



# HEALTH QUARTERLY STATEMENT

AS OF JUNE 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

## Mount Carmel Health Plan, Inc.

NAIC Group Code 2838 2838 NAIC Company Code 95655 Employer's ID Number 31-1471229  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 08/07/1996 Commenced Business 04/01/1997

Statutory Home Office 6150 East Broad Street, EE320, Columbus, OH, US 43213  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213, 614-546-3211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320, Columbus, OH, US 43213  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213, 614-546-3211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.medigold.com

Statutory Statement Contact Juan Manuel Fraiz, 614-546-3211  
(Name) (Area Code) (Telephone Number)  
Juan.Fraiz@mchs.com, 614-546-3131  
(E-mail Address) (FAX Number)

### OFFICERS

Board Chair Daniel James Wendorff MD Vice President & CFO Juan Manuel Fraiz  
President & CEO Cynthia Mauro Dellecker Treasurer Joseph Jerome Patricks, Jr. #

### OTHER

Sister Barbara Ann Hahl, CSC, Secretary

### DIRECTORS OR TRUSTEES

Cynthia Mauro Dellecker Sister Barbara Ann Hahl, CSC Lorraine Leigh Lutton #  
Stephen Michael Lundregan Joseph Jerome Patrick, Jr. Daniel James Wendorff MD, Chairperson #

State of Ohio SS:  
County of Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Cynthia Mauro Dellecker  
President & CEO

Juan Manuel Fraiz  
Vice President & CFO

Sister Barbara Hahl, CSC  
Secretary

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....08/15/2020  
3. Number of pages attached.....

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	133,908,576		133,908,576	139,295,166
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	83,972,094		83,972,094	65,834,756
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....(268,051) ), cash equivalents (\$ .....115,534,487 ) and short-term investments (\$ .....58,380,172 ) .....	173,646,608		173,646,608	148,719,197
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	21,714		21,714	39,171
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	391,548,992	0	391,548,992	353,888,290
13. Title plants less \$ ..... charged off (for Title insurers only) .....	0		0	0
14. Investment income due and accrued .....	898,878		898,878	946,610
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	717,437	4,056	713,381	863,098
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	30,907		30,907	227,558
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	2,032,767	2,032,767	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ .....14,326,282 ) and other amounts receivable .....	15,566,436	1,240,154	14,326,282	12,082,053
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	410,795,417	3,276,977	407,518,440	368,007,609
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	410,795,417	3,276,977	407,518,440	368,007,609
<b>DETAILS OF WRITE-INS</b>				
1101. ....			0	0
1102. ....			0	0
1103. ....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. ....			0	0
2502. ....			0	0
2503. ....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0	0	0

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded) .....	31,658,862		31,658,862	36,070,274
2. Accrued medical incentive pool and bonus amounts .....	43,369,435		43,369,435	21,713,594
3. Unpaid claims adjustment expenses .....	589,007		589,007	697,931
4. Aggregate health policy reserves, including the liability of \$ ..... for medical loss ratio rebate per the Public Health Service Act .....	3,697,171		3,697,171	0
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....	224,445		224,445	167,076
9. General expenses due or accrued .....	4,060,812		4,060,812	6,802,679
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	0
10.2 Net deferred tax liability .....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others .....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....	4,135,109		4,135,109	5,937,806
16. Derivatives .....			0	0
17. Payable for securities .....			0	27,594
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers) .....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....			0	0
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	13,638,997	0	13,638,997	6,726,387
24. Total liabilities (Lines 1 to 23) .....	101,373,838	0	101,373,838	78,143,341
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	0
26. Common capital stock .....	XXX	XXX		
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	42,422,534	42,422,534
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	263,722,068	247,441,734
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	306,144,602	289,864,268
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	407,518,440	368,007,609
<b>DETAILS OF WRITE-INS</b>				
2301. Due to CMS RAPS Delete .....	17,772,026		17,772,026	17,772,026
2302. Due from CMS Part D Coverage Gap .....	0		0	(2,042,670)
2303. 2020 Part D Settlement Estimate .....	3,902,771		3,902,771	0
2398. Summary of remaining write-ins for Line 23 from overflow page .....	(8,035,800)	0	(8,035,800)	(9,002,969)
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) .....	13,638,997	0	13,638,997	6,726,387
2501. ....	XXX	XXX		0
2502. ....	XXX	XXX		0
2503. ....	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	XXX	XXX	0	0
3001. ....	XXX	XXX		0
3002. ....	XXX	XXX		0
3003. ....	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	263,166	278,210	549,878
2. Net premium income ( including \$ non-health premium income)	XXX	281,174,149	282,907,403	557,327,568
3. Change in unearned premium reserves and reserve for rate credits	XXX			0
4. Fee-for-service (net of \$ medical expenses)	XXX			0
5. Risk revenue	XXX			0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	281,174,149	282,907,403	557,327,568
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits		106,496,988	135,160,506	265,870,315
10. Other professional services		62,261,948	76,124,723	150,483,921
11. Outside referrals				0
12. Emergency room and out-of-area				0
13. Prescription drugs		29,556,243	25,453,635	40,989,180
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts		37,987,742	10,367,316	19,135,336
16. Subtotal (Lines 9 to 15)	0	236,302,921	247,106,180	476,478,752
<b>Less:</b>				
17. Net reinsurance recoveries		1,375,975	172,251	771,926
18. Total hospital and medical (Lines 16 minus 17)	0	234,926,946	246,933,929	475,706,826
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$ 3,697,106 cost containment expenses		8,196,871	8,193,534	16,510,367
21. General administrative expenses		16,126,193	14,822,010	32,500,308
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)		3,697,171		0
23. Total underwriting deductions (Lines 18 through 22)	0	262,947,181	269,949,473	524,717,501
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	18,226,968	12,957,930	32,610,067
25. Net investment income earned		2,685,776	2,825,582	5,672,892
26. Net realized capital gains (losses) less capital gains tax of \$		(1,257,631)	359,163	6,450,462
27. Net investment gains (losses) (Lines 25 plus 26)	0	1,428,145	3,184,745	12,123,354
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ) (amount charged off \$ )]				0
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	19,655,113	16,142,675	44,733,421
31. Federal and foreign income taxes incurred	XXX			
32. Net income (loss) (Lines 30 minus 31)	XXX	19,655,113	16,142,675	44,733,421
<b>DETAILS OF WRITE-INS</b>				
0601.	XXX	0	0	0
0602.	XXX			0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			0
0702.	XXX			0
0703.	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				0
1402.			0	0
1403.			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.				0
2902.				0
2903.				0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	289,864,268	238,402,878	238,402,878
34. Net income or (loss) from Line 32.....	19,655,113	16,142,675	44,733,421
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....	(2,896,865)	8,008,269	6,908,047
37. Change in net unrealized foreign exchange capital gain or (loss).....			0
38. Change in net deferred income tax.....			
39. Change in nonadmitted assets.....	(477,914)	137,951	(180,078)
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in.....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....	0	0	0
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	16,280,334	24,288,895	51,461,390
49. Capital and surplus end of reporting period (Line 33 plus 48)	306,144,602	262,691,773	289,864,268
<b>DETAILS OF WRITE-INS</b>			
4701. ....	0	0	0
4702. ....			0
4703. ....		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

## STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	285,074,460	283,016,288	557,349,195
2. Net investment income .....	2,817,883	2,878,479	5,797,070
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	287,892,343	285,894,767	563,146,265
5. Benefit and loss related payments .....	223,811,666	249,848,883	476,483,321
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	27,173,856	25,400,703	54,250,122
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	0	0	0
10. Total (Lines 5 through 9) .....	250,985,522	275,249,586	530,733,443
11. Net cash from operations (Line 4 minus Line 10) .....	36,906,821	10,645,181	32,412,822
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	27,644,951	18,323,897	38,629,826
12.2 Stocks .....	8,844,579	10,272,973	42,029,449
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	375	5,521
12.7 Miscellaneous proceeds .....	17,457	0	27,594
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	36,506,987	28,597,245	80,692,391
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	44,063,031	23,761,560	49,903,818
13.2 Stocks .....	9,416,117	10,753,980	43,517,979
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	27,594	0	39,171
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	53,506,742	34,515,540	93,460,968
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(16,999,755)	(5,918,295)	(12,768,578)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	5,020,344	4,420,060	(13,675,173)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	5,020,344	4,420,060	(13,675,173)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	24,927,410	9,146,946	5,969,071
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	148,719,198	142,750,127	142,750,127
19.2 End of period (Line 18 plus Line 19.1) .....	173,646,608	151,897,073	148,719,198

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. SSAP No. 3 - Adjustment for prior year's Centers for Medicare and Medicaid Service overpayments .....	0	0	0
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STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	44,896	0	0	0	0	0	0	44,896	0	0
2. First Quarter .....	43,902	0	0	0	0	0	0	43,902	0	0
3. Second Quarter .....	43,583							43,583		
4. Third Quarter .....	0									
5. Current Year	0									
6. Current Year Member Months	263,166							263,166		
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	146,124							146,124		
8. Non-Physician .....	48,708							48,708		
9. Total	194,832	0	0	0	0	0	0	194,832	0	0
10. Hospital Patient Days Incurred	36,410							36,410		
11. Number of Inpatient Admissions	4,507							4,507		
12. Health Premiums Written (a) .....	281,231,518							281,231,518		
13. Life Premiums Direct .....	0									
14. Property/Casualty Premiums Written .....	0									
15. Health Premiums Earned .....	281,174,149							281,174,149		
16. Property/Casualty Premiums Earned .....	0									
17. Amount Paid for Provision of Health Care Services.....	217,682,515							217,682,515		
18. Amount Incurred for Provision of Health Care Services	236,302,921							236,302,921		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....281,231,518



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....					0	0
2. Medicare Supplement .....					0	0
3. Dental Only .....					0	0
4. Vision Only .....					0	0
5. Federal Employees Health Benefits Plan .....					0	0
6. Title XVIII - Medicare .....	27,821,814	173,528,801	96,545	31,562,317	27,918,359	36,070,273
7. Title XIX - Medicaid .....					0	0
8. Other health .....					0	0
9. Health subtotal (Lines 1 to 8) .....	27,821,814	173,528,801	96,545	31,562,317	27,918,359	36,070,273
10. Healthcare receivables (a) .....					0	0
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	8,055,060	8,276,840	16,441,103	26,928,332	24,496,163	21,713,594
13. Totals (Lines 9-10+11+12)	35,876,874	181,805,641	16,537,648	58,490,649	52,414,522	57,783,867

(a) Excludes \$ ..... loans or advances to providers not yet expensed.

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**NOTES TO FINANCIAL STATEMENTS**

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4) .....	XXX	XXX	XXX	19,655,113	44,733,421
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4) .....	XXX	XXX	XXX	19,655,113	44,733,421
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4) .....	XXX	XXX	XXX	306,144,602	289,864,268
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8) .....	XXX	XXX	XXX	306,144,602	289,864,268

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during that period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by the government. Premiums received prior to such period are recorded as advanced premiums.

Benefits incurred and loss adjustment expenses include claims payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the Statements of Admitted Assets, Liabilities and Surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximate fair value due to the short-term maturities of the investments.

(2) – (4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds are carried at amortized cost.

The Company regularly evaluates investment securities for impairment. The related investment is written down to its estimated value.

Amortization of bond premium or discount is computed using the effective yield method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) The Company does not have any mortgage loans on real estate investments.

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities, except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

(7) The Company carries its investments in Mount Carmel Health Plan of Idaho, Inc. (MCHP-ID) and Mount Carmel Health Plan of New York, Inc. (MCHP-NY) at their statutory

## STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

capital plus surplus values.

(8) The Company does not have any joint venture investments.

(9) The Company does not have any derivatives.

(10) - (11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current period and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses, and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using amount of billed rebates provided by its Pharmacy Benefit Manager, adjusted for historical recovery patterns.

### D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

### 2. Accounting Changes and Correction of Errors

None.

### 3. Business Combinations and Goodwill

#### A. Statutory Purchase Method

Not Applicable

#### B. Statutory merger

Not Applicable

#### C. Assumption Reinsurance

Not Applicable

#### D. Impairment Loss

Not Applicable

### 4. Discontinued Operations

A – D Not Applicable

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

#### B. Debt Restructuring

Not Applicable

#### C. Reverse Mortgages

Not Applicable

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

D. Loan-Backed Securities

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell .....			0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
c. Total 1st Quarter .....	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell .....			0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
f. Total 2nd Quarter .....	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell .....			0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....			0
i. Total 3rd Quarter .....	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell .....	0	0	0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	0	0	0
l. Total 4th Quarter .....	0	0	0
m. Annual Aggregate Total .....		0	

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than- Temporary Impairment	5 Amortized Cost After Other-Than- Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4)

a. The aggregate amount of unrealized losses:		
1. Less than 12 Months .....		215
2. 12 Months or Longer .....		0
b. The aggregate related fair value of securities with unrealized losses:		
1. Less than 12 Months .....		2,698,620
2. 12 Months or Longer .....		0

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreement Transactions Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

I. Reserve Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

**STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.**

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown			0		0	0.000	0.000
b. Collateral held under security lending agreements			0		0	0.000	0.000
c. Subject to repurchase agreements			0		0	0.000	0.000
d. Subject to reverse repurchase agreements			0		0	0.000	0.000
e. Subject to dollar repurchase agreements			0		0	0.000	0.000
f. Subject to dollar reverse repurchase agreements			0		0	0.000	0.000
g. Placed under option contracts			0		0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock			0		0	0.000	0.000
i. FHLB capital stock			0		0	0.000	0.000
j. On deposit with states	464,135	471,597	(7,462)	0	464,135	0.113	0.114
k. On deposit with other regulatory bodies			0		0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements)			0		0	0.000	0.000
m. Pledged as collateral not captured in other categories			0		0	0.000	0.000
n. Other restricted assets			0		0	0.000	0.000
<b>o. Total Restricted Assets</b>	<b>464,135</b>	<b>471,597</b>	<b>(7,462)</b>	<b>0</b>	<b>464,135</b>	<b>0.113</b>	<b>0.114</b>

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
<b>Total (a)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0.000</b>

(a) Total Line for Columns 1 through 3 should equal 5L(1)m Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)m Column 5.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
<b>Total (a)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.000</b>	<b>0.000</b>

(a) Total Line for Columns 1 through 3 should equal 5L(1)n Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)n Column 5.

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

	1	2	3	4
Collateral Assets	Book/Adjusted Carrying Value (BACV)	Fair Value	% of BACV to Total Assets (Admitted and Nonadmitted)*	% of BACV to Total Admitted Assets **
a. Cash, Cash Equivalents and Short-Term Investments .....			0.000 %	0.000 %
b. Schedule D, Part 1 .....			0.000 %	0.000 %
c. Schedule D, Part 2, Section 1 .....			0.000 %	0.000 %
d. Schedule D, Part 2, Section 2 .....			0.000 %	0.000 %
e. Schedule B .....			0.000 %	0.000 %
f. Schedule A .....			0.000 %	0.000 %
g. Schedule BA, Part 1 .....			0.000 %	0.000 %
h. Schedule DL, Part 1 .....			0.000 %	0.000 %
i. Other .....			0.000 %	0.000 %
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i) .....	0	0	0.000 %	0.000 %

\* Column 1 divided by Asset Page, Line 26 (Column 1)

\*\* Column 1 divided by Asset Page, Line 26 (Column 3)

	1	2
	Amount	% of Liability to Total Liabilities *
k. Recognized Obligation to Return Collateral Asset .....		0.000 %

\* Column 1 divided by Liability Page, Line 24(Column 3)

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets			
Due from Centers for Medicare and Medicaid Services .....	12,155,000	12,155,000	0

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			
Due to Centers for Medicare and Medicaid Services .....	22,073,451	12,155,000	9,918,451

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

O. 5GI Securities

Not Applicable

P. Short Sales

Not Applicable

Q. Prepayment Penalty and Acceleration Fees

	<u>General Account</u>
1. Number of CUSIPs .....	1
2. Aggregate Amount of Investment Income .....	48,429

6. Joint Ventures, Partnerships and Limited Liability Companies

- A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.
- B. The Company did not recognize any impairment write down for investments in Joint Ventures, Partnerships or Limited Liability Companies during the statement periods.

7. Investment Income

- A. Due and accrued income was excluded from surplus on the following bases:

**STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.**

All investment income due and accrued with amounts that are over 90 days past due.

B. The total amount excluded was \$-0-.

8. Derivative Investments

A - B Not Applicable

9. Income Taxes

Not Applicable

10. Information Concerning Parent, Subsidiaries & Affiliates

A- C. The Company leases the services of certain employees and its office space from Mount Carmel Health System ("MCHS"). Additionally, MCHS also provides certain management and administrative services to the Company. Expenses related to services provided by MCHS were \$3,020,071 on June 30, 2020.

The Company also provides by agreement certain management, administrative, and marketing services to Mount Carmel Health Insurance Company ("MCHIC"). Amount related to services provided by the Company to MCHIC were \$474,970 on June 30, 2020.

In 2018, the Company made a capital contribution of \$2,124,262 to Mount Carmel Health Plan of Idaho, Inc. (MCHP of Idaho), a wholly owned subsidiary. As of June 30, 2020, the investment in MCHP of Idaho is valued at \$2,409,070.

During February 2020, the Company obtained license in New York to write Medicare business and made a \$21,800,795 capital contribution to MCHP of New York. The Company currently plans to start writing business in New York January 1, 2022. As of June 30, 2020, the investment in MCHP of New York is valued at \$21,926,463.

D. The Company owed \$4,135,109 to Mount Carmel Health System (MCHS) and its affiliates as of June 30, 2020.

E. Not Applicable

F. Mount Carmel Health Plan (MCHP), a sister company to MCHIC, has agreed to provide administrative services to MCHIC and to MCHP subsidiary related to the operation of Medicare Advantage products offered by MCHIC.

G. All outstanding shares of the Company are owned by MCHS, a non-profit corporation domiciled in the State of Ohio. In addition, MCHS is sole owner of MCHIC, MCHP of Idaho and MCHP of New York.

H. Not Applicable

I. Not Applicable

J. Not Applicable

K. Not Applicable

L. Not Applicable

M. All SCA Investments

(1) Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8b(i) Entities)

SCA Entity	Percentage of SCA Ownership	Gross Amount	Admitted Amount	Nonadmitted Amount
<b>a. SSAP No. 97 8a Entities</b>				
Mount Carmel Health Plan of Idaho, Inc. ....	100.0	2,409,070	2,409,070	0
Mount Carmel Health Plan of New York, Inc. ....	100.0	21,926,463	21,926,463	0
<b>Total SSAP No. 97 8a Entities</b>	<b>XXX</b>	<b>24,335,533</b>	<b>24,335,533</b>	<b>0</b>
<b>b. SSAP No. 97 8b(ii) Entities</b>				
<b>Total SSAP No. 97 8b(ii) Entities</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>c. SSAP No. 97 8b(iii) Entities</b>				
<b>Total SSAP No. 97 8b(iii) Entities</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>d. SSAP No. 97 8b(iv) Entities</b>				
<b>Total SSAP No. 97 8b(iv) Entities</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>e. Total SSAP No. 97 8b Entities (except 8b(i) entities) (b+c+d)</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>f. Aggregate Total (a+ e)</b>	<b>XXX</b>	<b>24,335,533</b>	<b>24,335,533</b>	<b>0</b>

(2) NAIC Filing Response Information

Not Applicable

N. Investment in Insurance SCAs

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

Not Applicable

O. SCA or SSAP 48 Entity Loss Tracking

Not Applicable

11. Debt – Not Applicable

A. Debt Including Capital Notes

Not Applicable

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits, and Compensated Absences and Other Postretirement Benefit Plans

A. – D. Defined Benefit Plan

Not Applicable

E. Defined Contribution Plans

Not Applicable

F. Multiemployer Plans

Not Applicable

G. Consolidated/Holding Company Plans

Not Applicable

H. Postemployment Benefits and Compensated Absences

Not Applicable

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The company has no shares authorized, issued and outstanding.

(2) The Company has no preferred stock outstanding.

(3) Not Applicable

(4) No dividends were paid by the Company.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The total amount of advances to surplus not repaid is \$-0-.

(8) The Company did not hold stock, including stock of affiliated companies, for special purposes of conversion of preferred stock, employee stock options, or stock purchase warrants.

(9) There were no changes in balances of special surplus funds from the prior year.

(10) The Company did not have unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses.

(11) The Company did not issue surplus debentures or similar obligations during the statement periods.

(12) The Company did not have a restatement due to a prior quasi-reorganization.

(13) The Company did not have any quasi-reorganizations.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable

B. Assessments

Not Applicable

C. Gain Contingencies

**STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.**

Not Applicable

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

(1)  
Direct

(1) The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits ..... 0

E. Joint and Several Liabilities

Not Applicable

F. All Other Contingencies

The Company is subject to various contingencies, including legal and compliance actions and proceedings that arise in the ordinary course of its business. Due to the complex nature of these actions and proceedings, the timing of the ultimate resolution of these matters is uncertain. Based on information received through the submission date of these statutory financial statements, management of the Company, after consultation with legal counsel, does not believe that the ultimate resolution of these matters will have a material adverse effect on the Company's future financial position or results of operations.

The Company is not aware of any other material contingent liabilities as of June 30, 2020.

15. Leases

Not Applicable

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not hold any financial instruments with off-balance sheet risk or concentrations of credit risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

A. Transfer of Receivables Reported as Sales

Not Applicable

B. Transfer and Servicing of financial Assets

Not Applicable

C. Wash Sales

Not Applicable

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable

B. ASC Plans

Not Applicable

C. Medicare or Similarly Structured Cost Based Reimbursement Contract.

Not Applicable

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

20. Fair Value Measurement

(1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash and cash Equivalents .....	101,953,919	13,312,516			115,266,435
Common Stock .....	83,972,095				83,972,095
Total assets at fair value/NAV .....	185,926,014	13,312,516	0	0	199,238,530

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
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**STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.**

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					
Total liabilities at fair value	0	0	0	0	0

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2019
a. Assets										
Total Assets	0	0	0	0	0	0	0	0	0	0

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2019
b. Liabilities										
Total Liabilities	0	0	0	0	0	0	0	0	0	0

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash and Cash Equivalents	115,266,435	115,266,435	101,953,919	13,312,516	0	0	
Common Stock	83,972,095	83,972,095	83,972,095	0	0	0	
Bonds	201,020,653	192,288,747	58,730,169	142,290,485	0	0	

D. Not Practicable to Estimate Fair Value

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mount Carmel Health Plan of Idaho, Inc.	2,409,070			Carrying this common stock at a net equity value ..
Mount Carmel Health Plan of New York, Inc.	21,926,463			Carrying this common stock at a net equity value ..

21. Other Items

A. Extraordinary Item

Not Applicable

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures and Unusual Items

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime-Mortgage-Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-Linked Securities (ILS) Contracts

Not Applicable

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not Applicable

**STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.**

22. Events Subsequent

Type I – Recognized Subsequent Events:

Subsequent events have been considered through August 10, 2020 for the statutory statement filed on August 14, 2020.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at June 30, 2020, which would have a material effect on its financial condition.

Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through August 10, 2020 for the statutory statement filed on August 14, 2020

The Company's unrealized gains (losses) changes on equity securities as of August 7, 2020 was \$414,197 which an improvement from the change at the end of the quarter of \$(2,896,865).

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at June 30, 2020, but arose after that date, which would have a material effect on its financial condition.

23. Reinsurance – Not Applicable

A. Ceded Reinsurance Report

Not Applicable

B. Uncollectible Reinsurance

Not Applicable

C. Communication of Ceded Reinsurance

Not Applicable

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Primarily during Q2CY2020, the Company experienced lower Part C utilization as a result of impact of COVID 19 on members willingness and ability to receive medical care. The Company is subject to minimum Medical Loss Ratio (MLR) percentage requirement under ACA legislation that requires health plans to spend 85% of premiums on overall medical care and other qualifying expenses. As of June 30, 2020, the plan prepared a "high level" computation of potential estimated liability for minimum MLR% regulations. Such analysis indicated a potential liability of \$3,697,171 was recorded as of June 30, 2020. The plan will continue to monitor the liability and will adjust accordingly.

25. Change in Incurred Claims and Claim Adjustment Expenses

Not Applicable

26. Intercompany Pooling Arrangements

A. – G. Not Applicable

27. Structured Settlements

The Company has no structured statements

28. Health Care Receivables

Not Applicable

29. Participating Policies

Not Applicable

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves..... 0

2. Date of the most recent evaluation of this liability ..... 12/31/2019

3. Was anticipated investment income utilized in the calculation? ..... Yes [ ] No [X]

31. Anticipated Salvage and Subrogation – Not Applicable

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims / losses and reduced such liability by \$-0-.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ ] No [ X ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ ]  
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2017
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2017
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 05/23/2019
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ .....0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ .....0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ .....0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ .....0  | \$ .....0   |
| 14.22 Preferred Stock .....   | \$ .....0  | \$ .....0   |
| 14.23 Common Stock .....  | \$ .....2,173,821                                      | \$ .....24,335,533                                      |
| 14.24 Short-Term Investments .....  | \$ .....0  | \$ .....0   |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0  | \$ .....0   |
| 14.26 All Other .....   | \$ .....0  | \$ .....0   |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....2,173,821                                      | \$ .....24,335,533                                      |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....2,173,821                                      | \$ .....24,335,533                                      |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ .....0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ .....0

## GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust Corporation .....	50 South La Salle Street Chicago, Illinois 60603 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PNC Capital Advisors, LLC .....	U.....
State Street Global Advisors Trust Company .....	U.....
Wellington Management Company LLP .....	U.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
151829 .....	PNC Capital Advisors, LLC .....	549300WVB7V48QC73U49 .....	SEC .....	DS.....
30107 .....	State Street Global Advisors Trust Company .....	549300BYW0XNH286YR10 .....	N/A .....	NO.....
00071052 .....	Wellington Management Company LLP .....	549300YHP12TEZNLX41 .....	SEC .....	NO.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The shares were purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent .....85.0 %
  - 1.2 A&H cost containment percent .....1.0 %
  - 1.3 A&H expense percent excluding cost containment expenses .....84.0 %
- 2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$ .....0
- 2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$ .....0
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ ] No [ X ]
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ X ]

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
<b>NONE</b>									

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama	AL	N							0	
2. Alaska	AK	N							0	
3. Arizona	AZ	N							0	
4. Arkansas	AR	N							0	
5. California	CA	N							0	
6. Colorado	CO	N							0	
7. Connecticut	CT	N							0	
8. Delaware	DE	N							0	
9. District of Columbia	DC	N							0	
10. Florida	FL	N							0	
11. Georgia	GA	N							0	
12. Hawaii	HI	N							0	
13. Idaho	ID	N							0	
14. Illinois	IL	N							0	
15. Indiana	IN	N							0	
16. Iowa	IA	N							0	
17. Kansas	KS	N							0	
18. Kentucky	KY	N							0	
19. Louisiana	LA	N							0	
20. Maine	ME	N							0	
21. Maryland	MD	N							0	
22. Massachusetts	MA	N							0	
23. Michigan	MI	N							0	
24. Minnesota	MN	N							0	
25. Mississippi	MS	N							0	
26. Missouri	MO	N							0	
27. Montana	MT	N							0	
28. Nebraska	NE	N							0	
29. Nevada	NV	N							0	
30. New Hampshire	NH	N							0	
31. New Jersey	NJ	N							0	
32. New Mexico	NM	N							0	
33. New York	NY	N							0	
34. North Carolina	NC	N							0	
35. North Dakota	ND	N							0	
36. Ohio	OH	L	281,845,480						281,845,480	
37. Oklahoma	OK	N							0	
38. Oregon	OR	N							0	
39. Pennsylvania	PA	N							0	
40. Rhode Island	RI	N							0	
41. South Carolina	SC	N							0	
42. South Dakota	SD	N							0	
43. Tennessee	TN	N							0	
44. Texas	TX	N							0	
45. Utah	UT	N							0	
46. Vermont	VT	N							0	
47. Virginia	VA	N							0	
48. Washington	WA	N							0	
49. West Virginia	WV	N							0	
50. Wisconsin	WI	N							0	
51. Wyoming	WY	N							0	
52. American Samoa	AS	N							0	
53. Guam	GU	N							0	
54. Puerto Rico	PR	N							0	
55. U.S. Virgin Islands	VI	N							0	
56. Northern Mariana Islands	MP	N							0	
57. Canada	CAN	N							0	
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	0	281,845,480	0	0	0	0	281,845,480	0	0
60. Reporting Entity Contributions for Employee Benefit Plans	XXX								0	
61. Totals (Direct Business)	XXX	0	281,845,480	0	0	0	0	281,845,480	0	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts:  
 L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....1 R - Registered - Non-domiciled RRGs.....0  
 E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0  
 N - None of the above - Not allowed to write business in the state.....56

# STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

### Trinity Health Corporation (an Indiana nonprofit); FEIN: 35-1443425 (PARENT CORPORATION)

Civica, Inc. (DE Nonprofit Nonstock Corporation); FEIN: 83-1246927 ( 100% Controlled by Trinity Health Corporation)

THRE Services LLC; FEIN: 45-2603654 (100% Controlled by Immediate Parent)

Trinity Health Pharmacy Services, LLC; FEIN: 84-3130212 (100% Controlled by Immediate Parent)

Trinity Assurance, LTD (Cayman Island) (100% Controlled by Trinity Health Corporation)

Michigan Co-Tenancy Laboratory (Trinity Health Corporation Partnership)

### Mount Carmel Health System (Ohio); FEIN: 31-1439334 (100% Controlled by Trinity Health Corporation)

Mount Carmel East (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel West (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel St. Ann's (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel New Albany Surgical Hospital (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel Grove City (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)

Mount Carmel Health System Foundation; FEIN: 31-113966 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan, Inc. (HMO); FEIN: 31-1471229 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan of Idaho, Inc.; FEIN: 83-1422704 (100% Controlled by Immediate Parent)

Mount Carmel Health Plan of New York, Inc.; FEIN: 83-3278543 (100% Controlled by Immediate Parent)

Mount Carmel Health Insurance Company (PPO); FEIN: 25-1912781 (100% Controlled by Immediate Parent)

Mount Carmel College of Nursing; FEIN: 31-1308555 (100% Controlled by Immediate Parent)

Patient Transport Services of Columbus LLC dba Columbus Connection; FEIN: 26-4601285 (50% Controlled by Immediate Parent)

OSU/Mount Carmel Health Alliance; FEIN: 31-1654603 (50% Controlled by Immediate Parent)

Madison County Community Hospital; FEIN: 31-1657206 (40% Controlled by Immediate Parent)

Diley Ridge Medical Center; FEIN: 34-2032340 (70% Controlled by Immediate Parent)

Mount Carmel Health Partners, LLC; FEIN: 47-1139205 (100% Controlled by Immediate Parent)

Central Ohio Medical Textiles; FEIN: 38-3643188 (50% Controlled by Immediate Parent)

Mount Carmel HealthProviders, Inc. dba Mount Carmel Medical Group; FEIN: 31-1382442 (100% Controlled by Immediate Parent)

SA MOB III LLC; FEIN: 20-1218559 (Mount Carmel Health System holds a 38.14% interest, Mount Carmel HealthProviders, Inc. holds a 3% interest)

Mount Carmel HealthProviders Two, LLC; FEIN: 20-1983271 (100% Controlled by Immediate Parent)

Mount Carmel Health Providers III, LLC; FEIN: 20-4145781 (100% Controlled by Immediate Parent)

St. Ann's Medical Office Building III, LLC; FEIN: 20-1218559 (38.14% Controlled by Immediate Parent; 6.27% Controlled by Mt. Carmel Health Providers, Inc.)

Big Run Medical Office Building Limited Partnership; FEIN: 31-1608125 (76.92% Controlled by Immediate Parent)

MCHS Big Run Condominium Association; FEIN: 31-1571567 (50% Controlled by Immediate Parent)

Taylor Station Surgical Center, LTD; FEIN: 31-1459910 (40% Controlled by Immediate Parent)

Columbus Cyberknife, LLC; FEIN: 27-0865251 (35% Controlled by Immediate Parent)

New Albany Surgery Center, LLC; FEIN: 45-1617821 (35% Controlled by Immediate Parent)

MCE MOB IV Limited Partnership; FEIN: 42-1544707 (49.63% Controlled by Immediate Parent)

St Ann's Medical Office Building II Limited Partnership; FEIN: 31-1603660 (46.75% Controlled by Immediate Parent)

Mount Carmel East Professional Office Building III Limited Partnership; FEIN: 31-1369473 (27.5% Controlled by Immediate Parent)

Medilucant MOB I Limited Partnership; FEIN: 20-4913370 (25% Controlled by Immediate Parent)

Eastwind Surgical, LLC; FEIN: 90-0739342 (30.77841% Controlled by Immediate Parent)

Health Collaborative of Central Ohio, LLC; FEIN: 46-5603895 (100% Controlled by Immediate Parent)

Encompass Health Rehabilitation Hospital of Westerville, LLC dba Mount Carmel Rehabilitation Hospital, an Affiliate of Encompass Health; FEIN: 47-4200156 (20.4% Controlling Interest held by Immediate Parent)

### Holy Cross Health, Inc. (Maryland); FEIN: 52-0738041 (100% Controlled by Trinity Health Corporation)

Holy Cross Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Holy Cross Germantown Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Holy Cross Health Network (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)

Maryland Care Group, Inc.; FEIN: 52-1815313 (100% Controlled by Immediate Parent)

Holy Cross Health Foundation, Inc.; FEIN: 20-8428450 (100% Controlled by Immediate Parent)

Chesapeake Potomac Regional Cancer Center, LLC; FEIN: 20-3762277 (20% Controlled by Immediate Parent)

Doctors' Regional Cancer Center, LLC; FEIN: 20-8889327 (20% Controlled by Immediate Parent)

Maryland Care, Inc. d/b/a Maryland Physician Care MCO; FEIN: 22-3476498 (25% Controlled by Immediate Parent)

Maryland Care Management, Inc. dba Maryland Physician Care MCO; FEIN: 20-4771530 (25% Controlled by Immediate Parent)

The Blue Door Pharmacy, LLC; FEIN: 47-3638756 (25% Controlled by Immediate Parent)

Holy Cross Health Centers, LLC; FEIN: 82-2340203 (100% Controlled by Immediate Parent)

Holy Cross Health Partners, LLC; FEIN: 82-2391212 (100% Controlled by Immediate Parent)

Mercy Health Network, Inc. d/b/a MercyOne FEIN: 42-1478417 (50% Controlled by Immediate Parent; 50% Controlled by CommonsSpirit Health (Catholic Health Initiatives) [Iowa/Nebraska])

Wellmark Value Health Plan, Inc.; FEIN: 42-1264647 (50% Controlled by Mercy Health Network, Inc.)

Mercy Community Hospital Group, LLC; FEIN: 35-2473948 (100% Controlled by Immediate Parent)

Central Community Hospital dba MercyOne Elkader Medical Center; FEIN: 42-0818642 (100% Controlled by Immediate Parent)

Wheaton Franciscan Healthcare - Iowa, Inc. dba MercyOne Northeast Iowa; FEIN: 42-1177001 (100% Controlled by MHN)

N.E. Iowa Real Estate Investments, Ltd.; FEIN: 42-1207432 (100% Controlled by Immediate Parent)

Mercy Hospital of Franciscan Sisters, Inc. dba MercyOne Delwein Medical Center; FEIN: 42-1178403 (100% Controlled by Immediate Parent)

Covenant Medical Center, Inc. dba MercyOne Waterloo Medical Center; FEIN: 42-1264647 (100% Controlled by Immediate Parent)

Covenant Foundation, Inc. dba MercyOne Waterloo Foundation; FEIN: 42-1295784 (100% Controlled by Immediate Parent)

Sartori Memorial Hospital, Inc. dba MercyOne Cedar Falls Medical Center; FEIN: 42-0758901 (100% Controlled by Immediate Parent)

Sartori Health Care Foundation, Inc. dba MercyOne Cedar Falls Foundation; FEIN: 42-1240996 (100% Controlled by Immediate Parent)

### Mercy Health Services - Iowa, Corp. (Iowa/Nebraska); FEIN: 31-1373080 (100% Controlled by Trinity Health Corporation, Subject to Mercy Health Network, Inc. (IA))

Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center; FEIN: 42-1336618 (100% Controlled by Immediate Parent)

Mercy Healthcare Foundation-Clinton; FEIN: 42-1316126 (Entity is independent of and not controlled by Mercy Medical Center - Clinton, Inc. dba MercyOne Clinton Medical Center)

Mercy-Clinton Anesthesia Group, LLC; FEIN: 46-1906752 (100% Controlled by Immediate Parent)

Clinton Imaging Services, LLC; FEIN: 41-2044739 (65% Controlled by Immediate Parent)

MercyOne Dyersville Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Dubuque Mercy Health Foundation, Inc. dba MercyOne Dubuque Foundation; FEIN: 26-2227941 (100% Controlled by Immediate Parent)

Dyersville Health Foundation, Inc. dba MercyOne Dyersville Foundation; FEIN: 20-5383271 (100% Controlled by Immediate Parent)

United Clinical Laboratories, Inc.; FEIN: 42-1268486 (33.33% Controlled by Immediate Parent)

Preferred Health Choices, LLC; FEIN: 90-0139311 (50% Controlled by Immediate Parent)

Health Management Services, LLC; FEIN: 46-1861361 (50% Controlled by MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa Corp.); FEIN: 31-1373080)

Tri-State Surgery Center, LLC; FEIN: 91-1900559 (100% Controlled by Immediate Parent)

Medical Associates/Mercy Family Care Network, LLC; FEIN: 42-1478444 (100% Controlled by Immediate Parent)

Tri-State Occupational Health, LLC; FEIN: 90-1039315 (100% Controlled by Immediate Parent)

MercyOne New Hampton Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Hospice of North Iowa dba MercyOne North Iowa Hospice; FEIN: 42-1173708 (100% Controlled by Immediate Parent)

Mercy Medical Center Foundation - North Iowa dba MercyOne North Iowa Foundation; FEIN: 42-1229151 (100% Controlled by Immediate Parent)

Forest Park Imaging, LLC; FEIN: 13-4365966 (52.89% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Presenius Kidney Center North Iowa; FEIN: 81-2470407 (20% Controlled by Immediate Parent)

Surgical Center Building Associates, LLC; FEIN: 31-1373080 (35% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

YMCA and Rehabilitation Center; FEIN: 42-149191 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Magnetic Resonance Services, LLC; FEIN: 42-1323888 (49% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mason City Ambulatory Surgery Center, LLC dba Mason City Surgery Center; FEIN: 20-1960348 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mercy Heart Center Outpatient Services, LLC; FEIN: 13-4237594 (51% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Iowa Falls Clinic; FEIN: 42-1467712 (50% Controlled by Mercy Medical Center - North Iowa (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

MercyOne Siouxland Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Hawarden Regional Healthcare Clinics, LLC; FEIN: 42-6005851 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Mercy Medical Services, Inc. dba MercyOne Medical Group - Siouxland; FEIN: 42-1283849 (100% Controlled by Immediate Parent)

Mercy Medical Center - Sioux City Foundation dba MercyOne Siouxland Foundation; FEIN: 14-1880022 (100% Controlled by Immediate Parent)

Health, Incorporated; FEIN: 31-1712115 (50% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Siouxland Paramedics, Inc.; FEIN: 42-1185707 (100% Controlled by Immediate Parent)

Siouxland Regional Cancer Center dba June E. Nylen Cancer Center; FEIN: 42-1411233 (100% Controlled by Immediate Parent)

Hospice of Siouxland; FEIN: 38-3320710 (100% Controlled by Immediate Parent)

Mercy/USP Health Ventures, LLC; FEIN: 47-1290300 (55.71% Controlled by Mercy Medical Center - Sioux City (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)

Siouxland Surgery Center Limited Liability Partnership; FEIN: 46-0423353 (55.54% Controlled by Immediate Parent)

Oakland Mercy Hospital dba MercyOne Oakland Medical Center; FEIN: 20-8072234 (100% Controlled by Immediate Parent)

Oakland Mercy Hospital Foundation dba MercyOne Oakland Foundation; FEIN: 31-1678345 (100% Controlled by Immediate Parent)

Baum Harmon Mercy Hospital dba MercyOne Primghar Medical Center; FEIN: 42-1500277 (100% Controlled by Immediate Parent)

Baum Harmon Mercy Hospital and Clinics Foundation dba MercyOne Primghar Foundation; FEIN: 26-2973307 (100% Controlled by Immediate Parent)

### Saint Joseph Regional Medical Center, Inc. (Indiana); FEIN: 35-1568824 (100% Controlled by Trinity Health)

The Foundation of Saint Joseph Regional Medical Center, Inc.; FEIN: 35-1654543 (100% Controlled by Immediate Parent)

Alick's Home Medical Equipment, Inc.; FEIN: 35-1548294 (13.50% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - Health Insurance Services, LLC; FEIN: 46-2814097 (100% Controlled by Immediate Parent)

# STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Northern Indiana Magnetic Resonance Center, LLP; FEIN: 35-1832912 (25% Controlled by Immediate Parent)

Select Health Network, Inc.; FEIN: 35-1932210 (50% Controlled by Immediate Parent)

Michiana Health Information Network, LLC; FEIN: 35-2050128 (33.33% Controlled by Immediate Parent)

Edison Lakes, Inc.; FEIN: 35-1783309 (23.84% Controlled by Immediate Parent)

Edison Lakes ROC, LLC; FEIN: 27-1778694 (30% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - South Bend Campus Inc.; FEIN: 35-0868157 (100% Controlled by Immediate Parent)

Saint Joseph Regional Medical Center - Plymouth Campus, Inc.; FEIN: 35-1142669 (100% Controlled by Immediate Parent)

SIRMC Holdings, Inc.; FEIN: 47-4763735 (100% Controlled by Immediate Parent)

Michiana Urgent Care Management, LLC; FEIN: 47-4279865 (40% Controlled by Immediate Parent)

**Saint Alphonus Health System, Inc. (Idaho/Oregon); FEIN: 27-1929502 (100% Controlled by Trinity Health)**

Saint Alphonus Medical Center - Nampa, Inc.; FEIN: 82-0200896 (100% Controlled by Immediate Parent)

MedNow, Inc.; FEIN: 82-0389927 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Nampa Health Foundation, Inc.; FEIN: 26-1737256 (100% Controlled by Immediate Parent)

Saint Alphonus Regional Medical Center, Inc.; FEIN: 82-0200895 (100% Controlled by Immediate Parent)

Saint Alphonus Regional Medical Center Auxiliary, Inc.; FEIN: 82-6009027 (100% Controlled by Immediate Parent)

Life Flight Network, LLC; FEIN: 20-5016802 (25% Controlled by Immediate Parent)

Saint Alphonus Diversified Care, Inc.; FEIN: 94-3028978 (100% Controlled by Immediate Parent)

Entity was Administratively dissolved 12/27/2019 in Idaho; we are waiting to hear from RHM as to Final disposition or Reinstatement; Emergency Medical Plaza of Idaho, LLC; FEIN: 81-4098266 (50% Controlled by Immediate Parent)

Entity was Administratively dissolved 3/5/2019 in Idaho; we are waiting to hear from RHM as to Final Disposition or Reinstatement; EMP Idaho Nampa, LLC; FEIN: 36-4851679 (100% Controlled by Immediate Parent)

Entity was Administratively dissolved 3/5/2019 in Idaho; we are waiting to hear from RHM as to Final Disposition or Reinstatement; EMP Idaho Boise, LLC; FEIN: 35-2577088 (100% Controlled by Immediate Parent) Entity was Administratively dissolved 3/5/2019 in Idaho; we are waiting to hear from RHM as to Final Disposition or Reinstatement; EMP Idaho Eagle, LLC; FEIN: 100% Controlled by Immediate Parent) Entity was Administratively dissolved 3/5/2019 in Idaho; we are waiting to hear from RHM as to Final Disposition or Reinstatement; EMP Idaho Twin Falls, LLC; FEIN: (100% Controlled by Immediate Parent)

Saint Alphonus Regional Rehabilitation Hospital, LLC; FEIN: 63-0860407 (49% Controlled by Saint Alphonus Diversified Care, Inc.)

Intermountain Medical Imaging, LLC; FEIN: 82-0514422 (50% Controlled by Immediate Parent)

Saint Alphonus Caldwell Cancer Treatment Center, LLC; FEIN: 82-0526861 (80% Controlled by Immediate Parent)

Saint Alphonus Home Health and Hospice, LLC; FEIN: 20-3942050 (50% Controlled by Immediate Parent)

Saint Alphonus Professional Medical Services LLC; FEIN: 46-0500210 (100% Controlled by Immediate Parent)

Saint Alphonus Specialty Services, Inc.; FEIN: 26-0553931 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Ontario, Inc.; FEIN: 27-1789847 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center Ontario Volunteers; FEIN: 94-3059469 (100% Controlled by Immediate Parent)

Saint Alphonus Foundation - Ontario, Inc.; FEIN: 20-2683500 (100% Controlled by Immediate Parent)

Saint Alphonus Medical Center - Baker City, Inc.; FEIN: 27-1790052 (100% Controlled by Immediate Parent)

Saint Alphonus Foundation - Baker City, Inc.; FEIN: 94-3164869 (100% Controlled by Immediate Parent)

Eastern Oregon Coordinated Care Organization, LLC; FEIN: (10% Controlled by Saint Alphonus Health System, Inc.)

Saint Alphonus Health Alliance, Inc.; FEIN: 82-0524649 (100% Controlled by Saint Alphonus Health System, Inc.)

Health Alliance Integrated Care, LLC; FEIN: 371575768 (100% Controlled by Saint Alphonus Health System, Inc.)

**Trinity Health - Michigan (Michigan); FEIN: 38-2113393 (100% Controlled by Trinity Health Corporation)**

Tri-Hospital Emergency Medical Services Corporation; FEIN: 38-2485700 (33.33% Controlled by Immediate Parent)

Saint Joseph Mercy Health System (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Joseph Mercy Chelsea, Inc. dba St. Joseph Mercy Chelsea Hospital, dba Chelsea Community Hospital, A Member of The Saint Joseph Mercy Health System; dba Chelseacre; dba Chelseacre Pharmacy; dba SRSJL; dba St. Joseph Mercy Chelsea-Cancer Center (New Mill Corporation, Incorporated 1/31/18); FEIN: 82-4752260 (51% Controlled by Immediate Parent; 49% Controlled by University of Michigan)

St. Joseph Mercy Hospital, Ann Arbor; (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Saint Joseph Mercy Livingston Hospital (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Mary Mercy Hospital; Saint Mary Mercy Livonia (Division of and dbas for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

St. Joseph Mercy Oakland (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Mercy Health Saint Mary's (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)

Saint Mary's Foundation; FEIN: 38-1779602 (100% Controlled by Immediate Parent)

Mercy Hospital Cadillac Foundation; FEIN: 20-3357131 (100% Controlled by Immediate Parent)

Metropolitan Detroit Area Hospital Services, Inc.; FEIN: 38-1958953 (A Michigan Non-Profit Co-Op); Members include Trinity Health - Michigan d/b/a Saint Joseph Mercy Health System (33.30% Interest); Henry Ford Health System, Inc.; and University of Michigan

Health Park Central, LLC; FEIN: 38-3006501 (10.55% Controlled by Immediate Parent)

Together Health Network, LLC; FEIN: 47-1573173; (47.5% Controlled by Immediate Parent)

Sixty-Fourth Street, LLC; FEIN: 20-2443646; (53.94% Controlled by Immediate Parent)

Washtenaw/Livingston Medical Control Corporation; FEIN: 38-2843970 (52.5% Controlled by Immediate Parent)

Mission Health Corporation ; FEIN: 38-3181557 (50% Controlled by Immediate Parent)

Center for Digestive Care, LLC; FEIN: 03-0447062 (51% Controlled by Immediate Parent)

Huron Arbor Corporation; FEIN: 38-2475644 (100% Controlled by Immediate Parent)

Parkprop, LLC; FEIN: 27-3074736 (100% Controlled by Immediate Parent)

Probiology Therapy Services; FEIN: 20-2020239 (100% Controlled by Immediate Parent)

Advantage Health/ Saint Mary's Medical Group d/b/a Mercy Health Physician Partners; FEIN: 27-2491974 (100% Controlled by Immediate Parent)

Advent Rehabilitation LLC; FEIN: 38-3306673 (50% Controlled by Immediate Parent)

Life Circles; FEIN: 26-0170498 (25.5% Controlled by Immediate Parent)

Woodland Imaging Center, LLC dba Avant Imaging; FEIN: 76-0820959 (51% Controlled by Immediate Parent);

IHA Health Services Corporation; FEIN: 38-3316559 (100% Controlled by Immediate Parent)

Huron Valley CT Center, LLC; FEIN: (100% Controlled by Immediate Parent)

IHA Affiliation Corp.; FEIN: 38-3188895 (100% Controlled by Immediate Parent)

McAuley Health Partners ACO, LLC; FEIN: 83-0959900 (100% Controlled by Immediate Parent)

Catherine McAuley Health Services Corporation; FEIN: 38-2507173 (100% Controlled by Immediate Parent)

The Waterford Surgical Center, LLC; FEIN: 27-1110813 (33.02% Controlled by Immediate Parent)

Physician Direct Accountable Care Organization; FEIN: 45-5589234 (25% Controlled by Immediate Parent)

Oakland Health Alliance, LLC; FEIN: 82-2021072 (100% Controlled by Immediate Parent)

Southeast Michigan Clinical Network, LLC; FEIN: 47-3856789 (100% Controlled by Immediate Parent)

Frances Warde Medical Laboratory (Trinity Health - Michigan Partnership); FEIN: 38-2648446 (66.6% Controlled by Immediate Parent)

Total Network, LLC; FEIN: (47.5% Controlled by Trinity Health - Michigan)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

Northern Michigan Supply Alliance, LLC; FEIN: 38-3453378 (50% Controlled by Immediate Parent)

**Mercy Health Partners; FEIN: 38-2589966 (100% Controlled by Immediate Parent)**

Mercy Health Mercy Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Hackley Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Mercy Health Lakeshore Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)

Muskegon Community Health Project; FEIN: 91-1932918 (100% Controlled by Immediate Parent)

Muskegon SC, LLC; FEIN: 20-3244346 (34.88% Controlled by Immediate Parent)

West Shore Professional Building Condominium Association; FEIN: 38-2700166 (96% Controlled by Immediate Parent)

Professional Med Team; FEIN: 38-2638284 (100% Controlled by Immediate Parent)

Mobile Health Resources, LLC; FEIN: 38-3285823 (14.3% Controlled by Immediate Parent)

Mercy Health Clinically Integrated Network, LLC dba Affinia Health Network; FEIN: 47-2070753 (100% Controlled by Immediate Parent)

Affinia Physician Network, LLC; FEIN: 82-2810979 (100% Controlled by Immediate Parent)

Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Mercy Health Partners; 10.70% Controlled by Trinity Health - Michigan)

West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (17.36% Controlled by Mercy Health Partners; 10.70% Interest Held by Trinity Health - Michigan)

Hackley Health Ventures, Inc.; FEIN: 38-2589959 (100% Controlled by Immediate Parent)

Hackley Professional Pharmacy, Inc. dba Mercy Health Partners-Pharmacy Inc.; dba Mercy Health Pharmacy - Lakes; dba Mercy Health Pharmacy -North Muskegon; FEIN: 38-2447870 (100% Controlled by Immediate Parent)

Workplace Health of Grand Haven, Inc.; FEIN: 38-3112035 (80% Controlled by Immediate Parent)

**Loyola University Health System (Illinois); FEIN: 36-3342448 (100% Controlled by Trinity Health Corporation)**

Loyola Physician Partners ACO, LLC; FEIN: 38-3930598 (100% Controlled by Immediate Parent)

Gottlieb Memorial Hospital; FEIN: 36-2379649 (100% Controlled by Immediate Parent)

Gottlieb/West Towns PHO, Inc.; FEIN: 36-4006263 (50% Controlled by Immediate Parent)

Gottlieb Community Health Services Corporation dba MacNeal Hospital; FEIN: 36-3332852 (100% Controlled by Immediate Parent)

L. Medicine Labs, LLC; FEIN: 37-1878743 (100% Controlled by Immediate Parent)

Primary Care Physicians Center, LLC; FEIN: 36-4038505 (94% Controlled by GCHSC)

Chicago Health System ACO, LLC; FEIN: 45-3020116 (100% Controlled by GCHSC)

MacNeal Health Providers, Inc. dba Chicago Health System, Inc.; FEIN: 36-3361297 (100% Controlled by GCHSC)

Gottlieb Management Services, Inc.; FEIN: 36-3330529 (100% Controlled by Immediate Parent) NOMC/MacNeal

Radiation Therapy Joint Venture, LLC; FEIN: 20-0812600 (45% Controlled by GCHSC)

Loyola University Medical Center; FEIN: 36-4015560 (100% Controlled by Immediate Parent)

Loyola Ambulatory Centers, LLC; FEIN: 36-4321058 (100% Controlled by Immediate Parent)

Loyola Ambulatory Surgery Center at Oakbrook, L.P.; FEIN: 36-4119522 (49% Controlled by Immediate Parent)

RMLHP Corporation; FEIN: 36-4160869 (50% Controlled by Immediate Parent)

Loyola Medicine Transport LLC; FEIN 47-4147171 (51% Controlled by Immediate Parent)

Loyola Medical Group, LLC; FEIN: 32-0552496 (100% Controlled by LUMC)

# STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

South Campus Partners, Inc., FEIN: 32-0517854 (49% Controlled by LUMC)

Palos Health Surgery Center, LLC, FEIN: 35-2634975 (48.5% Controlled by Loyola University Medical Center)

Loyola Physician Partners, LLC, FEIN: 37-1756257; (100% Controlled by Immediate Parent)

Meracy Health System of Chicago (Illinois), FEIN: 36-2163327 (100% Controlled by Trinity Health)

Meracy Hospital and Medical Center; FEIN: 36-2170152 (100% Controlled by Immediate Parent)

Meracy Advanced MRI, LLC, FEIN: 26-2116721 (100% Controlled by Immediate Parent)

Meracy Foundation, Inc.; FEIN: 36-3227350 (100% Controlled by Immediate Parent)

Meracy Services Corporation; FEIN: 36-3227348 (100% Controlled by Immediate Parent)

Meracy Quality Health Partners ACO, LLC, FEIN: 38-3971072 (100% Controlled by Immediate Parent)

Meracy Quality Health Partners, LLC, FEIN: 36-4798692 (100% Controlled by Immediate Parent)

Saint Agnes Medical Center (California), FEIN: 94-1437713 (100% Controlled by Trinity Health)

Saint Agnes Medical Foundation dba Saint Agnes Care; Saint Agnes Care Center-Northwest; and Saint Agnes Urgent Care; FEIN: 94-2839324 (100% Controlled by Immediate Parent)

Saint Agnes Medical Providers, Inc.; FEIN: 46-1465093 (Sole Shareholder Licensed physicians appointed by SAMC - Not controlled by SAMC)

Central Valley Health Plan, Inc.; FEIN: 61-1846844 (100% Controlled by Immediate Parent)

California Healthcare Capital Partners, LLC; FEIN: 81-2937390 (33% Controlled by Immediate Parent)

Saint Agnes/USP Surgery Centers, LLC; FEIN: (50.1% Controlled by Saint Agnes Medical Center)

Renaissance Surgery Center, LLC; FEIN: 20-5977652 (Saint Agnes/USP Surgery Centers, LLC acquired 51% membership interest 9/3/2018)

Meracy Medical, A Corporation (Alabama), FEIN: 63-6002215 (100% Controlled by Trinity Health)

Pittsburgh Mercy Health System, Inc. (Pennsylvania), FEIN: 25-1466211 (100% Controlled by Trinity Health)

Meracy Life Center Corporation; FEIN: 25-1604115 (100% Controlled by Immediate Parent)

Living Independence for the Elderly - Pittsburgh, Inc. d/b/a LIFE Pittsburgh; FEIN: 25-1815436 (48% Controlled by Immediate Parent)

McAuley Ministries; FEIN: 94-3436142 (100% Controlled by Immediate Parent)

Bethlehem Haven of Pittsburgh, Inc.; FEIN: 25-1436685 (100% Controlled by Immediate Parent)

BH Venture Mgmt LLC; FEIN: 83-2416426 (100% Controlled by Bethlehem Haven of Pittsburgh, Inc.)

BH Venture One LP; FEIN: 38-4098074 (99% Controlled by Limited Partner Bethlehem Haven of Pittsburgh, Inc. and 1% Controlled by General Partner BH Venture Mgmt, LLC)

Trinity Continuing Care Services (multistate operation - incorporated in Michigan), FEIN: 38-2559656 (100% Controlled by Trinity Health Corporation)

Trinity Senior Services Management, Inc.; FEIN: 37-1572595 (100% Controlled by Trinity Continuing Care Services/Trinity Health)

Holy Cross CareNet, Inc. (Operating Assets Sold 8.31.2018 but Entity Remains); FEIN: 52-1945054 (100% Controlled by Immediate Parent)

Mary Free Bed Sub-Acute Rehabilitation; FEIN: 46-3971740 (50% Controlled by Immediate Parent)

Meracy Services for Aging Nonprofit Housing Corporation; FEIN: 38-2719605 (100% Controlled by Immediate Parent)

Trinity Continuing Care Services - Indiana, Inc.; FEIN: 93-0907047 (100% Controlled by Immediate Parent)

Saint Joseph's Tower Inc.; FEIN: 31-1040468 (100% Controlled by Immediate Parent)

Saint Joseph of the Pines, Inc.; FEIN: 56-0694200 (100% Controlled by Immediate Parent)

Meracy Community Health, Inc.; FEIN: 06-1492707 (100% Controlled by Immediate Parent)

Saint Mary Home, Incorporated; FEIN: 06-0646843 (100% Controlled by Immediate Parent)

McAuley Center, Incorporated; FEIN: 06-1058086 (100% Controlled by Immediate Parent)

Mount St. Joseph dba Mount Saint Joseph Residence and Rehabilitation; FEIN: 01-0274998 (100% Controlled by Immediate Parent)

Glacier Hills, Inc.; FEIN: 38-1891500 (100% Controlled by Immediate Parent)

Glacier Hills Foundation; FEIN: 20-8072723 (100% Controlled by Immediate Parent)

Trinity Continuing Care Services - Massachusetts; FEIN: 82-4005577 (100% Controlled by Immediate Parent)

Trinity Home Health Services (multistate operation - incorporated in Michigan), FEIN: 38-2621935 (100% Controlled by Trinity Health Corporation)

ASSETS OF THIS ENTITY WERE SOLD 10.31.2017 BUT ENTITY REMAINS - THIS Oakland; FEIN: 38-3320699 (100% Controlled by Immediate Parent)

Hospice of Muskegon County, Inc.; FEIN: 38-2415247 (20% Controlled by Trinity Home Health Services)

Meracy General Health Partners, Amicare Homecare dba North Ottawa at Home; FEIN: 38-3.321856 (100% Controlled by Immediate Parent)

Saint Mary's Amicare Home Healthcare; FEIN: 38-3320700 (100% Controlled by Immediate Parent)

Trinity Health PACE, FEIN: 47-3073124 (100% Controlled by Immediate Parent) (multistate operation - incorporated in Michigan)

Saint Joseph PACE Inc.; FEIN: 47-3129127 (100% Controlled by Immediate Parent)

LIFE St. Joseph of the Pines, Inc.; FEIN: 27-2159847 (100% Controlled by Immediate Parent)

Trinity Health LIFE Pennsylvania Inc. dba Mercy LIFE - West Philadelphia; FEIN: 47-5244984 (100% Controlled)

Meracy LIFE of Alabama; FEIN: 27-3163002 (100% Controlled by Immediate Parent)

Meracy LIFE, Inc.; FEIN: 45-3086711 (100% Controlled by Immediate Parent)

LIFE at Lourdes Inc. dba Trinity Health LIFE New Jersey; FEIN: 26-1854750 (100% Controlled by Immediate Parent)

Trinity Health Partners, LLC, FEIN: 47-2798085 (100% Controlled by Trinity Health)

Trinity Health Partners - Michigan, LLC; FEIN: 35-2534698 (100% Controlled by Immediate Parent)

Trinity Health Partners - Idaho, LLC; FEIN: 30-0875741 (100% Controlled by Immediate Parent) Trinity Health Partners - Illinois, LLC; FEIN: 39-1828147 (100% Controlled by Immediate Parent) Trinity Health Partners - New Jersey, LLC; FEIN: 36-4838390 (100% Controlled by Immediate Parent)

Trinity Health ACO, Inc. FEIN: 47-3794666 (100% Controlled by Trinity Health)

Trinity Integrated Care, LLC; FEIN: 81-2772183 (100% Controlled by Immediate Parent)

Trinity Accountable Care, LLC; FEIN: 81-2780900 (100% Controlled by Immediate Parent)

Trinity Accountable Care II, LLC; FEIN: 84-2508775 (100% Controlled by Immediate Parent)

Trinity Health of the Mid-Atlantic Region (Effective 7.1.19, formerly Meracy Health System of Southeastern Pennsylvania) [Pennsylvania], FEIN: 23-2212638 (100% Controlled by Trinity Health)

Meracy Health Foundation of Southeastern Pennsylvania; FEIN: 23-2829864 (100% Controlled by Immediate Parent)

Meracy Catholic Medical Center of Southeastern Pennsylvania; FEIN: 23-1352191 (100% Controlled by Immediate Parent)

Meracy Catholic Medical Center - Mercy Fitzgerald Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

Meracy Catholic Medical Center - Mercy Philadelphia Campus (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

Meracy Suburban Hospital (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-1396763 (100% Controlled by Immediate Parent)

Nazareth Hospital; FEIN: 23-2794121 (100% Controlled by Immediate Parent)

Nazareth Medical Office Building Associates, L.P.; FEIN: 23-2388040 (63.85% Controlled by Immediate Parent)

St. Agnes Continuing Care Center; FEIN: 23-2840137 (100% Controlled by Immediate Parent)

Meracy Accountable Care Network, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)

Meracy Accountable Care, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)

Meracy Health Plan; FEIN: 22-2483605 (100% Controlled by Immediate Parent)

Gateway Health LLC (50% Controlled by Immediate Parent); FEIN: 25-1691945

Gateway Health Plan, Inc.; FEIN: 25-1505506 (100% Controlled by Immediate Parent)

Gateway Health Plan of Ohio, Inc.; FEIN: 30-0282076 (100% Controlled by Immediate Parent)

Meracy Home Health Services; FEIN: 23-2325058 (100% Controlled by Immediate Parent)

Meracy Home Health; FEIN: 23-1352099 (100% Controlled by Immediate Parent)

Meracy Family Support; FEIN: 23-2325059 (100% Controlled by Immediate Parent)

Meracy Physician Network (ENTITY IS INACTIVE AND WILL BE DISSOLVED AT A LATER DATE); FEIN: 46-1187365 (100% Controlled by Immediate Parent)

Nazareth Physician Services, Inc.; FEIN: 20-3261266 (100% Controlled by Immediate Parent)

N.E. Physician Services, Inc.; FEIN: 23-2497355 (100% Controlled by Immediate Parent)

East Norriton Physicians Services, Inc. (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-2515999 (100% Controlled by Immediate Parent)

Meracy Management of Southeastern Pennsylvania; FEIN: 23-2627944 (100% Controlled by Immediate Parent)

Meracy/Manor Partnership (50% Controlled by Immediate Parent); FEIN: 52-1931012

Meracy Eastwick, Inc.; FEIN: 23-2184261 (100% Controlled by Immediate Parent)

St. Mary Medical Center (Pennsylvania); FEIN: 23-1913910 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

Langhorne Physician Services; FEIN: 23-2571699 (100% Controlled by Immediate Parent)

LIFE St. Mary; FEIN: 26-2976184 (100% Controlled by Immediate Parent)

St. Mary Emergency Medical Services; FEIN: 46-5354512 (100% Controlled by Immediate Parent)

St. Mary Building and Development; FEIN: 46-1827502 (100% Controlled by Immediate Parent)

Langhorne Services, Inc.; FEIN: 23-2625981 (100% Controlled by Immediate Parent)

Langhorne Services II, Inc.; FEIN: 23-3795549 (100% Controlled by Immediate Parent)

Langhorne MOB Partners, L.P.; FEIN: 23-2622772 (42.857% Controlled by Immediate Parent)

The Ambulatory Surgery Center at St. Mary, LLC; FEIN: 23-2871206 (51% Controlled by Immediate Parent)

SMMC MOB II, Limited Partnership; FEIN: 36-4559869 (65.75% Controlled by Immediate Parent)

Quality Health Alliance LLC; FEIN: 46-5686622 (100% Controlled by Immediate Parent)

Quality Health Alliance - ACO LLC; FEIN: 46-5675954 (100% Controlled by Immediate Parent)

Endoscopy Center at St. Mary, LP; FEIN: 20-5253361 (16.349% Controlled by Immediate Parent)

St. Mary Rehabilitation Hospital, LP; FEIN: 27-3938747 (59% Controlled by SMMC)

Heart Institute of St. Mary, LLC; FEIN: 45-4903701 (10% Controlled by SMMC)

St. Francis Hospital, Inc. [Delaware]; FEIN: 51-0064326 (100% Controlled by Trinity Health of the Mid-Atlantic Region)

LIFE at St. Francis Healthcare, Inc.; FEIN: 45-2569214 (100% Controlled by Immediate Parent)

Per CT Audit, ENTITY IS VOID IN DE AS OF 3/1/2016 - WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATED;

Franciscan Eldercare Corporation; FEIN: 22-3008680 (100% Controlled by Immediate Parent)

Delaware Care Collaboration ("DCC") LLC; FEIN: 47-4069475 (100% Controlled by Immediate Parent)

St. Mary's Health Care System, Inc. [Georgia] dba St. Mary's Hospital; FEIN: 58-0566223 (100% Controlled by Trinity Health)

St. Mary's Foundation, Inc.; FEIN: 58-2544232 (100% Controlled by Immediate Parent)

St. Mary's Sacred Heart Hospital, Inc. dba HealthWorks; FEIN: 47-3752176 (100% Controlled by Immediate Parent)

# STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Sacred Heart Enterprises, LLC; FEIN: 35-2534772 (100% Controlled by Immediate Parent)

Cobb Enterprises, LLC; FEIN: 20-8356011 (100% Controlled by Immediate Parent)

Good Samaritan Hospital, Inc. dba St. Mary's Good Samaritan Hospital; FEIN: 26-1720984 (100% Controlled by Immediate Parent)

St. Mary's Good Samaritan Foundation, Inc.; FEIN: 81-1660088 (100% Controlled by Immediate Parent)

St. Mary's Medical Group, Inc.; FEIN: 26-1858563 (100% Controlled by Immediate Parent)

St. Mary's Highland Hills, Inc. dba St. Mary's Highland Hills Village and dba Highland Hills Village; FEIN: 02-0576648 (100% Controlled by Immediate Parent)

Athens Residential Properties, LLC; FEIN: Not Issued (100% Controlled by Immediate Parent)

**Maxis Health System (Pennsylvania); FEIN: 91-1940902 (100% Controlled by Trinity Health)**

**St. Francis Medical Center, a New Jersey Nonprofit Corporation (New Jersey); FEIN: 22-3431049 (100% Controlled by Maxis Health System (PA) which is 100% Controlled by Trinity Health) St.**

Francis Medical Center Foundation, Inc.; FEIN: 52-1025476 (100% Controlled by Immediate Parent)

LIFE St Francis, a New Jersey Non-Profit Corporation (PA); FEIN: 22-2797282 (100% Controlled by Immediate Parent)

LifeCare Physicians Professional Corporation (Discontinued Entity but not Dissolved or Merged); Managed and Controlled but not Controlled by St. Francis Medical Center; FEIN: 26-1649038

St. Francis Community Health Services, LLC (Inactive Entity but not Dissolved or Merged); FEIN: 46-1801229 (100% Controlled by Immediate Parent)

Central New Jersey Heart Services, LLC; FEIN: 20-8525458 (59.76% Controlled by St. Francis Medical Center)

St. Francis Medical Associates, P.A.; FEIN: 83-2199054 (100% Controlled by Immediate Parent)

**St. Peter's Health Partners (New York); FEIN: 45-3570745 (100% Controlled by Trinity Health)**

United Laboratory Network IPA, LLC; FEIN: 14-1827292 (SHPH holds 16.5% Interest; Samaritan Hospital of Troy, New York holds 16.5% Interest)

The Burdett Care Center, Inc. d/b/a Burdett Birth Center; FEIN: 27-2153849; (100% Controlled by SPHP)

Capital Region U.C. LLC d/b/a WellNow; FEIN: 38-4124497; (25% Interest Held by SPHP)

Innovative Health Alliance of New York, LLC (100% Controlled by Immediate Parent); FEIN: 46-5676066

St. Peter's Hospital Foundation, Inc.; FEIN: 22-2262982 (100% Controlled by Immediate Parent)

Manning Medical, PLLC (Nominally Controlled by SPHP Physician in accordance with NY law; SPHP exercises control through an Agreement and Reserve Powers); FEIN: 46-4331512

Albany Advanced Imaging, P.LLC dba St. Peter's Health Partners Imaging (Manning Medical PLLC controls 44.65%; Albany Radiology Partners, PLLC controls 55.35%); FEIN: 14-1813068

St. Peter's Health Partners Medical Associates, P.C.; FEIN: 46-1177336 (100% Controlled by Immediate Parent)

St. Peter's Hospital of the City of Albany dba St. Peter's Hospital; FEIN: 14-1348692 (100% Controlled by Immediate Parent)

Villa Mary Immaculate d/b/a St. Peter's Nursing & Rehabilitation Center; FEIN: 14-1438749 (100% Controlled by Immediate Parent)

St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%); FEIN: 46-0463892

Our Lady of Mercy Life Center; FEIN: 14-1743506 (100% Controlled by Immediate Parent)

Everett Road ASC, LLC; FEIN: 83-3542382 (SPHCA holds 20% Interest)

St. Peter's Hospital College of Nursing; FEIN: 84-3744949 (100% Controlled by SPHCA)

The Community Hospice, Inc.; FEIN: 14-1608821 (100% Controlled by Immediate Parent)

The Community Hospice Foundation, Inc.; FEIN: 22-2692940 (100% Controlled by Immediate Parent)

Samaritan Hospital of Troy, New York dba Samaritan Hospital; FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Samaritan Hospital - St. Mary's Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Samaritan Hospital - Albany Memorial Campus (dba of Samaritan Hospital); FEIN: 14-1338544 (100% Controlled by Immediate Parent)

Alliance for Better Health Care, LLC (JV Samaritan Hospital 20%; Ellis Hospital 20%; Hometown Health 20%; St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%); FEIN: 47-2920659

The Northeast Health Foundation, Inc.; FEIN: 22-2743478 (100% Controlled by Immediate Parent)

Samaritan Child Care Center, Inc.; FEIN: 14-1710225 (100% Controlled by Immediate Parent)

Sunnyview Hospital and Rehabilitation Center; FEIN: 14-1338386 (100% Controlled by Immediate Parent)

Sunnyview Hospital and Rehabilitation Center Foundation, Inc.; FEIN: 22-2505127 (100% Controlled by Immediate Parent)

LTC (Eddy), Inc. dba The Eddy; FEIN: 22-2564710 (100% Controlled by Immediate Parent)

The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center; FEIN: 22-2570478 (100% Controlled by Immediate Parent)

Capital Region Geriatric Center, Inc. dba Eddy Village Green; FEIN: 14-1701597 (100% Controlled by Immediate Parent)

Heritage House Nursing Center, Inc. dba Eddy Heritage House Nursing and Rehabilitation Center; FEIN: 14-1725101 (100% Controlled by Immediate Parent)

Senior Care Connection, Inc. dba Eddy Senior Care; FEIN: 14-1708754 (100% Controlled by Immediate Parent)

Home Aide Service of Eastern New York, Inc. dba Eddy Visiting Nurse Association; FEIN: 14-1514867 (100% Controlled by Immediate Parent)

Beverwyck, Inc. dba Eddy Village Green at Beverwyck; FEIN: 14-1717028 (100% Controlled by Immediate Parent)

Glen Eddy, Inc.; FEIN: 14-1794150 (100% Controlled by Immediate Parent)

The Glen at Hilland Meadows, Inc.; FEIN: 16-1529639 (50% Controlled by Immediate Parent)

Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge; FEIN: 80-0102840 (100% Controlled by Immediate Parent)

The Marjorie Doyle Rockwell Center, Inc.; FEIN: 14-1793885 (100% Controlled by Immediate Parent)

Beechwood, Inc. dba Eddy Property Services; FEIN: 14-1651563 (100% Controlled by Immediate Parent)

Samaritan Medical Office Building, Inc.; FEIN: 14-1607244 (100% Controlled by Immediate Parent)

Eddy Licensed Home Care Agency, Inc.; FEIN: 14-1818568 (100% Controlled by Immediate Parent)

Empire Home Infusion Service, Inc. dba Northeast Home Medical Equipment; FEIN: 14-1795732 (100% Controlled by Immediate Parent)

Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge; FEIN: 14-1756230 (100% Controlled by Immediate Parent)

**St. James Mercy Health System (New York); FEIN: 22-3127184 (100% Controlled by Trinity Health)**

SJM Properties, Inc.; FEIN: 16-1294951 (100% Controlled by Immediate Parent)

**Catholic Health System, Inc. (IOA - 50% Controlled by Trinity Health) (New York); FEIN: 22-2565278**

Sisters of Charity Hospital of Buffalo, New York; FEIN: 16-0743187 (100% Controlled by Immediate Parent)

Sisters Hospital Foundation, Inc.; FEIN: 22-2283077 (100% Controlled by Immediate Parent)

Kenmore Mercy Hospital; FEIN: 16-0762843 (100% Controlled by Immediate Parent)

Kenmore Mercy Foundation, Inc.; FEIN: 16-1162971 (100% Controlled by Immediate Parent)

KMH Homes, Inc.; FEIN: 16-1387890 (100% Controlled by Immediate Parent; Operationally inactive)

Catholic Health System Continuing Care Foundation; FEIN: 20-0947831 (100% Controlled by Immediate Parent)

Mercy Hospital of Buffalo; FEIN: 16-0756336 (100% Controlled by Immediate Parent)

Orchard Park Mercy Corp.; FEIN: 16-1470350 (100% Controlled by Immediate Parent)

Alsace Abbott Corporation; FEIN: 16-1355092 (100% Controlled by Immediate Parent)

Aurora Mercy Corp.; FEIN: 16-1354302 (100% Controlled by Immediate Parent)

Mercy Hospital Foundation, Inc.; FEIN: 22-2209721 (100% Controlled by Immediate Parent)

Mount St. Mary's Hospital of Niagara Falls; FEIN: 16-1523353 (100% Controlled by Immediate Parent)

Mount St. Mary's Hospital Foundation, Inc.; FEIN: 16-1360884 (100% Controlled by Immediate Parent)

Mount St. Mary's Child Care Center, Inc.; FEIN: 16-1523352 (100% Controlled by Immediate Parent)

The Board of Associates of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 16-1582926 (100% Controlled by Immediate Parent)

The St. Francis Guild of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 51-0217790 (100% Controlled by Immediate Parent)

Niagara Medicine, P.C.; FEIN: 45-3669525 (Captive PC - CHS does not legally own but does control this entity through a Management Agreement)

Assets were sold 4/3/2015 but entity remains with CHS; Nazareth, Inc.; FEIN: 16-0813142 (100% Controlled by Immediate Parent; Operationally inactive)

WNY Catholic Long Term Care, Inc. d/b/a Father Baker Manor (100% Controlled by Immediate Parent); FEIN: 16-1434368

Niagara Homemaker Services, Inc.; FEIN: 16-1317960 (100% Controlled by Immediate Parent)

Assets were sold 3/7/2016 but entity remains with CHS; St. Vincent's Home for the Aged; FEIN: 16-0743167 (100% Controlled by Immediate Parent; Operationally inactive)

Assets were sold 3/7/2016 but entity still remains with CHS; St. Elizabeth's Home of Lancaster, New York; FEIN: 16-0743154 (100% Controlled by Immediate Parent; Operationally inactive)

McAuley-Seton Home Care Corporation; FEIN: 16-1310062 (100% Controlled by Immediate Parent)

St. Francis Geriatric and Healthcare Services, Inc.; FEIN: 16-1523353 (100% Controlled by Immediate Parent)

St. Clare Apartments Housing Development Fund Company, Inc. (50% Controlled by Immediate Parent); FEIN: 16-0782647

Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.; FEIN: 26-1252884 (100% Controlled by Immediate Parent)

Catholic Health System Infusion Pharmacy, Inc.; FEIN: 20-0198518 (100% Controlled by Immediate Parent)

Catholic Health Home Respiratory, LLC (50% Controlled by Immediate Parent; Operationally inactive); FEIN: 45-4134007

Our Lady of Victory Renaissance Corporation; FEIN: 20-0167745 (100% Controlled by Immediate Parent)

Our Lady of Victory Community Housing Development Organization, Inc.; FEIN: 20-0372194 (100% Controlled by Immediate Parent)

Our Lady of Victory Housing Development Fund Corp (100% Controlled by Immediate Parent); FEIN: 14-1930644

Smithtown GP, LLC (100% Controlled by Immediate Parent); FEIN: 57-3192758

Victory Ridge Apartments, L.P. (80% Controlled by Immediate Parent); FEIN: 57-1219731

Trinity Medical WNY, P.C.; FEIN: 27-2576645 (Captive PC)

Salus Medical Care, P.C.; FEIN: (100% Controlled by Immediate Parent)

Catholic Medical Partners-Accountable Care IPA, Inc. FEIN: ( % Controlled by Immediate Parent)

CH Emmaus, Inc.; FEIN 82-1852345 (100% Controlled by Immediate Parent)

Sterling Surgical Center, LLC; FEIN: 16-1607894 (100% Controlled by CH Emmaus)

Assets were sold 3/7/2016 but entity remains with CHS; St. Francis Home of Williamsville, New York (Inactive); FEIN: 16-0743153 (100% Controlled by Immediate Parent)

**Baycare Health System, Inc. (IOA - 50.4% Controlled by Trinity Health, not all facilities Controlled; Other Parties to the IOA include Morton Plant Mease Health Care, Inc. and South Florida Baptist Hospital, Inc.) (Florida); FEIN: 59-2796956**

Corporation's Immediate Parent)

Trustees of Mease Hospital, Inc. d/b/a Mease Countryside Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)

Trustees of Mease Hospital, Inc. d/b/a Mease Dunes Hospital; FEIN: 59-0855412 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Recovery Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

Morton Plant Hospital Association, Inc. d/b/a Morton Plant Rehabilitation Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)

South Florida Baptist Hospital, Inc.; FEIN: 59-0594631 (Entity is a Party to the IOA BayCare Health System; Membership of this entity consists of 21 individuals who are members of Missionary Baptist Churches in FL. 100% Controlled by Immediate Parent)

Barlow Regional Medical Center, Inc.; FEIN: 47-5387418 (100% Controlled by Immediate Parent)

Winter Haven Hospital, Inc.; FEIN: 59-0724462 (100% Controlled by Immediate Parent)

# STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Winter Haven Hospital, Inc. dba Winter Haven Women's Hospital; FEIN: 59-0724462 (100% Controlled by Immediate Parent)

BayCare Alliant Hospital, Inc.; FEIN: 05-0615150 (100% Controlled by Immediate Parent)

Baycare Physician Partners, LLC; FEIN: 45-2908908 (100% Controlled by Immediate Parent)

Baycare Physician Partners ACO, LLC; FEIN: 46-5720072 (Members are Baycare Health System and 2 individuals)

BayCare Medical Group, Inc. (f/a Morton Plant Mease Primary Care, Inc.); FEIN: 59-3140335 (100% Controlled by Immediate Parent)

St. Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital, Inc. d/b/a St. Joseph's Children's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital, Inc. d/b/a St. Joseph's Women's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation) St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - North; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation) St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - South; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital Behavioral Health Center; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)

St. Joseph's Health Care Center, Inc.; FEIN: 59-2593686 (100% Controlled by Trinity Health Corporation)

St. Joseph's Hospital of Tampa Foundation, Inc.; FEIN: 59-1100828 (100% Controlled by Immediate Parent)

John Knox Village of Tampa Bay, Inc.; FEIN: 58-1377711 (100% Controlled by Immediate Parent)

HealthPoint Medical Group, Inc.; FEIN: 59-3244268 (100% Controlled by Immediate Parent)

Franciscan Properties, Inc.; FEIN: 59-2822519 (100% Controlled by Immediate Parent)

St. Joseph's Community Care, Inc.; FEIN: 59-3152608 (100% Controlled by Immediate Parent)

St. Joseph's Enterprises, Inc.; FEIN: 59-2822516 (100% Controlled by Immediate Parent)

St. Anthony's Professional Building and Services, Inc.; FEIN: 59-2018848 (100% Controlled by Immediate Parent)

St. Anthony's Hospital, Inc.; FEIN: 59-2043026 (100% Controlled by Trinity Health Corporation)

St. Anthony's Hospital Auxiliary, Inc.; FEIN: 59-0201974 (100% Controlled by Immediate Parent)

St. Anthony's Health Care Foundation, Inc.; FEIN: 59-2128991 (100% Controlled by Immediate Parent)

St. Anthony's Physicians Surgery Center, LLC; FEIN: 01-0861245 (100% Controlled by Immediate Parent)

Allegheny Franciscan Ministries, Inc. (Florida); FEIN: 58-1492325 (100% Controlled by Trinity Health)

Global Health Ministry d/b/a Global Health Volunteers (MI); FEIN: 42-123527 (100% Controlled by Trinity Health)

Saint Joseph's Health System, Inc. (Georgia); FEIN: 58-1744848 (100% Controlled by Trinity Health)

Saint Joseph's Mercy Care Services, Inc. dba Mercy Care; FEIN: 58-1752700 (100% Controlled by Immediate Parent)

Mercy Senior Care, Inc. dba Mercy Care Rome; FEIN: 58-1366508 (100% Controlled by Immediate Parent)

Mercy Care Foundation, Inc. (f/a Saint Joseph's Mercy Foundation, Inc.); FEIN: 58-1448522 (100% Controlled by Immediate Parent)

Mercy Services Downtown, Inc.; FEIN: 27-2046353 (100% Controlled by Immediate Parent)

SIHS/IOC Holdings, Inc.; FEIN: 47-2299757 (100% Controlled by Immediate Parent)

Emory/Saint Joseph's, Inc. (IOC - 49% Controlled by SIHS/IOC Holdings, Inc.); FEIN: 45-2721833

Holy Cross Hospital, Inc. (Florida); FEIN: 59-0791028 (100% Controlled by Trinity Health)

Holy Cross Primary Care, Inc.; FEIN: 81-2531495 (100% Controlled by Immediate Parent)

Nursing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)

Holy Cross Outpatient Services, Inc.; FEIN: 46-5421068 (100% Controlled by Immediate Parent)

Holy Cross Physician Partners, LLC; FEIN: 36-4712116 (100% Controlled by Immediate Parent)

Holy Cross Physician Partners ACO, LLC; FEIN: 46-5530455 (100% Controlled by Immediate Parent)

Holy Cross Senior Services, Inc.; FEIN: 83-2256461 (100% Controlled by Immediate Parent)

Physicians Outpatient Surgery Center, LLC (JV with Physician Members - 71% Controlled by HCH); FEIN: 35-2325646

Atlantic Coast Health Network, LLC (JV with Atlantic Coast Holdings, Inc. - 50% Controlled by HCH); FEIN: 47-4756582

St. Joseph's Health, Inc. (New York); FEIN: 47-4754987 (100% Controlled by Trinity Health)

St. Joseph's Hospital Health Center; FEIN: 15-0532254 (100% Controlled by Immediate Parent)

S.J. Management Company of Syracuse, Inc.; FEIN: 27-1763712 (100% Controlled by Immediate Parent)

SILS, LLC (51% SIMCS, 34% Fresenius, 15% Physicians); FEIN: 20-1796650

St. Joseph's College of Nursing at St. Joseph's Hospital Health Center; FEIN: 20-2497520 (100% Controlled by Immediate Parent)

SIPE Practice Management Services, Inc.; FEIN: 45-4164964 (100% Controlled by Immediate Parent)

Plaza Corporation of Central New York (50% SIHHC, 50% Crouse Hospital); FEIN: 22-2800840

Iroquois Nursing Home, Inc.; FEIN: 16-1364582 (100% Controlled by Immediate Parent)

Plaza Nursing Home Company, Inc.; FEIN: 16-0955793 (100% Controlled by Immediate Parent)

Mandorla Gardens Housing Development Fund Company, Inc. (50% PNH, 50% Loretto Geriatric); FEIN: 27-3993174

Enriched Resources for Independent Elderly, Inc.; FEIN: 16-1163209 (100% Controlled by Immediate Parent)

Plaza Foundation of Central New York; FEIN: 22-2800835 (100% Controlled by Immediate Parent)

Laboratory Alliance of Central New York, LLC (50% SIHHC, 50% Crouse Health Hospital, Inc.); FEIN: 16-1536202

Loretto Independent Living Services, Inc.; FEIN: 16-1470454 (Not Controlled by Immediate Parent, but maintains a right to one less than one half of the Board Seats)

CNY AIM IPA, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent)

CNY AIM, LLC; FEIN: 81-1461678 (100% Controlled by Immediate Parent)

St. Joseph's Health Accountable Care Organization, LLC; FEIN: 47-4081578 (100% Controlled by Immediate Parent)

St. Joseph's Hospital Health Center Foundation, Inc.; FEIN: 22-2149775 (100% Controlled by Immediate Parent)

St. Joseph's Health Center Properties, Inc.; FEIN: 23-7219294 (100% Controlled by Immediate Parent)

Radisson SIH Properties, LLC (50% St. Joseph's Health Center Properties, 50% Radisson Partners, LLC); FEIN: 46-1892799

Franciscan Associates, Inc.; FEIN: 20-2991688 (100% Controlled by Immediate Parent)

FHS Services, Inc. d/b/a Oneida Lifeline, Franciscan Lifeline; FEIN: 27-2995699 (100% Controlled by Immediate Parent)

Franciscan Management Services, Inc.; FEIN: 16-1351193 (100% Controlled by Immediate Parent)

Lourdes Health Support, LLC; FEIN: 16-1611707 (40% Controlled by Franciscan Management Services, Inc.)

St. Elizabeth Health Support Services, Inc. (100% Controlled by FMS); FEIN: 16-1540486

Central New York Infusion Services, LLC (20% FMS, 80% Infusion Services, Inc.); FEIN: 16-1559710

Franciscan Health Support, Inc. FEIN: 16-1236354 (100% Controlled by Immediate Parent)

Franciscan Health Support Services, LLC (d/b/a Oneida Health Support, Auburn Health Support, Mountain Lakes Health Support); FEIN: 16-1236354 (100% Controlled by Immediate Parent)

Health Care Management Administrators, Inc.; FEIN: 16-1450960 (100% Controlled by Immediate Parent)

Near Northside Holdings, LLC; FEIN: Not Yet Applied For (100% Controlled by Immediate Parent)

Embracing Age, Inc.; FEIN: 46-1051881 (100% Controlled by Immediate Parent)

Oswego Health Home Care, LLC (49% Embracing Age and 60% Oswego Health); FEIN: 47-2463736

St. Joseph's Physician Health, P.C.; FEIN: 16-1516863 (Captive PC)

St. Joseph's Medical, P.C.; FEIN: 27-3899821 (Captive PC)

St. Joseph's Imaging Associates, PLLC (60% Prospect Hill Radiology Group, 40% SIMPC); FEIN: 16-1104293

CNY North Urgent Care, PLLC; FEIN: 35-2605215 (100% Controlled by St. Joseph's Medical, P.C.)

Concordia Healthcare Network, LLC; FEIN: (50% Controlled by Immediate Parent)

Trinity Health Of New England Corporation, Inc. (formerly Trinity Health - New England, Inc.) (Connecticut); FEIN: 06-1491191 (100% Controlled by Trinity Health)

Trinity Health of New England Urgent Care, LLC; FEIN: 84-2665996 (51% Controlled by Trinity Health of New England Corporation, Inc.; 49% Controlled by Premier Health Consultants, LLC)

Saint Francis Hospital and Medical Center; FEIN: 06-0646813 (100% Controlled by Immediate Parent)

Woodland Partners Real Estate LLC; FEIN: 83-3371094; (5% Controlled by Saint Francis Hospital and Medical Center)

Lighthouse Surgery Center, LLC; FEIN: 83-2096116 (26% Controlled by Saint Francis Hospital and Medical Center/74% by Physicians)

Saint Francis Hospital and Medical Center Foundation, Inc.; FEIN: 06-1008255 (100% Controlled by Immediate Parent) Collaborative Laboratory Services, LLC; FEIN: 06-1520109 (100% Controlled by Immediate Parent)

Mount Sinai Hospital Foundation, Inc.; FEIN: 22-2584082 (100% Controlled by Immediate Parent)

Women's Auxiliary of Saint Francis Hospital and Medical Center, Inc.; FEIN: 06-0660403 (100% Controlled by Immediate Parent)

Saint Francis GI Endoscopy, LLC (49% SFHMC); FEIN: 20-5540278

Medworks, LLC (51% SFHMC); FEIN: 06-1490483

Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health); FEIN: 06-1384686 (100% Controlled by Immediate Parent)

Saint Francis Care Medical Group, P.C. (Nominee Shareholder, SVP Medical Affairs); FEIN: 06-1432373 (100% Controlled by Immediate Parent)

Mount Sinai Rehabilitation Hospital, Inc.; FEIN: 06-1422973 (100% Controlled by Immediate Parent)

SFH/FF, LLC; FEIN: 06-1489749; (100% Controlled by MSRH)

Trinity Health Of New England Provider Network Organization, Inc. (formerly Trinity Health-New England Physician Network Organization; FEIN: 06-1450168 (100% Controlled by Immediate Parent)

Saint Francis Emergency Medical Group, Inc.; FEIN: 45-1994612 (100% Controlled by Immediate Parent)

Total Health Connecticut, LLC; FEIN: 47-4070024 (40% Controlled by THONE)

Asylum Hill Family Medicine Center, Inc.; FEIN: 06-1450170 (100% Controlled by Immediate Parent)

Southern New England Healthcare Organization, Inc. (50% Interest held by Trinity Health of New England Corporation, Inc.); FEIN: 06-1391257

Saint Francis HealthCare Partners Foundation, Inc.; FEIN: 20-8176133 (100% Controlled by Saint Francis HealthCarePartners, Inc.)

Saint Francis Healthcare Partners ACO, Inc.; FEIN: 46-1315402 (100% Controlled by Immediate Parent)

The Connecticut Care Alliance, LLC; FEIN: 81-3460138 (100% Controlled by Immediate Parent)

Southern New England Affiliated Physicians Purchasing Group; FEIN: 81-4362177 (100% Controlled by Immediate Parent)

Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33.3% Controlling Interest held by each of Mercy Care Alliance, LLC, Saint Mary's Physician Partners, LLC/d/b/a Valley Health Alliance; and Southern New England Healthcare Organization, Inc.)

Connecticut Occupational Medicine Partners, LLC; FEIN: 06-1586674 (50% Controlled by THONE; 20% Controlled by JMMC)

Johnson Memorial Hospital, Inc.; FEIN: 47-5676956 (100% Controlled by Immediate Parent)

NRRON, LLC; FEIN: 81-2004513; (25% Controlled by Johnson Memorial Hospital, Inc.)

TIC, LLC; FEIN: 81-2022269 (15% Controlled by Johnson Memorial Hospital, Inc.)

Trinity Health Of New England Emergency Medical Services, Inc.; FEIN: 83-3546613 (100% Controlled by Immediate Parent)

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.  
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

Assets Transferred to THHS, but entirely remains: Providence HomeCare, Inc. dba Mercy Home Care, Inc.; FEIN: 04-3317426 (100% Controlled by Immediate Parent)

Mercury Inpatient Medical Associates, Inc. dba Breast Care Center; dba MercyCare - Forest Park; dba Providence Prenatal Center of Holyoke; dba Trinity Health of New England Medical Group; FEIN: 04-3029929 (100% Controlled by Immediate Parent)

System Coordinated Services, Inc. dba Life Laboratories; FEIN: 04-2938161 (100% Controlled by Immediate Parent)

Catherine Horan Building Corporation; FEIN: 04-2938160 (100% Controlled by Immediate Parent)

Catherine Horan Building Associates Limited Partnership; FEIN: 04-2723429 (100% Controlled by Immediate Parent)

The Lifepath Partners, LLC (JV with NEPA; 50% Controlled by Immediate Parent); FEIN: 26-0021080

Greater Springfield MRI Limited Partnership; FEIN: 04-3178855 (50% Controlled by System Coordinated Services)

Mercury Health Accountable Care Organization, LLC; FEIN: 82-1007572 (100% Controlled by Immediate Parent)

Mercury Physicians, P.C. dba Trinity Health of New England Medical Group; FEIN: 000857412 (100% Controlled by Immediate Parent)

Brightside, Inc.; FEIN: 04-2182395 (100% Controlled by Immediate Parent)

Mercury Care Alliance, LLC; FEIN: 47-1561725 (100% Controlled by Immediate Parent)

Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33.3% Controlling Interest held by each of Mercury Care Alliance, LLC; Saint Mary's Physician Partners, LLC d/b/a Valley Health Alliance; and Southern New England Healthcare Organization, Inc.)

Pioneer Valley Cardiology Associates, Inc.; FEIN: 45-4208896 (100% Controlled by Immediate Parent)

Mercury Specialist Physicians, Inc. dba Trinity Health of New England Medical Group; FEIN: 26-4033168 (100% Controlled by Immediate Parent)

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
2838	Mount Carmel Health System	13123	25-1912781				Mount Carmel Health Insurance Company	OH	IA	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	N	
2838	Mount Carmel Health System	95655	31-1471229				Mount Carmel Health Plan, Inc.	OH	RE	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	N	
2838	Mount Carmel Health System	16456	83-1422704				Mount Carmel Health Plan of Idaho, Inc.	ID	DS	Mount Carmel Health Plan, Inc.	Ownership	100.000	Trinity Health Corporation	N	
2838	Mount Carmel Health System	16723	83-3278543				Mount Carmel Health Plan of New York, Inc.	NY	DS	Mount Carmel Health Plan, Inc.	Ownership	100.000	Trinity Health Corporation	N	

Asterisk	Explanation
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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. CMS Premium Part C .....	(1,800,168)		(1,800,168)	487,822
2305. Other liabilities .....	40,159		40,159	46,685
2306. CVS liability .....	3,680,387		3,680,387	0
2307. 2019 FYRA Risk Revenue .....	(9,956,178)		(9,956,178)	(9,537,476)
2397. Summary of remaining write-ins for Line 23 from overflow page	(8,035,800)	0	(8,035,800)	(9,002,969)

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

**NONE**

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

**NONE**

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

**NONE**

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	205,129,921	179,212,782
2. Cost of bonds and stocks acquired	53,479,148	93,421,797
3. Accrual of discount	62,317	135,229
4. Unrealized valuation increase (decrease)	(2,896,863)	6,908,047
5. Total gain (loss) on disposals	(1,005,496)	7,339,869
6. Deduct consideration for bonds and stocks disposed of	36,537,959	80,723,389
7. Deduct amortization of premium	146,692	333,599
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized	252,135	894,928
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	48,429	64,113
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	217,880,670	205,129,921
12. Deduct total nonadmitted amounts		0
13. Statement value at end of current period (Line 11 minus Line 12)	217,880,670	205,129,921

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	246,961,084	207,982,008	169,805,504	207,463	246,961,084	285,345,051	0	267,498,653
2. NAIC 2 (a) .....	23,856,417	831,008	3,221,241	(195,419)	23,856,417	21,270,765	0	20,424,126
3. NAIC 3 (a) .....	0	0	195,419	195,419	0	0	0	
4. NAIC 4 (a) .....	0				0	0		
5. NAIC 5 (a) .....	0				0	0		
6. NAIC 6 (a) .....	0				0	0		
7. Total Bonds	270,817,501	208,813,016	173,222,164	207,463	270,817,501	306,615,815	0	287,922,779
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0	0		0
9. NAIC 2 .....	0				0	0		0
10. NAIC 3 .....	0				0	0		0
11. NAIC 4 .....	0				0	0		0
12. NAIC 5 .....	0				0	0		0
13. NAIC 6 .....	0				0	0		0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	270,817,501	208,813,016	173,222,164	207,463	270,817,501	306,615,815	0	287,922,779

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ 114,327,067 ; NAIC 2 \$ 0 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

S102

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	58,380,172	xxx	58,227,223	8,125	1,831

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	33,400,245	43,012,856
2. Cost of short-term investments acquired .....	73,728,904	100,744,610
3. Accrual of discount .....	304,078	869,879
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....	0	5,575
6. Deduct consideration received on disposals .....	49,053,034	111,232,675
7. Deduct amortization of premium .....	20	0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	58,380,172	33,400,245
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	58,380,172	33,400,245

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	118,154,828	102,115,660
2. Cost of cash equivalents acquired .....	384,995,563	949,287,173
3. Accrual of discount .....	147,141	597,361
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals .....	0	(54)
6. Deduct consideration received on disposals .....	387,763,046	933,845,311
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	115,534,487	118,154,828
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	115,534,487	118,154,828

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
912828-H8-6	UNITED STATES TREASURY		.06/18/2020	Merrill Lynch		510,508	500,000	2,885	1
912828-S2-7	UNITED STATES TREASURY		.06/18/2020	HSBC (GLBL MKRT)		1,009,648	1,000,000	5,285	1
912828-T2-6	UNITED STATES TREASURY		.06/18/2020	JP MORGAN		1,037,422	1,000,000	3,005	1
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						<b>2,557,578</b>	<b>2,500,000</b>	<b>11,175</b>	<b>XXX</b>
009158-BC-9	AIR PRODUCTS AND CHEMICALS INC		.04/27/2020	MERRILL LYNCH PIERCE		624,150	625,000	.0	1FE
038222-AN-5	APPLIED MATERIALS INC		.05/26/2020	MERRILL LYNCH PIERCE		647,511	650,000	.0	1FE
166764-BY-5	CHEVRON CORP		.05/07/2020	J P MORGAN SECURITIES		840,000	840,000	.0	1FE
438516-CB-0	HONEYWELL INTERNATIONAL INC		.06/18/2020	JANE STREET		513,200	500,000	.638	1FE
58769V-AA-8	MBART 2020-1 A1 - ABS		.06/16/2020	CHASE SECURITIES INC		900,000	900,000	.0	1FE
872590-11-2	T MOBILE US SUBSRTS		.06/26/2020	Unknown		.0	485	.0	
87612E-BL-9	TARGET CORP		.06/18/2020	U.S. Bank		1,387,893	1,300,000	6,663	1FE
89239R-AA-4	TAOT 20B A1 - ABS		.04/20/2020	Montgomery		400,000	400,000	.0	1FE
92343V-CR-3	VERIZON COMMUNICATIONS INC		.06/18/2020	JANE STREET EXECUTION SERVICES LLC		831,008	750,000	3,719	2FE
931142-EL-3	WALMART INC		.06/18/2020	JP MORGAN SECURITIES INC.		542,500	500,000	6,492	1FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>6,686,261</b>	<b>6,465,485</b>	<b>17,510</b>	<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>9,243,839</b>	<b>8,965,485</b>	<b>28,686</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>9,243,839</b>	<b>8,965,485</b>	<b>28,686</b>	<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
001055-10-2	AFLAC ORD		.04/15/2020	MERRILL LYNCH & CO	26.000	.941	.0	.0	
001230-10-4	AGNC INVESTMENT REIT ORD		.04/03/2020	Dain Rauscher	3,000.000	27,773	.0	.0	
002824-10-0	ABBOTT LABORATORIES ORD		.06/23/2020	GOLDMAN SACHS	30.000	2,741	.0	.0	
00287Y-10-9	ABBVIE ORD		.05/08/2020	MERGER	366.320	30,756	.0	.0	
00773U-10-8	ADVERUM BIOTECHNOLOGIES ORD		.05/29/2020	Various	894.000	17,406	.0	.0	
007800-10-5	AEROJET ROCKETDYNE HOLDINGS ORD		.06/12/2020	Various	437.000	19,128	.0	.0	
00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		.05/20/2020	Various	986.000	33,823	.0	.0	
00973J-10-1	AKOUGS ORD		.06/26/2020	Merrill Lynch	400.000	6,800	.0	.0	
02079K-10-7	ALPHABET CL C ORD		.06/10/2020	Various	2.000	2,798	.0	.0	
02079K-30-5	ALPHABET CL A ORD		.06/19/2020	Various	4.000	5,603	.0	.0	
02156B-10-3	ALTERIX CL A ORD		.06/02/2020	BERNSTEIN (SANFORD C) & CO.	24.000	3,515	.0	.0	
02209S-10-3	ALTRIA GROUP ORD		.06/10/2020	CABRERA CAPITAL MARKETS	23.000	.974	.0	.0	
023135-10-6	AMAZON COM ORD		.06/19/2020	Various	8.000	21,063	.0	.0	
023436-10-8	AMEDISYS ORD		.04/01/2020	INSTINET	322.000	57,071	.0	.0	
03027X-10-0	AMERICAN TOWER REIT		.06/23/2020	GOLDMAN SACHS	9.000	2,402	.0	.0	
03753U-10-6	APELLIS PHARMACEUTICALS ORD		.06/30/2020	COWEN AND COMPANY, LLC	197.000	6,418	.0	.0	
037833-10-0	APPLE ORD		.06/23/2020	Various	25.000	7,799	.0	.0	
03966V-10-7	ARCONIC ORD		.04/01/2020	Various	123.000	2,552	.0	.0	
04010E-10-9	ARGAN ORD		.05/08/2020	Various	579.000	20,770	.0	.0	
04316A-10-8	ARTISAN PARTNERS ASSET MGMT CL A ORD		.06/19/2020	JEFFERIES & COMPANY, INC.	859.000	27,750	.0	.0	
045327-10-3	ASPEN TECHNOLOGY ORD		.06/23/2020	WALL STREET ACCESS NY	85.000	8,845	.0	.0	
053332-10-2	AUTOZONE ORD		.06/10/2020	CABRERA CAPITAL MARKETS	1.000	1,152	.0	.0	
05338G-10-6	AVALARA ORD		.06/23/2020	WALL STREET ACCESS NY	52.000	6,763	.0	.0	
05370A-10-8	AVIDITY BIOSCIENCES ORD		.06/12/2020	COWEN AND COMPANY, LLC	1,200.000	21,600	.0	.0	
05478C-10-5	AZEK COMPANY CL A ORD		.06/12/2020	Merrill Lynch	700.000	16,100	.0	.0	
05988J-10-3	BANDWIDTH CL A ORD		.05/01/2020	KCG AMERICAS LLC	122.000	10,639	.0	.0	
060505-10-4	BANK OF AMERICA ORD		.04/15/2020	MERRILL LYNCH & CO	42.000	925	.0	.0	
075887-10-9	BECTON DICKINSON ORD		.06/10/2020	Various	35.000	8,368	.0	.0	
090572-20-7	BIO RAD LABORATORIES CL A ORD		.06/19/2020	MORGAN STANLEY	28.000	12,655	.0	.0	
09073M-10-4	BIO TECHNE ORD		.04/03/2020	JEFFERIES & COMPANY, INC.	46.000	8,505	.0	.0	
09203E-10-5	BLACK DIAMOND THERAPEUTICS ORD		.04/21/2020	COWEN AND COMPANY, LLC	32.000	1,079	.0	.0	
09247X-10-1	BLACKROCK ORD		.06/19/2020	Various	49.000	24,567	.0	.0	
09609G-10-0	BLUBIRD BIO ORD		.05/19/2020	GOLDMAN	200.000	11,000	.0	.0	
100557-10-7	BOSTON BEER CL A ORD		.05/20/2020	Various	38.000	16,330	.0	.0	
101137-10-7	BOSTON SCIENTIFIC ORD		.06/19/2020	MORGAN STANLEY	52.000	1,903	.0	.0	
11120U-10-5	BRIXMOR PROPERTY GROUP REIT ORD		.06/04/2020	Various	7,211.000	83,958	.0	.0	
11135F-10-1	BROADCOM ORD		.06/19/2020	MORGAN STANLEY	7.000	2,119	.0	.0	
125720-10-5	CME GROUP CL A ORD		.04/01/2020	MORGAN STANLEY	5.000	822	.0	.0	

E04

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
126650-10-0	CVS HEALTH ORD		.04/01/2020	MORGAN STANLEY	16.000	924		0	
127387-10-8	CADENCE DESIGN SYSTEMS ORD		.04/01/2020	MORGAN STANLEY	14.000	888		0	
133131-10-2	CAMDEN PROPERTY REIT ORD		.04/15/2020	Barclays Bank - CP	146.000	12,440		0	
141619-10-6	CARDIOVASCULAR SYSTEMS ORD		.05/08/2020	JEFFERIES & COMPANY, INC.	201.000	7,668		0	
141788-10-9	CARGURUS CL A ORD		.04/17/2020	Various	850.000	16,563		0	
143658-30-0	CARNIVAL ORD		.04/15/2020	MERRILL LYNCH & CO	117.000	1,372		0	
14448C-10-4	CARRIER GLOBAL ORD		.04/03/2020	Various	1,038.000	16,729		0	
146229-10-9	CARTERS ORD		.05/05/2020	COWEN AND COMPANY, LLC	70.000	5,307		0	
149568-10-7	CAVCO INDUSTRIES ORD		.04/16/2020	Various	114.000	14,853		0	
15135B-10-1	CENTENE ORD		.04/01/2020	MORGAN STANLEY	15.000	846		0	
15189T-10-7	CENTERPOINT ENERGY ORD		.06/23/2020	Various	6,314.000	114,045		0	
15201P-10-9	CENTERSTATE BANKS ORD		.06/05/2020	KEEFE BRUYETTE + WOODS INC	694.000	13,727		0	
156504-30-0	CENTURY COMMUNITIES ORD		.06/26/2020	Various	1,691.000	38,799		0	
15872M-10-4	CHAMPIONX ORD WJ		.06/29/2020	Various	1,198.245	9,848		0	
171798-10-1	CIMAREX ENERGY ORD		.05/26/2020	Various	393.000	8,953		0	
17243V-10-2	CINEMARK HOLDINGS ORD		.06/10/2020	BURNS FRY HOARE GOVETT INC	308.000	5,431		0	
17275R-10-2	CISCO SYSTEMS ORD		.06/19/2020	MORGAN STANLEY	41.000	1,858		0	
174610-10-5	CITIZENS FINANCIAL GROUP ORD		.04/17/2020	Various	955.000	19,037		0	
184496-10-7	CLEAN HARBORS ORD		.06/15/2020	Various	1,146.000	63,308		0	
191216-10-0	COCA-COLA ORD		.06/19/2020	MORGAN STANLEY	40.000	1,841		0	
198516-10-6	COLUMBIA SPORTSWEAR ORD		.05/06/2020	JEFFERIES & COMPANY, INC.	108.000	6,940		0	
20030N-10-1	COMCAST CL A ORD		.06/19/2020	Various	76.000	2,810		0	
201723-10-3	COMMERCIAL METALS ORD		.06/02/2020	Keybank	514.000	9,200		0	
20605P-10-1	CONCHO RESOURCES ORD		.05/18/2020	Various	282.000	15,491		0	
21036P-10-8	CONSTELLATION BRANDS CL A ORD		.04/15/2020	UBS AG	6.000	983		0	
210373-10-6	CONSTELLATION PHARMACEUTICALS ORD		.06/17/2020	Various	146.000	5,111		0	
217204-10-6	COPART ORD		.06/10/2020	CABRERA CAPITAL MARKETS	11.000	986		0	
22002T-10-8	CORPORATE OFFICE PROP REIT ORD		.05/01/2020	Various	483.000	12,372		0	
22160K-10-5	COSTCO WHOLESALE ORD		.05/01/2020	PIPER JAFFRAY	3.000	901		0	
22304C-10-0	COVETRUS ORD		.06/04/2020	Various	3,126.000	56,164		0	
22663K-10-7	CRINETICS PHARMACEUTICALS ORD		.04/15/2020	LEERINK SWANN & CO INC.	800.000	11,200		0	
231561-10-1	CURTISS WRIGHT ORD		.06/26/2020	WALL STREET ACCESS NY	229.000	20,390		0	
23282W-60-5	CYTOKINETICS ORD		.04/28/2020	JEFFERIES & COMPANY, INC.	1,936.000	31,058		0	
235851-10-2	DANAHER ORD		.06/23/2020	GOLDMAN SACHS	14.000	2,463		0	
237194-10-5	DARDEN RESTAURANTS ORD		.05/01/2020	PIPER JAFFRAY	19.000	1,350		0	
243537-10-7	DECKERS OUTDOOR ORD		.05/22/2020	First Boston Corp	22.000	3,963		0	
252131-10-7	DEXCOM ORD		.06/10/2020	Various	118.000	49,615		0	
25278X-10-9	DIAMONDBACK ENERGY ORD		.06/25/2020	Warburg Dillon Reed	59.000	2,483		0	
254687-10-6	WALT DISNEY ORD		.06/19/2020	MORGAN STANLEY	17.000	1,944		0	
256746-10-8	DOLLAR TREE ORD		.06/10/2020	CABRERA CAPITAL MARKETS	10.000	928		0	
25746U-10-9	DOMINION ENERGY ORD		.06/19/2020	MORGAN STANLEY	23.000	1,880		0	
25754A-20-1	DOMINOS PIZZA ORD		.05/11/2020	Northern Trust	50.000	18,875		0	
265504-10-0	DUNKIN BRANDS GROUP ORD		.06/29/2020	Various	328.000	21,640		0	
26614N-10-2	DUPONT DE NEMOURS ORD		.05/01/2020	PIPER JAFFRAY	23.000	1,066		0	
267475-10-1	DYCOM INDUSTRIES ORD		.05/20/2020	Various	834.000	26,920		0	
281020-10-7	EDISON INTERNATIONAL ORD		.06/19/2020	MORGAN STANLEY	31.000	1,726		0	
282914-10-0	8XB ORD		.04/21/2020	Bear Stearns	135.000	2,294		0	
285512-10-9	ELECTRONIC ARTS ORD		.04/01/2020	JP MORGAN	9.000	885		0	
29415F-10-4	ENVISTA HOLDINGS ORD		.04/24/2020	LIQUIDNET, INC.	1,095.000	18,990		0	
29444U-70-0	EQUINIX REIT ORD		.06/10/2020	CABRERA CAPITAL MARKETS	4.000	2,788		0	
294600-10-1	EQUITRANS MIDSTREAM ORD		.04/20/2020	Various	865.000	6,450		0	
30040W-10-8	EVERSOURCE ENERGY ORD		.06/19/2020	MORGAN STANLEY	25.000	2,072		0	
30231G-10-2	EXXON MOBIL ORD		.04/15/2020	MERRILL LYNCH & CO	22.000	895		0	
30303M-10-2	FACEBOOK CL A ORD		.06/19/2020	Various	26.000	5,984		0	
311900-10-4	FASTENAL ORD		.05/01/2020	PIPER JAFFRAY	27.000	962		0	
31620M-10-6	FIDELITY NATIONAL INFORMATN SVCS ORD		.04/01/2020	Northern Trust	7.000	814		0	
33829M-10-1	FIVE BELOW ORD		.06/22/2020	Various	1,062.000	115,374		0	
33833Q-10-6	FIVE POINT HOLDINGS CL A ORD		.05/08/2020	Various	1,123.000	6,084		0	
34633R-10-4	FORMIA THERAPEUTICS HLDG ORD		.06/19/2020	JEFFERIES & COMPANY, INC.	800.000	16,000		0	
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		.06/16/2020	Various	1,046.000	59,272		0	
364760-10-8	GAP ORD		.06/26/2020	FIRST UNION CAPITAL	1,528.000	19,295		0	

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
37148K-10-0	GENERATION BIO ORD		06/12/2020	Bear Stearns	800.000	15.200		0	
375558-10-3	GILEAD SCIENCES ORD		05/01/2020	PIPER JAFFRAY	12.000	955		0	
379577-20-8	GLOBUS MEDICAL CL A ORD		04/24/2020	JEFFERIES & COMPANY, INC.	241.000	11,078		0	
40171V-10-0	GUIDEWIRE SOFTWARE ORD		04/03/2020	WALL STREET ACCESS NY	79.000	5,766		0	
407497-10-6	HAMILTON LANE CL A ORD		06/05/2020	Bear Stearns	226.000	15,561		0	
41068X-10-0	HANNON ARMSTRONG SUST INFR CAP ORD		05/05/2020	Various	2,399.000	51,897		0	
42225T-10-7	HEALTH CATALYST ORD		05/13/2020	Various	1,357.000	34,006		0	
42250P-10-3	HEALTHPEAK PROPERTIES ORD		06/19/2020	MORGAN STANLEY	74.000	1,948		0	
427866-10-8	HERSHEY FOODS ORD		04/15/2020	MERRILL LYNCH & CO	6.000	877		0	
431475-10-2	HILL ROM HOLDINGS ORD		05/01/2020	JEFFERIES & COMPANY, INC.	125.000	12,787		0	
438083-10-7	HOMOLOGY MEDICINES ORD		05/20/2020	Various	972.000	13,736		0	
443201-10-8	HOWMET AEROSPACE ORD W1		04/01/2020	Various	492.000	8,378		0	
443320-10-6	HUB GROUP CL A ORD		06/26/2020	WALL STREET ACCESS NY	918.000	41,955		0	
445658-10-7	JIB HUNT TRANSPORT SERVICES ORD		05/28/2020	Various	1,107.000	118,291		0	
446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		05/22/2020	WALL STREET ACCESS NY	267.000	48,607		0	
45253H-10-1	IMMUNOGEN ORD		04/28/2020	JEFFERIES & COMPANY, INC.	5,564.000	21,805		0	
45332Y-10-9	INARI MEDICAL ORD		05/22/2020	Morgan Stanley	100.000	1,900		0	
45337C-10-2	INCYTE ORD		05/01/2020	PIPER JAFFRAY	10.000	969		0	
457985-20-8	INTEGRA LIFESCIENCES HOLDINGS ORD		04/03/2020	JEFFERIES & COMPANY, INC.	210.000	8,819		0	
458140-10-0	INTEL ORD		04/01/2020	UBS AG	18.000	945		0	
460690-10-0	INTERPUBLIC GROUP OF COMPANIES ORD		06/15/2020	Various	2,508.000	42,515		0	
46120E-60-2	INTUITIVE SURGICAL ORD		04/15/2020	MERRILL LYNCH & CO	2.000	991		0	
46185L-10-3	INVITAE ORD		04/02/2020	Bear Stearns	1,427.000	14,232		0	
462260-10-0	IOVANCE BIOTHERAPEUTICS ORD		05/29/2020	JEFFERIES & COMPANY, INC.	178.000	5,518		0	
465741-10-6	ITRON ORD		05/05/2020	RAYMOND JAMES & ASSOCIATES	152.000	8,784		0	
47580P-10-3	JELD WEN HOLDING ORD		06/29/2020	Various	2,293.000	26,456		0	
477143-10-1	JETBLUE AIRWAYS ORD		05/20/2020	Morgan Stanley	1,171.000	10,926		0	
478160-10-4	JOHNSON & JOHNSON ORD		06/19/2020	MORGAN STANLEY	25.000	3,596		0	
48666K-10-9	KB HOME ORD		04/02/2020	BERNSTEIN (SANFORD C) & CO.	227.000	3,599		0	
489170-10-0	KENAMETAL ORD		06/04/2020	Various	1,414.000	37,123		0	
50015M-10-9	KODIAK SCIENCES ORD		04/30/2020	Various	151.000	7,965		0	
50050N-10-3	KONTOOR BRANDS ORD		06/26/2020	Various	613.000	11,686		0	
50212V-10-0	LPL FINANCIAL HOLDINGS ORD		06/03/2020	Various	1,174.000	83,201		0	
52490G-10-2	LEGEND BIOTECH 2 ADR REP ORD		06/05/2020	Morgan Stanley	674.000	17,595		0	
528057-10-4	LENNAR CL A ORD		06/19/2020	Various	701.000	35,806		0	
538930-10-9	LOCKHEED MARTIN ORD		05/01/2020	PIPER JAFFRAY	2.000	769		0	
548661-10-7	LOWE'S COMPANIES ORD		04/01/2020	MORGAN STANLEY	11.000	888		0	
552848-10-3	MGIC INVESTMENT ORD		05/27/2020	ISI GROUP INC.	1,041.000	9,667		0	
556269-10-8	STEVEN MADDEN ORD		06/19/2020	Various	677.000	14,210		0	
57060D-10-8	MARKETAXESS HOLDINGS ORD		04/15/2020	MERRILL LYNCH & CO	2.000	793		0	
571748-10-2	MARSH & MCLENNAN ORD		04/15/2020	MERRILL LYNCH & CO	9.000	873		0	
578605-10-7	MAYVILLE ENGINEERING CO ORD		06/09/2020	JEFFERIES & COMPANY, INC.	1,895.000	13,278		0	
584021-10-9	MEDALLIA ORD		06/23/2020	Various	1,181.000	32,932		0	
58933Y-10-5	MERCK & CO ORD		04/01/2020	UBS AG	14.000	1,053		0	
59045L-10-6	MERSANA THERAPEUTICS ORD		06/09/2020	Various	1,163.000	22,922		0	
594918-10-4	MICROSOFT ORD		06/23/2020	Various	42.000	7,492		0	
595112-10-3	MICRON TECHNOLOGY ORD		04/15/2020	MERRILL LYNCH & CO	20.000	928		0	
596278-10-1	MIDDLEBY ORD		06/26/2020	Various	1,607.000	117,042		0	
615369-10-5	MOODYS ORD		04/01/2020	MORGAN STANLEY	4.000	808		0	
617446-44-8	MORGAN STANLEY ORD		06/19/2020	MORGAN STANLEY	59.000	2,769		0	
620806-10-1	MOUNT CARMEL HEALTH PLAN OF NEW YORK, IN		04/01/2020	Unknown	(1.000)	(21,790,583)		0	
629377-50-8	NRG ENERGY ORD		04/03/2020	Dain Rauscher	261.000	6,872		0	
62944T-10-5	NVR ORD		06/10/2020	CABRERA CAPITAL MARKETS	1.000	3,448		0	
63009R-10-9	NANOSTRING TECHNOLOGIES ORD		04/09/2020	JEFFERIES & COMPANY, INC.	749.000	19,377		0	
64110L-10-6	NETFLIX ORD		06/19/2020	Various	7.000	3,107		0	
650111-10-7	NEW YORK TIMES CL A ORD		05/12/2020	JEFFERIES & COMPANY, INC.	118.000	4,412		0	
67066G-10-4	NVIDIA ORD		06/19/2020	Various	11.000	3,746		0	
670704-10-5	NUVASIVE ORD		05/13/2020	Morgan Stanley	200.000	11,049		0	
676079-10-6	ODONATE THERAPEUTICS ORD		05/13/2020	LIQUIDNET, INC.	449.000	12,461		0	
68902V-10-7	OTIS WORLDWIDE ORD		04/03/2020	Various	519.000	25,517		0	
69242L-10-6	OYSTER POINT PHARMA ORD		06/10/2020	COHEN AND COMPANY, LLC	683.000	19,120		0	

E04.2

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
69327R-10-1	PDC ENERGY ORD		.06/29/2020	Various	603.000	7.507		0	
69336V-10-1	PGT INNOVATIONS ORD		.06/12/2020	Various	2.359.000	25.843		0	
693506-10-7	PPG INDUSTRIES ORD		.06/10/2020	CABRERA CAPITAL MARKETS	8.000	894		0	
693656-10-0	PVH ORD		.06/24/2020	Various	834.000	40.285		0	
693718-10-8	PACCAR ORD		.04/15/2020	MERRILL LYNCH & CO	14.000	920		0	
701877-10-2	PARSLEY ENERGY CL A ORD		.06/29/2020	Various	1.192.000	10.272		0	
704326-10-7	PAYCHEX ORD		.04/01/2020	MORGAN STANLEY	14.000	832		0	
70450Y-10-3	PAYPAL HOLDINGS ORD		.06/23/2020	Various	25.000	3.505		0	
705573-10-3	PEGASYSYSTEMS ORD		.06/23/2020	WALL STREET ACCESS NY	46.000	4.374		0	
70975L-10-7	PENUMBRA ORD		.05/28/2020	Bear Stearns	61.000	10.126		0	
71377A-10-3	PERFORMANCE FOOD GROUP ORD		.06/02/2020	WALL STREET ACCESS NY	564.000	15.330		0	
717081-10-3	PFIZER ORD		.06/19/2020	MORGAN STANLEY	66.000	2.206		0	
736508-84-7	PORTLAND GENERAL ELECTRIC ORD		.04/28/2020	Dain Rauscher	238.000	11.313		0	
74144T-10-8	T ROWE PRICE GROUP ORD		.06/10/2020	CABRERA CAPITAL MARKETS	7.000	900		0	
74267C-10-6	PROASSURANCE ORD		.05/08/2020	Warburg Dillon Reed	1.158.000	18.479		0	
742718-10-9	PROCTER & GAMBLE ORD		.04/15/2020	MERRILL LYNCH & CO	10.000	1.209		0	
743315-10-3	PROGRESSIVE ORD		.04/01/2020	Northern Trust	12.000	856		0	
743606-10-5	PROSPERITY BANCSHARES ORD		.06/30/2020	Various	1.700.000	97.178		0	
74736L-10-9	Q2 HOLDINGS ORD		.04/29/2020	Bear Stearns	139.000	11.408		0	
747525-10-3	QUALCOMM ORD		.04/01/2020	JP MORGAN	13.000	847		0	
753422-10-4	RAPID7 ORD		.06/23/2020	Various	183.000	9.082		0	
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		.04/15/2020	Various	1.888.858	96.563		0	
756109-10-4	REALTY INCOME REIT ORD		.04/01/2020	UBS AG	20.000	943		0	
75737F-10-8	REDFIN ORD		.04/17/2020	Various	1.187.000	21.736		0	
75886F-10-7	REGENERON PHARMACEUTICALS ORD		.05/28/2020	JP MORGAN	25.000	14.455		0	
7591EP-10-0	REGIONS FINANCIAL ORD		.04/07/2020	Warburg Dillon Reed	1.480.000	14.176		0	
759351-60-4	REINSURANCE GROUP OF AMER ORD		.06/03/2020	Bear Stearns	250.000	20.250		0	
760273-10-2	REPARRE THERAPEUTICS ORD	C	.06/19/2020	Morgan Stanley	1.300.000	26.000		0	
776696-10-6	ROPER TECHNOLOGIES ORD		.06/10/2020	CABRERA CAPITAL MARKETS	2.000	810		0	
781846-20-9	RUSH ENTERPRISES CL A ORD		.05/29/2020	Various	2.164.000	87.875		0	
78409V-10-4	S&P GLOBAL ORD		.04/15/2020	MERRILL LYNCH & CO	4.000	1.081		0	
78667J-10-8	SAGE THERAPEUTICS ORD		.04/30/2020	WALL STREET ACCESS NY	488.000	19.080		0	
79466L-30-2	SALESFORCE.COM ORD		.06/19/2020	MORGAN STANLEY	26.000	4.861		0	
808625-10-7	SCIENCE APPLICATIONS INTERNATIONAL ORD		.06/24/2020	COWEN AND COMPANY, LLC	112.000	8.464		0	
816307-30-0	SELECTQUOTE ORD		.05/21/2020	First Boston Corp	100.000	2.000		0	
816851-10-9	SEMPRA ENERGY ORD		.06/19/2020	MORGAN STANLEY	13.000	1.524		0	
81762P-10-2	SERVICENOW ORD		.06/23/2020	Various	9.000	3.485		0	
82452J-10-9	SHIFT4 PAYMENTS CL A ORD		.06/05/2020	First Boston Corp	100.000	2.300		0	
82900L-10-2	SIMPLY GOOD FOODS ORD		.06/03/2020	Various	3.667.000	65.141		0	
830830-10-5	SKYLINE CHAMPION ORD		.06/30/2020	Various	923.000	18.190		0	
83304A-10-6	SNAP CL A ORD		.04/22/2020	BERNSTEIN (SANFORD C) & CO.	978.000	15.383		0	
840441-10-9	SOUTH STATE ORD		.06/08/2020	Various	1.313.538	106.887		0	
844741-10-8	SOUTHWEST AIRLINES ORD		.05/01/2020	PIPER JAFFRAY	72.000	2.200		0	
863667-10-1	STRYKER ORD		.06/10/2020	CABRERA CAPITAL MARKETS	5.000	1.007		0	
866674-10-4	SUN COMMUNITIES REIT ORD		.04/30/2020	Various	794.000	104.677		0	
871607-10-7	SYNOPSIS ORD		.04/01/2020	Northern Trust	7.000	860		0	
87164F-10-5	SYNDAX PHARMACEUTICALS ORD		.04/30/2020	CITIGROUP GLOBAL MARKETS INC.	144.000	2.594		0	
872540-10-9	TJX ORD		.06/10/2020	CABRERA CAPITAL MARKETS	18.000	1.012		0	
872590-10-4	T MOBILE US ORD		.06/25/2020	JP MORGAN	325.000	33.235		0	
879360-10-5	TELEDYNE TECH ORD		.06/19/2020	MORGAN STANLEY	47.000	15.086		0	
883203-10-1	TEXTRON ORD		.06/26/2020	GOLDMAN	1.263.000	40.277		0	
89610F-10-1	TRICIDA ORD		.04/28/2020	JEFFERIES & COMPANY, INC.	173.000	5.037		0	
90041T-10-8	TURNING POINT THERAPEUTICS ORD		.05/19/2020	Various	152.000	8.860		0	
902104-10-8	TVI ORD		.05/27/2020	Various	477.000	21.777		0	
90214J-10-1	2U ORD		.06/18/2020	Various	2.136.000	70.793		0	
902252-10-5	TYLER TECHNOLOGIES ORD		.06/19/2020	MORGAN STANLEY	51.000	16.994		0	
904311-10-7	UNDER ARMOUR CL A ORD		.05/11/2020	GOLDMAN	402.000	3.643		0	
904311-20-6	UNDER ARMOUR CL C ORD		.05/14/2020	Various	981.000	7.233		0	
910047-10-9	UNITED AIRLINES HOLDINGS ORD		.05/01/2020	PIPER JAFFRAY	52.000	1.517		0	
91324P-10-2	UNITEDHEALTH GRP ORD		.06/19/2020	MORGAN STANLEY	9.000	2.621		0	
91879Q-10-9	VAIL RESORTS ORD		.05/18/2020	Various	99.000	17.724		0	

E04.3

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

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CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
91913Y-10-0	VALERO ENERGY ORD		.06/10/2020	CABRERA CAPITAL MARKETS	14.000	998		0	
92220P-10-5	VARIAN MEDICAL SYSTEMS ORD		.04/22/2020	Various	337.000	36,246		0	
92337F-10-7	VERACYTE ORD		.04/03/2020	BAYPOINT TRADING LLC	290.000	5,762		0	
92343V-10-4	VERIZON COMMUNICATIONS ORD		.06/19/2020	MORGAN STANLEY	32.000	1,797		0	
92532F-10-0	VERTEX PHARMACEUTICALS ORD		.06/19/2020	MORGAN STANLEY	6.000	1,760		0	
925652-10-9	VICI PPTYS ORD		.06/17/2020	Various	1,194.000	22,075		0	
92763M-10-5	VIPER ENERGY PARTNERS UNT		.04/02/2020	Dain Rauscher	107.000	836		0	
92826C-83-9	VISA CL A ORD		.05/01/2020	PIPER JAFFRAY	6.000	1,056		0	
92840M-10-2	VISTRA ENERGY ORD		.05/05/2020	Various	2,637.000	50,817		0	
929160-10-9	VULCAN MATERIALS ORD		.04/01/2020	JP MORGAN	8.000	834		0	
92918V-10-9	VROOM ORD		.06/09/2020	GOLDMAN	300.000	6,600		0	
92939U-10-6	WEC ENERGY GROUP ORD		.04/15/2020	MERRILL LYNCH & CO	10.000	980		0	
95040C-10-4	WELLTOWER ORD		.06/23/2020	GOLDMAN SACHS	46.000	2,398		0	
955306-10-5	WEST PHARM SVC ORD		.05/21/2020	Northern Trust	100.000	21,102		0	
98980F-10-4	ZOOMINFO TECHNOLOGIES CL A ORD		.06/04/2020	Morgan Stanley	100.000	2,100		0	
98986T-10-8	ZYNGA CL A ORD		.06/16/2020	Various	4,767.000	43,753		0	
G1151C-10-1	ACCENTURE CL A ORD	C	.06/23/2020	Various	17.000	3,346		0	
G14838-10-9	MIMECAST ORD	C	.06/23/2020	BERNSTEIN (SANFORD C) & CO.	357.000	15,575		0	
G3198U-10-2	ESSENT GROUP ORD	C	.05/29/2020	Various	1,979.000	58,206		0	
G66721-10-4	NORWEGIAN CRUISE LINE HOLDINGS ORD		.06/10/2020	CABRERA CAPITAL MARKETS	65.000	1,458		0	
G7496G-10-3	RENAISSANCE ORD	C	.06/03/2020	GOLDMAN	300.000	49,800		0	
G8473T-10-0	STERIS ORD	C	.04/03/2020	Morgan Stanley	41.000	5,678		0	
H84989-10-4	TE CONNECTIVITY ORD	C	.06/10/2020	CABRERA CAPITAL MARKETS	12.000	1,048		0	
M96088-10-5	UROGEN PHARMA ORD	C	.04/27/2020	COHEN AND COMPANY, LLC	885.000	21,057		0	
Y2573F-10-2	FLEX ORD	C	.05/27/2020	CITIGROUP GLOBAL MARKETS INC.	543.000	5,512		0	
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded				0.000	(17,750,600)	XXX	0	XXX
9299999	Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates Publicly Traded					0	XXX	0	XXX
464287-65-5	ISHARES:RUSS 2000 ETF		.04/13/2020	KCG AMERICAS LLC	1,235.000	148,486		0	
9499999	Subtotal - Common Stocks - Mutual Funds					148,486	XXX	0	XXX
9799997	Total - Common Stocks - Part 3					(17,602,114)	XXX	0	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					(17,602,114)	XXX	0	XXX
9899999	Total - Preferred and Common Stocks					(17,602,114)	XXX	0	XXX
9999999	Totals					(8,358,275)	XXX	28,686	XXX

E04.4

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
3620AA-TY-4	GN 724267 - RMBS		06/01/2020	Paydown		4,589	4,589	4,772	4,756	0	(167)	0	(167)	0	4,589	0	0	0	80	09/15/2039	1
<b>0599999</b>	<b>Subtotal - Bonds - U.S. Governments</b>					<b>4,589</b>	<b>4,589</b>	<b>4,772</b>	<b>4,756</b>	<b>0</b>	<b>(167)</b>	<b>0</b>	<b>(167)</b>	<b>0</b>	<b>4,589</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>80</b>	<b>XXX</b>	<b>XXX</b>
3128PL-A2-8	FH J08125 - RMBS		06/01/2020	Paydown		1,952	1,952	1,968	1,952	0	(5)	0	(5)	0	1,952	0	0	0	29	06/01/2023	1
312935-N2-2	FH A88477 - RMBS		06/01/2020	Paydown		12,804	12,804	13,356	13,304	0	(501)	0	(501)	0	12,804	0	0	0	202	09/01/2039	1
3133EE-W5-5	FEDERAL FARM CREDIT BANKS FUNDING CORP		06/15/2020	Maturity @ 100.00		618,000	618,000	626,226	618,834	0	(834)	0	(834)	0	618,000	0	0	0	5,562	06/15/2020	1
31371L-G6-9	FN 255671 - RMBS		06/01/2020	Paydown		2,391	2,391	2,388	2,387	0	4	0	4	0	2,391	0	0	0	48	04/01/2035	1
3138AB-YR-4	FN AH9719 - RMBS		06/01/2020	Paydown		5,816	5,816	6,015	6,003	0	(188)	0	(188)	0	5,816	0	0	0	89	04/01/2041	1
3138AK-QW-2	FN A15968 - RMBS		06/01/2020	Paydown		9,721	9,721	10,113	10,085	0	(364)	0	(364)	0	9,721	0	0	0	166	07/01/2041	1
3138E2-GH-2	FN AJ9199 - RMBS		06/01/2020	Paydown		22,739	22,739	23,355	23,340	0	(601)	0	(601)	0	22,739	0	0	0	356	01/01/2042	1
3138EG-HX-5	FN AL0245 - RMBS		06/01/2020	Paydown		12,446	12,446	12,566	12,586	0	(141)	0	(141)	0	12,446	0	0	0	153	04/01/2041	1
3138M5-LN-7	FN AP2132 - RMBS		06/01/2020	Paydown		94,129	94,129	94,462	94,437	0	(308)	0	(308)	0	94,129	0	0	0	1,120	08/01/2042	1
3138WE-6X-2	FN ASS385 - RMBS		06/01/2020	Paydown		124,946	124,946	127,530	127,555	0	(2,609)	0	(2,609)	0	124,946	0	0	0	1,753	07/01/2045	1
31402Q-WA-5	FN 735141 - RMBS		06/01/2020	Paydown		3,736	3,736	3,657	3,667	0	69	0	69	0	3,736	0	0	0	68	01/01/2035	1
31403C-6L-0	FN 745275 - RMBS		06/01/2020	Paydown		3,010	3,010	3,117	3,104	0	(94)	0	(94)	0	3,010	0	0	0	51	02/01/2036	1
31403D-WU-9	FN 745959 - RMBS		06/01/2020	Paydown		3,203	3,203	3,394	3,373	0	(171)	0	(171)	0	3,203	0	0	0	59	11/01/2036	1
31408F-6B-0	FN 850566 - RMBS		06/01/2020	Paydown		776	776	736	737	0	40	0	40	0	776	0	0	0	11	01/01/2036	1
31409W-LB-5	FN 880622 - RMBS		06/01/2020	Paydown		4,669	4,669	4,523	4,540	0	130	0	130	0	4,669	0	0	0	98	04/01/2036	1
3140FO-JX-3	FN BC4777 - RMBS		06/01/2020	Paydown		64,765	64,765	65,711	65,711	0	(946)	0	(946)	0	64,765	0	0	0	550	10/01/2031	1
31411E-2C-0	FN 906271 - RMBS		06/01/2020	Paydown		6,615	6,615	6,532	6,533	0	82	0	82	0	6,615	0	0	0	111	01/01/2037	1
31411E-YD-3	FN 906208 - RMBS		06/01/2020	Paydown		1,565	1,565	1,545	1,545	0	20	0	20	0	1,565	0	0	0	29	01/01/2037	1
31412P-6K-2	FN 931574 - RMBS		06/01/2020	Paydown		2,733	2,733	2,793	2,780	0	(47)	0	(47)	0	2,733	0	0	0	48	02/01/2035	1
31416N-3J-9	FN AA5300 - RMBS		04/25/2020	Paydown		45	45	45	45	0	0	0	0	0	45	0	0	0	0	09/01/2020	1
31416T-L5-6	FN AA9347 - RMBS		06/01/2020	Paydown		8,255	8,255	8,524	8,495	0	(240)	0	(240)	0	8,255	0	0	0	143	08/01/2039	1
31418D-HD-7	FN MA3827 - RMBS		06/01/2020	Paydown		109,233	109,233	110,488	110,488	0	(1,254)	0	(1,254)	0	109,233	0	0	0	936	11/01/2034	1
<b>3199999</b>	<b>Subtotal - Bonds - U.S. Special Revenues</b>					<b>1,113,548</b>	<b>1,113,548</b>	<b>1,129,045</b>	<b>945,308</b>	<b>0</b>	<b>(7,958)</b>	<b>0</b>	<b>(7,958)</b>	<b>0</b>	<b>1,113,548</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>11,583</b>	<b>XXX</b>	<b>XXX</b>
00206R-AZ-5	AT&T INC		06/29/2020	Call @ 100.00		1,298,429	1,250,000	1,379,338	1,276,645	0	(7,974)	0	(7,974)	0	1,268,670	0	(18,670)	(18,670)	90,678	08/15/2021	2FE
12597C-AA-5	CNH 2019-C A1 - ABS		06/15/2020	Paydown		270,302	270,302	270,302	270,302	0	0	0	0	0	270,302	0	0	0	2,268	11/16/2020	1FE
14043M-AA-9	COPAR 2020-1 A1 - ABS		06/15/2020	Paydown		253,119	253,119	253,119	253,119	0	0	0	0	0	253,119	0	0	0	995	02/16/2021	1FE
14315W-AA-8	CARMX 2019-4 A1 - ABS		06/15/2020	Paydown		214,086	214,086	214,086	214,086	0	0	0	0	0	214,086	0	0	0	1,631	11/16/2020	1FE
15200W-AB-1	CNP IV A2 - ABS		04/15/2020	Paydown		16,978	16,978	16,978	16,979	0	(1)	0	(1)	0	16,978	0	0	0	183	10/15/2021	1FE
17275R-AX-0	CISCO SYSTEMS INC		06/15/2020	Maturity @ 100.00		925,000	925,000	923,751	924,922	0	78	0	78	0	925,000	0	0	0	11,331	06/15/2020	1FE
17905E-GB-5	COCCIT 2017-A3 A3 - ABS		04/07/2020	Various		725,000	725,000	726,936	725,177	0	(177)	0	(177)	0	725,000	0	0	0	6,960	04/07/2022	1FE
25470D-AE-9	DISCOVERY COMMUNICATIONS LLC		05/18/2020	Tender Offer		956,644	925,000	1,027,842	944,567	0	(5,011)	0	(5,011)	0	939,556	0	17,089	17,089	17,199	06/15/2021	2FE
34531E-AE-6	FORDO 2017-A A4 - ABS		06/15/2020	Paydown		93,113	93,113	93,098	93,107	0	6	0	6	0	93,113	0	0	0	894	04/15/2022	1FE
36259K-AA-5	GMALT 2020-1 A1 - ABS		06/22/2020	Paydown		201,838	201,838	201,838	201,838	0	0	0	0	0	201,838	0	0	0	841	02/22/2021	1FE
37045X-BV-7	GENERAL MOTORS FINANCIAL COMPANY INC		04/13/2020	Maturity @ 100.00		285,000	285,000	284,601	284,967	0	33	0	33	0	285,000	0	0	0	3,776	04/13/2020	2FE
38013F-AD-3	GMICAR 2018-4 A3 - ABS		06/16/2020	Paydown		13,973	13,973	13,970	13,971	0	2	0	2	0	13,973	0	0	0	224	10/16/2023	1FE
43811B-AC-8	HAROT 2017-2 A3 - ABS		06/15/2020	Paydown		44,151	44,151	44,147	44,154	0	(3)	0	(3)	0	44,151	0	0	0	308	08/16/2021	1FE
47788C-AC-6	JDOT 2018 A3 - ABS		06/15/2020	Paydown		73,484	73,484	73,479	73,483	0	1	0	1	0	73,484	0	0	0	804	04/18/2022	1FE
47788E-AC-2	JDOT 2018-B A3 - ABS		06/15/2020	Paydown		148,854	148,854	148,843	148,847	0	7	0	7	0	148,854	0	0	0	1,860	11/15/2022	1FE
58772R-AD-6	MBART 2018-1 A3 - ABS		06/15/2020	Paydown		144,424	144,424	144,424	144,424	0	4	0	4	0	144,424	0	0	0	1,803	01/17/2023	1FE
65479G-AD-1	NAROT 2018-B A3 - ABS		06/15/2020	Paydown		8,444	8,444	8,444	8,444	0	0	0	0	0	8,444	0	0	0	129	03/15/2023	1FE
749685-AX-1	RPM INTERNATIONAL INC		04/15/2020	PERSHING LLC		681,168	650,000	649,064	649,131	0	59	0	59	0	649,190	0	31,978	31,978	18,567	03/01/2029	2FE
780153-AW-2	ROYAL CARIBBEAN CRUISES LTD		04/07/2020	MARKETAXESS		195,419	330,000	329,064	329,438	0	29	0	29	0	329,468	0	(134,048)	(134,048)	6,919	03/15/2028	3FE
89238M-AE-8	TAOT 2017-A A4 - ABS		06/15/2020	Paydown		221,255	221,255	221,200	221,273	0	(18)	0	(18)	0	221,255	0	0	0	2,086	09/15/2022	1FE
89239R-AA-4	TAOT 2020-B A1 - ABS		06/15/2020	Paydown		138,112	138,112	138,112	138,112	0	0	0	0	0	138,112	0	0	0	138	05/17/2022	1FE
92867X-AA-4	VWALT 2019-A A1 - ABS		06/22/2020	Paydown		200,039	200,039	200,039	200,039	0	0	0	0	0	200,039	0	0	0	1,701	10/20/2020	1FE
<b>3899999</b>	<b>Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>					<b>7,108,833</b>	<b>7,132,173</b>	<b>7,362,678</b>	<b>6,583,952</b>	<b>0</b>	<b>(12,965)</b>	<b>0</b>	<b>(12,965)</b>	<b>0</b>	<b>7,164,056</b>	<b>0</b>	<b>(103,652)</b>	<b>(103,652)</b>	<b>171,298</b>	<b>XXX</b>	<b>XXX</b>
<b>8399997</b>	<b>Total - Bonds - Part 4</b>					<b>8,226,970</b>	<b>8,250,309</b>	<b>8,496,495</b>	<b>7,534,016</b>	<b>0</b>	<b>(21,090)</b>	<b>0</b>	<b>(21,090)</b>	<b>0</b>	<b>8,282,193</b>	<b>0</b>	<b>(103,653)</b>	<b>(103,653)</b>	<b>182,961</b>	<b>XXX</b>	<b>XXX</b>
<b>8399998</b>	<b>Total - Bonds - Part 5</b>					<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999</b>	<b>Total - Bonds</b>																				

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
00206R-10-2	AT&T ORD		06/19/2020	MORGAN STANLEY	175.000	5,304		5,773	6,775	(1,063)	0	0	(1,063)	0	5,773	0	(469)	(469)	181		
00287Y-10-9	ABBVIE ORD		05/28/2020	Not Available	0.320	29		20	24	(8)	0	0	(8)	0	20	0	9	9	1		
00289Y-10-7	ABEONA THERAPEUTICS ORD		06/04/2020	INC. JEFFERIES & COMPANY,	3,444.000	9,970		11,147	6,027	(1,474)	0	0	(1,474)	0	11,147	0	(1,177)	(1,177)	0		
00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		06/24/2020	Various JANNEY MONTGOMERY SCOTT	1,447.000	62,182		51,035	39,065	(2,152)	0	0	(2,152)	0	51,035	0	11,147	(11,147)	165		
018522-30-0	ALLETE ORD		05/06/2020	INC	213.000	11,349		16,897	17,289	(392)	0	0	(392)	0	16,897	0	(5,549)	(5,549)	169		
018581-10-8	ALLIANCE DATA SYSTEMS ORD		06/19/2020	MORGAN STANLEY	52.000	2,465		5,881	5,834	5,832	5,785	0	47	0	5,881	0	(3,417)	(3,417)	44		
02079K-10-7	ALPHABET CL C ORD		06/19/2020	Various	8.000	11,438		4,577	10,561	(6,125)	0	0	(6,125)	0	4,577	0	6,862	6,862	0		
02079K-30-5	ALPHABET CL A ORD		05/28/2020	JP MORGAN	1.000	1,418		564	1,329	(776)	0	0	(776)	0	564	0	855	855	0		
02156B-10-3	ALTERYX CL A ORD		06/23/2020	WALL STREET ACCESS NY	26.000	4,309		2,765	2,494	113	0	0	113	0	2,765	0	1,544	1,544	0		
02208R-10-6	ALTRA INDUSTRIAL MOTION ORD		05/13/2020	Various	602.000	13,973		19,925	21,798	(1,874)	0	0	(1,874)	0	19,925	0	(5,952)	(5,952)	236		
023135-10-6	AMAZON COM ORD		05/22/2020	Northern Trust	1.000	2,437		628	1,830	(1,211)	0	0	(1,211)	0	628	0	1,809	1,809	0		
02665T-30-6	AMERICAN HOMES 4 RENT CL A REIT ORD		06/04/2020	Various	616.000	15,877		17,223	0	0	0	0	0	17,223	0	(1,346)	(1,346)	21			
030506-10-9	AMERICAN WOODMARK ORD		04/17/2020	Various	1,027.000	49,092		104,315	77,740	(1,988)	0	0	(1,988)	0	104,315	0	(55,224)	(55,224)	0		
03064D-10-8	AMERICOLD REALTY ORD		06/04/2020	Various	1,095.000	36,546		39,210	35,283	1,823	0	0	1,823	0	39,210	0	(2,664)	(2,664)	395		
037833-10-0	APPLE ORD		06/25/2020	Various	116.000	40,502		8,611	33,781	(25,457)	0	0	(25,457)	0	8,611	0	31,891	31,891	184		
03852U-10-6	ARAMARK ORD		05/11/2020	Warburg Dillon Reed	147.000	3,685		6,255	6,380	(125)	0	0	(125)	0	6,255	0	(2,571)	(2,571)	16		
03965L-10-0	AROMET AEROSPACE ORD		04/01/2020	Adjustment	492.000	10,930		10,930	15,139	(4,209)	0	0	(4,209)	0	10,930	0	0	0	10		
03966V-10-7	ARCONIC ORD		04/03/2020	MORGAN STANLEY	123.000	991		2,552	0	0	0	0	0	2,552	0	(1,561)	(1,561)	0			
04010E-10-9	ARGAN ORD		06/24/2020	Various	483.000	19,429		17,402	4,340	(386)	0	0	(386)	0	17,402	0	2,027	2,027	117		
04963C-20-9	ATRICURE ORD		04/24/2020	COWEN AND COMPANY, LLC	729.000	28,357		20,931	23,700	(2,769)	0	0	(2,769)	0	20,931	0	7,426	7,426	0		
053332-10-2	AUTOZONE ORD		05/28/2020	JP MORGAN	2.000	2,295		1,135	2,383	(1,247)	0	0	(1,247)	0	1,135	0	1,160	1,160	0		
05370A-10-8	AVIDITY BIOSCIENCES ORD		06/18/2020	GOLDMAN	244.000	6,751		4,392	0	0	0	0	0	4,392	0	2,359	2,359	0			
05478C-10-5	AZEK COMPANY CL A ORD		06/16/2020	Various	700.000	20,059		16,100	0	0	0	0	0	16,100	0	3,959	3,959	0			
05605H-10-0	BIIX TECHNOLOGIES ORD		05/22/2020	PIPER JAFFRAY	794.000	45,382		43,494	0	0	0	0	0	43,494	0	1,888	1,888	225			
05971J-10-2	BANCORPSOUTH BANK ORD		03/26/2020	Various	0.000	0		0	0	0	0	0	0	0	0	0	0	0	435		
060505-10-4	BANK OF AMERICA ORD		06/19/2020	MORGAN STANLEY	323.000	8,155		5,465	11,269	(5,892)	0	0	(5,892)	0	5,465	0	2,690	2,690	58		
09062X-10-3	BIOGEN ORD		06/19/2020	Various	25.000	6,952		5,440	7,418	(1,978)	0	0	(1,978)	0	5,440	0	1,512	1,512	0		
09215C-10-5	BLACK KNIGHT ORD		05/21/2020	BAIRD, ROBERT W., & COMPANY IN	109.000	8,006		6,704	6,317	(297)	0	0	(297)	0	6,704	0	1,302	1,302	0		
095229-10-0	BLUCORA ORD		06/24/2020	Various	2,028.000	24,788		42,975	53,012	(10,037)	0	0	(10,037)	0	42,975	0	(18,187)	(18,187)	0		
110122-10-8	BRISTOL MYERS SQUIBB ORD		06/19/2020	MORGAN STANLEY	89.000	5,020		4,653	5,020	(1,058)	0	0	(1,058)	0	4,653	0	368	368	80		
122017-10-6	BURLINGTON STORES ORD		06/29/2020	Various	147.000	29,519		21,403	33,520	(12,117)	0	0	(12,117)	0	21,403	0	8,115	8,115	0		
127097-10-3	CABOT OIL & GAS ORD		06/01/2020	Various	987.000	19,764		15,358	8,312	(613)	0	0	(613)	0	15,358	0	4,406	4,406	86		
141788-10-9	CARGURUS CL A ORD		06/09/2020	Bear Stearns	466.000	12,027		14,118	7,660	1,097	0	0	1,097	0	14,118	0	(2,091)	(2,091)	0		
144285-10-3	CARPENTER TECHNOLOGY ORD		06/01/2020	Keybank	374.000	8,931		19,438	18,618	821	0	0	821	0	19,438	0	(10,508)	(10,508)	75		
149568-10-7	CAVCO INDUSTRIES ORD		06/16/2020	Various	229.000	38,763		39,242	25,728	16	0	0	16	0	39,242	0	(480)	(480)	0		
15201P-10-9	CENTERSTATE BANKS ORD		06/08/2020	Unknown	4,377.000	106,887		106,887	92,001	1,159	0	0	1,159	0	106,887	0	0	0	1,031		
15650A-30-0	CENTURY COMMUNITIES ORD		06/08/2020	Various	1,950.000	43,054		50,525	17,937	67	0	0	67	0	50,525	0	(7,471)	(7,471)	0		
15872M-10-4	CHAMPIONX ORD W1		06/19/2020	Various	370.245	4,640		1,684	0	0	0	0	0	1,684	0	2,955	2,955	0			
15912K-10-0	CHANGE HEALTHCARE ORD		05/01/2020	Adjustment	0.000	0		0	0	0	0	0	0	0	0	0	0	0	0		
16119P-10-8	CHARTER COMMUNICATIONS CL A ORD		06/25/2020	Various	12.000	6,057		3,406	5,821	(2,415)	0	0	(2,415)	0	3,406	0	2,651	2,651	0		
172967-42-4	CITIGROUP ORD		06/19/2020	MORGAN STANLEY	114.000	6,032		5,544	9,036	(3,547)	0	0	(3,547)	0	5,544	0	489	489	116		
184496-10-7	CLEAN HARBORS ORD		06/24/2020	Various	784.000	41,962		61,048	47,502	(676)	0	0	(676)	0	61,048	0	(19,085)	(19,085)	0		
20605P-10-1	CONCHO RESOURCES ORD		06/29/2020	Various	79.000	4,046		4,340	0	0	0	0	0	4,340	0	(294)	(294)	10			
235851-10-2	DANAHER ORD		05/14/2020	MORGAN STANLEY	18.000	2,910		2,732	2,910	(1,505)	0	0	(1,505)	0	2,732	0	1,657	1,657	6		
243537-10-0	DECKERS OUTDOOR ORD		06/08/2020	Various	43.000	8,819		6,432	5,536	(340)	0	0	(340)	0	6,432	0	2,386	2,386	0		
25278X-10-9	DIAMONDBACK ENERGY ORD		04/14/2020	Dain Rauscher	54.000	1,734		1,284	0	0	0	0	0	1,284	0	450	450	0			
25754A-20-1	DOMINOS PIZZA ORD		06/26/2020	Various	114.000	42,603		31,761	33,491	(1,730)	0	0	(1,730)	0	31,761	0	10,842	10,842	178		
26884L-10-9	EQT ORD		06/01/2020	Various	705.000	9,430		5,337	3,568	(693)	0	0	(693)	0	5,337	0	4,093	4,093	15		
279642-10-3	EBAY ORD		06/19/2020	MORGAN STANLEY	134.000	6,470		2,307	4,839	(2,532)	0	0	(2,532)	0	2,307	0	4,163	4,163	43		
278865-10-0	ECOLAB ORD		06/05/2020	Unknown	15.010	1,684		1,684	2,816	(1,218)	0	0	(1,218)	0	1,684	0	0	0	7		
29261A-10-0	ENCOMPASS HEALTH ORD		04/08/2020	KCG AMERICAS LLC	194.000	13,690		13,643	13,438	204	0	0	204	0	13,643	0	48	48	54		
29414B-10-4	EPAM SYSTEMS ORD		06/23/2020	Various	157.000	37,175		31,055	33,309	(2,254)	0	0	(2,254)	0	31,055	0	6,119	6,119	0		
29472R-10-8	EQUITY LIFESTYLE PROP REIT ORD		04/29/2020	Various	1,706.000	105,390		119,154	120,085	(931)	0	0	(931)	0	119,154	0	(13,764)	(13,764)	1,107		
29786A-10-6	ETSY ORD		06/25/2020	Various	360.000	35,590		16,746	4,606	(218)	0	0	(218)	0	16,746	0	18,844	18,844	0		
302081-10-4	EXLSERVICE HOLDINGS ORD		05/22/2020	Various	472.000	27,628		32,614	32,785	(171)	0	0	(171)	0	32,614</						

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
30303M-10-2	FACEBOOK CL A ORD		05/28/2020	JP MORGAN	7,000	1,578		649	1,426	(788)	0	0	(788)	0	649	0	930	930	0		
344849-10-4	FOOT LOCKER ORD		06/19/2020	Various	885,000	21,509		33,638	16,296	1,296	0	0	1,296	0	33,638	0	(12,129)	(12,129)	513		
34553D-10-1	FORESCOUT TECHNOLOGIES ORD		04/03/2020	WALL STREET ACCESS NY	404,000			13,936	13,251	685	0	0	685	0	13,936	0	(1,291)	(1,291)	0		
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		05/18/2020	Various	1,231,000	60,595		75,131	72,360	(3,250)	0	0	(3,250)	0	75,131	0	(14,535)	(14,535)	302		
34983P-10-4	FORTY SEVEN ORD		04/09/2020	Adjustment	792,000			22,241	25,079	(17,300)	0	0	(17,300)	0	22,241	0	53,395	53,395	0		
349853-10-1	FORWARD AIR ORD		04/08/2020	Dain Rauscher	569,000	28,609		28,020	0	0	0	0	0	0	28,020	0	589	589	0		
38141G-10-4	GOLDMAN SACHS GROUP ORD		06/25/2020	CITIGROUP GLOBAL MARKETS INC.	14,000	2,899		2,147	3,219	(1,072)	0	0	(1,072)	0	2,147	0	752	752	18		
410120-10-9	HANCOCK WHITNEY ORD		06/05/2020	KEEFE BRUYETTE + WOODS INC	846,000	23,312		34,359	37,122	(2,763)	0	0	(2,763)	0	34,359	0	(11,047)	(11,047)	457		
412822-10-8	HARLEY DAVIDSON ORD		06/19/2020	MORGAN STANLEY	195,000	4,839		4,885	7,252	3,761	6,128	0	(2,367)	0	4,885	0	(46)	(46)	78		
42330P-10-7	HELIX ENERGY SOLUTIONS GROUP ORD		05/13/2020	COLLINS STEWART INC.	1,936,000	4,169		15,842	18,644	(2,801)	0	0	(2,801)	0	15,842	0	(11,674)	(11,674)	0		
423452-10-1	HELMERICH AND PAYNE ORD		05/21/2020	JP MORGAN	141,000	2,629		8,181	6,406	1,775	0	0	1,775	0	8,181	0	(5,551)	(5,551)	200		
431475-10-2	HILL ROM HOLDINGS ORD		04/03/2020	JEFFERIES & COMPANY, INC.	37,000	3,840		4,171	0	0	0	0	0	0	4,171	0	(332)	(332)	8		
436106-10-8	HOLLYFRONTIER ORD		06/29/2020	Various	340,000	10,041		15,779	13,438	610	0	0	610	0	15,779	0	(5,738)	(5,738)	212		
437076-10-2	HOME DEPOT ORD		06/25/2020	CITIGROUP GLOBAL MARKETS INC.	11,000	2,699		756	2,390	(1,647)	0	0	(1,647)	0	756	0	1,943	1,943	33		
445658-10-7	JB HUNT TRANSPORT SERVICES ORD		06/26/2020	Stifel Nicolaus & Co.	200,000	23,751		21,371	23,751	0	0	0	0	0	21,371	0	2,380	2,380	26		
446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		06/12/2020	WALL STREET ACCESS NY	54,000			9,831	0	0	0	0	0	0	9,831	0	(59)	(59)	56		
447462-10-2	HURON CONSULTING GROUP ORD		06/19/2020	Various	1,076,000	45,127		70,857	71,923	(2,980)	0	0	(2,980)	0	70,857	0	(25,731)	(25,731)	0		
44930G-10-7	ICU MEDICAL ORD		05/01/2020	Various	220,000	47,470		40,474	37,122	(629)	0	0	(629)	0	40,474	0	6,996	6,996	0		
450056-10-6	IRHYTHM TECHNOLOGIES ORD		05/07/2020	WALL STREET ACCESS NY	66,000	7,743		4,612	4,494	119	0	0	119	0	4,612	0	3,131	3,131	0		
450828-10-8	IBERIABANK ORD		04/08/2020	GOLDMAN	2,225,000	84,207		161,410	166,497	(5,087)	0	0	(5,087)	0	161,410	0	(77,204)	(77,204)	2,047		
45332Y-10-9	INARI MEDICAL ORD		05/22/2020	COWEN AND COMPANY, LLC	100,000	4,314		1,900	0	0	0	0	0	0	1,900	0	2,414	2,414	0		
458140-10-0	INTEL ORD		06/19/2020	MORGAN STANLEY	118,000	7,035		3,071	7,003	(3,993)	0	0	(3,993)	0	3,071	0	3,964	3,964	78		
45826H-10-9	INTEGER HOLDINGS ORD		05/28/2020	CITIGROUP GLOBAL MARKETS INC.	126,000	10,146		9,258	0	0	0	0	0	0	9,258	0	889	889	0		
462222-10-0	IONIS PHARMACEUTICALS ORD		04/30/2020	JEFFERIES & COMPANY, INC.	276,000	15,556		16,629	16,673	(44)	0	0	(44)	0	16,629	0	(1,074)	(1,074)	0		
462260-10-0	IOVANCE BIOTHERAPEUTICS ORD		06/11/2020	Various	332,000	10,802		7,372	7,020	(1,660)	0	0	(1,660)	0	7,372	0	3,430	3,430	0		
465471-10-6	ITRON ORD		04/20/2020	LIQUIDNET, INC.	187,000	11,175		14,809	15,699	(889)	0	0	(889)	0	14,809	0	(3,634)	(3,634)	0		
46625H-10-0	JPMORGAN CHASE ORD		06/19/2020	MORGAN STANLEY	91,000	8,900		5,144	12,584	(7,525)	0	0	(7,525)	0	5,144	0	3,756	3,756	163		
47580P-10-3	JELD WEN HOLDING ORD		05/18/2020	Various	4,272,000	51,082		83,698	64,365	(7,647)	0	0	(7,647)	0	83,698	0	(32,616)	(32,616)	0		
48576U-10-6	KARYOPHARM THERAPEUTICS ORD		05/19/2020	JEFFERIES & COMPANY, INC.	731,000	12,985		11,725	14,013	(2,288)	0	0	(2,288)	0	11,725	0	1,260	1,260	0		
48666K-10-9	KB HOME ORD		06/03/2020	Various	2,509,000	68,312		57,072	0	0	0	0	0	0	57,072	0	11,240	11,240	104		
489170-10-0	KENNAMETAL ORD		06/24/2020	Various	1,504,000	33,457		45,747	31,471	(3,136)	0	0	(3,136)	0	45,747	0	(12,290)	(12,290)	295		
489398-10-7	KENNEDY WILLSON HOLDINGS ORD		05/18/2020	Various	4,703,000	64,191		105,481	104,877	604	0	0	604	0	105,481	0	(41,290)	(41,290)	2,069		
499049-10-4	KNIGHT SWIFT TRANSPRTATN CL A ORD		05/28/2020	ISI GROUP INC.	2,348,000	94,836		84,222	0	0	0	0	0	0	84,222	0	10,614	10,614	82		
50050N-10-3	KOFTOOR BRANDS ORD		04/01/2020	Adjustment	(326,000)	(8,063)		(11,306)	(12,202)	1,972	0	0	1,972	0	(11,306)	0	3,243	3,243	0		
500688-10-6	KOSMOS ENERGY ORD		04/01/2020	WALL STREET ACCESS NY	1,044,000	847		7,218	5,951	1,267	0	0	1,267	0	7,218	0	(6,371)	(6,371)	120		
50187T-10-6	LGI HOMES ORD		04/16/2020	B RILEY & CO	103,000	4,965		6,581	3,053	21	0	0	21	0	6,581	0	(1,616)	(1,616)	0		
526057-10-4	LENNAR CL A ORD		06/29/2020	Various	1,574,000	85,271		50,443	0	0	0	0	0	0	50,443	0	34,828	34,828	184		
531229-85-4	LIBERTY MEDIA FORMULA ONE SRS C ORD		04/06/2020	CITATION GROUP	41,000	941		1,756	1,778	(84)	0	0	(84)	0	1,756	0	(814)	(814)	0		
531229-88-8	LIBERTY MEDIA LIBERTY BRVS SRS C ORD		06/10/2020	Various	1,327,000	29,315		36,103	39,200	(3,097)	0	0	(3,097)	0	36,103	0	(6,788)	(6,788)	0		
546347-10-5	LOUISIANA PACIFIC ORD		05/08/2020	Various	1,219,000	24,729		35,571	36,168	(597)	0	0	(597)	0	35,571	0	(10,842)	(10,842)	198		
55087P-10-4	LYFT CL A ORD		04/17/2020	Various	1,457,000	42,989		34,999	0	0	0	0	0	0	34,999	0	7,990	7,990	0		
55616P-10-4	MACYS ORD		04/03/2020	JP MORGAN	391,000	1,880		10,496	6,647	3,849	0	0	3,849	0	10,496	0	(8,616)	(8,616)	295		
57060D-10-8	MARKETAXESS HOLDINGS ORD		06/30/2020	Various	94,000	46,669		33,886	0	0	0	0	0	0	33,886	0	12,783	12,783	56		
574795-10-0	MASIMO ORD		04/03/2020	KCG AMERICAS LLC	41,000	7,558		3,249	5,977	(3,303)	0	0	(3,303)	0	3,249	0	4,309	4,309	0		
58155Q-10-3	MCKESSON ORD		05/14/2020	MORGAN STANLEY	26,000	3,365		3,779	3,083	200	0	0	200	0	3,779	0	(414)	(414)	20		
594918-10-4	MICROSOFT ORD		06/25/2020	Various	25,000	4,823		1,140	3,908	(2,804)	0	0	(2,804)	0	1,140	0	3,683	3,683	20		
596278-10-1	MIDDLEBY ORD		04/22/2020	GOLDMAN	49,000	2,503		5,621	5,366	254	0	0	254	0	5,621	0	(3,118)	(3,118)	0		
60855R-10-0	MOLINA HEALTHCARE ORD		06/02/2020	Various	424,000	75,143		57,984	51,088	64	0	0	64	0	57,984	0	17,159	17,159	0		
609027-10-7	MONARCH CASINO AND RESORT ORD		05/12/2020	GOLDMAN	139,000	4,197		5,983	4,787	(391)	0	0	(391)	0	5,983	0	(1,785)	(1,785)	0		
60937P-10-6	MONGOOD CL A ORD		06/23/2020	Various	26,000	5,804		3,696	3,422	274	0	0	274	0	3,696	0	2,109	2,109	0		
615394-20-2	MOOG CL A ORD		04/17/2020	Various	789,000	41,635		57,464	0	0	0	0	0	0	57,464	0	(15,829)	(15,829)	0		

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STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
617446-44-8	MORGAN STANLEY ORD		05/14/2020	MORGAN STANLEY	109.000	4,121		3,493	5,572	(2,079)	0	0	(2,079)	0	3,493	0	628	628	38		
62857M-10-5	MYOKARDIA ORD		06/30/2020	Various	130.000	12,721		7,552	9,475	(1,923)	0	0	(1,923)	0	7,552	0	5,168	5,168	0		
629209-30-5	NMI HOLDINGS CL A ORD		04/17/2020	CITIGROUP GLOBAL MARKETS INC.	4,295.000	50,779		135,920	89,686	(894)	0	0	(894)	0	135,920	0	(85,141)	(85,141)	0		
62944T-10-5	NVR ORD		05/22/2020	Northern Trust	1,000	3,134		3,718	3,808	(90)	0	0	(90)	0	3,718	0	(585)	(585)	0		
64125C-10-9	NEUROCRINE BIOSCIENCES ORD		06/11/2020	CITATION GROUP	102.000	11,770		11,561	8,089	439	0	0	439	0	11,561	0	209	209	0		
650111-10-7	NEW YORK TIMES CL A ORD		06/15/2020	KCG AMERICAS LLC	61.000	2,487		1,909	1,889	(66)	0	0	(66)	0	1,909	0	579	579	6		
655044-10-5	NOBLE ENERGY ORD		04/16/2020	WALL STREET ACCESS NY	286.000	1,785		1,238	0	0	0	0	0	0	1,238	0	548	548	0		
655664-10-0	NORDSTROM ORD		06/19/2020	MORGAN STANLEY	133.000	2,270		7,422	5,444	1,978	0	0	1,978	0	7,422	0	(5,152)	(5,152)	49		
679295-10-5	OKTA CL A ORD		06/23/2020	WALL STREET ACCESS NY	132.000	26,283		16,994	15,229	1,765	0	0	1,765	0	16,994	0	9,289	9,289	0		
68389X-10-5	ORACLE ORD		06/19/2020	Various	117.000	6,339		4,039	6,199	(2,159)	0	0	(2,159)	0	4,039	0	2,300	2,300	56		
68554V-10-8	ORASURE TECHNOLOGIES ORD		04/03/2020	PIPER JAFFRAY	4,939.000	50,659		38,715	39,660	(945)	0	0	(945)	0	38,715	0	11,944	11,944	0		
68570P-10-1	ORCHARD THERAPEUTICS ADR	C	06/02/2020	Bear Stearns	724.000	6,711		7,413	9,955	(2,542)	0	0	(2,542)	0	7,413	0	(703)	(703)	0		
69007J-10-6	OUTFRONT MEDIA ORD		04/15/2020	BAYPOINT TRADING LLC	944.000	10,871		23,464	25,318	(1,854)	0	0	(1,854)	0	23,464	0	(12,593)	(12,593)	1,491		
690742-10-1	OWENS CORNING ORD		03/24/2020	LIQUIDNET, INC.	0.000	0		0	0	0	0	0	0	0	0	0	0	0	171		
69336V-10-1	PGT INNOVATIONS ORD		06/08/2020	Various	1,306.000	15,875		16,971	12,348	(615)	0	0	(615)	0	16,971	0	(1,097)	(1,097)	0		
703343-10-3	PATRICK INDUSTRIES ORD		05/26/2020	JEFFERIES & COMPANY, INC.	114.000	6,507		5,490	5,804	(393)	0	0	(393)	0	5,490	0	1,017	1,017	28		
70432V-10-2	PAYCOM SOFTWARE ORD		05/22/2020	Northern Trust	8.000	2,155		2,500	2,155	0	0	0	0	2,500	0	(346)	(346)	0			
705573-10-3	PEGASYS ORD		04/03/2020	WALL STREET ACCESS NY	36.000	2,207		2,794	2,867	(73)	0	0	(73)	0	2,794	0	(587)	(587)	1		
70975L-10-7	PENUMBRA ORD		05/13/2020	Dain Rauscher	55.000	9,347		9,404	0	0	0	0	0	9,404	0	(57)	(57)	0			
731068-10-2	POLARIS INDUSTRIES ORD		04/03/2020	BAIRD, ROBERT W., & COMPANY IN	698.000	26,884		68,131	70,987	(2,856)	0	0	(2,856)	0	68,131	0	(41,247)	(41,247)	433		
737446-10-4	POST HOLDINGS ORD		06/03/2020	Various	488.000	43,073		49,083	47,727	(3,050)	0	0	(3,050)	0	49,083	0	(6,011)	(6,011)	0		
74257L-10-8	PRINCIPIA BIOPHARMA ORD		04/23/2020	JEFFERIES & COMPANY, INC.	76.000	4,808		2,400	4,163	(1,763)	0	0	(1,763)	0	2,400	0	2,407	2,407	0		
74267C-10-6	PROASSURANCE ORD		04/03/2020	Various	1,081.000	22,925		36,064	0	0	0	0	0	36,064	0	(13,139)	(13,139)	547			
74349J-10-3	PROSIGHT GLOBAL ORD		06/05/2020	Barclays Bank - CP	1,913.000	19,588		31,931	30,857	1,075	0	0	1,075	0	31,931	0	(12,343)	(12,343)	0		
755111-10-7	RAYTHEON ORD		04/03/2020	Adjustment	358.000	37,350		37,350	76,909	(41,385)	0	0	(41,385)	0	37,350	0	0	0	330		
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		05/14/2020	FRACTIONAL SHARES	0.869	45		44	0	0	0	0	0	44	0	1	1	0			
758075-40-2	REDWOOD REIT ORD		03/31/2020	Various	0.000	(55)		0	0	0	0	0	0	0	0	0	(55)	(55)	2,091		
7591EP-10-0	REGIONS FINANCIAL ORD		06/30/2020	COWEN AND COMPANY, LLC	5,000.000	53,924		45,540	17,799	0	0	0	0	45,540	0	8,384	8,384	0			
759509-10-2	RELIANCE STEEL ORD		06/03/2020	COWEN AND COMPANY, LLC	158.000	15,967		18,450	18,922	(472)	0	0	(472)	0	18,450	0	(2,484)	(2,484)	99		
760273-10-2	REPARE THERAPEUTICS ORD	C	06/23/2020	Various	845.000	26,471		16,900	0	0	0	0	0	16,900	0	9,571	9,571	0			
76155X-10-0	REVOLUTION MEDICINES ORD		04/15/2020	JEFFERIES & COMPANY, INC.	200.000	4,338		3,400	0	0	0	0	0	3,400	0	938	938	0			
76680R-20-6	RINGCENTRAL CL A ORD		05/27/2020	JEFFERIES & COMPANY, INC.	43.000	10,450		7,292	7,253	39	0	0	39	0	7,292	0	3,158	3,158	0		
77543R-10-2	ROKU CL A ORD		06/29/2020	Various	367.000	43,897		37,611	10,750	1,950	0	0	1,950	0	37,611	0	6,285	6,285	0		
78442P-10-6	SLM ORD		06/03/2020	Various	4,460.000	28,672		37,656	39,739	(2,082)	0	0	(2,082)	0	37,656	0	(8,985)	(8,985)	134		
78489X-10-3	SVMK ORD		05/08/2020	Warburg Dillon Reed	1,052.000	20,095		17,999	18,799	(800)	0	0	(800)	0	17,999	0	2,096	2,096	0		
78709Y-10-5	SAIA ORD		04/01/2020	Dain Rauscher	302.000	20,126		28,707	28,122	585	0	0	585	0	28,707	0	(8,581)	(8,581)	0		
80689H-10-2	SCHNEIDER NATIONAL CL B ORD		05/27/2020	Various	3,937.000	85,449		72,064	0	0	0	0	0	72,064	0	13,385	13,385	0			
808625-10-7	SCIENCE APPLICATIONS INTERNATIONAL ORD		05/26/2020	WALL STREET ACCESS NY	112.000	9,830		9,431	9,746	(316)	0	0	(316)	0	9,431	0	399	399	83		
812578-10-2	SEATTLE GENETICS ORD		05/01/2020	LIQUIDNET, INC.	82.000	12,529		5,769	9,369	(3,600)	0	0	(3,600)	0	5,769	0	6,760	6,760	0		
816307-30-0	SELECTQUOTE ORD		05/22/2020	COWEN AND COMPANY, LLC	100.000	2,640		2,000	0	0	0	0	0	2,000	0	640	640	0			
82452J-10-9	SHIFT4 PAYMENTS CL A ORD		06/05/2020	Dain Rauscher	100.000	3,295		2,300	0	0	0	0	0	2,300	0	995	995	0			
830830-10-5	SKYLINE CHAMPION ORD		05/20/2020	Various	1,167.000	24,186		37,411	34,744	1,610	0	0	1,610	0	37,411	0	(13,224)	(13,224)	0		
86150R-10-7	STOKE THERAPEUTICS ORD		04/15/2020	JEFFERIES & COMPANY, INC.	196.000	4,218		4,569	5,551	(982)	0	0	(982)	0	4,569	0	(351)	(351)	0		
866674-10-4	SUN COMMUNITIES REIT ORD		05/27/2020	Various	74.000	10,441		9,756	0	0	0	0	0	9,756	0	685	685	0			
87161C-50-1	SYNOVUS FINANCIAL ORD		06/04/2020	BAIRD, ROBERT W., & COMPANY IN	1,299.000	28,627		48,600	39,268	(1,696)	0	0	(1,696)	0	48,600	0	(19,973)	(19,973)	729		
872590-10-4	T MOBILE US ORD		05/14/2020	MORGAN STANLEY	26.000	2,468		2,107	1,580	0	0	0	0	2,107	0	361	361	0			
87265H-10-9	TRI POINTE GROUP ORD		06/03/2020	Various	7,826.000	92,563		119,485	116,053	(2,515)	0	0	(2,515)	0	119,485	0	(26,922)	(26,922)	0		
87918A-10-5	TELADOC HEALTH ORD		06/26/2020	Various	356.000	64,763		27,520	29,804	(2,284)	0	0	(2,284)	0	27,520	0	37,243	37,243	0		
879360-10-5	TELEDYNE TECH ORD		06/26/2020	Various	252.000	77,279		90,626	57,872	(481)	0	0	(481)	0	90,626	0	(13,347)	(13,347)	0		
89055F-10-3	TOPBUILD ORD		06/22/2020	Various	805.000	98,320		88,459	82,979	5,480	0	0	5,480	0	88,459	0	9,861	9,861	0		

STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admin- istrative Symbol	
90041T-10-8	TURNING POINT THERAPEUTICS ORD		06/01/2020	WALL STREET ACCESS NY	42,000	2,872		2,498	.0	.0	.0	.0	.0	.0	2,498	.0	.374	.374	.0			
907818-10-8	UNION PACIFIC ORD		05/28/2020	JP MORGAN	9,000	1,535		685	1,627	(942)	.0	.0	(942)	.0	685	.0	850	850	.9			
913017-10-9	UNITED TECHNOLOGIES ORD		04/03/2020	Adjustment	1,038,000	100,486		100,486	155,451	(54,965)	.0	.0	(54,965)	.0	100,486	.0	.0	.0	763			
91879Q-10-9	VAIL RESORTS ORD		06/03/2020	Various	69,000	13,441		15,702	13,927	(182)	.0	.0	(182)	.0	15,702	.0	(2,261)	(2,261)	204			
92826C-83-9	VISA CL A ORD		06/19/2020	MORGAN STANLEY	22,000	4,228		944	4,123	(3,189)	.0	.0	(3,189)	.0	944	.0	3,284	3,284	13			
				CITIGROUP GLOBAL MARKETS																		
949746-10-1	WELLS FARGO ORD		06/25/2020	INC	129,000	3,530		4,620	6,890	(2,308)	.0	.0	(2,308)	.0	4,620	.0	(1,090)	(1,090)	131			
95058W-10-0	WENDYS ORD		05/14/2020	Various	310,000	6,199		6,354	6,885	(531)	.0	.0	(531)	.0	6,354	.0	(155)	(155)	37			
98212B-10-3	WPX ENERGY ORD		04/20/2020	Dain Rauscher	526,000	2,199		5,165	7,227	(2,062)	.0	.0	(2,062)	.0	5,165	.0	(2,966)	(2,966)	.0			
98585X-10-4	YETI HOLDINGS ORD		06/23/2020	Various	718,000	25,125		20,177	9,982	(1,598)	.0	.0	(1,598)	.0	20,177	.0	4,948	4,948	.0			
989207-10-5	ZEBRA TECHNOLOGIES CL A ORD		04/30/2020	KCG AMERICAS LLC	40,000	9,344		5,857	10,218	(4,360)	.0	.0	(4,360)	.0	5,857	.0	3,487	3,487	.0			
98980F-10-4	ZOOMINFO TECHNOLOGIES CL A ORD		06/10/2020	COWEN AND COMPANY, LLC	100,000	5,886		2,100	.0	.0	.0	.0	.0	.0	2,100	.0	3,786	3,786	.0			
98980G-10-2	ZSCALER ORD		06/23/2020	Various	537,000	49,938		24,725	24,971	(246)	.0	.0	(246)	.0	24,725	.0	25,213	25,213	.0			
60177J-10-8	ALLERGAN ORD	C	05/08/2020	MERGER	423,002	81,643		72,647	79,336	(8,185)	.0	.0	(8,185)	.0	72,647	.0	8,996	8,996	620			
61890L-10-7	CAPRI HOLDINGS LTD.	C	05/11/2020	JP MORGAN	190,000	2,718		10,614	7,249	3,365	.0	.0	3,365	.0	10,614	.0	(7,896)	(7,896)	.0			
621810-10-9	CLARIVATE ORD	C	06/17/2020	COWEN AND COMPANY, LLC	286,000	6,390		4,929	4,805	125	.0	.0	125	.0	4,929	.0	1,461	1,461	.0			
63198U-10-2	ESSENT GROUP ORD	C	05/28/2020	Various	978,000	34,830		25,228	.0	.0	.0	.0	.0	.0	25,228	.0	9,601	9,601	.0			
64705A-10-0	ICON ORD	C	06/04/2020	Warburg Dillon Reed	157,000	26,088		11,605	27,040	(15,436)	.0	.0	(15,436)	.0	11,605	.0	14,484	14,484	.0			
				CITIGROUP GLOBAL MARKETS																		
65494J-10-3	LINDE ORD	C	06/25/2020	INC	13,000	2,719		1,858	2,768	(909)	.0	.0	(909)	.0	1,858	.0	860	860	25			
Y2573F-10-2	FLEX ORD	C	04/07/2020	ISI GROUP INC	1,008,000	8,896		11,478	12,721	(1,243)	.0	.0	(1,243)	.0	11,478	.0	(2,582)	(2,582)	.0			
Y6213Z-10-8	NAVIGATOR HOLDINGS ORD	C	06/09/2020	Various	535,000	4,221		6,716	6,230	(487)	.0	.0	(487)	.0	6,716	.0	(2,495)	(2,495)	.0			
				JEFFERIES & COMPANY, INC.																		
Y95308-10-5	WAVE LIFE SCIENCES ORD	C	04/01/2020	INC	466,000	4,358		14,252	3,735	10,517	.0	.0	10,517	.0	14,252	.0	(9,894)	(9,894)	.0			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					3,870,613	XXX	4,078,010	3,215,359	(294,982)	0	11,913	(306,896)	0	4,078,010	0	(207,397)	(207,397)	21,507	XXX	XXX	
464287-65-5	ISHARES:RUSS 2000 ETF		04/30/2020	KCG AMERICAS LLC	2,035,000	241,155		238,816	.0	.0	.0	.0	.0	.0	238,816	.0	2,339	2,339	.0			
9499999	Subtotal - Common Stocks - Mutual Funds					241,155	XXX	238,816	0	0	0	0	0	0	238,816	0	2,339	2,339	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4					4,111,768	XXX	4,316,826	3,215,359	(294,982)	0	11,913	(306,896)	0	4,316,826	0	(205,057)	(205,057)	21,507	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					4,111,768	XXX	4,316,826	3,215,359	(294,982)	0	11,913	(306,896)	0	4,316,826	0	(205,057)	(205,057)	21,507	XXX	XXX	
9899999	Total - Preferred and Common Stocks					4,111,768	XXX	4,316,826	3,215,359	(294,982)	0	11,913	(306,896)	0	4,316,826	0	(205,057)	(205,057)	21,507	XXX	XXX	
9999999	Totals					12,338,738	XXX	12,813,321	10,749,374	(294,982)	(21,090)	11,913	(327,985)	0	12,599,019	0	(308,710)	(308,710)	204,468	XXX	XXX	

E05.4

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**



STATEMENT AS OF JUNE 30, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
	REPURCHASE AGREEMENT		.06/30/2020	0.000	.07/01/2020	101,014,551		5,009
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations					101,014,551	0	5,009
0599999	Total - U.S. Government Bonds					101,014,551	0	5,009
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
	FEDERAL HOME LOAN MORTGAGE CORP		.06/05/2020	0.000	.08/19/2020	.614,883	0	.62
	FEDERAL NATIONAL MORTGAGE ASSOCIATION		.05/26/2020	0.000	.08/13/2020	2,199,658	0	286
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					2,814,541	0	348
3199999	Total - U.S. Special Revenues Bonds					2,814,541	0	348
	Amazon.com, Inc.		.05/18/2020	0.000	.07/20/2020	2,299,830	0	394
	CRC Funding, LLC		.06/11/2020	0.000	.09/09/2020	999,572	0	122
	Emerson Electric Co.		.04/15/2020	0.000	.07/14/2020	1,099,543	0	2,706
	Exxon Mobil Corporation		.05/07/2020	0.000	.08/04/2020	799,547	0	733
	National Securities Clearing Corporation		.05/29/2020	0.000	.07/14/2020	499,977	0	.60
	Pfizer Inc.		.04/09/2020	0.000	.07/08/2020	1,199,797	0	2,407
	USAA Capital Corporation		.06/05/2020	0.000	.08/05/2020	2,299,709	0	227
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					9,197,975	0	6,648
	Gotham Funding Corporation		.04/03/2020	0.000	.07/01/2020	1,300,000	0	4,037
3599999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities					1,300,000	0	4,037
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					10,497,975	0	10,685
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Unaffiliated Bank Loans					0	0	0
7699999	Total - Issuer Obligations					113,027,067	0	12,005
7799999	Total - Residential Mortgage-Backed Securities					0	0	0
7899999	Total - Commercial Mortgage-Backed Securities					0	0	0
7999999	Total - Other Loan-Backed and Structured Securities					1,300,000	0	4,037
8099999	Total - SVO Identified Funds					0	0	0
8199999	Total - Affiliated Bank Loans					0	0	0
8299999	Total - Unaffiliated Bank Loans					0	0	0
8399999	Total Bonds					114,327,067	0	16,043
316175-50-4	FIDELITY IMM TRS I		.06/22/2020	0.060		0	0	0
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					0	0	0
665278-40-4	NORTHERN INST:US GVT SHS		.06/30/2020	0.070		1,207,419	131	94
8699999	Subtotal - All Other Money Market Mutual Funds					1,207,419	131	94
8899999	Total Cash Equivalents					115,534,487	131	16,137

E14