



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020
OF THE CONDITION AND AFFAIRS OF THE

Mount Carmel Health Plan, Inc.

NAIC Group Code 2838 2838 NAIC Company Code 95655 Employer's ID Number 31-1471229
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/07/1996 Commenced Business 04/01/1997

Statutory Home Office 6150 East Broad Street, EE320, Columbus, OH, US 43213
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320
(Street and Number)
Columbus, OH, US 43213, 614-546-3211
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320, Columbus, OH, US 43213
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6150 East Broad Street, EE320
(Street and Number)
Columbus, OH, US 43213, 614-546-3211
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.medigold.com

Statutory Statement Contact Juan Manuel Fraiz, 614-546-3211
(Name) (Area Code) (Telephone Number)
Juan.Fraiz@mchs.com, 614-546-3131
(E-mail Address) (FAX Number)

OFFICERS

Board Chair Daniel James Wendorff MD Vice President & CFO Juan Manuel Fraiz
President & CEO Cynthia Mauro Dellecker Treasurer Joseph Jerome Patrick Jr.

OTHER

Sister Barbara Ann Hahl, CSC, Secretary

DIRECTORS OR TRUSTEES

Cynthia Mauro Dellecker Sister Barbara Ann Hahl, CSC Lorraine Leigh Lutton
Stephen Michael Lundregan Joseph Jerome Patrick Jr. Daniel James Wendorff, MD Chairperson

State of Ohio SS:
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Cynthia Mauro Dellecker
President & CEO

Juan Manuel Fraiz
Vice President & CFO

Sister Barbara Hahl, CSC
Secretary

Subscribed and sworn to before me this _____ day of _____

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed11/15/2020
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	134,472,648		134,472,648	139,295,166
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks	88,648,925		88,648,925	65,834,756
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$(745,053)), cash equivalents (\$135,002,589) and short-term investments (\$54,693,826)	188,951,362		188,951,362	148,719,197
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	148,653		148,653	39,171
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	412,221,588	0	412,221,588	353,888,290
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	876,918		876,918	946,610
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	712,462	2,172	710,290	863,098
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	227,558
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$0)	1,669,653	1,669,653	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	0		0	0
24. Health care (\$16,492,989) and other amounts receivable	17,293,305	800,316	16,492,989	12,082,053
25. Aggregate write-ins for other than invested assets	44,181	0	44,181	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	432,818,107	2,472,141	430,345,966	368,007,609
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	432,818,107	2,472,141	430,345,966	368,007,609
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. CGDP receivable	42,326	0	42,326	0
2502. Other miscellaneous receivable	1,855	0	1,855	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	44,181	0	44,181	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	30,354,099		30,354,099	36,070,274
2. Accrued medical incentive pool and bonus amounts	40,057,580		40,057,580	21,713,594
3. Unpaid claims adjustment expenses	578,699		578,699	697,931
4. Aggregate health policy reserves, including the liability of \$9,100,963 for medical loss ratio rebate per the Public Health Service Act	9,100,963		9,100,963	0
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	208,140		208,140	167,076
9. General expenses due or accrued	6,452,109		6,452,109	6,802,679
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	4,238,660		4,238,660	5,937,806
16. Derivatives			0	0
17. Payable for securities	132,647		132,647	27,594
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ current)	20,362,244	0	20,362,244	6,726,387
24. Total liabilities (Lines 1 to 23)	111,485,141	0	111,485,141	78,143,341
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	42,422,534	42,422,534
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	276,438,291	247,441,734
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	318,860,825	289,864,268
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	430,345,966	368,007,609
DETAILS OF WRITE-INS				
2301. Due to CMS RAPS Delete	17,772,026		17,772,026	17,772,026
2302. Due from CMS Part D Coverage Gap	0		0	(2,042,670)
2303. Net due to CMS Premium Part D	1,469,783		1,469,783	0
2398. Summary of remaining write-ins for Line 23 from overflow page	1,120,435	0	1,120,435	(9,002,969)
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	20,362,244	0	20,362,244	6,726,387
2501.	XXX	XXX		0
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	393,151	414,766	549,878
2. Net premium income (including \$ non-health premium income)	XXX	417,410,955	422,250,604	557,327,568
3. Change in unearned premium reserves and reserve for rate credits	XXX			0
4. Fee-for-service (net of \$ medical expenses)	XXX			0
5. Risk revenue	XXX			0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	417,410,955	422,250,604	557,327,568
Hospital and Medical:				
9. Hospital/medical benefits		162,455,526	200,194,178	265,870,315
10. Other professional services		96,887,293	113,254,033	150,483,921
11. Outside referrals				0
12. Emergency room and out-of-area				0
13. Prescription drugs		39,277,507	35,850,030	40,989,180
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts		48,547,087	19,060,797	19,135,336
16. Subtotal (Lines 9 to 15)	0	347,167,413	368,359,038	476,478,752
Less:				
17. Net reinsurance recoveries		1,469,064	360,258	771,926
18. Total hospital and medical (Lines 16 minus 17)	0	345,698,349	367,998,780	475,706,826
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$ 7,079,340 cost containment expenses		12,895,879	12,620,970	16,510,367
21. General administrative expenses		25,370,823	22,831,194	32,500,308
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)		9,100,963		0
23. Total underwriting deductions (Lines 18 through 22)	0	393,066,014	403,450,944	524,717,501
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	24,344,941	18,799,660	32,610,067
25. Net investment income earned		3,815,110	4,233,397	5,672,892
26. Net realized capital gains (losses) less capital gains tax of \$		(290,096)	228,407	6,450,462
27. Net investment gains (losses) (Lines 25 plus 26)	0	3,525,014	4,461,804	12,123,354
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]				0
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	27,869,955	23,261,464	44,733,421
31. Federal and foreign income taxes incurred	XXX			
32. Net income (loss) (Lines 30 minus 31)	XXX	27,869,955	23,261,464	44,733,421
DETAILS OF WRITE-INS				
0601.	XXX		0	0
0602.	XXX			0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701. Other non-health related revenue	XXX			0
0702.	XXX			0
0703.	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				0
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Other revenue			0	0
2902. Other income			0	0
2903.				0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	289,864,268	238,402,878	238,402,878
34. Net income or (loss) from Line 32.....	27,869,955	23,261,464	44,733,421
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....	799,680	8,044,358	6,908,047
37. Change in net unrealized foreign exchange capital gain or (loss).....			0
38. Change in net deferred income tax.....			
39. Change in nonadmitted assets.....	326,922	(435,712)	(180,078)
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....	0	0	0
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	28,996,557	30,870,110	51,461,390
49. Capital and surplus end of reporting period (Line 33 plus 48)	318,860,825	269,272,988	289,864,268
DETAILS OF WRITE-INS			
4701.	0	0	0
4702.			0
4703.		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	426,703,728	422,326,376	557,349,195
2. Net investment income	4,032,552	4,371,521	5,797,070
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	430,736,280	426,697,897	563,146,265
5. Benefit and loss related payments	346,299,441	365,843,841	476,483,321
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	38,736,504	38,551,099	54,250,122
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	0	0	0
10. Total (Lines 5 through 9)	385,035,945	404,394,940	530,733,443
11. Net cash from operations (Line 4 minus Line 10)	45,700,335	22,302,957	32,412,822
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	40,173,879	25,414,466	38,629,826
12.2 Stocks	13,648,385	14,804,028	42,029,449
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	594	730	5,521
12.7 Miscellaneous proceeds	105,053	549,971	27,594
12.8 Total investment proceeds (Lines 12.1 to 12.7)	53,927,911	40,769,195	80,692,391
13. Cost of investments acquired (long-term only):			
13.1 Bonds	57,007,054	32,077,245	49,903,818
13.2 Stocks	14,445,622	15,593,878	43,517,979
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	109,482	364,890	39,171
13.7 Total investments acquired (Lines 13.1 to 13.6)	71,562,158	48,036,013	93,460,968
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(17,634,247)	(7,266,818)	(12,768,578)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	12,166,075	(1,922,004)	(13,675,173)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	12,166,075	(1,922,004)	(13,675,173)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	40,232,164	13,114,135	5,969,071
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	148,719,198	142,750,127	142,750,127
19.2 End of period (Line 18 plus Line 19.1)	188,951,362	155,864,261	148,719,198

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. SSAP No. 3 - Adjustment for prior year's Centers for Medicare and Medicaid Service overpayments	0	0	0
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	44,896	0	0	0	0	0	0	44,896	0	0
2. First Quarter	43,902	0	0	0	0	0	0	43,902	0	0
3. Second Quarter	43,583	0	0	0	0	0	0	43,583	0	0
4. Third Quarter	43,192							43,192		
5. Current Year	0									
6. Current Year Member Months	393,151							393,151		
Total Member Ambulatory Encounters for Period:										
7. Physician	230,911							230,911		
8. Non-Physician	76,970							76,970		
9. Total	307,881	0	0	0	0	0	0	307,881	0	0
10. Hospital Patient Days Incurred	56,205							56,205		
11. Number of Inpatient Admissions	6,632							6,632		
12. Health Premiums Written (a)	417,452,019							417,452,019		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	417,410,955							417,410,955		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services.....	333,070,537							333,070,537		
18. Amount Incurred for Provision of Health Care Services	347,167,413							347,167,413		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	27,824,389	275,043,048	(209,717)	30,563,815	27,614,672	36,070,273
7. Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	27,824,389	275,043,048	(209,717)	30,563,815	27,614,672	36,070,273
10. Healthcare receivables (a)					0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	12,166,131	18,036,969	12,410,444	27,647,136	24,576,575	21,713,594
13. Totals (Lines 9-10+11+12)	39,990,520	293,080,017	12,200,727	58,210,951	52,191,247	57,783,867

(a) Excludes \$ loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	27,869,955	44,733,421
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	27,869,955	44,733,421
SURPLUS					
(5) State basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	318,860,825	289,864,268
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	318,860,825	289,864,268

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during that period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by the government. Premiums received prior to such period are recorded as advanced premiums.

Benefits incurred and loss adjustment expenses include claims payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the Statements of Admitted Assets, Liabilities and Surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximate fair value due to the short-term maturities of the investments.

(2) – (4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds are carried at amortized cost.

The Company regularly evaluates investment securities for impairment. The related investment is written down to its estimated value.

Amortization of bond premium or discount is computed using the effective yield method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) The Company does not have any mortgage loans on real estate investments.

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities, except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

(7) The Company carries its investments in Mount Carmel Health Plan of Idaho, Inc. (MCHP-ID) and Mount Carmel Health Plan of New York, Inc. (MCHP-NY) at their statutory

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

capital plus surplus values.

(8) The Company does not have any joint venture investments.

(9) The Company does not have any derivatives.

(10) - (11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current period and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses, and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using amount of billed rebates provided by its Pharmacy Benefit Manager, adjusted for historical recovery patterns.

D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

2. Accounting Changes and Correction of Errors

None.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable

B. Statutory merger

Not Applicable

C. Assumption Reinsurance

Not Applicable

D. Impairment Loss

Not Applicable

4. Discontinued Operations

A – D Not Applicable

5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

B. Debt Restructuring

Not Applicable

C. Reverse Mortgages

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

D. Loan-Backed Securities

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell			0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
c. Total 1st Quarter	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell			0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
f. Total 2nd Quarter	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell			0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			0
i. Total 3rd Quarter	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell	0	0	0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis	0	0	0
l. Total 4th Quarter	0	0	0
m. Annual Aggregate Total		0	

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than- Temporary Impairment	5 Amortized Cost After Other-Than- Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4)

a. The aggregate amount of unrealized losses:		
1. Less than 12 Months		113
2. 12 Months or Longer		0
b. The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 Months		3,398,540
2. 12 Months or Longer		0

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreement Transactions Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

I. Reserve Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown			0		0	0.000	0.000
b. Collateral held under security lending agreements			0		0	0.000	0.000
c. Subject to repurchase agreements			0		0	0.000	0.000
d. Subject to reverse repurchase agreements			0		0	0.000	0.000
e. Subject to dollar repurchase agreements			0		0	0.000	0.000
f. Subject to dollar reverse repurchase agreements			0		0	0.000	0.000
g. Placed under option contracts			0		0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock			0		0	0.000	0.000
i. FHLB capital stock			0		0	0.000	0.000
j. On deposit with states	463,811	471,597	(7,786)		463,811	0.107	0.108
k. On deposit with other regulatory bodies			0		0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)			0		0	0.000	0.000
m. Pledged as collateral not captured in other categories			0		0	0.000	0.000
n. Other restricted assets			0		0	0.000	0.000
o. Total Restricted Assets	463,811	471,597	(7,786)	0	463,811	0.107	0.108

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)m Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)m Column 5.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)n Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)n Column 5.

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(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets **
a. Cash, Cash Equivalents and Short-Term Investments			0.000 %	0.000 %
b. Schedule D, Part 1			0.000 %	0.000 %
c. Schedule D, Part 2, Section 1			0.000 %	0.000 %
d. Schedule D, Part 2, Section 2			0.000 %	0.000 %
e. Schedule B			0.000 %	0.000 %
f. Schedule A			0.000 %	0.000 %
g. Schedule BA, Part 1			0.000 %	0.000 %
h. Schedule DL, Part 1			0.000 %	0.000 %
i. Other			0.000 %	0.000 %
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i)	0	0	0.000 %	0.000 %

* Column 1 divided by Asset Page, Line 26 (Column 1)

** Column 1 divided by Asset Page, Line 26 (Column 3)

	1 Amount	2 % of Liability to Total Liabilities *
k. Recognized Obligation to Return Collateral Asset		0.000 %

* Column 1 divided by Liability Page, Line 24(Column 3)

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
Due from Centers for Medicare and Medicaid Services	2,198,822	2,198,822	0

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			
Due to Centers for Medicare and Medicaid Services	22,520,907	2,198,822	20,322,085

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

O. 5GI Securities

Not Applicable

P. Short Sales

Not Applicable

Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>
1. Number of CUSIPs	4
2. Aggregate Amount of Investment Income	161,384

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for investments in Joint Ventures, Partnerships or Limited Liability Companies during the statement periods.

7. Investment Income

- A. Due and accrued income was excluded from surplus on the following bases:

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

All investment income due and accrued with amounts that are over 90 days past due.

B. The total amount excluded was \$-0-.

8. Derivative Investments

A - B Not Applicable

9. Income Taxes

Not Applicable

10. Information Concerning Parent, Subsidiaries & Affiliates

A- C. The Company leases the services of certain employees and its office space from Mount Carmel Health System ("MCHS"). Additionally, MCHS also provides certain management and administrative services to the Company. Expenses related to services provided by MCHS were \$4,530,109 on September 30, 2020.

The Company also provides by agreement certain management, administrative, and marketing services to Mount Carmel Health Insurance Company ("MCHIC"). Amount related to services provided by the Company to MCHIC were \$763,022 on September 30, 2020.

In 2018, the Company made a capital contribution of \$2,124,262 to Mount Carmel Health Plan of Idaho, Inc. (MCHP of Idaho), a wholly owned subsidiary. As of September 30, 2020, the investment in MCHP of Idaho is valued at \$2,189,893.

During February 2020, the Company obtained license in New York to write Medicare business and made a \$21,800,795 capital contribution to MCHP of New York. The Company currently plans to start writing business in New York January 1, 2022. As of September 30, 2020, the investment in MCHP of New York is valued at \$22,006,307.

D. The Company owed \$4,238,659 to Mount Carmel Health System (MCHS) and its affiliates as of September 30, 2020.

E. Not Applicable

F. Mount Carmel Health Plan (MCHP), a sister company to MCHIC, has agreed to provide administrative services to MCHIC and to MCHP subsidiary related to the operation of Medicare Advantage products offered by MCHIC.

G. All outstanding shares of the Company are owned by MCHS, a non-profit corporation domiciled in the State of Ohio. In addition, MCHS is sole owner of MCHIC.

H. Not Applicable

I. Not Applicable

J. Not Applicable

K. Not Applicable

L. Not Applicable

M. All SCA Investments

(1) Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8bi Entities)

SCA Entity	Percentage of SCA Ownership	Gross Amount	Admitted Amount	Nonadmitted Amount
a. SSAP No. 97 8a Entities				
Mount Carmel Health Plan of Idaho, Inc.	100.0	2,189,893	2,189,893	0
Mount Carmel Health Plan of New York, Inc.	100.0	22,006,307	22,006,307	0
Total SSAP No. 97 8a Entities	XXX	24,196,200	24,196,200	0
b. SSAP No. 97 8b(ii) Entities				
Total SSAP No. 97 8b(ii) Entities	XXX	0	0	0
c. SSAP No. 97 8b(iii) Entities				
Total SSAP No. 97 8b(iii) Entities	XXX	0	0	0
d. SSAP No. 97 8b(iv) Entities				
Total SSAP No. 97 8b(iv) Entities	XXX	0	0	0
e. Total SSAP No. 97 8b Entities (except 8bi entities) (b+c+d)	XXX	0	0	0
f. Aggregate Total (a+ e)	XXX	24,196,200	24,196,200	0

(2) NAIC Filing Response Information

Not Applicable

N. Investment in Insurance SCAs

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

O. SCA or SSAP 48 Entity Loss Tracking

Not Applicable

11. Debt – Not Applicable

A. Debt Including Capital Notes

Not Applicable

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits, and Compensated Absences and Other Postretirement Benefit Plans

A. – D. Defined Benefit Plan

Not Applicable

E. Defined Contribution Plans

Not Applicable

F. Multiemployer Plans

Not Applicable

G. Consolidated/Holding Company Plans

Not Applicable

H. Postemployment Benefits and Compensated Absences

Not Applicable

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The company has no shares authorized, issued and outstanding.

(2) The Company has no preferred stock outstanding.

(3) Not Applicable

(4) No dividends were paid by the Company.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The total amount of advances to surplus not repaid is \$-0-.

(8) The Company did not hold stock, including stock of affiliated companies, for special purposes of conversion of preferred stock, employee stock options, or stock purchase warrants.

(9) There were no changes in balances of special surplus funds from the prior year.

(10) The Company did not have unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses.

(11) The Company did not issue surplus debentures or similar obligations during the statement periods.

(12) The Company did not have a restatement due to a prior quasi-reorganization.

(13) The Company did not have any quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable

B. Assessments

Not Applicable

C. Gain Contingencies

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

Not Applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

(1)
Direct

(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits 0

E. Joint and Several Liabilities

Not Applicable

F. All Other Contingencies

The Company is subject to various contingencies, including legal and compliance actions and proceedings that arise in the ordinary course of its business. Due to the complex nature of these actions and proceedings, the timing of the ultimate resolution of these matters is uncertain. Based on information received through the submission date of these statutory financial statements, management of the Company, after consultation with legal counsel, does not believe that the ultimate resolution of these matters will have a material adverse effect on the Company's future financial position or results of operations.

The Company is not aware of any other material contingent liabilities as of September 30, 2020.

15. Leases

Not Applicable

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not hold any financial instruments with off-balance sheet risk or concentrations of credit risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

A. Transfer of Receivables Reported as Sales

Not Applicable

B. Transfer and Servicing of financial Assets

Not Applicable

C. Wash Sales

Not Applicable

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable

B. ASC Plans

Not Applicable

C. Medicare or Similarly Structured Cost Based Reimbursement Contract.

Not Applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

20. Fair Value Measurement

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash and cash Equivalents	115,658,982	18,598,554	0	0	134,257,536
Common Stock	88,648,925	0	0	0	88,648,925
Total assets at fair value/NAV	204,307,907	18,598,554	0	0	222,906,461

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	0	0	0	0	0

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
a. Assets										
Total Assets	0	0	0	0	0	0	0	0	0	0

Description	Ending Balance as of Prior Quarter End	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance for Current Quarter End
b. Liabilities										
Total Liabilities	0	0	0	0	0	0	0	0	0	0

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash and Cash Equivalents	134,257,256	134,257,536	115,658,982	18,598,554	0	0	
Common Stock	88,648,925	88,648,925	88,648,925	0	0	0	
Bonds	197,365,812	189,166,474	58,459,503	130,706,970	0	0	

D. Not Practicable to Estimate Fair Value

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mount Carmel Health Plan of Idaho, Inc.	2,189,893			Carrying this common stock at a net equity value ..
Mount Carmel Health Plan of New York, Inc.	22,006,307			Carrying this common stock at a net equity value ..

21. Other Items

A. Extraordinary Item

Not Applicable

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures and Unusual Items

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime-Mortgage-Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-Linked Securities (ILS) Contracts

Not Applicable

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not Applicable

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

22. Events Subsequent

Type I – Recognized Subsequent Events:

Subsequent events have been considered through November 13, 2020 for the statutory statement filed on November 15, 2020.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at September 30, 2020, which would have a material effect on its financial condition.

Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through November 13, 2020 for the statutory statement filed on November 15, 2020.

The Company's unrealized gains (losses) changes on equity securities as of November 12, 2020 was \$6,554,734 which an improvement of \$5,755,054 from the change at the end of the quarter of \$799,680.

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at September 30, 2020, but arose after that date, which would have a material effect on its financial condition.

23. Reinsurance – Not Applicable

A. Ceded Reinsurance Report

Not Applicable

B. Uncollectible Reinsurance

Not Applicable

C. Communication of Ceded Reinsurance

Not Applicable

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Primarily during Q2CY2020, the Company experienced lower Part C utilization as a result of impact of COVID 19 on members willingness and ability to receive medical care. The Company is subject to minimum Medical Loss Ratio (MLR) percentage requirement under ACA legislation that requires health plans to spend 85% of premiums on overall medical care and other qualifying expenses. As of September 30, 2020, the plan prepared a "high level" computation of potential estimated liability for minimum MLR% regulations. Such analysis indicated a potential liability of \$9,100,963 was recorded as of September 30, 2020. The plan will continue to monitor the liability and will adjust accordingly.

25. Change in Incurred Claims and Claim Adjustment Expenses

Not Applicable

26. Intercompany Pooling Arrangements

A. – G. Not Applicable

27. Structured Settlements

The Company has no structured statements

28. Health Care Receivables

Not Applicable

29. Participating Policies

Not Applicable

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves..... 0

2. Date of the most recent evaluation of this liability 12/31/2019

3. Was anticipated investment income utilized in the calculation? Yes [] No [X]

31. Anticipated Salvage and Subrogation – Not Applicable

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims / losses and reduced such liability by \$-0-.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [] No [X]
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/23/2019
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$2,173,821 | \$24,063,817 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$2,173,821 | \$24,063,817 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$2,173,821 | \$24,063,817 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.3 Total payable for securities lending reported on the liability page. \$0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes No
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust Corporation	50 South La Salle Street Chicago, Illinois 60603

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PNC Capital Advisors, LLC	U.....
State Street Global Advisors Trust Company	U.....
Wellington Management Company LLP	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes No

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes No

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
151829	PNC Capital Advisors, LLC	549300WVB7V48QCY3U49	SEC	DS.....
30107	State Street Global Advisors Trust Company	549300BYW0XNH286YR10	N/A	NO.....
00071052	Wellington Management Company LLP	549300YHP12TEZNLX41	SEC	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes No
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes No

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes No

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent84.0 %
 - 1.2 A&H cost containment percent1.0 %
 - 1.3 A&H expense percent excluding cost containment expenses83.0 %
- 2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date\$0
- 2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date\$0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No [X]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama AL	N								0	
2. Alaska AK	N								0	
3. Arizona AZ	N								0	
4. Arkansas AR	N								0	
5. California CA	N								0	
6. Colorado CO	N								0	
7. Connecticut CT	N								0	
8. Delaware DE	N								0	
9. District of Columbia DC	N								0	
10. Florida FL	N								0	
11. Georgia GA	N								0	
12. Hawaii HI	N								0	
13. Idaho ID	N								0	
14. Illinois IL	N								0	
15. Indiana IN	N								0	
16. Iowa IA	L		0						0	
17. Kansas KS	N								0	
18. Kentucky KY	N								0	
19. Louisiana LA	N								0	
20. Maine ME	N								0	
21. Maryland MD	N								0	
22. Massachusetts MA	N								0	
23. Michigan MI	N								0	
24. Minnesota MN	N								0	
25. Mississippi MS	N								0	
26. Missouri MO	N								0	
27. Montana MT	N								0	
28. Nebraska NE	N								0	
29. Nevada NV	N								0	
30. New Hampshire NH	N								0	
31. New Jersey NJ	N								0	
32. New Mexico NM	N								0	
33. New York NY	N								0	
34. North Carolina NC	N								0	
35. North Dakota ND	N								0	
36. Ohio OH	L		418,409,479					418,409,479		
37. Oklahoma OK	N								0	
38. Oregon OR	N								0	
39. Pennsylvania PA	N								0	
40. Rhode Island RI	N								0	
41. South Carolina SC	N								0	
42. South Dakota SD	N								0	
43. Tennessee TN	N								0	
44. Texas TX	N								0	
45. Utah UT	N								0	
46. Vermont VT	N								0	
47. Virginia VA	N								0	
48. Washington WA	N								0	
49. West Virginia WV	N								0	
50. Wisconsin WI	N								0	
51. Wyoming WY	N								0	
52. American Samoa AS	N								0	
53. Guam GU	N								0	
54. Puerto Rico PR	N								0	
55. U.S. Virgin Islands VI	N								0	
56. Northern Mariana Islands MP	N								0	
57. Canada CAN	N								0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0	
59. Subtotal	XXX	0	418,409,479	0	0	0	0	418,409,479	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX							0		
61. Totals (Direct Business)	XXX	0	418,409,479	0	0	0	0	418,409,479	0	
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(a) Active Status Counts:
 L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 2 R - Registered - Non-domiciled RRGs..... 0
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
 N - None of the above - Not allowed to write business in the state..... 55

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Trinity Health Corporation (an Indiana nonprofit); FEIN: 35-1443425 (PARENT CORPORATION)

Civica, Inc. (DE Nonprofit Nonstock Corporation); FEIN: 83-1246927 (Trinity has no ownership or membership interest in Civica – only voting rights and rights to appoint BOD members attendant to our position as a 'Governing Member.')

THRE Services LLC; FEIN: 45-2603654 (100% Controlled by Immediate Parent)

Trinity Health Pharmacy Services, LLC; FEIN: 84-3130212 (100% Controlled by Immediate Parent)

Trinity Assurance, LTD (Cayman Island) (100% Controlled by Trinity Health Corporation)

Michigan Co-Tenancy Laboratory (Trinity Health Corporation Partnership)

Mount Carmel Health System (Ohio); FEIN: 31-1439334 (100% Controlled by Trinity Health Corporation)

Mount Carmel East (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel West (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel St. Ann's (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel New Albany Surgical Hospital (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel Grove City (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel Health System Foundation; FEIN: 31-1113966 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan, Inc. (HMO); FEIN: 31-1471229 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan of Idaho, Inc.; FEIN: 83-1422704 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan of New York, Inc.; FEIN: 83-3278543 (100% Controlled by Immediate Parent)

Mount Carmel Health Insurance Company (PPO); FEIN: 25-1912781 (100% Controlled by Immediate Parent)

Mount Carmel College of Nursing; FEIN: 31-1308555 (100% Controlled by Immediate Parent)

Patient Transport Services of Columbus LLC dba Columbus Connection; FEIN: 26-4601285 (50% Controlled by Immediate Parent)

OSU/Mount Carmel Health Alliance; FEIN: 31-1654603 (50% Controlled by Immediate Parent)

Madison County Community Hospital; FEIN: 31-1657206 (40% Controlled by Immediate Parent)

Diley Ridge Medical Center; FEIN: 34-2032340 (70% Controlled by Immediate Parent)

Mount Carmel Health Partners, LLC; FEIN: 47-1139205 (100% Controlled by Immediate Parent)

Central Ohio Medical Textiles; FEIN: 38-3643188 (50% Controlled by Immediate Parent)

Mount Carmel HealthProviders, Inc. dba Mount Carmel Medical Group; FEIN: 31-1382442 (100% Controlled by Immediate Parent)

SA MOB III LLC; FEIN: 20-1218559 (Mount Carmel Health System holds a 38.14% interest; Mount Carmel HealthProviders, Inc. holds a 6.27% interest)

Mount Carmel HealthProviders Two, LLC; FEIN: 20-1983271 (100% Controlled by Immediate Parent)

Mount Carmel Health Providers III, LLC; FEIN: 20-4145781 (100% Controlled by Immediate Parent)

Big Run Medical Office Building Limited Partnership; FEIN: 31-1608125 (76.92% Controlled by Immediate Parent) MCHS Big Run Condominium Association; FEIN: 31-1571567 (50% Controlled by Immediate Parent)

Taylor Station Surgical Center, LTD; FEIN: 31-1459910 (40% Controlled by Immediate Parent)

Columbus Cyberknife, LLC; FEIN: 27-0865251 (35% Controlled by Immediate Parent)

New Albany Surgery Center, LLC; FEIN: 45-1617821 (35% Controlled by Immediate Parent)

MCE MOB IV Limited Partnership; FEIN: 42-1544707 (49.63% Controlled by Immediate Parent)

St Ann's Medical Office Building III Limited Partnership; FEIN: 31-1603660 (46.75% Controlled by Immediate Parent)

Mount Carmel East Professional Office Building III Limited Partnership; FEIN: 31-1369473 (27.5% Controlled by Immediate Parent)

Mediluent MOB I Limited Partnership; FEIN: 20-4913370 (25% Controlled by Immediate Parent)

Eastwind Surgical, LLC; FEIN: 90-0739342 (30.77841% Controlled by Immediate Parent)

Health Collaborative of Central Ohio, LLC; FEIN: 46-5603895 (100% Controlled by Immediate Parent)

Encompass Health Rehabilitation Hospital of Westerville, LLC dba Mount Carmel Rehabilitation Hospital, an Affiliate of Encompass Health; FEIN: 47-4200156 (20.4% Controlling Interest held by Immediate Parent)

Holy Cross Health, Inc. (Maryland); FEIN: 52-0738041 (100% Controlled by Trinity Health Corporation)

Holy Cross Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Holy Cross Germantown Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Holy Cross Health Network (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Maryland Care Group, Inc.; FEIN: 52-1815313 (100% Controlled by Immediate Parent)

Holy Cross Health Foundation, Inc.; FEIN: 20-8428450 (100% Controlled by Immediate Parent)

Chesapeake Potomac Regional Cancer Center, LLC; FEIN: 20-3762277 (20% Controlled by Immediate Parent)

Doctors' Regional Cancer Center, LLC; FEIN: 20-8889327 (20% Controlled by Immediate Parent)

Maryland Care, Inc. d/b/a Maryland Physician Care MCO; FEIN: 22-3476498 (25% Controlled by Immediate Parent)

Maryland Care Management, Inc. dba Maryland Physician Care MCO; FEIN: 20-4771530 (25% Controlled by Immediate Parent)

The Blue Door Pharmacy, LLC; FEIN: 47-3638756 (25% Controlled by Immediate Parent)

Holy Cross Health Centers, LLC; FEIN: 82-2340203 (100% Controlled by Immediate Parent)

Holy Cross Health Partners, LLC; FEIN: 82-2391212 (100% Controlled by Immediate Parent)

Mercy Health Network, Inc. d/b/a MercyOne FEIN: 42-1478417 (50% Controlled by Immediate Parent; 50% Controlled by CommonSpirit Health (Catholic Health Initiatives))

[Iowa/Nebaska] Wellmark Value Health Plan, Inc.; FEIN: 42-1264647 (50% Controlled by Mercy Health Network, Inc.)

Mercy Community Hospital Group, LLC; FEIN: 35-2473948 (100% Controlled by Immediate Parent)

Central Community Hospital dba MercyOne Elkader Medical Center; FEIN: 42-0818642 (100% Controlled by Immediate Parent)

Wheaton Franciscan Healthcare - Iowa, Inc. dba MercyOne Northeast Iowa; FEIN: 42-1177001 (100% Controlled by MHN)

N.E. Iowa Real Estate Investments, Ltd.; FEIN: 42-1207432 (100% Controlled by Immediate Parent)

Mercy Hospital of Franciscan Sisters, Inc. dba MercyOne Oelwein Medical Center; FEIN: 42-1178403 (100% Controlled by Immediate Parent)

Covenant Medical Center, Inc. dba MercyOne Waterloo Medical Center; FEIN: 42-1264647 (100% Controlled by Immediate Parent)

Sartori Memorial Hospital, Inc. dba MercyOne Cedar Falls Medical Center; FEIN: 42-0758901 (100% Controlled by Immediate Parent)

Mercy Health Services - Iowa, Corp. (Iowa/Nebaska); FEIN: 31-1373080 (100% Controlled by Trinity Health Corporation; Subject to Mercy Health Network, Inc. JOA)

Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center; FEIN: 42-1336618 (100% Controlled by Immediate Parent)

Mercy Healthcare Foundation-Clinton; FEIN: 42-1316126 (Entity is independent of and not controlled by Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center)

Mercy-Clinton Anesthesia Group, LLC; FEIN: 46-1906752 (100% Controlled by Immediate Parent)

Clinton Imaging Services, L.L.C.; FEIN: 41-2044739 (65% Controlled by Immediate Parent)

MercyOne Dyersville Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080

MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080

Dubuque Mercy Health Foundation, Inc. dba MercyOne Dubuque Foundation; FEIN: 26-2227941 (100% Controlled by Immediate Parent)

Dyersville Health Foundation, Inc. dba MercyOne Dyersville Foundation; FEIN: 20-5383271 (100% Controlled by Immediate Parent)

United Clinical Laboratories, Inc.; FEIN: 42-1268486 (33.33% Controlled by Immediate Parent)

Preferred Health Choices, L.L.C.; FEIN: 90-0139311 (50% Controlled by Immediate Parent)

Health Management Services, LLC; FEIN: 46-1861361 (50% Controlled by MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa Corp.); FEIN: 31-1373080)

Tri-State Surgery Center, L.L.C.; FEIN: 91-1900559 (100% Controlled by Immediate Parent)

Medical Associates/Mercy Family Care Network, L.L.C.; FEIN: 42-1478444 (100% Controlled by Immediate Parent)

Tri-State Occupational Health, LLC; FEIN: 90-1039315 (100% Controlled by Immediate Parent)

MercyOne New Hampton Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080

MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080

Hospice of North Iowa dba MercyOne North Iowa Hospice; FEIN: 42-1173708 (100% Controlled by Immediate Parent)

Mercy Medical Center Foundation - North Iowa dba MercyOne North Iowa Foundation; FEIN: 42-1229151 (100% Controlled by Immediate Parent)

Forest Park Imaging, LLC; FEIN: 13-4365966 (52.89% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Fresenius Kidney Center North Iowa; FEIN: 81-2470407 (20% Controlled by Immediate Parent)

Surgical Center Building Associates, LLC; FEIN: 31-1373080 (35% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

YMCA and Rehabilitation Center; FEIN: 42-1491491 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Magnetic Resonance Services, LLC; FEIN: 42-1328388 (49% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mason City Ambulatory Surgery Center, LLC dba Mason City Surgery Center; FEIN: 20-1960348 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mercy Heart Center Outpatient Services, LLC; FEIN: 13-4237594 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Iowa Falls Clinic; FEIN: 42-1467712 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080

Hawarden Regional Healthcare Clinics, L.L.C.; FEIN: 42-6005851 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mercy Medical Services, Inc. dba MercyOne Medical Group - Siouxland; FEIN: 42-1283849 (100% Controlled by Immediate Parent)

Mercy Medical Center - Sioux City Foundation dba MercyOne Siouxland Foundation; FEIN: 14-1880022 (100% Controlled by Immediate Parent)

Health, Incorporated; FEIN: 31-1712115 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Siouxland Paramedics, Inc.; FEIN: 42-1185707 (100% Controlled by Immediate Parent)

Siouxland Regional Cancer Center dba June E. Nylen Cancer Center; FEIN: 42-1411233 (100% Controlled by Immediate Parent) Hospice of Siouxland; FEIN: 38-3320710 (100% Controlled by Immediate Parent)

Mercy/USP Health Ventures, LLC; FEIN: 47-1290300 (55.71% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080) Siouxland Surgery Center Limited Liability Partnership; FEIN: 46-0423353 (55.54% Controlled by Immediate Parent)

Oakland Mercy Hospital dba MercyOne Oakland Medical Center; FEIN: 20-8072234 (100% Controlled by Immediate Parent)

Oakland Mercy Hospital Foundation dba MercyOne Oakland Foundation; FEIN: 31-1678345 (100% Controlled by Immediate Parent)

Baum Harmon Mercy Hospital dba MercyOne Primghar Medical Center; FEIN: 42-1500277 (100% Controlled by Immediate Parent)

Baum Harmon Mercy Hospital and Clinics Foundation dba MercyOne Primghar Foundation; FEIN: 26-2973307 (100% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center, Inc. (Indiana); FEIN: 35-1568821 (100% Controlled by Trinity Health)

The Foundation of Saint Joseph Regional Medical Center, Inc.; FEIN: 35-1654543 (100% Controlled by Immediate Parent)

Alick's Home Medical Equipment, Inc.; FEIN: 35-1548294 (13.50% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - Health Insurance Services, LLC; FEIN: 46-2814097 (100% Controlled by Immediate Parent)

Northern Indiana Magnetic Resonance Center, LLP; FEIN: 35-1832912 (25% Controlled by Immediate Parent)

Select Health Network, Inc.; FEIN: 35-1932210 (50% Controlled by Immediate Parent)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Michiana Health Information Network, LLC; FEIN: 35-2050128 (33.33% Controlled by Immediate Parent)

Edison Lakes, Inc.; FEIN: 35-1783309 (23.84% Controlled by Immediate Parent)

Edison Lakes ROC, LLC; FEIN: 27-1778694 (30% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - South Bend Campus Inc.; FEIN: 35-0868157 (100% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - Plymouth Campus, Inc.; FEIN: 35-1142669 (100% Controlled by Immediate Parent)

SJRMHC Holdings, Inc.; FEIN: 47-4763735 (100% Controlled by Immediate Parent)

Michiana Urgent Care Management, LLC; FEIN: 47-4279865 (40% Controlled by Immediate Parent)

Saint Alphonus Health System, Inc. [Idaho/Oregon]; FEIN: 27-1929502 (100% Controlled by Trinity Health)

Saint Alphonus Medical Center - Nampa, Inc.; FEIN: 82-0200896 (100% Controlled by Immediate Parent)

MedNow, Inc.; FEIN: 82-0389927 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Nampa Health Foundation, Inc.; FEIN: 26-1732256 (100% Controlled by Immediate Parent)

Saint Alphonus Regional Medical Center, Inc.; FEIN: 82-0200895 (100% Controlled by Immediate Parent)

Saint Alphonus Regional Medical Center Auxiliary, Inc.; FEIN: 82-6009027 (100% Controlled by Immediate Parent)

Life Flight Network, LLC; FEIN: 20-5016802 (25% Controlled by Immediate Parent)

Saint Alphonus Diversified Care, Inc.; FEIN: 94-3028978 (100% Controlled by Immediate Parent)

Saint Alphonus Home Health and Hospice, LLC; FEIN: 20-3942050 (50% Controlled by Immediate Parent)

Saint Alphonus Professional Medical Services LLC; FEIN: 46-0500210 (100% Controlled by Immediate Parent)

Saint Alphonus Specialty Services, Inc.; FEIN: 26-0553931 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Ontario, Inc.; FEIN: 27-1789847 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center Ontario Volunteers; FEIN: 94-3059469 (100% Controlled by Immediate Parent)

Saint Alphonus Foundation - Ontario, Inc.; FEIN: 20-2683560 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Baker City, Inc.; FEIN: 27-1790052 (100% Controlled by Immediate Parent)

Saint Alphonus Foundation - Baker City, Inc.; FEIN: 94-3164869 (100% Controlled by Immediate Parent)

Eastern Oregon Coordinated Care Organization, LLC; FEIN: (100% Controlled by Saint Alphonus Health System, Inc.)

Saint Alphonus Health Alliance, Inc.; FEIN: 82-0524649 (100% Controlled by Saint Alphonus Health System, Inc.)

Health Alliance Integrated Care, LLC; FEIN: 371755768 (100% Controlled by Saint Alphonus Health System, Inc.)

Summit Health Plan, Inc.; OR INSURANCE DIVISION CORPORATION FORMED 2/14/2020; FEIN: 83-3295864 (10% Controlled by SAHS)

Trinity Health - Michigan [Michigan]; FEIN: 38-2113393 (100% Controlled by Trinity Health Corporation)

Tri-Hospital Emergency Medical Services Corporation; FEIN: 38-2485700 (33.33% Controlled by Immediate Parent)

Saint Joseph Mercy Health System (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Joseph Mercy Chelsea, Inc. dba St. Joseph Mercy Chelsea Hospital; dba Chelsea Community Hospital, A Member of The Saint Joseph Mercy Health System; dba ChelseaCare; dba ChelseaCare Pharmacy; dba SRSLY; dba St. Joseph Mercy Chelsea-Cancer Center (New MI Corporation, Incorporated 1.31.18); FEIN: 82-4757260 (51% Controlled by Immediate Parent; 49% Controlled by University of Michigan)

St. Joseph Mercy Hospital, Ann Arbor; (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Saint Joseph Mercy Livingston Hospital (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Mary Mercy Hospital; Saint Mary Mercy Livonia (Division of and dbas for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Joseph Mercy Oakland (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Mercy Health Saint Mary's (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Saint Mary's Foundation; FEIN: 38-1779602 (100% Controlled by Immediate Parent)

Mercy Hospital Cadillac Foundation; FEIN: 20-3357131 (100% Controlled by Immediate Parent)

Metropolitan Detroit Area Hospital Services, Inc.; FEIN: 38-1958953 (A Michigan Non-Profit Co-Op); Members include Trinity Health- Michigan d/b/a Saint Joseph Mercy Health System (33.30% Interest); Henry Ford Health System, Inc.; and University of Health Park Central, L.L.C.; FEIN: 38-3006501 (10.55% Controlled by Immediate Parent)

Together Health Network, L.L.C.; FEIN: 47-1573173; (47.5% Controlled by Immediate Parent)

Sixty-Fourth Street, LLC; FEIN: FEIN: 20-2443646; (53.94% Controlled by Immediate Parent)

Washnaw/Livingston Medical Control Corporation; FEIN: 38-2843970 (52.5% Controlled by Immediate Parent)

Mission Health Corporation; FEIN: 38-3181557 (50% Controlled by Immediate Parent)

Center for Digestive Care, LLC; FEIN: 03-0447062 (51% Controlled by Immediate Parent)

Huron Arbor Corporation; FEIN: 38-2475644 (100% Controlled by Immediate Parent)

Parkprop, LLC; FEIN: 27-3074736 (100% Controlled by Immediate Parent)

Probiology Therapy Services; FEIN: 20-2020239 (100% Controlled by Immediate Parent)

Advantage Health/ Saint Mary's Medical Group d/b/a Mercy Health Physician Partners; FEIN: 27-2491974 (100% Controlled by Immediate Parent)

Advent Rehabilitation LLC; FEIN: 38-3306673 (50% Controlled by Immediate Parent)

Life Circles; FEIN: 26-0170498 (25.5% Controlled by Immediate Parent)

Woodland Imaging Center, LLC dba Avant Imaging; FEIN: 76-0820959 (51% Controlled by Immediate Parent)

IHA Health Services Corporation; FEIN: 38-3316559 (100% Controlled by Immediate Parent)

Huron Valley CT Center, LLC; FEIN: (100% Controlled by Immediate Parent)

IHA Affiliation Corp.; FEIN: 38-3188895 (100% Controlled by Immediate Parent)

McAuley Health Partners ACO, LLC; FEIN: 83-0959900 (100% Controlled by Immediate Parent)

IHA Olma Primary Care Risk, LLC; FEIN: (100% Controlled by IHA Health Services Corporation)

IHA Olma Primary Non Risk, LLC; FEIN: (100% Controlled by IHA Health Services Corporation)

IHA Olma Specialty Services, LLC; FEIN: (100% Controlled by IHA Health Services Corporation)

Catherine McAuley Health Services Corporation; FEIN: 38-2507173 (100% Controlled by Immediate Parent)

The Waterford Surgical Center, LLC; FEIN: 27-1110813 (33.02% Controlled by Immediate Parent)

Physician Direct Accountable Care Organization; FEIN: 45-5589234 (25% Controlled by Immediate Parent)

Oakland Health Alliance, LLC; FEIN: 82-2021072 (100% Controlled by Immediate Parent)

Southeast Michigan Clinical Network, LLC; FEIN: 47-3856789 (100% Controlled by Immediate Parent)

Frances Warde Medical Laboratory (Trinity Health - Michigan Partnership); FEIN: 38-2648446 (66.6% Controlled by Immediate Parent)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

Northern Michigan Supply Alliance, L.L.C.; FEIN: 38-3453378 (50% Controlled by Immediate Parent)

Mercy Health Partners; FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Mercy Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Hackley Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Lakeshore Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Muskegon Community Health Project; FEIN: 91-1932918 (100% Controlled by Immediate Parent)

Muskegon SC, LLC; FEIN: 20-3244346 (34.88% Controlled by Immediate Parent)

West Shore Professional Building Condominium Association; FEIN: 38-2700166 (96% Controlled by Immediate Parent)

Professional Med Team; FEIN: 38-2638284 (100% Controlled by Immediate Parent)

Mercy Health Clinically Integrated Network, LLC dba Affinia Health Network; FEIN: 47-2070753 (100% Controlled by Immediate Parent)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Hackley Health Ventures, Inc.; FEIN: 38-2589959 (100% Controlled by Immediate Parent)

Loyola University Health System (Illinois); FEIN: 36-3342448 (100% Controlled by Trinity Health Corporation)

Loyola Physician Partners ACO, LLC; FEIN: 38-3930598 (100% Controlled by Immediate Parent)

Gottlieb Memorial Hospital; FEIN: 36-2379649 (100% Controlled by Immediate Parent)

Gottlieb/West Towns PHO, Inc.; FEIN: 36-4006263 (50% Controlled by Immediate Parent)

Gottlieb Community Health Services Corporation dba MacNeal Hospital; FEIN: 36-3332852 (100% Controlled by Immediate Parent)

L. Medicine Labs, LLC; FEIN: 37-1878743 (100% Controlled by Immediate Parent)

Primary Care Physicians Center, L.L.C.; FEIN: 36-4038505 (94% Controlled by GCHSC)

Chicago Health System ACO, LLC; FEIN: 45-3020116 (100% Controlled by GCHSC)

MacNeal Health Providers, Inc. dba Chicago Health System, Inc.; FEIN: 36-3361297 (100% Controlled by GCHSC)

Gottlieb Management Services, Inc.; FEIN: 36-3330529 (100% Controlled by Immediate Parent)

NOMC/MacNeal Radiation Therapy Joint Venture, L.L.C.; FEIN: 20-0812600 (45% Controlled by GCHSC)

Loyola University Medical Center; FEIN: 36-4015560 (100% Controlled by Immediate Parent)

Loyola Ambulatory Centers, LLC; FEIN: 36-4321058 (100% Controlled by Immediate Parent)

RMLHP Corporation; FEIN: 36-4160869 (50% Controlled by Immediate Parent)

Loyola Medicine Transport LLC; FEIN 47-4147171 (51% Controlled by Immediate Parent)

Loyola Medical Group, LLC; FEIN: 32-0552496 (100% Controlled by LUMC)

South Campus Partners, Inc.; FEIN: 32-0517854 (49% Controlled by LUMC)

Palos Health Surgery Center, LLC; FEIN: 35-2634975 (48.5% Controlled by Loyola University Medical Center)

Loyola Physician Partners, LLC; FEIN: 37-1756257; (100% Controlled by Immediate Parent)

Mercy Health System of Chicago (Illinois); FEIN: 36-3163327 (100% Controlled by Trinity Health)

Mercy Hospital and Medical Center; FEIN: 36-2170152 (100% Controlled by Immediate Parent)

Mercy Foundation, Inc.; FEIN: 36-3227350 (100% Controlled by Immediate Parent)

Mercy Services Corporation; FEIN: 36-3227348 (100% Controlled by Immediate Parent)

Mercy Quality Health Partners ACO, LLC; FEIN: 38-3971072 (100% Controlled by Immediate Parent)

Saint Agnes Medical Center [California]; FEIN: 94-1437713 (100% Controlled by Trinity Health)

Saint Agnes Medical Foundation dba Saint Agnes Care; Saint Agnes Care Center-Northwest; and Saint Agnes Urgent Care; FEIN: 94-2839324 (100% Controlled by Immediate Parent)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Saint Agnes Medical Providers, Inc., FEIN: 46-1465093 (Sole Shareholder licensed physicians appointed by SAMC - Not Controlled by SAMC) Central Valley Health Plan, Inc., FEIN: 61-1846844 (100% Controlled by Immediate Parent)
 California Healthcare Capital Partners, LLC, FEIN: 81-2937390 (33% Controlled by Immediate Parent)
 Saint Agnes/USP Surgery Centers, LLC, FEIN: 36-4896811 (50.1% Controlled by Saint Agnes Medical Center)
 Renaissance Surgery Center, LLC, FEIN: 20-5977652 (Saint Agnes/USP Surgery Centers, LLC acquired 51% membership interest 9/1/2018)
 Saint Agnes/Dignity/USP Surgery Centers, L.L.C., FEIN: 84-3522377 (50.1% Controlling Interest held by Saint Agnes Medical Center)
 Central California Healthcare Holdings, LLC, FEIN: 47-4538833 (71.35% Controlling Interest held by Saint Agnes/Dignity/USP Surgery Centers, L.L.C.)

Mercury Medical, A Corporation [Alabama], FEIN: 63-6002215 (100% Controlled by Trinity Health)
 Pittsburgh Mercy Health System, Inc. [Pennsylvania], FEIN: 25-1464211 (100% Controlled by Trinity Health)
 Mercy Life Center Corporation, FEIN: 25-1604115 (100% Controlled by Immediate Parent)
 Living Independence for the Elderly - Pittsburgh, Inc. d/b/a LIFE Pittsburgh, FEIN: 25-1815436 (48% Controlled by Immediate Parent)
 McAuley Ministries, FEIN: 94-3436142 (100% Controlled by Immediate Parent)
 Bethlehem Haven of Pittsburgh, Inc., FEIN: 25-1436685 (100% Controlled by Immediate Parent)
 BH Venture Mgmt LLC, FEIN: 83-2416426 (100% Controlled by Bethlehem Haven of Pittsburgh, Inc.)
 BH Venture One LP, FEIN: 38-4098074 (99% Controlled by Limited Partner Bethlehem Haven of Pittsburgh, Inc. and 1% Controlled by General Partner BH Venture Mgmt, LLC)

Trinity Continuing Care Services (multistate operation - incorporated in Michigan), FEIN: 38-2559656 (100% Controlled by Trinity Health Corporation)
 Trinity Senior Services Management, Inc., FEIN: 37-1572595 (100% Controlled by Trinity Continuing Care Services/Trinity Health)
 Holy Cross CareNet, Inc. (Operating Assets Sold 8.31.2018 but Entity Remains), FEIN: 52-1945054 (100% Controlled by Immediate Parent)
 Mary Free Bed Sub-Acute Rehabilitation, FEIN: 46-3971740 (50% Controlled by Immediate Parent)
 Mercy Services for Aging Nonprofit Housing Corporation, FEIN: 38-2719605 (100% Controlled by Immediate Parent)
 Trinity Continuing Care Services - Indiana, Inc., FEIN: 93-0907047 (100% Controlled by Immediate Parent) Saint Joseph's Tower Inc., FEIN: 31-1040468 (100% Controlled by Immediate Parent)
 Saint Joseph of the Pines, Inc., FEIN: 56-0694200 (100% Controlled by Immediate Parent)
 Mercy Community Health, Inc., FEIN: 06-1492707 (100% Controlled by Immediate Parent)
 Saint Mary Home, Incorporated, FEIN: 06-0646843 (100% Controlled by Immediate Parent)
 McAuley Center, Incorporated, FEIN: 06-1058086 (100% Controlled by Immediate Parent)
 Mount St. Joseph dba Mount Saint Joseph Residence and Rehabilitation, FEIN: 01-0274998 (100% Controlled by Immediate Parent)
 Glacier Hills, Inc., FEIN: 38-1891500 (100% Controlled by Immediate Parent)
 Glacier Hills Foundation, FEIN: 20-8072723 (100% Controlled by Immediate Parent)
 Trinity Continuing Care Services - Massachusetts, FEIN: 82-4005577 (100% Controlled by Immediate Parent)
 Trinity Home Health Services (multistate operation - incorporated in Michigan), FEIN: 38-2621935 (100% Controlled by Trinity Health Corporation)
 ASSETS OF THIS ENTITY WERE SOLD 10.31.2017 BUT ENTITY REMAINS - THHS Oakland, FEIN: 38-3320699 (100% Controlled by Immediate Parent)
 Hospice of Muskegon County, Inc., FEIN: 38-2415247 (20% Controlled by Trinity Home Health Services)
 Mercy General Health Partners, Amicare Homecare dba North Ottawa at Home, FEIN: 38-3.321856 (100% Controlled by Immediate Parent) Saint Mary's Amicare Home Healthcare, FEIN: 38-3320700 (100% Controlled by Immediate Parent)
 Trinity Health PACE, FEIN: 47-3073124 (100% Controlled by Immediate Parent) (multistate operation - incorporated in Michigan)
 Saint Joseph PACE Inc., FEIN: 47-3129127 (100% Controlled by Immediate Parent)
 LIFE St. Joseph of the Pines, Inc., FEIN: 27-2159847 (100% Controlled by Immediate Parent)
 Trinity Health LIFE Pennsylvania Inc. dba Mercy LIFE - West Philadelphia, FEIN: 47-5244984 (100% Controlled)
 Mercy LIFE of Alabama, FEIN: 27-3163002 (100% Controlled by Immediate Parent)
 Mercy LIFE, Inc., FEIN: 45-3086711 (100% Controlled by Immediate Parent)
 LIFE at Lourdes Inc. dba Trinity Health LIFE New Jersey, FEIN: 26-1854750 (100% Controlled by Immediate Parent)

Trinity Health Partners, LLC, FEIN: 47-2798085 (100% Controlled by Trinity Health)
 Trinity Health Partners - Michigan, L.L.C., FEIN: 35-2534698 (100% Controlled by Immediate Parent)
 Trinity Health Partners - Idaho, L.L.C., FEIN: 30-0875741 (100% Controlled by Immediate Parent)
 Trinity Health Partners - Illinois, L.L.C., FEIN: 39-1828147 (100% Controlled by Immediate Parent)
 Trinity Health Partners - New Jersey, L.L.C., FEIN: 36-4838390 (100% Controlled by Immediate Parent)
 Trinity Health ACO, Inc., FEIN: 47-3794666 (100% Controlled by Trinity Health)
 Trinity Integrated Care, LLC, FEIN: 81-2772183 (100% Controlled by Immediate Parent)
 Trinity Accountable Care, LLC, FEIN: 81-2780900 (100% Controlled by Immediate Parent)
 Trinity Accountable Care II, LLC, FEIN: 84-2508775 (100% Controlled by Immediate Parent)

Trinity Health of the Mid-Atlantic Region (Effective 7.1.19; formerly Mercy Health System of Southeastern Pennsylvania) [Pennsylvania], FEIN: 23-2212638 (100% Controlled by Trinity Health)
 Mercy Health Foundation of Southeastern Pennsylvania, FEIN: 23-2829864 (100% Controlled by Immediate Parent)
 Mercy Catholic Medical Center of Southeastern Pennsylvania, FEIN: 23-1352191 (100% Controlled by Immediate Parent)
 Mercy Catholic Medical Center - Mercy Fitzgerald Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania), FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)
 Mercy Catholic Medical Center - Mercy Philadelphia Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania), FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region) Mercy Suburban Hospital (Inactive - Assets Sold 2/1/2016 but entity remains), FEIN: 23-1396763 (100% Controlled by Immediate Parent)
 Nazareth Hospital, FEIN: 23-2794121 (100% Controlled by Immediate Parent)
 Nazareth Medical Office Building Associates, L.P., FEIN: 23-2388040 (63.85% Controlled by Immediate Parent)
 St. Agnes Continuing Care Center, FEIN: 23-2840137 (100% Controlled by Immediate Parent)
 Mercy Accountable Care Network, LLC, FEIN: 46-2774097 (100% Controlled by Immediate Parent)
 Mercy Accountable Care, LLC, FEIN: 46-2774097 (100% Controlled by Immediate Parent)
 Mercy Health Plan, FEIN: 22-2483605 (100% Controlled by Immediate Parent)
 Gateway Health LLC (50% Controlled by Immediate Parent), FEIN: 25-1691945
 Mercy Home Health Services, FEIN: 23-2325058 (100% Controlled by Immediate Parent)
 Mercy Home Health, FEIN: 23-1352099 (100% Controlled by Immediate Parent)
 Mercy Family Support, FEIN: 23-2325059 (100% Controlled by Immediate Parent)
 Mercy Physician Network (ENTITY IS INACTIVE AND WILL BE DISSOLVED AT A LATER DATE), FEIN: 46-1187365 (100% Controlled by Immediate Parent)
 Nazareth Physician Services, Inc., FEIN: 20-3261266 (100% Controlled by Immediate Parent)
 N.E. Physician Services, Inc., FEIN: 23-2497355 (100% Controlled by Immediate Parent)
 East Norriton Physicians Services, Inc. (Inactive - Assets Sold 2/1/2016 but entity remains), FEIN: 23-2515999 (100% Controlled by Immediate Parent)
 Mercy Management of Southeastern Pennsylvania, FEIN: 23-2627944 (100% Controlled by Immediate Parent)
 Mercy/Manor Partnership (50% Controlled by Immediate Parent), FEIN: 52-1931012
 Mercy Eastwick, Inc., FEIN: 23-2184261 (100% Controlled by Immediate Parent)
 St. Mary Medical Center [Pennsylvania], FEIN: 23-1913910 (100% Controlled by Trinity Health of the Mid-Atlantic Region)
 Langhorne Physician Services, FEIN: 23-2571699 (100% Controlled by Immediate Parent)
 LIFE St. Mary, FEIN: 26-2976184 (100% Controlled by Immediate Parent)
 St. Mary Emergency Medical Services, FEIN: 46-5354512 (100% Controlled by Immediate Parent)
 St. Mary Building and Development, FEIN: 46-1827502 (100% Controlled by Immediate Parent)
 Langhorne Services, Inc., FEIN: 23-2625981 (100% Controlled by Immediate Parent)
 Langhorne Services II, Inc., FEIN: 23-3795549 (100% Controlled by Immediate Parent)
 Langhorne MOB Partners, L.P., FEIN: 23-2622772 (42.857% Controlled by Immediate Parent)
 The Ambulatory Surgery Center at St. Mary, LLC, FEIN: 23-2871206 (51% Controlled by Immediate Parent)
 SMMC MOB II, Limited Partnership, FEIN: 36-4559869 (65.75% Controlled by Immediate Parent)
 Quality Health Alliance LLC, FEIN: 46-5686622 (100% Controlled by Immediate Parent)
 Quality Health Alliance - ACO LLC, FEIN: 46-5675954 (100% Controlled by Immediate Parent)
 Endoscopy Center at St. Mary, LP, FEIN: 20-5253361 (16.349% Controlled by Immediate Parent)
 St. Mary Rehabilitation Hospital, LLP, FEIN: 27-3938747 (59% Controlled by SMMC)
 Heart Institute of St. Mary, LLC, FEIN: 45-4903701 (10% Controlled by SMMC)
 St. Francis Hospital, Inc. [Delaware], FEIN: 51-0064326 (100% Controlled by Trinity Health of the Mid-Atlantic Region)
 LIFE at St. Francis Healthcare, Inc., FEIN: 45-2569214 (100% Controlled by Immediate Parent)
 Per CT Audit, ENTITY IS VOID IN DE AS OF 3/1/2016- WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATED; Franciscan Eldercare Corporation, FEIN: 22-3008680 (100% Controlled by Immediate Parent) Delaware Care Collaboration ("DCC") LLC, FEIN: 47-4069475 (100% Controlled by Immediate Parent)

St. Mary's Health Care System, Inc. [Georgia] dba St. Mary's Hospital, FEIN: 58-0566223 (100% Controlled by Trinity Health)
 St. Mary's Foundation, Inc., FEIN: 58-2544232 (100% Controlled by Immediate Parent)
 St. Mary's Sacred Heart Hospital, Inc. dba HealthWorks, FEIN: 47-3752176 (100% Controlled by Immediate Parent)
 Sacred Heart Enterprises, LLC, FEIN: 35-2534772 (100% Controlled by Immediate Parent)
 Good Samaritan Hospital, Inc. dba St. Mary's Good Samaritan Hospital, FEIN: 26-1720984 (100% Controlled by Immediate Parent)
 St. Mary's Good Samaritan Foundation, Inc., FEIN: 81-1660088 (100% Controlled by Immediate Parent)
 St. Mary's Medical Group, Inc., FEIN: 26-1858563 (100% Controlled by Immediate Parent)
 St. Mary's Highland Hills, Inc. dba St. Mary's Highland Hills Village and dba Highland Hills Village, FEIN: 02-0576648 (100% Controlled by Immediate Parent) Athens Residential Properties, LLC, FEIN: Not Issued (100% Controlled by Immediate Parent) Maxis Health System [Pennsylvania], FEIN: 91-1940902 (100% Controlled by Trinity Health)
 St. Francis Medical Center, a New Jersey Nonprofit Corporation [New Jersey], FEIN: 22-3431049 (100% Controlled by Maxis Health System [PA] which is 100% Controlled by Trinity Health)
 St. Francis Medical Center Foundation, Inc., FEIN: 52-1025476 (100% Controlled by Immediate Parent)
 LIFE St. Francis, a New Jersey Non-Profit Corporation (PACE), FEIN: 22-2797282 (100% Controlled by Immediate Parent)
 LifeCare Physicians Professional Corporation (Discontinued Entity but not Dissolved or Merged); Managed and Controlled but not Controlled by St. Francis Medical Center; FEIN: 26-1649038
 St. Francis Community Health Services, LLC (Inactive Entity but not Dissolved or Merged); FEIN: 46-1801229 (100% Controlled by Immediate Parent)
 Central New Jersey Heart Services, LLC, FEIN: 20-8525458 (59.76% Controlled by St. Francis Medical Center)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

St. Francis Medical Associates, P.A.; FEIN: 83-2199054 (100% Controlled by Immediate Parent)

St. Peter's Health Partners (New York); FEIN: 45-3570715 (100% Controlled by Trinity Health)

United Laboratory Network IPA, LLC; FEIN: 14-1827292 (SPHP holds 16.5% interest; Samaritan Hospital of Troy, New York holds 16.5% interest)

The Burdett Care Center, Inc. d/b/a Burdett Birth Center; FEIN: 27-2153849; (100% Controlled by SPHP)

Capital Region UC LLC d/b/a WellNow; FEIN: 38-4124497; [25% Interest Held by SPHP]

Innovative Health Alliance of New York, LLC (100% Controlled by Immediate Parent); FEIN: 46-5676066

St. Peter's Hospital Foundation, Inc.; FEIN: 22-2262982 (100% Controlled by Immediate Parent)

Manning Medical, PLLC (Nominally Controlled by SPHP Physician in accordance with NY law; SPHP exercises control through an Agreement and Reserve Powers); FEIN: 46-4331512

Albany Advanced Imaging, P.L.L.C. dba St. Peter's Health Partners Imaging (Manning Medical PLLC controls 44.65%; Albany Radiology Partners, PLLC controls 55.35%); FEIN: 14-1813068 St. Peter's Health Partners Medical Associates, P.C.; FEIN: 46-1177336 (100% Controlled by Immediate Parent)

St. Peter's Hospital of the City of Albany dba St. Peter's Hospital; FEIN: 14-1348692 (100% Controlled by Immediate Parent)

Villa Mary Immaculate d/b/a St Peter's Nursing & Rehabilitation Center; FEIN: 14-1438749 (100% Controlled by Immediate Parent)

St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%); FEIN: 46-0463892

Our Lady of Mercy Life Center; FEIN: 14-1743506 (100% Controlled by Immediate Parent)

Everett Road ASC, LLC; FEIN: 83-3542382 (SPHCA holds 20% interest)

St. Peter's Hospital College of Nursing; FEIN: 84-3744949 (100% Controlled by SPHCA)

The Community Hospice, Inc.; FEIN: 14-1608921 (100% Controlled by Immediate Parent)

The Community Hospice Foundation, Inc.; FEIN: 22-2692940 (100% Controlled by Immediate Parent)

Samaritan Hospital of Troy, New York dba Samaritan Hospital; FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Samaritan Hospital - St. Mary's Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Samaritan Hospital - Albany Memorial Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Alliance for Better Health Care, LLC (JV Samaritan Hospital 20%; Ellis Hospital 20%; Hometown Health 20%; St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%); FEIN: 47-2920659

The Northeast Health Foundation, Inc.; 22-2743478 (100% Controlled by Immediate Parent)

Samaritan Child Care Center, Inc.; FEIN: 14-1710225 (100% Controlled by Immediate Parent)

Sunnyview Hospital and Rehabilitation Center; FEIN: 14-1338386 (100% Controlled by Immediate Parent)

Sunnyview Hospital and Rehabilitation Center Foundation, Inc; FEIN: 22-2505127 (100% Controlled by Immediate Parent)

LTC (Eddy), Inc. dba The Eddy; FEIN: 22-2564710 (100% Controlled by Immediate Parent)

The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center; FEIN: 22-2570478 (100% Controlled by Immediate Parent)

Capital Region Geriatric Center, Inc. dba Eddy Village Green; FEIN: 14-1701597 (100% Controlled by Immediate Parent)

Heritage House Nursing Center, Inc. dba Eddy Heritage House Nursing and Rehabilitation Center; FEIN: 14-1725101 (100% Controlled by Immediate Parent)

Senior Care Connection, Inc. dba Eddy Senior Care; FEIN: 14-1708754 (100% Controlled by Immediate Parent)

Home Aide Service of Eastern New York, Inc. dba Eddy Visiting Nurse Association; FEIN: 14-1514867 (100% Controlled by Immediate Parent)

Beverwyck, Inc. dba Eddy Village Green at Beverwyck; FEIN: 14-1717028 (100% Controlled by Immediate Parent)

Glen Eddy, Inc.; FEIN: 14-1794150 (100% Controlled by Immediate Parent)

The Glen at Hilland Meadows, Inc.; FEIN: 16-1529639 (50% Controlled by Immediate Parent)

Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge; FEIN: 80-0102840 (100% Controlled by Immediate Parent)

The Marjorie Doyle Rockwell Center, Inc.; FEIN: 14-1793885 (100% Controlled by Immediate Parent)

Beechwood, Inc. dba Eddy Property Services; FEIN: 14-1651563 (100% Controlled by Immediate Parent)

Samaritan Medical Office Building, Inc.; FEIN: 14-1607244 (100% Controlled by Immediate Parent)

Eddy Licensed Home Care Agency, Inc.; FEIN: 14-1818568 (100% Controlled by Immediate Parent)

Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge; FEIN: 14-1756230 (100% Controlled by Immediate Parent)

St. James Mercy Health System (New York); FEIN: 22-3127184 (100% Controlled by Trinity Health)

SJM Properties, Inc.; FEIN: 16-1294991 (100% Controlled by Immediate Parent)

Catholic Health System, Inc. (JOA - 50% Controlled by Trinity Health) (New York); FEIN: 22-2565278

Sisters of Charity Hospital of Buffalo, New York; FEIN: 16-0743187 (100% Controlled by Immediate Parent)

Sisters Hospital Foundation, Inc.; FEIN: 22-2283077 (100% Controlled by Immediate Parent)

Kenmore Mercy Hospital; FEIN: 16-0762843 (100% Controlled by Immediate Parent)

Kenmore Mercy Foundation, Inc.; FEIN: 16-1162971 (100% Controlled by Immediate Parent)

KMH Homes, Inc.; FEIN: 16-1387890 (100% Controlled by Immediate Parent; Operationally Inactive)

Catholic Health System Continuing Care Foundation; FEIN: 20-0947831 (100% Controlled by Immediate Parent)

Mercy Hospital of Buffalo; FEIN: 16-0756336 (100% Controlled by Immediate Parent)

Orchard Park Mercy Corp.; FEIN: 16-1470350 (100% Controlled by Immediate Parent)

Alsace Abbott Corporation; FEIN: 16-1355092 (100% Controlled by Immediate Parent)

Aurora Mercy Corp.; FEIN: 16-1354302 (100% Controlled by Immediate Parent)

Mercy Hospital Foundation, Inc.; FEIN: 22-2209721 (100% Controlled by Immediate Parent)

Mount St. Mary's Hospital of Niagara Falls; FEIN: 16-1523353 (100% Controlled by Immediate Parent)

Mount St. Mary's Hospital Foundation, Inc.; FEIN: 16-1360884 (100% Controlled by Immediate Parent)

Mount St. Mary's Child Care Center, Inc.; FEIN: 16-1523352 (100% Controlled by Immediate Parent)

The Board of Associates of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 16-1582926 (100% Controlled by Immediate Parent)

The St. Francis Guild of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 51-0217790 (100% Controlled by Immediate Parent)

Niagara Medicine, P.C.; FEIN: 45-3669525 (Captive PC - CHS does not legally own but does control this entity through a Management Agreement)

Assets were sold 4/13/2015 but entity remains with CHS: Nazareth, Inc.; FEIN: 16-0813142 (100% Controlled by Immediate Parent; Operationally Inactive)

WNY Catholic Long TermCare, Inc. d/b/a Father Baker Manor (100% Controlled by Immediate Parent); FEIN: 16-1434368

Niagara Homemaker Services, Inc.; FEIN: 16-1317960 (100% Controlled by Immediate Parent)

Assets were sold 3/7/2016 but entity remains with CHS: St. Vincent's Home for the Aged; FEIN: 16-0743167 (100% Controlled by Immediate Parent; Operationally Inactive)

Assets were sold 3/7/2016 but entity still remains with CHS: St. Elizabeth's Home of Lancaster, New York; FEIN: 16-0743154 (100% Controlled by Immediate Parent; Operationally Inactive)

Inactive) McAuley-Seton Home Care Corporation; FEIN: 16-1310062 (100% Controlled by Immediate Parent)

St. Francis Geriatric and Healthcare Services, Inc.; FEIN: 16-1523535 (100% Controlled by Immediate Parent)

St. Clare Apartments Housing Development Fund Company, Inc. (50% Controlled by Immediate Parent); FEIN: 16-0782647

Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.; FEIN: 26-1252884 (100% Controlled by Immediate Parent)

Catholic Health System Infusion Pharmacy, Inc.; FEIN: 20-0198518 (100% Controlled by Immediate Parent)

Catholic Health Home Respiratory, LLC (50% Controlled by Immediate Parent; Operationally Inactive); FEIN: 45-4134007

Our Lady of Victory Renaissance Corporation; FEIN: 20-0167745 (100% Controlled by Immediate Parent)

Our Lady of Victory Community Housing Development Organization, Inc.; FEIN: 20-0372194 (100% Controlled by Immediate Parent)

Our Lady of Victory Housing Development Fund Corp (100% Controlled by Immediate Parent); FEIN: 14-1930644

Smithtown GP, LLC (100% Controlled by Immediate Parent); FEIN: 57-3192758

Victory Ridge Apartments, L.P. (80% Controlled by Immediate Parent); FEIN: 57-1219731

Trinity Medical WNY, P.C.; FEIN: 27-2576645 (Captive PC)

Salus Medical Care, P.C.; FEIN: (100% Controlled by Immediate Parent)

Catholic Medical Partners-Accountable Care IPA, Inc.; FEIN: (% Controlled by Immediate Parent)

CH Emmaus, Inc.; FEIN 82-1852345 (100% Controlled by Immediate Parent)

Sterling Surgical Center, LLC; FEIN: 16-1607894 (100% Controlled by CH Emmaus)

Assets were sold 3/7/2016 but entity remains with CHS: St. Francis Home of Williamsville, New York (Inactive); FEIN: 16-0743153 (100% Controlled by Immediate Parent)

Baycare Health System, Inc. (JOA - 50.4% Controlled by Trinity Health, not all facilities Controlled; Other Parties to the JOA include Morton Plant Mease Health Care, Inc. and South Florida Baptist Hospital, Inc.) [Florida]; FEIN: 59-2796965

Morton Plant Mease Health Care, Inc.; FEIN: 59-2374556 (Entity is a Party to the JOA BayCare Health System; Membership of this entity is a Directors Model - the members of the Board of Directors of Morton Plant Mease Health Care, Inc. are the

Trustees of Mease Hospital, Inc. d/b/a Mease Countryside Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)

Trustees of Mease Hospital, Inc. d/b/a Mease Dunedin Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Recovery Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant Rehabilitation Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

South Florida Baptist Hospital, Inc.; FEIN: 59-0594631 (Entity is a Party to the JOA BayCare Health System; Membership of this entity consists of 21 individuals who are members of Missionary Baptist Churches in FL. 100% Controlled by Bartow Regional Medical Center, Inc.; FEIN: 47-5387418 (100% Controlled by Immediate Parent)

Winter Haven Hospital, Inc.; FEIN: 59-0724462 (100% Controlled by Immediate Parent)

Winter Haven Hospital, Inc. dba Winter Haven Women's Hospital; FEIN: 59-0724462 (100% Controlled by Immediate Parent)

BayCare Alliant Hospital, Inc.; FEIN: 05-0615150 (100% Controlled by Immediate Parent)

Baycare Physician Partners, LLC; FEIN: 45-2908908 (100% Controlled by Immediate Parent)

Baycare Physician Partners ACO, LLC; FEIN: 46-5720072 (Members are Baycare Health System and 2 individuals)

BayCare Medical Group, Inc. (f/k/a Morton Plant Mease Primary Care, Inc.); FEIN: 59-3140335 (100% Controlled by Immediate Parent)

St Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St Joseph's Hospital, Inc. d/b/a St. Joseph's Children's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St Joseph's Hospital, Inc. d/b/a St. Joseph's Women's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - North; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - South; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital Behavioral Health Center; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Health Care Center, Inc.; FEIN: 59-2593686 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital of Tampa Foundation, Inc.; FEIN: 59-1100828 (100% Controlled by Immediate Parent)

John Knox Village of Tampa Bay, Inc.; FEIN: 58-1377711 (100% Controlled by Immediate Parent)

HealthPoint Medical Group, Inc.; FEIN: 59-3244268 (100% Controlled by Immediate Parent)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Franciscan Properties, Inc.; FEIN: 59-2822519 (100% Controlled by Immediate Parent)

St. Joseph's Community Care, Inc.; FEIN: 59-3152608 (100% Controlled by Immediate Parent)

St. Joseph's Enterprises, Inc.; FEIN: 59-2822516 (100% Controlled by Immediate Parent)

St. Anthony's Professional Building and Services, Inc.; FEIN: 59-2018848 (100% Controlled by Immediate Parent)

St. Anthony's Hospital, Inc.; FEIN: 59-2043026 (100% Controlled by Trinity Health Corporation)

St. Anthony's Hospital Auxiliary, Inc.; FEIN: 59-0201974 (100% Controlled by Immediate Parent)

St. Anthony's Health Care Foundation, Inc.; FEIN: 59-2128991 (100% Controlled by Immediate Parent)

St. Anthony's Physicians Surgery Center, LLC; FEIN: 01-0861245 (100% Controlled by Immediate Parent)

Allegheny Franciscan Ministries, Inc. (Florida); FEIN: 58-1492325 (100% Controlled by Trinity Health)

Global Health Ministry d/b/a Global Health Volunteers (MI); FEIN: 42-1253527 (100% Controlled by Trinity Health)

Saint Joseph's Health System, Inc. (Georgia); FEIN: 58-1744848 (100% Controlled by Trinity Health)

Saint Joseph's Mercy Care Services, Inc. dba Mercy Care; FEIN: 58-1752700 (100% Controlled by Immediate Parent)

Mercy Senior Care, Inc. dba Mercy Care Rome; FEIN: 58-1366508 (100% Controlled by Immediate Parent)

Mercy Care Foundation, Inc. (f/b/a Saint Joseph's Mercy Foundation, Inc.); FEIN: 58-1448522 (100% Controlled by Immediate Parent)

Mercy Services Downtown, Inc.; FEIN: 27-2046353 (100% Controlled by Immediate Parent)

SIHS/JOC Holdings, Inc.; FEIN: 47-2299757 (100% Controlled by Immediate Parent)

Emory/Saint Joseph's, Inc. (JOC - 49% Controlled by SIHS/JOC Holdings, Inc.); FEIN: 45-2721833

Holy Cross Hospital, Inc. (Florida); FEIN: 59-0791028 (100% Controlled by Trinity Health)

Holy Cross Primary Care, Inc.; FEIN: 81-2531495 (100% Controlled by Immediate Parent)

Nursing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)

Holy Cross Outpatient Services, Inc.; FEIN: 46-5421068 (100% Controlled by Immediate Parent)

Holy Cross Physician Partners, LLC; FEIN: 36-4712116 (100% Controlled by Immediate Parent)

Holy Cross Physician Partners ACO, LLC; FEIN: 46-5530455 (100% Controlled by Immediate Parent)

Holy Cross Senior Services, Inc.; FEIN: 83-2256461 (100% Controlled by Immediate Parent)

Physicians Outpatient Surgery Center, LLC (JV with Physician Members - 71% Controlled by HCH); FEIN: 35-2325646

Atlantic Coast Health Network, LLC (JV with Atlantic Coast Holdings, Inc. - 50% Controlled by HCH); FEIN: 47-4756582

St. Joseph's Health, Inc. (New York); FEIN: 47-4754987 (100% Controlled by Trinity Health)

St. Joseph's Hospital Health Center; FEIN: 15-0532254 (100% Controlled by Immediate Parent)

S.J. Management Company of Syracuse, Inc.; FEIN: 27-1763712 (100% Controlled by Immediate Parent)

St. Joseph's College of Nursing at St. Joseph's Hospital Health Center; FEIN: 20-2497520 (100% Controlled by Immediate Parent)

SIPE Practice Management Services, Inc.; FEIN: 45-4164964 (100% Controlled by Immediate Parent)

Plaza Corporation of Central New York (50% SIHHC, 50% Crouse Hospital); FEIN: 22-2800840

Laboratory Alliance of Central New York, LLC (50% SIHHC, 50% Crouse Health Hospital, Inc.); FEIN: 16-1536202

Loretto Independent Living Services, Inc.; FEIN: 16-1470454 (Not Controlled by Immediate Parent, but maintains a right to one less than one half of the Board Seats) CNY AIRM IPA, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent)

CNY AIRM, LLC; FEIN: 81-1461678 (100% Controlled by Immediate Parent)

St. Joseph's Health Accountable Care Organization, LLC; FEIN: 47-4081578 (100% Controlled by Immediate Parent)

St. Joseph's Hospital Health Center Foundation, Inc.; FEIN: 22-2149775 (100% Controlled by Immediate Parent)

St. Joseph's Health Center Properties, Inc.; FEIN: 23-7219294 (100% Controlled by Immediate Parent)

Radisson SIH Properties, LLC (50% St. Joseph's Health Center Properties, 50% Radisson Partners, LLC); FEIN: 46-1892799

Franciscan Associates, Inc.; FEIN: 20-2991688 (100% Controlled by Immediate Parent)

Near Northside Holdings, LLC; FEIN: Not Yet Applied For (100% Controlled by Immediate Parent)

Embracing Age, Inc.; FEIN: 46-1051881 (100% Controlled by Immediate Parent)

Oswego Health Home Care, LLC (49% Embracing Age and 60% Oswego Health); FEIN: 47-2463736

St. Joseph's Physician Health, P.C.; FEIN: 16-1516863 (Captive PC)

St. Joseph's Medical, P.C.; FEIN: 27-3899821 (Captive PC)

St. Joseph's Imaging Associates, PLLC (60% Prospect Hill Radiology Group, 40% SIMPC); FEIN: 16-1104293

CNY North Urgent Care, PLLC; FEIN: 35-2605215 (100% Controlled by St. Joseph's Medical, P.C.)

Concordia Healthcare Network, LLC; FEIN: 84-1939474 (100% Controlled by Immediate Parent)

Concordia Health ACO, LLC; FEIN: TBD (100% Controlled by St. Joseph's Health, Inc.)

Trinity Health Of New England Corporation, Inc. (formerly Trinity Health - New England, Inc.) (Connecticut); FEIN: 06-1491191 (100% Controlled by Trinity Health)

Trinity Health of New England Urgent Care, LLC; FEIN: 84-2665996 (51% Controlled by Trinity Health of New England Corporation, Inc.; 49% Controlled by Premier Health Consultants, LLC) Saint Francis Hospital and Medical Center; FEIN: 06-0646813 (100% Controlled by Immediate Parent)

Woodland Partners Real Estate LLC; FEIN: 83-3371094; (55% Controlled by Saint Francis Hospital and Medical Center)

Lighthouse Surgery Center, LLC; FEIN: 83-2096116 (26% Controlled by Saint Francis Hospital and Medical Center/74% by Physicians)

Saint Francis Hospital and Medical Center Foundation, Inc.; FEIN: 06-1008255 (100% Controlled by Immediate Parent)

Collaborative Laboratory Services, LLC; FEIN: 06-1520109 (100% Controlled by Immediate Parent)

Mount Sinai Hospital Foundation, Inc.; FEIN: 22-2584082 (100% Controlled by Immediate Parent)

Women's Auxiliary of Saint Francis Hospital and Medical Center, Inc.; FEIN: 06-0660403 (100% Controlled by Immediate Parent)

Saint Francis GI Endoscopy, LLC (49% SFHMC); FEIN: 20-5540278 Medworks, LLC (51% SFHMC); FEIN: 06-1490483

Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health); FEIN: 06-1384686 (100% Controlled by Immediate Parent)

Saint Francis Care Medical Group, P.C. (Nominee Shareholder, SVP Medical Affairs); FEIN: 06-1432373 (100% Controlled by Immediate Parent) Mount Sinai Rehabilitation Hospital, Inc.; FEIN: 06-1422973 (100% Controlled by Immediate Parent) SFH/FF, LLC; FEIN: 06-1489749; (100% Controlled by MSRH)

Trinity Health Of New England Provider Network Organization, Inc. (formerly Trinity Health-New England Physician Network Organization; FEIN: 06-1450168 (100% Controlled by Immediate Parent) Saint Francis Emergency Medical Group, Inc.; FEIN: 45-1994612 (100% Controlled by Immediate Parent)

Total Health Connecticut, LLC; FEIN: 47-4070024 (40% Controlled by THONE)

Asylum Hill Family Medicine Center, Inc.; FEIN: 06-1450170 (100% Controlled by Immediate Parent)

Southern New England Healthcare Organization, Inc. (50% Interest held by Trinity Health of New England Corporation, Inc.); FEIN: 06-1391257

Saint Francis Healthcare Partners Foundation, Inc.; FEIN: 20-8176133 (100% Controlled by Saint Francis HealthcarePartners, Inc.)

Saint Francis Healthcare Partners ACO, Inc.; FEIN: 46-1315402 (100% Controlled by Immediate Parent)

Southern New England Affiliated Physicians Purchasing Group; FEIN: 81-4362177 (100% Controlled by Immediate Parent)

Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33.3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint Mary's Physician Partners, LLC d/b/a Valley Health Alliance; and Southern New England Healthcare

Connecticut Occupational Medicine Partners, LLC; FEIN: 06-1586674 (50% Controlled by THONE; 20% Controlled by JMMC)

Johnson Memorial Hospital, Inc.; FEIN: 47-5676956 (100% Controlled by Immediate Parent)

NRRON, LLC; FEIN: 81-2004513; (25% Controlled by Johnson Memorial Hospital, Inc.)

TIC, LLC; FEIN: 81-2022269 (15% Controlled by Johnson Memorial Hospital, Inc.)

Trinity Health Of New England Emergency Medical Services, Inc.; FEIN: 83-3546613 (100% Controlled by Immediate Parent)

The Mercy Hospital, Inc. dba Mercy Medical Center, Providence Behavioral Health Hospital, Weldon Rehabilitation Hospital, Family Life Center for Maternity, Sister Caritas Cancer Center, WorkWise, Mercy Healthcare for The Homeless; FEIN: 04-3029929 (100% Controlled by Immediate Parent)

Assets Transferred to THHS, but entity remains: Providence HomeCare, Inc. dba Mercy Home Care, Inc.; FEIN: 04-3317426 (100% Controlled by Immediate Parent)

Mercy Inpatient Medical Associates, Inc. dba Breast Care Center; dba MercyCare - Forest Park; dba Providence Prenatal Center of Holyoke; dba Trinity Health of New England Medical Group; FEIN: 04-3029929 (100% Controlled by Immediate Parent) System Coordinated Services, Inc. dba Life Laboratories; FEIN: 04-2938161 (100% Controlled by Immediate Parent)

Mercy Health Accountable Care Organization, LLC; FEIN: 82-1007572 (100% Controlled by Immediate Parent)

Mercy Physicians, P.C. dba Trinity Health of New England Medical Group; FEIN: 000857412 (100% Controlled by Immediate Parent)

Brightside, Inc.; FEIN: 04-2182395 (100% Controlled by Immediate Parent)

Mercy Care Alliance, LLC; FEIN: 47-1561725 (100% Controlled by Immediate Parent)

Pioneer Valley Cardiology Associates, Inc.; FEIN: 45-4208896 (100% Controlled by Immediate Parent)

Mercy Specialist Physicians, Inc. dba Trinity Health of New England Medical Group; FEIN: 26-4033168 (100% Controlled by Immediate Parent)

Mercy Medical Group, Inc. dba Trinity Health of New England Medical Group; FEIN: 45-4884805 (100% Controlled by Immediate Parent) Farren Care Center, Inc.; FEIN: 04-2501711 (100% Controlled by Immediate Parent)

Riverbend Medical Group, Inc. dba Trinity Health of New England Medical Group; FEIN: 81-1807730 (100% Controlled by Immediate Parent)

Sisters of Providence Care Centers, Inc.; FEIN: 22-2541103 (100% Controlled by Immediate Parent)

Western Massachusetts PET/CT Imaging Center, LLC; FEIN: 20-4744663 (50% Controlled by The Mercy Hospital, Inc.; 50% Controlled by Alliance Imaging, Inc.)

Saint Mary's Hospital, Inc.; FEIN: 06-0646844 (100% Controlled by Immediate Parent)

The Harold Leever Regional Cancer Center, Inc.; FEIN: 06-1548409 (50% Controlled by Immediate Parent)

Heart Center of Greater Waterbury, Inc.; FEIN: 83-0416893 (50% Controlled by Immediate Parent)

Franklin Medical Group, P.C. dba Trinity Health of New England Medical Group; FEIN: 06-1470493 (Nominee Shareholder of Physician Group)

Diagnostic Imaging of Southbury, LLC; FEIN: 06-1487582 (60% Controlled by Immediate Parent)

Naugatuck Valley MRI, LLC; FEIN: 06-1239526 (78.3% Controlled by Immediate Parent)

Saint Mary's Physician Partners, LLC dba Valley Health Alliance; FEIN: 46-5760769 (100% Controlled by Immediate Parent)

Saint Mary's Hospital Foundation, Inc.; FEIN: 22-2528400 (100% Controlled by Immediate Parent)

Scovill Street Medical Building Association, Inc.; FEIN: 06-1232868 (81% Controlled by Immediate Parent)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. CMS Premium Part C	1,080,276		1,080,276	487,822
2305. Other liabilities	40,159		40,159	46,685
2306. 2019 FYRA Risk Revenue	0		0	(9,537,476)
2307.			0	
2397. Summary of remaining write-ins for Line 23 from overflow page	1,120,435	0	1,120,435	(9,002,969)

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	205,129,921	179,212,782
2. Cost of bonds and stocks acquired	71,452,676	93,421,797
3. Accrual of discount	89,318	135,229
4. Unrealized valuation increase (decrease)	799,680	6,908,047
5. Total gain (loss) on disposals	(28,204)	7,339,869
6. Deduct consideration for bonds and stocks disposed of	54,032,077	80,723,389
7. Deduct amortization of premium	237,068	333,599
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized	262,487	894,928
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	209,813	64,113
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	223,121,572	205,129,921
12. Deduct total nonadmitted amounts		0
13. Statement value at end of current period (Line 11 minus Line 12)	223,121,572	205,129,921

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	285,345,051	225,536,905	213,527,750	(141,108)	246,961,084	285,345,051	297,213,098	267,498,653
2. NAIC 2 (a)	21,270,765	6,790,164	2,921,174	164,325	23,856,417	21,270,765	25,304,080	20,424,126
3. NAIC 3 (a)	0				0	0	0	
4. NAIC 4 (a)	0				0	0	0	
5. NAIC 5 (a)	0				0	0	0	
6. NAIC 6 (a)	0				0	0	0	
7. Total Bonds	306,615,815	232,327,069	216,448,924	23,217	270,817,501	306,615,815	322,517,177	287,922,779
PREFERRED STOCK								
8. NAIC 1	0				0	0	0	0
9. NAIC 2	0				0	0	0	0
10. NAIC 3	0				0	0	0	0
11. NAIC 4	0				0	0	0	0
12. NAIC 5	0				0	0	0	0
13. NAIC 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	306,615,815	232,327,069	216,448,924	23,217	270,817,501	306,615,815	322,517,177	287,922,779

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 188,044,529 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

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SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	54,693,826	xxx	54,663,457	17,188	1,794

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	33,400,245	43,012,856
2. Cost of short-term investments acquired	112,394,095	100,744,610
3. Accrual of discount	359,999	869,879
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals		5,575
6. Deduct consideration received on disposals	91,460,273	111,232,675
7. Deduct amortization of premium	240	0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	54,693,826	33,400,245
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	54,693,826	33,400,245

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	0
2. Cost Paid/(Consideration Received) on additions	
3. Unrealized Valuation increase/(decrease)	
4. SSAP No. 108 adjustments	
5. Total gain (loss) on termination recognized	594
6. Considerations received/(paid) on terminations	594
7. Amortization	
8. Adjustment to the Book/Adjusted Carrying Value of hedged item	
9. Total foreign exchange change in Book/Adjusted Carrying Value	
10. Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	0
11. Deduct nonadmitted assets	
12. Statement value at end of current period (Line 10 minus Line 11)	0

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus	
3.12 Section 1, Column 15, prior year	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus	
3.14 Section 1, Column 18, prior year	
3.2 Add:	
Change in adjustment to basis of hedged item	
3.21 Section 1, Column 17, current year to date minus	
3.22 Section 1, Column 17, prior year	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus	
3.24 Section 1, Column 19, prior year	
3.25 SSAP No. 108 adjustments	
3.3 Subtotal (Line 3.1 minus Line 3.2)	
4.1 Cumulative variation margin on terminated contracts during the year	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item	
4.22 Amount recognized	
4.23 SSAP No. 108 adjustments	
4.3 Subtotal (Line 4.1 minus Line 4.2)	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6. Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7. Deduct total nonadmitted amounts	
8. Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	118,154,828	102,115,660
2. Cost of cash equivalents acquired	596,157,189	949,287,173
3. Accrual of discount	154,198	597,361
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	0	(54)
6. Deduct consideration received on disposals	579,463,627	933,845,311
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	135,002,589	118,154,828
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	135,002,589	118,154,828

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
001055-AM-4	AFLAC INC		09/23/2020	WELLS FARGO BANK, N.A./SIG		673,164	600,000	7,854	1FE
00206R-GQ-9	AT&T INC		07/30/2020	PERSHING LLC		1,018,088	850,000	17,057	2FE
00287Y-AQ-2	ABBVIE INC		09/23/2020	GOLDMAN SACHS		388,924	350,000	4,585	2FE
00507V-AP-4	ACTIVISION BLIZZARD INC		09/23/2020	J.P. MORGAN/CHASE		490,740	500,000	844	2FE
02079K-AD-9	ALPHABET INC		08/03/2020	GOLDMAN SACHS		398,904	400,000	0	1FE
023135-BS-4	AMAZON.COM INC		09/23/2020	BANK OF AMERICA CORPOR		1,048,575	1,025,000	4,783	1FE
03523T-BX-5	ANHEUSER-BUSCH INBEV WORLDWIDE INC		09/23/2020	GOLDMAN		453,696	400,000	2,859	2FE
053332-BA-9	AUTOZONE INC		08/04/2020	J.P. MORGAN CHASE BANK		299,088	300,000	0	2FE
126650-CL-2	CVS HEALTH CORP		09/23/2020	MORGAN STANLEY AND CO INC		1,019,385	900,000	6,297	2FE
191216-DE-7	COCA-COLA CO		09/14/2020	J.P. Morgan Securities LLC		399,924	400,000	0	1FE
43813K-AA-0	HAROT 2020-3 A1 - ABS		09/22/2020	Barclays Bank		1,000,000	1,000,000	0	1FE
44891A-BP-1	HYUNDAI CAPITAL AMERICA		09/15/2020	BNP PARIBAS		549,852	550,000	0	2FE
49456B-AR-2	KINDER MORGAN INC		07/27/2020	J.P. MORGAN SECURITIES INC.		198,120	200,000	0	2FE
67066G-AF-1	NVIDIA CORP		07/15/2020	GOLDMAN, SACHS & CO.		709,025	625,000	5,294	1FE
776743-AL-0	ROPER TECHNOLOGIES INC		08/18/2020	MERRILL LYNCH PIERCE FENNER & SMITH INC.		648,954	650,000	0	2FE
84861T-AE-8	SPIRIT REALTY LP		07/20/2020	BARCLAYS CAPITAL INC		903,960	900,000	560	2FE
89237V-AE-9	TAOT 2020-C A1 - ABS		07/21/2020	SMBC NIKKO SECURITIES AMERICA, INC.		1,125,000	1,125,000	0	1FE
91324P-DX-7	UNITEDHEALTH GROUP INC		07/27/2020	CitiGroup		799,268	750,000	2,958	1FE
92343V-EU-4	VERIZON COMMUNICATIONS INC		07/27/2020	GOLDMAN		244,264	200,000	1,249	2FE
92343V-FE-9	VERIZON COMMUNICATIONS INC		07/30/2020	Merrill Lynch		575,095	500,000	5,819	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						12,944,024	12,225,000	60,159	XXX
8399997. Total - Bonds - Part 3						12,944,024	12,225,000	60,159	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						12,944,024	12,225,000	60,159	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
00166B-10-5	ALX ONCOLOGY HOLDINGS ORD		09/16/2020	Various	500.000	11,610	0	0	XXX
00287Y-10-9	ABBVIE ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	15.000	1,493	0	0	XXX
00434H-10-8	ACCELERON PHARMA ORD		08/05/2020	JEFFERIES & COMPANY, INC.	113.000	12,046	0	0	XXX
00437E-10-2	ACCOLADE ORD		07/07/2020	GOLDMAN	1,800.000	52,387	0	0	XXX
005111-10-9	ACUTUS MEDICAL ORD		08/06/2020	Bear Stearns	200.000	3,600	0	0	XXX
00724F-10-1	ADOBE ORD		07/23/2020	WILLIAMS CAPITAL GROUP	4.000	1,792	0	0	XXX
00773U-10-8	ADVERUM BIOTECHNOLOGIES ORD		08/13/2020	Various	623.000	8,915	0	0	XXX
00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		08/28/2020	BAIRD, ROBERT W., & COMPANY IN	136.000	7,557	0	0	XXX
00847X-10-4	AGIOS PHARMACEUTICALS ORD		08/05/2020	Various	440.000	19,739	0	0	XXX
00973Y-10-8	AKERO THERAPEUTICS ORD		08/17/2020	Various	1,081.000	37,689	0	0	XXX
01671P-10-0	ALLAKOS ORD		08/17/2020	Various	265.000	23,271	0	0	XXX
017175-10-0	ALLEGHANY ORD		09/21/2020	Various	176.000	91,988	0	0	XXX
018802-10-8	ALLIANT ENERGY ORD		07/23/2020	WILLIAMS CAPITAL GROUP	36.000	1,904	0	0	XXX
02079K-10-7	ALPHABET CL C ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	1.000	1,480	0	0	XXX
02079K-30-5	ALPHABET CL A ORD		07/23/2020	WILLIAMS CAPITAL GROUP	1.000	1,565	0	0	XXX
02208R-10-6	ALTRA INDUSTRIAL MOTION ORD		07/27/2020	Keybank	426.000	14,460	0	0	XXX
023135-10-6	AMAZON.COM ORD		09/18/2020	Various	9.000	27,071	0	0	XXX
02376R-10-2	AMERICAN AIRLINES GROUP ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	165.000	2,116	0	0	XXX
02665T-30-6	AMERICAN HOMES 4 RENT CL A REIT ORD		09/18/2020	Various	1,116.000	31,656	0	0	XXX
03044L-10-5	AMERICAN WELL CL A ORD		09/17/2020	Morgan Stanley	500.000	9,000	0	0	XXX
030506-10-9	AMERICAN WOODMARK ORD		07/30/2020	COWEN AND COMPANY, LLC	589.000	46,721	0	0	XXX
03152W-10-9	AMICUS THERAPEUTICS ORD		08/05/2020	SCOTIA MCLEOD INC	917.000	13,751	0	0	XXX
032654-10-5	ANALOG DEVICES ORD		08/18/2020	KCG AMERICAS LLC	12.000	1,416	0	0	XXX
03753U-10-6	APELLIS PHARMACEUTICALS ORD		08/06/2020	Bear Stearns	461.000	12,496	0	0	XXX
037833-10-0	APPLE ORD		09/25/2020	Various	66.000	11,275	0	0	XXX
040047-60-7	ARENA PHARMACEUTICALS ORD		08/07/2020	JEFFERIES & COMPANY, INC.	99.000	6,281	0	0	XXX
04351P-10-1	ASCENDIS PHARMA ADR REP ORD		08/12/2020	JEFFERIES & COMPANY, INC.	118.000	16,631	0	0	XXX
04965G-10-9	ATRECA CL A ORD		07/16/2020	COWEN AND COMPANY, LLC	861.000	13,776	0	0	XXX
05591B-10-9	BMC HOLDINGS ORD		09/30/2020	STIFEL NICOLAUS & CO.	979.000	41,933	0	0	XXX
05605H-10-0	BIIX TECHNOLOGIES ORD		09/03/2020	Various	941.000	55,086	0	0	XXX
08265T-20-8	BENTLEY SYSTEMS, INCORPORATED		09/23/2020	GOLDMAN	200.000	4,400	0	0	XXX
084670-70-2	BERKSHIRE HATHAWAY CL B ORD		09/18/2020	Various	56.000	12,119	0	0	XXX
09073M-10-4	BIO TECHNE ORD		07/01/2020	Various	108.000	28,599	0	0	XXX

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
097023-10-5	BOEING ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	8.000	1,476		0	
100557-10-7	BOSTON BEER CL A ORD		07/23/2020	Various	25.000	15,413		0	
11120U-10-5	BRIXMOOR PROPERTY GROUP REIT ORD		09/03/2020	BURNS FRY HOARE GOVETT INC	2,082.000	26,316		0	
12008R-10-7	BUILDERS FIRSTSOURCE ORD		09/30/2020	STIFEL NICOLAUS & CO.	603.000	19,674		0	
126650-10-0	CVS HEALTH ORD		08/18/2020	KCG AMERICAS LLC	23.000	1,495		0	
127097-10-3	CABOT OIL & GAS ORD		09/22/2020	CITATION GROUP	92.000	1,799		0	
127190-30-4	CACI INTERNATIONAL CL A ORD		09/22/2020	Various	157.000	34,695		0	
13089F-10-1	CALITHERA BIOSCIENCES ORD		08/03/2020	LIQUIDNET, INC.	4,232.000	20,631		0	
146229-10-9	CARTERS ORD		09/11/2020	Various	396.000	28,158		0	
148806-10-2	CATALENT ORD		09/18/2020	MERRILL LYNCH & CO	216.000	18,332		0	
149668-10-7	CAVCO INDUSTRIES ORD		09/18/2020	Various	137.000	25,129		0	
15189T-10-7	CENTERPOINT ENERGY ORD		08/06/2020	Various	4,043.000	80,302		0	
156504-30-0	CENTURY COMMUNITIES ORD		07/28/2020	Various	1,038.000	33,062		0	
16383L-10-6	CHEMOCENTRYX ORD		08/06/2020	Various	660.000	35,903		0	
169656-10-5	CHIPOTLE MEXICAN GRILL ORD		09/18/2020	MERRILL LYNCH & CO	3.000	3,646		0	
171798-10-1	CIMAREX ENERGY ORD		08/27/2020	Warburg Dillon Reed	196.000	5,495		0	
184496-10-7	CLEAN HARBORS ORD		09/17/2020	Various	230.000	14,094		0	
201723-10-3	COMMERCIAL METALS ORD		07/07/2020	WALL STREET ACCESS NY	308.000	6,137		0	
22160K-10-5	COSTCO WHOLESALE ORD		08/18/2020	KCG AMERICAS LLC	4.000	1,364		0	
231561-10-1	CURTISS WRIGHT ORD		08/04/2020	GOLDMAN	122.000	11,722		0	
243537-10-7	DECKERS OUTDOOR ORD		09/21/2020	GOLDMAN	52.000	10,748		0	
247361-70-2	DELTA AIR LINES ORD		09/25/2020	WILLIAMS CAPITAL GROUP	102.000	2,936		0	
25278X-10-9	DIAMONDBACK ENERGY ORD		09/10/2020	Barclays Bank - CP	81.000	2,517		0	
253031-10-8	DIACERNA PHARMACEUTICALS ORD		09/11/2020	Various	473.000	9,782		0	
264120-10-6	DUCK CREEK TECH ORD		08/14/2020	Bear Stearns	100.000	2,700		0	
26484T-10-6	DUN BRADST HLDG ORD		07/01/2020	Merrill Lynch	1,700.000	37,400		0	
265504-10-0	DUNKIN BRANDS GROUP ORD		09/18/2020	Various	754.000	52,778		0	
26818M-10-8	DYNE THERAPEUTICS ORD		09/17/2020	Bear Stearns	3,000.000	57,000		0	
26884L-10-9	EQT ORD		07/30/2020	RBC Dain Rauscher (US)	189.000	2,730		0	
278865-10-0	ECOLAB ORD		07/23/2020	WILLIAMS CAPITAL GROUP	14.000	2,966		0	
282559-10-3	89B10 ORD		09/17/2020	Various	883.000	25,200		0	
29089Q-10-5	EMERGENT BIOSOLUTIONS ORD		08/05/2020	SCOTIA MCLEOD INC	30.000	4,013		0	
29786A-10-6	ETSY ORD		09/18/2020	MERRILL LYNCH & CO	158.000	17,657		0	
30161N-10-1	EXELON ORD		09/04/2020	GOLDMAN SACHS	47.000	1,764		0	
301610-10-4	EXELIXIS ORD		08/05/2020	SCOTIA MCLEOD INC	861.000	19,906		0	
30231G-10-2	EXXON MOBIL ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	34.000	1,522		0	
30303M-10-2	FACEBOOK CL A ORD		08/04/2020	GOLDMAN SACHS	7.000	1,760		0	
31620M-10-6	FIDELITY NATIONAL INFORMATN SVCS ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	11.000	1,528		0	
33829M-10-1	FIVE BELOW ORD		09/14/2020	Various	615.000	74,938		0	
34959J-10-8	FORTIVE ORD		09/18/2020	MERRILL LYNCH & CO	65.000	4,927		0	
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		07/30/2020	COWEN AND COMPANY, LLC	99.000	7,476		0	
35086T-10-9	FOUR CORNERS PROPERTY ORD		09/10/2020	RAYMOND JAMES/FI	2,932.000	76,600		0	
363576-10-9	ARTHUR J GALLAGHER ORD		08/04/2020	UBS AG	14.000	1,484		0	
369604-10-3	GENERAL ELECTRIC ORD		08/18/2020	KCG AMERICAS LLC	224.000	1,458		0	
379577-20-8	GLOBUS MEDICAL CL A ORD		07/02/2020	Various	2,083.000	101,740		0	
38046W-10-5	GOHEALTH CL A ORD		07/15/2020	Merrill Lynch	1,000.000	21,000		0	
38141G-10-4	GOLDMAN SACHS GROUP ORD		09/18/2020	MERRILL LYNCH & CO	49.000	9,548		0	
38246G-10-8	GOODRX HOLDINGS CL A ORD		09/23/2020	Morgan Stanley	100.000	3,300		0	
388689-10-1	GRAPHIC PACKAGING HOLDING ORD		09/09/2020	GOLDMAN	793.000	11,275		0	
422704-10-6	HECLA MINING ORD		09/16/2020	Various	1,744.000	10,121		0	
423452-10-1	HELMERICH AND PAYNE ORD		09/15/2020	GOLDMAN	379.000	5,905		0	
431475-10-2	HILL ROM HOLDINGS ORD		09/16/2020	Various	908.000	92,203		0	
437076-10-2	HOME DEPOT ORD		08/18/2020	KCG AMERICAS LLC	6.000	1,733		0	
438083-10-7	HOMOLOGY MEDICINES ORD		07/31/2020	Various	443.000	6,337		0	
446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		09/03/2020	GOLDMAN	46.000	6,971		0	
447011-10-7	HUNTSMAN ORD		09/09/2020	GOLDMAN	648.000	14,816		0	
448579-10-2	HYATT HOTELS CL A ORD		08/20/2020	Morgan Stanley	787.000	42,454		0	
452907-10-8	IMMUNOMEDICS ORD		08/10/2020	COWEN AND COMPANY, LLC	1,046.000	43,153		0	
45688C-10-7	INGEVITY ORD		09/08/2020	GOLDMAN	197.000	11,265		0	
45790W-10-8	INOZYME PHARMA ORD		07/24/2020	Merrill Lynch	1,000.000	16,000		0	
457985-20-8	INTEGRA LIFE SCIENCES HOLDINGS ORD		09/21/2020	Various	1,806.000	86,545		0	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini- strative Symbol
459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD		.08/04/2020	KCG AMERICAS LLC	12.000	1.486		0	
461202-10-3	INTUIT ORD		.08/18/2020	KCG AMERICAS LLC	5.000	1.550		0	
46120E-60-2	INTUITIVE SURGICAL ORD		.08/04/2020	UBS AG	2.000	1.372		0	
462260-10-0	IOVANCE BIOTHERAPEUTICS ORD		.09/11/2020	JEFFERIES & COMPANY, INC.	98.000	3.148		0	
46266C-10-5	IQVIA HOLDINGS ORD		.09/25/2020	WILLIAMS CAPITAL GROUP	20.000	2.941		0	
462726-10-0	IROBOT ORD		.09/10/2020	Various	527.000	41.025		0	
46625H-10-0	JPMORGAN CHASE ORD		.09/04/2020	MERRILL LYNCH & CO	19.000	1.977		0	
47074L-10-5	JAMF HOLDING ORD		.07/22/2020	GOLDMAN	100.000	2.600		0	
47580P-10-3	JELD WEN HOLDING ORD		.09/23/2020	Various	2,891.000	59.267		0	
477143-10-1	JETBLUE AIRWAYS ORD		.09/04/2020	SCOTIA MCLEOD INC	3,589.000	42.654		0	
478160-10-4	JOHNSON & JOHNSON ORD		.08/18/2020	KCG AMERICAS LLC	11.000	1.642		0	
48576U-10-6	KARYOPHARM THERAPEUTICS ORD		.09/16/2020	JEFFERIES & COMPANY, INC.	1,309.000	20.886		0	
489170-10-0	KENNAMETAL ORD		.08/05/2020	Various	385.000	10.544		0	
50050N-10-3	KONTOOR BRANDS ORD		.08/06/2020	Various	455.000	8.605		0	
501575-10-4	KYNERA THERAPEUTICS ORD		.08/21/2020	Morgan Stanley	300.000	6.000		0	
50212V-10-0	LPL FINANCIAL HOLDINGS ORD		.09/28/2020	Various	390.000	30.411		0	
518439-10-4	ESTEE LAUDER CL A ORD		.07/06/2020	LOOP CAPITAL MARKETS LLC	8.000	1.552		0	
52567D-10-7	LEMONADE ORD		.07/02/2020	GOLDMAN	100.000	2.900		0	
55024U-10-9	LUMENTUM HOLDINGS ORD		.07/16/2020	RAYMOND JAMES & ASSOCIATES	648.000	53.132		0	
552848-10-3	MGIC INVESTMENT ORD		.09/02/2020	COWEN AND COMPANY, LLC	5,302.000	48.750		0	
556269-10-8	STEVEN MADDEN ORD		.09/30/2020	Various	1,406.000	28.494		0	
571748-10-2	MARSH & MCLENNAN ORD		.08/04/2020	UBS AG	13.000	1.503		0	
57636Q-10-4	MASTERCARD CL A ORD		.08/04/2020	GOLDMAN SACHS	5.000	1.564		0	
578605-10-7	MAYVILLE ENGINEERING CO ORD		.09/17/2020	Various	1,202.000	9.950		0	
584021-10-9	MEDALLIA ORD		.07/20/2020	COWEN AND COMPANY, LLC	93.000	2.730		0	
59001K-10-0	MERITOR ORD		.08/13/2020	Various	8,362.000	193.804		0	
59045L-10-6	MERSANA THERAPEUTICS ORD		.08/04/2020	JEFFERIES & COMPANY, INC.	449.000	9.126		0	
594918-10-4	MICROSOFT ORD		.09/25/2020	Various	49.000	10.273		0	
596278-10-1	MIDDLEBY ORD		.09/30/2020	Various	1,196.000	113.375		0	
60468T-10-5	MIRATI THERAPEUTICS ORD		.07/31/2020	RBC Dain Rauscher (US)	27.000	3.259		0	
60855R-10-0	MOLINA HEALTHCARE ORD		.09/22/2020	Various	218.000	37.746		0	
615394-20-2	MOOG CL A ORD		.07/24/2020	Various	812.000	43.081		0	
62857M-10-5	MYOKARDIA ORD		.09/14/2020	GOLDMAN	323.000	39.410		0	
629377-50-8	NRG ENERGY ORD		.07/27/2020	Barclays Bank - CP	801.000	27.635		0	
63009R-10-9	NANOSTRING TECHNOLOGIES ORD		.07/01/2020	PIPER JAFFRAY	1,200.000	35.503		0	
63947U-10-7	NCINO ORD		.07/14/2020	Barclays Bank - CP	200.000	6.200		0	
65339F-10-1	NEXTERA ENERGY ORD		.07/23/2020	WILLIAMS CAPITAL GROUP	7.000	1.962		0	
654106-10-3	NIKE CL B ORD		.08/18/2020	KCG AMERICAS LLC	14.000	1.491		0	
65487U-10-8	NKARTA ORD		.07/10/2020	COWEN AND COMPANY, LLC	1,708.000	30.744		0	
670002-40-1	NOVAVAX ORD		.09/02/2020	Various	553.000	77.663		0	
67066G-10-4	NVIDIA ORD		.09/04/2020	Northern Trust	4.000	2.038		0	
67080M-10-3	NURIX THERAPEUTICS ORD		.07/24/2020	Bear Stearns	2,407.000	45.625		0	
67181A-10-7	OAK STREET HEALTH ORD		.08/06/2020	Bear Stearns	100.000	2.100		0	
676079-10-6	ODONATE THERAPEUTICS ORD		.08/28/2020	Various	1,076.000	27.281		0	
682680-10-3	ONEOK ORD		.07/06/2020	LOOP CAPITAL MARKETS LLC	56.000	1.846		0	
69327R-10-1	PDC ENERGY ORD		.07/21/2020	JEFFERIES & COMPANY, INC.	171.000	2.627		0	
69336V-10-1	PGT INNOVATIONS ORD		.08/17/2020	Various	795.000	13.409		0	
69355F-10-2	PPD ORD		.09/17/2020	Morgan Stanley	600.000	19.350		0	
693656-10-0	PVH ORD		.09/30/2020	Various	384.000	21.111		0	
69366J-20-0	PTC THERAPEUTICS ORD		.08/04/2020	JEFFERIES & COMPANY, INC.	524.000	25.193		0	
701877-10-2	PARSLEY ENERGY CL A ORD		.07/31/2020	JEFFERIES & COMPANY, INC.	241.000	2.647		0	
70975L-10-7	PENUMBRA ORD		.07/06/2020	Various	524.000	98.255		0	
713448-10-8	PEPSICO ORD		.09/04/2020	CITIGROUP GLOBAL MARKETS INC.	13.000	1.816		0	
71377A-10-3	PERFORMANCE FOOD GROUP ORD		.09/04/2020	CITATION GROUP	186.000	6.921		0	
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		.07/23/2020	WILLIAMS CAPITAL GROUP	25.000	1.893		0	
72703H-10-1	PLANET FITNESS CL A ORD		.07/23/2020	Various	449.000	26.548		0	
736508-84-7	PORTLAND GENERAL ELECTRIC ORD		.08/25/2020	GOLDMAN	260.000	9.679		0	
743606-10-5	PROSPERITY BANCSHARES ORD		.07/29/2020	Various	756.000	44.046		0	
74736L-10-9	Q2 HOLDINGS ORD		.08/10/2020	KCG AMERICAS LLC	117.000	11.090		0	
74838J-10-1	QUIDEL ORD		.09/21/2020	Various	421.000	81.158		0	
750917-10-6	RAMBUS ORD		.09/11/2020	First Boston Corp	1,027.000	13.750		0	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
75382E-10-9	RAPT THERAPEUTICS ORD		08/03/2020	LIQUIDNET, INC.	921.000	20.983		0	
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		09/18/2020	MERRILL LYNCH & CO	92.000	5.736		0	
75615P-10-3	REATA PHARMACEUTICALS CL A ORD		09/30/2020	Various	373.000	46.955		0	
75886F-10-7	REGENERON PHARMACEUTICALS ORD		09/25/2020	WILLIAMS CAPITAL GROUP	7.000	3.888		0	
75943R-10-2	RELAY THERAPEUTICS ORD		07/16/2020	Bear Stearns	400.000	8.000		0	
759916-10-9	REPLIGEN ORD		07/01/2020	BERNSTEIN (SANFORD C) & CO.	698.000	86.369		0	
76029L-10-0	REPAY HOLDINGS CL A ORD		09/16/2020	Morgan Stanley	3,365.000	79.789		0	
77313F-10-6	ROCKET PHARMACEUTICALS ORD		07/02/2020	COWEN AND COMPANY, LLC	134.000	3.039		0	
775133-10-1	ROGERS ORD		07/31/2020	SCOTIA MCLEOD INC	195.000	23.094		0	
78667J-10-8	SAGE THERAPEUTICS ORD		08/07/2020	Barclays Bank - CP	103.000	5.271		0	
79466L-30-2	SALESFORCE.COM ORD		09/25/2020	WILLIAMS CAPITAL GROUP	16.000	3.789		0	
803607-10-0	SAREPTA THERAPEUTICS ORD		07/31/2020	Various	71.000	11.116		0	
808541-10-6	SCHWELTZER MAUD ORD		09/09/2020	GOLDMAN	506.000	15.436		0	
808625-10-7	SCIENCE APPLICATIONS INTERNATIONAL ORD		09/24/2020	Various	259.000	20.679		0	
825690-10-0	SHUTTERSTOCK ORD		08/12/2020	Merrill Lynch	343.000	16.636		0	
82669G-10-4	SIGNATURE BANK ORD		07/09/2020	COWEN AND COMPANY, LLC	1,216.000	124.201		0	
826919-10-2	SILICON LABORATORIES ORD		09/21/2020	JEFFERIES & COMPANY, INC.	189.000	17.789		0	
82900L-10-2	SIMPLY GOOD FOODS ORD		07/23/2020	Various	716.000	15.749		0	
830566-10-5	SKECHERS USA CL A ORD		09/29/2020	Various	1,022.000	30.299		0	
830830-10-5	SKYLINE CHAMPION ORD		07/31/2020	Barclays Bank - CP	165.000	4.574		0	
840441-10-9	SOUTH STATE ORD		08/21/2020	KEEFE BRUYETTE + WOODS INC	1,106.000	63.891		0	
844741-10-8	SOUTHWEST AIRLINES ORD		09/25/2020	WILLIAMS CAPITAL GROUP	86.000	3.132		0	
854502-10-1	STANLEY BLACK AND DECKER ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	11.000	1.556		0	
85914M-10-7	STEPSTONE GROUP CL A ORD		09/16/2020	Bear Stearns	1,000.000	18.000		0	
860372-10-1	STEWART INFO SVC ORD		08/13/2020	GOLDMAN	600.000	22.800		0	
86646P-10-3	SUMO LOGIC ORD		09/17/2020	Morgan Stanley	43.000	946		0	
86771W-10-5	SUNRUN ORD		07/27/2020	Barclays Bank - CP	1,049.000	44.472		0	
871570-10-9	SYNAPTICS ORD		07/20/2020	INSTINET	242.000	17.485		0	
872590-10-4	T MOBILE US ORD		08/04/2020	KCG AMERICAS LLC	46.000	4.818		0	
874054-10-9	TAKE TWO INTERACTIVE SOFTWARE ORD		08/18/2020	KCG AMERICAS LLC	8.000	1.406		0	
875372-20-3	TANDEM DIABETES CARE ORD		07/01/2020	Various	357.000	35.278		0	
87808K-10-6	TOR2 THERAPEUTICS ORD		08/13/2020	Various	2,402.000	39.388		0	
880770-10-2	TERADYNE ORD		09/18/2020	MERRILL LYNCH & CO	219.000	16.726		0	
883203-10-1	TEXTRON ORD		08/19/2020	Various	680.000	24.162		0	
883220-10-8	TG THERAPEUTICS ORD		07/31/2020	Various	1,252.000	28.745		0	
88339T-10-3	THERAPEUTICS ACQUISITION CL A ORD		07/08/2020	JEFFERIES & COMPANY, INC.	1,100.000	11.000		0	
889478-10-3	TOLL BROTHERS ORD		09/22/2020	Various	1,071.000	50.132		0	
893641-10-0	TRANSDIGM GROUP ORD		08/18/2020	KCG AMERICAS LLC	3.000	1.464		0	
89457R-10-1	TREAN INSURANCE GROUP ORD		07/16/2020	Bear Stearns	1,300.000	19.500		0	
90184L-10-2	TWITTER ORD		08/04/2020	UBS AG	41.000	1.479		0	
902104-10-8	II VI ORD		07/02/2020	Merrill Lynch	903.000	39.234		0	
90400D-10-8	ULTRAGENYX PHARMACEUTICAL ORD		08/13/2020	Various	311.000	26.253		0	
904311-10-7	UNDER ARMOUR CL A ORD		09/03/2020	Various	2,585.000	25.807		0	
904311-20-6	UNDER ARMOUR CL C ORD		09/08/2020	Various	791.000	7.693		0	
911312-10-6	UNITED PARCEL SERVICE CL B ORD		07/06/2020	LOOP CAPITAL MARKETS LLC	14.000	1.616		0	
91324P-10-2	UNITEDHEALTH GRP ORD		09/04/2020	UBS AG	6.000	1.899		0	
91332U-10-1	UNITY SOFTWARE ORD		09/18/2020	GOLDMAN	200.000	10.400		0	
92337F-10-7	VERACYTE ORD		07/14/2020	JEFFERIES & COMPANY, INC.	1,552.000	45.213		0	
92538J-10-6	VERTEX CL A ORD		07/29/2020	GOLDMAN	100.000	1.900		0	
925550-10-5	VIAVI SOLUTIONS ORD		09/16/2020	Various	4,174.000	49.755		0	
92826C-83-9	VISA CL A ORD		08/18/2020	KCG AMERICAS LLC	7.000	1.400		0	
931142-10-3	WALMART ORD		07/23/2020	WILLIAMS CAPITAL GROUP	14.000	1.858		0	
93627C-10-1	WARRIOR MET COAL ORD		07/01/2020	Keybank	402.000	6.231		0	
949746-10-1	WELLS FARGO ORD		09/18/2020	MERRILL LYNCH & CO	536.000	13.471		0	
95058W-10-0	WENDYS ORD		09/30/2020	Various	988.000	21.654		0	
966387-40-9	WHITING PETROLEUM CORPORATION		09/22/2020	Various	547.000	11.505		0	
974155-10-3	WINGSTOP ORD		09/21/2020	Various	201.000	27.494		0	
97650W-10-8	WINTRUST FINANCIAL ORD		08/11/2020	Various	893.000	43.114		0	
98212B-10-3	WPX ENERGY ORD		09/29/2020	SCOTIA MCLEOD INC	482.000	2.348		0	
98389B-10-0	XCEL ENERGY ORD		09/04/2020	JP MORGAN	25.000	1.778		0	
984241-10-9	Y MABS THERAPEUTICS ORD		08/12/2020	LIQUIDNET, INC.	692.000	27.344		0	

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10	
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol	
98585X-10-4	YETI HOLDINGS ORD		.09/29/2020	Various	387.000	18,372		0		
98986T-10-8	ZYNGA CL A ORD		.08/14/2020	Morgan Stanley	2,737.000	25,425		0		
605155-12-5	ASCENDANT DIGITAL ACQUI UNIT		.07/24/2020	Warburg Dillon Reed	3,394.000	33,940		0		
628302-12-6	DRAGONEER GROWTH OPPORTUNITIES UNIT		.08/14/2020	CITIGROUP GLOBAL MARKETS INC.	3,400.000	34,000		0		
65960L-10-3	MEDTRONIC ORD	C.	.08/04/2020	UBS AG	16.000	1,553		0		
66095L-10-9	APTIV ORD	C.	.07/06/2020	LOOP CAPITAL MARKETS LLC	29.000	2,319		0		
67483N-11-1	REINVENT TECHNOLOGY PARTNERS UNIT		.09/17/2020	Morgan Stanley	3,411.000	34,110		0		
69078F-10-7	TRITON INTERNATIONAL ORD	C.	.07/30/2020	Various	1,763.000	57,522		0		
N71542-10-9	PROQR THERAPEUTICS ORD	C.	.07/27/2020	BAYPOINT TRADING LLC	641.000	3,210		0		
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						4,921,350	XXX	0	XXX
464287-65-5	ISHARES:RUSS 2000 ETF		.08/17/2020	RBC Dain Rauscher (US)	691.000	108,154		0		
9499999	Subtotal - Common Stocks - Mutual Funds						108,154	XXX	0	XXX
9799997	Total - Common Stocks - Part 3						5,029,504	XXX	0	XXX
9799998	Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999	Total - Common Stocks						5,029,504	XXX	0	XXX
9899999	Total - Preferred and Common Stocks						5,029,504	XXX	0	XXX
9999999	Totals						17,973,528	XXX	60,159	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
3620AA-TY-4	GN 724267 - RMBS		09/01/2020	Paydown		17,568	17,568	18,271	18,207	0	(639)	0	(639)	0	17,568	0	0	0	512	09/15/2039	1	
912828-XM-7	UNITED STATES TREASURY		07/31/2020	Maturity @ 100.00		2,000,000	2,000,000	1,981,172	1,991,179	0	8,821	0	8,821	0	2,000,000	0	0	0	32,500	07/31/2020	1	
912828-YS-3	UNITED STATES TREASURY		09/23/2020	Various		1,988,262	1,800,000	1,788,820	1,788,820	0	749	0	749	0	1,789,633	0	198,629	198,629	26,535	11/15/2029	1	
0599999. Subtotal - Bonds - U.S. Governments						4,005,830	3,817,568	3,788,263	3,798,270	0	8,931	0	8,931	0	3,807,201	0	198,629	198,629	59,547	XXX	XXX	
3128PL-A2-8	FH J08125 - RMBS		09/01/2020	Paydown		1,466	1,466	1,478	1,469	0	(4)	0	(4)	0	1,466	0	0	0	39	08/01/2023	1	
312935-M2-2	FH A88477 - RMBS		09/01/2020	Paydown		18,706	18,706	19,513	19,437	0	(731)	0	(731)	0	18,706	0	0	0	584	09/01/2039	1	
31371L-6G-9	FN 255671 - RMBS		09/01/2020	Paydown		3,357	3,357	3,353	3,352	0	6	0	6	0	3,357	0	0	0	112	04/01/2035	1	
3138AB-YR-4	FN AH9719 - RMBS		09/01/2020	Paydown		10,295	10,295	10,647	10,627	0	(332)	0	(332)	0	10,295	0	0	0	274	04/01/2041	1	
3138AK-QW-2	FN A15868 - RMBS		09/01/2020	Paydown		8,456	8,456	8,797	8,772	0	(316)	0	(316)	0	8,456	0	0	0	222	07/01/2041	1	
3138E2-GH-2	FN AJ9199 - RMBS		09/01/2020	Paydown		33,457	33,457	34,363	34,342	0	(884)	0	(884)	0	33,457	0	0	0	742	01/01/2042	1	
3138EG-HX-5	FN AL0245 - RMBS		09/01/2020	Paydown		14,882	14,882	15,026	15,050	0	(168)	0	(168)	0	14,882	0	0	0	358	04/01/2042	1	
3138M5-LN-7	FN AP2132 - RMBS		09/01/2020	Paydown		108,648	108,648	109,032	109,003	0	(355)	0	(355)	0	108,648	0	0	0	2,196	08/01/2042	1	
3138NE-6X-2	FN ASS385 - RMBS		09/01/2020	Paydown		137,042	137,042	139,877	139,904	0	(2,861)	0	(2,861)	0	137,042	0	0	0	3,208	07/01/2045	1	
314020-WA-5	FN 735141 - RMBS		09/01/2020	Paydown		4,399	4,399	4,305	4,318	0	81	0	81	0	4,399	0	0	0	142	01/01/2035	1	
31403C-6L-0	FN 745275 - RMBS		09/01/2020	Paydown		3,550	3,550	3,676	3,661	0	(111)	0	(111)	0	3,550	0	0	0	103	02/01/2036	1	
31403D-WU-9	FN 745959 - RMBS		09/01/2020	Paydown		3,826	3,826	4,054	4,030	0	(204)	0	(204)	0	3,826	0	0	0	120	11/01/2036	1	
31408F-6B-0	FN 850566 - RMBS		09/01/2020	Paydown		831	831	788	788	0	42	0	42	0	831	0	0	0	22	01/01/2036	1	
31409W-LB-5	FN 880622 - RMBS		09/01/2020	Paydown		1,422	1,422	1,377	1,382	0	39	0	39	0	1,422	0	0	0	41	04/01/2036	1	
3140FO-JX-3	FN BC4777 - RMBS		09/01/2020	Paydown		73,141	73,141	74,209	74,209	0	(1,069)	0	(1,069)	0	73,141	0	0	0	1,084	10/01/2031	1	
31411E-2C-0	FN 906271 - RMBS		09/01/2020	Paydown		8,630	8,630	8,522	8,522	0	107	0	107	0	8,630	0	0	0	256	01/01/2037	1	
31411E-YD-3	FN 906208 - RMBS		09/01/2020	Paydown		1,612	1,612	1,591	1,592	0	20	0	20	0	1,612	0	0	0	52	01/01/2037	1	
31412P-6K-2	FN 931574 - RMBS		09/01/2020	Paydown		2,990	2,990	3,056	3,042	0	(51)	0	(51)	0	2,990	0	0	0	85	02/01/2035	1	
31416T-L5-6	FN AA9347 - RMBS		09/01/2020	Paydown		10,947	10,947	11,304	11,265	0	(318)	0	(318)	0	10,947	0	0	0	316	08/01/2039	1	
31418D-HD-7	FN MA3827 - RMBS		09/01/2020	Paydown		171,685	171,685	173,656	173,656	0	(1,972)	0	(1,972)	0	171,685	0	0	0	2,521	11/01/2034	1	
3199999. Subtotal - Bonds - U.S. Special Revenues						619,340	619,340	628,625	380,557	0	(9,082)	0	(9,082)	0	619,340	0	0	0	12,478	XXX	XXX	
00206R-DB-5	AT&T INC		07/23/2020	Call @ 100.00		1,054,744	1,000,000	1,027,073	1,010,588	0	(2,587)	0	(2,587)	0	1,008,002	0	(8,002)	(8,002)	87,255	03/15/2022	2FE	
00751Y-AB-2	ADVANCE AUTO PARTS INC		09/16/2020	Call @ 100.00		1,052,570	1,000,000	1,010,250	1,002,923	0	(980)	0	(980)	0	1,001,943	0	(1,943)	(1,943)	105,195	01/15/2022	2FE	
12597C-AA-5	ONH 2019-C A1 - ABS		07/15/2020	Paydown		12,987	12,987	12,987	12,987	0	0	0	0	0	12,987	0	0	0	153	11/16/2020	1FE	
14043M-AA-9	COPAR 2020-1 A1 - ABS		08/17/2020	Paydown		160,343	160,343	160,343	160,343	0	0	0	0	0	160,343	0	0	0	1,177	02/16/2021	1FE	
20030N-BD-2	COMCAST CORP		09/10/2020	Call @ 100.00		1,050,070	1,000,000	1,023,420	1,011,715	0	(3,122)	0	(3,122)	0	1,008,593	0	(8,593)	(8,593)	86,094	07/15/2022	1FE	
34531E-AE-6	FORDO 2017-A A4 - ABS		09/15/2020	Paydown		375,734	375,734	375,671	375,710	0	24	0	24	0	375,734	0	0	0	4,784	04/15/2022	1FE	
36259K-AA-5	GMALT 2020-1 A1 - ABS		09/21/2020	Paydown		273,233	273,233	273,233	273,233	0	0	0	0	0	273,233	0	0	0	2,250	02/22/2021	1FE	
38013F-AD-3	GMCAR 2018-4 A3 - ABS		09/16/2020	Paydown		94,139	94,139	94,124	94,128	0	11	0	11	0	94,139	0	0	0	2,016	10/16/2023	1FE	
43811B-AC-8	HAROT 2017-2 A3 - ABS		09/15/2020	Paydown		42,393	42,393	42,389	42,396	0	(3)	0	(3)	0	42,393	0	0	0	473	08/16/2021	1FE	
46625H-HZ-6	JPMORGAN CHASE & CO		09/21/2020	Adjustment		1,028,450	1,000,000	1,138,550	1,024,741	0	(13,068)	0	(13,068)	0	1,011,723	0	16,727	16,727	39,955	05/10/2021	1FE	
47788C-AC-6	JDOT 2018 A3 - ABS		09/15/2020	Paydown		64,452	64,452	64,447	64,450	0	1	0	1	0	64,452	0	0	0	1,146	04/18/2022	1FE	
47788E-AC-2	JDOT 2018-B A3 - ABS		09/15/2020	Paydown		97,518	97,518	97,511	97,513	0	5	0	5	0	97,518	0	0	0	2,009	11/15/2022	1FE	
58769V-AA-8	MBART 2020-1 A1 - ABS		09/15/2020	Paydown		487,048	487,048	487,048	487,048	0	0	0	0	0	487,048	0	0	0	142	07/15/2021	1FE	
58772R-AD-6	MBART 2018-1 A3 - ABS		09/15/2020	Paydown		154,878	154,878	154,872	154,873	0	4	0	4	0	154,878	0	0	0	3,123	01/17/2023	1FE	
637071-AJ-0	NATIONAL OILWELL VARCO INC		08/26/2020	Adjustment		409,860	396,000	392,170	394,743	0	276	0	276	0	395,020	0	14,840	14,840	7,579	12/01/2022	2FE	
65479G-AD-1	NAROT 2018-B A3 - ABS		09/15/2020	Paydown		142,079	142,079	142,079	142,076	0	3	0	3	0	142,079	0	0	0	2,890	03/15/2023	1FE	
89237V-AE-9	TAOT 2020-C A1 - ABS		09/15/2020	Paydown		579,471	579,471	579,471	579,471	0	0	0	0	0	579,471	0	0	0	97	08/16/2021	1FE	
89238M-AE-8	TAOT 2017-A A4 - ABS		09/15/2020	Paydown		294,932	294,932	294,870	294,956	0	(24)	0	(24)	0	294,932	0	0	0	4,103	09/15/2022	1FE	
89239R-AA-4	TAOT 2020-B A1 - ABS		09/15/2020	Paydown		203,909	203,909	203,909	203,909	0	0	0	0	0	203,909	0	0	0	699	05/17/2021	1FE	
92868L-AD-3	VALET 2018-1 A3 - ABS		09/20/2020	Paydown		82,332	82,332	82,320	82,325	0	8	0	8	0	82,332	0	0	0	1,671	11/21/2022	1FE	
94106L-BG-3	WASTE MANAGEMENT INC		07/20/2020	Call @ 100.00		404,000	400,000	399,216	399,264	0	41	0	41	0	399,306	0	694	694	12,242	06/15/2029	2FE	
8999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,065,142	7,861,448	8,055,949	6,205,389	0	(19,408)	0	(19,408)	0	7,890,034	0	13,724	13,724	365,052	XXX	XXX	
8399997. Total - Bonds - Part 4						12,690,312	12,298,356	12,472,836	10,384,216	0	(19,559)	0	(19,559)	0	12,316,575	0	212,353	212,353	437,077	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						12,690,312	12,298,356	12,472,836	10,384,216	0	(19,559)	0	(19,559)	0	12,316,575	0						

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
00650F-10-9	ADAPTIVE BIOTECHNOLOGIES ORD		07/01/2020	BAYPOINT TRADING LLC	857,000	40,580		24,097	0	0	0	0	0	0	24,097	0	16,483	16,483	0		
00771V-10-8	AERIE PHARMACEUTICALS ORD		08/03/2020	JEFFERIES & COMPANY, INC.	197,000	2,319		3,651	4,761	(1,111)	0	0	(1,111)	0	3,651	0	(1,332)	(1,332)	0		
007800-10-5	AERJET ROCKETDYNE HOLDINGS ORD		08/11/2020	Various	1,355,000	58,839		58,469	41,916	(2,575)	0	0	(2,575)	0	58,469	0	370	370	0		
00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		09/25/2020	Various	624,000	32,978		22,302	16,089	(886)	0	0	(886)	0	22,302	0	10,676	10,676	125		
00973J-10-1	AKOUCS ORD		08/03/2020	Various	287,000	6,071		4,879	0	0	0	0	0	0	4,879	0	1,192	1,192	0		
02043Q-10-7	ALNYLAM PHARMACEUTICALS ORD		08/10/2020	GOLDMAN	203,000	28,147		22,312	23,380	(1,068)	0	0	(1,068)	0	22,312	0	5,835	5,835	0		
02156B-10-3	ALTERYX CL A ORD		08/07/2020	Morgan Stanley	400,000	49,473		42,540	38,372	1,744	0	0	1,744	0	42,540	0	6,933	6,933	0		
02208R-10-6	ALTRA INDUSTRIAL MOTION ORD		09/22/2020	LIQUIDNET, INC.	246,000	9,550		8,214	5,805	(499)	0	0	(499)	0	8,214	0	1,336	1,336	69		
03076K-10-8	AMERIS BANCORP ORD		07/08/2020	Stephens Inc.	1,582,000	34,013		66,419	0	0	0	0	0	0	66,419	0	(32,406)	(32,406)	478		
03753U-10-6	APPELLIS PHARMACEUTICALS ORD		09/03/2020	Barclays Bank - CP	153,000	4,647		4,563	1,909	(126)	0	0	(126)	0	4,563	0	84	84	0		
037833-10-0	APPLE ORD		09/18/2020	MERRILL LYNCH & CO	339,000	36,217		6,427	24,561	(18,509)	0	0	(18,509)	0	6,427	0	29,790	29,790	203		
03852U-10-6	ARAMARK ORD		09/08/2020	Various	424,000	10,823		18,042	18,042	(359)	0	0	(359)	0	18,042	0	(7,220)	(7,220)	120		
04010E-10-9	ARGAN ORD		07/23/2020	Various	263,000	12,013		9,475	2,363	(210)	0	0	(210)	0	9,475	0	2,537	2,537	279		
04351P-10-1	ASCENDIS PHARMA ADR REP ORD	C	08/24/2020	GOLDMAN	43,000	5,933		5,532	2,805	(435)	0	0	(435)	0	5,532	0	401	401	0		
045396-10-8	ASSEMBLY BIOSCIENCES ORD		08/05/2020	JEFFERIES & COMPANY, INC.	157,000	3,508		2,188	3,212	(1,024)	0	0	(1,024)	0	2,188	0	1,319	1,319	0		
04911A-10-7	ATLANTIC UNION BANKSHARES ORD		09/03/2020	GOLDMAN	183,000	4,353		6,942	6,872	70	0	0	70	0	6,942	0	(2,589)	(2,589)	137		
04965G-10-9	ATRECA CL A ORD		08/12/2020	COWEN AND COMPANY, LLC	657,000	8,908		9,100	3,609	(1,288)	0	0	(1,288)	0	9,100	0	(192)	(192)	0		
05370A-10-8	AVIDITY BIOSCIENCES ORD		08/03/2020	JEFFERIES & COMPANY, INC.	260,000	7,266		4,680	0	0	0	0	0	0	4,680	0	2,586	2,586	0		
054540-20-8	AXCELIS TECHNOLOGIES ORD		09/22/2020	INC.	1,392,000	31,357		34,645	0	0	0	0	0	0	34,645	0	(3,288)	(3,288)	0		
05988J-10-3	BANDWIDTH CL A ORD		08/20/2020	Morgan Stanley	33,000	5,200		2,878	0	0	0	0	0	0	2,878	0	2,322	2,322	0		
060505-10-4	BANK OF AMERICA ORD		09/18/2020	MERRILL LYNCH & CO	229,000	5,772		3,875	7,989	(4,178)	0	0	(4,178)	0	3,875	0	1,898	1,898	84		
09203E-10-5	BLACK DIAMOND THERAPEUTICS ORD		08/13/2020	LIQUIDNET, INC.	422,000	12,132		8,290	0	0	0	0	0	0	8,290	0	3,843	3,843	0		
092113-10-9	BLACK HILLS ORD		08/10/2020	BERNSTEIN (SANFORD C) & CO.	2,020,000	119,157		155,382	158,651	(3,269)	0	0	(3,269)	0	155,382	0	(36,225)	(36,225)	2,161		
09215C-10-5	BLACK KNIGHT ORD		09/28/2020	BERNSTEIN (SANFORD C) & CO.	175,000	15,038		10,763	10,141	(477)	0	0	(477)	0	10,763	0	4,275	4,275	0		
09227Q-10-0	BLACKBAUD ORD		08/20/2020	Morgan Stanley	84,000	5,554		6,924	5,655	229	0	0	229	0	6,924	0	(1,370)	(1,370)	10		
09247X-10-1	BLACKROCK ORD		09/18/2020	MERRILL LYNCH & CO	16,000	8,910		5,727	6,063	(2,311)	0	0	(2,311)	0	5,727	0	3,184	3,184	115		
093671-10-5	H&R BLOCK ORD		09/18/2020	MERRILL LYNCH & CO	252,000	3,502		6,764	5,917	847	0	0	847	0	6,764	0	(3,262)	(3,262)	197		
09609G-10-0	BLUEBIRD BIO ORD		08/07/2020	Bear Stearns	90,000	5,742		6,148	5,418	(824)	0	0	(824)	0	6,148	0	(407)	(407)	0		
09627Y-10-9	BLUEPRINT MEDICINES ORD		08/04/2020	JEFFERIES & COMPANY, INC.	114,000	8,393		8,643	9,133	(490)	0	0	(490)	0	8,643	0	(250)	(250)	0		
10918L-10-3	BRIGHAM MINERALS CL A ORD		07/31/2020	First Boston Corp	243,000	2,667		4,609	5,210	(601)	0	0	(601)	0	4,609	0	(1,942)	(1,942)	182		
122017-10-6	BURLINGTON STORES ORD		09/24/2020	Various	336,000	68,628		48,922	76,618	(27,696)	0	0	(27,696)	0	48,922	0	19,705	19,705	0		
127055-10-1	CABOT ORD		09/03/2020	COWEN AND COMPANY, LLC	122,000	4,553		5,782	5,797	(15)	0	0	(15)	0	5,782	0	(1,229)	(1,229)	85		
141619-10-6	CARDIOVASCULAR SYSTEMS ORD		07/01/2020	LIQUIDNET, INC.	892,000	27,836		38,055	33,576	(3,189)	0	0	(3,189)	0	38,055	0	(10,219)	(10,219)	0		
141788-10-9	CARGURUS CL A ORD		07/10/2020	Dain Rauscher	70,000	1,846		2,121	1,151	165	0	0	165	0	2,121	0	(275)	(275)	0		
149568-10-7	CAVCO INDUSTRIES ORD		08/20/2020	COWEN AND COMPANY, LLC	20,000	4,049		3,427	2,247	1	0	0	1	0	3,427	0	622	622	0		
156504-30-0	CENTURY COMMUNITIES ORD		08/19/2020	CITIGROUP GLOBAL MARKETS INC.	78,000	2,987		2,175	435	2	0	0	2	0	2,175	0	812	812	0		
15677J-10-8	CERIDIAN HCM HOLDING ORD		09/11/2020	Various	344,000	27,907		19,998	23,351	(3,353)	0	0	(3,353)	0	19,998	0	7,909	7,909	0		
163092-10-9	CHEGG ORD		07/10/2020	Morgan Stanley	28,000	2,062		1,037	0	0	0	0	0	0	1,037	0	1,025	1,025	0		
184496-10-7	CLEAN HARBORS ORD		08/04/2020	LIQUIDNET, INC.	169,000	10,242		12,710	9,001	(128)	0	0	(128)	0	12,710	0	(2,468)	(2,468)	0		
198516-10-6	COLUMBIA SPORTSWEAR ORD		09/24/2020	GOLDMAN	85,000	7,159		7,862	5,178	(147)	0	0	(147)	0	7,862	0	(703)	(703)	20		
201723-10-3	COMMERCIAL METALS ORD		08/20/2020	Morgan Stanley	294,000	6,302		4,623	0	0	0	0	0	0	4,623	0	1,679	1,679	54		
20605P-10-1	CONCHO RESOURCES ORD		09/14/2020	CITATION GROUP	75,000	3,521		4,120	0	0	0	0	0	0	4,120	0	(599)	(599)	24		
210373-10-6	CONSTELLATION PHARMACEUTICALS ORD		08/04/2020	JEFFERIES & COMPANY, INC.	277,000	7,634		9,675	9,811	(2,546)	0	0	(2,546)	0	9,675	0	(2,040)	(2,040)	0		
22207Q-20-3	COTY CL A ORD		09/18/2020	MERRILL LYNCH & CO	370,000	1,128		6,333	4,163	2,170	0	0	2,170	0	6,333	0	(5,205)	(5,205)	46		
228368-10-6	CROWN HOLDINGS ORD		09/03/2020	Bear Stearns	84,000	6,504		6,309	6,093	215	0	0	215	0	6,309	0	195	195	0		
243537-10-7	DECKERS OUTDOOR ORD		07/09/2020	CITATION GROUP	16,000	3,010		2,393	2,060	(127)	0	0	(127)	0	2,393	0	616	616	0		
24665A-10-3	DELEK US HOLDINGS ORD		08/27/2020	Various	530,000	8,793		16,568	13,680	1,078	0	0	1,078	0	16,568	0	(7,775)	(7,775)	434		
25278X-10-9	DIAMONDBACK ENERGY ORD		09/03/2020	JEFFERIES & COMPANY, INC.	109,000	3,897		2,730	0	0	0	0	0	0	2,730	0	1,167	1,167	79		

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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
264120-10-6	DUCK CREEK TECH ORD		08/14/2020	MKM PARTNERS	100.000	3,933		2,700	.0	.0	.0	.0	.0	.0	2,700	.0	1,233	1,233	.0		
26484T-10-6	DUN BRADST HLDG ORD		07/01/2020	ALLEN & CO	1,700.000	42,697		37,400	.0	.0	.0	.0	.0	.0	37,400	.0	5,297	5,297	.0		
267475-10-1	DYCOM INDUSTRIES ORD		08/11/2020	RBC Dain Rauscher (US)	764.000	35,935		32,367	26,632	143	.0	.0	143	.0	32,367	.0	3,568	3,568	.0		
26818M-10-8	DYNE THERAPEUTICS ORD		09/18/2020	RBC Dain Rauscher (US)	585.000	13,271		11,115	.0	.0	.0	.0	.0	.0	11,115	.0	2,156	2,156	.0		
292766-10-2	ENERPLUS ORD	C.	09/29/2020	SCOTIA MCLEOD INC	1,290.000	2,273		8,183	9,198	(1,015)	.0	.0	(1,015)	.0	8,183	.0	(5,910)	(5,910)	.64		
29414B-10-4	EPAM SYSTEMS ORD		09/10/2020	Various	360.000	107,655		71,210	76,378	(5,168)	.0	.0	(5,168)	.0	71,210	.0	36,446	36,446	.0		
29415F-10-4	ENVISTA HOLDINGS ORD		07/15/2020	CITATION GROUP	1,095.000	23,608		18,990	.0	.0	.0	.0	.0	.0	18,990	.0	4,618	4,618	.0		
294600-10-1	EQUITRANS MIDSTREAM ORD		09/22/2020	Various	865.000	8,020		6,450	.0	.0	.0	.0	.0	.0	6,450	.0	1,570	1,570	.219		
29786A-10-6	ETSY ORD		07/14/2020	Various	186.000	19,827		8,652	2,380	(113)	.0	.0	(113)	.0	8,652	.0	11,175	11,175	.0		
302081-10-4	EXLSERVICE HOLDINGS ORD		07/16/2020	KCG AMERICAS LLC	34.000	2,143		2,349	2,362	(12)	.0	.0	(12)	.0	2,349	.0	(206)	(206)	.0		
336433-10-7	FIRST SOLAR ORD		07/27/2020	BAIRD, ROBERT W., & COMPANY INC	962.000	59,250		44,668	28,059	(1,058)	.0	.0	(1,058)	.0	44,668	.0	14,582	14,582	.0		
344849-10-4	FOOT LOCKER ORD		09/01/2020	Various	485.000	14,712		18,435	8,931	710	.0	.0	710	.0	18,435	.0	(3,722)	(3,722)	.281		
34633R-10-4	FORMIA THERAPEUTICS HLDG ORD		08/03/2020	JEFFERIES & COMPANY, INC.	405.000	14,599		8,100	.0	.0	.0	.0	.0	.0	8,100	.0	6,499	6,499	.0		
346375-10-8	FORINFACOR ORD		08/20/2020	Various	440.000	13,317		9,772	11,427	(1,655)	.0	.0	(1,655)	.0	9,772	.0	3,546	3,546	.0		
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		09/25/2020	Various	1,053.000	87,117		63,592	41,598	(1,868)	.0	.0	(1,868)	.0	63,592	.0	23,525	23,525	.460		
3621LQ-10-9	G1 THERAPEUTICS ORD		08/04/2020	JEFFERIES & COMPANY, INC.	432.000	6,578		9,736	11,418	(1,682)	.0	.0	(1,682)	.0	9,736	.0	(3,158)	(3,158)	.0		
364760-10-8	GAP ORD		09/21/2020	Various	1,528.000	24,811		19,295	.0	.0	.0	.0	.0	.0	19,295	.0	5,516	5,516	.0		
37148K-10-0	GENERATION BIO ORD		08/05/2020	JEFFERIES & COMPANY, INC.	467.000	9,572		8,873	.0	.0	.0	.0	.0	.0	8,873	.0	699	699	.0		
37890U-10-8	GLOBAL BLOOD THERAPEUTICS ORD		08/04/2020	INC.	98.000	6,766		5,509	7,790	(2,281)	.0	.0	(2,281)	.0	5,509	.0	1,257	1,257	.0		
37957T-20-8	GLOBUS MEDICAL CL A ORD		09/21/2020	Various	717.000	36,768		35,745	8,536	(560)	.0	.0	(560)	.0	35,745	.0	1,023	1,023	.0		
38000Q-10-2	GLYCOMIMETICS ORD		08/03/2020	INC.	1,113.000	4,467		4,618	3,926	(74)	.0	.0	(74)	.0	4,618	.0	(150)	(150)	.0		
38046W-10-5	GOHEALTH CL A ORD		07/15/2020	Stifel Nicolaus & Co.	1,000.000	25,000		21,000	.0	.0	.0	.0	.0	.0	21,000	.0	4,000	4,000	.0		
38246G-10-8	GOODRX HOLDINGS CL A ORD		09/29/2020	Morgan Stanley	100.000	5,669		3,300	.0	.0	.0	.0	.0	.0	3,300	.0	2,369	2,369	.0		
404111-10-6	HBT FINANCIAL ORD		07/28/2020	Morgan Stanley	2,762.000	34,217		47,559	52,450	(4,892)	.0	.0	(4,892)	.0	47,559	.0	(13,342)	(13,342)	.829		
410867-10-5	HANOVER INSURANCE GROUP ORD		08/20/2020	Morgan Stanley	54.000	5,485		6,433	7,380	(947)	.0	.0	(947)	.0	6,433	.0	(948)	(948)	.70		
42225T-10-7	HEALTH CATALYST ORD		08/20/2020	Morgan Stanley	180.000	5,850		4,511	.0	.0	.0	.0	.0	.0	4,511	.0	1,339	1,339	.0		
44157R-10-9	HOUGHTON MIFFLIN HARCOURT ORD		08/20/2020	Morgan Stanley	2,053.000	4,673		12,272	12,831	(559)	.0	.0	(559)	.0	12,272	.0	(7,599)	(7,599)	.0		
443320-10-6	HUB GROUP CL A ORD		09/04/2020	Various	918.000	47,893		41,955	.0	.0	.0	.0	.0	.0	41,955	.0	5,937	5,937	.0		
443573-10-0	HUBSPOT ORD		09/30/2020	BERNSTEIN (SANFORD C) & CO.	4.000	1,169		592	604	(47)	.0	.0	(47)	.0	592	.0	577	577	.0		
445658-10-7	JB HUNT TRANSPORT SERVICES ORD		07/30/2020	Various	907.000	117,369		96,919	.0	.0	.0	.0	.0	.0	96,919	.0	20,450	20,450	.120		
447011-10-7	HUNTSMAN ORD		08/20/2020	Morgan Stanley	245.000	5,144		5,555	2,671	68	.0	.0	68	.0	5,555	.0	(411)	(411)	.80		
447462-10-2	HURON CONSULTING GROUP ORD		07/13/2020	Bear Stearns	303.000	13,058		19,953	20,253	(839)	.0	.0	(839)	.0	19,953	.0	(6,895)	(6,895)	.0		
448579-10-2	HYATT HOTELS CL A ORD		09/03/2020	COWEN AND COMPANY, LLC	91.000	5,325		6,130	4,681	(645)	.0	.0	(645)	.0	6,130	.0	(805)	(805)	.10		
44930G-10-7	ICU MEDICAL ORD		07/01/2020	INC.	65.000	11,846		11,958	10,968	(186)	.0	.0	(186)	.0	11,958	.0	(112)	(112)	.0		
450056-10-6	IRHYTHM TECHNOLOGIES ORD		07/01/2020	LIQUIDNET, INC.	362.000	41,882		25,299	24,649	650	.0	.0	650	.0	25,299	.0	16,583	16,583	.0		
45253H-10-1	IMMUNOGEN ORD		08/17/2020	BAYPOINT TRADING LLC	5,309.000	19,283		20,396	.0	.0	.0	.0	.0	.0	20,396	.0	(1,113)	(1,113)	.0		
452907-10-8	IMMUNODICS ORD		09/14/2020	Various	1,046.000	84,652		43,153	.0	.0	.0	.0	.0	.0	43,153	.0	41,499	41,499	.0		
45790W-10-8	INOZYME PHARMA ORD		08/13/2020	Various	291.000	7,084		4,656	.0	.0	.0	.0	.0	.0	4,656	.0	2,428	2,428	.0		
45826H-10-9	INTEGER HOLDINGS ORD		07/07/2020	JEFFERIES & COMPANY, INC.	479.000	33,372		35,194	.0	.0	.0	.0	.0	.0	35,194	.0	(1,822)	(1,822)	.0		
46185L-10-3	INVITAE ORD		07/01/2020	INC.	1,427.000	41,929		14,232	.0	.0	.0	.0	.0	.0	14,232	.0	27,697	27,697	.0		
47074L-10-5	JAMP HOLDING ORD		07/22/2020	KCG AMERICAS LLC	100.000	4,766		2,600	.0	.0	.0	.0	.0	.0	2,600	.0	2,166	2,166	.0		
47580P-10-3	JELD WEN HOLDING ORD		09/25/2020	Various	599.000	11,875		11,338	7,063	(839)	.0	.0	(839)	.0	11,338	.0	537	537	.0		
483007-70-4	KAISER ALUMINUM ORD		07/02/2020	WALL STREET ACCESS NY	212.000	15,040		22,012	16,032	(523)	.0	.0	(523)	.0	22,012	.0	(6,972)	(6,972)	.272		
488401-10-0	KEMPER ORD		09/03/2020	INC.	81.000	6,221		6,236	6,278	(42)	.0	.0	(42)	.0	6,236	.0	(15)	(15)	.73		
499049-10-4	KNIGHT SWIFT TRANSPRTATN CL A ORD		07/24/2020	Various	1,894.000	85,784		67,938	.0	.0	.0	.0	.0	.0	67,938	.0	17,847	17,847	.218		
50015M-10-9	KODIAK SCIENCES ORD		08/05/2020	JEFFERIES & COMPANY, INC.	458.000	21,272		15,946	29,347	(16,045)	.0	.0	(16,045)	.0	15,946	.0	5,326	5,326	.0		

E05.2

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
500255-10-4	KOHL'S ORD		09/18/2020	MERRILL LYNCH & CO	203.000	4,750		11,007	10,343	665	0	0	665	0	11,007	0	(6,258)	(6,258)	143		
50050N-10-3	KONTOOR BRANDS ORD		09/30/2020	Various	1,865.000	43,951		47,932	29,830	(4,822)	0	0	(4,822)	0	47,932	0	(3,981)	(3,981)	235		
518415-10-4	LATTICE SEMICONDUCTOR ORD		07/09/2020	JEFFERIES & COMPANY, INC.	448.000	13,653		8,352	8,575	(223)	0	0	(223)	0	8,352	0	5,301	5,301	0		
52490G-10-2	LEGEND BIOTECH 2 ADR REP ORD		09/17/2020	Various	549.000	18,418		14,332	0	0	0	0	0	0	14,332	0	4,086	4,086	0		
52567D-10-7	LEMONADE ORD		07/02/2020	Dain Rauscher	100.000	6,298		2,900	0	0	0	0	0	0	2,900	0	3,398	3,398	0		
526057-10-4	LENNAR CL A ORD		09/30/2020	Various	2,173.000	168,039		76,434	0	0	0	0	0	0	76,434	0	91,605	91,605	493		
532457-10-8	ELI LILLY ORD		09/18/2020	MERRILL LYNCH & CO	61.000	9,404		3,843	7,936	(4,178)	0	0	(4,178)	0	3,843	0	5,561	5,561	135		
558868-10-5	MADRIGAL PHARMACEUTI ORD		08/03/2020	First Boston Corp	139.000	14,603		13,994	12,664	1,330	0	0	1,330	0	13,994	0	609	609	0		
574795-10-0	MASIMO ORD		07/01/2020	JEFFERIES & COMPANY, INC.	512.000	117,062		40,575	74,634	(41,244)	0	0	(41,244)	0	40,575	0	76,487	76,487	0		
58463J-30-4	MEDICAL PROPERTIES REIT ORD		09/03/2020	RBC Dain Rauscher (US)	463.000	8,581		10,396	0	0	0	0	0	0	10,396	0	(1,815)	(1,815)	233		
594918-10-4	MICROSOFT ORD		09/18/2020	MERRILL LYNCH & CO	46.000	9,218		2,128	7,161	(5,139)	0	0	(5,139)	0	2,128	0	7,089	7,089	70		
60468T-10-5	MIRATI THERAPEUTICS ORD		09/16/2020	Various	145.000	19,691		13,863	7,943	(2,214)	0	0	(2,214)	0	13,863	0	5,827	5,827	0		
609027-10-7	MONARCH CASINO AND RESORT ORD		08/20/2020	Morgan Stanley	144.000	6,324		6,198	4,959	(405)	0	0	(405)	0	6,198	0	126	126	0		
609839-10-5	MONOLITHIC POWER SYSTEMS ORD		07/17/2020	Morgan Stanley	107.000	26,687		12,433	19,048	(6,615)	0	0	(6,615)	0	12,433	0	14,254	14,254	150		
62857M-10-5	MYOKARDIA ORD		08/10/2020	JEFFERIES & COMPANY, INC.	136.000	14,231		7,901	9,912	(2,011)	0	0	(2,011)	0	7,901	0	6,330	6,330	0		
63009R-10-9	NANOSTRING TECHNOLOGIES ORD		09/18/2020	Various	605.000	26,761		18,020	0	0	0	0	0	0	18,020	0	8,741	8,741	0		
63947U-10-7	NCINO ORD		07/14/2020	Various	200.000	15,587		6,200	0	0	0	0	0	0	6,200	0	9,387	9,387	0		
64125C-10-9	NEUROCRINE BIOSCIENCES ORD		09/17/2020	Warburg Dillon Reed	33.000	3,340		3,740	2,617	142	0	0	142	0	3,740	0	(401)	(401)	0		
65343E-10-8	NEXTCURE ORD		08/03/2020	JEFFERIES & COMPANY, INC.	93.000	858		3,355	5,239	(1,884)	0	0	(1,884)	0	3,355	0	(2,497)	(2,497)	0		
65487U-10-8	NKARTA ORD		08/11/2020	Various	821.000	24,940		14,778	0	0	0	0	0	0	14,778	0	10,162	10,162	0		
670002-40-1	NOVAVAX ORD		08/11/2020	Various	170.000	29,533		25,010	0	0	0	0	0	0	25,010	0	4,523	4,523	0		
670704-10-5	NUVASIVE ORD		07/01/2020	JEFFERIES & COMPANY, INC.	966.000	54,464		65,642	59,242	(4,649)	0	0	(4,649)	0	65,642	0	(11,178)	(11,178)	0		
67080M-10-3	NURIX THERAPEUTICS ORD		08/20/2020	Various	1,564.000	28,932		29,646	0	0	0	0	0	0	29,646	0	(714)	(714)	0		
676079-10-6	ODONATE THERAPEUTICS ORD		08/20/2020	Morgan Stanley	196.000	6,790		6,777	2,932	429	0	0	429	0	6,777	0	13	13	0		
679295-10-5	OKTA CL A ORD		09/22/2020	Warburg Dillon Reed	77.000	15,791		9,913	8,883	1,030	0	0	1,030	0	9,913	0	5,878	5,878	0		
68389X-10-5	ORACLE ORD		09/18/2020	MERRILL LYNCH & CO	189.000	11,292		6,525	10,013	(3,488)	0	0	(3,488)	0	6,525	0	4,767	4,767	136		
69242L-10-6	OYSTER POINT PHARMA ORD		08/06/2020	JEFFERIES & COMPANY, INC.	397.000	10,118		11,114	0	0	0	0	0	0	11,114	0	(996)	(996)	0		
69327R-10-1	PDC ENERGY ORD		09/11/2020	Various	602.000	7,376		10,723	7,075	(694)	0	0	(694)	0	10,723	0	(3,348)	(3,348)	0		
69354N-10-6	PRA GROUP ORD		08/28/2020	CITIGROUP GLOBAL MARKETS INC.	1,343.000	60,708		48,630	48,751	(121)	0	0	(121)	0	48,630	0	12,078	12,078	0		
69355F-10-2	PPD ORD		08/20/2020	Morgan Stanley	213.000	6,834		6,282	0	0	0	0	0	0	6,282	0	552	552	0		
695156-10-9	PACKAGING CORP OF AMERICA ORD		09/09/2020	Various	668.000	67,658		73,916	74,809	(893)	0	0	(893)	0	73,916	0	(6,258)	(6,258)	1,583		
70975L-10-7	PENUMBRA ORD		08/19/2020	GOLDMAN	117.000	27,481		21,127	0	0	0	0	0	0	21,127	0	6,354	6,354	0		
717224-10-9	PHASEBIO PHARMACEUTICALS ORD		08/04/2020	JEFFERIES & COMPANY, INC.	1,099.000	4,526		3,827	6,715	(2,888)	0	0	(2,888)	0	3,827	0	699	699	0		
72346Q-10-4	PINNACLE FINANCIAL PARTNERS ORD		09/21/2020	Stevens Inc.	1,571.000	59,397		94,510	100,544	(6,034)	0	0	(6,034)	0	94,510	0	(35,113)	(35,113)	754		
72352L-10-6	PINTEREST CL A ORD		09/17/2020	Various	1,518.000	50,909		20,331	0	0	0	0	0	0	20,331	0	30,578	30,578	0		
737010-10-8	PORTOLA PHARMACEUTICALS ORD		07/07/2020	Not Available	694.000	12,492		19,470	16,573	2,897	0	0	2,897	0	19,470	0	(6,978)	(6,978)	0		
737446-10-4	POST HOLDINGS ORD		07/23/2020	Various	661.000	58,212		64,646	64,646	(4,131)	0	0	(4,131)	0	64,646	0	(8,272)	(8,272)	0		
74257L-10-8	PRINCIPAL BIOPHARMA ORD		09/11/2020	Various	685.000	65,921		21,635	37,524	(15,889)	0	0	(15,889)	0	21,635	0	44,286	44,286	0		
750469-20-7	RADIUS HEALTH ORD		08/05/2020	JEFFERIES & COMPANY, INC.	516.000	6,197		12,086	10,403	1,684	0	0	1,684	0	12,086	0	(5,890)	(5,890)	0		
753422-10-4	RAPID7 ORD		09/30/2020	BERNSTEIN (SANFORD C) & CO.	98.000	5,997		5,428	3,220	(82)	0	0	(82)	0	5,428	0	568	568	0		
75382E-10-9	RAPT THERAPEUTICS ORD		09/30/2020	KCG AMERICAS LLC	194.000	6,291		4,420	0	0	0	0	0	0	4,420	0	1,871	1,871	0		
75737F-10-8	REFIN ORD		09/18/2020	Various	902.000	40,708		18,871	0	0	0	0	0	0	18,871	0	21,838	21,838	0		
7591EP-10-0	REGIONS FINANCIAL ORD		07/06/2020	COWEN AND COMPANY, LLC	4,985.000	54,063		45,404	0	0	0	0	0	0	45,404	0	8,659	8,659	1,548		
75943R-10-2	RELAY THERAPEUTICS ORD		08/06/2020	Bear Stearns	200.000	7,774		4,000	0	0	0	0	0	0	4,000	0	3,774	3,774	0		
759509-10-2	RELIANCE STEEL ORD		09/16/2020	Various	144.000	15,671		16,815	17,245	(430)	0	0	(430)	0	16,815	0	(1,145)	(1,145)	292		
759916-10-9	REPLIGEN ORD		09/21/2020	KCG AMERICAS LLC	38.000	5,456		4,702	0	0	0	0	0	0	4,702	0	754	754	0		
760273-10-2	REPAIR THERAPEUTICS ORD	C	07/23/2020	COWEN AND COMPANY, LLC	455.000	10,241		9,100	0	0	0	0	0	0	9,100	0	1,141	1,141	0		
76155X-10-0	REVOLUTION MEDICINES ORD		08/03/2020	Warburg Dillon Reed	1.000	24		17	0	0	0	0	0	0	17	0	7	7	0		

E05.3

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
76169C-10-0	REXFORD INDUSTRIAL REALTY REIT ORD		09/03/2020	GOLDMAN	96.000	4,624		4,476	4,384	92	0	0	92	0	4,476	0	148	148	59		
76243J-10-5	RHYTHM PHARMACEUTICALS ORD		09/17/2020	Various	413.000	9,307		8,619	9,482	(863)	0	0	(863)	0	8,619	0	688	688	0		
766559-60-3	RIGEL PHARMACEUTICALS ORD		08/03/2020	JEFFERIES & COMPANY, INC.	1,733.000	4,156		3,978	3,709	270	0	0	270	0	3,978	0	178	178	0		
76680R-20-6	RINGCENTRAL CL A ORD		09/16/2020	Various	444.000	112,592		75,291	74,889	402	0	0	402	0	75,291	0	37,300	37,300	0		
77313F-10-6	ROCKET PHARMACEUTICALS ORD		08/14/2020	JEFFERIES & COMPANY, INC.	122.000	3,359		2,042	2,451	(734)	0	0	(734)	0	2,042	0	1,317	1,317	0		
77543R-10-2	ROKU CL A ORD		09/17/2020	Various	293.000	46,256		30,028	8,582	1,557	0	0	1,557	0	30,028	0	16,228	16,228	0		
78469X-10-7	SPX FLOW ORD		08/05/2020	Various	709.000	28,593		18,548	0	0	0	0	0	0	18,548	0	10,045	10,045	0		
78489X-10-3	SVMK ORD		07/27/2020	Various	204.000	4,749		3,490	3,645	(155)	0	0	(155)	0	3,490	0	1,259	1,259	0		
78709Y-10-5	SAIA ORD		07/30/2020	Various	488.000	61,128		46,388	45,443	945	0	0	945	0	46,388	0	14,741	14,741	0		
80405P-10-7	SATSUMA PHARMACEUTICALS ORD		09/10/2020	INC.	698.000	10,870		12,492	13,737	(1,244)	0	0	(1,244)	0	12,492	0	(1,622)	(1,622)	0		
808541-10-6	SCHWEITZER MAUD ORD		08/20/2020	Morgan Stanley	329.000	10,481		14,142	13,815	328	0	0	328	0	14,142	0	(3,662)	(3,662)	290		
812578-10-2	SEATTLE GENETICS ORD		08/17/2020	Various	344.000	58,727		24,202	39,305	(15,104)	0	0	(15,104)	0	24,202	0	32,525	32,525	0		
82489T-10-4	SHOCKWAVE MEDICAL ORD		07/01/2020	JEFFERIES & COMPANY, INC.	1,177.000	55,041		45,826	18,315	(2,786)	0	0	(2,786)	0	45,826	0	9,215	9,215	0		
82669G-10-4	SIGNATURE BANK ORD		09/30/2020	KEEFE BRUYETTE + WOODS	500.000	41,306		51,069	0	0	0	0	0	0	51,069	0	(9,763)	(9,763)	280		
83304A-10-6	SNAP CL A ORD		09/17/2020	Various	3,944.000	87,962		46,654	0	0	0	0	0	0	46,654	0	41,308	41,308	0		
840441-10-9	SOUTH STATE ORD		06/08/2020	Adjustment	0.538	26		44	0	0	0	0	0	0	44	0	(18)	(18)	0		
86646P-10-3	SUMO LOGIC ORD		09/22/2020	COWEN AND COMPANY, LLC	43.000	1,038		946	0	0	0	0	0	946	0	92	92	0			
866674-10-4	SUN COMMUNITIES REIT ORD		09/09/2020	Various	720.000	103,949		94,921	0	0	0	0	0	94,921	0	9,028	9,028	569			
87164F-10-5	SYNDAX PHARMACEUTICALS ORD		08/03/2020	COWEN AND COMPANY, LLC	399.000	5,778		4,549	0	0	0	0	0	4,549	0	1,230	1,230	0			
87166B-10-2	SYNEOS HEALTH CL A ORD		08/20/2020	Morgan Stanley	105.000	6,212		5,427	6,245	(817)	0	0	(817)	0	5,427	0	785	785	0		
87265H-10-9	TRI POINTE GROUP ORD		07/16/2020	INC.	403.000	6,512		6,153	5,976	(130)	0	0	(130)	0	6,153	0	359	359	0		
875372-20-3	TANDEM DIABETES CARE ORD		09/21/2020	KCG AMERICAS LLC	158.000	16,430		12,062	5,210	708	0	0	708	0	12,062	0	4,368	4,368	0		
87808K-10-6	TCR2 THERAPEUTICS ORD		09/17/2020	Various	722.000	12,463		11,737	0	0	0	0	0	0	11,737	0	726	726	0		
880770-10-2	TERADYNE ORD		07/09/2020	STUART FRANKEL & CO. INC.	200.000	17,694		12,394	13,638	(1,244)	0	0	(1,244)	0	12,394	0	5,299	5,299	40		
88322Q-10-8	TG THERAPEUTICS ORD		09/17/2020	CITATION GROUP	82.000	2,021		1,883	0	0	0	0	0	0	1,883	0	139	139	0		
88339T-10-3	THERAPEUTICS ACQUISITION CL A ORD		08/03/2020	INC.	653.000	9,101		6,530	0	0	0	0	0	0	6,530	0	2,571	2,571	0		
89055F-10-3	TOPBUILD ORD		09/14/2020	Various	521.000	78,420		57,251	53,705	3,547	0	0	3,547	0	57,251	0	21,169	21,169	0		
89457R-10-1	TREAN INSURANCE GROUP ORD		07/16/2020	BURNS FRY HOARE GOVETT INC	1,300.000	20,396		19,500	0	0	0	0	0	0	19,500	0	896	896	0		
89610F-10-1	TRICIDA ORD		08/07/2020	LEERINK SWANN & CO INC.	655.000	8,849		25,810	21,502	1,826	0	0	1,826	0	25,810	0	(16,961)	(16,961)	0		
896288-10-7	TRINET GROUP ORD		09/11/2020	Various	295.000	17,890		15,999	16,517	(709)	0	0	(709)	0	15,999	0	1,891	1,891	0		
898202-10-6	TRUPANION ORD		09/16/2020	Various	415.000	29,679		14,395	15,254	(1,137)	0	0	(1,137)	0	14,395	0	15,284	15,284	0		
90041T-10-8	TURNING POINT THERAPEUTICS ORD		09/17/2020	Various	286.000	18,107		17,012	0	0	0	0	0	0	17,012	0	1,095	1,095	0		
902104-10-8	U VI ORD		08/20/2020	Morgan Stanley	124.000	5,417		4,901	0	0	0	0	0	0	4,901	0	516	516	0		
904311-20-6	UNDER ARMOUR CL C ORD		09/24/2020	Various	1,874.000	18,147		26,360	26,507	(2,265)	0	0	(2,265)	0	26,360	0	(10,212)	(10,212)	0		
91332U-10-1	UNITY SOFTWARE ORD		09/18/2020	ALLEN & CO	200.000	14,075		10,400	0	0	0	0	0	0	10,400	0	3,675	3,675	0		
91879Q-10-9	VAIL RESORTS ORD		09/18/2020	BAYPOINT TRADING LLC	26.000	5,603		5,917	5,248	(68)	0	0	(68)	0	5,917	0	(314)	(314)	77		
92220P-10-5	VARIAN MEDICAL SYSTEMS ORD		07/01/2020	INC.	337.000	41,301		36,246	0	0	0	0	0	0	36,246	0	5,055	5,055	0		
92337F-10-7	VERACYTE ORD		09/21/2020	Various	727.000	23,019		18,775	0	0	0	0	0	0	18,775	0	4,244	4,244	0		
92538J-10-6	VERTEX CL A ORD		07/29/2020	CITATION GROUP	100.000	2,440		1,900	0	0	0	0	0	0	1,900	0	540	540	0		
925652-10-9	VICI PPTYS ORD		09/18/2020	Morgan Stanley	612.000	14,541		13,661	12,092	(1,593)	0	0	(1,593)	0	13,661	0	880	880	463		
92918V-10-9	VROOM ORD		07/21/2020	LIQUIDNET, INC.	300.000	14,400		6,600	0	0	0	0	0	0	6,600	0	7,800	7,800	0		
931142-10-3	WALMART ORD		09/18/2020	MERRILL LYNCH & CO	42.000	5,682		3,239	4,918	(1,756)	0	0	(1,756)	0	3,239	0	2,443	2,443	90		
93627C-10-1	WARRIOR MET COAL ORD		08/20/2020	Morgan Stanley	311.000	4,697		5,678	5,557	(674)	0	0	(674)	0	5,678	0	(981)	(981)	43		
95058W-10-0	WENDYS ORD		08/25/2020	Various	1,260.000	27,873		25,826	27,985	(2,159)	0	0	(2,159)	0	25,826	0	2,047	2,047	214		
957638-10-9	WESTERN ALLIANCE ORD		09/03/2020	Warburg Dillon Reed	191.000	7,015		8,666	8,183	(873)	0	0	(873)	0	8,666	0	(1,650)	(1,650)	131		
96208T-10-4	WEX ORD		07/09/2020	GOLDMAN	103.000	15,968		20,348	21,574	(1,226)	0	0	(1,226)	0	20,348	0	(4,380)	(4,380)	0		
96585X-10-4	YETI HOLDINGS ORD		09/11/2020	Various	1,186.000	54,478		33,329	16,488	(2,639)	0	0	(2,639)	0	33,329	0	21,149	21,149	0		

E05.4

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
989207-10-5	ZEBRA TECHNOLOGIES CL A ORD		07/02/2020	Various	145,000	37,199		21,232	37,039	(15,807)	0	0	(15,807)	0	21,232	0	15,967	15,967	0			
989701-10-7	ZIONS BANCORPORATION ORD		07/08/2020	Various	1,021,000	31,786		50,074	53,010	(2,936)	0	0	(2,936)	0	50,074	0	(18,288)	(18,288)	694			
98980G-10-2	ZSCALER ORD		09/30/2020	Various	102,000	13,876		4,696	4,743	(47)	0	0	(47)	0	4,696	0	9,180	9,180	0			
98986T-10-8	ZYNGA CL A ORD		07/10/2020	CITATION GROUP	560,000	5,780		4,218	1,868	11	0	0	11	0	4,218	0	1,562	1,562	0			
G0684D-10-7	ATHENE HOLDING CL A ORD	C	09/03/2020	RBC Dain Rauscher (US) BERNSTEIN (SANFORD C) & CO.	138,000	4,931		6,052	6,490	(438)	0	0	(438)	0	6,052	0	(1,121)	(1,121)	0			
G14838-10-9	MIMECAST ORD	C	09/30/2020	Morgan Stanley	95,000	4,446		4,268	1,299	9	0	0	9	0	4,268	0	178	178	0			
G1991C-10-5	CARDTRONICS CL A ORD	C	08/20/2020	Morgan Stanley	215,000	4,688		8,954	9,130	(578)	0	0	(578)	0	8,954	0	(4,266)	(4,266)	0			
G21810-10-9	CLARIVATE ORD	C	08/20/2020	Morgan Stanley	263,000	7,875		4,533	4,418	114	0	0	114	0	4,533	0	3,342	3,342	0			
G28302-12-6	DRAGONEER GROWTH OPPORTUNITIES UNT		08/14/2020	COWEN AND COMPANY, LLC	3,400,000	36,469		34,000	0	0	0	0	0	0	34,000	0	2,469	2,469	0			
G3323L-10-0	FABRINET ORD	C	08/20/2020	Morgan Stanley	79,000	5,551		4,748	5,122	(375)	0	0	(375)	0	4,748	0	804	804	0			
G8473T-10-0	STERIS ORD	C	07/01/2020	INC.	591,000	90,769		88,574	78,496	(755)	0	0	(755)	0	88,574	0	2,195	2,195	409			
H17182-10-8	CRISPR THERAPEUTICS ORD	C	08/19/2020	KCG AMERICAS LLC	20,000	1,974		1,234	1,218	16	0	0	16	0	1,234	0	740	740	0			
M96088-10-5	UROGEN PHARMA ORD	C	08/04/2020	INC.	500,000	11,138		11,897	0	0	0	0	0	0	11,897	0	(758)	(758)	0			
N71542-10-9	PROOR THERAPEUTICS ORD	C	08/03/2020	Morgan Stanley	724,000	3,815		5,534	6,103	(1,111)	0	0	(1,111)	0	5,534	0	(1,718)	(1,718)	0			
Y95308-10-5	WAVE LIFE SCIENCES ORD	C	08/03/2020	Morgan Stanley	498,000	4,446		15,231	3,991	11,239	0	0	11,239	0	15,231	0	(10,785)	(10,785)	0			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				4,695,305		XXX	3,930,713	2,421,354	(249,417)	0	0	(249,417)	0	3,930,713	0	764,592	764,592	16,749	XXX	XXX	
464287-65-5	ISHARES:RUSS 2000 ETF		08/19/2020	Various	691,000	108,501		108,154	0	0	0	0	0	0	108,154	0	347	347	0			
9499999	Subtotal - Common Stocks - Mutual Funds					108,501	XXX	108,154	0	0	0	0	0	0	108,154	0	347	347	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4				4,803,806		XXX	4,038,867	2,421,354	(249,417)	0	0	(249,417)	0	4,038,867	0	764,939	764,939	16,749	XXX	XXX	
9799998	Total - Common Stocks - Part 5				XXX		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks				4,803,806		XXX	4,038,867	2,421,354	(249,417)	0	0	(249,417)	0	4,038,867	0	764,939	764,939	16,749	XXX	XXX	
9899999	Total - Preferred and Common Stocks				4,803,806		XXX	4,038,867	2,421,354	(249,417)	0	0	(249,417)	0	4,038,867	0	764,939	764,939	16,749	XXX	XXX	
9999999	Totals				17,494,118		XXX	16,511,703	12,805,570	(249,417)	(19,559)	0	(268,976)	0	16,355,442	0	977,292	977,292	453,826	XXX	XXX	

E05.5

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Mount Carmel Health Plan, Inc.

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	REPURCHASE AGREEMENT		09/30/2020	0.000	10/01/2020	115,102,051	0	8,491
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations					115,102,051	0	8,491
0599999	Total - U.S. Government Bonds					115,102,051	0	8,491
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
	FEDERAL HOME LOAN BANKS		07/20/2020	0.120	10/01/2020	2,000,000	0	487
	FEDERAL HOME LOAN BANKS		08/27/2020	0.085	11/05/2020	999,917	0	83
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					2,999,917	0	569
3199999	Total - U.S. Special Revenues Bonds					2,999,917	0	569
	Amazon.com, Inc.		08/03/2020	0.090	10/05/2020	1,499,985	0	221
	The Bank of New York Mellon Corporation		09/28/2020	0.080	10/29/2020	599,963	0	4
	Chevron Corporation		09/17/2020	0.110	12/14/2020	549,876	0	24
	The Coca-Cola Company		08/24/2020	0.120	11/18/2020	799,872	0	99
	Emerson Electric Co.		09/30/2020	0.090	11/02/2020	2,299,816	0	6
	ELI LILLY AND CO		07/30/2020	0.150	10/26/2020	1,699,823	0	439
	MetLife Short Term Funding LLC		07/22/2020	0.150	10/07/2020	499,988	0	148
	National Securities Clearing Corporation		09/25/2020	0.100	11/04/2020	1,799,830	0	30
	Pfizer Inc.		08/11/2020	0.110	10/14/2020	1,099,956	0	171
	Sheffield Receivables Company LLC		09/10/2020	0.150	10/02/2020	499,998	0	44
	Sheffield Receivables Company LLC		09/01/2020	0.150	11/02/2020	1,449,807	0	181
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					12,798,913	0	1,367
	Liberty Street Funding LLC		07/08/2020	0.220	10/06/2020	1,799,945	0	935
	Victory Receivables Corporation		09/11/2020	0.150	11/23/2020	999,779	0	83
3599999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities					2,799,724	0	1,018
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					15,598,637	0	2,385
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Unaffiliated Bank Loans					0	0	0
7699999	Total - Issuer Obligations					130,900,881	0	10,427
7799999	Total - Residential Mortgage-Backed Securities					0	0	0
7899999	Total - Commercial Mortgage-Backed Securities					0	0	0
7999999	Total - Other Loan-Backed and Structured Securities					2,799,724	0	1,018
8099999	Total - SVO Identified Funds					0	0	0
8199999	Total - Affiliated Bank Loans					0	0	0
8299999	Total - Unaffiliated Bank Loans					0	0	0
8399999	Total Bonds					133,700,605	0	11,445
316175-50-4	FIDELITY IMM TRS I		07/22/2020	0.010		26	0	0
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					26	0	0
665278-40-4	NORTHERN INST:US GVT SHS		09/30/2020	0.000		1,301,959	0	29
8699999	Subtotal - All Other Money Market Mutual Funds					1,301,959	0	29
8899999	Total Cash Equivalents					135,002,590	0	11,474