



LIFE, ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

The Order Of United Commercial Travelers Of America

NAIC Group Code _____ (Current) _____ (Prior) NAIC Company Code 56383 Employer's ID Number 31-4273120

Organized under the Laws of Ohio State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Life, Accident and Health [] Fraternal Benefit Societies [X]

Incorporated/Organized 10/04/1890 Commenced Business 01/16/1888

Statutory Home Office 1801 Watermark Drive Suite 100 Columbus, OH, US 43215
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1801 Watermark Drive Suite 100
(Street and Number) Columbus, OH, US 43215 800-848-0123-1135
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1801 Watermark Drive Suite 100 Columbus, OH, US 43215
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1801 Watermark Drive Suite 100
(Street and Number) Columbus, OH, US 43215 800-848-0123 1135
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.uct.org

Statutory Statement Contact Andrew Michael Swetnam 800-848-0123-1135
(Name) (Area Code) (Telephone Number)
aswetnam@uct.org 614-487-9675
(E-mail Address) (FAX Number)

OFFICERS

UCT President Mary Frances Applegate Chief Executive Officer Kevin Clare Hecker
UCT Secretary/Treasurer Stephen Randal Desselles

OTHER

Kathryn Louise Chillinsky, Vice-President, Membership & Communications Kevin Joe Roberts, Vice-President, Insurance Operations & Agent Services Andrew Michael Swetnam #, Vice-President, Chief Financial Officer
Jeffrey Lee Smith MAAA, FCA, Consulting Actuary

DIRECTORS OR TRUSTEES

Glenn Edward Suever Stephen Randal Desselles Mary Frances Applegate
David Allan Van Order Christopher Barry Phelan David James Syrota
Dianna Jean Wolfe Kenneth Eugene Milliser, Jr. Stanna Kay Funk

State of Ohio SS:
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mary Frances Applegate Stephen Randal Desselles Kevin Clare Hecker
Mary Frances Applegate Stephen Randal Desselles Kevin Clare Hecker
UCT President UCT Secretary/Treasurer Chief Executive Officer

Subscribed and sworn to before me this 10 day of November 2020
Denise Sharif

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....



DENISE SHARIF
Notary Public, State of Ohio
My Commission Expires 08-25-2025

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	10,701,215	0	10,701,215	11,719,136
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$1,382,188), cash equivalents (\$261,241) and short-term investments (\$0)	1,643,429	0	1,643,429	1,133,947
6. Contract loans (including \$0 premium notes)	661,640	0	661,640	712,710
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	125,000	0	125,000	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	13,131,284	0	13,131,284	13,565,793
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	70,725	0	70,725	85,396
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	32,207	0	32,207	28,357
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	68,455	0	68,455	57,833
15.3 Accrued retrospective premiums (\$0) and contracts subject to redetermination (\$0)	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	687,098	0	687,098	188,915
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	63,404	0	63,404	63,404
17. Amounts receivable relating to uninsured plans	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	0	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	227,199	54,573	172,626	73,206
21. Furniture and equipment, including health care delivery assets (\$0)	13,493	13,493	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$0) and other amounts receivable	0	0	0	0
25. Aggregate write-ins for other than invested assets	322,148	322,148	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	14,616,013	390,214	14,225,799	14,062,904
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	14,616,013	390,214	14,225,799	14,062,904
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Other Assets Nonadmitted	199,461	199,461	0	0
2502. Commission Advances	42,972	42,972	0	0
2503. Supply Inventory	79,715	79,715	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	322,148	322,148	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$2,666,407 less \$0 included in Line 6.3 (including \$0 Modco Reserve).....	2,666,407	2,638,811
2. Aggregate reserve for accident and health contracts (including \$0 Modco Reserve).....	935,428	915,262
3. Liability for deposit-type contracts (including \$0 Modco Reserve).....	15,033	17,568
4. Contract claims:		
4.1 Life.....	28,635	22,489
4.2 Accident and health.....	973,277	1,002,246
5. Policyholders' dividends/refunds to members \$0 and coupons \$0 due and unpaid.....	0	0
6. Provision for policyholders' dividends, refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholders' dividends and refunds to members apportioned for payment (including \$0 Modco).....	0	0
6.2 Policyholders' dividends and refunds to members not yet apportioned (including \$0 Modco).....	0	0
6.3 Coupons and similar benefits (including \$0 Modco).....	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6.....	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$0 discount; including \$0 accident and health premiums.....	66,601	106,245
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....	0	0
9.2 Provision for experience rating refunds, including the liability of \$0 accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act.....	0	0
9.3 Other amounts payable on reinsurance, including \$0 assumed and \$0 ceded.....	0	0
9.4 Interest Maintenance Reserve.....	57,388	60,488
10. Commissions to agents due or accrued-life and annuity contracts \$97, accident and health \$2,701 and deposit-type contract funds \$0.....	2,798	15,059
11. Commissions and expense allowances payable on reinsurance assumed.....	0	0
12. General expenses due or accrued.....	690,573	438,026
13. Transfers to Separate Accounts due or accrued (net) (including \$0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	19,554	16,922
15.1 Current federal and foreign income taxes, including \$0 on realized capital gains (losses).....	0	0
15.2 Net deferred tax liability.....	0	0
16. Unearned investment income.....	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee.....	110,829	49,720
18. Amounts held for agents' account, including \$0 agents' credit balances.....	0	0
19. Remittances and items not allocated.....	24,726	15,736
20. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0
21. Liability for benefits for employees and agents if not included above.....	0	0
22. Borrowed money \$0 and interest thereon \$0.....	0	0
23. Dividends to stockholders declared and unpaid.....	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	48,086	44,664
24.02 Reinsurance in unauthorized and certified (\$0) companies.....	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$0) reinsurers.....	0	0
24.04 Payable to parent, subsidiaries and affiliates.....	0	0
24.05 Drafts outstanding.....	0	0
24.06 Liability for amounts held under uninsured plans.....	0	0
24.07 Funds held under coinsurance.....	0	0
24.08 Derivatives.....	0	0
24.09 Payable for securities.....	0	0
24.10 Payable for securities lending.....	0	0
24.11 Capital notes \$0 and interest thereon \$0.....	0	0
25. Aggregate write-ins for liabilities.....	936,618	1,146,751
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	6,575,953	6,489,987
27. From Separate Accounts Statement.....	0	0
28. Total liabilities (Lines 26 and 27).....	6,575,953	6,489,987
29. Common capital stock.....	0	0
30. Preferred capital stock.....	0	0
31. Aggregate write-ins for other than special surplus funds.....	0	0
32. Surplus notes.....	0	0
33. Gross paid in and contributed surplus.....	0	0
34. Aggregate write-ins for special surplus funds.....	25,000	25,000
35. Unassigned funds (surplus).....	7,624,846	7,547,917
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 29 \$0).....	0	0
36.20 shares preferred (value included in Line 30 \$0).....	0	0
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$0 in Separate Accounts Statement).....	7,649,846	7,572,917
38. Totals of Lines 29, 30 and 37.....	7,649,846	7,572,917
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	14,225,799	14,062,904
DETAILS OF WRITE-INS		
2501. Amounts Payable to Reinsurer.....	656,220	849,680
2502. Deferred Income.....	19,332	0
2503. Unclaimed Funds.....	261,066	297,071
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above).....	936,618	1,146,751
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above).....	0	0
3401. Fraternal Fund.....	25,000	25,000
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above).....	25,000	25,000

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	9,460,566	9,340,482	12,408,704
2. Considerations for supplementary contracts with life contingencies	0	0	0
3. Net investment income	251,697	304,108	395,548
4. Amortization of Interest Maintenance Reserve (IMR)	3,100	6,963	9,285
5. Separate Accounts net gain from operations excluding unrealized gains or losses	0	0	0
6. Commissions and expense allowances on reinsurance ceded	2,372,218	2,681,430	3,542,699
7. Reserve adjustments on reinsurance ceded	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts	0	0	0
8.2 Charges and fees for deposit-type contracts	0	0	0
8.3 Aggregate write-ins for miscellaneous income	544,948	807,188	893,091
9. Totals (Lines 1 to 8.3)	12,632,529	13,140,171	17,249,327
10. Death benefits	118,141	156,006	186,150
11. Matured endowments (excluding guaranteed annual pure endowments)	2,214	1,205	1,280
12. Annuity benefits	21,627	86,700	90,766
13. Disability benefits and benefits under accident and health contracts	5,605,831	6,169,630	8,139,500
14. Coupons, guaranteed annual pure endowments and similar benefits	0	0	0
15. Surrender benefits and withdrawals for life contracts	5,567	152,010	156,725
16. Group conversions	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds	40	425	338
18. Payments on supplementary contracts with life contingencies	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts	47,619	(181,667)	11,428
20. Totals (Lines 10 to 19)	5,801,039	6,384,309	8,586,187
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	1,977,697	1,978,631	2,642,370
22. Commissions and expense allowances on reinsurance assumed	0	0	0
23. General insurance expenses and fraternal expenses	4,871,978	5,166,245	6,925,891
24. Insurance taxes, licenses and fees, excluding federal income taxes	209,813	237,498	298,169
25. Increase in loading on deferred and uncollected premiums	2,698	(582)	(723)
26. Net transfers to or (from) Separate Accounts net of reinsurance	0	0	0
27. Aggregate write-ins for deductions	0	0	0
28. Totals (Lines 20 to 27)	12,863,225	13,766,101	18,451,894
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	(230,696)	(625,930)	(1,202,567)
30. Dividends to policyholders and refunds to members	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30)	(230,696)	(625,930)	(1,202,567)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(230,696)	(625,930)	(1,202,567)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$ 0 (excluding taxes of \$ 0 transferred to the IMR)	231,409	97,653	97,821
35. Net income (Line 33 plus Line 34)	713	(528,277)	(1,104,746)
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	7,572,916	8,254,050	8,254,050
37. Net income (Line 35)	713	(528,277)	(1,104,746)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	0	0	0
39. Change in net unrealized foreign exchange capital gain (loss)	(42,473)	51,784	74,783
40. Change in net deferred income tax	0	0	0
41. Change in nonadmitted assets	122,112	24,994	346,929
42. Change in liability for reinsurance in unauthorized and certified companies	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease	0	0	0
44. Change in asset valuation reserve	(3,422)	7,798	1,900
45. Change in treasury stock	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period	0	0	0
47. Other changes in surplus in Separate Accounts Statement	0	0	0
48. Change in surplus notes	0	0	0
49. Cumulative effect of changes in accounting principles	0	0	0
50. Capital changes:			
50.1 Paid in	0	0	0
50.2 Transferred from surplus (Stock Dividend)	0	0	0
50.3 Transferred to surplus	0	0	0
51. Surplus adjustment:			
51.1 Paid in	0	0	0
51.2 Transferred to capital (Stock Dividend)	0	0	0
51.3 Transferred from capital	0	0	0
51.4 Change in surplus as a result of reinsurance	0	0	0
52. Dividends to stockholders	0	0	0
53. Aggregate write-ins for gains and losses in surplus	0	0	0
54. Net change in capital and surplus for the year (Lines 37 through 53)	76,930	(443,701)	(681,134)
55. Capital and surplus, as of statement date (Lines 36 + 54)	7,649,846	7,810,349	7,572,916
DETAILS OF WRITE-INS			
08.301. Donations	8,973	15,516	30,897
08.302. Supreme Dues	456,395	474,369	626,894
08.303. Miscellaneous Income	79,580	317,303	235,300
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	544,948	807,188	893,091
2701.			
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page	0	0	0
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	0	0	0
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	9,403,752	9,297,130	12,409,696
2. Net investment income	283,736	462,904	428,613
3. Miscellaneous income	2,917,166	3,488,618	4,435,790
4. Total (Lines 1 to 3)	12,604,654	13,248,652	17,274,099
5. Benefit and loss related payments	6,274,283	6,433,085	8,384,125
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	7,069,117	7,975,396	10,398,850
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	0	0	0
10. Total (Lines 5 through 9)	13,343,400	14,408,481	18,782,975
11. Net cash from operations (Line 4 minus Line 10)	(738,746)	(1,159,829)	(1,508,876)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	2,470,572	2,896,742	3,005,637
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	2,718
12.7 Miscellaneous proceeds	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	2,470,572	2,896,742	3,008,355
13. Cost of investments acquired (long-term only):			
13.1 Bonds	1,318,063	1,074,146	1,277,035
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	125,000	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,443,063	1,074,146	1,277,035
14. Net increase (or decrease) in contract loans and premium notes	(51,070)	(29,477)	(33,916)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	1,078,579	1,852,073	1,765,236
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(2,535)	0	(4,923)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	172,183	(115,346)	172,474
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	169,648	(115,346)	167,551
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	509,481	576,898	423,911
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	1,133,948	710,038	710,037
19.2 End of period (Line 18 plus Line 19.1)	1,643,429	1,286,936	1,133,948

Note: Supplemental disclosures of cash flow information for non-cash transactions:

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	0	0	0
2. Ordinary life insurance	490,907	519,661	654,468
3. Ordinary individual annuities	21,625	14,362	18,312
4. Credit life (group and individual)	0	0	0
5. Group life insurance	0	0	0
6. Group annuities	0	0	0
7. A & H - group	0	0	0
8. A & H - credit (group and individual)	0	0	0
9. A & H - other	30,688,507	33,576,832	44,109,749
10. Aggregate of all other lines of business	0	0	0
11. Subtotal (Lines 1 through 10)	31,201,039	34,110,855	44,782,529
12. Fraternal (Fraternal Benefit Societies Only)	0	0	0
13. Subtotal (Lines 11 through 12)	31,201,039	34,110,855	44,782,529
14. Deposit-type contracts	0	0	0
15. Total (Lines 13 and 14)	31,201,039	34,110,855	44,782,529
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

NOTES TO FINANCIAL STATEMENTS

NOTE 1 Summary of Significant Accounting Policies and Going Concern**A. Accounting Practices**

The financial statements of The Order of United Commercial Travelers of America (UCT) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual, version effective March 2019, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. UCT has no transactions that fall outside the NAIC's practices and procedures.

	SSAP #	F/S Page	F/S Line #	2020	2019
NET INCOME					
(1) State basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 713	\$ (1,104,746)
(2) State Prescribed Practices that are an increase/ (decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 713	\$ (1,104,746)
SURPLUS					
(5) State basis (Page 3, Line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 7,649,846	\$ 7,572,917
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 7,649,846	\$ 7,572,917

B. Use of Estimates in the Preparation of the Financial Statements
No significant changes.**C. Accounting Policy**
No significant changes.**D. Going Concern**
No significant changes.**NOTE 2 Accounting Changes and Corrections of Errors**

Not applicable.

NOTE 3 Business Combinations and Goodwill

No significant changes.

NOTE 4 Discontinued Operations

No significant changes. UCT is continuing to work with OSFI in Canada to exit the insurance business in Canada.

NOTE 5 Investments**A. Mortgage Loans, including Mezzanine Real Estate Loans**
Not Applicable**B. Debt Restructuring**
Not Applicable**C. Reverse Mortgages**
Not Applicable**D. Loan-Backed Securities**

(1) Description of Sources Used to Determine Prepayment Assumptions
Prepayment assumptions for loan-backed securities are obtained from Bloomberg.

(2) OTTI recognized 1st Quarter - None
OTTI recognized 2nd Quarter - None
OTTI recognized 3rd Quarter - None

(3) Recognized OTTI securities - None

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a) The aggregate amount of unrealized losses:

1. Less than 12 Months	\$	-
2. 12 Months or Longer	\$	-

b) The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$	-
2. 12 Months or Longer	\$	-

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

The Company has an "Other Than Temporary Impaired" policy in place that utilizes industry information, investment managers' expertise and rating agencies to identify securities that may be other than temporarily impaired.

NOTES TO FINANCIAL STATEMENTS

E. Dollar Repurchase Agreements and/or Securities Lending Transactions
Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
Not Applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale
Not Applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
Not Applicable

J. Real Estate
No significant changes.

K. Low Income Housing tax Credits (LIHTC)
No significant changes.

L. Restricted Assets
No significant changes

M. Working Capital Finance Investments

1. Aggregate Working Capital Finance Investments (WCFI) Book/Adjusted Carrying Value by NAIC Designation:
No significant changes.

2. Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
a. Up to 180 Days	\$ -
b. 181 to 365 Days	\$ -
c. Total	\$ -

3. No significant changes.

N. Offsetting and Netting of Assets and Liabilities - None

O. 5GI Securities - None

P. Short Sales - None

Q. Prepayment Penalty and Acceleration Fees
No significant changes.

NOTE 6 Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

NOTE 7 Investment Income

No significant changes.

NOTE 8 Derivative Instruments

The Order does not have any derivative instruments.

NOTE 9 Income Taxes

No significant changes.

NOTE 10 Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes.

NOTE 11 Debt

A. No significant changes.

B. FHLB (Federal Home Loan Bank) Agreements

(1) N/A

(2) FHLB Capital Stock - N/A.

NOTE 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

No significant changes. The company sponsors a qualified defined contribution plan (401K Profit Sharing Plan) that covers all eligible U.S. employees. Eligible Canadian employees participate in Individual RRSP accounts in Canada.

NOTE 13 Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant changes

NOTE 14 Liabilities, Contingencies and Assessments

No significant changes.

NOTE 15 Leases

A. Lessee Operating Lease:

(1) No significant changes.

B. Lessor Leases

(1) No significant changes.

(2) Leveraged Leases

No significant changes.

NOTES TO FINANCIAL STATEMENTS

NOTE 16 Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant changes

NOTE 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. No significant changes.

B. None

C. Wash Sales
(1) None

NOTE 18 Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant changes

NOTE 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes.

NOTE 20 Fair Value Measurements

A.
(1) Fair Value Measurements at Reporting Date - The Company holds bonds at amortized cost.

NOTE 21 Other Items

A. Unusual or Infrequent Items

UCT is reporting \$125,000 for securities receivable for its South Carolina state deposit that matured on 9/30/2020. The check was received on November 9, 2020.

UCT received its Q2 reinsurance payment in the first week of October. UCT is considering the receivable as an admitted asset for Q3.

NOTE 22 Events Subsequent

Other subsequent events have been considered through 11/09/2020 for these statutory financial statements which are to be issued on 11/11/2020.

NOTE 23 Reinsurance

No significant changes.

NOTE 24 Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. Not applicable.

B. Not applicable.

C. Not applicable.

D. Medical loss ratio rebates required pursuant to the Public Health Service Act. Not applicable.

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

Yes No

NOTE 25 Change in Incurred Losses and Loss Adjustment Expenses

The Company did not have any changes in the provision for incurred loss or loss adjustment expenses.

NOTE 26 Intercompany Pooling Arrangements

No significant changes.

NOTE 27 Structured Settlements

No significant changes.

NOTE 28 Health Care Receivables

No significant changes

NOTE 29 Participating Policies

No significant changes.

NOTE 30 Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	\$	62,270
2. Date of the most recent evaluation of this liability	12/31/2019	
3. Was anticipated investment income utilized in the calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

NOTE 31 Reserves for Life Contracts and Annuity Contracts

No significant changes.

NOTE 32 Analysis of Annuity Actuarial Reserves and Deposit Type Contract Liabilities by Withdrawal Characteristics

No significant changes.

NOTE 33 Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant changes.

NOTE 34 Premium & Annuity Considerations Deferred and Uncollected

No significant changes.

NOTE 35 Separate Accounts

No significant changes.

NOTE 36 Loss/Claim Adjustment Expenses

No significant changes.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No [X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X]
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/13/2019
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America
GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0
13. Amount of real estate and mortgages held in short-term investments: \$0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$0 | \$0 |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.3 Total payable for securities lending reported on the liability page. \$0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America
GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No [X]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank National Association	1555 N Riber Center Drive Suite 392; Milwaukee, WI 43212

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
ScotiaTrust	Exchange Tower, 130 King Street West, 20th Floor; Toronto, ON M5X 1K1	Canadian investments are in compliance with OSFI.

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Sun Life Capital Management, LLC	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109684	Sun Life Capital Management (U.S.) LLC	5493001YLOM8HINPEN55	SEC	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America
GENERAL INTERROGATORIES

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident Health Companies/Fraternal Benefit Societies:

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories: 1
Amount
- 1.1 Long-Term Mortgages In Good Standing
- 1.11 Farm Mortgages \$ 0
- 1.12 Residential Mortgages \$ 0
- 1.13 Commercial Mortgages \$ 0
- 1.14 Total Mortgages in Good Standing \$ 0
- 1.2 Long-Term Mortgages In Good Standing with Restructured Terms
- 1.21 Total Mortgages in Good Standing with Restructured Terms \$ 0
- 1.3 Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months
- 1.31 Farm Mortgages \$ 0
- 1.32 Residential Mortgages \$ 0
- 1.33 Commercial Mortgages \$ 0
- 1.34 Total Mortgages with Interest Overdue more than Three Months \$ 0
- 1.4 Long-Term Mortgage Loans in Process of Foreclosure
- 1.41 Farm Mortgages \$ 0
- 1.42 Residential Mortgages \$ 0
- 1.43 Commercial Mortgages \$ 0
- 1.44 Total Mortgages in Process of Foreclosure \$ 0
- 1.5 Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2) \$ 0
- 1.6 Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter
- 1.61 Farm Mortgages \$ 0
- 1.62 Residential Mortgages \$ 0
- 1.63 Commercial Mortgages \$ 0
- 1.64 Total Mortgages Foreclosed and Transferred to Real Estate \$ 0
2. Operating Percentages:
- 2.1 A&H loss percent 58.500 %
- 2.2 A&H cost containment percent 0.000 %
- 2.3 A&H expense percent excluding cost containment expenses 47.100 %
- 3.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 3.2 If yes, please provide the amount of custodial funds held as of the reporting date \$ 0
- 3.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 3.4 If yes, please provide the balance of the funds administered as of the reporting date \$ 0
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []
- 4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurances for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [X] No [] N/A []
- 5.2 If no, explain:

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No [X]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

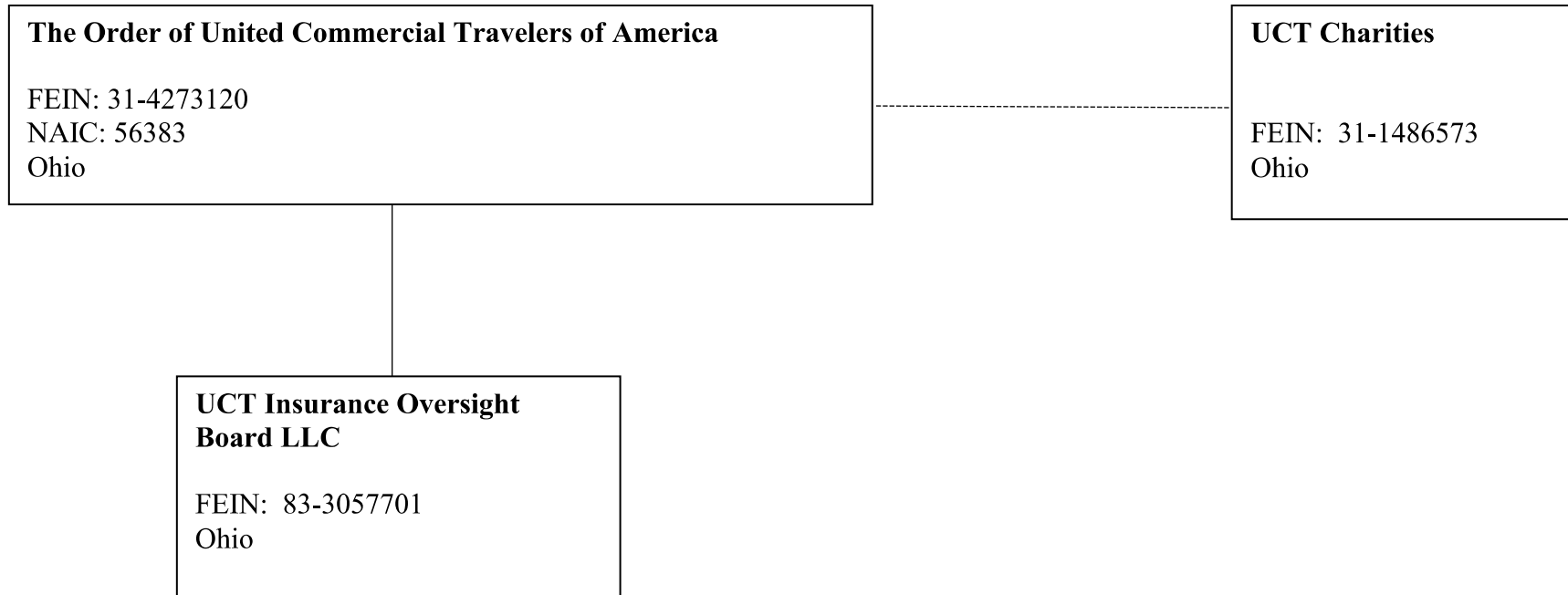
STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.	1	Life Contracts		Direct Business Only			7	
		2	3	4	5	6		
	Active Status (a)	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 Through 5	Deposit-Type Contracts	
1. Alabama	AL	L	7,550	.0	406,763	0	414,313	.0
2. Alaska	AK	N	200	.0	5,059	0	5,259	.0
3. Arizona	AZ	L	3,519	.0	865,824	0	869,344	.0
4. Arkansas	AR	L	3,271	.0	875,208	0	878,478	.0
5. California	CA	L	26,578	.0	152,069	0	178,647	.0
6. Colorado	CO	L	461	9,900	1,446,171	0	1,456,533	.0
7. Connecticut	CT	L	3,676	.0	9,869	0	13,545	.0
8. Delaware	DE	L	.0	.0	6,065	0	6,065	.0
9. District of Columbia	DC	L	.0	.0	25	0	25	.0
10. Florida	FL	L	35,337	.0	1,474,462	0	1,509,798	.0
11. Georgia	GA	L	18,661	.0	248,080	0	266,741	.0
12. Hawaii	HI	N	.0	.0	1,776	0	1,776	.0
13. Idaho	ID	L	.0	.0	1,687,929	0	1,687,929	.0
14. Illinois	IL	L	25,804	.0	1,360,082	0	1,385,887	.0
15. Indiana	IN	L	23,498	.0	1,975,221	0	1,998,719	.0
16. Iowa	IA	L	4,547	.0	859,634	0	864,181	.0
17. Kansas	KS	L	4,919	1,275	197,231	0	203,426	.0
18. Kentucky	KY	L	12,288	.0	74,833	0	87,121	.0
19. Louisiana	LA	L	13,098	.0	818,556	0	831,653	.0
20. Maine	ME	N	.0	.0	5,335	0	5,335	.0
21. Maryland	MD	L	1,630	.0	28,181	0	29,811	.0
22. Massachusetts	MA	L	1,872	.0	38,809	0	40,681	.0
23. Michigan	MI	L	48,640	.0	733,961	0	782,601	.0
24. Minnesota	MN	L	949	.0	69,709	0	70,659	.0
25. Mississippi	MS	L	18,675	.0	1,974,791	0	1,993,466	.0
26. Missouri	MO	L	9,998	.0	433,716	0	443,714	.0
27. Montana	MT	L	323	.0	637,982	0	638,305	.0
28. Nebraska	NE	L	8,665	.0	2,949,058	0	2,957,723	.0
29. Nevada	NV	L	1,457	.0	256,628	0	258,085	.0
30. New Hampshire	NH	L	798	.0	9,368	0	10,166	.0
31. New Jersey	NJ	L	7,776	.0	8,351	0	16,128	.0
32. New Mexico	NM	N	20	.0	16,862	0	16,881	.0
33. New York	NY	L	914	.0	48,551	0	49,465	.0
34. North Carolina	NC	L	17,968	.0	767,557	0	785,526	.0
35. North Dakota	ND	L	3,801	.0	568,726	0	572,528	.0
36. Ohio	OH	L	42,418	.0	1,017,980	0	1,060,398	.0
37. Oklahoma	OK	L	8,100	10,000	262,772	0	280,872	.0
38. Oregon	OR	L	12,608	.0	1,310,876	0	1,323,484	.0
39. Pennsylvania	PA	L	21,766	250	463,061	0	485,076	.0
40. Rhode Island	RI	L	1,497	.0	4,045	0	5,542	.0
41. South Carolina	SC	L	2,988	.0	296,240	0	299,228	.0
42. South Dakota	SD	L	4,240	.0	256,189	0	260,430	.0
43. Tennessee	TN	L	16,808	200	196,944	0	213,953	.0
44. Texas	TX	L	37,836	.0	766,747	0	804,583	.0
45. Utah	UT	L	916	.0	485,968	0	486,884	.0
46. Vermont	VT	L	.0	.0	3,934	0	3,934	.0
47. Virginia	VA	L	11,949	.0	1,459,657	0	1,471,606	.0
48. Washington	WA	N	.0	.0	78,332	0	78,332	.0
49. West Virginia	WV	L	7,163	.0	763,252	0	770,416	.0
50. Wisconsin	WI	L	8,653	.0	1,313,481	0	1,322,133	.0
51. Wyoming	WY	L	442	.0	701,543	0	701,985	.0
52. American Samoa	AS	N	.0	.0	0	0	0	.0
53. Guam	GU	N	.0	.0	0	0	0	.0
54. Puerto Rico	PR	N	.0	.0	0	0	0	.0
55. U.S. Virgin Islands	VI	N	.0	.0	0	0	0	.0
56. Northern Mariana Islands	MP	N	.0	.0	0	0	0	.0
57. Canada	CAN	L	.0	.0	512	0	512	.0
58. Aggregate Other Aliens	OT	XXX	.0	.0	0	0	0	.0
59. Subtotal	XXX	484,278	21,625	30,393,978	0	30,899,880	0	.0
90. Reporting entity contributions for employee benefits plans	XXX	.0	.0	0	0	0	0	.0
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX	.0	.0	0	0	0	0	.0
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX	.0	.0	0	0	0	0	.0
93. Premium or annuity considerations waived under disability or other contract provisions	XXX	.0	.0	0	0	0	0	.0
94. Aggregate or other amounts not allocable by State	XXX	.0	.0	0	0	0	0	.0
95. Totals (Direct Business)	XXX	484,278	21,625	30,393,978	0	30,899,880	0	.0
96. Plus Reinsurance Assumed	XXX	.0	.0	0	0	0	0	.0
97. Totals (All Business)	XXX	484,278	21,625	30,393,978	0	30,899,880	0	.0
98. Less Reinsurance Ceded	XXX	367,468	11,139	21,117,517	0	21,496,124	0	.0
99. Totals (All Business) less Reinsurance Ceded	XXX	116,810	10,486	9,276,461	0	9,403,756	0	.0
DETAILS OF WRITE-INS								
58001.	XXX							
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	.0	.0	0	0	0	0	.0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	.0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	.0	.0	0	0	0	0	.0
9499. Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX	0	0	0	0	0	0	.0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....47 R - Registered - Non-domiciled RRGs..... 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
N - None of the above - Not allowed to write business in the state.....10



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0000		56383	31-4273120	0	0		The Order of United Commercial Travelers of America	OH	RE	The Order of United Commercial Travelers of America	Board of Directors	0.000	The Order of United Commercial Travelers of America	N	0
.0000		.00000	31-1486573	0	0		UCT Charities	OH	OTH	The Order of United Commercial Travelers of America	Other	0.000	The Order of United Commercial Travelers of America	N	1
.0000		.00000	83-3057701	0	0		UCT Insurance Oversight Board LLC	OH	DS	The Order of United Commercial Travelers of America	Ownership	100.000	The Order of United Commercial Travelers of America	N	0

Asterisk	Explanation
1	This entity is a 501(c)(3) charitable organization that provides scholarships. The Board of Directors of UCT Charities is appointed by the Board of The Order of United Commercial Travelers of America.

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

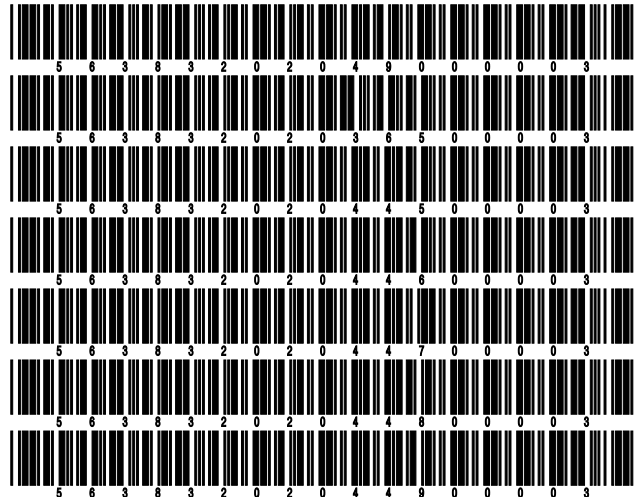
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarter Only) The response for 1st and 3rd quarters should be N/A. A NO response resulting with a bar code is only appropriate in the 2nd quarter.	N/A

Explanation:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America
OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Summary of Operations Line 8.3

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
08.304. Misc Income	0	0	0
08.397. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	11,719,136	13,314,481
2. Cost of bonds and stocks acquired	1,318,063	1,277,034
3. Accrual of discount	6,823	8,156
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	(112,836)	(49,826)
6. Deduct consideration for bonds and stocks disposed of	2,482,373	3,010,077
7. Deduct amortization of premium	24,191	38,254
8. Total foreign exchange change in book/adjusted carrying value	264,792	213,182
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	11,801	4,440
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	10,701,215	11,719,136
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	10,701,215	11,719,136

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	8,316,386	504,867	374,623	(153,559)	9,341,490	8,316,386	8,293,071	9,910,567
2. NAIC 2 (a)	2,054,953	0	0	149,505	1,855,715	2,054,953	2,204,458	1,808,569
3. NAIC 3 (a)	203,879	0	0	(193)	204,070	203,879	203,686	0
4. NAIC 4 (a)	0	0	0	0	0	0	0	0
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	10,575,218	504,867	374,623	(4,247)	11,401,275	10,575,218	10,701,215	11,719,136
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	10,575,218	504,867	374,623	(4,247)	11,401,275	10,575,218	10,701,215	11,719,136

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Premium	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	0	.611,428
3. Accrual of discount	0	5,186
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	2,718
6. Deduct consideration received on disposals	0	.619,332
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	170,595	147,294
2. Cost of cash equivalents acquired	2,588,533	2,154,621
3. Accrual of discount	216	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	(317,761)	0
6. Deduct consideration received on disposals	2,180,342	2,131,320
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	261,241	170,595
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	261,241	170,595

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini- strative Symbol
313590-50-2	FINMA		.08/03/2020	CITIGROUP/ELECTRONIC		49,624	50,000	.0	1
314180-07-0	FINMA #MIA4077		.07/06/2020	MIZUHO		255,642	249,502	.97	1
3199999. Subtotal - Bonds - U.S. Special Revenues						305,266	299,502	.97	XXX
191216-DE-7	GOCA-COLA CO/THE		.09/14/2020	CITIGROUP/ELECTRONIC		99,981	100,000	.0	1FE
74340Y-BR-1	PROLOGIS LP		.08/06/2020	CITIGROUP/ELECTRONIC		99,620	100,000	.0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						199,601	200,000	.0	XXX
8399997. Total - Bonds - Part 3						504,867	499,502	.97	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						504,867	499,502	.97	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	.0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	.0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	.0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	.0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	.0	XXX
9999999 - Totals						504,867	XXX	.97	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE The Order Of United Commercial Travelers Of America

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
912828-5B-2	US TREASURY N/B		09/30/2020	MATURITY		125,000	125,000	124,976	124,991	.0	.9	.0	.9	.0	125,000	.0	.0	.0	1,719	09/30/2020	1	
912828-M9-8	US TREASURY N/B		08/07/2020	CITIGROUP/ELECTRONIC		100,469	100,000	100,363	100,103	.0	(68)	.0	(68)	.0	100,035	.0	434	434	1,128	11/30/2020	1	
912828-WY-2	US TREASURY N/B		09/15/2020	NOMURA SECS CO LTD		25,461	25,000	25,571	25,157	.0	(70)	.0	(70)	.0	25,087	.0	374	374	634	07/31/2021	1	
0599999	Subtotal - Bonds - U.S. Governments					250,930	250,000	250,910	250,251	0	(129)	0	(129)	0	250,122	0	808	808	3,481	XXX	XXX	
3128M4-NK-5	FHLMC G03050		09/01/2020	MBS PMT		.708	.708	.756	.714	.0	(6)	.0	(6)	.0	.708	.0	.0	.0	.24	07/01/2037	1	
3128M7-L4-6	FHLMC G05447		09/01/2020	MBS PMT		1,443	1,443	1,517	1,455	.0	(12)	.0	(12)	.0	1,443	.0	.0	.0	.42	05/01/2039	1	
3128M8-AV-6	FHLMC G06020		09/01/2020	MBS PMT		.488	.488	.531	.493	.0	(5)	.0	(5)	.0	.488	.0	.0	.0	.18	12/01/2039	1	
3128MJ-S3-5	FHLMC G08537		09/01/2020	MBS PMT		8,943	8,943	8,813	8,928	.0	.14	.0	.14	.0	8,943	.0	.0	.0	.180	07/01/2043	1	
3128MJ-UA-6	FHLMC G08576		09/01/2020	MBS PMT		5,526	5,526	5,539	5,529	.0	(3)	.0	(3)	.0	5,526	.0	.0	.0	.129	03/01/2044	1	
3128MJ-VV-9	FHLMC G08627		09/01/2020	MBS PMT		15,727	15,727	16,418	15,878	.0	(151)	.0	(151)	.0	15,727	.0	.0	.0	.366	02/01/2045	1	
3129S-B3-3	FHLMC C09058		09/01/2020	MBS PMT		3,340	3,340	3,486	3,367	.0	(27)	.0	(27)	.0	3,340	.0	.0	.0	.88	03/01/2044	1	
3132G-F4-3	FHLMC Q04087		09/01/2020	MBS PMT		1,495	1,495	1,520	1,499	.0	(4)	.0	(4)	.0	1,495	.0	.0	.0	.35	10/01/2041	1	
3132V-L6-7	FHLMC Q09949		09/01/2020	MBS PMT		4,405	4,405	4,623	4,425	.0	(20)	.0	(20)	.0	4,405	.0	.0	.0	.89	08/01/2042	1	
3138AW-4W-0	FNMA #AJ5336		09/01/2020	MBS PMT		12,043	12,043	12,355	12,107	.0	(64)	.0	(64)	.0	12,043	.0	.0	.0	.239	11/01/2026	1	
3138M2-A3-0	FNMA #A09925		09/01/2020	MBS PMT		4,913	4,913	5,251	4,960	.0	(47)	.0	(47)	.0	4,913	.0	.0	.0	.116	07/01/2042	1	
3138Q-ZS-9	FHLMC 3745 AV		09/01/2020	MBS PMT		7,685	7,685	8,360	7,752	.0	(67)	.0	(67)	.0	7,685	.0	.0	.0	.205	09/15/2023	1	
3140F9-WA-9	FNMA #BD2440		09/01/2020	MBS PMT		18,238	18,238	18,671	18,345	.0	(107)	.0	(107)	.0	18,238	.0	.0	.0	.425	01/01/2047	1	
3140J5-NM-7	FNMA #BM1295		09/01/2020	MBS PMT		5,405	5,405	5,706	5,461	.0	(55)	.0	(55)	.0	5,405	.0	.0	.0	.163	06/01/2047	1	
31417Y-TT-4	FNMA #HA0561		09/01/2020	MBS PMT		3,247	3,247	3,378	3,262	.0	(15)	.0	(15)	.0	3,247	.0	.0	.0	.88	11/01/2040	1	
31418C-IV-2	FNMA #HA3359		09/01/2020	MBS PMT		27,565	27,565	26,734	27,390	.0	175	.0	175	.0	27,565	.0	.0	.0	.544	04/01/2038	1	
31418D-Q7-0	FNMA #HA4077		09/01/2020	MBS PMT		2,479	2,479	2,540	.0	.0	(1)	.0	(1)	.0	2,479	.0	.0	.0	.7	07/01/2050	1	
31418R-GS-4	FNMA #AD3808		09/01/2020	MBS PMT		853	853	901	859	.0	(7)	.0	(7)	.0	853	.0	.0	.0	.25	04/01/2040	1	
3199999	Subtotal - Bonds - U.S. Special Revenues					124,503	124,503	127,099	122,424	0	(402)	0	(402)	0	124,503	0	0	0	2,783	XXX	XXX	
8399997	Total - Bonds - Part 4					375,433	374,503	378,009	372,675	0	(531)	0	(531)	0	374,625	0	808	808	6,264	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					375,433	374,503	378,009	372,675	0	(531)	0	(531)	0	374,625	0	808	808	6,264	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					375,433	XXX	378,009	372,675	0	(531)	0	(531)	0	374,625	0	808	808	6,264	XXX	XXX	

E05

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

