



QUARTERLY STATEMENT

As of March 31, 2021  
of the Condition and Affairs of the

FIRST CATHOLIC SLOVAK UNION OF THE UNITED  
STATES OF AMERICA & CANADA

NAIC Group Code..... 0, 0  
(Current Period) (Prior Period)

NAIC Company Code..... 56340

Employer's ID Number..... 34-0220550

Organized under the Laws of OH

State of Domicile or Port of Entry OH

Country of Domicile US

Licensed as Business Type: Fraternal Benefit Society

Incorporated/Organized..... January 9, 1892

Commenced Business..... October 1, 1890

Statutory Home Office  
6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office  
6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131  
(Street and Number) (City or Town, State, Country and Zip Code)

216-642-9406  
(Area Code) (Telephone Number)

Mail Address  
6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131  
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records  
6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131  
(Street and Number) (City or Town, State, Country and Zip Code)

216-642-9406  
(Area Code) (Telephone Number)

Internet Web Site Address  
WWW.FCSU.COM

Statutory Statement Contact  
KENNETH ANTHONY ARENDT  
(Name)

216-642-9406  
(Area Code) (Telephone Number) (Extension)

FCSU@AOL.COM  
(E-Mail Address)

216-642-4310  
(Fax Number)

OFFICERS

| Name                   | Title           | Name                      | Title               |
|------------------------|-----------------|---------------------------|---------------------|
| 1. ANDREW MATHEW RAJEC | PRESIDENT       | 2. KENNETH ANTHONY ARENDT | EXECUTIVE SECRETARY |
| 3. GEORGE F. MATTA II  | TREASURER       | 4. ANDREW R. HARCAR SR    | VICE PRESIDENT      |
| OTHER                  |                 |                           |                     |
| GARY J. MATTA          | GENERAL COUNSEL | EDWARD COWMAN             | ACTUARY             |

DIRECTORS OR TRUSTEES

|                      |                     |                        |                    |
|----------------------|---------------------|------------------------|--------------------|
| ANDREW MATHEW RAJEC  | ANDREW R. HARCAR SR | KENNETH ANTHONY ARENDT | GEORGE F. MATTA II |
| REV. THOMAS NASTA    | SABINA SABADOS      | THOMAS IVANEC          | JAMES MARMOL       |
| MARTHA ZAVADA-WOJCIK | MILOS MITRO         | DAMIAN NASTA           | KEVIN COLLINS      |
| MICHAEL LAKO         | TIMOTHY GRAVES      |                        |                    |

State of..... OHIO  
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)  
ANDREW MATHEW RAJEC  
1. (Printed Name)  
PRESIDENT  
(Title)

(Signature)  
KENNETH ANTHONY ARENDT  
2. (Printed Name)  
EXECUTIVE SECRETARY  
(Title)

(Signature)  
GEORGE F. MATTA II  
3. (Printed Name)  
TREASURER  
(Title)

Subscribed and sworn to before me  
This 11th day of MAY, 2021

a. Is this an original filing?  
b. If no: 1. State the amendment number  
2. Date filed  
3. Number of pages attached

Yes [ X ] No [ ]

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
ASSETS

|                                                                                                                                                      | Current Statement Date |                    |                                   | 4                                          |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--------------------|-----------------------------------|--------------------------------------------|
|                                                                                                                                                      | 1                      | 2                  | 3                                 |                                            |
|                                                                                                                                                      | Assets                 | Nonadmitted Assets | Net Admitted Assets (Cols. 1 - 2) | December 31 Prior Year Net Admitted Assets |
| 1. Bonds.....                                                                                                                                        | 397,344,796            |                    | 397,344,796                       | 398,930,917                                |
| 2. Stocks:                                                                                                                                           |                        |                    |                                   |                                            |
| 2.1 Preferred stocks.....                                                                                                                            | 500,000                |                    | 500,000                           | 500,000                                    |
| 2.2 Common stocks.....                                                                                                                               | 2,076,039              |                    | 2,076,039                         | 2,066,768                                  |
| 3. Mortgage loans on real estate:                                                                                                                    |                        |                    |                                   |                                            |
| 3.1 First liens.....                                                                                                                                 | 3,926,028              |                    | 3,926,028                         | 966,976                                    |
| 3.2 Other than first liens.....                                                                                                                      |                        |                    | 0                                 |                                            |
| 4. Real estate:                                                                                                                                      |                        |                    |                                   |                                            |
| 4.1 Properties occupied by the company (less \$.....0 encumbrances).....                                                                             | 507,486                |                    | 507,486                           | 512,395                                    |
| 4.2 Properties held for the production of income (less \$.....0 encumbrances).....                                                                   | 349,533                |                    | 349,533                           | 359,364                                    |
| 4.3 Properties held for sale (less \$.....0 encumbrances).....                                                                                       |                        |                    | 0                                 |                                            |
| 5. Cash (\$.....56,659,824), cash equivalents (\$.....0) and short-term investments (\$.....0).....                                                  | 56,659,824             |                    | 56,659,824                        | 39,547,813                                 |
| 6. Contract loans (including \$.....0 premium notes).....                                                                                            | 1,174,400              |                    | 1,174,400                         | 1,169,065                                  |
| 7. Derivatives.....                                                                                                                                  |                        |                    | 0                                 |                                            |
| 8. Other invested assets.....                                                                                                                        | 9,527,337              |                    | 9,527,337                         | 8,964,590                                  |
| 9. Receivables for securities.....                                                                                                                   |                        |                    | 0                                 |                                            |
| 10. Securities lending reinvested collateral assets.....                                                                                             |                        |                    | 0                                 |                                            |
| 11. Aggregate write-ins for invested assets.....                                                                                                     | 0                      | 0                  | 0                                 | 0                                          |
| 12. Subtotals, cash and invested assets (Lines 1 to 11).....                                                                                         | 472,065,443            | 0                  | 472,065,443                       | 453,017,888                                |
| 13. Title plants less \$.....0 charged off (for Title insurers only).....                                                                            |                        |                    | 0                                 |                                            |
| 14. Investment income due and accrued.....                                                                                                           | 4,734,776              |                    | 4,734,776                         | 4,583,834                                  |
| 15. Premiums and considerations:                                                                                                                     |                        |                    |                                   |                                            |
| 15.1 Uncollected premiums and agents' balances in the course of collection.....                                                                      | 95,166                 |                    | 95,166                            | 46,755                                     |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)..... |                        |                    | 0                                 |                                            |
| 15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....                                              |                        |                    | 0                                 |                                            |
| 16. Reinsurance:                                                                                                                                     |                        |                    |                                   |                                            |
| 16.1 Amounts recoverable from reinsurers.....                                                                                                        |                        |                    | 0                                 |                                            |
| 16.2 Funds held by or deposited with reinsured companies.....                                                                                        |                        |                    | 0                                 |                                            |
| 16.3 Other amounts receivable under reinsurance contracts.....                                                                                       |                        |                    | 0                                 |                                            |
| 17. Amounts receivable relating to uninsured plans.....                                                                                              |                        |                    | 0                                 |                                            |
| 18.1 Current federal and foreign income tax recoverable and interest thereon.....                                                                    |                        |                    | 0                                 |                                            |
| 18.2 Net deferred tax asset.....                                                                                                                     |                        |                    | 0                                 |                                            |
| 19. Guaranty funds receivable or on deposit.....                                                                                                     |                        |                    | 0                                 |                                            |
| 20. Electronic data processing equipment and software.....                                                                                           |                        |                    | 0                                 |                                            |
| 21. Furniture and equipment, including health care delivery assets (\$.....0).....                                                                   |                        |                    | 0                                 |                                            |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates.....                                                                      |                        |                    | 0                                 |                                            |
| 23. Receivables from parent, subsidiaries and affiliates.....                                                                                        |                        |                    | 0                                 |                                            |
| 24. Health care (\$.....0) and other amounts receivable.....                                                                                         |                        |                    | 0                                 |                                            |
| 25. Aggregate write-ins for other than invested assets.....                                                                                          | 550                    | 550                | 0                                 | 0                                          |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....                             | 476,895,935            | 550                | 476,895,385                       | 457,648,477                                |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....                                                                     |                        |                    | 0                                 |                                            |
| 28. Total (Lines 26 and 27).....                                                                                                                     | 476,895,935            | 550                | 476,895,385                       | 457,648,477                                |

| DETAILS OF WRITE-INS                                                     |     |     |   |   |
|--------------------------------------------------------------------------|-----|-----|---|---|
| 1101. ....                                                               |     |     | 0 |   |
| 1102. ....                                                               |     |     | 0 |   |
| 1103. ....                                                               |     |     | 0 |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page..... | 0   | 0   | 0 | 0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....       | 0   | 0   | 0 | 0 |
| 2501. Deposits 550.....                                                  | 550 | 550 | 0 |   |
| 2502. ....                                                               |     |     | 0 |   |
| 2503. ....                                                               |     |     | 0 |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page..... | 0   | 0   | 0 | 0 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....       | 550 | 550 | 0 | 0 |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
LIABILITIES, SURPLUS AND OTHER FUNDS

|                                                                                                                                                                                                                          | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------|
| 1. Aggregate reserve for life contracts \$.....0 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....                                                                                              | .....389,155,907               | .....365,767,214               |
| 2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....                                                                                                                           | .....                          | .....                          |
| 3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....                                                                                                                                          | .....31,785,695                | .....34,827,372                |
| 4. Contract claims:                                                                                                                                                                                                      |                                |                                |
| 4.1 Life.....                                                                                                                                                                                                            | .....300,000                   | .....300,000                   |
| 4.2 Accident and health.....                                                                                                                                                                                             | .....                          | .....                          |
| 5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....                                                                                                                         | .....400,000                   | .....400,000                   |
| 6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:                                                                                         |                                |                                |
| 6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....                                                                                                                  | .....                          | .....                          |
| 6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....                                                                                                                      | .....                          | .....                          |
| 6.3 Coupons and similar benefits (including \$.....0 Modco).....                                                                                                                                                         | .....                          | .....                          |
| 7. Amount provisionally held for deferred dividend policies not included in Line 6.....                                                                                                                                  | .....                          | .....                          |
| 8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....                                       | .....52,965                    | .....39,580                    |
| 9. Contract liabilities not included elsewhere:                                                                                                                                                                          |                                |                                |
| 9.1 Surrender values on canceled contracts.....                                                                                                                                                                          | .....                          | .....                          |
| 9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act..... | .....                          | .....                          |
| 9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded.....                                                                                                                             | .....                          | .....                          |
| 9.4 Interest Maintenance Reserve.....                                                                                                                                                                                    | .....1,071,703                 | .....921,860                   |
| 10. Commissions to agents due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....                                                               | .....211,602                   | .....101,745                   |
| 11. Commissions and expense allowances payable on reinsurance assumed.....                                                                                                                                               | .....                          | .....                          |
| 12. General expenses due or accrued.....                                                                                                                                                                                 | .....15,515                    | .....33,278                    |
| 13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....                                                     | .....                          | .....                          |
| 14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....                                                                                                                                         | .....19,006                    | .....19,006                    |
| 15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....                                                                                                                | .....                          | .....                          |
| 15.2 Net deferred tax liability.....                                                                                                                                                                                     | .....                          | .....                          |
| 16. Unearned investment income.....                                                                                                                                                                                      | .....                          | .....                          |
| 17. Amounts withheld or retained by reporting entity as agent or trustee.....                                                                                                                                            | .....6,312,855                 | .....6,296,008                 |
| 18. Amounts held for agents' account, including \$.....0 agents' credit balances.....                                                                                                                                    | .....                          | .....                          |
| 19. Remittances and items not allocated.....                                                                                                                                                                             | .....                          | .....                          |
| 20. Net adjustment in assets and liabilities due to foreign exchange rates.....                                                                                                                                          | .....9,511                     | .....9,511                     |
| 21. Liability for benefits for employees and agents if not included above.....                                                                                                                                           | .....                          | .....                          |
| 22. Borrowed money \$.....0 and interest thereon \$.....0.....                                                                                                                                                           | .....                          | .....                          |
| 23. Dividends to stockholders declared and unpaid.....                                                                                                                                                                   | .....                          | .....                          |
| 24. Miscellaneous liabilities:                                                                                                                                                                                           |                                |                                |
| 24.01 Asset valuation reserve.....                                                                                                                                                                                       | .....3,276,164                 | .....3,100,321                 |
| 24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....                                                                                                                                                | .....                          | .....                          |
| 24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....                                                                                                                   | .....                          | .....                          |
| 24.04 Payable to parent, subsidiaries and affiliates.....                                                                                                                                                                | .....                          | .....                          |
| 24.05 Drafts outstanding.....                                                                                                                                                                                            | .....                          | .....                          |
| 24.06 Liability for amounts held under uninsured plans.....                                                                                                                                                              | .....                          | .....                          |
| 24.07 Funds held under coinsurance.....                                                                                                                                                                                  | .....                          | .....                          |
| 24.08 Derivatives.....                                                                                                                                                                                                   | .....                          | .....                          |
| 24.09 Payable for securities.....                                                                                                                                                                                        | .....                          | .....1,000,000                 |
| 24.10 Payable for securities lending.....                                                                                                                                                                                | .....                          | .....                          |
| 24.11 Capital notes \$.....0 and interest thereon \$.....0.....                                                                                                                                                          | .....                          | .....                          |
| 25. Aggregate write-ins for liabilities.....                                                                                                                                                                             | .....1,253,342                 | .....1,060,987                 |
| 26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....                                                                                                                                          | .....433,864,265               | .....413,876,882               |
| 27. From Separate Accounts statement.....                                                                                                                                                                                | .....                          | .....                          |
| 28. Total liabilities (Lines 26 and 27).....                                                                                                                                                                             | .....433,864,265               | .....413,876,882               |
| 29. Common capital stock.....                                                                                                                                                                                            | .....                          | .....                          |
| 30. Preferred capital stock.....                                                                                                                                                                                         | .....                          | .....                          |
| 31. Aggregate write-ins for other-than-special surplus funds.....                                                                                                                                                        | .....0                         | .....0                         |
| 32. Surplus notes.....                                                                                                                                                                                                   | .....                          | .....                          |
| 33. Gross paid in and contributed surplus.....                                                                                                                                                                           | .....                          | .....                          |
| 34. Aggregate write-ins for special surplus funds.....                                                                                                                                                                   | .....0                         | .....0                         |
| 35. Unassigned funds (surplus).....                                                                                                                                                                                      | .....43,031,120                | .....43,771,595                |
| 36. Less treasury stock, at cost:                                                                                                                                                                                        |                                |                                |
| 36.1 ....0.000 shares common (value included in Line 29 \$.....0).....                                                                                                                                                   | .....                          | .....                          |
| 36.2 ....0.000 shares preferred (value included in Line 30 \$.....0).....                                                                                                                                                | .....                          | .....                          |
| 37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....                                                                                                           | .....43,031,120                | .....43,771,595                |
| 38. Totals of Lines 29, 30 and 37.....                                                                                                                                                                                   | .....43,031,120                | .....43,771,595                |
| 39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....                                                                                                                                                             | .....476,895,385               | .....457,648,477               |
| DETAILS OF WRITE-INS                                                                                                                                                                                                     |                                |                                |
| 2501. Postretirement Reserve.....                                                                                                                                                                                        | .....428,568                   | .....428,568                   |
| 2502. Security Deposits.....                                                                                                                                                                                             | .....6,901                     | .....6,901                     |
| 2503. Convention Accrual.....                                                                                                                                                                                            | .....675,000                   | .....600,000                   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page.....                                                                                                                                                 | .....142,873                   | .....25,518                    |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....                                                                                                                                                       | .....1,253,342                 | .....1,060,987                 |
| 3101. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3102. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3103. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3198. Summary of remaining write-ins for Line 31 from overflow page.....                                                                                                                                                 | .....0                         | .....0                         |
| 3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....                                                                                                                                                       | .....0                         | .....0                         |
| 3401. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3402. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3403. ....                                                                                                                                                                                                               | .....                          | .....                          |
| 3498. Summary of remaining write-ins for Line 34 from overflow page.....                                                                                                                                                 | .....0                         | .....0                         |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....                                                                                                                                                       | .....0                         | .....0                         |

SUMMARY OF OPERATIONS

|                                                                                                                                                                                          | 1<br>Current<br>Year to Date | 2<br>Prior<br>Year to Date | 3<br>Prior Year Ended<br>December 31 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| 1. Premiums and annuity considerations for life and accident and health contracts.....                                                                                                   | 26,029,250                   | 6,669,300                  | 46,423,786                           |
| 2. Considerations for supplementary contracts with life contingencies.....                                                                                                               |                              |                            |                                      |
| 3. Net investment income.....                                                                                                                                                            | 3,922,551                    | 7,710,796                  | 20,225,043                           |
| 4. Amortization of Interest Maintenance Reserve (IMR).....                                                                                                                               | 108,419                      | 94,314                     | 382,409                              |
| 5. Separate Accounts net gain from operations excluding unrealized gains or losses.....                                                                                                  |                              |                            |                                      |
| 6. Commissions and expense allowances on reinsurance ceded.....                                                                                                                          |                              |                            |                                      |
| 7. Reserve adjustments on reinsurance ceded.....                                                                                                                                         |                              |                            |                                      |
| 8. Miscellaneous Income:                                                                                                                                                                 |                              |                            |                                      |
| 8.1 Income from fees associated with investment management, administration and contract guarantees<br>from Separate Accounts.....                                                        |                              |                            |                                      |
| 8.2 Charges and fees for deposit-type contracts.....                                                                                                                                     |                              |                            |                                      |
| 8.3 Aggregate write-ins for miscellaneous income.....                                                                                                                                    | 375                          | 950                        | 4,986                                |
| 9. Totals (Lines 1 to 8.3).....                                                                                                                                                          | 30,060,595                   | 14,475,360                 | 67,036,224                           |
| 10. Death benefits.....                                                                                                                                                                  | 847,118                      | 910,926                    | 3,771,531                            |
| 11. Matured endowments (excluding guaranteed annual pure endowments).....                                                                                                                |                              |                            |                                      |
| 12. Annuity benefits.....                                                                                                                                                                | 4,648,343                    | 4,150,472                  | 14,293,721                           |
| 13. Disability benefits and benefits under accident and health contracts.....                                                                                                            |                              |                            |                                      |
| 14. Coupons, guaranteed annual pure endowments and similar benefits.....                                                                                                                 |                              |                            |                                      |
| 15. Surrender benefits and withdrawals for life contracts.....                                                                                                                           | 105,357                      | 158,613                    | 488,503                              |
| 16. Group conversions.....                                                                                                                                                               |                              |                            |                                      |
| 17. Interest and adjustments on contract or deposit-type contract funds.....                                                                                                             | 257,467                      | 43,975                     | 396,297                              |
| 18. Payments on supplementary contracts with life contingencies.....                                                                                                                     |                              |                            |                                      |
| 19. Increase in aggregate reserves for life and accident and health contracts.....                                                                                                       | 23,388,693                   | 4,069,440                  | 40,205,932                           |
| 20. Totals (Lines 10 to 19).....                                                                                                                                                         | 29,246,978                   | 9,333,426                  | 59,155,984                           |
| 21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....                                                                          | 562,670                      | 116,312                    | 860,087                              |
| 22. Commissions and expense allowances on reinsurance assumed.....                                                                                                                       |                              |                            |                                      |
| 23. General insurance expenses and fraternal expenses.....                                                                                                                               | 1,054,695                    | 898,182                    | 3,205,008                            |
| 24. Insurance taxes, licenses and fees, excluding federal income taxes.....                                                                                                              | 33,626                       | 34,282                     | 115,530                              |
| 25. Increase in loading on deferred and uncollected premiums.....                                                                                                                        |                              |                            |                                      |
| 26. Net transfers to or (from) Separate Accounts net of reinsurance.....                                                                                                                 |                              |                            |                                      |
| 27. Aggregate write-ins for deductions.....                                                                                                                                              | (221,077)                    | (219,673)                  | (731,800)                            |
| 28. Totals (Lines 20 to 27).....                                                                                                                                                         | 30,676,892                   | 10,162,529                 | 62,604,809                           |
| 29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....                                                                      | (616,297)                    | 4,312,831                  | 4,431,415                            |
| 30. Dividends to policyholders and refunds to members.....                                                                                                                               | 78,725                       | 77,455                     | 429,586                              |
| 31. Net gain from operations after dividends to policyholders, refunds to members and<br>before federal income taxes (Line 29 minus Line 30).....                                        | (695,022)                    | 4,235,376                  | 4,001,829                            |
| 32. Federal and foreign income taxes incurred (excluding tax on capital gains).....                                                                                                      |                              |                            |                                      |
| 33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and<br>before realized capital gains or (losses) (Line 31 minus Line 32)..... | (695,022)                    | 4,235,376                  | 4,001,829                            |
| 34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains<br>tax of \$.00 (excluding taxes of \$.00 transferred to the IMR).....      | 4,116                        |                            | (449,472)                            |
| 35. Net income (Line 33 plus Line 34).....                                                                                                                                               | (690,906)                    | 4,235,376                  | 3,552,357                            |
| CAPITAL AND SURPLUS ACCOUNT                                                                                                                                                              |                              |                            |                                      |
| 36. Capital and surplus, December 31, prior year.....                                                                                                                                    | 43,771,595                   | 42,148,006                 | 42,148,006                           |
| 37. Net income (Line 35).....                                                                                                                                                            | (690,906)                    | 4,235,376                  | 3,552,357                            |
| 38. Change in net unrealized capital gains (losses) less capital gains tax of \$.00.....                                                                                                 | 126,275                      | (3,754,239)                | (2,717,292)                          |
| 39. Change in net unrealized foreign exchange capital gain (loss).....                                                                                                                   |                              |                            |                                      |
| 40. Change in net deferred income tax.....                                                                                                                                               |                              |                            |                                      |
| 41. Change in nonadmitted assets.....                                                                                                                                                    |                              | 730                        | 1,946                                |
| 42. Change in liability for reinsurance in unauthorized and certified companies.....                                                                                                     |                              |                            |                                      |
| 43. Change in reserve on account of change in valuation basis, (increase) or decrease.....                                                                                               |                              |                            |                                      |
| 44. Change in asset valuation reserve.....                                                                                                                                               | (175,843)                    | 1,051,710                  | 892,881                              |
| 45. Change in treasury stock.....                                                                                                                                                        |                              |                            |                                      |
| 46. Surplus (contributed to) withdrawn from Separate Accounts during period.....                                                                                                         |                              |                            |                                      |
| 47. Other changes in surplus in Separate Accounts Statement.....                                                                                                                         |                              |                            |                                      |
| 48. Change in surplus notes.....                                                                                                                                                         |                              |                            |                                      |
| 49. Cumulative effect of changes in accounting principles.....                                                                                                                           |                              |                            |                                      |
| 50. Capital changes:                                                                                                                                                                     |                              |                            |                                      |
| 50.1 Paid in.....                                                                                                                                                                        |                              |                            |                                      |
| 50.2 Transferred from surplus (Stock Dividend).....                                                                                                                                      |                              |                            |                                      |
| 50.3 Transferred to surplus.....                                                                                                                                                         |                              |                            |                                      |
| 51. Surplus adjustment:                                                                                                                                                                  |                              |                            |                                      |
| 51.1 Paid in.....                                                                                                                                                                        |                              |                            |                                      |
| 51.2 Transferred to capital (Stock Dividend).....                                                                                                                                        |                              |                            |                                      |
| 51.3 Transferred from capital.....                                                                                                                                                       |                              |                            |                                      |
| 51.4 Change in surplus as a result of reinsurance.....                                                                                                                                   |                              |                            |                                      |
| 52. Dividends to stockholders.....                                                                                                                                                       |                              |                            |                                      |
| 53. Aggregate write-ins for gains and losses in surplus.....                                                                                                                             | 0                            | 6,534                      | (106,303)                            |
| 54. Net change in capital and surplus (Lines 37 through 53).....                                                                                                                         | (740,474)                    | 1,540,111                  | 1,623,589                            |
| 55. Capital and surplus as of statement date (Lines 36 + 54).....                                                                                                                        | 43,031,120                   | 43,688,117                 | 43,771,595                           |
| DETAILS OF WRITE-INS                                                                                                                                                                     |                              |                            |                                      |
| 08.301. ADVERTISING & SUBSCRIPTION INCOME.....                                                                                                                                           | 375                          | 950                        | 2,605                                |
| 08.302. MISCELLANEOUS INCOME.....                                                                                                                                                        |                              |                            | 2,381                                |
| 08.303. ....                                                                                                                                                                             |                              |                            |                                      |
| 08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....                                                                                                              | 0                            | 0                          | 0                                    |
| 08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....                                                                                                              | 375                          | 950                        | 4,986                                |
| 2701. Net Change Pension Fund.....                                                                                                                                                       | (221,077)                    | (219,673)                  | (731,800)                            |
| 2702. ....                                                                                                                                                                               |                              |                            |                                      |
| 2703. ....                                                                                                                                                                               |                              |                            |                                      |
| 2798. Summary of remaining write-ins for Line 27 from overflow page.....                                                                                                                 | 0                            | 0                          | 0                                    |
| 2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....                                                                                                                       | (221,077)                    | (219,673)                  | (731,800)                            |
| 5301. ACCRUAL & ASSET ADJUSTMENTS.....                                                                                                                                                   |                              | 6,534                      | (106,303)                            |
| 5302. PRIOR YEAR ADJUSTMENT SCHEDULE BA SECURITIES.....                                                                                                                                  |                              |                            |                                      |
| 5303. ....                                                                                                                                                                               |                              |                            |                                      |
| 5398. Summary of remaining write-ins for Line 53 from overflow page.....                                                                                                                 | 0                            | 0                          | 0                                    |
| 5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....                                                                                                                       | 0                            | 6,534                      | (106,303)                            |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
CASH FLOW

|                                                                                                                     | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| CASH FROM OPERATIONS                                                                                                |                              |                            |                                      |
| 1. Premiums collected net of reinsurance.....                                                                       | 25,994,224                   | 6,649,468                  | 46,383,597                           |
| 2. Net investment income.....                                                                                       | 3,992,155                    | 7,798,234                  | 21,584,333                           |
| 3. Miscellaneous income.....                                                                                        | 375                          | 950                        | 4,986                                |
| 4. Total (Lines 1 through 3).....                                                                                   | 29,986,754                   | 14,448,652                 | 67,972,916                           |
| 5. Benefit and loss related payments.....                                                                           | 5,858,285                    | 5,263,986                  | 18,950,052                           |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....                         |                              |                            |                                      |
| 7. Commissions, expenses paid and aggregate write-ins for deductions.....                                           | 1,145,465                    | 561,366                    | 3,087,847                            |
| 8. Dividends paid to policyholders.....                                                                             | 78,725                       | 77,455                     | 429,586                              |
| 9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....             |                              |                            |                                      |
| 10. Total (Lines 5 through 9).....                                                                                  | 7,082,475                    | 5,902,807                  | 22,467,485                           |
| 11. Net cash from operations (Line 4 minus Line 10).....                                                            | 22,904,279                   | 8,545,845                  | 45,505,431                           |
| CASH FROM INVESTMENTS                                                                                               |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:                                                              |                              |                            |                                      |
| 12.1 Bonds.....                                                                                                     | 14,680,959                   | 8,422,276                  | 65,325,536                           |
| 12.2 Stocks.....                                                                                                    |                              |                            |                                      |
| 12.3 Mortgage loans.....                                                                                            | 40,949                       | 4,469                      | 131,994                              |
| 12.4 Real estate.....                                                                                               |                              |                            |                                      |
| 12.5 Other invested assets.....                                                                                     | 582,961                      |                            | 1,832,100                            |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....                                |                              |                            | 53,523                               |
| 12.7 Miscellaneous proceeds.....                                                                                    |                              |                            | 1,000,000                            |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7).....                                                            | 15,304,869                   | 8,426,745                  | 68,343,153                           |
| 13. Cost of investments acquired (long-term only):                                                                  |                              |                            |                                      |
| 13.1 Bonds.....                                                                                                     | 12,975,070                   | 11,788,153                 | 90,186,692                           |
| 13.2 Stocks.....                                                                                                    |                              |                            | 860,448                              |
| 13.3 Mortgage loans.....                                                                                            | 3,000,000                    |                            | 1,000,000                            |
| 13.4 Real estate.....                                                                                               |                              | 223,272                    |                                      |
| 13.5 Other invested assets.....                                                                                     | 1,091,899                    |                            | 1,623,777                            |
| 13.6 Miscellaneous applications.....                                                                                | 1,000,000                    |                            |                                      |
| 13.7 Total investments acquired (Lines 13.1 to 13.6).....                                                           | 18,066,969                   | 12,011,425                 | 93,670,917                           |
| 14. Net increase or (decrease) in contract loans and premium notes.....                                             | 5,335                        | (18,097)                   | (35,441)                             |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....                                          | (2,767,434)                  | (3,566,583)                | (25,292,323)                         |
| CASH FROM FINANCING AND MISCELLANEOUS SOURCES                                                                       |                              |                            |                                      |
| 16. Cash provided (applied):                                                                                        |                              |                            |                                      |
| 16.1 Surplus notes, capital notes.....                                                                              |                              |                            |                                      |
| 16.2 Capital and paid in surplus, less treasury stock.....                                                          |                              |                            |                                      |
| 16.3 Borrowed funds.....                                                                                            |                              |                            |                                      |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities.....                                    | (3,041,677)                  |                            | (1,439,544)                          |
| 16.5 Dividends to stockholders.....                                                                                 |                              |                            |                                      |
| 16.6 Other cash provided (applied).....                                                                             | 16,844                       | (295,760)                  | (55,894)                             |
| 17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)..... | (3,024,833)                  | (295,760)                  | (1,495,438)                          |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS                                                 |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....        | 17,112,011                   | 4,683,502                  | 18,717,670                           |
| 19. Cash, cash equivalents and short-term investments:                                                              |                              |                            |                                      |
| 19.1 Beginning of year.....                                                                                         | 39,547,813                   | 20,830,144                 | 20,830,143                           |
| 19.2 End of period (Line 18 plus Line 19.1).....                                                                    | 56,659,824                   | 25,513,646                 | 39,547,813                           |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|         |  |  |  |
|---------|--|--|--|
| 20.0001 |  |  |  |
|---------|--|--|--|

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

|                                                        | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year<br>Ended December 31 |
|--------------------------------------------------------|------------------------------|----------------------------|--------------------------------------|
| 1. Industrial life.....                                |                              |                            |                                      |
| 2. Ordinary life insurance.....                        | 386,883                      | 371,950                    | 1,718,596                            |
| 3. Ordinary individual annuities.....                  | 25,642,366                   | 6,297,350                  | 44,741,922                           |
| 4. Credit life (group and individual).....             |                              |                            |                                      |
| 5. Group life insurance.....                           |                              |                            |                                      |
| 6. Group annuities.....                                |                              |                            |                                      |
| 7. A&H - group.....                                    |                              |                            |                                      |
| 8. A&H - credit (group and individual).....            |                              |                            |                                      |
| 9. A&H - other.....                                    |                              |                            |                                      |
| 10. Aggregate of all other lines of business.....      | 0                            | 0                          | 0                                    |
| 11. Subtotal (Lines 1 through 10).....                 | 26,029,249                   | 6,669,300                  | 46,460,518                           |
| 12. Fraternal ( Fraternal Benefit Societies Only)..... |                              |                            |                                      |
| 13. Subtotal (Lines 11 through 12).....                | 26,029,249                   | 6,669,300                  | 46,460,518                           |
| 14. Deposit-type contracts.....                        |                              |                            |                                      |
| 15. Total (Lines 13 and 14).....                       | 26,029,249                   | 6,669,300                  | 46,460,518                           |

DETAILS OF WRITE-INS

|                                                                          |   |   |   |
|--------------------------------------------------------------------------|---|---|---|
| 1001. ....                                                               |   |   |   |
| 1002. ....                                                               |   |   |   |
| 1003. ....                                                               |   |   |   |
| 1098. Summary of remaining write-ins for Line 10 from overflow page..... | 0 | 0 | 0 |
| 1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....        | 0 | 0 | 0 |

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

|                                                                                                                               | SSAP # | F/S Page | F/S Line # | Current Year to Date | 2020          |
|-------------------------------------------------------------------------------------------------------------------------------|--------|----------|------------|----------------------|---------------|
| NET INCOME                                                                                                                    |        |          |            |                      |               |
| (1) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 4, Line 35, Columns 1 & 3) | XXX    | XXX      | XXX        | \$ (690,906)         | \$ 3,552,357  |
| (2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP                                                  |        |          |            | \$                   | \$            |
| (3) State Permitted Practices that are an increase/(decrease) from NAIC SAP                                                   |        |          |            | \$                   | \$            |
| (4) NAIC SAP (1 – 2 – 3 = 4)                                                                                                  | XXX    | XXX      | XXX        | \$ (690,906)         | \$ 3,552,357  |
| SURPLUS                                                                                                                       |        |          |            |                      |               |
| (5) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 3, line 38, Columns 1 & 2) | XXX    | XXX      | XXX        | \$ 43,031,120        | \$ 43,771,595 |
| (6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP                                                  |        |          |            | \$                   | \$            |
| (7) State Permitted Practices that are an increase/(decrease) from NAIC SAP                                                   |        |          |            | \$                   | \$            |
| (8) NAIC SAP (5 – 6 – 7 = 8)                                                                                                  | XXX    | XXX      | XXX        | \$ 43,031,120        | \$ 43,771,595 |

B. Use of Estimates in the Preparation of the Financial Statement  
No significant changes

C. Accounting Policy NONE

D. Going Concern  
After carefully evaluating the Society's ability to continue as a going concern, Society management was not aware of any conditions or events which raised substantial doubts concerning the Society's ability to continue as a going concern as of the date of the filing of this statement.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes
- B. Debt Restructuring

No significant changes
- C. Reverse Mortgages

No significant changes
- D. Loan-Backed Securities

NONE
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions

NONE
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

NONE

Repurchase Transaction – Cash Taker – Overview of Secured Borrowing Transactions
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

NONE

Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions
- H. Repurchase Agreements Transactions Accounted for as a Sale

NONE

Repurchase Transaction – Cash Taker – Overview of Sale Transactions
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

NONE

Repurchase Transaction – Cash Provider – Overview of Sale Transactions
- J. Real Estate

No significant changes

NOTES TO FINANCIAL STATEMENTS

- K.

Low-Income Housing Tax Credits (LIHTC)  
No significant changes
- L.

Restricted Assets  
No significant changes
- M.

Working Capital Finance Investments

NONE
- (
- N.

Offsetting and Netting of Assets and Liabilities

NONE
- O.- R

NONE

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments

- A.

Derivatives Under SSAP No. 86 – Derivatives  
(1 - 7)

NONE
- (8)

Total Premium Costs for Contracts

NONE
- B.

Derivatives under SSAP No. 108 – Derivatives Hedging Variable Annuity Guarantees
- (1)

Discussion of Hedged Item/Hedging Instruments and Hedging Strategy  
No significant changes
- (2)

Recognition of Gains/Losses and Deferred Assets and Liabilities
- a.

Scheduled Amortization

NONE
- |           |    |    |
|-----------|----|----|
| 11. Total | \$ | \$ |
|-----------|----|----|
- b.

Total Deferred Balance

NONE
- |  |  |
|--|--|
|  |  |
|--|--|
- c.

Reconciliation of Amortization

NONE
- |  |  |
|--|--|
|  |  |
|  |  |
|  |  |
|  |  |
- (3)

Hedging Strategies Identified as No Longer Highly Effective  
No significant changes
- (4)

Hedging Strategies Terminated  
No significant changes

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

NO SIGNIFICANT CHANGES

Note 11 – Debt

- A.

Debt Including Capital Notes

No significant changes
- B.

FHLB (Federal Home Loan Bank) Agreements
- (1)

Information on the Nature of the Agreement  
The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company has conducted business activity (borrowings) with the FHLB. It is part of the Company’s strategy to utilize these funds in an investment spread strategy, consistent with its other investment spread operations. The Company has determined the actual/estimated maximum borrowing capacity as \$7,213,253. The Company calculated this amount in accordance with current FHLB capital stock.
- (2)

FHLB Capital Stock
- a.

Aggregate Totals
1.

Current Year to Date
- |                                |                     |                         |                           |
|--------------------------------|---------------------|-------------------------|---------------------------|
|                                | 1<br>Total<br>2 + 3 | 2<br>General<br>Account | 3<br>Separate<br>Accounts |
| (a) Membership Stock – Class A | \$ 666,439          | \$ 666,439              | \$                        |
| (b) Membership Stock – Class B |                     |                         |                           |
| (c) Activity Stock             | 1,014,061           | 1,014,061               |                           |
| (d) Excess Stock               |                     |                         |                           |
| (e) Aggregate Total (a+b+c+d)  | \$ 1,680,500        | \$ 1,680,500            | \$                        |

NOTES TO FINANCIAL STATEMENTS

|                                                             |                                                                     |                             |                         |                              |                        |              |
|-------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------|-------------------------|------------------------------|------------------------|--------------|
|                                                             |                                                                     | 1<br>Total<br>2 + 3         | 2<br>General<br>Account | 3<br>Separate<br>Accounts    |                        |              |
| (f)                                                         | Actual or estimated borrowing capacity as determined by the insurer | 27,618,599                  | XXX                     | XXX                          |                        |              |
| 2. Prior Year                                               |                                                                     |                             |                         |                              |                        |              |
|                                                             |                                                                     | 1<br>Total<br>2 + 3         | 2<br>General<br>Account | 3<br>Separate<br>Accounts    |                        |              |
| (a)                                                         | Membership Stock – Class A                                          | \$ 666,439                  | \$ 666,439              | \$                           |                        |              |
| (b)                                                         | Membership Stock – Class B                                          |                             |                         |                              |                        |              |
| (c)                                                         | Activity Stock                                                      | 1,014,061                   | 1,014,061               |                              |                        |              |
| (d)                                                         | Excess Stock                                                        |                             |                         |                              |                        |              |
| (e)                                                         | Aggregate Total (a+b+c+d)                                           | \$ 1,680,500                | \$ 1,680,500            | \$                           |                        |              |
| (f)                                                         | Actual or estimated borrowing capacity as determined by the insurer | 40,368,102                  | XXX                     | XXX                          |                        |              |
| b. Membership Stock (Class A and B) Eligible for Redemption |                                                                     |                             |                         |                              |                        |              |
|                                                             | 1                                                                   | 2                           | Eligible for Redemption |                              |                        |              |
|                                                             | Current Year to Date Total (2+3+4+5+6)                              | Not Eligible for Redemption | 3                       | 4                            | 5                      | 6            |
|                                                             | Membership Stock                                                    |                             | Less than 6 Months      | 6 Months to Less Than 1 Year | 1 to Less Than 3 Years | 3 to 5 Years |
| 1.                                                          | Class A                                                             | \$ 666,439                  | \$ 666,439              | \$                           | \$                     | \$           |
| 2.                                                          | Class B                                                             | \$                          | \$                      | \$                           | \$                     | \$           |

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

|    |                                                                                                  |                |                           |               |
|----|--------------------------------------------------------------------------------------------------|----------------|---------------------------|---------------|
|    | 1                                                                                                | 2              | 3                         |               |
|    | Fair Value                                                                                       | Carrying Value | Aggregate Total Borrowing |               |
| 1. | Current Year to Date Total General and Separate Accounts<br>Total Collateral Pledged (Lines 2+3) | \$ 38,770,232  | \$ 36,403,872             | \$ 27,618,599 |
| 2. | Current Year to Date General Account<br>Total Collateral Pledged                                 | 38,770,232     | 36,403,872                | 27,618,599    |
| 3. | Current Year to Date Separate Accounts<br>Total Collateral Pledged                               |                |                           |               |
| 4. | Prior Year Total General and Separate Accounts<br>Total Collateral Pledged                       | \$ 43,680,603  | \$ 40,368,102             | \$ 30,850,973 |

b. Maximum Amount Pledged During Reporting Period

|    |                                                                                                  |                |                                                  |               |
|----|--------------------------------------------------------------------------------------------------|----------------|--------------------------------------------------|---------------|
|    | 1                                                                                                | 2              | 3                                                |               |
|    | Fair Value                                                                                       | Carrying Value | Amount of Borrowed at Time of Maximum Collateral |               |
| 1. | Current Year to Date Total General and Separate Accounts<br>Total Collateral Pledged (Lines 2+3) | \$ 43,636,992  | \$ 40,333,102                                    | \$ 30,773,607 |
| 2. | Current Year to Date General Account<br>Total Collateral Pledged                                 | 43,636,992     | 40,333,102                                       | 30,773,607    |
| 3. | Current Year to Date Separate Accounts<br>Total Collateral Pledged                               |                |                                                  |               |
| 4. | Prior Year Total General and Separate Accounts<br>Total Collateral Pledged                       | \$ 46,181,867  | \$ 44,136,375                                    | \$ 32,413,615 |

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Year to Date

|     |                         |                         |                           |                                                 |
|-----|-------------------------|-------------------------|---------------------------|-------------------------------------------------|
|     | 1<br>Total<br>2 + 3     | 2<br>General<br>Account | 3<br>Separate<br>Accounts | 4<br>Funding Agreements<br>Reserves Established |
| (a) | Debt                    | \$                      | \$                        | XXX                                             |
| (b) | Funding Agreements      |                         |                           | \$                                              |
| (c) | Other                   | 27,618,599              | 27,618,599                | XXX                                             |
| (d) | Aggregate Total (a+b+c) | \$ 27,618,599           | \$ 27,618,599             | \$                                              |

2. Prior Year

|     |                         |                         |                           |                                                 |
|-----|-------------------------|-------------------------|---------------------------|-------------------------------------------------|
|     | 1<br>Total<br>2 + 3     | 2<br>General<br>Account | 3<br>Separate<br>Accounts | 4<br>Funding Agreements<br>Reserves Established |
| (a) | Debt                    | \$                      | \$                        | XXX                                             |
| (b) | Funding Agreements      |                         |                           | \$                                              |
| (c) | Other                   | 30,850,973              | 30,850,973                | XXX                                             |
| (d) | Aggregate Total (a+b+c) | \$ 30,850,973           | \$ 30,850,973             | \$                                              |

b. Maximum Amount During Reporting Period (Current Year to Date)

|    |                               |                         |                           |
|----|-------------------------------|-------------------------|---------------------------|
|    | 1<br>Total<br>2 + 3           | 2<br>General<br>Account | 3<br>Separate<br>Accounts |
| 1. | Debt                          |                         |                           |
| 2. | Funding Agreements            |                         |                           |
| 3. | Other                         | 30,850,973              | 30,850,973                |
| 4. | Aggregate Total (Lines 1+2+3) | 30,850,973              | 30,850,973                |

c. FHLB – Prepayment Obligations

NOTES TO FINANCIAL STATEMENTS

|                       |                                                                                                 |
|-----------------------|-------------------------------------------------------------------------------------------------|
|                       | Does the Company have<br>Prepayment Obligations under<br>the Following Arrangements<br>(YES/NO) |
| 1. Debt               | NO                                                                                              |
| 2. Funding Agreements | NO                                                                                              |
| 3. Other              | NO                                                                                              |

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

(4) Components of Net Periodic Benefit Cost

|                                                                  | Pension Benefits        |            | Postretirement Benefits |           | Special or Contractual<br>Benefits per SSAP No. 11 |      |
|------------------------------------------------------------------|-------------------------|------------|-------------------------|-----------|----------------------------------------------------|------|
|                                                                  | Current Year to<br>Date | 2020       | Current Year to<br>Date | 2020      | Current Year to<br>Date                            | 2020 |
| a. Service cost                                                  | \$ 31,164               | \$ 145,763 | \$                      | \$ 4,495  | \$                                                 | \$   |
| b. Interest cost                                                 | 84,999                  | 356,262    |                         | 22,080    |                                                    |      |
| c. Expected return on plan<br>assets                             | (104,764)               | (385,682)  |                         |           |                                                    |      |
| d. Transition asset or<br>obligation                             |                         |            |                         |           |                                                    |      |
| e. Gains and losses                                              |                         | 33,365     |                         | 9,936     |                                                    |      |
| f. Prior service cost or<br>credit                               |                         |            |                         |           |                                                    |      |
| g. Gain or loss recognized<br>due to a settlement<br>curtailment |                         |            |                         |           |                                                    |      |
| h. Total net periodic<br>benefit cost                            | \$ 11,399               | \$ 149,708 | \$                      | \$ 36,511 | \$                                                 | \$   |

Note 13 – Capital and Surplus, Shareholder’s Dividend Restrictions and Quasi-Reorganizations

No significant changes

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. Transfers of Receivables Reported as Sales  
No significant changes
- B. Transfer and Servicing of Financial Assets **NONE**
- C. Wash Sales **NONE**

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

- A. Fair Value Measurements  
(1) Fair Value Measurements at Reporting Date

| Description for Each Type of Asset or Liability | Level 1 | Level 2 | Level 3 | Net Asset Value<br>(NAV) | Total |
|-------------------------------------------------|---------|---------|---------|--------------------------|-------|
| Assets at Fair Value                            |         |         |         |                          |       |

NOTES TO FINANCIAL STATEMENTS

| Description for Each Type of Asset or Liability | Level 1       | Level 2 | Level 3 | Net Asset Value (NAV) | Total         |
|-------------------------------------------------|---------------|---------|---------|-----------------------|---------------|
| Bonds                                           | \$ 214,390    | \$      | \$      | \$                    | \$ 214,390    |
| Common Stock                                    | \$ 2,066,080  | \$      | \$      | \$                    | \$ 2,066,080  |
| Parent Subsidiary                               | \$ 9,958      | \$      | \$      | \$                    | \$ 9,958      |
| Other Invested Assets                           | \$ 9,527,337  | \$      | \$      | \$                    | \$ 9,527,337  |
| Total                                           | \$ 11,817,765 | \$      | \$      | \$                    | \$ 11,817,765 |
| Liabilities at Fair Value                       |               |         |         |                       |               |
|                                                 | \$            | \$      | \$      | \$                    | \$            |
| Total                                           | \$            | \$      | \$      | \$                    | \$            |

(2 - 5) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy **NONE**

B.- E **NONE**

**Note 21 – Other Items**

No significant changes

**Note 22 – Events Subsequent**

Subsequent events have been considered through for these statutory financial statements which are to be issued on . There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

- A.

Method Used by the Reporting Entity to Estimate Accrued Retrospective Premium Adjustments

Not applicable
- B.

Disclose Whether Accrued Retrospective Premiums are Recorded Through Written Premium or as an Adjustment to Earned Premium

Not applicable
- C.

Disclose the Amount of Net Premiums Written Subject to Retrospective Rating Features

Not applicable
- D.

Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act

Not applicable
- E.

Risk Sharing Provisions of the Affordable Care Act

**NONE**

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

- A.

Change in Incurred Losses and Loss Adjustment Expenses

**NONE**
- B.

Information about Significant Changes in Methodologies and Assumptions

**NONE**

**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – Reserves for Life Contracts and Deposit-Type Contracts**

No significant changes

NOTES TO FINANCIAL STATEMENTS

**Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant changes

**Note 33 – Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant changes

**Note 34 – Premium and Annuity Considerations Deferred and Uncollected**

No significant changes

**Note 35 – Separate Accounts**

No significant changes

**Note 36 – Loss/Claim Adjustment Expenses**

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒

1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☐ No ☒

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

Yes ☐ No ☒

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

|                |                   |                   |
|----------------|-------------------|-------------------|
| 1              | 2                 | 3                 |
| Name of Entity | NAIC Company Code | State of Domicile |
|                |                   |                   |

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes ☐ No ☐ N/A ☒

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

03/01/2020

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2019

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

03/11/2021

6.4

By what department or departments?

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☒ No ☐ N/A ☐

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?

Yes ☐ No ☒

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

|                |                        |     |     |      |     |
|----------------|------------------------|-----|-----|------|-----|
| 1              | 2                      | 3   | 4   | 5    | 6   |
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
|                |                        |     |     |      |     |

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes ☒ No ☐

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes ☐ No ☒

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☐ No ☒

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0

13. Amount of real estate and mortgages held in short-term investments:

\$0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☒ No ☐

14.2 If yes, please complete the following:

|                                                                                               | 1<br>Prior Year End Book/Adjusted<br>Carrying Value | 2<br>Current Quarter Book/Adjusted<br>Carrying Value |
|-----------------------------------------------------------------------------------------------|-----------------------------------------------------|------------------------------------------------------|
| 14.21 Bonds                                                                                   | \$0                                                 | \$0                                                  |
| 14.22 Preferred Stock                                                                         | 0                                                   | 0                                                    |
| 14.23 Common Stock                                                                            | 9,958                                               | 0                                                    |
| 14.24 Short-Term Investments                                                                  | 0                                                   | 0                                                    |
| 14.25 Mortgage Loans on Real Estate                                                           | 0                                                   | 0                                                    |
| 14.26 All Other                                                                               | 0                                                   | 0                                                    |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$9,958                                             | \$0                                                  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above                       | \$0                                                 | \$0                                                  |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes ☐ No ☐ N/A ☒

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$0

16.3 Total payable for securities lending reported on the liability page:

\$0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes ☒ No ☐

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address                 |
|---------------------------|----------------------------------------|
| KEYBANK NA                | 127 PUBLIC SQUARE, CLEVELAND, OH 44114 |

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes ☐ No ☒

17.4 If yes, give full and complete information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of<br>Change | 4<br>Reason |
|--------------------|--------------------|------------------------|-------------|
|                    |                    |                        |             |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---------------------------------|------------------|
|                                 |                  |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes ☐ No ☒

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes ☐ No ☒

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration Depository<br>Number | 2<br>Name of Firm or Individual | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With | 5<br>Investment<br>Management<br>Agreement (IMA) Filed |
|------------------------------------------------|---------------------------------|------------------------------------|----------------------|--------------------------------------------------------|
|                                                |                                 |                                    |                      |                                                        |

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes ☒ No ☐

18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

19.

By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a.

Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b.

Issuer or obligor is current on all contracted interest and principal payments.

c.

The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes

[ ]

No

[ X ]

20.

By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

a.

The security was purchased prior to January 1, 2018.

b.

The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c.

The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d.

The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes

[ ]

No

[ X ]

21.

By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a.

The security was purchased prior to January 1, 2019.

b.

The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c.

The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d.

The fund only or predominantly holds bonds in its portfolio.

e.

The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f.

The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes

[ ]

No

[ X ]



SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1                       | 2         | 3              | 4                 | 5                           | 6                            | 7                      | 8                 | 9                                           | 10                                                 |
|-------------------------|-----------|----------------|-------------------|-----------------------------|------------------------------|------------------------|-------------------|---------------------------------------------|----------------------------------------------------|
| NAIC<br>Company<br>Code | ID Number | Effective Date | Name of Reinsurer | Domiciliary<br>Jurisdiction | Type of Reinsurance<br>Ceded | Type of Business Ceded | Type of Reinsurer | Certified Reinsurer<br>Rating (1 through 6) | Effective Date of<br>Certified Reinsurer<br>Rating |

NONE

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

|                                                                                                  |                                                                                             |     | 1                 | Direct Business Only    |                                                                          |                                                                    |                      |                           |                        |
|--------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|-----|-------------------|-------------------------|--------------------------------------------------------------------------|--------------------------------------------------------------------|----------------------|---------------------------|------------------------|
|                                                                                                  |                                                                                             |     |                   | Life Contracts          |                                                                          | 4                                                                  | 5                    | 6                         | 7                      |
|                                                                                                  |                                                                                             |     |                   | 2                       | 3                                                                        |                                                                    |                      |                           |                        |
| States, Etc.                                                                                     |                                                                                             |     | Active Status (a) | Life Insurance Premiums | Annuity Considerations                                                   | A&H Insurance Premiums, Including Policy Membership and Other Fees | Other Considerations | Total Columns 2 through 5 | Deposit-Type Contracts |
| 1.                                                                                               | Alabama.....                                                                                | AL  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 2.                                                                                               | Alaska.....                                                                                 | AK  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 3.                                                                                               | Arizona.....                                                                                | AZ  | L                 |                         | 500,000                                                                  |                                                                    |                      | 500,000                   |                        |
| 4.                                                                                               | Arkansas.....                                                                               | AR  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 5.                                                                                               | California.....                                                                             | CA  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 6.                                                                                               | Colorado.....                                                                               | CO  | L                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 7.                                                                                               | Connecticut.....                                                                            | CT  | L                 | 3,018                   |                                                                          |                                                                    |                      | 3,018                     |                        |
| 8.                                                                                               | Delaware.....                                                                               | DE  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 9.                                                                                               | District of Columbia.....                                                                   | DC  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 10.                                                                                              | Florida.....                                                                                | FL  | L                 | 1,715                   | 974,427                                                                  |                                                                    |                      | 976,142                   |                        |
| 11.                                                                                              | Georgia.....                                                                                | GA  | L                 |                         | 7,000                                                                    |                                                                    |                      | 7,000                     |                        |
| 12.                                                                                              | Hawaii.....                                                                                 | HI  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 13.                                                                                              | Idaho.....                                                                                  | ID  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 14.                                                                                              | Illinois.....                                                                               | IL  | L                 | 17,538                  | 6,708,029                                                                |                                                                    |                      | 6,725,567                 |                        |
| 15.                                                                                              | Indiana.....                                                                                | IN  | L                 | 3,497                   | 221,679                                                                  |                                                                    |                      | 225,175                   |                        |
| 16.                                                                                              | Iowa.....                                                                                   | IA  | L                 | 343                     | 879,228                                                                  |                                                                    |                      | 879,571                   |                        |
| 17.                                                                                              | Kansas.....                                                                                 | KS  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 18.                                                                                              | Kentucky.....                                                                               | KY  | L                 |                         | 25,200                                                                   |                                                                    |                      | 25,200                    |                        |
| 19.                                                                                              | Louisiana.....                                                                              | LA  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 20.                                                                                              | Maine.....                                                                                  | ME  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 21.                                                                                              | Maryland.....                                                                               | MD  | L                 | 4                       | 500                                                                      |                                                                    |                      | 504                       |                        |
| 22.                                                                                              | Massachusetts.....                                                                          | MA  | L                 | 290                     | 300                                                                      |                                                                    |                      | 590                       |                        |
| 23.                                                                                              | Michigan.....                                                                               | MI  | L                 | 8,019                   | 1,782,129                                                                |                                                                    |                      | 1,790,148                 |                        |
| 24.                                                                                              | Minnesota.....                                                                              | MN  | L                 | 455                     | 1,369,953                                                                |                                                                    |                      | 1,370,408                 |                        |
| 25.                                                                                              | Mississippi.....                                                                            | MS  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 26.                                                                                              | Missouri.....                                                                               | MO  | L                 | 118                     | 91,778                                                                   |                                                                    |                      | 91,896                    |                        |
| 27.                                                                                              | Montana.....                                                                                | MT  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 28.                                                                                              | Nebraska.....                                                                               | NE  | L                 | 524                     | 335,968                                                                  |                                                                    |                      | 336,492                   |                        |
| 29.                                                                                              | Nevada.....                                                                                 | NV  | L                 | 59                      | 40,000                                                                   |                                                                    |                      | 40,059                    |                        |
| 30.                                                                                              | New Hampshire.....                                                                          | NH  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 31.                                                                                              | New Jersey.....                                                                             | NJ  | L                 | 11,731                  | 40,109                                                                   |                                                                    |                      | 51,840                    |                        |
| 32.                                                                                              | New Mexico.....                                                                             | NM  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 33.                                                                                              | New York.....                                                                               | NY  | L                 | 15,721                  | 190,685                                                                  |                                                                    |                      | 206,406                   |                        |
| 34.                                                                                              | North Carolina.....                                                                         | NC  | L                 |                         | 100                                                                      |                                                                    |                      | 100                       |                        |
| 35.                                                                                              | North Dakota.....                                                                           | ND  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 36.                                                                                              | Ohio.....                                                                                   | OH  | L                 | 93,750                  | 2,449,808                                                                |                                                                    |                      | 2,543,558                 |                        |
| 37.                                                                                              | Oklahoma.....                                                                               | OK  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 38.                                                                                              | Oregon.....                                                                                 | OR  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 39.                                                                                              | Pennsylvania.....                                                                           | PA  | L                 | 231,892                 | 4,896,092                                                                |                                                                    |                      | 5,127,985                 |                        |
| 40.                                                                                              | Rhode Island.....                                                                           | RI  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 41.                                                                                              | South Carolina.....                                                                         | SC  | L                 |                         | 131,950                                                                  |                                                                    |                      | 131,950                   |                        |
| 42.                                                                                              | South Dakota.....                                                                           | SD  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 43.                                                                                              | Tennessee.....                                                                              | TN  | L                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 44.                                                                                              | Texas.....                                                                                  | TX  | L                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 45.                                                                                              | Utah.....                                                                                   | UT  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 46.                                                                                              | Vermont.....                                                                                | VT  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 47.                                                                                              | Virginia.....                                                                               | VA  | L                 | 1,530                   | 75                                                                       |                                                                    |                      | 1,605                     |                        |
| 48.                                                                                              | Washington.....                                                                             | WA  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 49.                                                                                              | West Virginia.....                                                                          | WV  | L                 | 1,046                   |                                                                          |                                                                    |                      | 1,046                     |                        |
| 50.                                                                                              | Wisconsin.....                                                                              | WI  | L                 | 5,186                   | 4,997,356                                                                |                                                                    |                      | 5,002,542                 |                        |
| 51.                                                                                              | Wyoming.....                                                                                | WY  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 52.                                                                                              | American Samoa.....                                                                         | AS  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 53.                                                                                              | Guam.....                                                                                   | GU  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 54.                                                                                              | Puerto Rico.....                                                                            | PR  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 55.                                                                                              | US Virgin Islands.....                                                                      | VI  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 56.                                                                                              | Northern Mariana Islands.....                                                               | MP  | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 57.                                                                                              | Canada.....                                                                                 | CAN | N                 |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 58.                                                                                              | Aggregate Other Alien.....                                                                  | OT  | XXX               | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| 59.                                                                                              | Subtotal.....                                                                               | XXX |                   | 396,436                 | 25,642,366                                                               | 0                                                                  | 0                    | 26,038,802                | 0                      |
| 90.                                                                                              | Reporting entity contributions for employee benefit plans.....                              | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 91.                                                                                              | Dividends or refunds applied to purchase paid-up additions and annuities.....               | XXX |                   | 74,330                  |                                                                          |                                                                    |                      | 74,330                    |                        |
| 92.                                                                                              | Dividends or refunds applied to shorten endowment or premium paying period.....             | XXX |                   | 345                     |                                                                          |                                                                    |                      | 345                       |                        |
| 93.                                                                                              | Premium or annuity considerations waived under disability or other contract provisions..... | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 94.                                                                                              | Aggregate other amounts not allocable by State.....                                         | XXX |                   | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| 95.                                                                                              | Totals (Direct Business).....                                                               | XXX |                   | 471,111                 | 25,642,366                                                               | 0                                                                  | 0                    | 26,113,477                | 0                      |
| 96.                                                                                              | Plus Reinsurance Assumed.....                                                               | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 97.                                                                                              | Totals (All Business).....                                                                  | XXX |                   | 471,111                 | 25,642,366                                                               | 0                                                                  | 0                    | 26,113,477                | 0                      |
| 98.                                                                                              | Less Reinsurance Ceded.....                                                                 | XXX |                   | 9,553                   |                                                                          |                                                                    |                      | 9,553                     |                        |
| 99.                                                                                              | Totals (All Business) less Reinsurance Ceded.....                                           | XXX |                   | 461,558                 | 25,642,366                                                               | 0                                                                  | 0                    | 26,103,924                | 0                      |
| DETAILS OF WRITE-INS                                                                             |                                                                                             |     |                   |                         |                                                                          |                                                                    |                      |                           |                        |
| 58001.                                                                                           | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 58002.                                                                                           | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 58003.                                                                                           | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 58998.                                                                                           | Summary of remaining write-ins for line 58 from overflow page.....                          | XXX |                   | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| 58999.                                                                                           | Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....                              | XXX |                   | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| 9401.                                                                                            | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 9402.                                                                                            | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 9403.                                                                                            | .....                                                                                       | XXX |                   |                         |                                                                          |                                                                    |                      | 0                         |                        |
| 9498.                                                                                            | Summary of remaining write-ins for line 94 from overflow page.....                          | XXX |                   | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| 9499.                                                                                            | Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....                                 | XXX |                   | 0                       | 0                                                                        | 0                                                                  | 0                    | 0                         | 0                      |
| (a) Active Status Count                                                                          |                                                                                             |     |                   |                         |                                                                          |                                                                    |                      |                           |                        |
| L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....                     |                                                                                             |     |                   | 27                      | R - Registered - Non-domiciled RRGs.....                                 |                                                                    |                      |                           | 0                      |
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state ..... |                                                                                             |     |                   | 0                       | Q - Qualified - Qualified or accredited reinsurer.....                   |                                                                    |                      |                           | 0                      |
|                                                                                                  |                                                                                             |     |                   |                         | N - None of the above - Not allowed to write business in the state ..... |                                                                    |                      |                           | 30                     |

Sch. Y - Pt. 1  
NONE

Sch. Y Pt. 1A  
NONE

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|                                                                                                                                                                                                                                                                                                                                                                  | Response |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?                                                                                                                                                                                                                                                     | NO       |
| 2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?                                                                                                                                                                                                                                            | NO       |
| 3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?                                                                                                                                                                                               | NO       |
| 4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?                                                                                                                                                                               | NO       |
| 5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?                                                                                                                                                           | NO       |
| 6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?                                                                                                                                               | NO       |
| 7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?                                                                                                                                                       | NO       |
| 8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter. | N/A      |

Explanations:

- 1. The data for this supplement is not required to be filed.
- 2. The data for this supplement is not required to be filed.
- 3. The data for this supplement is not required to be filed.
- 4. The data for this supplement is not required to be filed.
- 5. The data for this supplement is not required to be filed.
- 6. The data for this supplement is not required to be filed.
- 7. The data for this supplement is not required to be filed.
- 8. Not Applicable for 1st and 3rd Quarters

Bar Code:



FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
Overflow Page for Write-Ins

Additional Write-ins for Liabilities:

|                                                       | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|-------------------------------------------------------|--------------------------------|--------------------------------|
| 2504. Accounts Payable LL.....                        | .....142,873                   | .....25,518                    |
| 2597. Summary of remaining write-ins for Line 25..... | .....142,873                   | .....25,518                    |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE A - VERIFICATION

Real Estate

|                                                                                       | 1            | 2                               |
|---------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                       | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year.....                       | 871,758      | 930,718                         |
| 2. Cost of acquired:                                                                  |              |                                 |
| 2.1 Actual cost at time of acquisition.....                                           |              |                                 |
| 2.2 Additional investment made after acquisition.....                                 |              |                                 |
| 3. Current year change in encumbrances.....                                           |              |                                 |
| 4. Total gain (loss) on disposals.....                                                |              |                                 |
| 5. Deduct amounts received on disposals.....                                          |              |                                 |
| 6. Total foreign exchange change in book/adjusted carrying value.....                 |              |                                 |
| 7. Deduct current year's other-than-temporary impairment recognized.....              |              |                                 |
| 8. Deduct current year's depreciation.....                                            | 14,740       | 58,960                          |
| 9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)..... | 857,018      | 871,758                         |
| 10. Deduct total nonadmitted amounts.....                                             |              |                                 |
| 11. Statement value at end of current period (Line 9 minus Line 10).....              | 857,018      | 871,758                         |

SCHEDULE B - VERIFICATION

Mortgage Loans

|                                                                                                                          | 1            | 2                               |
|--------------------------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                                          | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....                             | 966,976      | 98,970                          |
| 2. Cost of acquired:                                                                                                     |              |                                 |
| 2.1 Actual cost at time of acquisition.....                                                                              | 3,000,000    | 1,000,000                       |
| 2.2 Additional investment made after acquisition.....                                                                    |              |                                 |
| 3. Capitalized deferred interest and other.....                                                                          |              |                                 |
| 4. Accrual of discount.....                                                                                              |              |                                 |
| 5. Unrealized valuation increase (decrease).....                                                                         |              |                                 |
| 6. Total gain (loss) on disposals.....                                                                                   |              |                                 |
| 7. Deduct amounts received on disposals.....                                                                             | 40,949       | 131,994                         |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees.....                                  |              |                                 |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....                       |              |                                 |
| 10. Deduct current year's other-than-temporary impairment recognized.....                                                |              |                                 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8-9-10)..... | 3,926,027    | 966,976                         |
| 12. Total valuation allowance.....                                                                                       |              |                                 |
| 13. Subtotal (Line 11 plus Line 12).....                                                                                 | 3,926,027    | 966,976                         |
| 14. Deduct total nonadmitted amounts.....                                                                                |              |                                 |
| 15. Statement value at end of current period (Line 13 minus Line 14).....                                                | 3,926,027    | 966,976                         |

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

|                                                                                             | 1            | 2                               |
|---------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                             | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year.....                             | 8,964,590    | 8,042,686                       |
| 2. Cost of acquired:                                                                        |              |                                 |
| 2.1 Actual cost at time of acquisition.....                                                 | 1,091,899    | 1,623,777                       |
| 2.2 Additional investment made after acquisition.....                                       |              |                                 |
| 3. Capitalized deferred interest and other.....                                             |              |                                 |
| 4. Accrual of discount.....                                                                 |              |                                 |
| 5. Unrealized valuation increase (decrease).....                                            | 53,810       | 1,085,677                       |
| 6. Total gain (loss) on disposals.....                                                      |              | 44,549                          |
| 7. Deduct amounts received on disposals.....                                                | 582,961      | 1,832,100                       |
| 8. Deduct amortization of premium and depreciation.....                                     |              |                                 |
| 9. Total foreign exchange change in book/adjusted carrying value.....                       |              |                                 |
| 10. Deduct current year's other-than-temporary impairment recognized.....                   |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8-9-10)..... | 9,527,338    | 8,964,590                       |
| 12. Deduct total nonadmitted amounts.....                                                   |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12).....                   | 9,527,338    | 8,964,590                       |

SCHEDULE D - VERIFICATION

Bonds and Stocks

|                                                                                                          | 1            | 2                               |
|----------------------------------------------------------------------------------------------------------|--------------|---------------------------------|
|                                                                                                          | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....                      | 401,497,687  | 380,568,561                     |
| 2. Cost of bonds and stocks acquired.....                                                                | 12,975,070   | 91,047,141                      |
| 3. Accrual of discount.....                                                                              | 109          | 136,343                         |
| 4. Unrealized valuation increase (decrease).....                                                         | 72,464       | (3,802,969)                     |
| 5. Total gain (loss) on disposals.....                                                                   | 262,378      | 415,806                         |
| 6. Deduct consideration for bonds and stocks disposed of.....                                            | 14,680,959   | 65,325,536                      |
| 7. Deduct amortization of premium.....                                                                   | 205,916      | 1,191,660                       |
| 8. Total foreign exchange change in book/adjusted carrying value.....                                    |              |                                 |
| 9. Deduct current year's other-than-temporary impairment recognized.....                                 |              | 350,000                         |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees..... |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7-8-9+10).....              | 399,920,832  | 401,497,687                     |
| 12. Deduct total nonadmitted amounts.....                                                                |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12).....                                | 399,920,832  | 401,497,687                     |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation                         | 1<br>Book/Adjusted Carrying<br>Value Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted Carrying<br>Value End of<br>First Quarter | 6<br>Book/Adjusted Carrying<br>Value End of<br>Second Quarter | 7<br>Book/Adjusted Carrying<br>Value End of<br>Third Quarter | 8<br>Book/Adjusted Carrying<br>Value December 31<br>Prior Year |
|------------------------------------------|----------------------------------------------------------------------|------------------------------------------------|------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------------------------|----------------------------------------------------------------|
| BONDS                                    |                                                                      |                                                |                                                |                                                        |                                                              |                                                               |                                                              |                                                                |
| 1. NAIC 1 (a).....                       | 218,677,932                                                          | 12,434,110                                     | 10,971,479                                     | (563,453)                                              | 219,577,110                                                  |                                                               |                                                              | 218,677,932                                                    |
| 2. NAIC 2 (a).....                       | 168,226,026                                                          | 540,960                                        | 2,447,477                                      | 260,334                                                | 166,579,843                                                  |                                                               |                                                              | 168,226,026                                                    |
| 3. NAIC 3 (a).....                       | 10,897,313                                                           |                                                | 500,000                                        | 9,297                                                  | 10,406,610                                                   |                                                               |                                                              | 10,897,313                                                     |
| 4. NAIC 4 (a).....                       | 499,607                                                              |                                                | 499,625                                        | 18                                                     | 0                                                            |                                                               |                                                              | 499,607                                                        |
| 5. NAIC 5 (a).....                       | 478,843                                                              |                                                |                                                |                                                        | 478,843                                                      |                                                               |                                                              | 478,843                                                        |
| 6. NAIC 6 (a).....                       | 151,195                                                              |                                                |                                                | 151,195                                                | 302,390                                                      |                                                               |                                                              | 151,195                                                        |
| 7. Total Bonds.....                      | 398,930,917                                                          | 12,975,070                                     | 14,418,581                                     | (142,609)                                              | 397,344,796                                                  | 0                                                             | 0                                                            | 398,930,917                                                    |
| PREFERRED STOCK                          |                                                                      |                                                |                                                |                                                        |                                                              |                                                               |                                                              |                                                                |
| 8. NAIC 1.....                           | 500,000                                                              |                                                |                                                |                                                        | 500,000                                                      |                                                               |                                                              | 500,000                                                        |
| 9. NAIC 2.....                           |                                                                      |                                                |                                                |                                                        | 0                                                            |                                                               |                                                              |                                                                |
| 10. NAIC 3.....                          |                                                                      |                                                |                                                |                                                        | 0                                                            |                                                               |                                                              |                                                                |
| 11. NAIC 4.....                          |                                                                      |                                                |                                                |                                                        | 0                                                            |                                                               |                                                              |                                                                |
| 12. NAIC 5.....                          |                                                                      |                                                |                                                |                                                        | 0                                                            |                                                               |                                                              |                                                                |
| 13. NAIC 6.....                          |                                                                      |                                                |                                                |                                                        | 0                                                            |                                                               |                                                              |                                                                |
| 14. Total Preferred Stock.....           | 500,000                                                              | 0                                              | 0                                              | 0                                                      | 500,000                                                      | 0                                                             | 0                                                            | 500,000                                                        |
| 15. Total Bonds and Preferred Stock..... | 399,430,917                                                          | 12,975,070                                     | 14,418,581                                     | (142,609)                                              | 397,844,796                                                  | 0                                                             | 0                                                            | 399,430,917                                                    |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE DA - PART 1

| Short-Term Investments |                                      |                |                     |                                         |                                                |
|------------------------|--------------------------------------|----------------|---------------------|-----------------------------------------|------------------------------------------------|
|                        | 1<br>Book/Adjusted<br>Carrying Value | 2<br>Par Value | 3<br>Actual<br>Cost | 4<br>Interest Collected<br>Year To Date | 5<br>Paid for Accrued Interest<br>Year To Date |
| 9199999.....           |                                      | X              |                     |                                         |                                                |

NONE

SCHEDULE DA - VERIFICATION

| Short-Term Investments                                                                   |                   |                                      |
|------------------------------------------------------------------------------------------|-------------------|--------------------------------------|
|                                                                                          | 1<br>Year To Date | 2<br>Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year.....                          | .....0            | .....4,946,477                       |
| 2. Cost of short-term investments acquired.....                                          |                   |                                      |
| 3. Accrual of discount.....                                                              |                   |                                      |
| 4. Unrealized valuation increase (decrease).....                                         |                   |                                      |
| 5. Total gain (loss) on disposals.....                                                   |                   |                                      |
| 6. Deduct consideration received on disposals.....                                       |                   | .....4,946,477                       |
| 7. Deduct amortization of premium.....                                                   |                   |                                      |
| 8. Total foreign exchange change in book/adjusted carrying value.....                    |                   |                                      |
| 9. Deduct current year's other-than-temporary impairment recognized.....                 |                   |                                      |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)..... | .....0            | .....0                               |
| 11. Deduct total nonadmitted amounts.....                                                |                   |                                      |
| 12. Statement value at end of current period (Line 10 minus Line 11).....                | .....0            | .....0                               |

Sch. DB - Pt. A - Verification  
NONE

Sch. DB - Pt. B - Verification  
NONE

Sch. DB - Pt. C - Sn. 1  
NONE

Sch. DB - Pt. C - Sn. 2  
NONE

Sch. DB - Verification  
NONE

Sch. E - Pt. 2 Verification  
NONE

Sch. A Pt. 2  
NONE

Sch. A Pt. 3  
NONE

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1<br><br>Loan Number                                                                | Location         |                | 4<br><br>Loan Type | 5<br><br>Date Acquired | 6<br><br>Rate of Interest | 7<br><br>Actual Cost at Time of Acquisition | 8<br><br>Additional Investment Made After Acquisition | 9<br><br>Value of Land and Buildings |
|-------------------------------------------------------------------------------------|------------------|----------------|--------------------|------------------------|---------------------------|---------------------------------------------|-------------------------------------------------------|--------------------------------------|
|                                                                                     | 2<br><br>City    | 3<br><br>State |                    |                        |                           |                                             |                                                       |                                      |
| Mortgages in Good Standing - Commercial Mortgages - All Other                       |                  |                |                    |                        |                           |                                             |                                                       |                                      |
| 1251.1.....                                                                         | BIRMINGHAM ..... | AL.....        | .....              | 03/31/2021....         | .....6.500                | .....1,000,000                              | .....                                                 | .....5,750,000                       |
| 1251.5.....                                                                         | TITUSVILLE ..... | FL.....        | .....              | 03/31/2021....         | .....7.750                | .....1,000,000                              | .....                                                 | .....6,220,000                       |
| 1252.....                                                                           | IRVINGTON .....  | NY .....       | .....              | 03/31/2021....         | .....5.750                | .....1,000,000                              | .....                                                 | .....6,100,000                       |
| 0599999. Total - Mortgages in Good Standing - Commercial Mortgages - All Other..... |                  |                |                    | XXX.....               | XXX.....                  | 3,000,000                                   | .....0                                                | .....18,070,000                      |
| 0899999. Total - Mortgages in Good Standing.....                                    |                  |                |                    | XXX.....               | XXX.....                  | 3,000,000                                   | .....0                                                | .....18,070,000                      |
| 3399999. Total Mortgages.....                                                       |                  |                |                    | XXX.....               | XXX.....                  | 3,000,000                                   | .....0                                                | .....18,070,000                      |

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

| 1<br><br>Loan Number                                    | Location            |                | 4<br><br>Loan Type | 5<br><br>Date Acquired | 6<br><br>Disposal Date | 7<br><br>Book Value/Recorded Investment Excluding Accrued Interest Prior Year | Change in Book Value/Recorded Investment          |                                                    |                                                                     |                                                   |                                                        |                                                       | 14<br><br>Book Value / Recorded Investment Excluding Accrued Interest on Disposal | 15<br><br>Consideration | 16<br><br>Foreign Exchange Gain (Loss) on Disposal | 17<br><br>Realized Gain (Loss) on Disposal | 18<br><br>Total Gain (Loss) on Disposal |
|---------------------------------------------------------|---------------------|----------------|--------------------|------------------------|------------------------|-------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------|----------------------------------------------------|--------------------------------------------|-----------------------------------------|
|                                                         | 2<br><br>City       | 3<br><br>State |                    |                        |                        |                                                                               | 8<br><br>Unrealized Valuation Increase (Decrease) | 9<br><br>Current Year's (Amortization) / Accretion | 10<br><br>Current Year's Other-Than-Temporary Impairment Recognized | 11<br><br>Capitalized Deferred Interest and Other | 12<br><br>Total Change in Book Value (8 + 9 - 10 + 11) | 13<br><br>Total Foreign Exchange Change in Book Value |                                                                                   |                         |                                                    |                                            |                                         |
| Mortgages Closed by Repayment                           |                     |                |                    |                        |                        |                                                                               |                                                   |                                                    |                                                                     |                                                   |                                                        |                                                       |                                                                                   |                         |                                                    |                                            |                                         |
| 0988.....                                               | East Millsboro..... | PA.....        | .....              | 08/01/1996....         | ....VARIOUS            | .....732                                                                      | .....                                             | .....                                              | .....                                                               | .....                                             | .....0                                                 | .....                                                 | .....732                                                                          | .....732                | .....                                              | .....                                      | .....0                                  |
| 0199999. Total - Mortgages Closed by Repayment.....     |                     |                |                    |                        |                        | 732                                                                           | .....0                                            | .....0                                             | .....0                                                              | .....0                                            | .....0                                                 | .....0                                                | 732                                                                               | 732                     | .....0                                             | .....0                                     | .....0                                  |
| Mortgages With Partial Repayments                       |                     |                |                    |                        |                        |                                                                               |                                                   |                                                    |                                                                     |                                                   |                                                        |                                                       |                                                                                   |                         |                                                    |                                            |                                         |
| 1023.....                                               | Canfield.....       | OH.....        | .....              | 06/01/1992....         | ....VARIOUS            | .....11,405                                                                   | .....                                             | .....                                              | .....                                                               | .....                                             | .....0                                                 | .....                                                 | .....10,068                                                                       | .....1,337              | .....                                              | .....                                      | .....0                                  |
| 1046.....                                               | Akron.....          | OH .....       | .....              | 09/10/2019....         | ....VARIOUS            | .....70,066                                                                   | .....                                             | .....                                              | .....                                                               | .....                                             | .....0                                                 | .....                                                 | .....67,273                                                                       | .....2,793              | .....                                              | .....                                      | .....0                                  |
| 00109.....                                              | IRVINE.....         | CA.....        | .....              | 11/01/2020....         | ....VARIOUS            | .....884,774                                                                  | .....                                             | .....                                              | .....                                                               | .....                                             | .....0                                                 | .....                                                 | .....848,687                                                                      | .....36,087             | .....                                              | .....                                      | .....0                                  |
| 0299999. Total - Mortgages With Partial Repayments..... |                     |                |                    |                        |                        | 966,245                                                                       | .....0                                            | .....0                                             | .....0                                                              | .....0                                            | .....0                                                 | .....0                                                | 926,028                                                                           | 40,217                  | .....0                                             | .....0                                     | .....0                                  |
| 0599999. Total Mortgages.....                           |                     |                |                    |                        |                        | 966,977                                                                       | .....0                                            | .....0                                             | .....0                                                              | .....0                                            | .....0                                                 | .....0                                                | 926,760                                                                           | 40,949                  | .....0                                             | .....0                                     | .....0                                  |

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

| 1                                                                                                                                                                                                 | 2                                                      |  |  | Location        |       | 5                                 | 6                                                                                 | 7                        | 8                 | 9                                  | 10                                           | 11                     | 12                                   | 13                      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--|--|-----------------|-------|-----------------------------------|-----------------------------------------------------------------------------------|--------------------------|-------------------|------------------------------------|----------------------------------------------|------------------------|--------------------------------------|-------------------------|
|                                                                                                                                                                                                   |                                                        |  |  | 3               | 4     |                                   |                                                                                   |                          |                   |                                    |                                              |                        |                                      |                         |
| CUSIP Identification                                                                                                                                                                              | Name or Description                                    |  |  | City            | State | Name of Vendor or General Partner | NAIC Designation, NAIC Designation and SVO Administrative Symbol/Market Indicator | Date Originally Acquired | Type and Strategy | Actual Cost at Time of Acquisition | Additional Investment Made after Acquisition | Amount of Encumbrances | Commitment for Additional Investment | Percentage of Ownership |
| Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the Securities Valuation Office (SVO) - Unaffiliated                       |                                                        |  |  |                 |       |                                   |                                                                                   |                          |                   |                                    |                                              |                        |                                      |                         |
| 939990 ER 4                                                                                                                                                                                       | AQR DELPHI EQUITY OFFSHORE FUND - UBS .....            |  |  | CLEVELAND ..... | OH... | UBS .....                         | .....                                                                             | 01/27/2021.....          | .....13           | .....505,000                       | .....                                        | .....                  | .....                                | .....0.010              |
| 075995 91 3                                                                                                                                                                                       | BLACKSTONE REAL ESTATE INC TRUST CL S - EXCHANGE ..... |  |  | CLEVELAND ..... | OH... | UBS .....                         | .....                                                                             | 01/07/20201..            | .....13           | .....505,000                       | .....                                        | .....                  | .....                                | .....0.010              |
| 092492 9E 9                                                                                                                                                                                       | ALPHAKEYS MILLENNIUM FD LT CL B SER 1 .....            |  |  | CLEVELAND ..... | OH... | UBS .....                         | .....                                                                             | 02/05/2021.....          | .....13           | .....77,961                        | .....                                        | .....                  | .....                                | .....0.010              |
| 075995 91 3                                                                                                                                                                                       | BLACKSTONE REAL ESTATE INC TRUST CL S - EXCHANGE ..... |  |  | CLEVELAND ..... | OH... | UBS .....                         | .....                                                                             | 02/26/2021.....          | .....13           | .....1,965                         | .....                                        | .....                  | .....                                | .....0.010              |
| 075995 91 3                                                                                                                                                                                       | BLACKSTONE REAL ESTATE INC TRUST CL S - EXCHANGE ..... |  |  | CLEVELAND ..... | OH... | UBS .....                         | .....                                                                             | 03/25/2021.....          | .....13           | .....1,973                         | .....                                        | .....                  | .....                                | .....0.010              |
| 0999999. Total - Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the Securities Valuation Office (SVO) - Unaffiliated..... |                                                        |  |  |                 |       |                                   |                                                                                   |                          |                   | .....1,091,899                     | .....0                                       | .....0                 | .....0                               | .....XXX.....           |
| 4899999. Subtotal - Unaffiliated.....                                                                                                                                                             |                                                        |  |  |                 |       |                                   |                                                                                   |                          |                   | .....1,091,899                     | .....0                                       | .....0                 | .....0                               | .....XXX.....           |
| 5099999. Totals.....                                                                                                                                                                              |                                                        |  |  |                 |       |                                   |                                                                                   |                          |                   | .....1,091,899                     | .....0                                       | .....0                 | .....0                               | .....XXX.....           |

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

| 1                                                                                                                                                                           | 2                                                 |  |  | Location       |       | 5                                       | 6                        | 7             | 8                                                          | Changes in Book/Adjusted Carrying Value  |                                                             |                                                           |                                         |                                        |                                            | 15                                                         | 16            | 17                                       | 18                               | 19                            | 20                |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|--|--|----------------|-------|-----------------------------------------|--------------------------|---------------|------------------------------------------------------------|------------------------------------------|-------------------------------------------------------------|-----------------------------------------------------------|-----------------------------------------|----------------------------------------|--------------------------------------------|------------------------------------------------------------|---------------|------------------------------------------|----------------------------------|-------------------------------|-------------------|
|                                                                                                                                                                             |                                                   |  |  | 3              | 4     |                                         |                          |               |                                                            | 9                                        | 10                                                          | 11                                                        | 12                                      | 13                                     | 14                                         |                                                            |               |                                          |                                  |                               |                   |
| CUSIP Identification                                                                                                                                                        | Name or Description                               |  |  | City           | State | Name of Purchaser or Nature of Disposal | Date Originally Acquired | Disposal Date | Book/Adjusted Carrying Value Less Encumbrances, Prior Year | Unrealized Valuation Increase (Decrease) | Current Year's (Depreciation) or (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Capitalized Deferred Interest and Other | Total Change in B./A.C.V. (9+10-11+12) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value Less Encumbrances on Disposal | Consideration | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Investment Income |
| Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the Securities Valuation Office (SVO) - Unaffiliated |                                                   |  |  |                |       |                                         |                          |               |                                                            |                                          |                                                             |                                                           |                                         |                                        |                                            |                                                            |               |                                          |                                  |                               |                   |
| 075995 9D 4                                                                                                                                                                 | UBS BLACKSTONE REAL ESTATE INC TR ESCROW EXCHANGE |  |  | CLEVELAND..... | OH.   | UBS.....                                | VARIOUS..                | VARIOUS..     | .....505,000                                               | .....                                    | .....                                                       | .....                                                     | .....                                   | .....0                                 | .....                                      | .....505,000                                               | .....505,000  | .....                                    | .....                            | .....0                        | .....             |
| 092492 9E 9                                                                                                                                                                 | ALPHAKEYS MILLENNIUM OFFSHORE FD SER 1...         |  |  | CLEVELAND..... | OH.   | UBS.....                                | VARIOUS..                | 02/05/2021    | .....77,961                                                | .....                                    | .....                                                       | .....                                                     | .....                                   | .....0                                 | .....                                      | .....77,961                                                | .....77,961   | .....                                    | .....                            | .....0                        | .....             |
| 0999999. Total - Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the SVO - Unaffiliated.....         |                                                   |  |  |                |       |                                         |                          |               | .....582,961                                               | .....0                                   | .....0                                                      | .....0                                                    | .....0                                  | .....0                                 | .....0                                     | .....582,961                                               | .....582,961  | .....0                                   | .....0                           | .....0                        | .....0            |
| 4899999. Subtotal - Unaffiliated.....                                                                                                                                       |                                                   |  |  |                |       |                                         |                          |               | .....582,961                                               | .....0                                   | .....0                                                      | .....0                                                    | .....0                                  | .....0                                 | .....0                                     | .....582,961                                               | .....582,961  | .....0                                   | .....0                           | .....0                        | .....0            |
| 5099999. Totals.....                                                                                                                                                        |                                                   |  |  |                |       |                                         |                          |               | .....582,961                                               | .....0                                   | .....0                                                      | .....0                                                    | .....0                                  | .....0                                 | .....582,961                               | .....582,961                                               | .....0        | .....0                                   | .....0                           | .....0                        |                   |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

| 1                    | 2                                    |                                              | 3       | 4             | 5                           | 6                         | 7           | 8          | 9                                       | 10                                                                        |
|----------------------|--------------------------------------|----------------------------------------------|---------|---------------|-----------------------------|---------------------------|-------------|------------|-----------------------------------------|---------------------------------------------------------------------------|
| CUSIP Identification | Description                          |                                              | Foreign | Date Acquired | Name of Vendor              | Number of Shares of Stock | Actual Cost | Par Value  | Paid for Accrued Interest and Dividends | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| QE04                 | Bonds - Industrial and Miscellaneous |                                              |         |               |                             |                           |             |            |                                         |                                                                           |
|                      | 01861E                               | AA 3                                         |         | 03/19/2021    | KEYBANK NA                  |                           | 750,000     | 750,000    |                                         | 1                                                                         |
|                      | 02209S                               | AQ 6                                         |         | 03/18/2021    | KEYBANK NA                  |                           | 1,045,560   | 1,000,000  | 17,000                                  | 1                                                                         |
|                      | 03237N                               | AA 6                                         |         | 03/04/2021    | KEYBANK NA                  |                           | 750,000     | 750,000    |                                         | 1                                                                         |
|                      | 09345B                               | AA 8                                         |         | 01/22/2021    | KEYBANK NA                  |                           | 1,000,000   | 1,000,000  |                                         | 1. FE                                                                     |
|                      | 12656V                               | AA 1                                         |         | 02/22/2021    | KEYBANK NA                  |                           | 500,000     | 500,000    |                                         | 1                                                                         |
|                      | 144523                               | AC 1                                         |         | 02/26/2021    | KEYBANK NA                  |                           | 1,000,000   | 1,000,000  |                                         | 1                                                                         |
|                      | 15912A                               | AA 0                                         |         | 03/31/2021    | KEYBANK NA                  |                           | 1,000,000   | 1,000,000  |                                         | 1                                                                         |
|                      | 166756                               | AM 8                                         |         | 01/06/2021    | KEYBANK NA                  |                           | 117,395     | 100,000    |                                         | 1                                                                         |
|                      | 315921                               | AL 0                                         |         | 03/19/2021    | KEYBANK NA                  |                           | 750,000     | 750,000    |                                         | 1                                                                         |
|                      | 46090R                               | AA 2                                         |         | 03/31/2021    | RAYMOND JAMES INSTITUTIONAL |                           | 497,265     | 500,000    |                                         | 1                                                                         |
|                      | 50067H                               | BL 0                                         |         | 01/08/2021    | KEYBANK NA                  |                           | 750,000     | 750,000    |                                         | 1                                                                         |
|                      | 610335                               | AB 7                                         |         | 01/25/2021    | KEYBANK NA                  |                           | 497,210     | 500,000    |                                         | 1                                                                         |
|                      | 63258L                               | AA 8                                         |         | 01/20/2021    | KEYBANK NA                  |                           | 500,000     | 500,000    |                                         | 1                                                                         |
|                      | 67103B                               | AA 8                                         |         | 02/12/2021    | RAYMOND JAMES INSTITUTIONAL |                           | 1,005,000   | 1,000,000  | 264                                     | 1                                                                         |
|                      | 761713                               | BB 1                                         |         | 03/18/2021    | FTN FIN SECURITIES CORP     |                           | 581,880     | 500,000    | 2,681                                   | 1                                                                         |
|                      | 858568                               | AB 4                                         |         | 01/14/2021    | KEYBANK NA                  |                           | 350,000     | 350,000    |                                         | 1                                                                         |
|                      | 858568                               | AB 4                                         |         | 01/14/2021    | KEYBANK NA                  |                           | 357,000     | 350,000    |                                         | 1                                                                         |
|                      | 89680H                               | AE 2                                         |         | 03/30/2021    | RAYMOND JAMES INSTITIONAL   |                           | 982,800     | 1,000,000  | 672                                     | 1                                                                         |
|                      | 931427                               | AC 2                                         |         | 03/22/2021    | FTN FIN SECURITIES CORP     |                           | 540,960     | 500,000    | 8,267                                   | 2                                                                         |
|                      | 3899999                              | Total - Bonds - Industrial and Miscellaneous |         |               |                             |                           | 12,975,070  | 12,800,000 | 28,883                                  | XXX                                                                       |
|                      | 8399997                              | Total - Bonds - Part 3                       |         |               |                             |                           | 12,975,070  | 12,800,000 | 28,883                                  | XXX                                                                       |
|                      | 8399999                              | Total - Bonds                                |         |               |                             |                           | 12,975,070  | 12,800,000 | 28,883                                  | XXX                                                                       |
|                      | 9999999                              | Total - Bonds, Preferred and Common Stocks   |         |               |                             |                           | 12,975,070  | XXX        | 28,883                                  | XXX                                                                       |

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1                    | 2           |  |  | 3                               | 4             | 5                 | 6                         | 7             | 8         | 9           | 10                                      | Change in Book/Adjusted Carrying Value   |                                           |                                                           |                                      |                                            | 16                                            | 17                                       | 18                               | 19                            | 20                                                   | 21                               | 22                                                                        |
|----------------------|-------------|--|--|---------------------------------|---------------|-------------------|---------------------------|---------------|-----------|-------------|-----------------------------------------|------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------|
|                      |             |  |  |                                 |               |                   |                           |               |           |             |                                         | 11                                       | 12                                        | 13                                                        | 14                                   | 15                                         |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| CUSIP Identification | Description |  |  | F<br>o<br>r<br>e<br>i<br>g<br>n | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |

Bonds - U.S. Government

QE05

|        |    |   |                                     |    |             |                |                |                |                |                |       |       |       |       |        |       |                |       |               |               |            |             |         |
|--------|----|---|-------------------------------------|----|-------------|----------------|----------------|----------------|----------------|----------------|-------|-------|-------|-------|--------|-------|----------------|-------|---------------|---------------|------------|-------------|---------|
| 312906 | 2P | 4 | FHLMC REMIC SERIES 1136-H.....      | .. | 03/15/2021. | PRINCIPAL..... | .....8         | .....8         | .....8         | .....5         | ..... | ..... | ..... | ..... | .....0 | ..... | .....5         | ..... | .....2        | .....2        | .....0     | 09/15/2021. | 1. .... |
| 3136A9 | HB | 7 | FNMA CMO 2012-111 HS INV FLT.....   | .. | 03/31/2021. | PRINCIPAL..... | .....16,576    | .....16,576    | .....15,913    | .....16,347    | ..... | ..... | ..... | ..... | .....0 | ..... | .....16,347    | ..... | .....230      | .....230      | .....85    | 10/25/2042. | 1. .... |
| 3136A9 | PC | 6 | FNMA CMO 2012-128 HS INV FLT.....   | .. | 03/31/2021. | PRINCIPAL..... | .....114,526   | .....114,526   | .....115,600   | .....114,526   | ..... | ..... | ..... | ..... | .....0 | ..... | .....114,526   | ..... | .....         | .....0        | .....1,236 | 11/25/2042. | 1. .... |
| 3136AA | 6G | 5 | FNMA CMO 2012-151 SB INV FLT.....   | .. | 03/31/2021. | PRINCIPAL..... | .....56,110    | .....56,110    | .....54,988    | .....55,587    | ..... | ..... | ..... | ..... | .....0 | ..... | .....55,587    | ..... | .....523      | .....523      | .....475   | 01/25/2043. | 1. .... |
| 3136AA | BP | 9 | FNMA CMO 2012-131 BS.....           | .. | 03/31/2021. | PRINCIPAL..... | .....82,440    | .....82,440    | .....78,956    | .....81,928    | ..... | ..... | ..... | ..... | .....0 | ..... | .....81,928    | ..... | .....512      | .....512      | .....645   | 12/25/2042. | 1. .... |
| 3136AB | AK | 9 | FNMA CMO 2012-150 CS INV FLT.....   | .. | 03/31/2021. | PRINCIPAL..... | .....83,213    | .....83,213    | .....83,109    | .....83,166    | ..... | ..... | ..... | ..... | .....0 | ..... | .....83,166    | ..... | .....48       | .....48       | .....676   | 01/25/2043. | 1. .... |
| 3136AC | AF | 8 | FNMA CMO 2013-10 HS INV FLT.....    | .. | 03/31/2021. | PRINCIPAL..... | .....140,208   | .....140,208   | .....137,479   | .....139,175   | ..... | ..... | ..... | ..... | .....0 | ..... | .....139,175   | ..... | .....1,033    | .....1,033    | .....1,025 | 02/25/2043. | 1. .... |
| 3136AL | VF | 5 | FANNIE MAE.....                     | .. | 03/25/2021. | PRINCIPAL..... | .....335,319   | .....335,319   | .....339,196   | .....339,196   | ..... | ..... | ..... | ..... | .....0 | ..... | .....339,196   | ..... | .....(3,877)  | .....(3,877)  | .....1,572 | 06/25/2040. | 1. .... |
| 3136B1 | 3P | 7 | FANNIE MAE.....                     | .. | 03/31/2021. | PRINCIPAL..... | .....395,199   | .....395,199   | .....403,535   | .....403,535   | ..... | ..... | ..... | ..... | .....0 | ..... | .....403,535   | ..... | .....(8,336)  | .....(8,336)  | .....2,060 | 06/25/2044. | 1. .... |
| 3137AQ | ZT | 6 | FHLMC CMO 4064 TS INV FLT.....      | .. | 03/31/2021. | PRINCIPAL..... | .....19,863    | .....19,863    | .....20,112    | .....19,871    | ..... | ..... | ..... | ..... | .....0 | ..... | .....19,871    | ..... | .....(8)      | .....(8)      | .....245   | 06/15/2042. | 1. .... |
| 3137AR | CX | 2 | FHLMC CMO 4061 SL INV FLT.....      | .. | 03/31/2021. | PRINCIPAL..... | .....74,348    | .....74,348    | .....76,021    | .....74,348    | ..... | ..... | ..... | ..... | .....0 | ..... | .....74,348    | ..... | .....         | .....0        | .....849   | 06/15/2042. | 1. .... |
| 3137AU | SR | 1 | FHR CMO 4114 YS INV.....            | .. | 03/31/2021. | PRINCIPAL..... | .....160,700   | .....160,700   | .....160,801   | .....160,704   | ..... | ..... | ..... | ..... | .....0 | ..... | .....160,704   | ..... | .....(3)      | .....(3)      | .....808   | 10/15/2042. | 1. .... |
| 3137AU | TY | 5 | FHR CMO 4117 SC INV FLT.....        | .. | 03/31/2021. | PRINCIPAL..... | .....66,582    | .....66,582    | .....68,999    | .....66,573    | ..... | ..... | ..... | ..... | .....0 | ..... | .....66,573    | ..... | .....9        | .....9        | .....903   | 10/15/2042. | 1. .... |
| 3137AV | DC | 8 | FHLMC CMO 4120 HS INV FLT.....      | .. | 03/31/2021. | PRINCIPAL..... | .....110,037   | .....110,037   | .....109,900   | .....109,965   | ..... | ..... | ..... | ..... | .....0 | ..... | .....109,965   | ..... | .....72       | .....72       | .....1,244 | 10/15/2042. | 1. .... |
| 3137B4 | GG | 5 | FHLMC CMO 4238 YS INV FLT.....      | .. | 03/31/2021. | PRINCIPAL..... | .....22,731    | .....22,731    | .....22,674    | .....22,731    | ..... | ..... | ..... | ..... | .....0 | ..... | .....22,731    | ..... | .....         | .....0        | .....224   | 12/15/2040. | 1. .... |
| 3137BH | KR | 7 | FREDDIE MAC 4461 JA MTGE.....       | .. | 01/29/2021. | PRINCIPAL..... | .....37,675    | .....37,675    | .....38,238    | .....38,238    | ..... | ..... | ..... | ..... | .....0 | ..... | .....38,238    | ..... | .....(562)    | .....(562)    | .....110   | 08/31/2021. | 1. .... |
| 3137BQ | LJ | 4 | FREDDIE MAC.....                    | .. | 03/31/2021. | PRINCIPAL..... | .....126,085   | .....126,085   | .....128,212   | .....126,524   | ..... | ..... | ..... | ..... | .....0 | ..... | .....126,524   | ..... | .....(440)    | .....(440)    | .....945   | 05/15/2033. | 1. .... |
| 3137BR | ZF | 5 | FREDDIE MAC.....                    | .. | 03/31/2021. | PRINCIPAL..... | .....348,850   | .....348,850   | .....356,700   | .....356,700   | ..... | ..... | ..... | ..... | .....0 | ..... | .....356,700   | ..... | .....(7,849)  | .....(7,849)  | .....1,625 | 02/15/2046. | 1. .... |
| 3137BS | WH | 2 | FREDDIE MAC.....                    | .. | 03/15/2021. | PRINCIPAL..... | .....163,526   | .....163,526   | .....168,840   | .....168,840   | ..... | ..... | ..... | ..... | .....0 | ..... | .....168,840   | ..... | .....(5,315)  | .....(5,315)  | .....805   | 02/15/2044. | 1. .... |
| 3137BT | 4T | 5 | FREDDIE MAC.....                    | .. | 03/15/2021. | PRINCIPAL..... | .....782,540   | .....782,540   | .....788,165   | .....788,165   | ..... | ..... | ..... | ..... | .....0 | ..... | .....788,165   | ..... | .....(5,625)  | .....(5,625)  | .....3,352 | 10/15/2040. | 1. .... |
| 3137FC | DA | 9 | FREDDIE MAC.....                    | .. | 03/31/2021. | PRINCIPAL..... | .....536,787   | .....536,787   | .....545,678   | .....545,678   | ..... | ..... | ..... | ..... | .....0 | ..... | .....545,678   | ..... | .....(8,891)  | .....(8,891)  | .....2,925 | 02/15/2043. | 1. .... |
| 31395W | JS | 7 | FHLMC CMO 3005 SW INV FLT.....      | .. | 03/31/2021. | PRINCIPAL..... | .....68        | .....68        | .....74        | .....70        | ..... | ..... | ..... | ..... | .....0 | ..... | .....70        | ..... | .....(3)      | .....(3)      | .....2     | 07/15/2035. | 1. .... |
| 31397N | UG | 8 | FNMA CMO 2009-19 TD.....            | .. | 03/31/2021. | PRINCIPAL..... | .....10,384    | .....10,384    | .....10,511    | .....10,408    | ..... | ..... | ..... | ..... | .....0 | ..... | .....10,408    | ..... | .....(24)     | .....(24)     | .....96    | 08/25/2036. | 1. .... |
| 31398R | AP | 0 | FNMA CMO 2010-43 UQ.....            | .. | 01/29/2021. | PRINCIPAL..... | .....28,823    | .....28,823    | .....25,263    | .....25,928    | ..... | ..... | ..... | ..... | .....0 | ..... | .....25,928    | ..... | .....2,895    | .....2,895    | .....132   | 05/25/2040. | 1. .... |
| 36202E | V9 | 7 | GNMA CMO 2 MJM 4240.....            | .. | 03/31/2021. | PRINCIPAL..... | .....192       | .....192       | .....206       | .....194       | ..... | ..... | ..... | ..... | .....0 | ..... | .....194       | ..... | .....(2)      | .....(2)      | .....2     | 09/20/2038. | 1. .... |
| 38373M | B8 | 2 | GNMA CMO PAC 2007-16 PS INV FLT.... | .. | 03/31/2021. | PRINCIPAL..... | .....2,370     | .....2,370     | .....3,521     | .....2,363     | ..... | ..... | ..... | ..... | .....0 | ..... | .....2,363     | ..... | .....6        | .....6        | .....87    | 04/20/2037. | 1. .... |
| 38374U | BP | 5 | GNMA CMO 2009-32 AB.....            | .. | 03/31/2021. | PRINCIPAL..... | .....5,457     | .....5,457     | .....5,753     | .....5,457     | ..... | ..... | ..... | ..... | .....0 | ..... | .....5,457     | ..... | .....         | .....0        | .....33    | 05/16/2039. | 1. .... |
| 38374U | CJ | 8 | GNMA CMO PAC 2009-32 AP.....        | .. | 03/31/2021. | PRINCIPAL..... | .....5,300     | .....5,300     | .....5,599     | .....5,300     | ..... | ..... | ..... | ..... | .....0 | ..... | .....5,300     | ..... | .....         | .....0        | .....32    | 05/16/2039. | 1. .... |
| 38375Y | NF | 5 | GNMA CMO PAC 2008-79 CS.....        | .. | 03/31/2021. | PRINCIPAL..... | .....4,766     | .....4,766     | .....4,829     | .....4,778     | ..... | ..... | ..... | ..... | .....0 | ..... | .....4,778     | ..... | .....(12)     | .....(12)     | .....50    | 06/20/2035. | 1. .... |
| 38377N | G7 | 3 | GNMA CMO 2010-157 SH.....           | .. | 03/31/2021. | PRINCIPAL..... | .....10,107    | .....10,107    | .....10,385    | .....10,170    | ..... | ..... | ..... | ..... | .....0 | ..... | .....10,170    | ..... | .....(63)     | .....(63)     | .....155   | 12/20/2040. | 1. .... |
| 38378E | PZ | 0 | GNMA CMO 2012-69 HA.....            | .. | 01/29/2021. | PRINCIPAL..... | .....5,304     | .....5,304     | .....5,291     | .....5,296     | ..... | ..... | ..... | ..... | .....0 | ..... | .....5,296     | ..... | .....9        | .....9        | .....15    | 05/16/2042. | 1. .... |
| 38378G | SG | 4 | GNMA CMO PAC-2 2012-143 DE.....     | .. | 01/29/2021. | PRINCIPAL..... | .....153,865   | .....153,866   | .....152,567   | .....153,866   | ..... | ..... | ..... | ..... | .....0 | ..... | .....153,866   | ..... | .....(0)      | .....(0)      | .....256   | 12/20/2042. | 1. .... |
| 38378G | TC | 2 | GNMA CMO PAC 2012-143 ED.....       | .. | 01/29/2021. | PRINCIPAL..... | .....47,936    | .....47,936    | .....47,038    | .....47,609    | ..... | ..... | ..... | ..... | .....0 | ..... | .....47,609    | ..... | .....327      | .....327      | .....80    | 12/20/2042. | 1. .... |
| 38378M | ST | 3 | GNMA CMO 2013-51 SC INV FLT.....    | .. | 03/31/2021. | PRINCIPAL..... | .....5,765     | .....5,765     | .....5,787     | .....5,739     | ..... | ..... | ..... | ..... | .....0 | ..... | .....5,739     | ..... | .....26       | .....26       | .....59    | 04/20/2043. | 1. .... |
| 38378M | TU | 9 | GNMA CMO 2013-51 C.....             | .. | 03/31/2021. | PRINCIPAL..... | .....11,530    | .....11,530    | .....11,623    | .....11,499    | ..... | ..... | ..... | ..... | .....0 | ..... | .....11,499    | ..... | .....31       | .....31       | .....72    | 04/20/2043. | 1. .... |
| 38379X | Q6 | 0 | GOVERNMENT NATL MTG ASSN.....       | .. | 03/22/2021. | PRINCIPAL..... | .....1,032,529 | .....1,032,529 | .....1,044,145 | .....1,044,145 | ..... | ..... | ..... | ..... | .....0 | ..... | .....1,044,145 | ..... | .....(11,616) | .....(11,616) | .....4,411 | 02/20/2045. | 1. .... |
| 38380K | 3Z | 6 | GNMA.....                           | .. | 03/22/2021. | PRINCIPAL..... | .....696,710   | .....696,710   | .....704,548   | .....704,548   | ..... | ..... | ..... | ..... | .....0 | ..... | .....704,548   | ..... | .....(7,838)  | .....(7,838)  | .....3,403 | 03/20/2044. | 1. .... |
| 38380K | UN | 3 | GOVERNMENT NATL MTG ASSN.....       | .. | 03/22/2021. | PRINCIPAL..... | .....714,525   | .....714,525   | .....731,942   | .....731,942   | ..... | ..... | ..... | ..... | .....0 | ..... | .....731,942   | ..... | .....(17,417) | .....(17,417) | .....2,970 | 10/20/2047. | 1. .... |
| 38380N | 5B | 1 | GOVERNMENT NATL MTG ASSN.....       | .. | 03/31/2021. | PRINCIPAL..... | .....199,819   | .....199,819   | .....209,685   | .....209,685   | ..... | ..... | ..... | ..... | .....0 | ..... | .....209,685   | ..... | .....(9,866)  | .....(9,866)  | .....959   | 10/16/2061. | 1. .... |

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1                                                                          | 2                                        |  |  | 3                               | 4             | 5                            | 6                         | 7             | 8         | 9           | 10                                      | Change in Book/Adjusted Carrying Value   |                                           |                                            |                                      |                                            | 16                                            | 17                                       | 18                               | 19                            | 20                                                   | 21                               | 22                                                                        |
|----------------------------------------------------------------------------|------------------------------------------|--|--|---------------------------------|---------------|------------------------------|---------------------------|---------------|-----------|-------------|-----------------------------------------|------------------------------------------|-------------------------------------------|--------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------|
|                                                                            |                                          |  |  |                                 |               |                              |                           |               |           |             |                                         | 11                                       | 12                                        | 13                                         | 14                                   | 15                                         |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| CUSIP Identification                                                       | Description                              |  |  | F<br>o<br>r<br>e<br>i<br>g<br>n | Disposal Date | Name of Purchaser            | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| 38380P SW 5                                                                | GOVERNMENT NATL MTG ASSN.....            |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 44,214        | 44,214    | 44,878      | 44,878                                  |                                          |                                           |                                            | 0                                    |                                            | 44,878                                        |                                          | (663)                            | (663)                         | 65                                                   | 02/16/2062.                      | 1. ....                                                                   |
| 38380P VF 8                                                                | GOVERNMENT NATL MTG ASSN.....            |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 279,746       | 279,746   | 287,439     | 287,439                                 |                                          |                                           |                                            | 0                                    |                                            | 287,439                                       |                                          | (7,693)                          | (7,693)                       | 837                                                  | 05/16/2061.                      | 1. ....                                                                   |
| 38380T B7 0                                                                | GOVERNMENT NATIONAL MORT GNR 2017-107 T  |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 11,191        | 11,191    | 11,343      | 11,343                                  |                                          |                                           |                                            | 0                                    |                                            | 11,343                                        |                                          | (152)                            | (152)                         | 84                                                   | 01/20/2047.                      | 1. ....                                                                   |
| 38380V AB 7                                                                | GOVERNMENT NATL MTG ASSN.....            |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 374,677       | 374,677   | 375,965     | 375,965                                 |                                          |                                           |                                            | 0                                    |                                            | 375,965                                       |                                          | (1,288)                          | (1,288)                       | 1,471                                                | 04/20/2044.                      | 1. ....                                                                   |
| 38382C VL 2                                                                | GOVERNMENT NATL MTG ASSN.....            |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 328,727       | 328,727   | 328,471     | 328,471                                 |                                          |                                           |                                            | 0                                    |                                            | 328,471                                       |                                          | 257                              | 257                           | 1,008                                                | 10/20/2043.                      | 1. ....                                                                   |
| 0599999. Total - Bonds - U.S. Government.....                              |                                          |  |  |                                 |               |                              |                           | 7,647,331     | 7,647,332 | 7,739,984   | 7,738,894                               | 0                                        | 0                                         | 0                                          | 0                                    | 0                                          | 7,738,894                                     | 0                                        | (91,563)                         | (91,563)                      | 38,088                                               | XXX                              | XXX                                                                       |
| Bonds - All Other Government                                               |                                          |  |  |                                 |               |                              |                           |               |           |             |                                         |                                          |                                           |                                            |                                      |                                            |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| 38373V NM 8                                                                | GNMA 2002-63 CL NU.....                  |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 2,607         | 2,607     | 2,688       | 2,620                                   |                                          |                                           |                                            | 0                                    |                                            | 2,620                                         |                                          | (13)                             | (13)                          | 26                                                   | 09/20/2032.                      | 1. ....                                                                   |
| 38374T RK 2                                                                | GNMA CMO TAC 2009-22 JL.....             |  |  | ..                              | 03/31/2021.   | PRINCIPAL.....               |                           | 4,450         | 4,450     | 4,513       | 4,461                                   |                                          |                                           |                                            | 0                                    |                                            | 4,461                                         |                                          | (12)                             | (12)                          | 28                                                   | 04/20/2039.                      | 1. ....                                                                   |
| 1099999. Total - Bonds - All Other Government.....                         |                                          |  |  |                                 |               |                              |                           | 7,057         | 7,057     | 7,201       | 7,082                                   | 0                                        | 0                                         | 0                                          | 0                                    | 0                                          | 7,082                                         | 0                                        | (25)                             | (25)                          | 54                                                   | XXX                              | XXX                                                                       |
| Bonds - U.S. Special Revenue and Special Assessment                        |                                          |  |  |                                 |               |                              |                           |               |           |             |                                         |                                          |                                           |                                            |                                      |                                            |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| 442331 QM 9                                                                | HOUSTON TEX GO 01/08/09 6.29% DUE 03/01/ |  |  | ..                              | 03/01/2021.   | PARTIAL CALLED SECURITY..... |                           | 50,000        | 50,000    | 61,670      | 56,540                                  |                                          |                                           |                                            | 0                                    |                                            | 56,540                                        |                                          | (6,540)                          | (6,540)                       |                                                      | 03/01/2032.                      | 1.D ....                                                                  |
| 63165T BR 5                                                                | NASSAU CNTY N Y SER I GO.....            |  |  | ..                              | 03/19/2021.   | CALLED.....                  |                           | 500,000       | 500,000   | 501,770     | 500,000                                 |                                          | (0)                                       |                                            | (0)                                  |                                            | 500,000                                       |                                          | 0                                | 0                             | 14,233                                               | 10/01/2029.                      | 1.F ....                                                                  |
| 665250 BX 3                                                                | NORTHERN ILL MUN PWR AGY.....            |  |  | ..                              | 01/04/2021.   | PARTIAL CALLED SECURITY..... |                           | 35,000        | 35,000    | 35,000      | 35,000                                  |                                          |                                           |                                            | 0                                    |                                            | 35,000                                        |                                          |                                  | 0                             |                                                      | 01/01/2030.                      | 1.G ....                                                                  |
| 744533 BL 3                                                                | PUBLIC SERVICE CO OKLA SENIOR NT SER I   |  |  | ..                              | 02/01/2021.   | MATURED.....                 |                           | 250,000       | 250,000   | 248,115     | 249,989                                 |                                          | 11                                        |                                            | 11                                   |                                            | 250,000                                       |                                          |                                  | 0                             | 5,500                                                | 02/01/2021.                      | 2.A ....                                                                  |
| 3199999. Total - Bonds - U.S. Special Revenue and Special Assessments..... |                                          |  |  |                                 |               |                              |                           | 835,000       | 835,000   | 846,555     | 841,528                                 | 0                                        | 11                                        | 0                                          | 11                                   | 0                                          | 841,540                                       | 0                                        | (6,540)                          | (6,540)                       | 19,733                                               | XXX                              | XXX                                                                       |
| Bonds - Industrial and Miscellaneous                                       |                                          |  |  |                                 |               |                              |                           |               |           |             |                                         |                                          |                                           |                                            |                                      |                                            |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| 00214M AA 1                                                                | ARL SECOND LLC.....                      |  |  | ..                              | 01/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 30,387        | 30,387    | 29,637      | 29,637                                  |                                          |                                           |                                            | 0                                    |                                            | 29,637                                        |                                          | 750                              | 750                           |                                                      | 06/15/2024.                      | 1.A ....                                                                  |
| 00214M AA 1                                                                | ARL SECOND LLC.....                      |  |  | ..                              | 02/16/2021.   | PRINCIPAL PAYMENT.....       |                           | 29,946        | 29,946    | 29,207      | 29,207                                  |                                          |                                           |                                            | 0                                    |                                            | 29,207                                        |                                          | 739                              | 739                           |                                                      | 06/15/2024.                      | 1.A ....                                                                  |
| 00214M AA 1                                                                | ARL SECOND LLC.....                      |  |  | ..                              | 03/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 30,578        | 30,578    | 29,823      | 29,823                                  |                                          |                                           |                                            | 0                                    |                                            | 29,823                                        |                                          | 755                              | 755                           |                                                      | 06/15/2024.                      | 1.A ....                                                                  |
| 006346 AS 9                                                                | ADAMS OUTDOOR ADVERTISING LP.....        |  |  | ..                              | 03/15/2021.   | PRINCIPAL.....               |                           | 4,651         | 4,651     | 4,698       | 4,682                                   |                                          |                                           |                                            | 0                                    |                                            | 4,682                                         |                                          | (31)                             | (31)                          | 37                                                   | 11/15/2048.                      | 1. ....                                                                   |
| 009090 AA 9                                                                | AIR CANADA.....                          |  |  | ..                              | 03/16/2021.   | PRINCIPAL PAYMENT.....       |                           | 12,062        | 12,062    | 11,639      | 11,756                                  |                                          |                                           |                                            | 0                                    |                                            | 11,756                                        |                                          | 306                              | 306                           |                                                      | 03/15/2027.                      | 1.F ....                                                                  |
| 01877Z AD 2                                                                | ALLIANCE PIPELINE L P FGN SR BD SEDOL 29 |  |  | ..                              | 01/04/2021.   | PRINCIPAL PAYMENT.....       |                           | 23,043        | 23,043    | 25,778      | 23,509                                  |                                          |                                           |                                            | 0                                    |                                            | 23,509                                        |                                          | (466)                            | (466)                         |                                                      | 12/31/2023.                      | 2.B ....                                                                  |
| 04273W AB 7                                                                | ARROW ELECTRONICS INC SENIOR BD          |  |  | ..                              | 03/01/2021.   | MATURED.....                 |                           | 500,000       | 500,000   | 504,500     | 500,068                                 |                                          | (68)                                      |                                            | (68)                                 |                                            | 500,000                                       |                                          |                                  | 0                             | 12,813                                               | 03/01/2021.                      | 2.C ....                                                                  |
| 05178T AA 9                                                                | AURORA MILITARY HSG III LLC.....         |  |  | ..                              | 01/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 11,490        | 11,490    | 12,926      | 12,680                                  |                                          |                                           |                                            | 0                                    |                                            | 12,680                                        |                                          | (1,190)                          | (1,190)                       |                                                      | 07/15/2034.                      | 1.F ....                                                                  |
| 12200B AA 6                                                                | BURLINGTON NORTHERN SANTA FE 04-1 TRUST  |  |  | ..                              | 01/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 11,810        | 11,809    | 13,064      | 11,809                                  |                                          |                                           |                                            | 0                                    |                                            | 11,809                                        |                                          | 0                                | 0                             | 270                                                  | 01/15/2021.                      | 1.C ....                                                                  |
| 12326Q AA 2                                                                | BUSINESS JET SECURITIES LLC.....         |  |  | ..                              | 01/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 7,280         | 7,280     | 7,316       | 7,316                                   |                                          |                                           |                                            | 0                                    |                                            | 7,316                                         |                                          | (36)                             | (36)                          |                                                      | 07/15/2034.                      | 1.A ....                                                                  |
| 12326Q AA 2                                                                | BUSINESS JET SECURITIES LLC.....         |  |  | ..                              | 02/16/2021.   | PRINCIPAL PAYMENT.....       |                           | 6,927         | 6,927     | 6,962       | 6,962                                   |                                          |                                           |                                            | 0                                    |                                            | 6,962                                         |                                          | (35)                             | (35)                          |                                                      | 07/15/2034.                      | 1.A ....                                                                  |
| 12326Q AA 2                                                                | BUSINESS JET SECURITIES LLC.....         |  |  | ..                              | 03/15/2021.   | PRINCIPAL PAYMENT.....       |                           | 6,848         | 6,848     | 6,882       | 6,882                                   |                                          |                                           |                                            | 0                                    |                                            | 6,882                                         |                                          | (34)                             | (34)                          |                                                      | 07/15/2034.                      | 1.A ....                                                                  |
| 12626P AL 7                                                                | CRH AMERICA INC SENIOR BD.....           |  |  | ..                              | 01/15/2021.   | WELLS FARGO ADVISORS.....    |                           | 250,000       | 250,000   | 264,780     | 250,000                                 |                                          |                                           |                                            | 0                                    |                                            | 250,000                                       |                                          | (0)                              | (0)                           | 7,188                                                | 01/15/2021.                      | 2.A ....                                                                  |
| 12665U AA 2                                                                | CVS PASS-THROUGH TRUST.....              |  |  | ..                              | 03/12/2021.   | PRINCIPAL.....               |                           | 4,518         | 4,518     | 4,522       | 4,513                                   |                                          |                                           |                                            | 0                                    |                                            | 4,513                                         |                                          | 5                                | 5                             | 77                                                   | 01/10/2036.                      | 2. ....                                                                   |
| 12665U AA 2                                                                | CVS PASS-THROUGH TRUST.....              |  |  | ..                              | 03/12/2021.   | PRINCIPAL.....               |                           | 5,595         | 5,595     | 5,789       | 5,789                                   |                                          |                                           |                                            | 0                                    |                                            | 5,789                                         |                                          | (194)                            | (194)                         |                                                      | 01/10/2036.                      | 2. ....                                                                   |
| 21079V AA 1                                                                | CONTINENTAL AIRLINES INC BOND SER 2010-1 |  |  | ..                              | 01/12/2021.   | PRINCIPAL.....               |                           | 195,446       | 195,446   | 190,560     | 195,505                                 |                                          |                                           |                                            | 0                                    |                                            | 195,505                                       |                                          | (59)                             | (59)                          | 4,642                                                | 01/12/2021.                      | 2. ....                                                                   |
| 31331F AX 9                                                                | FEDERAL EXPRESS CORP BOND SER 1998-1 CL  |  |  | ..                              | 01/15/2021.   | PRINCIPAL.....               |                           | 120,590       | 120,590   | 141,392     | 120,590                                 |                                          |                                           |                                            | 0                                    |                                            | 120,590                                       |                                          |                                  | 0                             | 2,440                                                | 01/15/2022.                      | 2. ....                                                                   |

QE05.1

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE05.2

| 1                    | 2                                                 |   |                                               | 3                               | 4             | 5                                   | 6                         | 7               | 8               | 9               | 10                                      | Change in Book/Adjusted Carrying Value   |                                           |                                                           |                                      |                                            | 16                                            | 17                                       | 18                               | 19                            | 20                                                   | 21                               | 22                                                                        |
|----------------------|---------------------------------------------------|---|-----------------------------------------------|---------------------------------|---------------|-------------------------------------|---------------------------|-----------------|-----------------|-----------------|-----------------------------------------|------------------------------------------|-------------------------------------------|-----------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------------------------------------|------------------------------------------|----------------------------------|-------------------------------|------------------------------------------------------|----------------------------------|---------------------------------------------------------------------------|
|                      |                                                   |   |                                               |                                 |               |                                     |                           |                 |                 |                 |                                         | 11                                       | 12                                        | 13                                                        | 14                                   | 15                                         |                                               |                                          |                                  |                               |                                                      |                                  |                                                                           |
| CUSIP Identification | Description                                       |   |                                               | F<br>o<br>r<br>e<br>i<br>g<br>n | Disposal Date | Name of Purchaser                   | Number of Shares of Stock | Consideration   | Par Value       | Actual Cost     | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| 31740C               | AB                                                | 9 | FIN AMER STR SECS TR.....                     | ..                              | 01/25/2021.   | PRINCIPAL PAYMENT.....              |                           | .....11,980     | .....11,980     | .....11,999     | .....11,999                             |                                          |                                           |                                                           | .....0                               |                                            | .....11,999                                   |                                          | .....(19)                        | .....(19)                     |                                                      | 03/25/2023.                      | 1.A .....                                                                 |
| 31740C               | AB                                                | 9 | FIN AMER STR SECS TR.....                     | ..                              | 02/25/2021.   | PRINCIPAL PAYMENT.....              |                           | .....4,993      | .....4,993      | .....5,001      | .....5,001                              |                                          |                                           |                                                           | .....0                               |                                            | .....5,001                                    |                                          | .....(8)                         | .....(8)                      |                                                      | 03/25/2023.                      | 1.A .....                                                                 |
| 31740C               | AB                                                | 9 | FIN AMER STR SECS TR.....                     | ..                              | 03/25/2021.   | PRINCIPAL PAYMENT.....              |                           | .....365        | .....365        | .....366        | .....366                                |                                          |                                           |                                                           | .....0                               |                                            | .....366                                      |                                          | .....(1)                         | .....(1)                      |                                                      | 03/25/2023.                      | 1.A .....                                                                 |
| 345397               | VR                                                | 1 | FORD MOTOR CREDIT CO LLC.....                 | ..                              | 02/01/2021.   | MATURED.....                        |                           | .....500,000    | .....500,000    | .....510,260    | .....500,410                            |                                          |                                           | .....(410)                                                | .....(410)                           |                                            | .....500,000                                  |                                          |                                  | .....0                        | .....14,375                                          | 02/01/2021.                      | 3.B .....                                                                 |
| 48203R               | AG                                                | 9 | JUNIPER NETWORKS INC.....                     | ..                              | 01/01/2021.   | CALLED.....                         |                           | .....562,860    | .....500,000    | .....518,895    | .....506,165                            |                                          |                                           |                                                           | .....0                               |                                            | .....506,165                                  |                                          | .....56,695                      | .....56,695                   | .....7,125                                           | 03/15/2024.                      | 2.B .....                                                                 |
| 55316F               | AC                                                | 3 | MM FINISHED LOTS HLDGS.....                   | ..                              | 01/31/2021.   | KEYBANK NA.....                     |                           | .....37,240     | .....37,240     | .....40,489     | .....37,869                             |                                          |                                           |                                                           | .....0                               |                                            | .....37,869                                   |                                          | .....(629)                       | .....(629)                    |                                                      | 01/31/2024.                      | 1.F .....                                                                 |
| 55316F               | AC                                                | 3 | MM FINISHED LOTS HLDGS.....                   | ..                              | 02/02/2021.   | PRINCIPAL PAYMENT.....              |                           | .....40,242     | .....40,242     | .....43,753     | .....40,922                             |                                          |                                           |                                                           | .....0                               |                                            | .....40,922                                   |                                          | .....(680)                       | .....(680)                    |                                                      | 01/31/2024.                      | 1.F .....                                                                 |
| 55316F               | AC                                                | 3 | MM FINISHED LOTS HLDGS.....                   | ..                              | 03/02/2021.   | PRINCIPAL PAYMENT.....              |                           | .....19,478     | .....19,478     | .....21,177     | .....19,807                             |                                          |                                           |                                                           | .....0                               |                                            | .....19,807                                   |                                          | .....(329)                       | .....(329)                    |                                                      | 01/31/2024.                      | 1.F .....                                                                 |
| 55317H               | AA                                                | 2 | MM FINISHED LOTS HLDGS 3 LLC.....             | ..                              | 03/31/2021.   | PRINCIPAL.....                      |                           | .....120,449    | .....120,449    | .....120,449    | .....120,449                            |                                          |                                           |                                                           | .....0                               |                                            | .....120,449                                  |                                          |                                  | .....0                        | .....1,165                                           | 11/30/2025.                      | 1. ....                                                                   |
| 56585A               | AD                                                | 4 | MARATHON PETROLEUM CORP.....                  | ..                              | 03/01/2021.   | MATURED.....                        |                           | .....500,000    | .....500,000    | .....491,505    | .....491,505                            |                                          |                                           |                                                           | .....0                               |                                            | .....491,505                                  |                                          | .....8,495                       | .....8,495                    | .....12,813                                          | 03/01/2021.                      | 2.B .....                                                                 |
| 647677               | AF                                                | 0 | NEW ORLEANS NAVY HSG LLC.....                 | ..                              | 01/17/2021.   | NATIONAL ALLIANCE SECURITIES C..... |                           | .....5,000      | .....5,000      | .....5,126      | .....5,181                              |                                          |                                           |                                                           | .....0                               |                                            | .....5,181                                    |                                          | .....(181)                       | .....(181)                    |                                                      | 12/15/2029.                      | 1.E .....                                                                 |
| 647677               | AF                                                | 0 | NEW ORLEANS NAVY HSG LLC.....                 | ..                              | 02/16/2021.   | PRINCIPAL PAYMENT.....              |                           | .....15,000     | .....15,000     | .....15,379     | .....15,535                             |                                          |                                           |                                                           | .....0                               |                                            | .....15,535                                   |                                          | .....(535)                       | .....(535)                    |                                                      | 12/15/2029.                      | 1.E .....                                                                 |
| 647677               | AF                                                | 0 | NEW ORLEANS NAVY HSG LLC.....                 | ..                              | 03/15/2021.   | PARTIAL CALLED SECURITY.....        |                           | .....15,000     | .....15,000     | .....15,379     | .....15,529                             |                                          |                                           |                                                           | .....0                               |                                            | .....15,529                                   |                                          | .....(529)                       | .....(529)                    |                                                      | 12/15/2029.                      | 1.E .....                                                                 |
| 65334H               | AE                                                | 2 | NEXEN INC FGN SR BD.....                      | ..                              | 01/15/2021.   | KEYBANK NA.....                     |                           | .....826,247    | .....650,000    | .....720,402    | .....695,418                            |                                          |                                           |                                                           | .....0                               |                                            | .....695,418                                  |                                          | .....130,829                     | .....130,829                  |                                                      | 03/10/2035.                      | 1.E .....                                                                 |
| 65334H               | AG                                                | 7 | NEXEN INC FGN BD.....                         | ..                              | 01/12/2021.   | RAYMOND JAMES INSTITIONAL.....      |                           | .....933,494    | .....700,000    | .....835,835    | .....791,889                            |                                          |                                           |                                                           | .....0                               |                                            | .....791,889                                  |                                          | .....141,606                     | .....141,606                  |                                                      | 05/15/2037.                      | 1.E .....                                                                 |
| 654894               | AE                                                | 4 | NOBLE AFFILIATES INC. SR. NOTES.....          | ..                              | 01/06/2021.   | MORGAN KEEGAN & CO.....             |                           | .....117,395    | .....100,000    | .....98,793     | .....99,900                             |                                          |                                           |                                                           | .....0                               |                                            | .....99,900                                   |                                          | .....17,495                      | .....17,495                   |                                                      | 10/15/2023.                      | 2.C .....                                                                 |
| 67420B               | AA                                                | 4 | OASIS 2020-1 LLC.....                         | ..                              | 01/15/2021.   | PRINCIPAL PAYMENT.....              |                           | .....17,546     | .....17,546     | .....17,502     | .....17,502                             |                                          |                                           |                                                           | .....0                               |                                            | .....17,502                                   |                                          | .....44                          | .....44                       |                                                      | 10/15/2021.                      | 1.A .....                                                                 |
| 67420B               | AA                                                | 4 | OASIS 2020-1 LLC.....                         | ..                              | 02/16/2021.   | PRINCIPAL PAYMENT.....              |                           | .....16,135     | .....16,135     | .....16,094     | .....16,094                             |                                          |                                           |                                                           | .....0                               |                                            | .....16,094                                   |                                          | .....40                          | .....40                       |                                                      | 10/15/2021.                      | 1.A .....                                                                 |
| 67420B               | AA                                                | 4 | OASIS 2020-1 LLC.....                         | ..                              | 03/15/2021.   | PRINCIPAL PAYMENT.....              |                           | .....12,965     | .....12,965     | .....12,933     | .....12,933                             |                                          |                                           |                                                           | .....0                               |                                            | .....12,933                                   |                                          | .....32                          | .....32                       |                                                      | 10/15/2021.                      | 1.A .....                                                                 |
| 675232               | AA                                                | 0 | OCEANEERING INTL INC.....                     | ..                              | 03/11/2021.   | RAYMOND JAMES INSTITIONAL.....      |                           | .....503,742    | .....500,000    | .....498,845    | .....499,607                            |                                          | .....18                                   |                                                           | .....18                              |                                            | .....499,625                                  |                                          | .....4,116                       | .....4,116                    |                                                      | 11/15/2024.                      | 4.A .....                                                                 |
| 83417P               | AA                                                | 5 | SOLARCITY LMC IV.....                         | ..                              | 02/22/2021.   | PRINCIPAL PAYMENT.....              |                           | .....49,992     | .....49,992     | .....44,506     | .....44,506                             |                                          |                                           |                                                           | .....0                               |                                            | .....44,506                                   |                                          | .....5,485                       | .....5,485                    |                                                      | 02/20/2021.                      | 1.A .....                                                                 |
| 84474Y               | AA                                                | 4 | SOUTHWEST AIRLINES CO BOND SER 2007-1 CL..... | ..                              | 02/01/2021.   | PRINCIPAL PAYMENT.....              |                           | .....52,656     | .....52,656     | .....57,922     | .....53,620                             |                                          |                                           |                                                           | .....0                               |                                            | .....53,620                                   |                                          | .....(964)                       | .....(964)                    |                                                      | 02/01/2024.                      | 1.F .....                                                                 |
| 89657B               | AA                                                | 2 | TRINITY RAIL LEASING 2019 LLC.....            | ..                              | 03/17/2021.   | PRINCIPAL.....                      |                           | .....10,261     | .....10,261     | .....10,761     | .....10,761                             |                                          |                                           |                                                           | .....0                               |                                            | .....10,761                                   |                                          | .....(500)                       | .....(500)                    | .....66                                              | 04/17/2023.                      | 1. ....                                                                   |
| 90783V               | AA                                                | 3 | UNION PACIFIC RAILROAD BOND 2005-1 TRUST..... | ..                              | 01/04/2021.   | PRINCIPAL.....                      |                           | .....39,981     | .....39,981     | .....41,980     | .....39,966                             |                                          |                                           |                                                           | .....0                               |                                            | .....39,966                                   |                                          | .....15                          | .....15                       | .....1,012                                           | 01/02/2029.                      | 1. ....                                                                   |
| 90931C               | AA                                                | 6 | UNITED AIR LINES INC.....                     | ..                              | 02/25/2021.   | PRINCIPAL PAYMENT.....              |                           | .....11,562     | .....11,562     | .....11,591     | .....11,587                             |                                          |                                           |                                                           | .....0                               |                                            | .....11,587                                   |                                          | .....(25)                        | .....(25)                     |                                                      | 08/25/2033.                      | 1.E .....                                                                 |
| 90931G               | AA                                                | 7 | UNITED AIRLINES INC.....                      | ..                              | 01/15/2021.   | PRINCIPAL.....                      |                           | .....12,088     | .....12,088     | .....12,541     | .....12,541                             |                                          |                                           |                                                           | .....0                               |                                            | .....12,541                                   |                                          | .....(453)                       | .....(453)                    | .....152                                             | 04/15/2029.                      | 1. ....                                                                   |
| 97064E               | AA                                                | 6 | WILLIS ENGINE SECURITIZATION TR.....          | ..                              | 03/15/2021.   | PRINCIPAL.....                      |                           | .....3,732      | .....3,732      | .....3,780      | .....3,737                              |                                          |                                           |                                                           | .....0                               |                                            | .....3,737                                    |                                          | .....(5)                         | .....(5)                      | .....24                                              | 09/15/2043.                      | 1. ....                                                                   |
| 983919               | AH                                                | 4 | XILINX INC.....                               | ..                              | 03/15/2021.   | MATURED.....                        |                           | .....500,000    | .....500,000    | .....495,845    | .....499,921                            |                                          | .....79                                   |                                                           | .....79                              |                                            | .....500,000                                  |                                          | .....0                           | .....0                        | .....7,500                                           | 03/15/2021.                      | 1.G .....                                                                 |
| 3899999.             | Total - Bonds - Industrial and Miscellaneous..... |   |                                               |                                 |               |                                     |                           | .....6,191,572  | .....5,697,834  | .....5,968,580  | .....5,831,447                          | .....0                                   | .....(381)                                | .....0                                                    | .....(381)                           | .....0                                     | .....5,831,066                                | .....0                                   | .....360,505                     | .....360,505                  | .....71,697                                          | XXX                              | XXX                                                                       |
| 8399997.             | Total - Bonds - Part 4.....                       |   |                                               |                                 |               |                                     |                           | .....14,680,959 | .....14,187,222 | .....14,562,319 | .....14,418,951                         | .....0                                   | .....(370)                                | .....0                                                    | .....(370)                           | .....0                                     | .....14,418,581                               | .....0                                   | .....262,378                     | .....262,378                  | .....129,573                                         | XXX                              | XXX                                                                       |
| 8399999.             | Total - Bonds.....                                |   |                                               |                                 |               |                                     |                           | .....14,680,959 | .....14,187,222 | .....14,562,319 | .....14,418,951                         | .....0                                   | .....(370)                                | .....0                                                    | .....(370)                           | .....0                                     | .....14,418,581                               | .....0                                   | .....262,378                     | .....262,378                  | .....129,573                                         | XXX                              | XXX                                                                       |
| 9999999.             | Total - Bonds, Preferred and Common Stocks.....   |   |                                               |                                 |               |                                     |                           | .....14,680,959 | XXX             | .....14,562,319 | .....14,418,951                         | .....0                                   | .....(370)                                | .....0                                                    | .....(370)                           | .....0                                     | .....14,418,581                               | .....0                                   | .....262,378                     | .....262,378                  | .....129,573                                         | XXX                              | XXX                                                                       |

Sch. DB - Pt. A - Sn. 1  
NONE

Sch. DB - Pt. B - Sn. 1  
NONE

Sch. DB - Pt. D - Sn. 1  
NONE

Sch. DB - Pt. D - Sn. 2  
NONE

Sch. DB - Pt. E  
NONE

Sch. DL - Pt. 1  
NONE

Sch. DL - Pt. 2  
NONE

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1                                           | 2                           | 3                | 4                                                  | 5                                                    | Book Balance at End of Each Month During Current Quarter |                 |                 | 9   |
|---------------------------------------------|-----------------------------|------------------|----------------------------------------------------|------------------------------------------------------|----------------------------------------------------------|-----------------|-----------------|-----|
|                                             |                             |                  |                                                    |                                                      | 6                                                        | 7               | 8               |     |
| Depository                                  | Code                        | Rate of Interest | Amount of Interest Received During Current Quarter | Amount or interest Accrued at Current Statement Date | First Month                                              | Second Month    | Third Month     | *   |
| Open Depositories                           |                             |                  |                                                    |                                                      |                                                          |                 |                 |     |
| KEY BANK - General Acct.....                | CLEVELAND OH.....           |                  |                                                    |                                                      | .....14,161,912                                          | .....13,986,408 | .....21,043,684 | XXX |
| KEY BANK - FCSU Corp Center.....            | CLEVELAND OH.....           |                  |                                                    |                                                      | .....166,383                                             | .....177,764    | .....196,729    | XXX |
| KEY BANK Investment Sweep.....              | CLEVELAND OH.....           | .....0.170       | .....413                                           |                                                      | .....14,806,821                                          | .....21,841,664 | .....17,465,123 | XXX |
| KEY BANK - Jednota Youth Building Fund..... | CLEVELAND OH.....           | .....3.000       |                                                    |                                                      | .....360,060                                             | .....360,060    | .....360,060    | XXX |
| FHLB.....                                   | CINCINNATI OH.....          | .....0.020       | .....729                                           |                                                      | .....14,197,830                                          | .....15,390,434 | .....16,589,121 | XXX |
| BANK OF MONTREAL.....                       | TORONTO ONTARIO CANADA..... |                  |                                                    |                                                      | .....1,058                                               | .....1,058      | .....1,058      | XXX |
| KEY BANK - CANADIAN FOREIGN CURRENCY UTS    | CLEVELAND OH.....           |                  |                                                    |                                                      | .....870,020                                             | .....870,020    | .....870,020    | XXX |
| PETTY CASH.....                             | CLEVELAND OH.....           |                  |                                                    |                                                      | .....500                                                 | .....500        | .....500        | XXX |
| US BANK - NORTH CAROLINA DEPOSIT.....       | MINNEAPOLIS MN.....         |                  |                                                    |                                                      | .....5,000                                               | .....5,000      | .....5,000      | XXX |
| UBS CASH ACCOUNT.....                       | CLEVELAND OH.....           | .....0.010       | .....3,954                                         |                                                      | .....128,524                                             | .....128,527    | .....128,529    | XXX |
| 0199999. Total Open Depositories.....       | XXX                         | XXX              | .....5,096                                         | .....0                                               | .....44,698,108                                          | .....52,761,435 | .....56,659,824 | XXX |
| 0399999. Total Cash on Deposit.....         | XXX                         | XXX              | .....5,096                                         | .....0                                               | .....44,698,108                                          | .....52,761,435 | .....56,659,824 | XXX |
| 0599999. Total Cash.....                    | XXX                         | XXX              | .....5,096                                         | .....0                                               | .....44,698,108                                          | .....52,761,435 | .....56,659,824 | XXX |

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA  
SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1     | 2           | 3    | 4             | 5                | 6             | 7                            | 8                                | 9                           |
|-------|-------------|------|---------------|------------------|---------------|------------------------------|----------------------------------|-----------------------------|
| CUSIP | Description | Code | Date Acquired | Rate of Interest | Maturity Date | Book/Adjusted Carrying Value | Amount of Interest Due & Accrued | Amount Received During Year |

NONE