PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

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# QUARTERLY STATEMENT

As of March 31, 2021

of the Condition and Affairs of the

# American Commerce Insurance Company

| | | |
|---|---|---|
| NAIC Group Code.....0411, 0411 (Current Period) (Prior Period) | NAIC Company Code..... 19941 | Employer's ID Number..... 31-4361173 |
| Organized under the Laws of OH | State of Domicile or Port of Entry OH | Country of Domicile US |
| Incorporated/Organized..... September 18, 1946 | Commenced Business..... March 19, 1947 | |
| Statutory Home Office | 211 MAIN STREET .. WEBSTER .. MA .. US .. 01570-0758 (Street and Number) (City or Town, State, Country and Zip Code) | |
| Main Administrative Office | 211 MAIN STREET .. WEBSTER .. MA .. US .. 01570-0758 (Street and Number) (City or Town, State, Country and Zip Code) | 508-943-9000 (Area Code) (Telephone Number) |
| Mail Address | 211 MAIN STREET .. WEBSTER .. MA .. US .. 01570-0758 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code) | |
| Primary Location of Books and Records | 211 MAIN STREET .. WEBSTER .. MA .. US .. 01570-0758 (Street and Number) (City or Town, State, Country and Zip Code) | 508-943-9000 (Area Code) (Telephone Number) |
| Internet Web Site Address | www.mapfreinsurance.com | |
| Statutory Statement Contact | CHRISTINE A CONRAD (Name) | 508-943-9000-14376 (Area Code) (Telephone Number) (Extension) |
| | cconrad@mapfreusa.com (E-Mail Address) | 508-949-4246 (Fax Number) |

## OFFICERS

| Name | Title | Name | Title |
|---|---|---|---|
| 1. JAIME TAMAYO # | PRESIDENT & CEO | 2. DANIEL PATRICK OLOHAN | SECRETARY, GENERAL COUNSEL, & EVP |
| 3. SHERRI DAWN KRISTAL | TREASURER & AVP | 4. JESUS AMADORI | CHIEF FINANCIAL OFFICER & EVP |

## DIRECTORS OR TRUSTEES

| | | | |
|---|---|---|---|
| RANDALL VAUGHN BECKER | JAIME TAMAYO # | DAVID HILL COCHRANE | TIMOTHY JOHN MORGAN |
| KIRK RICHARD NELSON | DANIEL PATRICK OLOHAN | DAVID MCMULLEN | |

State of........ MASSACHUSETTS
County of..... WORCESTER

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

| | | |
|---|---|---|
| (Signature) | (Signature) | (Signature) |
| JAIME TAMAYO | DANIEL PATRICK OLOHAN | SHERRI DAWN KRISTAL |
| 1. (Printed Name) | 2. (Printed Name) | 3. (Printed Name) |
| PRESIDENT & CEO | SECRETARY, GENERAL COUNSEL, & EVP | TREASURER & AVP |
| (Title) | (Title) | (Title) |

Subscribed and sworn to before me
This 11th day of May, 2021

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

YARISSA GOMEZ
Notary Public
Commonwealth of Massachusetts
My Commission Expires
9-6-2024

# ASSETS

| | Current Statement Date 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | 4 December 31 Prior Year Net Admitted Assets |
|---|---|---|---|---|
| 1. Bonds | 212,865,009 | | 212,865,009 | 207,862,463 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | 2,718,000 | | 2,718,000 | 2,718,000 |
| 2.2 Common stocks | | | 0 | |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | 0 | |
| 3.2 Other than first liens | | | 0 | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less $..........0 encumbrances) | | | 0 | |
| 4.2 Properties held for the production of income (less $..........0 encumbrances) | | | 0 | |
| 4.3 Properties held for sale (less $..........0 encumbrances) | | | 0 | |
| 5. Cash ($.....1,842,779), cash equivalents ($..........0) and short-term investments ($..........0) | 1,842,779 | | 1,842,779 | (402,133) |
| 6. Contract loans (including $..........0 premium notes) | | | 0 | |
| 7. Derivatives | | | 0 | |
| 8. Other invested assets | | | 0 | |
| 9. Receivables for securities | | | 0 | |
| 10. Securities lending reinvested collateral assets | | | 0 | |
| 11. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 217,425,788 | 0 | 217,425,788 | 210,178,330 |
| 13. Title plants less $..........0 charged off (for Title insurers only) | | | 0 | |
| 14. Investment income due and accrued | 1,504,387 | | 1,504,387 | 1,476,412 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | 37,910,656 | | 37,910,656 | 38,501,328 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums) | | | 0 | |
| 15.3 Accrued retrospective premiums ($..........0) and contracts subject to redetermination ($..........0) | | | 0 | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | 11,263,165 | | 11,263,165 | 11,987,846 |
| 16.2 Funds held by or deposited with reinsured companies | | | 0 | |
| 16.3 Other amounts receivable under reinsurance contracts | | | 0 | |
| 17. Amounts receivable relating to uninsured plans | | | 0 | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | | | 0 | |
| 18.2 Net deferred tax asset | 2,662,579 | 14,608 | 2,647,971 | 2,667,525 |
| 19. Guaranty funds receivable or on deposit | | | 0 | |
| 20. Electronic data processing equipment and software | 1,777,583 | | 1,777,583 | 2,041,689 |
| 21. Furniture and equipment, including health care delivery assets ($..........0) | 308,061 | 308,061 | 0 | |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | | | 0 | |
| 23. Receivables from parent, subsidiaries and affiliates | | | 0 | 3,637,005 |
| 24. Health care ($..........0) and other amounts receivable | | | 0 | |
| 25. Aggregate write-ins for other than invested assets | 75,354,817 | 839,209 | 74,515,608 | 75,350,313 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25) | 348,207,036 | 1,161,878 | 347,045,158 | 345,840,447 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | 0 | |
| 28. Total (Lines 26 and 27) | 348,207,036 | 1,161,878 | 347,045,158 | 345,840,447 |

**DETAILS OF WRITE-INS**

| | | | | |
|---|---|---|---|---|
| 1101. | | | 0 | |
| 1102. | | | 0 | |
| 1103. | | | 0 | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | 0 | 0 | 0 | 0 |
| 1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above) | 0 | 0 | 0 | 0 |
| 2501. PREPAID EXPENSES | 839,209 | 839,209 | 0 | |
| 2502. EQUITY IN POOLS AND ASSOCIATIONS | 74,209,974 | | 74,209,974 | 73,693,834 |
| 2503. PREMIUM TAX ACCRUAL | 305,117 | | 305,117 | 1,071,450 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 517 | 0 | 517 | 585,029 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above) | 75,354,817 | 839,209 | 74,515,608 | 75,350,313 |

# LIABILITIES, SURPLUS AND OTHER FUNDS

| | | 1<br>Current<br>Statement Date | 2<br>December 31<br>Prior Year |
|---|---|---|---|
| 1. | Losses (current accident year $.....14,995,000) | 90,339,986 | 91,148,248 |
| 2. | Reinsurance payable on paid losses and loss adjustment expenses | 12,224,916 | 10,977,436 |
| 3. | Loss adjustment expenses | 12,590,299 | 12,869,224 |
| 4. | Commissions payable, contingent commissions and other similar charges | 1,774,798 | 3,476,675 |
| 5. | Other expenses (excluding taxes, licenses and fees) | 1,926,956 | 1,268,275 |
| 6. | Taxes, licenses and fees (excluding federal and foreign income taxes) | 51,119 | 46,342 |
| 7.1 | Current federal and foreign income taxes (including $.....10,002 on realized capital gains (losses)) | 660,376 | 2,358,356 |
| 7.2 | Net deferred tax liability | | |
| 8. | Borrowed money $..........0 and interest thereon $..........0 | | |
| 9. | Unearned premiums (after deducting unearned premiums for ceded reinsurance of $.....161,849,309 and including warranty reserves of $..........0 and accrued accident and health experience rating refunds including $..........0 for medical loss ratio rebate per the Public Health Service Act) | 96,008,576 | 97,241,264 |
| 10. | Advance premium | 3,044,086 | 2,009,809 |
| 11. | Dividends declared and unpaid: | | |
| | 11.1 Stockholders | | |
| | 11.2 Policyholders | | |
| 12. | Ceded reinsurance premiums payable (net of ceding commissions) | 8,420,280 | 9,666,711 |
| 13. | Funds held by company under reinsurance treaties | | |
| 14. | Amounts withheld or retained by company for account of others | | |
| 15. | Remittances and items not allocated | | |
| 16. | Provision for reinsurance (including $..........0 certified) | | |
| 17. | Net adjustments in assets and liabilities due to foreign exchange rates | | |
| 18. | Drafts outstanding | | |
| 19. | Payable to parent, subsidiaries and affiliates | 1,170,662 | |
| 20. | Derivatives | | |
| 21. | Payable for securities | 1,489,436 | |
| 22. | Payable for securities lending | | |
| 23. | Liability for amounts held under uninsured plans | | |
| 24. | Capital notes $..........0 and interest thereon $..........0 | | |
| 25. | Aggregate write-ins for liabilities | 1,104,088 | 1,281,023 |
| 26. | Total liabilities excluding protected cell liabilities (Lines 1 through 25) | 230,805,578 | 232,343,363 |
| 27. | Protected cell liabilities | | |
| 28. | Total liabilities (Lines 26 and 27) | 230,805,578 | 232,343,363 |
| 29. | Aggregate write-ins for special surplus funds | 0 | 0 |
| 30. | Common capital stock | 3,226,140 | 3,226,140 |
| 31. | Preferred capital stock | | |
| 32. | Aggregate write-ins for other than special surplus funds | 0 | 0 |
| 33. | Surplus notes | | |
| 34. | Gross paid in and contributed surplus | 42,714,462 | 42,714,462 |
| 35. | Unassigned funds (surplus) | 70,298,978 | 67,556,482 |
| 36. | Less treasury stock, at cost: | | |
| | 36.1 ..........0.000 shares common (value included in Line 30 $..........0) | | |
| | 36.2 ..........0.000 shares preferred (value included in Line 31 $..........0) | | |
| 37. | Surplus as regards policyholders (Lines 29 to 35, less 36) | 116,239,580 | 113,497,084 |
| 38. | Totals (Page 2, Line 28, Col. 3) | 347,045,158 | 345,840,447 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 2501. | Unclaimed property | 1,104,088 | 1,281,023 |
| 2502. | | | |
| 2503. | | | |
| 2598. | Summary of remaining write-ins for Line 25 from overflow page | 0 | 0 |
| 2599. | Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above) | 1,104,088 | 1,281,023 |
| 2901. | | | |
| 2902. | | | |
| 2903. | | | |
| 2998. | Summary of remaining write-ins for Line 29 from overflow page | 0 | 0 |
| 2999. | Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above) | 0 | 0 |
| 3201. | | | |
| 3202. | | | |
| 3203. | | | |
| 3298. | Summary of remaining write-ins for Line 32 from overflow page | 0 | 0 |
| 3299. | Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above) | 0 | 0 |

# STATEMENT OF INCOME

| | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|
| **UNDERWRITING INCOME** | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct (written $.....36,550,783) | 41,484,528 | 46,355,380 | 176,385,657 |
| 1.2 Assumed (written $.....44,693,327) | 45,926,015 | 51,294,327 | 192,947,597 |
| 1.3 Ceded (written $.....36,550,783) | 41,484,528 | 46,355,380 | 176,385,657 |
| 1.4 Net (written $.....44,693,327) | 45,926,015 | 51,294,327 | 192,947,597 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year $.....24,830,000): | | | |
| 2.1 Direct | 19,344,381 | 20,759,133 | 87,719,663 |
| 2.2 Assumed | 24,306,211 | 28,809,867 | 102,776,541 |
| 2.3 Ceded | 19,344,381 | 20,759,133 | 87,719,663 |
| 2.4 Net | 24,306,211 | 28,809,867 | 102,776,541 |
| 3. Loss adjustment expenses incurred | 6,085,542 | 6,192,132 | 22,810,254 |
| 4. Other underwriting expenses incurred | 14,898,604 | 15,321,331 | 62,069,537 |
| 5. Aggregate write-ins for underwriting deductions | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5) | 45,290,357 | 50,323,330 | 187,656,332 |
| 7. Net income of protected cells | | | |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7) | 635,658 | 970,997 | 5,291,265 |
| **INVESTMENT INCOME** | | | |
| 9. Net investment income earned | 1,365,270 | 1,332,535 | 6,335,762 |
| 10. Net realized capital gains (losses) less capital gains tax of $.....(10,002) | 119,640 | 19,211 | 311,076 |
| 11. Net investment gain (loss) (Lines 9 + 10) | 1,484,910 | 1,351,746 | 6,646,838 |
| **OTHER INCOME** | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered $..........0 amount charged off $..........0) | 0 | | |
| 13. Finance and service charges not included in premiums | 271,440 | 303,528 | 1,114,923 |
| 14. Aggregate write-ins for miscellaneous income | 414,369 | 5,651 | (175,420) |
| 15. Total other income (Lines 12 through 14) | 685,809 | 309,179 | 939,503 |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) | 2,806,377 | 2,631,922 | 12,877,606 |
| 17. Dividends to policyholders | | | |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 2,806,377 | 2,631,922 | 12,877,606 |
| 19. Federal and foreign income taxes incurred | 670,380 | 906,200 | 3,027,815 |
| 20. Net income (Line 18 minus Line 19) (to Line 22) | 2,135,997 | 1,725,722 | 9,849,791 |
| **CAPITAL AND SURPLUS ACCOUNT** | | | |
| 21. Surplus as regards policyholders, December 31 prior year | 113,497,084 | 113,863,842 | 113,863,842 |
| 22. Net income (from Line 20) | 2,135,997 | 1,725,722 | 9,849,791 |
| 23. Net transfers (to) from Protected Cell accounts | | | |
| 24. Change in net unrealized capital gains or (losses) less capital gains tax of $.....31 | (114) | (517,121) | (237) |
| 25. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 26. Change in net deferred income tax | (41,551) | 196,458 | (127,167) |
| 27. Change in nonadmitted assets | (420,000) | (207,779) | 742,797 |
| 28. Change in provision for reinsurance | | | |
| 29. Change in surplus notes | | | |
| 30. Surplus (contributed to) withdrawn from protected cells | | | |
| 31. Cumulative effect of changes in accounting principles | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in | | | |
| 32.2 Transferred from surplus (Stock Dividend) | | | |
| 32.3 Transferred to surplus | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in | | | |
| 33.2 Transferred to capital (Stock Dividend) | | | |
| 33.3 Transferred from capital | | | |
| 34. Net remittances from or (to) Home Office | | | |
| 35. Dividends to stockholders | | | (10,000,000) |
| 36. Change in treasury stock | | | |
| 37. Aggregate write-ins for gains and losses in surplus | 1,068,164 | (776,484) | (831,943) |
| 38. Change in surplus as regards policyholders (Lines 22 through 37) | 2,742,496 | 420,796 | (366,759) |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) | 116,239,580 | 114,284,638 | 113,497,084 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 0501. | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above) | 0 | 0 | 0 |
| 1401. GAIN ON SALE OF FIXED ASSET | | 5,651 | 9,380 |
| 1402. MISCELLANEOUS INCOME/(EXPENSE) | 252,638 | | (8,545) |
| 1403. LOSS ON SALE OF FIXED ASSETS | | | (176,254) |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | 161,731 | 0 | 0 |
| 1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above) | 414,369 | 5,651 | (175,420) |
| 3701. STATUTORY POOLING ADJUSTMENT | 1,068,164 | 1,015,469 | 960,010 |
| 3702. DAC CHANGE IN POOLING - CASH SETTLEMENT | | (1,791,953) | (1,791,953) |
| 3703. | | | |
| 3798. Summary of remaining write-ins for Line 37 from overflow page | 0 | 0 | 0 |
| 3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above) | 1,068,164 | (776,484) | (831,943) |

# CASH FLOW

| | | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|---|
| | **CASH FROM OPERATIONS** | | | |
| 1. | Premiums collected net of reinsurance | 45,071,844 | 62,711,648 | 201,624,218 |
| 2. | Net investment income | 1,573,986 | 1,797,319 | 7,592,820 |
| 3. | Miscellaneous income | 685,809 | 309,178 | 939,503 |
| 4. | Total (Lines 1 through 3) | 47,331,639 | 64,818,145 | 210,156,541 |
| 5. | Benefit and loss related payments | 23,142,312 | 20,915,028 | 95,520,090 |
| 6. | Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | |
| 7. | Commissions, expenses paid and aggregate write-ins for deductions | 21,233,326 | 22,047,899 | 87,173,664 |
| 8. | Dividends paid to policyholders | | | |
| 9. | Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses) | 2,358,358 | 838,128 | 838,127 |
| 10. | Total (Lines 5 through 9) | 46,733,996 | 43,801,055 | 183,531,881 |
| 11. | Net cash from operations (Line 4 minus Line 10) | 597,643 | 21,017,090 | 26,624,660 |
| | **CASH FROM INVESTMENTS** | | | |
| 12. | Proceeds from investments sold, matured or repaid: | | | |
| | 12.1 Bonds | 9,148,141 | 3,259,403 | 46,252,265 |
| | 12.2 Stocks | | | |
| | 12.3 Mortgage loans | | | |
| | 12.4 Real estate | | | 878,837 |
| | 12.5 Other invested assets | | | |
| | 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | | | 193,376 |
| | 12.7 Miscellaneous proceeds | 1,489,436 | 2,965,590 | |
| | 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 10,637,577 | 6,224,993 | 47,324,478 |
| 13. | Cost of investments acquired (long-term only): | | | |
| | 13.1 Bonds | 14,277,885 | 15,650,310 | 65,368,716 |
| | 13.2 Stocks | | | |
| | 13.3 Mortgage loans | | | |
| | 13.4 Real estate | | | |
| | 13.5 Other invested assets | | | |
| | 13.6 Miscellaneous applications | | | |
| | 13.7 Total investments acquired (Lines 13.1 to 13.6) | 14,277,885 | 15,650,310 | 65,368,716 |
| 14. | Net increase or (decrease) in contract loans and premium notes | | | |
| 15. | Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (3,640,308) | (9,425,317) | (18,044,238) |
| | **CASH FROM FINANCING AND MISCELLANEOUS SOURCES** | | | |
| 16. | Cash provided (applied): | | | |
| | 16.1 Surplus notes, capital notes | | | |
| | 16.2 Capital and paid in surplus, less treasury stock | | | |
| | 16.3 Borrowed funds | | | |
| | 16.4 Net deposits on deposit-type contracts and other insurance liabilities | | | |
| | 16.5 Dividends to stockholders | | | 10,000,000 |
| | 16.6 Other cash provided (applied) | 5,287,577 | 7,506,249 | 2,831,079 |
| 17. | Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6) | 5,287,577 | 7,506,249 | (7,168,921) |
| | **RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS** | | | |
| 18. | Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17) | 2,244,912 | 19,098,022 | 1,411,502 |
| 19. | Cash, cash equivalents and short-term investments: | | | |
| | 19.1 Beginning of year | (402,133) | (1,813,635) | (1,813,635) |
| | 19.2 End of period (Line 18 plus Line 19.1) | 1,842,779 | 17,284,387 | (402,133) |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | | |
|---|---|---|---|---|
| 20.0001 | | | | |

# NOTES TO FINANCIAL STATEMENTS

**Note 1 – Summary of Significant Accounting Policies and Going Concern**

A. Accounting Practices

The accompanying financial statements of American Commerce Insurance Company (the Company) have been prepared in conformity with the accounting practices prescribed or permitted by the National Association of Insurance Commissioners (NAIC) and the State of Ohio. The NAIC Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

There are no differences between Ohio prescribed practices and NAIC statutory accounting practices (NAIC SAP) as noted below:

| | SSAP # | F/S Page | F/S Line # | Current Year to Date | 2020 |
|---|---|---|---|---|---|
| **NET INCOME** | | | | | |
| (1) The Company state basis (Page 4, Line 20, Columns 1 & 3) | XXX | XXX | XXX | $ 2,135,997 | $ 9,849,791 |
| (2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP | | | | | |
| | | | | $ | $ |
| (3) State Permitted Practice that are an increase/(decrease) from NAIC SAP | | | | | |
| | | | | $ | $ |
| (4) NAIC SAP (1 – 2 – 3 = 4) | XXX | XXX | XXX | $ 2,135,997 | $ 9,849,791 |
| **SURPLUS** | | | | | |
| (5) The Company state basis (Page 3, line 37, Columns 1 & 2) | XXX | XXX | XXX | $ 116,239,580 | $ 113,497,084 |
| (6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP | | | | | |
| | | | | $ | $ |
| (7) State Permitted Practice that are an increase/(decrease) from NAIC SAP | | | | | |
| | | | | $ | $ |
| (8) NAIC SAP (5 – 6 – 7 = 8) | XXX | XXX | XXX | $ 116,239,580 | $ 113,497,084 |

C. Accounting Policy

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method

Bonds, excluding Loan-Backed and Structured Securities, are accounted for in accordance with SAAP No. 26R. Amortized cost is calculated using the scientific interest method. Bonds containing call provisions are amortized to either the call or maturity value and date, whichever produces the lowest asset value (yield to worst). Investment grade bonds are stated at amortized cost. Non-investment grade bonds are stated at the lower of amortized cost or fair value. Bonds whose decline has been determined to be other than temporary are written down to a new cost basis and the write-down amount is accounted for as a realized loss.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-Backed and Structured Securities are accounted for in accordance with SSAP No. 43R. Amortized cost is calculated retrospectively using the scientific interest method. U.S. government agency loan-backed and structured securities are valued at amortized value. Other loan-backed and structured securities are valued at either amortized value or fair value, depending on many factors including: the type of underlying collateral, whether modeled by a NAIC vendor, whether rated (by either a NAIC approved rating organization or the NAIC Securities Valuation Office), and the relationship of amortized value to par value and amortized value to fair value. Bonds whose decline has been determined to be other than temporary are written down to a new cost basis and the write down amount is accounted for as a realized loss.

D. Going Concern

Based on its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**Note 2 – Accounting Changes and Corrections of Errors**

No significant changes

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments**

D. Loan-Backed Securities

(1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for Loan-Backed and Structured Securities were obtained from broker dealer survey values, internal estimates, or Bloomberg.

(2) Loan-Backed and Structured Securities Other-Than-Temporary Impairments recognized during the year based on the intent to sell or inability to retain the Investment for the period of time sufficient to recover the amortized cost.

During the first quarter 2021 the Company did not recognize Other-Than-Temporary Impairments on Loan-Backed and Structured Securities.

(3) Loan-Backed and Structured Securities Other-Than-Temporary Impairments recognized during the year based on the present value of future cash flows expected to be less than the amortized cost of the security.

During the first quarter 2021 the Company did not recognize Other-Than-Temporary Impairments on Loan-Backed and Structured Securities.

# NOTES TO FINANCIAL STATEMENTS

(4) All Loan-Backed and Structured Securities in an unrealized loss position as of quarter end.

| | | | |
|---|---|---|---|
| a. The aggregate amount of unrealized losses: | 1. Less than 12 Months | $ | 123,354 |
| | 2. 12 Months or Longer | $ | 5,566 |
| b. The aggregate related fair value of securities with unrealized losses: | 1. Less than 12 Months | $ | 3,896,462 |
| | 2. 12 Months or Longer | $ | 13,452 |

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

All Loan-Backed and Structured Securities in an unrealized loss position were reviewed to determine whether Other-Than-Temporary impairments should be recognized. The Company asserts that it has the intent and ability to hold these securities long enough to allow the cost basis of these securities to be recovered. These conclusions are supported by analysis of the underlying credit and cash flows of each security. Unrealized losses are primarily attributable to general changes in interest rates, credit spread widening, and increased liquidity discounts. It is possible that the Company could recognize Other-Than-Temporary impairments in the future on some of the securities, if future events, information and the passage of time cause it to conclude that declines in the value are Other-Than-Temporary.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

b. Not applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable

M. Working Capital Finance Investments

(2) Not applicable

(3) Not applicable

N. Offsetting and Netting of Assets and Liabilities

Not applicable

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

A. Derivatives Under *SSAP No. 86 – Derivatives*

(8) Not applicable

B. Derivatives under *SSAP No. 108 – Derivatives Hedging Variable Annuity Guarantees*

(2) Recognition of Gains/Losses and Deferred Assets and Liabilities

a. Not applicable
b. Not applicable
c. Not applicable

**Note 9 – Income Taxes**

No significant changes

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**Note 11 – Debt**

B. FHLB (Federal Home Loan Bank) Agreements

# NOTES TO FINANCIAL STATEMENTS

(1) Nature of the FHLB Agreement

Not applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

(4) Components of Net Periodic Benefit Cost

Not applicable

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

G. All Other Contingencies

No significant changes

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. Transfer and Servicing of Financial Assets

(2) Servicing Assets and Servicing Liabilities

Not applicable

(4) Securitizations, Asset-Based Financing Arrangements and Similar Transfers Accounted for as Sales

(a) Not applicable
(b) Not applicable

C. Wash Sales

(1) Description of the Objectives Regarding These Transactions

Not applicable

(2) The details by NAIC designation 3 or below, or unrated of securities sold during the current period and reacquired within 30 days of the sale date are:

Not applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant changes

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements

(1) Summary of Financial Assets Measured and Reported at Fair Value at 03/31/2021

| Description for Each Type of Asset or Liability | Level 1 | Level 2 | Level 3 | Net Asset Value (NAV) | Total |
|---|---|---|---|---|---|
| Assets at Fair Value | | | | | |
| Bonds | $ | $ 13,452 | $ | $ | $ 13,452 |
| Total | $ | $ 13,452 | $ | $ | $ 13,452 |

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

The Company has no assets or liabilites measured at fair value in Level 3.

(3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of a level.

# NOTES TO FINANCIAL STATEMENTS

4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Financial Assets included in Level 1 of the Fair Value Hierarchy include US Treasury securities and exchange traded common stock where prices are obtained directly from active markets.

Financial Assets included in Level 2 of the Fair Value Hierarchy are securities priced by the company's custodial bank and based on observable market data.

Financial Assets included in Level 3 of the Fair Value Hierarchy are securities priced utilizing broker quotes or internal pricing determined by insurer.

(5) Fair Value Disclosures

The Company does not hold Derivative assets or liabilities.

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not applicable

C. Fair Value for all Financial Instruments by Levels 1, 2, and 3

The table below reflects the fair values and admitted values of all admitted assets and liabilites that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures, partnerships, and limited liability corporations). The fair values are also categorized into the three-level fair value hierarchy as described above in Note 20A(4).

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
|---|---|---|---|---|---|---|---|
| Bonds | $ 219,801,947 | $ 212,865,009 | $ 5,641,705 | $ 214,160,242 | $ | $ | $ |
| Preferred Stock | $ 2,989,380 | $ 2,718,000 | $ | $ 2,989,380 | $ | $ | $ |
| Total | $ 222,791,327 | $ 215,583,009 | $ 5,641,705 | $ 217,149,622 | $ | $ | $ |

D. Not Practicable to Estimate Fair Value

Not applicable

E. Instruments measured at Net Asset Value (NAV)

Not applicable

**Note 21 – Other Items**

No significant changes

**Note 22 – Events Subsequent**

Subsequent events have been considered through May 14, 2021 for these statutory financial statements which are to be issued onMay 14, 2021. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

F. Risk Sharing Provisions of the Affordable Care Act

Not applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

Current year losses and LAE reflected on the Statement of Income of $30,392,000 were lower by $1,483,000 due to favorable development of prior year estimates. This redundancy was 1.4% of the unpaid losses and LAE of $104,019,000 as of prior year-end.

B. Information about Significant Changes in Methodologies and Assumptions

None

**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

# NOTES TO FINANCIAL STATEMENTS

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – High Deductibles**

No significant changes

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

**Note 33 – Asbestos/Environmental Reserves**

No significant changes

**Note 34 – Subscriber Savings Accounts**

No significant changes

**Note 35 – Multiple Peril Crop Insurance**

No significant changes

**Note 36 – Financial Guaranty Insurance**

B. Schedule of Insured Financial Obligations at the End of the Period:

Not Applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [ X ] No [ ]
If yes, complete Schedule Y, Parts 1 and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---|---|---|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation. Yes [ ] No [X] N/A [ ]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/30/2020

6.4 By what department or departments?
State of Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

| 1<br>Affiliate Name | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|---|---|---|---|---|---|
| | | | | | |

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [ X ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $ 0

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $ 0

13. Amount of real estate and mortgages held in short-term investments: $ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [ X ]

14.2 If yes, please complete the following:

| | | 1<br>Prior Year End Book/Adjusted Carrying Value | 2<br>Current Quarter Book/Adjusted Carrying Value |
|---|---|---|---|
| 14.21 | Bonds | $ 0 | $ 0 |
| 14.22 | Preferred Stock | 0 | 0 |
| 14.23 | Common Stock | 0 | 0 |
| 14.24 | Short-Term Investments | 0 | 0 |
| 14.25 | Mortgage Loans on Real Estate | 0 | 0 |
| 14.26 | All Other | 0 | 0 |
| 14.27 | Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | $ 0 | $ 0 |
| 14.28 | Total Investment in Parent included in Lines 14.21 to 14.26 above | $ 0 | $ 0 |

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [ X ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ] N/A [X ]

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $ 0

16.3 Total payable for securities lending reported on the liability page: $ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address |
|---|---|
| Bank of New York Mellon | One Wall Street, New York, NY |

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|---|---|---|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]

17.4 If yes, give full and complete information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|---|---|---|---|
| | | | |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["…that have access to the investment accounts", "handle securities"].

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---|---|
| Eric Trigilio | I |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration Depository Number | 2<br>Name of Firm or Individual | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With | 5<br>Investment Management Agreement (IMA) Filed |
|---|---|---|---|---|
| | | | | |

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [ X ] No [ ]

18.2 If no, list exceptions:

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
    a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
    b. Issuer or obligor is current on all contracted interest and principal payments.
    c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
    Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
    a. The security was purchased prior to January 1, 2018.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
    d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
    Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
    a. The security was purchased prior to January 1, 2019.
    b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
    c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
    d. The fund only or predominantly holds bonds in its portfolio.
    e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
    f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
    Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

# GENERAL INTERROGATORIES (continued)

## PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ X ] N/A [ ]

   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [ X ]

   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

| 1 | 2 | 3 | Total Discount | | | | Discount Taken During Period | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Line of Business | Maximum Interest | Disc. Rate | 4<br>Unpaid Losses | 5<br>Unpaid LAE | 6<br>IBNR | 7<br>Total | 8<br>Unpaid Losses | 9<br>Unpaid LAE | 10<br>IBNR | 11<br>Total |
| | 0.000 | 0.000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | XXX | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

5. Operating Percentages:

   5.1 A&H loss percent 0.000%

   5.2 A&H cost containment percent 0.000%

   5.3 A&H expense percent excluding cost containment expenses 0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. $ 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [ X ]

6.4 If yes, please provide the amount of funds administered as of the reporting date. $ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

# SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

| 1<br>NAIC Company Code | 2<br>ID Number | 3<br>Name of Reinsurer | 4<br>Domiciliary Jurisdiction | 5<br>Type of Reinsurer | 6<br>Certified Reinsurer Rating (1 through 6) | 7<br>Effective Date of Certified Reinsurer Rating |
|---|---|---|---|---|---|---|

**NONE**

# SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

## Current Year to Date - Allocated by States and Territories

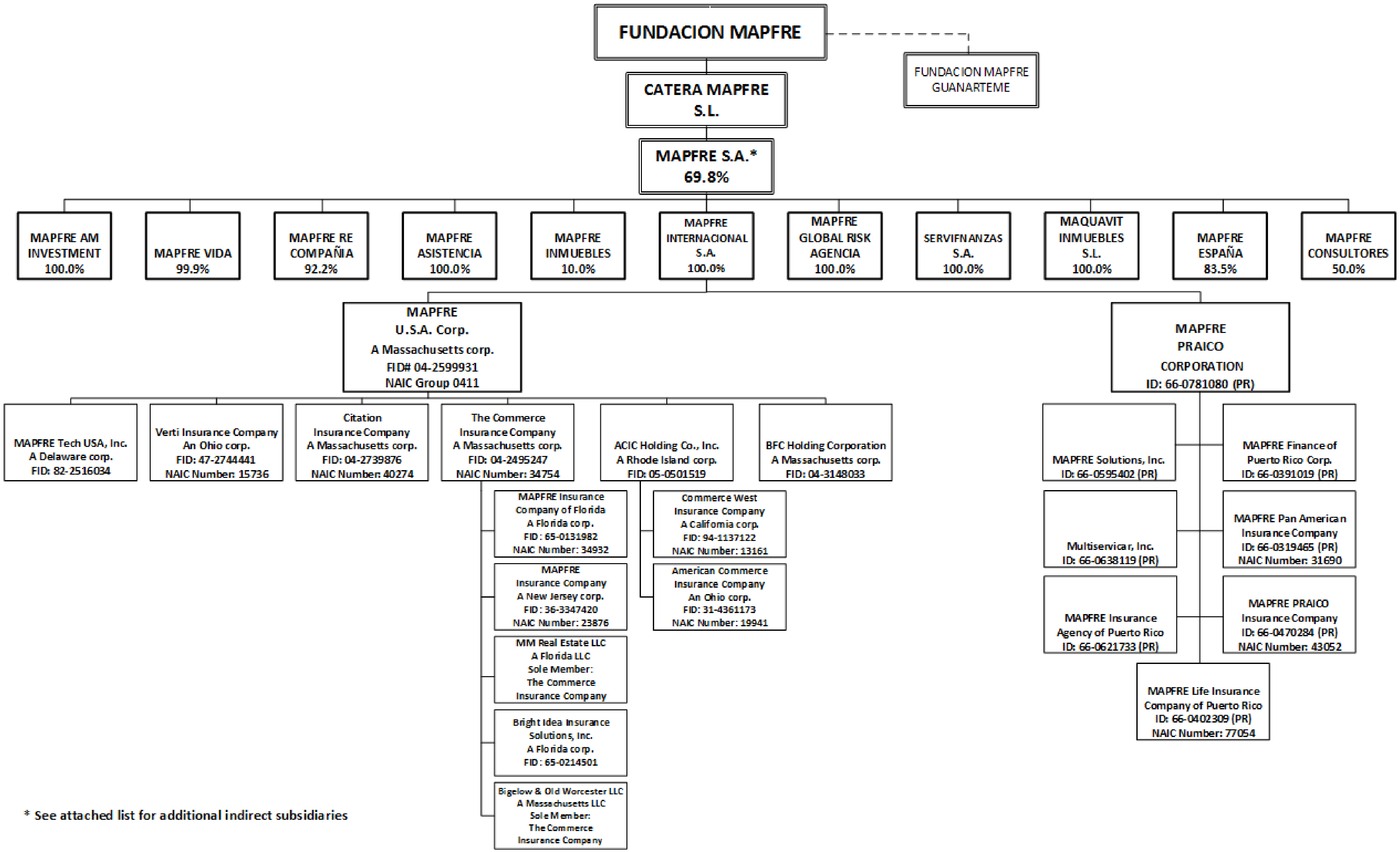
| | States, Etc. | 1<br>Active Status (a) | Direct Premiums Written<br>2<br>Current Year to Date | Direct Premiums Written<br>3<br>Prior Year to Date | Direct Losses Paid (Deducting Salvage)<br>4<br>Current Year to Date | Direct Losses Paid (Deducting Salvage)<br>5<br>Prior Year to Date | Direct Losses Unpaid<br>6<br>Current Year to Date | Direct Losses Unpaid<br>7<br>Prior Year to Date |
|---|---|---|---|---|---|---|---|---|
| 1. | Alabama AL | L | 75,449 | 82,689 | 13,286 | 10,903 | 66,379 | 63,400 |
| 2. | Alaska AK | L | 74,995 | 45,572 | 16,944 | 500 | 19,757 | 17,188 |
| 3. | Arizona AZ | L | 126,669 | (593,599) | 263,422 | 598,525 | 980,934 | 928,359 |
| 4. | Arkansas AR | L | 38,112 | 47,707 | 7,194 | 124,404 | 73,833 | 82,309 |
| 5. | California CA | L | 351,275 | (4,048,735) | | | 4,310 | 4,310 |
| 6. | Colorado CO | L | 214,680 | (3,242,221) | 9,790 | 19,307 | 102,268 | 84,164 |
| 7. | Connecticut CT | L | 15,127,878 | 16,838,103 | 10,647,702 | 13,585,966 | 81,169,668 | 87,757,830 |
| 8. | Delaware DE | L | 37,127 | 30,276 | 2,259 | 19,183 | 105,998 | 57,507 |
| 9. | District of Columbia DC | L | 9,907 | 53,205 | | | 11,718 | 11,196 |
| 10. | Florida FL | L | 479,915 | 499,110 | 131,210 | 101,874 | 613,929 | 682,123 |
| 11. | Georgia GA | L | 260,914 | 211,044 | 42,271 | 24,442 | 174,731 | 228,566 |
| 12. | Hawaii HI | L | 17,599 | 9,313 | 4,624 | 893 | 18,123 | 7,865 |
| 13. | Idaho ID | L | 1,021,571 | 1,143,672 | 700,003 | 1,053,826 | 2,915,013 | 3,060,132 |
| 14. | Illinois IL | L | 237,430 | 233,285 | 38,210 | 125,020 | 374,665 | 515,760 |
| 15. | Indiana IN | L | 91,607 | (1,486,425) | 109,741 | 29,077 | 230,132 | 377,109 |
| 16. | Iowa IA | L | 45,149 | (114,231) | 441 | 358 | 65,326 | 55,396 |
| 17. | Kansas KS | L | 112,127 | (535,501) | 19,515 | 31,548 | 81,413 | 58,255 |
| 18. | Kentucky KY | L | 19,993 | 15,858 | (28) | 52,568 | 166,991 | 425,118 |
| 19. | Louisiana LA | L | 77,817 | 46,675 | 44,326 | 52,784 | 389,969 | 364,271 |
| 20. | Maine ME | L | 186,813 | 185,957 | 7,566 | 6,774 | 30,405 | 67,301 |
| 21. | Maryland MD | L | 44,112 | 47,417 | 27,179 | 21,164 | 87,397 | 96,726 |
| 22. | Massachusetts MA | L | 88,018 | 81,939 | 5,460 | 16,604 | 94,284 | 81,204 |
| 23. | Michigan MI | L | 141,371 | 120,482 | 27,178 | 18,767 | 269,556 | 113,765 |
| 24. | Minnesota MN | L | 186,332 | 234,606 | 1,484 | 54,335 | 151,964 | 307,535 |
| 25. | Mississippi MS | L | 14,218 | 13,954 | 8,300 | 8,397 | 23,351 | 12,074 |
| 26. | Missouri MO | L | 48,925 | (1,136,526) | 1,935 | 9,757 | 21,638 | 51,968 |
| 27. | Montana MT | L | 69,987 | 72,870 | 3,459 | 9,890 | 59,752 | 64,847 |
| 28. | Nebraska NE | L | 43,152 | 35,308 | 19,859 | 21,362 | 286,148 | 101,642 |
| 29. | Nevada NV | L | 66,833 | (167,802) | 641,662 | 29,617 | 85,155 | 79,962 |
| 30. | New Hampshire NH | L | 81,808 | 74,391 | 5,555 | 1,280 | 22,631 | 19,343 |
| 31. | New Jersey NJ | L | 200,110 | 141,843 | 1,535,638 | 2,956,801 | 10,895,509 | 18,218,551 |
| 32. | New Mexico NM | L | 29,003 | 51,611 | 625 | 12,945 | 32,111 | 16,061 |
| 33. | New York NY | L | 168,540 | 166,742 | 61,136 | 179,537 | 1,066,821 | 990,259 |
| 34. | North Carolina NC | L | 230,876 | 167,361 | 58,714 | 28,846 | 153,959 | 155,955 |
| 35. | North Dakota ND | L | 7,202 | 8,456 | 10,487 | | 25,921 | 22,811 |
| 36. | Ohio OH | L | 2,309,681 | 2,894,066 | 1,573,941 | 2,341,217 | 5,609,063 | 5,360,751 |
| 37. | Oklahoma OK | L | 73,702 | 58,553 | 11,949 | 288 | 75,469 | 77,464 |
| 38. | Oregon OR | L | 1,446,926 | 1,845,974 | 1,214,377 | 1,084,295 | 3,425,171 | 3,675,534 |
| 39. | Pennsylvania PA | L | 219,970 | 222,815 | 1,892 | 13,329 | 146,100 | 131,119 |
| 40. | Rhode Island RI | L | 8,902,129 | 8,307,496 | 4,687,577 | 5,969,455 | 25,849,827 | 23,733,923 |
| 41. | South Carolina SC | L | 130,335 | 147,415 | 3,499 | 10,333 | 64,719 | 66,655 |
| 42. | South Dakota SD | L | 55,288 | 42,411 | (60) | 3,707 | 29,942 | 32,190 |
| 43. | Tennessee TN | L | 103,472 | 95,425 | (14,681) | 390,944 | 497,045 | 1,012,095 |
| 44. | Texas TX | L | 472,466 | 459,344 | 57,532 | 180,765 | 603,278 | 466,213 |
| 45. | Utah UT | L | 38,680 | 52,205 | 9,204 | 5,247 | 45,527 | 34,080 |
| 46. | Vermont VT | L | 64,024 | 51,444 | 920 | 26,480 | 14,627 | 16,759 |
| 47. | Virginia VA | L | 174,946 | 206,569 | 26,881 | 3,378 | 72,990 | 56,726 |
| 48. | Washington WA | L | 2,374,221 | 2,831,070 | 1,662,523 | 2,485,082 | 8,542,802 | 10,528,989 |
| 49. | West Virginia WV | L | 30,087 | 4,291 | | 11,500 | 5,968 | 16,479 |
| 50. | Wisconsin WI | L | 114,369 | 59,068 | 4,309 | 16,575 | 95,128 | 87,324 |
| 51. | Wyoming WY | L | 12,973 | 4,653 | 2,878 | 21,400 | 17,827 | 50,723 |
| 52. | American Samoa AS | N | | | | | | |
| 53. | Guam GU | N | | | | | | |
| 54. | Puerto Rico PR | N | | | | | | |
| 55. | US Virgin Islands VI | N | | | | | | |
| 56. | Northern Mariana Islands MP | N | | | | | | |
| 57. | Canada CAN | N | | | | | | |
| 58. | Aggregate Other Alien OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 59. | Totals | XXX | 36,550,783 | 26,617,205 | 23,709,888 | 31,771,249 | 145,967,242 | 160,527,886 |
| | **DETAILS OF WRITE-INS** | | | | | | | |
| 58001. | | XXX | | | | | | |
| 58002. | | XXX | | | | | | |
| 58003. | | XXX | | | | | | |
| 58998. | Summary of remaining write-ins for Line 58 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 58999. | Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Active Status Count

| | | | |
|---|---|---|---|
| L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG | 51 | R - Registered - Non-domiciled RRGs | 0 |
| E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI) | 0 | Q - Qualified - Qualified or accredited reinsurer | 0 |
| D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile | 0 | N - None of the above - Not allowed to write business in the state | 6 |

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART




* See attached list for additional indirect subsidiaries

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Members** | | | | | | | | | | | | | | | |
| | | | | | | | FUNDACION MAPFRE | ESP | UIP | FUNDACION MAPFRE | OWNERSHIP | 100.000 | FUNDACION MAPFRE | N | |
| | | | | | | | CARTERA MAPFRE, S.L. | ESP | UIP | FUNDACION MAPFRE | OWNERSHIP | 100.000 | FUNDACION MAPFRE | N | |
| | | | | | | | MAPFRE, S.A. | ESP | UIP | CARTERA MAPFRE, S.L. | OWNERSHIP | 68.200 | FUNDACION MAPFRE | N | |
| | | | | | | | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | ESP | IA | MAPFRE S.A. | OWNERSHIP | 83.500 | MAPFRE S.A. | N | |
| | | | | | | | CENTROS MEDICOS MAPFRE, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CLUB MAPFRE, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE AUTOMOCION S.A.U. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CENTRO DE EXPERIMENTACION Y SEGURIDAD VIAL MAPFRE, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | VERTI ASEGURADORA, COMPANIA DE SEGUROS Y REASEGUROS, S.A. | ESP | IA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MULTISERVICIOS MAPFRE MULTIMAP, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 97.500 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TECH | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 63.500 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VIDEO Y COMUNICACION, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 75.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CONSULTORES DE SEGUROS Y REASEGUROS, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE QUINGDAO ENTERPRISE MANAGEMENT CONSULTING LIMITED COMPANY | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | AGROSEGURO, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 20.600 | MAPFRE S.A. | N | |
| | | | | | | | SALVADOR CAETANO AUTO (SGPS), S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 26.000 | MAPFRE S.A. | N | |
| | | | | | | | ONLINE SHOPPING CLUB EUROPE, S.L. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 49.900 | MAPFRE S.A. | N | |
| | | | | | | | BANKINTER SEGUROS GENERALES, S.A. | ESP | IA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 50.100 | MAPFRE S.A. | N | |
| | | | | | | | RASTREATOR.COM LTD | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 25.000 | MAPFRE S.A. | N | |
| | | | | | | | AUDATEX ESPANA, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 12.500 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | INMO ALEMANIA GESTION DE ACTIVOS INMOBILIARIOS, S.L. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 10.000 | MAPFRE S.A. | N | |
| | | | | | | | TECHNOLOGIAS DE LA INFOMRACION Y REDES PARA LAS ENTIDADES ASEGURADORAS, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 22.950 | MAPFRE S.A. | N | |
| | | | | | | | FONDMAPFRE BOLSA AMERICA | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 21.000 | MAPFRE S.A. | N | |
| | | | | | | | FONDMAPFRE RENTA DOLAR | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 17.700 | MAPFRE S.A. | N | |
| | | | | | | | FUNESPANA, S.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 95.800 | MAPFRE S.A. | N | |
| | | | | | | | TANATORIUM ZRT | HUN | NIA | FUNESPANA, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | ALL FUNERAL SERVICES, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | SALZILLO SERVICIOS FUNERARIOS S.L | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 45.000 | MAPFRE S.A. | N | |
| | | | | | | | TANATORI LA DAMA D'ELX, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 97.100 | MAPFRE S.A. | N | |
| | | | | | | | ZACARIAS NUNO, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | SERVICIOS FUNERARIOS FUNEMADRID, S.A. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CEMENTERIO JARDIN DE ALCALA DE HENARES, S.A. | ESP | NIA | SERVICIOS FUNERARIOS FUNEMADRID, S.A. | OWNERSHIP | 49.000 | MAPFRE S.A. | N | |
| | | | | | | | SERVICIOS FUNERARIOS DE ZARAGOZA, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 70.000 | MAPFRE S.A. | N | |
| | | | | | | | INICIATIVAS ALCAESAR, S.L. | ESP | NIA | SERVICIOS FUNERARIOS FUNEMADRID, S.A. | OWNERSHIP | 40.000 | MAPFRE S.A. | N | |
| | | | | | | | NUEVO TANATORIO, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | SERVICIOS FUNERARIOS LA CARIDAD, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | EMPRESA MIXTA SERVEIS MUNICIPALS DE TARRAGONA, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 49.000 | MAPFRE S.A. | N | |
| | | | | | | | GAB MANAGEMENT & CONSULTING, S.R.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 77.600 | MAPFRE S.A. | N | |
| | | | | | | | POMPES FUNEBRES DOMINGO, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 75.000 | MAPFRE S.A. | N | |
| | | | | | | | DE MENA SERVICIOS FUNERARIOS S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 70.000 | MAPFRE S.A. | N | |
| | | | | | | | CEMENTERIO PARQUE ANDUJAR, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 60.000 | MAPFRE S.A. | N | |
| | | | | | | | FUNERARIA HISPALENSE, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | ISABELO ALVAREZ MAYORGA, S.A. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | SERVICIOS FUNERARIOS DEL NERVION, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | TANATORIO DE ECIJA, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 33.300 | MAPFRE S.A. | N | |
| | | | | | | | TANATORIO SE-30 SEVILLA, S.L. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 10.000 | MAPFRE S.A. | N | |
| | | | | | | | FUNESPANA CHILE, S.A. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | FUNEUROPEA CHILE, S.A. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | FUNERARIAS REUNIDAS EL BIERZO, S.A. | ESP | NIA | FUNESPANA, S.A. | OWNERSHIP | 85.800 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INMUEBLES, S.G.A. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 76.800 | MAPFRE S.A. | N | |
| | | | | | | | DESARROLLOS URBANOS CIC, S.A. | ESP | NIA | MAPFRE INMUEBLES, S.G.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | SERVICIOS INMOBILIARIOS MAPFRE S.A. | ESP | NIA | MAPFRE INMUEBLES, S.G.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | MEDISEMAP, AGENCIA DE SEGUROS, S.L. | ESP | NIA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 66.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS GERAIS S.A. | PRT | IA | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PORTUGAL SEGUROS DE VIDA S.A. | PRT | IA | MAPFRE SEGUROS GERAIS S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CONSULTORES DE SEGUROS Y REASEGUROS, S.A. | ESP | NIA | MAPFRE, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | ESP | IA | MAPFRE, S.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TECH | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 11.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VIDEO Y COMUNICACION, S.A. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 25.000 | MAPFRE S.A. | N | |
| | | | | | | | MIRACETI S.A. | ESP | IA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASSET MANAGEMENT S.G.I.I.C. SA | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VIDA PENSIONES, ENTIDAD GESTORA DE FONDOS DE PENSIONES S.A. | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | MAPFRE GOOD GOVERNANCE | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE IBERIAN EQUITY | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE EUROPEAN EQUITY | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE MULTI ASSET STRAT | ESP | NIA | MAPFRE INVERSION SOCIEDAD DE VALORES S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE ELECCION DECIDIDA | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 38.400 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE ELECCION MODERADA | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 48.200 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE ELECCION PRUDENTE | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 65.900 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE DIVERSIFICACION | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 66.900 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE BOLSA AMERICA | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 31.700 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE GLOBAL F.I. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 35.000 | MAPFRE S.A. | N | |
| | | | | | | | FONDEMAPFRE BOLSA F.I. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 36.600 | MAPFRE S.A. | N | |
| | | | | | | | CONSULTORA ACTUARIAL Y DE PENSIONES MAPFRE VIDA, S.A. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | GESTION MODA SHOPPING S.A. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 99.800 | MAPFRE S.A. | N | |
| | | | | | | | BANKIA MAPFRE VIDA, S.A. DE SEGUROS Y REASEGUROS | ESP | IA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 51.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 Group Code | 2 Group Name | 3 NAIC Company Code | 4 ID Number | 5 Federal RSSD | 6 CIK | 7 Name of Securities Exchange if Publicly Traded (U.S. or International) | 8 Names of Parent, Subsidiaries or Affiliates | 9 Domiciliary Location | 10 Relationship to Reporting Entity | 11 Directly Controlled by (Name of Entity/Person) | 12 Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13 If Control is Ownership Provide Percentage | 14 Ultimate Controlling Entity(ies)/Person(s) | 15 Is an SCA Filing Required? (Y/N) | 16 * |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | BANKINTER SEGUROS DE VIDA, S.A. | ESP | IA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | CAJA CASTILLA LA MANCHA VIDA Y PENSIONES S.A. | ESP | IA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MEDISEMAP, AGENCIA DE SEGUROS, S.L. | ESP | NIA | MAPFRE VIDA SOCIEDAD ANONIMA DE SEGUROS Y REASEGUROS SOBRE LA VIDA HUMANA | OWNERSHIP | 33.300 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | ESP | IA | MAPFRE, S.A. | OWNERSHIP | 92.200 | MAPFRE S.A. | N | |
| | | | | | | | CIAR INVESTMENT | BEL | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INMOBILIARIA PRESIDENTE FIGUEROA ALCORTA, S.A. | ARG | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | REINSURANCE MANAGEMENT INC. | NJ | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE EURO BONDS FUND | ESP | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE RE ESCRITORIO DE REPRESENTACION COMPANIA DE REASEGUROS | BRA | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE RE DO BRASIL COMPANIA DE REASEGUROS | BRA | IA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CHILE REASEGUROS, S.A. | CHL | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | C R ARGENTINA, S.A. | ARG | NIA | MAPFRE CHILE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CAJA REASEGURADORA DE CHILE, S.A. | CHL | IA | MAPFRE CHILE REASEGUROS, S.A. | OWNERSHIP | 99.800 | MAPFRE S.A. | N | |
| | | | | | | | INMOBILIARIA COSTA DE MONTEMAR, S.A. | CHL | NIA | MAPFRE CHILE REASEGUROS, S.A. | OWNERSHIP | 31.400 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE MANDATOS Y SERVICIOS, S.A. | ARG | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 95.000 | MAPFRE S.A. | N | |
| | | | | | | | FONDMAPFRE BOLSA AMERICA | ESP | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 13.900 | MAPFRE S.A. | N | |
| | | | | | | | FONDMAPFRE RENTA DOLAR | ESP | NIA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 13.200 | MAPFRE S.A. | N | |
| | | 16475 | 83-2698500 | | | | MAPFRE RE VERMONT CORPORATION | VT | IA | MAPFRE RE COMPANIA DE REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | MAPFRE INTERNACIONAL S.A. | ESP | UIP | MAPFRE, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TECH | ESP | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 20.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ARGENTINA HOLDING S.A. | ESP | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CLUB MAPFRE ARGENTINA | ARG | NIA | MAPFRE ARGENTINA HOLDING S.A. | OWNERSHIP | 97.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ARGENTINA SEGUROS S.A. | ARG | IA | MAPFRE ARGENTINA HOLDING S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CESVI ARGENTINA, S.A. | ARG | NIA | MAPFRE ARGENTINA SEGUROS S.A. | OWNERSHIP | 60.600 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ARGENTINA SEGUROS DE VIDA S.A. | ARG | IA | MAPFRE ARGENTINA HOLDING S.A. | OWNERSHIP | 36.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ARGENTINA SEGUROS DE VIDA S.A. | ARG | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 64.000 | MAPFRE S.A. | N | |
| | | | 66-0781080 | | | | MAPFRE PRAICO CORPORATION | PR | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | MAPFRE INSURANCE GROUP | 31690 | 66-0319465 | | | | MAPFRE PAN AMERICAN INSURANCE COMPANY | PR | IA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 66-0391019 | | | | MAPFRE FINANCE OF PUERTO RICO CORP | PR | NIA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 66-0621733 | | | | MAPFRE INSURANCE AGENCY OF PUERTO RICO, INC. | PR | NIA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 66-0595402 | | | | MAPFRE SOLUTIONS, INC. | PR | NIA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 66-0638119 | | | | MULTISERVICAR INC. | PR | NIA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | MAPFRE INSURANCE GROUP | 77054 | 66-0402309 | | | | MAPFRE LIFE INSURANCE COMPANY OF PUERTO RICO | PR | IA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | MAPFRE INSURANCE GROUP | 43052 | 66-0470284 | | | | MAPFRE PRAICO INSURANCE COMPANY | PR | IA | MAPFRE PRAICO CORPORATION | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE LA SEGURIDAD S.A. | VEN | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 99.500 | MAPFRE S.A. | N | |
| | | | | | | | CLUB MAPFRE S.A. | VEN | NIA | MAPFRE LA SEGURIDAD S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CEFOPROSEG C.A. | VEN | NIA | MAPFRE LA SEGURIDAD S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INVERSORA SEGURIDAD C.A. | VEN | NIA | MAPFRE LA SEGURIDAD S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | AUTOMOTRIZ MULTISERVICAR, C.A. | VEN | NIA | MAPFRE LA SEGURIDAD S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | UNIDAD EDUCATIVA D.R. FERNANDO BRAVO PEREZ CA | VEN | NIA | MAPFRE LA SEGURIDAD S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CHILE SEGUROS S.A. | CHL | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CHILE ASESORIAS, S.A. | CHL | IA | MAPFRE CHILE SEGUROS S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE COMPANIA DE SEGUROS GENERALES DE CHILE S.A. | CHL | IA | MAPFRE CHILE ASESORIAS, S.A. | OWNERSHIP | 12.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE COMPANIA DE SEGUROS GENERALES DE CHILE S.A. | CHL | IA | MAPFRE CHILE SEGUROS S.A. | OWNERSHIP | 87.300 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | MAPFRE CHILE VIDA S.A. | CHL | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE COMPANIA DE SEGUROS DE VIDA DE CHILE | CHL | IA | MAPFRE CHILE VIDA S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE HOLDING DO BRASIL LTDA | BRA | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 98.800 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VERA CRUZ CONSULTORIA TECNICA E ADMINISTRACAO DE FUNDOS LTDA | BRA | IA | MAPFRE HOLDING DO BRASIL LTDA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | PROTENSEG CORRETORA DE SEGUROS LTDA | BRA | NIA | MAPFRE VERA CRUZ CONSULTORIA TECNICA E ADMINISTRACAO DE FUNDOS LTDA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CESVI BRASIL S.A. CENTRO DE EXPERIMENTACAO E SEGURANCA VIARIA | BRA | NIA | MAPFRE HOLDING DO BRASIL LTDA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SAUDE LTDA | BRA | NIA | MAPFRE HOLDING DO BRASIL LTDA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INVESTIMENTOS E PARTICIPACOES, S.A. | BRA | NIA | MAPFRE HOLDING DO BRASIL LTDA | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ADMINISTRACOES DE CONSORCIO S.A. | BRA | NIA | MAPFRE INVESTIMENTOS E PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE DISTRIBUIDORA DE TITULOS E VALORES MOBILIARIOS, S.A. | BRA | NIA | MAPFRE INVESTIMENTOS E PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE BRASIL PARTICIPACOES, S.A. | BRA | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 91.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PREVIDENCIA S.A. | BRA | IA | MAPFRE BRASIL PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE CAPITALIZACAO | BRA | NIA | MAPFRE BRASIL PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE BB SH2 PARTICIPACOES, S.A. | BRA | NIA | MAPFRE BRASIL PARTICIPACOES, S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | ALIANCA DO BRASIL SEGUROS S.A. | BRA | IA | MAPFRE BB SH2 PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | BRASIL VEICULOS COMPANHIA DE SEGUROS S.A. | BRA | IA | MAPFRE BB SH2 PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS GERAIS S.A. | BRA | IA | MAPFRE BB SH2 PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASSISTENCIA S.A. | BRA | NIA | MAPFRE SEGUROS GERAIS S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | BB MAPFRE SH1 PARTICIPACOES, S.A. | BRA | NIA | MAPFRE BRASIL PARTICIPACOES, S.A. | OWNERSHIP | 25.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE VIDA S.A. | BRA | IA | BB MAPFRE SH1 PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | COMPANHIA DE SEGUROS ALIANCA DO BRASIL, S.A. | BRA | IA | BB MAPFRE SH1 PARTICIPACOES, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS GENERALES DE COLOMBIA S.A. | COL | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 94.300 | MAPFRE S.A. | N | |
| | | | | | | | CREDIMAPFRE | COL | NIA | MAPFRE SEGUROS GENERALES DE COLOMBIA S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SERVICIOS EXEQUIALES SAS | COL | NIA | CREDIMAPFRE | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | MAPFRE COLOMBIA VIDA S.A. | COL | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 94.400 | MAPFRE S.A. | N | |
| | | | | | | | CESVI COLOMBIA, S.A. | COL | NIA | MAPFRE COLOMBIA VIDA S.A. | OWNERSHIP | 62.300 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PERU VIDA, COMPANIA DE SEGUROS, S.A. | PER | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 67.300 | MAPFRE S.A. | N | |
| | | | | | | | CORPORACION FINISTERRE, S.A. | PER | NIA | MAPFRE PERU VIDA, COMPANIA DE SEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PERU CAMPANIA DE SEGUROS Y REASEGUROS | PER | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 99.300 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PERU ENTIDAD PRESTADORA DE SALUD | PER | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 98.600 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ATLAS COMPANIA DE SEGUROS, S.A. | ECU | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 60.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PARAGUAY COMPANIA DE SEGUROS, S.A. | PRY | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 89.500 | MAPFRE S.A. | N | |
| | | | | | | | APOINT S.A. | URY | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE LA URUGUAYA S.A. | URY | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE DOMINICANA S.A. | DOM | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE BHD COMPANIA DE SECUROS, S.A. | DOM | IA | MAPFRE DOMINICANA S.A. | OWNERSHIP | 51.000 | MAPFRE S.A. | N | |
| | | | | | | | CREDI PRIMAS, S.A. | DOM | NIA | MAPFRE BHD COMPANIA DE SECUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | GRUPO CORPORATIVO LML S.A. DE C.V. | MEX | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TEPEYAC S.A. | MEX | IA | GRUPO CORPORATIVO LML S.A. DE C.V. | OWNERSHIP | 44.300 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TEPEYAC S.A. | MEX | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 55.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE UNIDAD DE SERVICIOS S.A. DE C.V. | MEX | NIA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TEPEYAC INC. | CA | NIA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SERVICIOS MEXICANOS | MEX | NIA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE FIANZAS S.A. | MEX | IA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASSET DEFENSA LEGAL MEXICANA S.A. DE C.V. | MEX | NIA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CESVI MEXICO, S.A. | MEX | NIA | MAPFRE TEPEYAC S.A. | OWNERSHIP | 16.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE AMERICA CENTRAL, S.A. | PAN | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE PANAMA | PAN | IA | MAPFRE AMERICA CENTRAL, S.A. | OWNERSHIP | 99.300 | MAPFRE S.A. | N | |
| | | | | | | | INMOBILIARIA AMERICANA S.A. | SLV | NIA | MAPFRE AMERICA CENTRAL, S.A. | OWNERSHIP | 78.900 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE TENEDORA DE ACC, S.A. | PAN | IA | MAPFRE AMERICA CENTRAL, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS HONDURAS S.A. | HND | IA | MAPFRE TENEDORA DE ACC, S.A. | OWNERSHIP | 73.300 | MAPFRE S.A. | N | |

Statement for March 31, 2021 of the **American Commerce Insurance Company**

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Group Code | Group Name | NAIC Company Code | ID Number | Federal RSSD | CIK | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries or Affiliates | Domiciliary Location | Relationship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Required? (Y/N) | * |
| | | | | | | | MAPFRE SEGUROS COSTA RICA, S.A. | CRI | IA | MAPFRE TENEDORA DE ACC, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS GUATEMALA, S.A. | GTM | IA | MAPFRE TENEDORA DE ACC, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS NICARAGUA, S.A. | NIC | IA | MAPFRE TENEDORA DE ACC, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SEGUROS HONDURAS S.A. | HND | IA | MAPFRE AMERICA CENTRAL, S.A. | OWNERSHIP | 25.100 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE LA CENTRO AMERICANA, S.A. | SLV | IA | MAPFRE AMERICA CENTRAL, S.A. | OWNERSHIP | 77.600 | MAPFRE S.A. | N | |
| | | | | | | | AMA/ASISTENCIA MEDICA ADMISTRADA, C.A. | VEN | NIA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 99.700 | MAPFRE S.A. | N | |
| | | | 04-2599931 | | | | MAPFRE USA CORPORATION INC. | MA | UIP | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 15736 | 47-2744441 | | | | VERTI INSURANCE COMPANY | OH | IA | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 40274 | 04-2739876 | | | | CITATION INSURANCE COMPANY | MA | IA | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 04-3148033 | | | | BFC HOLDING CORPORATION | MA | NIA | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 82-2516034 | | | | MAPFRE TECH USA, INC. | DE | NIA | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 34754 | 04-2495247 | | | | THE COMMERCE INSURANCE COMPANY | MA | IA | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 65-0214501 | | | | MAPFRE INTERMEDIARIES | FL | NIA | THE COMMERCE INSURANCE COMPANY | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 34932 | 65-0131982 | | | | MAPFRE INSURANCE COMPANY OF FLORIDA | FL | IA | THE COMMERCE INSURANCE COMPANY | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 23876 | 36-3347420 | | | | MAPFRE INSURANCE COMPANY | NJ | IA | THE COMMERCE INSURANCE COMPANY | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MM REAL ESTATE, LLC | FL | NIA | THE COMMERCE INSURANCE COMPANY | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 04-2495247 | | | | BIGELOW & OLD WORCESTER, LLC | MA | NIA | THE COMMERCE INSURANCE COMPANY | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | 05-0501519 | | | | ACIC HOLDINGS COMPANY, INC. | RI | UDP | MAPFRE USA CORPORATION INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | * |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 13161 | 94-1137122 | | | | COMMERCE WEST INSURANCE COMPANY. | CA | IA | ACIC HOLDINGS COMPANY, INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| 0411 | COMMERCE INSURANCE COMPANY AND AFFILIATES | 19941 | 31-4361173 | | | | AMERICAN COMMERCE INSURANCE COMPANY | OH | RE | ACIC HOLDINGS COMPANY, INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SIGORTA, A.S. | TUR | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 99.700 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE YASAM SIGORTA, A.S. | TUR | IA | GENEL SIGORTA, A.S. | OWNERSHIP | 99.500 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | GENEL SERVIS A.S. | TUR | NIA | GENEL SIGORTA, A.S. | OWNERSHIP | 51.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INSULAR INSURANCE CORPORATION | PHL | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 74.900 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE MIDDLESEA P.L.C. | MLT | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 54.600 | MAPFRE S.A. | N | |
| | | | | | | | MIDDLESEA ASSIST LIMITED | MLT | NIA | MIDDLESEA INSURANCE P.L.C. | OWNERSHIP | 49.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE M.S.V. LIFE P.L.C. | MLT | IA | MIDDLESEA INSURANCE P.L.C. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | GROWTH INVESTMENTS LIMITED | MLT | NIA | M.S.V. LIFE P.L.C. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CHURCH WARF PROPERTIES | MLT | NIA | M.S.V. LIFE P.L.C. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | CHURCH WARF PROPERTIES | MLT | NIA | MIDDLESEA INSURANCE P.L.C. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | BEE INSURANCE MANAGEMENT LTD | MLT | NIA | MIDDLESEA INSURANCE P.L.C. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | EUROMED RISK SOLUTIONIS LIMITED | MLT | NIA | BEE INSURANCE MANAGEMENT LTD | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | EURO GLOBE HOLDINGS LIMITED | MLT | NIA | MIDDLESEA INSURANCE P.L.C. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | PT ASURANSI BINA DANA ARTA TBK | IDN | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 62.300 | MAPFRE S.A. | N | |
| | | | | | | | PT MAPFRE ABDA ASSISTANCE | IDN | NIA | PT ASURANSI BINA DANA ARTA TBK | OWNERSHIP | 49.000 | MAPFRE S.A. | N | |
| | | | | | | | VERTI VERSICHERUNG AG | DEU | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | DIRECT LINE INSURANCE S.P.A. | ITA | IA | MAPFRE INTERNACIONAL S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | ESP | IA | MAPFRE S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | IBEROASISTENCIA, ARGENTINA S.A. | ARG | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 98.400 | MAPFRE S.A. | N | |
| | | | | | | | VENEASISTENCIA, S.A. | VEN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | IRELAND ASSIST, LTD. | IRL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MEXICO ASISTENCIA, S.A. | MEX | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | ALLMAP ASSIST GESELLSCHAFT FUR BEISTANDSLEISTUNGEN MBH | DEU | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | ARABA ASSIST FOR LOGISTIC SERVICES | JOR | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | LLC MAPFRE WARRANTY | RUS | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASISTENCIA LIMITED | HKG | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASISTENCIA COMPANY LIMITED | TWN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INSURE AND GO INSURANCE SERVICES USA CORP. | FL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | QUETZAL ASISTENCIA, S.A. | GTM | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | NICASSIT, S.A. | NIC | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | EL SALVADOR ASISTENCIA, S.A. | SLV | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | NORASIST, INC D/B/A ROAD CANADA | CAN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | BRICKELL FINANCIAL SERVICES MOTOR CLUB INC. | FL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INSURE AND GO AUSTRALIA | AUS | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | EUROSOS ASSISTANCE, S.A. | GRC | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.500 | MAPFRE S.A. | N | |
| | | | | | | | BRASIL ASSISTENCIA S.A. | BRA | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE SOLUTIONS DO BRASIL LTDA | BRA | NIA | BRASIL ASSISTENCIA S/A | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | MAPFRE ABRAXAS SOFTWARE, LTD. | GBR | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | ABRAXAS INSURANCE | GBR | NIA | MAPFRE ABRAXAS SOFTWARE, LTD. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE WARRANTY UK LIMITED | GBR | NIA | MAPFRE ABRAXAS SOFTWARE, LTD. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | HOME 3 | GBR | NIA | MAPFRE ABRAXAS SOFTWARE, LTD. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE WARRANTY S.P.A. | ITA | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE WARRANTIES | LUX | NIA | MAPFRE WARRANTY S.P.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | FRANCE ASSIST | FRA | NIA | MAPFRE WARRANTY S.P.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INSURANCE SERVICES S.L.R. | FRA | NIA | MAPFRE WARRANTY S.P.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ASSISTANCE USA INC. | FL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | CENTURY AUTOMOTIVE SERVICES COMPANY | NM | NIA | MAPFRE ASSISTANCE USA INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | FEDERAL ASSIST Co. | FL | NIA | MAPFRE ASSISTANCE USA INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE WARRANTY CORPORATION OF FLORIDA | FL | NIA | MAPFRE ASSISTANCE USA INC. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INSURE AND GO | GBR | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | TRAVEL CLAIMS SERVICES LIMITED | GBR | NIA | INSURE AND GO | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | ANDIASISTENCIA COMPANIA DE ASISTENCIA DE LOS ANDES, S.A. | COL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 98.100 | MAPFRE S.A. | N | |
| | | | | | | | ECUASISTENCIA S.A. | ECU | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 94.500 | MAPFRE S.A. | N | |
| | | | | | | | PERU ASISTENCIA, S.A. | PER | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | CONSULTING DE SOLUCIONES Y TECNOLOGIAS SIAM, S.A. | ESP | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | INDIA ROADSIDE ASSISTANCE PRIVATE LIMITED | IND | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.600 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | URUGUAY ASISTENCIA, S.A. | URY | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 97.900 | MAPFRE S.A. | N | |
| | | | | | | | SUR ASISTENCIA, S.A. | CHL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.000 | MAPFRE S.A. | N | |
| | | | | | | | IBEROASISTENCIA, S.A. | ESP | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 99.900 | MAPFRE S.A. | N | |
| | | | | | | | NILE ASSIT | EGY | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 98.000 | MAPFRE S.A. | N | |
| | | | | | | | TUR ASSIST, LTD. | TUR | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 97.000 | MAPFRE S.A. | N | |
| | | | | | | | ROAD CHINA ASSISTANCE CO., LTD. | CHN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | IBERO ASISTENCIA, S.A. | PRT | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | MIDDLESEA ASSIST LIMITED | MLT | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 51.000 | MAPFRE S.A. | N | |
| | | | | | | | GULF ASSIST, B.S.C. | BHR | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 74.600 | MAPFRE S.A. | N | |
| | | | | | | | CARIBE ASISTENCIA | DOM | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 83.600 | MAPFRE S.A. | N | |
| | | | | | | | BENELUX ASSIST, S.A. | BEL | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | PANAMA ASISTENCIA, S.A. | PAN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 82.100 | MAPFRE S.A. | N | |
| | | | | | | | ROADSIDE ASSIST ALGERIE SPA | DZA | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 60.300 | MAPFRE S.A. | N | |

# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1<br>Group Code | 2<br>Group Name | 3<br>NAIC Company Code | 4<br>ID Number | 5<br>Federal RSSD | 6<br>CIK | 7<br>Name of Securities Exchange if Publicly Traded (U.S. or International) | 8<br>Names of Parent, Subsidiaries or Affiliates | 9<br>Domiciliary Location | 10<br>Relationship to Reporting Entity | 11<br>Directly Controlled by (Name of Entity/Person) | 12<br>Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other) | 13<br>If Control is Ownership Provide Percentage | 14<br>Ultimate Controlling Entity(ies)/Person(s) | 15<br>Is an SCA Filing Required? (Y/N) | 16<br>* |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | PT MAPFRE ABDA ASSISTANCE | IDN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 51.000 | MAPFRE S.A. | N | |
| | | | | | | | AFRIQUE ASSISTANCE, S.A. | TUN | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 49.000 | MAPFRE S.A. | N | |
| | | | | | | | PARAGUAY ASISTENCIA CIA. DE SERVICIOS S.A. | PRY | NIA | MAPFRE ASISTENCIA COMPANIA INTERNACIONAL DE SEGUROS Y REASEGUROS, S.A. | OWNERSHIP | 98.900 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE INMUEBLES, S.G.A. | ESP | NIA | MAPFRE S.A. | OWNERSHIP | 10.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE GLOBAL RISKS | ESP | IA | MAPFRE S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INMO ALEMANIA GESTION DE ACTIVOS INMOBILIARIOS, S.L. | ESP | NIA | MAPFRE GLOBAL RISKS | OWNERSHIP | 10.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE ESPAÑA COMPAÑÍA DE SEGUROS Y REASEGUROS, S.A. | ESP | IA | MAPFRE GLOBAL RISKS | OWNERSHIP | 16.500 | MAPFRE S.A. | N | |
| | | | | | | | SERVIFINANZAS S.A. SOCIEDAD UNIPERSONAL | ESP | NIA | MAPFRE GLOBAL RISKS | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | INDUSTRIAL RE S.A. | LUX | IA | MAPFRE GLOBAL RISKS | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | SOLUNION SEGUROS DE CREDITO S.A. | ESP | IA | MAPFRE GLOBAL RISKS | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MAQUAVIT INMUEBLES, S.L. | ESP | NIA | MAPFRE S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | BIOINGIENERIA ARAGONESA, S.L. | ESP | NIA | MAQUAVIT INMUEBLES, S.L. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | PROVITAE CENTROS ASISTENCIALES, S.L. | ESP | NIA | MAQUAVIT INMUEBLES, S.L. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |
| | | | | | | | MAPFRE AM INVESTMENT HOLDING, S.A. | ESP | NIA | MAPFRE S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | LA FINANCIERE RESPONSIBLE | FRA | NIA | MAPFRE AM INVESTMENT HOLDING, S.A. | OWNERSHIP | 25.000 | MAPFRE S.A. | N | |
| | | | | | | | FANCY INVESTMENT S.A. | URY | NIA | MAPFRE S.A. | OWNERSHIP | 100.000 | MAPFRE S.A. | N | |
| | | | | | | | PREMINEN PRICE COMPARISON HOLDINGS LIMITED | GBR | NIA | MAPFRE S.A. | OWNERSHIP | 50.000 | MAPFRE S.A. | N | |

# PART 1 - LOSS EXPERIENCE

| Lines of Business | Current Year to Date 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | 4 Prior Year to Date Direct Loss Percentage |
|---|---|---|---|---|
| 1. Fire | 1,218,983 | 474,129 | 38.895 | 13.061 |
| 2. Allied lines | 1,390,709 | (25,628) | (1.843) | 4.038 |
| 3. Farmowners multiple peril | | | 0.000 | |
| 4. Homeowners multiple peril | 12,546,487 | 6,319,816 | 50.371 | 45.705 |
| 5. Commercial multiple peril | | | 0.000 | |
| 6. Mortgage guaranty | | | 0.000 | |
| 8. Ocean marine | | | 0.000 | |
| 9. Inland marine | 2,524 | | 0.000 | |
| 10. Financial guaranty | | | 0.000 | |
| 11.1. Medical professional liability - occurrence | | | 0.000 | |
| 11.2. Medical professional liability - claims-made | | | 0.000 | |
| 12. Earthquake | | | 0.000 | |
| 13. Group accident and health | | | 0.000 | |
| 14. Credit accident and health | | | 0.000 | |
| 15. Other accident and health | | | 0.000 | |
| 16. Workers' compensation | | | 0.000 | |
| 17.1 Other liability-occurrence | 1,223,342 | 1,067,975 | 87.300 | (18.820) |
| 17.2 Other liability-claims made | | | 0.000 | |
| 17.3 Excess workers' compensation | | | 0.000 | |
| 18.1 Products liability-occurrence | | | 0.000 | |
| 18.2 Products liability-claims made | | | 0.000 | |
| 19.1, 19.2 Private passenger auto liability | 12,631,682 | 5,947,450 | 47.084 | 60.228 |
| 19.3, 19.4 Commercial auto liability | | | 0.000 | |
| 21. Auto physical damage | 7,674,365 | 4,074,886 | 53.097 | 50.162 |
| 22. Aircraft (all perils) | 4,796,436 | 1,485,753 | 30.976 | 16.753 |
| 23. Fidelity | | | 0.000 | |
| 24. Surety | | | 0.000 | |
| 26. Burglary and theft | | | 0.000 | |
| 27. Boiler and machinery | | | 0.000 | |
| 28. Credit | | | 0.000 | |
| 29. International | | | 0.000 | |
| 30. Warranty | | | 0.000 | |
| 31. Reinsurance-nonproportional assumed property | XXX | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability | XXX | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0.000 | |
| 35. Totals | 41,484,528 | 19,344,381 | 46.630 | 44.783 |

**DETAILS OF WRITE-INS**

| | | | | |
|---|---|---|---|---|
| 3401. | | | 0.000 | |
| 3402. | | | 0.000 | |
| 3403. | | | 0.000 | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0.000 | XXX |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34) | 0 | 0 | 0.000 | |

# PART 2 - DIRECT PREMIUMS WRITTEN

| Lines of Business | 1 Current Quarter | 2 Current Year to Date | 3 Prior Year Year to Date |
|---|---|---|---|
| 1. Fire | 967,565 | 967,565 | 1,194,582 |
| 2. Allied lines | 1,101,842 | 1,101,842 | 1,233,624 |
| 3. Farmowners multiple peril | | | |
| 4. Homeowners multiple peril | 10,130,668 | 10,130,668 | 11,034,691 |
| 5. Commercial multiple peril | | | |
| 6. Mortgage guaranty | | | |
| 8. Ocean marine | | | |
| 9. Inland marine | 1,192 | 1,192 | 3,933 |
| 10. Financial guaranty | | | |
| 11.1 Medical professional liability - occurrence | | | |
| 11.2 Medical professional liability - claims made | | | |
| 12. Earthquake | | | |
| 13. Group accident and health | | | |
| 14. Credit accident and health | | | |
| 15. Other accident and health | | | |
| 16. Workers' compensation | | | |
| 17.1 Other liability-occurrence | 1,335,902 | 1,335,902 | (11,012,125) |
| 17.2 Other liability-claims made | | | |
| 17.3 Excess workers' compensation | | | |
| 18.1 Products liability-occurrence | | | |
| 18.2 Products liability-claims made | | | |
| 19.1 19.2 Private passenger auto liability | 11,684,761 | 11,684,761 | 12,762,249 |
| 19.3 19.4 Commercial auto liability | | | |
| 21. Auto physical damage | 7,081,472 | 7,081,472 | 7,540,583 |
| 22. Aircraft (all perils) | 4,247,381 | 4,247,381 | 3,859,668 |
| 23. Fidelity | | | |
| 24. Surety | | | |
| 26. Burglary and theft | | | |
| 27. Boiler and machinery | | | |
| 28. Credit | | | |
| 29. International | | | |
| 30. Warranty | | | |
| 31. Reinsurance-nonproportional assumed property | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed liability | XXX | XXX | XXX |
| 33. Reinsurance-nonproportional assumed financial lines | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | 0 | 0 | 0 |
| 35. Totals | 36,550,783 | 36,550,783 | 26,617,205 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 3401. | | | |
| 3402. | | | |
| 3403. | | | |
| 3498. Sum. of remaining write-ins for Line 34 from overflow page | 0 | 0 | 0 |
| 3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34) | 0 | 0 | 0 |

# PART 3 (000 omitted)

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Years in Which Losses Occurred | Prior Year-End Known Case Loss and LAE Reserves | Prior Year-End IBNR Loss and LAE Reserves | Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2) | 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End | 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End | Total 2021 Loss and LAE Payments (Cols. 4 + 5) | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | Q.S. Date IBNR Loss and LAE Reserves | Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9) | Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1) | Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12) |
| 1. 2018 + Prior | 37,176 | (2,376) | 34,800 | 4,742 | 66 | 4,808 | 32,460 | 180 | (2,157) | 30,483 | 26 | 465 | 491 |
| 2. 2019 | 23,901 | 2,224 | 26,125 | 3,080 | 114 | 3,194 | 21,515 | 131 | 1,665 | 23,311 | 694 | (314) | 380 |
| 3. Subtotals 2019 + Prior | 61,077 | (152) | 60,925 | 7,822 | 180 | 8,002 | 53,975 | 311 | (492) | 53,794 | 720 | 151 | 871 |
| 4. 2020 | 22,843 | 20,251 | 43,094 | 7,820 | 1,641 | 9,461 | 18,607 | 381 | 12,291 | 31,279 | 3,584 | (5,938) | (2,354) |
| 5. Subtotals 2020 + Prior | 83,920 | 20,099 | 104,019 | 15,642 | 1,821 | 17,463 | 72,582 | 692 | 11,799 | 85,073 | 4,304 | (5,787) | (1,483) |
| 6. 2021 | XXX | XXX | XXX | XXX | 14,017 | 14,017 | XXX | 8,806 | 9,051 | 17,857 | XXX | XXX | XXX |
| 7. Totals | 83,920 | 20,099 | 104,019 | 15,642 | 15,838 | 31,480 | 72,582 | 9,498 | 20,850 | 102,930 | 4,304 | (5,787) | (1,483) |
| 8. Prior Year-End's Surplus As Regards Policyholders | 113,497 | | | | | | | | | | Col. 11, Line 7 As % of Col. 1, Line 7 | Col. 12, Line 7 As % of Col. 2, Line 7 | Col. 13, Line 7 As % of Col. 3, Line 7 |
| | | | | | | | | | | | 1. 5.1 % | 2. (28.8)% | 3. (1.4)% |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 |
| | | | | | | | | | | | | | 4. (1.3)% |

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | | Response |
|---|---|---|
| 1. | Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. | Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | NO |
| 3. | Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 4. | Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**

* 1 9 9 4 1 2 0 2 1 4 9 0 0 0 0 0 1 *

* 1 9 9 4 1 2 0 2 1 4 5 5 0 0 0 0 1 *

* 1 9 9 4 1 2 0 2 1 3 6 5 0 0 0 0 1 *

* 1 9 9 4 1 2 0 2 1 5 0 5 0 0 0 0 1 *

# Overflow Page for Write-Ins

**Additional Write-ins for Assets:**

| | Current Statement Date 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | 4 December 31, Prior Year Net Admitted Assets |
|---|---|---|---|---|
| 2504. MISCELLANEOUS ASSETS | 517 | | 517 | 585,029 |
| 2597. Summary of remaining write-ins for Line 25 | 517 | 0 | 517 | 585,029 |

**Additional Write-ins for Statement of Income:**

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|---|---|---|---|
| 1404. OTHER TECHNICAL INCOME (OTHER REINSURER) | 161,731 | | |
| 1497. Summary of remaining write-ins for Line 14 | 161,731 | 0 | 0 |

# SCHEDULE A - VERIFICATION

Real Estate

| | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|
| 1. Book/adjusted carrying value, December 31 of prior year | 0 | 1,196,578 |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Current year change in encumbrances | | (81,503) |
| 4. Total gain (loss) on disposals | | (275,722) |
| 5. Deduct amounts received on disposals | | 797,334 |
| 6. Total foreign exchange change in book/adjusted carrying value | | |
| 7. Deduct current year's other-than-temporary impairment recognized | | |
| 8. Deduct current year's depreciation | | 42,019 |
| 9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8) | 0 | 0 |
| 10. Deduct total nonadmitted amounts | | |
| 11. Statement value at end of current period (Line 9 minus Line 10) | 0 | 0 |

# SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

| | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | 0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and mortgage interest points and commitment fees | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. Deduct current year's other-than-temporary impairment recognized | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 0 | 0 |
| 12. Total valuation allowance | | |
| 13. Subtotal (Line 11 plus Line 12) | 0 | 0 |
| 14. Deduct total nonadmitted amounts | | |
| 15. Statement value at end of current period (Line 13 minus Line 14) | 0 | 0 |

# SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

| | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|
| 1. Book/adjusted carrying value, December 31 of prior year | 0 | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and depreciation | | |
| 9. Total foreign exchange change in book/adjusted carrying value | | |
| 10. Deduct current year's other-than-temporary impairment recognized | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | 0 | 0 |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 0 | 0 |

# SCHEDULE D - VERIFICATION

Bonds and Stocks

| | 1<br>Year to Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 210,580,463 | 192,415,395 |
| 2. Cost of bonds and stocks acquired | 14,277,885 | 65,368,716 |
| 3. Accrual of discount | 42,347 | 162,796 |
| 4. Unrealized valuation increase (decrease) | (145) | (300) |
| 5. Total gain (loss) on disposals | 109,638 | 200,306 |
| 6. Deduct consideration for bonds and stocks disposed of | 9,228,901 | 46,381,830 |
| 7. Deduct amortization of premium | 279,038 | 1,314,185 |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 80,760 | 129,565 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) | 215,583,009 | 210,580,463 |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 215,583,009 | 210,580,463 |

# SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1<br>Book/Adjusted Carrying Value Beginning of Current Quarter | 2<br>Acquisitions During Current Quarter | 3<br>Dispositions During Current Quarter | 4<br>Non-Trading Activity During Current Quarter | 5<br>Book/Adjusted Carrying Value End of First Quarter | 6<br>Book/Adjusted Carrying Value End of Second Quarter | 7<br>Book/Adjusted Carrying Value End of Third Quarter | 8<br>Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|---|---|---|---|---|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 156,519,406 | 14,277,885 | 7,043,733 | 860,852 | 164,614,410 | | | 156,519,406 |
| 2. NAIC 2 (a) | 50,335,734 | | 1,994,770 | (2,129,374) | 46,211,590 | | | 50,335,734 |
| 3. NAIC 3 (a) | 1,007,323 | | | 1,031,686 | 2,039,009 | | | 1,007,323 |
| 4. NAIC 4 (a) | | | | | 0 | | | |
| 5. NAIC 5 (a) | | | | | 0 | | | |
| 6. NAIC 6 (a) | | | | | 0 | | | |
| 7. Total Bonds | 207,862,463 | 14,277,885 | 9,038,503 | (236,836) | 212,865,009 | 0 | 0 | 207,862,463 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | | | | | 0 | | | |
| 9. NAIC 2 | 2,718,000 | | | | 2,718,000 | | | 2,718,000 |
| 10. NAIC 3 | | | | | 0 | | | |
| 11. NAIC 4 | | | | | 0 | | | |
| 12. NAIC 5 | | | | | 0 | | | |
| 13. NAIC 6 | | | | | 0 | | | |
| 14. Total Preferred Stock | 2,718,000 | 0 | 0 | 0 | 2,718,000 | 0 | 0 | 2,718,000 |
| 15. Total Bonds and Preferred Stock | 210,580,463 | 14,277,885 | 9,038,503 | (236,836) | 215,583,009 | 0 | 0 | 210,580,463 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

## Sch. DA - Pt. 1
## NONE

## Sch. DA - Verification
## NONE

## Sch. DB - Pt. A - Verification
## NONE

## Sch. DB - Pt. B - Verification
## NONE

## Sch. DB - Pt. C - Sn. 1
## NONE

## Sch. DB - Pt. C - Sn. 2
## NONE

## Sch. DB - Verification
## NONE

# SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

| | 1<br>Year To Date | 2<br>Prior Year Ended December 31 |
|---|---|---|
| 1. Book/adjusted carrying value, December 31 of prior year | 0 | |
| 2. Cost of cash equivalents acquired | | 2,601,820 |
| 3. Accrual of discount | | |
| 4. Unrealized valuation increase (decrease) | | |
| 5. Total gain (loss) on disposals | | |
| 6. Deduct consideration received on disposals | | 2,600,000 |
| 7. Deduct amortization of premium | | 1,820 |
| 8. Total foreign exchange change in book/ adjusted carrying value | | |
| 9. Deduct current year's other-than-temporary impairment recognized | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 0 | 0 |
| 11. Deduct total nonadmitted amounts | | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 0 | 0 |

## Sch. A Pt. 2
## NONE

## Sch. A Pt. 3
## NONE

## Sch. B - Pt. 2
## NONE

## Sch. B - Pt. 3
## NONE

## Sch. BA - Pt. 2
## NONE

## Sch. BA - Pt. 3
## NONE

# SCHEDULE D - PART 3

## Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Foreign | 4<br>Date Acquired | 5<br>Name of Vendor | 6<br>Number of Shares of Stock | 7<br>Actual Cost | 8<br>Par Value | 9<br>Paid for Accrued Interest and Dividends | 10<br>NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
|---|---|---|---|---|---|---|---|---|---|
| **Bonds - U.S. States, Territories and Possessions** | | | | | | | | | |
| 57582R YN 0 | MASSACHUSETTS ST | | 03/05/2021 | WELLS FARGO SECURITIES, LLC | | 2,558,115 | 2,425,000 | 18,722 | 1.C FE |
| 649791 QB 9 | NEW YORK ST | | 03/08/2021 | RAYMOND JAMES | | 1,070,930 | 1,000,000 | 2,139 | 1.B FE |
| 97705M SC 0 | WISCONSIN ST | | 02/12/2021 | FIFTH THIRD CAPITAL | | 1,523,670 | 1,500,000 | 7,667 | 1.B FE |
| 1799999. Total - Bonds - U.S. States, Territories & Possessions | | | | | | 5,152,715 | 4,925,000 | 28,528 | XXX |
| **Bonds - U.S. Political Subdivisions of States** | | | | | | | | | |
| 54438C YP 1 | LOS ANGELES COMMUNITY COLLEGE | | 01/26/2021 | RAYMOND JAMES | | 1,561,468 | 1,495,000 | 6,822 | 1.B FE |
| 797272 QV 6 | SAN DIEGO CA CMNTY COLLEGE | | 01/21/2021 | WELLS FARGO SECURITIES, LLC | | 2,917,302 | 2,675,000 | 34,094 | 1.A FE |
| 2499999. Total - Bonds - U.S. Political Subdivisions of States | | | | | | 4,478,770 | 4,170,000 | 40,916 | XXX |
| **Bonds - U.S. Special Revenue and Special Assessment** | | | | | | | | | |
| 797400 LP 1 | SAN DIEGO CNTY CALIF REGL TRAN | | 02/01/2021 | J.P. MORGAN | | 1,070,450 | 1,000,000 | 11,007 | 1.A FE |
| 3199999. Total - Bonds - U.S. Special Revenue and Special Assessments | | | | | | 1,070,450 | 1,000,000 | 11,007 | XXX |
| **Bonds - Industrial and Miscellaneous** | | | | | | | | | |
| 048303 CJ 8 | ATLANTIC CITY ELECTRIC | | 03/31/2021 | J.P. MORGAN | | 1,487,040 | 1,500,000 | 2,396 | 1.F FE |
| 38141G XR 0 | GOLDMAN SACHS GROUP INC | | 01/25/2021 | GOLDMAN SACHS | | 1,008,070 | 1,000,000 | | 1.A FE |
| 654106 AK 9 | NIKE INC | | 02/25/2021 | WELLS FARGO SECURITIES, LLC | | 1,080,840 | 1,000,000 | 11,796 | 1.D FE |
| 3899999. Total - Bonds - Industrial and Miscellaneous | | | | | | 3,575,950 | 3,500,000 | 14,192 | XXX |
| 8399997. Total - Bonds - Part 3 | | | | | | 14,277,885 | 13,595,000 | 94,643 | XXX |
| 8399999. Total - Bonds | | | | | | 14,277,885 | 13,595,000 | 94,643 | XXX |
| 9999999. Total - Bonds, Preferred and Common Stocks | | | | | | 14,277,885 | XXX | 94,643 | XXX |

# SCHEDULE D - PART 4

## Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | Change in Book/Adjusted Carrying Value 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CUSIP Identification | Description | Foreign | Disposal Date | Name of Purchaser | Number of Shares of Stock | Consideration | Par Value | Actual Cost | Prior Year Book/Adjusted Carrying Value | Unrealized Valuation Increase (Decrease) | Current Year's (Amortization) / Accretion | Current Year's Other-Than-Temporary Impairment Recognized | Total Change in B./A.C.V. (11+12-13) | Total Foreign Exchange Change in B./A.C.V. | Book/Adjusted Carrying Value at Disposal Date | Foreign Exchange Gain (Loss) on Disposal | Realized Gain (Loss) on Disposal | Total Gain (Loss) on Disposal | Bond Interest / Stock Dividends Received During Year | Stated Contractual Maturity Date | NAIC Designation, NAIC Designation Modifier and SVO Administrative Symbol |
| **Bonds - U.S. Government** | | | | | | | | | | | | | | | | | | | | | |
| 36225B GW 2 | GNMA POOL #0781113 | .. | 03/15/2021. | VARIOUS | | 2,512 | 2,512 | 2,604 | 2,561 | | (1) | | (1) | | 2,560 | | (48) | (48) | 31 | 11/15/2029. | 1.A |
| 38377V T8 9 | GNMA REMIC TRUST 2011-68 | .. | 03/22/2021. | PRINCIPAL RECEIPT | | 381,907 | 381,907 | 390,355 | 382,729 | | (822) | | (822) | | 381,907 | | | 0 | 2,594 | 07/20/2039. | 1.A |
| 83162C TA 1 | SMALL BUS ADMIN GTD DEV PTC | .. | 01/04/2021. | PRINCIPAL RECEIPT | | 201,551 | 201,552 | 222,431 | 210,943 | | (9,391) | | (9,391) | | 201,551 | | | 0 | 4,414 | 01/01/2030. | 1.A |
| 0599999. | Total - Bonds - U.S. Government | | | | | 585,970 | 585,970 | 615,390 | 596,233 | 0 | (10,214) | 0 | (10,214) | 0 | 586,018 | 0 | (48) | (48) | 7,039 | XXX | XXX |
| **Bonds - U.S. Special Revenue and Special Assessment** | | | | | | | | | | | | | | | | | | | | | |
| 31396P GT 2 | FANNIE MAE - ACES | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 4,154 | 4,154 | 4,648 | 4,395 | | (240) | | (240) | | 4,154 | | | 0 | 37 | 10/25/2032. | 1.A |
| 3136A7 DJ 8 | FEDERAL NATL MTG ASSN REMIC TR | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 46,763 | 46,763 | 46,580 | 46,649 | | 114 | | 114 | | 46,763 | | | 0 | 222 | 01/25/2042. | 1.A |
| 3140X5 M4 2 | FN #FM2178 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 133,861 | 133,861 | 139,592 | 138,938 | | (5,077) | | (5,077) | | 133,861 | | | 0 | 827 | 01/01/2050. | 1.A |
| 3140J9 VX 6 | FN PL#BM5129 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 102,899 | 102,899 | 109,314 | 108,801 | | (5,902) | | (5,902) | | 102,899 | | | 0 | 641 | 07/01/2047. | 1.A |
| 3138ER 2K 5 | FNCL PL#AL9777 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 455,363 | 455,363 | 493,073 | 485,483 | | (30,120) | | (30,120) | | 455,363 | | | 0 | 3,118 | 01/01/2047. | 1.A |
| 3138WG RK 2 | FNCL PL#AS6789 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 58,247 | 58,247 | 61,887 | 60,292 | | (2,045) | | (2,045) | | 58,247 | | | 0 | 327 | 03/01/2046. | 1.A |
| 3138WJ B3 1 | FNCL PL#AS8157 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 375,131 | 375,131 | 404,145 | 395,146 | | (20,015) | | (20,015) | | 375,131 | | | 0 | 2,614 | 10/01/2046. | 1.A |
| 31334X GB 9 | FNCL PL#QA1094 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 708,865 | 708,865 | 734,561 | 731,062 | | (22,197) | | (22,197) | | 708,865 | | | 0 | 4,349 | 07/01/2049. | 1.A |
| 31334X ZZ 5 | FNCL PL#QA1660 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 268,839 | 268,839 | 275,812 | 274,807 | | (5,969) | | (5,969) | | 268,839 | | | 0 | 1,275 | 08/01/2049. | 1.A |
| 31339U CN 8 | FNCL PL#QA3677 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 278,856 | 278,856 | 275,283 | 275,498 | | 3,358 | | 3,358 | | 278,856 | | | 0 | 1,028 | 10/01/2049. | 1.A |
| 31339U 3M 0 | FNCL PL#QA4404 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 163,628 | 163,628 | 168,729 | 168,543 | | (4,915) | | (4,915) | | 163,628 | | | 0 | 803 | 11/01/2049. | 1.A |
| 3133N3 VW 1 | FNCL PL#RE6029 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 330,897 | 330,897 | 334,723 | 334,648 | | (3,752) | | (3,752) | | 330,897 | | | 0 | 1,495 | 02/01/2050. | 1.A |
| 3133N3 W9 1 | FNCL PL#RE6072 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 29,700 | 29,700 | 30,275 | 30,267 | | (567) | | (567) | | 29,700 | | | 0 | 108 | 11/01/2050. | 1.A |
| 31418A L5 5 | FNMA PASS-THRU PL#MA1247 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 101,722 | 101,722 | 107,126 | 104,609 | | (2,888) | | (2,888) | | 101,722 | | | 0 | 534 | 11/01/2042. | 1.A |
| 3140X8 AZ 0 | FNMA PL#FM4523 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 50,360 | 50,360 | 52,311 | 52,281 | | (1,921) | | (1,921) | | 50,360 | | | 0 | 212 | 10/01/2050. | 1.A |
| 3140X8 K6 3 | FNMA PL#FM4816 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 7,189 | 7,189 | 7,463 | 7,461 | | (272) | | (272) | | 7,189 | | | 0 | 23 | 11/01/2050. | 1.A |
| 31397S KW 3 | FNMA REMIC TRUST 2011-30 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 819,893 | 819,896 | 856,535 | 822,494 | | (2,598) | | (2,598) | | 819,893 | | | 0 | 3,748 | 01/25/2039. | 1.A |
| 64971W XD 7 | NEW YORK N Y CITY TRANSITIONAL | .. | 03/08/2021. | RAYMOND JAMES | | 545,000 | 500,000 | 503,970 | 502,106 | | (76) | | (76) | | 502,031 | | 42,969 | 42,969 | 5,644 | 11/01/2025. | 1.A FE |
| 3199999. | Total - Bonds - U.S. Special Revenue and Special Assessments. | | | | | 4,481,367 | 4,436,367 | 4,606,027 | 4,543,480 | 0 | (105,082) | 0 | (105,082) | 0 | 4,438,398 | 0 | 42,969 | 42,969 | 27,005 | XXX | XXX |
| **Bonds - Industrial and Miscellaneous** | | | | | | | | | | | | | | | | | | | | | |
| 78012K KU 0 | ROYAL BANK OF CANADA | A | 01/19/2021. | MATURITY | | 1,000,000 | 1,000,000 | 992,420 | 999,801 | | 199 | | 199 | | 1,000,000 | | | 0 | 12,500 | 01/19/2021. | 1.C FE |
| 590218 AF 0 | ML MTG INV TR 2006-F1 | .. | 03/25/2021. | PRINCIPAL RECEIPT | | 804 | 804 | 801 | 582 | 219 | 2 | | 221 | | 804 | | | 0 | 7 | 04/25/2036. | 1.A FM |
| 828807 CR 6 | SIMON PROPERTY GROUP LP | .. | 02/25/2021. | WELLS FARGO SECURITIES, LLC | | 1,080,000 | 1,000,000 | 1,054,500 | 1,019,505 | | (992) | | (992) | | 1,018,513 | | 61,487 | 61,487 | 21,354 | 02/01/2024. | 1.G FE |
| 124857 AS 2 | VIACOMCBS INC | .. | 03/15/2021. | CALLED @ 104.0380000 | | 2,080,760 | 2,000,000 | 1,985,860 | 1,994,224 | | 546 | | 546 | | 1,994,770 | | 5,230 | 5,230 | 109,927 | 02/15/2023. | 2.B FE |
| 3899999. | Total - Bonds - Industrial and Miscellaneous | | | | | 4,161,564 | 4,000,804 | 4,033,581 | 4,014,112 | 219 | (245) | 0 | (26) | 0 | 4,014,087 | 0 | 66,717 | 66,717 | 143,788 | XXX | XXX |
| 8399997. | Total - Bonds - Part 4 | | | | | 9,228,901 | 9,023,141 | 9,254,998 | 9,153,825 | 219 | (115,541) | 0 | (115,322) | 0 | 9,038,503 | 0 | 109,638 | 109,638 | 177,832 | XXX | XXX |
| 8399999. | Total - Bonds | | | | | 9,228,901 | 9,023,141 | 9,254,998 | 9,153,825 | 219 | (115,541) | 0 | (115,322) | 0 | 9,038,503 | 0 | 109,638 | 109,638 | 177,832 | XXX | XXX |
| 9999999. | Total - Bonds, Preferred and Common Stocks | | | | | 9,228,901 | XXX | 9,254,998 | 9,153,825 | 219 | (115,541) | 0 | (115,322) | 0 | 9,038,503 | 0 | 109,638 | 109,638 | 177,832 | XXX | XXX |

## Sch. DB - Pt. A - Sn. 1
## NONE

## Sch. DB - Pt. B - Sn. 1
## NONE

## Sch. DB - Pt. D - Sn. 1
## NONE

## Sch. DB - Pt. D - Sn. 2
## NONE

## Sch. DB - Pt. E
## NONE

## Sch. DL - Pt. 1
## NONE

## Sch. DL - Pt. 2
## NONE

# SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 | | 2 | 3 | 4 | 5 | Book Balance at End of Each Month During Current Quarter | | | 9 |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | 6 | 7 | 8 | |
| Depository | | Code | Rate of Interest | Amount of Interest Received During Current Quarter | Amount of Interest Accrued at Current Statement Date | First Month | Second Month | Third Month | * |
| **Open Depositories** | | | | | | | | | |
| Bank of America | 100 Federal Street, Boston, MA 02110 | | | | | (5,081,039) | (5,844,151) | (3,100,114) | XXX |
| The Bank of New York Mellon | One Wall Street, New York, NY 10286 | | 0.010 | 4 | | 135,492 | 90,474 | 178,469 | XXX |
| Deutsche Bank | 60 Wall Street, New York, NY 10005 | | | | | 251,047 | 1,186,706 | 143,764 | XXX |
| JPMorgan Chase | 100 E.Broad St, Columbus, OH 43215 | | | | | 114,957 | 66,575 | 24,447 | XXX |
| BlackRock 30 | One Financial Center, Boston, MA 02111 | | 0.040 | 33 | | 265,637 | 2,906,641 | 1,456,666 | XXX |
| Fidelity Govn't 2642 | 500 Salem Street, Smithfield, RI 02917 | | 0.010 | 100 | | 5,084,318 | 3,682,291 | 3,139,546 | XXX |
| 0199999. Total Open Depositories | | XXX | XXX | 137 | 0 | 770,412 | 2,088,537 | 1,842,779 | XXX |
| 0399999. Total Cash on Deposit | | XXX | XXX | 137 | 0 | 770,412 | 2,088,537 | 1,842,779 | XXX |
| 0599999. Total Cash | | XXX | XXX | 137 | 0 | 770,412 | 2,088,537 | 1,842,779 | XXX |

# SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1<br>CUSIP | 2<br>Description | 3<br>Code | 4<br>Date Acquired | 5<br>Rate of Interest | 6<br>Maturity Date | 7<br>Book/Adjusted Carrying Value | 8<br>Amount of Interest Due & Accrued | 9<br>Amount Received During Year |
|---|---|---|---|---|---|---|---|---|

**NONE**