



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

The Western and Southern Life Insurance Company

NAIC Group Code08360836NAIC Company Code70483Employer's ID Number31-0487145
(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOH

Country of DomicileUnited States of America

Licensed as business type:Life, Accident and Health [X] Fraternal Benefit Societies []

Incorporated/Organized02/23/1888Commenced Business04/30/1888

Statutory Home Office400 BroadwayCincinnati, OH, US 45202
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office400 BroadwayCincinnati, OH, US 45202513-629-1800
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail Address400 BroadwayCincinnati, OH, US 45202
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records400 BroadwayCincinnati, OH, US 45202513-629-1800
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website AddressWWW.WesternSouthernLife.com

Statutory Statement ContactWade Matthew Fugate513-629-1402
(Name)(Area Code) (Telephone Number)
CompAcctGrp@WesternSouthernLife.com513-629-1871
(E-mail Address)(FAX Number)

OFFICERS

Chairman of Board, President & CEO	John Finn Barrett	
Secretary and Counsel	Donald Joseph Wuebbeling	

OTHER

James Howard Acton Jr., VP	Gregory Scott Allhands, VP	Edward Joseph Babbitt, VP, Sr Counsel
Troy Dale Brodie, Sr VP, Chief Marketing Officer	Christopher Steven Brown, VP	Peter James Brown #, VP
John Henry Bultema III, Sr VP	Karen Ann Chamberlain, Sr VP, Chief Information Officer	Keith Terrill Clark, MD, VP, Medical Director
Michael Russ DeHart, VP	James Joseph DeLuca, VP	Brian Richard Doran, VP
Lisa Beth Fangman, Sr VP	Wade Matthew Fugate, VP, Controller	Daniel Wayne Harris, Sr VP, Chief Actuary
David Todd Henderson, Sr VP, Chief Risk Officer	Christopher Xavier Hill, VP	Valerie Ann Holmes #, VP
Kevin Louis Howard, VP, Deputy Gen Counsel	Bradley Joseph Hunkler, Sr VP, Chief Financial Officer	Stephen Gale Hussey Jr., Sr VP
Jay Vincent Johnson, VP, Assistant Treasurer	Phillip Earl King, Sr VP, Auditor	Linda Marie Lake, Sr VP
Roger Michael Lanham, Sr VP, Co-Chief Inv Officer	Daniel Roger Larsen #, VP	Todd Anthony Lee, VP
Matthew William Loveless, VP	Joseph Hanlon Lynch Jr., VP	Bruce William Maisel, VP, CCO
Jill Tripp McGruder, Sr VP, Chief Marketing Officer	Jeffrey David Meek, VP	Jimmy Joe Miller, Sr VP
Edward Blake Moore Jr. #, Sr VP	David Edward Nevers #, VP	Jonathan David Niemeyer, Sr VP, CAO, & Gen Counsel
Steven Owen Reeves, VP	Michelle Ison Rice, VP	Paul Charles Silva #, VP
Rodrick Landon Snyder, VP	Denise Lynn Sparks, VP	Michael Shane Speas, VP, Chief Info Security Officer
Jeffrey Laurence Stainton, VP, Assoc Gen Counsel	Thomas Roy Stanek #, VP, Assistant Treasurer	Thomas Martin Stapleton, Sr VP
Charles Lawrence Thomas, VP	James Joseph Vance, Sr VP, Treasurer	Brendan Matthew White, Sr VP, Co-Chief Inv Officer
Terrie Ann Wiedenheft, VP	Aaron Jason Wolf, VP, Chief Underwriter	

DIRECTORS OR TRUSTEES

John Finn Barrett	James Norman Clark	Phillip Ralph Cox #
Jo Ann Davidson	Robert Lloyd Lawrence	James Kirby Risk III
Robert Blair Truitt	Thomas Luke Williams	John Peter Zanotti

State ofOhioSS:

County ofHamilton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

John Finn Barrett Chairman of Board, President & CEO	Donald Joseph Wuebbling Secretary and Counsel	Wade Matthew Fugate VP and Controller
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Subscribed and sworn to before me this
28th day of October, 2020

a. Is this an original filing?

b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

Yes [X] No []

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	2,746,090,878	0	2,746,090,878	2,831,739,038
2. Stocks:				
2.1 Preferred stocks	19,047,170	0	19,047,170	25,331,697
2.2 Common stocks	4,711,767,413	302,959,683	4,408,807,730	4,488,208,439
3. Mortgage loans on real estate:				
3.1 First liens	75,711,565	0	75,711,565	68,898,356
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	20,225,109	0	20,225,109	21,717,938
4.2 Properties held for the production of income (less \$ encumbrances)	2,905,487	0	2,905,487	2,887,879
4.3 Properties held for sale (less \$ encumbrances)			0	
5. Cash (\$(29,611,693)), cash equivalents (\$ 85,922,470) and short-term investments (\$ 1,993,333)	58,304,110	0	58,304,110	77,542,308
6. Contract loans (including \$ premium notes)	154,284,438	0	154,284,438	158,710,756
7. Derivatives	24,607,017	0	24,607,017	7,820,359
8. Other invested assets	1,993,238,527	167,176,192	1,826,062,335	1,778,069,774
9. Receivables for securities	5,162,213	0	5,162,213	1,429,342
10. Securities lending reinvested collateral assets	31,776,831	0	31,776,831	27,634,533
11. Aggregate write-ins for invested assets	10,150,000	0	10,150,000	41,680,000
12. Subtotals, cash and invested assets (Lines 1 to 11)	9,853,270,758	470,135,875	9,383,134,883	9,531,670,419
13. Title plants less \$ charged off (for Title insurers only)			0	
14. Investment income due and accrued	42,265,611	0	42,265,611	38,569,361
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,163,159	0	3,163,159	3,314,341
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	46,876,054		46,876,054	46,444,330
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	234,434	0	234,434	226,761
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon	59,338,240	0	59,338,240	
18.2 Net deferred tax asset	98,930,741	4,147,912	94,782,829	94,539,516
19. Guaranty funds receivable or on deposit	1,055,046	0	1,055,046	1,062,522
20. Electronic data processing equipment and software	35,781,064	25,545,901	10,235,163	8,824,730
21. Furniture and equipment, including health care delivery assets (\$)	8,390,837	8,390,837	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates	30,189,657	1,682,167	28,507,490	33,219,606
24. Health care (\$) and other amounts receivable	4,819,783	434,843	4,384,940	3,649,948
25. Aggregate write-ins for other than invested assets	116,876,089	116,876,089	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	10,301,191,473	627,213,624	9,673,977,849	9,761,521,534
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	1,095,965,827	0	1,095,965,827	1,141,598,765
28. Total (Lines 26 and 27)	11,397,157,300	627,213,624	10,769,943,676	10,903,120,299
DETAILS OF WRITE-INS				
1101. Receivable for collateral on derivatives	10,150,000		10,150,000	41,680,000
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	10,150,000	0	10,150,000	41,680,000
2501. Trademark License Agreement	96,050,006	96,050,006	0	0
2502. Prepaid Expenses	20,826,083	20,826,083	0	0
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	116,876,089	116,876,089	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$ 2,729,614,427 less \$ included in Line 6.3 (including \$ Modco Reserve)	2,729,614,427	2,717,714,343
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	270,580,628	267,615,398
3. Liability for deposit-type contracts (including \$ Modco Reserve)	199,828,556	204,658,831
4. Contract claims:		
4.1 Life	45,778,427	41,173,368
4.2 Accident and health	3,268,633	3,488,522
5. Policyholders' dividends/refunds to members \$ 200,000 and coupons \$ due and unpaid	200,000	205,000
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$ Modco)	17,701,665	1,933,675
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$ Modco)	29,159,865	38,366,412
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$ 112,618 accident and health premiums	4,467,467	4,026,160
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts		
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$ 0 is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$ 469,587 ceded	469,587	458,443
9.4 Interest Maintenance Reserve	57,420,659	59,150,563
10. Commissions to agents due or accrued-life and annuity contracts \$ 1,272,876 , accident and health \$ and deposit-type contract funds \$	1,272,876	1,777,205
11. Commissions and expense allowances payable on reinsurance assumed		
12. General expenses due or accrued	184,858,801	205,037,982
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)	(13,250)	(15,108)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	2,235,849	1,784,152
15.1 Current federal and foreign income taxes, including \$ 0 on realized capital gains (losses)		9,601,334
15.2 Net deferred tax liability		
16. Unearned investment income	2,408,744	2,408,744
17. Amounts withheld or retained by reporting entity as agent or trustee	1,023,040	873,414
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	10,107,680	4,546,747
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above	280,358,706	274,394,926
22. Borrowed money \$ 0 and interest thereon \$		12,875,000
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	247,386,172	324,837,674
24.02 Reinsurance in unauthorized and certified (\$) companies		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates		45
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	34,708,737	48,246,357
24.09 Payable for securities	529,415	484,270
24.10 Payable for securities lending	55,534,227	79,588,787
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	42,107,434	28,305,506
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	4,221,008,345	4,333,537,750
27. From Separate Accounts Statement	1,095,965,827	1,141,598,765
28. Total liabilities (Lines 26 and 27)	5,316,974,172	5,475,136,515
29. Common capital stock	2,500,000	2,500,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes	497,561,444	497,518,662
33. Gross paid in and contributed surplus	372,102,515	372,102,515
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	4,580,805,545	4,555,862,607
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	5,450,469,504	5,425,483,784
38. Totals of Lines 29, 30 and 37	5,452,969,504	5,427,983,784
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	10,769,943,676	10,903,120,299
DETAILS OF WRITE-INS		
2501. SCA, LP & LLC Contingent Liability	20,662,622	
2502. Pension Liability	12,295,837	23,536,170
2503. Uncashed drafts and checks pending escheatment to a state	5,038,785	4,699,146
2598. Summary of remaining write-ins for Line 25 from overflow page	4,110,190	70,190
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	42,107,434	28,305,506
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	167,365,428	169,487,641	231,772,668
2. Considerations for supplementary contracts with life contingencies	38,831	8,853	8,853
3. Net investment income	187,307,546	252,261,810	479,104,319
4. Amortization of Interest Maintenance Reserve (IMR)	4,130,873	4,458,028	5,905,915
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			1,028,850
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			0
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	0	1,588,950	2,042,320
9. Totals (Lines 1 to 8.3)	358,842,678	427,805,282	719,862,925
10. Death benefits	111,398,174	105,008,807	143,562,911
11. Matured endowments (excluding guaranteed annual pure endowments)	2,695,528	4,214,123	4,618,874
12. Annuity benefits	76,950,157	37,389,444	50,065,749
13. Disability benefits and benefits under accident and health contracts	11,521,719	11,709,024	15,580,486
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	38,328,448	39,497,429	53,256,178
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	4,594,860	4,418,163	6,068,505
18. Payments on supplementary contracts with life contingencies	236,227	266,267	350,077
19. Increase in aggregate reserves for life and accident and health contracts	14,911,641	9,400,416	22,059,027
20. Totals (Lines 10 to 19)	260,636,754	211,903,673	295,561,807
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	13,411,210	15,170,156	19,810,635
22. Commissions and expense allowances on reinsurance assumed		0	0
23. General insurance expenses and fraternal expenses	90,172,329	104,260,491	139,181,709
24. Insurance taxes, licenses and fees, excluding federal income taxes	12,031,101	13,834,519	17,948,107
25. Increase in loading on deferred and uncollected premiums	(110,539)	(205,633)	(1,174,483)
26. Net transfers to or (from) Separate Accounts net of reinsurance	(76,895,103)	(37,295,700)	(50,203,842)
27. Aggregate write-ins for deductions	9,360,909	36,090,418	61,635,924
28. Totals (Lines 20 to 27)	308,606,661	343,757,924	482,759,857
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	50,236,017	84,047,358	237,103,068
30. Dividends to policyholders and refunds to members	39,678,552	41,641,019	54,963,955
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	10,557,465	42,406,339	182,139,113
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	(33,531,000)	3,205,994	25,523,040
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	44,088,465	39,200,345	156,616,073
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$(9,407,589) (excluding taxes of \$ 638,232	(13,208,899)	(2,947,811)	2,228,643
35. Net income (Line 33 plus Line 34)	30,879,566	36,252,534	158,844,716
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	5,427,983,784	4,937,056,176	4,937,056,176
37. Net income (Line 35)	30,879,566	36,252,534	158,844,716
38. Change in net unrealized capital gains (losses) less capital gains tax of \$(24,515,294)	(138,548,158)	182,181,213	125,187,192
39. Change in net unrealized foreign exchange capital gain (loss)			1,561,856
40. Change in net deferred income tax	(38,721,368)	(129,231,303)	(131,377,085)
41. Change in nonadmitted assets	86,367,323	129,438,834	149,208,218
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease			0
44. Change in asset valuation reserve	77,451,503	(52,369,455)	(52,817,980)
45. Change in treasury stock			
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes	42,782	497,475,880	497,518,662
49. Cumulative effect of changes in accounting principles			2,103,380
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders		(260,000,000)	(260,000,000)
53. Aggregate write-ins for gains and losses in surplus	7,514,072	9,306,189	698,649
54. Net change in capital and surplus for the year (Lines 37 through 53)	24,985,720	413,053,892	490,927,608
55. Capital and surplus, as of statement date (Lines 36 + 54)	5,452,969,504	5,350,110,068	5,427,983,784
DETAILS OF WRITE-INS			
08.301. Miscellaneous Income	0	1,588,950	2,042,320
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	0	1,588,950	2,042,320
2701. Benefit for Employees & Agents not included elsewhere	10,832,280	35,419,277	55,818,397
2702. SCA, LP & LLC Contingent Income/Loss	(3,686,804)	0	0
2703. Intangible License Amortization - Gerber	2,549,997	0	3,399,997
2798. Summary of remaining write-ins for Line 27 from overflow page	(334,564)	671,141	2,417,530
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	9,360,909	36,090,418	61,635,924
5301. Change in unrecognized pension liability, net of tax	10,345,273	12,406,596	17,909,783
5302. Change in unrecognized other post retirement employee benefits liability, net of tax	(2,831,201)	(3,100,407)	(13,841,450)
5303. Change in unrecognized SERP liability, net of tax	0	0	(3,369,684)
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	7,514,072	9,306,189	698,649

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	167,675,563	170,366,717	233,959,122
2. Net investment income	148,844,611	190,684,762	314,302,347
3. Miscellaneous income	0	88,950	1,071,170
4. Total (Lines 1 to 3)	316,520,174	361,140,429	549,332,639
5. Benefit and loss related payments	241,382,799	204,592,122	268,635,577
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(76,896,961)	(37,309,946)	(50,213,550)
7. Commissions, expenses paid and aggregate write-ins for deductions	116,641,597	134,033,860	169,848,465
8. Dividends paid to policyholders	33,122,109	33,024,940	52,654,610
9. Federal and foreign income taxes paid (recovered) net of \$ (108,716,386) tax on capital gains (losses)	26,639,217	38,885,270	60,148,897
10. Total (Lines 5 through 9)	340,888,761	373,226,246	501,073,999
11. Net cash from operations (Line 4 minus Line 10)	(24,368,587)	(12,085,817)	48,258,640
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	218,462,437	300,681,922	321,508,433
12.2 Stocks	334,993,830	367,091,149	553,990,697
12.3 Mortgage loans	586,792	890,441	1,040,897
12.4 Real estate	0	1,400,000	1,400,000
12.5 Other invested assets	104,592,547	221,458,461	460,663,934
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	26,434	19,721	36,801
12.7 Miscellaneous proceeds	31,575,145	28,924,350	26,770,202
12.8 Total investment proceeds (Lines 12.1 to 12.7)	690,237,185	920,466,044	1,365,410,964
13. Cost of investments acquired (long-term only):			
13.1 Bonds	131,422,369	187,928,134	309,029,749
13.2 Stocks	298,782,971	604,992,571	710,369,185
13.3 Mortgage loans	7,400,000	10,792,845	10,792,846
13.4 Real estate	309,594	826,090	1,120,618
13.5 Other invested assets	174,506,114	284,506,419	517,164,669
13.6 Miscellaneous applications	35,299,234	6,097,554	70,541,159
13.7 Total investments acquired (Lines 13.1 to 13.6)	647,720,282	1,095,143,613	1,619,018,226
14. Net increase (or decrease) in contract loans and premium notes	(4,426,318)	(2,243,401)	(2,512,249)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	46,943,221	(172,434,168)	(251,095,014)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	497,475,880	497,435,000
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	(12,875,000)	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(4,830,275)	(7,736,682)	(8,920,270)
16.5 Dividends to stockholders	0	260,000,000	260,000,000
16.6 Other cash provided (applied)	(24,107,557)	(58,792,121)	(36,048,905)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(41,812,832)	170,947,077	192,465,825
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(19,238,198)	(13,572,908)	(10,370,548)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	77,542,308	87,912,857	87,912,857
19.2 End of period (Line 18 plus Line 19.1)	58,304,110	74,339,949	77,542,308

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. Contribution to Columbus Life Insurance Company in the form of Common Stock.			(29,962,218)
20.0002. Contribution to Gerber Life Insurance Company in the form of Bonds and Common Stock.		(99,820,210)	(193,758,853)

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	55,304	65,652	9,748,205
2. Ordinary life insurance	149,825,633	152,440,554	198,970,192
3. Ordinary individual annuities	3,648	13,260	15,971
4. Credit life (group and individual)			0
5. Group life insurance	2,676,176	2,083,888	3,332,393
6. Group annuities			0
7. A & H - group			0
8. A & H - credit (group and individual)			0
9. A & H - other	18,393,696	18,834,217	25,017,543
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	170,954,457	173,437,571	237,084,304
12. Fraternal (Fraternal Benefit Societies Only)			0
13. Subtotal (Lines 11 through 12)	170,954,457	173,437,571	237,084,304
14. Deposit-type contracts	0	0	0
15. Total (Lines 13 and 14)	170,954,457	173,437,571	237,084,304
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of The Western and Southern Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department).

The Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The Department has the right to permit other specific practices that deviate from prescribed practices. There are no differences between the Company's net income and capital and surplus following NAIC SAP or practices prescribed and permitted by the state of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	<u>SSAP #</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2020</u>	<u>2019</u>
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 2)	xxx	xxx	xxx	30,879,566	158,844,716
(2) State Prescribed Practices that increase/(decrease) NAIC SAP				—	—
(3) State Permitted Practices that increase/(decrease) NAIC SAP				—	—
(4) NAIC SAP (1-2-3=4)	xxx	xxx	xxx	30,879,566	158,844,716
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	xxx	xxx	xxx	5,452,969,504	5,427,983,784
(6) State Prescribed Practices that increase/(decrease) NAIC SAP				—	—
(7) State Permitted Practices that increase/(decrease) NAIC SAP				—	—
(8) NAIC SAP (5-6-7=8)	xxx	xxx	xxx	5,452,969,504	5,427,983,784

B. Use of Estimates in the Preparation of the Financial Statements

No Change.

C. Accounting Policy

- (2) The Company has not reacquired any SVO Identified Bonds during the reporting period.
- (6) Loan-backed and structured securities are stated at amortized cost, except those with an initial NAIC designation of 6, which are stated at the lower of amortized cost or fair value. Loan-backed and structured securities with an initial NAIC designation of 6 could have a final designation of 1 through 5 as determined by the SVO financial modeling process. The retrospective adjustment method is used to determine amortized cost for all loan-backed and structured securities, except for those which an other-than-temporary impairment has been recognized, which use the prospective adjustment method to determine amortized cost.

D. Going Concern. Management has not raised any doubts about the entity's ability to continue as a going concern.

2. Accounting Changes and Correction of Errors

The Company did not have any accounting changes in 2020.

3. Business Combinations and Goodwill. No Change.

4. Discontinued Operations. No Change.

5. Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans. No Change.
- B. Debt Restructuring. None.
- C. Reverse Mortgages. None.
- D. Loan-Backed Securities

- (1) Prepayment assumptions are derived from Bloomberg and broker dealer prepayment models or from empirical data.

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

- (2) The Company had no other-than-temporary impairments on loan-backed and structured securities for the nine month period ended September 30, 2020, due to the intent to sell the security or the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis of the security.
- (3) The following is a list of each loan-backed and structured securities with a recognized other-than-temporary impairment, for the nine month period ended September 30, 2020, where the present value of future cash flows expected to be collected is less than the amortized cost basis of the securities:

1	2	3	4	5	6	7
CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at time of OTTI	Date of Financial Statement Where Reported
466247-ZQ-9	2,889,112	2,872,451	16,661	2,872,451	2,872,678	06/30/2020
Total	XXX	XXX	16,661	XXX	XXX	XXX

- (4) The following is an aggregate total of all impaired loan-backed securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss, including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains as of September 30, 2020:
- a. The aggregate amount of unrealized losses:
- | | |
|------------------------|---------|
| 1. Less than 12 Months | 45,312 |
| 2. 12 Months or Longer | 526,235 |
- b. The aggregate related fair value of securities with unrealized losses:
- | | |
|------------------------|------------|
| 1. Less than 12 Months | 20,578,192 |
| 2. 12 Months or Longer | 23,856,345 |
- (5) The Company monitors investments to determine if there has been an other-than temporary decline in fair value. Factors management considers for each identified security include the following:
- a. the length of time and the extent to which the fair value is below the book/adjusted carry value;
- b. the financial condition and near term prospects of the issuer, including specific events that may affect its operations;
- c. for equity securities and debt securities with credit related declines in fair value, the Company's intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
- d. for debt securities with interest related declines in fair value, the Company's intent to sell the security before recovery of its book/adjusted carry value;
- e. for loan-backed securities, the Company's intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
- f. for loan-backed securities, the Company's intent to sell the security before recovery of its book/adjusted carry value.

If the decline is judged to be other-than-temporary, an impairment charge is recorded as a net realized capital loss in the period the determination is made.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

- (3) Collateral Received
- b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged is \$55.4 million and \$13.0 million in the general and separate accounts, respectively.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing. No Change.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing. No Change.

H. Repurchase Agreements Transactions Accounted for as a Sale. No Change.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale. No Change.

J. Real Estate. No Change.

K. Low Income Housing Tax Credit Property Investments. No significant holdings. No Change.

L. Restricted Assets. No Change.

M. Working Capital Finance Investments. None.

N. Offsetting and Netting of Assets and Liabilities

Information related to the Company’s derivative instruments and the effects of offsetting on the balance sheet are as follows:

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
Derivative Instrument	24,607,019	—	24,607,019

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			
Derivative Instrument	(34,708,737)	—	(34,708,737)

* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

- O. 5* Securities. No Change.
- P. Short Sales. None.
- Q. Prepayment Penalty and Acceleration Fees. None.
6. Joint Ventures, Partnerships and Limited Liability Companies. No Change.
7. Investment Income. No Change.
8. Derivative Instruments. No Change.
9. Income Taxes. No Change.
10. Information Concerning Parent, Subsidiaries and Affiliates. No Change.
11. Debt. No Change.
12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
- a. Defined Benefit Plan

		Pension Benefits		Postretirement Benefits		Special or Contractual Benefits Per SSAP No. 11	
		2020	2019	2020	2019	2020	2019
(4)	Components of net periodic benefit cost						
a.	Service cost	22,079,162	23,988,746	214,250	308,042	—	—
b.	Interest cost	24,358,689	38,478,911	3,257,697	6,043,948	—	—
c.	Expected return on plan assets	(57,678,184)	(68,093,131)	—	—	—	—
d.	Transition asset or obligation	—	—	—	—	—	—
e.	Gains and losses	16,563,782	25,564,070	(2,540,158)	(3,841,223)	—	—
f.	Prior service cost or credit	(3,468,500)	(4,624,667)	(1,043,640)	(1,391,531)	—	—
g.	Gain or loss recognized due to a settlement or curtailment	—	—	—	—	—	—
h.	Total net periodic benefit cost	1,854,949	15,313,929	(111,851)	1,119,236	—	—

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations. No Change.
14. Liabilities, Contingencies, and Assessments. No Change.
15. Leases. No Change.
16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk. No change.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
- B. (2) Not applicable.
(4) Not applicable.
- C. Wash Sales. No Change.
18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans. No Change.
19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators. No Change.

20. Fair Value Measurements

A.

(1) Fair Value Measurements at September 30, 2020

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds: Exchange traded funds	6,188,672	—	—	—	6,188,672
Common stock: Unaffiliated	605,733,616	—	—	2,620,651	608,354,267
Common stock: Mutual funds	190,422,568	—	—	—	190,422,568
Preferred stock	—	662,800	406	—	663,206
Derivative assets: Options, purchased	—	24,607,017	—	—	24,607,017
Separate account assets	656,076,563	146,423,398	20,330,771	273,135,094	1,095,965,826
Total assets at fair value	1,458,421,419	171,693,215	20,331,177	275,755,745	1,926,201,556
b. Liabilities at fair value					
Derivative liabilities: Options, written	—	(34,708,737)	—	—	(34,708,737)
Total liabilities at fair value	—	(34,708,737)	—	—	(34,708,737)

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

Quarter Ended at 09/30/2020

Description	Beginning Balance at 07/01/2020	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 09/30/2020
a. Assets										
Preferred stock	403	—	—	—	(7,661)	7,664	—	—	—	406
Separate account assets*	17,587,593	—	—	2,743,178	—	—	—	—	—	20,330,771
Total Assets	17,587,996	—	—	2,743,178	(7,661)	7,664	—	—	—	20,331,177

Quarter Ended at 06/30/2020

Description	Beginning Balance at 04/01/2020	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 06/30/2020
a. Assets										
Preferred stock	403	—	—	—	—	—	—	—	—	403
Separate account assets*	19,843,996	—	—	(2,256,403)	—	—	—	—	—	17,587,593
Total Assets	19,844,399	—	—	(2,256,403)	—	—	—	—	—	17,587,996

Quarter Ended at 03/31/2020

Description	Beginning Balance at 01/01/2020	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 03/31/2020
a. Assets										
Preferred stock	403	—	—	—	—	—	—	—	—	403
Separate account assets*	19,843,996	—	—	—	—	—	—	—	—	19,843,996
Total Assets	19,844,399	—	—	—	—	—	—	—	—	19,844,399

*Gains and losses for assets held in separate accounts do not impact net income or surplus as the change in value of assets held in separate accounts is offset by a change in value of liabilities related to separate account.

- (3) The Company’s policy is to recognize transfers in and transfers out of levels at the beginning of each quarterly reporting period.
- (4) The fair value of preferred stock included in Level 3 has been determined by utilizing recent financing for similar securities.

Derivative instruments included in Level 2 consist of options. The fair values of these instruments have been determined through the use of third-party pricing services utilizing market observable inputs.

Assets held in Level 2 and Level 3 of the separate account include debt securities. The fair values of these investments have been determined through the use of third-party pricing services utilizing market observable inputs.

- B. Not applicable.
- C. The carrying amounts and fair values of the Company’s significant financial instruments were as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	3,398,182,786	2,746,090,880	25,052,639	3,366,039,180	7,090,967	—	—
Common stock: Unaffiliated	608,354,267	608,354,267	605,733,616	—	—	2,620,651	—
Common stock: Mutual funds	190,422,568	190,422,568	190,422,568	—	—	—	—
Preferred stock	20,439,059	19,047,170	—	19,094,486	1,344,573	—	—
Mortgage loans	79,828,873	75,711,565	—	—	79,828,873	—	—
Cash, cash equivalents, & short-term investments	58,305,514	58,304,110	58,305,514	—	—	—	—
Other invested assets: Surplus notes	45,589,106	33,921,707	—	45,589,106	—	—	—
Securities lending reinvested collateral assets	31,776,831	31,776,831	31,776,831	—	—	—	—
Derivative assets	24,607,017	24,607,017	—	24,607,017	—	—	—
Cash collateral receivable	10,150,000	10,150,000	—	10,150,000	—	—	—
Separate account assets	1,095,965,826	1,095,965,826	656,076,563	146,423,398	20,330,771	273,135,094	—
Derivative liabilities	(34,708,737)	(34,708,737)	—	(34,708,737)	—	—	—
Cash collateral payable	(4,040,000)	(4,040,000)	—	(4,040,000)	—	—	—
Life and annuity reserves for investment-type contracts and deposit fund liabilities	(4,166,555)	(3,978,831)	—	—	(4,166,555)	—	—
Securities lending liability	(55,534,227)	(55,534,227)	—	(55,534,227)	—	—	—

The following discussion describes the valuation methodologies utilized by the Company for assets and liabilities measured or disclosed at fair value. Fair value estimates are made at a specific point in time, based on available market information and judgments about the financial instrument, including discount rates, estimates of timing, amount of expected future cash flows and the credit standing of the issuer. Such estimates do not consider the tax impact of the realization of unrealized gains or losses. For Level 3 investments, the fair value estimates cannot be substantiated by comparison to independent markets. In addition, the disclosed fair value may not be realized in the immediate settlement of the financial instrument. As described below, certain fair values are determined through the use of third-party pricing services. Management does not adjust prices received from third-parties; however, we do analyze the third-party pricing services’ valuation methodologies and related inputs and perform additional evaluation to determine the appropriate level within the fair value hierarchy. Care should be exercised in deriving conclusions about the Company’s business, its value or financial position based on the fair value information of financial instruments presented below.

Debt Securities, Surplus Notes, and Equity Securities

The fair values of actively traded debt securities, asset/mortgage-backed securities, and surplus notes have been determined through the use of third-party pricing services utilizing market observable inputs. Less liquid private placement securities trading in less liquid or illiquid markets with limited or no pricing information are valued using either broker quotes or by discounting the expected cash flows using current market-consistent rates applicable to the yield, credit quality and maturity of each security.

The fair values of actively traded equity securities and exchange traded funds (including exchange traded funds with debt like characteristics) have been determined utilizing publicly quoted prices obtained from third-party pricing services. The fair values of certain equity securities for which no publicly quoted prices are available have been determined through the use of third-party pricing services utilizing market observable inputs. Actively traded mutual funds are valued using the net asset values of the funds. The fair values of preferred stock included in Level 3 have been determined by utilizing recent financing for similar securities. For investments utilizing NAV, see Note 20E for a description.

Mortgage Loans

The fair values for mortgage loans, consisting principally of commercial real estate loans, are estimated using discounted cash flow analyses, using interest rates currently being offered for similar loans collateralized by properties with similar investment risk. The fair values for mortgage loans in default are established at the lower of the fair value of the underlying collateral less costs to sell or the carrying amount of the loan.

Cash, Cash Equivalents and Short-Term Investments

The fair values of cash, cash equivalents and short-term investments are based on quoted market prices.

Securities Lending Reinvested Collateral Assets

The fair value of securities lending reinvested collateral assets are from third-party sources utilizing publicly quoted prices.

Derivative Instruments

The fair value of the options have been determined through the use of third-party pricing services utilizing market observable inputs.

Cash Collateral Receivable

The receivable represents the obligation to return cash collateral the Company has posted relating to derivative instruments. The fair value is based upon the stated amount.

Assets Held in Separate Accounts

Assets held in separate accounts include debt securities, equity securities, mutual funds, private equity, and private debt fund investments. The fair values of these assets have been determined using the same methodologies as similar assets held in the general account. For investments utilizing NAV, see Note 20E for a description.

Cash Collateral Payable

The payable represents the obligation to return cash collateral the Company has received relating to derivative instruments. The fair value is based upon the stated amount.

Life and Annuity Reserves for Investment-type Contracts and Deposit Fund Liabilities

The fair value of liabilities for investment-type contracts is based on the present value of estimated liability cash flows. Present values reflect the Company’s margin for uncertainty of the timing of liability cash flows. Key assumptions to the cash flow model include the timing of policyholder withdrawals and the level of interest credited to contract balances.

Fair values for insurance reserves are not required to be disclosed. However, the estimated fair values of all insurance reserves and investment contracts are taken into consideration in the Company’s overall management of interest rate risk.

Securities Lending Liability

The liability represents the Company’s obligation to return collateral related to securities lending transactions. The liability is short-term in nature and therefore, the fair value of the obligation approximates the carrying amount.

- D. Not applicable.
- E. Investments that use a net asset value (NAV) as a practical expedient consist mainly of equity interest in limited partnerships and limited liability companies in the separate account. These investments contain fixed income, common stock and real estate characteristics. The interests in these partnerships can be sold or transferred with prior consent from the general partner. The NAV for these investments is equal to the fair value reported on Schedule BA Part 1. The average remaining life of the investments is 21.5 years. The Company's unfunded commitment for these investments is \$19.3 million.

A collective trust in the separate account utilizing NAV is primarily investing in domestic fixed income securities. Shares in the trust can be redeemed at their net asset value. The NAV for this investment is \$11.78.

Common stock utilizing NAV as a practical expedient consists of an investment in a business development corporation as defined by the Investment Company Act of 1940. The investment can be sold or transferred with prior consent from the corporation. The NAV for this investment is \$13.64.

The Company does not intend to sell any investments utilizing NAV.

21. Other Items.

C. Other Disclosures

The Company is exposed to potential risk associated with the recent outbreak of a new strain of coronavirus (“COVID-19”) and is actively monitoring developments through governmental briefings and the relevant health authorities. The effects of the outbreak on the Company are uncertain and difficult to predict, as the situation is actively evolving. Risks include (but are not limited to) the disruption of business operations due to changing work environments for employees, agents and distributors, and business partners; potential economic hardship of policyholders and issuers of investments held by the Company; and disruptions of product marketing and sales efforts. The Company has business continuity plans in place to attempt to mitigate the risks posed to business operations by disruptive incidents such as these.

22. Events Subsequent. No Change.

23. Reinsurance. No Change.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination.

E. Risk Sharing Provisions of the Affordable Care Act.

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

AMOUNT

a.	Permanent ACA Risk Adjustment Program	
	Assets	
	1. Premium adjustments receivable due to ACA Risk Adjustment	—
	Liabilities	
	2. Risk adjustment user fees payable for ACA Risk Adjustment	—
	3. Premium adjustments payable due to ACA Risk Adjustment	—
	Operations (Revenue & Expense)	
	4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	—
	5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	—
b.	Transitional ACA Reinsurance Program	
	Assets	
	1. Amounts recoverable for claims paid due to ACA Reinsurance	—
	2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	—
	3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	—
	Liabilities	
	4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	—
	5. Ceded reinsurance premiums payable due to ACA Reinsurance	—
	6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	—
	Operations (Revenue & Expense)	
	7. Ceded reinsurance premiums due to ACA Reinsurance	—
	8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	—
	9. ACA Reinsurance contributions - not reported as ceded premium	—
c.	Temporary ACA Risk Corridors Program	
	Assets	
	1. Accrued retrospective premium due to ACA Risk Corridors	—
	Liabilities	
	2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	—
	Operations (Revenue & Expense)	
	3. Effect of ACA Risk Corridors on net premium income (paid/received)	—
	4. Effect of ACA Risk Corridors on change in reserves for rate credits	—

(3) Roll forward of prior year ACA risk sharing provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable					—	—			A	—	—
2. Premium adjustments (payable)					—	—			B	—	—
3. Subtotal ACA Permanent Risk Adjustment Program	—	—	—	—	—	—	—	—		—	—
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid					—	—			C	—	—
2. Amounts recoverable for claims unpaid (contra liability)					—	—			D	—	—
3. Amounts receivable relating to uninsured plans					—	—			E	—	—
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium					—	—			F	—	—
5. Ceded reinsurance premiums payable					—	—			G	—	—
6. Liability for amounts held under uninsured plans					—	—			H	—	—
7. Subtotal ACA Transitional Reinsurance Program	—	—	—	—	—	—	—	—		—	—
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium					—	—			I	—	—
2. Reserve for rate credits or policy experience rating refunds					—	—			J	—	—
3. Subtotal ACA Risk Corridors Program	—	—	—	—	—	—	—	—		—	—
d. Total for ACA Risk Sharing Provisions	—	—	—	—	—	—	—	—		—	—

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before Dec 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before Dec 31 of the Prior Year		Differences		Adjustments			Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium					—	—			A	—	—
2. Reserve for rate credits or policy experience rating refunds					—	—			B	—	—
b. 2015											
1. Accrued retrospective premium					—	—			C	—	—
2. Reserve for rate credits or policy experience rating refunds					—	—			D	—	—
c. 2016											
1. Accrued retrospective premium					—	—			E	—	—
2. Reserve for rate credits or policy experience rating refunds					—	—			F	—	—
d. Total Risk Corridors	—	—	—	—	—	—	—	—		—	—

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1	2	3	4	5	6
	Estimated Amount to be Filed or Final Amount Filed	Non-acrued Amounts for Impairment or Other Reasons	Amounts	Asset Balance (Gross of Non-admissions)	Non-admitted Amount	Net Admitted Asset (4 - 5)
a. 2014						
b. 2015						
c. 2016						
d. Total (a + b + c)	—	—	—	—	—	—

24E(5)d (Column 4) should equal 24E(3)c1 (Column 9)
24E(5)d (Column 6) should equal 24E(2)c1

25. Change in Incurred Losses and Loss Adjustment Expenses. No Change.
26. Intercompany Pooling Arrangements. No Change.
27. Structured Settlements. No Change.
28. Health Care Receivables. No Change.
29. Participating Policies. No Change.
30. Premium Deficiency Reserves. No Change.
31. Reserves for Life Contracts and Annuity Contracts. No Change.
32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics. No Change.
33. Analysis of Life Actuarial Reserves by Withdrawal Characteristics. No Change.
34. Premiums and Annuity Consideration Deferred and Uncollected. No Change.
35. Separate Accounts. No Change.
36. Loss/Claim Adjustment Expenses. No Change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes ☒ No ☐
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

If yes, complete and file the merger history data file with the NAIC.

Yes ☐ No ☒
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | | | |
|----------------|-------------------|-------------------|
| 1 | 2 | 3 |
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes ☐ No ☐ N/A ☒
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2017
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2017
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/30/2019
- 6.4

By what department or departments?
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ N/A ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☐ No ☐ N/A ☒
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 37,152

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 954,327,639
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$
14.22 Preferred Stock	\$ 0	\$
14.23 Common Stock	\$ 3,967,416,940	\$ 3,912,990,578
14.24 Short-Term Investments	\$ 0	\$
14.25 Mortgage Loans on Real Estate	\$ 0	\$
14.26 All Other	\$ 1,548,370,540	\$ 1,608,541,385
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 5,515,787,480	\$ 5,521,531,963
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [X] No []
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [X] No [] N/A []
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$ 55,394,343

16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 55,384,037

16.3

Total payable for securities lending reported on the liability page.

\$ 55,534,227

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BANK OF NEW YORK MELLON	ONE WALL STREET NY NY 10286
MORGAN STANLEY	1300 THAMES ST BALTIMORE MD 21231
PERSHING ADVISOR SOLUTIONS	1 Pershing Plaza, 4th Floor Jersey City NJ 07399

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
FT WASHINGTON INVESTMENT ADVISORS	A.....
SECURIAN ASSET MANAGEMENT	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [] No [X]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107126	FT WASHINGTON INVESTMENT ADVISORS	KSRXYW3EHSEF8KM62609	Securities Exchange Commission	DS.....
109905	SECURIAN ASSET MANAGEMENT	5URRAMPU5ELNW8AQJB87	Securities Exchange Commission	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [X] No []
20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [X] No []
- 8.2

GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

75,589,835

1.14

Total Mortgages in Good Standing

\$

75,589,835

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

121,730

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

75,711,565

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

89.800 %

2.2

A&H cost containment percent

2.800 %

2.3

A&H expense percent excluding cost containment expenses

72.400 %

3.1

Do you act as a custodian for health savings accounts?

Yes

[]

No

[X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes

[]

No

[X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

4.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes

[X]

No

[]

4.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes

[]

No

[]

Fraternal Benefit Societies Only:

5.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes

[]

No

[]

N/A

[]

5.2

If no, explain:

6.1

Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?

Yes

[]

No

[]

6.2

If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE									

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.			1	Direct Business Only					
				Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts
				2 Life Insurance Premiums	3 Annuity Considerations				
Active Status (a)									
1.	Alabama	AL	L	162,936	0	23,369		186,305	
2.	Alaska	AK	N	63,505	0	1,350		64,855	
3.	Arizona	AZ	L	671,793	0	52,941		724,734	
4.	Arkansas	AR	L	91,394	0	8,286		99,680	
5.	California	CA	L	7,802,069	0	567,106		8,369,175	
6.	Colorado	CO	L	186,708	0	18,800		205,508	
7.	Connecticut	CT	L	23,681	0	2,496		26,177	
8.	Delaware	DE	L	38,284	0	3,402		41,686	
9.	District of Columbia	DC	L	157,080	0	9,704		166,784	
10.	Florida	FL	L	5,581,436	0	1,079,833		6,661,269	
11.	Georgia	GA	L	987,091	0	91,214		1,078,305	
12.	Hawaii	HI	L	20,891	0	2,004		22,895	
13.	Idaho	ID	L	49,785	0	715		50,500	
14.	Illinois	IL	L	16,694,013	150	993,773		17,687,936	
15.	Indiana	IN	L	9,836,859	0	1,971,702		11,808,561	
16.	Iowa	IA	L	131,905	0	7,677		139,582	
17.	Kansas	KS	L	528,532	0	133,430		661,962	
18.	Kentucky	KY	L	3,666,660	0	998,785		4,665,445	
19.	Louisiana	LA	L	4,826,873	0	188,433		5,015,306	
20.	Maine	ME	N	7,431	0	1,863		9,294	
21.	Maryland	MD	L	1,801,537	0	76,482		1,878,019	
22.	Massachusetts	MA	N	33,046	0	5,386		38,432	
23.	Michigan	MI	L	4,265,289	58	537,882		4,803,229	
24.	Minnesota	MN	L	1,245,699	0	40,485		1,286,184	
25.	Mississippi	MS	L	105,282	0	8,804		114,086	
26.	Missouri	MO	L	2,876,617	180	371,747		3,248,544	
27.	Montana	MT	L	10,373	0	793		11,166	
28.	Nebraska	NE	L	16,177	0	438		16,615	
29.	Nevada	NV	L	313,697	0	53,122		366,819	
30.	New Hampshire	NH	L	6,476	0	417		6,893	
31.	New Jersey	NJ	L	141,747	0	9,896		151,643	
32.	New Mexico	NM	L	23,408	0	2,443		25,851	
33.	New York	NY	N	123,215	0	17,663		140,878	
34.	North Carolina	NC	L	11,582,374	0	2,859,784		14,442,158	
35.	North Dakota	ND	L	1,922	0	0		1,922	
36.	Ohio	OH	L	33,132,409	2,837	5,344,934		38,480,180	
37.	Oklahoma	OK	L	131,946	0	14,287		146,233	
38.	Oregon	OR	L	99,739	0	4,275		104,014	
39.	Pennsylvania	PA	L	6,907,711	(8)	876,739		7,784,442	
40.	Rhode Island	RI	L	4,168	0	1,167		5,335	
41.	South Carolina	SC	L	1,276,077	0	196,963		1,473,040	
42.	South Dakota	SD	L	10,840	0	1,819		12,659	
43.	Tennessee	TN	L	1,069,858	0	380,954		1,450,812	
44.	Texas	TX	L	4,153,758	0	544,195		4,697,953	
45.	Utah	UT	L	31,641	0	1,029		32,670	
46.	Vermont	VT	L	1,937	0	511		2,448	
47.	Virginia	VA	L	528,774	0	79,292		608,066	
48.	Washington	WA	L	135,535	0	12,091		147,626	
49.	West Virginia	WV	L	2,397,938	0	756,817		3,154,755	
50.	Wisconsin	WI	L	1,222,851	0	34,677		1,257,528	
51.	Wyoming	WY	L	5,656	0	154		5,810	
52.	American Samoa	AS	N	0	0	0		0	
53.	Guam	GU	N	1,082	0	0		1,082	
54.	Puerto Rico	PR	N	6,170	0	420		6,590	
55.	U.S. Virgin Islands	VI	N	952	0	0		952	
56.	Northern Mariana Islands	MP	N	0	0	0		0	
57.	Canada	CAN	N	14	0	0		14	
58.	Aggregate Other Aliens	OT	XXX	53,832	0	1,037	0	54,869	0
59.	Subtotal	XXX		125,248,673	3,217	18,393,586	0	143,645,476	0
90.	Reporting entity contributions for employee benefits plans	XXX		2,676,176	0	0		2,676,176	
91.	Dividends or refunds applied to purchase paid-up additions and annuities	XXX		22,878,679	431	0		22,879,110	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX		0	0	0		0	
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		1,753,585	0	110		1,753,695	
94.	Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95.	Totals (Direct Business)	XXX		152,557,113	3,648	18,393,696	0	170,954,457	0
96.	Plus Reinsurance Assumed	XXX		742,738	0	0		742,738	
97.	Totals (All Business)	XXX		153,299,851	3,648	18,393,696	0	171,697,195	0
98.	Less Reinsurance Ceded	XXX		1,076,212		3,038,848		4,115,060	
99.	Totals (All Business) less Reinsurance Ceded	XXX		152,223,639	3,648	15,354,848	0	167,582,135	0
DETAILS OF WRITE-INS									
58001.	Mexico	XXX		7,060				7,060	
58002.	ZZZ Other Alien	XXX		46,772		1,037		47,809	
58003.		XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		53,832	0	1,037	0	54,869	0
9401.		XXX							
9402.		XXX							
9403.		XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG	47	R - Registered - Non-domiciled RRGs	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state	0	Q - Qualified - Qualified or accredited reinsurer	0
N - None of the above - Not allowed to write business in the state	10		

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

	<u>NAIC#</u>	<u>TIN#</u>
PARENT - WESTERN & SOUTHERN MUTUAL HOLDING COMPANY, OH (NON-INSURER)		31-1732405
SUBSIDIARY - WESTERN & SOUTHERN FINANCIAL GROUP, INC., OH (NON-INSURER)		31-1732404
SUBSIDIARY - WESTAD LEASING LLC (NON-INSURER)		84-3195821
SUBSIDIARY - THE LAFAYETTE LIFE INSURANCE COMPANY, OH (INSURER)	65242	35-0457540
SUBSIDIARY - LLIA, INC., OH (NON-INSURER)		35-2123483
SUBSIDIARY - THE WESTERN & SOUTHERN LIFE INSURANCE COMPANY, OH (INSURER)	70483	31-0487145
SUBSIDIARY - WESTERN-SOUTHERN LIFE ASSURANCE COMPANY, OH (INSURER)	92622	31-1000236
SUBSIDIARY - IFS FINANCIAL SERVICES, INC., OH (NON-INSURER)		31-1328371
SUBSIDIARY - W&S BROKERAGE SERVICES, INC., OH (NON-INSURER)		31-0846576
SUBSIDIARY - W&S FINANCIAL GROUP DISTRIBUTORS, INC., OH (NON-INSURER)		31-1334221
SUBSIDIARY - COLUMBUS LIFE INSURANCE COMPANY, OH (INSURER)	99937	31-1191427
SUBSIDIARY - INTEGRITY LIFE INSURANCE COMPANY, OH (INSURER)	74780	86-0214103
SUBSIDIARY - NATIONAL INTEGRITY LIFE INSURANCE COMPANY, NY (INSURER)	75264	16-0958252
SUBSIDIARY - GERBER LIFE INSURANCE COMPANY, NY (INSURER)	70939	13-2611847
SUBSIDIARY - GERBER LIFE AGENCY, LLC		43-2081325
SUBSIDIARY - WESTERN & SOUTHERN INVESTMENT HOLDINGS, LLC, OH (NON-INSURER)		06-1804434
SUBSIDIARY - EAGLE REALTY GROUP, LLC, OH (NON-INSURER)		31-1018957
SUBSIDIARY - FORT WASHINGTON INVESTMENT ADVISORS, INC., OH (NON-INSURER)		31-1301863

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Per-cent-age	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0836	Western-Southern Group	.00000	47-3228849				1373 Lex Road Investor Holdings, LLC	.KY.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000					2014 San Antonio Trust Agreement	.OH.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000					2017 Houston Trust Agreement	.OH.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	45-5458388				2758 South Main SPE, LLC	.NC.	NIA.	WS Real Estate Holdings LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	81-3013986				309 Holdings, LLC	.OH.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	1.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	81-3013986				309 Holdings, LLC	.OH.	NIA.	WS Real Estate Holdings LLC	Ownership.....	48.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	27-1594103				506 Phelps Holdings, LLC	.OH.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	84-4351262				Alta Preston Residences, LLC	.TX.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	47-1046102				Apex Housing Investor Holdings, LLC	.KY.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-1476704				Aravada Kipling Housing Holdings, LLC	.CO.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	83-3057118				Beardsley Inv. Holdings,LLC	.AZ.	NIA.	WSLR Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	45-5439068				Belle Housing Investor Holdings, Inc.	.NC.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-0887717				BP Summerville Investor Holdings, LLC	.SC.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	45-5458332				BY Apartment Investor Holding, LLC	.MD.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	35-2431972				Canal Senate Apartments LLC	.IN.	NIA.	WS Real Estate Holdings LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-0894869				Cape Barnstable Investor Holdings,LLC	.MA.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	20-8819502				Carmel Holdings, LLC	.IN.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	20-5862349				Carmel Hotel, LLC	.IN.	NIA.	Carmel Holdings, LLC	Ownership.....	36.260	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	31-1449186				Carthage Senior Housing Ltd	.OH.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-4579654				Cedar Park Senior Inv. Holdings, LLC	.TX.	NIA.	WSLR Holdings LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	83-2482456				Cenizo Apts Inv. Holdings, LLC	.TX.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	75-2808126				Centreport Partners LP	.TX.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	25.250	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	83-4249257				Charlotte Park Investor Holdings, LLC	.NC.	NIA.	WSLR Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
							Chattanooga Southside Housing Investor Holdings, LLC	.TN.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	81-2810787				Chestnut Healthcare Partners, LP	.TN.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	21.350	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	23-1691523				Cincinnati Analyst Inc	.OH.	DS.	Columbus Life Insurance Co	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	83-3238622				Cincinnati CBD Holdings, LLC	.OH.	NIA.	WS Real Estate Holdings LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	61-1454115				Cincinnati New Markets Fund LLC	.OH.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	14.660	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	20-0434449				Cleveland East Hotel LLC	.OH.	NIA.	WS CEH LLC	Ownership.....	37.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.99937	31-1191427				Columbus Life Insurance Co	.OH.	DS.	The Western & Southern Life Insurance Co	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	85-1998953				Courtland Apartments,LLC	.GA.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	81-3364944				Cove Housing Investor Holdings, LLC	.OR.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	84-2300932				Covington Apt. Holdings, LLC	.AZ.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-5593932				Crabtree Common Apt. Invesotr Holdings, LLC	.NC.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	45-2524597				Cranberry NP Hotel Company LLC	.PA.	NIA.	NP Cranberry Hotel Holdings, LLC	Ownership.....	72.520	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	47-3929236				Crossings Apt. Holdings	.UT.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-3421289				Dallas City Investor Holdings, LLC	.TX.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	20-2681473				Day Hill Road Land LLC	.CT.	NIA.	WS Real Estate Holdings LLC	Ownership.....	74.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	31-1498142				Dublin Hotel LLC	.OH.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	25.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	47-3945554				Dunvale Investor Holdings, LLC	.TX.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	81-1290497				Eagle Realty Capital Partners, LLC	.OH.	NIA.	Eagle Realty Group, LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
							Western & Southern Investment Holdings LLC								
.0836	Western-Southern Group	.00000	31-1779165				Eagle Realty Group, LLC	.OH.	DS.		Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	31-1779151				Eagle Realty Investments, Inc	.OH.	DS.	Eagle Realty Group, LLC	Ownership.....	100.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-1940957				Eagle Rose Apt. Holdings,LLC	.NY.	NIA.	The Western & Southern Life Insurance Co	Ownership.....	2.500	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	47-1596551				East Denver Investor Holdings, LLC	.CO.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-1383159				Emerging Markets LLC	.OH.	NIA.	Integrity Life Insurance Co	Ownership.....	33.350	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-1383159				Emerging Markets LLC	.OH.	NIA.	National Integrity Life Insurance Co	Ownership.....	16.880	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-1383159				Emerging Markets LLC	.OH.	NIA.	The Lafayette Life Insurance Co	Ownership.....	26.220	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	46-1383159				Emerging Markets LLC	.OH.	NIA.	Western-Southern Life Assurance Co	Ownership.....	22.980	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	45-5350091				Flat Apts. Investor Holdings, LLC	.IN.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-3668056				Flats Springhurst Inv Holdings, LLC	.KY.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	
.0836	Western-Southern Group	.00000	82-1492952				Forsythe Halcyon AA Inv. Holdings, LLC	.MA.	NIA.	WS Real Estate Holdings LLC	Ownership.....	98.000	Western & Southern Mutual Holding Co	N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
0836	Western-Southern Group	00000	45-0571051				Fort Washington Active Fixed Fund	OH	NIA	The Western & Southern Life Insurance Co	Ownership	46.750	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	52-2206044				Fort Washington Capital Partners, LLC	OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	N	
0837	Western-Southern Group	00003	31-1727947				Fort Washington Flexible Income LLC	OH	NIA	Integrity Life Insurance Co	Ownership	22.570	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00001	31-1727947				Fort Washington Flexible Income LLC	OH	NIA	The Western & Southern Life Insurance Co	Ownership	11.280	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00002	31-1727947				Fort Washington Flexible Income LLC	OH	NIA	Western & Southern Financial Group, Inc.	Ownership	29.430	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	47-3243974				Fort Washington Global Alpha Domestic Fund LP	OH	NIA	Western & Southern Financial Group, Inc.	Ownership	99.990	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	98-1227949				Fort Washington Global Alpha Master Fund LP	OH	NIA	Fort Washington Global Alpha Domestic Fund LP	Ownership	99.470	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	NIA	Columbus Life Insurance Co	Ownership	29.960	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	NIA	Integrity Life Insurance Co	Ownership	5.120	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	NIA	National Integrity Life Insurance Co	Ownership	5.120	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	NIA	The Western & Southern Life Insurance Co	Ownership	2.390	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	NIA	Western-Southern Life Assurance Co	Ownership	34.640	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	27-0116330				Fort Washington High Yield Invt LLC II	OH	NIA	The Western & Southern Life Insurance Co	Ownership	24.950	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1301863				Fort Washington Investment Advisors, Inc.	OH	DS	Western & Southern Investment Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	52-2206041				Fort Washington PE Invest II LP	OH	NIA	Fort Washington Capital Partners, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	52-2206041				Fort Washington PE Invest II LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1727947				Fort Washington PE Invest III LP	OH	NIA	Fort Washington Capital Partners, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	31-1727947				Fort Washington PE Invest III LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	16-1648796				Fort Washington PE Invest IV LP	OH	NIA	Fort Washington Capital Partners, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	16-1648796				Fort Washington PE Invest IV LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	38.320	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	81-1710716				Fort Washington PE Invest IX	OH	NIA	FIWPEI IX GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	81-1710716				Fort Washington PE Invest IX	OH	NIA	The Western & Southern Life Insurance Co	Ownership	9.180	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	81-1722824				Fort Washington PE Invest IX-B	OH	NIA	FIWPEI IX GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	81-1722824				Fort Washington PE Invest IX-B	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	81-1997777				Fort Washington PE Invest IX-K	OH	NIA	FIWPEI IX GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-4568842				Fort Washington PE Invest V LP	OH	NIA	FIWPEI V GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-4568842				Fort Washington PE Invest V LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	45.790	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-1073680				Fort Washington PE Invest VI LP	OH	NIA	FIWPEI VI GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-1073680				Fort Washington PE Invest VI LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	35.470	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	27-1321348				Fort Washington PE Invest VII LP	OH	NIA	FIWPEI VII GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	27-1321348				Fort Washington PE Invest VII LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	30.990	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	35-2485044				Fort Washington PE Invest VIII	OH	NIA	FIWPEI VIII GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	35-2485044				Fort Washington PE Invest VIII	OH	NIA	The Western & Southern Life Insurance Co	Ownership	4.150	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	32-0418436				Fort Washington PE Invest VIII-B	OH	NIA	FIWPEI VIII GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	32-0418436				Fort Washington PE Invest VIII-B	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	83-1005851				Fort Washington PE Invest X	OH	NIA	FIWPEI X GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	83-1023433				Fort Washington PE Invest X-B	OH	NIA	FIWPEI X GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	83-1023433				Fort Washington PE Invest X-B	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	83-1036934				Fort Washington PE Invest X-S	OH	NIA	FIWPEI X GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-5398098				Fort Washington PE Investors V-B, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	87.620	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-5398098				Fort Washington PE Investors V-B, L.P.	OH	NIA	FIWPEI V GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-5398156				Fort Washington PE Investors V-VC, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	89.590	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	20-5398156				Fort Washington PE Investors V-VC, L.P.	OH	NIA	FIWPEI V GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest V LP	Ownership	6.700	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest VI LP	Ownership	9.840	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	Fort Washington PE Invest VII LP	Ownership	5.410	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	FIWPEO II GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	OH	NIA	The Western & Southern Life Insurance Co	Ownership	15.170	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	Fort Washington PE Invest VII LP	Ownership	3.750	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	Fort Washington PE Invest VIII LP	Ownership	3.180	Western & Southern Mutual Holding Co	N	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	OH	NIA	FIWPEO III GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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.0836	Western-Southern Group	.00000	90-0989164				Fort Washington PE Opp Fund III, L.P.	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	6.390	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	37-1736757				Fort Washington PE Opp Fund III-B, L.P.	.OH	NIA	FWPEO III GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	37-1736757				Fort Washington PE Opp Fund III-B, L.P.	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	85-1483379				Fort Washington PE Opp Fund IV, L.P.	.OH	NIA	FWPEO IV GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	85-1503656				Fort Washington PE Opp Fund IV-B, L.P.	.OH	NIA	FWPEO IV GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	85-1503656				Fort Washington PE Opp Fund IV-B, L.P.	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.500	Western & Southern Mutual Holding Co	.N	
.0837	Western-Southern Group	.00001	85-1521520				Fort Washington PE Opp Fund IV-K, L.P.	.OH	NIA	FWPEO IV GP, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-1922641				Frontage Lodge Investor Holdings, LLC	.CO	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-1698272				FWPEI IX GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-4844372				FWPEI V GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-1073669				FWPEI VI GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	27-1321253				FWPEI VII GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-3584733				FWPEI VIII GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-0980611				FWPEI X GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3806561				FWPEO II GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-2895522				FWPEO III GP, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-4083280				Gallatin Investor Holdings, LLC	.TN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	45-3507078				Galleria Investor Holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-1553878				Galveston Summerbrooke Apts LLC	.TX	NIA	Summerbrooke Holdings LLC	Ownership	52.920	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	43-2081325				Gerber Life Agency, LLC	.OH	.DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.70939	13-2611847				Gerber Life Insurance Company	.NY	.DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-2646906				Golf Countryside Investor Holdings, LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-1670352				Golf Sabal Inv. Holdings, LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-2495007				Grand Dunes Senior Holdings, LLC	.NC	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	45-3457194				GS Multifamily Galleria LLC	.TX	NIA	Galleria Investor Holdings, LLC	Ownership	57.820	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3525111				GS Yorktown Apt LP	.TX	NIA	YT Crossing Holdings, LLC	Ownership	57.820	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3108420				Hearthview Prairie Lake Apts LLC	.IN	NIA	Prairie Lakes Holdings, LLC	Ownership	62.720	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1328371				IFS Financial Services, Inc	.OH	.DS	Western-Southern Life Assurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.74780	86-0214103				Integrity Life Insurance Co	.OH	.DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	34-1826874				IR Mall Associates LTD	.FL	NIA	The Western & Southern Life Insurance Co	Ownership	49.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-2358660				Jacksonville Salisbury Apt Holdings, LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-1797000				Keller Hicks Inv. Holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-4171986				Kissimmee Investor Holdings, LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-4737222				LaCentera Apts. Investor Holdings, LLC	.TX	NIA	The Western & Southern Life Insurance Co	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1705445				LaFrontera Holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	74.250	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-3004899				Lennox Zionsville Inv. Holdings, LLC	.IN	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	27-2330466				Leroy Glen Investment LLC	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-3380015				Linthicum Investor Holdings, LLC	.MD	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	35-2123483				LLIA, Inc.	.OH	NIA	The Lafayette Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-3826695				Lorraine Senior Inv. Holdings, LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-2577517				Lytle Park Inn, LLC	.OH	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-3966673				Main Hospitality Holdings	.OH	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-4582162				Manchester Semmes Oz Fund, LLC	.VA	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-0732275				MC Investor Holdings, LLC	.AZ	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-1905557				Mercer Crossing Inv. Holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-0743431				Midtown Park Inv. holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	45-5439036				Miller Creek Investor Holdings, LLC	.TN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-1815218				Monteresso Housing Inv. Holdings, LLC	.FL	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2984546				Nashville Hotel JV LLC	.TN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.75264	16-0958252				National Integrity Life Insurance Co	.NY	.DS	Integrity Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-5030427				NE Emerson Edgewood, LLC	.IN	NIA	The Lafayette Life Insurance Co	Ownership	60.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	27-1024113				North Braeswood Meritage Holdings LLC	.OH	NIA	Western-Southern Life Assurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	02-0593144				North Pittsburg Hotel LLC	.PA	NIA	WSALD NPH LLC	Ownership	37.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1427318				Northeast Cincinnati Hotel LLC	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	25.000	Western & Southern Mutual Holding Co	.N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Per-cent-age	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0836	Western-Southern Group	.00000	45-2914674				NP Cranberry Hotel Holdings, LLC	PA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-5765100				Olathe Apt. Investor Holdings, LLC	KS	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-1122741				One Kennedy Housing Investor Holdings, LLC	CT	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1338187				OTR Housing Associates LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-1553387				Overland Apartments Investor Holdings, LLC	KS	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	85-2026987				Park Boulevard Holdings, LLC	FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-2515872				Patterson at First Investor Holdings, LLC	OH	NIA	Integrity Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-4322006				PCE LP	GA	NIA	The Western & Southern Life Insurance Co	Ownership	41.900	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-4322006				PCE LP	GA	NIA	Western-Southern Life Assurance Co	Ownership	22.340	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-3394236				Perimeter TC Investor Holdings	GA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-1659568				Pleasanton Hotel Investor Holdings,LLC	CA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3167828				Prairie Lakes Holdings, LLC	IN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2464002				Prairie Path Apts. Inv. Holdings, LLC	IL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	41-3147951				Pretium Residential Real Estate Fund II, LP	NY	NIA	The Western & Southern Life Insurance Co	Ownership	2.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-1507720				Price Willis Lodging Holdings, LLC	SC	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	34-1998937				Queen City Square LLC	OH	NIA	The Western & Southern Life Insurance Co	Ownership	99.750	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	52-2096076				Race Street Dev Ltd.	OH	NIA	WS Real Estate Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-4725907				Railroad Parkside Investor Holdings, LLC	AL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-3614873				Raleigh Hotel Holding Co., LLC	NC	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-3851930				Rancho Presidio Land Partners,LLC	CA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	27-4266774				Randolph Tower Affordable Inv Fund LLC	IL	NIA	The Western & Southern Life Insurance Co	Ownership	99.990	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-2188516				Revel Investor Holdings, LLC	CO	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	80-0246040				Ridgegate Commonwealth Apts LLC	CO	NIA	Ridgegate Holdings, LLC	Ownership	52.920	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3526448				Ridgegate Holdings, LLC	CO	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-0812652				River Hollow Investor Holdings, LLC	TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-1286981				Russell Bay Investor Holdings, LLC	NV	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-2260159				San Tan Investor Holdings, LLC	AZ	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-1617717				Settlers Ridge Robinson Investor Holdings, LLC	PA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	27-3564950				Seventh & Culvert Garage LLC	OH	NIA	WS Real Estate Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-1554676				Shelbourne Campus Properties LLC	KY	NIA	Shelbourne Holdings, LLC	Ownership	52.920	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-1944856				Shelbourne Holdings, LLC	KY	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	45-4354663				Siena Investor Holding, LLC	TX	NIA	WS Real Estate Holdings LLC	Ownership	69.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-2295656				Sixth and Saratoga NW, LLC	KY	NIA	WS Real Estate Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-2930953				Skye Apts Investor Holdings, LLC	MN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	61-1328558				Skyport Hotel LLC	KY	NIA	The Western & Southern Life Insurance Co	Ownership	25.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-1553152				Sonterra Legacy Investor Holding, LLC	OH	NIA	2014 San Antonio Trust Agreement	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-2948287				South Kirkman Apt. Holdings, LLC	FL	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-2306231				Southside Tunnel Apts. Investor Holdings, LLC	PA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	46-2922655				SP Charlotte Apts. Investor Holdings, LLC	NC	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-1827381				Stony Investor Holdings,LLC	VA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-3538359				Stout Metro Housing Holdings LLC	IN	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-2348581				Summerbrooke Holdings LLC	TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-4291356				Sundance Lafrontera Holdings LLC	TX	NIA	The Western & Southern Life Insurance Co	Ownership	62.720	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-2672383				Tamiami Senior Inv. Holdings,LLC	FL	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.65242	35-0457540				The Lafayette Life Insurance Co	OH	IA	Western & Southern Financial Group, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.70483	31-0487145				The Western & Southern Life Insurance Co	OH	RE	Western & Southern Financial Group, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-2399724				Three Choopt AA Inv. Holdings, LLC	VA	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-3418626				Timacuan Apt. Holdings,LLC	FL	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1394672				Touchstone Advisors, Inc.	OH	DS	IFS Financial Services, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-6046379				Touchstone Securities, Inc.	NE	DS	IFS Financial Services, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	47-5098714				Trevi Apartment Holdings, LLC	AZ	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-5542652				Tri-State Fund II Growth LP	OH	NIA	The Western & Southern Life Insurance Co	Ownership	29.840	Western & Southern Mutual Holding Co	.N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0836	Western-Southern Group	.00000	20-5542652				Tri-State Fund II Growth LP	.OH	NIA	Tri-State Ventures II, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1788429				Tri-State Growth Capital Fund LP	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	12.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1788429				Tri-State Growth Capital Fund LP	.OH	NIA	Tri-State Ventures, LLC	Ownership	0.630	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-5542563				Tri-State Ventures II, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1788428				Tri-State Ventures, LLC	.OH	NIA	Fort Washington Investment Advisors, Inc.	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2230033				TXFL NNN Office Inv. Holdings,LLC	.OH	NIA	Integrity Life Insurance Co	Ownership	14.810	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2230033				TXFL NNN Office Inv. Holdings,LLC	.OH	NIA	National Integrity Life Insurance Co	Ownership	14.810	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2230033				TXFL NNN Office Inv. Holdings,LLC	.OH	NIA	The Lafayette Life Insurance Co	Ownership	29.630	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	84-2230033				TXFL NNN Office Inv. Holdings,LLC	.OH	NIA	Western-Southern Life Assurance Co	Ownership	40.740	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1653922				Union Centre Hotel LLC	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	25.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-2679115				University Shades Inv. Holdings,LLC	.FL	NIA	WSLR Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-4132070				Vernazza Housing Investor Holdings,LLC	.FL	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-2226959				View High Apts Investor Holdings, LLC	.MO	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	36-4107014				Vinings Trace	.OH	NIA	WS Real Estate Holdings LLC	Ownership	99.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	72-1388989				Vulcan Hotel LLC	.AL	NIA	The Western & Southern Life Insurance Co	Ownership	25.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	82-1665321				W Apt. Investor Holdings, LLC	.NC	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-0846576				W&S Brokerage Services, Inc.	.OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.Y	
.0836	Western-Southern Group	.00000	31-1334221				W&S Financial Group Distributors, Inc.	.OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	83-1744878				Warm Springs Apt. Holdings, LLC	.NV	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1413821				Western & Southern Agency, Inc.	.OH	DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1732404				Western & Southern Financial Group, Inc.	.OH	UDP	Western & Southern Mutual Holding Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	06-1804434				Western & Southern Investment Holdings LLC	.OH	DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1732405				Western & Southern Mutual Holding Co	.OH	UIP	Western & Southern Mutual Holding Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.92622	31-1000236				Western-Southern Life Assurance Co	.OH	DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1732344				Windsor Hotel LLC	.CT	NIA	The Western & Southern Life Insurance Co	Ownership	25.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	81-4930979				WL Apartments Holdings, LLC	.OH	NIA	2017 Houston Trust Agreement	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1317879				Wright Exec Hotel LTD Partners	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	60.490	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	61-1182451				WS Airport Exchange GP LLC	.KY	NIA	WS Real Estate Holdings LLC	Ownership	74.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-2820067				WS CEH LLC	.OH	NIA	WS Real Estate Holdings LLC	Ownership	50.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	31-1303229				WS Country Place GP LLC	.GA	NIA	WS Real Estate Holdings LLC	Ownership	90.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	61-0998084				WS Lookout JV LLC	.KY	NIA	The Western & Southern Life Insurance Co	Ownership	50.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	06-1804432				WS Real Estate Holdings LLC	.OH	DS	The Western & Southern Life Insurance Co	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-1515960				WSA Commons LLC	.GA	NIA	The Western & Southern Life Insurance Co	Ownership	50.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	33-1058916				WSALD NPH LLC	.PA	NIA	WS Real Estate Holdings LLC	Ownership	50.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-0360272				WSL Partners LP	.OH	NIA	Fort Washington Capital Partners, LLC	Ownership	0.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-0360272				WSL Partners LP	.OH	NIA	The Western & Southern Life Insurance Co	Ownership	95.500	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843748				WSLR Birmingham	.AL	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843635				WSLR Cinti LLC	.OH	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843645				WSLR Columbus LLC	.OH	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843653				WSLR Dallas LLC	.TX	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843767				WSLR Hartford LLC	.CT	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843577				WSLR Holdings LLC	.OH	DS	The Western & Southern Life Insurance Co	Ownership	24.490	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843962				WSLR Skyport LLC	.KY	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	20-8843814				WSLR Union LLC	.OH	NIA	WSLR Holdings LLC	Ownership	100.000	Western & Southern Mutual Holding Co	.N	
.0836	Western-Southern Group	.00000	26-3526711				YT Crossing Holdings, LLC	.TX	NIA	WS Real Estate Holdings LLC	Ownership	98.000	Western & Southern Mutual Holding Co	.N	

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

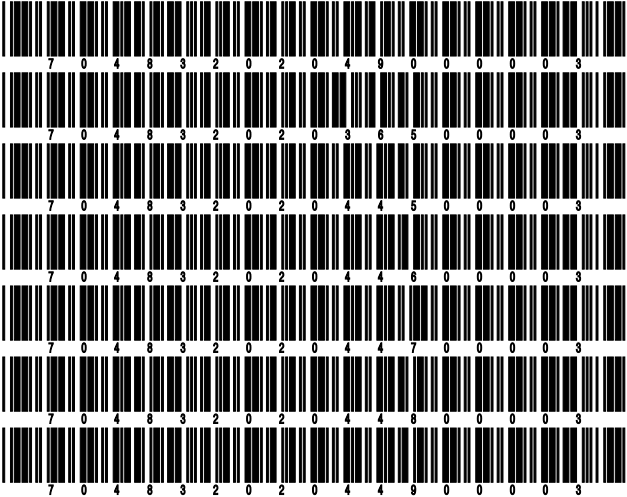
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Liabilities Line 25

		1	2
		Current Statement Date	December 31 Prior Year
2504.	Payable for collateral on derivatives	4,040,000	
2505.	Interest on Contract and Policy Funds	70,190	70,190
2597.	Summary of remaining write-ins for Line 25 from overflow page	4,110,190	70,190

Additional Write-ins for Summary of Operations Line 27

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
2704.	Miscellaneous Expense	(653,717)	(270)	1,460,068
2705.	Securities Lending Interest Expense	365,479	756,896	1,060,830
2706.	Reserve on Reinsurance - Life	(46,326)	0	0
2707.	Reserve adjustment on reinsurance assumed - Lafayette	0	(85,485)	(103,368)
2797.	Summary of remaining write-ins for Line 27 from overflow page	(334,564)	671,141	2,417,530

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	24,605,815	26,922,488
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	
2.2 Additional investment made after acquisition	309,594	1,120,618
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		1,133,268
5. Deduct amounts received on disposals		1,400,000
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	1,784,814	3,170,559
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	23,130,595	24,605,815
10. Deduct total nonadmitted amounts		0
11. Statement value at end of current period (Line 9 minus Line 10)	23,130,595	24,605,815

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	68,898,356	59,146,407
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	7,400,000	10,750,000
2.2 Additional investment made after acquisition		42,846
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	586,792	1,040,897
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other than temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	75,711,564	68,898,356
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	75,711,564	68,898,356
14. Deduct total nonadmitted amounts		0
15. Statement value at end of current period (Line 13 minus Line 14)	75,711,564	68,898,356

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,939,380,163	1,814,226,952
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	8,532,929	168,691,152
2.2 Additional investment made after acquisition	165,973,185	348,473,517
3. Capitalized deferred interest and other		0
4. Accrual of discount	308	188
5. Unrealized valuation increase (decrease)	(14,280,395)	90,633,615
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	104,592,547	460,663,934
8. Deduct amortization of premium and depreciation	23,300	29,724
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other than temporary impairment recognized	1,751,816	21,951,604
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	1,993,238,527	1,939,380,163
12. Deduct total nonadmitted amounts	167,176,192	161,310,396
13. Statement value at end of current period (Line 11 minus Line 12)	1,826,062,335	1,778,069,767

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	7,717,756,479	7,273,729,940
2. Cost of bonds and stocks acquired	430,205,340	1,243,120,005
3. Accrual of discount	1,389,168	2,347,460
4. Unrealized valuation increase (decrease)	(107,162,539)	267,970,215
5. Total gain (loss) on disposals	(8,578,767)	50,591,014
6. Deduct consideration for bonds and stocks disposed of	553,616,793	1,099,505,321
7. Deduct amortization of premium	3,346,001	5,286,517
8. Total foreign exchange change in book/adjusted carrying value	343,441	1,561,856
9. Deduct current year's other than temporary impairment recognized	245,332	17,057,292
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	160,526	285,120
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	7,476,905,523	7,717,756,479
12. Deduct total nonadmitted amounts	302,959,683	372,477,258
13. Statement value at end of current period (Line 11 minus Line 12)	7,173,945,840	7,345,279,221

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	1,603,219,372	41,830,278	92,534,436	(5,917,282)	1,637,226,140	1,603,219,372	1,546,597,932	1,753,880,258
2. NAIC 2 (a)	1,121,024,701	254,890,373	270,505,776	15,453,119	1,093,527,476	1,121,024,701	1,120,862,417	1,064,832,090
3. NAIC 3 (a)	87,621,534	10,000,000	2,344,414	(10,040,310)	68,716,769	87,621,534	85,236,810	44,288,257
4. NAIC 4 (a)	12,864,237	0	0	33,171	3,543,134	12,864,237	12,897,408	4,280,389
5. NAIC 5 (a)	3,481,890	0	0	6,723	3,475,185	3,481,890	3,488,613	3,468,964
6. NAIC 6 (a)	5,108,761	0	5,108,633	(124)	5,026,229	5,108,761	4	5,082,635
7. Total Bonds	2,833,320,495	306,720,651	370,493,259	(464,703)	2,811,514,933	2,833,320,495	2,769,083,184	2,875,832,593
PREFERRED STOCK								
8. NAIC 1	3,087,056	0	0	0	3,087,056	3,087,056	3,087,056	3,572,800
9. NAIC 2	14,857,892	0	4,629,000	0	14,902,892	14,857,892	10,228,892	15,035,635
10. NAIC 3	3,495,501	0	327,432	0	3,662,236	3,495,501	3,168,069	3,850,997
11. NAIC 4	1,202,777	0	17,500	72,462	1,091,498	1,202,777	1,257,739	1,579,354
12. NAIC 5	0	0	0	0	0	0	0	
13. NAIC 6	1,292,911	20,164	0	(7,661)	1,292,911	1,292,911	1,305,414	1,292,911
14. Total Preferred Stock	23,936,137	20,164	4,973,932	64,801	24,036,593	23,936,137	19,047,170	25,331,697
15. Total Bonds and Preferred Stock	2,857,256,632	306,740,815	375,467,191	(399,902)	2,835,551,526	2,857,256,632	2,788,130,354	2,901,164,290

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$ 16,092,335 ; NAIC 2 \$ 6,899,975 ; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	1,993,333	xxx	1,993,333		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,985,689	0
2. Cost of short-term investments acquired	18,684,677	17,855,886
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	36,241	16,868
6. Deduct consideration received on disposals	18,713,274	15,887,065
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,993,333	1,985,689
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	1,993,333	1,985,689

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 10, prior year)	(40,425,999)
2.	Cost Paid/(Consideration Received) on additions	25,954,995
3.	Unrealized Valuation increase/(decrease)	13,796,674
4.	SSAP No. 108 adjustments	
5.	Total gain (loss) on termination recognized	(9,027,805)
6.	Considerations received/(paid) on terminations	392,141
7.	Amortization	
8.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
9.	Total foreign exchange change in Book/Adjusted Carrying Value	(7,442)
10.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4+5-6+7+8+9)	(10,101,718)
11.	Deduct nonadmitted assets	
12.	Statement value at end of current period (Line 10 minus Line 11)	(10,101,718)

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.25	SSAP No. 108 adjustments	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item	
	4.22 Amount recognized	
	4.23 SSAP No. 108 adjustments	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	(10, 101, 720)
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2)	(10, 101, 720)
4.	Part D, Section 1, Column 5	24, 607, 017
5.	Part D, Section 1, Column 6	(34, 708, 737)
6.	Total (Line 3 minus Line 4 minus Line 5)	0
		Fair Value Check
7.	Part A, Section 1, Column 16	(10, 101, 720)
8.	Part B, Section 1, Column 13	
9.	Total (Line 7 plus Line 8)	(10, 101, 720)
10.	Part D, Section 1, Column 8	24, 607, 017
11.	Part D, Section 1, Column 9	(34, 708, 737)
12.	Total (Line 9 minus Line 10 minus Line 11)	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21	0
14.	Part B, Section 1, Column 20	
15.	Part D, Section 1, Column 11	0
16.	Total (Line 13 plus Line 14 minus Line 15)	0

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	73,971,810	90,997,733
2. Cost of cash equivalents acquired	1,749,827,588	4,874,761,033
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	11,618	91,381
6. Deduct consideration received on disposals	1,737,888,549	4,891,878,337
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	85,922,467	73,971,810
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	85,922,467	73,971,810

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition
CRE 00001 05 WS OCCUPY	CINCINNATI	..OH.....	..01/01/1901.....	VARIOUS			..12,254,738.....	757
CRE 00011 05 WS OCCUPY	CINCINNATI	..OH.....	..01/01/1950.....	VARIOUS			..1,304,270.....	5,000
CRE 01471 4S APARTMENT	CINCINNATI	..OH.....	..08/30/1967.....	VARIOUS			..1,650,567.....	6,433
CRE 01560 05 WS OCCUPY	CINCINNATI	..OH.....	..06/05/1990.....	INTERNAL TRANSFER			..1,332,669.....	31,215
0199999. Acquired by Purchase					0	0	16,542,244	43,405
0399999 - Totals					0	0	16,542,244	43,405

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depreciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in Book/ Adjusted Carrying Value (11-9-10)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs and Expenses Incurred
0399999 - Totals																			

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment						14 Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	15 Consid- eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's Other- Than- Temporary Impairment Recognized	10 Capitalized Deferred Interest and Other	11 Total Change in Book Value (8+9-10+11)	12 Total Foreign Exchange Change in Book Value	13					
0044682	Cincinnati	OH		12/01/2011		23,548	0	0	0	0	0	0	0	290	0	0	0
0044690	Covington	KY		12/01/2011		76,606	0	0	0	0	0	0	0	697	0	0	0
0044692	Cincinnati	OH		12/01/2011		48,022	0	0	0	0	0	0	0	282	0	0	0
0044696	North Charleston	SC		10/28/2016		11,993,147	0	0	0	0	0	0	0	88,770	0	0	0
0044697	North Charleston	SC		11/01/2016		1,660,590	0	0	0	0	0	0	0	12,291	0	0	0
0044698	San Jose	CA		12/01/2017		5,606,444	0	0	0	0	0	0	0	52,775	0	0	0
0044701	Lady Lake	FL		02/20/2020		0	0	0	0	0	0	0	0	63,370	0	0	0
0299999. Mortgages with partial repayments						19,408,357	0	0	0	0	0	0	0	218,475	0	0	0
0599999 - Totals						19,408,357	0	0	0	0	0	0	0	218,475	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6 NAIC Designation and Admini- strative Symbo	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner		Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
	THL Credit DIRECT LENDING FUND III LLC	BOSTON	MA	THL Credit DIRECT LENDING FUND III LLC	1FE	11/18/2016			57,074		647,255	1.560
1599999. Joint	Venture Interests - Fixed Income - NAIC Designation Assigned by the SVO - Unaffiliated							0	57,074	0	647,255	XXX
349188-10-8	FT WASHINGTON FIXED INCOME LLC FIXED INCOME LLC	CINCINNATI	OH	FT WASHINGTON FIXED INCOME LLC FIXED INCOME LLC		09/27/2007			20,000,000			27.460
1699999. Joint	Venture Interests - Fixed Income - NAIC Designation Assigned by the SVO - Affiliated							0	20,000,000	0	0	XXX
	57 STARS Global Opportunity Fund 3	WASHINGTON	DC	57 STARS Global Opportunity Fund 3		06/04/2013			173,735		1,594,848	17.340
	Astor Place Holdings FUND I	NEW YORK	NY	Astor Place Holdings FUND I		06/26/2007			601,441		3,322,539	2.340
	BRIDGE GROWTH PARTNERS LP	CHICAGO	IL	BRIDGE GROWTH PARTNERS LP		07/14/2014			50,000		290,328	3.230
	CHAMBERS Energy Capital II LP	HOUSTON	TX	CHAMBERS Energy Capital II LP		07/06/2012	2		6,317		25,103	0.740
	Cintrifuse Syndicate Fund II Syndicate Fund II LLC	CINCINNATI	OH	Cintrifuse Syndicate Fund II Syndicate Fund II LLC		09/18/2017			153,246		3,701,582	14.600
	Core Innovation Capital III LP	LOS ANGELES	CA	Core Innovation Capital III LP		07/29/2019			35,957		5,737,157	13.400
	CORSAIR III FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR III FS CAPITAL PARTNERS LP		06/29/2007			15,513		498,079	1.000
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR IV FS CAPITAL PARTNERS LP		06/20/2011			20,075		4,206,611	0.970
	Further Global Capital Further Global Capital	NEW YORK	NY	Further Global Capital Further Global Capital		07/18/2017			2,480,932		2,459,341	2.680
	KKR ASSOCIATES	NEW YORK	NY	KKR ASSOCIATES		10/05/2006	3		312		206,163	0.090
	Portag3 L.P.	TORONTO	ON	Portag3 L.P.		09/23/2019			854,999			0.000
	PROVIDENCE EQUITY PARTNERS VII L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PARTNERS VII L.P.		03/04/2013	1		89,224		1,254,440	0.370
	Sands Capital Private Growth F	Arlington	VA	Sands Capital Private Growth F		06/28/2017			326,148		450,644	
	Sands Capital Private Growth F Global Innovation Fund C-1	Arlington	VA	Sands Capital Private Growth F Global Innovation Fund C-1		06/29/2017			3,095,151		6,353,888	6.720
	Sands Capital Private Growth F Sands Capital Life Sciences	Arlington	VA	Sands Capital Private Growth F Sands Capital Life Sciences		06/30/2017			3,118,097		7,197,046	7.180
	SILVER LAKE PARTNERS L.P.	WILMINGTON	DE	SILVER LAKE PARTNERS L.P.		04/30/2019	3		364			0.010
	SNOW PHIPPS II LP	NEW YORK	NY	SNOW PHIPPS II LP		08/11/2010			21,995		190,506	0.580
	SNOW PHIPPS III LP	NEW YORK	NY	SNOW PHIPPS III LP		01/19/2017			544,608		1,342,657	0.520
	SOLAMERE CAPITAL FUND Fund II A	BOSTON	MA	SOLAMERE CAPITAL FUND Fund II A		06/20/2014			115,833		2,785,249	5.420
	SOLAMERE CAPITAL FUND Fund III	BOSTON	MA	SOLAMERE CAPITAL FUND Fund III		11/20/2018			1,868,847		13,011,939	3.470
	SOLAMERE CAPITAL FUND Fund III Co-Investment	BOSTON	MA	SOLAMERE CAPITAL FUND Fund III Co-Investment		04/29/2019			6,981		549,235	
	Vestigo Ventures LP Vestigo Ventures Fund I	BOSTON	MA	Vestigo Ventures LP Vestigo Ventures Fund I		01/18/2018			250,000			0.000
1999999. Joint	Venture Interests - Common Stock - Unaffiliated							0	13,829,776	0	55,177,355	XXX
	FT. WASHINGTON PRIVATE EQUITY IX L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY IX L.P.		07/17/2017	3		452,500		1,666,375	9.180
	FT. WASHINGTON PRIVATE EQUITY IX-B L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY IX-B L.P.		06/10/2016	3		4,585,435		37,829,839	99.500
	FT. WASHINGTON PRIVATE EQUITY X-B L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY X-B L.P.		11/23/2018			5,000,000		77,000,000	99.500
	WSL PARTNERS L.P.	CINCINNATI	OH	WSL PARTNERS L.P.		11/14/2003	1		31,867		6,859,946	95.320
2099999. Joint	Venture Interests - Common Stock - Affiliated							0	10,069,802	0	123,356,160	XXX
000000-00-0	309 HOLDINGS, LLC	CINCINNATI	OH	CITY CLUB	0000000	08/02/2016		0	650,000		0	1.000
2199999. Joint	Venture Interests - Real Estate - Unaffiliated							0	650,000	0	0	XXX
000000-00-0	W&S REAL ESTATE HOLDINGS, LLC	CINCINNATI	OH	WSLIC	0000000	12/01/2006		0	31,610,949		82,397,973	100.000
000000-00-0	CHESTNUT HEALTHCARE PARTNERS LP	CHATTANOOGA	TN	CHESTNUT HEALTHCARE GP LLC	0000000	12/14/2018			1,358,167		0	21.350
2299999. Joint	Venture Interests - Real Estate - Affiliated							0	32,969,116	0	82,397,973	XXX
	ABRY ADVANCED SECURITIES FUND IV	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND IV		02/20/2019	2		125,000		15,113,509	0.150
	ABRY SENIOR EQUITY III LP	BOSTON	MA	ABRY SENIOR EQUITY III LP		08/09/2010	3		4,128		363,625	0.530
	Hark Capital I FUND LP	NEW YORK	NY	Hark Capital I FUND LP		12/01/2014			129,011		3,679,287	21.330
	Summit Partners II LP	CAYMAN ISLANDS	CYM	Summit Partners II LP		11/25/2014			373,497		2,239,195	3.570
2599999. Joint	Venture Interests - Other - Unaffiliated							0	631,636	0	21,395,617	XXX
4899999. Total - Unaffiliated								0	15,168,486	0	77,220,227	XXX
4999999. Total - Affiliated								0	63,038,918	0	205,754,133	XXX
5099999 - Totals								0	78,207,404	0	282,974,360	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
	THL Credit DIRECT LENDING FUND III LLC	BOSTON	MA	THL Credit DIRECT LENDING FUND III LLC	11/18/2016	07/27/2020	162,250					0		162,250	162,250				0
1599999. Joint Venture Interests - Fixed Income - NAIC Designation Assigned by the SVO - Unaffiliated								0	0	0	0	0	0	162,250	162,250	0	0	0	0
349188-10-8	FT WASHINGTON FIXED INCOME LLC FIXED INCOME LLC	CINCINNATI	OH	FT WASHINGTON FIXED INCOME LLC FIXED INCOME LLC	09/27/2007	09/17/2018	20,000,000					0		20,000,000	20,000,000			0	20,000,000
1699999. Joint Venture Interests - Fixed Income - NAIC Designation Assigned by the SVO - Affiliated								0	0	0	0	0	0	20,000,000	20,000,000	0	0	0	0
	57 STARS Global Opportunity Fund 3	WASHINGTON	DC	57 STARS Global Opportunity Fund 3	06/04/2013	08/24/2020	248,965					0		248,965	248,965			0	1,054,360
	AUDAX MEZZANINE IV	WILMINGTON	DE	AUDAX MEZZANINE IV	09/30/2016	07/10/2020	128,398					0		128,398	128,398			0	714,962
	Audax Direct Lending Solutions D	WILMINGTON	DE	Audax Direct Lending Solutions D	10/24/2018	07/27/2020	237,571					0		237,571	237,571			0	152,365
	Benefit Street Partners Debt Fund IV LP	WILMINGTON	DE	Benefit Street Partners Debt Fund IV LP	01/24/2017	08/10/2020	83,673					0		83,673	83,673			0	121,987
	CHAMBERS Energy Capital II LP	HOUSTON	TX	CHAMBERS Energy Capital II LP	07/06/2012	08/11/2020	11,514					0		11,514	11,514			0	
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	NY	CORSAIR IV FS CAPITAL PARTNERS LP	06/20/2011	07/01/2020	3,964,564					0		3,964,564	3,964,564			0	1,946,402
	DRAPER FISHER JURVETSON FUND IX PARTNERS L.P.	CAYMAN ISLANDS	CYM	DRAPER FISHER JURVETSON FUND IX PARTNERS L.P.	04/12/2007	08/26/2020	356,436					0		356,436	356,436			0	144,768
	FTV Capital IV	SAN FRANCISCO	CA	FTV Capital IV	12/27/2013	08/12/2020	134,946					0		134,946	134,946			0	5,847,886
	KKR ASSOCIATES	NEW YORK	NY	KKR ASSOCIATES	10/05/2006	07/10/2020	448,068					0		448,068	448,068			0	137,515
	PROVIDENCE EQUITY PARTNERS VII L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PARTNERS VII L.P.	03/04/2013	07/09/2020	1,679,439					0		1,679,439	1,679,439			0	
	PROVIDENCE EQUITY PRNTS VI L.P.	PROVIDENCE	RI	PROVIDENCE EQUITY PRNTS VI L.P.	03/16/2007	08/21/2020	228,787					0		228,787	228,787			0	
	Sands Capital Private Growth F	Arlington	VA	Sands Capital Private Growth F	06/28/2017	09/11/2020	993,823					0		993,823	993,823			0	2,306,488
	SILVER LAKE PARTNERS III	WILMINGTON	DE	SILVER LAKE PARTNERS III	08/30/2007	09/25/2020	116,098					0		116,098	116,098			0	110,877
	SOLAMERE CAPITAL FUND Fund II A	BOSTON	MA	SOLAMERE CAPITAL FUND Fund II A	06/20/2014	08/31/2020	296,354					0		296,354	296,354			0	880,255
	SOLAMERE CAPITAL FUND II A Co-Investment	BOSTON	MA	SOLAMERE CAPITAL FUND II A Co-Investment	11/03/2014	08/31/2020	25,506					0		25,506	25,506			0	267,741
	SOLAMERE CAPITAL FUND Fund III	BOSTON	MA	SOLAMERE CAPITAL FUND Fund III	11/20/2018	09/29/2020	868,847					0		868,847	868,847			0	
	SOLAMERE CAPITAL FUND Fund III Co-Investment	BOSTON	MA	SOLAMERE CAPITAL FUND Fund III Co-Investment	04/29/2019	09/04/2020	12,243					0		12,243	12,243			0	185,771
	WATERFALL EDEN FUND LP			WATERFALL EDEN FUND LP	11/01/2017	07/15/2020	248,505					0		248,505	248,505			0	
1999999. Joint Venture Interests - Common Stock - Unaffiliated								0	0	0	0	0	0	10,083,736	10,083,736	0	0	0	13,871,377
	FT. WASHINGTON PRIVATE EQUITY IV L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY IV L.P.	12/08/2000	09/25/2020	225,000					0		225,000	225,000			0	
	FT. WASHINGTON PRIVATE EQUITY OPPORTUNITIES FUND II LP	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY OPPORTUNITIES FUND II LP	11/29/2004	09/29/2020	35,080					0		35,080	35,080			0	
	FT. WASHINGTON PRIVATE EQUITY V L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY V L.P.	05/15/2006	08/30/2020	787,500					0		787,500	787,500			0	
	FT. WASHINGTON PRIVATE EQUITY VI L.P.	CINCINNATI	OH	FT. WASHINGTON PRIVATE EQUITY VI L.P.	10/25/2007	09/29/2020	900,000					0		900,000	900,000			0	
	TRI-STATE GROWTH CAPITAL FND I	CINCINNATI	OH	TRI-STATE GROWTH CAPITAL FND I	12/18/2006	09/17/2020	25,000					0		25,000	25,000			0	
	TRI-STATE FUND II GROWTH CAPITAL FUND II LP	CINCINNATI	OH	TRI-STATE FUND II GROWTH CAPITAL FUND II LP	11/30/2001	09/17/2020	104,999					0		104,999	104,999			0	
2099999. Joint Venture Interests - Common Stock - Affiliated								0	0	0	0	0	0	2,077,579	2,077,579	0	0	0	0
000000-00-0	W&S REAL ESTATE HOLDINGS LLC	Cincinnati	OH	Cash Return Dist.	12/01/2006	09/29/2020	897,605,678					0		15,209,515	15,209,515			0	
000000-00-0	Chestnut Healthcare Partners, LP	Chattanooga	TN	Cash Return Dist.	02/21/1995	08/18/2020	7,584,332					0		(149,493)	(149,493)			0	0
2299999. Joint Venture Interests - Real Estate - Affiliated								0	0	0	0	0	0	15,060,022	15,060,022	0	0	0	0
	ABRY ADVANCED SECURITIES FUND IV	CAYMAN ISLANDS	CYM	ABRY ADVANCED SECURITIES FUND IV	02/20/2019	08/10/2020	885,266					0		885,266	885,266			0	67,467
	ABRY SENIOR EQUITY III LP	BOSTON	MA	ABRY SENIOR EQUITY III LP	08/09/2010	09/25/2020	8,758					0		8,758	8,758			0	
	ABRY SENIOR EQUITY IV LP	BOSTON	MA	ABRY SENIOR EQUITY IV LP	12/28/2012	07/22/2020	234,636					0		234,636	234,636			0	
	Ares Capital Europe II	CAYMAN ISLANDS	CYM	Ares Capital Europe II	03/27/2013	09/18/2020	83,139					0		83,139	83,139			0	245,698
	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	DALLAS	TX	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	08/30/2013	08/04/2020	295,403					0		295,403	295,403			0	160,870
	ENERGY FUND XV	LOS ANGELES	CA	ENERGY FUND XV	12/10/2010	07/20/2020	774,786					0		774,786	774,786			0	
	GARRISON OPPORTUNITIES FUND II A LLC	SAN FRANCISCO	CA	GARRISON OPPORTUNITIES FUND II A LLC	03/08/2011	07/16/2020	68,988					0		68,988	68,988			0	
	Hark Capital I FUND LP	NEW YORK	NY	Hark Capital I FUND LP	12/01/2014	07/24/2020	2,951,707					0		2,951,707	2,951,707			0	191,502
	H.I.G. BAYSIDE II (3) LP	MIAMI	FL	H.I.G. BAYSIDE II (3) LP	06/17/2008	09/30/2020	181,696					0		181,696	181,696			0	339,072
	MCP PRIVATE CAPITAL FUND II	CAYMAN ISLANDS	CYM	MCP PRIVATE CAPITAL FUND II	09/30/2014	08/07/2020	1,462,804					0		1,462,804	1,462,804			0	
	PROVIDENCE DEBT OPPS III L.P.	PROVIDENCE	RI	PROVIDENCE DEBT OPPS III L.P.	09/16/2013	07/14/2020	898,108					0		898,108	898,108			0	
	ROYALTY OPPORTUNITIES	LUXEMBOURG	LUX	ROYALTY OPPORTUNITIES	08/30/2011	09/28/2020	453,333					0		453,333	453,333			0	
	TCW Direct Lending LLC	LOS ANGELES	CA	TCW Direct Lending LLC	03/31/2015	09/29/2020	869,146					0		869,146	869,146			0	161,415

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Unrealized Valuation Increase (De- crease)	Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	Current Year's Other Than Temporary Impair- ment Recog- nized	Capital- ized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encum- brances on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest- ment Income
2599999. Joint Venture Interests - Other - Unaffiliated							9,167,772	0	0	0	0	0	0	9,167,772	9,167,772	0	0	0	1,166,024
4899999. Total - Unaffiliated							19,413,758	0	0	0	0	0	0	19,413,758	19,413,758	0	0	0	15,037,401
4999999. Total - Affiliated							927,267,589	0	0	0	0	0	0	37,137,601	37,137,601	0	0	0	20,000,000
5099999 - Totals							946,681,347	0	0	0	0	0	0	56,551,359	56,551,359	0	0	0	35,037,401

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
36176F-Z9-2	G2 G2 765168 4.940% 11/20/61		.08/01/2020	Interest Capitalization		138	138	.0	1
38375B-JH-3	GNR 2011-H02 BA 4.450% 02/20/61		.09/01/2020	Interest Capitalization		2,916	2,916	.0	1
91282C-AJ-0	US TREASURY N/B 0.250% 08/31/25		.09/28/2020	MORGAN STANLEY FIXED INC		224,791	225,000	.37	1FE
0599999. Subtotal - Bonds - U.S. Governments						227,845	228,054	37	XXX
3136A3-EE-7	FNR 2011-143 PZ 4.500% 01/25/42		.09/01/2020	Interest Capitalization		49,626	49,626	.0	1
3136AH-VS-6	FNR 2013-136 CZ 3.500% 01/25/44		.09/01/2020	Interest Capitalization		22,027	22,027	.0	1
3136AU-Q9-5	FNR 2016-98 BZ 4.000% 01/25/57		.09/01/2020	Interest Capitalization		96,477	96,477	.0	1
3137F3-KA-1	FHR FHR 4768 ZQ 4.000% 10/15/47		.09/01/2020	Interest Capitalization		55,129	55,129	.0	1
31397Q-3L-0	FNR 2010-149 ZC 4.500% 01/25/41		.09/01/2020	Interest Capitalization		86,510	86,510	.0	1
3199999. Subtotal - Bonds - U.S. Special Revenues						309,769	309,769	.0	XXX
110122-CR-7	BRISTOL-MYERS SQUIBB 4.250% 10/26/49		.07/17/2020	Tax Free Exchange		4,958,323	5,000,000	47,813	1FE
110122-DJ-4	BRISTOL-MYERS SQUIBB 5.000% 08/15/45		.07/17/2020	Tax Free Exchange		2,814,986	2,500,000	52,778	1FE
14913R-2E-6	CATERPILLAR FINL SERVICE 0.524% 01/06/22		.07/06/2020	J P MORGAN SEC FIXED INC		1,000,000	1,000,000	.0	1FE
24704G-AA-1	Dell Equipment F20202 rust 2020-2 A1 0.315% 09/22/21		.09/15/2020	RBC/DAIN		500,000	500,000	.0	1FE
581557-BM-6	MCKESSON CORP 3.650% 11/30/20		.07/14/2020	US BANCORP		374,425	370,000	1,726	2FE
66981F-AB-6	AMSR 2020-SFR4 B 1.706% 11/17/37		.09/16/2020	NOMURA SECURITIES INTERNATIONA		8,999,789	9,000,000	.0	1FE
694308-JM-0	PACIFIC GAS & EL 4.550% 07/01/30		.07/13/2020	Taxable Exchange		2,794,375	2,500,000	.0	2FE
694308-JN-8	PACIFIC GAS & EL 4.950% 07/01/50		.07/13/2020	Taxable Exchange		2,901,900	2,500,000	.0	2FE
75970J-AJ-5	RAMC 2007-1 AF6 5.710% 04/25/37		.08/01/2020	Interest Capitalization		.0	.0	.0	1FM
80285W-AA-5	Santander Drive 20203 eivab1 2020-3 A1 0.282% 10/15/21		.09/15/2020	RBC/DAIN		850,000	850,000	.0	1FE
89236T-HE-8	TOYOTA 0.415% 02/14/22		.08/11/2020	J P MORGAN SEC FIXED INC		2,200,000	2,200,000	.0	1FE
09659W-2M-5	BNP PARIBAS 1.904% 09/30/28	D.	.09/23/2020	BNP SECURITIES		10,000,000	10,000,000	.0	1Z
83611V-AG-3	SNDPT 2020-2A B1 2.147% 10/25/31	D.	.09/16/2020	CITIGROUP GLOBAL MKTS		5,000,000	5,000,000	.0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						42,393,798	41,420,000	102,317	XXX
8399997. Total - Bonds - Part 3						42,931,412	41,957,823	102,354	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						42,931,412	41,957,823	102,354	XXX
AFXCLA-SS-B	AFX AFX Class B Units		.08/06/2020	PRIVATE PLACEMENT	4,000	.0	.0	.0	6*
67034H-12-2	Indigo Technologies, INC Class A		.07/01/2020	PRIVATE PLACEMENT	64,010,000	12,500	.0	.0	6*
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						20,164	XXX	.0	XXX
8999997. Total - Preferred Stocks - Part 3						20,164	XXX	.0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						20,164	XXX	.0	XXX
024835-10-0	AMERICAN CAMPUS COMMUNITIES REIT		.07/21/2020	ADVANTUS CAPITAL MANAGEMENT	600,000	20,134	.0	.0	
02553E-10-6	AMERICAN EAGLE OUTFITTERS		.07/30/2020	S. G. COWEN SECURITIES CORP.	168,000,000	1,745,100	.0	.0	
03064D-10-8	AMERICOLD REALTY TRUST REIT		.09/29/2020	ADVANTUS CAPITAL MANAGEMENT	6,900,000	254,582	.0	.0	
03676B-10-2	ANTERO MIDSTREAM CORP		.09/24/2020	Various	76,100,000	522,082	.0	.0	
037833-10-0	APPLE INC		.08/31/2020	Stock Split	220,140,000	.0	.0	.0	
09257W-10-0	BLACKSTONE MORTGAGE TRU-CL A REIT		.09/24/2020	ADVANTUS CAPITAL MANAGEMENT	700,000	15,218	.0	.0	
101121-10-1	BOSTON PROPERTIES INC REIT		.09/30/2020	ADVANTUS CAPITAL MANAGEMENT	1,500,000	124,353	.0	.0	
11120U-10-5	BRIXMOR PROPERTY GROUP INC REIT		.08/31/2020	ADVANTUS CAPITAL MANAGEMENT	2,900,000	34,128	.0	.0	
11135E-10-4	BROADSTONE NET LEASE INC-A COMMON		.09/17/2020	ADVANTUS CAPITAL MANAGEMENT	25,200,000	427,517	.0	.0	
11284V-10-5	BROOKFIELD RENEWABLE COR-A COMMON		.07/30/2020	Spin Off	1,687,000	41,536	.0	.0	
125896-10-0	CHS ENERGY CORP		.08/26/2020	ADVANTUS CAPITAL MANAGEMENT	500,000	29,819	.0	.0	
133131-10-2	CAMDEN PROPERTY TRUST REIT		.08/17/2020	ADVANTUS CAPITAL MANAGEMENT	1,200,000	106,692	.0	.0	
14427M-10-7	US AUTO PARTS NETWORK INC COMMON		.07/28/2020	Tax Free Exchange	36,021,000	50,429	.0	.0	
14912Y-20-2	CATCHMARK TIMBER TRUST INC-A REIT		.09/23/2020	ADVANTUS CAPITAL MANAGEMENT	1,100,000	9,608	.0	.0	
15189T-10-7	CENTERPOINT ENERGY		.09/01/2020	ADVANTUS CAPITAL MANAGEMENT	12,300,000	249,151	.0	.0	
16411Q-10-1	CHENIERE ENERGY PARTNERS LP LIMITED PARTNERS		.09/08/2020	ADVANTUS CAPITAL MANAGEMENT	700,000	24,100	.0	.0	
16411R-20-8	CHENIERE ENERGY INC		.09/30/2020	Various	6,250,000	302,433	.0	.0	
18539C-10-5	NRG YIELD INC-CLASS A COMMON		.09/10/2020	ADVANTUS CAPITAL MANAGEMENT	4,400,000	98,370	.0	.0	
21870Q-10-5	CORESITE REALTY CORP REIT		.09/04/2020	ADVANTUS CAPITAL MANAGEMENT	200,000	23,300	.0	.0	
22002T-10-8	CORPORATE OFFICE PROPERTIES REIT		.09/22/2020	ADVANTUS CAPITAL MANAGEMENT	5,500,000	136,319	.0	.0	
226344-20-8	CRESTWOOD EQUITY PARTNERS LP LIMITED PARTNERS		.07/17/2020	HOWARD WEIL	1,000,000	14,323	.0	.0	
23283R-10-0	CYRUSONE INC REIT		.09/24/2020	ADVANTUS CAPITAL MANAGEMENT	500,000	35,560	.0	.0	
252784-30-1	DIAMONDROCK HOSPITALITY CO REIT		.09/22/2020	ADVANTUS CAPITAL MANAGEMENT	16,300,000	85,442	.0	.0	
25746U-10-9	DOMINION RESOURCES		.08/20/2020	ADVANTUS CAPITAL MANAGEMENT	700,000	54,824	.0	.0	
25960P-10-9	DOUGLAS EMMETT INC REIT		.09/17/2020	ADVANTUS CAPITAL MANAGEMENT	1,200,000	33,178	.0	.0	
26441C-20-4	DUKE ENERGY		.08/28/2020	ADVANTUS CAPITAL MANAGEMENT	2,500,000	202,224	.0	.0	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
26614N-10-2	DUPONT DE NEMOURS INC		08/26/2020	Various	8,749,000	476,861		0	
27616P-10-3	EASTERLY GOVERNMENT PROPERTI COMMON		09/21/2020	ADVANTUS CAPITAL MANAGEMENT	5,900,000	136,900		0	
292480-10-0	ENABLE MIDSTREAM PARTNERS LP LIMITED PARTNERS		07/22/2020	Various	7,500,000	33,883		0	
29273V-10-0	ENERGY TRANSFER EQUITY LP		08/04/2020	Various	90,206,000	588,586		0	
29336T-10-0	ENLINK MIDSTREAM LLC		08/05/2020	STIFEL NICHOLAS	22,500,000	69,314		0	
29364G-10-3	Entergy Corp		08/27/2020	ADVANTUS CAPITAL MANAGEMENT	2,000,000	202,256		0	
293792-10-7	ENTERPRISE PRODUCTS PARTNERS		09/23/2020	Various	19,166,000	332,293		0	
294600-10-1	EQUITRANS MIDSTREAM CORP		09/30/2020	Various	16,600,000	153,478		0	
29670E-10-7	ESSENTIAL PROPERTIES REALTY REIT		08/26/2020	ADVANTUS CAPITAL MANAGEMENT	5,600,000	87,032		0	
297178-10-5	ESSEX PROPERTY TRUST INC REIT		09/30/2020	ADVANTUS CAPITAL MANAGEMENT	900,000	184,509		0	
30034W-10-6	EVERGY INC		08/20/2020	ADVANTUS CAPITAL MANAGEMENT	800,000	41,681		0	
30303M-10-2	FACEBOOK INC-A		09/10/2020	Various	2,993,000	755,153		0	
36467J-10-8	GAMING AND LEISURE PROPERTIE REIT		09/11/2020	DIVIDEND REINVESTMENT	102,000	3,889		0	
374297-10-9	GETTY REALTY CORP REIT		09/25/2020	ADVANTUS CAPITAL MANAGEMENT	2,400,000	60,939		0	
38141G-10-4	GOLDMAN SACHS GROUP INC		08/25/2020	Various	2,962,000	616,776		0	
40412C-10-1	HCA HOLDINGS INC		09/03/2020	Various	8,967,000	1,066,682		0	
42225P-50-1	HEALTHCARE TRUST OF AME-CL A REIT		08/17/2020	ADVANTUS CAPITAL MANAGEMENT	1,100,000	28,086		0	
43300A-20-3	HILTON WORLDWIDE HOLDINGS IN		09/25/2020	Various	29,203,000	2,421,449		0	
443510-60-7	HUBBELL INC -CL B		08/14/2020	Various	4,544,000	603,294		0	
444097-10-9	HUDSON PACIFIC PROPERTIES IN REIT		09/10/2020	ADVANTUS CAPITAL MANAGEMENT	5,600,000	133,744		0	
45378A-10-6	INDEPENDENCE REALTY TRUST IN REIT		09/25/2020	ADVANTUS CAPITAL MANAGEMENT	15,700,000	180,399		0	
459200-10-1	IBM		08/21/2020	S. G. COWEN SECURITIES CORP.	11,020,000	1,373,736		0	
478160-10-4	JOHNSON & JOHNSON		08/05/2020	S. G. COWEN SECURITIES CORP.	2,856,000	422,815		0	
48020Q-10-7	JONES LANG LASALLE INC		09/03/2020	S. G. COWEN SECURITIES CORP.	4,695,000	450,144		0	
49456B-10-1	KINDER MORGAN		09/02/2020	Various	30,768,000	443,426		0	
53223X-10-7	LSI		08/19/2020	ADVANTUS CAPITAL MANAGEMENT	1,100,000	111,810		0	
55336V-10-0	MPLX LP LIMITED PARTNERS		09/24/2020	Various	24,002,000	444,417		0	
55608B-10-5	MACQUARIE INFRASTRUCTURE CO		08/25/2020	Various	22,250,000	644,269		0	
559080-10-6	MAGELLAN MIDSTREAM PRTN		09/23/2020	Various	10,009,000	387,688		0	
59522J-10-3	MID-AMERICA APARTMENT COMM REIT		09/10/2020	ADVANTUS CAPITAL MANAGEMENT	2,700,000	313,657		0	
61174X-10-9	MONSTER BEVERAGE CORP		09/03/2020	Various	615,000	50,597		0	
629377-50-8	NRG ENERGY INC		09/16/2020	ADVANTUS CAPITAL MANAGEMENT	4,700,000	157,237		0	
636180-10-1	NATIONAL FUEL GAS CO		07/06/2020	STIFEL NICOLAUS & CO-EQ	2,000,000	83,622		0	
637417-10-6	NATL RETAIL PROP REIT		08/04/2020	ADVANTUS CAPITAL MANAGEMENT	400,000	14,286		0	
65473P-10-5	NISOURCE INC		09/01/2020	ADVANTUS CAPITAL MANAGEMENT	7,000,000	159,804		0	
682680-10-3	ONEOK INC		07/15/2020	Various	8,438,000	251,017		0	
69318Q-10-4	PBF LOGISTICS LP LIMITED PARTNERS		09/04/2020	ADVANTUS CAPITAL MANAGEMENT	13,100,000	121,387		0	
718172-10-9	PHILIP MORRIS INTERNAT-N/I		09/17/2020	Various	2,384,000	187,790		0	
718549-20-7	PHILLIPS 66 PARTNERS LP LIMITED PARTNERS		08/11/2020	Various	8,000,000	236,778		0	
723484-10-1	PINNACLE WEST CAP CORP		09/30/2020	ADVANTUS CAPITAL MANAGEMENT	3,127,000	228,089		0	
726503-10-5	PLAINS ALL AMER PIPELINE LP		09/08/2020	ADVANTUS CAPITAL MANAGEMENT	32,900,000	254,628		0	
72651A-20-7	PLAINS GP HOLDINGS LP-CL A		08/04/2020	Various	143,572,000	1,215,869		0	
74340W-10-3	PROLOGIS INC REIT		09/24/2020	ADVANTUS CAPITAL MANAGEMENT	600,000	57,820		0	
74460D-10-9	PUBLIC STORAGE INC REIT		07/28/2020	ADVANTUS CAPITAL MANAGEMENT	300,000	56,621		0	
74965L-10-1	RLJ LODGING TRUST REIT		08/31/2020	ADVANTUS CAPITAL MANAGEMENT	3,600,000	32,061		0	
75513E-10-1	RAYTHEON CO RAYTEON		09/30/2020	Various	30,220,000	1,861,665		0	
758849-10-3	REGENCY CENTERS CORP REIT		09/11/2020	ADVANTUS CAPITAL MANAGEMENT	3,500,000	141,514		0	
76131N-10-1	RETAIL OPPORTUNITY INVESTMEN REIT		09/24/2020	ADVANTUS CAPITAL MANAGEMENT	6,300,000	67,812		0	
78467J-10-0	SS&C TECHNOLOGIES		09/17/2020	Various	4,924,000	304,306		0	
816851-10-9	SEMPRA ENERGY		07/06/2020	Various	1,000,000	121,103		0	
82669G-10-4	SIGNATURE BANK		08/25/2020	S. G. COWEN SECURITIES CORP.	7,644,000	797,499		0	
838518-10-8	SOUTH JERSEY INDUSTRIES		09/14/2020	ADVANTUS CAPITAL MANAGEMENT	2,800,000	63,704		0	
85254J-10-2	STAG INDUSTRIAL INC REIT		09/24/2020	ADVANTUS CAPITAL MANAGEMENT	500,000	14,812		0	
855244-10-9	STARBUCKS CORP		08/25/2020	Various	1,893,000	150,194		0	
866082-10-0	SUMMIT HOTEL PROPERTIES REIT		08/31/2020	ADVANTUS CAPITAL MANAGEMENT	1,600,000	9,520		0	
866674-10-4	SUN COMMUNITIES INC REIT		07/13/2020	ADVANTUS CAPITAL MANAGEMENT	200,000	27,177		0	
872307-10-3	TCF FINANCIAL CORP		09/25/2020	Various	41,400,000	933,247		0	
87233Q-10-8	TC PIPELINES LP		08/18/2020	HOWARD WEIL	3,550,000	119,746		0	
87612G-10-1	TARGA RESOURCES CORP		09/30/2020	Various	11,788,000	195,481		0	
882508-10-4	TEXAS INSTRUMENTS		08/05/2020	S. G. COWEN SECURITIES CORP.	1,101,000	145,675		0	
902653-10-4	UDR INC REIT		09/30/2020	ADVANTUS CAPITAL MANAGEMENT	2,500,000	84,711		0	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
90290N-10-9	USA COMPRESSION PARTNERS LP LIMITED PARTNERS		.09/30/2020	ADVANTUS CAPITAL MANAGEMENT	3,700,000	38,631		0	
91324P-10-2	UNITEDHEALTH GROUP INC		.07/24/2020	S. G. COWEN SECURITIES CORP.	925,000	278,184		0	
92276F-10-0	VENTAS INC REIT		.08/20/2020	ADVANTUS CAPITAL MANAGEMENT	5,600,000	226,972		0	
92763M-10-5	VIPER ENERGY PARTNERS LP LIMITED PARTNERS		.09/29/2020	ADVANTUS CAPITAL MANAGEMENT	3,900,000	30,722		0	
948741-10-3	WEINGARTEN REALTY INVST REIT		.09/24/2020	ADVANTUS CAPITAL MANAGEMENT	5,100,000	87,200		0	
95040Q-10-4	WELLTOWER INC WELLTOWER INC		.08/18/2020	ADVANTUS CAPITAL MANAGEMENT	3,200,000	180,228		0	
969457-10-0	WILLIAMS COS INC		.09/23/2020	Various	17,311,000	349,186		0	
000000-00-0	GIBSON ENERGY INC		.08/04/2020	Various	20,000,000	331,408		0	
000000-00-0	ENBRIDGE INC		.09/18/2020	ALTA CORP CAPITAL	1,250,000	38,349		0	
021361-10-0	ALTAGAS LTD		.07/06/2020	NAT BK FINANCIAL EQ	5,000,000	59,601		0	
11275Q-10-7	BROOKFIELD INFRASTRUCTURE-A COMMON	A.	.07/29/2020	ADVANTUS CAPITAL MANAGEMENT	1,400,000	64,162		0	
29250N-10-5	ENBRIDGE INC	A.	.09/29/2020	Various	15,800,000	495,037		0	
493271-10-0	KEYERA CORP		.09/24/2020	Various	33,000,000	528,249		0	
706327-10-3	PEMBINA PIPELINE CORP		.09/23/2020	Various	27,250,000	671,472		0	
87807B-10-7	TC ENERGY CORP		.09/29/2020	Various	24,600,000	1,091,706		0	
623296-20-8	COMPASS GROUP PLC	B.	.08/26/2020	JEFFERIES & CO INC-EQ	270,000,000	4,147,309		0	
FRESEN-IU-S	FRESENIUS SE & CO KGAA	B.	.09/10/2020	Various	106,900,000	4,929,776		0	
01609W-10-2	ALIBABA GROUP HOLDING-SP ADR RECEIPTS	D.	.08/05/2020	S. G. COWEN SECURITIES CORP.	1,651,000	437,726		0	
89677Q-10-7	TRIP.COM GROUP LTD-ADR RECEIPTS	D.	.09/21/2020	Various	12,689,000	355,680		0	
F5333N-10-0	JDECEAUX SA	B.	.09/10/2020	Various	56,750,000	1,100,454		0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						40,997,412	XXX	0	XXX
38174*-10-0	Golub Capital Investment Corp BDC 3		.09/11/2020	PRIVATE PLACEMENT	6,129,810	90,000		0	
9199999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						90,000	XXX	0	XXX
115233-20-7	BROWN ADV SUSTAIN GRW-INST MUTUAL FUND		.07/09/2020	PCG Model Accts	18,546,700	613,896		0	
14064D-86-5	Fuller & Thaler MUTUAL FUND		.07/09/2020	PCG Model Accts	64,522	1,500		0	
25264S-84-1	DIAMOND HILL LONG/SHORT-I MUTUAL FUND		.07/09/2020	PCG Model Accts	21,724,300	545,715		0	
46432F-33-9	ISHARES CORE MSCI EAFE ETF CLOSED END FUND		.07/09/2020	PCG Model Accts	5,709,000	556,843		0	
61756E-46-1	MFAIX MUTUAL FUND		.07/09/2020	PCG Model Accts	7,128,075	155,178		0	
81369Y-20-9	UTILITIES SELECT SPDR CLOSED END FUND		.07/20/2020	PCG Model Accts	10,056,000	1,049,730		0	
89154Q-18-2	TOUCHSTONE INTERNATIONAL EQUITY-Y		.09/25/2020	TOUCHSTONE SECURITIES	329,072	4,829		0	
89154Q-22-4	TOUCHSTONE SMALL COMPANY-Y		.09/01/2020	TOUCHSTONE SECURITIES	615,943	2,888		0	
89154W-78-3	TOUCHSTONE ACTIVE BOND - CLASS I		.07/09/2020	PCG Model Accts	8,224,046	91,780		0	
89154W-79-1	TOUCHSTONE CORE BOND FUND-Y		.09/28/2020	TOUCHSTONE SECURITIES	28,197,897	315,365		0	
89154W-81-7	TOUCHSTONE HIGH YIELD-Y		.09/28/2020	TOUCHSTONE SECURITIES	43,233,026	347,108		0	
89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y		.09/25/2020	TOUCHSTONE SECURITIES	190,135	9,310		0	
89154X-44-3	TOUCHSTONE VALUE FUND CLASS Y		.09/28/2020	TOUCHSTONE SECURITIES	4,679,783	39,428		0	
89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y		.09/25/2020	TOUCHSTONE SECURITIES	6,762,449	229,455		0	
89154X-63-3	TOUCHSTONE LARGE CAP GROWTH FUND CLASS I		.09/25/2020	TOUCHSTONE SECURITIES	19,678	438		0	
89155H-37-1	TOUCHSTONE MID CAP VALUE FUND CLASS Y		.09/28/2020	TOUCHSTONE SECURITIES	689,382	11,675		0	
89155H-82-7	TOUCHSTONE SANDS CAPITAL SELECT CLASS Y		.09/25/2020	TOUCHSTONE SECURITIES	13,137,030	226,526		0	
89155T-59-9	TOUCHSTONE CREDIT OPPS II FUND CLASS I		.08/03/2020	DIVIDEND REINVESTMENT	47,887,813	446,314		0	
89155T-66-4	TOUCHSTONE ULTRA SHORT FIXED CLASS Y		.09/01/2020	TOUCHSTONE SECURITIES	26,211,465	240,921		0	
921943-85-8	VANGUARD FTSE DEVELOPED ETF CLOSED END FUND		.07/09/2020	PCG Model Accts	4,146,000	164,167		0	
922020-81-3	VANGUARD GROUP MUTUAL FUND		.07/09/2020	PCG Model Accts	302,000	8,133		0	
89155T-54-0	ANTI BENCHMARK US CORE EQUITY		.09/30/2020	TOUCHSTONE SECURITIES	14,121,678	164,235		0	
9499999. Subtotal - Common Stocks - Mutual Funds						5,225,434	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						46,312,846	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						46,312,846	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						46,333,010	XXX	0	XXX
9999999 - Totals						89,264,422	XXX	102,354	XXX

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
36176F-Z9-2	G2 G2 765168 4.940% 11/20/61		09/01/2020	Paydown		16,862	16,862	17,999	16,751	.0	(27)	.0	(27)	.0	16,862	.0	.0	.0	.207	11/20/2061	1
36202K-UV-5	G2 G2 8696 3.250% 09/20/25		09/01/2020	Paydown		.275	.275	.283	.265	.0	.11	.0	.11	.0	.275	.0	.0	.0	.6	09/20/2025	1
36203C-ZE-5	GNMA GN 345541 6.500% 02/15/24		09/01/2020	Paydown		.164	.164	.147	.156	.0	.7	.0	.7	.0	.164	.0	.0	.0	.7	02/15/2024	1
36203D-J4-3	GNMA GN 345983 6.500% 12/15/23		09/01/2020	Paydown		.154	.154	.138	.147	.0	.6	.0	.6	.0	.154	.0	.0	.0	.2	12/15/2023	1
36203D-JE-1	GNMA GN 345961 6.500% 11/15/23		09/01/2020	Paydown		.343	.343	.308	.330	.0	.14	.0	.14	.0	.343	.0	.0	.0	.6	11/15/2023	1
36203D-JL-5	GNMA GN 345967 6.500% 11/15/23		09/01/2020	Paydown		.65	.65	.55	.60	.0	.4	.0	.4	.0	.65	.0	.0	.0	.3	11/15/2023	1
36203D-MZ-0	GNMA GN 346076 6.500% 01/15/24		09/01/2020	Paydown		.311	.311	.266	.292	.0	.20	.0	.20	.0	.311	.0	.0	.0	.14	01/15/2024	1
36203K-7M-0	GNMA GN 352000 6.500% 12/15/23		09/01/2020	Paydown		.547	.547	.490	.523	.0	.24	.0	.24	.0	.547	.0	.0	.0	.24	12/15/2023	1
36203K-KX-1	GNMA GN 351410 6.500% 01/15/24		09/01/2020	Paydown		.364	.364	.315	.340	.0	.24	.0	.24	.0	.364	.0	.0	.0	.17	01/15/2024	1
36203L-4H-2	GNMA GN 352824 6.500% 04/15/24		09/01/2020	Paydown		.958	.958	.825	.897	.0	.60	.0	.60	.0	.958	.0	.0	.0	.42	04/15/2024	1
36203L-S5-2	GNMA GN 352540 6.500% 01/15/24		09/01/2020	Paydown		3,312	3,312	2,870	3,120	.0	.193	.0	.193	.0	3,312	.0	.0	.0	.144	01/15/2024	1
36203M-JM-3	GNMA GN 353168 6.500% 12/15/23		09/01/2020	Paydown		.100	.100	.90	.96	.0	.4	.0	.4	.0	.100	.0	.0	.0	.4	12/15/2023	1
36203N-6L-7	GNMA GN 354675 6.500% 10/15/23		09/01/2020	Paydown		.114	.114	.102	.110	.0	.5	.0	.5	.0	.114	.0	.0	.0	.2	10/15/2023	1
36203P-AP-8	GNMA 30 YR GN 354714 6.500% 12/15/23		09/01/2020	Paydown		.638	.638	.571	.612	.0	.26	.0	.26	.0	.638	.0	.0	.0	.11	12/15/2023	1
36203R-5K-1	GNMA 30 YR GN 357350 6.500% 11/15/23		09/01/2020	Paydown		.145	.145	.130	.139	.0	.6	.0	.6	.0	.145	.0	.0	.0	.2	11/15/2023	1
36203U-Z7-0	GNMA 30 YR GN 359966 6.500% 12/15/23		09/01/2020	Paydown		.551	.551	.476	.519	.0	.32	.0	.32	.0	.551	.0	.0	.0	.24	12/15/2023	1
36203W-NM-4	GNMA 30 YR GN 361405 6.500% 02/15/24		09/01/2020	Paydown		.192	.192	.172	.183	.0	.9	.0	.9	.0	.192	.0	.0	.0	.8	02/15/2024	1
36203Y-2F-0	GNMA 30 YR GN 363574 6.500% 12/15/23		09/01/2020	Paydown		.42	.42	.38	.41	.0	.2	.0	.2	.0	.42	.0	.0	.0	.1	12/15/2023	1
36204C-6Z-9	GNMA 30 YR GN 366388 6.500% 12/15/23		09/01/2020	Paydown		.115	.115	.103	.110	.0	.5	.0	.5	.0	.115	.0	.0	.0	.2	12/15/2023	1
36204C-7L-9	GNMA 30 YR GN 366399 6.500% 01/15/24		09/01/2020	Paydown		.198	.198	.178	.190	.0	.8	.0	.8	.0	.198	.0	.0	.0	.3	01/15/2024	1
36204D-MT-3	GNMA 30 YR GN 366770 6.500% 05/15/24		08/01/2020	Paydown		.738	.738	.639	.693	.0	.46	.0	.46	.0	.738	.0	.0	.0	.30	05/15/2024	1
36204G-Y3-0	GNMA 30 YR GN 369830 6.500% 12/15/23		09/01/2020	Paydown		.384	.384	.328	.362	.0	.22	.0	.22	.0	.384	.0	.0	.0	.5	12/15/2023	1
36204J-B2-1	GNMA GN 370957 6.500% 12/15/23		09/01/2020	Paydown		.381	.381	.341	.364	.0	.17	.0	.17	.0	.381	.0	.0	.0	.16	12/15/2023	1
36204J-KH-8	GNMA GN 371196 6.500% 12/15/23		09/01/2020	Paydown		.928	.928	.832	.891	.0	.38	.0	.38	.0	.928	.0	.0	.0	.15	12/15/2023	1
36204J-KN-5	GNMA GN 371201 6.500% 12/15/23		09/01/2020	Paydown		.480	.480	.431	.459	.0	.21	.0	.21	.0	.480	.0	.0	.0	.21	12/15/2023	1
36204J-L2-0	GNMA GN 371245 6.500% 01/15/24		09/01/2020	Paydown		.998	.998	.864	.940	.0	.58	.0	.58	.0	.998	.0	.0	.0	.43	01/15/2024	1
36204J-L7-9	GNMA GN 371250 6.500% 01/15/24		09/01/2020	Paydown		.750	.750	.648	.700	.0	.49	.0	.49	.0	.750	.0	.0	.0	.33	01/15/2024	1
36204K-5W-9	GNMA GN 372661 6.500% 01/15/24		09/01/2020	Paydown		.855	.855	.741	.799	.0	.55	.0	.55	.0	.855	.0	.0	.0	.37	01/15/2024	1
36204K-DN-0	GNMA GN 371909 6.500% 02/15/24		09/01/2020	Paydown		.702	.702	.607	.660	.0	.42	.0	.42	.0	.702	.0	.0	.0	.30	02/15/2024	1
36204K-EA-7	GNMA GN 371929 6.500% 03/15/24		09/01/2020	Paydown		.192	.192	.172	.184	.0	.8	.0	.8	.0	.192	.0	.0	.0	.3	03/15/2024	1
36204N-FX-8	GNMA 30 YR GN 374670 6.500% 02/15/24		09/01/2020	Paydown		2,021	2,021	1,751	1,902	.0	.119	.0	.119	.0	2,021	.0	.0	.0	.87	02/15/2024	1
36204N-ND-5	GNMA 30 YR GN 374888 6.500% 01/15/24		09/01/2020	Paydown		1,080	1,080	.934	1,016	.0	.64	.0	.64	.0	1,080	.0	.0	.0	.48	01/15/2024	1
36204N-R9-0	GNMA 30 YR GN 375012 6.500% 01/15/24		09/01/2020	Paydown		.976	.976	.846	.913	.0	.63	.0	.63	.0	.976	.0	.0	.0	.42	01/15/2024	1
36204P-OA-3	GNMA 30 YR GN 375849 6.500% 01/15/24		09/01/2020	Paydown		.335	.335	.301	.320	.0	.15	.0	.15	.0	.335	.0	.0	.0	.15	01/15/2024	1
36204Q-CC-2	GNMA 30 YR GN 376367 6.500% 01/15/24		09/01/2020	Paydown		.322	.322	.288	.307	.0	.14	.0	.14	.0	.322	.0	.0	.0	.14	01/15/2024	1
36204Q-EA-4	GNMA 30 YR GN 376429 6.500% 03/15/24		09/01/2020	Paydown		.467	.467	.399	.432	.0	.35	.0	.35	.0	.467	.0	.0	.0	.20	03/15/2024	1
36204R-YP-7	GNMA 30 YR GN 377918 6.500% 01/15/24		09/01/2020	Paydown		.106	.106	.92	.100	.0	.6	.0	.6	.0	.106	.0	.0	.0	.5	01/15/2024	1
36204R-YQ-5	GNMA 30 YR GN 377919 6.500% 01/15/24		09/01/2020	Paydown		1,079	1,079	.929	1,013	.0	.65	.0	.65	.0	1,079	.0	.0	.0	.47	01/15/2024	1
36204Y-C3-5	GNMA 30 YR GN 383590 6.500% 04/15/24		09/01/2020	Paydown		.842	.842	.725	.783	.0	.59	.0	.59	.0	.842	.0	.0	.0	.37	04/15/2024	1
36205B-E5-7	GNMA 30 YR GN 385456 6.500% 03/15/24		09/01/2020	Paydown		.388	.388	.336	.362	.0	.26	.0	.26	.0	.388	.0	.0	.0	.17	03/15/2024	1
36205B-EE-8	GNMA 30 YR GN 385433 6.500% 02/15/24		09/01/2020	Paydown		.319	.319	.276	.300	.0	.19	.0	.19	.0	.319	.0	.0	.0	.14	02/15/2024	1
36205B-EF-5	GNMA 30 YR GN 385434 6.500% 02/15/24		09/01/2020	Paydown		.236	.236	.204	.220	.0	.16	.0	.16	.0	.236	.0	.0	.0	.10	02/15/2024	1
36205B-LD-2	GNMA 30 YR GN 385624 7.500% 05/15/24		09/01/2020	Paydown		.221	.221	.213	.217	.0	.4	.0	.4	.0	.221	.0	.0	.0	.11	05/15/2024	1
36205D-C7-1	GNMA 30 YR GN 387194 6.500% 02/15/24		09/01/2020	Paydown		.577	.577	.499	.538	.0	.38	.0	.38	.0	.577	.0	.0	.0	.25	02/15/2024	1
36205J-OF-5	GNMA 30 YR GN 392054 6.500% 04/15/24		09/01/2020	Paydown		.399	.399	.341	.375	.0	.25	.0	.25	.0	.399	.0	.0	.0	.7	04/15/2024	1
36207N-D4-3	GNMA GN 436723 7.500% 11/15/26		09/01/2020	Paydown		2,168	2,168	2,249	2,216	.0	(48)	.0	(48)	.0	2,168	.0	.0	.0	.41	11/15/2026	1
36210E-7C-7	GNMA 30 YR GN 490591 7.000% 09/15/28		09/01/2020	Paydown		4,669	4,669	4,779	4,744	.0	(74)	.0	(74)	.0	4,669	.0	.0	.0	.221	09/15/2028	1
36224F-J2-7	GNMA 30 YR GN 327081 7.500% 05/15/22		07/01/2020	Paydown		1,158	1,158	1,115	1,143	.0	.16	.0	.16	.0	1,158	.0	.0	.0	.51	05/15/2022	1
36224Y-KG-8	GNMA 30 YR GN 336095 6.500% 11/15/23		09/01/2020	Paydown		.736	.736	.660	.706	.0	.29	.0	.29	.0	.736	.0	.0	.0	.12	11/15/2023	1
36225C-EV-4	GNMA ARM G2 80147 3.125% 12/20/27		09/01/2020	Paydown		.867	.867	.893	.834	.0	.33	.0	.33	.0	.867	.0	.0	.0	.17	12/20/2027	1
38373R-6H-7	GNMA - CMO 2001-60 ZL 6.500% 12/20/31		09/01/2020	Paydown		25,757	25,757	25,422	25,552	.0	.205	.0	.205	.0	25,757	.0	.0	.0	1,085	12/20/2031	1
38373S-RX-7	GNMA - CMO 2003-21 PG 5.500% 03/20/33		09/01/2020	Paydown		102,571	102,571	102,571	102,571	.0	.0	.0	.0	.0	102,571	.0	.0	.0	3,692	03/20/2033	1
38373Y-NB-9	GNMA - CMO 2002-81 Z 6.112% 09/16/42		09/01/2020	Paydown		85,475	85,475	84,581	85,070	.0	.405	.0	.405	.0	85,475	.0	.0	.0	3,482	09/16/2042	1
38373Y-6Z-2	GNMA - CMO 2003-16 Z 5.693% 02/16/44		09/01/2020	Paydown		50,490	50,490	48,725	49,677	.0	.812	.0	.812	.0	50,490	.0	.0	.0	1,719	02/16/2044	1
38373Y-UK-8	GNMA - CMO 2003-5 Z 6.298% 11/16/42		09/01/2020	Paydown		2,667	2,667	2,561	2,668	.0	(1)	.0	(1)	.0	2,667	.0	.0	.0	.87	11/16/2042	1
690353-U8-8	OPIC 0.131% 02/15/28		08/17/2020	Redemption	100.0000										86,220				.801	02/15/2028	1

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol	
.05492M-AA-3	BCCFC 2019-1A A1 2.300% 10/20/20		08/20/2020	Paydown		223,536	223,536	223,536	223,536	.0	.0	.0	.0	.0	223,536	.0	.0	.0	.0	3,146	10/20/2020	1FE
.05946X-E7-4	BAFC 2005-5 2A1 5.500% 09/25/35		09/01/2020	Paydown		73,511	73,511	73,270	74,656	.0	(1,145)	.0	(1,145)	.0	73,511	.0	.0	.0	.0	2,873	09/25/2035	1FM
.05946X-S6-1	BAFC 2005-7 3A1 5.750% 11/25/35		09/01/2020	Paydown		80,489	80,489	79,826	80,027	.0	.462	.0	.462	.0	80,489	.0	.0	.0	.0	3,095	11/25/2035	1FM
.05946X-U9-2	BAFC 2005-7 4A3 5.750% 11/25/35		09/01/2020	Paydown		93,201	93,201	91,257	92,281	.0	.919	.0	.919	.0	93,201	.0	.0	.0	.0	3,865	11/25/2035	1FM
.05946X-ZZ-9	BAFC 2005-4 2A1 5.500% 08/25/35		09/01/2020	Paydown		37,292	37,292	35,486	36,083	.0	1,209	.0	1,209	.0	37,292	.0	.0	.0	.0	1,423	08/25/2035	1FM
.059515-BF-2	BAFC 2007-3 XA2 5.500% 09/25/34		09/01/2020	Paydown		7,563	7,679	6,429	6,886	.0	.676	.0	.676	.0	7,563	.0	.0	.0	.0	280	09/25/2034	1FM
.110122-BU-1	BRISTOL-MYERS SQUIBB 5.000% 08/15/45		07/17/2020	Tax Free Exchange		2,814,986	2,500,000	2,819,672	2,818,932	.0	(3,946)	.0	(3,946)	.0	2,814,986	.0	.0	.0	.0	115,278	08/15/2045	1FE
.110122-CD-8	BRISTOL-MYERS SQUIBB 4.250% 10/26/49		07/17/2020	Tax Free Exchange		4,958,323	5,000,000	4,957,900	4,957,959	.0	.364	.0	.364	.0	4,958,323	.0	.0	.0	.0	154,063	10/26/2049	1FE
.1248MG-AX-2	CBASS 2007-CB1 AF1B 3.371% 01/25/37		09/01/2020	Paydown		4,935	4,935	2,887	1,499	.0	3,435	.0	3,435	.0	4,935	.0	.0	.0	.0	46	01/25/2037	1FM
.12653T-AA-9	CSMC 2018-J1 A1 3.500% 02/25/48		09/01/2020	Paydown		1,264,974	1,264,974	1,235,524	1,236,082	.0	28,892	.0	28,892	.0	1,264,974	.0	.0	.0	.0	29,488	02/25/2048	1FM
.12667G-AH-6	CWALT 2005-13CB A8 5.500% 05/25/35		09/01/2020	Paydown		31,242	31,147	29,911	29,604	.0	1,638	.0	1,638	.0	31,242	.0	.0	.0	.0	1,190	05/25/2035	1FM
.12667G-PV-9	CWALT 2005-20CB 1A3 5.500% 07/25/35		09/01/2020	Paydown		46,540	46,425	41,994	39,743	.0	6,797	.0	6,797	.0	46,540	.0	.0	.0	.0	1,646	07/25/2035	1FM
.12667G-PW-7	CWALT 2005-20CB 1A4 5.500% 07/25/35		09/01/2020	Paydown		221,938	221,388	210,494	198,401	.0	23,537	.0	23,537	.0	221,938	.0	.0	.0	.0	7,850	07/25/2035	1FM
.12667G-XD-0	CWALT 2005-28CB 2A4 5.750% 08/25/35		09/01/2020	Paydown		62,212	62,215	54,236	52,001	.0	10,211	.0	10,211	.0	62,212	.0	.0	.0	.0	1,665	08/25/2035	1FM
.12668A-AL-9	CWALT 2005-47CB A11 5.500% 10/25/35		09/01/2020	Paydown		160,158	154,245	129,700	125,491	.0	34,666	.0	34,666	.0	160,158	.0	.0	.0	.0	5,561	10/25/2035	1FM
.12668A-NW-1	CWALT 2005-54CB 1A4 5.500% 11/25/35		09/01/2020	Paydown		24,962	24,245	22,706	21,816	.0	3,146	.0	3,146	.0	24,962	.0	.0	.0	.0	896	11/25/2035	1FM
.12668B-YF-4	CWALT 2006-7CB 1A14 6.000% 05/25/36		09/01/2020	Paydown		14,334	16,276	13,218	10,584	.0	3,750	.0	3,750	.0	14,334	.0	.0	.0	.0	599	05/25/2036	1FM
.12669A-JX-7	CWHL 2005-24 A7 5.500% 11/25/35		09/01/2020	Paydown		53,635	53,325	45,126	43,726	.0	9,909	.0	9,909	.0	53,635	.0	.0	.0	.0	1,347	11/25/2035	1FM
.17309A-AD-1	CWALT 2006-A1 1A4 5.750% 04/25/36		09/01/2020	Paydown		94,084	113,013	104,100	110,807	.0	(16,723)	.0	(16,723)	.0	94,084	.0	.0	.0	.0	4,424	04/25/2036	3FM
.17322N-AA-2	CMLT1 2014-J1 A1 3.500% 06/25/44		09/01/2020	Paydown		139,341	139,341	140,865	140,822	.0	(1,481)	.0	(1,481)	.0	139,341	.0	.0	.0	.0	3,210	06/25/2044	1FM
.225458-PR-3	CSFB 2005-4 2A4 5.500% 06/25/35		09/01/2020	Paydown		16,855	16,765	15,750	14,819	.0	2,036	.0	2,036	.0	16,855	.0	.0	.0	.0	657	06/25/2035	1FM
.225470-VY-6	CSMC 2006-1 4A9 5.500% 02/25/36		09/01/2020	Paydown		1,207	1,205	1,160	1,201	.0	.6	.0	.6	.0	1,207	.0	.0	.0	.0	47	02/25/2036	2FM
.233050-AC-7	DBUBS 2011-LC1A A3 5.002% 11/10/46		09/01/2020	Paydown		337,544	337,544	340,898	337,552	.0	(8)	.0	(8)	.0	337,544	.0	.0	.0	.0	10,526	11/10/2046	1FM
.23305X-AA-9	DBUBS 2011-LC2A A1 3.527% 07/10/44		09/01/2020	Paydown		137,830	137,830	139,203	137,882	.0	(51)	.0	(51)	.0	137,830	.0	.0	.0	.0	3,211	07/10/2044	1FM
.251510-ML-4	DBALT 2006-AB1 A3 5.865% 02/25/36		09/01/2020	Paydown		93,980	93,980	85,945	85,794	.0	8,186	.0	8,186	.0	93,980	.0	.0	.0	.0	3,128	02/25/2036	1FM
.25470D-AK-5	DISCOVERY COMMUNICATIONS 3.450% 03/15/25		07/13/2020	BOSTON		3,111,861	2,841,000	2,760,060	2,794,460	.0	4,381	.0	4,381	.0	2,798,840	.0	313,021	313,021	81,406	03/15/2025	2FE	
.263901-AC-4	DUKE ENERGY INDIANA INC 3.750% 07/15/20		07/15/2020	Maturity		2,000,000	2,000,000	1,930,480	1,995,236	.0	4,764	.0	4,764	.0	2,000,000	.0	.0	.0	.0	75,000	07/15/2020	1FE
.26441C-40-2	DUKE ENERGY PREFERRED		07/20/2020	MANAGEMENT		22,153	800	20,000	20,000	.0	.0	.0	.0	.0	20,000	.0	2,153	2,153	563		2FE	
.29379V-AP-8	ENTERPRISE PRODUCTS 5.200% 09/01/20		09/01/2020	Maturity		1,000,000	1,000,000	997,010	999,673	.0	327	.0	327	.0	1,000,000	.0	.0	.0	.0	52,000	09/01/2020	2FE
.340711-AT-7	FLORIDA GAS TRANSMISSION 5.450% 07/15/20		07/15/2020	Maturity		530,000	530,000	535,141	.0	(5,141)	.0	.0	(5,141)	.0	530,000	.0	.0	.0	.0	14,443	07/15/2020	2FE
.345397-WK-5	FORD MOTOR CREDIT 4.375% 08/06/23		07/13/2020	GOLDMAN SACHS		1,987,500	2,000,000	1,981,320	1,992,032	.0	1,094	.0	1,094	.0	1,993,326	.0	(5,826)	(5,826)	82,153	08/06/2023	3FE	
.361856-DX-2	GMAC MORTGAGE CORP LOAN TRUST 2004-HE5 A5 5.865% 09/25/34		09/01/2020	Paydown		694,916	694,916	712,083	711,408	.0	(16,493)	.0	(16,493)	.0	694,916	.0	.0	.0	.0	30,138	09/25/2034	1FM
.3622MI-AH-6	GSR 2007-3F 2A7 5.750% 05/25/37		09/01/2020	Paydown		17,759	18,842	17,950	18,492	.0	(734)	.0	(734)	.0	17,759	.0	.0	.0	.0	711	05/25/2037	3FM
.362334-CZ-5	GSR 2006-2F 2A13 5.750% 02/25/36		09/01/2020	Paydown		11,513	13,123	12,759	12,587	.0	(1,074)	.0	(1,074)	.0	11,513	.0	.0	.0	.0	139	02/25/2036	3FM
.362341-MR-7	GSAMP 2005-7F 2A6 5.500% 09/25/35		09/01/2020	Paydown		57,508	57,508	54,740	55,287	.0	2,221	.0	2,221	.0	57,508	.0	.0	.0	.0	2,108	09/25/2035	1FM
.36249K-AC-4	GSMS 2010-C1 A2 4.592% 08/10/43		09/01/2020	Paydown		63,825	63,825	65,737	63,872	.0	(47)	.0	(47)	.0	63,825	.0	.0	.0	.0	1,697	08/10/2043	1FM
.378272-AF-5	GLENCORE FUNDING LLC 4.125% 05/30/23		07/13/2020	KEY BANC-MCDONALD		3,208,500	3,000,000	2,998,290	2,999,246	.0	72	.0	72	.0	2,999,318	.0	209,182	209,182	77,000	05/30/2023	2FE	
.45660L-2V-0	RAST 2005-A16 A3 6.000% 02/25/36		08/01/2020	Paydown		58,072	58,072	47,750	39,445	.0	18,627	.0	18,627	.0	58,072	.0	.0	.0	.0	1,752	02/25/2036	1FM
.46412R-AD-7	IRIWE 2007-1 2A3 6.650% 08/25/37		09/01/2020	Paydown		544,867	544,867	386,847	372,066	.0	172,801	.0	172,801	.0	544,867	.0	.0	.0	.0	25,060	08/25/2037	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
62942K-AA-4	NFPMT 2013-1 A1 3.250% 07/25/43		09/01/2020	Paydown		148,864	148,864	145,143	145,973	.0	2,891	.0	2,891	.0	148,864	.0	.0	.0	3,299	07/25/2043	1FM
65538P-AF-5	NAA 2007-1 1A5 6.347% 03/25/47		09/01/2020	Paydown		61,628	61,628	51,249	48,187	.0	13,440	.0	13,440	.0	61,628	.0	.0	.0	1,814	03/25/2047	1FM
666807-BE-1	NORTHROP GRUMMAN CORP 3.500% 03/15/21		07/13/2020	MARKET AXESS		2,041,440	2,000,000	1,895,320	1,985,220	.0	6,451	.0	6,451	.0	1,991,671	.0	49,769	49,769	58,139	03/15/2021	2FE
693476-BL-6	PNC FUNDING CORP 4.375% 08/11/20		08/11/2020	Maturity		1,000,000	1,000,000	994,560	999,553	.0	447	.0	447	.0	1,000,000	.0	.0	.0	43,750	08/11/2020	1FE
694308-GE-1	PACIFIC GAS & EL 6.050% 03/01/34		07/13/2020	Various		5,696,275	5,164,980	5,164,980	5,082,631	28,813	(2,811)	.0	26,002	.0	5,108,633	.0	587,642	587,642	312,667	03/01/2034	6FE
74922E-AF-6	RALI 2006-QS6 1A6 6.250% 06/25/36		09/01/2020	Paydown		4,182	4,981	4,167	4,332	.0	(150)	.0	(150)	.0	4,182	.0	.0	.0	77	06/25/2036	3FM
761118-MD-7	RALI 2005-QS16 A4 5.750% 11/25/35		09/01/2020	Paydown		198,043	218,092	197,430	203,927	.0	(5,884)	.0	(5,884)	.0	198,043	.0	.0	.0	8,321	11/25/2035	3FM
761118-XQ-6	RALI 2006-QS3 1A12 6.000% 03/25/36		09/01/2020	Paydown		17,104	19,954	16,443	18,116	.0	(1,012)	.0	(1,012)	.0	17,104	.0	.0	.0	758	03/25/2036	3FM
76112H-AD-9	RAST 2006-A9CB A4 6.000% 09/25/36		09/01/2020	Paydown		80,532	73,146	50,787	36,961	.0	43,571	.0	43,571	.0	80,532	.0	.0	.0	3,591	09/25/2036	1FM
81745D-AE-1	SEMT 2013-9 A1 3.500% 07/25/43		09/01/2020	Paydown		287,475	282,489	282,489	283,351	.0	4,124	.0	4,124	.0	287,475	.0	.0	.0	6,774	07/25/2043	1FM
863579-AM-0	SARM 2004-12 1A2 2.985% 09/25/34		09/01/2020	Paydown		20,391	18,008	19,586	.0	.0	806	.0	806	.0	20,391	.0	.0	.0	503	09/25/2034	1FM
863579-CB-2	SARM 2004-14 1A 3.425% 10/25/34		09/01/2020	Paydown		33,096	33,096	28,267	31,721	.0	1,375	.0	1,375	.0	33,096	.0	.0	.0	789	10/25/2034	1FM
88576X-AA-4	HENDR 2010-1A A 5.560% 07/15/59		09/15/2020	Paydown		46,549	46,549	53,353	50,779	.0	(4,231)	.0	(4,231)	.0	46,549	.0	.0	.0	1,725	07/15/2059	1FE
90268T-AD-6	UBSC 2011-C1 AAB 3.187% 01/10/45		09/01/2020	Paydown		158,311	158,311	160,678	158,425	.0	(114)	.0	(114)	.0	158,311	.0	.0	.0	1,250	01/10/2045	1FM
93934F-BL-5	WMALT 2005-7 2CB1 5.500% 08/25/35		09/01/2020	Paydown		25,185	25,934	24,851	25,094	.0	91	.0	91	.0	25,185	.0	.0	.0	1,079	08/25/2035	1FM
949831-AA-9	WFMS 2019-3 A1 3.500% 07/25/49		09/01/2020	Paydown		1,000,401	1,000,401	1,014,469	1,013,280	.0	(12,879)	.0	(12,879)	.0	1,000,401	.0	.0	.0	22,456	07/25/2049	1FM
00772B-AQ-4	AERCAP 4.625% 10/30/20	D	08/19/2020	Call 100.7836		1,114,667	1,106,000	1,132,964	1,128,405	.0	(17,090)	.0	(17,090)	.0	1,111,316	.0	(5,316)	(5,316)	49,731	10/30/2020	2FE
44328M-AC-8	HSBC BANK PLC 4.125% 08/12/20	D	08/12/2020	Maturity		1,000,000	1,000,000	994,010	999,519	.0	481	.0	481	.0	1,000,000	.0	.0	.0	41,250	08/12/2020	1FE
78010X-AE-1	ROYAL BK OF SCOTLAND PLC 5.625% 08/24/20	D	08/24/2020	Maturity		622,000	622,000	639,472	.0	.0	(13,916)	.0	(13,916)	.0	622,000	.0	.0	.0	34,988	08/24/2020	2FE
85771P-AE-7	STATOIL 2.450% 01/17/23	D	08/11/2020	WELLS FARGO		4,190,600	4,000,000	3,994,880	3,998,346	.0	307	.0	307	.0	3,998,653	.0	191,947	191,947	104,533	01/17/2023	1FE
90351D-AA-5	UBS GROUP FUNDING 2.950% 09/24/20	D	09/24/2020	Maturity		1,000,000	1,000,000	1,006,950	.0	.0	(6,950)	.0	(6,950)	.0	1,000,000	.0	.0	.0	14,750	09/24/2020	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						61,583,905	59,689,190	60,001,156	58,120,913	28,813	323,607	691	351,729	0	60,014,742	0	1,560,496	1,560,496	1,952,681	XXX	XXX
8399997. Total - Bonds - Part 4						81,293,330	78,872,485	79,559,234	70,706,561	5,309	318,553	691	323,171	0	79,566,974	0	1,717,688	1,717,688	2,183,251	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						81,293,330	78,872,485	79,559,234	70,706,561	5,309	318,553	691	323,171	0	79,566,974	0	1,717,688	1,717,688	2,183,251	XXX	XXX
04208T-20-7	ARMADA HOFFLER PROP PFD		07/31/2020	ADVANTUS CAPITAL MANAGEMENT	700,000	16,443	0.00	17,500	17,500	.0	.0	.0	.0	.0	17,500	.0	(1,057)	(1,057)	788		4
19626G-70-2	COLONY NORTHSTAR INC-CLASS A PREFERRED		09/25/2020	ADVANTUS CAPITAL MANAGEMENT	6,503,000	134,326	0.00	153,202	153,202	.0	.0	.0	.0	.0	153,202	.0	(18,875)	(18,875)	8,372		3
26884U-50-5	EPR PROPERTIES PREFERRED		09/28/2020	ADVANTUS CAPITAL MANAGEMENT	4,114,000	75,233	0.00	86,805	86,805	.0	.0	.0	.0	.0	86,805	.0	(11,572)	(11,572)	4,435		3FE
693475-83-2	PNC FINANCIAL PFD		09/01/2020	TENDER OFFER	200,000,000	5,000,000	0.00	4,474,000	4,474,000	.0	.0	.0	.0	.0	4,474,000	.0	526,000	526,000	201,563		2FE
69360J-62-8	PS BUSINESS PARKS INC PREFERRED		09/28/2020	ADVANTUS CAPITAL MANAGEMENT	2,700,000	70,600	0.00	67,500	67,500	.0	.0	.0	.0	.0	67,500	.0	3,100	3,100	1,983		2FE
74460W-64-4	PUBLIC STORAGE PREFERRED		09/25/2020	ADVANTUS CAPITAL MANAGEMENT	3,500,000	99,169	0.00	87,500	87,500	.0	.0	.0	.0	.0	87,500	.0	11,669	11,669	2,681		2FE
85254J-40-9	STAG INDUSTRIAL INC PREFERRED		09/30/2020	ADVANTUS CAPITAL MANAGEMENT	3,497,000	91,010	0.00	87,425	87,425	.0	.0	.0	.0	.0	87,425	.0	3,585	3,585	3,391		3FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						5,486,781	XXX	4,973,932	4,973,932	0	0	0	0	0	4,973,932	0	512,850	512,850	223,213	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						5,486,781	XXX	4,973,932	4,973,932	0	0	0	0	0	4,973,932	0	512,850	512,850	223,213	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						5,486,781	XXX	4,973,932	4,973,932	0	0	0	0	0	4,973,932	0	512,850	512,850	223,213	XXX	XXX
00130H-10-5	AES CORP		09/21/2020	ADVANTUS CAPITAL MANAGEMENT	8,500,000	138,873		119,990	141,290	(44,994)	.0	.0	(44,994)	.0	119,990	.0	18,883	18,883	2,694		
00206R-10-2	AT&T INC		07/02/2020	ADVANTUS CAPITAL MANAGEMENT	700,000	21,149		26,471	27,356	(885)	.0	.0	(885)	.0	26,471	.0	(5,322)	(5,322)	728		
015271-10-9	ALEXANDRIA REAL ESTATE REIT		07/28/2020	MANAGEMENT	200,000	34,761		28,520	.0	.0	.0	.0	.0	.0	28,520	.0	6,242	6,242	212		
02079K-10-7	ALPHABET CLASS C		08/18/2020	Various	242,000	74,948		323,559	294,294	(248,610)	.0	.0	(248,610)	.0	74,948	.0	294,294	294,294	.0		
023135-10-6	AMAZON.COM INC		08/25/2020	Various	2,270,000	7,241,793		686,402	4,194,597	(3,508,195)	.0	.0	(3,508,195)	.0	686,402	.0	6,555,391	6,555,391	.0		
025537-10-1	AMERICAN ELECTRIC POWER		08/14/2020	ADVANTUS CAPITAL MANAGEMENT	1,000,000	85,299		74,483	94,510	(20,027)	.0	.0	(20,027)	.0	74,483	.0	10,816	10,816	1,680		
03064D-10-8	AMERICOLD REALTY TRUST REIT		07/30/2020	ADVANTUS CAPITAL MANAGEMENT	1,600,000	62,075		52,023	.0	.0	.0	.0	.0	.0	52,023	.0	10,052	10,052	336		

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										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
03748R-75-4 037833-10-0	APARTMENT INVT & MGMT CO -A APPLE INC		09/01/2020 09/30/2020	ADVANTUS CAPITAL MANAGEMENT Various	10,102,000 15,437,000	373,615 7,208,050		500,668 1,060,673	485,613 4,246,987	(20,043) (3,186,314)	0 0	0 0	(20,043) (3,263,793)	0 0	500,668 1,060,673	0 0	(127,053) 6,147,377	(127,053) 6,147,377	9,883 34,855		
053484-10-1 0556EL-10-9 084670-70-2	AVALON BAY COMMUNITIES REIT BP MIDSTREAM PARTNERS LP LIMITED PARTNERS BERKSHIRE HATHAWAY INC DEL CL B		08/25/2020 09/30/2020 09/17/2020	ADVANTUS CAPITAL MANAGEMENT Morgan Stanley Various	2,600,000 1,000,000 41,535,000	398,895 10,038 8,246,791		442,700 11,559 6,143,885	461,340 0 9,407,678	(89,449) 0 (3,263,793)	0 0 0	0 0 0	(89,449) 0 (3,263,793)	0 0 0	442,700 11,559 6,143,885	0 0 0	(43,805) (1,520) 2,102,907	(43,805) (1,520) 2,102,907	10,976 348 0		
09857L-10-8	BOOKING HOLDING		07/24/2020	S. G. COWEN SECURITIES CORP. ADVANTUS CAPITAL	430,000	729,266		447,602	883,104	(435,501)	0	0	(435,501)	0	447,602	0	281,664	281,664	0		
101121-10-1	BOSTON PROPERTIES INC REIT		07/29/2020	MANAGEMENT S. G. COWEN SECURITIES	2,100,000	190,026		261,316	248,148	(24,177)	0	0	(24,177)	0	261,316	0	(71,290)	(71,290)	5,880		
126650-10-0	CVS CORP		08/05/2020	CORP. ADVANTUS CAPITAL	5,146,000	329,892		374,423	382,296	(7,873)	0	0	(7,873)	0	374,423	0	(44,530)	(44,530)	7,719		
14912Y-20-2	CATCHMARK TIMBER TRUST INC-A REIT CHENIERE ENERGY PARTNERS LP LIMITED PARTNERS		07/29/2020	MANAGEMENT ADVANTUS CAPITAL	4,900,000	47,140		52,766	0	0	0	0	0	0	52,766	0	(5,626)	(5,626)	1,323		
16411Q-10-1 16411R-20-8	CHENIERE ENERGY INC		08/17/2020 08/12/2020	MANAGEMENT Various ADVANTUS CAPITAL	4,300,000 17,050,000	153,293 842,790		187,872 1,008,048	171,183 794,871	16,689 213,177	0 0	0 0	16,689 213,177	0 0	187,872 1,008,048	0 0	(34,579) (165,258)	(34,579) (165,258)	5,977 0		
18539C-10-5	NRG YIELD INC-CLASS A COMMON		08/05/2020	MANAGEMENT ADVANTUS CAPITAL	1,800,000	41,326		35,880	0	0	0	0	0	0	35,880	0	5,445	5,445	378		
198287-20-3	COLUMBIA PROPERTY TRUST INC REIT		08/21/2020	MANAGEMENT ADVANTUS CAPITAL	14,400,000	175,830		283,649	265,557	(7,013)	0	0	(7,013)	0	283,649	0	(107,820)	(107,820)	8,043		
21870Q-10-5	CORESITE REALTY CORP REIT CRESTWOOD EQUITY PARTNERS LP LIMITED PARTNERS		07/31/2020	MANAGEMENT	200,000	25,791		19,739	22,424	(2,685)	0	0	(2,685)	0	19,739	0	6,053	6,053	732		
226344-20-8			08/04/2020	Various ADVANTUS CAPITAL	2,900,000	42,967		38,914	0	0	0	0	0	0	38,914	0	4,053	4,053	0		
23283R-10-0	CYRUSONE INC REIT		07/30/2020	MANAGEMENT ADVANTUS CAPITAL	1,200,000	95,235		77,019	19,629	(353)	0	0	(353)	0	77,019	0	18,216	18,216	1,350		
233331-10-7 244199-10-5	DTE ENERGY COMPANY DEERE & COMPANY		07/22/2020 08/14/2020	MANAGEMENT Various ADVANTUS CAPITAL	600,000 974,000	67,501 185,990		71,921 139,711	64,935 168,755	(5,783) (29,044)	0 0	0 0	(5,783) (29,044)	0 0	71,921 139,711	0 0	(4,419) 46,279	(4,419) 46,279	1,721 2,221		
253868-10-3 25746U-10-9	DIGITAL REALTY TRUST INC REIT DOMINION RESOURCES		07/28/2020 07/21/2020	MANAGEMENT Various S. G. COWEN SECURITIES	400,000 3,100,000	61,134 242,422		55,149 246,985	0 24,846	0 (2,471)	0 0	0 0	0 (2,471)	0 0	55,149 246,985	0 0	5,985 (4,563)	5,985 (4,563)	448 564		
26614N-10-2 29273V-10-0	DUPONT DE NEMOURS INC ENERGY TRANSFER EQUITY LP		08/18/2020 07/06/2020	CORP. Various ADVANTUS CAPITAL	1,937,000 60,000,000	110,348 391,299		97,712 424,239	62,852 7	(245) 5	0 0	0 0	(245) 5	0 0	97,712 424,239	0 0	12,636 (32,940)	12,636 (32,940)	1,456 0		
29444U-70-0 294600-10-1	EQUINIX INC REIT EQUITRANS MIDSTREAM CORP		09/08/2020 09/15/2020	MANAGEMENT Various ADVANTUS CAPITAL	400,000 74,250,000	304,121 717,141		262,573 612,805	0 0	0 0	0 0	0 0	0 0	0 0	262,573 612,805	0 0	41,549 104,337	41,549 104,337	1,064 4,763		
29670E-10-7	ESSENTIAL PROPERTIES REALTY REIT		09/16/2020	MANAGEMENT ADVANTUS CAPITAL	900,000	18,144		18,387	22,329	(3,942)	0	0	(3,942)	0	18,387	0	(243)	(243)	621		
30034W-10-6	EVERGY INC		08/05/2020	MANAGEMENT ADVANTUS CAPITAL	600,000	32,551		33,356	39,054	(5,698)	0	0	(5,698)	0	33,356	0	(806)	(806)	606		
30161N-10-1	EXELON CORP		09/29/2020	MANAGEMENT ADVANTUS CAPITAL	7,000,000	262,066		311,676	319,130	(7,454)	0	0	(7,454)	0	311,676	0	(49,611)	(49,611)	6,770		
30225T-10-2	EXTRA SPACE STORAGE INC REIT		09/16/2020	MANAGEMENT INT GEN PAYOUT NEW CORE	1,900,000	195,867		201,090	147,868	5,635	0	0	5,635	0	201,090	0	(5,223)	(5,223)	2,700		
30303M-10-2	FACEBOOK INC-A		09/01/2020	2123	61,638,000	18,072,262		9,537,044	12,651,200	(3,114,155)	0	0	(3,114,155)	0	9,537,044	0	8,535,218	8,535,218	0		
337932-10-7	FIRST ENERGY CORP		09/25/2020	ADVANTUS CAPITAL MANAGEMENT	9,100,000	268,954		382,337	393,660	(55,093)	0	0	(55,093)	0	382,337	0	(113,383)	(113,383)	9,750		
35086T-10-9 35905A-10-9	FOUR CORNERS PROPERTY TRUST REIT FRONTDOOR INC		07/30/2020 07/09/2020	MANAGEMENT VIRTU FINANCIAL ADVANTUS CAPITAL	3,026,000 1,300,000	73,188 56,527		81,288 57,954	85,303 0	(4,015) 0	0 0	0 0	(4,015) 0	0 0	81,288 57,954	0 0	(8,100) (1,427)	(8,100) (1,427)	2,769 0		
36467J-10-8	GAMING AND LEISURE PROPERTIE REIT		09/25/2020	MANAGEMENT	2,375,000	87,196		63,718	0	0	0	0	0	0	63,718	0	23,479	23,479	2,685		

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SCHEDULE D - PART 4

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
369604-10-3 379577-20-8	GENERAL ELECTRIC CO GLOBUS MEDICAL INC - A		08/25/2020 07/09/2020	S. G. COWEN SECURITIES CORP. VIRTU FINANCIAL	111,090.000 600.000	703,267 27,629		1,216,285 29,231	1,239,764 35,328	(23,479) (6,097)	.0 .0	.0 .0	(23,479) (6,097)	.0 .0	1,216,285 29,231	.0 .0	(513,018) (1,601)	(513,018) (1,601)	3,333 .0		
41068X-10-0	HANNON ARMSTRONG SUSTAINABLE REIT HOLLY ENERGY PARTNERS LP MASTER LIMITED PARTNER		08/27/2020	ADVANTUS CAPITAL MANAGEMENT	2,900.000	110,012		77,528	41,834	(13,052)	.0	.0	(13,052)	.0	77,528	.0	32,484	32,484	2,408		
435763-10-7			09/23/2020	HOWARD WEIL S. G. COWEN SECURITIES CORP.	3,560.000	45,801		52,394	.0	.0	.0	.0	.0	.0	52,394	.0	(6,592)	(6,592)	1,246		
443510-60-7	HUBBELL INC -CL B		08/18/2020	ADVANTUS CAPITAL MANAGEMENT	1,008.000	146,077		133,581	.0	.0	.0	.0	.0	.0	133,581	.0	12,497	12,497	521		
456237-10-6	INDUSTRIAL LOGISTICS PROPERT REIT		09/15/2020	ADVANTUS CAPITAL MANAGEMENT	1,700.000	37,807		34,434	38,114	(3,680)	.0	.0	(3,680)	.0	34,434	.0	3,373	3,373	1,683		
46187W-10-7	INVITATION HOMES INC REIT		07/30/2020	ADVANTUS CAPITAL MANAGEMENT	700.000	20,903		21,185	.0	.0	.0	.0	.0	.0	21,185	.0	(282)	(282)	105		
476405-10-5 478160-10-4 55608B-10-5	JERNIGAN CAPITAL INC REIT JOHNSON & JOHNSON MACQUARIE INFRASTRUCTURE CO		09/30/2020 08/14/2020 08/05/2020	MANAGEMENT INSTINET Various	9,500.000 991.000 10,400.000	158,937 146,893 309,821		126,730 131,044 321,569	181,830 .0 .0	17,132 .0 .0	.0 .0 .0	72,232 .0 .0	(55,100) .0 .0	.0 .0 .0	126,730 131,044 321,569	.0 .0 .0	32,207 15,849 (11,748)	32,207 15,849 (11,748)	7,695 1,001 .0		
59491B-10-4	MICROSOFT CORP		07/22/2020	S. G. COWEN SECURITIES CORP.	1,096.000	231,573		98,798	172,839	(74,042)	.0	.0	(74,042)	.0	98,798	.0	132,776	132,776	1,118		
64110L-10-6	NETFLIX INC		08/26/2020	S. G. COWEN SECURITIES CORP.	6,144.000	3,363,766		1,920,471	1,988,014	(67,544)	.0	.0	(67,544)	.0	1,920,471	.0	1,443,295	1,443,295	.0		
65341B-10-6 682680-10-3	NEXTERA ENERGY PARTNERS LP LIMITED PARTNERS ONEOK INC		08/10/2020 08/06/2020	MANAGEMENT Various	1,700.000 7,300.000	99,829 218,041		47,624 128,639	89,505 35,905	(41,881) (35,905)	.0 .0	.0 .0	(41,881) (35,905)	.0 .0	47,624 272,734	.0 .0	52,205 (54,693)	52,205 (54,693)	2,026 6,078		
68389X-10-5	ORACLE CORP		07/23/2020	S. G. COWEN SECURITIES CORP.	133,413.000	7,458,889		4,044,990	7,068,221	(3,023,231)	.0	.0	(3,023,231)	.0	4,044,990	.0	3,413,899	3,413,899	96,057		
69007J-10-6	OUTFRONT MEDIA INC REIT		08/14/2020	ADVANTUS CAPITAL MANAGEMENT	4,100.000	60,903		89,082	56,322	(4,131)	.0	.0	(4,131)	.0	89,082	.0	(28,179)	(28,179)	798		
69351T-10-6	PPL CORPORATION		08/21/2020	ADVANTUS CAPITAL MANAGEMENT	7,900.000	211,516		282,310	.0	.0	.0	.0	.0	.0	282,310	.0	(70,794)	(70,794)	6,557		
718172-10-9 72651A-20-7	PHILIP MORRIS INTERNAT-W/I PLAINS GP HOLDINGS LP-CL A		08/18/2020 09/01/2020	S. G. COWEN SECURITIES CORP. Various	1,134.000 139,500.000	89,754 1,119,857		78,524 1,297,598	84,562	.0 90,632	.0 .0	.0 .0	.0 90,632	.0 .0	78,524 1,297,598	.0 .0	11,230 (177,741)	11,230 (177,741)	742 20,610		
74271B-10-9	PROCTER & GAMBLE CO		08/25/2020	S. G. COWEN SECURITIES CORP.	11,776.000	1,606,892		990,831	1,470,822	(479,991)	.0	.0	(479,991)	.0	990,831	.0	616,061	616,061	27,406		
74340W-10-3	PROLOGIS INC REIT		07/29/2020	ADVANTUS CAPITAL MANAGEMENT	600.000	60,640		48,646	.0	.0	.0	.0	.0	.0	48,646	.0	11,994	11,994	348		
74736A-10-3 74762E-10-2 78462F-10-3	QTS REALTY TRUST INC-CL A REIT QUANTA SERVICES INC SPDR TRUST SERIES 1		07/29/2020 09/24/2020 08/06/2020	MANAGEMENT Various VIRTU FINANCIAL	400.000 38,300.000 9,000.000	28,548 1,942,585 2,984,283		22,120 1,418,571 2,203,848	.0 1,559,193 2,036,415	.0 (140,622) (1,063,741)	.0 .0 .0	.0 .0 .0	.0 (140,622) (1,063,741)	.0 .0 .0	22,120 1,418,571 2,203,848	.0 .0 .0	6,428 524,013 780,435	6,428 524,013 780,435	188 5,745 24,946		
79466L-30-2 81369Y-80-3 816851-10-9	SALESFORCE.COM INC UTILITIES SELECT SPDR SEMPRA ENERGY		09/08/2020 07/15/2020 07/31/2020	Various PCG Model Accts Various	12,521.000 7,355.000 2,250.000	2,702,289 788,997 285,150		972,675 759,053 267,981	2,036,415 759,053 267,981	(1,063,741) .0 .0	.0 .0 .0	.0 .0 .0	(1,063,741) .0 .0	.0 .0 .0	972,675 759,053 267,981	.0 .0 .0	1,729,614 29,944 17,170	1,729,614 29,944 17,170	.0 2,063 .0		
85254J-10-2	STAG INDUSTRIAL INC REIT		07/30/2020	ADVANTUS CAPITAL MANAGEMENT	2,100.000	67,496		60,900	66,297	(5,397)	.0	.0	(5,397)	.0	60,900	.0	6,596	6,596	1,882		
855244-10-9	STARBUCKS CORP		08/26/2020	S. G. COWEN SECURITIES CORP.	24,935.000	2,054,100		1,832,084	.0	.0	.0	.0	.0	.0	1,832,084	.0	222,016	222,016	15,502		
85571B-10-5	STARWOOD PROPERTY TRUST INC REIT		09/28/2020	ADVANTUS CAPITAL MANAGEMENT	3,500.000	54,795		75,635	87,010	(11,375)	.0	.0	(11,375)	.0	75,635	.0	(20,839)	(20,839)	5,040		
862121-10-0	STORE CAPITAL CORP REIT		09/15/2020	ADVANTUS CAPITAL MANAGEMENT	1,900.000	51,851		61,697	70,756	(9,059)	.0	.0	(9,059)	.0	61,697	.0	(9,846)	(9,846)	1,995		
866674-10-4 87612G-10-1 88160R-10-1	SUN COMMUNITIES INC REIT TARGA RESOURCES CORP TESLA MOTORS INC		07/30/2020 09/03/2020 07/14/2020	ADVANTUS CAPITAL MANAGEMENT Various	200.000 36,000.000 4,963.000	29,571 684,331 7,567,645		28,440 704,719 969,413	.0 115,466 2,076,172	.0 8,877 (1,106,759)	.0 .0 .0	.0 .0 .0	.0 8,877 (1,106,759)	.0 .0 .0	28,440 704,719 969,413	.0 .0 .0	1,131 (20,388) 6,598,231	1,131 (20,388) 6,598,231	158 3,600 .0		
88250B-10-4	TEXAS INSTRUMENTS		08/18/2020	S. G. COWEN SECURITIES CORP.	1,215.000	169,221		131,516	.0	.0	.0	.0	.0	.0	131,516	.0	37,705	37,705	2,187		

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol	
90343C-10-0	US AUTO PARTS NETWORK INC COMMON		07/28/2020	Tax Free Exchange	36,021,000	50,429		50,429	79,246	(28,817)	0	0	(28,817)	0	50,429	0	0	0	0			
907818-10-8	UNION PACIFIC CORP		08/28/2020	Various	11,863,000	2,156,393		1,048,591	2,144,712	(1,096,121)	0	0	(1,096,121)	0	1,048,591	0	1,107,803	1,107,803	24,362			
91324P-10-2	UNITEDHEALTH GROUP INC		08/24/2020	Various	889,000	277,705		211,862	261,348	(49,486)	0	0	(49,486)	0	211,862	0	65,843	65,843	2,071			
92276F-10-0	VENTAS INC REIT		09/04/2020	ADVANTUS CAPITAL MANAGEMENT	500,000	22,380		20,456	0	0	0	0	0	0	20,456	0	1,924	1,924	0			
92343V-10-4	VERIZON COMMUNICATIONS		09/22/2020	ADVANTUS CAPITAL MANAGEMENT	3,000,000	180,608		174,028	0	0	0	0	0	0	174,028	0	6,580	6,580	3,690			
925652-10-9	VICI PROPERTIES INC REIT		09/16/2020	ADVANTUS CAPITAL MANAGEMENT	2,800,000	67,469		61,812	71,540	(9,728)	0	0	(9,728)	0	61,812	0	5,658	5,658	2,499			
95040Q-10-4	WELLTOWER INC WELLTOWER INC WESTERN GAS EQUITY PARTNERS LIMITED PARTNERS		09/04/2020	MANAGEMENT	600,000	36,725		43,150	49,068	(5,918)	0	0	(5,918)	0	43,150	0	(6,425)	(6,425)	1,254			
958669-10-3			09/30/2020	Morgan Stanley ADVANTUS CAPITAL MANAGEMENT	1,500,000	11,970		15,005	0	0	0	0	0	0	15,005	0	(3,035)	(3,035)	467			
962166-10-4	WEYERHAEUSER CO		07/29/2020	MANAGEMENT	6,300,000	160,016		162,226	190,260	(28,034)	0	0	(28,034)	0	162,226	0	(2,209)	(2,209)	2,142			
98850P-10-9	YUM CHINA HOLDINGS INC -W/I		08/11/2020	Various	17,202,000	915,389		454,661	825,868	(371,207)	0	0	(371,207)	0	454,661	0	460,728	460,728	2,064			
000000-00-0	GIBSON ENERGY INC		09/18/2020	Various	37,700,000	690,109		602,445	0	0	0	0	0	0	618,067	15,622	72,043	87,665	0			
021361-10-0	ALTAGAS LTD		07/30/2020	NAT BK FINANCIAL EQ	10,000,000	126,436		116,013	0	0	0	0	0	0	118,475	2,462	7,961	10,423	596			
29250N-10-5	ENBRIDGE INC	A	08/31/2020	Various	6,000,000	196,319		181,377	0	0	0	0	0	0	181,377	0	14,942	14,942	0			
45833V-10-9	INTER PIPELINE LTD		07/16/2020	Various	69,129,000	645,019		785,033	130,438	193,440	0	0	193,440	0	775,017	(10,016)	(129,997)	(140,013)	0			
87807B-10-7	TC ENERGY CORP		07/31/2020	Various	15,000,000	679,655		658,379	0	0	0	0	0	0	668,102	9,724	11,553	21,277	0			
916258-10-8	BROOKFIELD RENEWABLE PARTNER LIMITED PARTNERS	A	09/30/2020	ADVANTUS CAPITAL MANAGEMENT	3,800,000	191,910		130,586	54,134	(19,042)	0	0	(19,042)	0	130,586	0	61,324	61,324	2,692			
916258-10-8	BROOKFIELD RENEWABLE PARTNER LIMITED PARTNERS	A	07/30/2020	Spin Off	0,000	41,536		41,536	52,345	(20,041)	0	0	(20,041)	0	41,536	0	0	0	0			
01609W-10-2	ALIBABA GROUP HOLDING-SP ADR RECEIPTS	D	08/26/2020	Various	4,200,000	1,218,168		776,988	890,820	(113,832)	0	0	(113,832)	0	776,988	0	441,180	441,180	0			
056752-10-8	BAIDU INC - SPON ADR RECEIPTS	D	08/25/2020	S. G. COWEN SECURITIES CORP.	9,416,000	1,183,283		1,205,907	1,190,182	15,725	0	0	15,725	0	1,205,907	0	(22,625)	(22,625)	0			
874039-10-0	TAIWAN SEMICONDUCTOR-SP ADR RECEIPTS	D	08/26/2020	Various	10,900,000	872,705		564,511	0	0	0	0	0	0	564,511	0	308,194	308,194	3,647			
904784-70-9	UNILEVER N V -NY SHARES	D	08/06/2020	Various	11,127,000	663,233		457,052	639,357	(182,306)	0	0	(182,306)	0	457,052	0	206,182	206,182	10,192			
012459-11-7	BRENTTAG AG	B	08/14/2020	Various	13,500,000	835,977		667,049	734,133	(76,107)	0	0	(76,107)	9,022	689,938	22,889	146,039	168,928	18,980			
916252-10-1	BROOKFIELD INFRASTRUCTURE	D	09/15/2020	ADVANTUS CAPITAL MANAGEMENT	1,800,000	82,329		46,301	62,898	(29,517)	0	0	(29,517)	0	46,301	0	36,028	36,028	1,965			
04804L-16-3	INTERCONTINENTAL HOTELS GROU	B	08/26/2020	Various	70,900,000	4,110,912		4,307,369	4,891,404	(572,557)	0	0	(572,557)	(11,479)	4,285,018	(22,351)	(174,106)	(196,457)	0			
074079-10-7	RECKITT BENCKISER GROUP PLC	B	07/09/2020	BERENBERG CAPITAL MARKETS	900,000	85,558		70,523	73,072	940	0	0	940	(3,488)	70,472	(51)	15,086	15,035	1,121			
000392-31-8	ADECCO GROUP AG-REG	B	07/09/2020	BERENBERG CAPITAL MARKETS	704,000	33,566		32,378	44,541	(11,228)	0	0	(11,228)	(935)	34,360	1,982	(794)	1,188	1,803			
001301-12-8	ALCON INC	D	08/06/2020	S. G. COWEN SECURITIES CORP.	9,324,000	570,132		465,023	527,459	(62,435)	0	0	(62,435)	0	465,023	0	105,109	105,109	0			
013440-10-2	FANUC CORP	B	07/09/2020	JEFFERIES & CO INC-EQ	200,000	36,261		29,109	37,418	(7,825)	0	0	(7,825)	(485)	29,990	881	6,272	7,153	326			
05591Y-10-7	ISS A/S	B	08/14/2020	Various	189,200,000	3,019,895		5,554,870	4,540,096	960,487	0	0	960,487	54,287	5,809,127	254,257	(2,789,232)	(2,534,975)	0			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					102,812,604	XXX	64,366,405	72,247,523	(21,359,260)	0	72,232	(21,431,492)	46,922	64,641,803	275,399	38,170,816	38,446,215	454,161	XXX	XXX	
056823-38-8	BGF EM EQUITIES FUND-INST MUTUAL FUND		07/09/2020	PCG Model Accts	576,000	12,387		11,987	10,899	(484)	0	0	(484)	0	11,987	0	400	400	(418)			
014064D-86-5	Fuller & Thaler MUTUAL FUND		07/09/2020	PCG Model Accts	61,000	1,422		1,672	0	0	0	0	0	0	1,672	0	(250)	(250)	0			
233203-37-1	DFA INTERNATIONAL CORE EQTY MUTUAL FUND		07/09/2020	PCG Model Accts	27,131,000	320,691		316,790	332,662	(48,169)	0	0	(48,169)	0	316,790	0	3,902	3,902	3,166			
233203-37-1	DFA INTERNATIONAL CORE EQTY MUTUAL FUND		07/31/2020	Security Withdraw	0,000	1		0	0	0	0	0	0	0	0	0	0	0	0	0		
461388-10-3	INVESCO DB UNIT CLOSED END FUND		09/21/2020	ADVANTUS CAPITAL MANAGEMENT	6,900,000	89,078		88,908	110,055	(21,147)	0	0	(21,147)	0	88,908	0	171	171	0			
464287-50-7	ISHARES CORE S&P MID CAP ETF		07/09/2020	PCG Model Accts	45,000	7,894		7,846	8,027	(1,420)	0	0	(1,420)	0	7,846	0	48	48	65			
61756E-46-1	MFAIX MUTUAL FUND		07/09/2020	PCG Model Accts	123,000	2,683		2,388	1,922	(142)	0	0	(142)	0	2,388	0	296	296	0			
74160Q-30-1	PRIMECAP ODYSSEY GROWTH MUTUAL FUND		07/09/2020	PCG Model Accts	35,114,000	1,050,966		1,166,940	908,735	(15,988)	0	0	(15,988)	0	1,166,940	0	(115,974)	(115,974)	0			
89154Q-18-2	TOUCHSTONE INTERNATIONAL EQUITY-Y		07/02/2020	TOUCHSTONE SECURITIES	4,741,000	65,463		71,065	74,952	(3,887)	0	0	(3,887)	0	71,065	0	(5,601)	(5,601)	0			
89154Q-22-4	TOUCHSTONE SMALL COMPANY-Y		07/01/2020	TOUCHSTONE SECURITIES	3,448,000	15,483		18,207	18,448	(241)	0	0	(241)	0	18,207	0	(2,724)	(2,724)	0			
89154Q-33-1	TOUCHSTONE GLOBAL GROWTH FUND CLASS I		09/14/2020	TOUCHSTONE SECURITIES	590,660,000	15,362,950		10,706,733	13,394,946	(2,689,452)	0	0	(2,689,452)	0	10,706,733	0	4,656,217	4,656,217	13,384			
89154W-79-1	TOUCHSTONE CORE BOND FUND-Y		08/03/2020	TOUCHSTONE SECURITIES	34,024,000	377,068		337,515	362,692	(25,177)	0	0	(25,177)	0	337,515	0	39,553	39,553	5,332			
89154W-81-7	TOUCHSTONE HIGH YIELD-Y		07/08/2020	TOUCHSTONE SECURITIES	37,052,000	293,974		317,169	318,280	(1,112)	0	0	(1,112)	0	317,169	0	(23,195)	(23,195)	7,840			

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

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										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y		09/01/2020	TOUCHSTONE SECURITIES	5,075,000	229,739		215,064	231,455	(16,391)	0	0	(16,391)	0	215,064	0	14,675	14,675	0		
89154X-44-3	TOUCHSTONE VALUE FUND CLASS Y		08/31/2020	TOUCHSTONE SECURITIES	11,597,000	91,942		115,566	113,188	2,378	0	0	2,378	0	115,566	0	(23,624)	(23,624)	890		
89154X-52-6	TOUCHSTONE MID CAP GROWTH - I		07/09/2020	PCG Model Accts	1,050,000	35,819		28,435	6,933	(1,725)	0	0	(1,725)	0	28,435	0	7,384	7,384	0		
89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y		09/01/2020	TOUCHSTONE SECURITIES	2,251,000	76,552		59,808	75,400	(15,593)	0	0	(15,593)	0	59,808	0	16,744	16,744	(3)		
89155H-37-1	TOUCHSTONE MID CAP VALUE FUND CLASS Y		08/31/2020	TOUCHSTONE SECURITIES	2,680,000	44,018		50,006	51,912	(1,906)	0	0	(1,906)	0	50,006	0	(5,988)	(5,988)	183		
89155H-82-7	TOUCHSTONE SANDS CAPITAL SELECT CLASS Y		08/31/2020	TOUCHSTONE SECURITIES	15,678,000	272,546		215,455	206,165	9,290	0	0	9,290	0	215,455	0	57,091	57,091	0		
89155T-66-4	TOUCHSTONE ULTRA SHORT FIXED CLASS Y		09/01/2020	TOUCHSTONE SECURITIES	11,192,000	102,821		103,640	103,864	(224)	0	0	(224)	0	103,640	0	(820)	(820)	562		
922908-36-3	VANGUARD FDS CLOSED END FUND		07/09/2020	PCG Model Accts	2,381,000	690,475		624,293	487,478	(70,730)	0	0	(70,730)	0	624,293	0	66,182	66,182	6,005		
9499999. Subtotal - Common Stocks - Mutual Funds						19,143,972	XXX	14,459,487	16,818,013	(2,902,120)	0	0	(2,902,120)	0	14,459,487	0	4,684,487	4,684,487	37,006	XXX	XXX
9799997. Total - Common Stocks - Part 4						121,956,576	XXX	78,825,892	89,065,536	(24,261,380)	0	72,232	(24,333,612)	46,922	79,101,290	275,399	42,855,303	43,130,702	491,167	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						121,956,576	XXX	78,825,892	89,065,536	(24,261,380)	0	72,232	(24,333,612)	46,922	79,101,290	275,399	42,855,303	43,130,702	491,167	XXX	XXX
9899999. Total - Preferred and Common Stocks						127,443,357	XXX	83,799,824	94,039,468	(24,261,380)	0	72,232	(24,333,612)	46,922	84,075,222	275,399	43,368,153	43,643,552	714,380	XXX	XXX
9999999 - Totals						208,736,687	XXX	163,359,058	164,746,029	(24,256,071)	318,553	72,923	(24,010,441)	46,922	163,642,196	275,399	45,085,841	45,361,240	2,897,631	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999999. Subtotal - Purchased Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0149999999. Subtotal - Purchased Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P500 OTC European Put-Buy	Equity Hedge	N/A	Equity/Index	Wells Fargo PBLD0EJDB5FWOLXP3B76	06/03/2020	12/03/2020	160,274		2,807.69		20,674.675		4,230.875		4,230.875	(16,443.800)						0001
S&P500 OTC European Put-Buy	Equity Hedge	N/A	Equity/Index	Goldman Sachs W22LR0WP21HZNBB6K528	07/22/2020	04/22/2021	153,175		2,937.82		26,055.001		20,376.142		20,376.142	(5,678.858)						0001
0169999999. Subtotal - Purchased Options - Hedging Other - Put Options										0	46,729.676	0	24,607.017	XXX	24,607.017	(22,122.658)	0	0	0	0	XXX	XXX
0219999999. Subtotal - Purchased Options - Hedging Other										0	46,729.676	0	24,607.017	XXX	24,607.017	(22,122.658)	0	0	0	0	XXX	XXX
0289999999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
TIDEWATER INC Tidewater Warrant 88642H133	Tidewater	N/A		US - Chicago Board 549300UQMTB7PD2UT305	01/31/2018	07/31/2042	1,663		0.00							(249)						
PIERIDAE ENERGY LTD WARRANT ES7597042	Pieridae Energy	N/A		Canada - Canadian National Stock Exchange	02/01/2019	12/18/2020	591,176		0.00		289,115					7,078	(7,260)					
0369999999. Subtotal - Purchased Options - Other - Call Options and Warrants										289,115	0	0	0	XXX	0	6,829	(7,260)	0	0	0	XXX	XXX
0429999999. Subtotal - Purchased Options - Other										289,115	0	0	0	XXX	0	6,829	(7,260)	0	0	0	XXX	XXX
0439999999. Total Purchased Options - Call Options and Warrants										289,115	0	0	0	XXX	0	6,829	(7,260)	0	0	0	XXX	XXX
0449999999. Total Purchased Options - Put Options										0	46,729.676	0	24,607.017	XXX	24,607.017	(22,122.658)	0	0	0	0	XXX	XXX
0459999999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0469999999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0479999999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0489999999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0499999999. Total Purchased Options										289,115	46,729.676	0	24,607.017	XXX	24,607.017	(22,115.829)	(7,260)	0	0	0	XXX	XXX
0569999999. Subtotal - Written Options - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0639999999. Subtotal - Written Options - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
S&P500 OTC European Call-Sell	Equity Hedge	N/A	Equity/Index	Wells Fargo PBLD0EJDB5FWOLXP3B76	06/03/2020	12/03/2020	160,274		3,431.62		(8,574.680)		(16,501.659)		(16,501.659)	(7,926.979)						0001
S&P500 OTC European Call-Sell	Equity Hedge	N/A	Equity/Index	Goldman Sachs W22LR0WP21HZNBB6K528	07/22/2020	04/22/2021	153,175		3,590.67		(12,200.001)		(18,207.078)		(18,207.078)	(6,007.077)						0001
0649999999. Subtotal - Written Options - Hedging Other - Call Options and Warrants										0	(20,774.681)	0	(34,708.737)	XXX	(34,708.737)	(13,934.056)	0	0	0	0	XXX	XXX
0709999999. Subtotal - Written Options - Hedging Other										0	(20,774.681)	0	(34,708.737)	XXX	(34,708.737)	(13,934.056)	0	0	0	0	XXX	XXX
0779999999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999999. Subtotal - Written Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0919999999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0929999999. Total Written Options - Call Options and Warrants										0	(20,774.681)	0	(34,708.737)	XXX	(34,708.737)	(13,934.056)	0	0	0	0	XXX	XXX
0939999999. Total Written Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0949999999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0959999999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0969999999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0979999999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0989999999. Total Written Options										0	(20,774.681)	0	(34,708.737)	XXX	(34,708.737)	(13,934.056)	0	0	0	0	XXX	XXX
1049999999. Subtotal - Swaps - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1109999999. Subtotal - Swaps - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1169999999. Subtotal - Swaps - Hedging Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1229999999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1289999999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1349999999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1359999999. Total Swaps - Interest Rate										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1369999999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1379999999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Un-discounted Premium (Received) Paid	Current Year Initial Cost of Un-discounted Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
1389999999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1399999999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1409999999. Total Swaps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1479999999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1509999999. Subtotal - SSAP No. 108 Adjustments										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1689999999. Subtotal - Hedging Effective Excluding Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1699999999. Subtotal - Hedging Effective Variable Annuity Guarantees Under SSAP No.108										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1709999999. Subtotal - Hedging Other										0	25,954,995	0	(10,101,720)	XXX	(10,101,720)	(36,056,714)	0	0	0	0	XXX	XXX
1719999999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1729999999. Subtotal - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1739999999. Subtotal - Other										289,115	0	0	0	XXX	0	6,829	(7,260)	0	0	0	XXX	XXX
1749999999. Subtotal - Adjustments for SSAP No. 108 Derivatives										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
1759999999 - Totals										289,115	25,954,995	0	(10,101,720)	XXX	(10,101,720)	(36,049,885)	(7,260)	0	0	0	XXX	XXX

(a)	Code	Description of Hedged Risk(s)

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
	0001	Hedges the market value risks associated with the broad equity markets.

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

SCHEDULE DB - PART D - SECTION 1

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
Wells Fargo	PBLD0EJD8SFHQLXP3B76	Cash.....	000000-00-0	Cash	10, 150, 000	10, 150, 000	10, 150, 000	V.....
019999999 - Total					10, 150, 000	10, 150, 000	XXX	XXX

Collateral Pledged to Reporting Entity

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART E

Derivatives Hedging Variable Annuity Guarantees as of Current Statement Date

This schedule is specific for the derivatives and the hedging programs captured in SSAP No. 108

[illegible]

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				0	0	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
5999999. Subtotal - SVO Identified Funds				0	0	XXX
6299999. Subtotal - Unaffiliated Bank Loans				0	0	XXX
6399999. Total - Issuer Obligations				0	0	XXX
6499999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6599999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6699999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6799999. Total - SVO Identified Funds				0	0	XXX
6899999. Total - Affiliated Bank Loans				0	0	XXX
6999999. Total - Unaffiliated Bank Loans				0	0	XXX
7099999. Total Bonds				0	0	XXX
7399999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)				0	0	XXX
7999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type)				0	0	XXX
8099999. Total - Preferred and Common Stocks				0	0	XXX
.....	Short term investment from reverse repo program			31,776,831	31,776,831	10/01/2020
8999999. Total - Short-Term Invested Assets (Schedule DA type)				31,776,831	31,776,831	XXX
9999999 - Totals				31,776,831	31,776,831	XXX

General Interrogatories:

1. Total activity for the year Fair Value \$ 4,142,298 Book/Adjusted Carrying Value \$ 4,142,298
2. Average balance for the year Fair Value \$ 20,539,668 Book/Adjusted Carrying Value \$ 20,539,668
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
 NAIC 1 \$ 11,411,711 NAIC 2 \$ 20,365,120 NAIC 3 \$ 0 NAIC 4 \$ 0 NAIC 5 \$ 0 NAIC 6 \$ 0

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date
(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date
690353-UB-8	OPIC		1	1,468,332	1,468,332	02/15/2028
690353-YE-1	OPIC AGENCY		1	4,474,605	4,474,605	06/15/2034
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations				5,942,937	5,942,937	XXX
0599999. Total - U.S. Government Bonds				5,942,937	5,942,937	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
14913R-2E-6	CATERPILLAR FINL SERVICE		1FE	1,001,017	1,000,000	01/06/2022
22550U-AA-9	CREDIT SUISSE NEW YORK		1FE	1,901,656	1,900,000	02/04/2022
26444H-AG-6	DUKE ENERGY FLORIDA LLC		1FE	600,641	600,000	11/26/2021
57629W-CR-9	MASSMUTUAL GLOBAL FUND		1FE	1,600,187	1,600,000	01/07/2022
581557-BM-6	MCKESSON CORP		2FE	371,915	371,948	11/30/2020
59217G-CY-3	MET LIFE GLOB		1FE	575,979	575,000	05/28/2021
816851-BD-0	SEMPRA ENERGY		2FE	375,009	374,851	01/15/2021
89236T-HE-8	TOYOTA		1FE	2,200,860	2,200,000	02/14/2022
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				8,627,264	8,621,799	XXX
24704G-AA-1	Dell Equipment F20202 rust 2020-2 A1		1FE	500,014	500,000	09/22/2021
80285W-AA-5	Santander Drive 20203 eivabl 2020-3 A1		1FE	850,014	850,000	10/15/2021
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities				1,350,028	1,350,000	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				9,977,292	9,971,799	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
5999999. Subtotal - SVO Identified Funds				0	0	XXX
6299999. Subtotal - Unaffiliated Bank Loans				0	0	XXX
6399999. Total - Issuer Obligations				14,570,201	14,564,736	XXX
6499999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6599999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6699999. Total - Other Loan-Backed and Structured Securities				1,350,028	1,350,000	XXX
6799999. Total - SVO Identified Funds				0	0	XXX
6899999. Total - Affiliated Bank Loans				0	0	XXX
6999999. Total - Unaffiliated Bank Loans				0	0	XXX
7099999. Total Bonds				15,920,229	15,914,736	XXX
7399999. Total - Preferred Stocks (Schedule D, Part 2, Section 1 type)				0	0	XXX
7999999. Total - Common Stocks (Schedule D, Part 2, Section 2 type)				0	0	XXX
8099999. Total - Preferred and Common Stocks				0	0	XXX
000000-00-0	CATHOLIC HEALTH INITIATV CP			1,998,329	1,993,333	11/05/2020
8999999. Total - Short-Term Invested Assets (Schedule DA type)				1,998,329	1,993,333	XXX
000000-00-0	Key Bank Money Market Account			25,000	25,000	
000000-00-0	BB&T Bank Money Market Account			83,690	83,690	
9099999. Total - Cash (Schedule E Part 1 type)				108,690	108,690	XXX
	BEIMS CP			2,199,454	2,199,638	11/05/2020
262006-20-8	DREYFUS GOVERN CASH MGMT INS MONEY MARKE			40,826	40,826	
	PPL ELECTRIC UTILITIES CP			1,349,992	1,349,991	10/01/2020
	VECTREN UTILITY CP			1,999,992	1,999,992	10/01/2020
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				5,590,265	5,590,447	XXX
9999999 - Totals				23,617,512	23,607,206	XXX

General Interrogatories:

1. Total activity for the year

Fair Value \$ (28,131,304)

Book/Adjusted Carrying Value \$ (28,094,345)
2. Average balance for the year

Fair Value \$ 36,970,012

Book/Adjusted Carrying Value \$ 37,266,423

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
BANK OF NEW YORK MELLON NEW YORK, NY					14,930,109	4,267,959	(4,413,631)	.XXX.
FIFTH THIRD BANK CINCINNATI, OH					(761,143)	4,479,182	4,854,046	.XXX.
GENERAL ELECTRIC CREDIT UNION								
..... CINCINNATI, OH					3,120,717	3,122,837	3,124,959	.XXX.
HUNTINGTON BANK COLUMBUS, OH					301,451	301,715	301,691	.XXX.
KEYCORP (KEY BANK) CLEVELAND, OH					10,056,603	160,860	159,747	.XXX.
PNC BANK CINCINNATI, OH					(36,168,572)	(37,540,545)	(38,407,359)	.XXX.
US BANK CINCINNATI, OH					4,109,978	4,115,314	4,108,577	.XXX.
0199998. Deposits in ... 6 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX			640,692	746,213	576,180	XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	(3,770,165)	(20,346,465)	(29,695,790)	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	(3,770,165)	(20,346,465)	(29,695,790)	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	5,093	5,016	84,098	XXX
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	0	0	(3,765,072)	(20,341,449)	(29,611,692)	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0599999. Total - U.S. Government Bonds						0	0	0
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0
.....	ALLIANT CP		..09/30/2020	..0.130	..10/01/2020	..4,899,982	..18	..0
.....	BAYLOR SCOTT & WHITE HLD CP		..09/28/2020	..0.120	..10/05/2020	..499,988	..5	..0
.....	BEMIS CP		..09/29/2020	..0.160	..11/05/2020	..2,199,638	..20	..0
.....	LOUISVILLE G&E CP		..09/25/2020	..0.140	..10/07/2020	..2,699,874	..63	..0
.....	MOTIVA ENTERPRISES LLC CP		..09/25/2020	..0.200	..10/07/2020	..7,349,510	..245	..0
.....	PPL ELECTRIC UTILITIES CP		..09/29/2020	..0.120	..10/01/2020	..1,349,991	..9	..0
.....	SOUTH JERSEY GAS COMPANY CP		..09/30/2020	..0.200	..10/01/2020	0	..0	..3
.....	VECTREN UTILITY CP		..09/30/2020	..0.140	..10/01/2020	..1,999,992	..8	..0
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						20,998,975	368	3
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						20,998,975	368	3
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						20,998,975	368	3
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						0	0	0
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						20,998,975	368	3
94975H-29-6	WELLS FARGO ADVANTAGE MONEY MARKET	SD.....	..07/01/2020	..0.000		..50,000	..0	..3,424
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						50,000	0	3,424
262006-20-8	DREYFUS GOVERN CASH MGMT INS MONEY MARKET		..09/30/2020	..0.000		..64,873,492	..0	..789
8699999. Subtotal - All Other Money Market Mutual Funds						64,873,492	0	789
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8899999 - Total Cash Equivalents						85,922,467	368	4,216