



**HEALTH QUARTERLY STATEMENT**  
AS OF MARCH 31, 2020  
OF THE CONDITION AND AFFAIRS OF THE  
**Mount Carmel Health Plan, Inc.**

AS OF MARCH 31, 2020

## OF THE CONDITION AND AFFAIRS OF THE

## **Mount Carmel Health Plan, Inc.**

NAIC Group Code 2838 2838 NAIC Company Code 95655 Employer's ID Number 31-1471229  
(Current) (Prior)

Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_, OH \_\_\_\_\_

Country of Domicile \_\_\_\_\_ United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ ] No [ X ]

Incorporated/Organized 08/07/1996 Commenced Business 04/01/1997

Statutory Home Office      6150 East Broad Street, EE320,      Columbus, OH, US 43213  
(Street and Number)      (City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213, 614-546-3211  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320, Columbus, OH, US 43213  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records      6150 East Broad Street, EE320  
(Street and Number)  
Columbus, OH, US 43213      614-546-3211  
(City or Town, State, Country and Zip Code)      (Area Code) (Telephone Number)

Internet Website Address [www.mediaold.com](http://www.mediaold.com)

Statutory Statement Contact Juan Manuel Fraiz, 614-546-3211  
(Name) (Area Code) (Telephone Number)  
Juan.Fraiz@mchsc.com, 614-546-3131  
(Email Address) (FAX Number)

## OFFICERS

Board Chair Daniel James Wendorff MD # Vice President & CFO Juan Manuel Fraiz  
President & CEO Cynthia Mauro Dellecker Treasurer

## OTHER

**OTHER**

## **DIRECTORS OR TRUSTEES**

Martin John Brill Cynthia Mauro Dellecker Michael Wallace Englehart  
Sister Barbara Ann Hahl, CSC Stephen Michael Lundregan Joseph Jerome Patrick, Jr Jr.  
Daniel James Wendorff MD

State of Ohio County of Franklin SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Cynthia Mauro Dellecker  
President & CEO

Juan Manual Fraiz  
Vice President & CFO

Sister Barbara Hahl, CSC  
Secretary

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_

a. Is this an original filing? ..... Yes [  ] No [  ]  
b. If no,  
1. State the amendment number.....  
2. Date filed ..... 05/15/2020  
3. Number of pages attached.....

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	132,867,607		132,867,607	139,295,166
2. Stocks:				0
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	72,408,787		72,408,787	65,834,756
3. Mortgage loans on real estate:				0
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				0
4.1 Properties occupied by the company (less \$ encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ encumbrances) .....			0	0
4.3 Properties held for sale (less \$ encumbrances) .....			0	0
5. Cash (\$ (61,629), cash equivalents (\$ 95,160,823) and short-term investments (\$ 43,968,997)) .....	139,068,191		139,068,191	148,719,197
6. Contract loans (including \$ premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....			0	0
9. Receivables for securities .....	150,355		150,355	39,171
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	344,494,940	0	344,494,940	353,888,290
13. Title plants less \$ charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	873,230		873,230	946,610
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	784,593	1,826	782,767	863,098
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ) and contracts subject to redetermination (\$ ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	860,526		860,526	227,558
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ) .....	2,206,577	2,206,577	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....			0	0
24. Health care (\$ ) and other amounts receivable .....	11,509,928	406,618	11,103,310	12,082,053
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	360,729,794	2,615,021	358,114,773	368,007,609
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	360,729,794	2,615,021	358,114,773	368,007,609
<b>DETAILS OF WRITE-INS</b>				
1101. .....			0	0
1102. .....			0	0
1103. .....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. Miscellaneous receivable .....			0	0
2502. .....			0	0
2503. .....			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	0	0	0	0

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded) .....	30,093,551		30,093,551	36,070,274
2. Accrued medical incentive pool and bonus amounts .....	27,166,915		27,166,915	21,713,594
3. Unpaid claims adjustment expenses .....	552,694		552,694	697,931
4. Aggregate health policy reserves, including the liability of \$ ..... for medical loss ratio rebate per the Public Health Service Act .....			0	0
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....	292,854		292,854	167,076
9. General expenses due or accrued .....	7,544,666		7,544,666	6,802,679
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	0
10.2 Net deferred tax liability .....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others .....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....			0	0
15. Amounts due to parent, subsidiaries and affiliates .....	6,219,550		6,219,550	5,937,806
16. Derivatives .....			0	0
17. Payable for securities .....	572,404		572,404	27,594
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers) .....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....			0	0
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	5,503,479	0	5,503,479	6,726,387
24. Total liabilities (Lines 1 to 23) .....	77,946,113	0	77,946,113	78,143,341
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	0
26. Common capital stock .....	XXX	XXX		
27. Preferred capital stock .....	XXX	XXX		
28. Gross paid in and contributed surplus .....	XXX	XXX	42,422,534	42,422,534
29. Surplus notes .....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	237,746,126	247,441,734
32. Less treasury stock, at cost: 32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	280,168,660	289,864,268
34. Total liabilities, capital and surplus (Lines 24 and 33) .....	XXX	XXX	358,114,773	368,007,609
<b>DETAILS OF WRITE-INS</b>				
2301. Due to CMS RAPS Delete .....	17,772,026		17,772,026	17,772,026
2302. Due from CMS Part D Coverage Gap .....	(2,042,670)		(2,042,670)	(2,042,670)
2303. CMS Premium Part D .....	(2,198,822)		(2,198,822)	0
2398. Summary of remaining write-ins for Line 23 from overflow page .....	(8,027,055)	0	(8,027,055)	(9,002,969)
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above) .....	5,503,479	0	5,503,479	6,726,387
2501. .....	XXX	XXX		0
2502. .....	XXX	XXX		0
2503. .....	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above) .....	XXX	XXX	0	0
3001. .....	XXX	XXX		0
3002. .....	XXX	XXX		0
3003. .....	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above) .....	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months .....	XXX	132,067	139,990	549,878
2. Net premium income ( including \$ non-health premium income).....	XXX	136,450,575	138,059,262	557,327,568
3. Change in unearned premium reserves and reserve for rate credits.....	XXX			0
4. Fee-for-service (net of \$ medical expenses).....	XXX			0
5. Risk revenue .....	XXX			0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	214,690	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	0
8. Total revenues (Lines 2 to 7) .....	XXX	136,450,575	138,273,952	557,327,568
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		57,936,552	69,825,334	265,870,315
10. Other professional services .....		35,730,272	37,214,366	150,483,921
11. Outside referrals .....				0
12. Emergency room and out-of-area .....				0
13. Prescription drugs .....		15,260,007	12,921,164	40,989,180
14. Aggregate write-ins for other hospital and medical .....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts .....		10,764,483	1,829,131	19,135,336
16. Subtotal (Lines 9 to 15) .....	0	119,691,314	121,789,995	476,478,752
<b>Less:</b>				
17. Net reinsurance recoveries .....		1,240,420	15,147	771,926
18. Total hospital and medical (Lines 16 minus 17) .....	0	118,450,894	121,774,848	475,706,826
19. Non-health claims (net) .....				
20. Claims adjustment expenses, including \$ 2,043,370 cost containment expenses .....		4,530,366	3,554,146	16,510,367
21. General administrative expenses .....		8,912,857	6,429,411	32,500,308
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only) .....				0
23. Total underwriting deductions (Lines 18 through 22).....	0	131,894,117	131,758,405	524,717,501
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	4,556,458	6,515,547	32,610,067
25. Net investment income earned .....		1,212,939	1,399,957	5,672,892
26. Net realized capital gains (losses) less capital gains tax of \$ .....		(818,595)	148,475	6,450,462
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	394,344	1,548,432	12,123,354
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ )] (amount charged off \$ )].....				0
29. Aggregate write-ins for other income or expenses .....	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	4,950,802	8,063,979	44,733,421
31. Federal and foreign income taxes incurred .....	XXX			
32. Net income (loss) (Lines 30 minus 31) .....	XXX	4,950,802	8,063,979	44,733,421
<b>DETAILS OF WRITE-INS</b>				
0601. Intercompany management fees .....	XXX		214,690	0
0602. .....	XXX			0
0603. .....	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....	XXX	0	214,690	0
0701. Other non-health related revenue .....	XXX		0	0
0702. .....	XXX			0
0703. .....	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....	XXX	0	0	0
1401. .....				0
1402. .....			0	0
1403. .....			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....	0	0	0	0
2901. Other revenue .....			0	0
2902. Other income .....			0	0
2903. .....				0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....	0	0	0	0

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	289,864,268	238,402,878	238,402,878
34. Net income or (loss) from Line 32 .....	4,950,802	8,063,979	44,733,421
35. Change in valuation basis of aggregate policy and claim reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	(14,830,452)	6,154,895	6,908,047
37. Change in net unrealized foreign exchange capital gain or (loss).....			0
38. Change in net deferred income tax .....			
39. Change in nonadmitted assets .....	184,042	65,643	(180,078)
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in .....			0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in .....	0	0	0
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....			
47. Aggregate write-ins for gains or (losses) in surplus .....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47) .....	(9,695,608)	14,284,517	51,461,390
49. Capital and surplus end of reporting period (Line 33 plus 48)	280,168,660	252,687,395	289,864,268
<b>DETAILS OF WRITE-INS</b>			
4701. SSAP No. 3 - Adjustment for prior years' Centers for Medicare & Medicaid Services overpayments .....	0	0	0
4702. .....			0
4703. .....		0	0
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	136,654,968	138,077,109	557,349,195
2. Net investment income .....	1,328,207	1,445,984	5,797,070
3. Miscellaneous income .....	0	214,690	0
4. Total (Lines 1 to 3) .....	137,983,175	139,737,783	563,146,265
5. Benefit and loss related payments .....	118,179,385	117,496,836	476,483,321
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	12,846,473	13,739,065	54,250,122
8. Dividends paid to policyholders .....			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses) .....	0	0	0
10. Total (Lines 5 through 9) .....	131,025,858	131,235,901	530,733,443
11. Net cash from operations (Line 4 minus Line 10) .....	6,957,317	8,501,882	32,412,822
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	19,466,411	9,930,090	38,629,826
12.2 Stocks .....	4,732,810	5,884,241	42,029,449
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	123	5,521
12.7 Miscellaneous proceeds .....	544,810	0	27,594
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	24,744,031	15,814,454	80,692,391
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	13,018,397	12,437,152	49,903,818
13.2 Stocks .....	27,018,231	6,106,443	43,517,979
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	111,184	0	39,171
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	40,147,812	18,543,595	93,460,968
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(15,403,781)	(2,729,141)	(12,768,578)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0	0
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(1,204,544)	(1,341,936)	(13,675,173)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	(1,204,544)	(1,341,936)	(13,675,173)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(9,651,008)	4,430,805	5,969,071
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	148,719,198	142,750,127	142,750,127
19.2 End of period (Line 18 plus Line 19.1) .....	139,068,191	147,180,931	148,719,198

0

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001. SSAP No. 3 - Adjustment for prior year's Centers for Medicare and Medicaid Service overpayments .....	0	0	0
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STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year	44,896	0	0	0	0	0	0	44,896	0	0
2. First Quarter	43,902							43,902		
3. Second Quarter	0							0		
4. Third Quarter	0							0		
5. Current Year	0							0		
6. Current Year Member Months	132,067							132,067		
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician	81,799							81,799		
8. Non-Physician	27,266							27,266		
9. Total	109,065	0	0	0	0	0	0	109,065	0	0
10. Hospital Patient Days Incurred	21,299							21,299		
11. Number of Inpatient Admissions	2,603							2,603		
12. Health Premiums Written (a)	136,290,874							136,290,874		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	136,450,575							136,450,575		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	118,974,295							118,974,295		
18. Amount Incurred for Provision of Health Care Services	119,691,314							119,691,314		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 136,792,676

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

### Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
Caremark .....	5,454,478					5,454,478
0199999. Individually listed claims unpaid	5,454,478	0	0	0	0	5,454,478
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered						0
0499999 Subtotals	5,454,478	0	0	0	0	5,454,478
0599999 Unreported claims and other claim reserves						24,639,072
0699999 Total amounts withheld						
0799999 Total claims unpaid						30,093,550
0899999 Accrued medical incentive pool and bonus amounts						27,166,915

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....					0	0
2. Medicare Supplement .....					0	0
3. Dental Only .....					0	0
4. Vision Only .....					0	0
5. Federal Employees Health Benefits Plan .....					0	0
6. Title XVIII - Medicare .....	26,861,421	86,801,712	1,471,892	28,621,659	28,333,313	36,070,273
7. Title XIX - Medicaid .....					0	0
8. Other health .....					0	0
9. Health subtotal (Lines 1 to 8) .....	26,861,421	86,801,712	1,471,892	28,621,659	28,333,313	36,070,273
10. Healthcare receivables (a) .....					0	0
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	2,167,725	3,143,437	20,745,982	6,420,933	22,913,707	21,713,594
13. Totals (Lines 9-10+11+12)	29,029,146	89,945,149	22,217,874	35,042,592	51,247,020	57,783,867

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies and Going Concern

#### A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 32, Columns 2 & 4) .....	XXX	XXX	XXX	.....4,950,802	.....44,733,421
(2) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(3) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(4) NAIC SAP (1-2-3=4) .....	XXX	XXX	XXX	.....4,950,802	.....44,733,421
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 33, Columns 3 & 4) .....	XXX	XXX	XXX	.....280,168,660	.....289,864,268
(6) State Prescribed Practices that are an increase/(decrease) from NAIC SAP:					
(7) State Permitted Practices that are an increase/(decrease) from NAIC SAP:					
(8) NAIC SAP (5-6-7=8) .....	XXX	XXX	XXX	.....280,168,660	.....289,864,268

#### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during that period. Actual results could differ from those estimates.

#### C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by the government. Premiums received prior to such period are recorded as advanced premiums.

Benefits incurred and loss adjustment expenses include claims payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the Statements of Admitted Assets, Liabilities and Surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

(1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximate fair value due to the short-term maturities of the investments.

(2) – (4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds are carried at amortized cost.

The Company regularly evaluates investment securities for impairment. The related investment is written down to its estimated value.

Amortization of bond premium or discount is computed using the effective yield method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

(5) The Company does not have any mortgage loans on real estate investments.

(6) Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value all securities, except for interest only securities or securities where the yield had become negative, that are valued using the prospective method.

(7) The Company carries its investments in Mount Carmel Health Plan of Idaho, Inc. (MCHP-ID) and Mount Carmel Health Plan of New York, Inc. (MCHP-NY) at their statutory

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

capital plus surplus values.

(8) The Company does not have any joint venture investments.

(9) The Company does not have any derivatives.

(10) - (11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current period and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses, and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

(12) The Company has not modified its capitalization policy from the prior period.

(13) The Company estimates anticipated Pharmacy Rebate Receivables using amount of billed rebates provided by its Pharmacy Benefit Manager, adjusted for historical recovery patterns.

### D. Going Concern

After evaluating the entity's ability to continue as a going concern, management was not aware of any conditions or events which raised substantial doubts concerning the entity's ability to continue as a going concern as of the date of the filing of this statement.

#### 2. Accounting Changes and Correction of Errors

None.

#### 3. Business Combinations and Goodwill

##### A. Statutory Purchase Method

Not Applicable

##### B. Statutory merger

Not Applicable

##### C. Assumption Reinsurance

Not Applicable

##### D. Impairment Loss

Not Applicable

#### 4. Discontinued Operations

A – D Not Applicable

#### 5. Investments

##### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

##### B. Debt Restructuring

Not Applicable

##### C. Reverse Mortgages

Not Applicable

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

D. Loan-Backed Securities

	1 Amortized Cost Basis Before Other-than-Temporary Impairment	2 Other-than-Temporary Impairment Recognized in Loss	3 Fair Value 1 - 2
(2) OTTI recognized 1st Quarter			
a. Intent to sell .....	.....	.....	0
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	.....	.....	0
c. Total 1st Quarter .....	0	0	0
OTTI recognized 2nd Quarter			
d. Intent to sell .....	.....	.....	0
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	.....	.....	0
f. Total 2nd Quarter .....	0	0	0
OTTI recognized 3rd Quarter			
g. Intent to sell .....	.....	.....	0
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	.....	.....	0
i. Total 3rd Quarter .....	0	0	0
OTTI recognized 4th Quarter			
j. Intent to sell .....	.....	.....	0
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis .....	.....	.....	0
l. Total 4th Quarter .....	0	0	0
m. Annual Aggregate Total .....	.....	.....	0

(3)

1 CUSIP	2 Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	3 Present Value of Projected Cash Flows	4 Recognized Other-Than- Temporary Impairment	5 Amortized Cost After Other-Than- Temporary Impairment	6 Fair Value at time of OTTI	7 Date of Financial Statement Where Reported
Total	XXX	XXX	0	XXX	XXX	XXX

(4)

a. The aggregate amount of unrealized losses:	
1. Less than 12 Months .....	..... 22,717
2. 12 Months or Longer .....	..... 0
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months .....	..... 5,402,301
2. 12 Months or Longer .....	..... 0

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

Not Applicable

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

Not Applicable

G. Reverse Repurchase Agreement Transactions Accounted for as Secured Borrowing

Not Applicable

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

I. Reserve Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable

**STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.**

J. Real Estate

Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable

L. Restricted Assets

1. Restricted Assets (Including Pledged)

Restricted Asset Category	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Nonadmitted Restricted	5 Total Current Year Admitted Restricted (1 minus 4)	6 Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	7 Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown .....	0	0	0	0	0	0.000	0.000
b. Collateral held under security lending agreements .....	0	0	0	0	0	0.000	0.000
c. Subject to repurchase agreements .....	0	0	0	0	0	0.000	0.000
d. Subject to reverse repurchase agreements .....	0	0	0	0	0	0.000	0.000
e. Subject to dollar repurchase agreements .....	0	0	0	0	0	0.000	0.000
f. Subject to dollar reverse repurchase agreements .....	0	0	0	0	0	0.000	0.000
g. Placed under option contracts .....	0	0	0	0	0	0.000	0.000
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock .....	0	0	0	0	0	0.000	0.000
i. FHLB capital stock .....	0	0	0	0	0	0.000	0.000
j. On deposit with states .....	458,766	471,597	(12,831)	0	458,766	0.127	0.128
k. On deposit with other regulatory bodies .....	0	0	0	0	0	0.000	0.000
l. Pledged collateral to FHLB (including assets backing funding agreements) .....	0	0	0	0	0	0.000	0.000
m. Pledged as collateral not captured in other categories .....	0	0	0	0	0	0.000	0.000
n. Other restricted assets .....	0	0	0	0	0	0.000	0.000
o. Total Restricted Assets .....	458,766	471,597	(12,831)	0	458,766	0.127	0.128

(a) Column 1 divided by Asset Page, Column 1, Line 28

(b) Column 5 divided by Asset Page, Column 3, Line 28

2. Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)m Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)m Column 5.

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	1 Total Gross (Admitted & Nonadmitted) Restricted from Current Year	2 Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	3 Increase/ (Decrease) (1 minus 2)	4 Total Current Year Admitted Restricted	5 Gross (Admitted & Nonadmitted) Restricted to Total Assets	6 Admitted Restricted to Total Admitted Assets
Total (a)	0	0	0	0	0.000	0.000

(a) Total Line for Columns 1 through 3 should equal 5L(1)n Columns 1 through 3 respectively and Total Line for Column 4 should equal 5L(1)n Column 5.

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

Collateral Assets	1 Book/Adjusted Carrying Value (BACV)	2 Fair Value	3 % of BACV to Total Assets (Admitted and Nonadmitted)*	4 % of BACV to Total Admitted Assets **
a. Cash, Cash Equivalents and Short-Term Investments .....	.....	.....	0.000 %	0.000 %
b. Schedule D, Part 1 .....	.....	.....	0.000 %	0.000 %
c. Schedule D, Part 2, Section 1 .....	.....	.....	0.000 %	0.000 %
d. Schedule D, Part 2, Section 2 .....	.....	.....	0.000 %	0.000 %
e. Schedule B .....	.....	.....	0.000 %	0.000 %
f. Schedule A .....	.....	.....	0.000 %	0.000 %
g. Schedule BA, Part 1 .....	.....	.....	0.000 %	0.000 %
h. Schedule DL, Part 1 .....	.....	.....	0.000 %	0.000 %
i. Other .....	.....	.....	0.000 %	0.000 %
j. Total Collateral Assets (a+b+c+d+e+f+g+h+i) .....	0	0	0.000 %	0.000 %

\* Column 1 divided by Asset Page, Line 26 (Column 1)

\*\* Column 1 divided by Asset Page, Line 26 (Column 3)

1 Amount	2 % of Liability to Total Liabilities*
k. Recognized Obligation to Return Collateral Asset .....	0.000 %

\* Column 1 divided by Liability Page, Line 24 (Column 3)

M. Working Capital Finance Investments

Not applicable

N. Offsetting and Netting of Assets and Liabilities

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(1) Assets Due from Centers for Medicare and Medicaid Services .....	12,749,323	12,749,323	0

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities Due to Centers for Medicare and Medicaid Services .....	18,206,117	12,749,323	5,456,794

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D, Section 1

O. 5GI Securities

Not Applicable

P. Short Sales

Not Applicable

Q. Prepayment Penalty and Acceleration Fees

Not Applicable

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10% of its admitted assets.

B. The Company did not recognize any impairment write down for investments in Joint Ventures, Partnerships or Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following bases:

All investment income due and accrued with amounts that are over 90 days past due.

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

B. The total amount excluded was \$0-.

8. Derivative Investments

A - B Not Applicable

9. Income Taxes

Not Applicable

10. Information Concerning Parent, Subsidiaries & Affiliates

A- C. The Company leases the services of certain employees and its office space from Mount Carmel Health System ("MCHS"). Additionally, MCHS also provides certain management and administrative services to the Company. Expenses related to services provided by MCHS were \$1,510,038 on March 31, 2020.

The Company also provides by agreement certain management, administrative, and marketing services to Mount Carmel Health Insurance Company ("MCHIC"). Amount related to services provided by the Company to MCHIC were \$223,880 on March 31, 2020.

In 2018, the Company made a capital contribution of \$2,124,262 to Mount Carmel Health Plan of Idaho, Inc. (MCHP of Idaho), a wholly owned subsidiary. As of March 31, 2020, the investment in MCHP of Idaho is valued at \$2,164,943.

During February 2020, the Company obtained license in New York to write Medicare business and made a \$21,800,795 capital contribution to MCHP of New York. The Company currently plans to start writing business in New York January 1, 2022. As of March 31, 2020, the investment in MCHP of New York is valued at \$21,841,875.

D. The Company owed \$6,219,550 to Mount Carmel Health System (MCHS) and its affiliates as of March 31, 2020.

E. Not Applicable

F. Mount Carmel Health Plan (MCHP), a sister company to MCHIC, has agreed to provide administrative services to MCHIC and to MCHP subsidiary related to the operation of Medicare Advantage products offered by MCHIC.

G. All outstanding shares of the Company are owned by MCHS, a non-profit corporation domiciled in the State of Ohio. In addition, MCHS is sole owner of MCHIC, MCHP of Idaho and MCHP of New York.

H. Not Applicable

I. Not Applicable

J. Not Applicable

K. Not Applicable

L. Not Applicable

### M. All SCA Investments

(1) Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8bi Entities)

SCA Entity	Percentage of SCA Ownership	Gross Amount	Admitted Amount	Nonadmitted Amount
a. SSAP No. 97 8a Entities				
Mount Carmel Health Plan of Idaho, Inc. ....	100.0	2,164,943	2,164,943	0
Mount Carmel Health Plan of New York, Inc. ....	100.0	21,841,875	21,841,875	0
Total SSAP No. 97 8a Entities	XXX	24,006,818	24,006,818	0
b. SSAP No. 97 8b(ii) Entities				
Total SSAP No. 97 8b(ii) Entities	XXX	0	0	0
c. SSAP No. 97 8b(iii) Entities				
Total SSAP No. 97 8b(iii) Entities	XXX	0	0	0
d. SSAP No. 97 8b(iv) Entities				
Total SSAP No. 97 8b(iv) Entities	XXX	0	0	0
e. Total SSAP No. 97 8b Entities (except 8bi entities) (b+c+d)	XXX	0	0	0
f. Aggregate Total (a+ e)	XXX	24,006,818	24,006,818	0

(2) NAIC Filing Response Information

Not Applicable

### N. Investment in Insurance SCAs

Not Applicable

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

### O. SCA or SSAP 48 Entity Loss Tracking

Not Applicable

#### 11. Debt – Not Applicable

##### A. Debt Including Capital Notes

Not Applicable

##### B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

#### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits, and Compensated Absences and Other Postretirement Benefit Plans

##### A. – D. Defined Benefit Plan

Not Applicable

##### E. Defined Contribution Plans

Not Applicable

##### F. Multiemployer Plans

Not Applicable

##### G. Consolidated/Holding Company Plans

Not Applicable

##### H. Postemployment Benefits and Compensated Absences

Not Applicable

##### I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

#### 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The company has no shares authorized, issued and outstanding.

(2) The Company has no preferred stock outstanding.

(3) Not Applicable

(4) No dividends were paid by the Company.

(5) Within the limitations of (3) above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) The total amount of advances to surplus not repaid is \$-0-.

(8) The Company did not hold stock, including stock of affiliated companies, for special purposes of conversion of preferred stock, employee stock options, or stock purchase warrants.

(9) There were no changes in balances of special surplus funds from the prior year.

(10) The Company did not have unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses.

(11) The Company did not issue surplus debentures or similar obligations during the statement periods.

(12) The Company did not have a restatement due to a prior quasi-reorganization.

(13) The Company did not have any quasi-reorganizations.

#### 14. Liabilities, Contingencies and Assessments

##### A. Contingent Commitments

Not Applicable

##### B. Assessments

Not Applicable

##### C. Gain Contingencies

Not Applicable

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

D.	Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits	(1) Direct
(1)	The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits .....	0

## E. Joint and Several Liabilities

Not Applicable

## F. All Other Contingencies

The Company is subject to various contingencies, including legal and compliance actions and proceedings that arise in the ordinary course of its business. Due to the complex nature of these actions and proceedings, the timing of the ultimate resolution of these matters is uncertain. Based on information received through the submission date of these statutory financial statements, management of the Company, after consultation with legal counsel, does not believe that the ultimate resolution of these matters will have a material adverse effect on the Company's future financial position or results of operations.

The Company is not aware of any other material contingent liabilities as of March 31, 2020.

## 15. Leases

Not Applicable

## 16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company does not hold any financial instruments with off-balance sheet risk or concentrations of credit risk.

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

### A. Transfer of Receivables Reported as Sales

Not Applicable

### B. Transfer and Servicing of financial Assets

Not Applicable

### C. Wash Sales

Not Applicable

## 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

### A. ASO Plans

Not Applicable

### B. ASC Plans

Not Applicable

### C. Medicare or Similarly Structured Cost Based Reimbursement Contract.

Not Applicable

## 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

## 20. Fair Value Measurement

### (1) Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Cash and cash Equivalents .....	67,625,941	27,476,226	0	0	95,102,167
Common Stock .....	72,408,787	0	0	0	72,408,787
Total assets at fair value/NAV	140,034,728	27,476,226	0	0	167,510,954

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
b. Liabilities at fair value					

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
Total liabilities at fair value	0	0	0	0	0

(2) Fair Value Measurements in (Level 3) of the Fair Value hierarchy

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2019
a. Assets										
Total Assets	0	0	0	0	0	0	0	0	0	0

Description	Beginning Balance at 01/01/2019	Transfers into Level 3	Transfers out of Level 3	Total gains and (losses) included in Net Income	Total gains and (losses) included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at 12/31/2019
b. Liabilities										
Total Liabilities	0	0	0	0	0	0	0	0	0	0

C. Aggregate fair value for all financial instruments and the level within the fair value hierarchy in which the fair value measurements in their entirety fall.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Cash and cash Equivalents .....	95,099,194	67,625,941	27,476,226	0	0	0	0
Short Term .....	43,968,998	11,025,325	33,017,251	0	0	0	0
Common Stock .....	72,408,787	72,408,787	0	0	0	0	0
Bonds .....	132,867,607	46,809,127	90,767,204	0	0	0	0

D. Not Practicable to Estimate Fair Value

Type or Class of Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
Mount Carmel Health Plan of Idaho, Inc. ....	2,164,943	.....	.....	Carrying this common stock at a net equity value ..
Mount Carmel Health Plan of New York, Inc. ....	21,841,875	.....	.....	Carrying this common stock at a net equity value ..

21. Other Items

A. Extraordinary Item

Not Applicable

B. Troubled Debt Restructuring Debtors

Not Applicable

C. Other Disclosures and Unusual Items

Not Applicable

D. Business Interruption Insurance Recoveries

Not Applicable

E. State Transferable and Non-transferable Tax Credits

Not Applicable

F. Subprime-Mortgage-Related Risk Exposure

Not Applicable

G. Retained Assets

Not Applicable

H. Insurance-Linked Securities (ILS) Contracts

Not Applicable

I. The Amount That Could Be Realized on Life Insurance Where the Reporting Entity is Owner and Beneficiary or Has Otherwise Obtained Rights to Control the Policy

Not Applicable

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

### 22. Events Subsequent

#### Type I – Recognized Subsequent Events:

Subsequent events have been considered through May 10, 2020 for the statutory statement filed on May 15, 2020.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at March 31, 2020, which would have a material effect on its financial condition.

#### Type II – Nonrecognized Subsequent Events:

Subsequent events have been considered through May 10, 2020 for the statutory statement filed on May 15, 2020.

The Company's unrealized gains (losses) changes on equity securities as of May 8, 2020 was \$6,431,979 which an improvement from the change at the end of the quarter of \$(14,872,867).

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at March 31, 2020, but arose after that date, which would have a material effect on its financial condition.

### 23. Reinsurance – Not Applicable

#### A. Ceded Reinsurance Report

Not Applicable

#### B. Uncollectible Reinsurance

Not Applicable

#### C. Communication of Ceded Reinsurance

Not Applicable

#### D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable

### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Not Applicable

### 25. Change in Incurred Claims and Claim Adjustment Expenses

Not Applicable

### 26. Intercompany Pooling Arrangements

#### A. – G. Not Applicable

### 27. Structured Settlements

The Company has no structured statements

### 28. Health Care Receivables

Not Applicable

### 29. Participating Policies

Not Applicable

### 30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves..... 0

2. Date of the most recent evaluation of this liability ..... 12/31/2019

3. Was anticipated investment income utilized in the calculation? ..... Yes [ ] No [X]

### 31. Anticipated Salvage and Subrogation – Not Applicable

The Company took into account estimated anticipated salvage and subrogation in its determination of the liability for unpaid claims / losses and reduced such liability by \$-0-.

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]

2.2 If yes, date of change: \_\_\_\_\_

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... If yes, complete Schedule Y, Parts 1 and 1A. Yes [ ] No [ X ]

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

3.4 Is the reporting entity publicly traded or a member of a publicly traded group? ..... Yes [ ] No [ X ]

3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. \_\_\_\_\_

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... If yes, complete and file the merger history data file with the NAIC. Yes [ ] No [ X ]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2017

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2017

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 05/23/2019

6.4 By what department or departments?  
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.  
**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [  ] No [  ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? ..... Yes [  ] No [  ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [  ] No [  ]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [  ] No [  ]  
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [  ] No [  ]  
 11.2 If yes, give full and complete information relating thereto:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0		
13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0		
14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ]		
14.2 If yes, please complete the following:		
14.21 Bonds ..... \$ ..... 0	\$ ..... 0	\$ ..... 0
14.22 Preferred Stock ..... \$ ..... 0	\$ ..... 0	\$ ..... 0
14.23 Common Stock ..... \$ ..... 2,173,821	\$ ..... 24,006,819	\$ ..... 0
14.24 Short-Term Investments ..... \$ ..... 0	\$ ..... 0	\$ ..... 0
14.25 Mortgage Loans on Real Estate ..... \$ ..... 0	\$ ..... 0	\$ ..... 0
14.26 All Other ..... \$ ..... 0	\$ ..... 0	\$ ..... 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... \$ ..... 2,173,821	\$ ..... 24,006,819	\$ ..... 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above ..... \$ ..... 2,173,821	\$ ..... 24,006,819	\$ ..... 0
15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]		
15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input type="checkbox"/> ] If no, attach a description with this statement.		
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:		
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ..... \$ ..... 0		
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 ..... \$ ..... 0		
16.3 Total payable for securities lending reported on the liability page. ..... \$ ..... 0		

**STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.**  
**GENERAL INTERROGATORIES**

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust Corporation .....	50 South La Salle Street Chicago, Illinois 60603 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ X ] No [ ]

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
PNC Bank, NA .....	Northern Trust Corporation .....	01/01/2020 .....	

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
PNC Capital Advisors, LLC .....	U.....
State Street Global Advisors Trust Company .....	U.....
Wellington Management Company LLP .....	U.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? ..... Yes [ X ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
151829 .....	PNC Capital Advisors, LLC .....	549300WB7V48QCY3U49 .....	SEC .....	DS.....
30107 .....	State Street Global Advisors Trust Company .....	549300BYW0XNH286YR10 .....	N/A .....	NO.....
00071052 .....	Wellington Management Company LLP .....	549300YHP12TEZNLCX41 .....	SEC .....	NO.....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? ..... Yes [ X ] No [ ]

18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
- Issuer or obligor is current on all contracted interest and principal payments.
- The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? ..... Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

- The security was purchased prior to January 1, 2018.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
- The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? ..... Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- The shares were purchased prior to January 1, 2019.
- The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
- The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- The fund only or predominantly holds bonds in its portfolio.
- The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? ..... Yes [ ] No [ X ]

**GENERAL INTERROGATORIES****PART 2 - HEALTH**

## 1. Operating Percentages:

1.1 A&H loss percent .....	88.0 %
1.2 A&H cost containment percent .....	1.0 %
1.3 A&H expense percent excluding cost containment expenses .....	87.0 %
2.1 Do you act as a custodian for health savings accounts? .....	Yes [ ] No [ X ]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....	\$ .....
2.3 Do you act as an administrator for health savings accounts? .....	Yes [ ] No [ X ]
2.4 If yes, please provide the balance of the funds administered as of the reporting date .....	\$ .....
3. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? .....	Yes [ ] No [ X ]
3.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? .....	Yes [ ] No [ X ]

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## **SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama .....	AL .....	N .....						0 .....	
2. Alaska .....	AK .....	N .....						0 .....	
3. Arizona .....	AZ .....	N .....						0 .....	
4. Arkansas .....	AR .....	N .....						0 .....	
5. California .....	CA .....	N .....						0 .....	
6. Colorado .....	CO .....	N .....						0 .....	
7. Connecticut .....	CT .....	N .....						0 .....	
8. Delaware .....	DE .....	N .....						0 .....	
9. District of Columbia .....	DC .....	N .....						0 .....	
10. Florida .....	FL .....	N .....						0 .....	
11. Georgia .....	GA .....	N .....						0 .....	
12. Hawaii .....	HI .....	N .....						0 .....	
13. Idaho .....	ID .....	N .....						0 .....	
14. Illinois .....	IL .....	N .....						0 .....	
15. Indiana .....	IN .....	N .....						0 .....	
16. Iowa .....	IA .....	N .....						0 .....	
17. Kansas .....	KS .....	N .....						0 .....	
18. Kentucky .....	KY .....	N .....						0 .....	
19. Louisiana .....	LA .....	N .....						0 .....	
20. Maine .....	ME .....	N .....						0 .....	
21. Maryland .....	MD .....	N .....						0 .....	
22. Massachusetts .....	MA .....	N .....						0 .....	
23. Michigan .....	MI .....	N .....						0 .....	
24. Minnesota .....	MN .....	N .....						0 .....	
25. Mississippi .....	MS .....	N .....						0 .....	
26. Missouri .....	MO .....	N .....						0 .....	
27. Montana .....	MT .....	N .....						0 .....	
28. Nebraska .....	NE .....	N .....						0 .....	
29. Nevada .....	NV .....	N .....						0 .....	
30. New Hampshire .....	NH .....	N .....						0 .....	
31. New Jersey .....	NJ .....	N .....						0 .....	
32. New Mexico .....	NM .....	N .....						0 .....	
33. New York .....	NY .....	N .....						0 .....	
34. North Carolina .....	NC .....	N .....						0 .....	
35. North Dakota .....	ND .....	N .....						0 .....	
36. Ohio .....	OH .....	L .....	136,792,676 .....					136,792,676 .....	
37. Oklahoma .....	OK .....	N .....						0 .....	
38. Oregon .....	OR .....	N .....						0 .....	
39. Pennsylvania .....	PA .....	N .....						0 .....	
40. Rhode Island .....	RI .....	N .....						0 .....	
41. South Carolina .....	SC .....	N .....						0 .....	
42. South Dakota .....	SD .....	N .....						0 .....	
43. Tennessee .....	TN .....	N .....						0 .....	
44. Texas .....	TX .....	N .....						0 .....	
45. Utah .....	UT .....	N .....						0 .....	
46. Vermont .....	VT .....	N .....						0 .....	
47. Virginia .....	VA .....	N .....						0 .....	
48. Washington .....	WA .....	N .....						0 .....	
49. West Virginia .....	WV .....	N .....						0 .....	
50. Wisconsin .....	WI .....	N .....						0 .....	
51. Wyoming .....	WY .....	N .....						0 .....	
52. American Samoa .....	AS .....	N .....						0 .....	
53. Guam .....	GU .....	N .....						0 .....	
54. Puerto Rico .....	PR .....	N .....						0 .....	
55. U.S. Virgin Islands .....	VI .....	N .....						0 .....	
56. Northern Mariana Islands .....	MP .....	N .....						0 .....	
57. Canada .....	CAN .....	N .....						0 .....	
58. Aggregate Other Aliens .....	OT .....	XXX .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....
59. Subtotal .....		XXX .....	0 .....	136,792,676 .....	0 .....	0 .....	0 .....	136,792,676 .....	0 .....
60. Reporting Entity Contributions for Employee Benefit Plans .....		XXX .....							0 .....
61. Totals (Direct Business) .....		XXX .....	0 .....	136,792,676 .....	0 .....	0 .....	0 .....	136,792,676 .....	0 .....
DETAILS OF WRITE-INS									
58001. ....		XXX .....							
58002. ....		XXX .....							
58003. ....		XXX .....							
58998. Summary of remaining write-ins for Line 58 from overflow page .....		XXX .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above) .....		XXX .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....	0 .....

(a) Active Status Counts:

L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 1 R - Registered - Non-domiciled RRGs..... 0

E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0

N - None of the above - Not allowed to write business in the state..... 56

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

### Trinity Health Corporation (an Indiana nonprofit); FEIN: 35-1443425 (PARENT CORPORATION)

Civica, Inc. (DE Nonprofit Nonstock Corporation); FEIN: (100% Controlled by Trinity Health Corporation)  
 Tri-Hospital Emergency Medical Services Corporation; FEIN: 38-2485700 (33.33% Controlled by Immediate Parent)  
 THRE Services LLC; FEIN: 45-2603654 (100% Controlled by Immediate Parent)  
 Trinity Assurance, LTD (Cayman Island) (100% Controlled by Trinity Health Corporation)  
 Michigan Co-Tenancy Laboratory (Trinity Health Corporation Partnership)  
 Mount Carmel Health System (Ohio); FEIN: 31-1439334 (100% Controlled by Trinity Health Corporation)  
 Mount Carmel East (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel West (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel St. Anne's Hospital (Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel Grove City (dba of Mount Carmel Health System); FEIN: 31-1439334 (100% Controlled by Immediate Parent)  
 Mount Carmel Health System Foundation; FEIN: 31-1113366 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan, Inc. (HMO); FEIN: 31-1471229 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan of Idaho, Inc.; FEIN: 83-1422704 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Plan of New York, Inc.; FEIN: 83-3278543 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Insurance Company (PPO); FEIN: 25-19212781 (100% Controlled by Immediate Parent)  
 Mount Carmel College of Nursing; FEIN: 31-1308555 (100% Controlled by Immediate Parent)  
 Patient Transport Services of Columbus LLC (dba Columbus Connection); FEIN: 26-4601285 (50% Controlled by Immediate Parent)  
 OSU/Mount Carmel Health Alliance; FEIN: 31-1654603 (50% Controlled by Immediate Parent)  
 Madison County Community Hospital; FEIN: 31-1657206 (40% Controlled by Immediate Parent)  
 Dilley Medical Center; FEIN: 34-2032340 (70% Controlled by Immediate Parent)  
 Mount Carmel Health Partner, LLC; FEIN: 47-1932262 (100% Controlled by Immediate Parent)  
 Central Ohio Health Foundation; FEIN: 36-3131889 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Providers, Inc. (dba Mount Carmel Medical Group); FEIN: 31-1382442 (100% Controlled by Immediate Parent)  
 Mount Carmel HealthProviders Two, LLC; FEIN: 47-1983271 (100% Controlled by Immediate Parent)  
 Mount Carmel Health Providers III, LLC; FEIN: 20-4245781 (100% Controlled by Immediate Parent)  
 St. Ann's Medical Office Building III Partnership; FEIN: 31-1608125 (76.92% Controlled by Mt. Carmel Health Providers, Inc.)  
 Big Run Medical Building Limited Partnership; FEIN: 31-1571567 (50% Controlled by Immediate Parent)  
 MCHs Big Run Condominium Association; FEIN: 31-1608125 (76.92% Controlled by Immediate Parent)  
 Taylor Station Surgical Center, LTD; FEIN: 31-1459910 (40% Controlled by Immediate Parent)  
 Columbus Cyberknife, LLC; FEIN: 27-0865251 (35% Controlled by Immediate Parent)  
 New Albany Surgery Center, LLC; FEIN: 45-1617821 (35% Controlled by Immediate Parent)  
 MCE MOB IV Limited Partnership; FEIN: 42-1544707 (49.63% Controlled by Immediate Parent)  
 St. Ann's Medical Office Building II Limited Partnership; FEIN: 31-1603660 (46.75% Controlled by Immediate Parent)  
 Mount Carmel East (dba Mount Carmel Health System); FEIN: 31-1369473 (27.5% Controlled by Immediate Parent)  
 Medical Office Building I Limited Partnership; FEIN: 20-4913370 (25% Controlled by Immediate Parent)  
 Eastview Surgical, LLC; FEIN: 00-0729342 (30.77841% Controlled by Immediate Parent)  
 Health Collaborative of Central Ohio, LLC; FEIN: 46-5053895 (100% Controlled by Immediate Parent)  
 Encompass Health Rehabilitation Hospital of Westerville, LLC (dba Mount Carmel Rehabilitation Hospital, an Affiliate of Encompass Health); FEIN: 47-4200156 (20.4% Controlling Interest held by Immediate Parent)  
 Holy Cross Health, Inc. (Maryland); FEIN: 52-0738041 (100% Controlled by Trinity Health Corporation)  
 Holy Cross Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Holy Cross Germantown Hospital (dba of Holy Cross Health, Inc.); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Maryland Care Group, Inc.; FEIN: 52-1815313 (100% Controlled by Immediate Parent)  
 Holy Cross Health Foundation, Inc.; FEIN: 20-8428450 (100% Controlled by Immediate Parent)  
 Chesapeake Potomac Regional Cancer Center, LLC; FEIN: 20-3762277 (20% Controlled by Immediate Parent)  
 Doctors' Regional Cancer Center, LLC; FEIN: 20-8889327 (20% Controlled by Immediate Parent)  
 Maryland Care, Inc. (b/a Maryland Physician Care MCO); FEIN: 22-3476496 (25% Controlled by Immediate Parent)  
 Maryland Care Management, Inc. (b/a Maryland Physician Care MCO); FEIN: 22-3476496 (25% Controlled by Immediate Parent)  
 The Blue Diamond Pharmacy, LLC; FEIN: 47-3630756 (25% Controlled by Immediate Parent)  
 Holy Cross Health Centers, LLC; FEIN: 82-2340203 (100% Controlled by Immediate Parent)  
 Holy Cross Health Partners, LLC; FEIN: 82-2391212 (100% Controlled by Immediate Parent)  
 Mercy Health Network, Inc. (b/a MercyOne EIN); FEIN: 52-0738041 (100% Controlled by Immediate Parent)  
 Wellmark Value Health Plan, Inc.; FEIN: 42-1264647 (50% Controlled by Mercy Health Network, Inc.)  
 Mercy Community Hospital Group; FEIN: 35-2473948 (100% Controlled by Immediate Parent)  
 Central Community Hospital (dba MercyOne Elkhart Medical Center); FEIN: 42-0818642 (100% Controlled by Immediate Parent)  
 Wheaton Franciscan Healthcare - Iowa, Inc. (b/a MercyOne Northeast Iowa); FEIN: 42-1177001 (100% Controlled by MHN)  
 N.E. Iowa Real Estate Investments, Ltd.; FEIN: 42-1207432 (100% Controlled by Immediate Parent)  
 Mercy Hospital of Franciscan Sisters, Inc. (b/a MercyOne Oelwein Medical Center); FEIN: 42-178403 (100% Controlled by Immediate Parent)  
 Covenant Medical Center, Inc. (b/a MercyOne Waterloo Medical Center); FEIN: 42-1264647 (100% Controlled by Immediate Parent)  
 Covenant Foundation, Inc. (b/a MercyOne Waterloo Foundation); FEIN: 42-2295781 (100% Controlled by Immediate Parent)  
 Sartori Memorial Hospital, Inc. (b/a MercyOne Cedar Rapids Medical Center); FEIN: 42-0758901 (100% Controlled by Immediate Parent)  
 Sammamish Health Care Foundation, Inc. (b/a MercyOne Sammamish Foundation); FEIN: 42-1244996 (100% Controlled by Immediate Parent)  
 Mercy Health Services - Iowa, Corp. (Iowa/Nebraska); FEIN: 31-1373003 (100% Controlled by Trinity Health Corporation; Subject to Mercy Health Network, Inc./JOA)  
 Mercy Medical Center, Clinton, Iowa (b/a MercyOne Clinton Medical Center); FEIN: 42-1235618 (100% Controlled by Immediate Parent)  
 Mercy-Clinton Anesthesia Group, LLC; FEIN: 46-1906752 (100% Controlled by Immediate Parent)  
 Clinton Imaging Services, L.L.C.; FEIN: 41-2044739 (65% Controlled by Immediate Parent)  
 MercyOne Dyersville Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080  
 MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080  
 Dubuque Mercy Health Foundation, Inc. (b/a MercyOne Dubuque Foundation); FEIN: 26-2227941 (100% Controlled by Immediate Parent)  
 Dyersville Health Foundation, Inc. (b/a MercyOne Dyersville Foundation); FEIN: 20-5383271 (100% Controlled by Immediate Parent)  
 United Clinical Laboratories, Inc.; FEIN: 42-1268486 (33.33% Controlled by Immediate Parent)  
 Preferred Health Choices, L.L.C.; FEIN: 90-0139311 (50% Controlled by Immediate Parent)  
 Health Management Services, L.L.C.; FEIN: 46-1861361 (50% Controlled by MercyOne Dubuque Medical Center (dba of Mercy Health Services - Iowa Corp.); FEIN: 31-1373080))  
 Tri-State Surgery Center, Inc.; FEIN: 91-1373080 (100% Controlled by Immediate Parent)  
 MercyOne New Hampton Medical Center (b/a Mercy Health Services - Iowa, Corp.); FEIN: 42-1235618 (100% Controlled by Immediate Parent)  
 Tri-State Occupational Health, L.L.C.; FEIN: 99-1039315 (100% Controlled by Immediate Parent)  
 MercyOne New North Hampton Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080  
 MercyOne North Iowa Medical Center (dba of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080  
 Hospice of North Iowa (b/a MercyOne North Iowa Hospice); FEIN: 42-1173708 (100% Controlled by Immediate Parent)  
 Mercy Center Foundation - North Iowa (b/a MercyOne North Iowa Foundation); FEIN: 42-1229151 (100% Controlled by Immediate Parent)  
 Forest Park Imaging, LLC; FEIN: 13-4136596 (52.89% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)  
 Fresenius Kidney Center North Iowa; FEIN: 81-2470407 (20% Controlled by Immediate Parent)  
 Surgical Center Building Associates, LLC; FEIN: 31-1373080 (35% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)  
 YMCA and Rehabilitation Center; FEIN: 42-1491491 (50% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 Magnetic Resonance Services, LLC; FEIN: 42-1328388 (49% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080)  
 Mason City Ambulatory Surgery Center; FEIN: 20-1960348 (51% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 Mercy Heart Center Outpatient Services, LLC; FEIN: 13-4137594 (51% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 Iowa Falls Clinic; FEIN: 32-1457713 (100% Controlled by Mercy Medical Center - North Iowa (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 MercyOne Regional Healthcare Clinics, LLC; FEIN: 42-6095851 (50% Controlled by Mercy Medical Center - Sioux City (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 Hawkeye Mercy Hospital; FEIN: 38-3320710 (100% Controlled by Immediate Parent)  
 Hospice of Siouxland, LLC; FEIN: 38-7132010 (100% Controlled by Immediate Parent)  
 Mercy/USP Health Ventures, L.L.C.; FEIN: 47-1290300 (55.71% Controlled by Mercy Medical Center - Sioux City (b/a of Mercy Health Services - Iowa, Corp.); FEIN: 31-1373080))  
 Siouxland Surgery Center Limited Liability Partnership; FEIN: 46-0423353 (55.54% Controlled by Immediate Parent)  
 Oakland Mercy Hospital; FEIN: 20-8072234 (100% Controlled by Immediate Parent)  
 Oakland Mercy Hospital Foundation (b/a MercyOne Oakland Foundation); FEIN: 31-1678345 (100% Controlled by Immediate Parent)  
 Baum Hamm Mercy Hospital (b/a MercyOne Foundation); FEIN: 31-1678345 (100% Controlled by Immediate Parent)  
 Baum Hamm Mercy Hospital and Clinics Foundation (b/a MercyOne Pringle Foundation); FEIN: 26-2973307 (100% Controlled by Immediate Parent)  
 Select Health Network, Inc.; FEIN: 35-1892210 (50% Controlled by Immediate Parent)  
 Michigan Health Information Network, LLC; FEIN: 35-2050128 (33.33% Controlled by Immediate Parent)  
 Edison Lakes, Inc.; FEIN: 35-1783309 (2.84% Controlled by Immediate Parent)  
 Edison Lakes ROC, LLC; FEIN: 27-1778693 (30% Controlled by Immediate Parent)  
 Saint Joseph Regional Medical Center - South Beach Campus, Inc.; FEIN: 46-2814097 (100% Controlled by Immediate Parent)  
 Saint Joseph Regional Medical Center - Plymouth Campus, Inc.; FEIN: 35-1142669 (100% Controlled by Immediate Parent)  
 SIRMIC Holdings, Inc.; FEIN: 47-4763735 (100% Controlled by Immediate Parent)  
 Michigan Urgent Care Management, Inc.; FEIN: 35-1568821 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Health Management, Inc. (Oklahoma); FEIN: 27-020202 (100% Controlled by Trinity Health)  
 Saint Alphonsus Medical Center - Nampa, Inc.; FEIN: 82-0200996 (100% Controlled by Immediate Parent)  
 MedNow, Inc.; FEIN: 82-0389927 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Medical Center - Nampa Health Foundation, Inc.; FEIN: 26-1737256 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Medical Center Nampa Medical Staff (an Unincorporated Nonprofit Association); FEIN: 46-1123092  
 Saint Alphonsus Regional Medical Center, Inc.; FEIN: 82-0200895 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Regional Medical Center Auxiliary, Inc.; FEIN: 82-6009027 (100% Controlled by Immediate Parent)  
 Life Flight Networks, LLC; FEIN: 20-5016802 (25% Controlled by Immediate Parent)  
 Saint Alphonsus Diversified Care, Inc.; FEIN: 94-3028978 (100% Controlled by Immediate Parent)  
 Emergency Medical Plazas of Idaho, LLC; FEIN: 81-4098266 (50% Controlled by Immediate Parent)  
 Intermountain Medical Imaging, LLC; FEIN: 82-0514422 (50% Controlled by Immediate Parent)  
 Saint Alphonsus Caldwell Cancer Treatment Center, L.L.C.; FEIN: 82-0526861 (80% Controlled by Immediate Parent)  
 Eagle ED Real Estate LLC; FEIN: 20-8836798 (100% Controlled by Immediate Parent)

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Saint Alphonsus Home Health and Hospice, LLC; FEIN: 20-3942050 (50% Controlled by Immediate Parent)  
 Saint Alphonsus Professional Medical Services LLC; FEIN: 46-0500210 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Specialty Services, Inc.; FEIN: 26-0553931 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Medical Center - Ontario, Inc.; FEIN: 27-1789847 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Medical Center Ontario Volunteers; FEIN: 94-3059469 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Foundation - Ontario, Inc.; FEIN: 20-2683560 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Medical Center - Baker City, Inc.; FEIN: 27-1790052 (100% Controlled by Immediate Parent)  
 Saint Alphonsus Foundation - Baker City, Inc.; FEIN: 94-3164869 (100% Controlled by Immediate Parent)  
 Eastern Oregon Coordinated Care Organization, LLC; FEIN: (10% Controlled by Saint Alphonsus Health System, Inc.)  
 Saint Alphonsus Health Alliance, Inc.; FEIN: 82-0524649 (100% Controlled by Saint Alphonsus Health System, Inc.)  
 Health Alliance Integrator, Inc.; FEIN: 371755768 (100% Controlled by Saint Alphonsus Health System, Inc.)

**Trinity Health - Michigan (Michigan):** FEIN: 38-2113393 (100% Controlled by Trinity Health Corporation)

Saint Joseph Health System (Division of Saint Joseph Health Corporation); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 St. Joseph Mercy Hospital - Pontiac, MI (St. Joseph Mercy Hospital); dba: St. Joseph Mercy Hospital, A Member of The Saint Joseph Mercy Health System; FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 St. Joseph Mercy Hospital, Ann Arbor; (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 Saint Joseph Mercy Livingston Hospital (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 St. Mary Mercy Hospital; Saint Mary Mercy Livonia (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 St. Joseph Mercy Oakland (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 Mercy Health Saint Mary's (Division of and dba for Trinity Health - Michigan); FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 Saint Mary's Foundation; FEIN: 38-1779602 (100% Controlled by Immediate Parent)  
 Mercy Health Cadillac Foundation; FEIN: 20-3571311 (100% Controlled by Immediate Parent)  
 Metropolitan Detroit Area Hospital Services, Inc.; FEIN: 38-1958953 (A Michigan Non-Profit Co-Op); Members include Trinity Health- Michigan d/b/a Saint Joseph Health Park Central, L.L.C.; FEIN: 38-3006501 (10.55% Controlled by Immediate Parent)  
 Together Health Network, L.L.C.; FEIN: 47-1573173; (47.5% Controlled by Immediate Parent)  
 Sixty-Fourth Street, LLC; FEIN: 20-2443646; (53.94% Controlled by Immediate Parent)  
 Washington Livonia, LLC; FEIN: 38-2113393 (52.5% Controlled by Immediate Parent)  
 Mission Health Corporation; FEIN: 38-3181057 (50% Controlled by Immediate Parent)  
 Center for Digestive Care, LLC; FEIN: 03-0447062 (51% Controlled by Immediate Parent)  
 Huron Arbor Corporation; FEIN: 38-2475644 (100% Controlled by Immediate Parent)  
 Parkprop, LLC; FEIN: 27-3074736 (100% Controlled by Immediate Parent)  
 Probability Therapy Services; FEIN: 20-2020239 (100% Controlled by Immediate Parent)  
 Advantage Health/Saint Mary's Medical Group d/b/a Mercy Health Physician Partners; FEIN: 27-2491974 (100% Controlled by Immediate Parent)  
 Advent Rehabilitation LLC; FEIN: 38-330673 (50% Controlled by Immediate Parent)  
 Life Circles; FEIN: 26-0170498 (25.5% Controlled by Immediate Parent)  
 Woodland Imaging Center, LLC dba Avant Imaging; FEIN: 76-0820959 (51% Controlled by Immediate Parent);  
 IHA Health Services Corporation; FEIN: 38-3165559 (100% Controlled by Immediate Parent)  
 Huron Valley CT Center, LLC; FEIN: (100% Controlled by Immediate Parent)  
 IHA Affiliation Corp.; FEIN: 38-3188893 (100% Controlled by Immediate Parent)  
 McAuley Health Partners, LLC; FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 Catherine McAuley Services Corporation; FEIN: 38-2113393 (100% Controlled by Immediate Parent)  
 The Waterford Senior Center, LLC; FEIN: 27-1110812 (33.02% Controlled by Immediate Parent)  
 Physician Direct Accountable Care Organization; FEIN: 45-5890234 (25% Controlled by Immediate Parent)  
 Oakland Health Alliance, LLC; FEIN: 82-2021072 (50% Controlled by Immediate Parent)  
 Southeast Michigan Clinical Network, LLC; FEIN: 47-3856789 (100% Controlled by Immediate Parent)  
 Frances Warde Medical Laboratory (Trinity Health - Michigan Partnership); FEIN: 38-2648446 (66.6% Controlled by Immediate Parent)  
 Total Network, LLC; FEIN: (47.5% Controlled by Trinity Health - Michigan)  
 Mercy Health Partners; FEIN: 38-2589966 (100% Controlled by Immediate Parent)  
 Mercy Health Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)  
 Mercy Health Hackley Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)  
 Mercy Health Lakeshore Campus (dba of Mercy Health Partners); FEIN: 38-2589966 (100% Controlled by Immediate Parent)  
 Muskegon Community Health Project; FEIN: 91-1932918 (100% Controlled by Immediate Parent)  
 Muskegon 5 Health; FEIN: 20-3223467 (100% Controlled by Immediate Parent)  
 West Shore Professional and Commercial Association; FEIN: 38-2016156 (99% Controlled by Immediate Parent)  
 Professional Med Team; FEIN: 38-3638284 (100% Controlled by Immediate Parent)  
 Mobile Health Resources, L.L.C.; FEIN: 38-3285823 (14.3% Controlled by Immediate Parent)  
 Mercy Health Clinically Integrated Network, LLC dba Affinia Health Network; FEIN: 47-2070753 (100% Controlled by Immediate Parent)  
 Affinia Physician Network, LLC; FEIN: 82-2810970 (100% Controlled by Immediate Parent)  
 Western Michigan Associates; FEIN: 38-2960292 (14.06% Controlled by Immediate Parent; 9.34% Controlled by Trinity Health - Michigan)  
 West Michigan Shared Hospital Laundry; FEIN: 38-2026913 (14.06% Controlled by Immediate Parent; 9.34% Interest Held by Trinity Health - Michigan)  
 Hackley Health Ventures, Inc.; FEIN: 38-3285959 (100% Controlled by Immediate Parent)  
 Hackley Professional Physician, Inc. dba Mercy Health Pharmacy - Laker; dba Mercy Health Pharmacy - North Muskegon; FEIN: 38-2447870 (100% Controlled by Immediate Parent)  
 Workplace Health of Grand Haven, Inc.; FEIN: 38-3112035 (80% Controlled by Immediate Parent)  
 Northern Michigan Supply Alliance, LLC; FEIN: 50-0500001 (50% Controlled by Immediate Parent)  

**Loyola University Health System (Illinois):** FEIN: 36-3342448 (100% Controlled by Trinity Health Corporation)

Loyola Physician Partners ACO, LLC; FEIN: 38-3930598 (100% Controlled by Immediate Parent)  
 Gottlieb Memorial Hospital; FEIN: 36-2379649 (100% Controlled by Immediate Parent)  
 Gottlieb Hospital, Inc.; FEIN: 36-2379649 (100% Controlled by Immediate Parent)  
 Gottlieb Community Health Services Corporation dba MacNeal Hospital; FEIN: 36-3323652 (100% Controlled by Immediate Parent)  
 L. Medicine Labs, LLC; FEIN: 37-1878743 (100% Controlled by Immediate Parent)  
 Oakland Health Alliance, LLC; FEIN: 82-2021072 (50% Controlled by Immediate Parent)  
 Primary Care Physicians Center, L.L.C.; FEIN: 36-0385505 (04% Controlled by GHSC)  
 Chicago Health System ACO, LLC; FEIN: 45-3020116 (100% Controlled by GHSC)  
 MacNeal Health Providers, Inc. dba Chicago Health System, Inc.; FEIN: 36-3361297 (100% Controlled by GHSC)  
 Gottlieb Management Services, Inc.; FEIN: 36-3330529 (100% Controlled by Immediate Parent)  
 Loyola University Medical Center; FEIN: 36-4015560 (100% Controlled by Immediate Parent)  
 Loyola Ambulatory Centers, LLC; FEIN: 36-4321058 (100% Controlled by Immediate Parent)  
 Loyola Ambulatory Surgery Center at Oakbrook, L.P.; FEIN: 36-4119522 (49% Controlled by Immediate Parent)  
 RMLHP Corporation; FEIN: 36-4160869 (50% Controlled by Immediate Parent)  
 Loyne Medical Group, LLC; FEIN: 36-2014066 (100% Controlled by Immediate Parent)  
 Loyne Medical Group, LLC; FEIN: 32-0552496 (100% Controlled by LUMC)  
 South Campus Partners, Inc.; FEIN: 32-0517854 (49% Controlled by LUMC)  
 Palos Health Surgery Center, LLC; FEIN: 35-2634975 (49.5% Controlled by Loyola University Medical Center)  
 Loyola Physician Partners, LLC; FEIN: 37-1756257; (100% Controlled by Immediate Parent)  
 Mercy Health System of Chicago (Illinois); FEIN: 36-3163327 (100% Controlled by Trinity Health)  
 Mercy Hospital and Medical Center; FEIN: 36-2170152 (100% Controlled by Immediate Parent)  
 Mercy Advances MRI, LLC; FEIN: 26-2116721 (100% Controlled by Immediate Parent)  
 Mercy Foundation, Inc.; FEIN: 36-3227350 (100% Controlled by Immediate Parent)  
 Mercy Services Corporation; FEIN: 36-3227348 (100% Controlled by Immediate Parent)  
 Mercy Quality Health Partners ACO, LLC; FEIN: 38-3971072 (100% Controlled by Immediate Parent)  
 Mercy Quality Health Partners, LLC; FEIN: 36-4798692 (100% Controlled by Immediate Parent)  

**Saint Agnes Medical Center (California):** FEIN: 94-1437713 (100% Controlled by Trinity Health)

Saint Agnes Medical Foundation; Saint Agnes Care; Saint Agnes Care Center-Northwest; and Saint Agnes Urgent Care; FEIN: 94-2838324 (100% Controlled by Immediate Parent)  
 Saint Agnes Hospital; FEIN: 36-2116721 (100% Controlled by Immediate Parent)  
 California Healthcare Management Partners, Inc.; FEIN: 82-0951647 (100% Controlled by Immediate Parent)  
 Central Valley Health Plan, Inc.; FEIN: 61-1846844 (100% Controlled by Immediate Parent)  
 California Healthcare Capital Partners, LLC; FEIN: 81-2937390 (13% Controlled by Immediate Parent)  
 Saint Agnes/USSP Surgery Center, L.L.C.; FEIN: (50% Controlled by Saint Agnes Medical Center)  
 Mercy Medical (Alabama); FEIN: 63-6002215 (100% Controlled by Trinity Health)

**Pittsburgh Mercy Health System, Inc. (Pennsylvania):** FEIN: 25-1464211 (100% Controlled by Trinity Health)

Mercy Life Center Corporation; FEIN: 25-1604115 (100% Controlled by Immediate Parent)  
 McAuley Ministries; FEIN: 94-3436142 (100% Controlled by Immediate Parent)  
 Bethlehem Haven of Pittsburgh, Inc.; FEIN: 25-1436664 (100% Controlled by Immediate Parent)  
 BH Venture Mgmt LLC; FEIN: 83-2416426 (100% Controlled by Bethlehem Haven of Pittsburgh, Inc.)  
 BH Venture One LP; FEIN: 38-4098074 (99% Controlled by Limited Partner Bethlehem Haven of Pittsburgh, Inc. and 1% Controlled by General Partner BH Venture Mgmt, LLC)  
 Living Independence for the Elderly - Pittsburgh, Inc. d/b/a Life Pittsburgh; FEIN: 25-1815436 (50% Controlled by Immediate Parent)

**Trinity Continuing Care Services (California):** FEIN: 37-1572593 (100% Controlled by Trinity Continuing Care Services/Trinity Health)

Trinity Senior Services Management, Inc.; FEIN: 37-1572593 (100% Controlled by Trinity Continuing Care Services/Trinity Health)  
 Holy Cross CareNet, Inc.; FEIN: 52-1945054 (100% Controlled by Immediate Parent)  
 Mary Free Bed Sub-Acute Rehabilitation; FEIN: 46-3971740 (50% Controlled by Immediate Parent)  
 Mercy Services for Aging Nonprofit Housing Corporation; FEIN: 39-2719605 (100% Controlled by Immediate Parent)  
 Trinity Continuing Care Services - Indiana, Inc.; FEIN: 93-0907047 (100% Controlled by Immediate Parent)  
 Saint Joseph's Tower Inc.; FEIN: 31-1040468 (100% Controlled by Immediate Parent)  
 Saint Joseph of the Pines, Inc.; FEIN: 56-0694200 (100% Controlled by Immediate Parent)  
 Mercy Community Health, Inc.; FEIN: 06-1492707 (100% Controlled by Immediate Parent)  
 Saint Mary Home, Incorporated; FEIN: 06-0646843 (100% Controlled by Immediate Parent)  
 McAuley Center, Incorporated; FEIN: 06-1058086 (100% Controlled by Immediate Parent)  
 Mount St. Joseph dba Mount Saint Joseph Residence and Rehabilitation; FEIN: 01-0274998 (100% Controlled by Immediate Parent)  
 Glacier Hills, Inc.; FEIN: 38-1891504 (100% Controlled by Immediate Parent)  
 Glacier Hills Foundation; FEIN: 40-8072723 (100% Controlled by Immediate Parent)  
 Trinity Continuing Care Services - Michigan, Inc.; FEIN: 37-1572597 (100% Controlled by Immediate Parent)

**Trinity Home Health Services (Michigan):** FEIN: 38-2621935 (100% Controlled by Trinity Health Corporation)

ASSETS OF THIS ENTITY WERE SOLD 10.3.2017 BUT ENTITY REMAINS - THHS Oakland; FEIN: 38-3320699 (100% Controlled by Immediate Parent)  
 Hospice of Muskegon County, Inc.; FEIN: 38-2452547 (20% Controlled by Trinity Home Health Services)  
 Mercy General Health Partners, Amicare Homecare dba North Ottawa at Home; FEIN: 38-3-321856 (100% Controlled by Immediate Parent)  
 Saint Mary's Amicare Home Health Care; FEIN: 38-3320700 (100% Controlled by Immediate Parent)  

**Trinity Health PACE:** FEIN: 47-3073124 (100% Controlled by Immediate Parent) (multistate operation - incorporated in Michigan)

Saint Joseph PACE Inc.; FEIN: 47-3129127 (100% Controlled by Immediate Parent)  
 LIFE St. Joseph of the Pines, Inc.; FEIN: 27-2159847 (100% Controlled by Immediate Parent)

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Trinity Health LIFE Pennsylvania Inc, dba Mercy LIFE - West Philadelphia; FEIN: 47-5244984 (100% Controlled) Mercy LIFE of Alabama; FEIN: 27-3163002 (100% Controlled by Immediate Parent)  
Mercy LIFE, Inc.; FEIN: 45-3086711 (100% Controlled by Immediate Parent)  
Life at Lourdes Inc, dba Trinity LIFE New Jersey; FEIN: 26-1854750 (100% Controlled by Immediate Parent)  
Trinity Health Partners, L.L.C.; FEIN: 47-2798085 (100% Controlled by Trinity Health)  
Trinity Health Partners - Michigan, L.L.C.; FEIN: 35-2534698 (100% Controlled by Immediate Parent)  
Trinity Health Partners - Idaho, L.L.C.; FEIN: 30-0875741 (100% Controlled by Immediate Parent)  
Trinity Health Partners - Illinois, L.L.C.; FEIN: 39-1828147 (100% Controlled by Immediate Parent)  
Trinity Health Partners - New Jersey, L.L.C.; FEIN: 36-4838390 (100% Controlled by Immediate Parent)  
Trinity Health ACO, Inc.; FEIN: 47-3794666 (100% Controlled by Trinity Health)  
Trinity Integrated Care, LLC; FEIN: 81-2772183 (100% Controlled by Immediate Parent)  
Trinity Accountable Care, LLC; FEIN: 81-2780900 (100% Controlled by Immediate Parent)  
Trinity Accountable Care II, LLC; FEIN: 84-2508775 (100% Controlled by Immediate Parent)  
Trinity Health of the Mid-Atlantic Region; FEIN: 23-2212638 (100% Controlled by Trinity Health)  
Mount Health Foundation of Southeastern Pennsylvania; FEIN: 23-2820954 (100% Controlled by Immediate Parent)  
Mercy Catholic Medical Center of Southeastern Pennsylvania; FEIN: 23-1352191 (100% Controlled by Immediate Parent)  
Mercy Fitzgerald Hospital (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)  
Mercy Philadelphia Hospital (dba of Mercy Catholic Medical Center of Southeastern Pennsylvania); FEIN: 23-1352191 (100% Controlled by Trinity Health of the Mid-Atlantic Region)  
Mercy Suburban Hospital (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-1396763 (100% Controlled by Immediate Parent)  
Nazareth Hospital; FEIN: 23-2794121 (100% Controlled by Immediate Parent)  
Nazareth Health Care Foundation; FEIN: 23-2300951 (100% Controlled by Immediate Parent)  
Nazareth Health Care Foundation; FEIN: 23-2300951 (100% Controlled by Immediate Parent)  
Nazareth Medical Office Building Associates, L.P.; FEIN: 23-2388040 (63.85% Controlled by Immediate Parent)  
St. Agnes Continuing Care Center; FEIN: 23-2840137 (100% Controlled by Immediate Parent)  
Mercy Accountable Care Network, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)  
Mercy Accountable Care, LLC; FEIN: 46-2774097 (100% Controlled by Immediate Parent)  
Mercy Health Plan; FEIN: 22-2483605 (100% Controlled by Immediate Parent)  
Gateway Health, Inc.; FEIN: 25-1505506 (100% Controlled by Immediate Parent)  
Gateway Health Plan of Ohio, Inc.; FEIN: 30-032076 (100% Controlled by Immediate Parent)  
Mercy Home Health Services; FEIN: 23-2235058 (100% Controlled by Immediate Parent)  
Mercy Home Health; FEIN: 23-1352099 (100% Controlled by Immediate Parent)  
Mercy Family Support; FEIN: 23-2325059 (100% Controlled by Immediate Parent)  
Mercy Physician Network; FEIN: 46-1187365 (100% Controlled by Immediate Parent)  
Nazareth Physician Services, Inc.; FEIN: 20-3261266 (100% Controlled by Immediate Parent)  
N.E. Physician Services, Inc.; FEIN: 23-2497355 (100% Controlled by Immediate Parent)  
East Norriton Physicians Services, Inc. (Inactive - Assets Sold 2/1/2016 but entity remains); FEIN: 23-2515999 (100% Controlled by Immediate Parent)  
Mercy Manor Medical of Southeastern Pennsylvania; FEIN: 23-2627944 (100% Controlled by Immediate Parent)  
Mercy/Manor Medical of Southeastern Pennsylvania; FEIN: 23-1913910 (100% Controlled by Immediate Parent)  
St. Mary Medical Center (Pennsylvania); FEIN: 23-1913910 (100% Controlled by Trinity Health of the Mid-Atlantic Region)  
Langhorne Physician Services; FEIN: 23-2571601 (100% Controlled by Immediate Parent)  
LIFE St. Mary; FEIN: 26-2376104 (100% Controlled by Trinity Health of the Mid-Atlantic Region)  
St. Mary Emergency Medical Services; FEIN: 46-5354512 (100% Controlled by Immediate Parent)  
St. Mary Building and Development; FEIN: 46-1827502 (100% Controlled by Immediate Parent)  
Langhorne Services, Inc.; FEIN: 23-2625081 (100% Controlled by Immediate Parent)  
Langhorne Services II, Inc.; FEIN: 23-3795549 (100% Controlled by Immediate Parent)  
Langhorne MOB Partners, L.P.; FEIN: 23-2622772 (39.08% Controlled by Immediate Parent)  
The Ambulatory Surgery Center at St. Mary, LLC; FEIN: 23-2871206 (51% Controlled by Immediate Parent)  
SMMC MOB II, Limited Partnership; FEIN: 36-4559866 (65.75% Controlled by Immediate Parent)  
Quality Health Alliance LLC; FEIN: 46-5686622 (100% Controlled by Immediate Parent)  
Quality Health Alliance - ACO LLC; FEIN: 46-5675954 (100% Controlled by Immediate Parent)  
Endoscopy Center at St. Mary, LP; FEIN: 20-5253361 (16.34% Controlled by Immediate Parent)  
St. Mary Rehabilitation Hospital; FEIN: 23-2627947 (100% Controlled by SMHC)  
Health Center of St. Mary, LLC; FEIN: 46-4907701 (100% Controlled by Immediate Parent)  
St. Francis Hospital, Inc. (Delaware); FEIN: 51-0064326 (100% Controlled by Trinity Health of the Mid-Atlantic Region)  
St. Francis Foundation; FEIN: 51-0374158 (100% Controlled by Immediate Parent)  
LIFE at St. Francis Healthcare, Inc.; FEIN: 45-2569214 (100% Controlled by Immediate Parent)  
Per CT Audit, ENTITY IS VOID IN DE AS OF 3/1/2016 - WAITING TO HEAR FINAL DISPOSITION FROM MINISTRY AND WHETHER ENTITY WILL BE REINSTATEMENT: Franciscan Eldercare Corporation; FEIN: 22-3008680 (100% Controlled by Immediate Parent)  
Delaware Care Collaboration ("DCC") LLC; FEIN: 47-4069475 (100% Controlled by Immediate Parent)  
St. Mary's Health Care System, Inc. [Georgia] dba St. Mary's Hospital; FEIN: 58-0566223 (100% Controlled by Trinity Health)  
St. Mary's Foundation, Inc.; FEIN: 58-2544232 (100% Controlled by Immediate Parent)  
St. Mary's Sacred Heart Hospital, Inc. dba HealthWorks; FEIN: 47-3752176 (100% Controlled by Immediate Parent)  
Sacred Heart Enterprises, LLC; FEIN: 35-2534772 (100% Controlled by Immediate Parent)  
Cobb Enterprises, LLC; FEIN: 20-8356011 (100% Controlled by Immediate Parent)  
Good Samaritan Hospital, Inc. dba St. Mary's Good Samaritan Hospital; FEIN: 26-1720984 (100% Controlled by Immediate Parent)  
St. Mary's Good Samaritan Foundation, Inc.; FEIN: 81-1660088 (100% Controlled by Immediate Parent)  
St. Mary's Good Samaritan Foundation, Inc.; FEIN: 81-1660088 (100% Controlled by Immediate Parent)  
St. Mary's Highland Hills, Inc. dba St. Mary's Highland Hills Village and dba Highland Hills Village; FEIN: 02-0576648 (100% Controlled by Immediate Parent)  
Athens Residential Properties, LLC; FEIN: Not Issued (100% Controlled by Immediate Parent)  
Maxis Health System (Pennsylvania); FEIN: 91-1940902 (100% Controlled by Trinity Health)  
St. Francis Medical Center, a New Jersey Nonprofit Corporation (New Jersey); FEIN: 22-3431049 (100% Controlled by Maxis Health System (PA) which is 100% Controlled by Trinity Health)  
St. Francis Medical Center Foundation, Inc.; FEIN: 52-1025476 (100% Controlled by Immediate Parent)  
LIFE St. Francis, a New Jersey Non-Profit Corporation (PACE); FEIN: 22-2797282 (100% Controlled by Immediate Parent)  
LifeCare Professional Corporation (Managed and Controlled but not Controlled by St. Francis Medical Center); FEIN: 26-1649038  
St. Francis Community Health Services, LLC; FEIN: 46-1801229 (100% Controlled by Immediate Parent)  
Central New Jersey Heart Services, LLC; FEIN: 20-8525458 (59.76% Controlled by St. Francis Medical Center)  
St. Francis Medical Associates, P.A.; FEIN: 100% Controlled by Immediate Parent  
St. Peter's Health Partners (New York); FEIN: 45-3570715 (100% Controlled by Trinity Health)  
United Hospital Center, Inc.; FEIN: 14-1383997 (100% Controlled by Immediate Parent) Samaritan Hospital of Troy, New York holds 16.5% interest  
The Burdett Care Center, Inc. (d/b/a Burdett Birch Center); FEIN: 27-2453649 (100% Controlled by SPHP)  
Capital Region UC LLC (d/b/a Walkforth); FEIN: 38-1244074 (100% Interest Held by SPHP)  
Innovative Health Alliance of New York, LLC (100% Controlled by Immediate Parent); FEIN: 46-5676066  
St. Peter's Hospital Foundation, Inc.; FEIN: 22-2262982 (100% Controlled by Immediate Parent)  
Manning Medical, PLLC (Nominally Controlled by SPHP Physician in accordance with NY law, SPHP exercises control through an Agreement and Reserve Powers); FEIN: 46-4331512  
Albany Advanced Imaging, P.L.L.C. dba St. Peter's Health Partners Imaging (Manning Medical PLLC controls 44.65%);  
Albany Radiology Partners, PLLC controls 55.35%; FEIN: 14-1813068 St. Peter's Health Partners Medical Associates, P.C.; FEIN: 46-1177336 (100% Controlled by Immediate Parent)  
St. Peter's Hospital of the City of Albany d/b/a St. Peter's Hospital; FEIN: 14-1348692 (100% Controlled by Immediate Parent)  
Villa Mary Immaculate d/b/a St. Peter's Nursing & Rehabilitation Center; FEIN: 14-1438749 (100% Controlled by Immediate Parent)  
St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%); FEIN: 46-0463892  
Our Lady of Mercy Life Center; FEIN: 14-1743506 (100% Controlled by Immediate Parent)  
Everett Road ASC, LLC; FEIN: 83-3542382 (SPHP holds 20% interest)  
The Community Hospice, Inc.; FEIN: 14-1460921 (100% Controlled by Immediate Parent)  
The Community Hospice Foundation, Inc.; FEIN: 14-1269100 (100% Controlled by Immediate Parent) Samaritan Hospital of Troy, New York holds 16.5% interest  
Hospital of Troy, New York d/b/a Hospital of Troy; FEIN: 14-1338544 (100% Controlled by Immediate Parent)  
Samaritan Hospital - St. Mary's Campus (dba of Samaritan Hospital); FEIN: 16-1338544 (100% Controlled by Immediate Parent)  
Alliance for Better Health Care, LLC (UV Samaritan Hospital 20%; Ellis Hospital 20%; Homemont Health 20%;  
St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%); FEIN: 47-2920659  
ENTITY MERGED WITH AND INTO SAMARITAN HOSPITAL OF TROY, NEW YORK (Filed 12/26/2019, Effective 1/1/2020):  
Memorial Hospital, Albany, N.Y. d/b/a Albany Memorial Hospital; FEIN: 14-1338457 (100% Controlled by Immediate Parent) The Northeast Health Foundation, Inc.; 22-2743478 (100% Controlled by Immediate Parent)  
Samaritan Child Care Center, Inc.; FEIN: 14-1710225 (100% Controlled by Immediate Parent)  
Sunnyview Hospital and Rehabilitation Center; FEIN: 14-1338386 (100% Controlled by Immediate Parent)  
Sunnyview Hospital and Rehabilitation Center Foundation, Inc.; FEIN: 22-2505127 (100% Controlled by Immediate Parent)  
LTC (Eddy), Inc. dba The Eddy; FEIN: 22-2564710 (100% Controlled by Immediate Parent)  
The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center; FEIN: 22-2570478 (100% Controlled by Immediate Parent)  
Capital Region Geriatric Center, Inc. dba Eddy Village Green; FEIN: 14-1701597 (100% Controlled by Immediate Parent)  
Heritage Home Nursing Center, Inc. dba Eddy Heritage Home Nursing and Rehabilitation Center; FEIN: 14-1725101 (100% Controlled by Immediate Parent)  
Senior Care Connection, Inc. dba Eddy Senior Care; FEIN: 14-1708754 (100% Controlled by Immediate Parent)  
Home Aide Service of Eastern New York, Inc. dba Eddy Visting Nurse Association; FEIN: 14-1514867 (100% Controlled by Immediate Parent)  
Beverwyck, Inc. dba Eddy Village Inc. at Beverwyck; FEIN: 14-1717028 (100% Controlled by Immediate Parent)  
Glen at Hillside Meadows, Inc.; FEIN: 14-1675293 (100% Controlled by Immediate Parent)  
The Glen at Hillside Meadows, Inc.; FEIN: 16-1529339 (50% Controlled by Immediate Parent)  
Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge; FEIN: 80-102840 (100% Controlled by Immediate Parent)  
The Marjorie Doyle Rockwell Center, Inc.; FEIN: 14-1793885 (100% Controlled by Immediate Parent)  
Beechwood, Inc. dba Beechwood Properties; FEIN: 14-1651563 (100% Controlled by Immediate Parent)  
Samaritan Medical Office Building, Inc.; FEIN: 14-1607244 (100% Controlled by Immediate Parent)  
Eddy Licensed Home Care Agency, Inc.; FEIN: 14-1818568 (100% Controlled by Immediate Parent)  
Empire Home Infusion Service, Inc. dba Northeast Home Medical Equipment; FEIN: 14-1795732 (100% Controlled by Immediate Parent)  
Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge; FEIN: 14-1756230 (100% Controlled by Immediate Parent)  
St. James Mercy Health System (New York); FEIN: 22-3127184 (100% Controlled by Trinity Health)  
SIM Properties, Inc.; FEIN: 16-1294991 (100% Controlled by Immediate Parent)  
Catholic Health System, Inc. (JOA - 50% Controlled by Trinity Health) [New York]; FEIN: 22-2565278  
Sisters of Charity Hospital Building Fund, Inc.; FEIN: 16-14743187 (100% Controlled by Immediate Parent)  
Sisters of Charity Hospital Foundation, Inc.; FEIN: 14-1253017 (100% Controlled by Immediate Parent)  
Kenmore Mercy Hospital; FEIN: 16-0752343 (100% Controlled by Immediate Parent)  
Kenmore Mercy Foundation, Inc.; FEIN: 16-1162371 (100% Controlled by Immediate Parent)  
KMH Homes, Inc.; FEIN: 16-1387890 (100% Controlled by Immediate Parent; Operationally Inactive)  
Catholic Health System Continuing Care Foundation; FEIN: 20-0947831 (100% Controlled by Immediate Parent)  
Mercy Hospital of Buffalo; FEIN: 16-0756336 (100% Controlled by Immediate Parent)  
Orchard Park Mercy Corp.; FEIN: 16-1470350 (100% Controlled by Immediate Parent)  
Alisce Abbott Corporation; FEIN: 16-1355092 (100% Controlled by Immediate Parent)  
Aurora Mercy Corp.; FEIN: 16-1354302 (100% Controlled by Immediate Parent)

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

Mercy Hospital Foundation, Inc.; FEIN: 22-2209721 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Hospital of Niagara Falls; FEIN: 16-1523353 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Hospital Foundation, Inc.; FEIN: 16-1360884 (100% Controlled by Immediate Parent)  
 Mount St. Mary's Child Care Center, Inc.; FEIN: 16-1523352 (100% Controlled by Immediate Parent)  
 The Board of Associates of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 16-1582926 (100% Controlled by Immediate Parent)  
 The St. Francis Guild of Mount St. Mary's Hospital of Niagara Falls, Inc.; FEIN: 51-0217790 (100% Controlled by Immediate Parent)  
 Niagara Medicine, P.C.; FEIN: 45-3669525 (Captive PC - CHS does not legally own but does control this entity through a Management Agreement)  
 Assets were sold 4/13/2015 but entity remains with CHS: Nazareth, Inc.; FEIN: 16-0813142 (100% Controlled by Immediate Parent; Operationally Inactive)  
 WNY Catholic Long Term Care, Inc. d/b/a Father Baker Manor (100% Controlled by Immediate Parent); FEIN: 16-1434368  
 Niagara Homemaker Services, Inc.; FEIN: 16-1311790 (100% Controlled by Immediate Parent)  
 Assets were sold 7/7/2016 but entity remains with CHS: Elizabethtown Home for the Aged, Inc.; FEIN: 16-0743167 (100% Controlled by Immediate Parent; Operationally Inactive)  
 Assets were sold 3/7/2016 but entity remains with CHS: Elizabethtown Home for the Aged, Inc.; FEIN: 16-0743154 (100% Controlled by Immediate Parent; Operationally Inactive)  
 McAuley-Seton Home Care Corporation; FEIN: 16-1310052 (100% Controlled by Immediate Parent)  
 St. Francis Geriatric and Healthcare Services, Inc.; FEIN: 16-1523535 (100% Controlled by Immediate Parent)  
 St. Clare Apartments Housing Development Fund Company, Inc. (50% Controlled by Immediate Parent); FEIN: 16-0782647  
 Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.; FEIN: 26-1252884 (100% Controlled by Immediate Parent)  
 Catholic Health System Infusion Pharmacy, Inc.; FEIN: 20-0198518 (100% Controlled by Immediate Parent)  
 Catholic Health System Home Respiratory, LLC (50% Controlled by Immediate Parent; Operationally Inactive); FEIN: 45-4134007  
 Our Lady of Victory Renaissance Corporation; FEIN: 20-0167745 (100% Controlled by Immediate Parent)  
 Our Lady of Victory Community Housing Development Organization, Inc.; FEIN: 20-0372194 (100% Controlled by Immediate Parent)  
 Our Lady of Victory Housing Development Fund Corp (100% Controlled by Immediate Parent); FEIN: 14-1930644  
 Smithtown GP, LLC (100% Controlled by Immediate Parent); FEIN: 57-3192758  
 Victory Ridge Apartments, L.P. (80% Controlled by Immediate Parent); FEIN: 57-1219731  
 Trinity Health, P.C.; FEIN: 16-0756764 (Captive PC)  
 Trinity Health, P.C.; FEIN: 16-0756764 (100% Controlled by Immediate Parent)  
 Catholic Medical Partners-Accountable Care IPA, Inc.; FEIN: (% Controlled by Immediate Parent) CH Emmaus, Inc.; FEIN: 82-1852345 (100% Controlled by Immediate Parent)  
 Assets were sold 3/7/2016 but entity remains with CHS:  
 St. Francis Home of Williamsville, New York (Inactive); FEIN: 16-0743153 (100% Controlled by Immediate Parent)  
 Baycare Health System, Inc. (JOA - 50.4% Controlled by Trinity Health, not all facilities Controlled; Other Parties to the JOA include Morton Plant Mease)  
 Morton Plant Mease Health Care, Inc.; FEIN: 59-2374556 (Entity is a Party to the JOA BayCare Health System; Membership of this entity is a Directors Trustees of Mease Hospital, Inc. d/b/a  
 Mease Countrywide Health Network; FEIN: 59-0855412 (100% Controlled by Immediate Parent)  
 Trustees of Mease Hospital, Inc.; FEIN: 59-0855412 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association; FEIN: d/b/a Morton Plant North Bay Hospital; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant North Bay Recovery Center; FEIN: 59-0624463 (100% Controlled by Immediate Parent)  
 Morton Plant Hospital Association, Inc. d/b/a Morton Plant Rehabilitation Center; FEIN: 59-0624462 (100% Controlled by Immediate Parent)  
 South Florida Hospital, Inc.; FEIN: 59-0594631 (Entity is a Party to the JOA BayCare Health System; Membership of this entity consists  
 Baycare Physician Partners, LLC; FEIN: 45-2908908 (100% Controlled by Immediate Parent)  
 Baycare Physician Partners ACO, LLC; FEIN: 46-5720072 (Members are Baycare Health System and 2 individuals)  
 Community Health Centers Alliance, Inc.; FEIN: 59-3631620 (100% Controlled by Immediate Parent)  
 BayCare Medical Group, Inc. (f/k/a Morton Plant Mease Primary Care, Inc.); FEIN: 59-3140335 (100% Controlled by Immediate Parent)  
 St. Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Children's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Women's Hospital; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital - North; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc. d/b/a St. Joseph's Hospital Behavioral Health Center; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital Care Center, Inc.; FEIN: 59-2595686 (100% Controlled by Trinity Health Corporation)  
 St. Joseph's Hospital, Inc.; FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 John Knox Village of Tampa Bay, Inc.; FEIN: 58-1377711 (100% Controlled by Immediate Parent)  
 HealthPoint Medical Group, Inc.; FEIN: 59-324268 (100% Controlled by Immediate Parent)  
 Franciscan Properties, Inc.; FEIN: 59-2822519 (100% Controlled by Immediate Parent)  
 St. Joseph's Community Care, Inc.; FEIN: 59-3152608 (100% Controlled by Immediate Parent)  
 St. Joseph's Enterprise, Inc.; FEIN: 59-2822516 (100% Controlled by Immediate Parent)  
 St. Anthony's Professional Building and Services, Inc.; FEIN: 59-2018848 (100% Controlled by Immediate Parent)  
 St. Anthony's Hospital, Inc.; FEIN: 59-2043026 (100% Controlled by Trinity Health Corporation)  
 St. Anthony's Hospital Auxiliary, Inc.; FEIN: 59-201974 (100% Controlled by Immediate Parent)  
 St. Anthony's Health Care Foundation, Inc.; FEIN: 59-2128991 (100% Controlled by Immediate Parent)  
 St. Anthony's Physician Surgery Center, LLC; FEIN: 01-0861245 (100% Controlled by Immediate Parent)  
 St. Anthony's Hospital, Inc. (f/k/a St. Joseph's Hospital Behavioral Health Center); FEIN: 59-0774199 (100% Controlled by Trinity Health Corporation)  
 Allegany Franciscan Ministry, Inc. (Florida); FEIN: 58-1232323 (100% Controlled by Trinity Health)  
 Global HealthCare Solutions, Inc. (GHS); FEIN: 42-1239327 (100% Controlled by Trinity Health)  
 Saint Joseph's Health System, Inc. (Essex); FEIN: 58-1744948 (100% Controlled by Trinity Health)  
 Saint Joseph's Mercy Care Services, Inc. dba Mercy Care; FEIN: 58-1752700 (100% Controlled by Immediate Parent)  
 Mercy Senior Care, Inc. dba Mercy Care Rome; FEIN: 58-1366508 (100% Controlled by Immediate Parent)  
 Mercy Care Foundation, Inc. (f/k/a Saint Joseph's Foundation, Inc.); FEIN: 58-1448522 (100% Controlled by Immediate Parent)  
 Mercy Services Downtown, Inc.; FEIN: 27-2046353 (100% Controlled by Immediate Parent)  
 SIIH/JOC Holdings, Inc.; FEIN: 47-2299757 (100% Controlled by Immediate Parent)  
 Emory/Saint Joseph's, Inc. (JOC - 49% Controlled by SIIH/JOC Holdings, Inc.); FEIN: 45-2721833  
 Holy Cross Hospital, Inc. (Florida); FEIN: 59-0791028 (100% Controlled by Trinity Health)  
 Holy Cross Primary Care, Inc.; FEIN: 81-2531495 (100% Controlled by Immediate Parent)  
 Nursing Network, Inc.; FEIN: 59-1145192 (100% Controlled by Immediate Parent)  
 Holy Cross Outpatient Services, Inc.; FEIN: 46-5421068 (100% Controlled by Immediate Parent)  
 Holy Cross Physician Partners, LLC; FEIN: 36-4721216 (100% Controlled by Immediate Parent)  
 Holy Cross Physician Partners ACO, LLC; FEIN: 46-5400455 (100% Controlled by Immediate Parent)  
 Physician Outpatient Services, Inc.; FEIN: 59-1145192 (100% Controlled by HCH); FEIN: 35-2325646  
 Atlantic Coast Health Network, LLC (WV with Atlantic Coast Holdings, Inc. - 50% Controlled by HCH); FEIN: 47-4756582  
 St. Joseph's Health, Inc. (New York); FEIN: 47-4754987 (100% Controlled by Trinity Health)  
 St. Joseph's Hospital Health Center; FEIN: 15-0532254 (100% Controlled by Immediate Parent)  
 S.J. Management Company of Syracuse, Inc.; FEIN: 27-1763712 (100% Controlled by Immediate Parent)  
 S.I.L.S. LLC (51% SIMCS, 34% Fresenius, 15% Physicians); FEIN: 20-1796650  
 St. Joseph's College of Nursing at St. Joseph's Hospital Health Center; FEIN: 20-2497520 (100% Controlled by Immediate Parent)  
 SJPE Practice Management Services, Inc.; FEIN: 45-4164964 (100% Controlled by Immediate Parent)  
 Plaza Corporation of Central New York (50% SJHHC, 50% Crouse Hospital); FEIN: 22-2800840  
 Iroquois Nursing Home, Inc.; FEIN: 16-1364582 (100% Controlled by Immediate Parent)  
 Mandala Gardens Housing Development Fund Company, Inc. (50% PNH, 50% Loretto Geriatric); FEIN: 27-3993174  
 Enriched Health Services, Inc.; FEIN: 16-1163942 (100% Controlled by Immediate Parent)  
 Plaza Foundation of Central New York, LLC; FEIN: 22-3800843 (100% Controlled by Immediate Parent)  
 Laboratory Alliance of Central New York, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent, but maintains a right to one less than one half of the Board Seats)  
 CNY AIM IPA, LLC; FEIN: 81-5385690 (100% Controlled by Immediate Parent)  
 CNY AIM, LLC; FEIN: 81-1461678 (100% Controlled by Immediate Parent)  
 St. Joseph's Health Accountable Care Organization, LLC; FEIN: 47-4081578 (100% Controlled by Immediate Parent)  
 St. Joseph's Hospital Health Center Foundation, Inc.; FEIN: 22-2149775 (100% Controlled by Immediate Parent)  
 St. Joseph's Health Center Properties, Inc.; FEIN: 23-7219294 (100% Controlled by Immediate Parent)  
 Radisson SJH Properties, LLC (50% St. Joseph's Health Center Properties, 50% Radisson Partners, LLC); FEIN: 46-1892799  
 Franciscan Services, Inc.; FEIN: 20-2991688 (100% Controlled by Immediate Parent)  
 Franciscan Management Services, Inc.; FEIN: 16-1351193 (100% Controlled by Immediate Parent)  
 St. Elizabeth Health Support Services, Inc.; FEIN: 16-1594865  
 St. Elizabeth Health Support Services, Inc.; FEIN: 16-1594865  
 Franciscan Health Support, Inc.; FEIN: 16-1236354 (100% Controlled by Immediate Parent)  
 Franciscan Health Support Services, LLC (d/b/a Oneida Health Support, Auburn Health Support, Mountain Lakes Health Support); FEIN: 16-1236354 (100% Controlled by Immediate Parent)  
 Health Care Management Administrators, Inc.; FEIN: 16-1450960 (100% Controlled by Immediate Parent)  
 Health Care Management Administrators, Inc.; FEIN: 16-1450960 (100% Controlled by Immediate Parent)  
 Near Northside Holdings, LLC; FEIN: Not Yet Applied For (100% Controlled by Immediate Parent)  
 Embracing Age, Inc.; FEIN: 46-1051881 (100% Controlled by Immediate Parent)  
 Oswego Health Home Care, LLC (49% Embracing Age and 60% Oswego Health); FEIN: 47-2463736  
 St. Joseph's Physician Health, P.C.; FEIN: 16-1516863 (Captive PC)  
 St. Joseph's Medical, P.C.; FEIN: 27-3899821 (Captive PC)  
 St. Joseph's Imaging Associates, PLLC (60% Prospect Hill Radiology Group, 40% SJMPC); FEIN: 16-1104293  
 Concordia Healthcare Network, LLC; FEIN: (50% Controlled by Immediate Parent)  
 Trinity Health Of New England Corporation, Inc. (formerly Trinity Health - New England, Inc.) (Connecticut); FEIN: 06-1491191 (100% Controlled by Trinity Health)  
 Trinity Health Of New England Urgent Care, Inc.; FEIN: 26-2663996 (51% Controlled by Trinity Health Of New England Corporation, Inc.; 49% Controlled by Premier Health Consultants, LLC)  
 Saint Francis Health Care Partners Foundation, Inc.; FEIN: 16-0646133 (100% Controlled by Immediate Parent)  
 Lighthouse Surgery Center, LLC; FEIN: 82-2095115 (35% Controlled by Saint Francis Hospital and 65% by Orthopedic Physicians)  
 Saint Francis Hospital and Medical Center Foundation, Inc.; FEIN: 06-1008255 (100% Controlled by Immediate Parent)  
 Collaborative Laboratory Services, LLC; FEIN: 06-1520109 (100% Controlled by Immediate Parent)  
 Mount Sinai Hospital Foundation, Inc.; FEIN: 22-2584082 (100% Controlled by Immediate Parent)  
 Saint Francis GI Endoscopy, LLC (49% SFHMC); FEIN: 20-5540278  
 Medworks, LLC (51% SFHMC); FEIN: 06-1490483  
 Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health); FEIN: 06-1384686 (100% Controlled by Immediate Parent)  
 Saint Francis Care Medical Group, P.C. (Nominee Shareholder, SVP Medical Affairs); FEIN: 06-1432373 (100% Controlled by Immediate Parent)  
 Mount Sinai Rehabilitation Hospital, Inc.; FEIN: 06-1422973 (100% Controlled by Immediate Parent)  
 SFH/FF, LLC (100% Controlled by MSHH); FEIN: 06-1489749  
 Trinity Health Of New England Provider Network Organization, Inc. (formerly Trinity Health-New England Physician Network Organization; FEIN: 06-1450168 (100% Controlled by Immediate Parent)  
 Saint Francis Health Care Partners Foundation, Inc.; FEIN: 20-5540278 (100% Controlled by Immediate Parent)  
 Total Health Connect, Inc.; FEIN: 47-4070034 (40% Controlled by Trinity)  
 Asylum Hill Family Medical Center, Inc.; FEIN: 06-1450170 (100% Controlled by Immediate Parent)  
 Saint Francis HealthCare Partners, Inc. (50% Trinity Health - New England, Inc.); FEIN: 06-1391257  
 Saint Francis HealthCare Partners Foundation, Inc.; FEIN: 20-8176133 (100% Controlled by Saint Francis HealthCarePartners, Inc.)  
 Saint Francis HealthCare Partners ACO, Inc.; FEIN: 46-1315402 (100% Controlled by Immediate Parent)

# STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP PART 1 – ORGANIZATIONAL CHART

The Connecticut Care Alliance, LLC; FEIN: 81-3460138 (100% Controlled by Immediate Parent)  
Connecticut Affiliated Physicians Purchasing Group, LLC; FEIN: 81-4362177 (100% Controlled by Immediate Parent)  
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint  
Connecticut Occupational Medicine Partners, LLC; FEIN: 06-1586674 (50% Controlled by THONE; 20% Controlled by JMMC)  
Johnson Memorial Hospital, Inc.; FEIN: 47-5676956 (100% Controlled by Immediate Parent)  
Trinity Health Of New England Emergency Medical Services, Inc.; FEIN: (100% Controlled by Immediate Parent)  
The Mercy Hospital, Inc. dba Mercy Medical Center, Providence Behavioral Health Hospital, Weldon Rehabilitation Hospital, Family Life Center  
Assets Transferred to THHS, but entity remains: Providence HomeCare, Inc. dba Mercy Home Care, Inc.; FEIN: 04-3317426 (100% Controlled by Immediate Parent)  
Mercy Inpatient Medical Associates, Inc. dba Breast Care Center; dba MercyCare - Forest Park; dba Providence Prenatal Center of Holyoke; FEIN: 04-3029929 (100% Controlled by Immediate Parent)  
System Coordinated Services, Inc. dba Life Laboratories; FEIN: 04-2938161 (100% Controlled by Immediate Parent)  
Catherine Horan Building Corporation; FEIN: 04-2938160 (100% Controlled by Immediate Parent)  
Catherine Horan Building Corporation Partnership; FEIN: 04-2723429 (100% Controlled by Immediate Parent)  
The Lifespan Partnership LLC (IV with NEPA; 50% Controlled by Immediate Parent); FEIN: 26-0021080  
Greater Springfield MRI Limited Partnership; FEIN: 04-3178855 (50% Controlled by System Coordinated Services)  
Mercy Health Accountable Care Organization, LLC; FEIN: 82-1007572 (100% Controlled by Immediate Parent)  
Mercy Physicians, P.C.; FEIN: 000857412 (100% Controlled by Immediate Parent)  
Brightside, Inc.; FEIN: 04-2182395 (100% Controlled by Immediate Parent)  
Mercy Care Alliance, LLC; FEIN: 47-1561725 (100% Controlled by Immediate Parent)  
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint  
Pioneer Valley Cardiology Associates, Inc.; FEIN: 45-4208896 (100% Controlled by Immediate Parent)  
Mercy Specialties Physicians, Inc.; FEIN: 26-4033166 (100% Controlled by Immediate Parent)  
Mercy Medical Group, Inc.; FEIN: 45-4884803 (100% Controlled by Immediate Parent)  
Farren Care Center, Inc.; FEIN: 04-2501711 (100% Controlled by Immediate Parent)  
Riverbend Medical Group, Inc.; FEIN: 04-2501730 (100% Controlled by Immediate Parent)  
St. Peter's Physician Care Center, Inc.; FEIN: 04-2541103 (100% Controlled by Immediate Parent)  
Saint Mary's Hospital, Inc.; FEIN: 06-0546844 (100% Controlled by Immediate Parent)  
The Harold Lester Regional Cancer Center, Inc.; FEIN: 06-1546409 (50% Controlled by Immediate Parent)  
Heart Center of Greater Waterbury, Inc.; FEIN: 83-0416893 (50% Controlled by Immediate Parent)  
Franklin Medical Group, P.C.; FEIN: 06-1470493 (Nominee Shareholder of Physician Group)  
Diagnostic Imaging of Southbury, LLC; FEIN: 06-1487582 (60% Controlled by Immediate Parent)  
Naugatuck Valley MRI, LLC; FEIN: 06-1239526 (78.3% Controlled by Immediate Parent)  
Saint Mary's Physician Partners, LLC; FEIN: 46-5760769 (100% Controlled by Immediate Parent)  
Trinity Health Of New England ACO LLC; FEIN: 83-3165256 (33 1/3% Controlling Interest held by each of Mercy Care Alliance, LLC; Saint Saint Mary's Hospital Foundation, Inc.; FEIN: 22-2528400 (100% Controlled by Immediate Parent)

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## **SCHEDULE Y**

## **PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.  
**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Liabilities Line 23

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. CMS Premium receivable Part C .....	434,091		434,091	487,822
2305. Other liabilities .....	46,685		46,685	46,685
2306. 2019 and 2020 FYRA Risk Revenue .....	(8,507,831)		(8,507,831)	(9,537,476)
2397. Summary of remaining write-ins for Line 23 from overflow page	(8,027,055)	0	(8,027,055)	(9,002,969)

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4+5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest in investment and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment including accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....		

**NONE****SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	205,129,921	179,212,782
2. Cost of bonds and stocks acquired .....	40,036,628	93,421,797
3. Accrual of discount .....	32,994	135,229
4. Unrealized valuation increase (decrease) .....	(14,830,451)	6,908,047
5. Total gain (loss) on disposals .....	(696,786)	7,339,869
6. Deduct consideration for bonds and stocks disposed of .....	24,199,221	80,723,389
7. Deduct amortization of premium .....	74,882	333,599
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....	121,809	894,928
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		64,113
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9+10) .....	205,276,394	205,129,921
12. Deduct total nonadmitted amounts .....		0
13. Statement value at end of current period (Line 11 minus Line 12) .....	205,276,394	205,129,921

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	267,498,653	227,539,182	248,247,098	170,347	246,961,084	0	0	267,498,653
2. NAIC 2 (a) .....	20,424,126	3,659,349	345,000	117,942	23,856,417	0	0	20,424,126
3. NAIC 3 (a) .....	0				0			
4. NAIC 4 (a) .....	0				0			
5. NAIC 5 (a) .....	0				0			
6. NAIC 6 (a) .....	0				0			
7. Total Bonds .....	287,922,779	231,198,531	248,592,098	288,289	270,817,501	0	0	287,922,779
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0				0			
9. NAIC 2 .....	0				0			
10. NAIC 3 .....	0				0			
11. NAIC 4 .....	0				0			
12. NAIC 5 .....	0				0			
13. NAIC 6 .....	0				0			
14. Total Preferred Stock .....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock .....	287,922,779	231,198,531	248,592,098	288,289	270,817,501	0	0	287,922,779

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$ .....110,476,641 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	43,968,997	XXX	43,864,003	8,125	1,794

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	33,400,245	43,012,856
2. Cost of short-term investments acquired .....	38,849,054	100,744,610
3. Accrual of discount .....	162,055	869,879
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	5,575	111,232,675
6. Deduct consideration received on disposals .....	28,442,356	0
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	43,968,997	33,400,245
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	43,968,997	33,400,245

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	118,154,828	102,115,660
2. Cost of cash equivalents acquired .....	207,523,360	949,287,173
3. Accrual of discount .....	105,779	597,361
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	(54)	0
6. Deduct consideration received on disposals .....	230,623,144	933,845,311
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	95,160,823	118,154,828
11. Deduct total nonadmitted amounts .....	0	0
<b>12. Statement value at end of current period (Line 10 minus Line 11)</b>	<b>95,160,823</b>	<b>118,154,828</b>

Schedule A - Part 2 - Real Estate Acquired and Additions Made  
**N O N E**

Schedule A - Part 3 - Real Estate Disposed  
**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made  
**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid  
**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made  
**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid  
**N O N E**

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Adminis- trative Symbol
3140FO-JX-3	FN BC4777 - RMBS		.01/09/2020	PERSHING LLC		.1,296,273	.1,277,608	.799	1
31418D-HD-7	FN MA3827 - RMBS		.01/09/2020	TD Securities LLC		.1,382,926	.1,367,226	.855	1
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						<b>2,679,201</b>	<b>2,644,834</b>	<b>1,653</b>	<b>XXX</b>
03027X-BA-7	AMERICAN TOWER CORP		.01/07/2020	J.P. MORGAN SECURITIES INC.	.647,140	.650,000		.0	2FE
031162-CL-2	AMGEN INC		.02/18/2020	BARCLAYS CAPITAL	.1,199,580	.1,200,000		.0	2FE
12596T-AA-9	CNH 19B A1 - ABS		.01/02/2020	VARIOUS	.193,943	.193,943		.0	1FE
14043M-AA-9	COPAR 201 A1 - ABS		.02/11/2020	Dain Rauscher	.600,000	.600,000		.0	1FE
14043M-AC-5	COPAR 201 A3 - ABS		.02/11/2020	RBC CAPITAL MARKETS	.999,787	.1,000,000		.0	1FE
22822V-AR-2	CROWN CASTLE INTERNATIONAL CORP		.03/31/2020	Morgan Stanley	.198,358	.200,000		.0	2FE
23338V-AK-2	DTE ELECTRIC CO		.02/11/2020	JPMORGAN SECURITIES INC, NEW YORK	.599,304	.600,000		.0	1FE
29278N-AQ-6	ENERGY TRANSFER OPERATING LP		.01/07/2020	DEUTSCHE BANK SECURITIES, INC.	.199,686	.200,000		.0	2FE
29379V-BX-0	ENTERPRISE PRODUCTS OPERATING LLC		.01/06/2020	CITIGROUP GLOBAL MARKETS INC.	.569,550	.570,000		.0	2FE
31677Q-BR-9	FIFTH THIRD BANK NA (OHIO)		.01/28/2020	MORGAN STANLEY AND CO INC	.249,823	.250,000		.0	1FE
35137L-AM-7	FOX CORP		.03/31/2020	SALOMON BROTHERS INC	.169,735	.170,000		.0	2FE
36259K-AA-5	GMAUT 201 A1 - ABS		.02/10/2020	CHASE SECURITIES INC	.600,000	.600,000		.0	1FE
373334-KL-4	GEORGIA POWER CO		.01/08/2020	BARCLAYS CAPITAL INC	.394,064	.400,000		.3,533	1FE
437076-BY-7	HOME DEPOT INC		.01/06/2020	Merrill Lynch	.625,668	.600,000		.1,377	1FE
43813R-AC-1	HAROT 201 A3 - ABS		.02/19/2020	J P MORGAN SECURITIES	.1,249,755	.1,250,000		.0	1FE
446150-AS-3	HUNTINGTON Bancshares INC		.01/28/2020	MORGAN STANLEY & CO LLC	.248,993	.250,000		.0	
74340X-BM-2	PROLOGIS LP		.02/03/2020	WELLS FARGO BANK N.A.	.918,812	.925,000		.0	1FE
961214-EM-1	WESTPAC BANKING CORP	C	.01/23/2020	CITIGROUP GLOBAL MARKETS, INC./CORRESPON	.675,000	.675,000		.0	2FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						<b>10,339,197</b>	<b>10,333,943</b>	<b>4,910</b>	<b>XXX</b>
<b>8399997. Total - Bonds - Part 3</b>						<b>13,018,397</b>	<b>12,978,777</b>	<b>6,563</b>	<b>XXX</b>
<b>8399998. Total - Bonds - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8399999. Total - Bonds</b>						<b>13,018,397</b>	<b>12,978,777</b>	<b>6,563</b>	<b>XXX</b>
<b>8999997. Total - Preferred Stocks - Part 3</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
<b>8999998. Total - Preferred Stocks - Part 5</b>						<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>XXX</b>
<b>8999999. Total - Preferred Stocks</b>						<b>0</b>	<b>XXX</b>	<b>0</b>	<b>XXX</b>
000000-00-0	Mount Carmel Health Plan of New York		.03/02/2020	Unknown	.1,000	.21,790,583			
00206R-10-2	AT&T ORD		.03/10/2020	Northern Trust	.88,000	.3,279			
002824-10-0	ABBOTT LABORATORIES ORD		.02/28/2020	Northern Trust	.17,000	.1,261			
00287Y-10-9	ABBVIE ORD		.03/04/2020	CITIGROUP GLOBAL MARKETS INC.	.17,000	.1,538			
00289Y-10-7	ABEONA THERAPEUTICS ORD		.02/19/2020	LIQUIDNET, INC.	.1,601,000	.6,595			
00404A-10-9	ACADIA HEALTHCARE COMPANY ORD		.01/08/2020	LIQUIDNET, INC.	.468,000	.15,610			
00422S-10-8	ACADIA PHARMACEUTICALS ORD		.03/12/2020	CITIGROUP GLOBAL MARKETS INC.	.540,000	.19,161			
00507V-10-9	ACTIVISION BLIZZARD ORD		.02/07/2020	Northern Trust	.29,000	.1,779			
00650F-10-9	ADAPTIVE BIOTECHNOLOGIES ORD		.01/24/2020	Bear Stearns	.857,000	.24,097			
00724F-10-1	ADOBE ORD		.02/28/2020	Northern Trust	.4,000	.1,331			
00773U-10-8	ADVERUM BIOTECHNOLOGIES ORD		.01/13/2020	LIQUIDNET, INC.	.954,000	.10,492			
007903-10-7	ADVANCED MICRO DEVICES ORD		.02/28/2020	Northern Trust	.76,000	.3,227			
00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		.03/25/2020	Barclays Bank - CP	.460,000	.13,186			
008492-10-0	AGREE REALTY REIT ORD		.02/28/2020	BERNSTEIN (SANFORD C) & CO.	.110,000	.7,901			
009158-10-6	AIR PRODUCTS AND CHEMICALS ORD		.03/10/2020	Northern Trust	.6,000	.1,269			
013872-10-6	ALCOA ORD		.02/04/2020	VARIOUS	.821,000	.12,221			
015271-10-9	ALEXANDRIA REAL ESTATE EQ REIT ORD		.02/07/2020	JP MORGAN	.18,000	.3,028			
017175-10-0	ALLEGHANY ORD		.03/27/2020	BERNSTEIN (SANFORD C) & CO.	.91,000	.50,175			
02079K-10-7	ALPHABET CL C ORD		.03/10/2020	VARIOUS	.3,000	.4,154			
02079K-30-5	ALPHABET CL A ORD		.03/10/2020	Northern Trust	.2,000	.2,726			
023135-10-6	AMAZON COM ORD		.03/25/2020	VARIOUS	.4,000	.7,834			
025537-10-1	AMERICAN ELECTRIC POWER ORD		.03/10/2020	Northern Trust	.13,000	.1,271			
02665T-30-6	AMERICAN HOMES 4 RENT CL A REIT ORD		.03/13/2020	VARIOUS	.4,381,000	.122,490			
030506-10-9	AMERICAN WOODMARK ORD		.02/26/2020	VARIOUS	.295,000	.29,758			
030640-10-8	AMERICOLD REALTY ORD		.03/17/2020	Morgan Stanley	.311,000	.7,381			
03076K-10-8	AMERIS BANCORP ORD		.01/24/2020	Stifel Nicolaus & Co.	.1,592,000	.66,419			
03662Q-10-5	ANSYS ORD		.01/10/2020	Northern Trust	.7,000	.1,838			
03753U-10-6	APELLIS PHARMACEUTICALS ORD		.01/09/2020	CITIGROUP GLOBAL MARKETS INC.	.200,000	.7,400			
037833-10-0	APPLE ORD		.03/10/2020	VARIOUS	.28,000	.8,569			
03990B-10-1	ARES MANAGEMENT CL A ORD		.03/25/2020	VARIOUS	.675,000	.21,685			
040047-60-7	ARENA PHARMACEUTICALS ORD		.02/28/2020	JEFFERIES & COMPANY, INC.	.118,000	.4,952			

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation and Adminis- trative Symbol
04351P-10-1	ASCENDIS PHARMA ADR REP ORD	C.	.03/01/2020	COHEN AND COMPANY, LLC	.27,000	.3,444		0	
04532T-10-3	ASPEN TECHNOLOGY ORD		.02/13/2020	GOLDMAN	.47,000	.5,979		0	
052769-10-6	AUTODESK ORD		.02/07/2020	CITIGROUP GLOBAL MARKETS INC.	.8,000	.1,645		0	
053015-10-3	AUTOMATIC DATA PROCESSING ORD		.01/10/2020	MORGAN STANLEY	.10,000	.1,720		0	
05338G-10-6	AVALARA ORD		.03/20/2020	BERNSTINE (SANFORD C) & CO.	.484,000	.28,791		0	
053484-10-1	AVALONBAY COMMUNITIES REIT ORD		.03/04/2020	MORGAN STANLEY	.7,000	.1,508		0	
054540-20-8	AXCELIS TECHNOLOGIES ORD		.03/03/2020	VARIOUS	.2,128,000	.52,963		0	
05455M-10-0	AVBROBIO ORD		.02/13/2020	VARIOUS	.833,000	.19,636		0	
05508R-10-6	B AND G FOODS ORD		.03/17/2020	VARIOUS	.1,141,000	.17,719		0	
05605H-10-0	BIX TECHNOLOGIES ORD		.03/11/2020	VARIOUS	.1,193,000	.67,774		0	
060505-10-4	BANK OF AMERICA ORD		.02/07/2020	Northern Trust	.56,000	.1,924		0	
071813-10-9	BAXTER INTERNATIONAL ORD		.01/10/2020	MORGAN STANLEY	.21,000	.1,827		0	
084670-70-2	BERKSHIRE HATHAWAY CL B ORD		.03/25/2020	VARIOUS	.14,000	.2,700		0	
09203E-10-5	BLACK DIAMOND THERAPEUTICS ORD		.01/30/2020	Bear Stearns	.700,000	.13,300		0	
09215C-10-5	BLACK KNIGHT ORD		.03/12/2020	INVESTMENT TECHNOLOGY GROUP, I	.105,000	.6,512		0	
092270-10-0	BLACKBAUD ORD		.02/12/2020	Dain Rauscher	.133,000	.10,674		0	
097023-10-5	BOEING ORD		.03/04/2020	MORGAN STANLEY	.5,000	.1,405		0	
100557-10-7	BOSTON BEER CL A ORD		.03/31/2020	VARIOUS	.128,000	.46,238		0	
101137-10-7	BOSTON SCIENTIFIC ORD		.01/10/2020	Northern Trust	.38,000	.1,746		0	
110122-10-8	BRISTOL MYERS SQUIBB ORD		.03/04/2020	Northern Trust	.25,000	.1,515		0	
11135F-10-1	BROADCOM ORD		.02/07/2020	JP MORGAN	.6,000	.1,910		0	
127097-10-3	CABOT OIL & GAS ORD		.03/06/2020	VARIOUS	.1,440,000	.21,644		0	
127190-30-4	CACI INTERNATIONAL CL A ORD		.02/19/2020	VARIOUS	.211,000	.59,982		0	
133131-10-2	CAMDEN PROPERTY REIT ORD		.02/24/2020	VARIOUS	.186,000	.21,536		0	
141788-10-9	CARGURUS CL A ORD		.02/27/2020	Barclays Bank - CP	.504,000	.13,189		0	
146229-10-9	CARTERS ORD		.02/24/2020	COHEN AND COMPANY, LLC	.188,000	.18,045		0	
149568-10-7	CAVCO INDUSTRIES ORD		.03/17/2020	COHEN AND COMPANY, LLC	.128,000	.19,409		0	
15135B-10-1	CENTENE ORD		.01/23/2020	Unknown	.216,320	.7,932		0	
156504-30-0	CENTURY COMMUNITIES ORD		.03/18/2020	VARIOUS	.2,735,000	.77,394		0	
15912K-10-0	CHANGE HEALTHCARE ORD		.03/13/2020	VARIOUS	.387,892	.5,008		0	
163092-10-9	CHEGG ORD		.03/26/2020	VARIOUS	.1,404,000	.53,248		0	
166764-10-0	CHEVRON ORD		.03/10/2020	Northern Trust	.16,000	.1,373		0	
171798-10-1	CIMAREX ENERGY ORD		.03/19/2020	VARIOUS	.634,000	.9,612		0	
17243V-10-2	CINEMARK HOLDINGS ORD		.03/13/2020	VARIOUS	.459,000	.7,610		0	
17275R-10-2	CISCO SYSTEMS ORD		.02/07/2020	Northern Trust	.34,000	.1,653		0	
172908-10-5	CINTAS ORD		.02/07/2020	JP MORGAN	.5,000	.1,463		0	
172967-42-4	CITI GROUP ORD		.02/28/2020	Northern Trust	.22,000	.1,356		0	
174610-10-5	CITIZENS FINANCIAL GROUP ORD		.03/26/2020	VARIOUS	.3,981,000	.82,700		0	
184496-10-7	CLEAN HARBORS ORD		.03/27/2020	VARIOUS	.738,000	.51,221		0	
191216-10-0	COCA-COLA ORD		.03/10/2020	Northern Trust	.26,000	.1,380		0	
192422-10-3	COGNEX ORD		.03/06/2020	INVESTMENT TECHNOLOGY GROUP, I	.228,000	.10,136		0	
192446-10-2	COGNIZANT TECHNOLOGY SOLUTN CL A ORD		.03/10/2020	Northern Trust	.24,000	.1,336		0	
192479-10-3	COHERENT ORD		.01/31/2020	INVESTMENT TECHNOLOGY GROUP, I	.50,000	.7,091		0	
198516-10-6	COLUMBIA SPORTSWEAR ORD		.02/07/2020	VARIOUS	.365,000	.33,415		0	
20030N-10-1	COMCAST CL A ORD		.01/10/2020	KCG AMERICAS LLC	.36,000	.1,635		0	
201723-10-3	COMMERCIAL METALS ORD		.03/31/2020	VARIOUS	.1,551,000	.21,978		0	
210373-10-6	CONSTELLATION PHARMACEUTICALS ORD		.01/28/2020	First Boston Corp	.128,000	.4,493		0	
22160K-10-5	COSTCO WHOLESALE ORD		.01/10/2020	Northern Trust	.5,000	.1,500		0	
22822V-10-1	CROWN CASTLE INTERNATIONAL REIT ORD		.02/07/2020	Northern Trust	.12,000	.1,813		0	
235851-10-2	DANAHER ORD		.03/25/2020	INSTINET INVESTMENT SERVICES LIMITED	.9,000	.1,159		0	
238337-10-9	DAVE BUSTERS ENTERTAINMENT ORD		.02/04/2020	VARIOUS	.399,000	.17,739		0	
243537-10-7	DECKERS OUTDOOR ORD		.03/23/2020	JEFFERIES & COMPANY, INC.	.78,000	.8,138		0	
24665A-10-3	DELEK US HOLDINGS ORD		.03/30/2020	VARIOUS	.122,000	.1,810		0	
25278X-10-9	DIAMONDBACK ENERGY ORD		.03/19/2020	VARIOUS	.847,000	.20,144		0	
253031-10-8	DICERNA PHARMACEUTICALS ORD		.02/04/2020	COHEN AND COMPANY, LLC	.259,000	.4,997		0	
253868-10-3	DIGITAL REALTY REIT ORD		.03/11/2020	JP MORGAN	.80,000	.10,960		0	
254687-10-6	WALT DISNEY ORD		.03/25/2020	VARIOUS	.26,000	.3,260		0	
25746U-10-9	DOMINION ENERGY ORD		.03/04/2020	MORGAN STANLEY	.17,000	.1,455		0	
25960P-10-9	DOUGLAS EMMETT REIT ORD		.03/13/2020	VARIOUS	.1,400,000	.45,259		0	
260557-10-3	DOW ORD		.02/07/2020	CITIGROUP GLOBAL MARKETS INC.	.31,000	.1,503		0	
26441C-20-4	DUKE ENERGY ORD		.03/10/2020	Northern Trust	.13,000	.1,247		0	

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

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Show All Long-Term Bonds and Stock Acquired During the Current Quarter

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265504-10-0	DUNKIN BRANDS GROUP ORD		.03/09/2020	GOLDMAN	.477,000	.36,097		0	
267475-10-1	DYCOM INDUSTRIES ORD		.03/27/2020	Morgan Stanley	.878,000	.21,152		0	
26884L-10-9	EOT ORD		.03/13/2020	VARIOUS	1,424,000	.9,284		0	
278865-10-0	ECOLAB ORD		.02/07/2020	JP MORGAN	9,000	1,864		0	
28176E-10-8	EDWARDS LIFESCIENCES ORD		.02/28/2020	Northern Trust	6,000	1,215		0	
282914-10-0	8X8 ORD		.02/20/2020	Warburg Dillon Reed	.791,000	16,447		0	
29444U-70-0	EQUINIX REIT ORD		.01/10/2020	Northern Trust	.3,000	1,780		0	
29786A-10-6	ETSY ORD		.03/23/2020	VARIOUS	1,421,000	.68,588		0	
30231G-10-2	EXXON MOBIL ORD		.02/07/2020	GOLDMAN SACHS	.32,000	1,967		0	
30303M-10-2	FACEBOOK CL A ORD		.03/10/2020	Northern Trust	.17,000	3,292		0	
31428X-10-6	FEDEX ORD		.03/25/2020	MERRILL LYNCH & CO	.10,000	1,205		0	
336433-10-7	FIRST SOLAR ORD		.03/16/2020	VARIOUS	1,659,000	.63,637		0	
344849-10-4	FOOT LOCKER ORD		.03/09/2020	VARIOUS	.723,000	24,841		0	
34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		.03/20/2020	VARIOUS	.218,000	.10,617		0	
34983P-10-4	FOURTY SEVEN ORD		.03/23/2020	GOLDMAN	.155,000	14,463		0	
349853-10-1	FORWARD AIR ORD		.03/25/2020	LIQUINET, INC.	.569,000	28,020		0	
369550-10-8	GENERAL DYNAMICS ORD		.03/04/2020	MORGAN STANLEY	.9,000	1,473		0	
370334-10-4	GENERAL MILLS ORD		.02/07/2020	INSTINET INVESTMENT SERVICES LIMITED	.29,000	1,502		0	
37940X-10-2	GLOBAL PAYMENTS ORD		.01/10/2020	Northern Trust	.9,000	1,729		0	
38000Q-10-2	GLYCOMIMETICS ORD		.03/23/2020	LIQUINET, INC.	.907,000	1,872		0	
40171V-10-0	GUIDEWIRE SOFTWARE ORD		.02/04/2020	CITATION GROUP	.52,000	6,060		0	
40412C-10-1	HCA HEALTHCARE ORD		.02/07/2020	JP MORGAN	.11,000	1,640		0	
40434L-10-5	HP ORD		.01/10/2020	Northern Trust	.80,000	1,703		0	
431475-10-2	HILL ROM HOLDINGS ORD		.03/11/2020	VARIOUS	.956,000	.107,781		0	
436106-10-8	HOLLYFRONTIER ORD		.03/30/2020	VARIOUS	.75,000	1,730		0	
437076-10-2	HOME DEPOT ORD		.03/04/2020	CITIGROUP GLOBAL MARKETS INC.	.7,000	1,645		0	
438516-10-6	HONEYWELL INTERNATIONAL ORD		.02/28/2020	Northern Trust	.9,000	1,364		0	
443320-10-6	HUB GROUP CL A ORD		.01/27/2020	VARIOUS	.520,000	.28,008		0	
443573-10-0	HUBSPOT ORD		.02/04/2020	First Boston Corp	.21,000	3,876		0	
447011-10-7	HUNTSMAN ORD		.02/14/2020	CITATION GROUP	1,400,000	.29,324		0	
447462-10-2	HURON CONSULTING GROUP ORD		.02/24/2020	Warburg Dillon Reed	.69,000	4,495		0	
44930G-10-7	ICU MEDICAL ORD		.03/11/2020	COWEN AND COMPANY, LLC	.28,000	5,158		0	
452308-10-9	ILLINOIS TOOL ORD		.03/10/2020	Northern Trust	.8,000	1,282		0	
452327-10-9	ILLUMINA ORD		.02/07/2020	Northern Trust	.5,000	1,505		0	
45253H-10-1	IMMUNOGEN ORD		.03/24/2020	VARIOUS	4,328,000	.16,199		0	
45687V-10-6	INGERSOLL RAND INC.		.03/02/2020	VARIOUS	.470,014	.10,664		0	
457985-20-8	INTEGRA LIFESCIENCES HOLDINGS ORD		.03/11/2020	VARIOUS	.211,000	.10,733		0	
458140-10-0	INTEL ORD		.02/07/2020	KCG AMERICAS LLC	.29,000	1,941		0	
45826H-10-9	INTEGER HOLDINGS ORD		.03/27/2020	VARIOUS	.605,000	44,452		0	
459200-10-1	INTERNATIONAL BUSINESS MACHINES ORD		.03/10/2020	Northern Trust	.11,000	1,345		0	
460690-10-0	INTERPUBLIC GROUP OF COMPANIES ORD		.03/26/2020	VARIOUS	.5,051,000	.75,760		0	
462260-10-0	IOVANCE BIOTHERAPEUTICS ORD		.02/04/2020	JEFFERIES & COMPANY, INC.	.226,000	5,256		0	
46590V-10-0	JBG SMITH PROPERTIES ORD		.03/12/2020	VARIOUS	5,307,000	206,391		0	
46625H-10-0	JPMORGAN CHASE ORD		.03/25/2020	VARIOUS	.32,000	3,765		0	
47580P-10-3	JELD WEN HOLDING ORD		.03/18/2020	VARIOUS	3,882,000	.80,433		0	
477143-10-1	JETBLUE AIRWAYS ORD		.02/12/2020	VARIOUS	1,698,000	.35,349		0	
478160-10-4	JOHNSON & JOHNSON ORD		.03/25/2020	VARIOUS	.24,000	3,355		0	
483007-70-4	KAISER ALUMINUM ORD		.03/03/2020	BERNSTEIN (SANFORD C) & CO.	.111,000	.10,705		0	
48666K-10-9	KB HOME ORD		.03/13/2020	VARIOUS	2,282,000	.53,473		0	
489170-10-0	KENNAMETAL ORD		.03/25/2020	VARIOUS	.1,799,000	.50,288		0	
494368-10-3	KIMBERLY CLARK ORD		.03/04/2020	MORGAN STANLEY	.10,000	1,459		0	
499049-10-4	KNIGHT SWIFT TRANSPORTATN CL A ORD		.03/04/2020	BAIRD, ROBERT W., & COMPANY IN	4,242,000	.152,160		0	
50050N-10-3	KONTOOR BRANDS ORD		.03/09/2020	COWEN AND COMPANY, LLC	.122,000	.3,710		0	
50187T-10-6	LGI HOMES ORD		.03/13/2020	Stifel Nicolaus & Co.	.314,000	.18,417		0	
51783A-10-7	LAS VEGAS SANDS ORD		.02/07/2020	GOLDMAN SACHS	.24,000	1,622		0	
526057-10-4	LENNAR CL A ORD		.03/31/2020	VARIOUS	3,163,000	.94,529		0	
531172-10-4	LIBERTY PROPERTY REIT ORD		.02/03/2020	Northern Trust	.200,000	12,391		0	
531229-85-4	LIBERTY MEDIA FORMULA ONE SRS C ORD		.03/13/2020	COWEN AND COMPANY, LLC	.205,000	.5,416		0	
532457-10-8	ELI LILLY ORD		.03/10/2020	Northern Trust	.11,000	1,524		0	
55087P-10-4	LYFT CL A ORD		.03/16/2020	VARIOUS	1,727,000	.41,485		0	
55306N-10-4	MKS INSTRUMENTS ORD		.03/19/2020	VARIOUS	.723,000	.66,326		0	

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55354G-10-0	MSCI ORD		.03/25/2020	INSTINET INVESTMENT SERVICES LIMITED	.4,000	1,082		0	
556269-10-8	STEVEN MADDEN ORD		.03/01/2020	CITATION GROUP	.195,000	6,349		0	
57060D-10-8	MARKETAXESS HOLDINGS ORD		.03/03/2020	FAHNSTOCK & CO.	.94,000	.33,886		0	
574795-10-0	MASIMO ORD		.03/11/2020	LIQUIDNET, INC.	.43,000	7,761		0	
57636Q-10-4	MASTERCARD CL A ORD		.03/25/2020	MERRILL LYNCH & CO	.5,000	1,235		0	
58155Q-10-3	MCKESSON ORD		.03/18/2020	CITIGROUP GLOBAL MARKETS INC.	.33,000	4,413		0	
584021-10-9	MEDALLIA ORD		.02/13/2020	ISI GROUP INC.	.1,357,000	40,206		0	
58463J-30-4	MEDICAL PROPERTIES REIT ORD		.03/13/2020	VARIOUS	.5,496,000	123,406		0	
594918-10-4	MICROSOFT ORD		.03/10/2020	VARIOUS	.52,000	8,233		0	
595017-10-4	MICROCHIP TECHNOLOGY ORD		.03/25/2020	MERRILL LYNCH & CO	.15,000	1,137		0	
60468T-10-5	MIRATI THERAPEUTICS ORD		.01/16/2020	VARIOUS	.302,000	.29,392		0	
60855R-10-0	MOLINA HEALTHCARE ORD		.01/08/2020	Warburg Dillon Reed	.123,000	17,692		0	
609027-10-7	MONARCH CASINO AND RESORT ORD		.03/13/2020	VARIOUS	.402,000	15,791		0	
609207-10-5	MONDELEZ INTERNATIONAL CL A ORD		.02/07/2020	INSTINET INVESTMENT SERVICES LIMITED	.29,000	1,696		0	
615394-20-2	MOOG CL A ORD		.03/26/2020	VARIOUS	.789,000	.57,464		0	
622920-30-5	MU HOLDINGS CL A ORD		.02/19/2020	VARIOUS	.1,592,000	.47,128		0	
623377-50-8	NRG ENERGY ORD		.03/16/2020	VARIOUS	.957,000	.25,232		0	
63009R-10-9	NANOSTRING TECHNOLOGIES ORD		.03/04/2020	Dain Rauscher	.797,000	.26,910		0	
64110L-10-6	NETFLIX ORD		.02/07/2020	INSTINET INVESTMENT SERVICES LIMITED	.4,000	1,460		0	
64125C-10-9	NEUROCRINE BIOSCIENCES ORD		.01/09/2020	JEFFERIES & COMPANY, INC.	.91,000	.10,319		0	
65339F-10-1	NEXTERA ENERGY ORD		.03/04/2020	MORGAN STANLEY	.6,000	1,638		0	
655044-10-5	NOBLE ENERGY ORD		.03/23/2020	INSTINET	.286,000	1,238		0	
67066G-10-4	NVIDIA ORD		.01/10/2020	CITIGROUP GLOBAL MARKETS INC.	.8,000	1,980		0	
69336V-10-1	PGT INNOVATIONS ORD		.01/31/2020	BAIRD, ROBERT W., & COMPANY IN	.352,000	5,455		0	
69351T-10-6	PPL ORD		.02/28/2020	Northern Trust	.88,000	2,620		0	
69355F-10-2	PPD ORD		.02/06/2020	VARIOUS	2,780,000	81,990		0	
701877-10-2	PARSLEY ENERGY CL A ORD		.02/21/2020	VARIOUS	.2,709,098	.44,487		0	
702712-10-0	PASSAGE B10 ORD		.02/28/2020	Bear Stearns	.400,000	7,200		0	
703343-10-3	PATRICK INDUSTRIES ORD		.03/24/2020	GOLDMAN	.76,000	1,829		0	
70432V-10-2	PAYCOM SOFTWARE ORD		.01/27/2020	JP MORGAN	.70,000	.21,877		0	
704551-10-0	PEABODY ENERGY ORD		.02/18/2020	B RILEY & CO	.1,746,000	.12,785		0	
70975L-10-7	PENUMBRA ORD		.03/09/2020	VARIOUS	.341,000	.58,304		0	
713448-10-8	PEPSICO ORD		.03/04/2020	MORGAN STANLEY	.11,000	1,521		0	
71377A-10-3	PERFORMANCE FOOD GROUP ORD		.01/23/2020	VARIOUS	.4,569,000	.238,436		0	
717081-10-3	PFIZER ORD		.03/10/2020	Northern Trust	.38,000	1,314		0	
718172-10-9	PHILIP MORRIS INTERNATIONAL ORD		.03/04/2020	MORGAN STANLEY	.17,000	1,452		0	
72325L-10-6	PINTEREST CL A ORD		.03/12/2020	Morgan Stanley	.1,518,000	.20,331		0	
736508-84-7	PORTLAND GENERAL ELECTRIC ORD		.02/21/2020	VARIOUS	.2,364,000	.145,925		0	
737446-10-4	POST HOLDINGS ORD		.03/17/2020	Morgan Stanley	.119,000	10,375		0	
74267C-10-6	PROASSURANCE ORD		.01/31/2020	VARIOUS	.2,440,000	.81,402		0	
742718-10-9	PROCTER & GAMBLE ORD		.02/28/2020	Northern Trust	.14,000	1,500		0	
74340W-10-3	PROLOGIS REIT		.03/04/2020	VARIOUS	.151,000	.13,870		0	
74346Y-10-3	PROS HOLDINGS ORD		.02/04/2020	Dain Rauscher	.161,000	.10,086		0	
74460D-10-9	PUBLIC STORAGE REIT ORD		.03/10/2020	Northern Trust	.6,000	.1,361		0	
750917-10-6	RAMBUS ORD		.02/03/2020	VARIOUS	.5,496,000	.79,989		0	
753422-10-4	RAPID7 ORD		.02/12/2020	VARIOUS	.407,000	.24,270		0	
755111-50-7	RAYTHEON ORD		.01/10/2020	Northern Trust	.8,000	1,826		0	
756109-10-4	REALTY INCOME REIT ORD		.02/28/2020	Northern Trust	.19,000	1,329		0	
75737F-10-8	REDFIN ORD		.02/05/2020	Bear Stearns	.670,000	.17,114		0	
75886F-10-7	REGENERON PHARMACEUTICALS ORD		.02/28/2020	Northern Trust	.4,000	1,688		0	
7591EP-10-0	REGIONS FINANCIAL ORD		.03/26/2020	VARIOUS	.8,505,000	.76,768		0	
761152-10-7	RESMED ORD		.02/28/2020	Northern Trust	.9,000	1,386		0	
76155X-10-0	REVOLUTION MEDICINES ORD		.02/13/2020	Bear Stearns	.300,000	5,100		0	
77543R-10-2	ROKU CL A ORD		.03/16/2020	VARIOUS	.575,000	.49,959		0	
78410G-10-4	SBA COMMUNICATIONS CL A REIT ORD		.02/28/2020	Northern Trust	.5,000	1,305		0	
78469X-10-7	SPX FLOW ORD		.03/30/2020	WALL STREET ACCESS NY	.709,000	.18,548		0	
79466L-30-2	SALESFORCE.COM ORD		.02/28/2020	Northern Trust	.8,000	1,334		0	
80689H-10-2	SCHNEIDER NATIONAL CL B ORD		.03/31/2020	VARIOUS	.3,937,000	.72,064		0	
816851-10-9	SEMPRA ENERGY ORD		.01/10/2020	CITIGROUP GLOBAL MARKETS INC.	.11,000	1,642		0	
824348-10-6	SHERWIN WILLIAMS ORD		.01/10/2020	MORGAN STANLEY	.3,000	1,704		0	
82489T-10-4	SHOCKWAVE MEDICAL ORD		.03/09/2020	VARIOUS	.760,000	.30,298		0	

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83304A-10-6	SNAP CL A ORD		.03/13/2020	VARIOUS	2,966,000	.31,271		0	
842587-10-7	SOUTHERN ORD		.01/10/2020	Northern Trust	.26,000	1,649		0	
848574-10-9	SPIRIT AEROSYSTEMS HLDGS A ORD		.03/06/2020	Morgan Stanley	.477,000	.22,496		0	
87161C-50-1	SYNOVIS FINANCIAL ORD		.01/24/2020	VARIOUS	1,506,000	.55,871		0	
87164F-10-5	SYNDAX PHARMACEUTICALS ORD		.02/05/2020	First Boston Corp	.721,000	.7,267		0	
872590-10-4	T MOBILE US ORD		.02/28/2020	Northern Trust	.15,000	1,315		0	
87265H-10-9	TRI POINTE GROUP ORD		.03/02/2020	VARIOUS	.412,000	6,496		0	
875372-20-3	TANDEM DIABETES CARE ORD		.03/23/2020	JEFFERIES & COMPANY, INC.	.109,000	5,277		0	
879360-10-5	TELEDYNE TECH ORD		.02/18/2020	VARIOUS	.85,000	.33,234		0	
882508-10-4	TEXAS INSTRUMENTS ORD		.03/04/2020	MORGAN STANLEY	.12,000	1,402		0	
883556-10-2	THERMO FISHER SCIENTIFIC ORD		.03/04/2020	MORGAN STANLEY	.5,000	1,592		0	
885160-10-1	THOR INDUSTRIES ORD		.03/24/2020	VARIOUS	.812,000	.52,093		0	
88579Y-10-1	3M ORD		.02/07/2020	CITIGROUP GLOBAL MARKETS INC.	.10,000	1,613		0	
896288-10-7	TRINET GROUP ORD		.02/24/2020	JEFFERIES & COMPANY, INC.	.41,000	2,420		0	
898202-10-6	TRUPANION ORD		.01/03/2020	Stifel Nicolaus & Co.	.67,000	2,389		0	
898320-10-9	TRUST FINANCIAL ORD		.02/28/2020	Northern Trust	.29,000	1,315		0	
90041T-10-8	TURNING POINT THERAPEUTICS ORD		.01/30/2020	VARIOUS	.846,000	.50,502		0	
902104-10-8	U1 VI ORD		.03/27/2020	KCG AMERICAS LLC	.610,000	17,639		0	
91324P-10-2	UNITEDHEALTH GRP ORD		.03/10/2020	Northern Trust	.11,000	3,176		0	
92337F-10-7	VERACYTE ORD		.03/11/2020	VARIOUS	1,492,000	.35,127		0	
92343V-10-4	VERIZON COMMUNICATIONS ORD		.03/25/2020	VARIOUS	.53,000	2,901		0	
92343X-10-0	VERINT SYSTEMS ORD		.01/29/2020	Warburg Dillon Reed	.588,000	.31,552		0	
92345Y-10-6	VERISK ANALYTICS ORD		.02/07/2020	Northern Trust	.9,000	1,519		0	
925652-10-9	VICI PTYS ORD		.02/11/2020	JEFFERIES & COMPANY, INC.	.1314,000	.36,357		0	
92763M-10-5	VIPER ENERGY PARTNERS UNT		.03/11/2020	VARIOUS	1,225,000	.21,090		0	
931142-10-3	WALMART ORD		.03/10/2020	Northern Trust	.13,000	1,532		0	
93627C-10-1	WARRIOR MET COAL ORD		.01/27/2020	COWEN AND COMPANY, LLC	.145,000	2,823		0	
94106L-10-9	WASTE MANAGEMENT ORD		.02/07/2020	GOLDMAN SACHS	.14,000	1,739		0	
949746-10-1	WELLS FARGO ORD		.02/28/2020	Northern Trust	.36,000	1,458		0	
957638-10-9	WESTERN ALLIANCE ORD		.03/12/2020	KEEFE BRUETTE + WOODS INC	.1,181,000	.33,743		0	
98585X-10-4	YETI HOLDINGS ORD		.03/27/2020	VARIOUS	.1,257,000	.34,395		0	
98956P-10-2	ZIMMER BIOMET HOLDINGS ORD		.02/07/2020	Northern Trust	.10,000	1,583		0	
98978V-10-3	ZOETIS CL A ORD		.03/10/2020	Northern Trust	.10,000	1,328		0	
G0177J-10-8	ALLERGAN ORD	C.	.02/28/2020	Northern Trust	.8,000	1,497		0	
G14838-10-9	MIMECAST ORD	C.	.02/12/2020	VARIOUS	.719,000	.33,391		0	
G1991C-10-5	CARDTRONICS CL A ORD	C.	.02/24/2020	Warburg Dillon Reed	.129,000	4,930		0	
G3198U-10-2	ESSENT GROUP ORD	C.	.03/31/2020	CITIGROUP GLOBAL MARKETS INC.	.900,000	.23,067		0	
G47567-10-5	IHS MARKIT ORD	C.	.02/07/2020	CITIGROUP GLOBAL MARKETS INC.	.21,000	1,699		0	
G5960L-10-3	MEDTRONIC C ORD	C.	.03/10/2020	Northern Trust	.15,000	1,429		0	
G8473T-10-0	STERIS ORD	C.	.03/11/2020	GOLDMAN	.35,000	5,154		0	
G8994E-10-3	TRANE TECHNOLOGIES ORD	C.	.03/02/2020	VARIOUS	.306,000	.14,188		0	
H1467J-10-4	CHUBB ORD	C.	.03/10/2020	Northern Trust	.10,000	1,390		0	
Y62132-10-8	NAVIGATOR HOLDINGS ORD	C.	.01/10/2020	JEFFERIES & COMPANY, INC.	.162,000	2,176		0	
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						26,927,901	XXX	0	XXX
62080@-10-1	MOUNT CARMEL HEALTH PLAN OF NEW YORK, INC.		.02/06/2020	Northern Trust	1,000	21,800,794		0	
9299999. Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates Publicly Traded						21,800,794	XXX	0	XXX
46428T-65-5	I SHARES:RUSS 2000 ETF		.03/31/2020	JEFFERIES & COMPANY, INC.	.800,000	.90,330		0	
9499999. Subtotal - Common Stocks - Mutual Funds						90,330	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						48,819,025	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						48,819,025	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						48,819,025	XXX	0	XXX
9999999 - Totals						61,837,422	XXX	6,563	XXX

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
.36204A-5H-4	GN 724267 - RMBS		.03/01/2020	Paydown .....		7,436	.7,436	.7,734	.7,707	0	-(270)	0	-(270)	0	.7,436	0	0	0	.45	.09/15/2039	1
.912828-5H-8	UNITED STATES TREASURY		.02/11/2020	HSBC SECURITIES .....		676,172	.600,000	.624,466	.622,552	0	-(265)	0	-(265)	0	.622,282	0	.53,890	.53,890	.4,688	.11/15/2028	1
.912828-6B-1	UNITED STATES TREASURY		.01/08/2020	VARIOUS .....		1,040,292	.975,000	.988,711	.987,919	0	-(25)	0	-(25)	0	.987,890	0	.52,402	.52,402	.10,293	.02/15/2029	1
.912828-D5-6	UNITED STATES TREASURY		.01/09/2020	HSBC .....		371,292	.360,000	.348,370	.350,501	0	.48	0	.48	0	.350,549	0	.20,743	.20,743	.3,439	.08/15/2024	1
.912828-MP-2	UNITED STATES TREASURY		.02/15/2020	Maturity @ 100.00 NOMURA SECURITIES CO LTD		1,340,000	.1,340,000	.1,396,382	.1,340,796	0	-(796)	0	-(796)	0	.1,340,000	0	0	0	.24,288	.02/15/2020	1
.912828-PC-8	UNITED STATES TREASURY		.01/28/2020	.....		252,031	.250,000	.266,941	.253,367	0	-(306)	0	-(306)	0	.253,007	0	-(976)	-(976)	.1,460	.11/15/2020	1
.912828-U6-5	UNITED STATES TREASURY		.02/11/2020	HSBC SECURITIES .....		779,582	.775,000	.763,571	.769,755	0	333	0	333	0	.770,087	0	.9,495	.9,495	.2,851	.11/30/2021	1
.912828-UN-8	UNITED STATES TREASURY		.02/11/2020	HSBC SECURITIES .....		508,984	.500,000	.496,853	.496,806	0	125	0	125	0	.496,943	0	.12,042	.12,042	.110	.02/15/2023	1
.912828-IC-0	UNITED STATES TREASURY		.02/06/2020	Unknown .....		4,997,639	.5,000,000	.4,996,485	.4,997,312	0	327	0	327	0	.4,997,639	0	0	0	.23,558	.10/31/2020	1
.912828-XK-3	UNITED STATES TREASURY		.01/09/2020	Deutsche Bank DEUTSCHE BANK SECURITIES INC.		2,028,906	.2,000,000	.2,017,266	.2,015,576	0	(83)	0	(83)	0	.2,015,493	0	.13,413	.13,413	.1,099	.06/30/2024	1
.912828-Y8-3	UNITED STATES TREASURY		.01/07/2020	.....		198,719	.200,000	.198,758	.198,765	0	2	0	2	0	.198,769	0	-(50)	-(50)	.577	.11/15/2029	1
0599999. Subtotal - Bonds - U.S. Governments						12,201,054	12,007,436	12,105,536	12,041,055	0	(911)	0	(911)	0	12,040,096	0	160,958	160,958	.72,406	XXX	XXX
.3128PL-A2-8	FB J08125 - RMBS		.03/01/2020	Paydown .....		2,040	.2,040	.2,057	.2,045	0	(5)	0	(5)	0	.2,040	0	0	0	.10	.06/01/2023	1
.312935-M2-2	FB A88477 - RMBS		.03/01/2020	Paydown .....		6,878	.6,878	.7,175	.7,147	0	(269)	0	(269)	0	.6,878	0	0	0	.52	.09/01/2039	1
.31371L-66-9	FN 256671 - RMBS		.03/01/2020	Paydown .....		3,328	.3,328	.3,324	.3,323	0	6	0	6	0	.3,328	0	0	0	.21	.04/01/2035	1
.3138AB-YR-4	FN AH9719 - RMBS		.03/01/2020	Paydown .....		5,762	.5,762	.5,960	.5,948	0	(186)	0	(186)	0	.5,762	0	0	0	.33	.04/01/2041	1
.3138AK-QW-2	FN A15686 - RMBS		.03/01/2020	Paydown .....		18,501	.18,501	.19,246	.19,193	0	(692)	0	(692)	0	.18,501	0	0	0	.115	.07/01/2041	1
.3138E2-GH-2	FN AJ9199 - RMBS		.03/01/2020	Paydown .....		13,978	.13,978	.14,357	.14,348	0	(370)	0	(370)	0	.13,978	0	0	0	.59	.01/01/2042	1
.3138EG-HX-5	FN AL0245 - RMBS		.03/01/2020	Paydown .....		12,488	.12,488	.12,609	.12,629	0	(141)	0	(141)	0	.12,488	0	0	0	.58	.04/01/2041	1
.3138MS-LN-7	FN AP2132 - RMBS		.03/01/2020	Paydown .....		36,496	.36,496	.36,625	.36,615	0	(119)	0	(119)	0	.36,496	0	0	0	.141	.08/01/2042	1
.3138WE-GX-2	FN AS5385 - RMBS		.03/01/2020	Paydown .....		66,055	.66,055	.67,421	.67,434	0	(1,379)	0	(1,379)	0	.66,055	0	0	0	.290	.07/01/2045	1
.314020-IIA-5	FN 735141 - RMBS		.03/01/2020	Paydown .....		4,452	.4,452	.4,358	.4,370	0	82	0	82	0	.4,452	0	0	0	.29	.01/01/2035	1
.314043C-6L-0	FN 745275 - RMBS		.03/01/2020	Paydown .....		2,514	.2,514	.2,604	.2,593	0	(79)	0	(79)	0	.2,514	0	0	0	.14	.02/01/2036	1
.314043D-9U-9	FN 745559 - RMBS		.03/01/2020	Paydown .....		2,770	.2,770	.2,935	.2,917	0	(148)	0	(148)	0	.2,770	0	0	0	.19	.11/01/2036	1
.31408F-6B-0	FN 850566 - RMBS		.03/01/2020	Paydown .....		2,701	.2,701	.2,561	.2,563	0	138	0	138	0	.2,701	0	0	0	.21	.01/01/2036	1
.31409W-LB-5	FN 880622 - RMBS		.03/01/2020	Paydown .....		1,531	.1,531	.1,483	.1,489	0	42	0	42	0	.1,531	0	0	0	.10	.04/01/2036	1
.3140FO-JX-3	FN BC4777 - RMBS		.03/01/2020	Paydown .....		39,527	.39,527	.40,104	.0	0	(577)	0	(577)	0	.39,527	0	0	0	.120	.10/01/2031	1
.31411E-YD-2	FN 906271 - RMBS		.03/01/2020	Paydown .....		1,204	.1,204	.1,189	.1,189	0	15	0	15	0	.1,204	0	0	0	.8	.01/01/2037	1
.31411E-YD-3	FN 906208 - RMBS		.03/01/2020	Paydown .....		14,907	.14,907	.14,713	.14,719	0	188	0	188	0	.14,907	0	0	0	.133	.01/01/2037	1
.31412P-6K-2	FN 931574 - RMBS		.03/01/2020	Paydown .....		1,240	.1,240	.1,267	.1,261	0	(21)	0	(21)	0	.1,240	0	0	0	.6	.02/01/2035	1
.31412U-N2-8	FN 937009 - RMBS		.02/01/2020	VARIOUS .....		128,560	.111,415	.110,997	.110,994	0	1	0	1	0	.110,995	0	17,564	17,564	.724	.06/01/2037	1
.31413V-UA-3	FN 956977 - RMBS		.02/25/2020	VARIOUS .....		35,663	.31,940	.31,995	.32,044	0	0	0	0	0	.32,044	0	.3,619	.3,619	.208	.12/01/2037	1
.31416N-3J-9	FN AA5300 - RMBS		.03/01/2020	Paydown .....		134	.134	.141	.134	0	0	0	0	0	.134	0	0	0	.2	.09/01/2020	1
.31416T-L5-6	FN AA9347 - RMBS		.03/01/2020	Paydown .....		6,459	.6,459	.6,670	.6,647	0	(188)	0	(188)	0	.6,459	0	0	0	.36	.02/01/2039	1
.31418D-HD-7	FN MA3827 - RMBS		.03/01/2020	Paydown .....		23,336	.23,336	.23,604	.0	0	(268)	0	(268)	0	.23,336	0	0	0	.71	.11/01/2034	1
3199999. Subtotal - Bonds - U.S. Special Revenues						430,524	409,657	413,396	349,604	0	(3,971)	0	(3,971)	0	409,341	0	21,183	21,183	2,180	XXX	XXX
.02582J-HQ-6	AMXA 181 A - ABS		.03/15/2020	Paydown .....		1,000,000	.999,884	.1,000,014	.0	0	(14)	0	(14)	0	1,000,000	0	0	0	.6,675	.10/17/2022	1FE
.02587A-AJ-3	AMXA 171 A - ABS		.02/15/2020	Paydown .....		330,000	.330,000	.329,922	.330,003	0	(3)	0	(3)	0	330,000	0	0	0	.1,062	.09/15/2021	1FE
.05588C-AA-0	BMINOT 19A A1 - ABS		.03/25/2020	Paydown .....		292,484	.292,484	.292,484	.0	0	0	0	0	.292,484	0	0	0	.941	.09/25/2020	1FE	
.06406H-BP-3	BANK OF NEW YORK COMPANY, INC. (THE)		.01/15/2020	Maturity @ 100.00 .....		625,000	.625,000	641,035	.625,082	0	(82)	0	(82)	0	.625,000	0	0	0	.14,375	.01/15/2020	1FE
.12596T-AA-9	CNH 19B A1 - ABS		.02/18/2020	Paydown .....		193,943	.193,943	.193,943	.0	0	0	0	0	.193,943	0	0	0	.551	.06/12/2020	1FE	
.12597C-AA-5	CNH 19C A1 - ABS																				

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.92867X-AA-4	WVALT 19A A1 - ABS		03/20/2020	Paydown		255,542	255,542	255,542	0	0	0	0	0	255,542	0	0	0	.919	10/20/2020	1FE		
38999999.	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					6,834,833	6,832,835	6,873,659	6,328,254	0	(842)	0	(842)	0	6,832,822	0	2,011	2,011	65,517	XXX	XXX	
83999997.	Total - Bonds - Part 4					19,466,411	19,249,928	19,392,591	18,718,913	0	(5,724)	0	(5,724)	0	19,282,258	0	184,153	184,153	140,104	XXX	XXX	
83999998.	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
83999999.	Total - Bonds					19,466,411	19,249,928	19,392,591	18,718,913	0	(5,724)	0	(5,724)	0	19,282,258	0	184,153	184,153	140,104	XXX	XXX	
89999997.	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
89999998.	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
89999999.	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
.00183L-10-2	ANGI HOMESERVICES CL A ORD		03/03/2020	FAHNESTOCK & CO	1,174,000	8,164	8,808	9,944	(1,135)	0	0	0	(1,135)	0	8,808	0	(644)	(644)	0			
.004044A-10-9	ACADIA HEALTHCARE COMPANY ORD		03/30/2020	Waburg Dillon Reed	169,000	2,998	5,410	4,527	(208)	0	0	0	(208)	0	5,410	0	(2,412)	(2,412)	0			
.00773U-10-8	ADVERUM BIOTECHNOLOGIES ORD		03/01/2020	LIQUIDNET, INC.	954,000	11,668	10,492	0	0	0	0	0	0	0	10,492	0	1,175	1,175	0			
.007800-10-5	AEROJET ROCKETDYNE HOLDINGS ORD		02/24/2020	VARIOUS	502,000	27,268	21,513	22,921	(1,408)	0	0	0	(1,408)	0	21,513	0	5,755	5,755	0			
.00790R-10-4	ADVANCED DRAINAGE SYSTEMS ORD		03/12/2020	VARIOUS	1,380,000	58,222	50,646	53,599	(2,953)	0	0	0	(2,953)	0	50,646	0	7,576	7,576	0			
.00900T-10-7	AIMMUNE THERAPEUTICS ORD		03/12/2020	LEERINK SWANN & CO INC.	382,000	5,730	9,981	12,786	(2,804)	0	0	0	(2,804)	0	9,981	0	(4,251)	(4,251)	0			
.013872-10-7	ALCOA ORD		03/31/2020	Dain Rauscher	1,021,000	6,316	18,813	14,671	(904)	0	0	0	(904)	0	18,813	0	(12,496)	(12,496)	0			
.015351-10-9	ALEXION PHARMACEUTICALS ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.01741R-10-2	ALLEHENY TECHNOLOGIES ORD		03/31/2020	VARIOUS	1,349,000	22,344	30,659	27,870	2,789	0	0	0	2,789	0	30,659	0	(8,315)	(8,315)	0			
.018522-30-0	ALLETE ORD		02/26/2020	WALL STREET ACCESS NY	305,000	23,461	24,196	24,757	(561)	0	0	0	(561)	0	24,196	0	(735)	(735)	0			
.020002-10-1	ALLSTATE ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.02079K-10-7	ALPHABET CL C ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.02079K-30-5	ALPHABET CL A ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.02156B-10-3	ALTERIX CL A ORD		02/04/2020	Morgan Stanley	184,000	26,410	19,250	18,413	.837	0	0	0	.837	0	19,250	0	7,160	7,160	0			
.02208R-10-6	ALTRA INDUSTRIAL MOTION ORD		03/25/2020	VARIOUS	2,189,000	67,124	72,451	79,264	(6,813)	0	0	0	(6,813)	0	72,451	0	(5,327)	(5,327)	384			
.030506-10-9	AMERICAN WOODMARK ORD		03/26/2020	LIQUIDNET, INC.	43,000	2,507	4,368	3,255	(83)	0	0	0	(83)	0	4,368	0	(1,861)	(1,861)	0			
.030640-10-8	AMERICOLD REALTY ORD		02/26/2020	VARIOUS	563,000	18,813	20,759	19,739	1,020	0	0	0	1,020	0	20,759	0	(1,946)	(1,946)	113			
.036620-10-5	ANSYS ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.03676B-10-2	ANTERO MIDSTREAM ORD		02/28/2020	WALL STREET ACCESS NY	902,000	3,622	4,300	6,846	(2,546)	0	0	0	(2,546)	0	4,300	0	(678)	(678)	277			
.037833-10-0	APPLE ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.03852U-10-6	ARAMARK ORD		03/27/2020	VARIOUS	395,000	14,700	16,808	17,143	(335)	0	0	0	(335)	0	16,808	0	(2,108)	(2,108)	14			
.04010E-10-9	ARGAN ORD		03/02/2020	VARIOUS	185,000	7,957	6,765	7,426	(661)	0	0	0	(661)	0	6,765	0	1,191	1,191	46			
.040413-10-6	ARISTA NETWORKS ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.04532T-10-3	ASPEN TECHNOLOGY ORD		03/20/2020	BERNSTEIN (SANFORD C) & CO.	65,000	5,483	3,859	7,172	(4,038)	0	0	0	(4,038)	0	3,859	0	1,624	1,624	0			
.05070R-10-4	AUDENTES THERAPEUTICS ORD		01/15/2020	Not Available	224,000	13,440	6,229	13,404	(7,176)	0	0	0	(7,176)	0	6,229	0	7,211	7,211	0			
.05508R-10-6	B AND G FOODS ORD		03/26/2020	VARIOUS	4,676,000	76,304	74,684	63,383	(6,417)	0	0	0	(6,417)	0	74,684	0	1,620	1,620	1,679			
.05605H-10-0	BIWX TECHNOLOGIES ORD		03/05/2020	Morgan Stanley	399,000	21,022	24,281	0	0	0	0	0	0	0	24,281	0	(3,259)	(3,259)	0			
.05971J-10-2	BANCORPSOUTH BANK ORD		03/26/2020	VARIOUS	2,350,000	42,438	72,744	73,814	(1,070)	0	0	0	(1,070)	0	72,744	0	(30,306)	(30,306)	435			
.084670-70-2	BERKSHIRE HATHAWAY CL B ORD		01/02/2020		0,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
.09073M-10-4	BIO TECHNE ORD		01/28/2020	KCG AMERICAS LLC	25,000	5,438	5,420	5,488	(68)	0	0	0	(68)	0	5,420	0	.18	.18	0			
.092113-10-9	BLACK HILLS ORD		02/12/2020	VARIOUS	481,000	40,466	36,999	37,778	(778)	0	0	0	(778)	0	36,999	0	3,466	3,466	0			
.095229-10-0	BLUCORA ORD		03/25/2020	VARIOUS	661,000	6,493	14,007	17,279	(3,272)	0	0	0	(3,272)	0	14,007	0	(7,514)	(7,514)	0			
.09609G-10-0	BLUEBIRD BIO ORD		03/26/2020	LIQUIDNET, INC.	324,000	14,944	24,108	28,431	(4,323)	0	0	0	(4,323)	0	24,108	0	(9,164)	(9,164)	0			
.105368-20-3	BRANDYWINE REALTY REIT ORD		02/20/2020	VARIOUS	12,303,000	194,606	183,948	193,772	(9,824)	0	0	0	(9,824)	0	183,948	0	10,658	10,658	2,338			
.122017-10-6	BURLINGTON STORES ORD		02/28/2020	VARIOUS	107,000	24,363	15,579	24,399	(8,820)	0	0	0	(8,820)	0	15,579	0	8,784	8,784	0			
.127097-10-3	CABOT OIL & GAS ORD		03/19/2020	VARIOUS	722,000	12,079	11,235	6,080	(448)	0	0	0	(448)	0	11,235	0	.844	.844	43			
.133131-10-2	CAMDEN PROPERTY REIT ORD		03/10/2020	INC.	474,000	47,570	52,789	43,352	1,864	0	0	0	1,864	0	52,789	0	(5,219)	(5,219)	327		</td	

STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## **SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designa- tion and Adminis- trative Symbol	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
..15912K-10-0	CHANGE HEALTHCARE ORD		..03/30/2020	VARIOUS	387,892	3,078		5,008	0	0	0	0	0	0	5,008	0	(1,930)	(1,930)	0	0		
..163092-10-9	CHEGG ORD		..03/23/2020	Dain Rauscher	563,000	17,795		22,093	0	0	0	0	0	0	22,093	0	(4,298)	(4,298)	0	0		
..166764-10-0	CHEVRON ORD		..01/02/2020	CITIGROUP GLOBAL MARKETS INC.	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..171798-10-1	CIMAREX ENERGY ORD		..03/04/2020	JEFFERIES & COMPANY, INC.	128,000	4,182		7,750	6,719	1,031	0	0	1,031	0	7,750	0	(3,568)	(3,568)	26			
..17243V-10-2	CINEMARK HOLDINGS ORD		..03/25/2020	CITIGROUP ORD	1,018,000	11,434		32,126	30,490	(309)	0	0	(309)	0	32,126	0	(20,691)	(20,691)	324			
..172967-42-4	CITIGROUP ORD		..01/02/2020	CLEAN HARBORS ORD	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..184946-10-7	CLEAN HARBORS ORD		..03/18/2020	VARIOUS	597,000	42,062		50,170	46,015	(655)	0	0	(655)	0	50,170	0	(8,108)	(8,108)	0			
..185899-10-1	CLEVELAND CLIFFS ORD		..03/20/2020	Dain Rauscher	3,395,000	10,852		25,626	28,518	(2,892)	0	0	(2,892)	0	25,626	0	(14,774)	(14,774)	204			
..192479-10-3	COHERENT ORD		..03/18/2020	VARIOUS	389,000	40,061		57,684	56,393	(5,800)	0	0	(5,800)	0	57,684	0	(17,623)	(17,623)	0			
..192474-10-0	COHEN & STEERS ORD		..01/23/2020	LIQUIDNET, INC.	205,000	14,744		13,417	12,866	551	0	0	551	0	13,417	0	1,327	1,327	0			
..198516-10-6	COLUMBIA SPORTSMILAR ORD		..03/27/2020	VARIOUS	76,000	5,907		7,254	5,113	(145)	0	0	(145)	0	7,254	0	(1,346)	(1,346)	6			
..20605P-10-1	CONCHO RESOURCES ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..21036P-10-8	CONSTELLATION BRANDS CL A ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..216648-40-2	COOPER ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..22002T-10-8	CORPORATE OFFICE PROP REIT ORD		..03/12/2020	Dain Rauscher	315,000	7,155		8,978	9,255	(277)	0	0	(277)	0	8,978	0	(1,823)	(1,823)	87			
..22160K-10-5	COSTCO WHOLESALE ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..225447-10-1	CREE ORD		..03/03/2020	VARIOUS	715,000	32,548		31,520	32,997	(1,477)	0	0	(1,477)	0	31,520	0	1,028	1,028	0			
..231561-10-1	CURTISS WRIGHT ORD		..01/13/2020	INC.	114,000	16,579		15,788	16,061	(273)	0	0	(273)	0	15,788	0	791	791	0			
..232806-10-9	CYPRESS SEMI CONDUCTOR ORD		..02/24/2020	VARIOUS	2,536,000	59,298		59,337	59,165	172	0	0	172	0	59,337	0	(39)	(39)	279			
..238337-10-9	DAVE BUSTERS ENTERTAINMENT ORD		..03/09/2020	GOLDMAN	399,000	10,077		17,739	0	0	0	0	0	0	0	17,739	0	(7,662)	(7,662)	0		
..24665A-10-3	DELEY US HOLDINGS ORD		..03/09/2020	INC.	86,000	1,149		3,111	2,884	227	0	0	227	0	3,111	0	(1,962)	(1,962)	0			
..256746-10-8	DOLLAR TREE ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..25754A-20-1	DOMINOS PIZZA ORD		..02/28/2020	BERNSTEIN (SANFORD C) & CO.	12,000	4,126		3,343	3,525	(182)	0	0	(182)	0	3,343	0	783	783	0			
..25960P-10-9	DOUGLAS EMMETT REIT ORD		..02/11/2020	VARIOUS	1,875,000	80,444		81,226	82,313	(1,087)	0	0	(1,087)	0	81,226	0	(781)	(781)	525			
..265504-10-0	DUNKIN BRANDS GROUP ORD		..02/28/2020	Morgan Stanley	63,000	4,217		4,943	0	0	0	0	0	0	0	4,943	0	(726)	(726)	0		
..26884L-10-9	EOT ORD		..03/19/2020	VARIOUS	611,000	5,058		4,625	3,092	(601)	0	0	(601)	0	4,625	0	433	433	13			
..29414B-10-4	EPAM SYSTEMS ORD		..02/19/2020	VARIOUS	71,000	16,879		14,044	15,063	(1,019)	0	0	(1,019)	0	14,044	0	2,835	2,835	0			
..29476L-10-7	EQUITY RESIDENTIAL REIT ORD		..01/10/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..297178-10-5	ESSEX PROPERTY REIT ORD		..01/15/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..29786A-10-6	ETSY ORD		..02/26/2020	BERNSTEIN (SANFORD C) & CO.	166,000	8,426		7,006	7,354	(348)	0	0	(348)	0	7,006	0	1,421	1,421	0			
..302445-10-1	FLIR SYSTEMS ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..34553D-10-1	FORESCOUT TECHNOLOGIES ORD		..03/20/2020	VARIOUS	1,059,000	33,653		36,530	34,735	1,795	0	0	1,795	0	36,530	0	(2,877)	(2,877)	0			
..346375-10-8	FORMFACTOR ORD		..03/19/2020	VARIOUS	960,000	18,579		21,320	24,931	(3,611)	0	0	(3,611)	0	21,320	0	(2,741)	(2,741)	0			
..34964C-10-6	FORTUNE BRANDS HOME AND SECURITY ORD		..03/27/2020	VARIOUS	1,493,000	89,799		93,207	95,888	(4,306)	0	0	(4,306)	0	93,207	0	(3,419)	(3,419)	76			
..34983P-10-4	FORTY SEVEN ORD		..03/05/2020	VARIOUS	777,000	66,158		9,488	30,590	(21,103)	0	0	(21,103)	0	9,488	0	56,670	56,670	0			
..37045V-10-0	GENERAL MOTORS ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..387328-10-7	GRANITE CONSTRUCTION ORD		..03/03/2020	VARIOUS	4,020,000	100,731		105,692	111,233	(5,542)	0	0	(5,542)	0	105,692	0	(4,961)	(4,961)	523			
..39365T-10-1	GREENBRIER ORD		..03/02/2020	VARIOUS	2,084,000	49,024		59,336	67,584	(8,248)	0	0	(8,248)	0	59,336	0	(10,312)	(10,312)	563			
..399473-10-7	GROUNPON ORD		..02/27/2020	Bear Stearns	2,165,000	2,963		6,003	5,174	829	0	0	829	0	6,003	0	(3,040)	(3,040)	0			
..40425J-10-1	HMS HOLDINGS ORD		..03/30/2020	JEFFERIES & COMPANY, INC.	738,000	18,434		21,839	21,845	(6)	0	0	(6)	0	21,839	0	(3,405)	(3,405)	0			
..405024-10-0	HAEMONETICS ORD		..02/05/2020	VARIOUS	382,000	43,003		45,717	43,892	1,826	0	0	1,826	0	45,717	0	(2,714)	(2,714)	0			
..410867-10-5	HANOVER INSURANCE GROUP ORD		..01/22/2020	GROUP, I	253,000	35,173		30,140	34,578	(4,437)	0	0	(4,437)	0	30,140	0	5,032	5,032	0			
..427746-10-2	HERON THERAPEUTICS ORD		..03/24/2020	LIQUIDNET, INC.	766,000	8,851		18,112	18,001	111	0	0	111	0	18,112	0	(9,261)	(9,261)	0			
..436106-10-8	HOLLYFRONTIER ORD		..02/20/2020	COHEN AND COMPANY, LLC	41,000	1,745		2,174	2,079	94	0	0	94	0	2,174	0	(429)	(429)	0			
..437076-10-2	HOME DEPOT ORD		..01/02/2020	..	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0		
..438083-10-7	HOMOLOGY MEDICINES ORD		..01/06/2020	JEFFERIES & COMPANY, INC.	456,000	8,381		7,622	9,439	(1,817)	0	0	(1,817)	0	7,622	0	759	759	0			
..443320-10-6	HUB GROUP CL A ORD		..02/19/2020	VARIOUS	520,000	28,852		28,008	0	0	0	0	0	0	0	28,008	0	844	844	0		
..446413-10-6	HUNTINGTON INGALLS INDUSTRIES ORD		..02/18/2020	CITATION GROUP	355,000	84,712		92,837	89,062	3,775	0	0	3,775	0	92,837	0	(8,126)	(8,126)	0			
..448579-10-2	HYATT HOTELS CL A ORD		..03/19/2020	VARIOUS	2,400,000	112,563		185,639	215,304	(29,665)	0	0	(29,665)	0	185,639	0	(73,076)	(73,076)	480			

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## SCHEDULE D - PART 4

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										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.450056-10-6	IRHYTHM TECHNOLOGIES ORD		.03/30/2020	VARIOUS	.266,000	.22,142		.18,590	.18,112	.478	0	0	.478	0	.18,590	0	.3,552	.3,552	.0			
.452327-10-9	ILLUMINA ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.45687V-10-6	INGERSOLL RAND INC.		.03/20/2020	Not Available	.0,010	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.458140-10-0	INTEL ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.46071F-10-3	INTERSECT ENT ORD		.01/21/2020	LIQUIDNET, INC.	.864,000	.21,060		.18,423	.21,514	-(3,090)	0	0	0	-(3,090)	0	.18,423	0	.2,637	.2,637	.0		
.465741-10-6	ITRON ORD		.01/15/2020	VARIOUS	.400,000	.34,411		.31,677	.33,580	-(1,903)	0	0	0	-(1,903)	0	.31,677	0	.2,733	.2,733	.0		
.46625H-10-0	JPMORGAN CHASE ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.47009K-10-7	JAGGED PEAK ENERGY ORD		.01/10/2020	Unknown	.3,734,000	.27,141		.27,141	.31,702	-(4,561)	0	0	0	-(4,561)	0	.27,141	0	0	0	0		
.47580P-10-3	JELD WEN HOLDING ORD		.03/26/2020	VARIOUS	.2,478,000	.45,191		.51,154	.48,781	.5,795	0	0	0	.5,795	0	.51,154	0	-(5,963)	(5,963)	.0		
.483007-70-4	KAISER ALUMINUM ORD		.01/27/2020	COVEN AND COMPANY, LLC	.82,000	.8,182		.8,797	.9,093	.296	0	0	0	.296	0	.8,797	0	.615	.615	.0		
.489170-10-0	KENNAMETAL ORD		.03/18/2020	VARIOUS	.1,287,000	.24,268		.41,759	.32,914	.3,280	0	0	0	.3,280	0	.41,759	0	-(17,491)	(17,491)	.249		
.489398-10-7	KENNEDY WILSON HOLDINGS ORD		.03/17/2020	VARIOUS	.2,361,000	.48,662		.52,954	.52,650	.303	0	0	0	.303	0	.52,954	0	(4,291)	(4,291)	.519		
.50050N-10-3	KONTOR BRANDS ORD		.03/23/2020	VARIOUS	.961,000	.27,531		.33,490	.37,378	.6,042	0	0	0	.6,042	0	.33,490	0	(5,959)	(5,959)	.325		
.500688-10-6	KOSMOS ENERGY ORD		.03/30/2020	VARIOUS	.4,082,000	.3,028		.28,222	.23,267	.4,954	0	0	0	.4,954	0	.28,222	0	(25,194)	(25,194)	.112		
.501877-10-6	LGI HOMES ORD		.03/26/2020	B RILEY & CO	.438,000	.20,445		.27,983	.12,984	.88	0	0	0	.88	0	.27,983	0	(7,538)	(7,538)	.0		
.502431-10-9	L3HARRIS TECHNOLOGIES ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.526057-10-4	LENNAR CL A ORD		.03/26/2020	INC.	.117,000	.4,895		.3,458	0	0	0	0	0	0	0	.3,458	0	.1,437	.1,437	.0		
.531172-10-4	LIBERTY PROPERTY REIT ORD		.02/04/2020	Unknown	.200,000	.12,391		.12,391	0	0	0	0	0	0	0	.12,391	0	0	0			
.531229-85-4	LIBERTY MEDIA FORMULA ONE SRS C ORD		.03/25/2020	CITATION GROUP	.329,000	.8,411		.14,088	.14,268	.671	0	0	0	.671	0	.14,088	0	(5,677)	(5,677)	.0		
.531229-88-8	LIBERTY MEDIA LIBERTY BRVS SRS C ORD		.03/25/2020	VARIOUS	.646,000	.12,901		.17,575	.19,083	-(1,507)	0	0	0	-(1,507)	0	.17,575	0	(4,674)	(4,674)	.0		
.539830-10-9	LOCKHEED MARTIN ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.546347-10-5	LOUISIANA PACIFIC ORD		.03/25/2020	VARIOUS	.2,131,000	.47,074		.62,183	.63,227	.1,044	0	0	0	.1,044	0	.62,183	0	(15,109)	(15,109)	.262		
.55087P-10-4	LYFT CL A ORD		.03/26/2020	GOLDMAN	.270,000	.7,909		.6,486	0	0	0	0	0	0	0	.6,486	0	.1,423	.1,423	.0		
.55272X-10-2	MFA FINANCIAL REIT ORD		.03/26/2020	KCG AMERICAS LLC	.12,255,000	.23,503		.93,486	.93,751	.265	0	0	0	.265	0	.93,486	0	(69,983)	(69,983)	.2,451		
.56418H-10-0	MANPOWERGROUP ORD		.02/24/2020	Warburg Dillon Reed	.168,000	.14,680		.15,340	.16,313	.973	0	0	0	.973	0	.15,340	0	(660)	(660)	.0		
.573284-10-6	MARTIN MARIETTA MATERIALS ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.57776J-10-0	MAXLINEAR ORD		.01/13/2020	KCG AMERICAS LLC	.1,740,000	.36,894		.38,136	.36,923	.1,213	0	0	0	.1,213	0	.38,136	0	(1,242)	(1,242)	.0		
.581550-10-3	MCKESSON ORD		.03/13/2020	Unknown	.34,000	.5,008		.5,008	.4,703	.305	0	0	0	.305	0	.5,008	0	0	0	.14		
.586278-10-1	MIDDLEBY ORD		.02/28/2020	GOLDMAN	.57,000	.6,336		.6,539	.6,243	.296	0	0	0	.296	0	.6,539	0	(203)	(203)	.0		
.60855R-10-0	MOLINA HEALTHCARE ORD		.03/30/2020	COVEN AND COMPANY, LLC	.66,000	.9,122		.9,026	.7,952	.10	0	0	0	.10	0	.9,026	0	.96	.96	.0		
.60937P-10-6	MONGDOL CL A ORD		.03/20/2020	VARIOUS	.58,000	.8,784		.8,244	.7,633	.611	0	0	0	.611	0	.8,244	0	.540	.540	.0		
.609639-10-5	MONOLITHIC POWER SYSTEMS ORD		.02/18/2020	GOLDMAN	.91,000	.16,816		.10,574	.16,200	.5,626	0	0	0	.5,626	0	.10,574	0	.6,241	.6,241	.36		
.63934F-10-8	NAVISTAR INTERNATIONAL ORD		.03/02/2020	WALL STREET ACCESS NY	.466,000	.16,930		.14,507	.13,486	.1,021	0	0	0	.1,021	0	.14,507	0	.2,422	.2,422	.0		
.64125C-10-9	NEUROCRINE BIOSCIENCES ORD		.02/19/2020	COVEN AND COMPANY, LLC	.53,000	.5,516		.6,007	.4,203	.228	0	0	0	.228	0	.6,007	0	(491)	(491)	.0		
.646025-10-6	NJ RESOURCES ORD		.02/21/2020	VARIOUS	.1,309,000	.56,148		.56,138	.58,342	.2,204	0	0	0	.2,204	0	.56,138	0	.11	.11	.409		
.650111-10-7	NEW YORK TIMES CL A ORD		.03/25/2020	VARIOUS	.854,000	.27,918		.26,520	.27,473	.953	0	0	0	.953	0	.26,520	0	.1,398	.1,398	.43		
.653656-10-8	NICE ADR REP 1 ORD		.01/01/2020	Adjustment	.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0	.55	
.662189-10-5	ON SEMICONDUCTOR ORD		.03/02/2020	VARIOUS	.2,834,000	.55,952		.58,560	.69,093	-(10,533)	0	0	0	-(10,533)	0	.58,560	0	(2,608)	(2,608)	.0		
.68554V-10-8	ORASURE TECHNOLOGIES ORD		.02/06/2020	JEFFERIES & COMPANY, INC.	.473,000	.3,257		.3,708	.3,798	.90	0	0	0	.90	0	.3,708	0	(451)	(451)	.0		
.69007J-10-6	OUTFRONT MEDIA ORD		.03/26/2020	VARIOUS	.3,439,000	.49,368		.85,479	.92,234	.6,755	0	0	0	.6,755	0	.85,479	0	(36,111)	(36,111)	.0		
.690742-10-1	OWENS CORNING ORD		.03/24/2020	VARIOUS	.806,000	.29,399		.54,157	.52,487	.1,670	0	0	0	.1,670	0	.54,157	0	(24,758)	(24,758)	.193		
.69355F-10-2	PPD ORD		.03/31/2020	LIQUIDNET, INC.	.873,000	.15,727		.25,747	0	.0	0	0	0	0	0	0	0	(10,020)	(10,020)	.0		
.701094-10-4	PARKER HANNIFIN ORD		.01/02/2020		.0,000	.0		0	0	0	0	0	0	0	0	0	0	0	0	0		
.701877-10-2	PARSLEY ENERGY CL A ORD		.03/27/2020	KCG AMERICAS LLC	.167,100	.894		.2,744	0	.0	0	0	0	0	0	0	0	.2,744	.0			

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Adminis- trative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
74257L-10-8	PRINCIPIA BIOPHARMA ORD		02/07/2020	BAIRD, ROBERT W., & COMPANY IN	53,000	3,705		1,674	2,903	(1,229)	0	0	(1,229)	0	1,674	0	2,031	2,031	0		
74267C-10-6	PROASSURANCE ORD		03/27/2020	LIQUIDNET, INC.	685,000	16,622		22,853	0	0	0	0	0	0	22,853	0	(6,231)	(6,231)	0		
74346Y-10-3	PROS HOLDINGS ORD		02/14/2020	VARIOUS	745,000	38,211		43,467	34,993	(1,613)	0	0	(1,613)	0	43,467	0	(5,255)	(5,255)	0		
74624M-10-2	PURE STORAGE CL A ORD		01/17/2020	CITIGROUP GLOBAL MARKETS INC.	1,168,000	21,934		22,078	19,984	2,094	0	0	2,094	0	22,078	0	(144)	(144)	0		
74733V-10-0	GEP RESOURCES ORD		03/20/2020	VARIOUS	9,612,000	8,654		30,430	43,254	(12,824)	0	0	(12,824)	0	30,430	0	(21,776)	(21,776)	181		
74736L-10-9	Q2 HOLDINGS ORD		03/12/2020	Morgan Stanley	93,000	5,899		7,648	7,540	108	0	0	0	0	7,648	0	(1,750)	(1,750)	0		
747525-10-3	QUALCOMM ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
75281A-10-9	RANGE RESOURCES ORD		03/13/2020	VARIOUS	3,128,000	6,651		12,591	15,171	(2,580)	0	0	(2,580)	0	12,591	0	(5,940)	(5,940)	0		
758075-40-2	REDWOOD REIT ORD		03/31/2020	VARIOUS	6,535,000	34,040		105,191	108,089	(2,898)	0	0	(2,898)	0	105,191	0	(71,151)	(71,151)	0		
75886F-10-7	REGENERON PHARMACEUTICALS ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
77543R-10-2	ROKU CL A ORD		03/25/2020	VARIOUS	313,000	38,497		45,279	33,960	6,160	0	0	6,160	0	45,279	0	(6,782)	(6,782)	0		
781846-20-9	RUSH ENTERPRISES CL A ORD		03/31/2020	VARIOUS	3,825,000	124,308		166,799	177,863	(11,063)	0	0	(11,063)	0	166,799	0	(42,491)	(42,491)	497		
783549-10-8	RYDER SYSTEM ORD		03/20/2020	INVESTMENT TECHNOLOGY GROUP, I	378,000	13,661		18,852	20,529	(1,677)	0	0	(1,677)	0	18,852	0	(5,191)	(5,191)	212		
78410G-10-4	SBA COMMUNICATIONS CL A REIT ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
78442P-10-6	SLM ORD		03/30/2020	VARIOUS	4,498,000	33,353		37,977	40,077	(2,100)	0	0	(2,100)	0	37,977	0	(4,625)	(4,625)	135		
78667J-10-8	SAGE THERAPEUTICS ORD		03/12/2020	BAYPOINT TRADING LLC	378,000	13,213		48,156	27,288	20,868	0	0	20,868	0	48,156	0	(34,942)	(34,942)	0		
800677-10-6	SANGAMO THERAPEUTICS ORD		02/04/2020	INC.	968,000	7,163		9,273	8,102	1,171	0	0	1,171	0	9,273	0	(2,110)	(2,110)	0		
808625-10-7	SCIENCE APPLICATIONS INTERNATIONAL ORD		03/26/2020	COHEN AND COMPANY, LLC	160,000	11,147		13,472	13,923	(451)	0	0	(451)	0	13,472	0	(2,326)	(2,326)	59		
81727U-10-5	SENSEONIC ORD		02/20/2020	BAYPOINT TRADING LLC	16,104,000	18,064		14,139	14,751	(612)	0	0	(612)	0	14,139	0	3,924	3,924	0		
83088M-10-2	SKYWORKS SOLUTIONS ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
83570H-10-8	SONOS ORD		03/05/2020	ISI GROUP INC.	1,573,000	17,225		22,605	24,570	(1,966)	0	0	(1,966)	0	22,605	0	(5,380)	(5,380)	0		
848574-10-9	SPIRIT AEROSYSTEMS HLDGS A ORD		03/12/2020	VARIOUS	1,057,000	42,334		75,638	42,270	10,872	0	0	10,872	0	75,638	0	(33,304)	(33,304)	70		
87166B-10-2	SYNEDS HEALTH CL A ORD		03/30/2020	VARIOUS	665,000	40,258		34,374	39,551	(5,177)	0	0	(5,177)	0	34,374	0	5,884	5,884	0		
87265H-10-9	TRI POINTE GROUP ORD		03/26/2020	COHEN AND COMPANY, LLC	320,000	3,165		4,886	4,745	(103)	0	0	(103)	0	4,886	0	(1,721)	(1,721)	0		
87612G-10-1	TARGA RESOURCES ORD		03/09/2020	Barclays Bank - CP	3,729,000	59,519		141,879	152,255	(10,376)	0	0	(10,376)	0	141,879	0	(82,359)	(82,359)	3,393		
87918A-10-5	TELADOC HEALTH ORD		03/30/2020	VARIOUS	502,000	53,460		38,807	42,027	(3,221)	0	0	(3,221)	0	38,807	0	14,653	14,653	0		
87936O-10-5	TELEDYNE TECH ORD		01/23/2020	KCG AMERICAS LLC	32,000	11,783		10,997	11,089	(92)	0	0	(92)	0	10,997	0	786	786	0		
883556-10-2	TERMO FISHER SCIENTIFIC ORD		01/15/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
896239-10-0	TRIMBLE ORD		03/11/2020	KCG AMERICAS LLC	1,023,000	34,173		41,544	42,649	(1,105)	0	0	(1,105)	0	41,544	0	(7,371)	(7,371)	0		
898320-10-9	TRUST FINANCIAL ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
904311-10-7	UNDER ARMOUR CL A ORD		02/05/2020	WILLIAM BLAIR & CO	1,471,000	30,598		29,202	31,774	(2,571)	0	0	(2,571)	0	29,202	0	1,395	1,395	0		
904311-20-6	UNDER ARMOUR CL C ORD		03/09/2020	CITATION GROUP	345,000	3,554		6,052	6,617	(565)	0	0	(565)	0	6,052	0	(2,498)	(2,498)	0		
907818-10-8	UNION PACIFIC ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
911363-10-9	UNITED RENTAL ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
912008-10-9	US FOODS ORD		02/19/2020	VARIOUS	3,289,000	130,350		131,475	137,776	(6,301)	0	0	(6,301)	0	131,475	0	(1,124)	(1,124)	0		
913903-10-0	UNIVERSAL HEALTH SERVICES CL B ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
918790-10-9	VAIL RESORTS ORD		03/10/2020	VARIOUS	157,000	29,493		37,162	37,653	(491)	0	0	(491)	0	37,162	0	(7,669)	(7,669)	276		
92243X-10-0	VERINT SYSTEMS ORD		03/12/2020	Warburg Dillon Reed	538,000	21,155		31,552	0	0	0	0	0	0	31,552	0	(10,396)	(10,396)	0		
92556H-20-6	VIACOMBS CL B ORD		01/10/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
925652-10-9	VICI PPTYS ORD		03/17/2020	VARIOUS	2,146,000	34,266		49,028	48,203	(6,352)	0	0	(6,352)	0	49,028	0	(14,762)	(14,762)	561		
94946T-10-6	WELLCARE HEALTH ORD		01/23/2020	Unknown	64,000	22,394		19,260	21,133	(1,874)	0	0	(1,874)	0	19,260	0	3,134	3,134	0		
949746-10-1	WELLS FARGO ORD		01/02/2020	BERNSTEIN (SANFORD C) & CO.	0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
95058W-10-6	WENDYS ORD		02/18/2020		322,000	7,457		6,600	7,152	(552)	0	0	(552)	0	6,600	0	857	857	0		
963320-10-6	WHIRLPOOL ORD		01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0	0	
974155-10-3	WINGSTOP ORD		02/24/2020	VARIOUS	260,000	25,561		18,880	22,420	(3,540)	0	0	(3,540)	0	18,880	0	6,681	6,68			

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation and Admini- strative Symbol
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
..G17766-10-9	CAMBIVI NETWORKS ORD		02/14/2020	INVESTMENT TECHNOLOGY GROUP, I	837,000	5,195		5,990	7,315	(1,326)	0	0	(1,326)	0	5,990	0	(.795)	(.795)	0		
..G1991C-10-5	CARDTRONICS CL A ORD	C.	03/23/2020	VARIOUS	669,000	16,386		27,883	28,670	(1,815)	0	0	(1,815)	0	27,883	0	(11,497)	(11,497)	0		
..G4705A-10-0	ICON ORD	C.	03/30/2020	VARIOUS	188,000	30,558		13,896	32,379	(18,483)	0	0	(18,483)	0	13,896	0	16,662	16,662	0		
..G47791-10-1	TRANE TECHNOLOGIES PLC	C.	03/02/2020	Unknown	306,000	18,289		18,289	40,674	(22,385)	0	0	(22,385)	0	18,289	0	0	0	0		
..G5005R-10-7	JAMES RIVER GROUP HOLDINGS ORD	C.	01/22/2020	BAIRD, ROBERT W., & COMPANY IN	747,000	31,577		27,199	30,784	(3,585)	0	0	(3,585)	0	27,199	0	4,379	4,379	224		
..G5494J-10-3	LINDE ORD	C.	01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0		
..G97822-10-3	PERRIGO ORD	C.	01/02/2020		0,000	0		0	0	0	0	0	0	0	0	0	0	0	0		
..H8817H-10-0	TRANSOCEAN ORD	C.	02/03/2020	VARIOUS	3,681,000	19,340		18,286	25,325	(7,039)	0	0	(7,039)	0	18,286	0	1,054	1,054	0		
..M20598-10-4	CAESARSTONE ORD	C.	03/19/2020	FAHNESTOCK & CO, JEFFERIES & COMPANY, INC.	2,206,000	18,545		33,399	33,244	154	0	0	154	0	33,399	0	(14,853)	(14,853)	0		
..N01045-10-8	AFFINED ORD	C.	03/23/2020	BAIRD, ROBERT W., & COMPANY IN	2,698,000	4,605		6,201	7,393	(1,192)	0	0	(1,192)	0	6,201	0	(1,596)	(1,596)	0		
..N72482-12-3	QIAGEN ORD	C.	03/11/2020	QIAGEN ORD	1,668,000	68,543		68,930	56,378	12,552	0	0	12,552	0	68,930	0	(387)	(387)	0		
..N96617-11-8	WRIGHT MEDICAL GROUP ORD	C.	02/20/2020	GOLDMAN	667,000	20,256		19,683	20,330	(648)	0	0	(648)	0	19,683	0	574	574	0		
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded					4,163,690	XXX	4,951,001	4,866,848	(305,089)	0	0	(305,089)	0	4,951,003	0	(787,313)	(787,313)	20,371	XXX	XXX	
..464287-65-5	ISHARES: RUSSELL 2000 ETF		03/16/2020	VARIOUS	4,201,000	569,120		662,746	695,980	(33,234)	0	0	(33,234)	0	662,746	0	(93,625)	(93,625)	0		
9499999. Subtotal - Common Stocks - Mutual Funds					569,120	XXX	662,746	695,980	(33,234)	0	0	(33,234)	0	662,746	0	(93,625)	(93,625)	0	XXX	XXX	
9799997. Total - Common Stocks - Part 4					4,732,810	XXX	5,613,747	5,562,827	(388,324)	0	0	(388,324)	0	5,613,749	0	(880,938)	(880,938)	20,371	XXX	XXX	
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks					4,732,810	XXX	5,613,747	5,562,827	(388,324)	0	0	(388,324)	0	5,613,749	0	(880,938)	(880,938)	20,371	XXX	XXX	
9899999. Total - Preferred and Common Stocks					4,732,810	XXX	5,613,747	5,562,827	(388,324)	0	0	(388,324)	0	5,613,749	0	(880,938)	(880,938)	20,371	XXX	XXX	
9999999 - Totals					24,199,221	XXX	25,006,338	24,281,741	(388,324)	(5,724)	0	(344,047)	0	24,896,007	0	(696,786)	(696,786)	160,475	XXX	XXX	

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**NONE**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**NONE**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**NONE**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**NONE**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**NONE**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees  
**NONE**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**NONE**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**NONE**

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE E - PART 1 - CASH**

## Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Northern Trust Company .....	Chicago, Illinois .....	0.000	.0	.0	368,393	675,099	3,200,905	XXX
PNC Bank .....	Columbus, Ohio .....	0.000	.0	.0	(4,523,916)	(5,260,860)	(3,262,534)	XXX
0199998. Deposits in ...	depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	XXX
0199999. Totals - Open Depositories		XXX	XXX	0	0	(4,155,523)	(4,585,761)	(61,629)
0299998. Deposits in ...	depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX					XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	0	0	(4,155,523)	(4,585,761)	(61,629)
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX			XXX
0599999. Total - Cash		XXX	XXX	0	0	(4,155,523)	(4,585,761)	(61,629)

## STATEMENT AS OF MARCH 31, 2020 OF THE Mount Carmel Health Plan, Inc.

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
REPURCHASE AGREEMENT .....			03/31/2020	.000	04/01/2020	66,507,643	0	2,406
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						66,507,643	0	2,406
0599999. Total - U.S. Government Bonds						66,507,643	0	2,406
1099999. Total - All Other Government Bonds						0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0
FEDERAL FARM CREDIT BANKS .....			03/03/2020	.000	05/12/2020	.597,240	0	.519
FEDERAL HOME LOAN BANKS .....			01/23/2020	.000	04/08/2020	.999,698	0	.2,970
FEDERAL HOME LOAN BANKS .....			01/24/2020	.000	04/15/2020	.999,394	0	.2,936
FEDERAL HOME LOAN BANKS .....			03/03/2020	.000	05/29/2020	1,297,774	0	1,073
FEDERAL HOME LOAN MORTGAGE CORP .....			01/21/2020	.000	04/17/2020	.299,792	0	.908
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						4,193,899	0	8,407
3199999. Total - U.S. Special Revenues Bonds						4,193,899	0	8,407
Alpine Securitization LTD .....			03/20/2020	.000	04/13/2020	.999,500	0	.500
BMW US Capital, LLC .....			03/18/2020	.000	04/13/2020	.399,827	0	.202
CRC Funding, LLC .....			03/11/2020	.000	06/08/2020	1,297,790	0	.683
Chevron Corporation .....			01/27/2020	.000	04/21/2020	.999,144	0	2,781
Emerson Electric Co. .....			03/24/2020	.000	04/13/2020	1,498,975	0	.683
FAIRWAY FINANCE CO LLC .....			03/02/2020	.000	05/07/2020	.998,850	0	.958
MetLife Short Term Funding LLC .....			02/19/2020	.000	05/05/2020	1,198,198	0	2,226
National Rural Utilities Cooperative Fin .....			03/24/2020	.000	04/02/2020	1,499,915	0	.683
National Securities Clearing Corporation .....			03/05/2020	.000	05/20/2020	.499,319	0	.375
Nieuw Amsterdam Receivables Corporation .....			01/15/2020	.000	04/06/2020	2,299,457	0	.8,254
Novartis Finance Corporation .....			02/11/2020	.000	04/13/2020	.299,839	0	.671
Novartis Finance Corporation .....			02/27/2020	.000	05/18/2020	.698,556	0	1,045
Novartis Finance Corporation .....			02/28/2020	.000	05/26/2020	1,297,120	0	1,728
PACCAR Financial Corp. .....			03/13/2020	.000	04/24/2020	.899,224	0	.641
PACCAR Financial Corp. .....			03/20/2020	.000	04/29/2020	1,397,877	0	.910
Starbird Funding Corporation .....			03/03/2020	.000	04/02/2020	.399,987	0	.387
Victory Receivables Corporation .....			03/16/2020	.000	04/02/2020	.999,964	0	.578
Visa Inc. .....			03/19/2020	.000	04/16/2020	2,298,515	0	.1,287
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						19,982,056	0	24,592
Gotham Funding Corporation .....			03/06/2020	.000	04/03/2020	1,299,935	0	.845
Liberty Street Funding LLC .....			03/05/2020	.000	05/26/2020	.499,236	0	.375
Old Line Funding, LLC .....			02/11/2020	.000	04/13/2020	.499,722	0	1,134
Old Line Funding, LLC .....			02/10/2020	.000	05/06/2020	.998,406	0	2,323
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						3,297,299	0	4,678
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						23,279,355	0	29,270
4899999. Total - Hybrid Securities						0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0
6599999. Subtotal - Unaffiliated Bank Loans						0	0	0
7699999. Total - Issuer Obligations						90,683,597	0	35,405
7799999. Total - Residential Mortgage-Backed Securities						0	0	0
7899999. Total - Commercial Mortgage-Backed Securities						0	0	0
7999999. Total - Other Loan-Backed and Structured Securities						3,297,299	0	4,678
8099999. Total - SVO Identified Funds						0	0	0
8199999. Total - Affiliated Bank Loans						0	0	0
8299999. Total - Unaffiliated Bank Loans						0	0	0
8399999. Total Bonds						93,980,896	0	40,083
316175-50-4 .....	FIDELITY IMM:TRS I .....		03/19/2020	.0250		2	0	0
8599999. Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO						2	0	0
665278-40-4 .....	NORTHERN INST:US GVT SHS .....		03/30/2020	.0410		1,179,925	1,457	.276
8699999. Subtotal - All Other Money Market Mutual Funds						1,179,925	1,457	.276
8899999 - Total Cash Equivalents						95,160,823	1,457	40,359