



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT  
AS OF JUNE 30, 2021  
OF THE CONDITION AND AFFAIRS OF THE  
Dealers Assurance Company

NAIC Group Code 0315 (Current) 0000 (Prior) NAIC Company Code 16705 Employer's ID Number 34-6513705

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935

Statutory Home Office 41 South High Street Suite 1700 Columbus, OH, US 43215  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 15920 Addison Road  
(Street and Number)  
Addison, TX, US 75001 800-282-8913  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 15920 Addison Road Addison, TX, US 75001  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 15920 Addison Road  
(Street and Number)  
Addison, TX, US 75001 800-282-8913  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.dealersassurance.com

Statutory Statement Contact Steven C. Barrett 800-282-8913  
(Name) (Area Code) (Telephone Number)  
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(E-mail Address) (FAX Number)

OFFICERS

President Kristen Anne Gruber Treasurer Linda Marie Toy  
Secretary Joshua Robert Pedelty Assistant Secretary Lisa Aileen Kirk

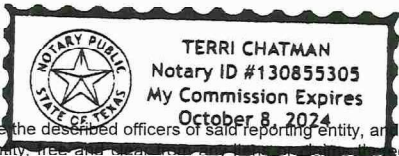
OTHER

Michael Lee Stickney, Managing Director Kirk Alan Borchardt, Executive Vice President Linda Marie Toy, Vice President  
Joshua Robert Pedelty, Vice President

DIRECTORS OR TRUSTEES

<u>Warren Van Genderen</u>	<u>Michael Lee Stickney</u>	<u>Douglas Alexander Carrothers</u>
<u>Denis Yves Ricard</u>	<u>Marilyn Rose Froelich</u>	<u>Douglas Curtis Oksendahl</u>
<u>Shelby Land Peavy</u>	<u>Normand Pepin</u>	<u>Yvon Charest</u>
<u>Ludwig Willisich</u>		

State of Texas SS:  
County of Dallas



The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear of any liens or encumbrances, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen A. Gruber Joshua Robert Pedelty Linda Marie Toy  
Kristen Anne Gruber Joshua Robert Pedelty Linda Marie Toy  
President Secretary Treasurer

Subscribed and sworn to before me this 27 day of July 2021  
Terr Chatman

a. Is this an original filing? .....  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

Yes ☒ No ☐

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 – 2)	
1. Bonds .....	176,702,552		176,702,552	165,250,519
2. Stocks:				
2.1 Preferred stocks .....	569,020		569,020	0
2.2 Common stocks .....	11,343,810		11,343,810	9,057,718
3. Mortgage loans on real estate:				
3.1 First liens .....	186,150		186,150	195,590
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	3,755,873		3,755,873	3,818,465
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ .....23,598,865 ), cash equivalents (\$ .....7,711,683 ) and short-term investments (\$ .....302,159 ) .....	31,612,707		31,612,707	11,717,251
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	100,743		100,743	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	224,270,855	0	224,270,855	190,039,543
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	995,678		995,678	960,583
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	6,282,689		6,282,689	5,989,538
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	365,068		365,068	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	1,869,889	342,248	1,527,641	1,382,518
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	264,504		264,504	96,027
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	1,138,905	0	1,138,905	892,704
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	235,187,588	342,248	234,845,340	199,360,913
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	235,187,588	342,248	234,845,340	199,360,913
DETAILS OF WRITE-INS				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Accounts Receivable .....	1,138,905		1,138,905	892,704
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	1,138,905	0	1,138,905	892,704

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ .....875,890 ) .....	875,890	875,890
2. Reinsurance payable on paid losses and loss adjustment expenses .....		0
3. Loss adjustment expenses .....		
4. Commissions payable, contingent commissions and other similar charges .....	2,163,368	3,480,859
5. Other expenses (excluding taxes, licenses and fees) .....	1,749,346	1,977,534
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	1,818,640	1,524,858
7.1 Current federal and foreign income taxes (including \$ .....41,814 on realized capital gains (losses)) .....	207,472	1,096,897
7.2 Net deferred tax liability .....		
8. Borrowed money \$ ..... and interest thereon \$ .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ .....328,263,532 and including warranty reserves of \$ .....24,265,791 and accrued accident and health experience rating refunds including \$ .....0 for medical loss ratio rebate per the Public Health Service Act) .....	59,525,808	53,704,402
10. Advance premium .....		
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	8,174,926	1,718,343
13. Funds held by company under reinsurance treaties .....	42,221,424	31,496,232
14. Amounts withheld or retained by company for account of others .....	10,434,000	5,894,332
15. Remittances and items not allocated .....	6,844,937	3,093,023
16. Provision for reinsurance (including \$ ..... certified) .....		0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	217,606	317,212
20. Derivatives .....	0	0
21. Payable for securities .....		
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	134,233,417	105,179,582
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	134,233,417	105,179,582
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	4,200,990	4,200,990
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....	3,000,000	3,000,000
34. Gross paid in and contributed surplus .....	9,732,810	9,732,810
35. Unassigned funds (surplus) .....	83,678,123	77,247,531
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	100,611,923	94,181,331
38. Totals (Page 2, Line 28, Col. 3)	234,845,340	199,360,913
DETAILS OF WRITE-INS		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ .....129,141,744 )	93,683,089	74,512,028	163,430,164
1.2 Assumed (written \$ .....6,135,476 )	1,842,869	53,815	288,740
1.3 Ceded (written \$ .....119,663,024 )	85,763,137	66,995,035	143,916,707
1.4 Net (written \$ .....15,614,196 )	9,762,821	7,570,808	19,802,197
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ .....1,901,902 ):			
2.1 Direct	49,300,033	43,195,405	93,529,204
2.2 Assumed	505,566	1,896	22,820
2.3 Ceded	48,049,615	42,341,471	90,415,578
2.4 Net	1,755,984	855,830	3,136,446
3. Loss adjustment expenses incurred			56,618
4. Other underwriting expenses incurred	2,075,506	2,742,830	7,600,212
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	3,831,490	3,598,660	10,793,276
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	5,931,331	3,972,148	9,008,921
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,960,051	1,876,224	3,762,557
10. Net realized capital gains (losses) less capital gains tax of \$ .....96,067	361,396	106,449	34,377
11. Net investment gain (loss) (Lines 9 + 10)	2,321,447	1,982,673	3,796,934
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ ..... amount charged off \$ ..... )	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(233,453)	(88,797)	(307,725)
15. Total other income (Lines 12 through 14)	(233,453)	(88,797)	(307,725)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	8,019,325	5,866,024	12,498,130
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	8,019,325	5,866,024	12,498,130
19. Federal and foreign income taxes incurred	1,847,026	1,760,565	2,894,250
20. Net income (Line 18 minus Line 19)(to Line 22)	6,172,299	4,105,459	9,603,880
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	94,181,330	82,202,503	82,202,503
22. Net income (from Line 20)	6,172,299	4,105,459	9,603,880
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....12,160	45,743	(20,564)	1,037,153
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	244,972	(78,607)	537,472
27. Change in nonadmitted assets	(32,421)	523,571	800,323
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	6,430,593	4,529,859	11,978,828
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	100,611,923	86,732,362	94,181,330
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)		(7,672)	0
1402. Admin/Service Fee Income		92,697	186,749
1403. Interest Expense-Funds held	(233,453)	(173,822)	(494,474)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(233,453)	(88,797)	(307,725)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance .....	21,382,591	9,331,307	37,096,891
2. Net investment income .....	2,360,226	1,971,526	3,954,127
3. Miscellaneous income .....	(233,453)	(88,797)	(307,725)
4. Total (Lines 1 to 3) .....	23,509,364	11,214,036	40,743,293
5. Benefit and loss related payments .....	1,755,984	855,830	2,537,134
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,418,500	3,906,617	3,172,323
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....96,067 tax on capital gains (losses) .....	2,777,251	2,150,197	2,318,492
10. Total (Lines 5 through 9) .....	7,951,735	6,912,644	8,027,949
11. Net cash from operations (Line 4 minus Line 10) .....	15,557,629	4,301,392	32,715,344
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	21,834,147	20,538,408	37,175,610
12.2 Stocks .....	7,844,183	852,645	1,587,655
12.3 Mortgage loans .....	9,440	10,840	18,852
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	493,758	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	29,687,769	21,895,651	38,782,117
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	33,449,915	23,803,380	73,854,060
13.2 Stocks .....	10,004,807	2,940,238	6,420,339
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	19,401	82,187
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	100,743	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	43,555,465	26,763,019	80,356,586
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(13,867,696)	(4,867,368)	(41,574,469)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	(18,992)	(18,992)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	18,205,523	2,588,458	13,737,962
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	18,205,523	2,569,466	13,718,970
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	19,895,456	2,003,490	4,859,845
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	11,717,251	6,857,406	6,857,406
19.2 End of period (Line 18 plus Line 19.1) .....	31,612,707	8,860,896	11,717,251

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies

A. Accounting policy

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2021	2020
<u>Net Income</u>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	\$ 6,172,299	\$ 9,603,880
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	\$ 6,172,299	\$ 9,603,880
<u>Surplus</u>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	\$100,611,923	\$ 94,181,331
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				\$100,611,923	\$ 94,181,331

B. Use of Estimates in Preparation of the Financial Statement

No significant change.

C. Accounting Policy

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. The Company has one first lien mortgage loan on real estate which are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant change.

Note 3 – Business Combinations and Good Will

No significant change.

Note 4 – Discontinued Operations

No significant change.

Note 5 – Investments

D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors
- 2.-4. Not applicable.
5. No impairments exist other than interest rate related declines classified as temporary.

No significant change in other items.

NOTES TO FINANCIAL STATEMENTS

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 – Investment Income

No significant change.

Note 8 – Derivative Instruments

The Company does not own derivative instruments.

Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

		6/30/2021			12/31/2020			Change		
		Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a	Gross Deferred Tax Assets - Actual	\$ 2,604,353	\$ -	\$ 2,604,353	\$ 2,359,687	\$ -	\$ 2,359,687	\$ 244,666	\$ -	\$ 244,666
b	Statutory Valuation Allowance Adjustments	-	-	-	-	-	-	-	-	-
c	Adjusted Gross Deferred Tax Assets	2,604,353	-	2,604,353	2,359,687	-	2,359,687	244,666	-	244,666
d	Deferred Tax Assets Nonadmitted	342,248	-	342,248	309,826	-	309,826	32,422	-	32,422
e	Subtotal Net Admitted Deferred Tax Asset	2,262,105		2,262,105	2,049,861		2,049,861	212,244	-	212,244
f	Deferred Tax Liabilities	261,627	472,837	734,464	261,932	405,411	667,343	(305)	67,426	67,121
g	Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$ 2,000,478	\$ (472,837)	\$ 1,527,641	\$ 1,787,929	\$ (405,411)	\$ 1,382,518	\$ 212,549	\$ (67,426)	\$ 145,123

2. Admission calculation components SSAP No. 101:

	6/30/2021			12/31/2020			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
Federal Income Taxes Paid in Prior Years Recoverable through loss carrybacks [3 year Carryback]	\$ 1,027,635	\$ -	\$ 1,027,635	\$ 929,825	\$ -	\$ 929,825	\$ 97,810	\$ -	\$ 97,810
Adjusted Gross Deferred Tax Assets Expected to Be Realized (Excluding the amount of									
b Deferred Tax Assets from 2 (a) After Application of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below)	500,017		500,017	451,117		451,117	48,900		48,900
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	500,017	-	500,017	451,117	-	451,117	48,900	-	48,900
2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshold	XXX	XXX	14,862,642	XXX	XXX	14,080,726	XXX	XXX	781,916
Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	734,463	-	734,463	668,919	-	668,919	65,544	-	65,544
Deferred Tax Assets Admitted as the result of application of SSAP 101									
d Total (2(a) + 2(b) + 2 (c ))	\$ 2,262,115	\$ -	\$ 2,262,115	\$ 2,049,861	\$ -	\$ 2,049,861	\$ 212,254	\$ -	\$ 212,254

3.	2021	2020
a Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount	1054%	987%
Amount of Adjusted Capital and Surplus Used		
b to Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	99,084,282	92,798,813

4. Impact of Tax Planning Strategies:

	6/30/2021			12/31/2020			Change		
	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent
a Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	100%	0%	100%	129%	-29%	100%	-29%	29%	0%
c Does the Company's tax-planning strategies include the use of Reinsurance? Yes_____X_____ No_____									

B. Deferred tax liabilities not recognized at June 30, 2021 and December 31, 2020 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

## NOTES TO FINANCIAL STATEMENTS

C. The provisions for incurred tax on earnings are as follows:

	6/30/2021	12/31/2020	Change
1. Current Income Tax:			
Federal	\$ 1,847,026	\$ 2,894,250	\$ (1,047,224)
Foreign	-	-	-
Subtotal	1,847,026	2,894,250	(1,047,224)
Federal income tax on net capital gains	96,067	9,139	86,928
Federal and foreign income taxes incurred	<u>\$ 1,943,093</u>	<u>\$ 2,903,389</u>	<u>\$ (960,296)</u>
2. Deferred Tax Assets:			
<u>Ordinary</u>			
Discounting of unpaid losses	\$ 27,591	\$ 27,591	-
Unearned premium reserve	2,500,085	2,255,585	244,500
Investments	76,677	76,511	166
Subtotal	2,604,353	2,359,687	244,666
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	342,248	309,826	32,422
Admitted ordinary deferred tax assets	<u>2,262,105</u>	<u>2,049,861</u>	<u>212,244</u>
<u>Capital</u>			
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	-	-	-
Admitted capital deferred tax assets	<u>-</u>	<u>-</u>	<u>-</u>
Admitted deferred tax assets	<u>\$ 2,262,105</u>	<u>\$ 2,049,861</u>	<u>\$ 212,244</u>
Deferred Tax Liabilities:			
<u>Ordinary</u>			
Fixed assets	261,627	261,932	(305)
Subtotal	<u>\$ 261,627</u>	<u>\$ 261,932</u>	<u>\$ (305)</u>
<u>Capital</u>			
Investments	472,837	405,411	67,426
Subtotal	<u>472,837</u>	<u>405,411</u>	<u>67,426</u>
Deferred Tax Liabilities	<u>734,464</u>	<u>667,343</u>	<u>67,121</u>
Net deferred tax assets/liabilities	<u>\$ 1,527,641</u>	<u>\$ 1,382,518</u>	<u>\$ 145,123</u>

D. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus as of the period ended was comprised of the following:

	6/30/2021	12/31/2020	Change
Adjusted gross deferred tax assets	\$ 2,604,353	\$ 2,359,687	\$ 244,666
Total deferred tax liabilities	734,464	667,343	67,121
Net deferred tax assets (liabilities)	<u>1,869,889</u>	<u>1,692,344</u>	<u>177,545</u>
Tax effect of unrealized gains (losses)			12,160
Change in net deferred income tax			<u>\$ 189,705</u>

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended June 30, 2021 and December 31, 2020, differed from the amounts computed by applying the federal statutory rate of 21% in 2021 and in 2020 to pretax net gain or loss from operations as a result of the following:

	6/30/2021	12/31/2020
Pretax net income (loss)	\$ 8,019,325	\$ 12,507,269
Provision computed at statutory rate	1,684,058	2,626,526
Prior period over accrual	-	(300,420)
Other	69,331	39,811
Total	<u>\$ 1,753,389</u>	<u>\$ 2,365,917</u>
Federal and foreign income taxes incurred	1,847,026	2,894,250
Federal Income tax on net capital gains	96,067	9,139
Change in net deferred income taxes	<u>(189,705)</u>	<u>(537,472)</u>
Total statutory income tax	<u>\$ 1,753,388</u>	<u>\$ 2,365,917</u>

E. At June 30, 2021, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

F. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities**

No significant change.

**Note 11 – Debt**

The Company does not have any outstanding debt.

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other**

No significant change.

**Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

No significant change.

**Note 14 – Liabilities, Contingencies and Assessments**

No significant change.

**Note 15 – Leases**

No significant change.

**Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant change.

**Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company does not have any sales or transfers to report.

**Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

**Note 19 – Direct Premium Written/Produced by Managing General Agents**

No significant change.

**Note 20 – Fair Value Measurements**

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three level fair value hierarchy as reflected in the tables below. The three level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

NOTES TO FINANCIAL STATEMENTS

A. Securities measured and reported at fair value as of June 30, 2021:

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value					
Cash Equivalents					
Exempt MM Fund	\$ 75,053	\$ -	\$ -	\$ -	\$ 75,053
Other MM Fund	7,636,630	-	-	-	7,636,630
Total Cash Equivalent	7,711,683	-	-	-	7,711,683
Bonds					
SVO Identified Funds	1,579,448	-	-	-	1,579,448
Common Stock:					
Indust. & Misc	6,592,395	-	-	-	6,592,395
Mutual Funds	4,751,415	-	-	-	4,751,415
Total Common Stock	11,343,810	-	-	-	11,343,810
Preferred Stock:					
Indust. & Misc	569,020	-	-	-	569,020
Derivative assets	-	-	-	-	-
Separate account assets	-	-	-	-	-
Total assets at fair value	21,203,961	-	-	-	21,203,961
Liabilities at fair value	-	-	-	-	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

B. Other fair value disclosures  
Not applicable

C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.

At June 30, 2021

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 181,883,061	\$ 176,702,552	\$ 27,729,381	\$ 154,153,680	\$ -	\$ -
Preferred Stock	569,020	569,020	569,020	-	-	-
Common Stock	11,343,810	11,343,810	11,343,810	-	-	-
Mortgage loans	-	186,150	-	-	-	186,150
Cash , cash equivalents and short-term investments	31,612,707	31,612,707	31,612,707	-	-	-

At December 31, 2020

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 173,162,547	\$ 165,250,519	\$ 31,798,457	\$ 141,364,090	\$ -	\$ -
Preferred Stock	-	-	-	-	-	-
Common Stock	9,057,718	9,057,718	9,057,718	-	-	-
Mortgage loans	-	195,590	-	-	-	195,590
Cash , cash equivalents and short-term investments	11,717,251	11,717,251	11,717,251	-	-	-

D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans				
DAC 002 Mokena, IL	186,150	4%	9/1/2029	See paragraph above

E. No investments are measured at NAV.

Note 21 – Other Items

No significant change.

Note 22 – Subsequent Events

No significant change.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 23 – Reinsurance**

No significant change.

**Note 24 – Retrospectively Rated Contracts & Subject to Redetermination**

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

**Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses**

Reserves as of December 31, 2020 were \$875,890. As of June 30, 2021, \$727,969 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$145,921 of favorable loss development in prior years.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date.

**Note 26 – Intercompany Pooling Arrangements**

Not applicable.

**Note 27 – Structure Settlements**

Not applicable.

**Note 28 – Health Care Receivables**

Not applicable.

**Note 29 – Participating Policies**

Not applicable.

**Note 30 – Premium Deficiency Reserves**

No significant change.

**Note 31 – High Deductibles**

Not applicable.

**Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses**

Not applicable.

**Note 33 – Asbestos/Environmental Reserves**

Not applicable.

**Note 34 – Subscriber Savings Accounts**

Not applicable.

**Note 35 – Multiple Peril Crop Insurance**

Not applicable.

**Note 36 – Financial Guaranty Insurance**

The Company does not write financial guaranty insurance.

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒

1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☒ No ☐

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  
If yes, complete and file the merger history data file with the NAIC.

Yes ☐ No ☒

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes ☐ No ☒ N/A ☐

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2018

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2018

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/02/2019

6.4

By what department or departments?  
Ohio Insurance Department

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☐ No ☐ N/A ☒

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☒ No ☐

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the US	Quebec, Canada	NO	NO	NO	NO

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ X ] No [ ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....264,504

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....   |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....   |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....   |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....   |
| 14.26 All Other .....   | \$ .....0   | \$ .....   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....  | \$ .....   |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ] N/A [ ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0
- 16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	Cincinnati, OH .....
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, VA .....
Citibank, NA .....	New York, NY .....
RBS Citizens, NA .....	Providence, RI .....
Conduent State & Local Solutions .....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....
First National Bankers Bank .....	Little Rock, AR .....
Ameritrade .....	Omaha, NE .....
Umpqua Bank .....	San Diego, CA .....
.....	.....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions) .....	A.....
Asset Allocation & MGMT. Co. ....	U.....
Bank of NY Wealth Mgmt. ....	U.....
San Luis Wealth Advisors, LLC .....	U.....
.....	.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
Exempt .....	Industrial Alliance Investment Management Inc. (make investment decisions) .....	N/A .....	N/A .....	DS.....
109875 .....	Asset Allocation & MGMT. Co. ....	549300DSCHEVIV5W3U963 .....	Securities Exchange Commission .....	NO.....
8275 .....	Bank of NY Wealth Mgmt. ....	N/A .....	Office of Controller of Currency .....	NO.....
309506 .....	San Luis Wealth Advisors, LLC .....	N/A .....	Securities Exchange Commission .....	NO.....
.....	.....	.....	.....	.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? .....  
If yes, attach an explanation.

Yes [ ] No [ ] N/A [ X ]
2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? .....  
If yes, attach an explanation.

Yes [ ] No [ X ]
- 3.1

Have any of the reporting entity's primary reinsurance contracts been canceled? .....

Yes [ ] No [ X ]
- 3.2

If yes, give full and complete information thereto.
- 4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of " tabular reserves" ) discounted at a rate of interest greater than zero? .....

Yes [ ] No [ X ]
- 4.2

If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5.

Operating Percentages:
- 5.1

A&H loss percent .....

%
- 5.2

A&H cost containment percent .....

%
- 5.3

A&H expense percent excluding cost containment expenses .....

%
- 6.1

Do you act as a custodian for health savings accounts? .....

Yes [ ] No [ X ]
- 6.2

If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....
- 6.3

Do you act as an administrator for health savings accounts? .....

Yes [ ] No [ X ]
- 6.4

If yes, please provide the balance of the funds administered as of the reporting date .....\$.....
7.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....

Yes [ X ] No [ ]
- 7.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .....

Yes [ ] No [ ]

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

[illegible]

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

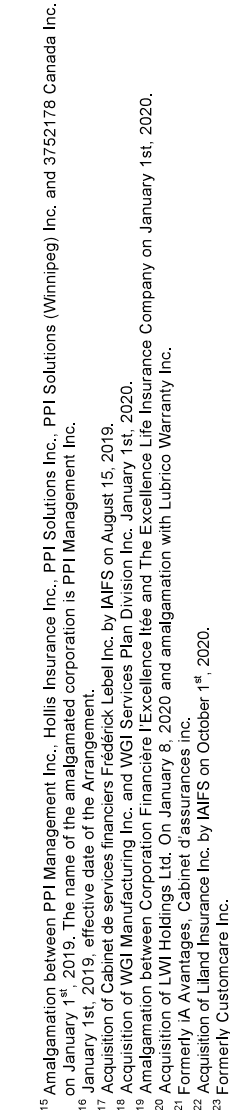
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama.....AL	L	1,312,819	1,328,963	657,431	475,530	9,558	5,695
2. Alaska.....AK	L	95,633	92,735	31,649	32,115		0
3. Arizona.....AZ	L	262,889	345,670	169,766	174,753	17	0
4. Arkansas.....AR	L	1,100,838	1,439,645	773,632	671,932	127,534	0
5. California.....CA	L	1,290,352	1,406,589	370,158	699,229	10,899	54,223
6. Colorado.....CO	L	5,715,495	5,216,787	2,033,912	2,606,309	225,914	186,120
7. Connecticut.....CT	L	293,706	325,431	157,150	229,255		0
8. Delaware.....DE	L	2,220,232	1,316,802	858,653	2,365,082	269,304	357,365
9. District of Columbia.....DC	L		0		0		0
10. Florida.....FL	L	5,924,416	3,615,718	2,353,482	2,615,947	617,573	538,368
11. Georgia.....GA	L	1,335,802	1,566,622	1,117,667	1,068,232	13,536	8,917
12. Hawaii.....HI	L		0		0		0
13. Idaho.....ID	L	33,403	147,846	1,252	91,118	0	12,325
14. Illinois.....IL	L	1,698,674	1,569,053	786,245	435,103	72	1,615
15. Indiana.....IN	L	1,684,988	1,567,542	855,135	746,157		0
16. Iowa.....IA	L	533,135	484,255	307,067	235,672		0
17. Kansas.....KS	L	165,035	101,414	33,126	35,302	14,543	0
18. Kentucky.....KY	L	674,990	666,946	391,600	236,093	13,028	18,852
19. Louisiana.....LA	L	1,488,607	1,492,621	870,401	878,413	267,883	0
20. Maine.....ME	L	573,506	591,388	279,403	349,351		0
21. Maryland.....MD	L	627,505	734,732	454,443	509,556		0
22. Massachusetts.....MA	L	329,602	307,573	134,092	155,906		0
23. Michigan.....MI	L	4,516,165	3,977,328	2,945,779	2,982,698		0
24. Minnesota.....MN	L	1,129,283	817,978	751,777	602,080		0
25. Mississippi.....MS	L	1,138,475	1,409,525	513,250	583,021		0
26. Missouri.....MO	L	1,288,984	1,480,818	638,117	698,170		0
27. Montana.....MT	L	58,395	124,157	22,233	83,546	0	8,027
28. Nebraska.....NE	L	111,568	286,736	(25,890)	128,079	50,039	11,978
29. Nevada.....NV	L	32,585	92,278	57,575	83,433		0
30. New Hampshire.....NH	L	131,120	197,453	59,832	64,813		0
31. New Jersey.....NJ	L	1,329,601	1,181,902	545,980	447,426	1,682	0
32. New Mexico.....NM	L	690,390	1,311,631	247,538	171,742		0
33. New York.....NY	L	2,156,294	2,192,614	1,580,158	1,545,374		0
34. North Carolina.....NC	L	11,185,325	7,528,029	6,970,464	3,733,412	1,023,649	848,035
35. North Dakota.....ND	L	56,773	26,708	28,935	26,879		0
36. Ohio.....OH	L	23,597,071	13,667,049	4,426,746	2,836,986	406,670	120,329
37. Oklahoma.....OK	L	542,345	310,403	174,151	149,830	47,788	4,949
38. Oregon.....OR	L	195,076	202,852	100,628	38,739	0	0
39. Pennsylvania.....PA	L	3,022,142	2,080,475	1,008,145	939,233	3,405	0
40. Rhode Island.....RI	L	136,088	195,579	78,029	52,314		0
41. South Carolina.....SC	L	279,492	325,397	138,478	59,530	149	0
42. South Dakota.....SD	L	47,664	62,336	33,448	38,900		0
43. Tennessee.....TN	L	1,813,260	1,535,704	758,856	641,595	11,634	414
44. Texas.....TX	L	24,585,784	17,819,881	10,179,635	9,017,662	4,851,221	4,897,981
45. Utah.....UT	L	47,878	14,512	24,344	4,501	0	
46. Vermont.....VT	L	75,927	95,482	57,422	37,170		0
47. Virginia.....VA	L	655,427	662,074	327,399	320,441		0
48. Washington.....WA	L	21,407,563	6,906,542	4,385,777	2,851,486	1,002,873	603,017
49. West Virginia.....WV	L	592,720	459,487	246,858	187,705	17,684	0
50. Wisconsin.....WI	L	921,595	812,788	366,623	245,679	2,207	0
51. Wyoming.....WY	L	35,127	62,595	21,482	11,907	13	6
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. U.S. Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	129,141,743	90,158,645	49,300,032	43,195,406	8,988,875	7,678,216
DETAILS OF WRITE-INS							
58001.	XXX		0		0		0
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....	51	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	6



<sup>1</sup> Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.

<sup>2</sup> Formerly Seaboard Marketing Inc.

<sup>3</sup> Formerly Industrial Alliance General Insurance Company.

<sup>4</sup> Formerly Sociétés d'Investissements L'Excellence Ltée.

<sup>5</sup> Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.

<sup>6</sup> Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1<sup>st</sup>, 2014.

<sup>7</sup> Acquisition of CTL Corp. by IAIFS on October 1<sup>st</sup>, 2015.

<sup>8</sup> Acquisition of Groupe PPP by IAIFS on November 18, 2016.

<sup>9</sup> Amalgamation of IA Avantages Cabinets d'assurances Inc. and Solicour Inc. on March 1<sup>st</sup>, 2017.

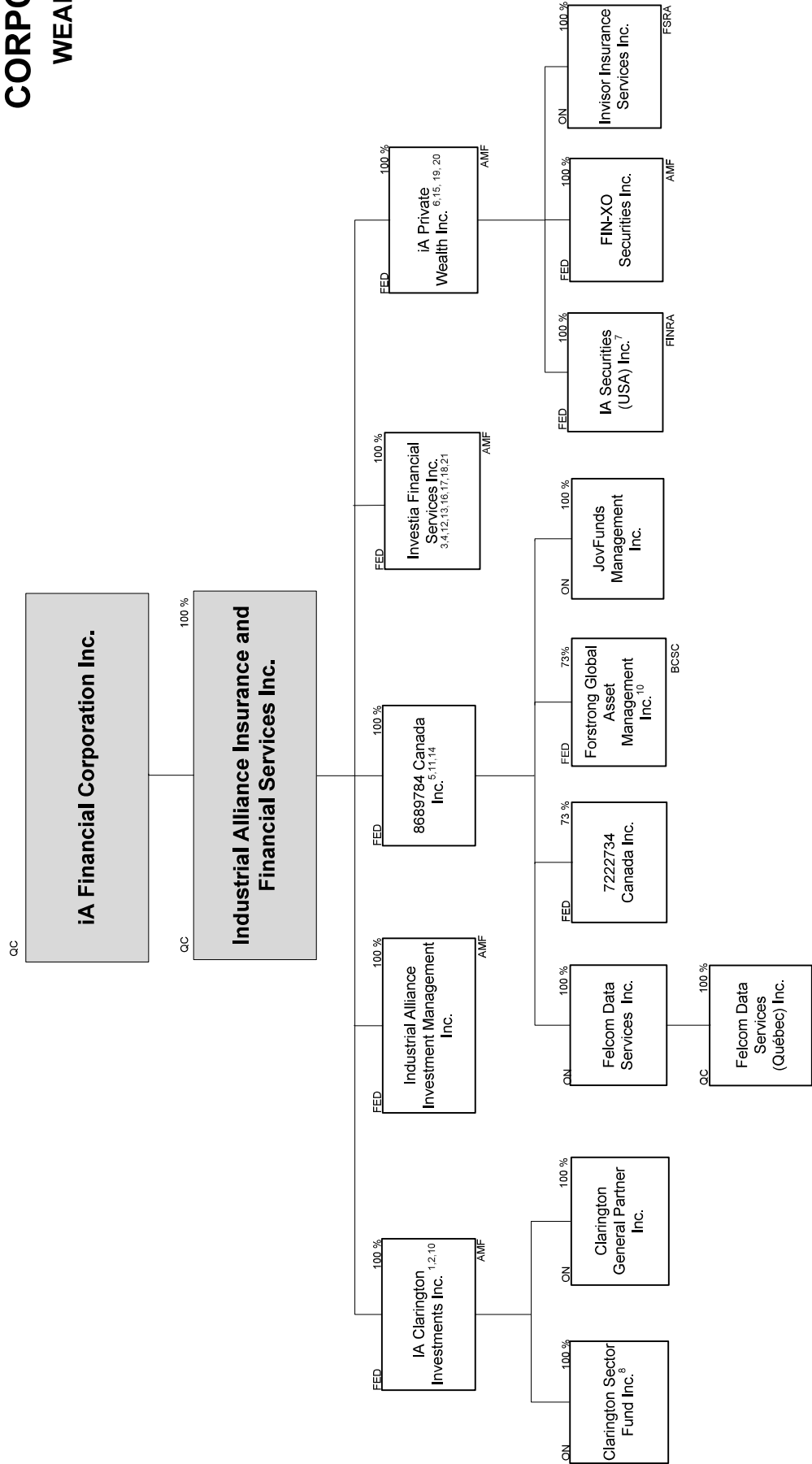
<sup>10</sup> Incorporation of Return to Prime Ltd. on February 16, 2017. 50% owned by CTL Corp. on March 1<sup>st</sup>, 2017.

<sup>11</sup> CTL Corp. changed its name to IA Auto Finance Inc. on June 19, 2017.

<sup>12</sup> Acquisition of PPI Management Inc. by IAIFS on February 26, 2018

<sup>13</sup> Incorporated by IAIFS on February 20, 2018.

<sup>14</sup> Amalgamation between IA Avantages, Cabinet d'assurance Inc, Premier Plan Assurance Inc. et al. The name of the amalgamated corporation is IA Avantages, Cabinet d'assurance inc.



<sup>1</sup> Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1<sup>st</sup>, 2009.

<sup>2</sup> Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1<sup>st</sup>, 2011.

<sup>3</sup> Acquisition of National Financial Corporation on July 1<sup>st</sup>, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.

<sup>4</sup> Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1<sup>st</sup>, 2009.

<sup>5</sup> Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1<sup>st</sup>, 2014.

<sup>6</sup> Amalgamation of Jovian Financial Securities Inc. and MGI Securities Inc. on April 1<sup>st</sup>, 2014.

<sup>7</sup> Formerly MGI Securities (USA) Inc.

<sup>8</sup> Amalgamation of Clarington Sector Fund Inc. and JoyCorporate Funds Ltd. On November 28, 2014.

<sup>9</sup> Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1<sup>st</sup>, 2015.

<sup>10</sup> Formerly Hahn Investment Stewards & Company Inc.

<sup>11</sup> Formerly Jovian Capital Corporation.

<sup>12</sup> Acquisition of Les Services financiers Planifax inc. by Investia on January 1<sup>st</sup>, 2016.

<sup>13</sup> Amalgamation of Investia Financial Services and Planifax on January 1<sup>st</sup>, 2016.

<sup>14</sup> Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.

<sup>15</sup> Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1<sup>st</sup>, 2016.

<sup>16</sup> Acquisition of Byrns Holdings Inc. and Christeph Holdings Inc. by Investia Financial Services Inc. on December 1<sup>st</sup>, 2016.

<sup>17</sup> Liquidation of Byrns Holdings Inc., Christeph Holdings Inc., Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15, 2016 and Winding up of Byrns, Christeph, Roybyrns and G.A.P. on December 31<sup>st</sup>, 2016.

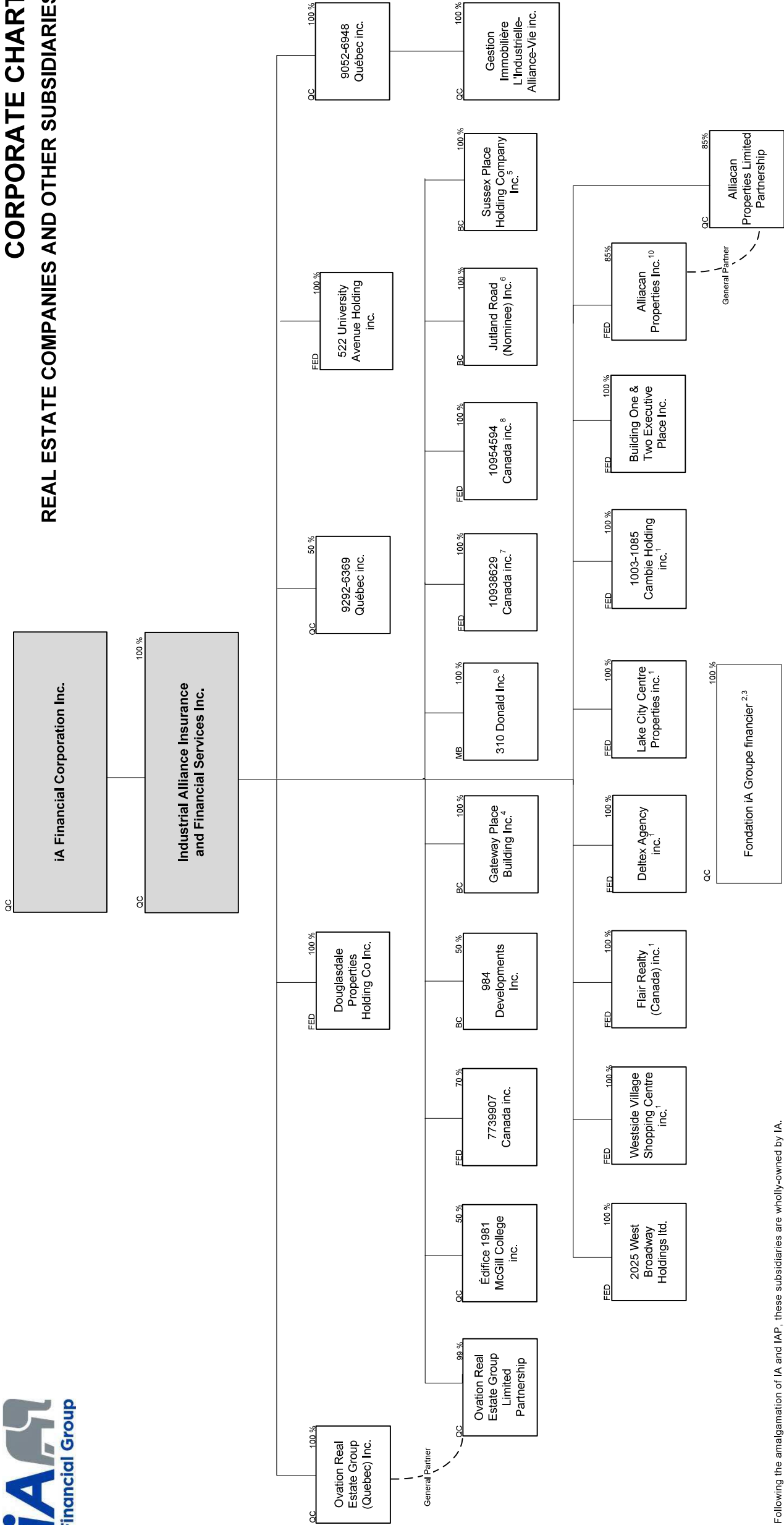
<sup>18</sup> Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.

<sup>19</sup> Formerly Industrial Alliance Securities Inc.

<sup>20</sup> Amalgamation of iA Private Wealth Inc., Invisor Financial Inc and Invisor Management Inc. on April 1<sup>st</sup>, 2021.

<sup>21</sup> Amalgamation of FundEx Investments Inc. and Investia Financial Services Inc. on July 1<sup>st</sup>, 2021.

CORPORATE CHART  
REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES



<sup>1</sup> Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.

<sup>2</sup> Non-profit organization with charitable status.

<sup>3</sup> Formerly Industrial Alliance Foundation for Learning a Second Language

<sup>4</sup> Formerly Bosa Properties (Gateway-Comm) Inc.

<sup>5</sup> Incorporated by IAIFS on November 1<sup>st</sup>, 2017.

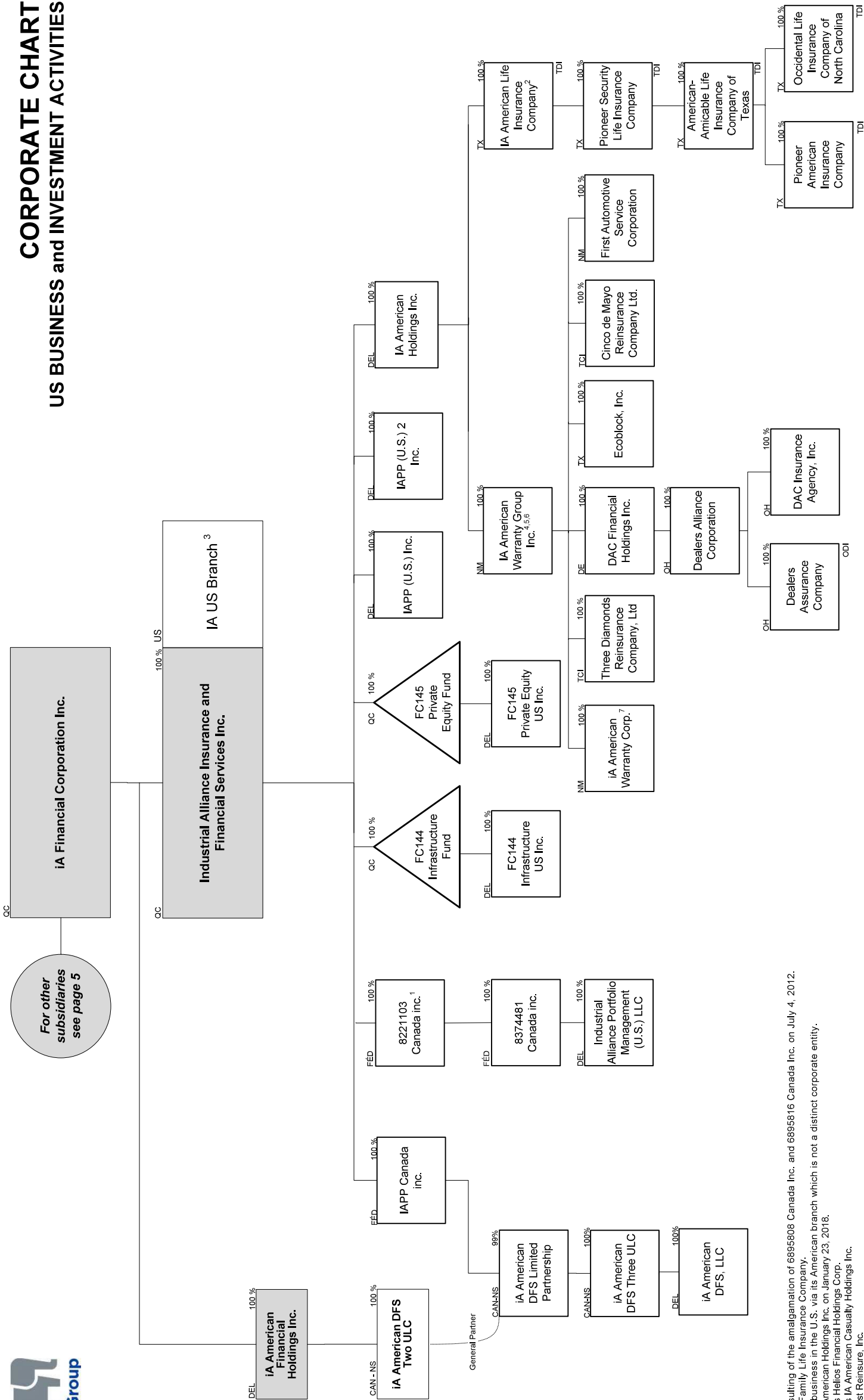
<sup>6</sup> Purchased by IAIFS on November 15, 2017.

<sup>7</sup> Incorporated by IAIFS on August 9, 2018.

<sup>8</sup> Incorporated by IAIFS on August 21, 2018.

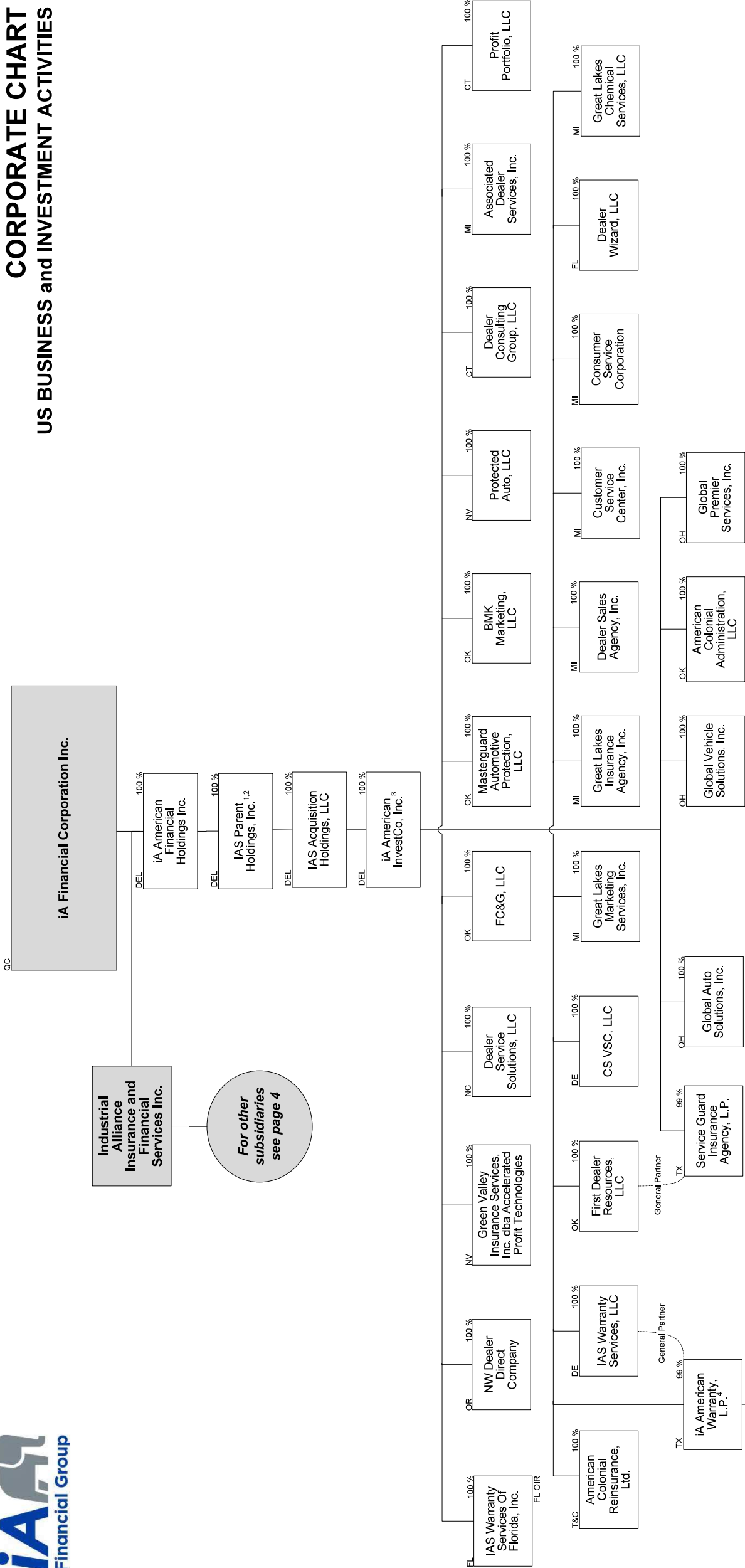
<sup>9</sup> Purchased by IAIFS on October 31, 2018.

<sup>10</sup> Incorporated by IAIFS on August 20, 2019.

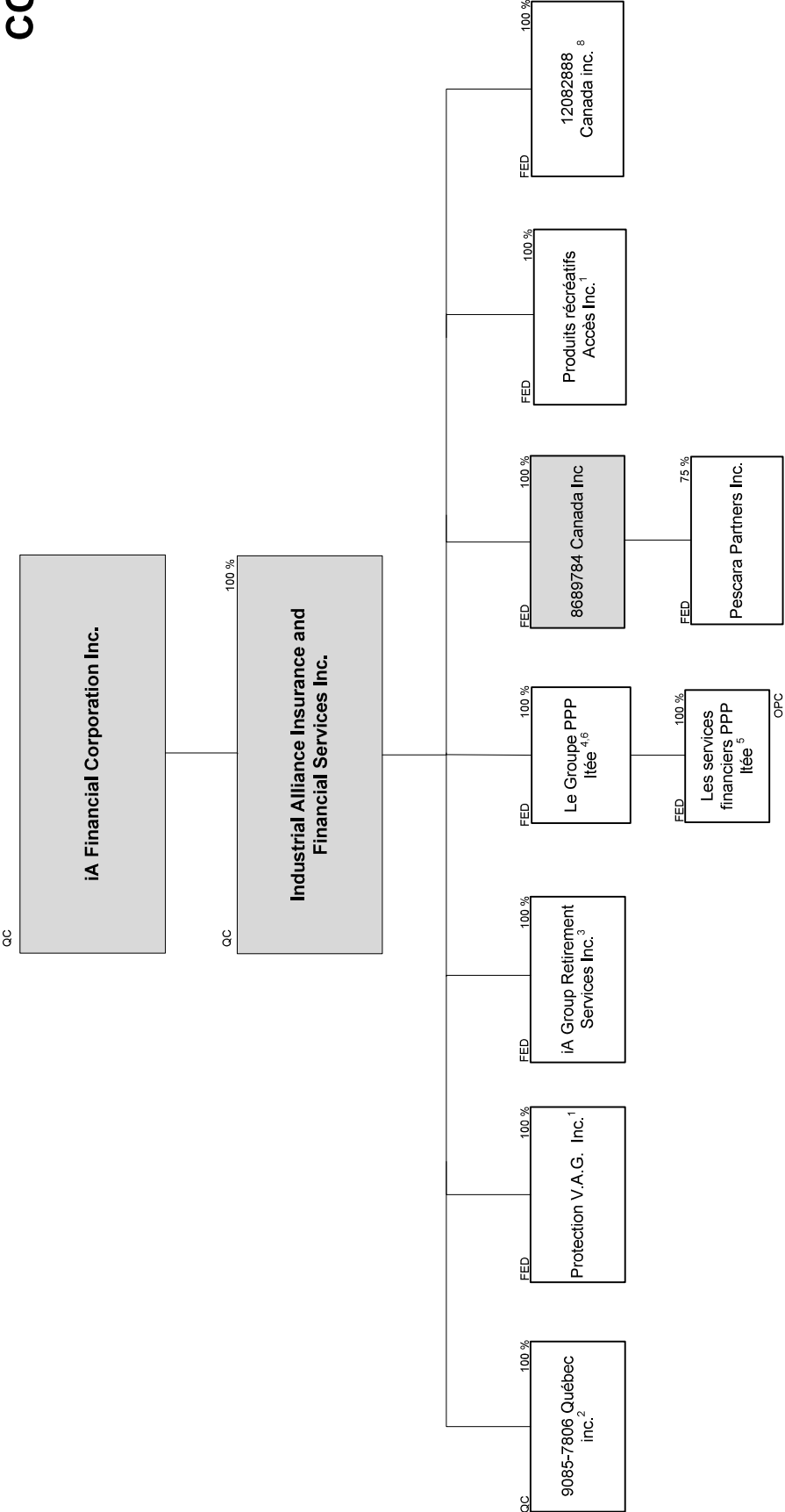


For other subsidiaries see page 5

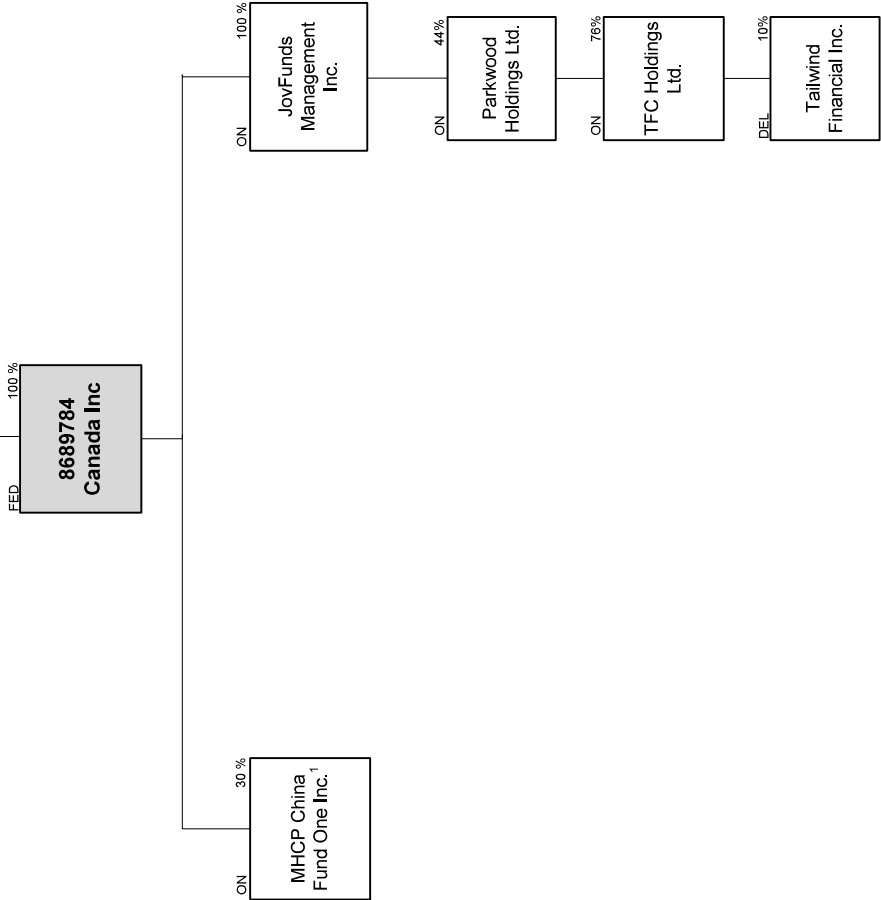
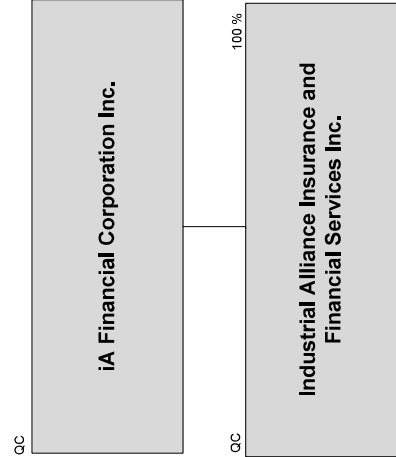
<sup>1</sup> New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.  
<sup>2</sup> Formerly United Family Life Insurance Company.  
<sup>3</sup> IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.  
<sup>4</sup> Acquisition by IA American Holdings Inc. on January 23, 2018.  
<sup>5</sup> Formerly known as Helios Financial Holdings Corp.  
<sup>6</sup> Formerly known as IA American Casualty Holdings Inc.  
<sup>7</sup> Formerly Southwest Reinsure, Inc.



CORPORATE CHART  
WINDING UP



<sup>1</sup> Liquidated in iAIFS on July 1<sup>st</sup>, 2013.  
<sup>2</sup> Liquidated in iAIFS on February 15, 2017.  
<sup>3</sup> Liquidated in iAIFS on December 17, 2018.  
<sup>4</sup> Acquisition of Groupe PPP by iAIFS on November 18, 2016.  
<sup>5</sup> Liquidated in Le Groupe PPP Itée on April 1<sup>st</sup>, 2019.  
<sup>6</sup> Liquidated in iAIFS on April 1<sup>st</sup>, 2019.  
<sup>7</sup> Liquidated in iAIFS on May 28<sup>th</sup>, 2020.



**CORPORATE CHART**  
**JOVIAN GROUP'S MINORITY HOLDINGS**

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Rela-tion-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
..0315 .....	Industrial Alliance GRP .....	..00000 .....	00-0000000 .....	.....	.....	TSX .....	Industrial Alliance Insurance and Financial Services Inc. ....	..CAN .....	..UIP.....	Publicly Traded .....	Ownership/Management/Board of Directors .....	.....	Industrial Alliance Ins. & Fin. Srv., Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..14406 .....	98-0018913 .....	.....	.....	.....	Industrial Alliance Insurance and Financial Services – USB .....	..TX .....	..IA .....	Financial Services Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	27-2524532 .....	.....	.....	.....	IA American Holdings .....	..DE .....	..UDP.....	Industrial Alliance Insurance and Financial Services – USB .....	Ownership.....	.....	Industrial Alliance Ins. & Fin. Srv., Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	85-0479228 .....	.....	.....	.....	IA American Warranty Group Inc. ....	..NM .....	..UIP.....	IA American Holdings Inc. ....	Ownership.....	.....	Industrial Alliance Ins. & Fin. Srv., Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	20-0833446 .....	.....	.....	.....	DAC Financial Holdings, Inc. ....	..DE .....	..UIP.....	IA American Warranty Group Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	31-0906655 .....	.....	.....	.....	Dealers Alliance Corp. ....	..OH .....	..UDP.....	DAC Financial Holdings, Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..16705 .....	34-6513705 .....	.....	.....	.....	Dealers Assurance Company .....	..OH .....	..IA .....	Dealers Alliance Corp. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	31-0908416 .....	.....	.....	.....	DAC Insurance Agency, Inc. ....	..OH .....	..IA .....	Dealers Alliance Corp. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	46-2008908 .....	.....	.....	.....	DAC Solutions, Inc. ....	..TX .....	..NIA.....	Dealers Alliance Corp. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	85-0339432 .....	.....	.....	.....	Southwest Reinsure, Inc. ....	..NM .....	..NIA.....	IA American Casualty Holdings Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	98-0231706 .....	.....	.....	.....	Three Diamonds Reinsurance Co., LTD .....	..TCA .....	..IA .....	IA American Casualty Holdings Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	42-1563507 .....	.....	.....	.....	Ecoblock, Inc. ....	..TX .....	..NIA.....	IA American Casualty Holdings Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	98-0516902 .....	.....	.....	.....	Cinco de Mayo Reinsurance Co., LTD .....	..TCA .....	..IA .....	IA American Casualty Holdings Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..00000 .....	91-2020119 .....	.....	.....	.....	First Automotive Service Corp. ....	..NM .....	..NIA.....	IA American Casualty Holdings Inc. ....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..91693 .....	13-3036472 .....	.....	.....	.....	IA American Life Insurance Company .....	..TX .....	..IA .....	IA American Holdings Inc. ....	Ownership.....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..67946 .....	75-1083342 .....	.....	.....	.....	Pioneer Security Life Insurance Company .....	..TX .....	..IA .....	IA American Life Insurance Company .....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..68594 .....	74-2179909 .....	.....	.....	.....	American Amicable Life Ins. Co. of Texas .....	..TX .....	..IA .....	Pioneer Security Life Insurance Company .....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..67148 .....	56-0343440 .....	.....	.....	.....	Occidental Life Insurance Co. of North Carolina .....	..TX .....	..IA .....	American Amicable Life Insurance Co. of Texas .....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
..0315 .....	Industrial Alliance GRP .....	..67873 .....	75-0914374 .....	.....	.....	.....	Pioneer American Insurance Company .....	..TX .....	..IA .....	American Amicable Life Insurance Co. of Texas .....	Ownership/Management/Board of Directors .....	.....	Inc. ....	..N.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....

Asterisk	Explanation

PART 1 - LOSS EXPERIENCE

Line of Business		Current Year to Date			4 Prior Year to Date Direct Loss Percentage
		1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1.	Fire .....			0.0	0.0
2.	Allied Lines .....			0.0	0.0
3.	Farmowners multiple peril .....			0.0	0.0
4.	Homeowners multiple peril .....			0.0	0.0
5.	Commercial multiple peril .....			0.0	0.0
6.	Mortgage guaranty .....			0.0	0.0
8.	Ocean marine .....			0.0	0.0
9.	Inland marine .....			0.0	0.0
10.	Financial guaranty .....			0.0	0.0
11.1	Medical professional liability - occurrence .....			0.0	0.0
11.2	Medical professional liability - claims-made .....			0.0	0.0
12.	Earthquake .....			0.0	0.0
13.	Group accident and health .....			0.0	0.0
14.	Credit accident and health .....			0.0	0.0
15.	Other accident and health .....			0.0	0.0
16.	Workers' compensation .....			0.0	0.0
17.1	Other liability - occurrence .....	6,444,755	3,918,376	60.8	68.6
17.2	Other liability - claims-made .....			0.0	0.0
17.3	Excess workers' compensation .....			0.0	0.0
18.1	Products liability - occurrence .....			0.0	0.0
18.2	Products liability - claims-made .....			0.0	0.0
19.1,19.2	Private passenger auto liability .....			0.0	0.0
19.3,19.4	Commercial auto liability .....			0.0	0.0
21.	Auto physical damage .....			0.0	0.0
22.	Aircraft (all perils) .....			0.0	0.0
23.	Fidelity .....			0.0	0.0
24.	Surety .....			0.0	0.0
26.	Burglary and theft .....			0.0	0.0
27.	Boiler and machinery .....			0.0	0.0
28.	Credit .....			0.0	0.0
29.	International .....			0.0	0.0
30.	Warranty .....	87,238,334	45,381,657	52.0	56.5
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35.	Totals .....	93,683,089	49,300,033	52.6	58.0
DETAILS OF WRITE-INS					
3401.	.....				
3402.	.....				
3403.	.....				
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business		1	2	3
		Current Quarter	Current Year to Date	Prior Year Year to Date
1.	Fire .....	0		
2.	Allied Lines .....	0		
3.	Farmowners multiple peril .....	0		
4.	Homeowners multiple peril .....	0		
5.	Commercial multiple peril .....	0		
6.	Mortgage guaranty .....	0		
8.	Ocean marine .....	0		
9.	Inland marine .....	0		
10.	Financial guaranty .....	0		
11.1	Medical professional liability - occurrence .....	0		
11.2	Medical professional liability - claims-made .....	0		
12.	Earthquake .....	0		
13.	Group accident and health .....	0		
14.	Credit accident and health .....	0		
15.	Other accident and health .....	0		
16.	Workers' compensation .....	0		
17.1	Other liability - occurrence .....	5,349,086	9,825,006	6,409,624
17.2	Other liability - claims-made .....	0		
17.3	Excess workers' compensation .....	0		
18.1	Products liability - occurrence .....	0		
18.2	Products liability - claims-made .....	0		
19.1,19.2	Private passenger auto liability .....	0		
19.3,19.4	Commercial auto liability .....	0		
21.	Auto physical damage .....	0		
22.	Aircraft (all perils) .....	0		
23.	Fidelity .....	0		
24.	Surety .....	0		
26.	Burglary and theft .....	0		
27.	Boiler and machinery .....	0		
28.	Credit .....	0		
29.	International .....	0		
30.	Warranty .....	65,839,429	119,316,738	83,749,021
31.	Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32.	Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33.	Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34.	Aggregate write-ins for other lines of business .....	0	0	0
35.	Totals .....	71,188,515	129,141,744	90,158,645
DETAILS OF WRITE-INS				
3401.	.....			
3402.	.....			
3403.	.....			
3498.	Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499.	Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13	
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2021 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)	
1. 2018 + Prior .....			0		1	1				0	0	1	1	
2. 2019 .....			0		1	1				0	0	1	1	
3. Subtotals 2019 + Prior .....	0	0	0	0	2	2	0	0	0	0	0	2	2	
4. 2020 .....		876	876	73	655	728				0	73	(221)	(148)	
5. Subtotals 2020 + Prior .....	0	876	876	73	657	730	0	0	0	0	73	(219)	(146)	
6. 2021 .....	XXX	XXX	XXX	XXX	1,026	1,026	XXX		876	876	XXX	XXX	XXX	
7. Totals .....	0	876	876	73	1,683	1,756	0	0	876	876	73	(219)	(146)	
8. Prior Year-End Surplus As Regards Policyholders	94,181											Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
												1. 0.0	2. (25.0)	3. (16.7)
												Col. 13, Line 7 As a % of Col. 1 Line 8 4. (0.2)		

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

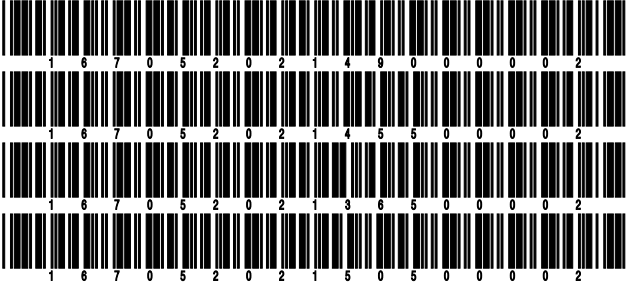
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

- 1. Trusteed Surplus Statement [Document Identifier 490]
- 2. Supplement A to Schedule T [Document Identifier 455]
- 3. Medicare Part D Coverage Supplement [Document Identifier 365]
- 4. Director and Officer Supplement [Document Identifier 505]



**OVERFLOW PAGE FOR WRITE-INS**

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,818,465	3,796,984
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		82,187
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....	62,592	60,706
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	3,755,873	3,818,465
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10) .....	3,755,873	3,818,465

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	195,590	214,442
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	9,440	18,852
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	186,150	195,590
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	186,150	195,590
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....	186,150	195,590

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	174,308,237	131,668,109
2. Cost of bonds and stocks acquired .....	43,454,722	80,274,399
3. Accrual of discount .....	79,623	171,288
4. Unrealized valuation increase (decrease) .....	354,866	1,310,858
5. Total gain (loss) on disposals .....	457,463	43,517
6. Deduct consideration for bonds and stocks disposed of .....	29,774,415	38,893,245
7. Deduct amortization of premium .....	361,200	396,669
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	96,086	129,980
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	188,615,382	174,308,237
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	188,615,382	174,308,237

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a) .....	131,074,137	11,691,362	9,164,174	(1,820,211)	131,074,137	131,781,114	0	128,317,841
2. NAIC 2 (a) .....	43,168,779	2,028,623	2,156,560	1,691,902	43,168,779	44,732,744	0	35,742,931
3. NAIC 3 (a) .....	489,667	0	0	1,186	489,667	490,852	0	1,189,747
4. NAIC 4 (a) .....	0				0	0		
5. NAIC 5 (a) .....	0				0	0		
6. NAIC 6 (a) .....	0				0	0		
7. Total Bonds	174,732,582	13,719,986	11,320,734	(127,123)	174,732,582	177,004,711	0	165,250,519
PREFERRED STOCK								
8. NAIC 1 .....	0				0	0		0
9. NAIC 2 .....	0				0	0		0
10. NAIC 3 .....	0				0	0		0
11. NAIC 4 .....	605,606	123,785	151,455	(8,916)	605,606	569,020	0	0
12. NAIC 5 .....	0				0	0		0
13. NAIC 6 .....	0				0	0		0
14. Total Preferred Stock .....	605,606	123,785	151,455	(8,916)	605,606	569,020	0	0
15. Total Bonds and Preferred Stock	175,338,189	13,843,771	11,472,189	(136,039)	175,338,189	177,573,731	0	165,250,519

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ 302,159 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	302,159	xxx	303,574	875	1,104

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of short-term investments acquired .....	303,574	
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		
7. Deduct amortization of premium .....	1,415	
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	302,159	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	302,159	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,971,794	1,703,683
2. Cost of cash equivalents acquired .....	39,769,815	81,781,082
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	34,029,926	81,512,971
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	7,711,683	1,971,794
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	7,711,683	1,971,794

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

## SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

## SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

[illegible]

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
912828-6T-2	UNITED STATES TREASURY		.05/12/2021	NatWest Markets		53,369	50,000	587	1.A
912828-IM-9	UNITED STATES TREASURY		.06/23/2021	MERRILL LYNCH PIERCE FENNER		102,344	100,000	280	1.A
912828-U5-7	UNITED STATES TREASURY		.06/23/2021	NatWest Markets		104,363	100,000	139	1.A
912828-VB-3	UNITED STATES TREASURY		.05/12/2021	NatWest Markets		103,156	100,000	865	1.A
912828-WJ-5	UNITED STATES TREASURY		.06/23/2021	BNP PARIBAS SEC BOND, NEW YORK		53,004	50,000	136	1.A
912828-XW-5	UNITED STATES TREASURY		.05/12/2021	NatWest Markets		101,879	100,000	643	1.A
912828-Y9-5	UNITED STATES TREASURY		.05/12/2021	NOMURA SECURITIES INTL., FIXED I		367,090	350,000	1,849	1.A
912828-YS-3	UNITED STATES TREASURY		.06/23/2021	NatWest Markets		51,506	50,000	95	1.A
912828-Z8-6	UNITED STATES TREASURY		.06/23/2021	BARCLAYS CAP/FIXED INCOME, NEW YORK		101,945	100,000	490	1.A
912828-Z0-6	UNITED STATES TREASURY		.06/23/2021	BNP PARIBAS SEC BOND, NEW YORK		46,604	50,000	34	1.A
0599999. Subtotal - Bonds - U.S. Governments						1,085,260	1,050,000	5,119	XXX
249182-PR-1	DENVER COLO CITY & CNTY ARPT REV		.05/25/2021	JP MORGAN SECURITIES INC.		719,422	710,000	506	1.E FE
64990F-D6-8	NEW YORK STATE DORMITORY AUTHORITY		.06/16/2021	JEFFERIES & COMPANY INC		485,000	485,000	.0	1.B FE
977100-GZ-3	WISCONSIN ST GEN FD ANNUAL APPROPRIATION		.06/08/2021	LOOP Capital Markets		527,775	500,000	1,190	1.C FE
3199999. Subtotal - Bonds - U.S. Special Revenues						1,732,197	1,695,000	1,695	XXX
02344A-AA-6	BEMIS COMPANY INC		.05/18/2021	MERRILL LYNCH FIXED INCOME		399,824	400,000	.0	2.B FE
05377R-EH-3	AESOP 211 A - ABS		.05/11/2021	JP MORGAN SECURITIES INC.		499,830	500,000	.0	1.A FE
05531F-BH-5	TRUIST FINANCIAL CORP		.06/23/2021	HSBC SECS INC, NEW YORK		210,882	200,000	2,000	1.G FE
110122-CN-6	BRISTOL-MYERS SQUIBB CO		.06/23/2021	SG AMERICAS SECURITIES, LLC		219,480	200,000	.178	1.F FE
191216-CR-9	COCA-COLA CO		.05/12/2021	DAIWA SECS AMER INC, NEW YORK		222,458	200,000	.919	1.E FE
33939H-AA-7	FLEX INTERMEDIATE HOLDCO LLC		.05/24/2021	RBC CAPITAL MARKETS		654,980	655,000	.0	2.C FE
369550-BP-2	GENERAL DYNAMICS CORP		.05/03/2021	WELLS FARGO BROKERAGE		502,240	500,000	.0	1.G FE
42806M-AA-7	HERTZ 211 A - ABS		.06/24/2021	DEUTSCHE BANC SECURITIES INC.		1,299,795	1,300,000	.0	1.A FE
437076-CB-6	HOME DEPOT INC		.06/23/2021	MARKETAXESS CORPORATION		213,362	200,000	1,050	1.F FE
477164-AA-5	JETBLUE AIRWAYS CORPORATION 2020-1 PASS		.05/28/2021	R W BAIRD & CO		528,861	483,641	.914	1.F FE
512807-AU-2	LAM RESEARCH CORP		.05/12/2021	JANE STREET EXECUTION SERVICES LLC		227,466	200,000	1,311	1.G FE
678858-BV-2	OKLAHOMA GAS AND ELECTRIC CO		.05/21/2021	KEYBANC CAPITAL MARKETS INC		1,074,300	1,000,000	4,875	1.G FE
756250-AE-9	RECKITT BENCKISER TREASURY SERVICES PLC	C	.06/10/2021	MARKETAXESS		1,091,330	1,000,000	14,000	1.G FE
845437-BP-6	SOUTHWESTERN ELECTRIC POWER CO		.04/30/2021	SCOTIA CAPITAL		787,537	742,000	1,870	2.A FE
891140-CA-4	TORONTO-DOMINION BANK	C	.05/12/2021	RBC CAPITAL MARKETS, LLC		212,560	200,000	2,298	1.D FE
89788M-AE-2	TRUIST FINANCIAL CORP		.06/03/2021	Various		1,000,659	1,000,000	.0	1.G FE
91324P-ED-0	UNITEDHEALTH GROUP INC		.05/18/2021	CITIBANK, N.A.		500,349	500,000	.10	1.F FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						9,645,912	9,280,641	29,364	XXX
464287-17-6	ISHARES:TIPS BD ETF		.05/14/2021	Various		398,076	.0	.0	1.A
464288-64-6	ISHARES:1-5 IG CORP BD		.05/14/2021	TD Ameritrade		571,013	.0	.0	.0
92206C-40-9	VANGUARD ST CORP BD ETF		.04/13/2021	TD Ameritrade		186,283	.0	.0	2.A
8099999. Subtotal - Bonds - SVO Identified Funds						1,155,371	0	0	XXX
8399997. Total - Bonds - Part 3						13,618,740	12,025,641	36,179	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						13,618,740	12,025,641	36,179	XXX
464288-68-7	ISHARES:PREF AND INC SEC		.04/13/2021	TD Ameritrade		123,785	0.00	.0	4.B
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						123,785	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						123,785	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						123,785	XXX	0	XXX
00206R-10-2	AT&T ORD		.05/14/2021	Various	1,949,000	62,478		.0	
00724F-10-1	ADOBE ORD		.06/14/2021	Strategas Securities LLC	22,000	12,272		.0	
023135-10-6	AMAZON COM ORD		.05/14/2021	TD Ameritrade	13,000	41,776		.0	
03027X-10-0	AMERICAN TOWER REIT		.05/27/2021	Various	130,000	32,005		.0	
03073E-10-5	AMERISOURCEBERGEN ORD		.04/01/2021	TD Ameritrade	60,000	7,027		.0	
032654-10-5	ANALOG DEVICES ORD		.04/01/2021	TD Ameritrade	45,000	7,155		.0	
037833-10-0	APPLE ORD		.05/14/2021	TD Ameritrade	202,000	25,305		.0	
049560-10-5	ATMOS ENERGY ORD		.05/14/2021	Various	347,000	34,779		.0	
053015-10-3	AUTOMATIC DATA PROCESSING ORD		.05/14/2021	TD Ameritrade	133,000	25,692		.0	

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol
060505-10-4	BANK OF AMERICA ORD		.04/01/2021	TD Ameritrade	228.000	8.964		.0	
09247X-10-1	BLACKROCK ORD		.04/01/2021	TD Ameritrade	9.000	6.888		.0	
09857L-10-8	BOOKING HOLDINGS ORD		.04/26/2021	MERRILL LYNCH, PIERCE, FENNER & SM/BAS	5.000	12.261		.0	
101137-10-7	BOSTON SCIENTIFIC ORD		.06/01/2021	MORGAN STANLEY & CO INC, NY	220.000	9.471		.0	
11133T-10-3	BROADRIDGE FINANCIAL SOLUTIONS ORD		.05/14/2021	Various	215.000	35.133		.0	
115637-20-9	BROWN FORMAN CL B ORD		.05/14/2021	TD Ameritrade	328.000	25.777		.0	
125896-10-0	CMS ENERGY ORD		.04/01/2021	TD Ameritrade	115.000	7.012		.0	
126650-10-0	CVS HEALTH ORD		.06/14/2021	Strategas Securities LLC	120.000	10.100		.0	
166764-10-0	CHEVRON ORD		.06/14/2021	Various	151.000	16.042		.0	
171439-10-2	CHURCHILL CAPITAL CL A ORD		.04/01/2021	TD Ameritrade	382.000	8.929		.0	
17275R-10-2	CISCO SYSTEMS ORD		.04/01/2021	TD Ameritrade	137.000	7.129		.0	
20030N-10-1	COMCAST CL A ORD		.05/14/2021	Various	617.000	35.715		.0	
22160K-10-5	COSTCO WHOLESALE ORD		.06/23/2021	JEFFERIES & CO INC, NEW YORK	62.000	24.329		.0	
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		.05/26/2021	WELLS FARGO SECURITIES LLC	46.000	10.193		.0	
278865-10-0	ECOLAB ORD		.05/14/2021	Various	157.000	35.391		.0	
30231G-10-2	EXXON MOBIL ORD		.05/14/2021	Various	1,074.000	64.582		.0	
30303M-10-2	FACEBOOK CL A ORD		.05/14/2021	TD Ameritrade	150.000	47.089		.0	
37045V-10-0	GENERAL MOTORS ORD		.05/07/2021	Various	650.000	36.840		.0	
384802-10-4	IWW GRAINGER ORD		.05/14/2021	TD Ameritrade	53.000	24.677		.0	
437076-10-2	HOME DEPOT ORD		.04/01/2021	TD Ameritrade	23.000	7.082		.0	
458140-10-0	INTEL ORD		.05/14/2021	Various	1,094.000	61.701		.0	
45866F-10-4	INTERCONTINENTAL EXCHANGE ORD		.05/14/2021	Various	310.000	34.970		.0	
459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD		.05/14/2021	Various	446.000	64.009		.0	
502431-10-9	L3HARRIS TECHNOLOGIES ORD		.05/14/2021	Various	136.000	29.075		.0	
518439-10-4	ESTEE LAUDER CL A ORD		.05/04/2021	Various	156.000	48.085		.0	
548661-10-7	LOWE'S COMPANIES ORD		.05/14/2021	TD Ameritrade	126.000	24.973		.0	
579780-20-6	MCCORMICK ORD		.05/14/2021	Various	336.000	30.304		.0	
580135-10-1	MCDONALD'S ORD		.05/14/2021	Various	151.000	34.886		.0	
584918-10-4	MICROSOFT ORD		.05/14/2021	TD Ameritrade	51.000	12.353		.0	
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		.06/15/2021	Various	341.000	21.126		.0	
62914V-10-6	NIO ADS REP 1 CL A ORD	C.	.04/01/2021	TD Ameritrade	227.000	8.959		.0	
64110L-10-6	NETFLIX ORD		.06/24/2021	Various	46.000	24.405		.0	
65339F-10-1	NEXTERA ENERGY ORD		.05/14/2021	Various	479.000	35.144		.0	
654106-10-3	NIKE CL B ORD		.05/14/2021	Various	258.000	35.116		.0	
70450Y-10-3	PAYPAL HOLDINGS ORD		.04/06/2021	JP MORGAN SECURITIES LLC	45.000	11.413		.0	
713448-10-8	PEPSICO ORD		.04/01/2021	TD Ameritrade	50.000	7.104		.0	
717081-10-3	PFIZER ORD		.05/14/2021	Various	1,613.000	63.938		.0	
718546-10-4	PHILLIPS 66 ORD		.04/01/2021	TD Ameritrade	86.000	7.133		.0	
723787-10-7	PIONEER NATURAL RESOURCE ORD		.06/14/2021	Strategas Securities LLC	43.000	7.008		.0	
74144T-10-8	T ROWE PRICE GROUP ORD		.05/14/2021	Various	183.000	34.924		.0	
742718-10-9	PROCTER & GAMBLE ORD		.04/01/2021	TD Ameritrade	52.000	7.039		.0	
74767V-10-9	QUANTUMSCAPE CL A ORD		.04/13/2021	TD Ameritrade	212.000	10.278		.0	
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		.05/27/2021	Various	443.000	38.261		.0	
828806-10-9	SIMON PROP GRP REIT ORD		.05/27/2021	MORGAN STANLEY & CO INC, NY	113.000	14.485		.0	
863667-10-1	STRYKER ORD		.05/14/2021	TD Ameritrade	91.000	22.995		.0	
882508-10-4	TEXAS INSTRUMENTS ORD		.05/14/2021	TD Ameritrade	46.000	8.431		.0	
88339J-10-5	TRADE DESK CL A ORD		.06/14/2021	Various	37.000	21.985		.0	
88579Y-10-1	3M ORD		.04/01/2021	TD Ameritrade	36.000	6.957		.0	
911312-10-6	UNITED PARCEL SERVICE CL B ORD		.05/14/2021	Various	159.000	34.471		.0	
91324P-10-2	UNITEDHEALTH GRP ORD		.06/14/2021	Various	133.000	54.215		.0	
918204-10-8	VF ORD		.05/14/2021	Various	344.000	29.280		.0	
92343V-10-4	VERIZON COMMUNICATIONS ORD		.04/01/2021	TD Ameritrade	121.000	7.054		.0	
G06242-10-4	ATLASSIAN CL A ORD	C.	.06/14/2021	Various	104.000	24.966		.0	
G5960L-10-3	MEDTRONIC ORD	C.	.05/14/2021	Various	394.000	48.995		.0	
G82514-10-3	SOCIAL CAP HEDOSOP HOLD IV CL A ORD		.04/01/2021	TD Ameritrade	808.000	9.032		.0	
G8251L-10-5	SOCIAL CAP HEDOSOP HOLD VI CL A ORD		.04/01/2021	TD Ameritrade	827.000	8.853		.0	
G8252P-10-5	SOCIAL CAP HEDOSOP HOLD V CL A ORD		.04/01/2021	TD Ameritrade	516.000	8.761		.0	

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
H1467J-10-4	CHUBB ORD	C	.05/14/2021	Various	165.000	27.992		0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						1,662,769	XXX	0	XXX
H0023R-10-5	CHUBB ORD	C	.04/01/2021	TD Ameritrade	44.000	6.974		0	
9199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						6,974	XXX	0	XXX
00141A-29-7	INVESCO SD HY MUNI Y		.04/13/2021	TD Ameritrade	11,797.201	124,032		0	
00141A-58-6	INVESCO FLTG RT ESG Y		.05/14/2021	TD AMERITRADE	99,531.556	726,061		0	
00143W-30-5	INVESCO CORE BOND Y		.04/30/2021	DIVIDEND REINVESTMENT	41.982	286		0	
00214Q-10-4	ARK INNOVATION		.04/01/2021	TD Ameritrade	73.000	8,826		0	
015565-36-9	ALGER:SM CAP FOC Z		.04/01/2021	TD Ameritrade	386.107	12,426		0	
024526-22-0	AM BEACON:SSI AI Y		.04/13/2021	TD Ameritrade	11,234.437	124,503		0	
02508J-70-5	AMER CENT:SHT DUR I		.04/30/2021	DIVIDEND REINVESTMENT	27.969	293		0	
091928-86-1	BLACKROCK:MC GRO I		.04/01/2021	TD Ameritrade	277.011	12,426		0	
09260B-63-0	BLACKROCK:HY BD I		.04/30/2021	DIVIDEND REINVESTMENT	38.637	303		0	
128119-13-8	CALAMOS:EVOLV WLD GR I		.05/14/2021	Various	776.963	17,900		0	
128119-88-0	CALAMOS:MKT NEUT INC I		.05/14/2021	TD AMERITRADE	26,091.579	369,374		0	
256210-10-5	DODGE & COX INCOME		.05/14/2021	TD AMERITRADE	46,710.170	665,740		0	
277923-56-1	EATON VANCE SD G INC I		.04/30/2021	DIVIDEND REINVESTMENT	26.339	214		0	
315807-86-7	FIDELITY ADV BAL INST		.05/14/2021	TD AMERITRADE	7,839.261	223,379		0	
316071-10-9	FIDELITY CONTRAFUND		.04/01/2021	TD Ameritrade	1,451.348	24,828		0	
31635V-21-6	FIDELITY SH TR TRS BD IX		.04/30/2021	DIVIDEND REINVESTMENT	69.549	745		0	
33738R-50-6	FRST TR VI:RIS DIV ACHV		.04/01/2021	TD Ameritrade	194.000	8,919		0	
33739Q-20-0	FRST TR IV:LD OPPTY S ETF		.05/14/2021	TD Ameritrade	13,294.000	679,190		0	
464286-52-5	ISHARES:MSCI GL MV FCTR		.04/01/2021	TD Ameritrade	253.000	25,114		0	
46435G-10-2	ISHARES:CONVERTIBLE BOND		.05/14/2021	Various	2,683.000	260,334		0	
81369Y-80-3	SEL SECTOR:TECH SPDR		.05/14/2021	Various	334.000	45,283		0	
921937-80-1	VANGUARD INT-T B ADM		.05/03/2021	DIVIDEND REINVESTMENT	77.804	933		0	
921943-85-8	VANGUARD DEV MKT ETF		.05/14/2021	Various	1,190.000	61,164		0	
92647P-65-4	VICTORY II:MKT NEU I I		.05/14/2021	TD AMERITRADE	35,301.081	343,300		0	
9499999. Subtotal - Common Stocks - Mutual Funds						3,735,572	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						5,405,315	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						5,405,315	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						5,529,100	XXX	0	XXX
9999999 - Totals						19,147,839	XXX	36,179	XXX

## STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
912828-3U-2	UNITED STATES TREASURY		06/10/2021	JP MORGAN SECURITIES INC.		1,036,754	1,000,000	990,273	995,802	.0	.883	.0	.883	.0	.996,685	.0	.40,070	.40,070	.20,666	.01/31/2023	1.A
912828-K7-4	UNITED STATES TREASURY		06/25/2021	NOMURA SECURITIES INTERNATIONAL		.999,055	.950,000	1,030,268	1,024,889	.0	(7,888)	.0	(7,888)	.0	1,017,002	.0	(17,946)	(17,946)	.16,481	.08/15/2025	1.A
912828-ZC-7	UNITED STATES TREASURY		05/26/2021	BARCLAYS CAPITAL		.102,359	.100,000	.102,075	.0	.0	(81)	.0	(81)	.0	.101,993	.0	.366	.366	.269	.02/28/2025	1.A
912828-ZD-5	UNITED STATES TREASURY		06/02/2021	STONEX FINANCIAL INC.		1,107,133	1,100,000	1,110,141	1,108,244	.0	(1,577)	.0	(1,577)	.0	1,106,667	.0	.466	.466	.3,961	.03/15/2023	1.A
912828-ZG-8	UNITED STATES TREASURY		05/25/2021	NOMURA SECURITIES INTERNATIONAL		1,103,133	1,100,000	1,103,570	1,103,554	.0	(1,135)	.0	(1,135)	.0	1,102,420	.0	.713	.713	.2,694	.03/31/2022	1.A
05999999 Subtotal - Bonds - U.S. Governments						4,348,435	4,250,000	4,336,326	4,232,490	.0	(9,798)	.0	(9,798)	.0	4,324,766	.0	23,668	23,668	44,070	XXX	XXX
419792-SN-7	HAWAII ST		05/01/2021	Maturity @ 100.00		625,000	625,000	628,125	625,273	.0	(273)	.0	(273)	.0	625,000	.0	.0	.0	.7,031	05/01/2021	1.C FE
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions						625,000	625,000	628,125	625,273	.0	(273)	.0	(273)	.0	625,000	.0	.0	.0	.7,031	XXX	XXX
31320V-3Z-6	FH SDB016 - RMBS		06/01/2021	Paydown		.120,844	.120,844	.122,525	.122,655	.0	(1,811)	.0	(1,811)	.0	.120,844	.0	.0	.0	.1,436	.10/01/2049	1.A
3132XC-RY-3	FH G67703 - RMBS		06/01/2021	Paydown		.46,875	.46,875	.46,230	.46,353	.0	.522	.0	.522	.0	.46,875	.0	.0	.0	.670	.04/01/2047	1.A
31335B-XF-8	FH G61578 - RMBS		06/01/2021	Paydown		.62,606	.62,606	.64,738	.65,156	.0	(2,551)	.0	(2,551)	.0	.62,606	.0	.0	.0	.1,186	.08/01/2048	1.A
3133L8-CR-4	FH RC1880 - RMBS		06/01/2021	Paydown		.54,413	.54,413	.55,994	.0	.0	(1,581)	.0	(1,581)	.0	.54,413	.0	.0	.0	.204	.03/01/2036	1.A
3137F3-Y4-1	FHR 4764 XV - CMO/RMBS		06/01/2021	Paydown		.12,740	.12,740	.12,744	.12,733	.0	.8	.0	.8	.0	.12,740	.0	.0	.0	.186	.03/15/2031	1.A
31397S-SN-0	FNR 2011-43 B - CMO/RMBS		06/01/2021	Paydown		.44,195	.44,195	.44,126	.44,116	.0	.78	.0	.78	.0	.44,195	.0	.0	.0	.586	.05/25/2031	1.A
3140J9-CT-6	FN BMA581 - RMBS		06/01/2021	Paydown		.48,700	.48,700	.49,735	.49,623	.0	(923)	.0	(923)	.0	.48,700	.0	.0	.0	.789	.09/01/2033	1.A
31400G-AJ-6	FN CA8108 - RMBS		06/01/2021	Paydown		.24,576	.24,576	.25,544	.25,545	.0	(969)	.0	(969)	.0	.24,576	.0	.0	.0	.190	.12/01/2050	1.A
3140X7-7H-6	FN FMA495 - RMBS		06/01/2021	Paydown		.6,747	.6,747	.7,026	.7,025	.0	(278)	.0	(278)	.0	.6,747	.0	.0	.0	.56	.10/01/2050	1.A
3140X8-6N-2	FN FMS376 - RMBS		06/01/2021	Paydown		.64,412	.64,412	.67,431	.67,441	.0	(3,029)	.0	(3,029)	.0	.64,412	.0	.0	.0	.500	.01/01/2036	1.A
31418D-04-7	FN MA4074 - RMBS		06/01/2021	Paydown		.146,102	.146,102	.151,284	.151,151	.0	(5,049)	.0	(5,049)	.0	.146,102	.0	.0	.0	.1,171	.07/01/2035	1.A
31418D-U4-2	FN MA4202 - RMBS		06/01/2021	Paydown		.34,491	.34,491	.35,078	.35,066	.0	(575)	.0	(575)	.0	.34,491	.0	.0	.0	.214	.12/01/2040	1.A
31418D-U8-3	FN MA4206 - RMBS		06/01/2021	Paydown		.66,406	.66,406	.69,099	.69,047	.0	(2,640)	.0	(2,640)	.0	.66,406	.0	.0	.0	.565	.12/01/2035	1.A
31418D-VB-5	FN MA4209 - RMBS		06/01/2021	Paydown		.25,934	.25,934	.26,189	.26,184	.0	(250)	.0	(250)	.0	.25,934	.0	.0	.0	.163	.12/01/2050	1.A
977100-GB-6	WISCONSIN ST GEN FD ANNUAL APPROPRIATION		05/01/2021	Maturity @ 100.00		.210,000	.210,000	.210,000	.210,000	.0	.0	.0	.0	.0	.210,000	.0	.0	.0	.2,174	.05/01/2021	1.D FE
3199999 Subtotal - Bonds - U.S. Special Revenues						969,041	969,041	987,744	932,095	.0	(19,049)	.0	(19,049)	.0	969,041	.0	.0	.0	.10,088	XXX	XXX
191216-CN-8	COCA-COLA CO		05/05/2021	Call @ 100.00		.244,175	.225,000	.245,869	.0	.0	(1,089)	.0	(1,089)	.0	.244,780	.0	(19,780)	(19,780)	.23,249	.03/25/2025	1.E FE
210717-AB-0	OMRS 2014A A2 - ABS		05/01/2021	Paydown		.43,428	.43,428	.43,483	.43,464	.0	(36)	.0	(36)	.0	.43,428	.0	.0	.0	.643	.11/03/2025	1.A FE
369550-BE-7	GENERAL DYNAMICS CORP		05/11/2021	Maturity @ 100.00		.175,000	.175,000	.175,812	.175,138	.0	(138)	.0	(138)	.0	.175,000	.0	.0	.0	.2,625	.05/11/2021	1.G FE
44935R-AC-2	HALST 19B A3 - ABS		06/15/2021	Paydown		.145,629	.145,629	.145,628	.145,565	.0	.64	.0	.64	.0	.145,629	.0	.0	.0	.1,232	.08/15/2022	1.A FE
548661-CT-2	LOWE'S COMPANIES INC		04/15/2021	Maturity @ 100.00		.545,000	.545,000	.575,253	.545,231	.0	(231)	.0	(231)	.0	.545,000	.0	.0	.0	.10,219	.04/15/2021	2.A FE
681919-AZ-9	OMNICOM GROUP INC		05/28/2021	Call @ 100.00		.412,088	.400,000	.420,976	.403,259	.0	(977)	.0	(977)	.0	.402,282	.0	(2,282)	(2,282)	.20,426	.05/01/2022	2.A FE
68389X-BB-0	ORACLE CORP		06/23/2021	JP MORGAN SECURITIES LLC		.203,104	.200,000	.196,484	.199,218	.0	.271	.0	.271	.0	.199,490	.0	.3,614	.3,614	.3,056	.05/15/2022	2.A FE
68389X-BK-0	ORACLE CORP		06/29/2021	JP MORGAN SECURITIES INC.		.100,184	.100,000	.99,951	.99,983	.0	.12	.0	.12	.0	.99,995	.0	.189	.189	.1,509	.09/15/2021	2.A FE
68784C-AE-2	OSCAR 181 A4 - ABS	D	06/10/2021	Paydown		.128,505	.128,505	.133,043	.133,001	.0	(4,497)	.0	(4,497)	.0	.128,505	.0	.0	.0	.2,055	.05/12/2025	1.A FE
74368C-AE-4	PROTECTIVE LIFE GLOBAL FUNDING		04/14/2021	CITIBANK, N.A.		.412,940	.410,000	.393,206	.406,207	.0	1,555	.0	1,555	.0	.407,763	.0	.5,177	.5,177	.4,826	.09/14/2021	1.E FE
842587-CS-4	SOUTHERN CO		06/01/2021	Call @ 100.00		.500,000	.500,000	.511,750	.501,057	.0	(1,057)	.0	(1,057)	.0	.500,000	.0	.0	.0	.10,771	.07/01/2021	2.A FE
857477-AV-5	STATE STREET CORP		05/19/2021	Maturity @ 100.00		.645,000	.645,000	.644,889	.644,991	.0	.9	.0	.9	.0	.645,000	.0	.0	.0	.6,289	.05/19/2021	1.D FE
872540-AS-8	TUX COMPANIES INC		06/04/2021	Call @ 100.00		.226,730	.200,000	.230,922	.229,468	.0	(1,963)	.0	(1,963)	.0	.227,506	.0	(27,506)	(27,506)	.31,501	.04/15/2027	1.F FE
88161N-AA-7	TESLA 2018-B A - ABS		06/20/2021	Paydown		.80,284	.80,284	.80,278	.80,283	.0	.1	.0	.1	.0	.80,284	.0	.0	.0	.1,230	.08/20/2021	1.A FE
89114Q-BG-2	TORONTO-DOMINION BANK	C	04/07/2021	Maturity @ 100.00		.200,000	.200,000	.200,418	.200,024	.0	(24)	.0	(24)	.0	.200,000	.0	.0	.0	.2,125	.04/07/2021	1.C FE
92347Y-AA-2	VZOT 2019-A A1A - RMBS		06/20/2021	Paydown		.163,570	.163,570	.163,538	.163,561	.0	.9	.0	.9	.0	.163,570	.0	.0	.0	.1,959	.09/20/2023	1.A FE
931142-DD-2	WALMART INC		04/15/2021	Maturity @ 100.00		.260,000	.260,000	.275,899	.261,451	.0	(1,451)	.0	(1,451)	.0	.260,000	.0	.0	.0	.5,525	.04/15/2021	1.C FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,485,637	4,421,416	4,537,398	4,231,903	.0	(9,540)	.0	(9,540)	.0	4,468,232	.0	(40,588)	(40,588)	129,238	XXX	XXX
464287-17-6	ISHARES:TIPS BD ETF		05/14/2021	TD Ameritrade	.0.000	.106,966	.0	.106,014	.106,971	(.956)	.0	.0	(.956)	.0	.106,014	.0	.951	.951	.683		1.A
464288-58-8	ISHARES:MBS ETF		05/14/2021	TD Ameritrade	.0.000	.200,997	.0	.177,750	.200,997	.839	.0	.0	.839	.0	.205,110	.0	(4,113)	(4,113)	.1,319		1.A
464288-63-8	ISHARES:5-10 IG CORP BD		05/14/2021	TD Ameritrade	.0.000	.3,882	.0	.3,987	.4,016	(.29)	.0	.0	(.29)	.0	.3,987	.0	(106)	(106)	.30		2.B
46641Q-83-7	JPMORGAN:ULTRA-SHORT INC		05/14/2021	TD Ameritrade	.0.000	.181,004	.0	.179,630	.181,219	(.1,589)	.0	.0	(.1,589)	.0	.179,630	.0	.1,374	.1,374	.584		1.G
921937-81-9	VANGUARD INT-T B ETF		05/14/2021	TD Ameritrade	.0.000	.32,286	.0	.33,148	.0	.0	.0	.0	.0	.0	.33,148	.0	(862)	(862)	.156		1.G
92206C-40-9	VANGUARD ST CORP BD ETF		05/14/2021	TD Ameritrade	.0.000	.409,149	.0	.405,806	.128,538	(.3,665)	.0	.0	(.3,665)	.0	.405,806	.0	.3,344	.3,344	.1,726		2.A

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol
8099999.	Subtotal - Bonds - SVO Identified Funds					934,284	0	933,695	598,494	(5,399)	0	0	(5,399)	0	933,695	0	589	589	4,498	XXX	XXX
8399997.	Total - Bonds - Part 4					11,362,396	10,265,457	11,423,289	10,620,255	(5,399)	(38,660)	0	(44,060)	0	11,320,734	0	(16,331)	(16,331)	194,925	XXX	XXX
8399998.	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999.	Total - Bonds					11,362,396	10,265,457	11,423,289	10,620,255	(5,399)	(38,660)	0	(44,060)	0	11,320,734	0	(16,331)	(16,331)	194,925	XXX	XXX
464288-68-7	ISHARES: PREF AND INC SEC		05/14/2021	TD Ameritrade	4,524,000	173,290	0.00	151,455	0	0	0	0	0	0	151,455	0	21,835	21,835	2,215		4.B
8499999.	Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred					173,290	XXX	151,455	0	0	0	0	0	0	151,455	0	21,835	21,835	2,215	XXX	XXX
8999997.	Total - Preferred Stocks - Part 4					173,290	XXX	151,455	0	0	0	0	0	0	151,455	0	21,835	21,835	2,215	XXX	XXX
8999998.	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999.	Total - Preferred Stocks					173,290	XXX	151,455	0	0	0	0	0	0	151,455	0	21,835	21,835	2,215	XXX	XXX
002824-10-0	ABBOTT LABORATORIES ORD		06/01/2021	DEUTSCHE BK SECS INC, NY (NWSUS33)	165,000	17,615		15,061	18,066	(3,005)	0	0	(3,005)	0	15,061	0	2,554	2,554	149		
02079K-30-5	ALPHABET CL A ORD		04/23/2021	TD Ameritrade	5,000	11,493		5,544	8,763	(3,219)	0	0	(3,219)	0	5,544	0	5,950	5,950	0		
023135-10-6	AMAZON COM ORD		04/23/2021	TD Ameritrade	3,000	10,100		5,714	9,771	(4,057)	0	0	(4,057)	0	5,714	0	4,386	4,386	0		
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD		04/29/2021	JP MORGAN SECURITIES LLC	110,000	5,363		6,121	4,165	1,956	0	0	1,956	0	6,121	0	(758)	(758)	35		
03073E-10-5	AMERISOURCEBERGEN ORD		05/14/2021	TD Ameritrade	60,000	7,043		7,027	0	0	0	0	0	0	7,027	0	16	16	26		
031162-10-0	AMGEN ORD		05/14/2021	TD Ameritrade	11,000	2,777		2,211	2,529	(319)	0	0	(319)	0	2,211	0	567	567	39		
032654-10-5	ANALOG DEVICES ORD		05/14/2021	TD Ameritrade	45,000	6,758		7,155	0	0	0	0	0	0	7,155	0	(397)	(397)	0		
037833-10-0	APPLE ORD		06/14/2021	Various	590,000	76,577		38,555	78,287	(39,732)	0	0	(39,732)	0	38,555	0	38,022	38,022	218		
060505-10-4	BANK OF AMERICA ORD		05/14/2021	Various	518,000	21,146		15,114	8,790	(2,640)	0	0	(2,640)	0	15,114	0	6,032	6,032	52		
075887-10-9	BECTON DICKINSON ORD		05/14/2021	TD Ameritrade	15,000	3,646		3,430	3,753	(323)	0	0	(323)	0	3,430	0	216	216	12		
09247X-10-1	BLACKROCK ORD		05/14/2021	TD Ameritrade	9,000	7,716		6,888	0	0	0	0	0	0	6,888	0	828	828	0		
125896-10-0	CMS ENERGY ORD		05/14/2021	TD Ameritrade	115,000	7,357		7,012	0	0	0	0	0	0	7,012	0	345	345	50		
16119P-10-8	CHARTER COMMUNICATIONS CL A ORD		04/16/2021	MERRILL LYNCH, PIERCE, FENNER & SM/BAS	19,000	12,184		9,406	12,569	(3,164)	0	0	(3,164)	0	9,406	0	2,779	2,779	0		
166764-10-0	CHEVRON ORD		05/14/2021	TD Ameritrade	119,000	13,009		10,934	2,956	(861)	0	0	(861)	0	10,934	0	2,075	2,075	45		
17275R-10-2	CISCO SYSTEMS ORD		05/14/2021	TD Ameritrade	137,000	7,251		7,129	0	0	0	0	0	0	7,129	0	122	122	51		
244199-10-5	DEERE ORD		05/27/2021	MORGAN STANLEY & CO INC, NY	34,000	12,235		4,748	9,148	(4,399)	0	0	(4,399)	0	4,748	0	7,486	7,486	56		
254687-10-6	WALT DISNEY ORD		04/23/2021	TD Ameritrade	40,000	7,337		3,774	7,247	(3,473)	0	0	(3,473)	0	3,774	0	3,562	3,562	0		
30303M-10-2	FACEBOOK CL A ORD		05/14/2021	TD Ameritrade	32,000	9,726		4,919	8,741	(3,822)	0	0	(3,822)	0	4,919	0	4,807	4,807	0		
437076-10-2	HOME DEPOT ORD		05/14/2021	TD Ameritrade	88,000	28,433		21,859	17,265	(2,488)	0	0	(2,488)	0	21,859	0	6,573	6,573	107		
46625H-10-0	JPMORGAN CHASE ORD		05/14/2021	TD Ameritrade	33,000	5,398		2,807	4,193	(1,387)	0	0	(1,387)	0	2,807	0	2,591	2,591	59		
478160-10-4	JOHNSON & JOHNSON ORD		05/14/2021	TD Ameritrade	24,000	4,094		3,116	3,777	(661)	0	0	(661)	0	3,116	0	978	978	24		
517834-10-7	LAS VEGAS SANDS ORD		06/23/2021	Various	730,000	39,005		36,352	43,508	(7,156)	0	0	(7,156)	0	36,352	0	2,654	2,654	0		
532457-10-8	ELI LILLY ORD		06/14/2021	Strategas Securities LLC	64,000	14,402		10,275	10,806	(530)	0	0	(530)	0	10,275	0	4,126	4,126	109		
548661-10-7	LOWE'S COMPANIES ORD		05/14/2021	TD Ameritrade	120,000	23,902		11,679	19,261	(7,582)	0	0	(7,582)	0	11,679	0	12,223	12,223	144		
55024U-10-9	LUMENTUM HOLDINGS ORD		06/24/2021	MERRILL LYNCH, PIERCE, FENNER & SM/BAS	90,000	7,360		7,220	8,532	(1,312)	0	0	(1,312)	0	7,220	0	139	139	0		
574599-10-6	MASCO ORD		05/14/2021	TD Ameritrade	541,000	34,713		28,041	29,717	(1,677)	0	0	(1,677)	0	28,041	0	6,672	6,672	76		
594918-10-4	MICROSOFT ORD		05/14/2021	TD Ameritrade	388,000	97,101		72,270	45,596	(15,493)	0	0	(15,493)	0	72,270	0	24,831	24,831	115		
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		05/14/2021	Various	651,000	38,707		31,998	30,989	(6,086)	0	0	(6,086)	0	31,998	0	6,708	6,708	334		
62914V-10-6	NIO ADS REP 1 CL A ORD	C	05/14/2021	TD Ameritrade	1,408,000	46,820		56,751	55,174	(10,326)	0	0	(10,326)	0	56,751	0	(9,932)	(9,932)	(26)		
64110L-10-6	NETFLIX ORD		04/23/2021	TD Ameritrade	5,000	2,536		1,679	2,704	(1,025)	0	0	(1,025)	0	1,679	0	857	857	0		
654106-10-3	NIKE CL B ORD		05/07/2021	RBC CAPITAL MARKETS, LLC	92,000	12,670		7,208	13,015	(5,807)	0	0	(5,807)	0	7,208	0	5,462	5,462	25		
67103H-10-7	O'REILLY AUTOMOTIVE ORD		05/27/2021	RBC CAPITAL MARKETS, LLC	39,000	20,701		15,008	17,650	(2,642)	0	0	(2,642)	0	15,008	0	5,693	5,693	0		
68902V-10-7	OTIS WORLDWIDE ORD		04/20/2021	CREDIT SUISSE, NEW YORK (CSUS)	207,000	14,645		10,013	13,983	(3,970)	0	0	(3,970)	0	10,013	0	4,632	4,632	41		

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Admini- strative Symbol	
713448-10-8	PEPSICO ORD		05/14/2021	TD Ameritrade	75.000	11,004		10,095	3,708	(716)	0	0	(716)	0	10,095	0	908	908	51			
718546-10-4	PHILLIPS 66 ORD		05/14/2021	TD Ameritrade	86.000	7,489		7,133	0	0	0	0	0	0	7,133	0	356	356	0			
742718-10-9	PROCTER & GAMBLE ORD		05/14/2021	Various	201.000	27,488		23,241	20,732	(4,530)	0	0	(4,530)	0	23,241	0	4,247	4,247	263			
74767V-10-9	QUANTUMSCAPE CL A ORD		05/14/2021	TD Ameritrade	884.000	23,788		47,711	51,177	(17,277)	0	0	(17,277)	0	47,711	0	(23,923)	(23,923)	0			
806857-10-8	SCHLUMBERGER ORD		02/25/2021	TD Ameritrade	0.000	0		0	0	0	0	0	0	0	0	0	0	0	142			
855244-10-9	STARBUCKS ORD		05/14/2021	TD Ameritrade	84.000	9,518		6,171	8,986	(2,815)	0	0	(2,815)	0	6,171	0	3,347	3,347	64			
				JP MORGAN SECURITIES LLC																		
87165B-10-3	SYNCHRONY FINANCIAL ORD		04/29/2021		160.000	7,045		5,078	5,554	(476)	0	0	(476)	0	5,078	0	1,967	1,967	35			
87612E-10-6	TARGET ORD		05/14/2021	TD Ameritrade	21.000	4,425		2,163	3,707	(1,544)	0	0	(1,544)	0	2,163	0	2,262	2,262	14			
87854Y-10-9	TECHNIP ENERGIES SPNS ADR REP ORD	C	05/14/2021	TD Ameritrade	856.000	12,417		11,858	0	0	0	0	0	0	11,858	0	558	558	0			
88250B-10-4	TEXAS INSTRUMENTS ORD		06/24/2021	Various	92.000	17,335		14,385	4,103	(618)	0	0	(618)	0	14,385	0	2,950	2,950	119			
				LEERINK SWANN AND COMPANY	31.000	14,248		8,421	14,439	(6,018)	0	0	(6,018)	0	8,421	0	5,827	5,827	15			
883556-10-2	THERMO FISHER SCIENTIFIC ORD		05/14/2021	TD Ameritrade	36.000	7,362		6,957	0	0	0	0	0	0	6,957	0	405	405	0			
88579Y-10-1	3M ORD		05/14/2021	TD Ameritrade	121.000	7,124		7,054	0	0	0	0	0	0	7,054	0	71	71	76			
92343V-10-4	VERIZON COMMUNICATIONS ORD		05/14/2021	TD Ameritrade	1,022.000	49,456		39,058	33,368	5,690	0	0	5,690	0	39,058	0	10,398	10,398	0			
92552V-10-0	VIASAT ORD		05/14/2021	TD Ameritrade	120.000	27,256		18,588	26,248	(7,660)	0	0	(7,660)	0	18,588	0	8,668	8,668	74			
92826C-83-9	VISA CL A ORD		06/14/2021	Various	290.000	33,942		17,289	31,482	(14,193)	0	0	(14,193)	0	17,289	0	16,653	16,653	235			
988498-10-1	YUM BRANDS ORD		04/20/2021	TD Ameritrade	2,910.000	29,046		34,589	25,382	84	0	0	84	0	34,589	0	(5,543)	(5,543)	0			
60190X-10-0	AJAX I CL A ORD		05/14/2021	TD Ameritrade	11.000	3,178		1,815	2,873	(1,059)	0	0	(1,059)	0	1,815	0	1,363	1,363	19			
61151C-10-1	ACCENTURE CL A ORD	C	04/20/2021	TD Ameritrade	2,544.000	26,799		33,872	0	0	0	0	0	0	33,872	0	(7,074)	(7,074)	0			
682514-10-3	SOCIAL CAP HEDOSOP HOLD IV CL A ORD		04/20/2021	TD Ameritrade	2,590.000	26,724		33,368	0	0	0	0	0	0	33,368	0	(6,643)	(6,643)	0			
68251L-10-5	SOCIAL CAP HEDOSOP HOLD VI CL A ORD		05/14/2021	TD Ameritrade	2,053.000	30,795		40,114	0	0	0	0	0	0	40,114	0	(9,319)	(9,319)	0			
68252P-10-5	SOFT TECHNOLOGIES ORD		05/14/2021	TD Ameritrade	5,263.000	42,946		37,800	40,260	(10,795)	0	0	(10,795)	0	37,800	0	5,146	5,146	11,867			
687110-10-5	TECHNIPFMC ORD	C	05/14/2021	TD Ameritrade																		
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					1,049,204	XXX	883,779	762,975	(197,125)	0	0	(197,125)	0	883,779	0	165,425	165,425	14,717	XXX	XXX	
00141A-29-7	INVESCO SD HY MUNI Y		05/14/2021	TD Ameritrade	11,797.201	125,026		124,032	0	0	0	0	0	0	124,032	0	994	994	496			
00141A-58-6	INVESCO FLTG RT ESG Y		05/14/2021	TD Ameritrade	1,476.108	10,752		10,733	0	0	0	0	0	0	10,733	0	19	19	24			
00143W-30-5	INVESCO CORE BOND Y		05/14/2021	TD AMERITRADE	33,360.869	226,782		236,390	204,495	4,133	0	0	4,133	0	236,390	0	(9,608)	(9,608)	1,398			
00214Q-10-4	ARK INNOVATION		05/14/2021	TD Ameritrade	73.000	7,574		8,826	0	0	0	0	0	0	8,826	0	(1,252)	(1,252)	0			
015565-36-9	ALGER:SM CAP FOC Z		05/14/2021	TD AMERITRADE	2,394.343	70,968		73,570	56,061	(6,365)	0	0	(6,365)	0	73,570	0	(2,602)	(2,602)	0			
015570-77-3	ALGER INST:FOCUS EQTY Z		05/14/2021	TD AMERITRADE	1,178.884	68,021		48,000	65,251	(17,251)	0	0	(17,251)	0	48,000	0	20,021	20,021	0			
024556-22-0	AM BEACON:SSI A1 Y		05/14/2021	TD Ameritrade	42,419.541	467,840		470,682	0	0	0	0	0	0	470,682	0	(2,843)	(2,843)	0			
02508J-70-5	AMER CENT:SHT DUR I		05/14/2021	TD AMERITRADE	21,539.198	226,090		221,093	190,875	(3,930)	0	0	(3,930)	0	221,093	0	4,997	4,997	1,168			
091928-86-1	BLACKROCK:MC GRO I		05/14/2021	TD Ameritrade	277.011	12,225		12,426	0	0	0	0	0	0	12,426	0	(200)	(200)	0			
09260B-63-0	BLACKROCK:HY BD I		05/14/2021	TD AMERITRADE	12,896.681	100,780		92,541	76,188	(8,155)	0	0	(8,155)	0	92,541	0	8,239	8,239	1,659			
128119-13-8	CALAMOS:EVOLV WLD GR I		05/14/2021	TD Ameritrade	884.509	19,933		23,185	0	0	0	0	0	0	23,185	0	(3,252)	(3,252)	0			
128119-88-0	CALAMOS:MKT NEUT INC I		05/14/2021	TD Ameritrade	12,458.462	176,488		174,641	0	0	0	0	0	0	174,641	0	1,847	1,847	0			
277923-56-1	EATON VANCE SD G INC I		05/14/2021	TD AMERITRADE	75,391.583	610,576		611,857	553,573	383	0	0	383	0	611,857	0	(1,282)	(1,282)	3,878			
316071-10-9	FIDELITY CONTRAFUND		05/14/2021	TD AMERITRADE	10,064.339	177,439		173,758	122,038	4,044	0	0	4,044	0	173,758	0	3,681	3,681	0			
31635V-21-6	FIDELITY SH TR TRS BD IX		05/14/2021	TD AMERITRADE	18,477.871	198,514		200,590	198,905	668	0	0	668	0	200,590	0	(2,076)	(2,076)	607			
33738R-50-6	FRST TR VI:RIS DIV ACHV		05/14/2021	TD Ameritrade	194.000	9,390		8,919	0	0	0	0	0	0	8,919	0	471	471	0			
464286-52-5	ISHARES:MSCI GL MV FCTR		05/14/2021	TD Ameritrade	1,212.000	124,104		116,497	0	0	0	0	0	0	116,497	0	7,607	7,607	0			
46435G-10-2	ISHARES:CONVERTIBLE BOND		05/14/2021	TD Ameritrade	1,355.000	128,318		138,279	0	0	0	0	0	0	138,279	0	(9,962)	(9,962)	198			
74253Q-74-7	PRINCIPAL:MIDCAP INST		05/14/2021	TD Ameritrade	1,865.367	73,317		63,932	56,425	(3,940)	0	0	(3,940)	0	63,932	0	9,384	9,384	0			
92190B-84-4	VANGUARD DIV A I ETF		05/14/2021	TD Ameritrade	212.000	32,973		30,164	3,812	(67)	0	0	(67)	0	30,164	0	2,809	2,809	109			
921937-80-1	VANGUARD INT-T B ADM		05/14/2021	TD AMERITRADE	11,511.806	139,610		141,725	143,263	(3,154)	0	0	(3,154)	0	141,725	0	(2,115)	(2,115)	1,254			
92647P-65-4	VICTORY11:MKT NEU I I		05/14/2021	TD Ameritrade	18,564.749	180,982		177,673	0	0	0	0	0	0	177,673	0	3,309	3,309	894			
9499999	Subtotal - Common Stocks - Mutual Funds					3,187,701	XXX	3,159,516	1,670,885	(33,633)	0	0	(33,633)	0	3,159,516	0	28,185	28,185	11,683	XXX	XXX	
9799997	Total - Common Stocks - Part 4					4,236,905	XXX	4,043,295	2,433,860	(230,759)	0	0	(230,759)	0	4,043,295	0	193,610	193,610	26,400	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					4,236,905	XXX	4,043,295	2,433,860	(230,759)	0	0	(230,759)	0	4,043,295	0	193,610	193,610	26,400	XXX	XXX	
9899999	Total - Preferred and Common Stocks					4,410,195	XXX	4,194,750	2,433,860	(230,759)	0	0	(230,759)	0	4,194,750	0	215,445	215,445	28,615	XXX	XXX	
9999999	Totals					15,772,592	XXX	15,618,039	13,054,115	(236,158)	(38,660)	0	(274,818)	0	15,515,4							

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
Fifth Third Bank ..... Cincinnati, Ohio .....		0.000	0	0	3,044,520	7,510,237	11,021,174	XXX
Fifth Third Bank ..... Cincinnati, Ohio .....					100,000	100,000	100,000	XXX
Fifth Third Bank ..... Cincinnati, Ohio .....					5,841,491	6,648,039	7,497,909	XXX
Fifth Third Bank ..... Cincinnati, Ohio .....					4,787,638	4,851,174	4,952,883	XXX
TD Ameritrade ..... Omaha, NE .....					28,871	36,564	26,899	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	13,802,520	19,146,014	23,598,865	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	13,802,520	19,146,014	23,598,865	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	13,802,520	19,146,014	23,598,865	XXX

STATEMENT AS OF JUNE 30, 2021 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

[illegible]