

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

**QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

**IOWA MUTUAL INSURANCE COMPANY**

NAIC Group Code 0291 0291 NAIC Company Code 14338 Employer's ID Number 42-0333120  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 03/12/1900 Commenced Business 03/12/1900

Statutory Home Office 471 EAST BROAD STREET, COLUMBUS, OH, US 43215  
(Street and Number) (City or Town, State, Country and Zip Code)

Man Adminstrative Office 471 EAST BROAD STREET  
(Street and Number)  
COLUMBUS, OH, US 43215, 614-225-8211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 471 EAST BROAD STREET, COLUMBUS, OH, US 43215  
(Street and Number or F.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 471 EAST BROAD STREET  
(Street and Number)  
COLUMBUS, OH, US 43215, 614-225-8211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address ENCOVA.COM

Statutory Statement Contact AMY E KUHLMAN, 614-225-8285  
(Name) (Area Code) (Telephone Number)  
ACCOUNTING@ENCOVA.COM, 614-225-8330  
(E-mail Address) (FAX Number)

**OFFICERS**

CHIEF EXECUTIVE OFFICER	<u>THOMAS JOSEPH OBROKTA JR.</u>	TREASURER	<u>JAMES CHRISTOPHER HOWAT</u>
SECRETARY	<u>MARCHELLE ELAINE MOORE</u>	PRESIDENT	<u>GRADY BRENDAN CAMPBELL</u>

**OTHER**

GREGORY ARTHUR BURTON, EXECUTIVE CHAIR

<b>DIRECTORS OR TRUSTEES</b>		
<u>JEFFREY LEIGH BENINTENDI</u>	<u>GRADY BRENDAN CAMPBELL</u>	<u>JAMES CHRISTOPHER HOWAT</u>
<u>THOMAS JOSEPH OBROKTA JR.</u>	<u>MATTHEW CARL WILCOX</u>	

State of OH SS:  
County of FRANKLIN

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above,

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	40,931,622		40,931,622	27,959,559
2. Stocks:				0
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	19,619,378		19,619,378	23,958,587
3. Mortgage loans on real estate:				0
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				0
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ <span style="padding-left: 2em;">encumbrances) .....</span>			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	869,999
5. Cash (\$ .....(1,356,107) ), cash equivalents (\$ .....5,852,684 ) and short-term investments (\$ ..... ) .....	4,496,578		4,496,578	9,159,295
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....	9,733	9,733	0	4,616,128
9. Receivables for securities .....	5,000		5,000	23
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	65,062,311	9,733	65,052,578	66,563,591
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	303,798		303,798	230,769
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	2,325,212	2,843	2,322,369	6,885,485
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	3,889,254		3,889,254	3,933,173
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	4,586,695		4,586,695	1,807,090
16.2 Funds held by or deposited with reinsured companies .....	12,379,028		12,379,028	7,358,513
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	239,194		239,194	164,697
18.2 Net deferred tax asset .....	405,782		405,782	572,522
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	457,563		457,563	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	150,253	.71,859	.78,394	.3,261
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	89,799,089	.84,435	.89,714,654	.87,519,101
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	89,799,089	.84,435	.89,714,654	.87,519,101
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Premium Tax Recoverable .....	74,213		74,213	
2502. Equities and deposits in pools and associations .....	.4,181		.4,181	.3,261
2503. Automobiles .....	71,859	.71,859	.0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	150,253	.71,859	.78,394	.3,261

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 6,607,774 )	28,520,096	27,869,897
2. Reinsurance payable on paid losses and loss adjustment expenses	1,306,695	96,728
3. Loss adjustment expenses	4,838,202	4,761,346
4. Commissions payable, contingent commissions and other similar charges	708,306	725,798
5. Other expenses (excluding taxes, licenses and fees)	1,701,459	1,804,472
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	164,903	192,792
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	8,818,788	8,470,123
10. Advance premium	228,980	118,329
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		(250)
12. Ceded reinsurance premiums payable (net of ceding commissions)	938,387	610,588
13. Funds held by company under reinsurance treaties	3,764,038	6,071,011
14. Amounts withheld or retained by company for account of others	108,774	121,273
15. Remittances and items not allocated	335,370	265,019
16. Provision for reinsurance (including \$ certified)	167,495	167,495
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		2,338,564
20. Derivatives	0	0
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	996,488	1,031,081
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	52,597,981	54,644,266
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	52,597,981	54,644,266
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,000,000	
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes		3,000,000
34. Gross paid in and contributed surplus	3,000,000	
35. Unassigned funds (surplus)	29,116,673	29,874,838
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )		
36.2 shares preferred (value included in Line 31 \$ )		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	37,116,673	32,874,838
38. Totals (Page 2, Line 28, Col. 3)	89,714,654	87,519,104
<b>DETAILS OF WRITE-INS</b>		
2501. Miscellaneous liabilities	850,009	925,375
2502. Escheatable funds	199,149	139,581
2503. Reinsurance assumed overhead payable	(32,133)	(13,338)
2598. Summary of remaining write-ins for Line 25 from overflow page	(20,537)	(20,537)
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	996,488	1,031,081
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 11,490,284 )	11,780,512	13,615,208	17,777,106
1.2 Assumed (written \$ )	13,699,335	14,159,523	18,629,334
1.3 Ceded (written \$ )	11,781,943	14,080,166	18,159,459
1.4 Net (written \$ 11,490,284 )	13,697,904	13,694,565	18,246,981
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ ):			
2.1 Direct	7,635,497	23,885,235	20,885,358
2.2 Assumed	6,900,734	7,573,639	9,420,725
2.3 Ceded	7,535,955	23,767,555	20,712,194
2.4 Net	7,000,276	7,691,319	9,593,889
3. Loss adjustment expenses incurred	2,045,380	2,111,963	2,741,434
4. Other underwriting expenses incurred	4,242,060	4,278,181	5,838,996
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	13,287,716	14,081,463	18,174,319
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	410,188	(386,898)	72,662
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	730,830	761,000	902,628
10. Net realized capital gains (losses) less capital gains tax of \$ 439,255	1,275,496	(1,134,148)	(652,741)
11. Net investment gain (loss) (Lines 9 + 10)	2,006,326	(373,148)	249,887
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ 51,127 )	(51,127)	44,550	(63,648)
13. Finance and service charges not included in premiums	23,742	35,215	0
14. Aggregate write-ins for miscellaneous income	0	28,060	57,566
15. Total other income (Lines 12 through 14)	(27,386)	107,825	(6,082)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	2,389,128	(652,221)	316,467
17. Dividends to policyholders	28,536	14,325	20,879
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	2,360,592	(666,546)	295,588
19. Federal and foreign income taxes incurred	62,307	(279,603)	56,825
20. Net income (Line 18 minus Line 19)(to Line 22)	2,298,285	(386,943)	238,763
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	32,874,838	31,513,373	31,513,374
22. Net income (from Line 20)	2,298,285	(386,943)	238,763
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 558,190	(554,938)	618,667	3,092,391
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(693,929)	(414,651)	(741,969)
27. Change in nonadmitted assets	2,017,238	(1,388,460)	94,096
28. Change in provision for reinsurance		0	(115,040)
29. Change in surplus notes	(3,000,000)		
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in	5,000,000		
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	3,000,000	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock		0	0
37. Aggregate write-ins for gains and losses in surplus	(3,824,821)	0	(1,206,777)
38. Change in surplus as regards policyholders (Lines 22 through 37)	4,241,835	(1,571,387)	1,361,464
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	37,116,673	29,941,986	32,874,838
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Miscellaneous income or expense		28,060	57,566
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	28,060	57,566
3701. Miscellaneous gains / losses	1,175,179		(1,206,777)
3702. Reclass for organizational restructure	(5,000,000)		
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	(3,824,821)	0	(1,206,777)

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	21,777,105	12,636,516	13,590,474
2. Net investment income .....	806,869	935,114	(158,313)
3. Miscellaneous income .....	(27,386)	107,825	(6,082)
4. Total (Lines 1 to 3) .....	22,556,588	13,679,455	13,426,079
5. Benefit and loss related payments .....	23,267,722	15,095,749	9,105,473
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	6,358,979	6,076,552	6,637,152
8. Dividends paid to policyholders .....	28,286	36,993	50,887
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	663,993	(371,910)	(361,568)
10. Total (Lines 5 through 9) .....	30,318,979	20,837,384	15,431,944
11. Net cash from operations (Line 4 minus Line 10) .....	(7,762,391)	(7,157,929)	(2,005,865)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	4,082,751	2,926,611	8,223,693
12.2 Stocks .....	6,198,892	107,533	1,364,973
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	696,788	0	0
12.5 Other invested assets .....	4,734,267	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	217,505	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	15,712,698	3,251,649	9,588,666
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	17,162,896	3,628,443	4,196,532
13.2 Stocks .....	273,422	305,116	460,273
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	72,638	135,341	188,617
13.6 Miscellaneous applications .....	4,977	5,000	23
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	17,513,933	4,073,900	4,845,445
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(1,801,235)	(822,251)	4,743,221
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	4,900,910	(64,519)	2,991,863
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	4,900,910	(64,519)	2,991,863
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(4,662,717)	(8,044,699)	5,729,219
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	9,159,294	3,430,075	3,430,075
19.2 End of period (Line 18 plus Line 19.1) .....	4,496,577	(4,614,624)	9,159,294

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Non-Cash Exchanges .....	27,911		
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# NOTES TO FINANCIAL STATEMENTS

**NOTE 1 Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

This statement has been completed in accordance with the accounting practices and procedures prescribed or permitted by the National Association of Insurance Commissioners (NAIC) and the State of Ohio. A reconciliation of the company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below.

	SSAP #	F/S Page	F/S Line #	2021	2020
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 2,298,285	\$ 238,763
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 2,298,285	\$ 238,763
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 37,116,673	\$ 32,874,838
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 37,116,673	\$ 32,874,838

**B. Use of Estimates in the Preparation of the Financial Statements**

The preparation of financial statements in conformity with Statutory Accounting Principles as described in the NAIC Annual Statement Instructions and the Accounting Policies and Procedures Manual requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

**C. Accounting Policy**

(2) Bonds not backed by other loans are stated at amortized cost using the scientific amortization method.

(6) Loan-backed securities are stated in accordance with the guidance provided in SSAP No. 43R: Loan-backed and Structured Securities. The retrospective adjustment method is used to value these securities

**D. Going Concern**

Management has concluded that there is no substantial doubt about the Company's ability to continue as a going concern.

**NOTE 2 Accounting Changes and Corrections of Errors**

No significant changes

**NOTE 3 Business Combinations and Goodwill**

No significant changes

**NOTE 4 Discontinued Operations**

Not Applicable

**NOTE 5 Investments****A.-C.**

Not applicable

**D. Loan-Backed Securities**

(1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values and

(2)-(3)  
Not applicable

(4)

a) The aggregate amount of unrealized losses:  
1. Less than 12 Months \$ 75,354  
2. 12 Months or Longer

b) The aggregate related fair value of securities with unrealized losses:  
1. Less than 12 Months \$ 7,619,773  
2. 12 Months or Longer

(5) The Company performed an analysis of loan-backed securities and determined that exposure to credit risk was not a factor and did not warrant any other-than-temporary impairments

**E. Dollar Repurchase Agreements and/or Securities Lending Transactions**

Not applicable

**F. Repurchase Agreements Transactions Accounted for as Secured Borrowing**

Not applicable

**G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

Not applicable

## NOTES TO FINANCIAL STATEMENTS

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- H. Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Not applicable
- M. Working Capital Finance Investments  
Not applicable
- N. Offsetting and Netting of Assets and Liabilities  
Not applicable
- R. Reporting Entity's Share of Cash Pool by Asset Type  
Not applicable

**NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies**  
No significant changes

**NOTE 7 Investment Income**  
No significant changes

**NOTE 8 Derivative Instruments**  
Not applicable

**NOTE 9 Income Taxes**  
No significant changes

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

A. Effective January 1, 2021, a mutual holding company went into effect. Through this conversion, the Company and its mutual affiliates became stock companies under Encova Holdings, Inc., which is 100% owned by Encova Mutual Insurance Group, Inc.

B. Effective January 1, 2021, \$5,000,000 was reclassified out of unassigned surplus into common stock with the issuance of 1,000 shares to Encova Holdings, Inc. at a par value of \$5,000.

Effective June 30, 2021, the Company's surplus note with Motorists Mutual Insurance Company was forgiven and terminated without repayment required. \$3,000,000 was reclassified out of Surplus Notes to Contributed Surplus.

**NOTE 11 Debt**

A. FHLB (Federal Home Loan Bank) Agreements  
No significant changes

B. FHLB (Federal Home Loan Bank) Agreements  
The company did not have any Federal Home Loan Bank agreements in place during the periods reported.

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan  
No significant changes

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**  
No significant changes

**NOTE 14 Liabilities, Contingencies and Assessments**  
No significant changes

**NOTE 15 Leases**  
No significant changes

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**  
No significant changes

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales  
Not Applicable
- B. Transfer and Servicing of Financial Assets  
Not Applicable
- C. Wash Sales  
Not applicable

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**  
No significant changes

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**  
No significant changes

# NOTES TO FINANCIAL STATEMENTS

**NOTE 20 Fair Value Measurements**

## Inputs Used for Assets and Liabilities Measured and Reported at Fair Value

SSAP No. 100, Fair Value Measurements, clarifies the definition of estimated fair value and establishes a hierarchy for measuring estimated fair value. The hierarchy established by this standard consists of three levels to indicate the quality of the estimated fair value measurements as described below:

Level 1 - Quoted Prices in Active Markets for Identical Assets and Liabilities: Unadjusted quoted prices for identical assets or liabilities in active markets that are readily and regularly obtainable.

Level 2 - Significant Other Observable Inputs: Quoted prices in markets that are not active or inputs that are observable either directly or indirectly. These inputs can include quoted prices for similar but not identical assets or liabilities other than quoted prices in Level 1.

Level 3 - Significant Unobservable Inputs: Unobservable inputs that are supported by little or no market activity and are significant to the determination of estimated fair value of assets or liabilities. Unobservable inputs reflect the entity's assumptions about the assumptions that market participants would use in pricing the asset or liability.

A.

## (1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value Common Stocks, unaffiliated	\$ 10,458,482		\$ 75,949		\$ 10,534,432
Total assets at fair value/NAV	\$ 10,458,482	\$ -	\$ 75,949	\$ -	\$ 10,534,432

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

## (2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Not applicable

## (3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3. The Company had no transfers into or out of any of the levels during the period reported

## (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Not applicable

## (5) Fair Value Disclosures for Derivative Assets and Liabilities

Not applicable

## B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not applicable

## C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

The following tables reflect the estimated fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and ventures). The estimated fair values are categorized into the three-level fair value hierarchy as described above.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 42,604,456	\$ 40,931,623		\$ 42,604,456			
unaffiliated	\$ 10,534,432	\$ 10,534,432	\$ 10,458,482		\$ 75,949		

## Bonds and Common Stocks

When available, the estimated fair values for bonds, including loan-backed and structured securities, and unaffiliated common stocks are based on quoted prices in active markets that are readily and regularly obtainable. Generally, these investments are classified in Level 1. Generally, these are the most liquid of the Company's securities holdings and valuation of these securities does not involve management's judgment.

When quoted prices in active markets are not available, the determination of estimated fair value is based on market standard valuation methodologies, giving priority to observable inputs. The significant inputs to the market standard valuation methodologies for certain types of securities with reasonable levels of price transparency are inputs that are observable in the market or can be derived principally from or corroborated by observable market data. Generally, these investments are classified as Level 2.

When observable inputs are not available, the market standard valuation methodologies for determining the estimated fair value of certain types of securities that trade infrequently, and therefore have little or no price transparency, rely on inputs that are significant to the estimated fair value that are not observable in the market or cannot be derived principally from or corroborated by observable market data. These unobservable inputs can be based in large part on management's judgment or estimation, and cannot be supported by reference or market activity. Even though these inputs are unobservable, management believes they are consistent with what other market participants would use when pricing such securities and are considered appropriate given the circumstances. Generally, these investments are classified as Level 3.

## D. Not Practicable to Estimate Fair Value

Not applicable

## E. NAV Practical Expedient Investments

Not applicable

**NOTE 21 Other Items**

No significant changes

**NOTE 22 Events Subsequent**

Subsequent events have been considered through November 12, 2021 for these statutory financial statements which are to be issued on November 12, 2021. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

## NOTES TO FINANCIAL STATEMENTS

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**NOTE 23 Reinsurance**

No significant changes

**NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination**

F. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes [ ] No [X]

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

Not Applicable

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

Not Applicable

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Not Applicable

(5) ACA Risk Corridors Receivable as of Reporting Date

Not Applicable

**NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

Reserves for the Company's incurred losses and loss adjustment expenses (after intercompany pooling) attributable to insured events of prior years reflect favorable development totaling \$3,099,681. The development can be attributed primarily to the re-estimation of unpaid losses and loss adjustment expenses in the workers' compensation, commercial auto liability, private passenger auto liability, commercial multi perils, auto physical damage, products liability and other lines of business. The favorable development in these lines was slightly offset by losses in homeowners and farm owners. The changes reflected in these lines were generally the result of recent development trends. There were not any premium adjustments made as a result of this loss and loss adjustment expense development.

**NOTE 26 Intercompany Pooling Arrangements**

No significant changes

**NOTE 27 Structured Settlements**

No significant changes

**NOTE 28 Health Care Receivables**

No significant changes

**NOTE 29 Participating Policies**

No significant changes

**NOTE 30 Premium Deficiency Reserves**

No significant changes

**NOTE 31 High Deductibles**

No significant changes

**NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

**NOTE 33 Asbestos/Environmental Reserves**

No significant changes

**NOTE 34 Subscriber Savings Accounts**

No significant changes

**NOTE 35 Multiple Peril Crop Insurance**

No significant changes

**NOTE 36 Financial Guaranty Insurance**

B. Schedule of insured financial obligations at the end of the period

No significant changes

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ X ] No [ ]

2.2 If yes, date of change: ..... 01/01/2021

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
 If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
 If yes, complete and file the merger history data file with the NAIC.

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 06/03/2020

6.4 By what department or departments?  
 Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....	\$ .....	\$ .....
13. Amount of real estate and mortgages held in short-term investments: .....	\$ .....	\$ .....
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ]		
14.2 If yes, please complete the following:		
14.21 Bonds .....	\$ ..... 0	\$ .....
14.22 Preferred Stock .....	\$ ..... 0	\$ .....
14.23 Common Stock .....	\$ ..... 8,814,735	\$ ..... 9,084,946
14.24 Short-Term Investments .....	\$ ..... 0	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ ..... 0	\$ .....
14.26 All Other .....	\$ ..... 24,031	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ ..... 8,838,766	\$ ..... 9,084,946
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....
15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input checked="" type="checkbox"/> ]		
15.2 If no, attach a description with this statement.		
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:		
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ ..... 0	
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....	\$ ..... 0	
16.3 Total payable for securities lending reported on the liability page. ....	\$ ..... 0	

**STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon .....	500 Grant Street One Mellon Center, Suite #1035, Pittsburgh, PA 15258 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Chickasaw Capital Management, LLC .....	U.....
New England Asset Management, Inc. .....	U.....
Northern Trust Investments, Inc. .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
127398 .....	Chickasaw Capital Management, LLC .....	254900X6FRILTW42B610 .....	Sec .....	NO.....
109846 .....	New England Asset Management, Inc. .....	KUR85E5PS4G0FZTFC130 .....	Sec .....	NO.....
105900 .....	Northern Trust Investments, Inc. .....	BEL4B8X7EHJU845Y2N39 .....	Sec .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ X ] N/A [ ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD			10 IBNR	11 TOTAL
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE		
TOTAL	0	0	0	0	0	0	0	0	0	

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

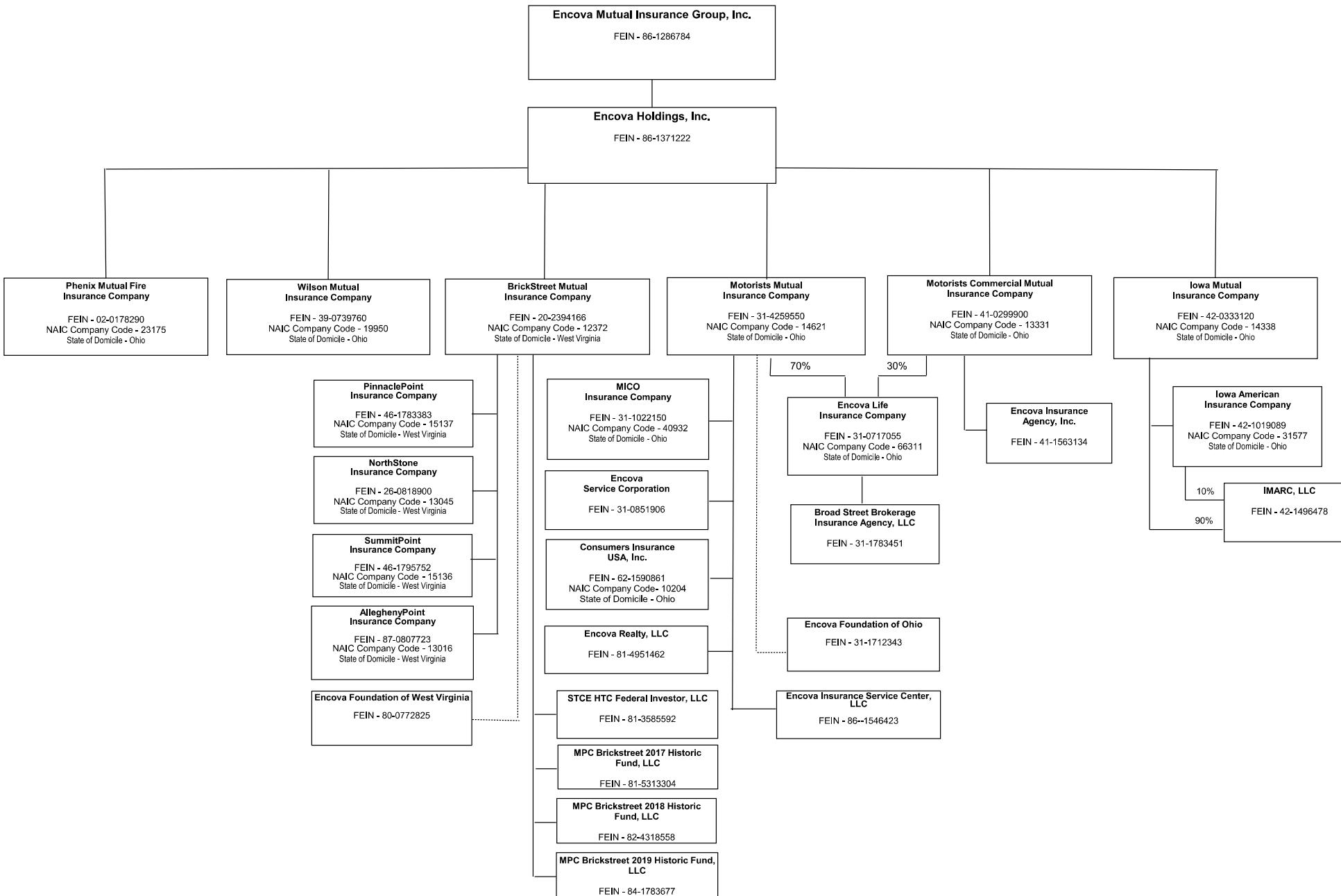
States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	N	0	0	0	0	0
2. Alaska .....	AK	N	0	0	0	0	0
3. Arizona .....	AZ	N	0	0	0	0	0
4. Arkansas .....	AR	N	0	0	0	0	0
5. California .....	CA	N	0	0	0	0	0
6. Colorado .....	CO	L	0	0	0	0	0
7. Connecticut .....	CT	N	0	0	0	0	0
8. Delaware .....	DE	N	0	0	0	0	0
9. District of Columbia .....	DC	N	0	0	0	0	0
10. Florida .....	FL	N	0	0	0	0	0
11. Georgia .....	GA	N	0	0	0	0	0
12. Hawaii .....	HI	N	0	0	0	0	0
13. Idaho .....	ID	N	0	0	0	0	0
14. Illinois .....	IL	L	893,385	984,942	4,648,440	3,663,525	12,120,323
15. Indiana .....	IN	N	0	0	0	0	0
16. Iowa .....	IA	L	10,596,899	11,921,087	7,031,418	22,184,566	8,653,571
17. Kansas .....	KS	L	0	0	0	0	0
18. Kentucky .....	KY	N	0	0	0	0	0
19. Louisiana .....	LA	N	0	0	0	0	0
20. Maine .....	ME	N	0	0	0	0	0
21. Maryland .....	MD	N	0	0	0	0	0
22. Massachusetts .....	MA	N	0	0	0	0	0
23. Michigan .....	MI	N	0	0	0	0	0
24. Minnesota .....	MN	L	0	7,511	421	33,000	64,201
25. Mississippi .....	MS	N	0	0	0	0	0
26. Missouri .....	MO	L	0	0	0	0	0
27. Montana .....	MT	L	0	0	0	0	0
28. Nebraska .....	NE	L	19,212	614,608	1,690,069	7,240,186	10,230,218
29. Nevada .....	NV	N	0	0	0	0	0
30. New Hampshire .....	NH	N	0	0	0	0	0
31. New Jersey .....	NJ	N	0	0	0	0	0
32. New Mexico .....	NM	N	0	0	0	0	0
33. New York .....	NY	N	0	0	0	0	0
34. North Carolina .....	NC	N	0	0	0	0	0
35. North Dakota .....	ND	L	0	0	0	0	0
36. Ohio .....	OH	L	0	0	0	0	0
37. Oklahoma .....	OK	N	0	0	0	0	0
38. Oregon .....	OR	N	0	0	0	0	0
39. Pennsylvania .....	PA	N	0	0	0	0	0
40. Rhode Island .....	RI	N	0	0	0	0	0
41. South Carolina .....	SC	N	0	0	0	0	0
42. South Dakota .....	SD	L	0	217,977	107,882	3,665,547	3,956,063
43. Tennessee .....	TN	N	0	0	0	0	0
44. Texas .....	TX	N	0	0	0	0	0
45. Utah .....	UT	N	0	0	0	0	0
46. Vermont .....	VT	N	0	0	0	0	0
47. Virginia .....	VA	N	0	0	0	0	0
48. Washington .....	WA	N	0	0	0	0	0
49. West Virginia .....	WV	N	0	0	0	0	0
50. Wisconsin .....	WI	L	0	0	0	0	0
51. Wyoming .....	WY	N	0	0	0	0	0
52. American Samoa .....	AS	N	0	0	0	0	0
53. Guam .....	GU	N	0	0	0	0	0
54. Puerto Rico .....	PR	N	0	0	0	0	0
55. U.S. Virgin Islands .....	VI	N	0	0	0	0	0
56. Northern Mariana Islands .....	MP	N	0	0	0	0	0
57. Canada .....	CAN	N	0	0	0	0	0
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	11,490,284	12,925,241	12,519,953	27,646,463	31,712,627	44,927,610
DETAILS OF WRITE-INS		XXX					
58001.		XXX					
58002.		XXX					
58003.		XXX					
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 12      R - Registered - Non-domiciled RRGs..... 0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... 0      Q - Qualified - Qualified or accredited reinsurer..... 0  
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0      N - None of the above - Not allowed to write business in the state ..... 45

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART



## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0000		00000	31-1783451	0	0		Broad Street Brokerage Insurance Agency, LLC	.OH.	.N/A.	Encova Life Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
.0291	Encova Mutual Insurance Group	10204	62-1590861	0	0		Consumers Insurance USA, Inc.	.OH.	.IA.	Motorists Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
..0000		00000	42-1496478	0	0		IMARC, LLC	.IA.	.DS.	Iowa Mutual Insurance Company	Ownership.	90.00	Encova Mutual Insurance Group, Inc.	N	.3
.0291	Encova Mutual Insurance Group	31577	42-1019089	0	0		Iowa American Insurance Company	.OH.	.DS.	Iowa Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
.0291	Encova Mutual Insurance Group	14338	42-0333120	0	0		Iowa Mutual Insurance Company	.OH.	.RE.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
										Motorists Commercial Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
		00000	41-1563134	0	0		Encova Insurance Agency, Inc.	.MN.	.N/A.	Motorists Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
.0291	Encova Mutual Insurance Group	40932	31-1022150	0	0		MICO Insurance Company	.OH.	.IA.		Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
							Motorists Commercial Mutual Insurance Company	.OH.	.IA.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
.0291	Encova Mutual Insurance Group	13331	41-0299900	0	0		Encova Life Insurance Company	.OH.	.IA.	Motorists Mutual Insurance Company	Ownership.	70.00	Encova Mutual Insurance Group, Inc.	N	.6
.0291	Encova Mutual Insurance Group	66311	31-0717055	0	0		Motorists Mutual Insurance Company	.OH.	.IA.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
.0291	Encova Mutual Insurance Group	14621	31-4259550	0	0		Encova Service Corporation	.OH.	.N/A.	Motorists Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
.0000		00000	31-0851906	0	0		Phenix Mutual Fire Insurance Company	.OH.	.IA.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
.0291	Encova Mutual Insurance Group	23175	02-0178290	0	0		Wilson Mutual Insurance Company	.OH.	.IA.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
.0291	Encova Mutual Insurance Group	19950	39-0739760	0	0		Encova Realty, LLC	.OH.	.N/A.	Motorists Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.3
.0000		00000	81-4951462	0	0		Encova Foundation of Ohio	.OH.	.N/A.	Motorists Mutual Insurance Company	Board	0.00	Encova Mutual Insurance Group, Inc.	N	.4
.0291	Encova Mutual Insurance Group	00000	31-1712343	0	0		BrickStreet Mutual Insurance Company	.WV.	.IA.			0.00	Encova Mutual Insurance Group, Inc.	N	.1
.0291	Encova Mutual Insurance Group	12372	20-2394166	0	0		PinnaclePoint Insurance Company	.WV.	.IA.	BrickStreet Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.2
.0291	Encova Mutual Insurance Group	15137	46-1783383	0	0		NorthStone Insurance Company	.WV.	.IA.	BrickStreet Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.2
.0291	Encova Mutual Insurance Group	13045	26-0818900	0	0		SummitPoint Insurance Company	.WV.	.IA.	BrickStreet Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.2
.0291	Encova Mutual Insurance Group	15136	46-1795752	0	0		AlleghenyPoint Insurance Company	.WV.	.IA.	BrickStreet Mutual Insurance Company	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.2
.0000		00000	87-0807723	0	0		Encova Foundation of West Virginia, Inc.	.WV.	.N/A.	BrickStreet Mutual Insurance Company	Board	0.00	Encova Mutual Insurance Group, Inc.	N	.5
.0000		00000	80-0772825	0	0		STCE HTC Federal Investor, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0000		00000	81-3585592	0	0		MPC Brickstreet 2017 Historic Fund, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0000		00000	81-5313304	0	0		MPC Brickstreet 2018 Historic Fund, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0000		00000	82-4318558	0	0		MPC Brickstreet 2019 Historic Fund, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0000		00000	84-1783677	0	0		MPC Brickstreet 2019 Historic Fund, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0000		00000	84-1783677	0	0		Encova Insurance Service Center, LLC	.GA.	.N/A.	BrickStreet Mutual Insurance Company	Ownership.	99.99	Encova Mutual Insurance Group, Inc.	N	.0
.0291	Encova Mutual Insurance Group	00000	86-1546423	0	0		Encova Holdings, Inc.	.OH.	.UPI.	Encova Mutual Insurance Group, Inc.	Ownership.	100.00	Encova Mutual Insurance Group, Inc.	N	.7

Asterisk	Explanation
1	The company is a mutual property/casualty insurer and an affiliate of Encova Mutual Insurance Group. Encova Mutual Insurance Group is the ultimate controlling entity of the Group through an interlocking board of directors.
2	This company is a stock subsidiary of BrickStreet Mutual Insurance Company with ultimate control of that parent as described in Note 1.
3	The entity is a subsidiary of an insurer that is an affiliate of Encova Mutual Insurance Group. With ultimate control of that insurer as described in Note 1.
4	Schedule Y, Parts 1 and 1A, includes the Encova Foundation of Ohio, a 501(c)(3) tax-exempt private foundation incorporated on 7/12/2000.
5	Schedule Y, Parts 1 and 1A, includes Encova Foundation of West Virginia, Inc., a 501(c)(3) tax-exempt private foundation incorporated on December 23, 2011.
6	The entity is a subsidiary of an insurer that is an affiliate of Encova Mutual Group. With ultimate control of that insurer as described in Note 1. Motorists Life Insurance Company was renamed Encova Life Insurance Company on 1/4/2021.
7	Encova Holdings, Inc. was formed on 1/1/2021 and holds 100% of the stock of Motorist Mutual Insurance Co, Motorists Commercial Mutual Insurance Co, Brickstreet Mutual Insurance Co, Iowa Mutual Insurance Co, Phenix Mutual Fire Insurance Co and Wilson Mutual Insurance Co.,
8	Encova Mutual Insurance Group, Inc. was formed on 1/1/2021 and owns 100% of the stock of Encova Holdings, Inc.

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....	113,956	22,426	19.7	(335.9)
2. Allied Lines .....	216,295	91,802	42.4	212.7
3. Farmowners multiple peril .....			0.0	0.0
4. Homeowners multiple peril .....	5,049,662	3,044,816	60.3	344.1
5. Commercial multiple peril .....		(7,950)	0.0	206.4
6. Mortgage guaranty .....			0.0	0.0
8. Ocean marine .....			0.0	0.0
9. Inland marine .....	113,474	115,383	101.7	74.5
10. Financial guaranty .....			0.0	0.0
11.1 Medical professional liability - occurrence .....			0.0	0.0
11.2 Medical professional liability - claims-made .....			0.0	0.0
12. Earthquake .....	.11,671		0.0	0.0
13. Group accident and health .....			0.0	0.0
14. Credit accident and health .....			0.0	0.0
15. Other accident and health .....			0.0	0.0
16. Workers' compensation .....		826,277	0.0	(1,946.4)
17.1 Other liability - occurrence .....	163,488	1,303,416	797.3	727.8
17.2 Other liability - claims-made .....			0.0	0.0
17.3 Excess workers' compensation .....			0.0	0.0
18.1 Products liability - occurrence .....	(85)	30,000	(35,294.1)	0.0
18.2 Products liability - claims-made .....			0.0	0.0
19.1,19.2 Private passenger auto liability .....	2,775,687	1,213,994	43.7	27.4
19.3,19.4 Commercial auto liability .....		(538,203)	0.0	(113,607.3)
21. Auto physical damage .....	3,336,364	1,538,537	46.1	56.2
22. Aircraft (all perils) .....			0.0	0.0
23. Fidelity .....			0.0	0.0
24. Surety .....			0.0	0.0
26. Burglary and theft .....		(5,000)	0.0	0.0
27. Boiler and machinery .....			0.0	0.0
28. Credit .....			0.0	0.0
29. International .....			0.0	0.0
30. Warranty .....			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35. Totals .....	11,780,512	7,635,497	64.8	175.4
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date	
1. Fire .....	36,588	108,477	123,687	
2. Allied Lines .....	82,529	227,425	211,624	
3. Farmowners multiple peril .....	0		0	
4. Homeowners multiple peril .....	1,802,420	4,988,662	5,418,367	
5. Commercial multiple peril .....	0		0	
6. Mortgage guaranty .....	0		0	
8. Ocean marine .....	0		0	
9. Inland marine .....	38,975	108,704	123,435	
10. Financial guaranty .....	0		0	
11.1 Medical professional liability - occurrence .....	0		0	
11.2 Medical professional liability - claims-made .....	0		0	
12. Earthquake .....	3,848	11,080	12,635	
13. Group accident and health .....	0		0	
14. Credit accident and health .....	0		0	
15. Other accident and health .....	0		0	
16. Workers' compensation .....	0		17,657	
17.1 Other liability - occurrence .....	53,862	154,177	177,347	
17.2 Other liability - claims-made .....	0		0	
17.3 Excess workers' compensation .....	0		0	
18.1 Products liability - occurrence .....	0	(85)	(3,172)	
18.2 Products liability - claims-made .....	0		0	
19.1,19.2 Private passenger auto liability .....	907,283	2,662,834	3,155,530	
19.3,19.4 Commercial auto liability .....	0		(7,272)	
21. Auto physical damage .....	1,114,414	3,229,010	3,695,572	
22. Aircraft (all perils) .....	0		0	
23. Fidelity .....	0		0	
24. Surety .....	0		0	
26. Burglary and theft .....	0		0	
27. Boiler and machinery .....	0		(169)	
28. Credit .....	0		0	
29. International .....	0		0	
30. Warranty .....	0		0	
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	
34. Aggregate write-ins for other lines of business .....	0	0	0	
35. Totals .....	4,039,919	11,490,284	12,925,241	
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0	0

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**PART 3 (000 omitted)**

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2021 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2018 + Prior .....	9,118	9,040	18,158	1,459	(55)	1,404	7,880	351	6,201	14,431	.221	(2,544)	(2,323)
2. 2019 .....	2,125	3,603	5,728	877	(5)	873	1,419	356	2,221	3,996	.171	(1,030)	(859)
3. Subtotals 2019 + Prior .....	11,243	12,643	23,886	2,337	(60)	2,277	9,298	707	8,422	18,428	.392	(3,574)	(3,181)
4. 2020 .....	3,700	5,046	8,745	2,026	126	2,152	2,081	766	3,827	6,675	.408	(326)	.82
5. Subtotals 2020 + Prior .....	14,942	17,689	32,631	4,363	66	4,429	11,380	1,473	12,249	25,102	.801	(3,900)	(3,100)
6. 2021 .....	XXX	XXX	XXX	XXX	3,889	3,889	XXX	3,063	5,193	8,256	XXX	XXX	XXX
7. Totals .....	14,942	17,689	32,631	4,363	3,955	8,319	11,380	4,536	17,443	33,358	801	(3,900)	(3,100)
8. Prior Year-End Surplus As Regards Policyholders		32,875									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 5.4	2. (22.0)	3. (9.5)
													4. (9.4)

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

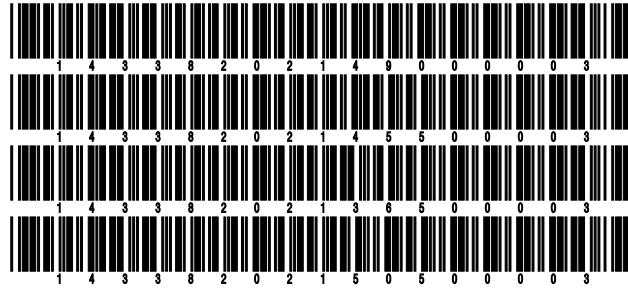
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY  
**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Liabilities Line 25

	1 Current Statement Date	2 December 31, Prior Year
2504. Obligations in pools and associations .....	.....(20,537)	.....(20,537)
2597. Summary of remaining write-ins for Line 25 from overflow page	(20,537)	(20,537)

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,682,184	1,797,416
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Current year change in encumbrances	0	0
4. Total gain (loss) on disposals	(947,382)	0
5. Deduct amounts received on disposals	696,788	0
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	0	0
8. Deduct current year's depreciation	38,014	115,232
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	0	1,682,184
10. Deduct total nonadmitted amounts	0	812,185
11. Statement value at end of current period (Line 9 minus Line 10)	0	869,999

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recruited investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recruited investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recruited investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,640,159	4,524,842
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	72,638	188,617
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	(661,887)	(73,300)
6. Total gain (loss) on disposals	693,090	0
7. Deduct amounts received on disposals	4,734,267	0
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	9,733	4,640,159
12. Deduct total nonadmitted amounts	9,733	24,031
13. Statement value at end of current period (Line 11 minus Line 12)	0	4,616,128

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	51,918,145	54,904,407
2. Cost of bonds and stocks acquired	17,464,229	4,880,875
3. Accrual of discount	10,398	28,347
4. Unrealized valuation increase (decrease)	(452,141)	2,963,013
5. Total gain (loss) on disposals	2,080,667	345,676
6. Deduct consideration for bonds and stocks disposed of	10,309,554	9,824,271
7. Deduct amortization of premium	159,465	207,971
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	1,279	1,171,931
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	60,550,999	51,918,145
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	60,550,999	51,918,145

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	35,806,517	2,868,556	.602,872	-(56,553)	36,453,303	35,806,517	38,015,648	26,068,404
2. NAIC 2 (a) .....	2,421,670	396,258	190	998	1,781,559	2,421,670	2,818,736	1,794,318
3. NAIC 3 (a) .....	97,103	0	0	136	96,969	97,103	97,239	96,838
4. NAIC 4 (a) .....	0				0	0	0	0
5. NAIC 5 (a) .....	0				0	0	0	0
6. NAIC 6 (a) .....	0				0	0	0	0
7. Total Bonds .....	38,325,290	3,264,813	603,062	(55,419)	38,331,831	38,325,290	40,931,623	27,959,559
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0	0	0	0
9. NAIC 2 .....	0				0	0	0	0
10. NAIC 3 .....	0				0	0	0	0
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	38,325,290	3,264,813	603,062	(55,419)	38,331,831	38,325,290	40,931,623	27,959,559

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SI02

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	11,351,687	3,689,712
2. Cost of cash equivalents acquired	32,152,636	36,060,112
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	37,651,639	28,398,137
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	5,852,684	11,351,687
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	5,852,684	11,351,687

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
611322-JB-5	MONROE TWP N J BRD ED GLOUCESTER CNTY		.09/10/2021	STIFEL NICOLAUS & COMPANY	125,000				1.D FE
625517-MY-0	MULTONMAH CNTY ORE SCH DIST NO 1J PORTLA		.09/23/2021	MORGAN STANLEY & COMPANY	381,542	.395,000		.1,896	1.C FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					506,542	520,000		1,896	XXX
3140LY-A9-4	FN BT9031 - RMBS		.09/07/2021	FIRST HORIZON BANK	1,537,533	1,495,245		1,080	1.A
532646-AU-0	LIMESTONE CNTY ALA BRD SPL TAX SCH WITS		.09/16/2021	RAYMOND JAMES & ASSOCIATES	100,000	.100,000		0	1.C FE
532646-AV-8	LIMESTONE CNTY ALA BRD SPL TAX SCH WITS		.09/16/2021	RAYMOND JAMES & ASSOCIATES	100,000	.100,000		0	1.C FE
3199999. Subtotal - Bonds - U.S. Special Revenues					1,737,533	1,695,245		1,080	XXX
03027X-BR-0	AMERICAN TOWER CORP		.09/23/2021	BARCLAYS CAPITAL INC	.99,481	.100,000		0	2.C FE
17327C-AM-5	CITIGROUP INC		.09/28/2021	GOLDMAN	147,744	.150,000		.290	2.A FE
47216Q-AB-9	JDE PEETS NV	C.	.09/21/2021	BANC OF AMERICA/FIXED INCOME	149,033	.150,000		0	2.C FE
59217G-EP-0	METROPOLITAN LIFE GLOBAL FUNDING I		.09/21/2021	GOLDMAN	174,979	.175,000		1.D FE	
61744Y-AQ-1	MORGAN STANLEY		.09/10/2021	MORGAN STANLEY & COMPANY	315,747	.300,000		.4,360	1.G FE
743756-AB-4	PROVIDENCE ST JOSEPH HEALTH		.09/08/2021	GOLDMAN	133,755	.125,000		.1,516	1.D FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					1,020,739	1,000,000		6,166	XXX
8399997. Total - Bonds - Part 3					3,264,813	3,215,245		9,142	XXX
8399998. Total - Bonds - Part 5					XXX	XXX		XXX	XXX
8399999. Total - Bonds					3,264,813	3,215,245		9,142	XXX
G1624R-10-7	BROOKFIELD PROPERTY PRF		.07/29/2021	Various	2,365	.61	0.00	0	3.B FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred					61	XXX		0	XXX
8999997. Total - Preferred Stocks - Part 3					61	XXX		0	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX		XXX	XXX
8999999. Total - Preferred Stocks					61	XXX		0	XXX
001230-10-4	AGNC INVESTMENT REIT ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.60,000	.970			0
00650F-10-9	ADAPTIVE BIOTECHNOLOGIES ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.15,000	.504			0
013872-10-6	ALCOA ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.24,000	.1,217			0
032654-10-5	ANALOG DEVICES ORD		.08/26/2021	ITG INC	.17,640	.1,480			0
05478C-10-5	AZEK COMPANY CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.15,000	.550			0
070830-10-4	BATH AND BODY WORKS ORD		.08/03/2021	Various	.24,000	.195			0
097023-10-5	BOEING ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.13,000	.2,843			0
112585-10-4	BROOKFIELD ASSET MANAGEMENT CL A ORD	C.	.07/29/2021	Various	3,287	.120			0
12508E-10-1	CDK GLOBAL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.17,000	.741			0
143658-30-0	CARNIVAL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.56,000	.1,469			0
171484-10-8	CHURCHILL DOWNS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.5,000	.1,216			0
21870Q-10-5	CORESITE REALTY REIT ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.6,000	.836			0
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.9,000	.2,171			0
233331-10-7	DTE ENERGY ORD		.07/01/2021	Various	.20,000	.815			0
23345M-10-7	DT MIDSTREAM ORD		.07/01/2021	Various	.10,000	.143			0
25278X-10-9	DIAMONDBACK ENERGY ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.10,000	.947			0
28414H-10-3	ELANCO ANIMAL HEALTH ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.27,000	.881			0
29415F-10-4	ENVISTA HOLDINGS ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.22,000	.937			0
30231G-10-2	EXXON MOBIL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.95,000	.5,657			0
31816Q-10-1	FIREYE ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.31,000	.552			0
36262G-10-1	GXO LOGISTICS ORD		.08/02/2021	ITG INC	.9,000	.193			0
369604-30-1	GENERAL ELECTRIC ORD		.08/02/2021	ITG INC	.118,250	.9,018			0
406216-10-1	HALLIBURTON ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.83,000	.1,872			0
428291-10-8	HEXCEL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.12,000	.734			0
448579-10-2	HYATT HOTELS CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.7,000	.555			0
499049-10-4	KNIGHT SWIFT TRANSPORTATN CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.22,000	.1,161			0
524660-10-7	LEGGITT & PLATT ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.18,000	.840			0
566000-10-7	MARAVAI LIFE SCIENCES CL A ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.14,000	.671			0
57164Y-10-7	MARRIOTT VACATIONS WORLDWIDE ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.6,000	.974			0
57667L-10-7	MATCH GROUP ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.13,000	.2,063			0
577081-10-2	MATTEL ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.47,000	.894			0
637417-10-6	NATIONAL RETAIL PROPERTIES REIT ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC	.24,000	.1,051			0

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
63947U-10-7	NCINO ORD		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	8,000	572		0	
650111-10-7	NEW YORK TIMES CL A ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	16,000	809		0	
70438V-10-6	PAYLOCITY HOLDING ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	4,000	1,128		0	
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	15,000	1,475		0	
74838J-10-1	GUIDEL ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	4,000	554		0	
833445-10-9	SNOWFLAKE CL A ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	23,000	6,838		0	
87918A-10-5	TELADOC HEALTH ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	20,000	2,608		0	
88023U-10-1	TEMPUR SEALY INTERNATIONAL ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	24,000	1,160		0	
894164-10-2	TRAVEL LEISURE ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	12,000	695		0	
90353T-10-0	UBER TECHNOLOGIES ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	62,000	2,851		0	
91680M-10-7	UPSTART HOLDINGS ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,000	1,562		0	
926400-10-2	VICTORIA S SECRET ORD ..		.08/03/2021	Various	8,000	.46		0	
980745-10-3	WOODWARD ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	7,000	799		0	
983793-10-0	XPO LOGISTICS ORD ..		.08/02/2021	ITG INC ..	9,000	268		0	
98954M-20-0	ZILLION GROUP CL C ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,000	436		0	
98980L-10-1	ZOOM VIDEO COMMUNICATIONS CL A ORD ..		.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	7,000	1,821		0	
N6596X-10-9	NXP SEMICONDUCTORS ORD ..	C.	.09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	32,000	6,605		0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						74,498	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						74,498	XXX	0	XXX
9799998. Total - Common Stocks - Part 5							XXX	XXX	XXX
9799999. Total - Common Stocks						74,498	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						74,559	XXX	0	XXX
9999999 - Totals						3,339,372	XXX	9,142	XXX

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Temporar- y Impairment Recogn- ized	13 Current Year's Other Than Temporar- y Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
.36202C-R2-1	G2 002305 - RMBS		.09/01/2021	Paydown .....		.64	.64	.64	.0	0	0	0	0	0	.64	0	0	0	0	3	.10/20/2026	1.A .....
.36202C-UV-3	G2 002396 - RMBS		.09/01/2021	Paydown .....		.45	.45	.45	.0	0	0	0	0	0	.45	0	0	0	0	2	.05/15/2027	1.A .....
.36202C-UH-1	G2 002397 - RMBS		.09/01/2021	Paydown .....		.18	.19	.18	.0	0	0	0	0	0	.18	0	0	0	0	1	.03/20/2027	1.A .....
.36210Y-ZT-5	GN 506654 - RMBS		.09/01/2021	Paydown .....		.261	.261	.255	.0	1	0	0	1	0	.261	0	0	0	0	10	.04/15/2029	1.A .....
.36225B-EA-2	GN 781029 - RMBS		.09/01/2021	Paydown .....		2,070	2,178	2,125	.0	(55)	0	0	(55)	0	2,070	0	0	0	0	.91	.05/15/2029	1.A .....
.36241K-MU-9	GN 782171 - RMBS		.09/01/2021	Paydown .....		8,211	8,291	8,273	.0	(62)	0	0	(62)	0	8,211	0	0	0	0	.260	.11/15/2035	1.A .....
.38377R-VK-8	GNR 2010-166 GP - CMO/RMBS		.09/01/2021	Paydown .....		5,473	5,473	5,563	.0	(91)	0	0	(91)	0	5,473	0	0	0	0	110	.04/20/2039	1.A .....
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						16,143	16,143	16,349	.0	(206)	0	(206)	0	0	16,143	0	0	0	0	478	<b>XXX</b>	<b>XXX</b>
.3131X1-N6-3	FH ZM0413 - RMBS		.09/01/2021	Paydown .....		1,288	1,288	1,332	.0	(35)	0	(35)	0	0	1,288	0	0	0	0	.33	.11/01/2045	1.A .....
.31329K-X3-3	FH ZA2498 - RMBS		.09/01/2021	Paydown .....		13,246	13,246	13,283	.0	(37)	0	(37)	0	0	13,246	0	0	0	0	.317	.03/01/2038	1.A .....
.313245-AY-1	FH ZS4523 - RMBS		.09/01/2021	Paydown .....		8,106	8,106	8,134	.0	(28)	0	(28)	0	0	8,106	0	0	0	0	.187	.07/01/2043	1.A .....
.3132A7-UG-4	FH ZS6883 - RMBS		.09/01/2021	Paydown .....		1,615	1,615	1,666	.0	(51)	0	(51)	0	0	1,615	0	0	0	0	.38	.12/01/2028	1.A .....
.313205-6Z-0	FH SB8088 - RMBS		.09/01/2021	Paydown .....		149,545	149,545	153,225	.0	(3,680)	0	(3,680)	0	0	149,545	0	0	0	0	.1,150	.02/01/2036	1.A .....
.31320V-TB-5	FH SD8090 - RMBS		.09/01/2021	Paydown .....		11,073	11,073	11,409	.0	(324)	0	(324)	0	0	11,073	0	0	0	0	.151	.09/01/2050	1.A .....
.313348-MR-5	FH 0B2168 - RMBS		.09/01/2021	Paydown .....		4,811	4,811	4,978	.0	(160)	0	(160)	0	0	4,811	0	0	0	0	.63	.08/01/2050	1.A .....
.3133GB-GD-0	FH QN4696 - RMBS		.09/01/2021	Paydown .....		14,105	14,105	14,729	.0	(624)	0	(624)	0	0	14,105	0	0	0	0	.134	.12/01/2035	1.A .....
.3133KY-U6-4	FH RB5105 - RMBS		.09/01/2021	Paydown .....		14,855	14,855	15,347	.0	(492)	0	(492)	0	0	14,855	0	0	0	0	.127	.03/01/2041	1.A .....
.3136A5-VC-4	FNR 2012-30 ED - CMO/RMBS		.09/01/2021	Paydown .....		5,159	5,159	5,266	.0	(26)	0	(26)	0	0	5,159	0	0	0	0	.86	.04/25/2031	1.A .....
.3136A8-UN-8	FNR 2012-99 EC - CMO/RMBS		.09/01/2021	Paydown .....		4,656	4,656	4,755	.0	(34)	0	(34)	0	0	4,656	0	0	0	0	.62	.02/25/2041	1.A .....
.3136AE-LE-5	FNR 2013-58 EK - CMO/RMBS		.09/01/2021	Paydown .....		4,212	4,212	4,292	.0	(38)	0	(38)	0	0	4,212	0	0	0	0	.83	.02/25/2043	1.A .....
.3137A5-SG-0	FHR 3786 HE - CMO/RMBS		.09/01/2021	Paydown .....		4,546	4,546	4,713	.0	(15)	0	(15)	0	0	4,546	0	0	0	0	.76	.03/15/2038	1.A .....
.3137AM-K7-1	FHR 4020 EJ - CMO/RMBS		.09/01/2021	Paydown .....		4,621	4,621	4,881	.0	(195)	0	(195)	0	0	4,621	0	0	0	0	.91	.02/15/2042	1.A .....
.3138LV-H4-8	FN A04750 - RMBS		.09/01/2021	Paydown .....		14,493	14,493	14,295	.0	164	0	164	0	0	14,493	0	0	0	0	.241	.10/01/2027	1.A .....
.3138W-B-BF-1	FN AS1837 - RMBS		.09/01/2021	Paydown .....		13,088	13,088	13,561	.0	(477)	0	(477)	0	0	13,088	0	0	0	0	.343	.02/01/2044	1.A .....
.31403D-YB-9	FN 746006 - RMBS		.09/01/2021	Paydown .....		7,398	7,398	7,662	.0	2	0	2	0	0	7,398	0	0	0	0	.132	.12/01/2033	1.A .....
.31407P-CV-8	FN 836284 - RMBS		.09/01/2021	Paydown .....		75	75	.73	.0	2	0	2	0	0	.75	0	0	0	0	.3	.10/01/2035	1.A .....
.314009-NII-9	FN CA2204 - RMBS		.09/01/2021	Paydown .....		50,655	50,655	52,666	.0	(2,689)	0	(2,689)	0	0	50,655	0	0	0	0	.1,522	.08/01/2048	1.A .....
.31400K-QX-9	FN CB0469 - RMBS		.09/01/2021	Paydown .....		11,584	11,584	12,136	.0	(552)	0	(552)	0	0	11,584	0	0	0	0	.53	.05/01/2041	1.A .....
.3140X4-H2-5	FN FH1148 - RMBS		.09/01/2021	Paydown .....		9,823	9,823	10,084	.0	(292)	0	(292)	0	0	9,823	0	0	0	0	.228	.01/01/2048	1.A .....
.3140X8-KJ-5	FN FM4796 - RMBS		.09/01/2021	Paydown .....		9,312	9,312	9,704	.0	(392)	0	(392)	0	0	9,312	0	0	0	0	.118	.11/01/2050	1.A .....
.3140X9-V5-1	FN FM6035 - RMBS		.09/01/2021	Paydown .....		36,750	36,750	38,185	.0	(1,436)	0	(1,436)	0	0	36,750	0	0	0	0	.321	.02/01/2036	1.A .....
.31410L-UJ-2	FN 890796 - RMBS		.09/01/2021	Paydown .....		7,714	7,714	7,892	.0	(138)	0	(138)	0	0	7,714	0	0	0	0	.184	.12/01/2045	1.A .....
.594654-HG-3	MICHIGAN ST HSG DEV AUTH SINGLE FAMILY M		.09/01/2021	Call @ 100.00		80,000	80,000	81,342	.0	(92)	0	(92)	0	0	81,132	0	(1,132)	(1,132)	.2,332	.12/01/2044	1.C FE	
.60416S-SD-8	MINNESOTA ST HSG FIN AGY		.08/03/2021	Call @ 100.00		10,000	10,000	10,000	.0	0	0	0	0	0	10,000	0	0	0	0	.327	.01/01/2040	1.B FE
.64990C-3H-2	NEW YORK STATE DORMITORY AUTHORITY		.09/28/2021	Call @ 100.00		5,000	5,000	5,490	.0	(42)	0	(42)	0	0	5,000	0	0	0	0	.125	.10/01/2022	1.E FE
.83756C-FR-1	SOUTH DAKOTA HSG DEV AUTH		.07/15/2021	Call @ 100.00		5,000	5,000	5,121	.0	(24)	0	(24)	0	0	5,097	0	(97)	(97)	.111	.11/01/2044	1.A FE	
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						502,730	502,730	516,662	.0	(11,705)	0	(11,705)	0	0	503,958	0	(1,228)	(1,228)	8,639	<b>XXX</b>	<b>XXX</b>	
.14314R-AD-4	CARMIX 2017-4 A4 - ABS		.09/15/2021	Paydown .....		54,567	54,567	53,674	.0	(232)	0	(232)	0	0	54,567	0	0	0	0	.844	.05/15/2023	1.A FE
.36252R-AJ-8	GSMS 2014-GC18 A3 - CMBS		.08/01/2021	Paydown .....		12,052	12,052	12,321	.0	(133)	0	(133)	0	0	12,052	0	0	0	0	.305	.01/11/2047	1.A FE
.36319T-AN-6	GALXY XXIII AR - CDO	C.	.07/26/2021	Paydown .....		2,747	2,747	2,747	.0	0	0	0	0	0	2,747	0	0	0	0	.10	.04/24/2029	1.A FE
.55389T-AA-9	MVNIOT 211W A - RMBS		.09/20/2021	Paydown .....		8,982	8,982	8,980	.0	2	0	2	0	0	8,982	0	0	0	0	.23	.01/22/2041	1.A FE
.82653E-AA-5	SPFC 2019-1 A - RM																					

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Change in Book/ Adjusted Carrying Value								
8999997. Total - Preferred Stocks - Part 4						58	XXX	61	0	0	0	0	0	0	61	0	(3)	(3)	0	XXX	XXX	
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						58	XXX	61	0	0	0	0	0	0	61	0	(3)	(3)	0	XXX	XXX	
..02156K-10-3 ALTICE USA CL A ORD		..09/28/2021		MERRILL LYNCH PIERCE	38,000	731		925	1,439	(514)	0	0	0	0	925	0	(194)	(194)	0	XXX	XXX	
..023436-10-8 AMEDISYS ORD		..09/28/2021		MERRILL LYNCH PIERCE	3,000	453		823	880	(57)	0	0	0	0	823	0	(370)	(370)	0	XXX	XXX	
..02376R-10-2 AMERICAN AIRLINES GROUP ORD		..09/28/2021		MERRILL LYNCH PIERCE	37,000	796		1,175	583	592	0	0	0	0	1,175	0	(379)	(379)	0	XXX	XXX	
..032654-10-5 ANALOG DEVICES ORD		..08/26/2021		Not Available	0.640	107		56	.95	(39)	0	0	0	0	.56	0	.52	.52	2	XXX	XXX	
..046353-10-8 ASTRazeneca ADP 0.5 ORD	C.	..09/28/2021		FENNER & SMITH INC.	52,110	2,990		2,539	3,833	(1,294)	0	0	0	0	2,539	0	452	452	23	XXX	XXX	
..100557-10-7 BOSTON BEER CL A ORD		..09/28/2021		MERRILL LYNCH PIERCE	1,000	512		1,055	0	0	0	0	0	0	1,055	0	(543)	(543)	0	XXX	XXX	
..112585-10-4 BROOKFIELD ASSET MANAGEMENT CL A ORD	C.	..09/28/2021		FENNER & SMITH INC.	3,287	177		120	0	0	0	0	0	0	120	0	.58	.58	0	XXX	XXX	
..11282X-10-3 BROOKFIELD PROPERTY REIT CL A ORD		..07/29/2021	Adjustment	MERRILL LYNCH PIERCE	36,000	686		727	538	189	0	0	0	0	727	0	(41)	(41)	12	XXX	XXX	
..163851-10-8 CHEMOURS ORD		..09/28/2021		FENNER & SMITH INC.	22,000	654		768	0	0	0	0	0	0	768	0	(114)	(114)	6	XXX	XXX	
..200525-10-3 COMMERCE BANCSHARES ORD		..07/01/2021	Adjustment	MERRILL LYNCH PIERCE	0.450	28		25	25	0	0	0	0	0	.25	0	3	3	0	XXX	XXX	
..233331-10-7 DTE ENERGY ORD		..07/01/2021		MERRILL LYNCH PIERCE	20,000	958		958	2,428	(1,470)	0	0	0	0	958	0	0	0	.65	XXX	XXX	
..256163-10-6 DOCUSIGN ORD		..09/28/2021		FENNER & SMITH INC.	7,000	1,793		1,954	0	0	0	0	0	0	1,954	0	(161)	(161)	0	XXX	XXX	
..26884U-10-9 EPR PROPERTIES REIT ORD		..09/28/2021		MERRILL LYNCH PIERCE	22,000	1,120		1,504	715	789	0	0	0	0	1,504	0	(384)	(384)	11	XXX	XXX	
..29977A-10-5 EVERCORE CL A ORD		..09/28/2021		FENNER & SMITH INC.	3,000	415		231	329	(98)	0	0	0	0	231	0	184	184	6	XXX	XXX	
..31188V-10-0 FASTLY CL A ORD		..09/28/2021		FENNER & SMITH INC.	10,000	403		657	0	0	0	0	0	0	657	0	(254)	(254)	0	XXX	XXX	
..369604-10-3 GENERAL ELECTRIC ORD		..08/02/2021		Various	946,000	9,018		9,018	10,217	(1,198)	0	0	0	0	9,018	0	0	0	28	XXX	XXX	
..369604-30-1 GENERAL ELECTRIC ORD		..08/02/2021	Not Available	MERRILL LYNCH PIERCE	0.250	26		25	0	0	0	0	0	0	25	0	1	1	0	XXX	XXX	
..38526M-10-6 GRAND CANYON EDUCATION ORD		..09/28/2021		FENNER & SMITH INC.	14,000	1,240		1,617	1,304	314	0	0	0	0	1,617	0	(378)	(378)	0	XXX	XXX	
..44267D-10-7 HOWARD HUGHES ORD		..09/28/2021		MERRILL LYNCH PIERCE	12,000	1,056		1,537	947	590	0	0	0	0	1,537	0	(482)	(482)	0	XXX	XXX	
..459200-10-1 INTERNATIONAL BUSINESS MACHINES ORD		..09/28/2021		FENNER & SMITH INC.	12,000	1,653		1,767	1,511	256	0	0	0	0	1,767	0	(114)	(114)	59	XXX	XXX	
..49456B-10-1 KINDER MORGAN CL P ORD		..09/28/2021		FENNER & SMITH INC.	57,000	960		820	779	.40	0	0	0	.40	0	820	0	141	141	46	XXX	XXX
..497266-10-6 KIRBY ORD		..09/28/2021		MERRILL LYNCH PIERCE	17,000	867		1,428	881	547	0	0	0	0	1,428	0	(561)	(561)	0	XXX	XXX	
..501797-10-4 BATH AND BODY WORKS ORD		..08/03/2021		Various	24,000	241		241	893	(652)	0	0	0	0	241	0	0	0	4	XXX	XXX	
..517834-10-7 LAS VEGAS SANDS ORD		..09/28/2021		MERRILL LYNCH PIERCE	36,000	1,346		2,098	2,146	(47)	0	0	0	(47)	0	2,098	0	(752)	(752)	0	XXX	XXX
..550241-10-3 LUMEN TECHNOLOGIES ORD		..09/28/2021		FENNER & SMITH INC.	144,000	1,886		2,216	0	0	0	0	0	0	2,216	0	(330)	(330)	108	XXX	XXX	
..57772K-10-1 MAXIM INTEGRATED PRODUCTS ORD		..08/26/2021		MERRILL LYNCH PIERCE	28,000	1,480		1,480	2,482	(1,002)	0	0	0	0	1,480	0	0	0	0	XXX	XXX	
..665859-10-4 NORTHERN TRUST ORD		..09/28/2021		FENNER & SMITH INC.	8,000	889		930	0	0	0	0	0	0	930	0	(41)	(41)	0	XXX	XXX	
..681116-10-9 OLLIES BARGAIN OUTLET HLDG ORD		..09/28/2021		FENNER & SMITH INC.	15,000	971		1,307	1,227	.80	0	0	0	.80	0	1,307	0	(335)	(335)	0	XXX	XXX
..681936-10-0 OMEGA HEALTHCARE REIT ORD		..09/28/2021		FENNER & SMITH INC.	30,000	914		1,117	0	0	0	0	0	0	1,117	0	(203)	(203)	40	XXX	XXX	

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modifier and SVO Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
..72352L-10-6	PINTEREST CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	29,000	1,508		2,228	0	0	0	0	0		2,228	0	(720)	(720)	0			
..72919P-20-2	PLUG POWER ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	38,000	1,017		1,210	0	0	0	0	0		1,210	0	(193)	(193)	0			
..743424-10-3	PROOFPOINT ORD		09/01/2021	Not Available	14,000	2,464		1,334	1,910	(576)	0	0	0	(576)		1,334	0	1,130	1,130	0		
..79466L-30-2	SALESFORCE.COM ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,044	1,372		2,262	1,735	(458)	0	0	0	(458)	0	2,262	0	(889)	(889)	1,726		
..810186-10-6	SCOTTS MIRACLE GRO ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	10,000	1,509		1,899	1,991	(93)	0	0	0	(93)	0	1,899	0	(390)	(390)	19		
..83067L-10-9	SKILLZ CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	39,000	418		797	0	0	0	0	0		797	0	(379)	(379)	0			
..86771W-10-5	SUNRUN ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	27,000	1,159		1,468	0	0	0	0	0		1,468	0	(309)	(309)	0			
..88025U-10-9	10X GENOMICS CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,000	729		949	0	0	0	0	0		949	0	(220)	(220)	0			
..90139F-10-2	TWILIO CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	5,000	1,630		1,918	0	0	0	0	0		1,918	0	(288)	(288)	0			
..902681-10-5	UGI ORD		06/25/2021	GOLDMAN	0,000	0		0	0	0	0	0	0		0	0	0	0	0	16		
..91913Y-10-0	VALERO ENERGY ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	8,000	571		696	453	244	0	0	0	244	0	696	0	(126)	(126)	24		
..92537N-10-8	VERTIV HOLDINGS CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	36,000	845		977	0	0	0	0	0		977	0	(133)	(133)	0			
..92766K-10-6	VIRGIN GALACTIC HOLDINGS CL A ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	18,000	413		1,006	0	0	0	0	0		1,006	0	(594)	(594)	0			
..92918V-10-9	VROOM ORD		09/28/2021	MERRILL LYNCH PIERCE FENNER & SMITH INC.	15,000	356		662	0	0	0	0	0		662	0	(307)	(307)	0			
..983793-10-0	XPO LOGISTICS ORD		08/02/2021	Various	9,000	461		461	1,073	(612)	0	0	0	(612)	0	461	0	0	0	0		
..G0692U-10-9	AXIS CAPITAL HOLDINGS ORD	C	09/28/2021	FENNER & SMITH INC.	24,000	1,087		1,383	1,209	173	0	0	0	173	0	1,383	0	(295)	(295)	30		
..G21810-10-9	CLARIVATE ORD	C	09/28/2021	FENNER & SMITH INC.	54,000	1,196		1,457	0	0	0	0	0		1,457	0	(261)	(261)	0			
..G4705A-10-0	ICON ORD	C	09/28/2021	FENNER & SMITH INC.	5,000	1,331		1,125	1,520	(396)	0	0	0	(396)	0	1,125	0	206	206	970		
..G6518L-10-8	NIELSEN HOLDINGS ORD		09/28/2021	FENNER & SMITH INC.	47,000	917		1,206	188	54	0	0	0	54	0	1,206	0	(289)	(289)	6		
..G77090-10-4	ROYALTY PHARMA CL A ORD		09/28/2021	FENNER & SMITH INC.	42,000	1,543		1,840	0	0	0	0	0		1,840	0	(297)	(297)	14			
..M98068-10-5	WIX.COM ORD	C	09/28/2021	FENNER & SMITH INC.	7,000	1,388		2,121	0	0	0	0	0		2,121	0	(733)	(733)	0			
909999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					56,286	XXX	66,120	43,330	(4,635)	0	0	(4,635)	0	0	66,120	0	(9,834)	(9,834)	3,215	XXX	XXX	
979997. Total - Common Stocks - Part 4					56,286	XXX	66,120	43,330	(4,635)	0	0	(4,635)	0	0	66,120	0	(9,834)	(9,834)	3,215	XXX	XXX	
979998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
979999. Total - Common Stocks					56,286	XXX	66,120	43,330	(4,635)	0	0	(4,635)	0	0	66,120	0	(9,834)	(9,834)	3,215	XXX	XXX	
9899999. Total - Preferred and Common Stocks					56,344	XXX	66,181	43,330	(4,635)	0	0	(4,635)	0	0	66,181	0	(9,838)	(9,838)	3,215	XXX	XXX	
9999999 - Totals					658,180	XXX	681,734	412,615	(4,635)	(11,761)	0	(16,396)	0	0	669,243	0	(11,063)	(11,063)	13,604	XXX	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

## **SCHEDULE E - PART 1 - CASH**

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
First Central State Bank ..... Dewitt, Iowa .....					(986,512)	(964,508)	(1,354,656)	XXX
0199998. Deposits in ... 1 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			(1,523)	(1,477)	(1,451)	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(988,034)	(965,985)	(1,356,107)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(988,034)	(965,985)	(1,356,107)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
.....								
.....								
.....								
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	0	0	(988,034)	(965,985)	(1,356,107)	XXX

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE IOWA MUTUAL INSURANCE COMPANY

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						0	0	0
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
000000-00-0 FIDELITY			.06/30/2021	.0000		.2,100,000	.0	.37
316175-10-8 FIDELITY IMM:GOVT			.09/30/2021	.0010		.3,752,682	.47	.45
60934N-20-3 FEDERATED HRMS I PO IS			.02/18/2014	.0010		.3	.0	.0
8699999. Subtotal - All Other Money Market Mutual Funds						5,852,685	47	81
9999999 - Total Cash Equivalents						5,852,685	47	81