



August 11, 2021

Ohio Department of Insurance
Office of Risk Assessment
50 West Town Street, 3rd Floor
Columbus, OH 43215-4173

RE: Optum Insurance of Ohio, Inc.
NAIC Number 69647

Please accept the following documents on behalf of Optum Insurance of Ohio, Inc., under NAIC #69647:

Signed Jurat for the Q2 2021 Financial Statement
OH Electronic Filing Authenticity Affidavit for Q2 2021

If you have questions about any of the enclosed items, please contact me at 952-931-5456.

Sincerely,

Courtney Anderson
Senior Accountant

Enclosures



Department
of Insurance

Mike DeWine, Governor
Jon Husted, Lt Governor

Judith L. French, Director

Electronic Filing Authenticity Affidavit

Office of Risk Assessment, 50 W Town Street, 3rd Floor - Suite 300, Columbus OH 43215
614-644-2647 | 614-644-3256 (Fax) | insurance.ohio.gov

Ohio Domestic Insurers Only

Company Name: Optum Insurance of Ohio, Inc.

NAIC No. 69647

We, the undersigned executive officers of Optum Insurance of Ohio, Inc. (herein referred to as the "Company"), an insurance company organized under the laws of Ohio, hereby certify that the documents indicated below by an "X" were filed electronically with the National Association of Insurance Commissioners ("NAIC") and that the electronic filing or filings, including ".PDF" filings, are exact copies of the original documents, except for formatting differences due to electronic filing. The original documents are maintained in this Company's office and are available for inspection upon request by the Ohio Department of Insurance for at least five years following the date of filing. An executed, notarized NAIC Annual Statement or Quarterly Statement jurat page or an original, notarized signature page (if this filing relates to a supplemental filing without a jurat page) attesting to the accuracy and authenticity of the corresponding NAIC Annual Statement or Quarterly Statement or supplemental schedule is attached to this Affidavit.

Company Type: ☐ Fraternal ☐ Title ☐ Property & Casualty ☒ Life & Health ☐ Health ☐ Other _____

Applicable documents:

- ☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Annual Statement Electronic Filing[s]," which include "the annual statement data and all supplements due March 1, per the *Annual Statement instructions*. This includes all detail investment schedules and other supplements for which the Annual Statement instructions exempt printed detail."
Date of filing with the NAIC: _____. ☐ An original jurat page is attached.
☐ Original filing. ☐ Amended filing.
- ☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Risk-Based Capital Electronic Filing," which "includes all risk-based capital data" due March 1.
Date of filing with the NAIC: _____. ☐ An original, notarized signature page is attached.
☐ Original filing. ☐ Amended filing.
- ☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Supplemental Electronic Filing," which "includes all supplements due April 1, per the *Annual Statement Instructions*."
Date of filing with the NAIC: _____.
List of supplemental documents included in this Affidavit: _____
☐ All original notarized signature pages are attached, as applicable.
☐ Original filing. ☐ Amended filing.
- ☒ The documents referred to in the *General Instructions to the NAIC Checklist* as "Quarterly Statement Electronic Filing," which "includes the complete quarterly statement data" due May 15, August 15, and November 15.
Date of filing with the NAIC: 8/11/2021.
☒ Original filing. ☐ Amended filing.
- ☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "Combined Annual Statement Electronic Filing," which "includes the required pages of the combined annual statement and the combined Insurance Expense Exhibit" due May 1.
Date of filing with the NAIC: _____.
- ☐ The documents referred to in the *General Instructions to the NAIC Checklist* as "June. PDF Filing," which includes "the Audited Financial Statements" due June 1.
Date of filing with the NAIC: _____.
☐ Original filing. ☐ Amended filing.

Signature John Michael Prince 7/20/21 Date

(Name) John Michael Prince
(Title) President, Chief Executive Officer

Signature Karen Elizabeth Bohmer 7/11/21 Date

(Name) Karen Elizabeth Bohmer
(Title) Secretary

Signature Jeffrey David Grosklags 7/22/21 Date

(Name) Jeffrey David Grosklags
(Title) Chief Financial Officer, Chairman of the Board

*Signers must be principal executive officers of the Company (Chairman, President, CEO, CFO, Treasurer, Secretary)



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF JUNE 30, 2021

OF THE CONDITION AND AFFAIRS OF THE

Optum Insurance of Ohio, Inc.

NAIC Group Code 0707 (Current) 0707 (Prior) NAIC Company Code 69647 Employer's ID Number 31-0628424

Organized under the Laws of Ohio State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized 10/19/1948 Commenced Business 12/05/1978

Statutory Home Office 50 W. Broad Street, Suite 1800 (Street and Number) Columbus, OH, US 43215 (City or Town, State, Country and Zip Code)

Main Administrative Office 9800 Health Care Lane; MS: MN006-W500 (Street and Number) Minnetonka, MN, US 55343 (City or Town, State, Country and Zip Code) 952-979-7329 (Area Code) (Telephone Number)

Mail Address 9800 Health Care Lane; MS: MN006-W500 (Street and Number or P.O. Box) Minnetonka, MN, US 55343 (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 9800 Health Care Lane; MS: MN006-W500 (Street and Number) Minnetonka, MN, US 55343 (City or Town, State, Country and Zip Code) 952-979-7329 (Area Code) (Telephone Number)

Internet Website Address www.optumrx.com

Statutory Statement Contact Tanner Scott Pearson (Name) +1(952) 979-7329 (Area Code) (Telephone Number) oioh_naic69647@uoh.com (E-mail Address) 952-931-4651 (FAX Number)

OFFICERS

Chief Executive Officer and President John Michael Prince Chief Financial Officer and Chairman of the Board Jeffrey David Grosklags Secretary Karen Elizabeth Bohmer Treasurer Peter Marshall Gill

OTHER

Nyle Brent Cottingham, Vice President Daniel Christopher Davis, Vice President Kirsten Colleen Hines, Assistant Secretary Heather Anastasia Lang, Assistant Secretary David John Oberg, Assistant Secretary

DIRECTORS OR TRUSTEES

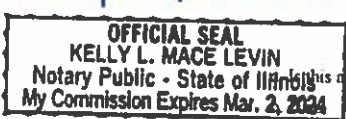
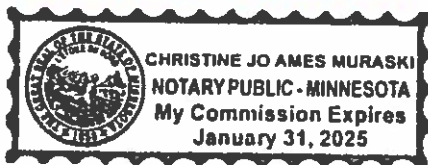
Daniel Christopher Davis Elizabeth Fulton Erickson Jeffrey David Grosklags Ellen Ruth Nelson John Michael Prince

State of Minnesota County of Carver State of Illinois County of Cook State of Minnesota County of Hennepin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ, or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

John Michael Prince President, Chief Executive Officer Karen Elizabeth Bohmer Secretary Jeffrey David Grosklags Chief Financial Officer, Chairman of the Board

Subscribed and sworn to before me this 22 day of July, 2021 Christine Muraski Subscribed and sworn to before me this 16 day of July, 2021 Kelly L. Mace Levin Subscribed and sworn to before me this 22 day of July, 2021 Felecia S. Lockett



Is this an original filing? Yes [X] No [] 1. State the amendment number 2. Date filed 3. Number of pages attached

