

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

PHENIX MUTUAL FIRE INSURANCE COMPANY

NAIC Group Code 0291 0291 NAIC Company Code 21175 Employers ID Number 02-0178290  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 01/04/1886 Commenced Business 01/04/1886

Statutory Home Office 471 EAST BROAD STREET, COLUMBUS, OH, US 43215  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 471 EAST BROAD STREET  
(Street and Number)

COLUMBUS, OH, US 43215, 614-225-8211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 471 EAST BROAD STREET, COLUMBUS, OH, US 43215  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 471 EAST BROAD STREET  
(Street and Number)

COLUMBUS, OH, US 43215, 614-225-8211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address ENCOVA.COM

Statutory Statement Contact AMY E. KUHLMAN, 614-225-8285  
(Name) (Area Code) (Telephone Number)

ACCOUNTING@ENCOVA.COM, 614-225-8330  
(E-mail Address) (FAX Number)

OFFICERS

CHIEF EXECUTIVE OFFICER THOMAS JOSEPH OBROKTA JR. TREASURER JAMES CHRISTOPHER HOWAT  
SECRETARY MARCHELLE ELAINE MOORE PRESIDENT GRADY BRENDAN CAMPBELL

OTHER

GREGORY ARTHUR BURTON, EXECUTIVE CHAIR

DIRECTORS OR TRUSTEES

JEFFREY LEIGH BENINTENDI GRADY BRENDAN CAMPBELL JAMES CHRISTOPHER HOWAT  
THOMAS JOSEPH OBROKTA JR. MATTHEW CARL WILCOX

State of OH SS:  
County of FRANKLIN

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above,

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	45,739,813		45,739,813	37,008,775
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	9,585,243		9,585,243	10,380,321
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....375,233 ), cash equivalents (\$ .....2,293,080 ) and short-term investments (\$ ..... ) .....	2,668,312		2,668,312	6,151,294
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....	0		0	2,896,191
9. Receivables for securities .....	5,000		5,000	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	57,998,368	0	57,998,368	56,436,581
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	311,325		311,325	291,584
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,837,889	398,780	1,439,109	11,945,400
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	724,436		724,436	904,051
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,056,870		1,056,870	151,282
16.2 Funds held by or deposited with reinsured companies .....	7,851,683		7,851,683	6,925,659
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	136,583
18.2 Net deferred tax asset .....	499,615		499,615	602,485
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	1,235		1,235	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	1,137,341	28,205	1,109,136	1,160,017
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	71,418,762	426,985	70,991,777	78,553,642
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	71,418,762	426,985	70,991,777	78,553,642
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Equities and deposits in pools and associations .....	1,022,101	0	1,022,101	1,023,793
2502. Premium Tax Recoverable .....	83,797	0	83,797	0
2503. Misc. Other Assets .....	3,295	57	3,238	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	28,148	28,148	0	136,224
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,137,341	28,205	1,109,136	1,160,017

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ .....6,219,081 ) .....	26,842,443	26,230,491
2. Reinsurance payable on paid losses and loss adjustment expenses .....	978,069	(31,496)
3. Loss adjustment expenses .....	4,553,602	4,481,266
4. Commissions payable, contingent commissions and other similar charges .....	666,641	683,104
5. Other expenses (excluding taxes, licenses and fees) .....	1,579,772	1,643,351
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	140,308	181,451
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses)) .....	19,900	
7.2 Net deferred tax liability .....		
8. Borrowed money \$ ..... and interest thereon \$ .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ .....3,913,630 and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ .....0 for medical loss ratio rebate per the Public Health Service Act) .....	8,300,036	7,971,882
10. Advance premium .....	284,925	218,776
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		(235)
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	1,607,110	23,071
13. Funds held by company under reinsurance treaties .....		2,217,858
14. Amounts withheld or retained by company for account of others .....	91,016	94,297
15. Remittances and items not allocated .....	(61,804)	(103,582)
16. Provision for reinsurance (including \$ ..... certified) .....		0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	558,017	10,956,816
20. Derivatives .....	0	0
21. Payable for securities .....		0
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	64,489	32,427
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	45,624,524	54,599,477
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	45,624,524	54,599,477
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	5,000,000	
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....		0
34. Gross paid in and contributed surplus .....		
35. Unassigned funds (surplus) .....	20,367,253	23,954,164
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	25,367,253	23,954,164
38. Totals (Page 2, Line 28, Col. 3)	70,991,777	78,553,641
<b>DETAILS OF WRITE-INS</b>		
2501. Escheatable funds .....	72,251	32,427
2502. Miscellaneous Liabilities .....	(7,762)	0
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	64,489	32,427
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

	1	2	3
	Current Year to Date	Prior Year to Date	Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ .....5,454,306 )	6,092,392	7,272,572	9,548,684
1.2 Assumed (written \$ .....13,280,562 )	12,953,748	13,109,905	17,412,234
1.3 Ceded (written \$ .....5,513,563 )	6,153,993	7,493,475	9,787,291
1.4 Net (written \$ .....13,221,305 )	12,892,147	12,889,002	17,173,627
DEDUCTIONS:			
2. Losses incurred (current accident year \$ .....8,549,222 ):			
2.1 Direct	3,091,147	7,394,941	9,187,981
2.2 Assumed	6,618,181	7,264,492	9,071,639
2.3 Ceded	3,120,832	7,420,545	9,230,078
2.4 Net	6,588,496	7,238,888	9,029,542
3. Loss adjustment expenses incurred	1,925,064	1,987,730	2,580,173
4. Other underwriting expenses incurred	3,992,527	4,026,524	5,495,525
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	12,506,087	13,253,142	17,105,240
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	386,060	(364,140)	68,387
INVESTMENT INCOME			
9. Net investment income earned	882,439	1,068,906	1,338,881
10. Net realized capital gains (losses) less capital gains tax of \$ .....146,017	894,580	(693,634)	(472,152)
11. Net investment gain (loss) (Lines 9 + 10)	1,777,019	375,272	866,729
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ ..... amount charged off \$ .....48,120 )	(48,120)	64,822	(59,904)
13. Finance and service charges not included in premiums	22,345	33,143	44,780
14. Aggregate write-ins for miscellaneous income	23,851	37,281	53,687
15. Total other income (Lines 12 through 14)	(1,924)	135,246	38,563
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,161,155	146,378	973,679
17. Dividends to policyholders	26,857	13,482	19,651
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,134,298	132,896	954,028
19. Federal and foreign income taxes incurred	498,791	(57)	(37,251)
20. Net income (Line 18 minus Line 19)(to Line 22)	1,635,507	132,953	991,279
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	23,954,164	21,553,100	21,553,100
22. Net income (from Line 20)	1,635,507	132,953	991,279
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....200,330	374,451	(66,447)	1,148,800
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(295,453)	647,055	46,402
27. Change in nonadmitted assets	142,040	(1,085,719)	(268,858)
28. Change in provision for reinsurance		0	0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in	5,000,000		
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	(5,443,456)	0	483,441
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,413,089	(372,158)	2,401,064
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	25,367,253	21,180,942	23,954,164
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Miscellaneous income or expense	23,851	37,281	53,687
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	23,851	37,281	53,687
3701. Miscellaneous gains / losses	(443,456)		483,441
3702. Reclass for organizational restructure	(5,000,000)	0	
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	(5,443,456)	0	483,441

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	22,760,848	12,911,269	9,004,439
2. Net investment income .....	1,016,876	1,237,697	129,265
3. Miscellaneous income .....	(1,924)	135,246	22,236
4. Total (Lines 1 to 3) .....	23,775,801	14,284,212	9,155,940
5. Benefit and loss related payments .....	15,191,179	6,995,564	9,414,784
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,966,441	5,727,537	6,113,705
8. Dividends paid to policyholders .....	26,622	34,816	47,893
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	534,891	(393,271)	(393,271)
10. Total (Lines 5 through 9) .....	21,719,134	12,364,646	15,183,111
11. Net cash from operations (Line 4 minus Line 10) .....	2,056,667	1,919,566	(6,027,171)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	4,981,518	4,205,366	6,532,951
12.2 Stocks .....	2,190,469	99,455	937,861
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	2,965,655	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	101,506	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	10,137,642	4,406,327	7,470,812
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	13,820,347	4,941,100	7,351,644
13.2 Stocks .....	255,078	227,410	366,491
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	45,458	84,797	118,146
13.6 Miscellaneous applications .....	5,000	10,000	200,000
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	14,125,883	5,263,307	8,036,281
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(3,988,241)	(856,980)	(565,469)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(1,551,405)	3,089,303	10,204,111
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(1,551,405)	3,089,303	10,204,111
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(3,482,979)	4,151,889	3,611,471
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	6,151,290	2,539,819	2,539,819
19.2 End of period (Line 18 plus Line 19.1) .....	2,668,311	6,691,708	6,151,290

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-Cash Exchanges .....	63,026		
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NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

This statement has been completed in accordance with the accounting practices and procedures prescribed or permitted by the National Association of Insurance Commissioners (NAIC) and the State of Ohio. A reconciliation of the company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below.

	SSAP #	F/S Page	F/S Line #	2021		2020	
NET INCOME							
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$	1,635,507	\$	991,279
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:							
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$	1,635,507	\$	991,279
SURPLUS							
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$	25,367,253	\$	23,954,164
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$	25,367,253	\$	23,954,164

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles as described in the NAIC Annual Statement Instructions and the Accounting Policies and Procedures Manual requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

C. Accounting Policy

- (2) Bonds not backed by other loans are stated at amortized cost using the scientific amortization method.
- (6) Loan-backed securities are stated in accordance with the guidance provided in SSAP No. 43R: Loan-backed and Structured Securities. The retrospective adjustment method is used to value these securities

D. Going Concern

Management has concluded that there is no substantial doubt about the Company's ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

No significant changes

NOTE 3 Business Combinations and Goodwill

No significant changes

NOTE 4 Discontinued Operations

Not Applicable

NOTE 5 Investments

A.-C.

Not applicable

D. Loan-Backed Securities

- (1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values and internal estimates.
- (2)-(3)  
Not applicable
- (4)
- a) The aggregate amount of unrealized losses:
- |                        |    |        |
|------------------------|----|--------|
| 1. Less than 12 Months | \$ | 64,852 |
| 2. 12 Months or Longer |    |        |
- b)The aggregate related fair value of securities with unrealized losses:
- |                        |    |           |
|------------------------|----|-----------|
| 1. Less than 12 Months | \$ | 6,919,949 |
| 2. 12 Months or Longer |    |           |
- (5) The Company performed an analysis of loan-backed securities and determined that exposure to credit risk was not a factor and did not warrant any other-than-temporary impairments.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

NOTES TO FINANCIAL STATEMENTS

- H. Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable
- M. Working Capital Finance Investments  
Not applicable
- N. Offsetting and Netting of Assets and Liabilities  
Not applicable
- R. Reporting Entity's Share of Cash Pool by Asset Type  
Not applicable

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies  
No significant changes

NOTE 7 Investment Income  
No significant changes

NOTE 8 Derivative Instruments  
Not applicable

NOTE 9 Income Taxes  
No significant changes

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

- A. Effective January 1, 2021, a mutual holding company went into effect. Through this conversion, the Company and its mutual affiliates became stock companies under Encova Holdings, Inc., which is 100% owned by Encova Mutual Insurance Group, Inc.
- B. Effective January 1, 2021, \$5,000,000 was reclassified out of unassigned surplus into common stock with the issuance of 1,000 shares to Encova Holdings, Inc. at a par value of \$5,000.

NOTE 11 Debt

- A. FHLB (Federal Home Loan Bank) Agreements  
No significant changes
- B. FHLB (Federal Home Loan Bank) Agreements  
The company did not have any Federal Home Loan Bank agreements in place during the periods reported.

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan  
No significant changes

NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations  
No significant changes

NOTE 14 Liabilities, Contingencies and Assessments  
No significant changes

NOTE 15 Leases  
No significant changes

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk  
No significant changes

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales  
Not applicable
- B. Transfer and Servicing of Financial Assets  
Not applicable
- C. Wash Sales  
Not applicable

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans  
No significant changes

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators  
No significant changes

NOTE 20 Fair Value Measurements  
Inputs Used for Assets and Liabilities Measured and Reported at Fair Value

SSAP No. 100, Fair Value Measurements, clarifies the definition of estimated fair value and establishes a hierarchy for measuring estimated fair value. The hierarchy established by this standard consists of three levels to indicate the quality of the estimated fair value measurements as described below:

Level 1 - Quoted Prices in Active Markets for Identical Assets and Liabilities: Unadjusted quoted prices for identical assets or liabilities in active markets that are readily and regularly obtainable.

Level 2 - Significant Other Observable Inputs: Quoted prices in markets that are not active or inputs that are observable either directly or indirectly. These inputs can include quoted prices for similar but not identical assets or liabilities other than quoted prices in Level 1.

Level 3 - Significant Unobservable inputs: Unobservable inputs that are supported by little or no market activity and are significant to the determination or estimated fair value of assets or liabilities. Unobservable inputs reflect the entity's assumptions about the assumptions that market participants would use in pricing the asset or liability.

NOTES TO FINANCIAL STATEMENTS

A.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Common Stocks, unaffiliated	\$ 9,585,243				\$ 9,585,243
Total assets at fair value/NAV	\$ 9,585,243	\$ -	\$ -	\$ -	\$ 9,585,243

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Not Applicable

(3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3. The Company had no transfers into or out of any of the levels during the period reported.

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Not Applicable

(5) Fair Value Disclosures for Derivative Assets and Liabilities

Not Applicable

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not Applicable

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

The following tables reflect the estimated fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and ventures). The estimated fair values are categorized into the three-level fair value hierarchy as described above.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 47,631,752	\$ 45,739,813		\$ 47,631,752			
Common Stocks, unaffiliated	\$ 9,585,243	\$ 9,585,243	\$ 9,585,243				

Bonds and Common Stocks

When available, the estimated fair values for bonds, including loan-backed and structured securities, and unaffiliated common stocks are based on quoted prices in active markets that are readily and regularly obtainable. Generally, these investments are classified in Level 1. Generally, these are the most liquid of the Company's securities holdings and valuation of these securities does not involve management's judgment.

When quoted prices in active markets are not available, the determination of estimated fair value is based on market standard valuation methodologies, giving priority to observable inputs. The significant inputs to the market standard valuation methodologies for certain types of securities with reasonable levels of price transparency are inputs that are observable in the market or can be derived principally from or corroborated by observable market data. Generally, these investments are classified as Level 2.

When observable inputs are not available, the market standard valuation methodologies for determining the estimated fair value of certain types of securities that trade infrequently, and therefore have little or no price transparency, rely on inputs that are significant to the estimated fair value that are not observable in the market or cannot be derived principally from or corroborated by observable market data. These unobservable inputs can be based in large part on management's judgment or estimation, and cannot be supported by reference or market activity. Even though these inputs are unobservable, management believes they are consistent with what other market participants would use when pricing such securities and are considered appropriate given the circumstances. Generally, these investments are classified as Level 3.

D. Not Practicable to Estimate Fair Value

Not Applicable

E. NAV Practical Expedient Investments

Not Applicable

NOTE 21 Other Items

No significant changes

NOTE 22 Events Subsequent

Subsequent events have been considered through November 12, 2021 for these statutory financial statments which are to be issued on November 12, 2021. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements

NOTE 23 Reinsurance

No significant changes



NOTES TO FINANCIAL STATEMENTS

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

- F. Risk Sharing Provisions of the Affordable Care Act
- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [ ] No [X]
- (2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

Not Applicable
- (3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

Not Applicable
- (4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Not Applicable
- (5) ACA Risk Corridors Receivable as of Reporting Date

Not Applicable

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

- A. Change in Incurred Losses and Loss Adjustment Expenses
- Reserves for the Company's incurred losses and loss adjustment expenses (after intercompany pooling) attributable to insured events of prior years reflect favorable development totaling \$2,917,347. The development can be attributed primarily to the re-estimation of unpaid losses and loss adjustment expenses in the workers' compensation, commercial auto liability, private passenger auto liability, commercial multi perils, auto physical damage, products liability and other lines of buisness. The favorable development in these lines was slightly offset by losses in homeowners and farmowners. The changes reflected in these lines were generally the result of recent development trends. There were not any premium adjustments made as a result of this loss and loss adjustment expense development.

NOTE 26 Intercompany Pooling Arrangements

No significant changes

NOTE 27 Structured Settlements

No significant changes

NOTE 28 Health Care Receivables

No significant changes

NOTE 29 Participating Policies

No significant changes

NOTE 30 Premium Deficiency Reserves

No significant changes

NOTE 31 High Deductibles

No significant changes

NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant changes

NOTE 33 Asbestos/Environmental Reserves

No significant changes

NOTE 34 Subscriber Savings Accounts

No significant changes

NOTE 35 Multiple Peril Crop Insurance

No significant changes

NOTE 36 Financial Guaranty Insurance

- B. Schedule of insured financial obligations at the end of the period
- No significant changes

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]
- 1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☒ ] No [ ☐ ]
- 2.2

If yes, date of change: .....

01/01/2021
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ ☒ ] No [ ☐ ]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☐ ] No [ ☒ ]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☐ ] No [ ☒ ]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

If yes, complete and file the merger history data file with the NAIC.

Yes [ ☐ ] No [ ☒ ]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- |                |                   |                   |
|----------------|-------------------|-------------------|
| 1              | 2                 | 3                 |
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....

If yes, attach an explanation.

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2018
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2018
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

06/03/2020
- 6.4

By what department or departments?  
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☐ ] No [ ☒ ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☐ ] No [ ☒ ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ X ] No [ ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....   |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....   |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....   |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....   |
| 14.26 All Other .....   | \$ .....0   | \$ .....   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....  | \$ .....   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ] N/A [ X ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0
- 16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon .....	500 Grant Street One Mellon Center, Suite #1035, Pittsburgh, PA 15258 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Chickasaw Capital Management, LLC. ....	U.....
New England Asset Management, Inc. ....	U.....
Norhtern Trust Investments, Inc. ....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ X ] No [ ]
- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
127398 .....	Chickasaw Capital Management, LLC. ....	254900X6FR1LTWA2B610 .....	SEC .....	NO.....
105900 .....	New England Asset Management, Inc. ....	KUR85E5PS4GQFZTCFC13 .....	SEC .....	NO.....
105780 .....	Norhtern Trust Investments, Inc. ....	BEL4B8X7EHJU845Y2N39 .....	SEC .....	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? .....  
If yes, attach an explanation.

Yes [ ] No [ X ] N/A [ ]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? .....  
If yes, attach an explanation.

Yes [ ] No [ X ]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled? .....

Yes [ ] No [ X ]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves" ) discounted at a rate of interest greater than zero? .....

Yes [ ] No [ X ]
- 4.2

If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5.

Operating Percentages:
- 5.1

A&H loss percent .....

%
- 5.2

A&H cost containment percent .....

%
- 5.3

A&H expense percent excluding cost containment expenses .....

%
- 6.1

Do you act as a custodian for health savings accounts? .....

Yes [ ] No [ X ]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....
- 6.3

Do you act as an administrator for health savings accounts? .....

Yes [ ] No [ X ]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date .....\$.....
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....

Yes [ X ] No [ ]
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .....

Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL	N		0		0		0
2. Alaska.....AK	N		0		0		0
3. Arizona.....AZ	N		0		0		0
4. Arkansas.....AR	N		0		0		0
5. California.....CA	N		0		0		0
6. Colorado.....CO	N		0		0		0
7. Connecticut.....CT	N		0		0		0
8. Delaware.....DE	N		0		0		0
9. District of Columbia.....DC	N		0		0		0
10. Florida.....FL	N		0		0		0
11. Georgia.....GA	N		0		0		0
12. Hawaii.....HI	N		0		0		0
13. Idaho.....ID	N		0		0		0
14. Illinois.....IL	N		0		0		0
15. Indiana.....IN	N		0		0		0
16. Iowa.....IA	N		0		0		0
17. Kansas.....KS	N		0		0		0
18. Kentucky.....KY	N		0		0		0
19. Louisiana.....LA	N		0		0		0
20. Maine.....ME	L	502,248	712,642	511,268	55,062	223,557	(52,856)
21. Maryland.....MD	N		0		0		0
22. Massachusetts.....MA	L	1,710,931	2,510,148	562,920	336,235	781,813	(629,870)
23. Michigan.....MI	N		0		0		0
24. Minnesota.....MN	N		0		0		0
25. Mississippi.....MS	N		0		0		0
26. Missouri.....MO	N		0		0		0
27. Montana.....MT	N		0		0		0
28. Nebraska.....NE	N		0		0		0
29. Nevada.....NV	N		0		0		0
30. New Hampshire.....NH	L	1,091,241	1,178,741	1,436,694	955,401	1,700,205	3,550,525
31. New Jersey.....NJ	N		0		0		0
32. New Mexico.....NM	N		0		0		0
33. New York.....NY	N		0		0		0
34. North Carolina.....NC	N		0		0		0
35. North Dakota.....ND	N		0		0		0
36. Ohio.....OH	L		0		0		0
37. Oklahoma.....OK	N		0		0		0
38. Oregon.....OR	N		0		0		0
39. Pennsylvania.....PA	N		0		0		0
40. Rhode Island.....RI	L	1,547,835	1,700,432	2,376,976	1,274,210	4,743,475	6,474,060
41. South Carolina.....SC	L	507,687	538,543	1,589,215	4,969,677	7,025,306	10,468,102
42. South Dakota.....SD	N		0		0		0
43. Tennessee.....TN	N		0		0		0
44. Texas.....TX	N		0		0		0
45. Utah.....UT	N		0		0		0
46. Vermont.....VT	L	94,364	194,445	9,272	7,453	9,700	24,866
47. Virginia.....VA	N		0		0		0
48. Washington.....WA	N		0		0		0
49. West Virginia.....WV	N		0		0		0
50. Wisconsin.....WI	N		0		0		0
51. Wyoming.....WY	N		0		0		0
52. American Samoa.....AS	N		0		0		0
53. Guam.....GU	N		0		0		0
54. Puerto Rico.....PR	N		0		0		0
55. U.S. Virgin Islands.....VI	N		0		0		0
56. Northern Mariana Islands.....MP	N		0		0		0
57. Canada.....CAN	N		0		0		0
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	5,454,306	6,834,951	6,486,345	7,598,038	14,484,056	19,834,827
DETAILS OF WRITE-INS							
58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....7

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....0

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....0

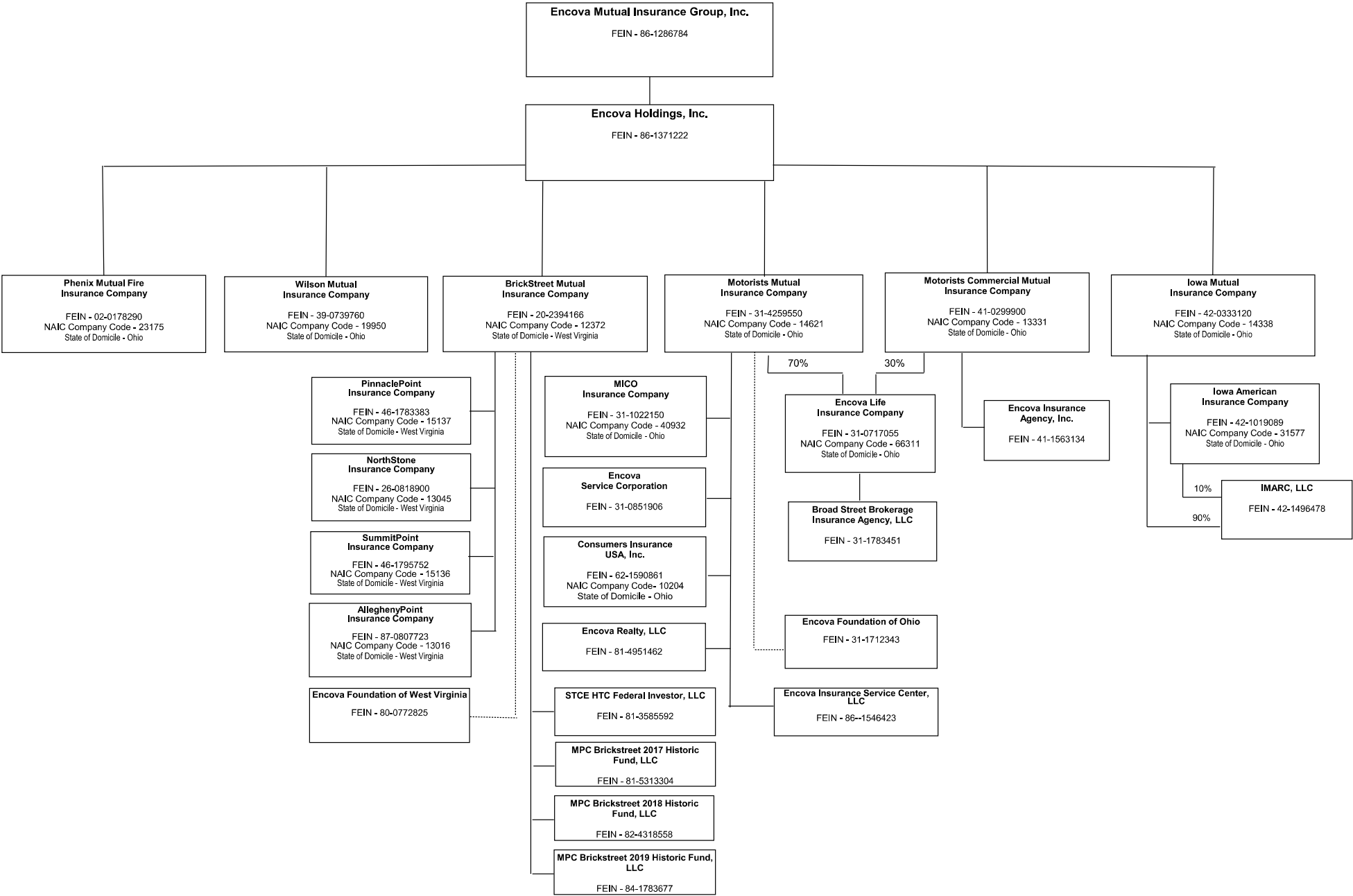
R - Registered - Non-domiciled RRGs.....0

Q - Qualified - Qualified or accredited reinsurer.....0

N - None of the above - Not allowed to write business in the state.....50

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART





STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0000		.00000	31-1783451	0	0		Broad Street Brokerage Insurance Agency, LLC	.OH	NIA	Encova Life Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0291	Encova Mutual Insurance Group	.10204	62-1590861	0	0		Consumers Insurance USA, Inc.	.OH	IA	Motorists Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0000		.00000	42-1496478	0	0		IMARC, LLC	IA	NIA	Iowa Mutual Insurance Company	Ownership	90.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0291	Encova Mutual Insurance Group	.31577	42-019089	0	0		Iowa American Insurance Company	.OH	IA	Iowa Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0291	Encova Mutual Insurance Group	.14338	42-0333120	0	0		Iowa Mutual Insurance Company	.OH	IA			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
							Motorists Commercial Mutual Insurance								
.0000		.00000	41-1563134	0	0		Encova Insurance Agency, Inc.	.MN	NIA	Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0291	Encova Mutual Insurance Group	.40932	31-1022150	0	0		MICO Insurance Company	.OH	IA	Motorists Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
							Motorists Commercial Mutual Insurance								
.0291	Encova Mutual Insurance Group	.13331	41-0299900	0	0		Company	.OH	IA			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
.0291	Encova Mutual Insurance Group	.66311	31-0717055	0	0		Encova Life Insurance Company	.OH	IA	Motorists Mutual Insurance Company	Ownership	70.000	Encova Mutual Insurance Group, Inc.	.N	.6
.0291	Encova Mutual Insurance Group	.14621	31-4259550	0	0		Motorists Mutual Insurance Company	.OH	IA			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
.0000		.00000	31-0851906	0	0		Encova Service Corporation	.OH	NIA	Motorists Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0291	Encova Mutual Insurance Group	.23175	02-0178290	0	0		Phenix Mutual Fire Insurance Company	.OH	RE			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
.0291	Encova Mutual Insurance Group	.19950	39-0739760	0	0		Wilson Mutual Insurance Company	.OH	IA			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
.0000		.00000	81-4951462	0	0		Encova Realty, LLC	.OH	NIA	Motorists Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.3
.0000		.00000	31-1712343	0	0		Encova Foundation of Ohio	.OH	NIA	Motorists Mutual Insurance Company	Board	0.000	Encova Mutual Insurance Group, Inc.	.N	.4
.0291	Encova Mutual Insurance Group	.12372	20-2394166	0	0		BrickStreet Mutual Insurance Company	.WV	IA			0.000	Encova Mutual Insurance Group, Inc.	.N	.1
.0291	Encova Mutual Insurance Group	.15137	46-1783383	0	0		PinnaclePoint Insurance Company	.WV	IA	BrickStreet Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.2
.0291	Encova Mutual Insurance Group	.13045	26-0818900	0	0		NorthStone Insurance Company	.WV	IA	BrickStreet Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.2
.0291	Encova Mutual Insurance Group	.15136	46-1795752	0	0		SummitPoint Insurance Company	.WV	IA	BrickStreet Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.2
.0291	Encova Mutual Insurance Group	.13016	87-0807723	0	0		AlleghenyPoint Insurance Company	.WV	IA	BrickStreet Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.2
.0000		.00000	80-0772825	0	0		Encova Foundation of West Virginia, Inc	.WV	NIA	BrickStreet Mutual Insurance Company	Board	0.000	Encova Mutual Insurance Group, Inc.	.N	.5
.0000		.00000	81-3585592	0	0		STCE HTC Federal Investor, LLC	.GA	NIA	BrickStreet Mutual Insurance Company	Ownership	99.990	Encova Mutual Insurance Group, Inc.	.N	.0
.0000		.00000	81-5313304	0	0		MPC Brickstreet 2017 Historic Fund, LLC	.GA	NIA	BrickStreet Mutual Insurance Company	Ownership	99.990	Encova Mutual Insurance Group, Inc.	.N	.0
.0000		.00000	82-4318558	0	0		MPC Brickstreet 2018 Historic Fund, LLC	.GA	NIA	BrickStreet Mutual Insurance Company	Ownership	99.990	Encova Mutual Insurance Group, Inc.	.N	.0
.0000		.00000	84-1783677	0	0		MPC Brickstreet 2019 Historic Fund, LLC	.GA	NIA	BrickStreet Mutual Insurance Company	Ownership	99.990	Encova Mutual Insurance Group, Inc.	.N	.0
.0000		.00000	86-1546423	0	0		Encova Insurance Service Center, LLC	.OH	NIA	Motorists Mutual Insurance Company	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.0
.0291	Encova Mutual Insurance Group	.00000	86-1371222	0	0		Encova Holdings, Inc.	.OH	UIP	Encova Mutual Insurance Group, Inc.	Ownership	100.000	Encova Mutual Insurance Group, Inc.	.N	.7
.0291	Encova Mutual Insurance Group	.00000	86-1286784	0	0		Encova Mutual Insurance Group, Inc.	.OH	UIP		Ownership	100.000		.N	.8

Asterisk	Explanation
1	The company is a mutual property/casualty insurer and an affiliate of Encova Mutual Insurance Group. Encova Mutual Insurance Group is the ultimate controlling entity of the Group through an interlocking board of directors.
2	This company is a stock subsidiary of BrickStreet Mutual Insurance Company with ultimate control of that parent as described in Note 1
3	The entity is a subsidiary of an insurer that is an affiliate of Encova Mutual Insurance Group. With ultimate control of that insurer as described in Note 1
4	Schedule Y, Parts 1 and 1A, includes the Encova Foundation of Ohio, a 501( c)(3) tax-exempt private foundation incorporated on 7/12/2000.
5	Schedule Y, Parts 1 and 1A, includes Encova Foundation of West Virginia, Inc, a 501( c)(3) tax-exempt private foundation incorporated on December 23, 2011.
6	The entity is a subsidiary of an insurer that is an affiliate of Encova Mutual Group. With ultimate control of that insurer as described in Note 1. Motorists Life Insurance Company was renamed Encova Life Insurance Company on 1/4/2021.
7	Encova Holdings, Inc. was formed on 1/1/2021 and holds 100% of the stock of Motorist Mutual Insurance Co, Motorists Commercial Mutual Insurance Co, Brickstreet Mutual Insurance Co, Iowa Mutual Insurance Co, Phenix Mutual Fire Insurance Co and Wilson Mutual Insurance Co.,
8	Encova Mutual Insurance Group, Inc.was formed on 1/1/2021 and owns 100% of the stock of Encova Holdings, Inc.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire .....	220,799	(8,215)	(3.7)	(2.9)
2.	Allied Lines .....	133,607	59,975	44.9	23.2
3.	Farmowners multiple peril .....	0	0	0.0	0.0
4.	Homeowners multiple peril .....	5,352,999	2,411,971	45.1	63.0
5.	Commercial multiple peril .....	309,916	(63,740)	(20.6)	(14.4)
6.	Mortgage guaranty .....	0	0	0.0	0.0
8.	Ocean marine .....	0	0	0.0	0.0
9.	Inland marine .....	35,258	(463)	(1.3)	(8.9)
10.	Financial guaranty .....	0	0	0.0	0.0
11.1	Medical professional liability - occurrence .....	0	0	0.0	0.0
11.2	Medical professional liability - claims-made .....	0	0	0.0	0.0
12.	Earthquake .....	0	0	0.0	0.0
13.	Group accident and health .....	0	0	0.0	0.0
14.	Credit accident and health .....	0	0	0.0	0.0
15.	Other accident and health .....	0	0	0.0	0.0
16.	Workers' compensation .....	1,647	(104,450)	(6,341.8)	1,307.2
17.1	Other liability - occurrence .....	29,752	896,847	3,014.4	2,780.3
17.2	Other liability - claims-made .....	0	0	0.0	0.0
17.3	Excess workers' compensation .....	0	0	0.0	0.0
18.1	Products liability - occurrence .....	1	11,000	1,100,000.0	(24,751.2)
18.2	Products liability - claims-made .....	0	0	0.0	0.0
19.1,19.2	Private passenger auto liability .....	0	0	0.0	0.0
19.3,19.4	Commercial auto liability .....	6,619	(106,300)	(1,606.0)	(5,916.9)
21.	Auto physical damage .....	1,003	(5,478)	(546.2)	(4,594.7)
22.	Aircraft (all perils) .....	0	0	0.0	0.0
23.	Fidelity .....	0	0	0.0	0.0
24.	Surety .....	0	0	0.0	0.0
26.	Burglary and theft .....	12	0	0.0	(856.0)
27.	Boiler and machinery .....	779	0	0.0	0.0
28.	Credit .....	0	0	0.0	0.0
29.	International .....	0	0	0.0	0.0
30.	Warranty .....	0	0	0.0	0.0
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35.	Totals .....	6,092,392	3,091,147	50.7	101.7
DETAILS OF WRITE-INS					
3401.	.....				
3402.	.....				
3403.	.....				
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire .....	94,599	206,038	250,765
2.	Allied Lines .....	65,534	141,498	138,766
3.	Farmowners multiple peril .....	0	0	0
4.	Homeowners multiple peril .....	1,969,401	5,111,757	5,553,371
5.	Commercial multiple peril .....	(2,541)	(9,370)	720,919
6.	Mortgage guaranty .....	0	0	0
8.	Ocean marine .....	0	0	0
9.	Inland marine .....	185	8,019	95,912
10.	Financial guaranty .....	0	0	0
11.1	Medical professional liability - occurrence .....	0	0	0
11.2	Medical professional liability - claims-made .....	0	0	0
12.	Earthquake .....	0	0	0
13.	Group accident and health .....	0	0	0
14.	Credit accident and health .....	0	0	0
15.	Other accident and health .....	0	0	0
16.	Workers' compensation .....	0	(2,067)	34,756
17.1	Other liability - occurrence .....	5,761	(1,415)	56,172
17.2	Other liability - claims-made .....	0	0	0
17.3	Excess workers' compensation .....	0	0	0
18.1	Products liability - occurrence .....	0	0	(2,005)
18.2	Products liability - claims-made .....	0	0	0
19.1,19.2	Private passenger auto liability .....	0	0	0
19.3,19.4	Commercial auto liability .....	0	(11)	(18,431)
21.	Auto physical damage .....	0	54	2,618
22.	Aircraft (all perils) .....	0	0	0
23.	Fidelity .....	0	0	0
24.	Surety .....	0	0	0
26.	Burglary and theft .....	0	(42)	100
27.	Boiler and machinery .....	(91)	(155)	2,008
28.	Credit .....	0	0	0
29.	International .....	0	0	0
30.	Warranty .....	0	0	0
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	0	0	0
35.	Totals .....	2,132,848	5,454,306	6,834,951
DETAILS OF WRITE-INS				
3401.	.....			
3402.	.....			
3403.	.....			
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13											
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2021 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)											
1. 2018 + Prior .....	8,581	8,508	17,090	1,374	(52)	1,321	7,416	330	5,836	13,582	208	(2,394)	(2,186)											
2. 2019 .....	2,000	3,391	5,391	826	(4)	822	1,335	335	2,091	3,761	161	(969)	(808)											
3. Subtotals 2019 + Prior .....	10,581	11,900	22,481	2,199	(56)	2,143	8,751	666	7,927	17,344	369	(3,364)	(2,994)											
4. 2020 .....	3,482	4,749	8,231	1,907	119	2,026	1,959	721	3,602	6,282	384	(307)	77											
5. Subtotals 2020 + Prior .....	14,063	16,648	30,712	4,106	62	4,168	10,711	1,387	11,529	23,626	753	(3,671)	(2,918)											
6. 2021 .....	XXX	XXX	XXX	XXX	3,661	3,661	XXX	2,882	4,888	7,770	XXX	XXX	XXX											
7. Totals .....	14,063	16,648	30,712	4,106	3,723	7,829	10,711	4,269	16,416	31,396	753	(3,671)	(2,918)											
8. Prior Year-End Surplus As Regards Policyholders	23,954											Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7										
												1. 5.4	2. (22.0)	3. (9.5)										
													Col. 13, Line 7 As a % of Col. 1 Line 8											
														4. (12.2)										

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanations:

- 1. The data for this supplement is not required to be filed.
- 2. The data for this supplement is not required to be filed.
- 3. The data for this supplement is not required to be filed.
- 4. The data for this supplement is not required to be filed.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]	
2. Supplement A to Schedule T [Document Identifier 455]	
3. Medicare Part D Coverage Supplement [Document Identifier 365]	
4. Director and Officer Supplement [Document Identifier 505]	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4 December 31 Prior Year Net Admitted Assets
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504.	State tax credits .....	0	0	0	136,224
2505.	Automobiles .....	20,648	20,648	0	0
2506.	Prepaid expenses .....	7,500	7,500	0	0
2597.	Summary of remaining write-ins for Line 25 from overflow page	28,148	28,148	0	136,224

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,896,191	2,834,719
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		0
2.2 Additional investment made after acquisition .....	45,458	118,146
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	
5. Unrealized valuation increase (decrease) .....	(338,575)	(56,674)
6. Total gain (loss) on disposals .....	362,581	0
7. Deduct amounts received on disposals .....	2,965,655	0
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	2,896,191
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	0	2,896,191

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	47,389,097	46,415,093
2. Cost of bonds and stocks acquired .....	14,138,450	7,780,859
3. Accrual of discount .....	12,232	21,234
4. Unrealized valuation increase (decrease) .....	512,696	1,562,376
5. Total gain (loss) on disposals .....	674,441	115,204
6. Deduct consideration for bonds and stocks disposed of .....	7,235,013	7,548,018
7. Deduct amortization of premium .....	166,411	250,135
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....	436	712,865
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		5,349
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	55,325,057	47,389,097
12. Deduct total nonadmitted amounts .....		0
13. Statement value at end of current period (Line 11 minus Line 12) .....	55,325,057	47,389,097

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	39,237,925	4,482,587	1,247,780	(55,852)	38,989,831	39,237,925	42,416,881	34,318,587
2. NAIC 2 (a) .....	3,323,772	0	20	(820)	2,920,272	3,323,772	3,322,932	2,545,813
3. NAIC 3 (a) .....	149,876	0	149,877	1	147,938	149,876	0	144,375
4. NAIC 4 (a) .....	0				0	0	0	0
5. NAIC 5 (a) .....	0				0	0	0	0
6. NAIC 6 (a) .....	0				0	0	0	0
7. Total Bonds	42,711,573	4,482,587	1,397,677	(56,670)	42,058,041	42,711,573	45,739,813	37,008,775
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0	0	0
9. NAIC 2 .....	0				0	0	0	0
10. NAIC 3 .....	0				0	0	0	0
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	42,711,573	4,482,587	1,397,677	(56,670)	42,058,041	42,711,573	45,739,813	37,008,775

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**



SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,767,309	2,366,334
2. Cost of cash equivalents acquired .....	20,739,514	17,457,494
3. Accrual of discount .....		0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	24,213,743	14,056,519
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,293,080	5,767,309
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	2,293,080	5,767,309

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
01179R-W8-2	ALASKA MUN BD BK ALASKA MUN BD BK AUTH		..08/17/2021	Various		25,683	25,000	576	1.F FE
179162-KN-1	CLACKAMAS CNTY ORE SCH DIST NO 62 C OREG		..09/16/2021	PERSHING DIV OF DLJ SEC LNDING		250,000	250,000	0	1.C FE
611322-JA-7	MONROE TWP N J BRD ED GLOUCESTER CNTY		..09/10/2021	STIFEL NICOLAUS & COMPANY		100,000	100,000	0	1.D FE
611322-JB-5	MONROE TWP N J BRD ED GLOUCESTER CNTY		..09/10/2021	STIFEL NICOLAUS & COMPANY		125,000	125,000	0	1.D FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						500,683	500,000	576	XXX
3133KY-VK-2	FH RB5118 - RMBS		..08/24/2021	J P MORGAN SECURITIES		509,351	495,945	689	1.A
3140LY-A9-4	FN BT9031 - RMBS		..09/07/2021	FIRST HORIZON BANK		1,025,022	996,830	720	1.A
532646-AU-0	LIMESTONE CNTY ALA BRD SPL TAX SCH WTS		..09/16/2021	RAYMOND JAMES & ASSOCIATES		100,000	100,000	0	1.C FE
3199999. Subtotal - Bonds - U.S. Special Revenues						1,634,373	1,592,775	1,409	XXX
06051G-JY-6	BANK OF AMERICA CORP		..09/09/2021	WELLS FARGO SECURITIES LLC		449,833	450,000	582	1.F FE
26884A-BN-2	ERP OPERATING LP		..08/03/2021	Citigroup (SSB)		298,482	300,000	0	1.G FE
36262X-AB-0	GMALT 2021-3 A2 - ABS		..08/10/2021	J P MORGAN SECURITIES		224,995	225,000	0	1.A FE
380140-AB-9	GMCAR 213 A2 - ABS		..07/13/2021	WELLS FARGO SECURITIES LLC		399,957	400,000	0	1.A FE
61744Y-A0-1	MORGAN STANLEY		..09/10/2021	MORGAN STANLEY & COMPANY		315,747	300,000	4,360	1.G FE
693475-BB-0	PNC FINANCIAL SERVICES GROUP INC		..08/10/2021	PNC CAPITAL MKTS		99,811	100,000	0	1.G FE
743756-AB-4	PROVIDENCE ST JOSEPH HEALTH		..09/08/2021	GOLDMAN		133,755	125,000	1,516	1.D FE
89239C-AB-5	TLOT 21B A2 - ABS		..07/27/2021	MITSUBISHI UFJ SECURITIES		224,970	225,000	0	1.A FE
98163J-AB-1	WOLS 2021-A A2 - ABS		..07/13/2021	WELLS FARGO SECURITIES LLC		199,980	200,000	0	1.A FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						2,347,531	2,325,000	6,458	XXX
8399997. Total - Bonds - Part 3						4,482,587	4,417,775	8,443	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						4,482,587	4,417,775	8,443	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
00206R-10-2	AT&T ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	460,000	12,521	0	0	
00650F-10-9	ADAPTIVE BIOTECHNOLOGIES ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	16,000	538	0	0	
013872-10-6	ALCOA ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	23,000	1,166	0	0	
02209S-10-3	ALTRIA GROUP ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	74,000	3,583	0	0	
032654-10-5	ANALOG DEVICES ORD		..08/26/2021	ITG INC	29,610	2,485	0	0	
03676B-10-2	ANTERO MIDSTREAM ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	49,000	516	0	0	
038336-10-3	APTARGROUP ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	9,000	1,078	0	0	
05722G-10-0	BAKER HUGHES CL A ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	52,000	1,318	0	0	
070830-10-4	BATH AND BODY WORKS ORD		..08/03/2021	ITG INC	35,000	384	0	0	
097023-10-5	BOEING ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	62,000	13,558	0	0	
114340-10-2	BROOKS AUTOMATION ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	11,000	1,162	0	0	
12008R-10-7	BUILDERS FIRSTSOURCE ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	30,000	1,614	0	0	
15677J-10-8	CERIDIAN HOM HOLDING ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	19,000	2,151	0	0	
166764-10-0	CHEVRON ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	61,000	6,291	0	0	
171484-10-8	CHURCHILL DOWNS ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6,000	1,460	0	0	
209115-10-4	CONSOLIDATED EDISON ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	22,000	1,583	0	0	
222795-50-2	COUSINS PROPERTIES REIT ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	22,000	832	0	0	
22789C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	9,000	2,171	0	0	
233331-10-7	DTE ENERGY ORD		..07/01/2021	GOLDMAN	24,000	2,321	0	0	
23345M-10-7	DT MIDSTREAM ORD		..07/01/2021	GOLDMAN	12,000	407	0	0	
29978A-10-4	EVERBRIDGE ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6,000	930	0	0	
31620M-10-6	FIDELITY NATIONAL INFORMATN SVCS ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	14,000	1,710	0	0	
36262G-10-1	GXO LOGISTICS ORD		..08/02/2021	GOLDMAN	11,000	497	0	0	
369604-30-1	GENERAL ELECTRIC ORD		..08/02/2021	Various	132,375	951	0	0	
440452-10-0	HORNEL FOODS ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	39,000	1,597	0	0	
448579-10-2	HYATT HOTELS CL A ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	7,000	555	0	0	
44980X-10-9	IPG PHOTONICS ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6,000	973	0	0	
536797-10-3	LITHIA MOTORS ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,000	1,731	0	0	
55087P-10-4	LYFT CL A ORD		..09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	13,000	705	0	0	

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
56600D-10-7	MARAVAI LIFESCIENCES CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	15.000	.719		.0	
57667L-10-7	MATCH GROUP ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	13.000	2.063		.0	
584021-10-9	MEDALLIA ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	15.000	.508		.0	
60468T-10-5	MIRATI THERAPEUTICS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5.000	.881		.0	
609839-10-5	MONOLITHIC POWER SYSTEMS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6.000	2.946		.0	
63947U-10-7	NCINO ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	8.000	.572		.0	
683712-10-3	OPENDOOR TECHNOLOGIES ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	64.000	1.289		.0	
688239-20-1	OSHKOSH ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	9.000	.964		.0	
697435-10-5	PALO ALTO NETWORKS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	2.000	.951		.0	
723787-10-7	PIONEER NATURAL RESOURCE ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	10.000	1.697		.0	
74838J-10-1	QUIDEL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5.000	.692		.0	
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	45.000	3.915		.0	
82982L-10-3	SITEONE LANDSCAPE SUPPLY ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6.000	1.204		.0	
833445-10-9	SNOWFLAKE CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	11.000	3.270		.0	
88025U-10-9	10X GENOMICS CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	7.000	1.025		.0	
90400D-10-8	ULTRAGENYX PHARMACEUTICAL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	9.000	.781		.0	
91680M-10-7	UPSTART HOLDINGS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	6.000	1.874		.0	
92556V-10-6	VIATRIS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	63.000	.869		.0	
926400-10-2	VICTORIA S SECRET ORD		.08/03/2021	ITG INC	11.667	.91		.0	
929042-10-9	VORNADO REALTY REIT ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	25.000	1.104		.0	
942622-20-0	WATSCO ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	4.000	1.087		.0	
983793-10-0	XPO LOGISTICS ORD		.08/02/2021	GOLDMAN	11.000	.692		.0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						95,979	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						95,979	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						95,979	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						95,979	XXX	0	XXX
9999999 - Totals						4,578,567	XXX	8,443	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22				
										11	12	13	14	15											
CUSIP Ident-ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol				
36179T-4P-7	G2 MA5330 - RMBS		09/01/2021	Paydown		17,154	17,154	17,551	17,489	0	(335)	0	(335)	0	17,154	0	0	0	449	07/20/2048	1.A				
36179T-7L-3	G2 MA5399 - RMBS		09/01/2021	Paydown		32,490	32,490	33,747	33,694	0	(1,204)	0	(1,204)	0	32,490	0	0	0	963	08/20/2048	1.A				
36179T-75-7	G2 MA5264 - RMBS		09/01/2021	Paydown		24,429	24,429	25,063	24,945	0	(516)	0	(516)	0	24,429	0	0	0	640	06/20/2048	1.A				
0599999 Subtotal - Bonds - U.S. Governments										74,073	74,073	76,361	76,128	0	(2,055)	0	(2,055)	0	74,073	0	0	0	2,052	XXX	XXX
01179R-DP-5	ALASKA MUN BD BK ALASKA MUN BD BK AUTH		08/17/2021	Various		25,683	25,000	27,351	25,841	0	(158)	0	(158)	0	25,683	0	0	0	1,201	03/01/2033	1.E FE				
3131WQ-VJ-3	FH ZJ0617 - RMBS		09/01/2021	Paydown		2,201	2,217	2,201	2,217	0	(17)	0	(17)	0	2,201	0	0	0	57	10/01/2040	1.A				
3131WR-N9-2	FH ZJ1316 - RMBS		09/01/2021	Paydown		1,458	1,458	1,467	1,466	0	(7)	0	(7)	0	1,458	0	0	0	42	02/01/2041	1.A				
3131X6-GY-0	FH ZK2915 - RMBS		09/01/2021	Paydown		3,353	3,353	3,359	3,349	0	.4	0	.4	0	3,353	0	0	0	78	01/01/2026	1.A				
3131XJ-DM-1	FH ZL2808 - RMBS		09/01/2021	Paydown		4,115	4,115	4,251	4,241	0	(126)	0	(126)	0	4,115	0	0	0	97	03/01/2042	1.A				
3131XJ-PD-8	FH ZL3120 - RMBS		09/01/2021	Paydown		4,771	4,771	4,940	4,925	0	(155)	0	(155)	0	4,771	0	0	0	108	05/01/2042	1.A				
3131XM-E8-4	FH ZL5559 - RMBS		09/01/2021	Paydown		3,015	3,015	3,081	3,066	0	(51)	0	(51)	0	3,015	0	0	0	60	04/01/2043	1.A				
3131XN-6S-7	FH ZL7181 - RMBS		09/01/2021	Paydown		3,208	3,208	3,357	3,314	0	(106)	0	(106)	0	3,208	0	0	0	86	10/01/2043	1.A				
3131XQ-TK-2	FH ZL8654 - RMBS		09/01/2021	Paydown		.159	.158	.168	.169	0	(11)	0	(11)	0	.158	0	0	0	.4	11/01/2044	1.A				
3131XR-BB-9	FH ZL9034 - RMBS		09/01/2021	Paydown		8,119	8,119	8,503	8,433	0	(314)	0	(314)	0	8,119	0	0	0	181	02/01/2045	1.A				
3131XT-VP-2	FH ZM0622 - RMBS		09/01/2021	Paydown		15,601	15,601	16,617	16,590	0	(989)	0	(989)	0	15,601	0	0	0	425	12/01/2045	1.A				
31329J-FV-4	FH ZA1080 - RMBS		09/01/2021	Paydown		1,557	1,557	1,569	1,570	0	(13)	0	(13)	0	1,557	0	0	0	.41	12/01/2040	1.A				
31329J-PX-9	FH ZA1338 - RMBS		09/01/2021	Paydown		3,947	3,947	4,080	4,069	0	(123)	0	(123)	0	3,947	0	0	0	.78	08/01/2042	1.A				
31329K-X3-3	FH ZA2498 - RMBS		09/01/2021	Paydown		22,959	22,959	23,053	23,024	0	(65)	0	(65)	0	22,959	0	0	0	550	03/01/2038	1.A				
3132A4-GK-9	FH ZS4474 - RMBS		09/01/2021	Paydown		3,014	3,014	3,114	3,109	0	(95)	0	(95)	0	3,014	0	0	0	.69	03/01/2042	1.A				
3132A4-NN-4	FH ZS3997 - RMBS		09/01/2021	Paydown		2,413	2,413	2,557	2,529	0	(116)	0	(116)	0	2,413	0	0	0	.64	08/01/2044	1.A				
3132A5-A4-7	FH ZS4527 - RMBS		09/01/2021	Paydown		5,536	5,536	5,700	5,743	0	(207)	0	(207)	0	5,536	0	0	0	.146	08/01/2043	1.A				
3132A5-EU-5	FH ZS4647 - RMBS		09/01/2021	Paydown		4,939	4,939	5,171	5,195	0	(257)	0	(257)	0	4,939	0	0	0	.114	01/01/2046	1.A				
3132D5-6Z-0	FH SB8088 - RMBS		09/01/2021	Paydown		36,681	36,681	37,584	.0	0	(903)	0	(903)	0	36,681	0	0	0	.282	02/01/2036	1.A				
3132DV-7B-5	FH SD8090 - RMBS		09/01/2021	Paydown		23,377	23,377	24,086	24,062	0	(684)	0	(684)	0	23,377	0	0	0	.319	09/01/2050	1.A				
3133GB-GD-0	FH QW4696 - RMBS		09/01/2021	Paydown		14,105	14,105	14,729	.0	0	(624)	0	(624)	0	14,105	0	0	0	.134	12/01/2035	1.A				
3133KY-U6-4	FH RB5105 - RMBS		09/01/2021	Paydown		14,855	14,855	15,347	.0	0	(492)	0	(492)	0	14,855	0	0	0	.127	03/01/2041	1.A				
3133KY-VK-2	FH RB5118 - RMBS		09/01/2021	Paydown		2,956	2,956	3,036	.0	0	(80)	0	(80)	0	2,956	0	0	0	.5	07/01/2041	1.A				
3136A5-YC-4	FNR 2012-30 ED - CMO/RMBS		09/01/2021	Paydown		5,896	5,896	6,018	5,926	0	(29)	0	(29)	0	5,896	0	0	0	.98	04/25/2031	1.A				
3138A5-TA-0	FN AH4144 - RMBS		09/01/2021	Paydown		2,512	2,512	2,531	2,514	0	(3)	0	(3)	0	2,512	0	0	0	.59	01/01/2026	1.A				
3138A9-PS-7	FN AH7632 - RMBS		09/01/2021	Paydown		3,504	3,504	3,512	3,510	0	(5)	0	(5)	0	3,504	0	0	0	.94	08/01/2041	1.A				
3138EK-JA-4	FN AL2956 - RMBS		09/01/2021	Paydown		3,381	3,381	3,546	3,460	0	(78)	0	(78)	0	3,381	0	0	0	.56	01/01/2028	1.A				
3138EN-7M-5	FN AL6299 - RMBS		09/01/2021	Paydown		7,161	7,161	7,539	7,404	0	(243)	0	(243)	0	7,161	0	0	0	.172	01/01/2045	1.A				
3138ET-DZ-6	FN AL8219 - RMBS		09/01/2021	Paydown		6,227	6,227	6,673	6,587	0	(360)	0	(360)	0	6,227	0	0	0	.166	02/01/2046	1.A				
3138WG-EZ-3	FN AS6451 - RMBS		09/01/2021	Paydown		4,620	4,620	4,829	4,742	0	(122)	0	(122)	0	4,620	0	0	0	.111	01/01/2046	1.A				
3138XX-H7-4	FN AW7453 - RMBS		09/01/2021	Paydown		4,642	4,642	4,944	4,877	0	(235)	0	(235)	0	4,642	0	0	0	.129	09/01/2044	1.A				
3138Y6-MY-7	FN AX4874 - RMBS		09/01/2021	Paydown		14,606	14,606	15,483	15,054	0	(447)	0	(447)	0	14,606	0	0	0	.333	12/01/2044	1.A				
3140JA-TS-7	FN BMS960 - RMBS		09/01/2021	Paydown		20,414	20,414	21,078	21,267	0	(853)	0	(853)	0	20,414	0	0	0	.574	08/01/2048	1.A				
3140JQ-TE-3	FN BN7748 - RMBS		09/01/2021	Paydown		21,938	21,938	22,793	22,718	0	(781)	0	(781)	0	21,938	0	0	0	.528	09/01/2049	1.A				
3140QA-NN-6	FN CA3096 - RMBS		09/01/2021	Paydown		61,329	61,329	64,294	65,110	0	(3,781)	0	(3,781)	0	61,329	0	0	0	1,838	02/01/2049	1.A				
3140OK-QX-9	FN CB0469 - RMBS		09/01/2021	Paydown		7,723	7,723	8,091	.0	0	(368)	0	(368)	0	7,723	0	0	0	.35	05/01/2041	1.A				
3140X4-H2-5	FN FH1148 - RMBS		09/01/2021	Paydown		29,470	29,470	30,253	30,346	0	(876)	0	(876)	0	29,470	0	0	0	.683	12/01/2048	1.A				
3140X4-M4-5	FN FM1278 - RMBS		09/01/2021	Paydown		32,508	32,508	33,264	33,223	0	(716)	0	(716)	0	32,508	0	0	0	.656	07/01/2034	1.A				
3140X7-4F-3	FN FM4421 - RMBS		09/01/2021	Paydown		19,605	19,605	20,499	20,497	0	(893)	0	(893)	0	19,605	0	0	0	.267	10/01/2035	1.A				
3140X8-KJ-5	FN FM4796 - RMBS		09/01/2021	Paydown		19,658	19,658	20,490	20,485	0	(827)	0	(827)	0	19,658	0	0	0	.250	11/01/2050	1.A				
3140X9-V5-1	FN FM6035 - RMBS		09/01/2021	Paydown		7,350	7,350	7,637	.0	0	(287)	0	(287)	0	7,350	0	0	0	.64	02/01/2036	1.A				
3141OL-UV-2	FN B90796 - RMBS		09/01/2021	Paydown		8,678	8,678	8,876	8,833	0	(155)	0	(155)	0	8,678	0	0	0	.207	12/01/2045	1.A				
3141BA-YP-7	FN MA1617 - RMBS		09/01/2021	Paydown		5,442	5,442	5,627	5,542	0	(101)	0	(101)	0	5,442	0	0	0	.109	10/01/2028	1.A				
3141BS-U3-1	FN AD5101 - RMBS		09/01/2021	Paydown		3,954	3,954	3,983	3,960	0	(6)	0	(6)	0	3,954	0	0	0	.94	12/01/2025	1.A				
3141BV-KJ-0	FN AD7496 - RMBS		09/01/2021	Paydown		5,188	5,188	5,227	5,198	0	(10)	0	(10)	0	5,188	0	0	0	.121	01/01/2026	1.A				
516391-AP-4	LANSING MICH BRD WTR & LT UTIL SYS REV		07/01/20																						

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	
3199999	Subtotal - Bonds - U.S. Special Revenues					1,108,824	1,108,141	1,133,895	1,039,566	0	(17,164)	0	(17,164)	0	1,108,824	0	0	0	40,854	XXX	XXX	
36319T-AN-6	GALXY XXIII AR - CDO	C	07/26/2021	Paydown		1,648	1,648	1,648	0	0	0	0	0	0	1,648	0	0	0	6	04/24/2029	1.A FE	
55389T-AA-9	MVNOT 211W A - RMBS		09/20/2021	Paydown		8,982	8,982	8,980	0	0	2	0	2	0	8,982	0	0	0	23	01/22/2041	1.A FE	
674599-CW-3	OCCIDENTAL PETROLEUM CORP		07/06/2021	Not Available		147,000	150,000	149,805	144,375	5,482	20	0	5,502	0	149,877	0	(2,877)	(2,877)	11,488	08/15/2024	3.C FE	
82652K-AA-2	SRFC 171 A - RMBS		09/20/2021	Paydown		2,013	2,013	2,013	2,013	0	0	0	0	0	2,013	0	0	0	39	03/20/2034	1.F FE	
82652Q-AA-9	SRFC 211 A - RMBS		09/20/2021	Paydown		44,069	44,069	44,056	0	0	12	0	12	0	44,069	0	0	0	184	11/20/2037	1.A FE	
82653E-AA-5	SRFC 2019-1 A - RMBS		09/20/2021	Paydown		5,272	5,272	5,272	5,272	0	0	0	0	0	5,272	0	0	0	112	01/22/2036	1.A FE	
92343V-GM-0	VERIZON COMMUNICATIONS INC		09/21/2021	Not Available		21	21	20	0	0	0	0	0	0	20	0	0	0	2	03/15/2032	2.A FE	
92936C-AJ-8	WFRBS 2011-C4 A4 - CMBS		07/01/2021	Paydown		113,564	2,899	2,928	2,893	0	6	0	6	0	2,899	0	110,666	110,666	71	06/17/2044	1.A FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					322,569	214,903	214,721	154,553	5,482	41	0	5,523	0	214,780	0	107,789	107,789	11,922	XXX	XXX	
8399997	Total - Bonds - Part 4					1,505,466	1,397,117	1,424,977	1,270,246	5,482	(19,178)	0	(13,696)	0	1,397,677	0	107,789	107,789	54,828	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999	Total - Bonds					1,505,466	1,397,117	1,424,977	1,270,246	5,482	(19,178)	0	(13,696)	0	1,397,677	0	107,789	107,789	54,828	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
017175-10-0	ALLEGHANY ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	2.000	1,255		1,487	1,207	280	0	0	280	0	1,487	0	(232)	(232)	0			
021568-10-3	ALTERYX CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	9.000	648		1,187	1,096	91	0	0	91	0	1,187	0	(540)	(540)	0			
02209S-10-3	ALTRIA GROUP ORD		06/25/2021	GOLDMAN	0.000	0		0	0	0	0	0	0	0	0	0	0	31				
023436-10-8	AMEDISYS ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5.000	755		1,375	0	0	0	0	0	0	1,375	0	(621)	(621)	0			
02376R-10-2	AMERICAN AIRLINES GROUP ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	80.000	1,721		2,685	1,262	1,423	0	0	1,423	0	2,685	0	(964)	(964)	0			
03064D-10-8	AMERICOLD REALTY ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	42.000	1,238		1,554	1,568	(14)	0	0	(14)	0	1,554	0	(316)	(316)	27			
032654-10-5	ANALOG DEVICES ORD		08/26/2021	Not Available	0.610	102		53	90	(37)	0	0	(37)	0	53	0	49	49	2			
046353-10-8	ASTRAZENCA ADR REP 0.5 ORD	C	09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	55.232	3,170		3,395	4,062	(667)	0	0	(667)	0	3,395	0	(226)	(226)	25			
09061G-10-1	BIOMARIN PHARMACEUTICAL ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	22.000	1,707		2,294	1,929	365	0	0	365	0	2,294	0	(587)	(587)	0			
100557-10-7	BOSTON BEER CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	2.000	1,024		1,856	1,989	(133)	0	0	(133)	0	1,856	0	(832)	(832)	0			
143658-30-0	CARNIVAL ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	36.000	944		2,179	780	1,399	0	0	1,399	0	2,179	0	(1,235)	(1,235)	0			
225447-10-1	CREE ORD		09/28/2021	FENNER & SMITH INC.	15.000	1,218		1,542	0	0	0	0	0	0	1,542	0	(324)	(324)	0			
231561-10-1	CURTISS WRIGHT ORD		06/25/2021	GOLDMAN	0.000	0		0	0	0	0	0	0	0	0	0	0	0	1			
233331-10-7	DTE ENERGY ORD		07/01/2021	Various	24.000	2,728		2,728	2,914	(186)	0	0	(186)	0	2,728	0	0	0	78			
26614N-10-2	DUPONT DE NEMOURS ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	54.000	3,726		4,673	3,840	833	0	0	833	0	4,673	0	(947)	(947)	49			
281020-10-7	EDISON INTERNATIONAL ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	44.000	2,506		3,100	2,764	336	0	0	336	0	3,100	0	(594)	(594)	87			
31188V-10-0	FASTLY CL A ORD		09/28/2021	FENNER & SMITH INC.	15.000	604		1,173	1,311	(138)	0	0	(138)	0	1,173	0	(569)	(569)	0			
369604-10-3	GENERAL ELECTRIC ORD		08/02/2021	Various	1,059.000	951		951	11,437	(10,486)	0	0	(10,486)	0	951	0	0	0	32			
369604-30-1	GENERAL ELECTRIC ORD		08/02/2021	Not Available	0.375	39		3	0	0	0	0	0	0	3	0	36	36	0			
38526M-10-6	GRAND CANYON EDUCATION ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	10.000	886		1,248	931	317	0	0	317	0	1,248	0	(363)	(363)	0			
446150-10-4	HUNTINGTON BANCSHARES ORD		06/09/2021	Adjustment	0.084	1		1	1	0	0	0	0	0	1	0	0	0	0			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE PHENIX MUTUAL FIRE INSURANCE COMPANY

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
..459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	93.000	12,808		13,694	11,707	1,987	.0	.0	1,987	.0	13,694	.0	(886)	(886)	.457		
..462222-10-0	IONIS PHARMACEUTICALS ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	17.000	.587		1,356	.961	.394	.0	.0	.394	.0	1,356	.0	(769)	(769)	.0		
..501797-10-4	BATH AND BODY WORKS ORD .....		08/03/2021	Various .....	35.000	.475		.475	1,302	(826)	.0	.0	(826)	.0	.475	.0	.0	.0	.5		
..517834-10-7	LAS VEGAS SANDS ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	41.000	1,533		2,390	2,444	(54)	.0	.0	(54)	.0	2,390	.0	(857)	(857)	.0		
..530307-30-5	LIBERTY BROADBAND SRS C ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	2.000	.344		.201	.317	(116)	.0	.0	(116)	.0	.201	.0	.143	.143	.0		
..550241-10-3	LUMEN TECHNOLOGIES ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	107.000	1,401		2,251	1,043	1,208	.0	.0	1,208	.0	2,251	.0	(850)	(850)	.80		
..57772K-10-1	MAXIM INTEGRATED PRODUCTS ORD .....		08/26/2021	Various .....	47.000	2,485		2,485	4,167	(1,682)	.0	.0	(1,682)	.0	2,485	.0	.0	.0	.0		
..617446-44-8	MORGAN STANLEY ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	13.000	1,326		1,439	1,447	(8)	.0	.0	(8)	.0	1,439	.0	(113)	(113)	.14		
..64828T-20-1	NEW RESIDENTIAL INVESTMENT REIT ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	78.000	.855		1,316	.775	.541	.0	.0	.541	.0	1,316	.0	(461)	(461)	.47		
..718546-10-4	PHILLIPS 66 ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	53.000	3,765		5,199	3,707	1,492	.0	.0	1,492	.0	5,199	.0	(1,434)	(1,434)	.143		
..72352L-10-6	PINTEREST CL A ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	30.000	1,560		2,305	.0	.0	.0	.0	.0	.0	2,305	.0	(745)	(745)	.0		
..743424-10-3	PROOFPOINT ORD .....		09/01/2021	Not Available .....	9.000	1,584		1,114	1,228	(113)	.0	.0	(113)	.0	1,114	.0	.470	.470	.0		
..78573M-10-4	SABRE ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	54.000	.645		1,304	.649	.654	.0	.0	.654	.0	1,304	.0	(659)	(659)	.0		
..79466L-30-2	SALESFORCE.COM ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	4.570	1,237		1,605	2,155	(549)	.0	.0	(549)	.0	1,605	.0	(368)	(368)	1,232		
..87918A-10-5	TELADOC HEALTH ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	20.000	2,608		3,717	2,599	(38)	.0	.0	(38)	.0	3,717	.0	(1,109)	(1,109)	.0		
..92343V-10-4	VERIZON COMMUNICATIONS ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	143.000	7,729		7,865	8,401	(536)	.0	.0	(536)	.0	7,865	.0	(136)	(136)	.269		
..92556H-20-6	VIACOMCBS CL B ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	62.000	2,488		3,164	2,310	.854	.0	.0	.854	.0	3,164	.0	(676)	(676)	.45		
..926400-10-2	VICTORIA S SECRET ORD .....		08/03/2021	Not Available .....	0.667	.38		.5	.0	.0	.0	.0	.0	.0	.5	.0	.33	.33	.0		
..92719V-10-0	VIMEO ORD .....		05/25/2021	Adjustment .....	0.235	.10		.3	.0	.0	.0	.0	.0	.0	.3	.0	.8	.8	.0		
..92766K-10-6	VIRGIN GALACTIC HOLDINGS CL A ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	20.000	.458		1,118	.0	.0	.0	.0	.0	.0	1,118	.0	(660)	(660)	.0		
..92840M-10-2	VISTRA ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	80.000	1,345		2,026	1,573	.453	.0	.0	.453	.0	2,026	.0	(681)	(681)	.36		
..983793-10-0	XPO LOGISTICS ORD .....		08/02/2021	Various .....	11.000	1,189		1,189	1,311	(123)	.0	.0	(123)	.0	1,189	.0	.0	.0	.0		
..98980L-10-1	ZOOM VIDEO COMMUNICATIONS CL A ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	7.000	1,820		2,607	.0	.0	.0	.0	.0	.0	2,607	.0	(787)	(787)	.0		
..98986T-10-8	ZYNGA CL A ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	128.000	.954		1,256	.0	.0	.0	.0	.0	.0	1,256	.0	(302)	(302)	.0		
..G4705A-10-0	ICON ORD .....	C	09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	3.000	.799		.860	.912	(52)	.0	.0	(52)	.0	.860	.0	(62)	(62)	.582		
..M98068-10-5	WIX.COM ORD .....	C	09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	8.000	1,586		2,425	.0	.0	.0	.0	.0	.0	2,425	.0	(838)	(838)	.0		
..V7780T-10-3	ROYAL CARIBBEAN GROUP ORD .....		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC. ....	22.000	2,024		2,708	1,643	1,065	.0	.0	1,065	.0	2,708	.0	(685)	(685)	.0		
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						78,873	XXX	99,561	87,831	(2,065)	0	0	(2,065)	0	99,561	0	(20,688)	(20,688)	3,241	XXX	XXX
9799997. Total - Common Stocks - Part 4						78,873	XXX	99,561	87,831	(2,065)	0	0	(2,065)	0	99,561	0	(20,688)	(20,688)	3,241	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						78,873	XXX	99,561	87,831	(2,065)	0	0	(2,065)	0	99,561	0	(20,688)	(20,688)	3,241	XXX	XXX
9899999. Total - Preferred and Common Stocks						78,873	XXX	99,561	87,831	(2,065)	0	0	(2,065)	0	99,561	0	(20,688)	(20,688)	3,241	XXX	XXX
9999999 - Totals						1,584,339	XXX	1,524,538	1,358,078	3,417	(19,178)	0	(15,760)	0	1,497,238	0	87,101	87,101	58,068	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**



SCHEDULE DL - PART 2  
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date  
(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				0	0	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
5999999. Subtotal - SVO Identified Funds				0	0	XXX
6299999. Subtotal - Unaffiliated Bank Loans				0	0	XXX
6399999. Total - Issuer Obligations				0	0	XXX
6499999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6599999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6699999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6799999. Total - SVO Identified Funds				0	0	XXX
6899999. Total - Affiliated Bank Loans				0	0	XXX
6999999. Total - Unaffiliated Bank Loans				0	0	XXX
7099999. Total Bonds				0	0	XXX
7399999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)				0	0	XXX
7999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type)				0	0	XXX
8099999. Total - Preferred and Common Stocks				0	0	XXX
9999999 - Totals				0	0	XXX

General Interrogatories:

1. Total activity for the year

Fair Value \$ .....

Book/Adjusted Carrying Value \$ .....
2. Average balance for the year

Fair Value \$ .....

Book/Adjusted Carrying Value \$ .....

## SCHEDULE E - PART 1 - CASH

[illegible]

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]