

## AMENDED FILING EXPLANATION

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We were notified by the West Virginia Offices of the Insurance Commissioner that the right side of the PDF file submitted to the NAIC was cut off. This appears to be the result of an issue in our software, which has now been corrected. Therefore, we will resubmit all P&C companies electronically on the NAIC site so the remaining data will now be visible.



# QUARTERLY STATEMENT

As of September 30, 2020  
of the Condition and Affairs of the

## IOWA MUTUAL INSURANCE COMPANY

NAIC Group Code.....291, 291 (Current Period) (Prior Period)	NAIC Company Code..... 14338	Employer's ID Number..... 42-0333120
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... March 12, 1900	Commenced Business..... March 12, 1900	
Statutory Home Office	471 EAST BROAD STREET .. COLUMBUS .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	471 EAST BROAD STREET .. COLUMBUS .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	614-225-8211 <i>(Area Code) (Telephone Number)</i>
Mail Address	471 EAST BROAD STREET .. COLUMBUS .. OH .. US .. 43215 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	471 EAST BROAD STREET .. COLUMBUS .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	614-225-8211 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	ENCOVA.COM	
Statutory Statement Contact	AMY E KUHLMAN <i>(Name)</i> ACCOUNTING@ENCOVA.COM <i>(E-Mail Address)</i>	614-225-8285 <i>(Area Code) (Telephone Number) (Extension)</i> 614-225-8330 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. THOMAS JOSEPH OBROKTA JR.	CHIEF EXECUTIVE OFFICER	2. MARCHELLE ELAINE MOORE	SECRETARY
3. JAMES CHRISTOPHER HOWAT	TREASURER	4. GRADY BRENDAN CAMPBELL	PRESIDENT

### OTHER

GREGORY ARTHUR BURTON EXECUTIVE CHAIR

### DIRECTORS OR TRUSTEES

JEFFREY LEIGH BENINTENDI #	GREGORY ARTHUR BURTON	GRADY BRENDAN CAMPBELL	SUSAN ELIZABETH HAACK
JAMES CHRISTOPHER HOWAT #	ROBERT DAVID LAMBERT	THOMAS JOSEPH OBROKTA JR.	CHARLES DONOVAN STAPLETON
BRIGHAM LANE TUBBS	MATTHEW CARL WILCOX #		

State of..... OHIO  
County of..... FRANKLIN

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) THOMAS JOSEPH OBROKTA JR. 1. (Printed Name) CHIEF EXECUTIVE OFFICER _____ (Title)	_____ (Signature) MARCHELLE ELAINE MOORE 2. (Printed Name) SECRETARY _____ (Title)	_____ (Signature) JAMES CHRISTOPHER HOWAT 3. (Printed Name) TREASURER _____ (Title)
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Subscribed and sworn to before me  
This 18th day of November 2020

a. Is this an original filing? Yes [ ] No [ X ]  
b. If no: 1. State the amendment number 1  
2. Date filed 11/18/2020  
3. Number of pages attached All pages

## ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	32,554,616		32,554,616	31,966,188
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	22,109,196		22,109,196	22,938,219
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....	1,710,933	840,933	870,000	870,000
5. Cash (\$.....(5,351,456)), cash equivalents (\$.....736,833) and short-term investments (\$.....0).....	(4,614,623)		(4,614,623)	3,430,075
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	4,499,934		4,499,934	4,518,900
9. Receivables for securities.....	5,000		5,000	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	56,265,056	840,933	55,424,123	63,723,383
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	299,600		299,600	248,972
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	7,318,961	1,940,950	5,378,011	2,562,090
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....44,100 earned but unbilled premiums).....	4,490,739		4,490,739	4,787,491
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	13,245,287		13,245,287	3,899,693
16.2 Funds held by or deposited with reinsured companies.....	7,068,013		7,068,013	5,608,193
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	272,442		272,442	409,578
18.2 Net deferred tax asset.....	1,338,215	480,062	858,154	1,112,713
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	(28,705)	(26,983)	(1,722)	12,422
21. Furniture and equipment, including health care delivery assets (\$.....0).....	139,590	139,590	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	3,331,014		3,331,014	852,077
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	185,793	176,955	8,838	268,500
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	93,926,004	3,551,507	90,374,498	83,485,111
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	93,926,004	3,551,507	90,374,498	83,485,111

### DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Pooled general expenses receivable.....			0	258,306
2502. Equities and deposits in pools and associations.....	8,838		8,838	10,194
2503. Automobiles.....	71,859	71,859	0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	105,096	105,096	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	185,793	176,955	8,838	268,500

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....5,886,314).....	27,975,069	26,820,661
2. Reinsurance payable on paid losses and loss adjustment expenses.....	3,246,406	999,832
3. Loss adjustment expenses.....	4,911,077	4,677,984
4. Commissions payable, contingent commissions and other similar charges.....	694,952	692,079
5. Other expenses (excluding taxes, licenses and fees).....	1,520,212	1,323,021
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	102,480	222,046
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	(50,000)	
7.2 Net deferred tax liability.....		
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....8,972,650 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	8,594,825	8,467,095
10. Advance premium.....	254,344	181,944
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....	7,090	29,758
12. Ceded reinsurance premiums payable (net of ceding commissions).....	5,904,318	1,743,746
13. Funds held by company under reinsurance treaties.....	5,458,819	5,104,505
14. Amounts withheld or retained by company for account of others.....	124,164	137,375
15. Remittances and items not allocated.....	343,608	392,034
16. Provision for reinsurance (including \$.....0 certified).....	52,455	52,455
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....		709,034
20. Derivatives.....		
21. Payable for securities.....	217,505	
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	1,075,189	418,171
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	60,432,513	51,971,738
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	60,432,513	51,971,738
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....		
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....	3,000,000	3,000,000
34. Gross paid in and contributed surplus.....		
35. Unassigned funds (surplus).....	26,941,985	28,513,374
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	29,941,985	31,513,374
38. Totals (Page 2, Line 28, Col. 3).....	90,374,498	83,485,111

**DETAILS OF WRITE-INS**

2501. Pooled general expenses payable.....		272,610
2502. Escheatable funds.....	156,471	143,727
2503. Reinsurance assumed overhead payable.....	5,133	1,228
2598. Summary of remaining write-ins for Line 25 from overflow page.....	913,585	605
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,075,189	418,171
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....12,925,241).....	13,615,208	27,825,952	32,739,174
1.2 Assumed..... (written \$.....14,197,420).....	14,159,523	13,930,223	18,606,628
1.3 Ceded..... (written \$.....13,300,444).....	14,080,166	28,106,004	33,050,115
1.4 Net..... (written \$.....13,822,217).....	13,694,565	13,650,171	18,295,686
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....8,676,337):			
2.1 Direct.....	23,885,235	15,428,789	17,142,863
2.2 Assumed.....	7,573,639	7,968,329	10,028,661
2.3 Ceded.....	23,767,555	15,457,552	17,293,768
2.4 Net.....	7,691,319	7,939,566	9,877,755
3. Loss adjustment expenses incurred.....	2,111,963	2,002,643	2,753,541
4. Other underwriting expenses incurred.....	4,278,181	4,454,423	6,038,089
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	14,081,463	14,396,633	18,669,386
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	(386,898)	(746,462)	(373,700)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	761,000	1,578,211	2,007,652
10. Net realized capital gains (losses) less capital gains tax of \$.....(5,171).....	(1,134,148)	(970)	92,921
11. Net investment gain (loss) (Lines 9 + 10).....	(373,148)	1,577,241	2,100,573
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....44,550 amount charged off \$.....0).....	44,550	(6,718)	(34,012)
13. Finance and service charges not included in premiums.....	35,215	43,648	63,961
14. Aggregate write-ins for miscellaneous income.....	28,060	(6,176)	(5,755)
15. Total other income (Lines 12 through 14).....	107,825	30,754	24,194
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	(652,221)	861,534	1,751,067
17. Dividends to policyholders.....	14,325	35,903	47,656
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	(666,546)	825,631	1,703,411
19. Federal and foreign income taxes incurred.....	(279,603)	587,407	314,556
20. Net income (Line 18 minus Line 19) (to Line 22).....	(386,943)	238,224	1,388,855
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	31,513,373	27,898,761	27,898,761
22. Net income (from Line 20).....	(386,943)	238,224	1,388,855
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....640,153.....	618,667	1,493,199	2,394,489
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	(414,651)	109,595	296,704
27. Change in nonadmitted assets.....	(1,388,460)	525,392	(424,185)
28. Change in provision for reinsurance.....			(41,251)
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	(1,571,387)	2,366,410	3,614,612
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	29,941,985	30,265,172	31,513,373

**DETAILS OF WRITE-INS**

0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous income or expense.....	28,060	74	75
1402. Gain/(loss) on equipment disposals.....		(6,250)	(5,830)
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	28,060	(6,176)	(5,755)
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	12,636,516	22,477,987	15,982,216
2. Net investment income.....	935,114	1,770,988	2,308,518
3. Miscellaneous income.....	107,825	30,754	24,194
4. Total (Lines 1 through 3).....	13,679,455	24,279,729	18,314,928
5. Benefit and loss related payments.....	15,095,749	6,952,315	10,036,154
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	6,076,552	7,015,688	8,236,246
8. Dividends paid to policyholders.....	36,993	63,535	96,419
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	(371,910)	400,000	391,247
10. Total (Lines 5 through 9).....	20,837,384	14,431,538	18,760,066
11. Net cash from operations (Line 4 minus Line 10).....	(7,157,929)	9,848,190	(445,138)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	2,926,611	6,538,690	5,649,091
12.2 Stocks.....	107,533	958,970	860,698
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	217,505	1,170	1,199
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	3,251,649	7,498,830	6,510,989
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	3,628,443	7,179,585	6,555,769
13.2 Stocks.....	305,116	1,119,563	1,179,543
13.3 Mortgage loans.....			
13.4 Real estate.....			4,250
13.5 Other invested assets.....	135,341	186,172	282,645
13.6 Miscellaneous applications.....	5,000	302,747	
13.7 Total investments acquired (Lines 13.1 to 13.6).....	4,073,899	8,788,066	8,022,207
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(822,250)	(1,289,236)	(1,511,218)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(64,519)	(12,969,391)	(2,113,155)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(64,519)	(12,969,391)	(2,113,155)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(8,044,699)	(4,410,436)	(4,069,511)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,430,075	7,499,586	7,499,586
19.2 End of period (Line 18 plus Line 19.1).....	(4,614,623)	3,089,150	3,430,075

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices

This statement has been completed in accordance with the accounting practices and procedures prescribed or permitted by the National Association of Insurance Commissioners (NAIC) and the State of Ohio. A reconciliation of the company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below.

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
<b>NET INCOME</b>					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ (386,943)	\$ 1,388,856
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ (386,943)	\$ 1,388,856
<b>SURPLUS</b>					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 29,941,985	\$ 31,513,374
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 29,941,985	\$ 31,513,374

The preparation of financial statements in conformity with Statutory Accounting Principles as described in the NAIC Annual Statement Instructions and the Accounting Policies and Procedures Manual requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

## C. Accounting Policy

## (2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method

Bonds not back by other loans are stated at amortized cost using the scientific amortization method.

## (6) Basis for Loan-Backed Securities and Adjustment Methodology

Loan-backed securities are stated in accordance with the guidance provided in SSAP No. 43R: Loan-backed and Structured Securities. The retrospective adjustment method is used to value these securities

## D. Going Concern

Management has concluded that there is no substantial doubt about the Company's ability to continue as a going concern.

**Note 2 – Accounting Changes and Corrections of Errors**

No significant changes

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments**

## D. Loan-Backed Securities

## (1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from market data vendors or broker dealer values.

## (2) Securities with Recognized Other-Than-Temporary Impairment

Not Applicable

## (3) Recognized OTTI securities

Not Applicable

## (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$	226
	2. 12 Months or Longer	\$	
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$	127,939
	2. 12 Months or Longer	\$	

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**NOTES TO FINANCIAL STATEMENTS**


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## (5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

The Company performed an analysis of loan-backed securities and determined that exposure to credit risk was not a factor and did not warrant any other-than-temporary impairments.

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

## (3) Collateral Received

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Repurchase Transaction – Cash Taker – Overview of Secured Borrowing Transactions

Not Applicable

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing  
Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Taker – Overview of Sale Transactions

Not Applicable

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale  
Repurchase Transaction – Cash Provider – Overview of Sale Transactions

Not Applicable

## M. Working Capital Finance Investments

## (2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

Not Applicable

## (3) Any Events of Default or Working Capital Finance Investments

Not Applicable

## N. Offsetting and Netting of Assets and Liabilities

Not Applicable

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

## A. Derivatives Under SSAP No. 86 – Derivatives

Not Applicable

## B. Derivatives under SSAP No. 108 – Derivatives Hedging Variable Annuity Guarantees

## (2) Recognition of Gains/Losses and Deferred Assets and Liabilities

## a. Scheduled Amortization

Not Applicable

## b. Total Deferred Balance

Not Applicable

## c. Reconciliation of Amortization

Not Applicable

**Note 9 – Income Taxes**

No significant changes

**NOTES TO FINANCIAL STATEMENTS****Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

**Note 11 – Debt**

B. FHLB (Federal Home Loan Bank) Agreements

Not Applicable

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

No significant changes

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

**Note 14 – Liabilities, Contingencies and Assessments**

No significant changes

**Note 15 – Leases**

No significant changes

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

B. Transfer and Servicing of Financial Assets

Not Applicable

C. Wash Sales

Not Applicable

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant changes

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes

**Note 20 – Fair Value Measurements**

A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

SSAP No. 100, Fair Value Measurements, clarifies the definition of estimated fair value and establishes a hierarchy for measuring estimated fair value. The hierarchy established by this standard consists of three levels to indicate the quality of the estimated fair value measurements as described below:

Level 1 - Quoted Prices in Active Markets for Identical Assets and Liabilities: Unadjusted quoted prices for identical assets or liabilities in active markets that are readily and regularly obtainable.

Level 2 - Significant Other Observable Inputs: Quoted prices in markets that are not active or inputs that are observable either directly or indirectly. These inputs can include quoted prices for similar but not identical assets or liabilities other than quoted prices in Level 1.

Level 3 - Significant Unobservable Inputs: Unobservable inputs that are supported by little or no market activity and are significant to the determination of estimated fair value of assets or liabilities. Unobservable inputs reflect the entity's assumptions about the assumptions that market participants would use in pricing the asset or liability.

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
<b>Assets at Fair Value</b>					
Common stocks, unaffiliated	\$ 13,819,803	\$	\$ 76,021	\$	\$ 13,895,825
<b>Total</b>	<b>\$ 13,819,803</b>	<b>\$</b>	<b>\$ 76,021</b>	<b>\$</b>	<b>\$ 13,895,825</b>
<b>Liabilities at Fair Value</b>					
	\$	\$	\$	\$	\$
<b>Total</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>

**NOTES TO FINANCIAL STATEMENTS**

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3. The Company had no transfers into or out of any of the levels during the period reported.

## (2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Description	Beginning Balance	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance as of Current Period
<b>a. Assets</b>										
Common stocks, unaffiliated	\$ 76,021	\$	\$	\$	\$	\$	\$	\$	\$	\$ 76,021
<b>Total</b>	<b>\$ 76,021</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$ 76,021</b>
<b>b. Liabilities</b>										
	\$	\$	\$	\$	\$	\$	\$	\$	\$	\$
<b>Total</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>	<b>\$</b>

## (3) Policies when Transfers Between Levels are Recognized

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3. The Company had no transfers into or out of any of the levels during the period reported.

## (4) Description of Valuation Techniques and Inputs Used in Fair Value Measurement

Not Applicable

## (5) Fair Value Disclosures for Derivative Assets and Liabilities

Not Applicable

## B. Fair Value Reporting under SSAP 100 and Other Accounting Pronouncements

Not Applicable

## C. Fair Value Level

The following tables reflect the estimated fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries, joint ventures and ventures). The estimated fair values are categorized into the three-level fair value hierarchy as described above.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 35,220,231	\$ 32,554,616	\$	\$ 35,220,231	\$	\$	\$
Common stocks, unaffiliated	\$ 13,895,825	\$ 13,895,825	\$ 13,819,803	\$	\$ 76,021	\$	\$

## Bonds and Common Stocks

When available, the estimated fair values for bonds, including loan-backed and structured securities, and unaffiliated common stocks are based on quoted prices in active markets that are readily and regularly obtainable. Generally, these investments are classified in Level 1. Generally, these are the most liquid of the Company's securities holdings and valuation of these securities does not involve management's judgment.

When quoted prices in active markets are not available, the determination of estimated fair value is based on market standard valuation methodologies, giving priority to observable inputs. The significant inputs to the market standard valuation methodologies for certain types of securities with reasonable levels of price transparency are inputs that are observable in the market or can be derived principally from or corroborated by observable market data. Generally, these investments are classified as Level 2.

When observable inputs are not available, the market standard valuation methodologies for determining the estimated fair value of certain types of securities that trade infrequently, and therefore have little or no price transparency, rely on inputs that are significant to the estimated fair value that are not observable in the market or cannot be derived principally from or corroborated by observable market data. These unobservable inputs can be based in large part on management's judgment or estimation, and cannot be supported by reference or market activity. Even though these inputs are unobservable, management believes they are consistent with what other market participants would use when pricing such securities and are considered appropriate given the circumstances. Generally, these investments are classified as Level 3.

## D. Not Practicable to Estimate Fair Value

Not Applicable

## E. NAV Practical Expedient Investments

Not Applicable

**Note 21 – Other Items**

## A. Unusual or Infrequent Items

Not Applicable

Due to decreased activity as a result of the COVID-19 pandemic, the Company elected to provide credits to personal auto policyholder accounts. The amounts credited during Q2 2020 are recorded in other underwriting expenses incurred, consistent with the economics of the transaction and the permitted practice the Company is pursuing with the Ohio Department of Insurance. After pooling, the impact to the Company is an incurred underwriting expense of \$48,264.

**Note 22 – Events Subsequent**

**NOTES TO FINANCIAL STATEMENTS**

Subsequent events have been considered through November 14, 2020 for these statutory financial statements which are to be issued on November 15, 2020. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

## F. Risk Sharing Provisions of the Affordable Care Act

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions? Yes [ ] No [X]
- (2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year: to date  
Not applicable
- (3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:  
Not applicable
- (4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year  
Not applicable
- (5) ACA Risk Corridors Receivable as of Reporting Date  
Not applicable

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

## A. Change in Incurred Losses and Loss Adjustment Expenses

Reserves for the Company's incurred losses and loss adjustment expenses (after intercompany pooling) attributable to insured events of prior years reflect favorable development totaling \$1,660,570. The development can be attributed primarily to the re-estimation of unpaid losses and loss adjustment expenses in the workers' compensation, homeowners and farmowners, commercial auto, private passenger auto and auto physical damage. The unfavorable development in these lines was slightly offset by losses in the commercial multi peril, other liability and products liability lines of business. The changes reflected in these lines were generally the result of recent development trends. There were not any premium adjustments made as a result of this loss and loss adjustment expense development.

## B. Information about Significant Changes in Methodologies and Assumptions

There have been no significant changes in methodologies and assumptions used in calculating the liability for unpaid loss and loss adjustment expense.

**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – High Deductibles**

No significant changes

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

No significant changes

**Note 33 – Asbestos/Environmental Reserves**

No significant changes

**Note 34 – Subscriber Savings Accounts**

No significant changes

**Note 35 – Multiple Peril Crop Insurance**

No significant changes

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## NOTES TO FINANCIAL STATEMENTS

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**Note 36 – Financial Guaranty Insurance**

B. Schedule of Insured Financial Obligations at the End of the Period:

Not Applicable

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [X]  
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/03/2020

6.4 By what department or departments?  
OHIO DEPARTMENT OF INSURANCE

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	8,203,677	8,213,371
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 8,203,677	\$ 8,213,371
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon	500 Grant Street One Mellon Center, Suite #1035, Pittsburgh, PA 15258

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Chickasaw Capital Management, LLC	U
New England Asset Management, Inc.	U
Northern Trust Investments, Inc.	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No
- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
127398	Chickasaw Capital Management, LLC	254900X6FRILTWA2B610	Sec	NO
109846	New England Asset Management, Inc.	KUR85E5PS4GQFZTFC130	Sec	NO
105900	Northern Trust Investments, Inc.	BEL4B8X7EHJU845Y2N39	Sec	NO

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes [ X ] No [ ]
- 18.2 If no, list exceptions:
19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- The security was purchased prior to January 1, 2019.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - The fund only or predominantly holds bonds in its portfolio.
  - The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

## GENERAL INTERROGATORIES (continued)

## PART 2 – PROPERTY &amp; CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.

- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

- 3.2 If yes, give full and complete information thereto:

- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent 0.000%

5.2 A&H cost containment percent 0.000%

5.3 A&H expense percent excluding cost containment expenses 0.000%

- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No [ ]

- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [ ]

**IOWA MUTUAL INSURANCE COMPANY**

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
<b>U.S. Insurers</b>						
11551.....	35-2293075.....	Endurance Assurance Corporation.....	DE.....	Authorized.....	.....	.....
<b>All Other Insurers</b>						
00000.....	AA-3191352.....	Ascot Reinsurance Company Ltd.....	BMU.....	Unauthorized....	.....	.....
00000.....	AA-3191413.....	Brit Reinsurance (Bermuda) Ltd.....	BMU.....	Unauthorized....	.....	.....
00000.....	AA-1120191.....	Convex Insurance UK Ltd.....	GBR.....	Unauthorized....	.....	.....
00000.....	AA-1340028.....	Devk Ruckversicherungs und Beteiligungs AG.....	DEU.....	Unauthorized....	.....	.....
00000.....	AA-1127861.....	Lloyd's Syndicate Number 1861.....	GBR.....	Authorized.....	.....	.....

**IOWA MUTUAL INSURANCE COMPANY**

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	N						
2. Alaska.....AK	N						
3. Arizona.....AZ	N						
4. Arkansas.....AR	N						
5. California.....CA	N						
6. Colorado.....CO	L						
7. Connecticut.....CT	N						
8. Delaware.....DE	N						
9. District of Columbia.....DC	N						
10. Florida.....FL	N						
11. Georgia.....GA	N						
12. Hawaii.....HI	N						
13. Idaho.....ID	N						
14. Illinois.....IL	L	984,942	1,269,377	3,663,525	4,857,648	14,999,236	17,242,264
15. Indiana.....IN	N						
16. Iowa.....IA	L	11,921,087	13,791,257	22,184,566	9,307,665	15,677,892	17,676,923
17. Kansas.....KS	L						
18. Kentucky.....KY	N						
19. Louisiana.....LA	N						
20. Maine.....ME	N						
21. Maryland.....MD	N						
22. Massachusetts.....MA	N						
23. Michigan.....MI	N						
24. Minnesota.....MN	L			421	162,461	64,201	49,936
25. Mississippi.....MS	N						
26. Missouri.....MO	L						
27. Montana.....MT	L						
28. Nebraska.....NE	L	19,212	(315,845)	1,690,069	5,248,495	10,230,218	13,366,970
29. Nevada.....NV	N						
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	N						
32. New Mexico.....NM	N						
33. New York.....NY	N						
34. North Carolina.....NC	N						
35. North Dakota.....ND	L						
36. Ohio.....OH	L						
37. Oklahoma.....OK	N						
38. Oregon.....OR	N						
39. Pennsylvania.....PA	N						
40. Rhode Island.....RI	N						
41. South Carolina.....SC	N						
42. South Dakota.....SD	L			107,882	133,424	3,956,063	4,030,455
43. Tennessee.....TN	N						
44. Texas.....TX	N						
45. Utah.....UT	N						
46. Vermont.....VT	N						
47. Virginia.....VA	N						
48. Washington.....WA	N						
49. West Virginia.....WV	N						
50. Wisconsin.....WI	L						
51. Wyoming.....WY	N						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. US Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....	XXX	12,925,241	14,744,789	27,646,463	19,709,693	44,927,610	52,366,548

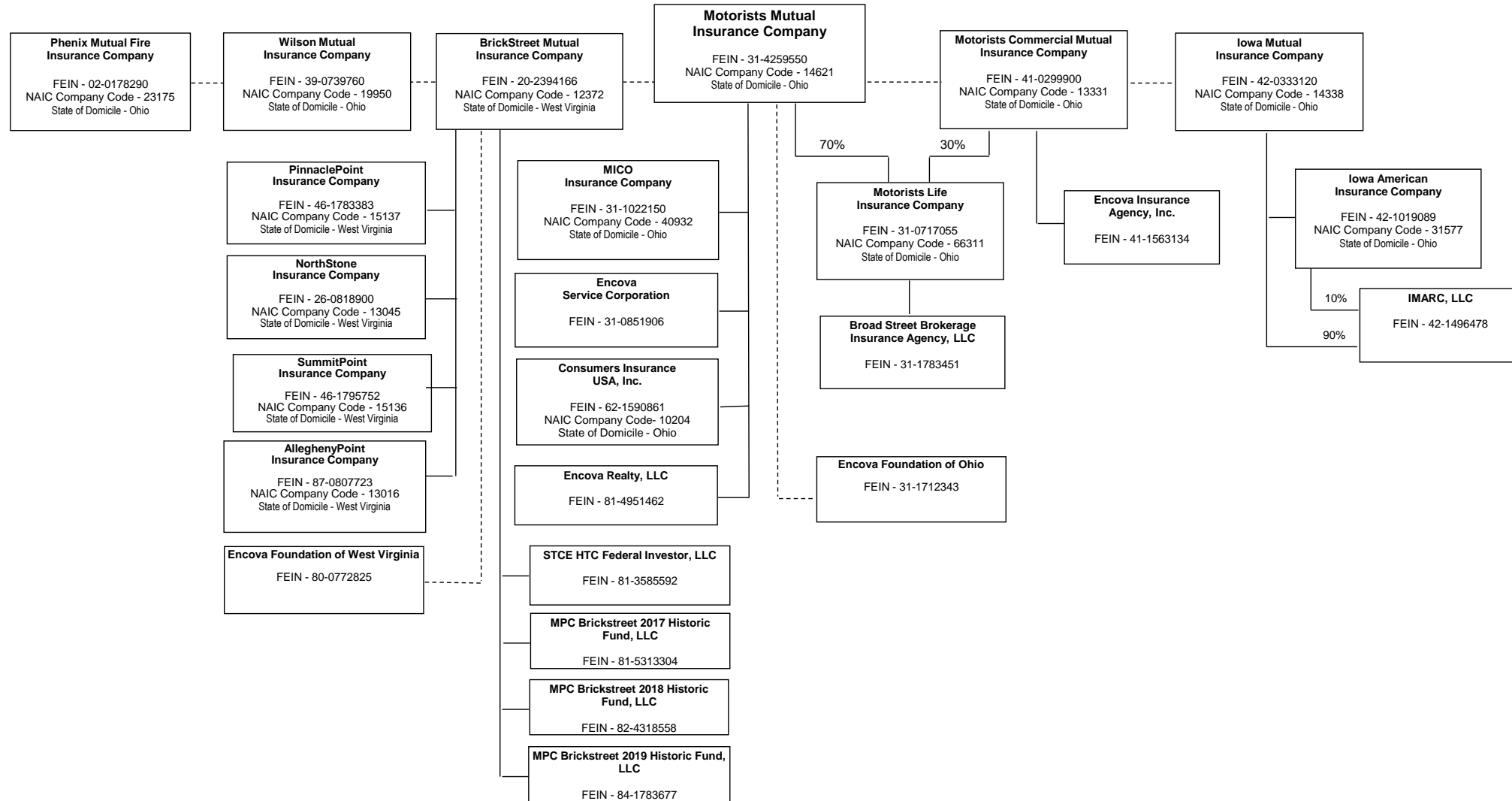
**DETAILS OF WRITE-INS**

58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	12	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSLI) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	45

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART**



# SCHEDULE Y

## PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
			31-1783451..				Broad Street Brokerage Insurance Agency, LLC	OH.....	NIA.....	Motorists Life Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	10204..	62-1590861..				Consumers Insurance USA, Inc.....	OH.....	IA.....	Motorists Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
			42-1496478..				IMARC, LLC.....	IA.....	DS.....	Iowa Mutual Insurance Company.....	Ownership.....	90.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	31577..	42-1019089..				Iowa American Insurance Company.....	OH.....	DS.....	Iowa Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	14338..	42-0333120..				Iowa Mutual Insurance Company.....	OH.....	RE.....				Motorists Mutual Insurance Company.....	..N.....	1.....
			41-1563134..				Encova Insurance Agency, Inc.....	MN.....	NIA.....	Motorists Commercial Mutual Insurance Company	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	40932..	31-1022150..				MICO Insurance Company.....	OH.....	IA.....	Motorists Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	13331..	41-0299900..				Motorists Commercial Mutual Insurance Company	OH.....	IA.....				Motorists Mutual Insurance Company.....	..N.....	1.....
0291	Encova Mutual Insurance Group	66311..	31-0717055..				Motorists Life Insurance Company.....	OH.....	IA.....	Motorists Mutual Insurance Company.....	Ownership.....	70.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	14621..	31-4259550..				Motorists Mutual Insurance Company.....	OH.....	IA.....					..N.....	1.....
			31-0851906..				Encova Service Corporation.....	OH.....	NIA.....	Motorists Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
0291	Encova Mutual Insurance Group	23175..	02-0178290..				Phenix Mutual Fire Insurance Company.....	OH.....	IA.....				Motorists Mutual Insurance Company.....	..N.....	1.....
0291	Encova Mutual Insurance Group	19950..	39-0739760..				Wilson Mutual Insurance Company.....	OH.....	IA.....				Motorists Mutual Insurance Company.....	..N.....	1.....
			81-4951462..				Encova Realty, LLC.....	OH.....	NIA.....	Motorists Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	3.....
			31-1712343..				Encova Foundation of Ohio.....	OH.....	NIA.....	Motorists Mutual Insurance Company.....	Board.....		Motorists Mutual Insurance Company.....	..N.....	4.....
0291	Encova Mutual Insurance Group	12372..	20-2394166..				BrickStreet Mutual Insurance Company.....	WV.....	IA.....				Motorists Mutual Insurance Company.....	..N.....	1.....
0291	Encova Mutual Insurance Group	15137..	46-1783383..				PinnaclePoint Insurance Company.....	WV.....	IA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	2.....
0291	Encova Mutual Insurance Group	13045..	26-0818900..				NorthStone Insurance Company.....	WV.....	IA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	2,6.....
0291	Encova Mutual Insurance Group	15136..	46-1795752..				SummitPoint Insurance Company.....	WV.....	IA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	2.....
0291	Encova Mutual Insurance Group	13016..	87-0807723..				AlleghenyPoint Insurance Company.....	WV.....	IA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	100.000	Motorists Mutual Insurance Company.....	..N.....	2,6.....
			80-0772825..				Encova Foundation of West Virginia, Inc.....	WV.....	NIA.....	BrickStreet Mutual Insurance Company.....	Board.....		Motorists Mutual Insurance Company.....	..N.....	5.....

Q12

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
0291	Encova Mutual Insurance Group	.....	81-3585592..	.....	.....	.....	STCE HTC Federal Investor, LLC.....	GA.....	NIA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	.....99.990	Motorists Mutual Insurance Company.....	.....N.....	.....
0291	Encova Mutual Insurance Group	.....	81-5313304..	.....	.....	.....	MPC Brickstreet 2017 Historic Fund, LLC.....	GA.....	NIA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	.....99.990	Motorists Mutual Insurance Company.....	.....N.....	.....
0291	Encova Mutual Insurance Group	.....	82-4318558..	.....	.....	.....	MPC Brickstreet 2018 Historic Fund, LLC.....	GA.....	NIA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	.....99.990	Motorists Mutual Insurance Company.....	.....N.....	.....
0291	Encova Mutual Insurance Group	.....	84-1783677..	.....	.....	.....	MPC Brickstreet 2019 Historic Fund, LLC.....	GA.....	NIA.....	BrickStreet Mutual Insurance Company.....	Ownership.....	.....99.990	Motorists Mutual Insurance Company.....	.....N.....	.....

**Aster Explanation**

1	The company is a mutual property/casualty insurer and an affiliate of Encova Mutual Insurance Group. Motorists Mutual Insurance Company is the ultimate controlling entity of the Group through an interlocking board of directors.
2	This company is a stock subsidiary of BrickStreet Mutual Insurance Company with ultimate control of that parent as described in Note 1
3	The entity is a subsidiary of an insurer that is an affiliate of Encova Mutual Insurance Group. With ultimate control of that insurer as described in Note 1
4	Schedule Y, Parts 1 and 1A, includes the Encova Foundation of Ohio a 501( c)(3) tax-exempt private foundation incorporated on 7/12/2000.
5	Schedule Y, Parts 1 and 1A, includes Encova Foundation of West Virginia a 501( c)(3) tax-exempt private foundation incorporated on December 23, 2011.
6	NorthStone Insurance Company and AlleghenyPoint Insurance Company redomesticated from Pennsylvania to West Virginia on 6/24/2020.

Q12.1

**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	138,788	(466,220)	(335.922)	(50.880)
2. Allied lines.....	220,936	469,820	212.650	82.110
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....	5,610,243	19,304,526	344.094	74.636
5. Commercial multiple peril.....	5,091	10,506	206.352	50.968
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....	135,032	100,621	74.516	29.102
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....	14,021		0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....	24,137	(469,806)	(1,946.419)	34.981
17.1. Other liability-occurrence.....	194,781	1,417,698	727.841	120.050
17.2. Other liability-claims made.....			0.000	
17.3. Excess workers' compensation.....			0.000	
18.1. Products liability-occurrence.....	(1,890)		0.000	
18.2. Products liability-claims made.....			0.000	
19.1, 19.2. Private passenger auto liability.....	3,377,149	923,877	27.357	34.796
19.3, 19.4. Commercial auto liability.....	(358)	406,623	(113,607.289)	36.254
21. Auto physical damage.....	3,896,004	2,187,590	56.150	55.381
22. Aircraft (all perils).....			0.000	
23. Fidelity.....	154		0.000	
24. Surety.....			0.000	
26. Burglary and theft.....	74		0.000	
27. Boiler and machinery.....	1,046		0.000	32.038
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	13,615,208	23,885,235	175.431	55.447
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....	41,484	123,687	125,427
2. Allied lines.....	77,769	211,624	210,480
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....	1,940,105	5,418,367	6,099,177
5. Commercial multiple peril.....			(16,782)
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....	44,441	123,435	135,688
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....	4,374	12,635	16,220
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....	(787)	17,657	(174,786)
17.1. Other liability-occurrence.....	65,991	177,347	199,903
17.2. Other liability-claims made.....			
17.3. Excess workers' compensation.....			
18.1. Products liability-occurrence.....	263	(3,172)	(43,916)
18.2. Products liability-claims made.....			
19.1 19.2. Private passenger auto liability.....	1,045,290	3,155,530	3,849,986
19.3 19.4. Commercial auto liability.....	(52)	(7,272)	(24,847)
21. Auto physical damage.....	1,248,219	3,695,572	4,368,128
22. Aircraft (all perils).....			
23. Fidelity.....			(109)
24. Surety.....			
26. Burglary and theft.....			(424)
27. Boiler and machinery.....		(169)	644
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	4,467,097	12,925,241	14,744,789
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2017 + Prior.....	9,010	7,935	16,945	1,198	103	1,301	7,108	847	6,523	14,479	(704)	(462)	(1,165)
2. 2018.....	2,575	3,425	6,000	945	92	1,037	1,471	438	2,640	4,549	(159)	(255)	(414)
3. Subtotals 2018 + Prior.....	11,585	11,360	22,945	2,143	195	2,338	8,579	1,285	9,163	19,028	(863)	(717)	(1,580)
4. 2019.....	3,985	4,569	8,554	1,828	433	2,261	1,774	890	3,546	6,210	(383)	300	(82)
5. Subtotals 2019 + Prior.....	15,570	15,929	31,499	3,971	628	4,599	10,353	2,175	12,709	25,238	(1,245)	(417)	(1,662)
6. 2020.....	XXX	XXX	XXX	XXX	3,815	3,815	XXX	3,294	4,354	7,648	XXX	XXX	XXX
7. Totals.....	15,570	15,929	31,499	3,971	4,444	8,414	10,353	5,469	17,064	32,886	(1,245)	(417)	(1,662)
8. Prior Year-End's Surplus As Regards Policyholders	31,513												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....(8.0)%	2. ....(2.6)%	3. ....(5.3)%
													Col. 13, Line 7 Line 8
													4. ....(5.3)%

Q14

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO _____
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO _____
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO _____

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



**Overflow Page for Write-Ins**

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid Expenses.....	105,096	105,096	0	
2597. Summary of remaining write-ins for Line 25.....	105,096	105,096	0	0

**Additional Write-ins for Liabilities:**

	1 Current Statement Date	2 December 31, Prior Year
2504. Obligations in pools and associations.....	(11,789)	605
2505. Miscellaneous liabilities.....	925,374	
2597. Summary of remaining write-ins for Line 25.....	913,585	605

**IOWA MUTUAL INSURANCE COMPANY  
SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,797,416	1,910,758
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		4,250
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....	86,484	117,592
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	1,710,932	1,797,416
10. Deduct total nonadmitted amounts.....	840,933	927,417
11. Statement value at end of current period (Line 9 minus Line 10).....	870,000	870,000

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	4,524,842	4,118,904
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....	135,341	282,645
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	(160,248)	123,293
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	4,499,934	4,524,842
12. Deduct total nonadmitted amounts.....		5,942
13. Statement value at end of current period (Line 11 minus Line 12).....	4,499,934	4,518,900

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	54,904,407	50,852,960
2. Cost of bonds and stocks acquired.....	4,035,672	9,894,706
3. Accrual of discount.....	20,493	28,637
4. Unrealized valuation increase (decrease).....	138,762	2,964,402
5. Total gain (loss) on disposals.....	25,321	117,585
6. Deduct consideration for bonds and stocks disposed of.....	3,147,725	8,752,591
7. Deduct amortization of premium.....	158,751	201,290
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....	1,154,368	
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	54,663,812	54,904,407
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	54,663,812	54,904,407

### SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	30,417,567	1,133,905	896,278	(41,098)	30,653,270	30,417,567	30,614,095	30,276,709
2. NAIC 2 (a).....	1,843,427			388	1,643,436	1,843,427	1,843,815	1,689,479
3. NAIC 3 (a).....	96,574			131	96,446	96,574	96,705	
4. NAIC 4 (a).....							0	
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....							0	
7. Total Bonds.....	32,357,568	1,133,905	896,278	(40,579)	32,393,152	32,357,568	32,554,616	31,966,188
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	32,357,568	1,133,905	896,278	(40,579)	32,393,152	32,357,568	32,554,616	31,966,188

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA - Pt. 1  
NONE**

**Sch. DA - Verification  
NONE**

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	3,689,712	8,859,124
2. Cost of cash equivalents acquired.....	14,918,076	19,768,344
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	17,870,956	24,937,756
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	736,833	3,689,712
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	736,833	3,689,712

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made after Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership				
		3 City	4 State													
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated</b>																
	Aberdeen Institutional Commingled Funds, LLC.....	Philadelphia.....	PA...	Aberdeen Asset Management Inc.....		08/24/2017....				27,667		0.200				
	Crescent Senior Secured Floating Rate Fund.....	Dover.....	DE...	Crescent Capital Group LP.....		05/01/2007....				22,239		1.480				
2599999	Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Other - Unaffiliated.....											0	49,906	0	0	XXX.....
4899999	Subtotal - Unaffiliated.....											0	49,906	0	0	XXX.....
5099999	Totals.....											0	49,906	0	0	XXX.....

QE03

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value					15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization) / Accretion	11 Current Year's Other-Than-Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)						

**NONE**

### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. States, Territories and Possessions</b>										
594612	EY	5		09/23/2020	MERRILL LYNCH PIERCE FENNER & SMITH INC.		50,000	50,000		1FE
68609T	A6	5		07/09/2020	CITIGROUP GLOBAL MARKETS INC.		50,000	50,000		1FE
1799999	Total - Bonds - U.S. States, Territories & Possessions						100,000	100,000	0	.XXX
<b>Bonds - U.S. Political Subdivisions of States</b>										
529063	VT	3		07/08/2020	PERSHING DIV OF DLJ SEC LNDING		50,748	50,000	.35	1FE
720424	A7	5		07/09/2020	RBC CAPITAL MARKETS		50,000	50,000		1FE
882830	BH	4		07/16/2020	MORGAN STANLEY CO.		75,000	75,000		1FE
2499999	Total - Bonds - U.S. Political Subdivisions of States						175,748	175,000	.35	.XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>										
220245	L9	3		07/01/2020	CITIGROUP GLOBAL MARKETS INC.		100,000	100,000		1FE
3132DV	7B	5		08/28/2020	GOLDMAN		231,083	224,284	.162	1
3133A8	MR	5		08/11/2020	CANTOR FITZGERALD + CO.		129,336	125,000	.83	1
54628C	MW	9		07/31/2020	JP MORGAN SECURITIES LLC		50,000	50,000		1FE
586200	SW	8		09/24/2020	NATL FINANCIAL SERVICES CORP (NFS)		64,795	50,000		1FE
59334D	LS	1		09/29/2020	Various		107,945	100,000	.713	1FE
594615	HY	5		09/11/2020	Jefferies		50,000	50,000		1FE
880461	2D	9		07/01/2020	RBC CAPITAL MARKETS		75,000	75,000		1FE
928075	JL	5		07/22/2020	JP MORGAN SECURITIES LLC		50,000	50,000		1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments						858,158	824,284	.958	.XXX
8399997	Total - Bonds - Part 3						1,133,905	1,099,284	.993	.XXX
8399999	Total - Bonds						1,133,905	1,099,284	.993	.XXX
<b>Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred</b>										
74915M	30	8		09/15/2020	ITG INC.	3.720	745			3FE
8499999	Total - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						745		0	.XXX
8999997	Total - Preferred Stocks - Part 3						745		0	.XXX
8999999	Total - Preferred Stocks						745		0	.XXX
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>										
004225	10	8		07/15/2020	ITG INC.	33.000	1,805	XXX		
00434H	10	8		07/15/2020	ITG INC.	16.000	1,589	XXX		
007903	10	7		07/15/2020	ITG INC.	41.000	2,225	XXX		
03768E	10	5		07/15/2020	ITG INC.	52.000	2,670	XXX		
05338G	10	6		07/15/2020	ITG INC.	24.000	3,007	XXX		
0556EL	10	9		09/30/2020	MORGAN STANLEY CO.	300.000	3,050	XXX		
09247X	10	1		07/15/2020	ITG INC.	8.000	4,517	XXX		
163092	10	9		07/15/2020	ITG INC.	37.000	2,623	XXX		
22788C	10	5		07/15/2020	ITG INC.	35.000	3,556	XXX		
23804L	10	3		07/15/2020	ITG INC.	48.000	4,154	XXX		
29273V	10	0		09/30/2020	WELLS FARGO SECURITIES	566.000	3,170	XXX		
29355A	10	7		07/15/2020	ITG INC.	32.000	1,798	XXX		
31188V	10	0		07/15/2020	ITG INC.	23.000	1,826	XXX		
338307	10	1		07/15/2020	ITG INC.	19.000	2,124	XXX		
44891N	10	9		07/01/2020	ITG INC.	22.000	1,415	XXX		
452907	10	8		07/15/2020	ITG INC.	64.000	2,634	XXX		
462260	10	0		07/15/2020	ITG INC.	41.000	1,237	XXX		
48251W	10	4		07/15/2020	ITG INC.	140.000	4,865	XXX		
49456B	10	1		09/30/2020	Citigroup Global Markets Inc. NY	200.000	2,506	XXX		

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### SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
539183 10 3	LIVONGO HEALTH ORD.....		07/15/2020.....	ITG INC.....	16.000	1,700	XXX		
55336V 10 0	MPLX COM UNT.....		09/30/2020.....	MORGAN STANLEY CO.....	1,100.000	17,726	XXX		
559080 10 6	MAGELLAN MIDSTREAM PARTNERS UNT.....		09/30/2020.....	MORGAN STANLEY CO.....	850.000	29,742	XXX		
57667L 10 7	MATCH GROUP ORD.....		07/01/2020.....	ITG INC.....	47.485	3,022	XXX		
60770K 10 7	MODERNA ORD.....		07/15/2020.....	ITG INC.....	28.000	2,262	XXX		
70614W 10 0	PELTON INTERACTIVE ORD.....		07/15/2020.....	ITG INC.....	30.000	1,866	XXX		
718549 20 7	PHILLIPS 66 PARTNERS COM UNT.....		09/30/2020.....	RBC CAPITAL MARKETS.....	500.000	11,774	XXX		
72352L 10 6	PINTEREST CL A ORD.....		07/15/2020.....	ITG INC.....	105.000	2,590	XXX		
726503 10 5	PLAINS ALL AMERICAN PIPELINE UNT.....		09/30/2020.....	J.P. MORGAN SECURITIES LLC.....	600.000	3,626	XXX		
72651A 20 7	PLAINS GP HOLDINGS CL A ORD.....		09/30/2020.....	J.P. MORGAN SECURITIES LLC.....	500.000	3,101	XXX		
74838J 10 1	QUIDEL ORD.....		07/15/2020.....	ITG INC.....	11.000	2,649	XXX		
74915M 10 0	QURATE RETAIL SRS A ORD.....		09/15/2020.....	ITG INC.....	124.000	1,842	XXX		
75615P 10 3	REATA PHARMACEUTICALS CL A ORD.....		07/15/2020.....	ITG INC.....	8.000	1,236	XXX		
759916 10 9	REPLIGEN ORD.....		07/15/2020.....	ITG INC.....	13.000	1,662	XXX		
822634 10 1	SHELL MIDSTREAM PARTNERS UNT.....		09/30/2020.....	WELLS FARGO SECURITIES.....	1,450.000	13,910	XXX		
83088V 10 2	SLACK TECHNOLOGIES CL A ORD.....		07/15/2020.....	ITG INC.....	98.000	3,095	XXX		
83417M 10 4	SOLAREDEGE TECHNOLOGIES ORD.....	C.....	07/15/2020.....	ITG INC.....	14.000	2,387	XXX		
852234 10 3	SQUARE CL A ORD.....		07/15/2020.....	ITG INC.....	12.000	1,438	XXX		
875372 20 3	TANDEM DIABETES CARE ORD.....		07/15/2020.....	ITG INC.....	19.000	1,857	XXX		
87612G 10 1	TARGA RESOURCES ORD.....		09/30/2020.....	Various.....	771.000	11,482	XXX		
87918A 10 5	TELADOC HEALTH ORD.....		07/15/2020.....	ITG INC.....	23.000	5,072	XXX		
88025U 10 9	10X GENOMICS CL A ORD.....		07/15/2020.....	ITG INC.....	17.000	1,526	XXX		
88160R 10 1	TESLA ORD.....		07/15/2020.....	ITG INC.....	1.000	1,490	XXX		
89531P 10 5	TREX ORD.....		07/15/2020.....	ITG INC.....	18.000	2,376	XXX		
90353T 10 0	UBER TECHNOLOGIES ORD.....		07/15/2020.....	ITG INC.....	108.000	3,479	XXX		
98980L 10 1	ZOOM VIDEO COMMUNICATIONS CL A ORD.....		07/15/2020.....	ITG INC.....	46.000	11,575	XXX		
G0250X 10 7	AMCOR ORD.....	C.....	07/15/2020.....	ITG INC.....	421.000	4,517	XXX		
G6674U 10 8	NOVOCURE ORD.....	C.....	07/15/2020.....	ITG INC.....	30.000	1,906	XXX		
G85158 10 6	STONECO CL A ORD.....	C.....	07/15/2020.....	ITG INC.....	49.000	1,960	XXX		
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded.....					203,640	XXX	0	XXX
9799997	Total - Common Stocks - Part 3.....					203,640	XXX	0	XXX
9799999	Total - Common Stocks.....					203,640	XXX	0	XXX
9899999	Total - Preferred and Common Stocks.....					204,384	XXX	0	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					1,338,289	XXX	993	XXX

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>																					
36202C R2 1	G2 002305 - RMBS.....		09/01/2020	Paydown.....		84	84	84	84				0		84			0	5	10/20/2026	1.....
36202C UV 3	G2 002396 - RMBS.....		09/01/2020	Paydown.....		34	34	34	34				0		34			0	2	03/20/2027	1.....
36202C UW 1	G2 002397 - RMBS.....		09/01/2020	Paydown.....		16	16	17	16		0		0		16		0	0	1	03/20/2027	1.....
36210Y ZT 5	GN 506654 - RMBS.....		09/01/2020	Paydown.....		317	317	310	316		2		2		317		0	0	13	04/15/2029	1.....
36225B EA 2	GN 781029 - RMBS.....		09/01/2020	Paydown.....		2,060	2,060	2,167	2,124		(64)		(64)		2,060		0	0	86	05/15/2029	1.....
36241K MU 9	GN 782171 - RMBS.....		09/01/2020	Paydown.....		8,171	8,171	8,250	8,241		(70)		(70)		8,171		0	0	263	11/15/2035	1.....
38377R VK 8	GNR 2010-166 GP - CMO/RMBS.....		09/01/2020	Paydown.....		8,816	8,816	9,305	9,046		(230)		(230)		8,816		0	0	168	04/20/2039	1.....
0599999	Total - Bonds - U.S. Government.....					19,498	19,498	20,166	19,860	0	(363)	0	(363)	0	19,498	0	0	0	536	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3131XK FB 0	FH ZL3762 - RMBS.....		09/01/2020	Paydown.....		36,496	36,496	35,807	35,776		720		720		36,496			0	814	09/01/2042	1.....
3131XT N6 3	FH ZM0413 - RMBS.....		09/01/2020	Paydown.....		6,301	6,301	6,519	6,474		(173)		(173)		6,301			0	143	11/01/2045	1.....
31329K X3 3	FH ZA2498 - RMBS.....		09/01/2020	Paydown.....		31,307	31,307	31,434	31,390		(82)		(82)		31,307		0	0	733	03/01/2038	1.....
3132A4 7A 0	FH ZS4489 - RMBS.....		09/01/2020	Paydown.....		79,176	79,176	77,681	77,656		1,520		1,520		79,176			0	1,596	06/01/2042	1.....
3132A5 AY 1	FH ZS4523 - RMBS.....		09/01/2020	Paydown.....		13,293	13,293	13,362	13,340		(46)		(46)		13,293		0	0	312	07/01/2043	1.....
3132A7 UG 4	FH ZS6883 - RMBS.....		09/01/2020	Paydown.....		2,015	2,015	2,121	2,089		(74)		(74)		2,015		0	0	48	12/01/2028	1.....
3133A8 MR 5	FH QB2168 - RMBS.....		09/01/2020	Paydown.....		234	234	242			(8)		(8)		234		0	0	0	08/01/2050	1.....
3136A5 YC 4	FNR 2012-30 ED - CMO/RMBS.....		09/01/2020	Paydown.....		7,705	7,705	7,864	7,787		(82)		(82)		7,705		0	0	132	04/25/2031	1.....
3136A8 UN 8	FNR 2012-99 EC - CMO/RMBS.....		09/01/2020	Paydown.....		7,214	7,214	7,368	7,282		(68)		(68)		7,214		0	0	94	02/25/2041	1.....
3136AE LE 5	FNR 2013-58 KJ - CMO/RMBS.....		09/01/2020	Paydown.....		3,739	3,739	3,810	3,784		(45)		(45)		3,739		0	0	75	02/25/2043	1.....
3137A5 SG 0	FHR 3786 HE - CMO/RMBS.....		09/01/2020	Paydown.....		5,452	5,452	5,652	5,495		(43)		(43)		5,452		0	0	91	03/15/2038	1.....
3137AM K7 1	FHR 4020 EJ - CMO/RMBS.....		09/01/2020	Paydown.....		5,896	5,896	6,228	6,207		(311)		(311)		5,896		0	0	117	02/15/2042	1.....
3138LV H4 8	FN AO4750 - RMBS.....		09/01/2020	Paydown.....		9,470	9,470	9,340	9,343		126		126		9,470		0	0	158	10/01/2027	1.....
3138WB BF 1	FN AS1837 - RMBS.....		09/01/2020	Paydown.....		16,047	16,047	16,787	16,788		(740)		(740)		16,047		0	0	427	02/01/2044	1.....
31403D YB 9	FN 746006 - RMBS.....		09/01/2020	Paydown.....		395	395	409	412		(17)		(17)		395		0	0	10	12/01/2033	1.....
31407P CV 8	FN 836284 - RMBS.....		09/01/2020	Paydown.....		1,174	1,174	1,145	1,137		37		37		1,174		0	0	48	10/01/2035	1.....
3140F1 YB 2	FN BC6105 - RMBS.....		09/01/2020	Paydown.....		32,036	32,036	32,095	32,101		(65)		(65)		32,036		0	0	770	06/01/2046	1.....
3140Q9 NW 9	FN CA2204 - RMBS.....		09/01/2020	Paydown.....		104,751	104,751	108,908	109,133		(4,383)		(4,383)		104,751		0	0	3,084	08/01/2048	1.....
3140X4 H2 5	FN FM1148 - RMBS.....		09/01/2020	Paydown.....		29,274	29,274	30,051	30,085		(812)		(812)		29,274		0	0	675	12/01/2048	1.....
31410L UV 2	FN 890796 - RMBS.....		09/01/2020	Paydown.....		9,395	9,395	9,610	9,601		(206)		(206)		9,395		0	0	218	12/01/2045	1.....
60416S 5D 8	MINNESOTA ST HSG FIN AGY.....		06/02/2020	Call @ 100.00.....									0					0	4	01/01/2040	1FE.....
60416S 5E 6	MINNESOTA ST HSG FIN AGY.....		09/02/2020	Call @ 100.00.....		5,000	5,000	5,000					0		5,000			0	62	01/01/2044	1FE.....
70917R H2 4	PENNSYLVANIA ST HIGHER EDL FACS AUTH REV.....		07/23/2020	Call @ 100.00.....		85,000	85,000	90,732	86,195		(1,195)		(1,195)		85,000			0	2,892	06/15/2021	1FE.....
83756C FR 1	SOUTH DAKOTA HSG DEV AUTH.....		07/15/2020	Call @ 100.00.....		5,000	5,000	5,368	5,162		(25)		(25)		5,137		(137)	(137)	109	11/01/2044	1FE.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					496,370	496,370	507,531	497,237	0	(5,971)	0	(5,971)	0	496,507	0	(137)	(137)	12,611	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
23305Y AD 1	DBUBS 2011-LC3 A4 - CMBS.....		09/01/2020	Paydown.....		1,220	1,220	1,232	1,220		(1)		(1)		1,220		(0)	(0)	37	08/12/2044	1FM.....
29366A AA 2	ELL I A1 - ABS.....		06/01/2020	Paydown.....									0					0	82	09/01/2023	1FE.....
46625H HZ 6	JPMORGAN CHASE & CO.....		09/16/2020	Not Available.....		154,268	150,000	152,735	150,455		(239)		(239)		150,216		4,051	4,051	5,993	05/10/2021	1FE.....
617446 7P 8	MORGAN STANLEY.....		07/24/2020	Maturity @ 100.00.....		100,000	100,000	100,577	100,044		(44)		(44)		100,000			0	5,500	07/24/2020	1FE.....
617459 AD 4	MSC 2011-C2 A4 - CMBS.....		09/01/2020	Paydown.....		1,719	1,719	1,765	1,724		(5)		(5)		1,719			0	60	06/17/2044	1FM.....

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**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
65478W AE 5	NAROT 2016-C A4 - ABS		09/15/2020	Paydown		45,245	45,245	43,879	44,946		299		299		45,245				412	01/17/2023	1FE
82653E AA 5	SRFC 2019-1 A - ABS		09/20/2020	Paydown		5,173	5,173	5,173	5,173		0		0		5,173				111	01/22/2036	1FE
872590 11 2	T MOBILE US SUBSRTS		07/22/2020	ITG INC.		11	106						0				11				1Z
92348R AB 4	VZOT 2017-3 A1B - ABS		09/20/2020	Paydown		13,431	13,431	13,431	13,431				0		13,431				108	04/20/2022	1FE
92349F AB 9	VZOT 2018-1 A1B - ABS		09/21/2020	Paydown		63,269	63,269	63,269	63,269				0		63,269				490	09/20/2022	1FE
3899999	Total - Bonds - Industrial and Miscellaneous					384,336	380,163	382,061	380,262	0	11	0	11	0	380,274	0	4,062	4,062	12,794	XXX	XXX
8399997	Total - Bonds - Part 4					900,204	896,031	909,758	897,359	0	(6,323)	0	(6,323)	0	896,278	0	3,925	3,925	25,942	XXX	XXX
8399999	Total - Bonds					900,204	896,031	909,758	897,359	0	(6,323)	0	(6,323)	0	896,278	0	3,925	3,925	25,942	XXX	XXX

**Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded**

013872 10 6	ALCOA ORD		07/15/2020	ITG INC.	54,000	666	XXX	1,918	1,162	757			757		1,918		(1,252)	(1,252)		XXX	
037833 10 0	APPLE ORD		08/31/2020	ITG INC.	18,000	7,001	XXX	4,084	(533,736)	537,820			537,820		4,084		2,917	2,917	29	XXX	
03966V 10 7	ARCONIC ORD		07/15/2020	ITG INC.	31,000	501	XXX	653					0		653		(152)	(152)		XXX	
060505 10 4	BANK OF AMERICA ORD		07/15/2020	ITG INC.	245,000	5,996	XXX	7,348	8,629	(1,281)			(1,281)		7,348		(1,352)	(1,352)	88	XXX	
125581 80 1	CIT GROUP ORD		07/15/2020	ITG INC.	34,000	687	XXX	1,741	1,551	190			190		1,741		(1,054)	(1,054)	24	XXX	
127686 10 3	CAESARS ENTERTAINMENT ORD		07/21/2020	CORPORATE ACTION	174,000	2,159	XXX	1,691	2,366	(675)			(675)		1,691		468	468		XXX	
16934Q 20 8	CHIMERA INVESTMENT REIT		07/15/2020	ITG INC.	58,000	534	XXX	1,161	1,192	(31)			(31)		1,161		(627)	(627)	75	XXX	
198287 20 3	COLUMBIA PROPERTY REIT ORD		07/15/2020	ITG INC.	34,000	427	XXX	659	711	(52)			(52)		659		(232)	(232)	21	XXX	
257559 20 3	DOMTAR ORD		07/15/2020	ITG INC.	19,000	408	XXX	644	727	(83)			(83)		644		(235)	(235)	17	XXX	
30231G 10 2	EXXON MOBIL ORD		07/15/2020	ITG INC.	118,000	5,244	XXX	10,209	8,234	1,975			1,975		10,209		(4,965)	(4,965)	205	XXX	
343412 10 2	FLUOR ORD		07/15/2020	ITG INC.	42,000	519	XXX	1,522	793	729			729		1,522		(1,003)	(1,003)	8	XXX	
382550 10 1	GOODYEAR TIRE AND RUBBER ORD		07/15/2020	ITG INC.	70,000	685	XXX	1,377	1,089	288			288		1,377		(692)	(692)	11	XXX	
44919P 50 8	MATCH GROUP, INC.		07/01/2020	VARIOUS	22,000	4,437	XXX	4,437	5,480	(1,043)			(1,043)		4,437					XXX	
452308 10 9	ILLINOIS TOOL ORD		07/15/2020	ITG INC.	32,000	5,837	XXX	4,380	5,748	(1,368)			(1,368)		4,380		1,457	1,457	103	XXX	
46625H 10 0	JPMORGAN CHASE ORD		07/15/2020	ITG INC.	54,000	5,353	XXX	5,394	7,528	(2,134)			(2,134)		5,394		(41)	(41)	123	XXX	
48238T 10 9	KAR AUCTION SERVICES ORD		07/15/2020	ITG INC.	40,000	543	XXX	868	872	(4)			(4)		868		(324)	(324)	15	XXX	
494368 10 3	KIMBERLY CLARK ORD		07/15/2020	ITG INC.	26,000	3,756	XXX	1,968	3,576	(1,609)			(1,609)		1,968		1,788	1,788	82	XXX	
524901 10 5	LEGG MASON ORD		07/31/2020	CORPORATE ACTION	25,000	1,250	XXX	957	898	59			59		957		293	293	30	XXX	
54142L 10 9	LOGMEIN ORD		08/31/2020	CORPORATE ACTION	14,998	1,291	XXX	1,182	1,286	(104)			(104)		1,182		109	109		XXX	
57667L 10 7	MATCH GROUP ORD		06/30/2020	Adjustment	0,485	4	XXX	39					0		39		(35)	(35)		XXX	
58502B 10 6	MEDNAX ORD		07/15/2020	ITG INC.	25,000	459	XXX	529	695	(166)			(166)		529		(70)	(70)		XXX	
594918 10 4	MICROSOFT ORD		07/15/2020	ITG INC.	34,000	7,008	XXX	6,020					0		6,020		988	988	17	XXX	
617446 44 8	MORGAN STANLEY ORD		07/15/2020	ITG INC.	79,000	4,002	XXX	1,821	4,038	(2,218)			(2,218)		1,821		2,181	2,181	55	XXX	
63938C 10 8	NAVIENT ORD		07/15/2020	ITG INC.	55,000	389	XXX	663	752	(90)			(90)		663		(273)	(273)	18	XXX	
74915M 10 0	QURATE RETAIL SRS A ORD		09/15/2020	VARIOUS	124,000	2,587	XXX	2,587	1,045	1,541			1,541		2,587				186	XXX	
75281A 10 9	RANGE RESOURCES ORD		07/15/2020	ITG INC.	62,000	414	XXX	739	301	439			439		739		(325)	(325)		XXX	
76118Y 10 4	RESIDEO TECHNOLOGIES ORD		07/15/2020	ITG INC.	35,000	440	XXX	541	418	123			123		541		(101)	(101)		XXX	
88160R 10 1	TESLA ORD		08/31/2020				XXX		(21,151)	21,151			21,151							XXX	
90187B 40 8	TWO HARBORS INVESTMENT REIT ORD		07/15/2020	ITG INC.	81,000	413	XXX	1,064	1,184	(121)			(121)		1,064		(651)	(651)	48	XXX	
95082P 10 5	WESCO INTL ORD		07/15/2020	ITG INC.	13,000	526	XXX	552	772	(220)			(220)		552		(26)	(26)		XXX	
G4474Y 21 4	JANUS HENDERSON GROUP ORD	C	07/15/2020	ITG INC.	49,000	1,030	XXX	1,049	1,198	(150)			(150)		1,049		(18)	(18)	35	XXX	
9099999	Total - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					64,567	XXX	67,795	(492,642)	553,724	0	0	553,724	0	67,795	0	(3,228)	(3,228)	1,193	XXX	XXX

QE05.1

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
9799997.	Total - Common Stocks - Part 4.....					.....64,567	XXX	.....67,795	.....(492,642)	.....553,724	.....0	.....0	.....553,724	.....0	.....67,795	.....0	.....(3,228)	.....(3,228)	.....1,193	XXX	XXX
9799999.	Total - Common Stocks.....					.....64,567	XXX	.....67,795	.....(492,642)	.....553,724	.....0	.....0	.....553,724	.....0	.....67,795	.....0	.....(3,228)	.....(3,228)	.....1,193	XXX	XXX
9899999.	Total - Preferred and Common Stocks.....					.....64,567	XXX	.....67,795	.....(492,642)	.....553,724	.....0	.....0	.....553,724	.....0	.....67,795	.....0	.....(3,228)	.....(3,228)	.....1,193	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks.....					.....964,771	XXX	.....977,552	.....404,718	.....553,724	.....(6,323)	.....0	.....547,401	.....0	.....964,073	.....0	.....698	.....698	.....27,134	XXX	XXX

QE05.2

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DB - Pt. E**  
**NONE**

**SCHEDULE DL - PART 1  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on one Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E.)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....7,855 Book/Adjusted Carrying Value \$.....7,855
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:  
 NAIC 1: \$.....0 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

**SCHEDULE DL - PART 2  
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation and Administrative Symbol	Fair Value	Book/Adjusted Carrying Value	Maturity Date

General Interrogatories:

1. The activity for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
First Central State Bank..... De Witt, IA.....					(3,501,162)	(6,796,384)	(5,351,456)	XXX
BNY Mellon..... Pittsburgh, PA.....					(306,828)	(24)	1	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	(3,807,990)	(6,796,408)	(5,351,456)	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	(3,807,990)	(6,796,408)	(5,351,456)	XXX
0599999. Total Cash.....	XXX	XXX	0	0	(3,807,990)	(6,796,408)	(5,351,456)	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2		3	4	5	6	7	8	9
CUSIP	Description		Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>All Other Money Market Mutual Funds</b>									
316175 10 8	FIDELITY IMM:GOVT I.....			09/30/2020.....	.....0.010		.....736,830	.....29	.....122
60934N 20 3	FEDERATED HRMS I PO IS.....			02/18/2014.....	.....0.140		.....3		
8699999	Total - All Other Money Market Mutual Funds.....						.....736,833	.....29	.....122
8899999	Total - Cash Equivalents.....						.....736,833	.....29	.....122

QE14