



# QUARTERLY STATEMENT

As of September 30, 2020  
of the Condition and Affairs of the

## Ohio Farmers Insurance Company

NAIC Group Code.....0228, 0228 (Current Period) (Prior Period)	NAIC Company Code..... 24104	Employer's ID Number..... 34-0438190
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... February 8, 1848		Commenced Business..... July 8, 1848
Statutory Home Office	One Park Circle .. Westfield Center .. OH .. US .. 44251-5001 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	One Park Circle .. Westfield Center .. OH .. US .. 44251-5001 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	330-887-0101 <i>(Area Code) (Telephone Number)</i>
Mail Address	P. O. Box 5001 .. Westfield Center .. OH .. US .. 44251-5001 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	One Park Circle .. Westfield Center .. OH .. US .. 44251-5001 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	330-887-0101 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.westfieldgrp.com	
Statutory Statement Contact	Jeffrey Scott Gillentine <i>(Name)</i> FinancialReporting@westfieldgrp.com <i>(E-Mail Address)</i>	330-887-0101 <i>(Area Code) (Telephone Number) (Extension)</i> 330-887-7626 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Edward James Largent III	President, CEO, and Board Chair	2. Joseph Christian Kohmann	Chief Financial Officer and Treasurer
3. Frank Anthony Carrino	Chief Legal Officer and Secretary		

### OTHER

Robert William Bowers	National Claims and Customer Service Ldr	Jeffrey Scott Gillentine	Controller
Robyn Renee Hahn	President, Small Business Segment	Mark Anthony Kidd	Mid Market UW and Sales Leader
Terry Lee McClaskey Jr	National Personal Lines Leader	James Robert Merz	Chief Actuarial and Analytic Officer
Jennifer Constantine Palmieri #	Chief People Officer	Tracey Lynn Petkovic	Chief Information Officer
Michael Joseph Prandi	Chief Insurance Operations Officer	Stuart Wayne Rosenberg	Chief Innovation and Strategy Officer
David Harold Ruppel	Agribusiness UW and Sales Ldr	Peter Robert Schwanke	Chief Risk Officer
Gary William Stumper	National Surety Leader	Craig David Welsh	Chief Distribution Officer
George Krieg Wiswesser	Chief Investment Officer		

### DIRECTORS OR TRUSTEES

Cheryl Lila Carlisle	Fariborz Ghadar	Gary Dean Hallman	David Preston Hollander #
John Patrick Lanigan Jr	Edward James Largent III	Craig David Pfeiffer	Billie Kay Rawot
John Lewis Watson			

State of..... Ohio  
County of..... Medina

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Edward James Largent III 1. (Printed Name) President, CEO, and Board Chair (Title)	(Signature) Joseph Christian Kohmann 2. (Printed Name) Chief Financial Officer and Treasurer (Title)	(Signature) Frank Anthony Carrino 3. (Printed Name) Chief Legal Officer and Secretary (Title)
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Subscribed and sworn to before me  
This 15th day of October, 2020

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	417,026,931	0	417,026,931	444,934,543
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	2,312,642,509	27,191	2,312,615,318	2,351,656,774
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	173,524,035	0	173,524,035	158,557,072
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	891,075	0	891,075	896,071
4.3 Properties held for sale (less \$.....0 encumbrances).....	166,679	0	166,679	590,819
5. Cash (\$.....1,148,116), cash equivalents (\$.....22,871,963) and short-term investments (\$.....0).....	24,020,079	0	24,020,079	29,473,437
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	102,703,587	10,872,505	91,831,082	93,896,069
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	(543,504)	0	(543,504)	(626,906)
12. Subtotals, cash and invested assets (Lines 1 to 11).....	3,030,431,391	10,899,696	3,019,531,695	3,079,377,879
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	4,218,459	0	4,218,459	5,312,023
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	18,653,612	2,358,008	16,295,604	16,153,863
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....353,704 earned but unbilled premiums).....	103,982,837	35,370	103,947,467	96,199,830
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	2,398,538	0	2,398,538	2,729,316
16.2 Funds held by or deposited with reinsured companies.....	9,168,675	1,677,638	7,491,037	7,656,874
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	8,032,215	0	8,032,215	15,336,806
18.2 Net deferred tax asset.....	3,657,319	0	3,657,319	4,975,894
19. Guaranty funds receivable or on deposit.....	0	0	0	0
20. Electronic data processing equipment and software.....	8,309,449	0	8,309,449	8,138,271
21. Furniture and equipment, including health care delivery assets (\$.....0).....	26,881,475	26,881,475	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	1,111,420	0	1,111,420	34,768,329
24. Health care (\$.....0) and other amounts receivable.....	1,805,486	1,805,486	0	0
25. Aggregate write-ins for other than invested assets.....	16,101,190	16,101,190	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	3,234,752,066	59,758,863	3,174,993,203	3,270,649,085
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	3,234,752,066	59,758,863	3,174,993,203	3,270,649,085

### DETAILS OF WRITE-INS

1101. Amortization on intercompany transactions.....	895,893	0	895,893	812,492
1102. Deferred gain on intercompany transactions.....	(1,439,397)	0	(1,439,397)	(1,439,398)
1103. ....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	(543,504)	0	(543,504)	(626,906)
2501. Prepaid pension cost.....	136,257,175	136,257,175	0	0
2502. Other prepaid assets.....	15,265,598	15,265,598	0	0
2503. Deposit in pools.....	476,906	476,906	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	(135,898,489)	(135,898,489)	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	16,101,190	16,101,190	0	0

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....81,044,506).....	258,069,821	255,524,075
2. Reinsurance payable on paid losses and loss adjustment expenses.....	6,959,123	8,094,025
3. Loss adjustment expenses.....	78,564,377	76,501,129
4. Commissions payable, contingent commissions and other similar charges.....	18,318,524	18,818,442
5. Other expenses (excluding taxes, licenses and fees).....	17,660,101	17,298,770
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	4,705,440	5,219,348
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	0	0
7.2 Net deferred tax liability.....	0	0
8. Borrowed money \$.....0 and interest thereon \$.....0.....	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....749,994,556 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	175,825,939	167,924,206
10. Advance premium.....	8,158,490	6,567,884
11. Dividends declared and unpaid:		
11.1 Stockholders.....	0	0
11.2 Policyholders.....	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions).....	374,479	1,529,174
13. Funds held by company under reinsurance treaties.....	0	0
14. Amounts withheld or retained by company for account of others.....	10,946,409	12,248,708
15. Remittances and items not allocated.....	(197,186)	(770,587)
16. Provision for reinsurance (including \$.....0 certified).....	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0
18. Drafts outstanding.....	5,654,148	63,528,893
19. Payable to parent, subsidiaries and affiliates.....	1,721,478	33,450
20. Derivatives.....	0	0
21. Payable for securities.....	0	0
22. Payable for securities lending.....	0	0
23. Liability for amounts held under uninsured plans.....	0	0
24. Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	60,602,372	126,954,896
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	647,363,515	759,472,413
27. Protected cell liabilities.....	0	0
28. Total liabilities (Lines 26 and 27).....	647,363,515	759,472,413
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	0	0
31. Preferred capital stock.....	0	0
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....	0	0
34. Gross paid in and contributed surplus.....	0	0
35. Unassigned funds (surplus).....	2,527,629,688	2,511,176,672
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....	0	0
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	2,527,629,688	2,511,176,672
38. Totals (Page 2, Line 28, Col. 3).....	3,174,993,203	3,270,649,085

**DETAILS OF WRITE-INS**

2501. Liability for retirement plans.....	59,432,773	125,822,465
2502. Reserve for outstanding checks and drafts charged off.....	1,169,599	1,132,431
2503. ....	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	60,602,372	126,954,896
2901. ....	0	0
2902. ....	0	0
2903. ....	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....	0	0
3202. ....	0	0
3203. ....	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

Statement for September 30, 2020 of the **Ohio Farmers Insurance Company**  
**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$..... 19,186,939).....	18,985,369	19,334,839	25,367,429
1.2 Assumed..... (written \$..... 1,361,644,208).....	1,320,474,243	1,349,240,331	1,802,169,594
1.3 Ceded..... (written \$..... 1,118,805,150).....	1,085,335,348	1,109,061,117	1,480,983,209
1.4 Net..... (written \$..... 262,025,997).....	254,124,264	259,514,053	346,553,814
DEDUCTIONS:			
2. Losses incurred (current accident year \$..... 152,208,262):			
2.1 Direct.....	589,318	5,206,787	8,560,099
2.2 Assumed.....	742,648,686	814,124,602	1,079,956,637
2.3 Ceded.....	602,075,786	664,109,224	882,630,207
2.4 Net.....	141,162,218	155,222,165	205,886,529
3. Loss adjustment expenses incurred.....	28,986,375	29,972,859	39,441,962
4. Other underwriting expenses incurred.....	89,006,648	93,885,498	121,117,490
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	259,155,241	279,080,522	366,445,981
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(5,030,977)	(19,566,469)	(19,892,167)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	49,582,339	37,973,773	38,482,387
10. Net realized capital gains (losses) less capital gains tax of \$..... 1,987,632.....	7,477,281	4,691,344	3,430,324
11. Net investment gain (loss) (Lines 9 + 10).....	57,059,620	42,665,117	41,912,711
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$..... 758,802 amount charged off \$..... 1,042,134).....	(283,332)	(443,926)	(538,043)
13. Finance and service charges not included in premiums.....	403,819	434,525	580,563
14. Aggregate write-ins for miscellaneous income.....	61,144	(82,605)	(249,370)
15. Total other income (Lines 12 through 14).....	181,631	(92,006)	(206,850)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	52,210,274	23,006,642	21,813,694
17. Dividends to policyholders.....	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	52,210,274	23,006,642	21,813,694
19. Federal and foreign income taxes incurred.....	(7,662,802)	(4,772,359)	(26,819,997)
20. Net income (Line 18 minus Line 19) (to Line 22).....	59,873,076	27,779,001	48,633,691
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	2,511,176,672	2,277,398,795	2,277,398,795
22. Net income (from Line 20).....	59,873,076	27,779,001	48,633,691
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$..... (2,062,000).....	(28,493,641)	137,610,595	234,095,679
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
26. Change in net deferred income tax.....	(3,380,575)	2,677,061	(18,963,974)
27. Change in nonadmitted assets.....	(11,545,844)	(7,862,435)	(5,286,771)
28. Change in provision for reinsurance.....	0	0	0
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
32. Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
33. Surplus adjustments:			
33.1 Paid in.....	0	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	0	0	0
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	0	0	(24,700,748)
38. Change in surplus as regards policyholders (Lines 22 through 37).....	16,453,016	160,204,222	233,777,877
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	2,527,629,688	2,437,603,017	2,511,176,672

**DETAILS OF WRITE-INS**

0501. ....	0	0	0
0502. ....	0	0	0
0503. ....	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Net other interest income and unidentified cash.....	44,276	35,039	358,079
1402. Net gain (loss) on sale of nonadmitted assets.....	16,868	(118,203)	(608,007)
1403. Miscellaneous insurance income.....	0	559	558
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	61,144	(82,605)	(249,370)
3701. Change in retirement plan liabilities, net tax of \$6,566,022 for 2019.....	0	0	(24,700,748)
3702. ....	0	0	0
3703. ....	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	(24,700,748)

**CASH FLOW**

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	254,625,410	264,237,988	348,026,584
2. Net investment income.....	59,041,147	48,042,003	51,272,604
3. Miscellaneous income.....	154,030	355,408	225,972
4. Total (Lines 1 through 3).....	313,820,587	312,635,399	399,525,160
5. Benefit and loss related payments.....	139,420,595	136,794,108	206,524,271
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	117,049,761	128,667,946	164,126,518
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$.....1,987,632 tax on capital gains (losses).....	(12,979,761)	(663,236)	(12,025,595)
10. Total (Lines 5 through 9).....	243,490,595	264,798,818	358,625,194
11. Net cash from operations (Line 4 minus Line 10).....	70,329,992	47,836,581	40,899,966
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	44,725,143	28,342,084	40,023,683
12.2 Stocks.....	30,184,799	14,973,640	22,551,763
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	989,048	0	3,851,899
12.5 Other invested assets.....	8,901,539	6,520,884	12,748,954
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	84,800,529	49,836,608	79,176,299
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	17,896,399	26,190,381	33,061,101
13.2 Stocks.....	9,375,565	12,070,595	15,933,219
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	20,860,093	37,057,850	43,505,421
13.5 Other invested assets.....	11,593,257	9,413,703	15,501,989
13.6 Miscellaneous applications.....	0	795	795
13.7 Total investments acquired (Lines 13.1 to 13.6).....	59,725,314	84,733,324	108,002,525
14. Net increase or (decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	25,075,215	(34,896,716)	(28,826,226)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	(100,858,565)	(33,794,658)	(40,383,285)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(100,858,565)	(33,794,658)	(40,383,285)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(5,453,358)	(20,854,793)	(28,309,545)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	29,473,437	57,705,752	57,782,982
19.2 End of period (Line 18 plus Line 19.1).....	24,020,079	36,850,959	29,473,437
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001 .....	0	0	0

**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern****A. Accounting Practices**

The financial statements of Ohio Farmers Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices (SAP) prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio.

The Company has not implemented any prescribed or permitted accounting practices by the State of Ohio that differ from those found in NAIC SAP.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	9/30/2020	12/31/2019
<b>NET INCOME</b>					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 59,873,076	\$ 48,633,691
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 59,873,076	\$ 48,633,691
<b>SURPLUS</b>					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 2,527,629,688	\$ 2,511,176,672
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 2,527,629,688	\$ 2,511,176,672

**B. Use of Estimates in the Preparation of the Financial Statement - No significant changes****C. Accounting Policy**

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports received from ceding companies for reinsurance.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- (1-5) No significant changes
- (6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair market value. The retrospective adjustment method is used to value all securities. If a security has been written down due to an other-than-temporary impairment, the prospective adjustment method is used subsequent to the loss recognition in accordance with SSAP No.43R.
- (7-13) No significant changes

**D. Going Concern**

Management continuously monitors the Company's financial results and compliance with regulatory requirements and finds no reason to expect the Company to not continue as a going concern.

**Note 2 – Accounting Changes and Corrections of Errors**

No significant changes

**Note 3 – Business Combinations and Goodwill**

Not applicable

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans - Not applicable

B. Debt Restructuring - Not applicable

C. Reverse Mortgages - Not applicable

D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates. The Company used Interactive Data Corp. in determining the market value of its loan-backed securities.

(2-3) No other-than-temporary impairments have been recognized on loan-backed securities.

**NOTES TO FINANCIAL STATEMENTS**

- (4) Impaired loan-backed securities for which an other-than-temporary impairment has not been recognized as of September 30, 2020 are summarized below:

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$ 0
	2. 12 Months or Longer	\$ 0
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$ 0
	2. 12 Months or Longer	\$ 0

- (5) In concluding that the impairments are not other-than-temporary, the Company has considered the following general categories of information:

- Length of time and extent to which the fair value has been less than cost
- Issuer credit quality
- Industry sector considerations
- General interest rate environment
- Probability of collecting future cash flows

- E. Dollar Repurchase Agreements and/or Securities Lending Transactions - Not applicable
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not applicable
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing - Not applicable
- H. Repurchase Agreements Transactions Accounted for as a Sale - Not applicable
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale - Not applicable
- J. Real Estate - Not applicable
- K. Low-Income Housing Tax Credits (LIHTC) - Not applicable
- L. Restricted Assets - No significant changes
- M. Working Capital Finance Investments - Not applicable
- N. Offsetting and Netting of Assets and Liabilities - Not applicable
- O. 5GI Securities - Not applicable
- P. Short Sales - Not applicable
- Q. Prepayment Penalty and Acceleration Fees - Not applicable

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

Not applicable

**Note 8 – Derivative Instruments**

Not applicable

**Note 9 – Income Taxes**

- A. Deferred Tax Assets/(Liabilities)

1. Components of Net Deferred Tax Asset/(Liability)

	Current Year to Date			Prior Year			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
a. Gross deferred tax assets	\$ 64,119,941	\$ 7,857,657	\$ 71,977,598	\$ 64,389,201	\$ 7,799,035	\$ 72,188,236	\$ (269,260)	\$ 58,622	\$ (210,638)
b. Statutory valuation allowance adjustment	0	0	0	0	0	0	0	0	0
c. Adjusted gross deferred tax assets (1a-1b)	\$ 64,119,941	\$ 7,857,657	\$ 71,977,598	\$ 64,389,201	\$ 7,799,035	\$ 72,188,236	\$ (269,260)	\$ 58,622	\$ (210,638)
d. Deferred tax assets nonadmitted	0	0	0	0	0	0	0	0	0
e. Subtotal net admitted deferred tax asset (1c-1d)	\$ 64,119,941	\$ 7,857,657	\$ 71,977,598	\$ 64,389,201	\$ 7,799,035	\$ 72,188,236	\$ (269,260)	\$ 58,622	\$ (210,638)
f. Deferred tax liabilities	45,561,653	22,758,626	68,320,279	42,523,434	24,688,908	67,212,342	3,038,219	(1,930,282)	1,107,937
g. Net admitted deferred tax assets/(net deferred tax liability) (1e-1f)	\$ 18,558,288	\$(14,900,969)	\$ 3,657,319	\$ 21,865,767	\$(16,889,873)	\$ 4,975,894	\$ (3,307,479)	\$ 1,988,904	\$ (1,318,575)

2. Admission Calculation Components SSAP No. 101

	Current Year	Prior Year	Change
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**NOTES TO FINANCIAL STATEMENTS**

	to Date		3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
	1 Ordinary	2 Capital							
a. Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
b. Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	34,436,719	3,167,964	37,604,683	33,342,961	3,067,253	36,410,214	1,093,758	100,711	1,194,469
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	34,436,719	3,167,964	37,604,683	33,342,961	3,067,253	36,410,214	1,093,758	100,711	1,194,469
2. Adjusted gross deferred tax assets allowed per limitation threshold			377,349,438			374,709,376			2,640,062
c. Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	29,683,222	4,689,693	34,372,915	31,046,240	4,731,782	35,778,022	(1,363,018)	(42,089)	(1,405,107)
d. Deferred tax assets admitted as the result of application of SSAP 101. Total (2(a)+2(b)+2(c))	\$ 64,119,941	\$ 7,857,657	\$ 71,977,598	\$ 64,389,201	\$ 7,799,035	\$ 72,188,236	\$ (269,260)	\$ 58,622	\$ (210,638)

3. Other Admissibility Criteria

	2020	2019
a. Ratio percentage used to determine recovery period and threshold limitation amount	823.2%	821.7%
b. Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above	\$ 2,515,662,920	\$ 2,511,176,672

4. Impact of Tax Planning Strategies

(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage.

	Current Year to Date		Prior Year		Change	
	1 Ordinary	2 Capital	3 Ordinary	4 Capital	5 (Col. 1-3) Ordinary	6 (Col. 2-4) Capital
1. Adjusted gross DTAs amount from Note 9A1(c)	\$ 64,119,941	\$ 7,857,657	\$ 64,389,201	\$ 7,799,035	\$ (269,260)	\$ 58,622
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	\$ 64,119,941	\$ 7,857,657	\$ 64,389,201	\$ 7,799,035	\$ (269,260)	\$ 58,622
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

(b) Does the company's tax planning strategies include the use of reinsurance? NO

B. Deferred Tax Liabilities Not Recognized

- The types of temporary differences for which a DTL has not been recognized and the types of events that would cause those temporary differences to become taxable are:  
There are no temporary differences for which deferred tax liabilities are not recorded.
- The cumulative amount of each type of temporary difference is:  
Not Applicable

**NOTES TO FINANCIAL STATEMENTS**

3. The amount of the unrecognized DTL for temporary differences related to investments in foreign subsidiaries and foreign corporate joint ventures that are essentially permanent in duration, if determination of that liability is practicable, or a statement that determination is not practicable are:  
Not Applicable
4. The amount of the DTL for temporary differences other than those in item (3) above that is not recognized is:  
Not Applicable

## C. Current and Deferred Income Taxes

## 1. Current Income Tax

	1	2	3
	Current Year to Date	Prior Year	(Col 1-2) Change
a. Federal	\$ (1,245,939)	\$ (22,252,391)	\$ 21,006,452
b. Foreign	\$ 4,050	\$ 5,220	\$ (1,170)
c. Subtotal	\$ (1,241,889)	\$ (22,247,171)	\$ 21,005,282
d. Federal income tax on net capital gains	\$ (1,987,632)	\$ (1,902,661)	\$ (84,971)
e. Utilization of capital loss carry-forwards	\$ 0	\$ 0	\$ 0
f. Other	\$ (4,433,281)	\$ (2,670,165)	\$ (1,763,116)
g. Federal and Foreign income taxes incurred	\$ (7,662,802)	\$ (26,819,997)	\$ 19,157,195

## 2. Deferred Tax Assets

	1	2	3
	Current Year to Date	Prior Year	(Col 1-2) Change
a. Ordinary:			
1. Discounting of unpaid losses	\$ 8,293,547	\$ 8,275,063	\$ 18,484
2. Uneamed premium reserve	8,075,101	7,557,533	517,568
3. Policyholder reserves	0	0	0
4. Investments	51,773	51,773	0
5. Deferred acquisition costs	0	0	0
6. Policyholder dividends accrual	0	0	0
7. Fixed assets	53,636	0	53,636
8. Compensation and benefits accrual	1,863,478	1,202,374	661,104
9. Pension accrual	41,512,113	41,497,447	14,666
10. Receivables - nonadmitted	0	0	0
11. Net operating loss carry-forward	965,826	2,632,545	(1,666,719)
12. Tax credit carry-forward	0	0	0
13. Other (items <=5% and >5% of total ordinary tax assets)	3,304,467	3,172,466	132,001
Other (items listed individually >5% of total ordinary tax assets)			
Guaranty fund accrual	113,456	116,369	(2,913)
Salvage and subrogation	2,687,862	2,579,029	108,833
Other	503,149	477,068	26,081
99. Subtotal	64,119,941	64,389,201	(269,260)
b. Statutory valuation allowance adjustment	0	0	0
c. Nonadmitted	0	0	0
d. Admitted ordinary deferred tax assets (2a99-2b-2c)	64,119,941	64,389,201	(269,260)
e. Capital:			
1. Investments	\$ 5,210,770	\$ 5,257,534	\$ (46,764)
2. Net capital loss carry-forward	0	0	0
3. Real estate	0	0	0
4. Other (items <=5% and >5% of total capital tax assets)	2,646,887	2,541,501	105,386
Other (items listed individually >5% of total capital tax assets)			
Other	2,646,887	2,541,501	105,386
99. Subtotal	\$ 7,857,657	\$ 7,799,035	\$ 58,622
f. Statutory valuation allowance adjustment	0	0	0
g. Nonadmitted	0	0	0
h. Admitted capital deferred tax assets (2e99-2f-2g)	7,857,657	7,799,035	58,622
i. Admitted deferred tax assets (2d+2h)	\$ 71,977,598	\$ 72,188,236	\$ (210,638)

## 3. Deferred Tax Liabilities

	1	2	3
	Current Year to Date	Prior Year	(Col 1-2) Change
a. Ordinary:			
1. Investments	\$ 105,911	\$ 108,998	\$ (3,087)
2. Fixed assets	11,411,329	11,164,916	246,413
3. Deferred and uncollected premium	215,131	158,574	56,557
4. Policyholder reserves	0	0	0
5. Other (items <=5% and >5% of total ordinary tax liabilities)	33,829,282	31,090,946	2,738,336
Other (items listed individually >5% of total ordinary tax liabilities)			
Pension accrual	32,509,507	29,771,171	2,738,336
Other	1,319,775	1,319,775	0
99. Subtotal	45,561,653	42,523,434	3,038,219
b. Capital:			
1. Investments	5,326,980	5,195,262	131,718
2. Real estate	0	0	0
3. Other (Items <=5% and >5% of total capital tax liabilities)	17,431,646	19,493,646	(2,062,000)
Other (items listed individually >5% of total capital tax liabilities)			
Unrealized gain/(loss)	17,431,646	19,493,646	(2,062,000)
99. Subtotal	22,758,626	24,688,908	(1,930,282)

**NOTES TO FINANCIAL STATEMENTS**

c. Deferred tax liabilities (3a99+3b99)	\$ 68,320,279	\$ 67,212,342	\$ 1,107,937
4. Net Deferred Tax Assets/Liabilities (2i – 3c)	\$ 3,657,319	\$ 4,975,894	\$ (1,318,575)

## D. Reconciliation of Federal Income Tax Rate to Actual Effective Rate Among the more significant book to tax adjustments were the following:

	Amount	Effective Tax Rate (%)
<b>Permanent Differences:</b>		
Provision computed at statutory rate	\$ 11,381,560	21.0%
Change in nonadmitted assets	0	0%
Proration of tax exempt investment income	123,758	0.2%
Tax exempt income deduction	(218,551)	(0.4)%
Dividends received deduction	(10,776,482)	(19.9)%
Disallowed travel and entertainment	49,378	0.1%
Other permanent differences	17,693	0%
<b>Temporary Differences:</b>		
Total ordinary DTAs	1,397,461	2.6%
Total ordinary DTLs	(3,120,631)	(5.8)%
Total capital DTAs	(46,765)	(0.1)%
Total capital DTLs	(131,718)	(0.2)%
<b>Other:</b>		
Statutory valuation allowance adjustment	0	0%
Accrual adjustment – prior year	(4,433,281)	(8.2)%
Other	0	0%
Totals	(5,757,578)	(10.6)%
Federal and foreign income taxes incurred	(7,662,802)	(14.1)%
Realized capital gains (losses) tax	1,987,632	3.7%
Change in net deferred income taxes	1,901,653	3.5%
Total statutory income taxes	\$ (3,773,517)	(7.0)%

## E. Operating Loss Carry Forwards and Income Taxes Available for Recoupment

## 1. The amounts, origination dates and expiration dates of operating loss and tax credit carry forwards available for tax purposes:

Description (Operating Loss or Tax Credit Carry Forward)	Amounts	Origination Dates	Expiration Dates
AMT Credit Carryforward	\$0	December 31, 2017	
R&D Credit Carryforward	\$274,120	December 31, 2019	
Foreign Tax Credit Carryforward	\$691,706	December 31, 2019	N/A

## 2. The following is income tax expense for current year and proceeding years that is available for recoupment in the event of future net losses:

Year	Amounts
2020/09/30	\$0
2019/12/31	\$0
2018/12/31	\$0

## 3. The Company's aggregate amount of deposits admitted under Section 6603 of the Internal Revenue Service Code: The company did not have any protective tax deposits under Section 6603 of the Internal Revenue Service Code.

## F. Consolidated Federal Income Tax Return

## 1. The Company's federal income tax return is consolidated with the following entities:

Westfield Insurance Company  
Westfield National Insurance Company  
American Select Insurance Company  
Old Guard Insurance Company  
Westfield Champion Insurance Company  
Westfield Premier Insurance Company  
Westfield Superior Insurance Company  
Westfield Touchstone Insurance Company  
Westfield Management Company  
Westfield Services, Inc.  
Westfield Bancorp, Inc.  
Westfield Bank, FSB  
Westfield Credit Corp.  
COIN Financial, Inc.

## 2. The manner in which the Board of Directors sets forth for allocating the consolidated federal income tax:

Each company in the consolidation has agreed to share any tax or recovery of tax based on their individual taxable income or loss. Each company's current taxable income or loss will be adjusted by any prior taxable income or loss which can be carried forward to the current year.

G. Federal or Foreign Federal Income Tax Loss Contingencies:  
None

## H. Repatriation Transition Tax (RTT) - RTT owed under the TCJA

## 1a Has the entity fully remitted the RTT? YES

## 1b If yes, list the amount of the RTT paid. 0

If no, list the future installments to satisfy the RTT:

1	Installment 1	\$0
2	Installment 2	0

**NOTES TO FINANCIAL STATEMENTS**

3	Installment 3	0
4	Installment 4	0
5	Installment 5	0
6	Installment 6	0
7	Installment 7	0
8	Installment 8	0
9	Total	\$0

## I. Alternative Minimum Tax Credit

Was the AMT Credit recognized as a current year recoverable or Deferred Tax Asset (DTA)? DTA

Gross AMT Credit Recognized as:

1a	Current year to date recoverable	\$0
1b	Deferred tax asset (DTA)	0
2	Beginning Balance of AMT Credit Carryforward	0
3	Amounts Recovered	0
4	Adjustments	0
5	Ending Balance of AMT Credit Carryforward (5=2-3-4)	0
6	Reduction for Sequestration	0
7	Nonadmitted by Reporting Entity	0
8	Reporting Entity Ending Balance (8=5-6-7)	\$0

**Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

A. The Company is not directly or indirectly owned or controlled by any other company, corporation, groups of companies, partnerships nor individuals.

B. The Company contributed capital to its non-insurance subsidiary, 1848 Ventures, LLC, for the following dates and amounts:

January 29, 2019	\$1,000,000
March 22, 2019	\$850,000
May 24, 2019	\$1,000,000
June 28, 2019	\$1,000,000
July 31, 2019	\$400,000
September 18, 2019	\$1,000,000
October 31, 2019	\$750,000
November 27, 2019	\$350,000
December 31, 2019	\$350,000
January 31, 2020	\$400,000
March 26, 2020	\$1,200,000
April 30, 2020	\$500,000
June 30, 2020	\$500,000
July 16, 2020	\$1,250,000
August 31, 2020	\$750,000
September 30, 2020	\$750,000

The Company received common stock dividend distributions from its subsidiary, Westfield Insurance Company, for the following dates and amounts:

May 9, 2019	\$10,000,000
June 4, 2019	\$15,000,000
June 20, 2019	\$10,000,000
February 3, 2020	\$50,000,000

On March 19, 2020, the Company contributed \$500,000 of capital to its subsidiary, Westfield National Insurance Company.

On September 17, 2019, the Company contributed \$2,500,000 of capital to each of the following subsidiary companies, Westfield Champion Insurance Company, Westfield Premier Insurance Company, Westfield Superior Insurance Company and Westfield Touchstone Insurance Company.

C. Dollar Amounts of Transactions - Not applicable

D. Affiliated balances due to and from the Company at 9/30/2020 and 12/31/2019 respectively were:

**NOTES TO FINANCIAL STATEMENTS**

	9/30/2020	12/31/2019
Westfield Insurance Company	\$ 0	\$ 27,677,454
Westfield National Insurance Company	0	561,574
American Select Insurance Company	0	943,156
Old Guard Insurance Company	0	1,868,900
Westfield Services, Inc.*	142,371	173,296
1848 Ventures, LLC*	778,381	67,057
Westfield Insurance Foundation*	41,284	0
Ohio Farmers Insurance Company VEBA Trust*	31,892	3,291,225
Ohio Farmers Insurance Company Pension and/or VEBA Trust*	117,492	185,667
Affiliated Receivable	<u>\$ 1,111,420</u>	<u>\$ 34,768,329</u>
Westfield Insurance Company	\$ 555,833	\$ 0
Westfield National Insurance Company	517,644	0
American Select Insurance Company	290,496	0
Old Guard Insurance Company	333,761	0
Westfield Champion Insurance Company	145	0
Westfield Superior Insurance Company	10	0
Westfield Touchstone Insurance Company	190	0
150 South Road, LLC*	23,399	33,450
Affiliated Payable	<u>\$ 1,721,478</u>	<u>\$ 33,450</u>

\*Westfield Services, Inc., 1848 Ventures, LLC, Westfield Insurance Foundation, Ohio Farmers Insurance Company VEBA Trust, Ohio Farmers Insurance Company Pension and/or VEBA Trust and 150 South Road, LLC are not part of the intercompany pooling arrangement.

Every ninety (90) days the affiliated balances are reviewed and settled in either cash or the transfer of securities.

- E. Guarantees or Undertakings - No significant changes
- F. Material Management or Service Contracts and Cost-Sharing Arrangements - No significant changes
- G. Nature of the Control Relationship - No significant changes
- H. Amount Deducted from the Value of Upstream Intermediate Entity or Ultimate Parent Owned - Not applicable
- I. Investments in SCA that Exceed 10% of Admitted Assets - No significant changes
- J. Investments in Impaired SCAs - Not applicable
- K. Investment in Foreign Insurance Subsidiary - Not applicable
- L. Investment in Downstream Noninsurance Holding Company - Not applicable
- M. All SCA Investments - No significant changes
- N. Investment in Insurance SCAs - No significant changes
- O. SCA Loss Tracking - Not applicable

**Note 11 – Debt**

- A. Debt, Including Capital Notes - Not applicable
- B. FHLB (Federal Home Loan Bank) Agreements - Not applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

- A. Defined Benefit Plan

The Company sponsors a non-contributory defined benefit pension plan covering U. S. employees.

- (1) Change in Benefit Obligation - No significant changes
- (2) Change in Net Plan Assets – The postretirement plan net assets decreased from \$29,035,189 at December 31, 2019 to \$28,324,007 at September 30, 2020. This \$711,182 decrease (-2.4%) resulted from the economic impacts arising from the Coronavirus pandemic. The pension plan net assets increased \$86,947,187 (18.4%) from \$473,586,497 at December 31, 2019 to \$560,533,684 at September 30, 2020. The unfavorable market impact of the pandemic on the pension plan net assets early in 2020 was mitigated by the \$72.1 million employer contribution in the first quarter. Further fluctuation of the market due to the pandemic is uncertain at this time.
- (3) Funded Status – No significant changes
- (4) Components of Net Periodic Benefit Cost

As of September 30, 2020 and September 30, 2019, there was accrued, in accordance with actuarially determined amounts with an offset to the pension cost accrual for the incremental asset amortization, amounts representing the present value of future benefit obligations.

**NOTES TO FINANCIAL STATEMENTS**

	Pension Benefits		Postretirement Benefits		Special or Contractual Benefits per SSAP No. 11	
	09/30/2020	09/30/2019	09/30/2020	09/30/2019	09/30/2020	09/30/2019
a. Service cost	\$ 15,499,083	\$ 12,317,468	\$ 604,512	\$ 554,806	\$ 0	\$ 0
b. Interest cost	17,517,758	18,508,025	1,151,412	1,361,358	0	0
c. Expected return on plan assets	(31,671,191)	(24,462,484)	(1,740,408)	(1,545,721)	0	0
d. Transition asset or obligation	0	0	0	0	0	0
e. Gains and losses	8,477,873	6,485,217	222,401	360,342	0	0
f. Prior service cost or credit	459,008	805,812	290,680	290,680	0	0
g. Gain or loss recognized due to a settlement curtailment	0	0	0	0	0	0
h. Total net periodic benefit cost	\$ 10,282,531	\$ 13,654,038	\$ 528,597	\$ 1,021,465	\$ 0	\$ 0

The Postretirement Benefits expense reflects the receipt of the government subsidy during the period that the Company's plan benefits are expected to be considered actuarially equivalent to those provided by Medicare Part D.

(5-10) No significant changes

(11) The Company contributed \$50.0 million to its pension plan in February 2020 and \$22.1 million in March 2020. The Company plans to make an additional contribution of \$50.0 million in October 2020.

(12-15) No significant changes

(16) Significant Change in the Benefit Obligation or Plan Assets – See notes 12.A.2 and 12.C.

(17-18) No significant changes

B. Investment Policies and Strategies - No significant changes

C. Fair Value of Plan Assets - The fair value of postretirement plan assets decreased from \$29,258,293 at December 31, 2019 to \$28,505,490 at September 30, 2020. This \$752,803 decrease (-2.6%) resulted from the economic impacts arising from the Coronavirus pandemic. The fair value of pension plan assets increased \$83,443,110 from \$440,006,916 at December 31, 2019 to \$523,450,026 at September 30, 2020. The unfavorable market impact of the pandemic on the pension plan assets earlier in 2020 was mitigated by the \$72.1 million employer contribution in the first quarter. Further fluctuation of the market due to the pandemic is uncertain at this time.

D. Basis Used to Determine Expected Long-Term Rate-of-Return - No significant changes

E. Defined Contribution Plans - The total fair market value of the defined contribution plan assets increased from \$364,990,279 at December 31, 2019 to \$373,372,815 at September 30, 2020. This \$8,382,536 increase (2.3%) reflects a partial recovery from the economic impacts arising from the Coronavirus pandemic reported earlier in 2020. Further fluctuation of the market due to the pandemic is uncertain at this time.

F. Multiemployer Plans - Not Applicable

G. Consolidated/Holding Company Plans - Not Applicable

H. Postemployment Benefits and Compensated Absences - No significant changes

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17) - No significant changes

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

A. Contingent Commitments - No significant changes

B. Assessments - No significant changes

C. Gain Contingencies - Not applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Not applicable

E. Product Warranties - Not applicable

F. Joint and Several Liabilities - Not applicable

G. All Other Contingencies

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes, and other matters are not considered material in relation to the financial position of the Company. There are no contingent liabilities arising from litigation.

During 2020, the global economy experienced significant shock due to the COVID-19 pandemic. As a result, the Company's Agent Balances and Uncollected Premium are being impacted. The Company has delayed collections, offered extended payment plans, waived late fees and suspended policy cancellations to afford its policyholders the ability to recover. The ultimate outcome and the timing of any recovery to the Agent Balances and Uncollected Premium is uncertain at this time.

**Note 15 – Leases**

**NOTES TO FINANCIAL STATEMENTS**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

Not applicable

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A. Transfers of Receivables Reported as Sales  
The Company has not sold or transferred any receivables to any other parties.
- B. Transfer and Servicing of Financial Assets - Not applicable
- C. Wash Sales - Not applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

Not applicable

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable

**Note 20 – Fair Value Measurements**

- A. Fair Value Measurements

For assets that are measured and reported at fair value or net asset value (NAV) in the statement of financial position after initial recognition, the valuation techniques and the inputs used to develop those measurements are as follows:

Level 1 - Values are unadjusted quoted prices for identical assets and liabilities in active markets accessible at the measurement date.

Level 2 - Inputs include quoted prices for similar assets or liabilities in active markets, quoted prices from those willing to trade in markets that are not active, or other inputs that are observable or can be corroborated by market data for the term of the instrument. Such inputs include market interest rates and volatilities, spreads and yield curves.

Level 3 - Certain inputs are unobservable (supported by little or no market activity) and significant to the fair value measurement. Unobservable inputs reflect the Company's best estimate of what hypothetical market participants would use to determine a transaction price for the asset or liability at the reporting date.

The Company has no liabilities that are measured at fair value in the statement of financial position.

## (1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
<b>Assets at Fair Value</b>					
CS - Industrial and Miscellaneous - Unaffiliated	\$ 120,924,534	\$ 0	\$ 0	\$ 0	\$ 120,924,534
CE - Money Market Mutual Funds	\$ 0	\$ 0	\$ 0	\$ 22,871,963	\$ 22,871,963
OIA - Joint Venture, Ptr or LLC, char. of Com Stks - Unaffiliated	\$ 1,263,200	\$ 0	\$ 0	\$ 0	\$ 1,263,200
OIA - Other Assets - Affiliated	\$ 89,417,291	\$ 0	\$ 0	\$ 1,150,591	\$ 90,567,882
<b>Total</b>	<b>\$ 211,605,025</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 24,022,554</b>	<b>\$ 235,627,579</b>
<b>Liabilities at Fair Value</b>					
	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
<b>Total</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>	<b>\$ 0</b>

(2) At September 30, 2020, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 3.

(3) The Company's policy for determining when transfers between levels is required is based upon change in the inputs used to determine fair value measurement. If an input changes, the Company evaluates the new input(s) and makes the determination whether or not a transfer between levels is appropriate. If an asset or liability is transferred between levels, it is the Company's policy to record the transfer as of the beginning of the quarter in which the transfer occurs. The Company held no assets or liabilities categorized as Level 1, 2 or 3 during the reporting period that were transferred into or out of the level categorization held at January 1, 2020.

(4) As of September 30, 2020, the Company held no investments in assets or liabilities measured and reported at fair value that were classified as Level 2 or Level 3. Historically, fair values in the Level 2 category are provided by independent pricing services. Where independent pricing services provide fair values, the Company has obtained an understanding of the methods, models and inputs used in pricing and has controls in place to validate that amounts provided represent current fair values. Estimated fair values of investments categorized as Level 3 generally include inputs for which no readily observable inputs are available and require management judgment.

(5) As of September 30, 2020, the Company had no holdings classified as either a derivative asset or liability.

- B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements - Not required

- C. Fair Value Level

The method(s) and significant assumptions used to estimate the fair value of financial instruments are as follows:

*Investment Securities* - Fair values for bonds, including the aggregate write-ins for invested assets are based on the values prescribed by an independent pricing service or from brokers. For bonds that are not actively traded, estimated fair values are based on values of bonds of comparable yield and credit

**NOTES TO FINANCIAL STATEMENTS**

quality. The fair values for common stocks are based on quoted market prices, where available, which are provided to the Company by an independent pricing service.

*Cash equivalents* - Cash equivalents include money market mutual funds, which are reported at net asset value (NAV) as a practical expedient. Such money market mutual funds are separately identified in a NAV column. NAV is defined as the amount of net assets attributable to each share outstanding at the close of the period.

*Other Invested Assets* - The estimated fair value of publicly traded limited partnerships and trusts is based on the values prescribed by an independent pricing service. Those assets in trust held in money market mutual funds are carried at NAV.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 465,957,609	\$ 417,026,931	\$ 84,113,505	\$ 381,844,104	\$ 0	\$ 0	\$ 0
Common stocks	\$ 120,924,534	\$ 120,924,534	\$ 120,924,534	\$ 0	\$ 0	\$ 0	\$ 0
Cash equivalents	\$ 22,871,963	\$ 22,871,963	\$ 0	\$ 0	\$ 0	\$ 22,871,963	\$ 0
Other invested assets	\$ 91,831,082	\$ 91,831,082	\$ 90,680,491	\$ 0	\$ 0	\$ 1,150,591	\$ 0
Aggregate write-ins for invested assets*	\$ (543,504)	\$ (543,504)	\$ 0	\$ (543,504)	\$ 0	\$ 0	\$ 0

\* Represents amortization and deferred gain on intercompany transactions related to bonds.

D. Not Practicable to Estimate Fair Value - Not applicable

E. NAV Practical Expedient Investments

At September 30, 2020, the investments held by the Company which are being reported at net asset value (NAV) consist entirely of money market mutual funds. There are no significant restrictions that apply in the liquidation of any of these investments. They are also not likely to be sold below NAV.

**Note 21 – Other Items**

A. Unusual or Infrequent Items

Due to the COVID-19 pandemic, many personal auto customers were subject to “stay at home” or “shelter in place” orders which reduced the associated insurance exposure for several insurance subsidiaries of the Company. These subsidiaries elected to provide relief to affected customers in the form of policyholder dividends, which is disclosed accordingly in their respective financial statements. The total amount of relief for all subsidiaries is \$15.8 million.

B. Troubled Debt Restructuring Debtors - Not applicable

C. Other Disclosures - Not applicable

D. Business Interruption Insurance Recoveries - No significant changes

E. State Transferable and Non-Transferable Tax Credits - No significant changes

F. Subprime Mortgage Related Risk Exposure - No significant changes

G. Insurance-Linked Securities (ILS) Contracts - Not applicable

H. The Amount that Could be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or has Otherwise Obtained Rights to Control the Policy - Not applicable

**Note 22 – Events Subsequent**

Subsequent events have been considered through October 15, 2020 for the statutory statements issued as of September 30, 2020. No events or transactions have occurred that would give rise to a Type I or Type II subsequent event.

**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

Not applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

Reserves as of December 31, 2019 were \$332.0 million. In calendar year 2020, \$81.0 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$240.3 million. Therefore, there has been a \$10.7 million favorable prior-year development from December 31, 2019 to September 30, 2020. The favorable development is principally from decreases in the estimates of loss and loss adjustment expenses for the following lines of business: Auto Physical Damage, Other Liability and Workers' Compensation. This change is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The estimates are not affected by prior year loss development on retrospectively rated policies, as the Company does not write this type of policy.

**Note 26 – Intercompany Pooling Arrangements**

A. - F. No significant changes

G. Affiliated balances due to and from the Company at 9/30/2020 and 12/31/2019 respectively were:

**NOTES TO FINANCIAL STATEMENTS**

	9/30/2020	12/31/2019
Westfield Insurance Company*	\$ 0	\$ 27,677,454
Westfield National Insurance Company*	0	561,574
American Select Insurance Company*	0	943,156
Old Guard Insurance Company*	0	1,868,900
Westfield Services, Inc.	142,371	173,296
1848 Ventures, LLC	778,381	67,057
Westfield Insurance Foundation	41,284	0
Ohio Farmers Insurance Company VEBA Trust	31,892	3,291,225
Ohio Farmers Insurance Company Pension and/or VEBA Trust	117,492	185,667
Affiliated Receivable	<u>\$ 1,111,420</u>	<u>\$ 34,768,329</u>
Westfield Insurance Company*	\$ 555,833	\$ 0
Westfield National Insurance Company*	517,644	0
American Select Insurance Company*	290,496	0
Old Guard Insurance Company*	333,761	0
Westfield Champion Insurance Company*	145	0
Westfield Superior Insurance Company*	10	0
Westfield Touchstone Insurance Company*	190	0
150 South Road, LLC	23,399	33,450
Affiliated Payable	<u>\$ 1,721,478</u>	<u>\$ 33,450</u>

\*Westfield Insurance Company, Westfield National Insurance Company, American Select Insurance Company, Old Guard Insurance Company, Westfield Champion Insurance Company, Westfield Premier Insurance Company, Westfield Superior Insurance Company and Westfield Touchstone Insurance Company are included in the intercompany pooling arrangement.

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

Not applicable

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – High Deductibles**

Not applicable

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

**Note 33 – Asbestos/Environmental Reserves**

No significant changes

**Note 34 – Subscriber Savings Accounts**

Not applicable

**Note 35 – Multiple Peril Crop Insurance**

Not applicable

**Note 36 – Financial Guaranty Insurance**

Not applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
	0	

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/29/2019

- 6.4 By what department or departments?  
Ohio
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No [ ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Westfield Bancorp, Inc.	Westfield Center, Ohio	Yes	No	No	No
Westfield Bank, FSB	Westfield Center, Ohio	No	Yes	No	No

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No   
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

### INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No   
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 230,000  
 13. Amount of real estate and mortgages held in short-term investments: \$ 0  
 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No

14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	2,206,933,700	2,191,717,975
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 2,206,933,700	\$ 2,191,717,975
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No   
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A   
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon	One Wall Street, New York, NY 10286
Fifth Third Bank	20 NW 3rd Street, 11th Floor, Evansville, IN 47708

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
George Wiswesser	I
Ronald Stephonic	I
Krishna Patel	I
Scott Richter	I
Richard Nash	I
Chris Giampietro	I

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

Number		Management Agreement (IMA) Filed

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [  ] No [  ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [  ] No [  ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [  ] No [  ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The security was purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [  ] No [  ]

**GENERAL INTERROGATORIES (continued)**

**PART 2 – PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [X] N/A [ ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]  
 3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves.") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
<b>Total</b>	<b>XXX</b>	<b>XXX</b>	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent 0.000%  
 5.2 A&H cost containment percent 0.000%  
 5.3 A&H expense percent excluding cost containment expenses 0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

## SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	ID Number	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
<b>All Other Insurers</b>						
00000.....	AA-1120156.....	Lloyd's Syndicate Number 1686.....	GBR.....	Authorized.....	.....0.....	.....
00000.....	AA-3191388.....	Vermeer Reins Ltd.....	BMU.....	Unauthorized...	.....0.....	.....

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	L	7,823	48,749	0	0	4,684	690
2. Alaska.....AK	N	0	0	0	0	0	0
3. Arizona.....AZ	L	1,240	669	0	0	131	16
4. Arkansas.....AR	L	461,541	1,800	0	0	160	95
5. California.....CA	N	0	0	0	783	4,217	4,217
6. Colorado.....CO	L	61,378	98,711	0	0	15,073	2,477
7. Connecticut.....CT	N	0	0	0	0	0	0
8. Delaware.....DE	L	2,458	1,168	0	0	91	260
9. District of Columbia.....DC	L	19,634	24,524	0	0	2,960	2,652
10. Florida.....FL	L	81,471	345,722	0	0	107,503	116,876
11. Georgia.....GA	L	83,150	107,008	(48)	0	33,358	34,664
12. Hawaii.....HI	N	0	0	0	0	0	0
13. Idaho.....ID	N	0	0	0	0	0	0
14. Illinois.....IL	L	1,362,074	1,507,795	583,867	1,163,950	5,795,978	6,553,676
15. Indiana.....IN	L	1,271,510	900,786	(96,472)	(138,543)	312,881	278,114
16. Iowa.....IA	L	78,968	138,570	0	0	44,156	43,568
17. Kansas.....KS	L	0	0	0	0	0	0
18. Kentucky.....KY	L	457,280	941,264	194,791	71,546	977,858	1,132,335
19. Louisiana.....LA	L	0	7,501	0	0	220	817
20. Maine.....ME	N	0	0	0	0	0	0
21. Maryland.....MD	L	94,937	63,666	0	0	12,959	10,952
22. Massachusetts.....MA	L	2,773	1,223	0	0	101	46
23. Michigan.....MI	L	218,783	245,873	27,830	56,345	2,884,651	3,016,395
24. Minnesota.....MN	L	1,466,306	2,073,722	133,924	78,234	1,374,902	1,282,688
25. Mississippi.....MS	L	(450)	629	0	0	306	1,603
26. Missouri.....MO	L	6,935	46,350	0	0	2,501	5,935
27. Montana.....MT	L	9,798	1,192	0	0	756	229
28. Nebraska.....NE	L	1,636	100	0	0	319	546
29. Nevada.....NV	L	3,400	4,513	0	0	499	492
30. New Hampshire.....NH	N	0	0	0	0	0	0
31. New Jersey.....NJ	L	0	1,172	0	0	5,832	19,458
32. New Mexico.....NM	L	13,167	1,250	0	0	441	6
33. New York.....NY	L	20,969	5,064	(38,458)	(37,815)	1,722	900
34. North Carolina.....NC	L	22,663	152,381	0	0	8,533	18,569
35. North Dakota.....ND	L	1,325,821	757,701	0	0	135,411	75,024
36. Ohio.....OH	L	8,882,324	10,173,216	2,655,247	4,360,533	3,077,746	3,500,763
37. Oklahoma.....OK	L	250	1,079	0	0	484	1,248
38. Oregon.....OR	N	0	0	0	0	0	0
39. Pennsylvania.....PA	L	336,203	156,590	42,553	71,001	326,617	403,619
40. Rhode Island.....RI	L	11,667	0	0	0	322	0
41. South Carolina.....SC	L	6,155	7,866	0	0	4,995	2,161
42. South Dakota.....SD	L	936,728	1,104,032	(250)	(225)	77,405	82,277
43. Tennessee.....TN	L	151,262	86,173	(481)	3,500	278,357	399,555
44. Texas.....TX	L	254,245	232,940	0	(1)	29,894	14,303
45. Utah.....UT	L	30,289	0	0	0	2,561	0
46. Vermont.....VT	L	0	0	0	0	0	0
47. Virginia.....VA	L	44,913	81,835	(300,000)	0	157,333	4,350
48. Washington.....WA	L	8,424	9,856	0	0	1,329	848
49. West Virginia.....WV	L	1,183,934	1,172,024	19,000	(46,080)	294,961	276,232
50. Wisconsin.....WI	L	209,996	305,333	0	0	50,054	42,856
51. Wyoming.....WY	L	55,284	44,276	0	0	6,873	1,576
52. American Samoa.....AS	N	0	0	0	0	0	0
53. Guam.....GU	N	0	0	0	0	0	0
54. Puerto Rico.....PR	N	0	0	0	0	0	0
55. US Virgin Islands.....VI	N	0	0	0	0	0	0
56. Northern Mariana Islands.....MP	N	0	0	0	0	0	0
57. Canada.....CAN	N	0	0	0	0	0	0
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....	XXX	19,186,939	20,854,323	3,221,503	5,583,228	16,037,134	17,333,088

**DETAILS OF WRITE-INS**

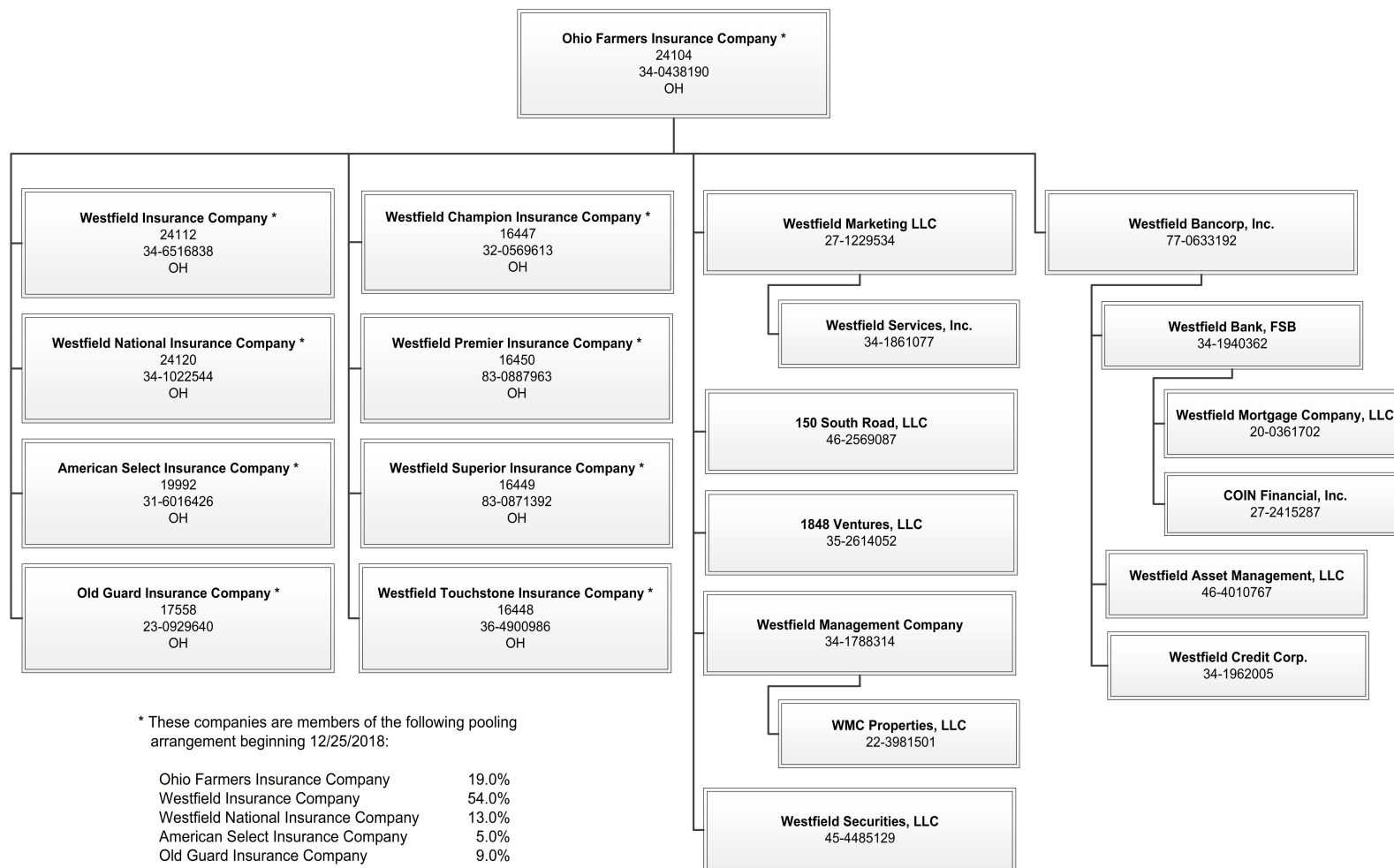
58001.....	XXX	0	0	0	0	0	0
58002.....	XXX	0	0	0	0	0	0
58003.....	XXX	0	0	0	0	0	0
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	43	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	14

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



\* These companies are members of the following pooling arrangement beginning 12/25/2018:

Ohio Farmers Insurance Company	19.0%
Westfield Insurance Company	54.0%
Westfield National Insurance Company	13.0%
American Select Insurance Company	5.0%
Old Guard Insurance Company	9.0%
Westfield Champion Insurance Company	0.0%
Westfield Premier Insurance Company	0.0%
Westfield Superior Insurance Company	0.0%
Westfield Touchstone Insurance Company	0.0%
Total	100%

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
0228	OFIC & Affiliates.....	24104..	34-0438190..	.....0	.....0	.....	Ohio Farmers Insurance Company.....	OH.....	RE.....	NA.....	NA.....	.....0.000	NA.....	.....N.....	1.....
0228	OFIC & Affiliates.....	24112..	34-6516838..	.....0	.....0	.....	Westfield Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	24120..	34-1022544..	.....0	.....0	.....	Westfield National Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	19992..	31-6016426..	.....0	.....0	.....	American Select Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	17558..	23-0929640..	.....0	.....0	.....	Old Guard Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	16447..	32-0569613..	.....0	.....0	.....	Westfield Champion Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	16450..	83-0887963..	.....0	.....0	.....	Westfield Premier Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	16449..	83-0871392..	.....0	.....0	.....	Westfield Superior Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0228	OFIC & Affiliates.....	16448..	36-4900986..	.....0	.....0	.....	Westfield Touchstone Insurance Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	34-1788314..	.....0	.....0	.....	Westfield Management Company.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	22-3981501..	.....0	.....0	.....	WMC Properties, LLC.....	OH.....	DS.....	Westfield Management Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	27-1229534..	.....0	.....0	.....	Westfield Marketing LLC.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	34-1861077..	.....0	.....0	.....	Westfield Services, Inc.....	OH.....	DS.....	Westfield Marketing LLC.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	77-0633192..	.....0	.....0	.....	Westfield Bancorp, Inc.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....Y.....	0.....
0.....	.....	0.....	34-1962005..	.....0	.....0	.....	Westfield Credit Corp.....	OH.....	DS.....	Westfield Bancorp, Inc.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	46-4010767..	.....0	.....0	.....	Westfield Asset Management, LLC.....	OH.....	DS.....	Westfield Bancorp, Inc.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	34-1940362..	.....0	.....0	.....	Westfield Bank, FSB.....	OH.....	DS.....	Westfield Bancorp, Inc.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	20-0361702..	.....0	.....0	.....	Westfield Mortgage Company, LLC.....	OH.....	DS.....	Westfield Bank, FSB.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	27-2415287..	.....0	.....0	.....	COIN Financial, Inc.....	OH.....	DS.....	Westfield Bank, FSB.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	45-4485129..	.....0	.....0	.....	Westfield Securities, LLC.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	46-2569087..	.....0	.....0	.....	150 South Road, LLC.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....
0.....	.....	0.....	35-2614052..	.....0	.....0	.....	1848 Ventures, LLC.....	OH.....	DS.....	Ohio Farmers Insurance Company.....	Ownership.....	.....100.000	Ohio Farmers Insurance Company.....	.....N.....	0.....

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**Aster: Explanation**

1	No Entity(ies) or Person(s) has control of Ohio Farmers Insurance Company
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**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	0	0	0.000	0.000
2. Allied lines.....	0	0	0.000	0.000
3. Farmowners multiple peril.....	0	(260)	0.000	0.000
4. Homeowners multiple peril.....	0	(2,330)	0.000	0.000
5. Commercial multiple peril.....	0	856,271	0.000	0.000
6. Mortgage guaranty.....	0	0	0.000	0.000
8. Ocean marine.....	0	0	0.000	0.000
9. Inland marine.....	2,471	(9)	(0.364)	2.958
10. Financial guaranty.....	0	0	0.000	0.000
11.1. Medical professional liability - occurrence.....	0	0	0.000	0.000
11.2. Medical professional liability - claims-made.....	0	0	0.000	0.000
12. Earthquake.....	0	0	0.000	0.000
13. Group accident and health.....	0	0	0.000	0.000
14. Credit accident and health.....	0	0	0.000	0.000
15. Other accident and health.....	0	0	0.000	0.000
16. Workers' compensation.....	0	208,373	0.000	0.000
17.1 Other liability-occurrence.....	0	81,364	0.000	0.000
17.2 Other liability-claims made.....	0	(113,455)	0.000	0.000
17.3 Excess workers' compensation.....	0	0	0.000	0.000
18.1 Products liability-occurrence.....	0	(281,493)	0.000	0.000
18.2 Products liability-claims made.....	0	0	0.000	0.000
19.1, 19.2 Private passenger auto liability.....	0	2	0.000	0.000
19.3, 19.4 Commercial auto liability.....	0	0	0.000	0.000
21. Auto physical damage.....	0	(1,006)	0.000	0.000
22. Aircraft (all perils).....	0	0	0.000	0.000
23. Fidelity.....	13,438	(4,168)	(31.017)	(95.844)
24. Surety.....	18,969,460	(153,973)	(0.812)	21.826
26. Burglary and theft.....	0	0	0.000	0.000
27. Boiler and machinery.....	0	0	0.000	0.000
28. Credit.....	0	0	0.000	0.000
29. International.....	0	0	0.000	0.000
30. Warranty.....	0	0	0.000	0.000
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	0.000
35. Totals.....	18,985,369	589,316	3.104	26.930

**DETAILS OF WRITE-INS**

3401.....	0	0	0.000	0.000
3402.....	0	0	0.000	0.000
3403.....	0	0	0.000	0.000
3498 Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499 Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	0.000

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....	0	0	0
2. Allied lines.....	0	0	0
3. Farmowners multiple peril.....	0	0	0
4. Homeowners multiple peril.....	0	0	0
5. Commercial multiple peril.....	0	0	0
6. Mortgage guaranty.....	0	0	0
8. Ocean marine.....	0	0	0
9. Inland marine.....	0	3,300	3,550
10. Financial guaranty.....	0	0	0
11.1 Medical professional liability - occurrence.....	0	0	0
11.2 Medical professional liability - claims made.....	0	0	0
12. Earthquake.....	0	0	0
13. Group accident and health.....	0	0	0
14. Credit accident and health.....	0	0	0
15. Other accident and health.....	0	0	0
16. Workers' compensation.....	0	0	0
17.1 Other liability-occurrence.....	0	0	0
17.2 Other liability-claims made.....	0	0	0
17.3 Excess workers' compensation.....	0	0	0
18.1 Products liability-occurrence.....	0	0	0
18.2 Products liability-claims made.....	0	0	0
19.1 19.2 Private passenger auto liability.....	0	0	0
19.3 19.4 Commercial auto liability.....	0	0	0
21. Auto physical damage.....	0	0	0
22. Aircraft (all perils).....	0	0	0
23. Fidelity.....	3,509	12,117	14,056
24. Surety.....	6,054,277	19,171,522	20,836,717
26. Burglary and theft.....	0	0	0
27. Boiler and machinery.....	0	0	0
28. Credit.....	0	0	0
29. International.....	0	0	0
30. Warranty.....	0	0	0
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	6,057,786	19,186,939	20,854,323

**DETAILS OF WRITE-INS**

3401.....	0	0	0
3402.....	0	0	0
3403.....	0	0	0
3498 Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499 Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2017 + Prior.....	56,394	75,222	131,616	22,129	1,329	23,458	36,564	2,322	61,251	100,137	2,299	(10,320)	(8,021)
2. 2018.....	31,787	43,341	75,128	16,533	1,174	17,707	21,160	1,663	34,178	57,001	5,906	(6,326)	(420)
3. Subtotals 2018 + Prior.....	88,181	118,563	206,744	38,662	2,503	41,165	57,724	3,985	95,429	157,138	8,205	(16,646)	(8,441)
4. 2019.....	41,987	83,295	125,282	29,574	10,247	39,821	24,442	5,389	53,321	83,152	12,029	(14,338)	(2,309)
5. Subtotals 2019 + Prior.....	130,168	201,858	332,026	68,236	12,750	80,986	82,166	9,374	148,750	240,290	20,234	(30,984)	(10,750)
6. 2020.....	XXX	XXX	XXX	XXX	84,553	84,553	XXX	28,773	67,571	96,344	XXX	XXX	XXX
7. Totals.....	130,168	201,858	332,026	68,236	97,303	165,539	82,166	38,147	216,321	336,634	20,234	(30,984)	(10,750)
8. Prior Year-End's Surplus As Regards Policyholders	2,511,177												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....15.5 %	2. ....(15.3)%	3. ....(3.2)%
													Col. 13, Line 7 Line 8
													4. ....(0.4)%

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



**Overflow Page for Write-Ins**

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Inventory.....	358,686	358,686	0	0
2505. Overfunded pension asset.....	(136,257,175)	(136,257,175)	0	0
2597. Summary of remaining write-ins for Line 25.....	(135,898,489)	(135,898,489)	0	0

**Ohio Farmers Insurance Company**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	160,043,962	130,030,952
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	629,539	642,195
2.2 Additional investment made after acquisition.....	20,230,555	42,863,226
3. Current year change in encumbrances.....	.0	.0
4. Total gain (loss) on disposals.....	(1,081,188)	(4,345,207)
5. Deduct amounts received on disposals.....	989,048	3,851,899
6. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
7. Deduct current year's other-than-temporary impairment recognized.....	50,363	51,375
8. Deduct current year's depreciation.....	4,201,668	5,243,930
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	174,581,789	160,043,962
10. Deduct total nonadmitted amounts.....	.0	.0
11. Statement value at end of current period (Line 9 minus Line 10).....	174,581,789	160,043,962

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	.0	.0
6. Total gain (loss) on disposals.....	.0	.0
7. Deduct amounts received on disposals.....	.0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	.0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Total valuation allowance.....	.0	.0
13. Subtotal (Line 11 plus Line 12).....	.0	.0
14. Deduct total nonadmitted amounts.....	.0	.0
15. Statement value at end of current period (Line 13 minus Line 14).....	.0	.0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	104,439,443	97,181,530
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	11,593,257	15,501,989
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	(4,469,582)	3,917,955
6. Total gain (loss) on disposals.....	343,536	586,923
7. Deduct amounts received on disposals.....	8,901,539	12,748,954
8. Deduct amortization of premium and depreciation.....	.0	.0
9. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	301,528	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	102,703,587	104,439,443
12. Deduct total nonadmitted amounts.....	10,872,505	10,543,374
13. Statement value at end of current period (Line 11 minus Line 12).....	91,831,082	93,896,069

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	2,796,618,508	2,571,700,878
2. Cost of bonds and stocks acquired.....	27,271,964	48,994,320
3. Accrual of discount.....	140,840	114,384
4. Unrealized valuation increase (decrease).....	(26,086,060)	234,406,228
5. Total gain (loss) on disposals.....	11,179,730	9,305,675
6. Deduct consideration for bonds and stocks disposed of.....	74,909,942	62,575,445
7. Deduct amortization of premium.....	3,920,327	5,164,501
8. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
9. Deduct current year's other-than-temporary impairment recognized.....	625,273	163,030
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	.0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	2,729,669,440	2,796,618,508
12. Deduct total nonadmitted amounts.....	27,191	27,191
13. Statement value at end of current period (Line 11 minus Line 12).....	2,729,642,249	2,796,591,317

### SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	394,671,322	12,243,289	20,873,621	(4,779,874)	407,791,796	394,671,322	381,261,116	422,258,492
2. NAIC 2 (a).....	32,267,744	0	0	3,498,071	30,025,635	32,267,744	35,765,815	22,676,051
3. NAIC 3 (a).....	0	0	0	0	0	0	0	0
4. NAIC 4 (a).....	0	0	0	0	0	0	0	0
5. NAIC 5 (a).....	0	0	0	0	0	0	0	0
6. NAIC 6 (a).....	0	0	0	0	0	0	0	0
7. Total Bonds.....	426,939,066	12,243,289	20,873,621	(1,281,803)	437,817,431	426,939,066	417,026,931	444,934,543
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0	0	0	0	0	0	0	0
9. NAIC 2.....	0	0	0	0	0	0	0	0
10. NAIC 3.....	0	0	0	0	0	0	0	0
11. NAIC 4.....	0	0	0	0	0	0	0	0
12. NAIC 5.....	0	0	0	0	0	0	0	0
13. NAIC 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	426,939,066	12,243,289	20,873,621	(1,281,803)	437,817,431	426,939,066	417,026,931	444,934,543

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

## Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,800,978	31,283,705
2. Cost of cash equivalents acquired.....	36,027,371	20,856,279
3. Accrual of discount.....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	14,956,386	50,339,006
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	22,871,963	1,800,978
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	22,871,963	1,800,978

### SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
4 INN LOT & BUILDING.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....556,808
5 GOLF CRS & BUILDING.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....709,812
32 HOME OFFICE BUILDING.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....5,855,808
36 WESTFIELD BANK.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....81,456
30 DWELLING & LAND.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....15,190
259 DWELLING & LAND.....	WESTFIELD CENTER.....	OH..	09/30/2020....	VARIOUS.....	.....0	.....0	.....0	.....5,600
723 DWELLING & LAND.....	NORTON.....	OH..	08/31/2020....	SPICER.....	.....181,173	.....0	.....166,679	.....0
0199999. Totals.....					.....181,173	.....0	.....166,679	.....7,224,674
0399999. Totals.....					.....181,173	.....0	.....166,679	.....7,224,674

QE01

### SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State					9 Current Year's Depreciation	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
4 INN LOT & BUILDING.....	WESTFIELD CENTER.....	OH..		VARIOUS.....	.....1,021,705	.....0	.....10,752	.....0	.....0	.....(10,752)	.....0	.....208,592	.....0	.....0	.....(208,592)	.....(208,592)	.....0	.....0
5 GOLF CRS & BUILDING.....	WESTFIELD CENTER.....	OH..	07/21/2020	VARIOUS.....	.....35,307	.....0	.....465	.....0	.....0	.....(465)	.....0	.....13,823	.....83,365	.....0	.....69,542	.....69,542	.....0	.....0
720 DWELLING & LAND.....	HARTFORD.....	CT..	09/02/2020	VARIOUS.....	.....448,366	.....0	.....0	.....0	.....0	.....0	.....0	.....327,979	.....0	.....(84,518)	.....(84,518)	.....0	.....0	
0199999. Totals.....					.....1,505,378	.....0	.....11,217	.....0	.....0	.....(11,217)	.....0	.....222,415	.....411,344	.....0	.....(223,568)	.....(223,568)	.....0	.....0
0399999. Totals.....					.....1,505,378	.....0	.....11,217	.....0	.....0	.....(11,217)	.....0	.....222,415	.....411,344	.....0	.....(223,568)	.....(223,568)	.....0	.....0

**SCHEDULE B - PART 2**

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	Location		4	5	6	7	8	9
Loan Number	2	3	Loan Type	Date Acquired	Rate of Interest	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Value of Land and Buildings
	City	State						

**NONE**

QE02

**SCHEDULE B - PART 3**

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment					14	15	16	17	18	
Loan Number	2	3	Loan Type	Date Acquired	Disposal Date	Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8	9	10	11	12	13	Book Value / Recorded Investment Excluding Accrued Interest on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
	City	State					Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8 + 9 - 10 + 11)	Total Foreign Exchange Change in Book Value					

**NONE**

### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated</b>												
68288# 10 6	1848 VENTURES LLC UNIT MEMBERSHIP INT.....		OH..	Contribution.....		02/05/2018...	.....0	.....0	2,750,000	.....0	.....0	0.000
2699999.	Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Affiliated.....							.....0	2,750,000	.....0	.....0	XXX.....
<b>Any Other Class of Asset - Affiliated</b>												
000000 00 0	OHIO FARMERS GRANTOR'S TRUST.....		OH..	Direct.....		12/20/2004...	.....0	.....0	929,507	.....0	.....0	0.000
4799999.	Total - Any Other Class of Asset - Affiliated.....							.....0	929,507	.....0	.....0	XXX.....
4999999.	Subtotal - Affiliated.....							.....0	3,679,507	.....0	.....0	XXX.....
5099999.	Totals.....							.....0	3,679,507	.....0	.....0	XXX.....

QE03

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
000000 00 0	OHIO FARMERS GRANTOR'S TRUST.....		OH..	Direct.....	12/20/2004	09/15/2020	1,818,427	39,295	.....0	.....0	.....0	39,295	.....0	1,857,722	1,847,999	.....0	(9,723)	(9,723)	12,538
4799999.	Total - Any Other Class of Asset - Affiliated.....						1,818,427	39,295	.....0	.....0	.....0	39,295	.....0	1,857,722	1,847,999	.....0	(9,723)	(9,723)	12,538
4999999.	Subtotal - Affiliated.....						1,818,427	39,295	.....0	.....0	.....0	39,295	.....0	1,857,722	1,847,999	.....0	(9,723)	(9,723)	12,538
5099999.	Totals.....						1,818,427	39,295	.....0	.....0	.....0	39,295	.....0	1,857,722	1,847,999	.....0	(9,723)	(9,723)	12,538

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. States, Territories and Possessions</b>									
13063D WA 2	CALIFORNIA ST VAR PURP GO 3.000% 11/01/50.....		09/04/2020.....	Wells Fargo.....		5,347,700	5,000,000	0	1FE.....
13063D XJ 2	CALIFORNIA ST TAXABLE BAB GO PREREFUND 7.700% 11/01/30.....		09/14/2020.....	Tax Free Exchange.....		2,509,872	2,495,000	70,976	1FE.....
13063D XP 8	CALIFORNIA ST TAXABLE BAB GO UNREFUND 7.700% 11/01/30.....		09/14/2020.....	Tax Free Exchange.....		5,030	5,000	142	1FE.....
1799999	Total - Bonds - U.S. States, Territories & Possessions.....					7,862,602	7,500,000	71,118	XXX.....
<b>Bonds - Industrial and Miscellaneous</b>									
110122 CR 7	BRISTOL MYERS SQUIBB CO 4.250% 10/26/49.....		07/17/2020.....	Tax Free Exchange.....		4,380,687	4,000,000	38,250	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					4,380,687	4,000,000	38,250	XXX.....
8399997	Total - Bonds - Part 3.....					12,243,289	11,500,000	109,368	XXX.....
8399999	Total - Bonds.....					12,243,289	11,500,000	109,368	XXX.....
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>									
037833 10 0	APPLE INC.....		08/31/2020.....	Stock Split.....		48,000,000	0	0	XXX.....
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded.....					0	0	0	XXX.....
9799997	Total - Common Stocks - Part 3.....					0	0	0	XXX.....
9799999	Total - Common Stocks.....					0	0	0	XXX.....
9899999	Total - Preferred and Common Stocks.....					0	0	0	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					12,243,289	0	109,368	XXX.....

QE04

## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>																					
36202D	RW 3 GNMA GTD PASS THRU POOL 003201 6.500%		09/01/2020	Paydown		2,840	2,840	2,846	2,841	0	(1)	0	(1)	0	2,840	0	0	0	116	02/20/2032	1
36202E	KM 0 GNMA GTD PASS THRU POOL 003900 6.500%		09/01/2020	Paydown		13,849	13,849	14,223	14,144	0	(296)	0	(296)	0	13,849	0	0	0	570	09/20/2036	1
36202E	XU 8 GNMA GTD PASS THRU POOL 004291 6.000%		09/01/2020	Paydown		26,442	26,442	28,000	27,790	0	(1,348)	0	(1,348)	0	26,442	0	0	0	1,029	11/20/2038	1
36241K	TL 2 GNMA GTD PASS THRU POOL 782355 6.000%		09/01/2020	Paydown		15,112	15,112	16,007	15,873	0	(761)	0	(761)	0	15,112	0	0	0	600	06/15/2038	1
38378G	2Y 3 GNMA 13 8 B 3.000%		09/01/2020	Paydown		552,947	552,947	556,504	556,264	0	(3,317)	0	(3,317)	0	552,947	0	0	0	10,689	01/20/2043	1
0599999	Total - Bonds - U.S. Government					611,190	611,190	617,580	616,912	0	(5,723)	0	(5,723)	0	611,190	0	0	0	13,004	XXX	XXX
<b>Bonds - U.S. States, Territories and Possessions</b>																					
13063B	JB 9 CALIFORNIA ST TAXABLE BAB GO 7.700%		09/14/2020	Tax Free Exchange		2,514,902	2,500,000	3,069,150	2,595,198	0	(80,297)	0	(80,297)	0	2,514,902	0	0	0	167,368	11/01/2030	1FE
1799999	Total - Bonds - U.S. States, Territories & Possessions					2,514,902	2,500,000	3,069,150	2,595,198	0	(80,297)	0	(80,297)	0	2,514,902	0	0	0	167,368	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128K9	6W 9 FHLMC 30 YR GOLD PC GRP POOL A48985 7.000%		09/01/2020	Paydown		1,940	1,940	1,992	1,988	0	(48)	0	(48)	0	1,940	0	0	0	91	05/01/2036	1
3128L2	DB 1 FHLMC 30 YR GOLD PC GRP POOL A69998 6.500%		09/01/2020	Paydown		1,638	1,638	1,779	1,751	0	(113)	0	(113)	0	1,638	0	0	0	71	12/01/2037	1
3128LX	QC 7 FHLMC 30 YR GOLD PC GRP POOL G02251 6.000%		09/01/2020	Paydown		8,559	8,559	9,092	9,008	0	(449)	0	(449)	0	8,559	0	0	0	345	08/01/2036	1
3128M4	AX 1 FHLMC 30 YR GOLD PC GRP POOL G02422 6.000%		09/01/2020	Paydown		2,848	2,848	3,034	3,005	0	(158)	0	(158)	0	2,848	0	0	0	113	12/01/2036	1
3128M4	BB 8 FHLMC 30 YR GOLD PC GRP POOL G02434 6.500%		09/01/2020	Paydown		3,319	3,319	3,394	3,380	0	(61)	0	(61)	0	3,319	0	0	0	143	10/01/2036	1
3128M5	KM 1 FHLMC 30 YR GOLD PC GRP POOL G03600 7.000%		09/01/2020	Paydown		4,563	4,563	4,699	4,679	0	(116)	0	(116)	0	4,563	0	0	0	214	11/01/2037	1
3128MJ	2Z 2 FHLMC 30 YR GOLD PC GRP POOL G08791 3.000%		09/01/2020	Paydown		376,152	376,152	364,397	364,566	0	11,586	0	11,586	0	376,152	0	0	0	6,416	12/01/2047	1
3128MJ	4V 9 FHLMC 30 YR GOLD PC GRP POOL G08835 3.500%		09/01/2020	Paydown		1,183,031	1,183,031	1,167,134	1,167,055	0	15,976	0	15,976	0	1,183,031	0	0	0	20,352	09/01/2048	1
3128MJ	5Z 9 FHLMC 30 YR GOLD PC GRP POOL G08863 4.500%		09/01/2020	Paydown		239,054	239,054	249,064	248,927	0	(9,873)	0	(9,873)	0	239,054	0	0	0	7,061	02/01/2049	1
3128MJ	U3 2 FHLMC 30 YR GOLD PC GRP POOL G08601 4.000%		09/01/2020	Paydown		190,443	190,443	202,825	202,402	0	(11,959)	0	(11,959)	0	190,443	0	0	0	5,077	08/01/2044	1
3128MJ	US 7 FHLMC 30 YR GOLD PC GRP POOL G08592 4.000%		09/01/2020	Paydown		62,140	62,140	65,703	65,575	0	(3,435)	0	(3,435)	0	62,140	0	0	0	1,647	06/01/2044	1
3128MJ	V2 3 FHLMC 30 YR GOLD PC GRP POOL G08632 3.500%		09/01/2020	Paydown		111,586	111,586	117,148	116,957	0	(5,371)	0	(5,371)	0	111,586	0	0	0	2,594	03/01/2045	1
3128MJ	V7 2 FHLMC 30 YR GOLD PC GRP POOL G08637 4.000%		09/01/2020	Paydown		148,028	148,028	157,280	156,992	0	(8,964)	0	(8,964)	0	148,028	0	0	0	3,894	04/01/2045	1
3128MJ	W7 1 FHLMC 30 YR GOLD PC GRP POOL G08669 4.000%		09/01/2020	Paydown		236,191	236,191	249,846	249,463	0	(13,272)	0	(13,272)	0	236,191	0	0	0	6,303	09/01/2045	1
3128MJ	WC 0 FHLMC 30 YR GOLD PC GRP POOL G08642 4.000%		09/01/2020	Paydown		164,497	164,497	175,472	175,165	0	(10,668)	0	(10,668)	0	164,497	0	0	0	4,374	05/01/2045	1
3128MJ	WW 6 FHLMC 30 YR GOLD PC GRP POOL G08660 4.000%		09/01/2020	Paydown		36,126	36,126	38,282	38,231	0	(2,105)	0	(2,105)	0	36,126	0	0	0	952	08/01/2045	1
3128MJ	X3 9 FHLMC 30 YR GOLD PC GRP POOL G08697 3.000%		09/01/2020	Paydown		234,786	234,786	240,876	240,649	0	(5,862)	0	(5,862)	0	234,786	0	0	0	4,684	03/01/2046	1
3128MJ	X7 0 FHLMC 30 YR GOLD PC GRP POOL G08701 3.000%		09/01/2020	Paydown		82,949	82,949	84,608	84,559	0	(1,609)	0	(1,609)	0	82,949	0	0	0	1,665	04/01/2046	1
3128MJ	XA 3 FHLMC 30 YR GOLD PC GRP POOL G08672 4.000%		09/01/2020	Paydown		235,467	235,467	248,970	248,597	0	(13,129)	0	(13,129)	0	235,467	0	0	0	6,271	10/01/2045	1
3128MJ	XK 1 FHLMC 30 YR GOLD PC GRP POOL G08681 3.500%		09/01/2020	Paydown		195,405	195,405	204,992	204,744	0	(9,339)	0	(9,339)	0	195,405	0	0	0	4,551	12/01/2045	1
3128MJ	YB 0 FHLMC 30 YR GOLD PC GRP POOL G08705 3.000%		09/01/2020	Paydown		82,081	82,081	84,004	83,950	0	(1,870)	0	(1,870)	0	82,081	0	0	0	1,646	05/01/2046	1
3128MJ	YG 9 FHLMC 30 YR GOLD PC GRP POOL G08710 3.000%		09/01/2020	Paydown		164,040	164,040	168,859	168,685	0	(4,645)	0	(4,645)	0	164,040	0	0	0	3,290	06/01/2046	1
3128MJ	ZF 0 FHLMC 30 YR GOLD PC GRP POOL G08741 3.000%		09/01/2020	Paydown		894,892	894,892	897,484	897,387	0	(2,495)	0	(2,495)	0	894,892	0	0	0	18,066	01/01/2047	1
3128MJ	ZX 1 FHLMC 30 YR GOLD PC GRP POOL G08757 3.500%		09/01/2020	Paydown		294,121	294,121	303,909	303,811	0	(9,690)	0	(9,690)	0	294,121	0	0	0	4,237	04/01/2047	1
312905	DQ 2 FHLMC CMO SER 1050 HZ PAC 7.000%		08/01/2020	Paydown		1,035	1,035	1,084	1,036	0	(1)	0	(1)	0	1,035	0	0	0	45	03/15/2021	1
31292S	B7 4 FHLMC 30 YR GOLD PC GRP POOL C09062 4.000%		09/01/2020	Paydown		300,543	300,543	317,090	316,481	0	(15,938)	0	(15,938)	0	300,543	0	0	0	7,847	07/01/2044	1
3132GT	SA 6 FHLMC 30 YR GOLD PC GRP POOL Q08313 4.000%		09/01/2020	Paydown		120,241	120,241	127,831	127,405	0	(7,164)	0	(7,164)	0	120,241	0	0	0	3,259	05/01/2042	1
3132JQ	H8 6 FHLMC 30 YR GOLD PC GRP POOL Q22955 4.000%		09/01/2020	Paydown		31,106	31,106	32,851	32,782	0	(1,676)	0	(1,676)	0	31,106	0	0	0	827	11/01/2043	1
3133EK	A2 2 FEDERAL FARM CR BKS 2.950%		08/21/2020	Redemption	100.0000	6,000,000	6,000,000	6,000,000	6,000,000	0	0	0	0	0	6,000,000	0	0	0	177,000	08/21/2034	1
31371L	NG 0 FNMA PASS THRU POOL 255191 6.000%		09/01/2020	Paydown		7,013	7,013	7,116	7,089	0	(76)	0	(76)	0	7,013	0	0	0	297	05/01/2034	1
31371M	5N 3 FNMA PASS THRU POOL 256553 6.000%		09/01/2020	Paydown		17,303	17,303	18,904	18,772	0	(1,469)	0	(1,469)	0	17,303	0	0	0	693	01/01/2037	1

QE05

## SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
3137BR 7J 8	FHLMC CMO SER 4608 JV PAC 3.500%		09/01/2020	Paydown		78,939	78,939	82,146	81,410	0	(2,470)	0	(2,470)	0	78,939	0	0	0	1,842	01/15/2055	1
3138EH FB 3	FNMA PASS THRU POOL AL1061 4.000%		09/01/2020	Paydown		115,333	115,333	121,352	120,978	0	(5,645)	0	(5,645)	0	115,333	0	0	0	3,047	02/01/2041	1
3138Y1 7A 7	FNMA PASS THRU POOL AX0888 4.000%		09/01/2020	Paydown		217,545	217,545	231,447	230,320	0	(12,775)	0	(12,775)	0	217,545	0	0	0	5,923	10/01/2044	1
31405D WK 9	FNMA PASS THRU POOL 786450 6.000%		09/01/2020	Paydown		510	510	522	520	0	(10)	0	(10)	0	510	0	0	0	20	07/01/2034	1
31408E BH 4	FNMA PASS THRU POOL 848840 7.000%		09/01/2020	Paydown		2,172	2,172	2,230	2,213	0	(41)	0	(41)	0	2,172	0	0	0	101	01/01/2036	1
3140EV TJ 6	FNMA PASS THRU POOL BC1452 4.000%		09/01/2020	Paydown		171,626	171,626	181,065	180,809	0	(9,184)	0	(9,184)	0	171,626	0	0	0	4,510	07/01/2046	1
31410P V2 6	FNMA PASS THRU POOL 893533 6.500%		09/01/2020	Paydown		5,267	5,267	5,387	5,377	0	(110)	0	(110)	0	5,267	0	0	0	839	09/01/2036	1
31418B E8 5	FNMA PASS THRU POOL MA1958 4.000%		09/01/2020	Paydown		30,755	30,755	32,543	32,477	0	(1,722)	0	(1,722)	0	30,755	0	0	0	835	07/01/2044	1
31418C CW 2	FNMA PASS THRU POOL MA2784 4.000%		09/01/2020	Paydown		116,636	116,636	122,905	122,736	0	(6,100)	0	(6,100)	0	116,636	0	0	0	2,956	10/01/2046	1
31418C EH 3	FNMA PASS THRU POOL MA2835 4.000%		09/01/2020	Paydown		97,334	97,334	102,383	102,259	0	(4,926)	0	(4,926)	0	97,334	0	0	0	2,541	12/01/2046	1
31418C R7 1	FNMA PASS THRU POOL MA3209 3.000%		09/01/2020	Paydown		99,629	99,629	96,407	96,439	0	3,191	0	3,191	0	99,629	0	0	0	1,987	12/01/2047	1
662903 MT 7	N TEXAS ST MUNI WTR DIST REF & IMPT 5.250%		09/01/2020	Maturity		1,000,000	1,000,000	1,290,770	1,026,524	0	(26,524)	0	(26,524)	0	1,000,000	0	0	0	52,500	09/01/2020	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments					13,366,843	13,366,843	13,818,876	13,547,153	0	(180,309)	0	(180,309)	0	13,366,843	0	0	0	370,526	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
110122 CD 8	BRISTOL MYERS SQUIBB CO 144A 4.250%		07/17/2020	Tax Free Exchange		4,380,687	4,000,000	4,387,760	4,385,103	0	(4,416)	0	(4,416)	0	4,380,687	0	0	0	123,250	10/26/2049	1FE
3899999	Total - Bonds - Industrial and Miscellaneous					4,380,687	4,000,000	4,387,760	4,385,103	0	(4,416)	0	(4,416)	0	4,380,687	0	0	0	123,250	XXX	XXX
8399997	Total - Bonds - Part 4					20,873,622	20,478,033	21,893,366	21,144,366	0	(270,745)	0	(270,745)	0	20,873,622	0	0	0	674,148	XXX	XXX
8399999	Total - Bonds					20,873,622	20,478,033	21,893,366	21,144,366	0	(270,745)	0	(270,745)	0	20,873,622	0	0	0	674,148	XXX	XXX
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>																					
031162 10 0	AMGEN INC		09/17/2020	Evercore ISI		5,200,000	1,286,226	834,640	1,253,564	(418,924)	0	0	(418,924)	0	834,640	0	451,586	451,586	24,960	XXX	
037833 10 0	APPLE INC		09/16/2020	MKM Partners LLC		43,000,000	4,867,349	1,181,994	3,156,738	(1,974,744)	0	0	(1,974,744)	0	1,181,994	0	3,685,355	3,685,355	25,908	XXX	
464287 46 5	ISHARES MSCI EAFE IDX FD		09/25/2020	MKM Partners LLC		53,000,000	3,331,996	2,984,960	3,680,320	(695,360)	0	0	(695,360)	0	2,984,960	0	347,036	347,036	45,460	XXX	
742718 10 9	PROCTER & GAMBLE CO		09/25/2020	MKM Partners LLC		8,900,000	1,224,594	274,369	1,111,610	(837,241)	0	0	(837,241)	0	274,369	0	950,225	950,225	20,713	XXX	
911312 10 6	UNITED PARCEL SERVICE INC CL B		09/22/2020	Key Capital		12,000,000	1,942,804	1,385,807	1,404,720	(18,913)	0	0	(18,913)	0	1,385,807	0	556,997	556,997	36,360	XXX	
G5494J 10 3	LINDE PLC		09/25/2020	MKM Partners LLC		5,800,000	1,348,781	954,100	1,234,820	(280,720)	0	0	(280,720)	0	954,100	0	394,681	394,681	16,756	XXX	
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					14,001,750	7,615,870	11,841,772	11,841,772	(4,225,902)	0	0	(4,225,902)	0	7,615,870	0	6,385,880	6,385,880	170,157	XXX	XXX
9799997	Total - Common Stocks - Part 4					14,001,750	7,615,870	11,841,772	11,841,772	(4,225,902)	0	0	(4,225,902)	0	7,615,870	0	6,385,880	6,385,880	170,157	XXX	XXX
9799999	Total - Common Stocks					14,001,750	7,615,870	11,841,772	11,841,772	(4,225,902)	0	0	(4,225,902)	0	7,615,870	0	6,385,880	6,385,880	170,157	XXX	XXX
9899999	Total - Preferred and Common Stocks					14,001,750	7,615,870	11,841,772	11,841,772	(4,225,902)	0	0	(4,225,902)	0	7,615,870	0	6,385,880	6,385,880	170,157	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks					34,875,372	29,509,236	32,986,138	32,986,138	(4,225,902)	(270,745)	0	(4,496,647)	0	28,489,492	0	6,385,880	6,385,880	844,305	XXX	XXX

QE05.1

**Sch. DB - Pt. A - Sn. 1  
NONE**

**Sch. DB - Pt. B - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 2  
NONE**

**Sch. DB - Pt. E  
NONE**

**Sch. DL - Pt. 1  
NONE**

**Sch. DL - Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
BNY MELLON.....	NEW YORK, NY.....	.....0.000	.....0	.....0	.....273,750	.....285,000	.....296,250	XXX
FIFTH THIRD BANK.....	EVANSVILLE, IN.....	.....0.000	.....0	.....0	.....1	.....1	.....0	XXX
THE HUNTINGTON NATIONAL BANK.....	COLUMBUS, OH.....	.....0.050	.....10	.....0	.....49,968	.....49,912	.....49,854	XXX
JPMORGAN CHASE.....	NEW YORK, NY.....	.....0.000	.....0	.....0	.....(16,975,816)	.....(24,406,711)	.....(15,601,034)	XXX
WESTFIELD BANK FSB.....	WESTFIELD CENTER, OH.....	.....0.000	.....0	.....0	.....11,541,813	.....10,605,620	.....11,566,862	XXX
WILMINGTON TRUST BANK.....	WILMINGTON, DE.....	.....0.000	.....0	.....0	.....3,445,017	.....4,138,261	.....4,823,378	XXX
REGIONS.....	BIRMINGHAM, AL.....	.....0.000	.....0	.....0	.....3,063	.....3,063	.....3,063	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....10	.....0	.....(1,662,204)	.....(9,324,855)	.....1,138,372	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....10	.....0	.....(1,662,204)	.....(9,324,855)	.....1,138,372	XXX
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	.....9,744	.....9,744	.....9,744	XXX
0599999. Total Cash.....	XXX	XXX	.....10	.....0	.....(1,652,460)	.....(9,315,111)	.....1,148,116	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>								
61747C 58 2	MORGAN STANLEY INSTL LIQUIDITY TREAS.....		09/30/2020.....	.....0.010		22,871,963	.0	17,079
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO.....						22,871,963	.0	17,079
8899999. Total - Cash Equivalents.....						22,871,963	.0	17,079

QE14