



## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	174,530,697		174,530,697	165,250,519
2. Stocks:				
2.1 Preferred stocks .....	605,605		605,605	0
2.2 Common stocks .....	9,656,866		9,656,866	9,057,718
3. Mortgage loans on real estate:				
3.1 First liens .....	.190,851		.190,851	.195,590
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	3,787,342		3,787,342	3,818,465
4.2 Properties held for the production of income (less \$ encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ 15,817,512 ), cash equivalents (\$ 4,635,572 ) and short-term investments (\$ 201,886 ) .....	20,654,970		20,654,970	11,717,251
6. Contract loans (including \$ premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	209,426,331	0	209,426,331	190,039,543
13. Title plants less \$ charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	1,037,017		1,037,017	960,583
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	6,483,220		6,483,220	5,989,538
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....	365,068		365,068	0
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	2,010,543	434,860	1,575,683	1,382,518
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	57,325		57,325	96,027
24. Health care (\$ ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	1,133,125	0	1,133,125	892,704
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	220,512,629	434,860	220,077,769	199,360,913
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	220,512,629	434,860	220,077,769	199,360,913
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Accounts Receivable .....	1,133,125		1,133,125	892,704
2502. .....			0	0
2503. .....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	1,133,125	0	1,133,125	892,704

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... )	875,890	875,890
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	0	0
4. Commissions payable, contingent commissions and other similar charges	2,250,849	3,480,859
5. Other expenses (excluding taxes, licenses and fees)	1,832,217	1,977,534
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	2,210,336	1,524,858
7.1 Current federal and foreign income taxes (including \$ 54,253 on realized capital gains (losses))	1,211,676	1,096,897
7.2 Net deferred tax liability	0	0
8. Borrowed money \$ ..... and interest thereon \$ .....	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... and including warranty reserves of \$ ..... and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act)	61,432,230	53,704,402
10. Advance premium	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	3,119,590	1,718,343
13. Funds held by company under reinsurance treaties	40,274,706	31,496,232
14. Amounts withheld or retained by company for account of others	5,082,235	5,894,332
15. Remittances and items not allocated	4,239,521	3,093,023
16. Provision for reinsurance (including \$ ..... certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	214,517	317,212
20. Derivatives	0	0
21. Payable for securities	0	0
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ ..... and interest thereon \$ .....	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	122,743,767	105,179,582
27. Protected cell liabilities	0	0
28. Total liabilities (Lines 26 and 27)	122,743,767	105,179,582
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	4,200,990	4,200,990
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	3,000,000	3,000,000
34. Gross paid in and contributed surplus	9,732,810	9,732,810
35. Unassigned funds (surplus)	80,400,202	77,247,531
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... )	0	0
36.2 ..... shares preferred (value included in Line 31 \$ ..... )	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	97,334,002	94,181,331
38. Totals (Page 2, Line 28, Col. 3)	220,077,769	199,360,913
<b>DETAILS OF WRITE-INS</b>		
2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 57,953,229 )	42,942,311	36,190,794	163,430,164
1.2 Assumed (written \$ 5,988,571 )	98,959	25,866	288,740
1.3 Ceded (written \$ 51,742,178 )	38,565,488	32,255,160	143,916,707
1.4 Net (written \$ 12,199,622 )	4,475,782	3,961,500	19,802,197
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 580,903 ):			
2.1 Direct	22,564,574	21,791,743	93,529,204
2.2 Assumed	5,753	1,198	22,820
2.3 Ceded	21,989,424	21,454,569	90,415,578
2.4 Net	580,903	338,372	3,136,446
3. Loss adjustment expenses incurred			
4. Other underwriting expenses incurred	567,350	1,177,320	7,600,212
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	1,148,253	1,515,692	10,793,276
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	3,327,529	2,445,808	9,008,921
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	882,847	887,438	3,762,557
10. Net realized capital gains (losses) less capital gains tax of \$ 54,253	204,095	14,811	34,377
11. Net investment gain (loss) (Lines 9 + 10)	1,086,942	902,249	3,796,934
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(105,352)	(58,880)	(307,725)
15. Total other income (Lines 12 through 14)	(105,352)	(58,880)	(307,725)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	4,309,119	3,289,177	12,498,130
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	4,309,119	3,289,177	12,498,130
19. Federal and foreign income taxes incurred	1,182,159	766,804	2,894,250
20. Net income (Line 18 minus Line 19)(to Line 22)	3,126,960	2,522,373	9,603,880
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	94,181,330	82,202,503	82,202,503
22. Net income (from Line 20)	3,126,960	2,522,373	9,603,880
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (34,976)	(167,453)	(467,532)	1,037,153
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	318,199	13,424	537,472
27. Change in nonadmitted assets	(125,034)	(39,856)	800,323
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	3,152,672	2,028,409	11,978,828
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	97,334,002	84,230,912	94,181,330
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)	(747)	(4,218)	0
1402. Admin/Service Fee Income	46,818	51,449	186,749
1403. Interest Expense-Funds held	(151,423)	(106,111)	(494,474)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(105,352)	(58,880)	(307,725)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

**STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company**  
**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	12,746,107	5,557,982	37,096,891
2. Net investment income .....	971,601	924,872	3,954,127
3. Miscellaneous income .....	(105,352)	(58,880)	(307,725)
4. Total (Lines 1 to 3) .....	13,612,356	6,423,974	40,743,293
5. Benefit and loss related payments .....	580,903	338,372	2,537,134
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	1,256,446	1,782,543	3,172,323
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	1,086,657	512,002	2,318,492
10. Total (Lines 5 through 9) .....	2,924,006	2,632,917	8,027,949
11. Net cash from operations (Line 4 minus Line 10) .....	10,688,350	3,791,057	32,715,344
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	10,529,743	5,555,488	37,175,610
12.2 Stocks .....	3,433,987	30,227	1,587,655
12.3 Mortgage loans .....	4,739	4,576	18,852
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	13,968,469	5,590,291	38,782,117
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	19,831,175	4,400,938	73,854,060
13.2 Stocks .....	4,475,708	30,173	6,420,339
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	19,401	82,187
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	24,306,883	4,450,512	80,356,586
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(10,338,414)	1,139,780	(41,574,469)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	(16,611)	(18,992)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	8,587,783	2,478,099	13,737,962
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	8,587,783	2,461,488	13,718,970
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	8,937,719	7,392,325	4,859,845
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	11,717,251	6,857,406	6,857,406
19.2 End of period (Line 18 plus Line 19.1) .....	20,654,970	14,249,731	11,717,251

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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## **NOTES TO FINANCIAL STATEMENTS**

### **Note 1 – Summary of Significant Accounting Policies**

#### A. Accounting policy

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2021	2020
<b><u>Net Income</u></b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	\$ 3,126,960	\$ 9,603,880
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$ 3,126,960</u>	<u>\$ 9,603,880</u>
<b><u>Surplus</u></b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	\$ 97,334,002	\$ 94,181,331
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	<u>\$ 97,334,002</u>	<u>\$ 94,181,331</u>

#### B. Use of Estimates in Preparation of the Financial Statement

No significant change.

#### C. Accounting Policy

Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at depreciated cost.

In addition, the Company uses the following accounting policies:

1. Short-term investments are stated at cost.
2. Bonds are stated at amortized cost using the scientific method.
3. Common stocks are stated at market.
4. Preferred stocks are stated in accordance with the guidelines stated in SSAP No.32.
5. The Company has one first lien mortgage loan on real estate which are stated at their unpaid principal balances.
6. Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.
7. The Company has no investments in subsidiaries or the parent company.
8. Investments are not made in joint ventures, partnerships and limited liability companies.
9. The Company does not use derivatives.
10. The Company does not have a premium deficiency reserve.
11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liabilities are continually reviewed and any adjustments are reflected in the period determined.
12. The Company has not modified its capitalization policy from the prior period.
13. The Company has not reported receivables for pharmaceutical rebates.

#### D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

### **Note 2 – Accounting Changes and Corrections of Errors**

#### A. to C. No significant change.

#### D. Loan-Backed Securities

### **Note 3 – Business Combinations and Good Will**

No significant change.

### **Note 4 – Discontinued Operations**

No significant change.

### **Note 5 – Investments**

#### D. Loan-Backed Securities

1. Bloomberg is used as a source for the prepayment factors
- 2.-4. Not applicable.
5. No impairments exist other than interest rate related declines classified as temporary.

No significant change in other items.

## NOTES TO FINANCIAL STATEMENTS

### Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

### Note 7 – Investment Income

No significant change.

### Note 8 – Derivative Instruments

The Company does not own derivative instruments.

### Note 9 – Income Taxes

A. The components of the net deferred tax assets (liability) are as follows:

1.	3/31/2021			12/31/2020			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a Gross Deferred Tax Assets - Actual	\$2,679,466	\$ -	\$2,679,466	\$2,359,687	\$ -	\$2,359,687	\$ (165,329)	\$ -	\$ (165,329)
b Statutory Valuation Allowance Adjustments	-	-	-	-	-	-	-	-	-
c Adjusted Gross Deferred Tax Assets	2,679,466	-	2,679,466	2,359,687	-	2,359,687	319,779	-	319,779
d Deferred Tax Assets Nonadmitted	434,861	-	434,861	309,826	-	309,826	125,035	-	125,035
e Subtotal Net Admitted Deferred Tax Asset	2,244,605	-	2,244,605	2,049,861	-	2,049,861	194,744	-	194,744
f Deferred Tax Liabilities	258,354	410,568	668,922	261,932	405,411	667,343	(3,578)	5,157	1,579
g Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability)	\$1,986,251	\$ (410,568)	\$ 1,575,683	\$1,787,929	\$ (405,411)	\$ 1,382,518	\$ 198,322	\$ (5,157)	\$ 193,165

2. Admission calculation components SSAP No. 101:

	3/31/2021			12/31/2020			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
a Federal Income Taxes Paid in Prior Years									
a Recoverable through loss carrybacks [3 year Carryback]	\$1,059,652	\$ -	\$1,059,652	\$ 929,825	\$ -	\$ 929,825	\$ 129,827	\$ -	\$ 129,827
b Adjusted Gross Deferred Tax Assets Expected to Be Realized (Excluding the amount of									
b Deferred Tax Assets from 2 (a) After Application of the Threshold Limitation. (The Lesser of 2 (b) 1 and 2 (b) 2 Below)									
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	516,031	-	516,031	451,117	-	451,117	64,914	-	64,914
2. Adjusted Gross Deferred Tax Assets Allowed per the Limitation Threshold	XXX	XXX	13,871,408	XXX	XXX	14,080,726	XXX	XXX	(209,318)
c Adjusted Gross Deferred Tax Assets (Excluding The Amount of Deferred Tax Assets from 2 (a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	668,923	-	668,923	668,919	-	668,919	4	-	4
d Deferred Tax Assets Admitted as the result of application of SSAP 101									
Total (2(a) + 2(b) + 2 (c))	\$2,244,606	\$ -	\$2,244,606	\$2,049,861	\$ -	\$2,049,861	\$ 194,745	\$ -	\$ 194,745

3.	2021	2020
a Ratio Percentage Used to Determine Recovery Period And Threshold Limitation Amount	1018%	987%
b Amount of Adjusted Capital and Surplus Used to Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	95,768,319	92,798,813

4. Impact of Tax Planning Strategies:

	3/31/2021			12/31/2020			Change		
	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent	Ordinary Percent	Capital Percent	Total Percent
a Adjusted Gross DTAs (% of Total Adjusted Gross DTAs)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b Net Admitted Adjusted Gross DTAs (% of Total Net Admitted Adjusted Gross DTAs)	100%	0%	100%	129%	-29%	100%	-29%	29%	0%
c Does the Company's tax-planning strategies include the use of Reinsurance? Yes <input checked="" type="checkbox"/> No _____									

B. Deferred tax liabilities not recognized at March 31, 2021 and December 31, 2020 are as follows:

Not applicable as all deferred tax liabilities have been recognized.

**NOTES TO FINANCIAL STATEMENTS**

C. The provisions for incurred tax on earnings are as follows:

	3/31/2021	12/31/2020	Change
1. Current Income Tax:			
Federal	\$ 1,182,159	\$ 2,894,250	\$ (1,712,091)
Foreign	-	-	-
Subtotal	1,182,159	2,894,250	(1,712,091)
Federal income tax on net capital gains	54,253	9,139	45,114
Federal and foreign income taxes incurred	<u>\$ 1,236,412</u>	<u>\$ 2,903,389</u>	<u>\$ (1,666,977)</u>

2. Deferred Tax Assets:

<u>Ordinary</u>			
Discounting of unpaid losses	\$ 27,591	\$ 27,591	-
Unearned premium reserve	2,580,154	2,255,585	324,569
Investments	71,721	76,511	(4,790)
Subtotal	2,679,466	2,359,687	319,779
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	434,861	309,826	125,035
Admitted ordinary deferred tax assets	<u>\$ 2,244,605</u>	<u>\$ 2,049,861</u>	<u>\$ 194,744</u>
<u>Capital</u>			
Statutory valuation allowance adjustment	-	-	-
Nonadmitted	-	-	-
Admitted capital deferred tax assets	-	-	-
Admitted deferred tax assets	<u>\$ 2,244,605</u>	<u>\$ 2,049,861</u>	<u>\$ 194,744</u>

## Deferred Tax Liabilities:

<u>Ordinary</u>			
Fixed assets	258,354	261,932	(3,578)
Subtotal	<u>\$ 258,354</u>	<u>\$ 261,932</u>	<u>\$ (3,578)</u>
<u>Capital</u>			
Investments	410,568	405,411	5,157
Subtotal	<u>410,568</u>	<u>405,411</u>	<u>5,157</u>
Deferred Tax Liabilities	<u>668,922</u>	<u>667,343</u>	<u>1,579</u>
Net deferred tax assets/liabilities	<u>\$ 1,575,683</u>	<u>\$ 1,382,518</u>	<u>\$ 193,165</u>

E. The change in net deferred income taxes, exclusive of non-admitted assets reported separately in surplus in the annual statement, during the year ended December 31 was comprised of the following:

	3/31/2021	12/31/2020	Change
Adjusted gross deferred tax assets	\$ 2,679,466	\$ 2,359,687	\$ 319,779
Total deferred tax liabilities	668,922	667,343	1,579
Net deferred tax assets (liabilities)	<u>2,010,544</u>	<u>1,692,344</u>	<u>318,200</u>
Tax effect of unrealized gains (losses)			(3,578)
Change in net deferred income tax			<u>\$ 314,622</u>

The total of current federal income taxes and the changes in gross deferred tax assets and liabilities for the periods ended March 31, 2021 and December 31, 2020, differed from the amounts computed by applying the federal statutory rate of 21% in 2021 and in 2020 to pretax net gain or loss from operations as a result of the following:

Provision computed at statutory rate	916,308	2,626,526	
Prior period over accrual	-	(300,420)	
Other	5,482	39,811	
Total	<u>\$ 921,790</u>	<u>\$ 2,365,917</u>	
 Federal and foreign income taxes incurred	1,182,159	2,894,250	
Federal Income tax on net capital gains	54,253	9,139	
Change in net deferred income taxes	(314,622)	(537,472)	
Total statutory income tax	<u>\$ 921,790</u>	<u>\$ 2,365,917</u>	

F. At March 31, 2021, the Company has no net capital-loss carry forward available for recoupment against future capital gains.

G. The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

## NOTES TO FINANCIAL STATEMENTS

### Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Entities

No significant change.

### Note 11 – Debt

The Company does not have any outstanding debt.

### Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other

No significant change.

### Note 13 – Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

No significant change.

### Note 14 – Liabilities, Contingencies and Assessments

No significant change.

### Note 15 – Leases

No significant change.

### Note 16 – Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant change.

### Note 17 – Sale Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company does not have any sales or transfers to report.

### Note 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

### Note 19 – Direct Premium Written/Produced by Managing General Agents

No significant change.

### Note 20 – Fair Value Measurements

The Company has categorized its assets and liabilities that are reported on the balance sheet at fair value into the three level fair value hierarchy as reflected in the tables below. The three level hierarchy is based upon the degree of subjectivity inherent in the valuation method by which fair value was determined. The three levels of are defined as follows:

- Level 1 – Unadjusted quoted market prices for identical assets or liabilities in active markets that the Company can access.
- Level 2 – Quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar items in inactive markets; or valuations based on models where the significant inputs are observable or can be corroborated by observable market data.
- Level 3 – Valuations based on models where significant inputs are not observable. The Company has no assets or liabilities measured at fair value in this category.

## NOTES TO FINANCIAL STATEMENTS

**A. Securities measured and reported at fair value as of March 31, 2021:**

Description	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at fair value					
Cash Equivalents					
Exempt MM Fund	\$ 75,052	\$ -	\$ -	\$ -	\$ 75,052
Other MM Fund	4,560,520	-	-	-	4,560,520
Total Cash Equivalent	4,635,572	-	-	-	4,635,572
Bonds					
SVO Identified Funds	1,342,508	-	-	-	1,342,508
Common Stock:					
Indust. & Misc	5,529,427	-	-	-	5,529,427
Mutual Funds	4,127,440	-	-	-	4,127,440
Total Common Stock	9,656,866	-	-	-	9,656,866
Preferred Stock:					
Indust. & Misc	605,606	-	-	-	605,606
Derivative assets	-	-	-	-	-
Separate account assets	-	-	-	-	-
Total assets at fair value	16,240,553	-	-	-	16,240,553
Liabilities at fair value	-	-	-	-	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	\$ -

**B. Other fair value disclosures**

Not applicable

**C. The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments. The fair values are also categorized into the three level fair value hierarchy as described above in Note 20A. This was not practical for mortgages loans as described below in Note 20D.**

At March 31, 2021						
Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 178,345,575	\$ 174,530,697	\$ 30,798,594	\$ 147,546,981	\$ -	\$ -
Preferred Stock	605,605	605,605	605,605	-	-	-
Common Stock	9,656,866	9,656,866	9,656,866	-	-	-
Mortgage loans	-	190,851	-	-	-	190,851
Cash , cash equivalents and short-term investments	20,654,970	20,654,970	20,654,970	-	-	-

**At December 31, 2020**

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 173,162,547	\$ 165,250,519	\$ 31,798,457	\$ 141,364,090	\$ -	\$ -
Preferred Stock	-	-	-	-	-	-
Common Stock	9,057,718	9,057,718	9,057,718	-	-	-
Mortgage loans	-	195,590	-	-	-	195,590
Cash , cash equivalents and short-term investments	11,717,251	11,717,251	11,717,251	-	-	-

**D. It is not practical to determine the fair values of mortgage loans for the above disclosure due because these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining fair value estimates from other sources are considered excessive given the immateriality of the mortgage loans.**

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans				
DAC 002 Mokena, IL	195,590	4	9/1/2029	See paragraph above

**E. No investments are measured at NAV.**

**Note 21 – Other Items**

No significant change.

**Note 22 – Subsequent Events**

No significant change.

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## NOTES TO FINANCIAL STATEMENTS

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### **Note 23 – Reinsurance**

No significant change.

### **Note 24 – Retrospectively Rated Contracts & Subject to Redetermination**

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

### **Note 25 – Changes in Incurred Losses and Loss Adjustment Expenses**

Reserves as of December 31, 2020 were \$875,890. As of March 31, 2021, \$435,677 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$0 of development of prior year reserves.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date.

### **Note 26 – Intercompany Pooling Arrangements**

Not applicable.

### **Note 27 – Structure Settlements**

Not applicable.

### **Note 28 – Health Care Receivables**

Not applicable.

### **Note 29 – Participating Policies**

Not applicable.

### **Note 30 – Premium Deficiency Reserves**

No significant change.

### **Note 31 – High Deductibles**

Not applicable.

### **Note 32 – Discounting of Liabilities for Unpaid Loss or Unpaid Loss Adjustment Expenses**

Not applicable.

### **Note 33 – Asbestos/Environmental Reserves**

Not applicable.

### **Note 34 – Subscriber Savings Accounts**

Not applicable.

### **Note 35 – Multiple Peril Crop Insurance**

Not applicable.

### **Note 36 – Financial Guaranty Insurance**

The Company does not write financial guaranty insurance.

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... If yes, complete and file the merger history data file with the NAIC. Yes [ X ] No [ ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2018

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2018

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 10/20/2019

6.4 By what department or departments?

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the US ..... Quebec, Canada		NO	NO	NO	NO

**STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]

11.2 If yes, give full and complete information relating thereto:

	<b>1</b> Prior Year-End Book/Adjusted Carrying Value	<b>2</b> Current Quarter Book/Adjusted Carrying Value
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....	\$ .....	\$ .....
13. Amount of real estate and mortgages held in short-term investments: .....	\$ .....	\$ .....
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
14.2 If yes, please complete the following:		
14.21 Bonds .....	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock .....	\$ ..... 0	\$ ..... 0
14.23 Common Stock .....	\$ ..... 0	\$ ..... 0
14.24 Short-Term Investments .....	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans on Real Estate .....	\$ ..... 0	\$ ..... 0
14.26 All Other .....	\$ ..... 0	\$ ..... 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....	\$ ..... 0	\$ ..... 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ ..... 0	\$ ..... 0
15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input checked="" type="checkbox"/> ]		
15.2 If no, attach a description with this statement.		
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:		
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ ..... 0	
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .....	\$ ..... 0	
16.3 Total payable for securities lending reported on the liability page. .....	\$ ..... 0	

**STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F.  
 Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	Cincinnati, OH .....
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, VA .....
Citibank, NA .....	New York, NY .....
Century Bank .....	San Fe, NM .....
RBS Citizens, NA .....	Providence, RI .....
Conduent State & Local Solutions .....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....
First National Bankers Bank .....	Little Rock, AR .....
Ameritrade .....	Omaha, NE .....
Umpqua Bank .....	San Diego, CA .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions) .....	A .....
Asset Allocation & Mgmt. Co. .....	U .....
Bank of NY Wealth Mgmt. .....	U .....
San Luis Wealth Advisors, LLC .....	U .....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
 b. Issuer or obligor is current on all contracted interest and principal payments.  
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
 Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
 a. The security was purchased prior to January 1, 2018.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
 Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.  
 d. The fund only or predominantly holds bonds in its portfolio.  
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.  
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD				
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
			TOTAL	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## **SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

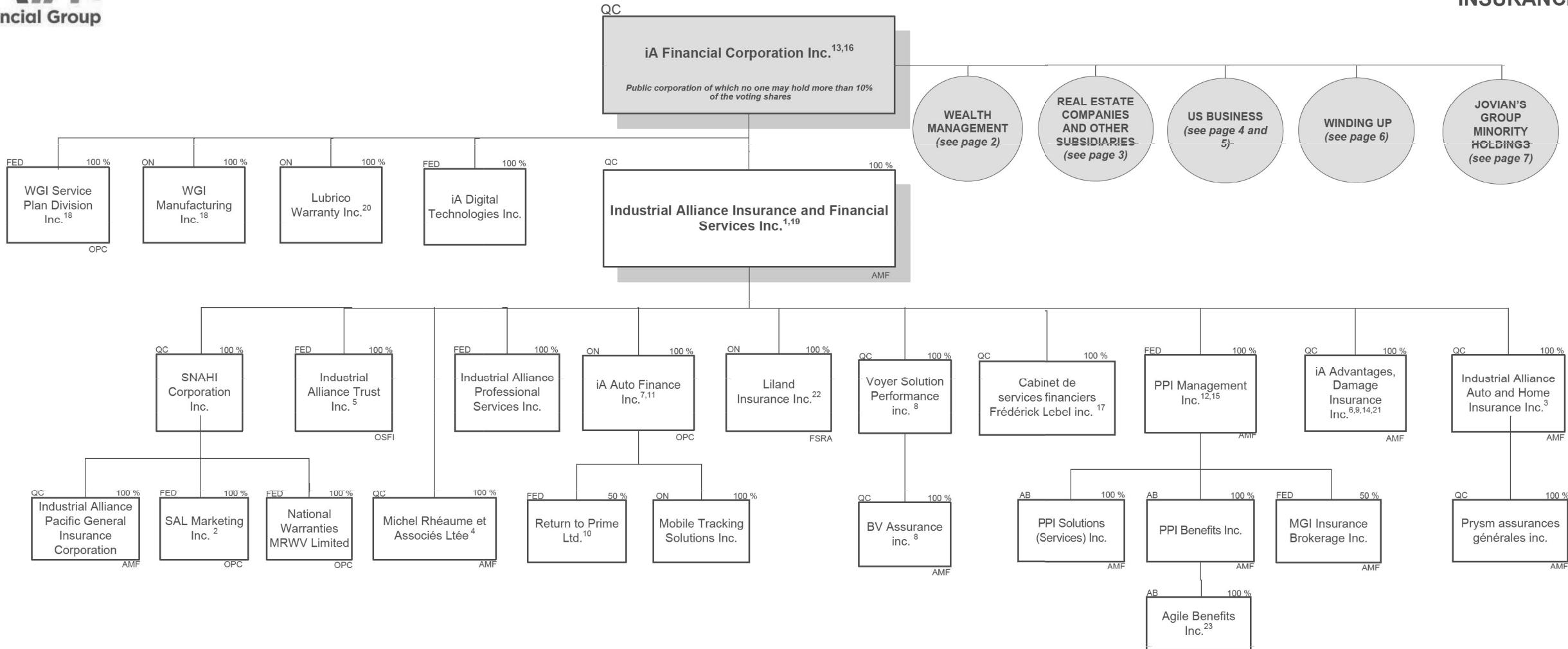
**STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company**  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama .....	AL	582,403	636,175	212,003	217,235	9,558	5,695
2. Alaska .....	AK	78,904	42,338	20,981	17,325	0	0
3. Arizona .....	AZ	1,494,267	162,320	414,784	54,836	0	0
4. Arkansas .....	AR	1,065,953	650,255	434,801	308,858	127,534	0
5. California .....	CA	749,875	453,785	835,091	348,858	10,899	54,223
6. Colorado .....	CO	3,075,598	2,399,939	719,900	890,863	225,914	186,120
7. Connecticut .....	CT	40,995	167,283	(109,905)	115,695	0	0
8. Delaware .....	DE	689,551	(1,502,074)	388,889	1,049,349	269,304	357,365
9. District of Columbia .....	DC	1,620,708	0	1,026,946	0	0	0
10. Florida .....	FL	2,687,133	1,903,695	1,467,862	1,397,769	617,573	538,368
11. Georgia .....	GA	618,119	932,657	236,692	739,144	13,536	8,917
12. Hawaii .....	HI	6,616	0	0	0	0	0
13. Idaho .....	ID	47,604	84,864	10,973	30,142	0	12,325
14. Illinois .....	IL	1,482,120	714,835	538,957	184,078	72	1,615
15. Indiana .....	IN	960,509	734,915	515,155	373,115	0	0
16. Iowa .....	IA	100,855	230,567	(26,348)	118,248	0	0
17. Kansas .....	KS	138,490	50,885	39,076	9,412	14,543	0
18. Kentucky .....	KY	183,736	402,851	109,950	110,929	13,028	18,852
19. Louisiana .....	LA	1,050,656	726,846	394,361	421,717	267,883	0
20. Maine .....	ME	485,130	317,011	173,975	170,695	0	0
21. Maryland .....	MD	(306,015)	355,887	(260,568)	219,297	0	0
22. Massachusetts .....	MA	185,348	149,841	72,558	93,037	0	0
23. Michigan .....	MI	1,968,328	2,277,458	1,244,881	1,571,517	0	0
24. Minnesota .....	MN	546,010	395,883	341,429	294,034	0	0
25. Mississippi .....	MS	932,422	620,535	524,277	232,183	0	0
26. Missouri .....	MO	523,184	701,404	364,210	292,120	0	0
27. Montana .....	MT	35,759	56,177	(7,838)	48,371	0	8,027
28. Nebraska .....	NE	(15,551)	137,491	(136,627)	564,490	50,039	11,978
29. Nevada .....	NV	1,040	41,481	44,699	36,950	0	0
30. New Hampshire .....	NH	191,932	110,574	218,544	25,109	0	0
31. New Jersey .....	NJ	(483,842)	618,731	(507,807)	262,117	1,682	0
32. New Mexico .....	NM	(745,542)	769,076	(44,200)	73,566	0	0
33. New York .....	NY	1,603,517	1,307,137	1,049,802	758,588	0	0
34. North Carolina .....	NC	4,869,360	4,398,931	2,764,196	1,685,290	1,023,649	848,035
35. North Dakota .....	ND	(84,342)	13,335	(63,327)	12,252	0	0
36. Ohio .....	OH	12,644,411	7,482,787	1,542,014	1,465,651	406,670	120,329
37. Oklahoma .....	OK	623,986	134,399	296,902	58,835	47,788	4,949
38. Oregon .....	OR	454,009	95,096	222,493	18,032	0	0
39. Pennsylvania .....	PA	2,203,539	1,201,096	862,690	483,599	3,405	0
40. Rhode Island .....	RI	265,890	104,768	183,169	27,624	0	0
41. South Carolina .....	SC	673,273	132,305	301,665	12,794	149	0
42. South Dakota .....	SD	30,631	29,602	(9,338)	25,788	0	0
43. Tennessee .....	TN	(658,749)	700,432	(419,786)	296,085	11,634	414
44. Texas .....	TX	9,890,269	10,267,837	4,779,254	4,915,988	4,851,221	4,897,981
45. Utah .....	UT	361,898	7,178	160,606	3,044	0	0
46. Vermont .....	VT	(222,169)	46,215	(179,743)	19,267	0	0
47. Virginia .....	VA	(249,500)	275,877	(173,182)	159,949	0	0
48. Washington .....	WA	4,044,003	4,197,603	1,328,619	1,396,157	1,002,873	603,017
49. West Virginia .....	WV	699,135	248,711	281,572	79,302	17,684	0
50. Wisconsin .....	WI	733,881	379,366	326,170	100,072	2,207	0
51. Wyoming .....	WY	77,892	29,063	53,097	2,367	17	6
52. American Samoa .....	AS	N					
53. Guam .....	GU	N					
54. Puerto Rico .....	PR	N					
55. U.S. Virgin Islands .....	VI	N					
56. Northern Mariana Islands .....	MP	N					
57. Canada .....	CAN	N					
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Totals	XXX	57,953,229	46,395,423	22,564,574	21,791,743	8,988,879	7,678,216
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 51 R - Registered - Non-domiciled RRGs..... 0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0  
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... 0 N - None of the above - Not allowed to write business in the state ..... 6



<sup>1</sup> Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (iAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.

<sup>2</sup> Formely Seaboard Marketing Inc.

<sup>3</sup> Formely Industrial Alliance General Insurance Company.

<sup>4</sup> Formerly Société d'Investissements L'Excellence Ltée.

<sup>5</sup> Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.  
<sup>6</sup> As of the time of filing, the 1<sup>st</sup> edition of the *Canadian Corporate Law Manual* (2011).

<sup>6</sup> Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1<sup>st</sup>, 2014.

<sup>8</sup> Acquisition of CTL Corp. by IAIFS on October 1<sup>st</sup>, 2015.

<sup>8</sup> Acquisition of Groupe PPP by IAIFS on November 18, 2010.

<sup>9</sup> Amalgamation of iA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1<sup>st</sup>, 2017.  
<sup>10</sup> Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTI Corp. on March 3<sup>rd</sup>, 2017.

<sup>10</sup> Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3<sup>rd</sup>, 2017  
<sup>11</sup> CTL Corp. changed its name to iA Auto Finance Inc. on June 19, 2017

<sup>11</sup> CTL Corp. changed its name to iA Auto Finance Inc. on June 19, 2017.  
<sup>12</sup> Acquisition of PBL Management Inc. by IAIES on February 26, 2018.

<sup>12</sup> Acquisition of PPI Management Inc. by IAIES on  
<sup>13</sup> Incorporated by IAIES on February 20, 2018

<sup>13</sup> Incorporated by IAIFS on February 20, 2018.

<sup>14</sup> Amalgamation between iA Avantages, Cabinet d'assurance inc, Premier Plan Assurance inc. et Performa Assurance inc. on January 1<sup>st</sup>, 2019. The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.

The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.

<sup>15</sup> Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1<sup>st</sup>, 2019. The name of the amalgamated corporation is PPI Management Inc.

<sup>16</sup> January 1st, 2019, effective date of the Arrangement.

<sup>17</sup> Acquisition of Cabinet de services financiers Frédéric Lebel Inc. by IAIES on August 15, 2019.

<sup>18</sup> Acquisition of Cabinet de services maîtrisés FREDERICK LEBEL INC. by AIRIS on August 13, 2019.

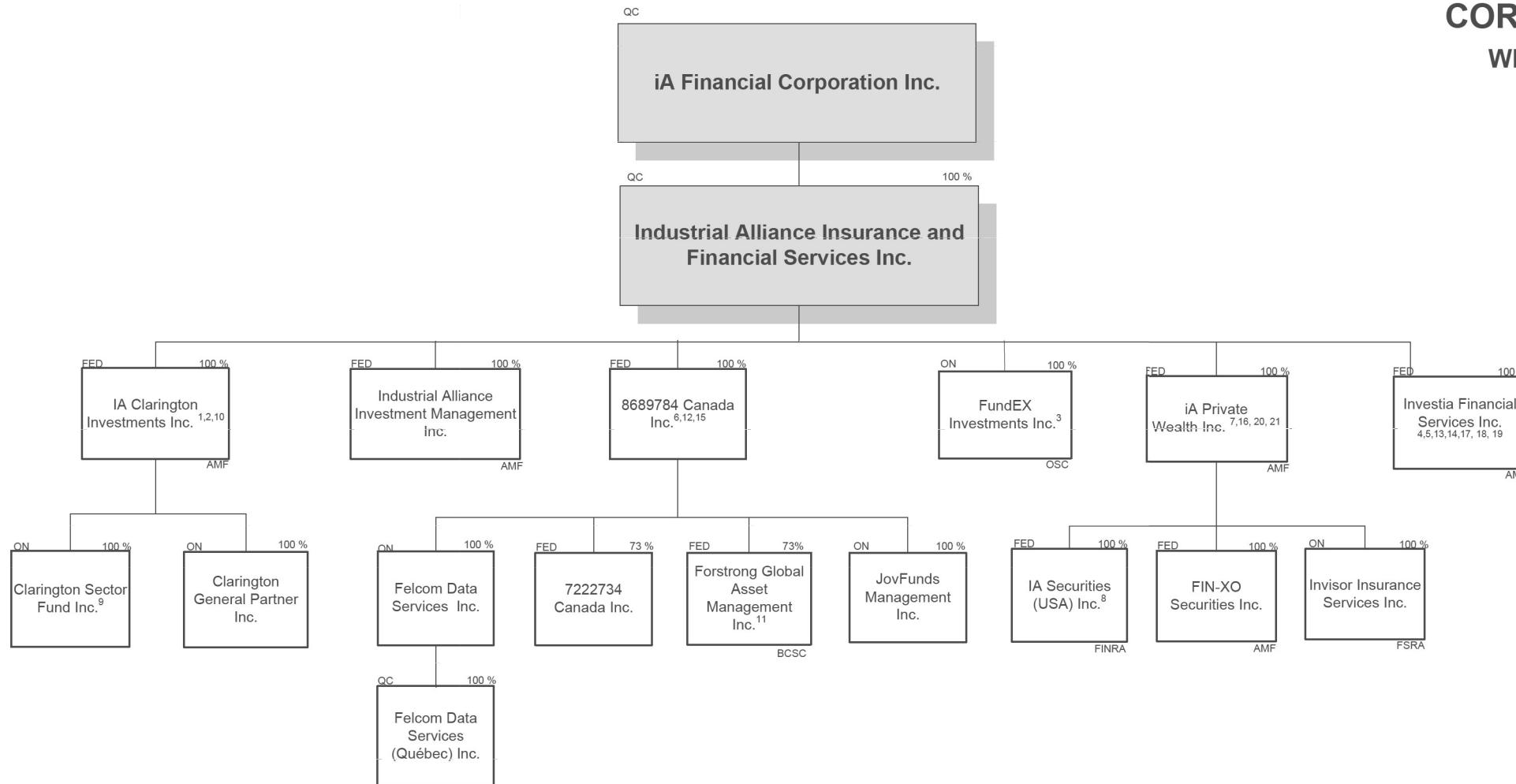
<sup>19</sup> Amalgamation between Corporation Financière l'Excellence Ille et The Excellencia Life Insurance Company on January 1st, 2020.

Amalgamation between Corporation Financière Excellence Inc and The Excellence Life Insurance  
20 Acquisition of LWI Holdings Ltd. On January 8, 2020 and amalgamation with Lubrico Warranty Inc.

<sup>21</sup>Formerly iA Avantages Cabinet d'assurances inc.

<sup>22</sup> Acquisition of Liland Insurance Inc. by IAES on October 1<sup>st</sup>, 2020.

### Acquisition of Lillard Insurance <sup>23</sup> Formerly Customcare Inc.



<sup>1</sup> Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1<sup>st</sup>, 2009.

<sup>2</sup> Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1<sup>st</sup>, 2011.

<sup>3</sup> Acquisition of Fundtrade Financial Corp. on August 18, 2006 and amalgamation of Fundtrade Financial Corp. and FundEx Investments Inc. on September 1<sup>st</sup>, 2006.

<sup>4</sup> Acquisition of National Financial Corporation on July 1<sup>st</sup>, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.

<sup>5</sup> Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1<sup>st</sup>, 2009.

<sup>6</sup> Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1<sup>st</sup>, 2014.

<sup>7</sup> Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1<sup>st</sup>, 2014.

<sup>8</sup> Formerly MGI Securities (USA) Inc.

<sup>9</sup> Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.

<sup>10</sup> Amalgamation of IA Clarington Investments Inc. and JovFinancial Solutions Inc. on March 1<sup>st</sup>, 2015.

<sup>11</sup> Formerly Hahn Investment Stewards & Company Inc.

<sup>12</sup> Formerly Jovian Capital Corporation.

<sup>13</sup> Acquisition of Les Services financiers Planifax inc. by Investia on January 1<sup>st</sup>, 2016.

<sup>14</sup> Amalgamation between Investia Financial Services and Planifax on January 1<sup>st</sup>, 2016.

<sup>15</sup> Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.

<sup>16</sup> Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1<sup>st</sup>, 2016.

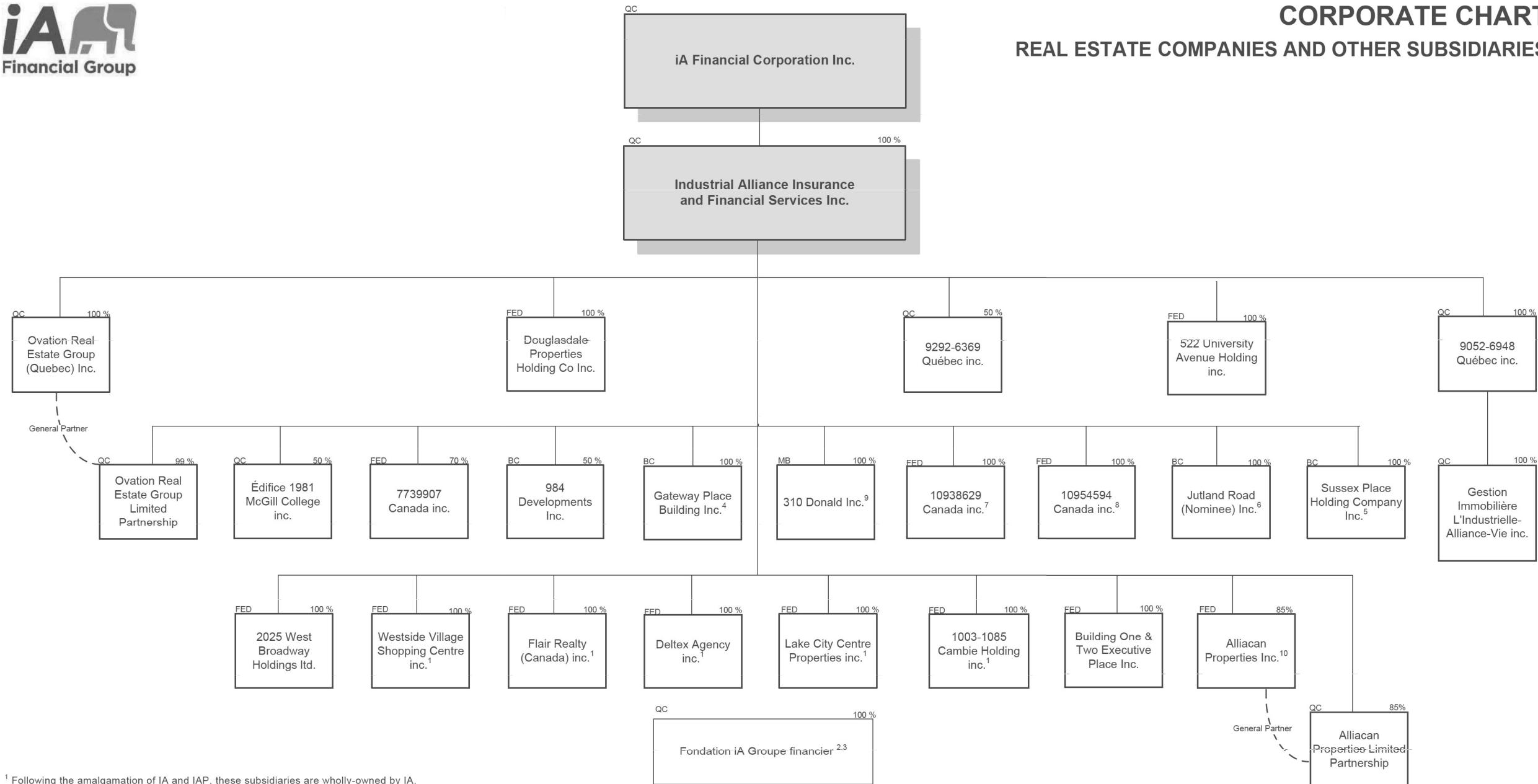
<sup>17</sup> Acquisition of Byrns Holdings Inc. and Christeph Holdings Inc. by Investia Financial Services Inc. on December 1<sup>st</sup>, 2016.

<sup>18</sup> Liquidation of Byrns Holdings Inc., Christeph Holdings Inc., Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15, 2016 and Winding up of Byrns, Christeph, Roybyrns and G.A.P. on December 31<sup>st</sup>, 2016.

<sup>19</sup> Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.

<sup>20</sup> Formerly Industrial Alliance Securities Inc.

<sup>21</sup> Amalgamation of iA Private Wealth Inc., Invisor Financial Inc and Invisor Management Inc. on April 1, 2021.



<sup>1</sup> Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.

<sup>2</sup> Non-profit organization with charitable status.

<sup>3</sup> Formerly Industrial Alliance Foundation for Learning a Second Language

<sup>4</sup> Formerly Bosa Properties (Gateway-Comm) Inc.

<sup>5</sup> Incorporated by IAIFS on November 1<sup>st</sup>, 2017.

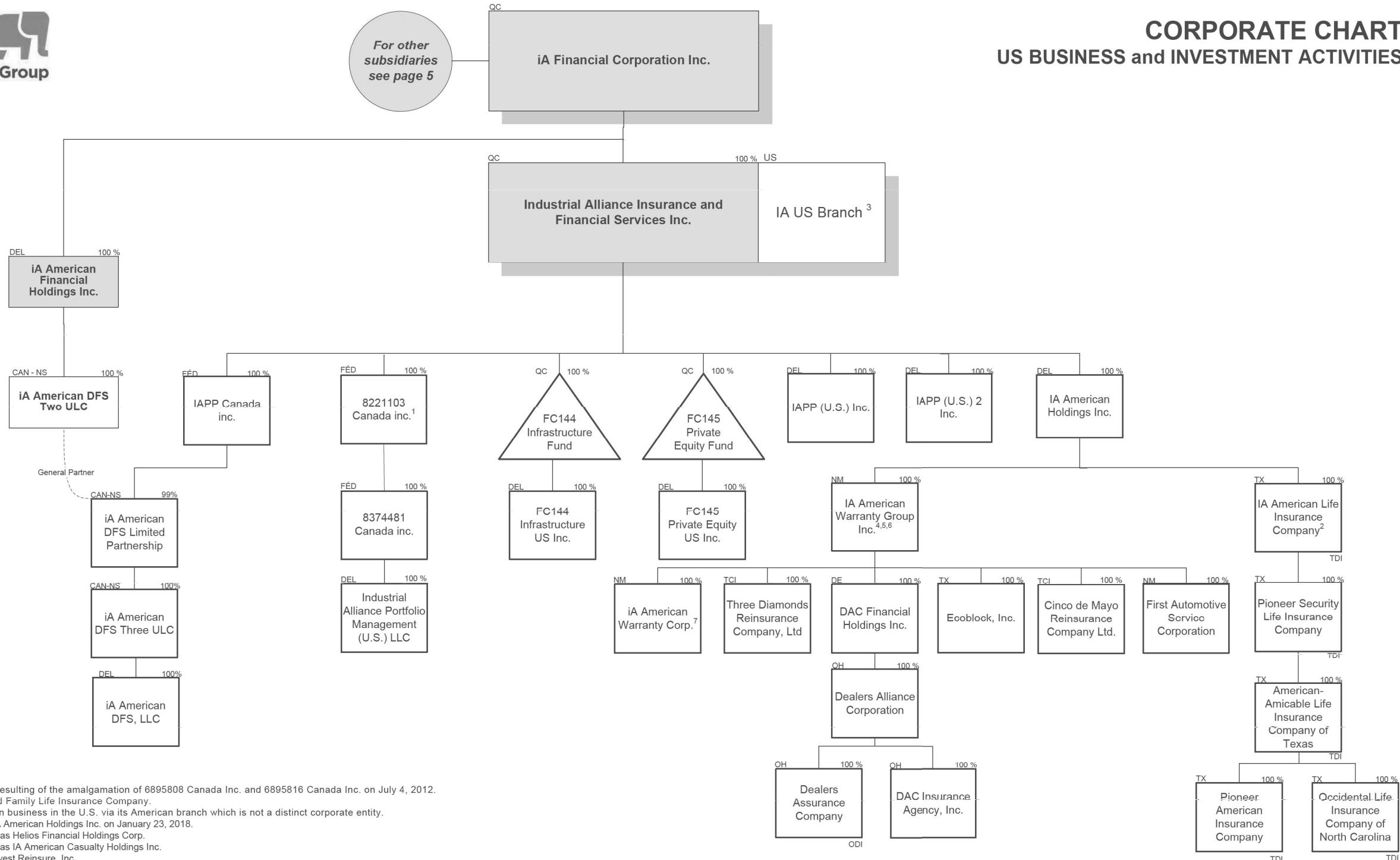
<sup>6</sup> Purchased by IAIFS on November 15, 2017.

<sup>7</sup> Incorporated by IAIFS on August 9, 2018.

<sup>8</sup> Incorporated by IAIFS on August 21, 2018.

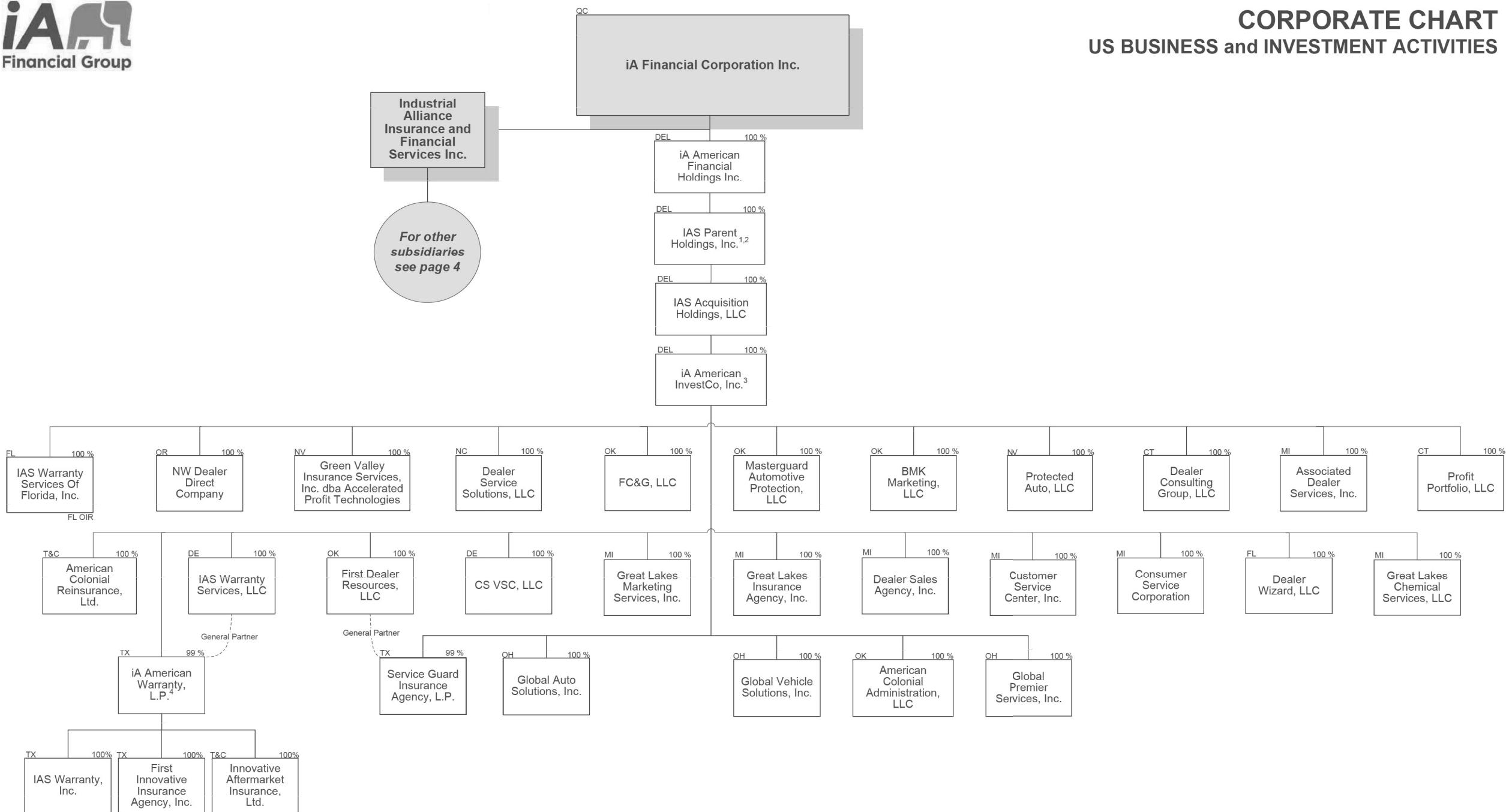
<sup>9</sup> Purchased by IAIFS on October 31, 2018.

<sup>10</sup> Incorporated by IAIFS on August 20, 2019.





**CORPORATE CHART**  
**US BUSINESS and INVESTMENT ACTIVITIES**



<sup>1</sup> Acquisition on May 22, 2020.

<sup>2</sup> Amalgamation with iA American Merger Inc. on May 22, 2020.

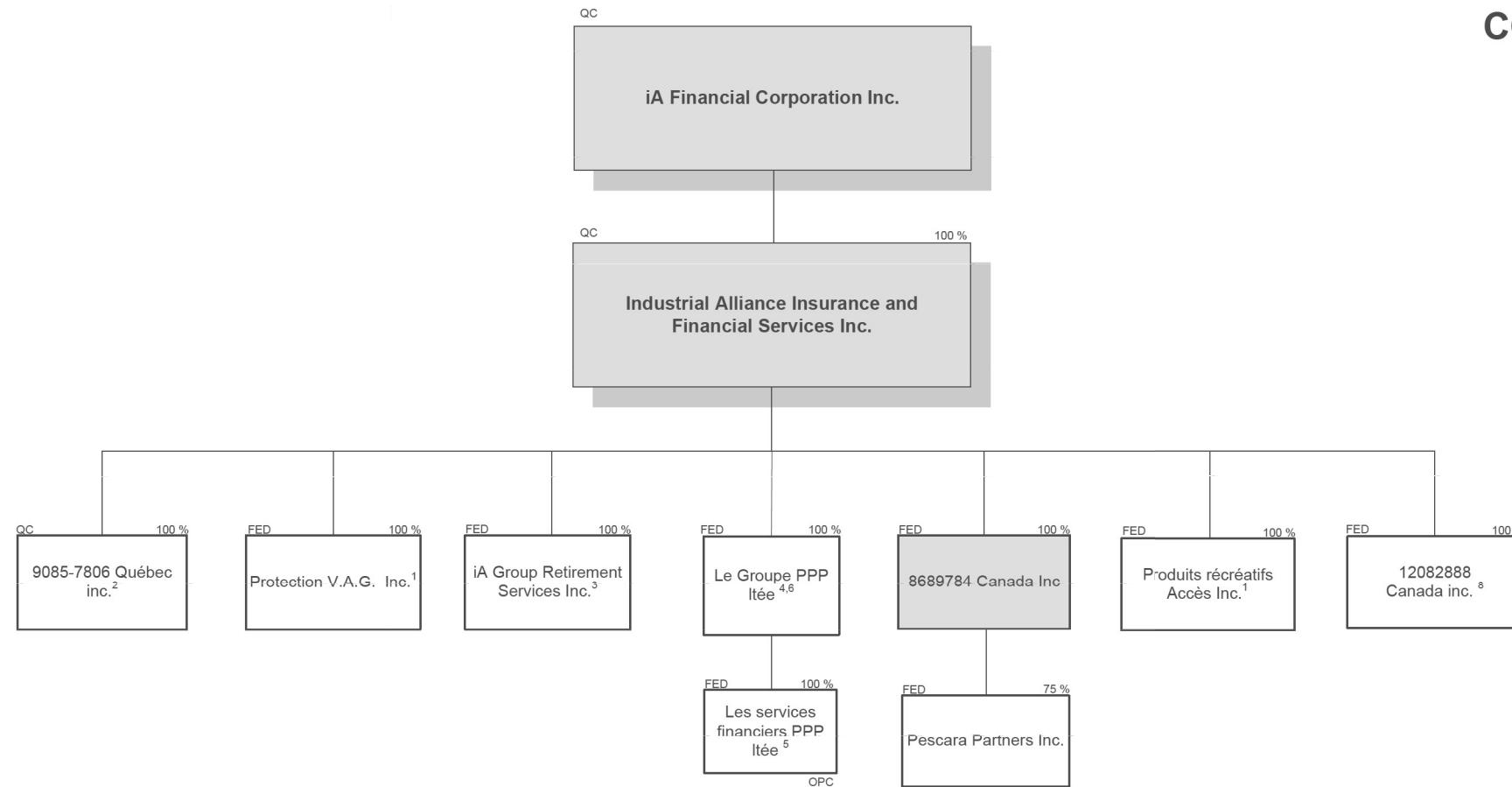
<sup>3</sup> Formerly iA InvestCo, Inc.

<sup>4</sup> Formerly Innovative Aftermarket Systems L.P.

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company



**CORPORATE CHART**  
**WINDING UP**



<sup>1</sup> Liquidated in iAIFS on July 1<sup>st</sup>, 2013.

<sup>2</sup> Liquidated in iAIFS on February 15, 2017.

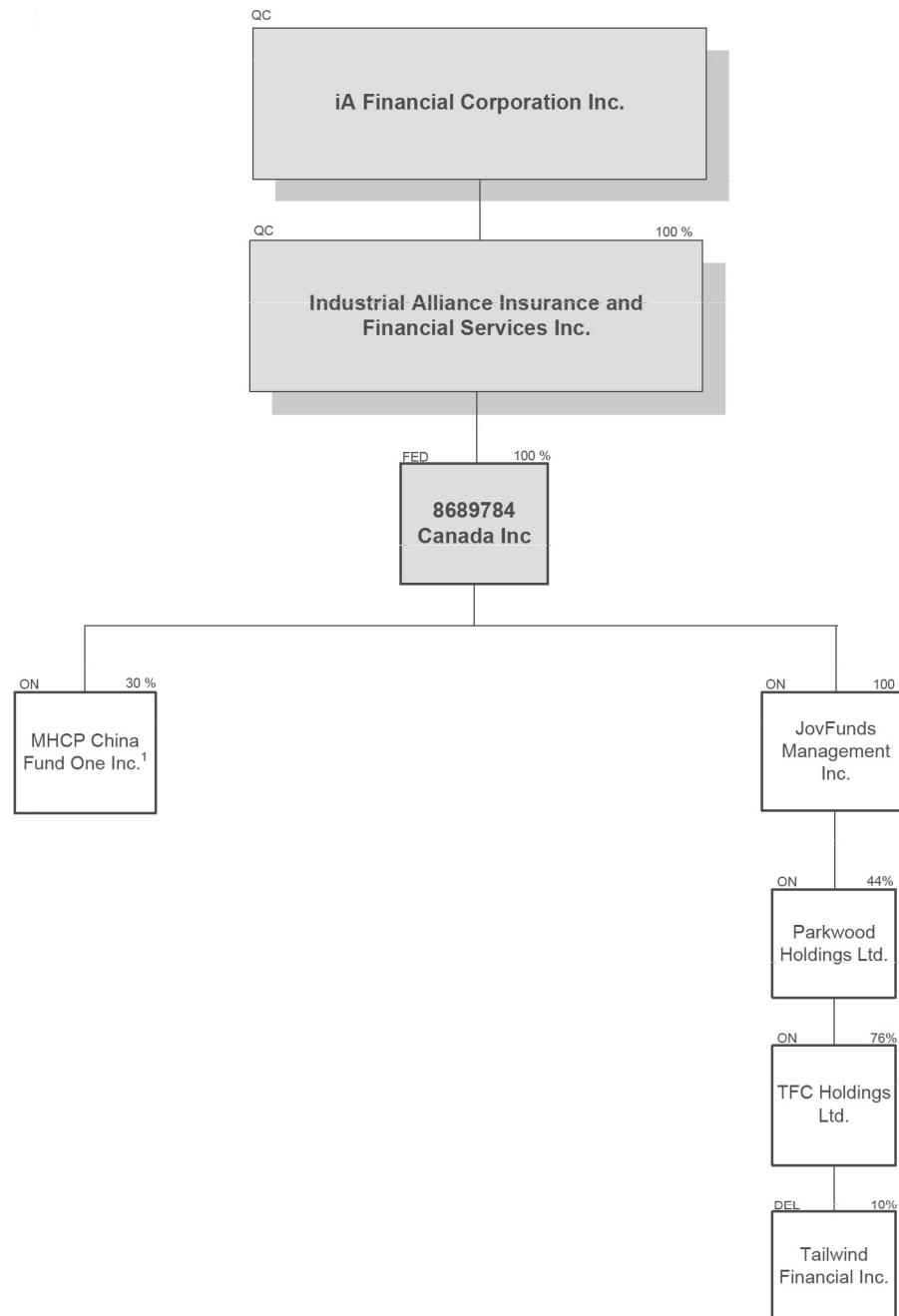
<sup>3</sup> Liquidated in iAIFS on December 17, 2018.

<sup>4</sup> Acquisition of Groupe PPP by iAIFS on November 18, 2016.

<sup>5</sup> Liquidated in Le Groupe PPP Ltée on April 1<sup>st</sup>, 2019.

<sup>6</sup> Liquidated in iAIFS on April 1<sup>st</sup>, 2019.

<sup>7</sup> Liquidated in iAIFS on May 28<sup>th</sup>, 2020.



<sup>1</sup>Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1<sup>st</sup>, 2018.

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domestic- ciliary Loca- tion	10 Rela- tionship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner- ship Provide Percen- tage	14 Ultimate Controlling Entity(ies)/Person(s)	15 Is an SCA Filing Re- quired? (Y/N)	16 *
.0315	Industrial Alliance GRP	00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	CAN	UIP	Publicly Traded	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	TX	IA	Industrial Alliance Insurance and Financial Services Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	27-2524532				IA American Holdings	DE	UDP	Industrial Alliance Insurance and Financial Services - USB	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	85-0479228				IA American Warranty Group Inc.	NM	UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	20-0833446				DAC Financial Holdings, Inc.	DE	UIP	IA American Warranty Group Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	31-0906655				Dealers Alliance Corp.	OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	16705	34-6513705				Dealers Assurance Company	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	31-0908416				DAC Insurance Agency, Inc.	OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	46-2008908				DAC Solutions, Inc.	TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	85-0339432				Southwest Reinsure, Inc.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	98-0231706				Three Diamonds Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	42-1563507				Ecoblock, Inc.	TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	00000	91-2020119				First Automotive Service Corp.	NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	91693	13-3036472				IA American Life Insurance Company	TX	IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67946	75-1083342				Pioneer Security Life Insurance Company	TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	68594	74-2179909				American Amicable Life Ins. Co. of Texas	TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67148	56-0343440				Occidental Life Insurance Co. of North Carolina	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	
.0315	Industrial Alliance GRP	67873	75-0914374				Pioneer American Insurance Company	TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	N	

12

Asterisk	Explanation

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company  
**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire .....			0.0	0.0
2. Allied Lines .....			0.0	0.0
3. Farmowners multiple peril .....			0.0	0.0
4. Homeowners multiple peril .....			0.0	0.0
5. Commercial multiple peril .....			0.0	0.0
6. Mortgage guaranty .....			0.0	0.0
8. Ocean marine .....			0.0	0.0
9. Inland marine .....			0.0	0.0
10. Financial guaranty .....			0.0	0.0
11.1 Medical professional liability - occurrence .....			0.0	0.0
11.2 Medical professional liability - claims-made .....			0.0	0.0
12. Earthquake .....			0.0	0.0
13. Group accident and health .....			0.0	0.0
14. Credit accident and health .....			0.0	0.0
15. Other accident and health .....			0.0	0.0
16. Workers' compensation .....			0.0	0.0
17.1 Other liability - occurrence .....	3,308,297	1,629,691	49.3	122.2
17.2 Other liability - claims-made .....			0.0	0.0
17.3 Excess workers' compensation .....			0.0	0.0
18.1 Products liability - occurrence .....			0.0	0.0
18.2 Products liability - claims-made .....			0.0	0.0
19.1,19.2 Private passenger auto liability .....			0.0	0.0
19.3,19.4 Commercial auto liability .....			0.0	0.0
21. Auto physical damage .....			0.0	0.0
22. Aircraft (all perils) .....			0.0	0.0
23. Fidelity .....			0.0	0.0
24. Surety .....			0.0	0.0
26. Burglary and theft .....			0.0	0.0
27. Boiler and machinery .....			0.0	0.0
28. Credit .....			0.0	0.0
29. International .....			0.0	0.0
30. Warranty .....	39,634,014	20,934,883	52.8	55.6
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0.0	0.0
35. Totals .....	42,942,311	22,564,574	52.5	60.2
<b>DETAILS OF WRITE-INS</b>				
3401. .....				
3402. .....				
3403. .....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire .....	0		
2. Allied Lines .....	0		
3. Farmowners multiple peril .....	0		
4. Homeowners multiple peril .....	0		
5. Commercial multiple peril .....	0		
6. Mortgage guaranty .....	0		
8. Ocean marine .....	0		
9. Inland marine .....	0		
10. Financial guaranty .....	0		
11.1 Medical professional liability - occurrence .....	0		
11.2 Medical professional liability - claims-made .....	0		
12. Earthquake .....	0		
13. Group accident and health .....	0		
14. Credit accident and health .....	0		
15. Other accident and health .....	0		
16. Workers' compensation .....	0		
17.1 Other liability - occurrence .....	4,475,920	4,475,920	3,212,750
17.2 Other liability - claims-made .....	0		
17.3 Excess workers' compensation .....	0		
18.1 Products liability - occurrence .....	0		
18.2 Products liability - claims-made .....	0		
19.1,19.2 Private passenger auto liability .....	0		
19.3,19.4 Commercial auto liability .....	0		
21. Auto physical damage .....	0		
22. Aircraft (all perils) .....	0		
23. Fidelity .....	0		
24. Surety .....	0		
26. Burglary and theft .....	0		
27. Boiler and machinery .....	0		
28. Credit .....	0		
29. International .....	0		
30. Warranty .....	53,477,309	53,477,309	43,182,673
31. Reinsurance - Nonproportional Assumed Property .....	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability .....	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines .....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business .....	0	0	0
35. Totals .....	57,953,229	57,953,229	46,395,423
<b>DETAILS OF WRITE-INS</b>			
3401. .....			
3402. .....			
3403. .....			
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0	0

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	4 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2021 Loss and LAE Payments (Cols. 4+5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2018 + Prior .....			.0			.0				0	0	0	0
2. 2019 .....			.0			.0				0	0	0	0
3. Subtotals 2019 + Prior .....	0	0	.0	0	0	0	0	0	0	0	0	0	0
4. 2020 .....		876	876	327	109	436			440	440	327	(327)	0
5. Subtotals 2020 + Prior .....	0	876	876	327	109	436	0	0	440	440	327	(327)	0
6. 2021 .....	XXX	XXX	XXX	XXX	145	145	XXX		436	436	XXX	XXX	XXX
7. Totals .....	0	876	876	327	254	581	0	0	876	876	327	(327)	0
8. Prior Year-End Surplus As Regards Policyholders		94,181									Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (37.3)	3. 0.0
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. 0.0

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



1 6 7 0 5 2 0 2 1 4 8 0 0 0 0 0 1

2. Supplement A to Schedule T [Document Identifier 455]



1 6 7 0 5 2 0 2 1 4 5 5 0 0 0 0 1

3. Medicare Part D Coverage Supplement [Document Identifier 365]



1 6 7 0 5 2 0 2 1 3 8 5 0 0 0 0 1

4. Director and Officer Supplement [Document Identifier 505]



1 6 7 0 5 2 0 2 1 5 0 5 0 0 0 0 1

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company  
**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,818,465	3,796,984
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		82,187
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	31,123	60,706
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	3,787,342	3,818,465
10. Deduct total nonadmitted amounts		0
11. Statement value at end of current period (Line 9 minus Line 10)	3,787,342	3,818,465

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	195,590	214,442
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	4,739	18,852
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	190,851	195,590
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	190,851	195,590
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	190,851	195,590

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	174,308,237	131,668,109
2. Cost of bonds and stocks acquired	24,306,883	80,274,399
3. Accrual of discount	40,141	171,288
4. Unrealized valuation increase (decrease)	18,244	1,310,858
5. Total gain (loss) on disposals	258,348	43,517
6. Deduct consideration for bonds and stocks disposed of	14,001,824	38,893,245
7. Deduct amortization of premium	174,955	396,669
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	38,093	129,980
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	184,793,168	174,308,237
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	184,793,168	174,308,237

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	128,317,841	12,027,111	7,490,093	(1,780,721)	131,074,137	0	0	128,317,841
2. NAIC 2 (a) .....	35,742,931	8,006,392	2,212,659	1,632,114	43,168,779	0	0	35,742,931
3. NAIC 3 (a) .....	1,189,747	0	749,582	49,502	489,667	0	0	1,189,747
4. NAIC 4 (a) .....	0				0			
5. NAIC 5 (a) .....	0				0			
6. NAIC 6 (a) .....	0				0			
7. Total Bonds .....	165,250,519	20,033,503	10,452,334	(99,105)	174,732,582	0	0	165,250,519
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0			
9. NAIC 2 .....	0				0			
10. NAIC 3 .....	0				0			
11. NAIC 4 .....	0	558,315	0	47,291	605,606	0	0	0
12. NAIC 5 .....	0				0			
13. NAIC 6 .....	0				0			
14. Total Preferred Stock .....	0	558,315	0	47,291	605,606	0	0	0
15. Total Bonds and Preferred Stock .....	165,250,519	20,591,818	10,452,334	(51,814)	175,338,189	0	0	165,250,519

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... 201,886 ; NAIC 2 \$ ..... 0 ; NAIC 3 \$ ..... 0 NAIC 4 \$ ..... 0 ; NAIC 5 \$ ..... 0 ; NAIC 6 \$ ..... 0

SI02

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	201,886	XXX	202,328	0	392

## SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of short-term investments acquired .....	202,328	
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		
7. Deduct amortization of premium .....	442	
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	201,886	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	201,886	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of Derivatives

**N O N E**

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,971,794	1,703,683
2. Cost of cash equivalents acquired .....	21,368,983	81,781,082
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	18,705,205	81,512,971
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	4,635,572	1,971,794
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	4,635,572	1,971,794

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## **SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

## **SCHEDULE B - PART 3**

## Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
912828-3F-5	UNITED STATES TREASURY		.02/16/2021	Natwest Markets	.109,035	.100,000		.584	1.A .....
912828-D5-6	UNITED STATES TREASURY		.02/16/2021	SG AMERICAS SECURITIES, LLC	.107,270	.100,000		.13	1.A .....
912828-U2-4	UNITED STATES TREASURY		.02/16/2021	RBC CAPITAL MARKETS, LLC	.53,666	.50,000		.260	1.A .....
912828-VB-3	UNITED STATES TREASURY		.02/16/2021	BNP PARIBAS SEC BOND, NEW YORK	.103,586	.100,000		.454	1.A .....
912828-XB-1	UNITED STATES TREASURY		.02/16/2021	HSBC SEC'S INC, NEW YORK	.107,172	.100,000		.552	1.A .....
912828-ZC-7	UNITED STATES TREASURY		.03/29/2021	NOMURA SECURITIES INTERNATIONAL	.510,373	.500,000		.474	1.A .....
0599999. Subtotal - Bonds - U.S. Governments					991,101	950,000		2,337	XXX
652233-MP-9	NEWPORT NEWS VA		.02/25/2021	MORGAN STANLEY & CO LLC	.585,000	.585,000		.0	1.B FE .....
652233-MQ-7	NEWPORT NEWS VA		.02/25/2021	MORGAN STANLEY & CO LLC	.630,000	.630,000		.0	1.B FE .....
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					1,215,000	1,215,000		0	XXX
3133L8-CR-4	FH RC1880 - RMBS		.02/11/2021	R W BAIRD & CO	.2,058,125	.2,000,000		.1,250	1.A .....
57421F-AE-7	MARYLAND ST DEPT TRANSN SPL TRANSN PROJ		.02/11/2021	CITIBANK	.700,000	.700,000		.0	1.F FE .....
759136-VF-8	REGIONAL TRANSN DIST COLO SALES TAX REV		.02/19/2021	GOLDMAN SACHS & CO. INC.	.500,000	.500,000		.0	1.C FE .....
3199999. Subtotal - Bonds - U.S. Special Revenues					3,258,125	3,200,000		1,250	XXX
03115A-AA-1	AMFAM HOLDINGS, INC.		.03/26/2021	Various	.1,097,089	.1,100,000		.888	2.B FE .....
05583J-AJ-1	BPCE SA	C.	.01/13/2021	Various	.752,900	.750,000		.0	1.G FE .....
06367W-HH-9	BANK OF MONTREAL	C.	.02/16/2021	CITIGROUP GBL MKTS/SALOMON, NEW YORK	.27,066	.25,000		.30	1.FE .....
064159-TE-6	BANK OF NOVA SCOTIA	C.	.02/16/2021	DEUTSCHE BK SEC'S INC, NY (NIISCUS33)	.232,304	.225,000		.207	1.FE .....
09261X-AA-0	BLACKSTONE SECURED LENDING FUND		.03/26/2021	Various	.695,285	.700,000		.428	2.C FE .....
191216-CN-8	COCA-COLA CO		.02/16/2021	DEUTSCHE BK SEC'S INC, NY (NIISCUS33)	.245,869	.225,000		.2,637	1.E FE .....
20826F-AQ-9	CONOCOPHILLIPS CO		.01/21/2021	CITIBANK, N.A.	.835,520	.700,000		.12,513	1.FE .....
22160K-AP-0	COSTCO WHOLESALE CORP		.02/16/2021	MERRILL LYNCH PROFESSIONAL CLR	.24,831	.25,000		.131	1.E FE .....
22822V-AV-3	CROWN CASTLE INTERNATIONAL CORP		.02/09/2021	MIZUHO SECURITIES	.698,166	.700,000		.0	2.C FE .....
29364G-AN-3	ENTERGY CORP		.03/02/2021	CITIBANK, N.A.	.298,956	.300,000		.0	2.B FE .....
29717P-AY-3	ESSEX PORTFOLIO LP		.02/22/2021	WELLS FARGO BROKERAGE	.497,115	.500,000		.0	2.A FE .....
378272-AY-4	GLENCORE FUNDING LLC		.02/26/2021	UBS SECURITIES	.492,785	.500,000		.35	2.A FE .....
38173M-AB-8	GOLUB CAPITAL BDC INC		.02/17/2021	SMBC NIKKO SECURITIES	.398,796	.400,000		.0	2.C FE .....
38869P-AP-9	GRAPHIC PACKAGING INTERNATIONAL LLC		.03/02/2021	MIZUHO SECURITIES	.601,704	.600,000		.0	2.C FE .....
404280-BS-7	HSBC HOLDINGS PLC	C.	.02/16/2021	DEUTSCHE BK SEC'S INC, NY (NIISCUS33)	.80,706	.75,000		.741	1.FE .....
41284N-AC-4	HDMOT 2021-A A3 - ABS		.02/09/2021	JP MORGAN SECURITIES INC.	.784,815	.785,000		.0	1.A FE .....
460690-B1-6	INTERPUBLIC GROUP OF COMPANIES INC		.02/24/2021	MITSUBISHI UFJ SECURITIES	.475,043	.475,000		.32	2.B FE .....
636180-BR-1	NATIONAL FUEL GAS CO		.02/09/2021	STIFEL NICOLAUS & COMPANY INC.	.502,760	.500,000		.0	2.C FE .....
66815L-2A-6	NORTHWESTERN MUTUAL GLOBAL FUNDING		.01/07/2021	JP MORGAN SECURITIES INC.	.214,622	.215,000		.0	1.A FE .....
714046-AH-2	PERKINELMER INC		.03/04/2021	JP MORGAN SECURITIES INC.	.239,916	.240,000		.0	2.B FE .....
723787-AR-8	PIONEER NATURAL RESOURCES CO		.03/26/2021	CITIBANK, N.A.	.473,940	.500,000		.1,822	2.B FE .....
78433L-AA-4	SCE RECOVERY FUNDING LLC		.03/23/2021	BARCLAYS CAPITAL	.500,626	.515,000		.382	1.A FE .....
82620K-BE-2	SIEMENS FINANCIERINGSMAATSCHAPPIJ NV	C.	.03/02/2021	MORGAN STANLEY & CO LLC	.1,248,325	.1,250,000		.0	1.E FE .....
878742-BG-9	TECK RESOURCES LTD	C.	.01/28/2021	UBS SECURITIES	.835,095	.750,000		.1,300	2.C FE .....
87938W-AT-0	TELEFONICA EMISIONES SAU	C.	.02/22/2021	MORGAN STANLEY & CO LLC	.458,604	.400,000		.7,568	2.C FE .....
88161F-AC-0	TESLA 21A A3 - ABS		.03/25/2021	CREDIT SUISSE FIRST BOSTON	.499,984	.500,000		.0	1.A FE .....
902613-AE-8	UBS GROUP AG	C.	.02/02/2021	UBS SECURITIES	.645,000	.645,000		.0	1.G FE .....
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					13,857,820	13,600,000		28,711	XXX
464287-17-6	ISHARES TIPS BD ETF		.02/25/2021	TD Ameritrade	.154,521	.0		.0	1.A Z .....
464287-17-6	ISHARES TIPS BD ETF		.02/12/2021	TD Ameritrade	.34,859	.0		.0	1.A Z .....
464288-58-8	ISHARES MBS ETF		.02/23/2021	TD Ameritrade	.18,941	.0		.0	1.A Z .....
464288-58-8	ISHARES MBS ETF		.02/12/2021	TD Ameritrade	.26,521	.0		.0	1.A Z .....
921937-81-9	VANGUARD INT-T B ETF		.02/12/2021	TD Ameritrade	.33,148	.0		.0	2.A Z .....
92206C-40-9	VANGUARD ST CORP BD ETF		.02/25/2021	TD Ameritrade	.241,138	.0		.0	2.A Z .....
8099999. Subtotal - Bonds - SVO Identified Funds					.509,129	0		0	XXX
8399997. Total - Bonds - Part 3					19,831,175	18,965,000		32,298	XXX
8399998. Total - Bonds - Part 5					XXX	XXX		XXX	XXX
8399999. Total - Bonds					19,831,175	18,965,000		32,298	XXX
464288-68-7	ISHARES PREF AND INC SEC		.02/25/2021	Various	.0,000	.558,315	.0,000	.0	4.B .....
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred					.558,315	XXX		0	XXX

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7	8	9	10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol
8999997. Total - Preferred Stocks - Part 3					558,315	XXX		0	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks					558,315	XXX		0	XXX
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		.03/11/2021	Various	.78,000	.20,856			0
023135-10-6	AMAZON COM ORD		.01/21/2021	CREDIT SUISSE, NEW YORK (CSUS)	.3,000	.9,962			0
03076C-10-6	AMERIPRISE FINANCE ORD		.02/19/2021	JEFFERIES & CO INC, NEW YORK	.163,000	.36,413			0
037833-10-0	APPLE ORD		.02/25/2021	TD Ameritrade	.241,000	.29,233			0
09857L-10-8	BOOKING HOLDINGS ORD		.03/16/2021	Various	.18,000	.41,709			0
15135B-10-1	CENTENE ORD		.01/27/2021	EVERCORE GROUP LLC	.180,000	.10,524			0
171439-10-2	CHURCHILL CAPITAL CL A ORD		.02/12/2021	TD Ameritrade	.1,479,000	.27,599			0
20030N-10-1	COMCAST CL A ORD		.03/08/2021	Barclays Capital, Inc.	.600,000	.32,939			0
235851-10-2	DANAHER ORD		.02/11/2021	Various	.232,000	.55,475			0
30161N-10-1	EXELON ORD		.03/16/2021	Strategas Securities LLC	.210,000	.9,124			0
37045V-10-0	GENERAL MOTORS ORD		.03/25/2021	CREDIT SUISSE, NEW YORK (CSUS)	.250,000	.13,703			0
437076-10-2	HOME DEPOT ORD		.02/03/2021	Various	.90,000	.24,669			0
482480-10-0	KLA ORD		.03/05/2021	Various	.80,000	.24,352			0
538034-10-9	LIVE NATION ENTERTAINMENT ORD		.03/04/2021	Various	.250,000	.23,157			0
594918-10-4	MICROSOFT ORD		.02/25/2021	TD Ameritrade	.236,000	.54,114			0
62914V-10-6	NIO ADS REP 1 CL A ORD	C.	.02/12/2021	TD Ameritrade	.49,000	.2,945			0
64110L-10-6	NETFLIX ORD		.01/27/2021	Strategas Securities LLC	.19,000	.10,269			0
723787-10-7	PIONEER NATURAL RESOURCE ORD		.02/25/2021	Various	.162,000	.22,323			0
74767V-10-9	QUANTUMSCAPE CL A ORD		.02/12/2021	TD Ameritrade	.66,000	.3,534			0
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		.02/05/2021	COWEN AND COMPANY LLC	.370,000	.27,000			0
78409V-10-4	S&P GLOBAL ORD		.01/07/2021	JP MORGAN SECURITIES LLC	.39,000	.12,841			0
816851-10-9	SEMPRA ENERGY ORD		.03/16/2021	Strategas Securities LLC	.68,000	.8,921			0
828806-10-9	SIMON PROP GRP REIT ORD		.03/15/2021	CITIGROUP GLOBAL MARKETS INC.	.271,000	.32,730			0
87854Y-10-9	TECHNIP ENERGIES SPNS ADR REP ORD	C.	.02/25/2021	Various	.856,600	.11,867			0
882508-10-4	TEXAS INSTRUMENTS ORD		.03/05/2021	Barclays Capital, Inc.	.67,000	.10,900			0
91913Y-10-0	VALERO ENERGY ORD		.02/25/2021	Various	.340,000	.23,242			0
00190X-10-0	AJAX I CL A ORD		.02/25/2021	TD Ameritrade	.759,000	.9,124			0
05960L-10-3	MEDTRONIC ORD	C.	.03/05/2021	LEERINK SWANN AND COMPANY	.93,000	.10,785			0
082514-10-3	SOCIAL CAP HEDOSOP HOLD IV CL A ORD		.02/25/2021	TD Ameritrade	.1,736,000	.24,840			0
08251L-10-5	SOCIAL CAP HEDOSOP HOLD VI CL A ORD		.02/25/2021	TD Ameritrade	.1,763,000	.24,515			0
08252P-10-5	SOCIAL CAP HEDOSOP HOLD V CL A ORD		.01/07/2021	Various	.5,573,000	.108,892			0
087110-10-5	TECHNIPFMC ORD	C.	.02/25/2021	TD Ameritrade	.980,000	.8,335			0
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					766,893	XXX		0	XXX
00143I-30-5	INVESCO CORE BOND Y		.03/31/2021	Various	.6,757,410	.46,500			0
015565-36-9	ALGER:SM CAP FOC Z		.02/23/2021	TD Ameritrade	.307,872	.11,448			0
015570-77-3	ALGER INST:FOCUS EOTY Z		.02/23/2021	TD Ameritrade	.165,852	.9,524			0
024526-22-0	AM BEACON:SSI A1 Y		.02/25/2021	TD Ameritrade	.31,185,104	.346,179			0
02508J-70-5	AMER CENT:SHI DUR I		.03/31/2021	Various	.5,496,608	.57,629			0
09260B-63-0	BLACKROCK:HY BD I		.03/31/2021	Various	.5,201,034	.40,854			0
128119-13-8	CALAMOS:EVOLV WLD GR I		.02/25/2021	Various	.3,737,931	.95,541			0
128119-88-0	CALAMOS:MKT NEUT INC I		.02/25/2021	TD Ameritrade	.38,265,333	.535,929			0
277923-56-1	EATON VANCE SD G INC I		.03/31/2021	Various	.7,107,121	.57,687			0
315807-86-7	FIDELITY ADV BAL INST		.02/25/2021	TD Ameritrade	.10,222,360	.276,948			0
316071-10-9	FIDELITY CONTRAFUND		.02/23/2021	TD Ameritrade	.1,331,481	.22,848			0
31635V-21-6	FIDELITY SI TR TRS BD IX		.02/26/2021	DIVIDEND REINVESTMENT	.25,226	.272			0
337390-20-0	FRST TR IV:LD OPTYS ET		.02/23/2021	TD Ameritrade	.808,000	.41,508			0
464286-52-5	ISHARES:MSCI GL MV FCTR		.02/25/2021	TD Ameritrade	.959,000	.91,383			0
464288-68-7	ISHARES:PREF AND IN SEC		.02/25/2021	Various	.1,346,000	.558,315			0
46435G-10-2	ISHARES:CONVERTIBLE BOND		.02/25/2021	TD Ameritrade	.3,286,000	.338,080			0
742530-74-7	PRINCIPAL:MIDCAP INST		.02/23/2021	TD Ameritrade	.304,517	.11,448			0
81369Y-80-3	SEL SECTOR:TECH SPDR		.02/25/2021	TD Ameritrade	.479,000	.62,359			0
921908-84-4	VANGUARD DIV A I ETF		.02/23/2021	TD Ameritrade	.319,000	.45,341			0

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation, NAIC Designation Modifier and SVO Admini- strative Symbol
921937-80-1 .....	VANGUARD INT-T B ADM .....		.03/01/2021 .....	DIVIDEND REINVESTMENT .....	54,931	684		.0	.....
92647P-65-4 .....	VICTORY!1:MKT NEU I I .....		.02/25/2021 .....	TD Ameritrade .....	52,246,604	500,024		.0	.....
9499999. Subtotal - Common Stocks - Mutual Funds					3,150,500	XXX		0	XXX
9799997. Total - Common Stocks - Part 3					3,917,393	XXX		0	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks					3,917,393	XXX		0	XXX
9899999. Total - Preferred and Common Stocks					4,475,708	XXX		0	XXX
9999999 - Totals					24,306,883	XXX		32,298	XXX

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	For- eign	Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation, NAIC Design- nation Modi- fier and SVO Adminis- trative Symbol		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value									
.912828-5X-4	UNITED STATES TREASURY		01/31/2021	Maturity @ 100.00			.475,000	.475,000	.479,379	.475,321	0	(321)	0	(321)	0	.475,000	0	0	0	0	5,938	01/31/2021	1.A
.912828-N8-9	UNITED STATES TREASURY		01/31/2021	Maturity @ 100.00			.630,000	.630,000	.634,196	.630,072	0	(72)	0	(72)	0	.630,000	0	0	0	0	4,331	01/31/2021	1.A
0599999. Subtotal - Bonds - U.S. Governments							1,105,000	1,105,000	1,113,575	1,105,393	0	(393)	0	(393)	0	1,105,000	0	0	0	0	10,269	XXX	
649791-CL-2	NEW YORK ST		03/01/2021	Maturity @ 100.00			.100,000	.100,000	.112,024	.100,422	0	(422)	0	(422)	0	.100,000	0	0	0	0	2,220	03/01/2021	1.B FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions							100,000	100,000	112,024	.100,422	0	(422)	0	(422)	0	100,000	0	0	0	0	2,220	XXX	XXX
.31320V-3Z-6	FH SD8016 - RMBS		03/01/2021	Paydown			.183,627	.183,627	.186,180	.186,379	0	(2,752)	0	(2,752)	0	.183,627	0	0	0	0	.894	10/01/2049	1.A
.3132X-C-RY-3	FH G67703 - RMBS		03/01/2021	Paydown			.47,711	.47,711	.47,179	.47,179	0	.531	0	.531	0	.47,711	0	0	0	0	.279	04/01/2047	1.A
.31335B-XF-8	FH G61578 - RMBS		03/01/2021	Paydown			.59,802	.59,802	.61,839	.62,239	0	(2,437)	0	(2,437)	0	.59,802	0	0	0	0	.402	08/01/2048	1.A
.3133L8-CR-4	FH RC1880 - RMBS		03/01/2021	Paydown			.11,902	.11,902	.12,248	.0	0	(346)	0	(346)	0	.11,902	0	0	0	0	.15	03/01/2036	1.A
.3137F3-X4-1	FHR 4764 XV - CMO/RMBS		03/01/2021	Paydown			.12,630	.12,630	.12,634	.12,622	0	.8	0	.8	0	.12,630	0	0	0	0	.74	03/15/2031	1.A
.31397S-5N-0	FNR 2011-43 B - CMO/RMBS		03/01/2021	Paydown			.39,147	.39,147	.39,086	.39,078	0	.69	0	.69	0	.39,147	0	0	0	0	.249	05/25/2031	1.A
.3140U9-CT-6	FN BM4581 - RMBS		03/01/2021	Paydown			.48,610	.48,610	.49,643	.49,532	0	(921)	0	(921)	0	.48,610	0	0	0	0	.312	09/01/2033	1.A
.3140QG-AJ-6	FN CA8108 - RMBS		03/01/2021	Paydown			.12,191	.12,191	.12,671	.12,672	0	(481)	0	(481)	0	.12,191	0	0	0	0	.38	12/01/2050	1.A
.3140X7-7H-6	FN FM4495 - RMBS		03/01/2021	Paydown			.15,729	.15,729	.16,380	.16,378	0	(649)	0	(649)	0	.15,729	0	0	0	0	.53	10/01/2050	1.A
.3140X8-6N-2	FN FM5376 - RMBS		03/01/2021	Paydown			.27,401	.27,401	.28,685	.28,689	0	(1,289)	0	(1,289)	0	.27,401	0	0	0	0	.04	01/01/2036	1.A
.31418D-04-7	FN MA4074 - RMBS		03/01/2021	Paydown			.205,396	.205,396	.212,681	.212,494	0	(7,097)	0	(7,097)	0	.205,396	0	0	0	0	.683	07/01/2035	1.A
.31418D-U4-2	FN MA4202 - RMBS		03/01/2021	Paydown			.22,626	.22,626	.23,012	.23,004	0	(378)	0	(378)	0	.22,626	0	0	0	0	.58	12/01/2040	1.A
.31418D-U8-3	FN MA4206 - RMBS		03/01/2021	Paydown			.35,182	.35,182	.36,609	.36,581	0	(1,399)	0	(1,399)	0	.35,182	0	0	0	0	.124	01/01/2035	1.A
.31418D-VB-5	FN MA4209 - RMBS		03/01/2021	Paydown			.19,682	.19,682	.19,875	.19,872	0	(190)	0	(190)	0	.19,682	0	0	0	0	.48	12/01/2050	1.A
3199999. Subtotal - Bonds - U.S. Special Revenues							741,636	741,636	758,599	746,717	0	(17,329)	0	(17,329)	0	741,636	0	0	0	0	3,322	XXX	XXX
.02377L-AA-2	AAL 2019-1 AA - ABS		02/15/2021	Paydown			.9,686	.9,686	.9,686	.9,686	0	0	0	0	0	.9,686	0	0	0	0	.153	08/15/2033	2.A FE
.07330N-AJ-4	TRUIST BANK		03/01/2021	Call @ 100.00			.500,000	.500,000	.517,035	.500,598	0	(598)	0	(598)	0	.500,000	0	0	0	0	.538	04/01/2021	1.FE
.10112R-AS-3	BOSTON PROPERTIES LP		02/14/2021	Redemption @ 100.00			.350,000	.350,000	.373,965	.350,640	0	(626)	0	(626)	0	.350,014	0	(14)	(14)	0	.3569	05/15/2021	2.A FE
.14314J-AD-2	CARMX 2017-1 A4 - ABS		03/15/2021	Paydown			.300,926	.300,926	.300,837	.300,918	0	.8	0	.8	0	.300,926	0	0	0	0	.1,425	09/15/2022	1.A FE
.25468P-DM-5	TWDC ENTERPRISES 18 CORP		02/10/2021	Paydown			.524,355	.524,355	.500,000	.446,170	0	.766	0	.766	0	.459,646	0	.64,709	.64,709	.4,933	07/30/2026	1.G FE	
.30231G-AV-4	EXXON MOBIL CORP		03/01/2021	Maturity @ 100.00			.695,000	.695,000	.701,954	.695,176	0	(176)	0	(176)	0	.695,000	0	0	0	0	.7,721	03/01/2021	1.D FE
.31435S-AA-1	FEDERAL EXPRESS CORPORATION 2020-1 PASS		02/20/2021	Paydown			.26,736	.26,736	.26,736	.26,736	0	0	0	0	0	.26,736	0	0	0	0	.260	08/15/2035	1.D FE
.378272-AT-5	GLENCORE FUNDING LLC		02/26/2021	JP MORGAN SECURITIES			.435,608	.435,608	.400,000	.398,108	0	.61	0	.61	0	.398,808	0	.36,800	.36,800	.7,792	03/12/2024	2.A FE	
.38937L-AB-7	GRAY OAK PIPELINE LLC		02/02/2021	JP MORGAN SECURITIES			.627,678	.627,678	.600,000	.599,982	0	.3	0	.3	0	.599,993	0	.27,685	.27,685	.7,993	10/15/2027	2.C FE	
.44935R-AC-2	HALST 19B A3 - ABS		03/15/2021	Paydown			.2,473	.2,473	.2,473	.2,472	0	.1	0	.1	0	.2,473	0	0	0	0	.13	08/15/2022	1.A FE
.654740-AN-9	NISSAN MOTOR ACCEPTANCE CORP		03/08/2021	Maturity @ 100.00			.500,000	.500,000	.490,425	.499,348	0	.652	0	.652	0	.500,000	0	0	0	0	.6,375	03/08/2021	2.C FE
.67459P-CR-4	OCCIDENTAL PETROLEUM CORP		01/13/2021	Paydown			.725,625	.725,625	.750,000	.749,483	0	.48,330	0	.48,332	0	.749,582	0	(23,957)	(23,957)	.10,000	08/15/2026	3.B FE	
.69353H-EW-4	PNC BANK NA		03/30/2021	Call @ 100.00			.600,000	.600,000	.609,114	.600,504	0	(504)	0	(504)	0	.600,000	0	0	0	0	.5,411	04/29/2021	1.F FE
.756109-AN-4	REALTY INCOME CORP		01/08/2021	Call @ 100.00			.787,239	.787,239	.750,000	.757,283	0	(42)	0	(42)	0	.753,432	0	(3,432)	(3,432)	.42,858	04/15/2022	1.G FE	
.78012K-KU-0	ROYAL BANK OF CANADA	C	01/19/2021	Maturity @ 100.00			.620,000	.620,000	.622,868	.620,036	0	(36)	0	(36)	0	.620,000	0	0	0	0	.7,750	01/19/2021	1.B FE
.828807-CX-3	SIMON PROPERTY GROUP LP		01/27/2021	Call @ 100.00			.175,855	.175,855	.175,000	.178,738	0	(56)	0	(56)	0	.175,171	0	(171)	(171)	.3,188	07/15/2021	1.G FE	
.88161N-AA-7	TESLA 2018-B A - ABS		03/20/2021	Paydown			.94,885	.94,885	.94,878	.94,884	0	.1	0	.1	0	.94,885	0	0	0	0	.553	08/20/2021	1.A FE
.89233P-4S-2	TOYOTA MOTOR CREDIT CORP		01/11/2021	Maturity @ 100.00			.660,000	.660,000	.700,527	.660,356	0	(356)	0	(356)	0	.660,000	0	0	0	0	.14,025	01/11/2021	1.E FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							7,636,065	7,636,065	7,534,706	7,448,922	48,330	(900)	0	47,429									

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	For- eign	Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation, NAIC Design- ation Modifier and SVO Adminis- trative Symbol		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Change in Book/ Adjusted Carrying Value									
8999998. Total - Preferred Stocks - Part 5							XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
..00206R-10-2 AT&T ORD			..02/25/2021	TD Ameritrade	2,000,000	..57,349		55,460	57,520	(2,060)	0	0	0	0	0	0	55,460	0	1,890	1,890	1,890	1,040	
..03027X-10-0 AMERICAN TOWER REIT			..03/15/2021	DEUTSCHE BK SEC'S INC, NY (NWISCU533)	42,000	9,073		10,319	9,427	891	0	0	0	891	0	10,319	0	(1,245)	(1,245)	51			
..037833-10-0 APPLE ORD			..03/08/2021	Various	494,000	61,267		33,704	65,549	(31,845)	0	0	0	(31,845)	0	33,704	0	27,563	27,563	93			
..110122-10-8 BRISTOL MYERS SQUIBB ORD			..01/27/2021	EVERCORE GROUP LLC	170,000	10,579		10,789	10,545	244	0	0	0	244	0	10,789	0	(210)	(210)	83			
..150870-10-3 CELANESE ORD			..02/04/2021	NY MORGAN STANLEY & CO INC,	83,000	10,449		9,351	10,785	(1,434)	0	0	0	(1,434)	0	9,351	0	1,098	1,098	0			
..16119P-10-8 CHARTER COMMUNICATIONS CL A ORD			..03/05/2021	Various	37,000	22,763		18,316	24,477	(6,161)	0	0	0	(6,161)	0	18,316	0	4,447	4,447	0			
..191216-10-0 COCA-COLA ORD			..02/03/2021	Strategas Securities LLC	140,000	6,835		7,588	7,678	(90)	0	0	0	(90)	0	7,588	0	(753)	(753)	0			
..20030N-10-1 COMCAST CL A ORD			..01/27/2021	Goldman Sachs & Co, NY	220,000	10,740		7,991	11,528	(3,537)	0	0	0	(3,537)	0	7,991	0	2,749	2,749	51			
..22160K-10-5 COSTCO WHOLESALE ORD			..03/05/2021	MERRILL LYNCH, PIERCE,	35,000	10,797		5,252	13,187	(7,935)	0	0	0	(7,935)	0	5,252	0	5,545	5,545	25			
..244199-10-5 DEERE ORD			..02/05/2021	FENNER & SMITH INC	44,000	13,616		7,318	11,838	(4,520)	0	0	0	(4,520)	0	7,318	0	6,298	6,298	33			
..254687-10-6 WALT DISNEY ORD			..01/15/2021	JP MORGAN SECURITIES LLC	77,000	13,158		8,551	13,951	(5,400)	0	0	0	(5,400)	0	8,551	0	4,607	4,607	0			
..256677-10-5 DOLLAR GENERAL ORD			..03/01/2021	WELLS FARGO SECURITIES LLC	102,000	19,491		18,380	21,451	(3,071)	0	0	0	(3,071)	0	18,380	0	1,111	1,111	37			
..29444U-70-0 EQUINIX REIT ORD			..03/15/2021	BERNSTEIN (SANFORD C) & CO	35,000	23,463		21,466	24,996	(3,531)	0	0	0	(3,531)	0	21,466	0	1,998	1,998	100			
..46625H-10-0 JPMORGAN CHASE ORD			..01/07/2021	JP MORGAN SECURITIES LLC	54,000	7,372		6,804	6,862	(58)	0	0	0	(58)	0	6,804	0	568	568	49			
..574599-10-6 MASCO ORD			..02/25/2021	TD Ameritrade	464,000	24,555		24,050	25,488	(1,438)	0	0	0	(1,438)	0	24,050	0	505	505	65			
..594918-10-4 MICROSOFT ORD			..02/08/2021	TD Ameritrade	39,000	9,411		6,684	8,674	(1,990)	0	0	0	(1,990)	0	6,684	0	2,726	2,726	0			
..62914V-10-6 NIO ADS REP 1 CL A ORD			..02/25/2021	Strategas Securities LLC	712,000	33,312		28,208	34,703	(6,495)	0	0	0	(6,495)	0	28,208	0	5,104	5,104	0			
..65339F-10-1 NEXTERA ENERGY ORD			..03/16/2021	Strategas Securities LLC	240,000	18,131		10,258	18,516	(8,258)	0	0	0	(8,258)	0	10,258	0	7,873	7,873	92			
..67066G-10-4 NVIDIA ORD			..03/05/2021	Various	77,000	38,979		21,253	40,209	(18,956)	0	0	0	(18,956)	0	21,253	0	17,726	17,726	0			
..67103H-10-7 O'REILLY AUTOMOTIVE ORD			..03/16/2021	RBC CAPITAL MARKETS, LLC	52,000	24,404		22,058	23,534	(1,476)	0	0	0	(1,476)	0	22,058	0	2,347	2,347	0			
..68902V-10-7 OTIS WORLDWIDE ORD			..03/25/2021	MORGAN STANLEY & CO INC,	210,000	14,116		12,533	14,186	(1,653)	0	0	0	(1,653)	0	12,533	0	1,583	1,583	42			
..70450Y-10-3 PAYPAL HOLDINGS ORD			..02/25/2021	NY	35,000	8,933		3,786	8,197	(4,411)	0	0	0	(4,411)	0	3,786	0	5,147	5,147	0			
..717081-10-3 PFIZER ORD			..02/09/2021	Various	1,280,000	44,568		44,747	47,117	(2,370)	0	0	0	(2,370)	0	44,747	0	(179)	(179)	499			
..742718-10-9 PROCTER & GAMBLE ORD			..03/11/2021	Various	124,000	15,821		14,812	17,253	(2,442)	0	0	0	(2,442)	0	14,812	0	1,009	1,009	98			
..74767V-10-9 QUANTUMSCAPE CL A ORD			..02/25/2021	TD Ameritrade	543,000	31,320		30,375	45,856	(15,481)	0	0	0	(15,481)	0	30,375	0	945	945	0			
..806857-10-8 SCHLUMBERGER ORD			..02/25/2021	TD Ameritrade	1,132,000	32,347		25,943	24,712	1,232	0	0	0	1,232	0	25,943	0	6,403	6,403	0			
..808513-10-5 CHARLES SCHWAB ORD			..02/19/2021	JEFFERIES & CO INC, NEW YORK	570,000	35,187		16,275	30,233	(13,958)	0	0	0	(13,958)	0	16,275	0	18,913	18,913	103			
..852234-10-3 SQUARE CL A ORD			..02/08/2021	Various	76,000	18,171		5,500	16,541	(11,041)	0	0	0	(11,041)	0	5,500	0	12,671	12,671	0			
..87854Y-10-9 TECHNIP ENERGIES SPNS ADR REP ORD			..02/25/2021	TENDER	0,600	8		8	0	0	0	0	0	0	0	0	8	0	(1)	(1)	0		
..898320-10-9 TRUIST FINANCIAL ORD			..01/07/2021	JP MORGAN SECURITIES LLC	120,000	6,186		6,504	5,752	752	0	0	0	752	0	6,504	0	(318)	(318)	0			
..93114Z-10-3 WALMART ORD			..02/25/2021	TD Ameritrade	100,000	13,192		14,755	14,415	340	0	0	0	340	0	14,755	0	(1,563)	(1,563)	269			
..G8994E-10-3 TRANE TECHNOLOGIES ORD			..02/05/2021	MERRILL LYNCH, PIERCE,	94,000	14,062		8,676	13,645	(4,969)	0	0	0	(4,969)	0	8,676	0	5,387	5,387	0			
..G9103H-10-2 TRITERRAS CL A ORD			..01/14/2021	TD Ameritrade	1,936,000	20,905		23,138	21,354	1,783	0	0	0	1,783	0	23,138	0	(2,233)	(2,233)	0			
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					680,560	XXX		540,849	700,178	(159,337)	0	0	0	(159,337)	0	540,849	0	139,711	139,711	2,730	XXX	XXX	
..00143H-30-5 INVESCO CORE BOND Y			..02/25/2021	TD Ameritrade	41,417,820	280,789		291,841	269,321	3,495	0	0	0	3,495	0	291,841	0	(11,052)	(11,052)	708			

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain or Loss on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Adminis- trative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
.015565-36-9	ALGER:SM CAP FOC Z		.02/25/2021	TD Ameritrade	1,018,286	34,873	26,849	33,573	(6,724)	0	0	0	(6,724)	0	26,849	0	8,024	8,024	0		
.015570-77-3	ALGER INST:FOCUS EQTY Z		.02/25/2021	TD Ameritrade	2,988,961	167,089	165,529	156,259	(254)	0	0	0	(254)	0	165,529	0	1,560	1,560	0		
.02508J-70-5	AMER CENT:SHT DUR I		.02/25/2021	TD Ameritrade	41,210,427	431,037	428,254	406,555	(2,075)	0	0	0	(2,075)	0	428,254	0	2,783	2,783	646		
.09260B-63-0	BLACKROCK:HY BD I		.02/25/2021	TD Ameritrade	37,306,841	290,969	282,895	274,080	(7,834)	0	0	0	(7,834)	0	282,895	0	8,074	8,074	2,114		
.316071-10-9	FIDELITY CONTRAFUND		.02/25/2021	TD Ameritrade	2,257,094	40,921	37,773	37,829	(56)	0	0	0	(56)	0	37,773	0	3,148	3,148	0		
.337390-20-0	FRST TR IV:LD OPPYTS ETF		.02/25/2021	TD Ameritrade	486,000	24,817	24,925	0	0	0	0	0	0	0	24,925	0	(107)	(107)	0		
.464287-23-4	ISHARES:MSCI EM MKTS		.02/11/2021	TD Ameritrade	1,008,000	58,392	47,416	52,083	(4,667)	0	0	0	(4,667)	0	47,416	0	10,976	10,976	0		
.464288-68-7	ISHARES:PREI AND INC SEC		.03/01/2021	Adjustment	0,000	1,065,908	558,315	1,063,100	(47,914)	0	0	0	(47,914)	0	1,065,908	0	0	0	0		
.742530-74-7	PRINCIPAL:MIDCAP INST		.02/25/2021	TD Ameritrade	748,696	27,326	24,198	27,065	(2,867)	0	0	0	(2,867)	0	24,198	0	3,128	3,128	0		
.921908-84-4	VANGUARD DIV A I ETF		.02/25/2021	TD Ameritrade	2,360,000	331,307	316,612	314,244	(16,554)	0	0	0	(16,554)	0	316,612	0	14,695	14,695	0		
9499999. Subtotal - Common Stocks - Mutual Funds					2,753,427	XXX	2,204,606	2,634,110	(85,450)	0	0	0	(85,450)	0	2,712,199	0	41,228	41,228	3,468	XXX	XXX
9799997. Total - Common Stocks - Part 4					3,433,987	XXX	2,745,455	3,334,288	(244,787)	0	0	0	(244,787)	0	3,253,048	0	180,939	180,939	6,198	XXX	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks					3,433,987	XXX	2,745,455	3,334,288	(244,787)	0	0	0	(244,787)	0	3,253,048	0	180,939	180,939	6,198	XXX	XXX
9899999. Total - Preferred and Common Stocks					3,433,987	XXX	2,745,455	3,334,288	(244,787)	0	0	0	(244,787)	0	3,253,048	0	180,939	180,939	6,198	XXX	XXX
9999999 - Totals					14,001,824	XXX	13,319,260	13,727,786	(198,095)	(19,044)	0	0	(217,139)	0	13,705,382	0	258,348	258,348	153,728	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

## STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Fifth Third Bank .....	Cincinnati, Ohio .....	0.000	.0	.0	13,568,927	5,122,725	6,397,541	XXX
Fifth Third Bank .....	Cincinnati, Ohio .....				100,000	100,000	100,000	XXX
Fifth Third Bank .....	Cincinnati, Ohio .....				2,485,879	2,485,879	5,051,816	XXX
Fifth Third Bank .....	Cincinnati, Ohio .....				2,345,266	2,584,161	4,239,907	XXX
TD Ameritrade .....					2,950	2,500	28,248	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0				XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	18,503,022	10,295,265	15,817,512	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	18,503,022	10,295,265	15,817,512	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	18,503,022	10,295,265	15,817,512	XXX

STATEMENT AS OF MARCH 31, 2021 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						0	0	0
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						0	0	0
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						0	0	0
31846V-41-9	FIRST AMER:TRS OBG V	SD.....	03/02/2021	.010		50,000	.0	.1
94975H-29-6	WELLSFARGO:TRS+ MM I	SD.....	03/02/2021	.010		25,052	.0	.1
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						75,052	0	1
000000-00-0	MMDA12 .....		03/31/2021	.000		2,212,505	.0	.5
60934N-80-7	FEDERATED HIMS GV O SVC .....		03/31/2021	.040		1,228,810	.88	.0
60934N-80-7	FEDERATED HIMS GV O SVC .....	SD.....	03/31/2021	.040		1,625	.0	.0
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD.....	03/01/2021	.000		.0	.0	.0
991061-05-2	CRA (BNY MELLON, N.A., MEMBER FDIC)	SD.....	03/31/2021	.000		1,117,581	.0	.10
8699999. Subtotal - All Other Money Market Mutual Funds						4,560,520	88	16
9999999 - Total Cash Equivalents						4,635,572	88	17