



QUARTERLY STATEMENT

As of March 31, 2021
of the Condition and Affairs of the

AMERICAN EMPIRE SURPLUS LINES INSURANCE COMPANY

NAIC Group Code....0084, 0084
(Current Period) (Prior Period)

NAIC Company Code.... 35351

Employer's ID Number.... 31-0912199

Organized under the Laws of OH

State of Domicile or Port of Entry OH

Country of Domicile US

Incorporated/Organized..... July 15, 1977

Commenced Business..... December 1, 1977

Statutory Home Office

301 E. Fourth Street .. Cincinnati .. OH .. US .. 45202
(Street and Number) (City or Town, State, Country and Zip Code)

513-369-5000

Main Administrative Office

301 E. Fourth Street .. Cincinnati .. OH .. US .. 45202
(Street and Number) (City or Town, State, Country and Zip Code)

(Area Code) (Telephone Number)

Mail Address

301 E. Fourth Street .. Cincinnati .. OH .. US .. 45202
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records

301 E. Fourth Street .. Cincinnati .. OH .. US .. 45202
(Street and Number) (City or Town, State, Country and Zip Code)

513-369-5000

Internet Web Site Address

www.greatamericaninsurancegroup.com

Statutory Statement Contact

Robert James Schwartz

513-369-5092

(Name)
BSchwartz@graig.com
(E-Mail Address)

(Area Code) (Telephone Number) (Extension)

513-369-5000

(Fax Number)

OFFICERS

Name	Title
1. Gary John Gruber	President
3. Robert James Schwartz	Vice President & Controller

Name	Title
2. Matthew David Felvus	Secretary
4. Lisa Ann Hays	Vice President & Actuary

OTHER

Anthony Joseph Mercurio	Executive Vice President
David Lawrence Thompson Jr.	Executive Vice President
Aaron Beasy Latto	Senior Vice President
Carol Prevatt Sipe	Senior Vice President
David John Witzgall	Senior Vice President, CFO & Treasurer
John William Tholen	Vice President
Stephen Charles Beraha	Assistant Vice President & Assistant Secretary
Robert Jude Zbacnik	Assistant Treasurer

Michael Eugene Sullivan Jr.	Executive Vice President
Sue Ann Erhart	Senior Vice President & General Counsel
James Louis Muething	Senior Vice President
Bruce Robert Smith Jr.	Senior Vice President
Annette Denise Gardner	Vice President & Assistant Treasurer
Magdalena Franziska Kulik Grossman	Chief Compliance Officer
Howard Kim Baird	Assistant Treasurer

DIRECTORS OR TRUSTEES

Michelle Ann Gillis	Gary John Gruber
David Lawrence Thompson Jr.	David John Witzgall

Anthony Joseph Mercurio	#
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Michael Eugene Sullivan, Jr.

State of..... Ohio
County of..... Hamilton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Gary J. Gruber

(Signature)

Gary John Gruber

President
(Title)

Matthew David Felvus

(Signature)

Matthew David Felvus

Secretary
(Title)

Robert James Schwartz

(Signature)

Robert James Schwartz

Vice President & Controller
(Title)

Subscribed and sworn to before me
This 6th day of May, 2021

Holly M Clayton
Notary Public State of Ohio
My Commission Expires April 28, 2025

a. Is this an original filing?

Yes [X] No []

b. If no: 1. State the amendment number

2. Date filed

3. Number of pages attached

