



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF MARCH 31, 2021
OF THE CONDITION AND AFFAIRS OF THE

United Ohio Insurance Company

NAIC Group Code 0963 (Current) 0963 (Prior) NAIC Company Code 13072 Employer's ID Number 34-1008736
State of Domicile or Port of Entry OH

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/01/1966 Commenced Business 03/01/1967

Statutory Home Office 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1725 Hopley Avenue
(Street and Number)
Bucyrus, OH, US 44820-0111 419-562-3011
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records _____ 1725 Hopley Avenue
(Street and Number)
Bucyrus, OH, US 44820-0111 _____, _____ 419-562-3011
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address _____ www.omig.com

Statutory Statement Contact Charles Elmer Easum Mr., 419-563-0810
(Name) (Area Code) (Telephone Number)
ceasum@omig.com, 877-753-0580
(E-mail Address) (FAX Number)

OFFICERS

President Mark Clarence Russell, Mr. Secretary Randy Lee Walker, Mr.
Treasurer David Gary Hendrix, Mr.

OTHER

| | | |
|---|--|--|
| Howard Lowell Barber, Mr., Vice President Sales | Todd Marshall Boyer Mr. #, Vice President Corporate Communications | Chad Philip Combs, Mr., Vice President Personal Lines Underwriting |
| John Richard DeLucia, Mr., Vice President Claims | David Alan Grove, Mr., Vice President Product Management | Gary Thomas Johnson, Mr., Vice President Commercial Lines Underwriting |
| Susan Elizabeth Kent, Mrs., Vice President Business Analytics | James Bradly McCormack, Mr., Vice President Information Systems | Marcella Sloane Smith, Mrs., Vice President Human Resources |

DIRECTORS OR TRUSTEES

State of Ohio SS: _____
County of Crawford _____

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mark Clarence Russell
President and CEO

David Gary Hendrix
Treasurer and CFO

Marcella Sloane Smith
Assistant Secretary

Subscribed and sworn to before me this
____ day of _____

a. Is this an original filing? Yes [] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

ASSETS

| | Current Statement Date | | | 4 December 31 Prior Year Net Admitted Assets |
|---|------------------------|-------------------------|---|---|
| | 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | |
| 1. Bonds | 292,612,083 | | 292,612,083 | 291,210,540 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | 6,232,978 | | 6,232,978 | 6,153,819 |
| 2.2 Common stocks | 21,659,984 | | 21,659,984 | 20,495,648 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | | |
| 3.2 Other than first liens | | | | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less \$ encumbrances) | | | | |
| 4.2 Properties held for the production of income (less \$ encumbrances) | | | | |
| 4.3 Properties held for sale (less \$ encumbrances) | | | | |
| 5. Cash (\$17,231,952), cash equivalents (\$8,402,651) and short-term investments (\$) | 25,634,604 | | 25,634,604 | 19,177,430 |
| 6. Contract loans (including \$ premium notes) | | | | |
| 7. Derivatives | | | | |
| 8. Other invested assets | | | | |
| 9. Receivables for securities | | | | |
| 10. Securities lending reinvested collateral assets | | | | |
| 11. Aggregate write-ins for invested assets | | | | |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) | 346,139,649 | | 346,139,649 | 337,037,437 |
| 13. Title plants less \$ charged off (for Title insurers only) | | | | |
| 14. Investment income due and accrued | 2,084,008 | | 2,084,008 | 1,999,818 |
| 15. Premiums and considerations: | | | | |
| 15.1 Uncollected premiums and agents' balances in the course of collection | 5,085,817 | | 5,085,817 | 5,908,738 |
| 15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) | 40,531,989 | | 40,531,989 | 39,935,551 |
| 15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$) | | | | |
| 16. Reinsurance: | | | | |
| 16.1 Amounts recoverable from reinsurers | 108,994 | | 108,994 | 366,355 |
| 16.2 Funds held by or deposited with reinsured companies | | | | |
| 16.3 Other amounts receivable under reinsurance contracts | | | | 58,022 |
| 17. Amounts receivable relating to uninsured plans | | | | |
| 18.1 Current federal and foreign income tax recoverable and interest thereon | 1,511,822 | | 1,511,822 | 2,775,569 |
| 18.2 Net deferred tax asset | 4,987,616 | | 4,987,616 | 5,141,816 |
| 19. Guaranty funds receivable or on deposit | | | | |
| 20. Electronic data processing equipment and software | | | | |
| 21. Furniture and equipment, including health care delivery assets (\$) | | | | |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates | | | | |
| 23. Receivables from parent, subsidiaries and affiliates | 1,704,431 | | 1,704,431 | 1,571,757 |
| 24. Health care (\$) and other amounts receivable | | | | |
| 25. Aggregate write-ins for other than invested assets | 24,128,651 | 390,165 | 23,738,486 | 23,727,297 |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) | 426,282,977 | 390,165 | 425,892,812 | 418,522,360 |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | | |
| 28. Total (Lines 26 and 27) | 426,282,977 | 390,165 | 425,892,812 | 418,522,360 |
| DETAILS OF WRITE-INS | | | | |
| 1101. | | | | |
| 1102. | | | | |
| 1103. | | | | |
| 1198. Summary of remaining write-ins for Line 11 from overflow page | | | | |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) | | | | |
| 2501. Prepaid Insurance Premiums | 390,165 | 390,165 | | |
| 2502. Company Owned Life Insurance | 21,240,388 | | 21,240,388 | 20,973,346 |
| 2503. Non-Qualified Retirement Plan | 2,498,098 | | 2,498,098 | 2,753,951 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | | | | |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 24,128,651 | 390,165 | 23,738,486 | 23,727,297 |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1 Current Statement Date | 2 December 31, Prior Year |
|--|--------------------------------|---------------------------------|
| 1. Losses (current accident year \$ 24,669,394) | 65,866,670 | 64,254,892 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses | | |
| 3. Loss adjustment expenses | 16,365,879 | 15,553,704 |
| 4. Commissions payable, contingent commissions and other similar charges | 3,484,499 | 5,446,169 |
| 5. Other expenses (excluding taxes, licenses and fees) | 6,942,664 | 9,430,350 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes) | 610,277 | 284,929 |
| 7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses)) | | |
| 7.2 Net deferred tax liability | | |
| 8. Borrowed money \$ and interest thereon \$ | | |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 81,857,526 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act) | 84,671,004 | 84,409,894 |
| 10. Advance premium | 1,984,333 | 1,012,440 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders | | |
| 11.2 Policyholders | | |
| 12. Ceded reinsurance premiums payable (net of ceding commissions) | 63,556 | 203,797 |
| 13. Funds held by company under reinsurance treaties | 1,029,497 | 1,151,220 |
| 14. Amounts withheld or retained by company for account of others | 675,180 | 678,976 |
| 15. Remittances and items not allocated | 107,571 | 99,639 |
| 16. Provision for reinsurance (including \$ certified) | | |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates | | |
| 18. Drafts outstanding | | |
| 19. Payable to parent, subsidiaries and affiliates | | |
| 20. Derivatives | | |
| 21. Payable for securities | 2,000,000 | |
| 22. Payable for securities lending | | |
| 23. Liability for amounts held under uninsured plans | | |
| 24. Capital notes \$ and interest thereon \$ | | |
| 25. Aggregate write-ins for liabilities | 12,838,062 | 12,901,389 |
| 26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) | 196,639,192 | 195,427,399 |
| 27. Protected cell liabilities | | |
| 28. Total liabilities (Lines 26 and 27) | 196,639,192 | 195,427,399 |
| 29. Aggregate write-ins for special surplus funds | | |
| 30. Common capital stock | 2,500,000 | 2,500,000 |
| 31. Preferred capital stock | | |
| 32. Aggregate write-ins for other than special surplus funds | | |
| 33. Surplus notes | | |
| 34. Gross paid in and contributed surplus | 3,715,912 | 3,715,912 |
| 35. Unassigned funds (surplus) | 223,037,708 | 216,879,049 |
| 36. Less treasury stock, at cost: | | |
| 36.1 shares common (value included in Line 30 \$) | | |
| 36.2 shares preferred (value included in Line 31 \$) | | |
| 37. Surplus as regards policyholders (Lines 29 to 35, less 36) | 229,253,620 | 223,094,961 |
| 38. Totals (Page 2, Line 28, Col. 3) | 425,892,812 | 418,522,360 |
| DETAILS OF WRITE-INS | | |
| 2501. Pension Obligations | 10,339,964 | 10,147,438 |
| 2502. Non-Qualified Retirement Plan | 2,498,098 | 2,753,951 |
| 2503. | | |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | | |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) | 12,838,062 | 12,901,389 |
| 2901. | | |
| 2902. | | |
| 2903. | | |
| 2998. Summary of remaining write-ins for Line 29 from overflow page | | |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) | | |
| 3201. | | |
| 3202. | | |
| 3203. | | |
| 3298. Summary of remaining write-ins for Line 32 from overflow page | | |
| 3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above) | | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
STATEMENT OF INCOME

| | 1 Current Year to Date | 2 Prior Year to Date | 3 Prior Year Ended December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| UNDERWRITING INCOME | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct (written \$ 43,141,575) | 42,393,517 | 42,934,795 | 170,282,596 |
| 1.2 Assumed (written \$ 43,676,602) | 43,415,491 | 42,755,867 | 170,394,373 |
| 1.3 Ceded (written \$ 43,165,144) | 42,417,087 | 42,960,160 | 170,386,615 |
| 1.4 Net (written \$ 43,653,033) | 43,391,921 | 42,730,502 | 170,290,354 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year \$ 34,195,678): | | | |
| 2.1 Direct | 20,010,866 | 15,793,665 | 80,302,389 |
| 2.2 Assumed | 22,060,382 | 17,970,582 | 90,804,145 |
| 2.3 Ceded | 20,010,866 | 15,793,665 | 80,302,389 |
| 2.4 Net | 22,060,382 | 17,970,582 | 90,804,145 |
| 3. Loss adjustment expenses incurred | 3,815,693 | 3,243,349 | 13,908,811 |
| 4. Other underwriting expenses incurred | 13,640,938 | 13,761,104 | 56,768,132 |
| 5. Aggregate write-ins for underwriting deductions | | | |
| 6. Total underwriting deductions (Lines 2 through 5) | 39,517,013 | 34,975,035 | 161,481,088 |
| 7. Net income of protected cells | | | |
| 8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7) | 3,874,908 | 7,755,467 | 8,809,266 |
| INVESTMENT INCOME | | | |
| 9. Net investment income earned | 1,941,133 | 2,057,471 | 7,981,772 |
| 10. Net realized capital gains (losses) less capital gains tax of \$ 16,702 | 62,830 | 57,382 | 120,316 |
| 11. Net investment gain (loss) (Lines 9 + 10) | 2,003,963 | 2,114,853 | 8,102,088 |
| OTHER INCOME | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 46,283 amount charged off \$ 217,238) | (170,955) | (226,109) | (1,062,203) |
| 13. Finance and service charges not included in premiums | 665,887 | 708,477 | 2,585,918 |
| 14. Aggregate write-ins for miscellaneous income | 310,716 | (1,602,447) | 2,987,138 |
| 15. Total other income (Lines 12 through 14) | 805,648 | (1,120,079) | 4,510,853 |
| 16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15) | 6,684,519 | 8,750,241 | 21,422,207 |
| 17. Dividends to policyholders | | | |
| 18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17) | 6,684,519 | 8,750,241 | 21,422,207 |
| 19. Federal and foreign income taxes incurred | 1,247,046 | 1,945,132 | 3,867,810 |
| 20. Net income (Line 18 minus Line 19)(to Line 22) | 5,437,473 | 6,805,109 | 17,554,397 |
| CAPITAL AND SURPLUS ACCOUNT | | | |
| 21. Surplus as regards policyholders, December 31 prior year | 223,094,961 | 205,673,769 | 205,673,769 |
| 22. Net income (from Line 20) | 5,437,473 | 6,805,109 | 17,554,397 |
| 23. Net transfers (to) from Protected Cell accounts | | | |
| 24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 193,079 | 726,344 | (3,140,769) | 2,605,821 |
| 25. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 26. Change in net deferred income tax | 38,879 | (94,361) | 480,814 |
| 27. Change in nonadmitted assets | (44,037) | (18,880) | 11,511 |
| 28. Change in provision for reinsurance | | | |
| 29. Change in surplus notes | | | |
| 30. Surplus (contributed to) withdrawn from protected cells | | | |
| 31. Cumulative effect of changes in accounting principles | | | |
| 32. Capital changes: | | | |
| 32.1 Paid in | | | |
| 32.2 Transferred from surplus (Stock Dividend) | | | |
| 32.3 Transferred to surplus | | | |
| 33. Surplus adjustments: | | | |
| 33.1 Paid in | | | |
| 33.2 Transferred to capital (Stock Dividend) | | | |
| 33.3 Transferred from capital | | | |
| 34. Net remittances from or (to) Home Office | | | |
| 35. Dividends to stockholders | | | |
| 36. Change in treasury stock | | | |
| 37. Aggregate write-ins for gains and losses in surplus | | | (3,231,351) |
| 38. Change in surplus as regards policyholders (Lines 22 through 37) | 6,158,659 | 3,551,099 | 17,421,192 |
| 39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38) | 229,253,620 | 209,224,868 | 223,094,961 |
| DETAILS OF WRITE-INS | | | |
| 0501. | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page | | | |
| 0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above) | | | |
| 1401. Company Owned Life Insurance | 267,042 | (1,612,668) | 2,191,244 |
| 1402. Other Income | 43,674 | 10,221 | 65,940 |
| 1403. Non-Qualified Retirement Plan | | | 729,954 |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | | | |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) | 310,716 | (1,602,447) | 2,987,138 |
| 3701. Change in net liability for retirement plans | | | (2,246,715) |
| 3702. Non-Qualified Retirement Plan | | | (729,954) |
| 3703. Correction of prior period error | | | (254,682) |
| 3798. Summary of remaining write-ins for Line 37 from overflow page | | | |
| 3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above) | | | (3,231,351) |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
CASH FLOW

| | 1 Current Year To Date | 2 Prior Year To Date | 3 Prior Year Ended December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| Cash from Operations | | | |
| 1. Premiums collected net of reinsurance | 44,769,188 | 42,012,086 | 171,362,428 |
| 2. Net investment income | 2,316,552 | 2,459,515 | 10,169,016 |
| 3. Miscellaneous income | 538,606 | 492,589 | 1,589,655 |
| 4. Total (Lines 1 to 3) | 47,624,346 | 44,964,190 | 183,121,099 |
| 5. Benefit and loss related payments | 20,191,243 | 20,584,435 | 84,982,922 |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts | | | |
| 7. Commissions, expenses paid and aggregate write-ins for deductions | 20,771,018 | 21,048,762 | 67,604,203 |
| 8. Dividends paid to policyholders | | | |
| 9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) | 16,702 | | 5,013,462 |
| 10. Total (Lines 5 through 9) | 40,962,261 | 41,633,197 | 157,600,587 |
| 11. Net cash from operations (Line 4 minus Line 10) | 6,662,085 | 3,330,993 | 25,520,512 |
| Cash from Investments | | | |
| 12. Proceeds from investments sold, matured or repaid: | | | |
| 12.1 Bonds | 17,019,771 | 11,550,746 | 46,151,414 |
| 12.2 Stocks | | 921,755 | 2,947,665 |
| 12.3 Mortgage loans | | | |
| 12.4 Real estate | | | |
| 12.5 Other invested assets | | | |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments | | | |
| 12.7 Miscellaneous proceeds | 2,000,000 | 114,600 | |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) | 19,019,771 | 12,587,101 | 49,099,079 |
| 13. Cost of investments acquired (long-term only): | | | |
| 13.1 Bonds | 18,850,458 | 13,588,504 | 67,872,404 |
| 13.2 Stocks | 272,450 | 3,121,726 | 4,947,406 |
| 13.3 Mortgage loans | | | |
| 13.4 Real estate | | | |
| 13.5 Other invested assets | | | |
| 13.6 Miscellaneous applications | | 140,357 | |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) | 19,122,908 | 16,850,587 | 72,819,810 |
| 14. Net increase (or decrease) in contract loans and premium notes | | | |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) | (103,137) | (4,263,486) | (23,720,731) |
| Cash from Financing and Miscellaneous Sources | | | |
| 16. Cash provided (applied): | | | |
| 16.1 Surplus notes, capital notes | | | |
| 16.2 Capital and paid in surplus, less treasury stock | | | |
| 16.3 Borrowed funds | | (10) | (2,003,686) |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities | | | |
| 16.5 Dividends to stockholders | | | |
| 16.6 Other cash provided (applied) | (101,776) | 559,520 | 391,241 |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) | (101,776) | 559,510 | (1,612,445) |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS | | | |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) | 6,457,172 | (372,983) | 187,336 |
| 19. Cash, cash equivalents and short-term investments: | | | |
| 19.1 Beginning of year | 19,177,430 | 18,990,094 | 18,990,094 |
| 19.2 End of period (Line 18 plus Line 19.1) | 25,634,602 | 18,617,111 | 19,177,430 |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

| | | | |
|--|--|--|--|
| | | | |
|--|--|--|--|

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of United Ohio Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (ODI).

The ODI recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* has been adopted as a component of prescribed or permitted accounting practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC Statutory Accounting Practices and practices prescribed and permitted by the State of Ohio is shown below:

| | F/S SSAP # | F/S Page | F/S Line # | 03/31/2021 | 12/31/2020 |
|---|---------------|-------------|---------------|----------------|----------------|
| NET INCOME | | | | | |
| (1) United Ohio Insurance Company state basis (Page 4, Line 20, Columns 1 & 3) | XXX | XXX | XXX | \$ 5,437,473 | \$ 17,554,397 |
| (2) State Prescribed Practices that increase/(decrease) NAIC SAP: | _____ | _____ | _____ | _____ | _____ |
| (3) State Permitted Practices that increase/(decrease) NAIC SAP: | _____ | _____ | _____ | _____ | _____ |
| (4) NAIC SAP | (1-2-3-4) | XXX | XXX | \$ 5,437,473 | \$ 17,554,397 |
| SURPLUS | | | | | |
| (5) United Ohio Insurance Company state basis (Page 3, Line 37, Columns 1 & 2) | XXX | XXX | XXX | \$ 229,253,620 | \$ 223,094,961 |
| (6) State Prescribed Practices that increase/(decrease) NAIC SAP: | _____ | _____ | _____ | _____ | _____ |
| (7) State Permitted Practices that increase/(decrease) NAIC SAP: | _____ | _____ | _____ | _____ | _____ |
| (8) NAIC SAP | (5-6-7=8) | XXX | XXX | \$ 229,253,620 | \$ 223,094,961 |

B. Use of Estimates in the Preparation of the Financial Statements – No Change

C. Accounting Policy – No Change

D. Going Concern

Management has evaluated the financial statements and determined that there are no going concern issues to report.

2. Accounting Changes and Corrections of Errors – No Change

3. Business Combinations and Goodwill – No Change

4. Discontinued Operations – No Change

5. Investments

- A. The Company has no mortgage loans.
- B. The Company has no debt restructuring.
- C. The Company has no reverse mortgages.
- D. Loan-Backed Securities

- (1) Prepayment assumptions for Mortgage-backed securities, Collateralized Mortgage Obligations and Other Structured Securities were generated using a purchased prepayment model. The prepayment model uses a number of factors to estimate prepayment activity including the time of year (seasonality), current levels of interest rates (refinancing incentive), economic activity (including housing turnover) and term and age of the underlying collateral (burnout, seasoning). On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.
- (2)
 - a. The Company had no securities it intended to sell for which it recognized other-than-temporary impairment losses.
 - b. The Company had no securities for which it lacked the ability or intent to retain an investment in for a period of time sufficient to recover the amortized cost basis.
- (3) The Company had no other-than-temporary impairments within the loan backed securities portfolio for the quarter ended March 31, 2021.
- (4) All temporarily impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss in 2021 are as follows:

- a. The aggregate amount of unrealized losses:

| | |
|------------------------|--------------|
| 1. Less than 12 Months | \$ (738,843) |
| 2. 12 Months or Longer | \$ (3,650) |

- b. The aggregate related fair value of securities with unrealized losses:

| | |
|------------------------|---------------|
| 1. Less than 12 Months | \$ 37,630,793 |
| 2. 12 Months or Longer | \$ 6,722,262 |

NOTES TO FINANCIAL STATEMENTS

(5) Management regularly reviews the value of the Company's investments. If the value of any investment falls below its cost basis, the decline is analyzed to determine whether it is an other-than-temporary decline in value. To make this determination for each security, the following is considered:

- The length of time and the extent to which the fair value has been below cost;
- The financial condition and near-term prospects of the issuer of the security, including any specific events that may affect its operations or earnings potential;
- Management's intent and ability to hold the security long enough for it to recover its value;

Management concluded that the remaining investments within the loaned backed securities portfolio held with unrealized losses were not other-than-temporarily impaired on the basis that the Company had the ability and intent to hold the investments for a period of time sufficient for a forecasted market price recovery up to or beyond the cost of the investment. Also, in management's opinion, evidence indicating the cost of the investment was recoverable within a reasonable period of time outweighed evidence to the contrary in considering the severity and duration of the impairment in relation to the forecasted market price recovery.

- E. The Company has no dollar repurchase agreements and/or securities lending transactions.
- F. The Company has no repurchase agreements transactions accounted for as secured borrowing.
- G. The Company has no reverse repurchase agreements transactions accounted for as secured borrowing
- H. The Company has no repurchase agreements transactions accounted for as a sale.
- I. The Company has no reverse repurchase agreements transactions accounted for as a sale.
- M. The Company has no Working Capital Finance Investments.
- N. The Company has no Offsetting and Netting of Assets and Liabilities.
- R. The Company has no Reporting Entity's Share of Cash Pool by Asset type.

6. Joint Ventures, Partnerships and Limited Liability Companies – No Change

7. Investment Income – No Change

8. Derivative Instruments – No Change

9. Income Taxes – No Change

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

- A. The Company is a wholly owned stock insurance subsidiary of Ohio Mutual Insurance Company (Ohio Mutual). Effective January 1, 2021 Ohio Mutual changed its organizational structure from a mutual insurance company to a mutual holding company owned stock insurance company. Under this structure, Ohio Mutual converted to a stock insurer and retained its name of Ohio Mutual Insurance Company and became a wholly owned subsidiary of a newly organized mutual holding company, OMIG Holdings Inc. OMIG Holdings Inc. is a wholly owned subsidiary of Ohio Mutual Insurance Group, Inc. (OMIG). OMIG is the ultimate controlling parent for the group. The conversion was approved by Ohio Mutual's policyholders on July 21, 2020 and by the Ohio Department of Insurance on August 28, 2020. Ohio Mutual is the sole shareholder and owner of the Company, Casco Indemnity Company (Casco), United Premium Budget Service Inc., Centurion Financial Inc. (CEF), and Ohio United Agency, Inc.
- B. The Company, Ohio Mutual (parent) and Casco have entered into a reinsurance pooling agreement through which underwriting activities and operating expenses are proportionately allocated. See footnote #26 for additional information on the pooling agreement.
- C. The Company has no transactions with related parties not reported on Schedule Y.
- D. As of March 31, 2021, the Company's parent, Ohio Mutual, owes the Company \$1,751,408 under the terms of the Reinsurance Pooling Agreement. As of March 31, 2021, the Company owes Ohio Mutual \$43,758 and owes its affiliate, Casco, \$3,219 under the terms of the Cost Sharing Agreement.
- E. The Company, its parent, Ohio Mutual, and affiliate, Casco, entered into a Cost Sharing Agreement effective, January 1, 2011, through which certain common costs are shared proportionally between the entities.
- F. The Company has no guarantees or undertakings at March 31, 2021.
- G. All outstanding shares of the Company are owned by its parent, Ohio Mutual, an insurance company domiciled in the State of Ohio.
- H. The Company owns no shares of the stock of its ultimate parent, Ohio Mutual Insurance Group.
- I. The Company does not own a share or interest in an upstream intermediate entity or its parent, either directly or indirectly.
- J. The Company has no subsidiary investments, controlled or affiliated companies during the statement period.
- K. Not Applicable
- L. Not Applicable
- M. The Company has no SCA investments.
- N. The Company has no investments in Insurance SCAs.
- O. The Company has no SCA or SSAP No. 48 entity investments.

NOTES TO FINANCIAL STATEMENTS

11. Debt

B. FHLB (Federal Home Loan Bank) Agreements

(1) The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company had issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This was an interest-only loan with principal due at the maturity date of June 19, 2020. This loan was collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB. It is part of the Company's strategy to utilize these funds for operations, and any funds obtained from the FHLB of Cincinnati for use in general operations would be accounted for consistent with SSAP No. 15, *Debt and Holding Company Obligations* as borrowed money. At maturity, the Company paid off this debt. The carrying value of the debt at March 31, 2021 is \$0.00. The interest paid to the FHLB through the first quarter of 2021 was \$0.00.

(2) The Company, as a member of the FHLB of Cincinnati has purchased 7,389 shares of \$100 Par Value Class B capital stock for a total purchase price of \$738,900. The Class B common stock is broken out into the following categories:

a. Aggregate Totals

1) Current Year

| | 1 Total 2 + 3 | 2 General Account | 3 Protected Cell Accounts |
|--|---------------------|-------------------------|---------------------------------|
| Membership Stock - Class A | - | - | - |
| Membership Stock - Class B | 624,396 | 624,396 | - |
| Activity Stock | - | - | - |
| Excess Stock | 114,504 | 114,504 | - |
| Aggregate Total | <u>738,900</u> | <u>738,900</u> | - |
| Borrowing Capacity as determined by the Insurer | | | |
| | <u>129,498,114</u> | XXX | XXX |

2) Prior Year-end

| | 1 Total 2 + 3 | 2 General Account | 3 Protected Cell Accounts |
|--|---------------------|-------------------------|---------------------------------|
| Membership Stock - Class A | - | - | - |
| Membership Stock - Class B | 624,396 | 624,396 | - |
| Activity Stock | - | - | - |
| Excess Stock | 114,504 | 114,504 | - |
| Aggregate Total | <u>738,900</u> | <u>738,900</u> | - |
| Borrowing Capacity as determined by the Insurer | | | |
| | <u>124,910,070</u> | XXX | XXX |

b. Membership Stock (Class A and B) Eligible for Redemption

| Membership Stock | Current Year Total | Not Eligible for Redemption | Less than 6 Months | 6 Months to Less Than 1 year | 1 Year to Less Than 3 years | 3 to 5 Years |
|---------------------|-----------------------|-----------------------------------|-----------------------|------------------------------------|-----------------------------------|--------------|
| 1. Class A | | | | | | |
| 2. Class B | 624,396 | 624,396 | | | | |

(3) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year, maturing on June 19, 2020. This loan was collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB.

a. Amount Pledged as of Reporting Date

1) Current Year Total General and Protected Cell Accounts

| Total Collateral Pledged | Fair Value | Carrying Value | Aggregate Total Borrowing |
|--------------------------|------------|----------------|------------------------------|
| | - | - | - |

2) Current Year General Accounts

| Total Collateral Pledged | Fair Value | Carrying Value | Aggregate Total Borrowing |
|--------------------------|------------|----------------|------------------------------|
| | - | - | - |

3) Current Year Protected Cell Accounts

| Total Collateral Pledged | Fair Value | Carrying Value | Aggregate Total Borrowing |
|--------------------------|------------|----------------|------------------------------|
| | - | - | - |

4) Prior Year-end Total General and Protected Cell Accounts

| Total Collateral Pledged | Fair Value | Carrying Value | Aggregate Total Borrowing |
|--------------------------|------------|----------------|------------------------------|
| | - | - | - |

NOTES TO FINANCIAL STATEMENTS

b. Maximum Amount Pledged during Reporting Period

1) Current Year Total General and Protected Cell Accounts

| Maximum Collateral Pledged | Fair Value | Carrying Value | Aggregate Total | |
|----------------------------|------------|----------------|-----------------|---|
| | | | Borrowing | - |
| | | | | |

2) Current Year General Accounts

| Maximum Collateral Pledged | Fair Value | Carrying Value | Aggregate Total | |
|----------------------------|------------|----------------|-----------------|---|
| | | | Borrowing | - |
| | | | | |

3) Current Year Separate Accounts

| Maximum Collateral Pledged | Fair Value | Carrying Value | Aggregate Total | |
|----------------------------|------------|----------------|-----------------|---|
| | | | Borrowing | - |
| | | | | |

4) Prior Year-end Total General and Protected Cell Accounts

| Maximum Collateral Pledged | Fair Value | Carrying Value | Aggregate Total | |
|----------------------------|------------|----------------|-----------------|---|
| | | | Borrowing | - |
| | | | | |

(4) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This was an interest only loan with principal due at the maturity date of June 19, 2020.

a. Amount as of Reporting Date

1) Current Year

| (a) Debt | 1 | 2 | 3 | 4 |
|----------|-------|-----------------|------------------------|---|
| | Total | General Account | Protected Cell Account | Funding Arrangements Reserves Established |
| | 2 + 3 | | | XXX |

2) Prior Year-end

| (a) Debt | Total | General | Protected Cell | Funding Arrangements |
|----------|-------|---------|----------------|----------------------|
| | 2 + 3 | Account | Account | Reserves Established |
| | | | | XXX |

b. Maximum Amount during Reporting Period (Current Year)

| (a) Debt | Total | General | Protected Cell | Funding Arrangements |
|----------|-------|---------|----------------|----------------------|
| | 2 + 3 | Account | Account | Reserves Established |
| | | | | XXX |

c. FHLB Prepayment Obligations

Does the company have prepayment obligations under the following arrangements? (YES/NO)?

1) Debt YES
 2) Funding Agreements NO
 3) Other NO

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

(4) Components of net periodic benefit cost

| | Pension Benefits | | Postretirement Benefits | |
|---|------------------|-------------|-------------------------|-------------|
| | 12/31/2021 | 12/31/2020 | 12/31/2021 | 12/31/2020 |
| a. Service cost | \$ 126,774 | \$ 477,223 | \$ - | \$ 1,502 |
| b. Interest cost | 207,445 | 951,894 | 10,846 | 55,935 |
| c. Expected return on plan assets | (359,313) | (1,552,894) | - | - |
| d. Transition asset or obligation | - | - | - | - |
| e. Gains and losses | 206,040 | 586,498 | (1,296) | (88,711) |
| f. Prior service cost or credit | 7,697 | 30,788 | - | - |
| g. Gain or loss recognized due to a settlement or curtailment | - | - | - | - |
| h. Total net periodic benefit cost | \$ 188,643 | \$ 493,509 | \$ 9,550 | \$ (31,274) |

13. Capital and Surplus, Dividend Restrictions and Quasi-reorganizations – No Change

NOTES TO FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

D. The Company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits.

Direct.....

| | |
|--|-----|
| Claims related ECO and bad faith losses paid during the reporting period | \$0 |
|--|-----|

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period.

| (a) 0-25 Claims | (b) 26-50 Claims | (c) 51-100 Claims | (d) 101-500 Claims | (e) More than 500 Claims |
|--------------------|---------------------|----------------------|-----------------------|-----------------------------|
| X | | | | |

Indicate whether claim count information is disclosed per claim or per claimant.

(f) Per Claim [] (g) Per Claimant [X]

15. Leases – No Change

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk – No Change

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company has no sale, transfer and servicing of financial assets and extinguishments of liabilities.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans – No Change

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators – No Change

20. Fair Value Measurements

A. Fixed maturity securities that are carried at amortized cost are not included in the table below:

(1) Fair Value Measurements at Reporting Date

| Description | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total |
|----------------------------|----------------------|---------------------|---------------|-----------------------|----------------------|
| a. Assets at fair value | | | | | |
| Bonds | | | | | |
| Industrial & Misc | \$ - | \$ - | \$ - | \$ - | \$ - |
| Hybrid Securities | \$ - | \$ 374,438 | \$ - | \$ - | \$ 374,438 |
| Total Bonds | <u>\$ -</u> | <u>\$ 374,438</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 374,438</u> |
| Preferred Stock | | | | | |
| Industrial and Misc | - - | 495,250 | - - | - - | 495,250 |
| Total Preferred Stocks | <u>\$ - -</u> | <u>\$ 495,250</u> | <u>\$ - -</u> | <u>\$ - -</u> | <u>\$ 495,250</u> |
| Common Stock | | | | | |
| Industrial and Misc | - - | 738,900 | - - | - - | 738,900 |
| Mutual Funds | 20,921,084 | - - | - - | - - | 20,921,084 |
| Total Common Stocks | <u>\$ 20,921,084</u> | <u>\$ 738,900</u> | <u>\$ - -</u> | <u>\$ - -</u> | <u>\$ 21,659,984</u> |
| Derivative assets | - - | - - | - - | - - | - - |
| Total assets at fair value | <u>\$ 20,921,084</u> | <u>\$ 1,608,588</u> | <u>\$ - -</u> | <u>\$ - -</u> | <u>\$ 22,529,672</u> |

(2) The Company has no Level 3 Fair Value Measurements

(3) Transfers between levels are recognized on the actual date of the event or change in circumstances that caused the transfer.

(4) As of March 31, 2021, the reporting entity's investments in Level 2, NAIC rated A, common stocks are reported at fair value.

C. The Aggregate Fair Value for all Financial Instruments and the Level within the Fair Value Hierarchy are illustrated as follows:

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | Level (1) | Level (2) | Level (3) | Net Asset Value (NAV) | Not Practicable (Carrying Value) |
|------------------------------|-----------------------|-----------------------|----------------------|-----------------------|---------------|-----------------------|----------------------------------|
| Bonds | \$ 302,766,513 | \$ 292,672,868 | \$ - | \$ 302,766,513 | \$ - | \$ - | \$ - |
| Preferred Stocks | 6,635,672 | 6,232,978 | - - | 6,635,672 | - - | - - | - - |
| Common Stocks | 21,659,984 | 21,659,984 | 20,921,084 | 738,900 | - - | - - | - - |
| Total Financial Instruments | <u>\$ 331,062,169</u> | <u>\$ 320,565,830</u> | <u>\$ 20,921,084</u> | <u>\$ 310,141,085</u> | <u>\$ - -</u> | <u>\$ - -</u> | <u>\$ - -</u> |

D. Not Practicable to Estimate Fair Value

The Company's Financial Instruments are valued at Fair Value unless otherwise specified.

E. Investments Measured using the NAV Practical Expedient Pursuant to SSAP No. 100R – Fair Value

The Company occasionally holds assets in money market accounts that are valued at NAV. The probability of these assets being sold at value different from NAV is remote.

21. Other Items – No Change

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent – None**23. Reinsurance – No Change****24. Retrospectively Rated Contracts and Contracts Subject to Redetermination – No Change****25. Change in Incurred Losses and Loss Adjustment Expenses**

A. The Company's portion of pooled loss reserves as of December 31, 2020, was \$79.8 million. On a pooled basis as of March 31, 2021, \$13.0 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Pooled reserves remaining for prior years are now \$51.5 million. There has been a \$15.3 million favorable prior-year development, on a pooled basis, from December 31, 2020 to March 31, 2021 a result of re-estimation of unpaid claims and claim adjustment expenses principally on private passenger auto liability, auto physical damage and homeowners lines of insurance. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company does not have any retrospectively rated policies which would be included in the favorable development.

B. No Change

26. Intercompany Pooling Arrangements

Effective January 1, 2011, the Company requested and received permission from the ODI to pool the underwriting results of the Company with those of its insurance parent, Ohio Mutual and affiliate Casco. Through the Pooling Agreement, Ohio Mutual, NAIC #10202, retains 27% of the group's pooled underwriting results and cedes 8% to Casco, NAIC #25950 and 65% to the Company, NAIC #13072. The following underwriting results were assumed/ceded between the companies:

| | 03/31/2021 | 12/31/2020 |
|---|-----------------------|------------------------|
| Premium earned ceded to Ohio Mutual from United Ohio | \$ (40,259,542) | \$ (161,135,784) |
| Premium earned assumed by United Ohio | 43,391,922 | 170,290,354 |
| Change in premium earned due to pooling | <u>\$ 3,132,380</u> | <u>\$ 9,154,570</u> |
| | | |
| Losses incurred ceded to Ohio Mutual from United Ohio | \$ (19,923,840) | \$ (80,197,526) |
| Losses incurred assumed by United Ohio | 22,060,382 | 90,804,145 |
| Change in losses incurred due to pooling | <u>\$ 2,136,542</u> | <u>\$ 10,606,619</u> |
| | | |
| Net loss adjustment expenses ceded to Ohio Mutual | \$ (1,390,073) | \$ (5,692,909) |
| Net other underwriting expenses ceded to Ohio Mutual | (2,079,165) | (9,878,598) |
| Change in expenses incurred due to pooling | <u>\$ (3,469,238)</u> | <u>\$ (15,571,507)</u> |
| | | |
| Change in income before taxes due to pooling | <u>\$ 4,465,076</u> | <u>\$ 14,119,458</u> |

27. Structured Settlements – No Change**28. Health Care Receivables – No Change****29. Participating Policies – No Change****30. Premium Deficiency Reserves – No Change****31. High Deductibles – No Change****32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses – No Change****33. Asbestos/Environmental Reserves – No Change****34. Subscriber Savings Accounts – No Change****35. Multiple Peril Crop Insurance – No Change****36. Financial Guaranty Insurance – No Change**

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []

3.3 If the response to 3.2 is yes, provide a brief description of those changes.
 On January 1, 2021, Ohio Mutual changed its organizational structure from a mutual insurance company to a mutual holding company-owned stock insurance company. Under this structure, Ohio Mutual converted to a stock insurer and retained its name of Ohio Mutual Insurance Company and became a wholly-owned subsidiary of a newly-organized mutual holding company, OMIG Holdings Inc. OMIG Holdings Inc. is a wholly owned subsidiary of Ohio Mutual Insurance Group, Inc. ("OMIG"). Ohio Mutual Insurance Group Inc. is the ultimate controlling parent for the group. The conversion was approved by Ohio Mutual Insurance Company's policyholders on July 21, 2020 and by the Ohio Department of Insurance on August 28, 2020. United Ohio remains a wholly owned stock insurance subsidiary of Ohio Mutual.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC. Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

| 1 Name of Entity | 2 NAIC Company Code | 3 State of Domicile |
|---------------------|------------------------|------------------------|
| | | |

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A [] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/19/2017

6.4 By what department or departments?
 Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [X] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

| 1 Affiliate Name | 2 Location (City, State) | 3 FRB | 4 OCC | 5 FDIC | 6 SEC |
|---------------------|-----------------------------|----------|----------|-----------|----------|
| | | | | | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,707,650

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

| | 1 Prior Year-End Book/Adjusted Carrying Value | 2 Current Quarter Book/Adjusted Carrying Value |
|---|---|--|
| 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: | \$ | \$ |
| 13. Amount of real estate and mortgages held in short-term investments: | \$ | \$ |
| 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] | | |
| 14.2 If yes, please complete the following: | | |
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ | \$ |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ | \$ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
| 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [<input type="checkbox"/>] No [<input checked="" type="checkbox"/>] | | |
| 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [<input type="checkbox"/>] No [<input type="checkbox"/>] N/A [<input type="checkbox"/>] If no, attach a description with this statement. | | |
| 16. For the reporting entity's security lending program, state the amount of the following as of the current statement date: | | |
| 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. | \$ | |
| 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. | \$ | |
| 16.3 Total payable for securities lending reported on the liability page. | \$ | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1 Name of Custodian(s) | 2 Custodian Address |
|---------------------------|--|
| Fifth Third Bank | 38 Fountain Square Plaza, Cincinnati, Ohio 45263 |

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1 Name(s) | 2 Location(s) | 3 Complete Explanation(s) |
|--------------|------------------|------------------------------|
| | | |

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

17.4 If yes, give full information relating thereto:

| 1 Old Custodian | 2 New Custodian | 3 Date of Change | 4 Reason |
|--------------------|--------------------|---------------------|-------------|
| | | | |

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1 Name of Firm or Individual | 2 Affiliation |
|------------------------------------|------------------|
| New England Asset Management | U..... |

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No []

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1 Central Registration Depository Number | 2 Name of Firm or Individual | 3 Legal Entity Identifier (LEI) | 4 Registered With | 5 Investment Management Agreement (IMA) Filed |
|--|------------------------------------|------------------------------------|---|---|
| 105900 | New England Asset Management | KUR85E5PS4G0FZTFC130 | New England Asset Management is a SEC registered Investment Advisor | NO..... |

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [] No []

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No []

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No []

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No []

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

| 1 Line of Business | 2 Maximum Interest | 3 Discount Rate | TOTAL DISCOUNT | | | DISCOUNT TAKEN DURING PERIOD | | | |
|-----------------------|--------------------------|-----------------------|-----------------------|--------------------|-----------|------------------------------|-----------------------|--------------------|------------|
| | | | 4 Unpaid Losses | 5 Unpaid LAE | 6 IBNR | 7 TOTAL | 8 Unpaid Losses | 9 Unpaid LAE | 10 IBNR |
| TOTAL | | | | | | | | | |

5. Operating Percentages:

5.1 A&H loss percent %

5.2 A&H cost containment percent %

5.3 A&H expense percent excluding cost containment expenses 26.366 %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

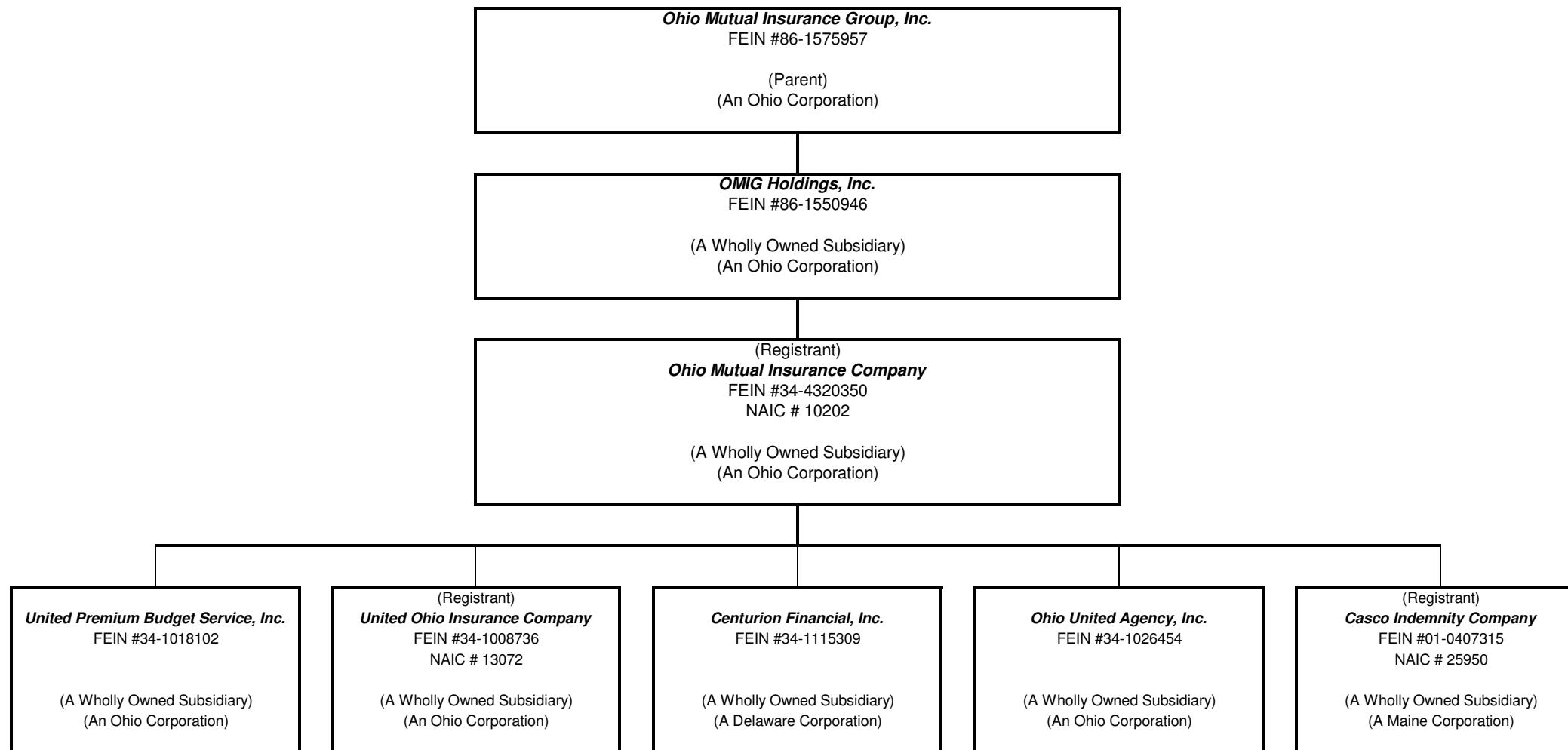
| States, etc. | 1 Active Status (a) | Direct Premiums Written | | Direct Losses Paid (Deducting Salvage) | | Direct Losses Unpaid | |
|--|------------------------------|------------------------------|----------------------------|--|----------------------------|------------------------------|----------------------------|
| | | 2 Current Year To Date | 3 Prior Year To Date | 4 Current Year To Date | 5 Prior Year To Date | 6 Current Year To Date | 7 Prior Year To Date |
| 1. Alabama | AL | N | | | | | |
| 2. Alaska | AK | N | | | | | |
| 3. Arizona | AZ | N | | | | | |
| 4. Arkansas | AR | N | | | | | |
| 5. California | CA | N | | | | | |
| 6. Colorado | CO | N | | | | | |
| 7. Connecticut | CT | L | 4,570,242 | 4,607,328 | 2,742,447 | 3,660,378 | 16,441,726 |
| 8. Delaware | DE | N | | | | | |
| 9. District of Columbia | DC | N | | | | | |
| 10. Florida | FL | N | | | | | |
| 11. Georgia | GA | N | | | | | |
| 12. Hawaii | HI | N | | | | | |
| 13. Idaho | ID | N | | | | | |
| 14. Illinois | IL | N | | | | | |
| 15. Indiana | IN | L | 150,688 | 53,172 | | | 136,672 |
| 16. Iowa | IA | N | | | | | 124,302 |
| 17. Kansas | KS | N | | | | | |
| 18. Kentucky | KY | N | | | | | |
| 19. Louisiana | LA | N | | | | | |
| 20. Maine | ME | L | 2,261,303 | 2,024,474 | 1,412,567 | 1,482,107 | 4,730,733 |
| 21. Maryland | MD | N | | | | | 5,008,708 |
| 22. Massachusetts | MA | N | | | | | |
| 23. Michigan | MI | N | | | | | |
| 24. Minnesota | MN | N | | | | | |
| 25. Mississippi | MS | N | | | | | |
| 26. Missouri | MO | N | | | | | |
| 27. Montana | MT | N | | | | | |
| 28. Nebraska | NE | N | | | | | |
| 29. Nevada | NV | N | | | | | |
| 30. New Hampshire | NH | L | 1,497,239 | 1,399,309 | 1,119,041 | 900,831 | 2,889,455 |
| 31. New Jersey | NJ | N | | | | | 2,525,584 |
| 32. New Mexico | NM | N | | | | | |
| 33. New York | NY | N | | | | | |
| 34. North Carolina | NC | N | | | | | |
| 35. North Dakota | ND | N | | | | | |
| 36. Ohio | OH | L | 27,958,464 | 27,679,709 | 10,194,201 | 9,602,196 | 40,510,318 |
| 37. Oklahoma | OK | N | | | | | 34,271,439 |
| 38. Oregon | OR | N | | | | | |
| 39. Pennsylvania | PA | N | | | | | |
| 40. Rhode Island | RI | L | 4,510,610 | 4,562,463 | 2,136,891 | 2,092,825 | 10,541,941 |
| 41. South Carolina | SC | N | | | | | 11,307,722 |
| 42. South Dakota | SD | N | | | | | |
| 43. Tennessee | TN | L | | | | | |
| 44. Texas | TX | N | | | | | |
| 45. Utah | UT | N | | | | | |
| 46. Vermont | VT | L | 2,193,029 | 2,168,831 | 1,127,777 | 1,573,305 | 4,503,748 |
| 47. Virginia | VA | L | | | | | 4,021,564 |
| 48. Washington | WA | N | | | | | |
| 49. West Virginia | WV | N | | | | | |
| 50. Wisconsin | WI | L | | | | | |
| 51. Wyoming | WY | N | | | | | |
| 52. American Samoa | AS | N | | | | | |
| 53. Guam | GU | N | | | | | |
| 54. Puerto Rico | PR | N | | | | | |
| 55. U.S. Virgin Islands | VI | N | | | | | |
| 56. Northern Mariana Islands | MP | N | | | | | |
| 57. Canada | CAN | N | | | | | |
| 58. Aggregate Other Alien OT | XXX | | | | | | |
| 59. Totals | XXX | 43,141,575 | 42,495,286 | 18,732,924 | 19,311,642 | 79,754,593 | 71,493,852 |
| DETAILS OF WRITE-INS | | | | | | | |
| 58001. | XXX | | | | | | |
| 58002. | XXX | | | | | | |
| 58003. | XXX | | | | | | |
| 58998. Summary of remaining write-ins for Line 58 from overflow page | XXX | | | | | | |
| 58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) | XXX | | | | | | |

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 10 R - Registered - Non-domiciled RRGs.....
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)..... Q - Qualified - Qualified or accredited reinsurer.....
 D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile..... N - None of the above - Not allowed to write business in the state 47

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

Ohio Mutual Insurance Group



STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

None

NUKE

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
PART 1 - LOSS EXPERIENCE

| Line of Business | Current Year to Date | | | 4 Prior Year to Date Direct Loss Percentage |
|---|--------------------------------|--------------------------------|--------------------------------|--|
| | 1 Direct Premiums Earned | 2 Direct Losses Incurred | 3 Direct Loss Percentage | |
| 1. Fire | 3,752,897 | 2,266,928 | 60.4 | 26.3 |
| 2. Allied Lines | 33,426 | 6,743 | 20.2 | (4.8) |
| 3. Farmowners multiple peril | 4,102,362 | 1,056,000 | 25.7 | 18.6 |
| 4. Homeowners multiple peril | 3,185,870 | 2,656,286 | 83.4 | 37.6 |
| 5. Commercial multiple peril | 8,366,274 | 3,533,262 | 42.2 | 27.5 |
| 6. Mortgage guaranty | | | | |
| 8. Ocean marine | | | | |
| 9. Inland marine | 242,617 | 178,961 | 73.8 | 12.8 |
| 10. Financial guaranty | | | | |
| 11.1 Medical professional liability - occurrence | | | | |
| 11.2 Medical professional liability - claims-made | | | | |
| 12. Earthquake | | | | |
| 13. Group accident and health | | | | |
| 14. Credit accident and health | | | | |
| 15. Other accident and health | 383 | | | |
| 16. Workers' compensation | | | | |
| 17.1 Other liability - occurrence | 1,700,652 | 158,507 | 9.3 | 9.5 |
| 17.2 Other liability - claims-made | | | | |
| 17.3 Excess workers' compensation | | | | |
| 18.1 Products liability - occurrence | .47,931 | .244 | .0.5 | (47.9) |
| 18.2 Products liability - claims-made | | | | |
| 19.1,19.2 Private passenger auto liability | 7,463,698 | 4,280,195 | 57.3 | 45.5 |
| 19.3,19.4 Commercial auto liability | 5,171,683 | 1,743,297 | 33.7 | 34.3 |
| 21. Auto physical damage | 8,217,450 | 4,120,559 | 50.1 | 58.7 |
| 22. Aircraft (all perils) | | | | |
| 23. Fidelity | | | | |
| 24. Surety | | | | |
| 26. Burglary and theft | 108,274 | 9,884 | 9.1 | 3.2 |
| 27. Boiler and machinery | | | | |
| 28. Credit | | | | |
| 29. International | | | | |
| 30. Warranty | | | | |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX | XXX |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX | XXX |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX | XXX |
| 34. Aggregate write-ins for other lines of business | | | | |
| 35. Totals | 42,393,517 | 20,010,866 | 47.2 | 36.8 |
| DETAILS OF WRITE-INS | | | | |
| 3401. | | | | |
| 3402. | | | | |
| 3403. | | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | | | | |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | | | | |

PART 2 - DIRECT PREMIUMS WRITTEN

| Line of Business | 1 Current Quarter | 2 Current Year to Date | 3 Prior Year Year to Date | |
|---|----------------------|------------------------------|---------------------------------|--|
| | | | | |
| 1. Fire | 3,713,419 | 3,713,419 | 3,577,404 | |
| 2. Allied Lines | 28,447 | 28,447 | 33,023 | |
| 3. Farmowners multiple peril | 4,265,496 | 4,265,496 | 4,445,746 | |
| 4. Homeowners multiple peril | 2,540,362 | 2,540,362 | 2,765,053 | |
| 5. Commercial multiple peril | 9,015,657 | 9,015,657 | 8,096,376 | |
| 6. Mortgage guaranty | | | | |
| 8. Ocean marine | | | | |
| 9. Inland marine | 315,589 | 315,589 | 222,617 | |
| 10. Financial guaranty | | | | |
| 11.1 Medical professional liability - occurrence | | | | |
| 11.2 Medical professional liability - claims-made | | | | |
| 12. Earthquake | | | | |
| 13. Group accident and health | | | | |
| 14. Credit accident and health | | | | |
| 15. Other accident and health | .512 | .512 | .907 | |
| 16. Workers' compensation | | | | |
| 17.1 Other liability - occurrence | 1,933,757 | 1,933,757 | 1,795,563 | |
| 17.2 Other liability - claims-made | | | | |
| 17.3 Excess workers' compensation | | | | |
| 18.1 Products liability - occurrence | .53,205 | .53,205 | .46,693 | |
| 18.2 Products liability - claims-made | | | | |
| 19.1,19.2 Private passenger auto liability | 7,230,276 | 7,230,276 | 7,798,940 | |
| 19.3,19.4 Commercial auto liability | 5,675,617 | 5,675,617 | 5,395,450 | |
| 21. Auto physical damage | 8,264,223 | 8,264,223 | 8,213,090 | |
| 22. Aircraft (all perils) | | | | |
| 23. Fidelity | | | | |
| 24. Surety | | | | |
| 26. Burglary and theft | .105,015 | .105,015 | .104,424 | |
| 27. Boiler and machinery | | | | |
| 28. Credit | | | | |
| 29. International | | | | |
| 30. Warranty | | | | |
| 31. Reinsurance - Nonproportional Assumed Property | XXX | XXX | XXX | |
| 32. Reinsurance - Nonproportional Assumed Liability | XXX | XXX | XXX | |
| 33. Reinsurance - Nonproportional Assumed Financial Lines | XXX | XXX | XXX | |
| 34. Aggregate write-ins for other lines of business | | | | |
| 35. Totals | 43,141,575 | 43,141,575 | 42,495,286 | |
| DETAILS OF WRITE-INS | | | | |
| 3401. | | | | |
| 3402. | | | | |
| 3403. | | | | |
| 3498. Summary of remaining write-ins for Line 34 from overflow page | | | | |
| 3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) | | | | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| Years in Which Losses Occurred | 1 Prior Year-End Known Case Loss and LAE Reserves | 2 Prior Year-End IBNR Loss and LAE Reserves (Cols. 1+2) | 3 Total Prior Year-End Loss and LAE Reserves (Cols. 1+2) | 4 2021 Loss and LAE Payments on Claims Reported as of Prior Year-End | 5 2021 Loss and LAE Payments on Claims Unreported as of Prior Year-End | 6 Total 2021 Loss and LAE Payments (Cols. 4+5) | 7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End | 8 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End | 9 Q.S. Date IBNR Loss and LAE Reserves | 10 Total Q.S. Loss and LAE Reserves (Cols. 7+8+9) | 11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4+7 minus Col. 1) | 12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2) | 13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12) |
|--|--|--|---|---|---|---|--|---|---|--|--|--|---|
| 1. 2018 + Prior | 9,067 | 9,684 | 18,751 | 2,099 | | 2,099 | 8,297 | 27 | 6,556 | 14,880 | 1,329 | (3,101) | (1,772) |
| 2. 2019 | 10,157 | 10,848 | 21,005 | 2,670 | 10 | 2,680 | 9,049 | 74 | 5,225 | 14,348 | 1,562 | (5,539) | (3,977) |
| 3. Subtotals 2019 + Prior | 19,224 | 20,532 | 39,756 | 4,769 | 10 | 4,779 | 17,346 | 101 | 11,781 | 29,228 | 2,891 | (8,640) | (5,749) |
| 4. 2020 | 16,088 | 23,965 | 40,053 | 7,924 | 310 | 8,234 | 11,478 | 255 | 10,514 | 22,247 | 3,314 | (12,886) | (9,572) |
| 5. Subtotals 2020 + Prior | 35,312 | 44,497 | 79,809 | 12,693 | 320 | 13,013 | 28,824 | 356 | 22,295 | 51,475 | 6,205 | (21,526) | (15,321) |
| 6. 2021 | XXX | XXX | XXX | XXX | 10,439 | 10,439 | XXX | 7,269 | 23,489 | 30,758 | XXX | XXX | XXX |
| 7. Totals | 35,312 | 44,497 | 79,809 | 12,693 | 10,759 | 23,452 | 28,824 | 7,625 | 45,784 | 82,233 | 6,205 | (21,526) | (15,321) |
| 8. Prior Year-End Surplus As Regards Policyholders | | 223,095 | | | | | | | | | Col. 11, Line 7 As % of Col. 1 Line 7 | Col. 12, Line 7 As % of Col. 2 Line 7 | Col. 13, Line 7 As % of Col. 3 Line 7 |
| | | | | | | | | | | | 1. 17.6 | 2. (48.4) | 3. (19.2) |
| | | | | | | | | | | | | | 4. (6.9) |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | Response |
|--|----------|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? | NO |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? | NO |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | NO |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | YES |

Explanations:

- 1.
- 2.
- 3.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]



1 3 0 7 2 2 0 2 1 4 9 0 0 0 0 0 1

2. Supplement A to Schedule T [Document Identifier 455]



1 3 0 7 2 2 0 2 1 4 5 5 0 0 0 0 1

3. Medicare Part D Coverage Supplement [Document Identifier 365]



1 3 0 7 2 2 0 2 1 3 6 5 0 0 0 0 1

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company
OVERFLOW PAGE FOR WRITE-INS

NONE

SCHEDULE A - VERIFICATION

Real Estate

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Current year change in encumbrances | | |
| 4. Total gain (loss) on disposals | | |
| 5. Deduct amounts received on disposals | | |
| 6. Total foreign exchange change in book/adjusted carrying value | | |
| 7. Deduct current year's other than temporary impairment recognized | | |
| 8. Deduct current year's depreciation | | |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) | | |
| 10. Deduct total nonadmitted amounts | | |
| 11. Statement value at end of current period (Line 9 minus Line 10) | | |

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and mortgage interest paid and commitment fees | | |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest | | |
| 10. Deduct current year's other than temporary impairment recognized | | |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. Total valuation allowance | | |
| 13. Subtotal (Line 11 plus Line 12) | | |
| 14. Deduct total nonadmitted amounts | | |
| 15. Statement value at end of current period (Line 13 minus Line 14) | | |

NONE**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|--|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | | |
| 2. Cost of acquired: | | |
| 2.1 Actual cost at time of acquisition | | |
| 2.2 Additional investment made after acquisition | | |
| 3. Capitalized deferred interest and other | | |
| 4. Accrual of discount | | |
| 5. Unrealized valuation increase (decrease) | | |
| 6. Total gain (loss) on disposals | | |
| 7. Deduct amounts received on disposals | | |
| 8. Deduct amortization of premium and depreciation | | |
| 9. Total foreign exchange change in book/adjusted carrying value | | |
| 10. Deduct current year's other than temporary impairment recognized | | |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) | | |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | | |

NONE**SCHEDULE D - VERIFICATION**

Bonds and Stocks

| | 1 Year to Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year | 317,860,008 | 292,709,966 |
| 2. Cost of bonds and stocks acquired | 19,122,908 | 72,819,810 |
| 3. Accrual of discount | 25,245 | 116,503 |
| 4. Unrealized valuation increase (decrease) | 919,422 | 3,298,508 |
| 5. Total gain (loss) on disposals | 79,531 | 150,151 |
| 6. Deduct consideration for bonds and stocks disposed of | 17,027,820 | 49,151,825 |
| 7. Deduct amortization of premium | 482,300 | 2,135,851 |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other than temporary impairment recognized | 8,049 | 52,746 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees | 320,505,043 | 317,860,008 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) | | |
| 12. Deduct total nonadmitted amounts | | |
| 13. Statement value at end of current period (Line 11 minus Line 12) | 320,505,043 | 317,860,008 |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation | 1 Book/Adjusted Carrying Value Beginning of Current Quarter | 2 Acquisitions During Current Quarter | 3 Dispositions During Current Quarter | 4 Non-Trading Activity During Current Quarter | 5 Book/Adjusted Carrying Value End of First Quarter | 6 Book/Adjusted Carrying Value End of Second Quarter | 7 Book/Adjusted Carrying Value End of Third Quarter | 8 Book/Adjusted Carrying Value December 31 Prior Year |
|---|---|--|--|--|---|--|---|---|
| BONDS | | | | | | | | |
| 1. NAIC 1 (a) | 273,744,976 | 24,688,900 | 27,755,143 | (197,221) | 270,481,512 | | | 273,744,976 |
| 2. NAIC 2 (a) | 19,357,353 | 1,161,143 | 1,153,134 | (258,873) | 19,106,489 | | | 19,357,353 |
| 3. NAIC 3 (a) | 3,051,427 | | | 8,669 | 3,060,096 | | | 3,051,427 |
| 4. NAIC 4 (a) | | | | | | | | |
| 5. NAIC 5 (a) | 26,603 | | 1,963 | 134 | 24,774 | | | 26,603 |
| 6. NAIC 6 (a) | | | | | | | | |
| 7. Total Bonds | 296,180,359 | 25,850,043 | 28,910,240 | (447,291) | 292,672,871 | | | 296,180,359 |
| PREFERRED STOCK | | | | | | | | |
| 8. NAIC 1 | 439,117 | | | | 439,117 | | | 439,117 |
| 9. NAIC 2 | 4,975,792 | 272,450 | | (329,381) | 4,918,861 | | | 4,975,792 |
| 10. NAIC 3 | 738,910 | | | 136,090 | 875,000 | | | 738,910 |
| 11. NAIC 4 | | | | | | | | |
| 12. NAIC 5 | | | | | | | | |
| 13. NAIC 6 | | | | | | | | |
| 14. Total Preferred Stock | 6,153,819 | 272,450 | | (193,291) | 6,232,978 | | | 6,153,819 |
| 15. Total Bonds and Preferred Stock | 302,334,178 | 26,122,493 | 28,910,240 | (640,582) | 298,905,849 | | | 302,334,178 |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SI02

Schedule DA - Part 1 - Short-Term Investments
N O N E

Schedule DA - Verification - Short-Term Investments
N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

| | 1 Year To Date | 2 Prior Year Ended December 31 |
|---|-------------------|--------------------------------------|
| 1. Book/adjusted carrying value, December 31 of prior year | 6,882,682 | 3,762,052 |
| 2. Cost of cash equivalents acquired | 27,069,443 | 68,046,882 |
| 3. Accrual of discount | 596 | 2,458 |
| 4. Unrealized valuation increase (decrease) | | |
| 5. Total gain (loss) on disposals | | |
| 6. Deduct consideration received on disposals | 25,550,070 | 64,928,710 |
| 7. Deduct amortization of premium | | |
| 8. Total foreign exchange change in book/adjusted carrying value | | |
| 9. Deduct current year's other than temporary impairment recognized | | |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) | 8,402,651 | 6,882,682 |
| 11. Deduct total nonadmitted amounts | | |
| 12. Statement value at end of current period (Line 10 minus Line 11) | 8,402,651 | 6,882,682 |

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

| 1 CUSIP Identification | 2 Description | 3 Foreign | 4 Date Acquired | 5 Name of Vendor | 6 Number of Shares of Stock | 7 Actual Cost | 8 Par Value | 9 Paid for Accrued Interest and Dividends | 10 NAIC Designation, NAIC Designation Modifier and SVO Adminis- trative Symbol |
|---|--|--------------|-----------------------|-------------------------------------|--------------------------------------|------------------|----------------|--|--|
| 314180-XG-2 | UMBs - POOL MA4278 | | 02/02/2021 | NOMURA SECURITIES INTERNATIONAL INC | | 4,101,875 | 4,000,000 | 2,500 | 1.A FE |
| 3199999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 4,101,875 | 4,000,000 | 2,500 | XXX |
| 00971A-AA-0 | AJAX MORTGAGE LOAN TRUST 21-A A1 | | .01/22/2021 | NOMURA SECURITIES INTERNATIONAL INC | 499,990 | .500,000 | | | 1.A FE |
| 01748R-AL-9 | ALLEGRO CLO LTD 17-1A AR | | .03/09/2021 | GOLDMAN SACHS & CO. LLC | 2,000,000 | 2,000,000 | | | 1.A FE |
| 04016N-AM-5 | ARES CLO LTD 17-44A A1R | | .03/31/2021 | GOLDMAN SACHS & CO. LLC | 2,000,000 | 2,000,000 | | | 1.A FE |
| 12530M-AE-5 | CF HIPPOLYTA ISSUER LLC 21-1A A1 | | .03/10/2021 | GOLDMAN SACHS & CO. LLC | 749,857 | .750,000 | | | 1.D FE |
| 12530M-AG-0 | CF HIPPOLYTA ISSUER LLC 21-1A B1 | | .03/10/2021 | GOLDMAN SACHS & CO. LLC | 499,981 | .500,000 | | | 1.G FE |
| 20268W-AA-2 | COMMONBOND STUDENT LOAN TRUST 21-AGS A | | .03/10/2021 | GOLDMAN SACHS & CO. LLC | 999,766 | 1,000,000 | | | 1.A FE |
| 31574X-AB-4 | ELLINGTON FINANCIAL MORTGAGE T 21-1 A2 | | .02/18/2021 | CREDIT SUISSE SECURITIES (USA) LLC | 499,996 | .500,000 | | | 1.C FE |
| 36319T-AQ-9 | GALAXY CLO LTD 17-23A B1R | | .02/25/2021 | MORGAN STANLEY & CO. LLC | 500,000 | .500,000 | | | 1.C FE |
| 552747-AA-5 | MFRA TRUST 21-INV1 A1 | | .01/27/2021 | WELLS FARGO SECURITIES LLC | 499,989 | .500,000 | | | 391 1.A FE |
| 55818H-BQ-0 | MADISON PARK FUNDING LTD 12-10A AR3 | | .01/15/2021 | BOFA SECURITIES INC | 2,000,000 | 2,000,000 | | | 1.A FE |
| 63942B-AA-2 | NAVENT STUDENT LOAN TRUST 21-A A | | .01/19/2021 | BARCLAYS CAPITAL INC | 749,891 | .750,000 | | | 1.A FE |
| 67590A-BT-8 | OCTAGON INVESTMENT PARTNERS XI 12-1A A2R | | .03/02/2021 | CREDIT SUISSE SECURITIES (USA) LLC | 1,500,000 | 1,500,000 | | | 1.B FE |
| 89175V-AA-1 | TOWD POINT MORTGAGE TRUST 18-2 A1 | | .03/03/2021 | MORGAN STANLEY & CO. LLC | 587,971 | .564,508 | | | .204 1.A FM |
| 89180D-AA-4 | TOWD POINT ASSET FUNDING LLC 21-HE1 A1 | | .02/22/2021 | J.P. MORGAN SECURITIES LLC | 499,999 | .500,000 | | | .319 1.A FE |
| 92343V-GF-5 | VERIZON COMMUNICATIONS | | .03/11/2021 | CITIGROUP GLOBAL MARKETS INC | 749,955 | .750,000 | | | 2.A FE |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | 14,337,395 | 14,314,508 | | | 1,234 XXX |
| 898320-AF-6 | TRUST FINANCIAL CORP | | .03/26/2021 | VARIOUS | 411,188 | .375,000 | | | .1,519 2.B FE |
| 4899999. Subtotal - Bonds - Hybrid Securities | | | | | 411,188 | 375,000 | | | 1,519 XXX |
| 8399997. Total - Bonds - Part 3 | | | | | 18,850,458 | 18,689,508 | | | 5,253 XXX |
| 8399998. Total - Bonds - Part 5 | | | | | | XXX | XXX | | XXX XXX |
| 8399999. Total - Bonds | | | | | 18,850,458 | 18,689,508 | | | 5,253 XXX |
| 48128B-64-8 | JPMORGAN CHASE & CO 6.00% | | .02/18/2021 | VARIOUS | 10,000,000 | 272,450 | .25,00 | | 2.B FE |
| 8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred | | | | | 272,450 | XXX | | | XXX |
| 8999997. Total - Preferred Stocks - Part 3 | | | | | 272,450 | XXX | | | XXX |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | | XXX | XXX | | XXX XXX |
| 8999999. Total - Preferred Stocks | | | | | 272,450 | XXX | | | XXX |
| 9799997. Total - Common Stocks - Part 3 | | | | | | XXX | | | XXX |
| 9799998. Total - Common Stocks - Part 5 | | | | | | XXX | XXX | | XXX |
| 9799999. Total - Common Stocks | | | | | | XXX | | | XXX |
| 9899999. Total - Preferred and Common Stocks | | | | | 272,450 | XXX | | | XXX |
| 9999999 - Totals | | | | | 19,122,908 | XXX | | | 5,253 XXX |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | For- eign | 3 Disposal Date | 4 Name of Purchaser | 5 Number of Shares of Stock | 6 Consid- eration | 7 Par Value | 8 Actual Cost | 9 Prior Year Book/ Adjusted Carrying Value | 10 Unrealized Valuation Increase/ (Decrease) | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Design- nation, NAIC Design- nation Modifer and SVO Adminis- trative Symbol | |
|--|---|--------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|---|--|--|-------|----|-------|----|---|--|---|---|---|--|---|--------|
| | | | | | | | | | | | 11 | 12 | 13 | 14 | 15 | | | | | | | | |
| .36179T-4P-7 | GOVERNMENT NATL MTG ASSOC II #MA5330 | | 03/01/2021 | MBS PAYDOWN | | 435,609 | 435,609 | 446,001 | 435,920 | (311) | | (311) | | (311) | | .435,609 | | | | | 2,818 | .07/20/2048 | 1.A FE |
| .36179T-7L-3 | GOVERNMENT NATL MTG ASSOC II #MA5399 | | 03/01/2021 | MBS PAYDOWN | | 109,109 | 109,109 | 112,647 | 109,243 | (133) | | (133) | | (133) | | .109,109 | | | | | .791 | .08/20/2048 | 1.A FE |
| .36179T-25-7 | GOVERNMENT NATL MTG ASSOC II #MA5264 | | 03/01/2021 | MBS PAYDOWN | | 187,498 | 187,498 | 191,731 | 187,627 | (129) | | (129) | | (129) | | .187,498 | | | | | .1,227 | .06/20/2048 | 1.A FE |
| .3620AU-TE-4 | GOVERNMENT NATL MTG ASSOC #740449 | | 03/01/2021 | MBS PAYDOWN | | 1,620 | 1,620 | 1,685 | 1,620 | | | | | | | .1,620 | | | | | .11 | .11/15/2040 | 1.A FE |
| .3620AU-TA-8 | GOVERNMENT NATL MTG ASSOC #742245 | | 03/01/2021 | MBS PAYDOWN | | 10,600 | 10,600 | 10,602 | 10,600 | | | | | | | .10,600 | | | | | .71 | .07/15/2041 | 1.A FE |
| .3620C6-YU-3 | GOVERNMENT NATL MTG ASSOC #750523 | | 03/01/2021 | MBS PAYDOWN | | 37,726 | 37,726 | 39,247 | 37,732 | (6) | | (6) | | (6) | | .37,726 | | | | | .129 | .11/15/2040 | 1.A FE |
| .36230P-M6-4 | GOVERNMENT NATL MTG ASSOC #754881 | | 03/01/2021 | MBS PAYDOWN | | 36,257 | 36,257 | 37,285 | 36,261 | (4) | | (4) | | (4) | | .36,257 | | | | | .122 | .12/15/2040 | 1.A FE |
| .36230P-NU-5 | GOVERNMENT NATL MTG ASSOC #754893 | | 03/01/2021 | MBS PAYDOWN | | 817 | 817 | 840 | 817 | | | | | | | .817 | | | | | .5 | .12/15/2040 | 1.A FE |
| .38376T-BF-8 | GOVERNMENT NATIONAL MORTGAGE A 10 12 DA | | 03/01/2021 | MBS PAYDOWN | | 1,985 | 1,985 | 2,054 | 1,986 | (1) | | (1) | | (1) | | .1,985 | | | | | .13 | .01/16/2040 | 1.A FE |
| 0599999. Subtotal - Bonds - U.S. Governments | | | | | | 821,221 | 821,221 | 842,092 | 821,806 | (584) | | (584) | | (584) | | .821,221 | | | | | 5,187 | XXX | XXX |
| .384721-FZ-4 | GRAHAM TX INDEP SCH DIST | | 02/15/2021 | MATURITY at 100,0000 | | 225,000 | 225,000 | 277,799 | 225,889 | (889) | | (889) | | (889) | | .225,000 | | | | | .5,625 | .02/15/2021 | 1.A FE |
| 2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions | | | | | | 225,000 | 225,000 | 277,799 | 225,889 | (889) | | (889) | | (889) | | .225,000 | | | | | .5,625 | XXX | XXX |
| .196680-FE-0 | COLORADO ST BLDG EXCELLENT SCH | | 03/15/2021 | PREREFUNDED | | 325,000 | 325,000 | 356,275 | 325,812 | (812) | | (812) | | (812) | | .325,000 | | | | | .8,125 | .03/15/2026 | 1.A FE |
| .3128MM-LD-3 | FEDERAL HOME LN MTG CORP #G18323 | | 03/01/2021 | MBS PAYDOWN | | 4,072 | 4,072 | 4,192 | 4,077 | (5) | | (5) | | (5) | | .4,072 | | | | | .28 | .09/01/2024 | 1.A FE |
| .3128PP-SE-9 | FEDERAL HOME LN MTG CORP #J10845 | | 03/01/2021 | MBS PAYDOWN | | 1,771 | 1,771 | 1,820 | 1,772 | (1) | | (1) | | (1) | | .1,771 | | | | | .13 | .10/01/2024 | 1.A FE |
| .3128P0-PY-1 | FEDERAL HOME LN MTG CORP #J11339 | | 03/01/2021 | MBS PAYDOWN | | 8,841 | 8,841 | 9,231 | 8,852 | (11) | | (11) | | (11) | | .8,841 | | | | | .66 | .12/01/2024 | 1.A FE |
| .31306X-2A-0 | FEDERAL HOME LN MTG CORP #J20769 | | 03/01/2021 | MBS PAYDOWN | | 37,088 | 37,088 | 38,908 | 37,122 | (33) | | (33) | | (33) | | .37,088 | | | | | .157 | .10/01/2027 | 1.A FE |
| .3131XJ-F9-2 | UMBS - POOL ZL2892 | | 03/01/2021 | MBS PAYDOWN | | 17,349 | 17,349 | 17,734 | 17,355 | (6) | | (6) | | (6) | | .17,349 | | | | | .96 | .04/01/2042 | 1.A FE |
| .3131XJ-G2-2 | UMBS - POOL ZL2917 | | 03/01/2021 | MBS PAYDOWN | | 16,446 | 16,446 | 16,811 | 16,450 | (4) | | (4) | | (4) | | .16,446 | | | | | .117 | .04/01/2042 | 1.A FE |
| .3131XJ-N6-2 | UMBS - POOL ZL3113 | | 03/01/2021 | MBS PAYDOWN | | 30,678 | 30,678 | 31,374 | 30,702 | (24) | | (24) | | (24) | | .30,678 | | | | | .143 | .05/01/2042 | 1.A FE |
| .3131XJ-VC-3 | UMBS - POOL ZL3311 | | 03/01/2021 | MBS PAYDOWN | | 1,627 | 1,627 | 1,627 | 1,664 | (1) | | (1) | | (1) | | .1,627 | | | | | .8 | .07/01/2042 | 1.A FE |
| .3131XK-KC-2 | UMBS - POOL ZL3891 | | 03/01/2021 | MBS PAYDOWN | | 62,421 | 62,421 | 66,303 | 62,549 | (128) | | (128) | | (128) | | .62,421 | | | | | .433 | .10/01/2042 | 1.A FE |
| .3131XQ-PZ-5 | UMBS - POOL ZL8964 | | 03/01/2021 | MBS PAYDOWN | | 28,411 | 28,411 | 28,442 | 28,442 | (31) | | (31) | | (31) | | .28,411 | | | | | .152 | .01/01/2045 | 1.A FE |
| .3131XQ-VR-4 | UMBS - POOL ZL8724 | | 03/01/2021 | MBS PAYDOWN | | 57,074 | 57,074 | 59,286 | 57,111 | (37) | | (37) | | (37) | | .57,074 | | | | | .345 | .11/01/2044 | 1.A FE |
| .3131XK-VP-2 | UMBS - POOL ZM0622 | | 03/01/2021 | MBS PAYDOWN | | 32,940 | 32,940 | 35,086 | 32,968 | (28) | | (28) | | (28) | | .32,940 | | | | | .175 | .12/01/2045 | 1.A FE |
| .31329J-P2-7 | UMBS - POOL ZA1341 | | 03/01/2021 | MBS PAYDOWN | | 59,390 | 59,390 | 62,044 | 59,432 | (43) | | (43) | | (43) | | .59,390 | | | | | .275 | .09/01/2042 | 1.A FE |
| .31329J-P3-5 | UMBS - POOL ZA1342 | | 03/01/2021 | MBS PAYDOWN | | 66,646 | 66,646 | 69,624 | 66,679 | (33) | | (33) | | (33) | | .66,646 | | | | | .329 | .10/01/2042 | 1.A FE |
| .31329J-P4-3 | UMBS - POOL ZA1343 | | 03/01/2021 | MBS PAYDOWN | | 36,126 | 36,126 | 38,372 | 36,167 | (41) | | (41) | | (41) | | .36,126 | | | | | .197 | .10/01/2042 | 1.A FE |
| .31329K-X9-0 | UMBS - POOL ZA2504 | | 03/01/2021 | MBS PAYDOWN | | 103,914 | 103,914 | 101,689 | 103,870 | (44) | | (44) | | (44) | | .103,914 | | | | | .482 | .04/01/2038 | 1.A FE |
| .3132A4-6S-2 | UMBS - POOL ZS4481 | | 03/01/2021 | MBS PAYDOWN | | 18,920 | 18,920 | 19,340 | 18,929 | (9) | | (9) | | (9) | | .18,920 | | | | | .104 | .04/01/2042 | 1.A FE |
| .3132A4-7A-0 | UMBS - POOL ZS4489 | | 03/01/2021 | MBS PAYDOWN | | 20,641 | 20,641 | 21,109 | 20,654 | (13) | | (13) | | (13) | | .20,641 | | | | | .105 | .06/01/2042 | 1.A FE |
| .3132A4-7B-8 | UMBS - POOL ZS4490 | | 03/01/2021 | MBS PAYDOWN | | 19,334 | 19,334 | 19,772 | 19,340 | (6) | | (6) | | (6) | | .19,334 | | | | | .94 | .07/01/2042 | 1.A FE |
| .3132A4-B4-9 | UMBS - POOL ZS3659 | | 03/01/2021 | MBS PAYDOWN | | 8,517 | 8,517 | 8,787 | 8,519 | (3) | | (3) | | (3) | | .8,517 | | | | | .41 | .12/01/2042 | 1.A FE |
| .3132A4-PW-2 | UMBS - POOL ZS4037 | | 03/01/2021 | MBS PAYDOWN | | 27,025 | 27,025 | 28,115 | 27,040 | (15) | | (15) | | (15) | | .27,025 | | | | | .154 | .05/01/2044 | 1.A FE |
| .3136AC-V5-7 | FANNIE MAE 13 15 GP | | 03/01/2021 | MBS PAYDOWN | | 8,394 | 8,394 | 8,958 | 8,404 | (10) | | (10) | | (10) | | .8,394 | | | | | .49 | .03/25/2042 | 1.A FE |
| .3136AD-EF-2 | FANNIE MAE 13 36 AG | | 03/01/2021 | MBS PAYDOWN | | 34,171 | 34,171 | 34,875 | 34,182 | (11) | | (11) | | (11) | | .34,171 | | | | | .184 | .12/25/2036 | 1.A FE |
| .3136AK-DG-5 | FANNIE MAE 14 36 QB | | 03/01/2021 | MBS PAYDOWN | | 73,723 | 73,723 | 75,439 | 73,749 | (26) | | (26) | | (26) | | .73,723 | | | | | .361 | .09/25/2033 | 1.A FE |
| .3137B3-6H-6 | FHLMC MULTIFAMILY STRUCTURED P K029 A1 | | 03/01/2021 | MBS PAYDOWN | | 71,385 | 71,385 | 72,811 | 71,403 | (19) | | (19) | | (19) | | .71,385 | | | | | .346 | .10/25/2022 | 1.A FE |
| .3137B4-FZ-0 | FREDDIE MAC 4323 VA | | 03/01/2021 | MBS PAYDOWN | | 14,601 | 14,601 | 15,746 | 14,618 | (17) | | (17) | | (17) | | .14,601 | | | | | .97 | .03/15/2027 | 1.A FE |
| .3137B9-N9-7 | FREDDIE MAC 4358 DA | | 03/01/2021 | MBS PAYDOWN | | 12,313 | 12,313 | 12,589 | 12,320 | (6) | | (6) | | (6) | | .12,313 | | | | | .58 | .06/15/2040 | 1.A FE |
| .3138EM-EQ-0 | UMBS - POOL AL4642 | | 03/01/2021 | MBS PAYDOWN | | 6,794 | 6,794 | 7,096 | 6,801 | (7) | | (7) | | (7) | | .6,794 | | | | | .33 | .11/01/2027 | 1.A FE |
| .3138EN-2N-8 | UMBS - POOL AL6180 | | 03/01/2021 | MBS PAYDOWN | | 96,214 | 96,214 | 103,280 | 96,281 | (66) | | (66) | | (66) | | .96,214 | | | | | .544 | .01/01/2045 | 1.A FE |
| .3138EN-EB-1 | UMBS - POOL AL5529 | | 03/01/2021 | MBS PAYDOWN | | 84,375 | 84,375 | 90,426 | 84,498 | | | | | | | | | | | | | | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | 3 For- eign | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consid- eration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Design- nation, NAIC Design- nation Modi- fier and SVO Adminis- trative Symbol | | |
|---|---|-------------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|--|--|--|---|---|---|---|--|---|---|---|--|---|-----|-----|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amor- tization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recogn- ized | 14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | 15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value | | | | | | | | | |
| .3140GS-PD-8 | UMBS - POOL BH4019 | | 03/01/2021 | MBS PAYDOWN | 106,923 | 106,923 | 112,269 | 107,115 | ..(192) | ..(192) | ..(420) | ..(192) | ..(192) | 106,923 | | | | | 710 | 09/01/2047 | 1.A FE | | |
| .3140J6-GJ-0 | UMBS - POOL BM2000 | | 03/01/2021 | MBS PAYDOWN | 245,600 | 245,600 | 253,035 | 246,019 | ..(385) | ..(385) | ..(385) | ..(385) | ..(385) | 245,600 | | | | | 1,392 | 05/01/2047 | 1.A FE | | |
| .3140K3-J2-9 | UMBS - POOL B07480 | | 03/01/2021 | MBS PAYDOWN | 340,078 | 340,078 | 350,599 | 340,463 | ..(5) | ..(5) | ..(5) | ..(5) | ..(5) | 340,078 | | | | | 1,701 | 11/01/2049 | 1.A FE | | |
| .3140KN-KN-7 | UMBS - POOL B03000 | | 03/01/2021 | MBS PAYDOWN | 26,311 | 26,311 | 27,265 | 26,316 | ..(70) | ..(70) | ..(70) | ..(70) | ..(70) | 26,311 | | | | | 93 | 10/01/2050 | 1.A FE | | |
| .3140OF-S2-6 | UMBS - POOL C47736 | | 03/01/2021 | MBS PAYDOWN | 182,533 | 182,533 | 192,715 | 182,603 | ..(48) | ..(48) | ..(1) | ..(1) | ..(1) | 182,533 | | | | | 833 | 11/01/2050 | 1.A FE | | |
| .3140X7-2G-3 | UMBS - POOL FM4374 | | 03/01/2021 | MBS PAYDOWN | 130,354 | 130,354 | 134,529 | 130,402 | ..(4,925) | ..(4,925) | ..(15) | ..(15) | ..(15) | 130,354 | | | | | 500 | 09/01/2050 | 1.A FE | | |
| .31416R-AJ-2 | UMBS - POOL AA7208 | | 03/01/2021 | MBS PAYDOWN | 4,925 | 4,925 | 4,975 | 4,927 | ..(8,897) | ..(8,897) | ..(9) | ..(9) | ..(9) | 4,925 | | | | | 33 | 06/01/2024 | 1.A FE | | |
| .31417S-CT-5 | UMBS - POOL AC5481 | | 03/01/2021 | MBS PAYDOWN | 6,043 | 6,043 | 6,043 | 6,050 | ..(22,336) | ..(22,336) | ..(22,336) | ..(22,336) | ..(22,336) | 6,043 | | | | | 62 | 11/01/2024 | 1.A FE | | |
| .31417U-V5-1 | UMBS - POOL AC7835 | | 03/01/2021 | MBS PAYDOWN | 8,386 | 8,386 | 8,767 | 8,395 | ..(136,773) | ..(136,773) | ..(136,773) | ..(136,773) | ..(136,773) | 8,386 | | | | | 63 | 12/01/2024 | 1.A FE | | |
| .31417U-WF-8 | UMBS - POOL AC7845 | | 03/01/2021 | MBS PAYDOWN | 6,043 | 6,043 | 6,318 | 6,050 | ..(29,403) | ..(29,403) | ..(29,403) | ..(29,403) | ..(29,403) | 6,043 | | | | | 45 | 01/01/2025 | 1.A FE | | |
| .31418C-YN-8 | UMBS - POOL MA3416 | | 03/01/2021 | MBS PAYDOWN | 136,773 | 136,773 | 142,195 | 137,098 | ..(22,336) | ..(22,336) | ..(22,336) | ..(22,336) | ..(22,336) | 136,773 | | | | | 978 | 07/01/2048 | 1.A FE | | |
| .31418D-XG-2 | UMBS - POOL MA4278 | | 03/01/2021 | SINKING FUND REDEMPTION | 29,403 | 29,403 | 29,403 | 29,403 | | | | | | 29,403 | | | | | 28 | 02/01/2036 | 1.A FE | | |
| .60416Q-FW-9 | MINNESOTA ST HSG FIN AGY HOMEO | | 03/01/2021 | SINKING FUND REDEMPTION | 140,365 | 140,365 | 140,365 | 140,365 | | | | | | 140,365 | | | | | 131 | 09/01/2041 | 1.A FE | | |
| .60416Q-GB-4 | MINNESOTA ST HSG FIN AGY HOMEO | | 03/01/2021 | SINKING FUND REDEMPTION | 65,000 | 65,000 | 69,848 | 65,050 | ..(40,000) | ..(40,000) | ..(40,000) | ..(40,000) | ..(40,000) | 65,000 | | | | | 666 | 11/01/2044 | 1.A FE | | |
| .613349-2F-5 | MONTGOMERY CNTY MD HSG OPPORTU | | 01/01/2021 | MATURITY at 100,0000 | 15,000 | 15,000 | 16,191 | 15,009 | | | | | | 15,000 | | | | | 1,300 | 01/01/2031 | 1.C FE | | |
| .64990E-N5-2 | NEW YORK ST DORM AUTH ST PERSO | | 02/15/2021 | SINKING FUND REDEMPTION | 40,000 | 40,000 | 50,100 | 40,159 | | | | | | 40,000 | | | | | 1,000 | 02/15/2021 | 1.A FE | | |
| .83756C-FR-1 | SOUTH DAKOTA HSG DEV AUTH | | 02/11/2021 | | 15,000 | 15,000 | 16,191 | 15,009 | | | | | | 15,000 | | | | | 31 | 11/01/2044 | 1.A FE | | |
| 3199999. Subtotal - Bonds - U.S. Special Revenues | | | | | | 3,469,899 | 3,469,899 | 3,625,061 | 3,451,116 | | | | | (3,555) | | | | | 3,469,899 | | 26,901 | XXX | XXX |
| .00971A-AA-0 | AJAX MORTGAGE LOAN TRUST 21-A A1 | | 03/25/2021 | MBS PAYDOWN | 17,512 | 17,512 | 17,511 | 17,511 | ..(12) | ..(12) | ..(12) | ..(12) | ..(12) | 17,512 | | | | | 23 | 09/25/2065 | 1.A FE | | |
| .02040V-AC-7 | ALLY AUTO RECEIVABLES TRUST 18-2 A3 | | 03/15/2021 | MBS PAYDOWN | 137,650 | 137,650 | 137,521 | 137,521 | ..(129) | ..(129) | ..(129) | ..(129) | ..(129) | 137,650 | | | | | 652 | 11/15/2022 | 1.A FE | | |
| .03464K-AA-6 | ANGEL OAK MORTGAGE TRUST 19-5 A1 | | 03/01/2021 | MBS PAYDOWN | 106,567 | 106,567 | 106,565 | 106,566 | | | | | | 106,567 | | | | | 457 | 10/25/2049 | 1.A FM | | |
| .042858-AA-8 | ARROYO MORTGAGE TRUST 19-2 A1 | | 03/01/2021 | MBS PAYDOWN | 98,412 | 98,412 | 98,412 | 98,412 | | | | | | 98,412 | | | | | 529 | 04/25/2049 | 1.A FM | | |
| 03/18/2021 SECURITY | | | | | | | | | | | | | | | | | | | | | | | |
| .125523-AE-0 | CIGNA CORP | | 03/18/2021 | CALLED AT | 508,049 | 500,000 | 500,000 | 500,000 | ..(500,000) | ..(500,000) | ..(500,000) | ..(500,000) | ..(500,000) | 500,000 | | | | | 16,596 | 09/17/2021 | 2.B FE | | |
| .12592L-BH-4 | COMM MORTGAGE TRUST 14 CR20 A3 | | 02/01/2021 | MBS PAYDOWN | 104,017 | 104,017 | 105,053 | 104,037 | ..(20) | ..(20) | ..(20) | ..(20) | ..(20) | 104,017 | | | | | 577 | 11/10/2047 | 1.A FE | | |
| .14313F-AD-1 | CARMAX AUTO OWNER TRUST 18-3 A3 | | 03/15/2021 | MBS PAYDOWN | 262,686 | 262,686 | 262,651 | 262,397 | ..(290) | ..(290) | ..(290) | ..(290) | ..(290) | 262,686 | | | | | 1,351 | 06/15/2023 | 1.A FE | | |
| .17305E-FM-2 | CITIBANK CREDIT CARD ISSUANCE 14 A1 A1 | | 01/21/2021 | MBS PAYDOWN | 1,250,000 | 1,250,000 | 1,249,373 | 1,249,995 | ..(5) | ..(5) | ..(5) | ..(5) | ..(5) | 1,250,000 | | | | | 18,000 | 01/23/2022 | 1.A FE | | |
| .17328P-AB-6 | CITI GROUP MORTGAGE LOAN TRUST 20-EXP2 A3 | | 03/01/2021 | MBS PAYDOWN | 212,795 | 212,795 | 218,181 | 213,110 | ..(315) | ..(315) | ..(315) | ..(315) | ..(315) | 212,795 | | | | | 845 | 08/25/2050 | 1.A FM | | |
| .17328P-AX-1 | CITI GROUP MORTGAGE LOAN TRUST 20-EXP2 A4 | | 03/01/2021 | MBS PAYDOWN | 70,932 | 70,932 | 72,284 | 71,011 | ..(79) | ..(79) | ..(79) | ..(79) | ..(79) | 70,932 | | | | | 282 | 08/25/2050 | 1.A FM | | |
| .19329L-AN-7 | COLE PARK CLO LIMITED 15-1A AR | | 03/10/2021 | VARIOUS | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | ..(3,000,000) | ..(3,000,000) | ..(3,000,000) | ..(3,000,000) | ..(3,000,000) | 3,000,000 | | | | | 14,225 | 10/20/2028 | 1.A FE | | |
| .21638A-AA-9 | COOPERAT RABOBANK UA/NY | D | 01/19/2021 | MATURITY at 100,0000 | 250,000 | 250,000 | 249,440 | 249,994 | ..(6) | ..(6) | ..(6) | ..(6) | ..(6) | 250,000 | | | | | 3,125 | 01/19/2021 | 1.D FE | | |
| .21872N-AA-8 | COLONY AMERICAN FINANCE LTD 19-3 A | | 03/01/2021 | MBS PAYDOWN | 112,767 | 112,767 | 112,764 | 112,764 | | | | | | 112,767 | | | | | 1,531 | 10/15/2052 | 1.A FE | | |
| .21873A-AA-5 | COLONY AMERICAN FINANCE LTD 20-4 A | | 03/01/2021 | MBS PAYDOWN | 6,831 | 6,831 | 6,831 | 6,831 | | | | | | 6,831 | | | | | 14 | 12/15/2052 | 1.A FE | | |
| .24381N-AA-6 | DEEPHAVEN RESIDENTIAL MORTGAGE 19-3A A1 | | 03/01/2021 | MBS PAYDOWN | 178,028 | 178,028 | 178,028 | 178,028 | | | | | | 178,028 | | | | | 821 | 07/25/2059 | 1.A FM | | |
| .24703N-AB-5 | DELL EQUIPMENT FINANCE TRUST 20-1 A2 | | 03/22/2021 | MBS PAYDOWN | 134,098 | 134,098 | 134,082 | 133,935 | ..(163) | ..(163) | ..(163) | ..(163) | ..(163) | 134,098 | | | | | 642 | 06/22/2022 | 1.A FE | | |
| .26250J-AS-9 | DRYDEN SENIOR LOAN FUND 12-25A ARR | | 01/15/2021 | MBS PAYDOWN | 159,922 | 159,922 | 159,922 | 159,922 | ..(159,922) | ..(159,922) | ..(159,922) | ..(159,922) | ..(159,922) | 159,922 | | | | | 465 | 10/15/2027 | 1.A FE | | |
| .31574X-AB-4 | ELLINGTON FINANCIAL MORTGAGE T 21-1 A2 | | 03/01/2021 | MBS PAYDOWN | 14,526 | 14,526 | 14,526 | 14,526 | | | | | | 14,526 | | | | | 12 | 02/25/2066 | 1.C FE | | |
| .33767J-AA-0 | FIRSTKEY HOMES 2020-SFR1 TRUST 20-SFR2 A | | 03/17/2021 | MBS PAYDOWN | 8,828 | 8,828 | 8,828 | 8,823 | ..(5) | ..(5) | ..(5) | ..(5) | ..(5) | 8,828 | | | | | 28 | 10/19/2037 | 1.A FE | | |
| .33851K-AG-1 | FLAGSTAR MORTGAGE TRUST 20-2 A4 | | 03/01/2021 | MBS PAYDOWN | 156,797 | 156,797 | 160,704 | 157,140 | ..(343) | ..(343) | ..(343) | ..(343) | ..(343) | 156,797 | | | | | 834 | 08/01/2050 | 1.A FM | | |
| .36255J-AD-6 | GM FINANCIAL SECURITIZED TERM 18-3 A3 | | 03/16/2021</ | | | | | | | | | | | | | | | | | | | | |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

| 1 CUSIP Ident- ification | 2 Description | 3 For- eign | 4 Disposal Date | 5 Name of Purchaser | 6 Number of Shares of Stock | 7 Consid- eration | 8 Par Value | 9 Actual Cost | 10 Prior Year Book/ Adjusted Carrying Value | Change In Book/Adjusted Carrying Value | | | | | 16 Book/ Adjusted Carrying Value at Disposal Date | 17 Foreign Exchange Gain (Loss) on Disposal | 18 Realized Gain (Loss) on Disposal | 19 Total Gain (Loss) on Disposal | 20 Bond Interest/ Stock Dividends Received During Year | 21 Stated Con- tractual Maturity Date | 22 NAIC Desig- nation, NAIC Desig- nation Modifier and SVO Adminis- trative Symbol | | |
|---|--|-------------------|-----------------------|---------------------------|--------------------------------------|-------------------------|----------------|---------------------|--|--|--|---|---|---|---|--|---|---|---|--|--|----------------------------|------------------|
| | | | | | | | | | | 11 Unrealized Valuation Increase/ (Decrease) | 12 Current Year's (Amor- tization)/ Accretion | 13 Current Year's Other Than Temporary Impairment Recogn- ized | 14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13) | 15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value | | | | | | | | | |
| .81747C-AU-5 | SEQUOIA MORTGAGE TRUST 19-CH2 A19 | | 03/01/2021 | MBS PAYDOWN | 69,677 | 69,677 | .71,477 | .69,849 | .(172) | | | .(172) | | .69,677 | | | | | .540 | .08/25/2049 | 1.A FM | | |
| .81748J-AU-9 | SEQUOIA MORTGAGE TRUST 19-4 A19 | | 03/01/2021 | MBS PAYDOWN | 128,950 | 128,950 | .132,053 | .129,317 | .(367) | | | .(367) | | .128,950 | | | | | .765 | .11/25/2049 | 1.A FM | | |
| .827304-AA-4 | PROJECT SILVER 19-1 A | | 03/15/2021 | MBS PAYDOWN | 12,847 | 12,847 | .12,847 | .12,828 | .19 | | | .19 | | .12,847 | | | | | .71 | .07/15/2044 | 2.A FE | | |
| .828807-DF-1 | SIMON PROPERTY GROUP LP | | 01/06/2021 | MBS PAYDOWN | 1,041,550 | 1,000,000 | .989,300 | .990,542 | .19 | | | .19 | | .990,561 | | | | | .7,826 | .09/13/2029 | 1.G FE | | |
| .85211X-AA-1 | SPRUCE HILL MORTGAGE LOAN TRUS 19-SH1 A1 | | 03/01/2021 | VARIOUS | 80,787 | 80,787 | .80,786 | .80,787 | | | | | | .80,787 | | | | | .766 | .04/29/2049 | 1.A FM | | |
| .86212X-AB-6 | STORE MASTER FUNDING LLC 19-1 A2 | | 03/20/2021 | MBS PAYDOWN | 2,573 | 2,573 | .2,573 | .2,568 | | | | | | .2,573 | | | | | .16 | .11/20/2049 | 1.A FE | | |
| .86358R-XZ-5 | STRUCTURED ASSET SECURITIES 02 AL1 A3 | | 03/01/2021 | MBS PAYDOWN | 1,963 | 1,963 | .1,829 | .1,960 | | | | | | .1,963 | | | | | .12 | .02/25/2032 | 5.A FE | | |
| .89152U-AF-9 | TOTAL CAPITAL SA | D | 01/28/2021 | MATURITY at 100,000 | 500,000 | 500,000 | .514,565 | .500,399 | | | | | | .500,000 | | | | | .10,313 | .01/28/2021 | 1.D FE | | |
| .89180D-AA-4 | TOID POINT ASSET FUNDING LLC 21-HE1 A1 | | 03/01/2021 | MBS PAYDOWN | 21,681 | 21,681 | .21,681 | .21,681 | | | | | | .21,681 | | | | | .17 | .02/25/2063 | 1.A FE | | |
| .92212K-AA-4 | VANTAGE DATA CENTERS LLC 19-1A A2 | | 03/15/2021 | MBS PAYDOWN | 2,500 | 2,500 | .2,500 | .2,500 | | | | | | .2,500 | | | | | .13 | .07/15/2044 | 1.G FE | | |
| .92837K-AA-9 | VIS10 2020-1 TRUST 20-1 A1 | | 03/01/2021 | MBS PAYDOWN | 74,077 | 74,077 | .74,076 | .74,077 | | | | | | .74,077 | | | | | .203 | .08/25/2055 | 1.A FE | | |
| .94354K-AA-8 | WAVE USA 19-1 A | | 03/15/2021 | MBS PAYDOWN | 13,173 | 13,173 | .13,172 | .13,155 | | | | | | .13,173 | | | | | .80 | .09/15/2044 | 2.A FE | | |
| 3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) | | | | | 11,856,044 | 11,806,445 | .11,838,756 | .11,708,412 | | | | | | (913) | | | | | .11,797,006 | .50,989 | .50,989 | .99,718 XXX XXX | |
| .491288-AF-8 | JPMORGAN CHASE & CO | | 02/18/2021 | VARIOUS | 264,156 | 250,000 | .251,875 | .251,388 | (45) | | | | | (45) | | | | | .251,342 | .12,814 | .12,814 | .6,806 .07/31/2049 2.B FE | |
| .898320-AD-1 | TRUIST FINANCIAL CORP | | 03/26/2021 | VARIOUS | 391,500 | 375,000 | .375,844 | .375,824 | (53) | | | | | (53) | | | | | .375,772 | .15,728 | .15,728 | .10,430 .06/15/2049 2.B FE | |
| 4899999. Subtotal - Bonds - Hybrid Securities | | | | | 655,656 | 625,000 | .627,719 | .627,212 | | | | | | (98) | | | | | .627,114 | .28,542 | .28,542 | .17,236 XXX XXX | |
| 8399997. Total - Bonds - Part 4 | | | | | 17,027,820 | 16,947,565 | .17,211,427 | .16,834,435 | | | | | | (6,039) | | | | | .16,940,240 | .79,531 | .79,531 | .154,667 XXX XXX | |
| 8399998. Total - Bonds - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | | | | | XXX | | | | | XXX | XXX | XXX | XXX XXX | |
| 8399999. Total - Bonds | | | | | 17,027,820 | 16,947,565 | .17,211,427 | .16,834,435 | | | | | | (6,039) | | | | | .16,940,240 | .79,531 | .79,531 | .154,667 XXX XXX | |
| 8999997. Total - Preferred Stocks - Part 4 | | | | | XXX | | | | | | | | | | | | | | | | | XXX XXX | |
| 8999998. Total - Preferred Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | | | | | XXX | | | | | XXX | XXX | XXX XXX | | |
| 8999999. Total - Preferred Stocks | | | | | XXX | | | | | | | | | | | | | | | | | XXX XXX | |
| 9799997. Total - Common Stocks - Part 4 | | | | | XXX | | | | | | | | | | | | | | | | | XXX XXX | |
| 9799998. Total - Common Stocks - Part 5 | | | | | XXX | XXX | XXX | XXX | XXX | | | | | XXX | | | | | XXX | XXX | XXX XXX | | |
| 9799999. Total - Common Stocks | | | | | XXX | | | | | | | | | | | | | | | | | XXX XXX | |
| 9899999. Total - Preferred and Common Stocks | | | | | 17,027,820 | XXX | .17,211,427 | .16,834,435 | | | | | | (6,039) | | | | | .16,940,240 | | .79,531 | .79,531 | .154,667 XXX XXX |
| 9999999 - Totals | | | | | | | | | | | | | | | | | | | | | | | |

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 Depository | 2 Code | 3 Rate of Interest | 4 Amount of Interest Received During Current Quarter | 5 Amount of Interest Accrued at Current Statement Date | Book Balance at End of Each Month During Current Quarter | | | 9 * |
|--|-----------|--------------------------|--|--|---|-------------------|------------------|--------|
| | | | | | 6 First Month | 7 Second Month | 8 Third Month | |
| Citizens Bank Providence, Rhode Island | SD | 0.000 | .2 | | 13,263 | 13,264 | 13,264 | XXX |
| Federal Home Loan Bank of Cincinnati Cincinnati, Ohio | | | | | 669,636 | 946,508 | 1,262,000 | XXX |
| Fifth Third Bank Cincinnati, Ohio | SD | | 46 | | | 1 | | XXX |
| Fifth Third Bank Cincinnati, Ohio | | | | | (3) | 230,622 | (3) | XXX |
| Fifth Third Bank Columbus, Ohio | | | 9,320 | | 224,390,776 | 20,279,269 | 20,778,148 | XXX |
| United Bank, N.A. Bucyrus, Ohio | | | 263 | | (5,482,742) | (3,737,458) | (4,821,457) | XXX |
| 0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories | XXX | XXX | | | | | | XXX |
| 0199999. Totals - Open Depositories | XXX | XXX | 9,631 | | 219,590,930 | 17,732,206 | 17,231,952 | XXX |
| 0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories | XXX | XXX | | | | | | XXX |
| 0299999. Totals - Suspended Depositories | XXX | XXX | | | | | | XXX |
| 0399999. Total Cash on Deposit | XXX | XXX | 9,631 | | 219,590,930 | 17,732,206 | 17,231,952 | XXX |
| 0499999. Cash in Company's Office | XXX | XXX | XXX | XXX | | | | XXX |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 0599999. Total - Cash | XXX | XXX | 9,631 | | 219,590,930 | 17,732,206 | 17,231,952 | XXX |

STATEMENT AS OF MARCH 31, 2021 OF THE United Ohio Insurance Company

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

| 1 CUSIP | 2 Description | 3 Code | 4 Date Acquired | 5 Rate of Interest | 6 Maturity Date | 7 Book/Adjusted Carrying Value | 8 Amount of Interest Due and Accrued | 9 Amount Received During Year |
|---|------------------|-----------|--------------------|-----------------------|--------------------|--------------------------------------|--|-------------------------------------|
| 0599999. Total - U.S. Government Bonds | | | | | | | | |
| 1099999. Total - All Other Government Bonds | | | | | | | | |
| 1799999. Total - U.S. States, Territories and Possessions Bonds | | | | | | | | |
| 2499999. Total - U.S. Political Subdivisions Bonds | | | | | | | | |
| 3199999. Total - U.S. Special Revenues Bonds | | | | | | | | |
| 3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds | | | | | | | | |
| 4899999. Total - Hybrid Securities | | | | | | | | |
| 5599999. Total - Parent, Subsidiaries and Affiliates Bonds | | | | | | | | |
| 6099999. Subtotal - SVO Identified Funds | | | | | | | | |
| 6599999. Subtotal - Unaffiliated Bank Loans | | | | | | | | |
| 7699999. Total - Issuer Obligations | | | | | | | | |
| 7799999. Total - Residential Mortgage-Backed Securities | | | | | | | | |
| 7899999. Total - Commercial Mortgage-Backed Securities | | | | | | | | |
| 7999999. Total - Other Loan-Backed and Structured Securities | | | | | | | | |
| 8099999. Total - SVO Identified Funds | | | | | | | | |
| 8199999. Total - Affiliated Bank Loans | | | | | | | | |
| 8299999. Total - Unaffiliated Bank Loans | | | | | | | | |
| 8399999. Total Bonds | | | | | | | | |
| 60934N-87-2 FEDERATED TREASURY OBLIGA-SS | | | 03/16/2021 | .0 .000 | | .8,402,652 | | .59 |
| 8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO | | | | | | | 8,402,652 | 59 |
| 9999999 - Total Cash Equivalents | | | | | | | 8,402,652 | 59 |



SUPPLEMENT FOR THE QUARTER ENDING MARCH 31, 2021 OF THE United Ohio Insurance Company

DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended MARCH 31, 2021

NAIC Group Code 0963

NAIC Company Code 13072

Company Name United Ohio Insurance Company

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

| 1 Direct Written Premium | 2 Direct Earned Premium | 3 Direct Losses Incurred |
|--------------------------------|-------------------------------|--------------------------------|
| \$ | \$ | \$ |

2. Commercial Multiple Peril (CMP) Packaged Policies

2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? Yes [] No []

2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? Yes [] No []

2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies

2.31 Amount quantified: \$

2.32 Amount estimated using reasonable assumptions: \$

2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. \$