

HEALTH QUARTERLY STATEMENT

As of September 30, 2020
of the Condition and Affairs of the

Vision Service Plan Insurance Company

NAIC Group Code.....1189, 1189
(Current Period) (Prior Period)

NAIC Company Code..... 39616

Employer's ID Number..... 06-1227840

Organized under the Laws of OH

State of Domicile or Port of Entry OH

Country of Domicile US

Licensed as Business Type Property/Casualty

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized..... June 10, 1987

Commenced Business..... July 1, 1987

Statutory Home Office 3400 Morse Crossing .. Columbus .. OH .. US .. 43219
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 3333 Quality Drive .. Rancho Cordova .. CA .. US .. 95670 916-851-5000
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 3333 Quality Drive .. Rancho Cordova .. CA .. US .. 95670
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 3333 Quality Drive .. Rancho Cordova .. CA .. US .. 95670 916-851-5000
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.vsp.com

Statutory Statement Contact Sandy Wick 916-851-5000
(Name) (Area Code) (Telephone Number) (Extension)
sandy.wick@vsp.com 916-463-9040
(E-Mail Address) (Fax Number)

OFFICERS

Name	Title	Name	Title
1. Kate Alison Renwick-Espinosa	President	2. Michael Joseph Guyette	Secretary
3. Monica Renee Perez	Treasurer	4.	

OTHER

DIRECTORS OR TRUSTEES

Kate Alison Renwick-Espinosa Michael Joseph Guyette Thomas Allan Fessler Bradley Nelson Garber
Daniel Joseph Schauer

State of..... California
County of..... Sacramento

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Kate Alison Renwick-Espinosa	Michael Joseph Guyette	Monica Renee Perez
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 26th day of October 2020
By: Kate Alison Renwick-Espinosa, Michael Joseph Guyette,
Monica Renee Perez

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____



ASSETS

	Current Statement Date			4
	1	2	3	4
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	Prior Year Net Admitted Assets
1. Bonds.....	36,695,153		36,695,153	47,170,338
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	305,501,166	276,021,364	29,479,802	28,494,878
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....17,971,454), cash equivalents (\$.....167,945,887) and short-term investments (\$.....44,749,471).....	230,666,812		230,666,812	130,343,793
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	25,824
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	572,863,131	276,021,364	296,841,767	206,034,833
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	332,626		332,626	285,977
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	57,713,387	6,834,553	50,878,834	51,033,742
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....	79,732,165	1,543,107	78,189,058	75,878,478
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....	6,181,946	2,493,375	3,688,571	1,402,266
19. Guaranty funds receivable or on deposit.....	5,108,900		5,108,900	5,470,703
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	4,461,959		4,461,959	2,883,652
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	726,394,114	286,892,399	439,501,715	342,989,651
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	726,394,114	286,892,399	439,501,715	342,989,651

DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.....			0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded).....	64,047,489		64,047,489	60,761,408
2. Accrued medical incentive pool and bonus amounts.....			0	
3. Unpaid claims adjustment expenses.....	885,132		885,132	1,049,683
4. Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act.....			0	
5. Aggregate life policy reserves.....			0	
6. Property/casualty unearned premium reserve.....			0	
7. Aggregate health claim reserves.....			0	
8. Premiums received in advance.....	11,569,771		11,569,771	6,605,490
9. General expenses due or accrued.....	6,018,572		6,018,572	5,877,662
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)).....	38,704,300		38,704,300	13,138,459
10.2 Net deferred tax liability.....			0	
11. Ceded reinsurance premiums payable.....			0	
12. Amounts withheld or retained for the account of others.....			0	
13. Remittances and items not allocated.....	4,187,243		4,187,243	8,660,314
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current).....			0	
15. Amounts due to parent, subsidiaries and affiliates.....	22,447,278		22,447,278	36,703,001
16. Derivatives.....			0	
17. Payable for securities.....			0	25,679
18. Payable for securities lending.....			0	
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers, \$.....0 unauthorized reinsurers and certified \$.....0 reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$.....0) companies.....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates.....			0	
22. Liability for amounts held under uninsured plans.....	4,049,396		4,049,396	2,887,531
23. Aggregate write-ins for other liabilities (including \$.....0 current).....	19,312,192	0	19,312,192	18,035,060
24. Total liabilities (Lines 1 to 23).....	171,221,373	0	171,221,373	153,744,287
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	25,540,002
26. Common capital stock.....	XXX	XXX	2,500,000	2,500,000
27. Preferred capital stock.....	XXX	XXX		
28. Gross paid in and contributed surplus.....	XXX	XXX	38,462,582	38,462,582
29. Surplus notes.....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	0	0
31. Unassigned funds (surplus).....	XXX	XXX	227,317,760	122,742,780
32. Less treasury stock, at cost:				
32.10.000 shares common (value included in Line 26 \$.....0).....	XXX	XXX		
32.20.000 shares preferred (value included in Line 27 \$.....0).....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	268,280,342	189,245,364
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	439,501,715	342,989,651

DETAILS OF WRITE-INS

2301. Taxes, licenses & fees.....	2,632,136		2,632,136	5,795,408
2302. Escheatable checks.....	1,479,080		1,479,080	1,126,921
2303. Other Liabilities.....	15,200,976		15,200,976	11,112,731
2398. Summary of remaining write-ins for Line 23 from overflow page.....	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above).....	19,312,192	0	19,312,192	18,035,060
2501. Health Insurer Assessment.....	XXX	XXX		25,540,002
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	XXX	XXX	0	25,540,002
3001. Statutory Reserve.....	XXX	XXX		
3002.				
3003.				
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above).....	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member months.....	XXX	153,572,434	147,426,277	202,160,248
2. Net premium income (including \$.....0 non-health premium income).....	XXX	996,182,824	972,908,886	1,296,446,804
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			
4. Fee-for-service (net of \$....186,742,974 medical expenses).....	XXX	42,236,017	25,899,200	38,922,687
5. Risk revenue.....	XXX	9,327,186	2,564,598	3,744,963
6. Aggregate write-ins for other health care related revenues.....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues.....	XXX	0	0	0
8. Total revenues (Lines 2 to 7).....	XXX	1,047,746,027	1,001,372,684	1,339,114,454
Hospital and Medical:				
9. Hospital/medical benefits.....				
10. Other professional services.....		656,107,616	766,550,322	1,003,223,865
11. Outside referrals.....				
12. Emergency room and out-of-area.....				
13. Prescription drugs.....				
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....				
16. Subtotal (Lines 9 to 15).....	0	656,107,616	766,550,322	1,003,223,865
Less:				
17. Net reinsurance recoveries.....				
18. Total hospital and medical (Lines 16 minus 17).....	0	656,107,616	766,550,322	1,003,223,865
19. Non-health claims (net).....				
20. Claims adjustment expenses, including \$.....0 cost containment expenses.....		15,048,258	15,281,909	23,157,601
21. General administrative expenses.....		176,058,053	154,845,558	229,146,897
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only).....				
23. Total underwriting deductions (Lines 18 through 22).....	0	847,213,927	936,677,789	1,255,528,363
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	200,532,100	64,694,895	83,586,091
25. Net investment income earned.....		1,846,193	3,057,406	4,036,325
26. Net realized capital gains (losses) less capital gains tax of \$....109,227.....		410,902	1,565,054	1,603,318
27. Net investment gains or (losses) (Lines 25 plus 26).....	0	2,257,095	4,622,460	5,639,643
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$....576,472)].....		(576,472)	(258,990)	(333,133)
29. Aggregate write-ins for other income or expenses.....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	202,212,723	69,058,365	88,892,601
31. Federal and foreign income taxes incurred.....	XXX	49,327,716	12,874,116	17,790,362
32. Net income (loss) (Lines 30 minus 31).....	XXX	152,885,007	56,184,249	71,102,239

DETAILS OF WRITE-INS

0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above).....	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above).....	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0	0
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

CAPITAL AND SURPLUS ACCOUNT	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
33. Capital and surplus prior reporting year.....	189,245,364	196,762,204	196,762,204
34. Net income or (loss) from Line 32.....	152,885,007	56,184,249	71,102,239
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....(881,475).....	(11,116,615)	3,074,614	240,949
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	2,194,182	(1,158,624)	(174,903)
39. Change in nonadmitted assets.....	5,072,404	(33,027,954)	(31,685,125)
40. Change in unauthorized and certified reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Capital changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....	(70,000,000)	(47,000,000)	(47,000,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital and surplus (Lines 34 to 47).....	79,034,978	(21,927,715)	(7,516,840)
49. Capital and surplus end of reporting period (Line 33 plus 48).....	268,280,342	174,834,489	189,245,364

DETAILS OF WRITE-INS

4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above).....	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	996,261,874	973,465,039	1,295,374,240
2. Net investment income.....	1,765,263	2,984,753	4,119,467
3. Miscellaneous income.....	51,563,203	28,463,798	42,667,650
4. Total (Lines 1 through 3).....	1,049,590,340	1,004,913,590	1,342,161,357
5. Benefit and loss related payments.....	652,821,535	767,517,823	1,003,522,675
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	191,873,661	162,261,800	249,476,932
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	23,871,102	21,491,761	23,039,727
10. Total (Lines 5 through 9).....	868,566,298	951,271,384	1,276,039,334
11. Net cash from operations (Line 4 minus Line 10).....	181,024,042	53,642,206	66,122,023
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	21,772,000	17,647,672	29,702,672
12.2 Stocks.....	16,275,304	6,472,081	7,036,777
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	25,824	152,017	11,876
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	38,073,128	24,271,770	36,751,325
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	11,259,045	20,649,581	22,149,206
13.2 Stocks.....	17,182,269	37,712,660	40,652,103
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....	25,679	103,834	25,824
13.7 Total investments acquired (Lines 13.1 to 13.6).....	28,466,993	58,466,075	62,827,132
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	9,606,135	(34,194,305)	(26,075,807)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....	70,000,000	47,000,000	47,000,000
16.6 Other cash provided (applied).....	(20,307,158)	4,983,605	18,336,601
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(90,307,158)	(42,016,395)	(28,663,399)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	100,323,019	(22,568,494)	11,382,817
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	130,343,793	118,960,977	118,960,977
19.2 End of period (Line 18 plus Line 19.1).....	230,666,812	96,392,482	130,343,793

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at End of:										
1. Prior Year.....	16,881,064				15,628,367		1,252,697			
2. First Quarter.....	17,375,371				16,062,669		1,312,702			
3. Second Quarter.....	16,849,679				15,533,209		1,316,470			
4. Third Quarter.....	16,640,478				15,317,539		1,322,939			
5. Current Year.....	0									
6. Current Year Member Months.....	153,572,434				141,729,713		11,842,721			
Total Member Ambulatory Encounters for Period:										
7. Physician.....	0									
8. Non-Physician.....	3,507,355				3,161,374		345,981			
9. Total.....	3,507,355	0	0	0	3,161,374	0	345,981	0	0	0
10. Hospital Patient Days Incurred.....	0									
11. Number of Inpatient Admissions.....	0									
12. Health Premiums Written (a).....	996,182,824				885,012,824		111,170,000			
13. Life Premiums Direct.....	0									
14. Property/Casualty Premiums Written.....	0									
15. Health Premiums Earned.....	996,182,824				885,012,824		111,170,000			
16. Property/Casualty Premiums Earned.....	0									
17. Amount Paid for Provision of Health Care Services.....	652,821,535				569,858,375		82,963,160			
18. Amount Incurred for Provision of Health Care Services.....	656,107,616				572,736,838		83,370,778			

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(a) For health premiums written: Amount of Medicare Title XVIII exempt from state taxes or fees \$.....0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
Pricing Claims.....	12,394,408					12,394,408
0199999. Individually Listed Claims Unpaid.....	12,394,408	0	0	0	0	12,394,408
0499999. Subtotals.....	12,394,408	0	0	0	0	12,394,408
0599999. Unreported Claims and Other Claim Reserves.....						51,653,081
0799999. Total Claims Unpaid.....						64,047,489

UNDERWRITING AND INVESTMENT EXHIBIT

Analysis of Claims Unpaid - Prior Year - Net of Reinsurance

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical).....					.0	
2. Medicare Supplement.....					.0	
3. Dental only.....					.0	
4. Vision only.....	49,354,526	530,614,749	73,970	56,826,077	49,428,496	54,303,183
5. Federal Employees Health Benefits Plan.....	6,199,619	66,652,641	9,292	7,138,151	6,208,911	6,458,225
6. Title XVIII - Medicare.....					.0	
7. Title XIX - Medicaid.....					.0	
8. Other health.....					.0	
9. Health subtotal (Lines 1 to 8).....	55,554,145	597,267,390	83,262	63,964,228	55,637,407	60,761,408
10. Healthcare receivables (a).....					.0	
11. Other non-health.....					.0	
12. Medical incentive pools and bonus amounts.....					.0	
13. Totals (Lines 9-10+11+12).....	55,554,145	597,267,390	83,262	63,964,228	55,637,407	60,761,408

609

(a) Excludes \$.0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS**Note 1 – Summary of Significant Accounting Policies and Going Concern**

A. Accounting Practices

This statement has been completed in accordance with the Accounting Practices and Procedures Manual. The Company does not employ accounting practices that depart from the Manual.

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
NET INCOME					
(1) Vision Service Plan Insurance Company Company state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ 152,885,007	\$ 71,102,239
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 152,885,007	\$ 71,102,239
SURPLUS					
(5) Vision Service Plan Insurance Company Company state basis (Page 3, line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 268,280,342	\$ 189,245,364
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 268,280,342	\$ 189,245,364

C. Accounting Policy

(2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method
Bonds are stated at amortized cost using the interest method.

(6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities.

D. Going Concern

Management evaluated whether there are conditions and events that raise substantial doubt about the Company's ability to continue as a going concern within one year after the date that the financial statements are issued. Management's evaluation was based only on relevant conditions and events that were known and reasonably knowable at the date that the financial statements are issued. Based on the evaluation, the Company is more than able to meet all known obligations at the date that the financial statements are issued, therefore, no conditions or events raise substantial doubt about the Company's ability to continue as a going concern.

Note 2 – Accounting Changes and Corrections of Errors

No significant changes

Note 3 – Business Combinations and Goodwill

Not Applicable

Note 4 – Discontinued Operations

Not Applicable

Note 5 – Investments

D. Loan-Backed Securities - Not Applicable

E. Dollar Repurchase Agreements and/or Securities Lending Transactions - Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
Repurchase Transaction – Cash Taker – Overview of Secured Borrowing Transactions - Not Applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions - Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale
Repurchase Transaction – Cash Taker – Overview of Sale Transactions - Not Applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale
Repurchase Transaction – Cash Provider – Overview of Sale Transactions - Not Applicable

M. Working Capital Finance Investments - Not Applicable

N. Offsetting and Netting of Assets and Liabilities - Not Applicable

Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

Not Applicable

Note 7 – Investment Income

NOTES TO FINANCIAL STATEMENTS

Not Applicable

Note 8 – Derivative Instruments

Not Applicable

Note 9 – Income Taxes

No significant changes

Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes

Note 11 – Debt

Not Applicable

Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

Not Applicable

Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations

Not Applicable

Note 14 – Liabilities, Contingencies and Assessments

Not Applicable

Note 15 – Leases

Not Applicable

Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

Not Applicable

Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Not Applicable

Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans

No significant changes

Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

Note 20 – Fair Value Measurements

A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
Common Stock	\$ 29,479,802	\$	\$	\$	\$ 29,479,802
Total	\$ 29,479,802	\$	\$	\$	\$ 29,479,802
Liabilities at Fair Value					
	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy - Not Applicable

(3) Policies when Transfers Between Levels are Recognized - Not Applicable

(4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement - Not Applicable

(5) Fair Value Disclosures - Not Applicable

B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not Applicable

C. Fair Value Level

NOTES TO FINANCIAL STATEMENTS

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash Equivalents	\$ 167,945,922	\$ 167,945,887	\$ 152,947,522	\$ 14,998,400	\$	\$	\$
Short-term Investments	\$ 44,742,652	\$ 44,749,471	\$	\$ 44,742,652	\$	\$	\$
Bonds	\$ 37,163,279	\$ 36,695,153	\$ 552,768	\$ 36,610,511	\$	\$	\$
Common Stock	\$ 29,479,802	\$ 29,479,802	\$ 29,479,802	\$	\$	\$	\$

D. Not Practicable to Estimate Fair Value - Not Applicable

E. NAV Practical Expedient Investments - Not Applicable

Note 21 – Other Items

Not Applicable

Note 22 – Events Subsequent

Subsequent events have been considered through November 10, 2020, the date on which the financial statements were available to be issued. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

Note 23 – Reinsurance

Not Applicable

Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination

E. Risk Sharing Provisions of the Affordable Care Act

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions Yes [] No [X]
- (2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year - Not Applicable
- (3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

Explanations of Adjustments - Not Applicable
- (4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year - Not Applicable
- (5) ACA Risk Corridors Receivable as of Reporting Date - Not Applicable

Note 25 – Change in Incurred Losses and Loss Adjustment Expenses

A. Change in Incurred Losses and Loss Adjustment Expenses

Activity in claims unpaid and related expenses is summarized as follows:

	2020	2019
BALANCE—January 1	<u>\$ 61,811,091</u>	<u>\$ 62,092,326</u>
Incurred related to:		
Current year	678,232,185	1,040,401,004
Prior years	<u>(4,765,732)</u>	<u>(4,705,474)</u>
Total incurred	673,466,453	1,035,695,530
Paid related to:		
Current year	(613,299,564)	(978,589,913)
Prior years	<u>(57,045,359)</u>	<u>(57,386,852)</u>
Total paid	<u>(670,344,923)</u>	<u>(1,035,976,765)</u>
BALANCE - September 30/December 31	<u>\$ 64,932,621</u>	<u>\$ 61,811,091</u>

Reserves as of September 30, 2020 were \$64,932,621. As of September 30, 2020, \$57,045,359 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$4,765,732 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$4,765,732 favorable prior-year development from December 31, 2019 to September 30, 2020. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims.

B. Information about Significant Changes in Methodologies and Assumptions

Not Applicable

Note 26 – Intercompany Pooling Arrangements

Not Applicable

NOTES TO FINANCIAL STATEMENTS

Note 27 – Structured Settlements

Not Applicable

Note 28 – Health Care Receivables

Not Applicable

Note 29 – Participating Policies

Not Applicable

Note 30 – Premium Deficiency Reserves

No significant changes

Note 31 – Anticipated Salvage and Subrogation

Not Applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change: _____
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. _____

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/30/2020

6.4 By what department or departments?
Ohio Department of Insurance

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [X] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 4,461,959

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	287,580,772	276,021,364
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 287,580,772	\$ 276,021,364
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Union Bank	350 California St., 6th Floor MC H-600, San Francisco, CA 94104
Wells Fargo Institutional Securities, LLC	45 Fremont St., 34th Flr, San Francisco, CA 94105
Morgan Stanley	1333 N. California Blvd., Ste. 133, Walnut Creek, CA 94596

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes No
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
VSP TREASURY MANAGER	I
MORGAN STANLEY	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
N/A	VSP TREASURY MANAGER	N/A	N/A	NO
149777	MORGAN STANLEY	N/A	SEC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes No

GENERAL INTERROGATORIES**PART 1 - COMMON INTERROGATORIES**

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 - Issuer or obligor is current on all contracted interest and principal payments.
 - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
 - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The security was purchased prior to January 1, 2019.
 - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - The fund only or predominantly holds bonds in its portfolio.
 - The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES (continued)

PART 2 - HEALTH

1. Operating Percentages:		
1.1 A&H loss percent		66.0 %
1.2 A&H cost containment percent		0.0 %
1.3 A&H expense percent excluding cost containment expenses		18.0 %
2.1 Do you act as a custodian for health savings accounts?	Yes []	No [X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.		0
2.3 Do you act as an administrator for health savings accounts?	Yes []	No [X]
2.4 If yes, please provide the amount of funds administered as of the reporting date.		0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [X]	No []
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes []	No []

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status (a)	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 through 7	9 Deposit-Type Contracts
1. Alabama.....AL	L	31,636,925						31,636,925	
2. Alaska.....AK	L							0	
3. Arizona.....AZ	L	25,789,687						25,789,687	
4. Arkansas.....AR	L							0	
5. California.....CA	L							0	
6. Colorado.....CO	L	39,606,039						39,606,039	
7. Connecticut.....CT	L	23,847,205						23,847,205	
8. Delaware.....DE	L	4,174,023						4,174,023	
9. District of Columbia.....DC	L	3,953,393			111,170,000			115,123,393	
10. Florida.....FL	N							0	
11. Georgia.....GA	N							0	
12. Hawaii.....HI	L							0	
13. Idaho.....ID	L							0	
14. Illinois.....IL	L							0	
15. Indiana.....IN	L	25,917,190						25,917,190	
16. Iowa.....IA	L	9,147,211						9,147,211	
17. Kansas.....KS	L	10,501,681						10,501,681	
18. Kentucky.....KY	L	5,040,463						5,040,463	
19. Louisiana.....LA	L	7,700,007						7,700,007	
20. Maine.....ME	L	4,434,977						4,434,977	
21. Maryland.....MD	L							0	
22. Massachusetts.....MA	L	33,167,599						33,167,599	
23. Michigan.....MI	L	49,842,063						49,842,063	
24. Minnesota.....MN	L	36,393,884						36,393,884	
25. Mississippi.....MS	L	5,543,668						5,543,668	
26. Missouri.....MO	N							0	
27. Montana.....MT	L	2,744,879						2,744,879	
28. Nebraska.....NE	L	6,238,575						6,238,575	
29. Nevada.....NV	L							0	
30. New Hampshire.....NH	L	3,987,544						3,987,544	
31. New Jersey.....NJ	L	58,981,624						58,981,624	
32. New Mexico.....NM	N							0	
33. New York.....NY	N							0	
34. North Carolina.....NC	L	58,873,140						58,873,140	
35. North Dakota.....ND	L	2,208,268						2,208,268	
36. Ohio.....OH	L	79,009,997						79,009,997	
37. Oklahoma.....OK	L	38,797,940						38,797,940	
38. Oregon.....OR	L	15,792,557						15,792,557	
39. Pennsylvania.....PA	L	54,603,489						54,603,489	
40. Rhode Island.....RI	L	16,475,186						16,475,186	
41. South Carolina.....SC	L	8,803,852						8,803,852	
42. South Dakota.....SD	L	9,960,818						9,960,818	
43. Tennessee.....TN	L	28,052,827						28,052,827	
44. Texas.....TX	L	142,628,062						142,628,062	
45. Utah.....UT	L	8,988,560						8,988,560	
46. Vermont.....VT	L	4,433,916						4,433,916	
47. Virginia.....VA	L							0	
48. Washington.....WA	L							0	
49. West Virginia.....WV	L	2,249,810						2,249,810	
50. Wisconsin.....WI	L	25,485,763						25,485,763	
51. Wyoming.....WY	L							0	
52. American Samoa.....AS	N							0	
53. Guam.....GU	N							0	
54. Puerto Rico.....PR	N							0	
55. U.S. Virgin Islands.....VI	N							0	
56. Northern Mariana Islands.....MP	N							0	
57. Canada.....CAN	N							0	
58. Aggregate Other alien.....OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal.....XXX		885,012,822	0	0	111,170,000	0	0	996,182,822	0
60. Reporting entity contributions for Employee Benefit Plans.....XXX								0	
61. Total (Direct Business).....XXX		885,012,822	0	0	111,170,000	0	0	996,182,822	0

DETAILS OF WRITE-INS

58001.....								0	
58002.....								0	
58003.....								0	
58998. Summary of remaining write-ins for line 58 from overflow page.....		0	0	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....		0	0	0	0	0	0	0	0

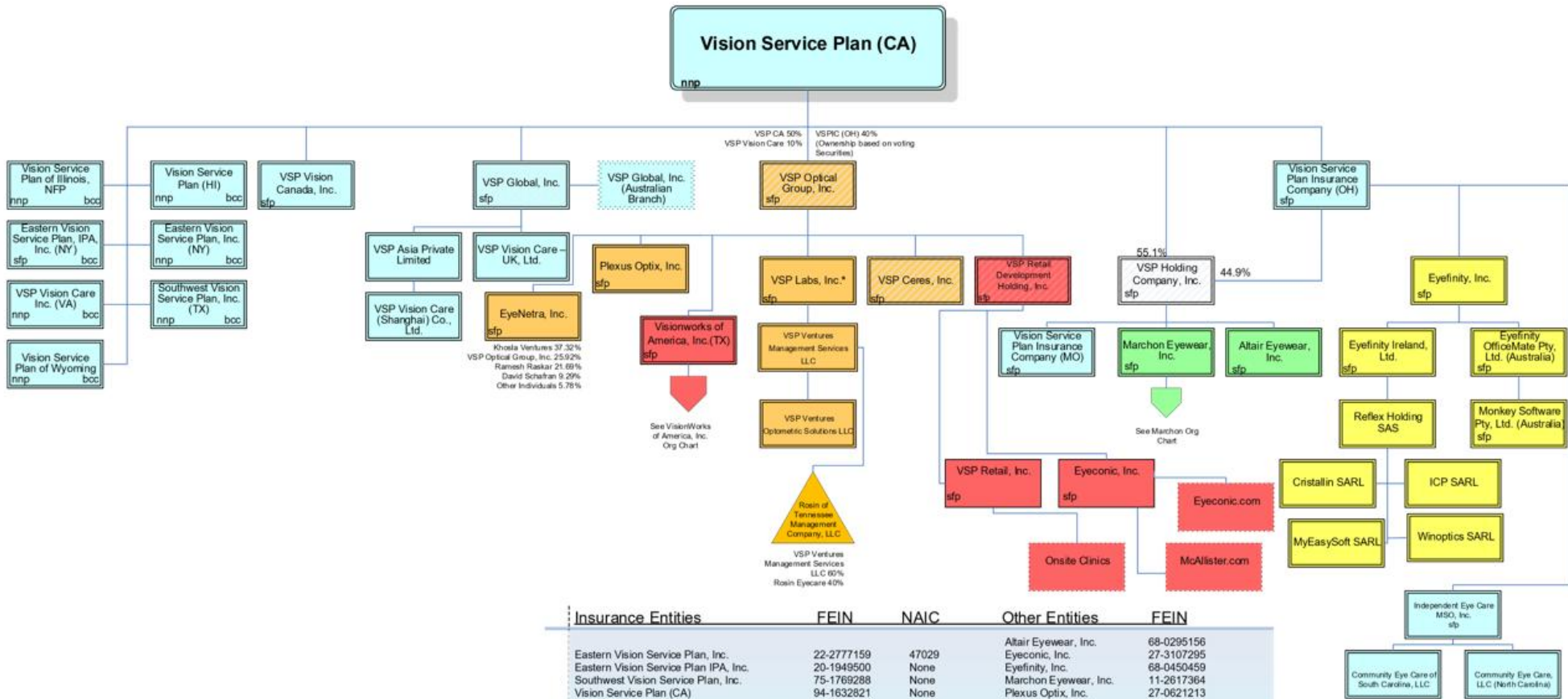
(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	46	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
		N - None of the above - Not allowed to write business in the state.....	11

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Organizational Chart, Vision Service Plan



Legend

	Vision Benefits Company
	Eyewear Company
	Practice Solutions Company
	Ophthalmic Operations Company
	Holding Company
	Corporate division or DBA
	Joint Venture
	Retail

Corporate Ownership Key

nnp	Non-Stock, non-profit corporation
sfp	Stock, for-profit corporation
bcc	Board-controlled corporation
xx%	Ownership percentage

Each entity is 100% owned by its parent unless otherwise indicated.

Insurance Entities	FEIN	NAIC	Other Entities	FEIN
Eastern Vision Service Plan, Inc.	22-2777159	47029	Altair Eyewear, Inc.	68-0295156
Eastern Vision Service Plan IPA, Inc.	20-1949500	None	Eyeconic, Inc.	27-3107295
Southwest Vision Service Plan, Inc.	75-1769288	None	Eyefinity, Inc.	68-0450459
Vision Service Plan (CA)	94-1632821	None	Marchon Eyewear, Inc.	11-2617364
Vision Service Plan (HI)	99-0247673	None	Plexus Optix, Inc.	27-0621213
Vision Service Plan Insurance Company (OH)	06-1227840	39616	VSP Ceres, Inc.	27-5018913
Vision Service Plan Insurance Company (MO)	36-3560825	32395	VSP Global, Inc.	27-0933693
Vision Service Plan of Illinois, NFP	20-0891619	12516	VSP Holding Company, Inc.	26-1998746
Vision Service Plan of Wyoming	83-0212963	None	VSP Labs, Inc.	27-0621143
VSP Vision Care, Inc.	23-7089668	53031	VSP Optical Group, Inc.	27-0621064
Independent Eye Care MSO, Inc.	56-1985814	None	VSP Retail Development Holding, Inc.	46-0393037
Community Eye Care of South Carolina, LLC	26-3268063	None	VSP Retail, Inc.	46-5406960
			VSP Ventures Management Services LLC	61-1930870
			Visionworks of America, Inc.	74-1227775
			VSP Ventures Optometric Solutions LLC	84-2383097
			Rosin of Tennessee Management Company, LLC	63-4635050

***VSP Labs, Inc. dba:**

Dallas Technology Center (Dtec)	VSPOne Fort Lauderdale	VSPOne Sacramento
VSP Instruments	VSPOne San Diego	VSPOne St. Cloud
VSPOne Baltimore	VSPOne Hawaii	
VSPOne Charlotte	VSPOne Tampa Bay	
VSPOne Columbus	VSPOne New York	
VSPOne Dallas	VSPOne Olympia	



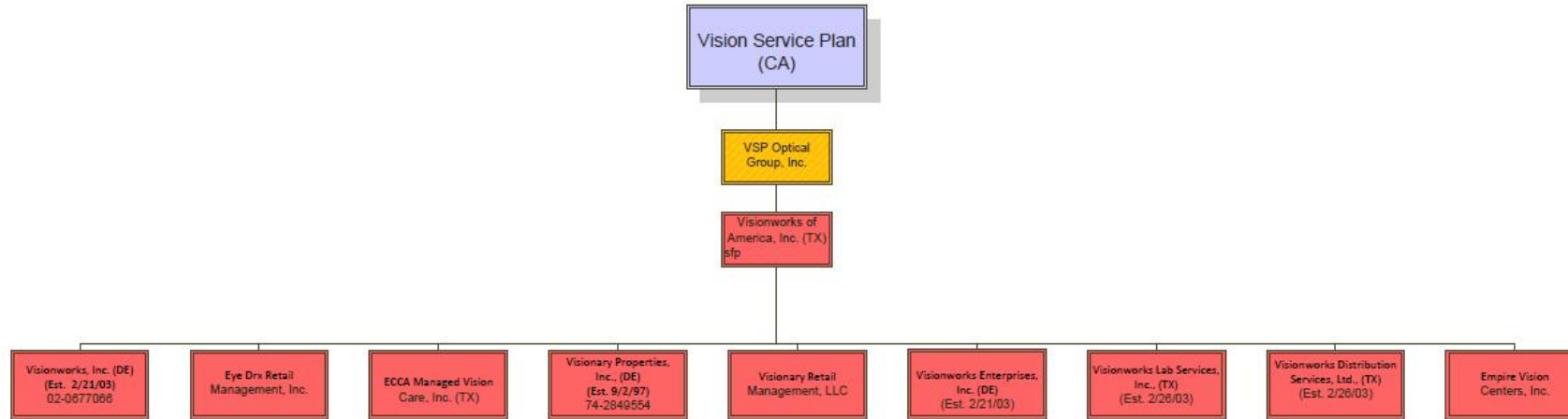
Vision Service Plan
Proprietary and Confidential
For Internal Use Only

Q15

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Organizational Chart, Visionworks of America, Inc.



Q15.2

Legend
Wholly-owned subsidiaries

Each entity is 100% owned by its parent unless otherwise indicated.

All entities are US domestic unless otherwise indicated by name or notation.

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Vision Service Plan
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For Internal Use Only



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*	
Members																
Q16	1189 Vision Serv Plan Group	00000..	56-2355483..				Allure Eyewear, LLC.....	USA.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...51.000	Vision Service Plan (California).....	...N.....		
		00000..	68-0295156..				Altair Eyewear, Inc.....	USA.....	NIA.....	VSP Holding Company, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	26-3268063..				Community Eye Care of South Carolina, LLC.....	USA.....	NIA.....	Independant Eye Care MSO, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...Y.....		
		00000..					Community Eye Care, LLC (North Carolina).....	USA.....	NIA.....	Independant Eye Care MSO, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...Y.....		
		00000..					Coordinadora Administrativa de Personal.....	MEX.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Cristallin SARL.....	FRA.....	NIA.....	Reflex Holding SAS.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Dragon Alliance South Pacific Pty. Ltd.....	AUS.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	20-1949500..				Eastern Vision Service Plan IPA, Inc.....	USA.....	IA.....	Vision Service Plan (California).....	Board.....			Vision Service Plan (California).....	...N.....	
		00000..	47029..	22-2777159..			Eastern Vision Service Plan, Inc.....	USA.....	IA.....	Vision Service Plan (California).....	Board.....			Vision Service Plan (California).....	...N.....	
		00000..					ECCA Managed Vision Care, Inc. (TX).....	USA.....	NIA.....	Visionworks of America, Inc. (TX).....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Empire Vision Centers, Inc.....	USA.....	NIA.....	Visionworks of America, Inc. (TX).....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Entemasyon al Gozluk Sanayi VE Ticaret AS.....	TUR.....	NIA.....	Marchon Europe BV.....	Ownership.....	...55.000	Vision Service Plan (California).....	...N.....		
		00000..	23-2941185..				Eye Designs, LLC.....	USA.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...50.000	Vision Service Plan (California).....	...N.....		
		00000..					Eye Drx Retail Management, Inc.....	USA.....	NIA.....	Visionworks of America, Inc. (TX).....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	27-3107295..				Eyeconic, Inc.....	USA.....	NIA.....	VSP Retail Development Holding, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Eyefinity Ireland, Ltd.....	IRL.....	NIA.....	Eyefinity, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	68-0450459..				Eyefinity, Inc.....	USA.....	NIA.....	VSPIC (Ohio).....	Ownership.....	...100.000	Vision Service Plan (California).....	...Y.....		
		00000..					Eyefinity OfficeMate Pty, Ltd. (Australia).....	AUS.....	NIA.....	Eyefinity Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	45-3675739..				EyeNetra, Inc.....	USA.....	NIA.....	VSP Optical Group, Inc.....	Ownership.....	...25.920	Vision Service Plan (California).....	...N.....		
		00000..					FC 18 Comercio e Representacoes Ltda.....	BRA.....	NIA.....	Marchon Brasil Ltda.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					I Enterprises Pty, Ltd.....	AUS.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					ICP SARL.....	FRA.....	NIA.....	Reflex Holding SAS.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	56-1985814..				Independant Eye Care MSO, Inc.....	USA.....	NIA.....	VSPIC (Ohio).....	Ownership.....	...100.000	Vision Service Plan (California).....	...Y.....		
		00000..					Marchon Brasil Ltda.....	BRA.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	83-4627457..				Marchon Canada, Inc.....	CAN.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..	98-0201338..				Marchon Europe BV.....	NLD.....	NIA.....	Marchon Eyewear, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Marchon Eyewear (Hong Kong) Ltd.....	HKG.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
		00000..					Marchon Eyewear Shenzhen Ltd. China.....	CHN.....	NIA.....	Marchon Eyewear (Hong Kong) Ltd.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....		
00000..					Marchon Eyewear (Shanghai) Ltd.....	CHN.....	NIA.....	Marchon Eyewear (Hong Kong) Ltd.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..					Marchon Eyewear Australia Pty Ltd.....	AUS.....	NIA.....	I Enterprises Pty Ltd.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..	11-2617364..				Marchon Eyewear, Inc.....	USA.....	NIA.....	VSP Holding Company, Inc.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..	98-0542016..				Marchon France SAS.....	FRA.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..					Marchon Germany GmbH.....	DEU.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..					Marchon Gulf FZ Company.....	ARE.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..					Marchon Hispania SL.....	ESP.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				
00000..					Marchon Italia SRL.....	ITA.....	NIA.....	Marchon Europe BV.....	Ownership.....	...100.000	Vision Service Plan (California).....	...N.....				

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
Q16.1		00000					Marchon Japan KK	JPN	NIA	Marchon Europe BV	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Marchon Mauritius Ltd	MUS	NIA	Marchon Eyewear (Hong Kong) Ltd	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Marchon Mexico	MEX	NIA	Marchon Eyewear, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Marchon Portugal, Unipessoal, Lda	PRT	NIA	Marchon Europe BV	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Marchon Singapore Pte. Ltd	SGP	NIA	Marchon Europe BV	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Marchon UK Ltd	GBR	NIA	Marchon Europe BV	Ownership	100.000	Vision Service Plan (California)	N	
		00000	27-3493284				MEI 3D, LLC	USA	NIA	Marchon Eyewear, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Monkey Software Pty. Ltd	AUS	NIA	Eyefinity OfficeMate Pty, Ltd. (Australia)	Ownership	100.000	Vision Service Plan (California)	N	
		00000	27-1700596				MVO Licensing, LLC	USA	NIA	Marchon Eyewear, Inc	Ownership	13.650	Vision Service Plan (California)	N	
		00000	27-1700596				MVO Licensing, LLC	USA	NIA	Optical Opportunities, LLC	Ownership	58.860	Vision Service Plan (California)	N	
		00000					MyEasySoft SARL	FRA	NIA	Reflex Holding SAS	Ownership	100.000	Vision Service Plan (California)	N	
		00000	88-0465774				Optical Opportunities, LLC	USA	NIA	Marchon Eyewear, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000	27-0621213				Plexus Optix, Inc	USA	NIA	VSP Optical Group, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Reflex Holding SAS	IRL	NIA	Eyefinity, Ireland	Ownership	100.000	Vision Service Plan (California)	N	
		00000	83-4635050				Rosin of Tennessee Management Company, LLC	USA	NIA	VSP Ventures Management Services LLC	Ownership	60.000	Vision Service Plan (California)	N	
		00000					Scandinavian Eyewear (Sweden)	SWE	NIA	Marchon Europe BV	Ownership	100.000	Vision Service Plan (California)	N	
		00000	75-1769288				Southwest Vision Service Plan, Inc. (Texas)	USA	IA	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
		00000					Sterling Meta-Plast India Private Ltd	IND	NIA	Marchon Mauritius	Ownership	49.000	Vision Service Plan (California)	N	
		00000	94-1632821				Vision Service Plan (California)	USA	UDP	Vision Service Plan (California)	Ownership	100.000	Vision Service Plan (California)	N	
		00000	99-0247673				Vision Service Plan (Hawaii)	USA	IA	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
1189	Vision Serv Plan Group	39616	06-1227840				Vision Service Plan Insurance Company (Ohio)	USA	RE	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
1189	Vision Serv Plan Group	32395	36-3560825				Vision Service Plan Insurance Company (Missouri)	USA	IA	VSP Holding Company, Inc	Board		Vision Service Plan (California)	N	
1189	Vision Serv Plan Group	12516	20-0891619				Vision Service Plan of Illinois, NFP	USA	IA	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
		00000	83-0212963				Vision Service Plan of Wyoming (Wyoming)	USA	IA	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
		00000	74-2849554				Visionary Properties, Inc., (DE)	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Visionary Retail Management, LLC	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000	02-0677066				Visionworks, Inc. (DE)	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Visionworks Distribution Services, Ltd., (TX)	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Visionworks Enterprises, Inc. (DE)	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Visionworks of America, Inc. (TX)	USA	NIA	VSP Optical Group, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000					Visionworks Lab Services, Inc., (TX)	USA	NIA	Visionworks of America, Inc. (TX)	Ownership	100.000	Vision Service Plan (California)	N	
		00000					VSP Asia Private Ltd	HKG	NIA	VSP Global, Inc	Ownership	100.000	Vision Service Plan (California)	N	
		00000					VSP Vision Canada, Inc	CAN	IA	Vision Service Plan (California)	Ownership	100.000	Vision Service Plan (California)	N	

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		00000	27-5016913				VSP Ceres Inc.	USA	NIA	VSP Optical Group, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000	27-0933693				VSP Global, Inc.	USA	NIA	Vision Service Plan (California)	Ownership	100.000	Vision Service Plan (California)	N	
		00000	26-1998746				VSP Holding Company, Inc.	USA	NIA	Vision Service Plan (California)	Ownership	55.100	Vision Service Plan (California)	Y	
		00000	26-1998746				VSP Holding Company, Inc.	USA	NIA	VSPIC (Ohio)	Ownership	44.900	Vision Service Plan (California)	Y	
		00000	27-0621143				VSP Labs, Inc.	USA	NIA	VSP Optical Group, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000	27-0621064				VSP Optical Group, Inc.	USA	NIA	Vision Service Plan (California)	Ownership	50.000	Vision Service Plan (California)	Y	
		00000	27-0621064				VSP Optical Group, Inc.	USA	NIA	VSPIC (Ohio)	Ownership	40.000	Vision Service Plan (California)	Y	
		00000	27-0621064				VSP Optical Group, Inc.	USA	NIA	VSP Vision Care, Inc. (Virginia)	Ownership	10.000	Vision Service Plan (California)	Y	
		00000	46-5393037				VSP Retail Development Holding, Inc.	USA	NIA	VSP Optical Group, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000	46-5406960				VSP Retail, Inc.	USA	NIA	VSP Retail Development Holding, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000	61-1930870				VSP Ventures Management Services LLC	USA	NIA	VSP Labs, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000	84-2383097				VSP Ventures Optometric Solutions LLC	USA	NIA	VSP Labs, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
		00000					VSP Vision Care (Shanghai) Co., Ltd	CHN	NIA	VSP Asia Private Ltd	Ownership	100.000	Vision Service Plan (California)	N	
		00000					VSP Vision Care - UK, Ltd	GBR	NIA	VSP Global, Inc.	Ownership	100.000	Vision Service Plan (California)	N	
1189	Vision Serv Plan Group	53031	23-7089668				VSP Vision Care, Inc. (Virginia)	USA	IA	Vision Service Plan (California)	Board		Vision Service Plan (California)	N	
		00000					Winoptics SARL	FRA	NIA	Reflex Holding SAS	Ownership	100.000	Vision Service Plan (California)	N	

Q16.2

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1.

Bar Code:



NONE

Vision Service Plan Insurance Company
SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	363,245,984	333,242,790
2. Cost of bonds and stocks acquired.....	28,441,314	62,801,308
3. Accrual of discount.....	59,883	95,100
4. Unrealized valuation increase (decrease).....	(11,998,089)	1,852,859
5. Total gain (loss) on disposals.....	520,129	2,029,516
6. Deduct consideration for bonds and stocks disposed of.....	38,047,304	36,739,449
7. Deduct amortization of premium.....	25,601	36,140
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	342,196,316	363,245,984
12. Deduct total nonadmitted amounts.....	276,021,364	287,580,772
13. Statement value at end of current period (Line 11 minus Line 12).....	66,174,952	75,665,212

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	84,848,752	30,995,075	61,458,189	(477,527)	69,484,871	84,848,752	53,908,111	54,718,680
2. NAIC 2 (a).....	141,958,528	79,783,741	159,843,000	635,030	18,408,191	141,958,528	62,534,298	49,124,802
3. NAIC 3 (a).....							.0	
4. NAIC 4 (a).....							.0	
5. NAIC 5 (a).....							.0	
6. NAIC 6 (a).....							.0	
7. Total Bonds.....	226,807,280	110,778,816	221,301,189	157,503	87,893,062	226,807,280	116,442,410	103,843,483
PREFERRED STOCK								
8. NAIC 1.....							.0	
9. NAIC 2.....							.0	
10. NAIC 3.....							.0	
11. NAIC 4.....							.0	
12. NAIC 5.....							.0	
13. NAIC 6.....							.0	
14. Total Preferred Stock.....	.0	.0	.0	.0	.0	.0	.0	.0
15. Total Bonds and Preferred Stock.....	226,807,280	110,778,816	221,301,189	157,503	87,893,062	226,807,280	116,442,410	103,843,483

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....19,999,386; NAIC 2 \$.....59,747,871; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	44,749,471	XXX.....	44,797,928		21,594

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	6,250,333	17,075,091
2. Cost of short-term investments acquired.....	120,374,237	28,609,798
3. Accrual of discount.....	445,162	170,444
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	82,260,000	39,605,000
7. Deduct amortization of premium.....	60,262	
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	44,749,471	6,250,333
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	44,749,471	6,250,333

**Sch. DB - Pt. A - Verification
NONE**

**Sch. DB - Pt. B - Verification
NONE**

**Sch. DB - Pt. C - Sn. 1
NONE**

**Sch. DB - Pt. C - Sn. 2
NONE**

**Sch. DB - Verification
NONE**

SCHEDULE E - PART 2 - VERIFICATION

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	81,241,343	129,767,536
2. Cost of cash equivalents acquired.....	776,839,024	653,151,669
3. Accrual of discount.....	380,786	678,765
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	690,454,840	702,356,627
7. Deduct amortization of premium.....	60,426	
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	167,945,887	81,241,343
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	167,945,887	81,241,343

**Sch. A Pt. 2
NONE**

**Sch. A Pt. 3
NONE**

**Sch. B - Pt. 2
NONE**

**Sch. B - Pt. 3
NONE**

**Sch. BA - Pt. 2
NONE**

**Sch. BA - Pt. 3
NONE**

SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
Bonds - U.S. Special Revenue and Special Assessment										
3136G4 ZS 5	FEDERAL NATIONAL MORTGAGE ASSOCIATION.....			07/20/2020.....	WELLS FARGO SECURITIES LLC.....		999,750	1,000,000	11	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....						999,750	1,000,000	11	.XXX
8399997	Total - Bonds - Part 3.....						999,750	1,000,000	11	.XXX
8399999	Total - Bonds.....						999,750	1,000,000	11	.XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded										
023135 10 6	AMAZON COM ORD.....			09/15/2020.....	Morgan Stanley.....	6,000	18,937	XXX		
03990B 10 1	ARES MANAGEMENT CL A ORD.....			07/10/2020.....	Morgan Stanley.....	348,000	13,715	XXX		
08862E 10 9	BEYOND MEAT ORD.....			07/10/2020.....	Morgan Stanley.....	110,000	14,853	XXX		
18915M 10 7	CLOUDFLARE CL A ORD.....			09/15/2020.....	Morgan Stanley.....	417,000	15,083	XXX		
22160N 10 9	COSTAR GROUP ORD.....			09/15/2020.....	Morgan Stanley.....	27,000	22,761	XXX		
22788C 10 5	CROWDSTRIKE HOLDINGS CL A ORD.....			07/10/2020.....	Morgan Stanley.....	150,000	17,498	XXX		
23804L 10 3	DATADOG CL A ORD.....			07/10/2020.....	Morgan Stanley.....	185,000	17,836	XXX		
28470R 10 2	CAESARS ENTERTAINMENT ORD.....			07/20/2020.....	Morgan Stanley.....	350,086	13,387	XXX		
29444U 70 0	EQUINIX REIT ORD.....			09/15/2020.....	Morgan Stanley.....	11,000	8,453	XXX		
29786A 10 6	ETSY ORD.....			07/10/2020.....	Morgan Stanley.....	118,000	13,132	XXX		
31188V 10 0	FASTLY CL A ORD.....			07/10/2020.....	Morgan Stanley.....	131,000	12,433	XXX		
44891N 10 9	IAC INTERACTIVE ORD.....			07/01/2020.....	Morgan Stanley.....	82,000	4,770	XXX		
48251W 10 4	KKR AND CO ORD.....			07/10/2020.....	Morgan Stanley.....	388,000	13,537	XXX		
57667L 10 7	MATCH GROUP ORD.....			07/01/2020.....	Morgan Stanley.....	176,989	10,191	XXX		
674599 16 2	OCCIDENTAL PETROLEUM CORPORATION.....			08/03/2020.....	Morgan Stanley.....	132,000	713	XXX		
682406 10 3	ONE LIBERTY PROPERTIES REIT ORD.....			07/31/2020.....	Morgan Stanley.....	12,511	147	XXX		
68571X 10 3	ORCHID ISLAND CAPITAL ORD.....			07/10/2020.....	Morgan Stanley.....	3,091,000	13,693	XXX		
70614W 10 0	PELTON INTERACTIVE ORD.....			07/10/2020.....	Morgan Stanley.....	209,000	14,310	XXX		
83088V 10 2	SLACK TECHNOLOGIES CL A ORD.....			07/10/2020.....	Morgan Stanley.....	485,000	16,412	XXX		
852234 10 3	SQUARE CL A ORD.....			07/10/2020.....	Morgan Stanley.....	43,000	5,506	XXX		
892672 10 6	TRADEWEB MARKETS CL A ORD.....			07/10/2020.....	Morgan Stanley.....	243,000	13,304	XXX		
90353T 10 0	UBER TECHNOLOGIES ORD.....			07/10/2020.....	Morgan Stanley.....	450,000	14,913	XXX		
90353W 10 3	UBIQUITI ORD.....			09/15/2020.....	Morgan Stanley.....	95,000	14,758	XXX		
98980G 10 2	ZSCALER ORD.....			07/10/2020.....	Morgan Stanley.....	106,000	13,302	XXX		
98980L 10 1	ZOOM VIDEO COMMUNICATIONS CL A ORD.....			09/15/2020.....	Morgan Stanley.....	86,000	25,481	XXX		
G1151C 10 1	ACCENTURE CL A ORD.....		C.....	09/15/2020.....	Morgan Stanley.....	54,000	12,313	XXX		
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded.....						341,438	XXX	0	.XXX
9799997	Total - Common Stocks - Part 3.....						341,438	XXX	0	.XXX
9799999	Total - Common Stocks.....						341,438	XXX	0	.XXX
9899999	Total - Preferred and Common Stocks.....						341,438	XXX	0	.XXX
9999999	Total - Bonds, Preferred and Common Stocks.....						1,341,188	XXX	11	.XXX

QE04

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
Bonds - U.S. Government																					
912828	NT 3		08/15/2020	Maturity @ 100.00		150,000	150,000	157,125	150,850		(850)		(850)		150,000			0	3,938	08/15/2020	1
0599999	Total - Bonds - U.S. Government					150,000	150,000	157,125	150,850	0	(850)	0	(850)	0	150,000	0	0	0	3,938	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
313380	WG 8		09/11/2020	Maturity @ 100.00		1,000,000	1,000,000	1,005,000	1,000,804		(804)		(804)		1,000,000			0	13,750	09/11/2020	1
3133EL	JD 7		07/29/2020	Call @ 100.00		3,000,000	3,000,000	3,009,060			(9,060)		(9,060)		3,000,000			0	24,300	04/22/2021	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					4,000,000	4,000,000	4,014,060	1,000,804	0	(9,864)	0	(9,864)	0	4,000,000	0	0	0	38,050	XXX	XXX
Bonds - Industrial and Miscellaneous																					
000000	00 0		07/02/2020	Maturity @ 100.00		100,000	100,000	100,000	100,000				0		100,000			0	967	07/02/2020	1
17325F	AJ 7		09/21/2020	Call @ 100.00		2,500,000	2,500,000	2,461,240	2,481,804		16,385		16,385		2,498,189		1,811	1,811	48,698	10/20/2020	1FE
254673	AE 6		08/10/2020	Maturity @ 100.00		200,000	200,000	200,000	200,000				0		200,000			0	3,821	08/10/2020	1FE
38148P	MJ 3		08/10/2020	Maturity @ 100.00		250,000	250,000	250,000	250,000				0		250,000			0	4,776	08/10/2020	1FE
3899999	Total - Bonds - Industrial and Miscellaneous					3,050,000	3,050,000	3,011,240	3,031,804	0	16,385	0	16,385	0	3,048,189	0	1,811	1,811	58,261	XXX	XXX
8399997	Total - Bonds - Part 4					7,200,000	7,200,000	7,182,425	4,183,458	0	5,671	0	5,671	0	7,198,189	0	1,811	1,811	100,249	XXX	XXX
8399999	Total - Bonds					7,200,000	7,200,000	7,182,425	4,183,458	0	5,671	0	5,671	0	7,198,189	0	1,811	1,811	100,249	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded																					
00089H	10 6	C	07/29/2020	Morgan Stanley		0.250		1	2				(0)		2		(1)	(1)	0	XXX	
042744	10 2		09/25/2020	Morgan Stanley		0.930		24	24				0		24		(0)	(0)	0	XXX	
049560	10 5		07/10/2020	Morgan Stanley		21.000		2,081	2,349			(72)	(72)		2,278		(197)	(197)	24	XXX	
11284V	10 5		08/05/2020	Morgan Stanley		0.565		26	21				0		21		5	5	0	XXX	
127686	10 3		07/20/2020	Morgan Stanley		1,469.000		17,532	14,734				0		14,734		2,798	2,798	0	XXX	
12769G	10 0		07/21/2020	Morgan Stanley		0.086		3	3				0		3		0	0	0	XXX	
159864	10 7		07/10/2020	Morgan Stanley		13.000		2,356	1,810			(176)	(176)		1,810		546	546	0	XXX	
21871D	10 3		07/10/2020	Morgan Stanley		75.000		5,038	3,075			(203)	(203)		3,075		1,962	1,962	33	XXX	
23331A	10 9		07/10/2020	Morgan Stanley		113.000		6,628	1,297			(4,664)	(4,664)		1,297		5,332	5,332	49	XXX	
303075	10 5		07/10/2020	Morgan Stanley		143.000		50,189	40,303			1,936	1,936		40,303		9,886	9,886	213	XXX	
307305	10 2	C	04/06/2020	Morgan Stanley									0					0	65	XXX	
344419	10 6	C	07/10/2020	Morgan Stanley		143.000		8,417	12,586			(626)	(626)		12,586		(4,169)	(4,169)	0	XXX	
44919P	50 8		07/01/2020	Morgan Stanley		82.000		14,961	14,961				0		14,961			0	0	XXX	
57667L	10 7		07/02/2020	Morgan Stanley		0.989		93	57				0		57		36	36	0	XXX	
626425	10 2	C	04/06/2020	Morgan Stanley									0					0	54	XXX	
674599	16 2		08/06/2020	Morgan Stanley		132.000		582	713				0		713		(131)	(131)	0	XXX	
682189	10 5		07/10/2020	Morgan Stanley		416.000		8,524	9,202			(940)	(940)		9,202		(679)	(679)	0	XXX	
682406	10 3		08/21/2020	Morgan Stanley		0.511		10	7				0		7		3	3	0	XXX	
871607	10 7		07/10/2020	Morgan Stanley		41.000		8,118	1,296			(4,411)	(4,411)		1,296		6,822	6,822	0	XXX	
872540	10 9		07/10/2020	Morgan Stanley		40.000		2,043	207			(2,236)	(2,236)		207		1,836	1,836	9	XXX	
872590	11 2		07/08/2020	Morgan Stanley		127.000		24					0				24	24	0	XXX	
885160	10 1		07/10/2020	Morgan Stanley		195.000		19,860	12,708			(1,779)	(1,779)		12,708		7,153	7,153	207	XXX	
983919	10 1		07/10/2020	Morgan Stanley		45.000		4,461	1,126			(3,274)	(3,274)		1,126		3,335	3,335	44	XXX	

QE05

SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
D22359 13 3	WIRECARD ORD.....	C	07/10/2020	Morgan Stanley.....	128.000	339	XXX	19,871	11,816	4,822			4,822		19,871		(19,532)	(19,532)			
N00985 10 6	AERCAP HOLDINGS ORD.....	C	07/10/2020	Morgan Stanley.....	197.000	5,656	XXX	9,532	10,327	(2,030)			(2,030)		9,532		(3,876)	(3,876)			
9099999.	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded.....					156,967	XXX	145,811	123,550	(13,651)	0	0	(13,651)	0	145,811	0	11,155	11,155	699	XXX	XXX
Common Stocks - Mutual Funds																					
464287 40 8	ISHARES:S&P 500 VAL.....		07/10/2020	Morgan Stanley.....	628.000	68,182	XXX	67,303	81,697	(14,393)			(14,393)		67,303		878	878	911	XXX	
9499999.	Total - Common Stocks - Mutual Funds.....					68,182	XXX	67,303	81,697	(14,393)	0	0	(14,393)	0	67,303	0	878	878	911	XXX	XXX
9799997.	Total - Common Stocks - Part 4.....					225,148	XXX	213,115	205,247	(28,045)	0	0	(28,045)	0	213,115	0	12,034	12,034	1,610	XXX	XXX
9799999.	Total - Common Stocks.....					225,148	XXX	213,115	205,247	(28,045)	0	0	(28,045)	0	213,115	0	12,034	12,034	1,610	XXX	XXX
9899999.	Total - Preferred and Common Stocks.....					225,148	XXX	213,115	205,247	(28,045)	0	0	(28,045)	0	213,115	0	12,034	12,034	1,610	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks.....					7,425,148	XXX	7,395,540	4,388,704	(28,045)	5,671	0	(22,374)	0	7,411,304	0	13,845	13,845	101,859	XXX	XXX

QE05.1

Sch. DB - Pt. A - Sn. 1
NONE

Sch. DB - Pt. B - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 1
NONE

Sch. DB - Pt. D - Sn. 2
NONE

Sch. DB - Pt. E
NONE

Sch. DL - Pt. 1
NONE

Sch. DL - Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Morgan Stanley.....	SD.....				4,771	1,137	11,854	XXX
Regions MK.....	SD.....				200,000	200,000	200,000	XXX
RW Baird.....					1	1	1	XXX
Bank of America..... Sacramento, CA.....					88,028,400	7,337,821	4,027,281	XXX
Union Bank..... Sacramento, CA.....					1,054,994	26,054,994	13,632,318	XXX
BANK OF OKLAHOMA 02/02/2021.....		0.050		363	100,000	100,000	100,000	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	363	89,388,166	33,693,953	17,971,454	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	363	89,388,166	33,693,953	17,971,454	XXX
0599999. Total Cash.....	XXX	XXX	0	363	89,388,166	33,693,953	17,971,454	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
U.S. Government Bonds - Issuer Obligations								
	UNITED STATES TREASURY.....		07/28/2020.....		10/22/2020.....	9,999,504		1,535
	UNITED STATES TREASURY.....		08/10/2020.....		10/06/2020.....	9,999,882		1,204
0199999.	U.S. Government Bonds - Issuer Obligations.....					19,999,386	0	2,739
0599999.	Total - U.S. Government Bonds.....					19,999,386	0	2,739
Bonds - Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations								
	Harley-Davidson Financial Services, Inc.....		08/19/2020.....		10/13/2020.....	5,999,660		1,218
	Harley-Davidson Financial Services, Inc.....		08/04/2020.....		10/29/2020.....	8,998,740		2,610
3299999.	Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations.....					14,998,400	0	3,828
3899999.	Total - Industrial & Miscellaneous (Unaffiliated).....					14,998,400	0	3,828
Total Bonds								
7699999.	Subtotals - Issuer Obligations.....					34,997,786	0	6,567
8399999.	Subtotals - Bonds.....					34,997,786	0	6,567
All Other Money Market Mutual Funds								
609991	1F 1 MUFG UNION BANK ITDA - S5.....		09/25/2020.....	0.200		124,939,242	14,696	9,221
61747C	82 2 MORG STAN I LQ:GS PAR.....		09/30/2020.....	0.010		84,822		45
999990	80 7 RTCS I - INST.....		08/03/2020.....			6,618		19
8699999.	Total - All Other Money Market Mutual Funds.....					125,030,682	14,696	9,285
Other Cash Equivalents								
	FIRST AMER:TRS OBG V.....	SD.....	09/02/2020.....	0.010		206,888	1	579
	WELLSFARGO:TRS+ MM I.....		09/22/2020.....	0.010		7,551,652	30	
	WELLSFARGO:TRS+ MM I.....	SD.....	09/01/2020.....	0.010		158,878	1	8
8799999.	Total - Other Cash Equivalents.....					7,917,419	33	588
8899999.	Total - Cash Equivalents.....					167,945,887	14,729	16,440

QE14