



QUARTERLY STATEMENT  
AS OF SEPTEMBER 30, 2021  
OF THE CONDITION AND AFFAIRS OF THE  
DELTA DENTAL PLAN OF OHIO, INC.

NAIC Group Code	0477 (Current Period)	0477 (Prior Period)	NAIC Company Code	54402	Employer's ID Number	31-0685339
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	OH		
Country of Domicile	United States of America					
Licensed as business type:	Life, Accident & Health[ ] Dental Service Corporation[ ] Other[X]		Property/Casualty[ ] Vision Service Corporation[ ] Is HMO Federally Qualified? Yes[ ] No[ ] N/A[X]		Hospital, Medical & Dental Service or Indemnity[ ] Health Maintenance Organization[ ]	
Incorporated/Organized	03/06/1960		Commenced Business	04/01/1964		
Statutory Home Office	5600 Blazer Pkwy., Suite 150 (Street and Number)		Dublin, OH, 43017 (City or Town, State, Country and Zip Code)			
Main Administrative Office	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		4100 Okemos Road (Street and Number)		(517)349-6000 (Area Code) (Telephone Number)	
Mail Address	P.O. Box 30416 (Street and Number or P.O. Box)		Lansing, MI, 48909-7916 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	Okemos, MI, 48864 (City or Town, State, Country and Zip Code)		4100 Okemos Road (Street and Number)		(517)349-6000 (Area Code) (Telephone Number)	
Internet Web Site Address	http://ddpoh.com/					
Statutory Statement Contact	Glenn R. Simon, CPA, CGMA (Name) gsimon@deltadentalmi.com (E-Mail Address)		(517)347-5405 (Area Code)(Telephone Number)(Extension) (517)381-5572 (Fax Number)			

OFFICERS

Name	Title
Goran Mike Jurkovic CPA, CGMA	President & CEO
James Robert Stahl DDS	Chairperson #
Amy Lyn Basel, CPA, CGMA	SVP, CFO, CRO & Treasurer #
Sue Ellen Jenkins	SVP, CLO, CAO, & Secretary #

OTHERS

Anthony Darrell Robinson, SVP & CMO  
Jeffery Walter Johnston, DDS, MS, SVP & CSO

DIRECTORS OR TRUSTEES

Christopher Todd Fisher  
Frank Buzaki, Jr.  
Ann Marie Fiermoen, DDS  
Timothy Eldon Moffit, DBA  
James Robert Stahl, DDS  
Michael Scott Stull  
Carole Simonetti Watkins  
Canise Yvette Wright-Bean, DMD  
Poe Allison Timmons, CPA

State of Michigan  
County of Ingham ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Goran Mike Jurkovic, CPA, CGMA (Printed Name) 1. President & CEO (Title)	(Signature) Amy Lyn Basel, CPA, CGMA (Printed Name) 2. SVP, CFO & CRO (Title)	(Signature) Sue Ellen Jenkins (Printed Name) 3. SVP, CLO, CAO, & Assistant Secretary (Title)
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Subscribed and sworn to before me this day of , 2021  (Notary Public Signature)	a. Is this an original filing? b. If no, 1. State the amendment number 2. Date filed 3. Number of pages attached	Yes[X] No[ ]   
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ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds .....	105,253,300		105,253,300	85,741,638
2.	Stocks:				
2.1	Preferred stocks .....	87,200		87,200	88,800
2.2	Common stocks .....	198,252,805		198,252,805	196,116,007
3.	Mortgage loans on real estate:				
3.1	First liens .....				
3.2	Other than first liens .....				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2	Properties held for the production of income (less \$.....0 encumbrances) .....				
4.3	Properties held for sale (less \$.....0 encumbrances) .....				
5.	Cash (\$.....(3,096,645)), cash equivalents (\$.....3,594,888) and short-term investments (\$.....0) .....	498,243		498,243	(1,012,814)
6.	Contract loans (including \$.....0 premium notes) .....				
7.	Derivatives .....				
8.	Other invested assets .....				
9.	Receivables for securities .....				
10.	Securities lending reinvested collateral assets .....				
11.	Aggregate write-ins for invested assets .....				
12.	Subtotals, cash and invested assets (Lines 1 to 11) .....	304,091,548		304,091,548	280,933,631
13.	Title plants less \$.....0 charged off (for Title insurers only) .....				
14.	Investment income due and accrued .....	654,203		654,203	513,353
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection .....	2,143,195	69,878	2,073,317	2,268,239
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) .....				
15.3	Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers .....				
16.2	Funds held by or deposited with reinsured companies .....				
16.3	Other amounts receivable under reinsurance contracts .....				
17.	Amounts receivable relating to uninsured plans .....	14,044,503	925	14,043,578	13,201,894
18.1	Current federal and foreign income tax recoverable and interest thereon .....				
18.2	Net deferred tax asset .....				
19.	Guaranty funds receivable or on deposit .....				
20.	Electronic data processing equipment and software .....				
21.	Furniture and equipment, including health care delivery assets (\$.....0) .....				
22.	Net adjustments in assets and liabilities due to foreign exchange rates .....				
23.	Receivables from parent, subsidiaries and affiliates .....	10,021		10,021	2,454
24.	Health care (\$.....0) and other amounts receivable .....				
25.	Aggregate write-ins for other-than-invested assets .....				
26.	TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	320,943,470	70,803	320,872,667	296,919,571
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28.	TOTAL (Lines 26 and 27) .....	320,943,470	70,803	320,872,667	296,919,571
DETAILS OF WRITE-INS					
1101.	.....				
1102.	.....				
1103.	.....				
1198.	Summary of remaining write-ins for Line 11 from overflow page .....				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501.	.....				
2502.	.....				
2503.	.....				
2598.	Summary of remaining write-ins for Line 25 from overflow page .....				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....				

**LIABILITIES, CAPITAL AND SURPLUS**

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$.....0 reinsurance ceded) .....	6,857,400		6,857,400	9,308,400
2.	Accrued medical incentive pool and bonus amounts .....				
3.	Unpaid claims adjustment expenses .....	322,081		322,081	430,028
4.	Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act .....	208,499		208,499	60,175
5.	Aggregate life policy reserves .....				
6.	Property/casualty unearned premium reserve .....				
7.	Aggregate health claim reserves .....				
8.	Premiums received in advance .....	1,638,569		1,638,569	1,784,129
9.	General expenses due or accrued .....	2,811,751		2,811,751	3,861,376
10.1	Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)) .....				
10.2	Net deferred tax liability .....				
11.	Ceded reinsurance premiums payable .....				
12.	Amounts withheld or retained for the account of others .....	3,546,676		3,546,676	2,944,921
13.	Remittances and items not allocated .....				
14.	Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current) .....				
15.	Amounts due to parent, subsidiaries and affiliates .....	2,875,341		2,875,341	4,881,935
16.	Derivatives .....				
17.	Payable for securities .....	901,986		901,986	905,471
18.	Payable for securities lending .....				
19.	Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and \$.....0 certified reinsurers) .....				
20.	Reinsurance in unauthorized and certified (\$.....0) companies .....				
21.	Net adjustments in assets and liabilities due to foreign exchange rates .....				
22.	Liability for amounts held under uninsured plans .....	5,589,182		5,589,182	5,597,882
23.	Aggregate write-ins for other liabilities (including \$.....432,090 current) .....	432,090		432,090	568,531
24.	Total liabilities (Lines 1 to 23) .....	25,183,575		25,183,575	30,342,848
25.	Aggregate write-ins for special surplus funds .....	X X X	X X X		
26.	Common capital stock .....	X X X	X X X		
27.	Preferred capital stock .....	X X X	X X X		
28.	Gross paid in and contributed surplus .....	X X X	X X X	9,712,604	9,712,604
29.	Surplus notes .....	X X X	X X X		
30.	Aggregate write-ins for other-than-special surplus funds .....	X X X	X X X		
31.	Unassigned funds (surplus) .....	X X X	X X X	285,976,488	256,864,119
32.	Less treasury stock, at cost:				
32.1	.....0 shares common (value included in Line 26 \$.....0) .....	X X X	X X X		
32.2	.....0 shares preferred (value included in Line 27 \$.....0) .....	X X X	X X X		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32) .....	X X X	X X X	295,689,092	266,576,723
34.	Total Liabilities, capital and surplus (Lines 24 and 33) .....	X X X	X X X	320,872,667	296,919,571
DETAILS OF WRITE-INS					
2301.	Uninsured claims admin expense reserve .....	432,090		432,090	568,531
2302.	.....				
2303.	.....				
2398.	Summary of remaining write-ins for Line 23 from overflow page .....				
2399.	TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above) .....	432,090		432,090	568,531
2501.	.....	X X X	X X X		
2502.	.....	X X X	X X X		
2503.	.....	X X X	X X X		
2598.	Summary of remaining write-ins for Line 25 from overflow page .....	X X X	X X X		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	X X X	X X X		
3001.	.....	X X X	X X X		
3002.	.....	X X X	X X X		
3003.	.....	X X X	X X X		
3098.	Summary of remaining write-ins for Line 30 from overflow page .....	X X X	X X X		
3099.	TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above) .....	X X X	X X X		

**STATEMENT OF REVENUE AND EXPENSES**

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months .....	X X X .....	7,690,546	7,680,273	10,205,722
2.	Net premium income (including \$.....0 non-health premium income) .....	X X X .....	198,632,913	176,322,185	241,464,023
3.	Change in unearned premium reserves and reserves for rate credits .....	X X X .....			
4.	Fee-for-service (net of \$.....0 medical expenses) .....	X X X .....			
5.	Risk revenue .....	X X X .....			
6.	Aggregate write-ins for other health care related revenues .....	X X X .....			
7.	Aggregate write-ins for other non-health revenues .....	X X X .....			
8.	Total revenues (Lines 2 to 7) .....	X X X .....	198,632,913	176,322,185	241,464,023
<b>Hospital and Medical:</b>					
9.	Hospital/medical benefits .....				
10.	Other professional services .....		168,782,000	140,922,984	192,337,832
11.	Outside referrals .....				
12.	Emergency room and out-of-area .....				
13.	Prescription drugs .....				
14.	Aggregate write-ins for other hospital and medical .....				
15.	Incentive pool, withhold adjustments and bonus amounts .....				
16.	Subtotal (Lines 9 to 15) .....		168,782,000	140,922,984	192,337,832
<b>Less:</b>					
17.	Net reinsurance recoveries .....				
18.	Total hospital and medical (Lines 16 minus 17) .....		168,782,000	140,922,984	192,337,832
19.	Non-health claims (net) .....				
20.	Claims adjustment expenses, including \$.....978,261 cost containment expenses .....		1,631,597	1,774,402	3,152,233
21.	General administrative expenses .....		17,894,344	29,248,186	34,761,669
22.	Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only) .....				
23.	Total underwriting deductions (Lines 18 through 22) .....		188,307,941	171,945,572	230,251,734
24.	Net underwriting gain or (loss) (Lines 8 minus 23) .....	X X X .....	10,324,972	4,376,613	11,212,289
25.	Net investment income earned .....		2,151,515	3,744,889	5,209,904
26.	Net realized capital gains (losses) less capital gains tax of \$.....0 .....		19,268,088	6,855,783	10,315,597
27.	Net investment gains or (losses) (Lines 25 plus 26) .....		21,419,603	10,600,672	15,525,501
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)] .....		3,918	17,730	18,081
29.	Aggregate write-ins for other income or expenses .....		130	7,531	(6,992,469)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	X X X .....	31,748,623	15,002,546	19,763,402
31.	Federal and foreign income taxes incurred .....	X X X .....			
32.	Net income (loss) (Lines 30 minus 31) .....	X X X .....	31,748,623	15,002,546	19,763,402
<b>DETAILS OF WRITE-INS</b>					
0601.	.....	X X X .....			
0602.	.....	X X X .....			
0603.	Discount card revenue .....	X X X .....			
0698.	Summary of remaining write-ins for Line 6 from overflow page .....	X X X .....			
0699.	TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	X X X .....			
0701.	.....	X X X .....			
0702.	.....	X X X .....			
0703.	.....	X X X .....			
0798.	Summary of remaining write-ins for Line 7 from overflow page .....	X X X .....			
0799.	TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	X X X .....			
1401.	.....				
1402.	.....				
1403.	.....				
1498.	Summary of remaining write-ins for Line 14 from overflow page .....				
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above) .....				
2901.	Contribution to Delta Dental Fund .....				(7,000,000)
2902.	Miscellaneous Income (Expense) .....		130	7,531	7,531
2903.	.....				
2998.	Summary of remaining write-ins for Line 29 from overflow page .....				
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....		130	7,531	(6,992,469)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>				
33.	Capital and surplus prior reporting year .....	266,576,723	234,886,170	234,886,170
34.	Net income or (loss) from Line 32 .....	31,748,623	15,002,546	19,763,402
35.	Change in valuation basis of aggregate policy and claim reserves .....			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0 .....	(2,574,212)	1,443,179	11,929,684
37.	Change in net unrealized foreign exchange capital gain or (loss) .....			
38.	Change in net deferred income tax .....			
39.	Change in nonadmitted assets .....	(62,042)	(7,427)	(2,533)
40.	Change in unauthorized and certified reinsurance .....			
41.	Change in treasury stock .....			
42.	Change in surplus notes .....			
43.	Cumulative effect of changes in accounting principles .....			
44.	Capital Changes:			
44.1	Paid in .....			
44.2	Transferred from surplus (Stock Dividend) .....			
44.3	Transferred to surplus .....			
45.	Surplus adjustments:			
45.1	Paid in .....			
45.2	Transferred to capital (Stock Dividend) .....			
45.3	Transferred from capital .....			
46.	Dividends to stockholders .....			
47.	Aggregate write-ins for gains or (losses) in surplus .....			
48.	Net change in capital and surplus (Lines 34 to 47) .....	29,112,369	16,438,298	31,690,553
49.	Capital and surplus end of reporting period (Line 33 plus 48) .....	295,689,092	251,324,468	266,576,723
<b>DETAILS OF WRITE-INS</b>				
4701.	.....	.....	.....	.....
4702.	.....	.....	.....	.....
4703.	.....	.....	.....	.....
4798.	Summary of remaining write-ins for Line 47 from overflow page .....	.....	.....	.....
4799.	TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above) .....	.....	.....	.....

**CASH FLOW**

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance .....	198,769,482	177,530,771	241,162,713
2.	Net investment income .....	4,568,724	4,315,092	6,447,691
3.	Miscellaneous income .....	129	7,531	7,531
4.	TOTAL (Lines 1 to 3) .....	203,338,335	181,853,394	247,617,935
5.	Benefit and loss related payments .....	171,233,000	141,964,484	192,129,532
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7.	Commissions, expenses paid and aggregate write-ins for deductions .....	23,681,506	33,076,998	39,877,220
8.	Dividends paid to policyholders .....			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses) .....			
10.	TOTAL (Lines 5 through 9) .....	194,914,506	175,041,482	232,006,752
11.	Net cash from operations (Line 4 minus Line 10) .....	8,423,829	6,811,912	15,611,183
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds .....	57,241,900	79,059,148	99,271,523
12.2	Stocks .....	74,146,522	66,717,261	81,672,978
12.3	Mortgage loans .....			
12.4	Real estate .....			
12.5	Other invested assets .....			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7	Miscellaneous proceeds .....			
12.8	TOTAL investment proceeds (Lines 12.1 to 12.7) .....	131,388,422	145,776,409	180,944,501
13.	Cost of investments acquired (long-term only):			
13.1	Bonds .....	78,824,821	70,363,102	87,277,045
13.2	Stocks .....	60,074,639	85,188,419	109,163,285
13.3	Mortgage loans .....			
13.4	Real estate .....			
13.5	Other invested assets .....			
13.6	Miscellaneous applications .....	3,485	945,348	39,877
13.7	TOTAL investments acquired (Lines 13.1 to 13.6) .....	138,902,945	156,496,869	196,480,207
14.	Net increase (or decrease) in contract loans and premium notes .....			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(7,514,523)	(10,720,460)	(15,535,706)
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes .....			
16.2	Capital and paid in surplus, less treasury stock .....			
16.3	Borrowed funds .....			
16.4	Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5	Dividends to stockholders .....			
16.6	Other cash provided (applied) .....	601,751	132,633	(6,280,150)
17.	Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) .....	601,751	132,633	(6,280,150)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	1,511,057	(3,775,915)	(6,204,673)
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year .....	(1,012,814)	5,191,859	5,191,859
19.2	End of period (Line 18 plus Line 19.1) .....	498,243	1,415,944	(1,012,814)

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

20.0001				
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**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year .....	841,568					841,568				
2. First Quarter .....	857,371					857,371				
3. Second Quarter .....	853,274					853,274				
4. Third Quarter .....	853,430					853,430				
5. Current Year .....										
6. Current Year Member Months .....	7,690,546					7,690,546				
Total Member Ambulatory Encounters for Period:										
7. Physician .....										
8. Non-Physician .....										
9. Total .....										
10. Hospital Patient Days Incurred .....										
11. Number of Inpatient Admissions .....										
12. Health Premiums Written (a) .....	198,632,913					198,632,913				
13. Life Premiums Direct .....										
14. Property/Casualty Premiums Written .....										
15. Health Premiums Earned .....	198,632,913					198,632,913				
16. Property/Casualty Premiums Earned .....										
17. Amount Paid for Provision of Health Care Services .....	171,233,000					171,233,000				
18. Amount Incurred for Provision of Health Care Services .....	168,782,000					168,782,000				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**

Aging Analysis of Unpaid Claims						
1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid .....						
0299999 Aggregate Accounts Not Individually Listed - Uncovered .....						
0399999 Aggregate Accounts Not Individually Listed - Covered .....	997,752	25,715	3,600	1,029	514	1,028,610
0499999 Subtotals .....	997,752	25,715	3,600	1,029	514	1,028,610
0599999 Unreported claims and other claim reserves .....						5,828,790
0699999 Total Amounts Withheld .....						
0799999 Total Claims Unpaid .....						6,857,400
0899999 Accrued Medical Incentive Pool And Bonus Amounts .....						



**UNDERWRITING AND INVESTMENT EXHIBIT**

**ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE**

Line of Business		Claims Paid Year to Date		Liability End of Current Quarter		5	6
		1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
		On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1.	Comprehensive (hospital & medical) .....						
2.	Medicare Supplement .....						
3.	Dental only .....	7,363,252	163,869,748	217,800	6,639,600	7,581,052	9,308,400
4.	Vision only .....						
5.	Federal Employees Health Benefits Plan .....						
6.	Title XVIII - Medicare .....						
7.	Title XIX - Medicaid .....						
8.	Other health .....						
9.	Health subtotal (Lines 1 to 8) .....	7,363,252	163,869,748	217,800	6,639,600	7,581,052	9,308,400
10.	Healthcare receivables (a) .....						
11.	Other non-health .....						
12.	Medical incentive pools and bonus amounts .....						
13.	Totals (Lines 9 - 10 + 11 + 12) .....	7,363,252	163,869,748	217,800	6,639,600	7,581,052	9,308,400

(a) Excludes \$.00 loans or advances to providers not yet expensed.

**Notes to Financial Statement**

**1. Summary of Significant Accounting Policies**

**A. Accounting Practices**

The financial statements of Delta Dental Plan of Ohio (Company) are presented on the basis of accounting practices prescribed or permitted by the State of Ohio Insurance Department in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*.

The State of Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The NAIC *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion the Company’s financial statement.

Description	SSAP#	F/S Page	F/S Line #	9/30/2021	12/31/2020
Net Income, OH				\$ 31,748,623	\$ 19,763,402
Effect of OH prescribed practices					
Effect of OH permitted practices					
Net Income, NAIC SAP				\$ 31,748,623	\$ 19,763,402
Description	SSAP#	F/S Page	F/S Line #	9/30/2021	12/31/2020
Statutory Surplus, OH				\$ 295,689,092	\$ 266,576,723
Effect of OH prescribed practices					
Effect of OH permitted practices					
Policy Surplus, NAIC SAP				\$ 295,689,092	\$ 266,576,723

**B. Use of Estimates in the Preparation of the Financial Statements**

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

**C. Accounting Policy**

Health premiums are earned ratably over the terms of the related insurance contracts or policies. Expenses incurred in connection with acquiring new insurance business, including acquisition costs such as sales commissions, are charged to operations as incurred.

In addition, the company uses the following accounting policies:

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.
- (3) Common stocks are recorded at market value except investments in stocks of uncombined subsidiaries and affiliates in which the Company has an interest of 20% or more are carried on the statutory equity basis.
- (4) Preferred stocks are stated in accordance with the guidance provided in SSAP No. 32.
- (5) No mortgage loans on real estate are held as of September 30, 2021 and 2020.
- (6) Loan backed securities are stated at amortized cost. The retrospective adjustment method is used to value MBS’s and the scientific interest method is used to value CMO’s.
- (7) The Company carries its investment in a non-insurance affiliate at the audited GAAP equity basis adjusted to a statutory equity basis.
- (8) The Company has no ownership interests in joint ventures, partnerships or limited liability companies as of September 30, 2021 and 2020.
- (9) No derivatives are held as September 30, 2021 and 2020.
- (10) Not applicable.
- (11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified it capitalization policy from the prior period.
- (13) Not applicable

**D. Going Concern**

None.

Notes to Financial Statement

2. Accounting Changes and Corrections of Errors

- A. 1. None.
- 2. None.
- 3. None.
- 4. None

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

No change.

5. Investments

- A. The Company owned no mortgage loans.
- B. The Company had no investments in restructured debt.
- C. The Company had no investments in reverse mortgages.
- D. The Company had no investments in loan backed securities that are recorded at other-than-temporarily impaired values.
- E. The Company had no repurchase agreements.
- F. The Company does not have investments in real estate.
- G. The Company has no investments in low-income housing tax credits (LIHTC).
- H. None.
- I. None.
- J. None.
- K. None.
- L. Restricted Assets

(1) Restricted Assets (Including Pledged)

	1	2	3	4	5	6	7
Restricted Asset Category	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/(Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4 )	Gross (Admitted & Nonadmitted) Restricted to Total Asset (a)	Admitted Restricted to Total Admitted Asset (b)
a. Subject to contractual obligation for which liability is not shown							
b. Collateral held under security lending agreements							
c. Subject to repurchase agreements							
d. Subject to reserve repurchase agreement							
e. Subject to dollar repurchase agreements							
f. Subject to dollar reserve repurchase agreements							
g. Placed under option contracts							
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock							
i. FHLB capital stock							
j. On deposit with state	169,102	169,811	(709)		169,102	0.05%	0.05%
k. On deposit with other regulatory bodies							
l. Pledged as collateral to FHLB (including assets backing funding agreements)							
m. Pledged as collateral not captured in other categories							
n. Other restricted assets							
o. Total Restricted Assets	169,102	169,811	(709)	-	169,102	0.05%	0.05%

(2) - (3) & (4) – Not applicable

M-P. Not applicable.

Q. Prepayment Penalty and Acceleration Fees

	General Account
(1) Number of CUSIPS	\$ -
(2) Aggregate Amount of Investment Income	\$ -

**Notes to Financial Statement**

**6. Joint Ventures, Partnerships and Limited Liability Companies**

No change.

**7. Investment Income**

No change.

**8. Derivative Instruments**

No change.

**9. Income Taxes**

No change.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No change.

**11. Debt**

No change.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No change.

**13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations.**

No change.

**14. Liabilities, Contingencies and Assessments**

The Company, along with the Delta Dental Plan Association (DDPA), Delta USA and other independent DDPA member companies, is defending a collection of lawsuits that have been filed in district courts across the country and consolidated into one case in the United States District Court for the Northern District of Illinois. The plaintiffs -- a collection of different dental providers and the American Dental Association -- allege that Delta Dental Plan Association's licensing standards violate federal antitrust laws. The Company denies these allegations and is vigorously defending the case with the assistance of established antitrust defense counsel with the Faegre Drinker law firm. The case is in the early stages of discovery. At this point it is too early in the proceedings to determine the outcome of the matter or the range or amount of any potential loss.

**15. Leases**

No change.

**16. Information About Financial Instruments With Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk**

No change.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

**18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans**

No material change.

**19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators**

No change.

Notes to Financial Statement

20. Fair Value Measurements

A.

1. Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Values (NAV) Included in Level 2
a. Assets at fair value					
Perpetual Preferred Stock					
Industrial & Misc	\$ 87,200	\$ -	\$ -	\$ 87,200	
Parent, Subs, and Affiliate	\$ -	\$ -	\$ -	\$ -	\$ -
Total Perpetual Preferred Stocks	\$ 87,200	\$ -	\$ -	\$ 87,200	\$ -
Bonds					
U.S Governments	\$ -	\$ -	\$ -	\$ -	\$ -
Industrial & Misc	\$ 20,173,844	\$ -	\$ -	\$ 20,173,844	\$ -
Hybrid Securities	\$ -	\$ -	\$ -	\$ -	\$ -
Parent, Subs, and Affiliate	\$ -	\$ -	\$ -	\$ -	\$ -
Total Bonds	\$ 20,173,844	\$ -	\$ -	\$ 20,173,844	\$ -
Common Stock					
Industrial & Misc	\$ 154,111,513	\$ -	\$ -	\$ 154,111,513	\$ -
Parent, Subs, and Affiliate	\$ -	\$ -	\$ -	\$ -	\$ -
Total Common Stocks	\$ 154,111,513	\$ -	\$ -	\$ 154,111,513	\$ -
Derivative assets					
Interest rate Contracts	\$ -	\$ -	\$ -	\$ -	\$ -
Foreign exchange contracts	\$ -	\$ -	\$ -	\$ -	\$ -
Credit contracts	\$ -	\$ -	\$ -	\$ -	\$ -
Commodity futures contracts	\$ -	\$ -	\$ -	\$ -	\$ -
Commodity forward contracts	\$ -	\$ -	\$ -	\$ -	\$ -
Total Derivatives	\$ -	\$ -	\$ -	\$ -	\$ -
Separate account assets	\$ -	\$ -	\$ -	\$ -	\$ -
Total assets at fair value	\$ 174,372,557	\$ -	\$ -	\$ 174,372,557	\$ -
b. Liabilities at fair value					
Derivative liabilities	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

- 2. None
- 3. None
- 4. None
- 5. None

B. None  
C. Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Net Practicabl e (Carrying Value)
Bonds	\$107,206,760	\$105,253,300	\$ 20,173,844	\$ 87,032,916	\$ -	\$ -	\$ -
Common Stock	\$154,111,513	\$154,111,513	\$154,111,513	\$ -	\$ -	\$ -	\$ -
Perpetual Preferred Stock	\$ 87,200	\$ 87,200	\$ 87,200	\$ -	\$ -	\$ -	\$ -
Mortgage Loans	0	0	0	0	0	0	0

D. None  
E. None

21. Other Items

None.

22. Events Subsequent

None.

# Notes to Financial Statement

**23. Reinsurance**

No change.

**24. Retrospectively Rated Contracts & Contracts Subject to Re-determination**

No material change.

**25. Change in Incurred Claims and Claim Adjustment Expenses**

Reserves as of December 31, 2020 were \$9,738,428. As of September 30, 2021, \$7,793,280 has been paid for incurred claims and claim adjustment expense attributable to insured evens of prior years. Remaining reserves for prior years are now \$217,800 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$1,727,348 favorable prior-year loss development since December 31, 2020 to September 30, 2021. This decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

**26. Intercompany Pooling Arrangements**

No change.

**27. Structured Settlement**

No change.

**28. Health Care Receivables**

No change.

**29. Participating Policies**

No change.

**30. Premium Deficiency Reserves**

1. Liability carried for premium deficiency reserves	\$ 0
2. Date of the most recent evaluation of this liability	9/30/2021
3. Was anticipated investment income utilized in the calculation?	Yes No X

**31. Anticipated Salvage and Subrogation**

No change.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**  
**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[ ] No[ ] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[ ] No[X]
- 2.2 If yes, date of change:

.....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes[X] No[ ]
- If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group?

Yes[ ] No[X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[ ] No[X]
- If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
.....	.....	.....

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

Yes[ ] No[ ] N/A[X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

..... 12/31/2019 .....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

..... 12/31/2019 .....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

..... 05/26/2021 .....
- 6.4 By what department or departments?

State of Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[ ] N/A[ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[ ] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
.....	.....	..... No .....	..... No .....	..... No .....	..... No .....

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes[X] No[ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[X] No[ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ ..... 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ ..... 0
13. Amount of real estate and mortgages held in short-term investments:

\$ ..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[X] No[ ]

**GENERAL INTERROGATORIES (Continued)**

**INVESTMENT**

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....		
14.22 Preferred Stock .....		
14.23 Common Stock .....	44,914,639	44,141,292
14.24 Short-Term Investments .....		
14.25 Mortgages Loans on Real Estate .....		
14.26 All Other .....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	44,914,639	44,141,292
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes[ ] No[X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes[ ] No[ ] N/A[X]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ ..... 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ ..... 0

16.3 Total payable for securities lending reported on the liability page

\$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes[X] No[ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of America .....	135. LaSalle St ., Chicago, IL 60603 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes[ ] No[X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Amy L. Basel .....	A .....
Goran M. Jurkovic .....	A .....
Red Cedar Investment Management, LLC .....	A .....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes[ ] No[X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes[ ] No[X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
170939 .....	Red Cedar Investment Management, LLC .....	n/a .....	SEC .....	NO .....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed?

Yes[X] No[ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes[ ] No[X]



**GENERAL INTERROGATORIES (Continued)**

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes[ ] No[X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes[ ] No[X]

**GENERAL INTERROGATORIES**

**PART 2 - HEALTH**

1. Operating Percentages:	
1.1 A&H loss percent	85.000%
1.2 A&H cost containment percent	0.000%
1.3 A&H expense percent excluding cost containment expenses	9.000%
2.1 Do you act as a custodian for health savings accounts?	Yes[ ] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[ ] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes[ ] No[X]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes[ ] No[X]

**SCHEDULE S - CEDED REINSURANCE**  
**Showing All New Reinsurance Treaties - Current Year to Date**

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
			NONE						

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**  
**Current Year to Date - Allocated by States and Territories**

		Direct Business Only									
		1	2	3	4	5	6	7	8	9	10
State, Etc.		Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	CHIP Title XXI	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 8	Deposit -Type Contracts
1.	Alabama (AL) .....	N ..									
2.	Alaska (AK) .....	N ..									
3.	Arizona (AZ) .....	N ..									
4.	Arkansas (AR) .....	N ..									
5.	California (CA) .....	N ..									
6.	Colorado (CO) .....	N ..									
7.	Connecticut (CT) .....	N ..									
8.	Delaware (DE) .....	N ..									
9.	District of Columbia (DC) .....	N ..									
10.	Florida (FL) .....	N ..									
11.	Georgia (GA) .....	N ..									
12.	Hawaii (HI) .....	N ..									
13.	Idaho (ID) .....	N ..									
14.	Illinois (IL) .....	N ..									
15.	Indiana (IN) .....	N ..									
16.	Iowa (IA) .....	N ..									
17.	Kansas (KS) .....	N ..									
18.	Kentucky (KY) .....	N ..									
19.	Louisiana (LA) .....	N ..									
20.	Maine (ME) .....	N ..									
21.	Maryland (MD) .....	N ..									
22.	Massachusetts (MA) .....	N ..									
23.	Michigan (MI) .....	N ..									
24.	Minnesota (MN) .....	N ..									
25.	Mississippi (MS) .....	N ..									
26.	Missouri (MO) .....	N ..									
27.	Montana (MT) .....	N ..									
28.	Nebraska (NE) .....	N ..									
29.	Nevada (NV) .....	N ..									
30.	New Hampshire (NH) .....	N ..									
31.	New Jersey (NJ) .....	N ..									
32.	New Mexico (NM) .....	N ..									
33.	New York (NY) .....	N ..									
34.	North Carolina (NC) .....	N ..									
35.	North Dakota (ND) .....	N ..									
36.	Ohio (OH) .....	L ..	198,632,913							198,632,913	
37.	Oklahoma (OK) .....	N ..									
38.	Oregon (OR) .....	N ..									
39.	Pennsylvania (PA) .....	N ..									
40.	Rhode Island (RI) .....	N ..									
41.	South Carolina (SC) .....	N ..									
42.	South Dakota (SD) .....	N ..									
43.	Tennessee (TN) .....	N ..									
44.	Texas (TX) .....	N ..									
45.	Utah (UT) .....	N ..									
46.	Vermont (VT) .....	N ..									
47.	Virginia (VA) .....	N ..									
48.	Washington (WA) .....	N ..									
49.	West Virginia (WV) .....	N ..									
50.	Wisconsin (WI) .....	N ..									
51.	Wyoming (WY) .....	N ..									
52.	American Samoa (AS) .....	N ..									
53.	Guam (GU) .....	N ..									
54.	Puerto Rico (PR) .....	N ..									
55.	U.S. Virgin Islands (VI) .....	N ..									
56.	Northern Mariana Islands (MP) .....	N ..									
57.	Canada (CAN) .....	N ..									
58.	Aggregate other alien (OT) .....	X X X									
59.	Subtotal .....	X X X	198,632,913							198,632,913	
60.	Reporting entity contributions for Employee Benefit Plans .....	X X X									
61.	Total (Direct Business) .....	X X X	198,632,913							198,632,913	
DETAILS OF WRITE-INS											
58001.	.....	X X X									
58002.	.....	X X X									
58003.	.....	X X X									
58998.	Summary of remaining write-ins for Line 58 from overflow page .....	X X X									
58999.	TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above) .....	X X X									

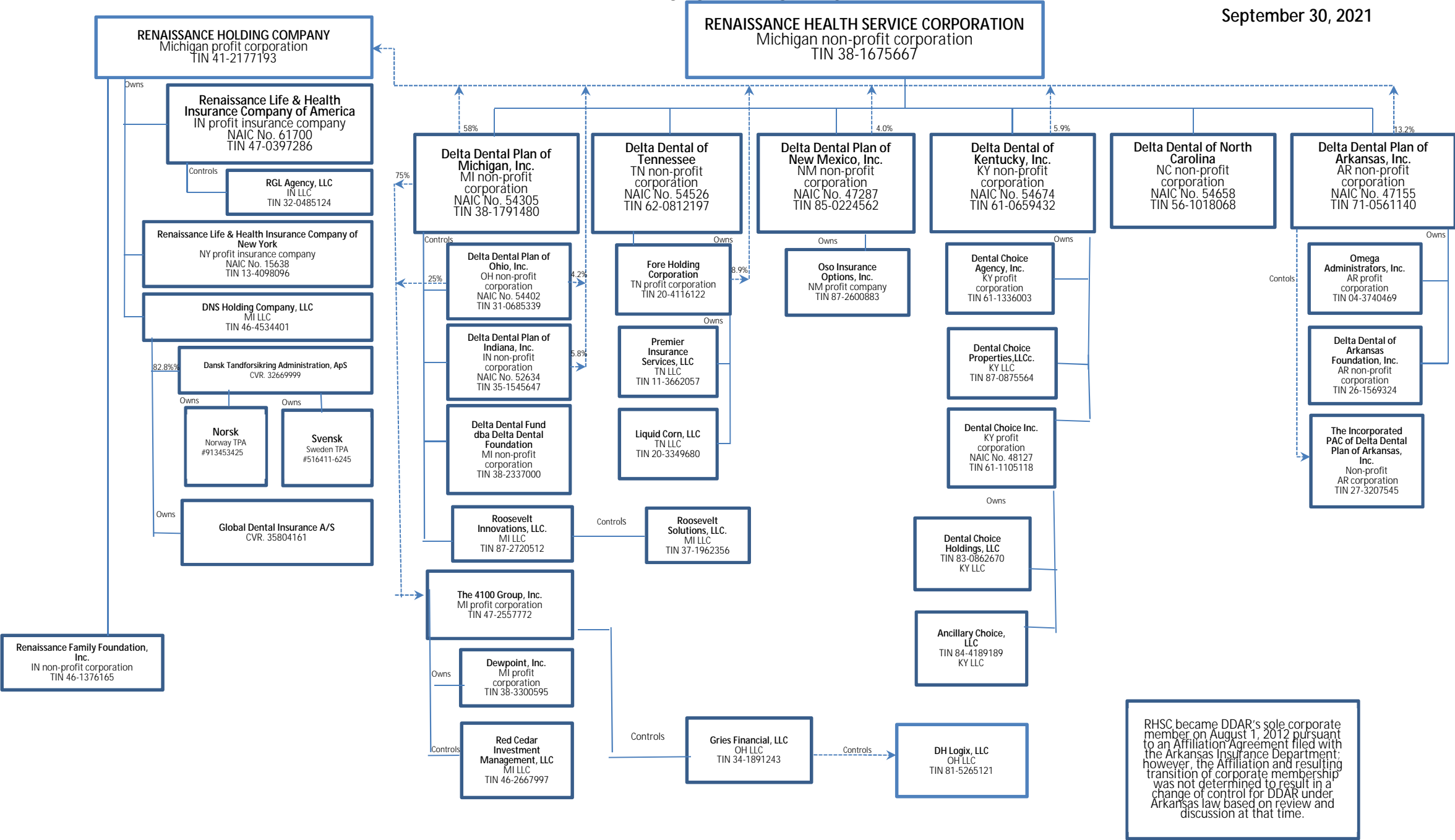
(a) Active Status Counts:

L – Licensed or Chartered - Licensed insurance carrier or domiciled RRG  
E – Eligible - Reporting entities eligible or approved to write surplus lines in the state  
N – None of the above – Not allowed to write business in the state

1 R – Registered - Non-domiciled RRGs  
Q – Qualified - Qualified or accredited reinsurer  
56

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER  
MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL CHART  
September 30, 2021



**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Comp- any Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domic- iliary Loca- tion	Rela- tion- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
016		0	38-1675667				Renaissance Health Service Corporation	MI	UDP					N	
		0	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	58.0	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.2	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	5.8	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.9	Renaissance Health Service Corporation	N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	5.9	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.0	Renaissance Health Service Corporation	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	13.2	Renaissance Health Service Corporation	Y	
	477 Renaissance Health Service Corporation	61700	47-0397286				Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32-0485124				RGL Agency, LLC	IN	NIA	Renaissance Life & Health Insurance Company of America	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	15638	13-4098096				Renaissance Life & Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	32669999				Dansk Tandforsikring Administration ApS		NIA	DNS Holding Company, LLC	Ownership	82.8	Renaissance Health Service Corporation	N	
		0	35804161				Global Dental Company A/S		NIA	DNS Holding Company, LLC	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		0	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	GLM Holding Company	Board of Directors		Renaissance Health Service Corporation	N	
		0	47-2557772				The 4100 Group, Inc	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	75.0	Renaissance Health Service Corporation	Y	
		0	47-2557772				The 4100 Group, Inc	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	25.0	Renaissance Health Service Corporation	Y	
		0	38-3300595				Dewpoint, Inc.	MI	NIA	The 4100 Group, Inc	Ownership	100.0	Renaissance Health Service Corporation	N	
	477 Renaissance Health Service Corporation	54526	62-0812197				Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
		0	20-4116122				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	Y	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	
		0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	N	

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
477	Renaissance Health Service Corporation	47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	546740	61-0659432 61-1336003				Delta Dental of Kentucky, Inc. Dental Choice Agency, Inc.	KY KY	IA NIA	Renaissance Health Service Corporation Delta Dental of Kentucky, Inc.	Board of Directors Ownership	100.0	Renaissance Health Service Corporation Renaissance Health Service Corporation	N Y	
		48127	61-1105118				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation Renaissance Health Service Corporation	N N	
477	Renaissance Health Service Corporation	54658	56-1018068				Delta Dental of North Carolina	NC	RE	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	N	
477	Renaissance Health Service Corporation	471550	71-0561140 04-3740469				Delta Dental Plan of Arkansas, Inc. Omega Administrators, Inc.	AR AR	IA NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation Renaissance Health Service Corporation	N N	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	N	
		00000	83-0862670				Dental Choice Holdings, LLC	KY	NIA	Dental Choice Inc.	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	27-3207545				The Incorporated PAC of Delta Dental Plan of Arkansas, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Services Corporation	N	
		00000	91-3453425				Norsk	DNK	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	51-64116245				Svensk	SWE	NIA	Dansk Tandforsikring Administration ApS	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	37-1962356				Roosevelt Solutions, LLC	MI	IA	Roosevelt Innovations, LLC	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	34-1891243				Gries Financial, LLC	OH	NIA	The 4100 Group, Inc	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	84-4189189				Ancillary Choice, LLC	KY	NIA	Delta Choice Inc.	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	81-5265121				DH Logix, LLC	FL	NIA	Gries Financial, LLC	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	87-0875564				Dental Properties LLC	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	87-2720512				Roosevelt Innovations, LLC	MI	NIA	Delta Dental Plan of Michigan, Inc	Ownership	100.0	Renaissance Health Services Corporation	N	
		00000	87-2600883				Oso Insurance Options. Inc.	NM	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	100.0	Renaissance Health Services Corporation	N	

Asterisk	Explanation
0000001	RHSC became DDAR's sole corporate member on August 1, 2012 pursuant to an Affiliation Agreement filed with the Arkansas Insurance Department; however, the Affiliation and resulting transition of corporate membership was not determined to result in a
0000002	change of control for DDAR under Arkansas law based on review and discussion at that time.

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

## RESPONSE

No

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

Explanations:

Bar Codes:

## Medicare Part D Coverage Supplement



54402202136500003

2021

Document Code: 365



**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF **September 30, 2021** OF THE **DELTA DENTAL PLAN OF OHIO, INC.**  
**SCHEDULE A - VERIFICATION**

Real Estate		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8 ) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**SCHEDULE B - VERIFICATION**  
**Mortgage Loans**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest points .....		
9. Total foreign exchange change in book value/recorded investment .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**SCHEDULE BA - VERIFICATION**  
**Other Long-Term Invested Assets**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**SCHEDULE D - VERIFICATION**  
**Bonds and Stocks**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	281,946,445	245,551,407
2. Cost of bonds and stocks acquired .....	138,899,460	196,440,330
3. Accrual of discount .....	144,568	88,092
4. Unrealized valuation increase (decrease) .....	(2,574,211)	11,929,684
5. Total gain (loss) on disposals .....	19,268,087	10,315,597
6. Deduct consideration for bonds and stocks disposed of .....	131,388,422	180,944,501
7. Deduct amortization of premium .....	2,702,622	1,434,164
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) .....	303,593,305	281,946,445
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	303,593,305	281,946,445

**SCHEDULE D - PART 1B**  
**Showing the Acquisitions, Dispositions and Non-Trading Activity**  
**During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

NAIC Designation		1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>									
1.	NAIC 1 (a) .....	70,019,221	11,935,107	10,716,486	(911,623)	63,014,511	70,019,221	70,326,219	57,854,696
2.	NAIC 2 (a) .....	43,330,954		8,335,281	(88,955)	26,778,215	43,330,954	34,906,718	27,808,538
3.	NAIC 3 (a) .....	21,485		1,570	448	21,998	21,485	20,363	23,016
4.	NAIC 4 (a) .....	58,824		60,277	1,453	59,190	58,824		55,389
5.	NAIC 5 (a) .....								
6.	NAIC 6 (a) .....								
7.	Total Bonds .....	113,430,484	11,935,107	19,113,614	(998,677)	89,873,914	113,430,484	105,253,300	85,741,639
<b>PREFERRED STOCK</b>									
8.	NAIC 1 .....	86,400			800	86,000	86,400	87,200	88,800
9.	NAIC 2 .....								
10.	NAIC 3 .....								
11.	NAIC 4 .....								
12.	NAIC 5 .....								
13.	NAIC 6 .....								
14.	Total Preferred Stock .....	86,400			800	86,000	86,400	87,200	88,800
15.	Total Bonds & Preferred Stock .....	113,516,884	11,935,107	19,113,614	(997,877)	89,959,914	113,516,884	105,340,500	85,830,439

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

**SI03   Schedule DA Part 1 ..... NONE**

**SI03   Schedule DA Verification ..... NONE**

**SI04   Schedule DB - Part A Verification ..... NONE**

**SI04   Schedule DB - Part B Verification ..... NONE**

**SI05   Schedule DB Part C Section 1 ..... NONE**

**SI06   Schedule DB Part C Section 2 ..... NONE**

**SI07   Schedule DB - Verification ..... NONE**

**SCHEDULE E - PART 2 - VERIFICATION**  
**(Cash Equivalents)**

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year .....	5,858,246	5,328,787
2.	Cost of cash equivalents acquired .....	55,782,782	118,200,725
3.	Accrual of discount .....		
4.	Unrealized valuation increase (decrease) .....		
5.	Total gain (loss) on disposals .....		
6.	Deduct consideration received on disposals .....	58,046,140	117,671,266
7.	Deduct amortization of premium .....		
8.	Total foreign exchange change in book/adjusted carrying value .....		
9.	Deduct current year's other-than-temporary impairment recognized .....		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	3,594,888	5,858,246
11.	Deduct total nonadmitted amounts .....		
12.	Statement value at end of current period (Line 10 minus Line 11) .....	3,594,888	5,858,246

**E01   Schedule A Part 2   ..... NONE**

**E01   Schedule A Part 3   ..... NONE**

**E02   Schedule B Part 2   ..... NONE**

**E02   Schedule B Part 3   ..... NONE**

**E03   Schedule BA Part 2   ..... NONE**

**E03   Schedule BA Part 3   ..... NONE**

**SCHEDULE D - PART 3**

**Show All Long-Term Bonds and Stock Acquired During the Current Quarter**

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
<b>Bonds - U.S. Governments</b>									
912810SX7 ..	UNITED STATES TREAS BDS .....		09/24/2021 ..	Bank of America .....	X X X .....	1,158,416 .....	1,060,000 .....	7,827 .....	1.A .....
91282CCB5 ..	UNITED STATES TREAS NTS .....		09/24/2021 ..	Bank of America .....	X X X .....	1,256,777 .....	1,225,000 .....	5,315 .....	1.A .....
0599999 Subtotal - Bonds - U.S. Governments .....					X X X .....	2,415,193 .....	2,285,000 .....	13,142 .....	X X X .....
<b>Bonds - U.S. Special Revenue, Special Assessment</b>									
3132DWCN1 ..	FHLMC SUPER .....		09/29/2021 ..	Bank of America .....	X X X .....	901,336 .....	900,000 .....	650 .....	1.A .....
30291VAG1 ..	FREMF MTG TR 144A .....		07/22/2021 ..	Bank of America .....	X X X .....	857,429 .....	810,000 .....	2,213 .....	1.A .....
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment .....					X X X .....	1,758,765 .....	1,710,000 .....	2,863 .....	X X X .....
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
00834DAA1 ..	AFFIRM ASST SEC TR 144A .....		09/24/2021 ..	Bank of America .....	X X X .....	660,180 .....	660,000 .....	355 .....	1.A FE .....
01627AAA6 ..	ALIGNED DT CEN ISS LLC 144A .....		08/11/2021 ..	Bank of America .....	X X X .....	765,000 .....	765,000 .....	.....	1.A FE .....
053611AM1 ..	AVERY DENNISON CORP .....		08/10/2021 ..	Bank of America .....	X X X .....	433,225 .....	435,000 .....	.....	1.B FE .....
05609VAG0 ..	BX CM MTG 144A .....		09/15/2021 ..	Bank of America .....	X X X .....	580,000 .....	580,000 .....	.....	1.B FE .....
12434CAG9 ..	BX TR 144A .....		09/17/2021 ..	Wells Fargo .....	X X X .....	458,596 .....	460,000 .....	.....	1.B FE .....
12529KAA0 ..	CFMT 144A .....		08/13/2021 ..	Bank of America .....	X X X .....	716,940 .....	690,667 .....	592 .....	1.C FE .....
20030NDN8 ..	COMCAST CORPORATION .....		07/09/2021 ..	Bank of America .....	X X X .....	861,444 .....	900,000 .....	5,550 .....	1.C FE .....
482606AA8 ..	KNDR 2021-KIND Ser 21-KIND Cl A 1 .....		07/28/2021 ..	Bank of America .....	X X X .....	655,000 .....	655,000 .....	.....	1.B FE .....
86362VAD4 ..	STRUCTURED ASSET SECS 2006-BC6 .....		08/18/2021 ..	Bank of America .....	X X X .....	553,834 .....	537,105 .....	100 .....	1.A FE .....
87264ACE3 ..	T MOBILE USA INC 144A .....		08/11/2021 ..	Bank of America .....	X X X .....	853,920 .....	800,000 .....	10,889 .....	1.B FE .....
870845AC8 ..	SWISS BK CORP N Y BRH .....	C	08/16/2021 ..	Bank of America .....	X X X .....	773,010 .....	600,000 .....	21,571 .....	1.B FE .....
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) .....					X X X .....	7,311,149 .....	7,082,772 .....	39,057 .....	X X X .....
<b>Bonds - Hybrid Securities</b>									
693475BC8 ..	PNC FINL SVCS GROUP INC .....		09/08/2021 ..	Bank of America .....	X X X .....	450,000 .....	450,000 .....	.....	1.B FE .....
4899999 Subtotal - Bonds - Hybrid Securities .....					X X X .....	450,000 .....	450,000 .....	.....	X X X .....
8399997 Subtotal - Bonds - Part 3 .....					X X X .....	11,935,107 .....	11,527,772 .....	55,062 .....	X X X .....
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly) .....					X X X .....	X X X .....	X X X .....	X X X .....	X X X .....
8399999 Subtotal - Bonds .....					X X X .....	11,935,107 .....	11,527,772 .....	55,062 .....	X X X .....
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly) .....					X X X .....	X X X .....	X X X .....	X X X .....	X X X .....
8999999 Subtotal - Preferred Stocks .....					X X X .....	.....	X X X .....	.....	X X X .....
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>									
002824100 ..	ABBOTT LABS .....		08/31/2021 ..	MORGAN STANLEY CO .....	469.000 .....	59,193 .....	X X X .....	.....	.....
02079K305 ..	ALPHABET INC .....		08/31/2021 ..	Bank of America .....	25.000 .....	72,473 .....	X X X .....	.....	.....
071813109 ..	BAXTER INTL INC .....		09/08/2021 ..	Bank of America .....	1,716.000 .....	143,617 .....	X X X .....	.....	.....
11135F101 ..	BROADCOM INC COM .....		08/31/2021 ..	Bank of America .....	142.000 .....	70,737 .....	X X X .....	.....	.....
12514G108 ..	CDW CORP .....		08/31/2021 ..	Bank of America .....	1,477.000 .....	286,314 .....	X X X .....	.....	.....
518439104 ..	LAUDER ESTEE COS INC .....		08/31/2021 ..	Bank of America .....	183.000 .....	62,537 .....	X X X .....	.....	.....
53223X107 ..	LIFE STORAGE INC COM .....		08/31/2021 ..	Bank of America .....	1,163.000 .....	144,588 .....	X X X .....	.....	.....
532457108 ..	LILLY ELI & CO .....		08/31/2021 ..	Bank of America .....	303.000 .....	78,466 .....	X X X .....	.....	.....
594918104 ..	MICROSOFT CORP .....		08/31/2021 ..	Bank of America .....	482.000 .....	146,171 .....	X X X .....	.....	.....
617446448 ..	MORGAN STANLEY .....		08/31/2021 ..	Bank of America .....	1,375.000 .....	143,054 .....	X X X .....	.....	.....
620076307 ..	MOTOROLA SOLUTIONS INC .....		09/16/2021 ..	Bank of America .....	293.000 .....	70,852 .....	X X X .....	.....	.....
704326107 ..	PAYCHEX INC .....		07/26/2021 ..	Bank of America .....	635.000 .....	71,217 .....	X X X .....	.....	.....
713448108 ..	PEPSICO INC .....		07/26/2021 ..	Bank of America .....	902.000 .....	141,524 .....	X X X .....	.....	.....
717081103 ..	PFIZER INC .....		08/13/2021 ..	Bank of America .....	3,021.000 .....	144,954 .....	X X X .....	.....	.....
94106L109 ..	WASTE MGMT INC DEL .....		08/05/2021 ..	Bank of America .....	474.000 .....	70,651 .....	X X X .....	.....	.....
G1151C101 ..	ACCENTURE PLC IRELAND .....	C	08/31/2021 ..	Bank of America .....	434.000 .....	142,073 .....	X X X .....	.....	.....
G29183103 ..	EATON CORP PLC .....	C	08/13/2021 ..	Bank of America .....	1,307.000 .....	214,889 .....	X X X .....	.....	.....
G51502105 ..	JOHNSON CTLS INTL PLC .....	C	08/31/2021 ..	Bank of America .....	1,954.000 .....	144,541 .....	X X X .....	.....	.....
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded .....					X X X .....	2,207,851 .....	X X X .....	.....	X X X .....

**SCHEDULE D - PART 3**

**Show All Long-Term Bonds and Stock Acquired During the Current Quarter**

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
<b>Common Stocks - Mutual Funds</b>									
464287622 ...	ISHARES TR .....		09/08/2021 ..	Bank of America .....	3,874.000 .....	963,397 .....	X X X .....		
464287655 ...	ISHARES TR .....		07/07/2021 ..	Bank of America .....	4,425.000 .....	990,307 .....	X X X .....		
464287614 ...	ISHARES TR RUS 1000 GRW ETF .....		09/08/2021 ..	Bank of America .....	550.000 .....	160,332 .....	X X X .....		
464287598 ...	ISHARES TR RUSSELL 1000 VALUE INDE .....		08/09/2021 ..	Bank of America .....	12,409.000 .....	2,001,312 .....	X X X .....		
66263L791 ...	NORTH SQUARE INVESTMENTS TRUST .....		09/29/2021 ..	Bank of America .....	4,546.470 .....	45,923 .....	X X X .....		1.A .....
75644E103 ...	RED CEDAR FUND TRUST .....		09/29/2021 ..	Bank of America .....	2,899.520 .....	29,116 .....	X X X .....		1.A .....
808524201 ...	SCHWAB STRATEGIC TR .....		09/08/2021 ..	Bank of America .....	7,483.000 .....	797,752 .....	X X X .....		
808524409 ...	SCHWAB STRATEGIC TR .....		08/09/2021 ..	Bank of America .....	46,118.000 .....	3,228,168 .....	X X X .....		
78462F103 ...	SPDR S&P 500 ETF TR .....		09/08/2021 ..	Bank of America .....	15,719.000 .....	7,023,577 .....	X X X .....		
922908363 ...	VANGUARD INDEX FDS .....		09/08/2021 ..	Bank of America .....	5,010.000 .....	2,008,109 .....	X X X .....		
922040100 ...	VANGUARD INSTL INDEX FD .....		09/23/2021 ..	Bank of America .....	70.770 .....	27,497 .....	X X X .....		
92206C680 ...	VANGUARD RUSSELL 1000 GROWTH .....		08/16/2021 ..	Bank of America .....	27,579.000 .....	2,012,191 .....	X X X .....		
92206C714 ...	VANGUARD RUSSELL 1000 VALUE .....		08/09/2021 ..	Bank of America .....	21,216.000 .....	1,501,607 .....	X X X .....		
92206C730 ...	VANGUARD SCOTTSDALE FDS .....		09/08/2021 ..	Bank of America .....	4,682.000 .....	963,193 .....	X X X .....		
9499999 Subtotal - Common Stocks - Mutual Funds .....					X X X .....	21,752,481 .....	X X X .....		X X X .....
9799997 Subtotal - Common Stocks - Part 3 .....					X X X .....	23,960,332 .....	X X X .....		X X X .....
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly) .....					X X X .....	X X X .....	X X X .....	X X X .....	X X X .....
9799999 Subtotal - Common Stocks .....					X X X .....	23,960,332 .....	X X X .....		X X X .....
9899999 Subtotal - Preferred and Common Stocks .....					X X X .....	23,960,332 .....	X X X .....		X X X .....
9999999 Total - Bonds, Preferred and Common Stocks .....					X X X .....	35,895,439 .....	X X X .....	55,062 .....	X X X .....



SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
Bonds - U.S. Governments																					
38378B7E3	GNMA CMO 2013		09/16/2021	PRINCIPAL RECEIPT	X X X	2,746	2,746	2,732	2,735		11		11		2,746				33	05/16/2046	1.A
36179QRZ6	GNMA PASS-THRU M SINGLE FAMILY		09/20/2021	PRINCIPAL RECEIPT	X X X	2,546	2,546	2,714	2,751		(205)		(205)		2,546				68	10/20/2044	1.A
38378NNA7	GNMA REMIC TRUST 2013-194		07/16/2021	PRINCIPAL RECEIPT	X X X	1,511	1,511	1,483	1,484		27		27		1,511				20	05/16/2038	1.A
912810SX7	UNITED STATES TREAS BDS		09/29/2021	Bank of America	X X X	552,314	510,000	540,042		(115)			(115)		539,927		12,387	12,387	3,390	05/15/2051	1.A
91282CCB5	UNITED STATES TREAS NTS		08/10/2021	Bank of America	X X X	2,044,777	1,990,000	2,015,186		(148)			(148)		2,015,038		29,740	29,740	6,065	05/15/2031	1.A FE
91282CCJ8	UNITED STATES TREAS NTS		08/16/2021	Bank of America	X X X	2,215,116	2,205,000	2,202,236		157			157		2,202,393		12,723	12,723	2,351	06/30/2026	1.A FE
0599999 Subtotal - Bonds - U.S. Governments					X X X	4,819,010	4,711,804	4,764,393	6,970		(273)		(273)		4,764,161		54,850	54,850	11,927	X X X	X X X
Bonds - U.S. Special Revenue, Special Assessment																					
3128MDG23	FHLMC		09/15/2021	PRINCIPAL RECEIPT	X X X	433	433	438	436		(3)		(3)		433				7	07/01/2027	1.A
312934M58	FHLMC A8 7580		09/15/2021	PRINCIPAL RECEIPT	X X X	590	590	599	599		(9)		(9)		590				19	07/01/2039	1.A
312936KB2	FHLMC A8 9290		09/15/2021	PRINCIPAL RECEIPT	X X X	217	217	225	223		(6)		(6)		217				7	10/01/2039	1.A
3128MJGB0	FHLMC GO 8193		09/15/2021	PRINCIPAL RECEIPT	X X X	156	156	163	166		(10)		(10)		156				6	04/01/2037	1.A
31292SBY5	FHLMC PC GOLD CASH 30		09/15/2021	PRINCIPAL RECEIPT	X X X	3,352	3,352	3,462	3,446		(94)		(94)		3,352				88	12/01/2043	1.A
3128MD3A9	FHLMC PC GOLD COMB 15		09/15/2021	PRINCIPAL RECEIPT	X X X	976	976	1,011	999		(22)		(22)		976				19	04/01/2029	1.A
3128MMRN5	FHLMC PC GOLD COMB 15		09/15/2021	PRINCIPAL RECEIPT	X X X	3,518	3,518	3,599	3,574		(56)		(56)		3,518				70	01/01/2029	1.A
3128MJ2S8	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	6,673	6,673	6,827	6,802		(130)		(130)		6,673				154	10/01/2047	1.A
3128MJ2V1	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	7,768	7,768	7,757	7,757		11		11		7,768				155	11/01/2047	1.A
3128MJQ78	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	4,749	4,749	4,751	4,748		1		1		4,749				111	02/01/2042	1.A
3128MJS35	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	6,322	6,322	6,029	6,141		180		180		6,322				126	07/01/2043	1.A
3128MJT67	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	3,929	3,929	3,965	3,951		(22)		(22)		3,929				91	02/01/2044	1.A
3128MJTU4	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	2,228	2,228	2,224	2,225		4		4		2,228				51	01/01/2044	1.A
3128MJX70	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	10,779	10,779	10,486	10,554		225		225		10,779				215	04/01/2046	1.A
3128MJYC8	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	8,067	8,067	8,037	8,041		26		26		8,067				186	05/01/2046	1.A
312932ZG4	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	439	439	450	448		(9)		(9)		439				13	05/01/2039	1.A
3129413U9	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	898	898	994	995		(97)		(97)		898				30	08/01/2040	1.A
3132WFEC9	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	5,291	5,291	5,312	5,303		(12)		(12)		5,291				105	07/01/2046	1.A
3132XUFC4	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	14,360	14,360	14,774	14,650		(290)		(290)		14,360				330	11/01/2047	1.A
3132XUJ30	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	4,889	4,889	5,032	4,986		(98)		(98)		4,889				112	11/01/2047	1.A
3132XUNA9	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	12,330	12,330	12,709	12,577		(248)		(248)		12,330				287	11/01/2047	1.A
31292SA83	FHLMC PC GOLD CASH 30		09/15/2021	PRINCIPAL RECEIPT	X X X	2,590	2,590	2,564	2,575		14		14		2,590				43	02/01/2043	1.A
31335AY11	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	5,118	5,118	5,272	5,231		(113)		(113)		5,118				119	09/01/2046	1.A
31292SB25	FHLMC PC GOLD CASH 30		09/15/2021	PRINCIPAL RECEIPT	X X X	3,377	3,377	3,514	3,510		(133)		(133)		3,377				90	02/01/2044	1.A
3128MBNA8	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	1,025	1,025	1,119	1,139		(114)		(114)		1,025				37	08/01/2040	1.A
3128MJT26	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	3,967	3,967	4,244	4,219		(252)		(252)		3,967				117	01/01/2044	1.A
3128MJT75	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	3,337	3,337	3,483	3,454		(116)		(116)		3,337				89	02/01/2044	1.A
3128MJTH3	FHLMC PC GOLD COMB 30		09/15/2021	PRINCIPAL RECEIPT	X X X	1,582	1,582	1,648	1,640		(59)		(59)		1,582				42	10/01/2043	1.A
3128MJYD6	FHLMC PC GOLD COMB 30 4.000 20		09/15/2021	PRINCIPAL RECEIPT	X X X	5,475	5,475	5,707	5,662		(187)		(187)		5,475				143	05/01/2046	1.A
3128MJXG0	FHLMC PC GOLD COMB 30 4.500 20		09/15/2021	PRINCIPAL RECEIPT	X X X	1,848	1,848	1,996	2,006		(158)		(158)		1,848				54	11/01/2045	1.A
312940EU9	FHLMC PC GOLD COMB 30 5.000 20		09/15/2021	PRINCIPAL RECEIPT	X X X	1,114	1,114	1,152	1,150		(36)		(36)		1,114				37	04/01/2040	1.A
3132JA6N0	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	6,748	6,748	6,789	6,772		(24)		(24)		6,748				152	06/01/2043	1.A
3132JNZ26	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	2,481	2,481	2,466	2,470		11		11		2,481				57	09/01/2043	1.A
3132WD5D2	FHLMC PC GOLD PC 30YR		09/15/2021	PRINCIPAL RECEIPT	X X X	6,870	6,870	7,076	7,011		(141)		(141)		6,870				136	06/01/2046	1.A
3132MAVH1	FHLMC PC GOLD PC 30YR 4.000 20		09/15/2021	PRINCIPAL RECEIPT	X X X	2,020	2,020	2,163	2,138		(118)		(118)		2,020				53	11/01/2044	1.A
3132WLRX6	FHLMC PC GOLD PC 30YR 4.000 20		09/15/2021	PRINCIPAL RECEIPT	X X X	5,406	5,406	5,751	5,689		(263)		(263)		5,406				142	03/01/2047	1.A
3132Y33P7	FHLMC PC GOLD PC 30YR 4.500 204811		09/15/2021	PRINCIPAL RECEIPT	X X X	7,905	7,905	8,219	8,258		(353)		(353)		7,905				239	11/01/2048	1.A
3137BYMP2	FHLMC REMIC SERIES K-J14		09/27/2021	PRINCIPAL RECEIPT	X X X	182,108	182,108	187,670	186,035		(3,927)		(3,927)		182,108				5,276	11/25/2023	1.A
31416TDX4	FN AA9117		09/27/2021	PRINCIPAL RECEIPT	X X X	1,860	1,860	1,848	1,849		11		11		1,860				55	07/01/2039	1.A
31418BL38	FNMA		09/27/2021	PRINCIPAL RECEIPT	X X X	3,047	3,047	3,230	3,189		(142)		(142)		3,047				80	01/01/2045	1.A
31417CB95	FNMA PAB 5463		09/27/2021	PRINCIPAL RECEIPT	X X X	1,826	1,826	1,902	1,870		(44)		(44)		1,826				36	06/01/2042	1.A

**SCHEDULE D - PART 4**  
**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of**  
**During the Current Quarter**

QE05.1

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
3138WFM94	FNMA PASS-THRU INT 15 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	4,835	4,835	4,934	4,910		(76)		(76)		4,835				85	09/01/2030	1.A
3138YR6T0	FNMA PASS-THRU INT 15 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	4,351	4,351	4,435	4,417		(66)		(66)		4,351				72	07/01/2030	1.A
31410LRR5	FNMA PASS-THRU INT 15 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	3,412	3,412	3,582	3,552		(140)		(140)		3,412				69	09/01/2030	1.A
31410LUG5	FNMA PASS-THRU INT 15 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	9,274	9,274	9,300	9,291		(17)		(17)		9,274				152	06/01/2032	1.A
3138AVP66	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	860	860	913	897		(38)		(38)		860				23	10/01/2014	1.A
3138EG2X1	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	334	334	353	349		(15)		(15)		334				9	09/01/2014	1.A
3138EMFV8	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	3,196	3,196	3,288	3,244		(48)		(48)		3,196				76	12/01/2043	1.A
3138MFSZ1	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	2,675	2,675	2,642	2,652		23		23		2,675				53	11/01/2042	1.A
3138WGLA0	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	7,104	7,104	7,336	7,274		(169)		(169)		7,104				166	02/01/2046	1.A
3138WGRK2	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	5,073	5,073	5,378	5,286		(213)		(213)		5,073				119	03/01/2046	1.A
3138WH5S7	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	10,315	10,315	10,328	10,321		(6)		(6)		10,315				205	10/01/2046	1.A
3138WHWE8	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	8,355	8,355	8,423	8,399		(44)		(44)		8,355				169	09/01/2046	1.A
3138WJ6C7	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	5,622	5,622	5,904	5,878		(256)		(256)		5,622				148	03/01/2047	1.A
3138WJP51	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	11,752	11,752	12,430	12,341		(589)		(589)		11,752				306	12/01/2046	1.A
3138X3XL1	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	3,054	3,054	3,132	3,100		(46)		(46)		3,054				72	09/01/2043	1.A
3138XGNF6	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	3,120	3,120	3,301	3,248		(128)		(128)		3,120				83	12/01/2043	1.A
3140EUVB0	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	15,092	15,092	15,190	15,155		(64)		(64)		15,092				304	08/01/2046	1.A
3140HBH50	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	33,556	33,556	34,044	34,167		(611)		(611)		33,556				775	06/01/2048	1.A
3140JFU00	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	23,561	23,561	24,102	24,007		(446)		(446)		23,561				621	11/01/2048	1.A
3140JMTA4	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	38,030	38,030	39,171	39,315		(1,285)		(1,285)		38,030				1,033	04/01/2049	1.A
31410KXY5	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	289	289	306	318		(29)		(29)		289				10	09/01/2038	1.A
31410LFB3	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	1,085	1,085	1,179	1,157		(72)		(72)		1,085				32	08/01/2041	1.A
31410XGC4	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	1,029	1,029	1,078	1,105		(76)		(76)		1,029				36	09/01/2036	1.A
31416NCW0	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	196	196	213	211		(15)		(15)		196				6	04/01/2039	1.A
31416TL49	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	627	627	684	675		(48)		(48)		627				19	08/01/2039	1.A
31417DU27	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	303	303	299	300		3		3		303				6	11/01/2042	1.A
31417QVZ4	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	586	586	650	656		(70)		(70)		586				20	11/01/2039	1.A
31418ABA5	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	894	894	916	909		(16)		(16)		894				20	12/01/2041	1.A
31418CMG6	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	10,361	10,361	10,294	10,299		62		62		10,361				273	07/01/2047	1.A
31418CR97	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	8,045	8,045	8,223	8,194		(148)		(148)		8,045				213	12/01/2047	1.A
31418CS47	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	8,825	8,825	8,776	8,782		43		43		8,825				204	01/01/2048	1.A
31418DCY6	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	54,788	54,788	55,669	55,837		(1,049)		(1,049)		54,788				1,263	05/01/2049	1.A
3140H1XD7	FNMA PASS-THRU INT 15 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	19,546	19,546	19,863	19,891		(345)		(345)		19,546				467	03/01/2033	1.A
31417B5T0	FNMA PASS-THRU INT 15 YEAR 2.5		09/27/2021	PRINCIPAL RECEIPT	X X X	1,078	1,078	1,111	1,095		(17)		(17)		1,078				18	06/01/2027	1.A
3138EL5L3	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	3,674	3,674	3,919	3,910		(236)		(236)		3,674				106	12/01/2043	1.A
3138EPZP2	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	754	754	781	782		(27)		(27)		754				22	02/01/2045	1.A
31418BP67	FNMA PASS-THRU LNG 30 YEAR		09/27/2021	PRINCIPAL RECEIPT	X X X	557	557	597	593		(36)		(36)		557				14	03/01/2045	1.A
3138Y6ND2	FNMA PASS-THRU LNG 30 YEAR 4.0		09/27/2021	PRINCIPAL RECEIPT	X X X	499	499	535	528		(28)		(28)		499				13	12/01/2044	1.A
31418BNG7	FNMA PASS-THRU LNG 30 YEAR 4.0		09/27/2021	PRINCIPAL RECEIPT	X X X	2,490	2,490	2,640	2,606		(116)		(116)		2,490				64	02/01/2045	1.A
31418BPB6	FNMA PASS-THRU LNG 30 YEAR 4.0		09/27/2021	PRINCIPAL RECEIPT	X X X	2,553	2,553	2,721	2,687		(134)		(134)		2,553				69	03/01/2045	1.A
31418C4F8	FNMA PASS-THRU LNG 30 YEAR 4.000 2		09/27/2021	PRINCIPAL RECEIPT	X X X	15,285	15,285	15,596	15,666		(381)		(381)		15,285				405	11/01/2048	1.A
31418UBC7	FNMA PASS-THRU LNG 30 YEAR 4.5		09/27/2021	PRINCIPAL RECEIPT	X X X	823	823	836	835		(12)		(12)		823				22	05/01/2040	1.A
31371M7H4	FNMA PASS-THRU LNG 30 YEAR 5.0		09/27/2021	PRINCIPAL RECEIPT	X X X	196	196	204	207		(11)		(11)		196				6	02/01/2037	1.A
31418AWT1	FNMA PASS-THRU SF15 105-125 3.		09/27/2021	PRINCIPAL RECEIPT	X X X	4,416	4,416	4,622	4,566		(150)		(150)		4,416				90	08/01/2028	1.A
31418AES3	FNMA PMA 1044		09/27/2021	PRINCIPAL RECEIPT	X X X	3,340	3,340	3,422	3,391		(51)		(51)		3,340				66	04/01/2042	1.A
3136B26V9	FNMA REMIC TRUST 2018-M12		09/27/2021	PRINCIPAL RECEIPT	X X X	2,172	2,172	2,423			(251)		(251)		2,172				19	08/25/2030	1.A
3136B1ZD9	FNMA REMIC TRUST 2018-M7		09/15/2021	PRINCIPAL RECEIPT	X X X	245,925	245,9														

QE05.2

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of  
During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22 NAIC Designation, NAIC Designation and SVO Admini- strative Symbol
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Temporary Impairment Recognized	Total Change in B./A.C.V.	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
02665UAA3	AMER HOMES 4 RENT TR 2014-SFR		09/20/2021	PRINCIPAL RECEIPT	X X X	2,414	2,414	2,493	2,456		(43)		(43)		2,414				62	10/17/2036	1 A FE
02660TCS0	AMERICAN HOME MTG 2004-4 20450		09/27/2021	PRINCIPAL RECEIPT	X X X	4,534	4,534	4,549	4,511		23		23		4,534				67	05/25/2045	1 D FM
038413AA8	AQUA FIN TR 144A		09/17/2021	PRINCIPAL RECEIPT	X X X	82,312	82,312	83,267		(955)			(955)		82,312				257	07/17/2046	1 F FE
040104ND9	ARGENT SECURITIES INC ABS		09/27/2021	PRINCIPAL RECEIPT	X X X	115,034	115,034	114,958		77			77		115,034				497	10/25/2035	1 D FM
12530MAE5	CF HIPPOLYTA ISSUER LLC 20211 144A		09/15/2021	PRINCIPAL RECEIPT	X X X	3,867	3,867	3,870		(3)			(3)		3,867				20	03/15/2061	1 D FE
12529KAA0	CFMT 144A		09/20/2021	PRINCIPAL RECEIPT	X X X	53,283	53,283	55,310		(2,027)			(2,027)		53,283				76	03/20/2041	1 C FE
17326QAA1	CITIGROUP MTG LN TR 2018-RP1 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	8,942	8,942	8,916		1,265			1,265		8,942				178	09/25/2064	1 A FM
12646XAW2	CSMC TRUST 2013-IVR3 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	63,561	63,561	65,038		(1,267)			(1,267)		63,561				1,473	05/25/2043	1 D FM
29445FAH1	EQUIFIRST MTG LN TR 2003-2		09/27/2021	PRINCIPAL RECEIPT	X X X	572	572	556		8			8		572				5	05/29/2033	1 G FM
30260GAA4	FCI FUNDING LLC 2021-144A		09/15/2021	PRINCIPAL RECEIPT	X X X	63,648	63,648	63,645		3			3		63,648				200	04/15/2033	1 A FE
35041LAA8	FOUNDATION FINANCE TR 144A		09/15/2021	PRINCIPAL RECEIPT	X X X	72,362	72,362	71,985		377			377		72,362				354	05/15/2041	1 D FE
419838AA5	HAWAIIAN AIRL TR 2013-1 202707		09/24/2021	VARIOUS	X X X	58,773	60,144	60,347	53,558	6,736	(18)		6,718		60,277		(1,504)	(1,504)	2,795	07/15/2027	4 B FE
46641YAA1	JP MORGAN MTG TR 2014-2 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	8,138	8,138	8,190	8,162		(24)		(24)		8,138				160	06/25/2029	1 A FM
59166BAA9	METLIFE SEC TR 2017 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	24,779	24,779	25,024	25,000		(222)		(222)		24,779				491	04/25/2055	1 B FM
595481AC6	MID-STATE CAPITAL 2005-1		09/15/2021	PRINCIPAL RECEIPT	X X X	1,570	1,570	1,683	1,630		(60)		(60)		1,570				74	01/15/2040	3 A FE
59890CAA1	MILL CITY MTG LN TR 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	14,237	14,237	14,690	14,448		(211)		(211)		14,237				259	01/25/2061	1 A FM
61691NAA3	MORGAN STAN CAP I TR 2017-HR2		09/17/2021	PRINCIPAL RECEIPT	X X X	82,273	82,273	82,527	82,380		(107)		(107)		82,273				1,274	12/16/2050	1 A FM
64829EA2	NEW RESI MTG LN TR 2015-2 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	15,426	15,426	16,001	16,003		(577)		(577)		15,426				388	08/25/2055	1 A FE
64830GAB2	NEW RESI MTG TR 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	25,552	25,552	26,298	26,160		(609)		(609)		25,552				680	12/25/2057	1 D FM
67421AA5	OASIS 2020-2 LLC 144A		09/15/2021	PRINCIPAL RECEIPT	X X X	37,638	37,638	37,638	37,638						37,638				1,058	05/15/2032	1 G FE
693475AV7	PNC FINL SVCS GROUP INC		09/09/2021	Bank of America	X X X	709,741	665,000	676,664	672,645	(1,670)			(1,670)		670,975		38,766	38,766	26,508	01/23/2024	1 G FE
75156UAC1	RAMP SERIES TRUST 2006-RZ2		09/27/2021	PRINCIPAL RECEIPT	X X X	9,517	9,517	9,483	9,508		9		9		9,517				41	05/25/2036	1 B FM
76112BC65	RESIDENTIAL ASSET 2005-EFC4		09/27/2021	VARIOUS	X X X	11,860	11,860	11,838	11,859		2		2		11,860				66	09/25/2035	1 C FM
81746MAA8	SEQUOIA MTG TR 2015-4 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	8,181	8,181	8,268	8,264		(83)		(83)		8,181				162	11/25/2030	1 A FM
86359LPF0	STRUCTURED ASSET MTG 2005-AR5		09/20/2021	PRINCIPAL RECEIPT	X X X	7,610	7,610	7,265	7,320		289		289		7,610				34	07/19/2035	1 B FM
86362VAD4	STRUCTURED ASSET SECS 2006-BC6		09/27/2021	PRINCIPAL RECEIPT	X X X	44,156	44,156	45,532		(1,375)			(1,375)		44,156				16	01/25/2037	1 A FE
89171VAK3	TOWD POINT MTG TR 2015-5		07/30/2021	VARIOUS	X X X	3,279	3,279	3,320	3,283		(3)		(3)		3,279				53	05/25/2055	1 A FM
89172YAA8	TOWD PT MTG TR 2016-3 144A		09/25/2021	PRINCIPAL RECEIPT	X X X	39,448	39,448	39,133	39,288		160		160		39,448				591	04/25/2056	1 A FM
89173CAA5	TOWD PT MTG TR 2016-5 144A		09/27/2021	PRINCIPAL RECEIPT	X X X	95,937	95,937	96,107	95,973		(36)		(36)		95,937				1,613	10/25/2056	1 A FM
90349DAD4	UBS-BARCLY COML MTG TR 2012-C3		09/13/2021	PRINCIPAL RECEIPT	X X X	9,372	9,372	9,585	9,469		(97)		(97)		9,372				201	08/12/2049	1 A FM
931142EC3	WALMART INC		09/23/2021	TENDER OFFER	X X X	878,319	665,000	683,389	682,859		(324)		(324)		682,535		195,783	195,783	19,751	06/29/2048	1 C FE
89114QBL1	TORONTO DOMINION BANK	C	07/13/2021	MATURITY	X X X	605,000	605,000	595,247	602,592		2,408		2,408		605,000				10,890	07/13/2021	1 D FE
05607MAA5	BAA FUNDING LTD 144A	D	07/15/2021	CALLED @ 100.0000000	X X X	350,000	350,000	368,001	359,131		(9,131)		(9,131)		350,000				17,063	07/15/2023	2 A FE
822582BY7	SHELL INTERNATIONAL FIN BV	C	07/06/2021	Bank of America	X X X	228,746	200,000	199,854	199,860		2		2		199,862		28,884	28,884	6,167	09/12/2046	1 D FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	3,744,180	3,458,745	3,505,135	3,051,506	6,736	(14,560)		(7,824)		3,482,250		261,929	261,929	93,625	X X X	X X X
78464AA74	SPDR SERIES TRUST		08/16/2021	Bank of America		255,918,000		7,990,666	7,985,281	(45,663)			(45,663)		7,985,281		5,385	5,385	36,238	X X X	2 A
8099999	Subtotal - Bonds - SVO Identified Funds				X X X	7,990,666		7,985,281	4,322,775	(45,663)			(45,663)		7,985,281		5,385	5,385	36,238	X X X	X X X
8399997	Subtotal - Bonds - Part 4				X X X	19,113,614	10,677,751	18,887,363	9,569,457	(38,927)	(90,454)		(129,381)		18,776,635		336,979	336,979	211,715	X X X	X X X
8399998	Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999	Subtotal - Bonds				X X X	19,113,614	10,677,751	18,887,363	9,569,457	(38,927)	(90,454)		(129,381)		18,776,635		336,979	336,979	211,715	X X X	X X X
8999998	Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8999999	Subtotal - Preferred Stocks				X X X		X X X												X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded																					
009158106	AIR PRODS & CHEMS INC		08/31/2021	Bank of America		548,000	149,777	X X X	157,708	7,984			7,984		157,708		(7,932)	(7,932)	1,820	X X X	
172908105	CINTAS CORP		08/13/2021	Bank of America		192,000	74,543	X X X	40,665	(27,200)			(27,200)		40,665		33,878	33,878	486	X X X	
172967424	CITIGROUP INC		08/31/2021	Bank of America		4,185,000	283,925	X X X	248,697	(7,313)			(7,313)		248,697		35,228	35,228	4,608	X X X	
191216100	COCA COLA CO		08/31/2021	Bank of America		1,120,000	62,848	X X X	59,653	(1,768)			(1,768)		59,653		3,195	3,195	941	X X X	
219350105	CORNING INC		08/31/2021	Bank of America		1,804,000	72,583	X X X	68,223						68,223		4,360	4,360	433	X X X	
277432100	EASTMAN CHEM CO		09/08/2021	Bank of America		914,000	102,589	X X X	66,361	(25,295)			(25,295)		66,361		36,228	36,228	3,273	X X X	
311900104	FASTENAL CO		09/08/2021	Bank of America		1,507,000	81,205	X X X	49,737	(23,850)			(23,850)		49,737		31,468	31,468	1,526	X X X	
452308109	ILLINOIS TOOL WKS INC		09/08/2021	Bank of America		1,072,000	244,975	X X X	178,243	(40,317)			(40,317)		178,243		66,733	66,733	5,141	X X X	
57636Q104	MASTERCARD INC		08/31/2021	Bank of America		789,000	285,375	X X X	218,210	(63,415)			(63,415)		218,210		67,165	67,165	1,041	X X X	
609207105	MONDELEZ INTL INC		08/31/2021	Bank of America		3,279,000	202,746	X X X	205,650						205,650		(2,904)	(2,904)	1,033	X X X	
693475105	PNC FINL SVCS GROUP INC		08/31/2021	Bank of America		375,000	71,623	X X X	48,980	(6,895)			(6,895)		48,980		22,643	22,643	1,331	X X X	
907818108	UNION PAC CORP		08/31/2021	Bank of America		987,000	214,941	X X X	148,073	(57,440)			(57,440)		148,073		66,868	66,868	1,305	X X X	
91324P102	UNITEDHEALTH GROUP INC		08/13/2021	Bank of America		355,000	144,802	X X X	94,749	(29,742)			(29,742)		94,749		50,052	50,052	1,202	X X X	
91913Y100	VALERO ENERGY CORP NEW		07/26/2021	Bank of America		1,115,000	71,215	X X X	54,420	(8,656)			(8,656)		54,420		16,795	16,795	3,078	X X X	
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				X X X	2,063,147	X X X	1,639,369	1,521,029	(283,907)			(283,907)		1,639,369		423,777	423,777	27,218	X X X	X X X

**SCHEDULE D - PART 4**  
**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of**  
**During the Current Quarter**

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V.  (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol	
Common Stocks - Mutual Funds																						
46434G103	ISHARES INC		08/09/2021	Bank of America	67,079.000	4,280,443	X X X	3,852,266	4,161,581	(309,315)			(309,315)		3,852,266		428,177	428,177	31,563	X X X	1	
464287655	ISHARES TR		07/20/2021	Bank of America	4,631.000	1,008,767	X X X	990,102							990,102		18,665	18,665	3,451	X X X		
46434V886	ISHARES TR		08/09/2021	Bank of America	39,067.000	1,498,794	X X X	1,235,134	1,401,333	(166,199)			(166,199)		1,235,134		263,659	263,659	11,257	X X X		
808524805	SCHWAB INTL EQUITY		08/09/2021	Bank of America	23,524.000	939,810	X X X	768,302	847,099	(78,797)			(78,797)		768,302		171,508	171,508	8,015	X X X		
92206C649	VANGUARD SCOTTSDALE FDS		07/07/2021	Bank of America	6,993.000	987,568	X X X	750,599	807,622	(57,022)			(57,022)		750,599		236,969	236,969	4,542	X X X		
92206C664	VANGUARD SCOTTSDALE FDS		07/20/2021	Bank of America	21,480.000	1,888,134	X X X	1,308,253	1,699,820	(391,566)			(391,566)		1,308,253		579,881	579,881	6,910	X X X		
9499999 Subtotal - Common Stocks - Mutual Funds					X X X	10,603,516	X X X	8,904,656	8,917,455	(1,002,899)			(1,002,899)		8,904,656		1,698,859	1,698,859	65,738	X X X	X X X	
9799997 Subtotal - Common Stocks - Part 4					X X X	12,666,663	X X X	10,544,025	10,438,484	(1,286,806)			(1,286,806)		10,544,025		2,122,636	2,122,636	92,956	X X X	X X X	
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	12,666,663	X X X	10,544,025	10,438,484	(1,286,806)			(1,286,806)		10,544,025		2,122,636	2,122,636	92,956	X X X	X X X	
9899999 Subtotal - Preferred and Common Stocks					X X X	12,666,663	X X X	10,544,025	10,438,484	(1,286,806)			(1,286,806)		10,544,025		2,122,636	2,122,636	92,956	X X X	X X X	
9999999 Total - Bonds, Preferred and Common Stocks					X X X	31,780,277	X X X	29,431,388	20,007,941	(1,325,733)	(90,454)		(1,416,187)		29,320,660		2,459,615	2,459,615	304,671	X X X	X X X	

**E06   Schedule DB Part A Section 1 ..... NONE**

**E07   Schedule DB Part B Section 1 ..... NONE**

**E08   Schedule DB Part D Section 1 ..... NONE**

**E09   Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09   Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10   Schedule DB Part E ..... NONE**

**E11   Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E12   Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**

**SCHEDULE E - PART 1 - CASH**

**Month End Depository Balances**

1			2	3	4	5	Book Balance at End of Each Month			9
					Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	During Current Quarter			
							6	7	8	
Depository			Code	Rate of Interest			First Month	Second Month	Third Month	*
open depositories										
Fifth Third Admin .....	Cincinnati, OH .....						(1,025,204)	(1,720,060)	(147,418)	X X X
Fifth Third Claims Checking .....	Cincinnati, OH .....						(16,906,664)	(17,835,618)	(13,467,719)	X X X
Fifth Third Control Account .....	Cincinnati, OH .....						4,235,590	6,490,055	7,721,835	X X X
Fifth Third Receipts Groups .....	Cincinnati, OH .....						(827,185)	4,675	6,134	X X X
Bank of America Receipts Indiv .....	Chicago, IL .....						1,877,024	1,034,979	1,986,982	X X X
Wells Fargo BOD Deferred Comp .....	Chicago, IL .....						813,773	824,560	803,541	X X X
0199998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories ..			X X X	X X X						X X X
0199999 Totals - Open Depositories .....			X X X	X X X			(11,832,666)	(11,201,409)	(3,096,645)	X X X
0299998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories .....			X X X	X X X						X X X
0299999 Totals - Suspended Depositories .....			X X X	X X X						X X X
0399999 Total Cash On Deposit .....			X X X	X X X			(11,832,666)	(11,201,409)	(3,096,645)	X X X
0499999 Cash in Company's Office .....			X X X	X X X	X X X	X X X				X X X
0599999 Total Cash .....			X X X	X X X			(11,832,666)	(11,201,409)	(3,096,645)	X X X

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Exempt Money Market Mutual Funds - as Identified by SVO</b>								
. 09248U551 .	BLACKROCK LIQUIDITY FDS TREAS TR I .....	.....	.... 09/30/2021 ....	..... 1.391 .....	..... X X X .....	..... 3,594,888 .....	..... 4,938 .....	..... 693 .....
8599999 Subtotal - Exempt Money Market Mutual Funds - as Identified by SVO .....						..... 3,594,888 .....	..... 4,938 .....	..... 693 .....
9999999 Total Cash Equivalents .....						..... 3,594,888 .....	..... 4,938 .....	..... 693 .....