Immediate Parent; Operationally Inactive); FEIN: 45-4134007  
 Our Lady of Victory Renaissance Corporation; FEIN: 20-0167745 (100% Controlled by Immediate Parent)  
 Our Lady of Victory Community Housing Development Organization, Inc.; FEIN: 20-0372194 (100% Controlled by Immediate Parent)  
 Our Lady of Victory Housing Development Fund Corp (100% Controlled by Immediate Parent); FEIN: 14-1930644  
 Smithtown GP, LLC (100% Controlled by Immediate Parent); FEIN: 57-3192758  
 Victory Ridge Apartments, L.P. (80% Controlled by Immediate Parent); FEIN: 57-1219731  
 Trinity Medical WNY, P.C.; FEIN: 27-2576645 (Captive PC)  
 Salus Medical Care, P.C.; FEIN: (100% Controlled by Immediate Parent)  
 Catholic Medical Partners-Accountable Care IPA, Inc.; FEIN: (% Controlled by Immediate Parent)  
 CH Emmaus, Inc.; FEIN 82-1852345 (100% Controlled by Immediate Parent)  
 Sterling Surgical Center, LLC; FEIN: 16-1607894 (100% Controlled by CH Emmaus)  
 Healthcare Solutions WNY, LLC; FEIN: (100% Controlled by CH Emmaus, Inc.)  
 Assets were sold 3/7/2016 but entity remains with CHS: St. Francis Home of Williamsville, New York (Inactive); FEIN: 16-0743153 (100% Controlled by Immediate Parent)  
Baycare Health System, Inc. (JOA - 50.4% Controlled by Trinity Health, not all facilities Controlled; Other Parties to  
 Morton Plant Mease Health Care, Inc.; FEIN: 59-2374556 (Entity is a Party to the JOA BayCare Health System;  
 Trustees of Mease Hospital, Inc. d/b/a Mease Countryside Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)  
 Trustees of Mease Hospital, Inc. d/b/a Mease Dunedin Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Recovery Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant Rehabilitation Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 South Florida Baptist Hospital, Inc.; FEIN: 59-0594631 (Entity is a Party to the JOA BayCare Health System;  
 Bartow Regional Medical Center, Inc.; FEIN: 47-5387418 (100% Controlled by Immediate Parent)  
 Winter Haven Hospital, Inc.; FEIN: 59-0724462 (100% Controlled by Immediate Parent)  
 Winter Haven Hospital, Inc. dba Winter Haven Women's Hospital; FEIN: 59-0724462 (100% Controlled by Immediate Parent)  
 BayCare Alliant Hospital, Inc.; FEIN: 05-0615150 (100% Controlled by Immediate Parent)  
 Baycare Physician Partners, LLC; FEIN: 45-2908008 (100% Controlled by Immediate Parent)  
 Baycare Physician Partners ACO, LLC; FEIN: 46-5720072 (Members are Baycare Health System and 2 individuals)  
 BayCare Medical Group, Inc. (f/k/a Morton Plant Mease Primary Care, Inc.); FEIN: 59-3140335 (100% Controlled by Immediate Parent)  
 St. Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Children's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Women's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - North; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - South; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital Behavioral Health Center; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Health Care Center, Inc.; FEIN: 59-2593686 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital of Tampa Foundation, Inc.; FEIN: 59-1100828 (100% Controlled by Immediate Parent)  
 John Knox Village of Tampa Bay, Inc.; FEIN: 58-1377711 (100% Controlled by Immediate Parent)  
 HealthPoint Medical Group, Inc.; FEIN: 59-3244268 (100% Controlled by Immediate Parent)

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Franciscan Properties, Inc.; FEIN: 59-2822519 (100% Controlled by Immediate Parent)  
 St. Joseph's Community Care, Inc.; FEIN: 59-3152608 (100% Controlled by Immediate Parent)  
 St. Joseph's Enterprises, Inc.; FEIN: 59-2822516 (100% Controlled by Immediate Parent)  
 St. Anthony's Professional Building and Services, Inc.; FEIN: 59-2018848 (100% Controlled by Immediate Parent)  
 St. Anthony's Hospital, Inc.; FEIN: 59-2043026 (100% Controlled by Trinity Health Corporation)  
 St. Anthony's Hospital Auxiliary, Inc.; FEIN: 59-0201974 (100% Controlled by Immediate Parent)  
 St. Anthony's Health Care Foundation, Inc.; FEIN: 59-2128991 (100% Controlled by Immediate Parent)  
 St. Anthony's Physicians Surgery Center, LLC; FEIN: 01-0861245 (100% Controlled by Immediate Parent)  
Allegany Franciscan Ministries, Inc. (Florida); FEIN: 58-1492325 (100% Controlled by Trinity Health)  
Global Health Ministry d/b/a Global Health Volunteers (MI); FEIN: 42-1253527 (100% Controlled by Trinity Health)  
Saint Joseph's Health System, Inc. (Georgia); FEIN: 58-1744848 (100% Controlled by Trinity Health)  
 Saint Joseph's Mercy Care Services, Inc. dba Mercy Care; FEIN: 58-1752700 (100% Controlled by Immediate Parent)  
 Mercy Senior Care, Inc. dba Mercy Care Rome; FEIN: 58-1366508 (100% Controlled by Immediate Parent)  
 Mercy Care Foundation, Inc. (f/k/a Saint Joseph's Mercy Foundation, Inc.); FEIN: 58-1448522 (100% Controlled by Immediate Parent)  
 Mercy Services Downtown, Inc.; FEIN: 27-2046353 (100% Controlled by Immediate Parent)  
 SIHS/JOC Holdings, Inc.; FEIN: 47-2299757 (100% Controlled by Immediate Parent)  
 Emory/Saint Joseph's, Inc. (JOC - 49% Controlled by SIHS/JOC Holdings, Inc.); FEIN: 45-2721833  
 Saint Joseph's McAuley Park, LLC; FEIN: (100 Controlled by Saint Joseph's Health System, Inc.)  
 Saint Joseph's Developer, LLC; FEIN: (100% Controlled by Saint Joseph's Health System, Inc.)  
Holy Cross Hospital, Inc. (Florida); FEIN: 59-0791028 (100% Controlled by Trinity Health)  
ownership requirements, but the  
 Holy Cross Primary Care, Inc.; FEIN: 81-2531495 (100% Controlled by Immediate Parent) This entity is no longer a home health agency as of 12/2010 due to FL law change as to HHA ownership requirements, but the entity remains, as it owns a MOB on the HCH Campus: Nurshing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)  
 Nursing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)  
 Holy Cross Outpatient Services, Inc.; FEIN: 46-5421068 (100% Controlled by Immediate Parent)  
 Holy Cross Physician Partners, LLC; FEIN: 36-4712116 (100% Controlled by Immediate Parent)  
 Holy Cross Physician Partners ACO, LLC; FEIN: 46-5530455 (100% Controlled by Immediate Parent)  
 Holy Cross Senior Services, Inc.; FEIN: 83-2256461 (100% Controlled by Immediate Parent)  
 Physicians Outpatient Surgery Center, LLC (JV with Physician Members - 71% Controlled by HCH); FEIN: 35-2325646  
 Atlantic Coast Health Network, LLC (JV with Atlantic Coast Holdings, Inc. - 50% Controlled by HCH); FEIN: 47-4756582  
St. Joseph's Health, Inc. (New York); FEIN: 47-4754987 (100% Controlled by Trinity Health)  
 St. Joseph's Hospital Health Center; FEIN: 15-0532254 (100% Controlled by Immediate Parent)  
 S.J. Management Company of Syracuse, Inc.; FEIN: 27-1763712 (100% Controlled by Immediate Parent)  
 SJLS, LLC (51% SIMCS, 34% Fresenius, 15% Physicians); FEIN: 20-1796650  
 St. Joseph's College of Nursing at St. Joseph's Hospital Health Center; FEIN: 20-2497520 (100% Controlled by Immediate Parent)  
 SIPE Practice Management Services, Inc.; FEIN: 45-4164964 (100% Controlled by Immediate Parent)  
 Plaza Corporation of Central New York (50% SIHHC, 50% Crouse Hospital); FEIN: 22-2800840  
 Iroquois Nursing Home, Inc.; FEIN: 16-1364582 (100% Controlled by Immediate Parent)  
 Plaza Nursing Home Company, Inc.; FEIN: 16-0955793 (100% Controlled by Immediate Parent)  
 Mandorla Gardens Housing Development Fund Company, Inc. (50% PNH, 50% Loretto Geriatric); FEIN: 27-3993174  
 Enriched Resources for Independent Elderly, Inc.; FEIN: 16-1163209 (100% Controlled by Immediate Parent)  
 Plaza Foundation of Central New York; FEIN: 22-2800835 (100% Controlled by Immediate Parent)  
 Laboratory Alliance of Central New York, LLC (50% SIHHC, 50% Crouse Health Hospital, Inc.); FEIN: 16-1536202  
 Loretto Independent Living Services, Inc.; FEIN: 16-1470454 (Not Controlled by Immediate Parent, but maintains a right to one less than one half of the Board Seats)  
 CNY AIM IPA, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent)  
 CNY AIM, LLC; FEIN: 81-1461678 (100% Controlled by Immediate Parent)  
 St. Joseph's Health Accountable Care Organization, LLC; FEIN: 47-4081578 (100% Controlled by Immediate Parent)  
 St. Joseph's Hospital Health Center Foundation, Inc.; FEIN: 22-2149775 (100% Controlled by Immediate Parent)  
 St. Joseph's Health Center Properties, Inc.; FEIN: 23-7219294 (100% Controlled by Immediate Parent)  
 Radisson SIH Properties, LLC (50% St. Joseph's Health Center Properties, 50% Radisson Partners, LLC); FEIN: 46-1892799  
 Franciscan Associates, Inc.; FEIN: 20-2991688 (100% Controlled by Immediate Parent)  
 FHS Services, Inc. d/b/a Oneida Lifeline, Franciscan Lifeline; FEIN: 27-2995699 (100% Controlled by Immediate Parent)  
 Franciscan Management Services, Inc.; FEIN: 16-1351193 (100% Controlled by Immediate Parent)  
 Lourdes Health Support, LLC; FEIN: 16-1611707 (40% Controlled by Franciscan Management Services, Inc.) sold to Adapthealth effective 11/1/2000, but entity remains.  
 St. Elizabeth Health Support Services, Inc. (100% Controlled by FMS); FEIN: 16-1540486  
 Central New York Infusion Services, LLC (20% FMS, 80% Infusion Services, Inc.); FEIN: 16-1559710  
 Franciscan Health Support, Inc.; FEIN: 16-1236354 (100% Controlled by Immediate Parent) Assets of this entity were sold to Adapthealth effective 11/1/2020, but the entity remains.  
 Franciscan Health Support Services, LLC (d/b/a Oneida Health Support, Auburn Health Support, Mountain Lakes Health Support); FEIN: 16-1236354 (100% Controlled by Immediate Parent)  
 Health Care Management Administrators, Inc.; FEIN: 16-1450960 (100% Controlled by Immediate Parent)  
 Near Northside Holdings, LLC; FEIN: Not Yet Applied For (100% Controlled by Immediate Parent)  
 Embracing Age, Inc.; FEIN: 46-1051881 (100% Controlled by Immediate Parent)  
 Oswego Health Home Care, LLC (49% Embracing Age and 60% Oswego Health); FEIN: 47-2463736  
 St. Joseph's Physician Health, P.C.; FEIN: 16-1516863 (Captive PC)  
 St. Joseph's Medical, P.C.; FEIN: 27-3899821 (Captive PC)  
 St. Joseph's Imaging Associates, PLLC (60% Prospect Hill Radiology Group, 40% SIMPC); FEIN: 16-1104293  
 CNY North Urgent Care, PLLC; FEIN: 35-2605215 (100% Controlled by St. Joseph's Medical, P.C.)  
 Concordia Healthcare Network, LLC; FEIN: 84-1939474 (100% Controlled by Immediate Parent)  
 Concordia Healthcare Network IPA, LLC; FEIN: 84-3669999 (100% Controlled by Concordia Health Network, LLC)  
 Concordia Health ACO, LLC; FEIN: TBD (100% Controlled by St. Joseph's Health, Inc.)  
Trinity Health Of New England Corporation, Inc. (formerly Trinity Health - New England, Inc.) (Connecticut); FEIN: 06-1491191 (100% Controlled by Trinity Health)  
 Trinity Health of New England Urgent Care, LLC; FEIN: 84-2665996 (51% Controlled by Trinity Health of New England Corporation, Inc.; 49% Controlled by Premier Health Consultants, LLC)  
 Saint Francis Hospital and Medical Center; FEIN: 06-0646813 (100% Controlled by Immediate Parent)  
 Woodland Partners Real Estate LLC; FEIN: 83-3371094; (55% Controlled by Saint Francis Hospital and Medical Center)  
 Lighthouse Surgery Center, LLC; FEIN: 83-2096116 (26% Controlled by Saint Francis Hospital and Medical Center/74% by Physicians)  
 Saint Francis Hospital and Medical Center Foundation, Inc.; FEIN: 06-1008255 (100% Controlled by Immediate Parent)  
 Collaborative Laboratory Services, LLC; FEIN: 06-1520109 (100% Controlled by Immediate Parent)  
 Mount Sinai Hospital Foundation, Inc.; FEIN: 22-2584082 (100% Controlled by Immediate Parent)  
 Women's Auxiliary of Saint Francis Hospital and Medical Center, Inc.; FEIN: 06-0660403 (100% Controlled by Immediate Parent)  
 Saint Francis GI Endoscopy, LLC (49% SFHMC); FEIN: 20-5540278  
 Medworks, LLC (51% SFHMC); FEIN: 06-1490483  
 Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health); FEIN: 06-1384686 (100% Controlled by Immediate Parent)  
 Saint Francis Care Medical Group, P.C. (Nominee Shareholder, SVP Medical Affairs); FEIN: 06-1432373 (100% Controlled by Immediate Parent)  
 Mount Sinai Rehabilitation Hospital, Inc.; FEIN: 06-1422973 (100% Controlled by Immediate Parent)  
 SFH/FF, LLC; FEIN: 06-1489749; (100% Controlled by MSRH)  
 Trinity Health Of New England Provider Network Organization, Inc. (formerly Trinity Health-New England Physician Network Organization ; FEIN: 06-1450168 (100% Controlled by Immediate Parent)  
 Saint Francis Emergency Medical Group, Inc.; FEIN: 45-1994612 (100% Controlled by Immediate Parent)  
 Asylum Hill Family Medicine Center, Inc.; FEIN: 06-1450170 (100% Controlled by Immediate Parent)  
 Southern New England Healthcare Organization, Inc. (50% Interest held by Trinity Health of New England Corporation, Inc.); FEIN: 06-1391257  
 Saint Francis HealthCare Partners Foundation, Inc.; FEIN: 20-8176133 (100% Controlled by Saint Francis HealthCarePartners, Inc.)  
 Saint Francis Healthcare Partners ACO, Inc.; FEIN: 46-1315402 (100% Controlled by Immediate Parent)  
 Southern New England Affiliated Physicians Purchasing Group, LLC; FEIN: 81-4362177 (100% Controlled by Immediate Parent)  
 Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (100% Controlling Interest held Southern New England Healthcare Organization, Inc.)  
 Connecticut Occupational Medicine Partners, LLC; FEIN: 06-1586674 (33.3% Controlled by THONE; 20% Controlled by JMMC)  
 Johnson Memorial Hospital, Inc.; FEIN: 47-5676956 (100% Controlled by Immediate Parent)  
 NIRON, LLC; FEIN: 81-2004513; (25% Controlled by Johnson Memorial Hospital, Inc.)  
 TIC, LLC; FEIN: 81-2022269 (15% Controlled by Johnson Memorial Hospital, Inc.)  
 Trinity Health Of New England Emergency Medical Services, Inc.; FEIN: 83-3546613 (100% Controlled by Immediate Parent)  
 The Mercy Hospital, Inc. dba Mercy Medical Center, Providence Behavioral Health Hospital, Weldon  
 Rehabilitation Hospital, Family Life Center for Maternity, Sister Caritas Cancer Center, WorkWise, Mercy  
 Mercy Inpatient Medical Associates, Inc. dba Breast Care Center; dba MercyCare - Forest Park; dba Providence Prenatal Center of Holyoke; dba Trinity Health of New England Medical Group;  
 FEIN: 04-3029929 (100% Controlled by Immediate Parent)

# STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

System Coordinated Services, Inc. dba Life Laboratories; FEIN: 04-2938161 (100% Controlled by Immediate Parent)  
Catherine Horan Building Corporation; FEIN: 04-2938160 (100% Controlled by Immediate Parent)  
Catherine Horan Building Associates Limited Partnership; FEIN: 04-2723429 (100% Controlled by Immediate Parent)  
The Lifepath Partners, LLC (JV with NEPA; 1000% Controlled by The Mercy Hospital, Inc.); FEIN: 26-0021080  
Mercy Health Accountable Care Organization, LLC; FEIN: 82-1007572 (100% Controlled by Immediate Parent)  
Mercy Physicians, P.C. dba Trinity Health of New England Medical Group; FEIN: 000857412 (100% Controlled by Immediate Parent)  
Brightside, Inc.; FEIN: 04-2182395 (100% Controlled by Immediate Parent)  
Mercy Care Alliance, LLC; FEIN: 47-1561725 (100% Controlled by Immediate Parent)  
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33.3% Controlling Interest held by each of Mercy Care Alliance, Pioneer Valley Cardiology Associates, Inc.; FEIN: 45-4208896 (100% Controlled by Immediate Parent)  
Mercy Specialist Physicians, Inc. dba Trinity Health of New England Medical Group; FEIN: 26-4033168 (100% Controlled by Immediate Parent)  
Mercy Medical Group, Inc. dba Trinity Health of New England Medical Group; FEIN: 45-4884805 (100% Controlled by Immediate Parent)  
Farren Care Center, Inc. (PLEASE NOTE: PER THE FEBRUARY 2018 TRANSFER OF ASSETS, MEMBERSHIP AND SERVICES OF FARREN CARE CENTER, INC. (MA) TO TCCS - MA, FARREN CARE CENTER IS A TBA OF TCCS - MA; AND, AS THE FEIN FOR FARREN CARE CENTER, INC. (MA) WAS NOT TRANSFERRED, THIS SHELL ENTITY REMAINS WITH THONE); FEIN: 04-2501711 (100% Controlled by Immediate Parent)  
Riverbend Medical Group, Inc. dba Trinity Health of New England Medical Group; FEIN: 81-1807730 (100% Controlled by Immediate Parent)  
Sisters of Providence Care Centers, Inc.; FEIN: 22-2541103 (100% Controlled by Immediate Parent)  
Western Massachusetts PET/CT Imaging Center, LLC; FEIN: 20-4744663 (50% Controlled by The Mercy Hospital, Inc.; 50% Controlled by Alliance Imaging, Inc.)  
Saint Mary's Hospital, Inc.; FEIN: 06-0646844 (100% Controlled by Immediate Parent)  
The Harold Leever Regional Cancer Center, Inc.; FEIN: 06-1548409 (50% Controlled by Immediate Parent)  
Franklin Medical Group, P.C. dba Trinity Health of New England Medical Group; FEIN: 06-1470493 (Nominee Shareholder of Physician Group)  
Diagnostic Imaging of Southbury, LLC; FEIN: 06-1487582 (60% Controlled by Immediate Parent)  
Naugatuck Valley MRI, LLC; FEIN: 06-1239526 (78.3% Controlled by Immediate Parent)  
Saint Mary's Physician Partners, LLC dba Valley Health Alliance; FEIN: 46-5760769 (100% Controlled by Immediate Parent)  
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33.3% Controlling Interest held by each of Mercy Care Alliance, Saint Mary's Hospital Foundation, Inc.; FEIN: 22-2528400 (100% Controlled by Immediate Parent)  
Scovill Street Medical Building Association, Inc.; FEIN: 06-1232868 (92% Controlled by Immediate Parent)

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

[illegible]

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. CMS Premium Part C .....	4,988,969		4,988,969	195,257
2305. 2020 Part D receivable .....	(3,761,268)		(3,761,268)	(3,761,268)
2306. Other liabilities .....	2,304		2,304	2,306
2307. CVG Gap payable .....	289,042		289,042	
2397. Summary of remaining write-ins for Line 23 from overflow page	1,519,047	0	1,519,047	(3,563,705)

Additional Write-ins for Statement of Revenue and Expenses Line 6

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
0604. ....	XXX		0	
0605. ....	XXX		0	
0606. ....	XXX		0	
0697. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0

Additional Write-ins for Statement of Revenue and Expenses Line 7

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
0704. Other non-health related revenue .....	XXX		0	
0705. ....	XXX		0	
0706. ....	XXX		0	
0797. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0

Additional Write-ins for Statement of Revenue and Expenses Line 14

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1404. ....			0	
1405. ....			0	
1406. ....			0	
1497. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0

Additional Write-ins for Statement of Revenue and Expenses Line 29

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
2904. Other revenue .....			0	
2905. Other income .....			0	
2906. ....			0	
2997. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	236,605,805	205,129,921
2. Cost of bonds and stocks acquired	60,905,524	93,262,852
3. Accrual of discount	70,775	115,069
4. Unrealized valuation increase (decrease)	7,710,045	8,156,760
5. Total gain (loss) on disposals	4,276,445	1,225,341
6. Deduct consideration for bonds and stocks disposed of	55,831,677	70,836,422
7. Deduct amortization of premium	396,709	337,028
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	78,287	337,820
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	125,043	227,133
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	253,386,964	236,605,805
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	253,386,964	236,605,805



SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	162,235,253	57,410,225	59,763,034	1,765,380	167,924,810	162,235,253	161,647,824	182,414,534
2. NAIC 2 (a) .....	44,612,942	4,703,857	600,000	(1,972,347)	37,797,478	44,612,942	46,744,452	26,797,240
3. NAIC 3 (a) .....	0						0	
4. NAIC 4 (a) .....	0						0	
5. NAIC 5 (a) .....	0						0	
6. NAIC 6 (a) .....	0						0	
7. Total Bonds	206,848,195	62,114,082	60,363,034	(206,967)	205,722,288	206,848,195	208,392,276	209,211,775
PREFERRED STOCK								
8. NAIC 1 .....	0						0	
9. NAIC 2 .....	0						0	
10. NAIC 3 .....	0						0	
11. NAIC 4 .....	0						0	
12. NAIC 5 .....	0						0	
13. NAIC 6 .....	0						0	
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	206,848,195	62,114,082	60,363,034	(206,967)	205,722,288	206,848,195	208,392,276	209,211,775

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ .....64,101,646 ; NAIC 2 \$ .....5,826,770 ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	63,288,914	xxx	63,423,282	134,602	111,706

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	55,454,317	33,400,245
2. Cost of short-term investments acquired .....	103,869,668	134,181,802
3. Accrual of discount .....	39,544	382,784
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(5,651)	
6. Deduct consideration received on disposals .....	95,919,299	112,510,273
7. Deduct amortization of premium .....	149,666	240
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	63,288,914	55,454,317
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	63,288,914	55,454,317

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	125,543,529	118,154,828
2. Cost of cash equivalents acquired .....	586,398,416	771,735,216
3. Accrual of discount .....	9,437	159,108
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....	(1)	0
6. Deduct consideration received on disposals .....	558,298,352	764,505,623
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	153,653,029	125,543,529
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	153,653,029	125,543,529

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
032095-AL-5	AMPHENOL CORP		.09/07/2021	CITIGROUP GLOBAL MARKETS, INC./CORRESPON		.498,170	.500,000	.0	2.A FE
035240-AV-2	ANHEUSER-BUSCH INBEV WORLDWIDE INC		.07/22/2021	Jane Street		.562,080	.500,000	2,674	2.A FE
10112R-BE-3	BOSTON PROPERTIES LP		.07/22/2021	J P MORGAN SECURITIES		.512,795	.500,000	4,604	2.A FE
12657W-AC-4	CNH 2021-B A3 - ABS		.07/20/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.		1,499,644	1,500,000	.0	1.A FE
20030N-DG-3	COMCAST CORP		.07/23/2021	DEUTSCHE BANC SECURITIES INC.		.361,540	.325,000	3,561	1.G FE
260543-DC-4	DOW CHEMICAL CO		.07/23/2021	BNP PARIBAS SECURITIES BOND		.503,335	.500,000	2,100	2.B FE
49327W-2T-0	KEYBANK NA		.08/24/2021	TORONTO DOMINION BK		.613,122	.600,000	6,210	1.G FE
548661-ED-5	LOWE'S COMPANIES INC		.09/13/2021	BARCLAYS CAPITAL		.399,108	.400,000	.0	2.A FE
589331-AT-4	MERCK & CO INC		.08/24/2021	DONALDSON LUFKIN & JENRETTE		.401,995	.395,000	4,240	1.E FE
773903-AL-3	ROCKWELL AUTOMATION INC		.08/03/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.		.623,631	.625,000	.0	1.F FE
79466L-AJ-3	SALESFORCE.COM INC		.07/08/2021	Morgan Stanley		.556,765	.550,000	.0	1.F FE
86562M-CJ-7	SUMITOMO MITSUI FINANCIAL GROUP INC	C.	.09/13/2021	SMBC NIKKO SECURITIES		1,100,000	1,100,000	.0	1.G FE
95000U-2B-8	WELLS FARGO & CO		.07/20/2021	Various		.614,180	.600,000	.0	2.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,246,365	8,095,000	23,388	XXX
8399997. Total - Bonds - Part 3						8,246,365	8,095,000	23,388	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						8,246,365	8,095,000	23,388	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
000380-20-4	ABCAM ADR	C.	.09/10/2021	LIQUIDNET, INC.		1,095,000	23,005	.0	
00402L-10-7	ACADEMY SPORTS AND OUTDOORS ORD		.09/08/2021	Various		1,573,000	69,654	.0	
00404A-10-9	ACADIA HEALTHCARE COMPANY ORD		.09/27/2021	First Boston Corp		.61,000	4,031	.0	
00437E-10-2	ACCOLADE ORD		.09/28/2021	Morgan Stanley		.592,000	24,906	.0	
006530-10-2	ADAPTHEALTH ORD		.09/28/2021	RBC Dain Rauscher (US)		.639,000	14,954	.0	
007903-10-7	ADVANCED MICRO DEVICES ORD		.08/06/2021	Various		.90,000	.9,299	.0	
00857U-10-7	AGILON HEALTH ORD		.09/10/2021	Bear Stearns		2,000,000	60,000	.0	
00973Y-10-8	AKERO THERAPEUTICS ORD		.08/31/2021	Morgan Stanley		.453,000	10,615	.0	
013091-10-3	ALBERTSONS COMPANIES CL A ORD		.08/31/2021	Various		1,170,000	35,203	.0	
01671P-10-0	ALLAKOS ORD		.08/31/2021	Morgan Stanley		.130,000	11,453	.0	
019818-10-3	ALLOVIR ORD		.09/28/2021	Morgan Stanley		.711,000	17,206	.0	
02080L-10-2	ALPHA TEKNOVA ORD		.08/23/2021	Morgan Stanley		.469,000	9,081	.0	
023135-10-6	AMAZON COM ORD		.09/17/2021	Various		.9,000	30,942	.0	
03152W-10-9	AMICUS THERAPEUTICS ORD		.07/27/2021	Bear Stearns		.607,000	5,384	.0	
032654-10-5	ANALOG DEVICES ORD		.08/26/2021	Various		.371,700	36,006	.0	
03743Q-10-8	APA ORD		.09/17/2021	First Boston Corp		.244,000	4,994	.0	
03753U-10-6	APELLIS PHARMACEUTICALS ORD		.08/31/2021	Morgan Stanley		.173,000	11,458	.0	
038222-10-5	APPLIED MATERIAL ORD		.07/01/2021	FIDEL		.19,000	2,672	.0	
04351P-10-1	ASCENDIS PHARMA ADR REP ORD	C.	.07/27/2021	COWEN AND COMPANY, LLC		.94,000	10,658	.0	
045327-10-3	ASPEN TECHNOLOGY ORD		.09/27/2021	Stephens Inc.		.33,000	4,102	.0	
05338G-10-6	AVALARA ORD		.09/27/2021	Stephens Inc.		.41,000	7,570	.0	
05478C-10-5	AZEK COMPANY CL A ORD		.08/09/2021	Morgan Stanley		1,111,000	41,721	.0	
05605H-10-0	BIIX TECHNOLOGIES ORD		.09/16/2021	Barclays Bank - CP		.616,000	34,432	.0	
070830-10-4	BATH AND BODY WORKS ORD		.08/17/2021	Various		.347,000	11,413	.0	
08265T-20-8	BENTLEY SYSTEMS CL B ORD		.09/27/2021	First Boston Corp		.457,000	28,806	.0	
09073M-10-4	BIO TECHNE ORD		.09/29/2021	Various		.85,000	41,941	.0	
09352U-10-8	BLEND LABS CL A ORD		.07/16/2021	GOLDMAN		.700,000	12,600	.0	
099406-10-0	BOOT BARN HOLDINGS ORD		.08/31/2021	CITIGROUP GLOBAL MARKETS INC.		.776,000	68,242	.0	
100557-10-7	BOSTON BEER CL A ORD		.09/29/2021	Various		.72,000	44,869	.0	
10918L-10-3	BRIGHT MINERALS CL A ORD		.09/17/2021	Keybank		.536,000	10,355	.0	
115236-10-1	BROWN & BROWN ORD		.09/17/2021	GOLDMAN SACHS		.299,000	17,047	.0	
12008R-10-7	BUILDERS FIRSTSOURCE ORD		.08/03/2021	Various		.999,000	45,371	.0	
127190-30-4	CACI INTERNATIONAL CL A ORD		.08/26/2021	Various		.100,000	25,871	.0	
127203-10-7	CACTUS CL A ORD		.09/17/2021	JEFFERIES & COMPANY, INC.		.119,000	4,216	.0	
14161W-10-5	CARDOLYTICS ORD		.09/17/2021	Various		1,175,000	102,494	.0	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
141788-10-9	CARGURUS CL A ORD		.08/09/2021	Various	1,210.000	37,180		.0	
149123-10-1	CATERPILLAR ORD		.07/01/2021	Northern Trust	13.000	2,821		.0	
149568-10-7	CAVCO INDUSTRIES ORD		.09/13/2021	Various	75.000	17,214		.0	
151178-20-2	CELLEX THERAPEUTICS ORD		.07/14/2021	JEFFERIES & COMPANY, INC.	105.000	4,410		.0	
156504-30-0	CENTURY COMMUNITIES ORD		.08/17/2021	Various	490.000	31,403		.0	
15677J-10-8	CERIDIAN HCM HOLDING ORD		.09/17/2021	Various	355.000	36,636		.0	
165167-73-5	CHESAPEAKE ENERGY ORD		.09/17/2021	JEFFERIES & COMPANY, INC.	189.000	11,601		.0	
166764-10-0	CHEVRON ORD		.07/01/2021	Northern Trust	29.000	3,092		.0	
171484-10-8	CHURCHILL DOWNS ORD		.09/03/2021	Various	636.000	136,120		.0	
171798-10-1	CIMAREX ENERGY ORD		.09/17/2021	JEFFERIES & COMPANY, INC.	358.000	27,757		.0	
17243V-10-2	CINEMARK HOLDINGS ORD		.08/17/2021	Virtu Americas LLC	616.000	9,060		.0	
184496-10-7	CLEAN HARBORS ORD		.08/03/2021	PIPER JAFFRAY	167.000	16,019		.0	
185123-10-6	CLEARWATER ANALYTICS HOLDIN CL A ORD		.09/24/2021	GOLDMAN	6,400.000	115,200		.0	
191216-10-0	COCA-COLA ORD		.07/01/2021	Northern Trust	52.000	2,831		.0	
22304C-10-0	COVETRUS ORD		.09/27/2021	Merrill Lynch	2,051.000	39,878		.0	
227046-10-9	CROCS ORD		.08/31/2021	CITIGROUP GLOBAL MARKETS INC.	699.000	100,984		.0	
231561-10-1	CURTISS WRIGHT ORD		.07/06/2021	Morgan Stanley	111.000	13,175		.0	
233331-10-7	DTE ENERGY ORD		.07/01/2021	Various	250.000	17,168		.0	
23345M-10-7	DT MIDSTREAM ORD		.07/01/2021	Various	125.000	3,009		.0	
235851-10-2	DANAHER ORD		.07/01/2021	JP MORGAN	10.000	2,685		.0	
243537-10-7	DECKERS OUTDOOR ORD		.08/03/2021	Various	203.000	82,626		.0	
24477E-10-3	DEFINITIVE HEALTHCARE CL A ORD		.09/15/2021	GOLDMAN	123.000	3,321		.0	
24790A-10-1	DENBURY ORD		.09/17/2021	JEFFERIES & COMPANY, INC.	101.000	7,213		.0	
25278X-10-9	DIAMONDBACK ENERGY ORD		.09/17/2021	Warburg Dillon Reed	169.000	14,070		.0	
253393-10-2	DICKS SPORTING ORD		.07/29/2021	FIRST UNION CAPITAL	621.000	65,570		.0	
26603R-10-6	DUOLINGO CL A ORD		.07/28/2021	GOLDMAN	100.000	10,200		.0	
26701L-10-0	DUTCH BROS CL A ORD		.09/15/2021	Merrill Lynch	185.000	4,255		.0	
267475-10-1	DYCOM INDUSTRIES ORD		.09/01/2021	Morgan Stanley	211.000	14,680		.0	
268150-10-9	DYNATRACE ORD		.09/27/2021	Various	116.000	7,782		.0	
282914-10-0	8XB ORD		.08/31/2021	RBC Dain Rauscher (US)	305.000	7,381		.0	
29249E-10-9	ENACT HOLDINGS ORD		.09/16/2021	GOLDMAN	1,712.000	32,528		.0	
29261A-10-0	ENCOMPASS HEALTH ORD		.09/27/2021	JEFFERIES & COMPANY, INC.	124.000	9,615		.0	
29355A-10-7	ENPHASE ENERGY ORD		.08/03/2021	JEFFERIES & COMPANY, INC.	248.000	48,255		.0	
30231G-10-2	EXXON MOBIL ORD		.07/01/2021	CITIGROUP GLOBAL MARKETS INC.	45.000	2,849		.0	
31189P-10-2	FATE THERAPEUTICS ORD		.07/22/2021	RBC Dain Rauscher (US)	339.000	29,056		.0	
315616-10-2	F5 NETWORKS ORD		.09/30/2021	Morgan Stanley	125.000	24,954		.0	
33829M-10-1	FIVE BELOW ORD		.09/03/2021	Various	338.000	66,826		.0	
339750-10-1	FLOOR DECOR HOLDINGS CL A ORD		.09/02/2021	Various	979.000	121,183		.0	
34631B-10-1	FORGEROCK CL A ORD		.09/28/2021	Various	632.000	23,429		.0	
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		.09/24/2021	Various	421.000	40,281		.0	
358054-10-4	FRESHWORKS CL A ORD		.09/22/2021	Morgan Stanley	63.000	2,268		.0	
369604-30-1	GENERAL ELECTRIC ORD		.08/02/2021	Various	1,394.750	118,526		.0	
37045V-10-0	GENERAL MOTORS ORD		.09/17/2021	Various	259.000	13,664		.0	
38113L-10-7	GOLDEN NUGGET ONLINE GAM CL A ORD		.09/09/2021	Morgan Stanley	2,774.000	62,835		.0	
40171V-10-0	GUIDEWIRE SOFTWARE ORD		.09/27/2021	Various	404.000	47,949		.0	
405024-10-0	HAEMONETICS ORD		.09/29/2021	Various	735.000	46,462		.0	
410867-10-5	HANOVER INSURANCE GROUP ORD		.09/23/2021	First Boston Corp	300.000	39,798		.0	
42225T-10-7	HEALTH CATALYST ORD		.08/11/2021	Bear Stearns	616.000	32,648		.0	
423452-10-1	HELMERICH AND PAYNE ORD		.09/17/2021	First Boston Corp	185.000	4,862		.0	
443320-10-6	HUB GROUP CL A ORD		.08/16/2021	Various	1,398.000	93,715		.0	
447011-10-7	HUNTSMAN ORD		.09/23/2021	JEFFERIES & COMPANY, INC.	766.000	20,751		.0	
448579-10-2	HYATT HOTELS CL A ORD		.09/23/2021	Bear Stearns	418.000	31,141		.0	
45332Y-10-9	INARI MEDICAL ORD		.09/29/2021	Various	789.000	62,976		.0	
457985-20-8	INTEGRA LIFESCIENCES HOLDINGS ORD		.09/29/2021	Warburg Dillon Reed	179.000	12,605		.0	
45826J-10-5	INTELLIA THERAPEUTICS ORD		.07/22/2021	Morgan Stanley	112.000	16,718		.0	
46817M-10-7	JACKSON FINANCIAL CL A ORD		.09/20/2021	Barclays Bank - CP	1,900.000	47,819		.0	
477143-10-1	JETBLUE AIRWAYS ORD		.09/14/2021	WILLIAM BLAIR & CO	4,443.000	66,072		.0	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
478160-10-4	JOHNSON & JOHNSON ORD		07/01/2021	CITIGROUP GLOBAL MARKETS INC.	21.000	3,459		0	
483467-10-6	KALTURA ORD		07/21/2021	GOLDMAN	2,037.000	20,424		0	
49446R-10-9	KIMCO REALTY REIT ORD		08/17/2021	MERRILL LYNCH & CO	260.000	5,554		0	
501575-10-4	KYMERA THERAPEUTICS ORD		07/01/2021	Morgan Stanley	200.000	9,400		0	
52490G-10-2	LEGEND BIOTECH 2 ADR REP ORD		09/20/2021	Merrill Lynch	496.000	21,717		0	
531229-85-4	LIBERTY MEDIA FORMULA ONE SRS C ORD		09/24/2021	JEFFERIES & COMPANY, INC.	187.000	9,454		0	
532457-10-8	ELI LILLY ORD		08/17/2021	MERRILL LYNCH & CO	11.000	3,000		0	
556269-10-8	STEVEN MADDEN ORD		07/22/2021	Various	1,603.000	65,547		0	
559663-10-9	MAGNOLIA OIL GAS CL A ORD		09/17/2021	RAYMOND JAMES/FI	841.000	14,339		0	
574795-10-0	MASIMO ORD		09/29/2021	Various	494.000	139,205		0	
57667L-10-7	MATCH GROUP ORD		09/17/2021	GOLDMAN SACHS	354.000	55,628		0	
586278-10-1	MIDDLEBY ORD		09/13/2021	Various	238.000	42,189		0	
60770K-10-7	MODERNA ORD		09/17/2021	Various	450.000	144,436		0	
60937P-10-6	MONGOOD CL A ORD		09/27/2021	Stephens Inc.	25.000	12,189		0	
609839-10-5	MONOLITHIC POWER SYSTEMS ORD		08/17/2021	MERRILL LYNCH & CO	6.000	2,716		0	
615394-20-2	MOOG CL A ORD		07/30/2021	Various	208.000	16,411		0	
63009R-10-9	NANOSTRING TECHNOLOGIES ORD		09/29/2021	Various	1,410.000	74,597		0	
63845R-10-7	NATIONAL VISION HOLDINGS ORD		09/02/2021	Various	1,442.000	86,056		0	
64049M-20-9	NEOGENOMICS ORD		09/29/2021	Various	1,307.000	58,911		0	
64110L-10-6	NETFLIX ORD		07/01/2021	CITIGROUP GLOBAL MARKETS INC.	6.000	3,192		0	
64828T-20-1	NEW RESIDENTIAL INVESTMENT REIT ORD		07/29/2021	MIZUHO SECURITIES	3,396.000	32,940		0	
650111-10-7	NEW YORK TIMES CL A ORD		09/24/2021	JEFFERIES & COMPANY, INC.	183.000	9,395		0	
68268W-10-3	ONEMAIN HOLDINGS ORD		07/29/2021	Barclays Bank - CP	400.000	23,600		0	
69007J-10-6	OUTFRONT MEDIA ORD		09/24/2021	Various	1,094.000	25,937		0	
690732-10-2	OWENS & MINOR ORD		09/27/2021	GOLDMAN	1,006.000	31,646		0	
69327R-10-1	PDC ENERGY ORD		09/17/2021	JEFFERIES & COMPANY, INC.	204.000	9,290		0	
69336V-10-1	PGT INNOVATIONS ORD		09/21/2021	Various	1,618.000	33,457		0	
698813-10-2	PAPA JOHNS INTERNATIONAL ORD		09/21/2021	Various	1,079.000	140,235		0	
70435P-10-2	PAYCOR HCM ORD		07/21/2021	Bear Stearns	100.000	2,300		0	
705573-10-3	PEGASYSYSTEMS ORD		09/27/2021	Various	120.000	15,956		0	
707569-10-9	PENNI NATIONAL GAMING ORD		09/02/2021	Various	2,859.000	229,631		0	
71601V-10-5	PETCO HEALTH AND WELLNESS C CL A ORD		09/09/2021	Various	7,250.000	158,824		0	
71844V-20-1	PHILLIPS EDISON AND COMPANY ORD		07/15/2021	Merrill Lynch	1,800.000	50,400		0	
74164F-10-3	PRIMORIS SERVICES ORD		09/16/2021	Various	3,059.000	79,905		0	
74275K-10-8	PROCORE TECHNOLOGIES ORD		09/28/2021	Various	302.000	28,647		0	
74624M-10-2	PURE STORAGE CL A ORD		09/30/2021	Various	604.000	15,407		0	
74838J-10-1	QUIDEL ORD		08/23/2021	JEFFERIES & COMPANY, INC.	66.000	7,841		0	
753422-10-4	RAPID7 ORD		09/27/2021	BERNSTEIN (SANFORD C) & CO.	104.000	12,325		0	
75615P-10-3	REATA PHARMACEUTICALS CL A ORD		08/31/2021	Morgan Stanley	177.000	18,525		0	
75700L-10-8	RED ROCK RESORTS CL A ORD		09/15/2021	Various	2,574.000	120,466		0	
75943R-10-2	RELAY THERAPEUTICS ORD		08/31/2021	BAYPOINT TRADING LLC	378.000	11,829		0	
76029L-10-0	REPAY HOLDINGS CL A ORD		09/23/2021	Various	4,593.000	109,882		0	
78351F-10-7	RYAN SPECIALTY GROUP HOLDIN CL A ORD		07/22/2021	Barclays Bank - CP	3,400.000	79,900		0	
78486Q-10-1	SVB FINANCIAL GROUP ORD		08/17/2021	MERRILL LYNCH & CO	6.000	3,378		0	
79466L-30-2	SALESFORCE.COM ORD		07/21/2021	Northern Trust	49.000	11,864		0	
81730H-10-9	SENTINELONE CL A ORD		07/26/2021	Morgan Stanley	225.000	11,164		0	
81762P-10-2	SERVICENOW ORD		07/01/2021	CITIGROUP GLOBAL MARKETS INC.	5.000	2,737		0	
83001A-10-2	SIX FLAGS ENTERTAINMENT ORD		08/31/2021	RBC Dain Rauscher (US)	231.000	9,784		0	
830830-10-5	SKYLINE CHAMPION ORD		09/15/2021	Various	896.000	53,041		0	
84612U-10-7	SOVOS BRANDS ORD		09/23/2021	GOLDMAN	618.000	7,416		0	
84790A-10-5	SPECTRUM BRANDS HOLDINGS ORD		08/20/2021	Various	1,754.000	150,597		0	
848574-10-9	SPIRIT AEROSYSTEMS HLDGS A ORD		08/23/2021	Various	1,331.000	55,541		0	
85208T-10-7	SPRINKLR CL A ORD		07/26/2021	Bear Stearns	959.000	18,411		0	
858119-10-0	STEEL DYNAMICS ORD		07/22/2021	JEFFERIES & COMPANY, INC.	1,076.000	64,017		0	
87157D-10-9	SYNAPTICS ORD		08/31/2021	First Boston Corp	39.000	7,389		0	
87161C-50-1	SYNOVUS FINANCIAL ORD		09/22/2021	Various	1,725.000	69,871		0	
875372-20-3	TANDEM DIABETES CARE ORD		09/29/2021	BERNSTEIN (SANFORD C) & CO.	262.000	31,423		0	



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

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CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
876030-10-7	TAPESTRY ORD		.07/19/2021	Various	870.000	34,470		.0	
876126-10-1	TARGA RESOURCES ORD		.09/17/2021	GOLDMAN	368.000	16,220		.0	
88160R-10-1	TESLA ORD		.09/17/2021	GOLDMAN SACHS	50.000	37,975		.0	
882508-10-4	TEXAS INSTRUMENTS ORD		.07/01/2021	Northern Trust	17.000	3,252		.0	
882681-10-9	TEXAS ROADHOUSE ORD		.09/07/2021	Various	1,642.000	155,099		.0	
885160-10-1	THOR INDUSTRIES ORD		.07/06/2021	Virtu Americas LLC	45.000	4,930		.0	
90214J-10-1	ZU ORD		.07/30/2021	Various	489.000	21,898		.0	
90240B-10-6	TYRA BIOSCIENCES ORD		.09/15/2021	JEFFERIES & COMPANY, INC.	1,172.000	18,752		.0	
912909-10-8	US STEEL ORD		.07/12/2021	JEFFERIES & COMPANY, INC.	1,436.000	35,757		.0	
917047-10-2	URBAN OUTFITTERS ORD		.07/07/2021	JEFFERIES & COMPANY, INC.	474.000	18,324		.0	
91734M-10-3	US ECOLOGY ORD		.09/30/2021	Various	1,441.000	48,269		.0	
922280-10-2	VARONIS SYSTEMS ORD		.09/27/2021	JMP SECURITIES	188.000	12,397		.0	
92337F-10-7	VERACYTE ORD		.09/29/2021	Various	1,899.000	86,484		.0	
925652-10-9	VICI PTYS ORD		.09/10/2021	Morgan Stanley	299.000	8,821		.0	
926400-10-2	VICTORIA S SECRET ORD		.08/31/2021	Various	1,625.332	102,942		.0	
92735P-10-3	VINE ENERGY CL A ORD		.09/17/2021	RAYMOND JAMES/FI	267.000	4,363		.0	
92763M-10-5	VIPER ENERGY PARTNERS UNIT		.09/17/2021	Various	881.000	16,865		.0	
931142-10-3	WALMART ORD		.09/17/2021	GOLDMAN SACHS	61.000	8,829		.0	
957638-10-9	WESTERN ALLIANCE ORD		.08/11/2021	Various	1,173.000	117,808		.0	
966387-50-8	WHITING PETROLEUM ORD		.09/17/2021	JEFFERIES & COMPANY, INC.	274.000	15,118		.0	
96758W-10-1	WIDEOPENWEST ORD		.07/09/2021	FIRST UNION CAPITAL	1,794.000	37,435		.0	
974155-10-3	WINGSTOP ORD		.09/07/2021	Various	903.000	158,227		.0	
984241-10-9	Y MABS THERAPEUTICS ORD		.08/31/2021	Morgan Stanley	380.000	11,811		.0	
G1151C-10-1	ACCENTURE CL A ORD	C	.08/17/2021	MERRILL LYNCH & CO	9.000	2,964		.0	
G14838-10-9	MIMECAST ORD	C	.09/28/2021	Various	882.000	57,868		.0	
G3323L-10-0	FABRINET ORD	C	.08/20/2021	NEEDHAM AND COMPANY LLC	214.000	21,989		.0	
G50871-10-5	JAZZ PHARMACEUTICALS ORD	C	.08/31/2021	GOLDMAN	86.000	11,337		.0	
M8744T-10-6	TABoola.COM ORD	C	.09/29/2021	BAYPOINT TRADING LLC	3,078.000	27,527		.0	
N70544-10-6	PLAYA HOTELS RESORTS ORD		.09/23/2021	BAYPOINT TRADING LLC	2,313.000	17,654		.0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						6,506,471	XXX	0	XXX
62080#-10-9	MOUNT CARMEL HEALTH PLAN OF IDAHO, INC.		.09/30/2021	Internal capital infusion		1,882,634		.0	
9299999. Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates Publicly Traded						1,882,634	XXX	0	XXX
464287-65-5	ISHARES:RUSS 2000 ETF		.09/30/2021	Various	3,322.000	738,939		.0	
9499999. Subtotal - Common Stocks - Mutual Funds						738,939	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						9,128,044	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						9,128,044	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						9,128,044	XXX	0	XXX
9999999 - Totals						17,374,409	XXX	23,388	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	
3620AA-TY-4	GN 724267 - RMBS		09/01/2021	Paydown		7,227	7,227	7,516	7,492	0	(265)	0	(265)	0	7,227	0	0	0	244	09/15/2039	1.A	
0599999	Subtotal - Bonds - U.S. Governments					7,227	7,227	7,516	7,492	0	(265)	0	(265)	0	7,227	0	0	0	244	XXX	XXX	
3128PL-A2-8	FH J08125 - RMBS		09/01/2021	Paydown		753	753	759	754	0	(1)	0	(1)	0	753	0	0	0	23	06/01/2023	1.A	
312935-M2-2	FH A88477 - RMBS		09/01/2021	Paydown		15,393	15,393	16,057	16,001	0	(608)	0	(608)	0	15,393	0	0	0	500	09/01/2039	1.A	
31371L-6G-9	FN 255671 - RMBS		09/01/2021	Paydown		2,787	2,787	2,783	2,782	0	5	0	5	0	2,787	0	0	0	94	04/01/2035	1.A	
3138AB-YR-4	FN AH9719 - RMBS		09/01/2021	Paydown		8,509	8,509	8,800	8,776	0	(267)	0	(267)	0	8,509	0	0	0	263	04/01/2041	1.A	
3138AK-QW-2	FN A15868 - RMBS		09/01/2021	Paydown		2,324	2,324	2,417	2,409	0	(85)	0	(85)	0	2,324	0	0	0	70	07/01/2041	1.A	
3138E2-GH-2	FN AJ9199 - RMBS		09/01/2021	Paydown		20,387	20,387	20,939	20,985	0	(598)	0	(598)	0	20,387	0	0	0	538	01/01/2042	1.A	
3138EG-HX-5	FN AL0245 - RMBS		09/01/2021	Paydown		19,630	19,630	19,820	19,842	0	(213)	0	(213)	0	19,630	0	0	0	519	04/01/2041	1.A	
3138M5-LN-7	FN AP2132 - RMBS		09/01/2021	Paydown		62,166	62,166	62,386	62,399	0	(233)	0	(233)	0	62,166	0	0	0	1,435	08/01/2042	1.A	
3138WE-6X-2	FN AS5385 - RMBS		09/01/2021	Paydown		74,831	74,831	76,379	76,872	0	(2,042)	0	(2,042)	0	74,831	0	0	0	1,941	07/01/2045	1.A	
314020-WA-5	FN 735141 - RMBS		09/01/2021	Paydown		4,020	4,020	3,934	3,949	0	71	0	71	0	4,020	0	0	0	146	01/01/2035	1.A	
31403C-6L-0	FN 745275 - RMBS		09/01/2021	Paydown		2,865	2,865	2,968	2,954	0	(89)	0	(89)	0	2,865	0	0	0	95	02/01/2036	1.A	
31403D-WU-9	FN 745959 - RMBS		09/01/2021	Paydown		4,341	4,341	4,600	4,560	0	(220)	0	(220)	0	4,341	0	0	0	160	11/01/2036	1.A	
31408F-6B-0	FN 850566 - RMBS		09/01/2021	Paydown		1,797	1,797	1,704	1,707	0	90	0	90	0	1,797	0	0	0	59	01/01/2036	1.A	
31409W-LB-5	FN 880622 - RMBS		09/01/2021	Paydown		360	360	349	350	0	10	0	10	0	360	0	0	0	13	04/01/2036	1.A	
3140FO-JX-3	FN BC4777 - RMBS		09/01/2021	Paydown		73,002	73,002	74,069	73,977	0	(974)	0	(974)	0	73,002	0	0	0	1,210	10/01/2031	1.A	
31411E-2C-0	FN 906271 - RMBS		09/01/2021	Paydown		7,644	7,644	7,548	7,544	0	99	0	99	0	7,644	0	0	0	288	01/01/2037	1.A	
31411E-YD-3	FN 906208 - RMBS		09/01/2021	Paydown		1,747	1,747	1,725	1,726	0	21	0	21	0	1,747	0	0	0	64	01/01/2037	1.A	
31412P-6K-2	FN 931574 - RMBS		09/01/2021	Paydown		3,324	3,324	3,396	3,379	0	(55)	0	(55)	0	3,324	0	0	0	109	02/01/2035	1.A	
31416T-L5-6	FN AA9347 - RMBS		09/01/2021	Paydown		12,079	12,079	12,473	12,422	0	(343)	0	(343)	0	12,079	0	0	0	399	08/01/2039	1.A	
31418D-HD-7	FN MA3827 - RMBS		09/01/2021	Paydown		77,346	77,346	78,234	78,372	0	(1,027)	0	(1,027)	0	77,346	0	0	0	1,283	11/01/2034	1.A	
3199999	Subtotal - Bonds - U.S. Special Revenues					395,304	395,304	401,340	401,761	0	(6,457)	0	(6,457)	0	395,304	0	0	0	9,210	XXX	XXX	
03523T-BX-5	ANHEUSER-BUSCH INBEV WORLDWIDE INC		06/29/2021	Call @ 100.00		0	0	0	0	0	0	0	0	0	0	0	0	0	1	01/23/2025	2.A FE	
09659Q-AE-7	BMWOT 2018-A A4 - ABS		09/25/2021	Paydown		117,167	117,167	117,149	117,157	0	10	0	10	0	117,167	0	0	0	1,947	06/25/2024	1.A FE	
12598A-AA-8	CNH 2021-A A1 - ABS		08/16/2021	Paydown		362,402	362,402	362,402	362,402	0	0	0	0	0	362,402	0	0	0	183	03/15/2022	1.C FE	
14040H-AY-1	CAPITAL ONE FINANCIAL CORP		07/15/2021	Maturity @ 100.00		600,000	600,000	693,132	607,451	0	(7,451)	0	(7,451)	0	600,000	0	0	0	28,500	07/15/2021	2.A FE	
14316N-AA-7	CARMAX 2021-1 A1 - ABS		07/15/2021	Paydown		80,174	80,174	80,174	80,174	0	0	0	0	0	80,174	0	0	0	65	02/15/2022	1.C FE	
254683-CG-8	DCENT 2018-4 A - ABS		07/15/2021	Paydown		1,250,000	1,250,000	1,249,661	1,249,916	0	84	0	84	0	1,250,000	0	0	0	22,677	01/16/2024	1.A FE	
36261R-AA-6	GMALT 2021-1 A1 - ABS		07/20/2021	Paydown		9,392	9,392	9,392	9,392	0	0	0	0	0	9,392	0	0	0	4	02/22/2022	1.D FE	
38013F-AD-3	GMCAR 2018-4 A3 - ABS		09/16/2021	Paydown		74,509	74,509	74,497	74,503	0	7	0	7	0	74,509	0	0	0	1,587	10/16/2023	1.A FE	
43815N-AC-8	HAROT 2019-3 A3 - ABS		09/15/2021	Paydown		144,489	144,489	144,487	144,488	0	1	0	1	0	144,489	0	0	0	1,706	08/15/2023	1.A FE	
47788E-AC-2	JDOT 2018-B A3 - ABS		09/15/2021	Paydown		50,670	50,670	50,666	50,669	0	2	0	2	0	50,670	0	0	0	1,044	11/15/2022	1.A FE	
58772R-AD-6	MBART 2018-1 A3 - ABS		09/15/2021	Paydown		94,285	94,285	94,281	94,283	0	2	0	2	0	94,285	0	0	0	1,890	01/17/2023	1.A FE	
65479G-AD-1	NAROT 2018-B A3 - ABS		09/15/2021	Paydown		98,217	98,217	98,213	98,215	0	2	0	2	0	98,217	0	0	0	1,997	03/15/2023	1.A FE	
828807-QN-5	SIMON PROPERTY GROUP LP		09/09/2021	Call @ 100.00		1,343,809	1,300,000	1,288,209	1,297,297	0	878	0	878	0	1,298,175	0	1,825	1,825	83,332	02/01/2023	1.G FE	
89238U-AD-2	TAOT 2019-C A3 - ABS		09/15/2021	Paydown		146,841	146,841	146,840	146,840	0	1	0	1	0	146,841	0	0	0	1,859	09/15/2023	1.A FE	
92347Y-AA-2	VZOT 2019-A A1A - RMBS		09/20/2021	Paydown		225,270	225,270	225,225	225,257	0	13	0	13	0	225,270	0	0	0	4,382	09/20/2023	1.A FE	
92868L-AD-3	VALET 2018-1 A3 - ABS		09/20/2021	Paydown		54,453	54,453	54,445	54,450	0	3	0	3	0	54,453	0	0	0	1,093	11/21/2022	1.A FE	
8399999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					4,651,677	4,607,868	4,688,774	4,160,525	0	(6,450)	0	(6,450)	0	4,606,043	0	1,825	1,825	152,269	XXX	XXX	
8399997	Total - Bonds - Part 4					5,054,208	5,010,399	5,097,630	4,569,778	0	(13,172)	0	(13,172)	0	5,008,574	0	1,825	1,825	161,723	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					5,054,208	5,010,399	5,097,630	4,569,778	0	(13,172)	0	(13,172)	0	5,008,574	0	1,825	1,825	161,723	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
001055-10-2	AFLAC ORD		09/17/2021	GOLDMAN SACHS	74,000	3,995		2,326	3,291	(965)	0	0	(965)	0	2,326	0	1,669	1,669	73			
00857U-10-7	AGILON HEALTH ORD		09/27/2021	Warburg Dillon Reed	93,000	2,519		2,607	0	0	0	0	0	0	2,607	0	(89)	(89)	0			
				JEFFERIES & COMPANY,																		
				INC.																		
013872-10-6	ALCOA ORD		07/12/2021		397,000	14,918		7,315	9,151	(1,836)	0	0	(1,836)	0	7,315	0	7,602	7,602	0			
015351-10-9	ALEXION PHARMACEUTICALS ORD		07/20/2021	MORGAN STANLEY	283,000	51,647		35,775	44,216	(8,441)	0	0	(8,441)	0	35,775	0	15,872	15,872	0			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
.01741R-10-2	ALLEGHENY TECHNOLOGIES ORD		07/08/2021	JEFFERTIES & COMPANY, INC.	635.000	13,296		12,403	10,849	1,754	.0	.0	1,754	.0	12,403	.0	.893	.893	.0		
.020764-10-6	ALPHA METALLURGICAL RESOURCES, INC.		07/15/2021	B RILEY & CO	759.000	18,648		10,755	.0	.0	.0	.0	.0	.0	10,755	.0	.7893	.7893	.0		
.02079K-10-7	ALPHABET CL C ORD		09/17/2021	GOLDMAN SACHS	4.000	11,317		2,337	6,988	(4,676)	.0	.0	(4,676)	.0	2,337	.0	.8980	.8980	.0		
.023135-10-6	AMAZON COM ORD		07/20/2021	WILLIAMS CAPITAL GROUP	1.000	3,530		.726	3,221	(2,531)	.0	.0	(2,531)	.0	.726	.0	.2804	.2804	.0		
.02553E-10-6	AMERICAN EAGLE OUTFITTERS ORD		07/07/2021	COWEN AND COMPANY, LLC	2,961.000	105,095		56,500	53,493	(8,014)	.0	.0	(8,014)	.0	56,500	.0	48,596	48,596	366		
.030506-10-9	AMERICAN WOODMARK ORD		07/30/2021	Morgan Stanley	84.000	6,249		6,825	7,883	(1,058)	.0	.0	(1,058)	.0	6,825	.0	(576)	(576)	.0		
.03064D-10-8	AMERICOLD REALTY ORD		09/22/2021	INC	2,187.000	67,709		79,333	81,641	(2,308)	.0	.0	(2,308)	.0	79,333	.0	(11,624)	(11,624)	1,422		
.031162-10-0	AMGEN ORD		09/17/2021	GOLDMAN SACHS	19.000	4,168		2,336	4,368	(2,033)	.0	.0	(2,033)	.0	2,336	.0	1,832	1,832	100		
.032654-10-5	ANALOG DEVICES ORD		09/22/2021	Not Available	0.700	121		.57	48	(27)	.0	.0	(27)	.0	.57	.0	64	64	.1		
.04280A-10-0	ARROWHEAD PHARMACEUTICALS ORD		07/02/2021	LEERINK SWANN & CO INC.	207.000	13,025		18,320	.0	.0	.0	.0	.0	.0	18,320	.0	(5,296)	(5,296)	.0		
.04342Y-10-4	ASANA CL A ORD		09/27/2021	Various	250.000	27,196		6,731	5,707	(642)	.0	.0	(642)	.0	6,731	.0	20,465	20,465	.0		
.04621X-10-8	ASSURANT ORD		08/16/2021	Various	411.000	66,233		53,989	48,860	(1,321)	.0	.0	(1,321)	.0	53,989	.0	12,244	12,244	552		
.04683R-10-6	ATEA PHARMACEUTICALS ORD		09/01/2021	Various	1,013.000	29,976		25,470	12,492	(5,316)	.0	.0	(5,316)	.0	25,470	.0	4,506	4,506	.0		
.05605H-10-0	BIIX TECHNOLOGIES ORD		09/29/2021	RBC Dain Rauscher (US)	122.000	6,657		6,819	.0	.0	.0	.0	.0	.0	6,819	.0	(163)	(163)	.0		
.05888J-10-3	BANDWIDTH CL A ORD		09/01/2021	Various	485.000	50,273		61,650	.0	.0	.0	.0	.0	.0	61,650	.0	(11,377)	(11,377)	.0		
.060505-10-4	BANK OF AMERICA ORD		09/17/2021	GOLDMAN SACHS	232.000	9,395		3,925	7,032	(3,107)	.0	.0	(3,107)	.0	3,925	.0	5,470	5,470	.84		
.084670-70-2	BERKSHIRE HATHAWAY CL B ORD		09/17/2021	Various	66.000	18,262		8,635	15,241	(6,684)	.0	.0	(6,684)	.0	8,635	.0	9,628	9,628	.0		
.08862E-10-9	BEYOND MEAT ORD		08/16/2021	Bear Stearns	245.000	29,010		34,884	.0	.0	.0	.0	.0	.0	34,884	.0	(5,874)	(5,874)	.0		
.09073M-10-4	BIO TECHNE ORD		08/23/2021	RBC Dain Rauscher (US)	197.000	95,074		51,596	54,610	(11,852)	.0	.0	(11,852)	.0	51,596	.0	43,478	43,478	165		
.09352U-10-8	BLEND LABS CL A ORD		07/16/2021	BERNSTEIN (SANFORD C) & CO.	700.000	14,093		12,600	.0	.0	.0	.0	.0	.0	12,600	.0	1,493	1,493	.0		
.094235-10-8	BLOOMIN BRANDS ORD		08/20/2021	Various	4,497.000	110,210		79,256	76,443	(7,497)	.0	.0	(7,497)	.0	79,256	.0	30,955	30,955	.0		
.100557-10-7	BOSTON BEER CL A ORD		07/26/2021	Morgan Stanley	105.000	74,731		68,525	64,246	(37,865)	.0	.0	(37,865)	.0	68,525	.0	6,205	6,205	.0		
.103304-10-1	BOYD GAMING ORD		09/07/2021	BURNS FRY HOARE GOVETT INC	2,555.000	157,299		148,326	.0	.0	.0	.0	.0	.0	148,326	.0	8,972	8,972	.0		
.12008R-10-7	BUILDERS FIRSTSOURCE ORD		09/17/2021	Various	817.000	43,146		32,879	9,121	(1,320)	.0	.0	(1,320)	.0	32,879	.0	10,267	10,267	.0		
.127190-30-4	CACI INTERNATIONAL CL A ORD		09/22/2021	Various	82.000	20,724		20,522	10,064	145	.0	.0	145	.0	20,522	.0	202	202	.0		
.12769G-10-0	CAESARS ENTERTAINMENT ORD		08/25/2021	Various	1,334.000	126,301		123,690	.0	.0	.0	.0	.0	.0	123,690	.0	2,611	2,611	.0		
.14040H-10-5	CAPITAL ONE FINANCIAL ORD		07/20/2021	WILLIAMS CAPITAL GROUP	26.000	4,085		1,774	2,570	(796)	.0	.0	(796)	.0	1,774	.0	2,311	2,311	21		
.141788-10-9	CARGURUS CL A ORD		08/05/2021	JMP SECURITIES	699.000	20,296		18,008	13,911	(3,097)	.0	.0	(3,097)	.0	18,008	.0	2,288	2,288	.0		
.149568-10-7	CAVCO INDUSTRIES ORD		09/17/2021	STIFEL NICOLAUS & CO.	46.000	11,570		8,640	5,526	26	.0	.0	26	.0	8,640	.0	2,930	2,930	.0		
.15189T-10-7	CENTERPOINT ENERGY ORD		09/30/2021	CUTTONE & CO	1,300.000	32,004		24,401	28,132	(3,731)	.0	.0	(3,731)	.0	24,401	.0	7,602	7,602	624		
.156504-30-0	CENTURY COMMUNITIES ORD		09/17/2021	STIFEL NICOLAUS & CO.	226.000	14,657		9,290	5,880	(2,050)	.0	.0	(2,050)	.0	9,290	.0	5,367	5,367	59		
.159864-10-7	CHRLS RIVER LABS ORD		07/20/2021	LOOP CAPITAL MARKETS LLC	9.000	3,446		2,889	.0	.0	.0	.0	.0	.0	2,889	.0	557	557	.0		
.16119P-10-8	CHARTER COMMUNICATIONS CL A ORD		09/17/2021	GOLDMAN SACHS	17.000	12,979		4,825	11,246	(6,421)	.0	.0	(6,421)	.0	4,825	.0	8,154	8,154	.0		
.163086-10-1	CHEFS WAREHOUSE ORD		09/16/2021	COWEN AND COMPANY, LLC	1,491.000	42,097		49,110	.0	.0	.0	.0	.0	.0	49,110	.0	(7,013)	(7,013)	.0		
.172967-42-4	CITIGROUP ORD		09/17/2021	GOLDMAN SACHS	70.000	4,897		3,404	4,316	(912)	.0	.0	(912)	.0	3,404	.0	1,493	1,493	107		
.184496-10-7	CLEAN HARBORS ORD		09/28/2021	Various	1,086.000	109,409		84,483	60,488	(1,798)	.0	.0	(1,798)	.0	84,483	.0	24,926	24,926	.0		
.18467V-10-9	CLEAR SECURE CL A ORD		07/08/2021	Morgan Stanley	300.000	12,018		9,300	.0	.0	.0	.0	.0	.0	9,300	.0	2,718	2,718	.0		
.233331-10-7	DTE ENERGY ORD		07/01/2021	Unknown	250.000	20,177		20,177	30,353	(10,175)	.0	.0	(10,175)	.0	20,177	.0	.0	.0	814		
.23345M-10-7	DT MIDSTREAM ORD		07/01/2021	MORGAN STANLEY	125.000	5,252		3,009	.0	.0	.0	.0	.0	.0	3,009	.0	2,243	2,243	.0		
.243537-10-7	DECKERS OUTDOOR ORD		09/02/2021	Various	283.000	118,522		76,417	37,710	(17,097)	.0	.0	(17,097)	.0	76,417	.0	42,105	42,105	.0		
.244199-10-5	DEERE ORD		09/17/2021	GOLDMAN SACHS	35.000	12,218		3,578	9,417	(5,839)	.0	.0	(5,839)	.0	3,578	.0	8,640	8,640	90		
.24477E-10-3	DEFINITIVE HEALTHCARE CL A ORD		09/15/2021	COWEN AND COMPANY, LLC	123.000	5,082		3,321	.0	.0	.0	.0	.0	.0	3,321	.0	1,761	1,761	.0		
.253031-10-8	DICERNA PHARMACEUTICALS ORD		07/22/2021	Morgan Stanley	218.000	8,258		4,828	4,803	25	.0	.0	25	.0	4,828	.0	3,431	3,431	.0		
.26701L-10-0	DUTCH BROS CL A ORD		09/22/2021	Morgan Stanley	185.000	9,772		4,255	.0	.0	.0	.0	.0	.0	4,255	.0	5,517	5,517	.0		
.29249E-10-9	ENACT HOLDINGS ORD		09/16/2021	RBC Dain Rauscher (US)	1,712.000	34,477		32,528	.0	.0	.0	.0	.0	.0	32,528	.0	1,949	1,949	.0		
.29786A-10-6	ETSY ORD		09/22/2021	Warburg Dillon Reed	216.000	47,577		10,047	38,429	(28,381)	.0	.0	(28,381)	.0	10,048	.0	37,529	37,529	.0		
.302081-10-4	EXLSERVICE HOLDINGS ORD		09/17/2021	Various	330.000	40,296		22,802	28,093	(5,291)	.0	.0	(5,291)	.0	22,802	.0	17,494	17,494	.0		
.30303M-10-2	FACEBOOK CL A ORD		09/17/2021	GOLDMAN SACHS	22.000	8,024		2,083	5,988	(3,930)	.0	.0	(3,930)	.0	2,083	.0	5,941	5,941	.0		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
303250-10-4	FAIR ISAAC ORD		08/19/2021	Various	221.000	110,539		79,029	112,940	(33,911)	.0	.0	(33,911)	.0	79,029	.0	31,510	31,510	.0		
320517-10-5	FIRST HORIZON ORD		06/29/2021	Various	0.000	.0		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	1,407	
33829M-10-1	FIVE BELOW ORD		08/31/2021	RBC Dain Rauscher (US)	110.000	23,273		14,672	14,788	(5,197)	.0	.0	(5,197)	.0	14,672	.0	8,601	8,601	.0		
33833Q-10-6	FIVE POINT HOLDINGS CL A ORD		07/13/2021	Virtu Americas LLC	543.000	4,543		3,219	2,489	265	.0	.0	265	.0	3,219	.0	1,325	1,325	.0		
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		09/17/2021	Various	186.000	18,008		13,676	11,492	(2,583)	.0	.0	(2,583)	.0	13,676	.0	4,332	4,332	109		
358054-10-4	FRESHWORKS CL A ORD		09/27/2021	Stephens Inc.	63.000	2,898		2,268	.0	.0	.0	.0	.0	.0	2,268	.0	630	630	.0		
				BAIRD, ROBERT W., & COMPANY INC	1,320.000	9,254		16,420	.0	.0	.0	.0	.0	.0	16,420	.0	(7,166)	(7,166)	.0		
35952H-60-1	FUELCELL ENERGY ORD		07/15/2021	Adjustment	11,158.000	118,526		118,526	120,506	(1,981)	.0	.0	(1,981)	.0	118,526	.0	.0	.0	337		
369604-10-3	GENERAL ELECTRIC ORD		08/02/2021	Not Available	0.750	77		.0	.0	.0	.0	.0	.0	.0	.0	.0	13	13	.0		
369604-30-1	GENERAL ELECTRIC ORD		08/25/2021	Various	1,784.000	138,661		91,853	116,352	(24,499)	.0	.0	(24,499)	.0	91,853	.0	46,808	46,808	.0		
379577-20-8	GLOBUS MEDICAL CL A ORD		08/25/2021	Bear Stearns	2,774.000	52,040		62,835	.0	.0	.0	.0	.0	.0	62,835	.0	(10,795)	(10,795)	.0		
38113L-10-7	GOLDEN NUGGET ONLINE GAM CL A ORD		09/24/2021	GOLDMAN SACHS	27.000	6,986		2,153	4,440	(2,287)	.0	.0	(2,287)	.0	2,153	.0	4,833	4,833	26		
40412C-10-1	HCA HEALTHCARE ORD		09/17/2021	Warburg Dillon Reed	279.000	14,775		10,527	8,306	(2,453)	.0	.0	(2,453)	.0	10,527	.0	4,248	4,248	.0		
42225T-10-7	HEALTH CATALYST ORD		09/27/2021	JEFFERIES & COMPANY, INC.	2,177.000	15,532		13,877	8,006	(1,254)	.0	.0	(1,254)	.0	13,877	.0	1,655	1,655	44		
422704-10-6	HECLA MINING ORD		07/14/2021	Morgan Stanley	300.000	25,316		22,610	.0	.0	.0	.0	.0	.0	22,610	.0	2,706	2,706	27		
42328H-10-9	HELIOS TECHNOLOGIES ORD		09/24/2021	Merrill Lynch	190.000	4,995		4,635	2,108	(690)	.0	.0	(690)	.0	4,635	.0	361	361	46		
423452-10-1	HELMERICH AND PAYNE ORD		08/04/2021	Various	1,412.000	207,179		149,826	128,559	10,160	.0	.0	10,160	.0	149,826	.0	57,353	57,353	927		
431475-10-2	HILL ROM HOLDINGS ORD		09/29/2021	CITIGROUP GLOBAL MARKETS INC.	15.000	4,841		1,046	3,984	(2,939)	.0	.0	(2,939)	.0	1,046	.0	3,795	3,795	50		
437076-10-2	HOME DEPOT ORD		07/20/2021	Various	714.000	11,582		9,583	10,453	(870)	.0	.0	(870)	.0	9,583	.0	1,999	1,999	.0		
44109J-10-6	HOSTESS BRANDS CL A ORD		09/29/2021	Various	121.000	83,155		21,467	47,969	(26,502)	.0	.0	(26,502)	.0	21,467	.0	61,688	61,688	.0		
443573-10-0	HUBSPOT ORD		09/27/2021	Not Available	0.530	.7		.6	.5	(1)	.0	.0	(1)	.0	.6	.0	.1	.1	.0		
446150-10-4	HUNTINGTON BANCSHARES ORD		07/07/2021	Various	148.000	29,347		25,341	25,231	110	.0	.0	110	.0	25,341	.0	4,006	4,006	472		
446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		09/16/2021	Various	677.000	32,047		41,679	39,909	1,770	.0	.0	1,770	.0	41,679	.0	(9,631)	(9,631)	.0		
447462-10-2	HURON CONSULTING GROUP ORD		08/12/2021	Various	108,725	153,914		(45,190)	.0	.0	.0	.0	(45,190)	.0	108,725	.0	74,442	74,442	906		
45780R-10-1	INSTALLED BUILDING PRODUCTS ORD		09/09/2021	BAYPOINT TRADING LLC	1,009.000	11,311		19,437	20,826	(1,389)	.0	.0	(1,389)	.0	19,437	.0	(8,126)	(8,126)	.0		
45790W-10-8	INOZYME PHARMA ORD		09/20/2021	Morgan Stanley	488.000	35,093		25,828	29,497	(6,190)	.0	.0	(6,190)	.0	25,828	.0	9,264	9,264	.0		
457985-20-8	INTEGRA LIFESCIENCES HOLDINGS ORD		08/23/2021	Virtu Americas LLC	372.000	7,961		6,696	.0	.0	.0	.0	.0	.0	6,696	.0	1,265	1,265	.0		
45828L-10-8	INTEGRAL AD SCIENCE HOLDING ORD		09/21/2021	Various	1,766.000	65,259		27,932	41,536	(13,604)	.0	.0	(13,604)	.0	27,932	.0	37,326	37,326	1,234		
460690-10-0	INTERPUBLIC GROUP OF COMPANIES ORD		09/24/2021	Various	393.000	38,241		30,109	37,689	(7,580)	.0	.0	(7,580)	.0	30,109	.0	8,132	8,132	.0		
465741-10-6	ITRON ORD		08/03/2021	GOLDMAN SACHS	66.000	10,407		3,746	8,387	(4,640)	.0	.0	(4,640)	.0	3,746	.0	6,660	6,660	178		
46625H-10-0	JPMORGAN CHASE ORD		09/17/2021	Various	3,472.000	92,380		75,570	63,695	(14,362)	.0	.0	(14,362)	.0	75,570	.0	16,809	16,809	.0		
47580P-10-3	JELD WEN HOLDING ORD		09/28/2021	MIZUHO SECURITIES	223.000	8,226		6,649	7,172	(1,416)	.0	.0	(1,416)	.0	6,649	.0	1,577	1,577	137		
489170-10-0	KENNAMETAL ORD		09/13/2021	Various	1,788.000	90,884		84,510	.0	.0	.0	.0	.0	.0	84,510	.0	6,374	6,374	305		
499049-10-4	KNIGHT SWIFT TRANSPRTATN CL A ORD		09/14/2021	GOLDMAN SACHS	141.000	5,772		2,973	4,478	(1,505)	.0	.0	(1,505)	.0	2,973	.0	2,799	2,799	80		
501044-10-1	KROGER ORD		09/17/2021	Adjustment	286.000	9,656		9,656	10,636	(981)	.0	.0	(981)	.0	9,656	.0	.0	.0	43		
501797-10-4	BATH AND BODY WORKS ORD		08/03/2021	Stephens Inc.	12.000	1,949		2,228	.0	.0	.0	.0	.0	.0	2,228	.0	(279)	(279)	.0		
50187A-10-7	LHC GROUP ORD		09/27/2021	KEEFE BRUVETTE + WOODS INC.	130.000	20,058		9,791	13,549	(3,758)	.0	.0	(3,758)	.0	9,791	.0	10,268	10,268	98		
50212V-10-0	LPL FINANCIAL HOLDINGS ORD		09/24/2021	JEFFERIES & COMPANY, INC.	845.000	29,929		29,235	.0	.0	.0	.0	.0	.0	29,235	.0	694	694	.0		
52466B-10-3	LEGALZOOM COM ORD		08/12/2021	Morgan Stanley	351.000	42,115		25,650	27,937	(2,287)	.0	.0	(2,287)	.0	25,650	.0	16,465	16,465	779		
53223X-10-7	LIFE STORAGE ORD		09/23/2021	LIQUIDNET, INC.	1,400.000	20,826		28,799	.0	.0	.0	.0	.0	.0	28,799	.0	(7,974)	(7,974)	.0		
53228F-10-1	LIFESTANCE HEALTH GROUP ORD		09/27/2021	LIQUIDNET, INC.	778.000	10,434		16,091	.0	.0	.0	.0	.0	.0	16,091	.0	(5,657)	(5,657)	.0		
535219-10-9	LINDBLAD EXPEDITIONS HOLDINGS ORD		09/08/2021	First Boston Corp	643.000	15,765		6,071	9,731	(5,981)	.0	.0	(5,981)	.0	6,071	.0	9,695	9,695	.0		
53814L-10-8	LIVENT ORD		09/23/2021	LOOP CAPITAL MARKETS LLC	34.000	6,586		1,720	5,457	(3,738)	.0	.0	(3,738)	.0	1,720	.0	4,867	4,867	41		
548661-10-7	LOWE'S COMPANIES ORD		07/20/2021	PIPER JAFFRAY	434.001	26,292		19,895	.0	.0	.0	.0	.0	.0	19,895	.0	6,397	6,397	26		
573874-10-4	MARVELL TECHNOLOGY ORD		09/30/2021	Adjustment	590.000	36,006		36,006	52,304	(16,297)	.0	.0	(16,297)	.0	36,006	.0	.0	.0	.0		
57772K-10-1	MAXIM INTEGRATED PRODUCTS ORD		08/26/2021	Various	3,097.000	54,630		23,228	41,562	(18,334)	.0	.0	(18,334)	.0	23,228	.0	31,402	31,402	.0		
578605-10-7	MAYVILLE ENGINEERING CO ORD		08/18/2021	Various	3,157.000	106,395		95,840	79,163	(7,976)	.0	.0	(7,976)	.0	95,840	.0	10,555	10,555	.0		
584021-10-9	MEDALLIA ORD		09/28/2021	Various	2,113.000	52,400		50,457	58,974	(8,516)	.0	.0	(8,516)	.0	50,457	.0	1,943	1,943	.0		
59001K-10-0	MERITOR ORD		08/18/2021																.0		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation, NAIC Desig-nation Modifier and SVO Admini-strative Symbol
594918-10-4	MICROSOFT ORD		09/17/2021	GOLDMAN SACHS	36,000	10,795		1,720	7,963	(6,293)	.0	.0	(6,293)	.0	1,720	.0	9,075	9,075	.60		
596278-10-1	MIDDLEBY ORD		09/17/2021	Various	357,000	64,988		36,968	34,640	(10,958)	.0	.0	(10,958)	.0	36,968	.0	28,020	28,020	.0		
				JONES TRADING INSTITUTIONAL SERVICES																	
60468T-10-5	MIRATI THERAPEUTICS ORD		07/22/2021	LLC	52,000	8,301		5,160	11,421	(6,261)	.0	.0	(6,261)	.0	5,160	.0	3,141	3,141	.0		
617446-44-8	MORGAN STANLEY ORD		09/17/2021	GOLDMAN SACHS	58,000	5,901		1,927	3,768	(2,073)	.0	.0	(2,073)	.0	1,927	.0	3,974	3,974	.80		
62944T-10-5	NVR ORD		07/20/2021	Northern Trust	1,000	4,870		4,045	3,264	(246)	.0	.0	(246)	.0	4,045	.0	825	825	.0		
62955J-10-3	NOV ORD		09/17/2021	GOLDMAN SACHS	504,000	6,747		9,478	6,920	2,559	.0	.0	2,559	.0	9,478	.0	(2,731)	(2,731)	.0		
63947U-10-7	NCINO ORD		09/30/2021	Various	1,469,000	106,400		106,615	.0	.0	.0	.0	.0	.0	106,615	.0	(215)	(215)	.0		
64125C-10-9	NEUROCRINE BIOSCIENCES ORD		07/22/2021	Bear Stearns	85,000	8,346		9,229	8,147	1,082	.0	.0	1,082	.0	9,229	.0	(883)	(883)	.0		
666807-10-2	NORTHROP GRUMMAN ORD		07/20/2021	MERRILL LYNCH & CO	12,000	4,338		1,649	3,657	(2,008)	.0	.0	(2,008)	.0	1,649	.0	2,689	2,689	.36		
670002-40-1	NOVAVAX ORD		08/31/2021	Various	272,000	62,145		35,244	30,331	(4,913)	.0	.0	(4,913)	.0	35,244	.0	26,902	26,902	.0		
68268W-10-3	ONEMAIN HOLDINGS ORD		08/03/2021	COWEN AND COMPANY, LLC	234,000	14,239		11,893	3,798	(353)	.0	.0	(353)	.0	11,893	.0	2,345	2,345	.507		
68399X-10-5	ORACLE ORD		09/17/2021	Various	245,000	21,257		8,458	15,849	(7,391)	.0	.0	(7,391)	.0	8,458	.0	12,799	12,799	.204		
68622V-10-6	ORGANON ORD		06/30/2021	Adjustment	.0	24		19	.0	.0	.0	.0	.0	.0	19	.0	.5	.5	.0		
687793-10-9	OSCAR HEALTH CL A ORD		09/27/2021	CANTOR CLEARING SERV	277,000	4,916		9,655	.0	.0	.0	.0	.0	.0	9,655	.0	(4,739)	(4,739)	.0		
69007J-10-6	OUTFRONT MEDIA ORD		07/07/2021	Bear Stearns	344,000	8,367		5,595	5,440	(1,274)	.0	.0	(1,274)	.0	5,440	.0	2,928	2,928	.0		
69336V-10-1	PGT INNOVATIONS ORD		09/17/2021	First Boston Corp	251,000	4,884		4,362	3,293	(979)	.0	.0	(979)	.0	4,362	.0	522	522	.0		
693656-10-0	PVH ORD		09/09/2021	Various	1,526,000	160,481		114,682	111,843	(26,962)	.0	.0	(26,962)	.0	114,682	.0	45,799	45,799	.0		
703343-10-3	PATRICK INDUSTRIES ORD		08/03/2021	Various	232,000	19,406		11,350	15,329	(4,528)	.0	.0	(4,528)	.0	11,350	.0	8,056	8,056	.126		
70435P-10-2	PAYCOR HCM ORD		08/18/2021	Morgan Stanley	100,000	3,662		2,300	.0	.0	.0	.0	.0	.0	2,300	.0	1,362	1,362	.0		
707569-10-9	PENN NATIONAL GAMING ORD		09/21/2021	RBC Dain Rauscher (US)	191,000	13,728		15,203	.997	(252)	.0	.0	(252)	.0	15,203	.0	(1,475)	(1,475)	.0		
71377A-10-3	PERFORMANCE FOOD GROUP ORD		09/14/2021	Various	2,834,000	135,397		137,165	134,927	2,238	.0	.0	2,238	.0	137,165	.0	(1,768)	(1,768)	.0		
71844V-20-1	PHILLIPS EDISON AND COMPANY ORD		07/21/2021	COWEN AND COMPANY, LLC	1,800,000	49,515		50,400	.0	.0	.0	.0	.0	.0	50,400	.0	(885)	(885)	.0		
72703H-10-1	PLANET FITNESS CL A ORD		07/06/2021	Morgan Stanley	135,000	9,991		9,457	9,813	(1,043)	.0	.0	(1,043)	.0	9,457	.0	535	535	.0		
				JEFFERIES & COMPANY,																	
736508-84-7	PORTLAND GENERAL ELECTRIC ORD		07/23/2021	INC	715,000	34,583		32,455	30,581	11,120	.0	9,245	1,875	.0	32,455	.0	2,127	2,127	.890		
742718-10-9	PROCTER & GAMBLE ORD		09/17/2021	GOLDMAN SACHS	31,000	4,474		2,214	4,313	(2,099)	.0	.0	(2,099)	.0	2,214	.0	2,261	2,261	.78		
743606-10-5	PROSPERITY BANCSHARES ORD		08/10/2021	CITIGROUP GLOBAL MARKETS	1,005,000	69,875		57,789	69,707	(11,918)	.0	.0	(11,918)	.0	57,789	.0	12,086	12,086	1,477		
75737F-10-8	REDFIN ORD		08/05/2021	INC	395,000	23,743		13,950	27,109	(13,159)	.0	.0	(13,159)	.0	13,950	.0	9,793	9,793	.0		
				JEFFERIES & COMPANY,																	
759509-10-2	RELIANCE STEEL ORD		07/14/2021	INC	184,000	27,482		21,488	17,686	(440)	.0	.0	(440)	.0	21,488	.0	5,993	5,993	.253		
78351F-10-7	RYAN SPECIALTY GROUP HOLDIN CL A ORD		09/22/2021	Various	3,400,000	111,997		79,900	.0	.0	.0	.0	.0	.0	79,900	.0	32,097	32,097	.0		
				JEFFERIES & COMPANY,																	
808625-10-7	SCIENCE APPLICATIONS INTERNATIONAL ORD		08/04/2021	INC	149,000	12,362		12,640	11,674	(1,488)	.0	.0	(1,488)	.0	12,640	.0	(277)	(277)	.160		
81282V-10-0	SEAWORLD ENTERTAINMENT ORD		08/31/2021	Barclays Bank - CP	1,579,000	77,963		81,842	.0	.0	.0	.0	.0	.0	81,842	.0	(3,878)	(3,878)	.0		
81730H-10-9	SENTINELONE CL A ORD		09/27/2021	Stephens Inc.	562,000	32,417		24,398	.0	.0	.0	.0	.0	.0	24,398	.0	8,019	8,019	.0		
				JEFFERIES & COMPANY,																	
825690-10-0	SHUTTERSTOCK ORD		07/27/2021	INC	223,000	22,739		16,324	4,222	(1,366)	.0	.0	(1,366)	.0	16,324	.0	6,415	6,415	.94		
82657L-10-7	SIGILON THERAPEUTICS ORD		08/05/2021	BAYPOINT TRADING LLC	636,000	3,230		12,358	24,240	(15,156)	.0	.0	(15,156)	.0	12,358	.0	(9,128)	(9,128)	.0		
830830-10-5	SKYLINE CHAMPION ORD		09/17/2021	Various	315,000	18,744		11,048	7,572	103	.0	.0	103	.0	11,048	.0	7,696	7,696	.0		
				KEEFE BRUYETTE + WOODS																	
840441-10-9	SOUTH STATE ORD		08/12/2021	INC	638,000	45,740		45,784	40,689	(728)	.0	.0	(728)	.0	45,784	.0	(45)	(45)	.877		
84612U-10-7	SOVOS BRANDS ORD		09/23/2021	ALLEN & CO	618,000	8,604		7,416	.0	.0	.0	.0	.0	.0	7,416	.0	1,188	1,188	.0		
85225A-10-7	SQUARESPACE CL A ORD		08/10/2021	Various	721,000	34,455		38,776	.0	.0	.0	.0	.0	.0	38,776	.0	(4,320)	(4,320)	.0		
85914M-10-7	STEPSTONE GROUP CL A ORD		09/03/2021	COWEN AND COMPANY, LLC	475,000	23,012		13,639	7,716	(3,357)	.0	.0	(3,357)	.0	13,639	.0	9,372	9,372	.80		
85917A-10-0	STERLING BAN ORD		09/20/2021	First Boston Corp	2,600,000	55,159		67,316	.0	.0	.0	.0	.0	.0	67,316	.0	(12,157)	(12,157)	.182		
87166B-10-2	SYNCOE HEALTH CL A ORD		09/27/2021	Warburg Dillon Reed	81,000	7,608		4,962	4,939	(662)	.0	.0	(662)	.0	4,962	.0	2,646	2,646	.0		
875372-20-3	TANDEM DIABETES CARE ORD		08/24/2021	Morgan Stanley	899,000	100,068		74,438	41,128	(8,314)	.0	.0	(8,314)	.0	74,438	.0	25,630	25,630	.0		
876030-10-7	TAPESTRY ORD		08/31/2021	Various	1,481,000	59,743		59,301	.0	.0	.0	.0	.0	.0	59,301	.0	442	442	.0		
87808K-10-6	TCR2 THERAPEUTICS ORD		09/17/2021	Morgan Stanley	1,567,000	15,984		26,740	48,467	(21,728)	.0	.0	(21,728)	.0	26,740	.0	(10,756)	(10,756)	.0		
879360-10-5	TELEDYNE TECH ORD		07/02/2021	Not Available	.0	350		.119	.109	(20)	.0	.0	(20)	.0	.119	.0	32	32	.0		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
882681-10-9	TEXAS ROADHOUSE ORD		09/21/2021	First Boston Corp	162,000	14,525		15,302	.0	.0	.0	.0	.0	.0	15,302	.0	(777)	(777)	.47		
883220-10-8	TG THERAPEUTICS ORD		08/04/2021	Morgan Stanley	597,000	14,802		15,051	31,056	(16,005)	.0	.0	(16,005)	.0	15,051	.0	(249)	(249)	.0		
885160-10-1	THOR INDUSTRIES ORD		08/06/2021	Various	202,000			14,885	17,375	(4,138)	.0	.0	(4,138)	.0	14,885	.0	9,236	9,236	.234		
				JEFFERIES & COMPANY, INC.	126,000	7,828		5,908	5,477	.431	.0	.0	.431	.0	5,908	.0	1,920	1,920	.57		
889478-10-3	TOLL BROTHERS ORD		09/16/2021	Morgan Stanley	297,000	27,617		16,107	23,938	(7,831)	.0	.0	(7,831)	.0	16,107	.0	11,510	11,510	.0		
896288-10-7	TRINET GROUP ORD		09/22/2021	Warburg Dillon Reed	189,000	6,542		6,809	6,267	(887)	.0	.0	(887)	.0	6,809	.0	(267)	(267)	.0		
90214J-10-1	2U ORD		09/22/2021	Warburg Dillon Reed	189,000	6,542		6,809	6,267	(887)	.0	.0	(887)	.0	6,809	.0	(267)	(267)	.0		
907818-10-8	UNION PACIFIC ORD		09/17/2021	GOLDMAN SACHS	28,000	5,641		2,131	5,830	(3,700)	.0	.0	(3,700)	.0	2,131	.0	3,510	3,510	.57		
912008-10-9	US FOODS ORD		08/31/2021	Various	4,090,000	134,378		127,446	98,550	(15,106)	.0	.0	(15,106)	.0	127,446	.0	6,932	6,932	.0		
91529Y-10-6	UNUM ORD		09/17/2021	GOLDMAN SACHS	264,000	6,407		8,901	6,056	2,844	.0	.0	2,844	.0	8,901	.0	(2,494)	(2,494)	.230		
917047-10-2	URBAN OUTFITTERS ORD		09/01/2021	Various	3,121,000	104,265		107,144	24,225	1,208	.0	.0	1,208	.0	107,144	.0	(2,879)	(2,879)	.0		
92539P-10-1	VERVE THERAPEUTICS ORD		07/22/2021	First Boston Corp	158,000	8,213		3,002	.0	.0	.0	.0	.0	.0	3,002	.0	5,211	5,211	.0		
926400-10-2	VICTORIA S SECRET ORD		09/01/2021	Various	95,332	5,580		1,852	.0	.0	.0	.0	.0	.0	1,852	.0	3,728	3,728	.0		
93627C-10-1	WARRIOR MET COAL ORD		07/22/2021	MIZUHO SECURITIES	3,646,000	65,160		66,067	77,733	(11,666)	.0	.0	(11,666)	.0	66,067	.0	(907)	(907)	.365		
96208T-10-4	WEX ORD		08/24/2021	Various	305,000	57,567		60,254	62,077	(1,823)	.0	.0	(1,823)	.0	60,254	.0	(2,686)	(2,686)	.0		
98980G-10-2	ZSCALER ORD		09/27/2021	Stephens Inc.	144,000	39,322		10,267	28,758	(18,492)	.0	.0	(18,492)	.0	10,267	.0	29,056	29,056	.0		
98986T-10-8	ZYNGA CL A ORD		08/06/2021	Various	10,291,000	82,666		93,662	64,283	(12,755)	.0	.0	(12,755)	.0	93,662	.0	(10,996)	(10,996)	.0		
60684D-10-7	ATHENE HOLDING CL A ORD	C	07/28/2021	Morgan Stanley	1,746,000	112,970		76,573	75,322	1,251	.0	.0	1,251	.0	76,573	.0	36,396	36,396	.0		
G33032-11-4	ARES ACQUISITION UNIT		08/04/2021	Various	4,380,000	43,469		43,800	.0	.0	.0	.0	.0	.0	43,800	.0	(331)	(331)	.0		
G4705A-10-0	ICON ORD	C	09/27/2021	Morgan Stanley	270,000	73,238		58,630	.0	.0	.0	.0	.0	.0	58,630	.0	14,608	14,608	.0		
				JEFFERIES & COMPANY, INC.	3,234,000	83,006		96,490	.0	.0	.0	.0	.0	.0	96,490	.0	(13,484)	(13,484)	.0		
G66721-10-4	NORWEGIAN CRUISE LINE HOLDINGS ORD		08/31/2021	INC.	3,234,000	83,006		96,490	.0	.0	.0	.0	.0	.0	96,490	.0	(13,484)	(13,484)	.0		
G85158-10-6	STONECO CL A ORD	C	09/30/2021	Various	1,362,000	47,783		100,278	74,689	(6,573)	.0	.0	(6,573)	.0	100,278	.0	(52,495)	(52,495)	.0		
G9078F-10-7	TRITON INTERNATIONAL ORD	C	09/20/2021	Various	2,293,000	117,235		86,282	100,078	(25,229)	.0	.0	(25,229)	.0	86,282	.0	30,952	30,952	3,543		
G97822-10-3	PERRIGO ORD	C	09/17/2021	GOLDMAN SACHS	170,000	7,469		9,957	7,602	2,354	.0	.0	2,354	.0	9,957	.0	(2,487)	(2,487)	.122		
P73684-11-3	ONESPAWORLD HOLDINGS ORD	C	08/31/2021	BAYPOINT TRADING LLC	1,549,000	16,280		16,965	.0	.0	.0	.0	.0	.0	16,965	.0	(685)	(685)	.0		
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					6,292,165	XXX	5,169,511	3,600,695	(696,822)	0	9,245	(706,066)	0	5,169,511	0	1,122,654	1,122,654	21,509	XXX	XXX
464287-65-5	ISHARES:RUSS 2000 ETF		09/28/2021	Various	3,060,000	682,130		688,476	.0	.0	.0	.0	.0	.0	688,476	.0	(6,346)	(6,346)	.703		
9499999	Subtotal - Common Stocks - Mutual Funds					682,130	XXX	688,476	0	0	0	0	0	0	688,476	0	(6,346)	(6,346)	.703	XXX	XXX
9799997	Total - Common Stocks - Part 4					6,974,295	XXX	5,857,987	3,600,695	(696,822)	0	9,245	(706,066)	0	5,857,987	0	1,116,307	1,116,307	22,212	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					6,974,295	XXX	5,857,987	3,600,695	(696,822)	0	9,245	(706,066)	0	5,857,987	0	1,116,307	1,116,307	22,212	XXX	XXX
9899999	Total - Preferred and Common Stocks					6,974,295	XXX	5,857,987	3,600,695	(696,822)	0	9,245	(706,066)	0	5,857,987	0	1,116,307	1,116,307	22,212	XXX	XXX
9999999	Totals					12,028,503	XXX	10,955,617	8,170,473	(696,822)	(13,172)	9,245	(719,238)	0	10,866,562	0	1,118,133	1,118,133	183,935	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Northern Trust Company .....		0.000	0	0	3,923,605	4,205,853	3,668,405	XXX
PNC Bank .....		0.000	0	0	(5,044,766)	(3,623,323)	(1,630,121)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(1,121,161)	582,531	2,038,284	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(1,121,161)	582,531	2,038,284	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	(1,121,161)	582,531	2,038,284	XXX



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
.....	Apple Inc. ....	.....	.07/29/2021 .....	0.000	10/04/2021 .....	599,997	.0	.64
.....	Chariot Funding LLC .....	.....	.08/13/2021 .....	0.000	10/15/2021 .....	499,984	.0	.54
.....	PACCAR Financial Corp. ....	.....	.07/29/2021 .....	0.000	10/27/2021 .....	599,970	.0	.75
.....	Regency Markets No 1 LLC .....	.....	.09/20/2021 .....	0.000	10/20/2021 .....	499,976	.0	.14
.....	Sheffield Receivables Company LLC .....	.....	.07/16/2021 .....	0.000	10/13/2021 .....	549,980	.0	.129
.....	Starbird Funding Corporation .....	.....	.08/17/2021 .....	0.000	11/15/2021 .....	699,930	.0	.70
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						3,449,837	0	406
.....	Old Line Funding, LLC .....	.....	.09/10/2021 .....	0.000	12/01/2021 .....	899,858	.0	.42
.....	Victory Receivables Corporation .....	.....	.07/08/2021 .....	0.000	10/05/2021 .....	699,993	.0	.149
.....	Victory Receivables Corporation .....	.....	.08/03/2021 .....	0.000	10/26/2021 .....	599,958	.0	.98
.....	Victory Receivables Corporation .....	.....	.08/30/2021 .....	0.000	11/22/2021 .....	999,855	.0	.89
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						3,199,664	0	378
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						6,649,501	0	784
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						3,449,837	0	406
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						3,199,664	0	378
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						6,649,501	0	784
.....	REPURCHASE AGREEMENT .....	.....	.09/30/2021 .....		10/01/2021 .....	141,882,647	.0	10,792
8499999. Subtotal - Sweep Accounts						141,882,647	0	10,792
316175-50-4 .....	FIDELITY IMM:TRS I .....	.....	.04/01/2020 .....	0.010		0	.0	0
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						0	0	0
665278-40-4 .....	NORTHERN INST:US GVT SHS .....	.....	.09/30/2021 .....	0.000		5,103,595	.0	.15
665278-40-4 .....	NORTHERN INST:US GVT SHS .....	SD .....	.09/01/2021 .....	0.000		17,285	.0	.3
8699999. Subtotal - All Other Money Market Mutual Funds						5,120,880	0	18
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....
9999999 - Total Cash Equivalents						153,653,028	0	11,594