

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021
OF THE CONDITION AND AFFAIRS OF THE

Dealers Assurance Company

NAIC Group Code 0315 NAIC Company Code 16705 Employer's ID Number 34-6513705

(Current) (Prior)

Ohio

Organized under the Laws of _____, State of Domicile or Port of Entry _____ OH _____

Country of Domicile _____ United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935Statutory Home Office 41 South High Street Suite 1700, Columbus, OH, US 43215
(Street and Number) (City or Town, State, Country and Zip Code)Main Administrative Office 15920 Addison Road, Addison, TX, US 75001
(Street and Number) (City or Town, State, Country and Zip Code) 800-282-8913
(Area Code) (Telephone Number)Mail Address 15920 Addison Road, Addison, TX, US 75001
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)Primary Location of Books and Records 15920 Addison Road, Addison, TX, US 75001
(Street and Number) 800-282-8913
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)Internet Website Address www.dealersassurance.comStatutory Statement Contact Steven C. Barrett, 800-282-8913
(Name) (Area Code) (Telephone Number)
Steven.Barrett@iaawg.com, 972-813-0812
(E-mail Address) (FAX Number)

OFFICERS

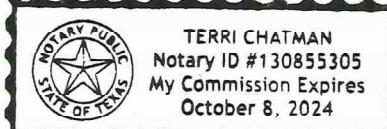
President Kristen Anne Gruber, Treasurer Linda Marie Toy
Secretary Maryann Elizabeth Norwood, Assistant Secretary Lisa Aileen Kirk

OTHER

Michael Lee Stickney, Managing Director, Kirk Alan Borchardt, Executive Vice President, Linda Marie Toy, Vice President
Maryann Elizabeth Norwood, Vice President

DIRECTORS OR TRUSTEES

<u>Warren Van Genderen</u>	<u>Michael Lee Stickney</u>	<u>Douglas Alexander Carrothers</u>
<u>Denis Yves Ricard</u>	<u>Marilyn Rose Froelich</u>	<u>Douglas Curtis Oksendahl</u>
<u>Shelby Land Peavy</u>	<u>Normand Pepin</u>	<u>Yvon Charest</u>

State of Texas, County of Dallas, SS:

The officers of this reporting entity being duly sworn, each depose and say that they have read the enclosed statement, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen Anne GruberKristen Anne Gruber
PresidentMaryann Elizabeth NorwoodMaryann Elizabeth Norwood
SecretaryLinda Marie ToyLinda Marie Toy
TreasurerSubscribed and sworn to before me this
8th day of November
Jeri Chatman

a. Is this an original filing?
 b. If no,
 1. State the amendment number.....
 2. Date filed.....
 3. Number of pages attached.....

Yes [X] No []

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	199,645,520		199,645,520	165,250,519
2. Stocks:				
2.1 Preferred stocks	459,316		459,316	0
2.2 Common stocks	9,946,223		9,946,223	9,057,718
3. Mortgage loans on real estate:				
3.1 First liens181,401		.181,401	.195,590
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	3,724,059		3,724,059	3,818,465
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 21,047,612), cash equivalents (\$ 7,871,612) and short-term investments (\$ 200,995)	29,120,219		29,120,219	11,717,251
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	243,076,738	0	243,076,738	190,039,543
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	1,118,932		1,118,932	960,583
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,396,701		5,396,701	5,989,538
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	829,670		829,670	0
18.2 Net deferred tax asset	2,115,370	434,190	1,681,180	1,382,518
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	56,307		56,307	96,027
24. Health care (\$) and other amounts receivable			0	0
25. Aggregate write-ins for other than invested assets	1,112,578	0	1,112,578	892,704
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	253,706,296	434,190	253,272,106	199,360,913
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	253,706,296	434,190	253,272,106	199,360,913
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Accounts Receivable	1,112,578		1,112,578	892,704
2502. Interest Receivable			0	0
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,112,578	0	1,112,578	892,704

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 875,890)	875,890	875,890
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	1,496,220	3,480,859
5. Other expenses (excluding taxes, licenses and fees)	2,340,404	1,977,534
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	2,676,768	1,524,858
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	0	1,096,897
7.2 Net deferred tax liability	0	0
8. Borrowed money \$ and interest thereon \$	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 354,044,152 and including warranty reserves of \$ 53,151,103 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	65,618,646	53,704,402
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	11,686,216	1,718,343
13. Funds held by company under reinsurance treaties	48,047,438	31,496,232
14. Amounts withheld or retained by company for account of others	10,501,839	5,894,332
15. Remittances and items not allocated	6,540,494	3,093,023
16. Provision for reinsurance (including \$ certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	205,666	317,212
20. Derivatives	0	0
21. Payable for securities	1,885,379	0
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ and interest thereon \$	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	151,874,960	105,179,582
27. Protected cell liabilities	0	0
28. Total liabilities (Lines 26 and 27)	151,874,960	105,179,582
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	4,200,990	4,200,990
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	3,000,000	3,000,000
34. Gross paid in and contributed surplus	9,732,810	9,732,810
35. Unassigned funds (surplus)	84,463,346	77,247,531
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)	0	0
36.2 shares preferred (value included in Line 31 \$)	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	101,397,146	94,181,331
38. Totals (Page 2, Line 28, Col. 3)	253,272,106	199,360,913
DETAILS OF WRITE-INS		
2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 211,430,972)	143,904,693	118,381,647	163,430,164
1.2 Assumed (written \$ 6,123,763)	2,059,279	84,204	288,740
1.3 Ceded (written \$ 192,595,330)	132,891,771	105,675,583	143,916,707
1.4 Net (written \$ 24,959,405)	13,072,201	12,790,268	19,802,197
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 3,252,118):			
2.1 Direct	80,614,806	68,557,101	93,529,204
2.2 Assumed	854,370	2,071	22,820
2.3 Ceded	78,350,162	66,950,585	90,415,578
2.4 Net	3,119,014	1,608,587	3,136,446
3. Loss adjustment expenses incurred			56,618
4. Other underwriting expenses incurred	3,737,051	4,418,116	7,600,212
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	6,856,065	6,026,703	10,793,276
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	6,216,136	6,763,565	9,008,921
INVESTMENT INCOME			
9. Net investment income earned	3,034,790	2,776,561	3,762,557
10. Net realized capital gains (losses) less capital gains tax of \$ 125,373	471,640	17,966	34,377
11. Net investment gain (loss) (Lines 9 + 10)	3,506,430	2,794,527	3,796,934
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$)	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(359,263)	(208,228)	(307,725)
15. Total other income (Lines 12 through 14)	(359,263)	(208,228)	(307,725)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	9,363,303	9,349,864	12,498,130
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	9,363,303	9,349,864	12,498,130
19. Federal and foreign income taxes incurred	2,336,993	1,794,210	2,894,250
20. Net income (Line 18 minus Line 19)(to Line 22)	7,026,310	7,555,654	9,603,880
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	94,181,330	82,202,503	82,202,503
22. Net income (from Line 20)	7,026,310	7,555,654	9,603,880
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (34,527)	(164,415)	419,234	1,037,153
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	478,284	(165,330)	537,472
27. Change in nonadmitted assets	(124,363)	492,497	800,323
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	7,215,816	8,302,055	11,978,828
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	101,397,146	90,504,558	94,181,330
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)	(5,575)	(6,841)	0
1402. Admin/Service Fee Income	174,275	141,984	186,749
1403. Interest Expense-Funds held	(527,963)	(343,371)	(494,474)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(359,263)	(208,228)	(307,725)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	35,547,155	15,797,976	37,096,891
2. Net investment income	3,412,993	2,856,510	3,954,127
3. Miscellaneous income	(359,263)	(208,228)	(307,725)
4. Total (Lines 1 to 3)	38,600,885	18,446,258	40,743,293
5. Benefit and loss related payments	3,119,014	1,608,587	2,537,134
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	4,207,786	5,040,286	3,172,323
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	4,299,148	2,300,864	2,318,492
10. Total (Lines 5 through 9)	11,625,948	8,949,737	8,027,949
11. Net cash from operations (Line 4 minus Line 10)	26,974,937	9,496,521	32,715,344
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	33,103,065	30,397,340	37,175,610
12.2 Stocks	10,471,163	1,217,586	1,587,655
12.3 Mortgage loans	14,189	14,272	18,852
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	1,885,379	1,699,490	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	45,473,796	33,328,689	38,782,117
13. Cost of investments acquired (long-term only):			
13.1 Bonds	67,771,112	41,208,800	73,854,060
13.2 Stocks	11,135,749	4,527,794	6,420,339
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	19,401	82,187
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	78,906,862	45,755,994	80,356,586
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(33,433,066)	(12,427,305)	(41,574,469)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	(18,992)	(18,992)
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	23,861,096	8,019,248	13,737,962
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	23,861,096	8,000,256	13,718,970
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	17,402,968	5,069,472	4,859,845
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	11,717,251	6,857,406	6,857,406
19.2 End of period (Line 18 plus Line 19.1)	29,120,219	11,926,878	11,717,251

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies

A. Accounting policy

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2021	2020
<u>Net Income</u>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	\$ 7,026,310	\$ 9,603,880
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$ 7,026,310</u>	<u>\$ 9,603,880</u>
<u>Surplus</u>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	\$101,397,146	\$ 94,181,331
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$101,397,146</u>	<u>\$ 94,181,331</u>

B. Use of Estimates in Preparation of the Financial Statement

No significant change.

C. Accounting Policy

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. The Company has one first lien mortgage loan on real estate which are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant change.

Note 3 – Business Combinations and Good Will

No significant change.

Note 4 – Discontinued Operations

No significant change.

Note 5 – Investments

D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors
- 2-4. Not applicable.
5. No impairments exist other than interest rate related declines classified as temporary.

No significant change in other items.

NOTES TO FINANCIAL STATEMENTS

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 – Investment Income

No significant change.

Note 8 – Derivative Instruments

The Company does not own derivative instruments.

Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

1.	9/30/2021			12/31/2020			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a Gross Deferred Tax Assets - Actual	\$2,843,840	\$ -	\$2,843,840	\$2,359,687	\$ -	\$2,359,687	\$ 484,153	\$ -	\$ 484,153
b Statutory Valuation Allowance Adjustments	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Assets	2,843,840	-	2,843,840	2,359,687	-	2,359,687	484,153	-	484,153
d Deferred Tax Assets Nonadmitted	434,190	-	434,190	309,826	-	309,826	124,364	-	124,364
e Subtotal Net Admitted Deferred Tax Asset	2,409,650	-	2,409,650	2,049,861	-	2,049,861	359,789	-	359,789
f Deferred Tax Liabilities	267,803	460,667	728,470	261,932	405,411	667,343	5,871	55,256	61,127
g Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$2,141,847	\$(460,667)	\$1,681,180	\$1,787,929	\$(405,411)	\$1,382,518	\$ 353,918	\$(55,256)	\$ 298,662

2. Admission calculation components SSAP No. 101:

	9/30/2021			12/31/2020			Change			
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total	
Federal Income Taxes Paid in Prior Years										
a Recoverable through loss carrybacks [3 year Carryback]	\$ 1,129,984	\$ -	\$1,129,984	\$ 929,825	\$ -	\$ 929,825	\$ 200,159	\$ -	\$ 200,159	
b Adjusted Gross Deferred Tax Assets Expected to Be Realized (Excluding the amount of Deferred Tax Assets from 2 (a) After Application of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below)	551,197	-	551,197	451,117	-	451,117	100,080	-	100,080	
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	551,197	-	551,197	451,117	-	451,117	100,080	-	100,080	
2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshold	XXX	XXX	15,080,137	XXX	XXX	14,080,726	XXX	XXX	999,411	
c Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	728,470	-	728,470	668,919	-	668,919	59,551	-	59,551	
d Deferred Tax Assets Admitted as the result of application of SSAP 101	Total (2(a) + 2(b) + 2 (c))	\$ 2,409,651	\$ -	\$2,409,651	\$ 2,049,861	\$ -	\$2,049,861	\$ 359,790	\$ -	\$ 359,790

3.	2021		2020	
	Ordinary	Capital	Ordinary	Capital
a Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount	1060%	987%		
b Amount of Adjusted Capital and Surplus Used to Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	99,715,965	92,798,813		

4. Impact of Tax Planning Strategies:

	9/30/2021			12/31/2020			Change		
	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent
a Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	100%	0%	100%	129%	-29%	100%	-29%	29%	0%
c Does the Company's tax-planning strategies include the use of Reinsurance? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>									

B. Deferred tax liabilities not recognized at September 30, 2021 and December 31, 2020 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

NOTES TO FINANCIAL STATEMENTS

C. The provisions for incurred tax on earnings are as follows:

	9/30/2021	12/31/2020	Change
1. Current Income Tax:			
Federal	\$ 2,336,993	\$ 2,894,250	\$ (557,257)
Foreign	-	-	-
Subtotal	2,336,993	2,894,250	(557,257)
Federal income tax on net capital gains	125,373	9,139	116,234
Federal and foreign income taxes incurred	<u>\$ 2,462,366</u>	<u>\$ 2,903,389</u>	<u>\$ (441,023)</u>

2. Deferred Tax Assets:

<u>Ordinary</u>			
Discounting of unpaid losses	\$ 27,591	\$ 27,591	-
Unearned premium reserve	2,755,983	2,255,585	500,398
Investments	60,266	76,511	(16,245)
Subtotal	2,843,840	2,359,687	484,153
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	434,190	309,826	124,364
Admitted ordinary deferred tax assets	<u>\$ 2,409,650</u>	<u>\$ 2,049,861</u>	<u>\$ 359,789</u>
<u>Capital</u>			
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	-	-	-
Admitted capital deferred tax assets	-	-	-
Admitted deferred tax assets	<u>\$ 2,409,650</u>	<u>\$ 2,049,861</u>	<u>\$ 359,789</u>

Deferred Tax Liabilities:

<u>Ordinary</u>			
Fixed assets	267,803	261,932	5,871
Subtotal	<u>\$ 267,803</u>	<u>\$ 261,932</u>	<u>\$ 5,871</u>
<u>Capital</u>			
Investments	460,667	405,411	55,256
Subtotal	<u>460,667</u>	<u>405,411</u>	<u>55,256</u>
Deferred Tax Liabilities	728,470	667,343	61,127
Net deferred tax assets/liabilities	<u>\$ 1,681,180</u>	<u>\$ 1,382,518</u>	<u>\$ 298,662</u>

D. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus as of the period ended was comprised of the following:

	9/30/2021	12/31/2020	Change
Adjusted gross deferred tax assets	\$ 2,843,841	\$ 2,359,687	\$ 484,154
Total deferred tax liabilities	728,470	667,343	61,127
Net deferred tax assets (liabilities)	<u>2,115,371</u>	<u>1,692,344</u>	<u>423,027</u>
Tax effect of unrealized gains (losses)			(34,527)
Change in net deferred income tax			<u>\$ 388,500</u>

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended September 30, 2021 and December 31, 2020, differed from the amounts computed by applying the federal statutory rate of 21% in 2021 and in 2020 to pretax net gain or loss from operations as a result of the following:

	9/30/2021	12/31/2020
Pretax net income (loss)	\$ 9,328,776	\$ 12,507,269
Provision computed at statutory rate	1,959,043	2,626,526
Prior period over accrual	-	(300,420)
Other	(45,077)	39,811
Total	<u>\$ 1,913,966</u>	<u>\$ 2,365,917</u>
Federal and foreign income taxes incurred	2,336,993	2,894,250
Federal Income tax on net capital gains	(34,527)	9,139
Change in net deferred income taxes	(388,500)	(537,472)
Total statutory income tax	<u>\$ 1,913,966</u>	<u>\$ 2,365,917</u>

E. At September 30, 2021, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

NOTES TO FINANCIAL STATEMENTS

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities

No significant change.

Note 11 – Debt

The Company does not have any outstanding debt.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other

No significant change.

Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 – Liabilities, Contingencies and Assessments

No significant change.

Note 15 – Leases

No significant change.

Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 – Direct Premium Written/Produced by Managing General Agents

No significant change.

Note 20 – Fair Value Measurements

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three level fair value hierarchy as reflected in the tables below. The three level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

NOTES TO FINANCIAL STATEMENTS

A. Securities measured and reported at fair value as of September 30, 2021:

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value					
Cash Equivalents					
Exempt MM Fund	\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000
Other MM Fund	7,796,612	-	-	-	7,796,612
Total Cash Equivalent	7,871,612	-	-	-	7,871,612
Bonds					
SVO Identified Funds	2,749,262	-	-	-	2,749,262
Common Stock:					
Indust. & Misc	6,645,430	-	-	-	6,645,430
Mutual Funds	3,300,793	-	-	-	3,300,793
Total Common Stock	9,946,223	-	-	-	9,946,223
Preferred Stock:					
Indust. & Misc	459,316	-	-	-	459,316
Derivative assets	-	-	-	-	-
Separate account assets	-	-	-	-	-
Total assets at fair value	21,026,413	-	-	-	21,026,413
Liabilities at fair value	-	-	-	-	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

B. Other fair value disclosures

Not applicable

C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.

At September 30, 2021

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 203,617,872	\$ 199,645,520	\$ 34,393,869	\$ 169,224,003	\$ -	\$ -
Preferred Stock	459,316	459,316	459,316	-	-	-
Common Stock	9,946,223	9,946,223	9,946,223	-	-	-
Mortgage loans	-	181,401	-	-	-	181,401
Cash, cash equivalents and short-term investments	29,120,219	29,120,219	29,120,219	-	-	-

At December 31, 2020

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 173,162,547	\$ 165,250,519	\$ 31,798,457	\$ 141,364,090	\$ -	\$ -
Preferred Stock	-	-	-	-	-	-
Common Stock	9,057,718	9,057,718	9,057,718	-	-	-
Mortgage loans	-	195,590	-	-	-	195,590
Cash, cash equivalents and short-term investments	11,717,251	11,717,251	11,717,251	-	-	-

D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans				
DAC 002 Mokena, IL	181,401	4%	9/1/2029	See paragraph above

E. No investments are measured at NAV.

Note 21 – Other Items

No significant change.

Note 22 – Subsequent Events

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 23 – Reinsurance

No significant change.

Note 24 – Retrospectively Rated Contracts & Subject to Redetermination

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses

Reserves as of December 31, 2020 were \$875,890. As of September 30, 2021, \$742,786 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$133,104 of favorable loss development in prior years.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date.

Note 26 – Intercompany Pooling Arrangements

Not applicable.

Note 27 – Structure Settlements

Not applicable.

Note 28 – Health Care Receivables

Not applicable.

Note 29 – Participating Policies

Not applicable.

Note 30 – Premium Deficiency Reserves

No significant change.

Note 31 – High Deductibles

Not applicable.

Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses

Not applicable.

Note 33 – Asbestos/Environmental Reserves

Not applicable.

Note 34 – Subscriber Savings Accounts

Not applicable.

Note 35 – Multiple Peril Crop Insurance

Not applicable.

Note 36 – Financial Guaranty Insurance

The Company does not write financial guaranty insurance.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC. Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A [] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/02/2019

6.4 By what department or departments?

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the US.	Quebec, Canada	NO	NO	NO	NO

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.56,307

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []

11.2 If yes, give full and complete information relating thereto:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13. Amount of real estate and mortgages held in short-term investments: \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A []
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$	0
16.3 Total payable for securities lending reported on the liability page.	\$	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F.
 Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank	Cincinnati, OH
US Bank	Winston Salem, NC
Wells Fargo Bank	Richmond, VA
Citibank, NA	New York, NY
RBS Citizens, NA	Providence, RI
Conduent State & Local Solutions	Quincy, MA
Bank of New York Mellon	Atlanta, GA
First National Bankers Bank	Little Rock, AR
Ameritrade	Omaha, NE
Umpqua Bank	San Diego, CA

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions)	A
Asset Allocation & MGMT. Co.	U
Bank of NY Wealth Mgmt.	U
San Luis Wealth Advisors, LLC	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
Exempt	Industrial Alliance Investment Management Inc. (make investment decisions)	N/A	N/A	DS
109875	Asset Allocation & MGMT. Co.	549300DSCHEVIV5W3U963	Securities Exchange Commission	NO
8275	Bank of NY Wealth Mgmt.	N/A	Office of Controller of Currency	NO
309506	San Luis Wealth Advisors, LLC	N/A	Securities Exchange Commission	NO

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
 Has the reporting entity self-designated PLGI securities? Yes [] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
 a. The shares were purchased prior to January 1, 2019.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 d. The fund only or predominantly holds bonds in its portfolio.
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [] N/A [X]
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent %

5.2 A&H cost containment percent %

5.3 A&H expense percent excluding cost containment expenses %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
00000	AA-0051001	Papa Bear Indemnities Reinsurance CompanyTCA.			
00000	AA-0051297	Glacier Reinsurance CompanyTCA.			
00000	AA-0057253	MPHPS Reinsurance CompanyTCA.			
00000	AA-0057739	Dahl Automotive Group Reinsurance CompanyTCA.			
00000	AA-0057963	Badger Valley II Reinsurance CompanyTCA.			
00000	AA-0058657	Thompson Denson Reinsurance CompanyTCA.			
00000	AA-0058677	Flow Reinsurance CompanyTCA.			
00000	AA-0058710	Monster Motors Reinsurance CompanyTCA.			
00000	AA-0058820	Thomason Warranty Reinsurance CompanyTCA.			
00000	AA-0059272	DEL Reinsurance CompanyTCA.			
00000	AA-0059273	Bork Reinsurance CompanyTCA.			
00000	AA-0059490	Wishlist Autos Reinsurance CompanyTCA.			
00000	AA-0059491	Pappas Reinsurance CompanyTCA.			
00000	AA-0059528	WCFX Reinsurance CompanyTCA.			
00000	AA-0059545	Bear Indemnities Group III Reinsurance CompanyTCA.			
00000	AA-0059546	Bear Indemnities Group IIII Reinsurance CompanyTCA.			
00000	AA-0059569	DK Blacksmith Reinsurance CompanyTCA.			
00000	AA-0059580	Ocotillo Reinsurance CompanyTCA.			
00000	AA-0059594	Sunnyvale Reinsurance CompanyTCA.			
00000	AA-0059596	JSF Reinsurance CompanyTCA.			
00000	AA-0059597	MSF Reinsurance CompanyTCA.			
00000	AA-0059599	MGF Reinsurance CompanyTCA.			
00000	AA-0059621	Ritz Cove Reinsurance CompanyTCA.			
00000	AA-0059625	MAMEAR2.0 Reinsurance CompanyTCA.			
00000	AA-0059629	3 Card Reinsurance CompanyTCA.			
00000	AA-0059644	Siete Vista Reinsurance CompanyTCA.			
00000	AA-0059656	Exclusive Reinsurance CompanyTCA.			
00000	AA-0059660	T's Reinsurance CompanyTCA.			
00000	AA-0059694	Harding Auto Group Reinsurance CompanyTCA.			
00000	AA-0059717	Landmark Holdings Reinsurance CompanyTCA.			
00000	AA-0059748	Roger Niello Reinsurance CompanyTCA.			
00000	AA-0059749	David Niello Reinsurance CompanyTCA.			
00000	AA-0059750	Richard Niello Reinsurance CompanyTCA.			
00000	AA-0059754	Frog Dog Reinsurance CompanyTCA.			
00000	AA-0059755	X International Reinsurance CompanyTCA.			
00000	AA-0059880	Chaban-Morales Reinsurance CompanyTCA.			
00000	AA-0059923	Top of the Lane Reinsurance CompanyTCA.			
00000	AA-0059935	Coconut Bayou Reinsurance CompanyTCA.			
00000	AA-0059940	Mar Vista Reinsurance CompanyTCA.			
00000	AA-0059941	Winters Way Reinsurance CompanyTCA.			
00000	AA-0059960	Torrey Pines Reinsurance CompanyTCA.			
00000	AA-0059996	J & Z Reinsurance CompanyTCA.			
00000	AA-7770715	JSF Reins Co LtdZZZ.			
00000	AA-7770716	Go Blue Reins Co LtdZZZ.			
00000	AA-7770717	JSF and AAF Reins Co LtdZZZ.			
00000	AA-7770718	MSF Reins Co LtdZZZ.			
00000	AA-7770719	MGF Reins Co LtdZZZ.			
00000	AA-7770720	JSF and MGF Reins Co LtdZZZ.			
00000	AA-7770775	DK Automotive Holdings Reins Co IncZZZ.			
00000	AA-7770776	DFV Reins Co IncZZZ.			
00000	AA-7770777	BEG Reins Co IncZZZ.			
00000	AA-7770842	JAD Reinsurance CompanyZZZ.			
00000	AA-7770843	Rustic Ridge Reinsurance CompanyZZZ.			
00000	AA-7770844	NRS Reinsurance CompanyZZZ.			

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
00000	AA-7770895	David Reinsurance Company	ZZZ			
00000	AA-7770896	Nadine Reinsurance Company	ZZZ			

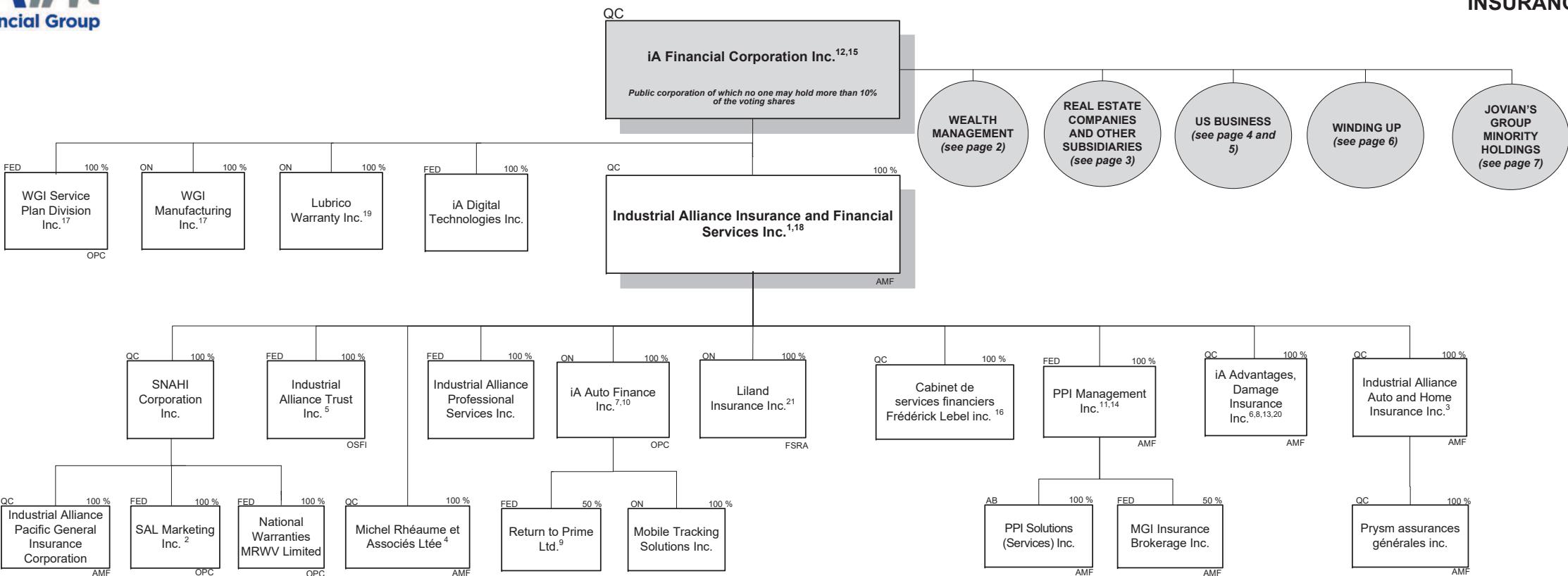
STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	2,735,395	1,829,304	974,228	773,061	9,558	5,695
2. Alaska	AK	147,375	146,675	60,217	45,860	0	0
3. Arizona	AZ	660,035	491,865	232,375	243,729	17	0
4. Arkansas	AR	1,541,300	1,942,882	1,000,672	1,076,995	127,534	0
5. California	CA	2,543,974	2,284,040	501,725	1,192,168	10,899	54,223
6. Colorado	CO	13,167,273	7,500,068	2,596,933	2,938,236	225,914	186,120
7. Connecticut	CT	768,828	496,804	196,144	328,379	0	0
8. Delaware	DE	9,356,414	5,906,263	5,075,811	4,184,111	269,304	357,365
9. District of Columbia	DC	0	0	0	0	0	0
10. Florida	FL	12,240,719	5,972,199	4,351,744	3,942,211	617,573	538,368
11. Georgia	GA	2,559,980	2,090,870	1,380,242	1,503,878	13,536	8,917
12. Hawaii	HI	0	0	0	0	0	0
13. Idaho	ID	33,405	294,892	1,271	137,134	0	12,325
14. Illinois	IL	2,843,077	2,330,879	1,094,454	849,408	72	1,615
15. Indiana	IN	2,572,663	2,239,355	1,141,046	1,131,012	0	0
16. Iowa	IA	1,371,232	679,353	474,381	406,677	0	0
17. Kansas	KS	234,865	155,251	43,276	54,138	14,543	0
18. Kentucky	KY	1,127,237	924,687	496,019	388,615	13,028	18,852
19. Louisiana	LA	2,158,027	2,055,025	1,181,131	1,301,286	267,883	0
20. Maine	ME	960,941	848,075	390,107	506,989	0	0
21. Maryland	MD	1,073,194	1,098,360	640,591	763,175	0	0
22. Massachusetts	MA	1,586,977	457,624	280,236	244,816	0	0
23. Michigan	MI	7,156,853	6,048,736	4,066,557	4,667,534	0	0
24. Minnesota	MN	1,704,946	1,323,472	1,057,779	925,981	0	0
25. Mississippi	MS	1,677,278	1,889,401	668,646	904,822	0	0
26. Missouri	MO	1,953,319	2,089,211	861,426	1,063,044	0	0
27. Montana	MT	95,594	188,051	34,152	137,794	0	8,027
28. Nebraska	NE	321,637	423,840	251,437	192,402	50,039	11,978
29. Nevada	NV	347,581	132,087	93,501	117,663	0	0
30. New Hampshire	NH	194,359	274,811	75,544	.97,060	0	0
31. New Jersey	NJ	2,210,328	1,859,461	831,751	769,818	1,682	0
32. New Mexico	NM	1,519,177	2,345,949	380,750	229,464	0	0
33. New York	NY	3,066,313	3,212,647	2,193,610	2,452,545	0	0
34. North Carolina	NC	14,338,494	12,561,158	6,606,514	6,318,129	1,023,649	848,035
35. North Dakota	ND	93,866	37,522	34,594	.37,257	0	0
36. Ohio	OH	42,427,415	22,397,736	11,241,244	4,548,016	406,670	120,329
37. Oklahoma	OK	1,579,717	304,443	1,034,911	229,032	.47,788	4,949
38. Oregon	OR	397,458	301,728	143,330	.51,821	0	0
39. Pennsylvania	PA	4,252,036	3,439,606	1,486,261	1,437,683	3,405	0
40. Rhode Island	RI	218,588	263,831	105,199	.94,253	0	0
41. South Carolina	SC	386,969	451,805	187,008	.97,361	.149	0
42. South Dakota	SD	57,456	80,725	64,049	.47,188	0	0
43. Tennessee	TN	2,837,194	2,194,244	1,102,873	1,061,436	11,634	.414
44. Texas	TX	36,611,863	29,357,340	17,526,510	14,222,637	4,851,221	4,897,980
45. Utah	UT	167,043	38,500	76,616	.12,839	0	0
46. Vermont	VT	100,316	124,937	.76,720	.67,491	0	0
47. Virginia	VA	1,002,406	925,882	484,418	.508,840	0	0
48. Washington	WA	24,734,959	10,839,063	6,906,087	5,463,718	1,002,873	.603,017
49. West Virginia	WV	852,124	615,212	.352,170	.316,124	17,684	0
50. Wisconsin	WI	1,391,807	1,206,160	.528,781	.452,765	2,207	0
51. Wyoming	WY	50,965	73,009	.29,765	.20,507	.13	6
52. American Samoa	AS	N	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	211,430,972	144,745,038	80,614,806	68,557,102	8,988,875	7,678,215
DETAILS OF WRITE-INS							
58001.	XXX		0		0		0
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 51 R - Registered - Non-domiciled RRGs..... 0
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0 N - None of the above - Not allowed to write business in the state 6



¹ Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.

² Formerly Seaboard Marketing Inc.

³ Formerly Industrial Alliance General Insurance Company.

⁴ Formerly Société d'Investissements L'Excellence Ltée.

⁵ Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.

⁶ Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1st, 2014.

⁷ Acquisition of CTL Corp. by IAIFS on October 1st, 2015.

⁸ Amalgamation of IA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1st, 2017.

⁹ Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3rd, 2017.

¹⁰ CTL Corp. changed its name to IA Auto Finance Inc. on June 19, 2017.

¹¹ Acquisition of PPI Management Inc. by IAIFS on February 26, 2018

¹² Incorporated by IAIFS on February 20, 2018.

¹³ Amalgamation between IA Avantages, Cabinet d'assurance inc. Premier Plan Assurance inc. et Performa Assurance inc. on January 1st, 2019.

The name of the amalgamated corporation is IA Avantages, Cabinet d'assurance inc.

¹⁴ Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1st, 2019. The name of the amalgamated corporation is PPI Management Inc.

¹⁵ January 1st, 2019, effective date of the Arrangement.

¹⁶ Acquisition of Cabinet de services financiers Frédéric Lebel Inc. by IAIFS on August 15, 2019.

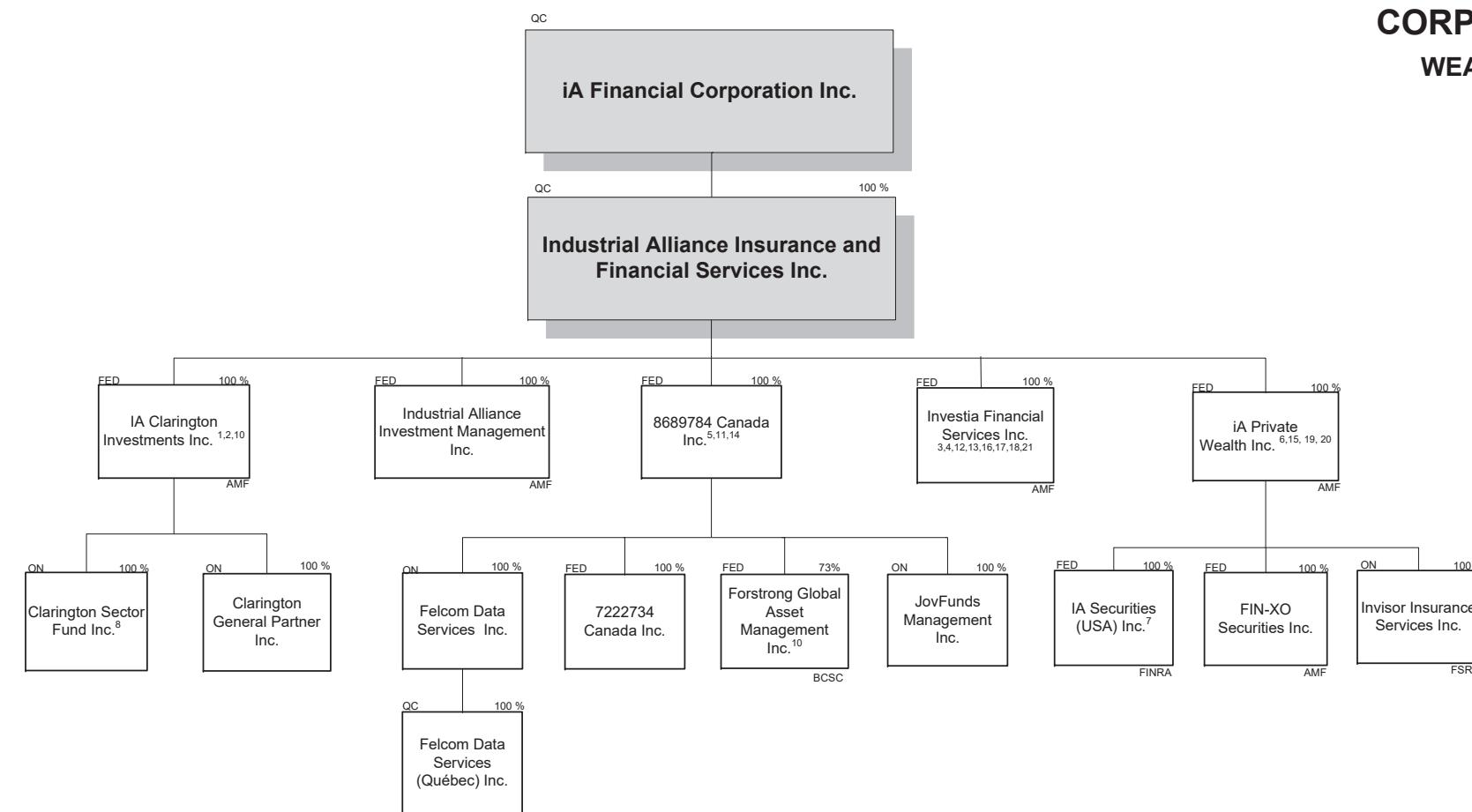
¹⁷ Acquisition of WGI Manufacturing Inc. and WGI Services Plan Division Inc. January 1st, 2020.

¹⁸ Amalgamation between Corporation Financière l'Excellence Ité and The Excellence Life Insurance Company on January 1st, 2020.

¹⁹ Acquisition of LWI Holdings Ltd. On January 8, 2020 and amalgamation with Lubrico Warranty Inc.

²⁰ Formerly IA Avantages, Cabinet d'assurances inc.

²¹ Acquisition of Liland Insurance Inc. by IAIFS on October 1st, 2020.



¹ Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1st, 2009.

² Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1st, 2011.

³ Acquisition of National Financial Corporation on July 1st, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.

⁴ Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1st, 2009.

⁵ Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1st, 2014.

⁶ Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1st, 2014.

⁷ Formerly MGI Securities (USA) Inc.

⁸ Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.

⁹ Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1st, 2015.

¹⁰ Formerly Hahn Investment Stewards & Company Inc.

¹¹ Formerly Jovian Capital Corporation.

¹² Acquisition of Les Services financiers Planifax inc. by Investia on January 1st, 2016.

¹³ Amalgamation between Investia Financial Services and Planifax on January 1st, 2016.

¹⁴ Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.

¹⁵ Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1st, 2016.

¹⁶ Acquisition of Byrns Holdings Inc. and Christoph Holdings Inc. by Investia Financial Services Inc. on December 1st, 2016.

¹⁷ Liquidation of Byrns Holdings Inc., Christoph Holdings Inc. Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15, 2016 and Winding up of Byrns, Christoph, Roybyrns and G.A.P. on December 31st, 2016.

¹⁸ Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.

¹⁹ Formerly Industrial Alliance Securities Inc.

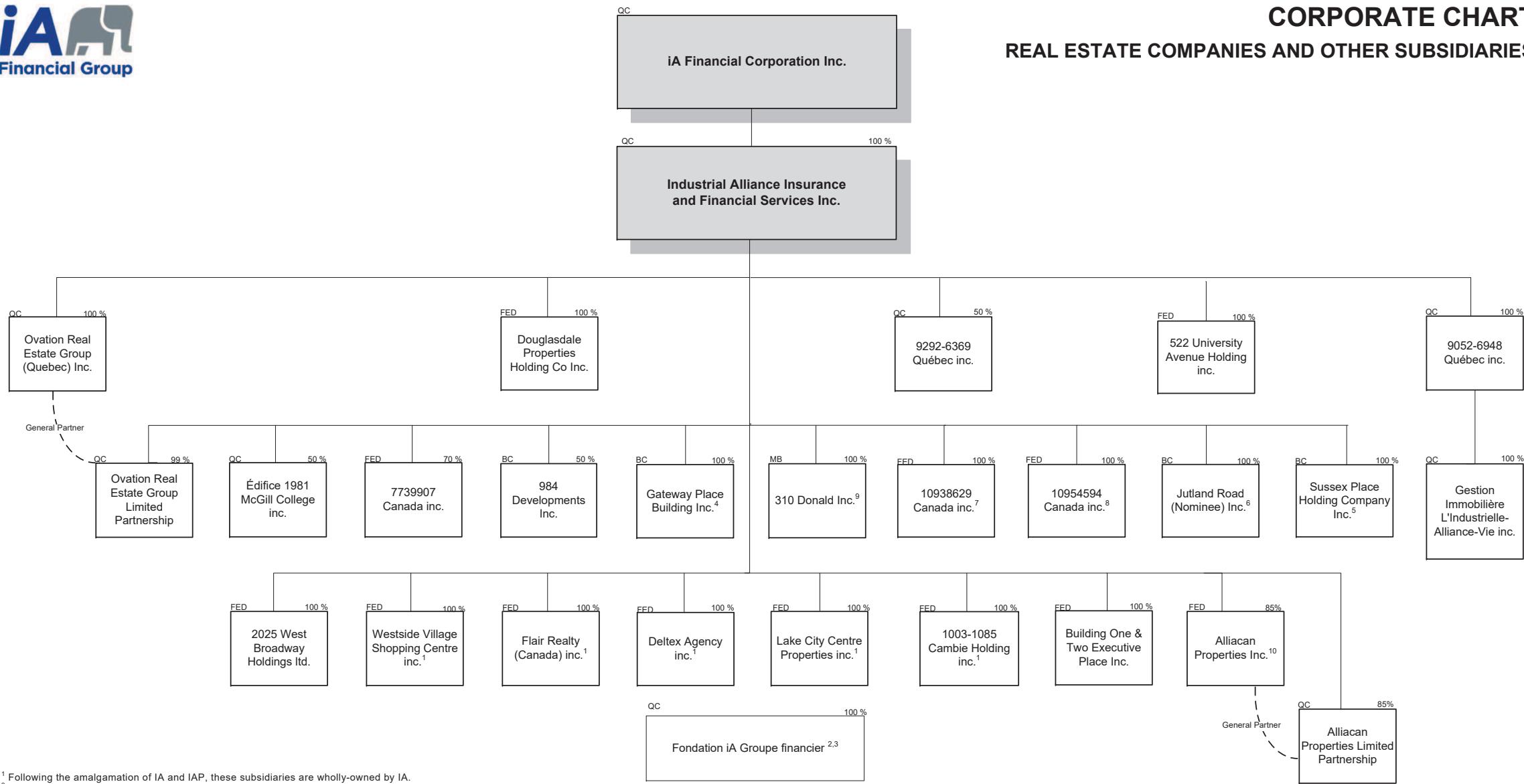
²⁰ Amalgamation of IA Private Wealth Inc., Invisor Financial Inc and Invisor Management Inc. on April 1st, 2021.

²¹ Amalgamation of FundEx Investments Inc. and Investia Financial Services Inc. on July 1st, 2021.

CORPORATE CHART

REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company



¹ Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.

² Non-profit organization with charitable status.

³ Formerly Industrial Alliance Foundation for Learning a Second Language

⁴ Formerly Bosa Properties (Gateway-Comm) Inc.

⁵ Incorporated by IAIFS on November 1st, 2017.

⁶ Purchased by IAIFS on November 15, 2017.

⁷ Incorporated by IAIFS on August 9, 2018.

⁸ Incorporated by IAIFS on August 21, 2018.

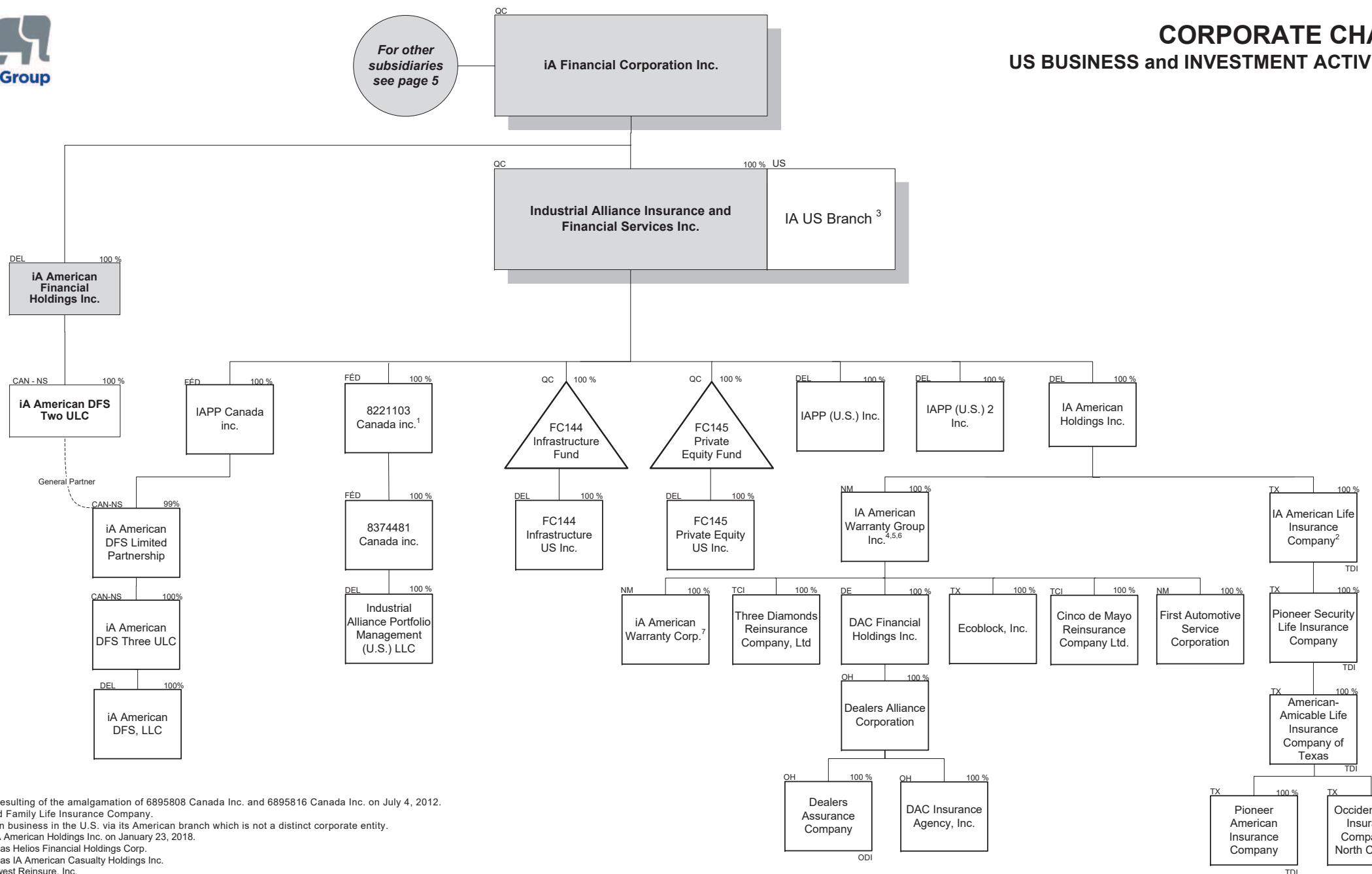
⁹ Purchased by IAIFS on October 31, 2018.

¹⁰ Incorporated by IAIFS on August 20, 2019.



CORPORATE CHART US BUSINESS and INVESTMENT ACTIVITIES

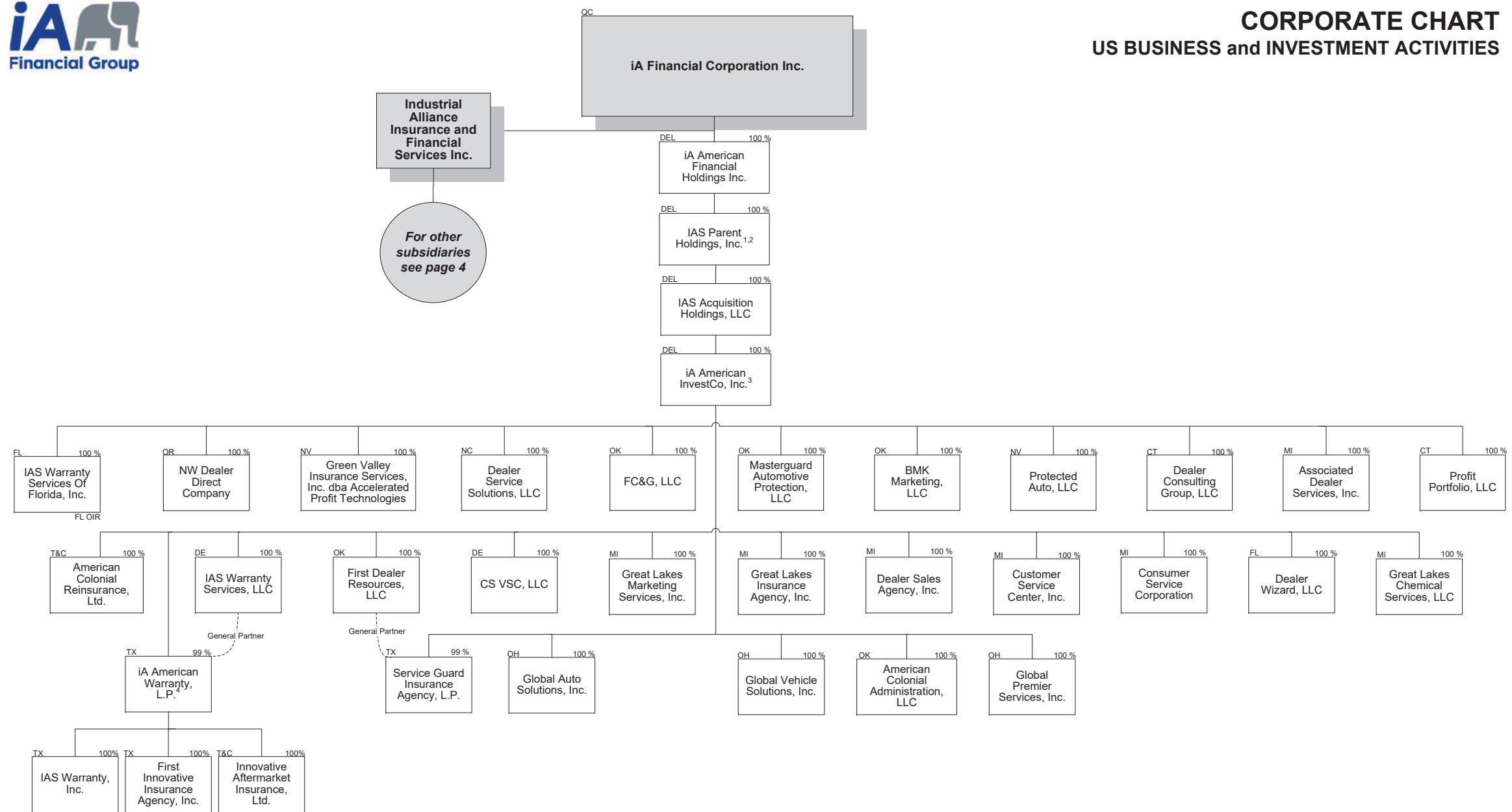
STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company





CORPORATE CHART US BUSINESS and INVESTMENT ACTIVITIES

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

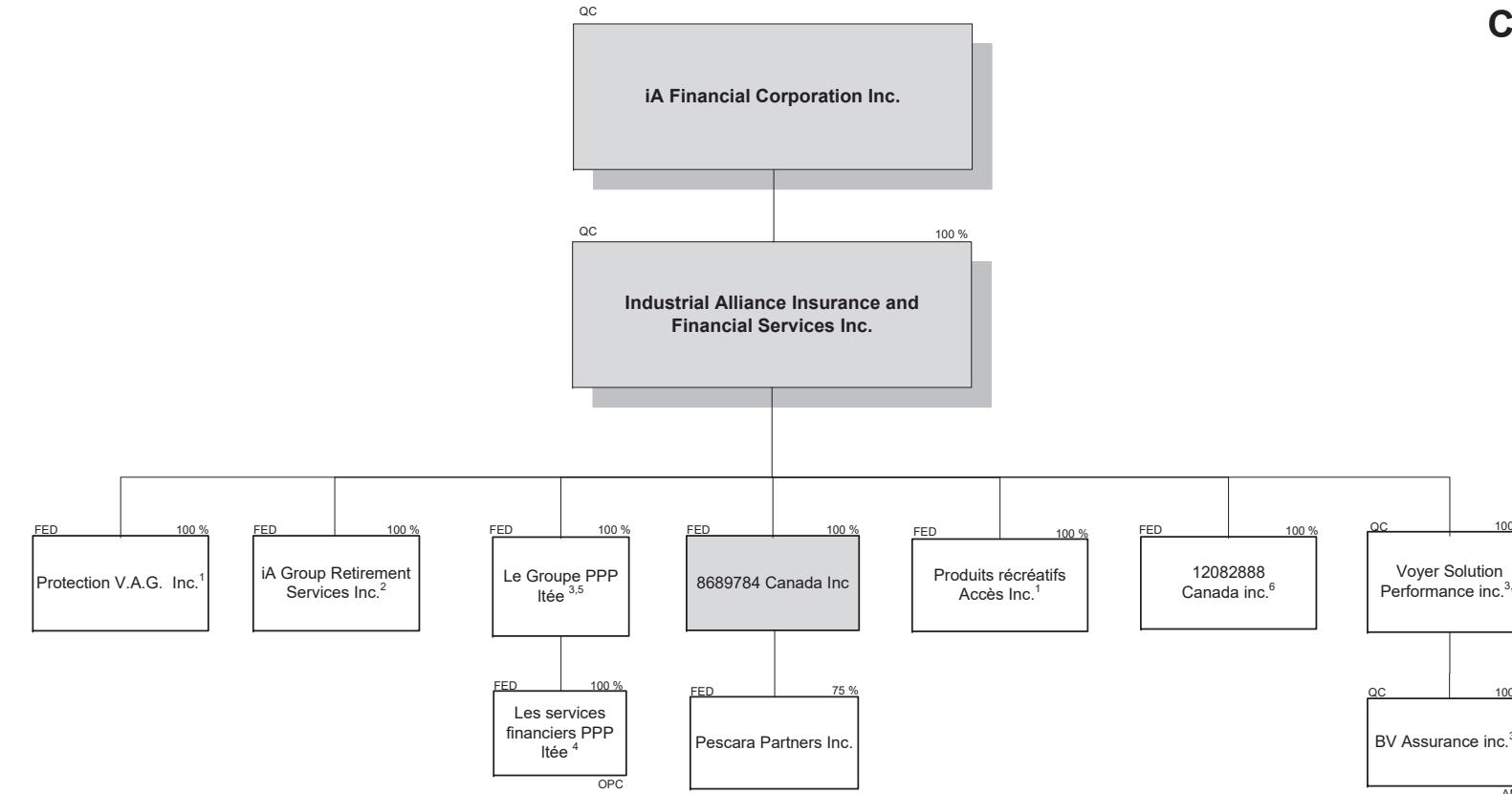


¹ Acquisition on May 22, 2020.

² Amalgamation with IA American Merger Inc. on May 22, 2020.

³ Formerly IAS InvestCo, Inc.

⁴ Formerly Innovative Aftermarket Systems L.P.



¹ Liquidated in iAIFS on July 1st, 2013.

² Liquidated in iAIFS on December 17, 2018.

³ Acquisition of Groupe PPP by iAIFS on November 18, 2016.

⁴ Liquidated in Le Groupe PPP Ltée on April 1st, 2019.

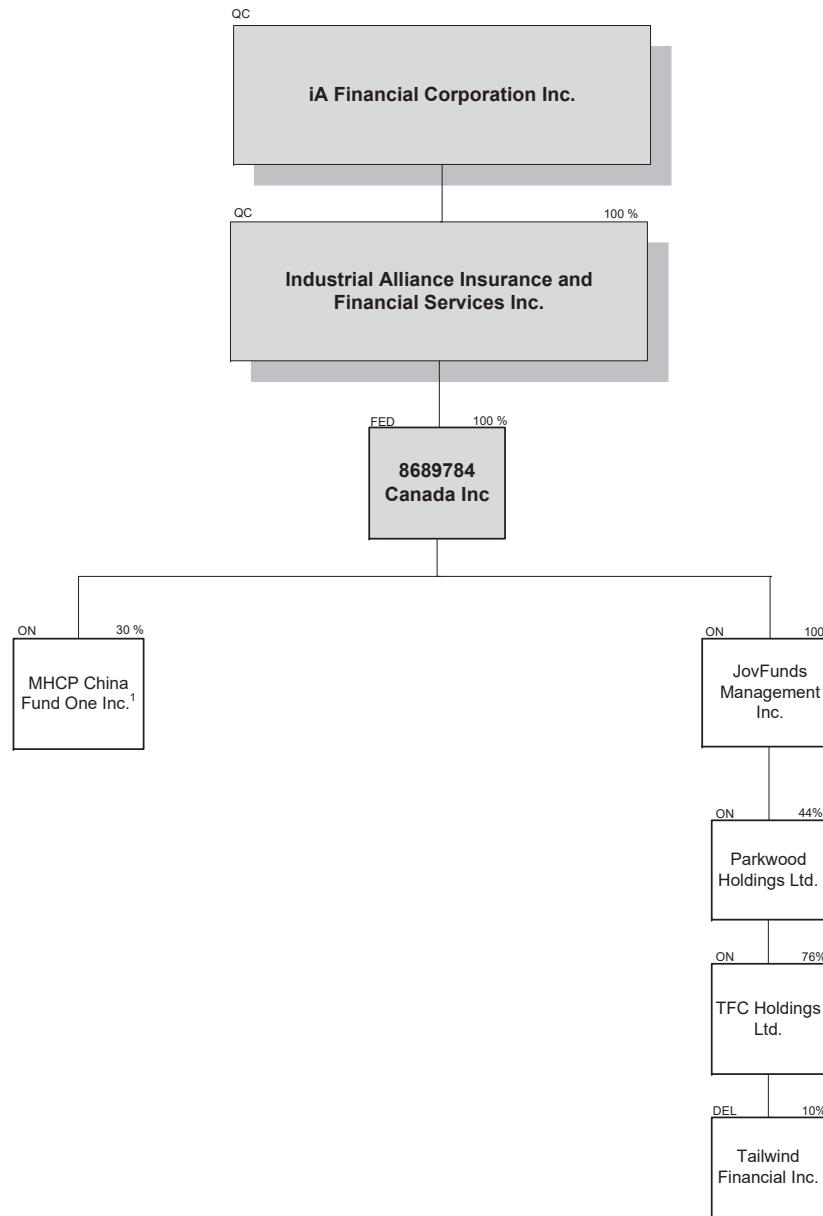
⁵ Liquidated in iAIFS on April 1st, 2019.

⁶ Liquidated in iAIFS on May 28th, 2020.

⁷ Liquidated in Voyer Solution Performance inc. on August 2nd, 2021.

⁸ Liquidated in iAIFS on August 2nd, 2021.

CORPORATE CHART JOVIAN GROUP'S MINORITY HOLDINGS



¹ Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1st, 2018.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(es)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0315	Industrial Alliance GRP	14406	98-0018913			Industrial Alliance Insurance and Financial Services - USB		TX	IA	Industrial Alliance Insurance and Financial Services Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	27-2524532			IA American Holdings		DE	UDP	Industrial Alliance Insurance and Financial Services - USB	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	85-0479228			IA American Warranty Group Inc.		NM	UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	20-0833446			DAC Financial Holdings, Inc.		DE	UIP	IA American Warranty Group Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	31-0906655			Dealers Alliance Corp.		OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	16705	34-6513705			Dealers Assurance Company		OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	31-0908416			DAC Insurance Agency, Inc.		OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	46-2008908			DAC Solutions, Inc.		TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	85-0339432			Southwest Reinsure, Inc.		NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	98-0231706			Three Diamonds Reinsurance Co., LTD		TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	42-1563507			Ecoblock, Inc.		TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	98-0516902			Cinco de Mayo Reinsurance Co., LTD		TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	91-2020119			First Automotive Service Corp.		NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	91693	13-3036472			IA American Life Insurance Company		TX	IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67946	75-1083342			Pioneer Security Life Insurance Company		TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	68594	74-2179909			American Amicable Life Ins. Co. of Texas		TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67148	56-0343440			Occidental Life Insurance Co. of North Carolina		TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67873	75-0914374			Pioneer American Insurance Company		TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	

12

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied Lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril			0.0	0.0
5. Commercial multiple peril			0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability - occurrence			0.0	0.0
11.2 Medical professional liability - claims-made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability - occurrence	9,666,294	5,941,629	61.5	68.1
17.2 Other liability - claims-made			0.0	0.0
17.3 Excess workers' compensation			0.0	0.0
18.1 Products liability - occurrence			0.0	0.0
18.2 Products liability - claims-made			0.0	0.0
19.1,19.2 Private passenger auto liability			0.0	0.0
19.3,19.4 Commercial auto liability			0.0	0.0
21. Auto physical damage			0.0	0.0
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty	134,238,399	74,673,177	55.6	56.6
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. Totals	143,904,693	80,614,806	56.0	57.9
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date	
1. Fire	0			
2. Allied Lines	0			
3. Farmowners multiple peril	0			
4. Homeowners multiple peril	0			
5. Commercial multiple peril	0			
6. Mortgage guaranty	0			
8. Ocean marine	0			
9. Inland marine	0			
10. Financial guaranty	0			
11.1 Medical professional liability - occurrence	0			
11.2 Medical professional liability - claims-made	0			
12. Earthquake	0			
13. Group accident and health	0			
14. Credit accident and health	0			
15. Other accident and health	0			
16. Workers' compensation	0			
17.1 Other liability - occurrence	5,124,300	14,949,306	10,884,248	
17.2 Other liability - claims-made	0			
17.3 Excess workers' compensation	0			
18.1 Products liability - occurrence	0			
18.2 Products liability - claims-made	0			
19.1,19.2 Private passenger auto liability	0			
19.3,19.4 Commercial auto liability	0			
21. Auto physical damage	0			
22. Aircraft (all perils)	0			
23. Fidelity	0			
24. Surety	0			
26. Burglary and theft	0			
27. Boiler and machinery	0			
28. Credit	0			
29. International	0			
30. Warranty	77,164,928	196,481,666	133,860,790	
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	
34. Aggregate write-ins for other lines of business	0	0	0	
35. Totals	82,289,228	211,430,972	144,745,038	
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2021 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2018 + Prior0			.0				0	0	0	0
2. 20190			.0				0	0	0	0
3. Subtotals 2019 + Prior	0	0	.0	0	0	0	0	0	0	0	0	0	0
4. 2020		876	876	493	250	743					493	(626)	(133)
5. Subtotals 2020 + Prior	0	876	876	493	250	743	0	0	0	0	493	(626)	(133)
6. 2021	XXX	XXX	XXX	XXX	2,376	2,376	XXX		876	876	XXX	XXX	XXX
7. Totals	0	876	876	493	2,626	3,119	0	0	876	876	493	(626)	(133)
8. Prior Year-End Surplus As Regards Policyholders		94,181									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (71.5)	3. (15.2)
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. (0.1)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



1 6 7 0 5 2 0 2 1 4 8 0 0 0 0 0 3

2. Supplement A to Schedule T [Document Identifier 455]



1 6 7 0 5 2 0 2 1 4 5 5 0 0 0 0 3

3. Medicare Part D Coverage Supplement [Document Identifier 365]



1 6 7 0 5 2 0 2 1 3 8 5 0 0 0 0 3

4. Director and Officer Supplement [Document Identifier 505]



1 6 7 0 5 2 0 2 1 5 0 5 0 0 0 0 3

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company
OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,818,465	3,796,984
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	82,187	
3. Current year change in encumbrances	0	
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value	0	
7. Deduct current year's other than temporary impairment recognized	0	
8. Deduct current year's depreciation	94,406	60,706
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	3,724,059	3,818,465
10. Deduct total nonadmitted amounts	0	
11. Statement value at end of current period (Line 9 minus Line 10)	3,724,059	3,818,465

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	195,590	214,442
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	14,189	18,852
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	181,401	195,590
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	181,401	195,590
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	181,401	195,590

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	174,308,237	131,668,109
2. Cost of bonds and stocks acquired	78,906,862	80,274,399
3. Accrual of discount	118,809	171,288
4. Unrealized valuation increase (decrease)	254,440	1,310,858
5. Total gain (loss) on disposals	597,013	43,517
6. Deduct consideration for bonds and stocks disposed of	43,747,806	38,893,245
7. Deduct amortization of premium	560,073	396,669
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	173,578	129,980
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10)	210,051,059	174,308,237
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	210,051,059	174,308,237

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	131,781,114	23,683,273	7,155,621	861,051	131,074,137	131,781,114	149,169,817	128,317,841
2. NAIC 2 (a)	44,732,744	10,438,364	4,156,430	(1,029,067)	43,168,779	44,732,744	49,985,611	35,742,931
3. NAIC 3 (a)	490,852	0	0	1,200	489,667	490,852	492,053	1,189,747
4. NAIC 4 (a)	0	199,560	0	(526)	0	0	0	199,034
5. NAIC 5 (a)	0				0	0	0	0
6. NAIC 6 (a)	0				0	0	0	0
7. Total Bonds	177,004,711	34,321,197	11,312,051	(167,342)	174,732,582	177,004,711	199,846,515	165,250,519
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	569,020	(582,674)	96,651	569,621	605,606	569,020	459,316	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	569,020	(582,674)	96,651	569,621	605,606	569,020	459,316	0
15. Total Bonds and Preferred Stock	177,573,731	33,738,523	11,408,702	402,279	175,338,189	177,573,731	200,305,832	165,250,519

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 200,995 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SI02

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	200,995	XXX	202,555	1,875	1,093

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	303,574	
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	100,000	
7. Deduct amortization of premium	2,579	
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	200,995	0
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	200,995	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,971,794	1,703,683
2. Cost of cash equivalents acquired	65,338,833	81,781,082
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	59,439,015	81,512,971
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	7,871,612	1,971,794
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	7,871,612	1,971,794

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
912828-32-1	UNITED STATES TREASURY		.08/17/2021	BARCLAYS CAP/FIXED INCOME, NEW YORK	107,820				.1,278
912828-4N-7	UNITED STATES TREASURY		.09/17/2021	GOLDMAN SACHS & CO, NY	27,818				.250
912828-6B-1	UNITED STATES TREASURY		.08/30/2021	GOLDMAN SACHS & CO, INC.	454,461				.468
912828-D5-6	UNITED STATES TREASURY		.08/17/2021	BMO CAPITAL MARKETS CORP	105,867				.19
912828-G3-8	UNITED STATES TREASURY		.08/06/2021	BARCLAYS CAP/FIXED INCOME, NEW YORK	105,770				.526
912828-M5-6	UNITED STATES TREASURY		.09/17/2021	NatWest Markets	106,230				.783
912828-P4-6	UNITED STATES TREASURY		.09/17/2021	JP MORGAN SECURITIES LLC	103,660				.159
912828-IU-5	UNITED STATES TREASURY		.08/06/2021	DEUTSCHE BANC ALEX. BROWN INST	52,938				.292
912828-XB-1	UNITED STATES TREASURY		.08/06/2021	CITIGROUP GBL MKTS/SALOMON, NEW YORK	105,773				.497
912828-Y9-5	UNITED STATES TREASURY		.09/17/2021	HSBC SEC'S INC, NEW YORK	104,879				.260
912828-ZC-7	UNITED STATES TREASURY		.09/29/2021	BARCLAYS CAPITAL	4,064,076				.3,729
912828-CB-5	UNITED STATES TREASURY		.09/17/2021	Various	308,875				.300,000
912828-CH-2	UNITED STATES TREASURY		.07/16/2021	SG AMERICAS SECURITIES, LLC	531,193				.525,000
									.339
0599999. Subtotal - Bonds - U.S. Governments					6,179,361				9,795
232769-JX-8	CYPRESS-FAIRBANKS TEX INDPT SCH DIST		.09/22/2021	MORGAN STANLEY & CO LLC	885,898				.0
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					885,898				0
02344A-AA-6	AMCOR FLEXIBLES NORTH AMERICA INC		.08/27/2021	MORGAN STANLEY & CO LLC	1,043,480				.7,173
026874-DR-5	AMERICAN INTERNATIONAL GROUP INC		.08/27/2021	STONEX FINANCIAL INC	1,104,090				.5,667
032654-AU-9	ANALOG DEVICES INC		.09/29/2021	Various	1,000,023				.0
03740L-AA-0	AON CORP		.08/18/2021	CITIBANK, N.A.	997,120				.0
037833-DK-3	APPLE INC		.08/09/2021	CITIGROUP GBL MKTS/SALOMON, NEW YORK	54,777				.367
045054-AN-3	ASHTEAD CAPITAL INC		.08/03/2021	Various	1,000,275				.0
046854-3A-7	ATHENE GLOBAL FUNDING		.08/17/2021	GOLDMAN SACHS & CO, INC.	1,400,616				.0
053484-AB-7	AVALONBAY COMMUNITIES INC		.09/09/2021	Various	1,249,093				.0
05531F-BH-5	TRUST FINANCIAL CORP		.09/17/2021	HSBC SEC'S INC, NEW YORK	52,657				.174
055650-DH-8	BP CAPITAL MARKETS PLC		.07/16/2021	BNP PARIBAS SEC BOND, NEW YORK	56,679				.269
06051G-FS-3	BANK OF AMERICA CORP		.08/09/2021	GOLDMAN SACHS & CO, NY	55,543				.54
06406R-AV-9	BANK OF NEW YORK MELLON CORP		.07/20/2021	MORGAN STANLEY & CO LLC	999,240				.0
110122-CN-6	BRISTOL-MYERS SQUIBB CO		.09/17/2021	MARKETAXESS CORPORATION	54,765				.427
17325F-AS-7	CITIBANK NA		.08/09/2021	DEUTSCHE B1 SEC'S INC, NY (NWSUS33)	53,690				.91
191216-CR-9	COCA-COLA CO		.09/17/2021	MARKETAXESS CORPORATION	55,698				.825
20030N-CA-7	COMCAST CORP		.07/16/2021	MERRILL LYNCH PIERCE FENNER	54,973				.678
21036P-BH-0	CONSTELLATION BRANDS INC		.07/21/2021	BARCLAYS CAPITAL	751,643				.0
21688A-AE-2	COOPERATIVE RABOBANK UA (NEW YORK BRANC		.08/09/2021	MARKETAXESS CORPORATION	109,520				.750
22546Q-AP-2	CREDIT SUISSE AG (NEW YORK BRANCH)		.08/18/2021	MERRILL LYNCH PROFESSIONAL CLR	54,138				.811
23331A-BQ-1	D R HORTON INC		.08/27/2021	MARKETAXESS	99,847				.0
24422E-TW-9	JOHN DEERE CAPITAL CORP		.09/17/2021	MILLENIUM ADVISORS LLC	54,129				.51
26444H-AE-1	DUKE ENERGY FLORIDA LLC		.08/17/2021	GOLDMAN SACHS & CO, NY	113,351				.359
29278G-AN-8	ENEL FINANCE INTERNATIONAL NV		.07/07/2021	Various	997,325				.0
36263H-AA-6	GMREV 211 A - ABS		.09/14/2021	BARCLAYS CAPITAL	1,574,687				.0
377372-AL-1	GLAXOSMITHKLINE CAPITAL INC		.08/17/2021	SUMRIDGE PARTNERS LLC	78,994				.0
38141G-YJ-7	GOLDMAN SACHS GROUP INC		.07/15/2021	GOLDMAN SACHS & CO, INC.	784,992				.0
437076-CB-6	HOME DEPOT INC		.07/16/2021	GOLDMAN SACHS & CO, NY	53,924				.356
437076-CH-3	HOME DEPOT INC		.09/07/2021	MERRILL LYNCH FIXED INCOME	677,266				.0
438516-CB-0	HONEYWELL INTERNATIONAL INC		.08/09/2021	MORGAN STANLEY & CO INC, NY	50,870				.131
458140-BU-3	INTEL CORP		.08/26/2021	MERRILL LYNCH FIXED INCOME	1,253,413				.1,250
46625H-JH-4	JPMORGAN CHASE & CO		.08/09/2021	BARCLAYS CAP/FIXED INCOME, NEW YORK	52,125				.71
512807-AU-2	LAM RESEARCH CORP		.07/16/2021	CITIGROUP GBL MKTS/SALOMON, NEW YORK	58,449				.694
548661-ED-5	LOWE'S COMPANIES INC		.09/14/2021	Various	1,000,823				.0
57629W-DE-7	MASSMUTUAL GLOBAL FUNDING II		.07/13/2021	JP MORGAN SECURITIES INC.	1,362,297				.0
58989V-2D-5	MET TOWER GLOBAL FUNDING		.09/07/2021	MERRILL LYNCH FIXED INCOME	499,540				.0
693475-BB-0	PNC FINANCIAL SERVICES GROUP INC		.08/10/2021	PNC BANK	499,055				.0
69353R-EK-0	PNC BANK NA		.09/17/2021	DEUTSCHE B1 SEC'S INC, NY (NWSUS33)	53,463				.0
74256L-EQ-8	PRINCIPAL LIFE GLOBAL FUNDING II		.08/18/2021	MERRILL LYNCH FIXED INCOME	744,538				.0
756109-AS-3	REALTY INCOME CORP		.08/26/2021	CITIBANK, N.A.	1,080,000				.3,750
773903-AL-3	ROCKWELL AUTOMATION INC		.08/03/2021	Various	750,861				.0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
78013X-ZU-5	ROYAL BANK OF CANADA	C.....	.08/17/2021	MARKETAXESS CORPORATION52,727		.50,000		.117 F.FE
857477-AW-3	STATE STREET CORP09/17/2021	DEUTSCHE BUND SECS INC, NY (NWSCUS33)	.53,552		.50,000		.449 1.E.FE
86562M-AF-7	SUMITOMO MITSUI FINANCIAL GROUP INC	C.....	.08/09/2021	CITIGROUP GBL MKTS/SALOMON, NEW YORK53,170		.50,000		.99 1.G.FE
882508-BK-9	TEXAS INSTRUMENTS INC09/08/2021	Various751,805		.750,000		.0 1.E.FE
883556-CL-4	TERMO FISHER SCIENTIFIC INC08/10/2021	BARCLAYS CAPITAL744,113		.750,000		.0 2.A.FE
891140-CA-4	TORONTO DOMINION BANK	C.....	.08/09/2021	GOLDMAN SACHS & CO, NY52,849		.50,000		.217 1.E.FE
911312-BK-1	UNITED PARCEL SERVICE INC09/17/2021	MERRILL LYNCH PROFESSIONAL CLR51,635		.50,000		.590 1.E.FE
913017-DD-8	RAYTHEON TECHNOLOGIES CORP08/27/2021	CITIBANK, N.A.665,250		.600,000		.988 2.A.FE
91324P-EC-2	UNITEDHEALTH GROUP INC07/15/2021	JP MORGAN SECURITIES LLC251,268		.250,000		.479 1.F.FE
98978V-AL-7	ZOETIS INC07/13/2021	SUSQUEHANNA FINANCIAL GROUP LLP	1,086,930		1,000,000		.10,250 2.B.FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						25,751,261	25,270,000	38,005	XXX
464288-58-8	ISHARES:MBB ETF07/13/2021	TD Ameritrade226,923		.0		.0 1.A
464288-62-0	ISHARES:BBG USD LG CP BD07/13/2021	TD Ameritrade597,874		.0		.0 2.A
46435U-85-3	ISHARES:BBG USD HY CP BD07/13/2021	TD Ameritrade199,560		.0		.0 4.B
92206C-40-9	VANGUARD ST CORP BD ETF07/13/2021	TD Ameritrade349,595		.0		.0 2.A
92206C-77-1	VANGUARD MTG-BK IDX ETF07/13/2021	TD Ameritrade65,323		.0		.0 1.A
922907-74-6	VANGUARD TE BD I ETF07/13/2021	TD Ameritrade65,402		.0		.0 1.A.Z
8099999. Subtotal - Bonds - SVO Identified Funds						1,504,676	0	0	XXX
8399997. Total - Bonds - Part 3						34,321,197	32,020,000	47,800	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						34,321,197	32,020,000	47,800	XXX
464288-68-7	ISHARES:PREF AND INC SEC04/13/2021	Various0,000	(.582,674)	.0,00	.0	4.B
464288-68-7	ISHARES:PREF AND INC SEC02/25/2021	TD Ameritrade	1,346,000	.74,971	.0,00	.0	4.B
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						(507,703)	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						(507,703)	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						(507,703)	XXX	0	XXX
00287Y-10-9	ABBVIE ORD07/06/2021	STIFEL NICOLAUS108,000	.12,507	.0		.0
00724F-10-1	ADOBÉ ORD07/13/2021	TD Ameritrade37,000	.22,343	.0		.0
007903-10-7	ADVANCED MICRO DEVICES ORD09/30/2021	Strategas Securities LLC118,000	.12,166	.0		.0
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD08/05/2021	BRIDGE TRADING CO.38,000	.11,059	.0		.0
02079K-30-5	ALPHABET CL A ORD09/30/2021	Various18,000	.46,476	.0		.0
023135-10-6	AMAZON COM ORD08/19/2021	RBC CAPITAL MARKETS, LLC4,000	.12,880	.0		.0
03076C-10-6	AMERIPRISE FINANCE ORD07/16/2021	BRIDGE TRADING CO.61,000	.15,191	.0		.0
060505-10-4	BANK OF AMERICA ORD07/13/2021	TD Ameritrade698,000	.28,004	.0		.0
126650-10-0	CVS HEALTH ORD08/17/2021	BRIDGE TRADING CO.150,000	.12,934	.0		.0
12769G-10-0	CAESARS ENTERTAINMENT ORD07/13/2021	TD Ameritrade177,000	.17,179	.0		.0
143130-10-2	CARMAX ORD07/13/2021	TD Ameritrade154,000	.20,413	.0		.0
150870-10-3	CELANESE ORD08/05/2021	BRIDGE TRADING CO.64,000	.9,832	.0		.0
20030N-10-1	COMCAST CL A ORD07/13/2021	TD Ameritrade190,000	.11,002	.0		.0
22160N-10-9	COSTAR GROUP ORD07/13/2021	TD Ameritrade203,000	.17,385	.0		.0
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD09/20/2021	KEEFE BRUYETTE & WOODS INC.48,000	.12,291	.0		.0
29362U-10-4	ENTEGRIS ORD07/13/2021	TD Ameritrade176,000	.20,782	.0		.0
30303M-10-2	FACEBOOK CL A ORD07/13/2021	TD Ameritrade1,000	.352	.0		.0
37045V-10-0	GENERAL MOTORS ORD07/06/2021	EVERCORE GROUP LLC220,000	.12,672	.0		.0
40637H-10-9	HALOZYME THERAPEUTICS ORD07/13/2021	TD Ameritrade307,000	.13,797	.0		.0
437076-10-2	HOME DEPOT ORD07/13/2021	TD Ameritrade70,000	.22,225	.0		.0
46625H-10-0	JPMORGAN CHASE ORD07/13/2021	TD Ameritrade179,000	.27,899	.0		.0
538034-10-9	LIVE NATION ENTERTAINMENT ORD08/17/2021	BRIDGE TRADING CO.160,000	.12,703	.0		.0
594918-10-4	MICROSOFT ORD07/13/2021	TD Ameritrade52,000	.14,571	.0		.0
632307-10-4	NATERA ORD07/13/2021	TD Ameritrade116,000	.13,590	.0		.0
67066G-10-4	NVIDIA ORD09/30/2021	Various101,000	.45,537	.0		.0
70450Y-10-3	PAYPAL HOLDINGS ORD07/29/2021	Various134,000	.39,835	.0		.0
707569-10-9	PENN NATIONAL GAMING ORD07/13/2021	TD Ameritrade191,000	.13,581	.0		.0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
717081-10-3	PFIZER ORD		.09/20/2021	COHEN AND COMPANY LLC	660.000	29,067		0	
745867-10-1	PULTEGROUP ORD		.09/27/2021	CITIGROUP GLOBAL MARKETS INC.	580.000	28,027		0	
808513-10-5	CHARLES SCHWAB ORD		.07/13/2021	TD Ameritrade	316.000	22,731		0	
82509L-10-7	SHOPIFY CL A SUB VTG ORD	C.	.07/13/2021	TD Ameritrade	15.000	22,607		0	
83304A-10-6	SNAP CL A ORD		.07/13/2021	TD Ameritrade	438.000	27,874		0	
852234-10-3	SQUARE CL A ORD		.07/13/2021	TD Ameritrade	93.000	22,453		0	
88160R-10-1	TESLA ORD		.08/27/2021	Various	95.000	65,729		0	
90138F-10-2	TWILIO CL A ORD		.07/13/2021	TD Ameritrade	44.000	17,098		0	
91324P-10-2	UNITEDHEALTH GRP ORD		.07/13/2021	TD Ameritrade	13.000	5,451		0	
925815-10-2	VICOR ORD		.07/13/2021	TD Ameritrade	161.000	17,312		0	
92826C-83-9	VISA CL A ORD		.07/13/2021	TD Ameritrade	119.000	28,658		0	
949746-10-1	WELLS FARGO ORD		.07/13/2021	TD Ameritrade	642.000	27,962		0	
G0450A-10-5	ARCH CAPITAL GROUP ORD	C.	.07/13/2021	TD Ameritrade	546.000	20,545		0	
V7780T-10-3	ROYAL CARIBBEAN GROUP ORD		.08/04/2021	Various	590.000	43,986		0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						878,703	XXX	0	XXX
464288-68-7	ISHARES: PREF AND INC SEC		.02/25/2021	Various	(1,346.000)	507,703		0	
464298-41-6	ISHARES: MSCI UK SM-CAP		.07/13/2021	TD Ameritrade	218.000	10,409		0	
72201F-49-0	PIMCO: INCOME INST		.07/13/2021	TD Ameritrade	14,472.980	174,713		0	
921943-85-8	VANGUARD DEV MKT ETF		.07/13/2021	TD Ameritrade	768.000	39,758		0	
922042-86-6	VANGUARD FTSE PAC ETF		.07/13/2021	TD Ameritrade	332.000	27,360		0	
9499999. Subtotal - Common Stocks - Mutual Funds						759,942	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						1,638,645	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						1,638,645	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						1,130,942	XXX	0	XXX
9999999 - Totals						35,452,139	XXX	47,800	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	For- eign	Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
.912828-RC-6	UNITED STATES TREASURY		08/15/2021	Maturity @ 100.00		250,000	252,539	250,178	0	(178)	0	0	(178)	0	250,000	0	0	0	0	5,313	08/15/2021	1.A
.912828-ZG-8	UNITED STATES TREASURY		09/30/2021	STONEX FINANCIAL INC.		300,469	300,000	300,974	0	(580)	0	0	(580)	0	300,390	0	79	79	0	1,125	03/31/2022	1.A
05999999. Subtotal - Bonds - U.S. Governments						550,469	550,000	553,513	551,147	0	(75)	0	(75)	0	550,390	0	79	79	6,438	XXX	XXX	
.880541-XT-9	TENNESSEE ST		08/01/2021	Maturity @ 100.00		390,000	390,000	390,000	0	0	0	0	0	390,000	0	0	0	0	5,636	08/01/2021	1.A FE	
17999999. Subtotal - Bonds - U.S. States, Territories and Possessions						390,000	390,000	390,000	0	0	0	0	0	390,000	0	0	0	0	0	5,636	XXX	XXX
.421110-N3-7	HAYS TEX CONS INDPT SCH DIST		08/15/2021	Maturity @ 100.00		565,000	565,000	633,444	575,677	0	(10,677)	0	(10,677)	0	565,000	0	0	0	0	28,250	08/15/2021	1.A FE
24999999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						565,000	565,000	633,444	575,677	0	(10,677)	0	(10,677)	0	565,000	0	0	0	0	28,250	XXX	XXX
.3132D-V-32-6	FH SD8016 - RMBS		09/01/2021	Paydown		80,572	81,693	81,780	0	(1,207)	0	(1,207)	0	80,572	0	0	0	0	1,609	10/01/2049	1.A	
.3132X-C-RY-3	FH G67703 - RMBS		09/01/2021	Paydown		35,478	35,478	34,990	35,083	0	395	0	395	0	35,478	0	0	0	0	823	04/01/2047	1.A
.31335B-XF-8	FH G61578 - RMBS		09/01/2021	Paydown		37,609	37,609	38,890	39,141	0	(1,532)	0	(1,532)	0	37,609	0	0	0	0	1,110	08/15/2048	1.A
.3133L8-CR-4	FH RC1880 - RMBS		09/01/2021	Paydown		80,467	82,805	0	0	(2,339)	0	(2,339)	0	80,467	0	0	0	0	646	03/01/2036	1.A	
.3137F3-X4-1	FHR 4764 XV - CMO/RMBS		09/01/2021	Paydown		109,017	109,017	109,051	108,950	0	66	0	66	0	109,017	0	0	0	0	2,707	03/15/2031	1.A
.31397S-5N-0	FNR 2011-43 B - CMO/RMBS		09/01/2021	Paydown		38,816	38,816	38,756	38,748	0	69	0	69	0	38,816	0	0	0	0	888	08/15/2031	1.A
.3140U9-CT-6	FN BM4581 - RMBS		09/01/2021	Paydown		36,491	37,267	37,183	0	(691)	0	(691)	0	36,491	0	0	0	0	951	09/01/2033	1.A	
.3140QG-AJ-6	FN CA8108 - RMBS		09/01/2021	Paydown		28,778	29,911	29,912	0	(1,135)	0	(1,135)	0	28,778	0	0	0	0	373	12/01/2050	1.A	
.3140X7-7H-6	FN FM4495 - RMBS		09/01/2021	Paydown		34,696	34,696	36,132	36,127	0	(1,431)	0	(1,431)	0	34,696	0	0	0	0	499	08/15/2050	1.A
.3140X8-6N-2	FN FM5376 - RMBS		09/01/2021	Paydown		60,844	60,844	63,696	63,706	0	(2,861)	0	(2,861)	0	60,844	0	0	0	0	834	01/01/2036	1.A
.314180-04-7	FN MA4074 - RMBS		09/01/2021	Paydown		127,807	127,807	132,340	132,223	0	(4,416)	0	(4,416)	0	127,807	0	0	0	0	1,714	07/01/2035	1.A
.314180-U4-2	FN MA4202 - RMBS		09/01/2021	Paydown		52,907	53,808	53,790	0	(883)	0	(883)	0	52,907	0	0	0	0	545	12/01/2040	1.A	
.314180-U8-3	FN MA4206 - RMBS		09/01/2021	Paydown		90,063	93,714	93,644	0	(3,581)	0	(3,581)	0	90,063	0	0	0	0	1,215	12/01/2035	1.A	
.314180-VB-5	FN MA4209 - RMBS		09/01/2021	Paydown		33,662	33,993	33,987	0	(325)	0	(325)	0	33,662	0	0	0	0	341	12/01/2050	1.A	
31999999. Subtotal - Bonds - U.S. Special Revenues						847,206	847,206	867,046	784,272	0	(19,872)	0	(19,872)	0	847,206	0	0	0	0	14,256	XXX	XXX
.02344A-AA-6	AMCOR FLEXIBLES NORTH AMERICA INC		09/07/2021	GOLDMAN SACHS & CO. INC.		415,824	400,000	399,824	0	0	4	0	4	0	399,828	0	15,996	15,996	3,108	05/25/2031	2.B FE	
.02377L-AA-2	AMERICAN AIRLINES 2019-1 PASS THROUGH TR		08/15/2021	Paydown		13,572	13,572	13,572	0	0	0	0	0	13,572	0	0	0	0	428	08/15/2033	2.A FE	
.026874-DR-5	AMERICAN INTERNATIONAL GROUP INC		09/07/2021	BNP SECURITIES		1,093,960	1,000,000	1,070,070	1,069,830	0	(4,824)	0	(4,824)	0	1,065,006	0	28,954	28,954	23,517	06/30/2030	2.A FE	
.084670-BC-1	BERKSHIRE HATHAWAY INC		08/15/2021	Maturity @ 100.00		660,000	660,000	701,480	663,911	0	(3,911)	0	(3,911)	0	660,000	0	0	0	0	24,750	08/15/2021	1.E FE
.14040H-AY-1	CAPITAL ONE FINANCIAL CORP		07/15/2021	Maturity @ 100.00		495,000	495,000	525,880	498,180	0	(3,180)	0	(3,180)	0	495,000	0	0	0	0	23,513	07/15/2021	1.G FE
.14912L-6U-0	CATERPILLAR FINANCIAL SERVICES CORP		08/09/2021	Maturity @ 100.00		715,000	715,000	708,309	713,942	0	1,058	0	1,058	0	715,000	0	0	0	0	12,155	08/09/2021	1.F FE
.314353-AA-1	FEDERAL EXPRESS CORPORATION 2020-1 PASS		08/20/2021	Paydown		26,736	26,736	26,736	0	0	0	0	0	26,736	0	0	0	0	511	08/20/2035	1.D FE	
.34109P-OP-2	DUKE ENERGY FLORIDA LLC		08/15/2021	Maturity @ 100.00		700,000	700,000	732,578	702,495	0	(2,495)	0	(2,495)	0	700,000	0	0	0	0	21,700	08/15/2021	1.F FE
.37045X-AQ-9	GENERAL MOTORS FINANCIAL COMPANY INC		09/25/2021	Maturity @ 100.00		350,000	350,000	356,871	351,679	0	(1,679)	0	(1,679)	0	350,000	0	0	0	0	15,313	09/25/2021	2.B FE
.44935R-AC-2	HALST 19B A3 - ABS		09/15/2021	Paydown		132,305	132,305	132,304	132,247	0	58	0	58	0	132,305	0	0	0	0	1,784	08/20/2022	1.A FE
.594918-BP-8	MICROSOFT CORP		07/08/2021	Call @ 100.00		600,000	599,664	599,958	0	36	0	36	0	599,958	0	6	6	0	8,525	08/08/2021	1.A FE	
.68389X-BK-0	ORACLE CORP		09/15/2021	Maturity @ 100.00		1,150,000	1,150,000	1,149,437	1,149,812	0	188	0	188	0	1,150,000	0	0	0	0	21,850	09/15/2021	2.A FE
.68784C-AE-2	OSCAR 181 A4 - ABS	D	09/10/2021	Paydown		196,559	196,559	203,500	203,437	0	(6,878)	0	(6,878)	0	196,559	0	0	0	0	4,613	05/12/2025	1.A FE
.71344B-BW-7	PEPSICO INC		08/25/2021	Maturity @ 100.00		680,000	680,000	699,329	681,878	0	(1,878)	0	(1,878)	0	680,000	0	0	0	0	20,400	08/25/2021	1.E FE
.867224-AA-5	SUNCOR ENERGY INC	C	09/28/2021	Call @ 100.00		650,288	600,000	609,678	606,721	0	(1,290)	0	(1,290)	0	605,431	0	(5,431)	(5,431)	68,108	12/01/2024	2.A FE	
.87938W-AR-4	TELEFONICA EMISIONES SAU	C	08/02/2021	Call @ 100.00		427,204	400,000	420,000	409,569	0	(2,339)	0	(2,339)	0	407,230	0	(7,230)	(7,230)	41,168	04/27/2023	2.C FE	
.88161N-AA-7	TESLA 2018-B A - ABS		07/21/2021	Paydown		2,205	2,205	2,205	0	0	0	0	0	2,205	0	0	0	0	48	08/20/2021	1.A FE	
.92347Y-AA-2	VZOT 2019-A A1A - RMBS		09/20/2021	Paydown		112,635	112,635	112,612	112,629	0	6	0	6</td									

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred								103,095	XXX	96,651	0	0	0	0	96,651	0	6,444	6,444	2,646	XXX	XXX
8999997. Total - Preferred Stocks - Part 4								103,095	XXX	96,651	0	0	0	0	96,651	0	6,444	6,444	2,646	XXX	XXX
8999998. Total - Preferred Stocks - Part 5								103,095	XXX	96,651	0	0	0	0	96,651	0	6,444	6,444	2,646	XXX	XXX
8999999. Total - Preferred Stocks								103,095	XXX	96,651	0	0	0	0	96,651	0	6,444	6,444	2,646	XXX	XXX
.00206R-10-2 AT&T ORD			07/13/2021	TD Ameritrade	900,000	25,479	29,057	0	0	0	0	0	0	0	29,057	0	(3,578)	(3,578)	468		
.03027X-10-0 AMERICAN TOWER REIT			07/13/2021	TD Ameritrade	70,000	19,569	17,252	0	0	0	0	0	0	0	17,252	0	2,317	2,317	89		
.037833-10-0 APPLE ORD			09/20/2021	STAMFORD	88,000	12,547	5,775	11,677	(5,902)	0	0	0	(5,902)	0	5,775	0	6,772	6,772	57		
.049560-10-5 ATOMS ENERGY ORD			07/13/2021	TD Ameritrade	169,000	16,836	17,029	0	0	0	0	0	0	0	17,029	0	(193)	(193)	106		
.053015-10-3 AUTOMATIC DATA PROCESSING ORD			07/13/2021	TD Ameritrade	88,000	17,945	17,132	0	0	0	0	0	0	0	17,132	0	813	813	82		
.060505-10-4 BANK OF AMERICA ORD			07/16/2021	BRIDGE TRADING CO	190,000	7,277	2,983	5,759	(2,776)	0	0	0	(2,776)	0	2,983	0	4,294	4,294	68		
.110122-10-8 BRISTOL MYERS SQUIBB ORD			09/20/2021	NY	480,000	28,974	26,126	29,774	(3,648)	0	0	0	(3,648)	0	26,126	0	2,848	2,848	706		
.11133T-10-3 BROADRIDGE FINANCIAL SOLUTIONS ORD			07/13/2021	TD Ameritrade	106,000	17,937	17,321	0	0	0	0	0	0	0	17,321	0	616	616	61		
.11563T-20-9 BROWN FORMAN CL B ORD			07/13/2021	TD Ameritrade	220,000	15,916	17,290	0	0	0	0	0	0	0	17,290	0	(1,373)	(1,373)	39		
.191216-10-0 COCA-COLA ORD			08/27/2021	JP MORGAN SECURITIES LLC	240,000	13,347	12,893	13,162	(269)	0	0	0	(269)	0	12,893	0	455	455	202		
.278865-10-2 ECOLAB ORD			07/13/2021	TD Ameritrade	77,000	16,354	17,357	0	0	0	0	0	0	0	17,357	0	(1,003)	(1,003)	37		
.302316-10-2 EXXON MOBIL ORD			07/13/2021	TD Ameritrade	489,000	29,942	29,597	0	0	0	0	0	0	0	29,597	0	345	345	0		
.302491-30-3 FMC ORD			08/05/2021	STRATEGAS SECURITIES LLC	210,000	19,617	22,505	24,135	(1,630)	0	0	0	(1,630)	0	22,505	0	(2,888)	(2,888)	302		
.30303M-10-2 FACEBOOK CL A ORD			09/30/2021		76,000	25,857	14,344	20,760	(6,416)	0	0	0	(6,416)	0	14,344	0	11,514	11,514	0		
.384802-10-4 VW GRAINGER ORD			07/13/2021	TD Ameritrade	36,000	16,394	16,762	0	0	0	0	0	0	0	16,762	0	(368)	(368)	0		
.437076-10-2 HOME DEPOT ORD			08/17/2021	Various	157,000	50,150	38,718	17,797	(3,748)	0	0	0	(3,748)	0	38,718	0	11,432	11,432	518		
.458140-10-0 INTEL ORD			07/13/2021	TD Ameritrade	536,000	30,474	29,778	0	0	0	0	0	0	0	29,778	0	696	696	0		
.45866F-10-4 INTERCONTINENTAL EXCHANGE ORD			07/13/2021	TD Ameritrade	152,000	18,037	17,146	0	0	0	0	0	0	0	17,146	0	891	891	50		
.459200-10-1 INTERNATIONAL BUSINESS MACHINES ORD			07/13/2021	TD Ameritrade	201,000	28,156	29,142	0	0	0	0	0	0	0	29,142	0	(986)	(986)	0		
.46625H-10-0 JPMORGAN CHASE ORD			07/16/2021	BRIDGE TRADING CO	51,000	7,779	5,853	6,481	(628)	0	0	0	(628)	0	5,853	0	1,926	1,926	138		
.502431-10-9 L3HARRIS TECHNOLOGIES ORD			07/13/2021	TD Ameritrade	79,000	17,812	17,124	0	0	0	0	0	0	0	17,124	0	688	688	81		
.548661-10-7 LOWE'S COMPANIES ORD			07/13/2021	TD Ameritrade	85,000	16,526	16,847	0	0	0	0	0	0	0	16,847	0	(321)	(321)	0		
.579780-20-6 MCKCORMICK ORD			07/13/2021	TD Ameritrade	189,000	16,266	17,046	0	0	0	0	0	0	0	17,046	0	(780)	(780)	64		
.580135-10-1 MCDONALD'S ORD			07/13/2021	KEYBANG CAPITAL MARKETS	74,000	17,517	17,195	0	0	0	0	0	0	0	17,195	0	323	323	95		
.595112-10-3 MICRON TECHNOLOGY ORD			08/11/2021	INC	60,000	4,456	2,827	4,511	(1,684)	0	0	0	(1,684)	0	2,827	0	1,629	1,629	0		
.609207-10-5 MONDELEZ INTERNATIONAL CL A ORD			08/04/2021	Various	520,000	32,410	26,009	17,541	(5,563)	0	0	0	(5,563)	0	26,009	0	6,401	6,401	353		
.65339F-10-1 NEXTERA ENERGY ORD			07/13/2021	TD Ameritrade	235,000	17,718	17,242	0	0	0	0	0	0	0	17,242	0	476	476	90		
.654106-10-3 NIKE CL B ORD			08/19/2021	Various	217,000	35,383	23,539	12,732	(6,479)	0	0	0	(6,479)	0	23,539	0	11,843	11,843	84		
.717081-10-3 PFIZER ORD			07/13/2021	TD Ameritrade	723,000	28,757	28,987	0	0	0	0	0	0	0	28,987	0	(230)	(230)	0		
.718172-10-9 PHILIP MORRIS INTERNATIONAL ORD			07/30/2021	CREDIT SUISSE, NEW YORK (CSUS)	128,000	12,765	10,921	10,597	324	0	0	0	324	0	10,921	0	1,843	1,843	461		
.74144T-10-8 T ROWE PRICE GROUP ORD			07/13/2021	CITIGROUP GLOBAL MARKETS	90,000	18,461	17,176	0	0	0	0	0	0	0	17,176	0	1,285	1,285	367		
.742718-10-9 PROCTER & GAMBLE ORD			09/27/2021	INC	192,000	27,224	17,720	26,715	(8,994)	0	0	0	(8,994)	0	17,720	0	9,504	9,504	486		
.75513E-10-1 RAYTHEON TECHNOLOGIES ORD			07/13/2021	TD Ameritrade	203,000	17,412	17,351	0	0	0	0	0	0	0	17,351	0	61	61	104		
.83406F-10-2 SOFI TECHNOLOGIES ORD			07/13/2021	TD Ameritrade	1,851,000	29,599	36,167	0	0	0	0	0	0	0	36,167	0	(6,568)	(6,568)	0		
.852234-10-3 SQUARE CL A ORD			08/11/2021	KEYBANG CAPITAL MARKETS	20,000	5,425	1,354	4,353	(2,998)	0	0	0	(2,998)	0	1,354	0	4,070	4,070	0		
.863667-10-1 STRYKER ORD			07/13/2021	TD Ameritrade	58,000	15,202	14,656	0	0	0	0	0	0	0	14,656	0	546	546	37		
.882508-10-4 TEXAS INSTRUMENTS ORD			09/30/2021	Strategas Securities LLC	66,000	12,721	8,690	10,833	(2,143)	0	0	0	(2,143)	0	8,690	0	4,031	4,031	202		
.911312-10-6 UNITED PARCEL SERVICE CL B ORD			07/13/2021	TD Ameritrade	79,000	16,734	17,127	0	0	0	0	0	0	0	17,127	0	(393)	(393)	81		
.918204-10-8 VF ORD			07/13/2021	TD Ameritrade	203,000	16,604	17,278	0	0	0	0	0	0	0	17,278	0	(674)	(674)	99		

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Other Than Temporary Impairment Recogn- ized	13 Current Year's Temporar- y Carrying Value	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
..91913Y-10-0	VALERO ENERGY ORD	08/11/2021	MORGAN STANLEY & CO INC, NY	230,000	15,151	18,019	3,111	1,934	0	0	1,934	0	18,019	0	(2,868)	(2,868)	559	
..92826C-83-9	VISA CL A ORD	07/29/2021	CREDIT SUISSE, NEW YORK (CSUS)	52,000	12,928	9,951	11,374	(1,423)	0	0	(1,423)	0	9,951	0	2,977	2,977	33	
..G5960L-10-3	MEDTRONIC ORD	C.	07/13/2021	TD Ameritrade	137,000	17,479	17,186	0	0	0	0	0	0	0	17,186	0	293	293	.86
..H1467J-10-4	CHUBB ORD	C.	07/13/2021	TD Ameritrade	101,000	16,537	17,135	0	0	0	0	0	0	0	17,135	0	(598)	(598)	.81
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					839,674	XXX	771,608	231,311	(52,043)	0	0	0	(52,043)	0	771,608	0	68,066	68,066	6,285	XXX	XXX	
..00141A-58-6	INVESCO FLTG RT ESG Y	07/13/2021	TD Ameritrade	10,830,553	79,364	79,069	0	0	0	0	0	0	0	79,069	0	.295	.295	.346
..128119-13-8	CALAMOS:EVOLV WLD GR I	07/21/2021	TD AMERITRADE	2,400,185	55,455	60,464	0	0	0	0	0	0	0	60,464	0	(5,009)	(5,009)	0
..128119-88-0	CALAMOS:MKT NEUT INC I	07/28/2021	TD AMERITRADE	34,002,959	485,261	477,550	0	0	0	0	0	0	0	477,550	0	7,711	7,711	1,253
..256210-10-5	DODGE & COX INCOME	07/13/2021	TD Ameritrade	4,857,514	69,681	69,225	0	0	0	0	0	0	0	69,225	0	.457	.457	.340
..337390-20-0	FRST TR IV:LD OPPTYS ETF	07/13/2021	TD Ameritrade	6,653,000	338,269	339,902	0	0	0	0	0	0	0	339,902	0	(1,633)	(1,633)	1,198
..46435G-10-2	ISHARES:CONVERTIBLE BOND	07/21/2021	TD Ameritrade	2,605,000	261,865	265,119	0	0	0	0	0	0	0	265,119	0	(3,254)	(3,254)	713
..81369Y-80-3	SEL SECTOR:TECH SPDR	07/13/2021	TD Ameritrade	479,000	72,67962,359	0	0	0	0	0	0	0	.62,359	0	10,320	10,320	.255
..92647P-65-4	VICTORY II:MKT NEU I I	07/13/2021	TD Ameritrade	33,681,855	321,638	322,351	0	0	0	0	0	0	0	322,351	0	(713)	(713)	3,419
9499999. Subtotal - Common Stocks - Mutual Funds					1,684,211	XXX	1,676,038	0	0	0	0	0	0	0	0	1,676,038	0	8,174	8,174	7,522	XXX	XXX
9799997. Total - Common Stocks - Part 4					2,523,885	XXX	2,447,646	231,311	(52,043)	0	0	0	(52,043)	0	2,447,646	0	76,239	76,239	13,808	XXX	XXX	
9799998. Total - Common Stocks - Part 5					2,523,885	XXX	2,447,646	231,311	(52,043)	0	0	0	(52,043)	0	2,447,646	0	76,239	76,239	13,808	XXX	XXX	
9799999. Total - Common Stocks					2,626,980	XXX	2,544,297	231,311	(52,043)	0	0	0	(52,043)	0	2,447,646	0	76,239	76,239	13,808	XXX	XXX	
9899999. Total - Preferred and Common Stocks					13,973,390	XXX	14,000,670	10,855,812	(53,971)	(58,515)	0	(112,485)	0	13,756,348	0	139,550	139,550	373,963	XXX	XXX		
9999999 - Totals																						

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Fifth Third Bank	Cincinnati, Ohio	0.000	.0	.0	7,990,928	4,560,316	6,730,422	XXX
Fifth Third Bank	Cincinnati, Ohio				100,000	100,000	100,000	XXX
Fifth Third Bank	Cincinnati, Ohio				8,279,390	8,946,663	9,682,361	XXX
Fifth Third Bank	Cincinnati, Ohio				5,558,880	6,261,910	6,566,154	XXX
Fifth Third Bank	Cincinnati, Ohio				0	554,879	(2,033,902)	XXX
0199998. Deposits in ... instructions) - Open Depositories	XXX	XXX	0	0	6,363	2,861	2,578	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	21,935,560	20,426,630	21,047,612	XXX
0299998. Deposits in ... instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	21,935,560	20,426,630	21,047,612	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	21,935,560	20,426,630	21,047,612	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Dealers Assurance Company

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						0	0	0
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
31846V-41-9	FIRST AMER:TRS OBG V	SD.....	09/02/2021	.010		50,000	.0	.2
94975H-29-6	WELLSFARGO:TRS+ MM I	SD.....	09/02/2021	.010		25,000	.0	.2
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						75,000	0	4
000000-00-0	MMDA12		09/30/2021	.000		975,145	.0	.17
60934N-80-7	FEDERATED HIMS GV O SVC		09/29/2021	.030		5,017,084	.0	.3
60934N-80-7	FEDERATED HIMS GV O SVC	SD.....	09/30/2021	.030		1,625	.0	.0
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD.....	03/01/2021	.000		.0	.0	.0
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)	SD.....	09/30/2021	.000		1,802,757	.0	.5
8699999. Subtotal - All Other Money Market Mutual Funds						7,796,612	152	25
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9999999 - Total Cash Equivalents						7,871,612	153	29