



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021  
OF THE CONDITION AND AFFAIRS OF THE

# OHIO BAR LIAB INS CO

# OHIO BAR LIAB INS CO

# OHIO BAR LIAB INS CO

NAIC Group Code 0000 0000 NAIC Company Code 37176 Employer's ID Number 31-0947214  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Incorporated/Organized 12/05/1978 Commenced Business 09/01/1979

Statutory Home Office \_\_\_\_\_, 1650 Lake Shore Drive \_\_\_\_\_, Columbus, OH, US 43204  
(Street and Number) \_\_\_\_\_, (City or Town, State, Country and Zip Code)

Main Administrative Office 1650 Lake Shore Drive  
Columbus, OH, US 43204 (Street and Number) 614-488-7924

(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address PO Box 2706, Colombus, OH, US 43216-2706  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records \_\_\_\_\_ 1500 EAKO CROSS DRIVE  
(Street and Number)  
Columbus, OH, US 43204 \_\_\_\_\_, 614-488-7924  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address [www.ublic.com](http://www.ublic.com)

Statutory Statement Contact Rodney K. McGough, 614-488-7924  
(Name) (Area Code) (Telephone Number)  
rmcgough@oblic.com, 614-488-7936  
(E-mail Address) (FAX Number)

## OFFICERS

President & CEO Steven Craig Couch Treasurer Demetries Jo Neely  
Secretary Frederick Hunker

**OTHER**

Barbara Jean Howard, Chair of the Board \_\_\_\_\_ Carmen Vincent Roberto #, Vice Chair of the Board \_\_\_\_\_

## **DIRECTORS OR TRUSTEES**

Mary Amos Augsburger	E. Ann Gabriel	Michael Edward Flowers
Andrean Renee Horton	Barbara Jean Howard	Ronald Sinclair Kopp
Carol Seubert Marx #	Mark Kenneth McCown	Demetries Jo Neely
Nancy Michong Pyon	Carmen Vincent Roberto	Douglas Craig Robinette
John Stephen Stith	Kathy Ann Stoneman	Robin Geoffrey Weaver
Linde Hurst Webb		

State of Ohio SS: \_\_\_\_\_  
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven Craig Couch  
President & CEO

Frederick Hunker  
Secretary

Demetries Jo Neely  
Treasurer

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? .....

b. If no,

1. State the amendment number.....
2. Date filed .....
3. Number of pages attached.....

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	31,551,030		31,551,030	30,348,362
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....	10,826,628		10,826,628	9,832,426
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....				
4.2 Properties held for the production of income (less \$ encumbrances) .....				
4.3 Properties held for sale (less \$ encumbrances) .....				
5. Cash (\$ 719,285 ), cash equivalents (\$ 1,063,681 ) and short-term investments (\$ ..... ) .....	1,782,966		1,782,966	3,221,893
6. Contract loans (including \$ ..... premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....	155,691		155,691	106,727
9. Receivables for securities .....				
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	44,316,316		44,316,316	43,509,408
13. Title plants less \$ ..... charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	182,861		182,861	205,692
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,819,435		1,819,435	1,677,781
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....				
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	443,452	7,558	435,894	
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	140,720		140,720	175,385
18.2 Net deferred tax asset .....				
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....	167,255		167,255	167,255
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	161,101	161,101		
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	94,043		94,043	126,265
24. Health care (\$ ..... ) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	88,203	4,650	83,553	24,920
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	47,413,386	173,309	47,240,077	45,886,706
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. Total (Lines 26 and 27) .....	47,413,386	173,309	47,240,077	45,886,706
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....				
2501. Prepaid Postage .....	1,856		1,856	720
2502. Deductible Receivable .....	86,347	4,650	81,697	24,200
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	88,203	4,650	83,553	24,920

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... )	5,634,502	5,327,770
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	2,859,005	2,626,530
4. Commissions payable, contingent commissions and other similar charges		
5. Other expenses (excluding taxes, licenses and fees)	79,572	80,676
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	6,613	5,080
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses))		
7.2 Net deferred tax liability	746,612	527,276
8. Borrowed money \$ ..... and interest thereon \$ .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ ..... for medical loss ratio rebate per the Public Health Service Act)	3,718,869	3,440,730
10. Advance premium	99,831	330,337
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	726,131	220,096
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others	323,573	290,232
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$ ..... certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	95,134	5,536
20. Derivatives		
21. Payable for securities	4,937	
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities		
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	14,294,779	12,854,263
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	14,294,779	12,854,263
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	1,000,000	1,000,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	1,000,000	1,000,000
35. Unassigned funds (surplus)	30,945,298	31,032,443
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... )		
36.2 ..... shares preferred (value included in Line 31 \$ ..... )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	32,945,298	33,032,443
38. Totals (Page 2, Line 28, Col. 3)	47,240,077	45,886,706
<b>DETAILS OF WRITE-INS</b>		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)		
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 9,026,914 )	8,592,920	7,932,749	10,716,551
1.2 Assumed (written \$ 2,618,329 )	2,462,474	2,127,960	2,970,737
1.3 Ceded (written \$ 6,408,585 )	6,130,446	5,804,789	7,745,814
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ ):			
2.1 Direct	1,978,827	1,141,815	1,611,827
2.2 Assumed			
2.3 Ceded	(34,253)	198,416	225,142
2.4 Net	2,013,080	943,399	1,386,685
3. Loss adjustment expenses incurred	1,961,610	1,803,534	2,184,864
4. Other underwriting expenses incurred	1,852,461	1,700,821	2,315,615
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	5,827,151	4,447,754	5,887,164
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	303,295	1,357,035	1,858,650
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	298,498	505,815	714,916
10. Net realized capital gains (losses) less capital gains tax of \$ 1,410	5,305	839	(7,559)
11. Net investment gain (loss) (Lines 9 + 10)	303,803	506,654	707,357
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )			
13. Finance and service charges not included in premiums	20,068	24,395	30,582
14. Aggregate write-ins for miscellaneous income			(725)
15. Total other income (Lines 12 through 14)	20,068	24,395	29,857
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	627,166	1,888,084	2,595,864
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	627,166	1,888,084	2,595,864
19. Federal and foreign income taxes incurred	33,255	385,528	576,883
20. Net income (Line 18 minus Line 19)(to Line 22)	593,911	1,502,556	2,018,981
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	33,032,443	31,062,966	31,062,966
22. Net income (from Line 20)	593,911	1,502,556	2,018,981
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 227,315	762,493	(254,967)	692,253
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	7,979	4,287	46,621
27. Change in nonadmitted assets	(42,037)	48,639	41,622
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders	(1,409,491)	(830,000)	(830,000)
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	(87,145)	470,515	1,969,477
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	32,945,298	31,533,481	33,032,443
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			(725)
1401. Miscellaneous Write off			(725)
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)			(725)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)			

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	6,542,460	6,403,580	8,067,034
2. Net investment income .....	519,022	652,065	905,366
3. Miscellaneous income .....	20,068	24,395	29,857
4. Total (Lines 1 to 3) .....	7,081,550	7,080,040	9,002,257
5. Benefit and loss related payments .....	2,145,935	850,273	1,041,630
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,500,491	3,284,938	4,379,289
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ .....	1,410	tax on capital gains (losses) .....	567,393
10. Total (Lines 5 through 9) .....	5,646,426	4,702,604	6,438,311
11. Net cash from operations (Line 4 minus Line 10) .....	1,435,124	2,377,436	2,563,946
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	6,623,969	8,786,038	12,392,237
12.2 Stocks .....	536	3,151	4,233
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....	4,937	616,729	16,729
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	6,629,441	9,405,918	12,413,199
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	8,018,384	9,610,933	12,969,652
13.2 Stocks .....	53,125	137,121	162,817
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....			
13.6 Miscellaneous applications .....			
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	8,071,509	9,748,054	13,132,469
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(1,442,067)	(342,136)	(719,271)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....	1,409,491	415,000	830,000
16.6 Other cash provided (applied) .....	(22,494)	104,982	8,558
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(1,431,985)	(310,018)	(821,442)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(1,438,928)	1,725,282	1,023,233
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	3,221,894	2,198,661	2,198,661
19.2 End of period (Line 18 plus Line 19.1) .....	1,782,966	3,923,943	3,221,894

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE**

**(1) Summary of Significant Accounting Policies**

**A. Accounting Practices**

The accompanying statutory financial statements of Ohio Bar Liability Insurance Company (Company) have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners' (NAIC) and the State of Ohio.

The Ohio Department of Insurance (Department) recognizes only statutory accounting practices (SAP) prescribed or permitted by the Department for determining and reporting the financial condition and results of operations of an insurance company, as well as, determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' *Accounting Practices and Procedures* manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Company has no statutory accounting practices that differ from NAIC SAP.

	SSAP #	F/S Page	F/S Line #	2021	2020
<b>NET INCOME</b>					
(1) OHIO BAR LIAB INS CO state basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	\$ 593,911	\$ 2,018,980
(2) State Prescribed Practices that increase/decrease NAIC SAP					
(3) State Permitted Practices that increase/decrease NAIC SAP					
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 593,911	\$ 2,018,980
<b>SURPLUS</b>					
(5) OHIO BAR LIAB INS CO state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 32,945,298	\$ 33,032,443
(6) State Prescribed Practices that increase/decrease NAIC SAP					
(7) State Permitted Practices that increase/decrease NAIC SAP					
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 32,945,298	\$ 33,032,443

**B. Use of Estimates in Preparation of the Financial Statements - No significant changes.**

**C. Accounting Policies - No significant changes.**

**D. Going concern - No significant changes.**

**(2) Accounting Changes and Corrections of Errors – No significant changes.**

**(3) Business Combinations and Goodwill - Not applicable.**

**(4) Discontinued Operations - Not applicable.**

**(5) Investments**

**(A - D) - Not applicable.**

**(E) Dollar Repurchase Agreements and/or Securities Lending Transactions**

**(3) Collateral Received – Not applicable**

**(F - Q) - Not applicable.**

**(R) - Reporting Entity's Share of Cash Pool by Asset type**

	Asset Type	Percent Share
(1)	Cash	40.34%
(2)	Cash Equivalent	59.66%
(3)	Short-Term Investments	0.00%
(4)	Total	100.00%

**(6) Joint Ventures, Partnerships, and Limited Liability Companies - Not applicable.**

**(7) Investment Income - No significant changes.**

**(8) Derivative Instruments - Not applicable.**

**(9) Income Taxes - No significant changes.**

**(10) Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties – No significant changes.**

**(11) Debt**

**A. Debt, Including Capital Notes - Not applicable.**

**B. FHLB (Federal Home Loan Bank) Agreements**

**(1) Nature of FHLB Agreement**

OBLIC is a member stockholder of the FHLB Cincinnati, for September 30, 2021, OBLIC does not have any collateral pledged to the FHLB and therefore our borrowing capacity is \$0.

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE**

**(2) FHLB Capital Stock**

Aggregate Totals

1	Current Year to Date	1	2	3
		Total	General Account	Protected Cell Accounts
		2 + 3		
(a)	Membership Stock - Class A	\$ -	\$ -	\$ -
(b)	Membership Stock - Class B	67,270	67,270	-
(c)	Activity Stock	-	-	-
(d)	Excess Stock	30	30	-
(e)	Aggregate Total (a+b+c+d)	\$ 67,300	\$ 67,300	\$ -
(f)	Actual or estimated borrowing capacity as determined by the insurer	\$ -	XXX	XXX

2	Prior Year-end	1	2	3
		Total	General Account	Protected Cell Accounts
		2 + 3		
(a)	Membership Stock - Class A	\$ -	\$ -	\$ -
(b)	Membership Stock - Class B	67,270	67,270	-
(c)	Activity Stock	-	-	-
(d)	Excess Stock	30	30	-
(e)	Aggregate Total (a+b+c+d)	\$ 67,300	\$ 67,300	\$ -
(f)	Actual or estimated borrowing capacity as determined by the insurer	\$ -	XXX	XXX

b.	Membership Stock (Class A and B) Eligible and Not Eligible for Redemption	Eligible For Redemption				
		1	2	3	4	5
11B(2)b.	Membership Stock	Current Year Total (2+3+4+5)	Not Eligible For Redemption	6 Months To Less Than 1 Year	1 To Less Than 3 Years	3 to 5 Years
	1. Class A	\$ -	\$ -	\$ -	\$ -	\$ -
	2. Class B	\$ 67,300	\$ 67,300	\$ -	\$ -	\$ -

11B(2)b1 Current Year to Date Total (Column 1) should equal 11B(2)a1(a) Total (Column 1)

11B(2)b2 Current Year to Date Total (Column 1) should equal 11B(2)a1(b) Total (Column 1)

**(12) Retirement Plans, Deferred Compensation, Post-employment Benefits, Compensated Absences and Other Post-Retirement Benefit Plans**

A. Defined Benefit Plan - Not applicable.

(B – D) Not applicable.

E. Define Contribution Plans

The Company participates in a qualified defined contribution plan sponsored by the Company. The qualified plan covers all employees of the Company who have completed six months of service. Plan assets are invested with Ascensus Retirement. The investments selected are at the discretion of the employee. The Company funds pension costs at 10% of the employee's annual compensation. The Company's expense contributions were \$120,154 and \$144,691 for the years ended September 30, 2021 and December 31, 2020. The Company participates in a non-qualified deferred compensation plan for highly compensated employees. The assets associated in funding this obligation is being held in a Rabbi Trust. The liability for the years ended September 30, 2021 and December 31, 2020 were \$323,573 and \$290,231.

**(13) Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

(1) The Company has 10,000 shares of \$200 par value common stock authorized and 5000 shares issued and outstanding. The Company has no preferred stock authorized, issued or outstanding.

(2) – (9) - Not applicable

(10) Changes in Unassigned Funds

a. Net gain of \$762,943

(11-13) - Not applicable.

**(14) Contingencies**

(A) Contingent Commitments

The Company has no commitments or contingent commitments to affiliates or other entities.

(B) Assessments

The Company is subject to guaranty fund and other assessments by the state in which it writes business.

(C- F) - Not applicable.

(D) All Other Contingencies

Various lawsuits have arisen in the ordinary course of the Company's business. The Company believes that its defenses are meritorious and the eventual outcome of those lawsuits will not have a material effect on the Company's financial position. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

(15) Leases  
 (1) The Company leases office space.  
 (2) The Company is not involved in non-cancelable lease terms.  
 (3) The Company has not entered into any sales and leaseback arrangements.

(16) Information about Financial Instruments with Off Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk  
 Not applicable.

(17) Sale, Transfer, and Servicing of Financial Assets and Extinguishment of Liabilities  
 (A – B) Not applicable  
 (C) Wash Sales - Not applicable.

(18) Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - Not applicable.

(19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - Not applicable.

(20) Fair Value Measurements

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Fair value measurements are based upon observable and unobservable inputs. Observable inputs reflect market data obtained from independent sources while unobservable inputs reflect the Company's view of market assumptions in the absence of observable market information. The Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs. In determining fair value, the Company uses various methods including market, income and cost approaches.

The Company categorizes its assets and liabilities reported at fair value in the quarterly statement into a three-level hierarchy based on the priority of the inputs to the valuation technique. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement of the instrument in its entirety.

The fair value hierarchy levels are as follows:

*Level 1.* Unadjusted quoted prices accessible in active markets for identical assets or liabilities at the measurement date and mutual funds where the value per share (unit) is determined and published daily and is the basis for current transactions.

*Level 2.* Unadjusted quoted prices for similar assets or liabilities in active markets or inputs (other than quoted prices) that are observable or that are derived principally from or corroborated by observable market data through correlation or other means.

*Level 3.* Prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. Inputs reflect management's best estimate about the assumptions market participants would use at the measurement date in pricing the asset or liability. Consideration is given to the risk inherent in both the method of valuation and the valuation inputs.

The Company periodically reviews its fair value hierarchy classifications for financial assets and liabilities. Changes in observability of significant valuation inputs identified during these reviews may trigger reclassifications. Reclassifications into/out of the fair value hierarchy levels are reported as transfers at the beginning of the period in which the change occurs.

To determine the fair value of bonds and stocks for which market quotations are available, independent pricing services are most often utilized. For these bonds and stocks, the Company obtains the pricing services' methodologies, inputs and assumptions and classifies the investments accordingly in the fair value hierarchy.

The following table represents assets and liabilities measured and reported at fair value:

	As of September 30, 2021			
	Level 1	Level 2	Level 3	Total
<b>Assets at fair value</b>				
Assets at fair value:				
Common Stocks, unaffiliated	\$ 9,423,959	\$ -	\$ -	\$ 9,423,959
Total assets at fair value	<u>\$ 9,423,959</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 9,423,959</u>
<b>Liabilities at fair value</b>				
Non Qualified Deferred Comp	\$ 323,573	\$ -	\$ -	\$ 323,573
Total liabilties at fair value	<u>\$ 323,573</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 323,573</u>

Transfers: Level 3 - Not applicable.

(21) Other Items - Not applicable.

(22) Events Subsequent - Subsequent events have been considered through September 30, 2021 for these statutory financial statements which are to be issued on November 15, 2021. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

(23) Reinsurance  
 (A - B) - Not applicable  
 (C) Reinsurance Assumed and Ceded

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE**

1. The following table summarizes ceded and assumed premiums and the related commission equity on September 30, 2021.

(000's) Description	Assumed		Ceded		Assumed Less Ceded	
	Unearned Premiums	Commissio n Equity	Unearned Premiums	Commission Equity	Unearned Premiums	Commission Equity
A. Affiliates	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
B. All Others	-	-	1,590,628	365,844	(1,590,628)	(365,844)
C. Totals	\$ -	\$ -	\$ 1,590,628	\$ 365,844	\$ (1,590,628)	\$ (365,844)
D.. Direct Unearned Premium Reserve	\$5,309,497					

(D - J) - Not applicable.

(24) Retrospectively Rated Contracts & Contracts Subject to Redetermination - Not applicable.

(25) Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

We continue to see ebbs and flows throughout the legal professional liability lines of insurance. The provisions in the incurred losses and loss adjustment expenses are always challenging to estimate based upon the type of claim and jurisdiction. OBLIC continues to report favorable developments over the years in part due to our claim's attorney years of experience. There have not been any additional reserves accrued for prior year-effects.

B. Information about Significant Changes in Methodologies and Assumptions

OBLIC continues to use the same methodology for reserving its claims or incidents when reported. There has not been any change in methodologies.

(26) Intercompany Pooling Arrangements - Not applicable.

(27) Structured Settlements - Not applicable.

(28) Health Care Receivables - Not applicable.

(29) Participating Policies - Not applicable.

(30) Premium Deficiency Reserves - No significant changes.

(31) High Deductibles - Not applicable.

(32) Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses - Not applicable.

(33) Asbestos/Environmental Reserves - Not applicable.

(34) Subscriber Savings Accounts - Not applicable.

(35) Multiple Peril Crop Insurance - Not applicable.

(36) Financial Guaranty Insurance - Not applicable.

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... If yes, complete and file the merger history data file with the NAIC. Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 04/30/2020

6.4 By what department or departments?  
The Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....	\$ .....	\$ .....
13. Amount of real estate and mortgages held in short-term investments: .....	\$ .....	\$ .....
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ]		
14.2 If yes, please complete the following:		
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....
15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input checked="" type="checkbox"/> ]		
15.2 If no, attach a description with this statement.		
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:		
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ .....	
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ .....	
16.3 Total payable for securities lending reported on the liability page. .....	\$ .....	

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank .....	425 Walnut St. Cincinnati, Ohio 45202 .....
FHLB .....	221 E. Fourth St. Cincinnati, Ohio 45202 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Madison Investment Advisors, LLC .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
110297 .....	Madison Investment Advisors, LLC .....	254900V4G6P208GA3B11 .....	SEC .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL							

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ ] No [ X ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	N					
2. Alaska .....	AK	N					
3. Arizona .....	AZ	N					
4. Arkansas .....	AR	N					
5. California .....	CA	N					
6. Colorado .....	CO	N					
7. Connecticut .....	CT	N					
8. Delaware .....	DE	N					
9. District of Columbia .....	DC	N					
10. Florida .....	FL	N					
11. Georgia .....	GA	N					
12. Hawaii .....	HI	N					
13. Idaho .....	ID	N					
14. Illinois .....	IL	N					
15. Indiana .....	IN	N					
16. Iowa .....	IA	N					
17. Kansas .....	KS	N					
18. Kentucky .....	KY	N					
19. Louisiana .....	LA	N					
20. Maine .....	ME	N					
21. Maryland .....	MD	N					
22. Massachusetts .....	MA	N					
23. Michigan .....	MI	N					
24. Minnesota .....	MN	N					
25. Mississippi .....	MS	N					
26. Missouri .....	MO	N					
27. Montana .....	MT	N					
28. Nebraska .....	NE	N					
29. Nevada .....	NV	N					
30. New Hampshire .....	NH	N					
31. New Jersey .....	NJ	N					
32. New Mexico .....	NM	N					
33. New York .....	NY	N					
34. North Carolina .....	NC	N					
35. North Dakota .....	ND	N					
36. Ohio .....	OH	L	9,026,914	8,428,511	2,214,109	1,141,815	5,634,502
37. Oklahoma .....	OK	N					5,151,665
38. Oregon .....	OR	N					
39. Pennsylvania .....	PA	N					
40. Rhode Island .....	RI	N					
41. South Carolina .....	SC	N					
42. South Dakota .....	SD	N					
43. Tennessee .....	TN	N					
44. Texas .....	TX	N					
45. Utah .....	UT	N					
46. Vermont .....	VT	N					
47. Virginia .....	VA	N					
48. Washington .....	WA	N					
49. West Virginia .....	WV	N					
50. Wisconsin .....	WI	N					
51. Wyoming .....	WY	N					
52. American Samoa .....	AS	N					
53. Guam .....	GU	N					
54. Puerto Rico .....	PR	N					
55. U.S. Virgin Islands .....	VI	N					
56. Northern Mariana Islands .....	MP	N					
57. Canada .....	CAN	N					
58. Aggregate Other Alien OT	XXX						
59. Totals	XXX	9,026,914	8,428,511	2,214,109	1,141,815	5,634,502	5,151,665
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

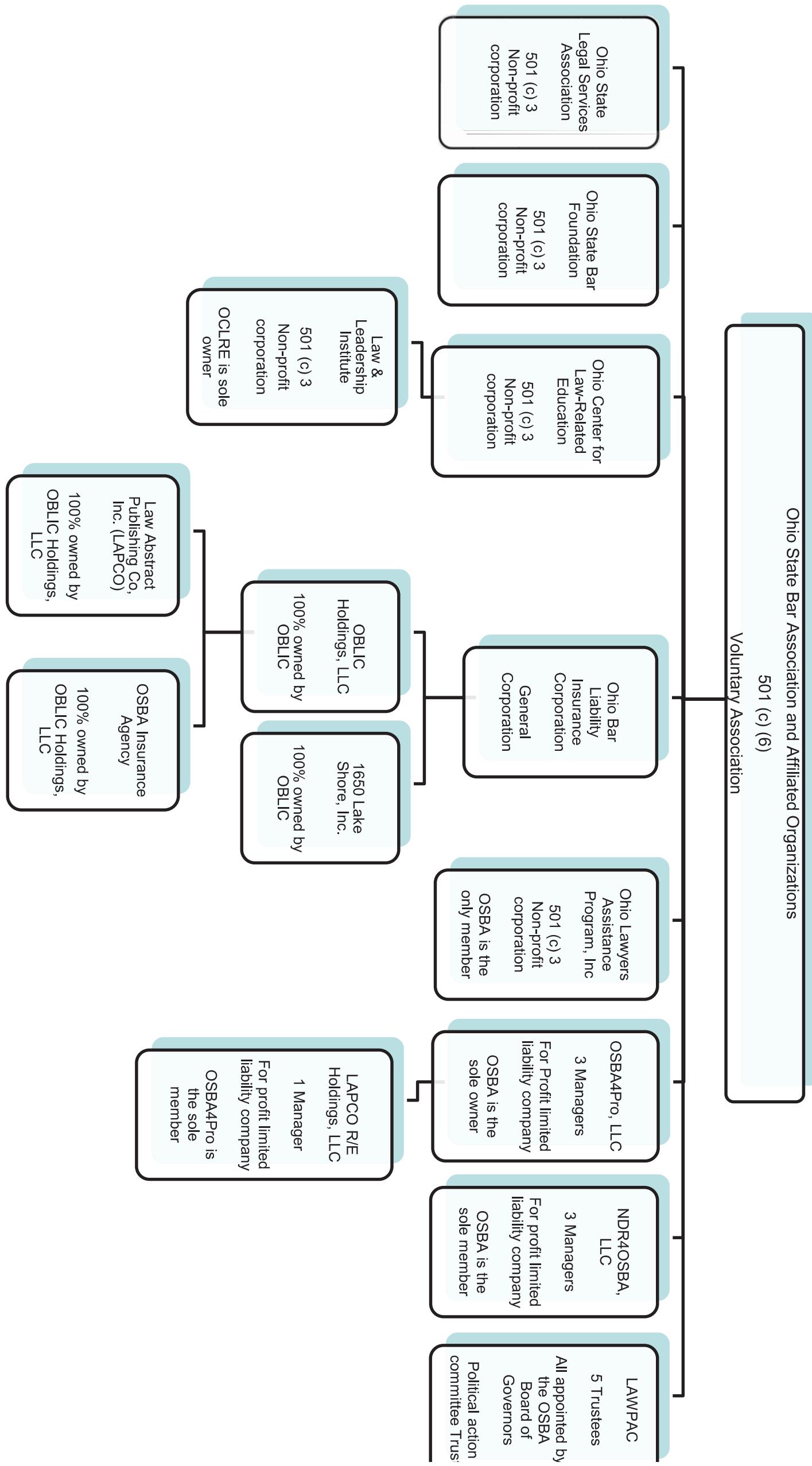
(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....1  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....  
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....

R - Registered - Non-domiciled RRGs.....  
Q - Qualified - Qualified or accredited reinsurer.....  
N - None of the above - Not allowed to write business in the state .....56

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....				
2. Allied Lines .....				
3. Farmowners multiple peril .....				
4. Homeowners multiple peril .....				
5. Commercial multiple peril .....				
6. Mortgage guaranty .....				
8. Ocean marine .....				
9. Inland marine .....				
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13. Group accident and health .....				
14. Credit accident and health .....				
15. Other accident and health .....				
16. Workers' compensation .....				
17.1 Other liability - occurrence .....	145,435			45.9
17.2 Other liability - claims-made .....	8,447,485	1,978,827	23.4	13.8
17.3 Excess workers' compensation .....				
18.1 Products liability - occurrence .....				
18.2 Products liability - claims-made .....				
19.1,19.2 Private passenger auto liability .....				
19.3,19.4 Commercial auto liability .....				
21. Auto physical damage .....				
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....				
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....				
35. Totals .....	8,592,920	1,978,827	23.0	14.4
<b>DETAILS OF WRITE-INS</b>				
3401. .....				
3402. .....				
3403. .....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....				

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....			
2. Allied Lines .....			
3. Farmowners multiple peril .....			
4. Homeowners multiple peril .....			
5. Commercial multiple peril .....			
6. Mortgage guaranty .....			
8. Ocean marine .....			
9. Inland marine .....			
10. Financial guaranty .....			
11.1 Medical professional liability - occurrence .....			
11.2 Medical professional liability - claims-made .....			
12. Earthquake .....			
13. Group accident and health .....			
14. Credit accident and health .....			
15. Other accident and health .....			
16. Workers' compensation .....			
17.1 Other liability - occurrence .....	37,857	145,435	136,502
17.2 Other liability - claims-made .....	2,481,860	8,881,479	8,292,009
17.3 Excess workers' compensation .....			
18.1 Products liability - occurrence .....			
18.2 Products liability - claims-made .....			
19.1,19.2 Private passenger auto liability .....			
19.3,19.4 Commercial auto liability .....			
21. Auto physical damage .....			
22. Aircraft (all perils) .....			
23. Fidelity .....			
24. Surety .....			
26. Burglary and theft .....			
27. Boiler and machinery .....			
28. Credit .....			
29. International .....			
30. Warranty .....			
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....			
35. Totals .....	2,519,717	9,026,914	8,428,511
<b>DETAILS OF WRITE-INS</b>			
3401. .....			
3402. .....			
3403. .....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....			
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....			

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**PART 3 (000 omitted)**

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2021 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)	
1. 2018 + Prior .....	2,687	57	2,744	184		184	1,802		387	2,189	(701)	330	(371)	
2. 2019 .....	1,787	138	1,925	633		633	1,600		73	1,673	446	(65)	381	
3. Subtotals 2019 + Prior .....	4,474	195	4,669	817		817	3,402		460	3,862	(255)	265	.10	
4. 2020 .....	2,950	335	3,285	1,077		1,077	2,163		65	2,228	290	(270)	.20	
5. Subtotals 2020 + Prior .....	7,424	530	7,954	1,894		1,894	5,565		525	6,090	35	(5)	.30	
6. 2021 .....	XXX	XXX	XXX	XXX		1,541	1,541	XXX	2,319	.85	2,404	XXX	XXX	
7. Totals .....	7,424	530	7,954	1,894		1,541	3,435	5,565	2,319	610	8,494	35	(5)	
8. Prior Year-End Surplus As Regards Policyholders		33,032										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
												1. 0.5	2. (0.9)	3. 0.4
													Col. 13, Line 7 As a % of Col. 1 Line 8	4. 0.1

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



2. Supplement A to Schedule T [Document Identifier 455]



3. Medicare Part D Coverage Supplement [Document Identifier 365]



4. Director and Officer Supplement [Document Identifier 505]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	106,727	99,944
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....	48,964	6,783
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	155,691	106,727
12. Deduct total nonadmitted amounts .....	155,691	106,727
13. Statement value at end of current period (Line 11 minus Line 12) .....	155,691	106,727

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	40,180,788	38,676,653
2. Cost of bonds and stocks acquired .....	8,071,509	13,132,469
3. Accrual of discount .....	(23,401)	37,348
4. Unrealized valuation increase (decrease) .....	940,844	937,085
5. Total gain (loss) on disposals .....	6,715	(9,568)
6. Deduct consideration for bonds and stocks disposed of .....	6,624,504	12,416,745
7. Deduct amortization of premium .....	174,293	196,730
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		20,275
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	42,377,659	40,180,788
12. Deduct total nonadmitted amounts .....	42,377,659	40,180,788
13. Statement value at end of current period (Line 11 minus Line 12) .....	42,377,659	40,180,788

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**SCHEDULE D - PART 1B**Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	29,283,135	805,158	2,097,567	(55,226)	28,919,552	29,283,135	27,935,500	29,473,520
2. NAIC 2 (a) .....	1,984,255	1,638,545		(7,269)	2,385,241	1,984,255	3,615,530	1,974,789
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	31,267,390	2,443,703	2,097,567	(62,495)	31,304,793	31,267,390	31,551,030	31,448,309
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds and Preferred Stock .....	31,267,390	2,443,703	2,097,567	(62,495)	31,304,793	31,267,390	31,551,030	31,448,309

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SI02

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Purchase xx	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals					

**NONE**

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of short-term investments acquired .....		405,924
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		400,000
7. Deduct amortization of premium .....		5,924
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....		
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)		

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards  
**N O N E**

Schedule DB - Part B - Verification - Futures Contracts  
**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open  
**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open  
**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE E - PART 2 - VERIFICATION**  
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,766,489	737,162
2. Cost of cash equivalents acquired .....	7,978,120	17,160,414
3. Accrual of discount .....	53	8
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	8,680,982	16,131,095
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	1,063,681	1,766,489
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	1,063,681	1,766,489

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
27915R-EG-8	ECONOMY PA MUN AUTH SWR REV		.06/30/2021	Piper Jaffray	400,000	.400,000			1.C FE
3137H2-ZE-7	FHR 5150 JE - CMO/RMBS		.09/13/2021	CANTOR FITZGERALD & CO	400,125	.400,000			1.A .403
3199999. Subtotal - Bonds - U.S. Special Revenues					800,125		800,000		403 XXX
337738-AT-5	FISERV INC		.07/12/2021	Piper Jaffray	544,290		.500,000		.578 2.B FE
502431-AL-3	L3HARRIS TECHNOLOGIES INC		.07/26/2021	Piper Jaffray	564,595		.500,000		.2,299 2.B FE
68389X-BM-6	ORACLE CORP		.07/12/2021	Piper Jaffray	529,660		.500,000		6,588 2.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					1,638,545		1,500,000		9,465 XXX
921937-81-9	VANGUARD INT-T B ETF		.09/30/2021	Charles Schwab & Co Inc	5,033				1.A 2
8099999. Subtotal - Bonds - SVO Identified Funds					5,033				XXX
8399997. Total - Bonds - Part 3						2,443,703		2,300,000	9,868 XXX
8399998. Total - Bonds - Part 5							XXX		XXX XXX
8399999. Total - Bonds						2,443,703		2,300,000	9,868 XXX
8999997. Total - Preferred Stocks - Part 3							XXX		XXX
8999998. Total - Preferred Stocks - Part 5							XXX		XXX XXX
8999999. Total - Preferred Stocks							XXX		XXX
808509-75-6	SCHWAB CAP-TOT STK MKT		.09/30/2021	Charles Schwab & Co Inc	13,072		1,000		
921931-20-0	VANGUARD BAL IDX ADM		.09/23/2021	Charles Schwab & Co Inc	10,393		.503		
921937-68-6	VANGUARD SC V I ADM		.09/24/2021	US BANK	56,904		4,190		
921937-69-4	VANGUARD MC V I ADM		.09/24/2021	US BANK		61,080		4,491	
921937-71-0	VANGUARD SC G ID ADM		.09/24/2021	US BANK		6,873		710	
921937-72-8	VANGUARD MC G I ADM		.09/24/2021	US BANK		9,919		1,061	
922908-72-8	VANGUARD TSM IDX ADM		.09/24/2021	US BANK		42,779		4,777	
9499999. Subtotal - Common Stocks - Mutual Funds						16,731		XXX	XXX
9799997. Total - Common Stocks - Part 3						16,731		XXX	XXX
9799998. Total - Common Stocks - Part 5							XXX		XXX XXX
9799999. Total - Common Stocks						16,731		XXX	XXX
9899999. Total - Preferred and Common Stocks						16,731		XXX	XXX
9999999 - Totals						2,460,433		XXX	9,868 XXX

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	For- eign	Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation, NAIC Design- ation Modifer and SVO Adminis- trative Symbol						
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Tempora- ry Impair- ment Recog- nized	13 Current Year's Other Than Tempora- ry Impair- ment Carrying Value	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value													
.36208S-DQ-2	GN 459211 - RMBS		09/01/2021	Paydown .....		.357	.357	.355	.355	.2		.2			.357						.17	.07/15/2029	1.A .....				
.36209R-CG-6	GN 478971 - RMBS		09/15/2021	Paydown .....		.208	.208	.208	.208	.1		.1									.12	.12/15/2029	1.A .....				
.36217V-AY-0	GN 204423 - RMBS		09/01/2021	Paydown .....		.34	.34	.34	.34												.2	.01/15/2030	1.A .....				
.38376G-SM-3	GNR 2010-096 C - CMBS		09/01/2021	Paydown .....	7,383	7,383	7,578	7,432		(49)		(49)										.210	.07/16/2044	1.A .....			
.38378S-4E-6	GNR 2013-007 AB - CMBS		09/01/2021	Paydown .....		.41,948	.41,948	.41,594	.41,605		.342		.342									.617	.01/16/2053	1.A .....			
.38378S-X5-3	GNR 2012-132 AE - CMBS		09/01/2021	Paydown .....		.2,942	.2,942	.2,907	.2,916		.26		.26									.40	.06/16/2053	1.A .....			
.38378N-EL-3	GNR 2013-156 AE - CMBS		09/01/2021	Paydown .....		.61,948	.61,948	.63,052	.62,610		(662)		(662)									.1,115	.10/16/2041	1.A .....			
.38380M-MB-1	GNR 2019-019 AC - CMBS		09/01/2021	Paydown .....		.21,934	.21,934	.21,708	.21,741		.193		.193									.424	.02/16/2051	1.A .....			
.38380M-UZ-2	GNR 2018-162 AB - CMBS		09/01/2021	Paydown .....		.31,022	.31,022	.30,595	.30,759		.263		.263									.623	.08/16/2045	1.A .....			
.83162C-TD-5	SBAP 2010-20 C A - ABS		09/01/2021	Paydown .....		.15,913	.15,913	.16,455	.16,457		(544)		(544)									.667	.03/01/2030	1.A .....			
.83162C-YH-0	SBAP 2017-20B B - ABS		08/01/2021	Paydown .....		.29,283	.29,283	.30,058	.30,077		(.794)		(.794)									.884	.02/01/2037	1.A .....			
.83164M-PZ-6	SBAP 510340 - RMBS		09/01/2021	Paydown .....		.44,449	.44,449	.48,783	.49,575		.50,920		(2,137)									.298	.12/25/2043	1.A .....			
0599999. Subtotal - Bonds - U.S. Governments						257,421	261,755	264,119	265,114		(3,359)		(3,359)									261,755	(4,334)	(4,334)	4,909	XXX	XXX
.97989C-DF-8	WOOD-RIDGE BORO N.J.		09/15/2021	Call @ 100.00 .....		.55,000	.55,000	.57,955	.55,679		(.129)		(.129)									.55,550	(.550)	(.550)	.2,415	.09/15/2024	1.C FE .....
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						55,000	55,000	57,955	55,679		(.129)		(.129)									55,550	(.550)	(.550)	.2,415	XXX	XXX
.29270C-IW-1	ENERGY NORTHWEST WASH ELEC REV		07/01/2021	Call @ 100.00 .....		.350,000	.350,000	.388,809	.352,251		(2,251)		(2,251)									.350,000	(.550)	(.550)	.17,500	.07/01/2022	1.C FE .....
.3132Y0-RT-9	FH 056797 - RMBS		09/01/2021	Paydown .....		.22,065	.22,065	.22,855	.23,039		(.974)		(.974)									.22,065			.588	.06/01/2048	1.A .....
.3133EJ-UT-4	FEDERAL FARM CREDIT BANKS FUNDING CORP		07/23/2021	Call @ 100.00 .....		.100,000	.100,000	.100,000	.100,000		.100,000		.100,000									.3,450	.07/23/2025	1.A .....			
.3133KY-SK-6	FH RB5022 - RMBS		09/01/2021	Paydown .....		.22,816	.22,816	.23,362	.23,400		(.584)		(.584)									.22,816			.458	.11/01/2039	1.A .....
.3133KY-U5-6	FH RB5104 - RMBS		09/01/2021	Paydown .....		.16,800	.16,800	.17,125	.17,125		(.325)		(.325)									.16,800			.131	.03/01/2041	1.A .....
.3136A8-T4-2	FNR 2012-113 MA - CMO/RMBS		09/01/2021	Paydown .....		.34,366	.34,366	.34,490	.34,405		(.39)		(.39)									.34,366			.675	.08/25/2041	1.A .....
.3136A9-4F-2	FNR 2012-118 PC - CMO/RMBS		09/01/2021	Paydown .....		.14,052	.14,052	.12,721	.13,063		.989		.989									.14,052			.162	.06/25/2042	1.A .....
.3136AP-QC-9	FNR 2015-46 MD - CMO/RMBS		09/01/2021	Paydown .....		.23,548	.23,548	.23,779	.23,610		(.62)		(.62)									.23,548			.460	.05/25/2043	1.A .....
.3136AT-LD-4	FNR 2016-48 QP - CMO/RMBS		09/01/2021	Paydown .....		.20,594	.20,594	.20,935	.20,784		(.190)		(.190)									.20,594			.413	.01/25/2045	1.A .....
.3136AV-V9-7	FNR 2017-22 BE - CMO/RMBS		09/01/2021	Paydown .....		.19,765	.19,765	.20,506	.20,013		(.249)		(.249)									.19,765			.457	.08/25/2040	1.A .....
.3136B8-XH-8	FNR 2018-94 VE - CMO/RMBS		09/01/2021	Paydown .....		.10,010	.10,010	.10,397	.10,216		(.207)		(.207)									.10,010			.234	.04/25/2030	1.A .....
.3136BA-Z4-9	FNR 2020-45 BA - CMO/RMBS		09/01/2021	Paydown .....		.29,346	.29,346	.29,979	.29,997		(.650)		(.650)									.29,346			.398	.02/25/2044	1.A .....
.3136BB-4K-5	FNR 2020-68 A - CMO/RMBS		09/01/2021	Paydown .....		.47,662	.47,662	.49,085	.49,078		(.1415)		(.1415)									.47,662			.650	.09/25/2044	1.A .....
.3136BC-K4-1	FNR 2020-92 NB - CMO/RMBS		09/01/2021	Paydown .....		.41,833	.41,833	.42,015	.42,013		(.180)		(.180)									.41,833			.300	.05/25/2050	1.A .....
.3136BC-SC-5	FNR 2020-78 CA - CMO/RMBS		09/01/2021	Paydown .....		.29,829	.29,829	.30,542	.30,526		(.697)		(.697)									.29,829			.405	.10/25/2044	1.A .....
.3136BH-EN-5	FNR 2021-26 YB - CMO/RMBS		09/01/2021	Paydown .....		.25,885	.25,885	.26,050	.26,050		(.166)		(.166)									.25,885			.75	.05/25/2050	1.A .....
.3137A2-VV-0	FHR 3752 LW - CMO/RMBS		09/01/2021	Paydown .....		.19,554	.19,554	.19,615	.19,568		(.14)		(.14)									.19,554			.391	.06/15/2039	1.A .....
.3137BB-A9-1	FHR 4337 BA - CMO/RMBS		09/01/2021	Paydown .....		.29,087	.29,087	.29,037	.29,042		.45		.45									.29,087			.590	.02/15/2046	1.A .....
.3137BM-2S-4	FHR 4526 PJ - CMO/RMBS		09/01/2021	Paydown .....		.19,340	.19,340	.19,585	.19,429		(.89)		(.89)									.19,340			.379	.01/15/2042	1.A .....
.3137BM-JH-6	FHR 4535 JP - CMO/RMBS		09/01/2021	Paydown .....		.18,082	.18,082	.18,342	.18,284		(.202)		(.202)									.18,082			.356	.11/15/2043	1.A .....
.3137BY-6U-9	FHR 4680 KG - CMO/RMBS		08/16/2021	Paydown .....		.3,977	.3,977	.4,072	.3,997		(.20)		(.20)									.3,977			.73	.09/15/2042	1.A .....
.3137F4-VG-4	FHR 4774 KA - CMO/RMBS		09/01/2021	Paydown .....		.21,126	.21,126	.22,037	.21,780		(.654)		(.654)									.21,126			.615	.12/15/2045	1.A .....
.3137FD-F9-8	FHR 4757 CA - CMO/RMBS		09/01/2021	Paydown .....		.19,061	.19,061	.19,293	.19,137		(.77)		(.77)									.19,061			.442	.07/15/2045	1.A .....
.3137FF-6G-7	FHR 5078 WB - CMO/RMBS		09/01/2021	Paydown .....		.46,345	.46,345	.47,041	.46,345		(.695)		(.695)									.46,345			.408	.02/15/2047	1.A ..

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain or Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value									
8399997. Total - Bonds - Part 4					2,092,611		2,096,945	2,187,920	2,021,387			(14,036)		(14,036)			2,097,567		(4,955)	(4,955)	62,299	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX		XXX	XXX		XXX		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds					2,092,611		2,096,945	2,187,920	2,021,387			(14,036)		(14,036)			2,097,567		(4,955)	(4,955)	62,299	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						XXX																XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX		XXX	XXX	XXX		XXX		XXX		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX															XXX	XXX
9799997. Total - Common Stocks - Part 4						XXX																XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX		XXX	XXX	XXX		XXX		XXX		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX															XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX															XXX	XXX
9999999 - Totals					2,092,611		XXX	2,187,920	2,021,387			(14,036)		(14,036)			2,097,567		(4,955)	(4,955)	62,299	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE**  
**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Key Bank ..... Columbus, Ohio		0.000			926,618	1,242,851	718,495	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX			926,618	1,242,851	718,495	XXX
FHLB ..... Cincinnati, Ohio					690	690	690	
0299998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX			690	690	690	XXX
0399999. Total Cash on Deposit	XXX	XXX			927,308	1,243,541	719,185	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	100	100	100	XXX
0599999. Total - Cash	XXX	XXX			927,408	1,243,641	719,285	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE  
**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds								
1099999. Total - All Other Government Bonds								
1799999. Total - U.S. States, Territories and Possessions Bonds								
2499999. Total - U.S. Political Subdivisions Bonds								
3199999. Total - U.S. Special Revenues Bonds								
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds								
4899999. Total - Hybrid Securities								
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								
6099999. Subtotal - SVO Identified Funds								
6599999. Subtotal - Unaffiliated Bank Loans								
7699999. Total - Issuer Obligations								
7799999. Total - Residential Mortgage-Backed Securities								
7899999. Total - Commercial Mortgage-Backed Securities								
7999999. Total - Other Loan-Backed and Structured Securities								
8099999. Total - SVO Identified Funds								
8199999. Total - Affiliated Bank Loans								
8299999. Total - Unaffiliated Bank Loans								
8399999. Total Bonds								
31846V-54-2 .....	FIRST AMER:TRS OBG Z .....		09/30/2021	.010		1,056,461	.10	.81
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						1,056,461	10	81
808515-20-9 .....	SCHWAB:GOVT MONEY SWP .....		09/22/2021	.010		7,220		
8699999. Subtotal - All Other Money Market Mutual Funds						7,220		
9999999 - Total Cash Equivalents						1,063,681	10	81