



# QUARTERLY STATEMENT

As of June 30, 2020  
of the Condition and Affairs of the

## The Order Of United Commercial Travelers Of America

NAIC Group Code..... 0, 0 (Current Period) (Prior Period)	NAIC Company Code..... 56383	Employer's ID Number..... 31-4273120
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Licensed as Business Type: Fraternal Benefit Society		
Incorporated/Organized..... October 4, 1890	Commenced Business..... January 16, 1888	
Statutory Home Office	1801 Watermark Drive Suite 100 .. Columbus .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	1801 Watermark Drive Suite 100 .. Columbus .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	800-848-0123 <i>(Area Code) (Telephone Number)</i>
Mail Address	1801 Watermark Drive Suite 100 .. Columbus .. OH .. US .. 43215 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	1801 Watermark Drive Suite 100 .. Columbus .. OH .. US .. 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	800-848-0123 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.uct.org	
Statutory Statement Contact	Andrew Michael Swetnam <i>(Name)</i> aswetnam@uct.org <i>(E-Mail Address)</i>	800-848-0123-1135 <i>(Area Code) (Telephone Number) (Extension)</i> 614-487-9675 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Mary Frances Applegate	UCT President	2. Stephen Randal Desselles	UCT Secretary/Treasurer
3. Kevin Clare Hecker	Chief Executive Officer	4.	

### OTHER

Kathryn Louise Chillinsky	Vice-President, Membership & Communications	Kevin Joe Roberts	Vice-President, Insurance Operations & Agent Servi
Jeffrey Lee Smith MAAA, FCA	Consulting Actuary		

### DIRECTORS OR TRUSTEES

Glenn Edward Suever	Stephen Randal Desselles	Mary Frances Applegate	David Allan Van Order
Christopher Barry Phelan	David James Syrota	Dianna Jean Wolfe	Kenneth Eugene Milliser, Jr.
Stanna Kay Funk			

State of..... Ohio  
County of..... Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>Mary Frances Applegate</u> (Signature)	<u>Stephen Randal Desselles</u> (Signature)	<u>Kevin Clare Hecker</u> (Signature)
Mary Frances Applegate	Stephen Randal Desselles	Kevin Clare Hecker
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
UCT President	UCT Secretary/Treasurer	Chief Executive Officer
(Title)	(Title)	(Title)

Subscribed and sworn to before me

This 12 day of August 2020

Denise Shany



a. Is this an original filing?  
b. If no.

1. State the amendment number
2. Date filed
3. Number of pages attached

Yes [ X ] No [ ]

Notary Public, State of Ohio  
My Commission Expires 8-25-2020

## ASSETS

	Current Statement Date			4
	1	2	3	December 31 Prior Year Net Admitted Assets
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	10,575,218	0	10,575,218	11,719,136
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$.....1,997,139), cash equivalents (\$.....443,001) and short-term investments (\$.....0).....	2,440,141	0	2,440,141	1,133,947
6. Contract loans (including \$.....0 premium notes).....	673,589	0	673,589	712,710
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	0	0	0	0
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	13,688,948	0	13,688,948	13,565,793
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	71,534	0	71,534	85,396
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	31,949	0	31,949	28,357
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	61,272	0	61,272	57,833
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	383,333	0	383,333	188,915
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	63,404	0	63,404	63,404
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	0	0	0	0
19. Guaranty funds receivable or on deposit.....	0	0	0	0
20. Electronic data processing equipment and software.....	234,563	63,304	171,259	73,206
21. Furniture and equipment, including health care delivery assets (\$.....0).....	16,038	16,038	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	0	0	0	0
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	391,985	391,985	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	14,943,026	471,327	14,471,699	14,062,904
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	14,943,026	471,327	14,471,699	14,062,904

### DETAILS OF WRITE-INS

1101.....	0	0	0	0
1102.....	0	0	0	0
1103.....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Other Assets Nonadmitted.....	244,794	244,794	0	0
2502. Commission Advances.....	67,476	67,476	0	0
2503. Supply Inventory.....	79,715	79,715	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	391,985	391,985	0	0

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....2,647,034 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	2,647,034	2,638,811
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	954,138	915,262
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	15,883	17,568
4. Contract claims:		
4.1 Life.....	46,654	22,489
4.2 Accident and health.....	994,830	1,002,246
5. Policyholders' dividends/refunds to members \$.....0 and coupons \$.....0 due and unpaid.....	0	0
6. Provision for policyholders' dividends/refunds to members and coupons payable in following calendar year - estimated amounts:		
6.1 Policyholder's dividends/refunds to members apportioned for payment (including \$.....0 Modco).....	0	0
6.2 Policyholder's dividends/refunds to members not yet apportioned (including \$.....0 Modco).....	0	0
6.3 Coupons and similar benefits (including \$.....0 Modco).....	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6.....	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	74,457	106,245
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....	0	0
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....	0	0
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded.....	0	0
9.4 Interest Maintenance Reserve.....	58,422	60,488
10. Commissions to agents due or accrued - life and annuity contracts \$....232, accident and health \$....11,370 and deposit-type contract funds \$.....0.....	11,602	15,059
11. Commissions and expense allowances payable on reinsurance assumed.....	0	0
12. General expenses due or accrued.....	657,185	438,026
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....	0	0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	19,986	16,922
15.1 Current federal and foreign income taxes, including \$.....0 on realized capital gains (losses).....	0	0
15.2 Net deferred tax liability.....	0	0
16. Unearned investment income.....	0	0
17. Amounts withheld or retained by reporting entity as agent or trustee.....	100,464	49,720
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....	0	0
19. Remittances and items not allocated.....	8,938	15,736
20. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0
21. Liability for benefits for employees and agents if not included above.....	0	0
22. Borrowed money \$.....0 and interest thereon \$.....0.....	0	0
23. Dividends to stockholders declared and unpaid.....	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	44,915	44,664
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....	0	0
24.04 Payable to parent, subsidiaries and affiliates.....	0	0
24.05 Drafts outstanding.....	0	0
24.06 Liability for amounts held under uninsured plans.....	0	0
24.07 Funds held under coinsurance.....	0	0
24.08 Derivatives.....	0	0
24.09 Payable for securities.....	0	0
24.10 Payable for securities lending.....	0	0
24.11 Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	1,163,730	1,146,751
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	6,798,238	6,489,987
27. From Separate Accounts statement.....	0	0
28. Total liabilities (Lines 26 and 27).....	6,798,238	6,489,987
29. Common capital stock.....	0	0
30. Preferred capital stock.....	0	0
31. Aggregate write-ins for other-than-special surplus funds.....	0	0
32. Surplus notes.....	0	0
33. Gross paid in and contributed surplus.....	0	0
34. Aggregate write-ins for special surplus funds.....	25,000	25,000
35. Unassigned funds (surplus).....	7,648,461	7,547,917
36. Less treasury stock, at cost:		
36.1 ....0.000 shares common (value included in Line 29 \$.....0).....	0	0
36.2 ....0.000 shares preferred (value included in Line 30 \$.....0).....	0	0
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	7,673,461	7,572,917
38. Totals of Lines 29, 30 and 37.....	7,673,461	7,572,917
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	14,471,699	14,062,904

## DETAILS OF WRITE-INS

2501. Amounts Payable to Reinsurer.....	911,181	849,680
2502. Deferred Income.....	38,352	0
2503. Unclaimed Funds.....	214,197	297,071
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	1,163,730	1,146,751
3101. ....	0	0
3102. ....	0	0
3103. ....	0	0
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401. Fraternal Fund.....	25,000	25,000
3402. ....	0	0
3403. ....	0	0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	25,000	25,000

## SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	6,347,903	6,254,334	12,408,704
2. Considerations for supplementary contracts with life contingencies.....	0	0	0
3. Net investment income.....	176,514	208,183	395,548
4. Amortization of Interest Maintenance Reserve (IMR).....	2,067	4,706	9,285
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....	0	0	0
6. Commissions and expense allowances on reinsurance ceded.....	1,606,374	1,815,334	3,542,699
7. Reserve adjustments on reinsurance ceded.....	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	0	0	0
8.2 Charges and fees for deposit-type contracts.....	0	0	0
8.3 Aggregate write-ins for miscellaneous income.....	380,563	556,363	893,091
9. Totals (Lines 1 to 8.3).....	8,513,421	8,838,920	17,249,327
10. Death benefits.....	85,528	97,061	186,150
11. Matured endowments (excluding guaranteed annual pure endowments).....	2,000	0	1,280
12. Annuity benefits.....	9,549	79,144	90,766
13. Disability benefits and benefits under accident and health contracts.....	3,620,254	4,209,234	8,139,500
14. Coupons, guaranteed annual pure endowments and similar benefits.....	0	0	0
15. Surrender benefits and withdrawals for life contracts.....	4,955	137,059	156,725
16. Group conversions.....	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds.....	3	176	338
18. Payments on supplementary contracts with life contingencies.....	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts.....	47,102	(155,884)	11,428
20. Totals (Lines 10 to 19).....	3,769,391	4,366,790	8,586,187
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	1,328,693	1,326,490	2,642,370
22. Commissions and expense allowances on reinsurance assumed.....	0	0	0
23. General insurance expenses and fraternal expenses.....	3,433,790	3,433,806	6,925,891
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	89,249	158,618	298,169
25. Increase in loading on deferred and uncollected premiums.....	1,887	(2,013)	(723)
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	0	0	0
27. Aggregate write-ins for deductions.....	0	0	0
28. Totals (Lines 20 to 27).....	8,623,010	9,283,691	18,451,894
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	(109,590)	(444,771)	(1,202,567)
30. Dividends to policyholders and refunds to members.....	0	0	0
31. Net gain from operations after dividends to policyholders, refunds to members and before federal income taxes (Line 29 minus Line 30).....	(109,590)	(444,771)	(1,202,567)
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	0	0	0
33. Net gain from operations after dividends to policyholders, refunds to members and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	(109,590)	(444,771)	(1,202,567)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....0 (excluding taxes of \$.....0 transferred to the IMR).....	228,786	74,582	97,821
35. Net income (Line 33 plus Line 34).....	119,197	(370,189)	(1,104,746)
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
36. Capital and surplus, December 31, prior year.....	7,572,917	8,254,050	8,254,050
37. Net income (Line 35).....	119,197	(370,189)	(1,104,746)
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	0	0	0
39. Change in net unrealized foreign exchange capital gain (loss).....	(59,400)	67,267	74,783
40. Change in net deferred income tax.....	0	0	0
41. Change in nonadmitted assets.....	40,999	37,324	346,929
42. Change in liability for reinsurance in unauthorized and certified companies.....	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....	0	0	0
44. Change in asset valuation reserve.....	(251)	7,544	1,900
45. Change in treasury stock.....	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....	0	0	0
47. Other changes in surplus in Separate Accounts Statement.....	0	0	0
48. Change in surplus notes.....	0	0	0
49. Cumulative effect of changes in accounting principles.....	0	0	0
50. Capital changes:			
50.1 Paid in.....	0	0	0
50.2 Transferred from surplus (Stock Dividend).....	0	0	0
50.3 Transferred to surplus.....	0	0	0
51. Surplus adjustment:			
51.1 Paid in.....	0	0	0
51.2 Transferred to capital (Stock Dividend).....	0	0	0
51.3 Transferred from capital.....	0	0	0
51.4 Change in surplus as a result of reinsurance.....	0	0	0
52. Dividends to stockholders.....	0	0	0
53. Aggregate write-ins for gains and losses in surplus.....	0	0	0
54. Net change in capital and surplus (Lines 37 through 53).....	100,544	(258,054)	(681,134)
55. Capital and surplus as of statement date (Lines 36 + 54).....	7,673,461	7,995,997	7,572,917
<b>DETAILS OF WRITE-INS</b>			
08.301. Donations.....	5,573	4,252	30,897
08.302. Supreme Dues.....	314,612	324,079	626,894
08.303. Miscellaneous Income.....	53,219	166,140	235,300
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	7,159	61,892	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	380,563	556,363	893,091
2701. ....	0	0	0
2702. ....	0	0	0
2703. ....	0	0	0
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	0	0	0
5301. ....	0	0	0
5302. ....	0	0	0
5303. ....	0	0	0
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	6,307,198	6,249,172	12,409,696
2. Net investment income.....	215,297	340,195	428,613
3. Miscellaneous income.....	1,986,937	2,371,697	4,435,790
4. Total (Lines 1 through 3).....	8,509,432	8,961,064	17,274,098
5. Benefit and loss related payments.....	3,899,958	4,756,438	8,384,125
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	4,615,987	5,259,469	10,398,850
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9).....	8,515,945	10,015,907	18,782,975
11. Net cash from operations (Line 4 minus Line 10).....	(6,513)	(1,054,843)	(1,508,877)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	2,106,938	2,229,620	3,005,637
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	2,718
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	2,106,938	2,229,620	3,008,355
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	661,213	549,173	1,277,035
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	400,000	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	661,213	949,173	1,277,035
14. Net increase or (decrease) in contract loans and premium notes.....	(39,121)	(1,186)	(33,916)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	1,484,846	1,281,633	1,765,236
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	(4,923)
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	(172,139)	(98,892)	172,474
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(172,139)	(98,892)	167,551
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	1,306,194	127,898	423,910
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,133,947	710,038	710,037
19.2 End of period (Line 18 plus Line 19.1).....	2,440,141	837,936	1,133,947
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001 .....	0	0	0

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....	0	0	0
2. Ordinary life insurance.....	315,596	331,479	654,468
3. Ordinary individual annuities.....	15,950	11,612	18,312
4. Credit life (group and individual).....	0	0	0
5. Group life insurance.....	0	0	0
6. Group annuities.....	0	0	0
7. A&H - group.....	0	0	0
8. A&H - credit (group and individual).....	0	0	0
9. A&H - other.....	20,729,703	22,675,525	44,109,749
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal (Lines 1 through 10).....	21,061,249	23,018,616	44,782,529
12. Fraternal ( Fraternal Benefit Societies Only).....	0	0	0
13. Subtotal (Lines 11 through 12).....	21,061,249	23,018,616	44,782,529
14. Deposit-type contracts.....	0	0	0
15. Total (Lines 13 and 14).....	21,061,249	23,018,616	44,782,529

**DETAILS OF WRITE-INS**

1001. ....	0	0	0
1002. ....	0	0	0
1003. ....	0	0	0
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

**NOTES TO FINANCIAL STATEMENTS****Note 1 – Summary of Significant Accounting Policies and Going Concern**

## A. Accounting Practices

The financial statements of The Order of United Commercial Travelers of America (UCT) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual, version effective March 2019, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. UCT has no transactions that fall outside the NAIC's practices and procedures.

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
<b>NET INCOME</b>					
(1) The Order Of United Commercial Travelers Of America Company state basis (Page 4, Line 35, Columns 1 & 3)	XXX	XXX	XXX	\$ 119,197	\$ (1,104,746)
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 119,197	\$ (1,104,746)
<b>SURPLUS</b>					
(5) The Order Of United Commercial Travelers Of America Company state basis (Page 3, line 38, Columns 1 & 2)	XXX	XXX	XXX	\$ 7,673,461	\$ 7,572,917
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP				\$ 0	\$ 0
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 7,673,461	\$ 7,572,917

## B. Use of Estimates in the Preparation of the Financial Statement

No significant changes

## C. Accounting Policy

- (1) Basis for Short-Term Investments  
No significant changes
- (2) Basis for Bonds, Mandatory Convertible Securities, SVO-Identified Investments and Amortization Method  
Bonds not backed by other loans are stated at amortized cost using the scientific-to-worst amortization method.
- (3) Basis for Common Stocks  
No significant changes
- (4) Basis for Preferred Stocks  
No significant changes
- (5) Basis for Mortgage Loans  
No significant changes
- (6) Basis for Loan-Backed Securities and Adjustment Methodology  
Bonds backed by other loans are stated at amortized cost using the scientific-to-worst amortization method.
- (7) Accounting Policies for Investments in Subsidiaries, Controlled and Affiliated Entities  
No significant changes
- (8) Accounting Policies for Investments in Joint Ventures, Partnerships and Limited Liability Entities  
No significant changes
- (9) Accounting Policies for Derivatives  
No significant changes
- (10) Anticipated Investment Income Used in Premium Deficiency Calculation  
No significant changes
- (11) Management's Policies and Methodologies for Estimating Liabilities for Losses and Loss/Claim Adjustment Expenses  
No significant changes
- (12) Changes in the Capitalization Policy and Predefined Thresholds from Prior Period  
No significant changes
- (13) Method Used to Estimate Pharmaceutical Rebate Receivables  
No significant changes

## D. Going Concern

N/A

**Note 2 – Accounting Changes and Corrections of Errors**

No significant changes

**Note 3 – Business Combinations and Goodwill**

No significant changes

**Note 4 – Discontinued Operations**

No significant changes

**Note 5 – Investments**

A. Mortgage Loans, including Mezzanine Real Estate Loans - None

B. Debt Restructuring - None

**NOTES TO FINANCIAL STATEMENTS**

C. Reverse Mortgages - None

D. Loan-Backed Securities

(1) Description of Sources Used to Determine Prepayment Assumptions

Prepayment assumptions for loan-backed securities are obtained from Bloomberg.

(2) Securities with Recognized Other-Than-Temporary Impairments - None

(3) Recognized OTTI securities - None

(4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a. The aggregate amount of unrealized losses:	1. Less than 12 Months	\$	0
	2. 12 Months or Longer	\$	0
b. The aggregate related fair value of securities with unrealized losses:	1. Less than 12 Months	\$	0
	2. 12 Months or Longer	\$	0

(5) Information Investor Considered in Reaching Conclusion that Impairments are Not Other-Than-Temporary

The Company has an "Other Than Temporary Impaired" policy in place that utilizes industry information, investment managers' expertise and rating agencies to identify securities that may be other than temporarily impaired.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions - None

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Repurchase Transaction – Cash Taker – Overview of Secured Borrowing Transactions - None

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Repurchase Transactions – Cash Provider – Overview of Secured Borrowing Transactions - None

H. Repurchase Agreements Transactions Accounted for as a Sale

Repurchase Transaction – Cash Taker – Overview of Sale Transactions - None

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Repurchase Transaction – Cash Provider – Overview of Sale Transactions - None

J. Real Estate

No significant changes

K. Low-Income Housing Tax Credits (LIHTC)

No significant changes

L. Restricted Assets

No significant changes

M. Working Capital Finance Investments

(1) Aggregate Working Capital Finance Investments (WCFI) Book/Adjusted Carrying Value by NAIC Designation

No significant changes

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
a. Up to 180 Days	\$ 0
b. 181 to 365 Days	0
c. Total	\$ 0

(3) Any Events of Default or Working Capital Finance Investments

N. Offsetting and Netting of Assets and Liabilities - None

O. 5GI Securities

No significant changes

P. Short Sales

No significant changes

Q. Prepayment Penalty and Acceleration Fees

No significant changes

**Note 6 – Joint Ventures, Partnerships and Limited Liability Companies**

No significant changes

**Note 7 – Investment Income**

No significant changes

**Note 8 – Derivative Instruments**

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## NOTES TO FINANCIAL STATEMENTS

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The Order does not have any derivative instruments.

### **Note 9 – Income Taxes**

No significant changes

### **Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant changes

### **Note 11 – Debt**

A. Debt Including Capital Notes

No significant changes

B. FHLB (Federal Home Loan Bank) Agreements

(1) Information on the Nature of the Agreement

(2) FHLB Capital Stock - N/A

### **Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

A. Defined Benefit Plan

(1) Change in Benefit Obligation

No significant changes

(2) Change in Plan Assets

No significant changes

(3) Funded Status

No significant changes

(4) Components of Net Periodic Benefit Cost - The company sponsors a qualified defined contribution plan (401K Profit Sharing Plan) that covers all eligible U.S. employees. Eligible Canadian employees participate in Individual RRSP accounts in Canada.

### **Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

No significant changes

### **Note 14 – Liabilities, Contingencies and Assessments**

No significant changes

### **Note 15 – Leases**

No significant changes

### **Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

No significant changes

### **Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

A. Transfers of Receivables Reported as Sales

No significant changes

B. Transfer and Servicing of Financial Assets - None

C. Wash Sales - None

### **Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

No significant changes

### **Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes

### **Note 20 – Fair Value Measurements**

A. Fair Value Measurements

(1) Fair Value Measurements at Reporting Date

The Company holds bonds at amortized cost.

### **Note 21 – Other Items**

No significant changes

### **Note 22 – Events Subsequent**

Other subsequent events have been considered through 8/11/2020 for these statutory financial statements which are to be issued on 08/13/2020.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 23 – Reinsurance**

No significant changes

**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

- A. Method Used by the Reporting Entity to Estimate Accrued Retrospective Premium Adjustments - Not applicable
- B. Disclose Whether Accrued Retrospective Premiums are Recorded Through Written Premium or as an Adjustment to Earned Premium - Not applicable
- C. Disclose the Amount of Net Premiums Written Subject to Retrospective Rating Features - Not applicable
- D. Medical Loss Ratio Rebates Required Pursuant to the Public Health Service Act - Not applicable
- E. Risk Sharing Provisions of the Affordable Care Act

- (1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions?  
Yes [ ] No [ X ]

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

- A. Change in Incurred Losses and Loss Adjustment Expenses  
  
The Company did not have any changes in the provision for incurred loss or loss adjustment expenses.
- B. Information about Significant Changes in Methodologies and Assumptions

**Note 26 – Intercompany Pooling Arrangements**

No significant changes

**Note 27 – Structured Settlements**

No significant changes

**Note 28 – Health Care Receivables**

No significant changes

**Note 29 – Participating Policies**

No significant changes

**Note 30 – Premium Deficiency Reserves**

No significant changes

**Note 31 – Reserves for Life Contracts and Deposit-Type Contracts**

No significant changes

**Note 32 – Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant changes

**Note 33 – Analysis of Life Actuarial Reserves by Withdrawal Characteristics**

No significant changes

**Note 34 – Premium and Annuity Considerations Deferred and Uncollected**

No significant changes

**Note 35 – Separate Accounts**

No significant changes

**Note 36 – Loss/Claim Adjustment Expenses**

No significant changes

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [X]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1              | 2                 | 3                 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
|                | 0                 |                   |
5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [X]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/13/2019
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].
- | 1              | 2                      | 3   | 4   | 5    | 6   |
|----------------|------------------------|-----|-----|------|-----|
| Affiliate Name | Location (City, State) | FRB | OCC | FDIC | SEC |
|                |                        |     |     |      |     |
- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

## GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

## FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

## INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	0	0
14.23 Common Stock	0	0
14.24 Short-Term Investments	0	0
14.25 Mortgage Loans on Real Estate	0	0
14.26 All Other	0	0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank National Association	1555 N Riber Center Drive Suite 392; Milwaukee, WI 43212

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
Scotia Trust	Exchange Tower, 130 King Street West, 20th Floor, Toronto, ON M5X 1K1	Canadian investments are in compliance with OSFI.

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No

- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such "[...that have access to the investment accounts", "handle securities"]].

1 Name of Firm or Individual	2 Affiliation
Sun Life Capital Management, LLC	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109684	Sun Life Capital Management (U.S.) LLC	5493001YL0M8HWNPEN55	SEC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes  No

**GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES**

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The security was purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

# The Order Of United Commercial Travelers Of America GENERAL INTERROGATORIES (continued)

## PART 2 - LIFE AND ACCIDENT AND HEALTH COMPANIES/FRATERNAL BENEFIT SOCIETIES

### Life and Accident and Health Companies/Fraternal Benefit Societies

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:		Amount
1.1 Long-term mortgages in good standing		\$
1.11 Farm mortgages.....		.....0
1.12 Residential mortgages.....		.....0
1.13 Commercial mortgages.....		.....0
1.14 Total mortgages in good standing.....		.....0
1.2 Long-term mortgages in good standing with restructured terms		
1.21 Total mortgages in good standing with restructured terms.....		.....0
1.3 Long-term mortgage loans upon which interest is overdue more than three months		
1.31 Farm mortgages.....		.....0
1.32 Residential mortgages.....		.....0
1.33 Commercial mortgages.....		.....0
1.34 Total mortgages with interest overdue more than three months.....		.....0
1.4 Long-term mortgage loans in process of foreclosure		
1.41 Farm mortgages.....		.....0
1.42 Residential mortgages.....		.....0
1.43 Commercial mortgages.....		.....0
1.44 Total mortgages in process of foreclosure.....		.....0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)		.....0
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter		
1.61 Farm mortgages.....		.....0
1.62 Residential mortgages.....		.....0
1.63 Commercial mortgages.....		.....0
1.64 Total mortgages foreclosed and transferred to real estate.....		.....0
2. Operating Percentages:		
2.1 A&H loss percent.....		.....58.5
2.2 A&H cost containment percent.....		.....0.0
2.3 A&H expense percent excluding cost containment expenses.....		.....47.6
3.1 Do you act as a custodian for health savings accounts?.....	Yes [ ]	No [ X ]
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....		\$.....0
3.3 Do you act as an administrator for health savings accounts?.....	Yes [ ]	No [ X ]
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....		\$.....0
4. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?.....	Yes [ X ]	No [ ]
4.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?.....	Yes [ ]	No [ ]

### Fraternal Benefit Societies Only:

- 5.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ X ] No [ ] N/A [ ]
- 5.2 If no, explain:

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- 6.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [ X ]
- 6.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amount
	.....0

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating

NONE

# The Order Of United Commercial Travelers Of America SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.		1 Active Status (a)	Direct Business Only					
			Life Contracts		4 A&H Insurance Premiums, Including Policy Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama.....	AL	L	4,075	0	279,751	0	283,826	0
2. Alaska.....	AK	N	133	0	3,927	0	4,060	0
3. Arizona.....	AZ	L	1,894	0	600,491	0	602,385	0
4. Arkansas.....	AR	L	2,408	0	592,084	0	594,492	0
5. California.....	CA	L	17,558	0	99,189	0	116,746	0
6. Colorado.....	CO	L	375	4,600	950,840	0	955,815	0
7. Connecticut.....	CT	L	2,109	0	6,483	0	8,592	0
8. Delaware.....	DE	L	0	0	5,231	0	5,231	0
9. District of Columbia.....	DC	L	0	0	0	0	0	0
10. Florida.....	FL	L	24,761	0	997,626	0	1,022,387	0
11. Georgia.....	GA	L	12,748	0	166,924	0	179,672	0
12. Hawaii.....	HI	N	0	0	664	0	664	0
13. Idaho.....	ID	L	0	0	1,142,243	0	1,142,243	0
14. Illinois.....	IL	L	18,249	0	912,131	0	930,381	0
15. Indiana.....	IN	L	16,362	0	1,346,736	0	1,363,098	0
16. Iowa.....	IA	L	3,056	0	585,553	0	588,610	0
17. Kansas.....	KS	L	3,447	900	131,235	0	135,582	0
18. Kentucky.....	KY	L	8,784	0	52,730	0	61,514	0
19. Louisiana.....	LA	L	8,758	0	573,071	0	581,829	0
20. Maine.....	ME	N	0	0	3,607	0	3,607	0
21. Maryland.....	MD	L	1,033	0	17,938	0	18,971	0
22. Massachusetts.....	MA	L	948	0	29,308	0	30,256	0
23. Michigan.....	MI	L	34,075	0	477,473	0	511,547	0
24. Minnesota.....	MN	L	616	0	49,543	0	50,160	0
25. Mississippi.....	MS	L	12,285	0	1,339,552	0	1,351,837	0
26. Missouri.....	MO	L	7,009	0	290,318	0	297,328	0
27. Montana.....	MT	L	192	0	431,491	0	431,683	0
28. Nebraska.....	NE	L	5,777	0	2,027,987	0	2,033,763	0
29. Nevada.....	NV	L	971	0	181,066	0	182,038	0
30. New Hampshire.....	NH	L	519	0	7,315	0	7,835	0
31. New Jersey.....	NJ	L	5,823	0	5,371	0	11,194	0
32. New Mexico.....	NM	N	20	0	11,739	0	11,759	0
33. New York.....	NY	L	677	0	36,060	0	36,737	0
34. North Carolina.....	NC	L	15,388	0	517,360	0	532,748	0
35. North Dakota.....	ND	L	2,282	0	380,265	0	382,546	0
36. Ohio.....	OH	L	27,116	0	681,045	0	708,162	0
37. Oklahoma.....	OK	L	5,693	10,000	166,788	0	182,481	0
38. Oregon.....	OR	L	8,224	0	880,097	0	888,321	0
39. Pennsylvania.....	PA	L	14,789	250	319,736	0	334,775	0
40. Rhode Island.....	RI	L	925	0	2,714	0	3,639	0
41. South Carolina.....	SC	L	2,062	0	196,025	0	198,087	0
42. South Dakota.....	SD	L	3,225	0	162,084	0	165,309	0
43. Tennessee.....	TN	L	11,363	200	139,751	0	151,314	0
44. Texas.....	TX	L	26,073	0	519,580	0	545,653	0
45. Utah.....	UT	L	569	0	329,671	0	330,240	0
46. Vermont.....	VT	L	0	0	3,043	0	3,043	0
47. Virginia.....	VA	L	7,880	0	974,882	0	982,762	0
48. Washington.....	WA	N	0	0	53,986	0	53,986	0
49. West Virginia.....	WV	L	4,756	0	520,098	0	524,854	0
50. Wisconsin.....	WI	L	5,784	0	856,658	0	862,442	0
51. Wyoming.....	WY	L	294	0	470,015	0	470,309	0
52. American Samoa.....	AS	N	0	0	0	0	0	0
53. Guam.....	GU	N	0	0	0	0	0	0
54. Puerto Rico.....	PR	N	0	0	0	0	0	0
55. US Virgin Islands.....	VI	N	0	0	0	0	0	0
56. Northern Mariana Islands.....	MP	N	0	0	0	0	0	0
57. Canada.....	CAN	L	0	0	429	0	429	0
58. Aggregate Other Alien.....	OT	L	0	0	0	0	0	0
59. Subtotal.....	XXX		331,085	15,950	20,529,906	0	20,876,941	0
90. Reporting entity contributions for employee benefit plans.....	XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period.....	XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions.....	XXX		0	0	0	0	0	0
94. Aggregate other amounts not allocable by State.....	XXX		0	0	0	0	0	0
95. Totals (Direct Business).....	XXX		331,085	15,950	20,529,906	0	20,876,941	0
96. Plus Reinsurance Assumed.....	XXX		0	0	0	0	0	0
97. Totals (All Business).....	XXX		331,085	15,950	20,529,906	0	20,876,941	0
98. Less Reinsurance Ceded.....	XXX		248,069	10,783	14,310,891	0	14,569,743	0
99. Totals (All Business) less Reinsurance Ceded.....	XXX		83,016	5,167	6,219,015	0	6,307,198	0

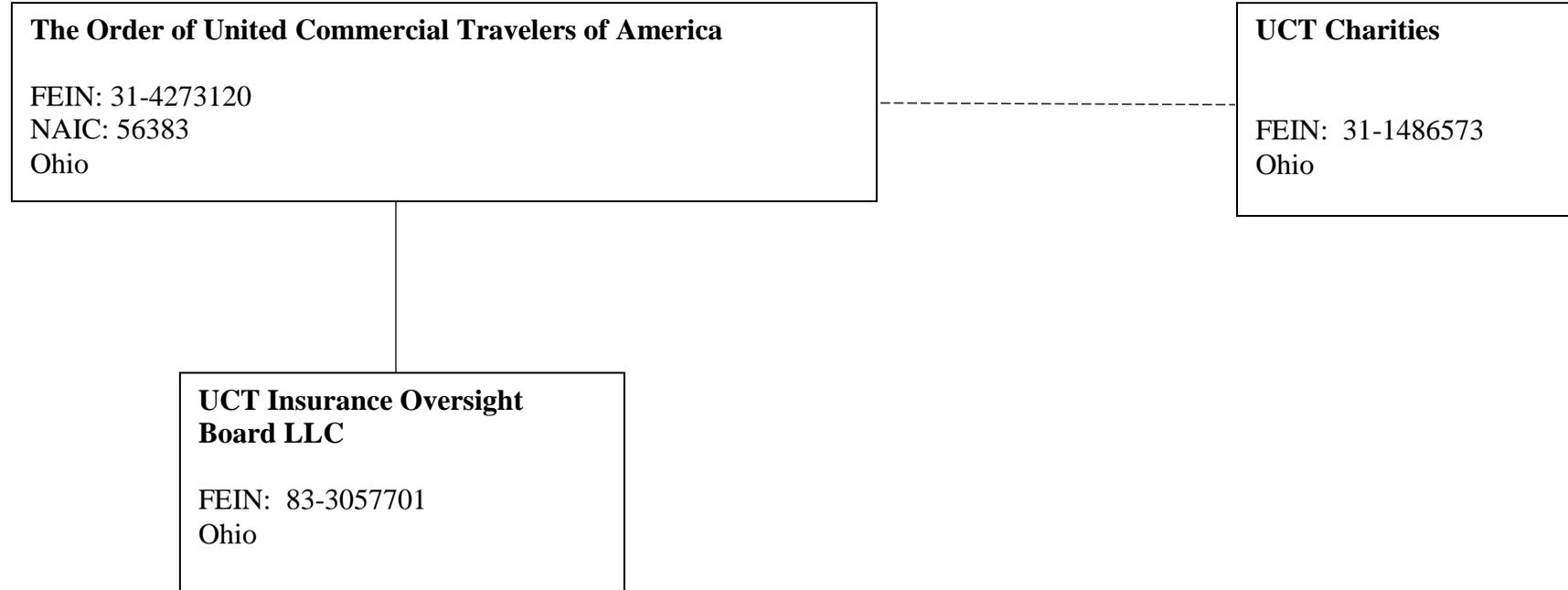
**DETAILS OF WRITE-INS**

58001.....	XXX		0	0	0	0	0	0
58002.....	XXX		0	0	0	0	0	0
58003.....	XXX		0	0	0	0	0	0
58998. Summary of remaining write-ins for line 58 from overflow page.....	XXX		0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	XXX		0	0	0	0	0	0
9401.....	XXX		0	0	0	0	0	0
9402.....	XXX		0	0	0	0	0	0
9403.....	XXX		0	0	0	0	0	0
9498. Summary of remaining write-ins for line 94 from overflow page.....	XXX		0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	XXX		0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	47
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0

R - Registered - Non-domiciled RRGs.....	0
Q - Qualified - Qualified or accredited reinsurer.....	0
N - None of the above - Not allowed to write business in the state.....	10



**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
0.....		56383..	31-4273120..	.....0	.....0		The Order of United Commercial Travelers of America	OH.....	RE.....	The Order of United Commercial Travelers of America	Board.....	.....0.000	The Order of United Commercial Travelers of America	.....N.....	0.....
0.....		0.....	31-1486573..	.....0	.....0		UCT Charities.....	OH.....	OTH.....	The Order of United Commercial Travelers of America	Other.....	.....0.000	The Order of United Commercial Travelers of America	.....N.....	1.....
0.....		0.....	83-3057701..	.....0	.....0		UCT Insurance Oversight Board LLC.....	OH.....	DS.....	The Order of United Commercial Travelers of America	Ownership.....	.....100.000	The Order of United Commercial Travelers of America	.....N.....	0.....

**Aster Explanation**

1	This entity is a 501(c)(3) charitable organization that provides scholarships. The Borad of Directors of UCT Charities is appointed by the Bpard of The Order of United Commercial Travelers of America.
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# The Order Of United Commercial Travelers Of America

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
8. Will the Life PBR Statement of Exemption be filed with the state of domicile by July 1st and electronically with the NAIC with the second quarterly filing per the Valuation Manual (by August 15)? (2nd Quarterly Only). The response for 1st and 3rd quarters should be N/A. A NO response resulting with a barcode is only appropriate in the 2nd quarter.	YES

**Explanations:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.
5. The data for this supplement is not required to be filed.
6. The data for this supplement is not required to be filed.
7. The data for this supplement is not required to be filed.
- 8.

**Bar Code:**



Statement as of June 30, 2020 of the **The Order Of United Commercial Travelers Of America**  
**Overflow Page for Write-Ins**

**Additional Write-ins for Summary of Operations:**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
08.304. Canadian Discontinuation.....	7,159	.61,892	.0
08.397. Summary of remaining write-ins for Line 8.3.....	7,159	.61,892	.0

Statement as of June 30, 2020 of the **The Order Of United Commercial Travelers Of America**  
**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Current year change in encumbrances.....	.0	.0
4. Total gain (loss) on disposals.....	.0	.0
5. Deduct amounts received on disposals.....	.0	.0
6. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
7. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
8. Deduct current year's depreciation.....	.0	.0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	.0	.0
10. Deduct total nonadmitted amounts.....	.0	.0
11. Statement value at end of current period (Line 9 minus Line 10).....	.0	.0

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	.0	.0
6. Total gain (loss) on disposals.....	.0	.0
7. Deduct amounts received on disposals.....	.0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	.0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Total valuation allowance.....	.0	.0
13. Subtotal (Line 11 plus Line 12).....	.0	.0
14. Deduct total nonadmitted amounts.....	.0	.0
15. Statement value at end of current period (Line 13 minus Line 14).....	.0	.0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.0	.0
2.2 Additional investment made after acquisition.....	.0	.0
3. Capitalized deferred interest and other.....	.0	.0
4. Accrual of discount.....	.0	.0
5. Unrealized valuation increase (decrease).....	.0	.0
6. Total gain (loss) on disposals.....	.0	.0
7. Deduct amounts received on disposals.....	.0	.0
8. Deduct amortization of premium and depreciation.....	.0	.0
9. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
10. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.0	.0
12. Deduct total nonadmitted amounts.....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12).....	.0	.0

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	11,719,136	13,314,481
2. Cost of bonds and stocks acquired.....	813,196	1,277,034
3. Accrual of discount.....	4,300	8,156
4. Unrealized valuation increase (decrease).....	.0	.0
5. Total gain (loss) on disposals.....	(113,644)	(49,826)
6. Deduct consideration for bonds and stocks disposed of.....	2,106,941	3,010,077
7. Deduct amortization of premium.....	17,421	38,254
8. Total foreign exchange change in book/adjusted carrying value.....	264,792	213,182
9. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....	11,800	4,440
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	10,575,218	11,719,136
12. Deduct total nonadmitted amounts.....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12).....	10,575,218	11,719,136

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	9,341,490	1,661,729	2,844,183	157,350	9,341,490	8,316,386		9,910,567
2. NAIC 2 (a).....	1,855,715	199,267	99,603	99,574	1,855,715	2,054,953		1,808,569
3. NAIC 3 (a).....	204,070	0	0	(191)	204,070	203,879		0
4. NAIC 4 (a).....	0	0	0	0	0	0		0
5. NAIC 5 (a).....	0	0	0	0	0	0		0
6. NAIC 6 (a).....	0	0	0	0	0	0		0
7. Total Bonds.....	11,401,275	1,860,996	2,943,786	256,733	11,401,275	10,575,218	0	11,719,136
<b>PREFERRED STOCK</b>								
8. NAIC 1.....	0	0	0	0	0	0		0
9. NAIC 2.....	0	0	0	0	0	0		0
10. NAIC 3.....	0	0	0	0	0	0		0
11. NAIC 4.....	0	0	0	0	0	0		0
12. NAIC 5.....	0	0	0	0	0	0		0
13. NAIC 6.....	0	0	0	0	0	0		0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	11,401,275	1,860,996	2,943,786	256,733	11,401,275	10,575,218	0	11,719,136

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	.0	X	.0	.0	.0

NONE

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	.0
2. Cost of short-term investments acquired.....	.0	611,428
3. Accrual of discount.....	.0	5,186
4. Unrealized valuation increase (decrease).....	.0	.0
5. Total gain (loss) on disposals.....	.0	2,718
6. Deduct consideration received on disposals.....	.0	619,332
7. Deduct amortization of premium.....	.0	.0
8. Total foreign exchange change in book/adjusted carrying value.....	.0	.0
9. Deduct current year's other-than-temporary impairment recognized.....	.0	.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.0	.0
11. Deduct total nonadmitted amounts.....	.0	.0
12. Statement value at end of current period (Line 10 minus Line 11).....	.0	.0

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

## Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	170,595	147,294
2. Cost of cash equivalents acquired.....	2,267,444	2,154,621
3. Accrual of discount.....	216	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	(317,762)	0
6. Deduct consideration received on disposals.....	1,677,492	2,131,320
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other-than-temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	443,001	170,595
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	443,001	170,595

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

**SCHEDULE D - PART 3**

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. Government</b>										
912828	4N	7		06/22/2020	BARCLAYS AMERICAN		58,838	50,000	152	1
912828	Z9	4		06/03/2020	CITIGROUP/ELECTRONIC		53,504	50,000	227	1
912828	ZS	2		06/22/2020	BANK OF AMERICA		49,893	50,000	16	1
0599999	Total - Bonds - U.S. Government						162,235	150,000	395	.XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>										
39081J	DV	7		05/01/2020	CITICORP/SMITHBARNEY		200,000	200,000	0	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments						200,000	200,000	0	.XXX
<b>Bonds - Industrial and Miscellaneous</b>										
031162	CW	8		05/06/2020	MKTX		99,481	100,000	13	2FE
501044	DP	3		04/21/2020	CITIGROUP/ELECTRONIC		99,786	100,000	0	2FE
89788M	AB	8		06/02/2020	SUNTRUST		99,711	100,000	0	1FE
3899999	Total - Bonds - Industrial and Miscellaneous						298,978	300,000	13	.XXX
8399997	Total - Bonds - Part 3						661,213	650,000	408	.XXX
8399999	Total - Bonds						661,213	650,000	408	.XXX
9999999	Total - Bonds, Preferred and Common Stocks						661,213	XXX	408	.XXX

QE04

**SCHEDULE D - PART 4**

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - All Other Government</b>																					
135087	UE 2 CANADA.....		05/08/2020	TD.....		165,710	154,685	300,133	170,058	0	(2,638)	0	(2,638)	46,234	213,654	(56,904)	8,960	(47,944)	6,568	06/01/2021	1FE.....
563469	DS 1 MANITOBA PROVINCE.....		05/08/2020	TD.....		194,686	147,319	225,980	163,617	0	(618)	0	(618)	44,483	207,481	(55,260)	42,465	(12,795)	4,332	12/22/2025	1FE.....
683234	SL 3 ONTARIO PROVINCE.....		05/08/2020	TD.....		68,895	47,879	66,653	51,565	0	(37)	0	(37)	14,019	65,548	(17,458)	20,805	3,347	1,903	03/08/2033	1FE.....
803854	JA 7 SASKATCHEWAN PROVINCE.....		05/08/2020	TD.....		51,856	36,830	50,116	39,095	0	(14)	0	(14)	10,629	49,710	(13,240)	15,386	2,146	1,468	09/05/2033	1FE.....
98704C	QT 6 YORK REGIONAL MUN.....		05/08/2020	ROYAL BANK OF CANADA.....		21,656	18,415	26,223	19,610	0	(11)	0	(11)	6,421	26,020	(7,729)	3,365	(4,364)	323	05/31/2032	1FE.....
1099999	Total - Bonds - All Other Government.....					502,803	405,128	669,105	443,945	0	(3,318)	0	(3,318)	121,786	562,413	(150,591)	90,981	(59,610)	14,594	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128M4	WK 5 FHLMC G03050.....		06/01/2020	MBS PMT.....		683	683	729	686	0	(3)	0	(3)	0	683	0	0	0	15	07/01/2037	1.....
3128M7	L4 6 FHLMC G05447.....		06/01/2020	MBS PMT.....		1,161	1,161	1,220	1,165	0	(5)	0	(5)	0	1,161	0	0	0	22	05/01/2039	1.....
3128M8	AV 6 FHLMC G06020.....		06/01/2020	MBS PMT.....		315	315	343	316	0	(2)	0	(2)	0	315	0	0	0	7	12/01/2039	1.....
3128MJ	S3 5 FHLMC G08537.....		06/01/2020	MBS PMT.....		6,070	6,070	5,982	6,066	0	4	0	4	0	6,070	0	0	0	7	07/01/2043	1.....
3128MJ	UA 6 FHLMC G08576.....		06/01/2020	MBS PMT.....		4,599	4,599	4,610	4,600	0	(1)	0	(1)	0	4,599	0	0	0	68	03/01/2044	1.....
3128MJ	VV 9 FHLMC G08627.....		06/01/2020	MBS PMT.....		13,573	13,573	14,170	13,627	0	(54)	0	(54)	0	13,573	0	0	0	203	02/01/2045	1.....
3129S2	B3 3 FHLMC C09058.....		06/01/2020	MBS PMT.....		2,327	2,327	2,429	2,335	0	(8)	0	(8)	0	2,327	0	0	0	39	03/01/2044	1.....
3132GK	F4 3 FHLMC Q04087.....		06/01/2020	MBS PMT.....		1,430	1,430	1,455	1,432	0	(2)	0	(2)	0	1,430	0	0	0	21	10/01/2041	1.....
3132GV	L6 7 FHLMC Q09949.....		06/01/2020	MBS PMT.....		2,076	2,076	2,179	2,080	0	(4)	0	(4)	0	2,076	0	0	0	28	08/01/2042	1.....
313383	HU 8 FHLB.....		06/12/2020	MATURITY.....		150,000	150,000	150,750	150,073	0	(73)	0	(73)	0	150,000	0	0	0	1,313	06/12/2020	1.....
3138AW	4W 0 FNMA #AJ5336.....		06/01/2020	MBS PMT.....		9,500	9,500	9,747	9,527	0	(27)	0	(27)	0	9,500	0	0	0	119	11/01/2026	1.....
3138M2	A3 0 FNMA #AO9925.....		06/01/2020	MBS PMT.....		2,636	2,636	2,818	2,647	0	(10)	0	(10)	0	2,636	0	0	0	40	07/01/2042	1.....
31398Q	ZS 9 FHLMC 3745 AV.....		06/01/2020	MBS PMT.....		7,609	7,609	8,277	7,647	0	(38)	0	(38)	0	7,609	0	0	0	127	09/15/2023	1.....
3140F9	WA 9 FNMA #BD2440.....		06/01/2020	MBS PMT.....		13,506	13,506	13,827	13,545	0	(39)	0	(39)	0	13,506	0	0	0	200	01/01/2047	1.....
3140J5	NM 7 FNMA #BM1295.....		06/01/2020	MBS PMT.....		5,247	5,247	5,539	5,279	0	(31)	0	(31)	0	5,247	0	0	0	97	06/01/2047	1.....
31417Y	TT 4 FNMA #MA0561.....		06/01/2020	MBS PMT.....		2,252	2,252	2,344	2,257	0	(5)	0	(5)	0	2,252	0	0	0	39	11/01/2040	1.....
31418C	WV 2 FNMA #MA3359.....		06/01/2020	MBS PMT.....		20,984	20,984	20,351	20,921	0	63	0	63	0	20,984	0	0	0	258	04/01/2038	1.....
31418R	GS 4 FNMA #AD3808.....		06/01/2020	MBS PMT.....		584	584	617	586	0	(2)	0	(2)	0	584	0	0	0	11	04/01/2040	1.....
783186	NE 5 RUTGERS UNIV NJ.....		05/01/2020	MATURITY.....		200,000	200,000	211,280	200,504	0	(504)	0	(504)	0	200,000	0	0	0	3,896	05/01/2020	1FE.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					444,552	444,552	458,667	445,293	0	(741)	0	(741)	0	444,552	0	0	0	6,581	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
039483	BB 7 ARCHER-DANIELS-MIDLAND.....		06/30/2020	CALL at 102.775.....		205,550	200,000	225,276	204,140	0	(1,750)	0	(1,750)	0	202,390	0	(2,390)	(2,390)	12,990	03/01/2021	1FE.....
871829	BF 3 SYSCO CORP.....		05/27/2020	BANK OF AMERICA.....		101,705	100,000	99,463	99,583	0	20	0	20	0	99,603	0	2,102	2,102	2,835	07/15/2027	2FE.....
949746	RX 1 WELLS FARGO & CO.....		05/08/2020	TD.....		44,178	44,196	45,721	45,566	0	32	0	32	341	45,939	(3,391)	1,630	(1,761)	615	05/19/2026	1FE.....
014443	AH 8 ALECTRA INC.....		06/22/2020	CIBC.....		46,399	36,830	48,956	38,491	0	0	0	0	10,465	48,956	(12,042)	9,485	(2,557)	1,076	12/06/2030	1FE.....
11257Z	AC 3 BROOKFIELD ASSET MGMT INC.....		05/08/2020	ROYAL BANK OF CANADA.....		38,054	36,830	51,056	38,484	0	1	0	1	12,589	51,074	(15,163)	2,143	(13,020)	989	03/31/2023	1FE.....
14006Z	AD 9 CAPITAL DESJARDINS INC.....		05/05/2020	MATURITY.....		70,982	73,659	97,912	76,982	0	0	0	0	20,929	97,912	(26,929)	0	(26,929)	1,841	05/05/2020	1FE.....
35085Z	BJ 4 407 INTL INC.....		05/08/2020	TD.....		76,180	73,659	91,978	76,951	0	3	0	3	15,067	92,021	(20,214)	4,373	(15,841)	1,174	05/16/2024	1FE.....
39191Z	AF 6 GREATER TORONTO ARPTS.....		05/08/2020	TD.....		103,448	73,659	99,449	77,793	0	(19)	0	(19)	21,150	98,924	(26,347)	30,872	4,525	2,880	10/15/2032	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					686,496	638,833	759,811	657,990	0	(1,713)	0	(1,713)	80,541	736,819	(104,086)	48,215	(55,871)	24,400	XXX	XXX
8399997	Total - Bonds - Part 4.....					1,633,851	1,488,513	1,887,583	1,547,228	0	(5,772)	0	(5,772)	202,327	1,743,784	(254,677)	139,196	(115,481)	45,575	XXX	XXX
8399999	Total - Bonds.....					1,633,851	1,488,513	1,887,583	1,547,228	0	(5,772)	0	(5,772)	202,327	1,743,784	(254,677)	139,196	(115,481)	45,575	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					1,633,851	XXX	1,887,583	1,547,228	0	(5,772)	0	(5,772)	202,327	1,743,784	(254,677)	139,196	(115,481)	45,575	XXX	XXX

QE05

**Sch. DB - Pt. A - Sn. 1**  
**NONE**

**Sch. DB - Pt. B - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 1**  
**NONE**

**Sch. DB - Pt. D - Sn. 2**  
**NONE**

**Sch. DB - Pt. E**  
**NONE**

**Sch. DL - Pt. 1**  
**NONE**

**Sch. DL - Pt. 2**  
**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount or interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
KEYBANK..... CLEVELAND, OH USA.....	.....	.....0.000	.....0	.....0	.....19,333	.....35,200	.....271,388	XXX
AXOS BANK..... SAN DIEGO, CA USA.....	.....	.....0.000	.....0	.....0	.....241,634	.....505,288	.....699,130	XXX
ROYAL BANK..... CALGARY, AB CANADA.....	.....	.....0.000	.....0	.....0	.....396,734	.....105,264	.....91,853	XXX
RBC DEXIA..... TORONTO, ON CANADA.....	.....	.....0.000	.....29	.....0	.....26,087	.....16,965	.....932,658	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....29	.....0	.....683,788	.....662,717	.....1,995,029	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....29	.....0	.....683,788	.....662,717	.....1,995,029	XXX
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	.....2,108	.....2,109	.....2,110	XXX
0599999. Total Cash.....	XXX	XXX	.....29	.....0	.....685,896	.....664,826	.....1,997,139	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>								
31846V 41 9	FIRST AMERN TREAS OBLIG FD.....		12/29/2017.....	1.050		100,001	.0	.0
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO.....						100,001	.0	.0
<b>All Other Money Market Mutual Funds</b>								
31846V 20 3	FIRST AMERN GOVT OBLIG FD.....		06/30/2020.....	0.006		343,000	.283	.0
8699999. Total - All Other Money Market Mutual Funds.....						343,000	.283	.0
8899999. Total - Cash Equivalents.....						443,001	.283	.0

QE14