56340202120100102

QUARTERLY STATEMENT

As of June 30, 2021

of the Condition and Affairs of the

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

NAIC Group Code..... 0, 0 (Current Period) (Prior Period)   NAIC Company Code..... 56340   Employer's ID Number..... 34-0220550

Organized under the Laws of OH   State of Domicile or Port of Entry OH   Country of Domicile US

Licensed as Business Type: Fraternal Benefit Society

Incorporated/Organized..... January 9, 1892   Commenced Business..... October 1, 1890

Statutory Home Office	6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	
Main Administrative Office	6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-642-9406 *(Area Code) (Telephone Number)*
Mail Address	6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 *(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)*	
Primary Location of Books and Records	6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-642-9406 *(Area Code) (Telephone Number)*
Internet Web Site Address	WWW.FCSU.COM	
Statutory Statement Contact	KENNETH ANTHONY ARENDT *(Name)*	216-642-9406 *(Area Code) (Telephone Number) (Extension)*
	FCSU@FCSU.COM *(E-Mail Address)*	216-642-4310 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. ANDREW MATHEW RAJEC	PRESIDENT	2. KENNETH ANTHONY ARENDT	EXECUTIVE SECRETARY
3. JAMES ROBERT MARMOL #	TREASURER	4. ANDREW P RAJEC #	VICE PRESIDENT

OTHER

GARY J. MATTA	GENERAL COUNSEL	EDWARD COWMAN	ACTUARY

DIRECTORS OR TRUSTEES

ANDREW MATHEW RAJEC	ANDREW P. RAJEC #	KENNETH ANTHONY ARENDT	JAMES ROBERT MARMOL #
REV. THOMAS NASTA	SABINA SABADOS	THOMAS IVANEC	MARTHA ZAVADA-WOJCIK
MILOS MITRO	DAMIAN NASTA	KEVIN COLLINS	MICHAEL LAKO
TIMOTHY GRAVES			

State of........ OHIO
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
ANDREW MATHEW RAJEC	KENNETH ANTHONY ARENDT	JAMES ROBERT MARMOL
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
PRESIDENT	EXECUTIVE SECRETARY	TREASURER
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 6th day of AUGUST, 2021

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	412,215,770		412,215,770	398,930,917
2. Stocks:				
2.1 Preferred stocks	2,250,000		2,250,000	500,000
2.2 Common stocks	2,205,725		2,205,725	2,066,768
3. Mortgage loans on real estate:				
3.1 First liens	8,922,666		8,922,666	966,976
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)	502,578		502,578	512,395
4.2 Properties held for the production of income (less $..........0 encumbrances)	339,701		339,701	359,364
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....54,810,008), cash equivalents ($..........0) and short-term investments ($..........0)	54,810,008		54,810,008	39,547,813
6. Contract loans (including $..........0 premium notes)	1,170,219		1,170,219	1,169,065
7. Derivatives			0	
8. Other invested assets	9,923,639		9,923,639	8,964,590
9. Receivables for securities			0	
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	492,340,306	0	492,340,306	453,017,888
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	4,888,834		4,888,834	4,583,834
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	50,886		50,886	46,755
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)			0	
15.3 Accrued retrospective premiums ($..........0) and contracts subject to redetermination ($..........0)			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	
18.2 Net deferred tax asset			0	
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets ($..........0)			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	550	550	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	497,280,576	550	497,280,026	457,648,477
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	497,280,576	550	497,280,026	457,648,477

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Deposits 550	550	550	0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	550	550	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts $..........0 less $..........0 included in Line 6.3 (including $..........0 Modco Reserve)	415,388,625	365,767,214
2.	Aggregate reserve for accident and health contracts (including $.........0 Modco Reserve)		
3.	Liability for deposit-type contracts (including $..........0 Modco Reserve)	25,966,730	34,827,372
4.	Contract claims:		
	4.1 Life	300,000	300,000
	4.2 Accident and health		
5.	Policyholders' dividends/refunds to members $..........0 and coupons $..........0 due and unpaid	400,000	400,000
6.	Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
	6.1 Policyholder's dividends/refunds to members apportioned for payment (including $..........0 Modco)		
	6.2 Policyholder's dividends/refunds to members not yet apportioned (including $..........0 Modco)		
	6.3 Coupons and similar benefits (including $..........0 Modco)		
7.	Amount provisionally held for deferred dividend policies not included in Line 6		
8.	Premiums and annuity considerations for life and accident and health contracts received in advance less $..........0 discount; including $..........0 accident and health premiums	43,507	39,580
9.	Contract liabilities not included elsewhere:		
	9.1 Surrender values on canceled contracts		
	9.2 Provision for experience rating refunds, including the liability of $..........0 accident and health experience rating refunds of which $..........0 is for medical loss ratio rebate per the Public Health Service Act		
	9.3 Other amounts payable on reinsurance, including $..........0 assumed and $..........0 ceded		
	9.4 Interest Maintenance Reserve	839,053	921,860
10.	Commissions to agents due or accrued - life and annuity contracts $..........0, accident and health $..........0 and deposit-type contract funds $..........0	181,391	101,745
11.	Commissions and expense allowances payable on reinsurance assumed		
12.	General expenses due or accrued	31,834	33,278
13.	Transfers to Separate Accounts due or accrued (net) (including $..........0 accrued for expense allowances recognized in reserves, net of reinsured allowances)		
14.	Taxes, licenses and fees due or accrued, excluding federal income taxes	19,006	19,006
15.1	Current federal and foreign income taxes, including $..........0 on realized capital gains (losses)		
15.2	Net deferred tax liability		
16.	Unearned investment income		
17.	Amounts withheld or retained by reporting entity as agent or trustee	6,344,341	6,296,008
18.	Amounts held for agents' account, including $..........0 agents' credit balances		
19.	Remittances and items not allocated		
20.	Net adjustment in assets and liabilities due to foreign exchange rates	9,511	9,511
21.	Liability for benefits for employees and agents if not included above		
22.	Borrowed money $..........0 and interest thereon $..........0		
23.	Dividends to stockholders declared and unpaid		
24.	Miscellaneous liabilities:		
	24.01 Asset valuation reserve	3,364,751	3,100,321
	24.02 Reinsurance in unauthorized and certified ($..........0) companies		
	24.03 Funds held under reinsurance treaties with unauthorized and certified ($..........0) reinsurers		
	24.04 Payable to parent, subsidiaries and affiliates		
	24.05 Drafts outstanding		
	24.06 Liability for amounts held under uninsured plans		
	24.07 Funds held under coinsurance		
	24.08 Derivatives		
	24.09 Payable for securities		1,000,000
	24.10 Payable for securities lending		
	24.11 Capital notes $..........0 and interest thereon $..........0		
25.	Aggregate write-ins for liabilities	1,189,388	1,060,987
26.	Total liabilities excluding Separate Accounts business (Lines 1 to 25)	454,078,137	413,876,882
27.	From Separate Accounts statement		
28.	Total liabilities (Lines 26 and 27)	454,078,137	413,876,882
29.	Common capital stock		
30.	Preferred capital stock		
31.	Aggregate write-ins for other-than-special surplus funds	0	0
32.	Surplus notes		
33.	Gross paid in and contributed surplus		
34.	Aggregate write-ins for special surplus funds	0	0
35.	Unassigned funds (surplus)	43,201,889	43,771,595
36.	Less treasury stock, at cost:		
	36.1 .....0.000 shares common (value included in Line 29 $..........0)		
	36.2 .....0.000 shares preferred (value included in Line 30 $..........0)		
37.	Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including $..........0 in Separate Accounts Statement)	43,201,889	43,771,595
38.	Totals of Lines 29, 30 and 37	43,201,889	43,771,595
39.	Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	497,280,026	457,648,477

DETAILS OF WRITE-INS

2501.	Postretirement Reserve	428,568	428,568
2502.	Security Deposits	6,901	6,901
2503.	Convention Accrual	750,000	600,000
2598.	Summary of remaining write-ins for Line 25 from overflow page	3,919	25,518
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	1,189,388	1,060,987
3101.			
3102.			
3103.			
3198.	Summary of remaining write-ins for Line 31 from overflow page	0	0
3199.	Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above)	0	0
3401.			
3402.			
3403.			
3498.	Summary of remaining write-ins for Line 34 from overflow page	0	0
3499.	Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	54,006,658	14,689,335	46,423,786
2. Considerations for supplementary contracts with life contingencies			
3. Net investment income	8,412,938	8,415,790	20,225,043
4. Amortization of Interest Maintenance Reserve (IMR)	202,042	199,351	382,409
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	71,459	1,575	4,986
9. Totals (Lines 1 to 8.3)	62,693,097	23,306,051	67,036,224
10. Death benefits	1,746,127	1,626,175	3,771,531
11. Matured endowments (excluding guaranteed annual pure endowments)			
12. Annuity benefits	8,978,478	8,731,003	14,293,721
13. Disability benefits and benefits under accident and health contracts			
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	232,799	267,710	488,503
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	185,506	86,733	396,297
18. Payments on supplementary contracts with life contingencies			
19. Increase in aggregate reserves for life and accident and health contracts	49,621,411	9,977,360	40,205,932
20. Totals (Lines 10 to 19)	60,764,321	20,688,981	59,155,984
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	1,090,015	255,049	860,087
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses and fraternal expenses	1,984,382	1,860,203	3,205,008
24. Insurance taxes, licenses and fees, excluding federal income taxes	71,588	68,690	115,530
25. Increase in loading on deferred and uncollected premiums			
26. Net transfers to or (from) Separate Accounts net of reinsurance			
27. Aggregate write-ins for deductions	(443,237)	(439,345)	(731,800)
28. Totals (Lines 20 to 27)	63,467,069	22,433,578	62,604,809
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(773,972)	872,473	4,431,415
30. Dividends to policyholders and refunds to members	150,554	147,920	429,586
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(924,526)	724,553	4,001,829
32. Federal and foreign income taxes incurred (excluding tax on capital gains)			
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(924,526)	724,553	4,001,829
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of $..........0 (excluding taxes of $..........0 transferred to the IMR)	(144,412)	3,424,790	(449,472)
35. Net income (Line 33 plus Line 34)	(1,068,938)	4,149,343	3,552,357
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	43,771,595	42,148,006	42,148,006
37. Net income (Line 35)	(1,068,938)	4,149,343	3,552,357
38. Change in net unrealized capital gains (losses) less capital gains tax of $..........0	763,669	(3,056,107)	(2,717,292)
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax			
41. Change in nonadmitted assets		1,459	1,946
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			
44. Change in asset valuation reserve	(264,431)	1,019,305	892,881
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in			
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	(6)	(146,925)	(106,303)
54. Net change in capital and surplus (Lines 37 through 53)	(569,706)	1,967,075	1,623,589
55. Capital and surplus as of statement date (Lines 36 + 54)	43,201,889	44,115,081	43,771,595

DETAILS OF WRITE-INS

	1	2	3
08.301. ADVERTISING & SUBSCRIPTION INCOME	1,475		2,605
08.302. MISCELLANEOUS INCOME	69,984	1,575	2,381
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	71,459	1,575	4,986
2701. Net Change Pension Fund	(443,237)	(439,345)	(731,800)
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above)	(443,237)	(439,345)	(731,800)
5301. ACCRUAL & ASSET ADJUSTMENTS	(6)	4,357	(106,303)
5302. PRIOR YEAR ADJUSTMENT SCHEDULE BA SECURITIES		(282)	
5303. ADJUSTMENT TO ANNUITIES CERTAIN		(151,000)	
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above)	(6)	(146,925)	(106,303)

CASH FLOW

		1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
	CASH FROM OPERATIONS			
1.	Premiums collected net of reinsurance	54,006,454	14,677,071	46,383,597
2.	Net investment income	8,510,559	8,964,694	21,584,333
3.	Miscellaneous income	71,459	3,425,088	4,986
4.	Total (Lines 1 through 3)	62,588,472	27,066,853	67,972,916
5.	Benefit and loss related payments	11,142,910	10,711,621	18,950,052
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	2,496,146	1,609,247	3,087,847
8.	Dividends paid to policyholders	150,554	147,920	429,586
9.	Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	13,789,610	12,468,788	22,467,485
11.	Net cash from operations (Line 4 minus Line 10)	48,798,862	14,598,065	45,505,431
	CASH FROM INVESTMENTS			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	31,979,845	17,227,545	65,325,536
	12.2 Stocks			
	12.3 Mortgage loans	59,250	8,708	131,994
	12.4 Real estate			
	12.5 Other invested assets	582,965	1,577,100	1,832,100
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			53,523
	12.7 Miscellaneous proceeds			1,000,000
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	32,622,060	18,813,352	68,343,153
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	45,480,192	24,782,981	90,186,692
	13.2 Stocks	1,752,262	46,800	860,448
	13.3 Mortgage loans	8,014,940		1,000,000
	13.4 Real estate			
	13.5 Other invested assets	1,097,862	511,720	1,623,777
	13.6 Miscellaneous applications	1,000,000		
	13.7 Total investments acquired (Lines 13.1 to 13.6)	57,345,256	25,341,501	93,670,917
14.	Net increase or (decrease) in contract loans and premium notes	1,154	(29,248)	(35,441)
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(24,724,351)	(6,498,900)	(25,292,323)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities	(8,860,642)	(1,009,452)	(1,439,544)
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	48,325	(403,821)	(55,894)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(8,812,317)	(1,413,273)	(1,495,438)
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	15,262,195	6,685,892	18,717,670
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	39,547,813	20,830,144	20,830,143
	19.2 End of period (Line 18 plus Line 19.1)	54,810,008	27,516,036	39,547,813

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life			
2. Ordinary life insurance	699,089	961,640	1,718,596
3. Ordinary individual annuities	53,307,569	13,727,695	44,741,922
4. Credit life (group and individual)			
5. Group life insurance			
6. Group annuities			
7. A&H - group			
8. A&H - credit (group and individual)			
9. A&H - other			
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	54,006,658	14,689,335	46,460,518
12. Fraternal (Fraternal Benefit Societies Only)			
13. Subtotal (Lines 11 through 12)	54,006,658	14,689,335	46,460,518
14. Deposit-type contracts			
15. Total (Lines 13 and 14)	54,006,658	14,689,335	46,460,518

DETAILS OF WRITE-INS

1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2020
NET INCOME					
(1) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	$ (1,068,938)	$ 3,552,357
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP					
				$	$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP					
				$	$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	$ (1,068,938)	$ 3,552,357
SURPLUS					
(5) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 3, line 38, Columns 1 & 2)	XXX	XXX	XXX	$ 43,201,889	$ 43,771,595
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP					
				$	$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP					
				$	$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	$ 43,201,889	$ 43,771,595

B. Use of Estimates in the Preparation of the Financial Statement
No significant changes

C. Accounting Policy **NONE**

D. Going Concern
After carefully evaluating the Society's ability to continue as a going concern, Society management was not aware of any conditions or events which raised substantial doubts concerning the Society's ability to continue as a going concern as of the date of the filing of this statement.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes

B. Debt Restructuring

No significant changes

C. Reverse Mortgages

No significant changes

D. Loan-Backed Securities **NONE**

E. Dollar Repurchase Agreements and/or Securities Lending Transactions **NONE**

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing **NONE**
Repurchase Transaction – Cash Taker – Overview of Secured Borrowing Transactions

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing **NONE**
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions

H. Repurchase Agreements Transactions Accounted for as a Sale **NONE**
Repurchase Transaction – Cash Taker – Overview of Sale Transactions

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale **NONE**
Repurchase Transaction – Cash Provider – Overview of Sale Transactions

J. Real Estate
No significant changes

NOTES TO FINANCIAL STATEMENTS

K. Low-Income Housing Tax Credits (LIHTC)
No significant changes

L. Restricted Assets
No significant changes

M. Working Capital Finance Investments **NONE**
(
N. Offsetting and Netting of Assets and Liabilities **NONE**

O.- R **NONE**

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments

A. Derivatives Under *SSAP No. 86 – Derivatives*
(1 - 7) **NONE**

(8) Total Premium Costs for Contracts **NONE**

B. Derivatives under *SSAP No. 108 – Derivatives Hedging Variable Annuity Guarantees*
(1) Discussion of Hedged Item/Hedging Instruments and Hedging Strategy
No significant changes
(2) Recognition of Gains/Losses and Deferred Assets and Liabilities
a. Scheduled Amortization **NONE**

11. Total	$	$

b. Total Deferred Balance **NONE**

c. Reconciliation of Amortization **NONE**

(3) Hedging Strategies Identified as No Longer Highly Effective
No significant changes
(4) Hedging Strategies Terminated
No significant changes

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

NO SIGNIFICANT CHANGES

Note 11 – Debt

A. Debt Including Capital Notes

No significant changes

B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement
The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company has conducted business activity (borrowings) with the FHLB. It is part of the Company's strategy to utilize these funds in an investment spread strategy, consistent with its other investment spread operations. The Company has determined the actual/estimated maximum borrowing capacity as $21,946,857. The Company calculated this amount in accordance with current FHLB capital stock.
(2) FHLB Capital Stock
a. Aggregate Totals
1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$ 457,648	$ 457,648	$
(b) Membership Stock – Class B			
(c) Activity Stock	877,874	877,874	
(d) Excess Stock	344,978	344,978	
(e) Aggregate Total (a+b+c+d)	$ 1,680,500	$ 1,680,500	$

NOTES TO FINANCIAL STATEMENTS

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(f) Actual or estimated borrowing capacity as determined by the insurer	21,946,857	XXX	XXX

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	$ 666,439	$ 666,439	$
(b) Membership Stock – Class B			
(c) Activity Stock	1,014,061	1,014,061	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	$ 1,680,500	$ 1,680,500	$
(f) Actual or estimated borrowing capacity as determined by the insurer	40,368,102	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	1 Current Year to Date Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption 3 Less than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
1. Class A	$ 457,648	$ 457,648	$	$	$	$
2. Class B	$	$	$	$	$	$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$ 35,338,681	$ 32,651,558	$ 21,946,857
2. Current Year to Date General Account Total Collateral Pledged	35,338,681	32,651,558	21,946,857
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	$ 43,680,603	$ 40,368,102	$ 30,850,973

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount of Borrowed at Time of Maximum Collateral
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	$ 43,636,992	$ 40,333,102	$ 30,773,607
2. Current Year to Date General Account Total Collateral Pledged	43,636,992	40,333,102	30,773,607
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	$ 46,181,867	$ 44,136,375	$ 32,413,615

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other	21,946,857	21,946,857		XXX
(d) Aggregate Total (a+b+c)	$ 21,946,857	$ 21,946,857	$	$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	$	$	$	XXX
(b) Funding Agreements				$
(c) Other	30,850,973	30,850,973		XXX
(d) Aggregate Total (a+b+c)	$ 30,850,973	$ 30,850,973	$	$

b. Maximum Amount During Reporting Period (Current Year to Date)

	1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt			
2. Funding Agreements			
3. Other	30,850,973	30,850,973	
4. Aggregate Total (Lines 1+2+3)	30,850,973	30,850,973	

c. FHLB – Prepayment Obligations

NOTES TO FINANCIAL STATEMENTS

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	NO
2. Funding Agreements	NO
3. Other	NO

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

(

(4) Components of Net Periodic Benefit Cost

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	Current Year to Date	2020	Current Year to Date	2020	Current Year to Date	2020
a. Service cost	$ 62,328	$ 145,763	$	$ 4,495	$	$
b. Interest cost	169,998	356,262		22,080		
c. Expected return on plan assets	(209,528)	(385,682)				
d. Transition asset or obligation						
e. Gains and losses		33,365		9,936		
f. Prior service cost or credit						
g. Gain or loss recognized due to a settlement curtailment						
h. Total net periodic benefit cost	$ 22,798	$ 149,708	$	$ 36,511	$	$

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

No significant changes

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales
No significant changes

B. Transfer and Servicing of Financial Assets **NONE**

(

C. Wash Sales **NONE**

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

A. Fair Value Measurements
(1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					

NOTES TO FINANCIAL STATEMENTS

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Bonds	$ 184,018	$	$	$	$ 184,018
Common Stock	$ 2,195,767	$	$	$	$ 2,195,767
Parent Subsidiary	$ 9,958	$	$	$	$ 9,958
Other Invested Assets	$ 9,923,640	$	$	$	$ 9,923,640
Total	$ 12,313,383	$	$	$	$ 12,313,383
Liabilities at Fair Value					
	$	$	$	$	$
Total	$	$	$	$	$

(2 - 5) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy **NONE**

B.- E **NONE**

Note 21 – Other Items

No significant changes

Note 22 – Events Subsequent

Subsequent events have been considered through June 30, 2021 for these statutory financial statements which are to be issued on June 30, 2021. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

A. Method Used by the Reporting Entity to Estimate Accrued Retrospective Premium Adjustments

Not applicable

B. Disclose Whether Accrued Retrospective Premiums are Recorded Through Written Premium or as an Adjustment to Earned Premium

Not applicable

C. Disclose the Amount of Net Premiums Written Subject to Retrospective Rating Features

Not applicable

D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act

Not applicable

E. Risk Sharing Provisions of the Affordable Care Act **NONE**

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses **NONE**

B. Information about Significant Changes in Methodologies and Assumptions **NONE**

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

No significant changes

Note 28 – Health Care Receivables

No significant changes

Note 29 – Participating Policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – Reserves for Life Contracts and Deposit-Type Contracts

No significant changes

NOTES TO FINANCIAL STATEMENTS

Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant changes

Note 33 – Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant changes

Note 34 – Premium and Annuity Considerations Deferred and Uncollected

No significant changes

Note 35 – Separate Accounts

No significant changes

Note 36 – Loss/Claim Adjustment Expenses

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ ] No [X]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation. Yes [ ] No [ ] N/A [X]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 03/01/2020

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2019

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 03/11/2021

6.4 By what department or departments?
STATE OF OHIO, DEPT. OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

		1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	0	0
14.23	Common Stock	9,958	9,958
14.24	Short-Term Investments	0	0
14.25	Mortgage Loans on Real Estate	0	0
14.26	All Other	0	0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 9,958	$ 9,958
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [X]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
KEYBANK NA	127 PUBLIC SQUARE, CLEVELAND OH 44114

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["…that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [X]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
    Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
    Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The security was purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

GENERAL INTERROGATORIES (continued)

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Amount
1.1	Long-term mortgages in good standing	
1.11	Farm mortgages	$
1.12	Residential mortgages	$922,666
1.13	Commercial mortgages	$8,000,000
1.14	Total mortgages in good standing	$8,922,666
1.2	Long-term mortgages in good standing with restructured terms	
1.21	Total mortgages in good standing with restructured terms	$
1.3	Long-term mortgage loans upon which interest is overdue more than three months	
1.31	Farm mortgages	$
1.32	Residential mortgages	$
1.33	Commercial mortgages	$
1.34	Total mortgages with interest overdue more than three months	$0
1.4	Long-term mortgage loans in process of foreclosure	
1.41	Farm mortgages	$
1.42	Residential mortgages	$
1.43	Commercial mortgages	$
1.44	Total mortgages in process of foreclosure	$0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$8,922,666
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter	
1.61	Farm mortgages	$
1.62	Residential mortgages	$
1.63	Commercial mortgages	$
1.64	Total mortgages foreclosed and transferred to real estate	$0
2.	Operating Percentages:	
2.1	A&H loss percent	
2.2	A&H cost containment percent	
2.3	A&H expense percent excluding cost containment expenses	
3.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date	$
3.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date	$
4.	Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?	Yes [X] No [ ]
4.1	If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?	Yes [ ] No [ ]

Fraternal Benefit Societies Only:

5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [ ] N/A [X]

5.2 If no, explain:

6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [X]

6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	States, Etc.	1 Active Status (a)	Direct Business Only Life Contracts 2 Life Insurance Premiums	3 Annuity Considerations	4 A&H Insurance Premiums, Including Policy Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
1.	Alabama AL	N					0	
2.	Alaska AK	N					0	
3.	Arizona AZ	L		625,000			625,000	
4.	Arkansas AR	N					0	
5.	California CA	N					0	
6.	Colorado CO	L					0	
7.	Connecticut CT	L	6,211	180,000			186,211	
8.	Delaware DE	N					0	
9.	District of Columbia DC	N					0	
10.	Florida FL	L	4,658	2,768,081			2,772,740	
11.	Georgia GA	L	11	47,000			47,011	
12.	Hawaii HI	N					0	
13.	Idaho ID	N					0	
14.	Illinois IL	L	37,144	11,406,578			11,443,721	
15.	Indiana IN	L	3,948	492,891			496,839	
16.	Iowa IA	L	445	1,918,603			1,919,047	
17.	Kansas KS	N					0	
18.	Kentucky KY	L		26,000			26,000	
19.	Louisiana LA	N					0	
20.	Maine ME	N					0	
21.	Maryland MD	L	4	455,500			455,504	
22.	Massachusetts MA	L	488	500			988	
23.	Michigan MI	L	13,515	3,321,101			3,334,616	
24.	Minnesota MN	L	58,650	3,954,735			4,013,385	
25.	Mississippi MS	N					0	
26.	Missouri MO	L	254	228,778			229,032	
27.	Montana MT	N					0	
28.	Nebraska NE	L	1,358	581,505			582,863	
29.	Nevada NV	L	118	90,000			90,118	
30.	New Hampshire NH	N					0	
31.	New Jersey NJ	L	25,650	508,164			533,813	
32.	New Mexico NM	N					0	
33.	New York NY	L	30,507	348,360			378,866	
34.	North Carolina NC	L		151,825			151,825	
35.	North Dakota ND	N					0	
36.	Ohio OH	L	117,469	4,080,112			4,197,581	
37.	Oklahoma OK	N					0	
38.	Oregon OR	N					0	
39.	Pennsylvania PA	L	401,040	8,724,683			9,125,723	
40.	Rhode Island RI	N					0	
41.	South Carolina SC	L		132,850			132,850	
42.	South Dakota SD	N					0	
43.	Tennessee TN	L		110,900			110,900	
44.	Texas TX	L		8,000			8,000	
45.	Utah UT	N					0	
46.	Vermont VT	N					0	
47.	Virginia VA	L	3,025	10,250			13,275	
48.	Washington WA	N					0	
49.	West Virginia WV	L	1,558				1,558	
50.	Wisconsin WI	L	7,398	13,136,153			13,143,551	
51.	Wyoming WY	N					0	
52.	American Samoa AS	N					0	
53.	Guam GU	N					0	
54.	Puerto Rico PR	N					0	
55.	US Virgin Islands VI	N					0	
56.	Northern Mariana Islands MP	N					0	
57.	Canada CAN	N					0	
58.	Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59.	Subtotal	XXX	713,449	53,307,569	0	0	54,021,018	0
90.	Reporting entity contributions for employee benefit plans	XXX					0	
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX	142,497				142,497	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX	581				581	
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX					0	
94.	Aggregate other amounts not allocable by State	XXX	0	0	0	0	0	0
95.	Totals (Direct Business)	XXX	856,527	53,307,569	0	0	54,164,096	0
96.	Plus Reinsurance Assumed	XXX						
97.	Totals (All Business)	XXX	856,527	53,307,569	0	0	54,164,096	0
98.	Less Reinsurance Ceded	XXX	14,360				14,360	
99.	Totals (All Business) less Reinsurance Ceded	XXX	842,167	53,307,569	0	0	54,149,736	0

DETAILS OF WRITE-INS

58001.		XXX					0	
58002.		XXX					0	
58003.		XXX					0	
58998.	Summary of remaining write-ins for line 58 from overflow page	XXX	0	0	0	0	0	0
58999.	Total (Lines 58001 thru 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0
9401.		XXX					0	
9402.		XXX					0	
9403.		XXX					0	
9498.	Summary of remaining write-ins for line 94 from overflow page	XXX	0	0	0	0	0	0
9499.	Total (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG	27	R - Registered - Non-domiciled RRGs	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state	0	Q - Qualified - Qualified or accredited reinsurer	0
		N - None of the above - Not allowed to write business in the state	30

Sch. Y - Pt. 1
NONE

Sch. Y Pt. 1A
NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8.	Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	YES

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.
8.

Bar Code:

56340202149000002

56340202144700002

56340202136500002

56340202144800002

56340202144500002

56340202144900002

56340202144600002

Overflow Page for Write-Ins

Additional Write-ins for Liabilities:

	1 Current Statement Date	2 December 31 Prior Year
2504. Account Payable LL	3,919	25,518
2597. Summary of remaining write-ins for Line 25	3,919	25,518

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	871,758	930,718
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other-than-temporary impairment recognized		
8. Deduct current year's depreciation	29,480	58,960
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	842,278	871,758
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	842,278	871,758

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	966,976	98,970
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	8,014,940	1,000,000
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	59,250	131,994
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	8,922,666	966,976
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	8,922,666	966,976
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	8,922,666	966,976

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,964,590	8,042,686
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	1,097,862	1,623,777
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	444,152	1,085,677
6. Total gain (loss) on disposals		44,549
7. Deduct amounts received on disposals	582,965	1,832,100
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	9,923,639	8,964,590
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	9,923,639	8,964,590

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	401,497,687	380,568,561
2. Cost of bonds and stocks acquired	47,232,454	91,047,141
3. Accrual of discount	171,340	136,343
4. Unrealized valuation increase (decrease)	319,516	(3,802,969)
5. Total gain (loss) on disposals	(25,176)	415,806
6. Deduct consideration for bonds and stocks disposed of	31,979,845	65,325,536
7. Deduct amortization of premium	544,481	1,191,660
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		350,000
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	416,671,495	401,497,687
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	416,671,495	401,497,687

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	219,577,110	32,505,122	14,166,189	(47,708)	219,577,110	237,868,334		218,677,932
2. NAIC 2 (a)	166,579,843		2,357,927		166,579,843	164,221,915		168,226,026
3. NAIC 3 (a)	10,406,610		761,809		10,406,610	9,644,801		10,897,313
4. NAIC 4 (a)	0				0	0		499,607
5. NAIC 5 (a)	478,843		150,515		478,843	328,329		478,843
6. NAIC 6 (a)	302,390		150,000		302,390	152,390		151,195
7. Total Bonds	397,344,796	32,505,122	17,586,440	(47,708)	397,344,796	412,215,770	0	398,930,917
PREFERRED STOCK								
8. NAIC 1	500,000	1,750,000			500,000	2,250,000		500,000
9. NAIC 2						0		
10. NAIC 3						0		
11. NAIC 4						0		
12. NAIC 5						0		
13. NAIC 6						0		
14. Total Preferred Stock	500,000	1,750,000	0	0	500,000	2,250,000	0	500,000
15. Total Bonds and Preferred Stock	397,844,796	34,255,122	17,586,440	(47,708)	397,844,796	414,465,770	0	399,430,917

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999		X			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	4,946,477
2. Cost of short-term investments acquired		
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		4,946,477
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Sch. DB - Pt. A - Verification
NONE

Sch. DB - Pt. B - Verification
NONE

Sch. DB - Pt. C - Sn. 1
NONE

Sch. DB - Pt. C - Sn. 2
NONE

Sch. DB - Verification
NONE

Sch. E - Pt. 2 Verification
NONE

Sch. A Pt. 2
NONE

Sch. A Pt. 3
NONE

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
Mortgages in Good Standing - Commercial Mortgages - All Other								
1251.2	BLC CANVINIA VINEYARD ESTATE LLC	CA		06/30/2021	0.075	1,000,000		17,000,000
1251.3	BLC LTS OYSTER POINT LLC	CA		06/30/2021	0.080	2,000,000		16,400,000
1252.1	X-CALIBER CARRIAGE HOUSE	DE		06/30/2021	0.048	2,000,000		13,500,000
1251	BLC TURTLEWOOD HOUSING-CORR OF PRIN PYTS APPLIED AS INTEREST	CA		06/30/2021	0.060	10,294		9,400,000
0599999. Total - Mortgages in Good Standing - Commercial Mortgages - All Other				XXX	XXX	5,010,294	0	56,300,000
0899999. Total - Mortgages in Good Standing				XXX	XXX	5,010,294	0	56,300,000
3399999. Total Mortgages				XXX	XXX	5,010,294	0	56,300,000

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
Mortgages With Partial Repayments																	
1023	Canfield	OH		06/01/1992	VARIOUS	11,405					0		9,039	1,029			0
1046	Akron	OH		09/10/2019	VARIOUS	70,066					0		65,155	2,118			0
00109	IRVINE	CA		11/01/2020	VARIOUS	884,774					0		853,332	15,154			0
0299999. Total - Mortgages With Partial Repayments						966,245	0	0	0	0	0	0	927,526	18,301	0	0	0
0599999. Total Mortgages						966,245	0	0	0	0	0	0	927,526	18,301	0	0	0

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Designation, NAIC Designation and SVO Administrative Symbol/ Market Indicator	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the Securities Valuation Office (SVO) - Unaffiliated												
075995 91 3	BLACKSTONE REAL ESTATE INC TRUST CL S - EXCHANGE	CLEVELAND	OH	UBS		01/07/20201..	13	5,963				0.010
0999999. Total - Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the Securities Valuation Office (SVO) - Unaffiliated								5,963	0	0	0	XXX
4899999. Subtotal - Unaffiliated								5,963	0	0	0	XXX
5099999. Totals								5,963	0	0	0	XXX

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income

NONE

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
Bonds - Industrial and Miscellaneous									
038923 AU 2	ARBOR REALTY TRUST INC.		04/30/2021	KEYBANK NA		1,000,000	1,000,000		1.A
05790B AA 6	BALBOA CAPITAL CORP.		05/28/2021	KEYBANK NA		1,000,000	1,000,000		1.A
09857L AR 9	BOOKING HOLDINGS INC.		04/20/2021	KEYBANK NA		1,181,040	1,000,000	899	1.A
14017R AE 2	CAPITAL FUNDING BANCORP INC.		05/10/2021	KEYBANK NA		1,066,212	1,000,000	29,813	1.A
144523 AC 1	CARRINGTON HOLDING CO LLC		06/29/2021	KEYBANK NA		1,012,800	1,000,000	3,111	1.A
149123 CK 5	CATERPILLAR INC.		04/20/2021	KEYBANK NA		983,900	1,000,000	2,006	1.A
18469L AA 7	CLEAR STREET HOLDINGS LLC		05/06/2021	KEYBANK NA		1,000,000	1,000,000		1.A
195869 AN 2	COLONIAL PIPELINE CO.		05/17/2021	KEYBANK NA		1,096,880	1,000,000	3,733	1.A
20161D AA 2	COMMERCIAL CREDIT GROUP INC.		05/19/2021	KEYBANK NA		1,000,000	1,000,000		1.A
22534N AA 6	CREDIBILITY ASSET SECURITIZATION		06/11/2021	KEYBANK NA		999,826	1,000,000		1.A
29736R AR 1	ESTEE LAUDER CO INC.		04/20/2021	KEYBANK NA		986,390	1,000,000	2,492	1.A
31739M AB 0	FINANCE AMERICA STRUCTURED SECS TR		04/22/2021	KEYBANK NA		993,592	1,000,000		1.A
413707 AA 8	HARRIMACK HOLDINGS LLC		04/07/2021	KEYBANK NA		1,000,000	1,000,000		1.A
440452 AF 7	HORMEL FOODS CORP.		04/20/2021	KEYBANK NA		976,000	1,000,000	6,450	1.A
46090R AA 2	INVESTCORP CREDIT MGMT BDC INC.		06/17/2021	KEYBANK NA		1,006,250	1,000,000	10,427	1.A
53036L AA 8	LIBERTY COMMERCIAL FINANCE LLC		06/22/2021	KEYBANK NA		1,000,000	1,000,000		1.A
583928 AE 6	MEDALLION FINANCIAL CORP.		04/19/2021	KEYBANK NA		1,000,000	1,000,000		1.G
610335 AB 7	MONROE CAPITAL CORP.		05/10/2021	KEYBANK NA		1,017,500	1,000,000	13,854	1.A
637432 NX 9	NATIONAL RURAL UTILS COOP FIN CORP		06/29/2021	KEYBANK NA		1,445,520	1,520,000	975	1.A
649604 AE 5	NEW YORK MORTGAGE TRUST INC.		04/28/2021	KEYBANK NA		1,000,000	1,000,000		1.A
649604 AE 5	NEW YORK MORTGAGE TRUST INC.		06/17/2021	KEYBANK NA		1,020,000	1,000,000	7,986	1.A
65342V AA 9	NEXPOINT REAL ESTATE FIN INC.		04/20/2021	KEYBANK NA		795,680	800,000		1.A
708062 AC 8	PENNANTPARK INVESTMENT CORP.		04/21/2021	KEYBANK NA		497,215	500,000		1.A
718172 AM 1	PHILIP MORRIS INTERNATIONAL INC.		05/20/2021	KEYBANK NA		1,143,020	1,000,000	608	1.A
86944B AG 8	SUTTER HEALTH		04/20/2021	KEYBANK NA		1,001,570	1,000,000	4,142	1.A
88315L AJ 7	TEXTAINER MARINE CONTAINERS LIMITED		04/06/2021	KEYBANK NA		955,693	1,000,000	895	1.A
89680H AA 0	TRITON CONTAINER FINANCE LLC		04/06/2021	KEYBANK NA		955,106	1,000,000	898	1.A
906548 CT 7	UNION ELECTRIC CO.		06/30/2021	KEYBANK NA		1,002,770	1,000,000	478	1.A
90915U AA 6	UNIQUIFY INC.		06/30/2021	KEYBANK NA		1,000,000	1,000,000		1.A
97064F AA 3	WILLIS ENGINE SECURITIZATION TRUST		05/27/2021	KEYBANK NA		1,867,271	2,000,000	1,998	1.A
97064G AA 1	WILLIS ENGINE SECURITIZATION TRUST		05/17/2021	KEYBANK NA		999,948	1,000,000		1.A
97064G AA 1	WILLIS ENGINE SECURITIZATION TRUST		05/17/2021	KEYBANK NA		500,938	500,000		1.A
3899999. Total - Bonds - Industrial and Miscellaneous						32,505,122	32,320,000	90,765	XXX
8399997. Total - Bonds - Part 3						32,505,122	32,320,000	90,765	XXX
8399999. Total - Bonds						32,505,122	32,320,000	90,765	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred									
55025L 20 7	LUMENT FINANCE TRUST INC.		05/05/2021	KEYBANK NA	20,000.000	500,000			1.A
87266M 20 6	TPG RE FINANCE TRUST INC.		06/14/2021	KEYBANK NA	20,000.000	500,000			1.A
90278W 40 2	UIRC-GSA INTERNATIONAL		04/30/2021	KEYBANK NA	750.000	750,000			1.A
8499999. Total - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						1,750,000	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						1,750,000	XXX	0	XXX
8999999. Total - Preferred Stocks						1,750,000	XXX	0	XXX
Common Stocks - Industrial and Miscellaneous (Unaffliated) Publicly Traded									
25271C 20 1	DIAMOND OFFSHORE DRILLING INC.		04/30/2021	EXCHANGE	17,403.000	2,262	XXX		XXX

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
9099999. Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						2,262	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						2,262	XXX	0	XXX
9799999. Total - Common Stocks						2,262	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						1,752,262	XXX	0	XXX
9999999. Total - Bonds, Preferred and Common Stocks						34,257,385	XXX	90,765	XXX

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
Bonds - U.S. Government																					
312906 2P 4	FHLMC REMIC SERIES 1136-H		06/15/2021.	PRINCIPAL		6	6	6	4				0		4		2	2	0	09/15/2021.	1.
3136A9 HB 7	FNMA CMO 2012-111 HS INV FLT		06/30/2021.	PRINCIPAL		16,297	16,297	15,645	16,078				0		16,078		219	219	67	10/25/2042.	1.
3136A9 PC 6	FNMA CMO 2012-128 HS INV FLT		06/30/2021.	PRINCIPAL		165,662	165,662	167,215	165,662				0		165,662			0	1,280	11/25/2042.	1.
3136AA 6G 5	FNMA CMO 2012-151 SB INV FLT		06/30/2021.	PRINCIPAL		45,839	45,839	44,922	45,436				0		45,436		403	403	339	01/25/2043.	1.
3136AA BP 9	FNMA CMO 2012-131 BS		06/30/2021.	PRINCIPAL		74,304	74,304	71,164	73,859				0		73,859		445	445	517	12/25/2042.	1.
3136AB AK 9	FNMA CMO 2012-150 CS INV FLT		06/30/2021.	PRINCIPAL		80,027	80,027	79,927	79,985		2		2		79,987		40	40	615	01/25/2043.	1.
3136AC AF 8	FNMA CMO 2013-10 HS INV FLT		06/30/2021.	PRINCIPAL		84,136	84,136	82,498	83,543				0		83,543		593	593	516	02/25/2043.	1.
3136AL VF 5	FANNIE MAE		06/25/2021.	PRINCIPAL		299,283	299,283	302,743	302,743				0		302,743		(3,460)	(3,460)	1,199	06/25/2040.	1.
3136B1 3P 7	FANNIE MAE		06/30/2021.	PRINCIPAL		401,100	401,100	409,560	409,560				0		409,560		(8,461)	(8,461)	2,014	06/25/2044.	1.
3137AQ Z7 6	FHLMC CMO 4064 TS INV FLT		06/30/2021.	PRINCIPAL		25,864	25,864	26,187	25,872				0		25,872		(8)	(8)	255	06/15/2042.	1.
3137AR CX 2	FHLMC CMO 4061 SL INV FLT		06/30/2021.	PRINCIPAL		53,284	53,284	54,483	53,284				0		53,284			0	499	06/15/2042.	1.
3137AU SR 1	FHR CMO 4114 YS INV		04/30/2021.	PRINCIPAL		44,392	44,392	44,420	44,392				0		44,392		(0)	(0)	191	10/15/2042.	1.
3137AU TY 5	FHR CMO 4117 SC INV FLT		06/30/2021.	PRINCIPAL		40,037	40,037	41,491	40,032				0		40,032		5	5	401	10/15/2042.	1.
3137AV DC 8	FHLMC CMO 4120 HS INV FLT		06/30/2021.	PRINCIPAL		126,739	126,739	126,580	126,657				0		126,657		82	82	784	10/15/2042.	1.
3137BQ LJ 4	FREDDIE MAC		06/30/2021.	PRINCIPAL		121,740	121,740	123,794	122,134				0		122,134		(394)	(394)	690	05/15/2033.	1.
3137BR ZF 5	FREDDIE MAC		06/30/2021.	PRINCIPAL		221,327	221,327	226,307	226,307				0		226,307		(4,980)	(4,980)	1,013	02/15/2046.	1.
3137BS WH 2	FREDDIE MAC		06/15/2021.	PRINCIPAL		174,160	174,160	179,821	179,821				0		179,821		(5,660)	(5,660)	733	02/15/2044.	1.
3137BT 4T 5	FREDDIE MAC		06/15/2021.	PRINCIPAL		633,041	633,041	637,591	637,591				0		637,591		(4,550)	(4,550)	2,260	10/15/2040.	1.
3137FC DA 9	FREDDIE MAC		06/30/2021.	PRINCIPAL		381,698	381,698	388,020	388,020				0		388,020		(6,322)	(6,322)	1,619	02/15/2043.	1.
31395W JS 7	FHLMC CMO 3005 SW INV FLT		06/30/2021.	PRINCIPAL		69	69	75	71				0		71		(2)	(2)	2	07/15/2035.	1.
31397N UG 8	FNMA CMO 2009-19 TD		06/30/2021.	PRINCIPAL		11,765	11,765	11,909	11,781				0		11,781		(17)	(17)	100	08/25/2036.	1.
36202E V9 7	GNMA CMO 2 MJM 4240		06/30/2021.	PRINCIPAL		195	195	210	196				0		196		(0)	(0)	2	09/20/2038.	1.
38373M B8 2	GNMA CMO PAC 2007-16 PS INV FLT		06/30/2021.	PRINCIPAL		2,683	2,683	3,987	2,676				0		2,676		7	7	102	04/20/2037.	1.
38374U BP 5	GNMA CMO 2009-32 AB		06/30/2021.	PRINCIPAL		4,531	4,531	4,777	4,531				0		4,531			0	31	05/16/2039.	1.
38374U CJ 8	GNMA CMO PAC 2009-32 AP		06/30/2021.	PRINCIPAL		4,398	4,398	4,646	4,398				0		4,398			0	30	05/16/2039.	1.
38375Y NF 5	GNMA CMO PAC 2008-79 CS		06/30/2021.	PRINCIPAL		4,453	4,453	4,512	4,458				0		4,458		(5)	(5)	55	06/20/2035.	1.
38377N G7 3	GNMA CMO 2010-157 SH		06/30/2021.	PRINCIPAL		10,516	10,516	10,805	10,555				0		10,555		(39)	(39)	154	12/20/2040.	1.
38378F S9 2	GNMA CMO 2013-18 CB		06/30/2021.	PRINCIPAL		568,660	568,660	550,890	558,762				0		558,762		9,898	9,898	2,739	02/20/2043.	1.
38378M ST 3	GNMA CMO 2013-51 SC INV FLT		06/30/2021.	PRINCIPAL		45,188	45,188	45,360	44,987				0		44,987		201	201	318	04/20/2043.	1.
38378M TU 9	GNMA CMO 2013-51 C		06/30/2021.	PRINCIPAL		90,377	90,377	91,106	90,136				0		90,136		241	241	388	04/20/2043.	1.
38379X Q6 0	GOVERNMENT NATL MTG ASSN		06/21/2021.	PRINCIPAL		688,298	688,298	696,041	696,041				0		696,041		(7,743)	(7,743)	2,790	02/20/2045.	1.
38380K 3Z 6	GNMA		06/21/2021.	PRINCIPAL		551,327	551,327	557,529	557,529				0		557,529		(6,202)	(6,202)	3,009	03/20/2044.	1.
38380K UN 3	GOVERNMENT NATL MTG ASSN		06/21/2021.	PRINCIPAL		539,143	539,143	552,284	552,284				0		552,284		(13,142)	(13,142)	1,967	10/20/2047.	1.
38380N 5B 1	GOVERNMENT NATL MTG ASSN		06/30/2021.	PRINCIPAL		181,123	181,123	190,066	190,066				0		190,066		(8,943)	(8,943)	644	10/16/2061.	1.
38380P SW 5	GOVERNMENT NATL MTG ASSN		06/30/2021.	PRINCIPAL		32,054	32,054	32,535	32,535				0		32,535		(481)	(481)	96	02/16/2062.	1.
38380P VF 8	GOVERNMENT NATL MTG ASSN		06/30/2021.	PRINCIPAL		214,998	214,998	220,911	220,911				0		220,911		(5,912)	(5,912)	762	05/16/2061.	1.
38380T B7 0	GOVERNMENT NATIONAL MORT GNR 2017-107 T		06/30/2021.	PRINCIPAL		355,838	355,838	360,675	360,675				0		360,675		(4,837)	(4,837)	1,768	01/20/2047.	1.
38382C RB 9	GOVERNMENT NATL MTG ASSN		06/21/2021.	PRINCIPAL		2,192,445	2,192,445	2,260,274	2,260,274				0		2,260,274		(67,829)	(67,829)	15,492	10/20/2043.	1.
38382C VL 2	GOVERNMENT NATL MTG ASSN		06/30/2021.	PRINCIPAL		246,295	246,295	246,103	246,103				0		246,103		192	192	623	10/20/2043.	1.

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

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CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
0599999.	Total - Bonds - U.S. Government					8,733,291	8,733,291	8,867,067	8,869,950	0	2	0	2	0	8,869,952	0	(136,661)	(136,661)	46,064	XXX	XXX
Bonds - All Other Government																					
38373V NM 8	GNMA 2002-63 CL NU	..	06/30/2021.	PRINCIPAL		2,424	2,424	2,499	2,432				0		2,432		(8)	(8)	23	09/20/2032.	1.
38374T RK 2	GNMA CMO TAC 2009-22 JL	..	06/30/2021.	PRINCIPAL		4,302	4,302	4,363	4,307				0		4,307		(6)	(6)	26	04/20/2039.	1.
1099999.	Total - Bonds - All Other Government					6,725	6,725	6,862	6,740	0	0	0	0	0	6,740	0	(14)	(14)	49	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
542690 W4 0	REV	..	05/03/2021.	MATURED		300,000	300,000	300,000	300,000				0		300,000			0	7,650	05/01/2021.	1.F
958792 CD 3	WESTERN NASSAU CNTY N Y WTR AUTH REV	..	04/01/2021.	CALLED		75,000	75,000	77,339	75,000				0		75,000			0		04/01/2025.	1.E
3199999.	Total - Bonds - U.S. Special Revenue and Special Assessments.					375,000	375,000	377,339	375,000	0	0	0	0	0	375,000	0	0	0	7,650	XXX	XXX
Bonds - Industrial and Miscellaneous																					
00085C AE 1	ABPCI DIRECT LENDING FUND CLO LTD	..	06/10/2021.	CALLED		500,000	500,000	500,000	500,000				0		500,000			0	9,936	04/20/2031.	1.A
00214M AA 1	ARL SECOND LLC	..	04/15/2021.	PRINCIPAL PAYMENT		31,469	31,469	30,692	30,692				0		30,692		777	777		06/15/2024.	1.A
00214M AA 1	ARL SECOND LLC	..	05/17/2021.	PRINCIPAL PAYMENT		29,387	29,387	28,661	28,661				0		28,661		725	725		06/15/2024.	1.A
00214M AA 1	ARL SECOND LLC	..	06/15/2021.	PRINCIPAL PAYMENT		28,954	28,954	28,239	28,239				0		28,239		715	715		06/15/2024.	1.A
006346 AS 9	ADAMS OUTDOOR ADVERTISING LP	..	06/15/2021.	PRINCIPAL		4,651	4,651	4,698	4,680				0		4,680		(29)	(29)	37	11/15/2048.	1.
009089 AA 1	AIR CANADA 2013-1A PTT	..	05/17/2021.	PRINCIPAL PAYMENT		15,262	15,262	15,316	15,286				0		15,286		(24)	(24)		11/26/2023.	2.C
01877Z AD 2	ALLIANCE PIPELINE L P FGN SR BD SEDOL 29	..	06/30/2021.	PRINCIPAL PAYMENT		16,676	16,676	18,655	16,919				0		16,919		(243)	(243)		12/31/2023.	2.B
02376A AA 7	AMERICAN AIRLINES GROUP INC	..	04/15/2021.	PRINCIPAL PAYMENT		6,765	6,765	6,808	6,796				0		6,796		(30)	(30)		10/15/2029.	2.A
023771 S2 5	AMERICAN AIRLINES	..	04/15/2021.	PRINCIPAL PAYMENT		12,130	12,130	11,548	11,719				0		11,719		411	411		10/15/2028.	2.C
02377C AA 2	AMERICAN AIRLINES INC	..	04/15/2021.	PRINCIPAL PAYMENT		6,765	6,765	6,818	6,803				0		6,803		(37)	(37)		10/15/2029.	2.C
04248N AA 1	ARMY HAWAII FAMILY HSG	..	06/16/2021.	PRINCIPAL PAYMENT		2,536	2,536	2,835	2,780				0		2,780		(243)	(243)		06/15/2050.	1.D
048677 AB 4	ATLANTIC MARINE CORPS CMNTYS LLC BOND SE	..	06/01/2021.	PRINCIPAL PAYMENT		3,730	3,730	3,975	3,921				0		3,921		(191)	(191)		12/01/2050.	1.F
05352G AA 5	AVANA BONHON LLC	..	05/12/2021.	PRINCIPAL PAYMENT		211,538	211,538	211,538	211,538				0		211,538			0		09/15/2024.	1.F
05565E AF 2	BMW US CAPITAL LLC	..	04/12/2021.	KEYBANK NA		500,000	500,000	489,000	489,000				0		489,000		11,000	11,000	5,000	04/11/2021.	1.F
12326Q AA 2	BUSINESS JET SECURITIES LLC	..	04/15/2021.	PRINCIPAL PAYMENT		7,154	7,154	7,190	7,190				0		7,190		(36)	(36)		07/15/2034.	1.A
12326Q AA 2	BUSINESS JET SECURITIES LLC	..	05/17/2021.	PRINCIPAL PAYMENT		6,716	6,716	6,750	6,750				0		6,750		(34)	(34)		07/15/2034.	1.A
12326Q AA 2	BUSINESS JET SECURITIES LLC	..	06/15/2021.	PRINCIPAL PAYMENT		81,758	81,758	82,166	82,166				0		82,166		(409)	(409)		07/15/2034.	1.A
12665U AA 2	CVS PASS-THROUGH TRUST	..	06/10/2021.	PRINCIPAL		4,571	4,571	4,575	4,566				0		4,566		5	5	78	01/10/2036.	2.
12665U AA 2	CVS PASS-THROUGH TRUST	..	06/10/2021.	PRINCIPAL		5,661	5,661	5,857	5,857				0		5,857		(196)	(196)		01/10/2036.	2.
181059 QF 6	CLARK CNTY NEV SCH DIST	..	06/15/2021.	PARTIAL CALLED SECURITY		90,000	90,000	22,903	93,318				0		93,318		(3,318)	(3,318)		06/15/2024.	1.E
210795 PZ 7	CONTINENTAL AIRLINES INC BOND SER 2012-4	..	04/12/2021.	PRINCIPAL PAYMENT		12,305	12,305	12,243	12,291				0		12,291		14	14		04/11/2024.	2.C
21079V AA 1	CONTINENTAL AIRLINES INC BOND SER 2010-1	..	05/31/2021.	PRINCIPAL			(0)	(0)	(0)				0		(0)		0	0		01/12/2021.	2.
22530C AA 4	CREDIBLY ASSET SECURITIZATION LLC	..	04/15/2021.	PRINCIPAL		1,000,000	1,000,000	1,001,250	1,001,250				0		1,001,250		(1,250)	(1,250)	4,000	11/15/2023.	1.
23311R AE 6	DCP MIDSTREAM LLC SENIOR BD SER 144A	..	06/30/2021.	CALLED		750,000	750,000	811,335	751,032		(1,032)		(1,032)		750,000		(0)	(0)	8,906	09/30/2021.	3.A

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

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CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
25271C AM 4	DIAMOND OFFSHORE DRILLING INC		04/30/2021	EXCHANGE		2,262	150,000	145,278	150,000				0		150,000		(147,738)	(147,738)		11/01/2023	6.
31739M AB 0	FINANCE AMERICA STRUCTURED SECS TR		06/25/2021	PRINCIPAL		43,201	43,201	42,924	42,924				0		42,924		277	277		04/25/2051	1.
31740C AB 9	FIN AMER STR SECS TR		05/26/2021	PRINCIPAL PAYMENT		13,775	13,775	13,797	13,797				0		13,797		(22)	(22)		03/25/2023	1.A
31740C AB 9	FIN AMER STR SECS TR		06/25/2021	PRINCIPAL PAYMENT		6,257	6,257	6,267	6,267				0		6,267		(10)	(10)		03/25/2023	1.A
413707 AA 8	HARRIMACK HOLDINGS LLC		05/07/2021	PRINCIPAL PAYMENT		8,333	8,333	8,333	8,333				0		8,333			0		04/01/2031	1.
413707 AA 8	HARRIMACK HOLDINGS LLC		06/07/2021	PRINCIPAL PAYMENT		8,333	8,333	8,333	8,333				0		8,333			0		04/01/2031	1.
42217K BA 3	HEALTH CARE REIT INC		04/16/2021	CALLED		531,640	500,000	498,155	499,693				0		499,693		31,947	31,947	1,563	03/15/2023	2.A
431116 AB 8	HIGHMARK INC		05/17/2021	MATURED		500,000	500,000	500,000	500,126		(126)		(126)		500,000		(0)	(0)	11,875	05/15/2021	1.G
51817T AB 8	LATAM AIRLINES GROUP S A		05/18/2021	PRINCIPAL		144,310	144,310	150,515	150,515				0		150,515		(6,205)	(6,205)	1,594	11/15/2027	5.
52517P 2S 9	LEHMAN BROTHERS HLDS EURO MED TERM NTZ		04/01/2021	KEYBANK NA		1,716							0				1,716	1,716		06/15/2027	
52517P 3Y 5	LEHMAN BROS HOLDINGS MED TERM NTS		04/01/2021	KEYBANK NA		1,420							0				1,420	1,420		07/27/2027	
52517P SZ 5	LEHMAN BROTHERS HOLDING NOTES.		04/01/2021	KEYBANK NA		861							0				861	861		11/15/2017	
52519F DJ 7	LEHMAN BROS NOTES SERIES A		04/01/2021	KEYBANK NA		566							0				566	566		12/14/2029	
5252M0 BZ 9	LEHMAN BROS HOLDING INC MED TERM NOTE		04/01/2021	KEYBANK NA		852							0				852	852		01/24/2013	
55316F AC 3	MM FINISHED LOTS HLDGS		04/07/2021	PRINCIPAL PAYMENT		18,108	18,108	19,687	18,414				0		18,414		(306)	(306)		01/31/2024	1.F
55316F AC 3	MM FINISHED LOTS HLDGS		05/04/2021	PRINCIPAL PAYMENT		18,788	18,788	20,428	19,106				0		19,106		(318)	(318)		01/31/2024	1.F
55316F AC 3	MM FINISHED LOTS HLDGS		06/03/2021	PRINCIPAL PAYMENT		17,237	17,237	18,741	17,528				0		17,528		(291)	(291)		01/31/2024	1.F
55317H AA 2	MM FINISHED LOTS HLDGS 3 LLC		06/01/2021	PRINCIPAL		78,546	78,546	78,546	78,546				0		78,546			0	524	11/30/2025	1.
647677 AF 0	NEW ORLEANS NAVY HSG LLC		04/15/2021	CALLED		15,000	15,000	15,379	15,000				0		15,000			0		12/15/2029	1.E
647677 AF 0	NEW ORLEANS NAVY HSG LLC		05/17/2021	PARTIAL CALLED SECURITY		15,000	15,000	15,379	15,000				0		15,000			0		12/15/2029	1.E
647677 AF 0	NEW ORLEANS NAVY HSG LLC		06/15/2021	PARTIAL CALLED SECURITY		10,000	10,000	10,252	10,000				0		10,000			0		12/15/2029	1.E
67420B AA 4	OASIS 2020-1 LLC		04/15/2021	PRINCIPAL PAYMENT		17,353	17,353	17,310	17,310				0		17,310		43	43		10/15/2021	1.A
67420B AA 4	OASIS 2020-1 LLC		05/17/2021	PRINCIPAL PAYMENT		11,607	11,607	11,578	11,578				0		11,578		29	29		10/15/2021	1.A
67420B AA 4	OASIS 2020-1 LLC		06/15/2021	PRINCIPAL PAYMENT		12,236	12,236	12,206	12,206				0		12,206		31	31		10/15/2021	1.A
677071 AF 9	OHANA MILITARY COMMUNITIES LLC BOND SER		04/01/2021	PRINCIPAL PAYMENT		10,668	10,668	10,881	10,735				0		10,735		(67)	(67)		10/01/2026	1.D
677071 AM 4	OHANA MILITARY COMMUNITIES BND SER B 144		04/01/2021	PRINCIPAL PAYMENT		28,522	28,522	30,664	29,257				0		29,257		(735)	(735)		10/01/2026	1.D
69362B AY 8	PSEG POWER LLC SR NT		06/15/2021	CALLED		500,000	500,000	528,270	500,402		(402)		(402)		500,000		(0)	(0)	5,188	09/15/2021	2.A
759351 AJ 8	REINSURANCE GROUP OF AMERICA INC SENIOR		06/01/2021	MATURED		750,000	750,000	835,298	751,430		(1,430)		(1,430)		750,000			0	18,750	06/01/2021	2.A
759351 AJ 8	REINSURANCE GROUP OF AMERICA INC SENIOR		06/01/2021	MATURED		500,000	500,000	554,035	500,753		(753)		(753)		500,000		(0)	(0)	12,500	06/01/2021	2.A
84858D AA 6	SPIRIT AIRLINES INC		04/01/2021	PRINCIPAL PAYMENT		14,486	14,486	14,384	14,410				0		14,410		75	75		04/01/2028	2.A
848712 NY 7	SPOKANE CNTY WASH SCH DIST		04/28/2021	PRINCIPAL		500,000	500,000	558,455	500,000				0		500,000		0	0	11,229	12/01/2029	1.
88315L AJ 7	TEXTAINER MARINE CONTAINERS LIMITED		06/21/2021	PRINCIPAL		22,750	22,750	21,742	21,742				0		21,742		1,008	1,008	76	09/20/2045	1.

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
89657B AA 2	TRINITY RAIL LEASING 2019 LLC	..	06/17/2021.	PRINCIPAL		10,648	10,648	11,167	11,167				0		11,167		(519)	(519)	68	04/17/2023.	1.
89680H AA 0	TRITON CONTAINER FINANCE LLC	..	06/21/2021.	PRINCIPAL		21,250	21,250	20,296	20,296				0		20,296		954	954	71	09/20/2045.	1.
89680H AE 2	TRITON CONTAINER FINANCE LLC	..	06/21/2021.	PRINCIPAL		21,250	21,250	20,885	20,885				0		20,885		365	365	70	03/20/2046.	1.
89690E AC 1	TRIP RAIL MASTER FUNDING LLC	..	06/17/2021.	CALLED		442,896	439,338	463,913	463,912				0		463,912		(21,016)	(21,016)	6,616	07/15/2021.	1.A
89690E AC 1	TRIP RAIL MASTER FUNDING LLC	..	06/17/2021.	CALLED		500,000	500,000	521,401	521,401				0		521,401		(21,401)	(21,401)	7,519	07/15/2021.	1.A
90345W AD 6	US AIRWAYS BOND SER 2012-2 CL A	..	06/03/2021.	PRINCIPAL PAYMENT		11,815	11,815	12,077	11,808				0		11,808		7	7		12/01/2020.	3.A
90931G AA 7	UNITED AIRLINES INC	..	04/15/2021.	PRINCIPAL		12,088	12,088	12,541	12,541				0		12,541		(453)	(453)	178	04/15/2029.	1.
90932P AA 6	UNITED AIR LINES INC	..	04/12/2021.	PRINCIPAL PAYMENT		13,547	13,547	13,649	13,587				0		13,587		(40)	(40)		04/11/2026.	2.A
97064E AA 6	WILLIS ENGINE SECURITIZATION TR	..	06/15/2021.	PRINCIPAL		7,762	7,762	7,861	7,762				0		7,762		(0)	(0)	49	09/15/2043.	1.
97064F AA 3	WILLIS ENGINE SECURITIZATION TRUST	..	06/15/2021.	PRINCIPAL		7,340	7,340	6,853	6,853				0		6,853		487	487	18	03/15/2045.	1.
97064G AA 1	WILLIS ENGINE SECURITIZATION TRUST	..	06/15/2021.	PRINCIPAL		2,277	2,277	2,277	2,277				0		2,277		0	0	9	05/15/2046.	1.
97064G AA 1	WILLIS ENGINE SECURITIZATION TRUST	..	06/15/2021.	PRINCIPAL		1,139	1,139	1,141	1,141				0		1,141		(2)	(2)		05/15/2046.	1.
3899999. Total - Bonds - Industrial and Miscellaneous						8,183,870	8,290,994	8,553,951	8,338,492	0	(3,743)	0	(3,743)	0	8,334,749	0	(150,879)	(150,879)	105,852	XXX	XXX
8399997. Total - Bonds - Part 4						17,298,886	17,406,009	17,805,218	17,590,181	0	(3,741)	0	(3,741)	0	17,586,440	0	(287,554)	(287,554)	159,615	XXX	XXX
8399999. Total - Bonds						17,298,886	17,406,009	17,805,218	17,590,181	0	(3,741)	0	(3,741)	0	17,586,440	0	(287,554)	(287,554)	159,615	XXX	XXX
9999999. Total - Bonds, Preferred and Common Stocks						17,298,886	XXX	17,805,218	17,590,181	0	(3,741)	0	(3,741)	0	17,586,440	0	(287,554)	(287,554)	159,615	XXX	XXX

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DB - Pt. E
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
						6	7	8	
Depository		Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories									
KEY BANK - General Accnt	CLEVELAND OH					18,969,153	23,906,942	22,042,533	XXX
KEY BANK - FCSU Corp Center	CLEVELAND OH					257,550	190,293	179,817	XXX
KEY BANK Investment Sweep	CLEVELAND OH		0.170	1,812		27,929,473	20,838,740	26,331,261	XXX
KEY BANK - Jednota Youth Building Fund	CLEVELAND OH		3.000			360,060	360,060	360,060	XXX
FHLB	CINCINNATI OH		0.020	520		2,490,705	3,747,364	4,865,639	XXX
BANK OF MONTREAL	TORONTO ONTARIO CANADA					221	203	8,553	XXX
KEY BANK - CANADIAN FOREIGN CURRENCY UTS	CLEVELAND OH					870,020	870,020	888,113	XXX
PETTY CASH	CLEVELAND OH					500	500	500	XXX
US BANK - NORTH CAROLINA DEPOSIT	MINNEAPOLIS MN					5,000	5,000	5,000	XXX
UBS CASH ACCOUNT	CLEVELAND OH		0.010	5,967		128,530	128,531	128,532	XXX
0199999. Total Open Depositories		XXX	XXX	8,299	0	51,011,212	50,047,653	54,810,008	XXX
0399999. Total Cash on Deposit		XXX	XXX	8,299	0	51,011,212	50,047,653	54,810,008	XXX
0599999. Total Cash		XXX	XXX	8,299	0	51,011,212	50,047,653	54,810,008	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year

NONE