



QUARTERLY STATEMENT

As of September 30, 2020
of the Condition and Affairs of the

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

NAIC Group Code..... 0, 0 (Current Period) (Prior Period) NAIC Company Code..... 56340 Employer's ID Number..... 34-0220550
 Organized under the Laws of OH State of Domicile or Port of Entry OH Country of Domicile US
 Licensed as Business Type: Fraternal Benefit Society
 Incorporated/Organized..... January 9, 1892 Commenced Business..... October 1, 1890
 Statutory Home Office 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code)
 Main Administrative Office 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code) 216-642-9406 (Area Code) (Telephone Number)
 Mail Address 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)
 Primary Location of Books and Records 6611 ROCKSIDE ROAD .. INDEPENDENCE .. OH .. US .. 44131 (Street and Number) (City or Town, State, Country and Zip Code) 216-642-9406 (Area Code) (Telephone Number)
 Internet Web Site Address WWW.FCSU.COM
 Statutory Statement Contact KENNETH ANTHONY ARENDT (Name) 216-642-9406 (Area Code) (Telephone Number) (Extension)
 FCSU@AOL.COM (E-Mail Address) 216-642-4310 (Fax Number)

OFFICERS

Name	Title	Name	Title
1. ANDREW MATHEW RAJEC	PRESIDENT	2. KENNETH ANTHONY ARENDT	EXECUTIVE SECRETARY
3. GEORGE F. MATTA II	TREASURER	4. ANDREW R. HARCAR SR	VICE PRESIDENT

OTHER

GARY J. MATTA	GENERAL COUNSEL	EDWARD COWMAN	ACTUARY
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DIRECTORS OR TRUSTEES

ANDREW MATHEW RAJEC	ANDREW R. HARCAR SR	KENNETH ANTHONY ARENDT	GEORGE F. MATTA II
REV. THOMAS NASTA	SABINA SABADOS	THOMAS IVANEC	JAMES MARMOL
MARTHA ZAVADA-WOJCIK	MILOS MITRO	DAMIAN NASTA	KEVIN COLLINS
MICHAEL LAKO	TIMOTHY GRAVES		

State of..... OHIO
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) ANDREW MATHEW RAJEC _____ 1. (Printed Name) PRESIDENT _____ (Title)	_____ (Signature) KENNETH ANTHONY ARENDT _____ 2. (Printed Name) EXECUTIVE SECRETARY _____ (Title)	_____ (Signature) GEORGE F. MATTA II _____ 3. (Printed Name) TREASURER _____ (Title)
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Subscribed and sworn to before me
This _____ day of NOVEMBER, 2020

a. Is this an original filing? Yes [X] No []
 b. If no: 1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	384,631,284		384,631,284	375,195,522
2. Stocks:				
2.1 Preferred stocks.....	500,000		500,000	
2.2 Common stocks.....	2,295,789		2,295,789	5,373,038
3. Mortgage loans on real estate:				
3.1 First liens.....	85,855		85,855	98,970
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	517,303		517,303	573,086
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	369,196		369,196	357,633
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....38,721,730), cash equivalents (\$.....0) and short-term investments (\$.....1,975,169).....	40,696,872		40,696,872	20,830,145
6. Contract loans (including \$.....0 premium notes).....	1,164,496		1,164,496	1,204,506
7. Derivatives.....			0	
8. Other invested assets.....	7,424,449		7,424,449	8,042,686
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	437,685,244	0	437,685,244	411,675,586
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	5,093,613		5,093,613	4,828,524
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	27,680		27,680	20,400
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	550	550	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	442,807,087	550	442,806,537	416,524,510
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	442,807,087	550	442,806,537	416,524,510

DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Deposits 550.....	550	550	0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	550	550	0	0

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....0 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	350,472,708	325,561,282
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	35,310,068	36,266,916
4. Contract claims:		
4.1 Life.....	300,000	300,000
4.2 Accident and health.....		
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....	400,000	400,000
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....		
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	48,777	53,414
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....		
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded.....		
9.4 Interest Maintenance Reserve.....	469,548	771,087
10. Commissions to agents due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....	116,803	32,660
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....	27,923	77,679
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	18,683	18,683
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....		
15.2 Net deferred tax liability.....		
16. Unearned investment income.....		
17. Amounts withheld or retained by reporting entity as agent or trustee.....	6,341,744	6,167,377
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....		
19. Remittances and items not allocated.....		
20. Net adjustment in assets and liabilities due to foreign exchange rates.....	9,511	9,511
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....		
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	2,682,342	3,993,202
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
24.04 Payable to parent, subsidiaries and affiliates.....		
24.05 Drafts outstanding.....		
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....		
24.08 Derivatives.....		
24.09 Payable for securities.....	750,000	
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	962,581	724,693
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	397,910,688	374,376,504
27. From Separate Accounts statement.....		
28. Total liabilities (Lines 26 and 27).....	397,910,688	374,376,504
29. Common capital stock.....		
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....		
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	44,895,849	42,148,006
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 29 \$.....0).....		
36.20.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	44,895,849	42,148,006
38. Totals of Lines 29, 30 and 37.....	44,895,849	42,148,006
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	442,806,537	416,524,510

DETAILS OF WRITE-INS

2501. Postretirement Reserve.....	428,568	413,726
2502. Security Deposits.....	9,013	10,967
2503. Convention Accrual.....	525,000	300,000
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	962,581	724,693
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	29,514,181	12,164,449	18,944,367
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	13,079,435	12,239,877	15,841,382
4. Amortization of Interest Maintenance Reserve (IMR).....	293,675	289,744	405,470
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....			
6. Commissions and expense allowances on reinsurance ceded.....			
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	1,875	77,540	20,491
9. Totals (Lines 1 to 8.3).....	42,889,166	24,771,610	35,211,710
10. Death benefits.....	2,486,463	2,430,368	2,947,289
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....	10,258,706	11,823,877	15,861,490
13. Disability benefits and benefits under accident and health contracts.....			
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	365,525	422,927	525,812
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....	448,047	126,214	760,700
18. Payments on supplementary contracts with life contingencies.....			
19. Increase in aggregate reserves for life and accident and health contracts.....	24,911,426	5,958,739	10,396,020
20. Totals (Lines 10 to 19).....	38,470,167	20,762,125	30,491,311
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	512,940	199,755	317,576
22. Commissions and expense allowances on reinsurance assumed.....			
23. General insurance expenses and fraternal expenses.....	2,758,139	2,857,533	3,295,310
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	94,002	93,066	118,288
25. Increase in loading on deferred and uncollected premiums.....			
26. Net transfers to or (from) Separate Accounts net of reinsurance.....			
27. Aggregate write-ins for deductions.....	(657,678)	(507,105)	(327,461)
28. Totals (Lines 20 to 27).....	41,177,570	23,405,374	33,895,024
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	1,711,596	1,366,236	1,316,686
30. Dividends to policyholders and refunds to members.....	307,143	302,370	424,834
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	1,404,453	1,063,866	891,852
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....			
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	1,404,453	1,063,866	891,852
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....0 (excluding taxes of \$.....0 transferred to the IMR).....	3,543,953	106,659	6,960,683
35. Net income (Line 33 plus Line 34).....	4,948,406	1,170,525	7,852,535
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	42,148,006	32,374,585	32,374,585
37. Net income (Line 35).....	4,948,406	1,170,525	7,852,535
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	(3,546,497)	660,306	3,188,390
39. Change in net unrealized foreign exchange capital gain (loss).....			
40. Change in net deferred income tax.....			
41. Change in nonadmitted assets.....	1,946	2,189	2,919
42. Change in liability for reinsurance in unauthorized and certified companies.....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....			
44. Change in asset valuation reserve.....	1,310,860	(171,010)	(1,209,880)
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
47. Other changes in surplus in Separate Accounts Statement.....			
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....			
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....			
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	33,128	7,319	(60,543)
54. Net change in capital and surplus (Lines 37 through 53).....	2,747,843	1,669,329	9,773,421
55. Capital and surplus as of statement date (Lines 36 + 54).....	44,895,849	34,043,914	42,148,006
DETAILS OF WRITE-INS			
08.301. ADVERTISING AND SUBSCRIPTION INCOME.....		2,465	3,150
08.302.			
08.303. MISCELLANEOUS INCOME.....	1,875	75,075	17,341
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	1,875	77,540	20,491
2701. NET CHANGE IN PENSION FUND.....	(657,678)	(507,105)	(327,461)
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	(657,678)	(507,105)	(327,461)
5301. ACCRUAL & ASSET ADJUSTMENTS.....	33,128	7,319	(700)
5302. PRIOR YEAR ADJUSTMENT SCHEDULE BA SECURITIES.....			(59,843)
5303. INCREASE IN POST RETIREMENT COST.....			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	33,128	7,319	(60,543)

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	29,502,264	12,165,436	18,951,521
2. Net investment income.....	13,680,129	12,768,351	16,943,051
3. Miscellaneous income.....	3,476,310	77,540	20,491
4. Total (Lines 1 through 3).....	46,658,703	25,011,327	35,915,063
5. Benefit and loss related payments.....	13,558,741	14,803,386	19,463,992
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	2,435,127	2,408,257	3,084,274
8. Dividends paid to policyholders.....	307,143	302,370	424,834
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	16,301,011	17,514,013	22,973,100
11. Net cash from operations (Line 4 minus Line 10).....	30,357,692	7,497,313	12,941,964
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	33,968,738	15,940,757	25,126,473
12.2 Stocks.....		224,026	224,026
12.3 Mortgage loans.....	13,115	169,356	352,288
12.4 Real estate.....			8,084,987
12.5 Other invested assets.....	1,832,100		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			65,167
12.7 Miscellaneous proceeds.....	750,000	475,226	
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	36,563,953	16,809,365	33,852,941
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	44,937,722	32,366,371	48,460,047
13.2 Stocks.....	860,448	23,500	23,500
13.3 Mortgage loans.....		80,000	80,000
13.4 Real estate.....			
13.5 Other invested assets.....	511,720	17,550	206,718
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	46,309,890	32,487,421	48,770,265
14. Net increase or (decrease) in contract loans and premium notes.....	(40,010)	49,123	97,108
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(9,705,927)	(15,727,179)	(15,014,432)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	(956,848)	4,009,333	6,723,173
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	171,810	303,649	347,536
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(785,038)	4,312,982	7,070,709
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	19,866,727	(3,916,884)	4,998,241
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	20,830,144	15,831,903	15,831,903
19.2 End of period (Line 18 plus Line 19.1).....	40,696,871	11,915,019	20,830,144

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	1,348,139	1,093,163	1,501,223
3. Ordinary individual annuities.....	28,195,976	11,071,286	17,480,572
4. Credit life (group and individual).....			
5. Group life insurance.....			
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....			
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	29,544,115	12,164,449	18,981,795
12. Fraternal (Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	29,544,115	12,164,449	18,981,795
14. Deposit-type contracts.....			
15. Total (Lines 13 and 14).....	29,544,115	12,164,449	18,981,795

DETAILS OF WRITE-INS

1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
NET INCOME					
(1) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 4,948,406	\$ 7,852,535
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 4,948,406	\$ 7,852,535
SURPLUS					
(5) FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA Company state basis (Page 3, line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 44,895,849	\$ 42,148,006
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 44,895,849	\$ 42,148,006

B. Use of Estimates in the Preparation of the Financial Statement

No significant changes

C. Accounting Policy NONE

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method NONE

Bonds: Not backed by other loans at amortized cost using the interest method; loan-backed bonds and structured securities at amortized cost using the interest method including anticipate prepayments at the date of purchase; significant changes in estimated cash flows from the original purchase assumptions are accounted for using the composite method. Bonds rated NAIC Class 6 are valued at market.

(6) Basis for Loan-Backed Securities and Adjustment Methodology NONE

Loan backed securities are handled the same as bonds as described in item C(2) above.

D. Going Concern

After carefully evaluating the Society's ability to continue as a going concern, Society management was not aware of any conditions or events which raised substantial doubts concerning the Society's ability to continue as a going concern as of the date of the filing of this statement.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant changes

B. Debt Restructuring

No significant changes

C. Reverse Mortgages

No significant changes

D. Loan-Backed Securities

(1-5) NONE

E. Dollar Repurchase Agreements and/or Securities Lending Transactions NONE

(3) Collateral Received

a. Aggregate Amount Collateral Received

No significant changes

b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged

\$ NONE

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing NONE

NOTES TO FINANCIAL STATEMENTS

- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions
(1 - 10) NONE
- H. Repurchase Agreements Transactions Accounted for as a Sale
Repurchase Transaction – Cash Taker – Overview of Sale Transactions
(1 - 9) NONE
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
Repurchase Transaction – Cash Provider – Overview of Sale Transactions
(1 - 8) NONE
- J. Real Estate
No significant changes
- K. Low-Income Housing Tax Credits (LIHTC)
No significant changes
- L. Restricted Assets
No significant changes
- M. Working Capital Finance Investments NONE
- N. Offsetting and Netting of Assets and Liabilities NONE
- O. 5GI Securities
No significant changes
- P. Short Sales
No significant changes
- Q. Prepayment Penalty and Acceleration Fees
No significant changes

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments NOT APPLICABLE

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

Note 11 – Debt

- A. Debt Including Capital Notes
No significant changes
- B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement

The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company has conducted business activity (borrowings) with the FHLB. It is part of the Company's strategy to utilize these funds in an investment spread strategy, consistent with its other investment spread operations. The Company has determined the actual/estimated maximum borrowing capacity as \$51,278. The Company calculated this amount in accordance with current FHLB capital stock.

(2) FHLB Capital Stock

a. Aggregate Totals

1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 666,439	\$ 666,439	\$
(b) Membership Stock – Class B			
(c) Activity Stock	1,014,061	1,014,061	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$ 1,680,500	\$ 1,680,500	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	31,082,523	XXX	XXX

NOTES TO FINANCIAL STATEMENTS

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A	\$ 619,712	\$ 619,712	\$
(b) Membership Stock – Class B			
(c) Activity Stock	1,013,988	1,013,988	
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	\$ 1,633,700	\$ 1,633,700	\$
(f) Actual or estimated borrowing capacity as determined by the insurer	32,413,615	XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	1 Current Year to Date Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less than 6 Months	4 6 Months to Less Than 1 Year	5 1 to Less Than 3 Years	6 3 to 5 Years
1. Class A	\$ 666,439	\$ 666,439	\$	\$	\$	\$
2. Class B	\$	\$	\$	\$	\$	\$

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

	1 Fair Value	2 Carrying Value	3 Aggregate Total Borrowing
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 35,260,004	\$ 32,028,735	\$ 31,082,523
2. Current Year to Date General Account Total Collateral Pledged	35,260,004	32,028,735	31,082,523
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 46,181,867	\$ 44,136,375	\$ 32,413,615

b. Maximum Amount Pledged During Reporting Period

	1 Fair Value	2 Carrying Value	3 Amount of Borrowed at Time of Maximum Collateral
1. Current Year to Date Total General and Separate Accounts Total Collateral Pledged (Lines 2+3)	\$ 46,181,867	\$ 44,136,375	\$ 32,413,615
2. Current Year to Date General Account Total Collateral Pledged	46,181,867	44,136,375	32,413,615
3. Current Year to Date Separate Accounts Total Collateral Pledged			
4. Prior Year Total General and Separate Accounts Total Collateral Pledged	\$ 46,594,691	\$ 45,440,156	\$ 32,413,615

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Year to Date

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$	\$	\$	XXX
(b) Funding Agreements				\$
(c) Other	31,082,523	31,082,523		XXX
(d) Aggregate Total (a+b+c)	\$ 31,082,523	\$ 31,082,523	\$	\$

2. Prior Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts	4 Funding Agreements Reserves Established
(a) Debt	\$	\$	\$	XXX
(b) Funding Agreements				\$
(c) Other	32,413,615	32,413,615		XXX
(d) Aggregate Total (a+b+c)	\$ 32,413,615	\$ 32,413,615	\$	\$

b. Maximum Amount During Reporting Period (Current Year to Date)

	1 Total 2 + 3	2 General Account	3 Separate Accounts
1. Debt			
2. Funding Agreements			
3. Other	32,413,615	32,413,615	
4. Aggregate Total (Lines 1+2+3)	32,413,615	32,413,615	

c. FHLB – Prepayment Obligations

	Does the Company have Prepayment Obligations under the Following Arrangements (YES/NO)
1. Debt	NO
2. Funding Agreements	NO

NOTES TO FINANCIAL STATEMENTS

3. Other	NO
----------	----

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

- A. Defined Benefit Plan
 (4) Components of Net Periodic Benefit Cost

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	Current Year to Date	2019	Current Year to Date	2019	Current Year to Date	2019
a. Service cost	\$ 106,368	\$ 175,423	\$	\$ 9,179	\$	\$
b. Interest cost	232,829	338,356		20,933		
c. Expected return on plan assets	(252,446)	(363,476)				
d. Transition asset or obligation						
e. Gains and losses	22,602	13,248		12,413		
f. Prior service cost or credit						
g. Gain or loss recognized due to a settlement curtailment						
h. Total net periodic benefit cost	\$ 109,353	\$ 163,551	\$	\$ 42,525	\$	\$

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

No significant changes

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets NONE

C. Wash Sales (1-2) NONE

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

- A. Fair Value Measurements
 (1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
COMMON STOCK	\$ 1,708,010	\$	\$	\$	\$ 1,708,010
PARENT SUBSIDIARY	\$ 294,098	\$	\$	\$	\$ 294,098
MUTUAL FUNDS	\$ 293,681	\$	\$	\$	\$ 293,681
Total	\$ 2,295,789	\$	\$	\$	\$ 2,295,789
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

(2 - 5) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy NONE

B.- E. NONE

NOTES TO FINANCIAL STATEMENTS

Note 21 – Other Items

No significant changes

Note 22 – Events Subsequent

Subsequent events have been considered through SEPTEMBER 30 2020 for these statutory financial statements which are to be issued on SEPTEMBER 30 2020 . There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act NONE

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions?

Yes [] No [X]

(2 - 5) NONE

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A.- B NONE

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

No significant changes

Note 28 – Health Care Receivables

No significant changes

Note 29 – Participating Policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – Reserves for Life Contracts and Deposit-Type Contracts

No significant changes

Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant changes

Note 33 – Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant changes

Note 34 – Premium and Annuity Considerations Deferred and Uncollected

No significant changes

Note 35 – Separate Accounts

No significant changes

Note 36 – Loss/Claim Adjustment Expenses

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X]
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 08/18/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2016
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/15/2017

6.4 By what department or departments?
STATE OF OHIO, DEPT. OF INSURANCE

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	3,694,098	294,098
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 3,694,098	\$ 294,098
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A [X]
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
KEYBANK, NA	127 PUBLIC SQUARE, CLEVELAND, OH 44114

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such "[...] that have access to the investment accounts", "handle securities".

1 Name of Firm or Individual	2 Affiliation

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [] No [X]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [X] No []
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - Issuer or obligor is current on all contracted interest and principal payments.
 - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
 - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The security was purchased prior to January 1, 2019.
 - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - The fund only or predominantly holds bonds in its portfolio.
 - The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA GENERAL INTERROGATORIES (continued)

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:		Amount
1.1 Long-term mortgages in good standing		\$
1.11 Farm mortgages.....		\$
1.12 Residential mortgages.....		\$ 14,404
1.13 Commercial mortgages.....		\$ 71,451
1.14 Total mortgages in good standing.....		\$ 85,855
1.2 Long-term mortgages in good standing with restructured terms		
1.21 Total mortgages in good standing with restructured terms.....		\$
1.3 Long-term mortgage loans upon which interest is overdue more than three months		
1.31 Farm mortgages.....		\$
1.32 Residential mortgages.....		\$
1.33 Commercial mortgages.....		\$
1.34 Total mortgages with interest overdue more than three months.....		\$ 0
1.4 Long-term mortgage loans in process of foreclosure		
1.41 Farm mortgages.....		\$
1.42 Residential mortgages.....		\$
1.43 Commercial mortgages.....		\$
1.44 Total mortgages in process of foreclosure.....		\$ 0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)		\$ 85,855
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter		
1.61 Farm mortgages.....		\$
1.62 Residential mortgages.....		\$
1.63 Commercial mortgages.....		\$
1.64 Total mortgages foreclosed and transferred to real estate.....		\$ 0
2. Operating Percentages:		
2.1 A&H loss percent.....		_____
2.2 A&H cost containment percent.....		_____
2.3 A&H expense percent excluding cost containment expenses.....		_____
3.1 Do you act as a custodian for health savings accounts?.....	Yes []	No [X]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....		\$ _____
3.3 Do you act as an administrator for health savings accounts?.....	Yes []	No [X]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....		\$ _____
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [X]	No []
4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes []	No []

Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [] No [X] N/A []
- 5.2 If no, explain:
-

- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [] No [X]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
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NONE

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	Active Status (a)	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
States, Etc.		Life Insurance Premiums	Annuity Considerations	A&H Insurance Premiums, Including Policy Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama.....	AL N					0	
2. Alaska.....	AK N					0	
3. Arizona.....	AZ L		1,053,120			1,053,120	
4. Arkansas.....	AR N					0	
5. California.....	CA N					0	
6. Colorado.....	CO L		81,409			81,409	
7. Connecticut.....	CT L	13,644	429,648			443,293	
8. Delaware.....	DE N					0	
9. District of Columbia.....	DC N					0	
10. Florida.....	FL L	5,473	356,394			361,868	
11. Georgia.....	GA L	10				10	
12. Hawaii.....	HI N					0	
13. Idaho.....	ID N					0	
14. Illinois.....	IL L	42,936	5,016,226			5,059,162	
15. Indiana.....	IN L	58,055	841,225			899,280	
16. Iowa.....	IA L	367	2,451,686			2,452,053	
17. Kansas.....	KS N					0	
18. Kentucky.....	KY L		125,900			125,900	
19. Louisiana.....	LA N					0	
20. Maine.....	ME N					0	
21. Maryland.....	MD L	28	41,800			41,828	
22. Massachusetts.....	MA L	572	6,500			7,072	
23. Michigan.....	MI L	23,130	391,037			414,168	
24. Minnesota.....	MN L	3,774	1,530,039			1,533,812	
25. Mississippi.....	MS N					0	
26. Missouri.....	MO L	364	37,219			37,583	
27. Montana.....	MT N					0	
28. Nebraska.....	NE L	843	416,124			416,967	
29. Nevada.....	NV L	180				180	
30. New Hampshire.....	NH N					0	
31. New Jersey.....	NJ L	49,458	1,055,696			1,105,154	
32. New Mexico.....	NM N					0	
33. New York.....	NY L	45,006	434,023			479,028	
34. North Carolina.....	NC L		1,350			1,350	
35. North Dakota.....	ND N					0	
36. Ohio.....	OH L	200,330	3,851,581			4,051,911	
37. Oklahoma.....	OK N					0	
38. Oregon.....	OR N					0	
39. Pennsylvania.....	PA L	880,285	6,350,146			7,230,431	
40. Rhode Island.....	RI N					0	
41. South Carolina.....	SC L		8,000			8,000	
42. South Dakota.....	SD N					0	
43. Tennessee.....	TN L					0	
44. Texas.....	TX L		20,170			20,170	
45. Utah.....	UT N					0	
46. Vermont.....	VT N					0	
47. Virginia.....	VA L	6,162	161,825			167,987	
48. Washington.....	WA N					0	
49. West Virginia.....	WV L	2,282	1,600			3,882	
50. Wisconsin.....	WI L	15,239	3,533,258			3,548,497	
51. Wyoming.....	WY N					0	
52. American Samoa.....	AS N					0	
53. Guam.....	GU N					0	
54. Puerto Rico.....	PR N					0	
55. US Virgin Islands.....	VI N					0	
56. Northern Mariana Islands.....	MP N					0	
57. Canada.....	CAN N					0	
58. Aggregate Other Alien.....	OT .XXX.	0	0	0	0	0	0
59. Subtotal.....	.XXX.	1,348,139	28,195,976	0	0	29,544,115	0
90. Reporting entity contributions for employee benefit plans.....	.XXX.					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX.	290,365				290,365	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX.	816				816	
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX.					0	
94. Aggregate other amounts not allocable by State.....	.XXX.	0	0	0	0	0	0
95. Totals (Direct Business).....	.XXX.	1,639,320	28,195,976	0	0	29,835,296	0
96. Plus Reinsurance Assumed.....	.XXX.					0	
97. Totals (All Business).....	.XXX.	1,639,320	28,195,976	0	0	29,835,296	0
98. Less Reinsurance Ceded.....	.XXX.	29,936				29,936	
99. Totals (All Business) less Reinsurance Ceded.....	.XXX.	1,609,384	28,195,976	0	0	29,805,360	0

DETAILS OF WRITE-INS

58001.....	.XXX.					0	
58002.....	.XXX.					0	
58003.....	.XXX.					0	
58998. Summary of remaining write-ins for line 58 from overflow page.....	.XXX.	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX.	0	0	0	0	0	0
9401.....	.XXX.					0	
9402.....	.XXX.					0	
9403.....	.XXX.					0	
9498. Summary of remaining write-ins for line 94 from overflow page.....	.XXX.	0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX.	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG..... 27
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0

R - Registered - Non-domiciled RRGs..... 0
 Q - Qualified - Qualified or accredited reinsurer..... 0
 N - None of the above - Not allowed to write business in the state..... 30

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Holding Company System Annual Regulation Statement

FCSU - NAIC 56340

A Fraternal benefit Society

E.I.N. 34-0220550

Filed with the Insurance Department of the State of Ohio by JEDNOTA, INC. on behalf of the following insurer:

First Catholic Slovak Union

6611 Rockside Road

Independence, OH 44131-2398

Domicile: Ohio

September 29, 1986

Correspondence should be addressed:

Mr. George Matta II

C/O: First Catholic Slovak Union

6611 Rockside Road

Independence, OH 44131-2398

Organizational Chart

JEDNOTA, INC. 100% owned by First Catholic Slovak Union, A Fraternal Benefit Society

Subsidiaries: JEDNOTA Properties, INC.

JEDNOTA General Company

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Members															
		00000...	34-0220550..			N/A.....	First Catholic Slovak Union of USA & Canada...	OH.....	UDP.....	First Catholic Slovak Union of USA & Canada.	Ownership.....100.000	First Catholic Slovak Union of the USA & CanadaY.....	
		00000...	34-1537107..			N/A.....	Jednota, Inc.....	OH.....	DS.....	First Catholic Slovak Union of USA & Canada.	Ownership.....100.000	First Catholic Slovak Union of the USA & CanadaY.....	

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	N/A

Explanations:

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.
8. Not Applicable for 1st and 3rd Quarters

Bar Code:



Additional Write-ins for Liabilities:

	1 Current Statement Date	2 December 31 Prior Year
2504. LEGAL RESERVE LAWSUIT FUND.....		
2597. Summary of remaining write-ins for Line 25.....	0	0

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANA SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	930,718	2,150,977
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		6,927,605
5. Deduct amounts received on disposals.....		8,084,987
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	44,219	62,877
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	886,499	930,718
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	886,499	930,718

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	98,970	371,258
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		80,000
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	13,115	352,288
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	85,854	98,970
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	85,854	98,970
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	85,854	98,970

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	8,042,686	7,210,059
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	511,720	206,718
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	657,593	625,909
6. Total gain (loss) on disposals.....	44,550	
7. Deduct amounts received on disposals.....	1,832,100	
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	7,424,449	8,042,686
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	7,424,449	8,042,686

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	380,568,561	355,998,842
2. Cost of bonds and stocks acquired.....	45,798,170	48,483,547
3. Accrual of discount.....	100,078	163,105
4. Unrealized valuation increase (decrease).....	(4,193,443)	2,562,482
5. Total gain (loss) on disposals.....	39,335	113,593
6. Deduct consideration for bonds and stocks disposed of.....	33,963,986	25,350,499
7. Deduct amortization of premium.....	921,642	1,402,509
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	387,427,073	380,568,561
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	387,427,073	380,568,561

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	215,346,004	20,154,741	15,381,982	(298,763)	214,554,881	215,346,004	219,819,999	214,910,911
2. NAIC 2 (a).....	157,740,519		1,211,774	(95,863)	154,612,314	157,740,519	156,432,882	154,933,982
3. NAIC 3 (a).....	8,356,678		52,000	(9,952)	8,314,630	8,356,678	8,294,726	9,531,403
4. NAIC 4 (a).....							0	
5. NAIC 5 (a).....	764,562			(680,884)	765,132	764,562	83,678	765,703
6. NAIC 6 (a).....							0	
7. Total Bonds.....	382,207,762	20,154,741	16,645,756	(1,085,462)	378,246,957	382,207,762	384,631,284	380,141,999
PREFERRED STOCK								
8. NAIC 1.....		500,000					500,000	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	500,000	0	0	0	0	500,000	0
15. Total Bonds and Preferred Stock.....	382,207,762	20,654,741	16,645,756	(1,085,462)	378,246,957	382,207,762	385,131,284	380,141,999

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	1,975,169	XXX	1,975,169		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	4,946,477	3,943,679
2. Cost of short-term investments acquired.....		6,937,631
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	2,971,308	5,934,833
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,975,169	4,946,477
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,975,169	4,946,477

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

**Sch. E - Pt. 2 Verification
NONE**

**Sch. A Pt. 2
NONE**

**Sch. A Pt. 3
NONE**

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	2 City	3 State						

NONE

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

QE02

1 Loan Number	Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Change in Book Value/Recorded Investment					14 Book Value / Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	2 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) / Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8 + 9 - 10 + 11)						13 Total Foreign Exchange Change in Book Value
Mortgages With Partial Repayments																	
988.....	East Millsboro.....	PA.....	08/01/1996....VARIOUS0
1023.....	Canfield.....	OH.....	06/01/1992....VARIOUS0
1046.....	Akron.....	OH.....	09/10/2019....VARIOUS0
0299999. Total - Mortgages With Partial Repayments.....					00000004,4074,407000
0599999. Total Mortgages.....					00000004,4074,407000

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									

NONE

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
48128F KX 9	JP MORGAN CHASE BANK NA BAR-MLCD	CLEVELAND	OH	SOLD	07/31/2018	07/30/2020	250,000	0	0	0	0	0	0	250,000	255,000	0	5,000	5,000	0
1099999. Total - Non-Registered Private Funds with Underlying Assets Having Characteristics of Bonds - NAIC Designation Not Assigned by the SVO - Affiliated							250,000	0	0	0	0	0	0	250,000	255,000	0	5,000	5,000	0
4999999. Subtotal - Affiliated							250,000	0	0	0	0	0	0	250,000	255,000	0	5,000	5,000	0
5099999. Totals							250,000	0	0	0	0	0	0	250,000	255,000	0	5,000	5,000	0

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
Bonds - U.S. Government									
3136B1	3P 7 FANNIE MAE.....		08/25/2020.....	JP MORGAN CHASE.....		1,996,005	1,954,772	5,131	1FE.....
3137BR	ZF 5 FREDDIE MAC.....		08/14/2020.....	KEYBANK NA.....		2,377,060	2,324,753	3,487	1FE.....
3137FC	DA 9 FREDDIE MAC.....		08/26/2020.....	FTN FIN SECURITIES CORP.....		2,030,779	1,997,692	5,827	1FE.....
38380N	5B 1 GOVERNMENT NATL MTG ASSN.....		07/21/2020.....	NATIONAL ALLIANCE SECURITIES C.....		2,093,434	1,994,934	3,059	1FE.....
38380P	SW 5 GOVERNMENT NATL MTG ASSN.....		07/20/2020.....	FTN FIN SECURITIES CORP.....		2,027,297	1,997,337	1,831	1FE.....
38380P	VF 8 GOVERNMENT NATL MTG ASSN.....		08/14/2020.....	KEYBANK NA.....		2,054,294	1,999,312	1,749	1FE.....
0599999	Total - Bonds - U.S. Government.....					12,578,868	12,268,800	21,084	XXX.....
Bonds - Industrial and Miscellaneous									
03332D	AN 4 ANCHORAGE CREDIT FUNDING LTD.....		09/04/2020.....	RAYMOND JAMES INSTITIONAL.....		750,000	750,000		1FE.....
05969A	AA 3 BANCORP INC.....		08/10/2020.....	PIPER JAFFRAY INC.....		500,000	500,000		1FE.....
110122	CW 6 BRISTOL-MYERS SQUIBB CO.....		07/17/2020.....	EXCHANGE.....		503,000	500,000		1FE.....
110122	DF 2 BRISTOL-MYERS SQUIBB CO.....		07/17/2020.....	EXCHANGE.....		556,477	500,000		1FE.....
110122	DF 2 BRISTOL-MYERS SQUIBB CO.....		07/17/2020.....	EXCHANGE.....		541,029	500,000		1FE.....
12634Q	AJ 0 CROSS RIVER BANK GROUP.....		08/18/2020.....	KEYBANK NA.....		500,000	500,000		1FE.....
140501	AA 5 CAPITAL SOUTHWEST CORP.....		08/12/2020.....	RAYMOND JAMES INSTITIONAL.....		500,000	500,000	10,153	1FE.....
23204H	BM 9 CUSTOMERS BANK.....		09/10/2020.....	PIPER JAFFRAY INC.....		512,250	500,000	6,635	1FE.....
422341	EU 7 HEARTLAND CONSUMERS PWR DIST SYS SD.....		07/10/2020.....	PIPER JAFFRAY INC.....		1,024,243	860,000	6,304	1FE.....
50067H	AW 7 KORTH DIRECT MORTGAGE INC.....		07/29/2020.....	PIPER JAFFRAY INC.....		500,000	500,000		1FE.....
691205	AA 6 OWL ROCK TECHNOLOGY FINANCE CORP.....		07/22/2020.....	STIFEL NICOLAUS.....		527,500	500,000	3,938	1FE.....
78416E	AE 3 SFS ASSET SECURITIZATION LLC.....		07/13/2020.....	RAYMOND JAMES INSTITIONAL.....		500,000	500,000	294	1FE.....
89678F	AA 8 TRISTATE CAPITAL HOLDINGS INC.....		07/17/2020.....	KEYBANK NA.....		661,375	650,000	7,267	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					7,575,873	7,260,000	34,591	XXX.....
8399997	Total - Bonds - Part 3.....					20,154,741	19,528,800	55,676	XXX.....
8399999	Total - Bonds.....					20,154,741	19,528,800	55,676	XXX.....
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred									
40417L	20 5 HC GOV REALTY TRUST.....		08/06/2020.....	RAYMOND JAMES INSTITIONAL.....	20,000.000	500,000			
8599999	Total - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Redeemable Preferred.....					500,000	XXX	0	XXX.....
8999997	Total - Preferred Stocks - Part 3.....					500,000	XXX	0	XXX.....
8999999	Total - Preferred Stocks.....					500,000	XXX	0	XXX.....
Common Stocks - Parent, Subsidiaries and Affiliates Publicly Traded									
024071	10 2 AMERICAN BALANCED FD-A.....		07/07/2020.....	CAPITAL GROUP-AMER FDS.....	7,336.080	206,144	XXX		1.....
140193	10 3 CAPITAL INCOME BUILDER FD-A.....		07/07/2020.....	CAPITAL GROUP-AMER FDS.....	1,847.650	107,090	XXX		1.....
140193	40 0 CAPITAL INCOME BUILDER -F1 FD.....		07/07/2020.....	CAPITAL GROUP-AMER FDS.....	7.150	414	XXX		1.....
9299999	Total - Common Stocks - Parent, Subsidiaries and Affiliates Publicly Traded.....					313,648	XXX	0	XXX.....
9799997	Total - Common Stocks - Part 3.....					313,648	XXX	0	XXX.....
9799999	Total - Common Stocks.....					313,648	XXX	0	XXX.....
9899999	Total - Preferred and Common Stocks.....					813,648	XXX	0	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					20,968,389	XXX	55,676	XXX.....

QE04

FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
Bonds - U.S. Government																						
312906	2P 4		09/15/2020	FHLMC REMIC SERIES 1136-H.....		9	9	9	6				0		6		3	3	0	09/15/2021	1FE.....	
3136A8	P9 5		08/25/2020	FNMA CMO PAC 2012-108 SP INV FLT...		203,026	203,026	202,265	202,873				0		202,873		153	153	1,255	10/25/2042	1FE.....	
3136A8	TU 4		08/25/2020	FNMA CMO PAC 2012-99 GC.....		48,831	48,831	48,892	48,831				0		48,831		0	0	121	06/25/2042	1FE.....	
3136A9	HB 7		08/25/2020	FNMA CMO 2012-111 HS INV FLT.....		6,569	6,569	6,307	6,475				0		6,475		94	94	26	10/25/2042	1FE.....	
3136AA	6G 5		08/25/2020	FNMA CMO 2012-151 SB INV FLT.....		59,980	59,980	58,780	59,400				0		59,400		580	580	420	01/25/2043	1FE.....	
3136AB	AK 9		08/25/2020	FNMA CMO 2012-150 CS INV FLT.....		64,503	64,503	64,422	64,464				0		64,464		39	39	455	01/25/2043	1FE.....	
3136AD	BP 3		08/25/2020	FNMA CMO 2013-23 TS.....		188,064	188,064	186,418	188,064				0		188,064		0	0	1,263	03/25/2043	1FE.....	
3136B1	3P 7		09/25/2020	FANNIE MAE.....		162,666	162,666	166,097	166,097				0		166,097		(3,431)	(3,431)	474	06/25/2044	1FE.....	
3137AR	CX 2		09/15/2020	FHLMC CMO 4061 SL INV FLT.....		59,483	59,483	60,821	59,483				0		59,483		0	0	615	06/15/2042	1FE.....	
3137AU	TY 5		09/15/2020	FHR CMO 4117 SC INV FLT.....		33,685	33,685	34,908	33,680				0		33,680		5	5	409	10/15/2042	1FE.....	
3137AY	RP 8		09/15/2020	FHLMC CMO PAC 4165 KA74.....		5,233	5,233	5,272	5,233				0		5,233		0	0	46	02/15/2043	1FE.....	
3137B4	GG 5		09/15/2020	FHLMC CMO 4238 YS INV FLT.....		41,946	41,946	41,841	41,946				0		41,946		0	0	1,184	12/15/2040	1FE.....	
3137BH	KR 7		09/15/2020	FREDDIE MAC 4461 JA MTGE.....		554,558	554,558	562,833	562,833				0		562,833		(8,275)	(8,275)	2,837	08/31/2021	1FE.....	
3137BQ	LJ 4		09/15/2020	FREDDIE MAC.....		139,394	139,394	141,747	139,914				0		139,914		(520)	(520)	995	05/15/2033	1FE.....	
3137BR	ZF 5		09/15/2020	FREDDIE MAC.....		191,371	191,371	195,677	195,677				0		195,677		(4,306)	(4,306)	478	02/15/2046	1FE.....	
3137FC	DA 9		09/15/2020	FREDDIE MAC.....		242,815	242,815	246,836	246,836				0		246,836		(4,022)	(4,022)	708	02/15/2043	1FE.....	
31395W	JS 7		09/15/2020	FHLMC CMO 3005 SW INV FLT.....		66	66	72	69				0		69		(3)	(3)	2	07/15/2035	1FE.....	
31397N	UG 8		08/25/2020	FNMA CMO 2009-19 TD.....		3,182	3,182	3,221	3,193				0		3,193		(11)	(11)	25	08/25/2036	1FE.....	
36202E	V9 7		09/21/2020	GNMA CMO 2 MJM 4240.....		215	215	231	219				0		219		(4)	(4)	2	09/20/2038	1FE.....	
38373M	B8 2		09/21/2020	GNMA CMO PAC 2007-16 PS INV FLT...		4,565	4,565	6,783	4,551				0		4,551		13	13	155	04/20/2037	1FE.....	
38374U	BP 5		09/16/2020	GNMA CMO 2009-32 AB.....		9,601	9,601	10,123	9,601				0		9,601		0	0	49	05/16/2039	1FE.....	
38374U	CJ 8		09/16/2020	GNMA CMO PAC 2009-32 AP.....		9,338	9,338	9,864	9,338				0		9,338		0	0	48	05/16/2039	1FE.....	
38375Y	NF 5		08/20/2020	GNMA CMO PAC 2008-79 CS.....		5,659	5,659	5,734	5,682				0		5,682		(24)	(24)	42	06/20/2035	1FE.....	
38377N	GG 3		08/20/2020	GNMA CMO 2010-157 SH.....		19,958	19,958	20,507	20,152				0		20,152		(194)	(194)	217	12/20/2040	1FE.....	
38378D	X2 6		09/16/2020	GNMA CMO 2012-32 GS INV FLT.....		59,685	59,685	59,589	59,685				0		59,685		0	0	422	04/16/2041	1FE.....	
38378E	PZ 0		09/16/2020	GNMA CMO 2012-69 HA.....		18,488	18,488	18,442	18,454				0		18,454		34	34	119	05/16/2042	1FE.....	
38378F	SB 7		07/20/2020	GNMA CMO 2013-5 MA.....		134,104	134,104	128,565	133,623				0		133,623		481	481	224	07/20/2042	1FE.....	
38378G	SG 4		08/20/2020	GNMA CMO PAC-2 2012-143 DE.....		1	1	1	1				0		1		0	0	0	12/20/2042	1FE.....	
38378J	RY 9		08/20/2020	GNMA CMO PAC-2 2013-41 KE.....		182,420	182,420	184,130	182,409				0		182,409		11	11	912	10/20/2042	1FE.....	
38380N	5B 1		08/17/2020	GOVERNMENT NATL MTG ASSN.....		1,084	1,084	1,137	1,137				0		1,137		(54)	(54)	0	10/16/2061	1FE.....	
38380N	5B 1		09/16/2020	GOVERNMENT NATL MTG ASSN.....		1,106	1,106	1,161	1,161				0		1,161		(55)	(55)	0	10/16/2061	1FE.....	
38380P	SW 5		08/17/2020	GOVERNMENT NATL MTG ASSN.....		2,671	2,671	2,711	2,711				0		2,711		(40)	(40)	0	02/16/2062	1FE.....	
38380P	SW 5		09/16/2020	GOVERNMENT NATL MTG ASSN.....		2,678	2,678	2,718	2,718				0		2,718		(40)	(40)	0	02/16/2062	1FE.....	
38380P	VF 8		09/16/2020	GOVERNMENT NATL MTG ASSN.....		732	732	752	752				0		752		(20)	(20)	1	05/16/2061	1FE.....	
38380V	AB 7		09/21/2020	GOVERNMENT NATL MTG ASSN.....		590,011	590,011	592,039	592,039				0		592,039		(2,028)	(2,028)	2,899	04/20/2044	1FE.....	
38382C	VL 2		09/21/2020	GOVERNMENT NATL MTG ASSN.....		121,772	121,772	121,677	121,677				0		121,677		95	95	460	10/20/2043	1FE.....	
0599999	Total - Bonds - U.S. Government.....						3,169,469	3,169,469	3,192,583	3,190,986	0	0	0	0	0	3,190,986	0	(21,517)	(21,517)	16,863	XXX	XXX
Bonds - All Other Government																						
38373V	NM 8		09/21/2020	GNMA 2002-63 CL NU.....		3,791	3,791	3,910	3,819				0		3,819		(27)	(27)	34	09/20/2032	1FE.....	
38374T	RK 2		09/21/2020	GNMA CMO TAC 2009-22 JL.....		5,639	5,639	5,719	5,664				0		5,664		(25)	(25)	34	04/20/2039	1FE.....	
1099999	Total - Bonds - All Other Government.....						9,431	9,431	9,629	9,483	0	0	0	0	0	9,483	0	(52)	(52)	68	XXX	XXX

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FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
Bonds - U.S. States, Territories and Possessions																					
438701	RG 1		07/01/2020	HONOLULU HAWAII CITY & CNTY REV..		500,000	500,000	503,335	500,000				0		500,000			0	14,990	07/01/2030	1FE
1799999	Total - Bonds - U.S. States, Territories & Possessions					500,000	500,000	503,335	500,000	0	0	0	0	0	500,000	0	0	0	14,990	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
059231	TN 0		07/01/2020	BALTIMORE MD REV TAXBLE.....		250,000	250,000	249,625	250,000				0		250,000			0	8,750	07/01/2035	1FE
106284	DB 5		07/01/2020	BREA CALIF PUB FING AUTH WTR REV..		750,000	750,000	802,178	750,000				0		750,000		(0)	(0)	25,223	07/01/2036	1FE
19679R	NJ 5		09/01/2020	COLORADO WTR RES & PWR DEV REV		500,000	500,000	502,500	500,109		(109)		(109)		500,000			0	14,750	09/01/2035	1FE
220245	QQ 0		07/15/2020	CORPUS CHRISTI TEX UTIL SYS REV...		300,000	300,000	320,550	300,000				0		300,000			0	17,480	07/15/2020	1FE
220245	QQ 0		07/15/2020	CORPUS CHRISTI TEX UTIL SYS REV...		260,000	260,000	282,490	260,000				0		260,000			0		07/15/2020	1FE
31200C	AM 8		07/01/2020	FAU FIN CORP FLA CAP IMPT REV 2010A		300,000	300,000	306,750	300,000				0		300,000			0	11,159	07/01/2030	1FE
478718	E4 7		09/01/2020	JOHNSON CNTY KS UNI SCH DIST 233 A		500,000	500,000	501,900	500,059		(59)		(59)		500,000			0	13,250	09/01/2026	1FE
485429	AU 8		09/18/2020	KANSAS ST DEV FIN AUTH REV.....		450,000	450,000	450,000	450,000				0		450,000			0	10,446	05/01/2030	1FE
491501	CX 8		09/01/2020	KENTUCKY ST MUN PWR AGY PWR REV		500,000	500,000	500,000	500,000				0		500,000			0	15,100	09/01/2026	1FE
498530	5U 4		08/03/2020	KLEIN TEX INDPT SCH DIST GO.....		365,000	365,000	365,000	365,000				0		365,000			0	10,512	08/01/2030	1FE
64711N	NN 1		09/29/2020	NEW MEXICO FIN AUTH REV.....		250,000	250,000	250,000	250,000				0		250,000			0	4,982	06/01/2030	1FE
686430	EX 3		09/01/2020	ORLANDO FLA CMNTY REDEV AGY TAX REV		300,000	300,000	314,871	300,393		(393)		(393)		300,000			0	11,676	09/01/2040	1FE
799017	GA 0		09/01/2020	SAN MATEO CALIF UN HIGH SCH DIST GO		500,000	500,000	500,000	500,000				0		500,000			0	16,833	09/01/2034	1FE
802385	LF 9		08/03/2020	SANTA MONICA CALIF CMNTY COLLEGE GO		500,000	500,000	506,080	500,076		(76)		(76)		500,000		(0)	(0)	16,908	08/01/2034	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					5,725,000	5,725,000	5,851,944	5,725,637	0	(637)	0	(637)	0	5,725,000	0	(0)	(0)	177,068	XXX	XXX
Bonds - Industrial and Miscellaneous																					
00214M	AA 1		07/15/2020	ARL SECOND LLC.....		29,813	29,813	29,077	29,077				0		29,077		736	736		06/15/2024	1FE
00214M	AA 1		08/17/2020	ARL SECOND LLC.....		29,750	29,750	29,015	29,015				0		29,015		734	734		06/15/2024	1FE
00214M	AA 1		09/15/2020	ARL SECOND LLC.....		30,130	30,130	29,386	29,386				0		29,386		744	744		06/15/2024	1FE
006346	AS 9		09/15/2020	ADAMS OUTDOOR ADVERTISING LP.....		3,127	3,127	3,158	3,150				0		3,150		(24)	(24)	25	11/15/2048	1FE
009090	AA 9		09/15/2020	AIR CANADA.....		12,062	12,062	11,639	11,728				0		11,728		334	334		03/15/2027	1FE
01877Z	AD 2		07/01/2020	ALLIANCE PIPELINE L P FGN SR BD SEDOL 29		21,594	21,594	24,157	22,155				0		22,155		(560)	(560)		12/31/2023	2FE
05178T	AA 9		07/15/2020	AURORA MILITARY HSG III LLC.....		11,181	11,181	12,579	12,384				0		12,384		(1,203)	(1,203)		07/15/2034	1FE
110122	BG 2		07/17/2020	BRISTOL-MYERS SQUIBB CO.....		503,000	500,000	503,000	503,000				0		503,000			0		08/15/2022	1FE
110122	BR 8		07/17/2020	BRISTOL-MYERS SQUIBB CO.....		556,477	500,000	556,477	556,477				0		556,477			0		10/15/2040	1FE
110122	BR 8		07/17/2020	BRISTOL-MYERS SQUIBB CO.....		541,029	500,000	541,029	541,029				0		541,029			0		10/15/2040	1FE
12200B	AA 6		07/16/2020	BURLINGTON NORTHERN SANTA FE 04-1 TRUST		9	9	10	9				0		9			0		01/15/2021	1FE
123262	AN 7		07/15/2020	BUSINESS JET SECURITIES LLC.....		2,747	2,747	2,757	2,755				0		2,755		(8)	(8)		02/15/2033	1FE
123262	AN 7		08/17/2020	BUSINESS JET SECURITIES LLC.....		3,314	3,314	3,325	3,323				0		3,323		(10)	(10)		02/15/2033	1FE
123262	AN 7		09/15/2020	BUSINESS JET SECURITIES LLC.....		6,416	6,416	6,438	6,434				0		6,434		(18)	(18)		02/15/2033	1FE
12326Q	AA 2		07/15/2020	BUSINESS JET SECURITIES LLC.....		6,580	6,580	6,613	6,613				0		6,613		(33)	(33)		07/15/2034	1FE
12326Q	AA 2		08/17/2020	BUSINESS JET SECURITIES LLC.....		96,093	96,093	96,574	96,574				0		96,574		(480)	(480)		07/15/2034	1FE

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FIRST CATHOLIC SLOVAK UNION OF THE UNITED STATES OF AMERICA & CANADA

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

QE052

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
12326Q	AA 2		09/15/2020	PRINCIPAL PAYMENT		7,890	7,890	7,929	7,929				0		7,929		(39)	(39)			07/15/2034	1FE
12665U	AA 2		09/10/2020	PRINCIPAL		9,879	9,879	9,887	9,870				0		9,870		9	9	164		01/10/2036	2FE
21079V	AA 1		07/13/2020	PRINCIPAL		8,371	8,371	8,161	8,373				0		8,373		(3)	(3)	4,841		01/12/2021	1FE
29717P	AD 9		09/14/2020	CALLED		529,849	500,000	495,371	499,184			74	74		499,258		30,591	30,591	10,523		08/15/2022	2FE
31331F	AX 9		07/15/2020	PRINCIPAL		1,341	1,341						0				1,341	1,341			01/15/2022	2FE
34513F	AA 3		07/15/2020	PRINCIPAL PAYMENT		129,115	129,115	131,132	131,132				0		131,132		(2,017)	(2,017)			10/15/2022	1FE
34513F	AA 3		08/17/2020	PRINCIPAL PAYMENT		108,306	108,306	109,998	109,998				0		109,998		(1,692)	(1,692)			10/15/2022	1FE
365298	L4 8		08/03/2020	CALLED		500,000	500,000	501,500	500,030			(30)	(30)		500,000		(0)	(0)	15,200		08/01/2030	1FE
44106M	AQ 5		07/14/2020	SOUTHWEST SECURITIES		199,194	200,000	199,472	199,919				0		199,919		(724)	(724)			08/15/2022	2FE
44106M	AQ 5		07/14/2020	SOUTHWEST SECURITIES		199,194	200,000	196,790	199,504				0		199,504		(310)	(310)			08/15/2022	2FE
48283P	AE 1		07/15/2020	PRINCIPAL PAYMENT		128,154	128,154	122,387	122,387				0		122,387		5,767	5,767			03/15/2022	1FE
48283P	AE 1		08/17/2020	PRINCIPAL PAYMENT		95,218	95,218	90,934	90,934				0		90,934		4,285	4,285			03/15/2022	1FE
48283P	AE 1		09/15/2020	PRINCIPAL PAYMENT		70,972	70,972	67,779	67,779				0		67,779		3,194	3,194			03/15/2022	1FE
544495	UH 5		07/01/2020	PRINCIPAL		500,000	500,000	575,740	500,000				0		500,000				15,415		07/01/2040	1FE
544495	UH 5		07/01/2020	PRINCIPAL		500,000	500,000	555,330	500,000				0		500,000				15,415		07/01/2040	1FE
55316F	AC 3		07/31/2020	PRINCIPAL PAYMENT		18,893	18,893	20,541	19,212				0		19,212		(319)	(319)			01/31/2024	1FE
55316F	AC 3		08/31/2020	PRINCIPAL PAYMENT		17,023	17,023	18,508	17,311				0		17,311		(288)	(288)			01/31/2024	1FE
55316F	AC 3		09/30/2020	PRINCIPAL PAYMENT		26,670	26,670	28,997	27,121				0		27,121		(451)	(451)			01/31/2024	1FE
620076	BB 4		09/14/2020	CALLED		296,399	281,000	281,478	281,075			(7)	(7)		281,068		15,331	15,331	3,483		05/15/2022	2FE
629568	AX 4		08/28/2020	RAYMOND JAMES INSTITUTIONAL		42,429	52,000	51,828	52,000				0		52,000		(9,571)	(9,571)			09/15/2021	3FE
647677	AF 0		07/15/2020	PARTIAL CALLED SECURITY		10,000	10,000	10,252	10,385				0		10,385		(385)	(385)			12/15/2029	1FE
647677	AF 0		08/17/2020	PARTIAL CALLED SECURITY		10,000	10,000	10,252	10,381				0		10,381		(381)	(381)			12/15/2029	1FE
647677	AF 0		09/15/2020	PARTIAL CALLED SECURITY		15,000	15,000	15,379	15,566				0		15,566		(566)	(566)			12/15/2029	1FE
67420B	AA 4		07/15/2020	PRINCIPAL PAYMENT		24,981	24,981	24,919	24,919				0		24,919		62	62			10/15/2021	1FE
67420B	AA 4		08/17/2020	PRINCIPAL PAYMENT		23,175	23,175	23,117	23,117				0		23,117		58	58			10/15/2021	1FE
67420B	AA 4		09/15/2020	PRINCIPAL PAYMENT		20,821	20,821	20,769	20,769				0		20,769		52	52			10/15/2021	1FE
74968F	AA 9		09/30/2020	PRINCIPAL PAYMENT		336,869	336,869	336,026	336,026				0		336,026		842	842	2,326		12/15/2023	1FE
													0									
779240	HF 0		08/03/2020	PRINCIPAL		400,000	400,000	444,856	400,686			(686)	(686)		400,000		(0)	(0)	12,048		08/01/2035	1FE
796237	VZ 5		08/03/2020	PRINCIPAL		605,000	605,000	689,162	606,320			(1,320)	(1,320)		605,000		(0)	(0)	18,265		08/01/2040	1FE
796269	UD 8		08/17/2020	PRINCIPAL		440,000	440,000	491,401	440,772			(772)	(772)		440,000		(0)	(0)	13,413		08/15/2031	1FE
83417P	AA 5		08/20/2020	PRINCIPAL PAYMENT		20,787	20,787	18,506	18,506				0		18,506		2,281	2,281			02/20/2021	1FE
842471	BY 9		07/01/2020	RAYMOND JAMES INSTITUTIONAL		75,000	75,000	84,956	78,147				0		78,147		(3,147)	(3,147)			07/01/2024	1FE

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
84474Y AA 4	SOUTHWEST AIRLINES CO BOND SER 2007-1 CL	..	08/03/2020	PRINCIPAL PAYMENT.....	50,25750,25755,28351,486051,486(1,229)(1,229)	02/01/2024	1FE.....
89657B AA 2	TRINITY RAIL LEASING 2019 LLC.....	..	09/17/2020	PRINCIPAL.....	18,21818,21819,10619,106019,106(888)(888)142	04/17/2023	1FE.....
90783V AA 3	UNION PACIFIC RAILROAD BOND 2005-1 TRUST	..	07/02/2020	PRINCIPAL.....	2121222102103,956	01/02/2029	1.....
90931C AA 6	UNITED AIR LINES INC.....	..	08/25/2020	PRINCIPAL PAYMENT.....	11,56211,56211,59111,588011,588(26)(26)	08/25/2033	1FE.....
97064E AA 6	WILLIS ENGINE SECURITIZATION TR.....	..	09/15/2020	PRINCIPAL.....	10,75510,75510,89210,797010,797(42)(42)84	09/15/2043	1FE.....
34513F AA 3	FORA FINANCIAL ASSET SECURITY.....	..	09/15/2020	PRINCIPAL.....	22,62922,62922,983022,983(354)(354)9,404	10/15/2022	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....				7,337,2937,202,7227,618,4687,275,4600(2,741)0(2,741)07,295,702041,59141,591124,705	XXX	XXX
8399997	Total - Bonds - Part 4.....				16,741,19316,606,62117,175,95816,701,5650(3,378)0(3,378)016,721,171020,02220,022333,693	XXX	XXX
8399999	Total - Bonds.....				16,741,19316,606,62117,175,95816,701,5650(3,378)0(3,378)016,721,171020,02220,022333,693	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....				16,741,193	XXX17,175,95816,701,5650(3,378)0(3,378)016,721,171020,02220,022333,693	XXX	XXX

QE05.3

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DB - Pt. E
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
KEY BANK - General Acct.....	CLEVELAND OH.....			5,405,3426,065,0317,914,985	XXX
KEY BANK - FCSU Corp Center.....	CLEVELAND OH.....			92,892102,330148,689	XXX
KEY BANK Investment Sweep.....	CLEVELAND OH.....0.1701,815	10,918,3528,920,22518,667,871	XXX
KEY BANK - Jednota Youth Building Fund.....	CLEVELAND OH.....3.000		351,278351,278351,278	XXX
FHLB.....	CINCINNATI OH.....0.020322	6,840,7828,214,2119,438,531	XXX
BANK OF MONTREAL.....	TORONTO ONTARIO CANADA.....			3,5143,4982,584	XXX
KEY BANK - CANADIAN FOREIGN CURRENCY UTS	CLEVELAND OH.....			548,644548,644548,644	XXX
PETTY CASH.....	CLEVELAND OH.....			500500500	XXX
US BANK - NORTH CAROLINA DEPOSIT.....	MINNEAPOLIS MN.....0.010		5,0005,0005,000	XXX
UBS CASH ACCOUNT.....	CLEVELAND OH.....0.01041	1,643,6211,643,6331,643,621	XXX
0199999. Total Open Depositories.....	XXX	XXX2,178025,809,92525,854,35038,721,703	XXX
0399999. Total Cash on Deposit.....	XXX	XXX2,178025,809,92525,854,35038,721,703	XXX
0599999. Total Cash.....	XXX	XXX2,178025,809,92525,854,35038,721,703	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
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NONE