



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF JUNE 30, 2020

OF THE CONDITION AND AFFAIRS OF THE

## Dealers Assurance Company

NAIC Group Code 0315 0000 NAIC Company Code 16705 Employer's ID Number 34-6513705  
(Current) (Prior)

Organized under the Laws of Ohio State of Domicile or Port of Entry OH

Country of Domicile United States of America

Incorporated/Organized 08/02/1935 Commenced Business 08/02/1935

Statutory Home Office 11 South High Street Suite 1700 Columbus, OH, US 43215  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 15920 Addison Road 800-282-8913  
(Street and Number) (Area Code) (Telephone Number)  
Addison, TX, US 75001  
(City or Town, State, Country and Zip Code)

Mail Address 15920 Addison Road Addison, TX, US 75001  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 15920 Addison Road 800-282-8913  
(Street and Number) (Area Code) (Telephone Number)  
Addison, TX, US 75001  
(City or Town, State, Country and Zip Code)

Internet Website Address www.dealersassurance.com

Statutory Statement Contact Steven C. Barrett 800-282-8913  
(Name) (Area Code) (Telephone Number)  
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(E-mail Address) (FAX Number)

### OFFICERS

President Kristen Anne Gruber Treasurer Linda Marie Toy  
Secretary Joshua Robert Pedelty Assistant Secretary Lisa Aileen Kirk

### OTHER

Michael Lee Stickney, Managing Director Kirk Alan Borchardt, Executive Vice President Linda Marie Toy, Vice President  
Joshua Robert Pedelty, Vice President

### DIRECTORS OR TRUSTEES

Warren Van Genderen Michael Lee Stickney Douglas Alexander Carrothers  
Denis Yves Ricard Marilyn Rose Froelich Douglas Curtis Oksendahl  
Shelby Land Peavy Normand Pepin Yvon Charest

State of Texas SS:  
County of Dallas

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kristen A. Gruber

Kristen Anne Gruber  
President

Joshua Robert Pedelty

Joshua Robert Pedelty  
Secretary

Linda Marie Toy

Linda Marie Toy  
Treasurer

Subscribed and sworn to before me this 27th day of July, 2020  
Teri Chatman

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed.....  
3. Number of pages attached.....



## STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	131,816,558		131,816,558	128,678,919
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	5,182,110		5,182,110	2,989,190
3. Mortgage loans on real estate:				
3.1 First liens .....	203,602		203,602	214,442
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances) .....	3,820,896		3,820,896	3,796,984
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ .....6,803,912 ), cash equivalents (\$ .....2,056,984 ) and short-term investments (\$ ..... ) .....	8,860,896		8,860,896	6,857,405
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	149,884,062	0	149,884,062	142,536,940
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	840,121		840,121	853,464
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,818,876		1,818,876	2,690,445
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....			0	0
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....	1,355,436	586,578	768,858	318,428
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	68,545		68,545	664,958
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	824,901	0	824,901	791,387
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	154,791,941	586,578	154,205,363	147,855,622
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	154,791,941	586,578	154,205,363	147,855,622
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Accounts Receivable .....	824,787		824,787	791,363
2502. Interest Receivable .....	114		114	24
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	824,901	0	824,901	791,387

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company  
**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ ..... 276,578 ) .....	276,578	276,578
2. Reinsurance payable on paid losses and loss adjustment expenses .....		0
3. Loss adjustment expenses .....		
4. Commissions payable, contingent commissions and other similar charges .....	392,962	432,936
5. Other expenses (excluding taxes, licenses and fees) .....	671,774	1,470,515
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	243,267	582,685
7.1 Current federal and foreign income taxes (including \$ ..... on realized capital gains (losses)) .....	150,666	512,001
7.2 Net deferred tax liability .....		
8. Borrowed money \$ ..... and interest thereon \$ .....		18,992
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ ..... 291,593,558 and including warranty reserves of \$ ..... 26,387,752 and accrued accident and health experience rating refunds including \$ ..... 0 for medical loss ratio rebate per the Public Health Service Act) .....	34,915,397	33,458,393
10. Advance premium .....		
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....		
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	802,491	1,370,565
13. Funds held by company under reinsurance treaties .....	22,502,457	19,249,425
14. Amounts withheld or retained by company for account of others .....	3,625,412	6,428,238
15. Remittances and items not allocated .....	3,218,884	1,673,436
16. Provision for reinsurance (including \$ ..... certified) .....		0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	179,355	179,355
20. Derivatives .....	0	0
21. Payable for securities .....	493,758	
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$ ..... and interest thereon \$ .....		
25. Aggregate write-ins for liabilities .....	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	67,473,001	65,653,119
27. Protected cell liabilities .....		
28. Total liabilities (Lines 26 and 27) .....	67,473,001	65,653,119
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	4,200,990	4,200,990
31. Preferred capital stock .....		
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....	3,000,000	3,000,000
34. Gross paid in and contributed surplus .....	9,732,810	9,732,810
35. Unassigned funds (surplus) .....	69,798,562	65,268,703
36. Less treasury stock, at cost:		
36.1 ..... shares common (value included in Line 30 \$ ..... ) .....		
36.2 ..... shares preferred (value included in Line 31 \$ ..... ) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	86,732,362	82,202,503
38. Totals (Page 2, Line 28, Col. 3)	154,205,363	147,855,622
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	0	0
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 through 3203 plus 3298)(Line 32 above)	0	0

## STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 90,158,645 )	74,512,028	70,460,360	146,792,500
1.2 Assumed (written \$ )	53,815	337,548	376,954
1.3 Ceded (written \$ 81,129,054 )	66,995,035	64,449,521	134,829,337
1.4 Net (written \$ 9,029,591 )	7,570,808	6,348,387	12,340,117
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$ 752,078 ):			
2.1 Direct	43,195,405	33,229,161	79,998,189
2.2 Assumed	1,896	0	887
2.3 Ceded	42,341,471	32,538,536	78,570,008
2.4 Net	855,830	690,625	1,429,068
3. Loss adjustment expenses incurred		47,424	47,424
4. Other underwriting expenses incurred	2,742,830	2,478,085	5,225,913
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	3,598,660	3,216,134	6,702,405
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	3,972,148	3,132,253	5,637,712
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,876,224	1,523,563	3,301,172
10. Net realized capital gains (losses) less capital gains tax of \$ 28,297	106,449	(101)	133,493
11. Net investment gain (loss) (Lines 9 + 10)	1,982,673	1,523,462	3,434,665
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	0	0	0
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	(88,797)	(68,136)	(137,955)
15. Total other income (Lines 12 through 14)	(88,797)	(68,136)	(137,955)
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	5,866,024	4,587,579	8,934,422
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	5,866,024	4,587,579	8,934,422
19. Federal and foreign income taxes incurred	1,760,565	961,979	1,839,936
20. Net income (Line 18 minus Line 19)(to Line 22)	4,105,459	3,625,600	7,094,486
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	82,202,503	74,723,405	74,723,403
22. Net income (from Line 20)	4,105,459	3,625,600	7,094,486
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains (losses) less capital gains tax of \$ (5,466)	(20,564)	258,093	394,341
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(78,607)	(2,939)	171,303
27. Change in nonadmitted assets	523,571		(181,029)
28. Change in provision for reinsurance			0
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in	0	0	0
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			0
37. Aggregate write-ins for gains and losses in surplus	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37)	4,529,859	3,880,754	7,479,101
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	86,732,362	78,604,159	82,202,503
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. Totals (Lines 0501 through 0503 plus 0598)(Line 5 above)	0	0	0
1401. Misc. Income (Expense)	(7,672)	(244)	1,261
1402. Admin/Service Fee Income	92,697	80,796	157,743
1403. Interest Expense-Funds held	(173,822)	(148,688)	(296,959)
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	(88,797)	(68,136)	(137,955)
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. Totals (Lines 3701 through 3703 plus 3798)(Line 37 above)	0	0	0

## STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	9,331,307	8,771,291	17,563,007
2. Net investment income .....	1,971,526	1,676,195	3,631,256
3. Miscellaneous income .....	(88,797)	(68,136)	(137,955)
4. Total (Lines 1 to 3) .....	11,214,036	10,379,350	21,056,308
5. Benefit and loss related payments .....	855,830	690,625	1,335,931
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	3,906,617	3,825,006	5,329,242
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses) .....	2,150,197	1,017,382	2,852,920
10. Total (Lines 5 through 9) .....	6,912,644	5,533,013	9,518,093
11. Net cash from operations (Line 4 minus Line 10) .....	4,301,392	4,846,337	11,538,215
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	20,538,408	17,728,266	37,372,137
12.2 Stocks .....	852,645	579,723	1,022,619
12.3 Mortgage loans .....	10,840	7,327	17,571
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	493,758	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	21,895,651	18,315,316	38,412,327
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	23,803,380	19,968,297	47,065,014
13.2 Stocks .....	2,940,238	779,650	1,587,584
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	19,401	220,112	220,110
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	26,763,019	20,968,059	48,872,708
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(4,867,368)	(2,652,743)	(10,460,381)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	(18,992)	635	(1,826)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	2,588,458	3,627,297	1,020,045
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	2,569,466	3,627,932	1,018,219
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	2,003,490	5,821,526	2,096,053
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	6,857,406	4,761,353	4,761,353
19.2 End of period (Line 18 plus Line 19.1) .....	8,860,896	10,582,880	6,857,406

Note: Supplemental disclosures of cash flow information for non-cash transactions:

**NOTES TO FINANCIAL STATEMENTS****1. Summary of Significant Accounting Policies****A. Accounting Practices**

The accompanying financial statements of Dealers Assurance Company have been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio. The company adopted codification as of 01/01/01.

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>Net Income</b>					
(1) Company state basis (Page 4, Line 20, Columns 1 & 2)	-	-	-	\$ 4,105,459	\$ 7,094,486
(2) State Prescribed Practices that (increase)/decrease NAIC SAP	-	-	-	-	-
(3) State Permitted Practices that (increase)/decrease NAIC SAP S	-	-	-	-	-
(4) NAIC SAP (1 – 2 – 3 = 4)	-	-	-	4,105,459	7,094,486
<b>Surplus</b>					
(5) Company state basis (Page 3, Line 37, Columns 1 & 2)	-	-	-	86,732,362	82,202,503
(6) State Prescribed Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP	-	-	-	-	-
(8) NAIC SAP (1 – 2 – 3 = 4)				\$ 86,732,362	\$ 82,202,503

**1B.** No changes to note

**1C** Real estate investments on the balance sheet are classified as properties occupied by the company. These are carried at the amortized cost less encumbrances.

**1C (1) to 1C (5)** No changes to Note

**1 C (6)** Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

**1D** Going Concern

Based upon its evaluation of relevant conditions and events, management does not have substantial doubt about the Company's ability to continue as a going concern.

**2 to 4** No changes to note.

**5A Mortgage Loans, including Mezzanine Real Estate Loans**

(1) The minimum and maximum lending rates for mortgage loans during 2020 were:

Residential Loans 4.00%

(2) The maximum percentage of any one loan to the value of security at the time of the loan, exclusive of insured or guaranteed or purchase money mortgages was 32.58%.

(3) Taxes, assessments and any amounts advanced and not included in the mortgage loan total:

Current Year	Prior Year
\$ -	\$ -

## NOTES TO FINANCIAL STATEMENTS

## (4) Age Analysis of Mortgage Loans:

		Farm	Residential		Commercial		Mezzanine	Total	
			Insured	All Other	Insured	All Other			
a.	Current Year								
1.	Recorded Investment (All)								
	(a)	Current	\$ -	\$ -	\$ 203,602	\$ -	\$ -	\$ -	\$ 203,602
	(b)	30-59 Days Past Due	-	-	-	-	-	-	-
	(c)	60-89 Days Past Due	-	-	-	-	-	-	-
	(d)	60-179 Days Past Due	-	-	-	-	-	-	-
	(e)	180+ Past Days Past Due	-	-	-	-	-	-	-
2.	Accruing Interest 90-179 Days Past Due								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Interest Accrued	-	-	-	-	-	-	-
3.	Accruing Interest 180+ Days Past Due								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Interest Accrued	-	-	-	-	-	-	-
4.	Interest Reduced								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Number of Loans	-	-	-	-	-	-	-
	(c)	Percent Reduced	-	-	-	-	-	-	-
	Prior Year								
1.	Recorded Investment								
	(a)	Current	\$ -	\$ -	\$ 214,442	\$ -	\$ -	\$ -	\$ 214,442
	(b)	30-59 Days Past Due	-	-	-	-	-	-	-
	(c)	60-89 Days Past Due	-	-	-	-	-	-	-
	(d)	90-179 Days Past Due	-	-	-	-	-	-	-
	(e)	180+ Days Past Due	-	-	-	-	-	-	-
2.	Accruing Interest 90-179 Days Past Due								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Interest Accrued	-	-	-	-	-	-	-
3.	Accruing Interest 180+ Days Past Due								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Interest Accrued	-	-	-	-	-	-	-
4.	Interest Reduced								
	(a)	Recorded Investment	-	-	-	-	-	-	-
	(b)	Number of Loans	-	-	-	-	-	-	-
	(c)	Percent Reduced	-	-	-	-	-	-	-

**5A (5) to 5 C.** No changes to note.

**5D. Loan-Backed Securities**

1. Bloomberg is used as a source for the prepayment factors.
2. – 4. Not applicable, no permanently impaired bonds.
5. No impairments exist, other than interest rate related declines classified as temporary.

**5E.** The Company did not have any repurchase agreements in 2020.

**5F.** The Company did not have any repurchase transactions accounted for as Secured Borrowing.

**5G.** The Company did not have any reverse Repurchase Transactions Accounted for as Secured Borrowing.

**5H.** The Company did not have any Repurchase Agreements Transactions Accounted for as a Sale.

**5I.** The Company did not have any Reverse Repurchase Agreements Transactions Accounted for as a Sale.

**5J-L.** No changes to note

**5M.** The Company has no Working Capital Finance Investments .

**5N.** The company has no offsetting or netting of assets and liabilities

**5O-R.** No changes to note

**6.-7.** No changes to note

**8.** The Company does not have any Derivative Instruments.

## NOTES TO FINANCIAL STATEMENTS

### 9. Income Taxes

A. The components of the net deferred tax assets/(liability) at June 30,2020 are as follows:

1.

	6/30/2020			12/31/2019			Change			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	
	Ordinary	Capital	(Col 1 + 2) Total	Ordinary	Capital	(Col 4 + 5) Total	(Col 1 - 4) Ordinary	(Col 2 - 5) Capital	(Col 7 + 8) Total	
a	Gross Deferred Tax Assets	\$1,481,677	\$ -	\$1,481,677	\$1,560,283	\$ -	\$1,560,283	\$ (78,606)	\$ -	\$ (78,606)
b	Statutory Valuation allowance adjustment	-	-	-	-	-	-	-	-	-
c	Adjusted Gross Deferred Tax Asset (1a -1d)	1,481,677	-	1,481,677	1,560,283	-	1,560,283	(78,606)	-	(78,606)
d	Deferred Tax Assets Nonadmitted	586,579	-	586,579	1,110,149	-	1,110,149	(523,570)	-	(523,570)
e	Subtotal Net Admitted Deferred Tax Assets (1c-1f)	895,098	-	895,098	450,134	-	450,134	444,964	-	444,964
f	Deferred Tax Liabilities	-	126,240	126,240	-	131,706	131,706	-	(5,466)	(5,466)
g	Net Admitted Deferred Tax Asset (Net Deferred Tax Liability)	\$ 895,098	\$ 126,240	\$ 768,858	\$ 450,134	\$ 131,706	\$ 318,428	\$ 444,964	\$ (5,466)	\$ 450,430

2. Admission Calculation Components SSAP No. 101

	6/30/2020			12/31/2019			Change			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	
	Ordinary	Capital	(Col 1 + 2) Total	Ordinary	Capital	(Col 4 + 5) Total	(Col 1 - 4) Ordinary	(Col 2 - 5) Capital	(Col 7 + 8) Total	
a	Federal Income Taxes Paid in Prior Years Recoverable Through Loss Carrybacks	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b	Adjusted Gross Deferred Tax Asset Expected To Be Realized (Excluding the Amount of	895,098	(126,240)	768,858	450,134	(131,706)	318,428	444,964	5,466	450,430
	1 Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	895,098	(126,240)	768,858	450,134	(131,706)	318,428	444,964	5,466	450,430
	2. Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Allowed per Limitation Threshold)	xxxxxxx	xxxxxxx	12,519,308	xxxxxxx	xxxxxxx	12,282,611	xxxxxxx	xxxxxxx	236,697
c	Adjusted Gross Deferred Tax Assets (Excluding the Amount of Deferred Tax Assets from 2(a) and 2 (b) above) Offset by Gross Deferred Tax Liabilities	-	-	-	-	-	-	-	-	-
d	Deferred Tax Assets Admitted as the result of application of SSAP 101. Total )2(a) + 2(b) + 2 (c))	\$ 895,098	\$ (126,240)	\$ 768,858	\$ 450,134	\$ (131,706)	\$ 318,428	\$ 444,964	\$ 5,466	\$ 450,430

3.

	Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount		
(a)	Ratio Percentage Used to Determine Recovery Period and Threshold Limitation Amount	937%	893%
(b)	Amount of Adjusted Capital and Surplus Used to Determine Recovery Period and Threshold Limitation in 1(b) above	86,732,362	82,202,503

4.

	6/30/2020			12/31/2019			Change			
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	
	Ordinary	Capital	(Col 1 + 2) Total	Ordinary	Capital	(Col 4 + 5) Total	(Col 1 - 4) Ordinary	(Col 2 - 5) Capital	(Col 7 + 8) Total	
Impact of Tax Planning Strategies										
a	Adjusted Gross DTA's (% of Total Adjusted Gross DTA's)	100%	0%	100%	100%	0%	100%	0%	0%	0%
b	Net Admitted Adjusted Gross DTA's (% of Total Net Admitted Adjusted Gross DTA's)	116%	-16%	100%	141%	-41	100%	-25%	25%	0%

c Does the Company's tax-planning strategies include the use of Reinsurance? Yes  No

B Deferred tax liabilities not recognized at June 30, 2020 and December 31, 2019 are as follows:

N/A-All Deferred Tax Liabilities have been recognized

## NOTES TO FINANCIAL STATEMENTS

C Current income taxes incurred consist of the following major components

			(1)	(2)	(3)
			6/30/2020	12/31/2019	(Col 1 + 2) Change
1.	Current Income Tax				
	(a)	Federal	\$ 1,760,565	\$ 1,839,936	\$ (79,371)
	(b)	Foreign	-	-	-
	(c)	Subtotal	1,760,565	1,839,936	(79,371)
	(d)	Federal Income tax on net capital gains	28,297	35,485	(7,188)
	(e)	Utilization of capital loss carry-forwards	-	-	-
	(f)	Other	-	-	-
	(g)	Federal and foreign income taxes incurred	1,788,862	1,875,421	(86,559)
2.	Deferred Tax Assets				
	(a)	Ordinary			
	(1)	Discounting of Unpaid Losses	8,712	8,712	-
	(2)	Unearned Premium Reserve	1,466,447	1,405,252	61,195
	(3)	Policyholder Reserves	-	-	-
	(4)	Investments	(8,923)	131,681	(140,604)
	(5)	Deferred Acquisition Costs	-	-	-
	(6)	Policyholder Dividends Accrual	-	-	-
	(7)	Fixed Assets	15,441	14,638	803
	(8)	Compensation and Benefits Accrual	-	-	-
	(9)	Pension Accrual	-	-	-
	(10)	Receivables-Nonadmitted	-	-	-
	(11)	Net Operating Loss Carry-forward	-	-	-
	(12)	Tax Credit Carry-forward	-	-	-
	(13)	Other (including items <5% of total Capital Assets)	-	-	-
	(99)	Subtotal	1,481,677	1,560,283	(78,606)
	(b)	Statutory Valuation Allowance Adjustment	-	-	-
	(c)	Nonadmitted	586,579	1,110,149	(523,570)
	(d)	Admitted Ordinary Deferred tax Asset (2a99 – 2f – 2g)	895,098	450,134	444,964
	(e)	Capital:			
	(1)	Investments	-	-	-
	(2)	Net Capital less Carry-forward	-	-	-
	(3)	Real Estate	-	-	-
	(4)	Other (including items <5% of total Capital Assets)	-	-	-
	(99)	Subtotal	-	-	-
	(f)	Statutory Valuation Allowance Adjustment	-	-	-
	(g)	Nonadmitted	-	-	-
	(h)	Admitted Capital Deferred Tax Assets (2a99 – 2f -2g)	-	-	-
	(i)	Admitted Deferred Tax Asset (2d + 22h)	895,098	450,134	444,964
3.	Deferred Tax Liabilities				
	(a)	Ordinary			
	(1)	Investments	-	-	-
	(2)	Fixed assets	-	-	-
	(3)	Deferred and uncollected premium	-	-	-
	(4)	Policyholder reserves	-	-	-
	(5)	Other (including items <5% of Total ordinary tax liabilities)	-	-	-
	(99)	Subtotal	-	-	-
	(b)	Capital			
	(1)	Investments	126,240	131,706	(5,466)
	(2)	Real estate	-	-	-
	(3)	Other (including items <5% of Totalcapital tax liabilities)	-	-	-
	(99)	Subtotal	126,240	131,706	(5,466)
	(c)	Deferred tax liabilities (3a99 + 3b99)	126,240	131,706	(5,466)
4.	Net deferred tax assets/liabilites (2i - 3c)		\$ 768,858	\$ 318,428	\$ 450,430

**NOTES TO FINANCIAL STATEMENTS**

D Among the more significant book to tax adjustments were the following:

	Amount	Tax Effect	Percent
Income before taxes	\$ 5,894,321	\$ 1,237,807	21%
Unearned Premium Adjustment	291,401	61,194	1%
Bond Discount	(166,178)	(34,897)	-1%
Loss Reserve Discounting	-	-	0%
Dividends Received Deduction	(4,305)	(904)	0%
Capital Loss Carry-Forward	-	-	0%
Other, net	2,503,151	525,662	9%
Total	\$ 8,518,390	\$ 1,788,862	30%

- E At June 30, 2020, the Company has no net capital-loss forward available for recoupment against future capital gains.  
 F The Company files a consolidated federal income tax return with its parent company, IA American Holdings, Inc., and other affiliated group members. The Company has a written agreement, approved by the Company's Board of Directors, which sets forth the manner in which the total combined federal income tax is allocated to each entity which is party to the consolidation. Pursuant to this agreement, the Company has the enforceable right to recoup federal income taxes paid in prior years in the event of future net losses, which it may incur, or recoup its net losses carried forward as an offset to future net income subject to federal income taxes.

**10. Information Concerning Parent, Subsidiaries and Affiliates and Other Related Parties**

A-C No changes.

D The Company reported \$68,545 and \$664,958 due from affiliates and \$179,355 and \$179,355 due to affiliates as of June 30, 2020 and December 31, 2019, respectively.

E-F No changes.

G The parent company, Dealers Alliance Corporation, is a non-insurance entity. Through 06/30/2020 (and prior years) Dealers Assurance Company provided funds for federal income tax deposits (on a consolidated basis). In prior years other companies included in consolidated tax return reimbursed their portion of federal income tax deposits on a quarterly basis.

H-L Dealers Assurance Company holds no investments in its parent company or affiliates.

**11. Debt**

The Company does not have any outstanding debt.

**12A** The Company has no defined benefit plans.

**12B-16** No changes to note

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

The Company does not have any sales or transfers to report.

**18. – 19.** No changes to note

**20. Fair Value Measurements**

A.					
(1) Assets Measured at Fair Value on a Recurring Basis					
	(1)	(2)	(3)	(4)	(5)
Description	(Level 1)	(Level 2)	(Level 3)	Total	
a. Assets at fair value					
Cash Equivalents					
Exempt MM Fund	\$ 75,131	\$ -	\$ -	\$ 75,131	
Other MM Fund	1,981,854	-	-	1,981,854	
Total Cash Equivalent	2,056,985	-	-	2,056,985	
Long Term					
Indust. & Misc.	-	1,083,264	-	1,083,264	
SVO Identified Funds	501,372	-	-	501,372	
Common Stock:					
Indust. & Misc	4,007,997	-	-	4,007,997	
Mutual Funds	1,174,113	-	-	1,174,113	
Derivative assets	-	-	-	-	
Separate account assets	-	-	-	-	
Total assets at fair value	7,740,467	1,083,264	-	8,823,731	
b. Liabilities at fair value					
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	

## NOTES TO FINANCIAL STATEMENTS

**B.** Other Fair Value Disclosures-Not Applicable

**C.** Fair Values for all financial instruments by level 1, 2 and 3.

Description	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (carrying value)
Bonds	\$ 139,342,632	\$ 131,816,558	\$ 21,816,411	\$ 110,000,147	\$ -	\$ -
Preferred Stock	-	-	-	-	-	-
Common Stock	5,182,109	5,182,109	5,182,109	-	-	-
Mortgage loans	-	203,602	-	-	-	203,602
Cash , cash equivalents and short-term investments	8,860,896	8,860,896	8,860,896	-	-	-
Total	\$ 153,385,637	\$ 146,063,165	\$ 35,859,416	\$ 110,000,147	\$ -	\$ 203,602

**D.** Items which are not practicable to estimate fair values

It is not practical to determine the fair value of mortgage loans for purposes of the above disclosure of Note 20C due the fact that these items are not traded and therefore quoted market prices are not available. Also, the cost of obtaining estimates of fair values from other sources is considered excessive given the immateriality of the mortgage loans.

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mortgage Loans DAC 002 Mokena, IL	203,602	4.000	09/01/2029	See paragraph above

**21 A-F** No changes to note.

**G** The Company has no offsetting and netting of Assets and Liabilities

**H** The Company has no Joint and Severable Liabilities

**I** The Company has no risk sharing involving the Affordable Care Act

**22 -23** No changes to Note

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

The Company does not have retrospectively rated contracts or contracts subject to redetermination.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

Reserves as of December 31, 2019 were \$276,578. As of June 30,2020, \$380,331 (net of reinsured prior year claims) was paid for incurred losses and loss adjustment expenses attributable to insured events of prior years. This resulted in \$103,753 of unfavorable prior year development.

There was no re-estimation of unpaid claims and claim adjustment expenses. Business written by DAC is related to service contracts issued on an assortment of consumer goods, but primarily, automobile service contracts. Historically, losses on this coverage are settled within 90 days of incurred date (98.7%); and the balance is settled within 180 days of incurred date

**26. – 35.** No changes to note.

**36. Financial Guaranty Insurance**

The company does not write financial guaranty insurance.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. ....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]  
If yes, complete and file the merger history data file with the NAIC.
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2018
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2018
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 10/20/2019
- 6.4 By what department or departments?  
Ohio Department Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ ] No [ ] N/A [ X ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ X ] No [ ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
The Securities Affiliates do not operate in the US .....	Quebec, Canada .....	NO	NO	NO	NO

**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No   
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 68,545

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$
13. Amount of real estate and mortgages held in short-term investments: ..... \$
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ 0   | \$  |
| 14.22 Preferred Stock .....   | \$ 0   | \$  |
| 14.23 Common Stock .....  | \$ 0   | \$  |
| 14.24 Short-Term Investments .....  | \$ 0   | \$  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ 0   | \$  |
| 14.26 All Other .....   | \$ 0   | \$  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 0   | \$ 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$   | \$  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No  N/A   
 If no, attach a description with this statement.
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ 0
- 16.3 Total payable for securities lending reported on the liability page. .... \$ 0

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Fifth Third Bank .....	Cincinnati, OH .....
US Bank .....	Winston Salem, NC .....
Wells Fargo Bank .....	Richmond, VA .....
Citibank, NA .....	New York, NY .....
Century Bank .....	Sante Fe, NM .....
RBS Citizens, NA .....	Providence, RI .....
Conduent State & Local Solutions, Inc. ....	Quincy, MA .....
Bank of New York Mellon .....	Atlanta, GA .....
First National Bankers Bank .....	Little Rock, AR .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Industrial Alliance Investment Management Inc. (make investment decisions) .....	A .....
Asset Allocation and Management Company .....	U .....
Bank of New York Wealth Management .....	U .....
Goodwin & Speirs Investment Group of Wells Fargo Advisors .....	A .....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
Exempt .....	Industrial Alliance Investment Management Inc. ....	N/A .....	N/A .....	DS .....
109875 .....	Asset Allocation and Management Company .....	549300DSCEHIV5W3U963 .....	Securities Exchange Commission .....	NO .....
8275 .....	Bank of New York Wealth Management .....	N/A .....	Office of Controller of Currency .....	NO .....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:  
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.  
 b. Issuer or obligor is current on all contracted interest and principal payments.  
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.  
 Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:  
 a. The security was purchased prior to January 1, 2018.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.  
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.  
 Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:  
 a. The shares were purchased prior to January 1, 2019.  
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.  
 c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.  
 d. The fund only or predominantly holds bonds in its portfolio.  
 e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.  
 f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.  
 Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [ ] N/A [ X ]  
If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [ X ]  
If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto.

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? ..... Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

			TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
1	2	3	4	5	6	7	8	9	10	11
Line of Business	Maximum Interest	Discount Rate	Unpaid Losses	Unpaid LAE	IBNR	TOTAL	Unpaid Losses	Unpaid LAE	IBNR	TOTAL
TOTAL			0	0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... %

5.2 A&H cost containment percent ..... %

5.3 A&H expense percent excluding cost containment expenses ..... %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$.....

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$.....

7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? ..... Yes [ X ] No [ ]

7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? ..... Yes [ ] No [ ]

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
.00000	AA-0058762	SS Auto Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058750	Vates Kayman Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059036	Quaestus Reinsurance Company	TCA	Unauthorized		
.00000	AA-0055029	Michaels III Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059038	Keebo Re Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059124	McShaferson Reinsurance Company	TCA	Unauthorized		
.00000	AA-0058914	Sutton RV Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059262	Bazi Corporation Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059263	Prime Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059264	SPAAC Reinsruance Company	TCA	Unauthorized		
.00000	AA-0059386	Larry abd Brad Reinsurance Company	TCA	Unauthorized		
.00000	AA-7770226	Puyallup River Reinsurance Company	DE	Unauthorized		
.00000	AA-7770227	Puyallup Valley Reinsurance Company	DE	Unauthorized		
.00000	AA-0055253	CMR Reinsurance Company	TCA	Unauthorized		
.00000	AA-7770258	YES Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059172	BJR Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059387	All Road Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059388	Credence Reinsurance Company	TCA	Unauthorized		
.00000	AA-0056910	Taste Great Reinsurance Company	TCA	Unauthorized		
.00000	AA-0052571	Kunes Family Reinsurance Company	TCA	Unauthorized		
.00000	AA-0056909	Less Filling Reinsurance Company	TCA	Unauthorized		
.00000	AA-0057129	EHA Autoguard Reinsurance Company	TCA	Unauthorized		
.00000	AA-0056916	Del Silver Reinsurance Company	TCA	Unauthorized		
.00000	AA-0055601	Reid Investments Reinsurance Company	TCA	Unauthorized		
.00000	AA-7770332	First Cousins Reinsurance Company	TCA	Unauthorized		
.00000	AA-0059305	Hanalei Bay Reinsurance Company	TCA	Unauthorized		

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

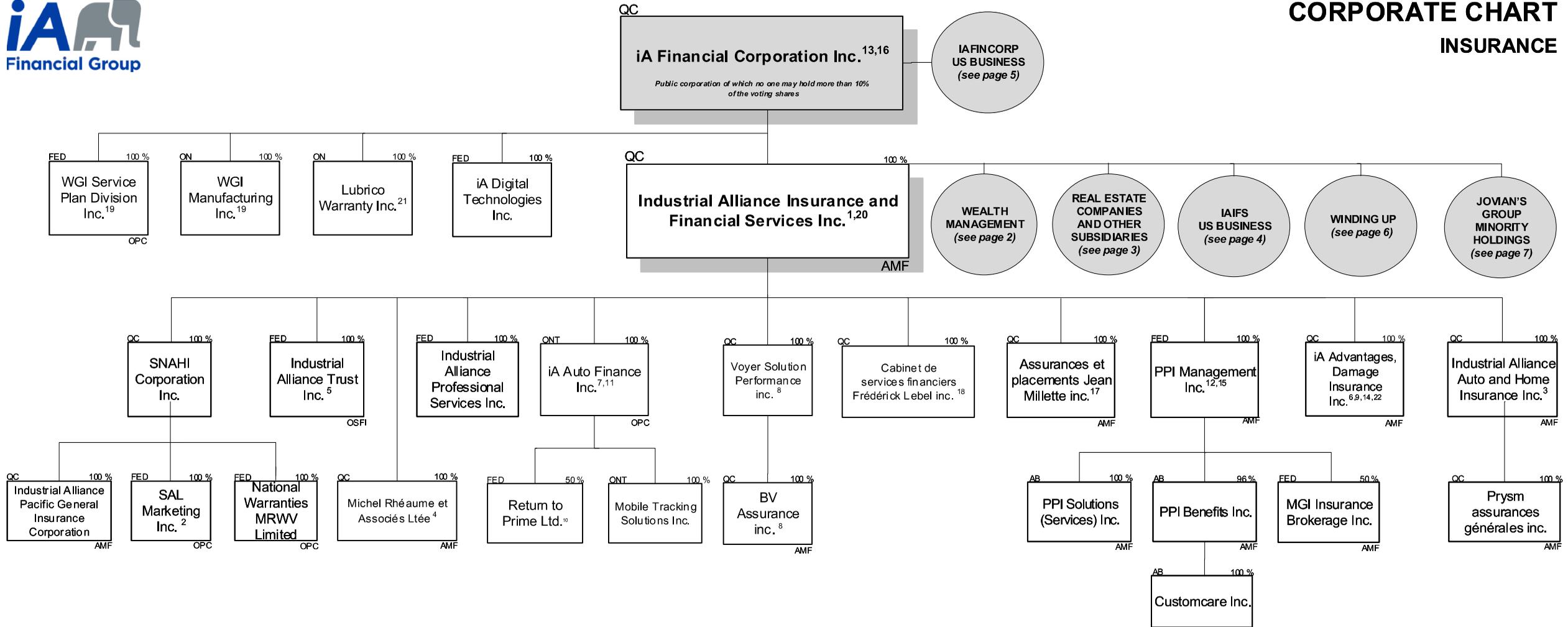
	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid		
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date	
1. Alabama	AL	L	1,328,963	1,536,393	475,530	585,754	5,695	97,871
2. Alaska	AK	L	92,735	29,071	32,115	79,742	0	150
3. Arizona	AZ	L	345,670	304,216	174,753	74,898	0	28,480
4. Arkansas	AR	L	1,439,645	1,100,576	671,932	686,050	0	223,959
5. California	CA	L	1,406,589	1,777,150	699,229	988,752	54,223	112,661
6. Colorado	CO	L	5,216,787	3,148,844	2,606,309	1,277,087	186,120	134,135
7. Connecticut	CT	L	325,431	261,225	229,255	171,189	0	18,703
8. Delaware	DE	L	1,316,802	7,605,224	2,365,082	924,564	357,365	316,933
9. District of Columbia	DC	L	0	0	0	0	0	0
10. Florida	FL	L	3,615,718	2,674,770	2,615,947	2,117,039	538,368	559,020
11. Georgia	GA	L	1,566,622	1,062,209	1,068,232	727,892	8,917	63,220
12. Hawaii	HI	L	0	0	0	0	0	0
13. Idaho	ID	L	147,846	190,425	91,118	83,654	12,325	8,916
14. Illinois	IL	L	1,569,053	912,715	435,103	200,848	1,615	36,042
15. Indiana	IN	L	1,567,542	1,490,607	746,157	715,763	0	80,998
16. Iowa	IA	L	484,255	255,013	235,672	129,215	0	10,908
17. Kansas	KS	L	101,414	89,024	35,302	7,317	0	18,993
18. Kentucky	KY	L	666,946	564,584	236,093	311,164	18,852	41,880
19. Louisiana	LA	L	1,492,621	1,483,193	878,413	539,661	0	366,689
20. Maine	ME	L	591,388	545,399	349,351	283,445	0	39,230
21. Maryland	MD	L	734,732	933,668	509,556	511,864	0	67,709
22. Massachusetts	MA	L	307,573	158,712	155,906	168,303	0	24,278
23. Michigan	MI	L	3,977,328	3,319,229	2,982,698	2,698,547	0	192,891
24. Minnesota	MN	L	817,978	524,197	602,080	455,497	0	38,094
25. Mississippi	MS	L	1,409,525	1,504,579	583,021	441,657	0	62,475
26. Missouri	MO	L	1,480,818	1,081,189	698,170	484,411	0	72,463
27. Montana	MT	L	124,157	189,843	83,546	111,471	8,027	9,462
28. Nebraska	NE	L	286,736	288,008	128,079	614,680	11,978	17,858
29. Nevada	NV	L	92,278	100,882	83,433	74,218	0	6,757
30. New Hampshire	NH	L	197,453	159,302	64,813	61,131	0	7,851
31. New Jersey	NJ	L	1,181,902	652,690	447,426	363,609	0	31,219
32. New Mexico	NM	L	1,311,631	1,247,409	171,742	135,560	0	38,928
33. New York	NY	L	2,192,614	1,818,619	1,545,374	1,609,553	0	121,650
34. North Carolina	NC	L	7,528,029	5,573,219	3,733,412	2,834,544	848,035	421,600
35. North Dakota	ND	L	26,708	19,206	26,879	3,546	0	1,886
36. Ohio	OH	L	13,667,049	6,398,214	2,836,986	1,588,440	120,329	254,096
37. Oklahoma	OK	L	310,403	348,972	149,830	112,106	4,949	74,248
38. Oregon	OR	L	202,852	100,520	38,739	13,849	0	2,979
39. Pennsylvania	PA	L	2,080,475	2,738,222	939,233	861,502	0	114,319
40. Rhode Island	RI	L	195,579	154,998	52,314	38,623	0	7,352
41. South Carolina	SC	L	325,397	175,956	59,530	147,819	0	32,734
42. South Dakota	SD	L	62,336	26,317	38,900	12,956	0	1,585
43. Tennessee	TN	L	1,535,704	1,280,640	641,595	679,395	414	118,660
44. Texas	TX	L	17,819,881	24,042,829	9,017,662	7,499,640	4,897,981	2,346,418
45. Utah	UT	L	14,512	12,005	4,501	18,334	0	586
46. Vermont	VT	L	95,482	32,735	37,170	31,549	0	5,071
47. Virginia	VA	L	662,074	668,148	320,441	284,920	0	42,808
48. Washington	WA	L	6,906,542	8,248,479	2,851,486	1,078,891	603,017	371,941
49. West Virginia	WV	L	459,487	292,475	187,705	89,086	0	42,481
50. Wisconsin	WI	L	812,788	254,989	245,679	281,570	0	33,894
51. Wyoming	WY	L	62,595	41,645	11,907	17,855	6	5,300
52. American Samoa	AS	N						
53. Guam	GU	N						
54. Puerto Rico	PR	N						
55. U.S. Virgin Islands	VI	N						
56. Northern Mariana Islands	MP	N						
57. Canada	CAN	N						
58. Aggregate Other Alien	OT	XXX	0	0	0	0	0	0
59. Totals	XXX		90,158,645	87,418,534	43,195,406	33,229,160	7,678,216	6,728,381
DETAILS OF WRITE-INS								
58001.	XXX			0		0		0
58002.	XXX							
58003.	XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG	51	R - Registered - Non-domiciled RRGs	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile - see DSLI)	0	Q - Qualified - Qualified or accredited reinsurer	0
D - Domestic Surplus Lines Insurer (DSLII) - Reporting entities authorized to write surplus lines in the state of domicile	0	N - None of the above - Not allowed to write business in the state	6



**CORPORATE CHART  
INSURANCE**



11

<sup>1</sup> Amalgamation of Industrial Alliance Insurance and Financial Services Inc. (IAIFS) and Industrial Alliance Pacific Insurance and Financial Services Inc. (IAP) on June 30, 2012.

<sup>2</sup> Formerly Seaboard Marketing Inc.

<sup>3</sup> Formerly Industrial Alliance General Insurance Company.

<sup>4</sup> Formerly Société d'Investissements L'Excellence Ltée.

<sup>5</sup> Industrial Alliance Trust Company changed its name and its jurisdiction on March 2, 2005.

<sup>6</sup> Amalgamation of Solicour Inc. and Solicour Insurance Services Inc. on January 1<sup>st</sup>, 2014.

<sup>7</sup> Acquisition of CTL Corp. by IAIFS on October 1<sup>st</sup>, 2015.

<sup>8</sup> Acquisition of Groupe PPP by IAIFS on November 18, 2016.

<sup>9</sup> Amalgamation of iA Avantages Cabinets d'assurances inc. and Solicour inc. on March 1<sup>st</sup>, 2017.

<sup>10</sup> Incorporation of Return to Prime Ltd. on February 16, 2017, 50% owned by CTL Corp. on March 3<sup>rd</sup>, 2017.

<sup>11</sup> CTL Corp. changed its name to iA Auto Finance Inc. on June 19, 2017.

<sup>12</sup> Acquisition of PPI Management Inc. by IAIFS on February 26, 2018

<sup>13</sup> Incorporated by IAIFS on February 20, 2018.

<sup>14</sup> Amalgamation between iA Avantages, Cabinet d'assurance inc, Premier Plan Assurance inc. et Performa Assurance inc. on January 1<sup>st</sup>, 2019. The name of the amalgamated corporation is iA Avantages, Cabinet d'assurance inc.

<sup>15</sup> Amalgamation between PPI Management Inc., Hollis Insurance Inc., PPI Solutions Inc., PPI Solutions (Winnipeg) Inc. and 3752178 Canada Inc. on January 1<sup>st</sup>, 2019. The name of the amalgamated corporation is PPI Management Inc.

<sup>16</sup> January 1<sup>st</sup>, 2019, effective date of the Arrangement.

<sup>17</sup> Acquisition of Assurances et placements Jean Milette Inc. by IAIFS on January 1<sup>st</sup>, 2019.

<sup>18</sup> Acquisition of Cabinet de services financiers Frédéric Lebel Inc. by IAIFS on August 15, 2019.

<sup>19</sup> Acquisition of WGI Manufacturing Inc. and WGI Services Plan Division Inc. January 1<sup>st</sup>, 2020.

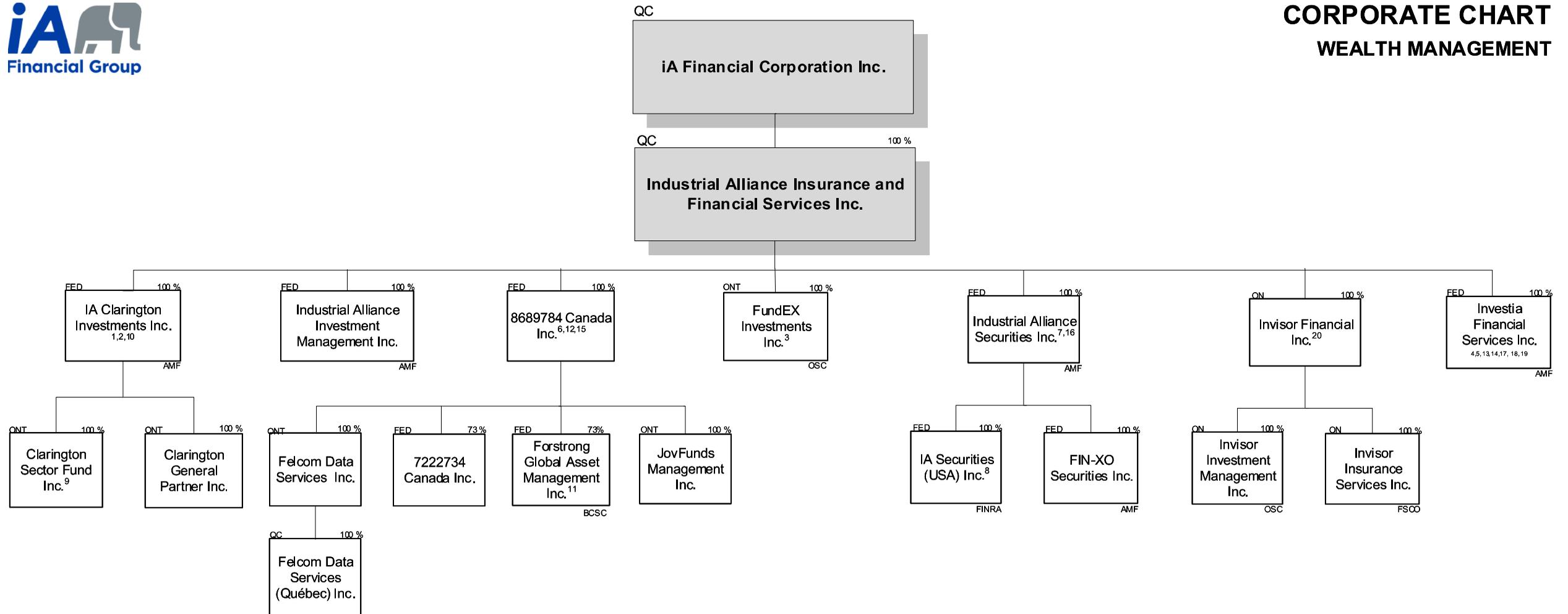
<sup>20</sup> Amalgamation between Corporation Financière L'Excellence Ltée and The Excellence Life Insurance Company on January 1<sup>st</sup>, 2020.

<sup>21</sup> Acquisition of LWI Holdings Ltd. On January 8, 2020 and amalgamation with Lubrico Warranty Inc.

<sup>22</sup> Formerly iA Avantages, Cabinet d'assurances inc.



**CORPORATE CHART**  
**WEALTH MANAGEMENT**



11.1

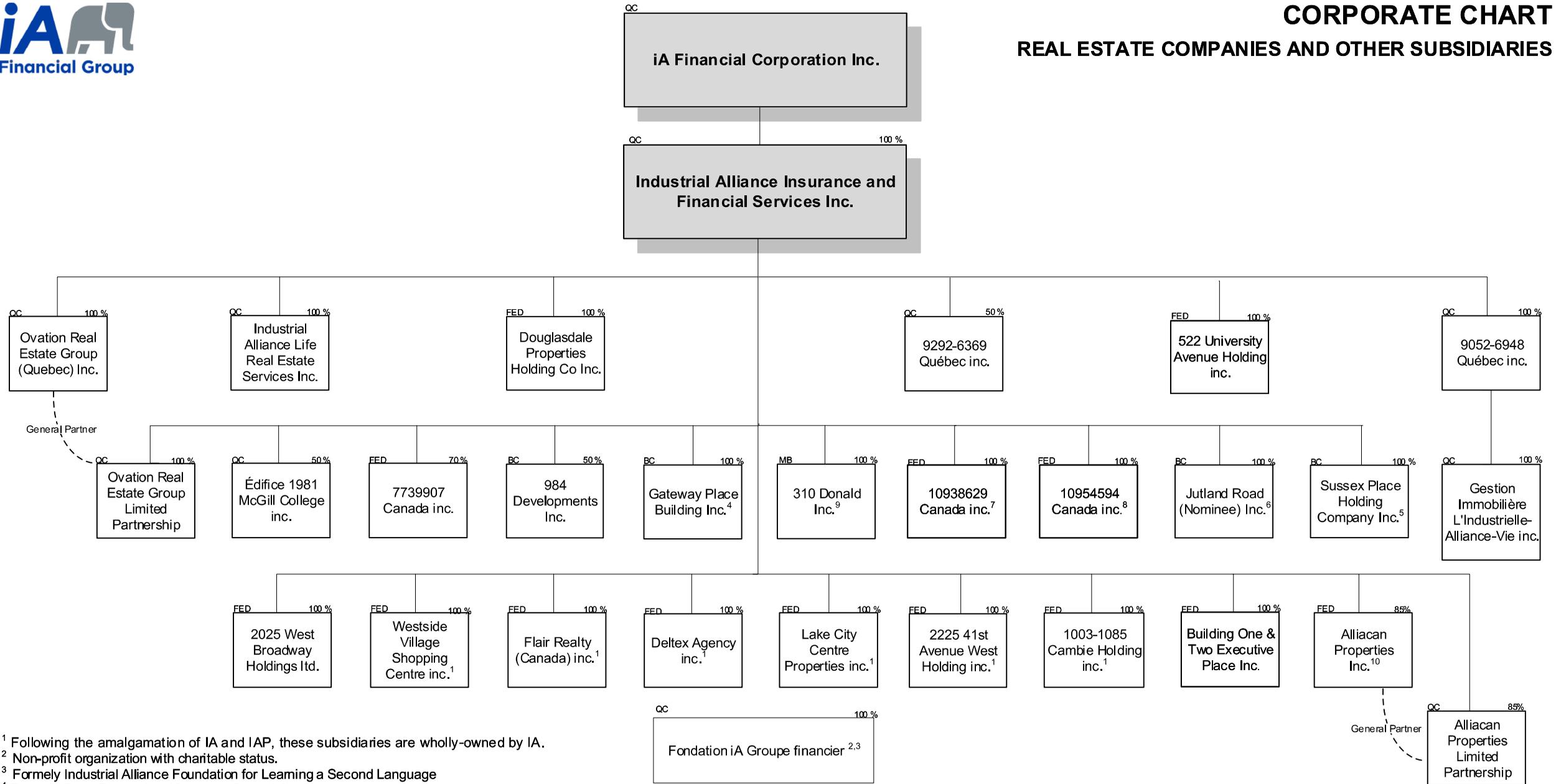
<sup>1</sup> Amalgamation of Sarbit Asset Management Inc. (acquired on October 31, 2008) and IA Clarington Investments Inc. on January 1<sup>st</sup>, 2009.  
<sup>2</sup> Amalgamation of Industrial Alliance Mutual Funds Inc. and IA Clarington Investments on January 1<sup>st</sup>, 2011.  
<sup>3</sup> Acquisition of Fundtrade Financial Corp. on August 18, 2006 and amalgamation of Fundtrade Financial Corp. and FundEx Investments Inc. on September 1<sup>st</sup>, 2006.  
<sup>4</sup> Acquisition of National Financial Corporation on July 1<sup>st</sup>, 2008 and amalgamation of NFC, Aegon Dealer Services Canada and Investia Services Inc. on September 30, 2008.  
<sup>5</sup> Amalgamation of Investia Financial Services Inc. and Dundee Private Investors Ltd. on September 1<sup>st</sup>, 2009.  
<sup>6</sup> Amalgamation of Jovian Capital Corporation and Jovian Asset Management Inc. on January 1<sup>st</sup>, 2014.  
<sup>7</sup> Amalgamation of Industrial Alliance Securities Inc. and MGI Securities Inc. on April 1<sup>st</sup>, 2014.  
<sup>8</sup> Formerly MGI Securities (USA) Inc.  
<sup>9</sup> Amalgamation of Clarington Sector Fund Inc. and JovCorporate Funds Ltd. On November 28, 2014.  
<sup>10</sup> Amalgamation of IA Clarington Investments Inc. and Jov Financial Solutions Inc. on March 1<sup>st</sup>, 2015.  
<sup>11</sup> Formerly Hahn Investment Stewards & Company Inc.  
<sup>12</sup> Formerly Jovian Capital Corporation.  
<sup>13</sup> Acquisition of Les Services financiers Planifax inx. by Investia on January 1<sup>st</sup>, 2016.  
<sup>14</sup> Amalgamation between Investia Financial Services and Planifax on January 1<sup>st</sup>, 2016.

<sup>15</sup> Amalgamation of 8689784 Canada Inc. and 2782073 Canada Inc. on April 28, 2016.  
<sup>16</sup> Amalgamation of Industrial Alliance Securities Inc. and Burgeonvest Bick Securities Limited on May 1<sup>st</sup>, 2016.  
<sup>17</sup> Acquisition of Byrns Holdings Inc. and Christoph Holdings Inc. by Investia Financial Services Inc. on December 1<sup>st</sup>, 2016  
<sup>18</sup> Liquidation of Byrns Holdings Inc., Christoph Holdings Inc. Roybyrns & Associates Inc. and Services Financier G.A.P. Financial Services Inc. on December 15 2016 and Winding up of Byrns, Christoph, Roybyrns and G.A.P. on December 31<sup>st</sup>, 2016  
<sup>19</sup> Acquisition of HollisWealth Advisory Services Inc. by IAIFS and amalgamated with Investia, both on August 4, 2017.  
<sup>20</sup> Acquisition of Invisor Financial Inc. by IAIFS on April 3rd, 2018.



**CORPORATE CHART**  
**REAL ESTATE COMPANIES AND OTHER SUBSIDIARIES**

11.2



<sup>1</sup> Following the amalgamation of IA and IAP, these subsidiaries are wholly-owned by IA.

<sup>2</sup> Non-profit organization with charitable status.

<sup>3</sup> Formerly Industrial Alliance Foundation for Learning a Second Language

<sup>4</sup> Formerly Bosa Properties (Gateway-Comm) Inc.

<sup>5</sup> Incorporated by IAIFS on November 1<sup>st</sup>, 2017.

<sup>6</sup> Purchased by IAIFS on November 15, 2017.

<sup>7</sup> Incorporated by IAIFS on August 9, 2018.

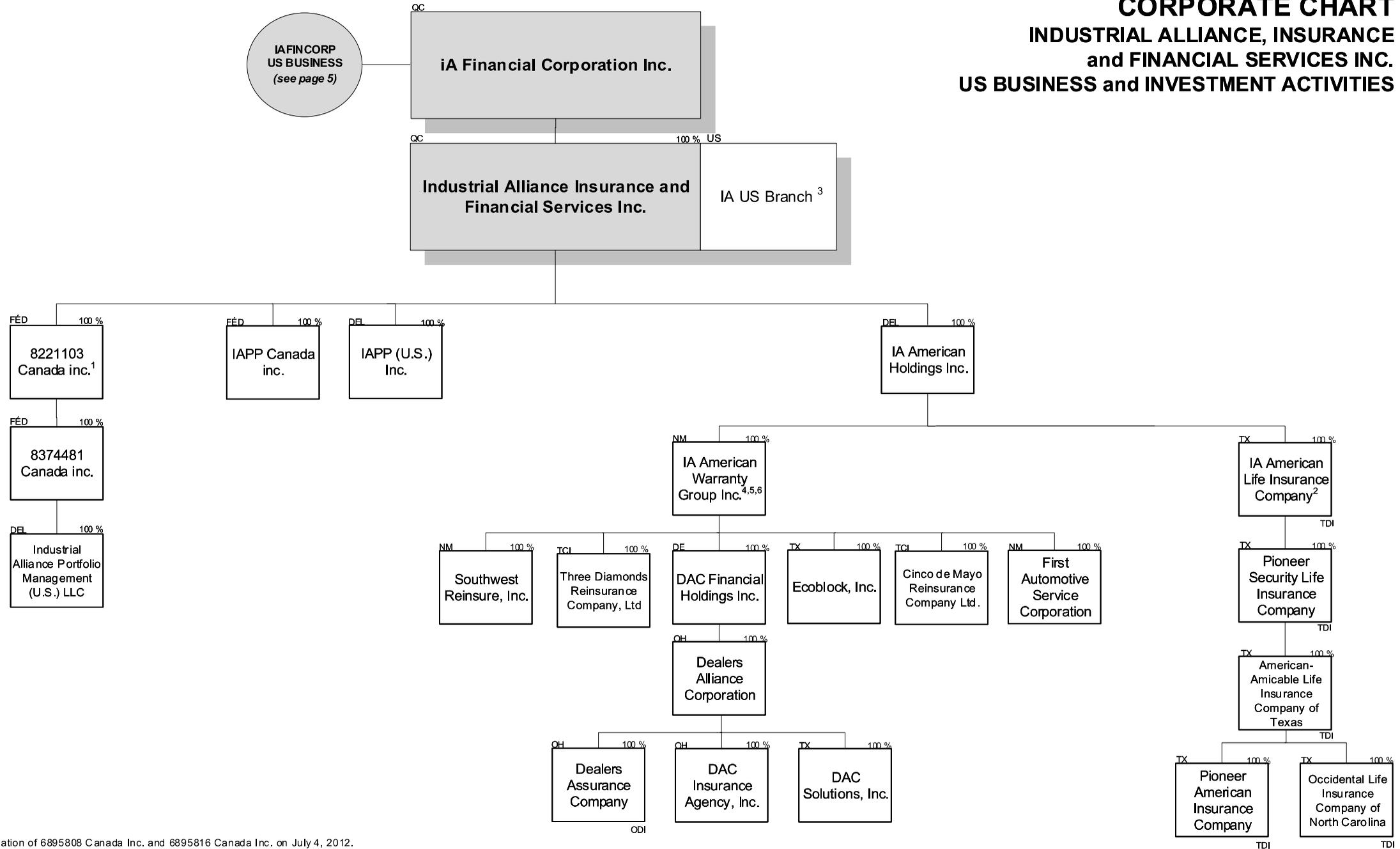
<sup>8</sup> Incorporated by IAIFS on August 21, 2018.

<sup>9</sup> Purchased by IAIFS on October 31, 2018.

<sup>10</sup> Incorporated by IAIFS on August 20, 2019.



**CORPORATE CHART**  
**INDUSTRIAL ALLIANCE, INSURANCE**  
**and FINANCIAL SERVICES INC.**  
**US BUSINESS and INVESTMENT ACTIVITIES**



11.3

<sup>1</sup> New company resulting of the amalgamation of 6895808 Canada Inc. and 6895816 Canada Inc. on July 4, 2012.

<sup>2</sup> Formerly United Family Life Insurance Company.

<sup>3</sup> IAIFS carries on business in the U.S. via its American branch which is not a distinct corporate entity.

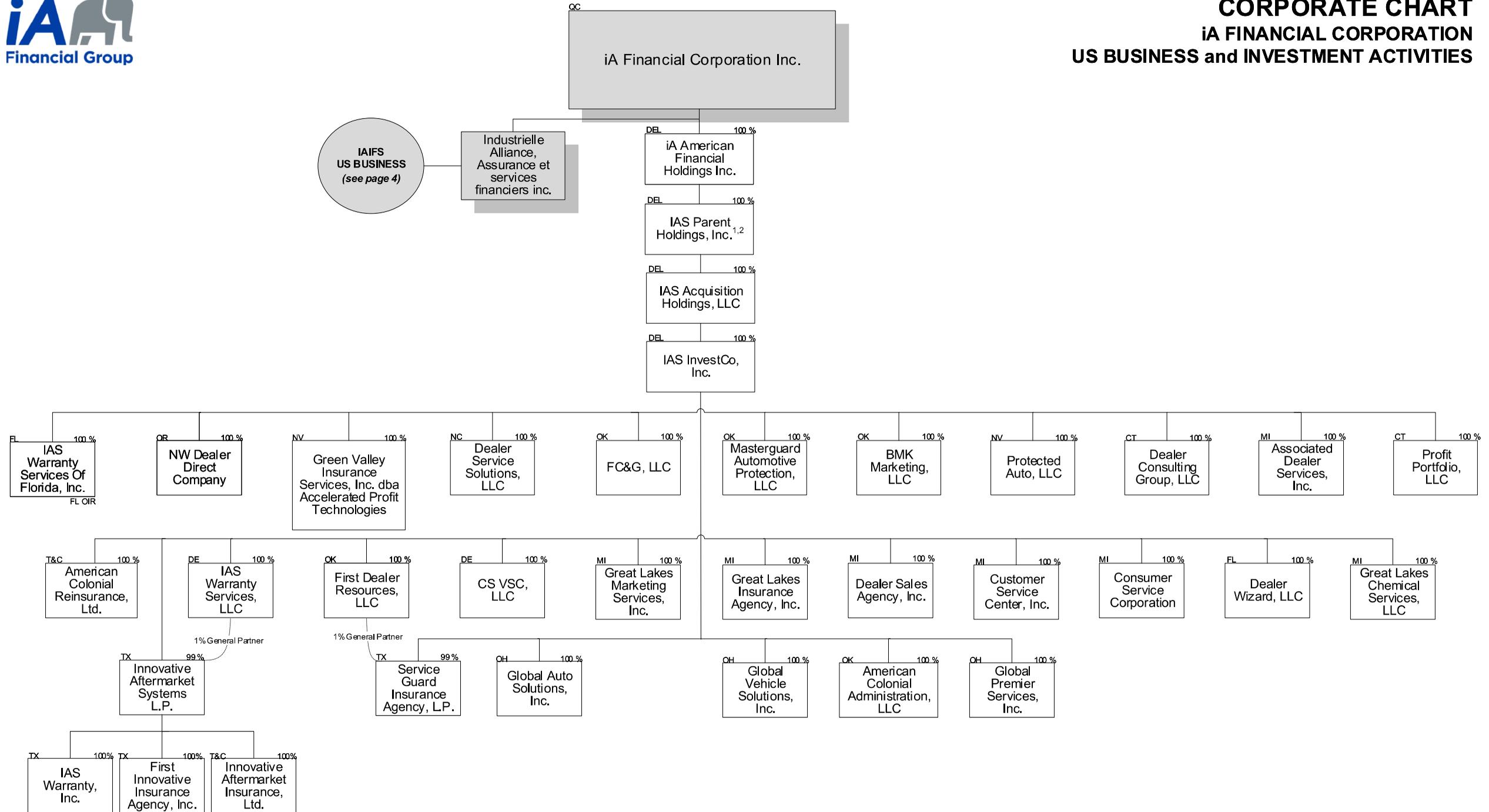
<sup>4</sup> Acquisition by IA American Holdings Inc. on January 23, 2018.

<sup>5</sup> Formerly known as Helios Financial Holdings Corp.

<sup>6</sup> Formerly known as IA American Casualty Holdings Inc.



**CORPORATE CHART**  
**iA FINANCIAL CORPORATION**  
**US BUSINESS and INVESTMENT ACTIVITIES**

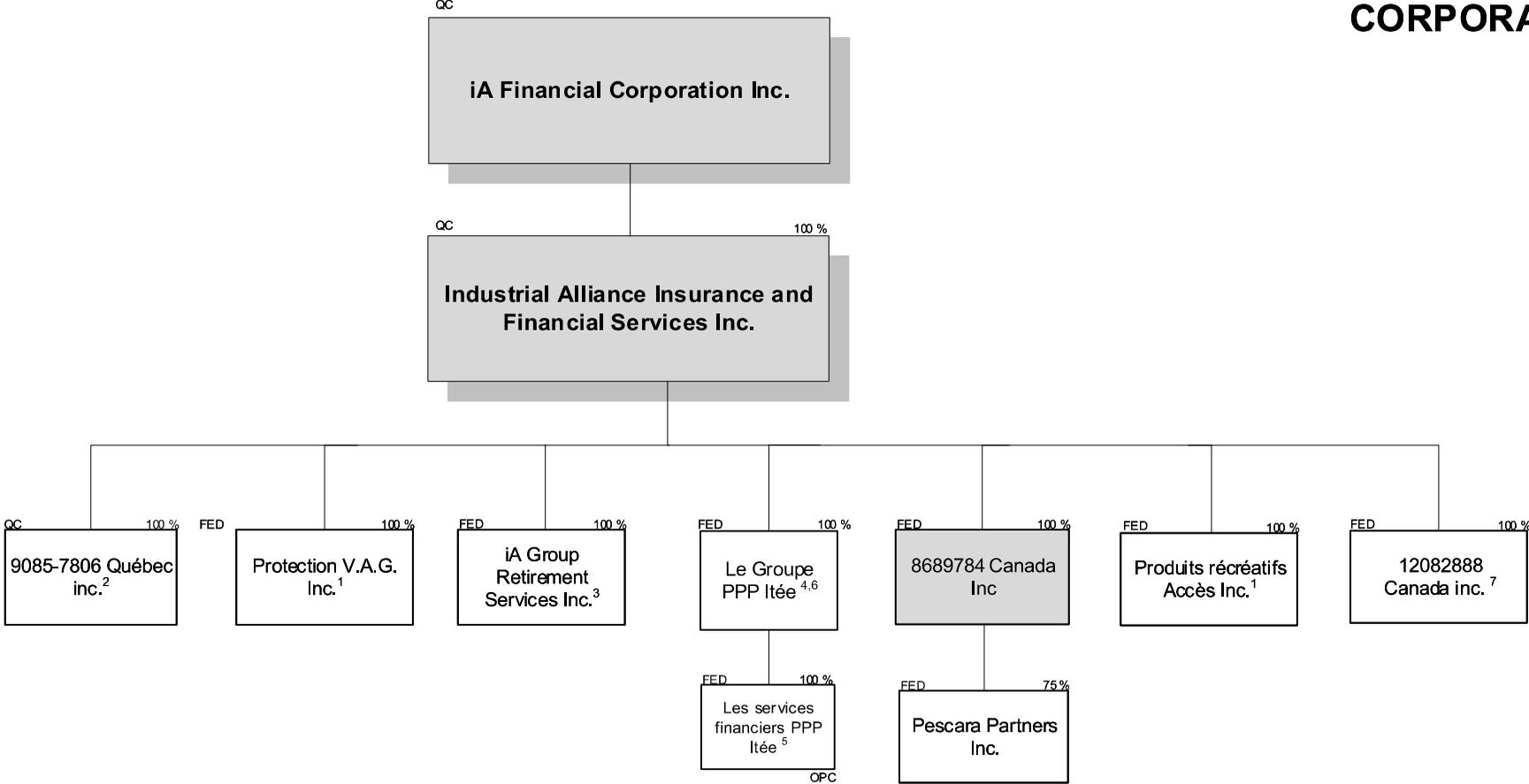


11.4

<sup>1</sup> Acquisition on May 22, 2020.



**CORPORATE CHART  
WINDING UP**

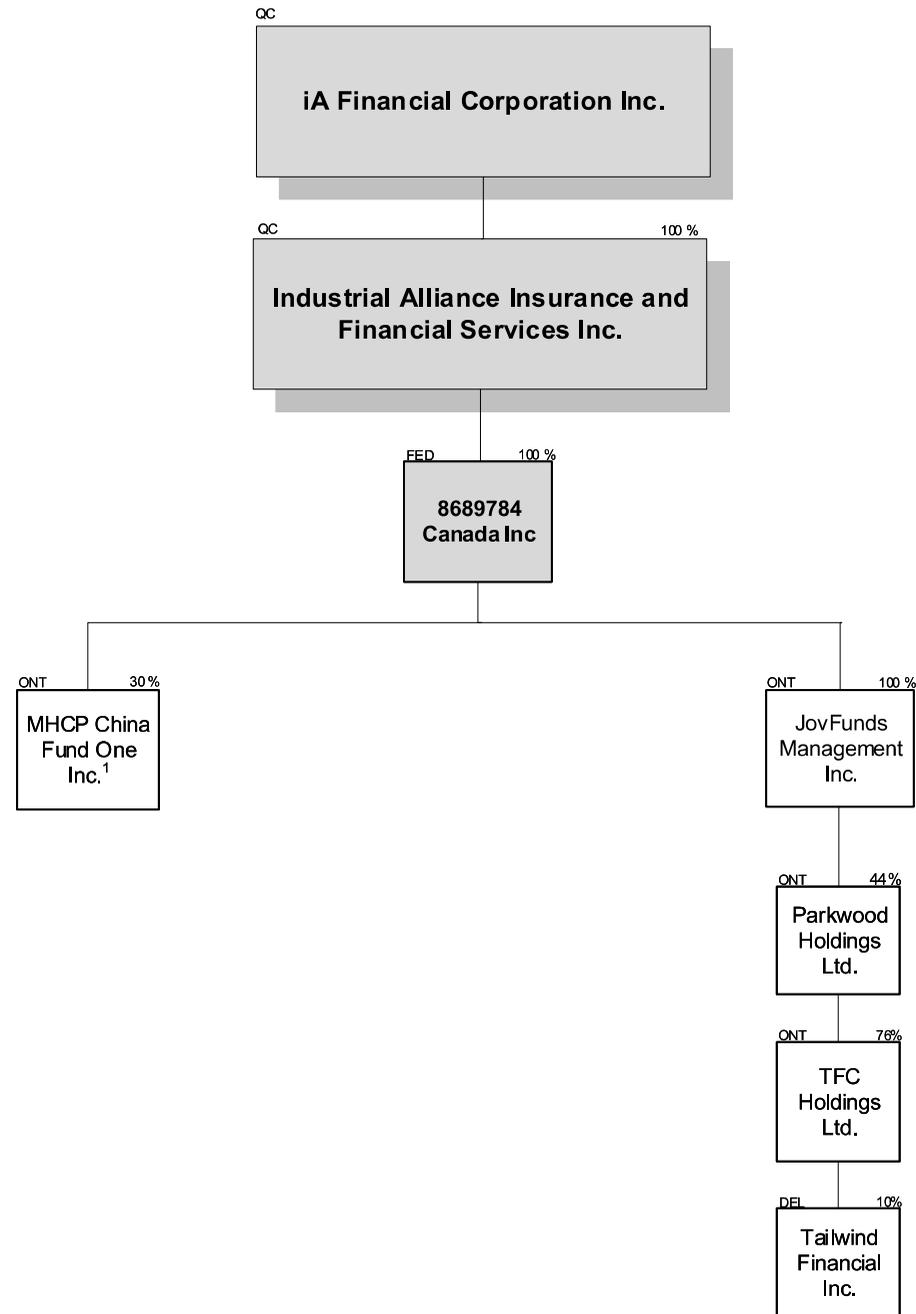


11.5

<sup>1</sup> Liquidated in iAIFS on July 1<sup>st</sup>, 2013.  
<sup>2</sup> Liquidated in iAIFS on February 15, 2017.  
<sup>3</sup> Liquidated in iAIFS on December 17, 2018.  
<sup>4</sup> Acquisition of Groupe PPP by iAIFS on November 18, 2016.  
<sup>5</sup> Liquidated in Le Groupe PPP Ltée on April 1<sup>st</sup>, 2019.  
<sup>6</sup> Liquidated in iAIFS on April 1<sup>st</sup>, 2019.  
<sup>7</sup> Liquidated in iAIFS on March 28<sup>th</sup>, 2020.



# CORPORATE CHART JOVIAN GROUP'S MINORITY HOLDINGS



11.6

<sup>1</sup> Amalgamation between Mission Hills Partners Inc. and MHCP China Fund One Inc. on April 1<sup>st</sup>, 2018.

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
.0315	Industrial Alliance GRP	.00000	00-0000000			TSX	Industrial Alliance Insurance and Financial Services Inc.	.CAN	UIP	Publicly Traded			Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.14406	98-0018913				Industrial Alliance Insurance and Financial Services - USB	.TX	IA	Industrial Alliance Insurance and Financial Services Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	85-0479228				IA American Casualty Holdings Inc.	.NM	UIP	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	20-0833446				DAC Financial Holdings, Inc.	.DE	UIP	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	31-0906655				Dealers Alliance Corp.	.OH	UDP	DAC Financial Holdings, Inc	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.16705	34-6513705				Dealers Assurance Company	.OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	31-0908416				DAC Insurance Agency, Inc.	.OH	IA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	46-2008908				DAC Solutions, Inc.	.TX	NIA	Dealers Alliance Corp.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	85-0339432				Southwest Reinsure, Inc.	.NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	98-0231706				Three Diamonds Reinsurance Co., LTD	.TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	42-1563507				Ecoblock, Inc.	.TX	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	98-0516902				Cinco de Mayo Reinsurance Co., LTD	.TCA	IA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.00000	91-2020119				First Automotive Service Corp.	.NM	NIA	IA American Casualty Holdings Inc.	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.91693	13-3036472				IA American Life Insurance Company	.TX	IA	IA American Holdings Inc.	Ownership		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.67946	75-1083342				Pioneer Security Life Insurance Company	.TX	IA	IA American Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.68594	74-2179909				American Amicable Life Ins. Co. of Texas	.TX	IA	Pioneer Security Life Insurance Company	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.67148	56-0343440				Occidental Life Insurance Co. of North Carolina	.TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	
.0315	Industrial Alliance GRP	.67873	75-0914374				Pioneer American Insurance Company	.TX	IA	American Amicable Life Insurance Co. of Texas	Ownership/Management/Board of Directors		Industrial Alliance Ins. & Fin. Srv., Inc.	.N	

Asterisk	Explanation
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STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied Lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril			0.0	0.0
5. Commercial multiple peril			0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability - occurrence			0.0	0.0
11.2 Medical professional liability - claims-made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability - occurrence	8,856,159	6,078,233	68.6	133.2
17.2 Other liability - claims-made			0.0	0.0
17.3 Excess workers' compensation			0.0	0.0
18.1 Products liability - occurrence			0.0	0.0
18.2 Products liability - claims-made			0.0	0.0
19.1,19.2 Private passenger auto liability			0.0	0.0
19.3,19.4 Commercial auto liability			0.0	0.0
21. Auto physical damage			0.0	0.0
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty	65,655,869	37,117,172	56.5	41.7
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
35. Totals	74,512,028	43,195,405	58.0	47.2
<b>DETAILS OF WRITE-INS</b>				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0.0	0.0

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire	0		
2. Allied Lines	0		
3. Farmowners multiple peril	0		
4. Homeowners multiple peril	0		
5. Commercial multiple peril	0		
6. Mortgage guaranty	0		
8. Ocean marine	0		
9. Inland marine	0		
10. Financial guaranty	0		
11.1 Medical professional liability - occurrence	0		
11.2 Medical professional liability - claims-made	0		
12. Earthquake	0		
13. Group accident and health	0		
14. Credit accident and health	0		
15. Other accident and health	0		
16. Workers' compensation	0		
17.1 Other liability - occurrence	3,196,874	6,409,624	7,771,467
17.2 Other liability - claims-made	0		
17.3 Excess workers' compensation	0		
18.1 Products liability - occurrence	0		
18.2 Products liability - claims-made	0		
19.1,19.2 Private passenger auto liability	0		
19.3,19.4 Commercial auto liability	0		
21. Auto physical damage	0		
22. Aircraft (all perils)	0		
23. Fidelity	0		
24. Surety	0		
26. Burglary and theft	0		
27. Boiler and machinery	0		
28. Credit	0		
29. International	0		
30. Warranty	40,566,348	83,749,021	79,647,067
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
35. Totals	43,763,222	90,158,645	87,418,534
<b>DETAILS OF WRITE-INS</b>			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0	0

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**PART 3 (000 omitted)**

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1+2)	2020 Loss and LAE Payments on Claims Reported as of Prior Year-End	2020 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2020 Loss and LAE Payments (Cols. 4+5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols.7+8+9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols.4+7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5+8+9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11+12)
1. 2017 + Prior .....			0			0				0	0	0	0
2. 2018 .....			0			0				0	0	0	0
3. Subtotals 2018 + Prior .....	0	0	0	0	0	0	0	0	0	0	0	0	0
4. 2019 .....		277	277	246	134	380				0	246	(143)	103
5. Subtotals 2019 + Prior .....	0	277	277	246	134	380	0	0	0	0	246	(143)	103
6. 2020 .....	XXX	XXX	XXX	XXX	476	476	XXX		277	277	XXX	XXX	XXX
7. Totals .....	0	277	277	246	610	856	0	0	277	277	246	(143)	103
8. Prior Year-End Surplus As Regards Policyholders	82,203										Col. 11, Line 7 As % of Col. 1 Line 7	Col. 12, Line 7 As % of Col. 2 Line 7	Col. 13, Line 7 As % of Col. 3 Line 7
											1. 0.0	2. (51.6)	3. 37.2
													Col. 13, Line 7 As a % of Col. 1 Line 8
													4. 0.1

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

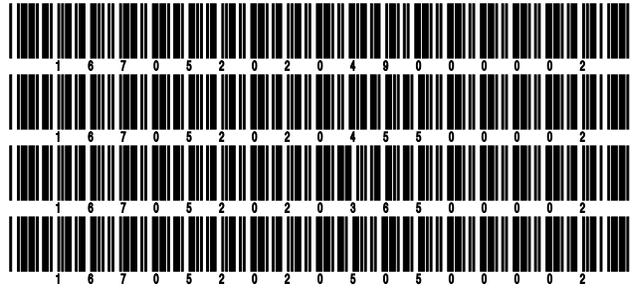
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanations:

- 1.
- 2.
- 3.
- 4.

Bar Codes:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Supplement A to Schedule T [Document Identifier 455]
3. Medicare Part D Coverage Supplement [Document Identifier 365]
4. Director and Officer Supplement [Document Identifier 505]



**OVERFLOW PAGE FOR WRITE-INS**

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,796,984	3,714,953
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....	19,401	220,110
3. Current year change in encumbrances .....		0
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		0
7. Deduct current year's other than temporary impairment recognized .....		0
8. Deduct current year's depreciation .....	(4,511)	138,079
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	3,820,896	3,796,984
10. Deduct total nonadmitted amounts .....		0
11. Statement value at end of current period (Line 9 minus Line 10) .....	3,820,896	3,796,984

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	214,442	232,013
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	10,840	17,571
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	203,602	214,442
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....	203,602	214,442
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....	203,602	214,442

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	131,668,109	120,964,030
2. Cost of bonds and stocks acquired .....	26,743,618	48,652,598
3. Accrual of discount .....	87,150	185,492
4. Unrealized valuation increase (decrease) .....	(24,185)	499,166
5. Total gain (loss) on disposals .....	102,997	168,978
6. Deduct consideration for bonds and stocks disposed of .....	21,460,579	38,429,941
7. Deduct amortization of premium .....	187,967	407,399
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	69,526	35,185
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	136,998,668	131,668,109
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	136,998,668	131,668,109

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	97,958,356	16,879,538	14,269,676	(423,356)	97,958,356	100,144,863	0	100,614,562
2. NAIC 2 (a) .....	28,283,377	2,318,197	500,000	486,857	28,283,377	30,588,431	0	28,064,357
3. NAIC 3 (a) .....	1,234,559	0	0	(151,295)	1,234,559	1,083,264	0	
4. NAIC 4 (a) .....	0				0	0		
5. NAIC 5 (a) .....	0				0	0		
6. NAIC 6 (a) .....	0				0	0		
7. Total Bonds	127,476,292	19,197,735	14,769,676	(87,794)	127,476,292	131,816,558	0	128,678,919
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0	0		0
9. NAIC 2 .....	0				0	0		0
10. NAIC 3 .....	0				0	0		0
11. NAIC 4 .....	0				0	0		0
12. NAIC 5 .....	0				0	0		0
13. NAIC 6 .....	0				0	0		0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	127,476,292	19,197,735	14,769,676	(87,794)	127,476,292	131,816,558	0	128,678,919

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

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Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

## STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	1,703,683	2,773,571
2. Cost of cash equivalents acquired .....	31,281,553	38,705,309
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		
6. Deduct consideration received on disposals .....	30,928,252	39,775,197
7. Deduct amortization of premium .....		
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other than temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	2,056,984	1,703,683
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11)	2,056,984	1,703,683

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE B - PART 2**

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	3 City	3 State						
<b>NONE</b>								
3399999 - Totals								

**SCHEDULE B - PART 3**

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment					14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consid-eration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	
	3 City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization) /Accretion	10 Current Year's Other-Than-Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)						13 Total Foreign Exchange Change in Book Value
DAC002	Mokena	IL		08/21/2014	06/30/2020	6,264	0	0	0	0	0	0	6,264	6,264	0	0	0
0299999. Mortgages with partial repayments																	
						6,264	0	0	0	0	0	0	6,264	6,264	0	0	0
0599999 - Totals																	
						6,264	0	0	0	0	0	0	6,264	6,264	0	0	0

E02

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
912828-05-6	UNITED STATES TREASURY		04/27/2020	GOLDMAN SACHS & CO, NY		108,496	100,000	476	1
912828-0F-8	UNITED STATES TREASURY		06/25/2020	HSBC SECS INC, NEW YORK		102,957	100,000	725	1
912828-1UJ-5	UNITED STATES TREASURY		04/29/2020	NOMURA SECURITIES INTL, FIXED I		81,577	75,000	860	1
912828-XV-5	UNITED STATES TREASURY		04/27/2020	BNP PARIBAS SEC BOND, NEW YORK		258,086	250,000	1,430	1
912828-YS-3	UNITED STATES TREASURY		04/27/2020	BNP PARIBAS SEC BOND, NEW YORK		204,093	185,000	1,468	1
912828-Z2-9	UNITED STATES TREASURY		05/28/2020	Various		2,533,565	2,450,000	12,064	1
912828-Z8-6	UNITED STATES TREASURY		04/29/2020	NOMURA SECURITIES INTL, FIXED I		257,910	250,000	708	1
912828-ZD-5	UNITED STATES TREASURY		06/29/2020	INTL FStone L.P.		1,715,672	1,700,000	2,471	1
912828-ZF-0	UNITED STATES TREASURY		05/20/2020	GOLDMAN SACHS & CO, INC.		1,058,330	1,050,000	732	1
912828-ZL-7	UNITED STATES TREASURY		05/28/2020	CABRERA CAPITAL MARKETS		2,003,054	2,000,000	591	1
912828-Z0-6	UNITED STATES TREASURY		06/25/2020	RBC CAPITAL MARKETS, LLC		248,809	250,000	178	1
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						<b>8,572,548</b>	<b>8,410,000</b>	<b>21,704</b>	<b>XXX</b>
314180-04-7	FN MA4074 - RMBS		06/29/2020	PERFORMANCE TRUST CAP		2,070,938	2,000,000	3,222	1
765433-LT-2	RICHMOND VA PUB UTIL REV		04/08/2020	WELLS FARGO BROKERAGE		675,000	675,000	0	1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>2,745,938</b>	<b>2,675,000</b>	<b>3,222</b>	<b>XXX</b>
026874-DR-5	AMERICAN INTERNATIONAL GROUP INC		05/06/2020	JP MORGAN SECURITIES INC.		499,935	500,000	0	2FE
037833-DK-3	APPLE INC		06/25/2020	MARKETAXESS CORPORATION		224,578	200,000	767	1FE
064159-VK-9	BANK OF NOVA SCOTIA	C.	04/14/2020	SCOTIA CAPITAL		998,400	1,000,000	0	1FE
172367-MS-7	CITIGROUP INC		05/26/2020	CITIBANK, N.A.		480,000	480,000	0	1FE
19565C-AA-8	COLONIAL ENTERPRISES INC		05/06/2020	TD Securities		529,730	530,000	0	1FE
22160K-AP-0	COSTCO WHOLESALE CORP		04/27/2020	GOLDMAN SACHS & CO, NY		198,226	200,000	80	1FE
27409L-AA-1	EAST OHIO GAS CO		06/02/2020	JP MORGAN SECURITIES INC.		389,606	390,000	0	1FE
432748-AE-1	HILLTOP HOLDINGS INC		05/07/2020	PIPER JAFFRAY		500,000	500,000	0	2FE
438516-CB-0	HONEYWELL INTERNATIONAL INC		06/25/2020	MERRILL LYNCH PIERCE FENNER		204,874	200,000	308	1FE
69363P-AC-4	PSNH 2018-1 A3 - ABS		06/29/2020	BREAN CAPITAL		486,894	434,000	6,897	1FE
6944PL-2B-4	PACIFIC LIFE GLOBAL FUNDING II		06/17/2020	CREDIT SUISSE FIRST BOSTON		424,057	425,000	0	1FE
69478X-AE-5	PACIFIC PREMIER BANCORP INC		06/09/2020	STIFEL NICOLAUS & COMPANY INC.		400,000	400,000	0	1Z
74368C-AR-5	PROTECTIVE LIFE GLOBAL FUNDING		06/02/2020	MORGAN STANLEY & CO LLC		425,000	425,000	0	1FE
78013X-ZU-5	ROYAL BANK OF CANADA	C.	04/27/2020	RBC CAPITAL MARKETS, LLC		206,074	200,000	1,459	1FE
80283L-AY-9	SANTANDER UK PLC	C.	06/25/2020	MILLENIUM ADVISORS LLC		206,500	200,000	1,937	1FE
872590-11-2	T MOBILE US SUBSRTS		06/26/2020	TRANSFER		0	190	0	1Z
87264A-BA-2	T-MOBILE USA INC		04/03/2020	BARCLAYS CAPITAL		370,665	365,000	0	2FE
91913Y-AY-6	VALERO ENERGY CORP		04/14/2020	JP MORGAN SECURITIES INC.		549,593	550,000	0	2FE
98419M-AM-2	XYLEM INC		06/24/2020	CITIBANK, N.A.		398,004	400,000	0	2FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>7,492,135</b>	<b>7,399,190</b>	<b>11,447</b>	<b>XXX</b>
464288-58-8	ISHARES MBS ETF		05/11/2020	WELLS FARGO ADVISORS LLC		124,885	0	0	1Z
46641Q-83-7	JPMORGAN:ULTRA-SHORT INC		05/11/2020	WELLS FARGO ADVISORS LLC		137,357	0	0	1Z
92206C-40-9	VANGUARD ST CORP BD ETF		05/11/2020	WELLS FARGO ADVISORS LLC		124,873	0	0	1Z
464288-58-8	ISHARES MBS ETF		03/11/2020	WELLS FARGO ADVISORS LLC		49,967	0	0	1Z
46641Q-83-7	JPMORGAN:ULTRA-SHORT INC		03/11/2020	WELLS FARGO ADVISORS LLC		59,949	0	0	1Z
464288-51-3	ISHARES:IBOXX SHY CORP		03/11/2020	WELLS FARGO ADVISORS LLC		34,894	0	0	1Z
92206C-87-0	VANGUARD INT CRP BD ETF		03/11/2020	WELLS FARGO ADVISORS LLC		59,897	0	0	1Z
<b>8099999. Subtotal - Bonds - SVO Identified Funds</b>						<b>591,823</b>	<b>0</b>	<b>0</b>	<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>19,402,442</b>	<b>18,484,190</b>	<b>36,373</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>19,402,442</b>	<b>18,484,190</b>	<b>36,373</b>	<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
002824-10-0	ABBOTT LABORATORIES ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	130,000	12,130	0	0	0
00287Y-10-9	ABBVIE ORD		06/10/2020	Various	350,000	30,147	0	0	0
00724F-10-1	ADOBE ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	33,000	11,110	0	0	0
007903-10-7	ADVANCED MICRO DEVICES ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	110,000	6,012	0	0	0
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	133,000	28,380	0	0	0
02079K-30-5	ALPHABET CL A ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	19,000	23,572	0	0	0
02079K-30-5	ALPHABET CL A ORD		04/14/2020	WELLS FARGO ADVISORS LLC	4,000	4,996	0	0	0
023135-10-6	AMAZON COM ORD		04/24/2020	Various	33,000	78,710	0	0	0
026874-78-4	AMERICAN INTERNATIONAL GROUP ORD		06/11/2020	Various	570,000	15,306	0	0	0
03027X-10-0	AMERICAN TOWER REIT		04/22/2020	RBC CAPITAL MARKETS, LLC	46,000	11,302	0	0	0

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STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
031162-10-0	AMGEN ORD		.06/25/2020	PERSHING LLC JERSEY CITY	27.000	6.316		0	
03524A-10-8	ANHEUSER BUSCH ADR REP 1 ORD	C	.05/19/2020	WELLS FARGO ADVISORS LLC	650.000	26.648		0	
037833-10-0	APPLE ORD		.04/22/2020	Various	130.000	35.874		0	
060505-10-4	BANK OF AMERICA ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	250.000	5.522		0	
09061G-10-1	BIOMARIN PHARMACEUTICAL ORD		.06/25/2020	UBS SECURITIES LLC, STAMFORD	143.000	17.676		0	
09062X-10-3	BIOPEN ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	30.000	8.980		0	
101137-10-7	BOSTON SCIENTIFIC ORD		.05/06/2020	Various	390.000	13.958		0	
110122-10-8	BRISTOL MYERS SQUIBB ORD		.05/04/2020	Various	150.000	9.111		0	
125720-10-5	CME GROUP CL A ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	40.000	7.181		0	
128408-10-3	CSX ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	110.000	6.675		0	
14448C-10-4	CARRIER GLOBAL ORD		.04/03/2020	Various	196.000	4.637		0	
150870-10-3	CELANESE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	60.000	4.538		0	
15135B-10-1	CENTENE ORD		.06/16/2020	Various	460.000	30.911		0	
16119P-10-8	CHARTER COMMUNICATIONS CL A ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	81.000	40.097		0	
166764-10-0	CHEVRON ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	80.000	6.765		0	
191216-10-0	COCA-COLA ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	130.000	5.934		0	
22160K-10-5	COSTCO WHOLESALE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	21.000	6.385		0	
22788C-10-5	CROWDSTRIKE HOLDINGS CL A ORD		.05/19/2020	Various	220.000	17.177		0	
244199-10-5	DEERE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	40.000	5.481		0	
254687-10-6	WALT DISNEY ORD		.04/14/2020	WELLS FARGO ADVISORS LLC	58.000	6.094		0	
256677-10-5	DOLLAR GENERAL ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	150.000	27.029		0	
28444U-70-0	EQUINIX REIT ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	23.000	15.738		0	
30303M-10-2	FACEBOOK CL A ORD		.04/22/2020	Various	107.000	19.061		0	
37555B-10-3	GILEAD SCIENCES ORD		.04/17/2020	WELLS FARGO ADVISORS LLC	155.000	13.154		0	
40412C-10-1	HCA HEALTHCARE ORD		.04/14/2020	WELLS FARGO ADVISORS LLC	56.000	6.136		0	
437076-10-2	HOME DEPOT ORD		.05/05/2020	Various	118.000	25.563		0	
438516-10-6	HONEYWELL INTERNATIONAL ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	50.000	6.726		0	
452308-10-9	ILLINOIS TOOL ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	44.000	6.863		0	
458140-10-0	INTEL ORD		.04/14/2020	WELLS FARGO ADVISORS LLC	103.000	6.171		0	
461202-10-3	INTUIT ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	75.000	18.977		0	
46625H-10-0	JPMORGAN CHASE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	110.000	9.970		0	
478160-10-4	JOHNSON & JOHNSON ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	36.000	5.482		0	
532457-10-8	ELI LILLY ORD		.06/23/2020	JP MORGAN SECURITIES LLC	210.000	33.716		0	
548661-10-7	LOWE'S COMPANIES ORD		.05/05/2020	WELLS FARGO ADVISORS LLC	125.000	13.865		0	
55024U-10-9	LUMENTUM HOLDINGS ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	230.000	17.572		0	
58933Y-10-5	MERCK & CO ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	80.000	6.362		0	
589418-10-4	MICROSOFT ORD		.04/22/2020	Various	266.000	45.562		0	
595112-10-3	MIORON TECHNOLOGY ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	80.000	3.433		0	
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	120.000	6.176		0	
64110L-10-6	NETFLIX ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	5.000	2.097		0	
65339F-10-1	NEXTERA ENERGY ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	7.000	1.692		0	
67066G-10-4	NVIDIA ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	205.000	56.584		0	
67103H-10-7	O'REILLY AUTOMOTIVE ORD		.04/29/2020	Various	68.000	26.373		0	
68902V-10-7	OTIS WORLDWIDE ORD		.04/22/2020	Various	428.000	23.036		0	
70450Y-10-3	PAYPAL HOLDINGS ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	85.000	9.381		0	
717081-10-3	PFIZER ORD		.06/03/2020	Various	730.000	26.955		0	
723787-10-7	PIONEER NATURAL RESOURCE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	30.000	2.362		0	
742718-10-9	PROCTER & GAMBLE ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	202.000	24.129		0	
75513E-10-1	RAYTHEON TECHNOLOGIES ORD		.04/22/2020	Various	226.000	18.080		0	
79466L-30-2	SALESFORCE.COM ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	31.000	4.743		0	
808513-10-5	CHARLES SCHWAB ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	90.000	3.251		0	
816851-10-9	SEMPRA ENERGY ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	183.000	22.221		0	
852234-10-3	SQUARE CL A ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	70.000	4.130		0	
855244-10-9	STARBUCKS ORD		.04/14/2020	WELLS FARGO ADVISORS LLC	84.000	6.171		0	
872590-10-4	T MOBILE US ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	30.000	2.679		0	
882508-10-4	TEXAS INSTRUMENTS ORD		.06/04/2020	Various	235.000	29.078		0	
88339J-10-5	TRADE DESK CL A ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	57.000	13.069		0	
883556-10-2	THERMO FISHER SCIENTIFIC ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	4.000	1.288		0	
898320-10-9	TRUIST FINANCIAL ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	500.000	17.461		0	
91324P-10-2	UNITEDHEALTH GRP ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	87.000	24.387		0	
91913Y-10-0	VALERO ENERGY ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	40.000	2.097		0	

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STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
92552V-10-0	VIASAT ORD		.06/30/2020	Net Provided	1,047,000	40,014		0	
92826C-83-9	VISA CL A ORD		.06/11/2020	Various	112,000	19,964		0	
929089-10-0	VOYA FINANCIAL ORD		.04/22/2020	RBC CAPITAL MARKETS, LLC	210,000	8,809		0	
988498-10-1	YUM BRANDS ORD		.06/03/2020	Various	160,000	14,328		0	
606242-10-4	ATLASSIAN CL A ORD	C.	.04/22/2020	RBC CAPITAL MARKETS, LLC	26,000	3,898		0	
629183-10-3	EATON ORD	C.	.04/22/2020	RBC CAPITAL MARKETS, LLC	50,000	3,955		0	
65960L-10-3	MEDTRONIC ORD	C.	.04/22/2020	RBC CAPITAL MARKETS, LLC	140,000	13,634		0	
68994E-10-3	TRANE TECHNOLOGIES ORD	C.	.04/22/2020	RBC CAPITAL MARKETS, LLC	80,000	6,544		0	
902973-30-4	US BANCORP ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	111,000	4,007		0	
00206R-10-2	AT&T ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	168,000	5,672		0	
30040W-10-8	EVERSOURCE ENERGY ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	96,000	8,336		0	
548661-10-7	LOWE'S COMPANIES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	111,000	9,814		0	
654106-10-3	NIKE CL B ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	57,000	4,470		0	
30231G-10-2	EXXON MOBIL ORD		.03/10/2020	WELLS FARGO ADVISORS LLC	59,000	2,629		0	
20030N-10-1	COMCAST CL A ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	209,000	7,817		0	
742718-10-9	PROCTER & GAMBLE ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	71,000	8,027		0	
452308-10-9	ILLINOIS TOOL ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	67,000	10,077		0	
87612E-10-6	TARGET ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	55,000	5,661		0	
001055-10-2	AFLAC ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	189,000	6,420		0	
G1151C-10-1	ACCENTURE CL A ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	41,000	6,899		0	
303075-10-5	FACTSET RESEARCH SYSTEMS ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	27,000	6,707		0	
65339F-10-1	NEXTERA ENERGY ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	52,000	12,165		0	
713448-10-8	PEPSICO ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	68,000	8,463		0	
502431-10-9	L3HARRIS TECHNOLOGIES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	61,000	10,780		0	
053015-10-3	AUTOMATIC DATA PROCESSING ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	58,000	8,036		0	
65960L-10-3	MEDTRONIC ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	100,000	8,849		0	
655844-10-8	NORFOLK SOUTHERN ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	47,000	6,932		0	
88579Y-10-1	3M ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	38,000	5,432		0	
65494J-10-3	LINDE ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	38,000	6,423		0	
194162-10-3	COLGATE PALMOLIVE ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	76,000	5,191		0	
931142-10-3	WALMART ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	71,000	8,235		0	
478160-10-4	JOHNSON & JOHNSON ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	62,000	8,224		0	
037833-10-0	APPLE ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	139,000	35,608		0	
09247X-10-1	BLACKROCK ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	10,000	4,194		0	
731068-10-2	POLARIS INDUSTRIES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	44,000	2,829		0	
871829-10-7	SYSCO ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	110,000	5,419		0	
718546-10-4	PHILLIPS 66 ORD		.03/10/2020	WELLS FARGO ADVISORS LLC	31,000	2,052		0	
166764-10-0	CHEVRON ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	96,000	7,198		0	
913017-10-9	UNITED TECHNOLOGIES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	56,000	5,856		0	
032654-10-5	ANALOG DEVICES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	88,000	8,489		0	
92939U-10-6	WEC ENERGY GROUP ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	91,000	9,128		0	
17275R-10-2	CISCO SYSTEMS ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	195,000	7,278		0	
189054-10-9	CLOROX ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	45,000	7,865		0	
704326-10-7	PAYCHEX ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	107,000	7,389		0	
594918-10-4	MICROSOFT ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	261,000	39,052		0	
002824-10-0	ABBOTT LABORATORIES ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	130,000	10,207		0	
291011-10-4	EMERSON ELECTRIC ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	85,000	4,261		0	
369550-10-8	GENERAL DYNAMICS ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	53,000	7,274		0	
278265-10-3	EATON VANCE COM NON VTG ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	60,000	1,929		0	
031162-10-0	AMGEN ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	38,000	7,598		0	
918204-10-8	VF ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	96,000	5,735		0	
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	36,000	7,412		0	
46625H-10-0	JPMORGAN CHASE ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	91,000	8,579		0	
075887-10-9	BECTON DICKINSON ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	38,000	8,803		0	
580135-10-1	MCDONALD'S ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	35,000	6,084		0	
H1467J-10-4	CHUBB ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	55,000	6,742		0	
66987V-10-9	NOVARTIS ADR REPSG 1 ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	52,000	4,176		0	
464288-68-7	ISHARES-PREF AND INC SEC		.03/24/2020	WELLS FARGO ADVISORS LLC	3,272,000	47,864		0	
00143W-30-5	INVSOC OPPEN TOT RT BD Y		.03/11/2020	WELLS FARGO ADVISORS LLC	8,379,890	60,000		0	
31635V-21-6	FIDELITY SH TR TRS BD 1X		.03/11/2020	WELLS FARGO ADVISORS LLC	5,565,860	60,000		0	
02508J-70-5	AMER CENT-SHT DUR I		.03/11/2020	WELLS FARGO ADVISORS LLC	5,853,660	60,000		0	

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
254687-10-6	WALT DISNEY ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	125.000	11,790		0	
911312-10-6	UNITED PARCEL SERVICE CL B ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	175.000	17,722		0	
023135-10-6	AMAZON COM ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	15.000	28,571		0	
30303M-10-2	FACEBOOK CL A ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	84.000	12,913		0	
02079K-30-5	ALPHABET CL A ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	14.000	15,532		0	
92826C-83-9	VISA CL A ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	108.000	15,889		0	
64110L-10-6	NETFLIX ORD		.03/19/2020	WELLS FARGO ADVISORS LLC	25.000	8,396		0	
<b>9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>						<b>1,942,689</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
00143W-30-5	INVSC OPPEN TOT RT BD Y		.06/01/2020	Various	17,687.689	125,544		0	
015570-77-3	ALGER INST:FOCUS EQTY Z		.05/05/2020	WELLS FARGO ADVISORS LLC	1,178.884	48,000		0	
02508J-70-5	AMER CENT:SHT DUR I		.06/01/2020	Various	12,274.757	125,340		0	
09260B-63-0	BLACKROCK:HY BD I		.06/01/2020	WELLS FARGO ADVISORS LLC	9,085.677	62,710		0	
277923-56-1	EATON VANCE SD G INC I		.06/01/2020	WELLS FARGO ADVISORS LLC	16,936.652	137,695		0	
31635V-21-6	FIDELITY SH TR TRS BD IX		.06/01/2020	Various	12,653.523	137,797		0	
464288-68-7	ISHARES:PREF AND INC SEC		.05/11/2020	WELLS FARGO ADVISORS LLC	4,030.000	137,685		0	
921937-80-1	VANGUARD INT-T B ADM		.06/01/2020	WELLS FARGO ADVISORS LLC	11,188.351	137,704		0	
464288-68-7	ISHARES:PREF AND INC SEC		.03/11/2020	WELLS FARGO ADVISORS LLC	1,572.000	54,902		0	
<b>9499999. Subtotal - Common Stocks - Mutual Funds</b>						<b>967,377</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>9799997. Total - Common Stocks - Part 3</b>						<b>2,910,065</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>9799998. Total - Common Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>9799999. Total - Common Stocks</b>						<b>2,910,065</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>9899999. Total - Preferred and Common Stocks</b>						<b>2,910,065</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>9999999 - Totals</b>						<b>22,312,508</b>	<b>XXX</b>	<b>36,373</b>	<b>XXX</b>

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol	
912828-G3-8	UNITED STATES TREASURY		05/06/2020	NATWEST SECURITIES		542,303	500,000	484,123	487,321	.0	858	.0	858	.0	488,178	.0	54,125	54,125	5,409	11/15/2024	1	
912828-K5-8	UNITED STATES TREASURY		04/30/2020	Maturity @ 100.00		350,000	350,000	351,402	350,108	.0	(108)	.0	(108)	.0	350,000	.0	.0	.0	2,406	04/30/2020	1	
912828-SF-8	UNITED STATES TREASURY		06/10/2020	BNP SECURITIES		1,339,250	1,300,000	1,270,395	1,282,361	.0	3,609	.0	3,609	.0	1,285,970	.0	53,280	53,280	21,357	02/15/2022	1	
912828-Z2-9	UNITED STATES TREASURY		06/10/2020	Various		2,274,375	2,200,000	2,274,980	.0	.0	(1,493)	.0	(1,493)	.0	2,273,487	.0	889	889	12,834	01/15/2023	1	
912828-ZF-0	UNITED STATES TREASURY		06/10/2020	CITIBANK, N.A.		402,639	400,000	403,173	.0	.0	(37)	.0	(37)	.0	403,136	.0	(497)	(497)	393	03/31/2025	1	
912828-ZL-7	UNITED STATES TREASURY		06/10/2020	NOMURA SECURITIES INTERNATIONAL		2,000,540	2,000,000	2,003,054	.0	.0	(22)	.0	(22)	.0	2,003,032	.0	(2,492)	(2,492)	856	04/30/2025	1	
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						<b>6,909,107</b>	<b>6,750,000</b>	<b>6,787,127</b>	<b>2,119,790</b>	<b>0</b>	<b>2,806</b>	<b>0</b>	<b>2,806</b>	<b>0</b>	<b>6,803,803</b>	<b>0</b>	<b>105,305</b>	<b>105,305</b>	<b>43,256</b>	<b>XXX</b>	<b>XXX</b>	
31320V-3Z-6	FH SDB016 - RMBS		06/01/2020	Paydown		172,645	172,645	175,046	174,992	.0	(2,347)	.0	(2,347)	.0	172,645	.0	.0	.0	2,173	10/01/2049	1	
3132XC-RY-3	FH G67703 - RMBS		06/01/2020	Paydown		35,536	35,536	35,048	35,054	.0	482	.0	482	.0	35,536	.0	.0	.0	522	04/01/2047	1	
31335F-XF-8	FH G61578 - RMBS		06/01/2020	Paydown		113,753	113,753	117,627	117,620	.0	(3,867)	.0	(3,867)	.0	113,753	.0	.0	.0	2,163	08/01/2048	1	
3137F3-Y4-1	FHR 4764 XV - CMO/RMBS		06/01/2020	Paydown		12,303	12,303	12,307	12,304	.0	(1)	.0	(1)	.0	12,303	.0	.0	.0	179	03/15/2031	1	
31397S-5N-0	FNR 2011-43 B - CMO/RMBS		06/01/2020	Paydown		29,517	29,517	29,470	29,466	.0	50	.0	50	.0	29,517	.0	.0	.0	462	05/25/2031	1	
3140J9-CJ-6	FN BMA581 - RMBS		06/01/2020	Paydown		80,672	80,672	82,386	82,291	.0	(1,619)	.0	(1,619)	.0	80,672	.0	.0	.0	1,351	09/01/2033	1	
31418D-GG-1	FN MA3798 - RMBS		06/01/2020	Paydown		123,451	123,451	126,465	126,576	.0	(3,014)	.0	(3,014)	.0	123,451	.0	.0	.0	1,561	10/01/2034	1	
31418D-JR-4	FN MA3871 - RMBS		06/01/2020	Paydown		95,485	95,485	96,768	96,769	.0	(1,284)	.0	(1,284)	.0	95,485	.0	.0	.0	1,263	12/01/2049	1	
31418D-KK-7	FN MA3897 - RMBS		06/01/2020	Paydown		59,967	59,967	61,533	61,541	.0	(1,567)	.0	(1,567)	.0	59,967	.0	.0	.0	784	01/01/2035	1	
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>723,327</b>	<b>723,327</b>	<b>736,768</b>	<b>736,494</b>	<b>0</b>	<b>(13,167)</b>	<b>0</b>	<b>(13,167)</b>	<b>0</b>	<b>723,327</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,459</b>	<b>XXX</b>	<b>XXX</b>	
02007P-AD-5	ALLYA 2017-1 A4 - ABS		06/15/2020	Paydown		67,771	67,771	67,757	67,768	.0	3	.0	3	.0	67,771	.0	.0	.0	645	11/15/2021	1FE	
038222-AF-2	APPLIED MATERIALS INC		06/27/2020	Call @ 100.00		674,601	650,000	699,298	670,041	.0	(6,637)	.0	(6,637)	.0	663,404	.0	(13,404)	(13,404)	39,508	06/15/2047	1FE	
05531F-AU-7	BB&T CORP		05/29/2020	Call @ 100.00		690,000	690,000	694,106	690,717	.0	(717)	.0	(717)	.0	690,000	.0	.0	.0	7,547	06/29/2020	1FE	
06367T-YL-8	BANK OF MONTREAL	C	06/15/2020	Maturity @ 100.00		700,000	700,000	700,095	700,095	.0	(95)	.0	(95)	.0	700,000	.0	.0	.0	7,350	06/15/2020	1FE	
17325F-AQ-1	CITIBANK NA		05/26/2020	MARKETAXESS		772,155	750,000	749,449	749,706	.0	75	.0	75	.0	749,781	.0	22,374	22,374	21,604	07/23/2021	1FE	
210717-AB-0	CMRS 2014A A2 - ABS		05/01/2020	Paydown		41,930	41,930	41,982	41,972	.0	(43)	.0	(43)	.0	41,930	.0	.0	.0	621	11/03/2025	1FE	
22160K-AH-8	COSTCO WHOLESALE CORP		05/28/2020	Call @ 100.00		713,048	690,000	685,456	687,974	.0	379	.0	379	.0	688,353	.0	1,647	1,647	35,253	02/15/2022	1FE	
404280-AK-5	HSBC HOLDINGS PLC	C	06/04/2020	TENDER		669,529	645,000	718,802	665,012	.0	(6,876)	.0	(6,876)	.0	658,136	.0	11,393	11,393	22,295	04/05/2021	1FE	
45866F-AC-8	INTERCONTINENTAL EXCHANGE INC		06/25/2020	Call @ 100.00		857,140	850,000	866,499	853,181	.0	(1,833)	.0	(1,833)	.0	851,347	.0	(1,347)	(1,347)	20,386	12/01/2020	1FE	
548661-CQ-8	LOWES CO INC		04/15/2020	Maturity @ 100.00		500,000	500,000	546,345	500,000	.0	.0	.0	.0	.0	500,000	.0	.0	.0	11,563	04/15/2020	2FE	
66989H-AD-0	NOVARTIS CAPITAL CORP		04/24/2020	Maturity @ 100.00		460,000	460,000	510,655	463,443	.0	(3,443)	.0	(3,443)	.0	460,000	.0	.0	.0	10,120	04/24/2020	1FE	
740189-AL-9	PRECISION CASTPARTS CORP		05/15/2020	Call @ 100.00		500,000	500,000	498,605	499,865	.0	110	.0	110	.0	499,975	.0	25	25	4,688	06/15/2020	1FE	
872590-11-2	T MOBILE US SUBSRTS		06/30/2020	CITIGROUP GLOBAL MARKETS INC.		32	190	.0	.0	.0	.0	.0	.0	.0	.0	.0	32	32	.0			
88161N-AA-7	TESLA 2018-B A - ABS		06/20/2020	Paydown		31,848	31,848	31,845	31,846	.0	1	.0	1	.0	31,848	.0	.0	.0	490	08/20/2021	1FE	
89152U-AD-4	TOTAL CAPITAL SA	C	06/24/2020	Maturity @ 100.00		640,000	640,000	670,995	646,260	.0	(6,260)	.0	(6,260)	.0	640,000	.0	.0	.0	14,240	06/24/2020	1FE	
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>7,318,055</b>	<b>7,216,739</b>	<b>7,482,354</b>	<b>7,267,882</b>	<b>0</b>	<b>(25,337)</b>	<b>0</b>	<b>(25,337)</b>	<b>0</b>	<b>7,242,546</b>	<b>0</b>	<b>20,720</b>	<b>20,720</b>	<b>196,309</b>	<b>XXX</b>	<b>XXX</b>	
464288-51-3	ISHARES:IBOXX SHY CORP		04/01/2020	WELLS FARGO ADVISORS LLC		33,026	.0	34,894	.0	.0	.0	.0	.0	34,894	.0	(1,869)	(1,869)	.0			1Z	
92206C-87-0	VANGUARD INT CRP BD ETF		04/01/2020	WELLS FARGO ADVISORS LLC		54,194	.0	59,897	.0	.0	.0	.0	.0	59,897	.0	(5,703)	(5,703)	.0			1Z	
<b>8099999. Subtotal - Bonds - SVO Identified Funds</b>						<b>87,220</b>	<b>0</b>	<b>94,791</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>94,791</b>	<b>0</b>	<b>(7,571)</b>	<b>(7,571)</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>	
<b>8399997. Total - Bonds - Part 4</b>						<b>15,037,709</b>	<b>14,690,066</b>	<b>15,101,040</b>	<b>10,124,166</b>	<b>0</b>	<b>(35,698)</b>	<b>0</b>	<b>(35,698)</b>	<b>0</b>	<b>14,864,467</b>	<b>0</b>	<b>118,453</b>	<b>118,453</b>	<b>250,024</b>	<b>XXX</b>	<b>XXX</b>	
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>15,037,709</b>	<b>14,690,066</b>	<b>15,101,040</b>	<b>10,124,166</b>	<b>0</b>	<b>(35,698)</b>	<b>0</b>	<b>(35,698)</b>	<b>0</b>	<b>14,864,467</b>	<b>0</b>	<b>118,453</b>	<b>118,453</b>	<b>250,024</b>	<b>XXX</b>	<b>XXX</b>	
<b>8999997. Total - Preferred Stocks - Part 4</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>XXX</b>	<b>XXX</b>
001055-10-2	AFLAC ORD		04/01/2020	WELLS FARGO ADVISORS LLC		121,000	3,863	4,468	.0	.0	.0	.0	.0	4,468	.0	(605)	(605)	.0				
00206R-10-2	AT&T ORD		04/22/2020	Various		1,039,000	31,340	40,296	36,344	175	.0	.0	175	.0	40,296	.0	(8,956)	(8,956)	967			
002824-10-0	ABBOTT LABORATORIES ORD		04/01/2020	WELLS FARGO ADVISORS LLC		83,000	6,344	6,494	.0	.0	.0	.0	.0	6,494	.0	(150)	(150)	.0				
00724F-10-1	ADOBE ORD		06/04/2020	JP MORGAN SECURITIES LLC		9,000	3,469	1,219	2,968	(1,749)	.0	.0	(1,749)	.0	1,219	.0	2,250	2,250	.0			
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		04/01/2020	WELLS FARGO ADVISORS LLC		22,000	4,219	4,604	.0	.0	.0	.0	.0	4,604	.0	(385)	(385)	.0			29	

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STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol
016255-10-1	ALIGN TECHNOLOGY ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	58.000	11,079		11,335	16,184	(4,849)	0	0	(4,849)	0	11,335	0	(256)	(256)	0		
023135-10-6	AMAZON COM ORD		06/03/2020	Various	20.000	48,641		12,732	36,957	(24,225)	0	0	(24,225)	0	12,732	0	35,909	35,909	0		
031162-10-0	AMGEN ORD		06/10/2020	Various	60.000	13,036		12,113	8,920	(1,366)	0	0	(1,366)	0	12,113	0	923	923	83		
032654-10-5	ANALOG DEVICES ORD		04/01/2020	WELLS FARGO ADVISORS LLC	56.000	4,816		5,569	0	0	0	0	0	0	5,569	0	(753)	(753)	0		
035244-10-8	ANHEUSER BUSCH ADR REP 1 ORD	C	06/11/2020	Not Provided	650.000	32,609		26,648	0	0	0	0	0	26,648	0	5,962	5,962	0			
053015-10-3	AUTOMATIC DATA PROCESSING ORD		04/01/2020	WELLS FARGO ADVISORS LLC	37.000	4,815		5,454	0	0	0	0	0	5,454	0	(638)	(638)	20			
075887-10-9	BECTON DICKINSON ORD		04/01/2020	WELLS FARGO ADVISORS LLC	23.000	5,182		5,373	0	0	0	0	0	5,373	0	(191)	(191)	3			
09062X-10-3	BIOGEN ORD		06/16/2020	JP MORGAN SECURITIES LLC	30.000	8,492		8,980	0	0	0	0	0	8,980	0	(488)	(488)	0			
09247X-10-1	BLACKROCK ORD		04/01/2020	WELLS FARGO ADVISORS LLC	6.000	2,442		2,636	0	0	0	0	0	2,636	0	(194)	(194)	0			
126650-10-0	CVS HEALTH ORD		06/23/2020	Various	290.000	18,218		22,616	21,544	1,072	0	0	1,072	0	22,616	0	(4,399)	(4,399)	290		
14448C-10-4	CARRIER GLOBAL ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	175.000	2,624		4,341	0	0	0	0	0	4,341	0	(1,717)	(1,717)	0			
166764-10-0	CHEVRON ORD		04/01/2020	WELLS FARGO ADVISORS LLC	61.000	4,243		5,104	0	0	0	0	0	5,104	0	(860)	(860)	0			
17275R-10-2	CISCO SYSTEMS ORD		04/22/2020	Various	625.000	25,550		27,614	23,980	(1,051)	0	0	(1,051)	0	27,614	0	(2,064)	(2,064)	355		
189054-10-9	CLOROX ORD		04/01/2020	WELLS FARGO ADVISORS LLC	28.000	4,922		4,726	0	0	0	0	0	4,726	0	196	196	0			
194162-10-3	COLGATE PALMOLIVE ORD		04/01/2020	WELLS FARGO ADVISORS LLC	48.000	3,091		3,312	0	0	0	0	0	3,312	0	(222)	(222)	0			
20030N-10-1	COMCAST CL A ORD		04/22/2020	Various	574.000	20,308		22,623	19,787	(2,227)	0	0	(2,227)	0	22,623	0	(2,316)	(2,316)	224		
21036P-10-8	CONSTELLATION BRANDS CL A ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	31.000	4,824		4,790	5,882	(1,093)	0	0	(1,093)	0	4,790	0	34	34	23		
254687-10-6	WALT DISNEY ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	22.000	2,247		2,336	3,182	(846)	0	0	(846)	0	2,336	0	(89)	(89)	19		
256746-10-8	DOLLAR TREE ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	319.000	25,141		31,935	30,002	1,933	0	0	1,933	0	31,935	0	(6,794)	(6,794)	0		
260557-10-3	DOW ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	243.000	7,710		12,857	13,299	(442)	0	0	(442)	0	12,857	0	(5,147)	(5,147)	170		
26614N-10-2	DUPONT DE NEMOURS ORD		04/22/2020	WELLS FARGO ADVISORS LLC	173.000	6,939		12,567	11,107	1,460	0	0	1,460	0	12,567	0	(5,628)	(5,628)	52		
278265-10-3	EATON VANCE COM NON VTG ORD		04/01/2020	WELLS FARGO ADVISORS LLC	37.000	1,095		1,274	0	0	0	0	0	1,274	0	(178)	(178)	0			
291011-10-4	EMERSON ELECTRIC ORD		04/01/2020	WELLS FARGO ADVISORS LLC	54.000	2,432		2,862	0	0	0	0	0	2,862	0	(430)	(430)	0			
30040W-10-8	EVERSOURCE ENERGY ORD		04/01/2020	WELLS FARGO ADVISORS LLC	61.000	4,619		5,494	0	0	0	0	0	5,494	0	(875)	(875)	0			
30063P-10-5	EXACT SCIENCES ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	123.000	9,419		9,832	11,375	(1,543)	0	0	(1,543)	0	9,832	0	(413)	(413)	0		
30161N-10-1	EXELON ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	390.000	14,187		14,581	17,780	(3,199)	0	0	(3,199)	0	14,581	0	(394)	(394)	149		
30231G-10-2	EXXON MOBIL ORD		04/22/2020	Various	123.000	5,131		8,610	6,978	668	0	0	668	0	8,610	0	(3,479)	(3,479)	87		
303075-10-5	FACTSET RESEARCH SYSTEMS ORD		04/01/2020	WELLS FARGO ADVISORS LLC	17.000	4,303		4,412	0	0	0	0	0	4,412	0	(109)	(109)	0			
369550-10-8	GENERAL DYNAMICS ORD		04/01/2020	WELLS FARGO ADVISORS LLC	33.000	4,211		4,939	0	0	0	0	0	4,939	0	(727)	(727)	0			
452308-10-9	ILLINOIS TOOL ORD		04/01/2020	WELLS FARGO ADVISORS LLC	43.000	5,776		6,694	0	0	0	0	0	6,694	0	(918)	(918)	46			
45687V-10-6	INGERSOLL RAND ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	360.000	9,721		6,750	0	0	0	0	0	6,750	0	2,971	2,971	0			
46625H-10-0	JPMORGAN CHASE ORD		04/01/2020	WELLS FARGO ADVISORS LLC	58.000	4,905		5,772	0	0	0	0	0	5,772	0	(867)	(867)	0			
478160-10-4	JOHNSON & JOHNSON ORD		06/25/2020	Various	266.000	37,151		37,682	28,007	(915)	0	0	(915)	0	37,682	0	(531)	(531)	413		

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STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
482480-10-0	KLA ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	47.000	7,118		8,201	8,374	(173)	0	0	(173)	0	8,201	0	(1,083)	(1,083)	40		
502431-10-9	L3HARRIS TECHNOLOGIES ORD		04/01/2020	WELLS FARGO ADVISORS LLC	38.000	6,632		7,082	0	0	0	0	0	0	7,082	0	(450)	(450)	19		
548661-10-7	LOWE'S COMPANIES ORD		04/01/2020	WELLS FARGO ADVISORS LLC	70.000	5,555		6,898	0	0	0	0	0	0	6,898	0	(1,342)	(1,342)	0		
552953-10-1	MGM RESORTS INTERNATIONAL ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	1,280.000	17,258		40,910	42,586	(1,676)	0	0	(1,676)	0	40,910	0	(23,652)	(23,652)	192		
580135-10-1	MCDONALD'S ORD		04/01/2020	WELLS FARGO ADVISORS LLC	22.000	3,471		4,140	0	0	0	0	0	0	4,140	0	(669)	(669)	0		
58933Y-10-5	MERCK & CO ORD		06/23/2020	Various	540.000	41,757		38,729	41,837	(9,469)	0	0	(9,469)	0	38,729	0	3,028	3,028	561		
594918-10-4	MICROSOFT ORD		06/04/2020	DEUTSCHE BK SECS INC, NY (NWSJUS33)	29.000	5,331		1,628	4,573	(2,946)	0	0	(2,946)	0	1,628	0	3,703	3,703	30		
65339F-10-1	NEXTERA ENERGY ORD		04/01/2020	WELLS FARGO ADVISORS LLC	32.000	6,885		7,896	0	0	0	0	0	0	7,896	0	(1,011)	(1,011)	0		
654106-10-3	NIKE CL B ORD		04/22/2020	Various	86.000	7,196		5,915	5,066	(2,149)	0	0	(2,149)	0	5,915	0	1,281	1,281	25		
655844-10-8	NORFOLK SOUTHERN ORD		04/01/2020	WELLS FARGO ADVISORS LLC	29.000	4,032		4,524	0	0	0	0	0	0	4,524	0	(492)	(492)	0		
66987V-10-9	NOVARTIS ADR REPSG 1 ORD	C	04/01/2020	WELLS FARGO ADVISORS LLC	32.000	2,588		2,639	0	0	0	0	0	0	2,639	0	(51)	(51)	0		
68902V-10-7	OTIS WORLDWIDE ORD		04/22/2020	TENDER	1.000	47		66	0	0	0	0	0	0	66	0	(19)	(19)	0		
704326-10-7	PAYCHEX ORD		04/01/2020	WELLS FARGO ADVISORS LLC	68.000	4,148		4,982	0	0	0	0	0	0	4,982	0	(834)	(834)	0		
713448-10-8	PEPSICO ORD		04/01/2020	WELLS FARGO ADVISORS LLC	43.000	5,149		5,472	0	0	0	0	0	0	5,472	0	(322)	(322)	0		
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	50.000	3,674		4,204	4,255	(51)	0	0	(51)	0	4,204	0	(530)	(530)	117		
718546-10-4	PHILLIPS 66 ORD		04/01/2020	WELLS FARGO ADVISORS LLC	12.000	603		754	0	0	0	0	0	0	754	0	(151)	(151)	0		
731068-10-2	POLARIS INDUSTRIES ORD		04/01/2020	WELLS FARGO ADVISORS LLC	27.000	1,248		1,939	0	0	0	0	0	0	1,939	0	(691)	(691)	0		
742718-10-9	PROCTER & GAMBLE ORD		04/01/2020	WELLS FARGO ADVISORS LLC	44.000	4,869		5,000	0	0	0	0	0	0	5,000	0	(131)	(131)	0		
79466L-30-2	SALESFORCE.COM ORD		05/14/2020	CITIGROUP GLOBAL MARKETS INC	47.000	7,812		4,241	7,644	(3,403)	0	0	(3,403)	0	4,241	0	3,571	3,571	0		
852234-10-3	SQUARE CL A ORD		06/11/2020	JP MORGAN SECURITIES LLC	100.000	8,676		7,479	6,256	1,223	0	0	1,223	0	7,479	0	1,197	1,197	0		
87165B-10-3	SYNCHRONY FINANCIAL ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	200.000	3,075		5,516	7,202	(1,686)	0	0	(1,686)	0	5,516	0	(2,441)	(2,441)	44		
871829-10-7	SYSCO ORD		04/01/2020	WELLS FARGO ADVISORS LLC	70.000	2,791		3,999	0	0	0	0	0	0	3,999	0	(1,208)	(1,208)	0		
874039-10-0	TAIWAN SEMICONDUCTOR MINTG ADR 5 ORD	C	05/26/2020	Various	640.000	33,122		28,973	37,184	(8,211)	0	0	(8,211)	0	28,973	0	4,149	4,149	421		
87612E-10-6	TARGET ORD		04/01/2020	WELLS FARGO ADVISORS LLC	34.000	3,219		3,498	0	0	0	0	0	0	3,498	0	(279)	(279)	0		
882508-10-4	TEXAS INSTRUMENTS ORD		04/22/2020	RBC CAPITAL MARKETS, LLC	28.000	3,044		2,679	3,592	(913)	0	0	(913)	0	2,679	0	365	365	25		
883556-10-2	THERMO FISHER SCIENTIFIC ORD		05/06/2020	MORGAN STANLEY & CO INC, NY	14.000	4,713		3,727	4,548	(821)	0	0	(821)	0	3,727	0	986	986	6		
88579Y-10-1	3M ORD		04/01/2020	WELLS FARGO ADVISORS LLC	23.000	3,038		3,360	0	0	0	0	0	0	3,360	0	(321)	(321)	0		
898320-10-9	TRUIST FINANCIAL ORD		06/11/2020	JP MORGAN SECURITIES LLC	220.000	8,319		11,924	12,390	(467)	0	0	(467)	0	11,924	0	(3,605)	(3,605)	198		
902973-30-4	US BANCORP ORD		04/01/2020	WELLS FARGO ADVISORS LLC	70.000	2,218		2,631	0	0	0	0	0	0	2,631	0	(413)	(413)	29		
911312-10-6	UNITED PARCEL SERVICE CL B ORD		06/11/2020	Not Provided	175.000	17,731		17,722	0	0	0	0	0	0	17,722	0	9	9	177		
913017-10-9	UNITED TECHNOLOGIES ORD		04/03/2020	Various	231.000	30,996		31,929	26,208	(1,36)	0	0	(1,36)	0	31,929	0	(933)	(933)	129		
91324P-10-2	UNITEDHEALTH GRP ORD		06/23/2020	JP MORGAN SECURITIES LLC	13.000	3,873		1,786	3,822	(2,036)	0	0	(2,036)	0	1,786	0	2,088	2,088	30		

STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol	
918204-10-8	VF ORD		04/01/2020	WELLS FARGO ADVISORS LLC	61.000	3,003		3,800	0	0	0	0	0	0	3,800	0	(797)	(797)	5			
92532F-10-0	VERTEX PHARMACEUTICALS ORD		06/03/2020	Various	34.000	9,312		5,867	7,444	(1,577)	0	0	(1,577)	0	5,867	0	3,445	3,445	0			
92939U-10-6	WEC ENERGY GROUP ORD		04/01/2020	WELLS FARGO ADVISORS LLC	57.000	4,610		5,685	0	0	0	0	0	0	5,685	0	(1,075)	(1,075)	0			
931142-10-3	WALMART ORD		04/01/2020	WELLS FARGO ADVISORS LLC	44.000	5,043		4,994	0	0	0	0	0	0	4,994	0	49	49	24			
606242-10-4	ATLASSIAN CL A ORD	C	06/04/2020	JP MORGAN SECURITIES LLC	48.000	8,264		2,786	5,776	(2,990)	0	0	(2,990)	0	2,786	0	5,477	5,477	0			
61151C-10-1	ACCENTURE CL A ORD	C	04/22/2020	Various	80.000	13,301		14,463	11,581	(1,368)	0	0	(1,368)	0	14,463	0	(1,163)	(1,163)	88			
65494J-10-3	LINDE ORD	C	04/01/2020	WELLS FARGO ADVISORS LLC	23.000	3,778		4,051	0	0	0	0	0	0	4,051	0	(273)	(273)	0			
65876H-10-5	MARVELL TECHNOLOGY GROUP ORD	C	04/22/2020	RBC CAPITAL MARKETS, LLC	1,400.000	36,320		28,956	37,184	(8,228)	0	0	(8,228)	0	28,956	0	7,364	7,364	168			
65960L-10-3	MEDTRONIC ORD	C	04/17/2020	WELLS FARGO ADVISORS LLC	63.000	5,361		5,850	0	0	0	0	0	0	5,850	0	(489)	(489)	34			
H1467J-10-4	CHUBB ORD	C	04/01/2020	WELLS FARGO ADVISORS LLC	34.000	3,592		4,502	0	0	0	0	0	0	4,502	0	(910)	(910)	26			
N6596X-10-9	NXP SEMICONDUCTORS ORD	C	04/22/2020	RBC CAPITAL MARKETS, LLC	102.000	8,907		12,262	12,981	(718)	0	0	(718)	0	12,262	0	(3,355)	(3,355)	65			
30231G-10-2	EXXON MOBIL ORD		03/10/2020	WELLS FARGO ADVISORS LLC	19.000	799		953	0	0	0	0	0	0	953	0	(153)	(153)	0			
718546-10-4	PHILLIPS 66 ORD		03/10/2020	WELLS FARGO ADVISORS LLC	10.000	604		731	0	0	0	0	0	0	731	0	(127)	(127)	0			
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					772.197	XXX	786.983	584.799	(85.992)	0	0	(85.992)	0	786.983	0	(14.786)	(14.786)	5.383	XXX	XXX	
464288-68-7	ISHARES PREF AND INC SEC		03/12/2020	WELLS FARGO ADVISORS LLC	1,572.000	50,221		54,902	0	0	0	0	0	0	54,902	0	(4,681)	(4,681)	0			
9499999	Subtotal - Common Stocks - Mutual Funds					50,221	XXX	54,902	0	0	0	0	0	0	54,902	0	(4,681)	(4,681)	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4					822,418	XXX	841,885	584,799	(85,992)	0	0	(85,992)	0	841,885	0	(19,467)	(19,467)	5,383	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					822,418	XXX	841,885	584,799	(85,992)	0	0	(85,992)	0	841,885	0	(19,467)	(19,467)	5,383	XXX	XXX	
9899999	Total - Preferred and Common Stocks					822,418	XXX	841,885	584,799	(85,992)	0	0	(85,992)	0	841,885	0	(19,467)	(19,467)	5,383	XXX	XXX	
9999999	Totals					15,860,127	XXX	15,942,925	10,708,966	(85,992)	(35,698)	0	(121,690)	0	15,706,352	0	98,986	98,986	255,407	XXX	XXX	

E05.3

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**



STATEMENT AS OF JUNE 30, 2020 OF THE Dealers Assurance Company  
**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations					0	0	0
0599999	Total - U.S. Government Bonds					0	0	0
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
3199999	Total - U.S. Special Revenues Bonds					0	0	0
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Unaffiliated Bank Loans					0	0	0
7699999	Total - Issuer Obligations					0	0	0
7799999	Total - Residential Mortgage-Backed Securities					0	0	0
7899999	Total - Commercial Mortgage-Backed Securities					0	0	0
7999999	Total - Other Loan-Backed and Structured Securities					0	0	0
8099999	Total - SVO Identified Funds					0	0	0
8199999	Total - Affiliated Bank Loans					0	0	0
8299999	Total - Unaffiliated Bank Loans					0	0	0
8399999	Total Bonds					0	0	0
31846V-41-9	FIRST AMER:TRS OBG V	SD	.06/02/2020	0.010		50,083	0	132
94975H-29-6	WELLSFARGO:TRS+ MM I	SD	.06/02/2020	0.060		25,048	1	78
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					75,131	1	211
000000-00-0	EXPANDED BANK DEPOSIT		.06/30/2020	0.000		455,958	0	77
31607A-70-3	FIDELITY IMM:GOVT INSTL		.06/01/2020	0.100		60,039	6	39
60934N-80-7	FEDERATED HRMS GV 0 SVC		.06/29/2020	0.010		901,111	26	0
60934N-80-7	FEDERATED HRMS GV 0 SVC	SD	.06/01/2020	0.010		0	0	0
990220-47-7	RBS CITIZENS NA CASH SWEEP	SD	.02/28/2020	0.000		2	0	2
991061-05-2	CRA (BNY MELLON, N. A., MEMBER FDIC)		.06/30/2020	0.000		564,743	0	0
8699999	Subtotal - All Other Money Market Mutual Funds					1,981,854	32	119
8899999	Total Cash Equivalents					2,056,984	33	329

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