



# QUARTERLY STATEMENT

As of September 30, 2020  
of the Condition and Affairs of the

## COLONY SPECIALTY INSURANCE COMPANY

NAIC Group Code.....457, 457 (Current Period) (Prior Period)	NAIC Company Code..... 36927	Employer's ID Number..... 34-1266871
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... December 20, 1978	Commenced Business..... April 16, 1979	
Statutory Home Office	50 West Broad Street, Suite 1330 .. Columbus .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	8720 Stony Point Pkwy, Suite 400 .. Richmond .. VA .. US .. 23235 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	804-560-2000 <i>(Area Code) (Telephone Number)</i>
Mail Address	P.O. Box 469012 .. San Antonio .. TX .. US .. 78246 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	8720 Stony Point Pkwy, Suite 400 .. Richmond .. VA .. US .. 23235 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	804-560-2000 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.colonyspecialty.com	
Statutory Statement Contact	Lauren Therese Welch <i>(Name)</i> taxgroup@argogroupus.com <i>(E-Mail Address)</i>	800-470-7958-8479 <i>(Area Code) (Telephone Number) (Extension)</i> 804-560-4820 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Gary Eugene Grose	President	2. Austin Warner King #	Corporate Secretary
3. Lauren Therese Welch	Chief Financial Officer	4. Susan Beth Comparato	SVP, General Counsel
<b>OTHER</b>			
Craig Stephen Comeaux #	Vice President & Assistant Secretary	Lynn Kelly Geurin #	Vice President & Assistant Treasurer
Andrew John Hendrix	Vice President	David Arthur Higley	Senior Vice President
Craig Edward Landi	Vice President	Steven William Laudermilch #	Vice President, US Chief Claims Officer
Dale Linn Scholl II	Vice President - Tax	Mary Moczygamba Stulting	Vice President
Julian Candler Westbrook III	Vice President		

### DIRECTORS OR TRUSTEES

Timothy David Carter #	Craig Stephen Comeaux	Susan Beth Comparato	Gary Eugene Grose
Ronald John Swanstrom			

State of..... Texas  
County of..... Bexar

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Gary Eugene Grose 1. (Printed Name) President (Title)	_____ (Signature) Austin Warner King 2. (Printed Name) Corporate Secretary (Title)	_____ (Signature) Lauren Therese Welch 3. (Printed Name) Chief Financial Officer (Title)
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Subscribed and sworn to before me  
This 9th day of November, 2020

a. Is this an original filing? Yes [X] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	54,451,955		54,451,955	43,069,888
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....			0	
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....13,069,617), cash equivalents (\$.....923,180) and short-term investments (\$.....1,259,045).....	15,251,842		15,251,842	15,360,798
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	69,703,797	0	69,703,797	58,430,686
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	310,886		310,886	296,659
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	5,280,067	804,119	4,475,948	1,636,258
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....86,533 earned but unbilled premiums).....	86,533	8,653	77,880	77,880
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	2,774,597		2,774,597	1,639,603
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....	11,661		11,661	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	4,146,890		4,146,890	5,962,276
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	48,561	0	48,561	48,652
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	82,362,992	812,772	81,550,220	68,092,013
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	82,362,992	812,772	81,550,220	68,092,013

**DETAILS OF WRITE-INS**

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Miscellaneous Assets.....	48,561		48,561	48,652
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	48,561	0	48,561	48,652

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....		
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....		
4. Commissions payable, contingent commissions and other similar charges.....	104,793	64,567
5. Other expenses (excluding taxes, licenses and fees).....		
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	116,493	154,660
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	2,968,274	2,694,399
7.2 Net deferred tax liability.....		126,807
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....15,340,817 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....		
10. Advance premium.....		
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	9,985,346	6,503,953
13. Funds held by company under reinsurance treaties.....	39,939,059	29,918,324
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....	1,887	
16. Provision for reinsurance (including \$.....0 certified).....	1,275,328	1,275,328
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....	199,124	932,532
20. Derivatives.....		
21. Payable for securities.....		
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	54,590,305	41,670,571
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	54,590,305	41,670,571
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	3,500,000	3,500,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	8,002,700	8,002,700
35. Unassigned funds (surplus).....	15,457,215	14,918,742
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	26,959,915	26,421,442
38. Totals (Page 2, Line 28, Col. 3).....	81,550,220	68,092,013

**DETAILS OF WRITE-INS**

2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**COLONY SPECIALTY INSURANCE COMPANY  
STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....26,465,286).....	23,188,411	18,933,271	25,476,745
1.2 Assumed..... (written \$.....637,266).....	339,483	794,730	794,730
1.3 Ceded..... (written \$.....27,102,551).....	23,527,894	19,728,002	26,271,475
1.4 Net..... (written \$.....0).....	0	0	0
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	12,825,869	35,287,314	46,569,302
2.2 Assumed.....	120,816		
2.3 Ceded.....	12,946,685	35,287,314	46,569,302
2.4 Net.....	0	0	0
3. Loss adjustment expenses incurred.....			
4. Other underwriting expenses incurred.....	3,968	23,479	23,539
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	3,968	23,479	23,539
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(3,968)	(23,479)	(23,539)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	1,003,649	1,260,064	1,636,155
10. Net realized capital gains (losses) less capital gains tax of \$.....69,497.....	261,441	168,345	2,939,140
11. Net investment gain (loss) (Lines 9 + 10).....	1,265,090	1,428,409	4,575,295
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....7,299).....	(7,299)	(10,540)	(15,654)
13. Finance and service charges not included in premiums.....			
14. Aggregate write-ins for miscellaneous income.....	(32,911)	(107,498)	(124,657)
15. Total other income (Lines 12 through 14).....	(40,210)	(118,038)	(140,311)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	1,220,912	1,286,892	4,411,445
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	1,220,912	1,286,892	4,411,445
19. Federal and foreign income taxes incurred.....	204,378	232,305	224,017
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,016,534	1,054,587	4,187,428
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	26,421,442	24,056,711	24,056,711
22. Net income (from Line 20).....	1,016,534	1,054,587	4,187,428
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....(10,875).....	(40,909)	854,047	(1,716,169)
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	127,594	(553)	115,717
27. Change in nonadmitted assets.....	(564,747)	58,798	43,585
28. Change in provision for reinsurance.....		(0)	(265,830)
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	538,473	1,966,880	2,364,731
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	26,959,915	26,023,591	26,421,442

**DETAILS OF WRITE-INS**

0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Intercompany interest expense.....	(32,911)	(107,498)	(124,657)
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	(32,911)	(107,498)	(124,657)
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## COLONY SPECIALTY INSURANCE COMPANY CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	76,957	(1,112,076)	(1,415,060)
2. Net investment income.....	1,071,187	1,328,446	1,736,586
3. Miscellaneous income.....	(40,210)	(118,038)	(140,311)
4. Total (Lines 1 through 3).....	1,107,935	98,333	181,215
5. Benefit and loss related payments.....	1,134,994	1,962,380	670,371
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	1,909	161,326	79,078
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....		5,845	324,333
10. Total (Lines 5 through 9).....	1,136,902	2,129,550	1,073,783
11. Net cash from operations (Line 4 minus Line 10).....	(28,968)	(2,031,218)	(892,568)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	15,358,131	20,190,287	23,210,707
12.2 Stocks.....		555,236	9,263,524
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			514
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	15,358,131	20,745,523	32,474,745
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	26,538,046	20,321,396	22,761,159
13.2 Stocks.....		253,423	253,423
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	26,538,046	20,574,819	23,014,581
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(11,179,915)	170,705	9,460,163
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	11,099,926	(7,493,509)	(5,735,930)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	11,099,926	(7,493,509)	(5,735,930)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(108,956)	(9,354,023)	2,831,666
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	15,360,798	12,529,132	12,529,132
19.2 End of period (Line 18 plus Line 19.1).....	15,251,842	3,175,110	15,360,798

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices

The accompanying financial statements of Colony Specialty Insurance Company ("the Company") are presented on the basis of accounting practices prescribed or permitted by the Department of Insurance in the State of Ohio.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The accompanying financial statements contain no differences as a result of practices prescribed or permitted by Ohio that differ from NAIC SAP.

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>NET INCOME</b>					
(1) COLONY SPECIALTY INSURANCE COMPANY state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,016,534	\$ 4,187,428
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,016,534	\$ 4,187,428
<b>SURPLUS</b>					
(5) COLONY SPECIALTY INSURANCE COMPANY state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 26,959,915	\$ 26,421,442
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 26,959,915	\$ 26,421,442

## C. Accounting Policy

No significant change

## D. Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**Note 2 – Accounting Changes and Corrections of Errors**

No significant change

**Note 3 – Business Combinations and Goodwill**

No significant change

**Note 4 – Discontinued Operations**

No significant change

**Note 5 – Investments**

## A. Mortgage Loans, including Mezzanine Real Estate Loans

The Company has not invested in mortgage loans.

## B. Debt Restructuring

The Company has not invested in restructured debt.

## C. Reverse Mortgages

The Company has not invested in reverse mortgages.

## D. Loan-Backed Securities

## (1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for loan-backed securities are obtained by the Company's third-party accounting provider, from industry standard external data providers.

## (2) The Company did not have any securities with a recognized other-than-temporary impairment loss.

## (3) Not applicable

## NOTES TO FINANCIAL STATEMENTS

- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ 26,864
	2. 12 Months or Longer	\$ 20,560
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 2,988,413
	2. 12 Months or Longer	\$ 1,504,390

- (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

The Company evaluates its investments for impairment. In accordance with policy, the determination that a security has incurred an other-than-temporary decline in fair value and the associated amount of any loss recognition requires the judgment by the Company's management and a continual review of its investments. Investments in an unrealized loss position are reviewed on a quarterly basis to determine whether a decline in fair value below the amortized cost basis is other-than-temporary. In general, the process for identifying other-than-temporary declines in fair value involves the consideration of a number of factors, including but not limited to, whether the issuer has been downgraded to below investment-grade, the length of time in which there has been a significant decline in value, the liquidity, business prospects, and overall financial condition of the issuer, the nature and performance of the collateral or other credit support backing the security, the significance of the decline in value, and whether the Company has the intent to sell the security or may be required to sell the security before its anticipated recovery. If consideration of the factors above results in a conclusion that the decline in fair value is other-than-temporary, the cost basis of the security is written down to expected recovery (which may be fair value) and the write-down is recorded as a realized loss. For loan-backed securities the aforementioned factors were evaluated and it was determined that there was no other-than-temporary impairment at September 30, 2020.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

The Company has no dollar repurchase agreements or securities lending transactions.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company has no repurchase agreements.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions

The Company has no reverse repurchase agreements.

H. Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Taker – Overview of Sale Transactions

The Company has no repurchase agreements.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Provider – Overview of Sale Transactions

The Company has no reverse repurchase agreements.

J. Real Estate

The Company has no investments in real estate.

K. Low-Income Housing Tax Credits (LIHTC)

The Company has no investments in low-income housing tax credits.

L. Restricted Assets

No significant change

M. Working Capital Finance Investments

The Company has no working capital finance investments.

N. Offsetting and Netting of Assets and Liabilities

The Company has no offsetting or netting of assets and liabilities.

O. 5GI Securities

The Company has no 5GI securities.

Q. Prepayment Penalty and Acceleration Fees

The Company has no prepayment penalties or acceleration fees.

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant change

**Note 7 – Investment Income**

No significant change

**Note 8 – Derivative Instruments**

The Company has no derivative financial instruments.

**Note 9 – Income Taxes**

No significant change

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant change

**Note 11 – Debt**

B. FHLB (Federal Home Loan Bank) Agreements

Not Applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

The Company does not sponsor a defined benefit plan.

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant change

**Note 14 – Liabilities, Contingencies and Assessments**

No significant change

**Note 15 – Leases**

No significant change

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant change

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company has no sale, transfer or servicing of financial assets or extinguishments of liabilities.

The Company had no wash sales as defined in SSAP No. 102, Transfer and Servicing of Financial Assets and Extinguishments of liabilities, involving transactions for securities with a NAIC designation of 3 or below, or unrated.

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant change

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements

The Company's financial assets carried at fair value have been classified for disclosure purposes based on a three-level hierarchy shown below. The hierarchy gives the highest ranking to fair values determined using unadjusted quoted prices in active markets for identical assets (Level 1) and the lowest ranking to fair values using methodologies and models with unobservable inputs (Level 3). An asset's classification is based on the lowest level input that is significant to its measurement. The levels of the fair value hierarchy are as follows:

- Level 1 values are quoted prices (unadjusted) in active markets for identical assets that can be accessed at the reporting date. Actively traded, as defined by the Company, is a security that has traded in the past seven days.
- Level 2 values are inputs other than quoted prices included with Level 1 that are observable for the asset or liability, either directly or indirectly.
- Level 3 values are unobservable inputs reflecting the Company's assumptions using the best information available in the circumstances.

## NOTES TO FINANCIAL STATEMENTS

- (1) Fair Value Measurements at reporting date:

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
Cash Equivalent (E-2)	\$ 923,180	\$	\$	\$	\$ 923,180
Long Term (D-1)	\$	\$ 133,438	\$	\$	\$ 133,438
Total	\$ 923,180	\$ 133,438	\$	\$	\$ 1,056,618

- (2) The company has no level 3 items.

- (3) Policies when Transfers Between Levels are Recognized

The Company had no transfers between levels.

- (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

For Level 2 investments, fair value prices are obtained from third-party sources, where available. For securities where the Company is unable to obtain fair values from a pricing source, fair values are obtained from a broker or investment manager. Prices are determined using observable market information such as dealer quotes, market spreads, cash flows, yield curves, live trading levels, trade execution data, market consensus prepayment speeds, credit information and the security's terms and conditions, among other things.

- (5) Fair Value Disclosures

The Company has no derivatives.

**B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements**

Not applicable

**C. Fair Value Level**

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash (E-1)	\$ 13,069,617	\$ 13,069,617	\$ 13,069,617	\$	\$	\$	\$
Cash Equivalent (E-2)	\$ 923,180	\$ 923,180	\$ 923,180	\$	\$	\$	\$
Long Term (D-1)	\$ 56,852,303	\$ 54,451,955	\$ 8,672,397	\$ 48,179,906	\$	\$	\$
Short Term (DA-1)	\$ 1,259,357	\$ 1,259,045	\$ 1,259,357	\$	\$	\$	\$
Total	\$ 72,104,457	\$ 69,703,797	\$ 23,924,551	\$ 48,179,906	\$	\$	\$

**D. Items for Which Not Practicable to Estimate Fair Values**

Not applicable

**E. NAV Practical Expedient Investments**

Not applicable

**Note 21 – Other Items**

No significant change

**Note 22 – Events Subsequent**

Subsequent events have been considered through November 12, 2020, the date of the issuance of these financial statements. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

**Note 23 – Reinsurance**

No significant change

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

**F. Risk Sharing Provisions of the Affordable Care Act**

Not Applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

Net Reserves at December 31, 2019 were \$0 due to a 100% quota share agreement with Colony Insurance Company. All future loss activity will be subject to the quota share and will have no net effect to Colony Specialty Insurance Company's result. Therefore, Net Reserves at September 30, 2020 are \$0.

**Note 26 – Intercompany Pooling Arrangements**

No significant change

**Note 27 – Structured Settlements**

Not Applicable

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## NOTES TO FINANCIAL STATEMENTS

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**Note 28 – Health Care Receivables**

No significant change

**Note 29 – Participating Policies**

Not Applicable

**Note 30 – Premium Deficiency Reserves**

Not Applicable

**Note 31 – High Deductibles**

Not Applicable

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

Not Applicable

**Note 33 – Asbestos/Environmental Reserves**

No significant change

**Note 34 – Subscriber Savings Accounts**

Not Applicable

**Note 35 – Multiple Peril Crop Insurance**

Not Applicable

**Note 36 - Financial Guaranty Insurance**

Not Applicable

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No [ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 1091748

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/01/2019

- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

# COLONY SPECIALTY INSURANCE COMPANY GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 2,631,576

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value		2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0		\$ 0
14.22 Preferred Stock	0		0
14.23 Common Stock	0		0
14.24 Short-Term Investments	0		0
14.25 Mortgage Loans on Real Estate	0		0
14.26 All Other	0		0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0		\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0		\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank Institutional Trust & Custody	2204 Lakeshore Dr, Ste 205, Birmingham, AL 35209

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Goldman Sachs Asset Management, L.P	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107738	Goldman Sachs Asset Management, L.P	CF5M58QA35CFPUX70H17	SEC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes  No
- 18.2 If no, list exceptions:

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The security was purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

## GENERAL INTERROGATORIES (continued)

## PART 2 – PROPERTY &amp; CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]
- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:
- 5.1 A&H loss percent 0.000%
- 5.2 A&H cost containment percent 0.000%
- 5.3 A&H expense percent excluding cost containment expenses 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]
- 6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0
7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]
- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

**COLONY SPECIALTY INSURANCE COMPANY**  
**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

**NONE**

**COLONY SPECIALTY INSURANCE COMPANY**

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	L	128,148	52,696			195,235	252,157
2. Alaska.....AK	L	7,000					
3. Arizona.....AZ	L	58,934	169,687	36,477		139,167	190,474
4. Arkansas.....AR	L	11,397	13,260		8,550	56,696	73,636
5. California.....CA	L	852,001	656,470	36,716	1,721,661	502,990	254,795
6. Colorado.....CO	L	204,107	226,400			326,249	514,381
7. Connecticut.....CT	L	59,744	39,233			13,811	15,226
8. Delaware.....DE	L	1,552,136	566,391			575,717	682,831
9. District of Columbia.....DC	L	74,140	60,000			135,417	272,247
10. Florida.....FL	L	588,383	289,465	53,276	177,530	524,191	691,855
11. Georgia.....GA	L	667,402	201,493	(4,730)	1,060,888	268,295	608,123
12. Hawaii.....HI	L					720	2,427
13. Idaho.....ID	L	6,793	1,500	55,000		65,651	163,264
14. Illinois.....IL	L	1,077,607	676,040	29,659	412,690	494,243	923,522
15. Indiana.....IN	L	178,257	107,980	111,397	52,326	24,592	132,041
16. Iowa.....IA	L	55,600	40,000			37,194	68,630
17. Kansas.....KS	L	124,843	77,786			54,918	55,683
18. Kentucky.....KY	L	123,506	101,539	15,950		30,392	78,824
19. Louisiana.....LA	L	63,316	217,084	750,000		129,527	67,178
20. Maine.....ME	L	4,703				954	1,283
21. Maryland.....MD	L	278,785	141,911	32,295	82,894	902,318	961,325
22. Massachusetts.....MA	L	170,554	158,814			85,548	140,805
23. Michigan.....MI	L	744,604	135,830	599,280	25,672	213,690	337,608
24. Minnesota.....MN	L	134,753	102,271			85,551	125,698
25. Mississippi.....MS	L	84,419	68,610		110,000	2,440	3,179
26. Missouri.....MO	L	109,426	84,500			66,584	86,103
27. Montana.....MT	L	7,085	893			2,069	3,008
28. Nebraska.....NE	L					12,750	
29. Nevada.....NV	L		40,919	887,010	340,000	10,067,136	308,609
30. New Hampshire.....NH	L	21,212			(247,585)	6,433	6,434
31. New Jersey.....NJ	L	278,662	158,992	221,105		122,083	180,493
32. New Mexico.....NM	L						
33. New York.....NY	L	1,199,811	347,534	32,969	5,399	38,315	41,416
34. North Carolina.....NC	L	437,184	405,088	60,806		661,644	677,550
35. North Dakota.....ND	L	34,830	38,387				
36. Ohio.....OH	L	216,164	39,375			535,271	695,767
37. Oklahoma.....OK	L	94,292	16,505	(10,258)	70,671		(0)
38. Oregon.....OR	L	52,616	122,528	27,884	16,500	61,003	81,042
39. Pennsylvania.....PA	L	6,347,024	7,160,358	2,843,021	3,247,534	13,849,601	13,323,411
40. Rhode Island.....RI	L	6,431	1,516				
41. South Carolina.....SC	L	34,056	2,625	1,809	2,841	129,959	160,223
42. South Dakota.....SD	L	34,666	28,373			129,225	154,531
43. Tennessee.....TN	L	87,655	28,311			394,357	528,066
44. Texas.....TX	L	1,396,456	1,054,318	3,815,613	20,361,770	13,229,138	6,782,514
45. Utah.....UT	L	10,286				119,751	155,942
46. Vermont.....VT	L					3,166	3,751
47. Virginia.....VA	E	6,756,223	5,402,423	369,009	1,072,238	6,603,956	8,621,097
48. Washington.....WA	L	19,399	(24,526)			26,448	33,499
49. West Virginia.....WV	L	132,072	56,966			3,505	5,264
50. Wisconsin.....WI	L	1,841,977	55,496	1,497,526		(1,221,713)	24,952
51. Wyoming.....WY	L	96,627					
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. US Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....	XXX	26,465,286	19,125,042	11,461,814	28,521,580	49,706,186	38,490,866

**DETAILS OF WRITE-INS**

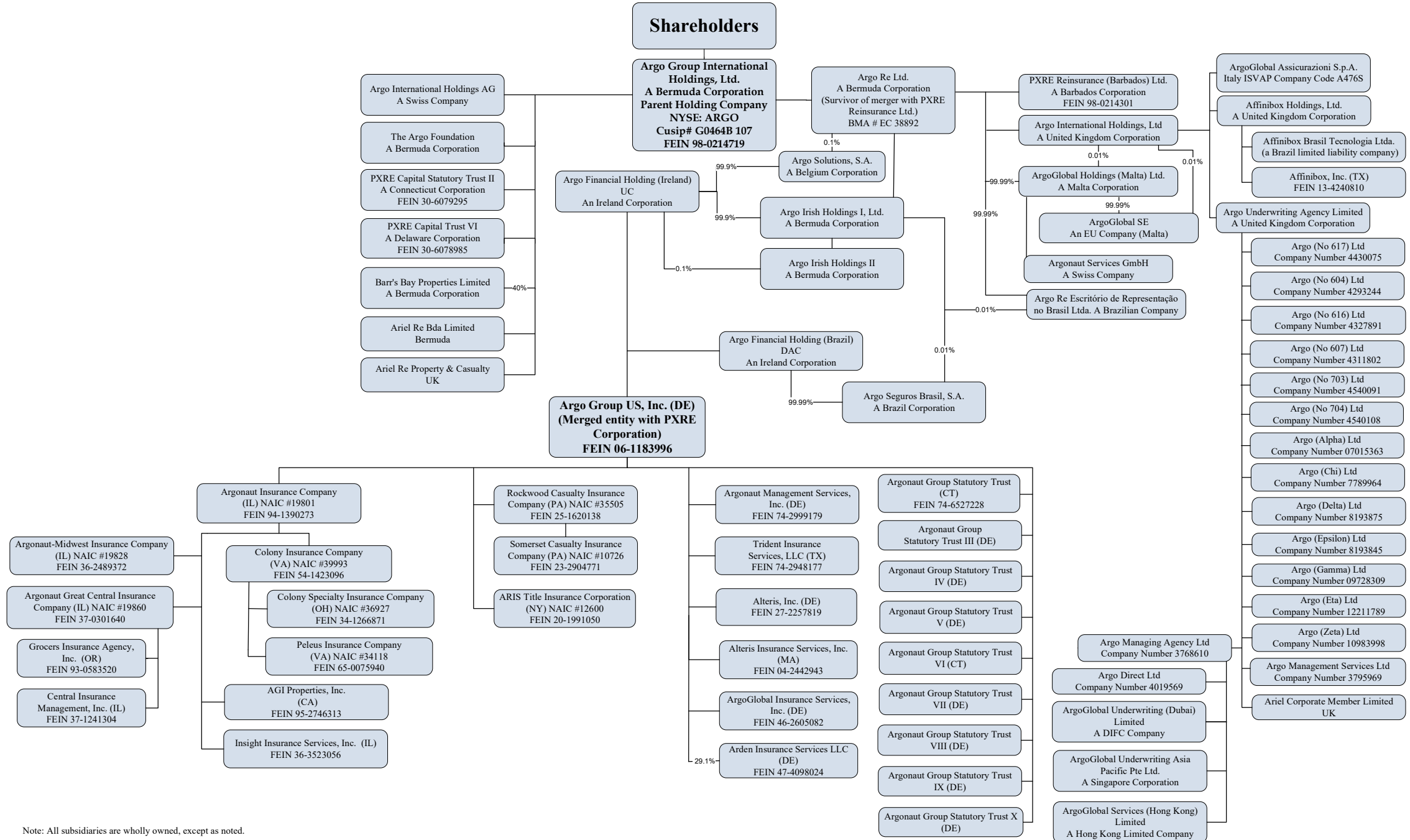
58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	50	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	1	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	6

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 – ORGANIZATIONAL CHART



Note: All subsidiaries are wholly owned, except as noted.

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
		00000	98-0214719		1091748	NYSE	Argo Group International Holdings, Ltd	BMU	UIP	Shareholders	Ownership	1.000		N	
		00000					Barr's Bay Properties Limited	BMU	NIA	Argo Group International Holdings, Ltd	Ownership	0.400	Argo Group International Holdings, Ltd	N	
		00000					Argo International Holdings AG	CHE	NIA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					The Argo Foundation	BMU	NIA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000	30-6079295				PXRE Capital Statutory Trust II	CT	NIA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000	30-6078985				PXRE Capital Statutory Trust VI	DE	NIA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000	46-2605082				ArgoGlobal Insurance Services, Inc	DE	IA	Argo Group US, Inc	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Ariel Re Property & Casualty	GBR	IA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Ariel Corporate Member Limited	GBR	IA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Ariel Re Bda Limited	BMU	IA	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000			1436607		Argo Re Ltd	BMU	UIP	Argo Group International Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000	98-0214301				PXRE Reinsurance (Barbados), Ltd	BRB	IA	Argo Re Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo Re Escritório de Representação no Brasil Ltda.	BRA	IA	Argo Re Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo Re Escritório de Representação no Brasil Ltda.	BRA	IA	Argo Irish Holdings I Ltd	Ownership	0.000	Argo Group International Holdings, Ltd	N	
		00000					Argo Irish Holdings I Ltd	BMU	UIP	Argo Re Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo Irish Holdings II	BMU	UIP	Argo Irish Holdings I Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo International Holdings Ltd	GBR	NIA	Argo Re Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					ArgoGlobal Holdings (Malta) Ltd	MLT	NIA	Argo Re Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					ArgoGlobal Holdings (Malta) Ltd	MLT	NIA	Argo International Holdings Ltd	Ownership	0.000	Argo Group International Holdings, Ltd	N	
		00000					Argonaut Services GmbH	CHE	NIA	ArgoGlobal Holdings (Malta) Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					ArgoGlobal SE	MLT	IA	ArgoGlobal Holdings (Malta) Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					ArgoGlobal SE	MLT	IA	Argo International Holdings Ltd	Ownership	0.000	Argo Group International Holdings, Ltd	N	
		00000					ArgoGlobal Assicurazioni S.p.A	ITA	IA	Argo International Holdings Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Affinibox Holdings, Ltd	GBR	NIA	Argo International Holdings Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Affinibox Brasil Tecnologia Ltda. (a Brazil limited liability company)	BRA	NIA	Affinibox Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000	13-4240810				Affinibox, Inc	TX	NIA	Affinibox Holdings, Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo Underwriting Agency Ltd	GBR	NIA	Argo International Holdings Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 617), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 604), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 616), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 607), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 703), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	
		00000					Argo (No 704), Ltd	GBR	NIA	Argo Underwriting Agency Ltd	Ownership	1.000	Argo Group International Holdings, Ltd	N	

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**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		00000					Argo (Alpha) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Chi) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Delta) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Epsilon) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Gamma) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Eta) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo (Zeta) Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Management Services Ltd.....	GBR.....	NIA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Managing Agency Ltd.....	GBR.....	IA.....	Argo Underwriting Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Direct Ltd.....	GBR.....	IA.....	Argo Managing Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					ArgoGlobal Underwriting (Dubai) Limited.....	ARE.....	IA.....	Argo Managing Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					ArgoGlobal Underwriting Asia Pacific Pte Ltd.....	SGP.....	IA.....	Argo Managing Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					ArgoGlobal Services (Hong Kong) Limited.....	HKG.....	IA.....	Argo Managing Agency Ltd.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Solutions, S.A.....	BEL.....	NIA.....	Argo Re Ltd.....	Ownership.....	0.001	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Financial Holding (Ireland) UC.....	IRL.....	UIP.....	Argo Irish Holdings I Ltd.....	Ownership.....	0.999	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Financial Holding (Ireland) UC.....	IRL.....	UIP.....	Argo Irish Holdings II.....	Ownership.....	0.001	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Financial Holding (Brazil) DAC.....	IRL.....	NIA.....	Argo Financial Holding (Ireland) UC.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Seguros Brasil, S.A.....	BRA.....	IA.....	Argo Financial Holding (Brazil) DAC.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Seguros Brasil, S.A.....	BRA.....	IA.....	Argo Irish Holdings I, Ltd.....	Ownership.....	0.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argo Solutions, S.A.....	BEL.....	IA.....	Argo Financial Holding (Ireland) UC.....	Ownership.....	0.999	Argo Group International Holdings, Ltd.....	N.....	
		00000	06-1183996				Argo Group US, Inc.....	DE.....	UDP.....	Argo Financial Holding (Ireland) UC.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000	74-6527228		1470439		Argonaut Group Statutory Trust.....	CT.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust III.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust IV.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust V.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust VI.....	CT.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust VII.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust VIII.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust IX.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000					Argonaut Group Statutory Trust X.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000	74-2999179				Argonaut Management Services, Inc.....	DE.....	NIA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		12600	20-1991050				ARIS Title Insurance Corporation.....	NY.....	IA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000	74-2948177				Trident Insurance Services, LLC.....	TX.....	IA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000	47-4098024				Arden Insurance Services LLC.....	DE.....	IA.....	Argo Group US, Inc.....	Ownership.....	0.291	Argo Group International Holdings, Ltd.....	N.....	
		00000	04-2442943				Alteris Insurance Services, Inc.....	MA.....	IA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	
		00000	27-2257819				Alteris, Inc.....	DE.....	IA.....	Argo Group US, Inc.....	Ownership.....	1.000	Argo Group International Holdings, Ltd.....	N.....	

Q12.1

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0457	Argo Group, U.S.	19801... 00000...	94-1390273.. 95-2746313..				Argonaut Insurance Company..... AGI Properties, Inc.....	IL..... CA.....	IA..... NIA.....	Argo Group US, Inc..... Argonaut Insurance Company.....	Ownership..... Ownership.....	.....1.000 .....1.000	Argo Group International Holdings, Ltd..... Argo Group International Holdings, Ltd.....	.....N..... .....Y.....	
		00000...	36-3523056..				Insight Insurance Services, Inc.....	IL.....	IA.....	Argonaut Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	19828...	36-2489372..				Argonaut-Midwest Insurance Company.....	IL.....	IA.....	Argonaut Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	19860... 00000...	37-0301640.. 93-0583520..				Argonaut Great Central Insurance Company.... Grocers Insurance Agency, Inc.....	IL..... OR.....	IA..... IA.....	Argonaut Insurance Company..... Argonaut Great Central Insurance Company...	Ownership..... Ownership.....	.....1.000 .....1.000	Argo Group International Holdings, Ltd..... Argo Group International Holdings, Ltd.....	.....N..... .....N.....	
		00000...	37-1241304..				Central Insurance Management, Inc.....	IL.....	IA.....	Argonaut Great Central Insurance Company...	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	39993...	54-1423096..				Colony Insurance Company.....	VA.....	UDP.....	Argonaut Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	34118...	65-0075940..				Peleus Insurance Company.....	VA.....	IA.....	Colony Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	36927...	34-1266871..				Colony Specialty Insurance Company.....	OH.....	RE.....	Colony Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	35505...	25-1620138..				Rockwood Casualty Insurance Company.....	PA.....	IA.....	Argo Group US, Inc.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	
0457	Argo Group, U.S.	10726...	23-2904771..				Somerset Casualty Insurance Company.....	PA.....	IA.....	Rockwood Casualty Insurance Company.....	Ownership.....	.....1.000	Argo Group International Holdings, Ltd.....	.....N.....	

## COLONY SPECIALTY INSURANCE COMPANY PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	61,253	28,062	45.813	1.563
2. Allied lines.....	641,184	165,709	25.844	41.927
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....			0.000	
5. Commercial multiple peril.....	415,770	92,461	22.238	28.959
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....	6,790,392	2,154,953	31.735	66.515
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....		(580)	0.000	
11.2. Medical professional liability - claims-made.....		(5,612)	0.000	
12. Earthquake.....	1,367	715	52.304	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....	5,673,709	3,450,166	60.810	61.879
17.1. Other liability-occurrence.....	5,083,508	5,087,622	100.081	583.176
17.2. Other liability-claims made.....	2,190,835	1,018,721	46.499	61.016
17.3. Excess workers' compensation.....			0.000	
18.1. Products liability-occurrence.....	1,788,545	758,798	42.425	24.232
18.2. Products liability-claims made.....	36,355	2,676	7.361	(3,586.273)
19.1, 19.2. Private passenger auto liability.....			0.000	
19.3, 19.4. Commercial auto liability.....	274,057	(9,433)	(3.442)	63.196
21. Auto physical damage.....	181,889	63,696	35.019	(2.663)
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....	48,837	17,642	36.124	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....	710	273	38.451	52.382
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	23,188,411	12,825,869	55.312	186.377
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

## PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....	12,008	103,022	26,787
2. Allied lines.....	406,585	816,447	452,892
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....	110,780	422,432	409,100
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....	4,403,341	9,353,554	2,438,159
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....	3,325	4,744	
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....	1,895,682	5,483,610	6,725,167
17.1. Other liability-occurrence.....	1,529,206	5,514,097	5,211,929
17.2. Other liability-claims made.....	741,578	2,285,319	1,737,136
17.3. Excess workers' compensation.....			
18.1. Products liability-occurrence.....	307,470	1,812,552	1,754,444
18.2. Products liability-claims made.....			
19.1 19.2. Private passenger auto liability.....			
19.3 19.4. Commercial auto liability.....	105,683	308,925	226,104
21. Auto physical damage.....	63,079	187,620	141,887
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....	172,964	172,964	
26. Burglary and theft.....			
27. Boiler and machinery.....			1,435
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	9,751,701	26,465,286	19,125,042
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2017 + Prior.....			.0			.0				.0	.0	.0	.0
2. 2018.....			.0			.0				.0	.0	.0	.0
3. Subtotals 2018 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4. 2019.....			.0			.0				.0	.0	.0	.0
5. Subtotals 2019 + Prior.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
6. 2020.....	.XXX	.XXX	.XXX	.XXX		.0	.XXX			.0	.XXX	.XXX	.XXX
7. Totals.....	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0
8. Prior Year-End's Surplus As Regards Policyholders.....	26,421										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....0.0 %	2. ....0.0 %	3. ....0.0 %
											Col. 13, Line 7 Line 8		
											4. ....0.0 %		

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	YES
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES

**Explanation:**

1. The data for this supplement is not required to be filed.
- 2.
3. The data for this supplement is not required to be filed.
- 4.

**Bar Code:**



**NONE**

**COLONY SPECIALTY INSURANCE COMPANY  
SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	43,069,890	50,868,938
2. Cost of bonds and stocks acquired.....	26,538,046	23,014,581
3. Accrual of discount.....	14,068	22,455
4. Unrealized valuation increase (decrease).....	(51,868)	(2,172,417)
5. Total gain (loss) on disposals.....	335,786	3,926,700
6. Deduct consideration for bonds and stocks disposed of.....	15,358,131	32,474,503
7. Deduct amortization of premium.....	95,834	116,138
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		272
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	54,451,957	43,069,890
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	54,451,957	43,069,890

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	44,456,705	6,468,940	9,092,400	(81,890)	47,051,554	44,456,705	41,751,355	33,177,779
2. NAIC 2 (a).....	11,014,527	2,269,888	24,942	41,734	7,775,094	11,014,527	13,301,207	10,689,210
3. NAIC 3 (a).....	647,438			11,000	140,313	647,438	658,438	
4. NAIC 4 (a).....				0			0	
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....							0	
7. Total Bonds.....	56,118,670	8,738,828	9,117,342	(29,156)	54,966,961	56,118,670	55,711,000	43,866,988
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	56,118,670	8,738,828	9,117,342	(29,156)	54,966,961	56,118,670	55,711,000	43,866,988

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....1,259,045; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	1,259,045	XXX	1,258,537		

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	
2. Cost of short-term investments acquired.....	8,183,668	795,165
3. Accrual of discount.....	13,405	416
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....	994	.87
6. Deduct consideration received on disposals.....	6,939,023	795,668
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,259,045	.0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,259,045	.0

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**COLONY SPECIALTY INSURANCE COMPANY**  
**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	14,716,552	12,156,957
2. Cost of cash equivalents acquired.....	73,183,804	35,023,139
3. Accrual of discount.....	28,685	68
4. Unrealized valuation increase (decrease).....	84	52
5. Total gain (loss) on disposals.....	(5,843)	375
6. Deduct consideration received on disposals.....	87,000,103	32,464,039
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	923,180	14,716,552
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	923,180	14,716,552

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>									
912828	YE 4 UNITED STATES TREASURY.....		07/20/2020.....	JP MORGAN SECURITIES LLC.....		1,000,053	960,000	4,663	1.....
912828	Z9 4 UNITED STATES TREASURY.....		07/20/2020.....	JP MORGAN SECURITIES LLC.....		997,701	920,000	5,952	1.....
0599999	Total - Bonds - U.S. Government.....					1,997,754	1,880,000	10,615	XXX.....
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
3140QE	KP 6 FN CA6601 - RMBS.....		07/21/2020.....	JP MORGAN SECURITIES LLC.....		755,147	719,000	1,148	1.....
31418D	RW 4 FN MA4100 - RMBS.....		07/21/2020.....	US Bank.....		710,208	689,000	842	1.....
31418D	TQ 5 FN MA4158 - RMBS.....		09/10/2020.....	Morgan Stanley.....		903,574	875,000	632	1.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					2,368,929	2,283,000	2,622	XXX.....
<b>Bonds - Industrial and Miscellaneous</b>									
00206R	GL 0 AT&T INC.....		08/03/2020.....	NATIONAL FINANCIAL SERVICES CO.....		206,141	175,000	3,388	2FE.....
031162	CU 2 AMGEN INC.....		08/03/2020.....	BNP SECURITIES.....		216,430	200,000	2,232	2FE.....
035240	AQ 3 ANHEUSER-BUSCH INBEV WORLDWIDE INC.....		08/03/2020.....	NATIONAL FINANCIAL SERVICES CO.....		185,301	150,000	238	2FE.....
120568	BB 5 BUNGE LIMITED FINANCE CORP.....		08/10/2020.....	JP MORGAN SECURITIES LLC.....		99,995	100,000		2FE.....
20030N	DJ 7 COMCAST CORP.....		08/03/2020.....	JP MORGAN SECURITIES LLC.....		166,607	150,000	1,653	1FE.....
30212P	AZ 8 EXPEDIA GROUP INC.....		07/07/2020.....	JP MORGAN SECURITIES LLC.....		174,864	175,000		2FE.....
42824C	BJ 7 HEWLETT PACKARD ENTERPRISE CO.....		07/14/2020.....	CITIGROUP GLOBAL MARKETS INC.....		99,883	100,000		2FE.....
440452	AF 7 HORMEL FOODS CORP.....		08/03/2020.....	BARCLAYS CAPITAL INC.....		210,908	200,000	540	1FE.....
512807	AV 0 LAM RESEARCH CORP.....		08/03/2020.....	WELLS FARGO SECURITIES LLC.....		186,821	175,000	831	1FE.....
548661	DM 6 LOWE'S COMPANIES INC.....		08/03/2020.....	US BANCORP INVESTMENTS INC.....		137,101	125,000	955	2FE.....
606822	BS 2 MITSUBISHI UFJ FINANCIAL GROUP INC.....	C.....	07/13/2020.....	Morgan Stanley.....		300,000	300,000		1FE.....
65535H	AR 0 NOMURA HOLDINGS INC.....	C.....	07/06/2020.....	NOMURA SECURITIES INTERNATIONAL.....		300,000	300,000		2FE.....
67079B	AB 6 NUTRITION & BIOSCIENCES INC.....		09/09/2020.....	Morgan Stanley.....		300,000	300,000		2FE.....
759509	AF 9 RELIANCE STEEL & ALUMINUM CO.....		07/28/2020.....	JP MORGAN SECURITIES LLC.....		174,465	175,000		2FE.....
78081B	AB 9 ROYALTY PHARMA PLC.....		08/24/2020.....	MLPFS INC FIXED INCOME.....		148,313	150,000		2FE.....
87264A	BC 8 T-MOBILE USA INC.....		08/03/2020.....	CITIGROUP GLOBAL MARKETS INC.....		227,396	200,000	2,417	2FE.....
89153V	AQ 2 TOTAL CAPITAL INTERNATIONAL SA.....	C.....	08/03/2020.....	NATIONAL FINANCIAL SERVICES CO.....		146,046	125,000	1,991	1FE.....
95002E	BB 2 WFCM 2020-C55 A5 - CMBS.....		07/28/2020.....	WELLS FARGO SECURITIES LLC.....		1,091,875	1,000,000	2,195	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					4,372,145	4,100,000	16,441	XXX.....
8399997	Total - Bonds - Part 3.....					8,738,828	8,263,000	29,678	XXX.....
8399999	Total - Bonds.....					8,738,828	8,263,000	29,678	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					8,738,828	XXX	29,678	XXX.....

QE04

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>																					
36179U	SU 7		09/01/2020	Paydown		112,009	112,009	119,605			(258)		(258)		119,346		(7,337)	(7,337)	1,083	05/20/2049	1
36179U	UK 6		09/01/2020	Paydown		111,062	111,062	118,559			(273)		(273)		118,286		(7,224)	(7,224)	1,069	06/20/2049	1
912828	2J 8		07/15/2020	Maturity @ 100.00		850,000	850,000	849,537	849,915		85		85		850,000			0	12,750	07/15/2020	1
912828	4Y 3		08/31/2020	Maturity @ 100.00		15,000	15,000	14,992	14,998		2		2		15,000			0	394	08/31/2020	1
912828	NM 8		07/15/2020	Maturity @ 100.00		434,991	434,991	434,365	447,811	(27,365)	(1,954)		(29,319)		418,492		16,498	16,498	5,447	07/15/2020	1
0599999	Total - Bonds - U.S. Government					1,523,061	1,523,061	1,537,058	1,312,724	(27,365)	(2,399)	0	(29,764)	0	1,521,124	(2,399)	1,938	1,938	20,743	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128M4	2P 7		09/01/2020	Paydown		4,098	4,098	3,863	3,907		4		4		3,912		187	187	131	05/01/2036	1
3128M7	LM 6		09/01/2020	Paydown		453	453	475	472		(0)		(0)		472		(18)	(18)	18	04/01/2039	1
312931	K6 4		09/01/2020	Paydown		69	69	72	72		(0)		(0)		72		(3)	(3)	3	03/01/2039	1
3131Y7	S6 5		09/01/2020	Paydown		56,181	56,181	60,377	60,232		(287)		(287)		59,946		(3,765)	(3,765)	1,656	11/01/2048	1
3132GE	S3 5		09/01/2020	Paydown		1,963	1,963	2,036	2,027		(1)		(1)		2,026		(62)	(62)	61	06/01/2041	1
3132XC	SE 6		09/01/2020	Paydown		81,852	81,852	83,281	83,196		(26)		(26)		83,170		(1,318)	(1,318)	2,178	11/01/2048	1
31335B	ZY 5		09/01/2020	Paydown		42,847	42,847	43,369	43,356		(8)		(8)		43,348		(501)	(501)	1,146	07/01/2042	1
3137BY	PD 6		09/01/2020	Paydown		23,493	23,493	24,095	23,981		(11)		(11)		23,970		(477)	(477)	470	05/15/2046	1
3137BY	PQ 7		09/01/2020	Paydown		1,072	1,072	1,104	1,091		(3)		(3)		1,088		(17)	(17)	22	04/25/2024	1
3137F2	ME 3		09/01/2020	Paydown		12,155	12,155	12,421	12,386		(7)		(7)		12,379		(224)	(224)	244	11/15/2046	1
3137FA	JC 3		09/01/2020	Paydown		31,517	31,517	32,059	32,002		(12)		(12)		31,990		(473)	(473)	649	05/15/2047	1
3138WG	KX 1		09/01/2020	Paydown		19,979	19,979	20,822	20,648		(32)		(32)		20,616		(638)	(638)	404	02/01/2031	1
31407C	AE 7		09/01/2020	Paydown		6,805	6,805	6,368	6,450		8		8		6,459		346	346	224	07/01/2035	1
3140E6	NC 2		09/01/2020	Paydown		4,464	4,464	4,653	4,613		(6)		(6)		4,606		(142)	(142)	82	11/01/2030	1
3140E6	PB 2		09/01/2020	Paydown		4,316	4,316	4,498	4,460		(6)		(6)		4,453		(137)	(137)	79	12/01/2030	1
3140F5	A4 5		09/01/2020	Paydown		110,704	110,704	108,092	108,166		24		24		108,190		2,514	2,514	2,210	11/01/2046	1
3140J8	JQ 7		09/01/2020	Paydown		27,254	27,254	27,531	27,525		(4)		(4)		27,522		(267)	(267)	718	03/01/2046	1
3140QA	3W 8		09/01/2020	Paydown		94,828	94,828	102,651			(593)		(593)		102,058		(7,230)	(7,230)	2,169	05/01/2049	1
3140QE	KP 6		09/01/2020	Paydown		3,277	3,277	3,441			(1)		(1)		3,440		(163)	(163)	11	08/01/2050	1
31410L	R7 9		09/01/2020	Paydown		47,005	47,005	49,006	48,635		(62)		(62)		48,573		(1,569)	(1,569)	931	02/01/2031	1
31410P	QW 6		09/01/2020	Paydown		793	793	759	767		1		1		768		25	25	26	07/01/2033	1
31418D	RH 4		09/01/2020	Paydown		4,780	4,780	4,927			(2)		(2)		4,925		(146)	(146)	13	08/01/2050	1
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					579,905	579,905	595,898	483,987	0	(1,023)	0	(1,023)	0	593,983	0	(14,079)	(14,079)	13,445	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
06739F	JJ 1		08/03/2020	KEYBANC CAPITAL MARKETS INC		241,973	240,000	239,767	239,918		47		47		239,965		2,007	2,007	6,784	01/11/2021	1FE
15137K	AE 9		07/15/2020	CECLO 24R A1R - CDO		8,747	8,747	8,747	8,747		0		0		8,747		0	0	183	10/15/2026	1FE
29373E	AB 3		09/20/2020	EFF 2017-3 A2 - ABS		34,524	34,524	34,524	34,524		0		0		34,524		0	0	476	05/22/2023	1FE
44107T	AX 4		07/01/2020	HOST HOTELS & RESORTS LP		25,646	25,000	24,894	24,935		7		7		24,942		704	704	622	04/01/2024	2FE
44644A	AB 3		08/03/2020	HUNTINGTON NATIONAL BANK		259,778	250,000	249,405	249,683		71		71		249,754		10,024	10,024	6,215	08/07/2022	1FE
571748	BK 7		08/03/2020	MARSH & MCLENNAN COMPANIES INC.		105,081	105,000	105,000	105,000		0		0		105,000		81	81	1,692	12/29/2021	1FE
606822	AP 9		08/03/2020	MITSUBISHI UFJ FINANCIAL GROUP		109,342	105,000	105,000	105,000		0		0		105,000		4,342	4,342	2,876	07/25/2022	1FE
60687Y	AH 2		08/03/2020	MIZUHO FINANCIAL GROUP INC.		207,496	200,000	200,000	200,000		0		0		200,000		7,496	7,496	5,529	02/28/2022	1FE

QE05

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
67108W BE 8	OZLM VII A1R - CDO.....		07/17/2020	Paydown.....		1,733	1,733	1,733	1,733				0		1,733		(0)	(0)	35	07/17/2029	1FE.....
828807 DD 6	SIMON PROPERTY GROUP LP.....		09/22/2020	MERRILL LYNCH PIERCE FENNER SMITH INC		52,385	50,000	49,910	49,942		12		12		49,954		2,431	2,431	1,119	06/01/2023	1FE.....
89114Q C4 8	TORONTO-DOMINION BANK.....	C	08/03/2020	NATIONAL FINANCIAL SERVICES CO		103,732	95,000	94,871	94,906		15		15		94,921		8,811	8,811	3,473	07/19/2023	1FE.....
95000U 2B 8	WELLS FARGO & CO.....		08/03/2020	BARCLAYS CAPITAL INC.....		156,113	150,000	149,868	149,931		16		16		149,947		6,166	6,166	4,080	07/22/2022	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					1,306,548	1,265,005	1,263,719	1,264,319	0	168	0	168	0	1,264,487	0	42,061	42,061	33,084	XXX	XXX
8399997	Total - Bonds - Part 4.....					3,409,515	3,367,971	3,396,675	3,061,030	(27,365)	(3,254)	0	(30,619)	0	3,379,594	0	29,920	29,920	67,273	XXX	XXX
8399999	Total - Bonds.....					3,409,515	3,367,971	3,396,675	3,061,030	(27,365)	(3,254)	0	(30,619)	0	3,379,594	0	29,920	29,920	67,273	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					3,409,515	XXX	3,396,675	3,061,030	(27,365)	(3,254)	0	(30,619)	0	3,379,594	0	29,920	29,920	67,273	XXX	XXX

QE05.1

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DB - Pt. E**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

# COLONY SPECIALTY INSURANCE COMPANY SCHEDULE E - PART 1 - CASH

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Wells Fargo Bank, NA..... San Francisco, CA.....	SD.....				.....402,076	.....13,414,379	.....12,923,269	XXX
0199998. Deposits in.....1 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX			.....491,641	.....300,395	.....146,348	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....893,717	.....13,714,774	.....13,069,617	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....893,717	.....13,714,774	.....13,069,617	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....893,717	.....13,714,774	.....13,069,617	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9	
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year	
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>									
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO.....							.0	.0	
<b>All Other Money Market Mutual Funds</b>									
31846V 62 5	FIRST AMER:INS PRM OBL Z.....		09/28/2020.....	.100		646,767	.64	.0	
31846V 62 5	FIRST AMER:INS PRM OBL Z.....	SD.....	09/02/2020.....	.100		276,413	.23	.51	
8699999. Total - All Other Money Market Mutual Funds.....							923,180	.87	.51
8899999. Total - Cash Equivalents.....							923,180	.87	.51

QE14

**Supp. A to Sch. T  
NONE**

**Supp. A to Sch. T  
NONE**

**Supp. A to Sch. T  
NONE**



Designate the type of health care providers reported on this page.

**SUPPLEMENT "A" TO SCHEDULE T**  
**EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN**  
**ALLOCATED BY STATES AND TERRITORIES**

**Other Health Care Facilities**

States, Etc.	1 Direct Premiums Written	2 Direct Premiums Earned	Direct Losses Paid		5 Direct Losses Incurred	Direct Losses Unpaid		8 Direct Losses Incurred But Not Reported
			3 Amount	4 Number of Claims		6 Amount Reported	7 Number of Claims	
1. Alabama.....AL								
2. Alaska.....AK								
3. Arizona.....AZ								
4. Arkansas.....AR								
5. California.....CA					(148)			(14)
6. Colorado.....CO					(230)			(21)
7. Connecticut.....CT								
8. Delaware.....DE								
9. District of Columbia.....DC					(105)			(10)
10. Florida.....FL								
11. Georgia.....GA					(48)			(4)
12. Hawaii.....HI								
13. Idaho.....ID								
14. Illinois.....IL								
15. Indiana.....IN								
16. Iowa.....IA								
17. Kansas.....KS					(751)			(69)
18. Kentucky.....KY								
19. Louisiana.....LA								
20. Maine.....ME								
21. Maryland.....MD								
22. Massachusetts.....MA								
23. Michigan.....MI					(64)			(6)
24. Minnesota.....MN								
25. Mississippi.....MS								
26. Missouri.....MO								
27. Montana.....MT								
28. Nebraska.....NE								
29. Nevada.....NV								
30. New Hampshire.....NH								
31. New Jersey.....NJ								
32. New Mexico.....NM								
33. New York.....NY								
34. North Carolina.....NC								
35. North Dakota.....ND								
36. Ohio.....OH					(664)			(61)
37. Oklahoma.....OK								
38. Oregon.....OR								
39. Pennsylvania.....PA								
40. Rhode Island.....RI								
41. South Carolina.....SC								
42. South Dakota.....SD								
43. Tennessee.....TN								
44. Texas.....TX								
45. Utah.....UT								
46. Vermont.....VT								
47. Virginia.....VA					(1,559)			(143)
48. Washington.....WA					(2,623)			(239)
49. West Virginia.....WV								
50. Wisconsin.....WI								
51. Wyoming.....WY								
52. American Samoa.....AS								
53. Guam.....GU								
54. Puerto Rico.....PR								
55. US Virgin Islands.....VI								
56. Northern Mariana Islands.....MP								
57. Canada.....CAN								
58. Aggregate Other Alien.....OT	0	0	0	0	0	0	0	0
59. Totals.....	0	0	0	0	(6,192)	0	0	(567)

**DETAILS OF WRITE-INS**

58001.....								
58002.....								
58003.....								
58998. Summary of remaining write-ins for Line 58 from overflow page.	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 + 58998) (Line 58 above).....	0	0	0	0	0	0	0	0

**NONE**



**DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT**

Year To Date For the Period Ended September 30, 2020

NAIC Group Code.....457

NAIC Company Code.....36927

Company Name: COLONY SPECIALTY INSURANCE COMPANY

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premiums	2 Direct Earned Premiums	3 Direct Losses Incurred
.....74,482	.....43,494	.....27,290

2. Commercial Multiple Peril (CMP) Packaged Policies

- 2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? ..... Yes [ ] No [X]
- 2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? ..... Yes [ ] No [X]
- 2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies:
  - 2.31 Amount quantified: ..... \_\_\_\_\_
  - 2.32 Amount estimated using reasonable assumptions: ..... \_\_\_\_\_
- 2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverages provided in CMP packaged policies: ..... \_\_\_\_\_