



# QUARTERLY STATEMENT

As of June 30, 2020

of the Condition and Affairs of the

## OHIO BAR LIAB INS CO

NAIC Group Code..... 0, 0 (Current Period) (Prior Period)	NAIC Company Code..... 37176	Employer's ID Number..... 31-0947214
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... December 5, 1978	Commenced Business..... September 1, 1979	
Statutory Home Office	1650 Lake Shore Drive .. Columbus .. OH .. US .. 43204 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	1650 Lake Shore Drive .. Columbus .. OH .. US .. 43204 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	614-488-7924 <i>(Area Code) (Telephone Number)</i>
Mail Address	PO Box 2708 .. Columbus .. OH .. US .. 43216-2708 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	1650 Lake Shore Drive .. Columbus .. OH .. US .. 43204 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	614-488-7924 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.oblic.com	
Statutory Statement Contact	Rodney K. McGough <i>(Name)</i> rmcgough@oblic.com <i>(E-Mail Address)</i>	614-488-7924 <i>(Area Code) (Telephone Number) (Extension)</i> 614-488-7936 <i>(Fax Number)</i>

### OFFICERS

Name	Title	Name	Title
1. Steven Craig Couch	President & CEO	2. Frederick Hunker	Secretary
3. Demetries Jo Neely	Treasurer	4.	

**OTHER**

John Stephen Stith	Chair of the Board	Frederick Leonard Oremus	Vice Chair of the Board
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### DIRECTORS OR TRUSTEES

Mary Amos Augsburg	E. Ann Gabriel	Michael Edward Flowers	Andreas Renee Horton
Barbara Jean Howard	Ronald Sinclair Kopp	Mark Kenneth McCown	Demetries Jo Neely
Frederick Leonard Oremus	Nancy Michong Pyon	Denny L Ramey	Carmen Vincent Roberto
Heather Gay Sowald	John Stephen Stith	Robin Geoffrey Weaver	Linde Hurst Webb

State of..... Ohio  
County of..... Franklin

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Steven Craig Couch 1. (Printed Name) President & CEO (Title)	_____ (Signature) Frederick Hunker 2. (Printed Name) Secretary (Title)	_____ (Signature) Demetries Jo Neely 3. (Printed Name) Treasurer (Title)
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Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? Yes [ X ] No [ ]

b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	29,266,111		29,266,111	29,942,108
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	8,002,926		8,002,926	8,734,545
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....1,826,747), cash equivalents (\$.....2,425,537) and short-term investments (\$.....402,919).....	4,655,203		4,655,203	2,198,660
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	95,102		95,102	99,944
9. Receivables for securities.....	1,669		1,669	16,729
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	42,021,011	0	42,021,011	40,991,986
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	209,774		209,774	246,329
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	1,897,901		1,897,901	1,510,118
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0).....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	960		960	1,716
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	15,910
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	167,255		167,255	167,255
21. Furniture and equipment, including health care delivery assets (\$.....0).....	121,913	121,913	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	88,314		88,314	37,832
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	54,531	2,500	52,031	22,635
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	44,561,659	124,413	44,437,246	42,993,781
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	44,561,659	124,413	44,437,246	42,993,781

### DETAILS OF WRITE-INS

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Prepaid Postage.....	3,792		3,792	5,818
2502. Deductible Receivable.....	50,739	2,500	48,239	16,817
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	54,531	2,500	52,031	22,635

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....0).....	4,892,509	4,980,566
2. Reinsurance payable on paid losses and loss adjustment expenses.....		
3. Loss adjustment expenses.....	2,554,775	2,510,420
4. Commissions payable, contingent commissions and other similar charges.....		
5. Other expenses (excluding taxes, licenses and fees).....	83,737	67,391
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	3,232	39,801
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)).....	561,950	267,133
7.2 Net deferred tax liability.....	215,227	322,282
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....0 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act).....	3,651,566	3,260,621
10. Advance premium.....	114,770	241,659
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	165,652	
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....	228,856	213,733
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....		
19. Payable to parent, subsidiaries and affiliates.....		27,209
20. Derivatives.....		
21. Payable for securities.....	409,269	
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	12,881,543	11,930,815
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	12,881,543	11,930,815
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	1,000,000	1,000,000
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	1,000,000	1,000,000
35. Unassigned funds (surplus).....	29,555,703	29,062,966
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	31,555,703	31,062,966
38. Totals (Page 2, Line 28, Col. 3).....	44,437,246	42,993,781

### DETAILS OF WRITE-INS

2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

## STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....6,047,146).....	5,338,441	5,014,829	10,120,858
1.2 Assumed..... (written \$.....0).....			
1.3 Ceded..... (written \$.....1,797,172).....	1,479,412	1,329,991	2,720,452
1.4 Net..... (written \$.....4,249,974).....	3,859,029	3,684,838	7,400,406
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....0):			
2.1 Direct.....	772,484	799,003	1,142,294
2.2 Assumed.....			
2.3 Ceded.....	198,399	(343,474)	(411,364)
2.4 Net.....	574,085	1,142,477	1,553,658
3. Loss adjustment expenses incurred.....	1,151,659	1,459,207	2,811,967
4. Other underwriting expenses incurred.....	1,096,263	1,229,287	2,365,606
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	2,822,007	3,830,971	6,731,231
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	1,037,022	(146,133)	669,175
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	356,317	391,441	770,914
10. Net realized capital gains (losses) less capital gains tax of \$....452.....	1,700	335	152
11. Net investment gain (loss) (Lines 9 + 10).....	358,017	391,776	771,066
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0		
13. Finance and service charges not included in premiums.....	18,020		49,006
14. Aggregate write-ins for miscellaneous income.....	0	0	0
15. Total other income (Lines 12 through 14).....	18,020	0	49,006
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	1,413,059	245,643	1,489,247
17. Dividends to policyholders.....			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	1,413,059	245,643	1,489,247
19. Federal and foreign income taxes incurred.....	288,433	32,566	303,142
20. Net income (Line 18 minus Line 19) (to Line 22).....	1,124,626	213,077	1,186,105
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	31,062,966	29,505,479	29,505,479
22. Net income (from Line 20).....	1,124,626	213,077	1,186,105
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$....(358,799).....	(678,177)	1,059,142	1,143,099
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	725	(225,275)	28,782
27. Change in nonadmitted assets.....	45,563	(132,787)	(66,899)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			(733,600)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	492,737	914,157	1,557,487
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	31,555,703	30,419,636	31,062,966
<b>DETAILS OF WRITE-INS</b>			
0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. ....			
1402. ....			
1403. ....			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	0	0	0
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	3,900,954	4,204,984	6,886,192
2. Net investment income.....	475,822	551,378	1,083,707
3. Miscellaneous income.....	18,020		49,006
4. Total (Lines 1 through 3).....	4,394,796	4,756,362	8,018,905
5. Benefit and loss related payments.....	645,476	812,094	1,998,642
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	2,223,790	2,458,376	4,717,015
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$....452 tax on capital gains (losses).....	(6,268)	225,000	182,807
10. Total (Lines 5 through 9).....	2,862,998	3,495,470	6,898,464
11. Net cash from operations (Line 4 minus Line 10).....	1,531,798	1,260,892	1,120,441
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	5,722,578	7,178,423	14,068,279
12.2 Stocks.....	1,451		19,090
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	424,329		
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	6,148,358	7,178,423	14,087,369
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	5,115,640	7,101,751	13,735,990
13.2 Stocks.....	48,972	45,765	100,374
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			18,664
13.6 Miscellaneous applications.....		400,000	16,729
13.7 Total investments acquired (Lines 13.1 to 13.6).....	5,164,612	7,547,517	13,871,758
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	983,746	(369,094)	215,611
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			733,600
16.6 Other cash provided (applied).....	(59,001)	82,298	242,300
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(59,001)	82,298	(491,300)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	2,456,542	974,097	844,752
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	2,198,660	1,353,908	1,353,908
19.2 End of period (Line 18 plus Line 19.1).....	4,655,203	2,328,005	2,198,660

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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## NOTES TO FINANCIAL STATEMENTS

### Note 1 – Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

	SSAP #	F/S Page	F/S Line #	Current Year to Date	2019
<b>NET INCOME</b>					
(1) The Company state basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	\$ 1,124,626	\$ 1,186,105
(2) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(3) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(4) NAIC SAP (1 – 2 – 3 = 4)	XXX	XXX	XXX	\$ 1,124,626	\$ 1,186,105
<b>SURPLUS</b>					
(5) The Company state basis (Page 3, line 37, Columns 1 & 2)	XXX	XXX	XXX	\$ 31,555,703	\$ 31,062,966
(6) State Prescribed Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(7) State Permitted Practice that are an increase/(decrease) from NAIC SAP				\$	\$
(8) NAIC SAP (5 – 6 – 7 = 8)	XXX	XXX	XXX	\$ 31,555,703	\$ 31,062,966

B. Use of Estimates in the Preparation of the Financial Statement - No significant changes.

C. Accounting Policy - No significant changes.

D. Going Concern - No significant changes.

### Note 2 – Accounting Changes and Corrections of Errors

No significant changes.

### Note 3 – Business Combinations and Goodwill

Not applicable.

### Note 4 – Discontinued Operations

Not applicable.

### Note 5 – Investments

A - D. Not applicable.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received - Not applicable.

F - Q. Not applicable.

### Note 6 – Joint Ventures, Partnerships and Limited Liability Companies

Not applicable.

### Note 7 – Investment Income

No significant changes.

### Note 8 – Derivative Instruments

Not applicable.

### Note 9 – Income Taxes

No significant changes.

### Note 10 – Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant changes.

### Note 11 – Debt

A. Debt, Including Capital Notes: Not applicable.

B. FHLB (Federal Home Loan Bank) Agreements

OBLIC applied to become of member of the FHLB in June. As of June 30, 2020 no decision has been made regarding the OBLIC membership.

## NOTES TO FINANCIAL STATEMENTS

**Note 12 – Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

- A. Defined Benefit Plan - Not applicable.
- B-D. Not applicable.
- E. Defined Contribution Plans

The Company participates in a qualified defined contribution plan sponsored by the Company. The qualified plan covers all employees of the Company who have completed six months of service. Plan assets are invested with Ascensus Retirement. The investments selected are at the discretion of the employee. The Company funds pension costs at 10% of the employee's annual compensation. The Company's expense contributions were \$74,962 and \$136,200 for the years ended June 30, 2020 and December 31, 2019. The Company participates in a non-qualified deferred compensation plan for highly compensated employees. The assets associated with funding this obligation are held in a Rabbi Trust. The liability for the years ended June 30, 2020 and December 31, 2019 were \$216,593 and \$213,733.

- F-I. Not applicable.

**Note 13 – Capital and Surplus, Shareholder's Dividend Restrictions and Quasi-Reorganizations**

Not applicable.

**Note 14 – Liabilities, Contingencies and Assessments**

Not applicable.

**Note 15 – Leases**

Not applicable.

**Note 16 – Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk**

Not applicable.

**Note 17 – Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

- A-B. Not applicable.
- C. Wash Sales

(1) Description of the Objectives Regarding These Transactions - Not applicable.

**Note 18 – Gain or Loss to the Reporting Entity from Uninsured Plans and the Portion of Partially Insured Plans**

Not applicable.

**Note 19 – Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant changes.

**Note 20 – Fair Value Measurements**

- A. Fair Value Measurements  
(1) Fair Value Measurements at Reporting Date

Description for Each Type of Asset or Liability	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
Assets at Fair Value					
Common Stocks, unaffiliated	\$ 6,471,158	\$	\$	\$	\$ 6,471,158
Total	\$ 6,471,158	\$	\$	\$	\$ 6,471,158
Liabilities at Fair Value					
Non-Qualified Deferred Comp	\$ 228,856	\$	\$	\$	\$ 228,856
Total	\$ 228,856	\$	\$	\$	\$ 228,856

(2 -5) Not applicable.

- B - E. Not applicable.

**Note 21 – Other Items**

No applicable.

**Note 22 – Events Subsequent**

Subsequent events have been considered through June 30, 2020 for these statutory financial statements which are to be issued on August 15, 2020. There were no events occurring subsequent to the end of the quarter that merited recognition or disclosure in these statements.

**Note 23 – Reinsurance**

No significant changes.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 24 – Retrospectively Rated Contracts and Contracts Subject to Redetermination**

Not applicable.

**Note 25 – Change in Incurred Losses and Loss Adjustment Expenses**

A. Change in Incurred Losses and Loss Adjustment Expenses

We continue to see ebbs and flows throughout the legal professional liability lines of insurance. The provisions in the incurred losses and loss adjustment expenses are always challenging to estimate based upon the type of claim and jurisdiction. OBLIC continues to report favorable developments over the years in part due to our claims attorney years of experience. There have not been any additional reserves accrued for prior year-effects.

B. Information about Significant Changes in Methodologies and Assumptions

OBLIC continues to use the same methodology for reserving its claims or incidents when reported. There has not been any change in methodologies.

**Note 26 – Intercompany Pooling Arrangements**

Not applicable.

**Note 27 – Structured Settlements**

Not applicable.

**Note 28 – Health Care Receivables**

Not applicable.

**Note 29 – Participating Policies**

Not applicable.

**Note 30 – Premium Deficiency Reserves**

No significant changes.

**Note 31 – High Deductibles**

Not applicable.

**Note 32 – Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**

Not applicable.

**Note 33 – Asbestos/Environmental Reserves**

Not applicable.

**Note 34 – Subscriber Savings Accounts**

Not applicable.

**Note 35 – Multiple Peril Crop Insurance**

Not applicable.

**Note 36 – Financial Guaranty Insurance**

Not applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]
- 2.2 If yes, date of change: \_\_\_\_\_

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [ X ] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period. Yes [ ] No [ X ]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [ X ]

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 04/30/2020

- 6.4 By what department or departments?  
The Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No
- 14.2 If yes, please complete the following:

	1 Prior Year End Book/Adjusted Carrying Value		2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0		\$ 0
14.22 Preferred Stock	0		0
14.23 Common Stock	1,805,099		1,531,768
14.24 Short-Term Investments	0		0
14.25 Mortgage Loans on Real Estate	0		0
14.26 All Other	99,944		95,102
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 1,905,043		\$ 1,626,870
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0		\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No  N/A
- If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0
17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes  No

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank	425 Walnut Street, Cincinnati, Ohio 45202

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes  No
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such ["...that have access to the investment accounts", "handle securities"].

1 Name of Firm or Individual	2 Affiliation
Madison Advisors, LLC	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes  No
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes  No

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
110297	Madison Scottsdale, a division of Madison Investment Advisors, LLC	254900V4G6P208GA3B11	SEC	NO

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? Yes  No
- 18.2 If no, list exceptions:

## GENERAL INTERROGATORIES

### PART 1 - COMMON INTERROGATORIES

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes [ ] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes [ ] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:
- a. The security was purchased prior to January 1, 2019.
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
  - d. The fund only or predominantly holds bonds in its portfolio.
  - e. The current reporting NAIC designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
  - f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.
- Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [X]

## GENERAL INTERROGATORIES (continued)

### PART 2 – PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [X]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
<b>Total</b>	XXX	XXX	0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent	0.000%
5.2 A&H cost containment percent	0.000%
5.3 A&H expense percent excluding cost containment expenses	0.000%

6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes [ ] No [X]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes [ ] No [X]

# SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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**NONE**

## SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	.N...						
2. Alaska.....AK	.N...						
3. Arizona.....AZ	.N...						
4. Arkansas.....AR	.N...						
5. California.....CA	.N...						
6. Colorado.....CO	.N...						
7. Connecticut.....CT	.N...						
8. Delaware.....DE	.N...						
9. District of Columbia.....DC	.N...						
10. Florida.....FL	.N...						
11. Georgia.....GA	.N...						
12. Hawaii.....HI	.N...						
13. Idaho.....ID	.N...						
14. Illinois.....IL	.N...						
15. Indiana.....IN	.N...						
16. Iowa.....IA	.N...						
17. Kansas.....KS	.N...						
18. Kentucky.....KY	.N...						
19. Louisiana.....LA	.N...						
20. Maine.....ME	.N...						
21. Maryland.....MD	.N...						
22. Massachusetts.....MA	.N...						
23. Michigan.....MI	.N...						
24. Minnesota.....MN	.N...						
25. Mississippi.....MS	.N...						
26. Missouri.....MO	.N...						
27. Montana.....MT	.N...						
28. Nebraska.....NE	.N...						
29. Nevada.....NV	.N...						
30. New Hampshire.....NH	.N...						
31. New Jersey.....NJ	.N...						
32. New Mexico.....NM	.N...						
33. New York.....NY	.N...						
34. North Carolina.....NC	.N...						
35. North Dakota.....ND	.N...						
36. Ohio.....OH	.L...	6,047,146	5,562,734	794,908	910,810	5,779,571	5,738,307
37. Oklahoma.....OK	.N...						
38. Oregon.....OR	.N...						
39. Pennsylvania.....PA	.N...						
40. Rhode Island.....RI	.N...						
41. South Carolina.....SC	.N...						
42. South Dakota.....SD	.N...						
43. Tennessee.....TN	.N...						
44. Texas.....TX	.N...						
45. Utah.....UT	.N...						
46. Vermont.....VT	.N...						
47. Virginia.....VA	.N...						
48. Washington.....WA	.N...						
49. West Virginia.....WV	.N...						
50. Wisconsin.....WI	.N...						
51. Wyoming.....WY	.N...						
52. American Samoa.....AS	.N...						
53. Guam.....GU	.N...						
54. Puerto Rico.....PR	.N...						
55. US Virgin Islands.....VI	.N...						
56. Northern Mariana Islands.....MP	.N...						
57. Canada.....CAN	.N...						
58. Aggregate Other Alien.....OT	.XXX..	0	0	0	0	0	0
59. Totals.....	.XXX..	6,047,146	5,562,734	794,908	910,810	5,779,571	5,738,307

**DETAILS OF WRITE-INS**

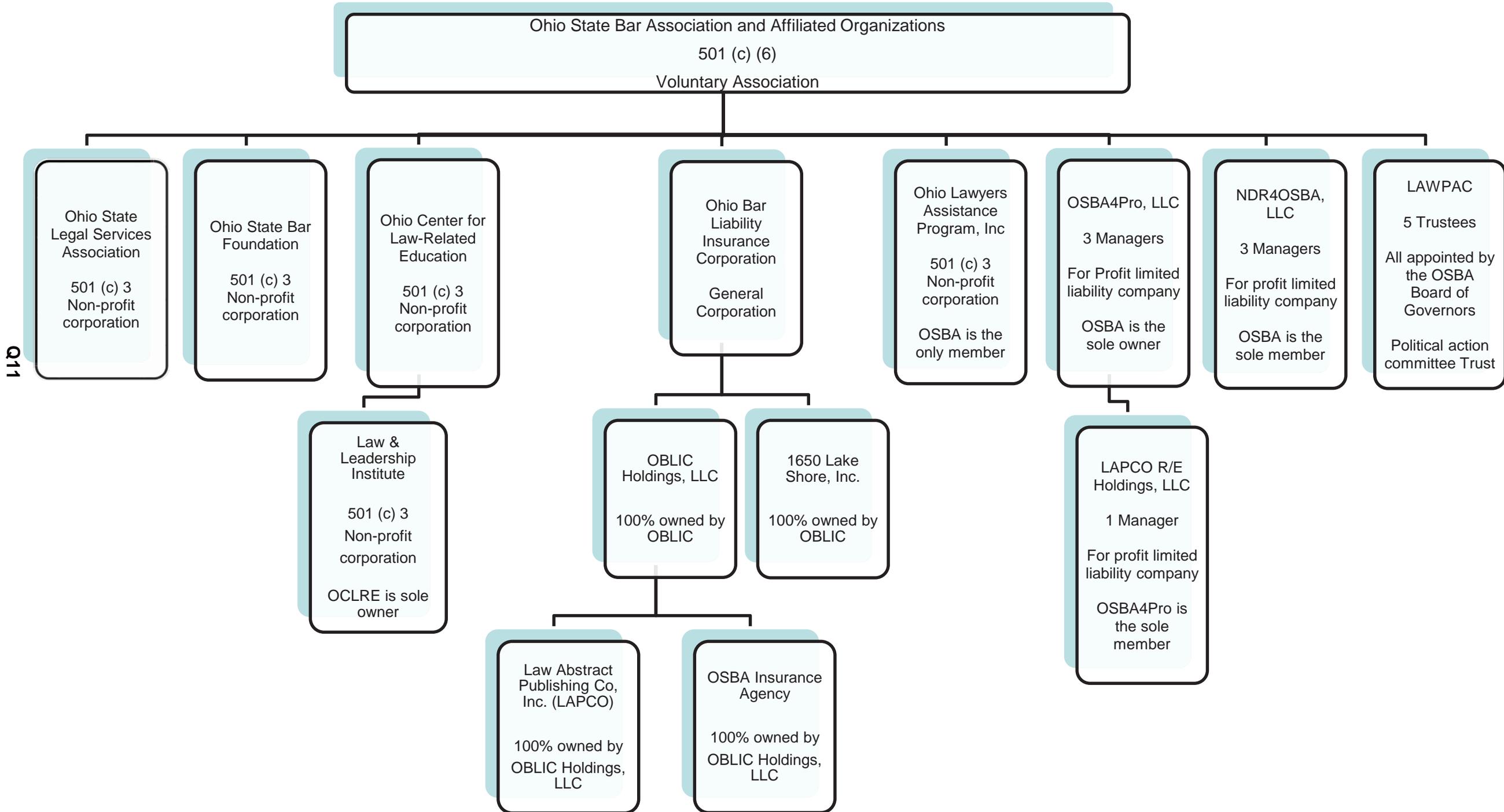
58001.....	.XXX..						
58002.....	.XXX..						
58003.....	.XXX..						
58998. Summary of remaining write-ins for Line 58 from overflow page....	.XXX..	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	.XXX..	0	0	0	0	0	0

(a) Active Status Count

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	1	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - See DSLI).....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
D - Domestic Surplus Lines Insurer (DSL) - Reporting entities authorized to write surplus lines in the state of domicile.....	0	N - None of the above - Not allowed to write business in the state.....	56

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART



Q11

## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
<b>Members</b>															
.....	Association	.....	31-4271520..	.....	.....	.....	The Ohio State Bar Association.....	OH.....	NIA.....	OSBA Board of Governors.....	Ownership.....	....100.000	OSBA Board of Governors.....	.....N.....	.....
.....	OBLIC.....	37176..	31-0947214..	.....	.....	.....	Ohio Bar Liability Insurance Company.....	OH.....	IA.....	OBLIC Board of Directors.....	Board.....	.....	OSBA Board of Governors.....	.....N.....	.....
.....	1650 Lake Shore, Inc.....	.....	31-1394604..	.....	.....	.....	1650 Lake Shore, Inc.....	OH.....	NIA.....	1650 Board of Directors.....	Board.....	.....	OBLIC Board of Directors.....	.....Y.....	.....
.....	OBLIC Holdings, LLC.....	.....	46-5150262..	.....	.....	.....	OBLIC Holdings, LLC.....	OH.....	NIA.....	Ohio Bar Liability Insurance Company.....	Ownership.....	....100.000	OBLIC Board of Directors.....	.....N.....	.....
.....	OSBAIA.....	.....	31-1382704..	.....	.....	.....	Ohio State Bar Association Insurance Agency...	OH.....	NIA.....	OSBAIA Board of Directors.....	Board.....	.....	OBLIC Holdings, LLC.....	.....N.....	.....
.....	LAPCO.....	.....	34-0895283..	.....	.....	.....	Law Abstract Publishing Company.....	OH.....	NIA.....	OBLIC Holdings, LLC.....	Owner.....	....100.000	OBLIC Holdings, LLC.....	.....N.....	.....

**PART 1 - LOSS EXPERIENCE**

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.000	
2. Allied lines.....			0.000	
3. Farmowners multiple peril.....			0.000	
4. Homeowners multiple peril.....			0.000	
5. Commercial multiple peril.....			0.000	
6. Mortgage guaranty.....			0.000	
8. Ocean marine.....			0.000	
9. Inland marine.....			0.000	
10. Financial guaranty.....			0.000	
11.1. Medical professional liability - occurrence.....			0.000	
11.2. Medical professional liability - claims-made.....			0.000	
12. Earthquake.....			0.000	
13. Group accident and health.....			0.000	
14. Credit accident and health.....			0.000	
15. Other accident and health.....			0.000	
16. Workers' compensation.....			0.000	
17.1 Other liability-occurrence.....	115,728	19,760	17.075	
17.2 Other liability-claims made.....	5,222,713	752,724	14.413	16.701
17.3 Excess workers' compensation.....			0.000	
18.1 Products liability-occurrence.....			0.000	
18.2 Products liability-claims made.....			0.000	
19.1, 19.2 Private passenger auto liability.....			0.000	
19.3, 19.4 Commercial auto liability.....			0.000	
21. Auto physical damage.....			0.000	
22. Aircraft (all perils).....			0.000	
23. Fidelity.....			0.000	
24. Surety.....			0.000	
26. Burglary and theft.....			0.000	
27. Boiler and machinery.....			0.000	
28. Credit.....			0.000	
29. International.....			0.000	
30. Warranty.....			0.000	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.000	
35. Totals.....	5,338,441	772,484	14.470	15.933
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.000	
3402.....			0.000	
3403.....			0.000	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.000	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.000	

**PART 2 - DIRECT PREMIUMS WRITTEN**

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....			
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....		32,918	230,769
17.2 Other liability-claims made.....		2,685,065	5,331,965
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....			
19.3 19.4 Commercial auto liability.....			
21. Auto physical damage.....			
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	2,717,983	6,047,146	5,562,734
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2017 + Prior.....	1,741	30	1,771	426		426	1,811		29	1,840	496	(1)	495
2. 2018.....	2,080	45	2,125	229		229	1,630		30	1,660	(221)	(15)	(236)
3. Subtotals 2018 + Prior.....	3,821	75	3,896	655	0	655	3,441	0	59	3,500	275	(16)	259
4. 2019.....	3,155	440	3,595	652		652	2,219		323	2,542	(284)	(117)	(401)
5. Subtotals 2019 + Prior.....	6,976	515	7,491	1,307	0	1,307	5,660	0	382	6,042	(9)	(133)	(142)
6. 2020.....	XXX	XXX	XXX	XXX	462	462	XXX	1,243	163	1,406	XXX	XXX	XXX
7. Totals.....	6,976	515	7,491	1,307	462	1,769	5,660	1,243	545	7,448	(9)	(133)	(142)
8. Prior Year-End's Surplus As Regards Policyholders	31,063												
											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....(0.1)%	2. ....(25.8)%	3. ....(1.9)%
													Col. 13, Line 7 Line 8
													4. ....(0.5)%

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**Explanation:**

1. The data for this supplement is not required to be filed.
2. The data for this supplement is not required to be filed.
3. The data for this supplement is not required to be filed.
4. The data for this supplement is not required to be filed.

**Bar Code:**



## Overflow Page for Write-Ins

**NONE**

### SCHEDULE A - VERIFICATION

#### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other-than-temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

### SCHEDULE B - VERIFICATION

#### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

### SCHEDULE BA - VERIFICATION

#### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	99,944	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		18,664
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	(4,842)	81,280
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other-than-temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	95,102	99,944
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	95,102	99,944

### SCHEDULE D - VERIFICATION

#### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	38,676,653	37,810,094
2. Cost of bonds and stocks acquired.....	5,164,613	13,836,365
3. Accrual of discount.....	16,033	33,397
4. Unrealized valuation increase (decrease).....	(779,665)	1,370,668
5. Total gain (loss) on disposals.....	2,153	193
6. Deduct consideration for bonds and stocks disposed of.....	5,724,029	14,088,295
7. Deduct amortization of premium.....	86,718	286,695
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees.....		926
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10).....	37,269,038	38,676,653
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	37,269,038	38,676,653

### SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	26,854,088	2,816,144	2,684,206	(49,973)	26,854,088	26,936,053		27,614,556
2. NAIC 2 (a).....	2,733,667			(689)	2,733,667	2,732,978		2,327,552
3. NAIC 3 (a).....						0		
4. NAIC 4 (a).....						0		
5. NAIC 5 (a).....						0		
6. NAIC 6 (a).....						0		
7. Total Bonds.....	29,587,755	2,816,144	2,684,206	(50,663)	29,587,755	29,669,031	0	29,942,107
<b>PREFERRED STOCK</b>								
8. NAIC 1.....						0		
9. NAIC 2.....						0		
10. NAIC 3.....						0		
11. NAIC 4.....						0		
12. NAIC 5.....						0		
13. NAIC 6.....						0		
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	29,587,755	2,816,144	2,684,206	(50,663)	29,587,755	29,669,031	0	29,942,107

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....402,919; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

## SCHEDULE DA - PART 1

### Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	402,919	XXX	405,924	7,532	3,640

## SCHEDULE DA - VERIFICATION

### Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.0	
2. Cost of short-term investments acquired.....	405,924	
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....		
7. Deduct amortization of premium.....	3,005	
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	402,919	.0
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	402,919	.0

**Sch. DB - Pt. A - Verification  
NONE**

**Sch. DB - Pt. B - Verification  
NONE**

**Sch. DB - Pt. C - Sn. 1  
NONE**

**Sch. DB - Pt. C - Sn. 2  
NONE**

**Sch. DB - Verification  
NONE**

## SCHEDULE E - PART 2 - VERIFICATION

### Cash Equivalents

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	737,162	360,362
2. Cost of cash equivalents acquired.....	7,611,972	14,152,229
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	5,923,597	13,775,429
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other-than-temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	2,425,537	737,162
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	2,425,537	737,162

**Sch. A Pt. 2  
NONE**

**Sch. A Pt. 3  
NONE**

**Sch. B - Pt. 2  
NONE**

**Sch. B - Pt. 3  
NONE**

**Sch. BA - Pt. 2  
NONE**

**Sch. BA - Pt. 3  
NONE**

## SCHEDULE D - PART 3

Showing all Long-Term Bonds and Stocks ACQUIRED During Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
<b>Bonds - U.S. States, Territories and Possessions</b>									
13063D	GE 2 CALIFORNIA ST.....		06/16/2020.....	Piper Jaffray.....		261,812	225,000	2,214	1FE.....
1799999	Total - Bonds - U.S. States, Territories & Possessions.....					261,812	225,000	2,214	XXX.....
<b>Bonds - U.S. Special Revenue and Special Assessment</b>									
017357	C3 6 ALLEGHENY CNTY PA SAN AUTH SWR REV.....		04/24/2020.....	Cantor Fitzgerald & Co.....		388,227	350,000	5,717	1FE.....
13032U	VR 6 CALIFORNIA HEALTH FACS FING AUTH REV.....		04/22/2020.....	Piper Jaffray.....		275,000	275,000		1FE.....
3136BA	Z4 9 FNR 2020-045 BA - CMO/RMBS.....		06/03/2020.....	CANTOR FITZGERALD & CO.....		408,625	400,000	.644	1.....
594654	KF 1 MICHIGAN ST HSG DEV AUTH SINGLE FAMILY M.....		06/04/2020.....	RBC CAPITAL MARKETS, LLC.....		285,000	285,000		1FE.....
60416Q	HW 7 MINNESOTA ST HSG FIN AGY HOMEOWNERSHIP F.....		05/14/2020.....	RBC CAPITAL MARKETS, LLC.....		675,000	675,000		1FE.....
63968A	2F 1 NEBRASKA PUB PWR DIST REV.....		05/27/2020.....	Piper Jaffray.....		522,480	500,000	7,563	1FE.....
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments.....					2,554,332	2,485,000	13,924	XXX.....
8399997	Total - Bonds - Part 3.....					2,816,144	2,710,000	16,138	XXX.....
8399999	Total - Bonds.....					2,816,144	2,710,000	16,138	XXX.....
<b>Common Stocks - Mutual Funds</b>									
808509	75 6 SCHWAB CAP:TOT STK MKT.....		06/26/2020.....	Charles Schwab & Co Inc.....	169.006	8,750	XXX		
921931	20 0 VANGUARD BAL IDX ADM.....		06/24/2020.....	Charles Schwab & Co Inc.....	13.228	512	XXX		
921937	68 6 VANGUARD SC V I ADM.....		06/25/2020.....	U.S. Bank.....	68.488	3,044	XXX		
921937	69 4 VANGUARD MC V I ADM.....		06/25/2020.....	U.S. Bank.....	102.234	4,940	XXX		
921937	71 0 VANGUARD SC G ID ADM.....		06/25/2020.....	U.S. Bank.....	9.019	619	XXX		
921937	72 8 VANGUARD MC G I ADM.....		06/25/2020.....	U.S. Bank.....	29.003	2,017	XXX		
922908	72 8 VANGUARD TSM IDX ADM.....		06/25/2020.....	U.S. Bank.....	60.686	4,544	XXX		
9499999	Total - Common Stocks - Mutual Funds.....					24,425	XXX	0	XXX.....
9799997	Total - Common Stocks - Part 3.....					24,425	XXX	0	XXX.....
9799999	Total - Common Stocks.....					24,425	XXX	0	XXX.....
9899999	Total - Preferred and Common Stocks.....					24,425	XXX	0	XXX.....
9999999	Total - Bonds, Preferred and Common Stocks.....					2,840,569	XXX	16,138	XXX.....

QE04

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For ei gn	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than- Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Admini- strative Symbol
<b>Bonds - U.S. Government</b>																					
36208S	DQ 2		06/01/2020	Paydown		329	329	327	327		2		2		329			0	10	07/15/2029	1
36209R	CG 6		06/01/2020	Paydown		4	4	4	4		0		0		4		(0)	(0)	0	12/15/2029	1
36217V	AY 0		06/01/2020	Paydown		93	93	93	93		0		0		93			0	3	01/15/2030	1
38376G	SM 3		06/01/2020	Paydown		34,100	34,100	35,002	34,815		(714)		(714)		34,100			0	607	07/16/2044	1
38378B	4E 6		06/01/2020	Paydown		37,002	37,002	36,690	36,686		315		315		37,002			0	301	01/16/2053	1
38378B	X5 3		06/01/2020	Paydown		8,351	8,351	8,252	8,269		83		83		8,351			0	64	06/16/2053	1
38378B	XQ 7		06/01/2020	Paydown		6,034	6,034	6,045	6,033		1		1		6,034			0	32	01/16/2036	1
38379N	YA 4		06/01/2020	Paydown		46,612	46,612	47,596	47,317		(705)		(705)		46,612		(0)	(0)	583	08/20/2041	1
38380M	M8 1		06/01/2020	Paydown		49,325	49,325	48,816	48,884		441		441		49,325			0	647	02/16/2051	1
38380M	UZ 2		06/01/2020	Paydown		7,042	7,042	6,946	6,971		71		71		7,042			0	88	08/16/2045	1
83162C	TE 3		04/01/2020	Paydown		30,822	30,822	31,924	31,894		(1,071)		(1,071)		30,822			0	684	04/01/2030	1
83164M	PZ 6		06/01/2020	Paydown		4,715	4,715	4,791	4,790		(75)		(75)		4,715			0	47	12/25/2043	1
0599999	Total - Bonds - U.S. Government						224,430	224,430	226,486	226,083		0		(1,653)	224,430		(0)	(0)	3,067	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3132Y0	RT 9		06/01/2020	Paydown		60,512	60,512	62,678	62,750		(2,238)		(2,238)		60,512			0	1,021	06/01/2048	1
3133EK	EQ 5		04/21/2020	Call @ 100.00		400,000	400,000	400,000	400,000				0		400,000			0	7,156	04/01/2027	1
3133EL	MQ 4		05/11/2020	Call @ 100.00		675,000	675,000	674,426			22		22		674,448		552	552	3,443	02/11/2026	1
3133KY	SK 6		06/01/2020	Paydown		24,249	24,249	24,829	24,825		(575)		(575)		24,249			0	319	11/01/2039	1
3136A8	T4 2		06/01/2020	Paydown		38,304	38,304	38,442	38,415		(112)		(112)		38,304			0	472	08/25/2041	1
3136A9	4F 2		06/01/2020	Paydown		19,592	19,592	17,736	17,819		1,772		1,772		19,592			0	150	06/25/2042	1
3136AP	QC 9		06/01/2020	Paydown		33,666	33,666	33,997	33,954		(289)		(289)		33,666			0	432	05/25/2043	1
3136AT	LD 4		06/01/2020	Paydown		15,099	15,099	15,349	15,311		(212)		(212)		15,099			0	182	01/25/2045	1
3136AV	V9 7		06/01/2020	Paydown		30,193	30,193	31,325	31,145		(952)		(952)		30,193		(0)	(0)	450	08/25/2040	1
3136B3	XH 8		06/01/2020	Paydown		9,582	9,582	9,953	9,911		(329)		(329)		9,582			0	140	04/25/2030	1
3137A2	VV 0		06/01/2020	Paydown		29,999	29,999	30,092	30,080		(81)		(81)		29,999			0	375	06/15/2039	1
3137BB	A9 1		06/01/2020	Paydown		16,438	16,438	16,410	16,411		27		27		16,438			0	187	02/15/2046	1
3137BH	FC 6		06/01/2020	Paydown		38,030	38,030	38,832	38,699		(669)		(669)		38,030			0	479	11/15/2041	1
3137BM	2S 4		06/01/2020	Paydown		48,891	48,891	49,510	49,414		(523)		(523)		48,891			0	660	01/15/2042	1
3137BM	H6 6		06/01/2020	Paydown		45,365	45,365	46,017	45,905		(540)		(540)		45,365			0	616	11/15/2043	1
3137BY	6U 9		06/01/2020	Paydown		12,740	12,740	13,045	13,002		(262)		(262)		12,740			0	162	09/15/2042	1
3137F4	VG 4		06/01/2020	Paydown		35,660	35,660	37,197	37,223		(1,563)		(1,563)		35,660			0	686	12/15/2045	1
3137FD	F9 8		06/01/2020	Paydown		43,956	43,956	44,492	44,428		(472)		(472)		43,956			0	652	07/15/2045	1
3137FH	VJ 9		06/01/2020	Paydown		79,619	79,619	81,398	81,422		(1,803)		(1,803)		79,619			0	1,341	08/15/2042	1
3137FK	VF 0		06/01/2020	Paydown		21,123	21,123	21,235	21,228		(106)		(106)		21,123			0	333	08/15/2047	1
3137FK	XL 5		06/01/2020	Paydown		71,821	71,821	73,706	74,063		(2,242)		(2,242)		71,821			0	1,205	07/15/2044	1
3137FQ	RU 9		06/01/2020	Paydown		39,653	39,653	40,372	40,372		(719)		(719)		39,653			0	512	10/25/2042	1
491189	FB 7		04/01/2020	Maturity @ 100.00		435,000	435,000	461,430	437,178		(2,178)		(2,178)		435,000			0	9,144	04/01/2020	1FE
68607H	5G 7		05/01/2020	Call @ 100.00		150,000	150,000	169,313	151,637		(1,637)		(1,637)		150,000			0	4,598	05/01/2030	1FE
3199999	Total - Bonds - U.S. Special Revenue and Special Assessments						2,374,492	2,374,492	2,431,784	1,715,193		0		(15,679)	2,373,940		552	552	34,713	XXX	XXX

QE05

### SCHEDULE D - PART 4

Showing all Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) / Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest / Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
<b>Bonds - Industrial and Miscellaneous</b>																					
428040 CU 1	HERTZ 2017-1 A - ABS.....		06/25/2020	Paydown.....		72,815	72,815	71,939	72,317		499		499		72,815			0	1,000	10/25/2021	1FE.....
64032X AA 3	NSLT 2012-3 A - ABS.....		06/25/2020	Paydown.....		13,020	13,020	13,006	12,994		26		26		13,020		(0)	(0)	107	03/26/2040	1FE.....
3899999	Total - Bonds - Industrial and Miscellaneous.....					85,835	85,835	84,945	85,311	0	525	0	525	0	85,835	0	(0)	(0)	1,107	XXX	XXX
8399997	Total - Bonds - Part 4.....					2,684,757	2,684,757	2,743,216	2,026,587	0	(16,807)	0	(16,807)	0	2,684,206	0	552	552	38,887	XXX	XXX
8399999	Total - Bonds.....					2,684,757	2,684,757	2,743,216	2,026,587	0	(16,807)	0	(16,807)	0	2,684,206	0	552	552	38,887	XXX	XXX
9999999	Total - Bonds, Preferred and Common Stocks.....					2,684,757	XXX	2,743,216	2,026,587	0	(16,807)	0	(16,807)	0	2,684,206	0	552	552	38,887	XXX	XXX

QE05.1

**Sch. DB - Pt. A - Sn. 1  
NONE**

**Sch. DB - Pt. B - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 1  
NONE**

**Sch. DB - Pt. D - Sn. 2  
NONE**

**Sch. DB - Pt. E  
NONE**

**Sch. DL - Pt. 1  
NONE**

**Sch. DL - Pt. 2  
NONE**

## SCHEDULE E - PART 1 - CASH

### Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Key Bank.....					1,776,027	1,272,178	1,821,554	XXX
US Bank.....							5,092	XXX
0199998. Deposits in.....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	XXX	XXX			0	0	0	XXX
0199999. Total Open Depositories.....	XXX	XXX	0	0	1,776,027	1,272,178	1,826,647	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	1,776,027	1,272,178	1,826,647	XXX
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	100	100	100	XXX
0599999. Total Cash.....	XXX	XXX	0	0	1,776,127	1,272,278	1,826,747	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due & Accrued	9 Amount Received During Year
<b>Exempt Money Market Mutual Funds as Identified by the SVO</b>								
31846V 54 2	FIRST AMER:TRS OBG Z.....		06/30/2020.....	.....0.060		.....2,424,402	.....121	.....549
8599999. Total - Exempt Money Market Mutual Funds as Identified by the SVO.....						.....2,424,402	.....121	.....549
<b>All Other Money Market Mutual Funds</b>								
808515 20 9	SCHWAB:GOVT MONEY SWP.....		06/26/2020.....	.....0.010		.....1,135	.....0	.....
8699999. Total - All Other Money Market Mutual Funds.....						.....1,135	.....0	.....0
8899999. Total - Cash Equivalents.....						.....2,425,537	.....121	.....549

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