



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

## Cincinnati Equitable Life Insurance Company

NAIC Group Code 0067 0838 NAIC Company Code 88064 Employer's ID Number 35-1452221  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [ X ] Fraternal Benefit Societies [ ]

Incorporated/Organized 10/19/1977 Commenced Business 07/11/1978

Statutory Home Office 525 Vine Street, Suite 1925, Cincinnati, OH, US 45202  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 525 Vine Street, Suite 1925  
(Street and Number)

Cincinnati, OH, US 45202, 513-621-1826  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. BOX 3428, Cincinnati, OH, US 45202-3428  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 525 Vine Street, Suite 1925  
(Street and Number)

Cincinnati, OH, US 45202, 513-621-1826  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.cineqlife.com

Statutory Statement Contact Gregory Allen Baker, 513-621-1826  
(Name) (Area Code) (Telephone Number)

gbaker@cineqlife.com, 513-621-4531  
(E-mail Address) (FAX Number)

### OFFICERS

President Carl Joseph Bednarski # Secretary Andrew James Kok  
Executive Vice President Donald Eugene Simon # Treasurer David Duane Baker

### OTHER

Thomas Alan Schrote #, Chief Operating Officer Gregory Allen Baker, Chief Financial Officer Tonya Gail Crawford, Vice President of Sales & Marketing

### DIRECTORS OR TRUSTEES

<u>David Howard Bahrman</u>	<u>Carl Joseph Bednarski</u>	<u>Douglas Elgin Darling</u>
<u>Michael Allen DeRuiter</u>	<u>Travis Edward Fahley</u>	<u>Michael Charles Fusilier</u>
<u>Andrew Kent Hagenow</u>	<u>Jeffery Blair Sandborn</u>	<u>Benjamin Jeffery LaCross</u>
<u>Brigitte Louise Leach</u>	<u>Jennifer Lynn Lewis</u>	<u>Patrick William McGuire</u>
<u>Michael Richard Mulders</u>	<u>Stephanie Lee Schafer</u>	<u>Leona Mary Daniels</u>
<u>Larry Martin Shaw #</u>		

State of Michigan SS:  
County of Eaton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Thomas Alan Schrote  
Chief Operating Officer

Andrew James Kok  
Secretary

David Duane Baker  
Treasurer

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? ..... Yes [ X ] No [ ]
- b. If no,
1. State the amendment number.....
  2. Date filed .....
  3. Number of pages attached.....

## STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	160,028,384		160,028,384	153,096,395
2. Stocks:				
2.1 Preferred stocks .....	1,185,271		1,185,271	1,185,391
2.2 Common stocks .....	3,269,936		3,269,936	3,246,975
3. Mortgage loans on real estate:				
3.1 First liens .....	3,510,773		3,510,773	1,000,000
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....678,633 ), cash equivalents (\$ .....3,233,203 ) and short-term investments (\$ ..... ) .....	3,911,836		3,911,836	6,371,269
6. Contract loans (including \$ ..... premium notes) .....	214,313		214,313	204,899
7. Derivatives .....			0	0
8. Other invested assets .....	490,802		490,802	242,271
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	172,611,315	0	172,611,315	165,347,200
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	1,421,801		1,421,801	1,379,627
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	224		224	127
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	1,262,985		1,262,985	1,153,305
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	77,450		77,450	58,485
18.2 Net deferred tax asset .....	2,697,364	1,519,639	1,177,725	1,239,155
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....	80,286		80,286	907
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	35,275	35,275	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	108		108	386
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	2,550,112	0	2,550,112	2,359,857
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	180,736,920	1,554,914	179,182,006	171,539,049
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	180,736,920	1,554,914	179,182,006	171,539,049
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Assignments Receivable .....	2,094,571		2,094,571	2,191,931
2502. Premium Receivable .....	455,541		455,541	166,624
2503. Insurance Premium Receivable .....			0	1,302
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	2,550,112	0	2,550,112	2,359,857

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ ..... less \$ ..... included in Line 6.3 (including \$ ..... Modco Reserve) .....	167,335,716	159,237,295
2. Aggregate reserve for accident and health contracts (including \$ ..... Modco Reserve) .....	2,204	2,204
3. Liability for deposit-type contracts (including \$ ..... Modco Reserve) .....	195,486	191,554
4. Contract claims:		
4.1 Life .....	402,876	444,702
4.2 Accident and health .....	5,063	5,063
5. Policyholders' dividends/refunds to members \$ ..... and coupons \$ ..... due and unpaid .....		0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ ..... Modco) .....	300	510
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ ..... Modco) .....		
6.3 Coupons and similar benefits (including \$ ..... Modco) .....	1,600	2,136
7. Amount provisionally held for deferred dividend policies not included in Line 6 .....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ ..... discount; including \$ ..... accident and health premiums .....	502,027	459,905
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts .....		
9.2 Provision for experience rating refunds, including the liability of \$ ..... accident and health experience rating refunds of which \$ ..... 0 is for medical loss ratio rebate per the Public Health Service Act .....		
9.3 Other amounts payable on reinsurance, including \$ ..... assumed and \$ ..... ceded .....	0	0
9.4 Interest Maintenance Reserve .....	668,193	730,396
10. Commissions to agents due or accrued-life and annuity contracts \$ ..... , accident and health \$ ..... and deposit-type contract funds \$ .....	264,229	156,203
11. Commissions and expense allowances payable on reinsurance assumed .....		
12. General expenses due or accrued .....	250,773	348,083
13. Transfers to Separate Accounts due or accrued (net) (including \$ ..... accrued for expense allowances recognized in reserves, net of reinsured allowances) .....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes .....	47,487	133,246
15.1 Current federal and foreign income taxes, including \$ ..... on realized capital gains (losses) .....		
15.2 Net deferred tax liability .....		
16. Unearned investment income .....	4,452	4,548
17. Amounts withheld or retained by reporting entity as agent or trustee .....		
18. Amounts held for agents' account, including \$ ..... agents' credit balances .....		
19. Remittances and items not allocated .....		
20. Net adjustment in assets and liabilities due to foreign exchange rates .....		
21. Liability for benefits for employees and agents if not included above .....		
22. Borrowed money \$ ..... and interest thereon \$ .....		
23. Dividends to stockholders declared and unpaid .....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve .....	1,682,522	1,562,549
24.02 Reinsurance in unauthorized and certified (\$ ..... ) companies .....		0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$ ..... ) reinsurers .....		
24.04 Payable to parent, subsidiaries and affiliates .....		
24.05 Drafts outstanding .....		
24.06 Liability for amounts held under uninsured plans .....		
24.07 Funds held under coinsurance .....		
24.08 Derivatives .....	0	0
24.09 Payable for securities .....		
24.10 Payable for securities lending .....		
24.11 Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	0	0
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25) .....	171,362,928	163,278,394
27. From Separate Accounts Statement .....		
28. Total liabilities (Lines 26 and 27) .....	171,362,928	163,278,394
29. Common capital stock .....	1,000,000	1,000,000
30. Preferred capital stock .....		
31. Aggregate write-ins for other than special surplus funds .....	0	0
32. Surplus notes .....		
33. Gross paid in and contributed surplus .....	9,780,231	9,780,231
34. Aggregate write-ins for special surplus funds .....	0	0
35. Unassigned funds (surplus) .....	(2,961,153)	(2,519,576)
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 29 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 30 \$ ..... ) .....		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ ..... in Separate Accounts Statement) .....	6,819,078	7,260,655
38. Totals of Lines 29, 30 and 37 .....	7,819,078	8,260,655
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3) .....	179,182,006	171,539,049
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0
3101. ....		
3102. ....		
3103. ....		
3198. Summary of remaining write-ins for Line 31 from overflow page .....	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above) .....	0	0
3401. ....		
3402. ....		
3403. ....		
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above) .....	0	0

**SUMMARY OF OPERATIONS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	27,158,797	28,550,332	37,146,979
2. Considerations for supplementary contracts with life contingencies			0
3. Net investment income	4,580,557	3,951,530	5,410,195
4. Amortization of Interest Maintenance Reserve (IMR)	88,803	94,716	124,525
5. Separate Accounts net gain from operations excluding unrealized gains or losses			0
6. Commissions and expense allowances on reinsurance ceded			0
7. Reserve adjustments on reinsurance ceded			0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			0
8.2 Charges and fees for deposit-type contracts			0
8.3 Aggregate write-ins for miscellaneous income	355,098	370,402	474,363
9. Totals (Lines 1 to 8.3)	32,183,255	32,966,980	43,156,062
10. Death benefits	18,222,135	15,671,131	21,359,382
11. Matured endowments (excluding guaranteed annual pure endowments)			0
12. Annuity benefits	131,507	166,748	170,555
13. Disability benefits and benefits under accident and health contracts	11,261	5,645	8,460
14. Coupons, guaranteed annual pure endowments and similar benefits	1,590	1,778	2,138
15. Surrender benefits and withdrawals for life contracts	257,421	64,028	78,603
16. Group conversions			0
17. Interest and adjustments on contract or deposit-type contract funds	130	187	417
18. Payments on supplementary contracts with life contingencies			0
19. Increase in aggregate reserves for life and accident and health contracts	8,098,421	12,104,496	14,765,010
20. Totals (Lines 10 to 19)	26,722,465	28,014,013	36,384,565
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	3,260,039	3,329,037	4,375,358
22. Commissions and expense allowances on reinsurance assumed			
23. General insurance expenses and fraternal expenses	1,845,053	1,767,678	2,478,142
24. Insurance taxes, licenses and fees, excluding federal income taxes	450,496	528,985	673,639
25. Increase in loading on deferred and uncollected premiums	40,834	153,846	63,633
26. Net transfers to or (from) Separate Accounts net of reinsurance			0
27. Aggregate write-ins for deductions	5,870	6,290	6,837
28. Totals (Lines 20 to 27)	32,324,757	33,799,849	43,982,174
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(141,502)	(832,869)	(826,112)
30. Dividends to policyholders and refunds to members	373	430	510
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(141,875)	(833,299)	(826,622)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	153,995	(115,668)	(100,964)
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(295,870)	(717,631)	(725,658)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ (32) (excluding taxes of \$ 7,071 transferred to the IMR)	(119)	(23,673)	(23,684)
35. Net income (Line 33 plus Line 34)	(295,989)	(741,304)	(749,342)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year	8,260,655	9,336,912	9,336,912
37. Net income (Line 35)	(295,989)	(741,304)	(749,342)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 6,327	23,803	87,332	112,912
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	337,359	188,927	216,332
41. Change in nonadmitted assets	(386,777)	(530,982)	(558,502)
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			0
44. Change in asset valuation reserve	(119,973)	28,780	(97,657)
45. Change in treasury stock			0
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles			
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	0	0	0
54. Net change in capital and surplus for the year (Lines 37 through 53)	(441,577)	(967,247)	(1,076,256)
55. Capital and surplus, as of statement date (Lines 36 + 54)	7,819,078	8,369,665	8,260,655
<b>DETAILS OF WRITE-INS</b>			
08.301. Advanced Funding Fees	355,098	370,402	474,363
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	355,098	370,402	474,363
2701. Change in Dividend & Coupon Reserves	5,870	6,290	6,837
2702.			0
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	5,870	6,290	6,837
5301.		0	0
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	0

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	27,050,308	28,202,768	37,000,677
2. Net investment income .....	4,678,963	4,008,934	5,509,820
3. Miscellaneous income .....	355,098	370,402	474,363
4. Total (Lines 1 to 3) .....	32,084,369	32,582,104	42,984,860
5. Benefit and loss related payments .....	18,666,406	15,982,045	21,552,835
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	5,589,778	5,692,262	7,491,439
8. Dividends paid to policyholders .....	583	667	587
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	180,000	27,062	27,062
10. Total (Lines 5 through 9) .....	24,436,767	21,702,036	29,071,923
11. Net cash from operations (Line 4 minus Line 10) .....	7,647,602	10,880,068	13,912,937
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	5,481,858	9,797,055	11,083,248
12.2 Stocks .....	0	1,136,977	1,577,583
12.3 Mortgage loans .....	42,127	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	5,523,985	10,934,032	12,660,831
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	12,558,966	23,082,960	25,945,267
13.2 Stocks .....	0	0	717,979
13.3 Mortgage loans .....	2,552,900	0	1,000,000
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	250,000	0	0
13.6 Miscellaneous applications .....	0	483,000	483,000
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	15,361,866	23,565,960	28,146,246
14. Net increase (or decrease) in contract loans and premium notes .....	9,414	27,646	31,989
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(9,847,295)	(12,659,573)	(15,517,405)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	3,932	(15,908)	(15,597)
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(263,671)	217,599	(246,650)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(259,739)	201,691	(262,247)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(2,459,432)	(1,577,815)	(1,866,714)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	6,371,269	8,237,983	8,237,983
19.2 End of period (Line 18 plus Line 19.1) .....	3,911,836	6,660,168	6,371,269

Note: Supplemental disclosures of cash flow information for non-cash transactions:

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life .....			0
2. Ordinary life insurance .....	26,985,536	28,086,150	36,970,933
3. Ordinary individual annuities .....	50,307	103,653	156,701
4. Credit life (group and individual) .....			0
5. Group life insurance .....			0
6. Group annuities .....			0
7. A & H - group .....			0
8. A & H - credit (group and individual) .....			0
9. A & H - other .....	15,226	18,065	24,445
10. Aggregate of all other lines of business .....	0	0	0
11. Subtotal (Lines 1 through 10) .....	27,051,069	28,207,868	37,152,079
12. Fraternal (Fraternal Benefit Societies Only) .....			0
13. Subtotal (Lines 11 through 12) .....	27,051,069	28,207,868	37,152,079
14. Deposit-type contracts .....	0	0	0
15. Total (Lines 13 and 14)	27,051,069	28,207,868	37,152,079
DETAILS OF WRITE-INS			
1001. ....			
1002. ....			
1003. ....			
1098. Summary of remaining write-ins for Line 10 from overflow page .....	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

**Notes to the Financial Statements**

**1. Summary of Significant Accounting Policies and Going Concern**

**A. Accounting Practices**

The financial statements of Cincinnati Equitable Life Insurance Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual, version effective January 1, 2019, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The State of Ohio has not adopted any prescribed or permitted practices that differ from NAIC SAP.

	SSAP #	F/S Page	F/S Line #	09/30/2020	12/31/2019
Net Income					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (295,989)	\$ (749,341)
(2) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(3) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (295,989)</u>	<u>\$ (749,341)</u>
Surplus					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 7,819,078	\$ 8,260,655
(6) State prescribed practices that are an increase / (decrease) from NAIC SAP:					
(7) State permitted practices that are an increase / (decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 7,819,078</u>	<u>\$ 8,260,655</u>

**B. Use of Estimates in the Preparation of the Financial Statements - No Significant Changes**

**C. Accounting Policy**

- (1) Short-term investments are stated at amortized cost.
- (2) Bonds not backed by other loans are stated at amortized cost using the interest method.  
The Company does not hold any SVO Identified Bond ETFs on Schedule D-1.
- (3) Common stocks - No Significant Changes
- (4) Preferred stocks - No Significant Changes
- (5) Mortgage loans - No Significant Changes
- (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative; these are valued using the prospective method.
- (7) Investments in subsidiaries, controlled and affiliated entities - No Significant Changes
- (8) Investments in joint ventures, partnerships and limited liability entities - No Significant Changes
- (9) Derivatives - No Significant Changes
- (10) Investment income as a factor in the premium deficiency calculation - No Significant Changes
- (11) Liabilities for losses and loss/claim adjustment expenses - No Significant Changes
- (12) Changes in capitalization policy - No Significant Changes
- (13) Pharmaceutical rebate receivables - None

**D. Going Concern**

The Company has no Going Concern issues.

**2. Accounting Changes and Corrections of Errors - None**

**3. Business Combinations and Goodwill - None**

**4. Discontinued Operations - None**

**5. Investments**

**A. Mortgage Loans, including Mezzanine Real Estate Loans**

- (1) Maximum interest rate was 4.4% and minimum rate was 4.0%
- (2) Maximum percentage of loan to value of security was 70%
- (3) Taxes, assessments and any amounts advanced and not included in mortgage loan total - None

**Notes to the Financial Statements**

**5. Investments (Continued)**

(4) Age analysis of mortgage loans and identification of mortgage loans in which the insurer is a participant or co-lender in a mortgage loan agreement

	Farm	Residential		Commerical		Mezzanine	Total
		Insured	All Other	Insured	All Other		
a. Current Year							
1. Recorded Investment (All)							
(a) Current	\$	\$	\$	\$	\$ 3,510,773	\$	\$ 3,510,773
(b) 30 - 59 days past due							
(c) 60 - 89 days past due							
(d) 90 - 179 days past due							
(e) 180+ days past due							
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
3. Accruing Interest 180+ Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Interest accrued							
4. Interest Reduced							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
(b) Number of loans							
(c) Percent reduced		%	%	%	%	%	%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded investment	\$	\$	\$	\$	\$	\$	\$
b. Prior Year							
1. Recorded Investment							
(a) Current	\$	\$	\$	\$	\$ 1,000,000	\$	\$ 1,000,000
(b) 30 - 59 days past due							
(c) 60 - 89 days past due							
(d) 90 - 179 days past due							
(e) 180+ days past due							
2. Accruing Interest 90-179 Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$ -	\$	\$ -
(b) Interest accrued							
3. Accruing Interest 180+ Days Past Due							
(a) Recorded investment	\$	\$	\$	\$	\$ -	\$	\$ -
(b) Interest accrued							
4. Interest Reduced							
(a) Recorded investment	\$	\$	\$	\$	\$ -	\$	\$ -
(b) Number of loans							
(c) Percent reduced		%	%	%	%	%	%
5. Participant or Co-lender in a Mortgage Loan Agreement							
(a) Recorded investment	\$	\$	\$	\$	\$ 1,000,000	\$	\$ 1,000,000

(5) Investment in impaired loans with or without allowance for credit losses and impaired loans subject to a participant or co-lender mortgage loan agreement for which the reporting entity is restricted from unilaterally foreclosing on the mortgage loan - None

(6) Investment in impaired loans - average recorded investment, interest income recognized, recorded investment on nonaccrual status and amount of interest income recognized using a cash-basis method of accounting - Not Applicable

(7) Allowance for credit losses - None

(8) Mortgage loans derecognized as a result of foreclosure - None

(9) The company recognizes interest income on its impaired loans upon receipt.

B. Debt Restructuring - None

C. Reverse Mortgages - None

D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or NAIC RMBS/ CMBS modeling.

(2) Loan-backed and structured securities with a recognized other-than-temporary impairment (OTTI) - None

(3) Securities held that were other-than-temporarily impaired due to the present value of cash flows expected to be collected was less than the amortized cost of securities - None

**Notes to the Financial Statements**

**5. Investments (Continued)**

(4) All impaired securities for which an OTTI has not been recognized in earnings as a realized loss

a. The aggregate amount of unrealized losses:	
1. Less than 12 months .....	\$..... 344,929
2. 12 months or longer .....	-
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months .....	\$..... 2,455,321
2. 12 months or longer .....	-

(5) We used market values obtained from broker dealers and money managers to determine that these securities are not Other-than-temporary impairments.

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - None
- H. Repurchase Agreements Transactions Accounted for as a Sale - None
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - None
- J. Real Estate - None
- K. Low-Income Housing Tax Credits (LIHTC) - None
- L. Restricted Assets - No Significant Changes
- M. Working Capital Finance Investments - None
- N. Offsetting and Netting of Assets and Liabilities - None
- O. 5GI Securities - No Significant Changes
- P. Short Sales - None
- Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>	<u>Separate Account</u>
(1) Number of CUSIPs .....		
(2) Aggregate Amount of Investment Income .....	\$ .....	\$ .....

- 6. **Joint Ventures, Partnerships and Limited Liability Companies** - None
- 7. **Investment Income** - None
- 8. **Derivative Instruments** - None
- 9. **Income Taxes** - No Significant Changes
- 10. **Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties** - No Significant Changes
- 11. **Debt** - None
- 12. **Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**
  - A. Defined Benefit Plan - None
  - B. Investment Policies and Strategies of Plan Assets - None
  - C. Fair Value of Each Class of Plan Assets - None
  - D. Expected Long-Term Rate of Return for the Plan Assets - None
  - E. Defined Contribution Plans - None
  - F. Multiemployer Plans - None
  - G. Consolidated/Holding Company Plans - No Significant Changes
  - H. Postemployment Benefits and Compensated Absences - No Significant Changes
  - I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - None
- 13. **Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations** - No Significant Changes
- 14. **Liabilities, Contingencies and Assessments** - No Significant Changes
- 15. **Leases** - No Significant Changes
- 16. **Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk** - None
- 17. **Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities** - None
- 18. **Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans** - None
- 19. **Direct Premium Written/Produced by Managing General Agents/Third Party Administrators** - No Significant Changes

**Notes to the Financial Statements**

**20. Fair Value Measurements**

A. Fair Value Measurement

(1) Fair value measurements at reporting date

Description for each class of asset or liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Preferred Stocks	\$ 1,201,576	\$	\$	\$	\$ 1,201,576
Bonds - Government	769,831				769,831
Bonds - Other		173,582,800			173,582,800
Other Invested Assets		517,305			517,305
Common Stock - Affiliated		3,269,936			3,269,936
Total assets at fair value/NAV	\$ 1,971,407	\$ 177,370,041	\$	\$	\$ 179,341,448
b. Liabilities at fair value					
Total liabilities at fair value	\$	\$	\$	\$	\$

(2) Fair value measurements in Level 3 of the fair value hierarchy - None

(3) Policy on transfers into and out of Level 3 - None

(4) Inputs and techniques used for Level 2 and Level 3 fair values - None

(5) Derivatives - None

B. Other Fair Value Disclosures - None

C. Fair Values for All Financial Instruments by Level 1, 2 and 3

Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 174,352,631	\$ 160,028,384	\$ 769,831	\$ 173,582,800	\$	\$	\$
Preferred Stocks	1,201,576	1,185,271	1,201,576				
Common Stock	3,269,936	3,269,936		3,269,936			
Other Invested Assets	517,305	490,802		517,305			

D. Not Practicable to Estimate Fair Value - None

E. Nature and Risk of Investments Reported at NAV - None

**21. Other Items - None**

**22. Events Subsequent - None**

**23. Reinsurance - None**

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

The Company has no retrospectively rated contracts or contracts subject to redetermination.

A. Method Used to Estimate

B. Method Used to Record

C. Amount and Percent of Net Retrospective Premiums

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

The Company does not write health insurance.

(1) Accident and health insurance premium subject to the Affordable Care Act risk-sharing provisions

Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? No

**Notes to the Financial Statements**

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)**

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year

	<u>Amount</u>
a. Permanent ACA Risk Adjustment Program	
Assets	
1. Premium adjustments receivable due to the ACA risk adjustment (including high risk pool payments) .....	\$ .....
Liabilities	
2. Risk adjustment user fees payable for ACA risk adjustment .....	\$ .....
3. Premium adjustments payable due to ACA risk adjustment (including high risk pool premium) .....	\$ .....
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA risk adjustment .....	\$ .....
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) .....	\$ .....
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA reinsurance .....	\$ .....
2. Amounts recoverable for claims unpaid due to ACA reinsurance (contra liability) .....	\$ .....
3. Amounts receivable relating to uninsured plans for contributions for ACA reinsurance .....	\$ .....
Liabilities	
4. Liabilities for contributions payable due to ACA reinsurance – not reported as ceded premium .....	\$ .....
5. Ceded reinsurance premiums payable due to ACA reinsurance .....	\$ .....
6. Liabilities for amounts held under uninsured plans contributions for ACA reinsurance .....	\$ .....
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA reinsurance .....	\$ .....
8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments .....	\$ .....
9. ACA reinsurance contributions not reported as ceded premium .....	\$ .....
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors Liabilities .....	\$ .....
2. Reserve for rate credits or policy experience rating refunds due to ACA risk corridors .....	\$ .....
Operations (Revenue & Expense)	
3. Effect of ACA risk corridors on net premium income (paid/received) .....	\$ .....
4. Effect of ACA risk corridors on change in reserves for rate credits .....	\$ .....

**Notes to the Financial Statements**

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)**

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance

	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	(1)	(2)	(3)	(4)	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
<b>a. Permanent ACA Risk Adjustment Program</b>											
1. Premium adjustments receivable (including high risk pool payments)	\$	\$	\$	\$	\$	\$	\$	\$	A	\$	\$
2. Premium adjustments (payable) (including high risk pool premium)									B		
3. Subtotal ACA Permanent Risk Adjustment Program	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$
<b>b. Transitional ACA Reinsurance Program</b>											
1. Amounts recoverable for claims paid	\$	\$	\$	\$	\$	\$	\$	\$	C	\$	\$
2. Amounts recoverable for claims unpaid (contra liability)									D		
3. Amounts receivable relating to uninsured plans									E		
4. Liabilities for contributions payable due to ACA reinsurance - not reported as ceded premium									F		
5. Ceded reinsurance premiums payable									G		
6. Liability for amounts held under uninsured plans									H		
7. Subtotal ACA Transitional Reinsurance Program	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$
<b>c. Temporary ACA Risk Corridors Program</b>											
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	I	\$	\$
2. Reserve for rate credits or policy experience rating refunds									J		
3. Subtotal ACA Risk Corridors Program	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$
<b>d. Total for ACA risk sharing provisions</b>	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$

Explanations of Adjustments: None

(4) Roll-forward of risk corridors asset and liability balances by program benefit year

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
	(1)	(2)	(3)	(4)	Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
<b>a. 2014</b>											
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	A	\$	\$
2. Reserve for rate credits or policy experience rating refunds									B		
<b>b. 2015</b>											
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	C		
2. Reserve for rate credits or policy experience rating refunds									D		
<b>c. 2016</b>											
1. Accrued retrospective premium	\$	\$	\$	\$	\$	\$	\$	\$	E	\$	\$
2. Reserve for rate credits or policy experience rating refunds									F		
<b>d. Total for Risk Corridors</b>	\$	\$	\$	\$	\$	\$	\$	\$		\$	\$

Explanations of Adjustments: None

**Notes to the Financial Statements**

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination (Continued)**

(5) ACA risk corridors receivable as of reporting date

Risk Corridor Program Year	(1) Estimated Amount to be Filed or Final Amount Filed with CMS	(2) Nonaccrued Amounts for Impairment or Other Reasons	(3) Amounts Received from CMS	(4) Asset Balance Gross of Nonadmissions (1-2-3)	(5) Nonadmitted Amount	(6) Net Admitted Asset (4-5)
a. 2014 .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....	\$ .....
b. 2015 .....	.....	.....	.....	.....	.....	.....
c. 2016 .....	.....	.....	.....	.....	.....	.....
d. Total (a+b+c) .....	<u>\$ .....</u>	<u>\$ .....</u>	<u>\$ .....</u>	<u>\$ .....</u>	<u>\$ .....</u>	<u>\$ .....</u>

25. **Change in Incurred Losses and Loss Adjustment Expenses** - Not Applicable

26. **Intercompany Pooling Arrangements** - None

27. **Structured Settlements** - None

28. **Health Care Receivables** - None

29. **Participating Policies** - No Significant Changes

30. **Premium Deficiency Reserves** - No Significant Changes

31. **Reserves for Life Contracts and Annuity Contracts** - No Significant Changes

32. **Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics** - No Significant Changes

33. **Analysis of Life Actuarial Reserves by Withdrawal Characteristics** - No Significant Changes

34. **Premiums and Annuity Considerations Deferred and Uncollected** - No Significant Changes

35. **Separate Accounts** - None

36. **Loss/Claim Adjustment Expenses** - No Significant Changes

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ X ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/28/2020
- 6.4 By what department or departments?  
Ohio
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ X ] No [ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 108

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [ X ]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ X ] No [ ]
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ ..... 0   | \$ .....  |
| 14.22 Preferred Stock .....   | \$ ..... 0   | \$ .....  |
| 14.23 Common Stock .....  | \$ ..... 3,246,975                                     | \$ ..... 3,269,936                                      |
| 14.24 Short-Term Investments .....  | \$ ..... 0   | \$ .....  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ ..... 0   | \$ .....  |
| 14.26 All Other .....   | \$ ..... 0   | \$ .....  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ ..... 3,246,975                                     | \$ ..... 3,269,936                                      |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....   | \$ .....  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [ X ]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ] N/A [ X ]  
If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ ..... 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. .... \$ ..... 0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ ..... 0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	38 Fountan Square Plaza Cincinnati, Ohio 45263 .....
U.S. Bank .....	225 Walnut Street, Suite 7 Jacksonville, FL 32202 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [  ] No [  ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
David D. Baker .....	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [  ] No [  ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [  ] No [  ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [  ] No [  ]

# GENERAL INTERROGATORIES

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

**Life and Accident Health Companies/Fraternal Benefit Societies:**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1  
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages ..... \$ .....
- 1.12 Residential Mortgages ..... \$ .....
- 1.13 Commercial Mortgages ..... \$ ..... 3,510,773
- 1.14 Total Mortgages in Good Standing ..... \$ ..... 3,510,773
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms ..... \$ .....
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages ..... \$ .....
- 1.32 Residential Mortgages ..... \$ .....
- 1.33 Commercial Mortgages ..... \$ .....
- 1.34 Total Mortgages with Interest Overdue more than Three Months ..... \$ ..... 0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages ..... \$ .....
- 1.42 Residential Mortgages ..... \$ .....
- 1.43 Commercial Mortgages ..... \$ .....
- 1.44 Total Mortgages in Process of Foreclosure ..... \$ ..... 0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) ..... \$ ..... 3,510,773
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages ..... \$ .....
- 1.62 Residential Mortgages ..... \$ .....
- 1.63 Commercial Mortgages ..... \$ .....
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate ..... \$ ..... 0
2. Operating Percentages:
- 2.1 A&H loss percent ..... %
- 2.2 A&H cost containment percent ..... %
- 2.3 A&H expense percent excluding cost containment expenses ..... %
- 3.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ .....
- 3.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ .....
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

**Fraternal Benefit Societies Only:**

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? ..... Yes [ ] No [ ] N/A [ X ]
- 5.2 If no, explain: .....
- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? ..... Yes [ ] No [ X ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....	.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company  
**SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS**

Current Year To Date - Allocated by States and Territories

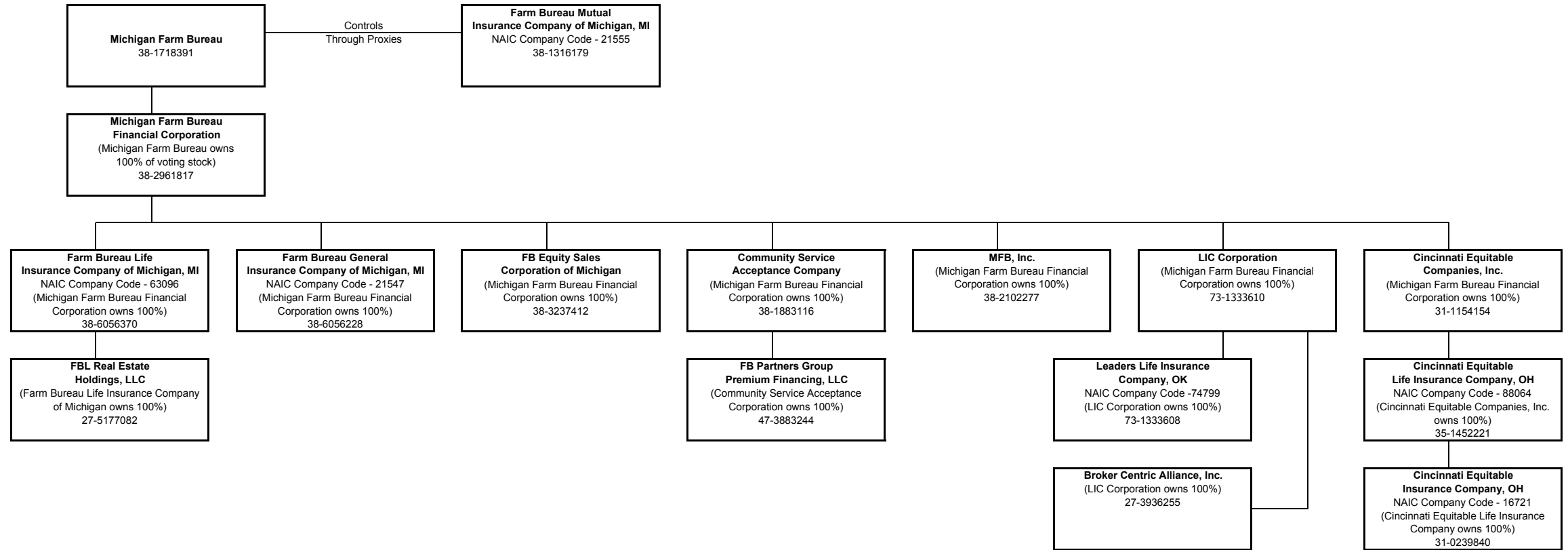
States, Etc.	1	Direct Business Only					6	7
		Life Contracts		4	5	Total Columns 2 Through 5		
		2	3					
Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts		
1. Alabama	AL	N					0	
2. Alaska	AK	N					0	
3. Arizona	AZ	N					0	
4. Arkansas	AR	N					0	
5. California	CA	N					0	
6. Colorado	CO	N					0	
7. Connecticut	CT	N					0	
8. Delaware	DE	N					0	
9. District of Columbia	DC	N					0	
10. Florida	FL	N					0	
11. Georgia	GA	L	6,003,112	15,008	0	0	6,018,120	
12. Hawaii	HI	N					0	
13. Idaho	ID	N					0	
14. Illinois	IL	N					0	
15. Indiana	IN	L	9,129,239	26,334	0	0	9,155,573	
16. Iowa	IA	N					0	
17. Kansas	KS	N					0	
18. Kentucky	KY	L	4,764,970	5,465	0	0	4,770,435	
19. Louisiana	LA	N					0	
20. Maine	ME	N					0	
21. Maryland	MD	N					0	
22. Massachusetts	MA	N					0	
23. Michigan	MI	L	0	0	0	0	0	
24. Minnesota	MN	N					0	
25. Mississippi	MS	L	274,388	0	0	0	274,388	
26. Missouri	MO	N					0	
27. Montana	MT	N					0	
28. Nebraska	NE	N					0	
29. Nevada	NV	N					0	
30. New Hampshire	NH	N					0	
31. New Jersey	NJ	N					0	
32. New Mexico	NM	N					0	
33. New York	NY	N					0	
34. North Carolina	NC	N					0	
35. North Dakota	ND	N					0	
36. Ohio	OH	L	6,625,716	3,500	15,226	0	6,644,442	
37. Oklahoma	OK	N					0	
38. Oregon	OR	N					0	
39. Pennsylvania	PA	N					0	
40. Rhode Island	RI	N					0	
41. South Carolina	SC	N					0	
42. South Dakota	SD	N					0	
43. Tennessee	TN	L	188,111	0	0	0	188,111	
44. Texas	TX	N					0	
45. Utah	UT	N					0	
46. Vermont	VT	N					0	
47. Virginia	VA	N					0	
48. Washington	WA	N					0	
49. West Virginia	WV	N					0	
50. Wisconsin	WI	N					0	
51. Wyoming	WY	N					0	
52. American Samoa	AS	N					0	
53. Guam	GU	N					0	
54. Puerto Rico	PR	N					0	
55. U.S. Virgin Islands	VI	N					0	
56. Northern Mariana Islands	MP	N					0	
57. Canada	CAN	N					0	
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	
59. Subtotal	XXX	26,985,536	50,307	15,226	0	27,051,069	0	
90. Reporting entity contributions for employee benefits plans	XXX					0		
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX					0		
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX					0		
93. Premium or annuity considerations waived under disability or other contract provisions	XXX					0		
94. Aggregate or other amounts not allocable by State	XXX	0	0	0	0	0	0	
95. Totals (Direct Business)	XXX	26,985,536	50,307	15,226	0	27,051,069	0	
96. Plus Reinsurance Assumed	XXX					0		
97. Totals (All Business)	XXX	26,985,536	50,307	15,226	0	27,051,069	0	
98. Less Reinsurance Ceded	XXX	760				760		
99. Totals (All Business) less Reinsurance Ceded	XXX	26,984,776	50,307	15,226	0	27,050,309	0	
DETAILS OF WRITE-INS								
58001	XXX							
58002	XXX							
58003	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	
9401	XXX							
9402	XXX							
9403	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0	
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX	0	0	0	0	0	0	

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 7      R - Registered - Non-domiciled RRGs..... 0  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0      Q - Qualified - Qualified or accredited reinsurer..... 0  
N - None of the above - Not allowed to write business in the state..... 50

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
			38-1718391				Michigan Farm Bureau	MI	UIP	Ultimate Controlling Company	Board of Directors			N	
.0067	Michigan Farm Bureau Group	21555	38-1316179				Farm Bureau Mutual Insurance Company of Michigan	MI	IA	Michigan Farm Bureau	Other		Michigan Farm Bureau	N	
.0067	Michigan Farm Bureau Group	63096	38-6056370				Farm Bureau Life Insurance Company of Michigan	MI	IA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
.0067	Michigan Farm Bureau Group	21547	38-6056228				Farm Bureau General Insurance Company of Michigan	MI	IA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			38-2961817				Michigan Farm Bureau Financial Corporation	MI	UDP	Michigan Farm Bureau	Ownership	100.000	Michigan Farm Bureau	N	
			38-3237412		1001376		FB Equity Sales Corporation of Michigan	MI	NIA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			27-5177082				FBL Real Estate Holdings, LLC	MI	DS	Farm Bureau Life Insurance Company of Michigan	Ownership	100.000	Michigan Farm Bureau	N	
			38-2102277				MFB, Inc.	MI	NIA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			38-1883116				Community Service Acceptance Company	MI	NIA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			47-3883244				FB Partners Group Premium Financing, LLC	MI	NIA	Community Service Acceptance Company	Ownership	100.000	Michigan Farm Bureau	N	
.0067	Michigan Farm Bureau Group		73-1333610				LIC Corporation	OK	NIA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			73-1333608				Leaders Life Insurance Company	OK	IA	LIC Corporation	Ownership	100.000	Michigan Farm Bureau	N	
			27-3936255				Broker Centric Alliance, Inc.	OK	NIA	LIC Corporation	Ownership	100.000	Michigan Farm Bureau	N	
.0067	Michigan Farm Bureau Group	.00020	31-1154154				Cincinnati Equitable Companies, Inc.	OH	NIA	Michigan Farm Bureau Financial Corporation	Ownership	100.000	Michigan Farm Bureau	N	
		.88064	35-1452221				Cincinnati Equitable Life Insurance Company	OH	IA	Cincinnati Equitable Companies, Inc.	Ownership	100.000	Michigan Farm Bureau	N	
		.16721	31-0239840				Cincinnati Equitable Insurance Company	OH	IA	Cincinnati Equitable Life Insurance Company	Ownership	100.000	Michigan Farm Bureau	N	

**NONE**

Asterisk	
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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

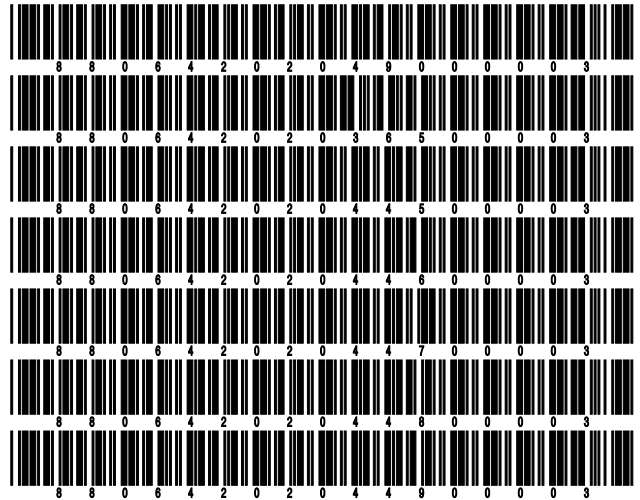
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC? .....	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC? .....	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC? .....	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter. ....	N/A

Explanation:

1. N/A
2. N/A
3. N/A
4. N/A
5. N/A
6. N/A
7. N/A

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



**OVERFLOW PAGE FOR WRITE-INS**

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	1,000,000	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	2,552,900	1,000,000
2.2 Additional investment made after acquisition .....		0
3. Capitalized deferred interest and other .....		0
4. Accrual of discount .....		0
5. Unrealized valuation increase (decrease) .....		0
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	42,127	
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		0
10. Deduct current year's other than temporary impairment recognized .....		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	3,510,773	1,000,000
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	3,510,773	1,000,000
14. Deduct total nonadmitted amounts .....		0
15. Statement value at end of current period (Line 13 minus Line 14) .....	3,510,773	1,000,000

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	242,271	244,155
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	250,000	
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....	1,468	1,885
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	490,802	242,271
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	490,802	242,271

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	157,528,760	143,599,189
2. Cost of bonds and stocks acquired .....	12,558,966	26,663,246
3. Accrual of discount .....	104,900	127,730
4. Unrealized valuation increase (decrease) .....	30,130	142,911
5. Total gain (loss) on disposals .....	33,522	56,677
6. Deduct consideration for bonds and stocks disposed of .....	5,481,858	12,671,546
7. Deduct amortization of premium .....	290,829	400,163
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		10,715
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	164,483,591	157,528,760
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	164,483,591	157,528,760

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	98,365,837	4,773,022	2,370,970	(2,349,669)	99,565,431	98,365,837	98,418,221	102,920,420
2. NAIC 2 (a) .....	56,192,921	0	351,183	2,289,999	53,044,514	56,192,921	58,131,737	47,120,892
3. NAIC 3 (a) .....	3,108,436	0	0	(530)	2,722,740	3,108,436	3,107,905	2,497,590
4. NAIC 4 (a) .....	125,928	0	25,000	(50)	125,977	125,928	100,877	126,026
5. NAIC 5 (a) .....	269,533	0	0	112	279,555	269,533	269,644	279,452
6. NAIC 6 (a) .....	0	0	0	0	158,390	0	0	152,015
7. Total Bonds	158,062,654	4,773,022	2,747,153	(60,139)	155,896,607	158,062,654	160,028,384	153,096,395
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	277,373
9. NAIC 2 .....	821,899	0	0	0	821,899	821,899	821,899	544,526
10. NAIC 3 .....	363,412	0	0	(40)	363,452	363,412	363,372	363,492
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	1,185,311	0	0	(40)	1,185,351	1,185,311	1,185,271	1,185,391
15. Total Bonds and Preferred Stock	159,247,965	4,773,022	2,747,153	(60,179)	157,081,958	159,247,965	161,213,655	154,281,786

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

S102

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals		XXX			

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	
2. Cost of short-term investments acquired .....		3,233,796
3. Accrual of discount .....		71,204
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....		3,305,000
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	0	0
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	5,788,186	6,456,868
2. Cost of cash equivalents acquired .....	15,962,875	28,398,736
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	18,517,858	29,067,418
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	3,233,203	5,788,186
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	3,233,203	5,788,186

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		3 State	4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City								
1017	Cleveland		OH		07/09/2020	4.000	252,000	0	390,600
1018	NOVI		MI		09/04/2020	3.870	260,400	0	372,000
1019	DAYTON		OH		09/11/2020	4.140	255,000	0	365,500
0599999. Mortgages in good standing - Commercial mortgages-all other							767,400	0	1,128,100
0899999. Total Mortgages in good standing							767,400	0	1,128,100
1699999. Total - Restructured Mortgages							0	0	0
2499999. Total - Mortgages with overdue interest over 90 days							0	0	0
3299999. Total - Mortgages in the process of foreclosure							0	0	0
3399999 - Totals							767,400	0	1,128,100

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
1006	TRAVERSE CITY	MI		12/27/2019		250,000	0	0	0	0	0	0	0	1,407	0	0	0
1007	Milwaukee	WI		12/27/2019		250,000	0	0	0	0	0	0	0	3,050	0	0	0
1008	Milwaukee	WI		12/27/2019		250,000	0	0	0	0	0	0	0	3,050	0	0	0
1009	Milwaukee	WI		12/27/2019		250,000	0	0	0	0	0	0	0	3,050	0	0	0
1010	Brownsburg	IN		02/19/2020		0	0	0	0	0	0	0	0	1,443	0	0	0
1011	HARTLAND	WI		04/01/2020		0	0	0	0	0	0	0	0	1,479	0	0	0
1012	MOSCOW	ID		04/17/2020		0	0	0	0	0	0	0	0	2,016	0	0	0
1013	COLUMBUS	OH		05/19/2020		0	0	0	0	0	0	0	0	1,946	0	0	0
1014	PUYALLUP	WA		05/29/2020		0	0	0	0	0	0	0	0	1,516	0	0	0
1015	HENDERSON	NV		06/19/2020		0	0	0	0	0	0	0	0	1,302	0	0	0
1016	WEST COLUMBIA	SC		06/23/2020		0	0	0	0	0	0	0	0	959	0	0	0
1017	Cleveland	OH		07/09/2020		0	0	0	0	0	0	0	0	490	0	0	0
0299999. Mortgages with partial repayments						1,000,000	0	0	0	0	0	0	0	21,708	0	0	0
0599999 - Totals						1,000,000	0	0	0	0	0	0	0	21,708	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE BA - PART 2**

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		City	State									
994135-5H-0	MOUNTAIN WEST FB MUT SURPLUS NOTE		US	MOUNTAIN WEST FB MUT SURPLUS NOTE	Z	07/10/2020		250,000	0	0	0	0.000
2799999. Surplus Debentures, etc - Unaffiliated								250,000	0	0	0	XXX
4899999. Total - Unaffiliated								250,000	0	0	0	XXX
4999999. Total - Affiliated								0	0	0	0	XXX
5099999 - Totals								250,000	0	0	0	XXX

**SCHEDULE BA - PART 3**

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	9 Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consid-eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest-ment Income	
		City	State					9 Unrealized Valuation Increase (De-crease)	10 Current Year's (Depre-ciation) or (Amorti-zation)/ Accretion	11 Current Year's Other Than Temporary Impair-ment Recogn-ized	12 Capital-ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
4899999. Total - Unaffiliated								0	0	0	0	0	0	0	0	0	0	0	0	0
4999999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0	0
5099999 - Totals								0	0	0	0	0	0	0	0	0	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini- strative Symbol
729212-UF-5	PLUM BORO PA SCH DIST		.09/09/2020	Wells Fargo		410,044	400,000	667	1FE
796711-04-5	SAN BERNARDINO CALIF CITY UNI SCH DIST		.09/11/2020	Piper Jaffray & CO/ALGO		400,000	400,000	0	1FE
<b>2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						810,044	800,000	667	XXX
130179-SB-1	CALIFORNIA EDL FACS AUTH REV		.08/24/2020	Wells Fargo		496,968	400,000	7,266	1FE
16772P-DB-9	CHICAGO ILL TRAN AUTH SALES TAX RCPTS RE		.09/14/2020	WELLS FARGO BANK N.A		331,740	300,000	379	1FE
348188-BL-6	FORT ORD CALIF REUSE AUTH REV		.08/13/2020	Piper Jaffray & CO/ALGO		422,000	400,000	1,911	1FE
51265K-DH-5	LAKEWOOD RANCH STEWARDSHIP DIST FLA SPL		.08/25/2020	Raymond James Financial		361,307	360,000	0	1FE
646066-7F-1	NEW JERSEY ST EDL FACS AUTH REV		.07/30/2020	Piper Jaffray & CO/ALGO		424,160	400,000	1,811	1FE
83715A-AY-5	SOUTH CAROLINA STUDENT LN CORP REV		.08/06/2020	RBC CAPITAL MARKETS		400,000	400,000	0	1FE
881182-BR-9	TERREBONNE LEVEE & CONSV DIST LA PUB IMP		.09/14/2020	WELLS FARGO BANK N.A		411,288	400,000	217	1FE
89356C-BX-3	TRANSBAY JT PIIRS AUTH TAX ALLOCATION CAL		.07/21/2020	Piper Jaffray & CO/ALGO		313,947	300,000	945	1FE
946303-E3-4	WAYNE ST UNIV MICH UNIV REVS		.07/28/2020	Piper Jaffray & CO/ALGO		301,599	300,000	23	1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						3,463,009	3,260,000	12,552	XXX
700885-AA-4	PARKE BANCORP, INC		.07/15/2020	UMB Bank		250,000	250,000	0	1FE
90115A-AA-8	TVEST 2020A LLC - ABS		.08/12/2020	Stifel Nicolaus & Co.		249,969	250,000	31	1FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						499,969	500,000	31	XXX
<b>8399997. Total - Bonds - Part 3</b>						4,773,022	4,560,000	13,250	XXX
<b>8399998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	XXX
<b>8399999. Total - Bonds</b>						4,773,022	4,560,000	13,250	XXX
<b>8999997. Total - Preferred Stocks - Part 3</b>						0	XXX	0	XXX
<b>8999998. Total - Preferred Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>8999999. Total - Preferred Stocks</b>						0	XXX	0	XXX
<b>9799997. Total - Common Stocks - Part 3</b>						0	XXX	0	XXX
<b>9799998. Total - Common Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>9799999. Total - Common Stocks</b>						0	XXX	0	XXX
<b>9899999. Total - Preferred and Common Stocks</b>						0	XXX	0	XXX
<b>9999999 - Totals</b>						4,773,022	XXX	13,250	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
36200N-KA-8	GN 605189 - RMBS		09/01/2020	Paydown		135	135	137	136	0	(1)	0	(1)	0	135	0	0	0	5	04/15/2034	1	
36202E-JH-3	G2 003964 - RMBS		09/01/2020	Paydown		217	217	217	217	0	1	0	1	0	217	0	0	0	8	06/20/2036	1	
36202E-MA-4	G2 003953 - RMBS		09/01/2020	Paydown		139	139	138	138	0	1	0	1	0	139	0	0	0	5	02/20/2037	1	
36202E-NP-0	G2 003998 - RMBS		09/01/2020	Paydown		224	224	222	222	0	2	0	2	0	224	0	0	0	9	06/20/2037	1	
36202E-OL-6	G2 004059 - RMBS		09/01/2020	Paydown		136	136	136	136	0	0	0	0	0	136	0	0	0	5	12/20/2037	1	
36202E-RS-0	G2 004097 - RMBS		09/01/2020	Paydown		150	150	149	149	0	1	0	1	0	150	0	0	0	5	03/20/2038	1	
36202E-SB-6	G2 004114 - RMBS		09/01/2020	Paydown		297	297	299	299	0	(2)	0	(2)	0	297	0	0	0	11	04/20/2038	1	
36202F-DW-3	G2 004617 - RMBS		09/01/2020	Paydown		1,230	1,230	1,246	1,245	0	(15)	0	(15)	0	1,230	0	0	0	36	01/20/2040	1	
36207J-LY-7	GN 433343 - RMBS		09/01/2020	Paydown		14	14	14	14	0	0	0	0	0	14	0	0	0	1	12/15/2026	1	
36208W-TL-7	GN 463255 - RMBS		09/01/2020	Paydown		6	6	6	6	0	0	0	0	0	6	0	0	0	0	02/15/2037	1	
36210N-MM-8	GN 497264 - RMBS		09/01/2020	Paydown		1,848	1,848	1,833	1,840	0	8	0	8	0	1,848	0	0	0	65	12/15/2028	1	
38375Q-EY-1	GNR 2008-033 PB - CMO/RMBS		09/01/2020	Paydown		2,844	2,844	3,178	3,219	0	(375)	0	(375)	0	2,844	0	0	0	103	04/20/2038	1	
38378B-WQ-8	GNR 2012-083 AB - CMB		09/01/2020	Paydown		13,665	13,665	13,315	13,462	0	203	0	203	0	13,665	0	0	0	171	05/16/2045	1	
<b>0599999 Subtotal - Bonds - U.S. Governments</b>						20,904	20,904	20,890	21,081	0	(177)	0	(177)	0	20,904	0	0	0	422	XXX	XXX	
831594-AB-2	SLOVENIA, REPUBLIC OF (GOVERNMENT)	C	06/30/2020	Adjustment		768,600	700,000	782,450	742,514	0	(7,272)	0	(7,272)	0	735,242	0	33,358	33,358	26,308	10/26/2022	1FE	
<b>1099999 Subtotal - Bonds - All Other Governments</b>						768,600	700,000	782,450	742,514	0	(7,272)	0	(7,272)	0	735,242	0	33,358	33,358	26,308	XXX	XXX	
604129-3K-0	MINNESOTA ST		08/01/2020	Maturity @ 100.00		50,000	50,000	50,000	50,000	0	0	0	0	0	50,000	0	0	0	1,040	08/01/2020	1FE	
<b>1799999 Subtotal - Bonds - U.S. States, Territories and Possessions</b>						50,000	50,000	50,000	50,000	0	0	0	0	0	50,000	0	0	0	0	1,040	XXX	XXX
012765-DK-6	ALBERT GALLATIN PA AREA SCH DIST		09/01/2020	Call @ 100.00		50,000	50,000	58,275	51,256	0	(1,256)	0	(1,256)	0	50,000	0	0	0	3,040	09/01/2025	1FE	
413000-MS-6	HARLINGEN TEX CONS INDPT SCH DIST		08/15/2020	Call @ 100.00		55,000	55,000	62,512	55,916	0	(916)	0	(916)	0	55,000	0	0	0	2,954	08/15/2035	1FE	
564300-GH-5	MANFIELD OHIO CITY SCH DIST		07/23/2020	Call @ 100.00		170,000	170,000	172,922	170,242	0	(242)	0	(242)	0	170,000	0	0	0	2,876	12/01/2021	1FE	
779698-SN-5	ROWLETT TEX		08/15/2020	Call @ 100.00		50,000	50,000	56,224	50,925	0	(925)	0	(925)	0	50,000	0	0	0	2,505	08/15/2025	1FE	
<b>2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>						325,000	325,000	349,933	328,340	0	(3,340)	0	(3,340)	0	325,000	0	0	0	11,374	XXX	XXX	
100873-AJ-7	BOSTON MASS HSG AUTH LEASE REV		07/01/2020	Call @ 100.00		100,000	100,000	108,262	100,000	0	0	0	0	0	100,000	0	0	0	6,830	01/01/2030	1FE	
25477P-NF-8	DISTRICT COLUMBIA HSG FIN AGY MULTIFAMIL		09/15/2020	Call @ 100.00		1,134	1,134	1,134	1,134	0	0	0	0	0	1,134	0	0	0	29	06/15/2045	1FE	
31200C-AL-0	FAU FIN CORP FLA CAP IMPT REV		07/01/2020	Call @ 100.00		100,000	100,000	122,400	102,262	0	(2,262)	0	(2,262)	0	100,000	0	0	0	7,099	07/01/2025	1FE	
31200C-AM-8	FAU FIN CORP FLA CAP IMPT REV		07/01/2020	Call @ 100.00		85,000	85,000	102,714	86,850	0	(1,850)	0	(1,850)	0	85,000	0	0	0	6,323	07/01/2030	1FE	
3128LX-J7-6	FH 602086 - RMBS		09/01/2020	Paydown		125	125	123	123	0	2	0	2	0	125	0	0	0	4	02/01/2036	1	
3128LX-QC-7	FH 602251 - RMBS		09/01/2020	Paydown		368	368	395	397	0	(30)	0	(30)	0	368	0	0	0	15	08/01/2036	1	
3128PR-LS-6	FH J12137 - RMBS		09/01/2020	Paydown		1,119	1,119	1,161	1,141	0	(23)	0	(23)	0	1,119	0	0	0	35	05/01/2025	1	
312931-4A-3	FH A85317 - RMBS		09/01/2020	Paydown		113	113	114	114	0	(1)	0	(1)	0	113	0	0	0	3	04/01/2039	1	
3136A7-DE-9	FNR 2012-68 NA - CMO/RMBS		09/01/2020	Paydown		1,433	1,433	1,413	1,418	0	15	0	15	0	1,433	0	0	0	19	03/25/2042	1	
3136AD-FZ-7	FNR 2013-31 NT - CMO/RMBS		09/01/2020	Paydown		32,624	32,624	31,971	32,226	0	398	0	398	0	32,624	0	0	0	669	04/25/2043	1	
3136AF-TP-9	FNR 2013-73 PL - CMO/RMBS		09/01/2020	Paydown		1,130	1,130	1,194	1,171	0	(41)	0	(41)	0	1,130	0	0	0	26	06/25/2042	1	
31371N-V4-4	FN 257235 - RMBS		09/01/2020	Paydown		340	340	362	349	0	(9)	0	(9)	0	340	0	0	0	13	06/01/2023	1	
3137AP-H6-0	FHR 4032 AD - CMO/RMBS		09/01/2020	Paydown		767	767	749	751	0	16	0	16	0	767	0	0	0	10	10/15/2041	1	
313780-M6-8	FHR 4181 TB - CMO/RMBS		09/01/2020	Paydown		1,439	1,439	1,432	1,432	0	7	0	7	0	1,439	0	0	0	24	10/15/2042	1	
31393R-FB-1	FHR 2613A LL - CMO/RMBS		09/15/2020	Call @ 100.00		6,000	6,000	6,750	6,441	0	(12)	0	(12)	0	6,428	0	(428)	(428)	184	05/15/2033	1	
31402D-JS-0	FN 725773 - RMBS		09/01/2020	Paydown		657	657	659	659	0	(2)	0	(2)	0	657	0	0	0	24	09/01/2034	1	
31403C-YH-8	FN 745112 - RMBS		09/01/2020	Paydown		672	672	696	691	0	(19)	0	(19)	0	672	0	0	0	29	10/01/2035	1	
31403D-P9-4	FN 745748 - RMBS		09/01/2020	Paydown		496	496	485	486	0	10	0	10	0	496	0	0	0	19	07/01/2036	1	
31404N-FW-1	FN 773381 - RMBS		09/01/2020	Paydown		143	143	134	134	0	9	0	9	0	143	0	0	0	5	05/01/2034	1	
31406V-R2-4	FN 821405 - RMBS		09/01/2020	Paydown		957	957	966	963	0	(7)	0	(7)	0	957	0	0	0	35	05/01/2035	1	
31408A-E4-8	FN 845355 - RMBS		09/01/2020	Paydown		84	84	86	86	0	(2)	0	(2)	0	84	0	0	0	3	01/01/2036	1	
31408D-OG-2	FN 848355 - RMBS		09/01/2020	Paydown		149	149	148	148	0	2	0	2	0	149	0	0	0	5	08/01/2035	1	
31408G-VU-8	FN 851227 - RMBS		09/01/2020	Paydown		26	26	27	27	0	(1)	0	(1)	0	26	0	0	0	1	05/01/2036	1	
31408J-D9-9	FN 852528 - RMBS		09/01/2020	Paydown		12	12	11	11	0	0	0	0	0	12	0	0	0	0	05/01/2036	1	
31409G-JX-5	FN 870678 - RMBS		09/01/2020	Paydown		751	751	799	759	0	(8)	0	(8)	0	751	0	0	0	30	12/01/2021	1	
31410F-4Z-5	FN 888340 - RMBS		09/01/2020	Paydown		458	458	473	471	0	(13)	0	(13)	0	458	0	0	0	16	08/01/2036	1	
31410G-BC-6	FN 888435 - RMBS		09/01/2020	Paydown		162	162	164	162	0	0	0	0	0	162	0	0	0	6	06/01/2022	1	
31410G-W9-0	FN 889072 - RMBS		09/01/2020	Paydown		157	157	162	163	0	(6)	0	(6)	0	157	0	0	0	7	12/01/2037	1	
31410K-XR-0	FN 889988 - RMBS		09/01/2020	Paydown		572	572	593	592	0	(20)	0	(20)	0	572	0	0	0	20	08/01/2038	1	
31410T-WZ-4	FN 897164 - RMBS		09/01/2020	Paydown		49	49	50	50	0	(1)	0	(1)	0	49	0	0	0	2	08/01/2036	1	
31412S-LR-4	FN 933336 - RMBS		09/01/2020	Paydown		30	30	29	29	0	1	0	1	0	30	0	0	0	1	01/01/2038	1	
31414U-U2-0	FN 963301 - RMBS		09/01/2020	Paydown		1,224	1,224	1,204	1,216	0	8	0	8									

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol	
31416H-UM-5	FN AA0587 - RMBS		09/01/2020	Paydown		1,116	1,116	1,139	1,137	.0	(21)	.0	(21)	.0	1,116	.0	.0	.0	.30	02/01/2039	1	
31417V-RS-4	FN AC8596 - RMBS		09/01/2020	Paydown		.851	.851	.858	.853	.0	(2)	.0	(2)	.0	.851	.0	.0	.0	.23	01/01/2025	1	
31418M-UM-2	FN AD0587 - RMBS		09/01/2020	Paydown		7,199	7,199	7,356	7,342	.0	(143)	.0	(143)	.0	7,199	.0	.0	.0	.224	12/01/2039	1	
455167-2N-5	INDIANA UNIV REVS		08/01/2020	Call @ 100.00		75,000	75,000	84,507	76,062	.0	(1,062)	.0	(1,062)	.0	75,000	.0	.0	.0	3,680	08/01/2021	1FE	
592113-AJ-3	METROPOLITAN GOVT NASHVILLE & DAVIDSON C		07/01/2020	Call @ 100.00		90,000	90,000	90,000	90,000	.0	.0	.0	.0	.0	90,000	.0	.0	.0	2,546	06/01/2037	1Z	
686430-EW-5	ORLANDO FLA CMNTY REDEV AGY TAX INCREMENT		09/01/2020	Call @ 100.00		100,000	100,000	120,128	103,228	.0	(3,228)	.0	(3,228)	.0	100,000	.0	.0	.0	7,584	09/01/2030	1FE	
713580-AY-4	PERALTA CALIF CMNTY COLLEGE DIST LTD OBL		08/05/2020	Call @ 100.00		10,000	10,000	10,816	10,439	.0	(41)	.0	(41)	.0	10,398	.0	(398)	(398)	.625	08/05/2025	2FE	
76827Q-CX-9	RIVER ISLANDS PUB FING AUTH CALIF SPL TA		09/01/2020	Maturity @ 100.00		25,000	25,000	25,000	25,000	.0	.0	.0	.0	.0	25,000	.0	.0	.0	1,250	09/01/2020	4Z	
931557-AS-1	WALKER CNTY GA DEV AUTH ECONOMIC DEV REV		08/01/2020	Call @ 100.00		50,000	50,000	53,982	50,558	.0	(558)	.0	(558)	.0	50,000	.0	.0	.0	2,650	08/01/2033	1FE	
3199999	Subtotal - Bonds - U.S. Special Revenues					697,384	697,384	781,574	707,101	0	(8,891)	0	(8,891)	0	698,210	0	(827)	(827)	40,137	XXX	XXX	
009090-AA-9	AIR CANADA 2015-1 PASS THROUGH TRUST - A	C	09/15/2020	Paydown		18,093	18,093	18,108	18,105	.0	(12)	.0	(12)	.0	18,093	.0	.0	.0	.651	09/15/2028	1FE	
023764-AA-1	AMERICAN AIRLINES 2016-2 PASS THROUGH TR		06/15/2020	Paydown		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.1	12/15/2029	2FE
02665X-AA-7	AHR 2014-SFR3 A - ABS		09/01/2020	Paydown		1,919	1,919	1,919	1,917	.0	.1	.0	.1	.0	1,919	.0	.0	.0	.50	12/18/2036	1FE	
03523T-BP-2	ANHEUSER-BUSCH INBEV WORLDWIDE INC		08/12/2020	Call @ 104.26		28,150	27,000	27,189	27,082	.0	(19)	.0	(19)	.0	27,063	.0	1,087	1,087	.726	07/15/2022	2FE	
11042T-AA-1	BRITISH AIRWAYS PASS THROUGH TRUST 2018	C	09/20/2020	Paydown		8,825	8,825	8,935	8,923	.0	(99)	.0	(99)	.0	8,825	.0	.0	.0	252	03/20/2033	1FE	
11043X-AA-1	IAGLN 2019-1 AA - ABS		09/15/2020	Paydown		1,222	1,222	1,222	1,222	.0	.0	.0	.0	.0	1,222	.0	.0	.0	.30	06/15/2034	1FE	
12653T-AA-9	CSMC 18J1 A1 - CMO/RMBS		09/01/2020	Paydown		84,802	84,802	82,974	83,220	.0	1,782	.0	1,782	.0	84,802	.0	.0	.0	1,846	02/25/2048	1FE	
13976A-AG-5	AFIN 2016-3 C - ABS		07/21/2020	Paydown		227,697	227,697	227,672	227,694	.0	.3	.0	.3	.0	227,697	.0	.0	.0	3,121	09/20/2021	1FE	
233046-AK-7	DNKN 2019-1 A22 - RMBS		08/20/2020	Paydown		2,500	2,500	2,517	2,516	.0	(16)	.0	(16)	.0	2,500	.0	.0	.0	.75	05/20/2049	2FE	
28415P-AA-2	EHGVT 2016-A A - ABS		09/25/2020	Paydown		11,758	11,758	11,758	11,758	.0	.0	.0	.0	.0	11,758	.0	.0	.0	214	04/25/2028	1FE	
340711-AT-7	FLORIDA GAS TRANSMISSION COMPANY LLC		07/15/2020	Maturity @ 100.00		300,000	300,000	327,655	302,001	.0	(2,001)	.0	(2,001)	.0	300,000	.0	.0	.0	16,350	07/15/2020	2FE	
34417M-AB-3	FOCUS 2017-1 A22 - RMBS		07/30/2020	Paydown		1,250	1,250	1,256	1,256	.0	(6)	.0	(6)	.0	1,250	.0	.0	.0	.48	04/30/2047	2FE	
46616V-AA-8	HENDR 2012-1 A - ABS		09/15/2020	Paydown		24,457	24,457	25,379	25,371	.0	(914)	.0	(914)	.0	24,457	.0	.0	.0	.661	02/16/2065	1FE	
46616V-AA-2	HENDR 2012-2 A - ABS		09/15/2020	Paydown		4,426	4,426	4,663	4,661	.0	(235)	.0	(235)	.0	4,426	.0	.0	.0	.112	10/15/2059	1FE	
47760Q-AB-9	JIMMY 2017-1 211 - ABS		07/30/2020	Paydown		1,250	1,250	1,252	1,251	.0	(1)	.0	(1)	.0	1,250	.0	.0	.0	.45	07/30/2047	2FE	
61745M-ZY-2	MSM 2004-3 244 - CMO/RMBS		09/25/2020	Call @ 100.00		12,000	12,000	11,550	11,758	.0	4	.0	4	.0	11,762	.0	238	238	.431	04/25/2034	1FE	
693456-AN-5	PMTLT 2013-J1 B1 - CMO/RMBS		09/01/2020	Paydown		42,788	42,788	42,835	42,825	.0	(36)	.0	(36)	.0	42,788	.0	.0	.0	1,019	09/25/2043	1FE	
74160M-GL-0	PRIME 2005-1 1A3 - CMO/RMBS		09/25/2020	Call @ 100.00		4,000	4,000	4,000	4,000	.0	.0	.0	.0	.0	4,000	.0	.0	.0	.152	03/25/2035	.0	
81733Y-EC-9	SEMT 2015-2 B1 - CMO/RMBS		09/01/2020	Paydown		25,994	25,994	26,838	26,493	.0	(500)	.0	(500)	.0	25,994	.0	.0	.0	.646	05/26/2045	1FE	
81745B-AN-5	SEMT 2013-6 B2 - CMO/RMBS		09/01/2020	Paydown		83,793	83,793	83,498	83,506	.0	287	.0	287	.0	83,793	.0	.0	.0	2,003	05/26/2043	.0	
82652W-AA-6	SPFC 2016-2 A - ABS		09/20/2020	Paydown		5,220	5,220	5,218	5,218	.0	.2	.0	.2	.0	5,220	.0	.0	.0	.81	07/20/2033	1FE	
90115A-AA-8	TVEST 2020A LLC - ABS		09/01/2020	Paydown		6,398	6,398	6,397	6,397	.0	.1	.0	.1	.0	6,398	.0	.0	.0	.21	07/15/2032	1FE	
909319-AA-3	UNITED AIRLINES PASS THROUGH TRUST 2013		08/15/2020	Paydown		8,723	8,723	9,001	8,898	.0	(175)	.0	(175)	.0	8,723	.0	.0	.0	.375	02/15/2027	2FE	
90932Q-AA-4	UNITED AIRLINES INC - ABS		09/03/2020	Paydown		13,859	13,859	13,887	13,878	.0	(19)	.0	(19)	.0	13,859	.0	.0	.0	.520	03/03/2028	1FE	
96033C-AA-0	WESTR 2016-1 A - ABS		06/01/2020	Paydown		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(13)	12/20/2028	1FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					919,122	917,972	945,723	913,354	0	(1,954)	0	(1,954)	0	917,797	0	1,325	1,325	29,415	XXX	XXX	
8399997	Total - Bonds - Part 4					2,781,010	2,711,260	2,930,570	2,762,389	0	(21,633)	0	(21,633)	0	2,747,153	0	33,856	33,856	108,697	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					2,781,010	2,711,260	2,930,570	2,762,389	0	(21,633)	0	(21,633)	0	2,747,153	0	33,856	33,856	108,697	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					2,781,010	XXX	2,930,570	2,762,389	0	(21,633)	0	(21,633)	0	2,747,153	0	33,856	33,856	108,697	XXX	XXX	

E05.1

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Cincinnati Equitable Life Insurance Company

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
0599999	Total - U.S. Government Bonds					0	0	0
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
3199999	Total - U.S. Special Revenues Bonds					0	0	0
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Unaffiliated Bank Loans					0	0	0
7699999	Total - Issuer Obligations					0	0	0
7799999	Total - Residential Mortgage-Backed Securities					0	0	0
7899999	Total - Commercial Mortgage-Backed Securities					0	0	0
7999999	Total - Other Loan-Backed and Structured Securities					0	0	0
8099999	Total - SVO Identified Funds					0	0	0
8199999	Total - Affiliated Bank Loans					0	0	0
8299999	Total - Unaffiliated Bank Loans					0	0	0
8399999	Total Bonds					0	0	0
60934N-50-0	FEDERATED HRMS TRS INST		.09/30/2020	0.010		3,233,203	78	112
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					3,233,203	78	112
8899999	Total Cash Equivalents					3,233,203	78	112

E14