



QUARTERLY STATEMENT

AS OF JUNE 30, 2020
OF THE CONDITION AND AFFAIRS OF THE

UDC OHIO, INC.

NAIC Group Code	00549	00549	NAIC Company Code	52022	Employer's ID Number	74-2609036
	(Current Period)	(Prior Period)				
Organized under the Laws of	Ohio		State of Domicile or Port of Entry		Ohio	
Country of Domicile	United States					
Licensed as business type:	Life, Accident & Health []	Property/Casualty []	Hospital, Medical & Dental Service or Indemnity []			
	Dental Service Corporation []	Vision Service Corporation []	Health Maintenance Organization []			
	Other [X]		Is HMO Federally Qualified? Yes [] No []			
Incorporated/Organized	04/20/1990	Commenced Business	05/17/1990			
Statutory Home Office	5151 Pfeiffer Road, Suite 200		Blue Ash, OH, US 45242			
	(Street and Number)		(City or Town, State, Country and Zip Code)			
Main Administrative Office	One Sun Life Executive Park		Wellesley Hills, MA, US 02481		781-416-3184	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Mail Address	One Sun Life Executive Park		Wellesley Hills, MA, US 02481			
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	One Sun Life Executive Park		Wellesley Hills, MA, US 02481		781-416-3184	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Internet Web Site Address	N/A					
Statutory Statement Contact	Elizabeth Burkhardt		781-416-3184			
	(Name)		(Area Code) (Telephone Number) (Extension)			
	state.filings@sunlife.com		781-446-1779			
	(E-Mail Address)		(FAX Number)			

OFFICERS

Name	Title	Name	Title
DAVID L. RILEY #	PRESIDENT	COLLEEN LOUISE KALLAS	SECRETARY
AMY JO GOERKE	TREASURER	ERIC STEVEN VANDENBERG	ACTUARY

OTHER OFFICERS

DIRECTORS OR TRUSTEES

DAVID L. RILEY # _____

State ofMISSOURI.....

County ofJACKSON.....

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The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

DAVID L. RILEY
PRESIDENT

COLLEEN LOUISE KALLAS
SECRETARY

AMY JO GOERKE
TREASURER

Subscribed and sworn to before me this
_____ day of JULY, 2020

a. Is this an original filing? Yes [X] No []

- b. If no:
1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

ROBIN L. PIERCE, NOTARY PUBLIC
SEPTEMBER 23, 2020

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	300,749		300,749	250,051
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$537,206), cash equivalents (\$0) and short-term investments (\$0)	537,206		537,206	610,387
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives	0		0	0
8. Other invested assets	0		0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	837,955	0	837,955	860,438
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	1,800		1,800	1,426
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	1,285		1,285	2,232
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$)			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	0
24. Health care (\$) and other amounts receivable	10,032	10,032	0	0
25. Aggregate write-ins for other-than-invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	851,072	10,032	841,040	864,096
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	851,072	10,032	841,040	864,096
DETAILS OF WRITE-INS				
1101.			0	0
1102.			0	0
1103.			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.			0	0
2502.			0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0	0	0

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded).....	7,834		7,834	8,712
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	94		94	73
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act.....	9,552		9,552	11,310
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	7,015		7,015	8,618
9. General expenses due or accrued	25,178		25,178	36,008
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	11,873		11,873	12,480
16. Derivatives.....			0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ current)	419	0	419	75
24. Total liabilities (Lines 1 to 23).....	61,965	0	61,965	77,276
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	6,713
26. Common capital stock	XXX	XXX	100	100
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	865,537	865,537
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(86,562)	(85,530)
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	779,075	786,820
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	841,040	864,096
DETAILS OF WRITE-INS				
2301. Stale checks.....	419		419	75
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	419	0	419	75
2501. Surplus appropriated for ACA Section 9010 Fee.....	XXX	XXX		6,713
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	6,713
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	17,400	22,004	41,748
2. Net premium income (including \$ non-health premium income).....	XXX	154,506	195,572	380,449
3. Change in unearned premium reserves and reserve for rate credits	XXX	1,758	2,402	1,413
4. Fee-for-service (net of \$ medical expenses)	XXX		.0	.0
5. Risk revenue	XXX		.0	.0
6. Aggregate write-ins for other health care related revenues	XXX	.0	.0	.0
7. Aggregate write-ins for other non-health revenues	XXX	.0	.0	.0
8. Total revenues (Lines 2 to 7)	XXX	156,264	197,974	381,862
Hospital and Medical:				
9. Hospital/medical benefits0	.0
10. Other professional services		72,568	86,965	165,477
11. Outside referrals0	.0
12. Emergency room and out-of-area0	.0
13. Prescription drugs0	.0
14. Aggregate write-ins for other hospital and medical.....	.0	.0	.0	.0
15. Incentive pool, withhold adjustments and bonus amounts.....			.0	.0
16. Subtotal (Lines 9 to 15)0	72,568	86,965	165,477
Less:				
17. Net reinsurance recoveries0	.0
18. Total hospital and medical (Lines 16 minus 17)0	72,568	86,965	165,477
19. Non-health claims (net).....			.0	.0
20. Claims adjustment expenses, including \$ cost containment expenses.....		1,278	1,873	3,371
21. General administrative expenses.....		96,547	105,937	188,718
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			.0	.0
23. Total underwriting deductions (Lines 18 through 22)0	170,393	194,775	357,566
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(14,129)	3,199	24,296
25. Net investment income earned		4,423	5,652	12,075
26. Net realized capital gains (losses) less capital gains tax of \$0	.0
27. Net investment gains (losses) (Lines 25 plus 26)0	4,423	5,652	12,075
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]0	.0
29. Aggregate write-ins for other income or expenses0	.0	.0	.0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(9,706)	8,851	36,371
31. Federal and foreign income taxes incurred	XXX		.0	.0
32. Net income (loss) (Lines 30 minus 31)	XXX	(9,706)	8,851	36,371
DETAILS OF WRITE-INS				
0601.	XXX		.0	.0
0602.	XXX		.0	.0
0603.	XXX		.0	.0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	.0	.0	.0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	.0	.0	.0
0701.	XXX		.0	.0
0702.	XXX		.0	.0
0703.	XXX		.0	.0
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	.0	.0	.0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	.0	.0	.0
1401.0	.0
1402.0	.0
1403.0	.0
1498. Summary of remaining write-ins for Line 14 from overflow page0	.0	.0	.0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)0	.0	.0	.0
2901.0	.0
2902.0	.0
2903.0	.0
2998. Summary of remaining write-ins for Line 29 from overflow page0	.0	.0	.0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)0	.0	.0	.0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	786,820	741,087	741,087
34. Net income or (loss) from Line 32	(9,706)	8,851	36,371
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$		0	0
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	1,961	8,122	9,362
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in		0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in		0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital and surplus (Lines 34 to 47)	(7,745)	16,973	45,733
49. Capital and surplus end of reporting period (Line 33 plus 48)	779,075	758,060	786,820
DETAILS OF WRITE-INS			
4701.		0	0
4702.		0	0
4703.		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	0	0

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	154,133	201,030	385,882
2. Net investment income	4,128	4,755	11,577
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	158,261	205,785	397,459
5. Benefit and loss related payments	73,446	88,713	168,239
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....		0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	108,634	132,461	216,782
8. Dividends paid to policyholders		0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9)	182,080	221,174	385,021
11. Net cash from operations (Line 4 minus Line 10)	(23,819)	(15,389)	12,438
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	90,000	0	0
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	90,000	0	0
13. Cost of investments acquired (long-term only):			
13.1 Bonds	140,777	0	0
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	140,777	0	0
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(50,777)	0	0
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied).....	1,415	26,045	25,007
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	1,415	26,045	25,007
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(73,181)	10,656	37,445
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	610,387	572,942	572,942
19.2 End of period (Line 18 plus Line 19.1)	537,206	583,598	610,387

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	3,166	.0	.0	.0	.0	3,166	.0	.0	.0	.0
2. First Quarter	2,914	.0	.0	.0	.0	2,914	.0	.0	.0	.0
3. Second Quarter	2,807	.0	.0	.0	.0	2,807	.0	.0	.0	.0
4. Third Quarter0									
5. Current Year	0									
6. Current Year Member Months	17,400					17,400				
Total Member Ambulatory Encounters for Period:										
7. Physician0									
8. Non-Physician	198					198				
9. Total	198	0	0	0	0	198	0	0	0	0
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	0									
12. Health Premiums Written (a).....	154,506					154,506				
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written0									
15. Health Premiums Earned	156,264					156,264				
16. Property/Casualty Premiums Earned0									
17. Amount Paid for Provision of Health Care Services	73,446					73,446				
18. Amount Incurred for Provision of Health Care Services	72,568					72,568				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)0	.0
2. Medicare Supplement0	.0
3. Dental only	1,344	72,102	67	7,767	1,411	8,712
4. Vision only0	.0
5. Federal Employees Health Benefits Plan0	.0
6. Title XVIII - Medicare0	.0
7. Title XIX - Medicaid0	.0
8. Other health0	.0
9. Health subtotal (Lines 1 to 8).....	1,344	72,102	67	7,767	1,411	8,712
10. Health care receivables (a)0	.0
11. Other non-health0	.0
12. Medical incentive pools and bonus amounts0	.0
13. Totals (Lines 9-10+11+12)	1,344	72,102	67	7,767	1,411	8,712

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(a) Excludes \$10.032 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

Certain footnote disclosures normally included in the financial statements have been omitted pursuant to the National Association of Insurance Commissioners' ("NAIC") 2020 Health Quarterly Statement instructions. Therefore, these financial statements should be read in conjunction with the footnote disclosures contained in the 2019 annual statement.

A. Accounting Practices

The financial statements of UDC Ohio, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has adopted certain prescribed accounting practices that differ from those found in NAIC SAP, including limits on the ratio of marketing and administrative expenses to prepaid charges. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	6/30/2020	12/31/2019
<u>NET INCOME</u>					
(1) Company state basis (Page 4, Line 32, Columns 2 & 4)				\$ (9,706)	\$ 36,371
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
(3) State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)				<u>\$ (9,706)</u>	<u>\$ 36,371</u>
<u>SURPLUS</u>					
(5) Company state basis (Page 3, Line 33, Columns 3 & 4)				\$ 779,075	\$ 786,820
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:				—	—
(7) State Permitted Practices that increase/(decrease) NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)				<u>\$ 779,075</u>	<u>\$ 786,820</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expense during the period. Actual results could differ from those estimates.

C. Accounting Policy

Premiums billed to individual and group subscribers are recognized as revenue in the month in which subscribers are entitled to receive dental care. Uncollected premiums over 90 days past due are nonadmitted and excluded from surplus. The Company contracts with dentists (providers) for dental services to be provided to its subscribers. Provider capitation consists of monthly fees paid to providers and is expensed in the month in which the provider is obligated to render dental services. Emergency services to members while temporarily out of their provider's area, as well as specialty services not covered by capitation fees, are recorded as incurred.

(1) Short-term investments

No change.

(2) Bonds, Mandatory Convertible Securities, and SVO-identified Investments

Bonds, mandatory convertible securities, and SVO-identified investments identified in SSAP No. 26R, not backed by other loans, are stated at amortized cost using the scientific method, except for those securities, which are other-than-temporarily impaired or where the NAIC rating has fallen to 6 and the fair value has fallen below amortized cost, in which case they are carried at fair value.

(3) Common Stocks

No change.

(4) The Company does not have investments in preferred stocks.

(5) The Company does not have investments in mortgage loans.

(6) The Company does not have investments in loan-backed securities.

NOTES TO FINANCIAL STATEMENTS

- (7) The Company does not own any shares of an upstream or intermediate parent or affiliate, either directly or indirectly.
- (8) The Company does not hold any investments in joint ventures, partnerships or limited liability companies.
- (9) The Company does not hold any derivative instruments.
- (10) The Company includes anticipated investment income in calculating health premium deficiency liabilities. There were no premium deficiency liabilities as of the statement date.
- (11) Loss and Loss/Claim Adjustment Expenses for Accident and Health Contracts
- No change.
- (12) Capitalization Policy for Fixed Assets
- No change.
- (13) The Company does not have pharmaceutical rebate receivables.

D. Going Concern

Management has evaluated the Company's financial position and determined that no conditions or events have occurred that would raise doubt about the Company's ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Accounting Changes

During the second quarter of 2020, the NAIC's Statutory Accounting Principles (E) Working Group adopted numerous interpretations related to COVID-19, which were each evaluated independently for materiality and impact on the Company's financial statements for the reporting period.

- INT 20-01: This INT applies to all SSAPs with contracts within scope of ASU 2020-04, which allows for modifications due to reference rate reform and provides for the optional expedient to be accounted for as a continuation of the existing contract.
- INT 20-02: A one-time optional extension of the ninety-day rule for uncollected premium balances, bills receivable for premiums and amounts due from agents and policyholders and for amounts due from policyholders for high deductible policies and amounts due from non-government uninsured plans, with subsequent review for determination of further extension beyond the rule.
- INT 20-03: Troubled Debt Restructuring Due to COVID-19 relative to CARES Act and interagency guidance concerning modification of loans and reporting per SSAP No. 36. The Working Group will subsequently review for determination, if a further extension is needed.
- INT 20-04: Mortgage Loan Impairment Assessment Due to COVID-19. Working Group consensus for limited time exceptions to defer assessments of impairment for bank loans, mortgage loans and investments that predominantly hold underlying mortgage loans, which are impacted by forbearance or modifications in response to COVID-19.
- INT 20-05: Investment Income Due and Accrued - This interpretation provides an exception to allow investment income interest due and accrued that becomes over 90 days past due in the 1st or 2nd quarter to continue to be admitted in the 2nd quarter financial statements.
- INT 20-06: Participation in the 2020 TALF Program - the INT provides an exception to allow admitted asset reporting for pledged securities to the Term Asset-Backed Securities Lending Facility (TALF) program.
- INT 20-07: Troubled Debt Restructuring of Certain Debt Instruments Due to COVID-19. Responds to requests for consideration of exceptions to statutory accounting guidance for troubled debt restructurings and impairment for all debt instruments. Certain exceptions not allowed.
- INT 20-08: COVID-19 Premium Refunds, Rate Reductions and Policyholder Dividends. The intent of this interpretation is to provide accounting treatment and reporting guidance, addressing questions related to refunds, rate reductions and policyholder dividends in response to the decreased activity related to COVID-19.

The adoption of the above interpretations had no material impact on the Company's financial statements.

Corrections of Errors

The Company did not have any corrections of errors during the reporting period.

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

No change.

5. Investments

- A. Mortgage Loans, including Mezzanine Real Estate Loans

NOTES TO FINANCIAL STATEMENTS

Not applicable.

B. Debt Restructuring

Not applicable.

C. Reverse Mortgages

Not applicable.

D. Loan-Backed Securities

The Company does not hold loan-backed securities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not applicable.

J. Real Estate

Not applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not applicable.

L. Restricted Assets

No significant change.

M. Working Capital Finance Investments

The Company does not have working capital finance programs.

N. Offsetting and Netting of Assets and Liabilities

The Company does not offset or net assets and liabilities.

O. 5GI Securities

The Company does not hold 5GI securities.

P. Short Sales

The Company does not have any short sale transactions.

Q. Prepayment Penalty and Acceleration Fees

The Company did not incur any prepayment penalties or acceleration fees.

6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

7. Investment Income

No significant change.

8. Derivative Instruments

No change.

9. Income Taxes

A. The application of SSAP No. 101 requires a company to evaluate the recoverability of deferred tax assets and to establish a valuation allowance, if necessary, to reduce the deferred tax asset to an amount, which is more likely than

NOTES TO FINANCIAL STATEMENTS

not to be realized. Considerable judgment is required in determining whether a valuation allowance is necessary, and if so, the amount of such valuation allowance. Although the realization is not assured, management believes it is more likely than not that the deferred tax assets will not be realized. Therefore, the Company has recorded a valuation allowance against the gross deferred tax assets ("DTAs"), excluding the effects associated with its deferred tax liabilities ("DTLs"), as of June 30, 2020 and December 31, 2019.

The Coronavirus Aid, Relief, and Economic Security (CARES) Act temporarily changed the net operating loss (NOL) rules for 2018, 2019, 2020, whereby allowing a NOL carryback for five years, updating the Tax Cuts and Jobs Act (TCJA) of 2017. This change is applicable for regular C corporations, life insurance companies, and other insurance companies. The other insurance companies were previously allowed a two year carryback. Additionally, the CARES Act temporarily removes the 80% NOL absorption limitation and allows for 100% utilization against taxable income for years ending before January 1, 2021.

1. The components of deferred tax assets ("DTAs") and deferred tax liabilities ("DTLs") as of June 30, 2020, and December 31, 2019, are as follows:

Description	6/30/2020			12/31/2019			Change		
	(1)	(2)	(3)	(1)	(2)	(3)	(7)	(8)	(9)
	Ordinary	Capital	Total (Col 1+2)	Ordinary	Capital	Total (Col 4+5)	Ordinary (Col 1-4)	Capital (Col 2-5)	Total (Col 7+8)
(a) Gross deferred tax assets	\$ 35,737	\$ —	\$ 35,737	\$ 37,101	\$ —	\$ 37,101	\$ (1,364)	\$ —	\$ (1,364)
(b) Statutory valuation allowance adjustments	33,027	—	33,027	34,111	—	34,111	(1,084)	—	(1,084)
(c) Adjusted gross deferred tax assets (1a-1b)	2,710	—	2,710	2,990	—	2,990	(280)	—	(280)
(d) Deferred tax assets nonadmitted	—	—	—	—	—	—	—	—	—
(e) Subtotal net admitted deferred tax assets (1c-1d)	2,710	—	2,710	2,990	—	2,990	(280)	—	(280)
(f) Deferred tax liabilities	2,710	—	2,710	2,990	—	2,990	(280)	—	(280)
(g) Net admitted deferred tax assets/ (net deferred tax liability) (1e-1f)	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

2. The following table provides component amounts of the Company's net admitted DTA calculation by tax character of paragraphs 11.a, 11.b.i, 11.b.ii and 11.c of SSAP No. 101 and the risk-based capital level used to determine the recovery period and threshold limitation amount.

Description	6/30/2020			12/31/2019			Change		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
	Ordinary	Capital	Total (Col 1+2)	Ordinary	Capital	Total (Col 4+5)	Ordinary (Col 1-4)	Capital (Col 2-5)	Total (Col 7+8)
Admission Calculation Components									
SSAP No. 101									
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	—	—	—	—	—	—	—	—	—
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	—	—	—	—	—	—	—	—	—
2. Adjusted gross deferred tax assets allowed per limitation threshold	—	—	116,861	—	—	118,023	—	—	(1,162)
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities.	—	—	—	—	—	—	—	—	—
(d) Deferred tax assets admitted as the result of application of SSAP No. 101. Total (2(a) + 2(b) + 2(c))	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —

3. Adjusted capital and surplus

	6/30/2020	12/31/2019
a.) Ratio percentage used to determine recovery period and threshold limitation amount	6,771%	6,839%
b.) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)2 above	\$ 779,075	\$ 786,820

4. The following table provides the impact of tax-planning strategies, if used in the Company's SSAP No. 101

NOTES TO FINANCIAL STATEMENTS

calculation, on adjusted gross and net admitted DTAs.

Description	6/30/2020		12/31/2019		Change	
	(1)	(2)	(4)	(8)	(7)	(8)
	Ordinary	Capital	Ordinary	Capital	(Col 1-4) Ordinary	(Col 2-5) Capital
Impact of Tax Planning Strategies						
(a) Determination of adjusted gross deferred tax assets and net admitted deferred assets, by tax character as a percentage						
1 Adjusted gross DTAs amount from Note 9A1(c)	\$ 2,710	\$ —	\$ 2,990	\$ —	\$ (280)	\$ —
2 Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
3 Net admitted adjusted gross DTAs amount from Note 9A1(e)	\$ 2,710	\$ —	\$ 2,990	\$ —	\$ (280)	\$ —
4 Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
(b) Does the Company's tax-planning strategies include the use of reinsurance?	Yes	No X				

B. Not applicable.

C. The following tables provide the Company's significant components of income taxes incurred and the changes in DTAs and DTLs:

	(1)	(2)	(3)
	6/30/2020	12/31/2019	(Col 1-2) Change
1. Current Income Tax:			
(a) Federal	\$ —	\$ —	\$ —
(b) Foreign	—	—	—
(c) Subtotal	—	—	—
(d) Federal income tax on net capital gains	—	—	—
(e) Utilization of loss carryforwards	—	—	—
(f) Prior period adjustment	—	—	—
(g) Federal and foreign income taxes incurred	\$ —	\$ —	\$ —

NOTES TO FINANCIAL STATEMENTS

	(1)	(2)	(3)
	6/30/2020	12/31/2019	(Col 1-2) Change
2. Deferred Tax Assets:			
(a) Ordinary			
(1) Unassigned capitation	\$ —	\$ —	\$ —
(2) Nonadmitted assets	2,107	2,519	(412)
(3) Net operating loss (NOL)	32,236	32,634	(398)
(4) Accrued audit fees	1,374	1,933	(559)
(5) Deferred and uncollected premium	—	—	—
(6) Other (including items <5% of total ordinary tax assets)	20	15	5
(99) Subtotal	<u>35,737</u>	<u>37,101</u>	<u>(1,364)</u>
(b) Statutory valuation allowance adjustment	33,027	34,111	(1,084)
(c) Nonadmitted	<u>—</u>	<u>—</u>	<u>—</u>
(d) Admitted ordinary deferred tax assets (2a99 – 2b – 2c)	<u>2,710</u>	<u>2,990</u>	<u>(280)</u>
(e) Capital:			
(1) Investments	—	—	—
(2) Net capital loss carryforward	—	—	—
(3) Real estate	—	—	—
(4) Other (including items <5% of total capital tax assets)	—	—	—
(99) Subtotal	<u>—</u>	<u>—</u>	<u>—</u>
(f) Statutory valuation allowance adjustment	—	—	—
(g) Nonadmitted	<u>—</u>	<u>—</u>	<u>—</u>
(h) Admitted capital deferred tax assets (2e99 – 2f – 2g)	<u>—</u>	<u>—</u>	<u>—</u>
(i) Admitted deferred tax assets (2d + 2h)	<u>\$ 2,710</u>	<u>\$ 2,990</u>	<u>\$ (280)</u>
	(1)	(2)	(3)
	6/30/2020	12/31/2019	(Col 1-2) Change
3. Deferred Tax Liabilities:			
(a) Ordinary			
(1) Investments	\$ —	\$ 69	\$ (69)
(2) Fixed assets	—	—	—
(3) Deferred and uncollected premium	—	—	—
(4) Prepaid capitation	2,107	2,459	(352)
(5) Other (including items <5% of total ordinary tax liabilities)	603	462	141
(99) Subtotal	<u>2,710</u>	<u>2,990</u>	<u>(280)</u>
(b) Capital:			
(1) Investments	—	—	—
(2) Real estate	—	—	—
(3) Other (including items <5% of total capital tax liabilities)	—	—	—
(99) Subtotal	<u>—</u>	<u>—</u>	<u>—</u>
(c) Deferred tax liabilities (3a99+3b99)	<u>\$ 2,710</u>	<u>\$ 2,990</u>	<u>\$ (280)</u>
4. Net deferred tax assets/liabilities (2i-3c)	<u>\$ —</u>	<u>\$ —</u>	<u>\$ —</u>

NOTES TO FINANCIAL STATEMENTS

- D. The provision for federal income taxes incurred for the current year is different from that which would be obtained by applying the statutory federal income tax rate of 21% to income before income taxes. The significant items causing this difference are as follows:

Description	Amount	Tax Effect @ 21%	Effective Tax Rate
(a) Income before taxes	\$ (9,706)	\$ (2,038)	21.0%
(b) Pre-tax capital gains - pre IMR	—	—	0.0%
(c) Dividends received deduction	—	—	0.0%
(d) Non-deductible expenses	12,903	2,710	-28.0%
(e) Change in tax contingency reserves	—	—	0.0%
(f) IMR	—	—	0.0%
(g) Change in nonadmitted assets	1,961	412	-4.2%
(h) Prior year over/under accrual	—	—	0.0%
(g) Change in federal income tax rate for deferred tax assets and liabilities	—	—	0.0%
(j) Change in statutory valuation allowance	—	(1,084)	11.2%
(k) Miscellaneous	—	—	0.0%
(l) Total statutory income taxes		<u>\$ —</u>	<u>0.0%</u>
(m) Federal and foreign income taxes incurred		\$ —	0.0%
(n) Change in net deferred income taxes		—	0.0%
(o) Total statutory income taxes		<u>\$ —</u>	<u>0.0%</u>

- E. (1) At June 30, 2020, the Company has \$153,506 of net operating loss carryforwards, which will begin to expire, if not utilized, in 2036.

At June 30, 2020, the Company has no capital loss carryforward.

- (2) There are no tax expenses incurred in the periods after acquisition that are available for recoupment in the event of future losses.
- (3) At June 30, 2020, the Company has no deposits admitted under Section 6603 of the Internal Revenue Service Code.

- F. (1) For the year ended December 31, 2020, the Company will file a stand-alone federal income tax return as an insurance company.

(2) Not applicable.

- G. As of June 30, 2020, there are no positions, for which management believes it to be reasonably possible that total amounts of tax contingencies will significantly increase or decrease within 12 months of the reporting date.

- H. At June 30, 2020, the Company is not subject to the Repatriation Transition Tax (RTT).

- I. At June 30, 2020, the Company has no Alternative Minimum Tax (AMT) credit carryforward.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No change.

11. Debt

- A. The Company had no debt obligations during the statement period.
- B. The Company had no borrowing agreements through the Federal Home Loan Bank.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not applicable.

E.-I. Defined Contribution Plans and Other Postretirement Benefit Plans

No significant change.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

14. Liabilities, Contingencies and Assessments

No significant change.

15. Leases

No change.

NOTES TO FINANCIAL STATEMENTS

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not applicable.

B. Transfer and Servicing of Financial Assets

Not applicable.

C. Wash Sales

The Company had no wash sales for the reporting period.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No change.

20. Fair Value Measurements

A. Assets Measured at Fair Value

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. In determining fair value, the Company uses various methods including market, income and cost approaches. The Company utilizes valuation techniques that maximize the use of observable inputs and minimize the use of unobservable inputs.

- (1) The Company transfers assets into or out of levels at the fair value as of the beginning of the reporting period. Transfers made are the result of changes in the level of observability of inputs used to price assets as well as changes in NAIC ratings. No transfers between levels 1 and 2 have occurred for the reporting period.

The Company did not hold assets measured at fair value as of end of the reporting period.

- (2) There were no assets measured at fair value that are categorized as level 3 for the reporting period. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.
- (3) The Company has not changed its policy on when transfers between levels are recognized. See Note 20(A)(1) for a description of the Company's policy related to transfers between levels.
- (4) The Company has categorized the fair value of its financial instruments into a three-level hierarchy based on the priority of the inputs to the valuation technique. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs used to measure fair value fall within different levels of the hierarchy, the category level is based on the lowest priority level input that is significant to the fair value measurement of the instrument.

Financial assets and liabilities recorded at fair value in the Company's balance sheet are categorized as follows:

Level 1 - Unadjusted quoted prices for identical assets or liabilities in an active market. The types of assets and liabilities utilizing Level 1 valuations include U.S. Treasury and agency securities and investments in publicly-traded mutual funds with quoted market prices.

Level 2 - Quoted prices in markets that are not active or significant inputs that are observable either directly or indirectly. Level 2 inputs include the following:

- Quoted prices for similar assets or liabilities in active markets,
- Quoted prices for identical or similar assets or liabilities in non-active markets,
- Inputs other than quoted market prices that are observable, and
- Inputs that are derived principally from or corroborated by observable market data through correlation or other means.

The types of assets and liabilities utilizing Level 2 valuations generally include U.S. Government securities not backed by the full faith and credit of the U.S. Government, municipal bonds, structured notes and certain asset-backed securities ("ABS") including collateralized debt obligations, residential mortgage-backed securities ("RMBS"), commercial mortgage-backed securities ("CMBS"), certain corporate debt and certain private equity investments.

Level 3 - Prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. They reflect management's opinions regarding the assumptions a market participant would use in pricing the asset or liability. Generally, the types of assets and liabilities utilizing Level 3 valuations are certain ABS, RMBS, and CMBS, certain corporate debt, certain private equity investments and certain mutual fund holdings and certain derivatives.

There have been no significant changes made in valuation techniques for the statement period.

NOTES TO FINANCIAL STATEMENTS

(5) The Company does not hold derivative instruments.

B. Presentation of Fair Value Information

The Company has combined fair value disclosure requirements from other accounting pronouncements within Note 20.

C. Aggregate Fair Value of all Financial Instruments

The following table presents the carrying amounts and estimated fair values of the Company's financial instruments as of end of the reporting period:

Type of financial instrument	Aggregate Fair Value	Carrying Value	Level 1
Financial assets:			
Debt securities	\$ 311,482	\$ 300,749	\$ 311,482
Cash, cash equivalents and short-term investments	537,206	537,206	537,206
Total financial assets	<u>\$ 848,688</u>	<u>\$ 837,955</u>	<u>\$ 848,688</u>

The Company did not have any Level 2 or Level 3 financial instruments.

The methods and assumptions that the Company uses in determining the estimated fair value of its financial instruments are summarized below:

Debt securities: The Company determines the fair value of its publicly-traded fixed maturity securities using three primary pricing methods: third-party pricing services, non-binding broker quotes and pricing models. Prices are first sought from third-party pricing services with the remaining unpriced securities priced using one of the other two methods. Third-party pricing services derive the security prices through recently reported trades for identical or similar securities with adjustments for trading volumes and market observable information through the reporting date. In the event that there are no recent market trades, pricing services and brokers may use pricing models to develop a security price based on future expected cash flows discounted at an estimated market rate using collateral performance and vintages. The Company generally does not adjust quotes or prices obtained from brokers or pricing services.

Cash, cash equivalents and short-term investments: The carrying value for cash, cash equivalents and short-term investments approximates fair value due to the short-term nature and liquidity of the balances.

D. Not Practicable to Estimate Fair Value

The Company held no financial instruments where it was not practicable to estimate fair value.

E. Investments Measured Using the Net Asset Value Practical Expedient Pursuant to SSAP No. 100R-Fair Value

The Company held no financial instruments measured using the Net Asset Value practical expedient.

21. Other Items

A. Unusual or Infrequent Items

Not applicable.

B. Troubled Debt Restructuring

Not applicable.

C. Other Disclosures

Not applicable.

D. Business Interruption Insurance Recoveries

Not applicable.

E. State Transferable and Non-Transferable Tax Credits

Not applicable.

F. Sub-prime Mortgage Related Risk Exposure

Not applicable.

G. Retained Assets

Not applicable.

H. Insurance-Linked Securities (ILS) Contracts

Not applicable.

NOTES TO FINANCIAL STATEMENTS

- I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not applicable.

22. Events Subsequent

Risk Sharing Provisions of the Affordable Care Act

In 2020, the Company is subject to an annual fee under Section 9010 of the Federal Affordable Care Act (ACA), as the moratorium on ACA fees for the 2019 calendar year has been lifted. This annual fee is allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1 of the year the fee is due. As of December 31, 2019, the Company wrote health insurance subject to the ACA Assessment, has conducted health insurance business in 2020, and estimates its portion of the annual health insurance industry fee payable on September 30, 2020 to be \$6,070.

The U.S. Congress repealed the Health Insurance Provider Fees under the Federal ACA effective for the 2021 calendar year. As a result, the Company has not reflected an ACA Assessment in special surplus as of June 30, 2020.

Subsequent events were evaluated through August 12, 2020. No additional subsequent events were noted.

23. Reinsurance

No change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A.-D. Retrospectively Rated Contracts Including Medical Loss Ratio Rebates

No change.

E. Risk-Sharing Provisions of the Affordable Care Act

No change.

25. Change in Incurred Claims and Claims Adjustment Expenses

A. Reserves as of December 31, 2019 were \$8,785. As of June 30, 2020, \$1,335 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$68 as a result of re-estimation of unpaid claims and claim adjustment expenses principally on the dental care line of insurance. Therefore, there has been a \$7,361 favorable prior-year development since December 31, 2019 to June 30, 2020. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The Company did not experience any prior year loss development on retrospectively rated policies.

B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses during the statement period.

26. Intercompany Pooling Arrangements

No change.

27. Structured Settlements

No change.

28. Health Care Receivables

No change.

29. Participating Policies

No change.

30. Premium Deficiency Reserves

No change.

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
.....
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.....0001097362
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] NA []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).03/06/2020
- 6.4 By what department or departments?
Ohio Department of Insurance.....
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] NA [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] NA [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
BentallGreenOak (U.S.) Limited Partnership.....	Seattle, WA.....				YES.....
BentallGreenOak Real Estate US LLC.....	New York, NY.....				YES.....
BGO US Real Estate LP.....	New York, NY.....				YES.....
Massachusetts Financial Services Company.....	Boston, MA.....				YES.....
MFS Fund Distributors, Inc.....	Boston, MA.....				YES.....
MFS Institutional Advisors, Inc.....	Boston, MA.....				YES.....
MFS Service Center, Inc.....	Quincy, MA.....				YES.....
Sun Life Capital Management (U.S.) LLC.....	Wellesley Hills, MA.....				YES.....
Sun Life Institutional Distributors (U.S.) LLC.....	San Francisco, CA.....				YES.....

GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:
.....

9.2 Has the code of ethics for senior managers been amended? Yes No

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes No

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:..... \$0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes No

11.2 If yes, give full and complete information relating thereto:
Certain assets are held in various state deposits, as required by those states.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$0

13. Amount of real estate and mortgages held in short-term investments: \$0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$
14.22 Preferred Stock	\$0	\$
14.23 Common Stock	\$0	\$
14.24 Short-Term Investments	\$0	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No NA

If no, attach a description with this statement.

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$0
- 16.3 Total payable for securities lending reported on the liability page \$0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity’s offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*?

Yes No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Citibank, N.A.....	Trust and Custody Operations, 480 Washington Boulevard, 30th Floor, Jersey City, NJ 07310.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes No

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Sun Life Capital Management (U.S.) LLC.....	A.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) manage more than 10% of the reporting entity’s invested assets?

Yes No

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a “U”) listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity’s invested assets?

Yes No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of “A” (affiliated) or “U” (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
109684.....	Sun Life Capital Management (U.S.) LLC.....		SEC.....	DS.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed?

Yes No

18.2 If no, list exceptions:

.....

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or
 - a. PL security is not available.
 - b. Issuer or obligor is current on all contracted interest and principal payments.
 - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities?.....

Yes No

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- a. The security was purchased prior to January 1, 2018.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is
 - c. shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities?.....

Yes No

GENERAL INTERROGATORIES

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:.....
- a. The shares were purchased prior to January 1, 2019.
 - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
 - d. The fund only or predominantly holds bonds in its portfolio.
 - e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
 - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes [] No [X]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- | | |
|--|--------|
| 1.1 A&H loss percent..... | 46.4 % |
| 1.2 A&H cost containment percent | 0.0 % |
| 1.3 A&H expense percent excluding cost containment expenses..... | 62.6 % |
- 2.1 Do you act as a custodian for health savings accounts?..... Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date..... \$ _____
- 2.3 Do you act as an administrator for health savings accounts?..... Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date..... \$ _____
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?..... Yes [X] No []
- 3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?..... Yes [] No [X]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Type of Reinsurer	9 Certified Reinsurer Rating (1 through 6)	10 Effective Date of Certified Reinsurer Rating
NONE									

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama	AL	N							0	
2. Alaska	AK	N							0	
3. Arizona	AZ	N							0	
4. Arkansas	AR	N							0	
5. California	CA	N							0	
6. Colorado	CO	N							0	
7. Connecticut	CT	N							0	
8. Delaware	DE	N							0	
9. Dist. Columbia	DC	N							0	
10. Florida	FL	N							0	
11. Georgia	GA	N							0	
12. Hawaii	HI	N							0	
13. Idaho	ID	N							0	
14. Illinois	IL	N							0	
15. Indiana	IN	N							0	
16. Iowa	IA	N							0	
17. Kansas	KS	N							0	
18. Kentucky	KY	L							0	
19. Louisiana	LA	N							0	
20. Maine	ME	N							0	
21. Maryland	MD	N							0	
22. Massachusetts	MA	N							0	
23. Michigan	MI	N							0	
24. Minnesota	MN	N							0	
25. Mississippi	MS	N							0	
26. Missouri	MO	N							0	
27. Montana	MT	N							0	
28. Nebraska	NE	N							0	
29. Nevada	NV	N							0	
30. New Hampshire	NH	N							0	
31. New Jersey	NJ	N							0	
32. New Mexico	NM	N							0	
33. New York	NY	N							0	
34. North Carolina	NC	N							0	
35. North Dakota	ND	N							0	
36. Ohio	OH	L	154,506						154,506	
37. Oklahoma	OK	N							0	
38. Oregon	OR	N							0	
39. Pennsylvania	PA	N							0	
40. Rhode Island	RI	N							0	
41. South Carolina	SC	N							0	
42. South Dakota	SD	N							0	
43. Tennessee	TN	N							0	
44. Texas	TX	N							0	
45. Utah	UT	N							0	
46. Vermont	VT	N							0	
47. Virginia	VA	N							0	
48. Washington	WA	N							0	
49. West Virginia	WV	N							0	
50. Wisconsin	WI	N							0	
51. Wyoming	WY	N							0	
52. American Samoa	AS	N							0	
53. Guam	GU	N							0	
54. Puerto Rico	PR	N							0	
55. U.S. Virgin Islands	VI	N							0	
56. Northern Mariana Islands	MP	N							0	
57. Canada	CAN	N							0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX		154,506	0	0	0	0	0	154,506	0
60. Reporting entity contributions for Employee Benefit Plans	XXX								0	
61. Total (Direct Business)	XXX		154,506	0	0	0	0	0	154,506	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX		0	0	0	0	0	0	0	0

(a) Active Status Counts

L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG 2 R – Registered – Non-domiciled RRGs 0
 E – Eligible – Reporting entities eligible or approved to write surplus lines in the state 0 Q – Qualified – Qualified or accredited reinsurer 0
 N – None of the above – Not allowed to write business in the state 55

Premiums are assigned to the state based on the situs of contract.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
98-0226074	Sun Life Financial Inc. – United States Operations		
20-8998687	SL Finance 2007-1, Inc.	100%	Non-Insurer
98-0226074	Sun Life Financial Inc. – Foreign Operations		
	6324983 Canada Inc.	100%	Non-Insurer
	SLC Management Canadian Commercial Mortgage Fund	6.178%	Non-Insurer
	Sun Life Assurance Company of Canada (Barbados) Limited	100%	Insurer
	Sun Life of Canada International Assurance Limited	100%	Insurer
	Sun Life Global Investments Inc. – United States Operations	100%	Non-Insurer
	Sun Life 2007-1 Financing Corp.	100%	Non-Insurer
	Sun Life (Bermuda) Finance No. 1 Ltd.	100%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 1 SARL	100%	Non-Insurer
04-3401283	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc.	100%	Non-Insurer
	SL Investment 2007-1 ULC	100%	Non-Insurer
84-4711925	Sun Life (U.S.) HoldCo 2020, Inc.	100%	Non-Insurer
	InfraRed (UK) Holdco 2020 Ltd.	100%	Non-Insurer
	Sun Life (UK) Designated Member Ltd.	100%	Non-Insurer
	Sun Life Financial (Bermuda) Reinsurance Ltd.	100%	Insurer
04-3526207	Sun Life Financial (Japan), Inc.	100%	Non-Insurer
04-3579262	Sun Life Financial (U.S.) Holdings, Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Investments LLC	100%	Non-Insurer
81-3468348	Bentall Kennedy U.S. Strategic Value Fund, L.P.	99.99%	Non-Insurer
61-1723752	Sun Life Institutional Distributors (U.S.) LLC	100%	Non-Insurer
68-0635051	Sun Life Investment Management U.S., Inc.	100%	Non-Insurer
20-5270592	BentallGreenOak (U.S.) GP LLC	100%	Non-Insurer
20-5300937	BentallGreenOak (U.S.) Limited Partnership	56%	Non-Insurer
81-3502546	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
81-3468348	Bentall Kennedy U.S. Strategic Value Fund, L.P.	0.01%	Non-Insurer
	SVF Latham Square, LLC	100%	Non-Insurer
	SVF REIT Manager, LLC	100%	Non-Insurer
	BentallGreenOak US Core Plus GP LLC	100%	Non-Insurer
	BentallGreenOak US Core Plus Fund LP	100%	Non-Insurer
	BentallGreenOak US Core Plus LF-A LP	100%	Non-Insurer
84-3793856	Core Plus Separate Account LP	100%	Non-Insurer
84-3805305	Core Plus Separate Account LF LP	100%	Non-Insurer
	BGO US Core Plus REIT I-A LLC	100%	Non-Insurer
	BGO Grand Adams Member LLC	100%	Non-Insurer
84-4106508	BGONV Grand Adams JV LLC	90%	Non-Insurer
	Grand Adams Owner LLC	100%	Non-Insurer
	BGO Plaza 600 Member LLC	100%	Non-Insurer
84-3749558	BGO Plaza 600 JV LLC	90%	Non-Insurer
	SVF Latham Square Owner, LLC	100%	Non-Insurer
	SVF Operating LLC	100%	Non-Insurer
	SVF The View Pacific LLC	100%	Non-Insurer
	The View Pacific LLC	80%	Non-Insurer
	The View Pacific Owner LLC	100%	Non-Insurer
	BentallGreenOak US Lending GP LLC	100%	Non-Insurer
84-4987084	BentallGreenOak US Lending LP	100%	Non-Insurer
	BGO Mortgage Lending LLC	100%	Non-Insurer
32-0466479	BGO Corporate Holdings (US) LLC	100%	Non-Insurer
	BGO US Mortgages Inc.	100%	Non-Insurer
37-1948633	SLCAL, Inc.	100%	Non-Insurer
20-1641876	Rushmore Partners LLC	100%	Non-Insurer
30-0872552	NewTower Trust Company	100%	Non-Insurer
81-4733550	BGO Daily Value Fund GP LLC	100%	Non-Insurer
	NewTower Management GP LLC	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURUER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	NewTower Management LLC	100%	Non-Insurer
42-1772921	BGO US Real Estate LP	100%	Non-Insurer
45-1599282	BentallGreenOak Real Estate US LLC	100%	Non-Insurer
	GO Core Plus GP, LLC	100%	Non-Insurer
81-1097117	GreenOak MC Core Plus GP, LLC	50%	Non-Insurer
45-2987552	GO Houston ROHV GP, LLC	20%	Non-Insurer
42-1772920	GreenOak Real Estate Advisors LP	99%	Non-Insurer
81-1293379	GreenOak MC Real Estate Management LLC	50%	Non-Insurer
42-1772919	GreenOak Real Estate GP LLC	100%	Non-Insurer
42-1772920	GreenOak Real Estate Advisors LP	1%	Non-Insurer
90-0925083	BKUS Institutional Logistics Coinvestment LLC	100%	Non-Insurer
	GO Equity GP LLC	100%	Non-Insurer
46-2565118	GO 425 Park GP, LLC	100%	Non-Insurer
	GO Cross GP Ltd.	100%	Non-Insurer
98-1061767	GO Europe Advisor LP	100%	Non-Insurer
	GOGC AHL Advisor Ltd.	50%	Non-Insurer
98-1162250	GOGLE Manager Ltd.	50%	Non-Insurer
98-1322411	GO Europe Carry II, LP	100%	Non-Insurer
98-1326162	GreenOak UK Secured Lending II GP Ltd.	100%	Non-Insurer
	GreenOak UK II GP Holdings, LLC	100%	Non-Insurer
98-1322664	GO Europe Carry, LP	100%	Non-Insurer
	GO Europe Investment Carry GP, Ltd.	100%	Non-Insurer
98-1222294	GO Europe Investment Carry, LP	100%	Non-Insurer
98-1272837	GO Europe Secured Lending GP Holdings, LP	100%	Non-Insurer
98-1272874	GreenOak Europe Secured Lending GP Sarl	100%	Non-Insurer
98-1161816	GO Spain JV I, LP	100%	Non-Insurer
98-1155466	GOGLE Funding I, LP	88.274%	Non-Insurer
98-1155147	GOGLE GP Ltd.	75.64%	Non-Insurer
	GO Treehouse Investment GP, LLC	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURUER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	GreenOak Europe Core Plus Logistics GP Holdings LP	100%	Non-Insurer
98-1507422	GreenOak Europe Core Plus Logistics GP Sarl	100%	Non-Insurer
81-1928087	GreenOak Europe II Carry, LP	100%	Non-Insurer
98-1317898	GreenOak Europe (Lux) GP II, S.à r.l.	100%	Non-Insurer
98-1295732	GreenOak Europe II GP Ltd.	100%	Non-Insurer
98-1295754	GreenOak Europe II GP, LP	100%	Non-Insurer
98-1512107	GreenOak Europe III GP Ltd.	100%	Non-Insurer
98-1512140	GreenOak Europe III GP, LP	100%	Non-Insurer
98-1481275	GreenOak Europe (Lux) III GP S.à r.l.	100%	Non-Insurer
	GreenOak Europe Secured Lending II GP Holdings, LP	100%	Non-Insurer
	GreenOak Europe Secured Lending II GP Sarl	100%	Non-Insurer
98-1283316	GreenOak Japan GP Ltd.	100%	Non-Insurer
98-1035286	GreenOak Asia Advisor LP	100%	Non-Insurer
98-1405886	GreenOak India Investment Advisors Private Limited	0.01%	Non-Insurer
98-1201073	GreenOak Asia GP II, LP	100%	Non-Insurer
98-1502736	GreenOak Asia GP III, LP	100%	Non-Insurer
98-0697103	GreenOak Japan GP, LP	100%	Non-Insurer
98-1322998	GreenOak Spain GP, LP	100%	Non-Insurer
98-1201236	GreenOak Spain GP, Ltd.	100%	Non-Insurer
	GreenOak Tactical Lending GP Holdings, LP	100%	Non-Insurer
	GreenOak Tactical Lending GP Sarl	100%	Non-Insurer
98-1326154	GreenOak UK Secured Lending GP Ltd.	100%	Non-Insurer
98-1261607	GreenOak US (EU Parallel) GP Sarl	100%	Non-Insurer
46-2839069	GreenOak US GP II, LP	100%	Non-Insurer
81-1072868	GreenOak US (EU Parallel) GP II, LLC	100%	Non-Insurer
81-2921195	GreenOak US GP III, LP	100%	Non-Insurer
27-4518011	GreenOak US GP, LP	100%	Non-Insurer
04-3132283	Sun Life Capital Management (U.S.) LLC	100%	Non-Insurer
85-0660546	SLC Management TALF GP, LLC	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
85-0731880	SLC Management TALF Partners Fund 2, L.P.	100%	Non-Insurer
	SLC Management TIPS Partners Management, LLC	100%	Non-Insurer
	SLC Management TIPS Partners, L.P.	100%	Non-Insurer
84-3510618	SLC Management U.S. Private Credit GP, LLC	100%	Non-Insurer
	SLC Management U.S. Investment Grade Private Credit Fund, L.P.	0.071%	Non-Insurer
04-3401285	Sun Life of Canada (U.S.) Financial Services Holdings, Inc.	99.924%	Non-Insurer
04-2747644	Massachusetts Financial Services Company	95.195%	Non-Insurer
	MFS Development Funds, LLC	100%	Non-Insurer
04-2747644	MFS Exchange LLC	100%	Non-Insurer
04-3169826	MFS Fund Distributors, Inc.	100%	Non-Insurer
02-0507414	MFS Heritage Trust Company	100%	Non-Insurer
04-3247425	MFS Institutional Advisors, Inc.	100%	Non-Insurer
	3060097 Nova Scotia Company	100%	Non-Insurer
	MFS Investment Management Canada Limited	15%	Non-Insurer
	MFS Investment Management Canada Limited	85%	Non-Insurer
	MFS International Ltd.	100%	Non-Insurer
	MFS do Brasil Desenvolvimento de Mercado Ltda.	100%	Non-Insurer
	MFS International (Chile) SpA	100%	Non-Insurer
	MFS International (Hong Kong) Limited	100%	Non-Insurer
	MFS International Holdings Pty Ltd	100%	Non-Insurer
	MFS Financial Management Consulting (Shanghai) Co., Ltd.	100%	Non-Insurer
	MFS International (U.K.) Limited	100%	Non-Insurer
	MFS International Switzerland GmbH	100%	Non-Insurer
	MFS International Australia Pty Ltd	100%	Non-Insurer
	MFS International Singapore Pte. Ltd.	100%	Non-Insurer
	MFS Investment Management Company (LUX) S.à.r.l.	100%	Non-Insurer
	MFS Investment Management K.K.	100%	Non-Insurer
04-2864897	MFS Service Center, Inc.	100%	Non-Insurer
04-3365782	Sun Life of Canada (U.S.) Holdings, Inc.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
26-4312923	DailyFeats, Inc.	100%	Non-Insurer
01-0483086	Disability Reinsurance Management Services, Inc.	100%	Non-Insurer
61-0403075 64602 DE	Independence Life and Annuity Company	100%	Insurer
46-1499557 15325 DE	Sun Life Financial (U.S.) Reinsurance Company II	100%	Insurer
59-0411385 68047 TX	Professional Insurance Company	100%	Insurer
04-3292937	Sun Canada Financial Co.	100%	Non-Insurer
06-1435452	Sun Life Administrators (U.S.), Inc.	100%	Non-Insurer
	Sun Life Financial (U.S.) Delaware Finance, LLC	100%	Non-Insurer
26-1292779 13051 VT	Sun Life Financial (U.S.) Reinsurance Company	100%	Insurer
26-3730703	Sun Life Financial (U.S.) Services Company, Inc.	100%	Non-Insurer
41-1694906	The Premier Dental Group, Inc.	100%	Non-Insurer
20-1750874	Landmark Dental Alliance, Inc.	100%	Non-Insurer
20-5189428	Vuzion, Inc.	100%	Non-Insurer
04-2470476	Sun Life Financial Distributors, Inc.	100%	Non-Insurer
	Sun Life Global Investments Inc. – Foreign Operations	100%	Non-Insurer
	BK Canada Holdings Inc.	100%	Non-Insurer
	BentallGreenOak (Canada) GP Ltd.	100%	Non-Insurer
	BentallGreenOak (Canada) Limited Partnership	56%	Non-Insurer
	0936543 BC Ltd.	100%	Non-Insurer
	550 Burrard Street Ltd.	100%	Non-Insurer
	10 Dundas Street Ltd.	100%	Non-Insurer
	1090 Pender Properties Ltd.	100%	Non-Insurer
	121 King Street West Ltd.	100%	Non-Insurer
	1600 Rene-Levesque Ltd.	100%	Non-Insurer
	1687 Cliveden Avenue Holdings Ltd.	100%	Non-Insurer
	2025 Willingdon Avenue Holdings Ltd.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	715-725 Eaton Way Holdings Ltd.	100%	Non-Insurer
	787 Cliveden Place Holdings Ltd.	100%	Non-Insurer
	8400 River Road Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy Holdings (Canada) Ltd.	100%	Non-Insurer
	Bentall Property Fund VI General Partner Inc.	100%	Non-Insurer
	Bentall Property Fund VI Holdings Inc.	100%	Non-Insurer
	Bentall Property Services (Ontario) Ltd.	100%	Non-Insurer
	BentallGreenOak Global Cities Fund GP Inc.	100%	Non-Insurer
	BKCP Residential Limited Partnership	0.01%	Non-Insurer
	BentallGreenOak Prime Canadian Property Fund GP Inc.	100%	Non-Insurer
	BentallGreenOak Prime Canadian Property Pool GP Inc.	100%	Non-Insurer
	BGO Capital (Canada) Inc.	100%	Non-Insurer
	BGO Holdings (Cayman), LP ¹	71%	Non-Insurer
	BentallGreenOak Advisors (Hong Kong) Limited	100%	Non-Insurer
98-1367181	BentallGreenOak Advisors (Korea) Limited	100%	Non-Insurer
	BentallGreenOak UK Tactical Lending Carry LP	100%	Non-Insurer
98-1530387	BentallGreenOak UK Tactical Lending GP Limited	100%	Non-Insurer
98-1533216	BentallGreenOak UK Tactical Lending LP	100%	Non-Insurer
	BentallGreenOak UK Secured Lending III Carry LP	100%	Non-Insurer
98-1533529	BentallGreenOak UK Secured Lending III GP Ltd.	100%	Non-Insurer
98-1531524	BentallGreenOak UK Secured Lending III LP	100%	Non-Insurer
	BGO Asia III Advisors LLC	100%	Non-Insurer
	BGO Asia III Advisors Japan G.K.	100%	Non-Insurer
98-1525991	BGO WELPUT Carry GP Limited	100%	Non-Insurer
	BGO WELPUT Carry LP	100%	Non-Insurer
	BGO WELPUT Carry LLC	100%	Non-Insurer
	GO Dixon GP Ltd.	20%	Non-Insurer
98-1331621	GO Grafton Finance Ltd.	100%	Non-Insurer
98-1331651	GO Grafton Holdings Ltd.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
32-0531897	Grafton Advisors (2006) LLP	99.99%	Non-Insurer
98-1405828	GO India Holdings Ltd.	100%	Non-Insurer
	GO Japan Holdings Ltd.	100%	Non-Insurer
	GOGC Allen House GP Ltd.	50%	Non-Insurer
98-1405886	GreenOak India Investment Advisors Private Limited	99.99%	Non-Insurer
	GreenOak Investment Management K.K.	100%	Non-Insurer
98-1324508	GreenOak Real Estate Advisors (Jersey) Limited	100%	Non-Insurer
	GreenOak Real Estate Advisors K.K.	100%	Non-Insurer
98-0688176	GreenOak Real Estate Services Ltd.	100%	Non-Insurer
98-0688174	BentallGreenOak Advisors (UK) LLP	100%	Non-Insurer
98-1070246	BentallGreenOak Asset Management (Germany) GmbH	100%	Non-Insurer
	GreenOak Management Services Sarl	100%	Non-Insurer
98-1367180	GreenOak Real Estate Advisors (Spain) S.L.	100%	Non-Insurer
98-0688175	GreenOak Real Estate Holdings Limited	100%	Non-Insurer
32-0531897	Grafton Advisors (2006) LLP	0.01%	Non-Insurer
98-1035902	GreenOak Euro RE Asset Management LLP	100%	Non-Insurer
	BGO Luxembourg Holdings Ltd.	100%	Non-Insurer
	Bentall Kennedy (Luxembourg) G.P. Sarl	100%	Non-Insurer
	BGO Prime GP Holdco Inc.	100%	Non-Insurer
	10 Ronrose Drive GP Inc.	100%	Non-Insurer
	121 King Street West I GP Inc.	100%	Non-Insurer
	121 King Street West II GP Inc.	100%	Non-Insurer
	121 King Street West III GP Inc.	100%	Non-Insurer
	13th Avenue SE GP Inc.	100%	Non-Insurer
	13th Avenue SW GP Inc.	100%	Non-Insurer
	1600 Rene-Levesque GP Inc.	100%	Non-Insurer
	1642 Merivale Road GP Inc.	100%	Non-Insurer
	18 Parkshore Drive GP Inc.	100%	Non-Insurer
	1855 and 1875 Buckhorn Gate GP Inc.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURUER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	2-8 St. Thomas Street GP Inc.	100%	Non-Insurer
	2-8 St. Thomas Holdings Inc.	50%	Non-Insurer
	290-300 King George Road GP Inc.	100%	Non-Insurer
	5100 Spectrum Way GP Inc.	100%	Non-Insurer
	52nd Street Business Centre GP Inc.	100%	Non-Insurer
	550 Burrard I GP Inc.	100%	Non-Insurer
	550 Burrard II GP Inc.	100%	Non-Insurer
	5825 Explorer Drive GP Inc.	100%	Non-Insurer
	5875 Explorer Drive GP Inc.	100%	Non-Insurer
	77 Metcalfe Street II GP Inc.	100%	Non-Insurer
	Airport 407 Business Campus GP Inc.	100%	Non-Insurer
	BentallGreenOak Prime Canadian Investments I GP Inc.	100%	Non-Insurer
	BentallGreenOak Prime Investments Trustee Inc.	100%	Non-Insurer
	BK Prime Alberta I GP Inc.	100%	Non-Insurer
	BK Prime British Columbia I GP Inc.	100%	Non-Insurer
	BK Prime False Creek Residences GP Inc.	100%	Non-Insurer
	BK Prime Foothills North GP Inc.	100%	Non-Insurer
	BK Prime Kelowna Property Holdings GP Inc.	100%	Non-Insurer
	BK Prime Ontario I GP Inc.	100%	Non-Insurer
	1467 Bathurst Holdings Inc.	50%	Non-Insurer
	BK Prime Quebec I GP Inc.	100%	Non-Insurer
	600 de Maisonneuve Ltée/600 de Maisonneuve Ltd.	100%	Non-Insurer
	7277733 Canada Inc.	50%	Non-Insurer
	Capilano Business Park II GP Inc.	100%	Non-Insurer
	CareLife Fleetwood GP Inc.	100%	Non-Insurer
	CareLife Maple Ridge GP Inc.	100%	Non-Insurer
	Champlain Square GP Inc.	100%	Non-Insurer
	Churchill 403 Business Campus GP Inc.	100%	Non-Insurer
	Dalhousie Station GP Inc.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURUER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Eastgate Square GP Inc.	100%	Non-Insurer
	Gateway Business Campus GP Inc.	100%	Non-Insurer
	H Business Park (A&B) GP Inc.	100%	Non-Insurer
	H Business Park (G&H) GP Inc.	100%	Non-Insurer
	Hazeldean Mall GP Inc.	100%	Non-Insurer
	Hillside Centre I GP Inc.	100%	Non-Insurer
	Hillside Centre II GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties GP Inc.	100%	Non-Insurer
	Lakeshore Streetfront Retail Properties II GP Inc.	100%	Non-Insurer
	Milton Mall GP Inc.	100%	Non-Insurer
	Milton Mall II GP Inc.	100%	Non-Insurer
	Mission Hill GP Inc.	100%	Non-Insurer
	Pearson Corporate Centre GP Inc.	100%	Non-Insurer
	Peterborough Retail Portfolio GP Inc.	100%	Non-Insurer
	PG Village Shopping Centre GP Inc.	100%	Non-Insurer
	Precidio Court and Corporation Drive GP Inc.	100%	Non-Insurer
	Viceroy Road Portfolio GP Inc.	100%	Non-Insurer
	West Pender I GP Inc.	100%	Non-Insurer
	West Pender II GP Inc.	100%	Non-Insurer
	West Pender III GP Inc.	100%	Non-Insurer
	Westpen 10 Dundas GP Inc.	100%	Non-Insurer
	Westpen 10201 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen 10303 Jasper Avenue GP Inc.	100%	Non-Insurer
	Westpen North Hill GP Inc.	100%	Non-Insurer
	White Oaks Mall I GP Inc.	100%	Non-Insurer
	White Oaks Mall II GP Inc.	100%	Non-Insurer
	WO Mall GP Inc.	100%	Non-Insurer
	Yellowhead Crossing I GP Inc.	100%	Non-Insurer
	Yellowhead Crossing II GP Inc.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Yellowhead Crossing Development Ltd.	50%	Non-Insurer
	Yellowhead Crossing III GP Inc.	100%	Non-Insurer
	Yellowhead Crossing Development Ltd.	50%	Non-Insurer
	BGO Real Property Services (Canada) Inc.	100%	Non-Insurer
	BK Prime False Creek Residences Holdings Ltd.	100%	Non-Insurer
	BKCP Residential Limited Partnership	99.99%	Non-Insurer
	BKHY Upper Holdco Inc.	100%	Non-Insurer
	BKHY Lower Holdco Inc.	100%	Non-Insurer
	BentallGreenOak High Yield Canadian Property Fund I Limited Partnership	15.84%	Non-Insurer
	Capilano Business Park Holdings Ltd.	100%	Non-Insurer
	Doyle Avenue Holdings Ltd.	100%	Non-Insurer
	High Plains Boulevard Holdings Ltd.	100%	Non-Insurer
	Hillside Centre Holdings Inc.	100%	Non-Insurer
	HYPF Fund I GP Inc.	100%	Non-Insurer
	HYPF Fund I GP Limited Partnership	0.01%	Non-Insurer
	HYPF Fund I GP Limited Partnership	99.99%	Non-Insurer
	BentallGreenOak High Yield Canadian Property Fund I Limited Partnership	0.001%	Non-Insurer
	P&T Shopping Centre Holdings Ltd.	100%	Non-Insurer
	Parkgate Village Holdings Ltd.	100%	Non-Insurer
	Penreal Property Fund V Holdings Inc.	100%	Non-Insurer
	Penreal Property Fund V General Partner Inc.	100%	Non-Insurer
	PenRetail Management Limited Partnership	99.99%	Non-Insurer
	PenRetail Management Ltd.	100%	Non-Insurer
	PenRetail Management Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Inc.	100%	Non-Insurer
	SynchroSERV Limited Partnership	0.01%	Non-Insurer
	SynchroSERV Limited Partnership	99.99%	Non-Insurer
	Ten 201 Jasper Avenue Ltd.	100%	Non-Insurer
	Ten 303 Jasper Avenue Ltd.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	White Oaks Mall Holdings Ltd.	100%	Non-Insurer
	GO Epsilon GP, LLC	100%	Non-Insurer
	GO Epsilon, LP	50%	Non-Insurer
98-1521151	GO Epsilon HoldCo I Sarl	100%	Non-Insurer
	GO Epsilon AcquiCo A-B Sarl	100%	Non-Insurer
	GO Epsilon AcquiCo A-L Sarl	100%	Non-Insurer
98-1521714	GO Epsilon AcquiCo A-O Sarl	100%	Non-Insurer
	Sun Life Capital Management (Canada) Inc.	100%	Non-Insurer
	BGO Mortgage Services Canada Inc.	100%	Non-Insurer
	SLC Management Canadian Commercial Mortgage GP Inc.	100%	Non-Insurer
	SLC Management Canadian Commercial Mortgage Fund	0.01%	Non-Insurer
	SLC Management Core FI GP Inc.	100%	Non-Insurer
	SLC Management Core Fixed Income Fund	0.01%	Non-Insurer
	SLC Management Long Term Core FI GP Inc.	100%	Non-Insurer
	SLC Management Long Term Core Fixed Income Fund	0.01%	Non-Insurer
	SLC Management Long Term PFIP GP Inc.	100%	Non-Insurer
	SLC Management PFIP GP Inc.	100%	Non-Insurer
	SLC Management Private Fixed Income Plus Fund	0.01%	Non-Insurer
	SLC Management Short Term PFIP GP Inc.	100%	Non-Insurer
	Sun Life Global Investments (Canada) Inc.	100%	Non-Insurer
	Excel Funds Management Inc.	100%	Non-Insurer
	Excel Investment Counsel Inc.	100%	Non-Insurer
38-1082080 80802 (CN)	Sun Life Assurance Company of Canada (including the United States Branch) – United States Operations	100%	Insurer
13-3830846	Dental Health Alliance, L.L.C.	100%	Non-Insurer
59-3063687	Denticare of Alabama, Inc.	100%	Insurer
	Morehead Parking Facility, LLC	50%	Non-Insurer
26-4183712	SL Investment US-RE Holdings 2009-1, Inc.	100%	Non-Insurer
	SLC Management Multi Series LLC - Series 2	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	SLC Management U.S. Investment Grade Private Credit Fund, L.P.	99.929%	Non-Insurer
45-2424030	Solidify Software, LLC	100%	Non-Insurer
06-0893662 80926 MI	Sun Life and Health Insurance Company (U.S.)	100%	Insurer
	Sun Life Financial International Holdings (MC), LLC	100%	Non-Insurer
33-0360239	UDC Dental California, Inc.	100%	Insurer
74-2609036 52022 OH	UDC Ohio, Inc.	100%	Insurer
58-1099945	Union Security DentalCare of Georgia, Inc.	100%	Insurer
52-1565653 11244 NJ	Union Security DentalCare of New Jersey, Inc.	100%	Insurer
86-0517444 47708 AZ	United Dental Care of Arizona, Inc.	100%	Insurer
86-0631335 52032 CO	United Dental Care of Colorado, Inc.	100%	Insurer
75-2481527 47044 MO	United Dental Care of Missouri, Inc.	100%	Insurer
86-0384270 47042 NM	United Dental Care of New Mexico, Inc.	100%	Insurer
75-2076282 95142 TX	United Dental Care of Texas, Inc.	100%	Insurer
75-2635404 95450 UT	United Dental Care of Utah, Inc.	100%	Insurer
38-1082080 80802 (CN)	Sun Life Assurance Company of Canada – Foreign Operations	100%	Insurer
	10851744 Canada Inc.	100%	Non-Insurer
	10851779 Canada Inc.	100%	Non-Insurer
	11096800 Canada Inc.	100%	Non-Insurer
	1250 RLB GP Inc.	100%	Non-Insurer
	1250 RLB Limited Partnership	0.01%	Non-Insurer
	1250 RLB Limited Partnership	99.99%	Non-Insurer
	188 St. Lands Ltd.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	6965083 Canada Inc.	100%	Non-Insurer
	7037457 Canada Inc.	100%	Non-Insurer
	7647913 Canada Inc.	100%	Non-Insurer
	7647930 Canada Inc.	100%	Non-Insurer
	Annemasse Boisbriand Holdings L.P.	1%	Non-Insurer
	8750840 Canada Inc.	100%	Non-Insurer
	90 Harbour Limited Partnership	30%	Non-Insurer
	One York Street Inc.	100%	Non-Insurer
	901 King West Nominee Inc.	50%	Non-Insurer
	Annemasse Boisbriand Holdings L.P.	99%	Non-Insurer
	Faubourg Boisbriand Shopping Centre G.P. Inc.	50%	Non-Insurer
	Faubourg Boisbriand Shopping Centre L.P.	49.999%	Non-Insurer
	Faubourg Boisbriand Shopping Centre Holdings Inc.	100%	Non-Insurer
	BentallGreenOak High Yield Canadian Property Fund I Limited Partnership	11.43%	Non-Insurer
	BestServe Financial Limited	100%	Non-Insurer
	Canadian Environmental Energy Corporation	32.22%	Non-Insurer
	Country Lane Enterprises Ltd.	100%	Non-Insurer
	Hullmark Sun Life (100 Broadview) LP	50%	Non-Insurer
	Hullmark Sun Life (100 Broadview) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (340 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (360 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (376 Dufferin) LP	50%	Non-Insurer
	Hullmark Sun Life (545 King) LP	50%	Non-Insurer
	Hullmark Sun Life (545 King) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (619 Queen) LP	50%	Non-Insurer
	Hullmark Sun Life (619 Queen) Ltd.	50%	Non-Insurer
	Hullmark Sun Life (Atlantic) LP	50%	Non-Insurer
	Hullmark Sun Life (Atlantic) Ltd.	50%	Non-Insurer
	Menkes Waterfront Holdings Inc.	50%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Planswell Holdings Inc.	10.873%	Non-Insurer
	PT. Cakrawala Solusi Lintas	47.24%	Insurer
	PT. Sun Life Financial Indonesia	95.87%	Insurer
	PT. Sun Life Indonesia Services	100%	Non-Insurer
	PT. Cakrawala Solusi Lintas	52.76%	Insurer
	PT. Sun Life Financial Indonesia	4.13%	Insurer
	Rise People Inc.	31.824%	Non-Insurer
	SLC Management Canadian Commercial Mortgage Fund	74.991%	Non-Insurer
	SLC Management Core Fixed Income Fund	99.99%	Non-Insurer
	SLC Management Long Term Core Fixed Income Fund	99.99%	Non-Insurer
	SLC Management Private Fixed Income Plus Fund	11.874%	Non-Insurer
	SLF of Canada UK Limited	100%	Non-Insurer
	Sun Life Assurance Company of Canada (U.K.) Limited	100%	Insurer
	Barnwood Properties Limited	100%	Non-Insurer
	Sun Life of Canada UK Holdings Limited	100%	Non-Insurer
	Laurtrust Limited	100%	Non-Insurer
	SLFC Services Company (UK) Limited	100%	Non-Insurer
	Southpointe Common Corp.	33.33%	Non-Insurer
	Southpointe Plaza Inc.	33.33%	Non-Insurer
	Sun Life (Bermuda) Finance No. 2 LLC	100%	Non-Insurer
	Sun Life (India) AMC Investments Inc.	100%	Non-Insurer
	Aditya Birla Sun Life AMC Limited	49%	Non-Insurer
	Aditya Birla Sun Life AMC (Mauritius) Limited	100%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Ltd.	100%	Non-Insurer
	Aditya Birla Sun Life Asset Management Company Pte. Ltd.	100%	Non-Insurer
	India Advantage Fund Limited	100%	Non-Insurer
	Aditya Birla Sun Life Trustee Private Limited	49%	Non-Insurer
	Sun Life (Luxembourg) Finance No. 2 SARL	100%	Non-Insurer
	Sun Life Canadian RE GP Inc.	100%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun Life Capital Trust	100%	Non-Insurer
	Sun Life Capital Trust II	100%	Non-Insurer
	Sun Life Everbright Life Insurance Company Limited	24.99%	Insurer
	Sun Life Everbright Asset Management Co., Ltd.	99%	Non-Insurer
	Sun Life Financial (India) Insurance Investments Inc.	100%	Non-Insurer
	Aditya Birla Sun Life Insurance Company Limited	49%	Insurer
	Aditya Birla Sun Life Pension Management Limited	100%	Non-Insurer
	Sun Life Financial Advisory Inc.	100%	Non-Insurer
	Sun Life Financial Asia Services Limited	100%	Non-Insurer
	Sun Life Financial Distributors (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial Distributors (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Investment Services (Canada) Inc.	100%	Non-Insurer
	Sun Life Financial Investments (Bermuda) Ltd.	100%	Non-Insurer
	Sun Life Financial of Canada (U.K.) Overseas Investments Limited	100%	Non-Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer
	Sun Life Financial Philippine Holding Company, Inc.	100%	Non-Insurer
	Sun Life Grepa Financial, Inc.	49%	Insurer
	Grepa Realty Holdings Corporation	51%	Non-Insurer
	Grepalife Asset Management Corporation	100%	Non-Insurer
	Sun Life of Canada (Philippines), Inc.	100%	Insurer
	Sun Life Asset Management Company, Inc.	100%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2028, Inc.	81.75%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2038, Inc.	95.14%	Non-Insurer
	Sun Life Prosperity Achiever Fund 2048, Inc.	97.60%	Non-Insurer
	Sun Life Prosperity Dollar Wellspring Fund, Inc.	12.46%	Non-Insurer
	Sun Life Prosperity Philippine Stock Index Fund, Inc.	14.59%	Non-Insurer
	Sun Life Prosperity World Equity Index Feeder Fund, Inc.	99.99%	Non-Insurer
	Sun Life Financial Plans, Inc.	100%	Non-Insurer
	Sun Life Prosperity Dynamic Fund, Inc.	29.77%	Non-Insurer

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Federal ID#	Name of Subsidiary Company of Sun Life Financial Inc.	% of Voting Shares	Insurer / Non-Insurer
	Sun Life Financial Trust Inc.	100%	Non-Insurer
	Sun Life Hong Kong Limited	100%	Insurer
	Bowtie Life Insurance Company Limited	42.59%	Insurer
	Sun Life Asset Management (HK) Limited	100%	Non-Insurer
	Sun Life Financial Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Hong Kong Services Limited	100%	Non-Insurer
	Sun Life Investment Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Management Holdings (HK) Limited	100%	Non-Insurer
	Sun Life Pension Trust Limited	100%	Non-Insurer
	Sun Life Trustee Company Limited	100%	Non-Insurer
	Sun Life India Service Centre Private Limited	100%	Non-Insurer
	Sun Life Information Services Canada, Inc.	100%	Non-Insurer
	Sun Life Information Services Ireland Limited	100%	Non-Insurer
	Sun Life Insurance (Canada) Limited	100%	Insurer
	SLI General Partner Limited	100%	Non-Insurer
	SLI Investments LP	0.1%	Non-Insurer
	SLI Investments LP	99.9%	Non-Insurer
	6425411 Canada Inc.	100%	Non-Insurer
	Sun Life Investments LLC	100%	Non-Insurer
	Sun Life Malaysia Assurance Berhad	49%	Insurer
	Sun Life Malaysia Takaful Berhad	49%	Insurer
	Sun Life of Canada (Netherlands) B.V.	50%	Non-Insurer
	Sun Life Timber GP Inc.	100%	Non-Insurer
	Sun Life Timber Limited Partnership	0.01%	Non-Insurer
	Sun Life Timber LP Inc.	100%	Non-Insurer
	Sun Life Timber Limited Partnership	99.99%	Non-Insurer
	Sun Life Vietnam Insurance Company Limited	100%	Insurer

¹ BGO Holdings (Cayman), LP is ultimately owned 56% by Sun Life Financial Inc. through BK Canada Holding Inc.'s holding of 78.88% Class A-2 interests in BentallGreenOak (Canada) Limited Partnership.

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000	98-0226074		0001097362	TSX, NYSE, PSE	Sun Life Financial Inc	CAN	UIP	Common Shareholders	Ownership	100.0	Common Shareholders	N	
00549	Sun Life Financial Group	00000			0001097362		0936543 BC Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		10 Dundas Street Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		10 Ronrose Drive GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		10851744 Canada Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		10851779 Canada Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1090 Pender Properties Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		11096800 Canada Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		121 King Street West I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		121 King Street West II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		121 King Street West III GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		121 King Street West Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1250 RLB GP Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1250 RLB Limited Partnership	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1250 RLB Limited Partnership	CAN	NIA	1250 RLB GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		13th Avenue SE GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		13th Avenue SW GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1467 Bathurst Holdings Inc	CAN	NIA	BK Prime Ontario I GP Inc	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1600 Rene-Levesque GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1600 Rene-Levesque Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1642 Merivale Road GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1687 Cliveden Avenue Holdings Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		18 Parkshore Drive GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		1855 and 1875 Buckhorn Gate GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		188 St. Lands Ltd.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		2025 Willingdon Avenue Holdings Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		2-8 St. Thomas Holdings Inc.	CAN	NIA	2-8 St. Thomas Street GP Inc.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		2-8 St. Thomas Street GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		290-300 King George Road GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		3060097 Nova Scotia Company	CAN	NIA	MFS Institutional Advisors, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		5100 Spectrum Way GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		52nd Street Business Centre GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		550 Burrard I GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		550 Burrard II GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		550 Burrard Street Ltd.	CAN	NIA	0936543 BC Ltd.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		5825 Explorer Drive GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		5875 Explorer Drive GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		600 de Maisonneuve Ltée/600 de Maisonneuve Ltd.	CAN	NIA	BK Prime Quebec I GP Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		6324983 Canada Inc.	CAN	NIA	Sun Life Financial Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		6425411 Canada Inc.	CAN	NIA	SLI Investments LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		6965083 Canada Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		7037457 Canada Inc.	CAN	NIA	6965083 Canada Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		715-725 Eaton Way Holdings Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		7277733 Canada Inc.	CAN	NIA	BK Prime Quebec I GP Inc.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		7647913 Canada Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		7647930 Canada Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		77 Metcalfe Street II GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		787 Cliveden Place Holdings Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		8400 River Road Holdings Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		8750840 Canada Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		90 Harbour Limited Partnership	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	30.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		901 King West Nominee Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life AMC (Mauritius) Limited	MUS	NIA	Aditya Birla Sun Life AMC Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life AMC Limited	IND	NIA	Sun Life (India) AMC Investments Inc	Ownership	49.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life Asset Management Company Ltd	ARE	NIA	Aditya Birla Sun Life AMC Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life Asset Management Company Pte. Ltd	SGP	NIA	Aditya Birla Sun Life AMC Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life Insurance Company Limited	IND	IA	Sun Life Financial (India) Insurance Investments Inc	Ownership	49.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life Pension Management Limited	IND	NIA	Aditya Birla Sun Life Insurance Company Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Aditya Birla Sun Life Trustee Private Limited	IND	NIA	Sun Life (India) AMC Investments Inc	Ownership	49.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Airport 407 Business Campus GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Annemasse Boisbriand Holdings L.P	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	99.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Annemasse Boisbriand Holdings L.P	CAN	NIA	7647930 Canada Inc	Ownership	1.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Barnwood Properties Limited	GBR	NIA	Sun Life Assurance Company of Canada (U.K.) Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bentall Kennedy (Luxembourg) G.P. Sarl	LUX	NIA	BGO Luxembourg Holdings Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bentall Kennedy Holdings (Canada) Ltd	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-3502546		0001097362		Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-3468348		0001097362		Bentall Kennedy U.S. Strategic Value Fund, L.P	DE	NIA	Sun Life Financial (U.S.) Investments LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-3468348		0001097362		Bentall Kennedy U.S. Strategic Value Fund, L.P	DE	NIA	Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bentall Property Fund VI General Partner Inc	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bentall Property Fund VI Holdings Inc	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bentall Property Services (Ontario) Ltd	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak (Canada) GP Ltd	CAN	NIA	BK Canada Holdings Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak (Canada) Limited Partnership	CAN	NIA	BK Canada Holdings Inc	Ownership	56.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-5270592		0001097362		BentalGreenOak (U.S.) GP LLC	DE	NIA	Sun Life Investment Management U.S., Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-5300937		0001097362		BentalGreenOak (U.S.) Limited Partnership	DE	NIA	Sun Life Investment Management U.S., Inc	Ownership	56.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Advisors (Hong Kong) Limited	HKG	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1367181		0001097362		BentalGreenOak Advisors (Korea) Limited	KOR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-0688174		0001097362		BentalGreenOak Advisors (UK) LLP	GBR	NIA	GreenOak Real Estate Services Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1070246		0001097362		BentalGreenOak Asset Management (Germany) GmbH	DEU	NIA	BentalGreenOak Advisors (UK) LLP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Global Cities Fund GP Inc	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak High Yield Canadian Property Fund I Limited Partnership	CAN	NIA	BKHY Lower Holdco Inc	Ownership	15.8	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak High Yield Canadian Property Fund I Limited Partnership	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	11.4	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak High Yield Canadian Property Fund I Limited Partnership	CAN	NIA	HYPF Fund I GP Limited Partnership	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Prime Canadian Investments I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Prime Canadian Property Fund GP Inc	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Prime Canadian Property Pool GP Inc	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak Prime Investments Trustee Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	45-1599282		0001097362		BentalGreenOak Real Estate US LLC	DE	NIA	BGO US Real Estate LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak UK Secured Lending III Carry LP	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1533529		0001097362		BentalGreenOak UK Secured Lending III GP Ltd	GBR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1531524		0001097362		BentalGreenOak UK Secured Lending III LP	GBR	NIA	BentalGreenOak UK Secured Lending III GP Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentalGreenOak UK Tactical Lending Carry LP	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1530387		0001097362		BentalGreenOak UK Tactical Lending GP Limited	GBR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000	98-1533216		0001097362		BentallGreenOak UK Tactical Lending LP	GBR	NIA	BentallGreenOak UK Tactical Lending GP Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentallGreenOak US Core Plus Fund LP	DE	NIA	BentallGreenOak US Core Plus GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentallGreenOak US Core Plus GP LLC	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentallGreenOak US Core Plus LF-A LP	DE	NIA	BentallGreenOak US Core Plus Fund LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BentallGreenOak US Lending GP LLC	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	84-4987084		0001097362		BentallGreenOak US Lending LP	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BestServe Financial Limited	HKG	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Asia III Advisors Japan G.K.	JPN	NIA	BGO Asia III Advisors LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Asia III Advisors LLC	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Capital (Canada) Inc	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	32-0466479		0001097362		BGO Corporate Holdings (US) LLC	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-4733550		0001097362		BGO Daily Value Fund GP LLC	DE	NIA	NewTower Trust Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Grand Adams Member LLC	DE	NIA	BGO US Core Plus REIT I-A LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Holdings (Cayman), LP	CYM	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	71.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Luxembourg Holdings Ltd	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Mortgage Lending LLC	DE	NIA	BentallGreenOak US Lending LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Mortgage Services Canada Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	84-3749558		0001097362		BGO Plaza 600 JV LLC	DE	NIA	BGO Plaza 600 Member LLC	Ownership	90.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Plaza 600 Member LLC	DE	NIA	BGO US Core Plus REIT I-A LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Prime GP Holdco Inc	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO Real Property Services (Canada) Inc	CAN	NIA	BentallGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO US Core Plus REIT I-A LLC	DE	NIA	Core Plus Separate Account LF LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO US Mortgages Inc	CA	NIA	BGO Corporate Holdings (US) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	42-1772921		0001097362		BGO US Real Estate LP	DE	NIA	BentallGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000	98-1525991		0001097362		BGO WELPUT Carry GP Limited	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO WELPUT Carry LLC	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BGO WELPUT Carry LP	CYM	NIA	BGO WELPUT Carry GP Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	84-4106508		0001097362		BGONV Grand Adams JV LLC	DE	NIA	BGO Grand Adams Member LLC	Ownership	90.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Canada Holdings Inc	CAN	NIA	Sun Life Global Investments Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime Alberta I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime British Columbia I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime False Creek Residences GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime False Creek Residences Holdings Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime Foothills North GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime Kelowna Property Holdings GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime Ontario I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BK Prime Quebec I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BKCP Residential Limited Partnership	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BKCP Residential Limited Partnership	CAN	NIA	BentalGreenOak Global Cities Fund GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BKHY Lower Holdco Inc	CAN	NIA	BKHY Upper Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		BKHY Upper Holdco Inc	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	90-0925083		0001097362		BKUS Institutional Logistics Coinvestment LLC	CA	NIA	BentalGreenOak (U.S.) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Bowtie Life Insurance Company Limited	HKG	IA	Sun Life Hong Kong Limited	Ownership	42.6	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Canadian Environmental Energy Corporation	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	32.2	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Capilano Business Park Holdings Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Capilano Business Park II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		CareLife Fleetwood GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		CareLife Maple Ridge GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	

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STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		Champlain Square GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Churchill 403 Business Campus GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	84-3805305		0001097362		Core Plus Separate Account LP	DE	NIA	Core Plus Separate Account LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	84-3793856		0001097362		Core Plus Separate Account LP	DE	NIA	BentalGreenOak US Core Plus GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Country Lane Enterprises Ltd.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	26-4312923		0001097362		DailyFeats, Inc.	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Dalhousie Station GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	13-3830846		0001097362		Dental Health Alliance, L.L.C.	DE	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	Y	
00549	Sun Life Financial Group	00000	59-3063687		0001097362		Denticare of Alabama, Inc.	AL	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	01-0483086		0001097362		Disability Reinsurance Management Services, Inc.	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Doyle Avenue Holdings Ltd.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Eastgate Square GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Excel Funds Management Inc.	CAN	NIA	Sun Life Global Investments (Canada) Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Excel Investment Counsel Inc.	CAN	NIA	Sun Life Global Investments (Canada) Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Faubourg Boisbriand Shopping Centre G.P. Inc.	CAN	NIA	Annemasse Boisbriand Holdings L.P.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Faubourg Boisbriand Shopping Centre Holdings Inc.	CAN	NIA	Faubourg Boisbriand Shopping Centre L.P.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Faubourg Boisbriand Shopping Centre L.P.	CAN	NIA	Annemasse Boisbriand Holdings L.P.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Gateway Business Campus GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	46-2565118		0001097362		GO 425 Park GP, LLC	DE	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GO Core Plus GP, LLC	DE	NIA	BGO US Real Estate LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GO Cross GP Ltd	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GO Dixon GP Ltd	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	20.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GO Epsilon AcquiCo A-B Sarl	LUX	NIA	GO Epsilon HoldCo I Sarl	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GO Epsilon AcquiCo A-L Sarl	LUX	NIA	GO Epsilon HoldCo I Sarl	Ownership	100.0	Sun Life Financial Inc.	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000	98-1521714		0001097362		GO Epsilon AcquiCo A-0 Sarl	LUX	NIA	GO Epsilon HoldCo I Sarl	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Epsilon GP, LLC	CYM	NIA	BK Canada Holdings Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1521151		0001097362		GO Epsilon HoldCo I Sarl	LUX	NIA	GO Epsilon, LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Epsilon, LP	CYM	NIA	GO Epsilon GP, LLC	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Equity GP LLC	DE	NIA	Sun Life Investment Management U.S., Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1061767		0001097362		GO Europe Advisor LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1322411		0001097362		GO Europe Carry II, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1322664		0001097362		GO Europe Carry, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Europe Investment Carry GP, Ltd	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1222294		0001097362		GO Europe Investment Carry, LP	CYM	NIA	GO Europe Investment Carry GP, Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1272837		0001097362		GO Europe Secured Lending GP Holdings, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1331621		0001097362		GO Grafton Finance Ltd	GBR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1331651		0001097362		GO Grafton Holdings Ltd	GBR	NIA	GO Grafton Finance Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	45-2987552		0001097362		GO Houston ROHV GP, LLC	DE	NIA	BGO US Real Estate LP	Ownership	20.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1405828		0001097362		GO India Holdings Ltd	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Japan Holdings Ltd	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1161816		0001097362		GO Spain JV I, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GO Treehouse Investment GP, LLC	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GOGC AHL Advisor Ltd	CYM	NIA	GO Europe Advisor LP	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GOGC Allen House GP Ltd	CYM	NIA	BGO Holdings (Cayman), LP	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1155466		0001097362		GOGC Funding I, LP	CYM	NIA	GO Spain JV I, LP	Ownership	88.3	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1155147		0001097362		GOGC GP Ltd	CYM	NIA	GO Spain JV I, LP	Ownership	75.6	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1162250		0001097362		GOGC Manager Ltd	CYM	NIA	GO Europe Advisor LP	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	32-0531897		0001097362		Grafton Advisors (2006) LLP	GBR	NIA	GO Grafton Holdings Ltd	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000	32-0531897		0001097362		Grafton Advisors (2006) LLP	GBR	NIA	GreenOak Real Estate Services Ltd.	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Grand Adams Owner LLC	DE	NIA	BGO NV Grand Adams JV LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1035286		0001097362		GreenOak Asia Advisor LP	CYM	NIA	GreenOak Japan GP Ltd	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1201073		0001097362		GreenOak Asia GP II, LP	CYM	NIA	GreenOak Asia Advisor LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1502736		0001097362		GreenOak Asia GP III, LP	CYM	NIA	GreenOak Asia Advisor LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1035902		0001097362		GreenOak Euro RE Asset Management LLP	GBR	NIA	GreenOak Real Estate Services Ltd.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1317898		0001097362		GreenOak Europe (Lux) GP II, S.à r.l.	LUX	NIA	GreenOak Europe II Carry, LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1481275		0001097362		GreenOak Europe (Lux) III GP S.à r.l.	LUX	NIA	GreenOak Europe III GP, LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Europe Core Plus Logistics GP Holdings LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1507422		0001097362		GreenOak Europe Core Plus Logistics GP Sarl	LUX	NIA	GreenOak Europe Core Plus Logistics GP Holdings LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	81-1928087		0001097362		GreenOak Europe II Carry, LP	DE	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1295732		0001097362		GreenOak Europe II GP Ltd	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1295754		0001097362		GreenOak Europe II GP, LP	CYM	NIA	GreenOak Europe II GP Ltd	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1512107		0001097362		GreenOak Europe III GP Ltd	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1512140		0001097362		GreenOak Europe III GP, LP	CYM	NIA	GreenOak Europe III GP Ltd	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1272874		0001097362		GreenOak Europe Secured Lending GP Sarl	LUX	NIA	GO Europe Secured Lending GP Holdings, LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Europe Secured Lending II GP Holdings, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Europe Secured Lending II GP Sarl	LUX	NIA	GreenOak Europe Secured Lending II GP Holdings, LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1405886		0001097362		GreenOak India Investment Advisors Private Limited	IND	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1405886		0001097362		GreenOak India Investment Advisors Private Limited	IND	NIA	GreenOak Asia Advisor LP	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Investment Management K.K.	JPN	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-1283316		0001097362		GreenOak Japan GP Ltd	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	98-0697103		0001097362		GreenOak Japan GP, LP	CYM	NIA	GreenOak Asia Advisor LP	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Management Services Sarl	LUX	NIA	BentalGreenOak Advisors (UK) LLP	Ownership	100.0	Sun Life Financial Inc.	N	

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SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000	81-1097117		0001097362		GreenOak MC Core Plus GP, LLC	DE	NIA	GO Core Plus GP, LLC	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-1293379		0001097362		GreenOak MC Real Estate Management LLC	DE	NIA	GreenOak Real Estate Advisors LP	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1324508		0001097362		GreenOak Real Estate Advisors (Jersey) Limited	GBR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1367180		0001097362		GreenOak Real Estate Advisors (Spain) S.L	ESP	NIA	BentalGreenOak Advisors (UK) LLP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Real Estate Advisors K.K	JPN	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	42-1772920		0001097362		GreenOak Real Estate Advisors LP	DE	NIA	BGO US Real Estate LP	Ownership	99.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	42-1772920		0001097362		GreenOak Real Estate Advisors LP	DE	NIA	GreenOak Real Estate GP LLC	Ownership	1.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	42-1772919		0001097362		GreenOak Real Estate GP LLC	DE	NIA	BGO US Real Estate LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-0688175		0001097362		GreenOak Real Estate Holdings Limited	GBR	NIA	BentalGreenOak Advisors (UK) LLP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-0688176		0001097362		GreenOak Real Estate Services Ltd	GBR	NIA	BGO Holdings (Cayman), LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1322998		0001097362		GreenOak Spain GP, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1201236		0001097362		GreenOak Spain GP, Ltd	CYM	NIA	GreenOak Spain GP, LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Tactical Lending GP Holdings, LP	CYM	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak Tactical Lending GP Sarl	LUX	NIA	GreenOak Tactical Lending GP Holdings, LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		GreenOak UK II GP Holdings, LLC	CYM	NIA	GreenOak UK Secured Lending II GP Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1326154		0001097362		GreenOak UK Secured Lending GP Ltd	GBR	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1326162		0001097362		GreenOak UK Secured Lending II GP Ltd	GBR	NIA	GO Europe Carry II, LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-1072868		0001097362		GreenOak US (EU Parallel) GP II, LLC	DE	NIA	GreenOak US GP II, LP	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	98-1261607		0001097362		GreenOak US (EU Parallel) GP Sarl	LUX	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	46-2839069		0001097362		GreenOak US GP II, LP	DE	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	81-2921195		0001097362		GreenOak US GP III, LP	DE	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	27-4518011		0001097362		GreenOak US GP, LP	DE	NIA	GO Equity GP LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Grepa Realty Holdings Corporation	PHL	NIA	Sun Life Grepa Financial, Inc	Ownership	51.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Grepalife Asset Management Corporation	PHL	NIA	Sun Life Grepa Financial, Inc	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000			0001097362		H Business Park (A&B) GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		H Business Park (G&H) GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hazeldean Mall GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		High Plains Boulevard Holdings Ltd.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hillside Centre Holdings Inc.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hillside Centre I GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hillside Centre II GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (100 Broadview) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (100 Broadview) Ltd.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (340 Dufferin) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (360 Dufferin) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (376 Dufferin) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (545 King) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (545 King) Ltd.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (619 Queen) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (619 Queen) Ltd.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (Atlantic) LP.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Hullmark Sun Life (Atlantic) Ltd.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		HYPF Fund I GP Inc.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		HYPF Fund I GP Limited Partnership.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		HYPF Fund I GP Limited Partnership.	CAN	NIA	HYPF Fund I GP Inc.	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	64602	61-0403075		0001097362		Independence Life and Annuity Company.	DE	IA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		India Advantage Fund Limited.	MUS	NIA	Aditya Birla Sun Life AMC Limited.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		InfraRed (UK) Holdco 2020 Ltd.	GBR	NIA	Sun Life (U.S.) HoldCo 2020, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	

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STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		Lakeshore Streetfront Retail Properties GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Lakeshore Streetfront Retail Properties II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-1750874		0001097362		Landmark Dental Alliance, Inc	MN	NIA	The Premier Dental Group, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Laurtrust Limited	GBR	NIA	Sun Life of Canada UK Holdings Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-2747644		0001097362		Massachusetts Financial Services Company	DE	NIA	Sun Life of Canada (U.S.) Financial Services Holdings, Inc	Ownership	95.2	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Menkes Waterfront Holdings Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS Development Funds, LLC	DE	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS do Brasil Desenvolvimento de Mercado Ltda	BRA	NIA	MFS International Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-2747644		0001097362		MFS Exchange LLC	DE	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS Financial Management Consulting (Shanghai) Co., Ltd	CHN	NIA	MFS International Holdings Pty Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3169826		0001097362		MFS Fund Distributors, Inc	DE	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	02-0507414		0001097362		MFS Heritage Trust Company	NH	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3247425		0001097362		MFS Institutional Advisors, Inc	DE	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International (Chile) SpA	CHL	NIA	MFS International Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International (Hong Kong) Limited	HKG	NIA	MFS International Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International (U.K.) Limited	GBR	NIA	MFS International Holdings Pty Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International Australia Pty Ltd	AUS	NIA	MFS International Holdings Pty Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International Holdings Pty Ltd	AUS	NIA	MFS International Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International Ltd	BMU	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International Singapore Pte. Ltd	SGP	NIA	MFS International Holdings Pty Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS International Switzerland GmbH	CHE	NIA	MFS International (U.K.) Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS Investment Management Canada Limited	CAN	NIA	MFS Institutional Advisors, Inc	Ownership	85.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		MFS Investment Management Canada Limited	CAN	NIA	3060097 Nova Scotia Company	Ownership	15.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		MFS Investment Management Company (LUX) S.à.r.l.	LUX	NIA	MFS International Holdings Pty Ltd.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		MFS Investment Management K.K.	JPN	NIA	MFS International Holdings Pty Ltd.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	04-2864897		0001097362		MFS Service Center, Inc.	DE	NIA	Massachusetts Financial Services Company	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Milton Mall GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Milton Mall II GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Mission Hill GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Morehead Parking Facility, LLC	NC	NIA	Sun Life Assurance Company of Canada	Ownership	50.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		NewTower Management GP LLC	DE	NIA	NewTower Trust Company	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		NewTower Management LLC	DE	NIA	NewTower Trust Company	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	30-0872552		0001097362		NewTower Trust Company	MD	NIA	Rushmore Partners LLC	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		One York Street Inc.	CAN	NIA	90 Harbour Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		P&T Shopping Centre Holdings Ltd.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Parkgate Village Holdings Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Pearson Corporate Centre GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Penreal Property Fund V General Partner Inc.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Penreal Property Fund V Holdings Inc.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		PenRetail Management Limited Partnership.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		PenRetail Management Limited Partnership.	CAN	NIA	PenRetail Management Ltd.	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		PenRetail Management Ltd.	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Peterborough Retail Portfolio GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		PG Village Shopping Centre GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Planswell Holdings Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	10.9	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Precidio Court and Corporation Drive GP Inc.	CAN	NIA	BGO Prime GP Holdco Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	68047	59-0411385		0001097362		Professional Insurance Company	TX	IA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		PT. Cakrawala Solusi Lintas	IDN	IA	PT. Sun Life Indonesia Services	Ownership	52.8	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		PT. Cakrawala Solusi Lintas	IDN	IA	Sun Life Assurance Company of Canada	Ownership	47.2	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		PT. Sun Life Financial Indonesia	IDN	IA	Sun Life Assurance Company of Canada	Ownership	95.9	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		PT. Sun Life Financial Indonesia	IDN	IA	PT. Sun Life Indonesia Services	Ownership	4.1	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		PT. Sun Life Indonesia Services	IDN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Rise People Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	31.8	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-1641876		0001097362		Rushmore Partners LLC	DE	NIA	BGO Corporate Holdings (US) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-8998687		0001097362		SL Finance 2007-1, Inc	DE	NIA	Sun Life Financial Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SL Investment 2007-1 ULC	CAN	NIA	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	26-4183712		0001097362		SL Investment US-RE Holdings 2009-1, Inc	DE	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Canadian Commercial Mortgage Fund	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	75.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Canadian Commercial Mortgage Fund	CAN	NIA	Sun Life Financial Inc	Ownership	6.2	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Canadian Commercial Mortgage Fund	CAN	NIA	SLC Management Canadian Commercial Mortgage GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Canadian Commercial Mortgage GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Core FI GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Core Fixed Income Fund	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Core Fixed Income Fund	CAN	NIA	SLC Management Core FI GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Long Term Core FI GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Long Term Core Fixed Income Fund	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Long Term Core Fixed Income Fund	CAN	NIA	SLC Management Long Term Core FI GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Long Term PFIP GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Multi Series LLC - Series 2	DE	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management PFIP GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		SLC Management Private Fixed Income Plus Fund	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	11.9	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Private Fixed Income Plus Fund	CAN	NIA	SLC Management PFIP GP Inc	Ownership	0.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management Short Term PFIP GP Inc	CAN	NIA	Sun Life Capital Management (Canada) Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	85-0660546		0001097362		SLC Management TALF GP, LLC	DE	NIA	Sun Life Capital Management (U.S.) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	85-0731880		0001097362		SLC Management TALF Partners Fund 2, L.P.	DE	NIA	Sun Life Capital Management (U.S.) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management TIPS Partners Management, LLC	DE	NIA	Sun Life Capital Management (U.S.) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management TIPS Partners, L.P.	DE	NIA	SLC Management TIPS Partners Management, LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management U.S. Investment Grade Private Credit Fund, L.P.	DE	NIA	Sun Life Assurance Company of Canada	Ownership	99.9	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLC Management U.S. Investment Grade Private Credit Fund, L.P.	DE	NIA	SLC Management U.S. Private Credit GP, LLC	Ownership	0.1	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	84-3510618		0001097362		SLC Management U.S. Private Credit GP, LLC	DE	NIA	Sun Life Capital Management (U.S.) LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	37-1948633		0001097362		SLCAL, Inc	DE	NIA	BGO US Mortgages Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLF of Canada UK Limited	GBR	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLFC Services Company (UK) Limited	GBR	NIA	Sun Life of Canada UK Holdings Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLI General Partner Limited	CAN	NIA	Sun Life Insurance (Canada) Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLI Investments LP	CAN	NIA	Sun Life Insurance (Canada) Limited	Ownership	99.9	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		SLI Investments LP	CAN	NIA	SLI General Partner Limited	Ownership	0.1	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	45-2424030		0001097362		Solidify Software, LLC	KS	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Southpointe Common Corp	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	33.3	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Southpointe Plaza Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	33.3	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3292937		0001097362		Sun Canada Financial Co	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life (Bermuda) Finance No. 1 Ltd	BMU	NIA	Sun Life 2007-1 Financing Corp	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life (Bermuda) Finance No. 2 LLC	BMU	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life (India) AMC Investments Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life (Luxembourg) Finance No. 1 SARL	LUX	NIA	Sun Life 2007-1 Financing Corp	Ownership	100.0	Sun Life Financial Inc	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		Sun Life (Luxembourg) Finance No. 2 SARL	LUX	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	84-4711925		0001097362		Sun Life (U.S.) HoldCo 2020, Inc	DE	NIA	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life (UK) Designated Member Ltd	GBR	NIA	InfraRed (UK) Holdco 2020 Ltd	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life 2007-1 Financing Corp	CAN	NIA	Sun Life Global Investments Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	06-1435452		0001097362		Sun Life Administrators (U.S.), Inc	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	80926	06-0893662		0001097362		Sun Life and Health Insurance Company (U.S.)	MI	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Asset Management (HK) Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Asset Management Company, Inc	PHL	NIA	Sun Life of Canada (Philippines), Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	80802	38-1082080		0001097362		Sun Life Assurance Company of Canada	CAN	UDP	Sun Life Financial Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3401283		0001097362		Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc	DE	NIA	Sun Life 2007-1 Financing Corp	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Assurance Company of Canada (Barbados) Limited	BRB	IA	Sun Life Financial Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Assurance Company of Canada (U.K.) Limited	GBR	IA	SLF of Canada UK Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Canadian RE GP Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Capital Management (Canada) Inc	CAN	NIA	Sun Life Global Investments Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3132283		0001097362		Sun Life Capital Management (U.S.) LLC	DE	NIA	Sun Life Investment Management U.S., Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Capital Trust	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Capital Trust II	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Everbright Asset Management Co., Ltd	CHN	NIA	Sun Life Everbright Life Insurance Company Limited	Ownership	99.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Everbright Life Insurance Company Limited	CHN	IA	Sun Life Assurance Company of Canada	Ownership	25.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Financial (Bermuda) Reinsurance Ltd	BMU	IA	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Financial (India) Insurance Investments Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3526207		0001097362		Sun Life Financial (Japan), Inc	DE	NIA	Sun Life Assurance Company of Canada - U.S. Operations Holdings, Inc	Ownership	100.0	Sun Life Financial Inc	N	

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STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial (U.S.) Delaware Finance, LLC	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	04-3579262		.0001097362		Sun Life Financial (U.S.) Holdings, Inc.	DE	NIA	Sun Life Assurance Company of Canada - U.S. Operations	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial (U.S.) Investments LLC	DE	NIA	Sun Life Financial (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	13051	26-1292779		.0001097362		Sun Life Financial (U.S.) Reinsurance Company	VT	IA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	15325	46-1499557		.0001097362		Sun Life Financial (U.S.) Reinsurance Company II	DE	IA	Independence Life and Annuity Company	Ownership	100.0	Sun Life Financial Inc.	Y	
00549	Sun Life Financial Group	00000	26-3730703		.0001097362		Sun Life Financial (U.S.) Services Company, Inc.	DE	NIA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Advisory Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Asia Services Limited	HKG	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Distributors (Bermuda) Ltd	BMU	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Distributors (Canada) Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	04-2470476		.0001097362		Sun Life Financial Distributors, Inc.	DE	NIA	Sun Life Financial (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Holdings (HK) Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial International Holdings (MC), LLC	DE	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Investment Services (Canada) Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Investments (Bermuda) Ltd	BMU	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial of Canada (U.K.) Overseas Investments Limited	GBR	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Philippine Holding Company, Inc.	PHL	NIA	Sun Life of Canada (Netherlands) B.V.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Plans, Inc.	PHL	NIA	Sun Life of Canada (Philippines), Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Financial Trust Inc.	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Global Investments (Canada) Inc.	CAN	NIA	Sun Life Global Investments Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Global Investments Inc.	CAN	NIA	Sun Life Financial Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			.0001097362		Sun Life Grepa Financial, Inc.	PHL	IA	Sun Life Financial Philippine Holding Company, Inc.	Ownership	49.0	Sun Life Financial Inc.	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		Sun Life Hong Kong Limited	BMU	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Hong Kong Services Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life India Service Centre Private Limited	IND	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Information Services Canada, Inc	CAN	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Information Services Ireland Limited	IRL	NIA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	61-1723752		0001097362		Sun Life Institutional Distributors (U.S.) LLC	DE	NIA	Sun Life Financial (U.S.) Investments LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Insurance (Canada) Limited	CAN	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Investment Holdings (HK) Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	68-0635051		0001097362		Sun Life Investment Management U.S., Inc	DE	NIA	Sun Life Financial (U.S.) Investments LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Investments LLC	DE	NIA	6425411 Canada Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Malaysia Assurance Berhad	MYS	IA	Sun Life Assurance Company of Canada	Ownership	49.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Malaysia Takaful Berhad	MYS	IA	Sun Life Assurance Company of Canada	Ownership	49.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Management Holdings (HK) Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life of Canada (Netherlands) B.V	NLD	NIA	Sun Life Assurance Company of Canada	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life of Canada (Netherlands) B.V	NLD	NIA	Sun Life Financial of Canada (U.K.) Overseas Investments Limited	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life of Canada (Philippines), Inc	PHL	IA	Sun Life of Canada (Netherlands) B.V	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3401285		0001097362		Sun Life of Canada (U.S.) Financial Services Holdings, Inc	DE	NIA	Sun Life Financial (U.S.) Investments LLC	Ownership	99.9	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	04-3365782		0001097362		Sun Life of Canada (U.S.) Holdings, Inc	DE	NIA	Sun Life Financial (U.S.) Investments LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life of Canada International Assurance Limited	BRB	IA	Sun Life Financial Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life of Canada UK Holdings Limited	GBR	NIA	SLF of Canada UK Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Pension Trust Limited	HKG	NIA	Sun Life Hong Kong Limited	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Achiever Fund 2028, Inc	PHL	NIA	Sun Life Asset Management Company, Inc	Ownership	81.8	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Achiever Fund 2038, Inc	PHL	NIA	Sun Life Asset Management Company, Inc	Ownership	95.1	Sun Life Financial Inc	N	

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STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Achiever Fund 2048, Inc.	PHL	NIA	Sun Life Asset Management Company, Inc.	Ownership	97.6	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Dollar Wellspring Fund, Inc.	PHL	NIA	Sun Life Asset Management Company, Inc.	Ownership	12.5	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Dynamic Fund, Inc.	PHL	NIA	Sun Life of Canada (Philippines), Inc.	Ownership	29.8	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity Philippine Stock Index Fund, Inc.	PHL	NIA	Sun Life Asset Management Company, Inc.	Ownership	14.6	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Prosperity World Equity Index Feeder Fund, Inc.	PHL	NIA	Sun Life Asset Management Company, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Timber GP Inc.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Timber Limited Partnership.	CAN	NIA	Sun Life Timber LP Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Timber Limited Partnership.	CAN	NIA	Sun Life Timber GP Inc.	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Timber LP Inc.	CAN	NIA	Sun Life Assurance Company of Canada.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Trustee Company Limited.	HKG	NIA	Sun Life Hong Kong Limited.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Sun Life Vietnam Insurance Company Limited.	VNM	IA	Sun Life Assurance Company of Canada.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SVF Latham Square Owner, LLC.	DE	NIA	BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SVF Latham Square, LLC.	DE	NIA	BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SVF Operating LLC.	DE	NIA	BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SVF REIT Manager, LLC.	DE	NIA	BGO US Core Plus REIT I-A LLC, Bentall Kennedy U.S. Strategic Value Fund GP, L.L.C.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SVF The View Pacific LLC.	DE	NIA	SVF Operating LLC.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SynchroSERV Inc.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SynchroSERV Limited Partnership.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		SynchroSERV Limited Partnership.	CAN	NIA	SynchroSERV Inc.	Ownership	0.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Ten 201 Jasper Avenue Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		Ten 303 Jasper Avenue Ltd.	CAN	NIA	BentallGreenOak (Canada) Limited Partnership.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000	41-1694906		0001097362		The Premier Dental Group, Inc.	MN	NIA	Sun Life of Canada (U.S.) Holdings, Inc.	Ownership	100.0	Sun Life Financial Inc.	N	
00549	Sun Life Financial Group	00000			0001097362		The View Pacific LLC.	DE	NIA	SVF The View Pacific LLC.	Ownership	80.0	Sun Life Financial Inc.	N	

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
00549	Sun Life Financial Group	00000			.0001097362		The View Pacific Owner LLC	DE	NIA	The View Pacific LLC	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	33-0360239		.0001097362		UDC Dental California, Inc	CA	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	52022	74-2609036		.0001097362		UDC Ohio, Inc	OH		Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	00000	58-1099945		.0001097362		Union Security DentalCare of Georgia, Inc	GA	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	11244	52-1565653		.0001097362		Union Security DentalCare of New Jersey, Inc	NJ	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	47708	86-0517444		.0001097362		United Dental Care of Arizona, Inc	AZ	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	52032	86-0631335		.0001097362		United Dental Care of Colorado, Inc	CO	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	47044	75-2481527		.0001097362		United Dental Care of Missouri, Inc	MO	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	47042	86-0384270		.0001097362		United Dental Care of New Mexico, Inc	NM	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	95142	75-2076282		.0001097362		United Dental Care of Texas, Inc	TX	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	95450	75-2635404		.0001097362		United Dental Care of Utah, Inc	UT	IA	Sun Life Assurance Company of Canada	Ownership	100.0	Sun Life Financial Inc	Y	
00549	Sun Life Financial Group	00000			.0001097362		Viceroy Road Portfolio GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000	20-5189428		.0001097362		Vuzion, Inc	MN	NIA	The Premier Dental Group, Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		West Pender I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		West Pender II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		West Pender III GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Westpen 10 Dundas GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Westpen 10201 Jasper Avenue GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Westpen 10303 Jasper Avenue GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Westpen North Hill GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		White Oaks Mall Holdings Ltd	CAN	NIA	BentalGreenOak (Canada) Limited Partnership	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		White Oaks Mall I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		White Oaks Mall II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		WO Mall GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	

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STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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00549	Sun Life Financial Group	00000			.0001097362		Yellowhead Crossing Development Ltd	CAN	NIA	Yellowhead Crossing II GP Inc	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Yellowhead Crossing Development Ltd	CAN	NIA	Yellowhead Crossing III GP Inc	Ownership	50.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Yellowhead Crossing I GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Yellowhead Crossing II GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	
00549	Sun Life Financial Group	00000			.0001097362		Yellowhead Crossing III GP Inc	CAN	NIA	BGO Prime GP Holdco Inc	Ownership	100.0	Sun Life Financial Inc	N	

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Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

Bar Code:

1.



OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Current year change in encumbrances0	.0
4. Total gain (loss) on disposals0	.0
5. Deduct amounts received on disposals0	.0
6. Total foreign exchange change in book/adjusted carrying value0	.0
7. Deduct current year's other-than-temporary impairment recognized0	.0
8. Deduct current year's depreciation0	.0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)0	.0
10. Deduct total nonadmitted amounts0	.0
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)0	.0
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and mortgage interest points and commitment fees0	.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Total valuation allowance0	.0
13. Subtotal (Line 11 plus Line 12)0	.0
14. Deduct total nonadmitted amounts0	.0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition0	.0
2.2 Additional investment made after acquisition0	.0
3. Capitalized deferred interest and other0	.0
4. Accrual of discount0	.0
5. Unrealized valuation increase (decrease)0	.0
6. Total gain (loss) on disposals0	.0
7. Deduct amounts received on disposals0	.0
8. Deduct amortization of premium and depreciation0	.0
9. Total foreign exchange change in book/adjusted carrying value0	.0
10. Deduct current year's other-than-temporary impairment recognized0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)0	.0
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

NONE

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	250,051	249,989
2. Cost of bonds and stocks acquired	140,777	.0
3. Accrual of discount	19	116
4. Unrealized valuation increase (decrease)0	.0
5. Total gain (loss) on disposals0	.0
6. Deduct consideration for bonds and stocks disposed of	90,000	.0
7. Deduct amortization of premium	98	54
8. Total foreign exchange change in book/adjusted carrying value0	.0
9. Deduct current year's other-than-temporary impairment recognized0	.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees0	.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	300,749	250,051
12. Deduct total nonadmitted amounts0	.0
13. Statement value at end of current period (Line 11 minus Line 12)	300,749	250,051

STATEMENT AS OF JUNE 30, 2020 OF THE UDC OHIO, INC.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	300,800			(51)	300,800	300,749	.0	250,051
2. NAIC 2 (a).....	.0				.0	.0	.0	.0
3. NAIC 3 (a).....	.0				.0	.0	.0	.0
4. NAIC 4 (a).....	.0				.0	.0	.0	.0
5. NAIC 5 (a).....	.0				.0	.0	.0	.0
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	300,800	0	0	(51)	300,800	300,749	0	250,051
PREFERRED STOCK								
8. NAIC 1.....	.0				.0	.0	.0	.0
9. NAIC 2.....	.0				.0	.0	.0	.0
10. NAIC 3.....	.0				.0	.0	.0	.0
11. NAIC 4.....	.0				.0	.0	.0	.0
12. NAIC 5.....	.0				.0	.0	.0	.0
13. NAIC 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	300,800	0	0	(51)	300,800	300,749	0	250,051

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;
NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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Schedule DA - Part 1

NONE

Schedule DA - Verification

NONE

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

Schedule E - Part 2 - Verification

NONE

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

Schedule D - Part 3

NONE

Schedule D - Part 4

NONE

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DB - Part E

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
NONE								
8899999 Total Cash Equivalents						0	0	0