



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

Excess Share Insurance Corporation

NAIC Group Code 3590 3590 NAIC Company Code 10003 Employer's ID Number 31-1383517  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/17/1993 Commenced Business 12/22/1993

Statutory Home Office 5656 Frantz Rd. Dublin, OH, US 43017  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 5656 Frantz Rd. Dublin, OH, US 43017 614-764-1900  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 5656 Frantz Rd. Dublin, OH, US 43017  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 5656 Frantz Rd. Dublin, OH, US 43017 614-764-1900  
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.excessshare.com

Statutory Statement Contact Peter John Love 614-973-7736  
(Name) (Area Code) (Telephone Number)  
plove@americanshare.com 614-973-7737  
(E-mail Address) (FAX Number)

OFFICERS

President Dennis Roy Adams

Treasurer Peter John Love

Secretary Peter John Love

OTHER

DIRECTORS OR TRUSTEES

Dennis Roy Adams

Peter John Love

Thaddeus Joseph Angelle

Bradley Dale Swartzentruber

Christine Kaete Haley #

State of Ohio

County of Franklin

SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Dennis Roy Adams  
President

Peter John Love  
Secretary

Peter John Love  
Treasurer

Subscribed and sworn to before me this day of

a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	46,837,073		46,837,073	43,496,246
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	6,975,745		6,975,745	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....3,915,333 ), cash equivalents (\$ .....7,115,344 ) and short-term investments (\$ ..... ) .....	11,030,677		11,030,677	18,742,667
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	64,843,495	0	64,843,495	62,238,913
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	91,876		91,876	68,631
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....			0	0
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ .....778,830 earned but unbilled premiums) .....	778,830		778,830	761,970
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	53,290		53,290	10,852
18.2 Net deferred tax asset .....	535,710	535,710	0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	168,335	168,335	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	66,471,536	704,045	65,767,491	63,080,366
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	66,471,536	704,045	65,767,491	63,080,366
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses .....	168,335	168,335	0	0
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	168,335	168,335	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... ) .....	2,551,000	2,476,000
2. Reinsurance payable on paid losses and loss adjustment expenses .....		0
3. Loss adjustment expenses .....		
4. Commissions payable, contingent commissions and other similar charges .....		
5. Other expenses (excluding taxes, licenses and fees) .....	55,117	277,856
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....		
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses)) .....		
7.2 Net deferred tax liability .....		
8. Borrowed money \$ ..... and interest thereon \$ .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ .....0 for medical loss ratio rebate per the Public Health Service Act) .....		
10. Advance premium .....		
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		
12. Ceded reinsurance premiums payable (net of ceding commissions) .....		0
13. Funds held by company under reinsurance treaties .....		0
14. Amounts withheld or retained by company for account of others .....		
15. Remittances and items not allocated .....		
16. Provision for reinsurance (including \$ ..... certified) .....		0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	159,852	164,810
20. Derivatives .....	0	0
21. Payable for securities .....		
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	38,830,000	36,275,000
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	41,595,969	39,193,666
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	41,595,969	39,193,666
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	2,500,000	2,500,000
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....		
34. Gross paid in and contributed surplus .....	4,200,000	4,200,000
35. Unassigned funds (surplus) .....	17,471,522	17,186,700
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	24,171,522	23,886,700
38. Totals (Page 2, Line 28, Col. 3)	65,767,491	63,080,366
<b>DETAILS OF WRITE-INS</b>		
2501. Premium Deposits .....	38,250,000	35,810,000
2502. Premium Deposit Refunds Due .....	580,000	465,000
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	38,830,000	36,275,000
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

	1	2	3
	Current	Prior Year	Prior Year Ended
	Year to Date	to Date	December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 2,317,516 )	2,317,516	2,145,959	2,933,152
1.2 Assumed (written \$ )			0
1.3 Ceded (written \$ 350,620 )	350,620	314,626	419,859
1.4 Net (written \$ 1,966,896 )	1,966,896	1,831,333	2,513,293
DEDUCTIONS:			
2. Losses incurred (current accident year \$ )::			
2.1 Direct	75,000	45,000	60,000
2.2 Assumed			0
2.3 Ceded			0
2.4 Net	75,000	45,000	60,000
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	1,685,244	1,598,932	2,101,379
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	1,760,244	1,643,932	2,161,379
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	206,652	187,401	351,914
INVESTMENT INCOME			
9. Net investment income earned	286,863	538,022	594,702
10. Net realized capital gains (losses) less capital gains tax of \$ (11,641)	(11,641)		
11. Net investment gain (loss) (Lines 9 + 10)	275,222	538,022	594,702
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	560	700	1,265
15. Total other income (Lines 12 through 14)	560	700	1,265
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	482,434	726,123	947,881
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	482,434	726,123	947,881
19. Federal and foreign income taxes incurred	131,562	159,800	207,800
20. Net income (Line 18 minus Line 19)(to Line 22)	350,872	566,323	740,081
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	23,886,700	23,146,619	23,146,619
22. Net income (from Line 20)	350,872	566,323	740,081
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 64,786	64,786		
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	13,710	7,000	10,000
27. Change in nonadmitted assets	(144,546)	(119,500)	(10,000)
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	284,822	453,823	740,081
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	24,171,522	23,600,442	23,886,700
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Miscellaneous Income	560	700	1,265
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	560	700	1,265
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	1,950,036	1,738,813	2,387,913
2. Net investment income .....	256,122	656,671	771,740
3. Miscellaneous income .....	560	700	1,265
4. Total (Lines 1 to 3) .....	2,206,718	2,396,184	3,160,918
5. Benefit and loss related payments .....	0	0	0
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	1,907,983	1,583,206	1,883,700
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	174,000	140,000	198,000
10. Total (Lines 5 through 9) .....	2,081,983	1,723,206	2,081,700
11. Net cash from operations (Line 4 minus Line 10) .....	124,735	672,978	1,079,218
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	16,666,667	52,095,000	63,295,000
12.2 Stocks .....	376,687	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	17,043,354	52,095,000	63,295,000
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	20,000,000	37,009,790	56,009,790
13.2 Stocks .....	7,299,288	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	27,299,288	37,009,790	56,009,790
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(10,255,934)	15,085,210	7,285,210
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	2,419,209	2,993,091	4,018,617
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	2,419,209	2,993,091	4,018,617
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(7,711,990)	18,751,279	12,383,045
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	18,742,667	6,359,622	6,359,622
19.2 End of period (Line 18 plus Line 19.1) .....	11,030,677	25,110,901	18,742,667

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Unrealized gain/(loss) on trading securities .....	64,786	0	
20.0002. ....		0	
20.0003. ....		0	

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of Excess Share Insurance Corporation (the Company, or ESI) are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department and in accordance with the NAIC Statutory Principles (NAIC SAP). All of the Company's significant statutory accounting practices are prescribed practices.

	SSAP #	F/S Page	F/S Line #		2021		2020
NET INCOME							
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$	350,872	\$	740,081
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:							
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$	350,872	\$	740,081
SURPLUS							
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$	24,171,522	\$	23,886,700
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:							
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:							
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$	24,171,522	\$	23,886,700

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

In March 2020, the World Health Organization declared a global pandemic related to the outbreak of a respiratory illness caused by the coronavirus, COVID-19. Related impacts and disruptions are being experienced in the geographical areas in which we operate, and the ultimate duration and intensity of this global health emergency is unclear. Given the dynamic nature of the emergency, its impact on the Company's operations, cash flows, and financial condition cannot be reasonably estimated at this time.

C. Accounting Policy

No significant changes for 1,3,4,5,7,8 and 9

(2). Investments – The Company accounts for its investments in bonds at amortized cost. Bonds consist of obligations issued and guaranteed by the U.S. Government or its agencies. The Company utilizes the level-yield method to amortize premiums and accrete discounts over the stated maturity period of the related investment and is reported in net investment income.

Gains or losses on investments sold are based on the specific identification method and are included in investment income net of tax. Investment purchases and sales are recorded on the trade date. Interest income is accrued when earned.

The Company measures its investmens in publicly-traded equity securities at fair value and recognizes changes in the fair value as a component of net income under GAAP. For statutory accounting, only realized gains and losses are recognized as income, while unrealized gains and losses are recognized as a change in statutory surplus. At September 30, 2021, the unrealized gains for publicly-traded equity securities were \$64,786 (none at December 31, 2020). At September 30, 2021, the realized loss was \$11,641 (none at December 31, 2020).

The Company employs a systematic methodology that considers available evidence in evaluating potential other-than-temporary impairment of investments. In the event that the cost of an investment exceeds its fair value, the Company evaluates, among other factors, the magnitude and duration of the decline in fair value; the financial health of and business outlook for the issuer; changes to the debt rating of the issuer, or specific security, by a rating agency; and the performance of the underlying assets. For debt investments, the ability and intent to hold the security, and the probability that the Company will be unable to collect all amounts due according to contractual terms of a debt security in effect at the date of acquisition is assessed. Once a decline in fair value of an investment security is determined to be other than temporary, an impairment charge is recorded to net realized capital gains and losses, in the statement of income, and a new cost basis in the investment is established.

(6). Federal Income Taxes – The Company files a Federal income tax return separate from its Parent. The total income taxes of \$174,000 and \$198,000 were paid during 2021 and 2020, respectively.

The Company accounts for deferred income taxes using the asset and liability method, which requires the recognition of deferred tax assets and liabilities for the epected future tax consequences of events that have been included in the financial statements. Deferred tax assets that are not anticipated to be realized within three years are treated as non-admitted assets in the accompanying statutory-basis financial statements. All of the Company's deferred tax assets (\$535,710 at - September 30, 2021 and \$522,000 at December 31, 2020 respectively, relating primarily to its reserve for guaranty losses) are treated as non-admitted assets.

D. Going Concern

Based upon an evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

NOTE 2 Accounting Changes and Corrections of Errors

There were no material changes in accounting principles and/or correction of errors.

NOTE 3 Business Combinations and Goodwill

- A. Statutory Purchase Method - Does not apply.
- B. Statutory Merger - Does not apply.
- C. Impairment Loss - Does not apply.

NOTE 4 Discontinued Operations

- A. Discontinued Operation Disposed of or Classified as Held for Sale - Does not apply.

NOTE 5 Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans - Does not apply.
- B. Debt Restructuring - Does not apply.

NOTES TO FINANCIAL STATEMENTS

- C. Reverse Mortgages - Does not apply.
- D. Loan-Backed Securities - Does not apply.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - Does not apply.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - Does not apply.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - Does not apply.
- H. Repurchase Agreements Transactions Accounted for as a Sale - Does not apply.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - Does not apply.
- J. Real Estate - Does not apply.
- K. Low Income Housing tax Credits (LIHTC) - Does not apply.
- L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						
	Current Year					6	7
	1	2	3	4	5		
	Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)
a. Subject to contractual obligation for which liability is not shown					\$ -		\$ -
b. Collateral held under security lending agreements					\$ -		\$ -
c. Subject to repurchase agreements					\$ -		\$ -
d. Subject to reverse repurchase agreements					\$ -		\$ -
e. Subject to dollar repurchase agreements					\$ -		\$ -
f. Subject to dollar reverse repurchase agreements					\$ -		\$ -
g. Placed under option contracts					\$ -		\$ -
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock					\$ -		\$ -
i. FHLB capital stock					\$ -		\$ -
j. On deposit with states	3,023,889				\$ 3,023,889	\$ 3,017,048	\$ 6,841
k. On deposit with other regulatory bodies					\$ -		\$ -
l. Pledged collateral to FHLB (including assets backing funding agreements)					\$ -		\$ -
m. Pledged as collateral not captured in other categories					\$ -		\$ -
n. Other restricted assets					\$ -		\$ -
o. Total Restricted Assets	\$ 3,023,889	\$ -	\$ -	\$ -	\$ 3,023,889	\$ 3,017,048	\$ 6,841

- (a) Subset of Column 1
- (b) Subset of Column 3

Restricted Asset Category	Current Year			
	8	9	Percentage	
			10	11
			Gross (Admitted & Non-admitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)
a. Subject to contractual obligation for which liability is not shown		\$ -	0.000%	0.000%
b. Collateral held under security lending agreements		\$ -	0.000%	0.000%
c. Subject to repurchase agreements		\$ -	0.000%	0.000%
d. Subject to reverse repurchase agreements		\$ -	0.000%	0.000%
e. Subject to dollar repurchase agreements		\$ -	0.000%	0.000%
f. Subject to dollar reverse repurchase agreements		\$ -	0.000%	0.000%
g. Placed under option contracts		\$ -	0.000%	0.000%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock		\$ -	0.000%	0.000%
i. FHLB capital stock		\$ -	0.000%	0.000%
j. On deposit with states		\$ 3,023,889	4.549%	4.598%
k. On deposit with other regulatory bodies		\$ -	0.000%	0.000%
l. Pledged collateral to FHLB (including assets backing funding agreements)		\$ -	0.000%	0.000%
m. Pledged as collateral not captured in other categories		\$ -	0.000%	0.000%
n. Other restricted assets		\$ -	0.000%	0.000%
o. Total Restricted Assets	\$ -	\$ 3,023,889	4.549%	4.598%

- (c) Column 5 divided by Asset Page, Column 1, Line 28
- (d) Column 9 divided by Asset Page, Column 3, Line 28

- 2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate) - None
- 3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

NOTES TO FINANCIAL STATEMENTS

- None

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements - None

M. Working Capital Finance Investments - Does not apply.

N. Offsetting and Netting of Assets and Liabilities - Does not apply.

O. 5GI Securities - Does not apply.

P. Short Sales - Does not apply.

Q. Prepayment Penalty and Acceleration Fees - Does not apply.

R. Reporting Entity's Share of Cash Pool by Asset Type

	Asset Type	Percent Share
(1)	Cash	35.5%
(2)	Cash Equivalents	64.5%
(3)	Short-Term Investments	
(4)	Total	100.0%

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies - Does not apply.

NOTE 7 Investment Income

- A. The bases, by category of investment income, for excluding (non-admitting) any investment income due and accrued:  
The Company does not admit investment income due and accrued if amounts are over 90 days past due (180 days for mortgage loans).
- B. The total amount excluded - Does not apply.

NOTE 8 Derivative Instruments - Does not apply.

NOTE 9 Income Taxes

- A. The components of the net deferred tax asset/(liability) at the end of current period are as follows:  
1. Components of Net Deferred Tax Asset/(Liability)

	As of End of Current Period			12/31/2020			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	(Col. 1 + 2) Total	Ordinary	Capital	(Col. 4 + 5) Total	(Col. 1 - 4) Ordinary	(Col. 2 - 5) Capital	(Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	\$ 535,710		\$ 535,710	\$ 522,000		\$ 522,000	\$ 13,710	\$ -	\$ 13,710
(b) Statutory Valuation Allowance Adjustment			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	\$ 535,710	\$ -	\$ 535,710	\$ 522,000	\$ -	\$ 522,000	\$ 13,710	\$ -	\$ 13,710
(d) Deferred Tax Assets Nonadmitted	\$ 535,710		\$ 535,710	\$ 522,000		\$ 522,000	\$ 13,710	\$ -	\$ 13,710
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(f) Deferred Tax Liabilities			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

2. Admission Calculation Components SSAP No. 101

	As of End of Current Period			12/31/2020			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	(Col. 1 + 2) Total	Ordinary	Capital	(Col. 4 + 5) Total	(Col. 1 - 4) Ordinary	(Col. 2 - 5) Capital	(Col. 7 + 8) Total
Admission Calculation Components SSAP No. 101									
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) above) After Application of the Threshold Limitation. (The Lesser of 2(b)1 and 2(b)2 Below)			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date.			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold.	XXX	XXX	3,625,728	XXX	XXX	\$ 3,583,005	XXX	XXX	\$ 42,723
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities.			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

3 Other Admissibility Criteria

	2021	2020
a. Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	2639.630%	2608.520%
b. Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation In 2(b)2 Above.	\$ 24,171,522	\$ 23,886,700

4. Impact of Tax Planning Strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.

	As of End of Current Period	12/31/2020	Change
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NOTES TO FINANCIAL STATEMENTS

	(1) Ordinary	(2) Capital	(3) Ordinary	(4) Capital	(5) (Col. 1 - 3) Ordinary	(6) (Col. 2 - 4) Capital
Impact of Tax Planning Strategies:						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.						
1. Adjusted Gross DTAs amount from Note 9A1(c)	\$ 535,710	\$ -	\$ 522,000	\$ -	\$ 13,710	\$ -
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies			0.000%	0.000%	0.000%	0.000%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies			0.000%	0.000%	0.000%	0.000%

b. Do the Company's tax-planning strategies include the use of reinsurance? Yes ☐ No ☒

	2021			2020			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
Adjusted gross DTAs (% of total adjusted gross DTAs)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Net admitted adjusted DTAs (% of total net ' admitted adjusted gross DTAs)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

B. There were no unrecognized DTLs at September 30, 2021 and 2020.

C. Current income taxes incurred consist of the following major components:

	(1) As of End of Current Period	(2) 12/31/2020	(3) (Col. 1 - 2) Change
1. Current Income Tax			
(a) Federal	\$ 131,562	\$ 207,800	\$ (76,238)
(b) Foreign	\$ -	\$ -	\$ -
(c) Subtotal	\$ 131,562	\$ 207,800	\$ (76,238)
(d) Federal income tax on net capital gains		\$ -	\$ -
(e) Utilization of capital loss carry-forwards		\$ -	\$ -
(f) Other		\$ -	\$ -
(g) Federal and foreign income taxes incurred	\$ 131,562	\$ 207,800	\$ (76,238)
2. Deferred Tax Assets:			
(a) Ordinary:			
(1) Discounting of unpaid losses		\$ -	\$ -
(2) Unearned premium reserve		\$ -	\$ -
(3) Policyholder reserves		\$ -	\$ -
(4) Investments		\$ -	\$ -
(5) Deferred acquisition costs		\$ -	\$ -
(6) Policyholder dividends accrual		\$ -	\$ -
(7) Fixed Assets		\$ -	\$ -
(8) Compensation and benefits accrual		\$ -	\$ -
(9) Pension accrual		\$ -	\$ -
(10) Receivables - nonadmitted		\$ -	\$ -
(11) Net operating loss carry-forward		\$ -	\$ -
(12) Tax credit carry-forward		\$ -	\$ -
(13) Other (including items <5% of total ordinary tax assets)	\$ 535,710	\$ 522,000	\$ 13,710
(99) Subtotal	\$ 535,710	\$ 522,000	\$ 13,710
(b) Statutory valuation allowance adjustment		\$ -	\$ -
(c) Nonadmitted	\$ 535,710	\$ 522,000	\$ 13,710
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	\$ -	\$ -	\$ -
(e) Capital:			
(1) Investments		\$ -	\$ -
(2) Net capital loss carry-forward		\$ -	\$ -
(3) Real estate		\$ -	\$ -
(4) Other (including items <5% of total ordinary tax assets)		\$ -	\$ -
(99) Subtotal	\$ -	\$ -	\$ -
(f) Statutory valuation allowance adjustment		\$ -	\$ -
(g) Nonadmitted		\$ -	\$ -
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	\$ -	\$ -	\$ -
(i) Admitted deferred tax assets (2d + 2h)	\$ -	\$ -	\$ -
3. Deferred Tax Liabilities:			
(a) Ordinary:			
(1) Investments		\$ -	\$ -
(2) Fixed Assets		\$ -	\$ -
(3) Deferred and uncollected premium		\$ -	\$ -
(4) Policyholder reserves		\$ -	\$ -
(5) Other (including items <5% of total ordinary tax liabilities)		\$ -	\$ -
(99) Subtotal	\$ -	\$ -	\$ -
(b) Capital:			
(1) Investments		\$ -	\$ -
(2) Real estate		\$ -	\$ -
(3) Other (including items <5% of total capital tax liabilities)		\$ -	\$ -
(99) Subtotal	\$ -	\$ -	\$ -
(c) Deferred tax liabilities (3a99 + 3b99)	\$ -	\$ -	\$ -

NOTES TO FINANCIAL STATEMENTS

4. Net deferred tax assets/liabilities (2i - 3c)	\$	-	\$	-	\$	-
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D. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate to income before income taxes. The significant items causing this difference at September 30, 2021 and 2020, were as follows:

Description	At September 30, 2021		
	Pre-Tax Amount	Tax Effect	Effective Tax Rate
Income before taxes	\$ 482,434	\$ 101,311	21.0%
Other amounts	144,052	30,251	21.0%
Total	\$ 446,143	\$ 131,562	21.0%
Federal income taxes incurred expense		\$ 131,562	21.0%
Tax on capital gains		-	-
Change in net deferred income tax benefit		-	0.0%
Total statutory income taxes incurred		\$ 131,562	21.0%

Description	At December 31, 2020		
	Pre-Tax Amount	Tax Effect	Effective Tax Rate
Income before taxes	\$ 947,879	\$ 199,055	21.0%
Other amounts	(5,974)	(1,255)	21.0%
Total	\$ 941,905	\$ 197,800	21.0%
Federal income taxes incurred expense		\$ 207,800	22.1%
Tax on capital gains		-	-
Change in net deferred income tax benefit		(10,000)	-1.1%
Total statutory income taxes incurred		\$ 197,800	21.0%

E. The Company had no net operating loss carryforwards and no capital loss carryforwards at September 30, 2021 and December 31, 2020. Federal income taxes incurred and available for recoupment in the event of future operating losses were \$131,562 for 2021 and \$207,800 for 2020 as shown below. At September 30, 2021, the Company had no deposits admitted under Internal Revenue Code Section 6603.

Year	Ordinary	Capital	Total
2021	\$ 131,562	\$ -	\$ 131,562
2020	\$ 207,800	\$ -	\$ 207,800
2019	N/A	-	N/A
Total	\$ 339,362	\$ -	\$ 339,362

- F. Consolidated Federal Income Tax Return  
The Company files a stand-alone federal income tax return separate from that of its parent, American Mutual Share Insurance Corporation.
- G. Federal or Foreign Federal Income Tax Loss Contingencies  
At September 30, 2021, the Company had no tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.
- H. Repatriation Transition Tax (RTT) - None
- I. Alternative Minimum Tax (AMT) Credit - None

**NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**  
Monthly cost sharing services fee increased from \$138,250 in 2020 to \$147,042 in 2021. No other significant changes.

**NOTE 11 Debt - Does not apply.**

**NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans - Does not apply.**

**NOTE 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**  
No significant changes.

**NOTE 14 Liabilities, Contingencies and Assessments**  
The Company has no liabilities, contingent commitments, guarantees or similar obligations, and is not aware of any assessments or gain contingencies.

**NOTE 15 Leases**  
The Company has no material lease obligations at this time.

**NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk - Does not apply.**

**NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities - Does not apply.**

**NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans - Does not apply.**

**NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators - Does not apply.**

**NOTE 20 Fair Value Measurements**

- A. (1) Fair Value Measurements at Reporting Date  
With regard to the Company's financial assets that are disclosed at fair value, which is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date, the Company uses various valuation approaches, including quoted market prices and discounted cash flows. The Accounting Standards Codification ("ASC") and SSAP No. 100 "Fair Value Measurement" establishes a hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs and minimizes the use of unobservable inputs by requiring that the most observable inputs be used when available. Observable inputs are obtained from independent sources and can be validated by a third party, whereas, unobservable inputs reflect assumptions regarding what a third party would use in pricing an asset or liability. The fair value hierarchy is broken down into three levels based on the reliability of inputs as follows:

NOTES TO FINANCIAL STATEMENTS

Level 1 - Valuations based on quoted prices in active markets for identical instruments that the Company is able to access. Since valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these products does not entail a significant degree of judgment.

Level 2 - Valuations based on quoted prices in active markets for instruments that are similar, or quoted prices in markets that are not active for identical or similar instruments, and model-derived valuations in which all significant inputs and significant value drivers are observable in active markets.

Level 3 - Valuations based on inputs that are unobservable and significant to the overall fair value measurement.

Reclassification of certain financial instruments may occur when observability of inputs change. There were no transfers between assets carried at fair value classified within Level 1 and Level 2 of the fair value hierarchy during the periods ended September 30, 2021 and December 31, 2020.

There were no purchases, sales, transfers into, or transfers out of assets carried at fair value and classified within Level 3 of the fair value hierarchy during the periods ended September 30, 2021 and December 31, 2020.

The Company's only material financial asset or liability is its equity portfolio consisting of publicly-traded common stock.

There were no assets or liabilities measured and reported at fair value on a non-recurring basis in 2021 or 2020.

Fair Value of Financial Instruments:

The following methods and assumptions were used to estimate the fair value of financial instruments.

Level 1 - Financial assets include cash and cash equivalents. Unadjusted quoted prices for these securities are provided by an independent pricing service.

Level 2 - Financial assets include US Treasury and US Government agency bonds. Prices are provided using third-party pricing services, such as model-based pricing methods that utilize observable market data as inputs. Broker-dealer bids or quotes of securities with similar characteristics may also be used.

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds		\$ 46,784,508			\$ 46,784,508
Common Stock	\$ 6,975,744	\$ -			\$ 6,975,744
Cash and cash equivalents	\$ 10,430,677	\$ 600,000			\$ 11,030,677
Total assets at fair value/NAV	\$ 17,406,421	\$ 47,384,508	\$ -	\$ -	\$ 64,790,929

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

- B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Does not apply.
- C. Fair Value Level - Does not apply.
- D. Not Practicable to Estimate Fair Value - Does not apply.
- E. NAV Practical Expedient Investments - Does not apply.

NOTE 21 Other Items - Does not apply.

NOTE 22 Events Subsequent

The Company evaluated all events or transactions that occurred September 30, 2021 and through November 15, 2021, the date the financial statements were available to be issued by the Company. During this period, the Company did not have any material recognizable or non-recognizable subsequent events.

Type II - Nonrecognized Subsequent Events:

	Current Year	Prior Year
A. Did the reporting entity write accident and health insurance premium that is subject to Section 9010 of the Federal Affordable Care Act (YES/NO)?	No	
B. ACA fee assessment payable for the upcoming year		
C. ACA fee assessment paid		
D. Premium written subject to ACA 9010 assessment		
E. Total Adjusted Capital before surplus adjustment (Five-Year Historical Line 28)		
F. Total Adjusted Capital after surplus adjustment (Five-Year Historical Line 28 minus 22B above)	\$ -	
G. Authorized Control Level (Five-Year Historical Line 29)		
H. Would reporting the ACA assessment as of Dec. 31, 2020 have triggered an RBC action level (YES/NO)?	No	

NOTE 23 Reinsurance - Does not apply.

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination - Does not apply.

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

The Company writes only one line of business, "Other" (excess share insurance) and sets loss reserves on a prudent basis for potential claims events. Excess insurance claims events are infrequent (rare) but potentially severe and as a result, upon consultation with the Company's independent actuary, the Company provides annual loss reserve additions so that cumulative loss reserves are within an actuarially accepted range. Further, since the Company has no specific loss events identified, for which a loss reserve would normally be established, all of the Company's loss reserves are unallocated IBNR loss reserves. As a result, for purposes of Schedule P, in any given year the cumulative loss reserves held are considered to have occurred as follows: (1) 60% in current year; (2) 30% in the previous year; and (3) 10% in the second previous year and have been allocated as such in Schedule P, which is comparable to methods used by other insurance companies with infrequent claims events. Since claims events are rare (infrequent but potentially severe), the typical year shows favorable development. A summary of the favorable loss development for the Company's single line of business ("Other") follows (dollars in thousands):

NOTES TO FINANCIAL STATEMENTS

Year of Development	Col. 1 Prior Year (2020) Loss Reserves Allocated	Col. 2 Current Year (2021) Loss Reserves Allocated	Col. 3 Current Calendar Year (2021) Losses and LAE Incurred (Col 2 - Col 1)	Col. 4 Schedule P Part 2 Unfavorable (Favorable) Development (Col 2 - Col 1)	Col. 5 Gross Losses Incurred for Unallocated IBNR Loss Reserves (Col 3 - Col 4)
2018	\$ 248	N/A		\$ (248)	
2019	743	\$ 255		(488)	
2020	1,485	765		(721)	
2021	N/A	1,531		N/A	
Total Loss	\$ 2,476	\$ 2,551	\$ 75	\$ (1,456)	\$ 1,531

NOTE 26 Intercompany Pooling Arrangements - Does not apply.

NOTE 27 Structured Settlements - Does not apply.

NOTE 28 Health Care Receivables - Does not apply.

NOTE 29 Participating Policies - Does not apply.

NOTE 30 Premium Deficiency Reserves

The Company provides deposit insurance to participating credit unions generally for up to \$250,000 in excess of the insurance limit imposed by the credit union's primary insurer. Credit unions insured under the Company's excess insurance contract are required to maintain a premium deposit with the company equal to 1% of the aggregate limits of liability. The premium deposits are recorded as a liability by the Company and are non-interest bearing. The investment earnings therefrom in addition to a monthly risk-based premium charge are used to fund the Company's deposit insurance program. The premium deposits are at-risk to the insured credit unions and ultimately can act as a reserve that is available to pay claims if needed. The aggregate of premium deposits that are available to pay claims are \$38,250,000 at September 30, 2021. Therefore the Company has determined there is not a need for a premium deficiency reserve and none has been recorded at September 30, 2021. This evaluation was completed on November 12, 2021. The Company considers investment income when evaluating the need for premium deficiency reserves.

1. Liability carried for premium deficiency reserves

2. Date of the most recent evaluation of this liability

3. Was anticipated investment income utilized in the calculation?
- 11/12/2021

Yes [X] No [ ]

NOTE 31 High Deductibles - Does not apply.

NOTE 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses - Does not apply.

NOTE 33 Asbestos/Environmental Reserves - Does not apply.

NOTE 34 Subscriber Savings Accounts - Does not apply.

NOTE 35 Multiple Peril Crop Insurance - Does not apply.

NOTE 36 Financial Guaranty Insurance - Does not apply.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? .....

Yes [ ☐ ] No [ ☒ ]
- 1.2

If yes, has the report been filed with the domiciliary state? .....

Yes [ ☐ ] No [ ☐ ]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....

Yes [ ☐ ] No [ ☒ ]
- 2.2

If yes, date of change: .....
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ ☒ ] No [ ☐ ]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end? .....

Yes [ ☐ ] No [ ☒ ]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group? .....

Yes [ ☐ ] No [ ☒ ]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....

If yes, complete and file the merger history data file with the NAIC.

Yes [ ☐ ] No [ ☒ ]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- |                |                   |                   |
|----------------|-------------------|-------------------|
| 1              | 2                 | 3                 |
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....

If yes, attach an explanation.

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made. ....

12/31/2017
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

12/31/2017
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

05/16/2019
- 6.4

By what department or departments?  
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with? .....

Yes [ ☐ ] No [ ☐ ] N/A [ ☒ ]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....

Yes [ ☐ ] No [ ☒ ]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? .....

Yes [ ☐ ] No [ ☒ ]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms? .....

Yes [ ☐ ] No [ ☒ ]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [   ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [   ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [   ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [   ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [   ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....0
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [   ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....   |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....   |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....   |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....   |
| 14.26 All Other .....   | \$ .....0   | \$ .....   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....  | \$ .....   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [   ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [   ] No [   ] N/A [ X ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0
- 16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ ] No [ X ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
CITIZENS INVESTMENT MGT. SVC .....	P.O. BOX 9587, PROVIDENCE, RHODE ISLAND 02940 .....
US BANK INSTITUTIONAL TRUST .....	425 EAST WALNUT ST., CINCINNATI, OHIO 45202 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves" ) discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent .....0.000 %

5.2 A&H cost containment percent .....0.000 %

5.3 A&H expense percent excluding cost containment expenses .....0.000 %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, etc.		1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	L	172,059	193,401				
2. Alaska	AK	N						
3. Arizona	AZ	N						
4. Arkansas	AR	L	24,937	37,519				
5. California	CA	N		0				
6. Colorado	CO	L	13,796	12,841				
7. Connecticut	CT	N						
8. Delaware	DE	L	22,936	24,794				
9. District of Columbia	DC	L	68,980	57,007				
10. Florida	FL	L	99,900	82,994				
11. Georgia	GA	L	32,921	21,319				
12. Hawaii	HI	L	9,950	8,777				
13. Idaho	ID	L	22,024	26,066				
14. Illinois	IL	L	147,677	131,368				
15. Indiana	IN	L	144,234	114,133				
16. Iowa	IA	L	34,285	42,847				
17. Kansas	KS	L	7,942	4,961				
18. Kentucky	KY	L	56,958	58,722				
19. Louisiana	LA	N						
20. Maine	ME	L	68,151	70,053				
21. Maryland	MD	L	67,176	70,496				
22. Massachusetts	MA	N						
23. Michigan	MI	L	114,279	106,719				
24. Minnesota	MN	L	114,128	97,119				
25. Mississippi	MS	N						
26. Missouri	MO	L	52,933	49,258				
27. Montana	MT	N						
28. Nebraska	NE	N						
29. Nevada	NV	L	85,578	62,177				
30. New Hampshire	NH	L	71,825	61,019				
31. New Jersey	NJ	L	57,994	39,886				
32. New Mexico	NM	N						
33. New York	NY	N						
34. North Carolina	NC	L	32,523	40,413				
35. North Dakota	ND	L		3,850				
36. Ohio	OH	L	264,669	249,430				
37. Oklahoma	OK	L	32,032	29,997				
38. Oregon	OR	L	11,424	8,621				
39. Pennsylvania	PA	L	150,323	163,076				
40. Rhode Island	RI	N						
41. South Carolina	SC	L	43,297	35,757				
42. South Dakota	SD	N						
43. Tennessee	TN	L	17,900	12,791				
44. Texas	TX	L	168,155	137,239				
45. Utah	UT	L						
46. Vermont	VT	N						
47. Virginia	VA	N						
48. Washington	WA	L	106,530	91,309				
49. West Virginia	WV	N						
50. Wisconsin	WI	N						
51. Wyoming	WY	N						
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien OT	XXX		0	0	0	0	2,551,000	2,461,000
59. Totals	XXX		2,317,516	2,145,959	0	0	2,551,000	2,461,000
DETAILS OF WRITE-INS								
58001. Unassigned	XXX			0			2,551,000	2,461,000
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	2,551,000	2,461,000

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....33

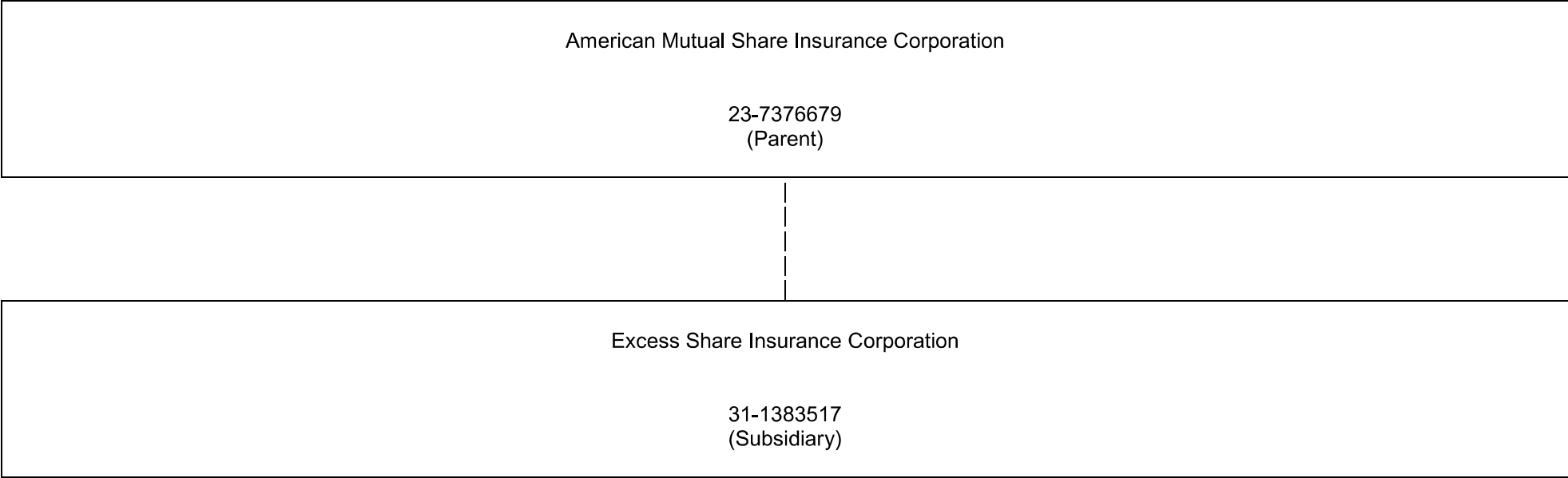
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....0

D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....0

R - Registered - Non-domiciled RRGs.....0

Q - Qualified - Qualified or accredited reinsurer.....0

N - None of the above - Not allowed to write business in the state.....24



STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

## SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

[illegible]

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire .....			0.0	0.0
2.	Allied Lines .....			0.0	0.0
3.	Farmowners multiple peril .....			0.0	0.0
4.	Homeowners multiple peril .....			0.0	0.0
5.	Commercial multiple peril .....			0.0	0.0
6.	Mortgage guaranty .....			0.0	0.0
8.	Ocean marine .....			0.0	0.0
9.	Inland marine .....			0.0	0.0
10.	Financial guaranty .....			0.0	0.0
11.1	Medical professional liability - occurrence .....			0.0	0.0
11.2	Medical professional liability - claims-made .....			0.0	0.0
12.	Earthquake .....			0.0	0.0
13.	Group accident and health .....			0.0	0.0
14.	Credit accident and health .....			0.0	0.0
15.	Other accident and health .....			0.0	0.0
16.	Workers' compensation .....			0.0	0.0
17.1	Other liability - occurrence .....			0.0	0.0
17.2	Other liability - claims-made .....			0.0	0.0
17.3	Excess workers' compensation .....			0.0	0.0
18.1	Products liability - occurrence .....			0.0	0.0
18.2	Products liability - claims-made .....			0.0	0.0
19.1,19.2	Private passenger auto liability .....			0.0	0.0
19.3,19.4	Commercial auto liability .....			0.0	0.0
21.	Auto physical damage .....			0.0	0.0
22.	Aircraft (all perils) .....			0.0	0.0
23.	Fidelity .....			0.0	0.0
24.	Surety .....			0.0	0.0
26.	Burglary and theft .....			0.0	0.0
27.	Boiler and machinery .....			0.0	0.0
28.	Credit .....			0.0	0.0
29.	International .....			0.0	0.0
30.	Warranty .....			0.0	0.0
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	2,317,516	75,000	3.2	2.1
35.	Totals .....	2,317,516	75,000	3.2	2.1
DETAILS OF WRITE-INS					
3401.	Guaranty of Share Deposits in Credit Unions .....	2,317,516	75,000	3.2	2.1
3402.	.....				
3403.	.....				
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	2,317,516	75,000	3.2	2.1

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire .....	0		
2.	Allied Lines .....	0		
3.	Farmowners multiple peril .....	0		
4.	Homeowners multiple peril .....	0		
5.	Commercial multiple peril .....	0		
6.	Mortgage guaranty .....	0		
8.	Ocean marine .....	0		
9.	Inland marine .....	0		
10.	Financial guaranty .....	0		
11.1	Medical professional liability - occurrence .....	0		
11.2	Medical professional liability - claims-made .....	0		
12.	Earthquake .....	0		
13.	Group accident and health .....	0		
14.	Credit accident and health .....	0		
15.	Other accident and health .....	0		
16.	Workers' compensation .....	0		
17.1	Other liability - occurrence .....	0		
17.2	Other liability - claims-made .....	0		
17.3	Excess workers' compensation .....	0		
18.1	Products liability - occurrence .....	0		
18.2	Products liability - claims-made .....	0		
19.1,19.2	Private passenger auto liability .....	0		
19.3,19.4	Commercial auto liability .....	0		
21.	Auto physical damage .....	0		
22.	Aircraft (all perils) .....	0		
23.	Fidelity .....	0		
24.	Surety .....	0		
26.	Burglary and theft .....	0		
27.	Boiler and machinery .....	0		
28.	Credit .....	0		
29.	International .....	0		
30.	Warranty .....	0		
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	782,916	2,317,516	2,145,959
35.	Totals .....	782,916	2,317,516	2,145,959
DETAILS OF WRITE-INS				
3401.	Guaranty of Share Deposits in Credit Unions .....	782,916	2,317,516	2,145,959
3402.	.....			
3403.	.....			
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	782,916	2,317,516	2,145,959

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13	
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2021 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)	
1. 2018 + Prior .....		248	248			0				0	0	(248)	(248)	
2. 2019 .....		743	743			0			255	255	0	(488)	(488)	
3. Subtotals 2019 + Prior .....	0	991	991	0	0	0	0	0	255	255	0	(736)	(736)	
4. 2020 .....		1,485	1,485			0			765	765	0	(720)	(720)	
5. Subtotals 2020 + Prior .....	0	2,476	2,476	0	0	0	0	0	1,020	1,020	0	(1,456)	(1,456)	
6. 2021 .....	XXX	XXX	XXX	XXX		0	XXX		1,531	1,531	XXX	XXX	XXX	
7. Totals .....	0	2,476	2,476	0	0	0	0	0	2,551	2,551	0	(1,456)	(1,456)	
8. Prior Year-End Surplus As Regards Policyholders	23,887											Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
		1. 0.0	2. (58.8)	3. (58.8)										
					Col. 13, Line 7 As a % of Col. 1 Line 8									
				4. (6.1)										

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

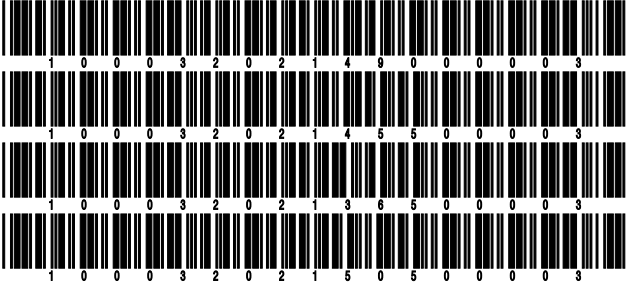
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

- 1. Trusteed Surplus Statement [Document Identifier 490]
- 2. Supplement A to Schedule T [Document Identifier 455]
- 3. Medicare Part D Coverage Supplement [Document Identifier 365]
- 4. Director and Officer Supplement [Document Identifier 505]



**NONE**



SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest paid and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	43,496,246	50,774,539
2. Cost of bonds and stocks acquired .....	27,299,288	56,009,790
3. Accrual of discount .....	10,600	12,663
4. Unrealized valuation increase (decrease) .....	64,786	0
5. Total gain (loss) on disposals .....	(11,644)	0
6. Deduct consideration for bonds and stocks disposed of .....	17,043,354	63,295,000
7. Deduct amortization of premium .....	3,104	5,746
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	53,812,818	43,496,246
12. Deduct total nonadmitted amounts .....		0
13. Statement value at end of current period (Line 11 minus Line 12) .....	53,812,818	43,496,246

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	48,836,139		2,000,000	934	48,997,412	48,836,139	46,837,073	43,496,246
2. NAIC 2 (a) .....	0				0	0	0	0
3. NAIC 3 (a) .....	0				0	0	0	0
4. NAIC 4 (a) .....	0				0	0	0	0
5. NAIC 5 (a) .....	0				0	0	0	0
6. NAIC 6 (a) .....	0				0	0	0	0
7. Total Bonds	48,836,139	0	2,000,000	934	48,997,412	48,836,139	46,837,073	43,496,246
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0	0	0
9. NAIC 2 .....	0				0	0	0	0
10. NAIC 3 .....	0				0	0	0	0
11. NAIC 4 .....	0				0	0	0	0
12. NAIC 5 .....	0				0	0	0	0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	48,836,139	0	2,000,000	934	48,997,412	48,836,139	46,837,073	43,496,246

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$.....

Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	14,074,389	5,444,849
2. Cost of cash equivalents acquired .....	26,514,129	61,815,423
3. Accrual of discount .....		0
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....		0
6. Deduct consideration received on disposals .....	33,473,174	53,185,883
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	7,115,344	14,074,389
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	7,115,344	14,074,389

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
8399997. Total - Bonds - Part 3						0	0	0	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						0	0	0	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
037833-10-0	3M CO		.08/25/2021	VARIOUS	179.000	35.515			
00287Y-10-9	ABBOTT LABORATORIES		.09/01/2021	VARIOUS	588.000	71.314			
002824-10-0	ABBVIE INC		.09/01/2021	COHEN AND COMPANY	179.000	20.415			
012653-10-1	ALBEMARLE CORP		.09/01/2021	COHEN AND COMPANY	260.000	49.242			
031162-10-0	ALPHABET INC CL A		.09/01/2021	VARIOUS	35.000	92.276			
03027X-10-0	AMAZON COM INC		.08/25/2021	VARIOUS	76.000	263.483			
023135-10-6	AMERICAN TOWER CORP		.09/01/2021	VARIOUS	428.000	120.175			
032095-10-1	AMGEN INC		.08/25/2021	VARIOUS	248.000	58.934			
060505-10-4	AMPHENOL CORP CL A		.09/01/2021	VARIOUS	431.000	30.685			
086516-10-1	APPLE INC		.09/01/2021	VARIOUS	1,532.000	223.011			
09247X-10-1	BALL CORP		.09/01/2021	VARIOUS	545.000	47.279			
058498-10-6	BANK OF AMERICA CORP		.09/01/2021	VARIOUS	1,898.000	75.502			
149123-10-1	BEST BUY CO INC		.08/18/2021	VARIOUS	649.000	74.303			
20030N-10-1	BLACKROCK INC		.09/01/2021	VARIOUS	77.000	68.718			
12572Q-10-5	CATERPILLAR INC		.09/01/2021	VARIOUS	343.000	71.278			
22160K-10-5	CME GROUP INC		.08/25/2021	VARIOUS	367.000	76.444			
253868-10-3	COMCAST CORP CLASS A		.08/25/2021	VARIOUS	677.000	39.490			
518439-10-4	COSTCO WHSL CORP		.09/01/2021	VARIOUS	167.000	70.982			
375558-10-3	DIGITAL REALTY TRUST INC		.09/01/2021	VARIOUS	455.000	71.395			
02079K-30-5	GILEAD SCIENCES INC		.09/01/2021	VARIOUS	1,271.000	88.130			
437076-10-2	HOME DEPOT INC		.08/25/2021	VARIOUS	262.000	84.883			
45168D-10-4	IDEX CORP		.09/01/2021	VARIOUS	131.000	29.302			
45167R-10-4	IDEXX LABS INC		.08/25/2021	VARIOUS	75.000	50.024			
461202-10-3	INTUIT INC		.09/01/2021	VARIOUS	96.000	50.751			
426281-10-1	JACK HENRY ASSOCIATES INC		.08/18/2021	VARIOUS	42.000	7.147			
524660-10-7	LAUDER ESTEE COS INC CL A		.09/01/2021	VARIOUS	112.000	36.804			
88579Y-10-1	LEGGETT PLATT INC		.08/25/2021	VARIOUS	744.000	36.845			
56585A-10-2	MARATHON PETROLEUM CORP		.09/01/2021	VARIOUS	1,735.000	98.954			
594918-10-4	MATCH GROUP INC NEW		.08/18/2021	VARIOUS	564.000	84.099			
620076-30-7	MICROSOFT CORP		.09/01/2021	VARIOUS	671.000	192.835			
57667L-10-7	MOTOROLA SOLUTIONS INC		.09/01/2021	VARIOUS	305.000	69.295			
65339F-10-1	NEXTERA ENERGY INC		.09/01/2021	VARIOUS	968.000	76.429			
666807-10-2	NORTHROP GRUMMAN CORPORATION		.09/01/2021	VARIOUS	102.000	37.257			
717081-10-3	P P G INDS INC		.07/28/2021	COHEN AND COMPANY	75.000	12.284			
743315-10-3	PFIZER INC		.09/01/2021	VARIOUS	1,999.000	84.327			
693506-10-7	PROGRESSIVE CORP		.08/18/2021	VARIOUS	526.000	50.823			
776696-10-6	ROPER TECHNOLOGIES INC COM		.08/25/2021	VARIOUS	69.000	33.306			
855244-10-9	SCHWAB CHARLES CORP		.09/01/2021	VARIOUS	1,004.000	70.429			
808513-10-5	STARBUCKS CORP		.09/01/2021	VARIOUS	261.000	30.393			
893641-10-0	TARGET CORP		.08/18/2021	VARIOUS	116.000	30.029			
87918A-10-5	TELADOC INC		.08/18/2021	VARIOUS	383.000	57.613			
87612E-10-6	TEXAS INSTRUMENTS INC		.08/25/2021	VARIOUS	471.000	89.471			
882508-10-4	TRANSIGM GROUP INC		.08/18/2021	VARIOUS	93.000	59.034			
91324P-10-2	UNION PACIFIC CORP		.08/25/2021	VARIOUS	245.000	53.974			
907818-10-8	UNITEDHEALTH GROUP INC		.09/01/2021	VARIOUS	82.000	33.970			
92826C-83-9	VERIZON COMMUNICATIONS INC		.08/25/2021	VARIOUS	1,274.000	71.298			
92343V-10-4	VISA INC CLASS A SHARES		.08/25/2021	VARIOUS	314.000	74.649			
958102-10-5	WALMART INC		.09/01/2021	VARIOUS	642.000	92.435			
931142-10-3	WESTERN DIGITAL CORP		.08/25/2021	VARIOUS	1,321.000	86.082			

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
98419M-10-0	XYLEM INC		.09/01/2021	VARIOUS	304,000	38,739			
9199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						3,472,052	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						3,472,052	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						3,472,052	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						3,472,052	XXX	0	XXX
9999999 - Totals						3,472,052	XXX	0	XXX

## STATEMENT AS OF SEPTEMBER 30, 2021 OF THE Excess Share Insurance Corporation

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
3130AM-XB-3	FHLB BOND DTD 06-30-2021		09/30/2021	CALLED @ 100		2,000,000	2,000,000	2,000,000	2,000,000				0		2,000,000			0	6,875	12/30/2027	1 A FE
3199999. Subtotal - Bonds - U.S. Special Revenues						2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	6,875	XXX	XXX
8399997. Total - Bonds - Part 4						2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	6,875	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	6,875	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
88579Y-10-1	3M CO		09/01/2021		8,000	1,548		1,614					0		1,580		(66)	(66)	506		
012653-10-1	ALBEMARLE CORP		07/30/2021		62,000	12,595		9,304					0		10,297		3,291	3,291	199		
023135-10-6	AMAZON COM INC		09/01/2021		9,000	31,695		33,405					0		30,319		(1,711)	(1,711)	22		
031162-10-0	AMGEN INC		09/01/2021		46,000	11,707		11,707					0		11,095		(1,414)	(1,414)	762		
058498-10-6	BALL CORP		07/30/2021		217,000	17,668		19,777					0		18,359		(2,109)	(2,109)	149		
086516-10-1	BEST BUY CO INC		09/01/2021		11,000	1,267		1,269					0		1,259		(2)	(2)			
12572Q-10-5	CME GROUP INC		09/01/2021		24,000	4,799		5,171					0		5,008		(372)	(372)	620		
20030N-10-1	COMCAST CORP CLASS A		09/01/2021		33,000	2,007		1,974					0		1,858		33	33	446		
437076-10-2	HOME DEPOT INC		09/01/2021		1,000	321		335					0		320		(14)	(14)	926		
45168D-10-4	IDEXX LABS INC		09/01/2021		9,000	6,033		6,130					0		5,450		(97)	(97)			
52468D-10-7	LEGGETT PLATT INC		09/01/2021		89,000	4,298		5,033					0		4,435		(734)	(734)	265		
57667L-10-7	MATCH GROUP INC NEW		09/01/2021		165,000	22,936		26,871					0		25,410		(3,935)	(3,935)			
594918-10-4	MICROSOFT CORP		07/30/2021		44,000	12,557		10,632					0		11,345		1,925	1,925	868		
65339F-10-1	NEXTERA ENERGY INC		07/30/2021		769,000	60,233		59,605					0		57,688		628	628	596		
693506-10-7	P P G INDS INC		07/30/2021		182,000	29,832		30,625					0		30,625		(793)	(793)			
743315-10-3	PROGRESSIVE CORP		09/01/2021		2,000	211		211					0		196		(19)	(19)	65		
87612E-10-6	TARGET CORP		07/28/2021		83,000	21,306		18,874					0		17,556		2,433	2,433	280		
87918A-10-5	TELADOC INC		09/01/2021		72,000	10,627		11,811					0		11,136		(1,183)	(1,183)			
882508-10-4	TEXAS INSTRUMENTS INC		09/01/2021		21,000	3,954		4,062					0		3,951		(108)	(108)	774		
893641-10-0	TRANSIGM GROUP INC		09/01/2021		9,000	5,473		6,142					0		5,755		(669)	(669)			
907818-10-8	UNION PACIFIC CORP		09/01/2021		21,000	4,580		4,774					0		4,645		(194)	(194)	536		
92343V-10-4	VERIZON COMMUNICATIONS INC		09/01/2021		116,000	6,359		6,863					0		6,582		(505)	(505)	1,073		
92826C-83-9	VISA INC CLASS A SHARES		09/01/2021		40,000	9,196		9,814					0		9,290		(618)	(618)	177		
958102-10-5	WESTERN DIGITAL CORP		09/01/2021		151,000	9,258		11,473					0		10,246		(2,215)	(2,215)			
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						289,027	XXX	297,476	0	0	0	0	0	0	284,405	0	(8,448)	(8,448)	8,264	XXX	XXX
9799997. Total - Common Stocks - Part 4						289,027	XXX	297,476	0	0	0	0	0	0	284,405	0	(8,448)	(8,448)	8,264	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						289,027	XXX	297,476	0	0	0	0	0	0	284,405	0	(8,448)	(8,448)	8,264	XXX	XXX
9899999. Total - Preferred and Common Stocks						289,027	XXX	297,476	0	0	0	0	0	0	284,405	0	(8,448)	(8,448)	8,264	XXX	XXX
9999999 - Totals						2,289,027	XXX	2,297,476	2,000,000	0	0	0	0	0	2,284,405	0	(8,448)	(8,448)	15,139	XXX	XXX



Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
CORPORATE CHECKING ..... US BANK; COLUMBUS, OHIO .....		0.000	0	0	3,183,323	3,450,406	3,315,333	XXX
CD #1811230747465 Due 7/9/2022 ..... WELLS FARGO; FLORIDA .....	SD	0.010	30	4	500,000	500,000	500,000	XXX
CD #140506967 Due 6/11/2022 .. REGIONS BANK; ARKANSAS .....	SD	0.010	1	0	50,000	50,000	50,000	XXX
CD #140506968 Due 6/11/2022 .. REGIONS BANK; ARKANSAS .....	SD	0.010	1	0	50,000	50,000	50,000	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	32	4	3,783,323	4,050,406	3,915,333	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	32	4	3,783,323	4,050,406	3,915,333	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	32	4	3,783,323	4,050,406	3,915,333	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]