



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

United Ohio Insurance Company

NAIC Group Code 0963 0963 NAIC Company Code 13072 Employer's ID Number 34-1008736
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 12/01/1966 Commenced Business 03/01/1967

Statutory Home Office 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1725 Hopley Avenue
(Street and Number)
Bucyrus, OH, US 44820-0111 419-562-3011
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1725 Hopley Avenue, Bucyrus, OH, US 44820-0111
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1725 Hopley Avenue
(Street and Number)
Bucyrus, OH, US 44820-0111 419-562-3011
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.omig.com

Statutory Statement Contact Charles Elmer Easum Mr., 419-563-0810
(Name) (Area Code) (Telephone Number)
ceasum@omig.com 877-753-0580
(E-mail Address) (FAX Number)

OFFICERS

President Mark Clarence Russell, Mr. Secretary Randy Lee Walker, Mr. #
Treasurer David Gary Hendrix, Mr.

OTHER

<u>Howard Lowell Barber, Mr., Vice President Sales</u>	<u>Chad Philip Combs, Mr., Vice President Personal Lines Underwriting</u>	<u>John Richard DeLucia, Mr., Vice President Claims</u>
<u>David Alan Grove, Mr., Vice President Product Management</u>	<u>Gary Thomas Johnson, Mr., Vice President Commercial Lines Underwriting</u>	<u>Susan Elizabeth Kent, Mrs., Vice President Business Analytics</u>
<u>James Bradly McCormack, Mr., Vice President Information Systems</u>	<u>Marcella Slone Smith, Mrs., Vice President Human Resources</u>	

DIRECTORS OR TRUSTEES

<u>Karen Riley Haefling, Mrs.</u>	<u>Albert Michael Heister, Mr.</u>	<u>Susan Porter, Mrs.</u>
<u>John Redon Purse, Mr.</u>	<u>Mark Clarence Russell, Mr.</u>	<u>David Anthony Siebenburgen, Mr.</u>
<u>Randy Lee Walker, Mr.</u>	<u>Robert H Wheeler Jr, Mr.</u>	<u>Thomas Eugene Woolley, Mr.</u>

State of Ohio SS:
County of Crawford

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Mark Clarence Russell
President and CEO

David Gary Hendrix
Treasurer and CFO

Marcella Slone Smith
Assistant Secretary

Subscribed and sworn to before me this _____ day of _____

a. Is this an original filing? Yes [X] No []

- b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	277,900,823		277,900,823	271,370,516
2. Stocks:				
2.1 Preferred stocks	6,270,347		6,270,347	3,884,037
2.2 Common stocks	18,246,642		18,246,642	17,455,413
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens.....				
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)				
4.2 Properties held for the production of income (less \$ encumbrances)				
4.3 Properties held for sale (less \$ encumbrances)				
5. Cash (\$17,192,048), cash equivalents (\$12,285,790) and short-term investments (\$)	29,477,838		29,477,838	18,990,094
6. Contract loans (including \$ premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	331,895,650		331,895,650	311,700,060
13. Title plants less \$ charged off (for Title insurers only)				
14. Investment income due and accrued	2,145,465		2,145,465	2,169,551
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	5,583,582		5,583,582	5,513,673
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	41,691,461		41,691,461	38,476,423
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	1,175,736		1,175,736	3,611,241
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	3,261,295		3,261,295	1,659,753
18.2 Net deferred tax asset	5,122,645		5,122,645	4,756,460
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$)				
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates	1,265,839		1,265,839	1,371,722
24. Health care (\$) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	22,912,956	941,417	21,971,539	20,988,503
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	415,054,629	941,417	414,113,212	390,247,386
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	415,054,629	941,417	414,113,212	390,247,386
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)				
2501. Prepaid Insurance Premiums	941,417	941,417		
2502. Company Owned Life Insurance	19,771,748		19,771,748	18,782,102
2503. Non-Qualified Retirement Plan	2,199,791		2,199,791	2,206,401
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	22,912,956	941,417	21,971,539	20,988,503

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 27,924,808)	61,814,275	61,678,555
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	15,921,771	14,200,632
4. Commissions payable, contingent commissions and other similar charges	5,370,388	4,887,013
5. Other expenses (excluding taxes, licenses and fees)	7,175,381	8,361,159
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	108,688	195,445
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))		
7.2 Net deferred tax liability		
8. Borrowed money \$ and interest thereon \$		2,003,686
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 84,004,854 and including warranty reserves of \$ and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	86,238,594	80,844,125
10. Advance premium	1,835,358	1,272,014
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)		543,740
13. Funds held by company under reinsurance treaties	1,210,632	773,102
14. Amounts withheld or retained by company for account of others	372,723	517,031
15. Remittances and items not allocated	103,519	127,991
16. Provision for reinsurance (including \$ certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates		
20. Derivatives		
21. Payable for securities	4,440,840	
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	9,412,006	9,169,124
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	194,004,175	184,573,617
27. Protected cell liabilities		
28. Total liabilities (Lines 26 and 27)	194,004,175	184,573,617
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	2,500,000	2,500,000
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	3,715,912	3,715,912
35. Unassigned funds (surplus)	213,893,125	199,457,857
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$)		
36.2 shares preferred (value included in Line 31 \$)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	220,109,037	205,673,769
38. Totals (Page 2, Line 28, Col. 3)	414,113,212	390,247,386
DETAILS OF WRITE-INS		
2501. Pension Obligations	7,212,214	6,962,723
2502. Non-Qualified Retirement Plan	2,199,792	2,206,401
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	9,412,006	9,169,124
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)		

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct (written \$ 130,051,976)	126,866,640	128,226,290	171,913,910
1.2 Assumed (written \$ 131,980,542)	126,586,072	124,856,764	167,735,505
1.3 Ceded (written \$ 130,128,644)	126,943,307	128,323,046	172,044,620
1.4 Net (written \$ 131,903,874)	126,509,405	124,760,008	167,604,795
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 70,123,953):			
2.1 Direct	57,945,175	66,022,579	88,191,963
2.2 Assumed	64,372,249	68,409,896	92,887,322
2.3 Ceded	57,945,175	66,057,909	88,227,294
2.4 Net	64,372,249	68,374,566	92,851,991
3. Loss adjustment expenses incurred	10,903,055	9,741,363	13,631,131
4. Other underwriting expenses incurred	42,419,563	39,863,201	53,278,419
5. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)	117,694,867	117,979,130	159,761,541
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	8,814,538	6,780,878	7,843,254
INVESTMENT INCOME			
9. Net investment income earned	6,182,788	6,191,918	8,276,589
10. Net realized capital gains (losses) less capital gains tax of \$ 30,536	122,329	57,332	8,407
11. Net investment gain (loss) (Lines 9 + 10)	6,305,117	6,249,250	8,284,996
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ 106,636 amount charged off \$ 874,828)	(768,192)	(670,690)	(985,294)
13. Finance and service charges not included in premiums	1,885,002	2,350,272	3,104,665
14. Aggregate write-ins for miscellaneous income	1,238,771	2,379,455	3,251,501
15. Total other income (Lines 12 through 14)	2,355,581	4,059,037	5,370,872
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	17,475,236	17,089,165	21,499,122
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	17,475,236	17,089,165	21,499,122
19. Federal and foreign income taxes incurred	3,442,172	3,046,774	3,766,240
20. Net income (Line 18 minus Line 19)(to Line 22)	14,033,064	14,042,391	17,732,882
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	205,673,769	185,491,282	185,491,282
22. Net income (from Line 20)	14,033,064	14,042,391	17,732,882
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ 173,050	650,997	2,268,757	3,359,780
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	539,235	463,289	419,488
27. Change in nonadmitted assets	(583,778)	(585,908)	12,977
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	(204,250)	(248,396)	(1,342,640)
38. Change in surplus as regards policyholders (Lines 22 through 37)	14,435,268	15,940,133	20,182,487
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	220,109,037	201,431,415	205,673,769
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)			
1401. Company Owned Life Insurance	989,646	2,091,442	2,826,669
1402. Other Income	44,875	39,617	42,514
1403. Non-Qualified Retirement Plan	204,250	248,396	382,318
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	1,238,771	2,379,455	3,251,501
3701. Change in net liability for retirement plans			(631,733)
3702. Non-Qualified Retirement Plan	(204,250)	(248,396)	(382,318)
3703. Correction of prior period error			(328,589)
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	(204,250)	(248,396)	(1,342,640)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	128,656,568	126,773,659	169,052,141
2. Net investment income	7,548,011	7,405,478	10,053,946
3. Miscellaneous income	1,161,685	1,719,198	2,161,884
4. Total (Lines 1 to 3)	137,366,264	135,898,335	181,267,971
5. Benefit and loss related payments	61,801,024	66,880,348	89,545,049
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	52,386,863	51,800,683	66,782,571
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 30,536 tax on capital gains (losses)	5,074,250	4,427,250	3,211,681
10. Total (Lines 5 through 9)	119,262,137	123,108,281	159,539,301
11. Net cash from operations (Line 4 minus Line 10)	18,104,127	12,790,054	21,728,670
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	33,594,564	33,051,801	43,354,382
12.2 Stocks	1,699,577	2,030,373	3,343,665
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds	4,440,840	2,592,468	
12.8 Total investment proceeds (Lines 12.1 to 12.7)	39,734,981	37,674,642	46,698,047
13. Cost of investments acquired (long-term only):			
13.1 Bonds	41,400,860	47,237,695	59,413,106
13.2 Stocks	3,969,126	584,300	584,300
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications		391,575	
13.7 Total investments acquired (Lines 13.1 to 13.6)	45,369,986	48,213,570	59,997,406
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(5,635,005)	(10,538,928)	(13,299,359)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds	(2,003,686)	(2,005,096)	(2,004,977)
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	22,308	4,974,765	1,127,553
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(1,981,378)	2,969,669	(877,424)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	10,487,744	5,220,795	7,551,887
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	18,990,094	11,438,207	11,438,207
19.2 End of period (Line 18 plus Line 19.1)	29,477,838	16,659,002	18,990,094

Note: Supplemental disclosures of cash flow information for non-cash transactions:

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of United Ohio Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (ODI).

The ODI recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* has been adopted as a component of prescribed or permitted accounting practices by the State of Ohio.

A reconciliation of the Company's net income and capital and surplus between NAIC Statutory Accounting Practices and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	09/30/2020	12/31/2019
NET INCOME					
(1) United Ohio Insurance Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 14,033,064	\$ 17,732,882
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	\$ 14,033,064	\$ 17,732,882
SURPLUS					
(5) United Ohio Insurance Company state basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 220,109,037	\$ 205,673,769
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	\$ 220,109,037	\$ 205,673,769

B. Use of Estimates in the Preparation of the Financial Statements – No Change

C. Accounting Policy – No Change

D. Going Concern

Management has evaluated the financial statements and determined that there are no going concern issues to report.

2. Accounting Changes and Corrections of Errors – No Change

3. Business Combinations and Goodwill – No Change

4. Discontinued Operations – No Change

5. Investments

- A. The Company has no mortgage loans.
- B. The Company has no debt restructuring.
- C. The Company has no reverse mortgages.
- D. Loan-Backed Securities

(1) Prepayment assumptions for Mortgage-backed securities, Collateralized Mortgage Obligations and Other Structured Securities were generated using a purchased prepayment model. The prepayment model uses a number of factors to estimate prepayment activity including the time of year (seasonality), current levels of interest rates (refinancing incentive), economic activity (including housing turnover) and term and age of the underlying collateral (burnout, seasoning). On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.

(2) a. The Company had no securities it intended to sell for which it recognized other-than-temporary impairment losses.

b. The Company had no securities for which it lacked the ability or intent to retain an investment in for a period of time sufficient to recover the amortized cost basis.

(3) The Company had no other-than-temporary impairments within the loan backed securities portfolio for the quarter ended September 30, 2020.

(4) All temporarily impaired securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss in 2020 are as follows:

a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$ (263,565)
2. 12 Months or Longer	<u>\$ (91,377)</u>

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$ 13,614,298
2. 12 Months or Longer	<u>\$ 8,639,956</u>

NOTES TO FINANCIAL STATEMENTS

(5) Management regularly reviews the value of the Company's investments. If the value of any investment falls below its cost basis, the decline is analyzed to determine whether it is an other-than-temporary decline in value. To make this determination for each security, the following is considered:

- The length of time and the extent to which the fair value has been below cost;
- The financial condition and near-term prospects of the issuer of the security, including any specific events that may affect its operations or earnings potential;
- Management's intent and ability to hold the security long enough for it to recover its value;

Management concluded that the remaining investments within the loaned backed securities portfolio held with unrealized losses were not other-than-temporarily impaired on the basis that the Company had the ability and intent to hold the investments for a period of time sufficient for a forecasted market price recovery up to or beyond the cost of the investment. Also, in management's opinion, evidence indicating the cost of the investment was recoverable within a reasonable period of time outweighed evidence to the contrary in considering the severity and duration of the impairment in relation to the forecasted market price recovery.

- E. The Company has no dollar repurchase agreements and/or securities lending transactions.
- F. The Company has no repurchase agreements transactions accounted for as secured borrowing.
- G. The Company has no reverse repurchase agreements transactions accounted for as secured borrowing.
- H. The Company has no repurchase agreements transactions accounted for as a sale.
- I. The Company has no reverse repurchase agreements transactions accounted for as a sale.
- M. The Company has no Working Capital Finance Investments.
- N. The Company has no Offsetting and Netting of Assets and Liabilities.

6. Joint Ventures, Partnerships and Limited Liability Companies – No Change

7. Investment Income – No Change

8. Derivative Instruments – No Change

9. Income Taxes – No Change

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

- A. The Company is a wholly owned subsidiary of Ohio Mutual Insurance Company (Ohio Mutual). Ohio Mutual is the sole shareholder and owner of the Company, Casco Indemnity Company (Casco), United Premium Budget Service Inc., Centurion Financial Inc. (CEF), and Ohio United Agency, Inc.
- B. The Company, Ohio Mutual (parent) and Casco have entered into a reinsurance pooling agreement through which underwriting activities and operating expenses are proportionately allocated. See footnote #26 for additional information on the pooling agreement.
- C. In 2020 the Company received from its parent, Ohio Mutual, \$10,147,656 under the terms of the Reinsurance Pooling Agreement between the entities.
- D. As of September 30, 2020, the Company's parent, Ohio Mutual, owes the Company \$1,357,582 under the terms of the Reinsurance Pooling Agreement. As of September 30, 2020, the Company owes Ohio Mutual \$72,630 and owes its affiliate, Casco, \$19,113 under the terms of the Cost Sharing Agreement.
- E. The Company has no guarantees or undertakings at September 30, 2020.
- F. The Company, its parent, Ohio Mutual, and affiliate, Casco, entered into a Cost Sharing Agreement effective, January 1, 2011, through which certain common costs are shared proportionally between the entities.
- G. All outstanding shares of the Company are owned by its parent, Ohio Mutual, an insurance company domiciled in the State of Ohio.
- H. The Company owns no shares of the stock of its ultimate parent, Ohio Mutual.
- I. The Company does not own a share or interest in an upstream intermediate entity or its parent, either directly or indirectly.
- J. The Company has no subsidiary investments, controlled or affiliated companies during the statement period.
- K. Not Applicable
- L. Not Applicable
- M. The Company has no SCA investments.
- N. The Company has no investments in Insurance SCAs.

11. Debt

B. FHLB (Federal Home Loan Bank) Agreements

- (1) The Company is a member of the Federal Home Loan Bank (FHLB) of Cincinnati. Through its membership, the Company had issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This was an interest-only loan with principal due at the maturity date of June 19, 2020. This loan was collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB. It is part of the Company's strategy to utilize these funds for operations, and any funds obtained from the FHLB of Cincinnati for use in general operations would be accounted for consistent with SSAP No. 15, *Debt and Holding Company Obligations* as borrowed money. At maturity, the Company paid off this debt. The carrying value of the debt at September 30, 2020 is \$0.00. The interest paid to the FHLB through the third quarter of 2020 was \$20,277.07.

NOTES TO FINANCIAL STATEMENTS

- (2) The Company, as a member of the FHLB of Cincinnati has purchased 7,389 shares of \$100 Par Value Class B capital stock for a total purchase price of \$738,900. The Class B common stock is broken out into the following categories:

a. Aggregate Totals

1) Current Year

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock - Class A	-	-	-
Membership Stock - Class B	624,396	624,396	-
Activity Stock	-	-	-
Excess Stock	114,504	114,504	-
Aggregate Total	<u>738,900</u>	<u>738,900</u>	-
 Borrowing Capacity as determined by the Insurer	 <u>104,920,440</u>	 XXX	 XXX

2) Prior Year-end

	1 Total 2 + 3	2 General Account	3 Protected Cell Accounts
Membership Stock - Class A	-	-	-
Membership Stock - Class B	578,865	578,865	-
Activity Stock	80,000	80,000	-
Excess Stock	80,035	80,035	-
Aggregate Total	<u>738,900</u>	<u>738,900</u>	-
 Borrowing Capacity as determined by the Insurer	 <u>99,226,111</u>	 XXX	 XXX

b. Membership Stock (Class A and B) Eligible for Redemption

Membership Stock	Current Year Total	Not Eligible for Redemption	Less than 6 Months	6 Months to Less Than 1 year	1 Year to Less Than 3 years	3 to 5 Years
1. Class A						
2. Class B	624,396	624,396				

- (3) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year, maturing on June 19, 2020. This loan was collateralized by treasury bonds, cash and mortgage-backed securities on deposit with the FHLB.

a. Amount Pledged as of Reporting Date

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	-	-	-

2) Current Year General Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	-	-	-

3) Current Year Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	-	-	-

4) Prior Year-end Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged	4,109,117	4,103,219	2,000,000

b. Maximum Amount Pledged during Reporting Period

1) Current Year Total General and Protected Cell Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Maximum Collateral Pledged	-	-	-

NOTES TO FINANCIAL STATEMENTS

2)	Current Year General Accounts		Aggregate Total
	Fair Value	Carrying Value	Borrowing
	Maximum Collateral Pledged	-	-
3)	Current Year Separate Accounts		Aggregate Total
	Fair Value	Carrying Value	Borrowing
	Maximum Collateral Pledged	-	-
4)	Prior Year-end Total General and Protected Cell Accounts		Aggregate Total
	Fair Value	Carrying Value	Borrowing
	Maximum Collateral Pledged	4,864,518	5,004,113 4,000,000

(4) The Company, as a member of the FHLB of Cincinnati has issued debt to the FHLB of Cincinnati in exchange for cash advances in the amount of \$2,000,000 for a period of one year at a fixed rate of 2.17%. This was an interest only loan with principal due at the maturity date of June 19, 2020.

a. Amount as of Reporting Date

1)	Current Year				
	1	2	3	4	
	Total	General	Protected Cell	Funding Arrangements	
	2 + 3	Account	Account	Reserves Established	
	(a) Debt	-	-	-	XXX
2)	Prior Year-end				
	Total	General	Protected Cell	Funding Arrangements	
	2 + 3	Account	Account	Reserves Established	
	(a) Debt	2,000,000	2,000,000	-	XXX

b. Maximum Amount during Reporting Period (Current Year)

	Total	General	Protected Cell	Funding Arrangements	
	2 + 3	Account	Account	Reserves Established	
	(a) Debt	-	-	-	XXX

c. FHLB Prepayment Obligations

Does the company have prepayment obligations under the following arrangements? (YES/NO)?

1)	Debt	YES
2)	Funding Agreements	NO
3)	Other	NO

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

(4) Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits	
	09/30/2020	12/31/2019	09/30/2020	12/31/2019
a. Service cost	\$ 357,917	\$ 479,059	\$ 1,127	\$ 1,989
b. Interest cost	713,921	1,073,593	41,951	70,904
c. Expected return on plan assets	(1,164,671)	(1,401,576)	-	-
d. Transition asset or obligation	-	-	-	-
e. Gains and losses	439,874	563,846	(66,533)	(136,622)
f. Prior service cost or credit	23,091	46,589	-	-
g. Gain or loss recognized due to a settlement or curtailment	-	-	-	-
h. Total net periodic benefit cost	\$ 370,132	\$ 761,511	\$ (23,455)	\$ (63,729)

13. Capital and Surplus, Dividend Restrictions and Quasi-reorganizations – No Change

14. Liabilities, Contingencies and Assessments

D. The Company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits.

Claims related ECO and bad faith losses paid during the reporting period	<u>Direct....</u> \$0
--	--------------------------

Number of claims where amounts were paid to settle claims related extra contractual obligations or bad faith claims resulting from lawsuits during the reporting period.

(a)	(b)	(c)	(d)	(e)
<u>0-25 Claims</u>	<u>26-50 Claims</u>	<u>51-100 Claims</u>	<u>101-500 Claims</u>	<u>More than 500 Claims</u>
X				

NOTES TO FINANCIAL STATEMENTS

Indicate whether claim count information is disclosed per claim or per claimant.

(f) Per Claim []

(g) Per Claimant [X]

15. Leases – No Change

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk – No Change

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

The Company has no sale, transfer and servicing of financial assets and extinguishments of liabilities.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans – No Change

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators – No Change

20. Fair Value Measurements

A. Fixed maturity securities that are carried at amortized cost are not included in the table below:

(1) Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					
Industrial & Misc	\$ -	\$ -	\$ -	\$ -	\$ -
Hybrid Securities	\$ -	\$ 1,756,171	\$ -	\$ -	\$ 1,756,171
Total Bonds	\$ -	\$ 1,756,171	\$ -	\$ -	\$ 1,756,171
Preferred Stock					
Industrial and Misc	-	3,849,034	-	-	3,849,034
Total Preferred Stocks	\$ -	\$ 3,849,034	\$ -	\$ -	\$ 3,849,034
Common Stock					
Industrial and Misc	-	738,900	-	-	738,900
Mutual Funds	17,507,742	-	-	-	17,507,742
Total Common Stocks	\$ 17,507,742	\$ 738,900	\$ -	\$ -	\$ 18,246,642
Derivative assets	-	-	-	-	-
Total assets at fair value	\$ 17,507,742	\$ 6,344,105	\$ -	\$ -	\$ 23,851,847

(2) The Company has no Level 3 Fair Value Measurements

(3) Transfers between levels are recognized on the actual date of the event or change in circumstances that caused the transfer.

(4) As of September 30, 2020, the reporting entity's investments in Level 2, NAIC rated A, common stocks are reported at fair value.

C. The Aggregate Fair Value for all Financial Instruments and the Level within the Fair Value Hierarchy are illustrated as follows:

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level (1)	Level (2)	Level (3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	\$ 291,903,952	\$ 277,900,823	\$ -	\$ 291,903,952	\$ -	\$ -	\$ -
Preferred Stocks	6,591,774	6,272,147	-	6,591,774	-	-	-
Common Stocks	18,246,642	18,246,642	17,507,742	738,900	-	-	-
Total Financial Instruments	\$ 316,742,368	\$ 302,419,612	\$ 17,507,742	\$ 299,234,626	\$ -	\$ -	\$ -

D. Not Practicable to Estimate Fair Value

The Company's Financial Instruments are valued at Fair Value unless otherwise specified.

E. Investments Measured using the NAV Practical Expedient Pursuant to SSAP No. 100R – Fair Value

The Company occasionally holds assets in money market accounts that are valued at NAV. The probability of these assets being sold at value different from NAV is remote.

21. Other Items

A. Unusual or Infrequent Items

Effective March 16, 2020, the Ohio Mutual, United Ohio and Casco (collectively, the Group) initiated a self-imposed moratorium suspending all policy cancellations for non-payment due to the novel coronavirus pandemic. The moratorium ran through July 26, 2020 with a phased return to normal operations commencing on Monday, July 27, 2020. The Group will continue to work with policyholders that may require additional payment assistance. In addition, the Group issued personal auto premium credits equal to 25% of earned premium for the period from March 16, 2020 through May 31, 2020. Credits and payments totaling \$6.1 million were applied to policyholder accounts, or mailed to policyholders, the first week of June, 2020. This action was in response to the abrupt reduction in driving and corresponding auto claims attributed to the coronavirus pandemic. The \$6.1 million credits and payments were taken as a direct reduction of written and earned premium in the second quarter.

22. Events Subsequent – None

NOTES TO FINANCIAL STATEMENTS

23. Reinsurance – No Change**24. Retrospectively Rated Contracts and Contracts Subject to Redetermination – No Change****25. Change in Incurred Losses and Loss Adjustment Expenses**

A. The Company's portion of pooled loss reserves as of December 31, 2019, was \$75.9 million. On a pooled basis as of September 30, 2020, \$26.9 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Pooled reserves remaining for prior years are now \$42.6 million. There has been a \$6.4 million favorable prior-year development, on a pooled basis, from December 31, 2019 to September 30, 2020 a result of re-estimation of unpaid claims and claim adjustment expenses principally on homeowners, farmowners, and personal auto liability lines of insurance. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company does not have any retrospectively rated policies which would be included in the favorable development.

B. No Change

26. Intercompany Pooling Arrangements

Effective January 1, 2011, the Company requested and received permission from the ODI to pool the underwriting results of the Company with those of its insurance parent, Ohio Mutual and affiliate Casco. Through the Pooling Agreement, Ohio Mutual, NAIC #10202, retains 27% of the group's pooled underwriting results and cedes 8% to Casco, NAIC #25950 and 65% to the Company, NAIC #13072. The following underwriting results were assumed/ceded between the companies:

	09/30/2020	12/31/2019
Premium earned ceded to Ohio Mutual from United Ohio	\$ (120,560,287)	\$ (161,461,324)
Premium earned assumed by United Ohio	126,509,405	167,604,795
Change in premium earned due to pooling	<u>\$ 5,949,118</u>	<u>\$ 6,143,471</u>
Losses incurred ceded to Ohio Mutual from United Ohio	\$ (56,274,348)	\$ (87,657,890)
Losses incurred assumed by United Ohio	64,372,249	92,851,992
Change in losses incurred due to pooling	<u>\$ 8,097,901</u>	<u>\$ 5,194,102</u>
Net loss adjustment expenses ceded to Ohio Mutual	\$ (4,409,259)	\$ (5,931,016)
Net other underwriting expenses ceded to Ohio Mutual	(6,984,842)	(9,532,393)
Change in expenses incurred due to pooling	<u>\$ (11,394,101)</u>	<u>\$ (15,463,409)</u>
Change in income before taxes due to pooling	<u>\$ 9,245,318</u>	<u>\$ 16,412,778</u>

27. Structured Settlements – No Change**28. Health Care Receivables – No Change****29. Participating Policies – No Change****30. Premium Deficiency Reserves – No Change****31. High Deductibles – No Change****32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses – No Change****33. Asbestos/Environmental Reserves – No Change****34. Subscriber Savings Accounts – No Change****35. Multiple Peril Crop Insurance – No Change****36. Financial Guaranty Insurance – No Change**

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2015
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2015
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 05/19/2017
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [X] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 1,284,952

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$
13. Amount of real estate and mortgages held in short-term investments: \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No
- 14.2 If yes, please complete the following:
- | | 1
Prior Year-End
Book/Adjusted
Carrying Value | 2
Current Quarter
Book/Adjusted
Carrying Value |
|---|--|---|
| 14.21 Bonds | \$ | \$ |
| 14.22 Preferred Stock | \$ | \$ |
| 14.23 Common Stock | \$ | \$ |
| 14.24 Short-Term Investments | \$ | \$ |
| 14.25 Mortgage Loans on Real Estate | \$ | \$ |
| 14.26 All Other | \$ | \$ |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$ | \$ |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. \$
- 16.3 Total payable for securities lending reported on the liability page. \$

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank	38 Fountain Square Plaza, Cincinnati, Ohio 45263

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
New England Asset Management	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets?..... Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets?..... Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105900	New England Asset Management	KUR85E5PS4GQFZTFC130	New England Asset Management is a SEC registered Investment Advisor	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes [] No [X]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
 Has the reporting entity self-designated PLGI securities? Yes [] No [X]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
 a. The shares were purchased prior to January 1, 2019.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 d. The fund only or predominantly holds bonds in its portfolio.
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [] No [X] N/A []
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [] No [X]
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [] No [X]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [] No [X]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL										

5. Operating Percentages:

5.1 A&H loss percent %

5.2 A&H cost containment percent %

5.3 A&H expense percent excluding cost containment expenses 17.041 %

6.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date \$

6.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date \$

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [X] No []

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [] No []

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

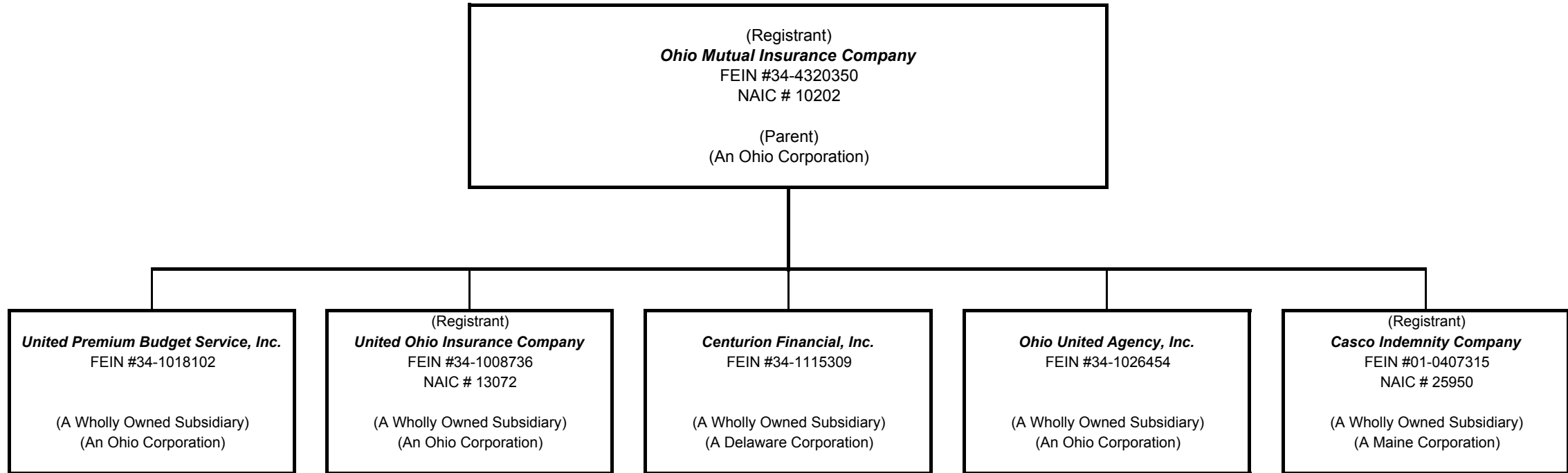
States, etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL	N					
2. Alaska	AK	N					
3. Arizona	AZ	N					
4. Arkansas	AR	N					
5. California	CA	N					
6. Colorado	CO	N					
7. Connecticut	CT	L	14,420,148	17,073,464	8,413,427	9,892,912	16,127,801
8. Delaware	DE	N					
9. District of Columbia	DC	N					
10. Florida	FL	N					
11. Georgia	GA	N					
12. Hawaii	HI	N					
13. Idaho	ID	N					
14. Illinois	IL	N					
15. Indiana	IN	L	153,234	81,047	20,418	533	170,997
16. Iowa	IA	N					
17. Kansas	KS	N					
18. Kentucky	KY	N					
19. Louisiana	LA	N					
20. Maine	ME	L	6,668,576	6,250,698	3,065,274	3,625,452	5,074,531
21. Maryland	MD	N					
22. Massachusetts	MA	N					
23. Michigan	MI	N					
24. Minnesota	MN	N					
25. Mississippi	MS	N					
26. Missouri	MO	N					
27. Montana	MT	N					
28. Nebraska	NE	N					
29. Nevada	NV	N					
30. New Hampshire	NH	L	4,582,165	4,829,534	2,305,713	2,611,533	2,637,146
31. New Jersey	NJ	N					
32. New Mexico	NM	N					
33. New York	NY	N					
34. North Carolina	NC	N					
35. North Dakota	ND	N					
36. Ohio	OH	L	83,562,640	84,302,914	32,083,647	37,893,374	35,958,493
37. Oklahoma	OK	N					
38. Oregon	OR	N					
39. Pennsylvania	PA	N					
40. Rhode Island	RI	L	13,368,302	13,684,441	6,395,970	8,593,031	11,855,176
41. South Carolina	SC	N					
42. South Dakota	SD	N					
43. Tennessee	TN	L					
44. Texas	TX	N					
45. Utah	UT	N					
46. Vermont	VT	L	7,296,911	7,461,589	3,853,835	3,583,221	4,994,575
47. Virginia	VA	L					
48. Washington	WA	N					
49. West Virginia	WV	N					
50. Wisconsin	WI	L					
51. Wyoming	WY	N					
52. American Samoa	AS	N					
53. Guam	GU	N					
54. Puerto Rico	PR	N					
55. U.S. Virgin Islands	VI	N					
56. Northern Mariana Islands	MP	N					
57. Canada	CAN	N					
58. Aggregate Other Alien	OT	XXX					
59. Totals	XXX		130,051,976	133,683,687	56,138,284	66,200,056	76,818,719
DETAILS OF WRITE-INS							
58001.	XXX						
58002.	XXX						
58003.	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX						
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX						

(a) Active Status Counts:

- L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG10
- E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)
- D - Domestic Surplus Lines Insurer (DSLII) - Reporting entities authorized to write surplus lines in the state of domicile
- R - Registered - Non-domiciled RRGs
- Q - Qualified - Qualified or accredited reinsurer
- N - None of the above - Not allowed to write business in the state47

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART**

Ohio Mutual Insurance Group



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0963	Ohio Mutual Insurance Group	10202	34-4320350				Ohio Mutual Insurance Company	OH	UDP	Ohio Mutual Insurance Company	Management			N	
.0963	Ohio Mutual Insurance Group	13072	34-1008736				United Ohio Insurance Company	OH		Ohio Mutual Insurance Company	Ownership	100.000	Ohio Mutual Insurance Company	N	
.0963	Ohio Mutual Insurance Group		34-1018102				United Premium Budget Service, Inc.	OH	NIA	Ohio Mutual Insurance Company	Ownership	100.000	Ohio Mutual Insurance Company	N	
.0963	Ohio Mutual Insurance Group		34-1115309				Centurion Financial, Inc.	DE	NIA	Ohio Mutual Insurance Company	Ownership	100.000	Ohio Mutual Insurance Company	N	
.0963	Ohio Mutual Insurance Group		34-1026454				Ohio United Agency, Inc.	OH	NIA	Ohio Mutual Insurance Company	Ownership	100.000	Ohio Mutual Insurance Company	N	
.0963	Ohio Mutual Insurance Group	25950	01-0407315				Casco Indemnity Company	ME	IA	Ohio Mutual Insurance Company	Ownership	100.000	Ohio Mutual Insurance Company	N	

NONE

Asterisk	
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STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire	11,237,217	4,277,292	38.1	47.2
2. Allied Lines	108,565	21,930	20.2	19.8
3. Farmowners multiple peril	12,811,931	3,321,308	25.9	36.3
4. Homeowners multiple peril	10,308,351	5,953,301	57.8	51.2
5. Commercial multiple peril	24,141,548	11,348,921	47.0	35.3
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine	636,395	161,886	25.4	81.8
10. Financial guaranty				
11.1 Medical professional liability - occurrence				
11.2 Medical professional liability - claims-made				
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health	1,518			
16. Workers' compensation				
17.1 Other liability - occurrence	4,900,651	1,262,916	25.8	26.4
17.2 Other liability - claims-made				
17.3 Excess workers' compensation				
18.1 Products liability - occurrence	140,945	(13,318)	(9.4)	62.1
18.2 Products liability - claims-made				
19.1,19.2 Private passenger auto liability	23,127,170	11,127,524	48.1	63.8
19.3,19.4 Commercial auto liability	15,013,208	7,369,466	49.1	62.2
21. Auto physical damage	24,108,510	13,102,429	54.3	62.3
22. Aircraft (all perils)				
23. Fidelity				
24. Surety				
26. Burglary and theft	330,631	11,520	3.5	5.0
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business				
35. Totals	126,866,640	57,945,175	45.7	51.5
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page				
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire	3,824,856	11,274,865	11,102,964
2. Allied Lines	34,455	103,445	113,902
3. Farmowners multiple peril	3,964,286	13,024,944	13,456,690
4. Homeowners multiple peril	3,452,603	9,834,225	10,661,270
5. Commercial multiple peril	8,225,516	25,739,434	24,089,280
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine	231,340	721,641	624,546
10. Financial guaranty			
11.1 Medical professional liability - occurrence			
11.2 Medical professional liability - claims-made			
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health		1,276	2,012
16. Workers' compensation			
17.1 Other liability - occurrence	1,559,256	5,295,506	4,871,009
17.2 Other liability - claims-made			
17.3 Excess workers' compensation			
18.1 Products liability - occurrence	47,645	161,241	150,309
18.2 Products liability - claims-made			
19.1,19.2 Private passenger auto liability	8,065,873	22,938,323	26,699,752
19.3,19.4 Commercial auto liability	4,650,939	15,844,491	15,096,479
21. Auto physical damage	8,569,389	24,784,875	26,485,003
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft	109,952	327,710	330,471
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty			
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business			
35. Totals	42,736,110	130,051,976	133,683,687
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page			
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)			

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2017 + Prior	8,570	9,402	17,972	5,755	6	5,761	5,496	7	5,052	10,555	2,681	(4,337)	(1,656)
2. 2018	6,709	9,584	16,293	5,025	89	5,114	5,381	26	5,191	10,598	3,697	(4,278)	(581)
3. Subtotals 2018 + Prior	15,279	18,986	34,265	10,780	95	10,875	10,877	33	10,243	21,153	6,378	(8,615)	(2,237)
4. 2019	19,540	22,074	41,614	15,685	326	16,011	10,881	607	9,934	21,422	7,026	(11,207)	(4,181)
5. Subtotals 2019 + Prior	34,819	41,060	75,879	26,465	421	26,886	21,758	640	20,177	42,575	13,404	(19,822)	(6,418)
6. 2020	XXX	XXX	XXX	XXX	46,533	46,533	XXX	12,209	22,952	35,161	XXX	XXX	XXX
7. Totals	34,819	41,060	75,879	26,465	46,954	73,419	21,758	12,849	43,129	77,736	13,404	(19,822)	(6,418)
8. Prior Year-End Surplus As Regards Policyholders	205,674										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 38.5	2. (48.3)	3. (8.5)
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. (3.1)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

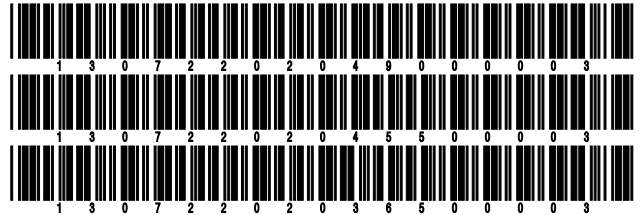
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	YES

Explanations:

- 1.
- 2.
- 3.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

NONE

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	292,709,966	277,005,725
2. Cost of bonds and stocks acquired	45,369,986	59,997,406
3. Accrual of discount	86,137	95,554
4. Unrealized valuation increase (decrease)	824,047	4,252,887
5. Total gain (loss) on disposals	152,865	4,685
6. Deduct consideration for bonds and stocks disposed of	35,329,817	46,700,036
7. Deduct amortization of premium	1,431,050	1,948,244
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	35,676	1,989
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	302,417,810	292,709,966
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	302,417,810	292,709,966

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	255,371,862	17,442,642	13,447,316	(4,202,873)	254,193,731	255,371,862	255,164,315	259,135,519
2. NAIC 2 (a)	17,362,448	263,750	1,636,783	3,732,210	15,964,065	17,362,448	19,721,625	13,053,847
3. NAIC 3 (a)	2,959,589			26,827	2,417,313	2,959,589	2,986,416	1,958,992
4. NAIC 4 (a)								
5. NAIC 5 (a)	30,649		2,337	160	32,748	30,649	28,472	34,766
6. NAIC 6 (a)								
7. Total Bonds	275,724,548	17,706,392	15,086,436	(443,676)	272,607,857	275,724,548	277,900,828	274,183,124
PREFERRED STOCK								
8. NAIC 1	439,117				439,117	439,117	439,117	439,117
9. NAIC 2	3,719,196	495,250		55,189	3,824,826	3,719,196	4,269,635	2,357,120
10. NAIC 3	1,540,610			20,985	1,673,940	1,540,610	1,561,595	1,087,800
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock	5,698,923	495,250		76,174	5,937,883	5,698,923	6,270,347	3,884,037
15. Total Bonds and Preferred Stock	281,423,471	18,201,642	15,086,436	(367,502)	278,545,740	281,423,471	284,171,175	278,067,161

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

Schedule DA - Part 1 - Short-Term Investments

N O N E

Schedule DA - Verification - Short-Term Investments

N O N E

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,762,052	1,328,949
2. Cost of cash equivalents acquired	53,309,573	41,751,505
3. Accrual of discount	2,393	1,289
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	44,788,228	39,319,691
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	12,285,790	3,762,052
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	12,285,790	3,762,052

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Admini- strative Symbol
419792-ZB-5	HAWAII ST		.08/06/2020	BOFA SECURITIES INC.		750,000	750,000		1FE
68609T-AA-0	OREGON ST		.07/09/2020	CITIGROUP GLOBAL MARKETS INC.		500,000	500,000		1FE
68609T-AA-7	OREGON ST		.07/09/2020	CITIGROUP GLOBAL MARKETS INC.		500,000	500,000		1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						1,750,000	1,750,000		XXX
250343-JV-7	DESCHUTES & JEFFERSON CNTYS OR		.08/07/2020	PIPER SANDLER & CO.		500,000	500,000		1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						500,000	500,000		XXX
254776-TX-3	DIST OF COLUMBIA INCOME TAX SE		.07/10/2020	CITIGROUP GLOBAL MARKETS INC.		2,000,000	2,000,000		1FE
3140X7-26-3	UMBS - POOL FM4374		.09/23/2020	CANTOR FITZGERALD & CO.		4,437,734	4,300,000	3,106	1FE
864784-HB-2	SUFFOLK CNTY NY WTR AUTH		.07/30/2020	SUNTRUST CAPITAL MARKETS		503,645	500,000	1,050	1FE
977123-Y7-7	WISCONSIN ST TRANSPRTN REVENUE		.07/10/2020	WELLS FARGO SECURITIES LLC		1,000,000	1,000,000		1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						7,941,379	7,800,000	4,156	XXX
05492P-AA-6	BANC OF AMERICA MERRILL LYNCH 19-BPR ANM		.08/14/2020	BOFA SECURITIES INC.		750,059	750,000	1,102	1FE
33767M-AA-3	FIRSTKEY HOMES 2020-SFR1 TRUST 20-SFR1 A		.07/31/2020	MORGAN STANLEY & CO. LLC		499,990	500,000		1FE
33851K-AG-1	FLAGSTAR MORTGAGE TRUST 20-2 A4		.09/11/2020	GOLDMAN SACHS & CO. LLC		751,290	733,021	855	1FE
36259H-AA-9	GS MORTGAGE-BACKED SECURITIES 20-NQM1 A1		.08/26/2020	GOLDMAN SACHS & CO. LLC		2,749,943	2,750,000	3,167	1FE
649322-AG-9	NY & PRESBYTERIAN HOSPIT		.08/12/2020	GOLDMAN SACHS & CO. LLC		500,000	500,000		1FE
85236K-AD-4	STACK INFRASTRUCTURE ISSUER LL 20-1A A2		.08/24/2020	GUGGENHEIM SECURITIES LLC		750,000	750,000		1FE
92837K-AA-9	VISIO 2020-1 TRUST 20-1 A1		.07/24/2020	NOMURA SECURITIES INTERNATIONAL INC.		1,249,981	1,250,000	1,556	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						7,251,263	7,233,021	6,680	XXX
064058-AH-3	BANK OF NY MELLON CORP		.09/25/2020	BOFA SECURITIES INC.		263,750	250,000	294	2FE
4899999. Subtotal - Bonds - Hybrid Securities						263,750	250,000	294	XXX
8399997. Total - Bonds - Part 3						17,706,392	17,533,021	11,130	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						17,706,392	17,533,021	11,130	XXX
89832Q-69-5	TRUIST FINANCIAL CORP 4.75%		.08/12/2020	BOFA SECURITIES INC.	15,000,000	384,300	0.00		2FE
902973-15-5	US BANCORP FLT		.09/14/2020	J.P. MORGAN SECURITIES LLC	5,000,000	112,750	0.00		2FE
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated) Perpetual Preferred						497,050	XXX		XXX
8999997. Total - Preferred Stocks - Part 3						497,050	XXX		XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						497,050	XXX		XXX
9799997. Total - Common Stocks - Part 3							XXX		XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX		XXX
9899999. Total - Preferred and Common Stocks						497,050	XXX		XXX
9999999 - Totals						18,203,442	XXX	11,130	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
36179T-4P-7	GOVERNMENT NATL MTG ASSOC #11 #MA5330		09/01/2020	PAYDOWN		636,588	636,588	651,775	641,346		(4,758)		(4,758)		636,588				16,824	07/20/2048	IFE
36179T-7L-3	GOVERNMENT NATL MTG ASSOC #11 #MA5399		09/01/2020	PAYDOWN		137,843	137,843	142,312	139,081		(1,238)		(1,238)		137,843				4,104	08/20/2048	IFE
36179T-25-7	GOVERNMENT NATL MTG ASSOC #11 #MA5264		09/01/2020	PAYDOWN		251,807	251,807	257,492	253,455		(1,648)		(1,648)		251,807				6,640	06/20/2048	IFE
3620AU-TE-4	GOVERNMENT NATL MTG ASSOC #740449		09/01/2020	PAYDOWN		8,108	8,108	8,435	8,127		(19)		(19)		8,108				194	11/15/2040	IFE
3620AW-TA-8	GOVERNMENT NATL MTG ASSOC #742245		09/01/2020	PAYDOWN		18,748	18,748	18,751	18,748						18,748				498	01/15/2041	IFE
3620C6-YU-3	GOVERNMENT NATL MTG ASSOC #750523		09/01/2020	PAYDOWN		1,315	1,315	1,368	1,316						1,315				35	11/15/2040	IFE
36230P-M6-4	GOVERNMENT NATL MTG ASSOC #754881		09/01/2020	PAYDOWN		515	515	530	515						515				14	12/15/2040	IFE
36230P-NJ-5	GOVERNMENT NATL MTG ASSOC #754893		09/01/2020	PAYDOWN		802	802	824	802						802				21	12/15/2040	IFE
38376T-BF-8	GOVERNMENT NATIONAL MORTGAGE A 10 12 DA		09/01/2020	PAYDOWN		3,075	3,075	3,182	3,090		(14)		(14)		3,075				90	01/16/2040	IFE
0599999	Subtotal - Bonds - U.S. Governments					1,058,801	1,058,801	1,084,669	1,066,480		(7,677)		(7,677)		1,058,801				28,420	XXX	XXX
774285-6H-8	ROCKWALL TX INDEP SCH DIST		08/15/2020	PREREFUNDED		385,000	385,000	429,056	388,636		(3,636)		(3,636)		385,000				19,250	02/15/2029	IFE
774285-6T-2	ROCKWALL TX INDEP SCH DIST		08/15/2020	PREREFUNDED		1,615,000	1,615,000	1,799,804	1,630,252		(15,252)		(15,252)		1,615,000				80,750	02/15/2029	IFE
2499999	Subtotal - U.S. Political Subdivisions of States, Territories and Possessions					2,000,000	2,000,000	2,228,860	2,018,888		(18,888)		(18,888)		2,000,000				100,000	XXX	XXX
130333-CA-3	CALIFORNIA ST HSG FIN AGY RSDL		08/01/2020	SINK FUND PAYMENT		81,949	81,949	81,949	81,949						81,949				1,581	02/01/2024	IFE
3128MM-LD-3	FEDERAL HOME LN MTG CORP #G18323		09/01/2020	PAYDOWN		4,435	4,435	4,565	4,459		(24)		(24)		4,435				133	09/01/2042	IFE
3128PP-5E-9	FEDERAL HOME LN MTG CORP #J10845		09/01/2020	PAYDOWN		2,458	2,458	2,527	2,468		(10)		(10)		2,458				74	10/01/2024	IFE
3128PQ-PY-1	FEDERAL HOME LN MTG CORP #J11339		09/01/2020	PAYDOWN		9,094	9,094	9,495	9,162		(68)		(68)		9,094				273	12/01/2024	IFE
31306X-2A-0	FEDERAL HOME LN MTG CORP #J20769		09/01/2020	PAYDOWN		38,600	38,600	40,494	38,842		(241)		(241)		38,600				636	10/01/2027	IFE
3131XJ-F9-8	UMBS - POOL ZL2892		09/01/2020	PAYDOWN		40,208	40,208	41,100	40,383		(175)		(175)		40,208				924	04/01/2042	IFE
3131XJ-G2-2	UMBS - POOL ZL2917		09/01/2020	PAYDOWN		21,354	21,354	21,828	21,445		(91)		(91)		21,354				529	04/01/2042	IFE
3131XJ-N6-5	UMBS - POOL ZL3113		09/01/2020	PAYDOWN		18,055	18,055	18,464	18,127		(71)		(71)		18,055				347	05/01/2042	IFE
3131XJ-VC-3	UMBS - POOL ZL3311		09/01/2020	PAYDOWN		2,207	2,207	2,257	2,208		(1)		(1)		2,207				44	07/01/2042	IFE
3131XK-KC-2	UMBS - POOL ZL3891		09/01/2020	PAYDOWN		93,542	93,542	99,359	94,163		(621)		(621)		93,542				2,102	10/01/2042	IFE
3131XQ-SZ-5	UMBS - POOL ZL8964		09/01/2020	PAYDOWN		22,724	22,724	23,870	22,807		(84)		(84)		22,724				490	01/01/2045	IFE
3131XQ-VR-4	UMBS - POOL ZL8724		09/01/2020	PAYDOWN		96,438	96,438	100,175	96,906		(468)		(468)		96,438				2,202	11/01/2044	IFE
3131XT-VP-2	UMBS - POOL ZM0622		09/01/2020	PAYDOWN		32,531	32,531	34,650	32,666		(136)		(136)		32,531				848	12/01/2045	IFE
31329J-P2-7	UMBS - POOL ZA1341		09/01/2020	PAYDOWN		69,118	69,118	72,207	69,493		(375)		(375)		69,118				1,387	09/01/2042	IFE
31329J-P3-5	UMBS - POOL ZA1342		09/01/2020	PAYDOWN		63,030	63,030	65,847	63,363		(333)		(333)		63,030				1,270	10/01/2042	IFE
31329J-P4-3	UMBS - POOL ZA1343		09/01/2020	PAYDOWN		33,407	33,407	35,485	33,621		(214)		(214)		33,407				763	10/01/2042	IFE
31329K-X9-0	UMBS - POOL ZA2504		09/01/2020	PAYDOWN		260,096	260,096	254,528	259,042		1,054		1,054		260,096				5,165	04/01/2038	IFE
3132A4-6S-2	UMBS - POOL ZS4481		09/01/2020	PAYDOWN		22,393	22,393	22,890	22,474		(81)		(81)		22,393				522	04/01/2042	IFE
3132A4-7A-0	UMBS - POOL ZS4489		09/01/2020	PAYDOWN		19,794	19,794	20,243	19,852		(58)		(58)		19,794				399	06/01/2042	IFE
3132A4-7B-8	UMBS - POOL ZS4490		09/01/2020	PAYDOWN		28,886	28,886	29,541	29,005		(119)		(119)		28,886				583	07/01/2042	IFE
3132A4-B4-9	UMBS - POOL ZS3659		09/01/2020	PAYDOWN		7,690	7,690	7,934	7,709		(19)		(19)		7,690				154	12/01/2042	IFE
3132A4-PW-2	UMBS - POOL ZS4037		09/01/2020	PAYDOWN		26,860	26,860	27,943	26,980		(120)		(120)		26,860				623	05/01/2044	IFE
3136AC-V5-7	FANNIE MAE 13 15 GP		09/01/2020	PAYDOWN		9,756	9,756	10,412	9,852		(95)		(95)		9,756				226	03/25/2042	IFE
3136AD-EF-2	FANNIE MAE 13 36 AG		09/01/2020	PAYDOWN		38,036	38,036	38,820	38,158		(123)		(123)		38,036				770	12/25/2036	IFE
3136AK-DG-5	FANNIE MAE 14 36 QB		09/01/2020	PAYDOWN		84,064	84,064	86,021	84,300		(236)		(236)		84,064				1,692	09/25/2033	IFE
3137B3-6H-6	FLMIC MULTIFAMILY STRUCTURED P K029 A1		09/01/2020	PAYDOWN		75,681	75,681	77,194	75,941		(261)		(261)		75,681				1,899	10/25/2022	IFE
3137BA-FZ-0	FREDDIE MAC 4323 VA		09/01/2020	PAYDOWN		14,313	14,313	15,435	14,427		(115)		(115)		14,313				382	03/15/2027	IFE
3137BB-N9-7	FREDDIE MAC 4358 DA		09/01/2020	PAYDOWN		13,218	13,218	13,513	13,269		(51)		(51)		13,218				268	06/15/2040	IFE
3138EM-EQ-0	UMBS - POOL AL4642		09/01/2020	PAYDOWN		6,321	6,321	6,602	6,363		(42)		(42)		6,321				125	11/01/2027	IFE
3138EN-2N-8	UMBS - POOL AL6180		09/01/2020	PAYDOWN		134,563	134,563	144,445	136,164		(1,600)		(1,600)		134,563				3,431	01/01/2045	IFE
3138EN-EB-1	UMBS - POOL AL5529		09/01/2020	PAYDOWN		56,248	56,248	60,282	56,586		(339)		(339)		56,248				1,512	06/01/2044	IFE
3138EN-MF-3	UMBS - POOL AL5757		09/01/2020	PAYDOWN		56,194	56,194	58,292	56,335		(141)		(141)		56,194				1,312	09/01/2044	IFE
3138EP-WS-9	UMBS - POOL AL6956		09/01/2020	PAYDOWN		32,953	32,953	34,266	33,052		(99)		(99)		32,953				827	06/01/2045	IFE
3138ER-ZD-1	UMBS - POOL AL9771		09/01/2020	PAYDOWN		130,213	130,213	137,477	130,721		(507)		(507)		130,213				3,695	02/01/2047	IFE
3138M9-PE-5	UMBS - POOL AP5820		09/01/2020	PAYDOWN		168,666	168,666	177,573	169,563		(898)		(898)		168,666				3,313	11/01/2042	IFE
3138W1-GD-3	UMBS - POOL AR3795		09/01/2020	PAYDOWN		19,851	19,851	20,437	19,890		(39)		(39)		19,851				356	02/01/2043	IFE
3138W4-M2-4	UMBS - POOL AR6676		09/01/2020	PAYDOWN		41,156	41,156	42,674	41,267		(111)		(111)		41,156				823	02/01/2043	IFE
3138WB-XQ-3	UMBS - POOL AS2486		09/01/2020	PAYDOWN		15,017	15,017	16,400	15,193		(175)		(175)		15,017				395	05/01/2044	IFE
3138WE-KE-8	UMBS - POOL AS4792		09/01/2020	PAYDOWN		34,895	34,895	35,059	34,920		(24)		(24)		34,895				813	04/01/2045	IFE
3138X0-YU-6	UMBS - POOL AU1622		09/01/2020	PAYDOWN		58,229	58,229	57,737	58,187		41		41		58,229				1,341	07/01/2043	IFE
3138YH-H4-2	UMBS - POOL AZ4750		09/01/2020	PAYDOWN		47,562	47,562	49,792	47,853		(291)		(291)		47,562				1,125	10/01/2045	IFE
31398S-CH-4	FANNIE MAE 10 122 AC		09/01/2020	PAYDOWN																	

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22		
										11	12	13	14	15									
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol		
3140K3-J2-9	UMBS - POOL B07480		09/01/2020	PAYDOWN		244,383	244,383	251,944			(404)		(404)		244,383				3,605	12/01/2049	1FE		
31416R-AJ-2	UMBS - POOL AA7208		09/01/2020	PAYDOWN		8,851	8,851	8,941	8,863		(13)		(13)		8,851				237	06/01/2024	1FE		
31417S-CT-5	UMBS - POOL AC5481		09/01/2020	PAYDOWN		8,713	8,713	9,107	8,787		(74)		(74)		8,713				259	11/01/2024	1FE		
31417U-V5-1	UMBS - POOL AC7835		09/01/2020	PAYDOWN		28,459	28,459	29,753	28,612		(153)		(153)		28,459				853	12/01/2024	1FE		
31417U-WF-8	UMBS - POOL AC7845		09/01/2020	PAYDOWN		5,847	5,847	6,113	5,876		(29)		(29)		5,847				175	01/01/2025	1FE		
31418C-YN-8	UMBS - POOL MA3416		09/01/2020	PAYDOWN		237,326	237,326	246,736	240,384		(3,058)		(3,058)		237,326				7,020	07/01/2048	1FE		
60416Q-FJ-9	MINNESOTA ST HSG FIN AGY HOME0		09/01/2020	SINK FUND PAYMENT		36,268	36,268	36,268	36,268						36,268				663	09/01/2041	1FE		
60416Q-GB-4	MINNESOTA ST HSG FIN AGY HOME0		09/01/2020	SINK FUND PAYMENT		143,705	143,705	143,705	143,705						143,705				2,762	11/01/2044	1FE		
613349-2F-5	MONTGOMERY CNTY MD HSG OPPORTU		07/01/2020	SINK FUND PAYMENT		70,000	70,000	75,221	70,602		(602)		(602)		70,000				2,900	01/01/2031	1FE		
64971M-4H-2	NEW YORK CITY NY TRANSITIONAL		08/01/2020	MATURITY		250,000	250,000	251,240	250,000		(88)		(88)		250,000				10,343	08/01/2020	1FE		
678514-BA-7	OKLAHOMA ST CAPITAL IMPT AUTH		07/01/2020	SECURITY CALLED BY ISSUER at 100.000		2,000,000	2,000,000	2,000,000	2,000,000						2,000,000				84,840	07/01/2021	1FE		
73358W-T6-1	PORT AUTH OF NEW YORK & NEW JE		09/15/2020	MATURITY		750,000	750,000	750,000	750,000						750,000				18,578	09/15/2020	1FE		
837542-FQ-7	SOUTH DAKOTA ST BRD OF RGTS HS		07/07/2020	LLC		290,728	250,000	291,420	271,172		(2,181)		(2,181)		268,991		21,737	21,737	9,653	04/01/2029	1FE		
83756C-FR-1	SOUTH DAKOTA HSG DEV AUTH		08/12/2020	SINK FUND PAYMENT		15,000	15,000	16,191	15,111		(111)		(111)		15,000				343	11/01/2044	1FE		
3199999	Subtotal - Bonds - U.S. Special Revenues					6,581,081	6,540,353	6,692,928	6,332,065				(17,511)		6,559,344		21,737	21,737	198,653	XXX	XXX		
02004V-AC-7	ALLY AUTO RECEIVABLES TRUST 18-2 A3		09/15/2020	PAYDOWN		163,318	163,318	163,289	163,227		91		91		163,318				3,179	11/15/2022	1FE		
03464K-AA-6	ANGEL OAK MORTGAGE TRUST 19-5 A1		09/01/2020	PAYDOWN		75,142	75,142	75,141	75,142						75,142				1,326	10/25/2049	1FE		
042658-AA-8	ARROYO MORTGAGE TRUST 19-2 A1		09/01/2020	PAYDOWN		76,342	76,342	76,341	76,342						76,342				1,711	04/25/2049	1FM		
126650-CJ-7	CVS HEALTH CORP		07/20/2020	MATURITY		750,000	750,000	749,445	749,935		65		65		750,000				21,000	07/20/2020	2FE		
13976M-AC-8	CAPITAL AUTO RECEIVABLES ASSET 18-1 A3		09/20/2020	PAYDOWN		83,192	83,192	83,177	83,231		(39)		(39)		83,192				1,548	01/20/2022	1FE		
14313F-AD-1	CARMAX AUTO OWNER TRUST 18-3 A3		09/15/2020	PAYDOWN		303,202	303,202	303,161	302,857		345		345		303,202				6,314	06/15/2023	1FE		
21872N-AA-8	COLONY AMERICAN FINANCE LTD 19-3 A		09/01/2020	PAYDOWN		3,571	3,571	3,571	3,571						3,571				64	10/15/2052	1FE		
24381N-AA-6	DEEPHAVEN RESIDENTIAL MORTGAGE 19-3A A1		09/01/2020	PAYDOWN		152,932	152,932	152,931	152,932						152,932				2,966	07/25/2059	1FM		
26250J-AS-9	DRYDEN SENIOR LOAN FUND 12-25A ARR		07/15/2020	PAYDOWN		150,195	150,195	150,195	150,195						150,195				2,949	10/15/2027	1FE		
36255J-AD-6	GM FINANCIAL SECURITIZED TERM 18-3 A3		09/16/2020	PAYDOWN		248,197	248,197	248,140	247,937		260		260		248,197				5,004	05/16/2023	1FE		
36259W-AA-9	GS MORTGAGE-BACKED SECURITIES 20-NOM1 A1		09/01/2020	PAYDOWN		92,633	92,633	92,631	92,631		2		2		92,633				107	09/27/2060	1FE		
375558-BB-8	GILEAD SCIENCES INC		09/01/2020	MATURITY		500,000	500,000	499,100	499,872		128		128		500,000				12,750	09/01/2020	1FE		
43731Q-AC-2	HOME PARTNERS OF AMERICA TRUST 19-1 B		09/01/2020	PAYDOWN		2,223	2,223	2,223	2,223						2,223				44	09/17/2039	1FE		
43800K-AB-3	HOMEWARD OPPORTUNITIES FUND I 19-3 A2		07/01/2020	PAYDOWN		25,858	25,858	25,858	25,859						25,858				494	11/25/2059	1FE		
46625H-HZ-6	JPMORGAN CHASE & CO		09/21/2020	TENDER OFFER		257,113	250,000	260,090	251,663		(874)		(874)		250,790		6,323	6,323	9,989	05/10/2021	1FE		
55446M-AA-5	MACH I 19-1 A		09/15/2020	PAYDOWN		9,766	9,766	9,765	9,753		12		12		9,766				226	10/15/2039	1FE		
59166E-AB-1	METLIFE SECURITIZATION TRUST 19-1A A1A		09/01/2020	PAYDOWN		26,996	26,996	27,486	27,070		(75)		(75)		26,996				674	04/25/2058	1FM		
64830D-AB-9	NEW RESIDENTIAL MORTGAGE LOAN 19-2A A1		09/01/2020	PAYDOWN		63,822	63,822	65,386	64,048		(226)		(226)		63,822				1,802	12/25/2057	1FM		
744448-CD-1	PUBLIC SERVICE COLORADO		07/10/2020	CALL BY ISSUER at 100.000		500,000	500,000	501,975	500,389		(389)		(389)		500,000				10,444	11/15/2020	1FE		
79548K-UV-8	SALOMON BROTHERS MORTGAGE 97 HUD1 B3		09/01/2020	PAYDOWN		6,177	6,177	6,177	6,177						6,177				181	12/25/2030	1FM		
81747C-AU-5	SEQUOIA MORTGAGE TRUST 19-CH2 A19		09/01/2020	PAYDOWN		66,655	66,655	68,377	67,406		(750)		(750)		66,655				2,006	08/25/2049	1FE		
827304-AA-4	PROJECT SILVER 19-1 A		09/15/2020	PAYDOWN		3,042	3,042	3,042	3,037		4		4		3,042				82	07/15/2044	2FE		
85211X-AA-1	SPRUCE HILL MORTGAGE LOAN TRUS 19-SH1 A1		09/01/2020	PAYDOWN		100,616	100,616	100,615	100,617		(1)		(1)		100,616				2,346	04/29/2049	1FM		
86212X-AB-6	STORE MASTER FUNDING LLC 19-1 A2		09/20/2020	PAYDOWN		2,573	2,573	2,573	2,568		5		5		2,573				63	11/20/2049	1FE		
86358R-XZ-5	STRUCTURED ASSET SECURITIES 02 AL1 A3		09/01/2020	PAYDOWN		2,337	2,337	2,178	2,313		24		24		2,337				54	02/25/2032	5FE		
82212K-AA-4	VANTAGE DATA CENTERS LLC 19-1A A2		09/15/2020	PAYDOWN		2,500	2,500	2,500	2,500						2,500				53	07/15/2044	1FE		
92837K-AA-9	VISIO 2020-1 TRUST 20-1 A1		09/01/2020	PAYDOWN		18,337	18,337	18,337	18,337						18,337				36	08/25/2055	1FE		
92916X-AJ-6	VOYA QLO LTD 13-3A A1RR		07/18/2020	PAYDOWN		8,608	8,608	8,608	8,608						8,608				185	10/18/2031	1FE		
92939F-AT-6	WF-RBS COMMERCIAL MORTGAGE TR 14 C21 A4		09/01/2020	PAYDOWN		304	304	307	304						304				8	08/15/2047	1FM		
94354K-AA-8	WAVE USA 19-1 A		09/15/2020	PAYDOWN		9,376	9,376	9,376	9,364		13		13		9,376				220	09/15/2044	2FE		
44328M-AC-8	HSC BANK PLC	D	08/12/2020	MATURITY		500,000	500,000	477,745	498,244		1,756		1,756		500,000				20,625	08/12/2020	1FE		
44328M-AK-0	HSC BANK PLC	D	07/28/2020	INC.		408,452	400,000	423,632	403,096		(1,696)		(1,696)		401,400		7,052	7,052	19,581	01/19/2021	1FE		
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					4,607,302	4,597,914	4,607,195	4,484,303				(1,345)		4,593,927		13,375	13,375	128,031	XXX	XXX		
693475-AQ-8	PNC FINANCIAL SERVICES		09/28/2020	VARIOUS		527,986	500,000	500,000	500,000						500,000				27,986	27,986	22,599	01/01/9999	2FE
89832Q-AD-1	TRUIST FINANCIAL CORP		08/12/2020	BOFA SECURITIES INC.		382,500	375,000	374,219	374,275		90		90		374,366		8,134	8,134	18,750	01/01/9999	2FE		
4899999	Subtotal - Bonds - Hybrid Securities					910,486	875,000	874,219	874,275				90		874,366		36,120	36,120	41,349	XXX	XXX		
8399997	Total - Bonds - Part 4					15,157,670	15,072,068	15,487,871	14,776,011				(45,331)		15,086,438		71,232	71,232	496,453	XXX	XXX		

E05.1

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation and Admini-strative Symbol
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						15,157,670	15,072,068	15,487,871	14,776,011		(45,331)		(45,331)		15,086,438		71,232	71,232	496,453	XXX	XXX
8999997. Total - Preferred Stocks - Part 4							XXX													XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks							XXX													XXX	XXX
9799997. Total - Common Stocks - Part 4							XXX													XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks							XXX													XXX	XXX
9899999. Total - Preferred and Common Stocks							XXX													XXX	XXX
9999999 - Totals						15,157,670	XXX	15,487,871	14,776,011		(45,331)		(45,331)		15,086,438		71,232	71,232	496,453	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Citizens Bank Providence, Rhode Island	SD	0.000						.XXX.
Federal Home Loan Bank of Cincinnati Cincinnati, Ohio					629,651	968,457	1,239,182	.XXX.
Fifth Third Bank Cincinnati, Ohio	SD				72	(3,634)	(2)	.XXX.
Fifth Third Bank Cincinnati, Ohio			.46					.XXX.
Fifth Third Bank Columbus, Ohio			10,202		20,902,571	23,545,681	18,854,500	.XXX.
United Bank, N.A. Bucyrus, Ohio			866		(4,062,113)	(3,985,967)	(2,901,632)	.XXX.
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						.XXX.
0199999. Totals - Open Depositories	XXX	XXX	11,114		17,470,181	20,524,537	17,192,048	.XXX.
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX						.XXX.
0399999. Total Cash on Deposit	XXX	XXX	11,114		17,470,181	20,524,537	17,192,048	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				.XXX.
0599999. Total - Cash	XXX	XXX	11,114		17,470,181	20,524,537	17,192,048	.XXX.



SUPPLEMENT FOR THE QUARTER ENDING SEPTEMBER 30, 2020 OF THE United Ohio Insurance Company

DIRECTOR AND OFFICER INSURANCE COVERAGE SUPPLEMENT

Year To Date For The Period Ended SEPTEMBER 30, 2020

NAIC Group Code 0963

NAIC Company Code 13072

Company Name United Ohio Insurance Company

If the reporting entity writes any director and officer (D&O) business, please provide the following:

1. Monoline Policies

1 Direct Written Premium	2 Direct Earned Premium	3 Direct Losses Incurred
\$	\$	\$

2. Commercial Multiple Peril (CMP) Packaged Policies

- 2.1 Does the reporting entity provide D&O liability coverage as part of a CMP packaged policy? Yes [X] No []
- 2.2 Can the direct premium earned for D&O liability coverage provided as part of a CMP packaged policy be quantified or estimated? Yes [X] No []
- 2.3 If the answer to question 2.2 is yes, provide the quantified or estimated direct premium earned amount for D&O liability coverage in CMP packaged policies
- 2.31 Amount quantified: \$
- 2.32 Amount estimated using reasonable assumptions: \$ 17,215
- 2.4 If the answer to question 2.1 is yes, provide direct losses incurred (losses paid plus change in case reserves) for the D&O liability coverage provided in CMP packaged policies. \$