



QUARTERLY STATEMENT

As of September 30, 2020
of the Condition and Affairs of the

Czech Catholic Union

NAIC Group Code..... 0, 0 (Current Period) (Prior Period)	NAIC Company Code..... 56324	Employer's ID Number..... 34-0105780
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Licensed as Business Type:	Fraternal Benefit Society	
Incorporated/Organized.....	Commenced Business.....	
Statutory Home Office	5349 Dolloff Road .. Cleveland .. OH .. US .. 44127 (Street and Number) (City or Town, State, Country and Zip Code)	
Main Administrative Office	5349 Dolloff Road .. Cleveland .. OH .. US .. 44127 (Street and Number) (City or Town, State, Country and Zip Code)	216-341-0444 (Area Code) (Telephone Number)
Mail Address	5349 Dolloff Road .. Cleveland .. OH .. US .. 44127 (Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)	
Primary Location of Books and Records	5349 Dolloff Road .. Cleveland .. OH .. US .. 44127 (Street and Number) (City or Town, State, Country and Zip Code)	216-341-0444 (Area Code) (Telephone Number)
Internet Web Site Address	WWW.CZECHCCU.ORG	
Statutory Statement Contact	Theresa Ann Aveni (Name) theresa@czechccu.org (E-Mail Address)	216-341-0444 (Area Code) (Telephone Number) (Extension) 216-341-0711 (Fax Number)

OFFICERS

Name	Title	Name	Title
1. Theresa Aveni	President	2. Jane M. Milczewski	Secretary
3.		4.	
OTHER			
Robert Cermak	Past President	Joseph Kocab	Past President
Karla Mahoney	Director	Cindy Kveton	Director
Maryann Langevin	Director	Richard Prospal	Director
Anita Schafer	Director	Audrey A Schmidt	Vice President

DIRECTORS OR TRUSTEES

State of.....
County of.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Theresa Aveni	Jane M. Milczewski	
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	
(Title)	(Title)	(Title)
Subscribed and sworn to before me	a. Is this an original filing?	Yes [X] No []
This _____ day of _____	b. If no:	1. State the amendment number
		2. Date filed
		3. Number of pages attached

ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	16,976,816		16,976,816	17,325,663
2. Stocks:				
2.1 Preferred stocks.....	694,437		694,437	150,000
2.2 Common stocks.....	406,023		406,023	351,923
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	65,685		65,685	67,843
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....64,161), cash equivalents (\$.....0) and short-term investments (\$.....0).....	64,161		64,161	209,844
6. Contract loans (including \$.....0 premium notes).....	96,634		96,634	88,358
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	18,303,756	0	18,303,756	18,193,631
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	242,177		242,177	228,316
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	65		65	24
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	5,995		5,995	1,166
21. Furniture and equipment, including health care delivery assets (\$.....0).....	1,916	1,916	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	18,553,909	1,916	18,551,993	18,423,137
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	18,553,909	1,916	18,551,993	18,423,137

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.			0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....0 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	15,119,636	14,839,101
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	4,122	
4. Contract claims:		
4.1 Life.....	46,497	66,810
4.2 Accident and health.....		
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....		
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....	35,000	35,000
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....		
6.3 Coupons and similar benefits (including \$.....0 Modco).....		
7. Amount provisionally held for deferred dividend policies not included in Line 6.....		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	27,941	34,250
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....		
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....		
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded.....		
9.4 Interest Maintenance Reserve.....	32,120	87,866
10. Commissions to agents due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....		
11. Commissions and expense allowances payable on reinsurance assumed.....		
12. General expenses due or accrued.....	45,000	50,000
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....		
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....		
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....		
15.2 Net deferred tax liability.....		
16. Unearned investment income.....		
17. Amounts withheld or retained by reporting entity as agent or trustee.....	57,909	50,146
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....		
19. Remittances and items not allocated.....		
20. Net adjustment in assets and liabilities due to foreign exchange rates.....		
21. Liability for benefits for employees and agents if not included above.....		
22. Borrowed money \$.....0 and interest thereon \$.....0.....	32,500	
23. Dividends to stockholders declared and unpaid.....		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	352,855	371,155
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....		
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
24.04 Payable to parent, subsidiaries and affiliates.....		
24.05 Drafts outstanding.....	2,592	2,121
24.06 Liability for amounts held under uninsured plans.....		
24.07 Funds held under coinsurance.....		
24.08 Derivatives.....		
24.09 Payable for securities.....		
24.10 Payable for securities lending.....		
24.11 Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	14,250	14,250
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	15,770,422	15,550,699
27. From Separate Accounts statement.....		
28. Total liabilities (Lines 26 and 27).....	15,770,422	15,550,699
29. Common capital stock.....		
30. Preferred capital stock.....		
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....		
33. Gross paid in and contributed surplus.....		
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	2,781,571	2,872,438
36. Less treasury stock, at cost:		
36.1 0.000 shares common (value included in Line 29 \$.....0).....		
36.2 0.000 shares preferred (value included in Line 30 \$.....0).....		
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	2,781,571	2,872,438
38. Totals of Lines 29, 30 and 37.....	2,781,571	2,872,438
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	18,551,993	18,423,137
DETAILS OF WRITE-INS		
2501. CONVENTION EXPENSE RESERVE.....	14,250	14,250
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	14,250	14,250
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	396,511	215,437	323,134
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	626,516	647,312	859,486
4. Amortization of Interest Maintenance Reserve (IMR).....	7,817	7,854	11,981
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....			
6. Commissions and expense allowances on reinsurance ceded.....			
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	320	1,333	934
9. Totals (Lines 1 to 8.3).....	1,031,164	871,936	1,195,535
10. Death benefits.....	61,207	149,627	233,931
11. Matured endowments (excluding guaranteed annual pure endowments).....			
12. Annuity benefits.....	316,493	320,833	406,773
13. Disability benefits and benefits under accident and health contracts.....			
14. Coupons, guaranteed annual pure endowments and similar benefits.....			
15. Surrender benefits and withdrawals for life contracts.....	25,761	90,074	120,434
16. Group conversions.....			
17. Interest and adjustments on contract or deposit-type contract funds.....			
18. Payments on supplementary contracts with life contingencies.....			
19. Increase in aggregate reserves for life and accident and health contracts.....	280,536	(13,705)	20,107
20. Totals (Lines 10 to 19).....	683,997	546,830	781,245
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....			
22. Commissions and expense allowances on reinsurance assumed.....			
23. General insurance expenses and fraternal expenses.....	264,948	244,511	289,200
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	10,670	10,068	13,839
25. Increase in loading on deferred and uncollected premiums.....			
26. Net transfers to or (from) Separate Accounts net of reinsurance.....			
27. Aggregate write-ins for deductions.....	0	0	4,250
28. Totals (Lines 20 to 27).....	959,615	801,409	1,088,534
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	71,549	70,528	107,001
30. Dividends to policyholders and refunds to members.....			35,000
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	71,549	70,528	72,001
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....			
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	71,549	70,528	72,001
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.0 (excluding taxes of \$.0 transferred to the IMR).....	(104,051)	(32,602)	(37,020)
35. Net income (Line 33 plus Line 34).....	(32,502)	37,926	34,981
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	2,872,438	2,958,112	2,958,112
37. Net income (Line 35).....	(32,502)	37,926	34,981
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.0.....	(75,205)	29,285	(126,313)
39. Change in net unrealized foreign exchange capital gain (loss).....			
40. Change in net deferred income tax.....			
41. Change in nonadmitted assets.....	(1,460)	128	170
42. Change in liability for reinsurance in unauthorized and certified companies.....			
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....			
44. Change in asset valuation reserve.....	18,300	20,175	5,488
45. Change in treasury stock.....			
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
47. Other changes in surplus in Separate Accounts Statement.....			
48. Change in surplus notes.....			
49. Cumulative effect of changes in accounting principles.....			
50. Capital changes:			
50.1 Paid in.....			
50.2 Transferred from surplus (Stock Dividend).....			
50.3 Transferred to surplus.....			
51. Surplus adjustment:			
51.1 Paid in.....			
51.2 Transferred to capital (Stock Dividend).....			
51.3 Transferred from capital.....			
51.4 Change in surplus as a result of reinsurance.....			
52. Dividends to stockholders.....			
53. Aggregate write-ins for gains and losses in surplus.....	0	0	0
54. Net change in capital and surplus (Lines 37 through 53).....	(90,867)	87,514	(85,674)
55. Capital and surplus as of statement date (Lines 36 + 54).....	2,781,571	3,045,626	2,872,438
DETAILS OF WRITE-INS			
08.301. EARLY W/D PENALTY.....			
08.302. SUNDRY REFUNDS & MISC. INCOME.....	320	1,333	934
08.303. CONVENTION ADVERTISING FEE.....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	320	1,333	934
2701. CONVENTION RESERVE ALLOWANCE.....			4,250
2702.			
2703.			
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	0	0	4,250
5301.			
5302.			
5303.			
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	390,161	243,790	357,796
2. Net investment income.....	696,787	646,928	903,754
3. Miscellaneous income.....	320	1,333	934
4. Total (Lines 1 through 3).....	1,087,268	892,052	1,262,484
5. Benefit and loss related payments.....	423,774	569,414	746,247
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	275,711	259,586	308,046
8. Dividends paid to policyholders.....			35,000
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	699,485	829,000	1,089,293
11. Net cash from operations (Line 4 minus Line 10).....	387,783	63,051	173,191
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	1,779,003	534,983	837,301
12.2 Stocks.....	125,000	11	11
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	1,904,003	534,993	837,312
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	1,684,496	650,488	891,238
13.2 Stocks.....	774,277	57,752	118,984
13.3 Mortgage loans.....			
13.4 Real estate.....		28,984	28,984
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	2,458,773	737,224	1,039,206
14. Net increase or (decrease) in contract loans and premium notes.....	8,276	(32,034)	(28,719)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(563,047)	(170,197)	(173,175)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....	32,500		
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(2,919)	3,293	5,443
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	29,581	3,293	5,443
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(145,683)	(103,852)	5,459
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	209,844	204,385	204,385
19.2 End of period (Line 18 plus Line 19.1).....	64,161	100,532	209,844

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....			
2. Ordinary life insurance.....	19,250	22,413	63,137
3. Ordinary individual annuities.....	377,261	193,024	260,404
4. Credit life (group and individual).....			
5. Group life insurance.....			
6. Group annuities.....			
7. A&H - group.....			
8. A&H - credit (group and individual).....			
9. A&H - other.....			
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	396,511	215,437	323,541
12. Fraternal (Fraternal Benefit Societies Only).....			
13. Subtotal (Lines 11 through 12).....	396,511	215,437	323,541
14. Deposit-type contracts.....			
15. Total (Lines 13 and 14).....	396,511	215,437	323,541

DETAILS OF WRITE-INS

1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

NOTES TO FINANCIAL STATEMENTS

Note 1 – Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
NET INCOME					
(1) Czech Catholic Union Company state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ (32,502)	\$ 34,981
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ (32,502)	\$ 34,981
SURPLUS					
(5) Czech Catholic Union Company state basis (Page 3, line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 2,781,571	\$ 2,872,438
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 2,781,571	\$ 2,872,438

The finacial statements of the Czech Catholic Union are presented on the basis of the accounting practices prescribed or permitted by the Ohio Insurance Departme
The Ohio Department of Insurance recognizes only statutory accounting practices prescribed by the State of Ohio for determining and reporting the financial conditi
Benefit Society, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners (NAIC) Accounting Practices and
adopted as a component of prescribed or permitted practices by the State of Ohio.

B. Use of Estimates in the Preparation of the Financial Statement

No significant changes

C. Accounting Policy

Life premiums are recognized as income over the premium paying period of the related policies. Annuity considerations are recognized as revenue when received.
Expenses incurred in connection with acquiring new insurance business , including acquisition costs such as sales commissions ,are charged to operations as incurred.

The amount of dividends to be paid to policyholders is determined annually by the Union's board of directors. The aggregate amount of policyholders' dividends is related to actual interest, mortality, morbidity and expense experience for the year and judgement as to the appropriate level of statutory surplus to be retained by the Union.

- (1) Basis for Short-Term Investments
No significant changes
- (2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method
Bonds are stated at amortized cost using the interest method. Bonds rated NAIC Class 6 are valued at market.
- (3) Basis for Common Stocks
No significant changes
- (4) Basis for Preferred Stocks
No significant changes
- (5) Basis for Mortgage Loans
No significant changes
- (6) Basis for Loan-Backed Securities and Adjustment Methodology
The basis for loan backed securities are handled the same way as bonds as described in item C(2) above.
- (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities
No significant changes
- (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities
No significant changes
- (9) Accounting Policies for Derivatives
No significant changes
- (10) Anticipated Investment Income Used in Premium Deficiency Calculation
No significant changes
- (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses
No significant changes
- (12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period
No significant changes
- (13) Method Used to Estimate Pharmaceutical Rebate Receivables
No significant changes

D. Going Concern

After evaluating the Union's ability to continue as a going concern, management is not aware of any conditions or events which raised substantial doubts concerning the Union's ability as a going concern as of the date of this filing.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

No significant changes

Note 4 – Discontinued Operations

No significant changes

Note 5 – Investments

NOTES TO FINANCIAL STATEMENTS

- A. Mortgage Loans, including Mezzanine Real Estate Loans- No mortgage loans.
- B. Debt Restructuring- none , not applicable.
- C. Reverse Mortgages- none . not applicable.
- D. Loan-Backed Securities- no changes.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions- NO dollar repurchase agreements.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing- NONE
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing- NONE
- H. Repurchase Agreements Transactions Accounted for as a Sale- NONE
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - NONE

- J. Real Estate
 - (1) Recognized Impairment Loss
NONE
 - (2) Sold or Classified Real Estate Investments as Held for Sale
NONE
 - (3) Changes to a Plan of Sale for an Investment in Real Estate
NOT APPLICABLE
 - (4) Retail Land Sales Operations
NONE
 - (5) Real Estate Investments with Participating Mortgage Loan Features
NONE
- K. Low-Income Housing Tax Credits (LIHTC) -NONE , NOT APPLICABLE.
- L. Restricted Assets- NONE
- M. Working Capital Finance Investments- NONE, NO working capital investments.
- N. Offsetting and Netting of Assets and Liabilities- NONE , not applicable
- O. Structured Notes-none, not applicable.
- P. 5GI Securities- NONE
- Q. Short Sales- none
- R. Prepayment Penalty and Acceleration Fees - NONE

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

No significant changes

Note 7 – Investment Income

No significant changes

Note 8 – Derivative Instruments
NONE

Note 9 – Income Taxes

No significant changes. The Union is a Fraternal Benefit Society and is exempt from Federal income taxes.

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

Note 11 – Debt- Due to the Covid 19 crisis , the Union was able to borrow from the SBA, \$32,500 under the Payroll Protection Plan.
The loan proceeds were received on May 1, 2020. The loan was distributed through PNC Bank.
This loan was to cover payroll, payroll taxes and utilities for a period of time and enable the Union to keep its business running.
Under the terms of the agreement portions and possibly all of the loan can be forgiven once certain conditions have been met.
The application for forgiveness will be filed in the fourth quarter of this year. It is reasonable to expect the loan to be forgiven.

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
The Union has no retirement plans, deferred compenstion plans, or postemployment benefits for its employees or officers.

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

No significant changes

NOTES TO FINANCIAL STATEMENTS

Note 14 – Liabilities, Contingencies and Assessments

No significant changes

Note 15 – Leases

No significant changes

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Union has no sale or transfer of assets.

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes

Note 20 – Fair Value Measurements

- A. Fair Value Measurements
- (1) Fair Value Measurements at Reporting Date
- Common Stock

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
Common Stock	\$ 406,023	\$	\$	\$	\$ 406,023
BONDS CODE 6	\$	\$ 12,420	\$	\$	\$ 12,420
Total	\$ 406,023	\$ 12,420	\$	\$	\$ 418,443
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

- (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy
- NONE

Description	Beginning Balance	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settle-ments	Ending Balance as of Current Period
a. Assets										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
b. Liabilities										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$

- NONE
- (3) Policies when Transfers Between Levels are Recognized
- NOT APPLICABLE
- (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement
- BROKER STATEMENTS
- (5) Fair Value Disclosures for Derivative Assets and Liabilities
- NOT APPLICABLE

- B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

NOT APPLICABLE

- C. Fair Value Level

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 19,106,871	\$ 16,976,816	\$	\$ 19,106,871	\$	\$	\$
Common Stock	\$ 406,023	\$ 406,023	\$ 406,023	\$	\$	\$	\$
Preferred Stock	\$ 702,199	\$ 694,437	\$	\$ 702,199	\$	\$	\$
Cash & Equivalents	\$ 64,161	\$ 64,161	\$ 64,161	\$	\$	\$	\$
Totals	\$ 20,279,254	\$ 18,141,437	\$ 110,184	\$ 19,809,070	\$	\$	\$

- D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
	\$			

NOTES TO FINANCIAL STATEMENTS

E. NAV Practical Expedient Investments

Note 21 – Other Items

Bonds-NAIC Code 6 losses
The Union devalued 3 bonds due to their NAIC Code 6 status during 2020. The bonds included Leman Bros (119), Diamond Offshore (44,275) and Knight -Ridder (54,819).
The Union recognized these losses totalling (99,213) as required. The losses are shown on page 4, line 34 .

Note 22 – Events Subsequent

Subsequent events have been considered through for these statutory financial statements which are to be issued on . There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

Note 23 – Reinsurance

No significant changes

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination- none , not applicable.

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions?
Yes [] No [xX]

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

The Union has had no incurred losses or loss adjustment expenses.

B. Information about Significant Changes in Methodologies and Assumptions

NO changes in methodologies or assumptions used in calculating the liability for unpaid losses.

Note 26 – Intercompany Pooling Arrangements

No significant changes

Note 27 – Structured Settlements

No significant changes

Note 28 – Health Care Receivables

No significant changes

Note 29 – Participating Policies

No significant changes

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – Reserves for Life Contracts and Deposit-Type Contracts

No significant changes

Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant changes

Note 33 – Analysis of Life Actuarial Reserves by Withdrawal Characteristics

No significant changes

Note 34 – Premium and Annuity Considerations Deferred and Uncollected

No significant changes

Note 35 – Separate Accounts

No significant changes

Note 36 – Loss/Claim Adjustment Expenses

No significant changes

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒ X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒ X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☐] No [☒ X]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒ X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☐] No [☒ X]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.

Yes [☐] No [☒ X]

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐] No [☒ X] N/A [☐]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2019

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2019

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/28/2020

6.4

By what department or departments?
Ohio Department of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒ X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒ X] No [☐] N/A [☐]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒ X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board?

Yes [☐] No [☒ X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒ X]

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

(a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c)

Compliance with applicable governmental laws, rules and regulations;

(d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e)

Accountability for adherence to the code.

Yes [☒ X] No [☐]

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒ X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒ X]

9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☐ No ☒

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0

13. Amount of real estate and mortgages held in short-term investments:

\$0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒

14.2 If yes, please complete the following:

14.21 Bonds

14.22 Preferred Stock

14.23 Common Stock

14.24 Short-Term Investments

14.25 Mortgage Loans on Real Estate

14.26 All Other

14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)

14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

1	2
Prior Year End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
\$0	\$0
0	0
0	0
0	0
0	0
0	0
0	0
\$0	\$0
\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

Yes ☐ No ☐ N/A ☒

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:

\$0

16.3 Total payable for securities lending reported on the liability page:

\$0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes ☒ No ☐

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1	2
Name of Custodian(s)	Custodian Address
Wells Fargo	950 Main Ave, Cleveland, Ohio 44113
Janney Montgomery Scott	82 Hanna Bldg., Cleveland, Ohio 44115

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes ☐ No ☒

17.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1	2
Name of Firm or Individual	Affiliation
Theresa Aveni	I
Jane Milczewski	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets?

Yes ☐ No ☒

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?

Yes ☐ No ☒

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes ☒ No ☐

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?

Yes []

No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?

Yes []

No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The security was purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes []

No [X]

GENERAL INTERROGATORIES (continued)

PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1.1 Long-term mortgages in good standing

1.11 Farm mortgages.....\$.....

1.12 Residential mortgages.....\$.....

1.13 Commercial mortgages.....\$.....

1.14 Total mortgages in good standing.....\$.....0

1.2 Long-term mortgages in good standing with restructured terms

1.21 Total mortgages in good standing with restructured terms.....\$.....

1.3 Long-term mortgage loans upon which interest is overdue more than three months

1.31 Farm mortgages.....\$.....

1.32 Residential mortgages.....\$.....

1.33 Commercial mortgages.....\$.....

1.34 Total mortgages with interest overdue more than three months.....\$.....0

1.4 Long-term mortgage loans in process of foreclosure

1.41 Farm mortgages.....\$.....

1.42 Residential mortgages.....\$.....

1.43 Commercial mortgages.....\$.....

1.44 Total mortgages in process of foreclosure.....\$.....0

1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)\$.....0

1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter

1.61 Farm mortgages.....\$.....

1.62 Residential mortgages.....\$.....

1.63 Commercial mortgages.....\$.....

1.64 Total mortgages foreclosed and transferred to real estate.....\$.....0

2. Operating Percentages:

2.1 A&H loss percent.....

2.2 A&H cost containment percent.....

2.3 A&H expense percent excluding cost containment expenses.....

3.1 Do you act as a custodian for health savings accounts?.....Yes [] No [X]

3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....\$.....

3.3 Do you act as an administrator for health savings accounts?.....Yes [] No [X]

3.4 If yes, please provide the balance of the funds administered as of the reporting date.....\$.....

4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....Yes [X] No []

4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....Yes [] No []

Fraternal Benefit Societies Only:

5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?.....Yes [X] No [] N/A []

5.2 If no, explain:

6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?.....Yes [] No [X]

6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
	

Q09

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.		1	Direct Business Only					
			Life Contracts		4	5	6	7
			2	3				
		Active Status (a)	Life Insurance Premiums	Annuity Considerations	A&H Insurance Premiums, Including Policy Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1.	Alabama.....	AL .N.					.0	
2.	Alaska.....	AK .N.					.0	
3.	Arizona.....	AZ .N.					.0	
4.	Arkansas.....	AR .N.					.0	
5.	California.....	CA .N.					.0	
6.	Colorado.....	CO .N.					.0	
7.	Connecticut.....	CT .N.					.0	
8.	Delaware.....	DE .N.					.0	
9.	District of Columbia.....	DC .N.					.0	
10.	Florida.....	FL .N.					.0	
11.	Georgia.....	GA .N.					.0	
12.	Hawaii.....	HI .N.					.0	
13.	Idaho.....	ID .N.					.0	
14.	Illinois.....	IL .L.	2,412	26,606			29,018	
15.	Indiana.....	IN .N.					.0	
16.	Iowa.....	IA .L.	653				653	
17.	Kansas.....	KS .N.					.0	
18.	Kentucky.....	KY .N.					.0	
19.	Louisiana.....	LA .N.					.0	
20.	Maine.....	ME .N.					.0	
21.	Maryland.....	MD .N.					.0	
22.	Massachusetts.....	MA .N.					.0	
23.	Michigan.....	MI .L.		16,300			16,300	
24.	Minnesota.....	MN .N.	281				281	
25.	Mississippi.....	MS .N.					.0	
26.	Missouri.....	MO .N.	31				31	
27.	Montana.....	MT .N.					.0	
28.	Nebraska.....	NE .N.					.0	
29.	Nevada.....	NV .N.					.0	
30.	New Hampshire.....	NH .N.					.0	
31.	New Jersey.....	NJ .N.					.0	
32.	New Mexico.....	NM .N.					.0	
33.	New York.....	NY .N.	377				377	
34.	North Carolina.....	NC .N.					.0	
35.	North Dakota.....	ND .N.					.0	
36.	Ohio.....	OH .L.	15,926	334,355			350,281	
37.	Oklahoma.....	OK .N.					.0	
38.	Oregon.....	OR .N.					.0	
39.	Pennsylvania.....	PA .N.					.0	
40.	Rhode Island.....	RI .N.					.0	
41.	South Carolina.....	SC .N.					.0	
42.	South Dakota.....	SD .N.					.0	
43.	Tennessee.....	TN .N.					.0	
44.	Texas.....	TX .N.					.0	
45.	Utah.....	UT .N.					.0	
46.	Vermont.....	VT .N.					.0	
47.	Virginia.....	VA .N.					.0	
48.	Washington.....	WA .N.					.0	
49.	West Virginia.....	WV .N.					.0	
50.	Wisconsin.....	WI .N.					.0	
51.	Wyoming.....	WY .N.					.0	
52.	American Samoa.....	AS .N.					.0	
53.	Guam.....	GU .N.					.0	
54.	Puerto Rico.....	PR .N.					.0	
55.	US Virgin Islands.....	VI .N.					.0	
56.	Northern Mariana Islands.....	MP .N.					.0	
57.	Canada.....	CAN .N.					.0	
58.	Aggregate Other Alien.....	OT .XXX.	0	0	0	0	0	0
59.	Subtotal.....	.XXX.	19,680	377,261	0	0	396,941	0
90.	Reporting entity contributions for employee benefit plans.....	.XXX.					0	
91.	Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX.					0	
92.	Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX.					0	
93.	Premium or annuity considerations waived under disability or other contract provisions.....	.XXX.					0	
94.	Aggregate other amounts not allocable by State.....	.XXX.	0	0	0	0	0	0
95.	Totals (Direct Business).....	.XXX.	19,680	377,261	0	0	396,941	0
96.	Plus Reinsurance Assumed.....	.XXX.					0	
97.	Totals (All Business).....	.XXX.	19,680	377,261	0	0	396,941	0
98.	Less Reinsurance Ceded.....	.XXX.	430				430	
99.	Totals (All Business) less Reinsurance Ceded.....	.XXX.	19,250	377,261	0	0	396,511	0

DETAILS OF WRITE-INS

58001.		.XXX.					.0	
58002.		.XXX.					.0	
58003.		.XXX.					.0	
58998.	Summary of remaining write-ins for line 58 from overflow page....	.XXX.	0	0	0	0	0	0
58999.	Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	.XXX.	0	0	0	0	0	0
9401.		.XXX.					.0	
9402.		.XXX.					.0	
9403.		.XXX.					.0	
9498.	Summary of remaining write-ins for line 94 from overflow page....	.XXX.	0	0	0	0	0	0
9499.	Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX.	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	4
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state	0

R - Registered - Non-domiciled RRGs.....	0
Q - Qualified - Qualified or accredited reinsurer.....	0
N - None of the above - Not allowed to write business in the state	53

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*

NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	N/A

Explanations:

- 1. The data for this supplement is not required to be filed.
- 2. The data for this supplement is not required to be filed.
- 3.
- 4. The data for this supplement is not required to be filed.
- 5. The data for this supplement is not required to be filed.
- 6. The data for this supplement is not required to be filed.
- 7. The data for this supplement is not required to be filed.
- 8. Not Applicable for 1st and 3rd Quarters

Bar Code:



Czech Catholic Union

Overflow Page for Write-Ins

Additional Write-ins for Summary of Operations:

		1	2	3
		Current	Prior	Prior Year Ended
		Year to Date	Year to Date	December 31
08.304.	Prior Year Adjustment.....			
08.397.	Summary of remaining write-ins for Line 8.3.....	0	0	0

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	67,843	41,272
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		28,984
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	2,158	2,413
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	65,685	67,843
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	65,685	67,843

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	17,827,586	17,840,753
2. Cost of bonds and stocks acquired.....	2,458,773	1,010,222
3. Accrual of discount.....	10,806	30,268
4. Unrealized valuation increase (decrease).....	(75,205)	(126,869)
5. Total gain (loss) on disposals.....	(47,928)	21,293
6. Deduct consideration for bonds and stocks disposed of.....	1,904,003	837,312
7. Deduct amortization of premium.....	92,634	76,455
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....	104,051	34,314
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	3,929	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	18,077,274	17,827,586
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	18,077,274	17,827,586

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	4,799,253		166,113	(31,144)	5,160,359	4,799,253	4,601,996	5,195,255
2. NAIC 2 (a).....	9,090,836	1,133,342	365,076	82,696	9,095,291	9,090,836	9,941,798	9,025,971
3. NAIC 3 (a).....	1,472,011			(155,809)	2,107,451	1,472,011	1,316,202	2,026,162
4. NAIC 4 (a).....	954,250		30,000	80,120	658,874	954,250	1,004,370	805,259
5. NAIC 5 (a).....	98,323			1,707	397,706	98,323	100,030	266,375
6. NAIC 6 (a).....	12,825		404	(1)	7,595	12,825	12,420	6,642
7. Total Bonds.....	16,427,498	1,133,342	561,593	(22,431)	17,427,276	16,427,498	16,976,816	17,325,664
PREFERRED STOCK								
8. NAIC 1.....							0	
9. NAIC 2.....	563,718	235,557	129,838		175,000	563,718	669,437	125,000
10. NAIC 3.....	25,000				25,000	25,000	25,000	25,000
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	588,718	235,557	129,838	0	200,000	588,718	694,437	150,000
15. Total Bonds and Preferred Stock.....	17,016,216	1,368,899	691,431	(22,431)	17,627,276	17,016,216	17,671,253	17,475,664

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

QSI02

SCHEDULE DA - PART 1

Short-Term Investments					
	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....					

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments		
	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of short-term investments acquired.....		
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	0	0

NONE

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/adjusted carrying value, December 31, prior year (Line 10, prior year).....	_____
2.	Cost paid/(consideration received) on additions.....	_____
3.	Unrealized valuation increase/(decrease).....	_____
4.	SSAP No. 108 adjustments.....	_____
5.	Total gain (loss) on termination recognized.....	_____
6.	Considerations received/(paid) on terminations.....	_____
7.	Amortization.....	_____
8.	Adjustment to the book/adjusted carrying value of hedge item.....	_____
9.	Total foreign exchange change in book/adjusted carrying value.....	_____
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 + 7 + 8 + 9).....	0
11.	Deduct nonadmitted assets.....	_____
12.	Statement value at end of current period (Line 10 minus Line 11).....	0

NONE

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/adjusted carrying value, December 31, prior year (Line 6, prior year).....	_____
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column).....	_____
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges:	
3.11	Section 1, Column 15, current year to date minus.....	_____
3.12	Section 1, Column 15, prior year.....	0
	Change in variation margin on open contracts - All Other:	
3.13	Section 1, Column 18, current year to date minus.....	_____
3.14	Section 1, Column 18, prior year.....	0 0
3.2	Add:	
	Change in adjustment to basis of hedged item:	
3.21	Section 1, Column 17, current year to date minus.....	_____
3.22	Section 1, Column 17, prior year.....	0
	Change in amount recognized:	
3.23	Section 1, Column 19, current year to date minus.....	_____
3.24	Section 1, Column 19, prior year.....	_____
3.25	SSAP No. 108 adjustments.....	0 0
3.3	Subtotal (Line 3.1 minus Line 3.2).....	0
4.1	Cumulative variation margin on terminated contracts during the year.....	_____
4.2	Less:	
4.21	Amount used to adjust basis of hedged item.....	_____
4.22	Amount recognized.....	_____
4.23	SSAP No. 108 adjustments.....	0
4.3	Subtotal (Line 4.1 minus Line 4.2).....	0
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year.....	_____
5.2	Total gain (loss) adjusted into the hedged item(s) for the terminations in prior year.....	_____
6.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2).....	0
7.	Deduct nonadmitted assets.....	_____
8.	Statement value at end of current period (Line 6 minus Line 7).....	0

NONE

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replication (Synthetic) Asset Transactions								Components of the Replication (Synthetic Asset) Transactions							
1	2	3	4	5	6	7	8	Derivative Instrument(s) Open			Cash Instrument(s) Held				
		NAIC Designation or Other Description	Notional Amount	Book/Adjusted Carrying Value	Fair Value	Effective Date	Maturity Date	9	10	11	12	13	14	15	16
Number	Description	Description	Amount	Carrying Value	Value	Date	Date	Description	Book/Adjusted Carrying Value	Fair Value	CUSIP	Description	Description	Book/Adjusted Carrying Value	Fair Value

NONE

SCHEDULE DB - PART C - SECTION 2

Reconciliation (Synthetic Asset) Transactions Open

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year-To-Date	
	1	2	3	4	5	6	7	8	9	10
	Number of Positions	Total Replication (Synthetic Asset) Transactions Statement Value	Number of Positions	Total Replication (Synthetic Asset) Transactions Statement Value	Number of Positions	Total Replication (Synthetic Asset) Transactions Statement Value	Number of Positions	Total Replication (Synthetic Asset) Transactions Statement Value	Number of Positions	Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory.....			0	0	0	0	0	0	0	0
2. Add: Opened or acquired transactions.....									0	0
3. Add: Increases in replication (synthetic asset) transactions statement value.....	XXX		XXX		XXX		XXX		XXX	0
4. Less: Closed or disposed of transactions.....									0	0
5. Less: Positions disposed of for failing effectiveness criteria.....									0	0
6. Less: Decreases in replication (synthetic asset) transactions statement value.....	XXX		XXX		XXX		XXX		XXX	0
7. Ending Inventory.....	0	0	0	0	0	0	0	0	0	0

NONE

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1.	Part A, Section 1, Column 14.....	
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	
3.	Total (Line 1 plus Line 2).....	0
4.	Part D, Section 1, Column 5.....	
5.	Part D, Section 1, Column 6.....	
6.	Total (Line 3 minus Line 4 minus Line 5).....	0

Fair Value Check

7.	Part A, Section 1, Column 16.....	
8.	Part B, Section 1, Column 13.....	
9.	Total (Line 7 plus Line 8).....	0
10.	Part D, Section 1, Column 8.....	
11.	Part D, Section 1, Column 9.....	
12.	Total (Line 9 minus Line 10 minus Line 11).....	0

Potential Exposure Check

13.	Part A, Section 1, Column 21.....	
14.	Part B, Section 1, Column 20.....	
15.	Part D, Section 1, Column 11.....	
16.	Total (Line 13 plus Line 14 minus Line 15).....	0

NONE

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of cash equivalents acquired.....		
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	0	0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	0	0

NONE

SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Description of Property	City	State	Date Acquired	Name of Vendor	Actual Cost at Time of Acquisition	Amount of Encumbrances	Book/Adjusted Carrying Value Less Encumbrances	Additional Investment Made After Acquisition

NONE

QE01

SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1	Location		4	5	6	7	8	Change in Book/Adjusted Carrying Value Less Encumbrances					14	15	16	17	18	19	20
	2	3						9	10	11	12	13							
Description of Property	City	State	Disposal Date	Name of Purchaser	Actual Cost	Expended for Additions, Permanent Improvements and Changes in Encumbrances	Book/Adjusted Carrying Value Less Encumbrances Prior Year	Current Year's Depreciation	Current Year's Other-Than-Temporary Impairment Recognized	Current Year's Change in Encumbrances	Total Change in B./A.C.V. (11 - 9 - 10)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Amounts Received During Year	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Gross Income Earned Less Interest Incurred on Encumbrances	Taxes, Repairs, and Expenses Incurred

NONE

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
	2	3						
Loan Number	City	State	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings

NONE

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal

NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Designation and Administrative Symbol/Market Indicator	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made after Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership

NONE

QE03

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income

NONE

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2			3	4	5	6	7	8	9	10
CUSIP Identification	Description			Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
Bonds - Industrial and Miscellaneous											
23355L AF 3	DXC TECHNOLOGY CO.....				07/02/2020.....	WELLS FARGO.....		126,300	100,000	1,697	2.....
406216 AW 1	HALLIBURTON COMMpany.....				07/15/2020.....	WELLS FARGO.....		247,796	200,000	4,541	2.....
74348T AT 9	PROSPECT CAPITAL CORP.....				07/27/2020.....	WELLS FARGO.....		101,884	100,000	2,621	2.....
56585A AM 4	MARAATHON PETROLEUM CORP.....				09/17/2020.....	WELLS FARGO.....		59,468	50,000	780	2.....
87425E AN 3	TALISMAN ENERGY.....				09/17/2020.....	WELLS FARGO.....		75,878	69,000	1,328	2.....
500255 AU 8	KOHLS CORP.....				09/29/2020.....	JANNEY MONTGOMERY SCOTT.....		74,237	75,000	655	2.....
37045V AL 4	GENERAL MOTORS CO.....				09/30/2020.....	WELLS FARGO.....		125,375	100,000	19	2.....
527288 BF 0	LEUCADIA NATIONAL CORP.....				09/30/2020.....	WELLS FARGO.....		125,200	100,000	2,926	2.....
565849 AB 2	MARATHON OIL CO.....				09/30/2020.....	WELLS FARGO.....		82,001	75,000	241	2.....
68268N AC 7	ONEOK PARTNERS LP.....				09/30/2020.....	WELLS FARGO.....		115,203	100,000	18	2.....
3899999. Total - Bonds - Industrial and Miscellaneous.....								1,133,342	969,000	14,826	XXX.....
8399997. Total - Bonds - Part 3.....								1,133,342	969,000	14,826	XXX.....
8399999. Total - Bonds.....								1,133,342	969,000	14,826	XXX.....
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred											
G68603 14 4	PARTNERRE LTD.....				08/14/2020.....	JANNEY MONTGOMERY SCOTT.....	1,880.000	50,008			2FE.....
362397 85 3	GABELLI EQUITY TR INC.....				08/18/2020.....	JANNEY MONTGOMERY SCOTT.....	3,160.000	84,941			2FE.....
117043 50 5	BRUNSWICK CORP.....				08/31/2020.....	JANNEY MONTGOMERY SCOTT.....	3,600.000	100,608		778	2FE.....
8499999. Total - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred.....								235,557	XXX	778	XXX.....
8999997. Total - Preferred Stocks - Part 3.....								235,557	XXX	778	XXX.....
8999999. Total - Preferred Stocks.....								235,557	XXX	778	XXX.....
Common Stocks - Unit Investment Trusts											
30313U 60 7	FIRST TRUST AMERICAN RECOVERY PORT.....				07/10/2020.....	WELLS FARGO.....	8,770.000	100,002	XXX		
9599999. Total - Common Stocks - Unit Investment Trusts.....								100,002	XXX	0	XXX.....
9799997. Total - Common Stocks - Part 3.....								100,002	XXX	0	XXX.....
9799999. Total - Common Stocks.....								100,002	XXX	0	XXX.....
9899999. Total - Preferred and Common Stocks.....								335,559	XXX	778	XXX.....
9999999. Total - Bonds, Preferred and Common Stocks.....								1,468,901	XXX	15,604	XXX.....

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1			2		3	4		5		6		7		8		9		10		Change in Book/Adjusted Carrying Value					16		17		18		19		20		21		22						
						F o r e i g n	Disposal Date		Name of Purchaser		Number of Shares of Stock		Consideration		Par Value		Actual Cost		Prior Year Book/Adjusted Carrying Value		Unrealized Valuation Increase (Decrease)		Current Year's (Amortization) / Accretion		Current Year's Other-Than-Temporary Impairment Recognized		Total Change in B./A.C.V. (11+12-13)		Total Foreign Exchange Change in B./A.C.V.		Book/Adjusted Carrying Value at Disposal Date		Foreign Exchange Gain (Loss) on Disposal		Realized Gain (Loss) on Disposal		Total Gain (Loss) on Disposal		Bond Interest / Stock Dividends Received During Year		Stated Contractual Maturity Date		NAIC Designation and Administrative Symbol
CUSIP Identification			Description																																								
Bonds - U.S. Government																																											
38381B	ZZ	3	GOVERNMENT NATIONAL MORT ASSN...	08/20/2020.	REDEEMED.....						6,526	6,526	6,526	6,526														6,526						0	380	02/20/2049.	1.....						
36202D	3G	4	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						79	79	79	79														79						0			1.....						
36202D	CC	3	GNMA PSS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						154	154	154	154														154						0			1.....						
36202E	BU	2	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						82	82	82	82														82						0			1.....						
36202E	EH	8	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						203	203	203	203														203						0			1.....						
36202X	DA	2	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						227	227	227	227														227						0			1.....						
36206C	SB	6	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						19	19	19	19														19						0			1.....						
36224Y	QE	2	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						13	13	13	13														13						0			1.....						
36225B	PP	7	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						34	34	34	34														34						0			1.....						
36290R	5P	4	GNMA PASS THRU POOL.....	09/30/2020.	CALLED (PARTIAL).....						223	223	223	223														223						0			1.....						
38374B	6B	4	MULTICLASS CMO.....	09/30/2020.	CALLED (PARTIAL).....						678	678	678	678														678						0			1.....						
38374B	DJ	9	MULTICLASS CMO.....	09/30/2020.	CALLED (PARTIAL).....						839	839	839	839														839						0			1.....						
38374K	B8	5	MULTICLASS CMO.....	09/30/2020.	CALLED (PARTIAL).....						624	624	624	624														624						0			1.....						
38374K	LR	2	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						529	529	529	529														529						0			1.....						
38374K	NP	4	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						623	623	623	623														623						0			1.....						
38374K	TX	1	MULTICLASS CMO.....	09/30/2020.	CALLED (PARTIAL).....						2,535	2,535	2,535	2,535														2,535						0			1.....						
38374L	5Q	0	MULTICLASS CMO.....	09/30/2020.	CALLED (PARTIAL).....						6,978	6,978	6,978	6,978														6,978						0			1.....						
38374L	HA	2	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						852	852	852	852														852						0			1.....						
38374X	SX	4	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						1,203	1,203	1,203	1,203														1,203						0			1.....						
38375J	HQ	1	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						852	852	852	852														852						0			1.....						
38375Q	EY	1	GOVERNMENT NATIONAL MORT ASSN...	09/30/2020.	CALLED (PARTIAL).....						900	900	900	900														900						0			1.....						
0599999.			Total - Bonds - U.S. Government.....								24,173	24,173	24,173	24,173							0	0	0	0	0			24,173	0	0	0	0	0	0	380	XXX	XXX						
Bonds - All Other Government																																											
3133T4	GB	6	FEDERAL HOME LOAN MORTGAGE.....	09/30/2020.	CALLED (PARTIAL).....						46	46	46	46														46						0			1.....						
31358Q	BR	5	FEDERAL NATIONAL MORT ASSN.....	09/30/2020.	CALLED (PARTIAL).....						7	7	7	7														7						0			1.....						
31358R	BM	4	FEDERAL NATIONAL MORT ASSN.....	09/30/2020.	CALLED (PARTIAL).....						10	10	10	10														10						0			1.....						
31358U	MV	5	FEDERAL NATIONAL MORT ASSN.....	09/30/2020.	CALLED (PARTIAL).....						46	46	46	46														46						0			1.....						
31359F	QL	5	FNMA SEQUENTIAL.....	09/30/2020.	CALLED (PARTIAL).....						54	54	54	54														54						0			1.....						
313921	Y8	5	FEDERAL NATIONAL MORT ASSN.....	09/30/2020.	CALLED (PARTIAL).....						75	75	75	75														75						0			1.....						
31393X	RL	3	FEDERAL NATIONAL MORT ASSN.....	09/30/2020.	CALLED (PARTIAL).....						629	629	629	629														629						0			1.....						
1099999.			Total - Bonds - All Other Government.....								867	867	867	867							0	0	0	0	0			867	0	0	0	0	0	0	0	XXX	XXX						
Bonds - Industrial and Miscellaneous																																											
219350	BQ	7	CORNING INC.....	07/10/2020.	SOLD.....			121,092	100,000	115,100																		114,994		6,098	6,098	3,558	11/15/2079.	2.....									
65339K	88	6	NEXTERA ENETGY 5% PFD.....	07/10/2020.	SOLD.....			25,531	1,000	25,000			25,000															25,000		531	531	625	01/15/2073.	2.....									
78490F	EN	5	SLM CORP.....	07/16/2020.	SOLD.....			22,273	30,000	30,000			30,000															30,000		(7,727)	(7,727)	950	12/15/2028.	4.....									
46625H	HS	2	JP MORGAN CHASE & CO.....	07/22/2020.	MATURED.....			35,000	35,000	34,636			34,961															35,000				1,540	07/22/2020.	1.....									
278642	AK	9	EBAY INC.....	08/10/2020.	CALLED.....			51,280	50,000	49,509			50,000															50,000				2,753	08/01/2021.	2.....									
620076	BF	5	MOTOROLA SLTNS INC.....	08/17/2020.	SOLD.....			82,687	75,000	75,000			75,000															75,000		7,687	7,687	2,900	09/01/2024.	2.....									
78010X	AE	1	ROYAL BANK SCOTLAND.....	08/24/2020.	MATURED.....			50,000	50,000	49,721			49,976															50,000				2,813	08/24/2020.	2.....									
20030N	BF	7	COMCAST CORP.....	09/10/2020.	CALLED.....			26,485	25,000	24,095			24,621															24,727				2,578	01/15/2023.	1.....									
039483	AM	4	ARCHER DANIELS.....	09/23/2020.	TENDER OFFER.....			34,573	25,000	26,594			25,773															25,691		8,882	8,882	1,917	03/15/2027.	1.....									

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2			3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
													11	12	13	14	15						
				F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol
CUSIP Identification																							
039483 AT 9				..	09/23/2020.	TENDER OFFER.....		52,932	35,000	36,138	35,000				0		35,000		17,932	17,932	2,031	10/01/2032.	1.....
05949Q BD 6				..	09/30/2020.	CALLED (PARTIAL).....		404	404	404	404				0		404			0		03/25/2036.	6.....
3899999. Total - Bonds - Industrial and Miscellaneous.....								502,257	426,404	466,197	350,735	0	(19)	0	(19)	0	465,816	0	33,403	33,403	21,665	XXX	XXX
Bonds - Hybrid Securities																							
40428H PB 2				..	09/28/2020.	MATURED.....		50,000	50,000	49,988	50,000				0		50,000			0	1,250	09/27/2020.	2.....
4899999. Total - Bonds - Hybrid Securities.....								50,000	50,000	49,988	50,000	0	0	0	0	0	50,000	0	0	0	1,250	XXX	XXX
8399997. Total - Bonds - Part 4.....								577,297	501,444	541,225	425,775	0	(19)	0	(19)	0	540,856	0	33,403	33,403	23,295	XXX	XXX
8399999. Total - Bonds.....								577,297	501,444	541,225	425,775	0	(19)	0	(19)	0	540,856	0	33,403	33,403	23,295	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred																							
200525 30 1				..	09/01/2020.	CALLED.....	2,000.000	50,000		52,499					0		52,499		(2,499)	(2,499)	750	XXX	2FE.....
744320 60 7				..	09/23/2020.	CALLED.....	3,000.000	75,000		77,339					0		77,339		(2,339)	(2,339)	1,174	XXX	2FE.....
8499999. Total - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred.....								125,000	XXX	129,838	0	0	0	0	0	129,838	0	(4,838)	(4,838)	1,924	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4.....								125,000	XXX	129,838	0	0	0	0	0	129,838	0	(4,838)	(4,838)	1,924	XXX	XXX	
8999999. Total - Preferred Stocks.....								125,000	XXX	129,838	0	0	0	0	0	129,838	0	(4,838)	(4,838)	1,924	XXX	XXX	
9899999. Total - Preferred and Common Stocks.....								125,000	XXX	129,838	0	0	0	0	0	129,838	0	(4,838)	(4,838)	1,924	XXX	XXX	
9999999. Total - Bonds, Preferred and Common Stocks.....								702,297	XXX	671,063	425,775	0	(19)	0	(19)	0	670,694	0	28,565	28,565	25,219	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Undiscounted Premium (Received) Paid	Current Year Initial Cost of Undiscounted Premium (Received) Paid	Current Year Income	Book/Adjusted Carrying Value	C o d e	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) / Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Year-end (b)

NONE

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	Highly Effective Hedges			18	19	20	21	22
														15	16	17					
Ticker Symbol	Number of Contracts	Notional Amount	Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule / Exhibit Identifier	Type(s) of Risk(s) (a)	Date of Maturity or Expiration	Exchange	Trade Date	Transaction Price	Reporting Date Price	Fair Value	Book/Adjusted Carrying Value	Cumulative Variation Margin	Deferred Variation Margin	Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item	Cumulative Variation Margin for All Other Hedges	Change in Variation Margin Gain (Loss) Recognized in Current Year	Potential Exposure	Hedge Effectiveness at Inception and at Year-end (b)	Value of One (1) Point

NONE

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1	2	3	4	Book Adjusted Carrying Value			Fair Value			11	12
				5	6	7	8	9	10		
Description of Exchange, Counterparty or Central Clearinghouse	Master Agreement (Y or N)	Credit Support Annex (Y or N)	Fair Value of Acceptable Collateral	Contracts with Book/Adjusted Carrying Value > 0	Contracts with Book/Adjusted Carrying Value < 0	Exposure Net of Collateral	Contracts with Fair Value > 0	Contracts with Fair Value < 0	Exposure Net of Collateral	Potential Exposure	Off-Balance Sheet Exposure
1. Offset per SSAP No. 64.....											
2. Net after right of offset per SSAP No. 64.....				0	0						

NONE

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)

NONE

SCHEDULE DB - PART E

Derivatives Hedging Variable Annuity Guarantees as of the Current Statement Date

This schedule is specific for the derivatives and the hedging programs captured in SSAP No. 108

CDHS		Hedged Item								Hedging Instruments								
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
		Prior Fair Value in Full Contract Cash Flow Attributed to Interest Rate	Ending Fair Value in Full Contract Cash Flow Attributed to Interest Rates	Fair Value Gain (Loss) in Hedged Item Attributed to Interest Rates (4-3)	Fair Value Gain (Loss) in Hedged Item Attributed to Hedged Risk	Current Year Increase (Decrease) in VM-21 Liability	Current Year Increase (Decrease) in VM-21 Liability Attributed to Interest Rates	Change in the Hedged Item Attributed to Hedged Risk Percentage (6/5)	Current Year Increase (Decrease) in VM-21 Liability Attributed to Hedged Risk (8*9)				Hedging Instruments' Current Fair Value Fluctuation Not Attributed to Hedged Risk	Hedge Gain (Loss) in Current Year Deferred Adjustment [12 - (13 + 14)]	Current Year Prescribed Deferred Amortization	Current Year Additional Deferred Amortization	Current Year Total Deferred Amortization (16 + 17)	Ending Deferred Balance (11 + 15 + 18)
Identifier	Description									Prior Deferred Balance	Current Year Fair Value Fluctuation of the Hedge Instruments	Current Year Natural Offset to VM-21 Liability						

NONE

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on one Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E.)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1: \$.....0 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

NONE

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount or Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
JANNEY CASH SWEEP ACCOUNT..... CLEVELAND, OHIO.....					0	0	0	XXX
PNC COMMERCIAL ACCOUNT..... CLEVELAND, OHIO.....					50,196	142,801	15,786	XXX
PNC MONEY MARKET..... CLEVELAND, OHIO.....		0.150	34		146,214	146,225	46,231	XXX
WELLS FARGO CASH SWEEP ACCOUNT..... CLEVELAND, OHIO.....					887	187	2,094	XXX
0199999. Total Open Depositories.....	XXX	XXX	34	0	197,297	289,213	64,111	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	34	0	197,297	289,213	64,111	XXX
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	50	50	50	XXX
0599999. Total Cash.....	XXX	XXX	34	0	197,347	289,263	64,161	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE